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## 1. Introduction

**Definition**. **Epimorphism** is surjective homomorphism.

**Definition**. **Embedding** or **monomorphism** is injective homomorphism.

## 1.1. Cubic equations over $\mathbb{C}$

- For a polynomial equation, a **solution by radicals** is a formula for solutions using only addition, subtraction, multiplication, division and radicals  $\sqrt[m]{\cdot}$  for  $m \in \mathbb{N}$
- For general cubic equation  $x^3 + a_2x^2 + a_1x + a_0 = 0$ :
  - Tschirnhaus transformation is substitution  $t = x + \frac{a_2}{3}$ , giving

$$t^3+pt+q=0, \quad p:=\frac{-a_2^2+3a_1}{3}, \quad q:=\frac{2a_2^3-9a_1a_2+27a_0}{27}$$

This is a **reduced** (or **depressed**) cubic equation.

- When t = u + v,  $t^3 (3uv)t (u^3 + v^3) = 0$  which is in the reduced cubic form with p = -3uv,  $q = -(u^3 + v^3)$ .
- We have

$$(y-u^3)(y-v^3) = y^2 - (u^3 + v^3)y + u^3v^3 = y^2 + qy - \frac{p^3}{27} = 0$$

so 
$$u^3, v^3 = -\frac{q}{2} \pm \sqrt{\frac{q^2}{4} + \frac{p^3}{27}}$$
.

So a solution to  $t^3 + pt + q = 0$  is

$$t = u + v = \sqrt[3]{-\frac{q}{2} + \sqrt{\frac{q^2}{4} + \frac{p^3}{27}}} + \sqrt[3]{-\frac{q}{2} - \sqrt{\frac{q^2}{4} + \frac{p^3}{27}}}$$

The other solutions are  $\omega u + \omega^2 v$  and  $\omega^2 u + \omega v$  where  $\omega = e^{2\pi i/3}$  is the 3rd root of unity. This is because u and v each have three solutions independently to  $u^3, v^3 = -\frac{q}{2} \pm \sqrt{\frac{q^2}{4} + \frac{p^3}{27}}$ , but also  $uv = -\frac{p}{3}$ .

**Remark**. The above method doesn't work for fields of characteristic 2 or 3 since the formulas involve division by 2 or 3 (which is dividing by zero in these respective fields).

# 1.2. Quartic equations over $\mathbb{C}$

- For general quartic equation  $x^4 + a_3x^3 + a_2x^2 + a_1x + a_0 = 0$ :
  - Substitution  $t = x + \frac{a_3}{4}$  gives **reduced** quartic equation

$$t^4 + pt^2 + qt + r = 0$$

• We then manipulate the polynomial so that it is the sum or difference of two squares and use  $a^2 + b^2 = (a + ib)(a - ib)$  or  $a^2 - b^2 = (a + b)(a - b)$ :

$$(t^2 + w)^2 + (p - 2w)t^2 + qt + (r - w^2) = 0$$

•  $(p-2w)t^2+qt+\left(r-w^2\right)=0$  is a square iff its discriminant is zero:

$$q^2 - 4(p - 2w)(r - w^2) = 0 \iff w^3 - \frac{1}{2}pw^2 - rw + \frac{1}{8}(4pr - q^2) = 0$$

▶ This **cubic resolvent** is solvable by radicals. Taking any of the solutions and substituting for w gives a sum or difference of two squares in t. The quadratic factors can then be solved.

# 2. Fields and polynomials

## 2.1. Basic properties of fields

**Definition**. Ring R is **field** if every element of  $R - \{0\}$  has multiplicative inverse and  $1 \neq 0 \in R$ .

Lemma. Every field is integral domain.

**Definition**. **Field homomorphism** is ring homomorphism  $\varphi: K \to L$  between fields:

- $\varphi(a+b) = \varphi(a) + \varphi(b)$
- $\varphi(ab) = \varphi(a)\varphi(b)$
- $\varphi(1) = 1$

These imply  $\varphi(0)=0,\, \varphi(-a)=-\varphi(a),\, \varphi(a^{-1})=\varphi(a)^{-1}.$ 

**Lemma**. Let  $\varphi: K \to L$  field homomorphism.

- $\operatorname{im}(\varphi) = \{ \varphi(a) : a \in K \}$  is field.
- $\ker(\varphi) = \{a \in K : \varphi(a) = 0\} = \{0\}$ , i.e.  $\varphi$  is injective.

**Definition**. Subfield K of field L is subring of L where K is field. L is field extension of K.

• The above lemma shows image of  $\varphi: K \to L$  is subfield of L.

**Lemma**. Intersections of subfields are subfields.

**Definition**. **Prime subfield** of L is intersection of all subfields of L.

**Definition**. Characteristic char(K) of field K is

$$char(K) := \min\{n \in \mathbb{N} : \chi(n) = 0\}$$

(or 0 if this does not exist) where  $\chi: \mathbb{Z} \to K$ ,  $\chi(m) = 1 + \dots + 1$  (m times).

**Example.**  $\operatorname{char}(\mathbb{Q}) = \operatorname{char}(\mathbb{R}) = \operatorname{char}(\mathbb{C}) = 0$ ,  $\operatorname{char}(\mathbb{F}_p) = p$  for p prime.

**Lemma**. For any field K, char(K) is either 0 or prime.

#### Theorem.

- If char(K) = 0 then prime subfield of K is  $\cong \mathbb{Q}$ .
- If char(K) = p > 0 then prime subfield of K is  $\cong \mathbb{F}_p$ .

#### Corollary.

- If  $\mathbb{Q}$  is subfield of K then char(K) = 0.
- If  $\mathbb{F}_p$  is subfield of K for prime p then  $\operatorname{char}(K) = p$ .

**Remark**. Let char(K) = p, then  $p \mid {p \choose i}$  so  $(a+b)^p = a^p + b^p$  in K. Also in K[x] for p prime,  $x^p - 1 = (x-1)^p$ .

**Theorem** (Fermat's little theorem).  $\forall a \in \mathbb{F}_p, a^p = a$ .

## 2.2. Polynomials over fields

**Definition.** Degree of  $f(x) = a_0 + a_1 x + \dots + a_n x^n$ ,  $a_n \neq 0$ , is  $\deg(f(x)) = n$ .

- Degree of zero polynomial is  $deg(0) = -\infty$ .
- $\deg(f(x)g(x)) = \deg(f(x)) + \deg(g(x)).$
- $\deg(f(x) + g(x)) \le \max\{\deg(f(x)), \deg(g(x))\}\$  with equality if  $\deg(f(x)) \ne \deg(g(x))$ .
- Only invertible elements in K[x] are non-zero constants  $f(x) = a_0 \neq 0$ .
- Similarities between  $\mathbb Z$  and K[x] for field K:
  - K[x] is integral domain.
  - ▶ There is a division algorithm for K[x]: for  $f(x), g(x) \in K[x], \exists ! q(x), r(x) \in K[x]$  with  $\deg(r(x)) < \deg(g(x))$  such that

$$f(x) = q(x)g(x) + r(x)$$

▶ Every  $f(x), g(x) \in K[x]$  have greatest common divisor gcd(f(x), g(x)) unique up to multiplication by non-zero constants. By Euclidean algorithm for polynomials,

$$\exists a(x), b(x) \in K[x] : a(x)f(x) + b(x)g(x) = \gcd(f(x), g(x))$$

• Can construct field from K[x]: field of fractions of K[x] is

$$K(x) \coloneqq \operatorname{Frac}(K[x]) = \left\{ \frac{f(x)}{g(x)} : f(x), g(x) \in K[x], g(x) \neq 0 \right\}$$

where  $f_1(x)/g_1(x) = f_2(x)/g_2(x) \iff f_1(x)g_2(x) = f_2(x)g_1(x)$ . (We can construct the field of fractions for any integral domain).

• K[x] is PID and so UFD.

**Definition**. For field K,  $f(x) \in K[x]$  irreducible in K[x] (or f(x) is irreducible over K) if

- $\deg(f(x)) \ge 1$  and
- $f(x) = g(x)h(x) \Longrightarrow g(x)$  or h(x) is constant

## 2.3. Tests for irreducibility

**Proposition**. If f(x) has linear factor in K[x], it has root in K[x].

**Proposition** (Rational root test). If  $f(x) = a_0 + \dots + a_n x^n \in \mathbb{Z}[x]$  has rational root  $\frac{b}{c} \in \mathbb{Q}$  with  $\gcd(b,c) = 1$  then  $b \mid a_0$  and  $c \mid a_n$ . Note: this can't be used to show f is irreducible for  $\deg(f(x)) \geq 4$ .

**Theorem** (Gauss's lemma). Let  $f(x) \in \mathbb{Z}[x]$ , f(x) = g(x)h(x),  $g(x), h(x) \in \mathbb{Q}[x]$ . Then  $\exists r \in \mathbb{Q} : rg(x), r^{-1}h(x) \in \mathbb{Z}[x]$ . i.e. if f(x) can be factored in  $\mathbb{Q}[x]$  it can be factored in  $\mathbb{Z}[x]$ .

**Example**. Let  $f(x) = x^4 - 3x^3 + 1 \in \mathbb{Q}[x]$ . Using the rational root test,  $f(\pm 1) \neq 0$  so no linear factors in  $\mathbb{Q}[x]$ . Checking quadratic factors, let

$$f(x) = (ax^2 + bx + c)(rx^2 + sx + t), \quad a, b, c, r, s, t \in \mathbb{Z}$$
 by Gauss's lemma

So  $1 = ar \Rightarrow a = r = \pm 1$ .  $1 = ct \Rightarrow c = t = \pm 1$ . -3 = b + s and 0 = c(b + s): contradiction. So f(x) irreducible in  $\mathbb{Q}[x]$ .

**Example.** Let  $f(x) = x^4 - 3x^2 + 1 \in \mathbb{Q}[x]$ . The rational root test shows there are no linear factors. Checking quadratic factors, let

$$f(x) = (ax^2 + bx + c)(rx^2 + sx + t), \quad a, b, c, r, s, t \in \mathbb{Z}$$
 by Gauss's lemma

As before,  $a = r = \pm 1$ ,  $c = t = \pm 1$ .  $0 = b + s \Rightarrow b = -s$ ,  $-3 = at + bs + cr = -b^2 \pm 2$ . b = 1 works. So  $f(x) = (x^2 - x - 1)(x^2 + x - 1)$ .

**Proposition**. Let  $f(x) = a_0 + \dots + a_n x^n \in \mathbb{Z}[x]$ . If exists prime  $p \nmid a_n$  such that  $\overline{f}(x)$  is irreducible in  $\mathbb{F}_p[x]$ , then f(x) irreducible in  $\mathbb{Q}[x]$ .

**Example.** Let  $f(x) = 8x^3 + 14x - 9$ . Reducing mod 7,  $\overline{f}(x) = x^3 - 2 \in \mathbb{F}_7[x]$ . No roots exist for this, so f(x) irreducible in  $\mathbb{Q}[x]$ . For some polynomials, no p is suitable, e.g.  $f(x) = x^4 + 1$ .

• Gauss's lemma works with any UFD R instead of  $\mathbb{Z}$  and field of fractions  $\operatorname{Frac}(R)$  instead of  $\mathbb{Q}$ : e.g. let F field, R = F[t], K = F(t), then  $f(x) \in R[x]$  irreducible in K[x] if f(x) is irreducible in R[x].

**Proposition** (Eisenstein's criterion). Let  $f(x) = a_0 + \dots + a_n x^n \in \mathbb{Z}[x]$ , prime  $p \in \mathbb{Z}$  such that  $p \mid a_0, \dots, p \mid a_{n-1}, p \nmid a_n, p^2 \nmid a_0$ . Then f(x) irreducible in  $\mathbb{Q}[x]$ .

**Example**. Let  $f(x) = x^3 - 3x + 1$ . Consider  $f(x - 1) = x^3 - 3x^2 + 3$ . Then by Eisenstein's criterion with p = 3, f(x - 1) irreducible in  $\mathbb{Q}[x]$  so f(x) is as well, since factoring f(x - 1) is equivalent to factoring f(x).

**Example.** *p***-th cyclotomic polynomial** is

$$f(x) = \frac{x^p - 1}{x - 1} = 1 + \dots + x^{p-1}$$

Now

$$f(x+1) = \frac{\left(1+x\right)^{p}-1}{1+x-1} = x^{p-1} + px^{p-2} + \dots + \binom{p}{p-2}x + p$$

so can apply Eisenstein with p = p.

**Proposition** (Generalised Eisenstein's criterion). Let R be integral domain,  $K = \operatorname{Frac}(R)$ ,

$$f(x) = a_0 + \dots + a_n x^n \in R[x]$$

If there is irreducible  $p \in R$  with

$$p\mid a_0,...,p\mid a_{n-1},p\nmid a_n,p^2\nmid a_0$$

then f(x) is irreducible in K[x].

## 3. Field extensions

# 3.1. Definitions and examples

**Definition**. Field extension L/K is field L containing subfield K. Can specify homomorphism  $\iota: K \to L$  (which is injective).

#### Example.

- $\mathbb{C}/\mathbb{R}$ ,  $\mathbb{C}/\mathbb{Q}$ ,  $\mathbb{R}/\mathbb{Q}$ .
- $L = \mathbb{Q}(\sqrt{2}) = \{a + b\sqrt{2} : a, b \in \mathbb{Q}\}$  is field extension of  $\mathbb{Q}$ .  $\mathbb{Q}(\theta)$  is field extension of  $\mathbb{Q}$  where  $\theta$  is root of  $f(x) \in \mathbb{Q}[x]$ .
- $L = \mathbb{Q}(\sqrt[3]{2}) = \{a + b\sqrt[3]{2} + c\sqrt[3]{4} : a, b, c \in \mathbb{Q}\}$  is smallest subfield of  $\mathbb{R}$  containing  $\mathbb{Q}$  and  $\sqrt[3]{2}$ .
- K(t) is field extension of K.

**Definition**. Let L/K field extension,  $S \subseteq L$ . Then K with S adjoined, K(S), is minimal subfield of L containing K and S. If |S| = 1, L/K is a simple extension.

**Example.**  $\mathbb{Q}(\sqrt{2}, \sqrt{7}) = \{a + b\sqrt{2} + c\sqrt{7} + d\sqrt{14} : a, b, c, d, \in \mathbb{Q}\}$  is  $\mathbb{Q}$  with  $S = \{\sqrt{2}, \sqrt{7}\}.$ 

**Example**.  $\mathbb{R}/\mathbb{Q}$  is not simple extension.

**Definition**. Tower is chain of field extensions, e.g.  $K \subset M \subset L$ .

## 3.2. Algebraic elements and minimal polynomials

**Definition**. Let L/K field extension,  $\theta \in L$ . Then  $\theta$  is algebraic over K if

$$\exists 0 \neq f(x) \in K[x] : f(\theta) = 0$$

Otherwise,  $\theta$  is transcendental over K.

**Example**. For  $n \ge 1$ ,  $\theta = e^{2\pi i/n}$  is algebraic over  $\mathbb{Q}$  (root of  $x^n - 1$ ).

**Example**.  $t \in K(t)$  is transcendental over K.

**Lemma**. The algebraic elements in K(t)/K are precisely K.

**Lemma**. Let L/K field extension,  $\theta \in L$ . Define  $I_K(\theta) \coloneqq \{f(x) \in K[x] : f(\theta) = 0\}$ . Then  $I_K(\theta)$  is ideal in K[x] and

- If  $\theta$  transcendental over K,  $I_K(\theta) = \{0\}$
- If  $\theta$  algebraic over K, then exists unique monic irreducible polynomial  $m(x) \in K[x]$  such that  $I_K(\theta) = \langle m(x) \rangle$ .

