## 1. Introduction

#### 1.1. Cubic equations over $\mathbb{C}$

- For a polynomial equation, a solution by radicals is a formula for solutions using only addition, subtraction, multiplication, division and radicals  $\sqrt[m]{\cdot}$  for  $m \in \mathbb{N}$ .
- For general cubic equation  $x^3 + a_2x^2 + a_1x + a_0 = 0$ :
  - Tschirnhaus transformation is substitution  $t = x + \frac{a_2}{3}$ , giving

$$t^3 + pt + q = 0$$
,  $p = \frac{-a_2^2 + 3a_1}{3}$ ,  $q = \frac{2a_2^3 - 9a_1a_2 + 27a_0}{27}$ 

This is a **reduced** cubic equation.

- When t = u + v,  $t^3 (3uv)t (u^3 + v^3) = 0$  which is in the reduced cubic form with p = -3uv,  $q = -(u^3 + v^3)$ .
- We have

$$(y-u^3)(y-v^3) = y^2 - (u^3 + v^3)y + u^3v^3 = y^2 + qy - \frac{p^3}{27} = 0$$

so 
$$u^3, v^3 = -\frac{q}{2} \pm \sqrt{\frac{q^2}{4} + \frac{p^3}{27}}$$
.  
• So a solution to  $t^3 + pt + q = 0$  is

$$t = u + v = \sqrt[3]{-\frac{q}{2} + \sqrt{\frac{q^2}{4} + \frac{p^3}{27}}} + \sqrt[3]{-\frac{q}{2} - \sqrt{\frac{q^2}{4} + \frac{p^3}{27}}}$$

The other solutions are  $\omega u + \omega^2 v$  and  $\omega^2 u + \omega v$  where  $\omega = e^{2\pi i/3}$  is the 3rd root of unity. This is because u and v each have three solutions indepedently to  $u^3, v^3 = -\frac{q}{2} \pm \sqrt{\frac{q^2}{4} + \frac{p^3}{27}}$ , but also  $uv = -\frac{p}{3}$ .

- Remark: the above method doesn't work for fields of characteristic 2 or 3 since the formulas involve division by 2 or 3 (which is dividing by zero in these respective fields).
- For general cubic equation  $x^3 + a_3x^3 + a_2x^2 + a_1x + a_0 = 0$ :
  - Substitution  $t = x + \frac{a_3}{4}$  gives **reduced** quartic equation

$$t^4 + pt^2 + qt + r = 0$$

• We then manipulate the polynomial so that it is the sum or difference of two squares and use  $a^2 + b^2 = (a + ib)(a - ib)$  or  $a^2 - b^2 = (a + b)(a - b)$ :

$$(t^2 + w)^2 + (p - 2w)t^2 + qt + (r - w^2) = 0$$

•  $(p-2w)t^2+qt+(r-w^2)=0$  is a square iff its discriminant is zero:

$$q^2 - 4(p - 2w)(r - w^2) = 0 \iff w^3 - \frac{1}{2}pw^2 - rw + \frac{1}{8}(4pr - q^2) = 0$$

This **cubic resolvent** is solvable by radicals. Taking any of the solutions and substituting for w gives a sum or difference of two squares in t. The quadratic factors can then be solved.

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## 1.2. Galois theory for quadratic equations

# 2. Fields and polynomials

### 2.1. Basic properties of fields

- **Definition**: ring R is **field** if every element of  $R \{0\}$  has multiplicative inverse and  $1 \neq 0 \in R$ .
- Lemma: every field is integral domain.
- **Definition**: field homomorphism is a ring homomorphism  $\varphi: K \to L$  between fields:
  - $\varphi(a+b) = \varphi(a) + \varphi(b)$
  - $\varphi(ab) = \varphi(a)\varphi(b)$
  - $\varphi(1) = 1$

These imply  $\varphi(0) = 0$ ,  $\varphi(-a) = -\varphi(a)$ ,  $\varphi(a^{-1}) = \varphi(a)^{-1}$ .

- Lemma: let  $\varphi: K \to L$  homomorphism.
  - $\operatorname{im}(\varphi) = \{ \varphi(a) : a \in K \}$  is a field.
  - $\ker(\varphi) = \{a \in K : \varphi(a) = 0\} = \{0\}$ , i.e.  $\varphi$  is injective.
- **Definition**: subfield K of field L is subring of L where K is a field. L is a field extension of K.
- The above lemma shows the image of  $\varphi: K \to L$  is a subfield of L.
- Lemma: intersections of subfields are subfields.
- Prime subfield of L: intersection of all subfields of field L.
- **Definition**: **characteristic** char(K) of field K is

$$\mathrm{char}(K) \coloneqq \min\{n \in \mathbb{N} : \chi(n) = 0\}$$

(or 0 if this does not exist) where  $\chi: \mathbb{Z} \to K$ ,  $\chi(m) = 1 + \dots + 1$  (m times).

- Example:  $\operatorname{char}(\mathbb{Q}) = \operatorname{char}(\mathbb{R}) = \operatorname{char}(\mathbb{C}) = 0$ ,  $\operatorname{char}(\mathbb{F}_p) = p$  for p prime.
- Lemma: for any field K, char(K) is either 0 or a prime.
- Theorem:
  - $\operatorname{char}(K) = 0$  iff  $\mathbb{Q}$  is the prime subfield of K.
  - $\operatorname{char}(K) = p > 0$  iff  $\mathbb{F}_p$  is the prime subfield of K.
- Note  $p \mid {p \choose i}$  so  $(a+b)^p = a^p + b^p$ .

