

# 1. Introduction

## 1.1. Cubic equations over $\mathbb{C}$

- For a polynomial equation, a **solution by radicals** is a formula for solutions using only addition, subtraction, multiplication, division and radicals  $\sqrt[m]{\phantom{x}}$  for  $m \in \mathbb{N}$ .
- For general cubic equation  $x^3 + a_2x^2 + a_1x + a_0 = 0$ :
  - **Tschirnhaus transformation** is substitution  $t = x + \frac{a_2}{3}$ , giving

$$t^3 + pt + q = 0, \quad p = \frac{-a_2^2 + 3a_1}{3}, \quad q = \frac{2a_2^3 - 9a_1a_2 + 27a_0}{27}$$

This is a **reduced** cubic equation.

- When  $t = u + v$ ,  $t^3 - (3uv)t - (u^3 + v^3) = 0$  which is in the reduced cubic form with  $p = -3uv$ ,  $q = -(u^3 + v^3)$ .
- We have

$$(y - u^3)(y - v^3) = y^2 - (u^3 + v^3)y + u^3v^3 = y^2 + qy - \frac{p^3}{27} = 0$$

$$\text{so } u^3, v^3 = -\frac{q}{2} \pm \sqrt{\frac{q^2}{4} + \frac{p^3}{27}}.$$

- So a solution to  $t^3 + pt + q = 0$  is

$$t = u + v = \sqrt[3]{-\frac{q}{2} + \sqrt{\frac{q^2}{4} + \frac{p^3}{27}}} + \sqrt[3]{-\frac{q}{2} - \sqrt{\frac{q^2}{4} + \frac{p^3}{27}}}$$

The other solutions are  $\omega u + \omega^2 v$  and  $\omega^2 u + \omega v$  where  $\omega = e^{2\pi i/3}$  is the 3rd root of unity. This is because  $u$  and  $v$  each have three solutions independently to  $u^3, v^3 = -\frac{q}{2} \pm \sqrt{\frac{q^2}{4} + \frac{p^3}{27}}$ , but also  $uv = -\frac{p}{3}$ .

- **Remark:** the above method doesn't work for fields of characteristic 2 or 3 since the formulas involve division by 2 or 3 (which is dividing by zero in these respective fields).
- For general cubic equation  $x^3 + a_3x^3 + a_2x^2 + a_1x + a_0 = 0$ :
  - Substitution  $t = x + \frac{a_3}{4}$  gives **reduced** quartic equation

$$t^4 + pt^2 + qt + r = 0$$

- We then manipulate the polynomial so that it is the sum or difference of two squares and use  $a^2 + b^2 = (a + ib)(a - ib)$  or  $a^2 - b^2 = (a + b)(a - b)$ :

$$(t^2 + w)^2 + (p - 2w)t^2 + qt + (r - w^2) = 0$$

- $(p - 2w)t^2 + qt + (r - w^2) = 0$  is a square iff its discriminant is zero:

$$q^2 - 4(p - 2w)(r - w^2) = 0 \iff w^3 - \frac{1}{2}pw^2 - rw + \frac{1}{8}(4pr - q^2) = 0$$

- This **cubic resolvent** is solvable by radicals. Taking any of the solutions and substituting for  $w$  gives a sum or difference of two squares in  $t$ . The quadratic factors can then be solved.

## 1.2. Galois theory for quadratic equations

## 2. Fields and polynomials

### 2.1. Basic properties of fields

- **Definition:** ring  $R$  is **field** if every element of  $R - \{0\}$  has multiplicative inverse and  $1 \neq 0 \in R$ .
- **Lemma:** every field is integral domain.
- **Definition:** field homomorphism is a ring homomorphism  $\varphi : K \rightarrow L$  between fields:
  - $\varphi(a + b) = \varphi(a) + \varphi(b)$
  - $\varphi(ab) = \varphi(a)\varphi(b)$
  - $\varphi(1) = 1$

These imply  $\varphi(0) = 0$ ,  $\varphi(-a) = -\varphi(a)$ ,  $\varphi(a^{-1}) = \varphi(a)^{-1}$ .

