1. Introduction

- Encryption process:
 - Alice has a message (**plaintext**) which is **encrypted** using an **encryption key** to produce the **ciphertext**, which is sent to Bob.
 - Bob uses a **decryption key** (which depends on the encryption key) to **decrypt** the ciphertext and recover the original plaintext.
 - It should be computationally infeasible to determine the plaintext without knowing the decryption key.
- Caesar cipher:
 - Add constant k to each letter in plaintext to produce ciphertext:

 $ciphertext\ letter = plaintext\ letter + k \mod 26$

• To decrypt,

plaintext letter = ciphertext letter $-k \mod 26$

- The key is $k \mod 26$.
- Cryptosystem objectives:
 - Secrecy: an intercepted message is not able to be decrypted
 - Integrity: it is impossible to alter a message without the receiver knowing
 - Authenticity: receiver is certain of identity of sender
 - Non-repudiation: sender cannot claim they sent a message; the receiver can prove they did.
- **Kerckhoff's principle**: a cryptographic system should be secure even if the details of the system are known to an attacker.
- Types of attack:
 - **Ciphertext-only**: the plaintext is deduced from the ciphertext.
 - **Known-plaintext**: intercepted ciphertext and associated stolen plaintext are used to determine the key.
 - Chosen-plaintext: an attacker tricks a sender into encrypting various chosen plaintexts and observes the ciphertext, then uses this information to determine the key.
 - Chosen-ciphertext: an attacker tricks the receiver into decrypting various chosen ciphertexts and observes the resulting plaintext, then uses this information to determine the key.

2. Symmetric key ciphers

- Converting letters to numbers: treat letters as integers modulo 26, with $A=1, Z=0\equiv 26 \pmod{26}$. Treat string of text as vector of integers modulo 26.
- **Symmetric key cipher**: one in which encryption and decryption keys are equal.
- **Key size**: $\log_2(\text{number of possible keys})$.
- Caesar cipher is a **substitution cipher**. A stronger substitution cipher is this: key is permutation of $\{a, ..., z\}$. But vulnerable to plaintext attacks and ciphertext-only attacks, since different letters (and letter pairs) occur with different frequencies in English.

- One-time pad: key is uniformly, independently random sequence of integers mod 26, $(k_1, k_2, ...)$, known to sender and receiver. If message is $(m_1, m_2, ..., m_r)$ then ciphertext is $(c_1, c_2, ..., c_r) = (k_1 + m_1, k_2 + m_2, ..., k_r + m_r)$. To decrypt the ciphertext, $m_i = c_i k_i$. Once $(k_1, ..., k_r)$ have been used, they must never be used again.
 - One-time pad is information-theoretically secure against ciphertext-only attack: $\mathbb{P}(M=m\mid C=c)=\mathbb{P}(M=m).$
 - Disadvantage is keys must never be reused, so must be as long as message.
 - Keys must be truly random.
- Chinese remainder theorem: let $m, n \in \mathbb{N}$ coprime, $a, b \in \mathbb{Z}$. Then exists unique solution $x \mod mn$ to the congruences

$$x \equiv a \mod m$$
$$x \equiv b \mod n$$

- Block cipher: group characters in plaintext into blocks of n (the block length) and encrypt each block with a key. So plaintext $p = (p_1, p_2, ...)$ is divided into blocks $P_1, P_2, ...$ where $P_1 = (p_1, ..., p_n), P_2 = (p_{n+1}, ..., p_{2n}), ...$ Then ciphertext blocks are given by $C_i = f(\text{key}, P_i)$ for some encryption function f.
- Hill cipher:
 - Plaintext divided into blocks $P_1, ..., P_r$ of length n.
 - Each block represented as vector $P_i \in \left(\mathbb{Z}/26\mathbb{Z}\right)^n$
 - Key is invertible $n \times n$ matrix M with elements in $\mathbb{Z}/26\mathbb{Z}$.
 - Ciphertext for block P_i is

$$C_i = MP_i$$

It can be decrypted with $P_i = M^{-1}C$.

- Let $P = (P_1, ..., P_r), C = (C_1, ..., C_r),$ then C = MP.
- Confusion: each character of ciphertext depends on many characters of key.
- **Diffusion**: each character of ciphertext depends on many characters of plaintext. Ideal diffusion is when changing single character of plaintext changes a proportion of (S-1)/S of the characters of the ciphertext, where S is the number of possible symbols.
- For Hill cipher, ith character of ciphertext depends on ith row of key this is medium confusion. If jth character of plaintext changes and $M_{ij} \neq 0$ then ith character of ciphertext changes. M_{ij} is non-zero with probability roughly 25/26 so good diffusion.
- Hill cipher is susceptible to known plaintext attack:
 - If $P = (P_1, ..., P_n)$ are n blocks of plaintext with length n such that P is invertible and we know P and the corresponding C, then we can recover M, since $C = MP \Longrightarrow M = CP^{-1}$.
 - If enough blocks of ciphertext are intercepted, it is very likely that n of them will produce an invertible matrix P.

3. Public key encryption and RSA

- Public key cryptosystem:
 - Bob produces encryption key, k_E , and decryption key, k_D . He publishes k_E and keeps k_D secret.
 - To encrypt message m, Alice sends ciphertext $c = f(m, k_E)$ to Bob.
 - To decrypt ciphertext c, Bob computes $g(c, k_D)$, where g satisfies

$$g(f(m, k_E), k_D) = m$$

for all messages m and all possible keys.

