1. Introduction

1.1. Cubic equations over \mathbb{C}

- For a polynomial equation, a solution by radicals is a formula for solutions using only addition, subtraction, multiplication, division and radicals $\sqrt[m]{\cdot}$ for $m \in \mathbb{N}$.
- For general cubic equation $x^3 + a_2x^2 + a_1x + a_0 = 0$:
 - Tschirnhaus transformation is substitution $t = x + \frac{a_2}{3}$, giving

$$t^3 + pt + q = 0$$
, $p = \frac{-a_2^2 + 3a_1}{3}$, $q = \frac{2a_2^3 - 9a_1a_2 + 27a_0}{27}$

This is a **reduced** cubic equation.

- When t = u + v, $t^3 (3uv)t (u^3 + v^3) = 0$ which is in the reduced cubic form with p = -3uv, $q = -(u^3 + v^3)$.
- We have

$$(y-u^3)(y-v^3) = y^2 - (u^3 + v^3)y + u^3v^3 = y^2 + qy - \frac{p^3}{27} = 0$$

so
$$u^3, v^3 = -\frac{q}{2} \pm \sqrt{\frac{q^2}{4} + \frac{p^3}{27}}$$
.
• So a solution to $t^3 + pt + q = 0$ is

$$t = u + v = \sqrt[3]{-\frac{q}{2} + \sqrt{\frac{q^2}{4} + \frac{p^3}{27}}} + \sqrt[3]{-\frac{q}{2} - \sqrt{\frac{q^2}{4} + \frac{p^3}{27}}}$$

The other solutions are $\omega u + \omega^2 v$ and $\omega^2 u + \omega v$ where $\omega = e^{2\pi i/3}$ is the 3rd root of unity. This is because u and v each have three solutions indepedently to $u^3, v^3 = -\frac{q}{2} \pm \sqrt{\frac{q^2}{4} + \frac{p^3}{27}}$, but also $uv = -\frac{p}{3}$.

- Remark: the above method doesn't work for fields of characteristic 2 or 3 since the formulas involve division by 2 or 3 (which is dividing by zero in these respective fields).
- For general cubic equation $x^3 + a_3x^3 + a_2x^2 + a_1x + a_0 = 0$:
 - Substitution $t = x + \frac{a_3}{4}$ gives **reduced** quartic equation

$$t^4 + pt^2 + qt + r = 0$$

• We then manipulate the polynomial so that it is the sum or difference of two squares and use $a^2 + b^2 = (a + ib)(a - ib)$ or $a^2 - b^2 = (a + b)(a - b)$:

$$(t^2 + w)^2 + (p - 2w)t^2 + qt + (r - w^2) = 0$$

• $(p-2w)t^2+qt+(r-w^2)=0$ is a square iff its discriminant is zero:

$$q^2 - 4(p - 2w)(r - w^2) = 0 \iff w^3 - \frac{1}{2}pw^2 - rw + \frac{1}{8}(4pr - q^2) = 0$$

This **cubic resolvent** is solvable by radicals. Taking any of the solutions and substituting for w gives a sum or difference of two squares in t. The quadratic factors can then be solved.

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1.2. Galois theory for quadratic equations

2. Fields and polynomials

2.1. Basic properties of fields

- **Definition**: ring R is **field** if every element of $R \{0\}$ has multiplicative inverse and $1 \neq 0 \in R$.
- Lemma: every field is integral domain.
- **Definition**: field homomorphism is a ring homomorphism $\varphi: K \to L$ between fields:
 - $\varphi(a+b) = \varphi(a) + \varphi(b)$
 - $\varphi(ab) = \varphi(a)\varphi(b)$
 - $\varphi(1) = 1$

These imply $\varphi(0) = 0$, $\varphi(-a) = -\varphi(a)$, $\varphi(a^{-1}) = \varphi(a)^{-1}$.

- Lemma: let $\varphi: K \to L$ homomorphism.
 - $\operatorname{im}(\varphi) = \{ \varphi(a) : a \in K \}$ is a field.
 - $\ker(\varphi) = \{a \in K : \varphi(a) = 0\} = \{0\}$, i.e. φ is injective.
- **Definition**: subfield K of field L is subring of L where K is a field. L is a field extension of K.
- The above lemma shows the image of $\varphi: K \to L$ is a subfield of L.
- Lemma: intersections of subfields are subfields.
- Prime subfield of L: intersection of all subfields of field L.
- **Definition**: **characteristic** char(K) of field K is

$$\mathrm{char}(K) \coloneqq \min\{n \in \mathbb{N} : \chi(n) = 0\}$$

(or 0 if this does not exist) where $\chi: \mathbb{Z} \to K$, $\chi(m) = 1 + \dots + 1$ (m times).

- Example: $\operatorname{char}(\mathbb{Q}) = \operatorname{char}(\mathbb{R}) = \operatorname{char}(\mathbb{C}) = 0$, $\operatorname{char}(\mathbb{F}_p) = p$ for p prime.
- Lemma: for any field K, char(K) is either 0 or a prime.
- Theorem:
 - $\operatorname{char}(K) = 0$ iff \mathbb{Q} is the prime subfield of K.
 - $\operatorname{char}(K) = p > 0$ iff \mathbb{F}_p is the prime subfield of K.
- Note $p \mid {p \choose i}$ so $(a+b)^p = a^p + b^p$.

