# 1. Metric spaces

#### 1.1. Metrics

- Metric space: (X,d), X is set,  $d: X \times X \to [0,\infty)$  is metric satisfying:
  - $d(x,y) = 0 \iff x = y$
  - Symmetry: d(x, y) = d(y, x)
  - Triangle inequality:  $d(x,y) \le d(x,z) + d(z,y)$
- Examples of metrics:
  - *p*-adic metric:

$$d_p(x,y) = \left(\sum_{i=1}^n |x_i - y_i|^p\right)^{\frac{1}{p}}$$

• Extension of the *p*-adic metric:

$$d_{\infty}(x,y) = \max\{|x_i - y_i| : i \in [n]\}$$

• Metric of C([a,b]):

$$d(f,g) = \sup\{|f(x) - g(x)| : x \in [a,b]\}$$

• Discrete metric:

$$d(x,y) = \begin{cases} 0 \text{ if } x = y\\ 1 \text{ if } x \neq y \end{cases}$$

• Open ball of radius r around x:

$$B(x;r) = \{ y \in X : d(x,y) < r \}$$

• Closed ball of radius r around x:

$$D(x; r) = \{ y \in X : d(x, y) < r \}$$

#### 1.2. Open and closed sets

•  $U \subseteq X$  is open if

$$\forall x \in U, \exists \varepsilon > 0 : B(x; \varepsilon) \subset U$$

- $A \subseteq X$  is **closed** if X A is open.
- Sets can be neither closed nor open, or both.
- Any singleton  $\{x\} \in \mathbb{R}$  is closed and not open.
- Let X be metric space,  $x \in N \subseteq X$ . N is **neighbourhood** of x if

$$\exists$$
 open  $V \subseteq X : x \in V \subseteq N$ 

- Corollary: let  $x \in X$ , then  $N \subseteq X$  neighbourhood of x iff  $\exists \varepsilon > 0 : x \in B(x; \varepsilon) \subseteq N$ .
- Proposition: open balls are open, closed balls are closed.
- Lemma: let (X, d) metric space.
  - X and  $\emptyset$  are both open and closed.
  - Arbitrary unions of open sets are open.
  - Finite intersections of open sets are open.

- Finite unions of closed sets are closed.
- Arbitrary intersections of closed sets are closed.

#### 1.3. Continuity

- Sequence in  $X: a: \mathbb{N} \to X$ , written  $(a_n)_{n \in \mathbb{N}}$ .
- $(a_n)$  converges to a if

$$\forall \varepsilon > 0, \exists n_0 \in \mathbb{N} : \forall n \ge n_0, d(a, a_n) < \varepsilon$$

- **Proposition**: let X, Y metric spaces,  $a \in X$ ,  $f: X \to Y$ . The following are equivalent
  - $\bullet \quad \forall \varepsilon > 0, \exists \delta > 0: d_X(a,x) < \delta \Longrightarrow d_Y(f(a),f(x)) < \varepsilon.$
  - For every sequence  $(a_n)$  in X with  $a_n \to a, f(a_n) \to f(a)$ .
  - For every open  $U \subseteq Y$  with  $f(a) \in U$ ,  $f^{-1}(U)$  is a neighbourhood of a.

If f satisfies these, it is **continuous** at a.

- f continuous if continuous at every  $a \in X$ .
- **Proposition**:  $f: X \to Y$  continuous iff  $f^{-1}(U)$  open for every open  $U \subseteq Y$ .

## 2. Topological spaces

### 2.1. Topologies

- Power set of  $X: \mathcal{P}(X) := \{A : A \subseteq X\}.$
- Topology on set X is  $\tau \subseteq \mathcal{P}(X)$  with:
  - $\emptyset \in \tau, X \in \tau$ .
  - If  $\forall i \in I, U_i \in \tau$ , then