**Definition**. For  $\theta \in L$  algebraic over K, **minimal polynomial of**  $\theta$  **over** K is the unique monic polynomial  $m(x) \in K[x]$  such that  $I_K(\theta) = \langle m(x) \rangle$ . The **degree** of  $\theta$  over K is deg(m(x)).

**Remark.** If  $f(x) \in K[x]$  irreducible over K, monic and  $f(\theta) = 0$  then f(x) = m(x). Example.

- Any  $\theta \in K$  has minimal polynomial  $x \theta$  over K.
- $i \in \mathbb{C}$  has minimal polynomial  $x^2 + 1$  over  $\mathbb{R}$ .
- $\sqrt{2}$  has minimal polynomial  $x^2 2$  over  $\mathbb{Q}$ .  $\sqrt[3]{2}$  has minimal polynomial  $x^3 2$  over  $\mathbb{Q}$ .

# 3.3. Constructing field extensions

**Lemma**. Let K field,  $f(x) \in K[x]$  non-zero. Then

$$f(x)$$
 irreducible over  $K \iff K[x]/\langle f(x) \rangle$  is a field

**Definition**. Let  $L_1/K$ ,  $L_2/K$  field extensions,  $\varphi: L_1 \to L_2$  field homomorphism.  $\varphi$  is K-homomorphism if  $\forall a \in K, \varphi(a) = a$  ( $\varphi$  fixes elements of K).

- If  $\varphi$  is isomorphism then it is K-isomorphism.
- If  $L_1 = L_2$  and  $\varphi$  is bijective then  $\varphi$  is K-automorphism.

**Theorem.** Let  $m(x) \in K[x]$  irreducible, monic,  $K_m := K[x]/\langle m(x) \rangle$ . Then

- $K_m/K$  is field extension.
- Let  $\theta = \pi(x)$  where  $\pi : K[x] \to K_m$  is canonical projection, then  $\theta$  has minimal polynomial m(x) and  $K_m \cong K(\theta)$ .

**Proposition** (Universal property of simple extension). Let L/K field extension,  $\tau \in L$  with  $m(\tau) = 0$  and  $K_L(\tau)$  be minimal subfield of L containing K and  $\tau$ . Then exists unique K-isomorphism  $\varphi : K_m \to K_L(\tau)$  such that  $\varphi(\theta) = \tau$ .

#### Example.

- Complex conjugation  $\mathbb{C} \to \mathbb{C}$  is  $\mathbb{R}$ -automorphism.
- Let K field,  $\operatorname{char}(K) \neq 2$ ,  $\sqrt{2} \notin K$ , so  $x^2 2$  is minimal polynomial of  $\sqrt{2}$  over K, then  $K(\sqrt{2}) \cong K[x]/\langle x^2 2 \rangle$  is field extension of K and  $a + b\sqrt{2} \mapsto a b\sqrt{2}$  is K-automorphism.

**Proposition**. Let  $\theta$  transcendental over K, then exists unique K-isomorphism  $\varphi$ :  $K(t) \to K(\theta)$  such that  $\varphi(t) = \theta$ :

$$\varphi\left(\frac{f(t)}{g(t)}\right) = \varphi\left(\frac{f(\theta)}{g(\theta)}\right)$$

# **3.4.** Explicit examples of simple extensions Example.

- Let  $r \in K^{\times}$  non-square in K, char $(K) \neq 2$ , then  $x^2 r$  irreducible in K[x]. E.g. for  $K = \mathbb{Q}(t), x^2 t \in K[x]$  is irreducible. Then  $K(\sqrt{t}) = \mathbb{Q}(\sqrt{t}) \cong K[x]/\langle x^2 t \rangle$ .
- Define  $\mathbb{F}_9 = \mathbb{F}_3[x]/\langle x^2 2 \rangle \cong \mathbb{F}_3(\theta) = \{a + b\theta : a, b \in \mathbb{F}_3\}$  for  $\theta$  a root of  $x^2 2$ .

**Proposition**. Let  $K(\theta)/K$  where  $\theta$  has minimal polynomial  $m(x) \in K[x]$  of degree n. Then

$$K[x]/\langle m(x)\rangle\cong K(\theta)=\left\{c_0+c_1\theta+\cdots+c_{n-1}\theta^{n-1}:c_i\in K\right\}$$

and its elements are written uniquely:  $K(\theta)$  is vector space over K of dimension n with basis  $\{1, \theta, ..., \theta^{n-1}\}$ .

**Example.**  $\mathbb{Q}(\sqrt[3]{2}) = \{a + b\sqrt[3]{2} + c\sqrt[3]{4} : a, b, c \in \mathbb{Q}\} \cong \mathbb{Q}[x]/\langle x^3 - 2 \rangle$ .  $\mathbb{Q}(\omega\sqrt[3]{2})$  and  $\mathbb{Q}(w^2\sqrt[3]{2})$  where  $\omega = e^{2\pi i/3}$  are isomorphic to  $\mathbb{Q}(\sqrt[3]{2})$  as  $\omega\sqrt[3]{2}$ ,  $\omega\sqrt[3]{4}$  have same minimal polynomial.

## 3.5. Degrees of field extensions

**Definition**. **Degree** of field extension L/K is

$$[L:K]\coloneqq \dim_K(L)$$

#### Example.

- When  $\theta$  algebraic over K of degree n,  $[K(\theta):K]=n$ .
- Let  $\theta$  transcendental over K, then  $[K(\theta):K]=\infty$ , so  $[K(t):K]=\infty$ ,  $[\mathbb{Q}(\pi):\mathbb{Q}]$ ,  $[\mathbb{R}:\mathbb{Q}]=\infty$ .

**Definition**. L/K is algebraic extension if every element in L is algebraic over K.

**Proposition**. Let  $[L:K] < \infty$ , then L/K is algebraic extension and  $L = K(\alpha_1, ..., \alpha_n)$  for some  $\alpha_1, ..., \alpha_n \in L$ . The converse also holds.

**Theorem** (Tower law). Let  $K \subseteq M \subseteq L$  tower of field extensions. Then

- $[L:K] < \infty \iff [L:M] < \infty \land [M:K] < \infty$ .
- [L:K] = [L:M][M:K].

#### Example.

- $K = \mathbb{Q} \subset M = \mathbb{Q}(\sqrt{2}) \subset L = \mathbb{Q}(\sqrt{2}, \sqrt{7})$ . M/K has basis  $\{1, \sqrt{2}\}$  so [M : K] = 2. Let  $\sqrt{7} \in \mathbb{Q}(\sqrt{2})$ , then  $\sqrt{7} = c + d\sqrt{2}$ ,  $c, d \in \mathbb{Q}$  so  $7 = (c^2 + 2d^2) + 2cd\sqrt{2}$  so  $7 = c^2 + 2d^2$ , 0 = 2cd so  $d^2 = \frac{7}{2}$  or  $c^2 = 7$ , which are both contradictions. So [L : K] = 4 with basis  $\{1, \sqrt{2}, \sqrt{7}, \sqrt{14}\}$ .
- Let  $K = \mathbb{Q} \subset M = \mathbb{Q}(i) \subset \mathbb{Q}(i, \sqrt{2})$ . We know  $[\mathbb{Q}(i) : \mathbb{Q}] = 2$ , and  $[\mathbb{Q}(\sqrt{2}) : \mathbb{Q}] = 2$ ,  $[\mathbb{Q}(i, \sqrt{2}) : \mathbb{Q}] = 4$  (since  $i \notin \mathbb{R}$ ) so  $[\mathbb{Q}(i, \sqrt{2}) : \mathbb{Q}(\sqrt{2})] = 2$ .
- Let  $K = \mathbb{Q} \subset M = \mathbb{Q}(\sqrt{2}) \subset L = \mathbb{Q}(\sqrt{2}, \sqrt[3]{3})$ . Then  $[\mathbb{Q}(\sqrt{2}) : \mathbb{Q}] = 2$ ,  $[\mathbb{Q}(\sqrt[3]{3}) : \mathbb{Q}] = 3$  so  $2 \mid [L : K]$  and  $3 \mid [L : K]$  so  $6 \mid [L : K]$  so  $[L : K] \ge 6$ . But  $[L : M] \le 3$  and  $[M : K] \le 2$  so  $[L : K] \le 6$  hence [L : K] = 6.
- More generally, we have  $[K(\alpha, \beta) : K] \leq [K(\alpha) : K][K(\beta) : K]$ .

#### Example.

- Let  $\theta = \sqrt[3]{4} + 1$ .  $\mathbb{Q}(\theta) = \mathbb{Q}(\sqrt[3]{4})$  so minimal polynomial over  $\mathbb{Q}$ , m, has  $\deg(m) = 3$ .  $(\theta 1)^3 = 4$  so minimal polynomial is  $x^3 3x^2 + 3x 5$ .
- Let  $\theta = \sqrt{2} + \sqrt{3}$ .  $\mathbb{Q}(\sqrt{2}, \theta) = \mathbb{Q}(\sqrt{2}, \sqrt{3})$  which has degree 2 over  $\mathbb{Q}(\sqrt{2})$  so minimal polynomial of  $\theta$  over  $\mathbb{Q}(\sqrt{2})$  has degree 2,  $\theta \sqrt{2} = \sqrt{3}$  so minimal polynomial is  $x^2 2\sqrt{2}x 1$ .
- Let  $\theta = \sqrt{2} + \sqrt{3}$ .  $\mathbb{Q} \subset \mathbb{Q}(\theta) \subset \mathbb{Q}(\sqrt{2}, \sqrt{3})$  so  $[\mathbb{Q}(\theta) : \mathbb{Q}] \mid [\mathbb{Q}(\sqrt{2}, \sqrt{3}) : \mathbb{Q}] = 4$  so  $[\mathbb{Q}(\theta) : \mathbb{Q}] \in \{1, 2, 4\}$ . Can't be 1 as  $\theta \notin \mathbb{Q}$ . If it was 2 then  $1, \theta, \theta^2$  are linearly dependent over  $\mathbb{Q}$  which leads to a contradiction. So degree of minimal polynomial of  $\theta$  over  $\mathbb{Q}$  is 4.  $\theta^2 = 5 + 2\sqrt{6} \Rightarrow (\theta^2 5)^2 = 24$  so minimal polynomial is  $x^4 10x^2 + 1$ .

## 4. Galois extensions

# 4.1. Splitting fields

**Definition**. For field K,  $0 \neq f(x) \in K[x]$ , L/K is splitting field of f(x) over K if

- $\bullet \ \exists c \in K^{\times}, \theta_1, ..., \theta_n \in L: f(x) = c(x \theta_1) \cdots (x \theta_n) \ (f(x) \ \mathbf{splits} \ \mathbf{over} \ L).$
- $L = K(\theta_1, ..., \theta_n)$ .

#### Example.

•  $\mathbb{C}$  is splitting field of  $x^2 + 1$  over  $\mathbb{R}$ , since  $x^2 + 1 = (x+i)(x-i)$  and  $\mathbb{C} = \mathbb{R}(i, -i) = \mathbb{R}(i)$ .

- $\mathbb{C}$  is not splitting field of  $x^2 + 1$  over  $\mathbb{Q}$  as  $\mathbb{C} \neq \mathbb{Q}(i, -i)$ .
- $\mathbb{Q}$  is splitting field of  $x^2 36$  over  $\mathbb{Q}$ .
- $\mathbb{C}$  is splitting of  $x^4 + 1$  over  $\mathbb{R}$ .
- $\mathbb{Q}(i,\sqrt{2})$  is splitting field of  $x^4-x^2-2=(x^2+1)(x^2-2)=(x+i)(x-i)(x+\sqrt{2})(x-\sqrt{2})$  over  $\mathbb{Q}$ .
- $\mathbb{F}_2(\theta)$  where  $\theta^3 + \theta + 1 = 0$  is splitting field of  $x^3 + x + 1$  over  $\mathbb{F}_2$ .
- Consider splitting field of  $x^3 2$  over  $\mathbb{Q}$ . Let  $\omega = e^{2\pi i/3} = (-1 + \sqrt{-3})/2$  then  $\mathbb{Q}(\sqrt[3]{2}, \omega)$  is splitting field since it must contain  $\sqrt[3]{2}$ ,  $\omega^2\sqrt[3]{2}$ .

**Theorem**. Let  $0 \neq f(x) \in K[x]$ ,  $\deg(f) = n$ . Then there exists a splitting field L of f(x) over K with

$$[L:K] \leq n!$$

**Notation**. For field homomorphism  $\varphi: K \to K'$  and  $f(x) = a_0 + \dots + a_n x^n \in K[x]$ , write

$$\varphi_*(f(x)) \coloneqq \varphi(a_0) + \dots + \varphi(a_n)x^n \in K'[x]$$

**Lemma**. Let  $\sigma: K \to K'$  isomorphism and  $K(\theta)/K$ ,  $\theta$  has minimal polynomial  $m(x) \in K[x]$ ,  $\theta'$  be root of  $\sigma_*(m(x))$ . Then there exists unique K-isomorphism  $\tau: K(\theta) \to K'(\theta')$  such that  $\tau(\theta) = \theta'$ .

**Theorem.** For field isomorphism  $\sigma: K \to K'$  and  $0 \neq f(x) \in K[x]$ , let L be splitting field of f(x) over K, L' be splitting field of  $\sigma_*(f(x))$  over K'. Then there exists a field isomorphism  $\tau: L \to L'$  such that  $\forall a \in K, \tau(a) = \sigma(a)$ .

Corollary. Setting K = K' and  $\sigma = id$  implies that splitting fields are unique.

#### 4.2. Normal extensions

**Definition**. L/K is **normal** if: for all  $f(x) \in K[x]$ , if f is irreducible and has a root in L then all its roots are in L. In particular, f(x) splits completely as product of linear factors in L[x]. So the minimal polynomial of  $\theta \in L$  over K has all its roots in L and can be written as product of linear factors in L[x].