- Computing m from $f(m, k_E)$ should be hard without knowing k_D .
- Converting between messages and numbers:
 - To convert message $m_1m_2...m_r$, $m_i \in \{0,...,25\}$ to number, compute

$$m = \sum_{i=1}^{r} m_i 26^{i-1}$$

- To convert number m to message, add character $m \mod 26$ to message. If m < 26, stop. Otherwise, floor divide m by 26 and repeat.
- Fermat's little theorem: let p prime, $a \in \mathbb{Z}$ coprime to p, then $a^{p-1} \equiv 1 \pmod{p}$.
- Euler φ function:

$$\varphi: \mathbb{N} \to \mathbb{N}, \varphi(n) = |\{1 \le a \le n : \gcd(a, n) = 1\}| = |(\mathbb{Z}/n\mathbb{Z})^{\times}|$$

- $\varphi(p^r) = p^r p^{r-1}$, $\varphi(mn) = \varphi(m)\varphi(n)$ for $\gcd(m, n) = 1$.
- Euler's theorem: if gcd(a, n) = 1, $a^{\varphi(n)} \equiv 1 \pmod{n}$.
- RSA algorithm:
 - k_E is pair (n, e) where n = pq, the **RSA modulus**, is product of two distinct primes and $e \in \mathbb{Z}$, the **encryption exponent**, is coprime to $\varphi(n)$.
 - k_D , the decryption exponent, is integer d such that $de \equiv 1 \pmod{\varphi(n)}$.
 - m is an integer modulo n, m and n are coprime.
 - Encryption: $c = m^e \pmod{n}$.
 - Decryption: $m = c^d \pmod{n}$.
 - It is recommended that n have at least 2048 bits. A typical choice of e is $2^{16} + 1$.
- **RSA problem**: given n = pq a product of two unknown primes, e and $m^e \pmod{n}$, recover m. If n can be factored, the RSA is solved.
- Factorisation problem: given n = pq for large distinct primes p and q, find p and q.
- RSA signatures:
 - Public key is (n, e) and private key is d.
 - When sending a message m, message is **signed** by also sending $s = m^d \mod n$, the **signature**.
 - (m, s) is received, **verified** by checking if $m = s^e \mod n$.
 - Forging a signature on a message m would require finding s with $m = s^e \mod n$. This is the RSA problem.

- However, choosing signature s first then taking $m = s^e \mod n$ produces valid pairs.
- To solve this, (m, s) is sent where $s = h(m)^d$, h is **hash function**. Then the message receiver verifies $h(m) = s^e \mod n$.
- Now, for a signature to be forged, an attacker would have to find m with $h(m) = s^e \mod n$.
- Hash function is function $h: \{\text{messages}\} \to \mathcal{H}$ that:
 - Can be computed efficiently
 - Is **preimage-resistant**: can't quickly find m given h(m).
 - Is collision-resistant: can't quickly find m, m' such that h(m) = h(m').

• Attacks on RSA:

- If you can factor n, you can compute d, so can break RSA (as then you know $\varphi(n)$ so can compute $e^{-1} \mod \varphi(n)$).
- If $\varphi(n)$ is known, then we have pq = n and $(p-1)(q-1) = \varphi(n)$ so $p+q = n \varphi(n) + 1$. Hence p and q are roots of $x^2 (n \varphi(n) + 1)x + n$.

• Known d attack:

- de-1 is multiple of $\varphi(n)$ so $p,q \mid x^{de-1}-1$.
- Look for factor K of de-1 with x^K-1 divisible by p but not q (or vice versa) (equivalently, $(p-1) \mid K$ but $(q-1) \nmid K$).
- Let $de-1=2^r s$, $\gcd(2,s)=1$, choose random $x \bmod n$. Let $y=x^s$, then $y^{2^r}=x^{2^r s}=x^{de-1}\equiv 1 \bmod n$.
- If $y \equiv 1 \mod n$, restart with new random x. Find first occurrence of 1 in $y, y^2, ..., y^{2^r} : y^{2^j} \not\equiv 1 \mod n, \ y^{2^{j+1}} \equiv 1 \mod n$ for some $j \ge 0$.
- Let $a := y^{2^j}$, then $a^2 \equiv 1 \mod n$, $a \not\equiv 1 \mod n$. If $a \equiv -1 \mod n$, restart with new random x.
- Now $n = pq \mid a^2 1 = (a+1)(a-1)$ but $n \nmid (a+1), (a-1)$. So p divides one of a+1, a-1 and q divides the other. So $\gcd(a-1,n), \gcd(a+1,n)$ are prime factors of n.
- **Theorem**: it is no easier to find $\varphi(n)$ than to factorise n.
- **Theorem**: it is no easier to find d than to factor n.
- Miller-Rabin algorithm for probabilistic primality testing of *n*:
 - 1. Let $n-1=2^r s$, gcd(2,s)=1.
 - 2. Choose random $x \mod n$, compute $y = x^s \mod n$.
 - 3. Compute $y, y^2, ..., y^{2^r} \mod n$.
 - 4. If 1 isn't in this list, n is **composite** (with witness x).
 - 5. If 1 is in list preceded by number other than ± 1 , n is **composite** (with witness x).
 - 6. Other, n is **possible prime** (to base x).

• Theorem:

- If n prime then it is possible prime to every base.
- If n composite then it is possible prime to $\leq 1/4$ of possible bases.

In particular, if k random bases are chosen, probability of composite n being possible prime for all k bases is $\leq 4^{-k}$.