2.2. Polynomials over fields

- **Degree** of $f(x) = a_0 + a_1 x + \dots + a_n x_n$, $a_n \neq 0$ is $\deg(f(x)) = n$.
- $\deg(f(x)g(x)) = \deg(f(x)) + \deg(g(x))$ and $\deg(f(x) + g(x)) = \max\{\deg(f(x)), \deg(g(x))\}$ with equality if $\deg(f(x)) \neq \deg(g(x))$.
- Degree of zero polynomial is $deg(0) = -\infty$.
- Only invertible elements in K[x] are non-zero constants $f(x) = a_0 \neq 0$.
- Similarities between \mathbb{Z} and K[x] for field K:
 - K[x] is integral domain.
 - There is a division algorithm for K[x]: for $f(x), g(x) \in K[x]$, $\exists ! q(x), r(x) \in K[x]$ with $\deg(r(x)) < \deg(g(x))$ such that

$$f(x) = q(x)g(x) + r(x)$$

• Every $f(x), g(x) \in K[x]$ have greatest common divisor gcd(f(x), g(x)) unique up to multiplication by non-zero constants. By Euclidean algorithm for polynomials,

$$\exists a(x), b(x) \in K[x] : a(x)f(x) + b(x)g(x) = \gcd(f(x), g(x))$$

• Can construct field from K[x]: field of fractions of K[x] is

$$K(x) = \operatorname{Frac}(K[x]) = \left\{ \frac{f(x)}{g(x)} : f(x), g(x) \in K[x], g(x) \neq 0 \right\}$$

(We can construct the field of fractions for any integral domain).

- K[x] is PID and UFD.
- **Definition**: $f(x) \in K[x]$ irreducible in K[x] if
 - $\deg(f(x)) \ge 1$ and
 - $f(x) = g(x)h(x) \Longrightarrow g(x)$ or h(x) is constant

2.3. Tests for irreducibility

- If f(x) has linear factor in K[x], it has root in K[x].
- Rational root test: if $f(x) = a_0 + \cdots + a_n x^n \in \mathbb{Z}[x]$ has rational root $\frac{b}{c} \in \mathbb{Q}$ with gcd(b,c) = 1 then $b \mid a_0$ and $c \mid a_n$. This doesn't show f is irreducible for $deg(f(x)) \geq 4$.
- Gauss's lemma: let $f(x) \in \mathbb{Z}[x]$, f(x) = g(x)h(x), g(x), $h(x) \in \mathbb{Q}[x]$. Then $\exists r \in \mathbb{Q} : rg(x), r^{-1}h(x) \in \mathbb{Z}[x]$.
- **Example**: let $f(x) = x^4 3x^3 + 1 \in \mathbb{Q}[x]$. Using the rational root test, $f(\pm 1) \neq 0$ so no linear factors in $\mathbb{Q}[x]$. Checking quadratic factors, let

$$f(x) = (ax^2 + bx + c)(rx^2 + sx + t), \quad a, b, c, r, s, t \in \mathbb{Z}$$
 by Gauss's lemma

So $1 = ar \Rightarrow a = r = \pm 1$. $1 = ct \Rightarrow c = t = \pm 1$. -3 = b + s and 0 = c(b + s): contradiction. So f(x) irreducible in $\mathbb{Q}[x]$.

• **Example**: let $f(x) = x^4 - 3x^2 + 1 \in \mathbb{Q}[x]$. The rational root test shows there are no linear factors. Checking quadratic factors, let

$$f(x) = (ax^2 + bx + c)(rx^2 + sx + t), \quad a, b, c, r, s, t \in \mathbb{Z}$$
 by Gauss's lemma

As before, $a = r = \pm 1$, $c = t = \pm 1$. $0 = b + s \Rightarrow b = -s$, $-3 = at + bs + cr = -b^2 \pm 2$. b = 1 works. So $f(x) = (x^2 - x - 1)(x^2 + x - 1)$.

- **Proposition**: let $f(x) = a_0 + \dots + a_n x^n \in \mathbb{Z}[x]$. If exists prime $p \nmid a_n$ such that $\overline{f}(x)$ is irreducible in $\mathbb{F}_p[x]$, then f(x) irreducible in $\mathbb{Q}[x]$.
- Example: let $f(x) = 8x^3 + 14x 9$. Reducing mod 7, $\overline{f}(x) = x^3 2 \in \mathbb{F}_7[x]$. No roots exist for this, so f(x) irreducible in $\mathbb{Q}[x]$. For polynomials, no p is suitable, e.g. $f(x) = x^4 + 1$.
- Gauss's lemma works with any UFD R instead of \mathbb{Z} and field of fractions $\operatorname{Frac}(R)$ instead of \mathbb{Q} : let F field, R = F[t], K = F(t), then $f(x) \in R[x]$ irreducible in K[x] iff f(x) has no proper factors in R[x].

