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Themes:

- quantum matter
  - ► topological order (TO)
- quantum computing
  - quantum error correction (QEC)
  - topological quantum computing

Methods:

- mostly operator algebra (Pauli operators, Fermion operators)
- some field theory (second quantisation, path integrals)
- just a little band theory

### 1. Background

#### 1.1. Notes on second quantisation

We can define an action of  $S_n$  on an n qudit state (a representation of the n-qudit Hilbert space by  $S_n$ ) linearly by

$$\sigma|i_1...i_n\rangle = |i_{\sigma(1)}...i_{\sigma(n)}\rangle.$$

**Definition 1.1** A **boson** is a quantum state  $|\psi\rangle$  that is invariant under the action of  $S_n$  (symmetric under permutations), i.e.

$$\forall \sigma \in S_n, \quad \sigma |\psi\rangle = |\psi\rangle.$$

**Definition 1.2** A **fermion** is a quantum state  $|\varphi\rangle$  that is anti-symmetric under permutations, i.e. invariant under even permutations and is negated under odd permutations:

$$\begin{split} \forall \sigma \in A_n, \quad \sigma |\varphi\rangle &= |\varphi\rangle \\ \forall \tau \in S_n \setminus A_n, \quad \tau |\varphi\rangle &= -|\varphi\rangle \end{split}$$

**Definition 1.3** The symmetrisation of a state  $|\chi\rangle$  is

$$S_{\pm}|\chi\rangle = \frac{1}{|S_n|} \sum_{\sigma \in S_n} (\pm 1)^{\operatorname{sgn}(\sigma)} \sigma |\chi\rangle$$

where  $\operatorname{sgn}(\sigma)$  denotes the sign of the permutation  $\sigma$ .  $S_+$  results in a boson,  $S_-$  results in a fermion.

**Notation 1.4 Second quantisation** is a compact way of expressing bosons and fermions:

$$\left|n_1,...,n_d\right\rangle_{\pm} = S_{\pm}|i_1...i_n\rangle$$

where  $n_j$  denotes the number of single qudit states that are in state  $|j\rangle$ , in any basis state of  $|n_1,...,n_d\rangle_+$ . The number of qudits is  $n=\sum_{j=1}^d n_j$ .

The states  $|n_1,...,n_d\rangle_{\pm}$  are called **occupation (number) states**.

**Proposition 1.5** Occupation states satisfy:

$$\begin{array}{l} 1. \ \, \langle n_1,...,n_d \, | \, m_1,...,m_d \rangle = \delta_{n_1m_1} \cdot \cdot \cdot \delta_{n_dm_d}. \\ 2. \ \, \sum_{n_1+\cdots+n_d=n} |n_1,...,n_d\rangle \langle n_1,...,n_d| = I. \end{array}$$

**Definition 1.6** For a fixed number of qudits n, the space of all occupated number states is called **Fock space**.

Define the creation and annihilation operators

$$\begin{split} \hat{a}_j^\dagger |..., n_j, ...\rangle_{_{\pm}} &= \sqrt{n_j + 1} |..., n_j + 1, ...\rangle_{_{\pm}} \\ \hat{a}_j |..., n_j + 1, ...\rangle_{_{\pm}} &= \sqrt{n_j + 1} |..., n_j, ...\rangle_{_{\pm}} \end{split}$$

This gives

$$\begin{split} \left[\hat{a}_i,\hat{a}_j\right] &= \left[\hat{a}_i^\dagger,\hat{a}_j^\dagger\right] = 0, \quad \left[\hat{a}_i,\hat{a}_j^\dagger\right] = \delta_{ij} \quad \text{for bosons} \\ \left\{\hat{a}_i,\hat{a}_j\right\} &= \left\{\hat{a}_i^\dagger,\hat{a}_j^\dagger\right\} = 0, \quad \left\{\hat{a}_i,\hat{a}_j^\dagger\right\} = \delta_{ij} \quad \text{for bosons} \end{split}$$

A corollary of  $\left\{\hat{a}_j^\dagger,\hat{a}_j^\dagger\right\}=2\hat{a}_j^\dagger\hat{a}_j^\dagger=0$  is the Pauli principle that no single particle state can be occupied by more than one fermion.

