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# 1. Combinatorial methods

**Definition 1.1** Let  $G$  be an abelian group and  $A, B \subseteq G$ . The **sumset** of  $A$  and  $B$  is

$$A + B := \{a + b : a \in A, b \in B\}.$$

The **difference set** of  $A$  and  $B$  is

$$A - B := \{a - b : a \in A, b \in B\}.$$

**Proposition 1.2**  $\max\{|A|, |B|\} \leq |A + B| \leq |A| \cdot |B|$ .

*Proof.* Trivial. □

**Example 1.3** Let  $A = [n] = \{1, \dots, n\}$ . Then  $A + A = \{2, \dots, 2n\}$  so  $|A + A| = 2|A| - 1$ .

**Lemma 1.4** Let  $A \subseteq \mathbb{Z}$  be finite. Then  $|A + A| \geq 2|A| - 1$  with equality iff  $A$  is an arithmetic progression.

*Proof (Hints).* Consider two sequences in  $A + A$  which are strictly increasing and of the same length. □

*Proof.* Let  $A = \{a_1, \dots, a_n\}$  with  $a_i < a_{i+1}$ . Then  $a_1 + a_1 < a_1 + a_2 < \dots < a_1 + a_n < a_2 + a_n < \dots < a_n + a_n$ . Note this is not the only choice of increasing sequence that works, in particular, so does  $a_1 + a_1 < a_1 + a_2 < a_2 + a_2 < a_2 + a_3 < a_2 + a_4 < \dots < a_2 + a_n < a_3 + a_n < \dots < a_n + a_n$ . So when equality holds, all these sequences must be the same. In particular,  $a_2 + a_i = a_1 + a_{i+1}$  for all  $i$ . □

**Lemma 1.5** If  $A, B \subseteq \mathbb{Z}$ , then  $|A + B| \geq |A| + |B| - 1$  with equality iff  $A$  and  $B$  are arithmetic progressions with the same step.

*Proof (Hints).* Similar to above, consider 4 sequences in  $A + B$  which are strictly increasing and of the same length. □

**Example 1.6** Let  $A, B \subseteq \mathbb{Z}/p$  for  $p$  prime. If  $|A| + |B| \geq p + 1$ , then  $A + B = \mathbb{Z}/p$ .

*Proof (Hints).* Consider  $A \cap (g - B)$  for  $g \in \mathbb{Z}/p$ . □

*Proof.* Note that  $g \in A + B$  iff  $A \cap (g - B) \neq \emptyset$  where  $(g - B = \{g\} - B)$ . Let  $g \in \mathbb{Z}/p$ , then use inclusion-exclusion on  $|A \cap (g - B)|$  to conclude result. □

**Theorem 1.7** (Cauchy-Davenport) Let  $p$  be prime,  $A, B \subseteq \mathbb{Z}/p$  be non-empty. Then

$$|A + B| \geq \min\{p, |A| + |B| - 1\}.$$

*Proof (Hints).*

- Assume  $|A| + |B| < p + 1$ , and WLOG that  $1 \leq |A| \leq |B|$  and  $0 \in A$  (by translation).
- Induct on  $|A|$ .
- Let  $a \in A$ , find  $B'$  such that  $0 \in B'$ ,  $a \notin B'$  and  $|B'| = |B|$  (use fact that  $p$  is prime).

- Apply induction with  $A \cap B'$  and  $A \cup B'$ , while reasoning that  $(A \cap B') + (A \cup B') \subseteq A + B'$ .

□

*Proof.* Assume  $|A| + |B| < p + 1$ , and WLOG that  $1 \leq |A| \leq |B|$  and  $0 \in A$  (by translation). We use induction on  $|A|$ .  $|A| = 1$  is trivial. Let  $|A| \geq 2$  and let  $0 \neq a \in A$ . Then since  $p$  is prime,  $\{a, 2a, \dots, pa\} = \mathbb{Z}/p$ . There exists  $m \geq 0$  such that  $ma \in B$  but  $(m+1)a \notin B$  (why?). Let  $B' = B - ma$ , so  $0 \in B'$ ,  $a \notin B'$  and  $|B'| = |B|$ .

Now  $1 \leq |A \cap B'| < |A|$  (why?) so the inductive hypothesis applies to  $A \cap B'$  and  $A \cup B'$ . Since  $(A \cap B') + (A \cup B') \subseteq A + B'$  (why?), we have  $|A + B| = |A + B'| \geq |(A \cap B') + (A \cup B')| \geq |A \cap B'| + |A \cup B'| - 1 = |A| + |B| - 1$ . □

**Example 1.8** Cauchy-Davenport does not hold general abelian groups (e.g.  $\mathbb{Z}/n$  for  $n$  composite): for example, let  $A = B = \{0, 2, 4\} \subseteq \mathbb{Z}/6$ , then  $A + B = \{0, 2, 4\}$  so  $|A + B| = 3 < \min\{6, |A| + |B| - 1\}$ .

**Example 1.9** Fix a small prime  $p$  and let  $V \subseteq \mathbb{F}_p^n$  be a subspace. Then  $V + V = V$ , so  $|V + V| = |V|$ . In fact, if  $A \subseteq \mathbb{F}_p^n$  satisfies  $|A + A| = |A|$ , then  $A$  is an affine subspace (a coset of a subspace).

*Proof.* If  $0 \in A$ , then  $A \subseteq A + A$ , so  $A = A + A$ . General result follows by considering translation of  $A$ . □

**Example 1.10** Let  $A \subseteq \mathbb{F}_p^n$  satisfy  $|A + A| \leq \frac{3}{2} |A|$ . Then there exists a subspace  $V \subseteq \mathbb{F}_p^n$  such that  $|V| \leq \frac{3}{2} |A|$  and  $A$  is contained in a coset of  $V$ .

*Proof.* Exercise (sheet 1). □

**Definition 1.11** Let  $A, B \subseteq G$  be finite subsets of an abelian group  $G$ . The **Ruzsa distance** between  $A$  and  $B$  is

$$d(A, B) := \log \frac{|A - B|}{\sqrt{|A| \cdot |B|}}.$$

**Lemma 1.12** (Ruzsa Triangle Inequality) Let  $A, B, C \subseteq G$  be finite. Then

$$d(A, C) \leq d(A, B) + d(B, C).$$

*Proof (Hints).* Consider a certain map from  $B \times (A - C)$  to  $(A - B) \times (B - C)$ . □

*Proof.* Note that  $|B| |A - C| \leq |A - B| |B - C|$ . Indeed, writing each  $d \in A - C$  as  $d = a_d - c_d$  with  $a_d \in A$ ,  $c_d \in C$ , the map  $\varphi : B \times (A - C) \rightarrow (A - B) \times (B - C)$ ,  $\varphi(b, d) = (a_d - b, b - c_d)$  is injective (why?). The triangle inequality now follows from definition of Ruzsa distance. □

**Definition 1.13** The **doubling constant** of finite  $A \subseteq G$  is  $\sigma(A) := |A + A|/|A|$ .

**Definition 1.14** The **difference constant** of finite  $A \subseteq G$  is  $\delta(A) := |A - A|/|A|$ .

**Remark 1.15** The Ruzsa triangle inequality shows that

$$\log \delta(A) = d(A, A) \leq d(A, -A) + d(-A, A) = 2 \log \sigma(A).$$

So  $\delta(A) \leq \sigma(A)^2$ , i.e.  $|A - A| \leq |A + A|^2/|A|$ .

**Notation 1.16** Let  $A \subseteq G$ ,  $\ell, m \in \mathbb{N}_0$ . Then

$$\ell A + mA := \underbrace{A + \dots + A}_{\ell \text{ times}} - \underbrace{A - \dots - A}_{m \text{ times}}$$

This is referred to as the **iterated sum and difference set**.

**Theorem 1.17** (Plunnecke's Inequality) Let  $A, B \subseteq G$  be finite and  $|A + B| \leq K|A|$  for some  $K \geq 1$ . Then  $\forall \ell, m \in \mathbb{N}_0$ ,

$$|\ell B - mB| \leq K^{\ell+m}|A|.$$

*Proof (Hints).*

- Let  $A' \subseteq A$  minimise  $|A' + B|/|A'|$  with value  $K'$ .
- Show that for every finite  $C \subseteq G$ ,  $|A' + B + C| \leq K'|A' + C|$  by induction on  $|C|$  (note two sets need to be written as disjoint unions here).
- Show that  $\forall m \in \mathbb{N}_0$ ,  $|A' + mB| \leq (K')^m|A'|$  by induction.
- Use Ruzsa triangle inequality to conclude result.

□

*Proof.* Choose  $\emptyset \neq A' \subseteq A$  which minimises  $|A' + B|/|A'|$ . Let the minimum value be  $K'$ . Then  $|A' + B| = K'|A'|$ ,  $K' \leq K$  and  $\forall A'' \subseteq A$ ,  $|A'' + B| \geq K'|A''|$ .

We claim that for every finite  $C \subseteq G$ ,  $|A' + B + C| \leq K'|A' + C|$ :

Use induction on  $|C|$ .  $|C| = 1$  is true by definition of  $K'$ . Let claim be true for  $C$ , consider  $C' = C \cup \{x\}$  for  $x \notin C$ .  $A' + B + C' = (A' + B + C) \cup ((A' + B + x) - (D + B + x))$ , where  $D = \{a \in A' : a + B + x \subseteq A' + B + C\}$ . By definition of  $K'$ ,  $|D + B| \geq K'|D|$ . Hence,

$$\begin{aligned} |A' + B + C| &\leq |A' + B + C| + |A' + B + x| - |D + B + x| \\ &\leq K'|A' + C| + K'|A'| - K'|D| \\ &= K'(|A' + C| + |A'| - |D|). \end{aligned}$$

Applying this argument a second time, write  $A' + C' = (A' + C) \cup ((A' + x) - (E + x))$ , where  $E = \{a \in A' : a + x \in A' + C\} \subseteq D$ . Finally,

$$\begin{aligned} |A' + C'| &= |A' + C| + |A' + x| - |E + x| \\ &\geq |A' + C| + |A'| - |D|. \end{aligned}$$

This proves the claim.

We now show that  $\forall m \in \mathbb{N}_0$ ,  $|A' + mB| \leq (K')^m|A'|$  by induction:  $m = 0$  is trivial,  $m = 1$  is true by assumption. Suppose it is true for  $m - 1 \geq 1$ . By the claim with  $C = (m - 1)B$ , we have

$$|A' + mB| = |A' + B + (m - 1)B| \leq K'|A' + (m - 1)B| \leq (K')^m|A'|.$$

As in the proof of Ruzsa's triangle inequality,  $\forall \ell, m \in \mathbb{N}_0$ ,

$$\begin{aligned} |A'| |\ell B - mB| &\leq |A' + \ell B| |A' + mB| \\ &\leq (K')^\ell |A'| (K')^m |A'| \\ &= (K')^{\ell+m} |A'|^2. \end{aligned}$$

□

**Theorem 1.18** (Freiman-Ruzsa) Let  $A \subseteq \mathbb{F}_p^n$  and  $|A + A| \leq K|A|$ . Then  $A$  is contained in a subspace  $H \subseteq \mathbb{F}_p^n$  with  $|H| \leq K^2 p^{K^4} |A|$ .

*Proof (Hints).*

- Let  $X \subseteq 2A - A$  be of maximal size such that all  $x + A$ ,  $x \in X$ , are disjoint.
- Use [Plunnecke's Inequality](#) to obtain an upper bound on  $|X||A|$ .
- Show that  $\forall \ell \geq 2$ ,  $\ell A - A \subseteq (\ell - 1)X + A - A$  by induction.
- Let  $H$  be subgroup generated by  $A$ . By writing  $H$  as an infinite union, show that  $H \subseteq Y + A - A$ , where  $Y$  is subgroup generated by  $X$ .
- Find an upper bound for  $|Y|$ , conclude using [Plunnecke's Inequality](#).

□

*Proof.* Choose maximal  $X \subseteq 2A - A$  such that the translates  $x + A$  with  $x \in X$  are disjoint. Such an  $X$  cannot be too large:  $\forall x \in X$ ,  $x + A \subseteq 3A - A$ , so by [Plunnecke's Inequality](#), since  $|3A - A| \leq K^4 |A|$ ,

$$|X||A| = \left| \bigcup_{x \in X} (x + A) \right| \leq |3A - A| \leq K^4 |A|.$$

Hence  $|X| \leq K^4$ . We next show that  $2A - A \subseteq X + A - A$ . Indeed, if,  $y \in 2A - A$  and  $y \notin X$ , then by maximality of  $X$ , then  $(y + A) \cap (x + A) \neq \emptyset$  for some  $x \in X$ . If  $y \in X$ , then  $y \in X + A - A$ . It follows from above, by induction, that  $\forall \ell \geq 2$ ,  $\ell A - A \subseteq (\ell - 1)X + A - A$ :

$$\begin{aligned} \ell A - A &= A + (\ell - 1)A - A \\ &\subseteq (\ell - 2)X + 2A - A \\ &\subseteq (\ell - 2)X + X + A - A \\ &= (\ell - 1)X + A - A. \end{aligned}$$

Now, let  $H \subseteq \mathbb{F}_p^n$  be the subgroup generated by  $A$ :

$$H = \bigcup_{\ell \geq 1} (\ell A - A) \subseteq Y + A - A$$

where  $Y \subseteq \mathbb{F}_p^n$  is the subgroup generated by  $X$ . Every element of  $Y$  can be written as a sum of  $|X|$  elements of  $X$  with coefficients in  $\{0, \dots, p - 1\}$ . Hence,  $|Y| \leq p^{|X|} \leq p^{K^4}$ . Finally,  $|H| \leq |Y||A - A| \leq p^{K^4} K^2 |A|$  by [Plunnecke's Inequality](#)/[Ruzsa Triangle Inequality](#). □

**Example 1.19** Let  $A = V \cup R$ , where  $V \subseteq \mathbb{F}_p^n$  is a subspace with  $\dim(V) = d = n/K$  satisfying  $K \ll d \ll n - K$ , and  $R$  consists of  $K - 1$  linearly independent vectors not in  $V$ . Then  $|A| = |V \cup R| = |V| + |R| = p^{n/K} + K - 1 \approx p^{n/K} = |V|$ .

