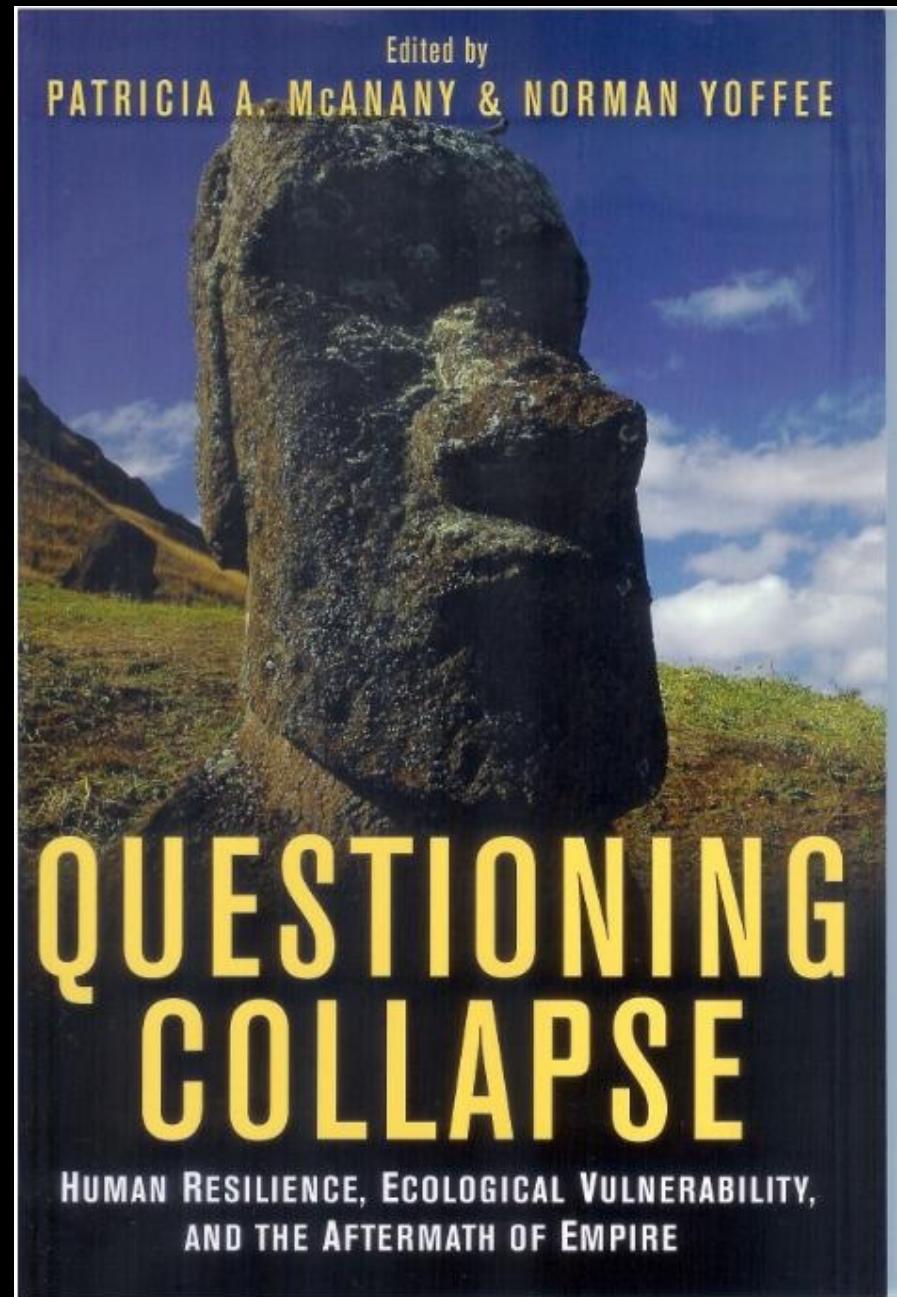
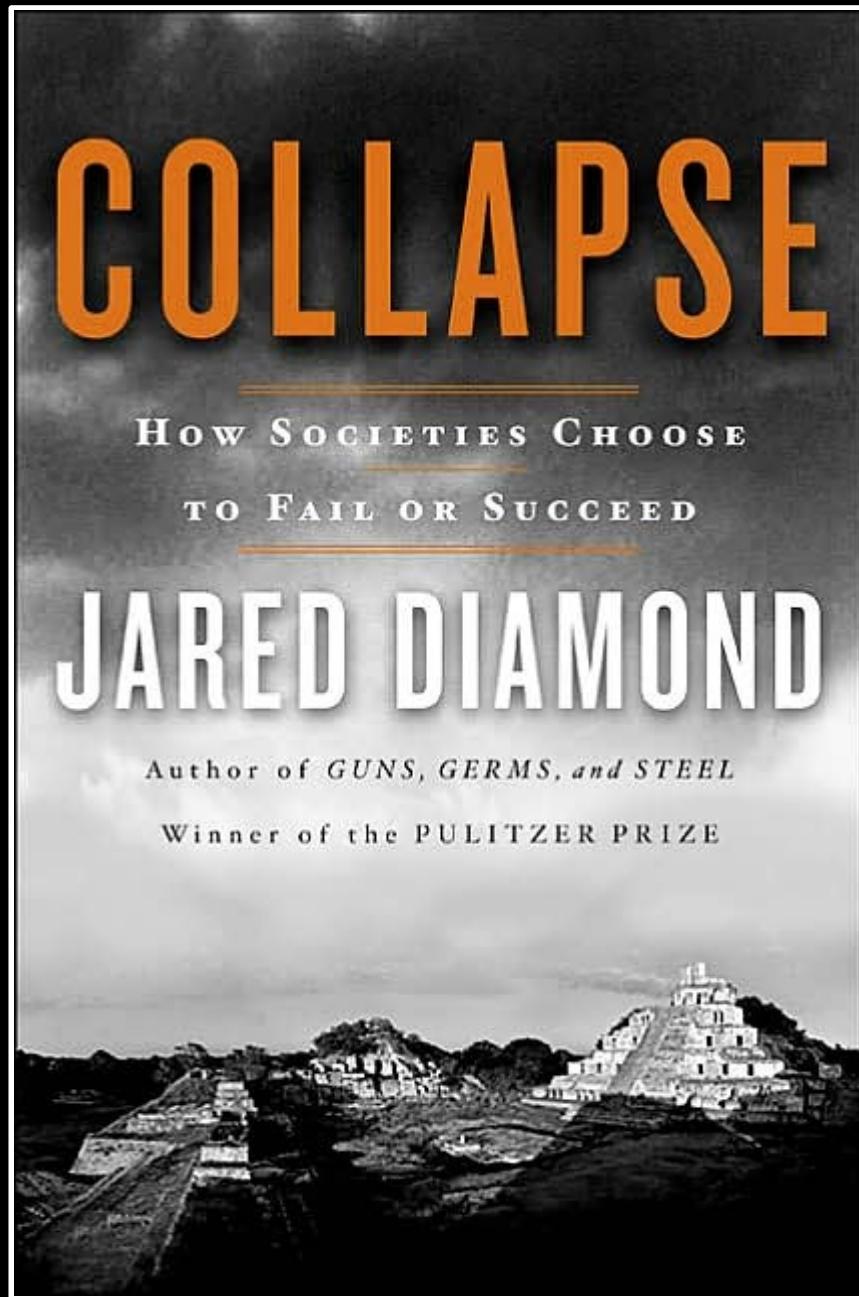


Simulating “Collapse”

A computational modeling approach to
understanding adaptive reorganization in
low-level socio-natural systems

Isaac I.T. Ullah and C. Michael Barton

General Problem Domain



Panarchy

UNDERSTANDING
TRANSFORMATIONS
IN HUMAN AND
NATURAL SYSTEMS



EDITED BY

Lance H. Gunderson
C. S. Holling

Foundations of Ecological Resilience



Edited by

Lance H. Gunderson
Craig R. Allen
and C. S. Holling

ROUTLEDGE STUDIES IN ENVIRONMENT, CULTURE,
AND SCIENCE

Human-Nature Interactions in the Anthropocene

Potentials of Social-Ecological Systems Analysis

Edited by

Marion Glaser, Gesche Krause,
Beate M.W. Ratter and Martin Welp



COMPLEXITY A GUIDED TOUR

MELANIE MITCHELL

0110011100101101000001100101111
1001011010111101001110001001101
1010001011100100000010101011111



Nonlinear Models for Archaeology and Anthropology

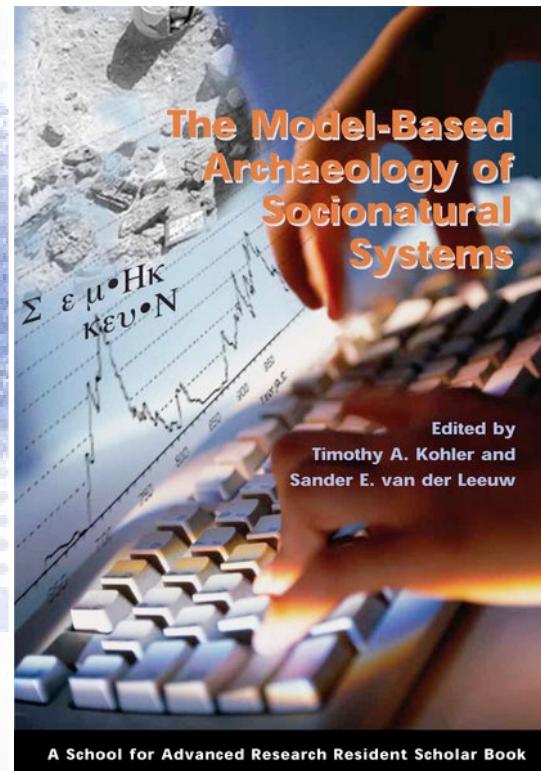
Continuing the Revolution

Edited by
Christopher S. Beekman
William W. Baden



The Model-Based Archaeology of Societal Systems

Edited by
Timothy A. Kohler and
Sander E. van der Leeuw



A School for Advanced Research Resident Scholar Book

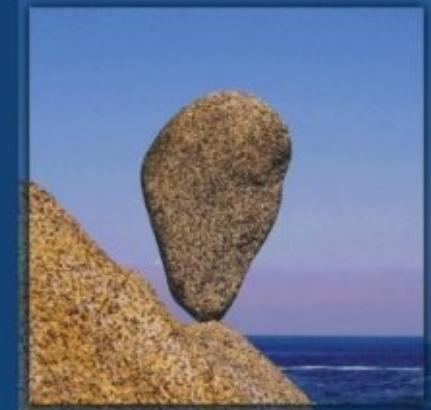
Complex Systems and Archaeology

EMPIRICAL
AND THEORETICAL
APPLICATIONS

Edited by
R. Alexander Bentley
and
Herbert D. G. Maschner

FOUNDATIONS OF ARCHAEOLOGICAL INQUIRY

Critical Transitions in Nature and Society



Marten Scheffer

PRINCETON STUDIES IN COMPLEXITY

Main Research Goal

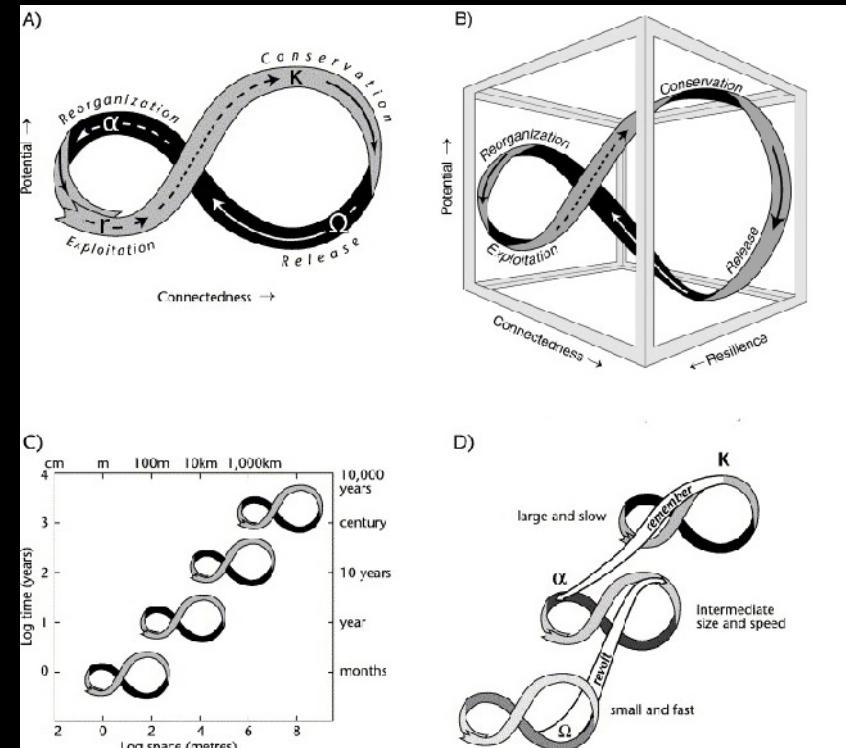
To better understand the complex human and natural dynamics within agropastoral subsistence systems and to see how these could lead to long-term stability, perpetual or increasing change, or to critical transitions.

Agropastoral Villages as “Regional Social-Ecological Systems”

Ideas Borrowed from Classic Resilience Theory:

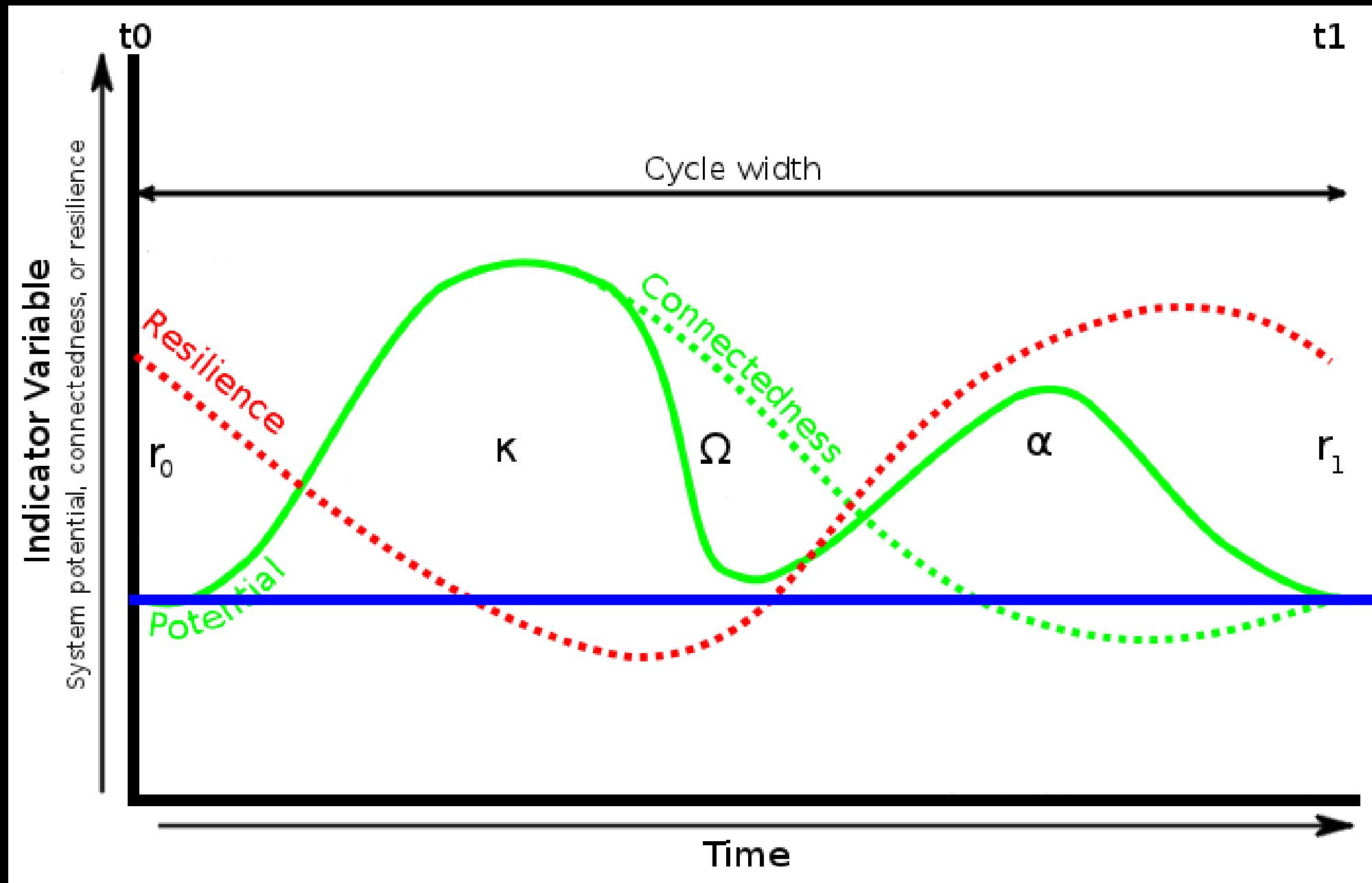
- Nested hierarchy (panarchy) of adaptive phenomena: Individual > household > village > regional village network
- Temporal and spatial scales increase with each level, intra- and inter-scale connections at and between levels
- Social system is connected to a particular landscape, with the legacy of history