#### Example.

- If [L:K] = 1 then L/K is normal.
- If [L:K]=2 then L/K is normal: let  $\theta \in L$  have minimal polynomial  $m(x) \in K[x]$ , then  $K \subseteq K(\theta) \subseteq L$  so  $\deg(m(x)) = [K(\theta):K] \in \{1,2\}$ :
  - If deg(m(x)) = 1 then m(x) is already linear.
  - If deg(m(x)) = 2 then  $m(x) = (x \theta)m_1(x)$ ,  $m_1(x) \in L[x]$  is linear so m(x) splits completely in L[x].
- If [L:K]=3 then L/K is not necessarily normal. Let  $\theta$  be root of  $x^3-2\in\mathbb{Q}[x]$ . Other two roots are  $\omega\theta$ ,  $\omega^2\theta$  where  $\omega=e^{2\pi i/3}$ . If  $\omega\theta\in\mathbb{Q}(\theta)$  then  $\omega=\frac{\omega\theta}{\theta}\in L$  so  $\mathbb{Q}\subset\mathbb{Q}(\omega)\subset\mathbb{Q}(\theta)$  but  $[\mathbb{Q}(\omega):\mathbb{Q}]=2$  which doesn't divide  $[\mathbb{Q}(\theta):\mathbb{Q}]=3$ .
- Let  $\theta \in \mathbb{C}$  be root of irreducible  $f(x) = x^3 3x 1 \in \mathbb{Q}[x]$ . Let  $\theta = u + v$ , then  $(u+v)^3 3uv(u+v) (u^3+v^3) \equiv 0$  implies  $uv = 1 = u^3v^3$ ,  $u^3 + v^3 = 1$ . So  $(y-u^3)(y-v^3) = y^2 y + 1$  has roots  $u^3$  and  $v^3$ . So the three roots of f are

$$\begin{split} \theta_1 &= u + v = e^{\pi i/9} + e^{-\pi i/9} = 2\cos(\pi/9) \\ \theta_2 &= \omega u + \omega^2 v = e^{7\pi i/9} + e^{-7\pi i/9} = 2\cos(7\pi/9) \\ \theta_3 &= \omega^2 u + \omega v = e^{13\pi i/9} + e^{-13\pi i/9} = 2\cos(13\pi/9) \end{split}$$

Furthermore, for each  $i, j, \theta_i \in \mathbb{Q}(\theta_i)$ , e.g.

$$\theta_2 = 2\cos \left(\pi - \frac{2\pi}{9}\right) = -2\cos \left(\frac{2\pi}{9}\right) = -2\left(2\cos \left(\frac{\pi}{9}\right)^2 - 1\right) = 2 - \theta_1^2$$

Also  $\theta_1 + \theta_2 + \theta_3 = 0$  so  $\theta_3 \in \mathbb{Q}(\theta_1)$ . So  $\mathbb{Q}(\theta_1)$  contains all roots of f(x).

**Theorem** (normality criterion). L/K is finite and normal iff L is splitting field for some  $0 \neq f(x) \in K[x]$  over K.

#### Example.

- $\mathbb{Q}(\sqrt{2}, \sqrt{3}, \sqrt{5}, \sqrt{7})/\mathbb{Q}$  is normal as it is the splitting field of  $f(x) = (x^2 2)(x^2 3)(x^2 5)(x^2 7) \in \mathbb{Q}[x]$ .
- $\mathbb{Q}(\sqrt[3]{2})/\mathbb{Q}$  is not normal but  $\mathbb{Q}(\sqrt[3]{2},\omega)/\mathbb{Q}$  is normal as it is the splitting field of  $x^3-2\in\mathbb{Q}$ .
- $\mathbb{Q}(\sqrt[4]{2})/\mathbb{Q}$  is not normal but  $\mathbb{Q}(\sqrt[4]{2},i)/\mathbb{Q}$  is normal.
- Let  $\theta$  root of  $f(x) = x^3 3x 1 \in \mathbb{Q}[x]$ . Then  $\mathbb{Q}(\theta)/\mathbb{Q}$  is normal as is splitting field of f(x) over  $\mathbb{Q}$ .
- $\mathbb{F}_2(\theta)/\mathbb{F}_2$  where  $\theta^3+\theta^2+1=0$  is normal, as  $\mathbb{F}_2(\theta)$  contains all roots of  $x^3+x^2+1$ .
- $\mathbb{F}_p(\theta)/\mathbb{F}_p(t)$  where  $\theta^p = t$  is normal as it is the splitting field of  $x^p t = x^p \theta^p = (x \theta)^p$  so f(x) splits into linear factors in L[x].

**Definition**. Field N is **normal closure** of L/K if  $K \subseteq L \subseteq N$ , N/K is normal, and if  $K \subseteq L \subseteq N' \subseteq N$  with N'/K normal then N = N'.

**Theorem.** Every finite extension L/K has normal closure, unique up to a K-isomorphism.

**Definition**. Aut(L/K) is group of K-automorphisms of L/K with composition as the group operation.

#### Example.

- Aut( $\mathbb{C}/\mathbb{R}$ ) contains at least two elements: complex conjugation:  $\sigma(a+bi) = a-bi$  and the identity map id  $= \sigma^2$ . If  $\tau \in \operatorname{Aut}(\mathbb{C}/\mathbb{R})$  then  $\tau(a+bi) = a+b\tau(i)$ . But  $\tau(i)^2 = \tau(i^2) = \tau(-1) = -1$  hence  $\tau(i) = \pm i$ . So there are only two choices for  $\tau$ . So  $\operatorname{Aut}(\mathbb{C}/\mathbb{R}) = \{\operatorname{id}, \sigma\}$ .
- Let  $f(x) = x^2 + px + q \in \mathbb{Q}[x]$  irreducible with distinct roots  $\theta, \theta'$ . Then  $\operatorname{Aut}(\mathbb{Q}(\theta)/\mathbb{Q}) = \{\operatorname{id}, \sigma\} \cong \mathbb{Z}/2$  where  $\sigma(a+b\theta) = a+b\theta'$ .
- Let  $\theta$  root of  $x^3 2$ , let  $\sigma \in \operatorname{Aut}(\mathbb{Q}(\theta)/\mathbb{Q})$ . Now  $\sigma(\theta)^3 = \sigma(\theta^3) = \sigma(2) = 2$  so  $\sigma(\theta) \in \{\theta, \omega\theta, \omega^2\theta\}$  but  $\omega\theta, \omega^2\theta \notin \mathbb{Q}(\theta)$  so  $\sigma(\theta) = \theta \Longrightarrow \sigma = \operatorname{id}$ .
- Let  $\theta^p=t,\,\sigma\in \mathrm{Aut}\big(\mathbb{F}_p(\theta)/\mathbb{F}_p(t)\big).$  Then

$$\sigma(\theta)^p = \sigma(\theta^p) = \sigma(t) = t = \theta^p$$

so 
$$(\sigma(\theta) - \theta)^p = \sigma(\theta)^p - \theta^p = 0 \Longrightarrow \sigma(\theta) = \theta \Longrightarrow \sigma = id$$
.

• Let  $\sigma \in \operatorname{Aut}(\mathbb{R}/\mathbb{Q})$ . Then  $\alpha \leq \beta \in \mathbb{R} \Longrightarrow \beta - \alpha = \gamma^2$ ,  $\gamma \in \mathbb{R}$ , so  $\sigma(\beta) - \sigma(a) = \sigma(\gamma)^2 \geq 0$  so  $\sigma(\alpha) \leq \sigma(\beta)$ . Given  $\alpha \in \mathbb{R}$ , there exist sequences  $(r_n), (s_n) \subset \mathbb{Q}$  with  $r_n \leq \alpha \leq s_n$  and  $r_n \to \alpha$ ,  $s_n \to \alpha$  as  $n \to \infty$ . Hence  $r_n = \sigma(r_n) \leq \sigma(\alpha) \leq \sigma(s_n) = s_n$  so  $\sigma(\alpha) = \alpha$  by squeezing. Hence  $\operatorname{Aut}(\mathbb{R}/\mathbb{Q}) = \{ \operatorname{id} \}$ .

**Theorem.** Let  $L = K(\theta)$ ,  $\theta$  root of irreducible  $f(x) \in K[x]$ ,  $\deg(f) = n$ . Then  $|\operatorname{Aut}(L/K)| \le n$ , with equality iff f(x) has n distinct roots in L.

**Theorem**. Let L/K be finite extension. Then  $|\operatorname{Aut}(L/K)| \leq [L:K]$ , with equality iff L/K is normal and minimal polynomial of every  $\theta \in L$  over K has no repeated roots (in a splitting field).

## 4.3. Separable extensions

**Definition**. Let L/K finite extension.

- $\theta \in L$  is **separable over** K if its minimal polynomial over K has no repeated roots (in its splitting field).
- L/K is **separable** if every  $\theta \in L$  is separable over K.

**Example.** Let  $K = \mathbb{F}_p(t)$ , then  $f(x) = x^p - t \in K[x]$  is irreducible by Eisenstein's criterion with p = t, and  $f(x) = x^p - \theta^p = (x - \theta)^p$  so  $\theta$  is root of multiplicity  $p \ge 2$ . So  $\mathbb{F}_p(\theta)/\mathbb{F}_p(t)$  is normal but not separable.

**Definition**. Let  $f(x) = \sum_{i=0}^{n} a_i x^i \in K[x]$ . Formal derivative of f(x) is

$$Df(x) = D(f) := \sum_{i=1}^{n} i a_{i} x^{i-1} \in K[x]$$

**Note**. Formal derivative satisfies:

$$D(f+g) = D(f) + D(g), \quad D(fg) = f \cdot D(g) + D(f) \cdot g, \quad \forall a \in K, D(a) = 0$$

Also  $\deg(D(f)) < \deg(f)$ . But if  $\operatorname{char}(K) = p$ , then  $D(x^p) = px^{p-1} = 0$  so it is not always true that  $\deg(D(f)) = \deg(f) - 1$ .

**Note**. If f(x) has a repeated root  $\alpha$ , then  $Df(\alpha) = 0$ .

**Theorem** (sufficient conditions for separability). Finite extension L/K is separable if any of the following hold:

- $\operatorname{char}(K) = 0$ ,
- $\operatorname{char}(K) = p$  and  $K = \{b^p : b \in K\} = K^p$  for prime p,
- $\operatorname{char}(K) = p \text{ and } p \nmid [L:K]$

**Definition**. K is **perfect field** if either of first two of above properties hold.

**Remark**. All finite extensions of any perfect extension (e.g.  $\mathbb{Q}, \mathbb{F}_p$ ) are separable (recall Fermat's little theorem:  $\forall a \in \mathbb{F}_p, a = a^p$ ). So to find a non-separable extension L/K, we need char(K) = p > 0, K infinite and  $p \mid [L : K]$ . For example,  $L = \mathbb{F}_p(\theta)$ ,  $K = \mathbb{F}_p(t)$  where  $\theta^p = t$ .

**Theorem.** Let  $\alpha_1, ..., \alpha_n$  algebraic over K, then  $K(\alpha_1, ..., \alpha_n)/K$  is separable iff every  $\alpha_i$  is separable over K.

**Remark**. For tower  $K \subseteq M \subseteq L$ , L/K is separable iff L/M and M/K are separable. However, the same statement for normality does not hold.

**Theorem** (Theorem of the Primitive Element). Let L/K finite and separable. Then L/K is simple, i.e.  $\exists \alpha \in L : L = K(\alpha)$ .

## 4.4. The fundamental theorem of Galois theory

**Definition**. Finite extension L/K is **Galois extension** if it is normal and separable. Equivalently,  $|\operatorname{Aut}(L/K)| = [L:K]$ . When L/K is Galois, the **Galois group** is  $\operatorname{Gal}(L/K) := \operatorname{Aut}(L/K)$ .

**Definition**. Let  $\mathcal{F} := \{\text{intermediate fields of } L/K\}$  and  $\mathcal{G} := \{\text{subgroups of } \operatorname{Gal}(L/K)\}$ . Define the map  $\Gamma : \mathcal{F} \to \mathcal{G}$ ,  $\Gamma(M) = \operatorname{Gal}(L/M)$ .

**Definition**. Let L field, G a group of automorphisms of L. **Fixed field**  $L^G$  of G is set of elements in L which are invariant under all automorphisms in G:

$$L^G \coloneqq \{\alpha \in L : \forall \alpha \in G, \, \sigma(\alpha) = \alpha\}$$

**Theorem**. If G is finite group of automorphisms of L then  $L^G$  is subfield of L and  $[L:L^G]=|G|$ .

Corollary. If L/K is Galois then

- $L^{\operatorname{Gal}(L/K)} = K$ .
- If  $L^G = K$  for some group G of K-automorphisms of L, then G = Gal(L/K).

**Note**. Let  $\sigma \in \text{Gal}(L/K)$ . If  $\alpha \in L$  has minimal polynomial  $f(x) \in K[x]$  over K, then  $f(\alpha) = 0$ , and

$$f(\sigma(\alpha)) = \sigma(f(\alpha))$$

by properties of field homomorphisms. Hence  $\sigma(\alpha)$  is also a root of f(x) for any  $\sigma \in \operatorname{Gal}(L/K)$ , i.e.  $\sigma$  permutes the roots of f(x).

**Remark.** If L/K is Galois and  $\alpha \in L$  but  $\alpha \notin K$ , then there exists an automorphism  $\sigma \in \operatorname{Gal}(L/K)$  such that  $\sigma(\alpha) \neq \alpha$ .

**Definition**. For H subgroup of  $\operatorname{Gal}(L/K)$ , set  $L^H \coloneqq \{\alpha \in L : \forall \sigma \in H, \sigma(\alpha) = \alpha\}$ , then  $K \subseteq L^H \subseteq L$ . Define  $\Phi : \mathcal{G} \to \mathcal{F}, \Phi(H) = L^H$ .

•  $\Gamma$  and  $\Phi$  are inclusion-reversing:  $M_1 \subseteq M_2 \Longrightarrow \Gamma(M_2) \subseteq \Gamma(M_1)$ , and  $H_1 \subseteq H_2 \Longrightarrow \Phi(H_2) \subseteq \Phi(H_1)$ .

**Theorem** (Fundamental theorem of Galois theory - Theorem A). For finite Galois extension L/K,

- $\Gamma: \mathcal{F} \to \mathcal{G}$  and  $\Phi: \mathcal{G} \to \mathcal{F}$  are mutually inverse bijections (the **Galois** correspondence).
- For  $M \in \mathcal{F}$ , L/M is Galois and  $|\operatorname{Gal}(L/M)| = [L:M]$ .
- For  $H \in \mathcal{G}$ ,  $L/L^H$  is Galois and  $\operatorname{Gal}(L/L^H) = H$ .

**Remark.** Gal(L/K) acts on  $\mathcal{F}$ : given  $\sigma \in \text{Gal}(L/K)$  and  $K \subseteq M \subseteq L$ , consider  $\sigma(M) = \{\sigma(\alpha) : \alpha \in M\}$  which is a subfield of L and contains K, since  $\sigma$  fixes elements of K. Given another automorphism  $\tau : L \to L$ ,

$$\begin{split} \tau \in \operatorname{Gal}(L/\sigma(M)) &\iff \forall \alpha \in M, \tau(\sigma(\alpha)) = \sigma(\alpha) \\ &\iff \forall \alpha \in M, \sigma^{-1}(\tau(\sigma(\alpha))) = \alpha \\ &\iff \sigma^{-1}\tau\sigma \in \operatorname{Gal}(L/M) \\ &\iff \tau \in \sigma \ \operatorname{Gal}(L/M)\sigma^{-1} \end{split}$$

Hence  $\sigma \operatorname{Gal}(L/M)\sigma^{-1}$  and  $\operatorname{Gal}(L/M)$  are conjugate subgroups of  $\operatorname{Gal}(L/K)$ . Now

$$[M:K] = \frac{[L:K]}{[L:M]} = \frac{|\operatorname{Gal}(L/K)|}{|\operatorname{Gal}(L/M)|}$$

**Theorem** (Fundamental theorem of Galois theory - Theorem B). Let L/K be finite Galois extension,  $G = \operatorname{Gal}(L/K)$  and  $K \subseteq M \subseteq L$ . Then the following are equivalent:

- M/K is Galois.
- $\forall \sigma \in G, \quad \sigma(M) = M.$
- $H = \operatorname{Gal}(L/M)$  is normal subgroup of  $G = \operatorname{Gal}(L/K)$ .

