## 1. Introduction

## 1.1. Cubic equations over $\mathbb{C}$

- For a polynomial equation, a solution by radicals is a formula for solutions using only addition, subtraction, multiplication, division and radicals  $\sqrt[m]{\cdot}$  for  $m \in \mathbb{N}$ .
- For general cubic equation  $x^3 + a_2x^2 + a_1x + a_0 = 0$ :
  - Tschirnhaus transformation is substitution  $t = x + \frac{a_2}{3}$ , giving

$$t^3+pt+q=0, \quad p:=\frac{-a_2^2+3a_1}{3}, \quad q:=\frac{2a_2^3-9a_1a_2+27a_0}{27}$$

This is a **reduced** (or **depressed**) cubic equation.

- When t = u + v,  $t^3 (3uv)t (u^3 + v^3) = 0$  which is in the reduced cubic form with p = -3uv,  $q = -(u^3 + v^3)$ .
- We have

$$(y-u^3)(y-v^3) = y^2 - (u^3 + v^3)y + u^3v^3 = y^2 + qy - \frac{p^3}{27} = 0$$

so 
$$u^3, v^3 = -\frac{q}{2} \pm \sqrt{\frac{q^2}{4} + \frac{p^3}{27}}$$
.  
• So a solution to  $t^3 + pt + q = 0$  is

$$t = u + v = \sqrt[3]{-\frac{q}{2} + \sqrt{\frac{q^2}{4} + \frac{p^3}{27}}} + \sqrt[3]{-\frac{q}{2} - \sqrt{\frac{q^2}{4} + \frac{p^3}{27}}}$$

The other solutions are  $\omega u + \omega^2 v$  and  $\omega^2 u + \omega v$  where  $\omega = e^{2\pi i/3}$  is the 3rd root of unity. This is because u and v each have three solutions independently to  $u^3, v^3 = -\frac{q}{2} \pm \sqrt{\frac{q^2}{4} + \frac{p^3}{27}}$ , but also  $uv = -\frac{p}{3}$ .

• Remark: the above method doesn't work for fields of characteristic 2 or 3 since the formulas involve division by 2 or 3 (which is dividing by zero in these respective fields).

# 1.2. Quartic equations over $\mathbb{C}$

- For general quartic equation  $x^4 + a_3x^3 + a_2x^2 + a_1x + a_0 = 0$ :
  - Substitution  $t = x + \frac{a_3}{4}$  gives **reduced** quartic equation

$$t^4 + pt^2 + qt + r = 0$$

• We then manipulate the polynomial so that it is the sum or difference of two squares and use  $a^2 + b^2 = (a + ib)(a - ib)$  or  $a^2 - b^2 = (a + b)(a - b)$ :

$$(t^2 + w)^2 + (p - 2w)t^2 + qt + (r - w^2) = 0$$

•  $(p-2w)t^2+qt+(r-w^2)=0$  is a square iff its discriminant is zero:

$$q^2 - 4(p-2w)\big(r-w^2\big) = 0 \Longleftrightarrow w^3 - \frac{1}{2}pw^2 - rw + \frac{1}{8}\big(4pr - q^2\big) = 0$$

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• This **cubic resolvent** is solvable by radicals. Taking any of the solutions and substituting for w gives a sum or difference of two squares in t. The quadratic factors can then be solved.

# 2. Fields and polynomials

#### 2.1. Basic properties of fields

- **Definition**: ring R is **field** if every element of  $R \{0\}$  has multiplicative inverse and  $1 \neq 0 \in R$ .
- Lemma: every field is integral domain.
- **Definition**: **field homomorphism** is ring homomorphism  $\varphi : K \to L$  between fields:
  - $\varphi(a+b) = \varphi(a) + \varphi(b)$
  - $\varphi(ab) = \varphi(a)\varphi(b)$
  - $\varphi(1) = 1$

These imply  $\varphi(0) = 0$ ,  $\varphi(-a) = -\varphi(a)$ ,  $\varphi(a^{-1}) = \varphi(a)^{-1}$ .

- Lemma: let  $\varphi: K \to L$  field homomorphism.
  - $\operatorname{im}(\varphi) = \{ \varphi(a) : a \in K \}$  is field.
  - $\ker(\varphi) = \{a \in K : \varphi(a) = 0\} = \{0\}$ , i.e.  $\varphi$  is injective.
- **Definition**: subfield K of field L is subring of L where K is field. L is field extension of K.
- The above lemma shows image of  $\varphi: K \to L$  is subfield of L.
- Lemma: intersections of subfields are subfields.
- **Definition**: **prime subfield** of L is intersection of all subfields of L.
- **Definition**: **characteristic** char(K) of field K is

$$char(K) := \min\{n \in \mathbb{N} : \chi(n) = 0\}$$

(or 0 if this does not exist) where  $\chi: \mathbb{Z} \to K$ ,  $\chi(m) = 1 + \dots + 1$  (m times).

- Example:  $\operatorname{char}(\mathbb{Q}) = \operatorname{char}(\mathbb{R}) = \operatorname{char}(\mathbb{C}) = 0$ ,  $\operatorname{char}(\mathbb{F}_n) = p$  for p prime.
- Lemma: for any field K, char(K) is either 0 or prime.
- Theorem:
  - If char(K) = 0 then prime subfield of K is  $\cong \mathbb{Q}$ .
  - If char(K) = p > 0 then prime subfield of K is  $\cong \mathbb{F}_p$ .
- Corollary:
  - If  $\mathbb{Q}$  is subfield of K then  $\operatorname{char}(K) = 0$ .
  - If  $\mathbb{F}_p$  is subfield of K for prime p then  $\operatorname{char}(K) = p$ .
- Remark: let char(K) = p, then  $p \mid {p \choose i}$  so  $(a+b)^p = a^p + b^p$  in K. Also in K[x] for p > 2 prime,  $x^p 1 = (x-1)^p$ .
- Fermat's little theorem:  $\forall a \in \mathbb{F}_p, a^p = a$ .

# 2.2. Polynomials over fields

- Definition: degree of  $f(x) = a_0 + a_1 x + \dots + a_n x_n$ ,  $a_n \neq 0$  is  $\deg(f(x)) = n$ .
  - Degree of zero polynomial is  $deg(0) = -\infty$ .
  - $\deg(f(x)g(x)) = \deg(f(x)) + \deg(g(x)).$

- $\deg(f(x) + g(x)) \le \max\{\deg(f(x)), \deg(g(x))\}\$  with equality if  $\deg(f(x)) \ne \deg(g(x))$ .
- Only invertible elements in K[x] are non-zero constants  $f(x) = a_0 \neq 0$ .
- Similarities between  $\mathbb{Z}$  and K[x] for field K:
  - K[x] is integral domain.
  - There is a division algorithm for K[x]: for  $f(x), g(x) \in K[x], \exists ! q(x), r(x) \in K[x]$  with  $\deg(r(x)) < \deg(g(x))$  such that

$$f(x) = q(x)g(x) + r(x)$$

• Every  $f(x), g(x) \in K[x]$  have greatest common divisor gcd(f(x), g(x)) unique up to multiplication by non-zero constants. By Euclidean algorithm for polynomials,

$$\exists a(x), b(x) \in K[x] : a(x)f(x) + b(x)g(x) = \gcd(f(x), g(x))$$

• Can construct field from K[x]: field of fractions of K[x] is

$$K(x) \coloneqq \operatorname{Frac}(K[x]) = \left\{ \frac{f(x)}{g(x)} : f(x), g(x) \in K[x], g(x) \neq 0 \right\}$$

where  $f_1(x)/g_1(x) = f_2(x)/g_2(x) \iff f_1(x)g_2(x) = f_2(x)g_1(x)$ . (We can construct the field of fractions for any integral domain).