#### 2.2. Polynomials over fields

- **Degree** of  $f(x) = a_0 + a_1 x + \dots + a_n x_n$ ,  $a_n \neq 0$  is  $\deg(f(x)) = n$ .
- $\deg(f(x)g(x)) = \deg(f(x)) + \deg(g(x))$  and  $\deg(f(x) + g(x)) = \max\{\deg(f(x)), \deg(g(x))\}$  with equality if  $\deg(f(x)) \neq \deg(g(x))$ .
- Degree of zero polynomial is  $deg(0) = -\infty$ .
- Only invertible elements in K[x] are non-zero constants  $f(x) = a_0 \neq 0$ .
- Similarities between  $\mathbb{Z}$  and K[x] for field K:
  - K[x] is integral domain.
  - There is a division algorithm for K[x]: for  $f(x), g(x) \in K[x]$ ,  $\exists ! q(x), r(x) \in K[x]$  with  $\deg(r(x)) < \deg(g(x))$  such that

$$f(x) = q(x)g(x) + r(x)$$

• Every  $f(x), g(x) \in K[x]$  have greatest common divisor gcd(f(x), g(x)) unique up to multiplication by non-zero constants. By Euclidean algorithm for polynomials,

$$\exists a(x), b(x) \in K[x] : a(x)f(x) + b(x)g(x) = \gcd(f(x), g(x))$$

• Can construct field from K[x]: field of fractions of K[x] is

$$K(x) = \operatorname{Frac}(K[x]) = \left\{ \frac{f(x)}{g(x)} : f(x), g(x) \in K[x], g(x) \neq 0 \right\}$$

(We can construct the field of fractions for any integral domain).

- K[x] is PID and UFD.
- **Definition**:  $f(x) \in K[x]$  irreducible in K[x] if
  - $\deg(f(x)) \ge 1$  and
  - $f(x) = g(x)h(x) \Longrightarrow g(x)$  or h(x) is constant

#### 2.3. Tests for irreducibility

- If f(x) has linear factor in K[x], it has root in K[x].
- Rational root test: if  $f(x) = a_0 + \cdots + a_n x^n \in \mathbb{Z}[x]$  has rational root  $\frac{b}{c} \in \mathbb{Q}$  with gcd(b,c) = 1 then  $b \mid a_0$  and  $c \mid a_n$ . This doesn't show f is irreducible for  $deg(f(x)) \geq 4$ .
- Gauss's lemma: let  $f(x) \in \mathbb{Z}[x]$ , f(x) = g(x)h(x), g(x),  $h(x) \in \mathbb{Q}[x]$ . Then  $\exists r \in \mathbb{Q} : rg(x), r^{-1}h(x) \in \mathbb{Z}[x]$ .
- **Example**: let  $f(x) = x^4 3x^3 + 1 \in \mathbb{Q}[x]$ . Using the rational root test,  $f(\pm 1) \neq 0$  so no linear factors in  $\mathbb{Q}[x]$ . Checking quadratic factors, let

$$f(x) = (ax^2 + bx + c)(rx^2 + sx + t), \quad a, b, c, r, s, t \in \mathbb{Z}$$
 by Gauss's lemma

So  $1 = ar \Rightarrow a = r = \pm 1$ .  $1 = ct \Rightarrow c = t = \pm 1$ . -3 = b + s and 0 = c(b + s): contradiction. So f(x) irreducible in  $\mathbb{Q}[x]$ .

• **Example**: let  $f(x) = x^4 - 3x^2 + 1 \in \mathbb{Q}[x]$ . The rational root test shows there are no linear factors. Checking quadratic factors, let

$$f(x)=\left(ax^2+bx+c\right)\left(rx^2+sx+t\right),\quad a,b,c,r,s,t\in\mathbb{Z}$$
 by Gauss's lemma

As before,  $a = r = \pm 1$ ,  $c = t = \pm 1$ .  $0 = b + s \Rightarrow b = -s$ ,  $-3 = at + bs + cr = -b^2 \pm 2$ . b = 1 works. So  $f(x) = (x^2 - x - 1)(x^2 + x - 1)$ .

- **Proposition**: let  $f(x) = a_0 + \dots + a_n x^n \in \mathbb{Z}[x]$ . If exists prime  $p \nmid a_n$  such that  $\overline{f}(x)$  is irreducible in  $\mathbb{F}_p[x]$ , then f(x) irreducible in  $\mathbb{Q}[x]$ .
- Example: let  $f(x) = 8x^3 + 14x 9$ . Reducing mod 7,  $\overline{f}(x) = x^3 2 \in \mathbb{F}_7[x]$ . No roots exist for this, so f(x) irreducible in  $\mathbb{Q}[x]$ . For polynomials, no p is suitable, e.g.  $f(x) = x^4 + 1$ .
- Gauss's lemma works with any UFD R instead of  $\mathbb{Z}$  and field of fractions  $\operatorname{Frac}(R)$  instead of  $\mathbb{Q}$ : let F field, R = F[t], K = F(t), then  $f(x) \in R[x]$  irreducible in K[x] iff f(x) has no proper factors in R[x].