$$\bigcup_{i\in I}U_i\in\tau$$

- $U_1, U_2 \in \tau \Longrightarrow U_1 \cap U_2 \in \tau$  (this is equivalent to  $U_1, ..., U_n \in \tau \Longrightarrow \cap_{i \in [n]} U_i \in \tau$ ).
- $(X, \tau)$  is topological space. Elements of  $\tau$  are open subsets of X.
- $A \subseteq X$  closed if X A is open.
- Let X be a set. Then  $\tau = \mathcal{P}(X)$  is the **discrete topology** on X.
- $\tau = {\emptyset, X}$  is the **indiscrete topology** on X.
- Examples:
  - For metric space (M, d), find the open sets with respect to metric d. Let  $\tau_d \subseteq \mathcal{P}(M)$  exactly contain these open sets. Then  $(M, \tau_d)$  is a topological space. The metric d induces the topology  $\tau_d$ .
  - Let  $X = \mathbb{N}_0$  and  $\tau = \{\emptyset\} \cup \{U \subseteq X : X U \text{ is finite}\}.$
- **Proposition**: for topological space X:
  - X and  $\emptyset$  are closed
  - Arbitrary intersections of closed sets are closed
  - Finite unions of closed sets are closed
- Proposition: for topological space  $(X, \tau)$  and  $A \subseteq X$ , the induced (subspace) topology on A

$$\tau_A = \{A \cap U : U \in \tau\}$$

is a topology on A.

- **Example**: let  $X = \mathbb{R}$  with standard topology induced by metric d(x, y) = |x y|. Let A = [1, 5]. Then  $[1, 3) = A \cap (0, 3)$  and  $[1, 5] = A \cap (0, 6)$  are open in A.
- Example: consider  $\mathbb{R}$  with standard topology  $\tau$ . Then
  - $\tau_{\mathbb{Z}}$  is the discrete topology on  $\mathbb{Z}$ .
  - $\tau_{\mathbb{Q}}$  is not the discrete topology on  $\mathbb{Q}$ .
- **Proposition**: the metrics  $d_p$  for  $p \in [1, \infty)$  and  $d_\infty$  all induce the same topology on  $\mathbb{R}^n$ .
- **Definition**:  $(X, \tau)$  is **Hausdorff** if

$$\forall x \neq y \in X, \exists U, V \in \tau : U \cap V = \emptyset \land x \in U, y \in V$$

- **Lemma**: any metric space (M, d) is Hausdorff.
- **Example**: let  $|X| \ge 2$  with the indiscrete topology. Then X is not Hausdorff, since  $\tau = \{X, \emptyset\}$  and if  $x \ne y \in X$ , the only open set containing x is X (same for y). But  $X \cap X = X \ne \emptyset$ .
- Furstenberg's topology on  $\mathbb{Z}$ : define  $U \subseteq \mathbb{Z}$  to be open if

$$\forall a \in U, \exists 0 \neq d \in \mathbb{Z} : a + d\mathbb{Z} =: \{a + dn : n \in \mathbb{Z}\} \subseteq U$$

• Furstenberg's topology is Hausdorff.

#### 2.2. Continuity

- **Definition**: let X, Y topological spaces.
  - $f: X \to Y$  is **continuous** if

$$\forall V$$
 open in  $Y, f^{-1}(V)$  open in  $X$ 

• f is continuous at  $a \in X$  if

$$\forall V \text{ open in } Y, f(a) \in V, \exists U \text{ open in } X : a \in U \subseteq f^{-1}(V)$$

- Lemma:  $f: X \to Y$  continuous iff f continuous at every  $a \in X$ . (Key idea for proof:  $\bigcup_{a \in f^{-1}(V)} U_a \subseteq f^{-1}(V) = \bigcup_{a \in f^{-1}(V)} \{a\} \subseteq \bigcup_{a \in f^{-1}(V)} U_a$ )
- Example: inclusion  $i:(A,\tau_A)\to (X,\tau_X),\ A\subseteq X$ , is always continuous.
- Lemma: a composition of continuous functions is continuous.
- Lemma: let  $f: X \to Y$  be function between topological spaces. Then f is continuous iff

$$\forall A \text{ closed in } Y, \quad f^{-1}(A) \text{ closed in } X$$

- Remark: we can use continuous functions decide that sets are open or closed.
- **Definition**: *n*-sphere is

$$S^n \coloneqq \left\{ (x_1,...,x_{n+1}) \in \mathbb{R}^{n+1} : \sum_{i=1}^n x_i^2 = 1 \right\}$$

- **Example**: in the standard topology, the *n*-sphere is a closed subset of  $\mathbb{R}^{n+1}$ . (Consider the preimage of  $\{1\}$  which is closed in  $\mathbb{R}$ ).
- Can consider set of square matrices  $M_{n,n}(\mathbb{R}) \cong \mathbb{R}^{n^2}$  and give it the standard topology.

- Example:
  - Note

$$\det(A) = \sum_{\sigma \in \operatorname{sym}(n)} \left( \operatorname{sgn}(\sigma) \prod_{i=1}^n a_{i,\sigma(i)} \right)$$

is a polynomial in the entries of A so is continuous function from  $M_n(\mathbb{R})$  to  $\mathbb{R}$ .

