



NUMBER THEORY AND ALGORITHMS

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"The enemy knows the system" – Claude Shannon

Outline



The lecture is structured as follows:

- Divisibility and Modular Arithmetic
- Integer Representations
- Integer Algorithms
- Primes and GCD
- Solving Congruences
- Applications of Congruences
- Cryptography
- Program Correctness



§ Divisibility & Modular Arithmetic

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- When an integer is divided by another integer, the result may or may not be an integer.
 - Ex: $12/3 = 4$, $11/4 = 2.75$
- **Definition:** If a and b are integers with $a \neq 0$, we say a *divides* b if there is an integer c such that $b = ac$ (if $\frac{b}{a}$ is an integer).
 - When a divides b (written $a \mid b$) we say a is a *factor* or *divisor* of b and that b is a *multiple* of a
 - We can express $a \mid b$ logically as $\exists c (ac = b)$
- **Example:** Determine whether $3 \mid 7$ and whether $3 \mid 12$

$$\begin{aligned} 3 \nmid 7 & \text{ because } 7/3 \notin \mathbb{Z} \\ 3 \mid 12 & \text{ because } 12/3 = 4 \end{aligned}$$

- **Theorem:** Let a , b , and c be integers, where $a \neq c$. Then
 1. if $a \mid b$ and $a \mid c$, then $a \mid (b + c)$
 2. if $a \mid b$, then $a \mid bc$ for all integers c
 3. if $a \mid b$ and $b \mid c$, then $a \mid c$
- **Corollary:** if a , b , and c are integers, where $a \neq 0$, such that $a \mid b$ and $a \mid c$, then $a \mid mb + nc$ whenever m and n are integers.

The Division Algorithm



- **The Division Algorithm:** Let a be an integer and d a positive integer. Then there are unique integers q and r , with $0 \leq r < d$ such that $a = dq + r$
 - d is the *divisor*
 - a is the *dividend*
 - q is the *quotient*
 - r is the *remainder*

$$q = a \mathbf{div} d$$

$$r = a \mathbf{mod} d$$

- **Example:** What is the quotient and remainder when 101 is divided by 11?

$$101 = 11 \cdot 9 + 2$$

$$q = 101 \mathbf{div} 11 = 9$$

$$r = 101 \mathbf{mod} 11 = 2$$

- **Definition:** if a and b are two integers and m is a positive integer, then a is *congruent to b modulo m* if m divides $a - b$.
 - Denoted as: $a \equiv b \pmod{m} \Rightarrow$ called a **congruence**
 - m is its **modulus**
 - if a and b are not congruent modulo m , we write $a \not\equiv b \pmod{m}$
- **Theorem:** Let a and b be integers, and let m be a positive integer. Then $a \equiv b \pmod{m}$ iff $a \bmod m = b \bmod m$
- **Example:** Determine whether $17 \equiv 5 \pmod{6}$ and $24 \equiv 14 \pmod{6}$

$$\begin{aligned} 6 \mid (17 - 5 = 12) &\rightarrow 17 \equiv 5 \pmod{6} \\ 6 \nmid (24 - 14 = 10) &\rightarrow 24 \not\equiv 14 \pmod{6} \end{aligned}$$



- **Theorem:** Let m be a positive integer. The integers a and b are congruent modulo m iff there is an integer k such that $a = b + km$
- **Theorem:** Let m be a positive integer. If $a \equiv b \pmod{m}$ and $c \equiv d \pmod{m}$, then

$$a + c \equiv d \pmod{m} \quad \text{and} \quad ac \equiv bd \pmod{m}$$

- **Example:** because $7 \equiv 2 \pmod{5}$ and $11 \equiv 1 \pmod{5}$ it follows that

$$18 = 7 + 11 \equiv 2 + 1 = 3 \pmod{5}$$

$$77 = 7 \cdot 11 \equiv 2 \cdot 1 = 2 \pmod{5}$$

Arithmetic Modulo m



- \mathbb{Z}_m : set of non-negative integers less than m
- **Arithmetic Modulo m** operators:

$$a +_m b = (a + b) \bmod m \quad a \cdot_m b = (a \cdot b) \bmod m$$

- Examples: find $7 +_{11} 9$ and $7 \cdot_{11} 9$

$$\begin{aligned} 7 +_{11} 9 &= (7 + 9) \bmod 11 = 16 \bmod 11 = 5 \\ 7 \cdot_{11} 9 &= (7 \cdot 9) \bmod 11 = 63 \bmod 11 = 8 \end{aligned}$$