Informs the relationship of system potential, connectedness, and resilience over time

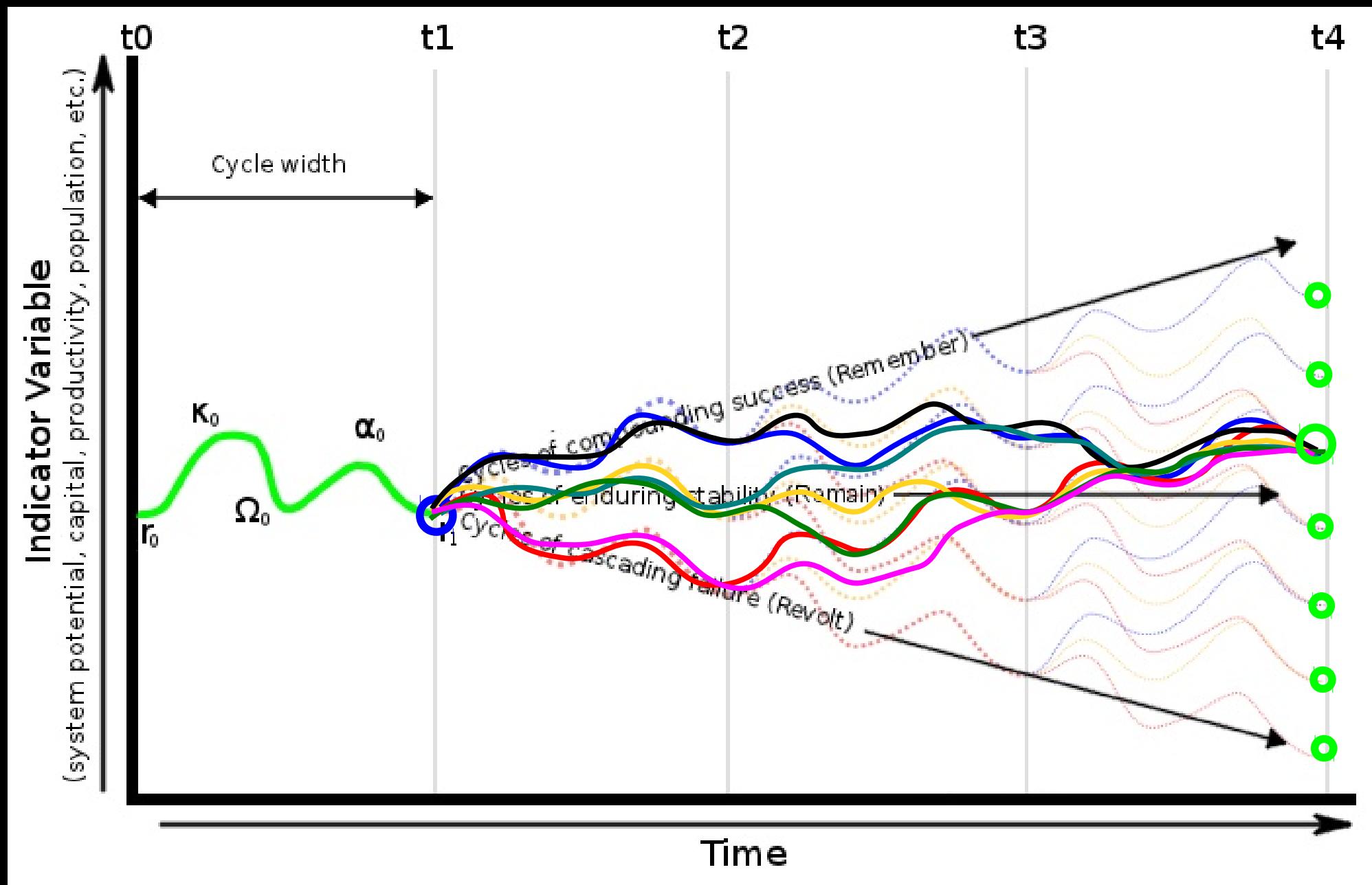


Informs ideas about how the system will respond to stress/pressure (e.g., resiliency, path dependency, rigidity traps, critical transition, etc.)

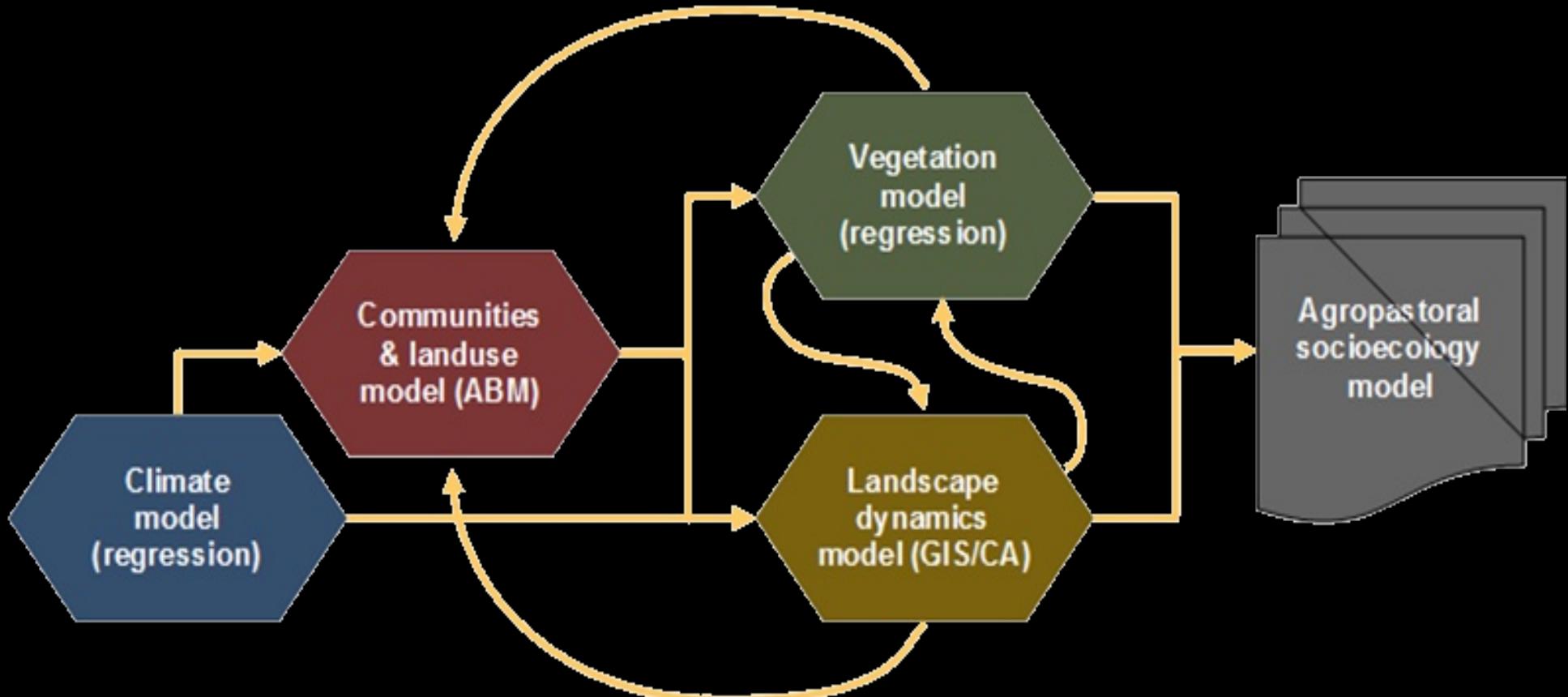
Temporalizing the Adaptive Cycle



Connection to Complexity Theory



The MedLand Modeling Laboratory



Landscape Dynamics Parameters

Fal Density	1.25	Rain Factor	0.16	Infiltration	0.1	Cappa	1
Rain Eros.	120	Rain Volat.	0.3	Suscept Transf.	0.00	Wind Erosion	1.0
soil/Ti	0.0	soil/T2	1.0250	soil/T3	22500	SoilDepth	100.0

Landscape Parameters

Soil Depth Minimum	0.5	Soil Depth Maximum	2
Soil Fertility Impact	2	Soil Fertility Recovery	1

Note: Allow your browser to load more data and scroll to see more information.

Farming Parameters

	Value Required (man-days/ha/year)	Initial Expected Yield (kg/ha/year)	Calories Provided (kcal/kg)
WHEAT	30	>50	3500
RICE	11	>56	1500

OMICAPRO CRAZING PARAMETERS

Number of Overgraze Per Person:	1	Outward Grazing Density Factor:	1		
Ratio of Sheep to Goats:	Sheep: 1	To Goats: 1	Pattern Multi Grazing: 0.0		
Annual Sheep Fodder Requirement	584	kg	Annual Goat Fodder Requirement	191	kg
Annual Crude Mined per Sheep	0	kg	Annual Crude Mined per Goat	0	kg

Birth Factors:

Initial Farmer Probability:	1	Age:	15	People per family:	5
Percent Probability to Death:	1	Age:	45	Percent resources in a household:	50
Minimum:	1	Maximum:	5		

Death Factors:

Initial Farmer Probability:	2	Age:	60	People per family:	6
Percent Probability to Death:	2	Age:	45	Percent resources in a household:	50
Minimum:	2	Maximum:	6		

Percent of population migrating abroad:

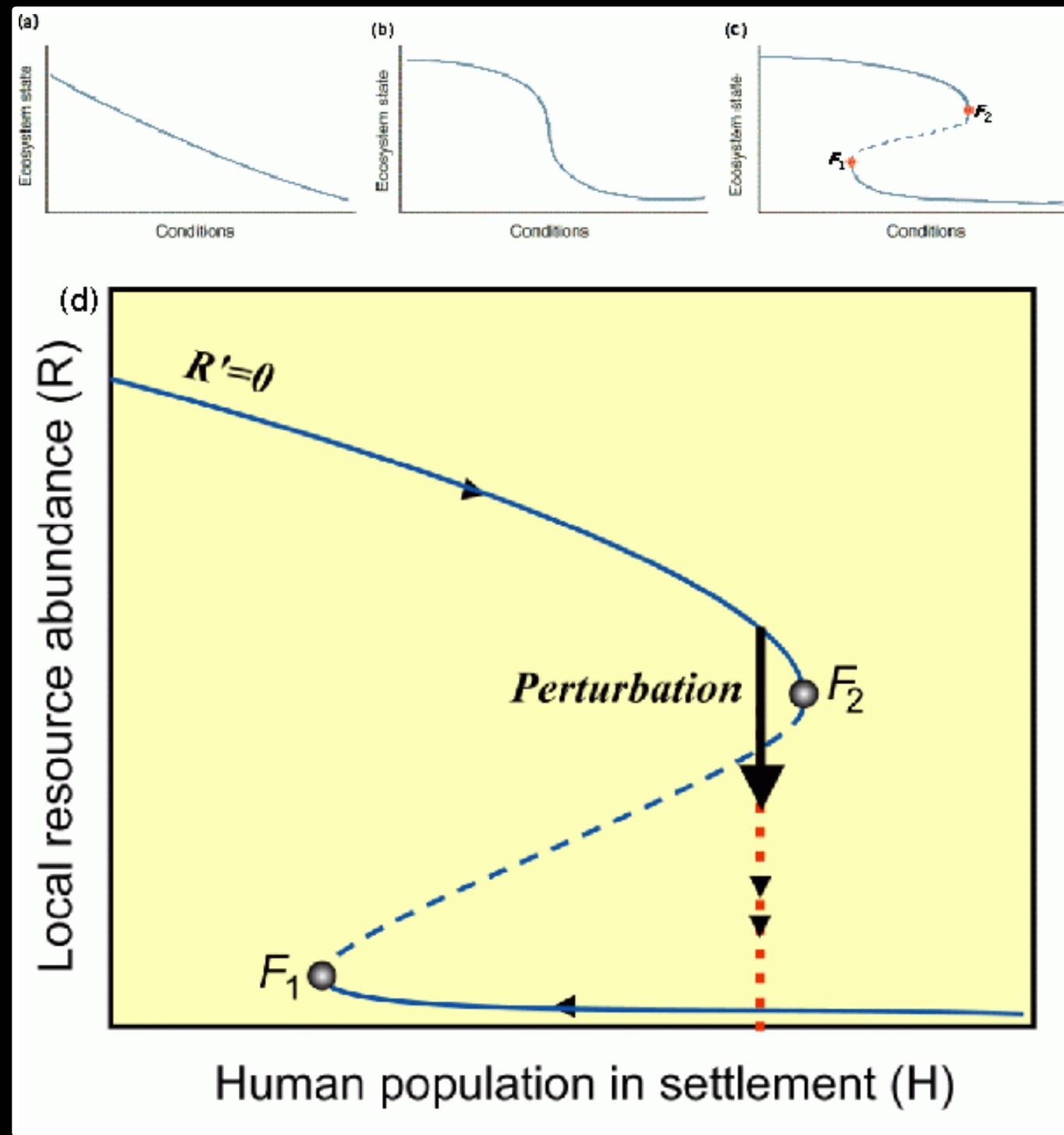
0	10	20	30	40	50	60	70	80	90	100
---	----	----	----	----	----	----	----	----	----	-----

Food required:

0.0000	1st month/year	Labor provided:	200	1st day/month/year
--------	----------------	-----------------	-----	--------------------