3.1. Factorisation

- Trial division algorithm: for p = 2, 3, 5, ... test whether $p \mid n$.
- If $x^2 \equiv y^2 \mod n$ but $x \not\equiv \pm y \mod n$, then x y is divisible by factor of n but not by n itself, so $\gcd(x y, n)$ gives proper factor of n (or 1).
- Fermat's method:
 - Let $a = \lceil \sqrt{n} \rceil$. Compute $a^2 \mod n$, $(a+1)^2 \mod n$ until a square $x^2 \equiv (a+i)^2 \mod n$ appears. Then compute $\gcd(a+i-x,n)$.
 - Works well under special conditions on the factors: if $|p-q| \le 2\sqrt{2}\sqrt[4]{n}$ then Fermat's method takes one step: $x = \lceil \sqrt{n} \rceil$ works.
- **Definition**: an integer is **B-smooth** if all its prime factors are $\leq B$.
- Quadratic sieve:
 - Choose B and let m be number of primes $\leq B$.
 - Look at integers $x = \lceil \sqrt{n} \rceil + k$, k = 1, 2, ... and check whether $y = x^2 n$ is Bsmooth.
 - Once $y_1 = x_1^2 n, ..., y_t = x_t^2 n$ are all B-smooth with t > m, find some product of them that is a square.
 - Deduce a congruence between the squares.
 - Time complexity is $\exp(\sqrt{\log n \log \log n})$.

4. Diffie-Hellman key exchange

- **Primitive root theorem**: let p prime, then there exists $g \in \mathbb{F}_p^{\times}$ such that $1, g, ..., g^{p-2}$ is complete set of residues mod p.
- Let p prime, $g \in \mathbb{F}_p^{\times}$. Order of g is smallest $a \in \mathbb{N}_0$ such that $g^a = 1$. g is **primitive root** if its order is p-1 (equivalently, $1, g, ..., g^{p-2}$ is complete set of residues mod p).
- Let p prime, $g \in \mathbb{F}_p^{\times}$ primitive root. If $x \in \mathbb{F}_p^{\times}$ then $x = g^L$ for some $0 \le L .$ Then <math>L is **discrete logarithm** of x to base g. Write $L = L_g(x)$.

• Proposition:

- $\bullet \ \ g^{L_g(x)} \equiv x \pmod{p} \ \text{and} \ g^a \equiv x \pmod{p} \Longleftrightarrow a \equiv L_g(x) \pmod{p-1}.$
- $\bullet \ \ L_g(1)=0,\, L_g(g)=1.$
- $\bullet \ \ L_g(xy) \equiv L_g(x) + L_g(y) \pmod{p-1}.$
- $\bullet \ \ L_g(x^{-1}) = -L_g(x) \ (\mathrm{mod} \ p-1).$
- $L_g(g^a \mod p) \equiv a \pmod{p-1}$.
- h is primitive root mod p iff $L_g(h)$ coprime to p-1. So number of primitive roots mod p is $\varphi(p-1)$.
- Discrete logarithm problem: given p, g, x, compute $L_g(x)$.
- Diffie-Hellman key exchange:
 - Alice and Bob publicly choose prime p and primitive root $g \mod p$.
 - Alice chooses secret $\alpha \mod(p-1)$ and sends $g^{\alpha} \mod p$ to Bob publicly.
 - Bob chooses secret $\beta \mod(p-1)$ and sends $g^{\beta} \mod p$ to Alice publicly.
 - Alice and Bob both compute shared secret $\kappa = g^{\alpha\beta} = (g^{\alpha})^{\beta} = (g^{\beta})^{\alpha} \mod p$.
- Diffie-Hellman problem: given $p, g, g^{\alpha}, g^{\beta}$, compute $g^{\alpha\beta}$.

- If discrete logarithm problem can be solved, so can Diffie-Hellman problem (since could compute $\alpha = L_q(g^a)$ or $\beta = L_q(g^\beta)$).
- Elgamal public key encryption:
 - Alice chooses prime p, primitive root g, private key $\alpha \mod (p-1)$.
 - Her public key is $y = g^{\alpha}$.
 - Bob chooses random $k \mod (p-1)$
 - To send message m (integer mod p), he sends the pair $(r, m') = (g^k, my^k)$.
 - To decrypt message, Alice computes $r^{\alpha} = g^{\alpha k} = y^k$ and then $m'r^{-\alpha} = m'y^{-k} = mg^{\alpha k}g^{-\alpha k}m$.
 - If Diffie-Hellman problem is hard, then Elgamal encryption is secure against known plaintext attack.
 - Key k must be random and different each time.
- Decision Diffie-Hellman problem: given g^a, g^b, c in \mathbb{F}_p^{\times} , decide whether $c = g^{ab}$.
 - This problem is not always hard, as can tell if g^{ab} is square or not. Can fix this by taking g to have large prime order $q \mid (p-1)$. p = 2q + 1 is a good choice.
- Elgamal signatures:
 - Public key is (p, g), $y = g^{\alpha}$ for private key α .
 - Valid Elgamal signature on $m \in \{0,...,p-2\}$ is pair $(r,s), \ 0 \le r,s \le p-1$ such that

