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Themes:

- quantum matter
  - topological order (TO)
- quantum computing
  - quantum error correction (QEC)
  - topological quantum computing

Methods:

- mostly operator algebra (Pauli operators, Fermion operators)
- **some** field theory (second quantisation, path integrals)
- just a **little** band theory

## 1. Background

### 1.1. Notes on second quantisation

We can define an action of  $S_n$  on an  $n$  qudit state (a representation of the  $n$ -qudit Hilbert space by  $S_n$ ) linearly by

$$\sigma|i_1 \dots i_n\rangle = |i_{\sigma(1)} \dots i_{\sigma(n)}\rangle.$$

**Definition 1.1** A **boson** is a quantum state  $|\psi\rangle$  that is invariant under the action of  $S_n$  (symmetric under permutations), i.e.

$$\forall \sigma \in S_n, \quad \sigma|\psi\rangle = |\psi\rangle.$$

**Definition 1.2** A **fermion** is a quantum state  $|\varphi\rangle$  that is anti-symmetric under permutations, i.e. invariant under even permutations and is negated under odd permutations:

$$\begin{aligned} \forall \sigma \in A_n, \quad \sigma|\varphi\rangle &= |\varphi\rangle \\ \forall \tau \in S_n \setminus A_n, \quad \tau|\varphi\rangle &= -|\varphi\rangle \end{aligned}$$

**Definition 1.3** The symmetrisation of a state  $|\chi\rangle$  is

$$S_{\pm}|\chi\rangle = \frac{1}{|S_n|} \sum_{\sigma \in S_n} (\pm 1)^{\text{sgn}(\sigma)} \sigma|\chi\rangle$$

where  $\text{sgn}(\sigma)$  denotes the sign of the permutation  $\sigma$ .  $S_+$  results in a boson,  $S_-$  results in a fermion.

**Notation 1.4 Second quantisation** is a compact way of expressing bosons and fermions:

$$|n_1, \dots, n_d\rangle_{\pm} = S_{\pm}|i_1 \dots i_n\rangle$$

where  $n_j$  denotes the number of single qudit states that are in state  $|j\rangle$ , in any basis state of  $|n_1, \dots, n_d\rangle_{\pm}$ . The number of qudits is  $n = \sum_{j=1}^d n_j$ .

The states  $|n_1, \dots, n_d\rangle_{\pm}$  are called **occupation (number) states**.

**Proposition 1.5** Occupation states satisfy:

1.  $\langle n_1, \dots, n_d | m_1, \dots, m_d \rangle = \delta_{n_1 m_1} \cdots \delta_{n_d m_d}$ .
2.  $\sum_{n_1 + \dots + n_d = n} |n_1, \dots, n_d\rangle \langle n_1, \dots, n_d| = I$ .

**Definition 1.6** For a fixed number of qudits  $n$ , the space of all occupied number states is called **Fock space**.

Define the creation and annihilation operators

$$\begin{aligned}\hat{a}_j^\dagger | \dots, n_j, \dots \rangle_\pm &= \sqrt{n_j + 1} | \dots, n_j + 1, \dots \rangle_\pm \\ \hat{a}_j | \dots, n_j + 1, \dots \rangle_\pm &= \sqrt{n_j + 1} | \dots, n_j, \dots \rangle_\pm\end{aligned}$$

This gives

$$\begin{aligned}[\hat{a}_i, \hat{a}_j] &= [\hat{a}_i^\dagger, \hat{a}_j^\dagger] = 0, \quad [\hat{a}_i, \hat{a}_j^\dagger] = \delta_{ij} \quad \text{for bosons} \\ \{\hat{a}_i, \hat{a}_j\} &= \{\hat{a}_i^\dagger, \hat{a}_j^\dagger\} = 0, \quad \{\hat{a}_i, \hat{a}_j^\dagger\} = \delta_{ij} \quad \text{for fermions}\end{aligned}$$

A corollary of  $\{\hat{a}_j^\dagger, \hat{a}_j^\dagger\} = 2\hat{a}_j^\dagger \hat{a}_j^\dagger = 0$  is the Pauli principle that no single particle state can be occupied by more than one fermion.