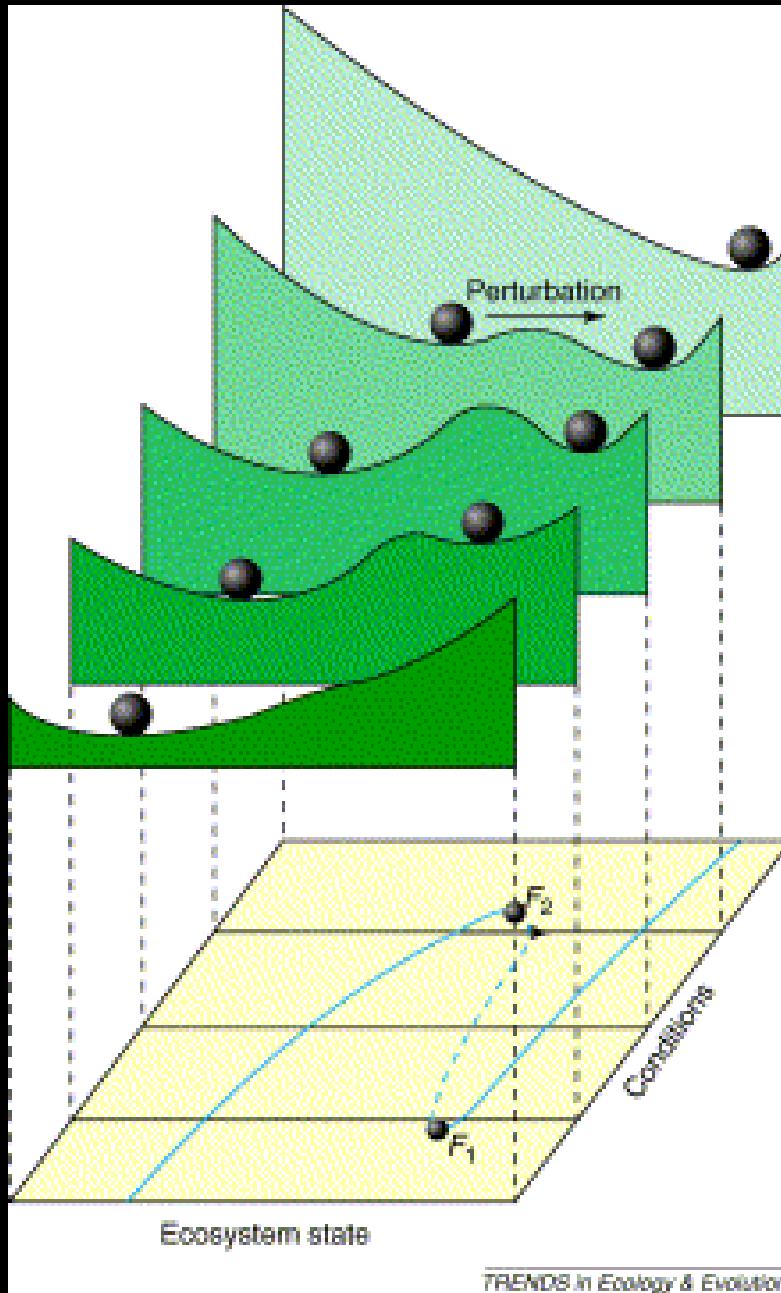
Migration distance max to travel to farm:

7.000	Migrant Preparation Factor:	75
-------	-----------------------------	----

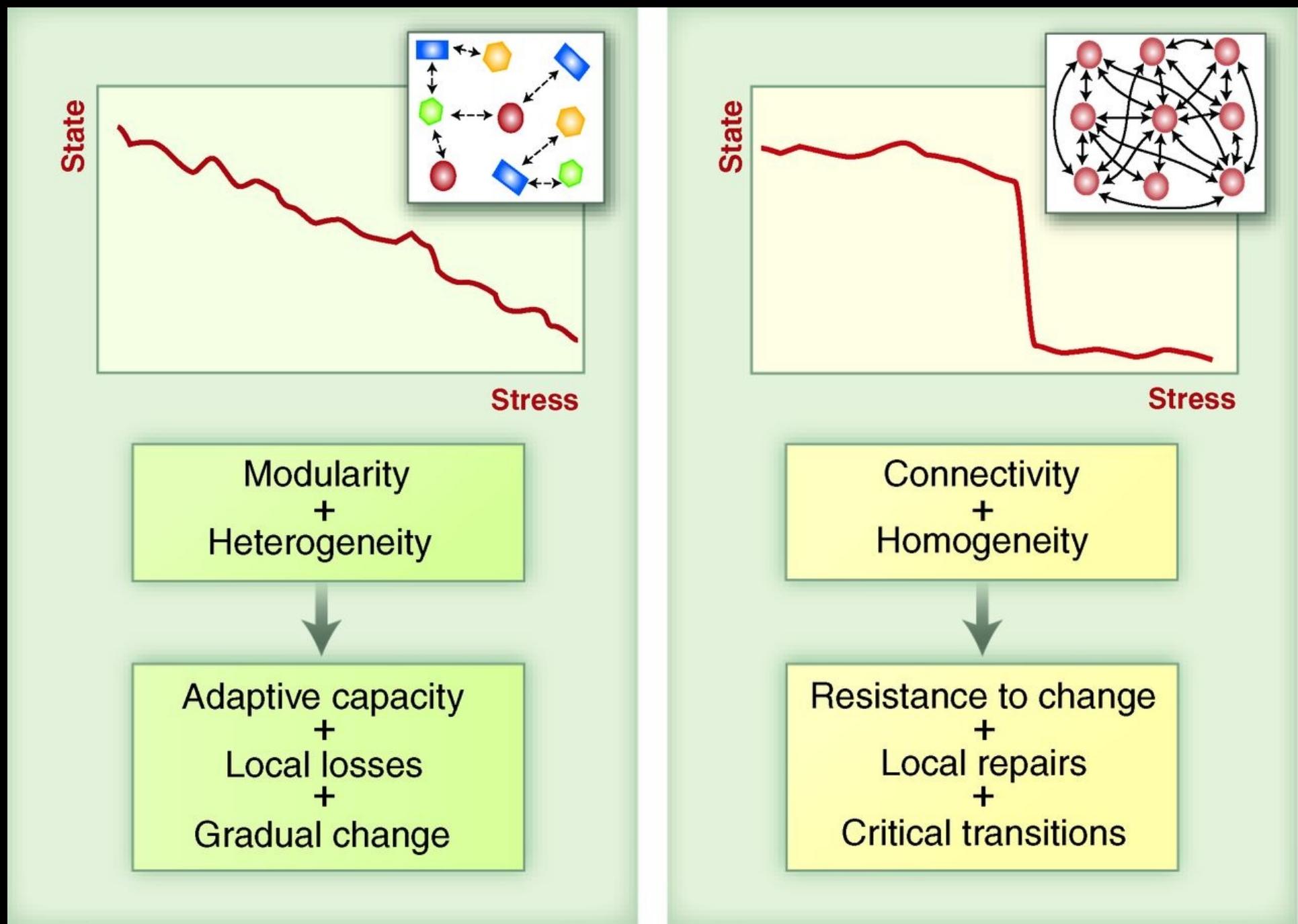


Figures reproduced with permission from Scheffer and Carpenter (2003) and Jensen and Scheffer (2004)

Attractors and Repellors



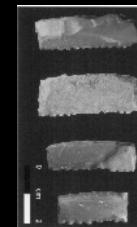
Figures reproduced with permission from Scheffer and Carpenter (2003)



Late Neolithic (c. 8500 – 7000 B.P.)

-7000

- Generally much less spectacular than the PPNB/C
- Widely dispersed in small hamlets of only about 20 people each, with fewer larger settlements of a few hundred people
- Stone tools made from non-standardized flakes, very little art, simple one-room houses, pottery invented, but most pots undecorated coarse-wares



-7500

-8000

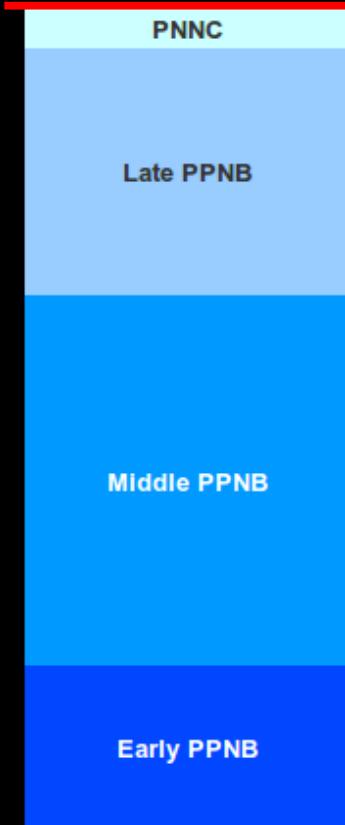
-8500

-9000

-9500

-10000

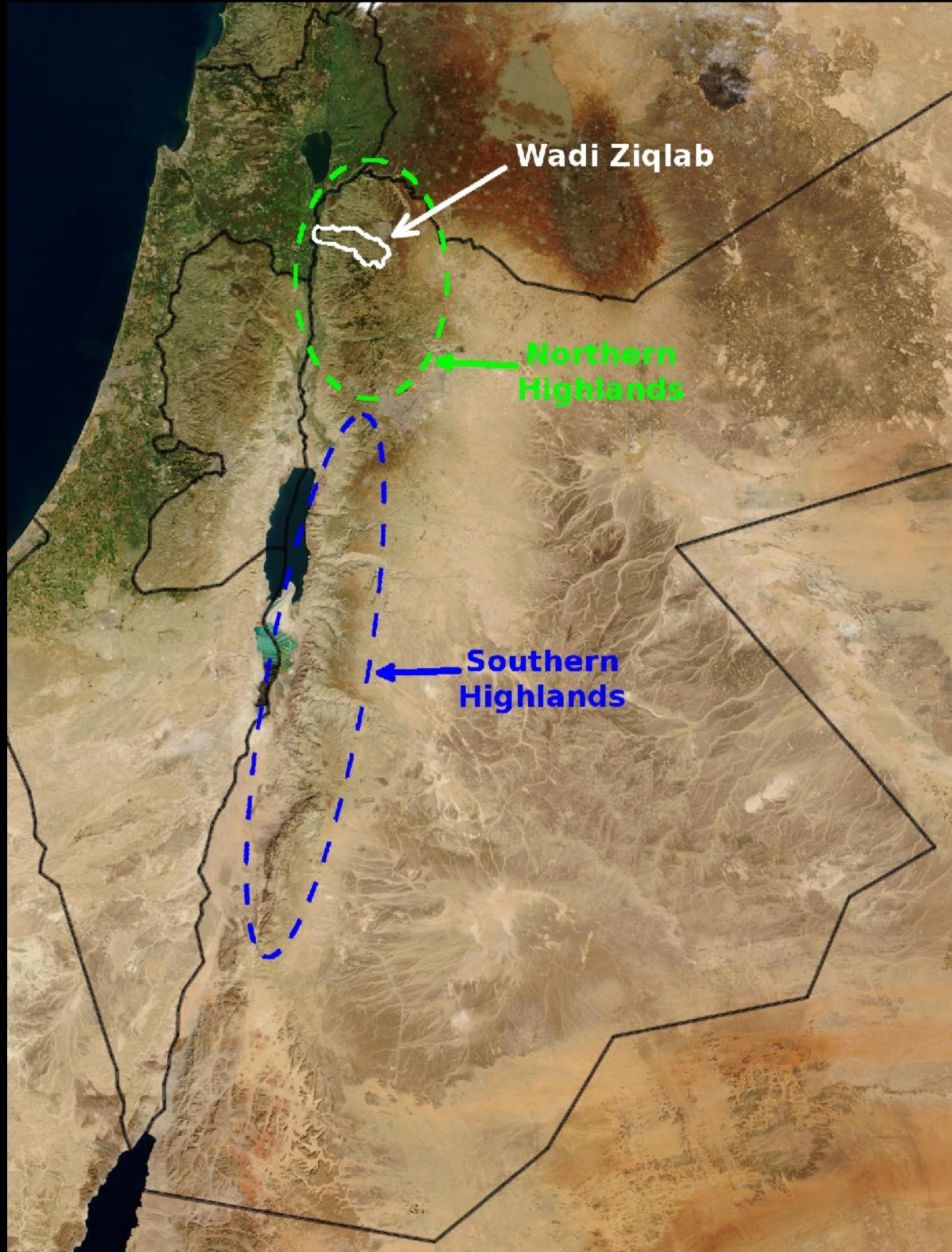
-10500



Late PPNB/C (c. 9250 – 8500 B.P.)

- High levels of settlement centralization, with dense habitation in a few large agglomerated towns, each containing up to 3000 people
- Highly standardized blade-based stone tool technology, advanced knowledge of plaster-making, multistory dwellings with many rooms, large statuary, and spectacular art

