

# Tokeneer in Isabelle/UTP

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# 1 Tokeneer in Isabelle/UTP

```
theory Tokeneer
  imports
    ZedLite.zedlite
    UTP.utp
begin recall-syntax
```

## 2 Introduction

```
hide-const dom
```

```
named-theorems tis-defs
```

### 2.1 TIS Basic Types

```
type-synonym TIME = nat
```

```
abbreviation zeroTime  $\equiv$  0
```

```
datatype PRESENCE = present | absent
```

```
datatype CLASS = unmarked | unclassified | restricted | confidential | secret |
topsecret
```

```
record Clearance =
  class :: CLASS
```

```
consts minClearance :: Clearance  $\times$  Clearance  $\Rightarrow$  Clearance
```

```
datatype PRIVILEGE = userOnly | guard | securityOfficer | auditManager
```

```
typedecl USER
```

```
consts ISSUER :: USER set
```

```
typedecl FINGERPRINT
```

```
typedecl FINGERPRINTTEMPLATE
```

```
alphabet FingerprintTemplate =
  template :: FINGERPRINTTEMPLATE
```

### 2.2 Keys and Encryption

```
typedecl KEYPART
```

```
abbreviation KEYPART :: KEYPART set where KEYPART  $\equiv$  UNIV
```

## 2.3 Certificates, Tokens, and Enrolment Data

### 2.3.1 Certificates

**typedec1** *TOKENID*

**record** *CertificateId* =  
  *issuer* :: *USER*

**definition** *CertificateId* :: *CertificateId* set **where**  
[*upred-defs*, *tis-defs*]: *CertificateId* = {*c*. *issuer* *c* ∈ *ISSUER*}

**record** *Certificate* =  
  *cid* :: *CertificateId*  
  *validityPeriod* :: *TIME* set  
  *isValidatedBy* :: *KEYPART* option

**definition** *Certificate* :: 'a *Certificate-scheme* set **where**  
[*upred-defs*, *tis-defs*]: *Certificate* = {*c*. *cid* *c* ∈ *CertificateId*}

**record** *IDCert* = *Certificate* +  
  *subject* :: *USER*  
  *subjectPubK* :: *KEYPART*

**definition** *IDCert* :: 'a *IDCert-scheme* set **where**  
[*upred-defs*, *tis-defs*]: *IDCert* = *Certificate*

**definition** *CAIdCert* :: *IDCert* set **where**  
[*upred-defs*, *tis-defs*]: *CAIdCert* = {*c* ∈ *IDCert*. *isValidatedBy* *c* = *Some*(*subjectPubK* *c*)}

**record** *AttCertificate* = *Certificate* +  
  *baseCertId* :: *CertificateId*  
  *tokenId* :: *TOKENID*

**definition** *AttCertificate* :: 'a *AttCertificate-scheme* set **where**  
[*upred-defs*, *tis-defs*]: *AttCertificate* = *Certificate*

**record** *PrivCert* = *AttCertificate* +  
  *role* :: *PRIVILEGE*  
  *clearance* :: *Clearance*

**definition** *PrivCert* :: *PrivCert* set **where**  
[*upred-defs*, *tis-defs*]: *PrivCert* = *AttCertificate*

**type-synonym** *AuthCert* = *PrivCert*

**abbreviation** *AuthCert* :: *AuthCert* set **where** *AuthCert* ≡ *PrivCert*

**record** *IandACert* = *AttCertificate* +  
*template* :: *FingerprintTemplate*

**definition** *IandACert* :: *IandACert* set **where**  
 $[upred-defs, tis-defs]: IandACert = AttCertificate$

### 2.3.2 Tokens

**record** *Token* =  
*tokenID* :: *TOKENID*  
*idCert* :: *IDCert*  
*privCert* :: *PrivCert*  
*iandACert* :: *IandACert*  
*authCert* :: *AuthCert* option

**definition** *Token* :: *Token* set **where**  
 $[upred-defs, tis-defs]:$   
 $Token = \{c. idCert\ c \in IDCert \wedge$   
 $\quad privCert\ c \in PrivCert \wedge$   
 $\quad iandACert\ c \in IandACert \wedge$   
 $\quad (\forall\ x. authCert\ c = Some(x) \longrightarrow x \in AuthCert)$   
 $\}$

**definition** *ValidToken* :: *Token* set **where**  
 $[upred-defs, tis-defs]:$   
 $ValidToken =$   
 $\{t \in Token. baseCertId\ (privCert\ t) = cid\ (idCert\ t)$   
 $\quad \wedge baseCertId\ (iandACert\ t) = cid\ (idCert\ t)$   
 $\quad \wedge atokenID\ (privCert\ t) = tokenID\ t$   
 $\quad \wedge atokenID\ (iandACert\ t) = tokenID\ t\}$

**definition** *TokenWithValidAuth* :: *Token* set **where**  
 $[upred-defs, tis-defs]:$   
 $TokenWithValidAuth =$   
 $\{t. authCert\ t \neq None \wedge$   
 $\quad atokenID\ (the\ (authCert\ t)) = tokenID\ t \wedge$   
 $\quad baseCertId\ (the\ (authCert\ t)) = cid\ (idCert\ t)\}$

**definition** *CurrentToken* :: *TIME*  $\Rightarrow$  *Token* set **where**  
 $[upred-defs, tis-defs]:$   
 $CurrentToken\ now =$   
 $(ValidToken \cap$   
 $\quad \{t. now \in validityPeriod\ (idCert\ t)$   
 $\quad \quad \cap validityPeriod\ (privCert\ t)$   
 $\quad \quad \cap validityPeriod\ (iandACert\ t)\})$

### 2.3.3 Enrolment Data

**record** *Enrol* =

*tisCert* :: *IDCert*  
*issuerCerts* :: *IDCert set*

We had to add two extra clauses to *Enrol* here that were specified in the Tokeneer Z-schema, namely that (1) all issuer certificates correspond to elements of *ISSUER* and (2) the subjects uniquely identify one issue certificate. Without these, it is not possible to update the key store and maintain the partial function there.

**definition** *Enrol* :: *Enrol set where*

[*upred-defs*, *tis-defs*]:

*Enrol* = {*e*. *tisCert e* ∈ *issuerCerts e* ∧  
*subject* ‘*issuerCerts e* ⊆ *ISSUER* ∧  
(∀ *c* ∈ *issuerCerts e*. ∀ *d* ∈ *issuerCerts e*. *subject c* = *subject d* →  
*c* = *d*)} }

**definition** *ValidEnrol* :: *Enrol set where*

[*upred-defs*, *tis-defs*]:

*ValidEnrol* = (*Enrol* ∩  
{*e*. *issuerCerts e* ∩ *CAIdCert* ≠ {} ∧  
(∀ *cert* ∈ *issuerCerts e*. *isValidatedBy cert* ≠ None ∧  
(∃ *issuerCert* ∈ *issuerCerts e*.  
*issuerCert* ∈ *CAIdCert* ∧  
*the(isValidatedBy cert)* = *subjectPubK issuerCert* ∧  
*issuer (cid cert)* = *subject issuerCert*))) }

**lemma** *ValidEnrol-functional*:

*e* ∈ *ValidEnrol* ⇒ *functional* {(*subject c*, *subjectPubK c*) | *c*. *c* ∈ *issuerCerts e*}

**apply** (*simp add: functional-def*)  
**apply** (*simp add: ValidEnrol-def Enrol-def*)  
**apply** (*rule inj-onI*)  
**apply** (*force*)  
**done**

**lemma** *Enrol-function*:

*e* ∈ *ValidEnrol* ⇒ {(*subject c*, *subjectPubK c*) | *c*. *c* ∈ *issuerCerts e*} ∈ *ISSUER*  
→<sub>*r*</sub> *KEYPART*

**apply** (*rule rel-pfun-intro*)  
**apply** (*simp add: rel-typed-def Enrol-def ValidEnrol-def*)  
**apply** *blast*  
**apply** (*simp add: ValidEnrol-functional*)  
**done**

## 2.4 World Outside the ID Station

### 2.4.1 Real World Types and Entities (1)

**datatype** *DOOR* = *dopen* | *closed*  
**datatype** *LATCH* = *unlocked* | *locked*

```

datatype ALARM = silent | alarming
datatype DISPLAYMESSAGE = blank | welcom | insertFinger | openDoor | wait
| removeToken | tokenUpdateFailed | doorUnlocked

datatype FINGERPRINTTRY = noFP | badFP | goodFP FINGERPRINT

alphabet Finger =
  currentFinger :: FINGERPRINTTRY
  fingerPresence :: PRESENCE

abbreviation Finger :: Finger upred where Finger  $\equiv$  true

alphabet DoorLatchAlarm =
  currentTime :: TIME
  currentDoor :: DOOR
  currentLatch :: LATCH
  doorAlarm :: ALARM
  latchTimeout :: TIME
  alarmTimeout :: TIME

definition DoorLatchAlarm :: DoorLatchAlarm upred where
[upred-defs, tis-defs]:
DoorLatchAlarm = U(
  (currentLatch =  $\langle\langle$ locked $\rangle\rangle \Leftrightarrow$  currentTime  $\geq$  latchTimeout)  $\wedge$ 
  (doorAlarm =  $\langle\langle$ alarming $\rangle\rangle \Leftrightarrow$ 
    (currentDoor =  $\langle\langle$ dopen $\rangle\rangle$ 
       $\wedge$  currentLatch =  $\langle\langle$ locked $\rangle\rangle$ 
       $\wedge$  currentTime  $\geq$  alarmTimeout))
  )

```

### 3 The Token ID Station

#### 3.1 Configuration Data

```

consts maxSupportedLogSize :: nat

alphabet Config =
  alarmSilentDuration :: TIME
  latchUnlockDuration :: TIME
  tokenRemovalDuration :: TIME
  enclaveClearance :: Clearance
  authPeriod :: PRIVILEGE  $\Rightarrow$  TIME  $\Rightarrow$  TIME set
  entryPeriod :: PRIVILEGE  $\Rightarrow$  CLASS  $\Rightarrow$  TIME set
  minPreservedLogSize :: nat
  alarmThresholdSize :: nat

definition Config :: Config upred where
[upred-defs, tis-defs]:
Config = U(alarmThresholdSize < minPreservedLogSize  $\wedge$ 

```

$$\begin{aligned} \text{minPreservedLogSize} &\leq \ll \text{maxSupportedLogSize} \gg \wedge \\ \text{latchUnlockDuration} &> 0 \wedge \\ \text{alarmSilentDuration} &> 0) \end{aligned}$$

### 3.2 AuditLog

**typeddecl** *AuditEvent*  
**typeddecl** *AuditUser*

**record** *Audit* =  
*auditTime* :: *TIME*  
*auditEvent* :: *AuditEvent*  
*auditUser* :: *AuditUser*  
*sizeElement* :: *nat*

Rather than axiomatising this, we explicitly define it and prove the two axioms as theorems.

**definition** *sizeLog* :: *Audit set*  $\Rightarrow$  *nat* **where**  
*sizeLog* *A* = ( $\sum$  *a*  $\in$  *A*. *sizeElement* *a*)

**lemma** *sizeLog-empty* [*simp*]: *sizeLog* {} = 0  
**by** (*simp add: sizeLog-def*)

**lemma** *sizeLog-calc*:  
**assumes** *finite L*  
**shows** *entry*  $\in$  *L*  $\implies$  *sizeLog* *L* = *sizeLog* (*L* - {*entry*}) + *sizeElement* *entry*  
**using** *assms*  
**by** (*simp add: sizeLog-def sum.remove*)

#### 3.2.1 Real World Types and Entities (2)

**datatype** *FLOPPY* = *noFloppy* | *emptyFloppy* | *badFloppy* | *enrolmentFile* (*enrolmentFile-of*:  
*Enrol*) |  
*auditFile* *Audit set* | *configFile* (*configFile-of*: *Config*)

**definition** *FLOPPY* :: *FLOPPY upred* **where**  
[*upred-defs*, *tis-defs*]:  
*FLOPPY* = *U*( $\forall$  *e*.  $\&\mathbf{v} = \ll \text{enrolmentFile } e \gg \Rightarrow \ll e \in \text{ValidEnrol} \gg$ )

**alphabet** *Floppy* =  
*currentFloppy* :: *FLOPPY*  
*writtenFloppy* :: *FLOPPY*  
*floppyPresence* :: *PRESENCE*

**definition** *Floppy* :: *Floppy upred* **where**  
[*upred-defs*, *tis-defs*]:  
*Floppy* = (*FLOPPY*  $\oplus_p$  *currentFloppy*  $\wedge$  *FLOPPY*  $\oplus_p$  *writtenFloppy*)

**definition** [*upred-defs*, *tis-defs*]: *ADMINPRIVILEGE* = {*guard*, *auditManager*,  
*securityOfficer*}



**datatype** *ADMINOP* = *archiveLog* | *updateConfigData* | *overrideLock* | *shutdownOp*

**datatype** *KEYBOARD* = *noKB* | *badKB* | *keyedOps* (*ofKeyedOps*: *ADMINOP*)

**alphabet** *Keyboard* =  
*currentKeyedData* :: *KEYBOARD*  
*keyedDataPresence* :: *PRESENCE*

**abbreviation** *Keyboard* :: *Keyboard* upred **where** *Keyboard*  $\equiv$  true

### 3.3 System Statistics

**alphabet** *Stats* =  
*successEntry* :: nat  
*failEntry* :: nat  
*successBio* :: nat  
*failBio* :: nat

**abbreviation** *Stats* :: *Stats* upred **where** *Stats*  $\equiv$  true

### 3.4 Key Store

**alphabet** *KeyStore* =  
*issuerKey* :: *USER*  $\leftrightarrow$  *KEYPART*  
*ownName* :: *USER* option

**definition** *KeyStore* :: *KeyStore* upred **where**

[upred-defs, tis-defs]:

*KeyStore* =  
 $U(\text{issuerKey} \in \ll \text{ISSUER} \rightarrow_r \text{KEYPART} \gg \wedge$   
 $\text{udom}(\text{issuerKey}) \subseteq \ll \text{ISSUER} \gg \wedge$   
 $(\text{ownName} \neq \ll \text{None} \gg \Rightarrow \text{the}(\text{ownName}) \in \text{udom}(\text{issuerKey})))$

**definition** *CertIssuerKnown* :: 'a *Certificate-scheme*  $\Rightarrow$  *KeyStore* upred **where**

[upred-defs, tis-defs]:

*CertIssuerKnown* *c* =  
 $U(\text{KeyStore} \wedge$   
 $(\ll c \in \text{Certificate} \gg \wedge$   
 $\ll \text{issuer } (\text{cid } c) \gg \in \text{udom}(\text{issuerKey})))$

**declare** [[*coercion rel-apply*]]

**term**  $U(\text{issuerKey}(x))$

**definition** *CertOK* :: 'a *Certificate-scheme*  $\Rightarrow$  *KeyStore* upred **where**

[upred-defs, tis-defs]:

*CertOK* *c* =  
 $(\text{CertIssuerKnown } c \wedge$   
 $U(\text{Some}(\text{issuerKey}(\ll \text{issuer } (\text{cid } c) \gg)) = \ll \text{isValidatedBy } c \gg))$

**definition** *CertIssuerIsThisTIS* :: 'a Certificate-scheme  $\Rightarrow$  KeyStore upred **where**  
[upred-defs, tis-defs]:

*CertIssuerIsThisTIS* *c* =  
(*KeyStore*  $\wedge$   
 $U(\ll c \in \text{Certificate} \gg \wedge$   
 $(\text{ownName} \neq \ll \text{None} \gg \wedge$   
 $\ll \text{issuer } (\text{cid } c) \gg = \text{the}(\text{ownName})))))$

**definition** *AuthCertOK* :: 'a Certificate-scheme  $\Rightarrow$  KeyStore upred **where**  
[upred-defs, tis-defs]: *AuthCertOK* *c* = (*CertIssuerIsThisTIS* *c*  $\wedge$  *CertOK* *c*)

**definition** *oldestLogTime* :: Audit set  $\Rightarrow$  TIME **where**  
[upred-defs, tis-defs]:

*oldestLogTime* *lg* = (*Min* (*auditTime* ' *lg*))

**definition** *newestLogTime* :: Audit set  $\Rightarrow$  TIME **where**  
[upred-defs, tis-defs]:

*newestLogTime* *lg* = (*Max* (*auditTime* ' *lg*))

**lemma** *newestLogTime-union*:  $\ll \text{finite } A; A \neq \{\}; \text{finite } B; B \neq \{\} \gg \implies \text{newestLogTime } (A \cup B) \geq \text{newestLogTime } A$   
**by** (*simp add: newestLogTime-def*)

**lemma** *oldestLogTime-union*:  $\ll \text{finite } A; A \neq \{\}; \text{finite } B; B \neq \{\} \gg \implies \text{oldestLogTime } (A \cup B) \leq \text{oldestLogTime } A$   
**by** (*simp add: oldestLogTime-def*)

### 3.5 Administration

**alphabet** *Admin* =  
*rolePresent* :: PRIVILEGE option  
*availableOps* :: ADMINOP set  
*currentAdminOp* :: ADMINOP option

**definition** *Admin* :: Admin upred **where**  
[upred-defs, tis-defs]:

*Admin* =  
 $U((\text{rolePresent} \neq \ll \text{None} \gg \Rightarrow \text{the}(\text{rolePresent}) \in \ll \text{ADMINPRIVILEGE} \gg) \wedge$   
 $(\text{rolePresent} = \ll \text{None} \gg \Rightarrow \text{availableOps} = \{\}) \wedge$   
 $(\text{rolePresent} = \ll \text{Some guard} \gg \Rightarrow \text{availableOps} = \{\ll \text{overrideLock} \gg\}) \wedge$   
 $(\text{rolePresent} = \ll \text{Some auditManager} \gg \Rightarrow \text{availableOps} = \{\ll \text{archiveLog} \gg\}) \wedge$   
 $(\text{rolePresent} = \ll \text{Some securityOfficer} \gg$   
 $\Rightarrow \text{availableOps} = \{\ll \text{updateConfigData} \gg, \ll \text{shutdownOp} \gg\}) \wedge$   
 $(\text{currentAdminOp} \neq \ll \text{None} \gg \Rightarrow$   
 $\text{the}(\text{currentAdminOp}) \in \text{availableOps} \wedge \text{rolePresent} \neq \ll \text{None} \gg)$   
 $)$

### 3.6 AuditLog (2)

**alphabet** *AuditLog* =

*auditLog* :: *Audit set*  
*auditAlarm* :: *ALARM*

**abbreviation** *AuditLog* :: *AuditLog upred* **where**  
*AuditLog*  $\equiv$  *true*

### 3.6.1 Real World Types and Entities (3)

**datatype** *SCREENTEXT* = *clear* | *welcomeAdmin* | *busy* | *removeAdminToken*  
| *closeDoor* |  
*requestAdminOp* | *doingOp* | *invalidRequest* | *invalidData* |  
*insertEnrolmentData* | *validatingEnrolmentData* | *enrolmentFailed* |  
*archiveFailed* | *insertBlankFloppy* | *insertConfigData* |  
*displayStats Stats* | *displayConfigData Config*

**alphabet** *Screen* =  
*screenStats* :: *SCREENTEXT*  
*screenMsg* :: *SCREENTEXT*  
*screenConfig* :: *SCREENTEXT*

**datatype** *TOKENENTRY* = *noT* | *badT* | *goodT* (*ofGoodT*: *Token*)

**alphabet** *UserToken* =  
*currentUserToken* :: *TOKENENTRY*  
*userTokenPresence* :: *PRESENCE*

**definition** *UserToken* :: *UserToken upred* **where**  
[*upred-defs*, *tis-defs*]:  
*UserToken* =  $U((\exists t. \text{currentUserToken} = \text{goodT}(\ll t \gg)) \Rightarrow \text{ofGoodT}(\text{currentUserToken}))$   
 $\in \ll \text{Token} \gg$ )

**alphabet** *AdminToken* =  
*currentAdminToken* :: *TOKENENTRY*  
*adminTokenPresence* :: *PRESENCE*

**definition** *AdminToken* :: *AdminToken upred* **where**  
[*upred-defs*, *tis-defs*]:  
*AdminToken* =  $U((\exists t. \text{currentAdminToken} = \text{goodT}(\ll t \gg)) \Rightarrow \text{ofGoodT}(\text{currentAdminToken}))$   
 $\in \ll \text{Token} \gg$ )

## 3.7 Internal State

**datatype** *STATUS* = *quiescent* | *gotUserToken* | *waitingFinger* | *gotFinger* | *waitingUpdateToken* |  
*waitingEntry* | *waitingRemoveTokenSuccess* | *waitingRemoveTokenFail*

**datatype** *ENCLAVESTATUS* = *notEnrolled* | *waitingEnrol* | *waitingEndEnrol* |  
*enclaveQuiescent* |  
*gotAdminToken* | *waitingRemoveAdminTokenFail* | *waitingStartAdminOp* | *waitingFinishAdminOp* |

