#### 0.1. Prerequisites

- Definition:  $I \subset R$  is prime ideal if  $\forall a, b \in R, ab \in I \Longrightarrow a \in I \lor b \in I$ .
- **Definition**: ideal I is **maximal** if  $I \neq R$  and there is no ideal  $J \subset R$  such that  $I \subset J$ .
- Example:
  - $p \in \mathbb{Z}$  is prime iff  $\langle p \rangle = p\mathbb{Z}$  is prime ideal.
  - $\langle 0 \rangle$  is prime ideal iff R is integral domain.
- **Lemma**: if *I* is maximal ideal, then it is prime.
- **Proposition**: for commutative ring R, ideal I:
  - $I \subset R$  is prime ideal iff R/I is an integral domain.
  - I is maximal iff R/I is field.
- **Proposition**: let R be PID and  $a \in R$  irreducible. Then  $\langle a \rangle = \langle a \rangle_R$  is maximal.
- **Theorem**: let F be field,  $f(x) \in F[x]$  irreducible. Then  $F[x]/\langle f(x) \rangle$  is a field and a vector space over F with basis  $B = \{1, \overline{x}, ..., \overline{x}^{n-1}\}$  where  $n = \deg(f)$ . That is, every element in  $F[x]/\langle f(x) \rangle$  can be uniquely written as linear combination

$$\overline{a_0 + a_1 x + \dots + a_{n-1} x^{n-1}}, \quad a_i \in F$$

# 1. Divisibility in rings

### 1.1. Every ED is a PID

- Definition: let R integral domain.  $\varphi: R \{0\} \to \mathbb{N}_0$  is Euclidean function (norm) on R if:
  - $\forall x, y \in R \{0\}, \varphi(x) \le \varphi(xy)$ .
  - $\forall x \in R, y \in R \{0\}, \exists q, r \in R : x = qy + r \text{ with either } r = 0 \text{ or } \varphi(r) < \varphi(y).$

R is **Euclidean domain (ED)** if Euclidean function is defined on it.

- Example:
  - $\mathbb{Z}$  is ED with  $\varphi(n) = |n|$ .
  - F[x] is ED for field F with  $\varphi(f) = \deg(f)$ .
- Lemma:  $\mathbb{Z}[-\sqrt{2}]$  is ED with Euclidean function

$$\varphi(a+b\sqrt{-2})=N(a+b\sqrt{-2})\eqqcolon a^2+2b^2$$

• **Proposition**: every ED is a PID.

## 1.2. Every PID is a UFD

- Definition: Integral domain R is unique factorisation domain (UFD) if every non-zero non-unit in R can be written uniquely (up to order of factors and multiplication by units) as product of irreducible elements in R.
- Example: let  $R = \{f(x) \in \mathbb{Q}[x] : f(0) \in \mathbb{Z}\}$ . Its units are  $\pm 1$ . Any factorisation of  $x \in R$  must be of the form f(x)g(x) where  $\deg f = 1, \deg g = 0$ , so x = (ax + b)c,  $a \in \mathbb{Q}$ ,  $b, c \in \mathbb{Z}$ . We have bc = 0 and ac = 1 hence  $x = \frac{x}{c} \cdot c$ . So x irreducible if  $c \neq \pm 1$ . Also, any factorisation of  $\frac{x}{c}$  in R is of the form  $\frac{x}{c} = \frac{x}{cd} \cdot d$ ,  $d \in \mathbb{Z}$ ,  $d \neq 0$ . Again, neither factor is a unit when  $d \neq \pm 1$ . So  $x = \frac{x}{c} \cdot c = \frac{x}{cd} \cdot c \cdot c = \cdots$  can never be decomposed into irreducibles (the first factor is never irreducible).

- Lemma: let R be PID. Then every irreducible element is prime in R.
- **Theorem**: every PID is a UFD.
- **Example**:  $\mathbb{Z}\left[\sqrt{-2}\right]$  so by the above theorem it is a UFD. Let  $x, y \in \mathbb{Z}$  such that  $y^2 + 2 = x^3$ .
  - y must be odd, since if  $y = 2a, a \in \mathbb{Z}$  then  $x = 2b, b \in \mathbb{Z}$  but then  $2a^2 + 1 = 4b^3$ .
  - $y \pm \sqrt{-2}$  are relatively prime: if  $a + b\sqrt{-2}$  divides both, then it divides their difference  $2\sqrt{-2}$ , so norm  $a^2 + 2b^2 \mid N(2\sqrt{-2}) = 8$ . Only possible case is  $a = \pm 1, b = 0$  so  $a + b\sqrt{-2}$  is unit. Other cases  $a = 0, b = \pm 1, a = \pm 2, b = 0$  and  $a = 0, b = \pm 2$  are impossible since y not even.
  - If  $a + b\sqrt{-2}$  is unit,  $\exists x, y \in \mathbb{Z} : (a + b\sqrt{-2})(x + y\sqrt{-2}) = 1$ . If  $b \neq 0$  then  $(-a^2 2b^2)y = 1 \Longrightarrow b = 0$ : contradiction. If b = 0,  $a = \pm 1$ .

#### 2. Finite field extensions

- **Definition**: let F, L fields. If  $F \subseteq L$  and F and L share the same operations then F is a **subfield** of L and L is **field extension** of F (denoted L/F). L is vector space over F:
  - $0 \in L$  (zero vector).
  - $u, v \in L \Longrightarrow u + v \in L$  (additivity).
  - $a \in F, u \in L \Longrightarrow au \in L$  (scalar multiplication).
- **Definition**: let L/F field extension. **Degree** of L over F is dimension of L as vector space over F:

$$[L:F] \coloneqq \dim_F(L)$$

If [L:F] finite, L/F is finite field extension.

- Example:  $\mathbb{Q}(\sqrt{-2}) = \{a + b\sqrt{-2} : a, b \in \mathbb{Q}\}$  is isomorphic as a vector space to  $\mathbb{Q}^2$  so is 2-dimensional vector space over  $\mathbb{Q}$ . Isomorphism is  $a + b\sqrt{-2} \longleftrightarrow (a, b)$ . Standard basis  $\{e_1, e_2\}$  in  $\mathbb{Q}^2$  corresponds to the basis  $\{1, \sqrt{-2}\}$  in  $\mathbb{Q}(\sqrt{-2})$ .  $|\mathbb{Q}(\sqrt{-2}): \mathbb{Q}| = 2$ .
- **Example**:  $[\mathbb{C} : \mathbb{R}] = 2$  (a basis is  $\{1, i\}$ ).  $[\mathbb{R} : \mathbb{Q}]$  is not finite, due to the existence of transcendental numbers (if  $\alpha$  transcendental, then  $\{1, \alpha, \alpha^2, ...\}$  is linearly independent).
- **Definition**: let L/F field extension.  $\alpha \in L$  is **algebraic** over F if

$$\exists f(x) \in F[x] : f(\alpha) = 0$$

If all elements in L are algebraic, then L/F is algebraic field extension.