When these conditions hold, we have  $Gal(M/K) \cong G/H$ .

**Example.** Let L/K be Galois, [L:K] = p prime.

- By the tower law, any  $K \subseteq M \subseteq L$  has  $[L:M] \in \{1,p\}$ ,  $[M:K] \in \{p,1\}$ , so M = L or K. In both cases, M/K is normal.
- $|\operatorname{Gal}(L/K)| = [L:K] = p$  so  $\operatorname{Gal}(L/M) \cong \mathbb{Z}/p$ , so the only subgroups are  $\operatorname{Gal}(L/K)$  and  $\{\operatorname{id}\}$ . In both cases, H is normal subgroup of  $\operatorname{Gal}(L/K)$ .

## 4.5. Computations with Galois groups

**Example** (quadratic extension).  $\mathbb{Q}(\sqrt{2})/\mathbb{Q}$  is normal (since degree is 2) and separable (since characteristic is zero). Any element of  $\varphi \in G = \operatorname{Gal}(\mathbb{Q}(\sqrt{2})/\mathbb{Q})$  is determined by the image of  $\sqrt{2}$ . But  $\varphi(\sqrt{2})^2 = \varphi(2) = 2$  so  $\varphi(\sqrt{2}) = \pm \sqrt{2}$ . This gives two automorphisms  $\operatorname{id}(\sqrt{2}) = \sqrt{2}$  and  $\sigma(\sqrt{2}) = -\sqrt{2}$ . So  $G = \{\operatorname{id}, \sigma\} = \langle \sigma \rangle \cong \mathbb{Z}/2$ . Subgroup  $\{\operatorname{id}\}$  corresponds to  $\mathbb{Q}(\sqrt{2})$ , G corresponds to  $\mathbb{Q}$ .

**Example** (biquadratic extension).  $L = \mathbb{Q}(\sqrt{2}, \sqrt{3})$  over  $\mathbb{Q}$  is normal (as splitting field of  $(x^2 - 2)(x^2 - 3)$  over  $\mathbb{Q}$ ) and separable (as  $\operatorname{char}(\mathbb{Q}) = 0$ ), so is Galois extension. Let  $\sigma$  be given as before.

- Suppose  $\sqrt{3} \in \mathbb{Q}(\sqrt{2})$ , then  $\sigma(\sqrt{3})^2 = \sigma(3) = 3$ , so  $\sigma(\sqrt{3}) = \pm \sqrt{3}$ .
- If  $\sigma(\sqrt{3}) = \sqrt{3}$ , then  $\sqrt{3} \in \mathbb{Q}(\sqrt{2})^{\{id,\sigma\}} = \mathbb{Q}$ : contradiction.
- If  $\sigma(\sqrt{3}) = -\sqrt{3}$ , then  $\sigma(\sqrt{2})\sigma(\sqrt{3}) = \sigma(\sqrt{6}) = (-\sqrt{2})(-\sqrt{3}) = \sqrt{6}$ , so  $\sqrt{6} \in \mathbb{Q}(\sqrt{2})^{\{\mathrm{id},\sigma\}} = \mathbb{Q}$ : contradiction.
- So  $\sqrt{3} \notin \mathbb{Q}(\sqrt{2})$ , hence  $[L : \mathbb{Q}] = [L : \mathbb{Q}(\sqrt{2})][\mathbb{Q}(\sqrt{2}) : \mathbb{Q}] = 4$ .
- Now  $G = Gal(L/\mathbb{Q})$  has order  $[L : \mathbb{Q}] = 4$ , so  $G \cong \mathbb{Z}/4$  or  $\mathbb{Z}/2 \times \mathbb{Z}/2$ .
- For  $\varphi \in G$ ,  $\varphi(\sqrt{2})^2 = 2 \Longrightarrow \varphi(\sqrt{2}) = \pm \sqrt{2}$ ,  $\varphi(\sqrt{3})^2 = 3 \Longrightarrow \varphi(\sqrt{3}) = \pm \sqrt{3}$ . So there are four choices, corresponding to choices of  $\pm$  signs.
- Define  $\sigma, \tau$  by  $\sigma(\sqrt{2}) = -\sqrt{2}$ ,  $\sigma(\sqrt{3}) = \sqrt{3}$ ,  $\tau(\sqrt{2}) = \sqrt{2}$ ,  $\tau(\sqrt{3}) = -\sqrt{3}$ . Now  $\sigma^2 = \tau^2 = \mathrm{id}$ ,  $\sigma\tau(\sqrt{2}) = -\sqrt{2}$ ,  $\sigma\tau(\sqrt{3}) = -\sqrt{3}$  and  $\sigma\tau = \tau\sigma$ .
- So  $G = \langle \sigma, \tau : \sigma^2 = \tau^2 = \mathrm{id}, \sigma\tau = \tau\sigma \rangle = \langle \sigma \rangle \times \langle \tau \rangle \cong \mathbb{Z}/2 \times \mathbb{Z}/2.$
- G has proper subgroups  $H_1=\langle\sigma\rangle,\,H_2=\langle\tau\rangle,\,H_3=\langle\sigma\tau\rangle.$
- So the intermediate fields are  $L^{H_1}, L^{H_2}, L^{H_3}$ .

- $\sigma(\sqrt{3}) = \sqrt{3} \Longrightarrow \sqrt{3} \in L^{H_1}$  so  $\mathbb{Q}(\sqrt{3}) \subseteq L^{H_1}$ , but  $[L:\mathbb{Q}(\sqrt{3})] = 2 = |H_1| = [L:L^{H_1}]$ . Hence  $L^{H_1} = \mathbb{Q}(\sqrt{3})$ . Similarly  $L^{H_2} = \mathbb{Q}(\sqrt{2})$ .
- $\sigma \tau(\sqrt{6}) = \sqrt{6} \Longrightarrow \sqrt{6} \in L^{H_3}$ , so  $L^{H_3} = \mathbb{Q}(\sqrt{6})$ .

**Remark.** It is not generally true that  $[K(\sqrt{a}, \sqrt{b}) : K] = 4$ , e.g.  $\mathbb{Q}(\sqrt{2}, \sqrt{8}) = \mathbb{Q}(\sqrt{2})$ .

**Remark**. Can generalise above example to arbitrary  $K(\sqrt{a}, \sqrt{b})/K$  where  $\operatorname{char}(K) \neq 2$ , and  $a, b \in K$ ,  $a, b, ab \notin (K^{\times})^2$  where  $(K^{\times})^2$  is set of squares of  $K^{\times}$ .

## Example (degree 8 extension).

- Consider  $L = \mathbb{Q}(\sqrt{2}, \sqrt{3}, \sqrt{5})$  over  $\mathbb{Q}$ . L is splitting field of  $(x^2 2)(x^2 3)(x^2 5)$ , so is normal, and char( $\mathbb{Q}$ ) = 0, so is separable, so is Galois.
- Let  $M = \mathbb{Q}(\sqrt{2}, \sqrt{3})$ . By above,  $Gal(M/Q) = \langle \sigma \rangle \times \langle \tau \rangle \cong \mathbb{Z}/2 \times \mathbb{Z}/2$ .
- Suppose  $\sqrt{5} \in M$ . Then  $\sigma(\sqrt{5})^2 = \tau(\sqrt{5})^2 = 5$ , so  $\sigma(\sqrt{5}) = \pm \sqrt{5}$ ,  $\tau(\sqrt{5}) = \pm \sqrt{5}$ .
- If  $\sigma(\sqrt{5}) = \sqrt{5}$ , then  $\sqrt{5} \in M^{\langle \sigma \rangle} = \mathbb{Q}(\sqrt{3})$ .
  - If  $\tau(\sqrt{5}) = \sqrt{5}$ ,  $\sqrt{5} \in M^{\langle \sigma, \tau \rangle} = \mathbb{Q}$ : contradiction.
  - If  $\tau(\sqrt{5}) = -\sqrt{5}$ , then since  $\sqrt{15} \in M^{\langle \sigma \rangle}$ ,  $\tau(\sqrt{15}) = \sqrt{15}$ , so  $\sqrt{15} \in M^{\langle \sigma, \tau \rangle} = \mathbb{Q}$ : contradiction.
- If  $\sigma(\sqrt{5}) = -\sqrt{5}$ , then  $\sigma(\sqrt{10}) = \sigma(\sqrt{2})\sigma(\sqrt{5}) = (-\sqrt{2})(-\sqrt{5}) = \sqrt{10}$ , so  $\sqrt{10} \in M^{\langle \sigma \rangle} = \mathbb{Q}(\sqrt{3})$ .
  - If  $\tau(\sqrt{5}) = \sqrt{5}$ ,  $\tau(\sqrt{10}) = \sqrt{10} \in M^{\langle \sigma, \tau \rangle} = \mathbb{Q}$ : contradiction.
  - If  $\tau(\sqrt{5}) = -\sqrt{5}$ ,  $\tau(\sqrt{30}) = \tau(\sqrt{5})\tau(\sqrt{3})\tau(\sqrt{2}) = \sqrt{30} \in M^{\langle \sigma, \tau \rangle} = \mathbb{Q}$ : contradiction.
- So  $\sqrt{5} \notin M$ , so  $[L:\mathbb{Q}] = [L:M][M:\mathbb{Q}] = 8$ . The 8 elements in  $\operatorname{Gal}(L/\mathbb{Q})$  are determined by choices of  $\sqrt{a} \mapsto \pm \sqrt{a}$  where  $a \in \{2,3,5\}$ .
- $\operatorname{Gal}(L/\mathbb{Q}) = \langle \sigma_1, \sigma_2, \sigma_3 \rangle \cong \mathbb{Z}/2 \times \mathbb{Z}/2 \times \mathbb{Z}/2$  where  $\sigma_1(\sqrt{2}) = -\sqrt{2}$ ,  $\sigma_2(\sqrt{3}) = -\sqrt{3}$ ,  $\sigma_1(\sqrt{5}) = -\sqrt{5}$  and the  $\sigma_i$  fix all other square roots.
- More generally, write  $\sigma(\sqrt{5}) = (-1)^j \sqrt{5}$ ,  $\tau(\sqrt{5}) = (-1)^k \sqrt{5}$ ,  $j, k \in \{0, 1\}$ . Define  $m = 2^j 3^k$ , then  $\sigma(\sqrt{m}) = (-1)^j \sqrt{m} \Rightarrow \sigma(\sqrt{5m}) = \sqrt{5m}$  and  $\tau(\sqrt{m}) = (-1)^k \sqrt{m} \Rightarrow \tau(\sqrt{5m}) = \sqrt{5m}$ , so  $\sqrt{5m} \in M^{\langle \sigma, \tau \rangle} = \mathbb{Q}$ : contradiction.

#### Example (cubic extension and its normal closure).

- Let  $L = \mathbb{Q}(\theta)$ ,  $\theta^3 2 = 0$ .  $L/\mathbb{Q}$  isn't Galois since not normal. Take the normal closure  $N = \mathbb{Q}(\theta, \omega) = \mathbb{Q}(\theta, \sqrt{-3})$ .
- Let  $M=\mathbb{Q}(\omega)$  so  $[M:\mathbb{Q}]=2,$   $[L:\mathbb{Q}]=3$  and  $[N:\mathbb{Q}]=6.$  Let  $G=\operatorname{Gal}(N/\mathbb{Q}).$
- Since  $|G|=[N:\mathbb{Q}]=6,\,G\cong\mathbb{Z}/6$  or  $G\cong D_3\cong S_3.$
- G contains Gal(N/L). Since  $N = L(\omega)$ ,

$$\operatorname{Gal}(N/L) = \{\operatorname{id}, \tau\} = \langle \tau \rangle \cong \mathbb{Z}/2$$

where  $\tau(\sqrt{-3}) = -\sqrt{-3}$  (i.e.  $\tau(w) = \omega^2$ ) and  $\tau(\theta) = \theta$  as  $\theta \in L$ .

• G contains  $H = \operatorname{Gal}(N/M)$ .  $N = M(\theta), |H| = [N:M] = 3$  so  $\operatorname{Gal}(N/M)$  is cyclic so

$$H = \left\{ \mathrm{id}, \sigma, \sigma^2 \right\} = \left< \sigma \right> \cong \mathbb{Z}/3$$

where  $\sigma(\theta) = \omega \theta$ , also  $\sigma(\omega) = \omega$  as  $\omega \in M$  and  $\sigma^2(\theta) = \omega^2 \theta$ , so H permutes the three roots of  $x^3 - 2$ .

- $\tau \notin H$  so  $H = \{ \mathrm{id}, \sigma, \sigma^2 \}$  and  $\tau H = \{ \tau, \tau \sigma, \tau \sigma^2 \}$  are disjoint cosets. So  $G = H \cup \tau H = \langle \tau, \sigma \rangle$  so |G| = 6.  $\tau^2 = \sigma^3 = \mathrm{id}$  and  $\sigma \tau = \tau \sigma^2$ . So  $G \cong S_3 \cong D_3$ .
- G has one subgroup of order 3,  $H = \langle \sigma \rangle$ . Fixed field is  $N^H = M$ . H is only proper normal subgroup of G. Correspondingly, M is only normal extension of Q in N.
- There are 3 order 2 subgroups:  $\langle \tau \rangle$ ,  $\langle \tau \sigma \rangle$ ,  $\langle \tau \sigma^2 \rangle$ .  $N^{\langle \tau \rangle} = \mathbb{Q}(\theta) = L$ ,  $N^{\langle \tau \sigma \rangle} = \mathbb{Q}(\omega\theta) = \sigma(L)$ ,  $N^{\langle \tau \sigma^2 \rangle} = \mathbb{Q}(\omega^2\theta) = \sigma^2(L)$ .

**Example.** Show  $\sqrt[3]{3} \notin \mathbb{Q}(\sqrt[3]{2})$ .

- Assume  $\sqrt[3]{3} \in \mathbb{Q}(\sqrt[3]{2})$ . Then  $\sqrt[3]{3} \in N = \mathbb{Q}(\omega, \sqrt[3]{2})$ , the normal closure.
- As above, let  $\sigma \in \operatorname{Gal}(N/\mathbb{Q})$ ,  $\sigma(\sqrt[3]{2}) = \omega \sqrt[3]{2}$  and  $N^{\langle \sigma \rangle} = \mathbb{Q}(\omega)$ . Also,

$$\sigma(\sqrt[3]{3})^3 = \sigma(3) = 3 \Longrightarrow \sigma(\sqrt[3]{3}) \in \{\sqrt[3]{3}, \omega\sqrt[3]{3}, \omega^2\sqrt[3]{3}\}$$

- If  $\sigma(\sqrt[3]{3}) = \sqrt[3]{3}$ , then  $\sqrt[3]{3} \in N^{\langle \sigma \rangle} = \mathbb{Q}(\omega)$ , so  $\mathbb{Q}(\sqrt[3]{3}) \subseteq \mathbb{Q}(\omega)$ : contradiction.
- If  $\sigma(\sqrt[3]{3}) = \omega\sqrt[3]{3}$ , then  $\sigma(\sqrt[3]{3}/\sqrt[3]{2}) = \sqrt[3]{3}/\sqrt[3]{2}$  hence  $\sqrt[3]{3/2} \in N^{\langle \sigma \rangle} = \mathbb{Q}(\omega)$ , so  $\mathbb{Q}(\sqrt[3]{3/2}) = \mathbb{Q}(\sqrt[3]{12}) \subseteq \mathbb{Q}(\omega)$ : contradiction.
- If  $\sigma(\sqrt[3]{3}) = \omega^2 \sqrt[3]{3}$ ,  $\mathbb{Q}(\sqrt[3]{3/4}) = \mathbb{Q}(\sqrt[3]{6}) \subseteq \mathbb{Q}(\omega)$ : contradiction.