- K[x] is PID and so UFD.
- Definition: for field K,  $f(x) \in K[x]$  irreducible in K[x] (or f(x) is irreducible over K) if
  - $\deg(f(x)) \ge 1$  and
  - $f(x) = g(x)h(x) \Longrightarrow g(x)$  or h(x) is constant

## 2.3. Tests for irreducibility

- If f(x) has linear factor in K[x], it has root in K[x].
- Rational root test: if  $f(x) = a_0 + \cdots + a_n x^n \in \mathbb{Z}[x]$  has rational root  $\frac{b}{c} \in \mathbb{Q}$  with gcd(b,c) = 1 then  $b \mid a_0$  and  $c \mid a_n$ . Note: this can't be used to show f is irreducible for  $deg(f(x)) \geq 4$ .
- Gauss's lemma: let  $f(x) \in \mathbb{Z}[x]$ , f(x) = g(x)h(x),  $g(x), h(x) \in \mathbb{Q}[x]$ . Then  $\exists r \in \mathbb{Q} : rg(x), r^{-1}h(x) \in \mathbb{Z}[x]$ . i.e. if f(x) can be factored in  $\mathbb{Q}[x]$  it can be factored in  $\mathbb{Z}[x]$ .
- **Example**: let  $f(x) = x^4 3x^3 + 1 \in \mathbb{Q}[x]$ . Using the rational root test,  $f(\pm 1) \neq 0$  so no linear factors in  $\mathbb{Q}[x]$ . Checking quadratic factors, let

$$f(x) = (ax^2 + bx + c)(rx^2 + sx + t), \quad a, b, c, r, s, t \in \mathbb{Z}$$
 by Gauss's lemma

So  $1 = ar \Rightarrow a = r = \pm 1$ .  $1 = ct \Rightarrow c = t = \pm 1$ . -3 = b + s and 0 = c(b + s): contradiction. So f(x) irreducible in  $\mathbb{Q}[x]$ .

• Example: let  $f(x) = x^4 - 3x^2 + 1 \in \mathbb{Q}[x]$ . The rational root test shows there are no linear factors. Checking quadratic factors, let

$$f(x) = (ax^2 + bx + c)(rx^2 + sx + t), \quad a, b, c, r, s, t \in \mathbb{Z}$$
 by Gauss's lemma

As before,  $a = r = \pm 1$ ,  $c = t = \pm 1$ .  $0 = b + s \Rightarrow b = -s$ ,  $-3 = at + bs + cr = -b^2 \pm 2$ . b = 1 works. So  $f(x) = (x^2 - x - 1)(x^2 + x - 1)$ .

- **Proposition**: let  $f(x) = a_0 + \dots + a_n x^n \in \mathbb{Z}[x]$ . If exists prime  $p \nmid a_n$  such that  $\overline{f}(x)$  is irreducible in  $\mathbb{F}_p[x]$ , then f(x) irreducible in  $\mathbb{Q}[x]$ .
- Example: let  $f(x) = 8x^3 + 14x 9$ . Reducing mod 7,  $\overline{f}(x) = x^3 2 \in \mathbb{F}_7[x]$ . No roots exist for this, so f(x) irreducible in  $\mathbb{Q}[x]$ . For polynomials, no p is suitable, e.g.  $f(x) = x^4 + 1$ .
- Gauss's lemma works with any UFD R instead of  $\mathbb{Z}$  and field of fractions  $\operatorname{Frac}(R)$  instead of  $\mathbb{Q}$ : e.g. let F field, R = F[t], K = F(t), then  $f(x) \in R[x]$  irreducible in K[x] iff f(x) has no proper factors in R[x].
- Eisenstein's criterion: let  $f(x) = a_0 + \dots + a_n x^n \in \mathbb{Z}[x]$ , prime  $p \in \mathbb{Z}$  such that  $p \mid a_0, ..., p \mid a_{n-1}, p \nmid a_n, p^2 \nmid a_0$ . Then f(x) irreducible in  $\mathbb{Q}[x]$ .
- Example: let  $f(x) = x^3 3x + 1$ . Consider  $f(x 1) = x^3 3x^2 + 3$ . Then by Eisenstein's criterion with p = 3, f(x 1) irreducible in  $\mathbb{Q}[x]$  so f(x) is as well, since factoring f(x 1) is equivalent to factoring f(x).
- Example: p-th cyclotomic polynomial is

$$f(x) = \frac{x^p - 1}{x - 1} = 1 + \dots + x^{p-1}$$

Now

$$f(x+1) = \frac{(1+x)^p - 1}{1+x-1} = x^{p-1} + px^{p-2} + \dots + \binom{p}{p-2}x + p$$

so can apply Eisenstein with p = p.

• Generalised Eisenstein's criterion: let R be integral domain, K = Frac(R),

$$f(x) = a_0 + \dots + a_n x^n \in R[x]$$

If there is irreducible  $p \in R$  with

$$p \mid a_0, ..., p \mid a_{n-1}, p \nmid a_n, p^2 \nmid a_0$$

then f(x) is irreducible in K[x].

### 3. Field extensions

## 3.1. Definitions and examples

- **Definition**: field extension L/K is field L containing subfield K. Can specify homomorphism  $\iota: K \to L$  (which is injective).
- Example:
  - $\mathbb{C}/\mathbb{R}$ ,  $\mathbb{C}/\mathbb{Q}$ ,  $\mathbb{R}/\mathbb{Q}$ .
  - $L = \mathbb{Q}(\sqrt{2}) = \{a + b\sqrt{2} : a, b \in \mathbb{Q}\}$  is field extension of  $\mathbb{Q}$ .  $\mathbb{Q}(\theta)$  is field extension of  $\mathbb{Q}$  where  $\theta$  is root of  $f(x) \in Q[x]$ .
  - $L = \mathbb{Q}(\sqrt[3]{2}) = \{a + b\sqrt[3]{2} + c\sqrt[3]{4} : a, b, c \in \mathbb{Q}\}$  is smallest subfield of  $\mathbb{R}$  containing  $\mathbb{Q}$  and  $\sqrt[3]{2}$ .
  - K(t) is field extension of K.

- **Definition**: let L/K field extension,  $S \subseteq L$ . Then K with S adjoined, K(S), is minimal subfield of L containing K and S. If |S| = 1, L/K is a simple extension.
- Example:  $\mathbb{Q}(\sqrt{2}, \sqrt{7}) = \{a + b\sqrt{2} + c\sqrt{7} + d\sqrt{14} : a, b, c, d, \in \mathbb{Q}\}$  is  $\mathbb{Q}$  with  $S = \{\sqrt{2}, \sqrt{7}\}.$
- **Example**:  $\mathbb{R}/\mathbb{Q}$  is not simple extension.
- **Definition**: tower is chain of field extensions, e.g.  $K \subset M \subset L$ .

#### 3.2. Algebraic elements and minimal polynomials

• **Definition**: let L/K field extension,  $\theta \in L$ . Then  $\theta$  is algebraic over K if

$$\exists 0 \neq f(x) \in K[x] : f(\theta) = 0$$

Otherwise,  $\theta$  is transcendental over K.

- **Example**: for  $n \ge 1$ ,  $\theta = e^{2\pi i/n}$  is algebraic over  $\mathbb{Q}$  (root of  $x^n 1$ ).
- Example:  $t \in K(t)$  is transcendental over K.
- Lemma: the algebraic elements in K(t)/K are precisely K.
- Lemma: let L/K field extension,  $\theta \in L$ . Define  $I_K(\theta) := \{f(x) \in K[x] : f(\theta) = 0\}$ . Then  $I_K(\theta)$  is ideal in K[x] and
  - If  $\theta$  transcendental over K,  $I_K(\theta) = \{0\}$
  - If  $\theta$  algebraic over K, then exists unique monic irreducible polynomial  $m(x) \in K[x]$  such that  $I_K(\theta) = \langle m(x) \rangle$ .
- **Definition**: for  $\theta \in L$  algebraic over K, **minimal polynomial of**  $\theta$  **over** K is the unique monic polynomial  $m(x) \in K[x]$  such that  $I_K(\theta) = \langle m(x) \rangle$ . The **degree** of  $\theta$  over K is  $\deg(m(x))$ .
- Remark: if  $f(x) \in K[x]$  irreducible over K, monic and  $f(\theta) = 0$  then f(x) = m(x).
- Example:
  - Any  $\theta \in K$  has minimal polynomial  $x \theta$  over K.
  - $i \in \mathbb{C}$  has minimal polynomial  $x^2 + 1$  over  $\mathbb{R}$ .
  - $\sqrt{2}$  has minimal polynomial  $x^2-2$  over  $\mathbb{Q}$ .  $\sqrt[3]{2}$  has minimal polynomial  $x^3-2$  over  $\mathbb{Q}$ .

