

Isabelle/UTP: Mechanised Theory Engineering for Unifying Theories of Programming

Simon Foster*, Frank Zeyda, Yakoub Nemouchi, Pedro Ribeiro, Christian Pardillo Laursen, and Burkhard

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Abstract

Isabelle/UTP is a mechanised theory engineering toolkit based on Hoare and He’s Unifying Theories of Programming (UTP). UTP enables the creation of denotational, algebraic, and operational semantics for different programming languages using an alphabetised relational calculus. We provide a semantic embedding of the alphabetised relational calculus in Isabelle/HOL, including new type definitions, relational constructors, automated proof tactics, and accompanying algebraic laws. Isabelle/UTP can be used to both capture laws of programming for different languages, and put these fundamental theorems to work in the creation of associated verification tools, using calculi like Hoare logics. This document describes the relational core of the UTP in Isabelle/HOL.

Contents

1	Introduction	7
2	UTP Variables	8
2.1	Initial syntax setup	8
2.2	Variable foundations	9
2.3	Variable lens properties	9
2.4	Lens simplifications	11
2.5	Syntax translations	12
3	UTP Expressions	14
3.1	Expression type	14
3.2	Core expression constructs	15
3.3	Type class instantiations	16
3.4	Syntax translations	18
3.5	Evaluation laws for expressions	19
3.6	Misc laws	19
3.7	Literalise tactics	19
4	Expression Type Class Instantiations	21
4.1	Expression construction from HOL terms	23
4.2	Lifting set collectors	25
4.3	Lifting limits	25

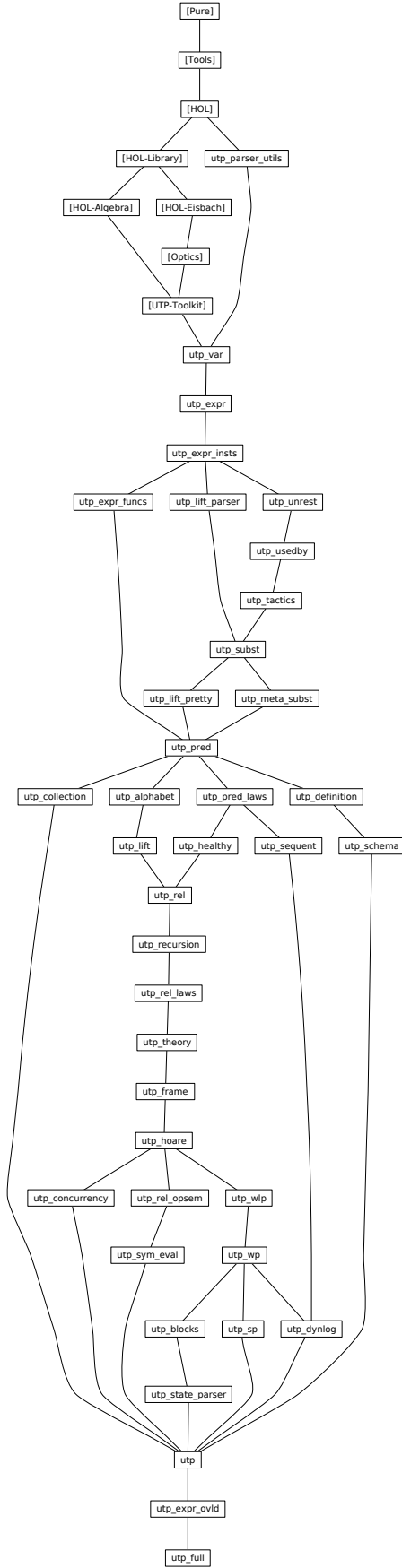
*Department of Computer Science, University of York. simon.foster@york.ac.uk

5	Unrestriction	26
5.1	Definitions and Core Syntax	26
5.2	Unrestriction laws	27
6	Used-by	30
7	UTP Tactics	33
7.1	Theorem Attributes	33
7.2	Generic Methods	33
7.3	Transfer Tactics	34
7.3.1	Robust Transfer	34
7.3.2	Faster Transfer	34
7.4	Interpretation	35
7.5	User Tactics	35
8	Lifting Parser and Pretty Printer	38
8.1	Parser	38
8.2	Examples	41
8.3	Linking Parser to Constants	43
9	Substitution	43
9.1	Substitution definitions	43
9.2	Syntax translations	45
9.3	Substitution Application Laws	46
9.4	Substitution laws	50
9.5	Ordering substitutions	52
9.6	Unrestriction laws	52
9.7	Conditional Substitution Laws	52
9.8	Parallel Substitution Laws	53
9.9	Power Substitutions	53
10	Meta-level Substitution	54
10.1	Pretty Printer	55
11	Alphabetised Predicates	60
11.1	Predicate type and syntax	60
11.2	Predicate operators	62
11.3	Unrestriction Laws	66
11.4	Used-by laws	68
11.5	Substitution Laws	68
11.6	Sandbox for conjectures	71
12	Alphabet Manipulation	71
12.1	Preliminaries	71
12.2	Alphabet Extrusion	71
12.3	Expression Alphabet Restriction	74
12.4	Predicate Alphabet Restriction	75
12.5	Alphabet Lens Laws	76
12.6	Substitution Alphabet Extension	76
12.7	Substitution Alphabet Restriction	77

13 Lifting Expressions	78
13.1 Lifting definitions	78
13.2 Lifting Laws	78
13.3 Substitution Laws	78
13.4 Unrestriction laws	79
13.5 Parser and Pretty Printer	79
14 Predicate Calculus Laws	79
14.1 Propositional Logic	79
14.2 Lattice laws	83
14.3 Equality laws	88
14.4 HOL Variable Quantifiers	89
14.5 Case Splitting	89
14.6 UTP Quantifiers	90
14.7 Variable Restriction	93
14.8 Conditional laws	93
14.9 Additional Expression Laws	95
14.10Refinement By Observation	95
14.11Cylindric Algebra	96
15 Healthiness Conditions	96
15.1 Main Definitions	97
15.2 Properties of Healthiness Conditions	98
16 Alphabetised Relations	102
16.1 Relational Alphabets	102
16.2 Relational Types and Operators	103
16.3 Syntax Translations	107
16.4 Relation Properties	108
16.5 Introduction laws	108
16.6 Unrestriction Laws	108
16.7 Substitution laws	109
16.8 Alphabet laws	111
17 Fixed-points and Recursion	112
17.1 Fixed-point Laws	112
17.2 Obtaining Unique Fixed-points	112
17.3 Noetherian Induction Instantiation	114
18 Sequent Calculus	115
19 Relational Calculus Laws	117
19.1 Conditional Laws	117
19.2 Precondition and Postcondition Laws	117
19.3 Sequential Composition Laws	117
19.4 Iterated Sequential Composition Laws	121
19.5 Quantale Laws	121
19.6 Skip Laws	122
19.7 Assignment Laws	122
19.8 Non-deterministic Assignment Laws	124

19.9	Converse Laws	124
19.10	Assertion and Assumption Laws	125
19.11	While Loop Laws	125
19.12	Algebraic Properties	126
19.13	Kleene Star	128
19.14	Kleene Plus	129
19.15	Omega	129
19.16	Relation Algebra Laws	129
19.17	Kleene Algebra Laws	129
19.18	Omega Algebra Laws	131
19.19	Refinement Laws	131
19.20	Preain and Postge Laws	131
20	UTP Theories	132
20.1	Complete lattice of predicates	133
20.2	UTP theories hierarchy	133
20.3	UTP theory hierarchy	134
20.4	Theory of relations	143
20.5	Theory links	143
21	Relational Frames	144
21.1	Frame Constructs	144
21.2	Unrestriction Laws	146
21.3	Alphabet Laws	146
21.4	Frame and Antiframe Laws	147
21.5	Modification Laws	149
21.6	Modify and Freeze Laws	151
21.7	Variable Use Laws	152
21.8	UTP Theories	154
22	Relational Hoare calculus	155
22.1	Hoare Triple Definitions and Tactics	155
22.2	Basic Laws	155
22.3	Sequence Laws	156
22.4	Assignment Laws	157
22.5	Conditional Laws	158
22.6	Recursion Laws	158
22.7	Iteration Rules	159
22.8	Frame Rules	161
23	Weakest Liberal Precondition Calculus	161
24	Weakest Precondition Calculus	163
25	Dynamic Logic	166
25.1	Definitions	166
25.2	Box Laws	166
25.3	Diamond Laws	167
25.4	Sequent Laws	167

26 Blocks (Abstract Local Variables)	168
26.1 Extending and Contracting Substitutions	168
26.2 Generic Blocks	168
27 State Variable Declaration Parser	169
27.1 Variable Block Syntax	171
27.2 Examples	171
28 Relational Operational Semantics	172
29 Symbolic Evaluation of Relational Programs	173
30 Strongest Postcondition Calculus	174
31 Concurrent Programming	176
31.1 Variable Renamings	176
31.2 Merge Predicates	176
31.3 Separating Simulations	177
31.4 Associative Merges	178
31.5 Parallel Operators	179
31.6 Unrestriction Laws	179
31.7 Substitution laws	179
31.8 Parallel-by-merge laws	180
31.9 Example: Simple State-Space Division	182
32 Collections	184
32.1 Partial Lens Definedness	184
32.2 Indexed Lenses	184
32.3 Overloaded Collection Lens	184
32.4 Syntax for Collection Lens	185
33 Definition Command for UTP	185
34 UTP Schema Types	187
35 Meta-theory for the Standard Core	188
36 Overloaded Expression Constructs	188
36.1 Overloadable Constants	189
36.2 Syntax Translations	190
36.3 Simplifications	191
36.4 Indexed Assignment	191
37 Meta-theory for the Standard Core with Overloaded Constructs	191



1 Introduction

This document contains the description of our mechanisation of Hoare and He’s *Unifying Theories of Programming* [22, 7] (UTP) in Isabelle/HOL. UTP uses the “programs-as-predicates” approach, pioneered by Hehner [20, 18, 19], to encode denotational semantics and facilitate reasoning about programs. It uses the alphabetised relational calculus, which combines predicate calculus and relation algebra, to denote programs as relations between initial variables (x) and their subsequent values (x'). Isabelle/UTP¹ [16, 28, 15] semantically embeds this relational calculus into Isabelle/HOL, which enables application of the latter’s proof facilities to program verification. For an introduction to UTP, we recommend two tutorials [6, 7], and also the UTP book [22].

The Isabelle/UTP core mechanises most of definitions and theorems from chapters 1, 2, 4, and 7 of [22], and some material contained in chapters 5 and 10. This essentially amounts to alphabetised predicate calculus, its core laws, the UTP theory infrastructure, and also parallel-by-merge [22, chapter 5], which adds concurrency primitives. The Isabelle/UTP core does not contain the theory of designs [6] and CSP [7], which are both represented in their own theory developments.

A large part of the mechanisation, however, is foundations that enable these core UTP theories. In particular, Isabelle/UTP builds on our implementation of lenses [16, 14], which gives a formal semantics to state spaces and variables. This, in turn, builds on a previous version of Isabelle/UTP [9, 10], which provided a shallow embedding of UTP by using Isabelle record types to represent alphabets. We follow this approach and, additionally, use the lens laws [11, 16] to characterise well-behaved variables. We also add meta-logical infrastructure for dealing with free variables and substitution. All this, we believe, adds an additional layer rigour to the UTP.

The alphabets-as-types approach does impose a number of theoretical limitations. For example, alphabets can only be extended when an injection into a larger state-space type can be exhibited. It is therefore not possible to arbitrarily augment an alphabet with additional variables, but new types must be created to do this. This is largely because as in previous work [9, 10], we actually encode state spaces rather than alphabets, the latter being implicit. Namely, a relation is typed by the state space type that it manipulates, and the alphabet is represented by collection of lenses into this state space. This aspect of our mechanisation is actually much closer to the relational program model in Back’s refinement calculus [3].

The pay-off is that the Isabelle/HOL type checker can be directly applied to relational constructions, which makes proof much more automated and efficient. Moreover, our use of lenses mitigates the limitations by providing meta-logical style operators, such as equality on variables, and alphabet membership [16]. Isabelle/UTP can therefore directly harness proof automation from Isabelle/HOL, which allows its use in building efficient verification tools [13, 12]. For a detailed discussion of semantic embedding approaches, please see [28].

In addition to formalising variables, we also make a number of generalisations to UTP laws. Notably, our lens-based representation of state leads us to adopt Back’s approach to both assignment and local variables [3]. Assignment becomes a point-free operator that acts on state-space update functions, which provides a rich set of algebraic theorems. Local variables are represented using stacks, unlike in the UTP book where they utilise alphabet extension.

¹Isabelle/UTP website: <https://www.cs.york.ac.uk/circus/isabelle-utp/>

We give a summary of the main contributions within the Isabelle/UTP core, which can all be seen in the table of contents.

1. Formalisation of variables and state-spaces using lenses [16];
2. an expression model, together with lifted operators from HOL;
3. the meta-logical operators of unrestriction, used-by, substitution, alphabet extrusion, and alphabet restriction;
4. the alphabetised predicate calculus and associated algebraic laws;
5. the alphabetised relational calculus and associated algebraic laws;
6. proof tactics for the above based on interpretation [23];
7. a formalisation of UTP theories using locales [4] and building on HOL-Algebra [5];
8. Hoare logic [21] and dynamic logic [17];
9. weakest precondition and strongest postcondition calculi [8];
10. concurrent programming with parallel-by-merge;
11. relational operational semantics.

2 UTP Variables

```
theory utp-var
imports
  UTP-Toolkit.utp-toolkit
  utp-parser-utils
begin
```

In this first UTP theory we set up variables, which are built on lenses [11, 16]. A large part of this theory is setting up the parser for UTP variable syntax.

2.1 Initial syntax setup

We will overload the square order relation with refinement and also the lattice operators so we will turn off these notations.

```
purge-notation
  Order.le (infixl  $\sqsubseteq_1$  50) and
  Lattice.sup ( $\sqcup_1$ - [90] 90) and
  Lattice.inf ( $\sqcap_1$ - [90] 90) and
  Lattice.join (infixl  $\sqcup_1$  65) and
  Lattice.meet (infixl  $\sqcap_1$  70) and
  Set.member (op :) and
  Set.member ((-/ : -) [51, 51] 50) and
  disj (infixr | 30) and
  conj (infixr & 35)

declare fst-vwb-lens [simp]
declare snd-vwb-lens [simp]
declare comp-vwb-lens [simp]
```



```

declare lens-inv-bij [simp]
declare id-bij-lens [simp]
declare lens-indep-left-ext [simp]
declare lens-indep-right-ext [simp]
declare lens-comp-quotient [simp]
declare plus-lens-distr [THEN sym, simp]
declare lens-comp-assoc [simp]

```

2.2 Variable foundations

This theory describes the foundational structure of UTP variables, upon which the rest of our model rests. We start by defining alphabets, which following [9, 10] in this shallow model are simply represented as types $'\alpha$, though by convention usually a record type where each field corresponds to a variable. UTP variables in this frame are simply modelled as lenses $'a \Longrightarrow '\alpha$, where the view type $'a$ is the variable type, and the source type $'\alpha$ is the alphabet or state-space type.

We define some lifting functions for variables to create input and output variables. These simply lift the alphabet to a tuple type since relations will ultimately be defined by a tuple alphabet.

definition *in-var* :: $('a \Longrightarrow '\alpha) \Rightarrow ('a \Longrightarrow '\alpha \times '\beta)$ **where**
[lens-defs]: in-var $x = x ;_L \text{fst}_L$

definition *out-var* :: $('a \Longrightarrow '\beta) \Rightarrow ('a \Longrightarrow '\alpha \times '\beta)$ **where**
[lens-defs]: out-var $x = x ;_L \text{snd}_L$

Variables can also be used to effectively define sets of variables. Here we define the the universal alphabet (Σ) to be the bijective lens 1_L . This characterises the whole of the source type, and thus is effectively the set of all alphabet variables.

abbreviation (*input*) *univ-alpha* :: $('a \Longrightarrow '\alpha) (\Sigma)$ **where**
univ-alpha $\equiv 1_L$

The next construct is vacuous and simply exists to help the parser distinguish predicate variables from input and output variables.

definition *pr-var* :: $('a \Longrightarrow '\beta) \Rightarrow ('a \Longrightarrow '\beta)$ **where**
[lens-defs]: pr-var $x = x$

2.3 Variable lens properties

We can now easily show that our UTP variable construction are various classes of well-behaved lens .

lemma *in-var-weak-lens* [*simp*]:
 $\text{weak-lens } x \Longrightarrow \text{weak-lens } (\text{in-var } x)$
by (*simp add: comp-weak-lens in-var-def*)

lemma *in-var-semi-uvar* [*simp*]:
 $\text{mwb-lens } x \Longrightarrow \text{mwb-lens } (\text{in-var } x)$
by (*simp add: comp-mwb-lens in-var-def*)

lemma *pr-var-weak-lens* [*simp*]:
 $\text{weak-lens } x \Longrightarrow \text{weak-lens } (\text{pr-var } x)$
by (*simp add: pr-var-def*)

lemma *pr-var-mwb-lens* [*simp*]:

mwb-lens $x \implies \text{mwb-lens } (\text{pr-var } x)$
by (*simp add: pr-var-def*)

lemma *pr-var-vwb-lens* [*simp*]:
vwb-lens $x \implies \text{vwb-lens } (\text{pr-var } x)$
by (*simp add: pr-var-def*)

lemma *in-var-uvar* [*simp*]:
vwb-lens $x \implies \text{vwb-lens } (\text{in-var } x)$
by (*simp add: in-var-def*)

lemma *out-var-weak-lens* [*simp*]:
weak-lens $x \implies \text{weak-lens } (\text{out-var } x)$
by (*simp add: comp-weak-lens out-var-def*)

lemma *out-var-semi-uvar* [*simp*]:
mwb-lens $x \implies \text{mwb-lens } (\text{out-var } x)$
by (*simp add: comp-mwb-lens out-var-def*)

lemma *out-var-uvar* [*simp*]:
vwb-lens $x \implies \text{vwb-lens } (\text{out-var } x)$
by (*simp add: out-var-def*)

Moreover, we can show that input and output variables are independent, since they refer to different sections of the alphabet.

lemma *in-out-indep* [*simp*]:
 $\text{in-var } x \bowtie \text{out-var } y$
by (*simp add: lens-indep-def in-var-def out-var-def fst-lens-def snd-lens-def lens-comp-def*)

lemma *out-in-indep* [*simp*]:
 $\text{out-var } x \bowtie \text{in-var } y$
by (*simp add: lens-indep-def in-var-def out-var-def fst-lens-def snd-lens-def lens-comp-def*)

lemma *in-var-indep* [*simp*]:
 $x \bowtie y \implies \text{in-var } x \bowtie \text{in-var } y$
by (*simp add: in-var-def out-var-def*)

lemma *out-var-indep* [*simp*]:
 $x \bowtie y \implies \text{out-var } x \bowtie \text{out-var } y$
by (*simp add: out-var-def*)

lemma *pr-var-indeps* [*simp*]:
 $x \bowtie y \implies \text{pr-var } x \bowtie y$
 $x \bowtie y \implies x \bowtie \text{pr-var } y$
by (*simp-all add: pr-var-def*)

lemma *prod-lens-indep-in-var* [*simp*]:
 $a \bowtie x \implies a \times_L b \bowtie \text{in-var } x$
by (*metis in-var-def in-var-indep out-in-indep out-var-def plus-pres-lens-indep prod-as-plus*)

lemma *prod-lens-indep-out-var* [*simp*]:
 $b \bowtie x \implies a \times_L b \bowtie \text{out-var } x$
by (*metis in-out-indep in-var-def out-var-def out-var-indep plus-pres-lens-indep prod-as-plus*)

lemma *in-var-pr-var* [*simp*]:

in-var (*pr-var* *x*) = *in-var* *x*
by (*simp add: pr-var-def*)

lemma *out-var-pr-var* [*simp*]:
out-var (*pr-var* *x*) = *out-var* *x*
by (*simp add: pr-var-def*)

lemma *pr-var-idem* [*simp*]:
pr-var (*pr-var* *x*) = *pr-var* *x*
by (*simp add: pr-var-def*)

lemma *pr-var-lens-plus* [*simp*]:
pr-var (*x* +_L *y*) = (*x* +_L *y*)
by (*simp add: pr-var-def*)

lemma *pr-var-lens-comp-1* [*simp*]:
pr-var *x* ;_L *y* = *pr-var* (*x* ;_L *y*)
by (*simp add: pr-var-def*)

lemma *pr-var-lens-comp-2* [*simp*]:
(*x* ;_L *pr-var* *y*) = *pr-var* (*x* ;_L *y*)
by (*simp-all add: pr-var-def*)

lemma *pr-var-len-quotient-1* [*simp*]:
pr-var *x* /_L *y* = *pr-var* (*x* /_L *y*)
by (*simp add: pr-var-def*)

lemma *pr-var-len-quotient-2* [*simp*]:
x /_L *pr-var* *y* = *pr-var* (*x* /_L *y*)
by (*simp add: pr-var-def*)

lemma *in-var-plus* [*simp*]: *in-var* (*x* +_L *y*) = *in-var* *x* +_L *in-var* *y*
by (*simp add: in-var-def*)

lemma *out-var-plus* [*simp*]: *out-var* (*x* +_L *y*) = *out-var* *x* +_L *out-var* *y*
by (*simp add: out-var-def*)

Similar properties follow for sublens

lemma *in-var-sublens* [*simp*]:
y ⊆_L *x* ⇒ *in-var* *y* ⊆_L *in-var* *x*
by (*metis (no-types, hide-lams) in-var-def lens-comp-assoc sublens-def*)

lemma *out-var-sublens* [*simp*]:
y ⊆_L *x* ⇒ *out-var* *y* ⊆_L *out-var* *x*
by (*metis (no-types, hide-lams) out-var-def lens-comp-assoc sublens-def*)

lemma *pr-var-sublens-l* [*simp*]: *a* ⊆_L *b* ⇒ *pr-var* (*a*) ⊆_L *b*
by (*simp add: pr-var-def*)

lemma *pr-var-sublens-r* [*simp*]: *a* ⊆_L *b* ⇒ *a* ⊆_L *pr-var* (*b*)
by (*simp add: pr-var-def*)

2.4 Lens simplifications

We also define some lookup abstraction simplifications.

lemma *var-lookup-in* [simp]: $\text{lens-get } (\text{in-var } x) (A, A') = \text{lens-get } x A$
by (simp add: in-var-def fst-lens-def lens-comp-def)

lemma *var-lookup-out* [simp]: $\text{lens-get } (\text{out-var } x) (A, A') = \text{lens-get } x A'$
by (simp add: out-var-def snd-lens-def lens-comp-def)

lemma *var-update-in* [simp]: $\text{lens-put } (\text{in-var } x) (A, A') v = (\text{lens-put } x A v, A')$
by (simp add: in-var-def fst-lens-def lens-comp-def)

lemma *var-update-out* [simp]: $\text{lens-put } (\text{out-var } x) (A, A') v = (A, \text{lens-put } x A' v)$
by (simp add: out-var-def snd-lens-def lens-comp-def)

lemma *get-lens-plus* [simp]: $\text{get}_x +_L y s = (\text{get}_x s, \text{get}_y s)$
by (simp add: lens-defs)

2.5 Syntax translations

In order to support nice syntax for variables, we here set up some translations. The first step is to introduce a collection of non-terminals.

nonterminal *svid* and *svids* and *svar* and *svars* and *salpha*

These non-terminals correspond to the following syntactic entities. Non-terminal *svid* is an atomic variable identifier, and *svids* is a list of identifier. *svar* is a decorated variable, such as an input or output variable, and *svars* is a list of decorated variables. *salpha* is an alphabet or set of variables. Such sets can be constructed only through lens composition due to typing restrictions. Next we introduce some syntax constructors.

syntax — Identifiers

-*svid* :: *id-position* \Rightarrow *svid* (- [999] 999)
-*svidlongid* :: *longid-position* \Rightarrow *svid* (- [999] 999)
-*svid-unit* :: *svid* \Rightarrow *svids* (-)
-*svid-list* :: *svid* \Rightarrow *svids* \Rightarrow *svids* (-, / -)
-*svid-alpha* :: *svid* (**v**)
-*svid-dot* :: *svid* \Rightarrow *svid* \Rightarrow *svid* (-:- [999,998] 998)
-*svid-res* :: *svid* \Rightarrow *svid* \Rightarrow *svid* (-|- [999,998] 998)
-*mk-svid-list* :: *svids* \Rightarrow *logic* — Helper function for summing a list of identifiers
-*svid-view* :: *logic* \Rightarrow *svid* (\mathcal{V} [-]) — View of a symmetric lens
-*svid-coview* :: *logic* \Rightarrow *svid* (\mathcal{C} [-]) — Coview of a symmetric lens

A variable identifier can either be a HOL identifier, the complete set of variables in the alphabet **v**, or a composite identifier separated by colons, which corresponds to a sort of qualification. The final option is effectively a lens composition.

syntax — Decorations

-*spvar* :: *svid* \Rightarrow *svar* (&- [990] 990)
-*sinvar* :: *svid* \Rightarrow *svar* (\$- [990] 990)
-*soutvar* :: *svid* \Rightarrow *svar* (\$-' [990] 990)

A variable can be decorated with an ampersand, to indicate it is a predicate variable, with a dollar to indicate its an unprimed relational variable, or a dollar and “acute” symbol to indicate its a primed relational variable. Isabelle’s parser is extensible so additional decorations can be and are added later.

syntax — Variable sets

-*salphaid* :: *svid* \Rightarrow *salpha* (- [990] 990)
-*salphavar* :: *svar* \Rightarrow *salpha* (- [990] 990)

`-salphaparen` :: $\text{salpha} \Rightarrow \text{salpha } ('(-'))$
`-salphacomp` :: $\text{salpha} \Rightarrow \text{salpha} \Rightarrow \text{salpha } (\text{infixr} ; 75)$
`-salphaprod` :: $\text{salpha} \Rightarrow \text{salpha} \Rightarrow \text{salpha } (\text{infixr} \times 85)$
`-salpha-all` :: $\text{salpha } (\Sigma)$
`-salpha-none` :: $\text{salpha } (\emptyset)$
`-svar-nil` :: $\text{svar} \Rightarrow \text{svars } (-)$
`-svar-cons` :: $\text{svar} \Rightarrow \text{svars} \Rightarrow \text{svars } (-, / -)$
`-salphaset` :: $\text{svars} \Rightarrow \text{salpha } (\{-\})$
`-salphamk` :: $\text{logic} \Rightarrow \text{salpha}$

The terminals of an alphabet are either HOL identifiers or UTP variable identifiers. We support two ways of constructing alphabets; by composition of smaller alphabets using a semi-colon or by a set-style construction $\{a, b, c\}$ with a list of UTP variables.

syntax — Quotations

`-ualpha-set` :: $\text{svars} \Rightarrow \text{logic } (\{-\}_\alpha)$
`-svid-set` :: $\text{svids} \Rightarrow \text{logic } (\{-\}_v)$
`-svid-empty` :: $\text{logic } (\{-\}_v)$
`-svar` :: $\text{svar} \Rightarrow \text{logic } ('(-')_v)$

For various reasons, the syntax constructors above all yield specific grammar categories and will not parse at the HOL top level (basically this is to do with us wanting to reuse the syntax for expressions). As a result we provide some quotation constructors above.

Next we need to construct the syntax translations rules. Finally, we set up the translations rules.

translations

— Identifiers

`-svid $x \rightarrow x$`
`-svlongid $x \rightarrow x$`
`-svid-alpha $\Rightarrow \Sigma$`
`-svid-dot $x y \rightarrow y ;_L x$`
`-svid-res $x y \rightarrow x /_L y$`
`-mk-svid-list $(\text{-svid-unit } x) \rightarrow x$`
`-mk-svid-list $(\text{-svid-list } x xs) \rightarrow x +_L \text{-mk-svid-list } xs$`
`-svid-view $a \Rightarrow \mathcal{V}_a$`
`-svid-coview $a \Rightarrow \mathcal{C}_a$`

— Decorations

`-spvar $\Sigma \leftarrow \text{CONST } \text{pr-var } \text{CONST } \text{id-lens}$`
`-sinvar $\Sigma \leftarrow \text{CONST } \text{in-var } 1_L$`
`-soutvar $\Sigma \leftarrow \text{CONST } \text{out-var } 1_L$`
`-spvar $(\text{-svid-dot } x y) \leftarrow \text{CONST } \text{pr-var } (\text{CONST } \text{lens-comp } y x)$`
`-sinvar $(\text{-svid-dot } x y) \leftarrow \text{CONST } \text{in-var } (\text{CONST } \text{lens-comp } y x)$`
`-soutvar $(\text{-svid-dot } x y) \leftarrow \text{CONST } \text{out-var } (\text{CONST } \text{lens-comp } y x)$`
`-svid-dot $x (\text{-svid-dot } y z) \leftarrow \text{-svid-dot } x (\text{CONST } \text{lens-comp } z y)$`

`-spvar $(\text{-svid-res } x y) \leftarrow \text{CONST } \text{pr-var } (\text{CONST } \text{lens-quotient } x y)$`
`-sinvar $(\text{-svid-res } x y) \leftarrow \text{CONST } \text{in-var } (\text{CONST } \text{lens-quotient } x y)$`
`-soutvar $(\text{-svid-res } x y) \leftarrow \text{CONST } \text{out-var } (\text{CONST } \text{lens-quotient } x y)$`

`-spvar $x \Rightarrow \text{CONST } \text{pr-var } x$`
`-sinvar $x \Rightarrow \text{CONST } \text{in-var } x$`
`-soutvar $x \Rightarrow \text{CONST } \text{out-var } x$`

— Alphabets

```

-salphaparen a  $\rightarrow$  a
-salphaid x  $\rightarrow$  x
-salphacomp x y  $\rightarrow$  x +L y
-salphaprod a b  $\Rightarrow$  a  $\times_L$  b
-salphavar x  $\rightarrow$  x
-svar-nil x  $\rightarrow$  x
-svar-cons x xs  $\rightarrow$  x +L xs
-salphaset A  $\rightarrow$  A
(-svar-cons x (-salphamk y))  $\leftarrow$  -salphamk (x +L y)
x  $\leftarrow$  -salphamk x
-salpha-all  $\Rightarrow$  1L
-salpha-none  $\Rightarrow$  0L

```

— Quotations

```

-ualpha-set A  $\rightarrow$  A
-svid-set A  $\rightarrow$  -mk-svid-list A
-svid-empty  $\rightarrow$  0L
-svar x  $\rightarrow$  x

```

The translation rules mainly convert syntax into lens constructions, using a mixture of lens operators and the bespoke variable definitions. Notably, a colon variable identifier qualification becomes a lens composition, and variable sets are constructed using len sum. The translation rules are carefully crafted to ensure both parsing and pretty printing.

Finally we create the following useful utility translation function that allows us to construct a UTP variable (lens) type given a return and alphabet type.

syntax

```
-uvar-ty      :: type  $\Rightarrow$  type  $\Rightarrow$  type
```

parse-translation (

let

```

fun uvar-ty-tr [ty] = Syntax.const @{type-syntax lens} $ ty $ Syntax.const @{type-syntax dummy}
  | uvar-ty-tr ts = raise TERM (uvar-ty-tr, ts);

```

```

in [(@{syntax-const -uvar-ty}, K uvar-ty-tr)] end

```

)

end

3 UTP Expressions

theory *utp-expr*

imports

utp-var

begin

3.1 Expression type

purge-notation *BNF-Def.conv* ((-, / -))

Before building the predicate model, we will build a model of expressions that generalise alphabetised predicates. Expressions are represented semantically as mapping from the alphabet $'\alpha$ to the expression's type $'a$. This general model will allow us to unify all constructions under one type. The majority definitions in the file are given using the *lifting* package [23], which allows us to reuse much of the existing library of HOL functions.

typedef ($'t$, $'\alpha$) *uexpr* = *UNIV* :: ($'\alpha \Rightarrow 't$) *set* ..

setup-lifting *type-definition-uexpr*

notation *Rep-uexpr* ($\llbracket \cdot \rrbracket_e$)

notation *Abs-uexpr* (mk_e)

nonterminal *uexprs*

lemma *uexpr-eq-iff*:

$e = f \iff (\forall b. \llbracket e \rrbracket_e b = \llbracket f \rrbracket_e b)$

using *Rep-uexpr-inject*[*of e f, THEN sym*] **by** (*auto*)

The term $\llbracket e \rrbracket_e b$ effectively refers to the semantic interpretation of the expression under the state-space valuation (or variables binding) b . It can be used, in concert with the lifting package, to interpret UTP constructs to their HOL equivalents. We create some theorem sets to store such transfer theorems.

named-theorems *uexpr-defs* **and** *ueval* **and** *lit-simps* **and** *lit-norm*

3.2 Core expression constructs

A variable expression corresponds to the lens *get* function associated with a variable. Specifically, given a lens the expression always returns that portion of the state-space referred to by the lens.

lift-definition *var* :: $('t \Rightarrow 'a) \Rightarrow ('t, 'a) \text{ uexpr}$ **is** *lens-get* .

A literal is simply a constant function expression, always returning the same value for any binding.

lift-definition *lit* :: $'t \Rightarrow ('t, 'a) \text{ uexpr}$ ($\llbracket \cdot \rrbracket_e$) **is** $\lambda v b. v$.

The following operator is the general function application for expressions.

lift-definition *uexpr-appl* :: $('a \Rightarrow 'b, 's) \text{ uexpr} \Rightarrow ('a, 's) \text{ uexpr} \Rightarrow ('b, 's) \text{ uexpr}$ (**infixl** $|> 85$)
is $\lambda f x s. f s (x s)$.

We define lifting for unary, binary, ternary, and quaternary expression constructs, that simply take a HOL function with correct number of arguments and apply it function to all possible results of the expressions.

abbreviation *uop* :: $('a \Rightarrow 'b) \Rightarrow ('a, 'a) \text{ uexpr} \Rightarrow ('b, 'a) \text{ uexpr}$
where *uop f e* $\equiv \llbracket f \rrbracket_e |> e$

declare [*coercion-map uop*] — *uop* is useful as a coercion map

abbreviation *bop* ::

$('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow ('a, 'a) \text{ uexpr} \Rightarrow ('b, 'a) \text{ uexpr} \Rightarrow ('c, 'a) \text{ uexpr}$
where *bop f u v* $\equiv \llbracket f \rrbracket_e |> u |> v$

abbreviation *trop* ::

$('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd) \Rightarrow ('a, 'a) \text{ uexpr} \Rightarrow ('b, 'a) \text{ uexpr} \Rightarrow ('c, 'a) \text{ uexpr} \Rightarrow ('d, 'a) \text{ uexpr}$
where *trop f u v w* $\equiv \llbracket f \rrbracket_e |> u |> v |> w$

abbreviation *qtop* ::

$('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd \Rightarrow 'e) \Rightarrow$
 $('a, 'a) \text{ uexpr} \Rightarrow ('b, 'a) \text{ uexpr} \Rightarrow ('c, 'a) \text{ uexpr} \Rightarrow ('d, 'a) \text{ uexpr} \Rightarrow$
 $('e, 'a) \text{ uexpr}$

where $qtop\ f\ u\ v\ w\ x \equiv \llbracket f \rrbracket\ u\ v\ w\ x$

We also define a UTP expression version of function (λ) abstraction, that takes a function producing an expression and produces an expression producing a function.

lift-definition $uabs :: ('a \Rightarrow ('b, 'a) uexpr) \Rightarrow ('a \Rightarrow 'b, 'a) uexpr$
is $\lambda f\ A\ x. f\ x\ A$.

We set up syntax for the conditional. This is effectively an infix version of if-then-else where the condition is in the middle.

definition $uIf :: bool \Rightarrow 'a \Rightarrow 'a \Rightarrow 'a$ **where**
 $[uexpr-defs]: uIf = If$

abbreviation $cond ::$

$('a, 'a) uexpr \Rightarrow (bool, 'a) uexpr \Rightarrow ('a, 'a) uexpr \Rightarrow ('a, 'a) uexpr$
 $((\beta - \triangleleft - \triangleright / -) [52, 0, 53] 52)$

where $P \triangleleft b \triangleright Q \equiv trop\ uIf\ b\ P\ Q$

UTP expression is equality is simply HOL equality lifted using the *bop* binary expression constructor.

abbreviation $(input)\ eq-upred :: ('a, 'a) uexpr \Rightarrow ('a, 'a) uexpr \Rightarrow (bool, 'a) uexpr$ (**infixl** $=_u$ 50)
where $eq-upred\ x\ y \equiv bop\ HOL.eq\ x\ y$

A literal is the expression $\llbracket v \rrbracket$, where v is any HOL term. Actually, the literal construct is very versatile and also allows us to refer to HOL variables within UTP expressions, and has a variety of other uses. It can therefore also be considered as a kind of quotation mechanism.

We also set up syntax for UTP variable expressions.

syntax

$-uuvar :: svar \Rightarrow logic\ (-)$

translations

$-uuvar\ x == CONST\ var\ x$

Since we already have a parser for variables, we can directly reuse it and simply apply the *var* expression construct to lift the resulting variable to an expression.

consts

$uttrue :: 'a\ (true)$

$ufalse :: 'a\ (false)$

3.3 Type class instantiations

Isabelle/HOL of course provides a large hierarchy of type classes that provide constructs such as numerals and the arithmetic operators. Fortunately we can directly make use of these for UTP expressions, and thus we now perform a long list of appropriate instantiations. We first lift the core arithmetic constants and operators using a mixture of literals, unary, and binary expression constructors.

instantiation $uexpr :: (zero, type)\ zero$

begin

definition $zero-uexpr-def\ [uexpr-defs]: 0 = lit\ 0$

instance ..

end

instantiation $uexpr :: (one, type)\ one$


```

begin
  definition one-uepr-def [uepr-defs]: 1 = lit 1
instance ..

end

instantiation uepr :: (plus, type) plus
begin
  definition plus-uepr-def [uepr-defs]: u + v = bop (+) u v
instance ..
end

instance uepr :: (semigroup-add, type) semigroup-add
  by (intro-classes) (simp add: plus-uepr-def zero-uepr-def, transfer, simp add: add.assoc)+

```

The following instantiation sets up numerals. This will allow us to have Isabelle number representations (i.e. 3,7,42,198 etc.) to UTP expressions directly.

```

instance uepr :: (numeral, type) numeral
  by (intro-classes, simp add: plus-uepr-def, transfer, simp add: add.assoc)

```

We can also define the order relation on expressions. Now, unlike the previous group and ring constructs, the order relations (\leq) and (\leq) return a *bool* type. This order is not therefore the lifted order which allows us to compare the valuation of two expressions, but rather the order on expressions themselves. Notably, this instantiation will later allow us to talk about predicate refinements and complete lattices.

```

instantiation uepr :: (ord, type) ord
begin
  lift-definition less-eq-uepr :: ('a, 'b) uepr  $\Rightarrow$  ('a, 'b) uepr  $\Rightarrow$  bool
  is  $\lambda P Q. (\forall A. P A \leq Q A)$  .
  definition less-uepr :: ('a, 'b) uepr  $\Rightarrow$  ('a, 'b) uepr  $\Rightarrow$  bool
  where [uepr-defs]: less-uepr P Q = (P  $\leq$  Q  $\wedge$   $\neg$  Q  $\leq$  P)
instance ..
end

```

UTP expressions whose return type is a partial ordered type, are also partially ordered as the following instantiation demonstrates.

```

instance uepr :: (order, type) order
proof
  fix x y z :: ('a, 'b) uepr
  show (x < y) = (x  $\leq$  y  $\wedge$   $\neg$  y  $\leq$  x) by (simp add: less-uepr-def)
  show x  $\leq$  x by (transfer, auto)
  show x  $\leq$  y  $\implies$  y  $\leq$  z  $\implies$  x  $\leq$  z
    by (transfer, blast intro:order.trans)
  show x  $\leq$  y  $\implies$  y  $\leq$  x  $\implies$  x = y
    by (transfer, rule ext, simp add: eq-iff)
qed

```

```

instantiation uepr :: (equal, enum) equal
begin

```

```

  definition equal-uepr :: ('a, 'b) uepr  $\Rightarrow$  ('a, 'b) uepr  $\Rightarrow$  bool where
    equal-uepr f g  $\longleftrightarrow$  ( $\forall x \in \text{set enum-class.enum}. \llbracket f \rrbracket_e x = \llbracket g \rrbracket_e x$ )

```

```

instance proof qed (simp-all add: equal-uepr-def uepr-eq-iff enum-UNIV)

```

end

instantiation *uexpr* :: (*enum*, *enum*) *enum*

begin

definition *enum-uexpr* :: ('a, 'b) *uexpr* list **where**

enum-uexpr = map *mk_e* *enum-class.enum*

definition *enum-all-uexpr* :: (('a, 'b) *uexpr* ⇒ *bool*) ⇒ *bool* **where**

enum-all-uexpr *P* = *enum-class.enum-all* (*P* ∘ *mk_e*)

definition *enum-ex-uexpr* :: (('a, 'b) *uexpr* ⇒ *bool*) ⇒ *bool* **where**

enum-ex-uexpr *P* = *enum-class.enum-ex* (*P* ∘ *mk_e*)

instance

by (*intro-classes*, *simp-all* add: *equal-uexpr-def enum-uexpr-def enum-all-uexpr-def enum-ex-uexpr-def*)
(transfer, simp add: *UNIV-enum enum-distinct enum-all-UNIV comp-def*)+

end

3.4 Syntax translations

The follows a large number of translations that lift HOL functions to UTP expressions using the various expression constructors defined above. Much of the time we try to keep the HOL syntax but add a "u" subscript.

This operator allows us to get the characteristic set of a type. Essentially this is *UNIV*, but it retains the type syntactically for pretty printing.

definition *set-of* :: 'a *itself* ⇒ 'a *set* **where**

[*uexpr-defs*]: *set-of* *t* = *UNIV*

We add new non-terminals for UTP tuples and maplets.

nonterminal *utuple-args* **and** *umaplet* **and** *umaplets*

syntax — Core expression constructs

-*ucoerce* :: *logic* ⇒ *type* ⇒ *logic* (**infix** :_u 50)
-*uabs* :: *pttrn* ⇒ *logic* ⇒ *logic* (λ - · - [0, 10] 10)
-*ulens-ovrd* :: *logic* ⇒ *logic* ⇒ *salpha* ⇒ *logic* (- ⊕ - *on* - [85, 0, 86] 86)
-*ulens-get* :: *logic* ⇒ *svar* ⇒ *logic* (-:- [900,901] 901)

translations

λ *x* · *p* == *CONST* *uabs* (λ *x*. *p*)
x :_u 'a == *x* :: ('a, -) *uexpr*
-*ulens-ovrd* *f* *g* *a* => *CONST* *bop* (*CONST* *lens-override* *a*) *f* *g*
-*ulens-ovrd* *f* *g* *a* <= *CONST* *bop* (λ *x* *y*. *CONST* *lens-override* *x1* *y1* *a*) *f* *g*
-*ulens-get* *x* *y* == *CONST* *uop* (*CONST* *lens-get* *y*) *x*

abbreviation (*input*) *umem* (**infix** ∈_u 50) **where** (*x* ∈_u *A*) ≡ *bop* (∈) *x* *A*

abbreviation (*input*) *uNone* (*None_u*) **where** *None_u* ≡ «*None*»

abbreviation (*input*) *uSome* (*Some_u* '(-')) **where** *Some_u* (*e*) ≡ *uop* *Some* *e*

abbreviation (*input*) *uthe* (*the_u* '(-')) **where** *the_u* (*e*) ≡ *uop* *the* *e*

syntax — Tuples

-*utuple* :: ('a, 'α) *uexpr* ⇒ *utuple-args* ⇒ ('a * 'b, 'α) *uexpr* ((1'(-, / -)_u)
-*utuple-arg* :: ('a, 'α) *uexpr* ⇒ *utuple-args* (-)
-*utuple-args* :: ('a, 'α) *uexpr* => *utuple-args* ⇒ *utuple-args* (-, / -)

translations

$(x, y)_u \Rightarrow \text{CONST } bop \ (\text{CONST } Pair) \ x \ y$
 $\text{-utuple } x \ (\text{-utuple-args } y \ z) \Rightarrow \text{-utuple } x \ (\text{-utuple-arg } (\text{-utuple } y \ z))$

abbreviation *(input)* $uunit \ (\cdot)_u$ **where** $()_u \equiv \ll() \gg$

abbreviation *(input)* $ufst \ (\pi_1 \cdot)$ **where** $\pi_1(x) \equiv uop \ fst \ x$

abbreviation *(input)* $usnd \ (\pi_2 \cdot)$ **where** $\pi_2(x) \equiv uop \ snd \ x$

— Orders

abbreviation *(input)* $uless \ (\text{infix } <_u \ 50)$ **where** $x <_u y \equiv bop \ (<) \ x \ y$

abbreviation *(input)* $ugreat \ (\text{infix } >_u \ 50)$ **where** $x >_u y \equiv y <_u x$

abbreviation *(input)* $uleq \ (\text{infix } \leq_u \ 50)$ **where** $x \leq_u y \equiv bop \ (\leq) \ x \ y$

abbreviation *(input)* $ugeq \ (\text{infix } \geq_u \ 50)$ **where** $x \geq_u y \equiv y \leq_u x$

3.5 Evaluation laws for expressions

The following laws show how to evaluate the core expressions constructs in terms of which the above definitions are defined. Thus, using these theorems together, we can convert any UTP expression into a pure HOL expression. All these theorems are marked as *ueval* theorems which can be used for evaluation.

lemma *lit-ueval* [*ueval*]: $\ll x \gg_e b = x$
by (*transfer*, *simp*)

lemma *var-ueval* [*ueval*]: $\ll var \ x \gg_e b = get_x \ b$
by (*transfer*, *simp*)

lemma *appl-ueval* [*ueval*]: $\ll f \ |> \ x \gg_e b = \ll f \gg_e b \ (\ll x \gg_e b)$
by (*transfer*, *simp*)

3.6 Misc laws

We also prove a few useful algebraic and expansion laws for expressions.

lemma *uop-const* [*simp*]: $uop \ id \ u = u$
by (*transfer*, *simp*)

lemma *bop-const-1* [*simp*]: $bop \ (\lambda x \ y. \ y) \ u \ v = v$
by (*transfer*, *simp*)

lemma *bop-const-2* [*simp*]: $bop \ (\lambda x \ y. \ x) \ u \ v = u$
by (*transfer*, *simp*)

lemma *uepr-fst* [*simp*]: $\pi_1((e, f)_u) = e$
by (*transfer*, *simp*)

lemma *uepr-snd* [*simp*]: $\pi_2((e, f)_u) = f$
by (*transfer*, *simp*)

3.7 Literalise tactics

The following tactic converts literal HOL expressions to UTP expressions and vice-versa via a collection of simplification rules. The two tactics are called "literalise", which converts UTP to expressions to HOL expressions – i.e. it pushes them into literals – and unliteralise that reverses this. We collect the equations in a theorem attribute called "lit_simps".

lemma *lit-fun-simps* [*lit-simps*]:
 $\llbracket i \ x \ y \ z \ u \rrbracket = qtop \ i \ \llbracket x \rrbracket \ \llbracket y \rrbracket \ \llbracket z \rrbracket \ \llbracket u \rrbracket$
 $\llbracket h \ x \ y \ z \rrbracket = trop \ h \ \llbracket x \rrbracket \ \llbracket y \rrbracket \ \llbracket z \rrbracket$
 $\llbracket g \ x \ y \rrbracket = bop \ g \ \llbracket x \rrbracket \ \llbracket y \rrbracket$
 $\llbracket f \ x \rrbracket = uop \ f \ \llbracket x \rrbracket$
by (*transfer*, *simp*)**+**

The following two theorems also set up interpretation of numerals, meaning a UTP numeral can always be converted to a HOL numeral.

lemma *numeral-uepr-rep-eq* [*ueval*]: $\llbracket numeral \ x \rrbracket_e \ b = numeral \ x$
apply (*induct* *x*)
apply (*simp* *add*: *lit.rep-eq one-uepr-def*)
apply (*simp* *add*: *ueval numeral-Bit0 plus-uepr-def*)
apply (*simp* *add*: *ueval numeral-Bit1 plus-uepr-def one-uepr-def*)
done

lemma *numeral-uepr-simp*: $numeral \ x = \llbracket numeral \ x \rrbracket$
by (*simp* *add*: *uepr-eq-iff numeral-uepr-rep-eq lit.rep-eq*)

lemma *lit-zero* [*lit-simps*]: $\llbracket 0 \rrbracket = 0$ **by** (*simp* *add*: *uepr-defs*)
lemma *lit-one* [*lit-simps*]: $\llbracket 1 \rrbracket = 1$ **by** (*simp* *add*: *uepr-defs*)
lemma *lit-plus* [*lit-simps*]: $\llbracket x + y \rrbracket = \llbracket x \rrbracket + \llbracket y \rrbracket$ **by** (*simp* *add*: *uepr-defs*, *transfer*, *simp*)
lemma *lit-numeral* [*lit-simps*]: $\llbracket numeral \ n \rrbracket = numeral \ n$ **by** (*simp* *add*: *numeral-uepr-simp*)

In general unliteralising converts function applications to corresponding expression liftings. Since some operators, like + and *, have specific operators we also have to use $uIf = If$

$0 = \llbracket 0 :: ?'a \rrbracket$
 $1 = \llbracket 1 :: ?'a \rrbracket$
 $?u + ?v = bop \ (+) \ ?u \ ?v$
 $(?P < ?Q) = (?P \leq ?Q \wedge \neg ?Q \leq ?P)$

set-of $?t = UNIV$ in reverse to correctly interpret these. Moreover, numerals must be handled separately by first simplifying them and then converting them into UTP expression numerals; hence the following two simplification rules.

lemma *lit-numeral-1*: $uop \ numeral \ x = Abs-uepr \ (\lambda b. \ numeral \ (\llbracket x \rrbracket_e \ b))$
by (*simp* *add*: *uepr-appl-def lit.rep-eq*)

lemma *lit-numeral-2*: $Abs-uepr \ (\lambda b. \ numeral \ v) = numeral \ v$
by (*metis* *lit.abs-eq lit-numeral*)

method *literalise* = (*unfold* *lit-simps* [*THEN* *sym*])
method *unliteralise* = (*unfold* *lit-simps uepr-defs* [*THEN* *sym*];
(*unfold* *lit-numeral-1* ; (*unfold* *uepr-defs ueval*); (*unfold* *lit-numeral-2*))?)**+**

The following tactic can be used to evaluate literal expressions. It first literalises UTP expressions, that is pushes as many operators into literals as possible. Then it tries to simplify, and final unliteralises at the end.

method *uepr-simp* **uses** *simps* = ((*literalise*)?, *simp* *add*: *lit-norm simps*, (*unliteralise*)?)

lemma $(1 :: (int, 'a) \ uepr) + \llbracket 2 \rrbracket = 4 \longleftrightarrow \llbracket 3 \rrbracket = 4$
apply (*literalise*)
apply (*uepr-simp*) **oops**

end

4 Expression Type Class Instantiations

```
theory utp-expr-insts
  imports utp-expr
begin
```

It should be noted that instantiating the unary minus class, *uminus*, will also provide negation UTP predicates later.

```
instantiation uexpr :: (uminus, type) uminus
begin
  definition uminus-uexpr-def [uexpr-defs]:  $- u = \text{uop } \text{uminus } u$ 
instance ..
end
```

```
instantiation uexpr :: (minus, type) minus
begin
  definition minus-uexpr-def [uexpr-defs]:  $u - v = \text{bop } (-) u v$ 
instance ..
end
```

```
instantiation uexpr :: (times, type) times
begin
  definition times-uexpr-def [uexpr-defs]:  $u * v = \text{bop } \text{times } u v$ 
instance ..
end
```

```
instance uexpr :: (Rings.dvd, type) Rings.dvd ..
```

```
instantiation uexpr :: (divide, type) divide
begin
  definition divide-uexpr :: ('a, 'b) uexpr  $\Rightarrow$  ('a, 'b) uexpr  $\Rightarrow$  ('a, 'b) uexpr where
    [uexpr-defs]:  $\text{divide-uexpr } u v = \text{bop } \text{divide } u v$ 
instance ..
end
```

```
instantiation uexpr :: (inverse, type) inverse
begin
  definition inverse-uexpr :: ('a, 'b) uexpr  $\Rightarrow$  ('a, 'b) uexpr
  where [uexpr-defs]:  $\text{inverse-uexpr } u = \text{uop } \text{inverse } u$ 
instance ..
end
```

```
instantiation uexpr :: (modulo, type) modulo
begin
  definition mod-uexpr-def [uexpr-defs]:  $u \text{ mod } v = \text{bop } (\text{mod}) u v$ 
instance ..
end
```

```
instantiation uexpr :: (sgn, type) sgn
begin
  definition sgn-uexpr-def [uexpr-defs]:  $\text{sgn } u = \text{uop } \text{sgn } u$ 
instance ..
```

end

instantiation *uexpr* :: (*abs*, *type*) *abs*

begin

definition *abs-uexpr-def* [*uexpr-defs*]: *abs* *u* = *uop abs u*

instance ..

end

Once we've set up all the core constructs for arithmetic, we can also instantiate the type classes for various algebras, including groups and rings. The proofs are done by definitional expansion, the *transfer* tactic, and then finally the theorems of the underlying HOL operators. This is mainly routine, so we don't comment further.