- Eisenstein's criterion: let $f(x) = a_0 + \dots + a_n x^n \in \mathbb{Z}[x]$, prime $p \in \mathbb{Z}$ such that $p \mid a_0, ..., p \mid a_{n-1}, p \nmid a_n, p^2 \nmid a_0$. Then f(x) irreducible in $\mathbb{Q}[x]$.
- Eisenstein's criterion generalises to UFD R instead of \mathbb{Z} , Frac(R) instead of \mathbb{Q} .
- Example: let $f(x) = x^3 3x + 1$. Consider $f(x 1) = x^3 3x^2 + 3$. Then by Eisenstein's criterion with p = 3, f(x 1) irreducible in $\mathbb{Q}[x]$ so f(x) is as well, since factoring f(x 1) is equivalent to factoring f(x).
- Example: p-th cyclotomic polynomial is

$$f(x) = \frac{x^p - 1}{x - 1} = 1 + \dots + x^{p-1}$$

Now

$$f(x+1) = \frac{(1+x)^p - 1}{1+x-1} = x^{p-1} + px^{p-2} + \dots + \binom{p}{p-2}x + p$$

so can apply Eisenstein with p.

3. Field extensions

3.1. Definitions and examples

- **Definition**: field extension L/K is field L containing subfield K. Can specify homomorphism $\iota: K \to L$ (which is injective)
- Example:
 - \mathbb{C}/\mathbb{R} , \mathbb{C}/\mathbb{Q} , \mathbb{R}/\mathbb{Q} .
 - $L = \mathbb{Q}(\sqrt{2}) = \{a + b\sqrt{2} : a, b \in \mathbb{Q}\}$ is field extension of \mathbb{Q} . $\mathbb{Q}(\theta)$ is field extension of \mathbb{Q} where θ is root of $f(x) \in Q[x]$.
 - $L = \mathbb{Q}(\sqrt[3]{2}) = \{a + b\sqrt[3]{2} + c\sqrt[3]{4} : a, b, c \in \mathbb{Q}\}$ is smallest subfield of \mathbb{R} containing \mathbb{Q} and $\sqrt[3]{2}$.
 - L = K(t) is field extension of K.
- **Definition**: let L/K field extension, $S \subseteq L$. Then K with S adjoined, K(S), is minimal subfield of L containing K and S. If |S| = 1, L/K is a simple extension.
- Example: $\mathbb{Q}(\sqrt{2}, \sqrt{7}) = \{a + b\sqrt{2} + c\sqrt{7} + d\sqrt{14} : a, b, c, d, \in \mathbb{Q}\}$ is \mathbb{Q} with $S = \{\sqrt{2}, \sqrt{7}\}.$
- **Example**: \mathbb{R}/\mathbb{Q} is not simple extension.
- **Definition**: a **tower** if a chain of field extensions, e.g. $K \subset M \subset L$.

3.2. Algebraic elements and minimal polynomials

• **Definition**: let L/K field extension, $\theta \in L$. Then θ is algebraic over K if

$$\exists 0 \neq f(x) \in K[x] : f(\theta) = 0$$

Otherwise, θ is transcendental over K.

- **Example**: for $n \ge 1$, $\theta = e^{2\pi i/n}$ is algebraic over \mathbb{Q} (root of $x^n 1$).
- Example: $t \in K(t)$ is transcendental over K.

- Lemma: the algebraic elements in K(t)/K are precisely K.
- Lemma: let L/K field extension, $\theta \in L$. Define $I_K(\theta) := \{f(x) \in K[x] : f(\theta) = 0\}$. Then $I_K(\theta)$ is ideal in K[x] and
 - If θ transcendental over K, $I_K(\theta) = \{0\}$
 - If θ algebraic over K, then exists unique monic irreducible polynomial $m(x) \in K[x]$ such that $I_K(\theta) = \langle m(x) \rangle$.
- **Definition**: for $\theta \in L$ algebraic over K, **minimal polynomial** of θ over K is the unique monic polynomial $m(x) \in K[x]$ such that $I_K(\theta) = \langle m(x) \rangle$. The **degree** of θ over K is $\deg(m(x))$.
- Remark: if $f(x) \in K[x]$ irreducible over K, monic and $f(\theta) = 0$ then f(x) = m(x).
- Example:
 - Any $\theta \in K$ has minimal polynomial $x \theta$ over K.
 - $i \in \mathbb{C}$ has minimal polynomial $x^2 + 1$ over \mathbb{R} .
 - $\sqrt{2}$ has minimal polynomial $x^2 2$ over \mathbb{Q} . $\sqrt[3]{2}$ has minimal polynomial $x^3 2$ over \mathbb{Q} .