- **Example**:  $i \in \mathbb{C}$  is algebraic over  $\mathbb{R}$  since i is root of  $x^2 + 1$ .  $\mathbb{C}/\mathbb{R}$  is algebraic since z = a + bi is root of  $(x z)(x \overline{z}) = x^2 2ax + a^2 + b^2$ .
- **Proposition**: if L/F is finite field extension then it is algebraic.
- **Definition**: let L/F field extension,  $\alpha \in L$  algebraic over F. **Minimal polynomial**  $p_{\alpha}(x) = p_{\alpha,F}(x)$  of  $\alpha$  over F is the monic polynomial f of smallest degree such that  $f(\alpha) = 0$ . **Degree** of  $\alpha$  over F is  $\deg(p_{\alpha})$ .
- **Proposition**:  $p_{\alpha}(x)$  is unique and irreducible. Also, if  $f(x) \in F[x]$  is monic, irreducible and  $f(\alpha) = 0$ , then  $f = p_{\alpha}$ .

- Example:
  - $p_{i,\mathbb{R}}(x) = p_{i,\mathbb{Q}}(x) = x^2 + 1, p_{i,\mathbb{Q}(i)}(x) = x i.$
  - Let  $\alpha = \sqrt[7]{5}$ .  $f(x) = x^7 5$  is minimal polynomial of  $\alpha$  over  $\mathbb{Q}$ , as it is irreducible by Eisenstein's criterion with p = 5 and the above proposition.
  - Let  $\alpha = e^{2\pi i/p}$ , p prime.  $\alpha$  is algebraic as root of  $x^p 1$  which isn't irreducible as  $x^p 1 = (x 1)\Phi(x)$  where  $\Phi(x) = (x^{p-1} + \cdots + 1)$ .  $\Phi(\alpha) = 0$  since  $\alpha \neq 1$ ,  $\Phi(x)$  is monic and  $\Phi(x + 1) = ((x + 1)^p 1)/x$  irreducible by Eisenstein's criterion with p = p, hence  $\Phi(x)$  irreducible. So  $p_{\alpha}(x) = \Phi(x)$ .

#### 2.1. Fields generated by elements

• Definition: let L/F field extension,  $\alpha \in L$ . The field generated by  $\alpha$  over F is the smallest subfield of L containing F and  $\alpha$ :

$$F(\alpha) \coloneqq \bigcap_{\substack{K \text{ field,} \\ F \subseteq K \subseteq L, \\ \alpha \in K}} K$$

Generally,  $F(\alpha_1, ..., \alpha_n)$  is smallest field extension of F containing  $\alpha_1, ..., \alpha_n$ .

- We have  $F(\alpha_1, ..., \alpha_n) = F(\alpha_1) \cdot \cdot \cdot \cdot (\alpha_n)$  (show  $F(\alpha, \beta) \subseteq F(\alpha)(\beta)$  and  $F(\alpha)(\beta) \subseteq F(\alpha, \beta)$  by minimality and use induction).
- Definition:  $F[\alpha]=\{\sum_{i=0}^n a_i\alpha^i: a_i\in F, n\in\mathbb{N}\}=\{f(\alpha): f(x)\in F[x]\}.$
- Lemma: let L/F field extension,  $\alpha \in L$  algebraic over F. Then  $F[\alpha]$  is field, hence  $F(\alpha) = F[\alpha]$ .
- Lemma: let  $\alpha$  algebraic over F. Then  $[F(\alpha):F]=\deg(p_{\alpha})$ .
- **Definition**: let K/F and L/K field extensions, then  $F \subseteq K \subseteq L$  is **tower of** fields.
- Tower theorem: let  $F \subseteq K \subseteq L$  tower of fields. Then

$$[L:F] = [L:K] \cdot [K:F]$$

- Example: let  $L = \mathbb{Q}(\sqrt{2}, \sqrt{3})$ . Show  $[L : \mathbb{Q}] = 4$ .
  - Let  $K = \mathbb{Q}(\sqrt{2})$ . Let  $\sqrt{3} = a + b\sqrt{2}$ ,  $a, b \in \mathbb{Q}$  so  $3 = a^2 + 2b^2 + 2ab\sqrt{2}$ . So  $0 \in \{a, b\}$ , otherwise  $\sqrt{2} \in \mathbb{Q}$ . But if a = 0, then  $\sqrt{6} = 2b \in \mathbb{Q}$ , if b = 0 then  $\sqrt{3} = a \in \mathbb{Q}$ : contradiction. So  $x^2 3$  has no roots in K so is irreducible over K so  $p_{\sqrt{3},K}(x) = x^2 3$ .
  - So [L:K]=2 so by the tower theorem,  $[L:\mathbb{Q}]=[L:K]\cdot [K:\mathbb{Q}]=4.$

### 2.2. Norm and trace

• Let L/F finite field extension, n = [L:F]. For any  $\alpha \in L$ , there is F-linear map

$$\hat{\alpha}: L \longrightarrow L, \quad x \mapsto \alpha x$$

• With basis  $\{\alpha_1, ..., \alpha_n\}$  of L over F, let  $T_{\alpha} = T_{\alpha, L/F} \in M_n(F)$  be the corresponding matrix of the linear map  $\alpha$  with respect to the basis  $\{\alpha_i\}$ :

$$\begin{split} \hat{\alpha}(\alpha_1) &= \alpha \alpha_1 = a_{1,1} \alpha_1 + \dots + a_{1,n} \alpha_n, \\ &\vdots \\ \hat{\alpha}(\alpha_n) &= \alpha \alpha_n = a_{n,1} \alpha_1 + \dots + \alpha_{n,n} \alpha_n \end{split}$$

with  $a_{i,j} \in F$ ,  $T_{\alpha} = (a_{i,j})$ , so  $\alpha$  is eigenvalue of  $T_{\alpha}$ :

$$\alpha \begin{bmatrix} \alpha_1 \\ \vdots \\ \alpha_n \end{bmatrix} = T_\alpha \begin{bmatrix} \alpha_1 \\ \vdots \\ \alpha_n \end{bmatrix}$$

• **Definition**: **norm** of  $\alpha$  is

$$N_{L/F}(\alpha)\coloneqq \det(T_\alpha)$$

• **Definition**: **trace** of  $\alpha$  is

$$\operatorname{tr}_{L/F}(\alpha) := \operatorname{tr}(T_{\alpha})$$

- Remark: norm and trace are independent of choice of basis so are well-defined (uniquely determined by  $\alpha$ ).
- Example: let  $L = \mathbb{Q}(\sqrt{m}), m \in \mathbb{Z}$  non-square, let  $\alpha = a + b\sqrt{m} \in L$ . Fix basis  $\{1,\sqrt{m}\}$ . Now

$$\begin{split} \hat{\alpha}(1) &= \alpha \cdot 1 = a + b\sqrt{m}, \\ \hat{\alpha}\left(\sqrt{m}\right) &= \alpha\sqrt{m} = bm + a\sqrt{m}, \\ T_{\alpha} &= \begin{bmatrix} a & b \\ bm & a \end{bmatrix} \end{split}$$

So  $N_{L/F}(\alpha) = a^2 - b^2 m$ ,  $\operatorname{tr}_{L/F}(\alpha) = 2a$ .