- **Lemma:** let  $\varphi : K \rightarrow L$  homomorphism.
  - $\text{im}(\varphi) = \{\varphi(a) : a \in K\}$  is a field.
  - $\ker(\varphi) = \{a \in K : \varphi(a) = 0\} = \{0\}$ , i.e.  $\varphi$  is injective.
- **Definition:** **subfield**  $K$  of field  $L$  is subring of  $L$  where  $K$  is a field.  $L$  is a **field extension** of  $K$ .
- The above lemma shows the image of  $\varphi : K \rightarrow L$  is a subfield of  $L$ .
- **Lemma:** intersections of subfields are subfields.
- **Prime subfield** of  $L$ : intersection of all subfields of field  $L$ .
- **Definition:** **characteristic**  $\text{char}(K)$  of field  $K$  is

$$\text{char}(K) := \min(\{0\} \cup \{n \in \mathbb{N} : \chi(n) = 0\})$$

where  $\chi : \mathbb{Z} \rightarrow K$ ,  $\chi(m) = 1 + \dots + 1$  ( $m$  times).

- **Example:**  $\text{char}(\mathbb{Q}) = \text{char}(\mathbb{R}) = \text{char}(\mathbb{C}) = 0$ ,  $\text{char}(\mathbb{F}_p) = p$  for  $p$  prime.
- **Lemma:** for any field  $K$ ,  $\text{char}(K)$  is either 0 or a prime.
- **Theorem:**
  - $\text{char}(K) = 0$  iff  $\mathbb{Q}$  is the prime subfield of  $K$ .
  - $\text{char}(K) = p > 0$  iff  $\mathbb{F}_p$  is the prime subfield of  $K$ .
- Note  $p \mid \binom{p}{i}$  so  $(a + b)^p = a^p + b^p$ .

### 2.2. Polynomials over fields

- **Degree** of  $f(x) = a_0 + a_1x + \dots + a_nx^n$ ,  $a_n \neq 0$  is  $\deg(f(x)) = n$ .
- $\deg(f(x)g(x)) = \deg(f(x)) + \deg(g(x))$  and  $\deg(f(x) + g(x)) = \max\{\deg(f(x)), \deg(g(x))\}$  with equality if  $\deg(f(x)) \neq \deg(g(x))$ .
- Degree of zero polynomial is  $\deg(0) = -\infty$ .
- Only invertible elements in  $K[x]$  are non-zero constants  $f(x) = a_0 \neq 0$ .
- Similarities between  $\mathbb{Z}$  and  $K[x]$  for field  $K$ :
  - $K[x]$  is integral domain.
  - There is a division algorithm for  $K[x]$ : for  $f(x), g(x) \in K[x]$ ,  $\exists! q(x), r(x) \in K[x]$  with  $\deg(r(x)) < \deg(g(x))$  such that

$$f(x) = q(x)g(x) + r(x)$$

- Every  $f(x), g(x) \in K[x]$  have greatest common divisor  $\gcd(f(x), g(x))$  unique up to multiplication by non-zero constants. By Euclidean algorithm for polynomials,

$$\exists a(x), b(x) \in K[x] : a(x)f(x) + b(x)g(x) = \gcd(f(x), g(x))$$

- Can construct field from  $K[x]$ : **field of fractions** of  $K[x]$  is

$$K(x) = \text{Frac}(K[x]) = \left\{ \frac{f(x)}{g(x)} : f(x), g(x) \in K[x], g(x) \neq 0 \right\}$$

(We can construct the field of fractions for any integral domain).

