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# 1. Non-classical logic

## 1.1. Intuitionistic logic

Idea: a statement is true if there is a proof of it. A proof of  $\varphi \Rightarrow \psi$  is a "procedure" that can convert a proof of  $\varphi$  to a proof of  $\psi$ . A proof of  $\neg \varphi$  is a proof that there is no proof of  $\varphi$ .

In particular,  $\neg\neg\varphi$  is not always the same as  $\varphi$ .

**Fact 1.1** The Law of Excluded Middle (LEM)  $(\varphi \lor \neg \varphi)$  is not (generally) intuitionistically valid.

Moreover, the Axiom of Choice is incompatible with intuitionistic set theory.

In intuitionistic logic,  $\exists$  means an explicit element can be found.

Why bother with intuitionistic logic?

- Intuitionistic mathematics is more general, as we assume less (no LEM or AC).
- Several notions that are conflated in classical mathematics are genuinely different constructively.
- Intuitionistic proofs have a computable content that may be absent in classical proofs.
- Intuitionistic logic is the internal logic of an arbitrary topos.

We will inductively define a provability relation by enforcing rules that implement the BHK-interpretation.

**Definition 1.2** A set is **inhabited** if there is a proof that it is non-empty.

**Axiom 1.3** (Choice - Intutionistic Version) Any family of inhabited sets admits a choice function.

**Theorem 1.4** (Diaconescu) The Law of Excluded Middle can be intutionistically deduced from the Axiom of Choice.

Proof (Hints).

- Proof should use Axioms of Separation, Extensionality and Choice.
- For proposition  $\varphi$ , consider  $A = \{x \in \{0,1\} : \varphi \lor (x=0)\}$  and  $B = \{x \in \{0,1\} : \varphi \lor (x=1)\}.$
- Show that we have a proof of  $f(A) = 0 \lor f(A) = 1$ , similarly for f(B).
- Consider the possibilities that arise from above, show that they lead to either a proof of  $\varphi$  or a proof of  $\neg \varphi$ .

Proof.

• Let  $\varphi$  be a proposition. By the Axiom of Separation, the following are sets:

$$A = \{x \in \{0, 1\} : \varphi \lor (x = 0)\},\$$
$$B = \{x \in \{0, 1\} : \varphi \lor (x = 1)\}.$$

- Since  $0 \in A$  and  $1 \in B$ , we have a proof that  $\{A, B\}$  is a family of inhabited sets, thus admits a choice function  $f : \{A, B\} \to A \cup B$  by the Axiom of Choice.
- f satisfies  $f(A) \in A$  and  $f(B) \in B$  by definition.
- So we have f(A) = 0 or  $\varphi$  is true, and f(B) = 1 or  $\varphi$  is true. Also,  $f(A), f(B) \in \{0, 1\}$ .
- Now  $f(A) \in \{0,1\}$  means we have a proof of  $f(A) = 0 \lor f(A) = 1$  and similarly for f(B).
- There are the following possibilities:
  - 1. We have a proof that f(A) = 1, so  $\varphi \lor (1 = 0)$  has a proof, so we must have a proof of  $\varphi$ .
  - 2. We have a proof that f(B) = 0, so  $\varphi \lor (0 = 1)$  has a proof, so we must have a proof of  $\varphi$ .
  - 3. We have a proof that  $f(A) = 0 \land f(B) = 1$ , in which case we can prove  $\neg \varphi$ : assume there is a proof of  $\varphi$ , we can prove that A = B (by the Axiom of Extensionality), in which case 0 = f(A) = f(B) = 1: contradiction.
- So we can always specify a proof of  $\varphi$  or a proof of  $\neg \varphi$ .

**Notation 1.5** We write  $\Gamma \vdash \varphi$  to mean that  $\varphi$  is a consequence of the formulae in the set  $\Gamma$ .  $\Gamma$  is called the **set of hypotheses or open assumptions**.

Notation 1.6 Notation for assumptions and deduction.

**Definition 1.7** The rules of the intuitionistic propositional calculus (IPC) are:

- conjunction introduction,
- conjunction elimination,
- disjunction introduction,
- disjunction elimination,
- implication introduction,
- implication elimination,
- assumption,
- weakening,
- construction,
- and for any A,

$$\frac{\Gamma \vdash \bot}{\Gamma \vdash A}$$

as defined below.

**Definition 1.8** The conjunction introduction  $(\land -I)$  rule:

$$\frac{\Gamma \vdash A \quad \Gamma \vdash B}{\Gamma \vdash A \land B}.$$

**Definition 1.9** The conjunction elimination ( $\land$ -E) rule:

$$\frac{\Gamma \vdash A}{\Gamma \vdash A \lor B}, \quad \frac{\Gamma \vdash B}{\Gamma \vdash A \lor B}.$$

**Definition 1.10** The disjunction introduction (V-I) rule:

$$\frac{\Gamma \vdash A}{\Gamma \vdash A \lor B}, \quad \frac{\Gamma \vdash B}{\Gamma \vdash A \lor B}.$$

Definition 1.11 The disjunction elimination (proof by cases) (V-E) rule:

$$\frac{\Gamma,A \vdash C \quad \Gamma,B \vdash C \quad \Gamma \vdash A \lor B}{\Gamma \vdash C}.$$

**Definition 1.12** The implication/arrow introduction  $(\rightarrow -I)$  rule (note the similarity to the deduction theorem):

$$\frac{\Gamma,A \vdash B}{\Gamma \vdash A \to B}.$$

**Definition 1.13** The implication/arrow elimination  $(\rightarrow$ -E) rule (note the similarity to modus ponens):

$$\frac{\Gamma \vdash A \to B \quad \Gamma \vdash A}{\Gamma \vdash B}.$$

**Definition 1.14** The assumption (Ax) rule: for any A,

$$\overline{\Gamma, A \vdash A}$$

**Definition 1.15** The weakening rule:

$$\frac{\Gamma \vdash B}{\Gamma, A \vdash B}.$$

**Definition 1.16** The construction rule:

$$\frac{\Gamma, A, A \vdash B}{\Gamma, A \vdash B}.$$

**Remark 1.17** We obtain classical propositional logic (CPC) from IPC by adding either:

•  $\Gamma \vdash A \lor \neg A$ :

$$\overline{\Gamma \vdash A \lor \neg A}$$
,

or

• If  $\Gamma, \neg A \vdash \perp$ , then  $\Gamma \vdash A$ :

$$\frac{\Gamma, \neg A \vdash \bot}{\Gamma \vdash A}.$$

Notation 1.18 see scan

**Definition 1.19** We obtain **intuitionistic first-order logic (IQC)** by adding the following rules to IPC for quantification:

- existental inclusion,
- existential elimination,
- universal inclusion,
- universal elimination

as defined below.

