## 1. The action principle

- For small  $\delta s \in \mathbb{R}$ ,  $f(s+\delta s) = f(s) + \frac{df(s)}{ds}\delta s + R(s,\delta s)$  With  $\delta f := f(s+\delta s) f(s)$ ,  $\delta f = \frac{df(s)}{ds}\delta s + R(s,\delta s)$ , with

$$\lim_{\delta s \to 0} \frac{R(s,\delta s)}{\delta s} = 0$$

So  $\delta f$  vanishes to first order in  $\delta s$ , so  $R(s,\delta s)$  can be written as  $O\!\left((\delta s)^2\right)$ . At the extrema of  $f,\frac{df(s)}{ds}=0$  so  $\delta f=O\!\left((\delta s)^2\right)$ 

- **Functional**: map from functions to  $\mathbb{R}$
- y(t) stationary for functional S if

$$\frac{dS[y(t) + \varepsilon z(t)]}{de} \mid_{\varepsilon = 0} = 0$$

for every smooth z(t) with z(a)=z(b)=0. We use the notation  $\delta y(t)=\varepsilon z(t)$ . y(t) is called a path.

• Action principle (variational principle): paths described by particles are stationary paths of S:

$$\delta S := S[x + \delta x] - S[x] = O((\delta x)^2)$$

for arbitrary smooth small deformations  $\delta x(t)$  around true path x(t).

• Fundamental lemma of the calculus of variations: Let f(x) be continuous in [a, b]and

$$\int_a^b f(x)g(x)\,\mathrm{d}x = 0$$

for every smooth g(x) in [a, b] with g(a) = g(b) = 0. Then f(x) = 0 in [a, b].

Notation:

$$\frac{\partial L}{\partial x} = \frac{\partial L(r,s)}{\partial r} \mid_{(r,s) = (x(t),\dot{x}(t))}, \quad \frac{\partial L}{\partial \dot{x}} = \frac{\partial L(r,s)}{\partial s} \mid_{(r,s) = (x(t),\dot{x}(t))}$$

• For a path q and a Lagrangian  $L(q,\dot{q})$ , the action for the path is

$$S = \int_{t_0}^{t_1} L(\underline{q}(t), \underline{\dot{q}}(t)) dt$$

• The action above satisfies

$$0 = \delta S = \int_{t_0}^{t_1} \left( \sum_{i=1}^{N} \frac{\partial L}{\partial q_i} \delta q_i + \sum_{i=1}^{N} \frac{\partial L}{\partial \dot{q}_i} \delta \dot{q}_i \right) \mathrm{d}t$$

• Euler-Lagrange equation:

$$\frac{\partial L}{\partial x} - \frac{d}{dt} \left( \frac{\partial L}{\partial \dot{x}} \right) = 0$$

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• The arguments in a Lagrangian, x and  $\dot{x}$ , are independent:

$$\frac{\partial x}{\partial \dot{x}} = \frac{\partial \dot{x}}{\partial x} = 0$$

- Configuration space,  $\mathcal{C}$ : set of all possible instantaneous confingrations of a physical system. (Includes positions but not velocities).
- For configuration space  $\mathcal{C}$  of system  $\mathcal{S}$ , S has  $\dim(\mathcal{C})$  degrees of freedom.
- Generalised coordinates: A set of coordinates in configuration space.
- Notation: *q* shows results holds for arbitrary choices of generalised coordinates.
- Euler-Lagrange equation for configuration space  $\mathcal{C}$ :

$$\frac{\partial L}{\partial q_i} - \frac{d}{dt} \Bigg( \frac{\partial L}{\partial \dot{q}_i} \Bigg) = 0 \quad \forall i \in \{1,...,\dim(\mathcal{C})\}$$