3.3. Constructing field extensions

• Lemma: let K field, $f(x) \in K[x]$ non-zero. Then

$$f(x)$$
 irreducible over $K \iff K[x]/\langle f(x) \rangle$ is a field

- Theorem: let $m(x) \in K[x]$ irreducible, monic, $K_m := K[x]/\langle m(x) \rangle$. Then
 - K_m/K is field extension.
 - Let $\theta = \pi(x)$ where $\pi: K[x] \to K_m$ is canonical projection, then θ has minimal polynomial m(x) and $K_m = K(\theta)$.
- **Definition**: let L_1/K , L_2/K field extensions, $\varphi: L_1 \to L_2$ field homomorphism. φ is **K-homomorphism** if $\forall a \in K, \varphi(a) = a$ (φ fixes elements of K).
 - If φ is isomorphism then it is **K-isomorphism**.
 - If $L_1 = L_2$ and φ is bijective then φ is **K-automorphism**.
- Example:
 - Complex conjugation $\mathbb{C} \to \mathbb{C}$ is \mathbb{R} -automorphism.
 - Let K field, $\operatorname{char}(K) \neq 2$, $\sqrt{2} \notin K$, so $x^2 2$ is minimal polynomial of $\sqrt{2}$ over K, then $K(\sqrt{2}) \cong K[x]/\langle x^2 2 \rangle$ is field extension of K and $a + b\sqrt{2} \to a b\sqrt{2}$ is K-automorphism.
- **Proposition**: let L/K field extension, $\tau \in L$ with $m(\tau) = 0$ and $K_L(\tau)$ be minimal subfield of L containing K and τ . Then exists unique K-isomorphism $\varphi: K_m \to K_L(\tau)$ such that $\varphi(\theta) = \tau$.
- **Proposition**: let θ transcendental over K, then exists unique K-isomorphism $\varphi: K(t) \to K(\theta)$ such that $\varphi(t) = \theta$:

$$\varphi\left(\frac{f(g)}{g(t)}\right) = \varphi\left(\frac{f(\theta)}{g(\theta)}\right)$$

3.4. Explicit examples of simple extensions

- Let $r \in K^{\times}$ non-square in K, then $x^2 r$ irreducible in K[x]. E.g. for $K = \mathbb{Q}(t)$, $x^2 t \in K[x]$ irreducible. Then $K(\sqrt{t}) = \mathbb{Q}(\sqrt{t}) = K[x]/\langle x^2 t \rangle$. Then for $s = \sqrt{3}$, we have an extension $\mathbb{Q}(s)/\mathbb{Q}(s^2)$.
- Define $\mathbb{F}_9 = \mathbb{F}_3[x]/\langle x^2-2\rangle \cong \mathbb{F}_3(\theta) = \{a+b\theta: a,b\in\mathbb{F}_3\}$ for θ a root of x^2-2 .
- **Proposition**: let $K(\theta)/K$ where θ has minimal polynomial $m(x) \in K[x]$ of degree n. Then

$$K[x]/\langle m(x)\rangle \cong = K(\theta) = \{c_0 + c_1\theta + \dots + c_{n-1}\theta^{n-1} : c_i \in K\}$$

and its elements are written uniquely: $K(\theta)$ is vector space over K of dimension n with basis $\{1, \theta, ..., \theta^{n-1}\}$.

• Example: $\mathbb{Q}(\sqrt[3]{2}) = \{a + b\sqrt[3]{2} + c\sqrt[3]{4} : a, b, c \in \mathbb{Q}\} \cong \mathbb{Q}[x]/\langle x^3 - 2 \rangle$. $\mathbb{Q}(\omega\sqrt[3]{2})$ and $\mathbb{Q}(w^2\sqrt[3]{2})$ where $\omega = e^{2\pi i/3}$ are isomorphic to $\mathbb{Q}(\sqrt[3]{2})$ as $\omega\sqrt[3]{2}$, $\omega\sqrt[3]{4}$ have same minimal polynomial.

3.5. Degrees of field extensions

• **Definition**: **degree** of field extension L/K is

$$[L:K]\coloneqq \dim_L(F)$$

Write $[L:K] < \infty$ if degree is finite.

- Example:
 - When θ algebraic over K of degree n, $[K(\theta):K]=n$.
 - Let θ transcendental over K, then $[K(\theta):K]=\infty$, so $[K(t):K]=\infty$, $[\mathbb{Q}(\pi):\mathbb{Q}]$, $[\mathbb{R}:\mathbb{Q}]=\infty$.
- **Proposition**: let $[L:K] < \infty$, then every element in L/K is algebraic over K (in this case, L/K is algebraic extension).
- Tower theorem: let $K \subseteq M \subseteq L$ tower of field extensions. Then
 - $[L:K] < \infty \iff [L:M] < \infty \land [M:K] < \infty$.
 - [L:K] = [L:M][M:K].
- Example:
 - $K = \mathbb{Q} \subset M = \mathbb{Q}(\sqrt{2}) \subset L = \mathbb{Q}(\sqrt{2}, \sqrt{7})$. M/K has basis $\{1, \sqrt{2}\}$ so [M:K] = 2. Let $\sqrt{7} \in \mathbb{Q}(\sqrt{2})$, then $\sqrt{7} = c + d\sqrt{2}$, $c, d \in \mathbb{Q}$ so $7 = (c^2 + 2d^2) + 2cd\sqrt{2}$ so $7 = c^2 + 2d^2$, 0 = 2cd so $d^2 = \frac{7}{2}$ or $c^2 = 7$, which are both contradictions. So [L:K] = 4 with basis $\{1, \sqrt{2}, \sqrt{7}, \sqrt{14}\}$.
 - Let $K = \mathbb{Q} \subset M = \mathbb{Q}(i) \subset \mathbb{Q}(i, \sqrt{2})$. We know $[\mathbb{Q}(i) : \mathbb{Q}] = 2$, and $[\mathbb{Q}(\sqrt{2}) : \mathbb{Q}] = 2$, $[\mathbb{Q}(i, \sqrt{2}) : \mathbb{Q}] = 2$ (since $i \notin \mathbb{R}$) so $[\mathbb{Q}(i, \sqrt{2}) : \mathbb{Q}(\sqrt{2})] = 2$.
 - Let $K = \mathbb{Q} \subset M = \mathbb{Q}(\sqrt{2}) \subset L = \mathbb{Q}(\sqrt{2}, \sqrt[3]{3})$. Then $[\mathbb{Q}(\sqrt{2}) : \mathbb{Q}] = 2$, $[\mathbb{Q}(\sqrt[3]{3}) : \mathbb{Q}] = 3$ so $2 \mid [L : K]$ and $3 \mid [L : K]$ so $6 \mid [L : K]$ so $[L : K] \ge 6$. But $[L : M] \le 3$ and $[M : K] \le 2$ so $[L : K] \le 6$ hence [L : K] = 6.
- More generally, we have $[K(\alpha, \beta) : K] \leq [K(\alpha) : K][K(\beta) : K]$.
- Example:
 - Let $\theta = \sqrt[3]{4} + 1$. $\mathbb{Q}(\theta) = \mathbb{Q}(\sqrt[3]{4})$ so minimal polynomial over \mathbb{Q} , m, has $\deg(m) = 3$. $(\theta 1)^3 = 4$ so minimal polynomial is $x^3 3x^2 + 3x 5$.