Now  $|A + A| = |(V \cup R) + (V \cup R)| = |V \cup (V + R) \cup 2R| \approx K|V| \approx K|A|$  (since  $V \cup (V + R)$  gives  $K$  cosets of  $V$ ). But any subspace  $H \subseteq \mathbb{F}_p^n$  containing  $A$  must have size at least  $p^{n/K+(K-1)} \approx |V|p^K$ . Hence, the exponential dependence on  $K$  in Freiman-Ruzsa is necessary.

**Theorem 1.20** (Polynomial Freiman-Ruzsa Theorem) Let  $A \subseteq \mathbb{F}_p^n$  be such that  $|A + A| \leq K|A|$ . Then there exists a subspace  $H \subseteq \mathbb{F}_p^n$  of size at most  $C_1(K)|A|$  such that for some  $x \in \mathbb{F}_p^n$ ,

$$|A \cap (x + H)| \geq \frac{|A|}{C_2(K)},$$

where  $C_1(K)$  and  $C_2(K)$  are polynomial in  $K$ .

*Proof.* Very difficult (took Green, Gowers and Tao to prove it). □

**Definition 1.21** Given  $A, B \subseteq G$  for an abelian group  $G$ , the **additive energy** between  $A$  and  $B$  is

$$E(A, B) := |\{(a, a', b, b') \in A \times A \times B \times B : a + b = a' + b'\}|.$$

**Additive quadruples**  $(a, a', b, b')$  are those such that  $a + b = a' + b'$ . Write  $E(A)$  for  $E(A, A)$ .

**Example 1.22** Let  $V \subseteq \mathbb{F}_p^n$  be a subspace. Then  $E(V) = |V|^3$ . On the other hand, if  $A \subseteq \mathbb{Z}/p$  is chosen at random from  $\mathbb{Z}/p$  (where each  $a \in \mathbb{Z}/p$  is included with probability  $\alpha > 0$ ), with high probability,  $E(A) = \alpha^4 p^3 = \alpha|A|^3$ .

**Definition 1.23** For  $A, B \subseteq G$ , the **representation function** is  $r_{A+B}(x) := |\{(a, b) \in A \times B : a + b = x\}| = |A \cap (x - B)|$ .

**Lemma 1.24** Let  $\emptyset \neq A, B \subseteq G$  for an abelian group  $G$ . Then

$$E(A, B) \geq \frac{|A|^2 |B|^2}{|A \pm B|}.$$

*Proof (Hints).*

- Show that using Cauchy-Schwarz that

$$E(A, B) = \sum_{x \in G} r_{A+B}(x)^2 \geq \frac{\left(\sum_{x \in G} r_{A+B}(x)\right)^2}{|A + B|}.$$

- By using indicator functions, show that  $\sum_{x \in G} r_{A+B}(x) = |A||B|$ .

□

*Proof.* Observe that

$$\begin{aligned}
E(A, B) &= |\{(a, a', b, b') \in A^2 \times B^2 : a + b = a' + b'\}| \\
&= \left| \bigcup_{x \in G} \{(a, a', b, b') \in A^2 \times B^2 : a + b = x \text{ and } a' + b' = x\} \right| \\
&= \bigcup_{x \in G} |\{(a, a', b, b') \in A^2 \times B^2 : a + b = x \text{ and } a' + b' = x\}| \\
&= \sum_{x \in G} r_{A+B}(x)^2 \\
&= \sum_{x \in A+B} r_{A+B}(x)^2 \\
&\geq \frac{\left( \sum_{x \in A+B} r_{A+B}(x) \right)^2}{|A+B|} \quad \text{by } \text{Cauchy-Schwarz}
\end{aligned}$$

But now

$$\begin{aligned}
\sum_{x \in G} r_{A+B}(x) &= \sum_{x \in G} |A \cap (x - B)| = \sum_{x \in G} \sum_{y \in G} \mathbb{1}_A(y) \mathbb{1}_{x-B}(y) \\
&= \sum_{x \in G} \sum_{y \in G} \mathbb{1}_A(y) \mathbb{1}_B(x - y) = |A||B|.
\end{aligned}$$

Note that the same argument works for  $|A - B|$ . □

**Corollary 1.25** If  $|A + A| \leq K|A|$ , then  $E(A) \geq \frac{|A|^4}{|A+A|} \geq \frac{|A|^3}{K}$ . So if  $A$  has small doubling constant, then it has large additive energy.

*Proof (Hints).* Trivial. □

*Proof.* Trivial. □

**Example 1.26** The converse of the above lemma does not hold: e.g. let  $G$  be a (class of) abelian group(s). Then there exist constants  $\theta, \eta > 0$  such that for all  $n$  large enough, there exists  $A \subseteq G$  with  $|A| \geq n$  satisfying  $E(A) \geq \eta|A|^3$ , and  $|A + A| \geq \theta|A|^2$ .

**Definition 1.27** Given  $A \subseteq G$  and  $\gamma > 0$ , let  $P_\gamma := \{x \in G : |A \cap (x + A)| \geq \gamma|A|\}$  be the set of  $\gamma$ -popular differences of  $A$ .

**Lemma 1.28** Let  $A \subseteq G$  be finite such that  $E(A) = \eta|A|^3$  for some  $\eta > 0$ . Then  $\forall c > 0$ , there is a subset  $X \subseteq A$  with  $|X| \geq \frac{\eta}{3}|A|$  such that for all (16c)-proportion of pairs  $(a, b) \in X^2$ ,  $a - b \in P_{c\eta}$ .

*Proof.* We use a technique called “dependent random choice”. Let  $U = \{x \in G : |A \cap (x + A)| \leq \frac{1}{2}\eta|A|\}$ . Then

$$\begin{aligned}
\sum_{x \in U} |A \cap (x + A)|^2 &\leq \frac{1}{2}\eta|A| \sum_{x \in G} |A \cap (x + A)| \\
&= \frac{1}{2}\eta|A|^3 = \frac{1}{2}E(A).
\end{aligned}$$

For  $0 \leq i \leq \lceil \log_2 \eta^{-1} \rceil$ , let  $Q_i = \{x \in G : |A|/2^{i+1} < |A \cap (x + A)| \leq |A|/2^i\}$  and set  $\delta_i = \eta^{-1}2^{-2i}$ . Then

$$\begin{aligned}
\sum_{i=0}^{\lceil \log_2 \eta^{-1} \rceil} \delta_i |Q_i| &= \sum_i \frac{|Q_i|}{\eta 2^{2i}} \\
&= \frac{1}{\eta |A|^2} \sum_i \frac{|A|^2}{2^{2i}} |Q_i| \\
&= \frac{1}{\eta |A|^2} \sum_i \frac{|A|^2}{2^{2i}} \sum_{x \notin U} \mathbb{1}_{\{|A|/2^{i+1} < |A \cap (x+A)| \leq |A|/2^i\}} \\
&\geq \frac{1}{\eta |A|^2} \sum_{x \notin U} |A \cap (x + A)|^2 \\
&\geq \frac{1}{\eta |A|^2} \cdot \frac{1}{2} E(A) = \frac{1}{2} |A|.
\end{aligned}$$

Let  $S = \{(a, b) \in A^2 : a - b \notin P_{c\eta}\}$ . Now

$$\begin{aligned}
\sum_i \sum_{(a,b) \in S} |(A-a) \cap (A-b) \cap Q_i| &\leq \sum_{(a,b) \in S} |(A-a) \cap (A-b)| \\
&= \sum_{(a,b) \in S} |A \cap (a-b+A)| \\
&\leq \sum_{(a,b) \in S} c\eta |A| \quad \text{by definition of } S \\
&= |S| c\eta |A| \\
&\leq c\eta |A|^3 = 2c\eta |A|^2 \cdot \frac{1}{2} |A| \\
&\leq 2c\eta |A|^2 \sum_i \delta_i |Q_i| \quad \text{by above inequality.}
\end{aligned}$$

Hence  $\exists i_0$  such that

$$\sum_{(a,b) \in S} |(A-a) \cap (A-b) \cap Q_{i_0}| \leq 2c\eta |A|^2 \delta_{i_0} |Q_{i_0}|.$$

Let  $Q = Q_{i_0}$ ,  $\delta = \delta_{i_0}$ ,  $\lambda = 2^{-i_0}$ , so that

$$\sum_{(a,b) \in S} |(A-a) \cap (A-b) \cap Q| \leq 2c\eta |A|^2 \delta |Q|.$$

Given  $x \in G$ , let  $X(x) = A \cap (x + A)$ . Then

$$\mathbb{E}_{x \in Q} |X(x)| = \frac{1}{|Q|} \sum_{x \in Q} |A \cap (x + A)| \geq \frac{1}{2} \lambda |A|.$$

Define  $T(x) = \{(a, b) \in X(x)^2 : a - b \in P^{c\eta}\}$ . Then



$$\begin{aligned}
\mathbb{E}_{x \in Q} |T(x)| &= \mathbb{E}_{x \in Q} |\{(a, b) \in (A \cap (x + A))^2 : a - b \notin P_{c\eta}\}| \\
&= \frac{1}{|Q|} \sum_{x \in Q} |\{(a, b) \in S : x \in (A - a) \cap (A - b)\}| \\
&= \frac{1}{|Q|} \sum_{(a, b) \in S} |(A - a) \cap (A - b) \cap Q| \\
&\leq \frac{1}{|Q|} 2c\eta |A|^2 \delta |Q| = 2c\eta \delta |A|^2 = 2c\lambda^2 |A|^2.
\end{aligned}$$

Therefore,

$$\begin{aligned}
\mathbb{E}_{x \in Q} (|X(x)|^2 - (16c)^{-1} |T(x)|) &\geq (\mathbb{E}_{x \in Q} |X(x)|)^2 - (16c)^{-1} \mathbb{E}_{x \in Q} |T(x)| \text{ by [Cauchy-Schwarz](#)} \\
&\geq \left(\frac{\lambda}{2}\right)^2 |A|^2 - (16c)^{-1} 2c\lambda^2 |A|^2 \\
&= \left(\frac{\lambda^2}{4} - \frac{\lambda^2}{8}\right) |A|^2 = \frac{\lambda^2}{8} |A|^2.
\end{aligned}$$

So  $\exists x \in Q$  such that  $|X(x)|^2 \geq \frac{\lambda^2}{8} |A|^2$ , so  $|X| \geq \frac{\lambda}{\sqrt{8}} |A| \geq \frac{\eta}{3} |A|$  and  $|T(x)| \leq 16c |X|^2$ .  $\square$

**Theorem 1.29** (Balog-Szemerédi-Gowers, Schoen) Let  $A \subseteq G$  be finite such that  $E(A) \geq \eta |A|^3$  for some  $\eta > 0$ . Then there exists  $A' \subseteq A$  with  $|A'| \geq c_1(\eta) |A|$  such that  $|A' + A'| \leq |A|/c_2(\eta)$ , where  $c_1(\eta)$  and  $c_2(\eta)$  are both polynomial in  $\eta$ .

*Proof.* The idea is to find  $A' \subseteq A$  such that  $\forall a, b \in A'$ ,  $a - b$  has many representations as  $(a_1 - a_2) + (a_3 - a_4)$  with each  $a_i \in A$ . Apply the above lemma with  $c = 2^{-7}$  to obtain  $X \subseteq A$  with  $|X| \geq \frac{\eta}{3} |A|$  such that for all but  $\frac{1}{8}$  of pairs  $(a, b) \in X^2$ ,  $a - b \in P_{\eta/2^7}$ . In particular, the bipartite graph  $G = (X \sqcup X, \{(x, y) \in X \times X : x - y \in P_{\eta/2^7}\})$  has at least  $\frac{7}{8} |X|^2$  edges.

Let  $A' = \{x \in X : \deg_G(x) \geq \frac{3}{4} |X|\}$ . Clearly  $|A'| \geq |X|/8$ . For any  $a, b \in A'$ , there are at least  $|X|/2$  elements  $y \in X$  such that  $(a, y), (b, y) \in E(G)$  (so  $a - y, b - y \in P_{\eta/2^7}$ ). Hence  $a - b = (a - y) - (b - y)$  has at least

$$\underbrace{\frac{\eta}{6} |A|}_{\text{choices for } y} \cdot \frac{\eta}{2^7} |A| \frac{\eta}{2^7} |A| \geq \frac{\eta^3}{2^{17}} |A|^3$$

representations of the form  $a_1 - a_2 - (a_3 - a_4)$  with each  $a_i \in A$ . It follows that  $\frac{\eta^3}{2^{17}} |A|^3 |A' - A'| \leq |A|^4$ , hence  $|A' - A'| \leq 2^{17} \eta^{-3} |A| \leq 2^{22} \eta^{-4} |A'|$ , and so  $|A' + A'| \leq 2^{44} \eta^{-8} |A'|$ .  $\square$

## 2. Fourier-analytic techniques

In this chapter, assume that  $G$  is a *finite* abelian group.

**Definition 2.1** The group  $\hat{G}$  of **characters** of  $G$  is the group of homomorphisms  $\gamma : G \rightarrow \mathbb{C}^\times$ . In fact,  $\hat{\hat{G}}$  is isomorphic to  $G$ .

**Notation 2.2** Norm and inner product notation:

- Write

$$\|f\|_q = \|f\|_{L^q(G)} = (\mathbb{E}_{x \in G} |f(x)|^q)^{1/q},$$

$$\|\hat{f}\|_q = \|\hat{f}\|_{\ell^q(\hat{G})} = \left( \sum_{\gamma \in \hat{G}} |\hat{f}(\gamma)|^q \right)^{1/q},$$

$$\langle f, g \rangle_{L^2(G)} = \mathbb{E}_{x \in G} f(x) \overline{g(x)},$$

$$\langle f, g \rangle_{\ell^2(\hat{G})} = \sum_{\gamma \in \hat{G}} \hat{f}(\gamma) \overline{\hat{g}(\gamma)}$$

- If Fourier support of function is restricted to  $\Lambda \subseteq \hat{G}$ , write  $\|\hat{f}\|_{\ell^q(\Lambda)} = \left( \sum_{\gamma \in \Lambda} |\hat{f}(\gamma)|^q \right)^{1/q}$ .