• Lemma: the map  $L \to M_n(F)$  given by  $\alpha \mapsto T_\alpha$  is injective ring homomorphism. So if  $f(x) \in F[x]$ ,

$$T_{f(\alpha)} = f(T_{\alpha})$$

 $(f(T_{\alpha}))$  is a polynomial in  $T_{\alpha}$ , not f applied to each entry).

- **Proposition**: let L/F finite field extension.  $\forall \alpha, \beta \in L$ ,
  - $N_{L/F}(\alpha) = 0 \iff \alpha = 0.$

  - $\begin{array}{ll} \bullet & N_{L/F}(\alpha\beta) = N_{L/F}(\alpha)N_{L/F}(\beta). \\ \bullet & \forall a \in F, N_{L/F}(a) = a^{[L:F]} \text{ and } \operatorname{tr}_{L/F}(a) = [L:F]\alpha. \end{array}$
  - $\forall a, b \in F$ ,  $\operatorname{tr}_{L/F}(a\alpha + b\beta) = a \operatorname{tr}_{L/F}(\alpha) + b \operatorname{tr}_{L/F}(\beta)$  (so  $\operatorname{tr}_{L/F}$  is F-linear map).

## 2.3. Characteristic polynomials

- Let  $A \in M_n(F)$ , then characteristic polynomial is  $\chi_A(x) = \det(xI A) \in F[x]$  and is monic,  $\deg(\chi_A) = n$ . If  $\chi_A(x) = x^n + \sum_{i=0}^{n-1} c_i x^i$  then  $\det(A) = (-1)^n \det(0 - A) = (-1)^n \chi_A(0) = (-1)^n c_0$  and  $\operatorname{tr}(A) = -c_{n-1}$ , since if  $\alpha_1, ..., \alpha_n$  are eigenvalues of A (in some field extension of F), then  $tr(A) = \alpha_1 + \dots + \alpha_n,$  $\chi_A(x) = (x - \alpha_1) \cdot \cdot \cdot (x - \alpha_n) = x^n - (\alpha_1 + \cdot \cdot \cdot \alpha_n) x^{n-1} + \cdot \cdot \cdot$
- For finite extension L/F, n = [L : F],  $\alpha \in L$ , characteristic polynomial  $\chi_{\alpha}(x) = \chi_{\alpha,L/F}(x)$  is characteristic polynomial of  $T_{\alpha}$ . So  $N_{L/F}(\alpha) = (-1)^n c_0$ ,  $\mathrm{tr}_{L/F}(\alpha)=-c_{n-1}.$  By the Cayley-Hamilton theorem,  $\chi_{\alpha}(T_{\alpha})=0$  so  $T_{\chi_{\alpha}(\alpha)} = \chi_{\alpha}(T_{\alpha}) = 0$ , where  $\chi_{\alpha}(x) = x^n + c_{n-1}x^{n-1} + \cdots + c_0$ . Since  $\alpha \to T_{\alpha}$  is injective,  $\chi_{\alpha}(\alpha) = 0$ .

- Lemma: let L/F finite extension,  $\alpha \in L$  with  $L = F(\alpha)$ . Then  $\chi_{\alpha}(x) = p_{\alpha}(x)$ .
- Proposition: let  $F \subseteq F(\alpha) \subseteq L$ , let  $m = [L : F(\alpha)]$ . Then  $\chi_{\alpha}(x) = p_{\alpha}(x)^{m}$ .
- Corollary: let L/F,  $\alpha \in L$  as above,  $p_{\alpha}(x) = x^d + a_{d-1}x^{d-1} + \cdots + a_0$ ,  $a_i \in F$ . Then

$$N_{L/F}(\alpha) = (-1)^{md} a_0^m, \quad \operatorname{tr}_{L/F}(\alpha) = -m a_{d-1}$$

# 3. Algebraic number fields and algebraic integers

## 3.1. Algebraic numbers

- **Definition**:  $\alpha \in \mathbb{C}$  is algebraic number if algebraic over  $\mathbb{Q}$ .
- Definition: K is (algebraic) number field if  $\mathbb{Q} \subseteq K \subseteq \mathbb{C}$  and  $[K : \mathbb{Q}] < \infty$ .
- Every element of an algebraic number field is an algebraic number.
- Example: let  $\theta = \sqrt{2} + \sqrt{3}$ , then  $\mathbb{Q}(\theta) \subseteq \mathbb{Q}(\sqrt{2}, \sqrt{3})$  but also  $\theta^3 = 11\sqrt{2} + 9\sqrt{3}$  so

$$\sqrt{2} = \frac{\theta^3 - 9\theta}{2}, \quad \sqrt{3} = \frac{-\theta^3 + 11\theta}{2}$$

so  $\mathbb{Q}(\sqrt{2}, \sqrt{3}) \subseteq \mathbb{Q}(\theta)$  hence  $\mathbb{Q}(\sqrt{2}, \sqrt{3}) = \mathbb{Q}(\theta)$ .

- Simple extension theorem: every number field K has form  $K = \mathbb{Q}(\theta)$  for some  $\theta \in K$ .
- Set of all algebraic numbers (union of all number fields) is denoted  $\overline{\mathbb{Q}}$  and is a field, since if  $\alpha \neq 0$  algebraic over  $\mathbb{Q}$ ,  $[\mathbb{Q}(\alpha):\mathbb{Q}] = \deg(p_{\alpha}) < \infty$  so  $\mathbb{Q}(\alpha)/\mathbb{Q}$  algebraic, so  $-\alpha, \alpha^{-1} \in \mathbb{Q}(\alpha)$  algebraic, so  $\alpha^{-1}, -\alpha \in \overline{\mathbb{Q}}$ , and if  $\alpha, \beta \in \overline{\mathbb{Q}}$  then  $\mathbb{Q}(\alpha, \beta) = \mathbb{Q}(\alpha)(\beta)$  is finite extension of  $\mathbb{Q}$  by tower theorem so  $\alpha + \beta$ ,  $\alpha\beta \in \mathbb{Q}(\alpha, \beta)$  so are algebraic.
- $[\overline{\mathbb{Q}}:\mathbb{Q}] = \infty$  since if  $[\overline{\mathbb{Q}}:\mathbb{Q}] = d \in \mathbb{N}$  then every algebraic number would have degree  $\leq d$ , but  $\sqrt[d+1]{2}$  has degree d+1 since it is a root of  $x^{d+1}-2$  which is irreducible by Eisenstein's criterion with p=2.
- **Definition**: let  $\alpha \in \overline{\mathbb{Q}}$ . Conjugates of  $\alpha$  are roots of  $p_{\alpha}(x)$  in  $\mathbb{C}$ .
- Example:
  - Conjugate of  $a + bi \in \mathbb{Q}(i)$  is a bi.
  - Conjugate of  $a + b\sqrt{2} \in \mathbb{Q}(\sqrt{2})$  is  $a b\sqrt{2}$ .
  - Conjugates of  $\theta$  do not always lie in  $\mathbb{Q}(\theta)$ , e.g. for  $\theta = \sqrt[3]{2}$ ,  $p_{\theta}(x) = x^3 2$  has two non-real roots not in  $\mathbb{Q}(\theta) \subset \mathbb{R}$ .
- Notation: when base field is  $\mathbb{Q}$ ,  $N_K$  and  $\operatorname{tr}_K$  denote  $N_{K/\mathbb{Q}}$  and  $\operatorname{tr}_{K/\mathbb{Q}}$ .
- Lemma: let  $K/\mathbb{Q}$  number field,  $\alpha \in K, \alpha_1, ..., \alpha_n$  conjugates of  $\alpha$ . Then