**Definition 1.7** The **occupation number operator** is  $\hat{n}_j = \hat{a}_j^\dagger \hat{a}_j$ . Note that  $\hat{n}_j | \dots, n_j, \dots \rangle = n_j | \dots, n_j, \dots \rangle$ .

**Example 1.8** The total particle number operator is

$$\hat{n} = \sum_j \hat{n}_j$$

For a single-qudit operator  $\hat{T} = \sum_{i,j} t_{ij} |i\rangle \langle j|$ , we have

$$\hat{T} = \sum_{ij} t_{ij} \hat{a}_i^\dagger \hat{a}_j$$

(since  $|i\rangle \langle j| |k\rangle = \hat{a}_i^\dagger \hat{a}_j |k\rangle$ )

Noting that  $|\varphi\rangle = \sum_i \langle i | \varphi \rangle |i\rangle$ , we define

$$\hat{a}_\varphi^\dagger = \sum_i \langle i | \varphi \rangle \hat{a}_i^\dagger$$

(note this is analogous to a basis transformation)

## 2. The transverse-field Ising model

**Notation 2.1** When working with  $N$  qubits (an  $N$ -site system), write  $X_j, Y_j, Z_j$  for the Pauli  $X, Y, Z$  on site  $j$ , e.g.

$$X_j = \mathbb{I} \otimes \cdots \otimes \mathbb{I} \otimes X \otimes \mathbb{I} \otimes \cdots \otimes \mathbb{I},$$

where  $X$  is in the  $j$ -th position.

### 3. Quantum Ising model

**Definition 3.1** The **classical Ising model** describes the energy of a system  $\{z_j : j \in [N]\}$  as

$$E(\{z_j : j \in [N]\}) = -J \sum$$

TODO: familiarise with classical Ising model

**Quantum ising model:**  $H = -J \sum_{i,j:nn} Z_i Z_j - h \sum_j Z_j$ ,  $J > 0$ .  $nn$  denotes nearest neighbours. We have  $H|\{z_j\}\rangle = E(\{z_j\})|\{z_j\}\rangle$ ,  $Z_i|\{z_j\}\rangle = z_i|\{z_j\}\rangle$  where  $z_i \in \{-1, 1\}$ .

**Transverse field Ising model:**  $H = -J \sum_{i,j:nn} Z_i Z_j - h \sum_j X_j$ ,  $J > 0$  (feromagn),  $h > 0$ . It has a  $\mathbb{Z}_2$  symmetry:  $P = \prod_j X_j$ ,  $HP = PH$ ,  $P^2 = I$ .

$P|\{z_j\}\rangle = |\{-z_j\}\rangle$  (spin flip).

If  $J = 0$ : ground state is  $|\text{GS}\rangle = \otimes_{j=1}^N |+\rangle_j =: |\underline{X}\rangle$ . Denote  $|0\rangle = |\uparrow\rangle$ ,  $|1\rangle = |\downarrow\rangle$ .

If  $h = 0$ : ground states are  $|\uparrow\rangle = \otimes_{j=1}^N |0\rangle_j$ ,  $|\downarrow\rangle = \otimes_{j=1}^N |1\rangle_j$ , or any linear combination of these.

We have  $P|\underline{X}\rangle = |\underline{X}\rangle$ , and  $\langle \underline{X} | Z_j | \underline{X} \rangle = 0$ , since  $Z_j |+\rangle_j = |-\rangle_j$ . So order param  $(z_j)$  is 0, can think of as paramagnet.

Also,  $P|\uparrow\rangle = |\downarrow\rangle$ , and  $\langle \uparrow | Z_j | \uparrow \rangle \neq 0$ , so order param  $(z_j)$  is not 0, so can think of as ferromagnet.

Since  $[H, P] = 0$ , so there exists a basis  $|\psi_{E,P}\rangle$  such that  $H|\psi_{E,P}\rangle = E_P|\psi_{E,P}\rangle$ , and  $P|\psi_{E,P}\rangle = p|\psi_{E,P}\rangle$ , where  $p \in \{-1, 1\}$ .