**Notation 2.3** Asymptotic notation:

- Write  $f(n) = O(g(n))$  if

$$\exists C > 0 : \forall n \in \mathbb{N}, \quad |f(n)| \leq C|g(n)|.$$

- Write  $f(n) = o(g(n))$  if

$$\forall \varepsilon > 0, \exists N \in \mathbb{N} : \forall n \geq N, |f(n)| \leq \varepsilon |g(n)|,$$

$$\text{i.e. } \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0.$$

- Write  $f(n) = \Omega(g(n))$  if  $g(n) = O(f(n))$ .
- If the implied constant depends on a fixed parameter, this may be indicated by a subscript, e.g.  $\exp(pn^2) = O_p(\exp(n^2))$ .

**Theorem 2.4** (Hölder's Inequality) Let  $p, q \in [1, \infty]$  with  $\frac{1}{p} + \frac{1}{q} = 1$ , and  $f \in L^p(G)$ ,  $g \in L^q(G)$ . Then

$$\|fg\|_1 \leq \|f\|_p \|g\|_q.$$

**Theorem 2.5** (Cauchy-Schwarz Inequality) For  $f, g \in L^2(G)$ , we have

$$\langle f, g \rangle_{L^2(G)} \leq \|f\|_2 \|g\|_2.$$

Note this is a special case of Hölder's inequality with  $p = q = 2$ .

**Theorem 2.6** (Young's Convolution Inequality) Let  $p, q, r \in [1, \infty]$ ,  $\frac{1}{p} + \frac{1}{q} = 1 + \frac{1}{r}$ ,  $f \in L^p(G)$ ,  $g \in L^q(G)$ . Then

$$\|f * g\|_r \leq \|f\|_p \|g\|_q.$$

**Notation 2.7**  $e(y)$  denotes the function  $e^{2\pi i y}$ .

**Example 2.8**

- Let  $G = \mathbb{F}_p^n$ , then for any  $\gamma \in \hat{G}$ , we have a corresponding character  $\gamma(x) = e((\gamma \cdot x)/p)$ .
- If  $G = \mathbb{Z}/N$ , then any  $\gamma \in \hat{G}$  has a corresponding character  $\gamma(x) = e(\gamma x/N)$ .

**Notation 2.9** Given a non-empty  $B \subseteq G$  and  $g : B \rightarrow \mathbb{C}$ , write  $\mathbb{E}_{x \in B} g(x)$  for  $\frac{1}{|B|} \sum_{x \in B} g(x)$ . If  $B = G$ , we may simply write  $\mathbb{E}$  instead of  $\mathbb{E}_{x \in B}$ .

**Lemma 2.10** For all  $\gamma \in \hat{G}$ ,

$$\mathbb{E}_{x \in G} \gamma(x) = \begin{cases} 1 & \text{if } \gamma = 1 \\ 0 & \text{otherwise} \end{cases}.$$

and for all  $x \in G$ ,

$$\sum_{\gamma \in \hat{G}} \gamma(x) = \begin{cases} |G| & \text{if } x = 0 \\ 0 & \text{otherwise} \end{cases}.$$

*Proof (Hints).*

- For  $1 \neq \gamma \in \hat{G}$ , consider  $y \in G$  with  $\gamma(y) \neq 1$ .
- For  $0 \neq x \in G$ , by considering  $G/\langle x \rangle$ , show by contradiction that there is  $\gamma \in \hat{G}$  with  $\gamma(x) \neq 1$ .

□

*Proof.* The first case for both equations is trivial. Let  $1 \neq \gamma \in \hat{G}$ . Then  $\exists y \in G$  with  $\gamma(y) \neq 1$ . So

$$\begin{aligned} \gamma(y) \mathbb{E}_{z \in G} \gamma(z) &= \mathbb{E}_{z \in G} \gamma(y + z) \\ &= \mathbb{E}_{z' \in G} \gamma(z'). \end{aligned}$$

Hence  $\mathbb{E}_{z \in G} \gamma(z) = 0$ .

For second equation, given  $0 \neq x \in G$ , there exists  $\gamma \in \hat{G}$  such that  $\gamma(x) \neq 1$ , since otherwise  $\hat{G}$  would act trivially on  $\langle x \rangle$ , hence would also be the dual group for  $G/\langle x \rangle$ , a contradiction. □

**Definition 2.11** Given  $f : G \rightarrow \mathbb{C}$ , define the **Fourier transform** of  $f$  to be

$$\begin{aligned} \hat{f} : \hat{G} &\rightarrow \mathbb{C}, \\ \gamma &\mapsto \mathbb{E}_{x \in G} f(x) \overline{\gamma(x)}. \end{aligned}$$

**Proposition 2.12** (Fourier Inversion Formula) Let  $f : G \rightarrow \mathbb{C}$ . Then for all  $x \in G$ ,

$$f(x) = \sum_{\gamma \in \hat{G}} \hat{f}(\gamma) \gamma(x).$$

*Proof (Hints).* Straightforward. □

*Proof.* We have

$$\begin{aligned}
\sum_{\gamma \in \widehat{G}} \widehat{f}(\gamma) \gamma(x) &= \sum_{\gamma \in \widehat{G}} \mathbb{E}_{y \in G} f(y) \overline{\gamma(y)} \gamma(x) \\
&= \mathbb{E}_{y \in G} f(y) \sum_{\gamma \in \widehat{G}} \gamma(x - y) \\
&= f(x)
\end{aligned}$$

by [Lemma 2.10](#). □

**Definition 2.13** For  $A \subseteq G$ , the **indicator** (or **characteristic**) function of  $A$  is

$$\begin{aligned}
\mathbb{1}_A : G &\rightarrow \{0, 1\}, \\
x &\mapsto \begin{cases} 1 & \text{if } x \in A \\ 0 & \text{if } x \notin A \end{cases}
\end{aligned}$$

**Definition 2.14**  $\widehat{\mathbb{1}}_A(1) = \mathbb{E}_{x \in G} \mathbb{1}_A(x) \cdot 1 = |A|/|G|$  is the **density** of  $A$  in  $G$ . This is often denoted by  $\alpha$ .

**Definition 2.15** Given  $\emptyset \neq A \subseteq G$ , the **characteristic measure**  $\mu_A : G \rightarrow [0, |G|]$  is defined by

$$\mu_A(x) := \alpha^{-1} \mathbb{1}_A(x).$$

Note that  $\mathbb{E}_{x \in G} \mu_A(x) = 1 = \widehat{\mu}_A(1)$ .

**Definition 2.16** The **balanced function**  $f_A : G \rightarrow [-1, 1]$  of  $A$  is given by

$$f_A(x) = \mathbb{1}_A(x) - \alpha.$$

Note that  $\mathbb{E}_{x \in G} f_A(x) = 0 = \widehat{f}_A(1)$ .

**Example 2.17** Let  $V \leq \mathbb{F}_p^n$  be a subspace. Then for  $t \in \widehat{\mathbb{F}}_p^n$ ,

$$\begin{aligned}
\widehat{\mathbb{1}}_V(t) &= \mathbb{E}_{x \in \mathbb{F}_p^n} \mathbb{1}_V(x) e(-x \cdot t/p) \\
&= \frac{|V|}{p^n} \mathbb{1}_{V^\perp}(t).
\end{aligned}$$

where  $V^\perp = \{t \in \widehat{\mathbb{F}}_p^n : x \cdot t = 0 \ \forall x \in V\}$  is the **annihilator** of  $V$ . Hence,  $\widehat{\mathbb{1}}_V = \mu_{V^\perp}$ .

**Example 2.18** Let  $R \subseteq G$  be such that each  $x \in G$  lies in  $R$  independently with probability  $\frac{1}{2}$ . Then with high probability,

$$\sup_{\gamma \neq 1} |\widehat{\mathbb{1}}_R(\gamma)| = O\left(\sqrt{\frac{\log |G|}{|G|}}\right).$$

This follows from Chernoff's inequality.

**Theorem 2.19** (Chernoff's Inequality) Given complex-valued independent random variables  $X_1, \dots, X_n$  with mean 0, for all  $\theta > 0$ , we have

$$\Pr \left[ \left| \sum_{i=1}^n X_i \right| \geq \theta \sqrt{\sum_{i=1}^n \|X_i\|_{L^\infty(\Pr)}^2} \right] \leq 4 \exp(-\theta^2/4).$$

**Example 2.20** Let  $Q = \{x \in \mathbb{F}_p^n : x.x = 0\}$  with  $p > 2$ . Then  $|Q|/p^n = \frac{1}{p} + O(p^{-n/2})$  and  $\sup_{t \neq 0} |\hat{\mathbb{1}}_Q(t)| = O(p^{-n/2})$ .

**Lemma 2.21** (Plancherel's Identity) For all  $f, g : G \rightarrow \mathbb{C}$ ,

$$\langle f, g \rangle = \langle \hat{f}, \hat{g} \rangle.$$

*Proof.* Exercise. □

**Corollary 2.22** (Parseval's Identity) For all  $f, g : G \rightarrow \mathbb{C}$ ,

$$\|f\|_{L^2(G)}^2 = \|\hat{f}\|_{\ell^2(\hat{G})}^2.$$

*Proof (Hints).* Trivial from [Plancherel's Identity](#). □

*Proof.* By [Plancherel's Identity](#). □

**Definition 2.23** Let  $\rho > 0$  and  $f : G \rightarrow \mathbb{C}$ . The  **$\rho$ -large Fourier spectrum** of  $f$  is

$$\text{Spec}_\rho(f) := \left\{ \gamma \in \hat{G} : |\hat{f}(\gamma)| \geq \rho \|f\|_1 \right\}.$$

**Example 2.24** Let  $A \subseteq G$ , then  $\|f\|_1 = \alpha = |A|/|G|$ , so

$$\text{Spec}_\rho(\mathbb{1}_A) = \left\{ t \in \hat{\mathbb{F}}_p^n : |\hat{\mathbb{1}}_V(t)| \geq \rho \alpha \right\}.$$

In particular, if  $V \leq \mathbb{F}_p^n$  is a subspace, then by [Example 2.17](#),  $\text{Spec}_\rho(\mathbb{1}_V) = V^\perp$  for all  $\rho \in (0, 1]$ .

**Lemma 2.25** For all  $\rho > 0$ ,

$$|\text{Spec}_\rho(f)| \leq \rho^{-2} \frac{\|f\|_2^2}{\|f\|_1^2}$$

In particular, if  $f = \mathbb{1}_A$  for  $A \subseteq G$ , then  $\|f\|_1 = \alpha = |A|/|G| = \|f\|_2^2$ . So  $|\text{Spec}_\rho(\mathbb{1}_A)| \leq \rho^{-2} \alpha^{-1}$ .

*Proof (Hints).* Use [Parseval](#). □

*Proof.* By [Parseval](#),

$$\begin{aligned} \|f\|_2^2 &= \|\hat{f}\|_2^2 = \sum_{\gamma \in \hat{G}} |\hat{f}(\gamma)|^2 \\ &\geq \sum_{\gamma \in \text{Spec}_\rho(f)} |\hat{f}(\gamma)|^2 \\ &\geq |\text{Spec}_\rho(f)| (\rho \|f\|_1)^2. \end{aligned}$$

□

**Definition 2.26** The **convolution** of  $f, g : \mathbb{G} \rightarrow \mathbb{C}$  is

$$\begin{aligned} f * g : G &\rightarrow \mathbb{C}, \\ x &\mapsto \mathbb{E}_{y \in G} f(y)g(x - y). \end{aligned}$$

**Example 2.27** Given  $A, B \subseteq G$ ,

$$\begin{aligned} (\mathbb{1}_A * \mathbb{1}_B)(x) &= \mathbb{E}_{y \in G} \mathbb{1}_A(y) \mathbb{1}_B(x - y) \\ &= \mathbb{E}_{y \in G} \mathbb{1}_A(y) \mathbb{1}_{x-B}(y) \\ &= \mathbb{E}_{y \in G} \mathbb{1}_{A \cap (x-B)}(y) \\ &= \frac{|A \cap (x - B)|}{|G|} = \frac{1}{|G|} r_{A+B}(x). \end{aligned}$$

In particular,  $\text{supp}(\mathbb{1}_A * \mathbb{1}_B) = A + B$ .

**Lemma 2.28** Given  $f, g : G \rightarrow \mathbb{C}$ ,

$$\forall \gamma \in \hat{G}, \quad (\widehat{f * g})(\gamma) = \hat{f}(\gamma) \hat{g}(\gamma).$$

*Proof (Hints).* Straightforward. □

*Proof.* We have

$$\begin{aligned} (\widehat{f * g})(\gamma) &= \mathbb{E}_{x \in G} (f * g)(x) \overline{\gamma(x)} \\ &= \mathbb{E}_{x \in G} \mathbb{E}_{y \in G} f(y)g(x - y) \overline{\gamma(x)} \\ &= \mathbb{E}_{u \in G} \mathbb{E}_{y \in G} f(y)g(u) \overline{\gamma(u + y)} \quad (u = x - y) \\ &= \mathbb{E}_{u \in G} \mathbb{E}_{y \in G} f(y)g(u) \overline{\gamma(u) \gamma(y)} \\ &= \hat{f}(\gamma) \hat{g}(\gamma). \end{aligned}$$

□

**Example 2.29**  $\mathbb{E}_{x+y=z+w} f(x)f(y)\overline{f(z)}\overline{f(w)} = \|\hat{f}\|_{\ell^4(\hat{G})}^4$ . In particular,  $\|\hat{\mathbb{1}}_A\|_{\ell^4(\hat{G})}^4 = E(A)/|G|^3$  for any  $A \subseteq G$ .

**Theorem 2.30** (Bogolyubov's Lemma) Let  $A \subseteq \mathbb{F}_p^n$  be of density  $\alpha$ . Then there exists a subspace  $V \leq \mathbb{F}_p^n$  with  $\text{codim}(V) \leq 2\alpha^{-2}$ , such that  $V \subseteq A + A - A - A$ .

*Proof (Hints).*

- Let  $g = \mathbb{1}_A * \mathbb{1}_A * \mathbb{1}_{-A} * \mathbb{1}_{-A}$ , reason that if  $g(x) > 0$  for all  $x \in V$ , then  $V \subseteq 2A - 2A$ .
- Let  $S = \text{Spec}_\rho(\mathbb{1}_A)$ , with  $\rho$  for now unspecified.
- Show that  $g(x) = \alpha^4 + \sum_{t \in S \setminus \{0\}} |\hat{\mathbb{1}}_A(t)|^4 e(x \cdot t/p) + \sum_{t \notin S} |\hat{\mathbb{1}}_A(t)|^4 e(x \cdot t/p)$ .
- Find an appropriate subspace  $V$  from  $S$ , bound  $g(x)$  from below in terms of  $\rho$ , and use this to determine a suitable value for  $\rho$ .