- Let $\theta = \sqrt{2} + \sqrt{3}$. $\mathbb{Q}(\sqrt{2}, \theta) = \mathbb{Q}(\sqrt{2}, \sqrt{3})$ which has degree 2 over $\mathbb{Q}(\sqrt{2})$ so minimal polynomial of θ over $\mathbb{Q}(\sqrt{2})$ has degree 2, $(\theta \sqrt{2}) = \sqrt{3}$ so minimal polynomial is $x^2 2\sqrt{2}x 1$.
- Let $\theta = \sqrt{2} + \sqrt{3}$. $\mathbb{Q} \subset \mathbb{Q}(\theta) \subset \mathbb{Q}(\sqrt{2}, \sqrt{7})$ so $[\mathbb{Q}(\theta) : \mathbb{Q}] \mid [\mathbb{Q}(\sqrt{2}, \sqrt{3}) : \mathbb{Q}] = 4$ so $[\mathbb{Q}(\theta) : \mathbb{Q}] \in \{1, 2, 4\}$. Can't be 1 as $\theta \notin \mathbb{Q}$. If it was 2 then $1, \theta, \theta^2$ are linearly dependent over \mathbb{Q} which leads to a contradiction. So degree of minimal polynomial of θ over \mathbb{Q} is 4. $\theta^2 = 5 + 2\sqrt{6} \Rightarrow (\theta^2 5)^2 = 24$ so minimal polynomial is $x^4 10x^2 + 1$.

4. Galois extensions

4.1. Splitting fields

- **Definition**: for field K, $0 \neq f(x) \in K[x]$, L/K is **splitting field** of f(x) over K if
 - $\exists c \in K^{\times}, \theta_1, ..., \theta_n \in L : f(x) = c(x \theta_1) \cdots (x \theta_n) \ (f(x) \ \text{splits over} \ \boldsymbol{L}).$
 - $L = K(\theta_1, ..., \theta_n)$.
- Example:
 - \mathbb{C} is splitting field of $x^2 + 1$ over \mathbb{R} , since $x^2 + 1 = (x + i)(x i)$ and $\mathbb{C} = \mathbb{R}(i, -i) = \mathbb{R}(i)$.
 - \mathbb{C} is not splitting field of $x^2 + 1$ over \mathbb{Q} as $\mathbb{C} \neq \mathbb{Q}(i, -i)$.
 - \mathbb{Q} is splitting field of $x^2 36$ over \mathbb{Q} .
 - \mathbb{C} is splitting of $x^4 + 1$ over \mathbb{R} .
 - $\mathbb{Q}(i,\sqrt{2})$ is splitting field of x^4-x^2-2 over \mathbb{Q} .
 - $\mathbb{F}_2(\theta)$ where $\theta^3 + \theta + 1 = 0$ is splitting field of $x^3 + x + 1$ over \mathbb{F}_2 .
 - Consider splitting field of $x^3 2$ over \mathbb{Q} . Let $\omega = e^{2\pi i/3} = \left(-1 + \sqrt{-3}\right)/2$ then $\mathbb{Q}(\sqrt[3]{2}, \omega)$ is splitting field since it must contain $\sqrt[3]{2}$, $\omega^3\sqrt[3]{2}$, $\omega^2\sqrt[3]{2}$.
- **Theorem**: let $0 \neq f(x) \in K[x]$, $\deg(f) = n$. Then there exists a splitting field L of f(x) over K with

$$[L:K] \leq n!$$

• Notation: for field homomorphism $\varphi: K \to K'$ and $f(x) = a_0 + \dots + a_n x^n \in K[x]$, write

$$\varphi_*(f(x)) \coloneqq \varphi(a_0) + \dots + \varphi(a_n) x^n \in K'[x]$$

- **Lemma**: let $\sigma: K \to K'$ isomorphism and $K(\theta)/K$, θ has minimal polynomial $m(x) \in K[x]$, θ' be root of $\sigma_*(m(x))$. Then there exists unique field isomorphism $\tau: K(\theta) \to K'(\theta')$ such that $\tau(\theta) = \theta'$ and $\forall a \in K, \tau(a) = \sigma(a)$.
- **Theorem**: for field isomorphism $\sigma: K \to K'$ and $0 \neq f(x) \in K[x]$, let L be splitting field of f(x) over K, L' be splitting field of $\sigma_*(f(x))$ over K'. Then there exists a field isomorphism $\tau: L \to L'$ such that $\forall a \in K, \tau(a) = \sigma(a)$.
- Corollary: setting K = K' and $\sigma = id$ implies that splitting fields are unique.