$$y^r r^s = g^m \pmod{p}$$

- Alice computes $r = g^k$, $k \in (\mathbb{Z}/(p-1))^{\times}$ random. k should be different each time
- Then $g^{\alpha r}g^{ks}\equiv g^m\mod p$ so $\alpha r+ks\equiv m\pmod {p-1}$ so $s=k^{-1}(m-\alpha r)\mod p-1.$
- Elgamal signature problem: given p, g, y, m, find r, s such that $y^r r^s = m$.
- Discrete logarithm problem: given prime p, primitive root $g \mod p$, $x \in \mathbb{F}_p^{\times}$, calculate $L_q(x)$.
- Baby-step giant-step algorithm for solving DLP:
 - Let $N = \lceil \sqrt{p-1} \rceil$.
 - Baby-steps: compute $g^j \mod p$ for $0 \le j < N$.
 - Giant-steps: compute $xg^{-Nk} \mod p$ for $0 \le k < N$.
 - Look for a match between baby-steps and giant-steps lists: $q^j = xq^{-Nk} \Longrightarrow x = q^{j+Nk}$.
 - Always works since if $x = g^L$ for $0 \le L < p-1 \le N^2$, L can be written as j + Nk with $j, k \in \{0, ..., N-1\}$.
- Index calculus method for solving DLP $x = g^L$:
 - Fix smoothness bound *B*.
 - Find many multiplicative relations between B-smooth numbers and powers of $g \mod p$.
 - Solve these relations to find discrete logarithms of primes $\leq B$.
 - For i = 1, 2, ... compute $xg^i \mod p$ until one is B-smooth, then use result from previous step.

• Pohlig-Hellman algorithm computes discrete logarithms mod p with approximate complexity $\log(p)\sqrt{\ell}$ where ℓ is largest prime factor of p-1, so is fast if p-1 is B-smooth. Therefore p is chosen so that p-1 has large prime factor, e.g. choose Germain prime p=2q+1, with q prime.

5. Elliptic curves

- Definition: abelian group (G, \circ) satisfies:
 - Associativity: $\forall a, b, c \in G, a \circ (b \circ c) = (a \circ b) \circ c$.
 - Identity: $\exists e \in G : \forall g \in G, e \times g = g$.
 - Inverses: $\forall g \in G, \exists h \in G : g \circ h = h \circ g = e$
 - Commutativity: $\forall a, b \in G, a \circ b = b \circ a$.
- **Definition**: $H \subseteq G$ is **subgroup** of G if (H, \circ) is group.
- To show H is subgroup, sufficient to show $g, h \in H \Rightarrow g \circ h \in H$ and $h^{-1} \in H$.
- Notation: for $g \in G$, write [n]g for $g \circ \cdots \circ g$ n times if n > 0, e if n = 0, $[-n]g^{-1}$ if n < 0.
- Definition: subgroup generated by g is

$$\langle g \rangle = \{ [n]g : n \in \mathbb{Z} \}$$

If $\langle g \rangle$ finite, it has **order** n, and g has **order** n. If $G = \langle g \rangle$ for some $g \in G$, G is **cyclic** and g is **generator**.

- Lagrange's theorem: let G finite group, H subgroup of G, then $|H| \mid |G|$.
- Corollary: if G finite, $g \in G$ has order n, then $n \mid |G|$.
- **DLP for abelian groups**: given G a cyclic abelian group, $g \in G$ a generator of $G, x \in G$, find L such that [L]g = x. L is well-defined modulo |G|.
- **Definition**: let (G, \circ) , (H, \bullet) abelian groups, **homomorphism** between G and H is $f: G \to H$ with

$$\forall g,g' \in G, \quad f(g \circ g') = f(g) \bullet f(g')$$

Isomorphism is bijective homomorphism. G and H are **isomorphic**, $G \cong H$, if there is isomorphism between them.

• Fundamental theorem of finite abelian groups: let G finite abelian group, then there exist unique integers $2 \le n_1, ..., n_r$ with $n_i \mid n_{i+1}$ for all i, such that

$$G \simeq (\mathbb{Z}/n_1) \times \cdots \times (\mathbb{Z}/n_r)$$

In particular, G is isomorphic to product of cyclic groups.

• **Definition**: let K field, char(K) > 3. An **elliptic curve** over K is defined by the equation

$$y^2 = x^3 + ax + b$$

where $a, b \in K$, $\Delta_E := 4a^3 + 27b^2 \neq 0$.

• Remark: $\Delta_E \neq 0$ is equivalent to $x^3 + ax + b$ having no repeated roots (i.e. E is smooth).

- **Definition**: for elliptic curve E defined over K, a K-point (point) on E is either:
 - A normal point: $(x,y) \in K^2$ satisfying the equation defining E.
 - The **point at infinity** \overline{O} which can be thought of as infinitely far along the yaxis (in either direction).

Denote set of all K-points on E as E(K).

- Any elliptic curve E(K) is an abelian group, with group operation \oplus is defined as:
 - We should have $P \oplus Q \oplus R = \overline{O}$ iff P, Q, R lie on straight line.
 - In this case, $P \oplus Q = -R$.
 - To find line ℓ passing through $P = (x_0, y_0)$ and $Q = (x_1, y_1)$:
 - If $x_0 \neq x_1$, then equation of ℓ is $y = \lambda x + \mu$, where

$$\lambda = \frac{y_1 - y_0}{x_1 - x_0}, \quad \mu = y_0 - \lambda x_0$$

Now

$$y^{2} = x^{3} + ax + b = (\lambda x + \mu)^{2}$$

$$\implies 0 = x^{3} - \lambda^{2} x^{2} + (a - 2\lambda \mu)x + (b - \mu^{2})$$

Since sum of roots of monic polynomial is equal to minus the coefficient of the second highest power, and two roots are x_0 and x_1 , the third root is $x_2 = \lambda^2 - x_0 - x_1$. Then $y_2 = \lambda x_2 + \mu$ and $R = (x_2, y_2)$.

• If $x_0 = x_1$, then using implicit differentiation:

$$y^{2} = x^{3} + ax + b$$

$$\Rightarrow \frac{dy}{dx} = \frac{3x^{2} + a}{2y}$$

- and the rest is as above, but instead with $\lambda = \frac{3x_0^2 + a}{2y_0}$.