- Eisenstein's criterion: let  $f(x) = a_0 + \dots + a_n x^n \in \mathbb{Z}[x]$ , prime  $p \in \mathbb{Z}$  such that  $p \mid a_0, ..., p \mid a_{n-1}, p \nmid a_n, p^2 \nmid a_0$ . Then f(x) irreducible in  $\mathbb{Q}[x]$ .
- Eisenstein's criterion generalises to UFD R instead of  $\mathbb{Z}$ , Frac(R) instead of  $\mathbb{Q}$ .
- Example: let  $f(x) = x^3 3x + 1$ . Consider  $f(x 1) = x^3 3x^2 + 3$ . Then by Eisenstein's criterion with p = 3, f(x 1) irreducible in  $\mathbb{Q}[x]$  so f(x) is as well, since factoring f(x 1) is equivalent to factoring f(x).
- Example: p-th cyclotomic polynomial is

$$f(x) = \frac{x^p - 1}{x - 1} = 1 + \dots + x^{p-1}$$

Now

$$f(x+1) = \frac{(1+x)^p - 1}{1+x-1} = x^{p-1} + px^{p-2} + \dots + \binom{p}{p-2}x + p$$

so can apply Eisenstein with p.

### 3. Field extensions

# 3.1. Definitions and examples

- **Definition**: field extension L/K is field L containing subfield K. Can specify homomorphism  $\iota: K \to L$  (which is injective)
- Example:
  - $\mathbb{C}/\mathbb{R}$ ,  $\mathbb{C}/\mathbb{Q}$ ,  $\mathbb{R}/\mathbb{Q}$ .
  - $L = \mathbb{Q}(\sqrt{2}) = \{a + b\sqrt{2} : a, b \in \mathbb{Q}\}$  is field extension of  $\mathbb{Q}$ .  $\mathbb{Q}(\theta)$  is field extension of  $\mathbb{Q}$  where  $\theta$  is root of  $f(x) \in Q[x]$ .
  - $L = \mathbb{Q}(\sqrt[3]{2}) = \{a + b\sqrt[3]{2} + c\sqrt[3]{4} : a, b, c \in \mathbb{Q}\}$  is smallest subfield of  $\mathbb{R}$  containing  $\mathbb{Q}$  and  $\sqrt[3]{2}$ .
  - L = K(t) is field extension of K.
- **Definition**: let L/K field extension,  $S \subseteq L$ . Then K with S adjoined, K(S), is minimal subfield of L containing K and S. If |S| = 1, L/K is a simple extension.
- Example:  $\mathbb{Q}(\sqrt{2}, \sqrt{7}) = \{a + b\sqrt{2} + c\sqrt{7} + d\sqrt{14} : a, b, c, d, \in \mathbb{Q}\}$  is  $\mathbb{Q}$  with  $S = \{\sqrt{2}, \sqrt{7}\}.$
- **Example**:  $\mathbb{R}/\mathbb{Q}$  is not simple extension.
- **Definition**: a **tower** if a chain of field extensions, e.g.  $K \subset M \subset L$ .

# 3.2. Algebraic elements and minimal polynomials

• **Definition**: let L/K field extension,  $\theta \in L$ . Then  $\theta$  is algebraic over K if

$$\exists 0 \neq f(x) \in K[x] : f(\theta) = 0$$

Otherwise,  $\theta$  is transcendental over K.

- **Example**: for  $n \ge 1$ ,  $\theta = e^{2\pi i/n}$  is algebraic over  $\mathbb{Q}$  (root of  $x^n 1$ ).
- Example:  $t \in K(t)$  is transcendental over K.

- Lemma: the algebraic elements in K(t)/K are precisely K.
- Lemma: let L/K field extension,  $\theta \in L$ . Define  $I_K(\theta) := \{f(x) \in K[x] : f(\theta) = 0\}$ . Then  $I_K(\theta)$  is ideal in K[x] and
  - If  $\theta$  transcendental over K,  $I_K(\theta) = \{0\}$
  - If  $\theta$  algebraic over K, then exists unique monic irreducible polynomial  $m(x) \in K[x]$  such that  $I_K(\theta) = \langle m(x) \rangle$ .
- **Definition**: for  $\theta \in L$  algebraic over K, **minimal polynomial** of  $\theta$  over K is the unique monic polynomial  $m(x) \in K[x]$  such that  $I_K(\theta) = \langle m(x) \rangle$ . The **degree** of  $\theta$  over K is  $\deg(m(x))$ .
- Remark: if  $f(x) \in K[x]$  irreducible over K, monic and  $f(\theta) = 0$  then f(x) = m(x).
- Example:
  - Any  $\theta \in K$  has minimal polynomial  $x \theta$  over K.
  - $i \in \mathbb{C}$  has minimal polynomial  $x^2 + 1$  over  $\mathbb{R}$ .
  - $\sqrt{2}$  has minimal polynomial  $x^2 2$  over  $\mathbb{Q}$ .  $\sqrt[3]{2}$  has minimal polynomial  $x^3 2$  over  $\mathbb{Q}$ .