**Definition 1.20** The existential inclusion  $(\exists -I)$  rule: for any term t,

$$\frac{\Gamma \vdash \varphi[t/x]}{\Gamma \vdash \exists x. \varphi(x)}.$$

Definition 1.21 The existential elimination  $(\exists -I)$  rule:

$$\frac{\Gamma \vdash \exists x. \varphi \quad \Gamma, \varphi \vdash \psi}{\Gamma \vdash \psi},$$

where x is not free in  $\Gamma$  or  $\psi$ .

**Definition 1.22** The universal inclusion  $(\forall -\mathbf{I})$  rule:

$$\frac{\Gamma \vdash \varphi}{\Gamma \vdash \forall x. \varphi},$$

where x is not free in  $\Gamma$ .

**Definition 1.23** The universal exclusion  $(\forall -E)$  rule:

$$\frac{\Gamma \vdash \forall x. \varphi(x)}{\Gamma \vdash \varphi[t/x]},$$

where t is a term.

**Definition 1.24** We define the notion of **discharging/closing** open assumptions, which informally means that we remove them as open assumptions, and append them to the consequence by adding implications. We enclose discharged assumptions in square brackets [] to indicate this, and add discharged assumptions in parentheses to the right of the proof. For example,  $\rightarrow$ -I is written as

$$\Gamma, [A]$$

$$\vdots$$

$$\frac{B}{\Gamma \vdash A \to B}(A)$$

**Example 1.25** A natural deduction proof that  $A \wedge B \to B \wedge A$  is given below:

$$\frac{\frac{[A \land B]}{A} \quad \frac{[A \land B]}{B}}{\frac{B \land A}{A \land B \rightarrow B \land A} (A \land B)}$$

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**Example 1.26** A natural deduction proof of  $\varphi \to (\psi \to \varphi)$  is given below (note clearly we must use  $\to$ -I):

$$\frac{[\varphi] \quad [\psi]}{\psi \to \varphi}$$

$$\frac{\varphi \to (\psi \to \varphi)}{\varphi \to (\psi \to \varphi)}$$

**Example 1.27** A natural deduction proof of  $(\varphi \to (\psi \to \chi)) \to ((\varphi \to \psi) \to (\varphi \to \chi))$  (note clearly we must use  $\to$ -I):

$$\begin{array}{cccc} [\varphi \rightarrow (\psi \rightarrow \chi)] & [\varphi \rightarrow \psi] & [\varphi] \\ \hline & \psi \rightarrow \chi & \psi \\ \hline & \chi \\ \hline & \varphi \rightarrow \chi \\ \hline & (\varphi \rightarrow \psi) \rightarrow (\varphi \rightarrow \chi) \\ \hline & (\varphi \rightarrow (\psi \rightarrow \chi)) \rightarrow ((\varphi \rightarrow \psi) \rightarrow (\varphi \rightarrow \chi)) \\ \hline \end{array}$$

**Notation 1.28** If  $\Gamma$  is a set of propositions,  $\varphi$  is a proposition and  $L \in \{IPC, IQC, CPC, CQC\}$ , write  $\Gamma \vdash_L \varphi$  if there is a proof of  $\varphi$  from  $\Gamma$  in the logic L.

**Lemma 1.29** If  $\Gamma \vdash_{\text{IPC}} \varphi$ , then  $\Gamma, \psi \vdash_{\text{IPC}} \varphi$  for any proposition  $\psi$ . If p is a primitive proposition (doesn't contain any logical connectives or quantifiers) and  $\psi$  is any proposition, then  $\Gamma[\psi/p] \vdash_{\text{IPC}} \varphi[\psi/p]$ .

*Proof.* Induction on number of lines of proof (exercise).

# 1.2. The simply typed $\lambda$ -calculus

**Definition 1.30** The set  $\Pi$  of simple types is generated by the grammar

$$\Pi \coloneqq U \mid \Pi \to \Pi$$

where U is a countable set of **type variables** (**primitive types**) together with an infinite set of V of **variables**. So  $\Pi$  consists of U and is closed under  $\rightarrow$ : for any  $a, b \in \Pi$ ,  $a \to b \in \Pi$ .

**Definition 1.31** The set  $\Lambda_{\Pi}$  of simply typed  $\lambda$ -terms is defined by the grammar

$$\Lambda_{\Pi} \coloneqq V \mid \lambda V : \Pi . \Lambda_{\Pi} \mid \Lambda_{\Pi} \Lambda_{\Pi}$$

In the term  $\lambda x : \tau.M$ , x is a variable,  $\tau$  is type and M is a  $\lambda$ -term. Forming terms of this form is called  $\lambda$ -abstraction. Forming terms of the form  $\Lambda_{\Pi}\Lambda_{\Pi}$  is called  $\lambda$ -application.

**Example 1.32** The  $\lambda$ -term  $\lambda x : \mathbb{Z}.x^2$  should represent the function  $x \mapsto x^2$  on  $\mathbb{Z}$ .