□

*Proof.* Observe  $2A - 2A = \text{supp}(g)$  where  $g = \mathbb{1}_A * \mathbb{1}_A * \mathbb{1}_{-A} * \mathbb{1}_{-A}$ , so we want to find  $V \leq \mathbb{F}_p^n$  such that  $g(x) > 0$  for all  $x \in V$ . Let  $S = \text{Spec}_\rho(\mathbb{1}_A)$  with  $\rho$  a constant to be specified later, and let  $V = \langle S \rangle^\perp$ . By [Lemma 2.25](#),  $\text{codim}(V) = \dim \langle S \rangle \leq |S| \leq \rho^{-2} \alpha^{-1}$ . Fix  $x \in V$ . Now

$$\begin{aligned} g(x) &= \sum_{t \in \hat{\mathbb{F}}_p^n} \hat{g}(t) e(x.t/p) \\ &= \sum_{t \in \hat{\mathbb{F}}_p^n} |\hat{\mathbb{1}}_A(t)|^4 e(x.t/p) \quad \text{by [Lemma 2.28](#)} \\ &= \alpha^4 + \sum_{t \neq 0} |\hat{\mathbb{1}}_A(t)|^4 e(x.t/p) \\ &= \alpha^4 + \sum_{t \in S \setminus \{0\}} |\hat{\mathbb{1}}_A(t)|^4 e(x.t/p) + \sum_{t \notin S} |\hat{\mathbb{1}}_A(t)|^4 e(x.t/p) \end{aligned}$$

Each term in the first sum is non-negative, since  $\forall t \in S, x.t = 0$ . The absolute value of the second sum is bounded above, by the triangle inequality, by

$$\begin{aligned} \sum_{t \notin S} |\hat{\mathbb{1}}_A(t)|^4 &\leq \sup_{t \notin S} |\hat{\mathbb{1}}_A(t)|^2 \sum_{t \notin S} |\hat{\mathbb{1}}_A(t)|^2 \\ &\leq \sup_{t \notin S} |\hat{\mathbb{1}}_A(t)|^2 \sum_{t \in \hat{\mathbb{F}}_p^n} |\hat{\mathbb{1}}_A(t)|^2 \\ &\leq (\rho\alpha)^2 \|\mathbb{1}_A\|_2^2 = \rho^2 \alpha^3 \end{aligned}$$

by [Example 2.24](#) and [Parseval](#). Note the second sum must be real since all other terms in the equation are. So we have  $g(x) \geq \alpha^4 - \rho^2 \alpha^3$ . Thus, it is sufficient that  $\rho^2 \alpha^3 \leq \frac{\alpha^4}{2}$ , so set  $\rho = \sqrt{\alpha/2}$ . Hence  $g(x) > 0$  (in fact,  $g(x) \geq \frac{\alpha^4}{2}$ ) for all  $x \in V$ , and  $\text{codim}(V) \leq 2\alpha^{-2}$ .  $\square$

**Example 2.31** The set  $A = \left\{x \in \mathbb{F}_2^n : |x| \geq \frac{n}{2} + \frac{\sqrt{n}}{2}\right\}$  (where  $|x|$  is number of 1s in  $x$ ) has density  $\geq \frac{1}{8}$  but there is no coset  $C$  of any subspace of codimension  $\sqrt{n}$  such that  $C \subseteq A + A$ . Hence, the  $2A - 2A$  part of Bogolyubov's lemma is necessary:  $2A$  is not sufficient.

**Lemma 2.32** Let  $A \subseteq \mathbb{F}_p^n$  have density  $\alpha$  with  $\sup_{t \neq 0} |\hat{\mathbb{1}}_A(t)| \geq \rho\alpha$  for some  $\rho > 0$ . Then there exists a subspace  $V \leq \mathbb{F}_p^n$  with  $\text{codim}(V) = 1$  and  $x \in \mathbb{F}_p^n$  such that

$$|A \cap (x + V)| \geq \alpha \left(1 + \frac{\rho}{2}\right) |V|.$$

*Proof (Hints).*

- Let  $V = \langle t \rangle^\perp$  for some suitable  $t$  (can determine later).
- Define  $a_j = \frac{|A \cap (v_j + V)|}{|v_j + V|} - \alpha$  for each  $j \in [p]$ , where  $x.v_j = j$ .
- Show that  $\hat{\mathbb{1}}_A(t) = \mathbb{E}_{j \in [p]} a_j e(-j/p)$ .
- Show that  $\mathbb{E}_{j \in [p]} a_j + |a_j| \geq \rho\alpha$ .

$\square$

*Proof.* Let  $t \neq 0$  be such that  $|\hat{1}_A(t)| \geq \rho\alpha$  and let  $V = \langle t \rangle^\perp$ . Write  $v_j + V = \{x \in \mathbb{F}_p^n : x \cdot t = j\}$  for  $j \in [p]$  for the  $p$  distinct cosets of  $V$ . Then

$$\begin{aligned}\hat{1}_A(t) &= \hat{f}_A(t) = \mathbb{E}_{x \in \mathbb{F}_p^n} (\mathbb{1}_A(x) - \alpha) e(-x \cdot t/p) \\ &= \mathbb{E}_{j \in [p]} \mathbb{E}_{x \in v_j + V} (\mathbb{1}_A(x) - \alpha) e(-j/p) \\ &= \mathbb{E}_{j \in [p]} \left( \frac{|A \cap (v_j + V)|}{|v_j + V|} - \alpha \right) e(-j/p) \\ &=: \mathbb{E}_{j \in [p]} a_j e(-j/p).\end{aligned}$$

By the triangle inequality,  $\mathbb{E}_{j \in [p]} |a_j| \geq \rho\alpha$ . Note that  $\mathbb{E}_{j \in [p]} a_j = 0$ . So  $\mathbb{E}_{j \in [p]} a_j + |a_j| \geq \rho\alpha$ , so  $\exists j \in [p]$  such that  $a_j + |a_j| \geq \rho\alpha$ , hence  $a_j \geq \rho\alpha/2$ . So take  $x = v_j$ .  $\square$

**Notation 2.33** Given  $f, g, h : G \rightarrow \mathbb{C}$ , write

$$T_3(f, g, h) = \mathbb{E}_{x, d \in G} f(x) g(x + d) h(x + 2d).$$

**Notation 2.34** Given  $A \subseteq G$ , write  $2 \cdot A = \{2a : a \in A\}$ . Note this is not the same as  $2A = A + A$ .

**Lemma 2.35** Let  $p \geq 3$  and  $A \subseteq \mathbb{F}_p^n$  be of density  $\alpha > 0$ , such that  $\sup_{t \neq 0} |\hat{1}_A(t)| \leq \varepsilon$ . Then the number of 3-APs in  $A$  differs from  $\alpha^3(p^n)^2$  by at most  $\varepsilon(p^n)^2$ .

*Proof (Hints).*

- Express  $T_3(\mathbb{1}_A, \mathbb{1}_A, \mathbb{1}_A)$  as an inner product of functions  $\mathbb{F}_p^n \rightarrow \mathbb{C}$ , rewrite as inner product of functions  $\hat{\mathbb{F}}_p^n \rightarrow \mathbb{C}$ .
- Find upper bound of the absolute value of a sub-sum of this inner product, using triangle inequality and Cauchy-Schwarz.

$\square$

*Proof.* The number of 3-APs in  $A$  is  $(p^n)^2$  multiplied by

$$\begin{aligned}T_3(\mathbb{1}_A, \mathbb{1}_A, \mathbb{1}_A) &= \mathbb{E}_{x, d} \mathbb{1}_A(x) \mathbb{1}_A(x + d) \mathbb{1}_A(x + 2d) \\ &= \mathbb{E}_{x, y} \mathbb{1}_A(x) \mathbb{1}_A(y) \mathbb{1}_A(2y - x) \\ &= \mathbb{E}_y \mathbb{1}_A(y) \mathbb{E}_x \mathbb{1}_A(x) \mathbb{1}_A(2y - x) \\ &= \mathbb{E}_y \mathbb{1}_A(y) (\mathbb{1}_A * \mathbb{1}_A)(2y) \\ &= \langle \mathbb{1}_{2 \cdot A}, \mathbb{1}_A * \mathbb{1}_A \rangle.\end{aligned}$$

By [Plancherel's Identity](#) and [Lemma 2.28](#), this is equal to

$$\begin{aligned}\langle \hat{\mathbb{1}}_{2 \cdot A}, \hat{\mathbb{1}}_A^2 \rangle &= \sum_{t \in \hat{\mathbb{F}}_p^n} \hat{\mathbb{1}}_{2 \cdot A}(t) \overline{\hat{\mathbb{1}}_A(t)}^2 \\ &= \alpha^3 + \sum_{t \neq 0} \hat{\mathbb{1}}_{2 \cdot A}(t) \overline{\hat{\mathbb{1}}_A(t)}^2\end{aligned}$$

But



$$\begin{aligned}
\left| \sum_{t \neq 0} \hat{\mathbb{1}}_{2 \cdot A}(t) \overline{\hat{\mathbb{1}}_A(t)}^2 \right| &\leq \sup_{t \neq 0} |\hat{\mathbb{1}}_A(t)| \sum_{t \neq 0} |\hat{\mathbb{1}}_{2 \cdot A}(t)| |\hat{\mathbb{1}}_A(t)| \\
&\leq \varepsilon \sum_{t \in \mathbb{F}_p^n} |\hat{\mathbb{1}}_{2 \cdot A}(t)| |\hat{\mathbb{1}}_A(t)| \\
&\leq \varepsilon \left( \sum_t |\hat{\mathbb{1}}_{2 \cdot A}(t)|^2 \sum_t |\hat{\mathbb{1}}_A(t)|^2 \right)^{1/2} \quad \text{by [Cauchy-Schwarz](#)} \\
&= \varepsilon \|\hat{\mathbb{1}}_{2 \cdot A}\|_2 \|\hat{\mathbb{1}}_A\|_2 \\
&= \varepsilon \cdot \alpha^2 \leq \varepsilon \quad \text{by [Parseval](#).}
\end{aligned}$$

□

**Theorem 2.36** (Meshulam) Let  $A \subseteq \mathbb{F}_p^n$  be a set containing no non-trivial 3-APs. Then  $|A| = O(p^n / \log p^n)$ , i.e.  $\alpha = O(1/n)$ .

*Proof (Hints).*

- Use similar proof as that of above lemma to show that  $|T_3(\mathbb{1}_A, \mathbb{1}_A, \mathbb{1}_A) - \alpha^3| \leq \sup_{t \neq 0} |\hat{\mathbb{1}}_A(t)| \cdot \alpha$ .
- Reason that provided  $p^n \geq 2\alpha^{-2}$ , we have  $\sup_{t \neq 0} |\hat{\mathbb{1}}_A(t)| \geq \frac{\alpha^2}{2}$ .
- Use this to iteratively generate  $A_1, V_1, A_2, V_2, \dots$
- Reason that each  $A_i$  contains no non-trivial 3 APs.
- Find an expression for maximum number of steps it takes for the density of the  $A_i$  to increase from  $2^k \alpha$  to  $2^{k+1} \alpha$  (in terms of  $k$  and  $\alpha$ ). Use this to deduce an upper bound for the maximum number steps it takes for the density to reach 1.
- Find lower bound for  $\dim(V_m)$  where  $V_m$  is the final  $V_i$  in the sequence, use fact that iteration halted to deduce that  $p^{\dim(V_m)} \leq 2\alpha^{-2}$ .
- Reason that we can assume  $\alpha \geq \sqrt{2}p^{-n/4}$ , and conclude that  $\alpha \leq 16n$ .

□

*Proof.* By assumption,  $T_3(\mathbb{1}_A, \mathbb{1}_A, \mathbb{1}_A) = |A|/(p^n)^2 = \alpha/p^n$  (there are  $|A|$  trivial APs). By the proof of the above lemma,

$$|T_3(\mathbb{1}_A, \mathbb{1}_A, \mathbb{1}_A) - \alpha^3| \leq \sup_{t \neq 0} |\hat{\mathbb{1}}_A(t)| \cdot \alpha.$$

So provided that  $p^n \geq 2\alpha^{-2}$ , we have  $T_3(\mathbb{1}_A, \mathbb{1}_A, \mathbb{1}_A) \leq \alpha^3/2$ , so  $|T_3(\mathbb{1}_A, \mathbb{1}_A, \mathbb{1}_A) - \alpha^3| \geq \alpha^3/2$ , hence

$$\sup_{t \neq 0} |\hat{\mathbb{1}}_A(t)| \geq \frac{\alpha^2}{2}.$$

So by [Lemma 2.32](#) with  $\rho = \frac{\alpha}{2}$ , there exists a subspace  $V \leq \mathbb{F}_p^n$  of codimension 1 and  $x \in \mathbb{F}_p^n$  such that  $|A \cap (x + V)| \geq (\alpha + \alpha^2/4)|V|$ .

We iterate this observation: let  $A_0 = A$ ,  $V_0 = \mathbb{F}_p^n$ ,  $\alpha_0 = |A_0|/|V_0|$ . At this  $i$ -th step, we are given a set  $A_{i-1} \subseteq V_{i-1}$  of density  $\alpha_{i-1}$  with no non-trivial 3-APs. Provided that

$p^{\dim(V_{i-1})} \geq 2\alpha_{i-1}^{-2}$ , there exists a subspace  $V_i \leq V_{i-1}$  of codimension 1 and  $x_i \in V_{i-1}$  such that

$$|(A - x_i) \cap V_i| = |A \cap (x_i + V_i)| \geq (\alpha_{i-1} + \alpha_{i-1}^2/4)|V_i|$$

So set  $A_i = (A - x_i) \cap V_i$ .  $A_i$  has density  $\alpha_i \geq \alpha_{i-1} + \alpha_{i-1}^2/4$ , and contains no non-trivial 3-APs (since the translate  $A - x_i$  contains no non-trivial 3-APs). Through this iteration, the density increases:

- from  $\alpha$  to  $2\alpha$  in at most  $\alpha/(\alpha^2/4) = 4\alpha^{-1}$  steps,
- from  $2\alpha$  to  $4\alpha$  in at most  $(2\alpha)/((2\alpha)^2/4) = 2\alpha^{-1}$  steps.
- and so on, ...