**Remark**. In the above example,  $N = \mathbb{Q}(\theta_1, \theta_2, \theta_3) = \mathbb{Q}(\sqrt[3]{2}, \omega)$  where  $\theta_i$  are the roots of  $x^3 - 2$ . Plotting these roots on Argand diagram gives the symmetry group  $S_3 \cong D_3$  of an equilateral triangle.  $\tau$  reflects the  $\theta_i$  (complex conjugation),  $\sigma$  rotates the roots (but **doesn't** rotate all of N, as it fixes  $\mathbb{Q}$ ). For  $g \in G$ ,  $g(\theta_j) = \theta_{\pi(j)}$  where  $\pi$  is permutation of  $\{1, 2, 3\}$ . So there is a group homomorphism  $\varphi : G \to S_3$ ,  $\varphi(g) = \pi$ .  $\ker(\varphi) = \{\mathrm{id}\}$ , so  $\varphi$  is injective and also surjective, since  $|G| = |S_3| = 6$ , so  $\varphi$  is isomorphism.

**Definition**. For  $f(x) \in K[x]$ ,  $\deg(f) = n \ge 1$ , with n distinct roots, the **Galois** group of f(x),  $G_f$ , is Galois group of splitting field of f(x) over K (provided it is separable).

**Remark**. Elements of  $G_f$  permute roots of f, so  $G_f$  is subgroup of  $S_n$ . If f(x) irreducible over K, then  $G_f$  is **transitive** subgroup, i.e. given 2 roots  $\alpha, \beta$  of f, there is a  $g \in G_f$  with  $g(\alpha) = \beta$ . This gives a general pattern

polynomial 
$$\longrightarrow$$
 field extension  $\longrightarrow$  permutation group

**Example.** Consider  $\mathbb{Q} \subset L = \mathbb{Q}(\theta) \subset N = \mathbb{Q}(\theta, i)$  where  $\theta = \sqrt[4]{2}$ . N is normal closure of  $\mathbb{Q}(\theta)$ ,  $[N:\mathbb{Q}] = 8$  so  $|\operatorname{Gal}(N/\mathbb{Q})| = 8$ .

• Define  $\sigma(\theta) = i\theta$ ,  $\sigma(i) = i$ ,  $\tau(\theta) = \theta$ ,  $\tau(i) = -i$ . Then  $\tau^2 = \sigma^4 = id$ . We have

	id	σ	$\sigma^2$	$\sigma^3$	τ	τσ	$ au\sigma^2$	$ au\sigma^3$
$\theta$	$\theta$	$i\theta$	$-\theta$	-i heta	$\theta$	$-i\theta$	$-\theta$	$i\theta$
i	i	i	i	i	-i	-i	-i	-i

so 
$$G=\operatorname{Gal}(N/\mathbb{Q})=\langle \sigma, \tau: \sigma^4=\tau^2=\operatorname{id}, \sigma\tau=\tau\sigma^3\rangle\cong D_4.$$

- Order 2 subgroups are  $\langle \tau \rangle$ ,  $\langle \tau \sigma \rangle$ ,  $\langle \tau \sigma^2 \rangle$ ,  $\langle \tau \sigma^3 \rangle$ ,  $\langle \sigma^2 \rangle$ .
- Order 4 subgroups are  $\langle \sigma^2, \tau \rangle \cong (\mathbb{Z}/2)^2$ ,  $\langle \sigma \rangle \cong \mathbb{Z}/4$ ,  $\langle \sigma^2, \tau \sigma \rangle \cong (\mathbb{Z}/2)^2$ .
- Respectively, intermediate field extensions of degree 4 are  $\mathbb{Q}(\sqrt[4]{2})$ ,  $\mathbb{Q}(i\sqrt[4]{2})$ ,  $\mathbb{Q}(\sqrt{2},i)$ ,  $\mathbb{Q}((1-i)\sqrt[4]{2})$ ,  $\mathbb{Q}((1+i)\sqrt[4]{2})$ .
- Respectively, intermediate field extensions of degree 2 are  $\mathbb{Q}(\sqrt{2})$ ,  $\mathbb{Q}(i)$ ,  $\mathbb{Q}(i\sqrt{2})$ .

# 5. Cyclotomic field extensions

## 5.1. Roots of unity

**Definition**.  $\zeta \in K^*$  is *n*-th primitive root of unity if  $\zeta^n = 1$  and  $\forall 0 < m < n$ ,  $\zeta^m \neq 1$ , i.e. order of  $\zeta$  in  $K^*$  is n.

#### Example.

- $\zeta$  is primitive 1-st root of unity iff  $\zeta = 1$ .
- -1 is primitive 2-nd root of unity iff  $char(K) \neq 2$ .
- If char(K) = p prime, then K contains no p-th primitive roots of unity (since  $\zeta^p = 1 \iff (\zeta 1)^p = 0 \iff \zeta = 1$ ).
- If  $K = \mathbb{C}$ ,  $\exp(2\pi i/n)$  is *n*-th primitive root of unity.

**Proposition**. Let  $\zeta \in K^*$  primitive *n*-th root of unity, let  $d = \gcd(m, n)$ . Then  $\zeta^m$  is primitive (n/d)-th root of unity.

Corollary. Let  $\zeta \in K^*$  primitive *n*-th root of unity.

- $\zeta^m = 1 \iff m \equiv 0 \mod n$ .
- $\zeta^m$  is primitive *n*-th root of unity iff gcd(m, n) = 1.

**Definition**. Let  $\mu(K)$  denote subgroup of all roots of unity in  $K^*$ .

**Theorem**. Let K field, H finite subgroup of  $K^*$ , then H is cyclic.

**Remark**. This implies that any finite field  $\mathbb{F}_q$  can be written  $\mathbb{F}_q = \mathbb{F}_{p^n} = \mathbb{F}_p(\alpha)$  where  $\alpha$  is generator of  $\mathbb{F}_q^{\times}$ .

**Corollary**. Let K field,  $n \in \mathbb{N}$  be largest such that K contains primitive n-th root of unity  $\zeta$ . Then  $\mu(K)$  is cyclic subgroup in  $K^*$  generated by  $\zeta$ .

## **5.2.** *n*-th cyclotomic field extensions

**Notation**. Let  $\zeta_n = \exp(2\pi i/n) \in \mathbb{C}$ .

Definition.  $\mathbb{Q}(\zeta_n)/\mathbb{Q}$  is *n*-th cyclotomic field extension.

**Proposition**.  $\mathbb{Q}(\zeta_n)/\mathbb{Q}$  is Galois.

**Definition**.  $\Phi_n(x) \coloneqq \prod_{a \in A} (x - \zeta_n^a)$  where  $A = \{a \in \mathbb{N} : 0 < a < n, \gcd(a, n) = 1\}.$ 

**Proposition**.  $\Phi_n(x) \in \mathbb{Q}[x]$  is irreducible and so is minimal polynomial of a primitive *n*-th root of unity over  $\mathbb{Q}$ . In particular,  $[\mathbb{Q}(\zeta_n) : \mathbb{Q}] = \varphi(n)$ , where  $\varphi(n) = |(\mathbb{Z}/n)^{\times}|$  is Euler function.

**Proposition**. Properties of  $\varphi$  function:

- For prime  $p, \varphi(p) = p 1$ .
- For prime p,  $\varphi(p^k) = p^k p^{k-1}$ .
- If gcd(n, m) = 1, then  $\varphi(nm) = \varphi(n)\varphi(m)$ .

• If  $n = \prod_{i=1}^r p_i^{k_i}$  is prime factorisation of n, then

$$\varphi(n) = n \prod_{i=1}^r \biggl(1 - \frac{1}{p_i}\biggr)$$

Proposition.  $\forall n \in \mathbb{N}, x^n - 1 = \prod_{n_1 \mid n} \Phi_{n_1}(x)$ .

#### Example.

- $\Phi_1(x) = x 1$ .
- $\Phi_1(x)\Phi_2(x) = x^2 1 \Longrightarrow \Phi_2(x) = x + 1$ .
- $\Phi_1(x)\Phi_3(x) = x^3 1 \Longrightarrow \Phi_3(x) = x^2 + x + 1$ .

#### Proposition.

- For p prime,  $\Phi_n(x) = x^{p-1} + \dots + x + 1$ .
- For p prime,  $\Phi_{p^k}(x) = \Phi_p(x^{p^{k-1}})$ .
- For every  $n \in \mathbb{N}$ ,  $\Phi_n(x)$  has integer coefficients.

## 5.3. Galois properties of cyclotomic extensions

Theorem.  $Gal(\mathbb{Q}(\zeta_n)/\mathbb{Q}) \cong (\mathbb{Z}/n)^{\times}$ .

**Remark**. To compute  $(\mathbb{Z}/n)^{\times}$ , note that for m, n coprime,  $(\mathbb{Z}/mn)^{\times} \cong (\mathbb{Z}/m)^{\times} \times (\mathbb{Z}/n)^{\times}$  and

- If  $p \neq 2$  prime, then  $(\mathbb{Z}/p^r)^{\times}$  is cyclic of order  $\varphi(p^r)$ .
- $(\mathbb{Z}/4)^{\times} \cong \mathbb{Z}/2$  and for  $r \geq 3$ ,  $(\mathbb{Z}/2^r)^{\times} \cong \mathbb{Z}/2 \times \mathbb{Z}/2^{r-2}$ .

**Corollary**. Gal( $\mathbb{Q}(\zeta_n)/\mathbb{Q}$ ) is abelian so every subgroup is normal, so any subfield of  $\mathbb{Q}(\zeta_n)$  is Galois over  $\mathbb{Q}$ .

**Corollary**. For p prime,  $G = \operatorname{Gal}(\mathbb{Q}(\zeta_p)/\mathbb{Q}) \cong (\mathbb{Z}/p)^{\times} \cong \mathbb{Z}/(p-1)$ . In particular, for  $d \mid (p-1), \mathbb{Q}(\zeta_p)$  contains exactly one subfield of degree d and there are no other subfields.

**Remark**. For d=2 in above corollary,  $\mathbb{Q}(\zeta_p)$  contains unique quadratic subfield  $\mathbb{Q}(\sqrt{D_p})$ , where  $D_p=(-1)^{(p-1)/2}p$ 

**Example.** Gal( $\mathbb{Q}(\zeta_n)/\mathbb{Q}$ ) not always cyclic, e.g. Gal( $\mathbb{Q}(\zeta_8)/\mathbb{Q}$ )  $\cong \mathbb{Z}/2 \times \mathbb{Z}/2$ .

#### Proposition.

- If n odd,  $\mu(\mathbb{Q}(\zeta_n))$  is cyclic of order 2n and is generated by  $-\zeta_n$ .
- If n even,  $\mu(\mathbb{Q}(\zeta_n))$  is cyclic of order n and is generated by  $\zeta_n$ .
- If gcd(m, n) = d, then  $\mathbb{Q}(\zeta_m, \zeta_n) = \mathbb{Q}(\zeta_{mn/d})$ .

# 5.4. Special properties of $\mathbb{Q}(\zeta_p)$ , where p>2 is prime

**Example.** Gal( $\mathbb{Q}(\zeta_5)/\mathbb{Q}$ )  $\cong (\mathbb{Z}/5)^{\times}$  has generator  $\tau: \zeta_5 \mapsto \zeta_5^2$ .  $\mathbb{Q}$ -basis  $\{1, \zeta_5, \zeta_5^2, \zeta_5^3\}$  is not invariant under action of  $\tau$  or any power of  $\tau$  (since  $\tau(\zeta_5^2) = \zeta_5^4$ ) but  $\{\zeta, \zeta_5^2, \zeta_5^3, \zeta_5^4\}$  is invariant. The same holds for general p > 2 prime. For  $\alpha_i \in \mathbb{Q}$ ,  $\alpha_1 \zeta_p + \dots + \alpha_{p-1} \zeta_p^{p-1} \in \mathbb{Q}$  iff  $\alpha_1 = \dots = \alpha_{p-1}$ .

**Example.** If  $x \in \mathbb{Q}(\zeta_p)$ ,  $[\mathbb{Q}(x) : \mathbb{Q}] = |\{\sigma(x) : \sigma \in \operatorname{Gal}(\mathbb{Q}(\zeta_p)/\mathbb{Q})\}|$  In particular, if  $\tau$  is generator of  $G = \operatorname{Gal}(\mathbb{Q}(\zeta_p)/\mathbb{Q})$  and  $x = \alpha_1 \zeta_p + \dots + \alpha_{p-1} \zeta_p^{p-1}$  then set of all conjugates of x is equal to (note not all elements are distinct)

$$\{\tau^a(x): a \in [p-1]\} = \left\{ \sum_{i=1}^{p-1} \alpha_i \zeta_p^{ai}: a \in [p-1] \right\}$$

**Example.** Let  $x = \zeta_5 + \zeta_5^4$ ,  $\tau : \zeta_5 \mapsto \zeta_5^2$  is a generator of  $\operatorname{Gal}(\mathbb{Q}(\zeta_5)/\mathbb{Q})$ .  $\tau(x) = \zeta_5^2 + \zeta_5^3 \neq x$  but  $\tau^2(x) = x$ , so  $[\mathbb{Q}(x) : \mathbb{Q}] = 2$ , i.e.  $\mathbb{Q}(\zeta_5 + \zeta_5^4)$  is unique quadratic subfield in  $\mathbb{Q}(\zeta_5)$ .

**Definition**. Let  $x \in \mathbb{Q}(\zeta_p)$ , let minimal polynomial of x over  $\mathbb{Q}$  be  $m(t) = (t - x^{(1)}) \cdots (t - x^{(d)})$ . Conjugates of x over  $\mathbb{Q}$  are  $x^{(1)} = x, ..., x^{(d)}$ .

**Example.** Minimal polynomial of  $\zeta_5 + \zeta_5^4 = 2\cos(2\pi/5)$  over  $\mathbb{Q}$  is  $m(x) = (x - \zeta_5 - \zeta_5^4)(x - \zeta_5^2 - \zeta_5^3) = x^2 + x - 1$ , with roots  $(-1 \pm \sqrt{5})/2$ . So  $\cos(2\pi/5) = (-1 + \sqrt{5})/4$ , and unique quadratic subfield of  $\mathbb{Q}(\zeta_5)$  over  $\mathbb{Q}$  is  $\mathbb{Q}(\sqrt{5})$ .