**Definition 1.33** A **context** is a set of pairs  $\Gamma = \{x_1 : \tau_1, ..., x_n : \tau_n\}$  where the  $x_i$  are distinct variables and each  $\tau_i$  is a type. So a context is an assignment of a type to each variable in a given set. Write C for the set of all possible contexts. Given a context  $\Gamma \in C$ , write  $\Gamma, x : \tau$  for the context  $\Gamma \cup \{x : \tau\}$  (if x does not appear in  $\Gamma$ ).

The **domain** of  $\Gamma$  is the set of variables  $\{x_1, ..., x_n\}$  that occur in it, and its **range**,  $|\Gamma|$ , is the set of types  $\{\tau_1, ..., \tau_n\}$  that it manifests.

**Definition 1.34** Recursively define the **typability relation**  $\Vdash \subseteq C \times \Lambda_{\Pi} \times \Pi$  via:

- 1. For every context  $\Gamma$ , variable x not occurring in  $\Gamma$  and type  $\tau$ , we have  $\Gamma, x : \tau \Vdash x : \tau$ .
- 2. For every context  $\Gamma$ , variable x not occurring in  $\Gamma$ , types  $\sigma, \tau \in \Pi$ , and  $\lambda$ -term M, if  $\Gamma, x : \sigma \Vdash M : \tau$ , then  $\Gamma \Vdash (\lambda x : \sigma . M) : (\sigma \to t)$ .
- 3. For all contexts  $\Gamma$ , types  $\sigma, \tau \in \Pi$ , and terms  $M, N \in \Lambda_{\Pi}$ , if  $\Gamma \Vdash M : (\sigma \to t)$  and  $\Gamma \Vdash N : \sigma$ , then  $\Gamma \Vdash (MN) : \tau$ .

**Definition 1.35** For  $\Gamma \in C$ , we say a  $\lambda$ -term  $M \in \Lambda_{\Pi}$  is **typable** if for some type  $\tau \in \Pi$ ,  $\Gamma \Vdash M : \tau$ .

**Notation 1.36** We will refer to the  $\lambda$ -calculus of  $\Lambda_{\Pi}$  with this typability relation as  $\lambda(\rightarrow)$ .

**Definition 1.37** A variable x occurring in a  $\lambda$ -abstraction  $\lambda x : \sigma.M$  is **bound** and is **free** otherwise. A term with no free variables is called **closed**.

**Definition 1.38** Terms M and N are  $\alpha$ -equivalent if they differ only in the names of their bound variables.

**Definition 1.39** If M and N are  $\lambda$ -terms and x is a variable, then we define the substitution of N for x in M by the following rules:

- x[x := N] = N.
- y[x := N] = y for  $y \neq x$ .
- (PQ)[x := N] = P[x := N]Q[x := N] for  $\lambda$ -terms P, Q.
- $(\lambda y : \sigma.P)[x := N] = \lambda y : \sigma.(P[x := N])$  for  $x \neq y$  and y not free in N.

**Definition 1.40** The  $\beta$ -reduction relation is the smallest relation  $\xrightarrow{\beta}$  on  $\Lambda_{\Pi}$  closed under the following rules:

- $(\lambda x : \sigma.P)Q \xrightarrow{\beta} P[x := Q]$ . The term being reduced is called a  $\beta$ -redex, and the result is called its  $\beta$ -contraction.
- If  $P \xrightarrow{\beta} P'$ , then for all variables x and types  $\sigma \in \Pi$ , we have  $\lambda x : \sigma . P \xrightarrow{\beta} \lambda x : \sigma . P'$ .
- If  $P \xrightarrow{\beta} P'$  and Z is a  $\lambda$ -term, then  $PZ \xrightarrow{\beta} P'Z$  and  $ZP \xrightarrow{\beta} ZP'$ .

**Definition 1.41** We define  $\beta$ -equivalence,  $\equiv_{\beta}$ , as the smallest equivalence relation containing  $\xrightarrow{\beta}$ .

**Example 1.42** We have  $(\lambda x : \mathbb{Z}.(\lambda y : \tau.x))2 \xrightarrow{\beta} (\lambda y : \tau.2)$ .

**Lemma 1.43** (Free Variables Lemma) Let  $\Gamma \Vdash M : \sigma$ . Then

- If  $\Gamma \subseteq \Gamma'$ , then  $\Gamma' \Vdash M : \sigma$ .
- The free variables of M occur in  $\Gamma$ .
- There is a context  $\Gamma^* \subseteq \Gamma$  whose variables are exactly the free variables in M, with  $\Gamma^* \Vdash M : \sigma$ .

*Proof.* By induction on the grammar (exercise).

#### Lemma 1.44 (Generation Lemma)

- 1. For every variable  $x \in V$ , context  $\Gamma$  and type  $\sigma \in \Pi$ : if  $\Gamma \Vdash x : \sigma$ , then  $x : \sigma \in \Gamma$ .
- 2. If  $\Gamma \Vdash (MN) : \sigma$ , then there is a type  $\tau \in \Pi$  such that  $\Gamma \Vdash M : \tau \to \sigma$  and  $\Gamma \Vdash N : \tau$ .
- 3. If  $\Gamma \Vdash (\lambda x.M) : \sigma$ , then there are types  $\tau, \rho \in \Pi$  such that  $\Gamma, x : \tau \Vdash M : \rho$  and  $\sigma = (\tau \to \rho)$ .

*Proof.* By induction on the grammar (exercise).

#### Lemma 1.45 (Substitution Lemma)

- 1. If  $\Gamma \Vdash M : \sigma$  and  $\alpha \in U$  is a type variable, then  $\Gamma[\alpha := \tau] \Vdash M : \sigma[\alpha := \tau]$ .
- 2. If  $\Gamma, x : \tau \Vdash M : \sigma$  and  $\Gamma \Vdash N : \tau$ , then  $\Gamma \Vdash M[x := N] : \sigma$ .

*Proof.* By induction on the grammar (exercise).