So the density reaches 1 in at most  $4\alpha^{-1}(1 + \frac{1}{2} + \frac{1}{4} + \dots) = 8\alpha^{-1}$  steps. The iteration must end with  $\dim(V_i) \geq n - 8\alpha^{-1}$ , at which point we must have had  $p^{\dim(V_i)} < 2\alpha_{i-1}^{-2} \leq 2\alpha^{-2}$ , or else we could have iterated again.

But we may assume that  $\alpha \geq \sqrt{2}p^{-n/4}$  (since otherwise we would be done), so  $\alpha^{-2} < \frac{1}{2}p^{n/2}$ , whence  $p^{n-8\alpha^{-1}} \leq p^{n/2}$ , i.e.  $\frac{n}{2} \leq 8\alpha^{-1}$ .  $\square$

**Remark 2.37** The current largest known subset of  $\mathbb{F}_3^n$  containing no non-trivial 3-APs has size  $2.2202^n$ .

**Lemma 2.38** Let  $A \subseteq [N]$  be of density  $\alpha > 0$  and contain no non-trivial 3-APs, with  $N > 50\alpha^{-2}$ . Let  $p$  be a prime with  $p \in [N/3, 2N/3]$ , and write  $A' = A \cap [p] \subseteq \mathbb{Z}/p$ . Then one of the following holds:

1.  $\sup_{t \neq 0} |\hat{1}_{A'}(t)| \geq \alpha^2/10$  (where the Fourier coefficient is computed in  $\mathbb{Z}/p$ ).
2. There exists an interval  $J \subseteq [N]$  of length  $\geq N/3$  such that  $|A \cap J| \geq \alpha(1 + \alpha/400)|J|$ .

*Proof (Hints).*

- Show that we can assume  $|A'| \geq \alpha(1 - \alpha/200)p$ .

$\square$

*Proof.* TODO: fill in details in proof.

We may assume that  $|A'| = |A \cap [p]| \geq \alpha(1 - \alpha/200)p$ , since otherwise  $|A \cap [p + 1, N]| \geq \alpha N - (\alpha(1 - \alpha/200)p) = \alpha(N - p) + \frac{\alpha^2}{200}p \geq (\alpha + \alpha^2/400)(N - p)$  since  $p \geq N/3$ , which implies case 2 with  $J = [p + 1, N]$ .

Let  $A'' = A' \cap [p/3, 2p/3]$ . Note that all 3-APs of the form  $(x, x + d, x + 2d) \in A' \times A'' \times A''$  are in fact APs in  $[N]$ . If  $|A' \cap [p/3]|$  or  $|A' \cap [2p/3, p]|$  is at least  $\frac{2}{5}|A'|$ , then again we are in case 2. So we may assume that  $|A''| \geq |A'|/5$ . Now as in above lemmas, we have

$$\frac{\alpha''}{p} = \frac{|A''|}{p^2} = T_3(\mathbb{1}_{A'}, \mathbb{1}_{A''}, \mathbb{1}_{A''}) = \alpha'(\alpha'')^2 + \sum_t \overline{\hat{1}_{A'}(t)} \hat{1}_{A''}(t) \hat{1}_{2 \cdot A''}(t)$$

where  $\alpha' = |A'|/p$  and  $\alpha'' = |A''|/p$ . So as before,

$$\frac{\alpha' \alpha''}{2} \leq \sup_{t \neq 0} |\mathbb{1}_{A'}(t)| \cdot \alpha''$$

provided that  $\alpha''/p \leq \frac{1}{2} \alpha' (\alpha'')^2$ , i.e.  $2/p \leq \alpha' \alpha''$  (check this inequality indeed holds). Hence,  $\sup_{t \neq 0} |\widehat{\mathbb{1}}_{A'}(t)| \geq \frac{\alpha' \alpha''}{2} \geq \frac{1}{2} \alpha (1 - \alpha/200)^2 \cdot \frac{2}{5} \geq \alpha^2/10$ . TODO: constants need to change somewhere here.  $\square$

**Lemma 2.39** Let  $m \in \mathbb{N}$ , and let  $\varphi : [m] \rightarrow \mathbb{Z}/p$  be given by  $\varphi(x) = tx$  for some  $t \neq 0$ . For all  $\varepsilon > 0$ , there exists a partition of  $[m]$  into progressions  $P_i$  of length  $\ell_i \in [\varepsilon\sqrt{m}/2, \varepsilon\sqrt{m}]$ , such that

$$\forall i, \quad \text{diam}(\varphi(P_i)) := \max_{x, y \in P_i} |\varphi(x) - \varphi(y)| \leq \varepsilon p$$

(where  $|\varphi(x) - \varphi(y)|$  views  $\varphi(x), \varphi(y) \in \{0, \dots, p-1\}$ ).

*Proof.* Let  $u = \lfloor \sqrt{m} \rfloor$  and consider  $0, t, \dots, ut$ . By the pigeonhole principle, there exists  $0 \leq v < w \leq u$  such that  $|wt - vt| = |(w-v)t| \leq p/u$ . Set  $s = w - v$ , so  $|st| \leq p/u$ . Divide  $[m]$  into residue classes mod  $s$ , each of which has size at least  $m/s \geq m/u$ . But each residue class can be divided into APs of the form  $a, a+s, \dots, a+ds$  for some  $\varepsilon u/2 < d \leq \varepsilon u$ . The diameter of the image of each progression under  $\varphi$  is  $|dst| \leq dp/u \leq \varepsilon up/u = \varepsilon p$ .  $\square$

**Lemma 2.40** Let  $A \subseteq [N]$  be of density  $\alpha > 0$ , let  $p$  be prime with  $p \in [N/3, 2N/3]$ , and write  $A' = A \cap [p] \subseteq \mathbb{Z}/p$ . Suppose that  $|\widehat{\mathbb{1}}_{A'}(t)| \geq \alpha^2/20$  for some  $t \neq 0$ . Then there exists a progression  $P \subseteq [N]$  of length at least  $\alpha^2 \sqrt{N}/500$  such that  $|A \cap P| \geq \alpha(1 + \alpha/80)|P|$ .

*Proof.* Let  $\varepsilon = \alpha^2/40\pi$  and use above lemma to partition  $[p]$  into progressions  $P_i$  of length  $\geq \varepsilon \sqrt{p/2} \geq \alpha^2/40\pi \frac{\sqrt{N/3}}{2} \geq \alpha \sqrt{N}/500$ , and  $\text{diam}(\varphi(P_i)) \leq \varepsilon p$ . Fix one  $x_i$  from each of the  $P_i$ . Then

$$\begin{aligned} \frac{\alpha^2}{20} &\leq |\widehat{f}_{A'}(t)| = \frac{1}{p} \sum_i \sum_{x \in P_i} f_{A'}(x) e(-xt/p) \\ &= \frac{1}{p} \left| \sum_i \sum_{x \in P_i} f_{A'}(x) e(-xit/p) + \sum_i \sum_{x \in P_i} f_{A'}(x) (e(-xt/p) - e(-xit/p)) \right| \\ &\leq \frac{1}{p} \sum_i \left| \sum_{x \in P_i} f_{A'}(x) \right| + \frac{1}{p} \sum_i \sum_{x \in P_i} |f_{A'}(x)| \underbrace{|e(-xt/p) - e(-xit/p)|}_{\leq 2\pi\varepsilon \text{ since } \text{diam}(\varphi(P_i)) \leq \varepsilon p} \end{aligned}$$

So

$$\sum_i \left| \sum_{x \in P_i} f_{A'}(x) \right| \geq \frac{\alpha^2}{40} p$$

Since  $f_{A'}$  has mean zero,

$$\sum_i \left( \left| \sum_{x \in P_i} f_{A'}(x) \right| + \sum_{x \in P_i} f_{A'}(x) \right) \geq \frac{\alpha^2}{40} p$$

hence  $\exists i$  such that

$$\left| \sum_{x \in P_i} f_{A'}(x) \right| + \sum_{x \in P_i} f_{A'}(x) \geq \frac{\alpha^2}{80} |P_i|$$

and so

$$\sum_{x \in P_i} f_{A'}(x) \geq \frac{\alpha^2}{160} |P_i|.$$

□

**Definition 2.41** Let  $\Gamma \subseteq \hat{G}$  and  $\rho > 0$ . The **Bohr set**  $B(\Gamma, \rho)$  is the set

$$B(\Gamma, \rho) = \{x \in G : |\gamma(x) - 1| < \rho \ \forall \gamma \in \Gamma\}.$$

The **rank** of  $B(\Gamma, \rho)$  is  $|B(\Gamma, \rho)|$ , and is **width** (or **radius**) is  $\rho$ .

**Example 2.42** Let  $G = \mathbb{F}_p^n$ , then  $B(\Gamma, \rho) = \langle \Gamma \rangle^\perp$  for all sufficiently small  $\rho$ . Here, the rank gives an upper bound on  $\text{codim}(\langle \Gamma \rangle^\perp)$ .

**Lemma 2.43** Let  $\Gamma \subseteq \hat{G}$  and  $|\Gamma| = d$ , and let  $\rho > 0$ . Then

$$|B(\Gamma, \rho)| \geq \left( \frac{\rho}{8} \right)^d |G|.$$

**Proposition 2.44** (Bogolyubov's Lemma for Finite Abelian Groups) Let  $A \subseteq G$  be of density  $\alpha > 0$ . Then there exists  $\Gamma \subseteq \hat{G}$  with  $|\Gamma| \leq 2\alpha^{-2}$  such that

$$B\left(\Gamma, \frac{1}{2}\right) \subseteq A + A - (A + A).$$

*Proof.* Recall that

$$(\mathbb{1}_A * \mathbb{1}_A * \mathbb{1}_A * \mathbb{1}_A)(x) = \sum_{\gamma \in \hat{G}} \left| \hat{\mathbb{1}}_A(\gamma) \right|^4 \gamma(x)$$

Let  $\Gamma = \text{Spec}_{\sqrt{\alpha/2}}(\mathbb{1}_A)$  and note that for  $x \in B(\Gamma, 1/2)$  and  $\gamma \in \Gamma$ ,  $\text{Re}(\gamma(x)) > 0$ . Hence, for  $x \in B(\Gamma, 1/2)$ ,

$$\text{Re} \left( \sum_{\gamma \in \hat{G}} \left| \hat{\mathbb{1}}_A(\gamma) \right|^4 \gamma(x) \right) = \text{Re} \left( \sum_{\gamma \in \Gamma} \left| \hat{\mathbb{1}}_A(\gamma) \right|^4 \gamma(x) \right) + \text{Re} \left( \sum_{x \notin \Gamma} \left| \hat{\mathbb{1}}_A(\gamma) \right|^4 \gamma(x) \right)$$

and

$$\begin{aligned} \left| \operatorname{Re} \left( \sum_{\gamma \notin \Gamma} |\hat{\mathbb{1}}_A(\gamma)|^4 \gamma(x) \right) \right| &\leq \sup_{\gamma \notin \Gamma} |\hat{\mathbb{1}}_A(\gamma)|^2 \sum_{\gamma \notin \Gamma} |\hat{\mathbb{1}}_A(\gamma)|^2 \\ &\leq \left( \sqrt{\frac{\alpha}{2}} \cdot \alpha \right)^2 \cdot \alpha = \frac{\alpha^4}{2} \end{aligned}$$

by Parseval. □

**Theorem 2.45** (Roth) Let  $A \subseteq [N]$  be a set containing no non-trivial 3-APs. Then  $|A| = O(N / \log \log N)$ .

*Proof.* □

**Example 2.46** (Behrend's Example) There exists a set  $A \subseteq [N]$  of size  $|A| \geq \exp(-c\sqrt{\log N})N$  containing no non-trivial 3-APs.

### 3. Probabilistic tools

All probability spaces here will be finite.

**Theorem 3.1** (Khinchine's Inequality) Let  $p \in [2, \infty)$ . Let  $X_1, \dots, X_n$  be independent random variables such that

$$\forall i \in [n], \quad \mathbb{P}(X_i = x_i) = \mathbb{P}(X_i = -x_i) = \frac{1}{2}$$

for some  $x_1, \dots, x_n \in \mathbb{C}$ . Then

$$\left\| \sum_{i=1}^n X_i \right\|_{L^p(\mathbb{P})} = O \left( p^{1/2} \left( \sum_{i=1}^n \|X_i\|_{L^2(\mathbb{P})}^2 \right)^{1/2} \right)$$

*Proof (Hints).*

- Explain why sufficient to prove for the case that  $p = 2k$  for  $k \in \mathbb{N}$ .
- Explain why  $\sum_{i=1}^n \|X_i\|_{L^\infty(\mathbb{P})}^2 = \sum_{i=1}^n \|X_i\|_{L^2(\mathbb{P})}^2$ , and assume they are equal to 1.
- Show that  $\|X\|_{L^{2k}(\mathbb{P})}^{2k} \leq 8kI(k)$ , where  $I(k) = \int_0^\infty t^{2k-1} \exp(-t^2/4) dt$ .
- Show by induction on  $k$  that  $I(k) \leq 2^{2k}(2k)^k/4k$ .