4.2. Normal extensions

• **Definition**: L/K is **normal** if: for all $f(x) \in K[x]$, if f is irreducible and has a root in L then all its roots are in L. In particular, f(x) splits completely as

product of linear factors in L[x]. So the minimal polynomial of $\theta \in L$ over K has all its roots in L and can be written as product of linear factors in L[x].

• Example:

- If [L:K] = 1 then L/K is normal.
- If [L:K]=2 then L/K is normal: let $\theta \in L$ have minimal polynomial $m(x) \in K[x]$, then $K \subseteq K(\theta) \subseteq L$ so $\deg(m(x)) = [K(\theta):K] \in \{1,2\}$:
 - If deg(m(x)) = 1 then m(x) is already linear.
 - If $\deg(m(x))=2$ then $m(x)=(x-\theta)m_1(x),\ m_1(x)\in L[x]$ is linear so m(x) splits completely in L[x].
- If [L:K]=3 then L/K is not necessarily normal. Let θ be root of $x^3-2\in\mathbb{Q}[x]$. Other two roots are $\omega\theta$, $\omega^2\theta$ where $\omega=e^{2\pi i/3}$. If $\omega\theta\in\mathbb{Q}(\theta)$ then $\omega=\frac{\omega\theta}{\theta}\in L$ so $\mathbb{Q}\subset\mathbb{Q}(\omega)\subset\mathbb{Q}(\theta)$ but $[\mathbb{Q}(\omega):\mathbb{Q}]=2$ which doesn't divide $[\mathbb{Q}(\theta):\mathbb{Q}]=3$.
- Let $\theta \in \mathbb{C}$ be root of irreducible $f(x) = x^3 3x 1 \in \mathbb{Q}[x]$. Let $\theta = u + v$, then $(u+v)^3 3uv(u+v) (u^3+v^3) \equiv 0$ implies $uv = 1 = u^3v^3$, $u^3 + v^3 = 1$. So $(y-u^3)(y-v^3) = y^2 y + 1$ has roots u^3 and v^3 . So the three roots of f are

$$\begin{split} u+v &= e^{\pi i/9} + e^{-\pi i/9} = 2\cos(\pi/9)\\ \omega u + \omega^2 v &= e^{7\pi i/9} + e^{-7\pi i/9} = 2\cos(7\pi/9)\\ \omega^2 u + \omega v &= e^{13\pi i/9} + e^{-13\pi i/9} = 2\cos(13\pi/9) \end{split}$$

Furthermore, for each $i, j, \theta_i \in \mathbb{Q}(\theta_i)$, e.g.

$$\theta_2 = 2\cos\left(\pi - \frac{2\pi}{9}\right) = -2\cos\left(\frac{2\pi}{9}\right) = -2\left(2\cos\left(\frac{\pi}{9}\right)^2 - 1\right) = 2 - \theta_1^2$$

So $\mathbb{Q}(\theta)$ contains all roots of f(x).

- Theorem (normality criterion): L/K is finite and normal iff L is splitting field for some $0 \neq f(x) \in K[x]$ over K.
- Example:
 - $\mathbb{Q}(\sqrt{2}, \sqrt{3}, \sqrt{5}, \sqrt{7})/Q$ is normal as it is the splitting field of $f(x)=(x^2-2)(x^2-3)(x^2-5)(x^2-7)\in\mathbb{Q}[x].$
 - $\mathbb{Q}(\sqrt[3]{2})/\mathbb{Q}$ is not normal but $\mathbb{Q}(\sqrt[3]{2},\omega)/\mathbb{Q}$ is normal as it is the splitting field of $x^3-2\in\mathbb{Q}$.
 - $\mathbb{Q}(\sqrt[4]{2})/\mathbb{Q}$ is not normal but $\mathbb{Q}(\sqrt[4]{2},i)/\mathbb{Q}$ is normal.
 - Let θ root of $f(x) = x^3 3x 1 \in \mathbb{Q}[x]$. Then $\mathbb{Q}(\theta)/\mathbb{Q}$ is normal as is splitting field of f(x) over \mathbb{Q} .
 - $\mathbb{F}_2(\theta)/\mathbb{F}_2$ where $\theta^3+\theta^2+1=0$ is normal.
 - $\mathbb{F}_p(\theta)/\mathbb{F}_p(t)$ where $\theta^p = t$ is normal as it is the splitting field of $x^p t = x^p \theta^p = (x \theta)^p$ so f(x) splits into linear factors in L[x].
- **Definition**: field N is **normal closure** of L/K if $K \subseteq L \subseteq N$, N/K is normal, and if $K \subseteq L \subseteq N' \subseteq N$ with N'/K normal then N = N'.
- **Theorem**: every finite extension L/K has normal closure N.