$$N_K(\alpha) = (\alpha_1 \cdot \cdot \cdot \alpha_n)^{[K:\mathbb{Q}(\alpha)]}, \quad \operatorname{tr}_K(\alpha) = (\alpha_1 + \cdot \cdot \cdot + \alpha_n)[K:\mathbb{Q}(\alpha)]$$

## 3.2. Algebraic integers

• **Definition**:  $\alpha \in \overline{\mathbb{Q}}$  is **algebraic integer** if it is root of a monic polynomial in  $\mathbb{Z}[x]$ . The set of algebraic integers is denoted  $\overline{\mathbb{Z}}$ . If  $K/\mathbb{Q}$  is number field, set of algebraic integers in K is denoted  $\mathcal{O}_K$ ,  $\alpha \in \mathcal{O}_K$  is called **integer in** K.

- Example:  $i, (1+\sqrt{3})/2 \in \mathbb{Z}$  since they are roots of  $x^2+1$  and  $x^2-x+1$ respectively.
- Theorem: let  $\alpha \in \overline{\mathbb{Q}}$ . The following are equivalent:
  - $\alpha \in \mathbb{Z}$ .

  - $\begin{array}{l} \bullet \ \ p_{\alpha}(x) \in \mathbb{Z}[x]. \\ \bullet \ \ \mathbb{Z}[\alpha] = \{\sum_{i=0}^{d-1} a_i \alpha^i : a_i \in \mathbb{Z}\} \ \text{where} \ d = \deg(p_{\alpha}). \end{array}$
  - There exists non-trivial finitely generated abelian additive subgroup  $G \subset \mathbb{C}$  such that

$$\alpha G \subseteq G$$
 i.e.  $\forall g \in G, \alpha g \in G$ 

( $\alpha q$  is complex multiplication).

#### • Remark:

- For third statement, generally we have  $\mathbb{Z}[\alpha] = \{f(\alpha : f(x) \in \mathbb{Z}[x])\}$  and in this case,  $\mathbb{Z}[\alpha] = \{ f(\alpha) : f(x) \in \mathbb{Z}[x], \deg(f) < d \}.$
- Fourth statement means that

$$G = \{a_1 \gamma_1 + \dots + a_r \gamma_r : a_i \in \mathbb{Z}\} = \gamma_1 \mathbb{Z} + \dots + \gamma_r \mathbb{Z} = \langle \gamma_1, ..., \gamma_r \rangle_{\mathbb{Z}}$$

G is typically  $\mathbb{Z}[\alpha]$ . E.g. if  $\alpha = \sqrt{2}$ ,  $\mathbb{Z}[\sqrt{2}]$  is generated by  $1, \sqrt{2}$  and  $\sqrt{2} \cdot \mathbb{Z}[\sqrt{2}] \subseteq \mathbb{Z}[\sqrt{2}].$ 

- Proposition:  $\overline{\mathbb{Z}}$  is a ring. Also, for every number field  $K,\,\mathcal{O}_K$  is a ring.
- Lemma: let  $\alpha \in \overline{\mathbb{Z}}$ . For every number field K with  $\alpha \in K$ ,

$$N_K(\alpha) \in \mathbb{Z}, \quad \operatorname{tr}_K(\alpha) \in \mathbb{Z}$$

• Lemma: let K number field. Then

$$K = \left\{ \frac{\alpha}{m} : \alpha \in \mathcal{O}_K, m \in \mathbb{Z}, m \neq 0 \right\}$$

• Lemma: let  $\alpha \in \overline{\mathbb{Z}}$ , K number field,  $\alpha \in K$ . Then

$$\alpha \in \mathcal{O}_K^{\times} \Longleftrightarrow N_K(\alpha) = \pm 1$$

## 3.3. Quadratic fields and their integers

- **Definition**:  $d \in \mathbb{Z}$  is **squarefree** if  $d \notin \{0,1\}$  and there is no prime p such that  $p^2 \mid d$ .
- **Definition**:  $K = \mathbb{Q}(\sqrt{d})$  is a quadratic field if d is squarefree. If d > 0 then it is real quadratic. If d < 0 it is imaginary quadratic.
- Proposition: let  $K/\mathbb{Q}$  have degree 2. Then  $K=\mathbb{Q}(\sqrt{d})$  for some squarefree  $d \in \mathbb{Z}$ .
- Lemma: let  $K = \mathbb{Q}(\sqrt{d}), d \equiv 1 \pmod{4}$ . Then

$$\mathbb{Z}[\frac{1+\sqrt{d}}{2}] = \left\{ \frac{r+s\sqrt{d}}{2} : r, s \in \mathbb{Z}, r \equiv s \; (\operatorname{mod} 2) \right\}$$

• **Theorem**: let  $K = \mathbb{Q}(\sqrt{d})$  quadratic field, then

$$\mathcal{O}_K = \begin{cases} \mathbb{Z}[\sqrt{d}] & \text{if } d \not\equiv 1 \pmod{4} \\ \mathbb{Z}[\frac{1+\sqrt{d}}{2}] & \text{if } d \equiv 1 \pmod{4} \end{cases}$$

# 4. Units in quadratic rings

- Notation: in this section, let  $K = \mathbb{Q}(\sqrt{d})$  be quadratic number field,  $d \in \mathbb{Z} \{0\}$ , |d| is not a square. Let  $\mathcal{O}_d=\mathcal{O}_K$ . Let  $\overline{a+b\sqrt{d}}=a-b\sqrt{d}$ . The map  $x\to \overline{x}$  is a  $\mathbb{Q}$ automorphism from K to K.
- Definition: S is quadratic number ring of K if  $S = \mathcal{O}_d$  or  $S = \mathbb{Z}[\sqrt{d}]$ .
- We have

$$\alpha \in S^{\times} \Longrightarrow \exists x \in S: \alpha x = 1 \Longrightarrow N_K(\alpha)N_K(x) = 1 \Longrightarrow N_K(\alpha) = \pm 1$$

and for  $\alpha \in S - \mathbb{Z}$ , since  $[\mathbb{Q}(\alpha) : \mathbb{Q}] = 2$  and so  $[K : \mathbb{Q}(\alpha)] = 1$  by the Tower Theorem,

$$N_K(\alpha) = \pm 1 \Longrightarrow \alpha \overline{\alpha} = \pm 1 \Longrightarrow \alpha \in S^{\times}$$

So  $\alpha \in S^{\times} \iff N_K(\alpha) = \pm 1$ .