**Example**. Let  $\tau \in G$  be generator of  $G = \operatorname{Gal}(\mathbb{Q}(\zeta_p)/\mathbb{Q})$ , i.e.  $\tau(\zeta_p) = \zeta_p^a$ ,  $a \mod p$  generates  $(\mathbb{Z}/p)^{\times}$ . Let

$$\Theta_p = \zeta_p - \tau \big(\zeta_p\big) + \tau^2 \big(\zeta_p\big) - \dots + \tau^{p-3} \big(\zeta_p\big) - \tau^{p-2} \big(\zeta_p\big)$$

 $\Theta_p$  behaves like  $\sqrt{D_p}$ :  $\tau(\Theta_p) = -\Theta_p, \, \tau^2(\Theta_p) = \Theta_p.$  So  $\Theta_p \in \mathbb{Q}(\zeta_p)^{\langle \tau^2 \rangle}.$  Also,  $\tau(\Theta_p^2) = \Theta_p^2$  so  $\Theta_p^2 \in \mathbb{Q}(\zeta_p)^{\langle \tau \rangle} = \mathbb{Q}.$  In fact,  $\Theta_p^2 = D_p.$  Therefore

$$\Theta_p^2 = A + B \big(\zeta_p + \dots + \zeta_p^{p-1}\big) = A - B$$

So when computing  $\Theta_n^2$ , only need to consider coefficients for 1 and  $\zeta_n$ .

# 6. Cyclic field extensions

## **6.1.** Cyclic extensions of degree 2

**Example.** Let L/K cyclic of degree 2, so  $\operatorname{Gal}(L/K) = \{e, \tau\}$ ,  $\tau^2 = e$ . Let  $\theta \in L - K$ , then  $\tau(\theta) \neq \theta$  (as otherwise  $\theta \in L^{\langle \tau \rangle} = K$ ). Let  $\theta_1 = \tau(\theta) - \theta$ , so  $\tau(\theta_1) = \tau^2(\theta) - \tau(\theta) = -\theta_1$ . If  $\operatorname{char}(K) \neq 2$ , then  $\theta_1 \neq -\theta_1$  and so  $\theta_1 \notin K$ ,  $L = K(\theta_1)$ .  $\theta_1$  is "better" than  $\theta$ , since  $\tau(\theta_1) = -\theta_1$ . Now if  $a = \theta_1^2$ , then  $\tau(a) = a$ , so  $L = K(\sqrt{a})$ .

**Theorem**. If  $char(K) \neq 2$  and L/K is cyclic quadratic extension, then

$$\exists a \in K^{\times} - K^{\times^2} : L = K(\sqrt{a})$$

Definition.  $a_1,...,a_n$  are independent modulo  $K^{\times^2}$  (independent modulo squares) if

$$a_1^{\varepsilon_1} \cdots a_n^{\varepsilon_n} \in K^{\times^2} \iff \text{all } \varepsilon_i \text{ are even}$$

**Proposition**. If  $char(K) \neq 2$ :

- $\bullet \ \ K(\sqrt{a_1}) = K(\sqrt{a_2}) \Longleftrightarrow a_1 \equiv a_2 \operatorname{mod} K^{\times^2}, \text{ i.e. } a_1 = a_2 \cdot b^2, \, b \in K^{\times}.$
- If  $a_1, ..., a_n \in K^{\times}$  are independent modulo  $K^{\times^2}$  then  $K(\sqrt{a_1}, ..., \sqrt{a_n})$  has degree  $2^n$  over K with Galois group  $\cong (\mathbb{Z}/2)^n$ .
- If L/K Galois with Galois group  $(\mathbb{Z}/2)^n$ , then

$$\exists a_1,...,a_n \in K^\times: \quad L = K(\sqrt{a_1},...,\sqrt{a_n})$$

**Remark.** Let char(K) = 2, then  $\forall a \in K^{\times}$ ,  $L = K(\sqrt{a})$  is normal but not separable (since minimal polynomial of e.g.  $\sqrt{a}$  is  $x^2 - a = (x + \sqrt{a})(x - \sqrt{a}) = (x - \sqrt{a})^2$  so has repeated roots).

## **6.2.** Cyclic extensions of degree n (the Kummer theory)

**Definition**. L/K is **cyclic of degree** n if it is Galois and Gal(L/K) is cyclic of order n.

**Theorem.** If K contains primitive n-th root of unity and for all divisors d > 1 of n,  $a \in K^{\times}$  is not d-th power in K, then  $L = K(\sqrt[n]{a})$  is cyclic extension of K of degree n. In particular,  $x^n - a \in K[x]$  is irreducible.

**Proposition**. If  $\zeta_p \in K$ ,  $a \in K^{\times} - K^{\times^p}$ , then  $K(\sqrt[p]{a})/K$  is cyclic of degree p. In particular,  $x^p - a \in K[x]$  is irreducible.

**Theorem**. Let K contain primitive n-th root of unity  $\zeta_n$ , L/K is cyclic extension of degree n,  $\mathrm{Gal}(L/K) = \langle \sigma \rangle$ . Then

$$\exists a \in K^{\times} : L = K(\sqrt[n]{a})$$

Such an a is given by  $\theta_b^n$  for some  $b \in L$ , where

$$\theta_b = b + \zeta_n^{-1} \sigma(b) + \dots + \zeta_n^{-(n-1)} \sigma^{n-1}(b)$$

is Lagrange resolvent for b, i.e.  $L = K(\theta_b)$ .

**Lemma** (Artin's lemma). There exists  $b \in L$  such that  $\theta_b \neq 0$ .

## 7. Finite fields

# 7.1. Existence and uniqueness

**Lemma**. Let K finite field, then K is field extension of  $\mathbb{F}_p$  for some prime p and  $|K| = p^n$  where  $n = [K : \mathbb{F}_p]$ .

**Theorem.** Let p prime. Then  $\forall n \in \mathbb{N}$ , there is field K with  $|K| = p^n$ .

**Theorem.** Let K finite field with  $|K| = q = p^n$ . Then

- $\forall \alpha \in K, \alpha^q = \alpha$ .
- $x^q x = \prod_{\alpha \in K} (x \alpha)$
- K is splitting field of  $x^q x$  over  $\mathbb{F}_p$ .

Corollary. If  $K_1$ ,  $K_2$  finite fields,  $|K_1| = |K_2|$ , then  $K_1 \cong K_2$ .

**Definition**. Let  $q = p^n$ , then  $\mathbb{F}_q$  is the unique (up to isomorphism) field containing q elements.

**Definition**. For  $q = p^n$ , the **Frobenius automorphism** is

$$\sigma: \mathbb{F}_q \to \mathbb{F}_q, \quad \sigma(\alpha) = \alpha^p$$

which is an  $\mathbb{F}_p\text{-automorphism}$  by Fermat's little theorem.

**Theorem**. Let  $q = p^n$ , p prime.

•  $\mathbb{F}_q/\mathbb{F}_p$  is Galois of degree n.

- Frobenius automorphism generates  $\operatorname{Gal} \left( \mathbb{F}_q/\mathbb{F}_p \right)$  and there is group isomorphism

$$\operatorname{Gal}(\mathbb{F}_q/\mathbb{F}_p) \leftrightarrow \mathbb{Z}/n, \quad \sigma \longleftrightarrow 1 \operatorname{mod} n$$

## 7.2. Counting irreducible polynomials over finite fields

**Notation**. Let  $\operatorname{Irr}_{\mathbb{F}_p}(m)$  denote set of all irreducible polynomials in  $\mathbb{F}_p[x]$  of degree m. Let  $N_p(m) = |\operatorname{Irr}_{\mathbb{F}_p}(m)|$ .

**Theorem.** Let  $q = p^m$ , then  $mN_p(m) = |\{\alpha \in \mathbb{F}_q : \mathbb{F}_p(\alpha) = \mathbb{F}_q\}|$ .

**Remark**. To use above theorem, note that  $\mathbb{F}_p(\alpha) \neq \mathbb{F}_{p^m}$  iff  $\alpha$  belongs to proper subfield of  $\mathbb{F}_{p^m}$ .

#### Example.

- If m is prime, then  $\mathbb{F}_{p^m}$  has only one proper subfield  $\mathbb{F}_p$ , so  $mN_p(m)=|\mathbb{F}_{p^m}|-|\mathbb{F}_p|=p^m-p$ .
- The proper subfields of  $\mathbb{F}_{p^4}$  are  $\mathbb{F}_p$  and  $\mathbb{F}_{p^2}$ , but  $\mathbb{F}_p \subset \mathbb{F}_{p^2}$ , so  $4N_p(4) = |\mathbb{F}_{p^4}| |\mathbb{F}_{p^2}|$ .
- $\mathbb{F}_p(\alpha) \neq \mathbb{F}_{p^6}$  iff  $\alpha \in \mathbb{F}_{p^3} \cup \mathbb{F}_{p^2}$ . Since  $\mathbb{F}_{p^3} \cap \mathbb{F}_{p^2} = \mathbb{F}_p$ , we have  $6N_p(6) = |\mathbb{F}_{p^6}| |\mathbb{F}_{p^3}| |\mathbb{F}_{p^2}| + |\mathbb{F}_p| = p^6 p^3 p^2 + p$ .

**Proposition**. We have

$$p^n = \sum_{m \ | \ n} m N_p(m)$$

which we can use recursively to compute any  $N_p(m)$ .

**Example.** We construct  $L = \mathbb{F}_{3^{16}}$  by finding irreducible polynomial of degree 16 in  $\mathbb{F}_3[x]$ .

- $\mathbb{F}_9 = \mathbb{F}_3(\theta)$  where  $\theta^2 + 1 = 0$ ,  $\mathbb{F}_9 = \{a + b\theta : a, b \in \mathbb{F}_3\}$ .  $K := \mathbb{F}_9$  contains primitive 8-th root of unity since  $\mathbb{F}_9^{\times} \cong \mathbb{Z}/8$ .
- L/K is cyclic extension of degree 8, so by Kummer theory there exists  $\alpha \in K$  such that  $L = K(\sqrt[8]{\alpha})$ .  $\alpha$  must be element that is not square or fourth power in  $\mathbb{F}_9$ , so we can look for elements that have order 8.
- $\alpha = \theta$  doesn't work since  $\theta^2 = -1 \Longrightarrow \theta^4 = 1$ .  $\alpha = 1 + \theta$  works since

$$(1+\theta)^2 = \theta^2 + \theta + 1 = -\theta$$
,  $(1+\theta)^4 = \theta^2 = -1$ ,  $(1+\theta)^8 = 1$ 

so  $\alpha = 1 + \theta$  has order 8 in  $\mathbb{F}_9$ .

- So  $L = K(\sqrt[8]{a}) = \mathbb{F}_9(\sqrt[8]{1+\theta}) = \mathbb{F}_3(\theta, \sqrt[8]{1+\theta}) = \mathbb{F}_3(\eta)$  where  $\eta^8 = 1+\theta$ . Now  $[L: \mathbb{F}_3] = 16$  by tower law, so  $L = \mathbb{F}_{3^{16}}$  by uniqueness of finite fields.
- $\eta^8 = 1 + \theta \Longrightarrow (\eta^8 1)^2 = \theta^2 = -1 \Longrightarrow \eta^{16} + \eta^8 + 2 = 0$  so  $f(x) = x^{16} + x^8 + 2 \in \mathbb{F}_3[x]$  is irreducible.

# 8. Galois groups of polynomials

# 8.1. Symmetric functions

**Definition**. Define action of  $S_n$  on  $L = k(x_1, ..., x_n)$  by  $\tau : x_j \mapsto x_{\pi(j)}$  where  $\pi \in S_n$ , which gives k-automorphism

$$\tau: L \to L, \quad \frac{f(x_1,...,x_n)}{g(x_1,...,x_n)} \mapsto \frac{f(x_{\pi(1)},...,x_{\pi(n)})}{g(x_{\pi(1)},...,x_{\pi(n)})}$$

The symmetric functions in L are elements of fixed field  $L^{S_n}$ .

**Definition**. The elementary symmetric polynomials  $e_r \in L$  for  $r \in [n]$  are

$$\begin{split} e_1 &= \sum_{1 \leq i \leq n} x_i \\ e_2 &= \sum_{1 \leq i < j \leq n} x_i x_j \\ &\vdots \\ e_r &= \sum_{1 \leq i_1 < \dots < i_r \leq n} x_{i_1} \dots x_{i_r} \\ &\vdots \\ e_n &= x_1 \dots x_n \end{split}$$

Define  $K = k(e_1, ..., e_n)$ .

**Theorem.**  $K = L^{S_n}$  and L/K is Galois with  $Gal(L/K) \cong S_n$ .

Proof.

- Note that  $f(x) = (x x_1) \cdots (x x_n) = x^n e_1 x^{n-1} + \dots + (-1)^n e_n$ .
- Show L splitting field of f(x) over K and  $[L:K] \leq n!$ .
- Show  $[L:K] \geq n!$ .

**Remark**. Every finite group G is subgroup of  $S_n$  for some n, so there is always Galois extension with Galois group G: let  $L = k(x_1, ...x_n)$ , let  $G \subseteq S_n$  act on L as above, then  $\operatorname{Gal}(L/L^G) = G$ .

**Definition**. For  $f(x) \in K[x]$ , **Galois group** of f(x),  $G_f$ , is Galois group of splitting field of f(x) over K (provided this extension is separable). If  $\deg(f) = n$ ,  $G_f$  acts by permuting roots  $\theta_1, ..., \theta_n$  of f, so is subgroup of  $S_n$ . There can be non-trivial relationships between roots, so  $G_f$  may be proper subgroup.

Corollary. Any symmetric polynomial in  $k[x_1, ..., x_n]$  can be expressed as polynomial in elementary symmetric polynomials, i.e.

$$k[x_1,...,x_n]^{S_n} = k[e_1,...,e_n]$$

where LHS is set of symmetric polynomials, RHS is set of polynomials in elementary symmetric polynomials.

Example.

- When n = 2,  $x_1^2 + x_2^2 = e_1^2 2e_2$  and  $x_1^3 + x_2^3 = e_1^3 3e_1e_2$ .
- When n = 3,  $x_1^2x_2 + x_1x_2^2 + x_2^2x_3 + x_2x_3^2 + x_3^2x_1 + x_3x_1^2 = e_1e_2 3e_3$ .

Definition. Lexicographic ordering of monomials,  $>_{\text{lex}}$  (or  $\succ_L$ ), is

$$x_1^{a_1} \cdots x_n^{a_n} >_{\text{lex}} x_1^{b_1} \cdots x_n^{b_n}$$

iff  $\exists 0 \le j \le n-1$  such that  $a_1 = b_1, ..., a_j = b_j$  and  $a_{j+1} > b_{j+1}$ .

Example.  $x_1^2 x_2^3 x_3 >_{\text{lex}} x_1^2 x_2^2 x_3^4$ .

**Definition.** Leading term of  $f(x_1,...,x_n) \in k[x_1,...,x_n]$  is largest monomial  $cx_1^{a_1}\cdots x_n^{a_n}$  with  $c\neq 0$ ,  $a_i\neq 0$  for some i, appearing in f with respect to lexicographic ordering.

**Note**. If f is symmetric, then  $a_1 \ge \cdots \ge a_n$ .

**Algorithm**. Given  $f(x_1,...,x_n) \in k[x_1,...,x_n]^{S_n}$ , express f as polynomial in elementary symmetric polynomials:

1. Find leading term  $cx_1^{a_1} \cdots x_n^{a_n}$  of f, compute

$$f_1 = f - ce_1^{a_1 - a_2} \cdots e_{n-1}^{a_{n-1} - a_n} e_n^{a_n}$$

Note leading term of  $ce_1^{a_1-a_2}\cdots e_{n-1}^{a_{n-1}-a_n}e_n^{a_n}$  is also  $cx_1^{a_1}\cdots x_n^{a_n}$  so leading term of  $f_1$  is strictly smaller than leading term of f. Also,  $f_1$  is symmetric.