*shutdown*

```

alphabet Internal =
  status :: STATUS
  enclaveStatus :: ENCLAVESTATUS
  tokenRemovalTimeout :: TIME

```

**definition** *Internal* :: *Internal upred* **where**  
 $[upred-defs, tis-defs]:$   
*Internal* = true

### 3.8 The Whole Token ID Station

```

alphabet IDStation =
    iuserToken :: UserToken
    iadminToken :: AdminToken
    ifinger :: Finger
    doorLatchAlarm :: DoorLatchAlarm
    ifloppy :: Floppy
    ikkeyboard :: Keyboard
    config :: Config
    stats :: Stats
    keyStore :: KeyStore
    admin :: Admin
    audit :: AuditLog
    internal :: Internal
    currentDisplay :: DISPLAYMESSAGE
    currentScreen :: Screen

```

**definition** *UserTokenWithOKAuthCert* :: *IDStation upred* **where**  
*[upred-defs, tis-defs]*:  
*UserTokenWithOKAuthCert* =  
 (&iuserToken:currentUserToken ∈<sub>u</sub> «range(goodT)» ∧  
 (∃ t ∈ «TokenWithValidAuth» ·  
 («goodT(t)» =<sub>u</sub> &iuserToken:currentUserToken  
 ∧ (∃ c ∈ «IDCert» · «c = idCert t» ∧ CertOK c) ⊕<sub>p</sub> keyStore  
 ∧ (∃ c ∈ «AuthCert» · «c = the (authCert t)» ∧ AuthCertOK c) ⊕<sub>p</sub>  
 keyStore))  
 )

**definition** *UserTokenOK* :: *IDStation upred* **where**  
 $\text{[upred-defs, tis-defs]:}$   
 $\text{UserTokenOK} =$   
 $(\&iuserToken:currentUserToken \in_u \ll range(goodT) \gg \wedge$   
 $(\exists t \cdot$   
 $(\ll goodT(t) \gg =_u \&iuserToken:currentUserToken$   
 $\wedge \ll t \in CurrentToken \text{ ti} \gg \ll ti \rightarrow \&doorLatchAlarm:currentTime \gg$

$$\begin{aligned}
& \wedge (\exists c \in \ll IDCert \gg \cdot \ll c = idCert\ t \gg \wedge CertOK\ c) \oplus_p keyStore \\
& \wedge (\exists c \in \ll PrivCert \gg \cdot \ll c = privCert\ t \gg \wedge CertOK\ c) \oplus_p keyStore \\
& \wedge (\exists c \in \ll IandACert \gg \cdot \ll c = iandACert\ t \gg \wedge CertOK\ c) \oplus_p keyStore)) \\
& )
\end{aligned}$$

**definition** *AdminTokenOK* :: *IDStation upred where*

[*upred-defs*, *tis-defs*]:

*AdminTokenOK* =  
 $(\&iadminToken:currentAdminToken \in_u \ll range(goodT) \gg \wedge$   
 $(\exists t \in \ll TokenWithValidAuth \gg \cdot$   
 $(\ll goodT(t) \gg =_u \&iadminToken:currentAdminToken$   
 $\wedge \ll t \in CurrentToken\ ti \gg \ll ti \rightarrow \&doorLatchAlarm:currentTime \gg$   
 $\wedge (\exists c \in \ll IDCert \gg \cdot \ll c = idCert\ t \gg \wedge CertOK\ c) \oplus_p keyStore$   
 $\wedge (\exists c \in \ll AuthCert \gg \cdot \ll Some\ c = authCert\ t \gg \wedge AuthCertOK\ c$   
 $\wedge \ll role\ c \in ADMINPRIVILEGE \gg) \oplus_p keyStore$   
 $))$   
 $)$

**definition** *FingerOK* :: *IDStation upred where*

[*upred-defs*, *tis-defs*]:

*FingerOK* = (  
 $Finger \oplus_p ifinger \wedge$   
 $UserToken \oplus_p iuserToken \wedge$   
 $\&ifinger:currentFinger \in_u \ll range(goodFP) \gg)$

**definition** *IDStation-inv1* :: *IDStation upred where*

[*upred-defs*, *tis-defs*]:

*IDStation-inv1* =  
 $U(\&internal:status \in$   
 $\{\ll gotFinger \gg, \ll waitingFinger \gg, \ll waitingUpdateToken \gg, \ll waitingEntry \gg, \ll wait-$   
 $ingRemoveTokenSuccess \gg\}$   
 $\Rightarrow (@UserTokenWithOKAuthCert \vee @UserTokenOK))$

**definition** *IDStation-inv2* :: *IDStation upred where*

[*upred-defs*, *tis-defs*]:

*IDStation-inv2* =  
 $U(\&admin:rolePresent \neq \ll None \gg \Rightarrow @AdminTokenOK)$

**definition** *IDStation-inv3* :: *IDStation upred where*

[*upred-defs*, *tis-defs*]:

*IDStation-inv3* =  
 $U(\&internal:enclaveStatus \notin \{\ll notEnrolled \gg, \ll waitingEnrol \gg, \ll waitingEndEn-$   
 $rol \gg\} \Rightarrow$   
 $\&keyStore:ownName \neq \ll None \gg)$

**definition** *IDStation-inv4* :: *IDStation upred where*

[*upred-defs*, *tis-defs*]:

*IDStation-inv4* =  
 $U(\&internal:enclaveStatus \in \{\ll waitingStartAdminOp \gg, \ll waitingFinishAdminOp \gg\})$

$$\Leftrightarrow \&admin:currentAdminOp \neq \ll None \gg)$$

**definition** *IDStation-inv5* :: *IDStation upred where*

$$\begin{aligned} &[upred-defs, tis-defs]: \\ &IDStation-inv5 = \\ &U(\&admin:currentAdminOp \neq \ll None \gg \wedge the(\&admin:currentAdminOp) \in \\ &\{\ll shutdownOp \gg, \ll overrideLock \gg\} \\ &\Rightarrow \&internal:enclaveStatus = \ll waitingStartAdminOp \gg) \end{aligned}$$

**definition** *IDStation-inv6* :: *IDStation upred where*

$$\begin{aligned} &[upred-defs, tis-defs]: \\ &IDStation-inv6 = U(\&internal:enclaveStatus = \ll gotAdminToken \gg \Rightarrow \&admin:rolePresent \\ &= \ll None \gg) \end{aligned}$$

**definition** *IDStation-inv7* :: *IDStation upred where*

$$\begin{aligned} &[upred-defs, tis-defs]: \\ &IDStation-inv7 = U(\&currentScreen:screenStats = displayStats stats) \end{aligned}$$

**definition** *IDStation-inv8* :: *IDStation upred where*

$$\begin{aligned} &[upred-defs, tis-defs]: \\ &IDStation-inv8 = U(\&currentScreen:screenConfig = displayConfigData config) \end{aligned}$$

Extra Invariant (1):

**definition** *IDStation-inv9* :: *IDStation upred where*

$$\begin{aligned} &[upred-defs, tis-defs]: \\ &IDStation-inv9 = \\ &U(\&internal:status \in \\ &\{\ll waitingEntry \gg, \ll waitingRemoveTokenSuccess \gg\} \\ &\Rightarrow (@UserTokenWithOKAuthCert \vee @FingerOK)) \end{aligned}$$

Extra Invariant (2): If an admin token is present, and a role has been validated then the role matches the one present on the authorisation certificate.

**definition** *IDStation-inv10* :: *IDStation upred where*

$$\begin{aligned} &[upred-defs, tis-defs]: \\ &IDStation-inv10 = \\ &U(\&iadminToken:adminTokenPresence = \ll present \gg \wedge \&admin:rolePresent \neq \\ &\ll None \gg \\ &\Rightarrow \&admin:rolePresent = Some(role(the(authCert(ofGoodT(\&iadminToken:currentAdminToken)))))) \end{aligned}$$

**definition**

$$\begin{aligned} &[upred-defs, tis-defs]: \\ &IDStation-wf = \\ &(DoorLatchAlarm \oplus_p doorLatchAlarm \wedge \\ &Floppy \oplus_p ifloppy \wedge \\ &KeyStore \oplus_p keyStore \wedge \\ &Admin \oplus_p admin \wedge \\ &Config \oplus_p config \wedge \\ &AdminToken \oplus_p iadminToken \wedge \\ &UserToken \oplus_p iuserToken) \end{aligned}$$

**definition**

[*upred-defs*, *tis-defs*]:

$IDStation-inv = ($   
 $IDStation-inv1 \wedge$   
 $IDStation-inv2 \wedge$   
 $IDStation-inv3 \wedge$   
 $IDStation-inv4 \wedge$   
 $IDStation-inv5 \wedge$   
 $IDStation-inv6 \wedge$   
 $IDStation-inv7 \wedge$   
 $IDStation-inv8 \wedge$   
 $IDStation-inv9 \wedge$   
 $IDStation-inv10)$

**definition**  $IDStation :: IDStation$  *upred* **where**

[*upred-defs*, *tis-defs*]:

$IDStation =$   
 $($   
 $IDStation-wf \wedge$   
 $IDStation-inv$   
 $)$

**lemma**  $IDStation-correct-intro$ :

**assumes**  $\{DoorLatchAlarm \oplus_p doorLatchAlarm \wedge Floppy \oplus_p ifloppy \wedge KeyStore$   
 $\oplus_p keyStore \wedge Admin \oplus_p admin \wedge$   
 $Config \oplus_p config \wedge AdminToken \oplus_p iadminToken \wedge UserToken \oplus_p$   
 $iuserToken\}$   
 $P$   
 $\{DoorLatchAlarm \oplus_p doorLatchAlarm \wedge Floppy \oplus_p ifloppy \wedge KeyStore$   
 $\oplus_p keyStore \wedge Admin \oplus_p admin \wedge$   
 $Config \oplus_p config \wedge AdminToken \oplus_p iadminToken \wedge UserToken \oplus_p$   
 $iuserToken\}$   
 $\{IDStation-inv\} P \{IDStation-inv\}$   
**shows**  $\{IDStation\} P \{IDStation\}$   
**using** *assms*

**proof** –

**have**  $f1$ :  $(IDStation-inv \wedge DoorLatchAlarm \oplus_p doorLatchAlarm \wedge Floppy \oplus_p$   
 $ifloppy \wedge KeyStore \oplus_p keyStore \wedge Admin \oplus_p admin \wedge Config \oplus_p config \wedge Ad-$   
 $minToken \oplus_p iadminToken \wedge UserToken \oplus_p iuserToken) = IDStation$

**by** (*simp add: IDStation-def IDStation-wf-def utp-pred-laws.inf-commute utp-pred-laws.inf-left-commute*)

**then have**  $f2$ :  $\{IDStation\} P \{DoorLatchAlarm \oplus_p doorLatchAlarm \wedge Floppy$   
 $\oplus_p ifloppy \wedge KeyStore \oplus_p keyStore \wedge Admin \oplus_p admin \wedge Config \oplus_p config \wedge$   
 $AdminToken \oplus_p iadminToken \wedge UserToken \oplus_p iuserToken\}$

**by** (*metis (no-types) assms(1) hoare-r-weaken-pre(2)*)

**have**  $\{IDStation\} P \{IDStation-inv\}$

**using**  $f1$  **by** (*metis (no-types) assms(2) hoare-r-weaken-pre(2) utp-pred-laws.inf-commute*)

**then show** *?thesis*

**using**  $f2 f1$

using *hoare-r-conj* by *fastforce*  
qed

**lemma** *IDStation-inv-intro*:

assumes

$\{IDStation-inv1\} P \{IDStation-inv1\}$   
 $\{IDStation-inv2\} P \{IDStation-inv2\}$   
 $\{IDStation-inv3\} P \{IDStation-inv3\}$   
 $\{IDStation-inv4\} P \{IDStation-inv4\}$   
 $\{IDStation-inv5\} P \{IDStation-inv5\}$   
 $\{IDStation-inv6\} P \{IDStation-inv6\}$   
 $\{IDStation-inv7\} P \{IDStation-inv7\}$   
 $\{IDStation-inv8\} P \{IDStation-inv8\}$   
 $\{IDStation-inv9\} P \{IDStation-inv9\}$   
 $\{IDStation-inv10\} P \{IDStation-inv10\}$

shows  $\{IDStation-inv\} P \{IDStation-inv\}$

by (*simp add: IDStation-inv-def* *assms hoare-r-conj hoare-r-weaken-pre(1) hoare-r-weaken-pre(2)*)

## 4 Operations Interfacing to the ID Station (1)

**alphabet** *TISControlledRealWorld* =

*latch* :: *LATCH*  
*alarm* :: *ALARM*  
*display* :: *DISPLAYMESSAGE*  
*screen* :: *Screen*

**abbreviation** *TISControlledRealWorld* :: *TISControlledRealWorld upred* **where**  
*TISControlledRealWorld*  $\equiv$  *true*

**alphabet** *TISMonitoredRealWorld* =

*now* :: *TIME*  
*door* :: *DOOR*  
*finger* :: *FINGERPRINTTRY*  
*userToken* :: *TOKENENTRY*  
*adminToken* :: *TOKENENTRY*  
*floppy* :: *FLOPPY*  
*keyboard* :: *KEYBOARD*

**alphabet** *RealWorld* =

*controlled* :: *TISControlledRealWorld*  
*monitored* :: *TISMonitoredRealWorld*

**definition** *RealWorld* :: *RealWorld upred* **where**

[*upred-defs*, *tis-defs*]:

*RealWorld* = *true*



## 4.1 Real World Changes

We permit any part of the real-world to change without constraint, except time must monotonically increase.

**definition** *RealWorldChanges* :: *RealWorld* hrel **where**

[*upred-defs*, *tis-defs*]:

*RealWorldChanges* =

( $\bigvee t \cdot$  *monitored:now* := &*monitored:now* +  $\ll t \gg$  ;;  
     *monitored:door* := \* ;; *monitored:finger* := \* ;;  
     *monitored:userToken* := \* ;; *monitored:adminToken* := \* ;;  
     *monitored:floppy* := \* ;; *monitored:keyboard* := \* ;;  
     *controlled:latch* := \* ;; *controlled:alarm* := \* ;;  
     *controlled:display* := \* ;; *controlled:screen* := \* )

**lemma** *RealWorldChanges-original*: *RealWorldChanges* = ( $\$monitored:now' \geq_u \$monitored:now$ )

**by** (*rel-auto*, *simp add: nat-le-iff-add*)

**lemma** *pre-RealWorldChanges*: *Pre(RealWorldChanges)* = *true*

**by** (*rel-auto*)

**alphabet** *SystemState* =

*tis* :: *IDStation*

*realWorld* :: *RealWorld*

## 5 Internal Operations

The Z-Schema for *AddElementsToLog* seems to allow, in some cases, the audit log to grow beyond its maximum size. As a I understand, it can nondeterministically chose to extend the log anyway, or else remove old log entries.

**definition** *AddElementsToLog* :: *IDStation* hrel **where**

[*upred-defs*, *tis-defs*]:

*AddElementsToLog* =

( $\bigwedge$  *newElements* :: *Audit set* ·  
     ? $\ll finite(newElements) \gg$   
      $\wedge \ll newElements \neq \{\} \gg$   
      $\wedge \ll oldestLogTime newElements \gg \geq newestLogTime \ \& \ audit:auditLog$  ;;  
     ((*audit:auditLog* := &*audit:auditLog*  $\cup \ll newElements \gg$  ;;  
       if (*sizeLog* &*audit:auditLog* < &*config:alarmThresholdSize*)  
         then *II*  
         else *audit:auditAlarm* := *alarming*  
       fi)  
      $\square$   
     (?[*sizeLog* &*audit:auditLog* +  $\ll sizeLog newElements \gg$  > &*config:minPreservedLogSize*]  
 ;;  
     ( $\bigwedge$  *oldElements* :: *Audit set* ·

```

    ?[«oldElements» ⊆ &audit:auditLog
      ∧ oldestLogTime &audit:auditLog ≥ «oldestLogTime oldElements»] ;;
    audit:auditLog := (&audit:auditLog - «oldElements») ∪ «newElements» ;;
    ?[sizeLog &audit:auditLog ≥ &config:minPreservedLogSize] ;;
    audit:auditAlarm := alarming
  )
)
)
)

```

I believe this achieves the same effect as the Z-Schema – add some elements to the log (audit elements presumably) and choose some continuous subset of the result log for archiving.

**definition** *ArchiveLog* :: *Audit set* ⇒ *IDStation hrel* **where** *[upred-defs, tis-defs]*:

*ArchiveLog archive* =

```

  AddElementsToLog ;;
  (⊓ (notArchived :: Audit set) ·
    ?[«archive» ⊆ &audit:auditLog
      ∧ «newestLogTime archive» ≤ newestLogTime (&audit:auditLog - «archive»)]
  )

```

**definition** *ClearLog* :: *Audit set* ⇒ *IDStation hrel* **where** *[upred-defs, tis-defs]*:

*ClearLog archive* =

```

  (⊓ (sinceArchive :: Audit set, lostSinceArchive :: Audit set) ·
    ?[«archive ∪ sinceArchive» = «lostSinceArchive» ∪ &audit:auditLog
      ∧ «oldestLogTime sinceArchive» ≥ «newestLogTime archive»
      ∧ «newestLogTime sinceArchive» ≤ oldestLogTime &audit:auditLog] ;;
    audit:auditLog := «sinceArchive») ;;
  if (sizeLog &audit:auditLog < &config:alarmThresholdSize)
    then audit:auditAlarm := silent
    else audit:auditAlarm := alarming
  fi

```

**abbreviation** *ClearLogThenAddElements archive* ≡ *ClearLog archive* ;; *AddElementsToLog*

**definition** *AuditAlarm* :: *IDStation hrel* **where** *[upred-defs, tis-defs]*: *AuditAlarm* = *true*

**definition** *AuditLatch* :: *IDStation hrel* **where** *[upred-defs, tis-defs]*: *AuditLatch* = *true*

**definition** *AuditDoor* :: *IDStation hrel* **where** *[upred-defs, tis-defs]*: *AuditDoor* = *true*

**definition** *AuditLogAlarm* :: *IDStation hrel* **where** *[upred-defs, tis-defs]*: *AuditLogAlarm* = *true*

**definition** *AuditScreen* :: *IDStation hrel* **where** *[upred-defs, tis-defs]*: *AuditScreen* = *true*

**definition** *AuditDisplay* :: *IDStation hrel* **where** *[upred-defs, tis-defs]*: *AuditDisplay* = *true*

**definition** *NoChange* :: *IDStation hrel* **where** [*upred-defs*, *tis-defs*]: *NoChange* = *true*

**definition** *LogChange* :: *IDStation hrel* **where** [*upred-defs*, *tis-defs*]:  
*LogChange* = (*AuditAlarm*  $\vee$  *AuditLatch*  $\vee$  *AuditDoor*  $\vee$  *AuditLogAlarm*  $\vee$  *AuditScreen*  $\vee$  *AuditDisplay*  $\vee$  *NoChange*)

## 5.1 Updating System Statistics

**definition** *AddSuccessfulEntryToStats* :: *Stats hrel* **where** [*upred-defs*, *tis-defs*]:

*AddSuccessfulEntryToStats* =  
 $(\Delta[\text{Stats}] \wedge$   
 $\text{\$failEntry}' =_u \text{\$failEntry} \wedge$   
 $\text{\$successEntry}' =_u \text{\$successEntry} + 1 \wedge$   
 $\text{\$failBio}' =_u \text{\$failBio} \wedge$   
 $\text{\$successBio}' =_u \text{\$successBio})$

**lemma** *AddSuccessfulEntryToStats-prog-def*:

*AddSuccessfulEntryToStats* = (*successEntry* := *successEntry* + 1)  
**by** (*rel-auto*)

**definition** *AddFailedEntryToStats* :: *Stats hrel* **where**

[*upred-defs*, *tis-defs*]:  
*AddFailedEntryToStats* =  
 $(\Delta[\text{Stats}] \wedge$   
 $\text{\$failEntry}' =_u \text{\$failEntry} + 1 \wedge$   
 $\text{\$successEntry}' =_u \text{\$successEntry} \wedge$   
 $\text{\$failBio}' =_u \text{\$failBio} \wedge$   
 $\text{\$successBio}' =_u \text{\$successBio})$

**lemma** *AddFailedEntryToStats-prog-def*:

*AddFailedEntryToStats* = (*failEntry* := *failEntry* + 1)  
**by** (*rel-auto*)

**definition** *AddSuccessfulBioEntryToStats* :: *Stats hrel* **where**

[*upred-defs*, *tis-defs*]:  
*AddSuccessfulBioEntryToStats* =  
 $(\Delta[\text{Stats}] \wedge$   
 $\text{\$failEntry}' =_u \text{\$failEntry} \wedge$   
 $\text{\$successEntry}' =_u \text{\$successEntry} \wedge$   
 $\text{\$failBio}' =_u \text{\$failBio} \wedge$   
 $\text{\$successBio}' =_u \text{\$successBio} + 1)$