**Proposition 1.46** (Subject Reduction) If  $\Gamma \Vdash M : \sigma$  and  $M \xrightarrow{\beta} N$ , then  $\Gamma \Vdash N : \sigma$ .

Proof.

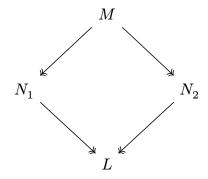
• By induction on the derivation of  $M \xrightarrow{\beta} N$ , using Generation and Substitution Lemmas (exercise).

**Definition 1.47** A  $\lambda$ -term  $M \in \Lambda_{\Pi}$  is an  $\beta$ -normal form ( $\beta$ -NF) if there is no term  $N \neq M$  such that  $M \xrightarrow{\beta} N$ .

Notation 1.48 Write  $M \underset{\beta}{\twoheadrightarrow} N$  if M reduces to N after (potentially) multiple  $\beta$ -reductions.

**Theorem 1.49** (Church-Rosser for  $\lambda(\to)$ ) Suppose that  $\Gamma \Vdash M : \sigma$ . If  $M \underset{\beta}{\twoheadrightarrow} N_1$  and  $M \underset{\beta}{\twoheadrightarrow} N_2$ , then there is a  $\lambda$ -term L such that  $N_1 \underset{\beta}{\twoheadrightarrow} L$  and  $N_2 \underset{\beta}{\twoheadrightarrow} L$ , and  $\Gamma \Vdash L : \sigma$ .

**Remark 1.50** In Church-Rosser, the fact that  $M woheadrightarrow N_1$  and  $M woheadrightarrow N_2$  implies that  $N_1, N_2 woheadrightarrow L$  is called **confluence**, and can be represented diagramatically as



Corollary 1.51 (Uniqueness of normal form) If a simply-typed  $\lambda$ -term admits a  $\beta$ -NF, then this form is unique.

Proposition 1.52 (Uniqueness of types)

- 1. If  $\Gamma \Vdash M : \sigma$  and  $\Gamma \Vdash M : \tau$ , then  $\sigma = \tau$ .
- 2. If  $\Gamma \Vdash M : \sigma$ ,  $\Gamma \Vdash N : \tau$ , and  $M \equiv N$ , then  $\sigma = \tau$ .

Proof.

- 1. Induction (exercise).
- 2. By Church-Rosser, there is a  $\lambda$ -term L which both M and N reduce to (since  $\beta$ -equivalence means there is a finite sequence of alternating up and down  $\xrightarrow{\beta}$  relations). By Subject Reduction, we have  $\Gamma \Vdash L : \sigma$  and  $\Gamma \Vdash L : \tau$ , so  $\sigma = \tau$  by 1.

**Example 1.53** There is no way to assign a type to  $\lambda x.xx$ : let x be of type  $\tau$ , then by the Generation Lemma, in order to apply x to x, x must be of type  $\tau \to \sigma$  for some type  $\sigma$ . But  $\tau \neq \tau \to \sigma$ , which contradicts Uniqueness of Types.

**Definition 1.54** The **height function** is the recursively defined map  $h: \Pi \to \mathbb{N}$  that maps all type variables  $u \in U$  to 0, and a function type  $\sigma \to \tau$  to  $1 + \max\{h(\sigma), h(\tau)\}$ :

$$h: \Pi \to \mathbb{N},$$
 
$$h(\alpha) = 0 \quad \forall \alpha \in U,$$
 
$$h(\sigma \to \tau) = 1 + \max\{h(\sigma), h(\tau)\} \quad \forall \sigma, \tau \in \Pi.$$

The **height** of a redex is defined as the height of the type of its  $\lambda$ -abstraction:

$$h\big((\lambda x:\sigma.P^\tau)^{\sigma\to\tau}Q\big)=h(\sigma\to\tau).$$

**Notation 1.55**  $(\lambda x : \sigma . P^{\tau})^{\sigma \to \tau}$  denotes that P has type  $\tau$  and the  $\lambda$ -abstraction has type  $\sigma \to \tau$ .

**Theorem 1.56** (Weak normalisation for  $\lambda(\to)$ ) Let  $\Gamma \Vdash M : \sigma$ . Then there is a finite reduction path  $M := M_0 \xrightarrow{\beta} M_1 \xrightarrow{\beta} \dots \xrightarrow{\beta} M_n$ , where  $M_n$  is in  $\beta$ -normal form.

Proof "Taming the Hydra".

- Idea is to apply induction on the complexity of M.
- Define a function  $m: \Lambda_{\Pi} \to \mathbb{N} \times \mathbb{N}$  by

$$m(M) \coloneqq \begin{cases} (0,0) & \text{if } M \text{ is in } \beta\text{-NF} \\ (h(M), \operatorname{redex}(M)) & \text{otherwise} \end{cases}$$

where h(M) is the maximal height of a redex in M, and redex(M) is the number of redexes in M of that height.