#### 3.3. Constructing field extensions

• Lemma: let K field,  $f(x) \in K[x]$  non-zero. Then

$$f(x)$$
 irreducible over  $K \iff K[x]/\langle f(x) \rangle$  is a field

- **Definition**: let  $L_1/K$ ,  $L_2/K$  field extensions,  $\varphi: L_1 \to L_2$  field homomorphism.  $\varphi$  is K-homomorphism if  $\forall a \in K, \varphi(a) = a$  ( $\varphi$  fixes elements of K).
  - If  $\varphi$  is isomorphism then it is **K-isomorphism**.
  - If  $L_1 = L_2$  and  $\varphi$  is bijective then  $\varphi$  is K-automorphism.
- **Theorem**: let  $m(x) \in K[x]$  irreducible, monic,  $K_m := K[x]/\langle m(x) \rangle$ . Then
  - $K_m/K$  is field extension.
  - Let  $\theta = \pi(x)$  where  $\pi : K[x] \to K_m$  is canonical projection, then  $\theta$  has minimal polynomial m(x) and  $K_m \cong K(\theta)$ .

- **Proposition**: let L/K field extension,  $\tau \in L$  with  $m(\tau) = 0$  and  $K_L(\tau)$  be minimal subfield of L containing K and  $\tau$ . Then exists unique K-isomorphism  $\varphi: K_m \to K_L(\tau)$  such that  $\varphi(\theta) = \tau$ .
- Example:
  - Complex conjugation  $\mathbb{C} \to \mathbb{C}$  is  $\mathbb{R}$ -automorphism.
  - Let K field,  $\operatorname{char}(K) \neq 2$ ,  $\sqrt{2} \notin K$ , so  $x^2 2$  is minimal polynomial of  $\sqrt{2}$  over K, then  $K(\sqrt{2}) \cong K[x]/\langle x^2 2 \rangle$  is field extension of K and  $a + b\sqrt{2} \mapsto a b\sqrt{2}$  is K-automorphism.
- **Proposition**: let  $\theta$  transcendental over K, then exists unique K-isomorphism  $\varphi: K(t) \to K(\theta)$  such that  $\varphi(t) = \theta$ :

$$\varphi\left(\frac{f(t)}{g(t)}\right) = \varphi\left(\frac{f(\theta)}{g(\theta)}\right)$$

### 3.4. Explicit examples of simple extensions

- Let  $r \in K^{\times}$  non-square in K, char $(K) \neq 2$ , then  $x^2 r$  irreducible in K[x]. E.g. for  $K = \mathbb{Q}(t)$ ,  $x^2 t \in K[x]$  is irreducible. Then  $K(\sqrt{t}) = \mathbb{Q}(\sqrt{t}) \cong K[x]/\langle x^2 t \rangle$ .
- Define  $\mathbb{F}_9 = \mathbb{F}_3[x]/\langle x^2 2 \rangle \cong \mathbb{F}_3(\theta) = \{a + b\theta : a, b \in \mathbb{F}_3\}$  for  $\theta$  a root of  $x^2 2$ .
- **Proposition**: let  $K(\theta)/K$  where  $\theta$  has minimal polynomial  $m(x) \in K[x]$  of degree n. Then

$$K[x]/\langle m(x)\rangle \cong K(\theta) = \left\{c_0 + c_1\theta + \dots + c_{n-1}\theta^{n-1} : c_i \in K\right\}$$

and its elements are written uniquely:  $K(\theta)$  is vector space over K of dimension n with basis  $\{1, \theta, ..., \theta^{n-1}\}$ .

• Example:  $\mathbb{Q}(\sqrt[3]{2}) = \{a + b\sqrt[3]{2} + c\sqrt[3]{4} : a, b, c \in \mathbb{Q}\} \cong \mathbb{Q}[x]/\langle x^3 - 2 \rangle$ .  $\mathbb{Q}(\omega\sqrt[3]{2})$  and  $\mathbb{Q}(w^2\sqrt[3]{2})$  where  $\omega = e^{2\pi i/3}$  are isomorphic to  $\mathbb{Q}(\sqrt[3]{2})$  as  $\omega\sqrt[3]{2}$ ,  $\omega\sqrt[3]{4}$  have same minimal polynomial.

# 3.5. Degrees of field extensions

• **Definition**: **degree** of field extension L/K is

$$[L:K]\coloneqq \dim_L(F)$$

- Example:
  - When  $\theta$  algebraic over K of degree n,  $[K(\theta):K]=n$ .
  - Let  $\theta$  transcendental over K, then  $[K(\theta):K]=\infty$ , so  $[K(t):K]=\infty$ ,  $[\mathbb{Q}(\pi):\mathbb{Q}]$ ,  $[\mathbb{R}:\mathbb{Q}]=\infty$ .
- **Definition**: L/K is algebraic extension if every element in L is algebraic over K.
- **Proposition**: let  $[L:K] < \infty$ , then L/K is algebraic extension and  $L = K(\alpha_1, ..., \alpha_n)$  for some  $\alpha_1, ..., \alpha_n \in L$ .
- Tower law: let  $K \subseteq M \subseteq L$  tower of field extensions. Then
  - $[L:K] < \infty \iff [L:M] < \infty \land [M:K] < \infty$ .
  - [L:K] = [L:M][M:K].
- Example:

- $K=\mathbb{Q}\subset M=\mathbb{Q}(\sqrt{2})\subset L=\mathbb{Q}(\sqrt{2},\sqrt{7}).$  M/K has basis  $\{1,\sqrt{2}\}$  so [M:K]=2. Let  $\sqrt{7}\in\mathbb{Q}(\sqrt{2}),$  then  $\sqrt{7}=c+d\sqrt{2},$   $c,d\in\mathbb{Q}$  so  $7=(c^2+2d^2)+2cd\sqrt{2}$  so  $7=c^2+2d^2,$  0=2cd so  $d^2=\frac{7}{2}$  or  $c^2=7,$  which are both contradictions. So [L:K]=4 with basis  $\{1,\sqrt{2},\sqrt{7},\sqrt{14}\}.$
- Let  $K = \mathbb{Q} \subset M = \mathbb{Q}(i) \subset \mathbb{Q}(i, \sqrt{2})$ . We know  $[\mathbb{Q}(i) : \mathbb{Q}] = 2$ , and  $[\mathbb{Q}(\sqrt{2}) : \mathbb{Q}] = 2$ ,  $[\mathbb{Q}(i, \sqrt{2}) : \mathbb{Q}] = 2$  (since  $i \notin \mathbb{R}$ ) so  $[\mathbb{Q}(i, \sqrt{2}) : \mathbb{Q}(\sqrt{2})] = 2$ .
- Let  $K = \mathbb{Q} \subset M = \mathbb{Q}(\sqrt{2}) \subset L = \mathbb{Q}(\sqrt{2}, \sqrt[3]{3})$ . Then  $[\mathbb{Q}(\sqrt{2}) : \mathbb{Q}] = 2$ ,  $[\mathbb{Q}(\sqrt[3]{3}) : \mathbb{Q}] = 3$  so  $2 \mid [L : K]$  and  $3 \mid [L : K]$  so  $6 \mid [L : K]$  so  $[L : K] \ge 6$ . But  $[L : M] \le 3$  and  $[M : K] \le 2$  so  $[L : K] \le 6$  hence [L : K] = 6.
- More generally, we have  $[K(\alpha, \beta) : K] \leq [K(\alpha) : K][K(\beta) : K]$ .