- The operators $+_m$ and \cdot_m satisfy the following properties
 - **Closure:** if a and $b \in \mathbb{Z}_m$, then $a +_m b$ and $a \cdot_m b \in \mathbb{Z}_m$
 - **Associativity:** if $a, b, c \in \mathbb{Z}_m$, then $(a +_m b) +_m c = a +_m (b +_m c)$ and $(a \cdot_m b) \cdot_m c = a \cdot_m (b \cdot_m c)$
 - **Commutativity:** if $a, b \in \mathbb{Z}_m$, then $a +_m b = b +_m a$ and $a \cdot_m b = b \cdot_m a$
 - **Identity:** The elements 0 and 1 are identity elements for $+_m$ and \cdot_m , respectively. If $a \in \mathbb{Z}_m$, then $a +_m 0 = 0 +_m a = a$, and $a \cdot_m 1 = 1 \cdot_m a = a$
 - **Additive Inverses:** If $a \neq 0 \in \mathbb{Z}_m$, then $m - a$ is an additive inverse of $a \bmod m$ and 0 is its additive inverse. That is $a +_m (m - a) = 0$ and $0 +_m 0 = 0$
 - **Distributivity:** If $a, b, c \in \mathbb{Z}_m$, then $a \cdot_m (b +_m c) = (a \cdot_m b) +_m (a \cdot_m c)$ and $(a +_m b) \cdot_m c = (a \cdot_m b) +_m (b \cdot_m c)$

Integer Representations

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- **Base b expansion of n :** Let b be an integer > 1 . Then if n is a positive integer, it can be expressed uniquely in the form

$$n = a_k b^k + a_{k-1} b^{k-1} + \dots + a_1 b + a_0$$

Where k is a nonnegative integer, a_0, a_1, \dots, a_k are nonnegative integers less than b , and $a_k \neq 0$

- **Notes:**

Number Systems, Common Expansions to Convert to Decimal:

- A binary digit is called a *bit*
- 8 bits = 1 *byte* = 2 hexadecimal digits
- **Decimal ($b=10$):** $\{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$
 $(716)_{10} = 7 \cdot 10^2 + 1 \cdot 10^1 + 6 = 716$
- **Octal ($b=8$):** $\{0, 1, 2, 3, 4, 5, 6, 7\}$
 $(7016)_8 = 7 \cdot 8^3 + 0 \cdot 8^2 + 1 \cdot 8 + 6 = 3598$
- **Hexadecimal ($b=16$):** $\{0, 1, 2, 3, 4, 5, 6, 7, 8, 9, A, B, C, D, E, F\}$
 $(2AE0B)_{16} = 2 \cdot 16^4 + 10 \cdot 16^3 + 14 \cdot 16^2 + 0 \cdot 16 + 11 = 175627$
- **Binary ($b=2$):** $\{0, 1\}$
 $(10110)_2 = 1 \cdot 2^4 + 0 \cdot 2^3 + 1 \cdot 2^2 + 1 \cdot 2^1 + 0 = 22$

- We can construct the base b expansion of integer n as follows

1. divide n by b to obtain a quotient and remainder (a_0 = rightmost digit in expansion)

$$n = bq_0 + a_0 \quad 0 \leq a_0 < b$$

2. divide q_0 by b to obtain (a_1 is second rightmost digit)

$$q_0 = bq_1 + a_1 \quad 0 \leq a_1 < b$$

3. Continue using these steps moving until you end with a quotient of zero.

- Find octal expansion of $(12345)_{10}$

$$12345 = 8 \cdot 1543 + 1$$

$$1543 = 8 \cdot 192 + 7$$

$$192 = 8 \cdot 24 + 0$$

$$24 = 8 \cdot 3 + 0$$

$$3 = 8 \cdot 0 + 3$$

$$= (30071)_8$$

- Find the hexadecimal expansion of $(177130)_{10}$

$$177130 = 16 \cdot 11070 + 10$$

$$11070 = 16 \cdot 691 + 14$$

$$691 = 16 \cdot 43 + 3$$

$$43 = 16 \cdot 2 + 11$$

$$2 = 16 \cdot 0 + 2$$

$$= (2B3EA)_{16}$$

Base Conversion



Algorithm: Constructing Base b Expansions (*greedy algorithm*)

procedure BASEBEXPANSION(n, b)