instance *uexpr* :: (*semigroup-mult*, *type*) *semigroup-mult*

by (*intro-classes*) (*simp add: times-uexpr-def one-uexpr-def, transfer, simp add: mult.assoc*)+

instance *uexpr* :: (*monoid-mult*, *type*) *monoid-mult*

by (*intro-classes*) (*simp add: times-uexpr-def one-uexpr-def, transfer, simp*)+

instance *uexpr* :: (*monoid-add*, *type*) *monoid-add*

by (*intro-classes*) (*simp add: plus-uexpr-def zero-uexpr-def, transfer, simp*)+

instance *uexpr* :: (*ab-semigroup-add*, *type*) *ab-semigroup-add*

by (*intro-classes*) (*simp add: plus-uexpr-def, transfer, simp add: add.commute*)+

instance *uexpr* :: (*cancel-semigroup-add*, *type*) *cancel-semigroup-add*

by (*intro-classes*) (*simp add: plus-uexpr-def, transfer, simp add: fun-eq-iff*)+

instance *uexpr* :: (*cancel-ab-semigroup-add*, *type*) *cancel-ab-semigroup-add*

by (*intro-classes*, (*simp add: plus-uexpr-def minus-uexpr-def, transfer, simp add: fun-eq-iff add.commute cancel-ab-semigroup-add-class.diff-diff-add*)

instance *uexpr* :: (*group-add*, *type*) *group-add*

by (*intro-classes*)

 (*simp add: plus-uexpr-def uminus-uexpr-def minus-uexpr-def zero-uexpr-def, transfer, simp*)+

instance *uexpr* :: (*ab-group-add*, *type*) *ab-group-add*

by (*intro-classes*)

 (*simp add: plus-uexpr-def uminus-uexpr-def minus-uexpr-def zero-uexpr-def, transfer, simp*)+

instance *uexpr* :: (*semiring*, *type*) *semiring*

by (*intro-classes*) (*simp add: plus-uexpr-def times-uexpr-def, transfer, simp add: fun-eq-iff add.commute semiring-class.distrib-right semiring-class.distrib-left*)

instance *uexpr* :: (*ring-1*, *type*) *ring-1*

by (*intro-classes*) (*simp add: plus-uexpr-def uminus-uexpr-def minus-uexpr-def times-uexpr-def zero-uexpr-def one-uexpr-def, transfer, simp add: fun-eq-iff*)

We also lift the properties from certain ordered groups.

instance *uexpr* :: (*ordered-ab-group-add*, *type*) *ordered-ab-group-add*

by (*intro-classes*) (*simp add: plus-uexpr-def, transfer, simp*)

instance *uexpr* :: (*ordered-ab-group-add-abs*, *type*) *ordered-ab-group-add-abs*

apply (*intro-classes*)

apply (*simp add: abs-uexpr-def zero-uexpr-def plus-uexpr-def uminus-uexpr-def, transfer, simp add: abs-ge-self abs-le-iff abs-triangle-ineq*)

apply (*metis ab-group-add-class.ab-diff-conv-add-uminus abs-ge-minus-self abs-ge-self add-mono-thms-linordered-semiri*)
done

The next theorem lifts powers.

lemma *power-rep-eq* [ueval]: $\llbracket P \wedge n \rrbracket_e = (\lambda b. \llbracket P \rrbracket_e b \wedge n)$
by (*induct n, simp-all add: lit.rep-eq one-uepr-def times-uepr-def fun-eq-iff uepr-appl.rep-eq*)

lemma *of-nat-uepr-rep-eq* [ueval]: $\llbracket \text{of-nat } x \rrbracket_e b = \text{of-nat } x$
by (*induct x, simp-all add: uepr-defs ueval*)

lemma *lit-uminus* [lit-simps]: $\llbracket - x \rrbracket = - \llbracket x \rrbracket$ **by** (*simp add: uepr-defs, transfer, simp*)
lemma *lit-minus* [lit-simps]: $\llbracket x - y \rrbracket = \llbracket x \rrbracket - \llbracket y \rrbracket$ **by** (*simp add: uepr-defs, transfer, simp*)
lemma *lit-times* [lit-simps]: $\llbracket x * y \rrbracket = \llbracket x \rrbracket * \llbracket y \rrbracket$ **by** (*simp add: uepr-defs, transfer, simp*)
lemma *lit-divide* [lit-simps]: $\llbracket x / y \rrbracket = \llbracket x \rrbracket / \llbracket y \rrbracket$ **by** (*simp add: uepr-defs, transfer, simp*)
lemma *lit-div* [lit-simps]: $\llbracket x \text{ div } y \rrbracket = \llbracket x \rrbracket \text{ div } \llbracket y \rrbracket$ **by** (*simp add: uepr-defs, transfer, simp*)
lemma *lit-power* [lit-simps]: $\llbracket x \wedge n \rrbracket = \llbracket x \rrbracket \wedge n$ **by** (*simp add: lit.rep-eq power-rep-eq uepr-eq-iff*)

4.1 Expression construction from HOL terms

Sometimes it is convenient to cast HOL terms to UTP expressions, and these simplifications automate this process.

named-theorems *mkuepr*

lemma *mkuepr-lens-get* [mkuepr]: $mk_e \text{ get } x = \&x$
by (*transfer, simp add: pr-var-def*)

lemma *mkuepr-zero* [mkuepr]: $mk_e (\lambda s. 0) = 0$
by (*simp add: zero-uepr-def, transfer, simp*)

lemma *mkuepr-one* [mkuepr]: $mk_e (\lambda s. 1) = 1$
by (*simp add: one-uepr-def, transfer, simp*)

lemma *mkuepr-numeral* [mkuepr]: $mk_e (\lambda s. \text{numeral } n) = \text{numeral } n$
using *lit-numeral-2* **by** *blast*

lemma *mkuepr-lit* [mkuepr]: $mk_e (\lambda s. k) = \llbracket k \rrbracket$
by (*transfer, simp*)

lemma *mkuepr-pair* [mkuepr]: $mk_e (\lambda s. (f s, g s)) = (mk_e f, mk_e g)_u$
by (*transfer, simp*)

lemma *mkuepr-plus* [mkuepr]: $mk_e (\lambda s. f s + g s) = mk_e f + mk_e g$
by (*simp add: plus-uepr-def, transfer, simp*)

lemma *mkuepr-uminus* [mkuepr]: $mk_e (\lambda s. - f s) = - mk_e f$
by (*simp add: uminus-uepr-def, transfer, simp*)

lemma *mkuepr-minus* [mkuepr]: $mk_e (\lambda s. f s - g s) = mk_e f - mk_e g$
by (*simp add: minus-uepr-def, transfer, simp*)

lemma *mkuepr-times* [mkuepr]: $mk_e (\lambda s. f s * g s) = mk_e f * mk_e g$
by (*simp add: times-uepr-def, transfer, simp*)

lemma *mkuepr-divide* [mkuepr]: $mk_e (\lambda s. f s / g s) = mk_e f / mk_e g$
by (*simp add: divide-uepr-def, transfer, simp*)

```

end
theory utp-expr-funcs
  imports utp-expr-insts
begin

```

— Polymorphic constructs

```

abbreviation (input) uceil ( $\lceil \_ \rceil_u$ ) where  $\lceil x \rceil_u \equiv uop\ ceiling\ x$ 
abbreviation (input) ufloor ( $\lfloor \_ \rfloor_u$ ) where  $\lfloor x \rfloor_u \equiv uop\ floor\ x$ 
abbreviation (input) umin ( $min_u'(-, -')$ ) where  $min_u(x, y) \equiv bop\ min\ x\ y$ 
abbreviation (input) umax ( $max_u'(-, -')$ ) where  $max_u(x, y) \equiv bop\ max\ x\ y$ 
abbreviation (input) ugcd ( $gcd_u'(-, -')$ ) where  $gcd_u(x, y) \equiv bop\ gcd\ x\ y$ 

```

— Lists / Sequences

```

abbreviation (input) ucons (infixr  $\#_u$  65) where  $x \#_u xs \equiv bop\ (\#)\ x\ xs$ 
abbreviation (input) uappend (infixr  $\hat{\_}_u$  80) where  $x \hat{\_}_u y \equiv bop\ (@)\ x\ y$ 
abbreviation (input) udconcat (infixr  $\frown_u$  90) where  $x \frown_u y \equiv bop\ (\frown)\ x\ y$ 
abbreviation (input) ulast ( $last_u'(-')$ ) where  $last_u(x) \equiv uop\ last\ x$ 
abbreviation (input) ufront ( $front_u'(-')$ ) where  $front_u(x) \equiv uop\ butlast\ x$ 
abbreviation (input) uhead ( $head_u'(-')$ ) where  $head_u(x) \equiv uop\ hd\ x$ 
abbreviation (input) utail ( $tail_u'(-')$ ) where  $tail_u(x) \equiv uop\ tl\ x$ 
abbreviation (input) utake ( $take_u'(-, -')$ ) where  $take_u(n, xs) \equiv bop\ take\ n\ xs$ 
abbreviation (input) udrop ( $drop_u'(-, -')$ ) where  $drop_u(n, xs) \equiv bop\ drop\ n\ xs$ 
abbreviation (input) ufilter (infixl  $\downarrow_u$  75) where  $xs \downarrow_u A \equiv bop\ seq-filter\ xs\ A$ 
abbreviation (input) uextract (infixl  $\downarrow_u$  75) where  $xs \downarrow_u A \equiv bop\ (\downarrow_l)\ A\ xs$ 
abbreviation (input) uelems ( $elems_u'(-')$ ) where  $elems_u(xs) \equiv uop\ set\ xs$ 
abbreviation (input) usorted ( $sorted_u'(-')$ ) where  $sorted_u(xs) \equiv uop\ sorted\ xs$ 
abbreviation (input) udistinct ( $distinct_u'(-')$ ) where  $distinct_u(xs) \equiv uop\ set\ xs$ 
abbreviation (input) uupto ( $\langle \dots \rangle$ ) where  $\langle n..k \rangle \equiv bop\ upto\ n\ k$ 
abbreviation (input) uupt ( $\langle \dots < \rangle$ ) where  $\langle n..<k \rangle \equiv bop\ upt\ n\ k$ 
abbreviation (input) umap ( $map_u$ ) where  $map_u \equiv bop\ map$ 
abbreviation (input) uzip ( $zip_u$ ) where  $zip_u \equiv bop\ zip$ 

```

```

abbreviation (input) ufinite ( $finite_u'(-')$ ) where  $finite_u(x) \equiv uop\ finite\ x$ 
abbreviation (input) uempset ( $\{\}_u$ ) where  $\{\}_u \equiv \ll \{\} \gg$ 
abbreviation (input) uunion (infixl  $\cup_u$  65) where  $A \cup_u B \equiv bop\ (\cup)\ A\ B$ 
abbreviation (input) uinter (infixl  $\cap_u$  70) where  $A \cap_u B \equiv bop\ (\cap)\ A\ B$ 
abbreviation (input) uimage ( $(-\downarrow)_u$  [10,0] 10) where  $f(\downarrow A)_u \equiv bop\ image\ f\ A$ 
abbreviation (input) uinsert ( $insert_u$ ) where  $insert_u\ x\ xs \equiv bop\ insert\ x\ xs$ 
abbreviation (input) usubset (infix  $\subset_u$  50) where  $A \subset_u B \equiv bop\ (\subset)\ A\ B$ 
abbreviation (input) usubseteq (infix  $\subseteq_u$  50) where  $A \subseteq_u B \equiv bop\ (\subseteq)\ A\ B$ 
abbreviation (input) uconverse ( $(-\sim)$  [1000] 999) where  $P^\sim \equiv uop\ converse\ P$ 

```

syntax — Sets

```

-uset      :: args => ('a set, 'α) uexpr ({(-)}_u)
-ucarrier  :: type => logic ([_]_T)
-uid       :: type => logic (id[_])
-uproduct  :: logic => logic => logic (infixr  $\times_u$  80)
-urelcomp  :: logic => logic => logic (infixr  $;\_u$  75)

```

translations

```

 $\{x, xs\}_u \Rightarrow insert_u\ x\ \{xs\}_u$ 
 $\{x\}_u \Rightarrow insert_u\ x\ \ll \{\} \gg$ 

```


$[a]_T \quad == \ll CONST \text{ set-of } TYPE('a) \gg$
 $id[a] \quad == \ll CONST \text{ Id-on } (CONST \text{ set-of } TYPE('a)) \gg$
 $A \times_u B \quad == CONST \text{ bop } CONST \text{ Product-Type.Times } A \ B$
 $A ;_u B \quad == CONST \text{ bop } CONST \text{ relcomp } A \ B$

— Sum types

abbreviation $(input) \ uinl \ (inl_u '(-))$ **where** $inl_u(x) \equiv uop \ Inl \ x$
abbreviation $(input) \ uinr \ (inr_u '(-))$ **where** $inr_u(x) \equiv uop \ Inr \ x$

4.2 Lifting set collectors

We provide syntax for various types of set collectors, including intervals and the Z-style set comprehension which is purpose built as a new lifted definition.

syntax

$-uset-atLeastAtMost :: ('a, 'α) \ uexpr \Rightarrow ('a, 'α) \ uexpr \Rightarrow ('a \text{ set}, 'α) \ uexpr \ ((1\{-..\}_u))$
 $-uset-atLeastLessThan :: ('a, 'α) \ uexpr \Rightarrow ('a, 'α) \ uexpr \Rightarrow ('a \text{ set}, 'α) \ uexpr \ ((1\{-..<-\}_u))$
 $-uset-compr :: pttrn \Rightarrow logic \Rightarrow logic \Rightarrow logic \Rightarrow logic \ ((1\{-:/-|/-\}_u))$
 $-uset-compr-nset :: pttrn \Rightarrow logic \Rightarrow logic \Rightarrow logic \ ((1\{-|/-\}_u))$
 $-uset-compr-nfun :: pttrn \Rightarrow logic \Rightarrow logic \Rightarrow logic \ ((1\{-:/-|/-\}_u))$
 $-uset-compr-nset-nfun :: pttrn \Rightarrow logic \Rightarrow logic \ ((1\{-|/-\}_u))$
 $-uset-compr-nvar :: logic \Rightarrow logic \Rightarrow logic \ ((1\{-:/-|/-\}_u))$

lift-definition $ZedSetCompr ::$

$(a \text{ set}, 'α) \ uexpr \Rightarrow ('a \Rightarrow (bool \times 'b, 'α) \ uexpr) \Rightarrow ('b \text{ set}, 'α) \ uexpr$
is $\lambda A \ PF \ b. \{ \text{snd } (PF \ x \ b) \mid x. x \in A \ b \wedge \text{fst } (PF \ x \ b) \}$.

abbreviation $ZedImage ::$

$(bool \times 'b, 'α) \ uexpr \Rightarrow ('b \text{ set}, 'α) \ uexpr$ **where**
 $ZedImage \ PF \equiv ZedSetCompr \ll UNIV \gg (\lambda x::unit. PF)$

translations

$\{x..y\}_u \Rightarrow CONST \text{ bop } CONST \text{ atLeastAtMost } x \ y$
 $\{x..<y\}_u \Rightarrow CONST \text{ bop } CONST \text{ atLeastLessThan } x \ y$
 $\{x \mid P \cdot F\} \equiv CONST \text{ ZedSetCompr } (CONST \text{ lit } CONST \text{ UNIV}) (\lambda x. (P, F)_u)$
 $\{x : A \mid P \cdot F\} \equiv CONST \text{ ZedSetCompr } A (\lambda x. (P, F)_u)$
 $\{x : A \mid P\} \Rightarrow \{x : A \mid P \cdot \ll x \gg\}$
 $\{x \mid P\} \equiv \{x : \ll CONST \text{ UNIV } \gg \mid P\}$
 $\{P \cdot F\} \equiv CONST \text{ ZedImage } (P, F)_u$

4.3 Lifting limits

We also lift the following functions on topological spaces for taking function limits, and describing continuity.

definition $ulim-left :: 'a::order-topology \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'b::t2-space$ **where**
 $[uexpr-defs]: ulim-left = (\lambda p \ f. Lim \ (at-left \ p) \ f)$

definition $ulim-right :: 'a::order-topology \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'b::t2-space$ **where**
 $[uexpr-defs]: ulim-right = (\lambda p \ f. Lim \ (at-right \ p) \ f)$

definition $ucont-on :: ('a::topological-space \Rightarrow 'b::topological-space) \Rightarrow 'a \text{ set} \Rightarrow bool$ **where**
 $[uexpr-defs]: ucont-on = (\lambda f \ A. continuous-on \ A \ f)$

syntax

$-ulim-left :: id \Rightarrow logic \Rightarrow logic \Rightarrow logic (lim_u'(- \rightarrow -^-)'(-))$
 $-ulim-right :: id \Rightarrow logic \Rightarrow logic \Rightarrow logic (lim_u'(- \rightarrow -^+)'(-))$
 $-ucont-on :: logic \Rightarrow logic \Rightarrow logic (\mathbf{infix} \text{ cont-on}_u 90)$

translations

$lim_u(x \rightarrow p^-)(e) == CONST \text{ bop } CONST \text{ ulim-left } p (\lambda x \cdot e)$
 $lim_u(x \rightarrow p^+)(e) == CONST \text{ bop } CONST \text{ ulim-right } p (\lambda x \cdot e)$
 $f \text{ cont-on}_u A == CONST \text{ bop } CONST \text{ continuous-on } A f$

lemma *uset-minus-empty* [simp]: $x - \{\}_u = x$
by (simp add: uexpr-defs, transfer, simp)

lemma *uinter-empty-1* [simp]: $x \cap_u \{\}_u = \{\}_u$
by (transfer, simp)

lemma *uinter-empty-2* [simp]: $\{\}_u \cap_u x = \{\}_u$
by (transfer, simp)

lemma *union-empty-1* [simp]: $\{\}_u \cup_u x = x$
by (transfer, simp)

lemma *union-insert* [simp]: $(\text{bop insert } x A) \cup_u B = \text{bop insert } x (A \cup_u B)$
by (transfer, simp)

lemma *ulist-filter-empty* [simp]: $x \downarrow_u \{\}_u = \llbracket \rrbracket$
by (transfer, simp)

lemma *tail-cons* [simp]: $tail_u(x \#_u \llbracket \rrbracket \hat{^}_u xs) = xs$
by (transfer, simp)

lemma *uconcat-units* [simp]: $\llbracket \rrbracket \hat{^}_u xs = xs \text{ xs } \hat{^}_u \llbracket \rrbracket = xs$
by (transfer, simp)+

end

5 Unrestriction

theory *utp-unrest*
imports *utp-expr-insts*
begin

5.1 Definitions and Core Syntax

Unrestriction is an encoding of semantic freshness that allows us to reason about the presence of variables in predicates without being concerned with abstract syntax trees. An expression p is unrestricted by lens x , written $x \sharp p$, if altering the value of x has no effect on the valuation of p . This is a sufficient notion to prove many laws that would ordinarily rely on an fv function.

Unrestriction was first defined in the work of Marcel Oliveira [27, 26] in his UTP mechanisation in *ProofPowerZ*. Our definition modifies his in that our variables are semantically characterised as lenses, and supported by the lens laws, rather than named syntactic entities. We effectively fuse the ideas from both Feliachi [9] and Oliveira's [26] mechanisations of the UTP, the former being also purely semantic in nature.

We first set up overloaded syntax for unrestricted, as several concepts will have this defined.

consts

$unrest :: 'a \Rightarrow 'b \Rightarrow bool$

syntax

$-unrest :: salpha \Rightarrow logic \Rightarrow logic \Rightarrow logic \text{ (infix } \# 20)$

translations

$-unrest\ x\ p == CONST\ unrest\ x\ p$

$-unrest\ (-salphaset\ (-salphamk\ (x +_L\ y)))\ P\ <= -unrest\ (x +_L\ y)\ P$

Our syntax translations support both variables and variable sets such that we can write down predicates like $\&x \# P$ and also $\{\&x, \&y, \&z\} \# P$.

We set up a simple tactic for discharging unrestriction conjectures using a simplification set.

named-theorems $unrest$

method $unrest-tac = (simp\ add: unrest)?$

Unrestriction for expressions is defined as a lifted construct using the underlying lens operations. It states that lens x is unrestricted by expression e provided that, for any state-space binding b and variable valuation v , the value which the expression evaluates to is unaltered if we set x to v in b . In other words, we cannot effect the behaviour of e by changing x . Thus e does not observe the portion of state-space characterised by x . We add this definition to our overloaded constant.

lift-definition $unrest-uepr :: ('a \Longrightarrow 'a) \Rightarrow ('b, 'a)\ uepr \Rightarrow bool$

is $\lambda\ x\ e.\ \forall\ b\ v.\ e\ (put_x\ b\ v) = e\ b$.

ad hoc-overloading

$unrest\ unrest-uepr$

lemma $unrest-expr-alt-def$:

$weak-lens\ x \Longrightarrow (x \# P) = (\forall\ b\ b'. \llbracket P \rrbracket_e\ (b \oplus_L b' \text{ on } x) = \llbracket P \rrbracket_e\ b)$

by $(transfer, metis\ lens-override-def\ weak-lens.put-get)$

5.2 Unrestriction laws

We now prove unrestriction laws for the key constructs of our expression model. Many of these depend on lens properties and so variously employ the assumptions $mwb-lens$ and $vwb-lens$, depending on the number of assumptions from the lenses theory is required.

Firstly, we prove a general property – if x and y are both unrestricted in P , then their composition is also unrestricted in P . One can interpret the composition here as a union – if the two sets of variables x and y are unrestricted, then so is their union.

lemma $unrest-var-comp\ [unrest]$:

$\llbracket x \# P; y \# P \rrbracket \Longrightarrow x;y \# P$

by $(transfer, simp\ add: lens-defs)$

lemma $unrest-svar\ [unrest]$: $(\&x \# P) \longleftrightarrow (x \# P)$

by $(transfer, simp\ add: lens-defs)$

lemma $unrest-lens-comp\ [unrest]$: $x \# e \Longrightarrow x;y \# e$

by $(simp\ add: lens-comp-def\ unrest-uepr.rep-eq)$

No lens is restricted by a literal, since it returns the same value for any state binding.

lemma $unrest-lit\ [unrest]$: $x \# \langle v \rangle$

by (*transfer*, *simp*)

If one lens is smaller than another, then any unrestriction on the larger lens implies unrestriction on the smaller.

lemma *unrest-sublens*:

fixes $P :: ('a, 'α) uexpr$
assumes $x \# P \ y \subseteq_L x$
shows $y \# P$
using *assms*
by (*transfer*, *metis* (*no-types*, *lifting*) *lens.select-convs*(2) *lens-comp-def* *sublens-def*)

If two lenses are equivalent, and thus they characterise the same state-space regions, then clearly unrestrictions over them are equivalent.

lemma *unrest-equiv*:

fixes $P :: ('a, 'α) uexpr$
assumes $mwb\text{-}lens \ y \ x \approx_L y \ x \ \# \ P$
shows $y \# P$
by (*metis* *assms* *lens-equiv-def* *sublens-pres-mwb* *sublens-put-put* *unrest-uexpr.rep-eq*)

If we can show that an expression is unrestricted on a bijective lens, then is unrestricted on the entire state-space.

lemma *bij-lens-unrest-all*:

fixes $P :: ('a, 'α) uexpr$
assumes $bij\text{-}lens \ X \ X \ \# \ P$
shows $\Sigma \# P$
using *assms* *bij-lens-equiv-id* *lens-equiv-def* *unrest-sublens* **by** *blast*

lemma *bij-lens-unrest-all-eq*:

fixes $P :: ('a, 'α) uexpr$
assumes $bij\text{-}lens \ X$
shows $(\Sigma \# P) \longleftrightarrow (X \# P)$
by (*meson* *assms* *bij-lens-equiv-id* *lens-equiv-def* *unrest-sublens*)

If an expression is unrestricted by all variables, then it is unrestricted by any variable

lemma *unrest-all-var*:

fixes $e :: ('a, 'α) uexpr$
assumes $\Sigma \# e$
shows $x \# e$
by (*metis* *assms* *id-lens-def* *lens.simps*(2) *unrest-uexpr.rep-eq*)

We can split an unrestriction composed by lens plus

lemma *unrest-plus-split*:

fixes $P :: ('a, 'α) uexpr$
assumes $x \bowtie y \ vwb\text{-}lens \ x \ vwb\text{-}lens \ y$
shows $unrest \ (x +_L y) \ P \longleftrightarrow (x \# P) \wedge (y \# P)$
using *assms*
by (*meson* *lens-plus-right-sublens* *lens-plus-ub* *sublens-refl* *unrest-sublens* *unrest-var-comp* *vwb-lens-wb*)

The following laws demonstrate the primary motivation for lens independence: a variable expression is unrestricted by another variable only when the two variables are independent. Lens independence thus effectively allows us to semantically characterise when two variables, or sets of variables, are different.

lemma *unrest-var* [*unrest*]: $\llbracket mwb\text{-}lens \ x; \ x \bowtie y \rrbracket \implies y \# var \ x$

by (transfer, auto)

lemma unrest-iuvar [unrest]: $\llbracket \text{mwb-lens } x; x \bowtie y \rrbracket \Longrightarrow \$y \# \$x$
 by (simp add: unrest-var)

lemma unrest-ouvar [unrest]: $\llbracket \text{mwb-lens } x; x \bowtie y \rrbracket \Longrightarrow \$y' \# \$x'$
 by (simp add: unrest-var)

The following laws follow automatically from independence of input and output variables.

lemma unrest-iuvar-ouvar [unrest]:
 fixes $x :: ('a \Longrightarrow 'a)$
 assumes $\text{mwb-lens } y$
 shows $\$x \# \y'
 by (metis prod.collapse unrest-uepr.rep-eq var.rep-eq var-lookup-out var-update-in)

lemma unrest-ouvar-iuvar [unrest]:
 fixes $x :: ('a \Longrightarrow 'a)$
 assumes $\text{mwb-lens } y$
 shows $\$x' \# \y
 by (metis prod.collapse unrest-uepr.rep-eq var.rep-eq var-lookup-in var-update-out)

Unrestriction distributes through the various function lifting expression constructs; this allows us to prove unrestrictions for the majority of the expression language.

lemma unrest-appl [unrest]: $\llbracket x \# f; x \# v \rrbracket \Longrightarrow x \# f \mid v$
 by (transfer, simp)

lemma unrest-uop [unrest]: $x \# e \Longrightarrow x \# \text{uop } f e$
 by (simp add: unrest)

lemma unrest-bop [unrest]: $\llbracket x \# u; x \# v \rrbracket \Longrightarrow x \# \text{bop } f u v$
 by (simp add: unrest)

lemma unrest-trop [unrest]: $\llbracket x \# u; x \# v; x \# w \rrbracket \Longrightarrow x \# \text{trop } f u v w$
 by (simp add: unrest)

lemma unrest-qtop [unrest]: $\llbracket x \# u; x \# v; x \# w; x \# y \rrbracket \Longrightarrow x \# \text{qtop } f u v w y$
 by (simp add: unrest)

For convenience, we also prove unrestriction rules for the bespoke operators on equality, numbers, arithmetic etc.

lemma unrest-zero [unrest]: $x \# 0$
 by (simp add: unrest-lit zero-uepr-def)

lemma unrest-one [unrest]: $x \# 1$
 by (simp add: one-uepr-def unrest-lit)

lemma unrest-numeral [unrest]: $x \# (\text{numeral } n)$
 by (simp add: numeral-uepr-simp unrest-lit)

lemma unrest-sgn [unrest]: $x \# u \Longrightarrow x \# \text{sgn } u$
 by (simp add: sgn-uepr-def unrest-uop)

lemma unrest-abs [unrest]: $x \# u \Longrightarrow x \# \text{abs } u$
 by (simp add: abs-uepr-def unrest-uop)

lemma *unrest-plus* [*unrest*]: $\llbracket x \# u; x \# v \rrbracket \Longrightarrow x \# u + v$
by (*simp add: plus-uepr-def unrest*)

lemma *unrest-uminus* [*unrest*]: $x \# u \Longrightarrow x \# -u$
by (*simp add: uminus-uepr-def unrest*)

lemma *unrest-minus* [*unrest*]: $\llbracket x \# u; x \# v \rrbracket \Longrightarrow x \# u - v$
by (*simp add: minus-uepr-def unrest*)

lemma *unrest-times* [*unrest*]: $\llbracket x \# u; x \# v \rrbracket \Longrightarrow x \# u * v$
by (*simp add: times-uepr-def unrest*)

lemma *unrest-divide* [*unrest*]: $\llbracket x \# u; x \# v \rrbracket \Longrightarrow x \# u / v$
by (*simp add: divide-uepr-def unrest*)

lemma *unrest-case-prod* [*unrest*]: $\llbracket \bigwedge i j. x \# P i j \rrbracket \Longrightarrow x \# \text{case-prod } P v$
by (*simp add: prod.split-sel-asm*)

For a λ -term we need to show that the characteristic function expression does not restrict v for any input value x .

lemma *unrest-ulam* [*unrest*]:
 $\llbracket \bigwedge x. v \# F x \rrbracket \Longrightarrow v \# (\lambda x. F x)$
by (*transfer, simp*)

end

6 Used-by

theory *utp-usedby*
imports *utp-unrest*
begin

The used-by predicate is the dual of unrestriction. It states that the given lens is an upper-bound on the size of state space the given expression depends on. It is similar to stating that the lens is a valid alphabet for the predicate. For convenience, and because the predicate uses a similar form, we will reuse much of unrestriction's infrastructure.

consts
usedBy :: $'a \Rightarrow 'b \Rightarrow \text{bool}$

syntax
 $\text{-usedBy} :: \text{salph} \Rightarrow \text{logic} \Rightarrow \text{logic} \Rightarrow \text{logic} \text{ (infix } \# 20)$

translations
 $\text{-usedBy } x p == \text{CONST usedBy } x p$
 $\text{-usedBy } (-\text{salphaset } (-\text{salphamk } (x +_L y))) P <= \text{-usedBy } (x +_L y) P$

lift-definition *usedBy-uepr* :: $('b \Longrightarrow 'a) \Rightarrow ('a, 'a) \text{ uepr} \Rightarrow \text{bool}$
is $\lambda x e. (\forall b b'. e (b' \oplus_L b \text{ on } x) = e b) .$

adhoc-overloading *usedBy usedBy-uepr*

lemma *usedBy-lit* [*unrest*]: $x \# \llbracket v \rrbracket$
by (*transfer, simp*)

lemma *usedBy-sublens*:
fixes $P :: ('a, 'α) uexpr$
assumes $x \Vdash P \ x \subseteq_L y \text{ vwb-lens } y$
shows $y \Vdash P$
using *assms*
by (*transfer, auto, metis Lens-Order.lens-override-idem lens-override-def sublens-obs-get vwb-lens-mwb*)

lemma *usedBy-svar* [*unrest*]: $x \Vdash P \implies \&x \Vdash P$
by (*transfer, simp add: lens-defs*)

lemma *usedBy-lens-plus-1* [*unrest*]: $x \Vdash P \implies x;y \Vdash P$
by (*transfer, simp add: lens-defs*)

lemma *usedBy-lens-plus-2* [*unrest*]: $\llbracket x \bowtie y; y \Vdash P \rrbracket \implies x;y \Vdash P$
by (*transfer, auto simp add: lens-defs lens-indep-comm*)

Linking used-by to unrestriction: if x is used-by P , and x is independent of y , then P cannot depend on any variable in y .

lemma *usedBy-indep-uses*:
fixes $P :: ('a, 'α) uexpr$
assumes $x \Vdash P \ x \bowtie y$
shows $y \# P$
using *assms* **by** (*transfer, auto, metis lens-indep-get lens-override-def*)

Linking used-by and unrestriction via symmetric lenses.

lemma *psym-lens-unrest*: $\llbracket \text{psym-lens } a; \mathcal{C}[a] \Vdash e \rrbracket \implies \mathcal{V}[a] \# e$
by (*transfer, simp add: lens-defs, metis lens-indep-def psym-lens-def*)

lemma *sym-lens-unrest*: $\llbracket \text{sym-lens } a \rrbracket \implies (\mathcal{V}[a] \# e) \longleftrightarrow (\mathcal{C}[a] \Vdash e)$
by (*auto simp add: psym-lens-unrest*) (*transfer, simp add: lens-defs, metis sym-lens.put-region-coreion-cover*)

lemma *sym-lens-unrest'*: $\llbracket \text{sym-lens } a \rrbracket \implies (\mathcal{V}[a] \Vdash e) \longleftrightarrow (\mathcal{C}[a] \# e)$
using *sym-lens-compl sym-lens-unrest* **by** *fastforce*

lemma *usedBy-var* [*unrest*]:
assumes $\text{vwb-lens } x \ y \subseteq_L x$
shows $x \Vdash \text{var } y$
using *assms*
by (*transfer, simp add: uexpr-defs pr-var-def*)
(*metis lens-override-def sublens-obs-get vwb-lens-def wb-lens.get-put*)

lemma *usedBy-appl* [*unrest*]: $\llbracket x \Vdash f; x \Vdash v \rrbracket \implies x \Vdash f \mid > v$
by (*transfer, simp*)

lemma *usedBy-uop* [*unrest*]: $x \Vdash e \implies x \Vdash \text{uop } f \ e$
by (*transfer, simp*)

lemma *usedBy-bop* [*unrest*]: $\llbracket x \Vdash u; x \Vdash v \rrbracket \implies x \Vdash \text{bop } f \ u \ v$
by (*transfer, simp*)

lemma *usedBy-trop* [*unrest*]: $\llbracket x \Vdash u; x \Vdash v; x \Vdash w \rrbracket \implies x \Vdash \text{trop } f \ u \ v \ w$
by (*transfer, simp*)

lemma *usedBy-qtop* [*unrest*]: $\llbracket x \Vdash u; x \Vdash v; x \Vdash w; x \Vdash y \rrbracket \implies x \Vdash \text{qtop } f \ u \ v \ w \ y$
by (*transfer, simp*)

For convenience, we also prove used-by rules for the bespoke operators on equality, numbers, arithmetic etc.

lemma *usedBy-zero* [*unrest*]: $x \Vdash 0$
by (*simp add: usedBy-lit zero-uepr-def*)

lemma *usedBy-one* [*unrest*]: $x \Vdash 1$
by (*simp add: one-uepr-def usedBy-lit*)

lemma *usedBy-numeral* [*unrest*]: $x \Vdash (\text{numeral } n)$
by (*simp add: numeral-uepr-simp usedBy-lit*)

lemma *usedBy-sgn* [*unrest*]: $x \Vdash u \implies x \Vdash \text{sgn } u$
by (*simp add: sgn-uepr-def usedBy-uop*)

lemma *usedBy-abs* [*unrest*]: $x \Vdash u \implies x \Vdash \text{abs } u$
by (*simp add: abs-uepr-def usedBy-uop*)

lemma *usedBy-plus* [*unrest*]: $\llbracket x \Vdash u; x \Vdash v \rrbracket \implies x \Vdash u + v$
by (*simp add: plus-uepr-def unrest*)

lemma *usedBy-uminus* [*unrest*]: $x \Vdash u \implies x \Vdash - u$
by (*simp add: uminus-uepr-def unrest*)

lemma *usedBy-minus* [*unrest*]: $\llbracket x \Vdash u; x \Vdash v \rrbracket \implies x \Vdash u - v$
by (*simp add: minus-uepr-def unrest*)

lemma *usedBy-times* [*unrest*]: $\llbracket x \Vdash u; x \Vdash v \rrbracket \implies x \Vdash u * v$
by (*simp add: times-uepr-def unrest*)

lemma *usedBy-divide* [*unrest*]: $\llbracket x \Vdash u; x \Vdash v \rrbracket \implies x \Vdash u / v$
by (*simp add: divide-uepr-def unrest*)

lemma *usedBy-uabs* [*unrest*]:
 $\llbracket \bigwedge x. v \Vdash F x \rrbracket \implies v \Vdash (\lambda x. F x)$
by (*transfer, simp*)

lemma *unrest-var-sep* [*unrest*]:
 $\text{vwb-lens } x \implies x \Vdash \&x:y$
by (*transfer, simp add: lens-defs*)

end

7 UTP Tactics

```
theory utp-tactics
imports
  utp-expr utp-unrest utp-usedby
keywords update-uepr-rep-eq-thms :: thy-decl
begin
```

```
declare image-comp [simp]
```

In this theory, we define several automatic proof tactics that use transfer techniques to re-interpret proof goals about UTP predicates and relations in terms of pure HOL conjectures. The fundamental tactics to achieve this are *pred-simp* and *rel-simp*; a more detailed explanation of their behaviour is given below. The tactics can be given optional arguments to fine-tune their behaviour. By default, they use a weaker but faster form of transfer using rewriting; the option *robust*, however, forces them to use the slower but more powerful transfer of Isabelle’s lifting package. A second option *no-interp* suppresses the re-interpretation of state spaces in order to eradicate record for tuple types prior to automatic proof.

In addition to *pred-simp* and *rel-simp*, we also provide the tactics *pred-auto* and *rel-auto*, as well as *pred-blast* and *rel-blast*; they, in essence, sequence the simplification tactics with the methods *auto* and *blast*, respectively.

7.1 Theorem Attributes

The following named attributes have to be introduced already here since our tactics must be able to see them. Note that we do not want to import the theories *utp-pred* and *utp-rel* here, so that both can potentially already make use of the tactics we define in this theory.

```
named-theorems upred-defs upred definitional theorems
named-theorems urel-defs urel definitional theorems
```

7.2 Generic Methods

We set up several automatic tactics that recast theorems on UTP predicates into equivalent HOL predicates, eliminating artefacts of the mechanisation as much as this is possible. Our approach is first to unfold all relevant definition of the UTP predicate model, then perform a transfer, and finally simplify by using lens and variable definitions, the split laws of alphabet records, and interpretation laws to convert record-based state spaces into products. The definition of the respective methods is facilitated by the Eisbach tool: we define generic methods that are parametrised by the tactics used for transfer, interpretation and subsequent automatic proof. Note that the tactics only apply to the head goal.

Generic Predicate Tactics

```
method gen-pred-tac methods transfer-tac interp-tac prove-tac = (
  ((unfold upred-defs) [1])?;
  (transfer-tac),
  (simp add: fun-eq-iff
    lens-defs upred-defs alpha-splits Product-Type.split-beta)?,
  (interp-tac)?);
(prove-tac)
```

Generic Relational Tactics

```

method gen-rel-tac methods transfer-tac interp-tac prove-tac = (
  ((unfold upred-defs urel-defs) [1])?;
  (transfer-tac),
  (simp add: fun-eq-iff relcomp-unfold OO-def
    lens-defs upred-defs alpha-splits Product-Type.split-beta)?,
  (interp-tac)?);
(prove-tac)

```

7.3 Transfer Tactics

Next, we define the component tactics used for transfer.

7.3.1 Robust Transfer

Robust transfer uses the transfer method of the lifting package.

```

method slow-uexpr-transfer = (transfer)

```

7.3.2 Faster Transfer

Fast transfer side-steps the use of the (*transfer*) method in favour of plain rewriting with the underlying *rep-eq-...* laws of lifted definitions. For moderately complex terms, surprisingly, the transfer step turned out to be a bottle-neck in some proofs; we observed that faster transfer resulted in a speed-up of approximately 30% when building the UTP theory heaps. On the downside, tactics using faster transfer do not always work but merely in about 95% of the cases. The approach typically works well when proving predicate equalities and refinements conjectures.

A known limitation is that the faster tactic, unlike lifting transfer, does not turn free variables into meta-quantified ones. This can, in some cases, interfere with the interpretation step and cause subsequent application of automatic proof tactics to fail. A fix is in progress [TODO].

Attribute Setup We first configure a dynamic attribute *uexpr-rep-eq-thms* to automatically collect all *rep-eq-* laws of lifted definitions on the *uexpr* type.

ML-file *uexpr-rep-eq.ML*

```

setup (
  Global-Theory.add-thms-dynamic (@{binding uexpr-rep-eq-thms},
    uexpr-rep-eq.get-uexpr-rep-eq-thms o Context.theory-of)
)

```

We next configure a command **update-uexpr-rep-eq-thms** in order to update the content of the *uexpr-rep-eq-thms* attribute. Although the relevant theorems are collected automatically, for efficiency reasons, the user has to manually trigger the update process. The command must hence be executed whenever new lifted definitions for type *uexpr* are created. The updating mechanism uses **find-theorems** under the hood.

```

ML (
  Outer-Syntax.command @{command-keyword update-uexpr-rep-eq-thms}
    reread and update content of the uexpr-rep-eq-thms attribute
    (Scan.succeed (Toplevel.theory uexpr-rep-eq.read-uexpr-rep-eq-thms));
)

```

update-uexpr-rep-eq-thms — Read *uexpr-rep-eq-thms* here.

Lastly, we require several named-theorem attributes to record the manual transfer laws and extra simplifications, so that the user can dynamically extend them in child theories.

named-theorems *uexpr-transfer-laws uexpr transfer laws*

declare *uexpr-eq-iff* [*uexpr-transfer-laws*]

named-theorems *uexpr-transfer-extra extra simplifications for uexpr transfer*

declare *unrest-uexpr.rep-eq* [*uexpr-transfer-extra*]

usedBy-uexpr.rep-eq [*uexpr-transfer-extra*]

utp-expr.numeral-uexpr.rep-eq [*uexpr-transfer-extra*]

utp-expr.less-eq-uexpr.rep-eq [*uexpr-transfer-extra*]

Abs-uexpr-inverse [*simplified, uexpr-transfer-extra*]

Rep-uexpr-inverse [*uexpr-transfer-extra*]

Tactic Definition We have all ingredients now to define the fast transfer tactic as a single simplification step.

method *fast-uexpr-transfer* =

(*simp add: uexpr-transfer-laws uexpr-rep-eq-thms uexpr-transfer-extra*)

7.4 Interpretation

The interpretation of record state spaces as products is done using the laws provided by the utility theory *Interp*. Note that this step can be suppressed by using the *no-interp* option.

method *uexpr-interp-tac* = (*simp add: lens-interp-laws*)?

7.5 User Tactics

In this section, we finally set-up the six user tactics: *pred-simp*, *rel-simp*, *pred-auto*, *rel-auto*, *pred-blast* and *rel-blast*. For this, we first define the proof strategies that are to be applied *after* the transfer steps.

method *utp-simp-tac* = (*clarsimp*)?

method *utp-auto-tac* = ((*clarsimp*)?; *auto*)

method *utp-blast-tac* = ((*clarsimp*)?; *blast*)

The ML file below provides ML constructor functions for tactics that process arguments suitable and invoke the generic methods *gen-pred-tac* and *gen-rel-tac* with suitable arguments.

ML-file *utp-tactics.ML*

Finally, we execute the relevant outer commands for method setup. Sadly, this cannot be done at the level of Eisbach since the latter does not provide a convenient mechanism to process symbolic flags as arguments. It may be worth to put in a feature request with the developers of the Eisbach tool.

method-setup *pred-simp* = (

(*Scan.lift UTP-Tactics.scan-args*) >>

(*fn args => fn ctxt =>*

let val prove-tac = Basic-Tactics.utp-simp-tac in

(UTP-Tactics.inst-gen-pred-tac args prove-tac ctxt)

end)

)

```

method-setup rel-simp = ⟨
  (Scan.lift UTP-Tactics.scan-args) >>
  (fn args => fn ctxt =>
    let val prove-tac = Basic-Tactics.utp-simp-tac in
    (UTP-Tactics.inst-gen-rel-tac args prove-tac ctxt)
  end)
⟩

method-setup pred-auto = ⟨
  (Scan.lift UTP-Tactics.scan-args) >>
  (fn args => fn ctxt =>
    let val prove-tac = Basic-Tactics.utp-auto-tac in
    (UTP-Tactics.inst-gen-pred-tac args prove-tac ctxt)
  end)
⟩

method-setup rel-auto = ⟨
  (Scan.lift UTP-Tactics.scan-args) >>
  (fn args => fn ctxt =>
    let val prove-tac = Basic-Tactics.utp-auto-tac in
    (UTP-Tactics.inst-gen-rel-tac args prove-tac ctxt)
  end)
⟩

method-setup pred-blast = ⟨
  (Scan.lift UTP-Tactics.scan-args) >>
  (fn args => fn ctxt =>
    let val prove-tac = Basic-Tactics.utp-blast-tac in
    (UTP-Tactics.inst-gen-pred-tac args prove-tac ctxt)
  end)
⟩

method-setup rel-blast = ⟨
  (Scan.lift UTP-Tactics.scan-args) >>
  (fn args => fn ctxt =>
    let val prove-tac = Basic-Tactics.utp-blast-tac in
    (UTP-Tactics.inst-gen-rel-tac args prove-tac ctxt)
  end)
⟩

```

Simpler, one-shot versions of the above tactics, but without the possibility of dynamic arguments.

```

method rel-simp'
  uses simp
  = (simp add: uexpr-transfer-laws upred-defs urel-defs alpha-splits; simp add: upred-defs urel-defs
    lens-defs prod.case-eq-if relcomp-unfold uexpr-transfer-extra uexpr-rep-eq-thms simp)

```

```

method rel-auto'
  uses simp intro elim dest
  = (simp-all add: uexpr-transfer-laws upred-defs urel-defs alpha-splits, (auto intro: intro elim: elim
    dest: dest simp add: upred-defs urel-defs lens-defs relcomp-unfold uexpr-transfer-laws uexpr-transfer-extra
    uexpr-rep-eq-thms simp)?)

```

```

method rel-blast'

```

uses *simp intro elim dest*
= (*rel-simp' simp: simp, blast intro: intro elim: elim dest: dest*)

end

8 Lifting Parser and Pretty Printer

```
theory utp-lift-parser
  imports utp-expr-insts
  keywords no-utp-lift :: thy-decl-block and utp-lit-vars :: thy-decl-block and utp-expr-vars :: thy-decl-block

begin
```

8.1 Parser

Here, we derive a parser for UTP expressions that mimicks (and indeed reuses) the syntax of HOL expressions. It has two main features: (1) it lifts HOL functions into UTP expressions using the ($|>$) construct; and (2) it recognises when a free variable is a declared lens and treats it as a UTP variable, whilst lifting HOL variables. The parser therefore allows free mixing of HOL operators and lenses.

Sometimes it is necessary that operators are handled in a special way however. We, therefore, first create a mutable data structure to store the names of constants that should not be lifted, and arguments of those constants that should not be further processed.

```
ML (
  structure VarOption = Theory-Data
    (type T = bool
     val empty = false
     val extend = I
     val merge = (fn (x, y) => x orelse y));

  structure NoLiftUTP = Theory-Data
    (type T = int list Symtab.table
     val empty = Symtab.empty
     val extend = I
     val merge = Symtab.merge (K true));

  val - =
    let fun nolift-const thy (n, opt) =
        let val Const (c, -) = Proof-Context.read-const {proper = true, strict = false} (Proof-Context.init-global
        thy) n
        in NoLiftUTP.map (Symtab.update (c, (map Value.parse-int opt))) thy end
    in

    Outer-Syntax.command @ {command-keyword no-utp-lift} declare that certain constants should not be
    lifted
    (Scan.repeat1 (Parse.term -- Scan.optional (Parse.$$$ (| -- Parse.!!! (Scan.repeat1 Parse.number
    --| Parse.$$$ ))) []
    >> (fn ns =>
        Toplevel.theory
        (fn thy => Library.foldl (fn (thy, n) => nolift-const thy n) (thy, ns))))
    end;

    Outer-Syntax.command @ {command-keyword utp-lit-vars} parse free variables as literals in UTP ex-
    pressions
    (Scan.succeed (Toplevel.theory (VarOption.put false)));
```

Outer-Syntax.command @{command-keyword utp-expr-vars} parse free variables as expressions in UTP expressions
 (Scan.succeed (Toplevel.theory (VarOption.put true)));
 >

The core UTP operators should not be lifted. Certain operators have arguments that also should not be processed further by expression lifting. For example, in a substitution update $\sigma(x \mapsto v)$, the lens x (i.e. the second argument) should not be lifted as its target is not an expression. Consequently, constants names in the command **no-utp-lift** can be accompanied by a list of numbers stating the arguments that should not be further processed.

no-utp-lift

uexpr-appl uop (0) bop (0) trop (0) qtop (0) lit (0)
Groups.zero Groups.one plus uminus minus times divide
var (0) in-var (0) out-var (0) cond numeral (0)
inverse inverse-divide power power2

Add a quotation device for expressions that explicitly stops the lifting parser.

abbreviation (*input*) *quote-uexpr* :: ('a, 's) *uexpr* \Rightarrow ('a, 's) *uexpr* (@(-) [999] 999) **where** *quote-uexpr* $p \equiv p$

no-utp-lift *quote-uexpr* (0)

The following function takes a parser, but not-yet type-checked term, and wherever it encounters an application, it inserts a UTP expression operator. Any operators that have been marked in the above structure will not be lifted. In addition, when it encounters a constant or free variable it will use the type system to determine whether it has a lens type. If it does, then it constructs a UTP variable expression; otherwise it constructs a literal.

FIXME: Actually, this test is a little too coarse for some situations. For example, when the lens is bound by a λ -abstraction the type data is not available, and so it will not necessarily be recognised as a lens. This could either be fixed by adding proper syntactic procedure for determining lenses, or else by using type inference wrt. the bound lambda term.

ML <

val list-appl = Library.foldl (fn (f, x) => Const (@{const-name uexpr-appl}, dummyT) \$ f \$ x);

fun utp-lift-aux ctx (Const (n', t), args') =

— Pre-processing: If we have a i or $i=$ operator then we turn these into j and $j=$

let val pn = (if (Lexicon.is-marked n') then Lexicon.unmark-const n' else n')

val (args, n) =

if (pn = @{const-abbrev greater} andalso (length args' = 2))

then (rev args', @{const-name less})

else if (pn = @{const-abbrev greater-eq} andalso (length args' = 2))

then (rev args', @{const-name less-eq})

else (args', pn)

in

— If the leading constructor is an already lifted UTP variable...

if ((n = @{const-name var}) andalso (length args > 0))

— ... then we take the first argument as the variable contents, and apply the remaining arguments
then list-appl (Const (n, t) \$ hd args, map (utp-lift ctx) (tl args))

— Otherwise, if the name of the given constant is in the “no lifting” list...

else if (member (op =) (Symtab.keys (NoLiftUTP.get (Proof-Context.theory-of ctx))) n)

— ... then do not lift it, and also do not process any arguments in the given list of integers.

then let val (SOME aopt) = Symtab.lookup (NoLiftUTP.get (Proof-Context.theory-of ctx)) n in

```

      Term.list-comb (Const (n, t), map-index (fn (i, t) => if (member (op =) aopt i) then t else
utp-lift ctx t) args) end
— If the name is not in the “no lifting” list...
else
  list-appl
  (case (Type-Infer-Context.const-type ctx n) of
    — ... and it’s a lens, then lift it as a UTP variable...
    SOME (Type (type-name <lens-ext>, -)) => Const (@{const-name var}, dummyT) $ (Const
(@{const-name pr-var}, dummyT) $ Const (n', t)) |
    — ... or, if it’s a UTP expression already, then leave it alone...
    SOME (Type (type-name <uepr>, -)) => Const (n, t) |
    — ...otherwise, lift it to a HOL literal.
    - => Const (@{const-name lit}, dummyT) $ Const (n, t)
  , map (utp-lift ctx) args)
end
|

```

— Free variables are handled similarly to constants; that they are usually lifted. The exception is when the free variable actually refers to a constant, which can occur if lifting is applied during syntax translation. In this case, we convert it to a constant first and then apply lifting to it.

```

utp-lift-aux ctx (Free (n, t), args) =
— We first extract the constant table from the context.
let val consts = (Proof-Context.consts-of ctx)
  val {const-space, ...} = Consts.dest consts
— The name must be internalised in case it needs qualifying.
val c = Consts.intern consts n in
— If the name refers to a declared constant, then we lift it as a constant.
if (Name-Space.declared const-space c) then
  utp-lift-aux ctx (Const (c, t), args)
— Otherwise, we simply apply normal lifting.
else
  case (Syntax.check-term ctx (Free (n, t))) of
    Free (-, Type (type-name <lens-ext>, -))
      => list-appl (Const (@{const-name var}, dummyT) $ (Const (@{const-name pr-var},
dummyT) $ Free (n, t)), map (utp-lift ctx) args) |
    Free (-, Type (type-name <uepr>, -)) => list-appl (Free (n, t), map (utp-lift ctx) args) |
    — This case tries to catch indexed predicates of the form P(i)
    Free (-, Type (type-name <fun>, [-, Type (type-name <uepr>, -)])) => Term.list-comb (Free
(n, t), args) |
    - => list-appl (if (VarOption.get (Proof-Context.theory-of ctx))
      then Free (n, t)
      else Const (@{const-name lit}, dummyT) $ Free (n, t), map (utp-lift ctx) args)
      (* if (Symbol.is-ascii-upper (hd (Symbol.explode n))) then Free (n, t) else Const (@{const-name
lit}, dummyT) $ Free (n, t) *)
  end
|

```

— Bound variables are always lifted as well

```

utp-lift-aux ctx (Bound n, args) = list-appl (Const (@{const-name lit}, dummyT) $ Bound n, map
(utp-lift ctx o Term-Position.strip-positions) args) |
utp-lift-aux - (t, args) = raise TERM (-utp-lift-aux, t :: args)
and
(* FIXME: Think more about abstractions; at the moment they are essentially passed over. *)
(* utp-lift ctx (Abs (x, ty, tm)) = Abs (x, ty, utp-lift ctx tm) | *)

```



```

utp-lift ctx (Const (syntax-const <-constrain>, k) $ t $ ty) = (utp-lift ctx t) |
utp-lift ctx (Abs (x, ty, tm)) = Const (@{const-name uabs}, dummyT) $ Abs (x, ty, utp-lift ctx tm) |
utp-lift - (Bound n) = (Const (@{const-name lit}, dummyT) $ Bound n) |
utp-lift ctx t = utp-lift-aux ctx (Term.strip-comb t);

```

— Apply the Isabelle term parser, strip type constraints, perform lifting, and finally type check the resulting lifted term.

```

fun utp-tr ctx content args =
  let fun err () = raise TERM (utp-tr, args) in
    (case args of
      [(Const (syntax-const <-constrain>, -)) $ Free (s, -) $ p] =>
        (case Term-Position.decode-position p of
          SOME (pos, -) => (utp-lift ctx (Type.strip-constraints (Syntax.parse-term ctx (content (s,
pos))))))
        | NONE => err ())
      | - => err ())
    end;
  )

```

Set up Cartouche syntax using the above.

```

syntax -utp :: <cartouche-position ⇒ string> (UTP-)
syntax -utp :: <cartouche-position ⇒ string> (U-)

```

```

parse-translation <
  [(syntax-const <-utp>,
    (fn ctx => utp-tr ctx (Symbol-Pos.implode o Symbol-Pos.cartouche-content o Symbol-Pos.explode)))]
>

```

Cartouche parser for UTP expressions. We can either surround the whole of a UTP relation with a the cartouche, or alternatively just the program text.

```

syntax -uepr-cartouche :: <cartouche-position ⇒ logic> (-)

```

```

translations
  -uepr-cartouche e => -utp e

```

A more conventional parse translation version of the above

```

syntax
  -UTP :: logic ⇒ logic (U'(-))
  -UTP :: logic ⇒ logic (U'(-))

```

```

parse-translation <
  [(@{syntax-const -UTP}, fn ctx => fn term => utp-lift ctx (Term-Position.strip-positions (hd term)))]
>

```

8.2 Examples

A couple of examples

```

term U(x @ y)

```

utp-expr-vars — Change behaviour so free variables are translated as expressions

```

term U(x @ y)

```

utp-lit-vars

term $UTP\langle f\ x\rangle$

term $U\langle f\ x\rangle$

term $UTP\langle (xs\ @\ ys)\ !\ i\rangle$

term $UTP\langle x\ >\ y\rangle$

term $UTP\langle mm\ i\rangle$

term $UTP\langle \exists\ x.\ f\ x\rangle$

term $UTP\langle xs\ !\ (x + y)\rangle$

term $UTP\langle xs\ !\ i\rangle$

term $UTP\langle A\ \cup\ B\rangle$

term $UTP\langle \exists\ x.\ x\ \leq\ xs\ !\ i\rangle$

term $UTP\langle (x\ \leq\ 0)\rangle$

term $UTP\langle (length\ xs + 1 + n\ \leq\ 0)\rangle$

term $UTP\langle (length\ xs + 1 + n\ \leq\ 0)\ \vee\ true\rangle$

term $UTP\langle \exists\ n.\ (length\ xs + 1 + n\ \leq\ 0)\ \vee\ true\rangle$

term $UTP\langle \{x + y\ |\ x.\ 1 < x\}\rangle$

term $UTP\langle \lambda\ x.\ x + y\rangle$

term $UTP\langle \$x + 1\ \leq\ \$y'\rangle$

term $UTP\langle \$x' = \$x + 1\ \wedge\ \$y' = \$y\rangle$

locale *test* =

fixes $x :: nat \implies 's$ **and** $xs :: int\ list \implies 's$ **and** $P :: 's \Rightarrow ('a, 's)\ uexpr$
begin

abbreviation $(input)\ z \equiv x$

The lens x and HOL variable y are automatically distinguished

term $U(x + y)$

term $UTP\langle \$f\ v\rangle$

term $UTP\langle \{2 < ..\}\rangle$

term $U(P\ i)$

end

```

term  $\llbracket x \rrbracket + \$y$ 

term  $\llbracket x \rrbracket + \$y$ 

term  $U(\&v < 0)$ 

term  $U(\$y = 5)$ 

term  $U(\$y' = 1 + \$y)$ 

term  $U(\$x + \$y + \$z + \$u / \$f')$ 

term  $U(\$f\ x)$ 

term  $U(\$f\ \$v')$ 

term  $e \oplus f\ on\ A$ 

term  $U(\$x = v)$ 

term  $U(\$tr' = \$tr\ @\ [a] \wedge \$ref \subseteq \$i:ref' \cup \$j:ref' \wedge \$x' = \$x + 1)$ 

utp-expr-vars

```

8.3 Linking Parser to Constants

end

9 Substitution

```

theory utp-subst
imports
  utp-expr
  utp-unrest
  utp-tactics
  utp-lift-parser
begin

```

9.1 Substitution definitions

Variable substitution, like unrestriction, will be characterised semantically using lenses and state-spaces. Effectively a substitution σ is simply a function on the state-space which can be applied to an expression e using the syntax $\sigma \dagger e$. We introduce a polymorphic constant that will be used to represent application of a substitution, and also a set of theorems to represent laws.

```

consts
  usubst :: 's  $\Rightarrow$  'a  $\Rightarrow$  'b (infixr  $\dagger$  80)

```

```

named-theorems usubst

```

A substitution is simply a transformation on the alphabet; it shows how variables should be mapped to different values. Most of the time these will be homogeneous functions but for flexibility we also allow some operations to be heterogeneous.

type-synonym $(\alpha, \beta) \text{ psubst} = (\beta, \alpha) \text{ uexpr}$
type-synonym $\alpha \text{ usubst} = (\alpha, \alpha) \text{ uexpr}$

Application of a substitution simply applies the function σ to the state binding b before it is handed to e as an input. This effectively ensures all variables are updated in e .

lift-definition $\text{subst} :: (\alpha, \beta) \text{ psubst} \Rightarrow (\alpha, \beta) \text{ uexpr} \Rightarrow (\alpha, \alpha) \text{ uexpr}$ **is**
 $\lambda \sigma \ e \ b. \ e \ (\sigma \ b) .$

adhoc-overloading

usubst subst

Substitutions can be updated by associating variables with expressions. We thus create an additional polymorphic constant to represent updating the value of a variable to an expression in a substitution, where the variable is modelled by type α . This again allows us to support different notions of variables, such as deep variables, later.