**lemma** *AddSuccessfulBioEntryToStats-prog-def*:

*AddSuccessfulBioEntryToStats* = (*successBio* := *successBio* + 1)  
**by** (*rel-auto*)

**definition** *AddFailedBioEntryToStats* :: *Stats hrel* **where**  
 [upred-defs, tis-defs]:  
*AddFailedBioEntryToStats* =  
 ( $\Delta[Stats]$   $\wedge$   
 $\$failEntry' =_u \$failEntry \wedge$   
 $\$successEntry' =_u \$successEntry \wedge$   
 $\$failBio' =_u \$failBio + 1 \wedge$   
 $\$successBio' =_u \$successBio$ )

**lemma** *AddFailedBioEntryToStats-prog-def*:  
*AddFailedBioEntryToStats* = (*failBio* := *failBio* + 1)  
**by** (*rel-auto*)

## 5.2 Operating the Door

**definition** *UnlockDoor* :: *IDStation hrel* **where**  
 [upred-defs, tis-defs]:  
*UnlockDoor* =  
*doorLatchAlarm*:*latchTimeout* := &*doorLatchAlarm*:*currentTime* + &*config*:*latchUnlockDuration*  
 ;;  
*doorLatchAlarm*:*alarmTimeout* := &*doorLatchAlarm*:*currentTime* + &*config*:*latchUnlockDuration*  
 + &*config*:*alarmSilentDuration* ;;  
*doorLatchAlarm*:*currentLatch* := «unlocked» ;;  
*doorLatchAlarm*:*doorAlarm* := «silent»

**lemma** *UnlockDoor-correct*:  
 {*IDStation*} *UnlockDoor*{*IDStation*}  
**apply** (*rule IDStation-correct-intro*)  
**apply** (*simp-all add: tis-defs*)  
**apply** (*hoare-auto*)  
**apply** (*hoare-auto*)  
**done**

**definition** *LockDoor* :: *IDStation hrel* **where**  
 [upred-defs, tis-defs]:  
*LockDoor* =  
*doorLatchAlarm*:*latchTimeout* := &*doorLatchAlarm*:*currentTime* ;;  
*doorLatchAlarm*:*alarmTimeout* := &*doorLatchAlarm*:*currentTime* ;;  
*doorLatchAlarm*:*currentLatch* := «locked» ;;  
*doorLatchAlarm*:*doorAlarm* := «silent»

## 5.3 Certificate Operations

### 5.3.1 Generating Authorisation Certificates

**definition** *NewAuthCert* :: -  $\Rightarrow$  -  $\Rightarrow$  *TIME*  $\Rightarrow$  *IDStation upred* **where**  
 [upred-defs, tis-defs]:  
*NewAuthCert* *token newAuthCert curTime* = (  
 «*token*  $\in$  *ValidToken*»  $\wedge$   
*KeyStore*  $\oplus_p$  *keyStore*  $\wedge$

$Config \oplus_p config \wedge$   
 $\&keyStore:ownName \neq_u None_u \wedge$   
 $\llbracket issuer\ (cid\ newAuthCert) \rrbracket =_u the_u(\&keyStore:ownName) \wedge$   
 $\llbracket validityPeriod\ newAuthCert \rrbracket =_u \&config:authPeriod(\llbracket role\ (privCert\ token) \rrbracket)_a(\llbracket curTime \rrbracket)_a \wedge$   
 $\llbracket baseCertId\ newAuthCert = cid\ (idCert\ token) \rrbracket \wedge$   
 $\llbracket atokenID\ newAuthCert = tokenID\ token \rrbracket \wedge$   
 $\llbracket role\ newAuthCert = role\ (privCert\ token) \rrbracket \wedge$   
 $\llbracket clearance\ newAuthCert \rrbracket =_u \llbracket minClearance \rrbracket(\&config:enclaveClearance, \llbracket clearance\ (privCert\ token) \rrbracket)_a \wedge$   
 $\llbracket isValidatedBy\ newAuthCert \rrbracket =_u Some_u(\&keyStore:issuerKey(the_u(\&keyStore:ownName)))_a$   
 $)$

### 5.3.2 Adding Authorisation Certificates to User Token

**definition** *AddAuthCertToUserToken* :: *IDStation hrel* **where**  
 $[upred-defs, tis-defs]:$   
 $AddAuthCertToUserToken =$   
 $(\prod t \cdot \prod newAuthCert \cdot$   
 $\&iuserToken:userTokenPresence = \llbracket present \rrbracket \wedge$   
 $\llbracket goodT(t) \rrbracket = \&iuserToken:currentUserToken \wedge$   
 $\llbracket t \in ValidToken \rrbracket \wedge$   
 $\textcircled{\text{}}(NewAuthCert\ t\ newAuthCert\ curTime[\llbracket curTime \rightarrow \&doorLatchAlarm:currentTime \rrbracket])$   
 $) \longrightarrow_r iuserToken:currentUserToken := \llbracket goodT(t \mid authCert := Some(newAuthCert)) \rrbracket \gg)$

## 6 Operations Interfacing to the ID Station (2)

### 6.1 Obtaining inputs from the real world

#### 6.1.1 Polling the Real World

**definition** *PollTime* :: *SystemState hrel* **where**  
 $[upred-defs]:$   
 $PollTime =$   
 $(\Delta[tis:doorLatchAlarm, DoorLatchAlarm] \wedge$   
 $\$tis:doorLatchAlarm:currentTime' =_u \$realWorld:monitored:now)$

**definition** *PollDoor* :: *SystemState hrel* **where**  
 $[upred-defs]:$   
 $PollDoor =$   
 $(\Delta[tis:doorLatchAlarm, DoorLatchAlarm] \wedge$   
 $\$tis:doorLatchAlarm:currentDoor' =_u \$realWorld:monitored:door \wedge$   
 $\$tis:doorLatchAlarm:latchTimeout' =_u \$tis:doorLatchAlarm:latchTimeout \wedge$   
 $\$tis:doorLatchAlarm:alarmTimeout' =_u \$tis:doorLatchAlarm:alarmTimeout)$

**definition** *PollUserToken* :: *SystemState hrel* **where**  
 $[upred-defs]:$   
 $PollUserToken =$

$(\Delta[tis:iuserToken, UserToken] \wedge$   
 $\$tis:iuserToken:userTokenPresence' =_u \llbracket present \rrbracket \Leftrightarrow \$realWorld:monitored:userToken$   
 $\neq_u \llbracket noT \rrbracket \wedge$   
 $\$tis:iuserToken:currentUserToken' =_u$   
 $(\$realWorld:monitored:userToken \triangleleft \$realWorld:monitored:userToken \neq_u \llbracket noT \rrbracket \triangleright$   
 $\$tis:iuserToken:currentUserToken))$

**definition** *PollAdminToken* :: *SystemState* hrel **where**  
 $[upred-defs]:$   
 $PollAdminToken =$   
 $(\Delta[tis:iadminToken, AdminToken] \wedge$   
 $\$tis:iadminToken:adminTokenPresence' =_u \llbracket present \rrbracket \Leftrightarrow \$realWorld:monitored:adminToken$   
 $\neq_u \llbracket noT \rrbracket \wedge$   
 $\$tis:iadminToken:currentAdminToken' =_u$   
 $(\$realWorld:monitored:adminToken \triangleleft \$realWorld:monitored:adminToken \neq_u$   
 $\llbracket noT \rrbracket \triangleright \$tis:iadminToken:currentAdminToken))$

**definition** *PollFinger* :: *SystemState* hrel **where**  
 $[upred-defs]:$   
 $PollFinger =$   
 $(\Delta[tis:ifinger, Finger] \wedge$   
 $\$tis:ifinger:fingerPresence' =_u \llbracket present \rrbracket \Leftrightarrow \$realWorld:monitored:finger \neq_u$   
 $\llbracket noFP \rrbracket \wedge$   
 $\$tis:ifinger:currentFinger' =_u$   
 $(\$realWorld:monitored:finger \triangleleft \$realWorld:monitored:finger \neq_u \llbracket noFP \rrbracket \triangleright$   
 $\$tis:ifinger:currentFinger))$

**definition** *PollFloppy* :: *SystemState* hrel **where**  
 $[upred-defs]:$   
 $PollFloppy =$   
 $(\Delta[tis:ifloppy, Floppy] \wedge$   
 $\$tis:ifloppy:floppyPresence' =_u \llbracket present \rrbracket \Leftrightarrow \$realWorld:monitored:floppy \neq_u$   
 $\llbracket noFloppy \rrbracket \wedge$   
 $\$tis:ifloppy:currentFloppy' =_u$   
 $(\$realWorld:monitored:floppy \triangleleft \$realWorld:monitored:floppy \neq_u \llbracket noFloppy \rrbracket \triangleright$   
 $\$tis:ifloppy:currentFloppy) \wedge$   
 $\$tis:ifloppy:writtenFloppy' =_u \$tis:ifloppy:writtenFloppy$   
 $)$

**definition** *PollKeyboard* :: *SystemState* hrel **where**  
 $[upred-defs]:$   
 $PollKeyboard =$   
 $(\Delta[tis:ikeyboard, Keyboard] \wedge$   
 $\$tis:ikeyboard:keyedDataPresence' =_u \llbracket present \rrbracket \Leftrightarrow \$realWorld:monitored:keyboard$   
 $\neq_u \llbracket noKB \rrbracket \wedge$   
 $\$tis:ikeyboard:currentKeyedData' =_u$   
 $(\$realWorld:monitored:keyboard \triangleleft \$realWorld:monitored:keyboard \neq_u \llbracket noKB \rrbracket \triangleright$   
 $\$tis:ikeyboard:currentKeyedData))$

**definition** *TISPoll* :: *SystemState* hrel **where**

[*tis-defs*, *upred-defs*]:

*TISPoll* =

```

(— PollTime
  tis:doorLatchAlarm:currentTime := &realWorld:monitored:now ;;
  — The following behaviour locks the door after a timeout and activates the
  alarm when necessary. This behaviour is implicit in the Z specification through the
  DoorLatchAlarm schema invariants.
  tis:doorLatchAlarm:[
    if (currentTime ≥ latchTimeout) then currentLatch := locked else currentLatch
:= unlocked fi ;;
    if (currentDoor = «dopen»
      ∧ currentLatch = «locked» ∧ currentTime ≥ alarmTimeout) then doorAlarm
:= alariming else doorAlarm := silent fi
  ]+ ;;
— PollDoor
tis:doorLatchAlarm:currentDoor := &realWorld:monitored:door ;;
— PollUserToken
tis:iuserToken:userTokenPresence :=
  («absent» ◁ (&realWorld:monitored:userToken = «noT») ▷ «absent») ;;
tis:iuserToken:currentUserToken :=
  (&tis:iuserToken:currentUserToken
    ◁ (&realWorld:monitored:userToken = «noT») ▷
    &realWorld:monitored:userToken) ;;
— PollAdminToken
tis:iadminToken:adminTokenPresence :=
  («absent» ◁ (&realWorld:monitored:adminToken = «noT») ▷ «absent») ;;
tis:iadminToken:currentAdminToken :=
  (&tis:iadminToken:currentAdminToken
    ◁ (&realWorld:monitored:adminToken = «noT») ▷
    &realWorld:monitored:adminToken) ;;
— PollFinger
tis:ifinger:fingerPresence :=
  («absent» ◁ (&realWorld:monitored:finger = «noFP») ▷ «absent») ;;
tis:ifinger:currentFinger :=
  (&tis:ifinger:currentFinger
    ◁ (&realWorld:monitored:finger = «noFP») ▷
    &realWorld:monitored:finger) ;;
— PollFloppy
tis:ifloppy:floppyPresence :=
  («absent» ◁ (&realWorld:monitored:floppy = «noFloppy») ▷ «absent») ;;
tis:ifloppy:currentFloppy :=
  (&tis:ifloppy:currentFloppy
    ◁ (&realWorld:monitored:floppy = «noFloppy») ▷
    &realWorld:monitored:floppy) ;;
— PollKeyboard
tis:ikeyboard:keyedDataPresence :=
  («absent» ◁ (&realWorld:monitored:keyboard = «noKB») ▷ «absent») ;;
tis:ikeyboard:currentKeyedData :=

```

$$\begin{aligned}
& (\&tis:ikeyboard:currentKeyedData \\
& \quad \triangleleft (\&realWorld:monitored:keyboard = \ll noKB \gg) \triangleright \\
& \quad \&realWorld:monitored:keyboard) \\
& )
\end{aligned}$$

## 6.2 The ID Station Changes the World

### 6.2.1 Periodic Updates

**abbreviation** *UpdateLatch* :: *SystemState hrel where*  
*UpdateLatch*  $\equiv$  *realWorld:controlled:latch* := *&tis:doorLatchAlarm:currentLatch*

**abbreviation** *UpdateAlarm* :: *SystemState hrel where*  
*UpdateAlarm*  $\equiv$   

$$\begin{aligned}
& \text{realWorld:controlled:alarm} := (\ll \text{alarming} \gg \\
& \quad \triangleleft (\&tis:doorLatchAlarm:doorAlarm = \ll \text{alarming} \gg \\
& \quad \vee \&tis:audit:auditAlarm = \ll \text{alarming} \gg) \\
& \quad \triangleright \ll \text{silent} \gg)
\end{aligned}$$

**definition** *UpdateDisplay* :: *SystemState hrel where*  
*[upred-defs]:*  
*UpdateDisplay* =  

$$\begin{aligned}
& (\Delta[tis, IDStation] \wedge \\
& \quad RealWorldChanges \oplus_r realWorld \wedge \\
& \quad \$realWorld:controlled:display' =_u \$tis:currentDisplay \wedge \\
& \quad \$tis:currentDisplay' =_u \$tis:currentDisplay)
\end{aligned}$$

**definition** *UpdateScreen* :: *SystemState hrel where*  
*[upred-defs]:*  
*UpdateScreen* =  

$$\begin{aligned}
& (\Delta[tis, IDStation] \wedge \\
& \quad \Xi[tis:admin, Admin] \wedge \\
& \quad RealWorldChanges \oplus_r realWorld \wedge \\
& \quad \$realWorld:controlled:screen:screenMsg' =_u \$tis:currentScreen:screenMsg \wedge \\
& \quad \$realWorld:controlled:screen:screenConfig' =_u \\
& \quad \quad (\$tis:currentScreen:screenConfig \\
& \quad \quad \triangleleft \$tis:admin:rolePresent =_u \ll Some(securityOfficer) \gg \triangleright \\
& \quad \quad \ll clear \gg) \wedge \\
& \quad \$realWorld:controlled:screen:screenStats' =_u \\
& \quad \quad (\$tis:currentScreen:screenStats \\
& \quad \quad \triangleleft \$tis:admin:rolePresent \neq_u \ll None \gg \triangleright \\
& \quad \quad \ll clear \gg))
\end{aligned}$$

**definition** *TISUpdate* :: *SystemState hrel where*  
*[upred-defs, tis-defs]:*  
*TISUpdate* =  

$$\begin{aligned}
& (realWorld:[RealWorldChanges]^+ ;; \\
& \quad UpdateLatch ;;
\end{aligned}$$



*UpdateAlarm* ;;  
*realWorld:controlled:display* := &*tis:currentDisplay*)

**definition** *UpdateFloppy* :: *SystemState hrel* **where**  
 [*upred-defs*, *tis-defs*]:  
*UpdateFloppy* =  
 (*realWorld:[RealWorldChanges]*<sup>+</sup> ;;  
   *realWorld:monitored:floppy* := &*tis:ifloppy:writtenFloppy* ;;  
   *tis:ifloppy:currentFloppy* := *badFloppy*)

**definition** *TISEarlyUpdate* :: *SystemState hrel* **where**  
 [*upred-defs*, *tis-defs*]:  
*TISEarlyUpdate* = *UpdateLatch* ;; *UpdateAlarm*

### 6.2.2 Updating the User Token

**definition** *UpdateUserToken* :: *SystemState hrel* **where**  
 [*upred-defs*, *tis-defs*]:  
*UpdateUserToken* = *realWorld:monitored:userToken* := &*tis:iuserToken:currentUserToken*

**lemma** *UpdateUserToken-correct*:  
 {*IDStation*  $\oplus_p$  *tis*} *UpdateUserToken* {*IDStation*  $\oplus_p$  *tis*}  
 by (*simp add: tis-defs, hoare-auto*)

## 7 The User Entry Operation (1)

**definition** *ResetScreenMessage* :: *IDStation hrel* **where**  
 [*upred-defs*]:  
*ResetScreenMessage* =  
 ( $\Delta[\textit{admin}, \textit{Admin}]$   
    $\wedge ((\$internal:status' \notin_u \{\ll\textit{quiescent}\}, \ll\textit{waitingRemoveTokenFail}\}\}_u \wedge \$currentScreen:screenMsg'$   
 $=_u \ll\textit{busy}\gg) \vee$   
   ( $\$internal:status' \in_u \{\ll\textit{quiescent}\}, \ll\textit{waitingRemoveTokenFail}\}\}_u \wedge$   
     ( $\$internal:enclaveStatus' =_u \ll\textit{enclaveQuiescent}\gg \wedge \$admin:rolePresent' =_u$   
 $\ll\textit{None}\gg \wedge \$currentScreen:screenMsg' =_u \ll\textit{welcomeAdmin}\gg$   
        $\vee \$internal:enclaveStatus' =_u \ll\textit{enclaveQuiescent}\gg \wedge \$admin:rolePresent' \neq_u$   
 $\ll\textit{None}\gg \wedge \$currentScreen:screenMsg' =_u \ll\textit{requestAdminOp}\gg$   
        $\vee \$internal:enclaveStatus' =_u \ll\textit{waitingRemoveAdminTokenFail}\gg \wedge \$currentScreen:screenMsg'$   
 $=_u \ll\textit{removeAdminToken}\gg$   
        $\vee \$internal:enclaveStatus' \notin_u \{\ll\textit{enclaveQuiescent}\}, \ll\textit{waitingRemoveAdminTokenFail}\}\}_u \wedge \$currentScreen:screenMsg' =_u \$currentScreen:screenMsg'$   
     )))))

**lemma** *mark-alpha-ResetScreenMessage* [*mark-alpha*]:

$\Sigma \triangleleft_{\alpha} \text{ResetScreenMessage} = \{\&\text{admin}, \&\text{currentScreen}, \&\text{internal}\} \triangleleft_{\alpha} \text{ResetScreenMessage}$   
**by** (rel-auto)

**definition** *UserEntryContext* :: *SystemState* hrel **where**

[upred-defs]:

*UserEntryContext* =  
 ((*RealWorldChanges*  $\wedge$   $\Xi[\text{controlled}, \text{TISControlledRealWorld}]$ )  $\oplus_r$  *realWorld*  $\wedge$   
 ( $\Delta[\text{iuserToken}, \text{UserToken}] \wedge$   
 $\Delta[\text{doorLatchAlarm}, \text{DoorLatchAlarm}] \wedge$   
 $\Delta[\text{audit}, \text{AuditLog}] \wedge$   
 $\Xi[\text{config}, \text{Config}] \wedge$   
 $\Xi[\text{iadminToken}, \text{AdminToken}] \wedge$   
 $\Xi[\text{keyStore}, \text{KeyStore}] \wedge$   
 $\Xi[\text{admin}, \text{Admin}] \wedge$   
 $\Xi[\text{ikeyboard}, \text{Keyboard}] \wedge$   
 $\Xi[\text{ifloppy}, \text{Floppy}] \wedge$   
 $\Xi[\text{ifinger}, \text{Finger}] \wedge$   
 $\Delta[\text{IDStation-inv}] \wedge$   
 $\text{ResetScreenMessage} \wedge$   
 $(\text{\$enclaveStatus}' =_u \text{\$enclaveStatus} \wedge$   
 $(\text{\$status} \neq_u \ll\text{waitingEntry}\gg \Rightarrow \text{\$tokenRemovalTimeout}' =_u \text{\$tokenRemovalTimeout})$   
 $) \oplus_r \text{internal}) \oplus_r \text{tis}$   
 $)$