□

*Proof.* Since  $L^p$  norms are nested, it suffices to prove in the case that  $p = 2k$  for some  $k \in \mathbb{N}$ . Write  $X = \sum_{i=1}^n X_i$ , and assume the quantity  $\sum_{i=1}^n \|X_i\|_{L^\infty(\mathbb{P})}^2 = \sum_{i=1}^n \|x_i\|^2 = \sum_{i=1}^n \|X_i\|_{L^2(\mathbb{P})}^2$  is equal to 1. By [Chernoff's Inequality](#),  $\forall \theta > 0$ ,

$$\Pr(|X| \geq \theta) \leq 4 \exp(-\theta^2/4),$$

and so, since  $\int_0^t P_X(s) ds = \Pr(|X| \leq t)$ ,

$$\begin{aligned}
\|X\|_{L^{2k}(\text{Pr})}^{2k} &= \int_0^\infty t^{2k} P_X(t) dt \\
&= \int_0^\infty 2kt^{2k-1} \text{Pr}(|X| \geq t) dt \text{ by integration by parts} \\
&\leq 8k \int_0^\infty t^{2k-1} \exp(-t^2/4) dt =: 8kI(k)
\end{aligned}$$

We will show by induction on  $k$  that  $I(k) \leq 2^{2k}(2k)^k/4k$ . Indeed, when  $k = 1$ ,

$$\begin{aligned}
\int_0^\infty t \exp(-t^2/4) dt &= [-2 \exp(-t^2/4)]_0^\infty = 2 \\
&= 2^{2 \cdot 1} (2 \cdot 1)^1 / (4 \cdot 1)
\end{aligned}$$

For  $k > 1$ , we integrate by parts to find that

$$\begin{aligned}
I(k) &:= \int_0^\infty \underbrace{t^{2k-2}}_u \cdot \underbrace{t \exp(-t^2/4)}_{v'} dt \\
&= [t^{2k-2} \cdot (-2 \exp(-t^2/4))]_0^\infty - \int_0^\infty (2k-2)t^{2k-3} \cdot (-2 \exp(-t^2/4)) dt \\
&= 4(k-1) \int_0^\infty t^{2(k-1)-1} \exp(-t^2/4) dt \\
&= 4(k-1)I(k-1) \\
&\leq \frac{4(k-1)2^{2k-1}(2(k-1))^{k-1}}{4(k-1)} \text{ by induction hypothesis} \\
&\leq \frac{2^{2k}(2k)^k}{4k}.
\end{aligned}$$

□

**Corollary 3.2** (Rudin's Inequality) Let  $\Gamma \subseteq \hat{\mathbb{F}}_2^n$  be a linearly independent set and let  $p \in [2, \infty)$ . Then  $\forall \hat{f} \in \ell^2(\Gamma)$ ,

$$\left\| \sum_{\gamma \in \Gamma} \hat{f}(\gamma) \gamma \right\|_{L^p(\mathbb{F}_2^n)} = O(\sqrt{p} \cdot \|\hat{f}\|_{\ell^2(\Gamma)})$$

*Proof.* Exercise. □

**Corollary 3.3** (Dual Rudin) Let  $\Gamma \subseteq \hat{\mathbb{F}}_2^n$  be a linearly independent set and let  $p \in (1, 2]$ . Then  $\forall f \in L^p(\mathbb{F}_2^n)$ ,

$$\|\hat{f}\|_{\ell^2(\Gamma)} = O\left(\sqrt{\frac{p}{p-1}} \cdot \|f\|_{L^p(\mathbb{F}_2^n)}\right).$$

*Proof (Hints).* Let  $g(x) = \sum_{\gamma \in \Gamma} \hat{f}(\gamma) \gamma(x)$ . Show that  $\|\hat{f}\|_{\ell^2(\Gamma)}^2 \leq \|f\|_{L^p(\mathbb{F}_2^n)} \|g\|_{L^q(\mathbb{F}_2^n)}$  where  $1/p + 1/q = 1$ , and conclude using [Rudin's Inequality](#). □

*Proof.* Let  $f \in L^p(\mathbb{F}_2^n)$  and let  $g(x) = \sum_{\gamma \in \Gamma} \hat{f}(\gamma) \gamma(x)$ . Then

$$\begin{aligned} \|\hat{f}\|_{\ell^2(\Gamma)}^2 &:= \sum_{\gamma \in \Gamma} |\hat{f}(\gamma)|^2 \\ &= \langle \hat{f}, \hat{g} \rangle_{\ell^2(\Gamma)} = \langle \hat{f}, \hat{g} \rangle_{\ell^2(\hat{\mathbb{F}}_2^n)} \\ &= \langle f, g \rangle_{L^2(\mathbb{F}_2^n)} && \text{by \a href{Plancherel's Identity}} \\ &\leq \|f\|_{L^p(\mathbb{F}_2^n)} \|g\|_{L^q(\mathbb{F}_2^n)} && \text{by \a href{H\"older's Inequality}}. \end{aligned}$$

where  $1/p + 1/q = 1$ . By [Rudin's Inequality](#),

$$\begin{aligned} \|g\|_{L^q(\mathbb{F}_2^n)} &= O(\sqrt{q} \cdot \|\hat{g}\|_{\ell^2(\Gamma)}) \\ &= O\left(\sqrt{\frac{p}{p-1}} \cdot \|\hat{f}\|_{\ell^2(\Gamma)}\right). \end{aligned}$$

□

Recall that given  $A \subseteq \mathbb{F}_2^n$  of density  $\alpha > 0$ , we have  $|\text{Spec}_\rho(\mathbb{1}_A)| \leq \rho^{-2} \alpha^{-1}$ . This is the best possible bound as the example of a subspace  $A$  shows. However, in this case, the large spectrum is highly structured.

**Theorem 3.4** (Special Case of Chang's Theorem) Let  $A \subseteq \mathbb{F}_2^n$  be of density  $\alpha > 0$ . Then

$$\forall \rho > 0, \exists H \leq \hat{\mathbb{F}}_2^n : \dim(H) = O(\rho^{-2} \log \alpha^{-1}) \quad \text{and} \quad \text{Spec}_\rho(\mathbb{1}_A) \subseteq H.$$

*Proof (Hints).* Use [Dual Rudin](#) on a maximal linearly independent set in  $\text{Spec}_\rho(\mathbb{1}_A)$ , with  $p = 1 + (\log \alpha^{-1})^{-1}$ . □

*Proof.* Let  $\Gamma \subseteq \text{Spec}_\rho(\mathbb{1}_A)$  be maximal linearly independent set. Let  $H = \langle \text{Spec}_\rho(\mathbb{1}_A) \rangle$ . Clearly  $\dim(H) = |\Gamma|$ . By [Dual Rudin](#),  $\forall p \in (1, 2]$ ,

$$(\rho\alpha)^2 |\Gamma| \leq \sum_{\gamma \in \Gamma} |\hat{\mathbb{1}}_A(\gamma)|^2 = \|\hat{\mathbb{1}}_A\|_{\ell^2(\Gamma)}^2 = O\left(\frac{p}{p-1} \|\mathbb{1}_A\|_{L^p(\mathbb{F}_2^n)}^2\right) = O\left(\frac{p}{p-1} \alpha^{2/p}\right).$$

Hence,  $|\Gamma| \leq O(\rho^{-2} \alpha^{-2} \alpha^{2/p} \frac{p}{p-1})$ . Setting  $p = 1 + (\log \alpha^{-1})^{-1}$ , we obtain  $|\Gamma| \leq O(\rho^{-2} \alpha^{-2} (\alpha^2 e^2) (\log \alpha^{-1} + 1)) = O(\rho^{-2} \log \alpha^{-1})$ . □

**Definition 3.5** Let  $G$  be a finite abelian group.  $S \subseteq G$  is **dissociated** if, whenever  $\sum_{s \in S} \varepsilon_s s = 0$  with each  $\varepsilon_s \in \{-1, 0, 1\}$ , then we have  $\varepsilon_s = 0$  for all  $s \in S$ .

**Example 3.6** Clearly, if  $G = \mathbb{F}_2^n$ , then  $S \subseteq G$  is dissociated iff  $S$  is linearly independent.

**Theorem 3.7** (Chang) Let  $G$  be a finite abelian group, and let  $A \subseteq G$  be of density  $\alpha > 0$ . If  $\Lambda \subseteq \text{Spec}_\rho(\mathbb{1}_A)$  is dissociated, then  $|\Lambda| = O(\rho^{-2} \log \alpha^{-1})$ .

**Theorem 3.8** (Marcinkiewicz-Zygmund) Let  $p \in [2, \infty)$  and let  $X_1, \dots, X_n \in L^p(\text{Pr})$  be independent RVs with  $\mathbb{E}[X_1 + \dots + X_n] = 0$ . Then

$$\left\| \sum_{i=1}^n X_i \right\|_{L^p(\Pr)} = O \left( p^{1/2} \cdot \left\| \sum_{i=1}^n |X_i|^2 \right\|_{L^{p/2}(\Pr)}^{1/2} \right).$$

*Proof.* First assume that the distribution of the  $X_i$  is symmetric, i.e.  $\Pr(X_i = a) = \Pr(X_i = -a)$  for all  $a \in \mathbb{R}$  and  $i \in [n]$ . Partition the probability space  $\Omega$  into sets  $\Omega_1, \Omega_2, \dots, \Omega_M$  and write  $\Pr_j$  for the induced measure on  $\Omega$ , such that all  $X_i$  are symmetric and take at most 2 values. By Khintchine's inequality, for each  $j \in [M]$ ,

$$\begin{aligned} \left\| \sum_{i=1}^n X_i \right\|_{L^p(\Pr_j)}^p &= O \left( p^{p/2} \cdot \left( \sum_{i=1}^n \|X_i\|_{L^2(\Pr_j)}^2 \right)^{p/2} \right) \\ &= O \left( p^{p/2} \cdot \left\| \sum_{i=1}^n |X_i|^2 \right\|_{L^{p/2}(\Pr_j)}^{p/2} \right). \end{aligned}$$

Summing over all  $j \in [M]$  and taking  $p$ -th roots gives the result for the symmetric case.

Now suppose the  $X_i$  are arbitrary RVs, and let  $Y_1, \dots, Y_n$  be such that  $Y_i \sim X_i$  and  $X_1, Y_1, \dots, X_n, Y_n$  are all independent. Applying the symmetric case to the RVs  $X_i - Y_i$ , we obtain

$$\begin{aligned} \left\| \sum_{i=1}^n (X_i - Y_i) \right\|_{L^p(\Pr \times \Pr)} &= O \left( p^{1/2} \cdot \left\| \sum_{i=1}^n |X_i - Y_i|^2 \right\|_{L^{p/2}(\Pr \times \Pr)}^{1/2} \right) \\ &= O \left( p^{1/2} \cdot \left\| \sum_{i=1}^n |X_i|^2 \right\|_{L^{p/2}(\Pr)}^{1/2} \right) \quad \text{TODO: check this explicitly} \end{aligned}$$

But then

$$\begin{aligned} \left\| \sum_{i=1}^n X_i \right\|_{L^p(\Pr)}^p &= \left\| \sum_{i=1}^n X_i - \mathbb{E}_Y \left[ \sum_{i=1}^n Y_i \right] \right\|_{L^p(\Pr)}^p \\ &= \mathbb{E}_X \left| \sum_{i=1}^n X_i - \mathbb{E}_Y \left[ \sum_{i=1}^n Y_i \right] \right|^p \\ &= \mathbb{E}_X \left| \mathbb{E}_Y \sum_{i=1}^n (X_i - Y_i) \right|^p \\ &\leq \mathbb{E}_X \mathbb{E}_Y \left| \sum_{i=1}^n (X_i - Y_i) \right|^p \quad \text{by Jensen's inequality} \\ &= \left\| \sum_{i=1}^n (X_i - Y_i) \right\|_{L^p(\Pr \times \Pr)}^p. \end{aligned}$$



□

**Theorem 3.9** (Crooot-Sisask Almost Periodicity) Let  $G$  be a finite abelian group, let  $\varepsilon > 0$ , and  $p \in [2, \infty)$ . Let  $A, B \subseteq G$  be such that  $|A + B| \leq K|A|$ , and let  $f : G \rightarrow \mathbb{C}$ . Then there is  $b \in B$  and a set  $X \subseteq B - b$  such that  $|X| \geq \frac{1}{2}K^{-O(\varepsilon^{-2p})}|B|$  and

$$\|\tau_x(f * \mu_A) - f * \mu_A\|_{L^p(G)} \leq \varepsilon \|f\|_{L^p(G)} \quad \forall x \in X,$$

where  $\tau_x g(y) = g(y + x)$  for all  $y \in G$ .

*Proof.* The main idea is to approximated

$$(f * \mu_A)(y) = \mathbb{E}_{x \in G} f(y - x) \mu_A(x) = \mathbb{E}_{x \in A} f(y - x)$$

by  $\frac{1}{m} \sum_{i=1}^m f(y - z_i)$  where the  $z_i$  are sampled independently and uniformly from  $A$ , and  $m$  is to be chosen later. For each  $y \in G$ , define  $Z_i(y) = \tau_{-z_i} f(y) - (f * \mu_A)(y)$ .

For each  $y \in G$ , these are independent random variables with mean 0. So by Marcinkiewicz-Zygmund,

$$\begin{aligned} \left\| \sum_{i=1}^m Z_i(y) \right\|_{L^p(\text{Pr})}^p &= O \left( p^{p/2} \cdot \left\| \sum_{i=1}^m |Z_i(y)|^2 \right\|_{L^{p/2}(\text{Pr})}^{p/2} \right) \\ &= O \left( p^{p/2} \cdot \mathbb{E}_{(z_1, \dots, z_m) \in A^m} \left| \sum_{i=1}^m |Z_i(y)|^2 \right|^{p/2} \right). \end{aligned}$$

By Holder's inequality with  $1/p' + 2/p = 1$ ,

$$\begin{aligned} \left| \sum_{i=1}^m |Z_i(y)|^2 \right|^{p/2} &\leq \left( \sum_{i=1}^m 1^{p'} \right)^{\frac{1}{p'} \cdot \frac{p}{2}} \cdot \left( \sum_{i=1}^m |Z_i(y)|^{2 \cdot \frac{p}{2}} \right)^{\frac{2}{p} \cdot \frac{p}{2}} \\ &= m^{p/2-1} \cdot \sum_{i=1}^m |Z_i(y)|^p. \end{aligned}$$

So

$$\left\| \sum_{i=1}^m Z_i(y) \right\|_{L^p(\text{Pr})}^p = O \left( p^{p/2} m^{p/2-1} \cdot \mathbb{E}_{(z_1, \dots, z_m) \in A^m} \sum_{i=1}^m |Z_i(y)|^p \right).$$