- $K[x]$  is PID and UFD.
- **Definition:**  $f(x) \in K[x]$  **irreducible** in  $K[x]$  if
  - $\deg(f(x)) \geq 1$  and
  - $f(x) = g(x)h(x) \implies g(x)$  or  $h(x)$  is constant

## 2.3. Tests for irreducibility

- If  $f(x)$  has linear factor in  $K[x]$ , it has root in  $K[x]$ .
- **Rational root test:** if  $f(x) = a_0 + \dots + a_n x^n \in \mathbb{Z}[x]$  has rational root  $\frac{b}{c} \in \mathbb{Q}$  with  $\gcd(b, c) = 1$  then  $b \mid a_0$  and  $c \mid a_n$ . This doesn't show  $f$  is irreducible for  $\deg(f(x)) \geq 4$ .
- **Gauss's lemma:** let  $f(x) \in \mathbb{Z}[x]$ ,  $f(x) = g(x)h(x)$ ,  $g(x), h(x) \in \mathbb{Q}[x]$ . Then  $\exists r \in \mathbb{Q} : rg(x), r^{-1}h(x) \in \mathbb{Z}[x]$ .
- **Example:** let  $f(x) = x^4 - 3x^3 + 1 \in \mathbb{Q}[x]$ . Using the rational root test,  $f(\pm 1) \neq 0$  so no linear factors in  $\mathbb{Q}[x]$ . Checking quadratic factors, let

$$f(x) = (ax^2 + bx + c)(rx^2 + sx + t), \quad a, b, c, r, s, t \in \mathbb{Z} \text{ by Gauss's lemma}$$

So  $1 = ar \implies a = r = \pm 1$ .  $1 = ct \implies c = t = \pm 1$ .  $-3 = b + s$  and  $0 = c(b + s)$ : contradiction. So  $f(x)$  irreducible in  $\mathbb{Q}[x]$ .

- **Example:** let  $f(x) = x^4 - 3x^2 + 1 \in \mathbb{Q}[x]$ . The rational root test shows there are no linear factors. Checking quadratic factors, let

$$f(x) = (ax^2 + bx + c)(rx^2 + sx + t), \quad a, b, c, r, s, t \in \mathbb{Z} \text{ by Gauss's lemma}$$

As before,  $a = r = \pm 1$ ,  $c = t = \pm 1$ .  $0 = b + s \implies b = -s$ ,  
 $-3 = at + bs + cr = -b^2 \pm 2$ .  $b = 1$  works. So  $f(x) = (x^2 - x - 1)(x^2 + x - 1)$ .

- **Proposition:** let  $f(x) = a_0 + \dots + a_n x^n \in \mathbb{Z}[x]$ . If exists prime  $p \nmid a_n$  such that  $\bar{f}(x)$  is irreducible in  $\mathbb{F}_p[x]$ , then  $f(x)$  irreducible in  $\mathbb{Q}[x]$ .
- **Example:** let  $f(x) = 8x^3 + 14x - 9$ . Reducing mod 7,  $\bar{f}(x) = x^3 - 2 \in \mathbb{F}_7[x]$ . No roots exist for this, so  $f(x)$  irreducible in  $\mathbb{Q}[x]$ . For polynomials, no  $p$  is suitable, e.g.  $f(x) = x^4 + 1$ .
- Gauss's lemma works with any UFD  $R$  instead of  $\mathbb{Z}$  and field of fractions  $\text{Frac}(R)$  instead of  $\mathbb{Q}$ : let  $F$  field,  $R = F[t]$ ,  $K = F(t)$ , then  $f(x) \in R[x]$  irreducible in  $K[x]$  iff  $f(x)$  has no proper factors in  $R[x]$ .