$q := n$

$k := 0$

while $q \neq 0$ **do**

$a_k := q \bmod b$

$q := q \operatorname{div} b$

$k := k + 1$

return $(a_{k-1}, \dots, a_1, a_0)$

| | | | | | | | | | | | | | | | | |
|-------------|---|---|----|----|-----|-----|-----|-----|------|------|------|------|------|------|------|------|
| Decimal | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 |
| Hexadecimal | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | A | B | C | D | E | F |
| Octal | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 |
| Binary | 0 | 1 | 10 | 11 | 100 | 101 | 110 | 111 | 1000 | 1001 | 1010 | 1011 | 1100 | 1101 | 1110 | 1111 |

Base Conversion



- Binary \rightarrow Oct or Hex: easy since each octal digit is a block of 3 bits and each hex digit is a block of 4 bits:
 - Thus, we simply separate the bit string into appropriately sized groups and convert to the number system
- Conversion to binary is a simple lookup on the table
- Ex: Convert $(11111010111100)_2$ to both octal and hexadecimal

$$\begin{array}{ccccc} 011 & 111 & 010 & 111 & 100 \\ 3 & 7 & 2 & 7 & 4 \\ & & & & = (37274)_8 \end{array}$$

$$\begin{array}{cccc} 0011 & 1110 & 1011 & 1100 \\ 3 & E & B & C \\ & & & = (3EBC)_{16} \end{array}$$

- Ex: Convert $(765)_8$ and $(A8D)_{16}$ to Binary

$$\begin{array}{lcl} (765)_8 & = & (111 \ 110 \ 101)_2 \\ (A8D)_{16} & = & (1010 \ 1000 \ 1101)_2 \end{array}$$

Addition Algorithm



Algorithm:

procedure ADD(a, b : positive integers)

▷ the binary expansions of a and b are

$(a_{n-1}a_{n-1} \dots a_1a_0)_2$ and $(b_{n-1}b_{n-1} \dots b_1b_0)_2$

$c := 0$

for $j := 0$ **to** $n - 1$ **do**

$d := \lfloor (a_j + b_j + c)/2 \rfloor$

$s_j := a_j + b_j + c - 2d$

$c := d$

$s_n := c$

return (s_0, s_1, \dots, s_n)

- **Example:** Add $a = (1110)_2$ and $b = (1011)_2$

| | |
|-----------------------------------------------|-------------------------|
| $a_0 + b_0 = 0 + 1 = 0 \cdot 2 + 1$ | $c_0 = 0, s_0 = 1$ |
| $a_1 + b_1 + c_0 = 1 + 1 + 0 = 1 \cdot 2 + 0$ | $c_1 = 1, s_1 = 1$ |
| $a_2 + b_2 + c_1 = 1 + 0 + 1 = 1 \cdot 2 + 0$ | $c_2 = 1, s_2 = 0$ |
| $a_3 + b_3 + c_2 = 1 + 1 + 1 = 1 \cdot 2 + 1$ | $c_3 = 1, s_3 = 1$ |
| $s_4 = c_3 = 1$ | $s = a + b = (11001)_2$ |

- **Analysis:**
 - Each pair of bits and the carry requires 2 bit additions but less than twice the number of bits in the expansion
 - Therefore, **$O(n)$**

Multiplication Algorithm



Algorithm:

procedure MULTIPLY(a, b : positive integers)

▷ the binary expansions of a and b are

$(a_{n-1}a_{n-2} \dots a_1a_0)_2$ and $(b_{n-1}b_{n-2} \dots b_1b_0)_2$

for $j := 0$ **to** $n - 1$ **do**

if $b_j = 1$ **then** $c_j := a$ shifted j places

else $c_j := 0$

▷ c_0, c_1, \dots, c_{n-1} are the partial products

$p := 0$

for $j := 0$ **to** $n - 1$ **do**

$p := \text{ADD}(p, c_j)$

return p

- Example: Find the product of $a = (110)_2$,
 $b = (101)_2$

$$ab_0 = 2^0 = (110)_2 \cdot 1 \cdot 2^0 = (110)_2$$

$$ab_1 \cdot 2^1 = (110)_2 \cdot 0 \cdot 2^1 = (0000)_2$$

$$\begin{aligned} ab_2 \cdot 2^2 &= (110)_2 \cdot 1 \cdot 2^2 = (11000)_2 \\ &= (11000)_2 \end{aligned}$$