Summing over all  $y \in G$ , we have

$$\mathbb{E}_{y \in G} \left\| \sum_{i=1}^m Z_i(y) \right\|_{L^p(\text{Pr})}^p = O \left( p^{p/2} m^{p/2-1} \mathbb{E}_{(z_1, \dots, z_m) \in A^m} \sum_{i=1}^m \mathbb{E}_{y \in G} |Z_i(y)|^p \right)$$

and  $(\mathbb{E}_{y \in G} |Z_i(y)|^p)^{1/p} = \|Z_i\|_{L^p(G)} = \|\tau_{-z_i} f - f * \mu_A\|_{L^p(G)} \leq \|\tau_{-z_i} f\|_{L^p(G)} + \|f * \mu_A\|_{L^p(G)} \leq \|f\|_{L^p(G)} + \|f\|_{L^p(G)} \cdot \|\mu_A\|_{L^1(G)} \leq 2\|f\|_{L^p(G)}$  by Young's convolution inequality. So we have

$$\begin{aligned}\mathbb{E}_{(z_1, \dots, z_m) \in A^m} \mathbb{E}_{y \in G} \left| \sum_{i=1}^m Z_i(y) \right|^p &= O \left( p^{p/2} m^{p/2-1} \sum_{i=1}^m (2\|f\|_{L^p(G)})^p \right) \\ &= O((4p)^{p/2} m^{p/2} \|f\|_{L^p(G)}^p).\end{aligned}$$

Choose  $m = O(\varepsilon^{-2}p)$  so that the RHS is at most  $(\frac{\varepsilon}{4}\|f\|_{L^p(G)})^p$ , and for  $(z_1, \dots, z_m) \in A^m$ , define

$$M_{(z_1, \dots, z_m)} := \mathbb{E}_{y \in G} \left| \frac{1}{m} \sum_{i=1}^m \tau_{-z_i} f(y) - (f * \mu_A)(y) \right|^p.$$

Then we have

$$\mathbb{E}_{(z_1, \dots, z_m) \in A^m} M_{(z_1, \dots, z_m)} = O((4p)^{p/2} m^{p/2} \|f\|_{L^p(G)}^p) = \left( \frac{\varepsilon}{4} \|f\|_{L^p(G)} \right)^p.$$

Also define

$$L = \left\{ z \in A^m : M_z \leq \left( \frac{\varepsilon}{2} \|f\|_{L^p(G)} \right)^p \right\}.$$

By Markov's inequality, since

$$\mathbb{E}_{z \in A^m} M_z \leq \left( \frac{\varepsilon}{4} \|f\|_{L^p(G)} \right)^p = 2^{-p} \left( \frac{\varepsilon}{2} \|f\|_{L^p(G)} \right)^p,$$

we have

$$\frac{|A^m \setminus L|}{|A^m|} = \Pr \left( M_z \geq \left( \frac{\varepsilon}{2} \|f\|_{L^p(G)} \right)^p \right) \leq \Pr(M_z \geq 2^p \mathbb{E}_{z \in A^m} M_z) \leq 2^{-p},$$

hence  $|L| \geq (1 - 1/2^p)|A|^m \geq \frac{1}{2}|A|^m$ . Let  $D = \{(b, \dots, b) : b \in B\} \subseteq B^m$ . Then  $L + D \subseteq (A + B)^m$ , and so

$$|L + D| \leq |A + B|^m \leq K^m |A|^m \leq 2K^m |L|.$$

By [Lemma 1.24](#),

$$E(L, D) \geq \frac{|L|^2 |D|^2}{|L + D|} \geq \frac{1}{2} K^{-m} |D|^2 |L|,$$

so there are at least  $|D|^2/2K^m$  pairs  $(d_1, d_2) \in D^2$  such that  $r_{L-L}(d_2 - d_1) > 0$ . In particular, there exists  $b \in B$  and  $X \subseteq B - b$  such that  $|X| \geq |D|/2K^m = |B|/2K^m$  and for all  $x \in X$ , there exists  $\ell_2(x) \in L$  such that for all  $i \in [m]$ ,  $\ell_1(x)_i - \ell_2(x)_i = x$ . But now for all  $x \in X$ , by the triangle inequality, we have,

$$\begin{aligned}
\|\tau_{-x}f * \mu_A - f * \mu_A\|_{L^p(G)} &\leq \left\| \tau_{-x}f * \mu_A - \tau_{-x} \left( \frac{1}{m} \sum_{i=1}^m \tau_{-\ell_2(x)_i} f \right) \right\|_{L^p(G)} \\
&\quad + \left\| \tau_{-x} \left( \frac{1}{m} \sum_{i=1}^m \tau_{-\ell_2(x)_i} f - f * \mu_A \right) \right\|_{L^p(G)} \\
&= \left\| f * \mu_A - \frac{1}{m} \sum_{i=1}^m \tau_{-\ell_2(x)_i} f \right\|_{L^p(G)} \\
&\quad + \left\| \frac{1}{m} \sum_{i=1}^m \tau_{-x-\ell_2(x)_i} f - f * \mu_A \right\|_{L^p(G)} \\
&\leq 2 \cdot \frac{\varepsilon}{2} \|f\|_{L^p(G)}
\end{aligned}$$

by definition of  $L$ . □

**Theorem 3.10** (Bogolyubov, after Sanders) Let  $A \subseteq \mathbb{F}_p^n$  have density  $\alpha > 0$ . There exists a subspace  $V \leq \mathbb{F}_p^n$  of codimension  $O((\log \alpha^{-1})^4)$  such that

$$V \subseteq (A + A) - (A + A)$$

## 4. Further topics

**Theorem 4.1** (Ellenberg-Gijswijt) If  $A \subseteq \mathbb{F}_3^n$  contains no non-trivial 3-term APs, then  $|A| = o(2.756^n)$ .

**Notation 4.2** Let  $M_n$  denote the set of monomials in  $x_1, \dots, x_n$  whose degree in each variable is at most 2.

**Notation 4.3** Let  $V_n$  denote the vector space of polynomials over  $\mathbb{F}_3$  whose basis is  $M_n$ .

**Notation 4.4** For any  $0 \leq d \leq 2n$ , let  $M_n^d$  denote the set of monomials in  $M_n$  of total degree at most  $d$ , and let  $V_n^d$  denote the corresponding vector space of polynomials. Write  $m_d = \dim(V_n^d) = |M_n^d|$ .

**Lemma 4.5** Let  $A \subseteq \mathbb{F}_3^n$  and  $P \in V_n^d$  be a polynomial. If  $P(a + b) = 0$  for all  $a \neq b \in A$ , then

$$|\{a \in A : P(2a) \neq 0\}| \leq 2m_{d/2}.$$

*Proof.* Every  $P \in V_n^d$  can be written as a linear combination of monomials in  $M_n^d$ , so

$$P(x + y) = \sum_{\substack{m, m' \in M_n^d \\ \deg(mm') \leq d}} c_{m, m'} m(x) m'(y)$$

for some coefficients  $c_{m, m'}$ . Clearly, at least one of  $m, m'$  must have degree  $\leq d/2$ , whence

$$P(x+y) = \sum_{m \in M_n^{d/2}} m(x)F_m(y) + \sum_{m' \in M_n^{d/2}} m'(y)G_{m'}(x),$$

for some families of polynomials  $\{F_m : m \in M_n^{d/2}\}$  and  $\{G_{m'} : m' \in M_n^{d/2}\}$ . Viewing  $(P(x+y))_{x,y \in A}$  as an  $|A| \times |A|$  matrix  $C$ , we see that  $C$  can be written as the sum of at most  $2m_{d/2}$  matrices, each of which has rank 1. Thus,  $\text{rank}(C) \leq 2m_{d/2}$ . But by assumption,  $C$  is diagonal, and so its rank is equal to  $|\{a \in A : P(a+a) \neq 0\}|$ .  $\square$

**Proposition 4.6** Let  $A \subseteq \mathbb{F}_3^n$  be a set containing no non-trivial 3-APs. Then  $|A| \leq 3m_{2n/3}$ .

*Proof.* Let  $d \in [0, 2n]$  be an integer which we will determine later. Let  $W$  be the space of polynomials in  $V_n^d$  that vanish in  $(2 \cdot A)^c$ . We have  $\dim(W) \geq \dim(V_n^d) - |(2 \cdot A)^c| = m_d - (3^n - |A|)$ .

We claim that there exists  $P \in W$  such that  $|\text{supp}(P)| \geq \dim(W)$ . Indeed, pick  $P \in W$  with maximal support. If  $|\text{supp}(P)| < \dim(W)$ , then there would be a non-zero polynomial  $Q \in W$  vanishing on  $\text{supp}(P)$ , in which case  $\text{supp}(P+Q) \supsetneq \text{supp}(P)$ , contradicting the maximality of  $\text{supp}(P)$ .

Now by assumption,  $\{a + a' : a \neq a' \in A\} \cap 2 \cdot A = \emptyset$ , so any polynomial that vanishes on  $(2 \cdot A)^c$  also vanishes on  $\{a + a' : a \neq a' \in A\}$ . Thus by above lemma,

$$\begin{aligned} m_d - (3^n - |A|) &\leq \dim(W) \leq |\text{supp}(P)| = |\{x \in \mathbb{F}_3^n : P(x) \neq 0\}| \\ &= |\{a \in A : P(2a) \neq 0\}| \leq 2m_{d/2}. \end{aligned}$$

Hence,  $|A| \leq 3^n - m_d + 2m_{d/2}$ . But the monomials in  $M_n \setminus M_n^d$  are in bijection with the ones in  $M_{2n-d}$  by  $x_1^{\alpha_1} \dots x_n^{\alpha_n} \leftrightarrow x_1^{2-\alpha_1} \dots x_n^{2-\alpha_n}$ , whence  $3^n - m_d = m_{2n-d}$ . Thus, setting  $d = 4n/3$ , we have

$$|A| \leq m_{2n/3} + 2m_{2n/3} = 3m_{2n/3}.$$

$\square$

**Example 4.7** Recall from (find lemma) that given  $A \subseteq G$ ,

$$|T_3(\mathbb{1}_A, \mathbb{1}_A, \mathbb{1}_A) - \alpha^3| \leq \sup_{\gamma \neq 1} |\hat{\mathbb{1}}_A(\gamma)|.$$

However, it is impossible to bound  $T_4(\mathbb{1}_A, \mathbb{1}_A, \mathbb{1}_A, \mathbb{1}_A) - \alpha^4$ , where

$$T_4(\mathbb{1}_A, \mathbb{1}_A, \mathbb{1}_A, \mathbb{1}_A) = \mathbb{E}_{x,d} \mathbb{1}_A(x) \mathbb{1}_A(x+d) \mathbb{1}_A(x+2d) \mathbb{1}_A(x+3d),$$

by  $\sup_{\gamma \neq 1} |\hat{\mathbb{1}}_A(\gamma)|$ . Indeed, consider  $Q = \{x \in \mathbb{F}_p^n : x \cdot x = 0\}$ . By (find example),  $|Q|/p^n = 1/p + O(p^{-n/2})$  and  $\sup_{t \neq 0} |\hat{\mathbb{1}}_Q(t)| = O(p^{-n/2})$ . But given a 3-AP  $x, x+d, x+2d \in Q$ , by the identity

$$\forall x, d, \quad x^2 - 3(x+d)^2 + 3(x+2d)^2 - (x+3d)^2 = 0,$$

$x+3d$  automatically lies in  $Q$ , so  $T_4(\mathbb{1}_A, \mathbb{1}_A, \mathbb{1}_A, \mathbb{1}_A) = T_3(\mathbb{1}_A, \mathbb{1}_A, \mathbb{1}_A) = (1/p)^3 + O(p^{-n/2})$ .

**Definition 4.8** Given  $f : G \rightarrow \mathbb{C}$ , define its  $U^2$ -norm by

$$\|f\|_{U^2(G)}^4 = \mathbb{E}_{x,a,b \in G} f(x) \overline{f(x+a)} \overline{f(x+b)} f(x+a+b)$$

By (find example), we have  $\|f\|_{U^2(G)} = \|\hat{f}\|_{\ell^4(\widehat{G})}$ , so it is indeed a norm.

**Lemma 4.9** Let  $f_1, f_2, f_3 : G \rightarrow \mathbb{C}$ . Then

$$|T_3(f_1, f_2, f_3)| \leq \min_{i \in [3]} \left( \|f_i\|_{U^2(G)} \cdot \prod_{j \neq i} \|f_j\|_{L^\infty(G)} \right).$$

Note that

$$\sup_{\gamma \in \widehat{G}} |\hat{f}(\gamma)|^4 \leq \sum_{\gamma \in \widehat{G}} |\hat{f}(\gamma)|^4 \leq \sup_{\gamma \in \widehat{G}} |\hat{f}(\gamma)|^2 \sum_{\gamma \in \widehat{G}} |\hat{f}(\gamma)|^2$$

and so by Parseval,

$$\|\hat{f}\|_{\ell^\infty(\widehat{G})} = \|f\|_{U^2(G)}^4 = \|\hat{f}\|_{\ell^\infty(\widehat{G})}^4 \leq \|\hat{f}\|_{\ell^\infty(\widehat{G})}^2 \cdot \|f\|_{L^2(G)}^2.$$

Moreover, if  $f = f_A = \mathbb{1}_A - \alpha$ , then

$$T_3(f, f, f) = T_3(\mathbb{1}_A - \alpha, \mathbb{1}_A - \alpha, \mathbb{1}_A - \alpha) = T_3(\mathbb{1}_A, \mathbb{1}_A, \mathbb{1}_A) - \alpha^3.$$

We may therefore reformulate the first step in the proof of [Meshulam](#) as follows: if  $p^n \geq 2\alpha^{-2}$ , then by (find lemma),  $\frac{\alpha^3}{2} \leq \left| \frac{\alpha}{p^n} - \alpha^3 \right| = |T_3(f_A, f_A, f_A)| \leq \|f_A\|_{U^2(\mathbb{F}_p^n)}$ . It remains to show that if  $\|f_A\|_{U^2(\mathbb{F}_p^n)}$  is non-trivial, then there exists a subspace  $V \leq \mathbb{F}_p^n$  of bounded codimension on which  $A$  has increased density.

**Theorem 4.10** ( $U^2$  Inverse Theorem) Let  $f : \mathbb{F}_p^n \rightarrow \mathbb{C}$  satisfy  $\|f\|_{L^\infty(\mathbb{F}_p^n)} \leq 1$  and  $\|f\|_{U^2(\mathbb{F}_p^n)} \geq \delta$  for some  $\delta > 0$ . Then there exists  $b \in \mathbb{F}_p^n$  such that

$$\left| \mathbb{E}_{x \in \mathbb{F}_p^n} f(x) e(-x \cdot b/p) \right| \geq \delta^2.$$

In other words,  $\langle f, \varphi \rangle \geq \delta^2$  for  $\varphi(x) = e(-x \cdot b/p)$ . We say “ $f$  correlates with a linear phase function”.