**lemma** *Pre UserEntryContext* = *IDStation*  $\oplus_p$  *tis*

**apply** (unfold *UserEntryContext-def*)

**apply** (simp)

**apply** (zcalcpre)

**oops**

**lemma** *UserEntryContext-alt-def* [upred-defs]:

*UserEntryContext* =  
 ((*RealWorldChanges*  $\wedge$   $\Xi[\text{controlled}, \text{TISControlledRealWorld}]$ )  $\oplus_r$  *realWorld*  $\wedge$   
 ( $\Delta[\text{IDStation}] \wedge$   
 $\text{\$config}' =_u \text{\$config} \wedge$   
 $\text{\$iadminToken}' =_u \text{\$iadminToken} \wedge$   
 $\text{\$keyStore}' =_u \text{\$keyStore} \wedge$   
 $\text{\$admin}' =_u \text{\$admin} \wedge$   
 $\text{\$ikeyboard}' =_u \text{\$ikeyboard} \wedge$   
 $\text{\$ifloppy}' =_u \text{\$ifloppy} \wedge$   
 $\text{\$ifinger}' =_u \text{\$ifinger} \wedge$   
 $\text{ResetScreenMessage} \wedge$   
 $(\text{\$enclaveStatus}' =_u \text{\$enclaveStatus} \wedge$   
 $\text{\$status} \neq_u \ll\text{waitingEntry}\gg \Rightarrow \text{\$tokenRemovalTimeout}' =_u \text{\$tokenRemovalTimeout})$   
 $) \oplus_r \text{internal}) \oplus_r \text{tis}$   
 $)$   
**oops**

**lemma**  $Pre((RealWorldChanges \wedge \exists[controlled, TISControlledRealWorld]) \oplus_r realWorld) = true$   
**by** (rel-auto)

## 7.1 User Token Tears

**definition**  $UserTokenTorn :: IDStation hrel$  **where**  
 $[upred-defs, tis-defs]:$   
 $UserTokenTorn =$   
 $((\&internal:status \in \{\ll gotUserToken \gg, \ll waitingUpdateToken \gg, \ll waitingFinger \gg, \ll gotFinger \gg, \ll waitingEntry \gg\}$   
 $\wedge \&iuserToken:userTokenPresence = \ll absent \gg$   
 $) \longrightarrow_r currentDisplay := \ll welcom \gg ;; internal:status := \ll quiescent \gg)$

**lemma**  $UserTokenTorn-correct$  [hoare-safe]:  $\{IDStation\} UserTokenTorn \{IDStation\}$   
**apply** (rule  $IDStation-correct-intro$ )  
**apply** (simp add:  $tis-defs$ , hoare-auto)  
**apply** (simp add:  $tis-defs$ , hoare-auto)  
**done**

## 8 Operations within the Enclave (1)

**definition**  $EnclaveContext :: SystemState hrel$  **where**  
 $[upred-defs]:$   
 $EnclaveContext =$   
 $(\Delta[tis, IDStation] \wedge$   
 $RealWorldChanges \oplus_r realWorld \wedge$   
 $\exists[realWorld:controlled, TISControlledRealWorld] \wedge$   
 $\exists[tis:iuserToken, UserToken] \wedge$   
 $\exists[tis:iadminToken, AdminToken] \wedge$   
 $\exists[tis:ifinger, Finger] \wedge$   
 $\exists[tis:stats, Stats] \wedge$   
 $(\$tokenRemovalTimeout' =_u \$tokenRemovalTimeout) \oplus_r tis:internal$   
 $)$

**definition**  $EnrolContext :: SystemState hrel$  **where**  
 $EnrolContext = (EnclaveContext \wedge$   
 $\exists[tis:ikeyboard, Keyboard] \wedge$   
 $\exists[tis:admin, Admin] \wedge$   
 $\exists[tis:doorLatchAlarm, DoorLatchAlarm] \wedge$   
 $\exists[tis:config, Config] \wedge$   
 $\exists[tis:ifloppy, Floppy])$

We depart from the Z specification for this operation, as to precisely implement the Z behaviour we need a state space containing both a *ValidEnrol* and a *KeyStore*. Since the former is static rather than dynamic, it seems to make sense to treat it as a parameter here.

FIX: We had to change ownName (as it was in Tokeneer Z) to ownName'

in the function addition.

## 8.1 Updating the Key Store

**definition** *UpdateKeyStore* :: *Enrol*  $\Rightarrow$  *IDStation hrel* **where**

[*upred-defs*, *tis-defs*]:

*UpdateKeyStore* *e* =

( $\ll e \in \text{ValidEnrol} \gg$ )

$\rightarrow_r \text{keyStore:ownName} := \ll \text{Some } (\text{subject } (\text{tisCert } e)) \gg$

;; *keyStore:issuerKey* := *&keyStore:issuerKey*

$+_r \ll \{ (\text{subject } c, \text{subjectPubK } c) \mid c. c \in \text{issuerCerts } e \} \gg$

$+_r \{ (\text{the}(\&\text{keyStore:ownName}), \ll \text{subjectPubK } (\text{tisCert } e) \gg) \}$

**definition** *call* :: (*'a*  $\Rightarrow$  *'s hrel*)  $\Rightarrow$  (*'a*, *'s*) *uepr*  $\Rightarrow$  *'s hrel* (*call*) **where**

[*upred-defs*]: *call* *F* *e* = ( $\prod x. ?[\ll x \gg = e] \text{ ;; } F x$ )

**lemma** *nmods-call* [*closure*]:  $\ll \bigwedge v. (P v) \text{ nmods } x \gg \Longrightarrow (\text{call } P e) \text{ nmods } x$

**by** (*rel-auto*)

**lemma** *hoare-call* [*hoare-safe*]:  $\ll \bigwedge x. \{ \ll x \gg = e \wedge P \} F x \{ Q \} \gg \Longrightarrow \{ P \} \text{call } F e \{ Q \}$

**by** (*rel-auto*)

**lemma** *wlp-call* [*wp*]: (*call* *F* *e*) *wlp* *b* =  $U(\forall x. \ll x \gg = e \Rightarrow F x \text{ wlp } b)$

**by** (*simp add: call-def wp*)

**utp-lift-notation** *call* (*0*)

**definition** *UpdateKeyStoreFromFloppy* :: *IDStation hrel* **where**

[*upred-defs*, *tis-defs*]:

*UpdateKeyStoreFromFloppy* =

( $\&\text{ifloppy:currentFloppy} \in \text{range}(\text{enrolmentFile})$ )

$\rightarrow_r \text{call } \text{UpdateKeyStore } (\text{enrolmentFile-of } \&\text{ifloppy:currentFloppy})$

**declare** *ValidEnrol-def* [*upred-defs del*, *tis-defs del*]

**lemma** *rel-pfun-pair*:  $\ll x \in A; y \in B \gg \Longrightarrow \{(x, y)\} \in A \rightarrow_r B$

**by** (*simp add: rel-pfun-def rel-typed-def*)

**lemma** *UpdateKeyStore-KeyStore-inv*:  $\{ \text{KeyStore} \oplus_p \text{keyStore} \} \text{UpdateKeyStore } x \{ \text{KeyStore} \oplus_p \text{keyStore} \}$

**apply** (*simp add: tis-defs del:Enrol-def, hoare-auto*)

**apply** (*rule rel-pfun-override*)

**apply** (*rule rel-pfun-override*)

**apply** (*auto*)

**using** *Enrol-function* **apply** *blast*

**apply** (*rule rel-pfun-pair*)

```

apply (simp add: Enrol-def ValidEnrol-def subset-eq)
apply blast
apply (simp add: Enrol-def ValidEnrol-def subset-eq)
apply (simp add: Enrol-def ValidEnrol-def subset-eq)
apply (rule rel-pfun-override)
apply (rule rel-pfun-override)
apply (auto)
using Enrol-function apply blast
apply (rule rel-pfun-pair)
apply (simp add: Enrol-def ValidEnrol-def subset-eq)
apply blast
apply (simp add: Enrol-def ValidEnrol-def subset-eq)
apply (simp add: Enrol-def ValidEnrol-def subset-eq)
done

```

**lemma** *hoare-sep-inv*:  $\llbracket \{p\}S\{p\}; \{q\}S\{q\} \rrbracket \implies \{p \wedge q\}S\{p \wedge q\}$   
**by** (rel-auto)

**lemma** *UpdateKeyStore-IDStation-wf*:  $\{IDStation\text{-}wf\} UpdateKeyStore\ x\{IDStation\text{-}wf\}$   
**apply** (simp add: IDStation-wf-def)  
**apply** (auto intro!: hoare-sep-inv)  
**apply** (simp add: tis-defs, hoare-auto)  
**apply** (simp add: tis-defs, hoare-auto)  
**apply** (simp add: UpdateKeyStore-KeyStore-inv)  
**apply** (simp add: tis-defs, hoare-auto)  
**apply** (simp add: tis-defs, hoare-auto)  
**apply** (simp add: tis-defs, hoare-auto)  
**apply** (simp add: tis-defs, hoare-auto)  
**done**

**lemma** *UpdateKeyStoreFromFloppy-IDStation-wf*:  $\{IDStation\text{-}wf\} UpdateKeyStoreFromFloppy\{IDStation\text{-}wf\}$   
**apply** (simp add: UpdateKeyStoreFromFloppy-def)  
**apply** (hoare-split)  
**apply** (metis UpdateKeyStore-IDStation-wf conj-comm hoare-r-weaken-pre(2))  
**done**

## 9 The User Entry Operation (2)

**definition** *UEC* :: *IDStation hrel*  $\Rightarrow$  *SystemState hrel* **where**

[*upred-defs*, *tis-defs*]:

*UEC*(*Op*) =

```

( $\sqcap$  t • tis:[Op]+ ;;
  realWorld:[
    monitored:now := &monitored:now + t ;;
    monitored:door := * ;; monitored:finger := * ;;
    monitored:userToken := * ;; monitored:adminToken := * ;;
    monitored:floppy := * ;; monitored:keyboard := * ]+)

```

**lemma** *UEC-refines-RealWorldChanges*:

$(RealWorldChanges \oplus_r realWorld) \sqsubseteq UEC(Op)$   
**by** (rel-auto)

**lemma** *UEC-correct*:  $\{I\}P\{I\} \implies \{I \oplus_p tis\}UEC(P)\{I \oplus_p tis\}$   
**apply** (simp add: wlp-hoare-link wp UEC-def alpha unrest usubst)  
**apply** (rel-simp)  
**done**

## 9.1 Reading the User Token

**definition** *ReadUserToken* :: *IDStation* hrel **where**  
 $[upred-defs, tis-defs]: ReadUserToken =$   
 $((\&internal:enclaveStatus \in \{enclaveQuiescent, waitingRemoveAdminTokenFail\}$   
 $\wedge \&internal:status = quiescent \wedge \&iuserToken:userTokenPresence = present$   
 $) \longrightarrow_r currentDisplay := wait \;; internal:status := gotUserToken)$

**lemma** *ReadUserToken-correct*:  $\{IDStation\}ReadUserToken\{IDStation\}$   
**by** (rule *IDStation-correct-intro*; hoare-wlp-auto defs: *tis-defs*)

**definition** *BioCheckNotRequired* :: *IDStation* hrel **where**  
 $[upred-defs, tis-defs]: BioCheckNotRequired =$   
 $((\&internal:status = gotUserToken$   
 $\wedge \&iuserToken:userTokenPresence = present \wedge @UserTokenWithOKAuthCert$   
 $) \longrightarrow_r internal:status := waitingEntry \;; currentDisplay := wait)$

**lemma** *BioCheckNotRequired-correct*:  $\{IDStation\}BioCheckNotRequired\{IDStation\}$   
**by** (rule *IDStation-correct-intro*; hoare-wlp-auto defs: *tis-defs*)

**definition** *BioCheckRequired* :: *IDStation* hrel **where**  
 $[upred-defs, tis-defs]:$   
 $BioCheckRequired =$   
 $((\&internal:status = gotUserToken$   
 $\wedge \&iuserToken:userTokenPresence = present$   
 $\wedge (\neg @UserTokenWithOKAuthCert) \wedge @UserTokenOK$   
 $) \longrightarrow_r internal:status := \langle\langle waitingFinger \rangle\rangle \;; currentDisplay := \langle\langle insertFinger \rangle\rangle)$

**lemma** *BioCheckRequired-correct*:  $\{IDStation\}BioCheckRequired\{IDStation\}$   
**by** (rule *IDStation-correct-intro*; (simp add: *tis-defs*, hoare-auto))

**definition**  $[upred-defs, tis-defs]: TISReadUserToken = UEC(ReadUserToken)$

**lemma** *TISReadUserToken-correct*:  $\{IDStation \oplus_p tis\}TISReadUserToken\{IDStation \oplus_p tis\}$   
**by** (simp add: *ReadUserToken-correct* *TISReadUserToken-def* *UEC-correct*)

## 9.2 Validating the User Token

**definition**  $[upred-defs, tis-defs]: ValidateUserTokenOK = (BioCheckRequired \vee BioCheckNotRequired)$

**lemma** *ValidateUserTokenOK-correct*:  $\{IDStation\}$  *ValidateUserTokenOK* $\{IDStation\}$   
**by** (*simp add: BioCheckNotRequired-correct BioCheckRequired-correct ValidateUserTokenOK-def*  
*disj-upred-def hoare-ndet*)

**definition** *ValidateUserTokenFail* :: *IDStation hrel where*

[*upred-defs, tis-defs*]:

*ValidateUserTokenFail* =  
 ((*&internal:status* = *<<gotUserToken>>*  
    $\wedge$  *&iuserToken:userTokenPresence* = *<<present>>*  
    $\wedge$  ( $\neg$  *@UserTokenWithOKAuthCert*)  $\wedge$  ( $\neg$  *@UserTokenOK*)  
   )  $\longrightarrow_r$  *internal:status* := *<<waitingRemoveTokenFail>>* ;; *currentDisplay* := *<<re-*  
*moveToken>>*)

**lemma** *ValidateUserTokenFail-correct*:  $\{IDStation\}$  *ValidateUserTokenFail* $\{IDStation\}$

**apply** (*rule IDStation-correct-intro*)

**apply** (*simp add: tis-defs, hoare-auto*)

**apply** (*simp add: tis-defs, hoare-auto*)

**done**

**definition** [*upred-defs, tis-defs*]:

*TISValidateUserToken* = (*UEC*(*ValidateUserTokenOK*)  $\vee$  *UEC*(*ValidateUserTokenFail*)  
 $\vee$  *UEC*(*UserTokenTorn* ;; ?[*&internal:status* = *<<gotUser-*  
*Token>>*]))

**lemma** *UserTokenTorn-test-correct*:

$\{IDStation\}$ (*UserTokenTorn* ;; ?[*@b*]) $\{IDStation\}$

**by** (*rule seq-hoare-inv-r-2, simp add: hoare-safe, rule hoare-test, simp add: impl-alt-def*  
*utp-pred-laws.sup-commute*)

**lemma** *TISValidateUserToken-correct*:  $\{IDStation \oplus_p tis\}$  *TISValidateUserToken* $\{IDStation$   
 $\oplus_p tis\}$

**by** (*simp add: TISValidateUserToken-def UEC-correct UserTokenTorn-test-correct*  
*ValidateUserTokenFail-correct ValidateUserTokenOK-correct disj-upred-def hoare-ndet*)

### 9.3 Reading a Fingerprint

**definition** *ReadFingerOK* :: *IDStation hrel where*

[*upred-defs, tis-defs*]:

*ReadFingerOK* =  
 ((*&internal:status* = *waitingFinger*  
    $\wedge$  *&ifinger:fingerPresence* = *present*  
    $\wedge$  *&iuserToken:userTokenPresence* = *present*  
   )  $\longrightarrow_r$  *internal:status* := *gotFinger* ;; *currentDisplay* := *<<wait>>*)

**lemma** *ReadFingerOK-correct*:  $\{IDStation\}$  *ReadFingerOK* $\{IDStation\}$

**by** (*rule IDStation-correct-intro, (simp add: tis-defs, hoare-auto)+*)

**definition** *NoFinger* :: *IDStation hrel where*

[upred-defs, tis-defs]:

```
NoFinger =
  ?[&internal:status = «waitingFinger»
    ∧ &ifinger:fingerPresence = «absent»
    ∧ &iuserToken:userTokenPresence = «present»
  ]
```

**lemma** *NoFinger-correct*: {IDStation} NoFinger{IDStation}  
 by (rule IDStation-correct-intro, (simp add: tis-defs, hoare-auto)+)

**definition** *FingerTimeout* :: IDStation hrel **where**

[upred-defs, tis-defs]:

```
FingerTimeout =
  ((&internal:status = «waitingFinger»
    ∧ &ifinger:fingerPresence = «absent»
    ∧ &iuserToken:userTokenPresence = «present»
  ) →r currentDisplay := «removeToken» ;; internal:status := «waitingRemove-
  TokenFail»)
```

**lemma** *FingerTimeout-correct*: {IDStation} FingerTimeout{IDStation}  
 apply (rule IDStation-correct-intro)  
 apply (simp add: tis-defs, hoare-auto)  
 apply (simp add: tis-defs, hoare-auto)  
 done

**definition** [upred-defs, tis-defs]:

```
TISReadFinger = (UEC(ReadFingerOK) ∨ UEC(FingerTimeout) ∨ UEC(NoFinger)
  ∨ UEC(UserTokenTorn ;; ?[&internal:status = «waitingFinger»]))
```

**lemma** *TISReadFinger-correct*: {IDStation ⊕<sub>p</sub> tis} TISReadFinger{IDStation ⊕<sub>p</sub> tis}

by (simp add: FingerTimeout-correct NoFinger-correct ReadFingerOK-correct  
 TISReadFinger-def UEC-correct UserTokenTorn-test-correct disj-upred-def hoare-ndet)

## 9.4 Validating a Fingerprint

**definition** *ValidateFingerOK* :: IDStation hrel **where**

[upred-defs, tis-defs]:

```
ValidateFingerOK =
  ((&internal:status = «gotFinger»
    ∧ &iuserToken:userTokenPresence = «present»
    ∧ @FingerOK
  ) →r currentDisplay := «wait» ;; internal:status := «waitingUpdateToken»)
```

**lemma** *ValidateFingerOK-correct*: {IDStation} ValidateFingerOK{IDStation}  
 apply (rule IDStation-correct-intro)  
 apply (simp add: tis-defs, hoare-auto)  
 apply (simp add: tis-defs, hoare-auto)  
 done



**definition** *ValidateFingerFail* :: *IDStation* *hrel* **where**  
 [*upred-defs*, *tis-defs*]:  
*ValidateFingerFail* =  
 ((&*internal:status* =  $\llcorner$ gotFinger $\gg$   
    $\wedge$  &*iuserToken:userTokenPresence* =  $\llcorner$ present $\gg$   
    $\wedge$  @*FingerOK*  
 )  $\longrightarrow_r$  *currentDisplay* :=  $\llcorner$ removeToken $\gg$  ;; *internal:status* :=  $\llcorner$ waitingRemoveTokenFail $\gg$ )

**lemma** *ValidateFingerFail-correct*: {*IDStation*} *ValidateFingerFail*{*IDStation*}  
**apply** (*rule IDStation-correct-intro*)  
**apply** (*simp add: tis-defs, hoare-auto*)  
**apply** (*simp add: tis-defs, hoare-auto*)  
**done**

**definition** [*upred-defs*, *tis-defs*]:  
*TISValidateFinger* = (*UEC*(*ValidateFingerOK*)  $\vee$  *UEC*(*ValidateFingerFail*)  
    $\vee$  *UEC*(*UserTokenTorn* ;; ?[&*internal:status* =  $\llcorner$ gotFinger $\gg$ ]))

**lemma** *TISValidateFinger-correct*: {*IDStation*  $\oplus_p$  *tis*} *TISValidateFinger*{*IDStation*  $\oplus_p$  *tis*}  
**by** (*simp add: TISValidateFinger-def UEC-correct UserTokenTorn-test-correct ValidateFingerFail-correct ValidateFingerOK-correct disj-upred-def hoare-ndet*)

## 9.5 Writing the User Token

**definition** *WriteUserTokenOK* :: *IDStation* *hrel* **where**  
 [*upred-defs*, *tis-defs*]:  
*WriteUserTokenOK* =  
 ((&*internal:status* =  $\llcorner$ waitingUpdateToken $\gg$   
    $\wedge$  &*iuserToken:userTokenPresence* =  $\llcorner$ present $\gg$   
 )  $\longrightarrow_r$  *AddAuthCertToUserToken* ;;  
   *currentDisplay* :=  $\llcorner$ wait $\gg$  ;;  
   *internal:status* :=  $\llcorner$ waitingEntry $\gg$ )

**lemma** *hoare-post-conj-split*: {*b*} *P*{*c*  $\wedge$  *d*}  $\longleftrightarrow$  ({*b*} *P*{*c*}  $\wedge$  {*b*} *P*{*d*})  
**by** (*rel-auto*)