- **Analysis**
 - First for loop requires $O(n^2)$ shifts
 - Second for loop requires n $O(n)$ additions which is $O(n^2)$
 - The combination is $O(n^2) + O(n^2)$ which is $O(n^2)$

- Important for crypto is the ability to efficiently calculate $b^n \bmod m$ without requiring a large amount of memory.
 - b, n , and m are integers

Algorithm:

procedure MODEXP(b : integer, $n = (a_{k-1}a_{k-2} \dots a_1a_0)_2$, m : positive integer)

$x := 1$

$power := b \bmod m$

for $i := 0$ **to** $k - 1$ **do**

if $a_i = 1$ **then** $x := (x \cdot power) \bmod m$

$power := (power \cdot power) \bmod m$

return x

- **Analysis:** uses $O((\log m)^2 \log n)$ bit operations→

- Example: Using the algorithm to find $3^{644} \bmod 645$

$$i = 0 : a_0 = 0, x = 1, power = 3^2 \bmod 645 = 9 \bmod 645 = 9$$

$$i = 1 : a_1 = 0, x = 1, power = 9^2 \bmod 645 = 81 \bmod 645 = 81$$

$$i = 2 : a_2 = 1, x = 1 \cdot 81 \bmod 645 = 81, power = 81^2 \bmod 645 = 6561 \bmod 645 = 111$$

$$i = 3 : a_3 = 0, x = 81, power = 111^2 \bmod 645 = 12321 \bmod 645 = 66$$

$$i = 4 : a_4 = 0, x = 81, power = 66^2 \bmod 645 = 4356 \bmod 645 = 486$$

$$i = 5 : a_5 = 0, x = 81, power = 486^2 \bmod 645 = 236196 \bmod 645 = 126$$

$$i = 6 : a_6 = 0, x = 81, power = 126^2 \bmod 645 = 15876 \bmod 645 = 396$$

$$i = 7 : a_7 = 1, x = (81 \cdot 396) \bmod 645 = 471, power = 396^2 \bmod 645 = 156816 \bmod 645 = 81$$

$$i = 8 : a_8 = 0, x = 471, power = 81^2 \bmod 645 = 6561 \bmod 645 = 111$$

$$i = 9 : a_9 = 1, x = (471 \cdot 111) \bmod 645 = 36$$

Result: $3^{644} \bmod 645 = 36$

Primes and GCD

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- **Prime:** An integer p greater than 1 where the only positive factors of p are 1 and p
- **Composite:** A positive integer that is greater than one and not prime
- **Note:** 1 is not prime, as it only has one *positive* factor
- **Fundamental Theorem of Arithmetic:** Every integer greater than 1 can be written uniquely as a prime or as the product of two or more primes, where the prime factors are written in order of non-decreasing size.
- **Example:** Some prime factorizations
 - $100 = 2 \cdot 2 \cdot 5 \cdot 5 = 2^2 5^2$
 - $641 = 641$
 - $999 = 3 \cdot 3 \cdot 3 \cdot 37 = 3^3 37$
 - $1024 = 2 \cdot 2 \cdot 2 \cdot 2 \cdot 2 \cdot 2 \cdot 2 \cdot 2 \cdot 2 \cdot 2 = 2^{10}$

- **Theorem:** If n is a composite integer, then n has a prime divisor less than or equal to \sqrt{n}
- This leads to a *brute-force algorithm* called **trial division** for showing a number is prime
 1. divide n by all primes not exceeding \sqrt{n}
 2. conclude n is prime if it is not divisible by any of these prime numbers
 3. otherwise continue dividing by primes to extract the prime factorization
- Examples:
 - Show 101 is prime: primes $< \sqrt{101}$ are 2, 3, 5, 7 and 101 is not divisible by any of them \therefore 101 is prime
 - Factor 7007: $7007 = 7 \cdot 1001 = 7 \cdot 7 \cdot 143 = 7 \cdot 7 \cdot 11 \cdot 13 = 7^2 \cdot 11 \cdot 13$

Sieve of Eratosthenes



- used to find all primes not exceeding a specified positive number, n
- start by finding \sqrt{n} , which the largest prime factor of n cannot exceed.