• For system with kinetic energy  $T(\underline{q},\underline{\dot{q}})$  and potential energy  $V(\underline{q})$ , the Lagrangian for the system is

$$L\big(q,\dot{q}\big) = T\big(q,\dot{q}\big) - V\big(q\big)$$

• Ignorable coordinate  $q_i$ : Lagrangian does not depend on  $q_i$ :

$$\frac{\partial L\left(\boldsymbol{q}_{1},...\boldsymbol{q}_{N},\dot{\boldsymbol{q}}_{1},...\dot{\boldsymbol{q}}_{N}\right)}{\partial \boldsymbol{q}_{i}}=0$$

• Generalised momentum of coordinate  $q_i$ :

$$\boldsymbol{p}_i\coloneqq\frac{\partial L}{\partial \dot{q}_i}$$

• Generalised momentum of ignorable coordinate is conserved.

## 2. Symmetries, Noether's theorem and conservation laws

• Transformation depending on  $\varepsilon$ : family of smooth maps  $\varphi(\varepsilon): \mathcal{C} \to \mathcal{C}$  with  $\varphi(0)$  the identity map. Can be written as

$$\boldsymbol{q_i} \rightarrow \boldsymbol{q_i}' = \boldsymbol{\phi_i} \big(\boldsymbol{q_1},...,\boldsymbol{q_N}, \boldsymbol{\varepsilon} \big)$$

where the  $\phi_i$  are a set of  $N=\dim(\mathcal{C})$  functions representing the transformation in the given coordinate system. Change in velocities is

$$\dot{q}_i 
ightarrow rac{d}{dt} \phi_i$$

• Generator of  $\varphi$ :

$$\frac{d\varphi(\varepsilon)}{d\varepsilon}\mid_{\varepsilon=0}=\varphi'(0)$$

• In any coordinate system,

$$\boldsymbol{q}_i \rightarrow \boldsymbol{\phi}_i \big(\underline{\boldsymbol{q}}, \boldsymbol{\varepsilon}\big) = \boldsymbol{q}_i + \boldsymbol{\varepsilon} \boldsymbol{a}_i \big(\underline{\boldsymbol{q}}\big) + O(\boldsymbol{\varepsilon}^2)$$

where

$$a_i = rac{\partial \phi_i \left( \underline{q}, arepsilon 
ight)}{\partial arepsilon} \mid_{arepsilon = 0}$$

So the generator of the transformation is  $a_i$ .

· For velocities,

$$\dot{\boldsymbol{q}}_i \rightarrow \dot{\boldsymbol{q}}_i + \varepsilon \dot{\boldsymbol{a}}_i \big(\boldsymbol{q}_1,...,\boldsymbol{q}_N,\dot{\boldsymbol{q}}_1,...,\dot{\boldsymbol{q}}_N\big) + O(\varepsilon^2)$$

generated by  $\dot{a}_i$ .

• Equations of motion don't change when total derivative of function of coordinates and time is added to Lagrangian:

$$L \rightarrow L + \frac{dF \left(q_1,...,q_N,t\right)}{dt}$$

doesn't change equations of motion.

• Transformation  $\varphi(\varepsilon)$  is **symmetry** if for some F(q,t),

$$L \rightarrow L' = L \big( \phi \big( q_1, \varepsilon \big), ..., \phi \big( q_N, \varepsilon \big) \big) = L + \varepsilon \frac{dF \big( q_1, ..., q_N, t \big)}{dt} + O(\varepsilon^2)$$

F(q,t) defined up to a constant.

- For ignorable coordinate  $q_i$ , transformation  $q_i \to q_i + c_i$  is symmetry since  $q_i$  doesn't appear in Lagrangian and  $\dot{q}_i$  stays invariant. So F=0 here and  $a_k=\delta_{ik}$ .
- Noether's theorem: Let a symmetric transformation be generated by  $a_i \big( q_1, ..., q_N \big)$ , so

$$L \rightarrow L + \varepsilon \frac{dF \left(q_1, ..., q_N, t\right)}{dt} + O (\varepsilon^2)$$

Then

$$Q \coloneqq \left(\sum_{i=1}^N a_i \frac{\partial L}{\partial \dot{q}_i}\right) - F$$

is conserved (so  $\frac{dQ}{dt} = 0$ ).

