

# Program Comprehension



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**ROAR**



# Outcomes

After today's lecture you will be able to:

- Understand and describe the general ideas related to program comprehension
- Understand and describe the type of knowledge to be gained from software artifacts
- Understand and describe the different mental models used in program comprehension





# Program Comprehension

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# General Idea

- It is important to comprehend a complex system to be able to maintain it.
- Modification of software with inaccurate and incomplete understanding is likely to degrade its performance and reliability.
- Good program comprehension is key to providing effective software maintenance and effective evolution of software.



# General Idea

- To understand the role of program comprehension, consider five kinds of tasks associated with program maintenance (Table 8.1.)
- Understanding the system or problem is common to all maintenance and evolution tasks.
- Understanding of a system is a cognitive issue and a number of cognitive models have been developed (Table 8.1.)



# Basic Terms

Model	Maintenance Activity	Authors
Control-flow	Understand	Pennington
Functional	Understand	Pennington
Top-down	Understand	Soloway, Adelson, and Ehrlich
Integrated	Understand, Corrective, Adaptive, and Perfective	Von Mayrhauser and Vans
Other	Enhancement, Understand	Letovsky, Brooks, Shneiderman, and Mayer

- To understand these cognition models, the following set of terms form the background material:
  - Goal of code cognition
  - Knowledge
  - Mental model



# General Idea

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## Maintenance Tasks

## Activities

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### Adaptive

Understand system  
Define adaptation requirements  
Develop preliminary and detailed adaptation design  
Code changes  
Debug  
Regression tests

### Perfective

Understand system  
Diagnosis and requirements definition for improvements  
Develop preliminary and detailed perfective design  
Code changes/additions  
Debug  
Regression tests

### Corrective

Understand system  
Generate/evaluate hypotheses concerning problem  
Repair code  
Regression tests



# General Idea

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## Reuse

Understand problem  
Find solution based on close fit with reusable components  
Locate components  
Integrate components

## Code coverage

Understand problem  
Find solution based on predefined components  
Reconfigure solution to increase likelihood of using predefined components  
Obtain and modify predefined components  
Integrate modified components

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# Goal of Code Cognition

- A code maintainer tries to understand a program with a specific goal in mind.
  - Example 1: Debugging a program to detect the cause of a known failure
  - Example 2: Adding a new function to the existing program
- Identifying the goal can help in defining the scope of program comprehension.
- Scope of program comprehension: complete program or part of a program
- A program comprehension process is a sequence of activities that use **existing knowledge** about the program to generate **new knowledge** about it.
- Thus, program comprehension is a process of knowledge acquisition.



# Knowledge

- Programmers possess two kinds of knowledge
  - General knowledge
  - Software-specific knowledge
- General knowledge: This covers a broad range of topics in computer systems and software.
  - Algorithms and data structures
  - Operating systems
  - Programming principles
  - Programming languages
  - Software architecture and design
  - Testing and debugging techniques



# Knowledge

- Software-specific knowledge: This represents a detailed understanding of the software to be modified.
- Some examples of software-specific knowledge are
  - The software system has implemented public-key cryptography for data encryption.
  - The software system has been structured as a three-tier client-server system.
  - Module `x` implements a location server.
  - A certain `for` loop in method `y` may execute for a random number of times.
  - Variable `mcount` keeps track of the number of times module `z` is invoked.