Example: 100, $\sqrt{100} = 10$

| | | | | | |
|----|---|----|----|----|----|
| 1 | 2 | 3 | 5 | 7 | 9 |
| 11 | | 13 | 15 | 17 | 19 |
| 21 | | 23 | 25 | 27 | 29 |
| 31 | | 33 | 35 | 37 | 39 |
| 41 | | 43 | 45 | 47 | 49 |
| 51 | | 53 | 55 | 57 | 59 |
| 61 | | 63 | 65 | 67 | 69 |
| 71 | | 73 | 75 | 77 | 79 |
| 81 | | 83 | 85 | 87 | 89 |
| 91 | | 93 | 95 | 97 | 99 |

remove all numbers divisible by 2 (except 2)

| | | | | | |
|----|---|----|----|----|----|
| 1 | 2 | 3 | 5 | 7 | |
| 11 | | 13 | | 17 | 19 |
| | | 23 | 25 | | 29 |
| 31 | | | 35 | 37 | |
| 41 | | 43 | | 47 | 49 |
| | | 53 | 55 | | 59 |
| 61 | | | 65 | 67 | |
| 71 | | 73 | | 77 | 79 |
| | | 83 | 85 | | 89 |
| 91 | | | 95 | 97 | |

remove all numbers divisible by 3 (except 3)

Sieve of Eratosthenes



| | | | | | |
|----|---|----|---|----|----|
| 1 | 2 | 3 | 5 | 7 | |
| 11 | | 13 | | 17 | 19 |
| | | 23 | | | 29 |
| 31 | | | | 37 | |
| 41 | | 43 | | 47 | 49 |
| | | 53 | | | 59 |
| 61 | | | | 67 | |
| 71 | | 73 | | 77 | 79 |
| | | 83 | | | 89 |
| 91 | | | | 97 | |

remove all numbers divisible by 5 (except 5)

| | | | | | |
|----|---|----|---|----|----|
| 1 | 2 | 3 | 5 | 7 | |
| 11 | | 13 | | 17 | 19 |
| | | 23 | | | 29 |
| 31 | | | | 37 | |
| 41 | | 43 | | 47 | |
| | | 53 | | | 59 |
| 61 | | | | 67 | |
| 71 | | 73 | | | 79 |
| | | 83 | | | 89 |
| | | | | 97 | |

remove all numbers divisible by 7 (except 7), and all remaining numbers are prime



- **Theorem:** There are infinitely many primes
- **Mersenne Primes:** Primes of the form $2^p - 1$, where p is also prime
 - Note that $2^n - 1$ cannot be prime unless n is also prime
 - There is an extremely efficient test to determine if $2^p - 1$ is prime (Lucas-Lehmer Test)
 - Examples:
 - $2^2 - 1 = 3$, $2^3 - 1 = 7$, $2^5 - 1 = 31$, $2^7 - 1 = 127$ are all Mersenne primes
 - $2^{11} - 1 = 2047 = 23 \cdot 89 \therefore$ not prime

- **Prime Number Theorem:** The ration of $\pi(x)$, the number of primes not exceeding x , and $x/\ln x$ approaches 1 as x grows without bound.
 - Using Trial Division with this theorem does provide a method for factoring and primality testing, but not a very efficient one
 - However, there is a polynomial time algorithm for determining if a number is prime. It was identified by Agrawal, Kayal, and Saxena
 - Runs in $O((\log n)^6)$ operations
 - Unfortunately factoring large numbers is still exceptionally difficult

- **Greatest Common Divisor (gcd):** Let a and b be integers not both zero. The gcd is the largest integer d such that $d \mid a$ and $d \mid b$. Denoted $\gcd(a, b)$
 - Example: What is the gcd of 24 and 36?
 - Common divisors are: 1, 2, 3, 4, 6, and 12
 - Thus, $\gcd(24, 36) = 12$
- **Least Common Multiple (lcm):** of the positive integers a and b is the smallest positive integer that is divisible by both a and b . Denoted $\text{lcm}(a, b)$
- **Theorem:** Let a and b be positive integers. Then

$$ab = \gcd(a, b) \cdot \text{lcm}(a, b)$$

- **Relatively Prime:** The integers a and b are *relatively prime* if $\gcd(a, b) = 1$
- **Pairwise Relatively Prime:** The integers a_1, a_2, \dots, a_n are *pairwise relatively prime* if $\gcd(a_i, a_j) = 1$ whenever $1 \leq i < j \leq n$
- *Examples:*
 - $\gcd(17, 22) = 1 \therefore 17$ and 22 are relatively prime
 - Are $10, 17, 21$ Pairwise relatively prime?
 - $\gcd(10, 17) = 1$
 - $\gcd(10, 21) = 1$
 - $\gcd(17, 21) = 1$
 - \therefore they are pairwise relatively prime