#### • Example:

- Let  $\theta = \sqrt[3]{4} + 1$ .  $\mathbb{Q}(\theta) = \mathbb{Q}(\sqrt[3]{4})$  so minimal polynomial over  $\mathbb{Q}$ , m, has  $\deg(m) = 3$ .  $(\theta 1)^3 = 4$  so minimal polynomial is  $x^3 3x^2 + 3x 5$ .
- Let  $\theta = \sqrt{2} + \sqrt{3}$ .  $\mathbb{Q}(\sqrt{2}, \theta) = \mathbb{Q}(\sqrt{2}, \sqrt{3})$  which has degree 2 over  $\mathbb{Q}(\sqrt{2})$  so minimal polynomial of  $\theta$  over  $\mathbb{Q}(\sqrt{2})$  has degree 2,  $\theta \sqrt{2} = \sqrt{3}$  so minimal polynomial is  $x^2 2\sqrt{2}x 1$ .
- Let  $\theta = \sqrt{2} + \sqrt{3}$ .  $\mathbb{Q} \subset \mathbb{Q}(\theta) \subset \mathbb{Q}(\sqrt{2}, \sqrt{7})$  so  $[\mathbb{Q}(\theta) : \mathbb{Q}] \mid [\mathbb{Q}(\sqrt{2}, \sqrt{3}) : \mathbb{Q}] = 4$  so  $[\mathbb{Q}(\theta) : \mathbb{Q}] \in \{1, 2, 4\}$ . Can't be 1 as  $\theta \notin \mathbb{Q}$ . If it was 2 then  $1, \theta, \theta^2$  are linearly dependent over  $\mathbb{Q}$  which leads to a contradiction. So degree of minimal polynomial of  $\theta$  over  $\mathbb{Q}$  is 4.  $\theta^2 = 5 + 2\sqrt{6} \Rightarrow (\theta^2 5)^2 = 24$  so minimal polynomial is  $x^4 10x^2 + 1$ .

# 4. Galois extensions

## 4.1. Splitting fields

- **Definition**: for field K,  $0 \neq f(x) \in K[x]$ , L/K is **splitting field** of f(x) over K if
  - $\bullet \ \exists c \in K^{\times}, \theta_1, ..., \theta_n \in L: f(x) = c(x \theta_1) \cdots (x \theta_n) \ (f(x) \ \text{splits over} \ \boldsymbol{L}).$
  - $\bullet \ \ L=K(\theta_1,...,\theta_n).$

#### • Example:

- $\mathbb{C}$  is splitting field of  $x^2 + 1$  over  $\mathbb{R}$ , since  $x^2 + 1 = (x + i)(x i)$  and  $\mathbb{C} = \mathbb{R}(i, -i) = \mathbb{R}(i)$ .
- $\mathbb{C}$  is not splitting field of  $x^2 + 1$  over  $\mathbb{Q}$  as  $\mathbb{C} \neq \mathbb{Q}(i, -i)$ .
- $\mathbb{Q}$  is splitting field of  $x^2 36$  over  $\mathbb{Q}$ .
- $\mathbb{C}$  is splitting of  $x^4 + 1$  over  $\mathbb{R}$ .
- $\mathbb{Q}(i,\sqrt{2})$  is splitting field of  $x^4-x^2-2=(x^2+1)(x^2-2)=(x+i)(x-i)(x+\sqrt{2})(x-\sqrt{2})$  over  $\mathbb{Q}$ .
- $\mathbb{F}_2(\theta)$  where  $\theta^3 + \theta + 1 = 0$  is splitting field of  $x^3 + x + 1$  over  $\mathbb{F}_2$ .
- Consider splitting field of  $x^3 2$  over  $\mathbb{Q}$ . Let  $\omega = e^{2\pi i/3} = (-1 + \sqrt{-3})/2$  then  $\mathbb{Q}(\sqrt[3]{2}, \omega)$  is splitting field since it must contain  $\sqrt[3]{2}$ ,  $\omega^2\sqrt[3]{2}$ .
- **Theorem**: let  $0 \neq f(x) \in K[x]$ ,  $\deg(f) = n$ . Then there exists a splitting field L of f(x) over K with

$$[L:K] \leq n!$$

• Notation: for field homomorphism  $\varphi: K \to K'$  and  $f(x) = a_0 + \dots + a_n x^n \in K[x]$ , write

$$\varphi_*(f(x)) \coloneqq \varphi(a_0) + \dots + \varphi(a_n) x^n \in K'[x]$$

- Lemma: let  $\sigma: K \to K'$  isomorphism and  $K(\theta)/K$ ,  $\theta$  has minimal polynomial  $m(x) \in K[x]$ ,  $\theta'$  be root of  $\sigma_*(m(x))$ . Then there exists unique K-isomorphism  $\tau: K(\theta) \to K'(\theta')$  such that  $\tau(\theta) = \theta'$ .
- **Theorem**: for field isomorphism  $\sigma: K \to K'$  and  $0 \neq f(x) \in K[x]$ , let L be splitting field of f(x) over K, L' be splitting field of  $\sigma_*(f(x))$  over K'. Then there exists a field isomorphism  $\tau: L \to L'$  such that  $\forall a \in K, \tau(a) = \sigma(a)$ .
- Corollary: setting K = K' and  $\sigma = id$  implies that splitting fields are unique.

#### 4.2. Normal extensions

- **Definition**: L/K is **normal** if: for all  $f(x) \in K[x]$ , if f is irreducible and has a root in L then all its roots are in L. In particular, f(x) splits completely as product of linear factors in L[x]. So the minimal polynomial of  $\theta \in L$  over K has all its roots in L and can be written as product of linear factors in L[x].
- Example:
  - If [L:K] = 1 then L/K is normal.
  - If [L:K]=2 then L/K is normal: let  $\theta \in L$  have minimal polynomial  $m(x) \in K[x]$ , then  $K \subseteq K(\theta) \subseteq L$  so  $\deg(m(x)) = [K(\theta):K] \in \{1,2\}$ :
    - If deg(m(x)) = 1 then m(x) is already linear.
    - If deg(m(x)) = 2 then  $m(x) = (x \theta)m_1(x)$ ,  $m_1(x) \in L[x]$  is linear so m(x) splits completely in L[x].
  - If [L:K]=3 then L/K is not necessarily normal. Let  $\theta$  be root of  $x^3-2\in \mathbb{Q}[x]$ . Other two roots are  $\omega\theta$ ,  $\omega^2\theta$  where  $\omega=e^{2\pi i/3}$ . If  $\omega\theta\in \mathbb{Q}(\theta)$  then  $\omega=\frac{\omega\theta}{\theta}\in L$  so  $\mathbb{Q}\subset \mathbb{Q}(\omega)\subset \mathbb{Q}(\theta)$  but  $[\mathbb{Q}(\omega):\mathbb{Q}]=2$  which doesn't divide  $[\mathbb{Q}(\theta):\mathbb{Q}]=3$ .
  - Let  $\theta \in \mathbb{C}$  be root of irreducible  $f(x) = x^3 3x 1 \in \mathbb{Q}[x]$ . Let  $\theta = u + v$ , then  $(u+v)^3 3uv(u+v) (u^3+v^3) \equiv 0$  implies  $uv = 1 = u^3v^3$ ,  $u^3 + v^3 = 1$ . So  $(y-u^3)(y-v^3) = y^2 y + 1$  has roots  $u^3$  and  $v^3$ . So the three roots of f are

$$\begin{split} \theta_1 &= u + v = e^{\pi i/9} + e^{-\pi i/9} = 2\cos(\pi/9) \\ \theta_2 &= \omega u + \omega^2 v = e^{7\pi i/9} + e^{-7\pi i/9} = 2\cos(7\pi/9) \\ \theta_3 &= \omega^2 u + \omega v = e^{13\pi i/9} + e^{-13\pi i/9} = 2\cos(13\pi/9) \end{split}$$

Furthermore, for each  $i, j, \theta_i \in \mathbb{Q}(\theta_j)$ , e.g.

$$\theta_2 = 2\cos \left(\pi - \frac{2\pi}{9}\right) = -2\cos \left(\frac{2\pi}{9}\right) = -2\left(2\cos \left(\frac{\pi}{9}\right)^2 - 1\right) = 2 - \theta_1^2$$

Also  $\theta_1 + \theta_2 + \theta_3 = 0$  so  $\theta_3 \in \mathbb{Q}(\theta_1)$ . So  $\mathbb{Q}(\theta_1)$  contains all roots of f(x).