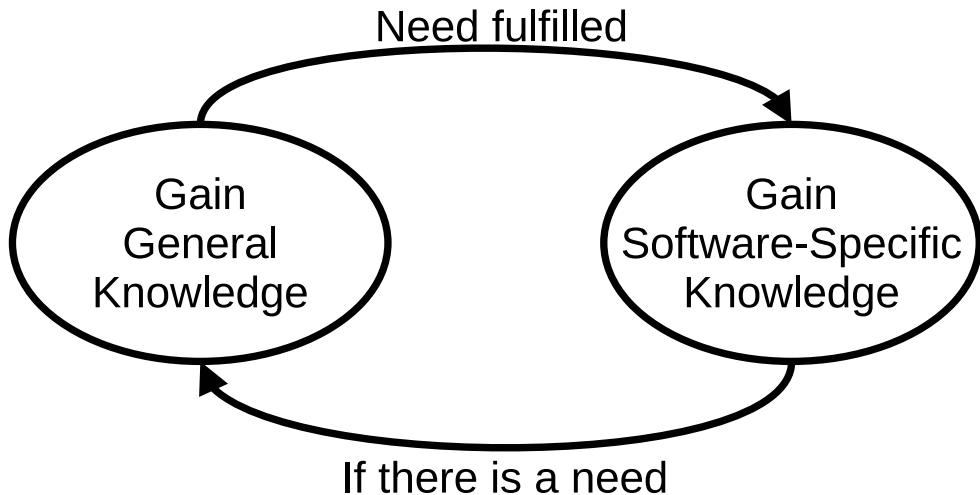


# Knowledge

- In the process of gaining new knowledge about a software system, a programmer learns the details of the following aspects:
  - Functionality
  - Software architecture
  - Control flow and data flow
  - Exception handling
  - Stable storage
  - Implementation details
- A programmer goes back and forth between acquiring general knowledge and software-specific knowledge, as illustrated in Fig. 8.1.



# Knowledge





# Mental Model

- A mental model describes a programmer's mental representation of the program being comprehended.
- A mental model of a program is not unique; different programmers view and interpret a program in different ways.
- A programmer develops a mental model by identifying both **static** and **dynamic** elements of the program.
- Examples of program elements
  - for loop
  - A TCP (Transmission Control Protocol) connection
  - Overall control flow



# Mental Model

- Static elements of a mental model
  - Text-structures
  - Chunks
  - Schemas
  - Plans
  - Hypotheses
- Dynamic elements of a mental model
  - Chunking
  - Cross-referencing
  - Strategies

# Text-structures

- Code and its structure are known as text-structures.
- It is useful in gaining control flow knowledge in program understanding.
- A programmer can easily identify the following text-structures:
  - Loop constructs: for, while, and until
  - Sequences
  - Conditional statements: if-then-else
  - Variable definitions and initializations
  - Calling hierarchies within and among modules
  - Definitions of module parameters
- Understanding text-structures is the beginning of program comprehension.





# Chunk

- A program chunk is a block of related code segment.
- Chunks enable programmers to create higher level abstractions from lower-level abstractions.
- Examples
  - A code block initializing a module's parameters tells the programmer about the nature of the parameters and their value ranges.
  - Understanding a for loop enables a programmer to create an abstraction of an internal functional step performed by the program.



# Schema

- Schemas are generic knowledge structures that guide the programmer's interpretations, inferences, expectations, and attentions when passages are comprehended.
- The concept of programming plans correspond to the notion of schemas.



# Plans

- A knowledge element is anything that is useful in understanding a program.
- Plans are broad kinds of **knowledge** elements used by programmers.
- Examples of knowledge elements
  - If the **name of a function** gives an indication of the activity performed by the function, then the function identifier is a **knowledge element**.
  - A block of comments describing a for loop
  - A `for` loop itself is a knowledge element.
  - A description of the problem domain of the program



# Plans

- Example of plan
  - A doubly-linked list is an example of a plan; a designer has planned to implement certain concepts with this data structure.
- A plan is a kind of schema with two parts:
  - Slot type
    - Slot types describe generic objects.
    - Example: A tree data structure is a generic slot type.
  - Slot filler
    - Slot fillers are customized to hold elements of particular types.
    - Example: A code segment, such as a `for` loop's code is a slot filler.
- The programmer links the slot-type and slot-filler structures by means of the **kind-of** and **is-a** modeling relationships.



# Plans

- There are two broad kinds of plans.
  - Domain plans
  - Programming plans
- Domain plans
  - These include knowledge about the real world problem, including the program's environment.
  - Example: If the software is for numerical analysis application, plans will include schemas for different aspects of linear algebra, such as matrix multiplication and matrix inversion.
  - Domain plans help programmers understand the code.