- We use induction over  $\omega \times \omega$  to show that if M is typable, then it admits a reduction to  $\beta$ -NF.
- The problem is that reductions can copy redexes or create new ones, so our strategy is to always reduce the right-most redex of maximal height.
- We will argue that, by following this strategy, any new redexes that we generate have a strictly lower height than the height of the redex we chose to reduce.
- If  $\Gamma \Vdash M : \sigma$  and M is already in  $\beta$ -NF, then we are done.
- So assume M is not in  $\beta$ -NF. Let  $\Delta$  be the rightmost redex of maximal height h.
- By reducing  $\Delta$ , we may introduce copies of existing redexes or create new ones.
- Creation of new redexes by  $\beta$ -reduction of  $\Delta$  in one of the following ways:
  - 1. If  $\Delta$  is of the form  $(\lambda x : (\rho \to \mu)...xP^{\rho}...)(\lambda y : \rho.Q^{\mu})^{\rho \to \mu}$ , then it reduces to  $...(\lambda y : \rho.Q^{\mu})^{\rho \to \mu}P^{\rho}...$ , in which case there is a new redex of height  $h(\rho \to \mu) < h$ .
  - 2. We have  $\Delta = (\lambda x : \tau.(\lambda y : \rho.R^{\mu}))P^{\tau}$  occurring in M in the scenario  $\Delta^{\rho \to \mu}Q^{\rho}$ . Say  $\Delta$  reduces to  $\lambda y : \rho.R_1^{\mu}$ . Then we create a new redex  $(\lambda y : \rho.R_1^{\mu})Q^{\rho}$  of height  $h(\rho \to \mu) < h(\tau \to (\rho \to \mu)) = h$ .
  - 3.  $\Delta = (\lambda x : (\rho \to \mu).x)(\lambda y : \rho.P^{\mu})$ , which occurs in M as  $\Delta^{\rho \to \mu}Q^{\rho}$ . Reduction then gives the redex  $(\lambda y : \rho.P^{\mu})Q^{\rho}$  of height  $h(\rho \to \mu) < h$ .
- Now  $\Delta$  itself no longer appears in M, (lowering the count of redexes of maximal height by 1), and any newly created redexes have height < h.
- If we have  $\Delta = (\lambda x : \tau . P^{\rho})Q^{\tau}$  and P contains multiple free occurrences of x, then all the redexes in Q are multiplied when performing  $\beta$ -reduction.
- However, our choice of  $\Delta$  ensures that the height of any such redex in Q has height < h (since these redexes are to the right of  $\Delta$  in M).
- Thus, it is always the case that for the new term M', m(M') < m(M) in the lexicographic order. So by the induction hypothesis, since M' can be reduced to  $\beta$ -NF, so can M.

**Theorem 1.57** (Strong Normalisation for  $\lambda(\to)$ ) Let  $\Gamma \Vdash M : \sigma$ . Then there is no infinite reduction sequence  $M \xrightarrow{\beta} M_1 \longrightarrow \beta...$ .

*Proof.* Exercise (sheet 1).

## 1.3. The Curry-Howard correspondence

**Remark 1.58** We can think of a proposition  $\varphi$  as the "type of its proofs". The properties of simply-typed  $\lambda(\to)$  match the rules of IPC rather precisely. We first show a correspondence between  $\lambda(\to)$  and the implicational fragment IPC( $\to$ ) of IPC that includes only the  $\to$  connective, the axiom scheme, and the ( $\to$ -I) and ( $\to$ -E) rules. We later extend this to all of IPC by introducing more complex types to  $\lambda(\to)$ .

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Start with IPC( $\rightarrow$ ) and build a simply-typed  $\lambda$ -calculus out of it whose set of type variables U is precisely the set of primitive propositions of the logic. Clearly, the set of types  $\Pi$  then matches the set of propositions in the logic.

**Proposition 1.59** (Curry-Howard correspondence for IPC( $\rightarrow$ )) Let  $\Gamma$  be a context for  $\lambda(\rightarrow)$  and  $\varphi$  be a proposition. Then:

- 1. If  $\Gamma \Vdash M : \varphi$ , then  $|\Gamma| = \{ \tau \in \Pi : (x : \tau) \in \Gamma \text{ for some } x \} \underset{\text{IPC}(\to)}{\vdash} \varphi$ .
- 2. If  $\Gamma \vdash_{\mathrm{IPC}(\to)} \varphi$ , then there is a simply-typed  $\lambda$ -term  $M \in \lambda(\to)$  such that  $\{(x_{\varphi} : \varphi) : \varphi \in \Gamma\} \Vdash M : \varphi$ .

#### Proof.

- 1. Use induction on the derivation of  $\Gamma \Vdash M : \varphi$ .
  - Let x be a variable not occurring in  $\Gamma'$  and the derivation is of the form  $\Gamma', x : \varphi \Vdash x : \varphi$ , then we have that  $|\Gamma', x : \varphi| \vdash_{\mathrm{IPC}(\to)} \varphi$  since  $\varphi \vdash_{\mathrm{IPC}(\to)} \varphi$  (as  $|\Gamma', x : \varphi| = |\Gamma'| \cup \{\varphi\}$ ).
  - If the derivation has M of the form  $\lambda x : \sigma.N$  and  $\varphi = (\sigma \to \tau)$ , then we must have  $\Gamma, x : \sigma \Vdash N : \tau$ . By the induction hypothesis, we have that  $|\Gamma, x : \sigma| \vdash \tau$ , i.e.  $|\Gamma|, \sigma \vdash \tau$ . But then  $|\Gamma| \vdash \sigma \to \tau$  by  $(\to I)$ .
  - If the derivation is of the form  $\Gamma \Vdash (PQ) : \varphi$ , then we must have  $\Gamma \Vdash P : (\sigma \rightarrow \varphi)$  and  $\Gamma \Vdash Q : \sigma$ . By the induction hypothesis, we have  $|\Gamma| \vdash (\sigma \rightarrow \varphi)$  and  $|\Gamma| \vdash \sigma$ , so  $|\Gamma| \vdash \varphi$  by  $(\rightarrow$ -E).
- 2. Use induction on the derivation of  $\Gamma \vdash \varphi$ .
  - Write  $\Delta = \{(x_{\psi} : \psi) : \psi \in \Gamma\}$ . Then we only have a few ways to construct a proof at a given stage. Say the derivation is of the form  $\Gamma, \varphi \vdash \varphi$ . If  $\varphi \in \Gamma$ , then clearly  $\Delta \Vdash x_{\varphi} : \varphi$ . If  $\varphi \notin \Gamma$ , then  $\Delta, x_{\varphi} : \varphi \Vdash x_{\varphi} : \varphi$ .
  - Suppose the derivation is at a stage of the form

$$\frac{\Gamma \vdash \varphi \to \psi, \quad \Gamma \vdash \varphi}{\Gamma \vdash \psi}$$

- Then by the induction hypothesis there are  $\lambda$ -terms M and N such that  $\Delta \Vdash M : (\varphi \to \psi)$  and  $\Delta \Vdash N : \varphi$ , from which  $\Delta \Vdash (MN) : \psi$ .
- If the stage is given by

$$\frac{\Gamma, \varphi \vdash \psi}{\Gamma \vdash \varphi \to \psi},$$

then there are two subcases:

▶ If  $\varphi \in \Gamma$ , then the induction hypothesis gives  $\Delta \Vdash M : \psi$  for some term M. By the weakening rule, we have  $\Delta, x : \varphi \Vdash M : M : \psi$ , where x is a variable not occurring in  $\Delta$ . But then  $\Delta \Vdash (\lambda x : \varphi . M) : (\varphi \to \psi)$ .