- **Theorem**: to determine the group of units for imaginary quadratic fields:
  - For d < -1,  $\mathbb{Z}[\sqrt{d}]^{\times} = \{\pm 1\}$ .
  - $\mathcal{O}_{-1}^{\times} = \mathbb{Z}[i]^{\times} = \{\pm 1, \pm i\}.$
  - For  $d \equiv 1 \pmod{4}$  and d < -3,  $\mathbb{Z}[\frac{1+\sqrt{d}}{2}]^{\times} = \{\pm 1\}$ .  $\mathbb{Z}[\frac{1+\sqrt{-3}}{2}]^{\times} = \{\pm 1, \pm \omega, \pm \omega^2\}$  where  $\omega = \frac{1+\sqrt{-3}}{2} = e^{\pi i/3}$ .
- Main theorem: let d > 1, d non-square, S be quadratic number ring of  $K = \mathbb{Q}(\sqrt{d})$  (i.e.  $S = \mathcal{O}_d$  or  $S = \mathbb{Z}[\sqrt{d}]$ ). Then
  - S has a smallest unit u > 1 (smaller than all units except 1).
  - $S^{\times} = \{ \pm u^r : r \in \mathbb{Z} \} = \langle -1, u \rangle.$
- **Definition**: the smallest unit u > 1 above is the **fundamental unit** of S (or of K, in the case  $S = \mathcal{O}_d$ ).

## 4.1. Proof of the main theorem

• Remark: if  $\alpha=a+b\sqrt{d}$  is unit in  $\mathbb{Z}[\sqrt{d}],\ a,b>0$ , then  $N_K(\alpha)=\alpha\overline{\alpha}=\pm 1$ , so

$$|\overline{\alpha}| = |a - b\sqrt{d}| = \frac{|N_K(\alpha)|}{|\alpha|} = \frac{1}{|\alpha|} < \frac{1}{b\sqrt{d}} < \frac{1}{b}$$

Define

$$A = \left\{\alpha = a + b\sqrt{d} : a, b \in \mathbb{N}_0, |\overline{\alpha}| < \frac{1}{b}\right\}$$

- Lemma:  $|A| = \infty$ .
- Lemma: if  $\alpha \in A$ , then  $|N_K(\alpha)| < 1 + 2\sqrt{d}$ .
- Lemma:  $\exists \alpha=a+b\sqrt{d}, \alpha'=a'+b'\sqrt{d} \in A: \alpha>\alpha', \ |N_K(\alpha)|=|N_K(\alpha')|=:n$ and

$$\alpha \equiv \alpha' \pmod{n}, \quad b \equiv b' \pmod{n}$$

- Lemma: there exists a unit u in  $\mathbb{Z}[\sqrt{d}]$  such that u > 1.
- Lemma: let  $0 \neq \alpha = a + b\sqrt{d} \in \mathbb{Q}(\sqrt{d})$ . Then  $\alpha > \sqrt{|N_K(\alpha)|}$  iff a, b > 0.

#### 4.2. Computing fundamental units

- Theorem: let d > 1 non-square.
  - If  $S = \mathbb{Z}[\sqrt{d}]$  and  $a + b\sqrt{d} \in S^{\times}$ , a, b > 0 such that b is minimal, then  $a + b\sqrt{d}$ is the fundamental unit in S.

  - If  $S = \mathbb{Z}[\frac{1+\sqrt{d}}{2}]$  (so  $d \equiv 1 \pmod{4}$ ), then  $\frac{1+\sqrt{5}}{2}$  is the fundamental unit in  $\mathcal{O}_5$ . If d > 5 and  $\frac{s+t\sqrt{d}}{2} \in \mathcal{O}_d^{\times}$  with s,t>0 such that t is minimal, then  $\frac{s+t\sqrt{d}}{2}$  is the fundamental unit in  $\mathcal{O}_d$ .
- Remark: both  $u = \frac{1+\sqrt{5}}{2}$  and  $u^2 = \frac{3+\sqrt{5}}{2}$  have t minimal (equal to 1), which is why a separate case is needed for d = 5.
- Example:
  - $1+\sqrt{2}$  is fundamental unit in  $\mathbb{Z}[\sqrt{2}]=\mathcal{O}_2$ , since  $N_K\left(1+\sqrt{2}\right)=-1$  so is a unit, and here b = 1, so is minimal (as b > 0).
  - $2+\sqrt{5}$  is the fundamental unit in  $\mathbb{Z}[\sqrt{5}]$  (since b=1 is minimal) but is not the fundamental unit in  $\mathcal{O}_5$ .
- Example: find fundamental unit in  $\mathcal{O}_7$ .  $7 \not\equiv 1 \pmod{4}$  so  $\mathcal{O}_7 = \mathbb{Z}[\sqrt{7}]$ .  $a + b\sqrt{7}$  is a unit iff  $a^2 - 7b^2 = \pm 1$ . Also, by the above theorem, it is the fundamental unit if a, b > 0 and b is minimal. We use trial and error: for each b = 1, 2, ..., check whether  $7b^2 \pm 1$  is a square

b	$7b^2 - 1$	$7b^2 + 1$	$a^2$
1	6	8	
2	27	29	_
3	62	64	$64 = 8^2$

So the unit with minimal b such that a, b > 0 is  $8 + 3\sqrt{7}$ , so is the fundamental unit.