We can also represent an arbitrary substitution as below.

lift-definition $\text{subst-nil} :: (\alpha, \beta) \text{ psubst} \ (\text{nil}_s) \text{ is } \lambda s. \text{undefined} .$

lift-definition $\text{subst-id} :: \alpha \text{ usubst} \ (\text{id}_s) \text{ is } \lambda s. s .$

lift-definition $\text{subst-comp} :: (\beta, \gamma) \text{ psubst} \Rightarrow (\alpha, \beta) \text{ psubst} \Rightarrow (\alpha, \gamma) \text{ psubst}$ (**infixl** \circ_s 55) **is**
 $(\circ) .$

lift-definition $\text{inv-subst} :: (\alpha, \beta) \text{ psubst} \Rightarrow (\beta, \alpha) \text{ psubst} \ (\text{inv}_s) \text{ is } \text{inv} .$

lift-definition $\text{inj-subst} :: (\alpha, \beta) \text{ psubst} \Rightarrow \text{bool} \ (\text{inj}_s) \text{ is } \text{inj} .$

lift-definition $\text{bij-subst} :: (\alpha, \beta) \text{ psubst} \Rightarrow \text{bool} \ (\text{bij}_s) \text{ is } \text{bij} .$

declare *inj-subst-def* [*uexpr-transfer-extra*]

declare *bij-subst-def* [*uexpr-transfer-extra*]

The following function takes a substitution from state-space α to β , a lens with source β and view α , and an expression over α and returning a value of type α , and produces an updated substitution. It does this by constructing a substitution function that takes state binding b , and updates the state first by applying the original substitution σ , and then updating the part of the state associated with lens x with expression evaluated in the context of b . This effectively means that x is now associated with expression v . We add this definition to our overloaded constant.

lift-definition $\text{subst-upd} :: (\alpha, \beta) \text{ psubst} \Rightarrow (\alpha \Rightarrow \beta) \Rightarrow (\alpha, \alpha) \text{ uexpr} \Rightarrow (\alpha, \beta) \text{ psubst}$
is $\lambda \sigma \ x \ v \ s. \text{put}_x \ (\sigma \ s) \ (v \ s) .$

The next function looks up the expression associated with a variable in a substitution by use of the *get* lens function.

lift-definition $\text{usubst-lookup} :: (\alpha, \beta) \text{ psubst} \Rightarrow (\alpha \Rightarrow \beta) \Rightarrow (\alpha, \alpha) \text{ uexpr} \ (\langle - \rangle_s)$
is $\lambda \sigma \ x \ b. \text{get}_x \ (\sigma \ b) .$

Substitutions also exhibit a natural notion of unrestriction which states that σ does not restrict x if application of σ to an arbitrary state ρ will not effect the valuation of x . Put another way, it requires that *put* and the substitution commute.

lift-definition $\text{unrest-usubst} :: (\alpha \Rightarrow \alpha) \Rightarrow \alpha \text{ usubst} \Rightarrow \text{bool}$
is $\lambda x \ \sigma. \ (\forall \ \rho \ v. \ \sigma \ (\text{put}_x \ \rho \ v) = \text{put}_x \ (\sigma \ \rho) \ v) .$

syntax

$\text{-unrest-usubst} :: \text{salpha} \Rightarrow \text{logic} \Rightarrow \text{logic} \Rightarrow \text{logic} \text{ (infix } \#_s \text{ } 20)$

translations

$\text{-unrest-usubst } x \text{ } p == \text{CONST unrest-usubst } x \text{ } p$
 $\text{-unrest-usubst } (-\text{salphaset } (-\text{salphamk } (x +_L y))) \text{ } P <= \text{-unrest-usubst } (x +_L y) \text{ } P$

Parallel substitutions allow us to divide the state space into three segments using two lens, A and B. They correspond to the part of the state that should be updated by the respective substitution. The two lenses should be independent. If any part of the state is not covered by either lenses then this area is left unchanged (framed).

lift-definition $\text{par-subst} :: 'a \text{ usubst} \Rightarrow ('a \Rightarrow 'a) \Rightarrow ('b \Rightarrow 'a) \Rightarrow 'a \text{ usubst} \Rightarrow 'a \text{ usubst}$ **is**
 $\lambda \sigma_1 \text{ } A \text{ } B \text{ } \sigma_2. (\lambda s. (s \oplus_L (\sigma_1 s) \text{ on } A) \oplus_L (\sigma_2 s) \text{ on } B) .$

no-utp-lift $\text{subst-upd } (1) \text{ subst usubst usubst-lookup}$

9.2 Syntax translations

We support two kinds of syntax for substitutions, one where we construct a substitution using a maplet-style syntax, with variables mapping to expressions. Such a constructed substitution can be applied to an expression. Alternatively, we support the more traditional notation, $P[v/x]$, which also support multiple simultaneous substitutions. We have to use double square brackets as the single ones are already well used.

We set up non-terminals to represent a single substitution maplet, a sequence of maplets, a list of expressions, and a list of alphabets. The parser effectively uses *subst-upd* to construct substitutions from multiple variables.

nonterminal *smaplet and smaplets and salphas*

syntax

$\text{-smaplet} :: [\text{salpha}, \text{logic}] \Rightarrow \text{smaplet} \quad (- \text{ / } \mapsto_s \text{ / } -)$
 $:: \text{smaplet} \Rightarrow \text{smaplets} \quad (-)$
 $\text{-SMaplets} :: [\text{smaplet}, \text{smaplets}] \Rightarrow \text{smaplets} \text{ (-, / -)}$
 $\text{-SubstUpd} :: ['m \text{ usubst}, \text{smaplets}] \Rightarrow 'm \text{ usubst} \text{ (-/'(-) [900,0] 900)}$
 $\text{-Subst} :: \text{smaplets} \Rightarrow \text{logic} \quad ((1[-]))$
 $\text{-PSubst} :: \text{smaplets} \Rightarrow \text{logic} \quad ((1[\text{!}]))$
 $\text{-psubst} :: [\text{logic}, \text{svars}, \text{uexprs}] \Rightarrow \text{logic}$
 $\text{-subst} :: \text{logic} \Rightarrow \text{uexprs} \Rightarrow \text{salphas} \Rightarrow \text{logic} \text{ ((-[' / -]) [990,0,0] 991)}$
 $\text{-uexprs} :: [\text{logic}, \text{uexprs}] \Rightarrow \text{uexprs} \text{ (-, / -)}$
 $:: \text{logic} \Rightarrow \text{uexprs} \text{ (-)}$
 $\text{-salphas} :: [\text{salpha}, \text{salphas}] \Rightarrow \text{salphas} \text{ (-, / -)}$
 $:: \text{salpha} \Rightarrow \text{salphas} \text{ (-)}$
 $\text{-par-subst} :: \text{logic} \Rightarrow \text{salpha} \Rightarrow \text{salpha} \Rightarrow \text{logic} \Rightarrow \text{logic} \text{ (- [-]_s - [100,0,0,101] 101)}$

translations

$\text{-SubstUpd } m \text{ (-SMaplets } xy \text{ } ms) == \text{-SubstUpd } (-\text{SubstUpd } m \text{ } xy) \text{ } ms$
 $\text{-SubstUpd } m \text{ (-smaplet } x \text{ } y) \Rightarrow \text{CONST subst-upd } m \text{ } x \text{ } U(y)$
 $\text{-SubstUpd } m \text{ (-smaplet } x \text{ } y) <= \text{CONST subst-upd } m \text{ } x \text{ } y$
 $\text{-Subst } ms == \text{-SubstUpd } id_s \text{ } ms$
 $\text{-Subst } (-\text{SMaplets } ms1 \text{ } ms2) <= \text{-SubstUpd } (-\text{Subst } ms1) \text{ } ms2$
 $\text{-PSubst } ms == \text{-SubstUpd } nil_s \text{ } ms$
 $\text{-PSubst } (-\text{SMaplets } ms1 \text{ } ms2) <= \text{-SubstUpd } (-\text{PSubst } ms1) \text{ } ms2$
 $\text{-SMaplets } ms1 \text{ (-SMaplets } ms2 \text{ } ms3) <= \text{-SMaplets } (-\text{SMaplets } ms1 \text{ } ms2) \text{ } ms3$
 $\text{-subst } P \text{ } es \text{ } vs \Rightarrow \text{CONST subst } (-\text{psubst } id_s \text{ } vs \text{ } es) \text{ } P$
 $\text{-psubst } m \text{ (-salphas } x \text{ } xs) \text{ (-uexprs } v \text{ } vs) \Rightarrow \text{-psubst } (-\text{psubst } m \text{ } x \text{ } v) \text{ } xs \text{ } vs$

$-psubst\ m\ x\ v\ ==>\ CONST\ subst\text{-}upd\ m\ x\ v$
 $-subst\ P\ v\ x\ <= \CONST\ usubst\ (\CONST\ subst\text{-}upd\ id_s\ x\ v)\ P$
 $-subst\ P\ v\ x\ <= -subst\ P\ (-spvar\ x)\ v$
 $-par\text{-}subst\ \sigma_1\ A\ B\ \sigma_2 == \CONST\ par\text{-}subst\ \sigma_1\ A\ B\ \sigma_2$

Thus we can write things like $\sigma(x \mapsto_s v)$ to update a variable x in σ with expression v , $[x \mapsto_s e, y \mapsto_s f]$ to construct a substitution with two variables, and finally $P[v/x]$, the traditional syntax.

We can now express deletion of and restriction to a substitution maplet.

definition $subst\text{-}del :: 'a\ usubst \Rightarrow ('a \Rightarrow 'a) \Rightarrow 'a\ usubst$ (**infix** $-_s$ 85) **where**
 $[uepr\text{-}defs]: subst\text{-}del\ \sigma\ x = \sigma(x \mapsto_s \&x)$

definition $subst\text{-}restr :: 'a\ usubst \Rightarrow ('a \Rightarrow 'a) \Rightarrow 'a\ usubst$ (**infix** \triangleright_s 85) **where**
 $[uepr\text{-}defs]: subst\text{-}restr\ \sigma\ x = [x \mapsto_s \langle\sigma\rangle_s\ x]$

9.3 Substitution Application Laws

We set up a simple substitution tactic that applies substitution and unrestriction laws

method $subst\text{-}tac = (simp\ add: usubst\ unrest)?$

Evaluation of a substitution expression involves application of the substitution to different variables. Thus we first prove laws for these cases. The simplest substitution, id , when applied to any variable x simply returns the variable expression, since id has no effect.

lemma $usubst\text{-}lookup\text{-}id\ [usubst]: \langle id_s \rangle_s\ x = var\ x$
by ($transfer$, $simp$)

lemma $subst\text{-}id\text{-}var: id_s = \&\mathbf{v}$
by ($transfer$, $auto\ simp\ add: lens\text{-}defs$)

lemma $subst\text{-}upd\text{-}id\text{-}lam\ [usubst]: subst\text{-}upd\ \&\mathbf{v}\ x\ v = subst\text{-}upd\ id_s\ x\ v$
by ($simp\ add: subst\text{-}id\text{-}var$)

lemma $subst\text{-}id\ [simp]: id_s \circ_s \sigma = \sigma \circ_s id_s = \sigma$
by ($transfer$, $auto$) $+$

lemma $subst\text{-}upd\text{-}alt\text{-}def: subst\text{-}upd\ \sigma\ x\ v = bop\ (put_x)\ \sigma\ v$
by ($transfer$, $simp$)

lemma $subst\text{-}apply\text{-}one\text{-}lens\ [usubst]: \langle\sigma\rangle_s\ (\&\mathbf{v})_v = \sigma$
by ($transfer$, $simp\ add: lens\text{-}defs$)

A substitution update naturally yields the given expression.

lemma $usubst\text{-}lookup\text{-}upd\ [usubst]:$
assumes $weak\text{-}lens\ x$
shows $\langle\sigma(x \mapsto_s v)\rangle_s\ x = v$
using $assms$
by ($simp\ add: subst\text{-}upd\text{-}def, transfer$) ($simp$)

lemma $usubst\text{-}lookup\text{-}upd\text{-}pr\text{-}var\ [usubst]:$
assumes $weak\text{-}lens\ x$
shows $\langle\sigma(x \mapsto_s v)\rangle_s\ (pr\text{-}var\ x) = v$
using $assms$
by ($simp\ add: subst\text{-}upd\text{-}def\ pr\text{-}var\text{-}def, transfer$) ($simp$)

Substitution update is idempotent.

lemma *usubst-upd-idem* [*usubst*]:
assumes *mwb-lens* *x*
shows $\sigma(x \mapsto_s u, x \mapsto_s v) = \sigma(x \mapsto_s v)$
using *assms*
by (*simp add: subst-upd-def comp-def, transfer, simp*)

lemma *usubst-upd-idem-sub* [*usubst*]:
assumes $x \subseteq_L y$ *mwb-lens* *y*
shows $\sigma(x \mapsto_s u, y \mapsto_s v) = \sigma(y \mapsto_s v)$
using *assms*
by (*simp add: subst-upd-def assms, transfer, simp add: fun-eq-iff sublens-put-put*)

Substitution updates commute when the lenses are independent.

lemma *usubst-upd-comm*:
assumes $x \bowtie y$
shows $\sigma(x \mapsto_s u, y \mapsto_s v) = \sigma(y \mapsto_s v, x \mapsto_s u)$
using *assms* **unfolding** *subst-upd-def*
by (*transfer, auto simp add: subst-upd-def assms comp-def lens-indep-comm*)

lemma *usubst-upd-comm2*:
assumes $z \bowtie y$
shows $\sigma(x \mapsto_s u, y \mapsto_s v, z \mapsto_s s) = \sigma(x \mapsto_s u, z \mapsto_s s, y \mapsto_s v)$
using *assms*
using *assms* **unfolding** *subst-upd-def*
by (*transfer, auto simp add: subst-upd-def assms comp-def lens-indep-comm*)

lemma *subst-upd-pr-var*: $s(\&x \mapsto_s v) = s(x \mapsto_s v)$
by (*simp add: pr-var-def*)

A substitution which swaps two independent variables is an injective function.

lemma *swap-usubst-inj*:
fixes $x\ y :: ('a \implies 'a)$
assumes *vwb-lens* x *vwb-lens* y $x \bowtie y$
shows *inj_s* [$x \mapsto_s \&y, y \mapsto_s \&x$]
proof (*simp add: inj-subst-def, rule injI*)
fix $b_1 :: 'a$ **and** $b_2 :: 'a$
assume $\llbracket x \mapsto_s \&y, y \mapsto_s \&x \rrbracket_e b_1 = \llbracket x \mapsto_s \&y, y \mapsto_s \&x \rrbracket_e b_2$
hence $a: \text{put}_y (\text{put}_x b_1 (\llbracket \&y \rrbracket_e b_1)) (\llbracket \&x \rrbracket_e b_1) = \text{put}_y (\text{put}_x b_2 (\llbracket \&y \rrbracket_e b_2)) (\llbracket \&x \rrbracket_e b_2)$
by (*transfer, simp*)
then have $(\forall a\ b\ c. \text{put}_x (\text{put}_y a\ b)\ c = \text{put}_y (\text{put}_x a\ c)\ b) \wedge$
 $(\forall a\ b. \text{get}_x (\text{put}_y a\ b) = \text{get}_x a) \wedge (\forall a\ b. \text{get}_y (\text{put}_x a\ b) = \text{get}_y a)$
by (*simp add: assms(3) lens-indep.lens-put-irr2 lens-indep-comm*)
then show $b_1 = b_2$
by (*metis a assms(1) assms(2) pr-var-def var.rep-eq vwb-lens.source-determination vwb-lens-def*
wb-lens-def weak-lens.put-get)
qed

lemma *usubst-upd-var-id* [*usubst*]:
vwb-lens $x \implies [x \mapsto_s \text{var } x] = \text{id}_s$
apply (*simp add: subst-upd-def subst-id-def id-lens-def*)
apply (*transfer*)
apply (*rule ext*)
apply (*auto*)
done

lemma *usubst-upd-pr-var-id* [*usubst*]:
 $vwb\text{-}lens\ x \implies [x \mapsto_s var\ (pr\text{-}var\ x)] = id_s$
apply (*simp add: subst-upd-def pr-var-def subst-id-def id-lens-def*)
apply (*transfer*)
apply (*rule ext*)
apply (*auto*)
done

lemma *subst-sublens-var* [*usubst*]:
 $\llbracket vwb\text{-}lens\ a; x \subseteq_L a \rrbracket \implies \langle \sigma(a \mapsto_s var\ b) \rangle_s x = var\ ((x /_L a) ;_L b)$
by (*transfer, auto simp add: fun-eq-iff lens-defs*)

lemma *subst-nil-comp* [*usubst*]: $nil_s \circ_s \sigma = nil_s$
by (*simp add: subst-nil-def comp-def, transfer, simp add: comp-def*)

lemma *subst-nil-apply*: $\llbracket nil_s \rrbracket_e x = undefined$
by (*simp add: subst-nil.rep-eq*)

lemma *usubst-upd-comm-dash* [*usubst*]:
fixes $x :: ('a \implies 'a)$
shows $\sigma(\$x' \mapsto_s v, \$x \mapsto_s u) = \sigma(\$x \mapsto_s u, \$x' \mapsto_s v)$
using *out-in-indep usubst-upd-comm* **by** *blast*

lemma *subst-upd-lens-plus* [*usubst*]:
 $subst\text{-}upd\ \sigma\ (x +_L y) \ll(u,v)\gg = \sigma(y \mapsto_s \ll v \gg, x \mapsto_s \ll u \gg)$
by (*simp add: lens-defs uexpr-defs subst-upd-def, transfer, auto*)

lemma *subst-upd-in-lens-plus* [*usubst*]:
 $subst\text{-}upd\ \sigma\ (in\text{-}var\ (x +_L y)) \ll(u,v)\gg = \sigma(\$y \mapsto_s \ll v \gg, \$x \mapsto_s \ll u \gg)$
by (*simp add: lens-defs uexpr-defs subst-upd-def, transfer, auto simp add: prod.case-eq-if*)

lemma *subst-upd-out-lens-plus* [*usubst*]:
 $subst\text{-}upd\ \sigma\ (out\text{-}var\ (x +_L y)) \ll(u,v)\gg = \sigma(\$y' \mapsto_s \ll v \gg, \$x' \mapsto_s \ll u \gg)$
by (*simp add: lens-defs uexpr-defs subst-upd-def, transfer, auto simp add: prod.case-eq-if*)

lemma *usubst-lookup-upd-indep* [*usubst*]:
assumes $mwb\text{-}lens\ x\ x \bowtie y$
shows $\langle \sigma(y \mapsto_s v) \rangle_s x = \langle \sigma \rangle_s x$
using *assms*
by (*simp add: subst-upd-def, transfer, simp*)

lemma *subst-upd-plus* [*usubst*]:
 $x \bowtie y \implies subst\text{-}upd\ s\ (x +_L y)\ e = s(x \mapsto_s fst(e), y \mapsto_s snd(e))$
by (*simp add: subst-upd-def lens-defs, transfer, auto simp add: fun-eq-iff prod.case-eq-if lens-indep-comm*)

If a variable is unrestricted in a substitution then it's application has no effect.

lemma *usubst-apply-unrest*:
 $\llbracket vwb\text{-}lens\ x; x \#_s \sigma \rrbracket \implies \langle \sigma \rangle_s x = var\ x$
by (*transfer, auto simp add: fun-eq-iff*)
(metis mwb-lens-weak vwb-lens-mwb vwb-lens-wb wb-lens.get-put weak-lens.view-determination)

There follows various laws about deleting variables from a substitution.

lemma *subst-del-id* [*usubst*]:
 $vwb\text{-}lens\ x \implies id_s -_s x = id_s$

by (simp add: subst-del-def subst-upd-def pr-var-def subst-id-def id-lens-def, transfer, auto)

lemma *subst-del-upd-same* [usubst]:

$mwb\text{-}lens\ x \implies \sigma(x \mapsto_s v) -_s x = \sigma -_s x$

by (simp add: subst-del-def subst-upd-def, transfer, simp)

lemma *subst-del-upd-in* [usubst]:

$\llbracket mwb\text{-}lens\ a; x \subseteq_L a \rrbracket \implies \sigma(x \mapsto_s v) -_s a = \sigma -_s a$

by (simp add: subst-del-def subst-upd-def, transfer, simp add: sublens-put-put)

lemma *subst-del-upd-diff* [usubst]:

$x \bowtie y \implies \sigma(y \mapsto_s v) -_s x = (\sigma -_s x)(y \mapsto_s v)$

by (simp add: subst-del-def subst-upd-def, transfer, simp add: lens-indep-comm)

lemma *subst-restr-id* [usubst]: $vwb\text{-}lens\ x \implies id_s \triangleright_s x = id_s$

by (simp add: subst-restr-def usubst)

lemma *subst-restr-upd-in* [usubst]:

$\llbracket vwb\text{-}lens\ a; x \subseteq_L a \rrbracket \implies \sigma(x \mapsto_s v) \triangleright_s a = (\sigma \triangleright_s a)(x \mapsto_s v)$

by (simp add: subst-restr-def usubst subst-upd-def, transfer,

simp add: fun-eq-iff sublens'-prop1 sublens-implies-sublens' sublens-pres-vwb)

lemma *subst-restr-upd-out* [usubst]:

$\llbracket vwb\text{-}lens\ a; x \bowtie a \rrbracket \implies \sigma(x \mapsto_s v) \triangleright_s a = (\sigma \triangleright_s a)$

by (simp add: subst-restr-def usubst subst-upd-def, transfer

, simp add: lens-indep.lens-put-irr2)

If a variable is unrestricted in an expression, then any substitution of that variable has no effect on the expression .

lemma *subst-unrest* [usubst]: $x \nmid P \implies \sigma(x \mapsto_s v) \dagger P = \sigma \dagger P$

by (simp add: subst-upd-def, transfer, auto)

lemma *subst-unrest-sublens* [usubst]: $\llbracket a \nmid P; x \subseteq_L a \rrbracket \implies \sigma(x \mapsto_s v) \dagger P = \sigma \dagger P$

by (simp add: subst-upd-def, transfer, auto simp add: fun-eq-iff,

metis (no-types, lifting) lens.select-convs(2) lens-comp-def sublens-def)

lemma *subst-unrest-2* [usubst]:

fixes $P :: ('a, 'α) \text{ uepr}$

assumes $x \nmid P \ x \bowtie y$

shows $\sigma(x \mapsto_s u, y \mapsto_s v) \dagger P = \sigma(y \mapsto_s v) \dagger P$

using *assms*

by (simp add: subst-upd-def, transfer, auto, metis lens-indep.lens-put-comm)

lemma *subst-unrest-3* [usubst]:

fixes $P :: ('a, 'α) \text{ uepr}$

assumes $x \nmid P \ x \bowtie y \ x \bowtie z$

shows $\sigma(x \mapsto_s u, y \mapsto_s v, z \mapsto_s w) \dagger P = \sigma(y \mapsto_s v, z \mapsto_s w) \dagger P$

using *assms*

by (simp add: subst-upd-def, transfer, auto, metis (no-types, hide-lams) lens-indep-comm)

lemma *subst-unrest-4* [usubst]:

fixes $P :: ('a, 'α) \text{ uepr}$

assumes $x \nmid P \ x \bowtie y \ x \bowtie z \ x \bowtie u$

shows $\sigma(x \mapsto_s e, y \mapsto_s f, z \mapsto_s g, u \mapsto_s h) \dagger P = \sigma(y \mapsto_s f, z \mapsto_s g, u \mapsto_s h) \dagger P$

using *assms*

by (simp add: subst-upd-def, transfer, auto, metis (no-types, hide-lams) lens-indep-comm)

lemma subst-unrest-5 [usubst]:

fixes $P :: ('a, 'α) uexpr$

assumes $x \# P \ x \bowtie y \ x \bowtie z \ x \bowtie u \ x \bowtie v$

shows $\sigma(x \mapsto_s e, y \mapsto_s f, z \mapsto_s g, u \mapsto_s h, v \mapsto_s i) \uparrow P = \sigma(y \mapsto_s f, z \mapsto_s g, u \mapsto_s h, v \mapsto_s i) \uparrow P$

using assms

by (simp add: subst-upd-def, transfer, auto, metis (no-types, hide-lams) lens-indep-comm)

lemma subst-compose-upd [usubst]: $x \#_s \sigma \implies \sigma \circ_s \varrho(x \mapsto_s v) = (\sigma \circ_s \varrho)(x \mapsto_s v)$

by (simp add: subst-upd-def, transfer, auto simp add: comp-def)

Any substitution is a monotonic function.

lemma subst-mono: mono (subst σ)

by (simp add: less-eq-uexpr.rep-eq mono-def subst.rep-eq)

9.4 Substitution laws

We now prove the key laws that show how a substitution should be performed for every expression operator, including the core function operators, literals, variables, and the arithmetic operators. They are all added to the *usubst* theorem attribute so that we can apply them using the substitution tactic.

lemma id-subst [usubst]: $id_s \uparrow v = v$

unfolding subst-id-def lens-defs by (transfer, simp)

lemma subst-lit [usubst]: $\sigma \uparrow \langle v \rangle = \langle v \rangle$

by (transfer, simp)

lemma subst-var [usubst]: $\sigma \uparrow \text{var } x = \langle \sigma \rangle_s x$

by (transfer, simp)

lemma usubst-uabs [usubst]: $\sigma \uparrow (\lambda x \cdot P(x)) = (\lambda x \cdot \sigma \uparrow P(x))$

by (transfer, simp)

lemma unrest-usubst-del [unrest]: $\llbracket \text{vwb-lens } x; x \# (\langle \sigma \rangle_s x); x \#_s \sigma -_s x \rrbracket \implies x \# (\sigma \uparrow P)$

by (simp add: subst-del-def subst-upd-def unrest-uexpr-def unrest-usubst-def pr-var-def, transfer, auto) (metis vwb-lens.source-determination)

We add the symmetric definition of input and output variables to substitution laws so that the variables are correctly normalised after substitution.

lemma subst-appl [usubst]: $\sigma \uparrow f \mid v = (\sigma \uparrow f) \mid (\sigma \uparrow v)$

by (transfer, simp)

lemma subst-uop [usubst]: $\sigma \uparrow uop f v = uop f (\sigma \uparrow v)$

by (transfer, simp)

lemma subst-bop [usubst]: $\sigma \uparrow bop f u v = bop f (\sigma \uparrow u) (\sigma \uparrow v)$

by (transfer, simp)

lemma subst-trop [usubst]: $\sigma \uparrow trop f u v w = trop f (\sigma \uparrow u) (\sigma \uparrow v) (\sigma \uparrow w)$

by (transfer, simp)

lemma subst-qtop [usubst]: $\sigma \uparrow qtop f u v w x = qtop f (\sigma \uparrow u) (\sigma \uparrow v) (\sigma \uparrow w) (\sigma \uparrow x)$

by (transfer, simp)

lemma *subst-case-prod* [*usubst*]:
fixes $P :: 'i \Rightarrow 'j \Rightarrow ('a, 'a) \text{ uexpr}$
shows $\sigma \dagger \text{case-prod } (\lambda x y. P x y) v = \text{case-prod } (\lambda x y. \sigma \dagger P x y) v$
by (*simp add: case-prod-beta*)

lemma *subst-plus* [*usubst*]: $\sigma \dagger (x + y) = \sigma \dagger x + \sigma \dagger y$
by (*simp add: plus-uexpr-def subst-bop*)

lemma *subst-times* [*usubst*]: $\sigma \dagger (x * y) = \sigma \dagger x * \sigma \dagger y$
by (*simp add: times-uexpr-def subst-bop*)

lemma *subst-power* [*usubst*]: $\sigma \dagger (e \wedge n) = (\sigma \dagger e) \wedge n$
by (*simp add: power-rep-eq subst.rep-eq uexpr-eq-iff*)

lemma *subst-mod* [*usubst*]: $\sigma \dagger (x \bmod y) = \sigma \dagger x \bmod \sigma \dagger y$
by (*simp add: mod-uexpr-def usubst*)

lemma *subst-div* [*usubst*]: $\sigma \dagger (x \text{ div } y) = \sigma \dagger x \text{ div } \sigma \dagger y$
by (*simp add: divide-uexpr-def usubst*)

lemma *subst-minus* [*usubst*]: $\sigma \dagger (x - y) = \sigma \dagger x - \sigma \dagger y$
by (*simp add: minus-uexpr-def subst-bop*)

lemma *subst-uminus* [*usubst*]: $\sigma \dagger (- x) = - (\sigma \dagger x)$
by (*simp add: uminus-uexpr-def subst-uop*)

lemma *usubst-sgn* [*usubst*]: $\sigma \dagger \text{sgn } x = \text{sgn } (\sigma \dagger x)$
by (*simp add: sgn-uexpr-def subst-uop*)

lemma *usubst-abs* [*usubst*]: $\sigma \dagger \text{abs } x = \text{abs } (\sigma \dagger x)$
by (*simp add: abs-uexpr-def subst-uop*)

lemma *subst-zero* [*usubst*]: $\sigma \dagger 0 = 0$
by (*simp add: zero-uexpr-def subst-lit*)

lemma *subst-one* [*usubst*]: $\sigma \dagger 1 = 1$
by (*simp add: one-uexpr-def subst-lit*)

lemma *subst-numeral* [*usubst*]: $\sigma \dagger \text{numeral } n = \text{numeral } n$
by (*simp add: numeral-uexpr-simp subst-lit*)

This laws shows the effect of applying one substitution after another – we simply use function composition to compose them.

lemma *subst-subst* [*usubst*]: $\sigma \dagger \varrho \dagger e = (\varrho \circ_s \sigma) \dagger e$
by (*transfer, simp*)

The next law is similar, but shows how such a substitution is to be applied to every updated variable additionally.

lemma *subst-upd-comp* [*usubst*]:
fixes $x :: ('a \Rightarrow 'a)$
shows $\varrho(x \mapsto_s v) \circ_s \sigma = (\varrho \circ_s \sigma)(x \mapsto_s \sigma \dagger v)$
unfolding *subst-upd-def* **by** (*transfer, auto*)

lemma *subst-singleton*:

fixes $x :: ('a \Rightarrow 'a)$
assumes $x \#_s \sigma$
shows $\sigma(x \mapsto_s v) \uparrow P = (\sigma \uparrow P)[v/x]$
using *assms* **by** (*simp add: usubst*)

lemmas *subst-to-singleton* = *subst-singleton id-subst*

9.5 Ordering substitutions

A simplification procedure to reorder substitutions maplets lexicographically by variable syntax

simproc-setup *subst-order* (*subst-upd* (*subst-upd* σ x u) y v) =
 \langle (*fn* - => *fn* *ctx* => *fn* *ct* =>
 case (*Thm.term-of* *ct*) *of*
 Const (*utp-subst.subst-upd*, -) \$ (*Const* (*utp-subst.subst-upd*, -) \$ s \$ x \$ u) \$ y \$ v
 => *if* (*YXML.content-of* (*Syntax.string-of-term* *ctx* x) > *YXML.content-of* (*Syntax.string-of-term*
 ctx y))
 then SOME (*mk-meta-eq* @{*thm usubst-upd-comm*})
 else NONE |
 - => *NONE*)
 \rangle

9.6 Unrestriction laws

These are the key unrestricted theorems for substitutions and expressions involving substitutions.

lemma *unrest-usubst-single* [*unrest*]:
 $\llbracket \text{mwb-lens } x; x \#_s v \rrbracket \Longrightarrow x \# P[v/x]$
unfolding *subst-upd-def* **by** (*transfer, auto*)

lemma *unrest-usubst-id* [*unrest*]:
 $\text{mwb-lens } x \Longrightarrow x \#_s \text{id}_s$
by (*transfer, simp*)

lemma *unrest-usubst-upd* [*unrest*]:
 $\llbracket x \bowtie y; x \#_s \sigma; x \#_s v \rrbracket \Longrightarrow x \#_s \sigma(y \mapsto_s v)$
by (*transfer, simp add: lens-indep-comm*)

lemma *unrest-subst* [*unrest*]:
 $\llbracket x \# P; x \#_s \sigma \rrbracket \Longrightarrow x \# (\sigma \uparrow P)$
by (*transfer, simp add: unrest-usubst-def*)

Unrestriction can be demonstrated by showing substitution for its variables is ineffectual.

lemma *unrest-as-subst*: $(x \# P) \longleftrightarrow (\forall v. P[\llbracket v \rrbracket/x] = P)$
by (*transfer, auto simp add: fun-eq-iff*)

lemma *unrest-by-subst*: $\llbracket \bigwedge v. P[\llbracket v \rrbracket/x] = P \rrbracket \Longrightarrow x \# P$
by (*simp add: unrest-as-subst*)

9.7 Conditional Substitution Laws

lemma *usubst-cond-upd-1* [*usubst*]:
 $\sigma(x \mapsto_s u) \triangleleft b \triangleright \varrho(x \mapsto_s v) = (\sigma \triangleleft b \triangleright \varrho)(x \mapsto_s (u \triangleleft b \triangleright v))$
by (*simp add: subst-upd-def ueexpr-defs, transfer, auto*)

lemma *usubst-cond-upd-2* [*usubst*]:

$\llbracket \text{vwb-lens } x; x \#_s \varrho \rrbracket \implies \sigma(x \mapsto_s u) \triangleleft b \triangleright \varrho = (\sigma \triangleleft b \triangleright \varrho)(x \mapsto_s (u \triangleleft b \triangleright \&x))$

by (*simp add: subst-upd-def unrest-usubst-def uexpr-defs pr-var-def, transfer, auto simp add: fun-eq-iff*)
(metis lens-override-def lens-override-idem)

lemma *usubst-cond-upd-3* [*usubst*]:

$\llbracket \text{vwb-lens } x; x \#_s \sigma \rrbracket \implies \sigma \triangleleft b \triangleright \varrho(x \mapsto_s v) = (\sigma \triangleleft b \triangleright \varrho)(x \mapsto_s (\&x \triangleleft b \triangleright v))$

by (*simp add: subst-upd-def unrest-usubst-def uexpr-defs pr-var-def, transfer, auto simp add: fun-eq-iff*)
(metis lens-override-def lens-override-idem)

9.8 Parallel Substitution Laws

lemma *par-subst-id* [*usubst*]:

$\llbracket \text{vwb-lens } A; \text{vwb-lens } B \rrbracket \implies \text{id}_s [A|B]_s \text{id}_s = \text{id}_s$

by (*transfer, simp*)

lemma *par-subst-left-empty* [*usubst*]:

$\llbracket \text{vwb-lens } A \rrbracket \implies \sigma [\emptyset|A]_s \varrho = \text{id}_s [\emptyset|A]_s \varrho$

by (*simp add: par-subst-def pr-var-def*)

lemma *par-subst-right-empty* [*usubst*]:

$\llbracket \text{vwb-lens } A \rrbracket \implies \sigma [A|\emptyset]_s \varrho = \sigma [A|\emptyset]_s \text{id}_s$

by (*simp add: par-subst-def pr-var-def*)

lemma *par-subst-comm*:

$\llbracket A \bowtie B \rrbracket \implies \sigma [A|B]_s \varrho = \varrho [B|A]_s \sigma$

by (*simp add: par-subst-def lens-override-def lens-indep-comm*)

lemma *par-subst-upd-left-in* [*usubst*]:

$\llbracket \text{vwb-lens } A; A \bowtie B; x \subseteq_L A \rrbracket \implies \sigma(x \mapsto_s v) [A|B]_s \varrho = (\sigma [A|B]_s \varrho)(x \mapsto_s v)$

by (*transfer, simp add: lens-override-put-right-in, simp add: lens-indep-comm lens-override-def sublens-pres-indep*)

lemma *par-subst-upd-left-out* [*usubst*]:

$\llbracket \text{vwb-lens } A; x \bowtie A \rrbracket \implies \sigma(x \mapsto_s v) [A|B]_s \varrho = (\sigma [A|B]_s \varrho)$

by (*transfer, simp add: par-subst-def subst-upd-def lens-override-put-right-out*)

lemma *par-subst-upd-right-in* [*usubst*]:

$\llbracket \text{vwb-lens } B; A \bowtie B; x \subseteq_L B \rrbracket \implies \sigma [A|B]_s \varrho(x \mapsto_s v) = (\sigma [A|B]_s \varrho)(x \mapsto_s v)$

using *lens-indep-sym par-subst-comm par-subst-upd-left-in* **by** *fastforce*

lemma *par-subst-upd-right-out* [*usubst*]:

$\llbracket \text{vwb-lens } B; A \bowtie B; x \bowtie B \rrbracket \implies \sigma [A|B]_s \varrho(x \mapsto_s v) = (\sigma [A|B]_s \varrho)$

by (*simp add: par-subst-comm par-subst-upd-left-out*)

9.9 Power Substitutions

interpretation *subst-monoid*: *monoid-mult subst-id subst-comp*

by (*unfold-locales, transfer, auto*)

notation *subst-monoid.power* (**infixr** $\hat{\ }_s$ 80)

lemma *subst-power-rep-eq*: $\llbracket \sigma \hat{\ }_s n \rrbracket_e = \llbracket \sigma \rrbracket_e \hat{\ }^n$

by (*induct n, simp-all add: subst-id.rep-eq subst-comp.rep-eq*)

update-uexpr-rep-eq-thms

end

10 Meta-level Substitution

```
theory utp-meta-subst
imports utp-subst utp-tactics
begin
```

Meta substitution substitutes a HOL variable in a UTP expression for another UTP expression. It is analogous to UTP substitution, but acts on functions.

lift-definition $msubst :: ('b \Rightarrow ('a, 'a) uexpr) \Rightarrow ('b, 'a) uexpr \Rightarrow ('a, 'a) uexpr$
is $\lambda F v b. F (v b) b$.

update-uexpr-rep-eq-thms — Reread *rep-eq* theorems.

syntax

$-msubst :: logic \Rightarrow pttm \Rightarrow logic \Rightarrow logic ((-\rightarrow-) [990,0,0] 991)$

translations

$-msubst P x v == CONST msubst (\lambda x. P) v$

lemma *msubst-lit* [usubst]: $\ll x \gg \ll x \rightarrow v \gg = v$
by (*pred-auto*)

lemma *msubst-const* [usubst]: $P \ll x \rightarrow v \gg = P$
by (*pred-auto*)

lemma *msubst-pair* [usubst]: $(P x y) \ll (x, y) \rightarrow (e, f)_u \gg = (P x y) \ll x \rightarrow e \gg \ll y \rightarrow f \gg$
by (*rel-auto*)

lemma *msubst-lit-2-1* [usubst]: $\ll x \gg \ll (x, y) \rightarrow (u, v)_u \gg = u$
by (*pred-auto*)

lemma *msubst-lit-2-2* [usubst]: $\ll y \gg \ll (x, y) \rightarrow (u, v)_u \gg = v$
by (*pred-auto*)

lemma *msubst-lit'* [usubst]: $\ll y \gg \ll x \rightarrow v \gg = \ll y \gg$
by (*pred-auto*)

lemma *msubst-lit'-2* [usubst]: $\ll z \gg \ll (x, y) \rightarrow v \gg = \ll z \gg$
by (*pred-auto*)

lemma *msubst-uop* [usubst]: $(uop f (v x)) \ll x \rightarrow u \gg = uop f ((v x) \ll x \rightarrow u \gg)$
by (*rel-auto*)

lemma *msubst-uop-2* [usubst]: $(uop f (v x y)) \ll (x, y) \rightarrow u \gg = uop f ((v x y) \ll (x, y) \rightarrow u \gg)$
by (*pred-simp, pred-simp*)

lemma *msubst-bop* [usubst]: $(bop f (v x) (w x)) \ll x \rightarrow u \gg = bop f ((v x) \ll x \rightarrow u \gg) ((w x) \ll x \rightarrow u \gg)$
by (*rel-auto*)

lemma *msubst-bop-2* [usubst]: $(bop f (v x y) (w x y)) \ll (x, y) \rightarrow u \gg = bop f ((v x y) \ll (x, y) \rightarrow u \gg) ((w x y) \ll (x, y) \rightarrow u \gg)$
by (*pred-simp, pred-simp*)

lemma *msubst-var* [*usubst*]:
 (*utp-expr.var* *x*) $\llbracket y \rightarrow u \rrbracket$ = *utp-expr.var* *x*
 by (*pred-simp*)

lemma *msubst-var-2* [*usubst*]:
 (*utp-expr.var* *x*) $\llbracket (y, z) \rightarrow u \rrbracket$ = *utp-expr.var* *x*
 by (*pred-simp*)⁺

lemma *msubst-unrest* [*unrest*]: $\llbracket \bigwedge v. x \# P(v); x \# k \rrbracket \Longrightarrow x \# P(v) \llbracket v \rightarrow k \rrbracket$
 by (*pred-auto*)

end

theory *utp-lift-pretty*

imports *utp-subst utp-lift-parser*

keywords *utp-pretty* :: *thy-decl-block* **and** *no-utp-pretty* :: *thy-decl-block* **and** *utp-const* :: *thy-decl-block*
and *utp-lift-notation* :: *thy-decl-block*
begin

10.1 Pretty Printer

The pretty printer infers when a HOL expression is actually a UTP expression by determining whether it contains operators like *bop*, *lit* etc. If so, it inserts the syntactic UTP quote defined above and then pushes these upwards through the expression syntax as far as possible, removing expression operators along the way. In this way, lifted HOL expressions are printed exactly as the HOL expression with a quote around.

There are two phases to this implementation. Firstly, a collection of print translation functions for each of the combinators for functions, such as *uop* and *bop* insert a UTP quote for each subexpression that is not also headed by such a combinator. This is effectively trying to find “leaf nodes” in an expression. Secondly, a set of translation rules push the UTP quotes upwards, combining where necessary, to the highest possible level, removing the expression operators as they go.

We manifest the pretty printer through two commands that enable and disable it. Disabling allows us to inspect the syntactic structure of a term.

ML \langle

\rangle

ML \langle

let *val* *utp-tr-rules* = *map* (*fn* (*l*, *r*) => *Syntax.Print-Rule* ((*logic*, *l*), (*logic*, *r*)))
 [*(U(t)* , *U(U(t)))*],

(
 (*-UTP* (*-uex* *x* *P*), *-uex* *x* (*-UTP* *P*)),
 (*-UTP* (*-uall* *x* *P*), *-uall* *x* (*-UTP* *P*)),
 *)

(*U(-ulens-ovrd* *e* *f* *A*), *-ulens-ovrd* (*U(e)*) (*U(f)*) *A*),

(*-UTP* (*-SubstUpd* *m* (*-smaplet* *x* *v*)), *-SubstUpd* (*-UTP* *m*) (*-smaplet* *x* (*-UTP* *v*))),
 (*-UTP* (*-Subst* (*-smaplet* *x* *v*)), *-Subst* (*-smaplet* *x* (*-UTP* *v*))),
 (*-UTP* (*-subst* *e* *v* *x*), *-subst* (*-UTP* *e*) (*-UTP* *v*) *x*),

(*U*($\sigma \dagger e$), *U*($\sigma \dagger U(e)$),
 (*U(f* *x*) , *U(f)*) > *U(x)*),

```

(U( $\lambda x. f$ ), ( $\lambda x. U(f)$ )),
(U( $\lambda x. f$ ), ( $\lambda x. U(f)$ )),

(U(f x) , CONST uop f U(x)),
(U(f x y) , CONST bop f U(x) U(y)),
(U(f x y z) , CONST trop f U(x) U(y) U(z)),
(U(f x) , -UTP f (-UTP x))]

val utp-terminals = [@{const-syntax zero-class.zero}, @{const-syntax one-class.one}, @{const-syntax
numeral}, @{const-syntax utrue}, @{const-syntax ufalses}];
fun utp-consts ctx = @{syntax-const -UTP} :: filter (not o member (op =) utp-terminals) (map
Lexicon.mark-const (Syntab.keys (NoLiftUTP.get (Proof-Context.theory-of ctx))));

fun needs-mark ctx t =
  case t of
    (Const (@{syntax-const -free}, -) $ Free (-, Type (type-name <uepr>, ts))) => true |
    (Const (@{syntax-const -free}, -)
      $ Free (-, Type (syntax-const <-ignore-type>, [Type (type-name <uepr>, ts)]))) => true |
    Free (-, -) => true |
    - => false;

fun utp-mark-term ctx t =
  if (needs-mark ctx t) then Const (@{syntax-const -UTP}, dummyT) $ t else t;

fun mark-uepr-leaf n = (n, fn - => fn typ => fn ts =>
  case typ of
    (Type (type-name <uepr>, -)) => Const (@{syntax-const -UTP}, dummyT) $ Term.list-comb
(Const (n, dummyT), ts) |
    (Type (type-name <fun>, [-, Type (type-name <uepr>, -)])) => Const (@{syntax-const -UTP},
dummyT) $ Term.list-comb (Const (n, dummyT), ts) |
    - => raise Match);

fun insert-U args pre ctx ts =
  if (Library.foldl (fn (x, (i, y)) => (not (member (op =) args i) andalso needs-mark ctx y) orelse
x) (false, (Library.map-index (fn x => x) ts)))
  then Library.foldl1 (op $) (pre @ map-index (fn (i, t) => if (member (op =) args i) then t else
utp-mark-term ctx t) ts)
  else raise Match;

fun insert-const-U args c = insert-U args [Const (c, dummyT)];

(* Function to register a constant c with n arguments as a lifted constant that should be
aware of U notation. The values in opt are any arguments that should be ignored when
checking for lifting. *)

fun mk-remove-U-prtr c n opt =
  let open Ast
  val vars = map (fn i => Variable (x ^ string-of-int i)) (0 upto (n-1))
  val mvars =
    map (fn i =>
      let val v = Variable (x ^ string-of-int i) in
      if (member (op =) opt i) then v else Appl (Constant @{syntax-const -UTP} :: [v])
      end
    )

```



```

    ) (0 upto (n-1))
  in
    (Appl (Constant c :: vars), Appl (Constant c :: mvars))
  end;

fun mk-lift-U-prtr c n opt =
  let
    open Ast
    val (l, r) = mk-remove-U-prtr c n opt
  in
    if n = 0 then []
    else
      [
        Syntax.Print-Rule (
          Appl [Constant @{syntax-const -UTP}
              , l],
          r)
      ]
  end;

fun utp-remove-const-U thy (s, opt) =
  let val Const (ct, ty) = Proof-Context.read-const {proper = true, strict = false} (Proof-Context.init-global thy) s
  in
    val cs = Lexicon.mark-const ct
    val n = length (fst (Term.strip-type ty))
    val args = map Value.parse-int opt in
    (Syntax.Print-Rule (mk-remove-U-prtr cs n args))
  end;

fun add-utp-print-const (s, opt) thy =
  let val Const (ct, ty) = Proof-Context.read-const {proper = true, strict = false} (Proof-Context.init-global thy) s
  in
    val cs = Lexicon.mark-const ct
    val n = length (fst (Term.strip-type ty))
    val args = map Value.parse-int opt in
    (Sign.add-trrules (mk-lift-U-prtr cs n args) #>
     Sign.print-translation [(cs, insert-const-U args cs)]
    ) thy
  end;

(*
fun utp-consts ctx =
  [@{syntax-const -UTP},
   @{const-syntax lit},
   @{const-syntax var},
   @{const-syntax uop},
   @{const-syntax bop},
   @{const-syntax trop},
   @{const-syntax qtop},
  (* @{const-syntax subst-upd}, *)
   @{const-syntax plus},
   @{const-syntax minus},
   @{const-syntax times},
   @{const-syntax divide}];

```

*)

```

fun uop-insert-U ctx (f :: ts) = insert-U [] [Const (@{const-syntax uop}, dummyT), f] ctx ts |
uop-insert-U - - = raise Match;

fun bop-insert-U ctx (f :: ts) = insert-U [] [Const (@{const-syntax bop}, dummyT), f] ctx ts |
bop-insert-U - - = raise Match;

fun trop-insert-U ctx (f :: ts) =
  insert-U [] [Const (@{const-syntax trop}, dummyT), f] ctx ts |
trop-insert-U - - = raise Match;

fun appl-insert-U ctx ts = insert-U [] [] ctx ts;

val print-tr = [ (@{const-syntax var},
  K (fn ts => if (ts = [])
    then Const (var, dummyT)
    else Const (@{syntax-const -UTP}, dummyT) $ hd(ts)))
, (@{const-syntax lit},
  K (fn ts => if (ts = [])
    then Const (lit, dummyT)
    else Const (@{syntax-const -UTP}, dummyT) $ hd(ts)))
, (@{const-syntax trop}, trop-insert-U)
, (@{const-syntax bop}, bop-insert-U)
, (@{const-syntax uop}, uop-insert-U)
(*) , (@{const-syntax udisj}, insert-const-U @{const-syntax udisj}) *)
, (@{const-syntax uexr-appl}, appl-insert-U)];

val ty-print-tr = map mark-uexr-leaf utp-terminals;
(* FIXME: We should also mark expressions that are free variables *)
val no-print-tr = [ (@{syntax-const -UTP}, K (fn ts => Term.list-comb (@{print} hd ts, tl ts))) ];
fun nolift-const thy (n, opt) =
  let val Const (c, -) = Proof-Context.read-const {proper = true, strict = false} (Proof-Context.init-global
thy) n
  in NoLiftUTP.map (Symtab.update (c, (map Value.parse-int opt))) thy end;
fun utp-lift-notation thy (n, args) =
  let val Const (c, -) = Proof-Context.read-const {proper = true, strict = false} (Proof-Context.init-global
thy) n in
  (Lexicon.mark-const c,
  fn ctx => fn ts =>
    let val ts' = map-index (fn (i, t) => if (not (member (op =) (map Value.parse-int args) i)) then
      utp-lift ctx (Term-Position.strip-positions t) else t) ts
    in if (ts = ts') then raise Match else Term.list-comb (Const (c, dummyT), ts') end)
  end;
  in
  Outer-Syntax.command @{command-keyword utp-lift-notation} insert UTP parser quotes into existing
notation
  (Scan.repeat1 (Parse.term -- Scan.optional (Parse.$$$ (| -- Parse.!!! (Scan.repeat1 Parse.number
--| Parse.$$$ ))) []
  >> (fn ns =>
    Toplevel.theory
    (fn thy => (Sign.parse-translation (map (utp-lift-notation thy) ns)
      #> Sign.add-trrules ((map (utp-remove-const-U thy) ns)) thy)))));

Outer-Syntax.command @{command-keyword utp-pretty} enable pretty printing of UTP expressions

```

```

    (Scan.succeed (Toplevel.theory (Isar-Cmd.translations utp-tr-rules #>
                                     Sign.typed-print-translation ty-print-tr #>
                                     Sign.print-translation print-tr
                                     )));
    (* FIXME: It actually isn't currently possible to disable pretty printing without destroying the term
    rewriting *)

    Outer-Syntax.command @{command-keyword no-utp-pretty} disable pretty printing of UTP expressions
    (Scan.succeed (Toplevel.theory (Isar-Cmd.no-translations utp-tr-rules #> Sign.print-translation
    no-print-tr))));

    Outer-Syntax.command @{command-keyword utp-const} declare that certain UTP constants should
    not be lifted
    (Scan.repeat1 (Parse.term -- Scan.optional (Parse.$$$ (|-- Parse.!!! (Scan.repeat1 Parse.number
    --| Parse.$$$ ))) []))
    >> (fn ns =>
        Toplevel.theory
        (fn thy => Library.foldl (fn (thy, n) => nolift-const thy n |> add-utp-print-const n) (thy, ns))))

    end;
  ›

utp-const
  plus minus uminus times divide inverse inverse-divide power power2
  subst-upd(1) usubst usubst-lookup(1)
  utrue ufalse cond

term  $U(\mathcal{I} + \&x)$ 

utp-pretty

term  $U(\mathcal{I} + \&x)$ 

term true

term  $U(P \vee \$x = 1 \longrightarrow false)$ 

term  $U(true \wedge q)$ 

term  $U(1 + \&x)$ 

term  $\ll x \gg + \$y$ 

term  $\ll x \gg + \$y$ 

term  $U(\&v < 0)$ 

term  $U(\&v > 0)$ 

term  $U(\$y = 5)$ 

term  $U(\$y' = 1 + \$y)$ 

term  $U(\$x + \$y + \$z + \$u / \$f')$ 

```

```

term  $U(\$f\ x)$ 

term  $U(\$f\ \$v')$ 

term  $e \oplus f\ on\ A$ 

term  $U(\$x = v)$ 

term  $U(\$tr' = \$tr\ @\ [a] \wedge \$ref \subseteq \$i:ref' \cup \$j:ref' \wedge \$x' = \$x + 1)$ 

term  $U(e\llbracket v/x\rrbracket)$ 

term  $U((length\ e)\llbracket 1+1/\&x\rrbracket)$ 

term  $U([x \mapsto_s 1 + 2])$ 

end

```

11 Alphabetised Predicates

```

theory utp-pred
imports
  utp-expr-funcs
  utp-subst
  utp-meta-subst
  utp-tactics
  utp-lift-parser
  utp-lift-pretty
begin

```

In this theory we begin to create an Isabelle version of the alphabetised predicate calculus that is described in Chapter 1 of the UTP book [22].