#### 3.3. Constructing field extensions

• Lemma: let K field,  $f(x) \in K[x]$  non-zero. Then

$$f(x)$$
 irreducible over  $K \iff K[x]/\langle f(x) \rangle$  is a field

- Theorem: let  $m(x) \in K[x]$  irreducible, monic,  $K_m := K[x]/\langle m(x) \rangle$ . Then
  - $K_m/K$  is field extension.
  - Let  $\theta = \pi(x)$  where  $\pi: K[x] \to K_m$  is canonical projection, then  $\theta$  has minimal polynomial m(x) and  $K_m = K(\theta)$ .
- **Definition**: let  $L_1/K$ ,  $L_2/K$  field extensions,  $\varphi: L_1 \to L_2$  field homomorphism.  $\varphi$  is **K-homomorphism** if  $\forall a \in K, \varphi(a) = a$  ( $\varphi$  fixes elements of K).
  - If  $\varphi$  is isomorphism then it is **K-isomorphism**.
  - If  $L_1 = L_2$  and  $\varphi$  is bijective then  $\varphi$  is **K-automorphism**.
- Example:
  - Complex conjugation  $\mathbb{C} \to \mathbb{C}$  is  $\mathbb{R}$ -automorphism.
  - Let K field,  $\operatorname{char}(K) \neq 2$ ,  $\sqrt{2} \notin K$ , so  $x^2 2$  is minimal polynomial of  $\sqrt{2}$  over K, then  $K(\sqrt{2}) \cong K[x]/\langle x^2 2 \rangle$  is field extension of K and  $a + b\sqrt{2} \to a b\sqrt{2}$  is K-automorphism.
- **Proposition**: let L/K field extension,  $\tau \in L$  with  $m(\tau) = 0$  and  $K_L(\tau)$  be minimal subfield of L containing K and  $\tau$ . Then exists unique K-isomorphism  $\varphi: K_m \to K_L(\tau)$  such that  $\varphi(\theta) = \tau$ .
- **Proposition**: let  $\theta$  transcendental over K, then exists unique K-isomorphism  $\varphi: K(t) \to K(\theta)$  such that  $\varphi(t) = \theta$ :

$$\varphi\left(\frac{f(g)}{g(t)}\right) = \varphi\left(\frac{f(\theta)}{g(\theta)}\right)$$

# 3.4. Explicit examples of simple extensions

- Let  $r \in K^{\times}$  non-square in K, then  $x^2 r$  irreducible in K[x]. E.g. for  $K = \mathbb{Q}(t)$ ,  $x^2 t \in K[x]$  irreducible. Then  $K(\sqrt{t}) = \mathbb{Q}(\sqrt{t}) = K[x]/\langle x^2 t \rangle$ . Then for  $s = \sqrt{3}$ , we have an extension  $\mathbb{Q}(s)/\mathbb{Q}(s^2)$ .
- Define  $\mathbb{F}_9 = \mathbb{F}_3[x]/\langle x^2-2\rangle \cong \mathbb{F}_3(\theta) = \{a+b\theta: a,b\in\mathbb{F}_3\}$  for  $\theta$  a root of  $x^2-2$ .
- **Proposition**: let  $K(\theta)/K$  where  $\theta$  has minimal polynomial  $m(x) \in K[x]$  of degree n. Then

$$K[x]/\langle m(x)\rangle \cong = K(\theta) = \{c_0 + c_1\theta + \dots + c_{n-1}\theta^{n-1} : c_i \in K\}$$

and its elements are written uniquely:  $K(\theta)$  is vector space over K of dimension n with basis  $\{1, \theta, ..., \theta^{n-1}\}$ .

• Example:  $\mathbb{Q}(\sqrt[3]{2}) = \{a + b\sqrt[3]{2} + c\sqrt[3]{4} : a, b, c \in \mathbb{Q}\} \cong \mathbb{Q}[x]/\langle x^3 - 2 \rangle$ .  $\mathbb{Q}(\omega\sqrt[3]{2})$  and  $\mathbb{Q}(w^2\sqrt[3]{2})$  where  $\omega = e^{2\pi i/3}$  are isomorphic to  $\mathbb{Q}(\sqrt[3]{2})$  as  $\omega\sqrt[3]{2}$ ,  $\omega\sqrt[3]{4}$  have same minimal polynomial.

#### 3.5. Degrees of field extensions

• **Definition**: **degree** of field extension L/K is

$$[L:K]\coloneqq \dim_L(F)$$

Write  $[L:K] < \infty$  if degree is finite.

