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# 1. Monochromatic sets

## 1.1. Ramsey's theorem

**Notation 1.1.1**  $\mathbb{N}$  denotes the set of positive integers,  $[n] = \{1, \dots, n\}$ , and  $X^{(r)} = \{A \subseteq X : |A| = r\}$ . Elements of a set are written in ascending order, e.g.  $\{i, j\}$  means  $i < j$ . Write e.g.  $ijk$  to mean the set  $\{i, j, k\}$  with the ordering (unless otherwise stated)  $i < j < k$ .

**Definition 1.1.2** A  $k$ -colouring on  $A^{(r)}$  is a function  $c : A^{(r)} \rightarrow [k]$ .

### Example 1.1.3

- Colour  $\{i, j\} \in \mathbb{N}^{(2)}$  red if  $i + j$  is even and blue if  $i + j$  is odd. Then  $M = 2\mathbb{N}$  is a monochromatic subset.
- Colour  $\{i, j\} \in \mathbb{N}^{(2)}$  red if  $\max\{n \in \mathbb{N} : 2^n \mid (i + j)\}$  is even and blue otherwise.  $M = \{4^n : n \in \mathbb{N}\}$  is a monochromatic subset.
- Colour  $\{i, j\} \in \mathbb{N}^{(2)}$  red if  $i + j$  has an even number of distinct prime divisors and blue otherwise. No explicit monochromatic subset is known.

**Theorem 1.1.4** (Ramsey's Theorem for Pairs) Let  $\mathbb{N}^{(2)}$  be 2-coloured by  $c : \mathbb{N}^{(2)} \rightarrow \{1, 2\}$ . Then there exists an infinite monochromatic subset  $M$ .

*Proof.*

- Let  $a_1 \in A_0 := \mathbb{N}$ . There exists an infinite set  $A_1 \subseteq A_0$  such that  $c(a_1, i) = c_1$  for all  $i \in A_1$ .
- Let  $a_2 \in A_1$ . There exists infinite  $A_2 \subseteq A_1$  such that  $c(a_2, i) = c_2$  for all  $i \in A_2$ .
- Repeating this inductively gives a sequence  $a_1 < a_2 < \dots < a_k < \dots$  and  $A_1 \supseteq A_2 \supseteq \dots$  such that  $c(a_i, j) = c_i$  for all  $j \in A_i$ .
- One colour appears infinitely many times:  $c_{i_1} = c_{i_2} = \dots = c_{i_k} = \dots = c$ .
- $M = \{a_{i_1}, a_{i_2}, \dots\}$  is a monochromatic set.

□

### Remark 1.1.5

- The same proof works for any  $k \in \mathbb{N}$  colours.
- The proof is called a “2-pass proof”.
- An alternative proof for  $k$  colours is split the  $k$  colours  $1, \dots, k$  into 2 colours: 1 and “2 or ... or  $k$ ”, and use induction.

**Note 1.1.6** An infinite monochromatic set is **very** different from an arbitrarily large finite monochromatic set.

**Example 1.1.7** Let  $A_1 = \{1, 2\}$ ,  $A_2 = \{3, 4, 5\}$ , etc. Let  $\{i, j\}$  be red if  $i, j \in A_k$  for some  $k$ . There exist arbitrarily large monochromatic red sets but no infinite monochromatic red sets.

**Example 1.1.8** Colour  $\{i < j < k\}$  red iff  $i \mid (j + k)$ . A monochromatic subset  $M = \{2^n : n \in \mathbb{N}_0\}$  is a monochromatic set.

**Theorem 1.1.9** (Ramsey's Theorem for  $r$ -sets) Let  $\mathbb{N}^{(r)}$  be finitely coloured. Then there exists a monochromatic infinite set.