- **Eisenstein's criterion:** let  $f(x) = a_0 + \dots + a_n x^n \in \mathbb{Z}[x]$ , prime  $p \in \mathbb{Z}$  such that  $p \mid a_0, \dots, p \mid a_{n-1}, p \nmid a_n, p^2 \nmid a_0$ . Then  $f(x)$  irreducible in  $\mathbb{Q}[x]$ .
- Eisenstein's criterion generalises to UFD  $R$  instead of  $\mathbb{Z}$ ,  $\text{Frac}(R)$  instead of  $\mathbb{Q}$ .
- **Example:** let  $f(x) = x^3 - 3x + 1$ . Consider  $f(x-1) = x^3 - 3x^2 + 3$ . Then by Eisenstein's criterion with  $p = 3$ ,  $f(x-1)$  irreducible in  $\mathbb{Q}[x]$  so  $f(x)$  is as well, since factoring  $f(x-1)$  is equivalent to factoring  $f(x)$ .
- **Example:  $p$ -th cyclotomic polynomial** is

$$f(x) = \frac{x^p - 1}{x - 1} = 1 + \dots + x^{p-1}$$

Now

$$f(x+1) = \frac{(1+x)^p - 1}{1+x-1} = x^{p-1} + px^{p-2} + \dots + \binom{p}{p-2}x + p$$

so can apply Eisenstein with  $p$ .

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### 3. Field extensions

#### 3.1. Definitions and examples

- **Definition: field extension**  $L/K$  is field  $L$  containing subfield  $K$ . Can specify homomorphism  $\iota : K \rightarrow L$  (which is injective)
- **Example:**
  - $\mathbb{C}/\mathbb{R}, \mathbb{C}/\mathbb{Q}, \mathbb{R}/\mathbb{Q}$ .
  - $L = \mathbb{Q}(\sqrt{2}) = \{a + b\sqrt{2} : a, b \in \mathbb{Q}\}$  is field extension of  $\mathbb{Q}$ .  $\mathbb{Q}(\theta)$  is field extension of  $\mathbb{Q}$  where  $\theta$  is root of  $f(x) \in \mathbb{Q}[x]$ .
  - $L = \mathbb{Q}(\sqrt[3]{2}) = \{a + b\sqrt[3]{2} + c\sqrt[3]{4} : a, b, c \in \mathbb{Q}\}$  is smallest subfield of  $\mathbb{R}$  containing  $\mathbb{Q}$  and  $\sqrt[3]{2}$ .
  - $L = K(t)$  is field extension of  $K$ .
- **Definition:** let  $L/K$  field extension,  $S \subseteq L$ . Then  **$K$  with  $S$  adjoined**,  $K(S)$ , is minimal subfield of  $L$  containing  $K$  and  $S$ . If  $|S| = 1$ ,  $L/K$  is a **simple extension**.
- **Example:**  $\mathbb{Q}(\sqrt{2}, \sqrt{7}) = \{a + b\sqrt{2} + c\sqrt{7} + d\sqrt{14} : a, b, c, d \in \mathbb{Q}\}$  is  $\mathbb{Q}$  with  $S = \{\sqrt{2}, \sqrt{7}\}$ .
- **Example:**  $\mathbb{R}/\mathbb{Q}$  is not simple extension.
- **Definition:** a **tower** if a chain of field extensions, e.g.  $K \subset M \subset L$ .

#### 3.2. Algebraic elements and minimal polynomials

- **Definition:** let  $L/K$  field extension,  $\theta \in L$ . Then  $\theta$  is **algebraic over  $K$**  if

$$\exists 0 \neq f(x) \in K[x] : f(\theta) = 0$$

Otherwise,  $\theta$  is **transcendental over  $K$** .

- **Example:** for  $n \geq 1$ ,  $\theta = e^{2\pi i/n}$  is algebraic over  $\mathbb{Q}$  (root of  $x^n - 1$ ).
- **Example:**  $t \in K(t)$  is transcendental over  $K$ .