• If  $\varphi \notin \Gamma$ , then the induction hypothesis gives  $\Delta, x_{\varphi} : \varphi \Vdash M : \psi$  for some  $\lambda$ -term M, thus  $\Delta \Vdash (\lambda x_{\varphi} : \varphi . M) : (\varphi \to \psi)$ .

**Example 1.60** Let  $\varphi, \psi$  be primitive propositions. The  $\lambda$ -term

$$\lambda f: (\varphi \to \psi) \to \varphi.\lambda g: \varphi \to \psi.g(fg)$$

has type  $((\varphi \to \psi) \to \varphi) \to ((\varphi \to \psi) \to \psi)$ , and therefore encodes a proof of that proposition in IPC( $\to$ ).

$$\begin{split} g: [\varphi \to \psi] \quad f: (\varphi \to \psi) \to \varphi \\ fg: \varphi \quad g: [\varphi \to \psi] \quad (\to \text{-E}) \\ \\ g(fg): \psi \quad (\to \text{-E}) \\ \\ \lambda g. g(fg): (\varphi \to \psi) \to \psi \quad (\to \text{-I}, \varphi \to \psi) \\ \\ \lambda f. \lambda g. g(fg): ((\varphi \to \psi) \to \varphi) \to ((\varphi \to \psi) \to \psi) \quad (\to \text{-I}, (\varphi \to \psi) \to \varphi) \end{split}$$

#### Definition 1.61 The full simply-typed $\lambda$ -calculus consists of:

• A set of types  $\Pi$  generated by the grammar

$$\Pi \coloneqq U \mid \Pi \to \Pi \mid \Pi \times \Pi \mid \Pi + \Pi \mid 0 \mid 1$$

Types of the form  $\Pi \times \Pi$  are **product types**, those of the form  $\Pi + \Pi$  are **coproduct types**, 0 is the **initial type**, and 1 is the **terminal type**. Again, U is a set of type variables.

• A set of terms  $\Lambda_{\Pi}$  generated by the grammar

$$\begin{split} \Lambda_\Pi \coloneqq V \mid \lambda V : \Pi.\Lambda_\Pi \mid \Lambda_\Pi\Lambda_\Pi \mid \pi_1(\Lambda_\Pi) \mid \pi_2(\Lambda_\Pi) \mid i_1(\Lambda_\Pi) \mid i_2(\Lambda_\Pi) \\ \mid \mathrm{case}(\Lambda_\Pi; V.\Lambda_\Pi; V.\Lambda_\Pi) \mid * \mid !_\Pi\Lambda_\Pi \end{split}$$

where V is a set of variables and \* is a constant.

We have the new typing rules:

$$\begin{array}{c} \Gamma \Vdash M : \psi \times \varphi \\ \hline \Gamma \Vdash \pi_1(M) : \psi \\ \hline \hline \Gamma \Vdash M : \psi \times \varphi \\ \hline \Gamma \vdash \pi_2(M) : \varphi \\ \hline \hline \Gamma \vdash M : \psi \quad \Gamma \vdash N : \varphi \\ \hline \hline \Gamma \vdash \langle M, N \rangle : \psi \times \varphi \\ \hline \hline \Gamma \vdash M : \psi \\ \hline \hline \Gamma \vdash \iota_1(M) : \psi + \varphi \\ \hline \end{array}$$

$$\Gamma \Vdash N : \varphi$$

$$\Gamma \Vdash \iota_2(N) : \psi + \varphi$$

$$\frac{\Gamma \Vdash L : \psi + \varphi \quad \Gamma, x : \psi \Vdash M : \rho \quad \Gamma, y : \varphi \Vdash N : \rho}{\Gamma \Vdash \operatorname{case}(L; x^{\psi}.M; y^{\varphi}.N) : \rho}$$

$$\Gamma \Vdash * : 1$$

$$\Gamma \Vdash M:0$$

$$\Gamma \Vdash !_{\varphi}M:\varphi$$

We also have the new reduction rules:

- $\bullet \ \ \text{Projections:} \ \pi_1\langle M,N\rangle \xrightarrow[\beta]{} M \ \text{and} \ \pi_2\langle M,N\rangle \xrightarrow[\beta]{} N.$
- Pairs:  $\langle \pi_1 M, \pi_2 M \rangle \xrightarrow{\eta} M$ .
- Definition by cases:  $case(\iota_1(M); x.M; y.L) \xrightarrow{\beta} K[x \coloneqq M]$  and  $case(\iota_2(M); x.K; y.L) \xrightarrow{\beta} L[y \coloneqq M]$
- Unit: if  $\Gamma \Vdash M : 1$ , then  $M \xrightarrow{\eta} *$ .

**Remark 1.62** We can extend the Curry-Howard correspondence with these new types, letting

- $0 \longleftrightarrow \bot$ .
- $\times \longleftrightarrow \wedge$ .
- $+ \longleftrightarrow \lor$ .
- $\bullet \rightarrow \longleftrightarrow \rightarrow$ .

**Example 1.63** Consider the following proof of  $(\varphi \land \chi) \to (\psi \to \varphi)$ :

$$\begin{split} [\varphi \wedge \chi] : p \quad [\psi] : b \\ \\ \varphi : \pi_1(p) \\ \\ (\psi \to \varphi) : \lambda b : \psi . \pi_1(p) \\ \\ ((\varphi \wedge \chi) \to (\psi \to \varphi)) : \lambda p : \varphi \times \chi . \lambda b : \psi . \pi_1(p) \end{split}$$

We decorate this proof by turning the assumptions into variables.