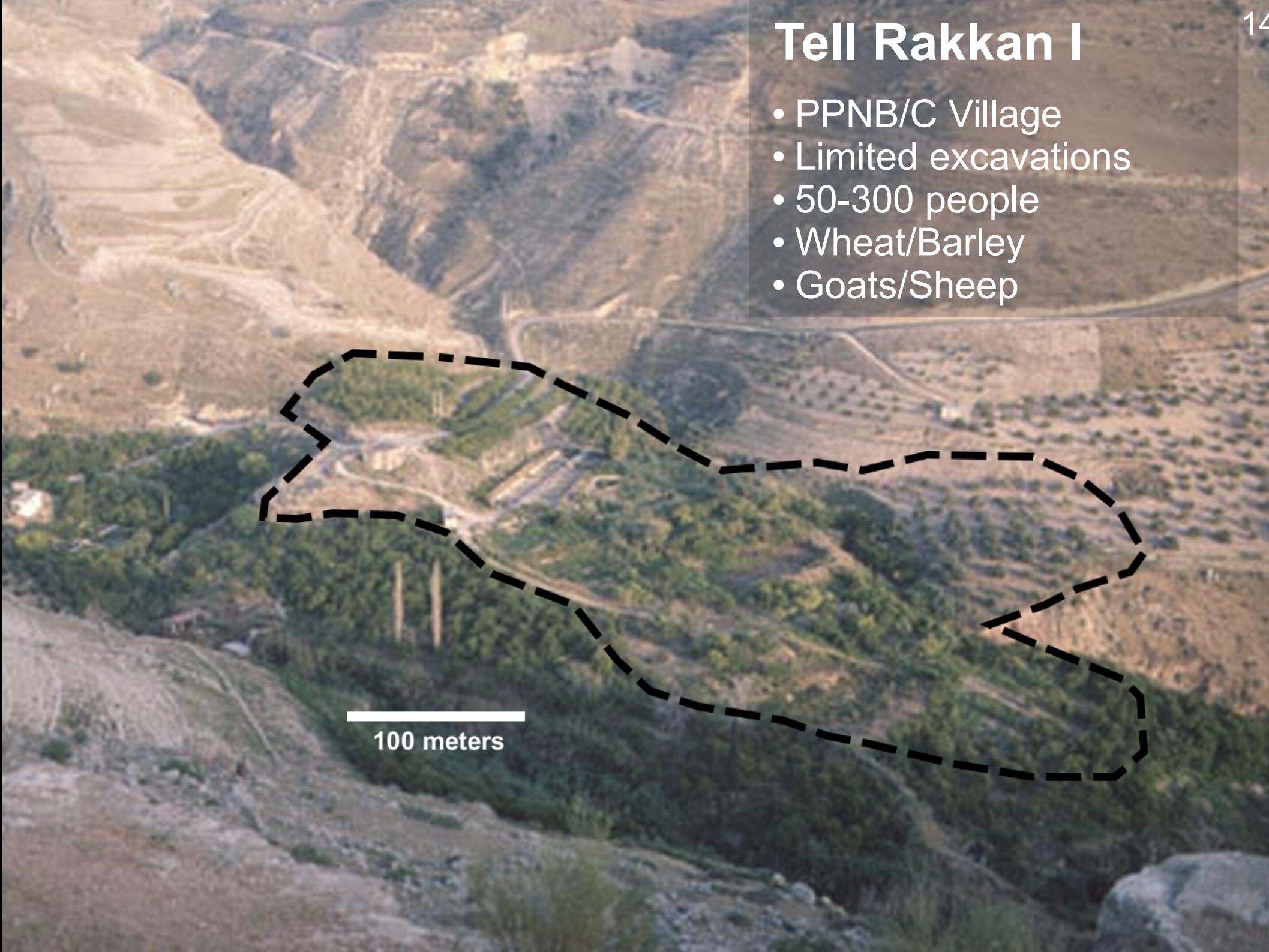




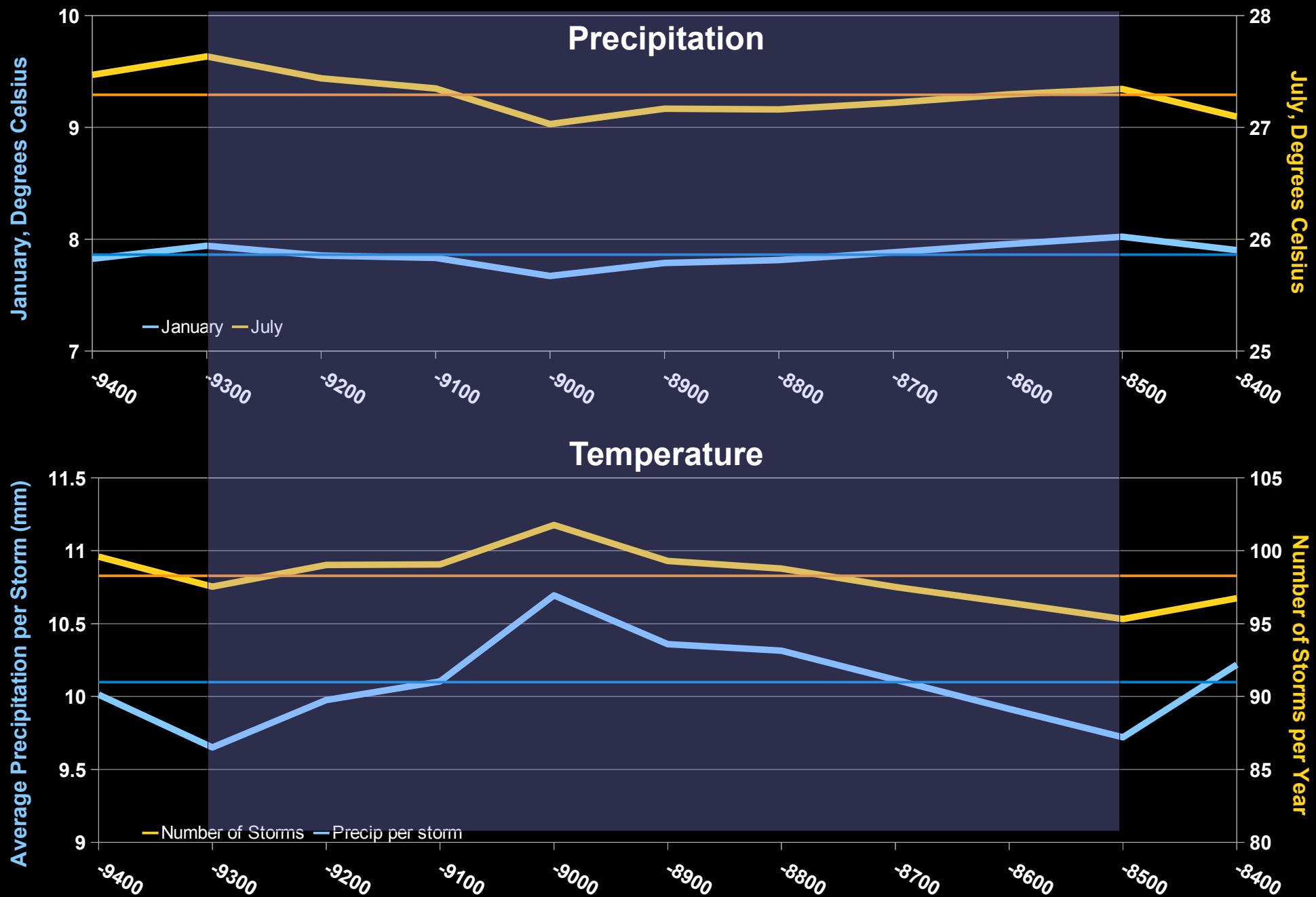
Tell Rakkan I

- PPNB/C Village
- Limited excavations
- 50-300 people
- Wheat/Barley
- Goats/Sheep