- We can use the prime factorizations of the positive integers a and b to find both the $\gcd(a, b)$ and $\text{lcm}(a, b)$
- Suppose the prime factorizations of a and b are:

$$\begin{aligned}a &= p_1^{a_1} p_2^{a_2} \dots p_n^{a_n} \\ b &= p_1^{b_1} p_2^{b_2} \dots p_n^{b_n}\end{aligned}$$

where all primes occurring in either a or b are listed (possibly with zero exponents)

- Then:

$$\begin{aligned}\gcd(a, b) &= p_1^{\min(a_1, b_1)} p_2^{\min(a_2, b_2)} \dots p_n^{\min(a_n, b_n)} \\ \text{lcm}(a, b) &= p_1^{\max(a_1, b_1)} p_2^{\max(a_2, b_2)} \dots p_n^{\max(a_n, b_n)}\end{aligned}$$

- Example: What is the lcm of $2^3 3^5 7^2$ and $2^4 3^3$?
 - $\text{lcm}(2^3 3^5 7^2, 2^4 3^3) = 2^{\max(3, 4)} 3^{\max(5, 3)} 7^{\max(2, 0)} = 2^4 3^5 7^2$

The Euclidean Algorithm



- An efficient algorithm for computing gcd, known since ancient times, is based on the following lemma
- Lemma:** Let $a = bq + r$, where a, b, q , and r are integers. Then $\gcd(a, b) = \gcd(b, r)$

Algorithm:

procedure GCD(a, b : positive integers)

$x := a$

$y := b$

while $y \neq 0$ **do**

$r := x \bmod y$

$x := y$

$y := r$

return x

Example: Find $\gcd(414, 662)$

| j | r_j | r_{j+1} | q_{j+1} | r_{j+2} |
|-----|-------|-----------|-----------|-----------|
| 0 | 662 | 414 | 1 | 248 |
| 1 | 414 | 248 | 1 | 166 |
| 2 | 248 | 166 | 1 | 82 |
| 3 | 166 | 82 | 2 | 2 |
| 4 | 82 | 2 | 41 | 0 |

- If $a \geq b$, then $O(\log b)$

$$\gcd(414, 662) = 2$$



- **Bézout's Theorem:** If a and b are positive integers, then there exist integers s and t such that $\gcd(a, b) = sa + tb$
 - The coefficients s and t are called **Bézout's coefficients** of a and b
 - The equation $\gcd(a, b) = sa + tb$ is called **Bézout's identity**
 - This form shows that $\gcd(a, b)$ can be expressed as a *linear combination*
- **Lemma:** If a , b , and c are positive integers such that $\gcd(a, b) = 1$ and $a \mid bc$, then $a \mid c$
- **Lemma:** If p is a prime and $p \mid a_1 a_2 \dots a_n$, where each a_i is an integer, then $p \mid a_i$ for some i
- **Theorem:** Let m be a positive integer and let a , b , and c be integers. If $ac \equiv bc \pmod{m}$ and $\gcd(c, m) = 1$, then $a \equiv b \pmod{m}$

§ Solving Congruences

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- **Linear Congruence:** A congruence of the form

$$ax \equiv b \pmod{m}$$

Where:

- m is a positive integer
- a, b are integers
- x is a variable
- **Theorem:** If a and m are relatively prime integers and $m > 1$, then an *inverse* of $a \pmod{m}$ exists. Furthermore, this inverse is unique modulo m
 - That is, there is a unique positive integer \bar{a} less than m that is the inverse of $a \pmod{m}$ and every other inverse of $a \pmod{m}$ is congruent to $\bar{a} \pmod{m}$
- Once we have an inverse, \bar{a} of $a \pmod{m}$, we can solve the congruence $ax \equiv b \pmod{m}$ by multiplying both sides by \bar{a}