- $GL_n(\mathbb{R}) = \{ A \in M_n(\mathbb{R}) : \det(A) \neq 0 \} = \det^{-1}(\mathbb{R} \{0\}) \text{ is open.}$
- $SL_n(\mathbb{R}) = \{A \in M_n(\mathbb{R}) : \det(A) = 1\} = \det^{-1}(\{1\}) \text{ is closed.}$
- $O(n) = \{A \in M_n(\mathbb{R}) : AA^T = I\}$  is closed consider  $f_{i,j}(A) = \left(AA^T\right)_{i,j}$  then

$$O(n) = \bigcap_{1 \leq i,j \leq n} \left(f_{i,j}\right)^{-1} \left(\left\{\delta_{i,j}\right\}\right)$$

- $SO(n) = O(n) \cap SL_n(\mathbb{R})$  is closed.
- **Definition**: for X,Y topological spaces,  $h:X\to Y$  is **homeomorphism** if h is bijective, continuous and  $h^{-1}$  is continuous. X and Y are **homeomorphic**. A homeomorphism gives bijection between  $\tau_X$  and  $\tau_Y$  which satisfies

$$h(A \cap B) = h(A) \cap h(B), \quad h(A \cup B) = h(A) \cup h(B)$$

- **Example**: in standard topology, (0,1) is homeomorphic to  $\mathbb{R}$ . (Consider  $f: \left(-\frac{\pi}{2}, \frac{\pi}{2}\right) \to (-\infty, \infty), f = \tan, g: (0,1) \to \left(-\frac{\pi}{2}, \frac{\pi}{2}\right), g(x) = \pi\left(x \frac{1}{2}\right) \text{ and } f \circ g$ ).
- Example:  $\mathbb{R}$  with standard topology  $\tau_{\rm st}$  is not homoeomorphic to  $\mathbb{R}$  with the discrete topology  $\tau_d$ . (Consider  $h^{-1}(\{a\}) = \{h^{-1}(a)\}, \{a\} \in \tau_{\rm st}$  but  $\{h^{-1}(a)\} \notin \tau_{\rm st}$ ).
- Example: let  $X = \mathbb{R} \cup \{\overline{0}\}$ . Define  $f_0 : \mathbb{R} \to X$ ,  $f_0(a) = a$  and  $f_{\overline{0}} : \mathbb{R} \to X$ ,  $f_{\overline{0}}(a) = a$  for  $a \neq 0$ ,  $f_{\overline{0}}(0) = \overline{0}$ . Topology on X has  $A \subseteq X$  open iff  $f_0^{-1}(A)$  and  $f_{\overline{0}}^{-1}(A)$  open. Every point in X lies in open set: for  $a \notin \{0, \overline{0}\}$ ,  $a \in \left(a \frac{|a|}{2}, a + \frac{|a|}{2}\right)$  and both pre-images of this are same open interval, for 0, set  $U_0 = (-1, 0) \cup \{0\} \cup (0, 1) \subseteq X$  then  $f_0^{-1}(U_0) = (-1, 1)$  and  $f_{\overline{0}}^{-1}(U_0) = (-1, 0) \cup (0, 1)$  are both open. For  $\overline{0}$ , set  $U_{\overline{0}} = (-1, 0) \cup \{\overline{0}\} \cup (0, 1) \subseteq X$ , then  $f_{\overline{0}}^{-1}(U_{\overline{0}}) = (-1, 1)$  and  $f_0^{-1}(U_{\overline{0}}) = (-1, 0) \cup (0, 1)$  are both open. So  $U_0$  and  $U_{\overline{0}}$  both open in X. X is not Hausdorff since any open sets containing 0 and  $\overline{0}$  must contain "open intervals" such as  $U_0$  and  $U_{\overline{0}}$ .
- Example (Furstenberg's proof of infinitude of primes): since  $a + d\mathbb{Z}$  is infinite, any nonempty finite set is not open, so any set with finite complement is not closed. For fixed d, sets  $d\mathbb{Z}$ ,  $1 + d\mathbb{Z}$ , ...,  $(d-1) + d\mathbb{Z}$  partition  $\mathbb{Z}$ . So the complement of each is the union of the rest, so each is open and closed. Every  $n \in \mathbb{Z} \{-1,1\}$  is prime or product of primes, so  $\mathbb{Z} \{-1,1\} = \bigcup_{p \text{ prime}} p\mathbb{Z}$ , but finite unions of closed sets are closed, and since  $\mathbb{Z} \{-1,1\}$  has finite complement, the union must be infinite.