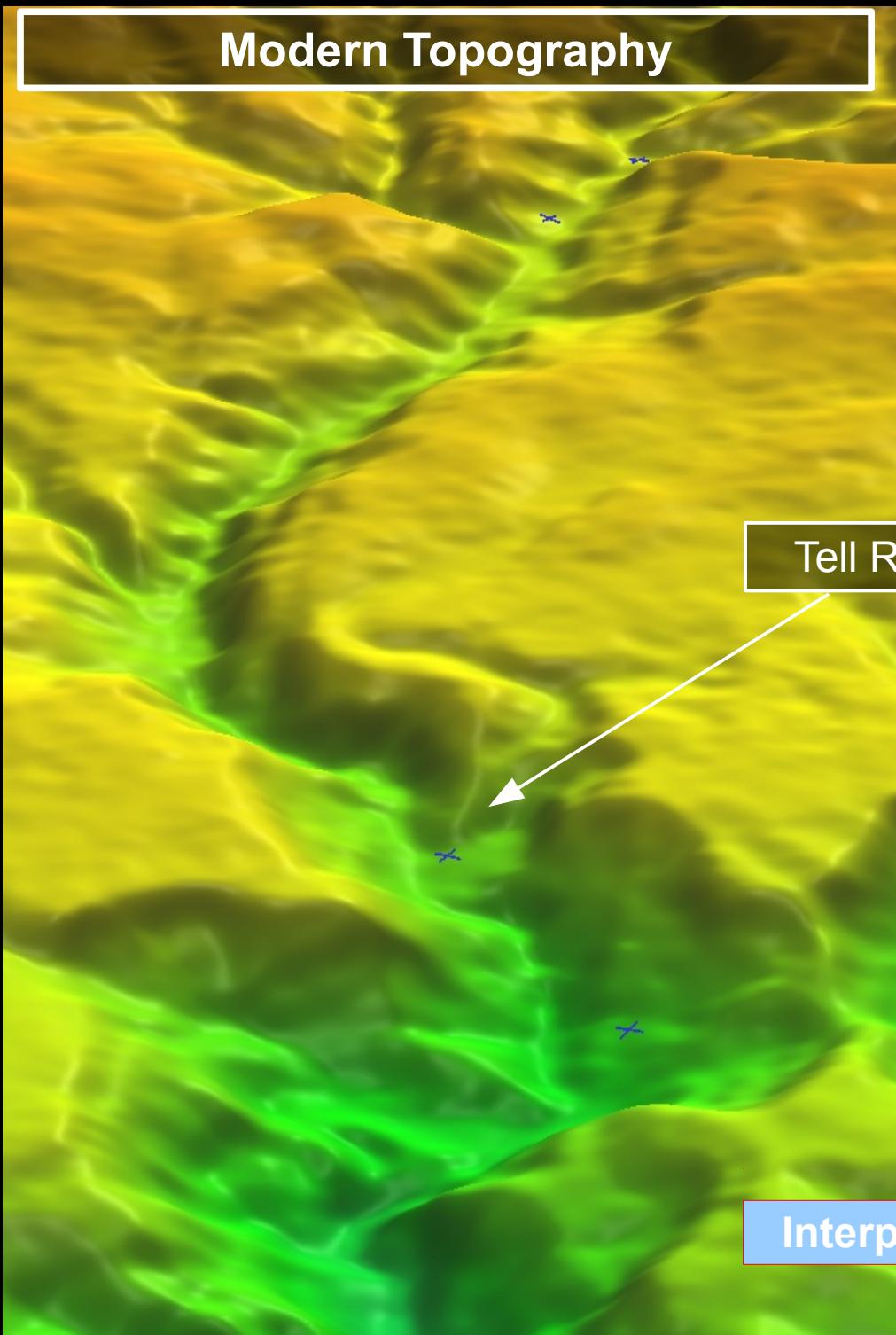
14



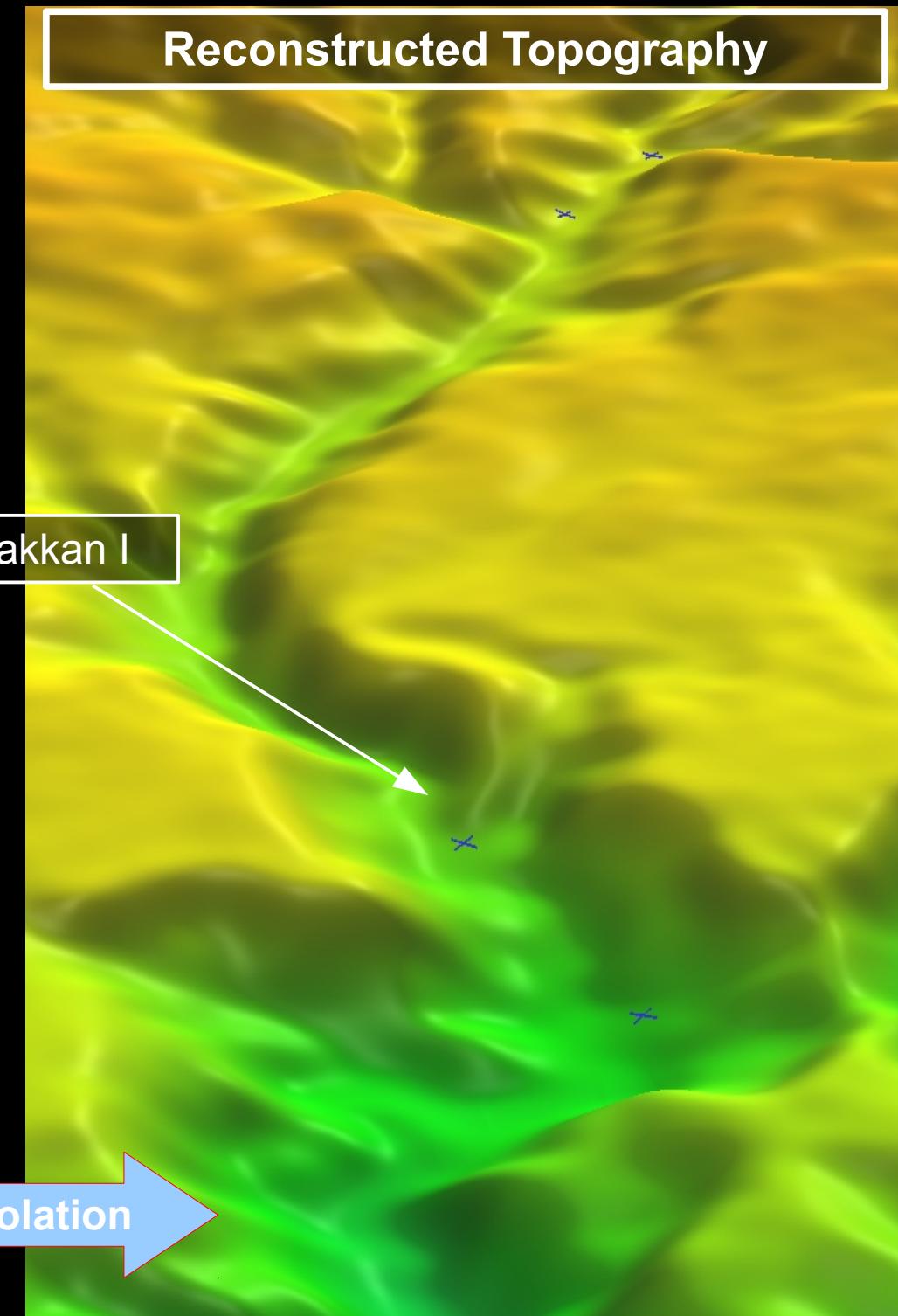
Reconstructing LPPNB Climate



Modern Topography

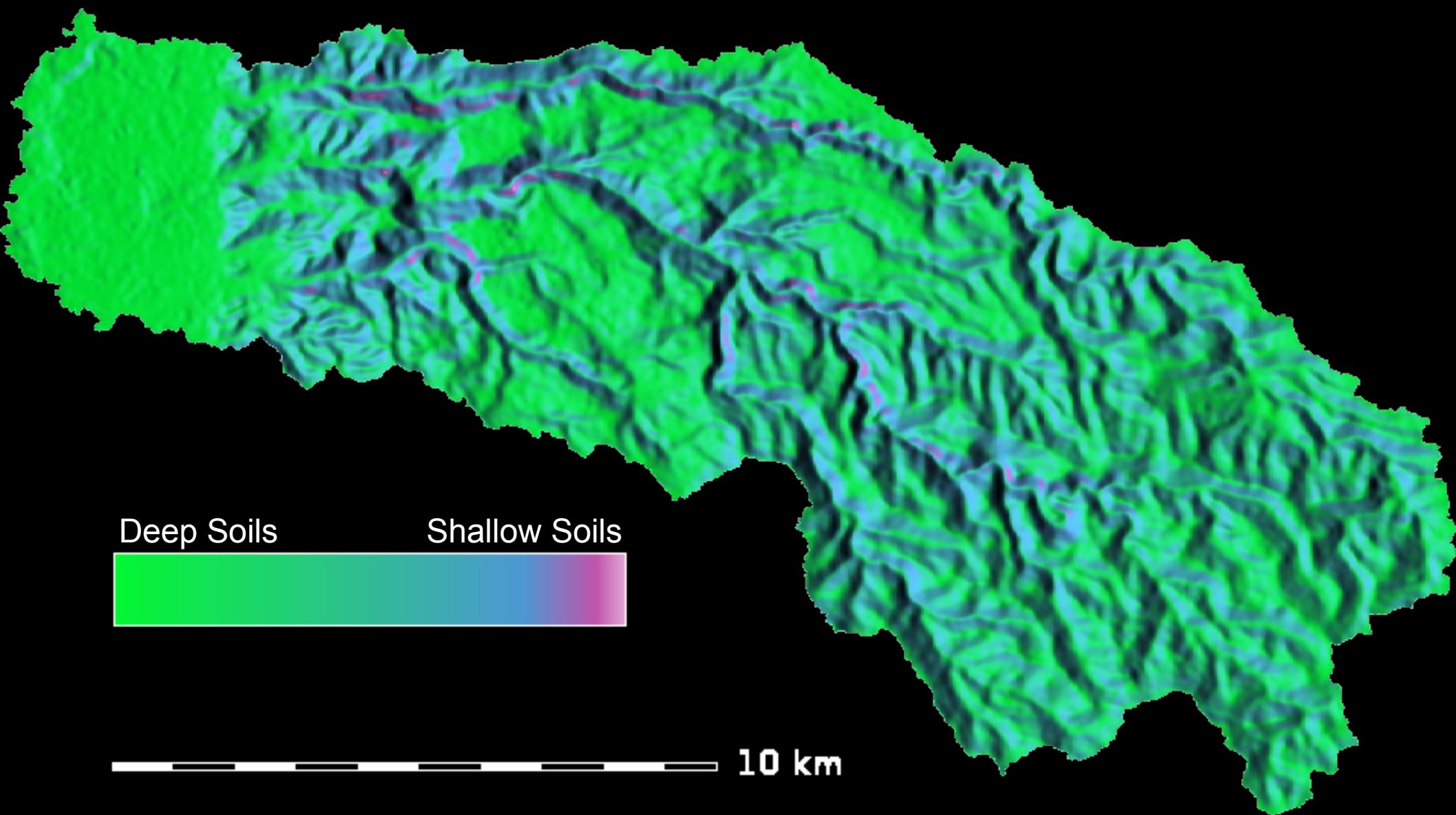


Reconstructed Topography



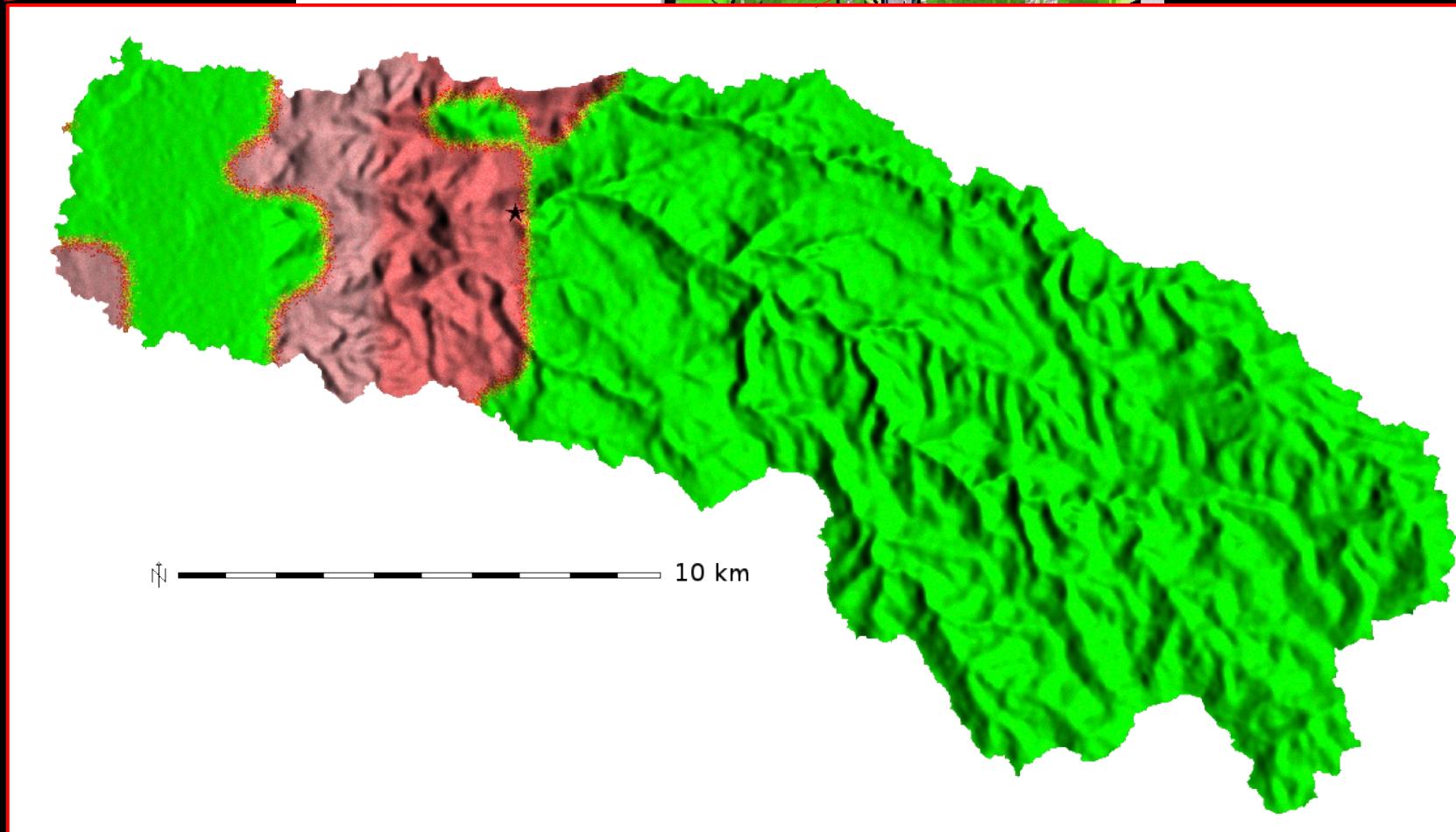
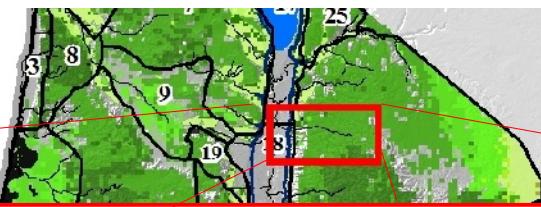
Interpolation

Reconstructed Soil Properties



Climax vegetation – PPNB/C period

- 1. Coastal Galilee
- 2. Akko Plain
- 3. Coastal Carmel
- 4. Sharon
- 5. Pleshet
- 6. Upper Galilee
- 7. Lower Galilee
- 8. Mt. Carmel



Grasslands Shrubs Maquis Forests



Mixed forest (Pine, Deciduous oak, Evergreen oak)

Pine forest

0 5 10 20 Mi

Agropastoral Economic Data

Data type	Data		Source
<u>Pastoral product yields</u>			
Milk output (kg/yr):	200	60	Degen, 2007
Milk energy (kcal/kg):	753.6	1005.6	Mavrogenis and Papachristoforou, 1988
Percent milk not suckled:	66.00%	66.00%	Nablusi et al., 1993; Epstein, 1982
Percent milch animals:	36.00%	20.00%	Nyerges, 1980
Milk yields (kcal/yr):	99475.2	39821.76	Calculated from the above
Meat output (kg/animal):	10.09	14.88	Sen et al., 2004
Meat energy (kcal/kg):	1090	2300	USDA, 2011
Percent meat animals:	25.00%	25.00%	Nyerges, 1980
Meat yields (kcal/yr):	10998.1	34224	Calculated from the above
Goat:Sheep Ratio:	2	1	Ullah, 2011
Average yield (kcal/yr/animal):	38560.597	16520.352	Calculated from the above
<u>Herd animal attributes</u>			
Body weight (kg):	40	70	Wilson, 1982; Epstein 1982; Degen, 2007
Fodder requirement (kg/yr/head):	584	894.25	Stuth and Sheffield 1991
Percent diet from barley fodder:	10.00%	10.00%	Thomson et al., 1986
Wild fodder need (kg/yr/head):	525.6	804.825	Calculated from the above
Barley need (kg/yr/head):	42.05	71.54	Calculated from the above
<u>Agricultural Product Yields</u>			
Energy yield (kcal/kg):	Barley	Wheat	
Maximum possible yields (kg/ha):	3000	3540	Smith, 2006; Fairbairn et al., 1999
Seed reserve:	2500	3500	Pswarayi et al., 2008; Araus et al., 1998, 2001
Required labor (man days/ha/yr):	15.00%	15.00%	Hillman, 1973
	50	50	Dabasi-Scheng, 1978
<u>Wood gathering</u>			
Wood need (kg/person):	2000		Karanth, 2006
Gathering intensity (kg/m ²):	0.08		Karanth, 2006
<u>Labor and planning</u>			
Maximum farming distance (hrs):	3		Estimated from McCall 1985
Maximum grazing distance (hrs):	8		Ullah, 2011
Farm yield expectation scalar:	75.00%		Estimated from Grisley and Kellogg, 1983
Labor availability (man days/yr):	300		Estimated from McCall 1985
Wood gathering distance weight:	3		Estimated from Karanth, 2006; Hartert and Boston, 2007, 2008

Research Design

Create a series of “hypothesis generating” experiments

- Model discrete agropastoral subsistence systems
- Limit the number of dynamics to be investigated
- Repeat each experiment multiple times*
- Conduct a “control model”

Three Potential Neolithic Subsistence Systems

	1) Pastoralists	2) Agropastoralists	3) Agriculturalists
Agro/pastoral ratio:	20/80	50/50	80/20
Ovicaprids per person:	26	17	7

Four Varieties of Landuse Decision-Making Mindsets

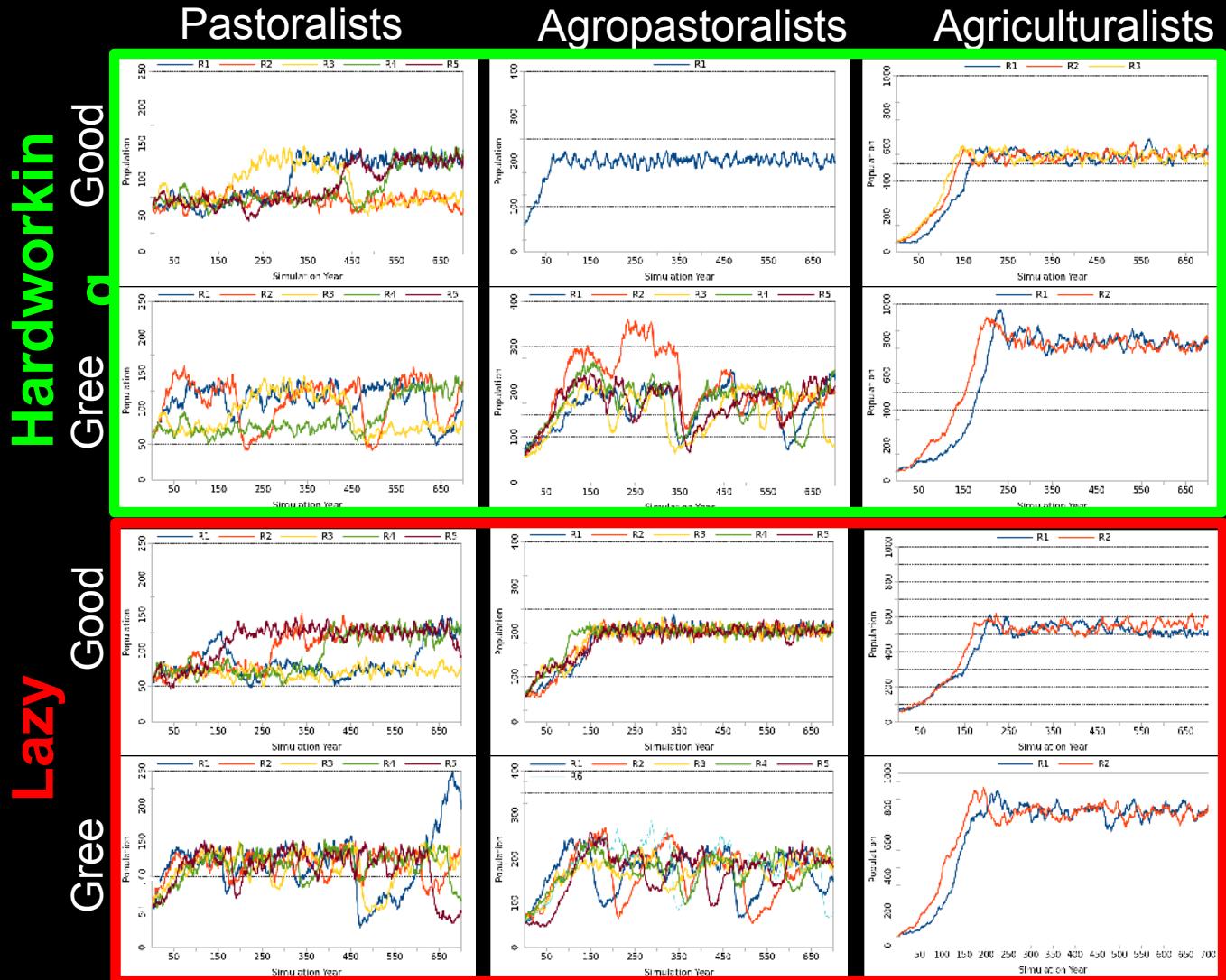
	1) Good-Hardworking	2) Good-Lazy
<i>Herd stocking rate:</i>	~0.15 animals/ha	~0.15 animals/ha
<i>Farming fertility decline:</i>	1.00%	1.00%
<i>Farmplot preference:</i>	None	Maquis or less
	3) Greedy-Hardworking	4) Greedy-Lazy
<i>Herd stocking rate:</i>	~0.3 animals/ha	~0.3 animals/ha
<i>Farming fertility decline:</i>	2.00%	2.00%
<i>Farmplot preference:</i>	None	Maquis or less

Research Results

Patterns in:

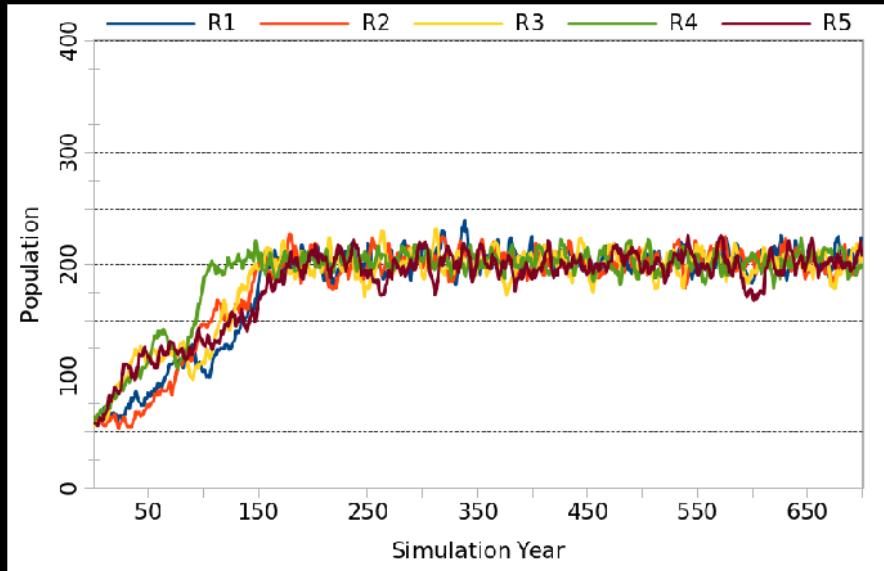
- Population Dynamics
- Vegetation Dynamics
- Soil Dynamics