*Proof.*

- $r = 1$ : use pigeonhole principle.
- $r = 2$ : Ramsey's theorem for pairs.
- For general  $r$ , use induction.
- Let  $c : \mathbb{N}^r \rightarrow [k]$  be a  $k$ -colouring. Let  $a_1 \in \mathbb{N}$ , and consider all  $r - 1$  sets of  $\mathbb{N} \setminus \{a_1\}$ , induce colouring  $c' : (\mathbb{N} \setminus \{a_1\})^{(r-1)} \rightarrow [k]$  via  $c'(F) = c(F \cup \{a_1\})$ .
- By inductive hypothesis, there exists  $A_1 \subseteq \mathbb{N} \setminus \{a_1\}$  such that  $c'$  is constant on it (taking value  $c_1$ ).
- Now pick  $a_2 \in A_1$  and induce a colouring  $c' : (A_1 \setminus \{a_2\})^{(r-1)} \rightarrow [k]$  such that  $c'(F) = c(F \cup \{a_2\})$ . By inductive hypothesis, there exists  $A_2 \subseteq A_1 \setminus \{a_2\}$  such that  $c'$  is constant on it (taking value  $c_2$ ).
- Repeating this gives  $a_1, a_2, \dots$  and  $A_1, A_2, \dots$  such that  $A_{i+1} \subseteq A_i \setminus \{a_{i+1}\}$  and  $c(F \cup \{a_i\}) = c_i$  for all  $F \subseteq A_{i+1}$ , for  $|F| = r - 1$ .
- One colour must appear infinitely many times:  $c_{i_1} = c_{i_2} = \dots = c$ .
- $M = \{a_{i_1}, a_{i_2}, \dots\}$  is a monochromatic set.

□

## 1.2. Applications of Ramsey's theorem

**Example 1.2.1** In a totally ordered set, any sequence has monotonic subsequence.

*Proof.*

- Let  $(x_n)$  be a sequence, colour  $\{i, j\}$  red if  $x_i \leq x_j$  and blue otherwise.
- By Ramsey's theorem for pairs,  $M = \{i_1 < i_2 < \dots\}$  is monochromatic. If  $M$  is red, then the subsequence  $x_{i_1}, x_{i_2}, \dots$  is increasing, and is strictly decreasing otherwise.
- We can insist that  $(x_{i_j})$  is either concave or convex: 2-colour  $\mathbb{N}^{(3)}$  by colouring  $\{j < k < \ell\}$  **red** if  $(i, x_{i_j}), (j, x_{i_k}), (k, x_{i_\ell})$  form a convex triple, and **blue** if they form a concave triple. Then by Ramsey's theorem for  $r$ -sets, there is an infinite convex or concave subsequence.

□

**Theorem 1.2.2** (Finite Ramsey) Let  $r, m, k \in \mathbb{N}$ . There exists  $n \in \mathbb{N}$  such that whenever  $[n]^{(r)}$  is  $k$ -coloured, we can find a monochromatic set of size (at least)  $m$ .

*Proof.*

- Assume not, i.e.  $\forall n \in \mathbb{N}$ , there exists colouring  $c_n : [n]^{(r)} \rightarrow [k]$  with no monochromatic  $m$ -sets.
- There are only finitely many  $(k)$  ways to  $k$ -colour  $[r]^{(r)}$ , so there are infinitely many of colourings  $c_r, c_{r+1}, \dots$  that agree on  $[r]^{(r)}$ :  $c_i|_{[r]^{(r)}} = d_r$  for all  $i$  in some infinite set  $A_1$ , where  $d_r$  is a  $k$ -colouring of  $[r]^{(r)}$ .
- Similarly,  $[r+1]^{(r)}$  has only finitely many possible  $k$ -colourings. So there exists infinite  $A_2 \subseteq A_1$  such that for all  $i \in A_2$ ,  $c_i|_{[r+1]^{(r)}} = d_{r+1}$ , where  $d_{r+1}$  is a  $k$ -colouring of  $[r+1]^{(r)}$ .
- Continuing this process inductively, we obtain  $A_1 \supseteq A_2 \supseteq \dots \supseteq A_n$ . There is no monochromatic  $m$ -set for any  $d_n : [n]^{(r)} \rightarrow [k]$  (because  $d_n = c_i|_{[n]^{(r)}}$  for some  $i$ ).
- These  $d_n$ 's are nested:  $d_\ell|_{[n]^{(r)}} = d_n$  for  $\ell > n$ .

- Finally, we colour  $\mathbb{N}^{(r)}$  by the colouring  $c : \mathbb{N}^{(r)} \rightarrow [k]$ ,  $c(F) = d_n(F)$  where  $n = \max(F)$  (or in fact  $n \geq \max(F)$ , which is well-defined by above). So  $c$  has no monochromatic  $m$ -set (since  $M$  was a monochromatic  $m$ -set, then taking  $\ell = \max(M)$ ,  $d_\ell$  has a monochromatic  $m$ -set), which contradicts Ramsey's Theorem for  $r$ -sets.