- **Definition**: $\operatorname{Aut}(L/K)$ is group of K-automorphisms of L/K with composition the group operation.
- Example:
 - Aut(\mathbb{C}/\mathbb{R}) contains at least two elements: complex conjugation: $\sigma(a+bi)=a-bi$ and the identity map $\mathrm{id}=\sigma^2$. If $\tau\in\mathrm{Aut}(\mathbb{C}/\mathbb{R})$ then $\tau(a+bi)=a+b\tau(i)$. But $\tau(i)^2=\tau(i^2)=\tau(-1)=-1$ hence $\tau(i)=\pm i$. So there are only two choices for τ . So $\mathrm{Aut}(\mathbb{C}/\mathbb{R})=\{\mathrm{id},\sigma\}$.
 - Let $f(x) = x^2 + px + q \in \mathbb{Q}[x]$ irreducible with roots θ, θ' . Then $\operatorname{Aut}(\mathbb{Q}(\theta)/\mathbb{Q}) = \{\operatorname{id}, \sigma\} \cong \mathbb{Z}/2$ where $\sigma(a+b\theta) = a+b\theta'$.
 - Let θ root of $x^3 2$, let $\sigma \in \operatorname{Aut}(\mathbb{Q}(\theta)/\mathbb{Q})$. Now $\sigma(\theta)^3 = \sigma(\theta^3) = \sigma(2) = 2$ so $\sigma(\theta) \in \{\theta, \omega\theta, \omega^2\theta\}$ but $\omega\theta, \omega^2\theta \notin \mathbb{Q}(\theta)$ so $\sigma(\theta) = \theta \Longrightarrow \sigma = \operatorname{id}$.
 - Let $\theta^p = t$, $\sigma \in \operatorname{Aut}(\mathbb{F}_p(\theta)/\mathbb{F}_p(t))$. Then

$$\sigma(\theta)^p = \sigma(\theta^p) = \sigma(t) = t = \theta^p$$

so
$$(\sigma(\theta) - \theta)^p = \sigma(\theta)^p - \theta^p = 0 \Longrightarrow \sigma(\theta) = \theta \Longrightarrow \sigma = id.$$

- Let $\sigma \in \operatorname{Aut}(\mathbb{R}/\mathbb{Q})$. Then $\alpha \leq \beta \in \mathbb{R} \Longrightarrow \beta \alpha = \gamma^2$, $\gamma \in \mathbb{R}$, so $\sigma(\beta) \sigma(\alpha) = \sigma(\gamma)^2 \geq 0$ so $\sigma(\alpha) \leq \sigma(\beta)$. Given $\alpha \in \mathbb{R}$, there exist sequences $(r_n), (s_n) \subset \mathbb{Q}$ with $r_n \leq \alpha \leq s_n$ and $r_n \to \alpha$, $s_n \to \alpha$ as $n \to \infty$. Hence $r_n = \sigma(r_n) \leq \sigma(\alpha) \leq \sigma(s_n) = s_n$ so $\sigma(\alpha) = \alpha$ by squeezing. Hence $\operatorname{Aut}(\mathbb{R}/\mathbb{Q}) = \{ \operatorname{id} \}$.
- **Theorem**: let $L = K(\theta)$, θ root of irreducible $f(x) \in K[x]$, $\deg(f) = n$. Then $|\operatorname{Aut}(L/K)| \le n$, with equality iff f(x) has n distinct rotos in L.
- **Theorem**: let L/K be finite extension. Then $|\operatorname{Aut}(L/K)| \leq [L:K]$, with equality iff L/K is normal and minimal polynomial of every $\theta \in L$ over K has no repeated roots (in a splitting field).

4.3. Separable extensions

- **Definition**: let L/K finite extension.
 - $\theta \in L$ is **separable over** K if its minimal polynomial over K has no repeated roots (in its splitting field).
 - L/K is **separable** if every $\theta \in L$ is separable over K.
- Example:
 - Let $\theta^3 = 2$, the minimal polynomial of θ over \mathbb{Q} is $x^3 2 = (x \theta)(x \omega\theta)(x \omega^2\theta)$, so $\mathbb{Q}(\theta)/\mathbb{Q}$ is not normal.
 - Let $\theta^3 = t$, so minimal polynomial of θ over $\mathbb{F}_3(t)$ is $x^3 t = (x \theta)^3$, so $\mathbb{F}_3(\theta)/\mathbb{F}_3(t)$ is not separable but is normal.
- Definition: let $f(x) = \sum_{i=0}^{n} a_i x^i \in K[x]$. Formal derivative of f(x) is

$$Df(x) = D(f) \coloneqq \sum_{i=1}^n ia_i x^{i-1} \in K[x]$$

• Formal derivative satisfies:

$$D(f+g) = D(f) + D(g), \quad D(fg) = f \cdot D(g) + D(f) \cdot g, \quad \forall a \in K, D(a) = 0$$