**lemma** *WriteUserTokenOK-correct*: {*IDStation*} *WriteUserTokenOK*{*IDStation*}  
**proof** –  
  **have** *inv*: {*IDStation-inv*} *WriteUserTokenOK* {*IDStation-inv*}  
  **proof** –  
    **have** *a*: {*IDStation-inv1*  $\wedge$  *IDStation-inv9*} *WriteUserTokenOK* {*IDStation-inv9*}  
      **by** (*hoare-wlp-auto defs: tis-defs*)  
    **have** *1*: {*IDStation-inv*} *WriteUserTokenOK* {*IDStation-inv9*}  
      **by** (*rule-tac pre-str-hoare-r*[*OF* - *a*], *rel-auto*)

```

have b: {IDStation-inv1} WriteUserTokenOK {IDStation-inv1}
  by (hoare-wlp-auto defs: tis-defs)
have 2: {IDStation-inv} WriteUserTokenOK {IDStation-inv1}
  by (rule-tac pre-str-hoare-r[OF - b], rel-auto)
have 3:
  {IDStation-inv} WriteUserTokenOK {IDStation-inv2}
  {IDStation-inv} WriteUserTokenOK {IDStation-inv3}
  {IDStation-inv} WriteUserTokenOK {IDStation-inv4}
  {IDStation-inv} WriteUserTokenOK {IDStation-inv5}
  {IDStation-inv} WriteUserTokenOK {IDStation-inv6}
  {IDStation-inv} WriteUserTokenOK {IDStation-inv7}
  {IDStation-inv} WriteUserTokenOK {IDStation-inv8}
  {IDStation-inv} WriteUserTokenOK {IDStation-inv10}
  by (hoare-wlp-auto defs: tis-defs)+
from 1 2 3 show ?thesis
  by (auto simp add: IDStation-inv-def hoare-post-conj-split)
qed

have ut: {UserToken  $\oplus_p$  iuserToken} WriteUserTokenOK {UserToken  $\oplus_p$  iuserToken}
  by (hoare-wlp-auto defs: tis-defs)

show ?thesis
  apply (rule-tac IDStation-correct-intro)
  apply (auto simp add: hoare-post-conj-split)
    apply (hoare-wlp-auto defs: tis-defs)
    apply (hoare-wlp-auto defs: tis-defs)
    apply (hoare-wlp-auto defs: tis-defs)
    apply (hoare-wlp-auto defs: tis-defs)
    apply (hoare-wlp-auto defs: tis-defs)
    apply (hoare-wlp-auto defs: tis-defs)
    apply (simp add: ut hoare-r-weaken-pre(1) hoare-r-weaken-pre(2))
    apply (simp add: inv)
  done
qed

definition WriteUserTokenFail :: IDStation hrel where
[upred-defs, tis-defs]:
WriteUserTokenFail =
  ((&internal:status =  $\ll$ waitingUpdateToken $\gg$ 
     $\wedge$  &iuserToken:userTokenPresence =  $\ll$ present $\gg$ 
  )  $\longrightarrow_r$  AddAuthCertToUserToken ;;
    currentDisplay :=  $\ll$ tokenUpdateFailed $\gg$  ;;
    internal:status :=  $\ll$ waitingEntry $\gg$ )

lemma WriteUserTokenFail-correct: {IDStation} WriteUserTokenFail{IDStation}
proof -
  have inv: {IDStation-inv} WriteUserTokenFail {IDStation-inv}
proof -
  have a: {IDStation-inv1  $\wedge$  IDStation-inv9} WriteUserTokenFail {IDStation-inv9}

```

```

    by (hoare-wlp-auto defs: tis-defs)
  have 1: {IDStation-inv} WriteUserTokenFail {IDStation-inv9}
    by (rule-tac pre-str-hoare-r[OF - a], rel-auto)
  have b: {IDStation-inv1} WriteUserTokenFail {IDStation-inv1}
    by (hoare-wlp-auto defs: tis-defs)
  have 2: {IDStation-inv} WriteUserTokenFail {IDStation-inv1}
    by (rule-tac pre-str-hoare-r[OF - b], rel-auto)
  have 3:
    {IDStation-inv} WriteUserTokenFail {IDStation-inv2}
    {IDStation-inv} WriteUserTokenFail {IDStation-inv3}
    {IDStation-inv} WriteUserTokenFail {IDStation-inv4}
    {IDStation-inv} WriteUserTokenFail {IDStation-inv5}
    {IDStation-inv} WriteUserTokenFail {IDStation-inv6}
    {IDStation-inv} WriteUserTokenFail {IDStation-inv7}
    {IDStation-inv} WriteUserTokenFail {IDStation-inv8}
    {IDStation-inv} WriteUserTokenFail {IDStation-inv10}
    by (hoare-wlp-auto defs: tis-defs)+
  from 1 2 3 show ?thesis
    by (auto simp add: IDStation-inv-def hoare-post-conj-split)
qed

have ut: {UserToken  $\oplus_p$  iuserToken} WriteUserTokenFail {UserToken  $\oplus_p$  iuserToken}
  by (hoare-wlp-auto defs: tis-defs)

show ?thesis
  apply (rule-tac IDStation-correct-intro)
  apply (auto simp add: hoare-post-conj-split)
    apply (hoare-wlp-auto defs: tis-defs)
    apply (hoare-wlp-auto defs: tis-defs)
    apply (hoare-wlp-auto defs: tis-defs)
    apply (hoare-wlp-auto defs: tis-defs)
    apply (hoare-wlp-auto defs: tis-defs)
    apply (simp add: ut hoare-r-weaken-pre(1) hoare-r-weaken-pre(2))
    apply (simp add: inv)
  done
qed

definition [upred-defs, tis-defs]:
  WriteUserToken = (WriteUserTokenOK  $\vee$  WriteUserTokenFail)

definition [upred-defs, tis-defs]:
  TISWriteUserToken =
    ((UEC(WriteUserToken) ;; UpdateUserToken)
      $\vee$  UEC(UserTokenTorn ;; ?[&internal:status =  $\ll$ waitingUpdateToken $\gg$ ]))

lemma TISWriteUserToken-correct:
  {IDStation  $\oplus_p$  tis} TISWriteUserToken {IDStation  $\oplus_p$  tis}
proof –

```

**have** 1:  $\{IDStation \oplus_p tis\} UEC(WriteUserToken) ;; UpdateUserToken\{IDStation \oplus_p tis\}$   
**by** (simp add: UEC-correct UpdateUserToken-correct WriteUserTokenFail-correct WriteUserTokenOK-correct WriteUserToken-def disj-upred-def hoare-ndet seq-hoare-inv-r-2)  
**thus** ?thesis  
**by** (simp add: TISWriteUserToken-def UEC-correct UserTokenTorn-test-correct disj-upred-def hoare-ndet)  
**qed**

## 9.6 Validating Entry

**definition** *UserAllowedEntry* :: *IDStation upred* **where**

[tis-defs, upred-defs]:

*UserAllowedEntry* =

$U(((\exists t \in \ll ValidToken \gg.$   
 $\quad \ll goodT(t) \gg = \&iuserToken:currentUserToken$   
 $\quad \wedge \&doorLatchAlarm:currentTime \in \&config:entryPeriod \ll role (privCert t) \gg \ll class (clearance (privCert t)) \gg))$   
 $\vee (\exists t \in \ll TokenWithValidAuth \gg.$   
 $\quad \ll goodT(t) \gg = \&iuserToken:currentUserToken$   
 $\quad \wedge \&doorLatchAlarm:currentTime \in \&config:entryPeriod \ll role (the (authCert t)) \gg \ll class (clearance (the (authCert t))) \gg))$

**definition** *EntryOK* :: *IDStation hrel* **where**

[upred-defs, tis-defs]:

*EntryOK* =

$((\&internal:status = \ll waitingEntry \gg \wedge$   
 $\quad \&iuserToken:userTokenPresence = \ll present \gg \wedge$   
 $\quad @UserAllowedEntry)$   
 $\longrightarrow_r currentDisplay := \ll openDoor \gg ;;$   
 $\quad internal:status := \ll waitingRemoveTokenSuccess \gg ;;$   
 $\quad internal:tokenRemovalTimeout := \&doorLatchAlarm:currentTime + \&config:tokenRemovalDuration)$

**lemma** *EntryOK-correct*:  $\{IDStation\}EntryOK\{IDStation\}$

**apply** (rule *IDStation-correct-intro*)

**apply** (simp add: tis-defs, hoare-auto)

**apply** (simp add: tis-defs, hoare-auto)

**done**

**definition** *EntryNotAllowed* :: *IDStation hrel* **where**

[upred-defs, tis-defs]:

*EntryNotAllowed* =

$((\&internal:status = \ll waitingEntry \gg \wedge$   
 $\quad \&iuserToken:userTokenPresence = \ll present \gg \wedge$   
 $\quad (\neg @UserAllowedEntry))$   
 $\longrightarrow_r currentDisplay := \ll removeToken \gg ;;$   
 $\quad internal:status := \ll waitingRemoveTokenFail \gg)$

**lemma** *EntryNotAllowed-correct*:  $\{IDStation\}EntryNotAllowed\{IDStation\}$

```

apply (rule IDStation-correct-intro)
apply (simp add: tis-defs, hoare-auto)
apply (simp add: tis-defs, hoare-auto)
done

```

**definition** [*upred-defs*, *tis-defs*]:

```

  TISValidateEntry =
    (UEC(EntryOK)  $\vee$  UEC(EntryNotAllowed)  $\vee$  UEC(UserTokenTorn ;; ?[&internal:status
= <<waitingEntry>>]))

```

**lemma** *TISValidateEntry-correct*:  $\{IDStation \oplus_p tis\} TISValidateEntry \{IDStation \oplus_p tis\}$   
**by** (simp add: *EntryNotAllowed-correct* *EntryOK-correct* *TISValidateEntry-def* *UEC-correct* *UserTokenTorn-test-correct* *disj-upred-def* hoare-ndet)

## 9.7 Unlocking the Door

**definition** *UnlockDoorOK* :: *IDStation* hrel **where**

[*upred-defs*, *tis-defs*]:

```

UnlockDoorOK =
  (&internal:status = <<waitingRemoveTokenSuccess>>  $\wedge$ 
   &iuserToken:userTokenPresence = <<absent>>)
   $\longrightarrow_r$  UnlockDoor ;; currentDisplay := <<doorUnlocked>> ;; internal:status := <<qui-
escent>>

```

**lemma** *UnlockDoorOK-correct*:  $\{IDStation\} UnlockDoorOK \{IDStation\}$

```

apply (rule IDStation-correct-intro)
apply (simp add: tis-defs, hoare-auto)
apply (simp add: tis-defs, hoare-auto)
done

```

**lemma** *wp-UnlockDoorOK*:

```

  UnlockDoorOK wp (&doorLatchAlarm:currentLatch = <<unlocked>>) =
    U(&internal:status = <<waitingRemoveTokenSuccess>>  $\wedge$  &iuserToken:userTokenPresence
= <<absent>>)
by (simp add: tis-defs wp usubst unrest)

```

**definition** *WaitingTokenRemoval* :: *IDStation* hrel **where**

[*upred-defs*, *tis-defs*]:

```

WaitingTokenRemoval =
  ?[&internal:status  $\in$   $\{\langle\langlewaitingRemoveTokenSuccess\rangle\rangle, \langle\langlewaitingRemoveToken-
Fail\rangle\rangle\}$   $\wedge$ 
   &internal:status = <<waitingRemoveTokenSuccess>>  $\Rightarrow$  &doorLatchAlarm:currentTime
< &internal:tokenRemovalTimeout  $\wedge$ 
   &iuserToken:userTokenPresence = <<present>>]

```

**lemma** *WaitingTokenRemoval-correct*:

```

   $\{IDStation\} WaitingTokenRemoval$  ;; ?[@b]{IDStation}
apply (rule IDStation-correct-intro)

```

```

apply (simp add: tis-defs, hoare-auto)
apply (simp add: tis-defs, hoare-auto)
done

```

**definition** *TokenRemovalTimeout* :: *IDStation* hrel **where**

[upred-defs, tis-defs]:

```

TokenRemovalTimeout =
  ((&internal:status = <<waitingRemoveTokenSuccess>> ∧
    &doorLatchAlarm:currentTime ≥ &internal:tokenRemovalTimeout ∧
    &iuserToken:userTokenPresence = <<present>>) →r
    internal:status := <<waitingRemoveTokenFail>> ;;
    currentDisplay := <<removeToken>>)

```

**lemma** *TokenRemovalTimeout-correct*: {*IDStation*} *TokenRemovalTimeout*{*IDStation*}

```

apply (rule IDStation-correct-intro)
apply (simp add: tis-defs, hoare-auto)
apply (simp add: tis-defs, hoare-auto)
done

```

**definition** [upred-defs, tis-defs]:

```

TISUnlockDoor = (UEC(UnlockDoorOK)
  ∨ UEC(WaitingTokenRemoval ;; ?[&internal:status = <<waitingRe-
moveTokenSuccess>>])
  ∨ UEC(TokenRemovalTimeout))

```

**lemma** *TISUnlockDoor-correct*:

```

{IDStation ⊕p tis} TISUnlockDoor{IDStation ⊕p tis}
by (simp add: TISUnlockDoor-def TokenRemovalTimeout-correct UEC-correct
UnlockDoorOK-correct WaitingTokenRemoval-correct disj-upred-def hoare-ndet)

```

## 9.8 Terminating a Failed Access

**definition** *FailedAccessTokenRemoved* :: *IDStation* hrel **where**

[upred-defs, tis-defs]:

```

FailedAccessTokenRemoved =
  ((&internal:status = <<waitingRemoveTokenFail>> ∧
    &iuserToken:userTokenPresence = <<absent>>) →r
    internal:status := <<quiescent>> ;;
    currentDisplay := <<welcom>>)

```

**lemma** *FailedAccessTokenRemoved-correct*: {*IDStation*} *FailedAccessTokenRemoved*{*IDStation*}

```

apply (rule IDStation-correct-intro)
apply (simp add: tis-defs, hoare-auto)
apply (simp add: tis-defs, hoare-auto)
done

```

**definition** [upred-defs, tis-defs]:

```

TISCompleteFailedAccess = (UEC(FailedAccessTokenRemoved)
  ∨ UEC(WaitingTokenRemoval ;; ?[&internal:status = <<waitingRemoveTo-

```

*kenFail*»]]))

**lemma** *TISCompleteFailedAccess-correct*:

$\{IDStation \oplus_p tis\} TISCompleteFailedAccess \{IDStation \oplus_p tis\}$   
**by** (*simp add: FailedAccessTokenRemoved-correct TISCompleteFailedAccess-def*  
*UEC-correct WaitingTokenRemoval-correct disj-upred-def hoare-ndet*)

## 9.9 The Complete User Entry

**definition** [*upred-defs, tis-defs*]:

*TISUserEntryOp* = (*TISReadUserToken*  $\vee$  *TISValidateUserToken*  $\vee$  *TISReadFinger*  
 $\vee$  *TISValidateFinger*  
 $\vee$  *TISWriteUserToken*  $\vee$  *TISValidateEntry*  $\vee$  *TISUnlockDoor*  $\vee$   
*TISCompleteFailedAccess*)

**lemma** *TISUserEntryOp-inv*:  $\{IDStation \oplus_p tis\} TISUserEntryOp \{IDStation \oplus_p tis\}$

**apply** (*auto simp add: TISUserEntryOp-def intro!:hoare-disj*)  
**apply** (*simp-all add: TISReadUserToken-correct TISValidateUserToken-correct*  
*TISReadFinger-correct TISValidateFinger-correct TISWriteUserToken-correct*  
*TISValidateEntry-correct TISUnlockDoor-correct TISCompleteFailedAccess-correct*)  
**done**

## 10 Operations Within the Enclave (2)

### 10.1 Enrolment of an ID Station

#### 10.1.1 Requesting Enrolment

**definition** *RequestEnrolment* :: *IDStation hrel where*

[*upred-defs, tis-defs*]:

*RequestEnrolment* =  
 ( $\&internal:enclaveStatus = notEnrolled \wedge$   
 $\&ifloppy:floppyPresence = absent$ )  
 $\longrightarrow_r currentScreen:screenMsg := insertEnrolmentData \;; currentDisplay := blank$

**lemma** *RequestEnrolment-correct*:  $\{IDStation\} RequestEnrolment \{IDStation\}$

**apply** (*rule IDStation-correct-intro*)  
**apply** (*simp add: tis-defs, hoare-auto*)  
**apply** (*simp add: tis-defs, hoare-auto*)  
**done**

**definition** *ReadEnrolmentFloppy* :: *IDStation hrel where*

[*upred-defs, tis-defs*]:

*ReadEnrolmentFloppy* =  
 ( $\&internal:enclaveStatus = notEnrolled \wedge$   
 $\&ifloppy:floppyPresence = present$ )

$\rightarrow_r$  *currentScreen:screenMsg := validatingEnrolmentData ;; currentDisplay := blank*

**lemma** *ReadEnrolmentFloppy-correct*:  $\{IDStation\}ReadEnrolmentFloppy\{IDStation\}$   
**apply** (*rule IDStation-correct-intro*)  
**apply** (*simp add: tis-defs, hoare-auto*)  
**apply** (*simp add: tis-defs, hoare-auto*)  
**done**

**definition** [*tis-defs*]: *ReadEnrolmentData* = (*ReadEnrolmentFloppy*  $\vee$  *RequestEnrolment*)

### 10.1.2 Validating Enrolment data from Floppy

**definition** *EnrolmentDataOK* :: *IDStation upred where*

[*tis-defs*]:

*EnrolmentDataOK* = (*U*( $\&ifloppy:currentFloppy \in \ll range\ enrolmentFile \gg \wedge$   
 $enrolmentFile-of\ \&ifloppy:currentFloppy \in \ll ValidEnrol \gg$ ))

**definition** *ValidateEnrolmentDataOK* :: *IDStation hrel where*

[*upred-defs, tis-defs*]:

*ValidateEnrolmentDataOK* =  
 $(\&internal:enclaveStatus = waitingEnrol \wedge$   
 $EnrolmentDataOK)$   
 $\rightarrow_r$  *UpdateKeyStoreFromFloppy ;;*  
 $currentScreen:screenMsg := welcomeAdmin ;;$   
 $internal:enclaveStatus := enclaveQuiescent ;;$   
 $internal:status := quiescent ;;$   
 $currentDisplay := welcom$

**lemma** [*simp*]:

$\ll k \in ISSUER \rightarrow_r KEYPART; e \in ValidEnrol \gg \implies$   
 $k +_r \{(subject\ c, subjectPubK\ c) \mid c. c \in issuerCerts\ e\} +_r \{(subject\ (tisCert\ e),$   
 $subjectPubK\ (tisCert\ e))\}$   
 $\in ISSUER \rightarrow_r KEYPART$   
**apply** (*rule rel-pfun-override*)  
**apply** (*rule rel-pfun-override*)  
**apply** (*auto*)  
**using** *Enrol-function* **apply** *blast*  
**apply** (*rule rel-pfun-pair*)  
**apply** (*simp add: Enrol-def ValidEnrol-def subset-eq*)  
**apply** *blast*  
**done**

**lemma** *ValidEnrol-props* [*simp*]:

**assumes**  $e \in ValidEnrol$   
**shows**  $subject\ (tisCert\ e) \in ISSUER$



```

using assms by (auto simp add: ValidEnrol-def Enrol-def)

declare ValidEnrol-def [tis-defs del, upred-defs del]

lemma ValidateEnrolmentDataOK-wf: {IDStation-wf} ValidateEnrolmentDataOK{IDStation-wf}
apply (simp add: IDStation-wf-def)
apply (simp add: ValidateEnrolmentDataOK-def, hoare-split)
  apply (simp add: tis-defs call-def, hoare-auto)
  apply (simp add: tis-defs call-def, hoare-auto)
  apply (rule hoare-r-conseq[OF UpdateKeyStoreFromFloppy-IDStation-wf])
  apply (rel-auto)
apply (rel-auto)
apply (simp add: tis-defs call-def, hoare-auto)
apply (simp add: tis-defs call-def, hoare-auto)
apply (simp add: tis-defs call-def, hoare-auto)
apply (simp add: tis-defs call-def, hoare-auto)
done

lemma ValidateEnrolmentDataOK-inv: {IDStation-inv} ValidateEnrolmentDataOK{IDStation-inv}
apply (rule IDStation-inv-intro)
  apply (hoare-wlp-auto defs: tis-defs)
  apply (simp add: IDStation-inv2-def)
oops

```

```

definition ValidateEnrolmentDataFail :: IDStation hrel where
[upred-defs, tis-defs]:
ValidateEnrolmentDataFail =
  (&internal:enclaveStatus = waitingEnrol ∧
   ¬ EnrolmentDataOK)
  →r AddElementsToLog ;;
  currentScreen:screenMsg := enrolmentFailed ;;
  internal:enclaveStatus := waitingEndEnrol ;;
  currentDisplay := blank

```

```

lemma ValidateEnrolmentDataFail-correct: {IDStation} ValidateEnrolmentDataFail{IDStation}
apply (rule IDStation-correct-intro)
oops

```

```

definition [tis-defs]: ValidateEnrolmentData = (ValidateEnrolmentDataOK ∨ ValidateEnrolmentDataFail)

```

### 10.1.3 Completing a Failed Enrolment

```

definition FailedEnrolFloppyRemoved :: IDStation hrel where
[upred-defs, tis-defs]:

```

*FailedEnrolFloppyRemoved* =  
 (&internal:enclaveStatus = waitingEndEnrol ∧  
 &ifloppy:floppyPresence = absent)  
 $\longrightarrow_r$  currentScreen:screenMsg := insertEnrolmentData ;;  
 internal:enclaveStatus := notEnrolled ;;  
 currentDisplay := blank

**definition** *WaitingFloppyRemoval* :: IDStation hrel **where**  
 [upred-defs, tis-defs]:  
*WaitingFloppyRemoval* =  
 (&internal:enclaveStatus = waitingEndEnrol ∧  
 &ifloppy:floppyPresence = present)  
 $\longrightarrow_r$  II

**definition** [tis-defs]: *CompleteFailedEnrolment* = (*FailedEnrolFloppyRemoved* ∨  
*WaitingFloppyRemoval*)

#### 10.1.4 The Complete Enrolment

**definition** *TISEnrolOp* :: SystemState hrel **where**  
 [upred-defs, tis-defs]:  
*TISEnrolOp* = UEC(*ReadEnrolmentData* ∨ *ValidateEnrolmentData* ∨ *CompleteFailedEnrolment*)

### 10.2 Further Administrator Operations

**definition** *AdminLogon* :: IDStation hrel **where**  
 [upred-defs, tis-defs]:  
*AdminLogon* =  
 ((&admin:rolePresent = «None» ∧  
 (∃ t ∈ «ValidToken». (<<goodT(t)>> = &iadminToken:currentAdminToken))  
 )  $\longrightarrow_r$  admin:rolePresent := Some(role(the(authCert(ofGoodT(&iadminToken:currentAdminToken))))))  
 ;;

admin:currentAdminOp := «None» ;;  
 — The assignments below were added to ensure the invariant *Admin* is  
 satisfied

if &admin:rolePresent = «Some(guard)»  
 then admin:availableOps := {«overrideLock»}  
 else if &admin:rolePresent = «Some(auditManager)»  
 then admin:availableOps := {«archiveLog»}  
 else  
 admin:availableOps := {«updateConfigData», «shutdownOp»}  
 fi fi)

**definition** *AdminLogout* :: IDStation hrel **where**  
 [upred-defs, tis-defs]:  
*AdminLogout* =  
 ((&admin:rolePresent ≠ «None»

)  $\rightarrow_r$  admin:rolePresent :=  $\ll None \gg$  ;; admin:currentAdminOp :=  $\ll None \gg$  ;;  
 admin:availableOps := {})

**definition** AdminStartOp :: IDStation hrel **where**

[upred-defs, tis-defs]:

AdminStartOp =  
 ((&admin:rolePresent  $\neq$   $\ll None \gg$   
 $\wedge$  &admin:currentAdminOp =  $\ll None \gg$   
 $\wedge$  &ikeyboard:currentKeyedData  $\in$   $\ll keyedOps \gg$  ‘ &admin:availableOps  
 )  $\rightarrow_r$  admin:currentAdminOp := Some(ofKeyedOps(&ikeyboard:currentKeyedData)))

**definition** AdminFinishOp :: IDStation hrel **where**

[upred-defs, tis-defs]:

AdminFinishOp =  
 ((&admin:rolePresent  $\neq$   $\ll None \gg$   
 $\wedge$  &admin:currentAdminOp  $\neq$   $\ll None \gg$   
 )  $\rightarrow_r$  admin:currentAdminOp :=  $\ll None \gg$ )

**definition** AdminTokenTear :: IDStation hrel **where**

[upred-defs, tis-defs]:

AdminTokenTear =  
 ((&iadminToken:adminTokenPresence =  $\ll absent \gg$   
 )  $\rightarrow_r$  internal:enclaveStatus :=  $\ll enclaveQuiescent \gg$ )

**definition** BadAdminTokenTear :: IDStation hrel **where**

[upred-defs, tis-defs]:

BadAdminTokenTear =  
 ((&internal:enclaveStatus  $\in$  { $\ll gotAdminToken \gg$ ,  $\ll waitingStartAdminOp \gg$ ,  $\ll waitingFinishAdminOp \gg$ }  
 $\rightarrow_r$  AdminTokenTear)

**definition** BadAdminLogout :: IDStation hrel **where**

[upred-defs, tis-defs]:

BadAdminLogout =  
 ((&internal:enclaveStatus  $\in$  { $\ll waitingStartAdminOp \gg$ ,  $\ll waitingFinishAdminOp \gg$ }  
 )  $\rightarrow_r$  (BadAdminTokenTear ;; AdminLogout))

**definition** LoginAborted :: IDStation hrel **where**

[upred-defs, tis-defs]:

LoginAborted = ((&internal:enclaveStatus =  $\ll gotAdminToken \gg$ )  $\rightarrow_r$  BadAdminTokenTear)

**lemma** LoginAborted-correct:

{IDStation} LoginAborted {IDStation}  
**apply** (rule IDStation-correct-intro)  
**apply** (hoare-wlp-auto defs: tis-defs)  
**apply** (rule IDStation-inv-intro)  
**apply** (hoare-wlp-auto defs: tis-defs)  
**apply** (hoare-wlp-auto defs: tis-defs)

```

    apply (hoare-wlp-auto defs: tis-defs)
    apply (hoare-wlp-auto defs: tis-defs)
    apply (hoare-wlp-auto defs: tis-defs)
    apply (hoare-wlp-auto defs: tis-defs)
    apply (hoare-wlp-auto defs: tis-defs)
    apply (hoare-wlp-auto defs: tis-defs)
    apply (hoare-wlp-auto defs: tis-defs)
    apply (hoare-wlp-auto defs: tis-defs)
done

```

**definition** *ReadAdminToken* :: *IDStation* hrel **where**

[*upred-defs*, *tis-defs*]:

```

ReadAdminToken =
  ((&internal:enclaveStatus = «enclaveQuiescent»
    ∧ &internal:status ∈ {«quiescent», «waitingRemoveTokenFail»}
    ∧ &admin:rolePresent = «None»
    ∧ &iadminToken:adminTokenPresence = «present»
  ) →r internal:enclaveStatus := «gotAdminToken»)

```

**lemma** *ReadAdminToken-correct*:

```

{IDStation} ReadAdminToken {IDStation}
apply (rule IDStation-correct-intro)
apply (hoare-wlp-auto defs: tis-defs)
apply (rule IDStation-inv-intro)
  apply (hoare-wlp-auto defs: tis-defs)
  apply (hoare-wlp-auto defs: tis-defs)
  apply (hoare-wlp-auto defs: tis-defs)
  apply (hoare-wlp-auto defs: tis-defs)
  apply (hoare-wlp-auto defs: tis-defs)
  apply (hoare-wlp-auto defs: tis-defs)
  apply (hoare-wlp-auto defs: tis-defs)
  apply (hoare-wlp-auto defs: tis-defs)
  apply (hoare-wlp-auto defs: tis-defs)
done

```

**definition** *TISReadAdminToken* :: *SystemState* hrel **where**

[*upred-defs*, *tis-defs*]: *TISReadAdminToken* = *UEC*(*ReadAdminToken*)

**definition** *ValidateAdminTokenOK* :: *IDStation* hrel **where**

[*upred-defs*, *tis-defs*]:

```

ValidateAdminTokenOK =
  ((&internal:enclaveStatus = «gotAdminToken»
    ∧ &iadminToken:adminTokenPresence = «present»
    ∧ @AdminTokenOK
  ) →r AdminLogon ;;
    currentScreen:screenMsg := «requestAdminOp» ;;
    internal:enclaveStatus := «enclaveQuiescent»)

```

**lemma** *ValidateAdminTokenOK-correct:*  
 $\{IDStation\}$  *ValidateAdminTokenOK* $\{IDStation\}$   
**apply** (rule *IDStation-correct-intro*)  
**apply** (hoare-wlp-auto defs: tis-defs)  
**apply** (rule *IDStation-inv-intro*)  
**apply** (hoare-wlp-auto defs: tis-defs)  
**apply** (hoare-wlp-auto defs: tis-defs)  
**apply** (hoare-wlp-auto defs: tis-defs)  
**apply** (hoare-wlp-auto defs: tis-defs)  
**apply** (hoare-wlp-auto defs: tis-defs)  
**apply** (hoare-wlp-auto defs: tis-defs)  
**apply** (hoare-wlp-auto defs: tis-defs)  
**apply** (hoare-wlp-auto defs: tis-defs)  
**done**

**definition** *ValidateAdminTokenFail* :: *IDStation hrel* **where**  
[upred-defs, tis-defs]:  
*ValidateAdminTokenFail* =  
((&internal:enclaveStatus = <<gotAdminToken>>  
 $\wedge$  &iadminToken:adminTokenPresence = <<present>>  
 $\wedge$  ( $\neg$  @AdminTokenOK)  
)  $\rightarrow_r$  currentScreen:screenMsg := <<removeAdminToken>> ;;  
internal:enclaveStatus := <<waitingRemoveAdminTokenFail>>)

**lemma** *ValidateAdminTokenFail-correct:*  
 $\{IDStation\}$  *ValidateAdminTokenFail* $\{IDStation\}$   
**apply** (rule *IDStation-correct-intro*)  
**apply** (simp add: tis-defs, hoare-auto)  
**apply** (simp add: *IDStation-inv-def*)  
**apply** (auto simp add: hoare-post-conj-split)  
**apply** (hoare-wlp-auto defs: tis-defs)  
**apply** (hoare-wlp-auto defs: tis-defs)  
**apply** (hoare-wlp-auto defs: tis-defs)  
**apply** (hoare-wlp-auto defs: tis-defs)  
**apply** (hoare-wlp-auto defs: tis-defs)  
**apply** (hoare-wlp-auto defs: tis-defs)  
**apply** (hoare-wlp-auto defs: tis-defs)  
**apply** (hoare-wlp-auto defs: tis-defs)  
**done**

**definition** *TISValidateAdminToken* :: *SystemState hrel* **where**  
[upred-defs, tis-defs]:  
*TISValidateAdminToken* =  
(*UEC*(*ValidateAdminTokenOK*)  $\vee$  *UEC*(*ValidateAdminTokenFail*)  $\vee$  *UEC*(*LoginAborted*))

**lemma** *TISValidateAdminToken-correct*:  
 $\{IDStation \oplus_p tis\} TISValidateAdminToken \{IDStation \oplus_p tis\}$   
**by** (*simp* *add*: *LoginAborted-correct* *TISValidateAdminToken-def* *UEC-correct*  
*ValidateAdminTokenFail-correct* *ValidateAdminTokenOK-correct* *disj-upred-def* *hoare-ndet*)

**definition** *FailedAdminTokenRemove* :: *IDStation hrel* **where**  
 $[upred-defs, tis-defs]:$

*FailedAdminTokenRemove* =  
 $((\&internal:enclaveStatus = \ll waitingRemoveAdminTokenFail \gg$   
 $\wedge \&iadminToken:adminTokenPresence = \ll absent \gg$   
 $) \longrightarrow_r currentScreen:screenMsg := \ll welcomeAdmin \gg ;;$   
 $internal:enclaveStatus := \ll enclaveQuiescent \gg)$

**lemma** *FailedAdminTokenRemove-correct*:  
 $\{IDStation\} FailedAdminTokenRemove \{IDStation\}$   
**apply** (*rule IDStation-correct-intro*)  
**apply** (*hoare-wlp-auto defs: tis-defs*)  
**apply** (*rule IDStation-inv-intro*)  
**apply** (*hoare-wlp-auto defs: tis-defs*)  
**apply** (*hoare-wlp-auto defs: tis-defs*)  
**apply** (*hoare-wlp-auto defs: tis-defs*)  
**apply** (*hoare-wlp-auto defs: tis-defs*)  
**apply** (*hoare-wlp-auto defs: tis-defs*)  
**apply** (*hoare-wlp-auto defs: tis-defs*)  
**apply** (*hoare-wlp-auto defs: tis-defs*)  
**apply** (*hoare-wlp-auto defs: tis-defs*)  
**done**

**definition** *WaitingAdminTokenRemoval* :: *IDStation hrel* **where**  
 $[upred-defs, tis-defs]:$

*WaitingAdminTokenRemoval* =  
 $((\&internal:enclaveStatus = \ll waitingRemoveAdminTokenFail \gg$   
 $\wedge \&iadminToken:adminTokenPresence = \ll present \gg) \longrightarrow_r II)$

**lemma** *WaitingAdminTokenRemoval-correct*:  
 $\{IDStation\} WaitingAdminTokenRemoval \{IDStation\}$   
**apply** (*rule IDStation-correct-intro*)  
**apply** (*hoare-wlp-auto defs: tis-defs*)  
**apply** (*rule IDStation-inv-intro*)  
**apply** (*hoare-wlp-auto defs: tis-defs*)  
**apply** (*hoare-wlp-auto defs: tis-defs*)  
**apply** (*hoare-wlp-auto defs: tis-defs*)  
**apply** (*hoare-wlp-auto defs: tis-defs*)  
**apply** (*hoare-wlp-auto defs: tis-defs*)  
**apply** (*hoare-wlp-auto defs: tis-defs*)  
**apply** (*hoare-wlp-auto defs: tis-defs*)  
**done**

**apply** (hoare-wlp-auto defs: tis-defs)  
**apply** (hoare-wlp-auto defs: tis-defs)  
**done**

**definition** *TISCompleteFailedAdminLogon* :: *SystemState* hrel **where**

[upred-defs, tis-defs]:

*TISCompleteFailedAdminLogon* = (*UEC*(*FailedAdminTokenRemove*)  $\vee$  *UEC*(*WaitingAdminTokenRemoval*))

**lemma** *TISCompleteFailedAdminLogon-correct*:

{*IDStation*  $\oplus_p$  *tis*} *TISCompleteFailedAdminLogon*{*IDStation*  $\oplus_p$  *tis*}

**by** (*simp add*: *FailedAdminTokenRemove-correct* *TISCompleteFailedAdminLogon-def*  
*UEC-correct* *WaitingAdminTokenRemoval-correct* *disj-upred-def* *hoare-ndet*)

**definition** [upred-defs, tis-defs]:

*TISAdminLogon* = (*TISReadAdminToken*  $\vee$  *TISValidateAdminToken*  $\vee$  *TISCompleteFailedAdminLogon*)

**lemma** *TISAdminLogon-correct*:

{*IDStation*  $\oplus_p$  *tis*} *TISAdminLogon*{*IDStation*  $\oplus_p$  *tis*}

**by** (*simp add*: *ReadAdminToken-correct* *TISAdminLogon-def* *TISCompleteFailedAdminLogon-correct*  
*TISReadAdminToken-def* *TISValidateAdminToken-correct* *UEC-correct* *disj-upred-def*  
*hoare-ndet*)

**definition** *StartOpContext* :: *IDStation* hrel **where**

[upred-defs, tis-defs]:

*StartOpContext* =

((*&internal:enclaveStatus* = *<<enclaveQuiescent>>*  
 $\wedge$  *&iadminToken:adminTokenPresence* = *<<present>>*  
 $\wedge$  *&admin:rolePresent*  $\neq$  *<<None>>*  
 $\wedge$  *&internal:status*  $\in$  {*<<quiescent>>*, *<<waitingRemoveTokenFail>>*})  $\longrightarrow_r$  *II*)

**definition** *ValidateOpRequestOK* :: *IDStation* hrel **where**

[upred-defs, tis-defs]:

*ValidateOpRequestOK* =

((*&ikeyboard:keyedDataPresence* = *<<present>>*  $\wedge$   
*&ikeyboard:currentKeyedData*  $\in$  *<<keyedOps>>* ‘ *&admin:availableOps*)  
 $\longrightarrow_r$  *StartOpContext* ;;  
*AdminStartOp* ;;  
*currentScreen:screenMsg* := *<<doingOp>>* ;;  
*internal:enclaveStatus* := *<<waitingStartAdminOp>>*)

**lemma** *ValidateOpRequestOK-correct*:

{*IDStation*} *ValidateOpRequestOK*{*IDStation*}

**apply** (rule *IDStation-correct-intro*)

**apply** (hoare-wlp-auto defs: tis-defs)

**apply** (rule *IDStation-inv-intro*)

**apply** (hoare-wlp-auto defs: tis-defs)

**apply** (hoare-wlp-auto defs: tis-defs)

**apply** (hoare-wlp-auto defs: tis-defs)

```

    apply (hoare-wlp-auto defs: tis-defs)
    apply (hoare-wlp-auto defs: tis-defs)
    apply (hoare-wlp-auto defs: tis-defs)
    apply (hoare-wlp-auto defs: tis-defs)
    apply (hoare-wlp-auto defs: tis-defs)
    apply (hoare-wlp-auto defs: tis-defs)
    apply (hoare-wlp-auto defs: tis-defs)
done

```

**definition** *ValidateOpRequestFail* :: *IDStation hrel* **where**

[*upred-defs*, *tis-defs*]:

*ValidateOpRequestFail* =

```

((&ikeyboard:keyedDataPresence = <<present>> ∧
  &ikeyboard:currentKeyedData ∉ <<keyedOps>> ‘&admin:availableOps)
→r StartOpContext ;;
  currentScreen:screenMsg := <<invalidRequest>>)

```

**lemma** *ValidateOpRequestFail-correct*:

{*IDStation*} *ValidateOpRequestFail*{*IDStation*}

apply (rule *IDStation-correct-intro*)

apply (hoare-wlp-auto defs: *tis-defs*)

apply (rule *IDStation-inv-intro*)

apply (hoare-wlp-auto defs: *tis-defs*)

apply (hoare-wlp-auto defs: *tis-defs*)

apply (hoare-wlp-auto defs: *tis-defs*)

apply (hoare-wlp-auto defs: *tis-defs*)

apply (hoare-wlp-auto defs: *tis-defs*)

apply (hoare-wlp-auto defs: *tis-defs*)

apply (hoare-wlp-auto defs: *tis-defs*)

apply (hoare-wlp-auto defs: *tis-defs*)

apply (hoare-wlp-auto defs: *tis-defs*)

done

**definition** *NoOpRequest* :: *IDStation hrel* **where**

[*upred-defs*, *tis-defs*]:

*NoOpRequest* =

```

((&ikeyboard:keyedDataPresence = <<absent>>) →r StartOpContext)

```

**lemma** *NoOpRequest-correct*:

{*IDStation*} *NoOpRequest*{*IDStation*}

apply (rule *IDStation-correct-intro*)

apply (hoare-wlp-auto defs: *tis-defs*)

apply (rule *IDStation-inv-intro*)

apply (hoare-wlp-auto defs: *tis-defs*)

apply (hoare-wlp-auto defs: *tis-defs*)

apply (hoare-wlp-auto defs: *tis-defs*)

apply (hoare-wlp-auto defs: *tis-defs*)

apply (hoare-wlp-auto defs: *tis-defs*)

done



```

    apply (hoare-wlp-auto defs: tis-defs)
    apply (hoare-wlp-auto defs: tis-defs)
    apply (hoare-wlp-auto defs: tis-defs)
    apply (hoare-wlp-auto defs: tis-defs)
    apply (hoare-wlp-auto defs: tis-defs)
done

```

**definition** [upred-defs, tis-defs]:

$ValidateOpRequest = (ValidateOpRequestOK \vee ValidateOpRequestFail \vee NoOpRequest)$

**definition** [upred-defs, tis-defs]:  $TISStartAdminOp = UEC(ValidateOpRequest)$

**lemma**  $TISStartAdminOp$ -correct:

$\{IDStation \oplus_p tis\} TISStartAdminOp \{IDStation \oplus_p tis\}$   
**by** (simp add: NoOpRequest-correct  $TISStartAdminOp$ -def  $UEC$ -correct  $ValidateOpRequestFail$ -correct  
 $ValidateOpRequestOK$ -correct  $ValidateOpRequest$ -def disj-upred-def hoare-ndet)

**definition**  $AdminOpStartedContext :: IDStation$  upred **where**

[upred-defs, tis-defs]:

$AdminOpStartedContext =$

$U(\&internal:enclaveStatus = waitingStartAdminOp \wedge \&iadminToken:adminTokenPresence = present)$

**definition**  $AdminOpFinishContext :: IDStation$  hrel **where**

[upred-defs, tis-defs]:

$AdminOpFinishContext =$

$(\&internal:enclaveStatus = waitingFinishAdminOp \wedge \&iadminToken:adminTokenPresence = present)$

$\longrightarrow_r internal:enclaveStatus := enclaveQuiescent \;; admin:currentAdminOp := None$

**definition**  $ShutdownOK :: IDStation$  hrel **where**

[upred-defs, tis-defs]:

$ShutdownOK =$

$((\&internal:enclaveStatus = \langle\langle waitingStartAdminOp \rangle\rangle$   
 $\wedge \&admin:currentAdminOp = \langle\langle Some(shutdownOp) \rangle\rangle$   
 $\wedge \&doorLatchAlarm:currentDoor = \langle\langle closed \rangle\rangle$   
 $) \longrightarrow_r LockDoor \;;$   
 $AdminLogout \;;$   
 $currentScreen:screenMsg := \langle\langle clear \rangle\rangle \;;$   
 $internal:enclaveStatus := \langle\langle shutdown \rangle\rangle \;;$   
 $currentDisplay := \langle\langle blank \rangle\rangle$   
 $)$