□

**Remark 1.2.3**

- This proof gives no bound on  $n = n(k, m)$ , there are other proofs that give a bound.
- It is a proof by compactness (essentially, we proved that  $\{0, 1\}^{\mathbb{N}}$  with the product topology, i.e. the topology derived from the metric  $d(f, g) = \frac{1}{\min\{n \in \mathbb{N} : f(n) \neq g(n)\}}$ , is sequentially compact).

**Remark 1.2.4** Now consider a colouring  $c : \mathbb{N}^{(2)} \rightarrow X$  with  $X$  potentially infinite. This does not necessarily admit an infinite monochromatic set, as we could colour each edge a different colour. Such a colouring would be injective. We can't guarantee either the colouring being constant or injective though, as  $c(ij) = i$  satisfies neither.

**Theorem 1.2.5** (Canonical Ramsey) Let  $c : \mathbb{N}^{(2)} \rightarrow X$  be a colouring with  $X$  an arbitrary set. Then there exists an infinite set  $M \subseteq \mathbb{N}$  such that:

1.  $c$  is constant on  $M^{(2)}$ , or
2.  $c$  is injective on  $M^{(2)}$ , or
3.  $c(ij) = c(kl)$  iff  $i = k$  for all  $i < j$  and  $k < l$ ,  $i, j, k, l \in M$ , or
4.  $c(ij) = c(kl)$  iff  $j = l$  for all  $i < j$  and  $k < l$ ,  $i, j, k, l \in M$ .

*Proof (Hints).*

- First consider the 2-colouring  $c_1$  of  $\mathbb{N}^{(4)}$  where  $ijkl$  is coloured SAME if  $c(ij) = c(kl)$  and DIFF otherwise. Show that an infinite monochromatic set  $M_1 \subseteq \mathbb{N}$  (why does this exist?) coloured SAME leads to case 1.
- Assume  $M_1$  is coloured DIFF, consider the 2-colouring of  $M_1^{(4)}$ , which colours  $ijkl$  SAME if  $c(il) = c(jk)$  and DIFF otherwise. Show an infinite monochromatic  $M_2 \subseteq M_1$  (why does this exist?) must be coloured DIFF by contradiction.
- Consider the 2-colouring of  $M_2^{(4)}$  where  $ijkl$  is coloured SAME if  $c(ik) = c(jl)$  and DIFF otherwise. Show an infinite monochromatic set  $M_3 \subseteq M_2$  (why does this exist?) must be coloured DIFF by contradiction.
- 2-colour  $M_3^{(3)}$  by:  $ijk$  is coloured SAME if  $c(ij) = c(jk)$  and DIFF otherwise. Show an infinite monochromatic set  $M_4 \subseteq M_3$  (why does this exist) must be coloured DIFF by contradiction.
- 2-colour  $M_4^{(3)}$  by the other two similar colourings to above, obtaining monochromatic  $M_6 \subseteq M_5 \subseteq M_4$ .
- Consider 4 combinations of these colourings on  $M_6$ , show 3 lead to one of the cases in the theorem, and the other leads to contradiction.