- Example:
  - When  $\theta$  algebraic over K of degree n,  $[K(\theta):K]=n$ .
  - Let  $\theta$  transcendental over K, then  $[K(\theta):K]=\infty$ , so  $[K(t):K]=\infty$ ,  $[\mathbb{Q}(\pi):\mathbb{Q}]$ ,  $[\mathbb{R}:\mathbb{Q}]=\infty$ .
- **Proposition**: let  $[L:K] < \infty$ , then every element in L/K is algebraic over K (in this case, L/K is algebraic extension).
- Tower theorem: let  $K \subseteq M \subseteq L$  tower of field extensions. Then
  - $[L:K] < \infty \iff [L:M] < \infty \land [M:K] < \infty$ .
  - [L:K] = [L:M][M:K].
- Example:
  - $K = \mathbb{Q} \subset M = \mathbb{Q}(\sqrt{2}) \subset L = \mathbb{Q}(\sqrt{2}, \sqrt{7})$ . M/K has basis  $\{1, \sqrt{2}\}$  so [M:K] = 2. Let  $\sqrt{7} \in \mathbb{Q}(\sqrt{2})$ , then  $\sqrt{7} = c + d\sqrt{2}$ ,  $c, d \in \mathbb{Q}$  so  $7 = (c^2 + 2d^2) + 2cd\sqrt{2}$  so  $7 = c^2 + 2d^2$ , 0 = 2cd so  $d^2 = \frac{7}{2}$  or  $c^2 = 7$ , which are both contradictions. So [L:K] = 4 with basis  $\{1, \sqrt{2}, \sqrt{7}, \sqrt{14}\}$ .
  - Let  $K = \mathbb{Q} \subset M = \mathbb{Q}(i) \subset \mathbb{Q}(i, \sqrt{2})$ . We know  $[\mathbb{Q}(i) : \mathbb{Q}] = 2$ , and  $[\mathbb{Q}(\sqrt{2}) : \mathbb{Q}] = 2$ ,  $[\mathbb{Q}(i, \sqrt{2}) : \mathbb{Q}] = 2$  (since  $i \notin \mathbb{R}$ ) so  $[\mathbb{Q}(i, \sqrt{2}) : \mathbb{Q}(\sqrt{2})] = 2$ .
  - Let  $K = \mathbb{Q} \subset M = \mathbb{Q}(\sqrt{2}) \subset L = \mathbb{Q}(\sqrt{2}, \sqrt[3]{3})$ . Then  $[\mathbb{Q}(\sqrt{2}) : \mathbb{Q}] = 2$ ,  $[\mathbb{Q}(\sqrt[3]{3}) : \mathbb{Q}] = 3$  so  $2 \mid [L : K]$  and  $3 \mid [L : K]$  so  $6 \mid [L : K]$  so  $[L : K] \ge 6$ . But  $[L : M] \le 3$  and  $[M : K] \le 2$  so  $[L : K] \le 6$  hence [L : K] = 6.
- More generally, we have  $[K(\alpha, \beta) : K] \leq [K(\alpha) : K][K(\beta) : K]$ .
- Example:
  - Let  $\theta = \sqrt[3]{4} + 1$ .  $\mathbb{Q}(\theta) = \mathbb{Q}(\sqrt[3]{4})$  so minimal polynomial over  $\mathbb{Q}$ , m, has  $\deg(m) = 3$ .  $(\theta 1)^3 = 4$  so minimal polynomial is  $x^3 3x^2 + 3x 5$ .

- Let  $\theta = \sqrt{2} + \sqrt{3}$ .  $\mathbb{Q}(\sqrt{2}, \theta) = \mathbb{Q}(\sqrt{2}, \sqrt{3})$  which has degree 2 over  $\mathbb{Q}(\sqrt{2})$  so minimal polynomial of  $\theta$  over  $\mathbb{Q}(\sqrt{2})$  has degree 2,  $(\theta \sqrt{2}) = \sqrt{3}$  so minimal polynomial is  $x^2 2\sqrt{2}x 1$ .
- Let  $\theta = \sqrt{2} + \sqrt{3}$ .  $\mathbb{Q} \subset \mathbb{Q}(\theta) \subset \mathbb{Q}(\sqrt{2}, \sqrt{7})$  so  $[\mathbb{Q}(\theta) : \mathbb{Q}] \mid [\mathbb{Q}(\sqrt{2}, \sqrt{3}) : \mathbb{Q}] = 4$  so  $[\mathbb{Q}(\theta) : \mathbb{Q}] \in \{1, 2, 4\}$ . Can't be 1 as  $\theta \notin \mathbb{Q}$ . If it was 2 then  $1, \theta, \theta^2$  are linearly dependent over  $\mathbb{Q}$  which leads to a contradiction. So degree of minimal polynomial of  $\theta$  over  $\mathbb{Q}$  is 4.  $\theta^2 = 5 + 2\sqrt{6} \Rightarrow (\theta^2 5)^2 = 24$  so minimal polynomial is  $x^4 10x^2 + 1$ .

# 4. Galois extensions

#### 4.1. Splitting fields

- **Definition**: for field K,  $0 \neq f(x) \in K[x]$ , L/K is **splitting field** of f(x) over K if
  - $\exists c \in K^{\times}, \theta_1, ..., \theta_n \in L : f(x) = c(x \theta_1) \cdots (x \theta_n) \ (f(x) \ \text{splits over} \ \boldsymbol{L}).$
  - $L = K(\theta_1, ..., \theta_n)$ .
- Example:
  - $\mathbb{C}$  is splitting field of  $x^2 + 1$  over  $\mathbb{R}$ , since  $x^2 + 1 = (x + i)(x i)$  and  $\mathbb{C} = \mathbb{R}(i, -i) = \mathbb{R}(i)$ .
  - $\mathbb{C}$  is not splitting field of  $x^2 + 1$  over  $\mathbb{Q}$  as  $\mathbb{C} \neq \mathbb{Q}(i, -i)$ .
  - $\mathbb{Q}$  is splitting field of  $x^2 36$  over  $\mathbb{Q}$ .
  - $\mathbb{C}$  is splitting of  $x^4 + 1$  over  $\mathbb{R}$ .
  - $\mathbb{Q}(i,\sqrt{2})$  is splitting field of  $x^4-x^2-2$  over  $\mathbb{Q}$ .
  - $\mathbb{F}_2(\theta)$  where  $\theta^3 + \theta + 1 = 0$  is splitting field of  $x^3 + x + 1$  over  $\mathbb{F}_2$ .
  - Consider splitting field of  $x^3 2$  over  $\mathbb{Q}$ . Let  $\omega = e^{2\pi i/3} = \left(-1 + \sqrt{-3}\right)/2$  then  $\mathbb{Q}(\sqrt[3]{2}, \omega)$  is splitting field since it must contain  $\sqrt[3]{2}$ ,  $\omega^3\sqrt[3]{2}$ ,  $\omega^2\sqrt[3]{2}$ .
- **Theorem**: let  $0 \neq f(x) \in K[x]$ ,  $\deg(f) = n$ . Then there exists a splitting field L of f(x) over K with