**lemma**  $ShutdownOK$ -correct:

$\{IDStation\} ShutdownOK \{IDStation\}$   
**apply** (rule  $IDStation$ -correct-intro)  
**apply** (hoare-wlp-auto defs: tis-defs)

```

apply (rule IDStation-inv-intro)
  apply (hoare-wlp-auto defs: tis-defs)
  apply (hoare-wlp-auto defs: tis-defs)
  apply (hoare-wlp-auto defs: tis-defs)
  apply (hoare-wlp-auto defs: tis-defs)
  apply (hoare-wlp-auto defs: tis-defs)
  apply (hoare-wlp-auto defs: tis-defs)
  apply (hoare-wlp-auto defs: tis-defs)
  apply (hoare-wlp-auto defs: tis-defs)
  apply (hoare-wlp-auto defs: tis-defs)
done

```

**definition** *ShutdownWaitingDoor* :: *IDStation* hrel **where**

[*upred-defs*, *tis-defs*]:

```

ShutdownWaitingDoor =
  ((&internal:enclaveStatus = <<waitingStartAdminOp>>
    ∧ &admin:currentAdminOp = <<Some(shutdownOp)>>
    ∧ &doorLatchAlarm:currentDoor = <<dopen>>
    ) →r currentScreen:screenMsg := <<closeDoor>>
  )

```

**lemma** *ShutdownWaitingDoor-correct*:

{*IDStation*} *ShutdownWaitingDoor*{*IDStation*}

```

apply (rule IDStation-correct-intro)
  apply (hoare-wlp-auto defs: tis-defs)
apply (rule IDStation-inv-intro)
  apply (hoare-wlp-auto defs: tis-defs)
  apply (hoare-wlp-auto defs: tis-defs)
  apply (hoare-wlp-auto defs: tis-defs)
  apply (hoare-wlp-auto defs: tis-defs)
  apply (hoare-wlp-auto defs: tis-defs)
  apply (hoare-wlp-auto defs: tis-defs)
  apply (hoare-wlp-auto defs: tis-defs)
  apply (hoare-wlp-auto defs: tis-defs)
  apply (hoare-wlp-auto defs: tis-defs)
done

```

**definition** *TISShutdownOp* :: *SystemState* hrel **where**

[*upred-defs*, *tis-defs*]:

*TISShutdownOp* = (*UEC*(*ShutdownOK*) ∨ *UEC*(*ShutdownWaitingDoor*))

**lemma** *TISShutdownOp-correct*:

{*IDStation* ⊕<sub>p</sub> *tis*} *TISShutdownOp*{*IDStation* ⊕<sub>p</sub> *tis*}

**by** (*simp add: ShutdownOK-correct ShutdownWaitingDoor-correct TISShutdownOp-def*  
*UEC-correct disj-upred-def hoare-ndet*)

**definition** *OverrideDoorLockOK* :: *IDStation* hrel **where**

[upred-defs, tis-defs]:  
 OverrideDoorLockOK =  
 ((AdminOpStartedContext  $\wedge$  &admin:currentAdminOp =  $\ll$ Some(overrideLock) $\gg$ )  
 $\longrightarrow_r$  currentScreen:screenMsg := requestAdminOp ;;  
 currentDisplay := doorUnlocked ;;  
 internal:enclaveStatus := enclaveQuiescent ;;  
 UnlockDoor ;;  
 AdminFinishOp)

**lemma** OverrideDoorLockOK-correct:  
 {IDStation} OverrideDoorLockOK{IDStation}  
 apply (rule IDStation-correct-intro)  
 apply (hoare-wlp-auto defs: tis-defs)  
 apply (rule IDStation-inv-intro)  
 apply (hoare-wlp-auto defs: tis-defs)  
 apply (hoare-wlp-auto defs: tis-defs)  
 apply (hoare-wlp-auto defs: tis-defs)  
 apply (hoare-wlp-auto defs: tis-defs)  
 apply (hoare-wlp-auto defs: tis-defs)  
 apply (hoare-wlp-auto defs: tis-defs)  
 apply (hoare-wlp-auto defs: tis-defs)  
 apply (hoare-wlp-auto defs: tis-defs)  
 done

**definition** TISOverrideDoorLockOp :: SystemState hrel **where**  
 [upred-defs, tis-defs]:  
 TISOverrideDoorLockOp =  
 (UEC(OverrideDoorLockOK)  
 $\vee$  UEC((&internal:enclaveStatus =  $\ll$ waitingStartAdminOp $\gg$   
 $\wedge$  &admin:currentAdminOp =  $\ll$ Some(overrideLock) $\gg$ )  $\longrightarrow_r$  BadAdminLogout))

**lemma** TISOverrideDoorLockOp-correct:  
 {IDStation  $\oplus_p$  tis} TISOverrideDoorLockOp{IDStation  $\oplus_p$  tis}  
 apply (simp add: TISOverrideDoorLockOp-def)  
 apply (rule hoare-disj)  
 using OverrideDoorLockOK-correct UEC-correct **apply** blast  
 apply (rule UEC-correct)  
 apply (rule IDStation-correct-intro)  
 apply (hoare-wlp-auto defs: tis-defs)  
 apply (rule IDStation-inv-intro)  
 apply (hoare-wlp-auto defs: tis-defs)  
 apply (hoare-wlp-auto defs: tis-defs)  
 apply (hoare-wlp-auto defs: tis-defs)  
 apply (hoare-wlp-auto defs: tis-defs)  
 apply (hoare-wlp-auto defs: tis-defs)

```

    apply (hoare-wlp-auto defs: tis-defs)
    apply (hoare-wlp-auto defs: tis-defs)
    apply (hoare-wlp-auto defs: tis-defs)
    apply (hoare-wlp-auto defs: tis-defs)
done

```

**definition** *StartArchiveLogOK* :: *IDStation hrel* **where**  
*[upred-defs, tis-defs]:*  
*StartArchiveLogOK* =  
 (*AdminOpStartedContext*  $\wedge$   $\&\text{admin}:\text{currentAdminOp} = \ll\text{Some}(\text{archiveLog})\gg$   
 $\wedge$   $\&\text{ifloppy}:\text{floppyPresence} = \text{present}$ )  $\longrightarrow_r$   
*currentScreen:screenMsg* := *doingOp* ;;  
*internal:enclaveStatus* := *waitingFinishAdminOp* ;;  
 ( $\prod$  *archive* :: *Audit set* •  
   *ArchiveLog archive* ;;  
   *ifloppy:writtenFloppy* :=  $\ll\text{auditFile archive}\gg$ )

**definition** *StartArchiveLogWaitingFloppy* :: *IDStation hrel* **where**  
*[upred-defs, tis-defs]:*  
*StartArchiveLogWaitingFloppy* =  
 (*AdminOpStartedContext*  $\wedge$   $\&\text{admin}:\text{currentAdminOp} = \ll\text{Some}(\text{archiveLog})\gg$   
 $\wedge$   $\&\text{ifloppy}:\text{floppyPresence} = \text{absent}$ )  $\longrightarrow_r$   
*currentScreen:screenMsg* := *insertBlankFloppy*

**definition** *StartArchiveLog* :: *SystemState hrel* **where**  
*[upred-defs, tis-defs]:*  
*StartArchiveLog* = ((*tis*:*StartArchiveLogOK*)<sup>+</sup> ;; *UpdateFloppy*)  
 $\vee$  *tis*:*StartArchiveLogWaitingFloppy*)<sup>+</sup>  
 $\vee$  *tis*:*BadAdminLogout* ;;  
   ? $\&\text{internal:enclaveStatus} = \text{waitingStartAdminOp}$   
    $\wedge$   $\&\text{admin}:\text{currentAdminOp} = \ll\text{Some}(\text{archiveLog})\gg$ )]<sup>+</sup>)

**definition** *FinishArchiveLogOK* :: *IDStation hrel* **where**  
*[upred-defs, tis-defs]:*  
*FinishArchiveLogOK* =  
*AdminOpFinishContext* ;;  
 (( $\&\text{admin}:\text{currentAdminOp} = \ll\text{Some}(\text{archiveLog})\gg$   
 $\wedge$   $\&\text{ifloppy}:\text{floppyPresence} = \text{present}$   
 $\wedge$   $\&\text{ifloppy}:\text{writtenFloppy} = \&\text{ifloppy}:\text{currentFloppy}$ )  
 $\longrightarrow_r$  ( $\prod$  *archive* :: *Audit set* •  
   *ClearLogThenAddElements archive* ;;  
   *ifloppy:writtenFloppy* :=  $\ll\text{auditFile archive}\gg$   
 ) ;;  
*currentScreen:screenMsg* := *requestAdminOp*)

**definition** *FinishArchiveLogNoFloppy* :: *IDStation hrel* **where**  
*[upred-defs, tis-defs]:*  
*FinishArchiveLogNoFloppy* =  
*AdminOpFinishContext* ;;

$((\&admin:currentAdminOp = \ll Some(archiveLog) \gg$   
 $\wedge \&ifloppy:floppyPresence = absent)$   
 $\longrightarrow_r AddElementsToLog \;; currentScreen:screenMsg := archiveFailed)$

**definition** *FinishArchiveLogBadMatch* :: *IDStation hrel where*

[*upred-defs, tis-defs*]:

*FinishArchiveLogBadMatch* =

$AdminOpFinishContext \;;$   
 $((\&admin:currentAdminOp = \ll Some(archiveLog) \gg$   
 $\wedge \&ifloppy:floppyPresence = present$   
 $\wedge \&ifloppy:writtenFloppy \neq \&ifloppy:currentFloppy)$   
 $\longrightarrow_r AddElementsToLog \;; currentScreen:screenMsg := archiveFailed)$

**abbreviation** *FinishArchiveLogFail*  $\equiv FinishArchiveLogBadMatch \vee FinishArchiveLogNoFloppy$

**definition** *FinishArchiveLog* :: *IDStation hrel where*

[*upred-defs, tis-defs*]:

$FinishArchiveLog = (FinishArchiveLogOK \vee FinishArchiveLogFail$   
 $\vee BadAdminLogout \;; ?[\&internal:enclaveStatus = waitingFinishAdminOp$   
 $\wedge \&admin:currentAdminOp = \ll Some(archiveLog) \gg])$

**abbreviation** *TISArchiveLogOp*  $\equiv StartArchiveLog \vee UEC(FinishArchiveLog)$

**definition** *StartUpdateConfigOK* :: *IDStation hrel where*

[*upred-defs, tis-defs*]:

$StartUpdateConfigOK =$   
 $(AdminOpStartedContext \wedge \&admin:currentAdminOp = \ll Some(updateConfigData) \gg$   
 $\wedge \&ifloppy:floppyPresence = present) \longrightarrow_r$   
 $currentScreen:screenMsg := doingOp \;;$   
 $internal:enclaveStatus := waitingFinishAdminOp$

**lemma** *StartUpdateConfigOK-correct:*

$\{IDStation\} StartUpdateConfigOK \{IDStation\}$

**apply** (rule *IDStation-correct-intro*)

**apply** (hoare-wlp-auto defs: *tis-defs*)

**apply** (rule *IDStation-inv-intro*)

**apply** (hoare-wlp-auto defs: *tis-defs*)

**apply** (hoare-wlp-auto defs: *tis-defs*)

**apply** (hoare-wlp-auto defs: *tis-defs*)

**apply** (hoare-wlp-auto defs: *tis-defs*)

**apply** (hoare-wlp-auto defs: *tis-defs*)

**apply** (hoare-wlp-auto defs: *tis-defs*)

**apply** (hoare-wlp-auto defs: *tis-defs*)

**apply** (hoare-wlp-auto defs: *tis-defs*)

**done**

**definition** *StartUpdateConfigWaitingFloppy* :: *IDStation hrel where*

[upred-defs, tis-defs]:  
*StartUpdateConfigWaitingFloppy* =  
 (*AdminOpStartedContext*  $\wedge$   $\&\text{admin:currentAdminOp} = \ll\text{Some}(\text{updateConfigData})\gg$   
 $\wedge$   $\&\text{ifloppy:floppyPresence} = \text{absent}$ )  $\longrightarrow_r$   
*currentScreen:screenMsg* := *insertConfigData*

**lemma** *StartUpdateConfigWaitingFloppy-correct*:  
 $\{IDStation\} \text{StartUpdateConfigWaitingFloppy} \{IDStation\}$   
**apply** (rule *IDStation-correct-intro*)  
**apply** (hoare-wlp-auto defs: tis-defs)  
**apply** (rule *IDStation-inv-intro*)  
**apply** (hoare-wlp-auto defs: tis-defs)  
**apply** (hoare-wlp-auto defs: tis-defs)  
**apply** (hoare-wlp-auto defs: tis-defs)  
**apply** (hoare-wlp-auto defs: tis-defs)  
**apply** (hoare-wlp-auto defs: tis-defs)  
**apply** (hoare-wlp-auto defs: tis-defs)  
**apply** (hoare-wlp-auto defs: tis-defs)  
**apply** (hoare-wlp-auto defs: tis-defs)  
**done**

**definition** *StartUpdateConfigData* :: *IDStation hrel* **where**  
 [upred-defs, tis-defs]:  
*StartUpdateConfigData*  $\equiv$  *StartUpdateConfigOK*  $\vee$  *StartUpdateConfigWaitingFloppy*  
 $\vee$  ?[ $\&\text{internal:enclaveStatus} = \text{waitingStartAdminOp} \wedge$   
 $\&\text{admin:currentAdminOp} = \ll\text{Some}(\text{updateConfigData})\gg$ ] ;;  
*BadAdminLogout*

**lemma** *StartUpdateConfigData-correct*:  
 $\{IDStation\} \text{StartUpdateConfigData} \{IDStation\}$   
**apply** (simp add: *StartUpdateConfigData-def*)  
**apply** (rule hoare-disj)  
**apply** (simp add: *StartUpdateConfigOK-correct*)  
**apply** (rule hoare-disj)  
**apply** (simp add: *StartUpdateConfigWaitingFloppy-correct*)  
**apply** (rule *IDStation-correct-intro*)  
**apply** (hoare-wlp-auto defs: tis-defs)  
**apply** (rule *IDStation-inv-intro*)  
**apply** (hoare-wlp-auto defs: tis-defs)  
**apply** (hoare-wlp-auto defs: tis-defs)  
**apply** (hoare-wlp-auto defs: tis-defs)  
**apply** (hoare-wlp-auto defs: tis-defs)  
**apply** (hoare-wlp-auto defs: tis-defs)  
**apply** (hoare-wlp-auto defs: tis-defs)  
**apply** (hoare-wlp-auto defs: tis-defs)  
**apply** (hoare-wlp-auto defs: tis-defs)

**apply** (hoare-wlp-auto defs: tis-defs)  
**done**

**definition** *FinishUpdateConfigDataOK* :: IDStation hrel **where**  
 [upred-defs, tis-defs]:  
*FinishUpdateConfigDataOK* =  
 AdminOpFinishContext ;;  
 ((&admin:currentAdminOp = «Some(updateConfigData)»  
 ∧ &ifloppy:floppyPresence = present — Can this we secured via an invariant?  
 ∧ &ifloppy:currentFloppy ∈ «range(configFile)»  
 ∧ (Config ⊕<sub>p</sub> config) [U(configFile-of &ifloppy:currentFloppy)/&config]  
 )  $\longrightarrow_r$   
 config := configFile-of &ifloppy:currentFloppy ;;  
 currentScreen:screenMsg := requestAdminOp ;;  
 — The lines are added to preserve the invariants  
 currentScreen:screenConfig := displayConfigData config  
 )

**lemma** *FinishUpdateConfigDataOK-correct*:  
 {IDStation} FinishUpdateConfigDataOK {IDStation}  
**apply** (rule IDStation-correct-intro)  
**apply** (hoare-wlp-auto defs: tis-defs)  
**apply** (rule IDStation-inv-intro)  
**apply** (hoare-wlp-auto defs: tis-defs)  
**apply** (hoare-wlp-auto defs: tis-defs)  
**apply** (hoare-wlp-auto defs: tis-defs)  
**apply** (hoare-wlp-auto defs: tis-defs)  
**apply** (hoare-wlp-auto defs: tis-defs)  
**apply** (hoare-wlp-auto defs: tis-defs)  
**apply** (hoare-wlp-auto defs: tis-defs)  
**apply** (hoare-wlp-auto defs: tis-defs)  
**done**

**definition** *FinishUpdateConfigDataFail* :: IDStation hrel **where**  
 [upred-defs, tis-defs]:  
*FinishUpdateConfigDataFail* =  
 AdminOpFinishContext ;;  
 ((&admin:currentAdminOp = «Some(updateConfigData)»  
 ∧ &ifloppy:currentFloppy ∉ «range(configFile)»  
 )  $\longrightarrow_r$   
 currentScreen:screenMsg := invalidData ;;  
 AddElementsToLog)

**lemma** *FinishUpdateConfigDataFail-correct*:  
 {IDStation} FinishUpdateConfigDataFail {IDStation}  
**apply** (rule IDStation-correct-intro)  
**apply** (hoare-wlp-auto defs: tis-defs)

```

apply (rule IDStation-inv-intro)
  apply (hoare-wlp-auto defs: tis-defs)
  apply (hoare-wlp-auto defs: tis-defs)
  apply (hoare-wlp-auto defs: tis-defs)
  apply (hoare-wlp-auto defs: tis-defs)
  apply (hoare-wlp-auto defs: tis-defs)
  apply (hoare-wlp-auto defs: tis-defs)
  apply (hoare-wlp-auto defs: tis-defs)
  apply (hoare-wlp-auto defs: tis-defs)
  apply (hoare-wlp-auto defs: tis-defs)
done

```

**definition**

[*upred-defs*, *tis-defs*]:

$FinishUpdateConfigData \equiv FinishUpdateConfigDataOK \vee FinishUpdateConfigDataFail$   
 $\vee ?[\&internal:enclaveStatus = waitingFinishAdminOp$   
 $\wedge \&admin:currentAdminOp = \ll Some(updateConfigData) \gg]$   
 $:: BadAdminLogout$

**lemma** *FinishUpdateConfigData-correct*:

```

{IDStation} FinishUpdateConfigData {IDStation}
apply (simp add: FinishUpdateConfigData-def)
apply (rule hoare-disj)
apply (simp add: FinishUpdateConfigDataOK-correct)
apply (rule hoare-disj)
using FinishUpdateConfigDataFail-correct apply blast
apply (rule IDStation-correct-intro)
  apply (hoare-wlp-auto defs: tis-defs)
apply (rule IDStation-inv-intro)
  apply (hoare-wlp-auto defs: tis-defs)
  apply (hoare-wlp-auto defs: tis-defs)
  apply (hoare-wlp-auto defs: tis-defs)
  apply (hoare-wlp-auto defs: tis-defs)
  apply (hoare-wlp-auto defs: tis-defs)
  apply (hoare-wlp-auto defs: tis-defs)
  apply (hoare-wlp-auto defs: tis-defs)
  apply (hoare-wlp-auto defs: tis-defs)
  apply (hoare-wlp-auto defs: tis-defs)
done

```

**definition** *TISUpdateConfigDataOp* :: *SystemState hrel* **where**

[*upred-defs*, *tis-defs*]:  $TISUpdateConfigDataOp \equiv UEC(StartUpdateConfigData \vee FinishUpdateConfigData)$

**lemma** *TISUpdateConfigDataOp-correct*:

```

{IDStation  $\oplus_p$  tis} TISUpdateConfigDataOp {IDStation  $\oplus_p$  tis}
by (simp add: FinishUpdateConfigData-correct StartUpdateConfigData-correct)

```



*TISUpdateConfigDataOp*-def *UEC-correct* *disj-upred-def* *hoare-ndet*)

**definition** *TISArchiveLog* :: *SystemState* *hrel* **where**  
*[upred-defs, tis-defs]*: *TISArchiveLog* = *false* — *TODO*

**definition** *TISAdminOp* :: *SystemState* *hrel* **where**  
*[upred-defs, tis-defs]*:  
*TISAdminOp* = (*TISOverrideDoorLockOp*  $\vee$  *TISShutdownOp*  $\vee$  *TISUpdateConfigDataOp*  $\vee$  *TISArchiveLog*)

**lemma** *TISAdminOp-correct*:  
 $\{IDStation \oplus_p tis\} TISAdminOp \{IDStation \oplus_p tis\}$   
**by** (*simp add: TISAdminOp-def TISArchiveLog-def TISOverrideDoorLockOp-correct*  
*TISShutdownOp-correct TISUpdateConfigDataOp-correct disj-upred-def hoare-ndet*)