□

*Proof.*

- 2-colour  $\mathbb{N}^{(4)}$  by:  $ijkl$  is red if  $c(ij) = c(kl)$  and blue otherwise. By Ramsey's Theorem for 4-sets, there is an infinite monochromatic set  $M_1 \subseteq \mathbb{N}$  for this colouring.
- If  $M_1$  is red, then  $c$  is constant on  $M_1^{(2)}$ : for all pairs  $ij, i'j' \in M_1^{(2)}$ , pick  $m < n$  with  $j, j' < m$ , then  $c(ij) = c(mn) = c(i'j')$ .
- So assume  $M_1$  is blue.
- Colour  $M_1^{(4)}$  by giving  $ijkl$  colour green if  $c(il) = c(jk)$  and purple otherwise. By Ramsey's theorem for 4-sets, there exists an infinite monochromatic  $M_2 \subseteq M_1$  for this colouring.
- Assume  $M_2$  is coloured green: if  $i < j < k < l < m < n \in M_2$ , then  $c(jk) = c(in) = c(lm)$  (consider  $ijkn$  and  $ilmn$ ): contradiction, since  $M_1$  is blue.
- Hence  $M_2$  is purple, i.e. for  $ijkl \in M_2^{(4)}$ ,  $c(il) \neq c(jk)$ .
- Colour  $M_2$  by:  $ijkl$  is orange if  $c(ik) = c(jl)$ , and pink otherwise.
- By Ramsey's theorem for 4-sets, there exists infinite monochromatic  $M_3 \subseteq M_2$  for this colouring.
- Assume  $M_3$  is orange, then for  $i < j < k < l < m < n \in M_3$ , we have  $c(jm) = c(ln)$  (consider  $jlmn$ ) and  $c(jm) = c(ik)$  (consider  $ijkm$ ): contradiction, since  $M_3 \subseteq M_1$ .
- Hence  $M_3$  is pink, i.e. for  $ijkl$ ,  $c(ik) \neq c(jl)$ .
- Colour  $M_3^{(3)}$  by:  $ijk$  is yellow if  $c(ij) = c(jk)$  and grey otherwise. By Ramsey's theorem for 3-sets, there exists infinite monochromatic  $M_4 \subseteq M_3$  for this colouring.
- Assume  $M_4$  is yellow: then (considering  $ijkl \in M_4^{(4)}$ )  $c(ij) = c(jk) = c(kl)$ : contradiction, since  $M_4 \subseteq M_1$ .
- So for any  $ijk \in M_4^{(3)}$ ,  $c(ij) \neq c(jk)$ .
- Finally, colour  $M_4^{(3)}$  by:  $ijk$  is gold if  $c(ij) = c(ik)$  and  $c(ik) = c(jk)$ , silver if  $c(ij) = c(ik)$  and  $c(ik) \neq c(jk)$ , bronze if  $c(ij) \neq c(ik)$  and  $c(ik) = c(jk)$ , and platinum if  $c(ij) \neq c(ik)$  and  $c(ik) \neq c(jk)$ .
- By Ramsey's theorem for 3-sets, there exists monochromatic  $M_5 \subseteq M_4$ .  $M_5$  cannot be gold, since then  $c(ij) = c(jk)$ : contradiction, since  $M_5 \subseteq M_4$ . If silver, then we have case 3 in the theorem. If bronze, then we have case 4 in the theorem. If platinum, then we have case 2 in the theorem.

□

### Remark 1.2.6

- A more general result of the above theorem states: let  $\mathbb{N}^{(r)}$  be arbitrarily coloured. Then we can find an infinite  $M$  and  $I \subseteq [r]$  such that for all  $x_1 \dots x_r \in M^{(r)}$  and  $y_1 \dots y_r \in M^{(r)}$ ,  $c(x_1 \dots x_r) = c(y_1 \dots y_r)$  iff  $x_i = y_i$  for all  $i \in I$ .
- In canonical Ramsey,  $I = \emptyset$  is case 1,  $I = \{1, 2\}$  is case 2,  $I = \{1\}$  is case 3 and  $I = \{2\}$  is case 4.
- These  $2^r$  colourings are called the **canonical colourings** of  $\mathbb{N}^{(r)}$ .

**Exercise 1.2.7** Prove the general statement.

### 1.3. Van der Waerden's theorem

**Remark 1.3.1** We want to show that for any 2-colouring of  $\mathbb{N}$ , we can find a monochromatic arithmetic progression of length  $m$  for any  $m \in \mathbb{N}$ . By compactness, this is equivalent to showing that for all  $m \in \mathbb{N}$ , there exists  $n \in \mathbb{N}$  such that for any 2-colouring of  $[n]$ , there exists a monochromatic arithmetic progression of length  $m$ . (If not, then for each  $n \in \mathbb{N}$ , there is a colouring  $c_n : [n] \rightarrow \{1, 2\}$  with no monochromatic arithmetic progression of length  $m$ . Infinitely many of these colourings agree on  $[1]$ , infinitely many of those agreeing in  $[1]$  agree on  $[2]$ , and so on - we obtain a 2-colouring of  $\mathbb{N}$  with no monochromatic arithmetic progression of length  $m$ ).

We will prove a slightly stronger result: whenever  $\mathbb{N}$  is  $k$ -coloured, there exists a length  $m$  monochromatic arithmetic progression, i.e. for any  $k, m \in \mathbb{N}$ , there exists  $n \in \mathbb{N}$  such that whenever  $[n]$  is  $k$ -coloured, we have a length  $m$  monochromatic progression.

**Definition 1.3.2** Let  $A_1, \dots, A_k$  be length  $m$  arithmetic progressions:  $A_i = \{a_i, a_i + d_i, \dots, a_i + (m-1)d_i\}$ .  $A_1, \dots, A_k$  are **focussed** at  $f$  if  $a_i + md_i = f$  for all  $i$ .

