## 1. Introduction

- Basic encryption process:
  - A has a message (**plaintext**) which is **encrypted** using an **encryption key** to produce the **ciphertext**, which is sent to B.
  - B uses a **decryption key** (which depends on the encryption key) to **decrypt** the ciphertext and recover the original plaintext.
  - It should be computationally infeasible to determine the plaintext without knowing the decryption key.

#### • Caesar cipher:

• Add a constant to each letter in the plaintext to produce the ciphertext:

ciphertext letter = plaintext letter +  $k \mod 26$ 

• To decrypt,

plaintext letter = ciphertext letter  $-k \mod 26$ 

- The key is  $k \mod 26$ .
- Cryptosystem objectives:
  - Secrecy: the intercepted message should be not able to be decrypted
  - **Integrity**: a message should not allowed to be altered without the receiver knowing
  - Authenticity: the receiver should be certain of the identity of the sender
  - Non-repudiation: the sender should not be able to claim they sent a message; the receiver should be able to prove they did.
- **Kerckhoff's principle**: a cryptographic system should be secure even if the details of the system are known to an attacker.
- Types of attack:
  - Ciphertext-only: the plaintext is deduced from the ciphertext.
  - **Known-plaintext**: intercepted ciphertext and associated stolen plaintext are used to determine the key.
  - Chosen-plaintext: an attacker tricks a sender into encrypting various chosen plaintexts and observes the ciphertext, then uses this information to determine the key.
  - Chosen-ciphertext: an attacker tricks the receiver into decrypting various chosen ciphertexts and observes the resulting plaintext, then uses this information to determine the key.

## 2. Symmetric key ciphers

- Converting letters to numbers: treat letters as integers modulo 26, with  $A=1, Z=0\equiv 26 \pmod{26}$ . Treat a string of text as a vector of integers modulo 26.
- Symmetric key cipher: one in which encryption and decryption keys are equal.
- **Key size**:  $\log_2(\text{number of possible keys})$ .

- Substitution cipher: key is permutation of  $\{a, ..., z\}$ . Key size is  $\log_2(26!)$ . It is vulnerable to plaintext attacks and ciphertext-only attacks, since different letters (and letter pairs) occur with different frequencies in English.
- Stirling's formula:

$$n! \approx \sqrt{2\pi n} \left(\frac{n}{e}\right)^n$$

- One-time pad: key is uniformly, independently random sequence of integers mod 26,  $(k_1, k_2, ...)$ , it is known to the sender and receiver. If message is  $(m_1, m_2, ..., m_r)$  then ciphertext is  $(c_1, c_2, ..., c_r) = (k_1 + m_1, k_2 + m_2, ..., k_r + m_r)$ . To decrypt the ciphertext,  $m_i = c_i k_i$ . Once  $(k_1, ..., k_r)$  have been used, they must never be used again.
  - One-time pad is information-theoretically secure against ciphertext-only attack:  $\mathbb{P}(M=m\mid C=c)=\mathbb{P}(M=m).$
  - Disadvantage is keys must never be reused, so must be as long as message.
  - Keys must be truly random.
- Chinese remainder theorem: let  $m, n \in \mathbb{N}$  coprime,  $a, b \in \mathbb{Z}$ . Then exists unique solution  $x \mod mn$  to the congruences

$$x \equiv a \mod m$$
$$x \equiv b \mod n$$

- Block cipher: group characters in plaintext into blocks of n (the block length) and encrypt each block with a key. So plaintext  $p = (p_1, p_2, ...)$  is divided into blocks  $P_1, P_2, ...$  where  $P_1 = (p_1, ..., p_n), P_2 = (p_{n+1}, ..., p_{2n})$ . Then ciphertext blocks are given by  $C_i = f(\text{key}, P_i)$  for some encryption function f.
- Hill cipher:
  - Plaintext divided into blocks  $P_1, ..., P_r$  of length n.
  - Each block represented as vector  $P_i \in (\mathbb{Z}/26\mathbb{Z})^n$
  - Key is invertible  $n \times n$  matrix M with elements in  $\mathbb{Z}/26\mathbb{Z}$ .
  - Ciphertext for block  $P_i$  is

$$C_i = MP_i$$

It can be decrypted with  $P_i = M^{-1}C$ .