11.1 Predicate type and syntax

An alphabetised predicate is simply a boolean valued expression.

```

type-synonym  $'\alpha\ upred = (bool, '\alpha)\ uexpr$ 

```

```

translations
   $(type)\ '\alpha\ upred \leq (type)\ (bool, '\alpha)\ uexpr$ 

```

We want to remain as close as possible to the mathematical UTP syntax, but also want to be conservative with HOL. For this reason we chose not to steal syntax from HOL, but where possible use polymorphism to allow selection of the appropriate operator (UTP vs. HOL). Thus we will first remove the standard syntax for conjunction, disjunction, and negation, and replace these with adhoc overloaded definitions. We similarly use polymorphic constants for the other predicate calculus operators.

```

purge-notation
  conj (infixr  $\wedge$  35) and
  disj (infixr  $\vee$  30) and
  Not ( $\neg$  - [40] 40)

```

```

consts

```

$uconj :: 'a \Rightarrow 'a \Rightarrow 'a \text{ (infixr } \wedge \text{ 35)}$
 $udisj :: 'a \Rightarrow 'a \Rightarrow 'a \text{ (infixr } \vee \text{ 30)}$
 $uimpl :: 'a \Rightarrow 'a \Rightarrow 'a \text{ (infixr } \Rightarrow \text{ 25)}$
 $uiff :: 'a \Rightarrow 'a \Rightarrow 'a \text{ (infixr } \Leftrightarrow \text{ 25)}$
 $unot :: 'a \Rightarrow 'a \text{ (}\neg \text{ - [40] 40)}$
 $uex :: ('a \Rightarrow 'a) \Rightarrow 'p \Rightarrow 'p$
 $uall :: ('a \Rightarrow 'a) \Rightarrow 'p \Rightarrow 'p$

adhoc-overloading

$uconj \text{ conj and}$
 $udisj \text{ disj and}$
 $unot \text{ Not}$

utp-const

$uex(0) \ uall(0) \ unot \ uconj \ udisj \ uimpl \ uiff$

abbreviation $shEx :: ['\beta \Rightarrow '\alpha \text{ upred}] \Rightarrow '\alpha \text{ upred}$ **where**
 $shEx \ P \equiv \ll Ex \gg \mid > \ uabs \ P$

abbreviation $shAll :: ['\beta \Rightarrow '\alpha \text{ upred}] \Rightarrow '\alpha \text{ upred}$ **where**
 $shAll \ P \equiv \ll All \gg \mid > \ uabs \ P$

utp-const $shEx \ shAll$

We set up two versions of each of the quantifiers: $uex / uall$ and $shEx / shAll$. The former pair allows quantification of UTP variables, whilst the latter allows quantification of HOL variables in concert with the literal expression constructor $\mathbf{U}(x)$. Both varieties will be needed at various points. Syntactically they are distinguished by a boldface quantifier for the HOL versions (achieved by the "bold" escape in Isabelle).

nonterminal $idt\text{-list}$

syntax

$-idt\text{-el} :: idt \Rightarrow idt\text{-list} \text{ (-)}$
 $-idt\text{-list} :: idt \Rightarrow idt\text{-list} \Rightarrow idt\text{-list} \text{ ((-, / -) [0, 1])}$
 $-uex :: salpha \Rightarrow logic \Rightarrow logic \text{ (}\exists \text{ - - - [0, 10] 10)}$
 $-uall :: salpha \Rightarrow logic \Rightarrow logic \text{ (}\forall \text{ - - - [0, 10] 10)}$
 $-shEx :: pttrn \Rightarrow logic \Rightarrow logic \text{ (}\exists \text{ - - - [0, 10] 10)}$
 $-shAll :: pttrn \Rightarrow logic \Rightarrow logic \text{ (}\forall \text{ - - - [0, 10] 10)}$
 $-shBEx :: pttrn \Rightarrow logic \Rightarrow logic \Rightarrow logic \text{ (}\exists \text{ - } \in \text{ - - - [0, 0, 10] 10)}$
 $-shBAll :: pttrn \Rightarrow logic \Rightarrow logic \Rightarrow logic \text{ (}\forall \text{ - } \in \text{ - - - [0, 0, 10] 10)}$
 $-shGAll :: pttrn \Rightarrow logic \Rightarrow logic \Rightarrow logic \text{ (}\forall \text{ - } | \text{ - - - [0, 0, 10] 10)}$
 $-shGtAll :: idt \Rightarrow logic \Rightarrow logic \Rightarrow logic \text{ (}\forall \text{ - } > \text{ - - - [0, 0, 10] 10)}$
 $-shLtAll :: idt \Rightarrow logic \Rightarrow logic \Rightarrow logic \text{ (}\forall \text{ - } < \text{ - - - [0, 0, 10] 10)}$
 $-uvar\text{-res} :: logic \Rightarrow salpha \Rightarrow logic \text{ (infixl } \upharpoonright_v \text{ 90)}$

translations

$-uex \ x \ P \quad \quad \quad == \text{CONST } uex \ x \ P$
 $-uex \ (-salphaset \ (-salphamk \ (x +_L y))) \ P \leq -uex \ (x +_L y) \ P$
 $-uall \ x \ P \quad \quad \quad == \text{CONST } uall \ x \ P$
 $-uall \ (-salphaset \ (-salphamk \ (x +_L y))) \ P \leq -uall \ (x +_L y) \ P$
 $-shEx \ x \ P \quad \quad \quad == \text{CONST } shEx \ (\lambda x. P)$
 $\exists \ x \in A \cdot P \quad \quad \quad \Rightarrow \exists \ x \cdot \ll x \gg \in_u A \wedge P$
 $-shAll \ x \ P \quad \quad \quad == \text{CONST } shAll \ (\lambda x. P)$
 $\forall \ x \in A \cdot P \quad \quad \quad \Rightarrow \forall \ x \cdot \ll x \gg \in_u A \Rightarrow P$
 $\forall \ x \mid P \cdot Q \quad \quad \quad \Rightarrow \forall \ x \cdot P \Rightarrow Q$

$$\begin{aligned}
\forall x > y \cdot P & \Rightarrow \forall x \cdot \text{CONST } bop \text{ CONST } less \ y \ll x \gg \Rightarrow P \\
\forall x < y \cdot P & \Rightarrow \forall x \cdot \text{CONST } bop \text{ CONST } less \ll x \gg y \Rightarrow P \\
\\
-UTP \ (-uex \ x \ P) & \leq -uex \ x \ (-UTP \ P) \\
-UTP \ (-uall \ x \ P) & \leq -uall \ x \ (-UTP \ P) \\
-UTP \ (-shEx \ x \ P) & \leq -shEx \ x \ (-UTP \ P) \\
-UTP \ (-shAll \ x \ P) & \leq -shAll \ x \ (-UTP \ P)
\end{aligned}$$

11.2 Predicate operators

We chose to maximally reuse definitions and laws built into HOL. For this reason, when introducing the core operators we proceed by lifting operators from the polymorphic algebraic hierarchy of HOL. Thus the initial definitions take place in the context of type class instantiations. We first introduce our own class called *refine* that will add the refinement operator syntax to the HOL partial order class.

class *refine* = *order*

abbreviation *refineBy* :: 'a::*refine* \Rightarrow 'a \Rightarrow bool (infix \sqsubseteq 50) **where**
P \sqsubseteq *Q* \equiv *less-eq* *Q* *P*

Since, on the whole, lattices in UTP are the opposite way up to the standard definitions in HOL, we syntactically invert the lattice operators. This is the one exception where we do steal HOL syntax, but I think it makes sense for UTP. Indeed we make this inversion for all of the lattice operators.

purge-notation *Lattices.inf* (infixl \sqcap 70)
notation *Lattices.inf* (infixl \sqcap 70)
purge-notation *Lattices.sup* (infixl \sqcup 65)
notation *Lattices.sup* (infixl \sqcup 65)

purge-notation *Inf* (\sqcap - [900] 900)
notation *Inf* (\sqcap - [900] 900)
purge-notation *Sup* (\sqcup - [900] 900)
notation *Sup* (\sqcup - [900] 900)

purge-notation *Orderings.bot* (\perp)
notation *Orderings.bot* (\top)
purge-notation *Orderings.top* (\top)
notation *Orderings.top* (\perp)

purge-syntax

-INF1 :: *pttrns* \Rightarrow 'b \Rightarrow 'b (($\exists \sqcap$ -./ -) [0, 10] 10)
-INF :: *pttrn* \Rightarrow 'a *set* \Rightarrow 'b \Rightarrow 'b (($\exists \sqcap$ - \in -./ -) [0, 0, 10] 10)
-SUP1 :: *pttrns* \Rightarrow 'b \Rightarrow 'b (($\exists \sqcup$ -./ -) [0, 10] 10)
-SUP :: *pttrn* \Rightarrow 'a *set* \Rightarrow 'b \Rightarrow 'b (($\exists \sqcup$ - \in -./ -) [0, 0, 10] 10)

syntax

-INF1 :: *pttrns* \Rightarrow 'b \Rightarrow 'b (($\exists \sqcup$ -./ -) [0, 10] 10)
-INF :: *pttrn* \Rightarrow 'a *set* \Rightarrow 'b \Rightarrow 'b (($\exists \sqcup$ - \in -./ -) [0, 0, 10] 10)
-SUP1 :: *pttrns* \Rightarrow 'b \Rightarrow 'b (($\exists \sqcap$ -./ -) [0, 10] 10)
-SUP :: *pttrn* \Rightarrow 'a *set* \Rightarrow 'b \Rightarrow 'b (($\exists \sqcap$ - \in -./ -) [0, 0, 10] 10)

We trivially instantiate our refinement class

instance *uexpr* :: (*order*, *type*) *refine* ..

— Configure transfer law for refinement for the fast relational tactics.

theorem *upred-ref-iff* [*uexpr-transfer-laws*]:

$(P \sqsubseteq Q) = (\forall b. \llbracket Q \rrbracket_e b \longrightarrow \llbracket P \rrbracket_e b)$

apply (*transfer*)

apply (*clarsimp*)

done

Next we introduce the lattice operators, which is again done by lifting.

instantiation *uexpr* :: (*lattice*, *type*) *lattice*

begin

lift-definition *sup-uexpr* :: ('a, 'b) *uexpr* \Rightarrow ('a, 'b) *uexpr* \Rightarrow ('a, 'b) *uexpr*

is $\lambda P Q A. \text{Lattices.sup } (P A) (Q A)$.

lift-definition *inf-uexpr* :: ('a, 'b) *uexpr* \Rightarrow ('a, 'b) *uexpr* \Rightarrow ('a, 'b) *uexpr*

is $\lambda P Q A. \text{Lattices.inf } (P A) (Q A)$.

instance

by (*intro-classes*) (*transfer*, *auto*)+

end

instantiation *uexpr* :: (*bounded-lattice*, *type*) *bounded-lattice*

begin

lift-definition *bot-uexpr* :: ('a, 'b) *uexpr* **is** $\lambda A. \text{Orderings.bot}$.

lift-definition *top-uexpr* :: ('a, 'b) *uexpr* **is** $\lambda A. \text{Orderings.top}$.

instance

by (*intro-classes*) (*transfer*, *auto*)+

end

lemma *top-uexpr-rep-eq* [*simp*]:

$\llbracket \text{Orderings.bot} \rrbracket_e b = \text{False}$

by (*transfer*, *auto*)

lemma *bot-uexpr-rep-eq* [*simp*]:

$\llbracket \text{Orderings.top} \rrbracket_e b = \text{True}$

by (*transfer*, *auto*)

instance *uexpr* :: (*distrib-lattice*, *type*) *distrib-lattice*

by (*intro-classes*) (*transfer*, *rule ext*, *auto simp add: sup-inf-distrib1*)

Finally we show that predicates form a Boolean algebra (under the lattice operators), a complete lattice, a completely distribute lattice, and a complete boolean algebra. This equip us with a very complete theory for basic logical propositions.

instance *uexpr* :: (*boolean-algebra*, *type*) *boolean-algebra*

apply (*intro-classes*, *unfold uexpr-defs*; *transfer*, *rule ext*)

apply (*simp-all add: sup-inf-distrib1 diff-eq*)

done

instantiation *uexpr* :: (*complete-lattice*, *type*) *complete-lattice*

begin

lift-definition *Inf-uexpr* :: ('a, 'b) *uexpr set* \Rightarrow ('a, 'b) *uexpr*

is $\lambda PS A. \text{INF } P \in PS. P(A)$.

lift-definition *Sup-uexpr* :: ('a, 'b) *uexpr set* \Rightarrow ('a, 'b) *uexpr*

is $\lambda PS A. \text{SUP } P \in PS. P(A)$.

instance

by (*intro-classes*)

(*transfer*, *auto intro: INF-lower SUP-upper simp add: INF-greatest SUP-least*)+

end

instance *uexpr* :: (*complete-distrib-lattice*, *type*) *complete-distrib-lattice*
by (*intro-classes*; *transfer*; *auto simp add: INF-SUP-set*)

instance *uexpr* :: (*complete-boolean-algebra*, *type*) *complete-boolean-algebra* ..

From the complete lattice, we can also define and give syntax for the fixed-point operators. Like the lattice operators, these are reversed in UTP.

syntax

-*mu* :: *pttrn* \Rightarrow *logic* \Rightarrow *logic* (μ - · - $[0, 10]$ 10)
-*nu* :: *pttrn* \Rightarrow *logic* \Rightarrow *logic* (ν - · - $[0, 10]$ 10)

notation *gfp* (μ)

notation *lfp* (ν)

translations

$\nu X \cdot P == \text{CONST } \text{lfp } (\lambda X. P)$
 $\mu X \cdot P == \text{CONST } \text{gfp } (\lambda X. P)$

With the lattice operators defined, we can proceed to give definitions for the standard predicate operators in terms of them.

definition *true-upred* = (*Orderings.top* :: ' α upred)

definition *false-upred* = (*Orderings.bot* :: ' α upred)

definition *conj-upred* = (*Lattices.inf* :: ' α upred \Rightarrow ' α upred \Rightarrow ' α upred)

definition *disj-upred* = (*Lattices.sup* :: ' α upred \Rightarrow ' α upred \Rightarrow ' α upred)

definition *not-upred* = (*uminus* :: ' α upred \Rightarrow ' α upred)

definition *diff-upred* = (*minus* :: ' α upred \Rightarrow ' α upred \Rightarrow ' α upred)

abbreviation *Conj-upred* :: ' α upred set \Rightarrow ' α upred (\bigwedge - [900] 900) **where**
 $\bigwedge A \equiv \bigcap A$

abbreviation *Disj-upred* :: ' α upred set \Rightarrow ' α upred (\bigvee - [900] 900) **where**
 $\bigvee A \equiv \bigcup A$

notation

conj-upred (**infixr** \wedge_p 35) **and**
disj-upred (**infixr** \vee_p 30)

Perhaps slightly confusingly, the UTP infimum is the HOL supremum and vice-versa. This is because, again, in UTP the lattice is inverted due to the definition of refinement and a desire to have miracle at the top, and abort at the bottom.

lift-definition *UINFIMUM* :: ' a set \Rightarrow (' $a \Rightarrow$ (' $b :: \text{complete-lattice}$, ' s) *uexpr*) \Rightarrow (' b , ' s) *uexpr*
is $\lambda A F b. \text{Sup } \{\llbracket F x \rrbracket_e b \mid x. x \in A\}$.

lift-definition *USUPREMUM* :: ' a set \Rightarrow (' $a \Rightarrow$ (' $b :: \text{complete-lattice}$, ' s) *uexpr*) \Rightarrow (' b , ' s) *uexpr*
is $\lambda A F b. \text{Inf } \{\llbracket F x \rrbracket_e b \mid x. x \in A\}$.

update-uexpr-rep-eq-thms

syntax

-*USup* :: *pttrn* \Rightarrow *logic* \Rightarrow *logic* (\bigwedge - · - $[0, 10]$ 10)
-*USup* :: *pttrn* \Rightarrow *logic* \Rightarrow *logic* (\bigcup - · - $[0, 10]$ 10)
-*USup-mem* :: *pttrn* \Rightarrow *logic* \Rightarrow *logic* \Rightarrow *logic* (\bigwedge - \in - · - $[0, 0, 10]$ 10)

-USup-mem :: pttrn \Rightarrow logic \Rightarrow logic \Rightarrow logic	(\sqcup - \in - \cdot - $[0, 0, 10]$ 10)
-UInf :: pttrn \Rightarrow logic \Rightarrow logic	(\vee - \cdot - $[0, 10]$ 10)
-UInf :: pttrn \Rightarrow logic \Rightarrow logic	(\sqcap - \cdot - $[0, 10]$ 10)
-UInf-mem :: pttrn \Rightarrow logic \Rightarrow logic \Rightarrow logic	(\vee - \in - \cdot - $[0, 10]$ 10)
-UInf-mem :: pttrn \Rightarrow logic \Rightarrow logic \Rightarrow logic	(\sqcap - \in - \cdot - $[0, 10]$ 10)

translations

$$\begin{aligned} \sqcap x \in A \cdot F &== \text{CONST UINFIMUM } A (\lambda x. F) \\ \sqcap x \cdot F &== \sqcap x \in \text{CONST UNIV} \cdot F \\ \sqcup x \in A \cdot F &== \text{CONST USUPREMUM } A (\lambda x. F) \\ \sqcup x \cdot F &== \sqcup x \in \text{CONST UNIV} \cdot F \end{aligned}$$

We also define the other predicate operators

lift-definition *impl*::'α upred \Rightarrow 'α upred \Rightarrow 'α upred **is**
 $\lambda P Q A. P A \longrightarrow Q A$.

lift-definition *iff-upred* ::'α upred \Rightarrow 'α upred \Rightarrow 'α upred **is**
 $\lambda P Q A. P A \longleftrightarrow Q A$.

lift-definition *ex* :: ('a \Longrightarrow 'α) \Rightarrow 'α upred \Rightarrow 'α upred **is**
 $\lambda x P b. (\exists v. P(\text{put}_x b v))$.

lift-definition *all* :: ('a \Longrightarrow 'α) \Rightarrow 'α upred \Rightarrow 'α upred **is**
 $\lambda x P b. (\forall v. P(\text{put}_x b v))$.

lift-definition *scex* :: 's scene \Rightarrow 's upred \Rightarrow 's upred **is**
 $\lambda a P b. \exists b'. P(b \oplus_S b' \text{ on } a)$.

lift-definition *scall* :: 's scene \Rightarrow 's upred \Rightarrow 's upred **is**
 $\lambda a P b. \forall b'. P(b \oplus_S b' \text{ on } a)$.

We define the following operator which is dual of existential quantification. It hides the valuation of variables other than x through existential quantification.

lift-definition *var-res* :: 'α upred \Rightarrow ('a \Longrightarrow 'α) \Rightarrow 'α upred **is**
 $\lambda P x b. \exists b'. P(b' \oplus_L b \text{ on } x)$.

translations

-uvar-res $P a \Rightarrow \text{CONST var-res } P a$

We have to add a u subscript to the closure operator as I don't want to override the syntax for HOL lists (we'll be using them later).

lift-definition *closure*::'α upred \Rightarrow 'β upred ($[-]_u$) **is**
 $\lambda P A. \forall A'. P A'$.

lift-definition *taut* :: 'α upred \Rightarrow bool ('-) **is**
 $\lambda P. \forall A. P A$.

declare *taut-def* [*uexpr-transfer-laws*]

The following function extracts the characteristic set of a predicate

lift-definition *upred-set* :: 'a upred \Rightarrow 'a set ($\llbracket \cdot \rrbracket_p$) **is**
 $\lambda P. \text{Collect } P$.

Configuration for UTP tactics

update-uexpr-rep-eq-thms — Reread *rep-eq* theorems.

declare *utp-pred.taut.rep-eq* [*upred-defs*]

adhoc-overloading

uttrue true-upred **and**
ufalse false-upred **and**
unot not-upred **and**
uconj conj-upred **and**
udisj disj-upred **and**
uimpl impl **and**
uiff iff-upred **and**
uex ex **and**
uall all

syntax

-uneq :: *logic* \Rightarrow *logic* \Rightarrow *logic* (**infixl** \neq_u 50)
-unmem :: (*'a*, *'α*) *uexpr* \Rightarrow (*'a set*, *'α*) *uexpr* \Rightarrow (*bool*, *'α*) *uexpr* (**infix** \notin_u 50)

translations

$x \neq_u y == \text{CONST } \text{unot } (x =_u y)$
 $x \notin_u A == \text{CONST } \text{unot } (\text{CONST } \text{bop } (\in) x A)$

declare *true-upred-def* [*upred-defs*]

declare *false-upred-def* [*upred-defs*]

declare *conj-upred-def* [*upred-defs*]

declare *disj-upred-def* [*upred-defs*]

declare *not-upred-def* [*upred-defs*]

declare *diff-upred-def* [*upred-defs*]

declare *par-subst-def* [*upred-defs*]

declare *subst-del-def* [*upred-defs*]

declare *unrest-usubst-def* [*upred-defs*]

declare *uexpr-defs* [*upred-defs*]

lemma *true-alt-def*: *true* = $\ll \text{True} \gg$

by (*pred-auto*)

lemma *false-alt-def*: *false* = $\ll \text{False} \gg$

by (*pred-auto*)

declare *true-alt-def* [*THEN sym,simp*]

declare *false-alt-def* [*THEN sym,simp*]

lemma *upred-set-eqI*: $\ll p \gg_p = \ll q \gg_p \implies p = q$

by (*metis eq-iff mem-Collect-eq upred-ref-iff upred-set.rep-eq*)

11.3 Unrestriction Laws

lemma *unrest-allE*:

$\ll \Sigma \# P; P = \text{true} \implies Q; P = \text{false} \implies Q \gg \implies Q$

by (*pred-auto*)

lemma *unrest-true* [*unrest*]: $x \# \text{true}$

by (*pred-auto*)

lemma *unrest-false* [*unrest*]: $x \# \text{false}$

by (*pred-auto*)

lemma *unrest-conj* [*unrest*]: $\llbracket x \# (P :: 'a \text{ upred}); x \# Q \rrbracket \Longrightarrow x \# P \wedge Q$
by (*pred-auto*)

lemma *unrest-disj* [*unrest*]: $\llbracket x \# (P :: 'a \text{ upred}); x \# Q \rrbracket \Longrightarrow x \# P \vee Q$
by (*pred-auto*)

lemma *unrest-UNF-mem* [*unrest*]:
 $\llbracket (\bigwedge i. i \in A \Longrightarrow x \# P(i)) \rrbracket \Longrightarrow x \# (\bigcap i \in A. P(i))$
by (*pred-simp*, *metis*)

lemma *unrest-USUP-mem* [*unrest*]:
 $\llbracket (\bigwedge i. i \in A \Longrightarrow x \# P(i)) \rrbracket \Longrightarrow x \# (\bigcup i \in A. P(i))$
by (*pred-simp*, *metis*)

lemma *unrest-impl* [*unrest*]: $\llbracket x \# P; x \# Q \rrbracket \Longrightarrow x \# P \Rightarrow Q$
by (*pred-auto*)

lemma *unrest-iff* [*unrest*]: $\llbracket x \# P; x \# Q \rrbracket \Longrightarrow x \# P \Leftrightarrow Q$
by (*pred-auto*)

lemma *unrest-not* [*unrest*]: $x \# (P :: 'a \text{ upred}) \Longrightarrow x \# (\neg P)$
by (*pred-auto*)

The sublens proviso can be thought of as membership below.

lemma *unrest-ex-in* [*unrest*]:
 $\llbracket \text{mwb-lens } y; x \subseteq_L y \rrbracket \Longrightarrow x \# (\exists y. y \cdot P)$
by (*pred-auto*)

declare *sublens-refl* [*simp*]
declare *lens-plus-ub* [*simp*]
declare *lens-plus-right-sublens* [*simp*]
declare *comp-wb-lens* [*simp*]
declare *comp-mwb-lens* [*simp*]
declare *plus-mwb-lens* [*simp*]

lemma *unrest-ex-diff* [*unrest*]:
assumes $x \bowtie y$ $y \# P$
shows $y \# (\exists x. x \cdot P)$
using *assms lens-indep-comm*
by (*rel-auto*, *fastforce*+)

lemma *unrest-all-in* [*unrest*]:
 $\llbracket \text{mwb-lens } y; x \subseteq_L y \rrbracket \Longrightarrow x \# (\forall y. y \cdot P)$
by (*pred-auto*)

lemma *unrest-all-diff* [*unrest*]:
assumes $x \bowtie y$ $y \# P$
shows $y \# (\forall x. x \cdot P)$
using *assms*
by (*pred-simp*, *simp-all add: lens-indep-comm*)

lemma *unrest-var-res-diff* [*unrest*]:
assumes $x \bowtie y$

shows $y \# (P \upharpoonright_v x)$
using *assms* **by** (*pred-auto*)

lemma *unrest-var-res-in* [*unrest*]:
assumes $mwb\text{-}lens\ x\ y \subseteq_L x\ y \# P$
shows $y \# (P \upharpoonright_v x)$
using *assms*
apply (*pred-auto*)
apply *fastforce*
apply (*metis* (*no-types*, *lifting*) *mwb-lens-weak weak-lens.put-get*)
done

lemma *unrest-shEx* [*unrest*]:
assumes $\bigwedge y. x \# P(y)$
shows $x \# (\exists y. P(y))$
using *assms* **by** (*pred-auto*)

lemma *unrest-shAll* [*unrest*]:
assumes $\bigwedge y. x \# P(y)$
shows $x \# (\forall y. P(y))$
using *assms* **by** (*pred-auto*)

lemma *unrest-closure* [*unrest*]:
 $x \# [P]_u$
by (*pred-auto*)

11.4 Used-by laws

lemma *usedBy-not* [*unrest*]:
 $\llbracket x \# P \rrbracket \implies x \# (\neg P)$
by (*pred-simp*)

lemma *usedBy-conj* [*unrest*]:
 $\llbracket x \# P; x \# Q \rrbracket \implies x \# (P \wedge Q)$
by (*pred-simp*)

lemma *usedBy-disj* [*unrest*]:
 $\llbracket x \# P; x \# Q \rrbracket \implies x \# (P \vee Q)$
by (*pred-simp*)

lemma *usedBy-impl* [*unrest*]:
 $\llbracket x \# P; x \# Q \rrbracket \implies x \# (P \Rightarrow Q)$
by (*pred-simp*)

lemma *usedBy-iff* [*unrest*]:
 $\llbracket x \# P; x \# Q \rrbracket \implies x \# (P \Leftrightarrow Q)$
by (*pred-simp*)

11.5 Substitution Laws

Substitution is monotone

lemma *subst-mono*: $P \sqsubseteq Q \implies (\sigma \dagger P) \sqsubseteq (\sigma \dagger Q)$
by (*pred-auto*)

lemma *subst-true* [*usubst*]: $\sigma \dagger true = true$

by (pred-auto)

lemma subst-false [usubst]: $\sigma \dagger \text{false} = \text{false}$
by (pred-auto)

lemma subst-not [usubst]: $\sigma \dagger (\neg P) = (\neg \sigma \dagger P)$
by (pred-auto)

lemma subst-impl [usubst]: $\sigma \dagger (P \Rightarrow Q) = (\sigma \dagger P \Rightarrow \sigma \dagger Q)$
by (pred-auto)

lemma subst-iff [usubst]: $\sigma \dagger (P \Leftrightarrow Q) = (\sigma \dagger P \Leftrightarrow \sigma \dagger Q)$
by (pred-auto)

lemma subst-disj [usubst]: $\sigma \dagger (P \vee Q) = (\sigma \dagger P \vee \sigma \dagger Q)$
by (pred-auto)

lemma subst-conj [usubst]: $\sigma \dagger (P \wedge Q) = (\sigma \dagger P \wedge \sigma \dagger Q)$
by (pred-auto)

lemma subst-sup [usubst]: $\sigma \dagger (P \sqcap Q) = (\sigma \dagger P \sqcap \sigma \dagger Q)$
by (pred-auto)

lemma subst-inf [usubst]: $\sigma \dagger (P \sqcup Q) = (\sigma \dagger P \sqcup \sigma \dagger Q)$
by (pred-auto)

lemma subst-UINF [usubst]: $\sigma \dagger (\prod_{i \in A} P(i)) = (\prod_{i \in A} \sigma \dagger P(i))$
by (pred-auto)

lemma subst-USUP [usubst]: $\sigma \dagger (\bigsqcup_{i \in A} P(i)) = (\bigsqcup_{i \in A} \sigma \dagger P(i))$
by (pred-auto)

lemma subst-closure [usubst]: $\sigma \dagger [P]_u = [P]_u$
by (pred-auto)

lemma subst-shEx [usubst]: $\sigma \dagger (\exists x \cdot P(x)) = (\exists x \cdot \sigma \dagger P(x))$
by (pred-auto)

lemma subst-shAll [usubst]: $\sigma \dagger (\forall x \cdot P(x)) = (\forall x \cdot \sigma \dagger P(x))$
by (pred-auto)

TODO: Generalise the quantifier substitution laws to n-ary substitutions

lemma subst-ex-same [usubst]:
mwb-lens $x \Rightarrow \sigma(x \mapsto_s v) \dagger (\exists x \cdot P) = \sigma \dagger (\exists x \cdot P)$
by (pred-auto)

lemma subst-ex-same' [usubst]:
mwb-lens $x \Rightarrow \sigma(x \mapsto_s v) \dagger (\exists \&x \cdot P) = \sigma \dagger (\exists \&x \cdot P)$
by (pred-auto)

lemma subst-ex-indep [usubst]:
assumes $x \bowtie y \ \# \ v$
shows $(\exists y \cdot P) \llbracket v/x \rrbracket = (\exists y \cdot P \llbracket v/x \rrbracket)$
using assms
apply (pred-auto)

using *lens-indep-comm* **apply** *fastforce* +
done

lemma *subst-ex-unrest* [*usubst*]:
 $x \#_s \sigma \implies \sigma \dagger (\exists x \cdot P) = (\exists x \cdot \sigma \dagger P)$
by (*pred-auto*)

lemma *subst-all-same* [*usubst*]:
 $mwb\text{-}lens\ x \implies \sigma(x \mapsto_s v) \dagger (\forall x \cdot P) = \sigma \dagger (\forall x \cdot P)$
by (*simp add: id-subst subst-unrest unrest-all-in*)

lemma *subst-all-indep* [*usubst*]:
assumes $x \bowtie y \ y \# v$
shows $(\forall y \cdot P) \llbracket v/x \rrbracket = (\forall y \cdot P \llbracket v/x \rrbracket)$
using *assms*
by (*pred-simp, simp-all add: lens-indep-comm*)

lemma *msubst-true* [*usubst*]: $true \llbracket x \rightarrow v \rrbracket = true$
by (*pred-auto*)

lemma *msubst-false* [*usubst*]: $false \llbracket x \rightarrow v \rrbracket = false$
by (*pred-auto*)

lemma *msubst-not* [*usubst*]: $(\neg P(x)) \llbracket x \rightarrow v \rrbracket = (\neg ((P\ x) \llbracket x \rightarrow v \rrbracket))$
by (*pred-auto*)

lemma *msubst-not-2* [*usubst*]: $(\neg P\ x\ y) \llbracket (x,y) \rightarrow v \rrbracket = (\neg ((P\ x\ y) \llbracket (x,y) \rightarrow v \rrbracket))$
by (*pred-auto*) +

lemma *msubst-disj* [*usubst*]: $(P(x) \vee Q(x)) \llbracket x \rightarrow v \rrbracket = ((P(x)) \llbracket x \rightarrow v \rrbracket \vee (Q(x)) \llbracket x \rightarrow v \rrbracket)$
by (*pred-auto*)

lemma *msubst-disj-2* [*usubst*]: $(P\ x\ y \vee Q\ x\ y) \llbracket (x,y) \rightarrow v \rrbracket = ((P\ x\ y) \llbracket (x,y) \rightarrow v \rrbracket \vee (Q\ x\ y) \llbracket (x,y) \rightarrow v \rrbracket)$
by (*pred-auto*) +

lemma *msubst-conj* [*usubst*]: $(P(x) \wedge Q(x)) \llbracket x \rightarrow v \rrbracket = ((P(x)) \llbracket x \rightarrow v \rrbracket \wedge (Q(x)) \llbracket x \rightarrow v \rrbracket)$
by (*pred-auto*)

lemma *msubst-conj-2* [*usubst*]: $(P\ x\ y \wedge Q\ x\ y) \llbracket (x,y) \rightarrow v \rrbracket = ((P\ x\ y) \llbracket (x,y) \rightarrow v \rrbracket \wedge (Q\ x\ y) \llbracket (x,y) \rightarrow v \rrbracket)$
by (*pred-auto*) +

lemma *msubst-implies* [*usubst*]:
 $(P\ x \Rightarrow Q\ x) \llbracket x \rightarrow v \rrbracket = ((P\ x) \llbracket x \rightarrow v \rrbracket \Rightarrow (Q\ x) \llbracket x \rightarrow v \rrbracket)$
by (*pred-auto*)

lemma *msubst-implies-2* [*usubst*]:
 $(P\ x\ y \Rightarrow Q\ x\ y) \llbracket (x,y) \rightarrow v \rrbracket = ((P\ x\ y) \llbracket (x,y) \rightarrow v \rrbracket \Rightarrow (Q\ x\ y) \llbracket (x,y) \rightarrow v \rrbracket)$
by (*pred-auto*) +

lemma *msubst-shAll* [*usubst*]:
 $(\forall x \cdot P\ x\ y) \llbracket y \rightarrow v \rrbracket = (\forall x \cdot (P\ x\ y) \llbracket y \rightarrow v \rrbracket)$
by (*pred-auto*)

lemma *msubst-shAll-2* [*usubst*]:
 $(\forall x \cdot P\ x\ y\ z) \llbracket (y,z) \rightarrow v \rrbracket = (\forall x \cdot (P\ x\ y\ z) \llbracket (y,z) \rightarrow v \rrbracket)$
by (*pred-auto*) +

11.6 Sandbox for conjectures

definition *utp-sandbox* :: 'α upred ⇒ bool (TRY'(-')) **where**
 TRY(P) = (P = undefined)

translations

P ≤ CONST *utp-sandbox* *P*

end

12 Alphabet Manipulation

theory *utp-alphabet*

imports

utp-pred utp-usedby

begin

12.1 Preliminaries

Alphabets are simply types that characterise the state-space of an expression. Thus the Isabelle type system ensures that predicates cannot refer to variables not in the alphabet as this would be a type error. Often one would like to add or remove additional variables, for example if we wish to have a predicate which ranges only a smaller state-space, and then lift it into a predicate over a larger one. This is useful, for example, when dealing with relations which refer only to undashed variables (conditions) since we can use the type system to ensure well-formedness.

In this theory we will set up operators for extending and contracting an alphabet. We first set up a theorem attribute for alphabet laws and a tactic.

named-theorems *alpha*

method *alpha-tac* = (*simp add: alpha unrest*)?

12.2 Alphabet Extrusion

Alter an alphabet by application of a lens that demonstrates how the smaller alphabet (β) injects into the larger alphabet (α). This changes the type of the expression so it is parametrised over the large alphabet. We do this by using the lens *get* function to extract the smaller state binding, and then apply this to the expression.

We call this "extrusion" rather than "extension" because if the extension lens is bijective then it does not extend the alphabet. Nevertheless, it does have an effect because the type will be different which can be useful when converting predicates with equivalent alphabets.

lift-definition *aext* :: ('a, 'β) *uexpr* ⇒ ('β ⇒ 'α) ⇒ ('a, 'α) *uexpr* (**infixr** ⊕_p 95)

is λ *P x b*. *P* (*get_x* *b*) .

utp-const *aext*(1)

update-uexpr-rep-eq-thms

Next we prove some of the key laws. Extending an alphabet twice is equivalent to extending by the composition of the two lenses.

lemma *aext-twice*: (*P* ⊕_p *a*) ⊕_p *b* = *P* ⊕_p (*a* ;_L *b*)

by (*pred-auto*)

The bijective Σ lens identifies the source and view types. Thus an alphabet extension using this has no effect.

lemma *aext-id* [simp]: $P \oplus_p 1_L = P$
by (*pred-auto*)

Literals do not depend on any variables, and thus applying an alphabet extension only alters the predicate's type, and not its valuation .

lemma *aext-lit* [simp]: $\ll v \gg \oplus_p a = \ll v \gg$
by (*pred-auto*)

lemma *aext-zero* [simp]: $0 \oplus_p a = 0$
by (*pred-auto*)

lemma *aext-one* [simp]: $1 \oplus_p a = 1$
by (*pred-auto*)

lemma *aext-numeral* [simp]: $\text{numeral } n \oplus_p a = \text{numeral } n$
by (*pred-auto*)

lemma *aext-true* [simp]: $\text{true} \oplus_p a = \text{true}$
by (*pred-auto*)

lemma *aext-false* [simp]: $\text{false} \oplus_p a = \text{false}$
by (*pred-auto*)

lemma *aext-not* [alpha]: $(\neg P) \oplus_p x = (\neg (P \oplus_p x))$
by (*pred-auto*)

lemma *aext-and* [alpha]: $(P \wedge Q) \oplus_p x = (P \oplus_p x \wedge Q \oplus_p x)$
by (*pred-auto*)

lemma *aext-or* [alpha]: $(P \vee Q) \oplus_p x = (P \oplus_p x \vee Q \oplus_p x)$
by (*pred-auto*)

lemma *aext-imp* [alpha]: $(P \Rightarrow Q) \oplus_p x = (P \oplus_p x \Rightarrow Q \oplus_p x)$
by (*pred-auto*)

lemma *aext-iff* [alpha]: $(P \Leftrightarrow Q) \oplus_p x = (P \oplus_p x \Leftrightarrow Q \oplus_p x)$
by (*pred-auto*)

lemma *aext-shEx* [alpha]: $(\exists x \cdot P x) \oplus_p a = (\exists x \cdot P x \oplus_p a)$
by (*rel-auto*)

lemma *aext-shAll* [alpha]: $(\forall x \cdot P(x)) \oplus_p a = (\forall x \cdot P(x) \oplus_p a)$
by (*pred-auto*)

lemma *aext-UINF-ind* [alpha]: $(\bigcap x \cdot P x) \oplus_p a = (\bigcap x \cdot (P x \oplus_p a))$
by (*pred-auto*)

lemma *aext-UINF-ind-2* [alpha]: $(\bigcap (i, j) \cdot P i j) \oplus_p a = (\bigcap (i, j) \cdot P i j \oplus_p a)$
by (*rel-auto*)

lemma *aext-UINF-mem* [alpha]: $(\bigcap x \in A \cdot P x) \oplus_p a = (\bigcap x \in A \cdot (P x \oplus_p a))$
by (*pred-auto*)

Alphabet extension distributes through the function liftings.

lemma *aext-uop* [*alpha*]: $uop\ f\ u\ \oplus_p\ a = uop\ f\ (u\ \oplus_p\ a)$
by (*pred-auto*)

lemma *aext-bop* [*alpha*]: $bop\ f\ u\ v\ \oplus_p\ a = bop\ f\ (u\ \oplus_p\ a)\ (v\ \oplus_p\ a)$
by (*pred-auto*)

lemma *aext-trop* [*alpha*]: $trop\ f\ u\ v\ w\ \oplus_p\ a = trop\ f\ (u\ \oplus_p\ a)\ (v\ \oplus_p\ a)\ (w\ \oplus_p\ a)$
by (*pred-auto*)

lemma *aext-qtrop* [*alpha*]: $qtrop\ f\ u\ v\ w\ x\ \oplus_p\ a = qtrop\ f\ (u\ \oplus_p\ a)\ (v\ \oplus_p\ a)\ (w\ \oplus_p\ a)\ (x\ \oplus_p\ a)$
by (*pred-auto*)

lemma *aext-plus* [*alpha*]:
 $(x + y)\ \oplus_p\ a = (x\ \oplus_p\ a) + (y\ \oplus_p\ a)$
by (*pred-auto*)

lemma *aext-minus* [*alpha*]:
 $(x - y)\ \oplus_p\ a = (x\ \oplus_p\ a) - (y\ \oplus_p\ a)$
by (*pred-auto*)

lemma *aext-uminus* [*simp*]:
 $(-x)\ \oplus_p\ a = -(x\ \oplus_p\ a)$
by (*pred-auto*)

lemma *aext-times* [*alpha*]:
 $(x * y)\ \oplus_p\ a = (x\ \oplus_p\ a) * (y\ \oplus_p\ a)$
by (*pred-auto*)

lemma *aext-divide* [*alpha*]:
 $(x / y)\ \oplus_p\ a = (x\ \oplus_p\ a) / (y\ \oplus_p\ a)$
by (*pred-auto*)

Extending a variable expression over x is equivalent to composing x with the alphabet, thus effectively yielding a variable whose source is the large alphabet.

lemma *aext-var* [*alpha*]:
 $var\ x\ \oplus_p\ a = var\ (x\ ;_L\ a)$
by (*pred-auto*)

lemma *aext-ulambda* [*alpha*]: $((\lambda\ x \cdot P(x))\ \oplus_p\ a) = (\lambda\ x \cdot P(x)\ \oplus_p\ a)$
by (*pred-auto*)

Alphabet extension is monotonic and continuous.

lemma *aext-mono*: $P \sqsubseteq Q \implies P\ \oplus_p\ a \sqsubseteq Q\ \oplus_p\ a$
by (*pred-auto*)

lemma *aext-cont* [*alpha*]: $vwb\text{-}lens\ a \implies (\bigsqcap\ A)\ \oplus_p\ a = (\bigsqcap\ P \in A.\ P\ \oplus_p\ a)$
by (*pred-simp*)

If a variable is unrestricted in a predicate, then the extended variable is unrestricted in the predicate with an alphabet extension.

lemma *unrest-aext* [*unrest*]:
 $\llbracket mwb\text{-}lens\ a;\ x\ \sharp\ p \rrbracket \implies unrest\ (x\ ;_L\ a)\ (p\ \oplus_p\ a)$
by (*transfer, simp add: lens-comp-def*)

If a given variable (or alphabet) b is independent of the extension lens a , that is, it is outside the original state-space of p , then it follows that once p is extended by a then b cannot be restricted.

lemma *unrest-aext-indep* [*unrest*]:

$a \bowtie b \implies b \# (p \oplus_p a)$

by *pred-auto*

12.3 Expression Alphabet Restriction

Restrict an alphabet by application of a lens that demonstrates how the smaller alphabet (β) injects into the larger alphabet (α). Unlike extension, this operation can lose information if the expressions refers to variables in the larger alphabet.

lift-definition *arestr* :: $('a, ' \alpha) \text{ uexpr} \Rightarrow (' \beta \implies ' \alpha) \Rightarrow ('a, ' \beta) \text{ uexpr}$ (**infixr** \downarrow_e 90)

is $\lambda P x b. P (\text{create}_x b)$.

utp-const *arestr*(1)

update-uexpr-rep-eq-thms

lemma *arestr-id* [*simp*]: $P \downarrow_e 1_L = P$

by (*pred-auto*)

lemma *arestr-aext* [*simp*]: $\text{mwb-lens } a \implies (P \oplus_p a) \downarrow_e a = P$

by (*pred-auto*)

If an expression's alphabet can be divided into two disjoint sections and the expression does not depend on the second half then restricting the expression to the first half is loss-less.

lemma *aext-arestr* [*alpha*]:

assumes *mwb-lens* a *bij-lens* $(a +_L b)$ $a \bowtie b$ $b \# P$

shows $(P \downarrow_e a) \oplus_p a = P$

proof –

from *assms*(2) **have** $1_L \subseteq_L a +_L b$

by (*simp add: bij-lens-equiv-id lens-equiv-def*)

with *assms*(1,3,4) **show** *?thesis*

apply (*auto simp add: id-lens-def lens-plus-def sublens-def lens-comp-def prod.case-eq-if*)

apply (*pred-simp*)

apply (*metis lens-indep-comm mwb-lens-weak weak-lens.put-get*)

done

qed

lemma *aext-arestr-symLens* [*alpha*]:

assumes *sym-lens* a *unrest* $\mathcal{C}_a P$

shows $(P \downarrow_e \mathcal{V}_a) \oplus_p \mathcal{V}_a = P$

using *assms*

by (*rel-auto'*, *metis* (*no-types*, *lifting*) *lens-indep-def sym-lens.indep-region-coreregion sym-lens.put-region-coreregion-cover*)

Alternative formulation of the above law using *used-by* instead of *unrestriction*.

lemma *aext-arestr'* [*alpha*]:

assumes $a \# P$

shows $(P \downarrow_e a) \oplus_p a = P$

by (*rel-simp*, *metis* *assms lens-override-def usedBy-uexpr.rep-eq*)

lemma *arestr-lit* [*simp*]: $\langle\!\langle v \rangle\!\rangle \downarrow_e a = \langle\!\langle v \rangle\!\rangle$

by (*pred-auto*)

lemma *arestr-zero* [simp]: $0 \upharpoonright_e a = 0$
by (*pred-auto*)

lemma *arestr-one* [simp]: $1 \upharpoonright_e a = 1$
by (*pred-auto*)

lemma *arestr-numeral* [simp]: $\text{numeral } n \upharpoonright_e a = \text{numeral } n$
by (*pred-auto*)

lemma *arestr-var* [simp]:
 $\text{var } x \upharpoonright_e a = \text{var } (x /_L a)$
by (*pred-auto*)

lemma *arestr-true* [simp]: $\text{true} \upharpoonright_e a = \text{true}$
by (*pred-auto*)

lemma *arestr-false* [simp]: $\text{false} \upharpoonright_e a = \text{false}$
by (*pred-auto*)

lemma *arestr-not* [alpha]: $(\neg P) \upharpoonright_e a = (\neg (P \upharpoonright_e a))$
by (*pred-auto*)

lemma *arestr-and* [alpha]: $(P \wedge Q) \upharpoonright_e x = (P \upharpoonright_e x \wedge Q \upharpoonright_e x)$
by (*pred-auto*)

lemma *arestr-or* [alpha]: $(P \vee Q) \upharpoonright_e x = (P \upharpoonright_e x \vee Q \upharpoonright_e x)$
by (*pred-auto*)

lemma *arestr-imp* [alpha]: $(P \Rightarrow Q) \upharpoonright_e x = (P \upharpoonright_e x \Rightarrow Q \upharpoonright_e x)$
by (*pred-auto*)

lemma *arestr-eq* [alpha]: $(P =_u Q) \upharpoonright_e x = (P \upharpoonright_e x =_u Q \upharpoonright_e x)$
by (*pred-auto*)

lemma *ares-UINF-ind* [alpha]: $(\bigwedge i \cdot P i) \upharpoonright_e a = (\bigwedge i \cdot P i \upharpoonright_e a)$
by (*rel-auto*)

lemma *ares-UINF-ind-2* [alpha]: $(\bigwedge (i, j) \cdot P i j) \upharpoonright_e a = (\bigwedge (i, j) \cdot P i j \upharpoonright_e a)$
by (*rel-auto*)

12.4 Predicate Alphabet Restriction

In order to restrict the variables of a predicate, we also need to existentially quantify away the other variables. We can't do this at the level of expressions, as quantifiers are not applicable here. Consequently, we need a specialised version of alphabet restriction for predicates. It both restricts the variables using quantification and then removes them from the alphabet type using expression restriction.

definition *upred-ares* :: $'\alpha \text{ upred} \Rightarrow (' \beta \Longrightarrow ' \alpha) \Rightarrow ' \beta \text{ upred}$
where [*upred-defs*]: $\text{upred-ares } P a = (P \upharpoonright_v a) \upharpoonright_e a$

utp-const *upred-ares*(1)

syntax

-upred-ares :: *logic* \Rightarrow *salpha* \Rightarrow *logic* (**infixl** \vdash_p 90)

translations

-upred-ares *P a* == *CONST upred-ares P a*

lemma *upred-aext-ares* [*alpha*]:

vwb-lens a \Longrightarrow *P* \oplus_p *a* \vdash_p *a* = *P*

by (*pred-auto*)

lemma *upred-ares-aext* [*alpha*]:

a \nmid *P* \Longrightarrow (*P* \vdash_p *a*) \oplus_p *a* = *P*

by (*pred-auto*)

lemma *upred-arestr-lit* [*simp*]: $\ll v \gg \vdash_p a = \ll v \gg$

by (*pred-auto*)

lemma *upred-arestr-true* [*simp*]: *true* $\vdash_p a = true$

by (*pred-auto*)

lemma *upred-arestr-false* [*simp*]: *false* $\vdash_p a = false$

by (*pred-auto*)

lemma *upred-arestr-or* [*alpha*]: (*P* \vee *Q*) $\vdash_p x = (P \vdash_p x \vee Q \vdash_p x)$

by (*pred-auto*)

12.5 Alphabet Lens Laws

lemma *alpha-in-var* [*alpha*]: *x* ;_L *fst*_L = *in-var x*

by (*simp add: in-var-def*)

lemma *alpha-out-var* [*alpha*]: *x* ;_L *snd*_L = *out-var x*

by (*simp add: out-var-def*)

lemma *in-var-prod-lens* [*alpha*]:

wb-lens Y \Longrightarrow *in-var x* ;_L (*X* \times_L *Y*) = *in-var (x ;_L X)*

by (*simp add: in-var-def prod-as-plus fst-lens-plus lens-comp-assoc* [*THEN sym*] *del: lens-comp-assoc*)

lemma *out-var-prod-lens* [*alpha*]:

wb-lens X \Longrightarrow *out-var x* ;_L (*X* \times_L *Y*) = *out-var (x ;_L Y)*

apply (*simp add: out-var-def prod-as-plus lens-comp-assoc* [*THEN sym*] *del: lens-comp-assoc*)

apply (*subst snd-lens-plus*)

using *comp-wb-lens fst-vwb-lens vwb-lens-wb* **apply** *blast*

apply (*simp add: alpha-in-var alpha-out-var*)

apply (*simp*)

done

12.6 Substitution Alphabet Extension

This allows us to extend the alphabet of a substitution, in a similar way to expressions.

lift-definition *subst-aext* :: '*α* *usubst* \Rightarrow ('*α* \Longrightarrow '*β*) \Rightarrow '*β* *usubst* (**infix** \oplus_s 65)

is $\lambda \sigma x. (\lambda s. put_x s (\sigma (get_x s)))$.

utp-const *subst-aext*(1)

update-uexpr-rep-eq-thms

lemma *id-subst-ext* [*usubst*]:

wb-lens $x \implies id_s \oplus_s x = id_s$

by *pred-auto*

lemma *upd-subst-ext* [*alpha*]:

wb-lens $x \implies \sigma(y \mapsto_s v) \oplus_s x = (\sigma \oplus_s x)(\&x:y \mapsto_s v \oplus_p x)$

by *pred-auto*

lemma *apply-subst-ext* [*alpha*]:

wb-lens $x \implies (\sigma \dagger e) \oplus_p x = (\sigma \oplus_s x) \dagger (e \oplus_p x)$

by (*pred-auto*)

lemma *aext-upred-eq* [*alpha*]:

$((e =_u f) \oplus_p a) = ((e \oplus_p a) =_u (f \oplus_p a))$

by (*pred-auto*)

lemma *subst-aext-comp* [*usubst*]:

wb-lens $a \implies (\sigma \oplus_s a) \circ_s (\varrho \oplus_s a) = (\sigma \circ_s \varrho) \oplus_s a$

by *pred-auto*

lemma *subst-arestr* [*usubst*]: *wb-lens* $a \implies \sigma \dagger (P \vdash_e a) = (((\sigma \oplus_s a) \dagger P) \vdash_e a)$

by (*pred-auto*)

lemma *subst-lit-aext* [*usubst*]: *weak-lens* $a \implies (P \oplus_p a)[\llbracket v \rrbracket / \&a;x] = (P[\llbracket v \rrbracket / \&x] \oplus_p a)$

by (*rel-simp*)

12.7 Substitution Alphabet Restriction

This allows us to reduce the alphabet of a substitution, in a similar way to expressions.

lift-definition *subst-ares* :: $'\alpha \text{ usubst} \Rightarrow (' \beta \implies ' \alpha) \Rightarrow ' \beta \text{ usubst}$ (**infix** \vdash_s 65)

is $\lambda \sigma x. (\lambda s. get_x (\sigma (create_x s)))$.

utp-const *subst-ares*(1)

update-uexpr-rep-eq-thms

lemma *id-subst-res* [*usubst*]:

mwb-lens $x \implies id_s \vdash_s x = id_s$

by *pred-auto*

lemma *upd-subst-res* [*alpha*]:

mwb-lens $x \implies \sigma(\&x:y \mapsto_s v) \vdash_s x = (\sigma \vdash_s x)(\&y \mapsto_s v \vdash_e x)$

by (*pred-auto*)

lemma *subst-ext-res* [*usubst*]:

mwb-lens $x \implies (\sigma \oplus_s x) \vdash_s x = \sigma$

by (*pred-auto*)

lemma *unrest-subst-alpha-ext* [*unrest*]:

$x \bowtie y \implies x \#_s (\sigma \oplus_s y)$

by (*pred-simp robust, metis lens-indep-def*)

end

13 Lifting Expressions

```
theory utp-lift
  imports
    utp-alphabet utp-lift-pretty
begin
```

13.1 Lifting definitions

We define operators for converting an expression to and from a relational state space with the help of alphabet extrusion and restriction. In general throughout Isabelle/UTP we adopt the notation $\lceil P \rceil$ with some subscript to denote lifting an expression into a larger alphabet, and $\lfloor P \rfloor$ for dropping into a smaller alphabet.