# 4.3. Pell's equation and norm equations

- Definition: Pell's equation is  $x^2 dy^2 = 1$  for nonsquare d, where solutions are  $x,y\in\mathbb{Z}$ . Since LHS is norm of  $x+y\sqrt{d}$ , solutions are given by  $x+y\sqrt{d}\in\mathbb{Z}[\sqrt{d}]$ with norm 1.
- Example: consider  $x^2 2y^2 = \pm 1$ . Fundamental unit in  $\mathbb{Z}[\sqrt{2}]$  is  $u = 1 + \sqrt{2}$ , with norm -1. So if  $x + y\sqrt{2} \in \mathbb{Z}[\sqrt{2}]$  is such that  $N_{\mathbb{Z}(\sqrt{2})}(x + y\sqrt{2}) = 1$ , then  $x+y\sqrt{2}$  is an even power of u. Thus elements of norm  $\pm 1$  are

$$\pm u^{2n} \; ({\rm RHS} = 1), \quad \pm u^{2n+1} \; ({\rm RHS} = -1)$$

To extract solutions x, y, note that if  $x + y\sqrt{2} = \pm u^r$ , then  $x - y\sqrt{2} = \pm \overline{u}^r$ , hence

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$$x = \pm \frac{u^r + \overline{u}^r}{2}, \quad y = \pm \frac{u^r - \overline{u}^r}{2\sqrt{2}}$$

Solutions when RHS = 1 are given by even r, solutions when RHS = -1 are given

• Example: consider  $x^2 - 75y^2 = 1$ .  $75 = 3 \cdot 5^2$  is not square-free, so rewrite as

$$x^2 - 3z^2 = 1$$

where z=5y. Fundamental unit in  $\mathbb{Z}[\sqrt{3}]$  is  $u=2+\sqrt{3}$  of norm 1 so solutions are

$$x = \pm \frac{u^n + \overline{u}^n}{2}, \quad z = \pm \frac{u^n - \overline{u}^n}{2\sqrt{3}}, \quad n \in \mathbb{Z}$$

To get solution for (x, y), we need  $5 \mid z$  (which doesn't always hold). Note that

$$u^2 = 7 + 4\sqrt{3} \notin \mathbb{Z}[\sqrt{75}] = \mathbb{Z}[5\sqrt{3}], \quad u^3 = 26 + 3\sqrt{75} \in \mathbb{Z}[\sqrt{75}]$$

Thus when n=2, (x,z) is not solution, but is when n=3, and hence when n=3kfor  $k \in \mathbb{Z}$ :

$$x = \pm \frac{u^{3k} + \overline{u}^{3k}}{2}, \quad y = \pm \frac{u^{3k} - \overline{u}^{3k}}{5 \cdot 2\sqrt{3}}, \quad k \in \mathbb{Z}$$

 $u^{3k+1}$  and  $u^{3k+2}$  never give solutions, since if  $u^{3k+1} \in \mathbb{Z}[\sqrt{75}]$ , then  $u \in \mathbb{Z}[\sqrt{75}]$ (since  $u^{-3k} \in \mathbb{Z}[\sqrt{75}]$ ). Similarly, if  $u^{3k+2} \in \mathbb{Z}[\sqrt{75}]$ , then  $u^2 \in \mathbb{Z}[\sqrt{75}]$ : contradiction. Note  $\mathbb{Z}[\sqrt{75}] \subset \mathbb{Z}[\sqrt{3}]$  and any unit in  $\mathbb{Z}[\sqrt{75}]$  is unit in  $\mathbb{Z}[\sqrt{3}]$ , so is  $\pm u^r$  for some  $r \in \mathbb{Z}$ . So by taking powers of u, eventually we find the fundamental unit in  $\mathbb{Z}[\sqrt{75}]$  (as it will be smallest unit > 1 assuming we increment powers from 1).

# 5. Discriminants and integral bases

## 5.1. Discriminant of an *n*-tuple

• **Definition**: let K number field of degree n. **Discriminant** of  $\gamma = (\gamma_1, ..., \gamma_n) \in K^n$  is

$$\Delta_K(\gamma)\coloneqq \det(Q(\gamma))$$

where  $Q(\gamma)=(\operatorname{tr}_K(\gamma_i\gamma_j))_{1\leq i,j\leq n}\in M_n(\mathbb{Q}).$ • Example: let  $K=\mathbb{Q}(\sqrt{d}),\, d\neq 1$  squarefree.

$$\gamma = (1, \sqrt{d}) \Longrightarrow Q(\gamma) = \begin{bmatrix} 2 & 0 \\ 0 & 2d \end{bmatrix} \Longrightarrow \Delta_K(\gamma) = 4d$$

$$\gamma = (1, \frac{1+\sqrt{d}}{2}) \Longrightarrow Q(\gamma) = \begin{bmatrix} 2 & 1 \\ 1 & \frac{1+d}{2} \end{bmatrix} \Longrightarrow \Delta_K(\gamma) = d$$

- Proposition:
  - $\Delta_K(\gamma) \in \mathbb{Q}$  and if every  $\gamma_i \in \mathcal{O}_K$ , then  $\Delta_K(\gamma) \in \mathbb{Z}$ .

- Let  $M \in M_n(\mathbb{Q})$ , then  $\Delta_K(M\gamma) = \det(M)^2 \Delta_K(\gamma)$ .
- $\Delta_K(\gamma)$  is invariant under permutations of  $\gamma_1, ..., \gamma_n$ .
- Lemma: let  $\theta_1,...,\theta_n \in \mathbb{C}$ , let

$$D = \begin{bmatrix} 1 & \theta_1 & \dots & \theta_1^{n-1} \\ \vdots & \vdots & \ddots & \vdots \\ 1 & \theta_n & \dots & \theta_n^{n-1} \end{bmatrix}$$

then

$$\det(D) = (-1)^{\binom{n}{2}} \prod_{1 \leq r < s \leq n} (\theta_r - \theta_s)$$

**Theorem**: let  $K = \mathbb{Q}(\theta)$  be number field. Let  $\theta_1, ..., \theta_n$  be roots of  $p_{\theta}(x)$ , let  $\gamma = (1, ..., \theta^{n-1})$ . Then

$$\Delta_K(\gamma) = \prod_{1 \leq i < j \leq n} (\theta_i - \theta_j)^2 = (-1)^{\binom{n}{2}} \prod_{i=1}^n p_\theta'(\theta_i) = (-1)^{\binom{n}{2}} N_K(p_\theta'(\theta))$$

- Example:
  - Let  $K = \mathbb{Q}(\sqrt{d})$ , d square-free,  $\theta = \frac{1+\sqrt{d}}{2}$ , then

$$\Delta_K((1,\theta)) = \left(\frac{1+\sqrt{d}}{2} - \frac{1-\sqrt{d}}{2}\right)^2 = d$$

• Let  $\theta = \sqrt{d}$ , so  $p_{\theta}(x) = x^2 - d$ ,  $p'_{\theta}(x) = 2x$ , so

$$\Delta_K(1,\theta) = (-1)^{\binom{2}{2}} N_K(2\theta) = -4N_k(\theta) = 4d$$

• Let  $\theta = \sqrt[d]{3}$ , so  $p_{\theta}(x) = x^3 - d$ ,  $p'_{\theta}(x) = 3x^2$  so

$$\Delta_K(1,\theta,\theta^2) = (-1)^{\binom{3}{2}} N_K(3\theta^2) = -27d^2$$

• Let  $\theta$  be root of  $p_{\theta}(x) = x^3 - x + 2$ , so  $p'_{\theta}(x) = 3x^2 - 1$ .

$$\Delta_K\big(1,\theta,\theta^2\big)=(-1)^{\binom{3}{2}}N_K\big(3\theta^2-1\big)$$

Now  $\theta^3 = \theta - 2$  so

$$N_K\big(3\theta^2-1\big) = \frac{N_K(2)N_K(\theta-3)}{N_K(\theta)} = \frac{8}{2}N_K(3-\theta) = 4(3-\theta_1)(3-\theta_2)(3-\theta_3) = 4p_\theta(3) = 104$$

so  $\Delta_K(1,\theta,\theta^2) = -104$ . Note: in general, this method doesn't work, and generally we have to compute matrix  $T_{\theta}$  and  $\det(f(T_{\theta}))$ . As a generalisation,

$$N_{\mathbb{O}(\theta)}(a-b\theta)=b^np_{\theta}(a/b)$$

- Lemma:
  - Roots  $\theta_1, ..., \theta_n$  of  $p_{\theta}(x)$  are distinct.