- Example: Find an inverse of 3 **mod** 7 by first finding Bézout coefficients of 3 and 7
 - Because $\gcd(3, 7) = 1$, an inverse of 3 **mod** 7 exists
 - $\gcd(3, 7) \Rightarrow 7 = 2 \cdot 3 + 1$
 - from this $-2 \cdot 3 + 1 \cdot 7 = 1$
 - Bézout coefficients are -2 and 1
 - Then, -2 is an inverse of 3 **mod** 7

Chinese Remainder Theorem



- **Chinese Remainder Theorem:** Let m_1, m_2, \dots, m_n be pairwise relatively prime positive integers greater than 1 and a_1, a_2, \dots, a_n arbitrary integers. Then the system

$$\begin{aligned}x &\equiv a_1 \pmod{m_1}, \\x &\equiv a_2 \pmod{m_2}, \\&\vdots \\x &\equiv a_n \pmod{m_n}\end{aligned}$$

has a unique solution modulo $m = m_1 m_2 \dots m_n$

Fermat's Little Theorem



- **Fermat's Little Theorem:** If p is prime and a is an integer not divisible by p , then

$$a^{p-1} \equiv 1 \pmod{p}$$

Furthermore, for every integer a we know

$$a^p \equiv a \pmod{p}$$

- **Example:** find $7^{222} \bmod 11$
 - Using Fermat's Little Theorem we know that $7^{10} \equiv 1 \pmod{11}$, so $(7^{10})^k \equiv 1 \pmod{11} \forall k \in \mathbb{Z}^+$
 - We then divide 222 by 10 finding $222 = 22 \cdot 10 + 2$
 - We can then see that $7^{222} = 7^{22 \cdot 10 + 2} \equiv (1)^{22} \cdot 49 \equiv 5 \pmod{11}$
 - Thus, $7^{222} \bmod 11 = 5 \rightarrow$

- **Pseudoprime:** Let b be a positive integer. If n is a composite positive integer, and $b^{n-1} \equiv 1 \pmod{n}$, then n is called *pseudoprime to the base b*
- **Carmichael Number:** A composite integer n that satisfies the congruence $b^{n-1} \equiv 1 \pmod{n}$ for all positive integer b with $\gcd(b, n) = 1$

§ Applications of Congruences

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- **Hashing Functions:** a hashing function h assigns memory location $h(k)$ to the record that has k as its key.
 - Many different hashing functions are used in practice, one of the most common is:

$$h(k) = k \bmod m$$

Where m is the number of memory locations

- Should be easy to evaluate
 - Should be onto, so all memory locations are pairwise
- The functions are not one-to-one (more possible keys than memory locations) thus **collisions** may occur
 - Collision handling is necessary
 - Assign first free location following memory location assigned by hashing function:

$$h(k, i) = h(k) + i \bmod m \quad 0 \leq i \leq m - 1$$

- Example: Find the memory location assigned by the hashing function $h(k) = k \bmod 111$ to the records of customers with social security numbers 064212848 and 037149212.

$$h(064212848) = 064212848 \bmod 111 = 14$$

$$h(037149212) = 037149212 \bmod 111 = 65$$

- Example: Now assign a memory location to the record of the customer with SSN 107405723

$$h(107405723) = 107405723 \bmod 111 = 14$$

This caused a collision. However, 15 is unassigned, thus we can assign 10740523 to 15 instead.

- **Pseudorandom Numbers:** A sequence of numbers systematically generated and having several properties of randomly selected numbers, without being truly random.
 - Need for computer simulations
- **Linear Congruential Method:** most commonly used procedure for generating pseudorandom numbers. Uses the following recursively defined function:

$$x_{n+1} = (ax_n + c) \bmod m$$

Where: we select the following integers

- m is the *modulus*
- a is the *multiplier*
- c is the *increment*
- x_0 is the *seed* (initial value)
- and $2 \leq a < m$, $0 \leq c < m$, and $0 \leq x_0 < m$