**Remark 1.64** We have the following correspondence:

Simply-typed $\lambda$ -calculus	IPC
(Primitive) types	(Primitive) propositions
Variable	Hypothesis
Simply-typed $\lambda$ -term	Proof
Type construction	Logical connective
Term inhabitation	Provability
Term reduction	Proof normalisation

#### 1.4. Semantics for IPC

**Definition 1.65** A **lattice** is a set L equipped with binary operations  $\wedge$  and  $\vee$  which are commutative and associative and satisfy the **absorption laws**: for all  $a, b \in L$ ,

- $a \lor (a \land b) = a$ ,
- $a \wedge (a \vee b) = a$ .

**Definition 1.66** A lattice L is **distributive** if for all  $a, b, c \in L$ ,  $a \lor (b \land c) = (\land b) \lor (a \land c)$ .

**Definition 1.67** A lattice L is **bounded** if there are elements  $\bot, \top \in L$  such that  $a \lor \bot = a$  and  $a \land \top = a$  for all  $a \in L$ .

**Definition 1.68** A lattice L is **complemented** if it is bounded and for every  $a \in L$ , there is  $a^* \in L$  such that  $a \wedge a^* = \bot$  and  $a \vee a^* = \top$ .

**Definition 1.69** A **Boolean algebra** is a complemented distributive lattice.

**Remark 1.70** In any lattice,  $\wedge$  and  $\vee$  are idempotent. Moreover, we can define an ordering by setting  $a \leq b$  if  $a \wedge b = a$ .

#### Example 1.71

- For every set I, the powerset  $\mathbb{P}(I)$  of I with  $\wedge = \cap$  and  $\vee = \cup$  is the prototypical Boolean algebra.
- More generally, the clopen subsets of a topological space form a Boolean algebra with  $\wedge = \cap$  and  $\vee = \cup$ .
- In particular, the set of finite and cofinite subsets of Z is a Boolean algebra.

**Proposition 1.72** Let L be a bounded lattice and  $\leq$  be the order induced by the operations in L ( $a \leq b \iff a \land b = a$ ). Then  $\leq$  is a partial order with least element  $\perp$  and greatest element  $\top$ , and for all  $a, b \in L$ ,  $a \land b = \inf\{a, b\}$  and  $a \lor b = \sup\{a, b\}$ . Conversely, every partial order with all finite inf's and sup's is a bounded lattice.

*Proof.* Exercise.  $\Box$ 

Classically, we say that  $\Gamma \vDash t$  if for every valuation  $v: L \to \{0,1\}$  such that v(p) = 1 for all  $p \in \Gamma$ , we have v(t) = 1. We might want to replace  $\{0,1\}$  with some other Boolean algebra to get semantics for IPC, with an accompanying completeness theorem. But Boolean algebras believe in the LEM!

**Definition 1.73** A **Heyting algebra** H is a bounded lattice equipped with a binary operation  $\Rightarrow$  such that for all  $a, b, c \in H$ ,

$$a \wedge b \leq c \text{ iff } a \leq (b \Rightarrow c).$$

This can be thought of as an algebraic version of the deduction theorem. A **Heyting** homomorphism (morphism of Heyting algebras) is a function that preserves all finite meets  $(\land)$ , finite joins  $(\lor)$ , and  $\Rightarrow$ .

### Example 1.74

- 1. Every Boolean algebra is a Heyting algebra: define  $a \Rightarrow b := a^* \lor b$  ( $a^*$  should be thought of as  $\neg a$ ). Note that we must have  $a^* = (a \Rightarrow \bot)$ .
- 2. Every topology on a set X is a Heyting algebra, where  $(U \Rightarrow V) := \operatorname{int}((X U) \cup V)$ .
- 3. A finite distributive lattice is a Heyting algebra.

**Definition 1.75** Let H be a Heyting algebra and L be a propositional language with a set of primitive propositions P. An H-valuation is a function  $v: P \to H$ , extended recursively to L, by setting:

- $v(\perp) = \perp$ .
- $v(A \wedge B) = v(A) \wedge v(B)$ .
- $v(A \vee B) = v(A) \vee v(B)$ .
- $v(P \to Q) = v(A) \Rightarrow v(B)$ .

**Definition 1.76** A proposition  $A \in L$  is H-valid if v(A) = T for all H-valuations v, and is an H-consequence of a (finite) set of propositions  $\Gamma$  if  $v(\bigwedge \Gamma) \leq v(A)$  (we write  $\Gamma \models P$ ).

**Lemma 1.77** (Soundness of Heyting Semantics) Let H be a Heyting algebra and v:  $L \to H$  be an H-valuation. If  $\Gamma \vdash_H A$ , then  $\Gamma \vDash_H A$ .

*Proof.* By induction on the structure of the proof  $\Gamma \vdash A$ .