- Theorem (normality criterion): L/K is finite and normal iff L is splitting field for some  $0 \neq f(x) \in K[x]$  over K.
- Example:

- $\mathbb{Q}(\sqrt{2}, \sqrt{3}, \sqrt{5}, \sqrt{7})/\mathbb{Q}$  is normal as it is the splitting field of  $f(x) = (x^2 2)(x^2 3)(x^2 5)(x^2 7) \in \mathbb{Q}[x].$
- $\mathbb{Q}(\sqrt[3]{2})/\mathbb{Q}$  is not normal but  $\mathbb{Q}(\sqrt[3]{2},\omega)/\mathbb{Q}$  is normal as it is the splitting field of  $x^3-2\in\mathbb{Q}$ .
- $\mathbb{Q}(\sqrt[4]{2})/\mathbb{Q}$  is not normal but  $\mathbb{Q}(\sqrt[4]{2},i)/\mathbb{Q}$  is normal.
- Let  $\theta$  root of  $f(x) = x^3 3x 1 \in \mathbb{Q}[x]$ . Then  $\mathbb{Q}(\theta)/\mathbb{Q}$  is normal as is splitting field of f(x) over  $\mathbb{Q}$ .
- $\mathbb{F}_2(\theta)/\mathbb{F}_2$  where  $\theta^3 + \theta^2 + 1 = 0$  is normal, as  $\mathbb{F}_2(\theta)$  contains all roots of  $x^3 + x^2 + 1$ .
- $\mathbb{F}_p(\theta)/\mathbb{F}_p(t)$  where  $\theta^p=t$  is normal as it is the splitting field of  $x^p-t=x^p-\theta^p=(x-\theta)^p$  so f(x) splits into linear factors in L[x].
- **Definition**: field N is **normal closure** of L/K if  $K \subseteq L \subseteq N$ , N/K is normal, and if  $K \subseteq L \subseteq N' \subseteq N$  with N'/K normal then N = N'.
- **Theorem**: every finite extension L/K has normal closure, unique up to a K-isomorphism.
- **Definition**:  $\operatorname{Aut}(L/K)$  is group of K-automorphisms of L/K with composition as the group operation.

#### • Example:

- Aut( $\mathbb{C}/\mathbb{R}$ ) contains at least two elements: complex conjugation:  $\sigma(a+bi)=a-bi$  and the identity map  $\mathrm{id}=\sigma^2$ . If  $\tau\in\mathrm{Aut}(\mathbb{C}/\mathbb{R})$  then  $\tau(a+bi)=a+b\tau(i)$ . But  $\tau(i)^2=\tau(i^2)=\tau(-1)=-1$  hence  $\tau(i)=\pm i$ . So there are only two choices for  $\tau$ . So  $\mathrm{Aut}(\mathbb{C}/\mathbb{R})=\{\mathrm{id},\sigma\}$ .
- Let  $f(x) = x^2 + px + q \in \mathbb{Q}[x]$  irreducible with distinct roots  $\theta, \theta'$ . Then  $\operatorname{Aut}(\mathbb{Q}(\theta)/\mathbb{Q}) = \{\operatorname{id}, \sigma\} \cong \mathbb{Z}/2$  where  $\sigma(a+b\theta) = a+b\theta'$ .
- Let  $\theta$  root of  $x^3 2$ , let  $\sigma \in \operatorname{Aut}(\mathbb{Q}(\theta)/\mathbb{Q})$ . Now  $\sigma(\theta)^3 = \sigma(\theta^3) = \sigma(2) = 2$  so  $\sigma(\theta) \in \{\theta, \omega\theta, \omega^2\theta\}$  but  $\omega\theta, \omega^2\theta \notin \mathbb{Q}(\theta)$  so  $\sigma(\theta) = \theta \Longrightarrow \sigma = \operatorname{id}$ .
- Let  $\theta^p = t$ ,  $\sigma \in \operatorname{Aut}(\mathbb{F}_n(\theta)/\mathbb{F}_n(t))$ . Then

$$\sigma(\theta)^p = \sigma(\theta^p) = \sigma(t) = t = \theta^p$$

so 
$$(\sigma(\theta) - \theta))^p = \sigma(\theta)^p - \theta^p = 0 \Longrightarrow \sigma(\theta) = \theta \Longrightarrow \sigma = id.$$

- Let  $\sigma \in \operatorname{Aut}(\mathbb{R}/\mathbb{Q})$ . Then  $\alpha \leq \beta \in \mathbb{R} \Longrightarrow \beta \alpha = \gamma^2$ ,  $\gamma \in \mathbb{R}$ , so  $\sigma(\beta) \sigma(a) = \sigma(\gamma)^2 \geq 0$  so  $\sigma(\alpha) \leq \sigma(\beta)$ . Given  $\alpha \in \mathbb{R}$ , there exist sequences  $(r_n), (s_n) \subset \mathbb{Q}$  with  $r_n \leq \alpha \leq s_n$  and  $r_n \to \alpha$ ,  $s_n \to \alpha$  as  $n \to \infty$ . Hence  $r_n = \sigma(r_n) \leq \sigma(\alpha) \leq \sigma(s_n) = s_n$  so  $\sigma(\alpha) = \alpha$  by squeezing. Hence  $\operatorname{Aut}(\mathbb{R}/\mathbb{Q}) = \{\operatorname{id}\}$ .
- **Theorem**: let  $L = K(\theta)$ ,  $\theta$  root of irreducible  $f(x) \in K[x]$ ,  $\deg(f) = n$ . Then  $|\operatorname{Aut}(L/K)| \le n$ , with equality iff f(x) has n distinct roots in L.
- **Theorem**: let L/K be finite extension. Then  $|\operatorname{Aut}(L/K)| \leq [L:K]$ , with equality iff L/K is normal and minimal polynomial of every  $\theta \in L$  over K has no repeated roots (in a splitting field).

## 4.3. Separable extensions

• **Definition**: let L/K finite extension.

- $\theta \in L$  is **separable over** K if its minimal polynomial over K has no repeated roots (in its splitting field).
- L/K is **separable** if every  $\theta \in L$  is separable over K.
- Example: let  $K = \mathbb{F}_p(t)$ , then  $f(x) = x^p t \in K[x]$  is irreducible by Eisenstein's criterion with p = t, and  $f(x) = x^p \theta^p = (x \theta)^p$  so  $\theta$  is root of multiplicity  $p \geq 2$ . So  $\mathbb{F}_p(\theta)/\mathbb{F}_p(t)$  is normal but not separable.
- Definition: let  $f(x) = \sum_{i=0}^{n} a_i x^i \in K[x]$ . Formal derivative of f(x) is

$$Df(x) = D(f) := \sum_{i=1}^{n} i a_i x^{i-1} \in K[x]$$

• Formal derivative satisfies:

$$D(f+g) = D(f) + D(g), \quad D(fg) = f \cdot D(g) + D(f) \cdot g, \quad \forall a \in K, D(a) = 0$$

Also  $\deg(D(f)) < \deg(f)$ . But if  $\operatorname{char}(K) = p$ , then  $D(x^p) = px^{p-1} = 0$  so it is not always true that  $\deg(D(f)) = \deg(f) - 1$ .

- Theorem (sufficient conditions for separability): finite extension L/K is separable if any of the following hold:
  - $\operatorname{char}(K) = 0$ ,
  - $\operatorname{char}(K) = p$  and  $K = \{b^p : b \in K\}$  for prime p,
  - $\operatorname{char}(K) = p \text{ and } p \nmid [L:K].$
- **Definition**: *K* is **perfect field** if either of first two of above properties hold.
- Remark: all finite extensions of any perfect extension (e.g.  $\mathbb{Q}, \mathbb{F}_p$ ) are separable (recall Fermat's little theorem:  $\forall a \in \mathbb{F}_p, a = a^p$ ). So to find a non-separable extension L/K, we need char(K) = p > 0, K infinite and  $p \mid [L:K]$ . For example,  $L = \mathbb{F}_p(\theta), K = \mathbb{F}_p(t)$  where  $\theta^p = t$ .
- Theorem: let  $\alpha_1, ..., \alpha_n$  algebraic over K, then  $K(\alpha_1, ..., \alpha_n)/K$  is separable iff every  $\alpha_i$  is separable over K.
- Remark: for tower  $K \subseteq M \subseteq L$ , L/K is separable iff L/M and M/K are separable. However, the same statement for normality does not hold.
- Theorem of the Primitive Element: let L/K finite and separable. Then L/K is simple, i.e.  $\exists \alpha \in L : L = K(\alpha)$ .