- Let  $P = (P_1, ..., P_r), C = (C_1, ..., C_r),$  then C = MP.
- Confusion: each character of ciphertext depends on many characters of key.
- **Diffusion**: each character of ciphertext depends on many characters of plaintext. Ideal diffusion changes a proportion of (S-1)/S of the characters of the ciphertext, where S is the number of possible symbols.
- For Hill cipher, ith character of ciphertext depends on ith row of key this is medium confusion. If jth character of plaintext changes and  $M_{ij} \neq 0$  then ith character of ciphertext changes.  $M_{ij}$  is non-zero with probability roughly 25/26 so good diffusion.
- Hill cipher is susceptible to known plaintext attack:

- If  $P = (P_1, ..., P_n)$  are n blocks of plaintext with length n such that P is invertible and we know P and the corresponding C, then we can recover M, since  $C = MP \Longrightarrow M = CP^{-1}$ .
- If enough blocks of ciphertext are intercepted, it is very likely that n of them will produce an invertible matrix P.

# 3. Public key cryptography and the RSA algorithm

### • Euler $\varphi$ function:

$$\varphi: \mathbb{N} \to \mathbb{N}, \varphi(n) = |\{1 \le a \le n : \gcd(a, n) = 1\}| = |(\mathbb{Z}/n\mathbb{Z})^{\times}|$$

- $\varphi(p^r) = p^r p^{r-1}$ ,  $\varphi(mn) = \varphi(m)\varphi(n)$  for  $\gcd(m, n) = 1$ .
- Euler's theorem: if gcd(a, n) = 1,  $a^{\varphi(n)} \equiv 1 \pmod{n}$ .
- Public key cryptography:
  - Create two keys,  $k_D$  and  $k_E$ .  $k_E$  is public,  $k_D$  is private.
  - Plaintext m is encrypted as  $c = f(m, k_E)$ .
  - Ciphertext decrypted by  $m = g(c, k_D)$ .

### • RSA:

- $k_E$  is pair (n, e) where n = pq is product of two distinct primes and  $e \in \mathbb{Z}$  is coprime to  $\varphi(n)$ .
- $k_D$  is integer d such that  $de \equiv 1 \pmod{\varphi(n)}$ .
- m is an integer modulo n, m and n are coprime.
- Encryption:  $c = m^e \pmod{n}$ .
- Decryption:  $m = c^d \pmod{n}$ .
- RSA problem: given n = pq a product of two unknown primes, e and  $m^e \pmod{n}$ , recover m. If n can be factored, the RSA is solved.
- It is recommended that n have at least 2048 bits. A typical choice of e is  $2^{16} + 1$ .

### • Attacks on RSA:

- If you can factor n, you can compute d, so can break RSA (as then you know  $\varphi(n)$  so can compute  $e^{-1} \pmod{\varphi(n)}$ ).
- If  $\varphi(n)$  is known, then we have pq = n and  $(p-1)(q-1) = \varphi(n)$  so  $p+q=n-\varphi(n)+1$ . Hence p and q are roots of  $x^2-(n-\varphi(n)+1)+n=0$ .
- **Known** d: we have de-1 is multiple of  $\varphi(n)$ . Look for a factor A of de-1 such that  $(p-1) \mid A$ ,  $(q-1) \nmid A$ . Then try  $x^A-1$  for random x, this satisfies  $x^A-1$  is divisible by p, hence  $\gcd(x^A-1,n)=p$ .

## • RSA signatures:

- Public key is (n, e) and private key is d.
- When sending a message m, message is **signed** by also sending  $s = m^d \mod n$ .
- (m, s) is received, **verified** by checking if  $m = s^e \mod n$ .
- Forging a signature on a message m would require finding s with  $m = s^e \mod n$ . This is the RSA problem.
- However, choosing signature s first then taking  $m = s^e \mod n$ .
- To solve this, (m, s) is sent where  $s = h(m)^d$ , h is **hash function**. Then the message receiver verifies  $h(m) = s^e \mod n$ .