The following two functions lift and drop an expression, respectively, whose alphabet is $'\alpha$, into a product alphabet $'\alpha \times '\beta$. This allows us to deal with expressions which refer only to undashed variables, and use the type-system to ensure this.

abbreviation $\text{lift-pre} :: ('a, '\alpha) \text{ueexpr} \Rightarrow ('a, '\alpha \times '\beta) \text{ueexpr} (\lceil - \rceil_<)$
where $\lceil P \rceil_< \equiv P \oplus_p \text{fst}_L$

notation $\text{lift-pre} \ (-< [999] \ 999)$

abbreviation $\text{drop-pre} :: ('a, '\alpha \times '\beta) \text{ueexpr} \Rightarrow ('a, '\alpha) \text{ueexpr} (\lfloor - \rfloor_<)$
where $\lfloor P \rfloor_< \equiv P \upharpoonright_e \text{fst}_L$

The following two functions lift and drop an expression, respectively, whose alphabet is $'\beta$, into a product alphabet $'\alpha \times '\beta$. This allows us to deal with expressions which refer only to dashed variables.

abbreviation $\text{lift-post} :: ('a, '\beta) \text{ueexpr} \Rightarrow ('a, '\alpha \times '\beta) \text{ueexpr} (\lceil - \rceil_>)$
where $\lceil P \rceil_> \equiv P \oplus_p \text{snd}_L$

notation $\text{lift-post} \ (-> [999] \ 999)$

abbreviation $\text{drop-post} :: ('a, '\alpha \times '\beta) \text{ueexpr} \Rightarrow ('a, '\beta) \text{ueexpr} (\lfloor - \rfloor_>)$
where $\lfloor P \rfloor_> \equiv P \upharpoonright_e \text{snd}_L$

13.2 Lifting Laws

With the help of our alphabet laws, we can prove some intuitive laws about alphabet lifting. For example, lifting variables yields an unprimed or primed relational variable expression, respectively.

lemma $\text{lift-pre-var} \ [\text{simp}]$:
 $\lceil \text{var } x \rceil_< = \x
by (alpha-tac)

lemma $\text{lift-post-var} \ [\text{simp}]$:
 $\lceil \text{var } x \rceil_> = \x'
by (alpha-tac)

13.3 Substitution Laws

lemma $\text{pre-var-subst} \ [\text{usubst}]$:
 $\sigma(\$x \mapsto_s \ll v \gg) \upharpoonright \lceil P \rceil_< = \sigma \upharpoonright \lceil P[\ll v \gg / \&x] \rceil_<$
by (pred-simp)

13.4 Unrestriction laws

Crucially, the lifting operators allow us to demonstrate unrestricted properties. For example, we can show that no primed variable is restricted in an expression over only the first element of the state-space product type.

```
lemma unrest-dash-var-pre [unrest]:  
  fixes  $x :: ('a \Rightarrow 'a)$   
  shows  $\$x' \# [p]_<$   
  by (pred-auto)
```

13.5 Parser and Pretty Printer

```
utp-const lift-pre drop-pre lift-post drop-post
```

```
term  $U((p::'a \text{ upred})^< \leq p^<)$ 
```

```
term  $U(1^< \leq p^< \wedge \text{true})$ 
```

```
term  $U(p^< \leq p^>)$ 
```

```
end
```

14 Predicate Calculus Laws

```
theory utp-pred-laws  
  imports utp-pred utp-lift-pretty  
begin
```

14.1 Propositional Logic

Showing that predicates form a Boolean Algebra (under the predicate operators as opposed to the lattice operators) gives us many useful laws.

```
interpretation boolean-algebra diff-upred not-upred conj-upred ( $\leq$ ) ( $<$ )  
  disj-upred false-upred true-upred  
  by (unfold-locales; pred-auto)
```

```
lemma taut-true [simp]:  $\text{'true'}$   
  by (pred-auto)
```

```
lemma taut-false [simp]:  $\text{'false'}$  = False  
  by (pred-auto)
```

```
lemma taut-conj:  $A \wedge B = (A \wedge B)$   
  by (rel-auto)
```

```
lemma taut-conj-elim [elim!]:  
   $\llbracket A \wedge B; \llbracket A; B \rrbracket \Longrightarrow P \rrbracket \Longrightarrow P$   
  by (rel-auto)
```

```
lemma taut-refine-impl:  $\llbracket Q \sqsubseteq P; P' \rrbracket \Longrightarrow Q'$   
  by (rel-auto)
```

```
lemma taut-shEx-elim:  
   $\llbracket (\exists x. P x); \bigwedge x. \Sigma \# P x; \bigwedge x. P x \rrbracket \Longrightarrow Q \rrbracket \Longrightarrow Q$ 
```

by (*rel-blast*)

Linking refinement and HOL implication

lemma *refine-prop-intro*:

assumes $\Sigma \# P \Sigma \# Q \text{ 'Q' } \Longrightarrow \text{ 'P' }$

shows $P \sqsubseteq Q$

using *assms*

by (*pred-auto*)

lemma *taut-not*: $\Sigma \# P \Longrightarrow (\neg \text{ 'P' }) = \text{ '}\neg P\text{'}$

by (*rel-auto*)

lemma *taut-shAll-intro*:

$\forall x. \text{ 'P x' } \Longrightarrow \text{ '}\forall x. P x\text{'}$

by (*rel-auto*)

lemma *taut-shAll-intro-2*:

$\forall x y. \text{ 'P x y' } \Longrightarrow \text{ '}\forall (x, y). P x y\text{'}$

by (*rel-auto*)

lemma *taut-impl-intro*:

$\llbracket \Sigma \# P; \text{ 'P' } \Longrightarrow \text{ 'Q' } \rrbracket \Longrightarrow \text{ 'P } \Rightarrow Q\text{'}$

by (*rel-auto*)

lemma *upred-eval-taut*:

$\text{ 'P } \llbracket \ll b \gg / \& \mathbf{v} \rrbracket \text{ ' } = \llbracket P \rrbracket_e b$

by (*pred-auto*)

lemma *refBy-order*: $P \sqsubseteq Q = \text{ 'Q } \Rightarrow P\text{'}$

by (*pred-auto*)

lemma *conj-idem* [*simp*]: $((P::'\alpha \text{ upred}) \wedge P) = P$

by (*pred-auto*)

lemma *disj-idem* [*simp*]: $((P::'\alpha \text{ upred}) \vee P) = P$

by (*pred-auto*)

lemma *conj-comm*: $((P::'\alpha \text{ upred}) \wedge Q) = (Q \wedge P)$

by (*pred-auto*)

lemma *disj-comm*: $((P::'\alpha \text{ upred}) \vee Q) = (Q \vee P)$

by (*pred-auto*)

lemma *conj-subst*: $P = R \Longrightarrow ((P::'\alpha \text{ upred}) \wedge Q) = (R \wedge Q)$

by (*pred-auto*)

lemma *disj-subst*: $P = R \Longrightarrow ((P::'\alpha \text{ upred}) \vee Q) = (R \vee Q)$

by (*pred-auto*)

lemma *conj-assoc*: $((P::'\alpha \text{ upred}) \wedge Q) \wedge S = (P \wedge (Q \wedge S))$

by (*pred-auto*)

lemma *disj-assoc*: $((P::'\alpha \text{ upred}) \vee Q) \vee S = (P \vee (Q \vee S))$

by (*pred-auto*)

lemma *conj-disj-abs*: $((P::'\alpha \text{ upred}) \wedge (P \vee Q)) = P$
by (*pred-auto*)

lemma *disj-conj-abs*: $((P::'\alpha \text{ upred}) \vee (P \wedge Q)) = P$
by (*pred-auto*)

lemma *conj-disj-distr*: $((P::'\alpha \text{ upred}) \wedge (Q \vee R)) = ((P \wedge Q) \vee (P \wedge R))$
by (*pred-auto*)

lemma *disj-conj-distr*: $((P::'\alpha \text{ upred}) \vee (Q \wedge R)) = ((P \vee Q) \wedge (P \vee R))$
by (*pred-auto*)

lemma *true-disj-zero* [*simp*]:
 $(P \vee \text{true}) = \text{true} \quad (\text{true} \vee P) = \text{true}$
by (*pred-auto*) +

lemma *true-conj-zero* [*simp*]:
 $(P \wedge \text{false}) = \text{false} \quad (\text{false} \wedge P) = \text{false}$
by (*pred-auto*) +

lemma *false-sup* [*simp*]: $\text{false} \sqcap P = P \sqcap \text{false} = P$
by (*pred-auto*) +

lemma *true-inf* [*simp*]: $\text{true} \sqcup P = P \sqcup \text{true} = P$
by (*pred-auto*) +

lemma *imp-vacuous* [*simp*]: $(\text{false} \Rightarrow u) = \text{true}$
by (*pred-auto*)

lemma *imp-true* [*simp*]: $(p \Rightarrow \text{true}) = \text{true}$
by (*pred-auto*)

lemma *true-imp* [*simp*]: $(\text{true} \Rightarrow p) = p$
by (*pred-auto*)

lemma *impl-mp1* [*simp*]: $(P \wedge (P \Rightarrow Q)) = (P \wedge Q)$
by (*pred-auto*)

lemma *impl-mp2* [*simp*]: $((P \Rightarrow Q) \wedge P) = (Q \wedge P)$
by (*pred-auto*)

lemma *impl-adjoin*: $((P \Rightarrow Q) \wedge R) = ((P \wedge R \Rightarrow Q \wedge R) \wedge R)$
by (*pred-auto*)

lemma *impl-refine-intro*:
 $\llbracket Q_1 \sqsubseteq P_1; P_2 \sqsubseteq (P_1 \wedge Q_2) \rrbracket \Longrightarrow (P_1 \Rightarrow P_2) \sqsubseteq (Q_1 \Rightarrow Q_2)$
by (*pred-auto*)

lemma *spec-refine*:
 $Q \sqsubseteq (P \wedge R) \Longrightarrow (P \Rightarrow Q) \sqsubseteq R$
by (*rel-auto*)

lemma *impl-disjI*: $\llbracket 'P \Rightarrow R'; 'Q \Rightarrow R' \rrbracket \Longrightarrow '(P \vee Q) \Rightarrow R'$
by (*rel-auto*)

lemma *conditional-iff*:
 $(P \Rightarrow Q) = (P \Rightarrow R) \longleftrightarrow 'P \Rightarrow (Q \Leftrightarrow R)'$
by (*pred-auto*)

lemma *p-and-not-p* [*simp*]: $(P \wedge \neg P) = \text{false}$
by (*pred-auto*)

lemma *p-or-not-p* [*simp*]: $(P \vee \neg P) = \text{true}$
by (*pred-auto*)

lemma *p-imp-p* [*simp*]: $(P \Rightarrow P) = \text{true}$
by (*pred-auto*)

lemma *p-iff-p* [*simp*]: $(P \Leftrightarrow P) = \text{true}$
by (*pred-auto*)

lemma *p-imp-false* [*simp*]: $(P \Rightarrow \text{false}) = (\neg P)$
by (*pred-auto*)

lemma *not-conj-deMorgans* [*simp*]: $(\neg ((P::'\alpha \text{ upred}) \wedge Q)) = ((\neg P) \vee (\neg Q))$
by (*pred-auto*)

lemma *not-disj-deMorgans* [*simp*]: $(\neg ((P::'\alpha \text{ upred}) \vee Q)) = ((\neg P) \wedge (\neg Q))$
by (*pred-auto*)

lemma *conj-disj-not-abs* [*simp*]: $((P::'\alpha \text{ upred}) \wedge ((\neg P) \vee Q)) = (P \wedge Q)$
by (*pred-auto*)

lemma *subsumption1*:
 $'P \Rightarrow Q' \Longrightarrow (P \vee Q) = Q$
by (*pred-auto*)

lemma *subsumption2*:
 $'Q \Rightarrow P' \Longrightarrow (P \vee Q) = P$
by (*pred-auto*)

lemma *neg-conj-cancel1*: $(\neg P \wedge (P \vee Q)) = (\neg P \wedge Q :: '\alpha \text{ upred})$
by (*pred-auto*)

lemma *neg-conj-cancel2*: $(\neg Q \wedge (P \vee Q)) = (\neg Q \wedge P :: '\alpha \text{ upred})$
by (*pred-auto*)

lemma *double-negation* [*simp*]: $(\neg \neg (P::'\alpha \text{ upred})) = P$
by (*pred-auto*)

lemma *true-not-false* [*simp*]: $\text{true} \neq \text{false} \text{ false} \neq \text{true}$
by (*pred-auto*)⁺

lemma *closure-conj-distr*: $([P]_u \wedge [Q]_u) = [P \wedge Q]_u$
by (*pred-auto*)

lemma *closure-imp-distr*: $'[P \Rightarrow Q]_u \Rightarrow [P]_u \Rightarrow [Q]_u'$
by (*pred-auto*)

lemma *true-iff* [*simp*]: $(P \Leftrightarrow \text{true}) = P$

by (pred-auto)

lemma *taut-iff-eq*:

‘ $P \Leftrightarrow Q$ ’ $\longleftrightarrow (P = Q)$

by (pred-auto)

lemma *impl-alt-def*: $(P \Rightarrow Q) = (\neg P \vee Q)$

by (pred-auto)

14.2 Lattice laws

lemma *uinf-or*:

fixes $P Q :: 'a \text{ upred}$

shows $(P \sqcap Q) = (P \vee Q)$

by (pred-auto)

lemma *usup-and*:

fixes $P Q :: 'a \text{ upred}$

shows $(P \sqcup Q) = (P \wedge Q)$

by (pred-auto)

lemma *USUP-true [simp]*: $(\bigsqcup P \cdot \text{true}) = \text{true}$

by (pred-auto)

lemma *USUP-false [simp]*: $(\bigsqcup i \cdot \text{false}) = \text{false}$

by (pred-simp)

lemma *USUP-mem-false [simp]*: $I \neq \{\} \Longrightarrow (\bigsqcup i \in I \cdot \text{false}) = \text{false}$

by (rel-simp)

lemma *UINF-true [simp]*: $(\bigsqcap i \cdot \text{true}) = \text{true}$

by (pred-simp)

lemma *UINF-ind-const [simp]*:

$(\bigsqcap i \cdot P) = P$

by (pred-simp)

lemma *UINF-mem-true [simp]*: $A \neq \{\} \Longrightarrow (\bigsqcap i \in A \cdot \text{true}) = \text{true}$

by (pred-auto)

lemma *UINF-false [simp]*: $(\bigsqcap i \cdot \text{false}) = \text{false}$

by (pred-auto)

lemma *UINF-cong-eq*:

$\llbracket A = B; \bigwedge x. x \in A \Longrightarrow 'Q_1(x) =_u Q_2(x)' \rrbracket \Longrightarrow$

$(\bigsqcap x \in A \cdot Q_1(x)) = (\bigsqcap x \in B \cdot Q_2(x))$

by (pred-simp, metis (mono-tags, hide-lams))

lemma *UINF-as-Sup*: $(\bigsqcap P \in \mathcal{P} \cdot P) = \bigsqcap \mathcal{P}$

apply (simp add: upred-defs uexpr-appl.rep-eq lit.rep-eq Sup-uexpr-def)

apply (pred-simp)

apply (rule cong[of Sup])

apply (auto)

done

lemma *UINF-as-Sup-collect*: $(\bigsqcap P \in A \cdot f(P)) = (\bigsqcap P \in A. f(P))$

```

apply (simp add: upred-defs ueexpr-appl.rep-eq lit.rep-eq Sup-ueexpr-def)
apply (pred-simp)
apply (simp add: Setcompr-eq-image)
done

lemma UINF-as-Sup-collect':  $(\bigcap P \cdot f(P)) = (\bigcap P. f(P))$ 
apply (simp add: upred-defs ueexpr-appl.rep-eq lit.rep-eq Sup-ueexpr-def)
apply (pred-simp)
apply (simp add: full-SetCompr-eq)
done

lemma UINF-as-Sup-image:  $(\bigcap P \in A \cdot f(P)) = \bigcap (f \text{ ` } A)$ 
apply (simp add: upred-defs ueexpr-appl.rep-eq lit.rep-eq Sup-ueexpr-def)
apply (pred-simp)
apply (rule cong[of Sup])
apply (auto)
done

lemma USUP-as-Inf:  $(\bigcup P \in \mathcal{P} \cdot P) = \bigcup \mathcal{P}$ 
apply (simp add: upred-defs ueexpr-appl.rep-eq lit.rep-eq Inf-ueexpr-def)
apply (pred-simp)
apply (rule cong[of Inf])
apply (auto)
done

lemma USUP-as-Inf-collect:  $(\bigcup P \in A \cdot f(P)) = (\bigcup P \in A. f(P))$ 
apply (pred-simp)
apply (simp add: Setcompr-eq-image)
done

lemma USUP-as-Inf-collect':  $(\bigcup P \cdot f(P)) = (\bigcup P. f(P))$ 
apply (pred-simp)
apply (simp add: full-SetCompr-eq)
done

lemma USUP-as-Inf-image:  $(\bigcup P \in \mathcal{P} \cdot f(P)) = \bigcup (f \text{ ` } \mathcal{P})$ 
apply (simp add: upred-defs ueexpr-appl.rep-eq lit.rep-eq Inf-ueexpr-def)
apply (pred-simp)
apply (rule cong[of Inf])
apply (auto)
done

lemma subst-continuous [usubst]:  $\sigma \dagger (\bigcap A) = (\bigcap \{\sigma \dagger P \mid P. P \in A\})$ 
by (simp add: UINF-as-Sup[THEN sym] usubst, auto intro: cong[of Sup Sup] simp add: UINF-as-Sup-image)

lemma not-UINF:  $(\neg (\bigcap i \in A \cdot P(i))) = (\bigcup i \in A \cdot \neg P(i))$ 
by (pred-auto)

lemma not-USUP:  $(\neg (\bigcup i \in A \cdot P(i))) = (\bigcap i \in A \cdot \neg P(i))$ 
by (pred-auto)

lemma not-UINF-ind:  $(\neg (\bigcap i \cdot P(i))) = (\bigcup i \cdot \neg P(i))$ 
by (pred-auto)

lemma not-USUP-ind:  $(\neg (\bigcup i \cdot P(i))) = (\bigcap i \cdot \neg P(i))$ 

```

by (pred-auto)

lemma *UINF-empty [simp]*: $(\bigcap i \in \{\} \cdot P(i)) = \text{false}$
 by (pred-auto)

lemma *UINF-insert [simp]*: $(\bigcap i \in \text{insert } x \text{ } xs \cdot P(i)) = (P(x) \cap (\bigcap i \in xs \cdot P(i)))$
 apply (pred-simp)
 apply (subst Sup-insert[THEN sym])
 apply (rule-tac cong[of Sup Sup])
 apply (auto)
 done

lemma *UINF-atLeast-first*:
 $P(n) \cap (\bigcap i \in \{\text{Suc } n..\} \cdot P(i)) = (\bigcap i \in \{n..\} \cdot P(i))$
proof –
 have insert n {Suc n..} = {n..}
 by (auto)
 thus ?thesis
 by (metis UINF-insert)
qed

lemma *UINF-atLeast-Suc*:
 $(\bigcap i \in \{\text{Suc } m..\} \cdot P(i)) = (\bigcap i \in \{m..\} \cdot P(\text{Suc } i))$
 by (rel-simp, metis (full-types) Suc-le-D not-less-eq-eq)

lemma *USUP-empty [simp]*: $(\bigcup i \in \{\} \cdot P(i)) = \text{true}$
 by (pred-auto)

lemma *USUP-insert [simp]*: $(\bigcup i \in \text{insert } x \text{ } xs \cdot P(i)) = (P(x) \sqcup (\bigcup i \in xs \cdot P(i)))$
 apply (pred-simp)
 apply (subst Inf-insert[THEN sym])
 apply (rule-tac cong[of Inf Inf])
 apply (auto)
 done

lemma *USUP-atLeast-first*:
 $(P(n) \wedge (\bigcup i \in \{\text{Suc } n..\} \cdot P(i))) = (\bigcup i \in \{n..\} \cdot P(i))$
proof –
 have insert n {Suc n..} = {n..}
 by (auto)
 thus ?thesis
 by (metis USUP-insert conj-upred-def)
qed

lemma *USUP-atLeast-Suc*:
 $(\bigcup i \in \{\text{Suc } m..\} \cdot P(i)) = (\bigcup i \in \{m..\} \cdot P(\text{Suc } i))$
 by (rel-simp, metis (full-types) Suc-le-D not-less-eq-eq)

lemma *conj-UINF-dist*:
 $(P \wedge (\bigcap Q \in S \cdot F(Q))) = (\bigcap Q \in S \cdot P \wedge F(Q))$
 by (simp add: upred-defs uexpr-appl.rep-eq lit.rep-eq, pred-auto)

lemma *conj-UINF-ind-dist*:
 $(P \wedge (\bigcap Q \cdot F(Q))) = (\bigcap Q \cdot P \wedge F(Q))$
 by pred-auto

lemma *disj-UNF-dist*:

$S \neq \{\} \implies (P \vee (\bigcap_{Q \in S} F(Q))) = (\bigcap_{Q \in S} P \vee F(Q))$
by (*simp add: upred-defs ueexpr-appl.rep-eq lit.rep-eq, pred-auto*)

lemma *UNF-conj-UNF* [*simp*]:

$((\bigcap_{i \in I} P(i)) \vee (\bigcap_{i \in I} Q(i))) = (\bigcap_{i \in I} P(i) \vee Q(i))$
by (*rel-auto*)

lemma *conj-USUP-dist*:

$S \neq \{\} \implies (P \wedge (\bigcup_{Q \in S} F(Q))) = (\bigcup_{Q \in S} P \wedge F(Q))$
by (*subst ueexpr-eq-iff, auto simp add: conj-upred-def USUPRENUM.rep-eq inf-ueexpr.rep-eq ueexpr-appl.rep-eq lit.rep-eq true-upred-def*)

lemma *USUP-conj-USUP* [*simp*]: $((\bigcup P \in A \cdot F(P)) \wedge (\bigcup P \in A \cdot G(P))) = (\bigcup P \in A \cdot F(P) \wedge G(P))$

by (*simp add: upred-defs ueexpr-appl.rep-eq lit.rep-eq, pred-auto*)

lemma *UNF-all-cong* [*cong*]:

assumes $\bigwedge P. F(P) = G(P)$
shows $(\bigcap P \cdot F(P)) = (\bigcap P \cdot G(P))$
by (*simp add: UNF-as-Sup-collect assms*)

lemma *UNF-cong*:

assumes $\bigwedge P. P \in A \implies F(P) = G(P)$
shows $(\bigcap P \in A \cdot F(P)) = (\bigcap P \in A \cdot G(P))$
by (*simp add: UNF-as-Sup-collect assms*)

lemma *USUP-all-cong*:

assumes $\bigwedge P. F(P) = G(P)$
shows $(\bigcup P \cdot F(P)) = (\bigcup P \cdot G(P))$
by (*simp add: assms*)

lemma *USUP-cong*:

assumes $\bigwedge P. P \in A \implies F(P) = G(P)$
shows $(\bigcup P \in A \cdot F(P)) = (\bigcup P \in A \cdot G(P))$
by (*simp add: USUP-as-Inf-collect assms*)

lemma *UNF-subset-mono*: $A \subseteq B \implies (\bigcap P \in B \cdot F(P)) \sqsubseteq (\bigcap P \in A \cdot F(P))$

by (*simp add: SUP-subset-mono UNF-as-Sup-collect*)

lemma *USUP-subset-mono*: $A \subseteq B \implies (\bigcup P \in A \cdot F(P)) \sqsubseteq (\bigcup P \in B \cdot F(P))$

by (*simp add: INF-superset-mono USUP-as-Inf-collect*)

lemma *UNF-impl*: $(\bigcap P \in A \cdot F(P) \Rightarrow G(P)) = ((\bigcap P \in A \cdot F(P)) \Rightarrow (\bigcap P \in A \cdot G(P)))$

by (*pred-auto*)

lemma *USUP-is-forall*: $(\bigcup x \cdot P(x)) = (\forall x \cdot P(x))$

by (*pred-simp*)

lemma *USUP-ind-is-forall*: $(\bigcup x \in A \cdot P(x)) = (\forall x \in \ll A \gg \cdot P(x))$

by (*pred-auto*)

lemma *UNF-is-exists*: $(\bigcap x \cdot P(x)) = (\exists x \cdot P(x))$

by (*pred-simp*)

lemma *UINF-all-nats [simp]*:
fixes $P :: \text{nat} \Rightarrow 'a \text{ upred}$
shows $(\bigcap n \cdot \bigcap i \in \{0..n\} \cdot P(i)) = (\bigcap n \cdot P(n))$
by (*pred-auto*)

lemma *USUP-all-nats [simp]*:
fixes $P :: \text{nat} \Rightarrow 'a \text{ upred}$
shows $(\bigcup n \cdot \bigcup i \in \{0..n\} \cdot P(i)) = (\bigcup n \cdot P(n))$
by (*pred-auto*)

lemma *UINF-upto-expand-first*:
 $m < n \implies (\bigcap i \in \{m..<n\} \cdot P(i)) = ((P(m) :: 'a \text{ upred}) \vee (\bigcap i \in \{\text{Suc } m..<n\} \cdot P(i)))$
apply (*rel-auto*) **using** *Suc-leI le-eq-less-or-eq* **by** *auto*

lemma *UINF-upto-expand-last*:
 $(\bigcap i \in \{0..<\text{Suc}(n)\} \cdot P(i)) = ((\bigcap i \in \{0..<n\} \cdot P(i)) \vee P(n))$
apply (*rel-auto*)
using *less-SucE* **by** *blast*

lemma *UINF-Suc-shift*: $(\bigcap i \in \{\text{Suc } 0..<\text{Suc } n\} \cdot P(i)) = (\bigcap i \in \{0..<n\} \cdot P(\text{Suc } i))$
apply (*rel-simp*)
apply (*rule cong[of Sup], auto*)
using *less-Suc-eq-0-disj* **by** *auto*

lemma *USUP-upto-expand-first*:
 $(\bigcup i \in \{0..<\text{Suc}(n)\} \cdot P(i)) = (P(0) \wedge (\bigcup i \in \{1..<\text{Suc}(n)\} \cdot P(i)))$
apply (*rel-auto*)
using *not-less* **by** *auto*

lemma *USUP-Suc-shift*: $(\bigcup i \in \{\text{Suc } 0..<\text{Suc } n\} \cdot P(i)) = (\bigcup i \in \{0..<n\} \cdot P(\text{Suc } i))$
apply (*rel-simp*)
apply (*rule cong[of Inf], auto*)
using *less-Suc-eq-0-disj* **by** *auto*

lemma *UINF-list-conv*:
 $(\bigcap i \in \{0..<\text{length}(xs)\} \cdot f (xs ! i)) = \text{foldr } (\vee) (\text{map } f xs) \text{ false}$
apply (*induct xs*)
apply (*rel-auto*)
apply (*simp*)
thm *UINF-upto-expand-first UINF-Suc-shift*
apply (*simp add: UINF-upto-expand-first UINF-Suc-shift*)
done

lemma *USUP-list-conv*:
 $(\bigcup i \in \{0..<\text{length}(xs)\} \cdot f (xs ! i)) = \text{foldr } (\wedge) (\text{map } f xs) \text{ true}$
apply (*induct xs*)
apply (*rel-auto*)
apply (*simp-all add: USUP-upto-expand-first USUP-Suc-shift*)
done

lemma *UINF-refines*:
 $\llbracket \bigwedge i. i \in I \implies P \sqsubseteq Q i \rrbracket \implies P \sqsubseteq (\bigcap i \in I \cdot Q i)$
by (*simp add: UINF-as-Sup-collect, metis SUP-least*)

lemma *UINF-refines'*:
assumes $\bigwedge i. P \sqsubseteq Q(i)$
shows $P \sqsubseteq (\bigcap i. Q(i))$
using *assms*
apply (*rel-auto*) **using** *Sup-le-iff* **by** *fastforce*

14.3 Equality laws

lemma *eq-upred-refl* [*simp*]: $(x =_u x) = \text{true}$
by (*pred-auto*)

lemma *eq-upred-sym*: $(x =_u y) = (y =_u x)$
by (*pred-auto*)

lemma *eq-cong-left*:
assumes $\text{vwb-lens } x \ \$x \ \# \ Q \ \$x' \ \# \ Q \ \$x \ \# \ R \ \$x' \ \# \ R$
shows $((\$x' =_u \$x \wedge Q) = (\$x' =_u \$x \wedge R)) \longleftrightarrow (Q = R)$
using *assms*
by (*pred-simp*, (*meson mwb-lens-def vwb-lens-mwb weak-lens-def*) $+$)

lemma *conj-eq-in-var-subst*:
fixes $x :: ('a \Longrightarrow 'a)$
assumes $\text{vwb-lens } x$
shows $(P \wedge \$x =_u v) = (P[v/\$x] \wedge \$x =_u v)$
using *assms*
by (*pred-simp*, (*metis vwb-lens-wb wb-lens.get-put*) $+$)

lemma *conj-eq-out-var-subst*:
fixes $x :: ('a \Longrightarrow 'a)$
assumes $\text{vwb-lens } x$
shows $(P \wedge \$x' =_u v) = (P[v/\$x'] \wedge \$x' =_u v)$
using *assms*
by (*pred-simp*, (*metis vwb-lens-wb wb-lens.get-put*) $+$)

lemma *conj-pos-var-subst*:
assumes $\text{vwb-lens } x$
shows $(\$x \wedge Q) = (\$x \wedge Q[\text{true}/\$x])$
using *assms*
by (*pred-auto*, *metis (full-types) vwb-lens-wb wb-lens.get-put*, *metis (full-types) vwb-lens-wb wb-lens.get-put*)

lemma *conj-neg-var-subst*:
assumes $\text{vwb-lens } x$
shows $(\neg \$x \wedge Q) = (\neg \$x \wedge Q[\text{false}/\$x])$
using *assms*
by (*pred-auto*, *metis (full-types) vwb-lens-wb wb-lens.get-put*, *metis (full-types) vwb-lens-wb wb-lens.get-put*)

lemma *upred-eq-true* [*simp*]: $(p =_u \text{true}) = p$
by (*pred-auto*)

lemma *upred-eq-false* [*simp*]: $(p =_u \text{false}) = (\neg p)$
by (*pred-auto*)

lemma *upred-true-eq* [*simp*]: $(\text{true} =_u p) = p$
by (*pred-auto*)

lemma *upred-false-eq* [*simp*]: $(\text{false} =_u p) = (\neg p)$

by (pred-auto)

lemma conj-var-subst:

assumes vwb-lens x

shows $(P \wedge \text{var } x =_u v) = (P[v/x] \wedge \text{var } x =_u v)$

using assms

by (pred-simp, (metis (full-types) vwb-lens-def wb-lens.get-put)+)

14.4 HOL Variable Quantifiers

lemma shEx-unbound [simp]: $(\exists x \cdot P) = P$

by (pred-auto)

lemma shEx-bool [simp]: $\text{shEx } P = (P \text{ True} \vee P \text{ False})$

by (pred-simp, metis (full-types))

lemma shEx-commute: $(\exists x \cdot \exists y \cdot P x y) = (\exists y \cdot \exists x \cdot P x y)$

by (pred-auto)

lemma shEx-cong: $[\bigwedge x. P x = Q x] \implies \text{shEx } P = \text{shEx } Q$

by (pred-auto)

lemma shEx-insert: $(\exists x \in \text{insert}_u y A \cdot P(x)) = (P(x)[x \mapsto y] \vee (\exists x \in A \cdot P(x)))$

by (pred-auto)

lemma shEx-one-point: $(\exists x \cdot \llbracket x \rrbracket =_u v \wedge P(x)) = P(x)[x \mapsto v]$

by (rel-auto)

lemma shAll-unbound [simp]: $(\forall x \cdot P) = P$

by (pred-auto)

lemma shAll-bool [simp]: $\text{shAll } P = (P \text{ True} \wedge P \text{ False})$

by (pred-simp, metis (full-types))

lemma shAll-cong: $[\bigwedge x. P x = Q x] \implies \text{shAll } P = \text{shAll } Q$

by (pred-auto)

Quantifier lifting

named-theorems uquant-lift

lemma shEx-lift-conj-1 [uquant-lift]:

$((\exists x \cdot P(x)) \wedge Q) = (\exists x \cdot P(x) \wedge Q)$

by (pred-auto)

lemma shEx-lift-conj-2 [uquant-lift]:

$(P \wedge (\exists x \cdot Q(x))) = (\exists x \cdot P \wedge Q(x))$

by (pred-auto)

14.5 Case Splitting

lemma eq-split-subst:

assumes vwb-lens x

shows $(P = Q) \longleftrightarrow (\forall v. P[\llbracket v \rrbracket/x] = Q[\llbracket v \rrbracket/x])$

using assms

by (pred-auto, metis vwb-lens-wb wb-lens.source-stability)

lemma *eq-split-substI*:
assumes $vwb\text{-}lens\ x \wedge v. P[\llbracket v \gg / x \rrbracket] = Q[\llbracket v \gg / x \rrbracket]$
shows $P = Q$
using *assms(1) assms(2) eq-split-subst by blast*

lemma *taut-split-subst*:
assumes $vwb\text{-}lens\ x$
shows $\langle P \rangle \longleftrightarrow (\forall v. \langle P[\llbracket v \gg / x \rrbracket] \rangle)$
using *assms*
by (*pred-auto,metis vwb-lens-wb wb-lens.source-stability*)

lemma *eq-split*:
assumes $\langle P \Rightarrow Q \rangle \langle Q \Rightarrow P \rangle$
shows $P = Q$
using *assms*
by (*pred-auto*)

lemma *bool-eq-splitI*:
assumes $vwb\text{-}lens\ x\ P[\llbracket true / x \rrbracket] = Q[\llbracket true / x \rrbracket]\ P[\llbracket false / x \rrbracket] = Q[\llbracket false / x \rrbracket]$
shows $P = Q$
by (*metis (full-types) assms eq-split-subst false-alt-def true-alt-def*)

lemma *subst-bool-split*:
assumes $vwb\text{-}lens\ x$
shows $\langle P \rangle = \langle (P[\llbracket false / x \rrbracket] \wedge P[\llbracket true / x \rrbracket]) \rangle$
proof –
from *assms* **have** $\langle P \rangle = (\forall v. \langle P[\llbracket v \gg / x \rrbracket] \rangle)$
by (*subst taut-split-subst[of x], auto*)
also have $\dots = (\langle P[\llbracket \llbracket True \rrbracket \gg / x \rrbracket] \rangle \wedge \langle P[\llbracket \llbracket False \rrbracket \gg / x \rrbracket] \rangle)$
by (*metis (mono-tags, lifting)*)
also have $\dots = \langle (P[\llbracket false / x \rrbracket] \wedge P[\llbracket true / x \rrbracket]) \rangle$
by (*pred-auto*)
finally show *?thesis* .
qed

lemma *subst-eq-replace*:
fixes $x :: ('a \Longrightarrow 'a)$
shows $(p[\llbracket u / x \rrbracket] \wedge u =_u v) = (p[\llbracket v / x \rrbracket] \wedge u =_u v)$
by (*pred-auto*)

14.6 UTP Quantifiers

lemma *one-point*:
assumes $mwb\text{-}lens\ x\ x \# v$
shows $(\exists x \cdot P \wedge var\ x =_u v) = P[\llbracket v / x \rrbracket]$
using *assms*
by (*pred-auto*)

lemma *exists-twice*: $mwb\text{-}lens\ x \Longrightarrow (\exists x \cdot \exists x \cdot P) = (\exists x \cdot P)$
by (*pred-auto*)

lemma *all-twice*: $mwb\text{-}lens\ x \Longrightarrow (\forall x \cdot \forall x \cdot P) = (\forall x \cdot P)$
by (*pred-auto*)

lemma *exists-sub*: $\llbracket mwb\text{-}lens\ y; x \subseteq_L y \rrbracket \Longrightarrow (\exists x \cdot \exists y \cdot P) = (\exists y \cdot P)$
by (*pred-auto*)

lemma *all-sub*: $\llbracket \text{mwb-lens } y; x \subseteq_L y \rrbracket \implies (\forall x \cdot \forall y \cdot P) = (\forall y \cdot P)$
by (*pred-auto*)

lemma *ex-commute*:
assumes $x \bowtie y$
shows $(\exists x \cdot \exists y \cdot P) = (\exists y \cdot \exists x \cdot P)$
using *assms*
apply (*pred-auto*)
using *lens-indep-comm* **apply** *fastforce* +
done

lemma *all-commute*:
assumes $x \bowtie y$
shows $(\forall x \cdot \forall y \cdot P) = (\forall y \cdot \forall x \cdot P)$
using *assms*
apply (*pred-auto*)
using *lens-indep-comm* **apply** *fastforce* +
done

lemma *ex-equiv*:
assumes $x \approx_L y$
shows $(\exists x \cdot P) = (\exists y \cdot P)$
using *assms*
by (*pred-simp*, *metis* (*no-types*, *lifting*) *lens.select-convs*(2))

lemma *all-equiv*:
assumes $x \approx_L y$
shows $(\forall x \cdot P) = (\forall y \cdot P)$
using *assms*
by (*pred-simp*, *metis* (*no-types*, *lifting*) *lens.select-convs*(2))

lemma *ex-zero*:
 $(\exists \emptyset \cdot P) = P$
by (*pred-auto*)

lemma *all-zero*:
 $(\forall \emptyset \cdot P) = P$
by (*pred-auto*)

lemma *ex-plus*:
 $(\exists y; x \cdot P) = (\exists x \cdot \exists y \cdot P)$
by (*pred-auto*)

lemma *all-plus*:
 $(\forall y; x \cdot P) = (\forall x \cdot \forall y \cdot P)$
by (*pred-auto*)

lemma *closure-all*:
 $[P]_u = (\forall \Sigma \cdot P)$
by (*pred-auto*)

lemma *unrest-as-exists*:
 $\text{mwb-lens } x \implies (x \nmid P) \longleftrightarrow ((\exists x \cdot P) = P)$
by (*pred-simp*, *metis* *vwb-lens.put-eq*)

lemma *ex-mono*: $P \sqsubseteq Q \implies (\exists x \cdot P) \sqsubseteq (\exists x \cdot Q)$
by (*pred-auto*)

lemma *ex-weakens*: $wb\text{-}lens\ x \implies (\exists x \cdot P) \sqsubseteq P$
by (*pred-simp*, *metis wb-lens.get-put*)

lemma *all-mono*: $P \sqsubseteq Q \implies (\forall x \cdot P) \sqsubseteq (\forall x \cdot Q)$
by (*pred-auto*)

lemma *all-strengthens*: $wb\text{-}lens\ x \implies P \sqsubseteq (\forall x \cdot P)$
by (*pred-simp*, *metis wb-lens.get-put*)

lemma *ex-unrest*: $x \# P \implies (\exists x \cdot P) = P$
by (*pred-auto*)

lemma *all-unrest*: $x \# P \implies (\forall x \cdot P) = P$
by (*pred-auto*)

lemma *not-ex-not*: $\neg (\exists x \cdot \neg P) = (\forall x \cdot P)$
by (*pred-auto*)

lemma *not-all-not*: $\neg (\forall x \cdot \neg P) = (\exists x \cdot P)$
by (*pred-auto*)

lemma *ex-conj-contr-left*: $x \# P \implies (\exists x \cdot P \wedge Q) = (P \wedge (\exists x \cdot Q))$
by (*pred-auto*)

lemma *ex-conj-contr-right*: $x \# Q \implies (\exists x \cdot P \wedge Q) = ((\exists x \cdot P) \wedge Q)$
by (*pred-auto*)

lemma *ex-override-def*: $weak\text{-}lens\ x \implies \llbracket \exists x \cdot P \rrbracket_e b = (\exists b'. \llbracket P \rrbracket_e (b \oplus_L b' \text{ on } x))$
by (*rel-simp*, *metis weak-lens.put-get*)

lemma *ex-scene-def*: $mwb\text{-}lens\ a \implies (\exists a \cdot P) = scex\ \llbracket a \rrbracket_{\sim} P$
by (*simp add: uexpr-eq-iff ex-override-def scex.rep-eq lens-scene-override*)

lemma *scex-combine*:

assumes *idem-scene* x *idem-scene* y $x \#\#_S y$
shows $(scex\ x\ (scex\ y\ P)) = (scex\ (x \sqcup_S y)\ P)$

proof –

have $\bigwedge b\ b'\ b''. \llbracket P \rrbracket_e (b \oplus_S b' \text{ on } x \oplus_S b'' \text{ on } y) \implies \exists b'. \llbracket P \rrbracket_e (b \oplus_S b' \text{ on } (x \sqcup_S y))$

proof –

fix $b\ b'\ b''$

assume $a1: \llbracket P \rrbracket_e (b \oplus_S b' \text{ on } x \oplus_S b'' \text{ on } y)$

have $f2: \forall a. a \oplus_S a \text{ on } x = a$

by (*simp add: assms(1)*)

have $f3: \forall a. a \oplus_S a \text{ on } y = a$

by (*metis assms(2) scene-override-idem*)

have $\forall a\ aa. aa \oplus_S a \text{ on } y \oplus_S a \text{ on } x = aa \oplus_S a \text{ on } (y \sqcup_S x)$

by (*simp add: assms(3) scene-comp-sym scene-override-union*)

then show $\exists a. \llbracket P \rrbracket_e (b \oplus_S a \text{ on } (x \sqcup_S y))$

using $f3\ f2\ a1$ **by** (*metis (no-types) assms(3) scene-override-overshadow-left scene-override-union scene-union-commute*)

qed

thus *?thesis*
using *assms(3) scene-override-union* **by** (*rel-auto*, *fastforce*)
qed

lemma *ex-commute-set*: $\llbracket \text{vwb-lens } a; \text{vwb-lens } b; a \# \#_L b \rrbracket \implies (\exists a \cdot \exists b \cdot P) = (\exists b \cdot \exists a \cdot P)$
by (*simp add: lens-defs lens-scene.rep-eq scene-compat.rep-eq scene-union-commute sceex-combine ex-scene-def*)

14.7 Variable Restriction

lemma *var-res-all*:

$P \upharpoonright_v \Sigma = P$

by (*rel-auto*)

lemma *var-res-twice*:

$\text{mwb-lens } x \implies P \upharpoonright_v x \upharpoonright_v x = P \upharpoonright_v x$

by (*pred-auto*)

14.8 Conditional laws

lemma *cond-def*:

$(P \triangleleft b \triangleright Q) = ((b \wedge P) \vee ((\neg b) \wedge Q))$

by (*pred-auto*)

lemma *cond-idem* [*simp*]: $(P \triangleleft b \triangleright P) = P$ **by** (*pred-auto*)

lemma *cond-true-false* [*simp*]: $\text{true} \triangleleft b \triangleright \text{false} = b$ **by** (*pred-auto*)

lemma *cond-symm*: $(P \triangleleft b \triangleright Q) = (Q \triangleleft \neg b \triangleright P)$ **by** (*pred-auto*)

lemma *cond-assoc*: $((P \triangleleft b \triangleright Q) \triangleleft c \triangleright R) = (P \triangleleft b \wedge c \triangleright (Q \triangleleft c \triangleright R))$ **by** (*pred-auto*)

lemma *cond-distr*: $(P \triangleleft b \triangleright (Q \triangleleft c \triangleright R)) = ((P \triangleleft b \triangleright Q) \triangleleft c \triangleright (P \triangleleft b \triangleright R))$ **by** (*pred-auto*)

lemma *cond-unit-T* [*simp*]: $(P \triangleleft \text{true} \triangleright Q) = P$ **by** (*pred-auto*)

lemma *cond-unit-F* [*simp*]: $(P \triangleleft \text{false} \triangleright Q) = Q$ **by** (*pred-auto*)

lemma *cond-conj-not*: $((P \triangleleft b \triangleright Q) \wedge (\neg b)) = (Q \wedge (\neg b))$

by (*rel-auto*)

lemma *cond-and-T-integrate*:

$((P \wedge b) \vee (Q \triangleleft b \triangleright R)) = ((P \vee Q) \triangleleft b \triangleright R)$

by (*pred-auto*)

lemma *cond-L6*: $(P \triangleleft b \triangleright (Q \triangleleft b \triangleright R)) = (P \triangleleft b \triangleright R)$ **by** (*pred-auto*)

lemma *cond-L7*: $(P \triangleleft b \triangleright (P \triangleleft c \triangleright Q)) = (P \triangleleft b \vee c \triangleright Q)$ **by** (*pred-auto*)

lemma *cond-and-distr*: $((P \wedge Q) \triangleleft b \triangleright (R \wedge S)) = ((P \triangleleft b \triangleright R) \wedge (Q \triangleleft b \triangleright S))$ **by** (*pred-auto*)

lemma *cond-or-distr*: $((P \vee Q) \triangleleft b \triangleright (R \vee S)) = ((P \triangleleft b \triangleright R) \vee (Q \triangleleft b \triangleright S))$ **by** (*pred-auto*)

lemma *cond-imp-distr*:

$((P \Rightarrow Q) \triangleleft b \triangleright (R \Rightarrow S)) = ((P \triangleleft b \triangleright R) \Rightarrow (Q \triangleleft b \triangleright S))$ **by** (*pred-auto*)

lemma *cond-eq-distr*:

$((P \Leftrightarrow Q) \triangleleft b \triangleright (R \Leftrightarrow S)) = ((P \triangleleft b \triangleright R) \Leftrightarrow (Q \triangleleft b \triangleright S))$ **by** (*pred-auto*)

lemma *cond-conj-distr*: $(P \wedge (Q \triangleleft b \triangleright S)) = ((P \wedge Q) \triangleleft b \triangleright (P \wedge S))$ **by** (*pred-auto*)

lemma *cond-disj-distr*: $(P \vee (Q \triangleleft b \triangleright S)) = ((P \vee Q) \triangleleft b \triangleright (P \vee S))$ **by** (*pred-auto*)

lemma *cond-neg*: $\neg (P \triangleleft b \triangleright Q) = ((\neg P) \triangleleft b \triangleright (\neg Q))$ **by** (*pred-auto*)

lemma *cond-conj*: $P \triangleleft b \wedge c \triangleright Q = (P \triangleleft c \triangleright Q) \triangleleft b \triangleright Q$
by (*pred-auto*)

lemma *spec-cond-dist*: $(P \Rightarrow (Q \triangleleft b \triangleright R)) = ((P \Rightarrow Q) \triangleleft b \triangleright (P \Rightarrow R))$
by (*pred-auto*)

lemma *cond-USUP-dist*: $(\bigsqcup P \in S \cdot F(P)) \triangleleft b \triangleright (\bigsqcup P \in S \cdot G(P)) = (\bigsqcup P \in S \cdot F(P) \triangleleft b \triangleright G(P))$
by (*pred-auto*)

lemma *cond-UINF-dist*: $(\bigsqcap P \in S \cdot F(P)) \triangleleft b \triangleright (\bigsqcap P \in S \cdot G(P)) = (\bigsqcap P \in S \cdot F(P) \triangleleft b \triangleright G(P))$
by (*pred-auto*)

lemma *cond-var-subst-left*:
 assumes *vwb-lens* *x*
 shows $(P \llbracket \text{true}/x \rrbracket \triangleleft \text{var } x \triangleright Q) = (P \triangleleft \text{var } x \triangleright Q)$
 using *assms* **by** (*pred-auto*, *metis* (*full-types*) *vwb-lens-wb* *wb-lens.get-put*)

lemma *cond-var-subst-right*:
 assumes *vwb-lens* *x*
 shows $(P \triangleleft \text{var } x \triangleright Q \llbracket \text{false}/x \rrbracket) = (P \triangleleft \text{var } x \triangleright Q)$
 using *assms* **by** (*pred-auto*, *metis* (*full-types*) *vwb-lens.put-eq*)

lemma *cond-var-split*:
 $\text{vwb-lens } x \Longrightarrow (P \llbracket \text{true}/x \rrbracket \triangleleft \text{var } x \triangleright P \llbracket \text{false}/x \rrbracket) = P$
 by (*rel-simp*, (*metis* (*full-types*) *vwb-lens.put-eq*)+)

lemma *cond-assign-subst*:
 $\text{vwb-lens } x \Longrightarrow (P \triangleleft \text{utp-expr.var } x =_u v \triangleright Q) = (P \llbracket v/x \rrbracket \triangleleft \text{utp-expr.var } x =_u v \triangleright Q)$
 apply (*rel-simp*) **using** *vwb-lens.put-eq* **by** *force*

lemma *conj-conds*:
 $(P1 \triangleleft b \triangleright Q1 \wedge P2 \triangleleft b \triangleright Q2) = (P1 \wedge P2) \triangleleft b \triangleright (Q1 \wedge Q2)$
 by *pred-auto*

lemma *disj-conds*:
 $(P1 \triangleleft b \triangleright Q1 \vee P2 \triangleleft b \triangleright Q2) = (P1 \vee P2) \triangleleft b \triangleright (Q1 \vee Q2)$
 by *pred-auto*

lemma *cond-mono*:
 $\llbracket P1 \sqsubseteq P2; Q1 \sqsubseteq Q2 \rrbracket \Longrightarrow (P1 \triangleleft b \triangleright Q1) \sqsubseteq (P2 \triangleleft b \triangleright Q2)$
 by (*rel-auto*)

lemma *cond-monotonic*:
 $\llbracket \text{mono } P; \text{mono } Q \rrbracket \Longrightarrow \text{mono } (\lambda X. P X \triangleleft b \triangleright Q X)$
 by (*simp add: mono-def, rel-blast*)

14.9 Additional Expression Laws

lemma *le-pred-refl* [simp]:

fixes $x :: ('a::preorder, 'α) uexpr$

shows $(x \leq_u x) = true$

by (*pred-auto*)

lemma *uzero-le-laws* [simp]:

$(0 :: ('a::\{linordered-semidom\}, 'α) uexpr) \leq_u numeral\ x = true$

$(1 :: ('a::\{linordered-semidom\}, 'α) uexpr) \leq_u numeral\ x = true$

$(0 :: ('a::\{linordered-semidom\}, 'α) uexpr) \leq_u 1 = true$

by (*pred-simp*)⁺

lemma *unumeral-le-1* [simp]:

assumes $(numeral\ i :: 'a::\{numeral,ord\}) \leq numeral\ j$

shows $(numeral\ i :: ('a, 'α) uexpr) \leq_u numeral\ j = true$

using *assms* **by** (*pred-auto*)

lemma *unumeral-le-2* [simp]:

assumes $(numeral\ i :: 'a::\{numeral,linorder\}) > numeral\ j$

shows $(numeral\ i :: ('a, 'α) uexpr) \leq_u numeral\ j = false$

using *assms* **by** (*pred-auto*)

lemma *uset-laws* [simp]:

$x \in_u \{\}_u = false$

$x \in_u \{m..n\}_u = (m \leq_u x \wedge x \leq_u n)$

by (*pred-auto*)⁺

lemma *ulit-eq* [simp]: $x = y \implies (\llbracket x \rrbracket =_u \llbracket y \rrbracket) = true$

by (*rel-auto*)

lemma *ulit-neq* [simp]: $x \neq y \implies (\llbracket x \rrbracket =_u \llbracket y \rrbracket) = false$

by (*rel-auto*)

lemma *uset-mems* [simp]:

$x \in_u \{y\}_u = (x =_u y)$

$x \in_u A \cup_u B = (x \in_u A \vee x \in_u B)$

$x \in_u A \cap_u B = (x \in_u A \wedge x \in_u B)$

by (*rel-auto*)⁺

14.10 Refinement By Observation

Function to obtain the set of observations of a predicate

definition *obs-upred* :: $'α\ upred \Rightarrow 'α\ set\ (\llbracket - \rrbracket_o)$

where [*upred-defs*]: $\llbracket P \rrbracket_o = \{b. \llbracket P \rrbracket_e b\}$

lemma *obs-upred-refine-iff*:

$P \sqsubseteq Q \longleftrightarrow \llbracket Q \rrbracket_o \subseteq \llbracket P \rrbracket_o$

by (*pred-auto*)

A refinement can be demonstrated by considering only the observations of the predicates which are relevant, i.e. not unrestricted, for them. In other words, if the alphabet can be split into two disjoint segments, x and y , and neither predicate refers to y then only x need be considered when checking for observations.

lemma *refine-by-obs*:

```

assumes  $x \bowtie y$  bij-lens ( $x +_L y$ )  $y \# P$   $y \# Q$   $\{v. 'P[\llbracket v \rrbracket/x]\}' \subseteq \{v. 'Q[\llbracket v \rrbracket/x]\}'$ 
shows  $Q \sqsubseteq P$ 
using assms(3-5)
apply (simp add: obs-upred-refine-iff subset-eq)
apply (pred-simp)
apply (rename-tac b)
apply (drule-tac x=getxb in spec)
apply (auto simp add: assms)
apply (metis assms(1) assms(2) bij-lens.axioms(2) bij-lens.axioms-def lens-override-def lens-override-plus)+
done

```

14.11 Cylindric Algebra

```

lemma C1:  $(\exists x \cdot \text{false}) = \text{false}$ 
by (pred-auto)

```

```

lemma C2:  $\text{wb-lens } x \implies 'P \Rightarrow (\exists x \cdot P)'$ 
by (pred-simp, metis wb-lens.get-put)

```

```

lemma C3:  $\text{mwb-lens } x \implies (\exists x \cdot (P \wedge (\exists x \cdot Q))) = ((\exists x \cdot P) \wedge (\exists x \cdot Q))$ 
by (pred-auto)

```

```

lemma C4a:  $x \approx_L y \implies (\exists x \cdot \exists y \cdot P) = (\exists y \cdot \exists x \cdot P)$ 
by (pred-simp, metis (no-types, lifting) lens.select-convs(2))+

```

```

lemma C4b:  $x \bowtie y \implies (\exists x \cdot \exists y \cdot P) = (\exists y \cdot \exists x \cdot P)$ 
using ex-commute by blast

```

```

lemma C5:
fixes  $x :: ('a \implies 'a)$ 
shows  $(\&x =_u \&x) = \text{true}$ 
by (pred-auto)

```

```

lemma C6:
assumes  $\text{wb-lens } x$   $x \bowtie y$   $x \bowtie z$ 
shows  $(\&y =_u \&z) = (\exists x \cdot \&y =_u \&x \wedge \&x =_u \&z)$ 
using assms
by (pred-simp, (metis lens-indep-def)+)

```

```

lemma C7:
assumes  $\text{weak-lens } x$   $x \bowtie y$ 
shows  $U((\exists x \cdot \&x = \&y \wedge P) \wedge (\exists x \cdot \&x = \&y \wedge \neg P)) = \text{false}$ 
using assms
by (pred-simp, simp add: lens-indep-sym)

```

end

15 Healthiness Conditions

```

theory utp-healthy
imports utp-pred-laws
begin

```


15.1 Main Definitions

We collect closure laws for healthiness conditions in the following theorem attribute.

named-theorems *closure*

type-synonym $'\alpha \text{ health} = '\alpha \text{ upred} \Rightarrow '\alpha \text{ upred}$

A predicate P is healthy, under healthiness function H , if P is a fixed-point of H .

definition $\text{Healthy} :: '\alpha \text{ upred} \Rightarrow '\alpha \text{ health} \Rightarrow \text{bool}$ (**infix** *is* 30)
where $P \text{ is } H \equiv (H P = P)$

lemma $\text{Healthy-def}': P \text{ is } H \longleftrightarrow (H P = P)$
unfolding Healthy-def **by** *auto*

lemma $\text{Healthy-if}: P \text{ is } H \Longrightarrow (H P = P)$
unfolding Healthy-def **by** *auto*

lemma $\text{Healthy-intro}: H(P) = P \Longrightarrow P \text{ is } H$
by (*simp add: Healthy-def*)

declare $\text{Healthy-def}' [\text{upred-defs}]$

abbreviation $\text{Healthy-carrier} :: '\alpha \text{ health} \Rightarrow '\alpha \text{ upred set } ([\![\cdot]\!]_H)$
where $[\![H]\!]_H \equiv \{P. P \text{ is } H\}$

lemma $\text{Healthy-carrier-image}$:
 $A \subseteq [\![\mathcal{H}]\!]_H \Longrightarrow \mathcal{H} \text{ ' } A = A$
by (*auto simp add: image-def, (metis Healthy-if mem-Collect-eq subsetCE)+*)

lemma $\text{Healthy-carrier-Collect}: A \subseteq [\![H]\!]_H \Longrightarrow A = \{H(P) \mid P. P \in A\}$
by (*simp add: Healthy-carrier-image Setcompr-eq-image*)

lemma Healthy-func :
 $[\![F \in [\![\mathcal{H}_1]\!]_H \rightarrow [\![\mathcal{H}_2]\!]_H; P \text{ is } \mathcal{H}_1]\!] \Longrightarrow \mathcal{H}_2(F(P)) = F(P)$
using Healthy-if **by** *blast*

lemma Healthy-comp :
 $[\![P \text{ is } \mathcal{H}_1; P \text{ is } \mathcal{H}_2]\!] \Longrightarrow P \text{ is } \mathcal{H}_1 \circ \mathcal{H}_2$
by (*simp add: Healthy-def*)

lemma $\text{Healthy-apply-closed}$:
assumes $F \in [\![H]\!]_H \rightarrow [\![H]\!]_H P \text{ is } H$
shows $F(P) \text{ is } H$
using *assms(1) assms(2)* **by** *auto*

lemma $\text{Healthy-set-image-member}$:
 $[\![P \in F \text{ ' } A; \bigwedge x. F x \text{ is } H]\!] \Longrightarrow P \text{ is } H$
by *blast*

lemma $\text{Healthy-case-prod} [\text{closure}]$:
 $[\![\bigwedge x y. P x y \text{ is } H]\!] \Longrightarrow \text{case-prod } P v \text{ is } H$
by (*simp add: prod.case-eq-if*)

lemma Healthy-SUPREMUM :
 $A \subseteq [\![H]\!]_H \Longrightarrow \text{Sup } (H \text{ ' } A) = \bigcap A$

by (drule Healthy-carrier-image, presburger)

lemma *Healthy-INFIMUM*:

$A \subseteq \llbracket H \rrbracket_H \implies \text{Inf } (H \text{ ` } A) = \bigsqcup A$

by (drule Healthy-carrier-image, presburger)

lemma *Healthy-nu [closure]*:

assumes *mono* $F \ F \in \llbracket id \rrbracket_H \rightarrow \llbracket H \rrbracket_H$

shows $\nu \ F \text{ is } H$

by (metis (mono-tags) Healthy-def Healthy-func assms eq-id-iff lfp-unfold)

lemma *Healthy-mu [closure]*:

assumes *mono* $F \ F \in \llbracket id \rrbracket_H \rightarrow \llbracket H \rrbracket_H$

shows $\mu \ F \text{ is } H$

by (metis (mono-tags) Healthy-def Healthy-func assms eq-id-iff gfp-unfold)

lemma *Healthy-subset-member*: $\llbracket A \subseteq \llbracket H \rrbracket_H; P \in A \rrbracket \implies H(P) = P$

by (meson Ball-Collect Healthy-if)

lemma *is-Healthy-subset-member*: $\llbracket A \subseteq \llbracket H \rrbracket_H; P \in A \rrbracket \implies P \text{ is } H$

by blast

15.2 Properties of Healthiness Conditions

definition *Idempotent* :: $'\alpha \text{ health} \Rightarrow \text{bool}$ **where**

$\text{Idempotent}(H) \longleftrightarrow (\forall P. H(H(P)) = H(P))$

abbreviation *Monotonic* :: $'\alpha \text{ health} \Rightarrow \text{bool}$ **where**

$\text{Monotonic}(H) \equiv \text{mono } H$

definition *IMH* :: $'\alpha \text{ health} \Rightarrow \text{bool}$ **where**

$\text{IMH}(H) \longleftrightarrow \text{Idempotent}(H) \wedge \text{Monotonic}(H)$

definition *Antitone* :: $'\alpha \text{ health} \Rightarrow \text{bool}$ **where**

$\text{Antitone}(H) \longleftrightarrow (\forall P \ Q. Q \sqsubseteq P \longrightarrow (H(P) \sqsubseteq H(Q)))$

definition *Conjunctive* :: $'\alpha \text{ health} \Rightarrow \text{bool}$ **where**

$\text{Conjunctive}(H) \longleftrightarrow (\exists Q. \forall P. H(P) = (P \wedge Q))$

definition *FunctionalConjunctive* :: $'\alpha \text{ health} \Rightarrow \text{bool}$ **where**

$\text{FunctionalConjunctive}(H) \longleftrightarrow (\exists F. \forall P. H(P) = (P \wedge F(P)) \wedge \text{Monotonic}(F))$

definition *WeakConjunctive* :: $'\alpha \text{ health} \Rightarrow \text{bool}$ **where**

$\text{WeakConjunctive}(H) \longleftrightarrow (\forall P. \exists Q. H(P) = (P \wedge Q))$

definition *Disjunctuous* :: $'\alpha \text{ health} \Rightarrow \text{bool}$ **where**

[upred-defs]: $\text{Disjunctuous } H = (\forall P \ Q. H(P \sqcap Q) = (H(P) \sqcap H(Q)))$

definition *Continuous* :: $'\alpha \text{ health} \Rightarrow \text{bool}$ **where**

[upred-defs]: $\text{Continuous } H = (\forall A. A \neq \{\} \longrightarrow H(\bigsqcap A) = \bigsqcap (H \text{ ` } A))$

lemma *Healthy-Idempotent [closure]*:

$\text{Idempotent } H \implies H(P) \text{ is } H$

by (simp add: Healthy-def Idempotent-def)

lemma *Healthy-range*: $\text{Idempotent } H \implies \text{range } H = \llbracket H \rrbracket_H$

by (auto simp add: image-def Healthy-if Healthy-Idempotent, metis Healthy-if)

lemma *Idempotent-id* [simp]: *Idempotent id*
 by (simp add: Idempotent-def)

lemma *Idempotent-comp* [intro]:
 $\llbracket \text{Idempotent } f; \text{Idempotent } g; f \circ g = g \circ f \rrbracket \implies \text{Idempotent } (f \circ g)$
 by (auto simp add: Idempotent-def comp-def, metis)

lemma *Idempotent-image*: $\text{Idempotent } f \implies f \text{ ' } f \text{ ' } A = f \text{ ' } A$
 by (metis (mono-tags, lifting) Idempotent-def image-cong image-image)

lemma *Monotonic-id* [simp]: *Monotonic id*
 by (simp add: monoI)

lemma *Monotonic-id'* [closure]:
 mono ($\lambda X. X$)
 by (simp add: monoI)

lemma *Monotonic-const* [closure]:
 Monotonic ($\lambda x. c$)
 by (simp add: mono-def)

lemma *Monotonic-comp* [intro]:
 $\llbracket \text{Monotonic } f; \text{Monotonic } g \rrbracket \implies \text{Monotonic } (f \circ g)$
 by (simp add: mono-def)

lemma *Monotonic-inf* [closure]:
 assumes *Monotonic P Monotonic Q*
 shows *Monotonic* ($\lambda X. P(X) \sqcap Q(X)$)
 using assms by (simp add: mono-def, rel-auto)

lemma *Monotonic-cond* [closure]:
 assumes *Monotonic P Monotonic Q*
 shows *Monotonic* ($\lambda X. P(X) \triangleleft b \triangleright Q(X)$)
 by (simp add: assms cond-monotonic)

lemma *Conjunctive-Idempotent*:
 Conjunctive(*H*) \implies Idempotent(*H*)
 by (auto simp add: Conjunctive-def Idempotent-def)

lemma *Conjunctive-Monotonic*:
 Conjunctive(*H*) \implies Monotonic(*H*)
 unfolding Conjunctive-def mono-def
 using dual-order.trans by fastforce

lemma *Conjunctive-conj*:
 assumes *Conjunctive(HC)*
 shows $HC(P \wedge Q) = (HC(P) \wedge Q)$
 using assms unfolding Conjunctive-def
 by (metis utp-pred-laws.inf.assoc utp-pred-laws.inf.commute)

lemma *Conjunctive-distr-conj*:
 assumes *Conjunctive(HC)*
 shows $HC(P \wedge Q) = (HC(P) \wedge HC(Q))$

```

using assms unfolding Conjunctive-def
by (metis Conjunctive-conj assms utp-pred-laws.inf.assoc utp-pred-laws.inf-right-idem)

lemma Conjunctive-distr-disj:
  assumes Conjunctive(HC)
  shows  $HC(P \vee Q) = (HC(P) \vee HC(Q))$ 
  using assms unfolding Conjunctive-def
  using utp-pred-laws.inf-sup-distrib2 by fastforce

lemma Conjunctive-distr-cond:
  assumes Conjunctive(HC)
  shows  $HC(P \triangleleft b \triangleright Q) = (HC(P) \triangleleft b \triangleright HC(Q))$ 
  using assms unfolding Conjunctive-def
  by (metis cond-conj-distr utp-pred-laws.inf-commute)

lemma FunctionalConjunctive-Monotonic:
  FunctionalConjunctive(H)  $\implies$  Monotonic(H)
  unfolding FunctionalConjunctive-def by (metis mono-def utp-pred-laws.inf-mono)

lemma WeakConjunctive-Refinement:
  assumes WeakConjunctive(HC)
  shows  $P \sqsubseteq HC(P)$ 
  using assms unfolding WeakConjunctive-def by (metis utp-pred-laws.inf.cobounded1)

lemma WeakCojunctive-Healthy-Refinement:
  assumes WeakConjunctive(HC) and P is HC
  shows  $HC(P) \sqsubseteq P$ 
  using assms unfolding WeakConjunctive-def Healthy-def by simp

lemma WeakConjunctive-implies-WeakConjunctive:
  Conjunctive(H)  $\implies$  WeakConjunctive(H)
  unfolding WeakConjunctive-def Conjunctive-def by pred-auto

declare Conjunctive-def [upred-defs]
declare mono-def [upred-defs]

lemma Disjunctuous-Monotonic: Disjunctuous H  $\implies$  Monotonic H
  by (metis Disjunctuous-def mono-def semilattice-sup-class.le-iff-sup)

lemma ContinuousD [dest]:  $\llbracket \text{Continuous } H; A \neq \{\} \rrbracket \implies H (\bigcap A) = (\bigcap_{P \in A} H(P))$ 
  by (simp add: Continuous-def)

lemma Continuous-Disjunctous: Continuous H  $\implies$  Disjunctuous H
  apply (auto simp add: Continuous-def Disjunctuous-def)
  apply (rename-tac P Q)
  apply (drule-tac x={P,Q} in spec)
  apply (simp)
done

lemma Continuous-choice-dist: Continuous H  $\implies H(P \sqcap Q) = H(P) \sqcap H(Q)$ 
  using Continuous-Disjunctous Disjunctuous-def by blast

lemma Continuous-Monotonic [closure]: Continuous H  $\implies$  Monotonic H
  by (simp add: Continuous-Disjunctous Disjunctuous-Monotonic)

```

lemma *Continuous-comp* [intro]:
 $\llbracket \text{Continuous } f; \text{Continuous } g \rrbracket \implies \text{Continuous } (f \circ g)$
 by (simp add: Continuous-def)

lemma *Continuous-const* [closure]: *Continuous* $(\lambda X. P)$
 by pred-auto

lemma *Continuous-cond* [closure]:
 assumes *Continuous* F *Continuous* G
 shows *Continuous* $(\lambda X. F(X) \triangleleft b \triangleright G(X))$
 using assms by (pred-auto)

Closure laws derived from continuity

lemma *Sup-Continuous-closed* [closure]:
 $\llbracket \text{Continuous } H; \bigwedge i. i \in A \implies P(i) \text{ is } H; A \neq \{\} \rrbracket \implies (\bigcap_{i \in A} P(i)) \text{ is } H$
 by (drule ContinuousD[of H P 'A], simp add: UINF-as-Sup[THEN sym])
 (metis (no-types, lifting) Healthy-def' SUP-cong image-image)

lemma *UINF-mem-Continuous-closed* [closure]:
 $\llbracket \text{Continuous } H; \bigwedge i. i \in A \implies P(i) \text{ is } H; A \neq \{\} \rrbracket \implies (\bigcap_{i \in A} P(i)) \text{ is } H$
 by (simp add: Sup-Continuous-closed UINF-as-Sup-collect)

lemma *UINF-mem-Continuous-closed-pair* [closure]:
 assumes *Continuous* $H \bigwedge i j. (i, j) \in A \implies P i j \text{ is } H$
 shows $(\bigcap_{(i,j) \in A} P i j) \text{ is } H$

proof –

have $(\bigcap_{(i,j) \in A} P i j) = (\bigcap_{x \in A} P (fst x) (snd x))$
 by (rel-auto)

also have ... is H

by (metis (mono-tags) UINF-mem-Continuous-closed assms(1) assms(2) assms(3) prod.collapse)
 finally show ?thesis .

qed

lemma *UINF-mem-Continuous-closed-triple* [closure]:
 assumes *Continuous* $H \bigwedge i j k. (i, j, k) \in A \implies P i j k \text{ is } H$
 shows $(\bigcap_{(i,j,k) \in A} P i j k) \text{ is } H$

proof –

have $(\bigcap_{(i,j,k) \in A} P i j k) = (\bigcap_{x \in A} P (fst x) (fst (snd x)) (snd (snd x)))$
 by (rel-auto)

also have ... is H

by (metis (mono-tags) UINF-mem-Continuous-closed assms(1) assms(2) assms(3) prod.collapse)
 finally show ?thesis .

qed

lemma *UINF-mem-Continuous-closed-quad* [closure]:
 assumes *Continuous* $H \bigwedge i j k l. (i, j, k, l) \in A \implies P i j k l \text{ is } H$
 shows $(\bigcap_{(i,j,k,l) \in A} P i j k l) \text{ is } H$

proof –

have $(\bigcap_{(i,j,k,l) \in A} P i j k l) = (\bigcap_{x \in A} P (fst x) (fst (snd x)) (fst (snd (snd x))) (snd (snd (snd x))))$

by (rel-auto)

also have ... is H

by (metis (mono-tags) UINF-mem-Continuous-closed assms(1) assms(2) assms(3) prod.collapse)
 finally show ?thesis .

qed

```

lemma UINF-mem-Continuous-closed-quint [closure]:
  assumes Continuous  $H \wedge i\ j\ k\ l\ m. (i, j, k, l, m) \in A \implies P\ i\ j\ k\ l\ m\ is\ H\ A \neq \{\}$ 
  shows  $(\bigcap (i,j,k,l,m) \in A \cdot P\ i\ j\ k\ l\ m)\ is\ H$ 
proof –
  have  $(\bigcap (i,j,k,l,m) \in A \cdot P\ i\ j\ k\ l\ m)$ 
     $= (\bigcap x \in A \cdot P\ (fst\ x)\ (fst\ (snd\ x))\ (fst\ (snd\ (snd\ x)))\ (fst\ (snd\ (snd\ (snd\ x))))\ (snd\ (snd\ (snd\ (snd\ x))))\ (snd\ (snd\ (snd\ (snd\ (snd\ x)))))$ 
    by (rel-auto)
  also have ... is H
    by (metis (mono-tags) UINF-mem-Continuous-closed assms(1) assms(2) assms(3) prod.collapse)
  finally show ?thesis .
qed

```

All continuous functions are also Scott-continuous

```

lemma sup-continuous-Continuous [closure]: Continuous  $F \implies sup\text{-}continuous\ F$ 
by (simp add: Continuous-def sup-continuous-def)

```

```

lemma USUP-healthy:  $A \subseteq \llbracket H \rrbracket_H \implies (\bigsqcup P \in A \cdot F(P)) = (\bigsqcup P \in A \cdot F(H(P)))$ 
by (rule USUP-cong, simp add: Healthy-subset-member)

```

```

lemma UINF-healthy:  $A \subseteq \llbracket H \rrbracket_H \implies (\bigcap P \in A \cdot F(P)) = (\bigcap P \in A \cdot F(H(P)))$ 
by (rule UINF-cong, simp add: Healthy-subset-member)

```

end

16 Alphabetised Relations

```

theory utp-rel
imports
  utp-pred-laws
  utp-healthy
  utp-lift
  utp-tactics
  utp-lift-pretty
begin

```

An alphabetised relation is simply a predicate whose state-space is a product type. In this theory we construct the core operators of the relational calculus, and prove a library of associated theorems, based on Chapters 2 and 5 of the UTP book [22].

16.1 Relational Alphabets

We set up convenient syntax to refer to the input and output parts of the alphabet, as is common in UTP. Since we are in a product space, these are simply the lenses fst_L and snd_L .

```

definition in $\alpha :: (' \alpha \implies ' \alpha \times ' \beta)$  where
[lens-defs]: in $\alpha = fst_L$ 

```

```

definition out $\alpha :: (' \beta \implies ' \alpha \times ' \beta)$  where
[lens-defs]: out $\alpha = snd_L$ 

```

```

lemma in $\alpha$ -uvar [simp]: vwb-lens in $\alpha$ 
by (unfold-locales, auto simp add: in $\alpha$ -def)

```

lemma *out α -uvar* [simp]: *vwb-lens out α*
by (*unfold-locales*, *auto simp add: out α -def*)

lemma *var-in-alpha* [simp]: $x ;_L in\alpha = in-var\ x$
by (*simp add: fst-lens-def in α -def in-var-def*)

lemma *var-out-alpha* [simp]: $x ;_L out\alpha = out-var\ x$
by (*simp add: out α -def out-var-def snd-lens-def*)

lemma *drop-pre-inv* [simp]: $\llbracket out\alpha \# p \rrbracket \implies \lceil \lfloor p \rfloor \rceil_{<} = p$
by (*pred-simp*)

lemma *usubst-lookup-in-var-unrest* [usubst]:
 $in\alpha \#_s \sigma \implies \langle \sigma \rangle_s (in-var\ x) = \x
by (*rel-simp*, *metis fstI*)

lemma *usubst-lookup-out-var-unrest* [usubst]:
 $out\alpha \#_s \sigma \implies \langle \sigma \rangle_s (out-var\ x) = \x'
by (*rel-simp*, *metis sndI*)

lemma *out-alpha-in-indep* [simp]:
 $out\alpha \bowtie in-var\ x\ in-var\ x \bowtie out\alpha$
by (*simp-all add: in-var-def out α -def lens-indep-def fst-lens-def snd-lens-def lens-comp-def*)

lemma *in-alpha-out-indep* [simp]:
 $in\alpha \bowtie out-var\ x\ out-var\ x \bowtie in\alpha$
by (*simp-all add: in-var-def in α -def lens-indep-def fst-lens-def lens-comp-def*)

The following two functions lift a predicate substitution to a relational one.

abbreviation *usubst-rel-lift* :: $'\alpha\ usubst \Rightarrow ('\alpha \times '\beta)\ usubst\ (\lceil - \rceil_s)$ **where**
 $\lceil \sigma \rceil_s \equiv \sigma \oplus_s in\alpha$

abbreviation *usubst-rel-drop* :: $(''\alpha \times '\alpha)\ usubst \Rightarrow '\alpha\ usubst\ (\lfloor - \rfloor_s)$ **where**
 $\lfloor \sigma \rfloor_s \equiv \sigma \upharpoonright_s in\alpha$

utp-const *usubst-rel-lift usubst-rel-drop*

The alphabet of a relation then consists wholly of the input and output portions.

lemma *alpha-in-out*:
 $\Sigma \approx_L in\alpha +_L out\alpha$
by (*simp add: fst-snd-id-lens in α -def lens-equiv-refl out α -def*)

16.2 Relational Types and Operators

We create type synonyms for conditions (which are simply predicates) – i.e. relations without dashed variables –, alphabetised relations where the input and output alphabet can be different, and finally homogeneous relations.

type-synonym $'\alpha\ cond = '\alpha\ upred$
type-synonym $(''\alpha, '\beta)\ urel = (''\alpha \times '\beta)\ upred$
type-synonym $'\alpha\ hrel = (''\alpha \times '\alpha)\ upred$
type-synonym $('a, '\alpha)\ hexpr = ('a, '\alpha \times '\alpha)\ uexpr$

translations

$$(type) ('α, 'β) urel \leq (type) ('α \times 'β) upred$$

We set up some overloaded constants for sequential composition and the identity in case we want to overload their definitions later.

consts

$useq \quad :: 'a \Rightarrow 'b \Rightarrow 'c \text{ (infixr ;; 61)}$
 $uassigns \quad :: ('a, 'b) psubst \Rightarrow 'c \text{ (}\langle \cdot \rangle_a \text{)}$
 $uskip \quad :: 'a \text{ (II)}$

We define a specialised version of the conditional where the condition can refer only to undashed variables, as is usually the case in programs, but not universally in UTP models. We implement this by lifting the condition predicate into the relational state-space with construction $U(b^<)$.

definition $lift-rcond \text{ (}\lceil \cdot \rceil_{\leftarrow} \text{) where}$

$[upred-defs]: \lceil b \rceil_{\leftarrow} = \lceil b \rceil_{<}$

abbreviation

$rcond \quad :: ('α, 'β) urel \Rightarrow 'α \text{ cond} \Rightarrow ('α, 'β) urel \Rightarrow ('α, 'β) urel$
 $((\beta \triangleleft - \triangleright_r / -) [52, 0, 53] 52)$
where $(P \triangleleft b \triangleright_r Q) \equiv (P \triangleleft \lceil b \rceil_{\leftarrow} \triangleright Q)$

Sequential composition is heterogeneous, and simply requires that the output alphabet of the first matches then input alphabet of the second. We define it by lifting HOL's built-in relational composition operator $((O))$. Since this returns a set, the definition states that the state binding b is an element of this set.

lift-definition $seqr :: ('α, 'β) urel \Rightarrow ('β, 'γ) urel \Rightarrow ('α \times 'γ) upred$

is $\lambda P Q b. b \in (\{p. P p\} O \{q. Q q\})$.

ad hoc-overloading

$useq \ seqr$

We also set up a homogeneous sequential composition operator, and versions of $U(true)$ and $U(false)$ that are explicitly typed by a homogeneous alphabet.

abbreviation $seqh \quad :: 'α \text{ hrel} \Rightarrow 'α \text{ hrel} \Rightarrow 'α \text{ hrel (infixr ;;}_h \text{ 61) where}$

$seqh P Q \equiv (P ;; Q)$

abbreviation $truer \quad :: 'α \text{ hrel (true}_h \text{) where}$

$truer \equiv true$

abbreviation $falsr \quad :: 'α \text{ hrel (false}_h \text{) where}$

$falsr \equiv false$

We define the relational converse operator as an alphabet extrusion on the bijective lens $swap_L$ that swaps the elements of the product state-space.

abbreviation $conv-r \quad :: ('a, 'α \times 'β) uexpr \Rightarrow ('a, 'β \times 'α) uexpr \text{ (- [999] 999)}$

where $conv-r e \equiv e \oplus_p swap_L$

Assignment is defined using substitutions, where latter defines what each variable should map to. This approach, which is originally due to Back [3], permits more general assignment expressions. The definition of the operator identifies the after state binding, b' , with the substitution function applied to the before state binding b .

lift-definition $assigns-r \quad :: ('α, 'β) psubst \Rightarrow ('α, 'β) urel$

is $\lambda \sigma (b, b'). b' = \sigma(b)$.

ad hoc-overloading

uassigns assigns-r

Relational identity, or skip, is then simply an assignment with the identity substitution: it simply identifies all variables.

definition *skip-r* :: $'\alpha$ hrel **where**

[urel-defs]: *skip-r* = *assigns-r* *id_s*

ad hoc-overloading

uskip skip-r

Non-deterministic assignment, also known as “choose”, assigns an arbitrarily chosen value to the given variable

definition *nd-assign* :: $('a \Rightarrow '\alpha) \Rightarrow '\alpha$ hrel **where**

[urel-defs]: *nd-assign* $x = (\bigsqcap v \cdot \text{assigns-r } [x \mapsto_s \llbracket v \rrbracket])$

We set up iterated sequential composition which iterates an indexed predicate over the elements of a list.

definition *seqr-iter* :: $'a$ list $\Rightarrow ('a \Rightarrow 'b$ hrel) $\Rightarrow 'b$ hrel **where**

[urel-defs]: *seqr-iter* $xs P = \text{foldr } (\lambda i Q. P(i) ;; Q) xs II$

A singleton assignment simply applies a singleton substitution function, and similarly for a double assignment.

abbreviation *assign-r* :: $('t \Rightarrow '\alpha) \Rightarrow ('t, '\alpha)$ uexpr $\Rightarrow '\alpha$ hrel

where *assign-r* $x v \equiv \langle [x \mapsto_s v] \rangle_a$

abbreviation *assign-2-r* ::

$('t1 \Rightarrow '\alpha) \Rightarrow ('t2 \Rightarrow '\alpha) \Rightarrow ('t1, '\alpha)$ uexpr $\Rightarrow ('t2, '\alpha)$ uexpr $\Rightarrow '\alpha$ hrel

where *assign-2-r* $x y u v \equiv \text{assigns-r } [x \mapsto_s u, y \mapsto_s v]$

We also define the alphabetised skip operator that identifies all input and output variables in the given alphabet lens. All other variables are unrestricted. We also set up syntax for it.

definition *skip-ra* :: $('b, '\alpha)$ lens $\Rightarrow '\alpha$ hrel **where**

[urel-defs]: *skip-ra* $v = (\$v' =_u \$v)$

Similarly, we define the alphabetised assignment operator.

definition *assigns-ra* :: $'\alpha$ usubst $\Rightarrow ('b, '\alpha)$ lens $\Rightarrow '\alpha$ hrel ($\langle \cdot \rangle$) **where**

$\langle \sigma \rangle_a = (\lceil \sigma \rceil_s \uparrow \text{skip-ra } a)$

Assumptions (c^\top) and assertions (c_\perp) are encoded as conditionals. An assumption behaves like skip if the condition is true, and otherwise behaves like $\mathbf{U}(\text{false})$ (miracle). An assertion is the same, but yields $\mathbf{U}(\text{true})$, which is an abort. They are the same as tests, as in Kleene Algebra with Tests [24, 1] (KAT), which embeds a Boolean algebra into a Kleene algebra to represent conditions.

definition *rassume* :: $'\alpha$ upred $\Rightarrow '\alpha$ hrel ($\lceil \cdot \rceil$) **where**

[urel-defs]: *rassume* $c = II \triangleleft c \triangleright_r \text{false}$

notation *rassume* ($\lceil \cdot \rceil$)

utp-lift-notation *rassume*

definition *rassert* :: $'\alpha$ upred $\Rightarrow '\alpha$ hrel ($\{\cdot\}_\perp$) **where**

[urel-defs]: *rassert* $c = II \triangleleft c \triangleright_r \text{true}$

utp-lift-notation *raassert*

We also encode “naked” guarded commands [8, ?] by composing an assumption with a relation.

definition *rgcmd* :: 'a upred \Rightarrow 'a hrel \Rightarrow 'a hrel ($- \longrightarrow_r -$ [55, 56] 55) **where**
 $[urel-defs]: rgcmd\ b\ P = (rassume\ b\ ;;\ P)$

utp-lift-notation *rgcmd* (1)

We define two variants of while loops based on strongest and weakest fixed points. The former is $U(false)$ for an infinite loop, and the latter is $U(true)$.

definition *while-top* :: 'α cond \Rightarrow 'α hrel \Rightarrow 'α hrel (*while*[⊤] - do - od) **where**
 $[urel-defs]: while-top\ b\ P = (\nu\ X \cdot (P\ ;;\ X) \triangleleft b \triangleright_r II)$

notation *while-top* (*while* - do - od)

utp-lift-notation *while-top* (1)

definition *while-bot* :: 'α cond \Rightarrow 'α hrel \Rightarrow 'α hrel (*while*_⊥ - do - od) **where**
 $[urel-defs]: while-bot\ b\ P = (\mu\ X \cdot (P\ ;;\ X) \triangleleft b \triangleright_r II)$

utp-lift-notation *while-bot* (1)

While loops with invariant decoration (cf. [1]) – partial correctness.

definition *while-inv* :: 'α cond \Rightarrow 'α cond \Rightarrow 'α hrel \Rightarrow 'α hrel (*while* - invr - do - od) **where**
 $[urel-defs]: while-inv\ b\ p\ S = while-top\ b\ S$

utp-lift-notation *while-inv* (2)

While loops with invariant decoration – total correctness.

definition *while-inv-bot* :: 'α cond \Rightarrow 'α cond \Rightarrow 'α hrel \Rightarrow 'α hrel (*while*_⊥ - invr - do - od 71) **where**
 $[urel-defs]: while-inv-bot\ b\ p\ S = while-bot\ b\ S$

utp-lift-notation *while-inv-bot* (2)

While loops with invariant and variant decorations – total correctness.

definition *while-vrt* ::
 'α cond \Rightarrow 'α cond \Rightarrow (nat, 'α) uexpr \Rightarrow 'α hrel \Rightarrow 'α hrel (*while* - invr - vrt - do - od) **where**
 $[urel-defs]: while-vrt\ b\ p\ v\ S = while-bot\ b\ S$

utp-lift-notation *while-vrt* (3)

translations

$?[b] \leq ?[U(b)]$
 $\{b\}_\perp \leq \{U(b)\}_\perp$
 $while\ b\ do\ P\ od \leq while\ U(b)\ do\ P\ od$
 $while\ b\ invr\ c\ do\ P\ od \leq while\ U(b)\ invr\ U(c)\ do\ P\ od$

We implement a poor man’s version of alphabet restriction that hides a variable within a relation.

definition *rel-var-res* :: 'α hrel \Rightarrow ('a \Rightarrow 'α) \Rightarrow 'α hrel (**infix** \upharpoonright_α 80) **where**
 $[urel-defs]: P \upharpoonright_\alpha x = (\exists\ \$x \cdot \exists\ \$x' \cdot P)$

16.3 Syntax Translations

— Alternative traditional conditional syntax

abbreviation (*input*) *rifthenelse* ((*if* (-)/ *then* (-)/ *else* (-)/ *fi*)
where *rifthenelse* *b P Q* $\equiv P \triangleleft b \triangleright_r Q$

abbreviation (*input*) *rifthen* ((*if* (-)/ *then* (-)/ *fi*)
where *rifthen* *b P* $\equiv \text{rifthenelse } b P \text{ II}$

utp-lift-notation *rifthenelse* (1 2)
utp-lift-notation *rifthen* (1)

syntax

- Iterated sequential composition
`-seqr-iter :: pptrn \Rightarrow 'a list \Rightarrow 'σ hrel \Rightarrow 'σ hrel ((3;; - : - ·/ -) [0, 0, 10] 10)`
- Single and multiple assignement
`-assignment :: svids \Rightarrow uexprs \Rightarrow 'α hrel (('(-) := '(-))`
`-assignment :: svids \Rightarrow uexprs \Rightarrow 'α hrel (infixr := 62)`
- Non-deterministic assignment
`-nd-assign :: svids \Rightarrow logic (- := * [62] 62)`
- Substitution constructor
`-mk-usubst :: svids \Rightarrow uexprs \Rightarrow 'α usubst`
- Alphabetised skip
`-skip-ra :: salpha \Rightarrow logic (II.)`

translations

```
;; x : l · P  $\equiv$  (CONST seqr-iter) l (λx. P)
-mk-usubst σ (-svid-unit x) v  $\equiv$  σ(&x  $\mapsto_s$  v)
-mk-usubst σ (-svid-list x xs) (-uexprs v vs)  $\equiv$  (-mk-usubst (σ(&x  $\mapsto_s$  v)) xs vs)
-assignment xs vs  $\Rightarrow$  CONST uassigns (-mk-usubst ids xs vs)
-assignment x v <= CONST uassigns (CONST subst-upd ids x v)
-assignment x v <= -assignment (-spvar x) v
-assignment x v <= -assignment x (-UTP v)
-nd-assign x  $\Rightarrow$  CONST nd-assign (-mk-svid-list x)
-nd-assign x <= CONST nd-assign x
x,y := u,v <= CONST uassigns (CONST subst-upd (CONST subst-upd ids (CONST pr-var x) u)
(CONST pr-var y) v)
-skip-ra v  $\equiv$  CONST skip-ra v
```

The following code sets up pretty-printing for homogeneous relational expressions. We cannot do this via the “translations” command as we only want the rule to apply when the input and output alphabet types are the same. The code has to deconstruct a (*a*, *α*) *uexpr* type, determine that it is relational (product alphabet), and then checks if the types *alpha* and *beta* are the same. If they are, the type is printed as a *hexpr*. Otherwise, we have no match. We then set up a regular translation for the *hrel* type that uses this.

```
print-translation (
let
fun tr' ctx [ a
, Const (@{type-syntax prod},-) $ alpha $ beta ] =
if (alpha = beta)
then Syntax.const @{type-syntax hexpr} $ a $ alpha
else raise Match;
in [(@{type-syntax uexpr},tr')]
end
```

>

translations

$(type) \ 'a \ hrel \leq (type) \ (bool, \ 'a) \ hexpr$

16.4 Relation Properties

We describe some properties of relations, including functional and injective relations. We also provide operators for extracting the domain and range of a UTP relation.

definition $ufunctional :: ('a, 'b) \ urel \Rightarrow bool$
where $[urel-defs]: ufunctional \ R \longleftrightarrow II \sqsubseteq R^- ;; R$

definition $uinj :: ('a, 'b) \ urel \Rightarrow bool$
where $[urel-defs]: uninj \ R \longleftrightarrow II \sqsubseteq R ;; R^-$

definition $Pre :: ('a, 'b) \ urel \Rightarrow 'a \ upred$
where $[upred-defs]: Pre \ P = [\exists \ \$v' \cdot P]_<$

definition $Post :: ('a, 'b) \ urel \Rightarrow 'b \ upred$
where $[upred-defs]: Post \ P = [\exists \ \$v \cdot P]_>$

utp-const $Pre \ Post$

— Configuration for UTP tactics.

update-uexpr-rep-eq-thms — Reread *rep-eq* theorems.

16.5 Introduction laws

lemma $urel-refine-ext$:

$$[\bigwedge s \ s'. P[\llbracket \langle s \rangle, \langle s' \rangle \rrbracket / \$v, \$v']] \sqsubseteq Q[\llbracket \langle s \rangle, \langle s' \rangle \rrbracket / \$v, \$v']] \Longrightarrow P \sqsubseteq Q$$
by $(rel-auto)$

lemma $urel-eq-ext$:

$$[\bigwedge s \ s'. P[\llbracket \langle s \rangle, \langle s' \rangle \rrbracket / \$v, \$v']] = Q[\llbracket \langle s \rangle, \langle s' \rangle \rrbracket / \$v, \$v']] \Longrightarrow P = Q$$
by $(rel-auto)$

16.6 Unrestriction Laws

lemma $unrest-iuvar \ [unrest]: out\alpha \ \# \ \x
by $(metis \ fst-snd-lens-indep \ lift-pre-var \ out\alpha-def \ unrest-aext-indep)$

lemma $unrest-ouvar \ [unrest]: in\alpha \ \# \ \x'
by $(metis \ in\alpha-def \ lift-post-var \ snd-fst-lens-indep \ unrest-aext-indep)$

lemma $unrest-semir-undash \ [unrest]:$
fixes $x :: ('a \Longrightarrow 'a)$
assumes $\$x \ \# \ P$
shows $\$x \ \# \ P ;; Q$
using $assms \ by \ (rel-auto)$

lemma $unrest-semir-dash \ [unrest]:$
fixes $x :: ('a \Longrightarrow 'a)$
assumes $\$x' \ \# \ Q$
shows $\$x' \ \# \ P ;; Q$

using *assms* **by** (*rel-auto*)

lemma *unrest-cond* [*unrest*]:
 $\llbracket x \# P; x \# b; x \# Q \rrbracket \Longrightarrow x \# P \triangleleft b \triangleright Q$
by (*rel-auto*)

lemma *unrest-lift-rcond* [*unrest*]:
 $x \# [b]_< \Longrightarrow x \# [b]_{\leftarrow}$
by (*simp add: lift-rcond-def*)

lemma *unrest-in α -var* [*unrest*]:
 $\llbracket \text{mwb-lens } x; \text{in}\alpha \# (P :: ('a, ('\alpha \times '\beta)) \text{ uexpr}) \rrbracket \Longrightarrow \$x \# P$
by (*rel-auto*)

lemma *unrest-out α -var* [*unrest*]:
 $\llbracket \text{mwb-lens } x; \text{out}\alpha \# (P :: ('a, ('\alpha \times '\beta)) \text{ uexpr}) \rrbracket \Longrightarrow \$x' \# P$
by (*rel-auto*)

lemma *unrest-pre-out α* [*unrest*]: $\text{out}\alpha \# [b]_<$
by (*transfer, auto simp add: out α -def*)

lemma *unrest-post-in α* [*unrest*]: $\text{in}\alpha \# [b]_>$
by (*transfer, auto simp add: in α -def*)

lemma *unrest-pre-in-var* [*unrest*]:
 $x \# p1 \Longrightarrow \$x \# [p1]_<$
by (*transfer, simp*)

lemma *unrest-post-out-var* [*unrest*]:
 $x \# p1 \Longrightarrow \$x' \# [p1]_>$
by (*transfer, simp*)

lemma *unrest-convr-out α* [*unrest*]:
 $\text{in}\alpha \# p \Longrightarrow \text{out}\alpha \# p^-$
by (*transfer, auto simp add: lens-defs*)

lemma *unrest-convr-in α* [*unrest*]:
 $\text{out}\alpha \# p \Longrightarrow \text{in}\alpha \# p^-$
by (*transfer, auto simp add: lens-defs*)

lemma *unrest-out-alpha-usubst-rel-lift* [*unrest*]:
 $\text{out}\alpha \#_s [\sigma]_s$
by (*rel-auto*)

lemma *unrest-in-rel-var-res* [*unrest*]:
 $\text{vwb-lens } x \Longrightarrow \$x \# (P \upharpoonright_\alpha x)$
by (*simp add: rel-var-res-def unrest*)

lemma *unrest-out-rel-var-res* [*unrest*]:
 $\text{vwb-lens } x \Longrightarrow \$x' \# (P \upharpoonright_\alpha x)$
by (*simp add: rel-var-res-def unrest*)

16.7 Substitution laws

lemma *subst-seq-left* [*usubst*]:
 $\text{out}\alpha \#_s \sigma \Longrightarrow \sigma \upharpoonright (P ;; Q) = (\sigma \upharpoonright P) ;; Q$

by (rel-simp, (metis (no-types, lifting) Pair-inject surjective-pairing)+)

lemma subst-seq-right [usubst]:

$in\alpha \#_s \sigma \implies \sigma \dagger (P ;; Q) = P ;; (\sigma \dagger Q)$

by (rel-simp, (metis (no-types, lifting) Pair-inject surjective-pairing)+)

The following laws support substitution in heterogeneous relations for polymorphically typed literal expressions. These cannot be supported more generically due to limitations in HOL's type system. The laws are presented in a slightly strange way so as to be as general as possible.

lemma bool-seqr-laws [usubst]:

fixes $x :: (bool \implies 'a)$

shows

$\bigwedge P Q \sigma. \sigma(\$x \mapsto_s true) \dagger (P ;; Q) = \sigma \dagger (P[true/\$x] ;; Q)$
 $\bigwedge P Q \sigma. \sigma(\$x \mapsto_s false) \dagger (P ;; Q) = \sigma \dagger (P[false/\$x] ;; Q)$
 $\bigwedge P Q \sigma. \sigma(\$x' \mapsto_s true) \dagger (P ;; Q) = \sigma \dagger (P ;; Q[true/\$x'])$
 $\bigwedge P Q \sigma. \sigma(\$x' \mapsto_s false) \dagger (P ;; Q) = \sigma \dagger (P ;; Q[false/\$x'])$
 by (rel-auto)+

lemma zero-one-seqr-laws [usubst]:

fixes $x :: (- \implies 'a)$

shows

$\bigwedge P Q \sigma. \sigma(\$x \mapsto_s 0) \dagger (P ;; Q) = \sigma \dagger (P[0/\$x] ;; Q)$
 $\bigwedge P Q \sigma. \sigma(\$x \mapsto_s 1) \dagger (P ;; Q) = \sigma \dagger (P[1/\$x] ;; Q)$
 $\bigwedge P Q \sigma. \sigma(\$x' \mapsto_s 0) \dagger (P ;; Q) = \sigma \dagger (P ;; Q[0/\$x'])$
 $\bigwedge P Q \sigma. \sigma(\$x' \mapsto_s 1) \dagger (P ;; Q) = \sigma \dagger (P ;; Q[1/\$x'])$
 by (rel-auto)+

lemma numeral-seqr-laws [usubst]:

fixes $x :: (- \implies 'a)$

shows

$\bigwedge P Q \sigma. \sigma(\$x \mapsto_s numeral\ n) \dagger (P ;; Q) = \sigma \dagger (P[numeral\ n/\$x] ;; Q)$
 $\bigwedge P Q \sigma. \sigma(\$x' \mapsto_s numeral\ n) \dagger (P ;; Q) = \sigma \dagger (P ;; Q[numeral\ n/\$x'])$
 by (rel-auto)+

lemma usubst-condr [usubst]:

$\sigma \dagger (P \triangleleft b \triangleright Q) = (\sigma \dagger P \triangleleft \sigma \dagger b \triangleright \sigma \dagger Q)$

by (rel-auto)

lemma subst-skip-r [usubst]:

$out\alpha \#_s \sigma \implies \sigma \dagger II = \langle \lfloor \sigma \rfloor_s \rangle_a$

by (rel-simp, (metis (mono-tags, lifting) prod.sel(1) sndI surjective-pairing)+)

lemma subst-pre-skip [usubst]: $\lceil \sigma \rceil_s \dagger II = \langle \sigma \rangle_a$

by (rel-auto)

lemma subst-rel-lift-seq [usubst]:

$\lceil \sigma \rceil_s \dagger (P ;; Q) = (\lceil \sigma \rceil_s \dagger P) ;; Q$

by (rel-auto)

lemma subst-rel-lift-comp [usubst]:

$\lceil \sigma \rceil_s \circ_s \lceil \varrho \rceil_s = \lceil \sigma \circ_s \varrho \rceil_s$

by (rel-auto)

lemma usubst-upd-in-comp [usubst]:

$\sigma(\&in\alpha : x \mapsto_s v) = \sigma(\$x \mapsto_s v)$

by (simp add: pr-var-def fst-lens-def in α -def in-var-def)

lemma *usubst-upd-out-comp* [usubst]:
 $\sigma(\&out\alpha:x \mapsto_s v) = \sigma(\$x' \mapsto_s v)$
 by (simp add: pr-var-def out α -def out-var-def snd-lens-def)

lemma *subst-lift-upd* [alpha]:
 fixes $x :: ('a \implies ' \alpha)$
 shows $\lceil \sigma(x \mapsto_s v) \rceil_s = \lceil \sigma \rceil_s(\$x \mapsto_s \lceil v \rceil_<)$
 by (simp add: alpha usubst, simp add: pr-var-def fst-lens-def in α -def in-var-def)

lemma *subst-drop-upd* [alpha]:
 fixes $x :: ('a \implies ' \alpha)$
 shows $\lfloor \sigma(\$x \mapsto_s v) \rfloor_s = \lfloor \sigma \rfloor_s(x \mapsto_s \lfloor v \rfloor_<)$
 by pred-simp

lemma *subst-lift-pre* [usubst]: $\lceil \sigma \rceil_s \dagger \lceil b \rceil_< = \lceil \sigma \dagger b \rceil_<$
 by (metis apply-subst-ext fst-vwb-lens in α -def)

lemma *unrest-usubst-lift-in* [unrest]:
 $x \# P \implies \$x \# \lceil P \rceil_s$
 by pred-simp

lemma *unrest-usubst-lift-out* [unrest]:
 fixes $x :: ('a \implies ' \alpha)$
 shows $\$x' \#_s \lceil P \rceil_s$
 by pred-simp

lemma *subst-lift-cond* [usubst]: $\lceil \sigma \rceil_s \dagger \lceil s \rceil_{\leftarrow} = \lceil \sigma \dagger s \rceil_{\leftarrow}$
 by (rel-auto)

lemma *msubst-seq* [usubst]: $(P(x) ;; Q(x))\llbracket x \rightarrow \ll v \gg \rrbracket = ((P(x))\llbracket x \rightarrow \ll v \gg \rrbracket ;; (Q(x))\llbracket x \rightarrow \ll v \gg \rrbracket)$
 by (rel-auto)

16.8 Alphabet laws

lemma *aext-cond* [alpha]:
 $(P \triangleleft b \triangleright Q) \oplus_p a = ((P \oplus_p a) \triangleleft (b \oplus_p a) \triangleright (Q \oplus_p a))$
 by (rel-auto)

lemma *aext-seq* [alpha]:
 $wb\text{-}lens\ a \implies ((P ;; Q) \oplus_p (a \times_L a)) = ((P \oplus_p (a \times_L a)) ;; (Q \oplus_p (a \times_L a)))$
 by (rel-simp, metis wb-lens-weak weak-lens.put-get)

lemma *rcond-lift-true* [simp]:
 $\lceil true \rceil_{\leftarrow} = true$
 by rel-auto

lemma *rcond-lift-false* [simp]:
 $\lceil false \rceil_{\leftarrow} = false$
 by rel-auto

no-utp-lift *rcond uassigns id segr useq uskip rcond rassume rassert*
conv-r rgcmd while-top while-bot while-inv while-inv-bot while-vrt

end

17 Fixed-points and Recursion

```
theory utp-recursion
  imports
    utp-pred-laws
    utp-rel
begin
```

17.1 Fixed-point Laws

```
lemma mu-id:  $(\mu X \cdot X) = \text{true}$ 
  by (simp add: antisym gfp-upperbound)
```

```
lemma mu-const:  $(\mu X \cdot P) = P$ 
  by (simp add: gfp-const)
```

```
lemma nu-id:  $(\nu X \cdot X) = \text{false}$ 
  by (meson lfp-lowerbound utp-pred-laws.bot.extremum-unique)
```

```
lemma nu-const:  $(\nu X \cdot P) = P$ 
  by (simp add: lfp-const)
```

```
lemma mu-refine-intro:
  assumes  $(C \Rightarrow S) \sqsubseteq F(C \Rightarrow S) \ (C \wedge \mu F) = (C \wedge \nu F)$ 
  shows  $(C \Rightarrow S) \sqsubseteq \mu F$ 
proof -
  from assms have  $(C \Rightarrow S) \sqsubseteq \nu F$ 
  by (simp add: lfp-lowerbound)
  with assms show ?thesis
  by (pred-auto)
qed
```

17.2 Obtaining Unique Fixed-points

Obtaining termination proofs via approximation chains. Theorems and proofs adapted from Chapter 2, page 63 of the UTP book [22].

```
type-synonym 'a chain = nat  $\Rightarrow$  'a upred
```

```
definition chain :: 'a chain  $\Rightarrow$  bool where
  chain Y =  $((Y\ 0 = \text{false}) \wedge (\forall i. Y\ (\text{Suc } i) \sqsubseteq Y\ i))$ 
```

```
lemma chain0 [simp]: chain Y  $\Longrightarrow$  Y 0 = false
  by (simp add: chain-def)
```

```
lemma chainI:
  assumes  $Y\ 0 = \text{false} \wedge i. Y\ (\text{Suc } i) \sqsubseteq Y\ i$ 
  shows chain Y
  using assms by (auto simp add: chain-def)
```

```
lemma chainE:
  assumes chain Y  $\wedge i. \llbracket Y\ 0 = \text{false}; Y\ (\text{Suc } i) \sqsubseteq Y\ i \rrbracket \Longrightarrow P$ 
  shows P
  using assms by (simp add: chain-def)
```

```
lemma L274:
```


assumes $\forall n. ((E\ n \wedge_p X) = (E\ n \wedge Y))$
shows $(\bigcap (\text{range } E) \wedge X) = (\bigcap (\text{range } E) \wedge Y)$
using *assms* **by** (*pred-auto*)

Constructive chains

definition *constr* ::

$('a \text{ upred} \Rightarrow 'a \text{ upred}) \Rightarrow 'a \text{ chain} \Rightarrow \text{bool}$ **where**
 $\text{constr } F\ E \longleftrightarrow \text{chain } E \wedge (\forall X\ n. ((F(X) \wedge E(n+1)) = (F(X \wedge E(n)) \wedge E(n+1))))$

lemma *constrI*:

assumes $\text{chain } E \wedge X\ n. ((F(X) \wedge E(n+1)) = (F(X \wedge E(n)) \wedge E(n+1)))$
shows $\text{constr } F\ E$
using *assms* **by** (*auto simp add: constr-def*)