 Definition: **group law** of elliptic curves: let $E: y^2 = x^3 + ax + b$. For all normal points $P = (x_0, y_0), Q = (x_1, y_1) \in E(K)$, define
 - \overline{O} is group identity: $P \oplus \overline{O} = \overline{O} \oplus P = P$.
 - If $P = -Q =: (x_0, -y_0), P \oplus Q = \overline{O}.$
 - Otherwise, $P \oplus Q = (x_2, -y_2)$, where

$$\begin{split} x_2 &= \lambda^2 - (x_0 + x_1), \\ y_2 &= \lambda x_2 + \mu, \\ \lambda &= \begin{cases} \frac{y_1 - y_0}{x_1 - x_0} \text{ if } x_0 \neq x_1 \\ \frac{3x_0^2 + a}{2y_0} \text{ if } x_0 = x_1, \end{cases} \\ \mu &= y_0 - \lambda x_0 \end{split}$$

- Example:
 - Let E be given by $y^2 = x^3 + 17$ over \mathbb{Q} , $P = (-1, 4) \in E(\mathbb{Q})$, $Q = (2, 5) \in E(\mathbb{Q})$. To find $P \oplus Q$,

$$\lambda = \frac{5-4}{2-(-1)} = \frac{1}{3}, \quad \mu = 4-\lambda(-1) = \frac{13}{3}$$

So
$$x_2=\lambda^2-(-1)-2=-\frac{8}{9}$$
 and $y_2=-(\lambda x_2+\mu)=-\frac{109}{27}$ hence

$$P \oplus Q = \left(-\frac{8}{9}, -\frac{109}{27}\right)$$

To find [2]P,

$$\lambda = \frac{3(-1)^2 + 0}{2 \cdot 4} = \frac{3}{8}, \quad \mu = 4 - \frac{3}{8} \cdot (-1) = \frac{35}{8}$$

so
$$x_3 = \lambda^2 - 2 \cdot (-1) \frac{137}{64}$$
, $y_3 = -(\lambda x_3 + \mu) = -\frac{2651}{512}$ hence

$$[2]P=(x_3,y_3)=\left(\frac{137}{64},-\frac{2651}{512}\right)$$

• Hasse's theorem: let $|E(\mathbb{F}_p)| = N$, then

$$|N - (p+1)| \le 2\sqrt{p}$$

- **Theorem**: $E(\mathbb{F}_p)$ is isomorphic to either \mathbb{Z}/k or $\mathbb{Z}/m \times \mathbb{Z}/n$ with $m \mid n$.
- Elliptic curve Diffie-Hellman:
 - Alice and Bob publicly choose elliptic curve $E(\mathbb{F}_p)$ and $P \in \mathbb{F}_p$ with order a large prime n.
 - Alice chooses random $\alpha \in \{0, ..., n-1\}$ and publishes $Q_A = [\alpha]P$.
 - Bob chooses random $\beta \in \{0, ..., n-1\}$ and publishes $Q_B = [\beta]P$.
 - Alice computes $[\alpha]Q_B = [\alpha\beta]P$, Bob computes $[\beta]Q_A = [\beta\alpha]P$.
 - Shared key is $K = [\alpha \beta] P$.
- Elliptic curve Elgamal signatures:
 - Use agreed elliptic curve E over \mathbb{F}_p , point $P \in E(\mathbb{F}_p)$ of prime order n.
 - Alice wants to sign message m, encoded as integer mod n.
 - Alice generates private key $\alpha \in \mathbb{Z}/n$ and public key $Q = [\alpha]P$.
 - Valid signature is (R,s) where $R=(x_R,y_R)\in E\big(\mathbb{F}_p\big),\ s\in\mathbb{Z}/n,$ $[\widetilde{x_R}]Q\oplus [s]R=[m]P.$
 - To generate a valid signature, Alice chooses random $0 \neq k \in \mathbb{Z}/n$ and sets R = [k]P, $s = k^{-1}(m \widetilde{x_R}\alpha)$.
 - k must be randomly generated for each message.
- Baby-step giant-step algorithm for elliptic curve DLP: given P and $Q = [\alpha]P$, find α :
 - Let $N = \lceil \sqrt{n} \rceil$, n is order of P.
 - Compute P, [2]P, ..., [N-1]P.
 - Compute $Q \oplus [-N]P$, $Q \oplus [-2N]P$, ..., $Q \oplus [-(N-1)N]P$ and find a match between these two lists: $[i]P = Q \oplus [-jN]P$, then [i+jN]P = Q so $\alpha = i+jN$.
- For well-chosen elliptic curves, the best algorithm for solving DLP is the baby-step giant-step algorithm, with run time $O(\sqrt{n}) \approx O(\sqrt{p})$. This is much slower than the index-calculus method for the DLP in \mathbb{F}_p^{\times} .