$$[L:K] \leq n!$$

• Notation: for field homomorphism  $\varphi: K \to K'$  and  $f(x) = a_0 + \dots + a_n x^n \in K[x]$ , write

$$\varphi_*(f(x)) \coloneqq \varphi(a_0) + \dots + \varphi(a_n) x^n \in K'[x]$$

- **Lemma**: let  $\sigma: K \to K'$  isomorphism and  $K(\theta)/K$ ,  $\theta$  has minimal polynomial  $m(x) \in K[x]$ ,  $\theta'$  be root of  $\sigma_*(m(x))$ . Then there exists unique field isomorphism  $\tau: K(\theta) \to K'(\theta')$  such that  $\tau(\theta) = \theta'$  and  $\forall a \in K, \tau(a) = \sigma(a)$ .
- **Theorem**: for field isomorphism  $\sigma: K \to K'$  and  $0 \neq f(x) \in K[x]$ , let L be splitting field of f(x) over K, L' be splitting field of  $\sigma_*(f(x))$  over K'. Then there exists a field isomorphism  $\tau: L \to L'$  such that  $\forall a \in K, \tau(a) = \sigma(a)$ .
- Corollary: setting K = K' and  $\sigma = id$  implies that splitting fields are unique.

#### 4.2. Normal extensions

• **Definition**: L/K is **normal** if: for all  $f(x) \in K[x]$ , if f is irreducible and has a root in L then all its roots are in L. In particular, f(x) splits completely as

product of linear factors in L[x]. So the minimal polynomial of  $\theta \in L$  over K has all its roots in L and can be written as product of linear factors in L[x].

#### • Example:

- If [L:K] = 1 then L/K is normal.
- If [L:K]=2 then L/K is normal: let  $\theta \in L$  have minimal polynomial  $m(x) \in K[x]$ , then  $K \subseteq K(\theta) \subseteq L$  so  $\deg(m(x)) = [K(\theta):K] \in \{1,2\}$ :
  - If deg(m(x)) = 1 then m(x) is already linear.
  - If  $\deg(m(x))=2$  then  $m(x)=(x-\theta)m_1(x),\ m_1(x)\in L[x]$  is linear so m(x) splits completely in L[x].
- If [L:K]=3 then L/K is not necessarily normal. Let  $\theta$  be root of  $x^3-2\in\mathbb{Q}[x]$ . Other two roots are  $\omega\theta$ ,  $\omega^2\theta$  where  $\omega=e^{2\pi i/3}$ . If  $\omega\theta\in\mathbb{Q}(\theta)$  then  $\omega=\frac{\omega\theta}{\theta}\in L$  so  $\mathbb{Q}\subset\mathbb{Q}(\omega)\subset\mathbb{Q}(\theta)$  but  $[\mathbb{Q}(\omega):\mathbb{Q}]=2$  which doesn't divide  $[\mathbb{Q}(\theta):\mathbb{Q}]=3$ .
- Let  $\theta \in \mathbb{C}$  be root of irreducible  $f(x) = x^3 3x 1 \in \mathbb{Q}[x]$ . Let  $\theta = u + v$ , then  $(u+v)^3 3uv(u+v) (u^3+v^3) \equiv 0$  implies  $uv = 1 = u^3v^3$ ,  $u^3 + v^3 = 1$ . So  $(y-u^3)(y-v^3) = y^2 y + 1$  has roots  $u^3$  and  $v^3$ . So the three roots of f are

$$\begin{split} u+v &= e^{\pi i/9} + e^{-\pi i/9} = 2\cos(\pi/9)\\ \omega u + \omega^2 v &= e^{7\pi i/9} + e^{-7\pi i/9} = 2\cos(7\pi/9)\\ \omega^2 u + \omega v &= e^{13\pi i/9} + e^{-13\pi i/9} = 2\cos(13\pi/9) \end{split}$$

Furthermore, for each  $i, j, \theta_i \in \mathbb{Q}(\theta_i)$ , e.g.

$$\theta_2 = 2\cos\left(\pi - \frac{2\pi}{9}\right) = -2\cos\left(\frac{2\pi}{9}\right) = -2\left(2\cos\left(\frac{\pi}{9}\right)^2 - 1\right) = 2 - \theta_1^2$$

So  $\mathbb{Q}(\theta)$  contains all roots of f(x).