- Q is called **Noether charge**.
- Given Lagrangian  $L(q,\dot{q},t)$ , energy is

$$E \coloneqq \left(\sum_{i=1}^N \dot{q}_i \frac{\partial L}{\partial \dot{q}_i}\right) - L$$

• Along path q(t) satisfying equations of motion,

$$\frac{dE}{dt} = -\frac{\partial L}{\partial t}$$

• So energy conserved iff Lagrangian doesn't depend explicitly on time.

## 3. Normal modes

• Canonical kinetic term: of the form  $T = \frac{1}{2} \sum_{i=1}^{n} \dot{q}_{i}^{2}$ .

• Normal mode: solution to  $\ddot{\underline{q}}+A\underline{q}=0$ , associated with eigenvalue  $\lambda^{(i)}>0$  of A, of form

$$\underline{q}(t) = \underline{v}^{(i)} \bigg( \alpha^{(i)} \cos \! \left( \sqrt{\lambda^{(i)}} t \right) + \beta^{(i)} \sin \! \left( \sqrt{\lambda^{(i)}} t \right) \bigg)$$

• **Zero mode**: solution to  $\ddot{q} + Aq = 0$ , associated with eigenvalue  $\lambda^{(i)} = 0$  of A, of form

$$q(t) = \underline{v}^{(i)} \left(\alpha^{(i)}t + \beta^{(i)}\right)$$

• Instability: solution to  $\ddot{q}+Aq=0$ , associated with eigenvalue  $\lambda^{(i)}<0$  of A, of form

$$\underline{q}(t) = \underline{v}^{(i)} \bigg( \alpha^{(i)} \cosh \bigg( \sqrt{-\lambda^{(i)}} t \bigg) + \beta^{(i)} \sinh \bigg( \sqrt{-\lambda^{(i)}} t \bigg) \bigg)$$

• When no instabilities, general solution is superposition (sum) of normal modes and zero modes.

## 4. Fields and the wave equation

· Generalised Euler-Lagrange equations for fields:

$$\frac{\partial \mathcal{L}}{\partial u} - \frac{\partial}{\partial x} \left( \frac{\partial \mathcal{L}}{\partial u_x} \right) - \frac{\partial}{\partial t} \left( \frac{\partial \mathcal{L}}{\partial u_t} \right) = 0$$

and for n fields  $u^{(i)}$ :

$$\frac{\partial \mathcal{L}}{\partial u^{(i)}} - \frac{\partial}{\partial x} \left( \frac{\partial \mathcal{L}}{\partial u_x^{(i)}} \right) - \frac{\partial}{\partial t} \left( \frac{\partial \mathcal{L}}{\partial u_t^{(i)}} \right) = 0 \quad \forall i$$

• If fields don't depend on (t, x) but on d coordinates  $x_i$ ,

$$rac{\partial \mathcal{L}}{\partial u^{(i)}} - \sum_{k=1}^d rac{\partial}{\partial x_k} \Biggl(rac{\partial \mathcal{L}}{\partial u_k^{(i)}}\Biggr)$$

where  $u_k^{(i)}=\frac{\partial u^{(i)}}{\partial x_k}$  • Massless scalar field Lagrangian:

$$\mathcal{L} = \frac{1}{2}\rho u_t^2 - \frac{1}{2}\tau u_x^2$$

 $\rho$  is **density**,  $\tau$  is **tension**. The field u is the **massless scalar**.

• Equation of motion for massless scalar field is

$$\rho u_{tt} - \tau u_{xx} = 0$$

which rearranges to wave equation:

$$u_{tt} = c^2 u_{xx}$$

where  $c^2 = \tau / \rho$ .