- Pollard's p-1 algorithm to factorise n=pq:
 - Choose smoothness bound B.
 - Choose random $2 \le a \le n-2$. Set $a_1 = a$, i = 1.
 - Compute $a_i = a_{i-1}^i \mod n$. Find $d = \gcd(a_i 1, n)$. If 1 < d < n, we have found a nontrivial factor of n. If d = n, pick new a and retry. If d = 1, increment i by 1 and repeat this step.
 - A variant is instead of computing $a_i = a_{i-1}^i$, compute $a_i = a_{i-1}^{m_{i-1}}$ where $m_1, ..., m_r$ are the prime powers $\leq B$ (each prime power is the maximal prime power $\leq B$ for that prime).
 - The algorithm works if p-1 is B-powersmooth (all prime power factors are $\leq B$), since if b is order of $a \mod p$, then $b \mid (p-1)$ so $b \mid B!$ (also $b \mid m_1 \cdots m_r$). If the first i for which i! (or $m_1 \cdots m_i$) is divisible by d and order of $a \mod q$, then $a_i 1 = a^{i!} 1 \mod n$ is divisible by both p and q, so must retry with different a.
- Let n = pq, p, q prime, $a, b \in \mathbb{Z}$, $\gcd(4a^3 + 27b^2, n) = 1$. Then $E : y^2 = x^3 + ax + b$ defines elliptic curve over \mathbb{F}_p and over \mathbb{F}_q . If $(x, y) \in \mathbb{Z}/n$ is solution to $E \mod n$ then can reduce coordinates $\mod p$ to obtain non-infinite point of $E(\mathbb{F}_p)$ and $\mod q$ to obtain non-infinite point of $E(\mathbb{F}_q)$.
- **Proposition**: let $P_1, P_2 \in E \mod n$, with

$$(P_1 \bmod p) \oplus (P_2 \bmod p) = \overline{O}$$

 $(P_1 \bmod q) \oplus (P_2 \bmod q) \neq \overline{O}$

Then $gcd(x_1 - x_2, n)$ (or $gcd(2x_1, n)$ if $P_1 = P_2$) is factor of n.

- Lenstra's algorithm to factorise n:
 - Choose smoothness bound B.
 - Choose random elliptic curve E over \mathbb{Z}/n with $\gcd(\Delta_E, n) = 1$ and P = (x, y) a point on E.
 - Set $P_1 = P$, attempt to compute P_i , $2 \le i \le B$ by $P_i = [i]P_{i-1}$. If one of these fails, a divisor of n has been found (by failing to compute an inverse mod n). If this divisor is trivial, restart with new curve and point.
 - If i = B is reached, restart with new curve and point.
 - Again, a variant is calculating $P_i=[m_i]P_{i-1}$ instead of $[i]P_{i-1}$ where $m_1,...,m_r$ are the prime powers $\leq B$
- Lenstra's algorithm works if $|E(\mathbb{Z}/p)|$ is B-powersmooth but $|E(\mathbb{Z}/q)|$ isn't. Since we can vary E, it is very likely to work eventually.
- Running time depends on p (the smaller prime factor):

$$O\!\left(\exp\!\left(\sqrt{2\log(p)\log\log(p)}\right)\right)$$

Compare this to the general number field sieve running time:

$$O\left(\exp\left(C(\log n)^{1/3}(\log\log n)^{2/3}\right)\right)$$

5.1. Torsion points

- **Definition**: let G abelian group. $g \in G$ is a **torsion** if it has finite order. If order divides n, then [n]g = e and g is n-torsion.
- Definition: *n*-torsion subgroup is

$$G[n] \coloneqq \{g \in G : [n]g = e\}$$

• **Definition**: **torsion subgroup** of G is

$$G_{\mathrm{tors}} = \{g \in G : g \text{ is torsion}\} = \bigcup_{n \in \mathbb{N}} G[n]$$

- Example:
 - In \mathbb{Z} , only 0 is torsion.
 - In $(\mathbb{Z}/10)^{\times}$, by Lagrange's theorem, every point is 4-torsion.
 - For finite groups G, $G_{tors} = G = G[|G|]$ by Lagrange's theorem.

5.2. Rational points

- Note: for elliptic curve $E: y^2 = x^3 + ax + b$ over \mathbb{Q} , can assume that $a, b \in \mathbb{Z}$.
- Nagell-Lutz theorem: let E elliptic curve, let $P=(x,y)\in E(\mathbb{Q})_{\mathrm{tors}}$. Then $x,y\in\mathbb{Z}$, and either y=0 (in which case P is 2-torsion) or $y^2\mid \Delta_E$.
- Corollary: $E(\mathbb{Q})_{\text{tors}}$ is finite.
- Example: can use Nagell-Lutz to show a point is not torsion.
 - P = (0,1) lies on elliptic curve $y^2 = x^3 x + 1$. $[2]P = (\frac{1}{4}, -\frac{7}{8}) \notin \mathbb{Z}^2$. Then [2]P is not torsion, hence P is not torsion. So $E(\mathbb{Q})$ contains distinct points ..., $[-2]P, -P, \overline{O}, P, [2]P, ...$, hence E has infinitely many solutions in \mathbb{Q} .
- Mazur's theorem: let E be elliptic curve over \mathbb{Q} . Then $E(\mathbb{Q})_{\text{tors}}$ is either:
 - cyclic of order $1 \le N \le 10$ or order 12, or
 - of the form $\mathbb{Z}/2 \times \mathbb{Z}/2N$ for $1 \le N \le 4$.
- **Definition**: let $E: y^2 = x^3 + ax + b$ defined over \mathbb{Q} , $a, b \in \mathbb{Z}$. For odd prime p, taking reductions \overline{a} , \overline{b} mod p gives curve over \mathbb{F}_p :

$$\overline{E}: y^2 = x^3 + \overline{a}x + \overline{b}$$

This is elliptic curve if $\Delta_E \not\equiv 0 \mod p$, in which case p is **prime of good reduction** for E.