Patterns in Population Dynamics

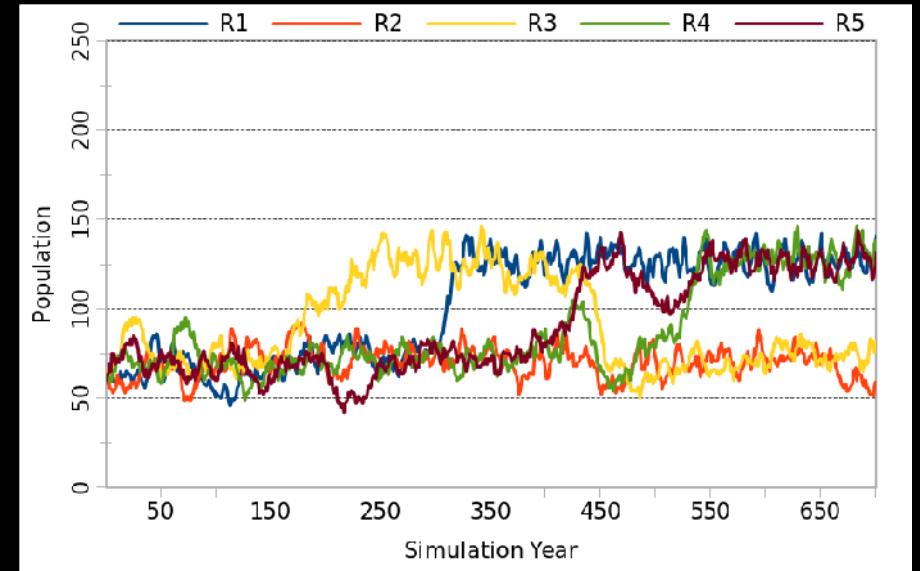


Demographic Stability

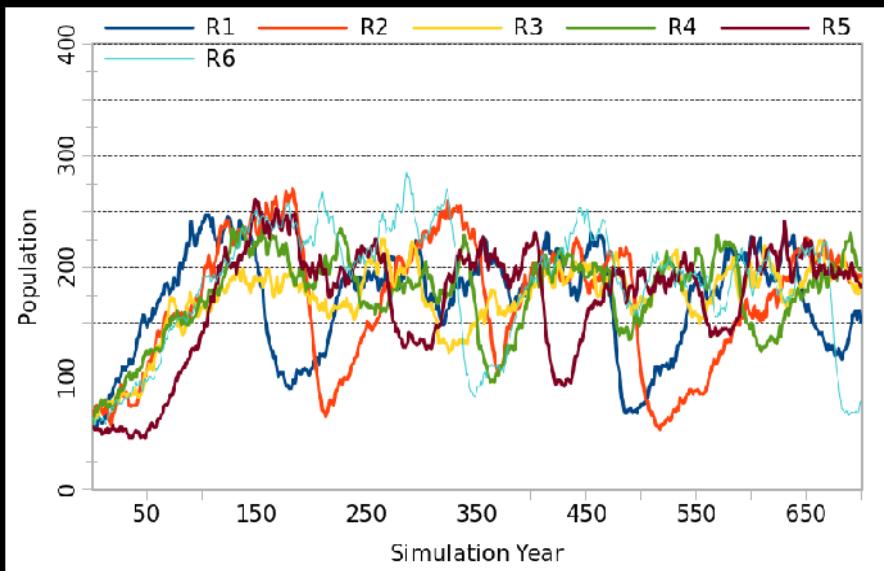
1) Metastable



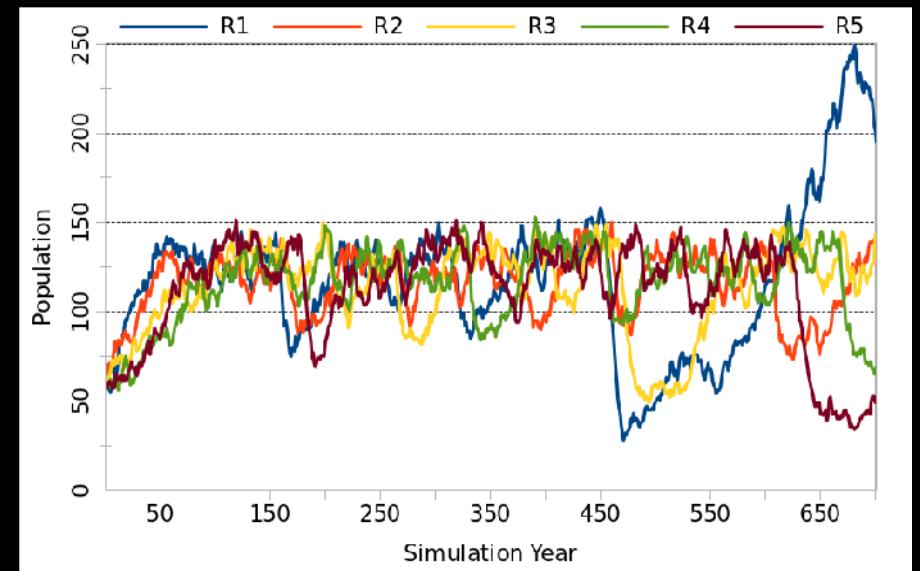
2) Multi-stable



3) Unstable



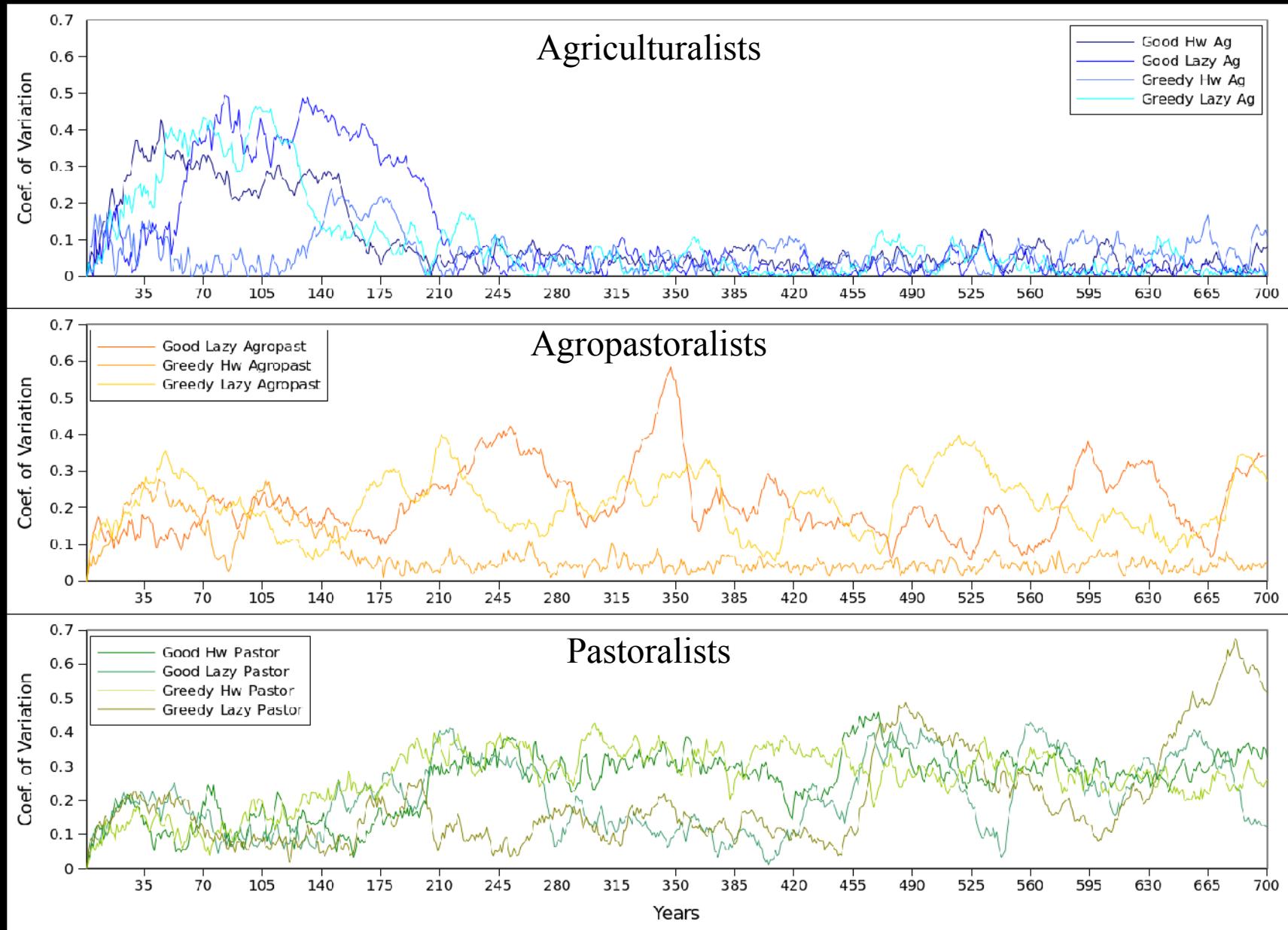
4) Stable trending to Unstable



Subsistence and Demographic Stability

		<i>Pastoralists</i>	<i>Agropastoralists</i>	<i>Agriculturalists</i>
		Multi-stable	Metastable	Metastable
		Multi-stable	Trending to Unstable	Metastable
Hardworking	<i>Good</i>	Multi-stable	Metastable	Metastable
	<i>Greedy</i>	Trending to Unstable	Unstable	Metastable
Lazy	<i>Good</i>	Multi-stable	Metastable	Metastable
	<i>Greedy</i>	Trending to Unstable	Unstable	Metastable

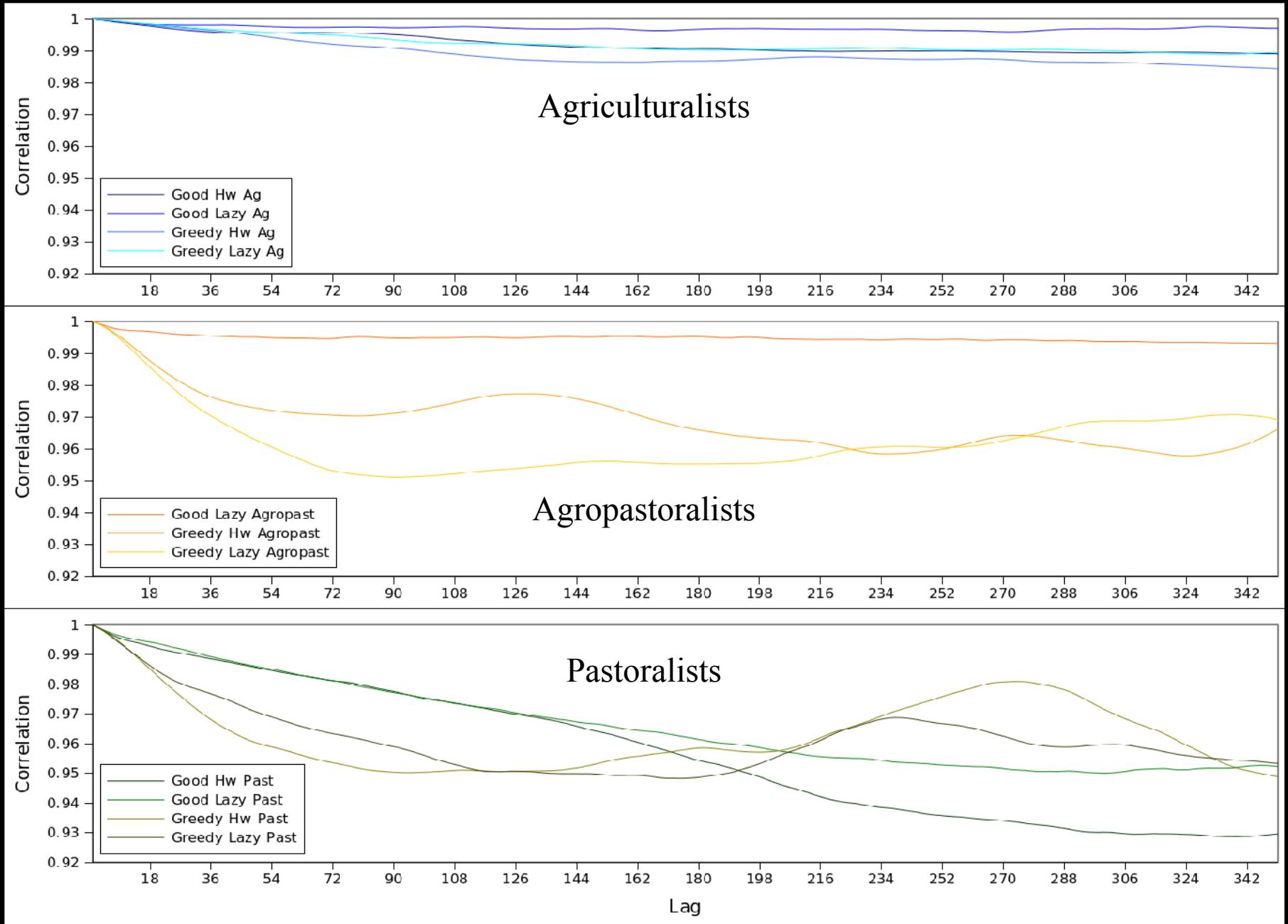
Inter-Run Variation Over Time



Subsistence and Inter-Run Variation

	<i>Pastoralists</i>	<i>Agropastoralists</i>	<i>Agriculturalists</i>
Good Hardworking	Diverging	—	Converging
Greedy	Diverging	Converging and Diverging	Converging
Lazy	Diverging	Converging and Diverging	Converging
Greedy	Diverging	Converging	Converging

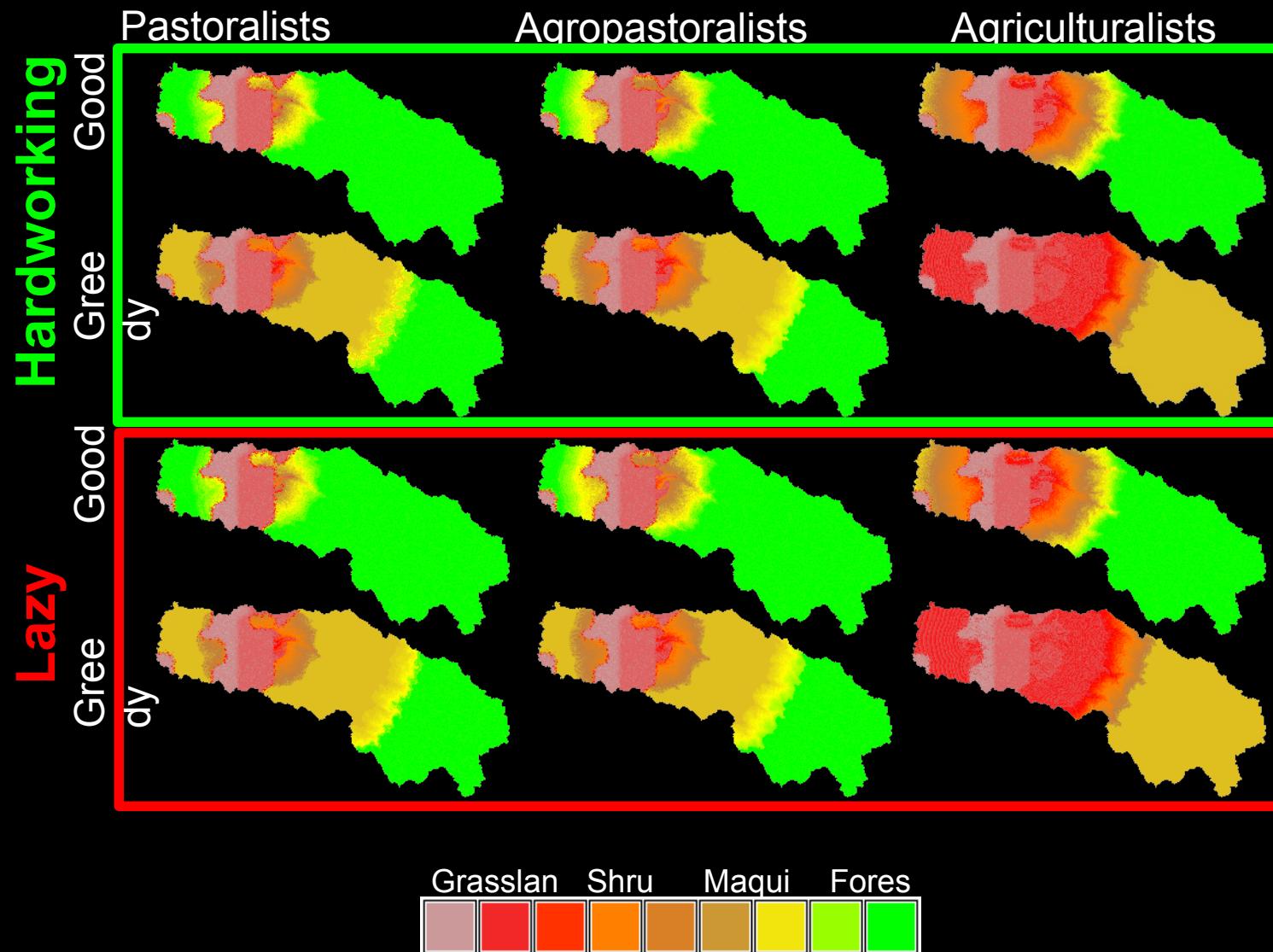
Cyclicity (Inter-Run Lag-Correlation)



Subsistence and Cyclicity

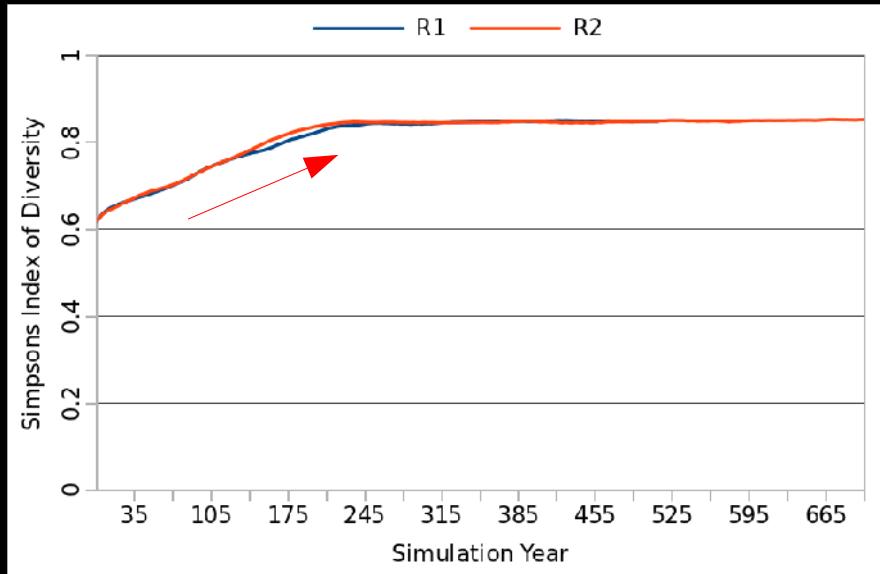
	<i>Pastoralists</i>	<i>Agropastoralists</i>	<i>Agriculturalists</i>
Good Hardworking	350 (?)	None (long-term?)	None (long-term?)
Greedy	<u>180, 275</u>	<u>130, 275</u>	None (long-term?)
Lazy	350 (?)	None (long-term?)	None (long-term?)
Greedy	<u>240</u>	<u>340</u>	None (long-term?)