**Example 1.3.3**  $\{4, 8\}$  and  $\{6, 9\}$  are focussed at 12.

**Definition 1.3.4** If length  $m$  arithmetic progressions  $A_1, \dots, A_k$  are focused at  $f$  and are monochromatic, each with a different colour (for a given colouring), they are called **colour-focussed** at  $f$ .

**Remark 1.3.5** We use the idea that if  $A_1, \dots, A_k$  are colour-focussed at  $f$  (for a  $k$ -colouring) and of length  $m-1$ , then some  $A_i \cup \{f\}$  is a length  $m$  monochromatic arithmetic progression.

**Theorem 1.3.6** Whenever  $\mathbb{N}$  is  $k$ -coloured, there exists a monochromatic arithmetic progression of length 3, i.e. for all  $k \in \mathbb{N}$ , there exists  $n \in \mathbb{N}$  such that any  $k$ -colouring of  $[n]$  admits a length 3 monochromatic progression.

*Proof (Hints).*

- Prove by induction the claim:  $\forall r \leq k, \exists n \in \mathbb{N}$  such that for any  $k$ -colouring of  $[n]$ , there exists a monochromatic arithmetic progression of length 3, or  $r$  colour-focussed arithmetic progressions of length 2.
  - $r = 1$  case is straightforward.
  - Let claim be true for  $r-1$  with witness  $n$ , let  $N = 2n(k^{2n} + 1)$ .
  - Partition  $N$  into blocks of equal size, show that two of these blocks must have the same colouring.
  - Using the inductive hypothesis, merge the  $r-1$  colour-focussed arithmetic progressions from these two blocks into a new set of  $r-1$  colour-focussed arithmetic progressions.
  - Find another length 2 monochromatic arithmetic progression, reason that this is of different colour.
- Reason that this claim implies the result.

□

*Proof.*

- We claim that for all  $r \leq k$ , there exists an  $n \in \mathbb{N}$  such that if  $[n]$  is  $k$ -coloured, then either:
  - There exists a monochromatic arithmetic progression of length 3.
  - There exist  $r$  colour-focussed arithmetic progressions of length 2.
- This claim implies the result by the above remark.
- We prove the claim by induction on  $r$ :
  - $r = 1$ : take  $n = k + 1$ , then by pigeonhole, some two elements of  $[n]$  have the same colour, so form a length two arithmetic progression.
  - Assume true for  $r - 1$  with witness  $n$ . We claim that  $N = 2n(k^{2n} + 1)$  works for  $r$ .
    - Let  $c : [2n(k^{2n} + 1)] \rightarrow [k]$  be a colouring. We partition  $[N]$  into  $k^{2n} + 1$  blocks of size  $2n$ :  $B_i = \{2n(i - 1) + 1, \dots, 2ni\}$  for  $i = 1, \dots, k^{2n} + 1$ .
    - Assume there is no length 3 monochromatic progression for  $c$ . By inductive hypothesis, each block  $B_i$  has  $r - 1$  colour-focussed arithmetic progressions of length 2.
    - Since  $|B_i| = 2n$ , each block also contains their focus. For a set  $M$  with  $|M| = 2n$ , there are  $k^{2n}$  ways to  $k$ -colour  $M$ . So by pigeonhole, there are blocks  $B_s$  and  $B_{s+t}$  that have the same colouring.
    - Let  $\{a_i, a_i + d_i\}$  be the  $r - 1$  arithmetic progressions in  $B_s$  colour-focussed at  $f$ , then  $\{a_i + 2nt, a_i + d_i + 2nt\}$  is the corresponding set of arithmetic progressions in  $B_{s+t}$ , each colour-focussed at  $f + 2nt$ .
    - Now  $\{a_i, a_i + d_i + 2nt\}$ ,  $i \in [r - 1]$ , are  $r - 1$  arithmetic progresions colour-focused at  $f + 4nt$ . Also,  $\{f, f + 2nt\}$  is monochromatic of a different colour to the  $r - 1$  colours used (since there is no length 3 monochromatic progression for  $c$ ). Hence, there are  $r$  arithmetic progressions of length 2 colour-focussed at  $f + 4nt$ .

□

**Remark 1.3.7** The idea of looking at all possible colourings of a set is called a **product argument**.

**Definition 1.3.8** The **Van der Waerden** number  $W(k, m)$  is the smallest  $n \in \mathbb{N}$  such that for any  $k$ -colouring of  $[n]$ , there exists a monochromatic arithmetic progression in  $[n]$  of length  $m$ .