• **Theorem**: let $E: y^2 = x^3 + ax + b$ defined over \mathbb{Q} , $a, b \in \mathbb{Z}$, p be odd prime of good reduction for E. Then $f: E(\mathbb{Q})_{\text{tors}} \to \overline{E}(\mathbb{F}_p)$ defined by

$$f(x,y)\coloneqq (\overline{x},\overline{y}),\quad f(\overline{O})\coloneqq \overline{O}$$

is injective (note $x, y \in \mathbb{Z}$ by Nagell-Lutz).

- So $E(\mathbb{Q})_{\text{tors}}$ can be thought of as subgroup of $E(\mathbb{F}_p)$ for any prime p of good reduction, so by Lagrange's theorem, $|E(\mathbb{Q})_{\text{tors}}|$ divides $|E(\mathbb{F}_p)|$.
- Mordell's theorem: if E is elliptic curve over \mathbb{Q} , then

$$E(\mathbb{Q}) \cong E(\mathbb{Q})_{\text{tors}} \times \mathbb{Z}^r$$

for some $r \geq 0$ the rank of E. So for some $P_1, ..., P_r \in E(\mathbb{Q})$,

$$E(\mathbb{Q}) = \{n_1P_1 + \dots + n_rP_r + T : n_i \in \mathbb{Z}, T \in E(\mathbb{Q})_{\mathrm{tors}}\}$$

 $P_1, ..., P_r, T$ are **generators** for $E(\mathbb{Q})$.

6. Basic coding theory

6.1. First definitions

- Definition:
 - Alphabet A is finite set of symbols.
 - A^n is set of all lists of n symbols from A these are words of length n.
 - Code of block length n on A is subset of A^n .
 - Codeword is element of a code.
- **Definition**: if |A| = 2, codes on A are **binary** codes. If |A| = 3, codes on A are **ternary codes**. If |A| = q, codes on A are **q-ary** codes. Generally, use $A = \{0, 1, ..., q 1\}$.
- **Definition**: let $x = x_1...x_n, y = y_1...y_n \in A^n$. **Hamming distance** between x and y is number of indices where x and y differ:

$$d:A^n\times A^n\to \{0,...,n\},\quad d(x,y)\coloneqq |\{i\in [n]: x_i\neq y_i\}|$$

So d(x, y) is minimum number of changes needed to change x to y. If x transmitted and y received, then d(x, y) symbol-errors have occurred.

- **Proposition**: let x, y words of length n.
 - $0 \le d(x,y) \le n$.
 - $d(x,y) = 0 \iff x = y$.
 - d(x,y) = d(y,x).
 - $\forall z \in A^n, d(x, y) \le d(x, z) + d(z, y).$
- **Definition**: **minimum distance** of code C is

$$d(C) := \min\{d(x, y) : x, y \in C, x \neq y\} \in \mathbb{N}$$

- Notation: code of block length n with M codewords and minimum distance d is called (n, M, d) (or (n, M)) code. A q-ary code is called an $(n, M, d)_q$ code.
- **Definition**: let $C \subseteq A^n$ code, x word of length n. A **nearest neighbour** of x is codeword $c \in C$ such that $d(x,c) = \min\{d(x,y) : y \in C\}$.

6.2. Nearest-neighbour decoding

- Definition: nearest-neighbour decoding (NND) means if word x received, it is decoded to a nearest neighbour of x in a code C.
- **Proposition**: let C be code with minimum distance d, let word x be received with t symbol errors. Then
 - If $t \leq d-1$, then we can detect that x has some errors.
 - If $t \leq \left\lfloor \frac{d-1}{2} \right\rfloor$, then NND will correct the errors.

6.3. Probabilities

- Definition: q-ary symmetric channel with symbol-error probability p is channel for q-ary alphabet A such that:
 - For every $a \in A$, probability that a is changed in channel is p.
 - For every $a \neq b \in A$, probability that a is changed to b in channel is

$$\mathbb{P}(b \text{ received } \mid a \text{ sent}) = \frac{p}{q-1}$$

i.e. symbol-errors in different positions are independent events.

• Proposition: let c codeword in q-ary code $C \subseteq A^n$ sent over q-ary symmetric channel with symbol-error probability p. Then

$$\mathbb{P}(x \text{ received} \mid c \text{ sent}) = \left(\frac{p}{q-1}\right)^t (1-p)^{n-t}, \quad \text{where } t = d(c,x)$$

• Example: let $C = \{000, 111\} \subset \{0, 1\}^3$.

x	t = d(000, x)	chance 000 received	chance if $p = 0.01$	NND decodes
		as x		correctly?
000	0	$(1-p)^3$	0.970299	yes
100	1	$p(1-p)^2$	0.009801	yes
010	1	$p(1-p)^2$	0.009801	yes
001	1	$p(1-p)^2$	0.009801	yes
110	2	$p^2(1-p)$	0.000099	no
101	2	$p^2(1-p)$	0.000099	no
011	2	$p^2(1-p)$	0.000099	no
111	3	p^3	0.000001	no

- Corollary: if $p < \frac{q-1}{q}$ then P(x received | c sent) increases as d(x,c) decreases.
- Remark: by Bayes, theorem,

$$\mathbb{P}(c \text{ sent} \mid x \text{ received}) = \frac{\mathbb{P}(c \text{ sent and } x \text{ received})}{\mathbb{P}(x \text{ received})} = \frac{\mathbb{P}(c \text{ sent})\mathbb{P}(x \text{ received} \mid c \text{ sent})}{\mathbb{P}(x \text{ received})}$$

• **Proposition**: let C be q-ary (n, M, d) code used over q-ary symmetric channel with symbol-error probability p < (q-1)/q, and each codeword $c \in C$ is equally likely to be sent. Then for any word x, $\mathbb{P}(c \text{ sent } | x \text{ received})$ increases as d(x,c)decreases.