Patterns in Vegetation Dynamics

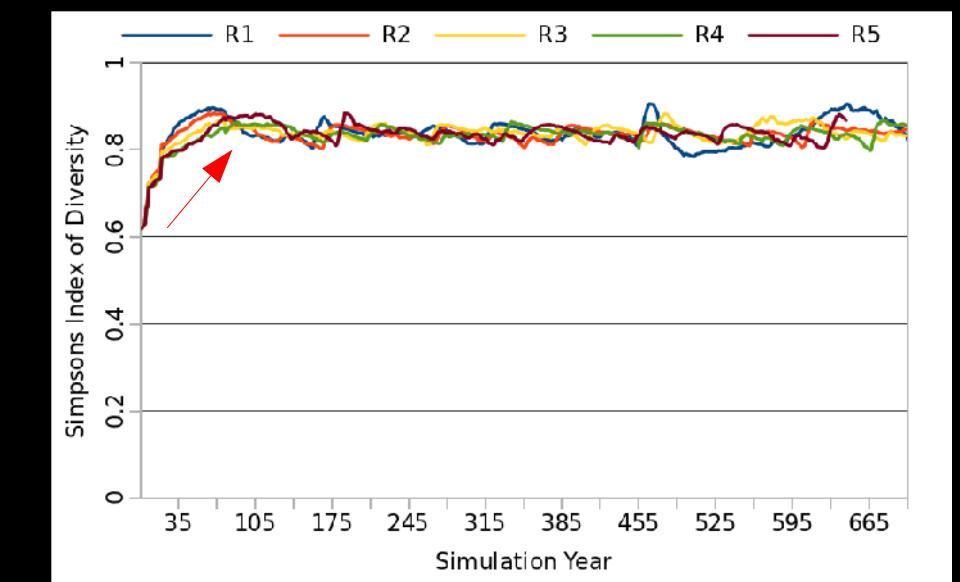


Any Human Activity Increases Biodiversity

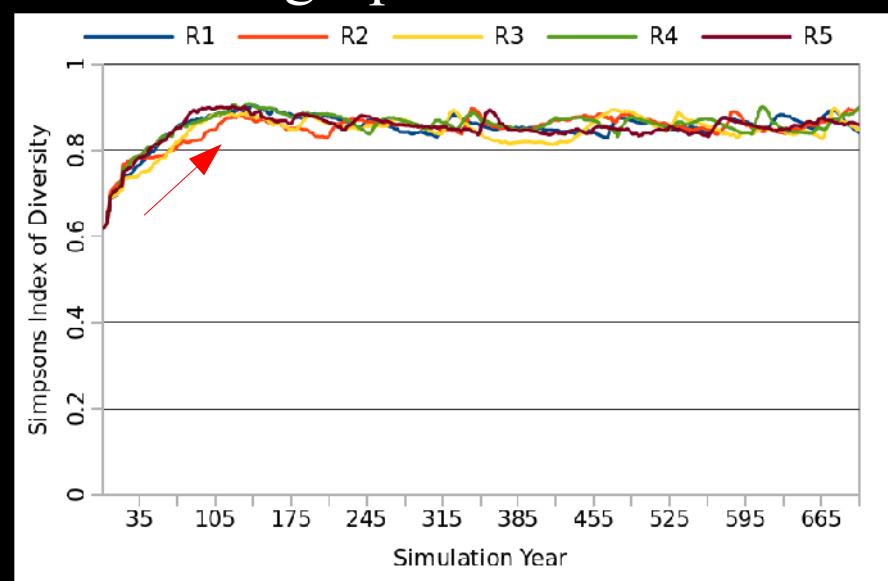
Agriculture



Pastoralism

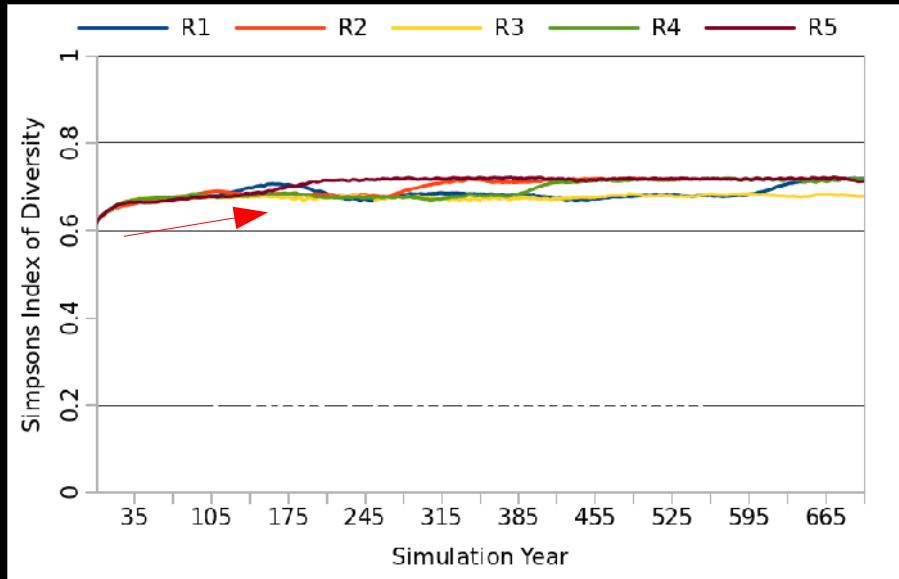


Agropastoralism

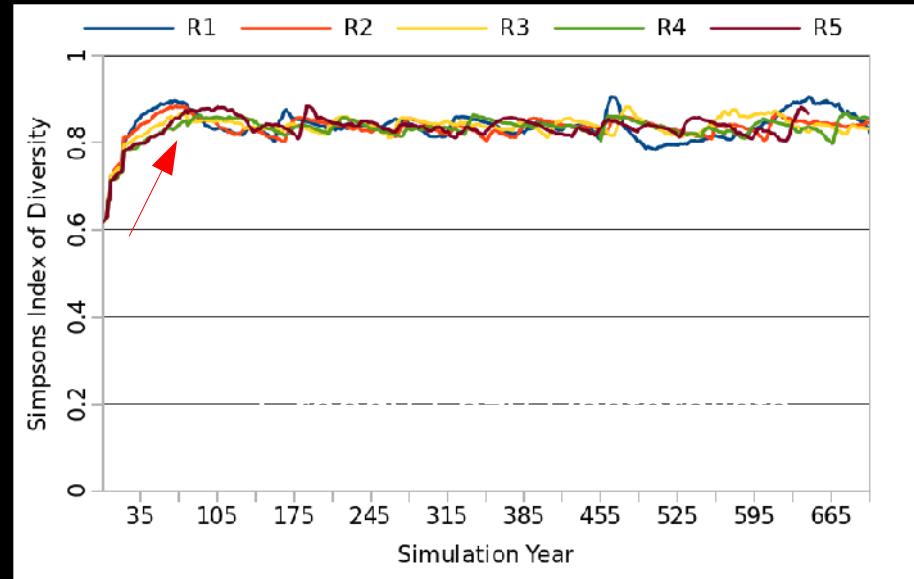


Being “Greedy” Increases Biodiversity for pastoralism and agropastoralism...

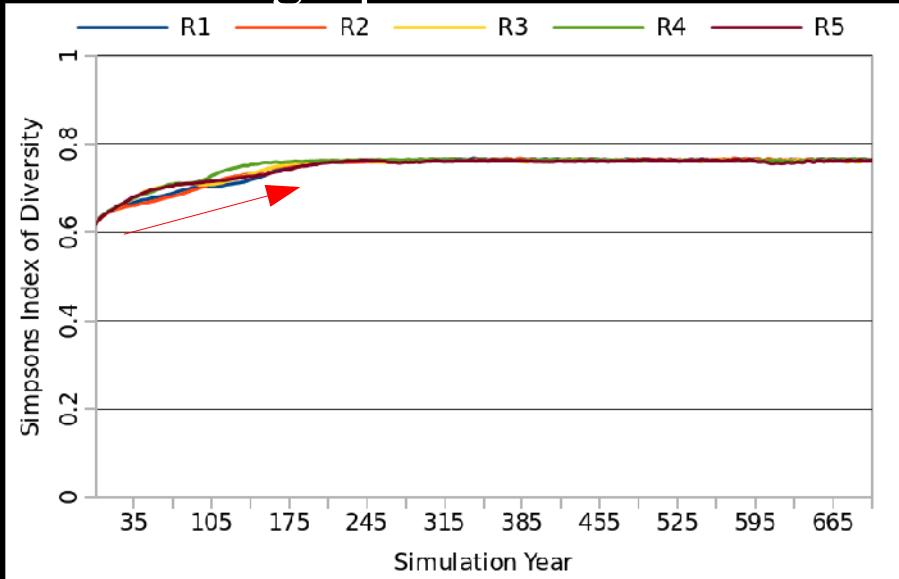
Good Pastoralism



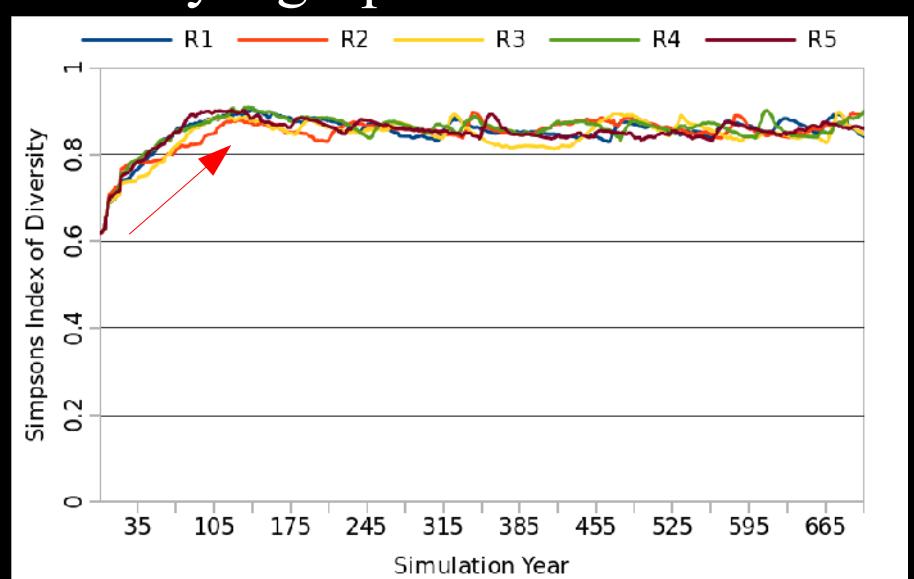
Greedy Pastoralism



Good Agropastoralism

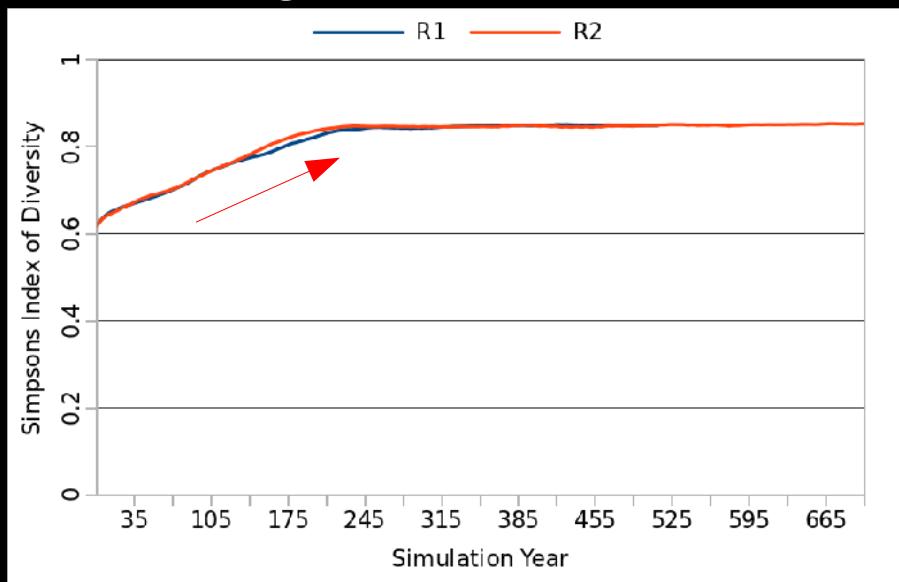


Greedy Agropastoralism

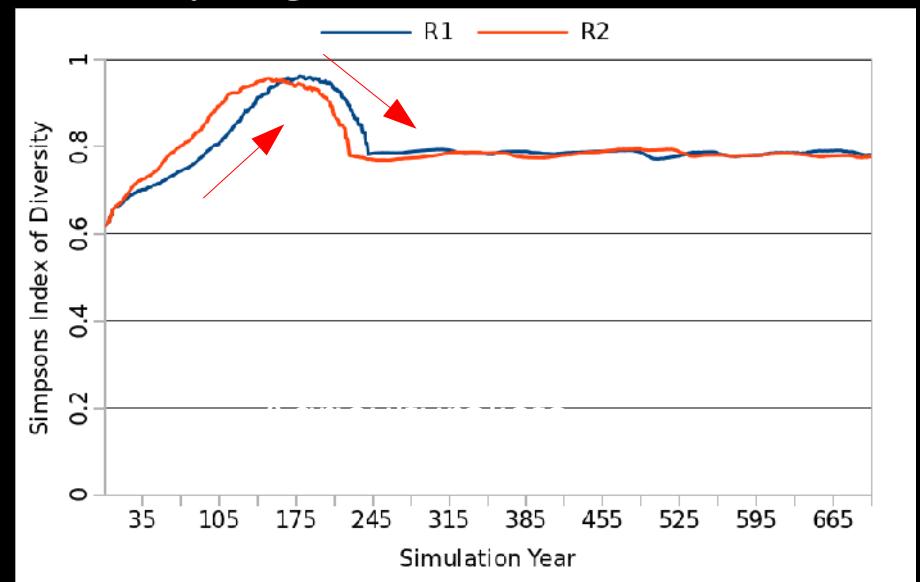


...but not for agriculture

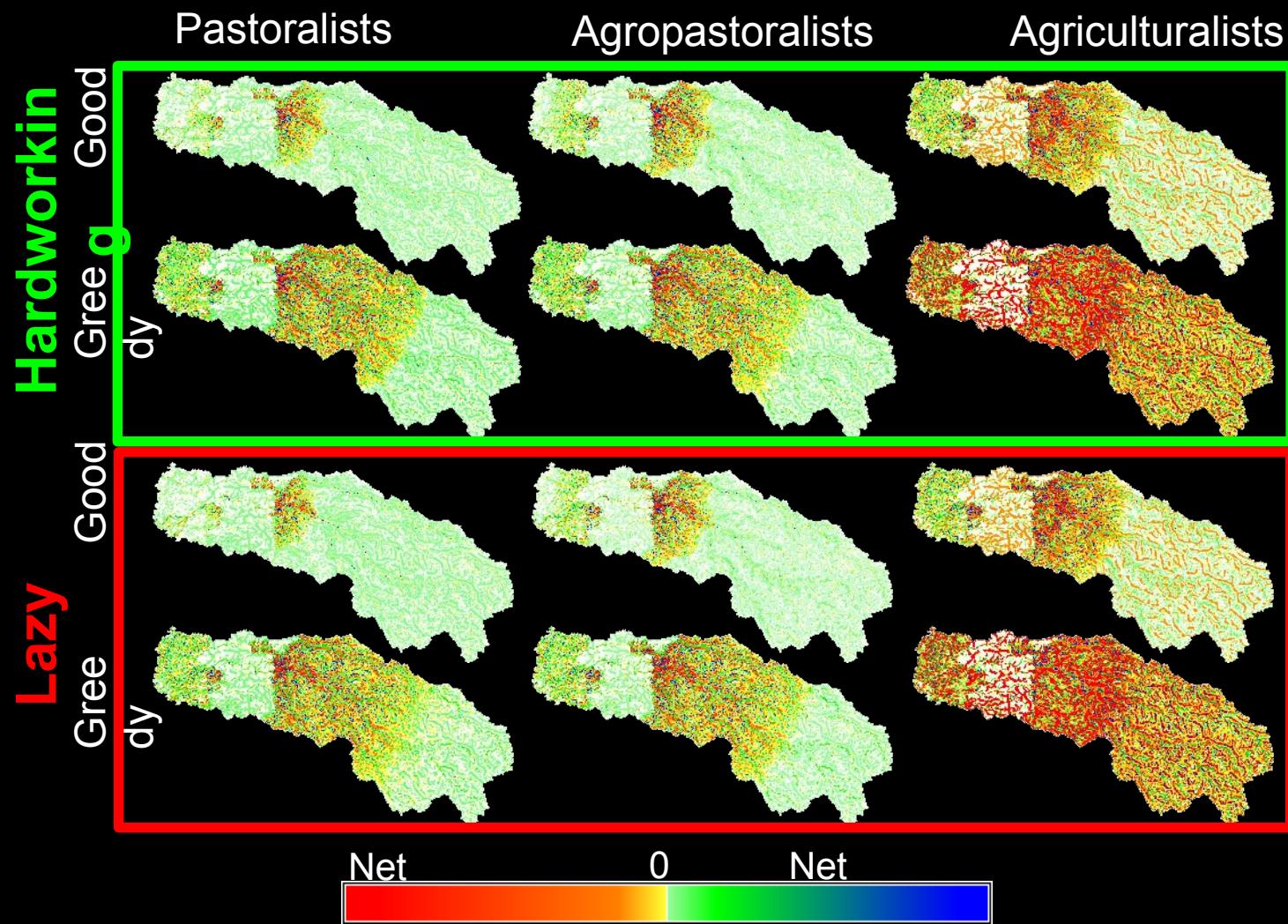
Good Agriculture



Greedy Agriculture



Patterns in Soil Dynamics



In General:

Agriculture leads to *more* erosion than would naturally occur

Pastoralism leads to *less* erosion than would naturally occur

Agropastoralism can produce *more* or produce *less*

Change in Sediment Balance due to Human Landuse (m^3)

		<i>Pastoralists</i>	<i>Agropastoralists</i>	<i>Agriculturalists</i>
<i>Hard-working</i>	<i>Good</i>	2020	362	-15376
	<i>Greedy</i>	2972	-628	-160590
<i>Lazy</i>	<i>Good</i>	2514	170	-14535
	<i>Greedy</i>	1256	-1395	-154406

Also:

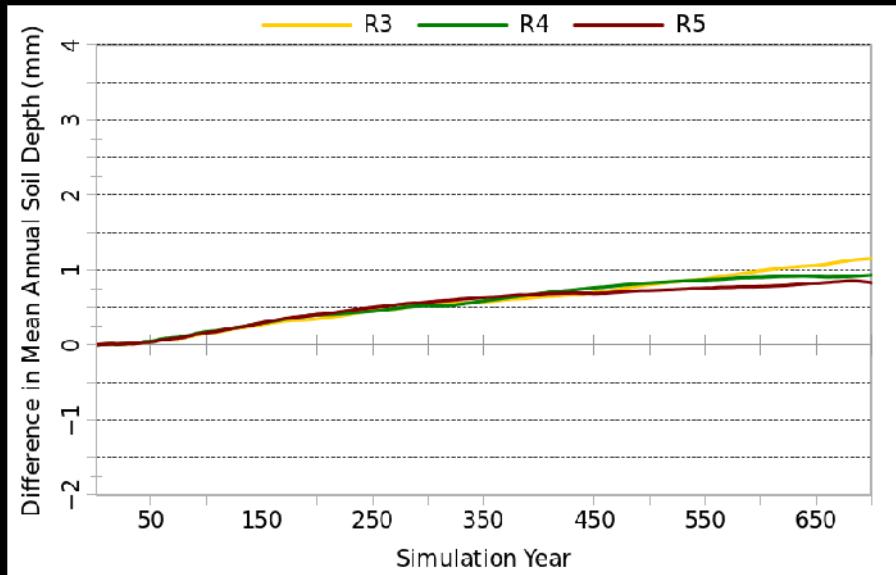
Being Greedy tends to result in relatively more erosion (but not always)

Change in Sediment Balance due to Human Landuse (m^3)

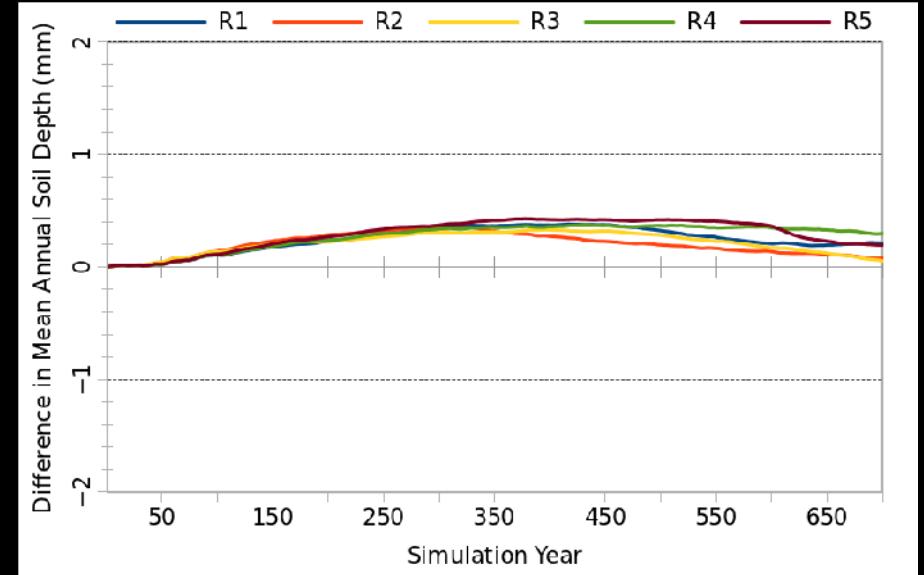
		Pastoralists	Agropastoralists	Agriculturalists
Hard-working	Good	2020	362	-15376
	Greedy	2972	-628	-160590
Lazy	Good	2514	170	-14535
	Greedy	1256	-1395	-154406

Four types of temporal change in sediment depths

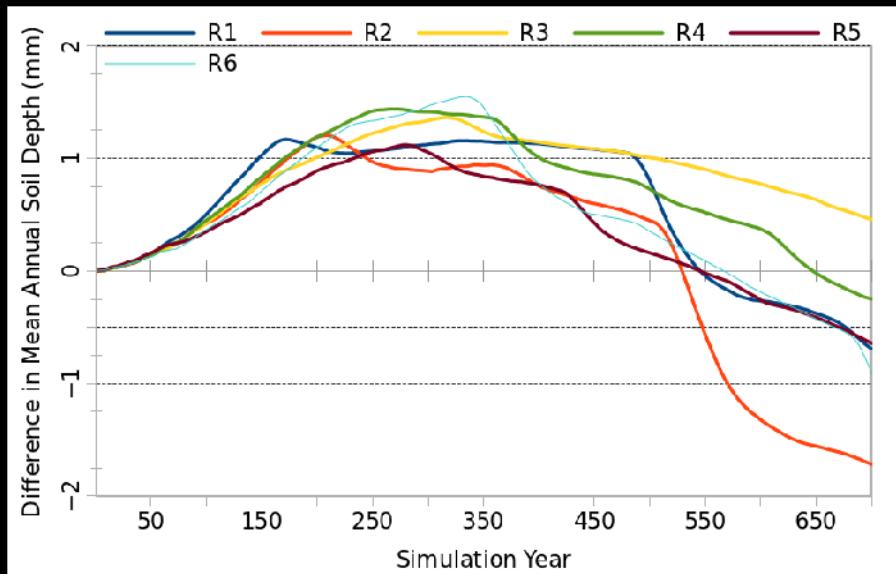
1) Increasing



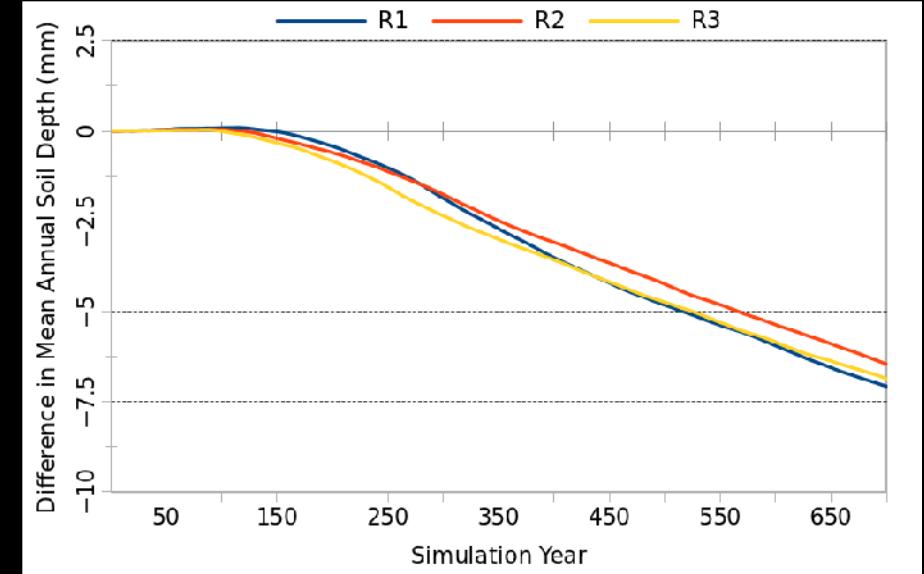
2) Increasing-Stable



3) Increasing-Decreasing



4) Decreasing



Subsistence and Soil Depth

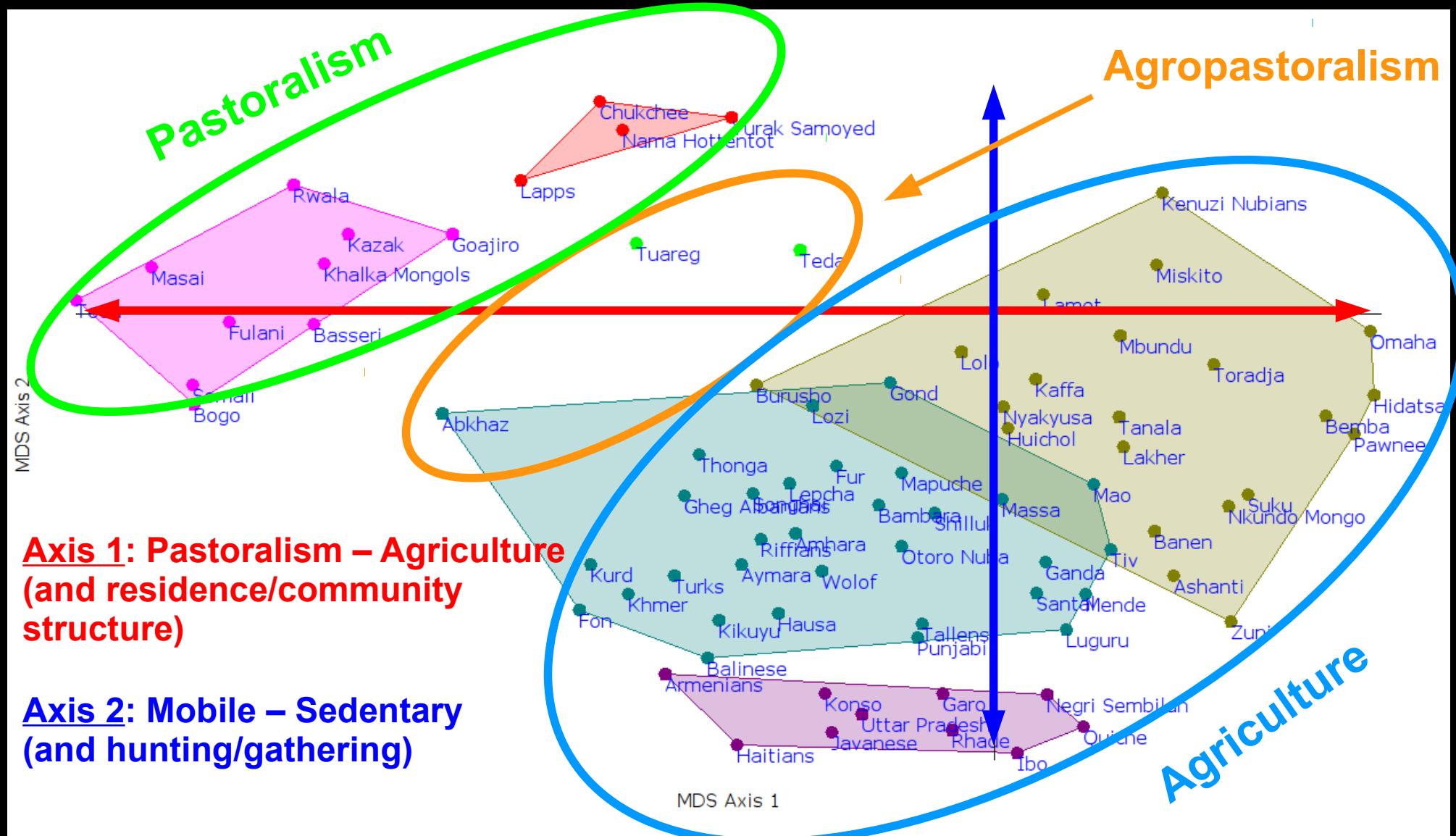
	<i>Pastoralists</i>	<i>Agropastoralists</i>	<i>Agriculturalists</i>
<i>Good</i>	Increasing	Increasing-Stable	Decreasing
<i>Greedy</i>	Increasing-Stable	Increasing-Decreasing	Decreasing
<i>Good</i>	Increasing	Increasing-Stable	Decreasing
<i>Greedy</i>	Increasing-Stable	Increasing-Decreasing	Decreasing

Larger Lessons

Lesson 1: Alternative stable states *do* seem to exist in human subsistence

- › Agriculture and Pastoralism may be alternative stable states (i.e., they are “attractors”)
- › Agropastoralism may be inherently unstable (i.e., it is a “repellor”)

Alternative Stable States of Human Subsistence Systems



79 societies; 52 subsistence, mobility, economic, and demographic variables

Larger Lessons

Lesson 2: Stability does not mean invulnerability

- › Agriculture may be “stable”, but it also seems to be most at-risk for a large critical transition
- › It may be especially at risk from external pressures, like climate change

General Resilience Trends For Each Experiment

		System Potential		
		Pastoralists	Agropastoralists	Agriculturalists
Hard-working	Good	Low	Medium	Medium-High
	Greedy	Low	Medium	High
Lazy	Good	Low	Medium	Medium-High
	Greedy	Low	Medium	High
		System Resilience		
		Pastoralists	Agropastoralists	Agriculturalists
Hard-working	Good	High	Low	Low
	Greedy	High	High	Low
Lazy	Good	High	Low	Low
	Greedy	High	High	Low
		System Connectedness		
		Pastoralists	Agropastoralists	Agriculturalists
Hard-working	Good	Low	Medium	High
	Greedy	Medium-Low	Medium-Low	High
Lazy	Good	Low	Medium	High
	Greedy	Medium-Low	Medium-Low	High

Larger Lessons

Lesson 3: Small differences in subsistence mindset can lead to widely different outcomes

- › Some things only apparent over the long term
- › Likely hard to predict the outcome of particular decisions

Future Research

- Expand research to other parts of the world
- Enable subsistence adaptation, and explore its effects
- Investigate the role of climate change in critical transitions

Thank you!

A photograph of a sunset or sunrise over a valley. The sky is a gradient from blue at the top to orange and yellow near the horizon. A crescent moon is visible in the upper left. In the foreground, dark silhouettes of bushes and trees frame the view. In the middle ground, a valley opens up with a road or path leading towards distant hills. The lights of small buildings or houses are scattered across the landscape. The overall atmosphere is peaceful and scenic.

I would like to thank Michael Barton, Ted Banning, Geoff Clark, Ramon Arrowsmith, Hessam Sarjoughian, Ian Kuijt, Helena Mitasova, Kelin Whipple, Sean Bergin, Alexandra Miller, Mari Soto-Berelov, Gary Mayer, Erin Dimaggio, Gabriel Popescu, Bulent Arikan, Christopher Roberts, Scott Thompson, Sophia Kelly, Julien Riel-Salvatore, Sidney Remple, Claudine Gravel-Miguel, Seji Kadowaki, Kevin Gibbs, Lisa Maher, Danielle Macdonald, and Leah Abriani for invaluable assistance and facilitation of this work.

This research was made possible through National Science Foundation Grant BCS0410269 and various grants from the Social Science and Humanities Research Council of Canada.