**Remark 1.3.9** The above theorem gives a **tower-type** upper bound  $W(k, 3) \leq k^{k^{(\cdot)^{k^{4k}}}}$ .

**Theorem 1.3.10** (Van der Waerden's Theorem) For all  $k, m \in \mathbb{N}$ , there exists  $n \in \mathbb{N}$  such that for any  $k$ -colouring of  $[n]$ , there is a length  $m$  monochromatic arithmetic progression.

*Proof (Hints).*

- Use induction on  $m$ .

- Given induction hypothesis on  $m - 1$ , prove the claim: for all  $r \leq k$ , there exists  $n \in \mathbb{N}$  such that for any  $k$ -colouring of  $[n]$ , we have either a monochromatic length  $m$  arithmetic progression, or  $r$  colour-focussed arithmetic progressions of length  $m - 1$ . Reason that this claim implies the result.
- Use induction on  $r$ . Give an explicit  $n$  for  $r = 1$ .
- Let  $n$  be the witness for  $r - 1$ , let  $N = W(k^{2n}, m - 1) \cdot 2n$ . Assume a  $k$ -colouring of  $[N]$ ,  $c : [N] \rightarrow [k]$ , has no arithmetic progressions of length  $m$ .
- Partition  $[N]$  into the obvious choice of  $W(k^{2n}, m - 1)$  blocks  $B_i$ , each of length  $2n$ .
- Colour the indices  $1 \leq i \leq W(k^{2n}, m - 1)$  of the blocks by

$$c'(i) = (c(2n(i - 1) + 1), c(2n(i - 1) + 2), \dots, c(2ni))$$

- Reason that we can find monochromatic arithmetic progression  $s, s + t, \dots, s + (m - 2)t$  of length  $m - 1$  (w.r.t  $c'$ ), and that this corresponds to sequence of blocks  $B_s, B_{s+t}, \dots, B_{s+(m-2)t}$ , each identically coloured.
- Reason that  $B_s$  contains  $r - 1$  colour-focussed length  $m - 1$  arithmetic progressions  $A_i$  together with their focus  $f$ .
- Let  $A'_i$  be the same arithmetic progression but with common difference  $2nt$  larger than that of  $A_i$ . Show the  $A'_i$  are colour-focussed at some focus in terms of  $f$ .
- Find another length  $m - 1$  arithmetic progression, show this must be monochromatic and of different colour to all  $A'_i$ . Show it also has same focus as all  $A'_i$ .

□

*Proof.*

- By induction on  $m$ .  $m = 1$  is trivial,  $m = 2$  is by pigeonhole principle.  $m = 3$  is the statement of the previous theorem.
- Assume true for  $m - 1$  and all  $k \in \mathbb{N}$ .
- For fixed  $k$ , we prove the claim: for all  $r \leq k$ , there exists  $n \in \mathbb{N}$  such that for any  $k$ -colouring of  $[n]$ , either:
  - There is a monochromatic arithmetic progression of length  $m$ , or
  - There are  $r$  colour-focussed arithmetic progressions of length  $m - 1$ .
- We will then be done (by considering the focus).
- To prove the claim, we use induction on  $r$ .
- $r = 1$  is the claim of the first inductive hypothesis: take  $n = W(k, m - 1)$ .
- Assume the claim holds for  $r - 1$  with witness  $n$ , and assume there is no monochromatic arithmetic progression of length  $m$ . We will show that  $N = W(k^{2n}, m - 1)2n$  is sufficient for  $r$ .
- Partition  $[N]$  into  $W(k^{2n}, m - 1)$  blocks of length  $2n$ :  $B_i = \{2n(i - 1) + 1, \dots, 2ni\}$  for  $i = 1, \dots, W(k^{2n}, m - 1)$ .
- Each block has  $k^{2n}$  possible colourings. Colour the blocks as

$$c'(i) = (c(2n(i - 1) + 1), c(2n(i - 1) + 2), \dots, c(2ni))$$



By definition of  $W$ , there exists a monochromatic arithmetic progression of length  $m - 1$  (w.r.t. to  $c'$ ):  $\{\alpha, \alpha + t, \dots, \alpha + (m - 2)t\}$ . The respective blocks  $B_\alpha, \dots, B_{\alpha + (m-2)t}$  are identically coloured.