The ground states are  $|\text{GS}_{\pm}\rangle = \frac{1}{\sqrt{2}}(|\uparrow\rangle + |\downarrow\rangle)$ . We have  $P|\text{GS}_{\pm}\rangle = \pm|\text{GS}_{\pm}\rangle$ , and  $\langle \text{GS}_{\pm} | Z_j | \text{GS}_{\pm} \rangle = 0$ .

Now consider  $H = H_0 + \delta H$ , where  $H_0 = -J \sum_{i,j:nn} Z_i Z_j$ , and  $\delta H = -h \sum_j X_j$ , where  $|h| \ll J$ .  $\delta H$  is the perturbation, with coupling  $h$ .

#### 3.1. Brillouin-Wigner perturbation theory

Write the eigenstates of  $H_0$  as  $H_0|n\rangle = E_n|n\rangle$ , and  $H|\tilde{n}\rangle = E_{\tilde{n}}|\tilde{n}\rangle$ . Write  $P = \sum_{n \in S} |n\rangle\langle n|$  and  $Q = P^\perp = I - P = \sum_{n \in S^\perp} |n\rangle\langle n|$ . Denote perturbed ground state energies by  $E_{\tilde{m}}$ . Let  $|\tilde{m}^{(n)}\rangle$  denote unnormalised perturbed ground-space eigenstates, i.e.  $H|\tilde{m}^{(n)}\rangle = E_{\tilde{m}}|\tilde{m}^{(n)}\rangle$ , and  $|\psi_{\tilde{m}}\rangle := P|\tilde{m}^{(n)}\rangle$  is normalised.

We have  $(H_0 + \delta H)|\tilde{m}^{(n)}\rangle = E_{\tilde{m}}|\tilde{m}^{(n)}\rangle$ , so  $(E_{\tilde{m}} - H_0)|\tilde{m}^{(n)}\rangle = \delta H|\tilde{m}^{(n)}\rangle$ . So

$$(E_{\tilde{m}} - E_n)\langle n | \tilde{m}^{(n)} \rangle = \langle n | \delta H | \tilde{m}^{(n)} \rangle$$

. If  $|n\rangle \in S^\perp$ , then  $|n\rangle\langle n|\tilde{m}^{(n)}\rangle = \frac{|n\rangle\langle n|}{E_{\tilde{m}} - E_n} \delta H |\tilde{m}^{(n)}\rangle$  and so  $\sum_{|n\rangle \in S^\perp} |n\rangle\langle n|\tilde{m}^{(n)}\rangle = \sum_{|n\rangle \in S^\perp} \frac{|n\rangle\langle n|}{E_{\tilde{m}} - E_n} \delta H |\tilde{m}^{(n)}\rangle$ . We rewrite this as  $Q|\tilde{m}^{(n)}\rangle = G\delta H|\tilde{m}^{(n)}\rangle$ . So  $|\tilde{m}^{(n)}\rangle = |\psi_{\tilde{m}}\rangle + G\delta H|\tilde{m}^{(n)}\rangle$ , and so we have

$$|\tilde{m}^{(n)}\rangle = (I - G\delta H)^{-1}|\psi_{\tilde{m}}\rangle$$

Now for  $|n\rangle \in S$ , we have  $(E_{\tilde{m}} - E_0)\langle n|\tilde{m}^{(n)}\rangle = \langle n|\underbrace{\delta H(I - G\delta H)^{-1}}_{=: A^{(\tilde{m})}}|\psi_{\tilde{m}}\rangle = \sum_{n' \in S} \underbrace{\langle n|A^{(\tilde{m})}|n'\rangle}_{H_{nn'}^{\text{eff}}} \underbrace{\langle n'|\tilde{m}^{(n)}\rangle}_{\delta_{n'}}. H_{nn'}^{\text{eff}}$  is a  $d_G \times d_G$  “effective” Hamiltonian.