**Definition 1.7** The occupation number operator is  $\hat{n}_j = \hat{a}_j^{\dagger} \hat{a}_j$ . Note that  $\hat{n}_j|...,n_j,...\rangle = n_j|...,n_j,...\rangle.$ 

**Example 1.8** The total particle number operator is

$$\hat{n} = \sum_{j} \hat{n}_{j}$$

For a single-qudit operator  $\hat{T} = \sum_{i,j} t_{ij} |i\rangle\langle j|$ , we have

$$\hat{T} = \sum_{ij} t_{ij} \hat{a}_i^{\dagger} \hat{a}_j$$

(since  $|i\rangle\langle j||k\rangle=\hat{a}_i^\dagger\hat{a}_j|k\rangle)$ 

Noting that  $|\varphi\rangle = \sum_i \langle i | \varphi \rangle |i\rangle$ , we define

$$\hat{a}_{arphi}^{\dagger} = \sum_{i} \langle i | \lambda 
angle \hat{a}_{i}^{\dagger}$$

(note this is analogous to a basis transformation)

# 2. The transverse-field Ising model

**Notation 2.1** When working with N qubits (an N-site system), write  $X_i, Y_j, Z_i$  for the Pauli X, Y, Z on site j, e.g.

$$X_j = \mathbb{I} \otimes \cdots \otimes \mathbb{I} \otimes X \otimes \mathbb{I} \otimes \cdots \otimes \mathbb{I},$$

where X is in the j-th position.

## 3. Quantum Ising model

**Definition 3.1** The classical Ising model describes the energy of a system  $\{z_j: j \in [N]\}$  as

$$E\big(\big\{z_j:j\in[N]\big\}\big)=-J\sum$$

TODO: familiarise with classical Ising model

Quantum ising model:  $H=-J\sum_{i,j,nn}Z_iZ_j-h\sum_jZ_j,\ J>0.\ nn$  denotes nearest neighbours. We have  $H|\{z_j\}\rangle=E\big(\{z_j\}\big)|\{z_j\}\rangle,\ Z_i|\{z_j\}\rangle=z_i|\{z_j\}\rangle$  where  $z_i\in\{-1,1\}.$ 

Transverse field Ising model:  $H=-J\sum_{i,j:nn}Z_iZ_j-h\sum_jX_j,\ J>0$  (feromagn), h>0. It has a  $\mathbb{Z}_2$  symmetry:  $P=\prod_jX_j,\ HP=PH,\ P^2=I.$ 

$$P|\left\{z_{j}\right\}\rangle = |\left\{-z_{j}\right\}\rangle$$
 (spin flip).

If J=0: ground state is  $|\mathrm{GS}\rangle=\otimes_{j=1}^{N}|+\rangle_{j}=:|\underline{X}\rangle.$  Denote  $|0\rangle=|\uparrow\rangle,\,|1\rangle=|\downarrow\rangle.$ 

If h = 0: ground states are  $|\uparrow\rangle = \bigotimes_{j=1}^{N} |0\rangle_{j}$ ,  $|\downarrow\rangle = \bigotimes_{j=1}^{N} |1\rangle_{j}$ , or any linear combination of these.

We have  $P|\underline{X}\rangle = \underline{X}$ , and  $\langle \underline{X}|Z_j|\underline{X}\rangle = 0$ , since  $Z_j|+\rangle_j = |-\rangle_j$ . So order param  $(z_j)$  is 0, can think of as paramagnet.

Also,  $P|\uparrow\rangle = |\downarrow\rangle$ , and  $\langle \uparrow | Z_j | \uparrow \rangle \neq 0$ , so order param  $(z_j)$  is not 0, so can think of as feromagnet.

Since [H,P]=0, so there exists a basis  $|\psi_{E,P}\rangle$  such that  $H|\psi_{E,P}\rangle=E_P|\psi_{E,P}\rangle$ , and  $P|\psi_{E,P}\rangle=p|\psi_{E,P}\rangle$ , where  $p\in\{-1,1\}$ .

The ground states are  $|GS_{\pm}\rangle = \frac{1}{\sqrt{2}}(|\uparrow\uparrow\rangle + |\downarrow\downarrow\rangle)$ . We have  $P|GS_{\pm}\rangle = \pm |GS_{\pm}\rangle$ , and  $\langle GS_{\pm}|Z_{i}|GS_{\pm}\rangle = 0$ .

Now consider  $H = H_0 + \delta H$ , where  $H_0 = -J \sum_{i,j:nn} Z_i Z_j$ , and  $\delta H = -h \sum_j X_j$ , where  $|h| \ll J$ .  $\delta H$  is the perturbation, with coupling h.