- Theorem (normality criterion): L/K is finite and normal iff L is splitting field for some  $0 \neq f(x) \in K[x]$  over K.
- Example:
  - $\mathbb{Q}(\sqrt{2}, \sqrt{3}, \sqrt{5}, \sqrt{7})/Q$  is normal as it is the splitting field of  $f(x)=(x^2-2)(x^2-3)(x^2-5)(x^2-7)\in\mathbb{Q}[x].$
  - $\mathbb{Q}(\sqrt[3]{2})/\mathbb{Q}$  is not normal but  $\mathbb{Q}(\sqrt[3]{2},\omega)/\mathbb{Q}$  is normal as it is the splitting field of  $x^3-2\in\mathbb{Q}$ .
  - $\mathbb{Q}(\sqrt[4]{2})/\mathbb{Q}$  is not normal but  $\mathbb{Q}(\sqrt[4]{2},i)/\mathbb{Q}$  is normal.
  - Let  $\theta$  root of  $f(x) = x^3 3x 1 \in \mathbb{Q}[x]$ . Then  $\mathbb{Q}(\theta)/\mathbb{Q}$  is normal as is splitting field of f(x) over  $\mathbb{Q}$ .
  - $\mathbb{F}_2(\theta)/\mathbb{F}_2$  where  $\theta^3+\theta^2+1=0$  is normal.
  - $\mathbb{F}_p(\theta)/\mathbb{F}_p(t)$  where  $\theta^p = t$  is normal as it is the splitting field of  $x^p t = x^p \theta^p = (x \theta)^p$  so f(x) splits into linear factors in L[x].
- **Definition**: field N is **normal closure** of L/K if  $K \subseteq L \subseteq N$ , N/K is normal, and if  $K \subseteq L \subseteq N' \subseteq N$  with N'/K normal then N = N'.
- **Theorem**: every finite extension L/K has normal closure N.

- **Definition**:  $\operatorname{Aut}(L/K)$  is group of K-automorphisms of L/K with composition the group operation.
- Example:
  - Aut( $\mathbb{C}/\mathbb{R}$ ) contains at least two elements: complex conjugation:  $\sigma(a+bi)=a-bi$  and the identity map  $\mathrm{id}=\sigma^2$ . If  $\tau\in\mathrm{Aut}(\mathbb{C}/\mathbb{R})$  then  $\tau(a+bi)=a+b\tau(i)$ . But  $\tau(i)^2=\tau(i^2)=\tau(-1)=-1$  hence  $\tau(i)=\pm i$ . So there are only two choices for  $\tau$ . So  $\mathrm{Aut}(\mathbb{C}/\mathbb{R})=\{\mathrm{id},\sigma\}$ .
  - Let  $f(x) = x^2 + px + q \in \mathbb{Q}[x]$  irreducible with roots  $\theta, \theta'$ . Then  $\operatorname{Aut}(\mathbb{Q}(\theta)/\mathbb{Q}) = \{\operatorname{id}, \sigma\} \cong \mathbb{Z}/2$  where  $\sigma(a+b\theta) = a+b\theta'$ .
  - Let  $\theta$  root of  $x^3 2$ , let  $\sigma \in \operatorname{Aut}(\mathbb{Q}(\theta)/\mathbb{Q})$ . Now  $\sigma(\theta)^3 = \sigma(\theta^3) = \sigma(2) = 2$  so  $\sigma(\theta) \in \{\theta, \omega\theta, \omega^2\theta\}$  but  $\omega\theta, \omega^2\theta \notin \mathbb{Q}(\theta)$  so  $\sigma(\theta) = \theta \Longrightarrow \sigma = \operatorname{id}$ .
  - Let  $\theta^p = t$ ,  $\sigma \in \operatorname{Aut}(\mathbb{F}_p(\theta)/\mathbb{F}_p(t))$ . Then

$$\sigma(\theta)^p = \sigma(\theta^p) = \sigma(t) = t = \theta^p$$

so 
$$(\sigma(\theta) - \theta)^p = \sigma(\theta)^p - \theta^p = 0 \Longrightarrow \sigma(\theta) = \theta \Longrightarrow \sigma = id.$$

- Let  $\sigma \in \operatorname{Aut}(\mathbb{R}/\mathbb{Q})$ . Then  $\alpha \leq \beta \in \mathbb{R} \Longrightarrow \beta \alpha = \gamma^2$ ,  $\gamma \in \mathbb{R}$ , so  $\sigma(\beta) \sigma(\alpha) = \sigma(\gamma)^2 \geq 0$  so  $\sigma(\alpha) \leq \sigma(\beta)$ . Given  $\alpha \in \mathbb{R}$ , there exist sequences  $(r_n), (s_n) \subset \mathbb{Q}$  with  $r_n \leq \alpha \leq s_n$  and  $r_n \to \alpha$ ,  $s_n \to \alpha$  as  $n \to \infty$ . Hence  $r_n = \sigma(r_n) \leq \sigma(\alpha) \leq \sigma(s_n) = s_n$  so  $\sigma(\alpha) = \alpha$  by squeezing. Hence  $\operatorname{Aut}(\mathbb{R}/\mathbb{Q}) = \{ \operatorname{id} \}$ .
- **Theorem**: let  $L = K(\theta)$ ,  $\theta$  root of irreducible  $f(x) \in K[x]$ ,  $\deg(f) = n$ . Then  $|\operatorname{Aut}(L/K)| \le n$ , with equality iff f(x) has n distinct rotos in L.
- **Theorem**: let L/K be finite extension. Then  $|\operatorname{Aut}(L/K)| \leq [L:K]$ , with equality iff L/K is normal and minimal polynomial of every  $\theta \in L$  over K has no repeated roots (in a splitting field).