6.4. Bounds on codes

- Proposition (singleton bound): for q-ary code (n, M, d) code, $M \leq q^{n-d+1}$.
- **Definition**: code which saturates singleton bound is called **maximum distance** separable (MDS).
- Example: let C_n be binary repetition code of block length n,

$$C_n := \{\underbrace{00...0}_n, \underbrace{11...1}_n\} \subset \{0,1\}^n$$

- C_n is $(n,2,n)_2$ code, and $2=2^{n-n+1}$ so C_n is MDS code. **Definition**: let A be alphabet, |A|=q. Let $n\in\mathbb{N},\ 0\leq t\leq n,\ t\in\mathbb{N},\ x\in A^n$.
 - Ball of radius t around x is

$$S(x,t)\coloneqq\{y\in A^n:d(y,x)\leq t\}$$

• Code $C \subseteq A^n$ is **perfect** if

$$\exists t \in \mathbb{N} : A^n = \coprod_{c \in C} S(c,t)$$

where \coprod is disjoint union.

- Example: for $C = \{000, 111\} \subset \{0, 1\}^3$, $S(000, 1) = \{000, 100, 010, 001\}$ and $S(111, 1) = \{111, 011, 101, 110\}$. These are disjoint and $S(000, 1) \cup S(111, 1) = \{0, 1\}^3$, so C is perfect.
- **Example**: let $C = \{111, 020, 202\} \subset \{0, 1, 2\}^3$. $\forall c \in C, d(c, 012) = 2$. So 012 is not in any S(c, 1) but is in every S(c, 2), so C is not perfect.
- Lemma: let |A| = q, $x \in \mathbb{A}^n$, then

$$|S(x,t)| = \sum_{k=0}^{t} {n \choose k} (q-1)^k$$

- Example: let $C = \{111, 020, 202\} \subset \{0, 1, 2\}^3$, so q = 3, n = 3. So $|S(x,1)| = {3 \choose 0} + {3 \choose 1}(3-1) = 7$, $|S(x,2)| = {3 \choose 0} + {3 \choose 1}(3-1) + {3 \choose 2}(3-1)^2 = 19$. But $|\{0,1,2\}|^3 = 27$ and $7 \nmid 27$, $19 \nmid 27$, so $\{0,1,2\}^3$ can't be partially balls of either size. So C can't be perfect. |S(x,3)| = 27, but then C must contain only one codeword to be perfect, and |S(x,0)| = 1, but then $C = A^n$ to be perfect. These are trivial, useless codes.
- Proposition (Hamming/sphere-packing bound): q-ary (n, M, d) code satisfies

$$M\sum_{k=0}^{t} {n \choose k} (q-1)^k \le q^n$$
, where $t = \left\lfloor \frac{d-1}{2} \right\rfloor$

• Corollary: code saturates Hamming bound iff it is perfect.

7. Linear codes

7.1. Finite vector spaces

- **Definition**: linear code of block length n is subspace of \mathbb{F}_q^n .
- Example: let $x = (0, 1, 2, 0), y = (1, 1, 1, 1), z = (0, 2, 1, 0) \in \mathbb{F}_3^4$. $C_1 = \{x, y, 0\}$ is not linear code since e.g. $x + y = (1, 2, 0, 1) \notin C_1$. $C_2 = \{x, z, 0\}$ is linear code.
- Notation: spanning set of S is $\langle S \rangle$.
- **Proposition**: if linear code $C \subseteq \mathbb{F}_q^n$ has $\dim(C) = k$, then $|C| = q^k$.
- **Definition**: a q-ary [n, k, d] code is linear code: a subspace of \mathbb{F}_q^n of dimension k with minimum distance d. Note: a q-ary [n, k, d] code is a q-ary (n, q^k, d) code.

7.2. Weight and minimum distance

• **Definition**: weight of $x \in \mathbb{F}_q^n$, w(x), is number of non-zero entries in x:

$$w(\boldsymbol{x}) = |\{i \in [n]: x_i \neq 0\}|$$

- Lemma: $\forall x, y \in \mathbb{F}_q^n$, d(x, y) = w(x y). In particular, w(x) = d(x, 0).
- Proposition: let $C \subseteq \mathbb{F}_q^n$ linear code, then

$$d(C) = \min\{w(\boldsymbol{c}) : \boldsymbol{c} \in C, \boldsymbol{c} \neq \boldsymbol{0}\}$$

• **Remark**: to find d(C) for linear code with q^k words, only need to consider q^k weights instead of $\binom{q^k}{2}$ distances.

8. Codes as images

8.1. Generator-matrices

- **Definition**: let $C \subseteq \mathbb{F}_q^n$ be linear code. Let $G \in M_{k,n}(\mathbb{F}_q)$, $f_G : \mathbb{F}_q^k \to \mathbb{F}_q^n$ be linear map defined by $f_G(x) = xG$. Then G is **generator-matrix** for C if
 - $C = \operatorname{im}(f) = \{ \boldsymbol{x}G : \boldsymbol{x} \in \mathbb{F}_q^k \} \subseteq \mathbb{F}_q^n$.
 - The rows of G are linearly independent.

i.e. G is generator-matrix for C iff rows of G form basis for C (note $xG = x_1g_1 + \cdots + x_kg_k$ where g_i are rows of G).

• Remark: given linear code $C = \langle a_1, ..., a_m \rangle$, a generator-matrix can be found for C by constructing the matrix A with rows a_i , then performing elementary row operations to bring A into RREF. Once the m-k bottom zero rows have been removed, the resulting matrix is a generator-matrix.