- Now, for a signature to be forged, an attacker would have to find m with  $h(m) = s^e \mod n$ .
- Hash function is function  $h : \{\text{messages}\} \to \mathcal{H}$  that:
  - Can be computed efficiently
  - Is preimage-resistant: can't quickly find m with given h(m).
  - Is collision-resistant: can't quickly find m, m' with h(m) = h(m').

#### Example is SHA-256.

- **Theorem**: it is no easier to find  $\varphi(n)$  than to factorise n.
- **Theorem**: it is no easier to find d than to factor n.
- Miller-Rabin algorithm:
  - 1. Choose random  $x \mod n$ .
  - 2. Let  $n-1=2^r s$ ,  $y=x^s$ .
  - 3. Compute  $y, y^2, ..., y^{2^r} \mod n$ .
  - 4. If 1 isn't in this list, n is **composite** (with witness x).
  - 5. If 1 is in list preceded by number other than  $\pm 1$ , n is **composite** (with witness a).
  - 6. Other, n is **possible prime** (to base x).

#### 3.1. Factorisation

- Trial division algorithm: for p = 2, 3, 5, ... test whether  $p \mid n$ .
- Fermat's method:
  - Let  $a = \lceil \sqrt{n} \rceil$ . Compute  $a^2 \mod n$ ,  $(a+1)^2 \mod n$  until a square  $x^2 \equiv (a+i)^2 \mod n$  appears. Then compute  $\gcd(a+i-x,n)$ .
  - Works well under special conditions on the factors: if  $|p-q| \le 2\sqrt{2}\sqrt[4]{n}$  then Fermat's method takes one step:  $x = \lceil \sqrt{n} \rceil$  works.
- An integer is B-smooth if all its prime factors are  $\leq B$ .
- Quadratic sieve:
  - Choose B and let m be number of primes  $\leq B$ .
  - Look at integers  $x = \lceil \sqrt{n} \rceil + k$ , k = 1, 2, ... and check whether  $y = x^2 n$  is B-smooth.
  - Once  $y_1 = x_1^2 n, ..., y_t = x_t^2 n$  are all B-smooth with t > m, find some product of them that is a square.
  - Deduce a congruence between the squares.
- Other factorisation algorithms:
  - Pollard's  $\rho$  algorithm.
  - Pollard's p-1 algorithm.
  - Lenstra's algorithm using elliptic curves.
  - General number field sieve
  - Shor's algorithm:  $\ln(N)^2 \ln(\ln(N))$ .

#### 3.2. Primitive roots

• Let p prime,  $g \in \mathbb{F}_p^{\times}$ . Order of g is smallest  $a \in \mathbb{N}_0$  such that  $g^a = 1$ . g is **primitive root** if its order is p - 1.