This lemma gives a way of showing that there is a unique fixed-point when the predicate function can be built using a constructive function F over an approximation chain E

lemma *chain-pred-terminates*:

assumes $\text{constr } F\ E \text{ mono } F$
shows $(\bigcap (\text{range } E) \wedge \mu F) = (\bigcap (\text{range } E) \wedge \nu F)$

proof –

from *assms* **have** $\forall n. (E\ n \wedge \mu F) = (E\ n \wedge \nu F)$

proof (*rule-tac allI*)

fix *n*

from *assms* **show** $(E\ n \wedge \mu F) = (E\ n \wedge \nu F)$

proof (*induct n*)

case 0 **thus** ?*case* **by** (*simp add: constr-def*)

next

case (*Suc n*)

note *hyp* = *this*

thus ?*case*

proof –

have $(E\ (n+1) \wedge \mu F) = (E\ (n+1) \wedge F\ (\mu F))$

using *gfp-unfold*[*OF hyp*(*?*), *THEN sym*] **by** (*simp add: constr-def*)

also from *hyp* **have** $\dots = (E\ (n+1) \wedge F\ (E\ n \wedge \mu F))$

by (*metis conj-comm constr-def*)

also from *hyp* **have** $\dots = (E\ (n+1) \wedge F\ (E\ n \wedge \nu F))$

by *simp*

also from *hyp* **have** $\dots = (E\ (n+1) \wedge \nu F)$

by (*metis (no-types, lifting) conj-comm constr-def lfp-unfold*)

ultimately show ?*thesis*

by *simp*

qed

qed

qed

thus ?*thesis*

by (*auto intro: L274*)

qed

theorem *constr-fp-uniq*:

assumes $\text{constr } F\ E \text{ mono } F \bigcap (\text{range } E) = C$

shows $(C \wedge \mu F) = (C \wedge \nu F)$

using *assms*(1) *assms*(2) *assms*(3) *chain-pred-terminates* **by** *blast*

17.3 Noetherian Induction Instantiation

Contribution from Yakoub Nemouchi. The following generalization was used by Tobias Nipkow and Peter Lammich in *Refine_Monadic*

```

lemma wf-fixp-uinduct-pure-ueq-gen:
  assumes fixp-unfold:  $fp\ B = B\ (fp\ B)$ 
  and  $WF: wf\ R$ 
  and induct-step:
     $\bigwedge f\ st. [\bigwedge st'. (st', st) \in R \implies (((pre \wedge [e]_{<} =_u \ll st' \gg) \Rightarrow post) \sqsubseteq f)]$ 
     $\implies fp\ B = f \implies ((pre \wedge [e]_{<} =_u \ll st \gg) \Rightarrow post) \sqsubseteq (B\ f)$ 
  shows  $((pre \Rightarrow post) \sqsubseteq fp\ B)$ 
proof -
  { fix  $st$ 
    have  $((pre \wedge [e]_{<} =_u \ll st \gg) \Rightarrow post) \sqsubseteq (fp\ B)$ 
    using  $WF$  proof (induction rule: wf-induct-rule)
    case (less x)
    hence  $(pre \wedge [e]_{<} =_u \ll x \gg \Rightarrow post) \sqsubseteq B\ (fp\ B)$ 
    by (rule induct-step, rel-blast, simp)
    then show ?case
    using fixp-unfold by auto
    qed
  }
  thus ?thesis
  by pred-simp
qed

```

The next lemma shows that using substitution also work. However it is not that generic nor practical for proof automation ...

```

lemma refine-usubst-to-ueq:
   $vwb\ lens\ E \implies (pre \Rightarrow post) [\ll st' \gg / \$E] \sqsubseteq f [\ll st' \gg / \$E] = (((pre \wedge \$E =_u \ll st' \gg) \Rightarrow post) \sqsubseteq f)$ 
  by (rel-auto, metis vwb-lens-wb wb-lens.get-put)

```

By instantiation of $[\ll fp\ ?B = ?B\ (?fp\ ?B); wf\ ?R; \bigwedge f\ st. [\bigwedge st'. (st', st) \in ?R \implies (?pre \wedge bop\ (=)\ (?e_{<}^<) \ U(st') \Rightarrow ?post) \sqsubseteq f; ?fp\ ?B = f] \implies (?pre \wedge bop\ (=)\ (?e_{<}^<) \ U(st) \Rightarrow ?post) \sqsubseteq ?B\ f] \implies (?pre \Rightarrow ?post) \sqsubseteq ?fp\ ?B$ with μ and lifting of the well-founded relation we have ...

```

lemma mu-rec-total-pure-rule:
  assumes  $WF: wf\ R$ 
  and  $M: mono\ B$ 
  and induct-step:
     $\bigwedge f\ st. [((pre \wedge ([e]_{<} \ll st \gg)_u \in_u \ll R \gg) \Rightarrow post) \sqsubseteq f]$ 
     $\implies \mu\ B = f \implies (pre \wedge [e]_{<} =_u \ll st \gg \Rightarrow post) \sqsubseteq (B\ f)$ 
  shows  $(pre \Rightarrow post) \sqsubseteq \mu\ B$ 
proof (rule wf-fixp-uinduct-pure-ueq-gen[where fp= $\mu$  and pre= $pre$  and B= $B$  and R= $R$  and e= $e$ ])
  show  $\mu\ B = B\ (\mu\ B)$ 
  by (simp add: M def-gfp-unfold)
  show  $wf\ R$ 
  by (fact WF)
  show  $\bigwedge f\ st. (\bigwedge st'. (st', st) \in R \implies (pre \wedge [e]_{<} =_u \ll st' \gg \Rightarrow post) \sqsubseteq f) \implies$ 
     $\mu\ B = f \implies$ 
     $(pre \wedge [e]_{<} =_u \ll st \gg \Rightarrow post) \sqsubseteq B\ f$ 
  by (rule induct-step, rel-simp, simp)
qed

```

lemma *nu-rec-total-pure-rule*:

assumes *WF*: $wf\ R$

and M : *mono* B

and *induct-step*:

$$\bigwedge f\ st. \llbracket (pre \wedge ([e]_{<, \ll st \gg})_u \in_u \ll R \gg \Rightarrow post) \sqsubseteq f \rrbracket \\ \Rightarrow \nu\ B = f \Rightarrow (pre \wedge [e]_{<} =_u \ll st \gg \Rightarrow post) \sqsubseteq (B\ f)$$

shows $(pre \Rightarrow post) \sqsubseteq \nu\ B$

proof (*rule wf-fixp-uinduct-pure-ueq-gen*[**where** $fp=\nu$ **and** $pre=pre$ **and** $B=B$ **and** $R=R$ **and** $e=e$])

show $\nu\ B = B\ (\nu\ B)$

by (*simp add: M def-lfp-unfold*)

show $wf\ R$

by (*fact WF*)

show $\bigwedge f\ st. (\bigwedge st'. (st', st) \in R \Rightarrow (pre \wedge [e]_{<} =_u \ll st' \gg \Rightarrow post) \sqsubseteq f) \Rightarrow$
 $\nu\ B = f \Rightarrow$

$$(pre \wedge [e]_{<} =_u \ll st \gg \Rightarrow post) \sqsubseteq B\ f$$

by (*rule induct-step, rel-simp, simp*)

qed

Since $B\ U(pre \wedge (E^<, st) \in R \Rightarrow post) \sqsubseteq B\ (\mu\ B)$ and *mono* B , thus, $\llbracket wf\ ?R; Monotonic\ ?B; \bigwedge f\ st. \llbracket (?pre \wedge bop\ (\in)\ (bop\ Pair\ (?e^<) \ U(st)) \ U(?R) \Rightarrow ?post) \sqsubseteq f; \mu\ ?B = f \rrbracket \Rightarrow (?pre \wedge bop\ (=)\ (?e^<) \ U(st) \Rightarrow ?post) \sqsubseteq ?B\ f \rrbracket \Rightarrow (?pre \Rightarrow ?post) \sqsubseteq \mu\ ?B$ can be expressed as follows

lemma *mu-rec-total-utp-rule*:

assumes *WF*: $wf\ R$

and M : *mono* B

and *induct-step*:

$$\bigwedge st. (pre \wedge [e]_{<} =_u \ll st \gg \Rightarrow post) \sqsubseteq (B\ ((pre \wedge ([e]_{<, \ll st \gg})_u \in_u \ll R \gg \Rightarrow post)))$$

shows $(pre \Rightarrow post) \sqsubseteq \mu\ B$

proof (*rule mu-rec-total-pure-rule*[**where** $R=R$ **and** $e=e$], *simp-all add: assms*)

show $\bigwedge f\ st. (pre \wedge ([e]_{<, \ll st \gg})_u \in_u \ll R \gg \Rightarrow post) \sqsubseteq f \Rightarrow \mu\ B = f \Rightarrow (pre \wedge [e]_{<} =_u \ll st \gg \Rightarrow post) \sqsubseteq B\ f$

by (*simp add: M induct-step monoD order-subst2*)

qed

lemma *nu-rec-total-utp-rule*:

assumes *WF*: $wf\ R$

and M : *mono* B

and *induct-step*:

$$\bigwedge st. (pre \wedge [e]_{<} =_u \ll st \gg \Rightarrow post) \sqsubseteq (B\ ((pre \wedge ([e]_{<, \ll st \gg})_u \in_u \ll R \gg \Rightarrow post)))$$

shows $(pre \Rightarrow post) \sqsubseteq \nu\ B$

proof (*rule nu-rec-total-pure-rule*[**where** $R=R$ **and** $e=e$], *simp-all add: assms*)

show $\bigwedge f\ st. (pre \wedge ([e]_{<, \ll st \gg})_u \in_u \ll R \gg \Rightarrow post) \sqsubseteq f \Rightarrow \nu\ B = f \Rightarrow (pre \wedge [e]_{<} =_u \ll st \gg \Rightarrow post) \sqsubseteq B\ f$

by (*simp add: M induct-step monoD order-subst2*)

qed

end

18 Sequent Calculus

theory *utp-sequent*

imports *utp-pred-laws*

begin

definition *sequent* :: 'α upred ⇒ 'α upred ⇒ bool (**infixr** \Vdash 15) **where**
[upred-defs]: *sequent* $P\ Q = (Q \sqsubseteq P)$

utp-lift-notation *sequent*

abbreviation *sequent-triv* (\Vdash - [15] 15) **where** $\Vdash P \equiv (true \Vdash P)$

translations

$\Vdash P \leq true \Vdash P$

Conversion of UTP sequent to Isabelle proposition

lemma *sequentI*: $\llbracket \bigwedge s. \llbracket \Gamma \rrbracket_e s \implies \llbracket \varphi \rrbracket_e s \rrbracket \implies \Gamma \Vdash \varphi$
by (*rel-auto*)

lemma *sTrue*: $P \Vdash true$
by *pred-auto*

lemma *sAx*: $P \Vdash P$
by *pred-auto*

lemma *sNotI*: $\Gamma \wedge P \Vdash false \implies \Gamma \Vdash \neg P$
by *pred-auto*

lemma *sConjI*: $\llbracket \Gamma \Vdash P; \Gamma \Vdash Q \rrbracket \implies \Gamma \Vdash P \wedge Q$
by *pred-auto*

lemma *sImplI*: $\llbracket P \wedge \Gamma \Vdash Q \rrbracket \implies \Gamma \Vdash (P \Rightarrow Q)$
by *pred-auto*

lemma *sAsmDisj*:
 $\llbracket A \Vdash C; B \Vdash C \rrbracket \implies A \vee B \Vdash C$
by (*rel-auto*)

lemma *sDisjI1*: $P \Vdash Q \implies P \Vdash (Q \vee R)$
by (*rel-auto*)

lemma *sDisjI2*: $P \Vdash R \implies P \Vdash (Q \vee R)$
by (*rel-auto*)

lemma *sVarEqI*:
assumes *wb-lens* $x\ (\&x = v \wedge P) \Vdash (Q[v/\&x])$
shows $(\&x = v \wedge P) \Vdash Q$
using *assms* **by** (*rel-simp*, *metis wb-lens.get-put*)

lemma *sWk*: $\llbracket 'Q \Rightarrow P'; P \Vdash R \rrbracket \implies Q \Vdash R$
by (*rel-auto*)

lemma *sWk1*: $P \Vdash R \implies P \wedge Q \Vdash R$
by (*rel-auto*)

lemma *sWk2*: $Q \Vdash R \implies P \wedge Q \Vdash R$
by (*rel-auto*)

end

19 Relational Calculus Laws

```

theory utp-rel-laws
imports
  utp-rel
  utp-recursion
  utp-lift-parser
begin

```

19.1 Conditional Laws

```

lemma comp-cond-left-distr:
   $((P \triangleleft b \triangleright_r Q) ;; R) = ((P ;; R) \triangleleft b \triangleright_r (Q ;; R))$ 
by (rel-auto)

```

```

lemma cond-seq-left-distr:
   $\text{out}\alpha \# b \implies ((P \triangleleft b \triangleright Q) ;; R) = ((P ;; R) \triangleleft b \triangleright (Q ;; R))$ 
by (rel-auto)

```

```

lemma cond-seq-right-distr:
   $\text{in}\alpha \# b \implies (P ;; (Q \triangleleft b \triangleright R)) = ((P ;; Q) \triangleleft b \triangleright (P ;; R))$ 
by (rel-auto)

```

Alternative expression of conditional using assumptions and choice

```

lemma rcond-rassume-expand:  $P \triangleleft b \triangleright_r Q = ([b]^\top ;; P) \sqcap [(\neg b)]^\top ;; Q$ 
by (rel-auto)

```

19.2 Precondition and Postcondition Laws

```

theorem precondition-equiv:
   $P = (P ;; \text{true}) \longleftrightarrow (\text{out}\alpha \# P)$ 
by (rel-auto)

```

```

theorem postcondition-equiv:
   $P = (\text{true} ;; P) \longleftrightarrow (\text{in}\alpha \# P)$ 
by (rel-auto)

```

```

lemma precondition-right-unit:  $\text{out}\alpha \# p \implies (p ;; \text{true}) = p$ 
by (metis precondition-equiv)

```

```

lemma postcondition-left-unit:  $\text{in}\alpha \# p \implies (\text{true} ;; p) = p$ 
by (metis postcondition-equiv)

```

```

theorem precondition-left-zero:
  assumes  $\text{out}\alpha \# p \neq \text{false}$ 
  shows  $(\text{true} ;; p) = \text{true}$ 
  using assms by (rel-auto)

```

```

theorem feasible-iff-true-right-zero:
   $P ;; \text{true} = \text{true} \longleftrightarrow \exists \text{out}\alpha \cdot P$ 
by (rel-auto)

```

19.3 Sequential Composition Laws

```

lemma seqr-assoc:  $(P ;; Q) ;; R = P ;; (Q ;; R)$ 
by (rel-auto)

```

lemma *seqr-left-unit* [*simp*]:
 $II ;; P = P$
by (*rel-auto*)

lemma *seqr-right-unit* [*simp*]:
 $P ;; II = P$
by (*rel-auto*)

lemma *seqr-left-zero* [*simp*]:
 $false ;; P = false$
by *pred-auto*

lemma *seqr-right-zero* [*simp*]:
 $P ;; false = false$
by *pred-auto*

lemma *impl-seqr-mono*: $\llbracket 'P \Rightarrow Q'; 'R \Rightarrow S' \rrbracket \Longrightarrow '(P ;; R) \Rightarrow (Q ;; S)'$
by (*pred-blast*)

lemma *seqr-mono*:
 $\llbracket P_1 \sqsubseteq P_2; Q_1 \sqsubseteq Q_2 \rrbracket \Longrightarrow (P_1 ;; Q_1) \sqsubseteq (P_2 ;; Q_2)$
by (*rel-blast*)

lemma *seqr-monotonic*:
 $\llbracket mono\ P; mono\ Q \rrbracket \Longrightarrow mono\ (\lambda X. P\ X ;; Q\ X)$
by (*simp add: mono-def, rel-blast*)

lemma *Monotonic-seqr-tail* [*closure*]:
assumes *Monotonic F*
shows *Monotonic* $(\lambda X. P ;; F(X))$
by (*simp add: assms monoD monoI seqr-mono*)

lemma *seqr-exists-left*:
 $((\exists \$x \cdot P) ;; Q) = (\exists \$x \cdot (P ;; Q))$
by (*rel-auto*)

lemma *seqr-exists-right*:
 $(P ;; (\exists \$x' \cdot Q)) = (\exists \$x' \cdot (P ;; Q))$
by (*rel-auto*)

lemma *seqr-or-distl*:
 $((P \vee Q) ;; R) = ((P ;; R) \vee (Q ;; R))$
by (*rel-auto*)

lemma *seqr-or-distr*:
 $(P ;; (Q \vee R)) = ((P ;; Q) \vee (P ;; R))$
by (*rel-auto*)

lemma *seqr-inf-distl*:
 $((P \sqcap Q) ;; R) = ((P ;; R) \sqcap (Q ;; R))$
by (*rel-auto*)

lemma *seqr-inf-distr*:
 $(P ;; (Q \sqcap R)) = ((P ;; Q) \sqcap (P ;; R))$

by (rel-auto)

lemma *seqr-and-distr-ufunc*:

ufunctional $P \implies (P ;; (Q \wedge R)) = ((P ;; Q) \wedge (P ;; R))$

by (rel-auto)

lemma *seqr-and-distl-winj*:

winj $R \implies ((P \wedge Q) ;; R) = ((P ;; R) \wedge (Q ;; R))$

by (rel-auto)

lemma *seqr-unfold*:

$(P ;; Q) = (\exists v \cdot P[\llbracket \langle v \rangle / \$\mathbf{v}' \rrbracket] \wedge Q[\llbracket \langle v \rangle / \$\mathbf{v} \rrbracket])$

by (rel-auto)

lemma *seqr-unfold-heterogeneous*:

$(P ;; Q) = (\exists v \cdot (Pre(P[\llbracket \langle v \rangle / \$\mathbf{v}' \rrbracket])^< \wedge (Post(Q[\llbracket \langle v \rangle / \$\mathbf{v} \rrbracket])^>))$

by (rel-auto)

lemma *seqr-middle*:

assumes *vwb-lens* x

shows $(P ;; Q) = (\exists v \cdot P[\llbracket \langle v \rangle / \$x' \rrbracket] ;; Q[\llbracket \langle v \rangle / \$x \rrbracket])$

using *assms*

by (rel-auto', *metis vwb-lens-wb wb-lens.source-stability*)

lemma *seqr-left-one-point*:

assumes *vwb-lens* x

shows $((P \wedge \$x' =_u \langle v \rangle) ;; Q) = (P[\llbracket \langle v \rangle / \$x' \rrbracket] ;; Q[\llbracket \langle v \rangle / \$x \rrbracket])$

using *assms*

by (rel-auto, *metis vwb-lens-wb wb-lens.get-put*)

lemma *seqr-right-one-point*:

assumes *vwb-lens* x

shows $(P ;; (\$x =_u \langle v \rangle \wedge Q)) = (P[\llbracket \langle v \rangle / \$x' \rrbracket] ;; Q[\llbracket \langle v \rangle / \$x \rrbracket])$

using *assms*

by (rel-auto, *metis vwb-lens-wb wb-lens.get-put*)

lemma *seqr-left-one-point-true*:

assumes *vwb-lens* x

shows $((P \wedge \$x') ;; Q) = (P[\llbracket true / \$x' \rrbracket] ;; Q[\llbracket true / \$x \rrbracket])$

by (*metis assms seqr-left-one-point true-alt-def upred-eq-true*)

lemma *seqr-left-one-point-false*:

assumes *vwb-lens* x

shows $((P \wedge \neg \$x') ;; Q) = (P[\llbracket false / \$x' \rrbracket] ;; Q[\llbracket false / \$x \rrbracket])$

by (*metis assms false-alt-def seqr-left-one-point upred-eq-false*)

lemma *seqr-right-one-point-true*:

assumes *vwb-lens* x

shows $(P ;; (\$x \wedge Q)) = (P[\llbracket true / \$x' \rrbracket] ;; Q[\llbracket true / \$x \rrbracket])$

by (*metis assms seqr-right-one-point true-alt-def upred-eq-true*)

lemma *seqr-right-one-point-false*:

assumes *vwb-lens* x

shows $(P ;; (\neg \$x \wedge Q)) = (P[\llbracket false / \$x' \rrbracket] ;; Q[\llbracket false / \$x \rrbracket])$

by (*metis assms false-alt-def seqr-right-one-point upred-eq-false*)

lemma *seqr-insert-ident-left*:

assumes *vwb-lens* x $\$x'$ $\#$ P $\$x$ $\#$ Q
shows $((\$x' =_u \$x \wedge P) ;; Q) = (P ;; Q)$
using *assms*
by (*rel-simp*, *meson vwb-lens-wb wb-lens-weak weak-lens.put-get*)

lemma *seqr-insert-ident-right*:

assumes *vwb-lens* x $\$x'$ $\#$ P $\$x$ $\#$ Q
shows $(P ;; (\$x' =_u \$x \wedge Q)) = (P ;; Q)$
using *assms*
by (*rel-simp*, *metis (no-types, hide-lams) vwb-lens-def wb-lens-def weak-lens.put-get*)

lemma *seqr-var-ident-lift*:

assumes *vwb-lens* x $\$x'$ $\#$ P $\$x$ $\#$ Q
shows $((\$x' =_u \$x \wedge P) ;; (\$x' =_u \$x \wedge Q)) = (\$x' =_u \$x \wedge (P ;; Q))$
using *assms* **by** (*rel-auto'*, *metis (no-types, lifting) vwb-lens-wb wb-lens-weak weak-lens.put-get*)

lemma *seqr-bool-split*:

assumes *vwb-lens* x
shows $P ;; Q = (P \llbracket \text{true}/\$x \rrbracket ;; Q \llbracket \text{true}/\$x \rrbracket \vee P \llbracket \text{false}/\$x' \rrbracket ;; Q \llbracket \text{false}/\$x \rrbracket)$
using *assms*
by (*subst seqr-middle[of x]*, *simp-all*)

lemma *cond-inter-var-split*:

assumes *vwb-lens* x
shows $(P \triangleleft \$x' \triangleright Q) ;; R = (P \llbracket \text{true}/\$x' \rrbracket ;; R \llbracket \text{true}/\$x \rrbracket \vee Q \llbracket \text{false}/\$x' \rrbracket ;; R \llbracket \text{false}/\$x \rrbracket)$

proof –

have $(P \triangleleft \$x' \triangleright Q) ;; R = ((\$x' \wedge P) ;; R \vee (\neg \$x' \wedge Q) ;; R)$
by (*simp add: cond-def seqr-or-distl*)
also have $\dots = ((P \wedge \$x') ;; R \vee (Q \wedge \neg \$x') ;; R)$
by (*rel-auto*)
also have $\dots = (P \llbracket \text{true}/\$x' \rrbracket ;; R \llbracket \text{true}/\$x \rrbracket \vee Q \llbracket \text{false}/\$x' \rrbracket ;; R \llbracket \text{false}/\$x \rrbracket)$
by (*simp add: seqr-left-one-point-true seqr-left-one-point-false assms*)
finally show *?thesis* .

qed

theorem *seqr-pre-transfer*: $\text{in}\alpha \# q \implies ((P \wedge q) ;; R) = (P ;; (q^- \wedge R))$

by (*rel-auto*)

theorem *seqr-pre-transfer'*:

$((P \wedge \lceil q \rceil_{>}) ;; R) = (P ;; (\lceil q \rceil_{<} \wedge R))$
by (*rel-auto*)

theorem *seqr-post-out*: $\text{in}\alpha \# r \implies (P ;; (Q \wedge r)) = ((P ;; Q) \wedge r)$

by (*rel-blast*)

lemma *seqr-post-var-out*:

fixes $x :: (\text{bool} \implies 'a)$
shows $(P ;; (Q \wedge \$x')) = ((P ;; Q) \wedge \$x')$
by (*rel-auto*)

theorem *seqr-post-transfer*: $\text{out}\alpha \# q \implies (P ;; (q \wedge R)) = ((P \wedge q^-) ;; R)$

by (*rel-auto*)

lemma *seqr-pre-out*: $\text{out}\alpha \# p \implies ((p \wedge Q) ;; R) = (p \wedge (Q ;; R))$
by (*rel-blast*)

lemma *seqr-pre-var-out*:
fixes $x :: (\text{bool} \implies 'a)$
shows $((\$x \wedge P) ;; Q) = (\$x \wedge (P ;; Q))$
by (*rel-auto*)

lemma *seqr-true-lemma*:
 $(P = (\neg ((\neg P) ;; \text{true}))) = (P = (P ;; \text{true}))$
by (*rel-auto*)

lemma *seqr-to-conj*: $\llbracket \text{out}\alpha \# P; \text{in}\alpha \# Q \rrbracket \implies (P ;; Q) = (P \wedge Q)$
by (*metis postcond-left-unit seqr-pre-out utp-pred-laws.inf-top.right-neutral*)

lemma *shEx-lift-seq-1* [*uquant-lift*]:
 $((\exists x \cdot P x) ;; Q) = (\exists x \cdot (P x ;; Q))$
by *rel-auto*

lemma *shEx-mem-lift-seq-1* [*uquant-lift*]:
assumes $\text{out}\alpha \# A$
shows $((\exists x \in A \cdot P x) ;; Q) = (\exists x \in A \cdot (P x ;; Q))$
using *assms* **by** *rel-blast*

lemma *shEx-lift-seq-2* [*uquant-lift*]:
 $(P ;; (\exists x \cdot Q x)) = (\exists x \cdot (P ;; Q x))$
by *rel-auto*

lemma *shEx-mem-lift-seq-2* [*uquant-lift*]:
assumes $\text{in}\alpha \# A$
shows $(P ;; (\exists x \in A \cdot Q x)) = (\exists x \in A \cdot (P ;; Q x))$
using *assms* **by** *rel-blast*

19.4 Iterated Sequential Composition Laws

lemma *iter-seqr-nil* [*simp*]: $(;; i : [] \cdot P(i)) = II$
by (*simp add: seqr-iter-def*)

lemma *iter-seqr-cons* [*simp*]: $(;; i : (x \# xs) \cdot P(i)) = P(x) ;; (;; i : xs \cdot P(i))$
by (*simp add: seqr-iter-def*)

19.5 Quantale Laws

lemma *seq-Sup-distl*: $P ;; (\bigcap A) = (\bigcap_{Q \in A} P ;; Q)$
by (*transfer, auto*)

lemma *seq-Sup-distr*: $(\bigcap A) ;; Q = (\bigcap_{P \in A} P ;; Q)$
by (*transfer, auto*)

lemma *seq-UINF-distl*: $P ;; (\bigcap_{Q \in A} F(Q)) = (\bigcap_{Q \in A} P \cdot F(Q))$
by (*simp add: UINF-as-Sup-collect seq-Sup-distl*)

lemma *seq-UINF-distl'*: $P ;; (\bigcap Q \cdot F(Q)) = (\bigcap Q \cdot P ;; F(Q))$
by (*metis seq-UINF-distl*)

lemma *seq-UINF-distr*: $(\bigcap_{P \in A} F(P)) ;; Q = (\bigcap_{P \in A} P \cdot F(P) ;; Q)$

by (simp add: UINF-as-Sup-collect seq-Sup-distr)

lemma seq-UINF-distr': $(\bigcap P \cdot F(P)) ;; Q = (\bigcap P \cdot F(P) ;; Q)$
by (metis seq-UINF-distr)

lemma seq-SUP-distl: $P ;; (\bigcap_{i \in A} Q(i)) = (\bigcap_{i \in A} P ;; Q(i))$
by (metis image-image seq-Sup-distl)

lemma seq-SUP-distr: $(\bigcap_{i \in A} P(i)) ;; Q = (\bigcap_{i \in A} P(i) ;; Q)$
by (simp add: seq-Sup-distr)

19.6 Skip Laws

lemma cond-skip: $\text{out}\alpha \# b \implies (b \wedge II) = (II \wedge b^-)$
by (rel-auto)

lemma pre-skip-post: $([b]_< \wedge II) = (II \wedge [b]_>)$
by (rel-auto)

lemma skip-var:
fixes $x :: (\text{bool} \implies 'a)$
shows $(\$x \wedge II) = (II \wedge \$x')$
by (rel-auto)

lemma skip-r-unfold:
 $\text{vwb-lens } x \implies II = (\$x' =_u \$x \wedge II \upharpoonright_\alpha x)$
by (rel-simp, metis mwb-lens.put-put vwb-lens-mwb vwb-lens-wb wb-lens.get-put)

lemma skip-r-alpha-eq:
 $II = (\$v' =_u \$v)$
by (rel-auto)

lemma skip-ra-unfold:
 $II_{x;y} = (\$x' =_u \$x \wedge II_y)$
by (rel-auto)

lemma skip-res-as-ra:
 $\llbracket \text{vwb-lens } y; x +_L y \approx_L 1_L; x \bowtie y \rrbracket \implies II \upharpoonright_\alpha x = II_y$
apply (rel-auto)
apply (metis (no-types, lifting) lens-indep-def)
apply (metis vwb-lens.put-eq)
done

19.7 Assignment Laws

lemma assigns-subst [usubst]:
 $[\sigma]_s \upharpoonright \langle \varrho \rangle_a = \langle \varrho \circ_s \sigma \rangle_a$
by (rel-auto)

lemma assigns-r-comp: $(\langle \sigma \rangle_a ;; P) = ([\sigma]_s \upharpoonright P)$
by (rel-auto)

lemma assigns-r-feasible:
 $(\langle \sigma \rangle_a ;; \text{true}) = \text{true}$
by (rel-auto)

lemma *assign-subst* [*usubst*]:

$\llbracket \text{mwb-lens } x; \text{mwb-lens } y \rrbracket \Longrightarrow [\$x \mapsto_s [u]_<] \dagger (y := v) = (x, y) := (u, [x \mapsto_s u] \dagger v)$
by (*rel-auto*)

lemma *assign-vacuous-skip*:

assumes *vwb-lens* x
shows $(x := \&x) = II$
using *assms* **by** *rel-auto*

The following law shows the case for the above law when x is only mainly-well behaved. We require that the state is one of those in which x is well defined using and assumption.

lemma *assign-vacuous-assume*:

assumes *mwb-lens* x
shows $[\&\mathbf{v} \in \ll \mathcal{S}_x \gg]^\top ;; (x := \&x) = [\&\mathbf{v} \in \ll \mathcal{S}_x \gg]^\top$
using *assms* **by** *rel-auto*

lemma *assign-simultaneous*:

assumes *vwb-lens* $y \bowtie x$
shows $(x, y) := (e, \&y) = (x := e)$
by (*simp add: assms usubst-upd-comm usubst-upd-var-id*)

lemma *assigns-idem*: *mwb-lens* $x \Longrightarrow (x, x) := (u, v) = (x := v)$

by (*simp add: usubst*)

lemma *assigns-comp*: $(\langle f \rangle_a ;; \langle g \rangle_a) = \langle g \circ_s f \rangle_a$

by (*rel-auto*)

lemma *assigns-cond*: $(\langle f \rangle_a \triangleleft b \triangleright_r \langle g \rangle_a) = \langle f \triangleleft b \triangleright g \rangle_a$

by (*rel-auto*)

lemma *assigns-r-conv*:

$\text{bij}_s f \Longrightarrow \langle f \rangle_a^- = \langle \text{inv}_s f \rangle_a$
by (*rel-auto, simp-all add: bij-is-inj bij-is-surj surj-f-inv-f*)

lemma *assign-pred-transfer*:

fixes $x :: ('a \Longrightarrow 'a)$
assumes $\$x \# b \text{ out} \alpha \# b$
shows $(b \wedge x := v) = (x := v \wedge b^-)$
using *assms* **by** (*rel-blast*)

lemma *assign-r-comp*: $x := u ;; P = P[u^</\$x]$

by (*simp add: assigns-r-comp usubst alpha*)

lemma *assign-test*: *mwb-lens* $x \Longrightarrow (x := \ll u \gg ;; x := \ll v \gg) = (x := \ll v \gg)$

by (*simp add: assigns-comp usubst*)

lemma *assign-twice*: $\llbracket \text{mwb-lens } x; x \# f \rrbracket \Longrightarrow (x := e ;; x := f) = (x := f)$

by (*simp add: assigns-comp usubst unrest*)

lemma *assign-commute*:

assumes $x \bowtie y \bowtie x \# f \bowtie y \# e$
shows $(x := e ;; y := f) = (y := f ;; x := e)$
using *assms*
by (*rel-simp, simp-all add: lens-indep-comm*)

lemma *assign-cond*:
fixes $x :: ('a \Rightarrow 'α)$
assumes $outα \# b$
shows $(x := e ;; (P \triangleleft b \triangleright Q)) = ((x := e ;; P) \triangleleft (b \llbracket e \rrbracket_{</\$x} \triangleright (x := e ;; Q))$
by (*rel-auto*)

lemma *assign-rcond*:
fixes $x :: ('a \Rightarrow 'α)$
shows $(x := e ;; (P \triangleleft b \triangleright_r Q)) = ((x := e ;; P) \triangleleft (b \llbracket e/x \rrbracket) \triangleright_r (x := e ;; Q))$
by (*rel-auto*)

lemma *assign-r-alt-def*:
fixes $x :: ('a \Rightarrow 'α)$
shows $x := v = II \llbracket v \rrbracket_{</\$x}$
by (*rel-auto*)

lemma *assigns-r-ufunc*: *ufunctional* $\langle f \rangle_a$
by (*rel-auto*)

lemma *assigns-r-uinj*: $inj_s f \Rightarrow uninj \langle f \rangle_a$
by (*rel-simp*, *simp add: inj-eq*)

lemma *assigns-r-swap-uinj*:
 $\llbracket vwb\text{-}lens\ x; vwb\text{-}lens\ y; x \bowtie y \rrbracket \Rightarrow uninj ((x,y) := (\&y, \&x))$
by (*metis assigns-r-uinj pr-var-def swap-usubst-inj*)

lemma *assign-unfold*:
 $vwb\text{-}lens\ x \Rightarrow (x := v) = (\$x' =_u \llbracket v \rrbracket_{<} \wedge II \downarrow_\alpha x)$
apply (*rel-auto*, *auto simp add: comp-def*)
using *vwb-lens.put-eq* **by** *fastforce*

19.8 Non-deterministic Assignment Laws

lemma *nd-assign-comp*:
 $x \bowtie y \Rightarrow x := * ;; y := * = x, y := *$
apply (*rel-auto*) **using** *lens-indep-comm* **by** *fastforce*+

lemma *nd-assign-assign*:
 $\llbracket vwb\text{-}lens\ x; x \# e \rrbracket \Rightarrow x := * ;; x := e = x := e$
by (*rel-auto*)

19.9 Converse Laws

lemma *convr-invol* [*simp*]: $p^{--} = p$
by *pred-auto*

lemma *lit-convr* [*simp*]: $\llbracket v \rrbracket^- = \llbracket v \rrbracket$
by *pred-auto*

lemma *uivar-convr* [*simp*]:
fixes $x :: ('a \Rightarrow 'α)$
shows $(\$x)^- = \x'
by *pred-auto*

lemma *uovar-convr* [*simp*]:
fixes $x :: ('a \Rightarrow 'α)$

shows $(\$x')^- = \x
by *pred-auto*

lemma *uop-convr* [*simp*]: $(uop\ f\ u)^- = uop\ f\ (u^-)$
by (*pred-auto*)

lemma *bop-convr* [*simp*]: $(bop\ f\ u\ v)^- = bop\ f\ (u^-)\ (v^-)$
by (*pred-auto*)

lemma *eq-convr* [*simp*]: $(p =_u q)^- = (p^- =_u q^-)$
by (*pred-auto*)

lemma *not-convr* [*simp*]: $(\neg p)^- = (\neg p^-)$
by (*pred-auto*)

lemma *disj-convr* [*simp*]: $(p \vee q)^- = (q^- \vee p^-)$
by (*pred-auto*)

lemma *conj-convr* [*simp*]: $(p \wedge q)^- = (q^- \wedge p^-)$
by (*pred-auto*)

lemma *seqr-convr* [*simp*]: $(p ;; q)^- = (q^- ;; p^-)$
by (*rel-auto*)

lemma *pre-convr* [*simp*]: $[p]_{<}^- = [p]_{>}$
by (*rel-auto*)

lemma *post-convr* [*simp*]: $[p]_{>}^- = [p]_{<}$
by (*rel-auto*)

19.10 Assertion and Assumption Laws

declare *sublens-def* [*lens-defs del*]

lemma *assume-false*: $[false]^\top = false$
by (*rel-auto*)

lemma *assume-true*: $[true]^\top = II$
by (*rel-auto*)

lemma *assume-seq*: $[b]^\top ;; [c]^\top = [(b \wedge c)]^\top$
by (*rel-auto*)

lemma *assert-false*: $\{false\}_\perp = true$
by (*rel-auto*)

lemma *assert-true*: $\{true\}_\perp = II$
by (*rel-auto*)

lemma *assert-seq*: $\{b\}_\perp ;; \{c\}_\perp = \{(b \wedge c)\}_\perp$
by (*rel-auto*)

19.11 While Loop Laws

theorem *while-unfold*:
 $while\ b\ do\ P\ od = ((P ;; while\ b\ do\ P\ od) \triangleleft b \triangleright_r II)$

proof –

```

have m:mono (λX. (P ;; X) < b ▷r II)
  by (auto intro: monoI segr-mono cond-mono)
have (while b do P od) = (ν X • (P ;; X) < b ▷r II)
  by (simp add: while-top-def)
also have ... = ((P ;; (ν X • (P ;; X) < b ▷r II)) < b ▷r II)
  by (subst lfp-unfold, simp-all add: m)
also have ... = ((P ;; while b do P od) < b ▷r II)
  by (simp add: while-top-def)
finally show ?thesis .

```

qed

theorem *while-false*: *while false do P od = II*

by (subst while-unfold, rel-auto)

theorem *while-true*: *while true do P od = false*

```

apply (simp add: while-top-def alpha)
apply (rule antisym)
apply (simp-all)
apply (rule lfp-lowerbound)
apply (rel-auto)
done

```

theorem *while-bot-unfold*:

while_⊥ b do P od = ((P ;; while_⊥ b do P od) < b ▷_r II)

proof –

```

have m:mono (λX. (P ;; X) < b ▷r II)
  by (auto intro: monoI segr-mono cond-mono)
have (while⊥ b do P od) = (μ X • (P ;; X) < b ▷r II)
  by (simp add: while-bot-def)
also have ... = ((P ;; (μ X • (P ;; X) < b ▷r II)) < b ▷r II)
  by (subst gfp-unfold, simp-all add: m)
also have ... = ((P ;; while⊥ b do P od) < b ▷r II)
  by (simp add: while-bot-def)
finally show ?thesis .

```

qed

theorem *while-bot-false*: *while_⊥ false do P od = II*

by (simp add: while-bot-def mu-const alpha)

theorem *while-bot-true*: *while_⊥ true do P od = (μ X • P ;; X)*

by (simp add: while-bot-def alpha)

An infinite loop with a feasible body corresponds to a program error (non-termination).

theorem *while-infinite*: *P ;; true_h = true ⇒ while_⊥ true do P od = true*

```

apply (simp add: while-bot-true)
apply (rule antisym)
apply (simp)
apply (rule gfp-upperbound)
apply (simp)
done

```

19.12 Algebraic Properties

interpretation *upred-semiring*: *semiring-1*

where *times* = *segr* and *one* = *skip-r* and *zero* = *false_h* and *plus* = *Lattices.sup*

by (unfold-locales, (rel-auto)+)

declare upred-semiring.power-Suc [simp del]

We introduce the power syntax derived from semirings

abbreviation $upower :: 'a \text{ hrel} \Rightarrow \text{nat} \Rightarrow 'a \text{ hrel} \text{ (infixr } ^ 80)$ **where**
 $upower P n \equiv upred-semiring.power P n$

translations

$P ^ i <= \text{CONST } power.power II op ;; P i$
 $P ^ i <= (\text{CONST } power.power II op ;; P) i$

Set up transfer tactic for powers

lemma upower-rep-eq:

$\llbracket P ^ i \rrbracket_e = (\lambda b. b \in (\{p. \llbracket P \rrbracket_e p\} ^ i))$

proof (induct i arbitrary: P)

case 0

then show ?case

by (auto, rel-auto)

next

case (Suc i)

show ?case

by (simp add: Suc seqr.rep-eq relpow-commute upred-semiring.power-Suc)

qed

lemma upower-rep-eq-alt:

$\llbracket power.power (id_s)_a (;;) P i \rrbracket_e = (\lambda b. b \in (\{p. \llbracket P \rrbracket_e p\} ^ i))$

by (metis skip-r-def upower-rep-eq)

update-uexpr-rep-eq-thms

lemma Sup-power-expand:

fixes $P :: \text{nat} \Rightarrow 'a::\text{complete-lattice}$

shows $P(0) \sqcap (\bigsqcap i. P(i+1)) = (\bigsqcap i. P(i))$

proof –

have $UNIV = \text{insert } (0::\text{nat}) \{1..\}$

by auto

moreover have $(\bigsqcap i. P(i)) = \bigsqcap (P \restriction UNIV)$

by (blast)

moreover have $\bigsqcap (P \restriction \text{insert } 0 \{1..\}) = P(0) \sqcap \bigsqcap (P \restriction \{1..\})$

by (simp)

moreover have $\bigsqcap (P \restriction \{1..\}) = (\bigsqcap i. P(i+1))$

by (simp add: atLeast-Suc-greaterThan greaterThan-0)

ultimately show ?thesis

by (simp only:)

qed

lemma Sup-upto-Suc: $(\bigsqcap i \in \{0.. \text{Suc } n\}. P ^ i) = (\bigsqcap i \in \{0..n\}. P ^ i) \sqcap P ^ \text{Suc } n$

proof –

have $(\bigsqcap i \in \{0.. \text{Suc } n\}. P ^ i) = (\bigsqcap i \in \text{insert } (\text{Suc } n) \{0..n\}. P ^ i)$

by (simp add: atLeast0-atMost-Suc)

also have $\dots = P ^ \text{Suc } n \sqcap (\bigsqcap i \in \{0..n\}. P ^ i)$

by (simp)

finally show ?thesis

by (simp add: Lattices.sup-commute)

qed

The following two proofs are adapted from the AFP entry [Kleene Algebra](#). See also [2, 1].

```

lemma upower-inductl:  $Q \sqsubseteq ((P ;; Q) \sqcap R) \implies Q \sqsubseteq P \hat{\ } n ;; R$ 
proof (induct n)
  case 0
  then show ?case by (auto)
next
  case (Suc n)
  then show ?case
    by (auto simp add: upred-semiring.power-Suc, metis (no-types, hide-lams) dual-order.trans order-refl
      seqr-assoc seqr-mono)
qed

```

```

lemma upower-inductr:
  assumes  $Q \sqsubseteq R \sqcap (Q ;; P)$ 
  shows  $Q \sqsubseteq R ;; (P \hat{\ } n)$ 
using assms proof (induct n)
  case 0
  then show ?case by auto
next
  case (Suc n)
  have  $R ;; P \hat{\ } \text{Suc } n = (R ;; P \hat{\ } n) ;; P$ 
    by (metis seqr-assoc upred-semiring.power-Suc2)
  also have  $Q ;; P \sqsubseteq \dots$ 
    by (meson Suc.hyps assms eq-iff seqr-mono)
  also have  $Q \sqsubseteq \dots$ 
    using assms by auto
  finally show ?case .
qed

```

```

lemma SUP-atLeastAtMost-first:
  fixes  $P :: \text{nat} \Rightarrow 'a::\text{complete-lattice}$ 
  assumes  $m \leq n$ 
  shows  $(\bigcap_{i \in \{m..n\}}. P(i)) = P(m) \sqcap (\bigcap_{i \in \{\text{Suc } m..n\}}. P(i))$ 
  by (metis SUP-insert assms atLeastAtMost-insertL)

```

```

lemma upower-seqr-iter:  $P \hat{\ } n = (;; Q : \text{replicate } n \ P \cdot Q)$ 
  by (induct n, simp-all add: upred-semiring.power-Suc)

```

```

lemma assigns-power:  $\langle f \rangle_a \hat{\ } n = \langle f \hat{\ }_s n \rangle_a$ 
  by (induct n, rel-auto+)

```

19.13 Kleene Star

```

definition ustar :: ' $\alpha$  hrel  $\Rightarrow$  ' $\alpha$  hrel  $(-^* [999] 999)$  where
   $P^* = (\bigcap_{i \in \{0.. \}} \cdot P^i)$ 

```

```

lemma ustar-rep-eq:
   $\llbracket P^* \rrbracket_e = (\lambda b. b \in (\{p. \llbracket P \rrbracket_e p\}^*))$ 
  by (simp add: ustar-def, rel-auto, simp-all add: relpow-imp-rtrancl rtrancl-imp-relpow)

```

update-uexpr-rep-eq-thms

19.14 Kleene Plus

purge-notation *trancl* $((-^+) [1000] 999)$

definition *uplus* $:: 'α \text{ hrel} \Rightarrow 'α \text{ hrel } (-^+ [999] 999)$ **where**
[upred-defs]: $P^+ = P ;; P^*$

lemma *uplus-power-def*: $P^+ = (\bigsqcap i \cdot P \hat{\ } (Suc\ i))$
by (*simp add: uplus-def ustar-def seq-UNF-distl' UNF-atLeast-Suc upred-semiring.power-Suc*)

19.15 Omega

definition *uomega* $:: 'α \text{ hrel} \Rightarrow 'α \text{ hrel } (-^\omega [999] 999)$ **where**
 $P^\omega = (\mu\ X \cdot P ;; X)$

19.16 Relation Algebra Laws

theorem *RA1*: $(P ;; (Q ;; R)) = ((P ;; Q) ;; R)$
by (*simp add: seqr-assoc*)

theorem *RA2*: $(P ;; II) = P \ (II ;; P) = P$
by *simp-all*

theorem *RA3*: $P^{--} = P$
by *simp*

theorem *RA4*: $(P ;; Q)^- = (Q^- ;; P^-)$
by *simp*

theorem *RA5*: $(P \vee Q)^- = (P^- \vee Q^-)$
by (*rel-auto*)

theorem *RA6*: $((P \vee Q) ;; R) = (P ;; R \vee Q ;; R)$
using *seqr-or-distl* **by** *blast*

theorem *RA7*: $((P^- ;; (\neg(P ;; Q))) \vee (\neg Q)) = (\neg Q)$
by (*rel-auto*)

19.17 Kleene Algebra Laws

lemma *ustar-alt-def*: $P^* = (\bigsqcap i \cdot P \hat{\ } i)$
by (*simp add: ustar-def*)

theorem *ustar-sub-unfoldl*: $P^* \sqsubseteq II \sqcap (P ;; P^*)$
by (*rel-simp, simp add: rtrancl-into-trancl2 trancl-into-rtrancl*)

theorem *ustar-inductl*:
assumes $Q \sqsubseteq R \ Q \sqsubseteq P ;; Q$
shows $Q \sqsubseteq P^* ;; R$

proof –

have $P^* ;; R = (\bigsqcap i \cdot P \hat{\ } i ;; R)$
by (*simp add: ustar-def UNF-as-Sup-collect' seq-SUP-distr*)
also have $Q \sqsubseteq \dots$
by (*simp add: SUP-least assms upower-inductl*)
finally show *?thesis* .

qed

```

theorem ustar-inductr:
  assumes  $Q \sqsubseteq R \ Q \sqsubseteq Q \ ;\; P$ 
  shows  $Q \sqsubseteq R \ ;\; P^*$ 
proof –
  have  $R \ ;\; P^* = (\bigcap i. R \ ;\; P \wedge i)$ 
    by (simp add: ustar-def UINF-as-Sup-collect' seq-SUP-distl)
  also have  $Q \sqsubseteq \dots$ 
    by (simp add: SUP-least assms upower-inductr)
  finally show ?thesis .
qed

lemma ustar-refines-nu:  $(\nu X \cdot (P \ ;\; X) \sqcap II) \sqsubseteq P^*$ 
  by (metis (no-types, lifting) lfp-greatest semilattice-sup-class.le-sup-iff
    semilattice-sup-class.sup-idem upred-semiring.mult-2-right
    upred-semiring.one-add-one ustar-inductl)

lemma ustar-as-nu:  $P^* = (\nu X \cdot (P \ ;\; X) \sqcap II)$ 
proof (rule antisym)
  show  $(\nu X \cdot (P \ ;\; X) \sqcap II) \sqsubseteq P^*$ 
    by (simp add: ustar-refines-nu)
  show  $P^* \sqsubseteq (\nu X \cdot (P \ ;\; X) \sqcap II)$ 
    by (metis lfp-lowerbound upred-semiring.add-commute ustar-sub-unfoldl)
qed

lemma ustar-unfoldl:  $P^* = II \sqcap (P \ ;\; P^*)$ 
  apply (simp add: ustar-as-nu)
  apply (subst lfp-unfold)
  apply (rule monoI)
  apply (rel-auto)+
  done

```

While loop can be expressed using Kleene star

```

lemma while-star-form:
  while b do P od =  $(P \triangleleft b \triangleright_r II)^* \ ;\; [(\neg b)]^\top$ 
proof –
  have 1: Continuous  $(\lambda X. P \ ;\; X \triangleleft b \triangleright_r II)$ 
    by (rel-auto)
  have while b do P od =  $(\bigcap i. ((\lambda X. P \ ;\; X \triangleleft b \triangleright_r II) \wedge^\wedge i) \text{ false})$ 
    by (simp add: 1 false-upred-def sup-continuous-Continuous sup-continuous-lfp while-top-def)
  also have  $\dots = ((\lambda X. P \ ;\; X \triangleleft b \triangleright_r II) \wedge^\wedge 0) \text{ false} \sqcap (\bigcap i. ((\lambda X. P \ ;\; X \triangleleft b \triangleright_r II) \wedge^\wedge (i+1)) \text{ false})$ 
    by (subst Sup-power-expand, simp)
  also have  $\dots = (\bigcap i. ((\lambda X. P \ ;\; X \triangleleft b \triangleright_r II) \wedge^\wedge (i+1)) \text{ false})$ 
    by (simp)
  also have  $\dots = (\bigcap i. (P \triangleleft b \triangleright_r II) \wedge^\wedge i \ ;\; (\text{false} \triangleleft b \triangleright_r II))$ 
proof (rule SUP-cong, simp-all)
  fix i
  show  $P \ ;\; ((\lambda X. P \ ;\; X \triangleleft b \triangleright_r II) \wedge^\wedge i) \text{ false} \triangleleft b \triangleright_r II = (P \triangleleft b \triangleright_r II) \wedge^\wedge i \ ;\; (\text{false} \triangleleft b \triangleright_r II)$ 
proof (induct i)
  case 0
  then show ?case by simp
next
  case (Suc i)
  then show ?case
    by (simp add: upred-semiring.power-Suc)

```

```

      (metis (no-types, lifting) RA1 comp-cond-left-distr cond-L6 upred-semiring.mult.left-neutral)
    qed
  qed
  also have ... = ( $\prod_{i \in \{0..\}$ )  $\cdot (P \triangleleft b \triangleright_r II)^{\wedge i} ;; [(\neg b)]^\top$ 
    by (rel-auto)
  also have ... =  $(P \triangleleft b \triangleright_r II)^* ;; [(\neg b)]^\top$ 
    by (metis seq-UNF-distr ustar-def)
  finally show ?thesis .
qed

```

19.18 Omega Algebra Laws

```

lemma uomega-induct:
   $P ;; P^\omega \sqsubseteq P^\omega$ 
  by (simp add: uomega-def, metis eq-refl gfp-unfold monoI seqr-mono)

```

19.19 Refinement Laws

```

lemma skip-r-refine:
   $(p \Rightarrow p) \sqsubseteq II$ 
  by pred-blast

```

```

lemma conj-refine-left:
   $(Q \Rightarrow P) \sqsubseteq R \Longrightarrow P \sqsubseteq (Q \wedge R)$ 
  by (rel-auto)

```

```

lemma pre-weak-rel:
  assumes 'pre  $\Rightarrow I$ '
  and  $(I \Rightarrow post) \sqsubseteq P$ 
  shows  $(pre \Rightarrow post) \sqsubseteq P$ 
  using assms by (rel-auto)

```

```

lemma cond-refine-rel:
  assumes  $S \sqsubseteq (\lceil b \rceil_{<} \wedge P) \sqsubseteq (\lceil \neg b \rceil_{<} \wedge Q)$ 
  shows  $S \sqsubseteq P \triangleleft b \triangleright_r Q$ 
  by (metis aext-not assms(1) assms(2) cond-def lift-rcond-def utp-pred-laws.le-sup-iff)

```

```

lemma seq-refine-pred:
  assumes  $(\lceil b \rceil_{<} \Rightarrow \lceil s \rceil_{>}) \sqsubseteq P$  and  $(\lceil s \rceil_{<} \Rightarrow \lceil c \rceil_{>}) \sqsubseteq Q$ 
  shows  $(\lceil b \rceil_{<} \Rightarrow \lceil c \rceil_{>}) \sqsubseteq (P ;; Q)$ 
  using assms by rel-auto

```

```

lemma seq-refine-unrest:
  assumes  $out\alpha \nmid b \text{ in } \alpha \nmid c$ 
  assumes  $(b \Rightarrow \lceil s \rceil_{>}) \sqsubseteq P$  and  $(\lceil s \rceil_{<} \Rightarrow c) \sqsubseteq Q$ 
  shows  $(b \Rightarrow c) \sqsubseteq (P ;; Q)$ 
  using assms by rel-blast

```

19.20 Preain and Postge Laws

```

named-theorems prepost

```

```

lemma Pre-conv-Post [prepost]:
   $Pre(P^-) = Post(P)$ 
  by (rel-auto)

```

lemma *Post-conv-Pre* [prepost]:
 $Post(P^-) = Pre(P)$
by (*rel-auto*)

lemma *Pre-skip* [prepost]:
 $Pre(II) = true$
by (*rel-auto*)

lemma *Pre-assigns* [prepost]:
 $Pre(\langle \sigma \rangle_a) = true$
by (*rel-auto*)

lemma *Pre-miracle* [prepost]:
 $Pre(false) = false$
by (*rel-auto*)

lemma *Pre-assume* [prepost]:
 $Pre([b]^\top) = b$
by (*rel-auto*)

lemma *Pre-seq*:
 $Pre(P ;; Q) = Pre(P ;; [Pre(Q)]^\top)$
by (*rel-auto*)

lemma *Pre-disj* [prepost]:
 $Pre(P \vee Q) = (Pre(P) \vee Pre(Q))$
by (*rel-auto*)

lemma *Pre-inf* [prepost]:
 $Pre(P \sqcap Q) = (Pre(P) \vee Pre(Q))$
by (*rel-auto*)

If P uses on the variables in a and Q does not refer to the variables of $U(\$a')$ then we can distribute.

lemma *Pre-conj-indep* [prepost]: $\llbracket \{ \$a, \$a' \} \Vdash P; \$a' \nVdash Q; vwb\text{-}lens\ a \rrbracket \implies Pre(P \wedge Q) = (Pre(P) \wedge Pre(Q))$
by (*rel-auto*, *metis lens-override-def lens-override-idem*)

lemma *assume-Pre* [prepost]:
 $[Pre(P)]^\top ;; P = P$
by (*rel-auto*)

end

20 UTP Theories

theory *utp-theory*
imports *utp-rel-laws*
begin

Here, we mechanise a representation of UTP theories using locales [4]. We also link them to the HOL-Algebra library [5], which allows us to import properties from complete lattices and Galois connections.