## 2.3. Limits, bases and products

# 2.4. Limit points, interiors and closures

• **Definition**: for topological space  $X, x \in X, A \subseteq X$ :

- Open neighbourhood of x is open set  $N, x \in N$ .
- $x \in X$  is **limit point** of A if every open neighbourhood N of x satisfies

$$(N - \{x\}) \cap A \neq \emptyset$$

• Corollary: x is not limit point of A iff exists neighbourhood N of x with

$$A \cap N = \begin{cases} \{x\} & \text{if } x \in A \\ \emptyset & \text{if } x \notin A \end{cases}$$

- Example: let  $X = \mathbb{R}$  with standard topology.
  - $0 \in X$ , then (-1/2, 1/2) is open neighbourhood of 0.
  - If  $U \subseteq X$  open, U is open neighbourhood for any  $x \in U$ .
  - Let  $A = \left\{ \frac{1}{n} : n \in \mathbb{Z} \{0\} \right\}$ , then only limit point in A is 0.
- **Definition**: let  $A \subseteq X$ .
  - **Interior** of A is largest open set contained in A:

$$A^{\circ} = \bigcup_{\substack{U \text{ open} \\ U \subseteq A}} U$$

• Closure of A is smallest closed set containing A:

$$\overline{A} = \bigcap_{\substack{F \text{ closed} \\ A \subseteq F}}$$

If  $A^{\circ} = X$ , A is dense in X.

- Lemma:
  - $\overline{X-A} = X A^{\circ}$
  - $\overline{A} = X (X A)^{\circ}$
- Example:
  - Let  $\mathbb{Q} \subset \mathbb{R}$  with standard topology. Then  $\mathbb{Q}^{\circ} = \emptyset$  and  $\overline{\mathbb{Q}} = \mathbb{R}$  (since every nonempty open set in  $\mathbb{R}$  contains rational and irrational numbers).
- Lemma:  $A = A \cup L$  where L is the set of limit points of A.
- Dirichlet prime number theorem: let a, d coprime, the set  $a + d\mathbb{Z}$  contains infinitely many primes.
- Example: let A be the set of primes in  $\mathbb{Z}$  with the Furstenberg topology. By the above lemma, we only need to find the limit points in  $\mathbb{Z} A$  to find  $\overline{A}$ .  $10\mathbb{Z}$  is an open neighbourhood of 0 for 0 inside  $\mathbb{Z} A$ . For  $a \notin \{-1,0,1\}$ ,  $a+10a\mathbb{Z}$  is an open neighbourhood of a. These sets have no primes so the corresponding points are not limit points of A. For  $\pm 1$ , any open neighbourhood of 1 contains a set  $\pm 1 + d\mathbb{Z}$  for some  $d \neq 0$ , but by the Dirichlet prime number theorem, this set contains at least one prime. So  $\overline{A} = A \cup \{\pm 1\}$ .
- Lemma:
  - Let  $A \subseteq M$  for metric space M. If x is limit point of A then exists sequence  $x_n$  in A such that  $\lim_{n\to\infty} x_n = x$ .
  - If  $x \in M A$  and exists sequence  $x_n$  in A with  $\lim_{n \to \infty} x_n = x$  then x is limit point of A.

### **2.5.** Bases

• **Definition**: a basis for topology  $\tau$  on X is collection  $\mathcal{B} \subseteq \tau$  such that

$$\forall U \in \tau, U = \bigcup_{b \in \mathcal{B}} b$$

(every open U is a union of sets in B).

#### • Example:

- For metric space (M,d),  $\mathcal{B}=\{B(x;r):x\in M,r>0\}$  is basis for the induced topology. (Since if U open,  $U=\cup_{u\in U}\{u\}\subseteq \cup_{u\in U}B(u,r_u)\subseteq U.$ )
- In  $\mathbb{R}^n$  with standard topology,  $\mathcal{B} = \{B(q; 1/m) : q \in \mathbb{Q}^n, m \in \mathbb{N}\}$  is a **countable** basis. (Find  $m \in \mathbb{N}$  such that  $\frac{1}{m} < \frac{r}{2}$  and  $q \in \mathbb{Q}^n$  such that  $q \in B(p; \frac{1}{m})$ , then  $B(q; \frac{1}{m}) \subseteq B(p; r) \subseteq U$  using the triangle inequality).