- **Lemma:** the algebraic elements in  $K(t)/K$  are precisely  $K$ .
- **Lemma:** let  $L/K$  field extension,  $\theta \in L$ . Define  $I_K(\theta) := \{f(x) \in K[x] : f(\theta) = 0\}$ . Then  $I_K(\theta)$  is ideal in  $K[x]$  and
  - If  $\theta$  transcendental over  $K$ ,  $I_K(\theta) = \{0\}$
  - If  $\theta$  algebraic over  $K$ , then exists unique monic irreducible polynomial  $m(x) \in K[x]$  such that  $I_K(\theta) = \langle m(x) \rangle$ .
- **Definition:** for  $\theta \in L$  algebraic over  $K$ , **minimal polynomial** of  $\theta$  over  $K$  is the unique monic polynomial  $m(x) \in K[x]$  such that  $I_K(\theta) = \langle m(x) \rangle$ . The **degree** of  $\theta$  over  $K$  is  $\deg(m(x))$ .
- **Remark:** if  $f(x) \in K[x]$  irreducible over  $K$ , monic and  $f(\theta) = 0$  then  $f(x) = m(x)$ .
- **Example:**
  - Any  $\theta \in K$  has minimal polynomial  $x - \theta$  over  $K$ .
  - $i \in \mathbb{C}$  has minimal polynomial  $x^2 + 1$  over  $\mathbb{R}$ .
  - $\sqrt{2}$  has minimal polynomial  $x^2 - 2$  over  $\mathbb{Q}$ .  $\sqrt[3]{2}$  has minimal polynomial  $x^3 - 2$  over  $\mathbb{Q}$ .

### 3.3. Constructing field extensions

- **Lemma:** let  $K$  field,  $f(x) \in K[x]$  non-zero. Then
 
$$f(x) \text{ irreducible over } K \iff K[x]/\langle f(x) \rangle \text{ is a field}$$
- **Theorem:** let  $m(x) \in K[x]$  irreducible, monic,  $K_m := K[x]/\langle m(x) \rangle$ . Then
  - $K_m/K$  is field extension.
  - Let  $\theta = \pi(x)$  where  $\pi : K[x] \rightarrow K_m$  is canonical projection, then  $\theta$  has minimal polynomial  $m(x)$  and  $K_m = K(\theta)$ .
- **Definition:** let  $L_1/K, L_2/K$  field extensions,  $\varphi : L_1 \rightarrow L_2$  field homomorphism.  $\varphi$  is  **$K$ -homomorphism** if  $\forall a \in K, \varphi(a) = a$  ( $\varphi$  fixes elements of  $K$ ).
  - If  $\varphi$  is isomorphism then it is  **$K$ -isomorphism**.
  - If  $L_1 = L_2$  then  $\varphi$  is  **$K$ -automorphism**.
- **Example:**
  - Complex conjugation  $\mathbb{C} \rightarrow \mathbb{C}$  is  $\mathbb{R}$ -automorphism.
  - Let  $K$  field,  $\text{char}(K) \neq 2, \sqrt{2} \notin K$ , so  $x^2 - 2$  is minimal polynomial of  $\sqrt{2}$  over  $K$ , then  $K(\sqrt{2}) \cong K[x]/\langle x^2 - 2 \rangle$  is field extension of  $K$  and  $a + b\sqrt{2} \mapsto a - b\sqrt{2}$  is  $K$ -automorphism.
- **Proposition:** let  $L/K$  field extension,  $\tau \in L$  with  $m(\tau) = 0$  and  $K_L(\tau)$  be minimal subfield of  $L$  containing  $K$  and  $\tau$ . Then exists unique  $K$ -isomorphism  $\varphi : K_m \rightarrow K_L(\tau)$  such that  $\varphi(\theta) = \tau$ .
- **Proposition:** let  $\theta$  transcendental over  $K$ , then exists unique  $K$ -isomorphism  $\varphi : K(t) \rightarrow K(\theta)$  such that  $\varphi(t) = \theta$ :

$$\varphi\left(\frac{f(g)}{g(t)}\right) = \varphi\left(\frac{f(\theta)}{g(\theta)}\right)$$