- Example: Using the function $x_{n+1} = (7x_n + 4) \bmod 9$, $x_0 = 3$, we find that

$$\begin{aligned}x_1 &= 7x_0 + 4 \bmod 9 = 7 \cdot 3 + 4 \bmod 9 = 25 \bmod 9 = 7 \\x_2 &= 7x_1 + 4 \bmod 9 = 7 \cdot 7 + 4 \bmod 9 = 53 \bmod 9 = 8 \\x_3 &= 7x_2 + 4 \bmod 9 = 7 \cdot 8 + 4 \bmod 9 = 60 \bmod 9 = 6 \\x_4 &= 7x_3 + 4 \bmod 9 = 7 \cdot 6 + 4 \bmod 9 = 46 \bmod 9 = 1 \\x_5 &= 7x_4 + 4 \bmod 9 = 7 \cdot 1 + 4 \bmod 9 = 11 \bmod 9 = 2 \\x_6 &= 7x_5 + 4 \bmod 9 = 7 \cdot 2 + 4 \bmod 9 = 18 \bmod 9 = 0 \\x_7 &= 7x_6 + 4 \bmod 9 = 7 \cdot 0 + 4 \bmod 9 = 4 \bmod 9 = 4 \\x_8 &= 7x_7 + 4 \bmod 9 = 7 \cdot 4 + 4 \bmod 9 = 32 \bmod 9 = 5 \\x_9 &= 7x_8 + 4 \bmod 9 = 7 \cdot 5 + 4 \bmod 9 = 39 \bmod 9 = 3\end{aligned}$$

- This generates the sequence: **3**,7,8,6,1,2,0,4,5,**3**,7,8,6,1,2,0,4,5,**3**,...
- The sequence has a cycle of 9 before repeating

Cryptography

CS 1187

- **Encryption:** The process of making a message secret
- **Decryption:** The process of determining the original message from the encrypted text
- **Caesar's Encryption Method**
 - Replace each letter with its corresponding number from \mathbb{Z}_{26}
 - Encrypt using the function $f(p)$, where p is a nonnegative integer less than or equal to 25.

$$f(p) = (p + 3) \bmod 26$$

- Replace the encrypted numbers with their corresponding letters
- This is a form of a *shift cipher*

- Example: Use Caesar's cipher to encrypt the message "MEET YOU IN THE PARK"

| | | | | | | | | | | | | | | | | | | | | | | |
|-----|----|---|---|----|--|----|----|----|--|----|----|--|----|----|---|--|----|---|----|----|---|----------------------|
| | M | E | E | T | | Y | O | U | | I | N | | T | H | E | | P | A | | R | K | |
| 1.) | 12 | 4 | 4 | 19 | | 24 | 14 | 20 | | 8 | 13 | | 19 | 7 | 4 | | 15 | 0 | 17 | 10 | | // letters -> num |
| 2.) | 15 | 7 | 7 | 22 | | 1 | 17 | 23 | | 11 | 16 | | 22 | 10 | 7 | | 18 | 3 | 20 | 13 | | // encrypt with f(p) |
| 3.) | P | H | H | W | | B | R | X | | L | Q | | W | K | H | | S | D | | U | N | // num -> letters |

- We can recover the original message, by shifting the letters back by 3 using:

$$f^{-1}(p) = (p - 3) \bmod 26$$

Generalized Shift Cipher



- **Encryption:** Shift letters by k letters

$$f(p) = (p + k) \bmod 26$$

- **Decryption:** Shifts letters by $-k$ letters

$$f^{-1}(p) = (p - k) \bmod 26$$

- **Affine Cipher:** An enhancement of the shift cipher which provides additional security and uses the following formula

$$f(p) = (ap + b) \bmod m$$

Where a and b are selected so that f is a bijection. Such a mapping is called an **affine transformation**

- **Cryptanalysis:** The process of recovering plaintext from ciphertext without knowledge of both the encryption method and the key.
 - A technique used against shift and affine ciphers is the frequency attack

- **Character or Monoalphabetic Ciphers:** ciphers which proceed by replacing each letter of the alphabet by another letter of the alphabet
 - Shift and affine ciphers are of this type
 - Vulnerable to attack
- To combat these deficiencies better ciphers have been developed
 - For example, Block Ciphers
 - However, they are still prone to attack
- To improve our ability to encrypt and keep data safe, we have developed better methods such as the AES and RSA private key cryptosystems
- Additionally, we have developed public-private key cryptosystems such as gpg.
- If you are interested in these topics I would suggest starting with DMA Chapter 4.6



Are there any questions?