#### 3.1. Brilloin-Wigner perturbation theory

Write the eigenstates of  $H_0$  as  $H_0|n\rangle = E_n|n\rangle$ , and  $H|\tilde{n}\rangle = E_{\tilde{n}}|\tilde{n}\rangle$ . Write  $P = \sum_{n \in S} |n\rangle\langle n|$  and  $Q = P^{\perp} = I - P = \sum_{n \in S^{\perp}} |n\rangle\langle n|$ . Denote perturbed ground state energies by  $E_{\widetilde{m}}$ . Let  $|\widetilde{m}^{(n)}\rangle$  denote unnormalised perturbed ground-space eigenstates, i.e.  $H|\widetilde{m}^{(n)}\rangle = E_{\widetilde{m}}|\widetilde{m}^{(n)}\rangle$ , and  $|\psi_{\widetilde{m}}\rangle \coloneqq P|\widetilde{m}^{(n)}\rangle$  is normalised.

We have 
$$(H_0 + \delta H)|\widetilde{m}^{(n)}\rangle = E_{\widetilde{m}}|\widetilde{m}^{(n)}\rangle$$
, so  $(E_{\widetilde{m}} - H_0)|\widetilde{m}^{(n)}\rangle = \delta H|\widetilde{m}^{(n)}\rangle$ . So 
$$(E_{\widetilde{m}} - E_n)\langle n|\widetilde{m}^{(n)}\rangle = \langle n|\delta H|\widetilde{m}^{(n)}\rangle$$

. If  $|n\rangle \in S^{\perp}$ , then  $|n\rangle\langle n|\widetilde{m}^{(n)}\rangle = \frac{|n\rangle\langle n|}{E_{\widetilde{m}}-E_n}\delta H|\widetilde{m}^{(n)}\rangle$  and so  $\sum_{|n\rangle\in S^{\perp}}|n\rangle\langle n|\widetilde{m}^{(n)}\rangle = \sum_{|n\rangle\in S^{\perp}}\frac{|n\rangle\langle n|}{E_{\widetilde{m}}-E_n}\delta H|\widetilde{m}^{(n)}\rangle$ . We rewrite this as  $Q|\widetilde{m}^{(n)}\rangle = G\delta H|\widetilde{m}^{(n)}\rangle$ . So  $|\widetilde{m}^{(n)}\rangle = |\psi_{\widetilde{m}}\rangle + G\delta H|\widetilde{m}^{(n)}\rangle$ , and so we have

$$|\widetilde{m}^{(n)}\rangle = (I - G\delta H)^{-1} |\psi_{\widetilde{m}}\rangle$$

Now for  $|n\rangle \in S$ , we have  $(E_{\widetilde{m}}-E_0)\langle n|\widetilde{m}^{(n)}\rangle = \langle n|\underbrace{\delta H(I-G\delta H)^{-1}}_{=:A^{(\widetilde{m})}}|\psi_{\widetilde{m}}\rangle = \sum_{n'\in S}\underbrace{\langle n|A^{(\widetilde{m})}|n'\rangle\langle n'|\widetilde{m}^{(n)}\rangle}_{H^{\mathrm{eff}}_{nn'}}$ .  $H^{\mathrm{eff}}_{nn'}$  is a  $d_G\times d_G$  "effective" Hamiltonian.

Now

$$\begin{split} \delta E_{\pm} &= \langle \operatorname{GS}_{\pm} | \delta H (\mathbb{I} - G \delta H)^{-1} | \operatorname{GS}_{\pm} \rangle \\ &= \underbrace{\langle \operatorname{GS}_{\pm} | \delta H | \operatorname{GS}_{\pm} \rangle}_{=0} + \langle \operatorname{GS}_{\pm} | \delta H G \delta H | \operatorname{GS}_{\pm} \rangle + \langle \operatorname{GS}_{\pm} | \delta H G \delta G \delta H | \operatorname{GS}_{\pm} \rangle + \cdots \end{split}$$

and  $G\delta H|\mathrm{GS}_{\pm}\rangle = -Gh\sum_{j}X_{j}|\mathrm{GS}_{\pm}\rangle \approx \frac{h}{J}\sum_{j}X_{j}|\mathrm{GS}_{\pm}\rangle$ . So  $\langle\mathrm{GS}_{\pm}|\delta HG\delta H|\mathrm{GS}_{\pm}\rangle \approx -N\frac{h^{2}}{J}\langle\mathrm{GS}_{\pm}|\mathrm{GS}_{\pm}\rangle$ . Note this is independent of p.

Also,

$$\begin{split} \langle \operatorname{GS}_{\pm} | \delta H (G \delta H)^{m-1} | \operatorname{GS}_{\pm} \rangle &\to \frac{\delta \varepsilon^{(m)}}{2} \langle \operatorname{GS}_{\pm} | \prod_{j=1}^N X_j | \operatorname{GS}_{\pm} \rangle, \quad m \geq N \\ &= \pm \frac{\delta \varepsilon^{(m)}}{2} \langle \operatorname{GS}_{\pm} | \operatorname{GS}_{\pm} \rangle \end{split}$$