- (Ax):  $v(\bigwedge \Gamma \land A) = v(\bigwedge \Gamma) \land v(A) \le v(A)$ .
- (\lambda-I):  $A = B \wedge C$  and we have derivations  $\Gamma_1 \vdash B$  and  $\Gamma_2 \vdash C$ , with  $\Gamma_1, \Gamma_2 \subseteq \Gamma$ . By the indutive hypothesis, we have  $v(\bigwedge \Gamma) \leq v(\bigwedge \Gamma_1) \wedge v(\bigwedge \Gamma_2) \leq v(B) \wedge v(C) = v(B \wedge C)$ , i.e.  $\Gamma \vDash_H A$ .
- $(\rightarrow$ -I):  $A = B \rightarrow C$ , so we must have  $\Gamma \cup \{B\} \vdash C$ . By the inductive hypothesis, we have  $v(\bigwedge \Gamma) \land v(B) = v(\bigwedge \Gamma \land B) \le v(C)$ . By the definition of  $\Rightarrow$ , this implies  $v(\bigwedge \Gamma) \le (v(B) \Rightarrow v(C)) = v(B \rightarrow C) = v(A)$ , i.e.  $\Gamma \models_H A$ .
- ( $\vee$ -I):  $A = B \vee C$  and WLOG we have a derivation  $\Gamma \vdash B$ . By the inductive hypothesis, we have  $v(\bigwedge \Gamma) \leq v(B)$ , but  $v(B \vee C) = v(B) \vee v(C) = \sup\{v(B), v(C)\}$ , and so  $v(B) \leq v(B \vee C)$ .
- ( $\wedge$ -E): by the induction hypothesis, we have  $v(\bigwedge \Gamma) \leq v(B \wedge C) = v(B) \wedge v(C) \leq v(B), v(C)$ .
- $(\to -E)$ : we know that  $v(A \to B) = (v(A) \Rightarrow v(B))$ . From  $v(A \to B) \leq (v(A) \Rightarrow v(B))$ , we derive  $v(A) \land v(A \to B) \leq v(B)$  by definition of  $\Rightarrow$ . So if  $v(\bigwedge \Gamma) \leq v(A \to B)$  and  $v(\bigwedge \Gamma) \leq v(A)$ , then  $v(\bigwedge \Gamma) \leq v(B)$  as required.

- ( $\vee$ -E): by the inductive hypothesis,  $v(A \land \bigwedge \Gamma) \leq v(C)$ ,  $v(B \land \bigwedge \Gamma) \leq v(C)$  and  $v(\bigwedge \Gamma) \leq v(A \lor B) = v(A) \lor v(B)$ . This last fact means that  $v(\bigwedge \Gamma) \land (v(A) \lor v(B)) = v(\bigwedge \Gamma)$ . Since Heyting algebras are distributive lattices, this is the same as  $(v(\bigwedge \Gamma) \land v(A)) \lor (v(\bigwedge \Gamma) \land v(B))$ , and this is  $\leq v(C)$ .
- $(\bot$ -E): if  $v(\bigwedge \Gamma) \le v(\bot) = \bot$ , then  $v(\bigwedge \Gamma) = \bot$ , in which case  $v(\bigwedge \Gamma) \le v(A)$  for any A by minimality of  $\bot$  in H.

**Example 1.78** The LEM is not intuitionistically valid: let p be a primitive proposition and consider the Heyting algebra given by the topology  $\{\emptyset, \{1\}, \{1, 2\}\}$  on  $X = \{1, 2\}$ . Define a valuation v with  $v(p) = \{1\}$ , in which case  $v(\neg p) = \neg\{1\} = \operatorname{int}(X \setminus \{1\}) = \emptyset$ . So  $v(p \vee \neg p) = \{1\} \vee \emptyset = \{1\} \neq \top$ . So by Soundness,  $\underset{\text{IDC}}{\not\vdash} p \vee \neg p$ .

**Example 1.79** Pierce's law  $((p \to q) \to p) \to p$  is not intuitionistically valid: take the valuation on the standard topology on  $\mathbb{R}^2$  that maps p to  $\mathbb{R}^2 \setminus \{(0,0)\}$  and q to  $\emptyset$ .

Classical completeness states that  $\Gamma \vdash_{\mathrm{CPC}} A$  iff  $\Gamma \models_2 A$ . For intuitionistic completeness, there is no single finite replacement for 2.

**Definition 1.80** Let Q be a logical doctrine (e.g. CPC, IPC, etc.), L be a propositional language, and T be an L-theory. The **Lindenbaum-Tarski** algebra  $F^Q(T)$  is built in the following way:

- The underlying set of  $F^Q(T)$  is the set of equivalence classes  $[\varphi]$  of propositions  $\varphi$ , where  $\varphi \sim \psi$  when  $T, \varphi \vdash_Q \psi$  and  $T, \psi \vdash_Q \varphi$ .
- If  $\star$  is a logical connective in the fragment Q, we set  $[\varphi] \star [\psi] := [\varphi \star \psi]$ .

We are interested in the cases Q = CPC, Q = IPC and  $Q = \text{IPC} \setminus \{\rightarrow\}$ .

**Proposition 1.81** The Lindenbaum-Tarski algebra of any theory in IPC  $\setminus \{\rightarrow\}$  is a distributive lattice.

*Proof.* Clearly,  $\wedge$  and  $\vee$  inherit associativity and commutativity, so in order for  $F^{\mathrm{IPC}} \setminus \{-\} \setminus \{T\}$  to be a lattice, we only need to check the absorption laws:  $[\varphi] \vee [\varphi \wedge \psi] = [\varphi]$ , and  $[\varphi] \wedge [\varphi \vee \psi] = [\varphi]$ . The first is true, since  $T, \varphi \vdash_{\mathrm{IPC}} \varphi \vee (\varphi \wedge \psi)$  by  $(\vee -\mathrm{I})$ , and also  $T, \varphi \vee (\varphi \wedge \psi) \vdash_{\mathrm{IPC}} \varphi$  by  $(\vee -\mathrm{E})$ . The second is true by a similar argument.

For distributivity,  $T, \varphi \land (\psi \lor \chi) \vdash (\varphi \land \psi) \lor (\varphi \land \chi)$  by ( $\land$ -E) followed by ( $\lor$ -E):

$$\frac{\varphi \wedge (\psi \vee \chi)}{\varphi \quad \psi \vee \chi \quad (\text{by } (\wedge \text{-E}))}$$
$$\frac{(\varphi \wedge \psi) \vee (\varphi \wedge \chi) \quad (\text{by } (\vee \text{-E}))}{}$$

Similarly,  $T, (\varphi \wedge \psi) \vee (\varphi \wedge \chi) \vdash \varphi \wedge (\psi \vee \chi)$  by  $(\vee -E)$  followed by  $(\wedge -I)$ .