**definition** *TISAdminLogout* :: *SystemState* *hrel* **where** *[upred-defs, tis-defs]*: *TISAdminLogout* = *false*

**definition** *TISIdle* :: *SystemState* *hrel* **where**  
*[upred-defs, tis-defs]*:  
*TISIdle* = *UEC*((*&internal:status* =  $\ll quiescent \gg$   
 $\wedge$  *&internal:enclaveStatus* =  $\ll enclaveQuiescent \gg$   
 $\wedge$  *&iuserToken:userTokenPresence* =  $\ll absent \gg$   
 $\wedge$  *&iadminToken:adminTokenPresence* =  $\ll absent \gg$   
 $\wedge$  *&admin:rolePresent* =  $\ll None \gg$ )  $\longrightarrow_r II$ )

## 11 The Initial System and Startup

**definition** *InitDoorLatchAlarm* :: *IDStation* *hrel* **where**  
*[upred-defs, tis-defs]*:  
*InitDoorLatchAlarm* =

*doorLatchAlarm*:  
 $currentTime := zeroTime$  ;;  
 $currentDoor := closed$  ;;  
 $currentLatch := locked$  ;;  
 $doorAlarm := silent$  ;;  
 $latchTimeout := zeroTime$  ;;  
 $alarmTimeout := zeroTime$ ]<sup>+</sup>

**definition** *InitKeyStore* :: *IDStation* *hrel* **where**  
*[upred-defs, tis-defs]*:  
*InitKeyStore* =

*keyStore*:  
 $issuerKey := \{\}$  ;;  
 $ownName := None$   
]<sup>+</sup>

**definition** *InitConfig* :: *IDStation* *hrel* **where**  
*[upred-defs, tis-defs]*:  
*InitConfig* =

```

config:[
  alarmSilentDuration := 10 ;;
  latchUnlockDuration := 150 ;;
  tokenRemovalDuration := 100 ;;
  enclaveClearance := (| class = unmarked |) ;;
  authPeriod := «λ p t. {t..t + 72000}» ;;
  entryPeriod := «λ p c. UNIV» ;;
  minPreservedLogSize := * ;;
  alarmThresholdSize := * ;;
  ?[Config]
]+

```

**definition** *InitAdmin* :: *IDStation hrel* **where**

[*upred-defs*, *tis-defs*]:

```

InitAdmin =
  admin:[
    rolePresent := None ;;
    currentAdminOp := None ;;
    availableOps := {}
  ]+

```

**definition** *InitStats* :: *IDStation hrel* **where**

[*upred-defs*, *tis-defs*]:

```

InitStats =
  stats:[
    successEntry := 0 ;;
    failEntry := 0 ;;
    successBio := 0 ;;
    failBio := 0
  ]+

```

**definition** *InitAuditLog* :: *IDStation hrel* **where**

[*upred-defs*, *tis-defs*]:

```

InitAuditLog =
  audit:[
    auditLog := {} ;;
    auditAlarm := silent
  ]+

```

**definition** *InitIDStation* :: *IDStation hrel* **where**

[*upred-defs*, *tis-defs*]:

```

InitIDStation =
  InitDoorLatchAlarm ;;
  InitConfig ;;
  InitKeyStore ;;
  InitStats ;;
  InitAuditLog ;;
  InitAdmin ;;
  currentScreen := * ;;

```

```

currentScreen:screenMsg := clear ;;
currentDisplay := blank ;;
internal:enclaveStatus := notEnrolled ;;
internal:status := quiescent ;;
— We select arbitrary values for the monitored variables
iuserToken := * ;;
?[UserToken  $\oplus_p$  iuserToken] ;;
iadminToken := * ;;
?[AdminToken  $\oplus_p$  iadminToken] ;;
ifinger := * ;;
?[Finger  $\oplus_p$  ifinger] ;;
ifloppy := * ;;
?[Floppy  $\oplus_p$  ifloppy] ;;
ikeyboard := * ;;
?[Keyboard  $\oplus_p$  ikeyboard]

```

**lemma**  $\{true\} \text{InitIDStation}\{IDStation\}$   
**apply** (*simp add: tis-defs frame assigns-comp usubst alpha*)  
**oops**

**abbreviation**  $TISInit \equiv tis:[InitIDStation]^+$

## 12 The Whole ID Station

**definition**  $TISOp :: SystemState \text{ hrel where}$

$[tis-defs]:$   
 $TISOp = ((TISEnrolOp$   
 $\vee TISUserEntryOp$   
 $\vee TISAdminLogon$   
 $\vee TISStartAdminOp$   
 $\vee TISAdminOp$   
 $\vee TISAdminLogout$   
 $\vee TISIdle) )$

**abbreviation**  $TISOpThenUpdate \equiv TISOp ;; TISUpdate$

**abbreviation**  $TISBody \equiv TISPoll ;; TISEarlyUpdate ;; TISOp ;; TISUpdate$

**abbreviation**  $TIS \equiv TISInit ;; TISBody^*$

## 13 Proving Security Properties

**lemma**  $RealWorld-wp [wp]: \llbracket controlled \# b; monitored \# b \rrbracket \implies (RealWorldChanges$   
 $wp @b) = b$   
**by** (*simp add: tis-defs wp usubst unrest*)

**lemma**  
 $([\& tis:doorLatchAlarm:currentLatch \mapsto_s \langle locked \rangle] \dagger$

$(TISReadUserToken \text{ wp } (\&tis:doorLatchAlarm:currentLatch = \ll unlocked \gg)))$   
 $= false$   
**by** (*simp add: tis-defs wp usubst unrest alpha*)

### 13.1 Proving Security Functional Requirement 1

**lemma** [*wp*]: (*RealWorldChanges wlp false*) = *false*  
**by** (*rel-auto*)

**definition** *AdminTokenGuardOK* :: *IDStation upred* **where**

[*upred-defs, tis-defs*]:

*AdminTokenGuardOK* =  
 $((\exists t \in \ll TokenWithValidAuth \gg \cdot$   
 $(\ll goodT(t) \gg =_u \&iadminToken:currentAdminToken$   
 $\wedge (\exists c \in \ll AuthCert \gg \cdot \ll Some\ c = authCert\ t \gg$   
 $\wedge \ll role\ c = guard \gg) \oplus_p keyStore$   
 $))$   
 $)$

SFR1(a): If the system invariants hold, the door is initially locked, and a *TISUserEntryOp* transition is enabled that unlocks the door, then (1) a valid user token is present and (2) either a valid finger print or a valid authorisation certificate is also present.

SFR1(b): If the system invariants hold, the door is initially locked, and a *TISAdminOp* transition is enabled that unlocks the door, then an admin token is present with the role “guard” attached.

**abbreviation** (*input*) *FSFR1*  $\equiv$

$\&tis:doorLatchAlarm:currentLatch = locked \wedge IDStation \oplus_p tis \Vdash$   
 $(TISOp \;;\; TISUpdate) \text{ wp } (\&realWorld:controlled:latch = unlocked) \Rightarrow$   
 $((UserTokenOK \wedge FingerOK) \vee UserTokenWithOKAuthCert) \vee AdminTokenGuardOK \oplus_p tis$

**declare** *subst-lit-aext* [*usubst del*]

**lemma** *admin-unlock*:

$[\&tis:doorLatchAlarm:currentLatch \mapsto_s \ll locked \gg]$   
 $\uparrow ((TISAdminOp \;;\; TISUpdate) \text{ wp } (\&realWorld:controlled:latch = \ll unlocked \gg)) =$   
 $U((\&tis:internal:enclaveStatus = \ll waitingStartAdminOp \gg \wedge \&tis:iadminToken:adminTokenPresence$   
 $= \ll present \gg) \wedge$   
 $\&tis:admin:currentAdminOp = \ll Some\ overrideLock \gg \wedge \&tis:admin:rolePresent$   
 $\neq None \wedge \&tis:admin:currentAdminOp \neq None)$   
**by** (*simp add: tis-defs wp usubst unrest alpha, rel-auto*)

**declare** *subst-lit-aext* [*usubst*]

**lemma** *user-unlock*:

$[\&tis:doorLatchAlarm:currentLatch \mapsto_s \ll locked \gg]$

$\dagger ((TISUserEntryOp \;;\; TISUpdate) \; wp \; (\&realWorld:controlled:latch = \ll unlocked \gg)) =$   
 $U(\&tis:internal:status = \ll waitingRemoveTokenSuccess \gg \wedge \&tis:iuserToken:userTokenPresence = \ll absent \gg)$   
 $\text{by } (simp \; add: \; tis-defs \; alpha \; unrest \; usubst \; wp)$

**lemma** *unlock*:

$[\&tis:doorLatchAlarm:currentLatch \mapsto_s \ll locked \gg]$   
 $\dagger ((TISOpThenUpdate) \; wp \; (\&realWorld:controlled:latch = \ll unlocked \gg)) =$   
 $U(\&tis:internal:status = waitingRemoveTokenSuccess \wedge \&tis:iuserToken:userTokenPresence = absent \vee$   
 $((\&tis:internal:enclaveStatus = waitingStartAdminOp \wedge \&tis:iadminToken:adminTokenPresence = present) \wedge$   
 $\&tis:admin:currentAdminOp = Some \; overrideLock \wedge$   
 $(\&tis:admin:rolePresent) \neq None \wedge (\&tis:admin:currentAdminOp) \neq$   
 $None))$   
 $\text{apply } (simp \; add: \; TISOp-def \; segr-or-distl \; wp-disj \; user-unlock \; usubst \; admin-unlock)$   
 $\text{apply } (simp \; add: \; tis-defs \; alpha \; unrest \; usubst \; wp \; call-def)$   
 $\text{apply } (rel-auto)$   
 $\text{done}$

**lemma** *FSFR1-proof: FSFR1*

$\text{apply } (rule \; sVarEqI)$   
 $\text{apply } (simp-all \; add: \; usubst \; unrest \; unlock)$   
 $\text{apply } (rule \; sImplI)$   
 $\text{apply } (simp \; add: \; distrib(4))$   
 $\text{apply } (rule \; sAsmDisj)$   
 $\text{apply } (simp \; add: \; aext-or)$   
 $\text{apply } (rule \; sDisjI1)$   
 $\text{apply } (rule \; sWk[\text{where } P = U(\&tis:internal:status = waitingRemoveTokenSuccess \wedge \&tis:iuserToken:userTokenPresence = absent) \wedge$   
 $U(\&tis:doorLatchAlarm:currentLatch = locked) \wedge (IDStation-inv1 \wedge IDStation-inv9)$   
 $\oplus_p \; tis])$   
 $\text{apply } (rel-auto)$   
 $\text{apply } (rel-auto)$   
 $\text{apply } (rule \; sWk[\text{where } P = (U((\&tis:internal:enclaveStatus = waitingStartAdminOp \wedge \&tis:iadminToken:adminTokenPresence = present) \wedge$   
 $\&tis:admin:currentAdminOp = Some \; overrideLock \wedge$   
 $(\&tis:admin:rolePresent) \neq None \wedge (\&tis:admin:currentAdminOp) \neq$   
 $None) \wedge (IDStation-inv2 \wedge (Admin \oplus_p \; admin) \wedge IDStation-inv10) \oplus_p \; tis)])$   
 $\text{apply } (rel-simp')$   
 $\text{apply } (force)$   
 $\text{apply } (rel-auto)$   
 $\text{done}$

**abbreviation** *IDStation-inv11*  $\equiv$

$U(\&internal:status = \ll waitingRemoveTokenSuccess \gg \Rightarrow$   
 $(\exists \; t. \ll goodT(t) \gg = \&iuserToken:currentUserToken$   
 $\wedge (\&doorLatchAlarm:currentTime \in \&config:entryPeriod \ll role \; (privCert$

$t) \gg \ll \text{class } (\text{clearance } (\text{privCert } t)) \gg \gg$   
 $\vee \&\text{doorLatchAlarm:currentTime} \in \&\text{config:entryPeriod} \ll \text{role } (\text{the } (\text{authCert } t)) \gg \gg \ll \text{class } (\text{clearance } (\text{the } (\text{authCert } t))) \gg \gg$   
**lemma**  $\{IDStation\text{-inv}11\} \text{UnlockDoorOK}\{IDStation\text{-inv}11\}$   
**by**  $(\text{hoare-wlp-auto})$

**lemma**  $\{IDStation\text{-inv}11\} \text{WaitingTokenRemoval}\{IDStation\text{-inv}11\}$   
**by**  $(\text{hoare-wlp-auto})$

**lemma**  $\{IDStation\text{-inv}11\} \text{TokenRemovalTimeout}\{IDStation\text{-inv}11\}$   
**by**  $(\text{hoare-wlp-auto})$

**lemma**  $\{IDStation\text{-inv}11\} \text{EntryOK}\{IDStation\text{-inv}11\}$   
**by**  $(\text{hoare-wlp-auto})$

**lemma**  $\{IDStation\text{-inv}11 \oplus_p \text{tis}\} \text{TISPoll} \;; \text{TISUnlockDoor}\{IDStation\text{-inv}11 \oplus_p \text{tis}\}$   
**apply**  $(\text{simp add: wlp-hoare-link wp unrest usubst tis-defs})$   
**oops**

**lemma**  $(\text{TISReadUserToken wp } (\&\text{tis:audit:auditAlarm} = \text{alarming})) \ll \ll \text{silent} \gg / \&\text{tis:audit:auditAlarm} \ll \ll$   
 $= \text{false}$   
**by**  $(\text{simp add: tis-defs alpha unrest usubst wp})$

**lemma**  $(\text{AddElementsToLog wp } (\&\text{audit:auditAlarm} = \text{alarming})) \ll \ll \text{silent} \gg / \&\text{audit:auditAlarm} \ll \ll$   
 $= \text{undefined}$   
**apply**  $(\text{simp add: tis-defs alpha unrest usubst wp})$   
**oops**

**definition**  $\text{AlarmInv} :: \text{SystemState upred where}$   
 $[\text{upred-defs}, \text{tis-defs}]$   
 $\text{AlarmInv} = U(\&\text{realWorld:controlled:latch} = \ll \text{locked} \gg \wedge$   
 $\&\text{tis:doorLatchAlarm:currentDoor} = \ll \text{dopen} \gg \wedge$   
 $\&\text{tis:doorLatchAlarm:currentTime} \geq \&\text{tis:doorLatchAlarm:alarmTimeout}$   
 $\Rightarrow \&\text{realWorld:controlled:alarm} = \ll \text{alarming} \gg)$

**abbreviation**  $\text{FSFR3} \equiv$   
 $\text{'IDStation} \Rightarrow$   
 $U(\&\text{doorLatchAlarm:currentLatch} = \text{locked}$   
 $\wedge \&\text{doorLatchAlarm:currentDoor} = \text{dopen}$   
 $\wedge \&\text{doorLatchAlarm:currentTime} \geq \&\text{doorLatchAlarm:alarmTimeout}$   
 $\Rightarrow \&\text{doorLatchAlarm:doorAlarm} = \text{alarming})'$

**lemma**  $\text{FSFR3-proof: FSFR3}$   
**by**  $\text{rel-auto}$

**lemma**  $\text{nmods-UEC [closure]: } \ll \text{vwb-lens } a; P \text{ nmods } a \gg \Rightarrow \text{UEC}(P) \text{ nmods}$   
 $\&\text{tis:a}$   
**by**  $(\text{simp add: UEC-def closure})$

**declare** *nmods-assigns* [*closure del*]

**lemma** *TISUserEntryOp-nmods-config*: *TISUserEntryOp nmods &tis:config*  
**by** (*simp add: tis-defs closure del: UEC-def*)

**lemma** *TISEnrolOp-nmods-config*: *TISEnrolOp nmods &tis:config*  
**by** (*simp add: tis-defs closure del: UEC-def*)

**lemma** *TISAdminLogon-nmods-config*: *TISAdminLogon nmods &tis:config*  
**by** (*simp add: tis-defs closure del: UEC-def*)

**lemma** *TISStartAdminOp-nmods-config*: *TISStartAdminOp nmods &tis:config*  
**by** (*simp add: tis-defs closure del: UEC-def*)

**lemma** *StartUpdateConfigData-nmods-config*:  
*StartUpdateConfigData nmods config*  
**by** (*simp add: tis-defs closure*)

**lemma** *FinishUpdateConfigOK-absent-nmods-config*:  
 $?[\&iadminToken:adminTokenPresence = absent] \;; FinishUpdateConfigDataOK$   
*nmods config*  
**proof** –  
**have**  $?[\&iadminToken:adminTokenPresence = absent] \;; AdminOpFinishContext$   
 $= false$   
**by** *rel-simp'*  
**hence**  $?[\&iadminToken:adminTokenPresence = absent] \;; FinishUpdateConfig-$   
*DataOK = false*  
**by** (*simp add: FinishUpdateConfigDataOK-def RA1*)  
**thus** *?thesis*  
**by** (*metis assume-false config-vwb-lens nmods-guard*)  
**qed**

**lemma** *FinishUpdateConfigDataFail-nmods-config*:  
*FinishUpdateConfigDataFail nmods config*  
**by** (*simp add: tis-defs closure*)

**lemma** *BadAdminLogout-nmods-config*:  
*BadAdminLogout nmods config*  
**by** (*simp add: tis-defs closure*)

**lemma** *FinishUpdateConfigData-absent-nmods-config*:  
 $(?[\&iadminToken:adminTokenPresence = absent] \;; FinishUpdateConfigData)$   
*nmods config*  
**by** (*simp add: FinishUpdateConfigData-def seqr-or-distr closure BadAdminLogout-nmods-config*  
*FinishUpdateConfigDataFail-nmods-config FinishUpdateConfigOK-absent-nmods-config*)

**lemma** *frext-guard [frame]: vwb-lens a  $\implies a:[?b]^+ = ?[b \oplus_p a]$*   
**by** (*rel-auto*)

**lemma** *TISUpdateConfigDataOp-absent-nmods-config*:  
 $?[\&{tis:iadminToken:adminTokenPresence = absent}] \;; TISUpdateConfigDataOp$   
*nmods &tis:config*

**proof** –  
**have**  $\bigwedge P. ?[\&{tis:iadminToken:adminTokenPresence = absent}] \;; UEC(P)$   
 $= UEC(?[\&{iadminToken:adminTokenPresence = absent}] \;; P)$   
**by** (*simp add: UEC-def seq-UINF-distl' frame alpha seqr-assoc*)  
**thus** *?thesis*  
**by** (*simp add: TISUpdateConfigDataOp-def seqr-or-distr closure*  
*FinishUpdateConfigData-absent-nmods-config StartUpdateConfigData-nmods-config*)

**qed**

**lemma** *TISOverrideDoorLockOp-nmods-config*: *TISOverrideDoorLockOp nmods &tis:config*  
**by** (*simp add: tis-defs closure del: UEC-def*)

**lemma** *TISShutdownOp-nmods-config*: *TISShutdownOp nmods &tis:config*  
**by** (*simp add: tis-defs closure del: UEC-def*)

**lemma** *TISArchiveLog-nmods-config*: *TISArchiveLog nmods &tis:config*  
**by** (*simp add: tis-defs closure del: UEC-def*)

**lemma** *TISAdminOp-absent-nmods-config*:  
 $?[\&{tis:iadminToken:adminTokenPresence = absent}] \;; TISAdminOp$  *nmods &tis:config*  
**by** (*simp add: TISAdminOp-def seqr-or-distr TISUpdateConfigDataOp-absent-nmods-config*  
*closure*  
*TISOverrideDoorLockOp-nmods-config TISShutdownOp-nmods-config TISArchiveLog-nmods-config*)

**lemma** *TISAdminLogout-nmods-config*: *TISAdminLogout nmods &tis:config*  
**by** (*simp add: tis-defs closure del: UEC-def*)

**lemma** *TISIdle-nmods-config*: *TISIdle nmods &tis:config*  
**by** (*simp add: tis-defs closure del: UEC-def*)

**lemma** *TISOp-nmods-floppy*: *TISOp nmods &tis:ifloppy*  
**by** (*simp add: tis-defs closure del: UEC-def*)

**term** *in-var*

**lemma** *nmods-union [closure]*:  $\llbracket P \text{ nmods } x; P \text{ nmods } y \rrbracket \implies P \text{ nmods } (x ; y)$   
**by** (*rel-auto, force*)

**abbreviation** *FSFR6* ==  $?[\&{tis:iadminToken:adminTokenPresence = absent}] \;;$   
*TISOp nmods {&tis:config, &tis:ifloppy}*

**lemma** *FSFR6-proof*: *FSFR6*  
**apply** (*rule nmods-union*)  
**apply** (*simp add: TISOp-def seqr-or-distr closure TISUserEntryOp-nmods-config*  
*TISEnrolOp-nmods-config*)



```
    TISAdminLogon-nmods-config TISStartAdminOp-nmods-config TISAdminLogout-nmods-config  
    TISIdle-nmods-config TISAdminOp-absent-nmods-config )  
  apply (simp add: TISOp-nmods-floppy closure)  
  done  
  
end
```