- $B_\alpha$  has length  $2n$ , so by induction  $B_\alpha$  contains  $r - 1$  colour-focussed arithmetic progressions of length  $m - 1$ , together with their focus (as length of block is  $2n$ ).
- Let  $A_1, \dots, A_{r-1}$ ,  $A_i = \{a_i, a_i + d_i, \dots, a_i + (m - 2)d_i\}$ , be colour-focussed at  $f$ .
- Let  $A'_i = \{a_i, a_i + (d_i + 2nt), \dots, a_i + (m - 2)(d_i + 2nt)\}$  for  $i = 1, \dots, r - 1$ . The  $A'_i$  are monochromatic as the blocks are identically coloured and the  $A_i$  are monochromatic. Also,  $A_i$  and  $A'_i$  have the same colouring, and the  $A_i$  are colour-focussed, hence the  $A'_i$  have pairwise distinct colours.
- The  $A_i$  are focussed at  $f$  and the colour of  $f$  is different than the colour of all  $A_i$ .  $f = a_i + (m - 1)d_i$  for all  $i$ .
- Now  $\{f, f + 2nt, f + 4nt, \dots, f + 2n(m - 2)t\}$  is an arithmetic progression of length  $m - 1$ , is monochromatic and of a different colour to all the  $A'_i$ .
- It is enough to show that  $a_i + (m - 1)(d_i + 2nt) = f + 2n(m - 1)t$  for all  $i$ , but this is equivalent to  $a_i + (m - 1)d_i = f$ , which is true as all  $A_i$  were focussed at  $f$ .

□

**Corollary 1.3.11** For any  $k$ -colouring of  $\mathbb{N}$ , there exists a colour class containing arbitrarily long arithmetic progressions.

**Remark 1.3.12** We can't guarantee infinitely long arithmetic progressions, e.g.

- 2-colour  $\mathbb{N}$  by 1 red, 2, 3 blue, 4, 5, 6 red, etc.
- The set of infinite arithmetic progressions in  $\mathbb{N}$  is countable (since described by two integers: the start term and step). Enumerate them by  $(A_k)_{k \in \mathbb{N}}$ . Pick  $x_1 < y_1 \in A_1$ , colour  $x_1$  red and  $y_1$  blue. Then pick  $x_2, y_2 \in A_2$  with  $y_1 < x_2 < y_2$ , colour  $x_2$  red,  $y_2$  blue. Continue inductively.

**Theorem 1.3.13** (Strengthened Van der Waerden) Let  $m, k \in \mathbb{N}$ . There exists  $n \in \mathbb{N}$  such that for any  $k$ -colouring of  $[n]$ , there exists a monochromatic length  $m$  arithmetic progression whose common difference is the same colour (i.e. there exists  $a, a + d, \dots, a + (m - 1)d$  all of the same colour).

*Proof (Hints).*

- Use induction on  $k$ .
- If  $n$  is the witness for  $k - 1$  colours, show that  $N = W(k, n(m - 1) + 1)$  is a witness for  $k$  colours, by considering  $n$  different multiples of the step of a suitable arithmetic progression.

□

*Proof.*

- Fix  $m \in \mathbb{N}$ . We use induction on  $k$ .  $k = 1$  case is trivial.
- Let  $n$  be witness for  $k - 1$  colours.
- We will show that  $N = W(k, n(m - 1) + 1)$  is suitable for  $k$  colours.
- If  $[N]$  is  $k$ -coloured, there exists a monochromatic (say red) arithmetic progression of length  $n(m - 1) + 1$ :  $a, a + d, \dots, a + n(m - 1)d$ .

- If  $rd$  is red for any  $1 \leq r \leq n$ , then we are done (consider  $a, a + rd, \dots, a + (m - 1)rd$ ).
- If not, then  $\{d, 2d, \dots, nd\}$  is  $k - 1$ -coloured, which induces a  $k - 1$  colouring on  $[n]$ . Therefore, there exists a monochromatic arithmetic progression  $b, b + s, \dots, b + (m - 1)s$  (with  $s$  the same colour) by induction, which translates to  $db, db + ds, \dots, db + d(m - 1)s$  and  $ds$  being monochromatic.