- Let p prime,  $g \in \mathbb{F}_p^{\times}$  primitive root. If  $x \in \mathbb{F}_p^{\times}$  then  $x = g^L$  for some  $0 \le L .$ Then <math>L is **discrete logarithm** of x to base g. Write  $L = L_g(x)$ . It satisfies:
  - $\bullet \ \ g^{L_g(x)} \equiv x \pmod{p} \text{ and } g^a \equiv x \pmod{p} \Longleftrightarrow a \equiv L_g(x) \pmod{p-1}.$
  - $L_q(1) = 0, L_q(g) = 1.$
  - $\bullet \ \ L_g(xy) \equiv L_g(x) + L_g(y) \quad (\operatorname{mod} p 1).$
  - h is primitive root mod p iff  $L_g(h)$  coprime to p-1. So number of primitive roots mod p is  $\varphi(p-1)$ .
- Discrete logarithm problem: given p, g, x, compute  $L_q(x)$ .
- Diffie-Hellman key exchange:
  - Two parties agree on prime p and primitive root  $q \mod p$ .
  - Alice chooses secret  $\alpha \mod (p-1)$  and sends  $g^{\alpha} \mod p$  to Bob.
  - Bob chooses secret  $\beta \mod(p-1)$  and sends  $g^{\beta} \mod p$  to Alice.
  - Alice and Bob both compute  $\kappa = g^{\alpha\beta} = (g^{\alpha})^{\beta} = (g^{\beta})^{\alpha} \mod p$ .
- Diffie-Hellman problem: given  $p, g, g^{\alpha}, g^{\beta}$ , compute  $g^{\alpha\beta}$ .
- If discrete logarithm problem cna be solved, so can Diffie-Hellman problem (since could compute  $\alpha=L_g(g^a)$  or  $\beta=L_g(g^\beta)$ ).
- Elgamal public key encryption:
  - Alice chooses prime p, primitive root q, private key  $\alpha \mod(p-1)$ .
  - Her public key is  $y = g^{\alpha}$ .
  - Bob chooses random  $k \mod (p-1)$
  - To send message m (integer mod p), he sends the pair  $(r, m') = (g^k, my^k)$ .
  - To descript the message, Alice computes  $r^{\alpha} = g^{\alpha k} = y^k$  and then  $m = m'y^{-k} = m'r^{-\alpha}$ .
  - If Diffie-Hellman problem is hard, then Elgamal encryption is secure against known plaintext attack.
  - Key k must be random and different each time.
- Decision Diffie-Hellman problem: given  $g^a, g^b, c$  in  $\mathbb{F}_p^{\times}$ , decide whether  $c = g^{ab}$ .
  - This problem is not always hard, as can tell if  $g^{ab}$  is square or not. Can fix this by taking g to have large prime order  $q \mid (p-1)$ . p = 2q + 1 is a good choice.
- Elgamal signatures:
  - Public key is (p, g),  $y = g^{\alpha}$  for private key  $\alpha$ .
  - Valid Elgamal signature on m is pair (r, s),  $r \ge 0$ , s such that

$$y^r r^s = q^m \pmod{p}$$

- Alice computes  $r = g^k$ ,  $k \in (\mathbb{Z}/(p-1))^{\times}$  random.
- Then  $g^{\alpha r}g^{ks} \equiv g^m \mod p$  so  $\alpha r + ks \equiv m \pmod{p-1}$  so  $s = k^{-1}(m \alpha r) \mod p 1$ .
- Elgamal signature problem: given p, g, y, m, find r, s such that  $y^r r^s = m$ .
- Discrete logarithm problem: given prime p, primitive root  $g \mod p$ ,  $x \in \mathbb{F}_p^{\times}$ , calculate  $L_g(x)$ .

- Baby-step giant-step algorithm for solving DLP:
  - Let  $N = \lceil \sqrt{p-1} \rceil$ .
  - Baby-steps: compute  $g^j \mod p$  for  $0 \le j < N$ .
  - Giant-steps: compute  $xg^{-Nk} \mod p$  for  $0 \le k < N$ .
  - Look for a match between baby-steps and giant-steps lists:  $g^j = xg^{-Nk} \Longrightarrow x = g^{j+Nk}$ .
  - Always works since if  $x = g^L$  for  $0 \le L , so <math>L$  can be written as j + Nk with  $j, k \in \{0, ..., N 1\}$ .

# 4. Elliptic curves

- Definition: abelian group  $(G, \circ)$  satisfies:
  - Associativity:  $\forall a, b, c, \in G, a \circ (b \circ c) = (a \circ b) \circ c$ .
  - Identity:  $\exists e \in G : \forall g \in G, e \times g = g$ .
  - Inverses:  $\forall g \in G, \exists h \in G: g \circ h = h \circ g = e$
  - Commutativity:  $\forall a, b \in G, a \circ b = b \circ a$ .
- Notation: for  $g \in G$ , write [n]g for  $g \circ \cdots \circ g$  n times if n > 0, e if n = 0, [-n]g if n < 0.
- **DLP for abelian groups**: given G a cyclic abelian group,  $g \in G$  a generator of G,  $x \in G$ , find L such that [L]g = x. L is well-defined modulo |G|.
- Fundamental theorem of finite abelian groups: let G finite abelian group, then there exist unique integers  $2 \le n_1, ..., n_r$  with  $n_i \mid n_{i+1}$  for all i, such that

$$G \simeq (\mathbb{Z}/n_1) \times \cdots \times (\mathbb{Z}/n_r)$$

In particular, G is isomorphic to a product of cyclic groups.