# 4.4. The fundamental theorem of Galois theory

- **Definition**: finite extension L/K is **Galois extension** if it is normal and separable. Equivalently,  $|\operatorname{Aut}(L/K)| = [L:K]$ . When L/K is Galois, the **Galois group** is  $\operatorname{Gal}(L/K) := \operatorname{Aut}(L/K)$ .
- **Definition**: let  $\mathcal{F} := \{\text{intermediate fields of } L/K\}$  and  $\mathcal{G} := \{\text{subgroups of } \operatorname{Gal}(L/K)\}$ . Define the map  $\Gamma : \mathcal{F} \to \mathcal{G}, \ \Gamma(M) = \operatorname{Gal}(L/M)$ .
- **Definition**: let L field, G a group of automorphisms of L. **Fixed field**  $L^G$  of G is set of elements in L which are invariant under all automorphisms in G:

$$L^G := \{ \alpha \in L : \forall \alpha \in G, \, \sigma(\alpha) = \alpha \}$$

• **Theorem**: if G is finite group of automorphisms of L then  $L^G$  is subfield of L and  $[L:L^G]=|G|$ .

- Corollary: if L/K is Galois then
  - $L^{\operatorname{Gal}(L/K)} = K$ .
  - If  $L^G = K$  for some group G of K-automorphisms of L, then G = Gal(L/K).
- Remark: if L/K is Galois and  $\alpha \in L$  but  $\alpha \notin K$ , then there exists an automorphism  $\sigma \in \operatorname{Gal}(L/K)$  such that  $\sigma(\alpha) \neq \alpha$ .
- **Definition**: for H subgroup of Gal(L/K), set  $L^H := \{ \alpha \in L : \forall \sigma \in H, \sigma(\alpha) = \alpha \}$ , then  $K \subseteq L^H \subseteq L$ . Define  $\Phi : \mathcal{G} \to \mathcal{F}$ ,  $\Phi(H) = L^H$ .
- $\Gamma$  and  $\Phi$  are inclusion-reversing:  $M_1 \subseteq M_2 \Longrightarrow \Gamma(M_2) \subseteq \Gamma(M_1)$ , and  $H_1 \subseteq H_2 \Longrightarrow \Phi(H_2) \subseteq \Phi(H_1)$ .
- Fundamental theorem of Galois theory Theorem A: for finite Galois extension L/K,
  - $\Gamma: \mathcal{F} \to \mathcal{G}$  and  $\Phi: \mathcal{F} \to \mathcal{F}$  are mutually inverse bijections (the **Galois** correspondence).
  - For  $M \in \mathcal{F}$ , L/M is Galois and |Gal(L/M)| = [L:M].
  - For  $H \in \mathcal{G}$ ,  $L/L^H$  is Galois and  $Gal(L/L^H) = H$ .
- Remark: Gal(L/K) acts on  $\mathcal{F}$ : given  $\sigma \in Gal(L/K)$  and  $K \subseteq M \subseteq L$ , consider  $\sigma(M) = \{\sigma(\alpha) : \alpha \in M\}$  which is a subfield of L and contains K, since  $\sigma$  fixes elements of K. Given another automorphism  $\tau : L \to L$ ,

$$\begin{split} \tau \in \operatorname{Gal}(L/\sigma(M)) &\iff \forall \alpha \in M, \tau(\sigma(\alpha)) = \sigma(\alpha) \\ &\iff \forall \alpha \in M, \sigma^{-1}(\tau(\sigma(\alpha))) = \alpha \\ &\iff \sigma^{-1}\tau\sigma \in \operatorname{Gal}(L/M) \\ &\iff \tau \in \sigma \ \operatorname{Gal}(L/M)\sigma^{-1} \end{split}$$

Hence  $\sigma$   $\operatorname{Gal}(L/M)\sigma^{-1}$  and  $\operatorname{Gal}(L/M)$  are conjugate subgroups of  $\operatorname{Gal}(L/K)$ . Now

$$[M:K] = \frac{[L:K]}{[L:M]} = \frac{|\mathrm{Gal}(L/K)|}{|\mathrm{Gal}(L/M)|}$$

- Fundamental theorem of Galois theory Theorem B: for finite Galois extension L/K,  $G = \operatorname{Gal}(L/K)$  and  $K \subseteq M \subseteq L$ . Then the following are equivalent:
  - M/K is Galois.
  - $\forall \sigma \in G$ ,  $\sigma(M) = M$ .
  - $H = \operatorname{Gal}(L/M)$  is normal subgroup of  $G = \operatorname{Gal}(L/K)$ .

When these conditions hold, we have  $Gal(M/K) \cong G/H$ .

- Example: let L/K be Galois, [L:K]=p prime.
  - By the tower law, any  $K \subseteq M \subseteq L$  has  $[L:M] \in \{1,p\}$ ,  $[M:K] \in \{p,1\}$ , so M=L or K. In both cases, M/K is normal.
  - $|\operatorname{Gal}(L/K)| = [L:K] = p$  so  $\operatorname{Gal}(L/M) \cong \mathbb{Z}/p$ , so the only subgroups are  $\operatorname{Gal}(L/K)$  and  $\{\operatorname{id}\}$ . In both cases, H is normal subgroup of  $\operatorname{Gal}(L/K)$ .

# 4.5. Computations with Galois groups

• Example - quadratic extension:  $\mathbb{Q}(\sqrt{2})/\mathbb{Q}$  is normal (since degree is 2) and separable (since characteristic is zero). Any element of  $\varphi \in G = \operatorname{Gal}(\mathbb{Q}(\sqrt{2})/Q)$  is

determined by the image of  $\sqrt{2}$ . But  $\varphi(\sqrt{2})^2 = \varphi(2) = 2$  so  $\varphi(\sqrt{2}) = \pm \sqrt{2}$ . This gives two automorphisms  $\mathrm{id}(\sqrt{2}) = \sqrt{2}$  and  $\sigma(\sqrt{2}) = -\sqrt{2}$ . So  $G = \{\mathrm{id}, \sigma\} = \langle \sigma \rangle \cong \mathbb{Z}/2$ . Subgroup  $\{\mathrm{id}\}$  corresponds to  $\mathbb{Q}(\sqrt{2})$ , G corresponds to  $\mathbb{Q}$ .