# Programming Plans

- Programming plans are program fragments representing action sequences that programmers repeatedly apply while coding.
- Example: A programmer may design a for loop to search an item in a data set and repeatedly use the loop in many places in the program.
- Such a for loop is an example of a programming plan to implement the system.
- Programming plans differ in their granularities to support low level or high level tasks.



# Hypotheses

- As programmers start reading code and the related documents, they start developing an understanding of the program to varying degrees.
- Programmers can test the results of their understanding as conjectures (aka hypotheses.)
  - Why: Why conjectures hypothesize the purpose of a program element.
    - Verification of a why conjecture enables a programmer to have a good understanding of the program element.
  - How: How conjectures hypothesize the method for realizing a program goal.
    - Given a program goal, the programmer needs to know how that goal has been implemented.
  - What: What conjectures enable programmers to classify program elements.
- A conjecture may not be completely correct.
- By continuously formulating and verifying conjectures, the programmer understands more and more code.



# Dynamic Elements

- Chunking
- Cross-referencing
- Strategies





# Chunking

- In a program, the **lowest level of chunks** are code segments.
- To understand a program in terms of its higher level functionalities, a programmer creates higher level abstraction structures by combining lower level chunks.
- This process of creating higher level chunks is called chunking.
- The process of chunking is repeatedly applied to create increasingly higher levels of abstractions.
- When a block of code is recognized, it is replaced by the programmer with a label representing the functionality of the code block.
- A block of lower level labels can be replaced with one higher level label representing a higher level functionality.



# Cross-referencing

- Cross-referencing means being able to link elements of different abstraction levels.
- This helps in building a mental model of the program under study.
- Example:
  - Control flow and data-flow can be program elements at a lower level, whereas functionalities are higher level program elements.
  - There is a need to cross-reference between control-flow and data-flow elements and program functionalities.



# Strategies

- A strategy is a planned sequence of actions to reach a specific goal.
- A strategy is formulated by identifying actions to achieve a goal.
- Example: if the goal is to understand the code representing a function, one can define a strategy as follows:
  - Understand the overall computational functionality of the function by reading its specification, if it exists.
  - Understand all the input parameters to the function.
  - Read all code line by line.
  - Identify chunks of related code.
  - Create a higher level model of the function in terms of the chunks.
- Strategies guide the two dynamic elements, namely, chunking and cross-referencing, to produce higher-level abstraction structures.



# Understanding Code

- Two key factors influencing code understanding are:
  - Acquiring knowledge from code
    - Code is a rich source of information.
  - The level of expertise of the code reader
    - The level of expertise determines how quickly the code is understood.

# Acquiring knowledge from code

Several concepts can be applied while reading code in order to gain a high-level understanding of programs.

- Beacons

- A beacon is code text that gives a cue to the computation being performed in a code block.
  - Example `swap()`, `sort()`, `select()`, `startTimer()`.
- Code with good quality beacons are easier to understand.

- Rules of programming discourse

- Rules of programming discourse specify the conventions, also called “rules,” that programmers follow while writing code.
- Some examples of rules are:
  - Function name: The function name agrees with what the function does.
  - Variable name: Choose meaningful names for variables and constants.
- The rules set up expectations in the minds of a reader about what should be in the program.



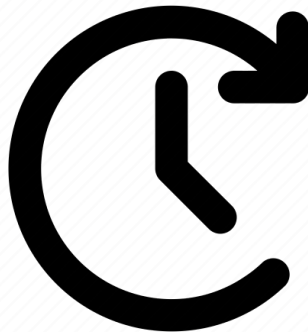
# Levels of expertise of code readers

- Expert programmers tend to possess the following characteristics:
  - **Organization of knowledge by functional characteristics**
    - Novice programmers tend to organize program knowledge in terms of program syntax.
    - Experts tend to organize knowledge in terms of algorithms and functionalities.
  - **Comprehension with flexibility**
    - Experts tend to generate a breadth-first view of the program, and keep adding useful details as more information is available.
  - **Development of specialized design schemas**
    - Design schemas are used to organize complex entities into constituents.



# For Next Time

- Review EVO Chapter 8.1 - 8.2
- Read EVO Chapter 8.3 - 8.4
- Watch Lecture 21





**Are there any questions?**