• D'Alembert's solution to wave equation:

$$u(x,t) = f(x - ct) + g(x + ct)$$

f(x-ct) corresponds to a wave moving to the right with speed c, g(x+ct) corresponds to a wave moving to the left with speed c.

• If  $u(x,0) = \varphi(x)$  and  $u_t(x,0) = \psi(x)$  then

$$u(x,t) = \frac{1}{2}(\varphi(x-ct) + \varphi(x+ct)) + \frac{1}{2c} \int_{x-ct}^{x+ct} \psi(s) \, \mathrm{d}s$$

• In field theory, symmetry is transformation

$$u \to u' = u + \varepsilon a(u)$$

such that  $\delta \mathcal{L} = O(\varepsilon^2)$ . a(u) generates the transformation.

- Note: often,  $x_0$  chosen to be t.
- Let  $u_i = \frac{\partial u}{\partial x_i}$ , generalised momentum vector is

$$\underline{\Pi} \coloneqq \left(\frac{\partial \mathcal{L}}{\partial u_0}, ..., \frac{\partial \mathcal{L}}{\partial u_d}\right)$$

• Noether current associated to transformation generated by a is

$$J=a\Pi$$

• If  $\underline{J}$  associated to symmetry,

$$\underline{\nabla} \cdot \underline{J} = \sum_{i=0}^{d} \frac{\partial J_i}{\partial x_i} = 0$$

• (Noether) charge density:

$$Q := J_0$$

• For d = 1, charge contained in interval (a, b):

$$Q_{(a,b)} = \int_a^b \mathcal{Q} \, \mathrm{d}x$$

• For d = 1,

$$\frac{dQ_{(a,b)}}{dt}=J_1(a)-J_1(b)$$

• Noehter charge is total charge over all space. For d=1:

$$Q \coloneqq Q_{(-\infty,\infty)} = \int_{-\infty}^{\infty} J_0 \, \mathrm{d}x$$

• If d=1 and  $\lim_{x \to \pm \infty} J_1 = 0$ ,

$$\frac{dQ}{dt} = 0$$

• Energy-momentum tensor:

$$T_{ij} \coloneqq \frac{\partial \mathcal{L}}{\partial u_i} \frac{\partial u}{\partial x_i} - \delta_{ij} \mathcal{L}$$

• Energy density:

$$\mathcal{E} \coloneqq T_{00}$$

• Conservation law for energy-momentum tensor:

$$\sum_{j=0}^{d} \frac{\partial T_{ij}}{\partial x_j} = 0$$

• Dirichlet boundary condition for wave equation:  $u_t(0,t)=0$  (so u(0,t)=0 as u has shift symmetry) which gives

$$u(x,t) = f(x - ct) - f(-x - ct)$$

Here, waves reflected off boundary and turned upside down.

• Neumann (free) boundary condition:  $u_r(0,t) = 0$  which gives

$$u(x,t) = f(x-ct) + f(-x-ct)$$

So waves reflected off boundary and not turned upside down.

- Junction conditions:
  - *u* continuous at 0:

$$\lim_{\varepsilon \to 0^+} u(\varepsilon,t) = \lim_{\varepsilon \to 0^-} u(\varepsilon,t)$$

• Energy conservation across junction:

$$\frac{d}{dt} \Big( \lim_{\varepsilon \to 0} T(-\varepsilon, \varepsilon) \Big) = \lim_{\varepsilon \to 0} \left( T_{tx} \right)_{x = -\varepsilon} - \lim_{\varepsilon \to 0} \left( T_{tx} \right)_{x = \varepsilon}$$

• Ansatz for wave function with spring at junction at x = 0:

$$u(x,t) = \begin{cases} \operatorname{Re}((e^{ipx} + Re^{-ipx})e^{-ipct}) & \text{if } x \leq 0 \\ \operatorname{Re}(Te^{ip(x-ct)}) & \text{if } x > 0 \end{cases}$$