  - $\begin{array}{ll} \bullet & \forall f \in \mathbb{Q}[x], \operatorname{tr}_K(f(\theta)) = \sum_{i=1}^n f(\theta_i). \\ \bullet & \forall f \in \mathbb{Q}[x], N_K(f(\theta)) = \prod_{i=1}^n f(\theta_i). \end{array}$
- **Proposition**: let  $K = \mathbb{Q}(\theta)$  number field. Then  $\Delta_K(\gamma) \neq 0$  iff  $\gamma$  is  $\mathbb{Q}$ -basis of K.

#### 5.2. Full lattices and integral bases

- **Definition**: let A subgroup of Q-vector space V. A is **full lattice** in V if there are  $\gamma_1, ..., \gamma_n \in V$  such that
  - $\{\gamma_1, ..., \gamma_n\}$  is basis for V.
  - $A = \{a_1\gamma_i + \dots + a_n\gamma_n : a_i \in \mathbb{Z}\}$  (i.e.  $\gamma_1, \dots, \gamma_n$  generate A as a group). Note  $a_1, \dots, a_n$  are uniquely determined for each  $a \in A$ .

 $\{\gamma_1,...,\gamma_n\}$  is **generating basis** for A.

- Example: let  $K = \mathbb{Q}(\theta)$ ,  $\theta \in \mathcal{O}_K$ ,  $[K : \mathbb{Q}] = n$ , then  $\mathbb{Z}[\theta]$  has generating basis  $\{1, ..., \theta^{n-1}\}$  and is full lattice in K.
- Example:  $\mathbb{Z}$ ,  $\mathbb{Z}[\sqrt{2}/2]$  are not full lattices in  $\mathbb{Q}(\sqrt{2})$ .
- Proposition: let K number field. Every non-zero ideal  $I \subseteq \mathcal{O}_K$  is full lattice in K.
- **Definition**: generating basis for  $\mathcal{O}_K$  is **integral basis** for K.
- **Example**: let  $K = \mathbb{Q}(\sqrt{d})$ , then an integral basis for K is  $\{1, \sqrt{d}\}$  if  $d \not\equiv 1 \mod 4$ ,  $\{1, (1+\sqrt{d})/2\}$  if  $d \equiv 1 \mod 4$ .
- **Theorem**: if V is  $\mathbb{Q}$ -vector space,  $\dim(V) = n$ , and  $B \subset A \subset V$ , A and B full lattices,  $\{\beta_1, ..., \beta_n\}$  is generating basis for B,  $\{\alpha_1, ..., \alpha_n\}$  is generating basis for A, where  $\beta = M\alpha$ ,  $M \in M_n(\mathbb{Z})$ , then
  - $|A/B| = |\det(M)|$  (in particular, A/B is finite)
  - If V=K is number field, these satisfy **index-discriminant formula**:  $\Delta_K(B)=|A/B|^2\Delta_K(A).$

(Note M exists since  $\alpha$  is generating basis for A so spans B over  $\mathbb{Z}$ ).

- Lemma: if  $A \subset K$  is full lattice and  $\{\gamma_1,...,\gamma_n\}$ ,  $\{\delta_1,...,\delta_n\}$  are generating bases for A, then  $\Delta_K(\gamma_1,...,\gamma_n) = \Delta_K(\delta_1,...,\delta_n)$ . We define discriminant of A to be  $\Delta_K(A) = \Delta_K(\gamma_1,...,\gamma_n)$  for any generating basis  $\{\gamma_1,...,\gamma_n\}$ .
- **Definition**: **disciminant** of number field K is

$$\Delta_K = \Delta_K(\mathcal{O}_K) = \Delta_K(\gamma_1,...,\gamma_n)$$

for any integral basis  $\{\gamma_1,...,\gamma_n\}$ .

# **5.3.** When is $R = \mathbb{Z}[\theta]$ ?

- **Proposition**: if  $S \subseteq \mathcal{O}_K$  is full lattice in  $K = \mathbb{Q}(\theta)$ ,  $\{\gamma_1, ..., \gamma_n\}$  is generating basis for S, and p prime,  $p \mid |\mathcal{O}_K/S|$ , then
  - $\bullet \quad p^2 \mid \Delta_K(S)$
  - There exists  $\alpha=m_1\gamma_1+\cdots+m_n\gamma_n\in S,\,m_i\in\mathbb{Z},\,$  such that  $\alpha/p\in\mathcal{O}_K-S$  and

$$\begin{cases} 0 \leq |m_i| < p/2 \text{ if } p \text{ is odd} \\ m_i \in \{0,1\} & \text{if } p = 2 \end{cases}$$

• Example: if  $K = \mathbb{Q}(\sqrt{d})$ ,

$$\Delta_K = \begin{cases} 4d \text{ if } d \not\equiv 1 \bmod 4\\ d \text{ if } d \equiv 1 \bmod 4 \end{cases}$$

- Example: let  $\theta$  be root of  $x^3 + 4x + 1$ ,  $K = \mathbb{Q}(\theta)$ . We have  $\mathbb{Z}[\theta] \subseteq \mathcal{O}_K$  and  $\Delta_K(\mathbb{Z}[\theta]) = \Delta_K(1, \theta, \theta^2) = 281 = |\mathcal{O}_K/\mathbb{Z}[\theta]|^2 \Delta_K(\mathcal{O}_K)$ . As 281 is squarefree,  $|\mathcal{O}_K/\mathbb{Z}[\theta]| = 1$  so  $\mathcal{O}_K = \mathbb{Z}[\theta]$ .
- Example: let  $K = \mathbb{Q}(\theta)$ ,  $\theta = \sqrt[3]{5}$ . let  $R = \mathcal{O}_K$ ,  $S = \mathbb{Z}[\theta]$ .  $\Delta_K(S) = -3^3 \cdot 5^2$ . If p prime and  $p \mid |R/S|$ , then  $p \in \{3, 5\}$  and there is  $\alpha = a + b\theta + c\theta^2$  such that  $\alpha/p \in R S$ , |a|, |b|, |c| < p/2. Note  $\alpha \neq 0$ , as otherwise  $\alpha \in S$ .
  - If  $5 \mid |R/S|$ , then  $|a|, |b|, |c| \in \{0, 1, 2\}$ . Then  $\operatorname{tr}_{K/\mathbb{Q}}(\alpha/5) = 3a/5 \in \mathbb{Z}$  so  $5 \mid a$  so a = 0.  $\theta \alpha = c + (b\theta^2)/5 \in \mathcal{O}_K$  so  $(b\theta^2)/5 \in \mathcal{O}_K$  so