□

**Remark 1.3.14** The case  $m = 2$  of strengthened Van der Waerden is **Schur's theorem**: for any  $k$ -colouring of  $\mathbb{N}$ , there are monochromatic  $x, y, z$  such that  $x + y = z$ . This can be proved directly from Ramsey's theorem for pairs: let  $c : \mathbb{N} \rightarrow [k]$  be a  $k$ -colouring, then induce  $c' : \mathbb{N}^{(2)} \rightarrow [k]$  by  $c'(ij) = c(j - i)$ . By Ramsey, there exist  $i < j < k$  such that  $c'(ij) = c'(ik) = c'(jk)$ , i.e.  $c(j - i) = c(k - i) = c(k - j)$ . So take  $x = j - i$ ,  $z = k - i$ ,  $y = k - j$ .

## 1.4. The Hales-Jewett theorem

**Definition 1.4.1** Let  $X$  be finite set. We say  $X^n$  consists of **words of length  $n$  on alphabet  $X$** .

**Definition 1.4.2** Let  $X$  be finite. A **combinatorial line** in  $X^n$  is a set  $L \subseteq X^n$  of the form

$$L = \{(x_1, \dots, x_n) \in X^n : \forall i \notin I, x_i = a_i \text{ and } \forall i, j \in I, x_i = x_j\}$$

for some non-empty set  $I \subseteq [n]$  and  $a_i \in X$  (for each  $i \notin I$ ).  $I$  is the set of **active coordinates** for  $L$ .

Note that a combinatorial line is invariant under permutations of  $X$ .

**Example 1.4.3** Let  $X = [3]$ . Some lines in  $X^2$  are:

- $I = \{1\}$ :  $\{(1, 1), (2, 1), (3, 1)\}$  (with  $a_2 = 1$ ),  $\{(1, 2), (2, 2), (3, 2)\}$  (with  $a_2 = 2$ ),  $\{(1, 3), (2, 3), (3, 3)\}$  (with  $a_2 = 3$ ).
- $I = \{2\}$ :  $\{(1, 1), (1, 2), (1, 3)\}$  (with  $a_1 = 1$ ),  $\{(2, 1), (2, 2), (2, 3)\}$  (with  $a_1 = 2$ ),  $\{(3, 1), (3, 2), (3, 3)\}$  (with  $a_1 = 3$ ).
- $I = \{1, 2\}$ :  $\{(1, 1), (2, 2), (3, 3)\}$ .

Note that  $\{(1, 3), (2, 2), (3, 1)\}$  is **not** a combinatorial line.

**Example 1.4.4** Some sets of lines in  $[3]^3$  are:

- $I = \{1\}$ :  $\{(1, 2, 3), (2, 2, 3), (3, 2, 3)\}$  (with  $a_2 = 2, a_3 = 3$ ).
- $I = \{1, 3\}$ :  $\{(1, 3, 1), (2, 3, 2), (3, 3, 3)\}$  (with  $a_2 = 3$ ).

**Theorem 1.4.5** (Hales-Jewett) Let  $m, k \in \mathbb{N}$  (we use alphabet  $X = [m]$ ), then there exists  $n \in \mathbb{N}$  such that for any  $k$ -colouring of  $[m]^n$ , there exists a monochromatic combinatorial line.

**Notation 1.4.6** Denote the smallest such  $n$  by  $\text{HJ}(m, k)$ .

**Corollary 1.4.7** Hales-Jewett implies Van der Waerden's theorem.

*Proof (Hints).* For a colouring  $c : \mathbb{N} \rightarrow [k]$ , consider the induced colouring  $c'(x_1, \dots, x_n) = c(x_1 + \dots + x_n)$  of  $[m]^n$ . □

*Proof.* Let  $c$  be a  $k$ -colouring of  $\mathbb{N}$ . For sufficiently large  $n$  (i.e.  $n \geq \text{HJ}(m, k)$ ), induce a  $k$ -colouring  $c'$  of  $[m]^n$  by  $c'(x_1, \dots, x_n) = c(x_1 + \dots + x_n)$ . By Hales-Jewett, a monochromatic (with respect to  $c'$ ) combinatorial line  $L$  exists. This gives a monochromatic (with respect to  $c$ ) length  $m$  arithmetic progression in  $\mathbb{N}$ . The step is equal to the number of active coordinates. The first term in the arithmetic progression corresponds to the point in  $L$  with all active coordinates equal to 1, the last term corresponds to the point in  $L$  with all active coordinates equal to  $m$ . □

**Exercise 1.4.8** Show that the  $m$ -in-a-row noughts and crosses game cannot be a draw in sufficiently high dimensions, and that the first player can always win.

## 2. Partition regular systems

## 3. Euclidean Ramsey theory