*Proof.* We have seen that  $\|f\|_{U^2(\mathbb{F}_p^n)} \leq \|\hat{f}\|_{\ell^\infty(\widehat{\mathbb{F}_p^n})} \|f\|_{L^2(\mathbb{F}_p^n)} \leq \|\hat{f}\|_{\ell^\infty(\widehat{\mathbb{F}_p^n})}$ . So

$$\delta^2 \leq \|\hat{f}\|_{\ell^\infty(\widehat{\mathbb{F}_p^n})} = \sup_{t \in \widehat{\mathbb{F}_p^n}} \left| \mathbb{E}_{x \in \mathbb{F}_p^n} f(x) e(-x \cdot t/p) \right|.$$

□

**Definition 4.11** Given  $f : G \rightarrow \mathbb{C}$ , the  $U^3$  norm of  $f$  is defined by

$$\begin{aligned}
\|f\|_{U^3(G)}^8 &= \mathbb{E}_{x,a,b,c \in G} f(x) \overline{f(x+a)} \overline{f(x+b)} \overline{f(x+c)} \\
&\quad f(x+a+b) f(x+b+c) f(x+a+c) \overline{f(x+a+b+c)} \\
&= \mathbb{E}_{x,h_1,h_2,h_3 \in G} \prod_{\varepsilon \in \{0,1\}^3} \mathcal{C}^{|\varepsilon|} f(x + \varepsilon \cdot h),
\end{aligned}$$

where  $\mathcal{C}g(x) = \overline{g(x)}$  and  $|\varepsilon| = |\{i \in [3] : \varepsilon_i = 1\}|$  is the number of 1's in  $\varepsilon$ .

TODO: insert diagram of cube with vertices  $x, x+a, \dots, x+a+b+c$ .

**Remark 4.12** It is easy to verify that  $\|f\|_{U^3(G)}^8 = \mathbb{E}_{c \in G} \|\Delta_c f\|_{U^2(G)}^4$  where  $\Delta_c g(x) = g(x) \overline{g(x+c)}$ .

**Definition 4.13** Given eight functions  $f_\varepsilon : G \rightarrow \mathbb{C}$  for  $\varepsilon \in \{0,1\}^3$ , define their  **$U^3$  inner product** by

$$\langle (f_\varepsilon)_{\varepsilon \in \{0,1\}^3} \rangle_{U^3(G)} := \mathbb{E}_{x,h_1,h_2,h_3 \in G} \prod_{\varepsilon \in \{0,1\}^3} \mathcal{C}^{|\varepsilon|} f_\varepsilon(x + \varepsilon \cdot h)$$

Observe that  $\langle f, f, f, f, f, f, f, f \rangle_{U^3(G)} = \|f\|_{U^3(G)}^8$ .

**Lemma 4.14** (Gowers-Cauchy-Schwarz Inequality) Given  $f_\varepsilon : G \rightarrow \mathbb{C}$  for  $\varepsilon \in \{0,1\}^3$ ,

$$\left| \langle (f_\varepsilon)_{\varepsilon \in \{0,1\}^3} \rangle_{U^3(G)} \right| \leq \prod_{\varepsilon \in \{0,1\}^3} \|f_\varepsilon\|_{U^3(G)}.$$

*Proof.* Exercise (helpful to do for  $U^2$  first). □

**Remark 4.15** Setting  $f_\varepsilon = f$  for  $\varepsilon \in \{0,1\}^2 \times \{0\}$  and  $f_\varepsilon = 1$  otherwise, it follows that

$$\|f\|_{U^2(G)}^4 \leq \|f\|_{U^3(G)}^4 \quad \text{hence} \quad \|f\|_{U^2(G)} \leq \|f\|_{U^3(G)}.$$

**Proposition 4.16** Let  $f_1, f_2, f_3, f_4 : \mathbb{F}_5^n \rightarrow \mathbb{C}$ . Then

$$|T_4(f_1, f_2, f_3, f_4)| \leq \min_{i \in [4]} \|f_i\|_{U^3(G)} \cdot \prod_{j \neq i} \|f_j\|_{L^\infty(\mathbb{F}_5^n)}.$$

*Proof.* Assume  $f_i = f$  for all  $i$  and that  $\|f\|_{L^\infty(\mathbb{F}_5^n)} \leq 1$  (we can remove these assumptions). Reparameterising (by subtracting  $a+b+c+d$ ), we have

$$T_4(f, f, f, f) = \mathbb{E}_{a,b,c,d \in \mathbb{F}_5^n} f(3a+2b+c) f(2a+b-d) f(a-c-2d) f(-b-2c-3d)$$

Now

$$\begin{aligned}
|T_4(f, f, f, f)|^8 &\leq \left( \mathbb{E}_{a,b,c} |\mathbb{E}_d f(2a+b-d) f(a-c-2d) f(-b-2c-3d)|^2 \right)^4 \text{ by C-S} \\
&\quad \left( \mathbb{E}_{d,d'} \mathbb{E}_{a,b} f(2a+b-d) \overline{f(2a+b-d')} \right)^4 \\
&= \cdot \mathbb{E}_c f(a-c-2d) \overline{f(a-c-2d')} f(-b-2c-3d) \overline{f(-b-2c-3d')} \\
&\leq \mathbb{E}_{d,d'} \mathbb{E}_{a,b} \left| \mathbb{E}_c f(a-c-2d) \overline{f(a-c-2d')} f(-b-2c-3d) \overline{f(-b-2c-3d')} \right|^2 \\
&\quad \left( \mathbb{E}_{c,c',d,d'} \mathbb{E}_a f(a-c-2d) \overline{f(a-c'-2d)} f(a-c-2d') \overline{f(a-c'-2d')} \right)^2 \\
&= \cdot \mathbb{E}_b f(-b-2c-3d) \overline{f(-b-2c'-3d)} f(-b-2c-3d') \overline{f(-b-2c'-3d')} \\
&\leq \mathbb{E}_{c,c',d,d',a} \left| \mathbb{E}_b f(-b-2c-3d) \overline{f(-b-2c'-3d)} f(-b-2c-3d') \overline{f(-b-2c'-3d')} \right|^2 \\
&= \mathbb{E}_{b,b',c,c',d,d'} f(-b-2c-3d) \overline{f(-b'-2c-3d)} f(-b-2c'-3d) \overline{f(-b'-2c'-3d)} \\
&\quad \overline{f(-b-2c-3d')} f(-b'-2c-3d') f(-b-2c'-3d') \overline{f(-b'-2c'-3d')}
\end{aligned}$$

where all the inequalities are by Cauchy-Schwarz.  $\square$

**Example 4.17** Let  $M$  be an  $\mathbb{F}_5^{n \times n}$  be a symmetric matrix. Then  $f(x) = e(x^T M x / 5)$  satisfies  $\|f\|_{U^3} = 1$ .

**Theorem 4.18** ( $U^3$  Inverse Theorem) Let  $f : \mathbb{F}_5^n \rightarrow \mathbb{C}$  satisfy  $\|f\|_{L^\infty(\mathbb{F}_5^n)} \leq 1$  and  $\|f\|_{U^3(\mathbb{F}_5^n)} \geq \delta$  for some  $\delta > 0$ . Then there exists a symmetric matrix  $M \in \mathbb{F}_5^{n \times n}$  and  $b \in \mathbb{F}_5^n$  such that

$$|\mathbb{E}_x f(x) e(x^T M x + b^T x / p)| \geq c(\delta),$$

where  $c(\delta)$  is a polynomial in  $\delta$ . In other words,  $|\langle f, \varphi \rangle| \geq c(\delta)$  for  $\varphi(x) = e(x^T M x + b^T x / p)$ , and we say “ $f$  correlates with a quadratic phase function”.

*Proof sketch.* We have  $\|f\|_{U^3}^8 = \mathbb{E}_h \|\Delta_h f\|_{U^2}^4$  where  $\Delta_h f(x) = f(x) \overline{f(x+h)}$ .

1. Weak linearity: if  $\|f\|_{U^3}^8 \geq \delta^8$ , then for at least a  $\delta^8/2$ -proportion of  $h \in \mathbb{F}_5^n$ ,  $\delta^8/2 \leq \|\Delta_h f\|_{U^2}^4 \leq \|\widehat{\Delta_h f}\|_{\ell^\infty}^2$ . So for each such  $h \in \mathbb{F}_5^n$ , there exists  $t_h$  such that  $|\widehat{\Delta_h f}(t_h)|^2 \geq \delta^8/2$ . We have

**Proposition 4.19** Let  $f : \mathbb{F}_5^n \rightarrow \mathbb{C}$  satisfy  $\|f\|_{L^\infty(\mathbb{F}_5^n)} \leq 1$  and  $\|f\|_{U^3(\mathbb{F}_5^n)} \geq \delta$  for some  $\delta > 0$ . Suppose  $|\mathbb{F}_5^n| = \Omega_\delta(1)$ . Then there exists  $S \subseteq \mathbb{F}_5^n$  with  $|S| = \Omega_\delta(|\mathbb{F}_5^n|)$  and a function  $\varphi : S \rightarrow \widehat{\mathbb{F}_5^n}$  such that:

- $|\widehat{\Delta_h f}(\varphi(h))| = \Omega_\delta(1)$ , and
- There are at least  $\Omega_\delta(|\mathbb{F}_5^n|^3)$  quadruples  $(s_1, s_2, s_3, s_4) \in S^4$  such that  $s_1 + s_2 = s_3 + s_4$  and  $\varphi(s_1) + \varphi(s_2) = \varphi(s_3) + \varphi(s_4)$ .

2. Strong linearity. If  $S$  and  $\varphi$  are as above, then there is a linear function  $\psi : \mathbb{F}_5^n \rightarrow \widehat{\mathbb{F}_5^n}$  which coincides with  $\varphi$  for many elements of  $S$ . We have

**Proposition 4.20** Let  $S$  and  $\varphi$  be as given by above proposition. Then there exists a  $M \in \mathbb{F}_5^{n \times n}$  and  $b \in \mathbb{F}_5^n$  such that  $\psi : \mathbb{F}_5^n \rightarrow \widehat{\mathbb{F}_5^n}$ ,  $\psi(x) = Mx + b$  satisfies  $\psi(x) = \varphi(x)$  for  $\Omega_\delta(|\mathbb{F}_5^n|)$  elements  $x \in S$ .

*Proof.* Consider the graph of  $\varphi$ :  $\Gamma = \{(h, \varphi(h)) : h \in S\} \subseteq \mathbb{F}_5^n \times \widehat{\mathbb{F}}_5^n$ . By above proposition,  $\Gamma$  has  $\Omega_\delta(|\mathbb{F}_5^n|)$  additive quadruples. By Balog-Szemerédi-Gowers, there exists  $\Gamma' \subseteq \Gamma$  with  $|\Gamma'| = \Omega_\delta(|\Gamma|) = \Omega_\delta(|\mathbb{F}_5^n|)$  and  $|\Gamma' + \Gamma'| = O_\delta(|\Gamma'|)$ . Define  $S' \subseteq S$  by  $\Gamma' = \{(h, \varphi(h)) : h \in S'\}$ . Note that  $|S'| = \Omega_\delta(|\mathbb{F}_5^n|)$ . By Freiman-Ruzsa applied to  $\Gamma' \subseteq \mathbb{F}_5^n \times \widehat{\mathbb{F}}_5^n$ , there exists a subspace  $H \leq \mathbb{F}_5^n \times \widehat{\mathbb{F}}_5^n$  with  $|H| = O_\delta(|\Gamma'|) = O_\delta(|\mathbb{F}_5^n|)$  such that  $\Gamma' \subseteq H$ .

Denote by  $\pi : \mathbb{F}_5^n \times \widehat{\mathbb{F}}_5^n$  the projection onto the first  $n$  coordinates. By construction,  $\pi(H) \supseteq S'$ . Moreover, since  $|S'| = \Omega_\delta(|\mathbb{F}_5^n|)$ , we have

$$|\ker(\pi|_H)| = \frac{|H|}{|\text{im}(\pi|_H)|} \leq \frac{O_\delta(|\mathbb{F}_5^n|)}{|S'|} = O_\delta(1).$$

We may thus partition  $H$  into  $O_\delta(1)$  cosets of some subspace  $H^*$  such that  $\pi|_H$  is injective on each coset. By averaging, there exists a coset  $x + H^*$  such that  $|\Gamma' \cap (x + H^*)| = \Omega_\delta(|\Gamma'|) = \Omega_\delta(|\mathbb{F}_5^n|)$ .

Set  $\Gamma'' = \Gamma' \cap (x + H^*)$  and define  $S''$  accordingly. Now  $\pi|_{x+H^*}$  is injective and surjective onto  $V := \text{im}(\pi|_{x+H^*})$ . This means there is an affine-linear map  $\psi : V \rightarrow \widehat{\mathbb{F}}_5^n$  such that  $(h, \psi(h)) \in \Gamma'$  for all  $h \in S''$ .  $\square$

3. Symmetry argument.

4. Integration step.

**Theorem 4.21** (Szemerédi's Theorem for 4-APs) Let  $A \subseteq \mathbb{F}_5^n$  be a set containing no non-trivial 4-APs. Then  $|A| = O(5^n)$ .

*Proof.* Idea: by above proposition with  $f = f_A = \mathbb{1}_A - \alpha$ ,

$$T_4(\mathbb{1}_A, \mathbb{1}_A, \mathbb{1}_A, \mathbb{1}_A) - \alpha^4 = T_4(f_A, f_A, f_A, f_A) + 14 \text{ other terms},$$

in which between one and three of the inputs are equal to  $f_A$ . These are controlled by  $\|f_A\|_{U^2(\mathbb{F}_5^n)} \leq \|f_A\|_{U^3(\mathbb{F}_5^n)}$ , whence

$$|T_4(\mathbb{1}_A, \mathbb{1}_A, \mathbb{1}_A, \mathbb{1}_A) - \alpha^4| \leq 15\|f_A\|_{U^3(\mathbb{F}_5^n)}$$

So if  $A$  contains no non-trivial 4-APs and  $5^n > 2\alpha^{-3}$ , then  $\|f_A\|_{U^3(\mathbb{F}_5^n)} \geq \frac{\alpha^4}{30}$ . What can we say about functions with large  $U^3$  norm?  $\square$