- Example biquadratic extension:  $L = \mathbb{Q}(\sqrt{2}, \sqrt{3})$  over  $\mathbb{Q}$  is normal (as splitting field of  $(x^2 2)(x^2 3)$  over  $\mathbb{Q}$ ) and separable (as char( $\mathbb{Q}$ ) = 0), so is Galois extension. Let  $\sigma$  be given as before.
  - Suppose  $\sqrt{3} \in \mathbb{Q}(\sqrt{2})$ , then  $\sigma(\sqrt{3})^2 = \sigma(3) = 3$ , so  $\sigma(\sqrt{3}) = \pm \sqrt{3}$ .
  - If  $\sigma(\sqrt{3}) = \sqrt{3}$ , then  $\sqrt{3} \in \mathbb{Q}(\sqrt{2})^{\{id,\sigma\}} = \mathbb{Q}$ : contradiction.
  - If  $\sigma(\sqrt{3}) = -\sqrt{3}$ , then  $\sigma(\sqrt{2})\sigma(\sqrt{3}) = \sigma(\sqrt{6}) = (-\sqrt{2})(-\sqrt{3}) = \sqrt{6}$ , so  $\sqrt{6} \in \mathbb{Q}(\sqrt{2})^{\{\mathrm{id},\sigma\}} = \mathbb{Q}$ : contradiction.
  - So  $\sqrt{3} \notin \mathbb{Q}(\sqrt{2})$ , hence  $[L : \mathbb{Q}] = [L : \mathbb{Q}(\sqrt{2})][\mathbb{Q}(\sqrt{2}) : \mathbb{Q}] = 4$ .
  - Now  $G = Gal(L/\mathbb{Q})$  has order  $[L : \mathbb{Q}] = 4$ , so  $G \cong \mathbb{Z}/4$  or  $\mathbb{Z}/2 \times \mathbb{Z}/2$ .
  - For  $\varphi \in G$ ,  $\varphi(\sqrt{2})^2 = 2 \Longrightarrow \varphi(\sqrt{2}) = \pm \sqrt{2}$ ,  $\varphi(\sqrt{3})^2 = 3 \Longrightarrow \varphi(\sqrt{3}) = \pm \sqrt{3}$ . So there are four choices, corresponding to choices of  $\pm$  signs.
  - Define  $\sigma, \tau$  by  $\sigma(\sqrt{2}) = -\sqrt{2}$ ,  $\sigma(\sqrt{3}) = \sqrt{3}$ ,  $\tau(\sqrt{2}) = \sqrt{2}$ ,  $\tau(\sqrt{3}) = -\sqrt{3}$ . Now  $\sigma^2 = \tau^2 = \mathrm{id}$ ,  $\sigma\tau(\sqrt{2}) = -\sqrt{2}$ ,  $\sigma\tau(\sqrt{3}) = -\sqrt{3}$  and  $\sigma\tau = \tau\sigma$ .
  - So  $G = \langle \sigma, \tau : \sigma^2 = \tau^2 = \mathrm{id}, \sigma\tau = \tau\sigma \rangle = \langle \sigma \rangle \times \langle \tau \rangle \cong \mathbb{Z}/2 \times \mathbb{Z}/2.$
  - G has proper subgroups  $H_1 = \langle \sigma \rangle$ ,  $H_2 = \langle \tau \rangle$ ,  $H_3 = \langle \sigma \tau \rangle$ .
  - So the intermediate fields are  $L^{H_1}, L^{H_2}, L^{H_3}$ .
  - $\sigma(\sqrt{3}) = \sqrt{3} \Longrightarrow \sqrt{3} \in L^{H_1}$  so  $\mathbb{Q}(\sqrt{3}) \subseteq L^{H_1}$ , but  $[L:\mathbb{Q}(\sqrt{3})] = 2 = |H_1| = [L:L^{H_1}]$ . Hence  $L^{H_1} = \mathbb{Q}(\sqrt{3})$ . Similarly  $L^{H_2} = \mathbb{Q}(\sqrt{2})$ .
  - $\sigma \tau(\sqrt{6}) = \sqrt{6} \Longrightarrow \sqrt{6} \in L^{H_3}$ , so  $L^{H_3} = \mathbb{Q}(\sqrt{6})$ .
- Remark: it is not generally true that  $[K(\sqrt{a}, \sqrt{b}) : K] = 4$ , e.g.  $\mathbb{Q}(\sqrt{2}, \sqrt{8}) = \mathbb{Q}(\sqrt{2})$ .
- **Remark**: can generalise above example to arbitrary  $K(\sqrt{a}, \sqrt{b})/K$  where  $\operatorname{char}(K) \neq 2$ , and  $a, b \in K$ ,  $a, b, ab \notin (K^{\times})^2$  where  $(K^{\times})^2$  is set of squares of  $K^{\times}$ .
- Example degree 8 extension:
  - Consider  $L = \mathbb{Q}(\sqrt{2}, \sqrt{3}, \sqrt{5})$  over  $\mathbb{Q}$ . L is splitting field of  $(x^2 2)(x^2 3)(x^2 5)$ , so is normal, and  $\operatorname{char}(\mathbb{Q}) = 0$ , so is separable, so is Galois.
  - Let  $M = \mathbb{Q}(\sqrt{2}, \sqrt{3})$ . By above,  $Gal(M/Q) = \langle \sigma \rangle \times \langle \tau \rangle \cong \mathbb{Z}/2 \times \mathbb{Z}/2$ .
  - Suppose  $\sqrt{5} \in M$ . Then  $\sigma(\sqrt{5})^2 = \tau(\sqrt{5})^2 = 5$ , so  $\sigma(\sqrt{5}) = \pm \sqrt{5}$ ,  $\tau(\sqrt{5}) = \pm \sqrt{5}$ .
  - If  $\sigma(\sqrt{5}) = \sqrt{5}$ , then  $\sqrt{5} \in M^{\langle \sigma \rangle} = \mathbb{Q}(\sqrt{3})$ .
    - If  $\tau(\sqrt{5}) = \sqrt{5}$ ,  $\sqrt{5} \in M^{\langle \sigma, \tau \rangle} = \mathbb{Q}$ : contradiction.
    - If  $\tau(\sqrt{5}) = -\sqrt{5}$ , then since  $\sqrt{15} \in M^{\langle \sigma \rangle}$ ,  $\tau(\sqrt{15}) = \sqrt{15}$ , so  $\sqrt{15} \in M^{\langle \sigma, \tau \rangle} = \mathbb{Q}$ : contradiction.
  - If  $\sigma(\sqrt{5}) = -\sqrt{5}$ , then  $\sigma(\sqrt{10}) = \sigma(\sqrt{2})\sigma(\sqrt{5}) = (-\sqrt{2})(-\sqrt{5}) = \sqrt{10}$ , so  $\sqrt{10} \in M^{\langle \sigma \rangle} = \mathbb{Q}(\sqrt{3})$ .
    - If  $\tau(\sqrt{5}) = \sqrt{5}$ ,  $\tau(\sqrt{10}) = \sqrt{10} \in M^{\langle \sigma, \tau \rangle} = \mathbb{Q}$ : contradiction.
    - If  $\tau(\sqrt{5}) = -\sqrt{5}$ ,  $\tau(\sqrt{30}) = \tau(\sqrt{5})\tau(\sqrt{3})\tau(\sqrt{2}) = \sqrt{30} \in M^{\langle \sigma, \tau \rangle} = \mathbb{Q}$ : contradiction.

- So  $\sqrt{5} \notin M$ , so  $[L:\mathbb{Q}] = [L:M][M:\mathbb{Q}] = 8$ . The 8 elements in  $Gal(L/\mathbb{Q})$  are determined by choices of  $\sqrt{a} \mapsto \pm \sqrt{a}$  where  $a \in \{2,3,5\}$ .
- $\operatorname{Gal}(L/\mathbb{Q}) = \langle \sigma_1, \sigma_2, \sigma_3 \rangle \cong \mathbb{Z}/2 \times \mathbb{Z}/2 \times \mathbb{Z}/2$  where  $\sigma_1(\sqrt{2}) = -\sqrt{2}$ ,  $\sigma_2(\sqrt{3}) = -\sqrt{3}$ ,  $\sigma_1(\sqrt{5}) = -\sqrt{5}$  and the  $\sigma_i$  fix all other square roots.
- More generally, write  $\sigma(\sqrt{5}) = (-1)^j \sqrt{5}$ ,  $\tau(\sqrt{5}) = (-1)^k \sqrt{5}$ ,  $j, k \in \{0, 1\}$ . Define  $m = 2^j 3^k$ , then  $\sigma(\sqrt{m}) = (-1)^j \sqrt{m} \Rightarrow \sigma(\sqrt{5m}) = \sqrt{5m}$  and  $\tau(\sqrt{m}) = (-1)^k \sqrt{m} \Rightarrow \tau(\sqrt{5m}) = \sqrt{5m}$ , so  $\sqrt{5m} \in M^{\langle \sigma, \tau \rangle} = \mathbb{Q}$ : contradiction.
- Example cubic extension and its normal closure:
  - Let  $L = \mathbb{Q}(\theta)$ ,  $\theta^3 2 = 0$ .  $L/\mathbb{Q}$  isn't Galois since not normal. Take the normal closure  $N = \mathbb{Q}(\theta, \omega) = \mathbb{Q}(\theta, \sqrt{-3})$ .
  - Let  $M = \mathbb{Q}(\omega)$  so  $[M : \mathbb{Q}] = 2$ ,  $[L : \mathbb{Q}] = 3$  and  $[N : \mathbb{Q}] = 6$ . Let  $G = \operatorname{Gal}(N/\mathbb{Q})$ .
  - Since  $|G| = [N : \mathbb{Q}] = 6$ ,  $G \cong \mathbb{Z}/6$  or  $G \cong D_3 \cong S_3$ .
  - G contains Gal(N/L). Since  $N = L(\omega)$ ,

$$\operatorname{Gal}(N/L) = \{ \operatorname{id}, \tau \} = \langle \tau \rangle \cong \mathbb{Z}/2$$

where  $\tau(\sqrt{-3}) = -\sqrt{-3}$  (i.e.  $\tau(w) = \omega^2$ ) and  $\tau(\theta) = \theta$  as  $\theta \in L$ .