# 4.3. Separable extensions

- **Definition**: let L/K finite extension.
  - $\theta \in L$  is **separable over** K if its minimal polynomial over K has no repeated roots (in its splitting field).
  - L/K is **separable** if every  $\theta \in L$  is separable over K.
- Example:
  - Let  $\theta^3 = 2$ , the minimal polynomial of  $\theta$  over  $\mathbb{Q}$  is  $x^3 2 = (x \theta)(x \omega\theta)(x \omega^2\theta)$ , so  $\mathbb{Q}(\theta)/\mathbb{Q}$  is not normal.
  - Let  $\theta^3 = t$ , so minimal polynomial of  $\theta$  over  $\mathbb{F}_3(t)$  is  $x^3 t = (x \theta)^3$ , so  $\mathbb{F}_3(\theta)/\mathbb{F}_3(t)$  is not separable but is normal.
- Definition: let  $f(x) = \sum_{i=0}^{n} a_i x^i \in K[x]$ . Formal derivative of f(x) is

$$Df(x) = D(f) \coloneqq \sum_{i=1}^n ia_i x^{i-1} \in K[x]$$

• Formal derivative satisfies:

$$D(f+g) = D(f) + D(g), \quad D(fg) = f \cdot D(g) + D(f) \cdot g, \quad \forall a \in K, D(a) = 0$$

- Also  $\deg(D(f)) < \deg(f)$ . But if  $\operatorname{char}(K) = p$ , then  $D(x^p) = px^{p-1} = 0$  so it is not always true that  $\deg(D(f)) = \deg(f) 1$ .
- Theorem (sufficient conditions for separability): finite extension L/K is separable if any of the following hold:
  - $\operatorname{char}(K) = 0$ ,
  - $\operatorname{char}(K) = p$  and  $K = \{b^p : b \in K\}$  for prime p,
  - $\operatorname{char}(K) = p \text{ and } p \nmid [L:K].$
- **Definition**: *K* is a **perfect field** if the first two of the above properties hold.
- Remark: all finite extensions of any perfect extension (e.g.  $\mathbb{Q}, \mathbb{F}_p$ ) are separable (recall Fermat's little theorem:  $\forall a \in \mathbb{F}_p, a = a^p$ ). So to find a non-separable extension L/K, we need  $\operatorname{char}(K) = p > 0$ , K infinite and  $p \mid [L:K]$ . For example,  $L = \mathbb{F}_p(\theta), K = \mathbb{F}_p(t)$  where  $\theta^p = t$ .
- Theorem: let  $\alpha_1, ..., \alpha_n$  algebraic over K, then  $K(\alpha_1, ..., \alpha_n)/K$  is separable iff every  $\alpha_i$  is separable over K.
- Remark: for tower  $K \subseteq M \subseteq L$ , L/K is separable iff L/M and M/K are separable. However, the same statement for normality does not hold.
- Theorem of the Primitive Element: let L/K finite and separable. Then L/K is simple, i.e.  $\exists \alpha \in L : L = K(\alpha)$ .

### 4.4. The fundamental theorem of Galois theory

- **Definition**: finite extension L/K is **Galois extension** if it is normal and separable. Equivalently,  $|\operatorname{Aut}(L/K)| = [L:K]$ . When L/K is Galois, the **Galois group** is  $\operatorname{Gal}(L/K) := \operatorname{Aut}(L/K)$ .
- **Definition**: let  $\mathcal{F} := \{\text{intermediate fields of } L/K\}$  and  $\mathcal{G} := \{\text{subgroups of } \operatorname{Gal}(L/K)\}$ . Define the map  $\Gamma : \mathcal{F} \to \mathcal{G}, \ \Gamma(M) = \operatorname{Gal}(L/M)$ .
- **Definition**: let L field, G a group of automorphisms of L. **Fixed field**  $L^G$  of G is set of elements in L which are invariant under all automorphisms in G:

$$L^G := \{ \alpha \in L : \forall \alpha \in G, \, \sigma(\alpha) = \alpha \}$$

- **Theorem**: if G is fintic group of automorphisms of L then  $L^G$  is subfield of L and  $[L:L^G]=|G|$ .
- Corollary: if L/K is Galois then
  - $L^{\operatorname{Gal}(L/K)} = K$ .
  - If  $L^G = K$  for some group G of K-automorphisms of L, then G = Gal(L/K).
- Remark: if L/K is Galois and  $\alpha \in L$  but  $\alpha \notin K$ , then there exists an automorphism  $\sigma \in \operatorname{Gal}(L/K)$  such that  $\sigma(\alpha) \neq \alpha$ .
- **Definition**: for H subgroup of Gal(L/K), set  $L^H := \{ \alpha \in L : \forall \sigma \in H, \sigma(\alpha) = \alpha \}$ , then  $K \subseteq L^H \subseteq L$ . Define  $\Phi : \mathcal{G} \to \mathcal{F}, \Phi(H) = L^H$ .
- Theorem A: for Galois extension L/K,
  - $\Gamma: \mathcal{F} \to \mathcal{G}$  and  $\Phi: \mathcal{F} \to \mathcal{F}$  are mutually inverse bijections (the Galois correspondence).

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