$$N_K\big((b\theta^2)/5\big) = \frac{N_K(b)N_K(\theta)^2}{N_K(5)} = \frac{b^3}{5} \in \mathbb{Z}$$

so  $5 \mid b$ , so b = 0. Finally,

$$N_K\left(\frac{\alpha}{5}\right) = N_K\left(\frac{c\theta^2}{5}\right) = \frac{c^3(-5)^2}{5^3} = \frac{c^3}{5} \in \mathbb{Z} \Longrightarrow c = 0$$

Contradiction.

• If  $3 \mid |R/S|$ , then  $|a|, |b|, |c| \in \{0, 1\}$  and can assume  $a \ge 0$  (by possibly multiplying by -1). Then

$$N_K\left(\frac{a+b\theta+c\theta^2}{3}\right) \in \mathbb{Z} \Longrightarrow a^3+5b^3+25c^3-15abc \equiv 0 \pmod{3^3}$$

If a=0, then  $5b^3+25c^3\equiv 2b+c\equiv 0 \pmod 3$  (as  $b,c\in\{0,1,-1\}$ ), so if b=0, then  $c\equiv 0 \pmod 3 \implies c=0$ : contradiction. So b=1 (by possibly multiplying by -1) hence c=1. But then

$$N_K(\alpha/3) = N_K\!\left(\frac{\theta + \theta^2}{3}\right) = \frac{N_K(\theta)N_K(1+\theta)}{3^3} = \frac{5\cdot 6}{27} \not\in \mathbb{Z}$$

Contradiction. If a = 1, then

$$1 + 5b^3 + 25c^3 \equiv 1 + 2b + c \equiv 0 \pmod{3}$$

which also leads to a contradiction.

• So  $5 \nmid |R/S|$ ,  $3 \nmid |R/S|$ , so |R/S| = 1, so  $\mathbb{Z}[\theta] = \mathcal{O}_K$ .

# 6. Unique factorisation of ideals

• **Definition**: **product** of ideals  $I, J \subseteq R$  is

$$IJ\coloneqq \left\{\sum_{i=1}^k x_iy_i: k\in\mathbb{N}, x_i\in I, y_i\in J\right\}$$

If  $I = \langle a_1, ..., a_m \rangle$ ,  $J = (b_1, ..., b_n)$  then

$$IJ = \langle a_i b_j \mid i \in [m], j \in [n] \rangle$$

- **Definition**: I divides  $J, I \mid J$ , if there is ideal K such that that IK = J.
- Note: to divide is to contain:  $I \mid J \Longrightarrow J \subseteq I$ .
- **Example**: let  $R = \mathbb{Z}[\sqrt{-6}], I = \langle 5, 1 + 3\sqrt{-6} \rangle, J = \langle 5, 1 3\sqrt{-6} \rangle, \text{ then}$

$$IJ = \langle 25, 5(1+3\sqrt{-6}), 5(1-3\sqrt{-6}), 55 \rangle \subseteq \langle 5 \rangle$$

But also  $5 = 55 - 2 \cdot 25 \in I$ ,  $\langle 5 \rangle \subseteq IJ$ , so  $IJ = \langle 5 \rangle$ .

• Lemma: let I, J ideals, P prime ideal. Then

$$IJ \subseteq P \iff (I \subseteq P \lor J \subseteq P)$$

• Example:  $\langle 5, 1+3\sqrt{-6} \rangle \subset \mathbb{Z}[\sqrt{-6}]$  is prime: define  $\varphi : \mathbb{Z}[\sqrt{-6}] \to \mathbb{F}_5$ ,  $\varphi(a+b\sqrt{-6}) = a-2b$ .  $\varphi$  is surjective homomorphism. Also,  $5, 1+3\sqrt{-6} \in \ker(\varphi)$ , and

$$a + b\sqrt{-6} \in \ker(\varphi) \Longrightarrow b \equiv 3a \mod 5$$
  
$$\Longrightarrow (a + b\sqrt{-6}) - a(1 + 3\sqrt{-6}) = (b - 3a)\sqrt{-6} \in \langle 5 \rangle$$

so  $\ker(\varphi) = (5, 1 + 3\sqrt{-6})$ . So by first isomorphism theorem,  $R/\langle 5, 1 + \sqrt{-6} \rangle \cong \mathbb{F}_5$  which is field, so  $\langle 5, 3 + \sqrt{-6} \rangle$  is maximal, so prime.

• **Definition**: let K number field,  $R = \mathcal{O}_K$ . **Fractional ideal** of R is subset of K of the form

$$\lambda I = \{\lambda x : x \in I\}$$

where  $\langle 0 \rangle \neq I \subseteq R$  and  $\lambda \in K^{\times}$ . If I = R,  $\lambda I$  is **principal fractional ideal**. Set of fractional ideals in R is denoted  $\mathcal{I}(R)$ , set of principal fractional ideals is denoted  $\mathcal{P}(R)$ . Multiplication of fractional ideals is defined similarly to that of ideals.

- Example:
  - $\frac{n}{m}\mathbb{Z}$  is fractional ideal in  $\mathbb{Q}$  for all  $m, n \in \mathbb{Z} \{0\}$ .
  - Every non-zero ideal is fractional ideal (take  $\lambda = 1$ ).
  - If  $\lambda I$  is fractional ideal, then  $\lambda^{-1}\lambda I = I$  is ideal.
- **Definition**: a fractional ideal A is **invertible** if there is fractional ideal B such that  $AB = \mathcal{O}_K$ . B is the **inverse** of A. The invertible fractional ideals form a group.
- Example: in  $\mathbb{Z}[\sqrt{-6}] = \mathcal{O}_K$ ,  $\langle 5, 1 + 3\sqrt{-6} \rangle \langle 5, 1 3\sqrt{-6} \rangle = \langle 5 \rangle$  so

$$\langle 5, 1 + 3\sqrt{-6} \rangle \cdot \frac{1}{5} \langle 5, 1 - 3\sqrt{-6} \rangle = \mathcal{O}_K$$

so inverse of  $\langle 5, 1 + 3\sqrt{-6} \rangle$  is  $\frac{1}{5} \langle 5, 1 - 3\sqrt{-6} \rangle$ .

• **Definition**: the **class group** of K is the quotient group F/P where F is abelian group of fractional ideals of K, P is subgroup of principal fractional ideals of K.