2. If  $f_1 \neq 0$ , apply step 1 to get  $f_2$ ,  $f_3$ , .... Since leading term of  $f_1, f_2, ...$  is strictly decreasing, eventually  $f_i = 0$ .

**Example.** Express  $f(x_1, x_2) = x_1^3 + x_2^3$  in elementary symmetric polynomials:

• Leading term of f is  $x_1^3 = x_1^3 x_2^0$ , so

$$f_1 = f - e_1^{3-0}e_2^0 = -3x_1^2x_2 - 3x_1x_2^2$$

• Leading term of  $f_1$  is  $-3x_1^2x_2$ , so

$$f_2 = f_1 - (-3)e_1^{2-1}e_2^1 = -3x_1^2x_2 - 3x_1x_2^2 + 3(x_1 + x_2)x_1x_2 = 0$$

• So  $f_1 = f_2 + (-3)e_1^{2-1}e_2^1 = -3e_1e_2$  and  $f = e_1^3 + f_1 = e_1^3 - 3e_1e_2$ .

Example.

- Let  $\theta_1 = \frac{1}{3}(x_1 + \omega x_2 + \omega^2 x_3)$ ,  $\theta_2 = \frac{1}{3}(x_1 + \omega^2 x_2 + \omega x_3)$ , where  $\omega = \zeta_3$ .
- Let  $\sigma = (1\ 2\ 3) \in S_3$ , then  $\sigma(\theta_1) = \omega^2 \theta_1$ ,  $\sigma(\theta_2) = \omega \theta_2$ , hence

$$\sigma(\theta_1^3 + \theta_2^3) = \omega^6 \theta_1^3 + \omega^3 \theta_2^3 = \theta_1^3 + \theta_2^3$$

- Let  $\tau = (2\ 3) \in S_3$ , then  $\tau(\theta_1) = \theta_2$ ,  $\tau(\theta_2) = \theta_1$  so  $\tau(\theta_1^3 + \theta_2^3) = \theta_1^3 + \theta_2^3$ .
- Since  $S_3=\langle\sigma,\tau\rangle$ ,  $f(x_1,x_2,x_3)=27(\theta_1^3+\theta_2^3)\in\mathbb{Q}[x_1,x_2,x_3]^{\hat{S}_3}$ . Applying the algorithm:
  - $f_1 = f 2e_1^3 = 9(x_1^2x_2 + \cdots).$
  - $\quad \bullet \ f_2 = f_1 (-9)e_1e_2 = 27x_1x_2x_3.$
  - $\quad \bullet \ f_3 = f_2 27e_3 = 0.$
  - So  $f = 2e_1^3 9e_1e_2 + 27e_3$ .
- By a similar process,  $9\theta_1\theta_2 = e_1^2 3e_2$ .

# 8.2. Galois theory for cubic polynomials

**Example** (Solving quadratic). Let  $\operatorname{char}(k) \neq 2$ . General quadratic polynomial can be written as

$$f(x) = x^2 - e_1 x + e_2 = (x - x_1)(x - x_2) \in K[x]$$

where  $e_1 = x_1 + x_2, e_2 = x_1 x_2 \in K = k(e_1, e_2)$ . Let  $L = k(x_1, x_2) = K(x_1)$ , then L/K is Galois and  $Gal(L/K) = \{id, \sigma\} \cong S_2 \cong \mathbb{Z}/2$  where  $\sigma(x_1) = x_2$ ,  $\sigma(x_2) = x_1$ . Since L/K cyclic and  $\zeta_2 = -1 \in K$ , by Theorem 6.2.4, Lagrange resolvent of  $x_1$  is

$$\theta = \theta_{x_1} = x_1 + \zeta_2^{-1} \sigma(x_1) = x_1 - x_2$$

So  $\sigma(\theta) = -\theta$  and  $\theta^2 = e_1^2 - 4e_2$ .  $\Delta = \theta^2$  is **discriminant** of f(x). So we have  $x_1 = (e_1 + \sqrt{\Delta})/2$ ,  $x_2 = (e_1 - \sqrt{\Delta})/2$ . If f(x) is irreducible, it has distinct roots, and so Galois group  $G_f \cong \mathbb{Z}/2$ .

Example (Solving cubic).

• Let  $char(k) \neq 2, 3$ , let

$$f(x) = x^3 - e_1 x^2 + e_2 x - e_3 = (x - x_1)(x - x_2)(x - x_3) \in K[x]$$

where  $e_1=x_1+x_2+x_3,\ e_2=x_1x_2+x_1x_3+x_2x_3,\ e_3=x_1x_2x_3\in K=k(e_1,e_2,e_3)\subset L=K(x_1,x_2,x_3).$ 

- By Theorem 8.1.3,  $\operatorname{Gal}(L/K) = S_3$  with normal subgroup  $A_3 \cong \mathbb{Z}/3$ . We have tower  $K \subset M = L^{A_3} \subset L$ . So  $\operatorname{Gal}(L/M) \cong A_3 \cong \mathbb{Z}/3$ ,  $\operatorname{Gal}(M/K) \cong S_3/A_3 \cong \mathbb{Z}/2$ .
- Assume k contains primitive 3rd root of unity  $\omega$ , so  $w^2$  is also primitive 3rd root of unity. Define

$$\begin{split} \theta_1 &= \frac{1}{3} \big( x_1 + \omega x_2 + \omega^2 x_3 \big), \quad t_1 = \theta_1^3, \\ \theta_2 &= \frac{1}{3} \big( x_1 + \omega^2 x_2 + \omega x_3 \big), \quad t_2 = \theta_2^3 \end{split}$$

then  $t_1, t_2 \in M$  and  $L = M(\theta_1) = M(\theta_2)$ . By Example 8.1.14,  $27(\theta_1^3 + \theta_2^3) = 2e_1^3 - 9e_1e_2 + 27e_3$ ,  $9\theta_1\theta_2 = e_1^2 - 3e_2$ , so  $t_1, t_2$  are roots of quadratic resolvent of f(x):

$$(t-t_1)(t-t_2) = t^2 - \left(\frac{2e_1^3 - 9e_1e_2 + 27e_3}{27}\right)t + \left(\frac{e_1^2 - 3e_2}{9}\right)^3$$

- To find roots  $x_1, x_2, x_3$  of f:
  - Solve quadratic resolvent to find  $t_1, t_2$ .
  - Choose  $\theta_1=\sqrt[3]{t_1}$ , find  $\theta_2$  from  $9\theta_1\theta_2=e_1^2-3e_2$ .
  - ► Solve the linear system

$$\begin{cases} x_1 + x_2 + x_3 = e_1 \\ x_1 + \omega x_2 + \omega^2 x_3 = 3\theta_1 \\ x_1 + \omega^2 x_2 + \omega x_3 = 3\theta_2 \end{cases} \implies \begin{cases} x_1 = e_1/3 + \theta_1 + \theta_2 \\ x_2 = e_1/3 + \omega^2 \theta_1 + \omega \theta_2 \\ x_3 = e_1/3 + \omega \theta_1 + \omega^2 \theta_2 \end{cases}$$

**Remark**. To solve general cubic  $f(x) = x^3 + ax^2 + bx + c$ , first perform shift:

$$f(x - a/3) = x^3 + px + q$$

then quadratic resolvent is (memorise)

$$t^2+qt-\frac{p^3}{27}$$

with roots  $t_1 = \theta_1^3$ ,  $t_2 = \theta_2^3$ , choose  $\theta_1, \theta_2$  such that  $\theta_1 \theta_2 = -\frac{p}{3}$ , then roots of  $f(x - \theta_1)$ a/3) are  $x_1 = \theta_1 + \theta_2$ ,  $x_2 = \omega^2 \theta_1 + \omega \theta_2$ ,  $\omega \theta_1 + \omega^2 \theta_2$ .

**Example** (Galois groups of cubic polynomials). Let  $char(K) \neq 2, 3, f(x) = x^3 + x$  $ax^2 + bx + c \in K[x]$ , let L be splitting field for f(x) over K, then  $G_f = Gal(L/K)$ . Let  $\alpha_1, \alpha_2, \alpha_3$  be roots of f(x) in L.

- If  $\alpha_1, \alpha_2, \alpha_3 \in K$ , then L = K,  $G_f = \{id\}$ .
- If  $f(x) = (x \alpha_i)g(x)$  where  $\alpha_i \in K$ ,  $g(x) \in K[x]$  irreducible quadratic, then [L: $K] = 2, G_f \cong \mathbb{Z}/2.$
- If f(x) irreducible in K[x], then  $K \subset K(\alpha_1) \subseteq K(\alpha_1, \alpha_2, \alpha_3) = L$ , then either [L: $K(\alpha_1) = 1$ , so [L:K] = 3 and  $G_f \cong A_3 \cong \mathbb{Z}/3$ , or  $[L:K(\alpha_1)] = 2$ , so [L:K] = 6and  $G_f \cong S_3$ .

**Definition.** Discriminant of  $f(x) = (x - \alpha_1)(x - \alpha_2)(x - \alpha_3)$  is  $\Delta = \delta^2$  where

$$\delta = (\alpha_1 - \alpha_2)(\alpha_2 - \alpha_3)(\alpha_3 - \alpha_1)$$

Note  $\Delta \neq 0$  if f has distinct roots.

**Note.** If  $G_f \cong A_3$ , then  $G_f = \langle \tau \rangle$  where  $\tau : \alpha_1 \mapsto \alpha_2, \ \alpha_2 \mapsto \alpha_3, \ \alpha_3 \mapsto \alpha_1$ , then  $\tau(\delta) = \delta$  so  $\delta \in L^{G_f} = K$  and  $\Delta \in K^{\times^2}$ . But if  $G_f \cong S_3$ , then if  $\tau \in A_3$ ,  $\tau(\delta) = \delta$  and if  $\tau \in S_3 - A_3$ , then  $\tau(\delta) = -\delta$  so  $\delta \notin K$  but  $\Delta \in K$ .

**Theorem**. Let  $f(x) \in K[x]$  irreducible,  $\deg(f) = 3$ . Then

- $G_f \cong A_3 \Longleftrightarrow \Delta \in K^{\times^2}$ ,  $G_f \cong S_3 \Longleftrightarrow \Delta \in K^{\times} K^{\times^2}$ .

**Theorem.** Let  $f(x) = x^3 + ax^2 + bx + c \in K[x]$ , then

$$\Delta = 18abc - 4a^3c + a^2b^2 - 4b^3 - 27c^2$$

For reduced cubic  $f(x) = x^3 + px + q$ , (memorise)

$$\Delta = -4p^3 - 27q^2$$

**Note**. The reduced form of f(x) has same discriminant as f(x).

# 8.3. Galois theory for quartic polynomials

**Example.** Let char(k)  $\neq 2, 3, K = k(e_1, e_2, e_3, e_4) \subseteq L = k(x_1, x_2, x_3, x_4)$ , so L is splitting field over K of  $f(x) = x^4 - e_1 x^3 + e_2 x^2 - e_3 x + x_4$  and  $\operatorname{Gal}(L/K) \cong S_4$ .

**Remark**.  $S_4$  can be visualised as symmetries of regular tetrahedron with vertices labelled  $\{1, 2, 3, 4\}$ . Consider three pairs of opposite edges

$$P_1 = \{(1,2),(3,4)\}, \quad P_2 = \{(1,3),(2,4)\}, \quad P_3 = \{(1,4),(2,3)\}$$

Any permutation in  $S_4$  of the four vertices permutes  $P_1$ ,  $P_2$ ,  $P_3$ , which gives map  $\pi$ :  $S_4 \rightarrow S_3$ .

- $\pi$  is surjective group homomorphism.
- $\pi$  has kernel  $\ker(\pi) = \{ id, (1\ 2)(3\ 4), (1\ 3)(2\ 4), (1\ 4)(2\ 3) \} = V_4 \cong \mathbb{Z}/2 \times \mathbb{Z}/2.$

- $A_4 \subset S_4$  is subgroup of even permutations (orientation-preserving symmetries). Restriction of  $\pi$  to  $A_4$  gives another surjective homomorphism  $A_4 \to A_3$  (and  $\pi^{-1}(A_3) = A_4$ ) also with kernel  $V_4$ .
- $V_4$  is kernel so is normal subgroup of  $S_4$  and of  $A_4$ . Note that  $V_4$  is only subgroup of  $A_4$  isomorphic to  $\mathbb{Z}/2 \times \mathbb{Z}/2$ , but there are four subgroups of  $S_4$ , isomorphic to  $\mathbb{Z}/2 \times \mathbb{Z}/2$ , with  $V_4$  the only normal one.
- This gives increasing sequence of subgroups in  $S_4$

$${id} \subset \mathbb{Z}/2 \subset V_4 \subset A_4 \subset S_4$$

and  $V_4 \cong \mathbb{Z}/2 \times \mathbb{Z}/2$ ,  $A_4/V_4 \cong A_3 \cong \mathbb{Z}/3$ ,  $S_4/A_4 \cong \mathbb{Z}/2$ .

- Each  $G_i$  in this sequence is normal subgroup of  $G_{i+1}$  and  $G_{i+1}/G_i$  is cyclic, meaning that  $S_4$  is solvable (soluble) group.
- We have tower

$$K = L^{S_4} \subset L^{V_4} \subset L = L^{\{e\}}$$

By fundamental theorem,  $\operatorname{Gal}(L/L^{V_4}) = V_4 \cong \mathbb{Z}/2 \times \mathbb{Z}/2$ , so  $L/L^{V_4}$  appears as biquadratic extension.

•  $V_4$  is normal in  $S_4$  so by fundamental theorem,  $\operatorname{Gal}(L^{V_4}/K) \cong S_4/V_4 \cong S_3$  by first isomorphism theorem. Hence  $L^{V_4}$  appears as splitting field of a cubic polynomial over K.