• G contains  $H = \operatorname{Gal}(N/M)$ .  $N = M(\theta)$ , |H| = [N:M] = 3 so  $\operatorname{Gal}(N/M)$  is cyclic so

$$H = {\mathrm{id}, \sigma, \sigma^2} = \langle \sigma \rangle \cong \mathbb{Z}/3$$

where  $\sigma(\theta) = \omega \theta$ , also  $\sigma(\omega) = \omega$  as  $\omega \in M$  and  $\sigma^2(\theta) = \omega^2 \theta$ , so H permutes the three roots of  $x^3 - 2$ .

- $\tau \notin H$  so  $H = \{ \mathrm{id}, \sigma, \sigma^2 \}$  and  $\tau H = \{ \tau, \tau \sigma, \tau \sigma^2 \}$  are disjoint cosets. So  $G = H \cup \tau H = \langle \tau, \sigma \rangle$  so |G| = 6.  $\tau^2 = \sigma^3 = \mathrm{id}$  and  $\sigma \tau = \tau \sigma^2$ . So  $G \cong S_3 \cong D_3$ .
- G has one subgroup of order 3,  $H = \langle \sigma \rangle$ . Fixed field is  $N^H = M$ . H is only proper normal subgroup of G. Correspondingly, M is only normal extension of Q in N.
- There are 3 order 2 subgroups:  $\langle \tau \rangle$ ,  $\langle \tau \sigma \rangle$ ,  $\langle \tau \sigma^2 \rangle$ .  $N^{\langle \tau \rangle} = \mathbb{Q}(\theta) = L$ ,  $N^{\langle \tau \sigma \rangle} = \mathbb{Q}(\omega \theta) = \sigma(L)$ ,  $N^{\langle \tau \sigma^2 \rangle} = \mathbb{Q}(\omega^2 \theta) = \sigma^2(L)$ .
- Example: show  $\sqrt[3]{3} \notin \mathbb{Q}(\sqrt[3]{2})$ .
  - Assume  $\sqrt[3]{3} \in \mathbb{Q}(\sqrt[3]{2})$ . Then  $\sqrt[3]{3} \in N = \mathbb{Q}(\omega, \sqrt[3]{2})$ , the normal closure.
  - As above, let  $\sigma \in \operatorname{Gal}(N/\mathbb{Q})$ ,  $\sigma(\sqrt[3]{2}) = \omega \sqrt[3]{2}$  and  $N^{\langle \sigma \rangle} = \mathbb{Q}(\omega)$ . Also,

$$\sigma(\sqrt[3]{3})^3 = \sigma(3) = 3 \Longrightarrow \sigma(\sqrt[3]{3}) \in \{\sqrt[3]{3}, \omega\sqrt[3]{3}, \omega^2\sqrt[3]{3}\}$$

- If  $\sigma(\sqrt[3]{3}) = \sqrt[3]{3}$ , then  $\sqrt[3]{3} \in N^{\langle \sigma \rangle} = \mathbb{Q}(\omega)$ , so  $\mathbb{Q}(\sqrt[3]{3}) \subseteq \mathbb{Q}(\omega)$ : contradiction.
- If  $\sigma(\sqrt[3]{3}) = \omega \sqrt[3]{3}$ , then  $\sigma(\sqrt[3]{3}/\sqrt[3]{2}) = \sqrt[3]{3}/\sqrt[3]{2}$  hence  $\sqrt[3]{3/2} \in N^{\langle \sigma \rangle} = \mathbb{Q}(\omega)$ , so  $\mathbb{Q}(\sqrt[3]{3/2}) = \mathbb{Q}(\sqrt[3]{12}) \subseteq \mathbb{Q}(\omega)$ : contradiction.
- If  $\sigma(\sqrt[3]{3}) = \omega^2 \sqrt[3]{3}$ ,  $\mathbb{Q}(\sqrt[3]{3/4}) = \mathbb{Q}(\sqrt[3]{6}) \subseteq \mathbb{Q}(\omega)$ : contradiction.
- Remark: in the above example,  $N = \mathbb{Q}(\theta_1, \theta_2, \theta_3) = \mathbb{Q}(\sqrt[3]{2}, \omega)$  where  $\theta_i$  are the roots of  $x^3 2$ . Plotting this roots on Argand diagram gives the symmetry group  $S_3 \cong D_3$  of an equilateral triangle.  $\tau$  reflects the  $\theta_i$  (complex conjugation),  $\sigma$  rotates the roots (but **doesn't** rotate all of N, as it fixes  $\mathbb{Q}$ ). For  $g \in G$ ,  $g(\theta_j) = \theta_{\pi(j)}$  where  $\pi$  is permutation of  $\{1, 2, 3\}$ . So there is a group

- homomorphism  $\varphi: G \to S_3$ ,  $\varphi(g) = \pi$ .  $\ker(\varphi) = \{id\}$ , so  $\varphi$  is injective and also surjective, since  $|G| = |S_3| = 6$ , so  $\varphi$  is isomorphism.
- **Definition**: for  $f(x) \in K[x]$ ,  $\deg(f) = n \ge 1$ , with n distinct roots, the **Galois** group of f(x),  $G_f$ , is Galois group of splitting field of f(x) over K (provided it is separable).
- Remark: elements of  $G_f$  permute roots of f, so  $G_f$  is subgroup of  $S_n$ . If f(x) irreducible over K, then  $G_f$  is **transitive** subgroup, i.e. given 2 roots  $\alpha, \beta$  of f, there is a  $g \in G_f$  with  $g(\alpha) = \beta$ . This gives a general pattern

polynomial  $\longrightarrow$  field extension  $\longrightarrow$  permutation group

- **Example**: consider  $\mathbb{Q} \subset L = \mathbb{Q}(\theta) \subset N = \mathbb{Q}(\theta, i)$  where  $\theta = \sqrt[4]{2}$ . N is normal closure of  $\mathbb{Q}(\theta)$ ,  $[N:\mathbb{Q}] = 8$  so  $|\text{Gal}(N/\mathbb{Q})| = 8$ .
  - Define  $\sigma(\theta)=i\theta,\,\sigma(i)=i,\,\tau(\theta)=\theta,\,\tau(i)=-i.$  Then  $\tau^2=\sigma^4=\mathrm{id}.$  We have

	id	σ	$\sigma^2$	$\sigma^3$	au	$ au\sigma$	$ au\sigma^2$	$ au\sigma^3$
$\theta$	$\theta$	$i\theta$	$-\theta$	-i heta	$\theta$	-i heta	$-\theta$	$i\theta$
i	i	i	i	i	-i	-i	-i	-i

so  $G = \operatorname{Gal}(N/\mathbb{Q}) = \langle \sigma, \tau : \sigma^4 = \tau^2 = \operatorname{id}, \sigma\tau = \tau\sigma^3 \rangle \cong D_4$ .

- Order 2 subgroups are  $\langle \tau \rangle$ ,  $\langle \tau \sigma \rangle$ ,  $\langle \tau \sigma^2 \rangle$ ,  $\langle \tau \sigma^3 \rangle$ ,  $\langle \sigma^2 \rangle$ .
- Order 4 subgroups are  $\langle \sigma^2, \tau \rangle \cong (\mathbb{Z}/2)^2$ ,  $\langle \sigma \rangle \cong \mathbb{Z}/4$ ,  $\langle \sigma^2, \tau \sigma \rangle \cong (\mathbb{Z}/2)^2$ .
- Respectively, intermediate field extensions of degree 4 are  $\mathbb{Q}(\sqrt[4]{2})$ ,  $\mathbb{Q}(i\sqrt[4]{2})$ ,  $\mathbb{Q}(\sqrt{2},i)$ ,  $\mathbb{Q}((1-i)\sqrt[4]{2})$ ,  $\mathbb{Q}((1+i)\sqrt[4]{2})$ .
- Respectively, intermediate field extensions of degree 2 are  $\mathbb{Q}(\sqrt{2})$ ,  $\mathbb{Q}(i)$ ,  $\mathbb{Q}(i\sqrt{2})$ .