- Also $\deg(D(f)) < \deg(f)$. But if $\operatorname{char}(K) = p$, then $D(x^p) = px^{p-1} = 0$ so it is not always true that $\deg(D(f)) = \deg(f) 1$.
- Theorem (sufficient conditions for separability): finite extension L/K is separable if any of the following hold:
 - $\operatorname{char}(K) = 0$,
 - $\operatorname{char}(K) = p$ and $K = \{b^p : b \in K\}$ for prime p,
 - $\operatorname{char}(K) = p \text{ and } p \nmid [L:K].$
- **Definition**: *K* is a **perfect field** if the first two of the above properties hold.
- Remark: all finite extensions of any perfect extension (e.g. \mathbb{Q}, \mathbb{F}_p) are separable (recall Fermat's little theorem: $\forall a \in \mathbb{F}_p, a = a^p$). So to find a non-separable extension L/K, we need $\operatorname{char}(K) = p > 0$, K infinite and $p \mid [L:K]$. For example, $L = \mathbb{F}_p(\theta), K = \mathbb{F}_p(t)$ where $\theta^p = t$.
- Theorem: let $\alpha_1, ..., \alpha_n$ algebraic over K, then $K(\alpha_1, ..., \alpha_n)/K$ is separable iff every α_i is separable over K.
- Remark: for tower $K \subseteq M \subseteq L$, L/K is separable iff L/M and M/K are separable. However, the same statement for normality does not hold.
- Theorem of the Primitive Element: let L/K finite and separable. Then L/K is simple, i.e. $\exists \alpha \in L : L = K(\alpha)$.

4.4. The fundamental theorem of Galois theory

- **Definition**: finite extension L/K is **Galois extension** if it is normal and separable. Equivalently, $|\operatorname{Aut}(L/K)| = [L:K]$. When L/K is Galois, the **Galois group** is $\operatorname{Gal}(L/K) := \operatorname{Aut}(L/K)$.
- **Definition**: let $\mathcal{F} := \{\text{intermediate fields of } L/K\}$ and $\mathcal{G} := \{\text{subgroups of } \operatorname{Gal}(L/K)\}$. Define the map $\Gamma : \mathcal{F} \to \mathcal{G}, \Gamma(M) = \operatorname{Gal}(L/M)$.
- **Definition**: let L field, G a group of automorphisms of L. **Fixed field** L^G of G is set of elements in L which are invariant under all automorphisms in G:

$$L^G := \{ \alpha \in L : \forall \alpha \in G, \, \sigma(\alpha) = \alpha \}$$

- **Theorem**: if G is fintic group of automorphisms of L then L^G is subfield of L and $[L:L^G]=|G|$.
- Corollary: if L/K is Galois then
 - $L^{\operatorname{Gal}(L/K)} = K$.
 - If $L^G = K$ for some group G of K-automorphisms of L, then G = Gal(L/K).
- Remark: if L/K is Galois and $\alpha \in L$ but $\alpha \notin K$, then there exists an automorphism $\sigma \in \operatorname{Gal}(L/K)$ such that $\sigma(\alpha) \neq \alpha$.
- **Definition**: for H subgroup of Gal(L/K), set $L^H := \{ \alpha \in L : \forall \sigma \in H, \sigma(\alpha) = \alpha \}$, then $K \subseteq L^H \subseteq L$. Define $\Phi : \mathcal{G} \to \mathcal{F}$, $\Phi(H) = L^H$.
- Γ and Φ are inclusion-reversing: $M_1 \subseteq M_2 \Longrightarrow \Gamma(M_2) \subseteq \Gamma(M_1)$, and $H_1 \subseteq H_2 \Longrightarrow \Phi(H_2) \subseteq \Phi(H_1)$.
- **Theorem A**: for finite Galois extension L/K,
 - $\Gamma: \mathcal{F} \to \mathcal{G}$ and $\Phi: \mathcal{F} \to \mathcal{F}$ are mutually inverse bijections (the **Galois** correspondence).
 - For $M \in \mathcal{F}$, L/M is Galois and |Gal(L/M)| = [L:M].

- For $H \in \mathcal{G}$, L/L^H is Galois and $Gal(L/L^H) = H$.
- Remark: $\operatorname{Gal}(L/K)$ acts on \mathcal{F} : given $\sigma \in \operatorname{Gal}(L/K)$ and $K \subseteq M \subseteq L$, consider $\sigma(M) = \{\sigma(\alpha) : \alpha \in M\}$ which is a subfield of L and contains K, since σ fixes elements of K. Given another automorphism $\tau : L \to L$,

$$\begin{split} \tau \in \operatorname{Gal}(L/\sigma(M)) &\iff \forall \alpha \in M, \tau(\sigma(\alpha)) = \sigma(\alpha) \\ &\iff \forall \alpha \in M, \sigma^{-1}(\tau(\sigma(\alpha))) = \alpha \\ &\iff \sigma^{-1}\tau\sigma \in \operatorname{Gal}(L/M) \\ &\iff \tau \in \sigma \ \operatorname{Gal}(L/M)\sigma^{-1} \end{split}$$

Hence σ Gal $(L/M)\sigma^{-1}$ and Gal(L/M) are conjugate subgroups of Gal(L/K). Now

$$[M:K] = \frac{[L:K]}{[L:M]} = \frac{|\operatorname{Gal}(L/K)|}{|\operatorname{Gal}(L/M)|}$$

- Theorem B: for finite Galois extension L/K, $G = \operatorname{Gal}(L/K)$ and $K \subseteq M \subseteq L$. Then the following are equivalent:
 - M/K is Galois.
 - $\forall \sigma \in G, \quad \sigma(M) = M.$
 - $H = \operatorname{Gal}(L/M)$ is normal subgroup of $G = \operatorname{Gal}(L/K)$.

When these conditions hold, we have $Gal(M/K) \cong G/H$.