Example (Solving quartic equations). Define

$$\begin{split} \theta_1 &= \frac{1}{2}(x_1 + x_2 - x_3 - x_4), \\ \theta_2 &= \frac{1}{2}(x_1 - x_2 + x_3 - x_4), \\ \theta_3 &= \frac{1}{2}(x_1 - x_2 - x_3 + x_4) \end{split}$$

Then  $\forall j \in [3], \forall \sigma \in V_4, \ \sigma(\theta_j) = \pm \theta_j$ . The  $\theta_j$  arise from Lagrange resolvents for the three quadratic subextensions of  $L^{V_4}$  in L. They behave like  $\sqrt{2}, \sqrt{3}, \sqrt{6}$  in  $\mathbb{Q}(\sqrt{2}, \sqrt{3})$ . Each  $t_i = \theta_i^2$  is fixed by  $V_4$  and are permuted by  $S_4/V_4 \cong S_3$ . They are roots of **cubic resolvent** of f(x):

$$(t-t_1)(t-t_2)(t-t_3)=t^3+s_1t^2+s_2t+s_3$$

which has coefficients in  $(L^{V_4})^{S_3} = L^{S_4} = K$ . To find roots  $x_1, x_2, x_3, x_4$  of f(x):

- Solve cubic resolvent to find  $t_1,\,t_2,\,t_3.$
- Set  $\theta_j = \pm \sqrt{t_j}$  where signs are chosen so that  $\theta_1 \theta_2 \theta_3 = (e_1^3 4e_1e_2 + 8e_3)/8$ .
- Solve the linear system

$$\begin{cases} x_1 + x_2 + x_3 + x_4 = e_1 \\ x_1 + x_2 - x_3 + x_4 = 2\theta_1 \\ x_1 - x_2 + x_3 - x_4 = 2\theta_2 \\ x_1 - x_2 - x_3 + x_4 = 2\theta_3 \end{cases} \implies \begin{cases} x_1 = e_1/4 + (\theta_1 + \theta_2 + \theta_3)/2 \\ x_2 = e_1/4 + (\theta_1 - \theta_2 - \theta_3)/4 \\ x_3 = e_1/4 + (-\theta_1 + \theta_2 - \theta_3)/2 \\ x_4 = e_1/4 + (-\theta_1 - \theta_2 + \theta_3)/2 \end{cases}$$

**Remark.** In practice, perform shift to kill  $x^3$  coefficient to obtain **reduced quartic**:

$$f(x - a/4) = x^4 + px^2 + qx + r$$

• Cubic resolvent is (memorise)

$$t^3 + 2pt^2 + (p^2 - 4r)t - q^2$$

• Choose  $\theta_1, \theta_2, \theta_3$  such that (memorise)

$$\theta_1\theta_2\theta_3 = -q$$

• Roots of f(x-a/4) are (memorise)

$$\begin{split} x_1 &= \frac{1}{2}(\theta_1 + \theta_2 + \theta_3), \\ x_2 &= \frac{1}{2}(\theta_1 - \theta_2 - \theta_3), \\ x_3 &= \frac{1}{2}(-\theta_1 + \theta_2 - \theta_3), \\ x_4 &= \frac{1}{2}(-\theta_1 - \theta_2 + \theta_3) \end{split}$$

• Recover roots of f(x) by subtracting a/4.

**Example.** Find all complex roots of  $f(x) = x^4 + 6x^3 + 18x^2 + 30x + 25$ .

• Eliminate  $x^3$  term:

$$f(x - 6/4) = x^4 + \frac{9}{2}x^2 + 3x + \frac{85}{16}$$

• p = 9/2, q = 3, r = 85/16, so cubic resolvent is

$$t^3 + 2pt^2 + \left(p^2 - 4r\right)t - q^2 = t^3 + 9t^2 - t - 9 = (t-1)(t+1)(t+9)$$

So roots are  $t_1 = 1$ ,  $t_2 = -1$ ,  $t_3 = -9$ . Set  $\theta_1 = \sqrt{t_1} = 1$ ,  $\theta_2 = \sqrt{t_2} = i$ ,  $\theta_3 = \pm \sqrt{t_3} = \pm 3i$  so that  $\theta_1 \theta_2 \theta_3 = -q = -3$ , i.e.  $\theta_3 = 3i$ .

• So roots of f(x-3/2) are

$$\begin{split} x_1 &= \frac{1}{2}(\theta_1 + \theta_2 + \theta_3) = \frac{1}{2}(1+4i), \\ x_2 &= \frac{1}{2}(\theta_1 - \theta_2 - \theta_3) = \frac{1}{2}(1-4i), \\ x_3 &= \frac{1}{2}(-\theta_1 + \theta_3 - \theta_3) = \frac{1}{2}(-1-2i), \\ x_4 &= \frac{1}{2}(-\theta_1 - \theta_2 + \theta_3) = \frac{1}{2}(-1+2i) \end{split}$$

• So roots of f(x) are  $-1 \pm 2i$ ,  $-2 \pm i$ .

Example (Galois groups of quartic polynomials).

• Let  $\operatorname{char}(K) \neq 2, 3$ ,  $f(x) = x^4 + ax^3 + bx^2 + cx + d \in K[x]$ . Galois group is  $G_f = \operatorname{Gal}(L/K)$  where L is splitting field for f(x) over K, and  $G_f$  is subgroup of  $S_4$ .

- Assume that f(x) irreducible in K[x]. It can be shown there are five possible isomorphism classes of Galois groups:  $S_4$ ,  $A_4$ ,  $V_4$ ,  $\mathbb{Z}/4$  or  $D_4$ .
- Let  $R(t) \in K[t]$  be cubic resolvent of f(x) with roots  $t_1 = \theta_1^2$ ,  $t_2 = \theta_2^2$ ,  $t_3 = \theta_3^2$ . Let M be splitting field of R(t) over K, so

$$K \subset K(t_1, t_2, t_3) \subset M \subset L = M(\theta_1, \theta_2, \theta_3)$$

**Theorem.** Let  $f(x) \in K[x]$  irreducible and have irreducible cubic resolvent  $R(t) \in K[t]$  with roots  $t_1 = \theta_1^2$ ,  $t_2 = \theta_2^2$ ,  $t_3 = \theta_2^3$ . Let L be splitting field of f(x) over K (so  $G_f = \operatorname{Gal}(L/K)$ ) and let M be splitting field of R(t) over K (so  $G_R = \operatorname{Gal}(M/K)$ ).

- If  $\Delta_R \in K^{\times^2}$  (i.e.  $G_R \cong A_3$  and [M:K]=3), then  $G_f \cong A_4$ .
- If  $\Delta_R \in K^{\times} K^{\times^2}$  (i.e.  $G_R \cong S_3$  and [M:K] = 6), then  $G_f \cong S_4$ .

#### Proof.

- Sufficient to prove [L:M]=4 since then [L:K]=12 or 24 by Tower Law.
- Show M does not contain  $\theta_1, \theta_2$  or  $\theta_3$ .
  - Suppose it does, so WLOG  $\theta_1 \in M$ . Gal $(M/K) \cong A_3$  or  $S_3$ , so must be order 3 element  $\sigma \in \text{Gal}(M/K)$ .  $\sigma(\theta_1)$  and  $\sigma^2(\theta_1)$  are the other two roots  $\theta_2$  and  $\theta_3$  since R(t) is irreducible and  $\theta_1, \theta_2, \theta_3 \in M$ . But this implies M = L so [L:K] = 3 or 6, but  $4 \mid [L:K]$  since L contains roots of irreducible quartic.
- $M(\theta_1)/M$  is degree 2. Assume  $\theta_2 \in M(\theta_1)$ .  $\operatorname{Gal}(M(\theta_1)/M) = \{\operatorname{id}, \tau\}$  for some  $\tau$ :  $\theta_1 \mapsto -\theta_1$ . Also  $\theta_2^2 \in M$  so  $\tau(\theta_2) = \pm \theta_2$ .
  - If  $\tau(\theta_2) = \theta_2$ , then  $\theta_2 \in M$ : contradiction.
  - If  $\tau(\theta_2) = -\theta_2$ , then  $\tau(\theta_1\theta_2) = (-\theta_1)(-\theta_2) = \theta_1\theta_2$  hence  $\theta_1\theta_2 \in M$ . But  $\theta_1\theta_2\theta_3 \in K$  and  $\theta_1\theta_2 \neq 0$  since R(t) irreducible. But then  $\theta_3 \in M$ : contradiction.

• Hence  $[M(\theta_1, \theta_2): M] \ge 4$ , and  $\theta_1 \theta_2 \theta_3 \in M$  so  $L = M(\theta_1, \theta_2)$  and [L: M] = 4.

#### Example.

- If  $f(x) \in K[x]$  but cubic resolvent  $R(t) \in K[t]$  is reducible, it is possible that all roots  $t_1 = \theta_1^2$ ,  $t_2 = \theta_2^2$ ,  $t_3 = \theta_3^2$  are in K. Then M = K and  $L = K(\theta_1, \theta_2, \theta_3)$ . Since  $\theta_1\theta_2\theta_3 \in K$ , L/K is obtained by adjoining only two square roots to K. Since f(x) irreducible of degree 4, we have  $[L:K] \geq 4$ , hence only option is biquadratic extension  $G_f = \operatorname{Gal}(L/K) = V_4 \cong \mathbb{Z}/2 \times \mathbb{Z}/2$ .
- If only one root  $t_1, t_2, t_3$  is in K (say it is  $t_1$ ):
  - ▶ M is splitting field of irreducible quadratic over K. Hence  $M = K(\sqrt{d})$  for some  $d \in K^{\times} K^{\times^2}$  and  $Gal(M/K) = \{id, \varphi\} \cong \mathbb{Z}/2$  where  $\varphi(\sqrt{d}) = -\sqrt{d}$ .
  - We have

$$K \subset M = K(\sqrt{d}) = K(\alpha, \overline{\alpha}) \subset L = M(\sqrt{\alpha}, \sqrt{\overline{\alpha}})$$

where  $\alpha$  and  $\overline{\alpha} = \varphi(\alpha)$  are conjugate elements in  $M^{\times} - M^{\times^2}$  (corresponding to  $t_2$  and  $t_3$ ).

• In this case, L/K is normal extension, since if  $\alpha, \overline{\alpha}$  are roots of  $x^2 + ax + b \in K[x]$ , then  $\pm \sqrt{\alpha}, \pm \sqrt{\overline{\alpha}}$  are roots of  $x^4 + ax^2 + b \in K[x]$ . So L is splitting field of  $x^4 + ax^2 + b$  over K. For above tower of fields, we have Galois groups

$${id} \subset Gal(L/M) = H \subset Gal(L/K) = G$$

and  $G/H \cong \operatorname{Gal}(M/K) = \{ \operatorname{id}, \varphi \} \cong \mathbb{Z}/2.$ 

**Theorem.** Let  $M = K(\sqrt{d}), d \notin K^{\times^2}$ ,  $Gal(M/K) = \{id, \varphi\}$ . Let  $\alpha, \overline{\alpha} = \varphi(\alpha) \in$  $M^{\times} - M^{\times^2}$ , and let  $L = M(\sqrt{\alpha}, \sqrt{\overline{\alpha}}), G = \operatorname{Gal}(L/K)$ .

- If αᾱ ∈ K<sup>ײ</sup>, then [L : K] = 4 and G ≅ Z/2 × Z/2.
  If αᾱ ∈ M<sup>ײ</sup> − K<sup>ײ</sup> then [L : K] = 4 and G ≅ Z/4.
- If  $\alpha \overline{\alpha} \notin M^{\times^2}$ , then [L:K] = 8 and  $G \cong D_4$ .

**Note**. In the case that  $C := \alpha \overline{\alpha} \notin M^{\times^2}$  and so  $G \cong D_4$ :

- We have  $Gal(M/K) = \{id, \varphi\}, \ \varphi : \sqrt{d} \mapsto -\sqrt{d}.$
- There are two lifts of  $\varphi$  to L:

$$\tau: (\sqrt{d}, \sqrt{C}, \sqrt{\alpha}) \mapsto (-\sqrt{d}, \sqrt{C}, \sqrt{\overline{\alpha}}),$$
$$\sigma: (\sqrt{d}, \sqrt{C}, \sqrt{\alpha}) \mapsto (-\sqrt{d}, -\sqrt{C}, \sqrt{\overline{\alpha}})$$

(so 
$$\tau(\sqrt{\overline{\alpha}}) = \sqrt{\alpha}$$
,  $\sigma(\sqrt{\overline{\alpha}}) = -\sqrt{\alpha}$ )

• Then  $G = \operatorname{Gal}(L/K) = \langle \tau, \sigma \mid \tau^2 = \sigma^4 = e, \tau \sigma = \sigma^3 \tau \rangle$ .

## 8.4. A criterion for solvability by radicals

**Note**. Assume all fields in this section have characteristic 0.

**Definition**. L/K is radical extension if there is tower of field extensions

$$K=K_0\subset \cdots \subset K_m=L$$

where for each  $1 \leq i \leq m$ ,  $K_i = K_{i-1} \binom{n_i}{\sqrt[n]{\alpha_i}}$  with  $\alpha_i \in K_{i-1}$  and  $n_i \in \mathbb{N}$ .

**Example.** Let  $\alpha = \sqrt[3]{2 + \sqrt[5]{3 - \sqrt{7}}}$ . We have

$$K_0=\mathbb{Q}\subset K_1=\mathbb{Q}(\sqrt{7})\subset K_2=K_1\bigg(\sqrt[5]{3-\sqrt{7}}\bigg)\subset K_3=K_2(\alpha)$$

**Definition**.  $f(x) \in K[x]$  is solvable in radicals over K if there is a radical extension L of K containing at least one root of f(x).

**Lemma.** If f(x) irreducible and solvable in radicals, then all its roots belong to the radical field extension L.

**Definition.** A finite group G is solvable (soluble) if there exists decreasing sequence of subgroups

$$G=G_0\supset \cdots \supset G_m=\{\mathrm{id}\}$$

where for each  $1 \le i \le m$ ,  $G_i$  is normal subgroup of  $G_{i-1}$  and  $G_{i-1}/G_i$  is cyclic.

**Lemma** (Properties of solvable groups).

- Every subgroup of finite solvable group is solvable.
- Abelian groups are solvable.
- $S_n$  is solvable iff  $n \leq 4$ .

• Let G finite group with normal subgroup H. Then G is solvable iff both H and G/H are solvable.

**Theorem** (Galois' Theorem: Criterion for solvability in radicals). Let  $f(x) \in K[x]$  irreducible. Then f(x) is solvable in radicals over K iff Galois group  $G_f$  is solvable.

## 8.5. Polynomials not solvable by radicals

**Lemma**.  $A_n$  is generated by 3-cycles (i j k).

Proof.

- $A_1 = A_2 = \{id\}.$
- For  $n \geq 3$ , any element in  $A_n$  is product of even number of transpositions.
- Combine pairs of transpositions as follows:
  - (ij)(ij) = id.
  - (ij)(ik) = (ikj).
  - (ij)(kl) = (ik)(jk)(jk)(kl) = (ijk)(jkl).

**Theorem.** For  $n \geq 5$ ,  $A_n$  and  $S_n$  are not solvable.

Proof.

• Assume  $A_n$  solvable, so there is decreasing sequence of subgroups

$$A_n = G_0 \supset \cdots \supset G_m = \{ \mathrm{id} \}$$

with  $G_i$  normal in  $G_{i-1}$ ,  $G_{i-1}/G_i$  cyclic and so abelian. So we have canonical projection homomorphism  $\pi:A_n\to Q=A_n/G_1$ , Q is abelian and non-trivial.

- Let  $g=(i_1i_2i_3)\in A_n$ . There are  $i_4,i_5\in [n]$  (since  $n\geq 5$ ) such that  $i_1,i_2,i_3,i_4,i_5$  distinct. Let  $g_1=(i_1i_2i_4),\ g_2=(i_1i_3i_5),$  then  $g_1g_2g_1^{-1}g_2^{-1}=g$ .
- Since Q abelian,  $\pi(g) = \pi(g_1)\pi(g_2)\pi(g_1)^{-1}\pi(g_2)^{-1} = id$ .
- So  $\pi$  sends 3-cycles to id, and  $A_n$  is generated by 3-cycles, so  $\pi(A_n)=\{\mathrm{id}\}$  which is the trivial group: contradiction.

**Theorem**. Let  $f(x) \in \mathbb{Q}[x]$  irreducible polynomial of degree 5 with exactly 3 real roots. Then f(x) has Galois group  $G_f \cong S_5$  (and so f(x) is not solvable by radicals over  $\mathbb{Q}$ ).