### 3.4. Explicit examples of simple extensions

- Let  $r \in K^\times$  non-square in  $K$ , then  $x^2 - r$  irreducible in  $K[x]$ . E.g. for  $K = \mathbb{Q}(t)$ ,  $x^2 - t \in K[x]$  irreducible. Then  $K(\sqrt{t}) = \mathbb{Q}(\sqrt{t}) \cong K[x]/\langle x^2 - t \rangle$ . Then for  $s = \sqrt{3}$ , we have an extension  $\mathbb{Q}(s)/\mathbb{Q}(s^2)$ .
- Define  $\mathbb{F}_9 = \mathbb{F}_3[x]/\langle x^2 - 2 \rangle \cong \mathbb{F}_3(\theta) = \{a + b\theta : a, b \in \mathbb{F}_3\}$  for  $\theta$  a root of  $x^2 - 2$ .
- **Proposition:** let  $K(\theta)/K$  where  $\theta$  has minimal polynomial  $m(x) \in K[x]$  of degree  $n$ . Then

$$K[x]/\langle m(x) \rangle \cong K(\theta) = \{c_0 + c_1\theta + \dots + c_{n-1}\theta^{n-1} : c_i \in K\}$$

and its elements are written uniquely:  $K(\theta)$  is vector space over  $K$  of dimension  $n$  with basis  $\{1, \theta, \dots, \theta^{n-1}\}$ .

- **Example:**  $\mathbb{Q}(\sqrt[3]{2}) = \{a + b\sqrt[3]{2} + c\sqrt[3]{4} : a, b, c \in \mathbb{Q}\} \cong \mathbb{Q}[x]/\langle x^3 - 2 \rangle$ .  $\mathbb{Q}(\omega\sqrt[3]{2})$  and  $\mathbb{Q}(\omega^2\sqrt[3]{2})$  where  $\omega = e^{2\pi i/3}$  are isomorphic to  $\mathbb{Q}(\sqrt[3]{2})$  as  $\omega\sqrt[3]{2}, \omega\sqrt[3]{4}$  have same minimal polynomial.

### 3.5. Degrees of field extensions

- **Definition:** degree of field extension  $L/K$  is

$$[L : K] := \dim_L(F)$$

Write  $[L : K] < \infty$  if degree is finite.

- **Example:**
  - When  $\theta$  algebraic over  $K$  of degree  $n$ ,  $[K(\theta) : K] = n$ .
  - Let  $\theta$  transcendental over  $K$ , then  $[K(\theta) : K] = \infty$ , so  $[K(t) : K] = \infty$ ,  $[\mathbb{Q}(\pi) : \mathbb{Q}]$ ,  $[\mathbb{R} : \mathbb{Q}] = \infty$ .
- **Proposition:** let  $[L : K] < \infty$ , then every element in  $L/K$  is algebraic over  $K$  (in this case,  $L/K$  is **algebraic extension**).
- **Tower theorem:** let  $K \subseteq M \subseteq L$  tower of field extensions. Then
  - $[L : K] < \infty \iff [L : M] < \infty \wedge [M : K] < \infty$ .
  - $[L : K] = [L : M][M : K]$ .
- **Example:**  $K = \mathbb{Q} \subset M = \mathbb{Q}(\sqrt{2}) \subset L = \mathbb{Q}(\sqrt{2}, \sqrt{7})$ .  $M/K$  has basis  $\{1, \sqrt{2}\}$  so  $[M : K] = 2$ . Let  $\sqrt{7} \in \mathbb{Q}(\sqrt{2})$ , then  $\sqrt{7} = c + d\sqrt{2}$ ,  $c, d \in \mathbb{Q}$  so  $7 = (c^2 + 2d^2) + 2cd\sqrt{2}$  so  $7 = c^2 + 2d^2$ ,  $0 = 2cd$  so  $d^2 = \frac{7}{2}$  or  $c^2 = 7$ , which are both contradictions. So  $[L : K] = 4$ .