20.1 Complete lattice of predicates

definition *upred-lattice* :: (' α upred) gorder (\mathcal{P}) **where**
upred-lattice = (\lfloor carrier = UNIV, eq = (=), le = (\sqsubseteq) \rfloor)

\mathcal{P} is the complete lattice of alphabetised predicates. All other theories will be defined relative to it.

interpretation *upred-lattice*: complete-lattice \mathcal{P}

proof (unfold-locales, simp-all add: upred-lattice-def)

```
fix A :: ' $\alpha$  upred set
show  $\exists s. \text{is-lub } (\lfloor \text{carrier} = \text{UNIV}, \text{eq} = (=), \text{le} = (\sqsubseteq) \rfloor) s A$ 
  apply (rule-tac  $x = \bigsqcup A$  in exI)
  apply (rule least-UpperI)
  apply (auto intro: Inf-greatest simp add: Inf-lower Upper-def)
done
show  $\exists i. \text{is-glb } (\lfloor \text{carrier} = \text{UNIV}, \text{eq} = (=), \text{le} = (\sqsubseteq) \rfloor) i A$ 
  apply (rule-tac  $x = \bigsqcap A$  in exI)
  apply (rule greatest-LowerI)
  apply (auto intro: Sup-least simp add: Sup-upper Lower-def)
done
qed
```

lemma *upred-weak-complete-lattice* [simp]: weak-complete-lattice \mathcal{P}
 by (simp add: upred-lattice.weak.weak-complete-lattice-axioms)

lemma *upred-lattice-eq* [simp]:
 ($\cdot =_{\mathcal{P}}$) = (=)
 by (simp add: upred-lattice-def)

lemma *upred-lattice-le* [simp]:
 le $\mathcal{P} P Q = (P \sqsubseteq Q)$
 by (simp add: upred-lattice-def)

lemma *upred-lattice-carrier* [simp]:
 carrier $\mathcal{P} = \text{UNIV}$
 by (simp add: upred-lattice-def)

lemma *Healthy-fixed-points* [simp]: $\text{fps } \mathcal{P} H = \llbracket H \rrbracket_H$
 by (simp add: fps-def upred-lattice-def Healthy-def)

lemma *upred-lattice-Idempotent* [simp]: $\text{Idem}_{\mathcal{P}} H = \text{Idempotent } H$
 using upred-lattice.weak-partial-order-axioms by (auto simp add: idempotent-def Idempotent-def)

lemma *upred-lattice-Monotonic* [simp]: $\text{Mono}_{\mathcal{P}} H = \text{Monotonic } H$
 using upred-lattice.weak-partial-order-axioms by (auto simp add: isotone-def mono-def)

20.2 UTP theories hierarchy

definition *utp-order* :: (' α health \Rightarrow ' α upred gorder) **where**
utp-order $H = (\lfloor$ carrier = $\{P. P \text{ is } H\}$, eq = (=), le = (\sqsubseteq) \rfloor)

Constant *utp-order* obtains the order structure associated with a UTP theory. Its carrier is the set of healthy predicates, equality is HOL equality, and the order is refinement.

lemma *utp-order-carrier* [simp]:
 carrier (utp-order H) = $\llbracket H \rrbracket_H$
 by (simp add: utp-order-def)

lemma *utp-order-eq* [*simp*]:
 $eq (utp-order\ T) = (=)$
by (*simp add: utp-order-def*)

lemma *utp-order-le* [*simp*]:
 $le (utp-order\ T) = (\sqsubseteq)$
by (*simp add: utp-order-def*)

lemma *utp-partial-order*: *partial-order* (*utp-order* *T*)
by (*unfold-locales, simp-all add: utp-order-def*)

lemma *utp-weak-partial-order*: *weak-partial-order* (*utp-order* *T*)
by (*unfold-locales, simp-all add: utp-order-def*)

lemma *mono-Monotone-utp-order*:
 $mono\ f \implies Monotone\ (utp-order\ T)\ f$
apply (*auto simp add: isotone-def*)
apply (*metis partial-order-def utp-partial-order*)
apply (*metis monoD*)
done

lemma *isotone-utp-orderI*: $Monotonic\ H \implies isotone\ (utp-order\ X)\ (utp-order\ Y)\ H$
by (*auto simp add: mono-def isotone-def utp-weak-partial-order*)

lemma *Mono-utp-orderI*:
 $\llbracket \bigwedge P\ Q. \llbracket P \sqsubseteq Q; P\ is\ H; Q\ is\ H \rrbracket \implies F(P) \sqsubseteq F(Q) \rrbracket \implies Mono_{utp-order\ H}\ F$
by (*auto simp add: isotone-def utp-weak-partial-order*)

The UTP order can equivalently be characterised as the fixed point lattice, *fpl*.

lemma *utp-order-fpl*: $utp-order\ H = fpl\ \mathcal{P}\ H$
by (*auto simp add: utp-order-def upred-lattice-def fps-def Healthy-def*)

20.3 UTP theory hierarchy

We next define a hierarchy of locales that characterise different classes of UTP theory. Minimally we require that a UTP theory's healthiness condition is idempotent.

locale *utp-theory* =
fixes *hcond* :: $'\alpha\ upred \Rightarrow '\alpha\ upred\ (\mathcal{H})$
assumes *HCond-Idem*: $\mathcal{H}(\mathcal{H}(P)) = \mathcal{H}(P)$
begin

abbreviation *thy-order* :: $'\alpha\ upred\ gorder$ **where**
 $thy-order \equiv utp-order\ \mathcal{H}$

abbreviation *umono* $\equiv Mono_{thy-order}$

lemma *HCond-Idempotent* [*closure,intro*]: *Idempotent* \mathcal{H}
by (*simp add: Idempotent-def HCond-Idem*)

sublocale *utp-po*: *partial-order* *utp-order* \mathcal{H}
by (*unfold-locales, simp-all add: utp-order-def*)

We need to remove some transitivity rules to stop them being applied in calculations

declare *utp-po.trans* [*trans del*]

```

lemma refine-monoE:
  assumes umono  $F\ x\ is\ \mathcal{H}\ y\ is\ \mathcal{H}\ x\sqsubseteq y$ 
  shows  $(x\ is\ \mathcal{H}\ \Longrightarrow\ y\ is\ \mathcal{H}\ \Longrightarrow\ F\ x\sqsubseteq F\ y\ \Longrightarrow\ thesis)\ \Longrightarrow\ thesis$ 
  using assms by (simp add: isotone-def)

```

end

```

locale utp-theory-lattice = utp-theory +
  assumes uthy-lattice: complete-lattice (utp-order  $\mathcal{H}$ )
begin

```

```

sublocale complete-lattice utp-order  $\mathcal{H}$ 
  rewrites le thy-order =  $(\sqsubseteq)$ 
  and eq thy-order =  $(=)$ 
  and  $\bigwedge A. A\sqsubseteq carrier\ thy-order \longleftrightarrow A\sqsubseteq \llbracket \mathcal{H} \rrbracket_H$ 
  and  $\bigwedge P. P\in carrier\ thy-order \longleftrightarrow P\ is\ \mathcal{H}$ 
  and  $carrier\ thy-order \rightarrow carrier\ thy-order = \llbracket \mathcal{H} \rrbracket_H \rightarrow \llbracket \mathcal{H} \rrbracket_H$ 
  and  $Lattice.sup\ thy-order\ (carrier\ thy-order) = Lattice.sup\ thy-order\ \llbracket \mathcal{H} \rrbracket_H$ 
  and  $Lattice.inf\ thy-order\ (carrier\ thy-order) = Lattice.inf\ thy-order\ \llbracket \mathcal{H} \rrbracket_H$ 
  by (simp-all add: uthy-lattice)

```

```

declare top-closed [simp del]
declare bottom-closed [simp del]

```

The healthiness conditions of a UTP theory lattice form a complete lattice, and allows us to make use of complete lattice results from HOL-Algebra [5], such as the Knaster-Tarski theorem. We can also retrieve lattice operators as below.

```

abbreviation utp-top ( $\top$ )
where utp-top  $\equiv top\ (utp-order\ \mathcal{H})$ 

```

```

abbreviation utp-bottom ( $\perp$ )
where utp-bottom  $\equiv bottom\ (utp-order\ \mathcal{H})$ 

```

```

abbreviation utp-join (infixl  $\sqcup$  65) where
utp-join  $\equiv join\ (utp-order\ \mathcal{H})$ 

```

```

abbreviation utp-meet (infixl  $\sqcap$  70) where
utp-meet  $\equiv meet\ (utp-order\ \mathcal{H})$ 

```

```

abbreviation utp-sup ( $\bigsqcup$  - [90] 90) where
utp-sup  $\equiv Lattice.sup\ (utp-order\ \mathcal{H})$ 

```

```

abbreviation utp-inf ( $\bigsqcap$  - [90] 90) where
utp-inf  $\equiv Lattice.inf\ (utp-order\ \mathcal{H})$ 

```

```

abbreviation utp-gfp ( $\nu$ ) where
utp-gfp  $\equiv GREATEST-FP\ (utp-order\ \mathcal{H})$ 

```

```

abbreviation utp-lfp ( $\mu$ ) where
utp-lfp  $\equiv LEAST-FP\ (utp-order\ \mathcal{H})$ 

```

The following theorem and proof was contributed by Yakoub Nemouchi.

```

lemma lfp-ordinal-induct [case-names M H step union]:
  assumes  $M:(Mono_{thy-order}\ F)$ 

```

```

assumes  $H: \langle F \in \llbracket \mathcal{H} \rrbracket_H \rightarrow \llbracket \mathcal{H} \rrbracket_H \rangle$ 
assumes  $P\text{-}f: \langle \bigwedge S. P\ S \implies S \sqsubseteq \mu\ F \implies S\ is\ \mathcal{H} \implies P\ (F\ S) \rangle$ 
assumes  $P\text{-}Union: \langle \bigwedge M. M \subseteq \llbracket \mathcal{H} \rrbracket_H \implies (\bigwedge S. S \in M \implies P\ S) \implies P\ (\bigsqcup M) \rangle$ 
shows  $\langle P\ (\mu\ F) \rangle$ 
proof –
  let  $?M = \langle \{S. S \sqsubseteq \mu\ F \wedge P\ S \wedge (S\ is\ \mathcal{H})\} \rangle$ 
from  $P\text{-}Union$  have  $\langle P\ (\bigsqcup\ ?M) \rangle$ 
  by (metis (no-types, lifting) Collect-mono mem-Collect-eq)
also have  $\langle \bigsqcup\ ?M = \mu\ F \rangle$ 
proof (rule antisym)
  show  $\langle \bigsqcup\ ?M \sqsubseteq \mu\ F \rangle$ 
  by (subst sup-least, auto simp add: Collect-mono)
then have  $\langle F\ (\bigsqcup\ ?M) \sqsubseteq F\ (\mu\ F) \rangle$ 
  by (metis (mono-tags, lifting) Collect-mono LFP-closed M sup-closed refine-monoE)
then have  $\langle F\ (\bigsqcup\ ?M) \sqsubseteq \mu\ F \rangle$ 
  by (metis (no-types, lifting) H LFP-weak-unfold M)
then have  $\langle F\ (\bigsqcup\ ?M) \in ?M \rangle$ 
using  $P\text{-}Union$ 
apply simp
apply (subst P-f)
  apply simp-all
  apply (simp add: calculation)
  apply (simp add:  $\langle \bigsqcup\ ?M \sqsubseteq \mu\ F \rangle$ )
  apply (simp add: Collect-mono-iff)
using  $H$ 
apply (elim PiE)
apply simp-all
apply (simp add: Collect-mono)
done
then have  $F\ (\bigsqcup\ ?M) \sqsubseteq \bigsqcup\ ?M$ 
  by (simp add: Collect-mono sup-upper)
then show  $\mu\ F \sqsubseteq \bigsqcup\ ?M$ 
  by (simp add: Collect-mono LFP-lowerbound)
qed
finally show ?thesis .
qed

end

```

syntax

```

-tmu :: logic  $\Rightarrow$  pttrn  $\Rightarrow$  logic  $\Rightarrow$  logic ( $\mu_1$  - -  $[0, 10]$  10)
-tnu :: logic  $\Rightarrow$  pttrn  $\Rightarrow$  logic  $\Rightarrow$  logic ( $\nu_1$  - -  $[0, 10]$  10)

```

notation *gfp* (μ)

notation *lfp* (ν)

translations

```

 $\mu_H\ X \cdot P == \text{CONST LEAST-FP } (\text{CONST utp-order } H) (\lambda X. P)$ 
 $\nu_H\ X \cdot P == \text{CONST GREATEST-FP } (\text{CONST utp-order } H) (\lambda X. P)$ 

```

lemma *upred-lattice-inf*:

Lattice.inf $\mathcal{P}\ A = \bigsqcap\ A$

by (*metis Sup-least Sup-upper UNIV-I antisym-conv subsetI upred-lattice.weak.inf-greatest upred-lattice.weak.inf-lower upred-lattice-carrier upred-lattice-le*)

We can then derive a number of properties about these operators, as below.

context *utp-theory-lattice*

begin

lemma *LFP-healthy-comp*: $\mu F = \mu (F \circ \mathcal{H})$

proof –

have $\{P. (P \text{ is } \mathcal{H}) \wedge F P \sqsubseteq P\} = \{P. (P \text{ is } \mathcal{H}) \wedge F (\mathcal{H} P) \sqsubseteq P\}$

by (*auto simp add: Healthy-def*)

thus *?thesis*

by (*simp add: LEAST-FP-def*)

qed

lemma *GFP-healthy-comp*: $\nu F = \nu (F \circ \mathcal{H})$

proof –

have $\{P. (P \text{ is } \mathcal{H}) \wedge P \sqsubseteq F P\} = \{P. (P \text{ is } \mathcal{H}) \wedge P \sqsubseteq F (\mathcal{H} P)\}$

by (*auto simp add: Healthy-def*)

thus *?thesis*

by (*simp add: GREATEST-FP-def*)

qed

lemma *top-healthy [closure]*: $\top \text{ is } \mathcal{H}$

using *weak.top-closed* **by** *auto*

lemma *bottom-healthy [closure]*: $\perp \text{ is } \mathcal{H}$

using *weak.bottom-closed* **by** *auto*

lemma *utp-top*: $P \text{ is } \mathcal{H} \implies P \sqsubseteq \top$

using *weak.top-higher* **by** *auto*

lemma *utp-bottom*: $P \text{ is } \mathcal{H} \implies \perp \sqsubseteq P$

using *weak.bottom-lower* **by** *auto*

end

lemma *upred-top*: $\top_{\mathcal{P}} = \text{false}$

using *ball-UNIV greatest-def* **by** *fastforce*

lemma *upred-bottom*: $\perp_{\mathcal{P}} = \text{true}$

by *fastforce*

One way of obtaining a complete lattice is showing that the healthiness conditions are monotone, which the below locale characterises.

locale *utp-theory-mono* = *utp-theory* +

assumes *HCond-Mono [closure,intro]*: *Monotonic* \mathcal{H}

sublocale *utp-theory-mono* \subseteq *utp-theory-lattice*

proof –

interpret *weak-complete-lattice fpl* $\mathcal{P} \mathcal{H}$

by (*rule Knaster-Tarski, auto*)

have *complete-lattice* (*fpl* $\mathcal{P} \mathcal{H}$)

by (*unfold-locales, simp add: fps-def sup-exists, (blast intro: sup-exists inf-exists)+*)

hence *complete-lattice* (*utp-order* \mathcal{H})

by (*simp add: utp-order-def, simp add: upred-lattice-def*)

```

thus utp-theory-lattice  $\mathcal{H}$ 
  by (simp add: utp-theory-axioms utp-theory-lattice.intro utp-theory-lattice-axioms.intro)
qed

```

In a monotone theory, the top and bottom can always be obtained by applying the healthiness condition to the predicate top and bottom, respectively.

```

context utp-theory-mono
begin

```

```

lemma healthy-top:  $\top = \mathcal{H}(\text{false})$ 
proof –
  have  $\top = \top_{fpl} \mathcal{P} \mathcal{H}$ 
    by (simp add: utp-order-fpl)
  also have  $\dots = \mathcal{H} \top_{\mathcal{P}}$ 
    using Knaster-Tarski-idem-extremes(1)[of  $\mathcal{P} \mathcal{H}$ ]
    by (simp add: HCond-Idempotent HCond-Mono)
  also have  $\dots = \mathcal{H} \text{false}$ 
    by (simp add: upred-top)
  finally show ?thesis .
qed

```

```

lemma healthy-bottom:  $\perp = \mathcal{H}(\text{true})$ 
proof –
  have  $\perp = \perp_{fpl} \mathcal{P} \mathcal{H}$ 
    by (simp add: utp-order-fpl)
  also have  $\dots = \mathcal{H} \perp_{\mathcal{P}}$ 
    using Knaster-Tarski-idem-extremes(2)[of  $\mathcal{P} \mathcal{H}$ ]
    by (simp add: HCond-Idempotent HCond-Mono)
  also have  $\dots = \mathcal{H} \text{true}$ 
    by (simp add: upred-bottom)
  finally show ?thesis .
qed

```

```

lemma healthy-inf:
  assumes  $A \subseteq \llbracket \mathcal{H} \rrbracket_H$ 
  shows  $\bigcap A = \mathcal{H} (\bigcap A)$ 
  using Knaster-Tarski-idem-inf-eq[OF upred-weak-complete-lattice, of  $\mathcal{H}$ ]
  by (simp, metis HCond-Idempotent HCond-Mono assms partial-object.simps(3) upred-lattice-def upred-lattice-inf utp-order-def)

```

end

```

locale utp-theory-continuous = utp-theory +
  assumes HCond-Cont [closure,intro]: Continuous  $\mathcal{H}$ 

```

```

sublocale utp-theory-continuous  $\subseteq$  utp-theory-mono
proof
  show Monotonic  $\mathcal{H}$ 
    by (simp add: Continuous-Monotonic HCond-Cont)
qed

```

```

context utp-theory-continuous
begin

```

lemma *healthy-inf-cont*:
assumes $A \subseteq \llbracket \mathcal{H} \rrbracket_H$ $A \neq \{\}$
shows $\sqcap A = \sqcap A$
proof –
have $\sqcap A = \sqcap (\mathcal{H}'A)$
using *Continuous-def HCond-Cont assms(1) assms(2) healthy-inf* **by** *auto*
also have $\dots = \sqcap A$
by (*unfold Healthy-carrier-image[OF assms(1)], simp*)
finally show *?thesis* .
qed

lemma *healthy-inf-def*:
assumes $A \subseteq \llbracket \mathcal{H} \rrbracket_H$
shows $\sqcap A = (\text{if } (A = \{\}) \text{ then } \top \text{ else } (\sqcap A))$
using *assms healthy-inf-cont weak.weak-inf-empty* **by** *auto*

lemma *healthy-meet-cont*:
assumes $P \text{ is } \mathcal{H} \ Q \text{ is } \mathcal{H}$
shows $P \sqcap Q = P \sqcap Q$
using *healthy-inf-cont[of \{P, Q\} assms]*
by (*simp add: Healthy-if meet-def*)

lemma *meet-is-healthy [closure]*:
assumes $P \text{ is } \mathcal{H} \ Q \text{ is } \mathcal{H}$
shows $P \sqcap Q \text{ is } \mathcal{H}$
by (*metis Continuous-Disjunctous Disjunctuous-def HCond-Cont Healthy-def' assms(1) assms(2)*)

lemma *disj-is-healthy [closure]*:
 $\llbracket P \text{ is } \mathcal{H}; Q \text{ is } \mathcal{H} \rrbracket \implies (P \vee Q) \text{ is } \mathcal{H}$
by (*simp add: disj-upred-def meet-is-healthy*)

lemma *meet-bottom [simp]*:
assumes $P \text{ is } \mathcal{H}$
shows $P \sqcap \perp = \perp$
by (*simp add: assms semilattice-sup-class.sup-absorb2 utp-bottom*)

lemma *meet-top [simp]*:
assumes $P \text{ is } \mathcal{H}$
shows $P \sqcap \top = P$
by (*simp add: assms semilattice-sup-class.sup-absorb1 utp-top*)

lemma *inf-empty*: $\sqcap \{\} = \top$
by (*simp add: healthy-inf-def*)

lemma *inf-all*: $\sqcap \llbracket \mathcal{H} \rrbracket_H = \perp$
using *weak-inf-carrier* **by** *auto*

The UTP theory lfp operator can be rewritten to the alphabetised predicate lfp when in a continuous context.

theorem *utp-lfp-def*:
assumes *Monotonic* $F \in \llbracket \mathcal{H} \rrbracket_H \rightarrow \llbracket \mathcal{H} \rrbracket_H$
shows $\mu F = (\mu X \cdot F(\mathcal{H}(X)))$
proof (*rule antisym*)
have *ne*: $\{P. (P \text{ is } \mathcal{H}) \wedge F P \sqsubseteq P\} \neq \{\}$

```

proof –
  have  $F \top \sqsubseteq \top$ 
    using assms(2) utp-top weak.top-closed by force
  thus ?thesis
    by (auto)
qed
show  $\mu F \sqsubseteq (\mu X \cdot F (\mathcal{H} X))$ 
proof –
  have  $\sqcap \{P. (P \text{ is } \mathcal{H}) \wedge F(P) \sqsubseteq P\} \sqsubseteq \sqcap \{P. F(\mathcal{H}(P)) \sqsubseteq P\}$ 
  proof –
    have  $1: \bigwedge P. F(\mathcal{H}(P)) = \mathcal{H}(F(\mathcal{H}(P)))$ 
      by (metis HCond-Idem Healthy-def assms(2) funcset-mem mem-Collect-eq)
    show ?thesis
    proof (rule Sup-least, auto)
      fix  $P$ 
      assume  $a: F (\mathcal{H} P) \sqsubseteq P$ 
      hence  $F: (F (\mathcal{H} P)) \sqsubseteq (\mathcal{H} P)$ 
        by (metis 1 HCond-Mono mono-def)
      show  $\sqcap \{P. (P \text{ is } \mathcal{H}) \wedge F P \sqsubseteq P\} \sqsubseteq P$ 
      proof (rule Sup-upper2[of F (H P)])
        show  $F (\mathcal{H} P) \in \{P. (P \text{ is } \mathcal{H}) \wedge F P \sqsubseteq P\}$ 
        proof (auto)
          show  $F (\mathcal{H} P) \text{ is } \mathcal{H}$ 
            by (metis 1 Healthy-def)
          show  $F (F (\mathcal{H} P)) \sqsubseteq F (\mathcal{H} P)$ 
            using F mono-def assms(1) by blast
        qed
        show  $F (\mathcal{H} P) \sqsubseteq P$ 
          by (simp add: a)
      qed
    qed
  qed

with ne show ?thesis
  by (simp add: LEAST-FP-def gfp-def, subst healthy-inf-cont, auto simp add: lfp-def)
qed
from ne show  $(\mu X \cdot F (\mathcal{H} X)) \sqsubseteq \mu F$ 
  apply (simp add: LEAST-FP-def gfp-def, subst healthy-inf-cont, auto simp add: lfp-def)
  apply (rule Sup-least)
  apply (auto simp add: Healthy-def Sup-upper)
done
qed

lemma UINF-ind-Healthy [closure]:
  assumes  $\bigwedge i. P(i) \text{ is } \mathcal{H}$ 
  shows  $(\sqcap i \cdot P(i)) \text{ is } \mathcal{H}$ 
  by (simp add: closure assms)

```

end

In another direction, we can also characterise UTP theories that are relational. Minimally this requires that the healthiness condition is closed under sequential composition.

```

locale utp-theory-rel =
  utp-theory hcond for hcond :: ' $\alpha$  hrel  $\Rightarrow$  ' $\alpha$  hrel ( $\mathcal{H}$ ) +
  assumes Healthy-Sequence [closure]:  $\llbracket P \text{ is } \mathcal{H}; Q \text{ is } \mathcal{H} \rrbracket \Longrightarrow (P ;; Q) \text{ is } \mathcal{H}$ 

```

```

begin

lemma upower-Suc-Healthy [closure]:
  assumes  $P$  is  $\mathcal{H}$ 
  shows  $P \hat{\ } \text{Suc } n$  is  $\mathcal{H}$ 
  by (induct  $n$ , simp-all add: closure assms upred-semiring.power-Suc)

end

locale utp-theory-cont-rel =
  utp-theory-rel hcond + utp-theory-continuous
begin

lemma seq-cont-Sup-distl:
  assumes  $P$  is  $\mathcal{H}$   $A \subseteq \llbracket \mathcal{H} \rrbracket_H$   $A \neq \{\}$ 
  shows  $P ;; (\bigcap A) = \bigcap \{P ;; Q \mid Q. Q \in A\}$ 
proof -
  have  $\{P ;; Q \mid Q. Q \in A\} \subseteq \llbracket \mathcal{H} \rrbracket_H$ 
  using Healthy-Sequence assms(1) assms(2) by (auto)
  thus ?thesis
  by (simp add: healthy-inf-cont seq-Sup-distl setcompr-eq-image assms)
qed

lemma seq-cont-Sup-distr:
  assumes  $Q$  is  $\mathcal{H}$   $A \subseteq \llbracket \mathcal{H} \rrbracket_H$   $A \neq \{\}$ 
  shows  $(\bigcap A) ;; Q = \bigcap \{P ;; Q \mid P. P \in A\}$ 
proof -
  have  $\{P ;; Q \mid P. P \in A\} \subseteq \llbracket \mathcal{H} \rrbracket_H$ 
  using Healthy-Sequence assms(1) assms(2) by (auto)
  thus ?thesis
  by (simp add: healthy-inf-cont seq-Sup-distr setcompr-eq-image assms)
qed

lemma uplus-healthy [closure]:
  assumes  $P$  is  $\mathcal{H}$ 
  shows  $P^+$  is  $\mathcal{H}$ 
  by (simp add: uplus-power-def closure assms)

end

```

end

There also exist UTP theories with units. Not all theories have both a left and a right unit (e.g. H1-H2 designs) and so we split up the locale into two cases.

```

locale utp-theory-units =
  utp-theory-rel +
  fixes utp-unit ( $\mathcal{I}\mathcal{I}$ )
  assumes Healthy-Unit [closure]:  $\mathcal{I}\mathcal{I}$  is  $\mathcal{H}$ 
begin

```

We can characterise the theory Kleene star by lifting the relational one.

```

definition utp-star ( $\neg\star$  [999] 999) where
[upred-defs]: utp-star  $P = (P^\star ;; \text{utp-unit})$ 

```

We can then characterise tests as refinements of units.

```

definition utp-test :: 'a hrel  $\Rightarrow$  bool where
[upred-defs]: utp-test  $b = (\mathcal{I}\mathcal{I} \sqsubseteq b)$ 

```

end

locale *utp-theory-left-unital* =
utp-theory-units +
assumes *Unit-Left*: $P \text{ is } \mathcal{H} \implies (\mathcal{II} ;; P) = P$

locale *utp-theory-right-unital* =
utp-theory-units +
assumes *Unit-Right*: $P \text{ is } \mathcal{H} \implies (P ;; \mathcal{II}) = P$

locale *utp-theory-unital* =
utp-theory-left-unital + *utp-theory-right-unital*
begin

lemma *Unit-self* [*simp*]:
 $\mathcal{II} ;; \mathcal{II} = \mathcal{II}$
by (*simp add: Healthy-Unit Unit-Right*)

lemma *utest-intro*:
 $\mathcal{II} \sqsubseteq P \implies \text{utp-test } P$
by (*simp add: utp-test-def*)

lemma *utest-Unit* [*closure*]:
 $\text{utp-test } \mathcal{II}$
by (*simp add: utp-test-def*)

end

locale *utp-theory-mono-unital* =
utp-theory-unital $\mathcal{H} \mathcal{II}$ + *utp-theory-mono* **for** \mathcal{II}
begin

lemma *utest-Top* [*closure*]: $\text{utp-test } \top$
by (*simp add: Healthy-Unit utp-test-def utp-top*)

end

locale *utp-theory-cont-unital* = *utp-theory-cont-rel* + *utp-theory-unital hcond*
begin

sublocale *utp-theory-mono-unital* $\mathcal{H} \mathcal{II}$
by (*simp add: utp-theory-mono-axioms utp-theory-mono-unital-def utp-theory-unital-axioms*)

end

locale *utp-theory-unital-zero* =
utp-theory-unital +
utp-theory-lattice +
assumes *Top-Left-Zero*: $P \text{ is } \mathcal{H} \implies \top ;; P = \top$

locale *utp-theory-cont-unital-zero* =
utp-theory-unital-zero + *utp-theory-cont-unital hcond utp-unit*
begin

lemma *Top-test-Right-Zero*:
assumes *b is \mathcal{H} utp-test b*
shows *b ;; $\top = \top$*
proof –
have *b $\sqcap \mathcal{II} = \mathcal{II}$*
by (*meson assms(2) semilattice-sup-class.le-iff-sup utp-test-def*)
then show *?thesis*
by (*metis (no-types) Top-Left-Zero Unit-Left assms(1) meet-top top-healthy upred-semiring.distrib-right*)
qed

end

20.4 Theory of relations

interpretation *rel-theory: utp-theory-mono-unital id skip-r*
rewrites *rel-theory.utp-top = false*
and *rel-theory.utp-bottom = true*
and *carrier (utp-order id) = UNIV*
and *(P is id) = True*
proof –
show *utp-theory-mono-unital id II*
by (*unfold-locales, simp-all add: Healthy-def*)
then interpret *utp-theory-mono-unital id skip-r*
by *simp*
show *utp-top = false utp-bottom = true*
by (*simp-all add: healthy-top healthy-bottom*)
show *carrier (utp-order id) = UNIV (P is id) = True*
by (*auto simp add: utp-order-def Healthy-def*)
qed

20.5 Theory links

We can also describe links between theories, such a Galois connections and retractions, using the following notation.

definition *mk-conn* (*- $\Leftarrow \langle -, - \rangle \Rightarrow$ - [90,0,0,91] 91*) **where**
H1 $\Leftarrow \langle \mathcal{H}_1, \mathcal{H}_2 \rangle \Rightarrow H2 \equiv ()$ orderA = utp-order H1, orderB = utp-order H2, lower = \mathcal{H}_2 , upper = \mathcal{H}_1)

lemma *mk-conn-orderA [simp]: $\mathcal{X}_{H1} \Leftarrow \langle \mathcal{H}_1, \mathcal{H}_2 \rangle \Rightarrow H2 = \text{utp-order } H1$*
by (*simp add: mk-conn-def*)

lemma *mk-conn-orderB [simp]: $\mathcal{Y}_{H1} \Leftarrow \langle \mathcal{H}_1, \mathcal{H}_2 \rangle \Rightarrow H2 = \text{utp-order } H2$*
by (*simp add: mk-conn-def*)

lemma *mk-conn-lower [simp]: $\pi_{*} H1 \Leftarrow \langle \mathcal{H}_1, \mathcal{H}_2 \rangle \Rightarrow H2 = \mathcal{H}_1$*
by (*simp add: mk-conn-def*)

lemma *mk-conn-upper [simp]: $\pi^{*} H1 \Leftarrow \langle \mathcal{H}_1, \mathcal{H}_2 \rangle \Rightarrow H2 = \mathcal{H}_2$*
by (*simp add: mk-conn-def*)

lemma *galois-comp: $(H2 \Leftarrow \langle \mathcal{H}_3, \mathcal{H}_4 \rangle \Rightarrow H3) \circ_g (H1 \Leftarrow \langle \mathcal{H}_1, \mathcal{H}_2 \rangle \Rightarrow H2) = H1 \Leftarrow \langle \mathcal{H}_1 \circ \mathcal{H}_3, \mathcal{H}_4 \circ \mathcal{H}_2 \rangle \Rightarrow H3$*
by (*simp add: comp-galcon-def mk-conn-def*)

Example Galois connection / retract: Existential quantification

lemma *Idempotent-ex: mwb-lens $x \Longrightarrow \text{Idempotent } (ex\ x)$*

```

by (simp add: Idempotent-def exists-twice)

lemma Monotonic-ex: mwb-lens x  $\implies$  Monotonic (ex x)
  by (simp add: mono-def ex-mono)

lemma ex-closed-unrest:
  mwb-lens x  $\implies$   $\llbracket ex\ x \rrbracket_H = \{P. x \# P\}$ 
  by (simp add: Healthy-def unrest-as-exists)

Any theory can be composed with an existential quantification to produce a Galois connection

theorem ex-retract:
  assumes mwb-lens x Idempotent H ex x  $\circ$  H = H  $\circ$  ex x
  shows retract ((ex x  $\circ$  H)  $\Leftarrow$  (ex x, H)  $\Rightarrow$  H)
proof (unfold-locales, simp-all)
  show H  $\in$   $\llbracket ex\ x \circ H \rrbracket_H \rightarrow \llbracket H \rrbracket_H$ 
    using Healthy-Idempotent assms by blast
  from assms(1) assms(3) [THEN sym] show ex x  $\in$   $\llbracket H \rrbracket_H \rightarrow \llbracket ex\ x \circ H \rrbracket_H$ 
    by (simp add: Pi-iff Healthy-def fun-eq-iff exists-twice)
  fix P Q
  assume P is (ex x  $\circ$  H) Q is H
  thus (H P  $\sqsubseteq$  Q) = (P  $\sqsubseteq$  ( $\exists$  x  $\cdot$  Q))
    by (metis (no-types, lifting) Healthy-Idempotent Healthy-if assms comp-apply dual-order.trans ex-weakens
    utp-pred-laws.ex-mono mwb-lens-wb)
next
  fix P
  assume P is (ex x  $\circ$  H)
  thus ( $\exists$  x  $\cdot$  H P)  $\sqsubseteq$  P
    by (simp add: Healthy-def)
qed

corollary ex-retract-id:
  assumes mwb-lens x
  shows retract (ex x  $\Leftarrow$  (ex x, id)  $\Rightarrow$  id)
  using assms ex-retract [where H=id] by (auto)
end

```

21 Relational Frames

```

theory utp-frame
  imports utp-theory
begin

```

21.1 Frame Constructs

Alphabet extension and restriction add additional variables by the given lens in both their primed and unprimed versions.

definition *rel-aext* :: $'\beta \text{ hrel} \Rightarrow (' \beta \implies ' \alpha) \Rightarrow ' \alpha \text{ hrel}$
where [*upred-defs*]: *rel-aext* P a = $P \oplus_p (a \times_L a)$

definition *rel-ares* :: $' \alpha \text{ hrel} \Rightarrow (' \beta \implies ' \alpha) \Rightarrow ' \beta \text{ hrel}$
where [*upred-defs*]: *rel-ares* P a = $(P \upharpoonright_p (a \times a))$

We next describe frames and antiframes with the help of lenses. A frame states that P defines how variables in a changed, and all those outside of a remain the same. An antiframe describes

the converse: all variables outside a are specified by P , and all those in remain the same. For more information please see [25].

definition $frame :: ('a \Rightarrow 'α) \Rightarrow 'α \text{ hrel} \Rightarrow 'α \text{ hrel}$ **where**
 $[urel-defs]: frame\ a\ P = (P \wedge \$\mathbf{v}' =_u \$\mathbf{v} \oplus \$\mathbf{v}' \text{ on } \&a)$

definition $antiframe :: ('a \Rightarrow 'α) \Rightarrow 'α \text{ hrel} \Rightarrow 'α \text{ hrel}$ **where**
 $[urel-defs]: antiframe\ a\ P = (P \wedge \$\mathbf{v}' =_u \$\mathbf{v}' \oplus \$\mathbf{v} \text{ on } \&a)$

The following operator states that a relation only modifies variables within a .

abbreviation $modifies :: 's \text{ hrel} \Rightarrow ('a \Rightarrow 's) \Rightarrow bool$ **where**
 $modifies\ P\ a \equiv P \text{ is } frame\ a$

abbreviation $not-modifies :: 's \text{ hrel} \Rightarrow ('a \Rightarrow 's) \Rightarrow bool$ **where**
 $not-modifies\ P\ a \equiv P \text{ is } antiframe\ a$

We also describe variable restriction, which is an extension of *antiframe*. It effectively forbids a relation from accessing a variable by assigning it arbitrary values, and copying its original value.

definition $rrestr :: ('a \Rightarrow 'α) \Rightarrow 'α \text{ hrel} \Rightarrow 'α \text{ hrel}$ **where**
 $[upred-defs]: rrestr\ x\ P = (\prod (v, v') \cdot antiframe\ x\ (P[\ll v \gg, \ll v' \gg / \$x, \$x']]))$

If restricting a variable has no effect, then it is neither read nor written.

abbreviation $not-uses\ P\ a \equiv P \text{ is } rrestr\ a$

Frame extension combines alphabet extension with the frame operator to both add additional variables and then frame those.

definition $rel-frext :: ('β \Rightarrow 'α) \Rightarrow 'β \text{ hrel} \Rightarrow 'α \text{ hrel}$ **where**
 $[upred-defs]: rel-frext\ a\ P = frame\ a\ (rel-aext\ P\ a)$

The nameset operator can be used to hide a portion of the after-state that lies outside the lens a . It can be useful to partition a relation's variables in order to conjoin it with another relation.

definition $nameset :: ('a \Rightarrow 'α) \Rightarrow 'α \text{ hrel} \Rightarrow 'α \text{ hrel}$ **where**
 $[urel-defs]: nameset\ a\ P = (P \upharpoonright_v \{ \$\mathbf{v}, \$a' \})$

The modify and freeze operators below are analogous to the frame and antiframe, but they discard updates to variables outside (inside) the frame, rather than requiring that they do not change.

definition $modify :: ('a \Rightarrow 'α) \Rightarrow 'α \text{ hrel} \Rightarrow 'α \text{ hrel}$ **where**
 $[urel-defs]: modify\ a\ P = (\exists\ st' \cdot P[\ll st' \gg / \$\mathbf{v}'] \wedge \$\mathbf{v}' =_u \$\mathbf{v} \oplus \ll st' \gg \text{ on } \&a)$

definition $freeze :: ('a \Rightarrow 'α) \Rightarrow 'α \text{ hrel} \Rightarrow 'α \text{ hrel}$ **where**
 $[urel-defs]: freeze\ a\ P = (\exists\ st' \cdot P[\ll st' \gg / \$\mathbf{v}'] \wedge \$\mathbf{v}' =_u \ll st' \gg \oplus \$\mathbf{v} \text{ on } \&a)$

syntax

- Frame
- $-frame :: salpha \Rightarrow logic \Rightarrow logic\ (-:[-]\ [99,0]\ 100)$
- Antiframe
- $-antiframe :: salpha \Rightarrow logic \Rightarrow logic\ (-:[-]\ [79,0]\ 80)$
- Relational Alphabet Extension
- $-rel-aext :: logic \Rightarrow salpha \Rightarrow logic\ (\text{infixl } \oplus_r\ 90)$
- Relational Alphabet Restriction
- $-rel-ares :: logic \Rightarrow salpha \Rightarrow logic\ (\text{infixl } \upharpoonright_r\ 90)$
- Frame Extension

```

-rel-frext :: salpha  $\Rightarrow$  logic  $\Rightarrow$  logic (·[-]+ [99,0] 100)
— Nameset
-nameset      :: salpha  $\Rightarrow$  logic  $\Rightarrow$  logic (ns · · - [0,10] 10)
— Modify
-modify       :: salpha  $\Rightarrow$  logic  $\Rightarrow$  logic (mdf · · - [0,10] 10)
— Freeze
-freeze       :: salpha  $\Rightarrow$  logic  $\Rightarrow$  logic (frz · · - [0,10] 10)
— Modifies Predicate
-modifies     :: logic  $\Rightarrow$  salpha  $\Rightarrow$  logic (infix mods 30)
— Not Modifies Predicate
-not-modifies :: logic  $\Rightarrow$  salpha  $\Rightarrow$  logic (infix nmods 30)
— Not Uses Predicate
-not-uses     :: logic  $\Rightarrow$  salpha  $\Rightarrow$  logic (infix nuses 30)

```

translations

```

-frame x P => CONST frame x P
-frame (-salphaset (-salphamk x)) P <= CONST frame x P
-antiframe x P => CONST antiframe x P
-antiframe (-salphaset (-salphamk x)) P <= CONST antiframe x P
-nameset x P == CONST nameset x P
-modify x P == CONST modify x P
-freeze x P == CONST freeze x P
-rel-aext P a == CONST rel-aext P a
-rel-ares P a == CONST rel-ares P a
-rel-frext a P == CONST rel-frext a P
-modifies P x == CONST modifies P x
-not-modifies P x == CONST not-modifies P x
-not-uses P x == CONST not-uses P x

```

21.2 Unrestriction Laws

lemma *unrest-in-rel-aext* [unrest]: $x \bowtie y \Longrightarrow \$y \nmid P \oplus_r x$
by (*simp add: rel-aext-def unrest-aext-indep*)

lemma *unrest-out-rel-aext* [unrest]: $x \bowtie y \Longrightarrow \$y' \nmid P \oplus_r x$
by (*simp add: rel-aext-def unrest-aext-indep*)

21.3 Alphabet Laws

lemma *rel-aext-false* [alpha]:
 $false \oplus_r a = false$
by (*pred-auto*)

lemma *rel-aext-seq* [alpha]:
 $weak-lens\ a \Longrightarrow (P ;; Q) \oplus_r a = (P \oplus_r a ;; Q \oplus_r a)$
apply (*rel-auto*)
apply (*rename-tac aa b y*)
apply (*rule-tac x=create_a y in exI*)
apply (*simp*)
done

lemma *rel-aext-cond* [alpha]:
 $(P \triangleleft b \triangleright_r Q) \oplus_r a = (P \oplus_r a \triangleleft b \oplus_p a \triangleright_r Q \oplus_r a)$
by (*rel-auto*)

lemma *rel-ares-aext* [alpha]:

$vwb\text{-}lens\ a \implies (P \oplus_r a) \upharpoonright_r a = P$
by (*rel-auto*)

lemma *rel-aext-ares* [*alpha*]:
 $\{\$a, \$a'\} \Vdash P \implies P \upharpoonright_r a \oplus_r a = P$
by (*rel-auto*)

lemma *rel-aext-uses* [*unrest*]:
 $vwb\text{-}lens\ a \implies \{\$a, \$a'\} \Vdash (P \oplus_r a)$
by (*rel-auto*)

lemma *Pre-conj-rel-aext* [*prepost*]:
 $\llbracket vwb\text{-}lens\ a; vwb\text{-}lens\ b; a \bowtie b \rrbracket \implies Pre(P \oplus_r a \wedge Q \oplus_r b) = (Pre(P \oplus_r a) \wedge Pre(Q \oplus_r b))$
by (*rel-auto*, *metis* (*no-types*, *lifting*) *lens-indep-def* *mwb-lens-def* *vwb-lens-mwb* *weak-lens-def*)

21.4 Frame and Antiframe Laws

named-theorems *frame*

lemma *frame-all* [*frame*]: $\Sigma:[P] = P$
by (*rel-auto*)

lemma *frame-none* [*frame*]:
 $\emptyset:[P] = (P \wedge II)$
by (*rel-auto*)

lemma *frame-commute*:
assumes $\$y \# P\ \$y' \# P\ \$x \# Q\ \$x' \# Q\ x \bowtie y$
shows $x:[P] \;;\ y:[Q] = y:[Q] \;;\ x:[P]$
apply (*insert assms*)
apply (*rel-auto*)
apply (*rename-tac* $s\ s'\ s_0$)
apply (*subgoal-tac* ($s \oplus_L s'$ on y) $\oplus_L s_0$ on $x = s_0 \oplus_L s'$ on y)
apply (*metis* *lens-indep-get* *lens-indep-sym* *lens-override-def*)
apply (*simp* *add: lens-indep.lens-put-comm* *lens-override-def*)
apply (*rename-tac* $s\ s'\ s_0$)
apply (*subgoal-tac* $put_y (put_x s (get_x (put_x s_0 (get_x s')))) (get_y (put_y s (get_y s_0)))$
 $= put_x s_0 (get_x s')$)
apply (*metis* *lens-indep-get* *lens-indep-sym*)
apply (*metis* *lens-indep.lens-put-comm*)
done

lemma *frame-miracle* [*simp*]:
 $x:[false] = false$
by (*rel-auto*)

lemma *frame-skip* [*simp*]:
 $vwb\text{-}lens\ x \implies x:[II] = II$
by (*rel-auto*)

lemma *frame-assign-in* [*frame*]:
 $\llbracket vwb\text{-}lens\ a; x \subseteq_L a \rrbracket \implies a:[x := v] = x := v$
by (*rel-auto*, *simp-all* *add: lens-get-put-quasi-commute* *lens-put-of-quotient*)

lemma *frame-conj-true* [*frame*]:
 $\llbracket \{\$x, \$x'\} \Vdash P; vwb\text{-}lens\ x \rrbracket \implies (P \wedge x:[true]) = x:[P]$

by (*rel-auto*)

lemma *frame-is-assign* [*frame*]:
 $vwb\text{-}lens\ x \Longrightarrow x:[\$x' =_u \lceil v \rceil_{<}] = x := v$
by (*rel-auto*)

lemma *frame-seq* [*frame*]:
 $\llbracket vwb\text{-}lens\ x; \{ \$x, \$x' \} \Vdash P; \{ \$x, \$x' \} \Vdash Q \rrbracket \Longrightarrow x:[P ;; Q] = x:[P] ;; x:[Q]$
apply (*rel-auto*)
apply (*metis mwb-lens.put-put vwb-lens-mwb vwb-lens-wb wb-lens-def weak-lens.put-get*)
apply (*metis mwb-lens.put-put vwb-lens-mwb*)
done

lemma *frame-assign-commute-unrest*:
assumes $vwb\text{-}lens\ x\ x \bowtie a\ a \# v\ \$x \# P\ \$x' \# P$
shows $x := v ;; a:[P] = a:[P] ;; x := v$
using *assms*
apply (*rel-auto*)
apply (*metis (no-types, lifting) lens-indep.lens-put-irr2 lens-indep-comm*)
apply (*metis (no-types, hide-lams) lens-indep-def*)
done

lemma *frame-to-antiframe* [*frame*]:
 $\llbracket x \bowtie y; x +_L y = 1_L \rrbracket \Longrightarrow x:[P] = y:[P]$
by (*rel-auto, metis lens-indep-def, metis lens-indep-def surj-pair*)

lemma *rel-frex-miracle* [*frame*]:
 $a:[false]^+ = false$
by (*rel-auto*)

lemma *rel-frex-skip* [*frame*]:
 $vwb\text{-}lens\ a \Longrightarrow a:[II]^+ = II$
by (*rel-auto*)

lemma *rel-frex-seq* [*frame*]:
 $vwb\text{-}lens\ a \Longrightarrow a:[P ;; Q]^+ = (a:[P]^+ ;; a:[Q]^+)$
apply (*rel-auto*)
apply (*rename-tac s s' s₀*)
apply (*rule-tac x=put_a s s₀ in exI*)
apply (*auto*)
apply (*metis mwb-lens.put-put vwb-lens-mwb*)
done

lemma *rel-frex-assigns* [*frame*]:
 $vwb\text{-}lens\ a \Longrightarrow a:[\langle \sigma \rangle_a]^+ = \langle \sigma \oplus_s a \rangle_a$
by (*rel-auto*)

lemma *rel-frex-rcond* [*frame*]:
 $a:[P \triangleleft b \triangleright_r Q]^+ = (a:[P]^+ \triangleleft b \oplus_p a \triangleright_r a:[Q]^+)$
by (*rel-auto*)

lemma *rel-frex-commute*:
 $x \bowtie y \Longrightarrow x:[P]^+ ;; y:[Q]^+ = y:[Q]^+ ;; x:[P]^+$
apply (*rel-auto*)
apply (*rename-tac a c b*)

```

apply (subgoal-tac  $\wedge b$  a.  $get_y (put_x b a) = get_y b$ )
apply (metis (no-types, hide-lams) lens-indep-comm lens-indep-get)
apply (simp add: lens-indep.lens-put-irr2)
apply (subgoal-tac  $\wedge b$  c.  $get_x (put_y b c) = get_x b$ )
apply (subgoal-tac  $\wedge b$  a.  $get_y (put_x b a) = get_y b$ )
apply (metis (mono-tags, lifting) lens-indep-comm)
apply (simp-all add: lens-indep.lens-put-irr2)
done

```

```

lemma antiframe-disj [frame]: (x:[P]  $\vee$  x:[Q]) = x:[P  $\vee$  Q]
by (rel-auto)

```

```

lemma antiframe-seq [frame]:
   $\llbracket vwb\text{-}lens\ x; \$x' \# P; \$x \# Q \rrbracket \implies (x:[P] ;; x:[Q]) = x:[P ;; Q]$ 
apply (rel-auto)
apply (metis vwb-lens-wb wb-lens-def weak-lens.put-get)
apply (metis vwb-lens-wb wb-lens.put-twice wb-lens-def weak-lens.put-get)
done

```

```

lemma antiframe-copy-assign:
   $vwb\text{-}lens\ x \implies (x := \llbracket v \rrbracket ;; x:[P] ;; x := \llbracket v \rrbracket) = (x := \llbracket v \rrbracket ;; x:[P])$ 
by rel-auto

```

```

lemma nameset-skip:  $vwb\text{-}lens\ x \implies (\mathbf{ns}\ x \cdot II) = II_x$ 
by (rel-auto, meson vwb-lens-wb wb-lens.get-put)

```

```

lemma nameset-skip-ra:  $vwb\text{-}lens\ x \implies (\mathbf{ns}\ x \cdot II_x) = II_x$ 
by (rel-auto)

```

```

declare sublens-def [lens-defs]

```

21.5 Modification Laws

```

lemma mods-skip [closure]:
   $vwb\text{-}lens\ a \implies II\ mods\ a$ 
by (rel-auto)

```

```

lemma mods-assigns [closure]:
   $\llbracket mwb\text{-}lens\ a; \sigma \triangleright_s a = \sigma \rrbracket \implies \langle \sigma \rangle_a\ mods\ a$ 
by (rel-auto)

```

```

lemma mods-disj [closure]:
  assumes P mods a Q mods a
  shows (P  $\vee$  Q) mods a
proof –
  have (a:[P]  $\vee$  a:[Q]) mods a
  by (rel-auto)
  thus ?thesis by (simp add: Healthy-if assms)
qed

```

```

lemma mods-cond [closure]:
  assumes P mods a Q mods a
  shows P  $\triangleleft b \triangleright_r$  Q mods a
proof –
  have a:[P]  $\triangleleft b \triangleright_r$  a:[Q] mods a

```

by (rel-auto)
 thus ?thesis by (simp add: Healthy-if assms)
 qed

lemma *mods-seq* [closure]:
 assumes *mwb-lens* *a* *P* *mods* *a* *Q* *mods* *a*
 shows *P* ;; *Q* *mods* *a*
proof –
 from *assms*(1) have *a*:[*P*] ;; *a*:[*Q*] *mods* *a*
 by (rel-auto, metis *mwb-lens.put-put*)
 thus ?thesis
 by (simp add: Healthy-if assms)
 qed

lemma *nmods-copy-assign*:
 $\llbracket \text{vwb-lens } x; P \text{ nmods } x \rrbracket \implies (x := \llbracket v \rrbracket ;; P ;; x := \llbracket v \rrbracket) = (x := \llbracket v \rrbracket ;; P)$
 by (metis Healthy-if antiframe-copy-assign)

lemma *nmods-intro*:
 $\llbracket \text{vwb-lens } x; \bigwedge v. (x := \llbracket v \rrbracket ;; P ;; x := \llbracket v \rrbracket) = (x := \llbracket v \rrbracket ;; P) \rrbracket \implies P \text{ nmods } x$
 by (rel-auto, metis *vwb-lens-wb wb-lens.get-put wb-lens.put-twice*)

lemma *nmods-iff-copy-assign*:
 assumes *vwb-lens* *x*
 shows $P \text{ nmods } x \longleftrightarrow (\forall v. (x := \llbracket v \rrbracket ;; P ;; x := \llbracket v \rrbracket) = (x := \llbracket v \rrbracket ;; P))$
proof
 show $(\forall v. (x := \llbracket v \rrbracket ;; P ;; x := \llbracket v \rrbracket) = (x := \llbracket v \rrbracket ;; P)) \implies P \text{ nmods } x$
 by (simp add: *assms nmods-intro*)
 show $P \text{ nmods } x \implies (\forall v. (x := \llbracket v \rrbracket ;; P ;; x := \llbracket v \rrbracket) = (x := \llbracket v \rrbracket ;; P))$
 by (simp add: *assms nmods-copy-assign*)
 qed

lemma *nmods-zero* [closure]: *P* *nmods* \emptyset
 by (rel-auto)

lemma *nmods-plus* [closure]: $\llbracket P \text{ nmods } a; P \text{ nmods } b \rrbracket \implies P \text{ nmods } (a ; b)$
 by (rel-auto, force)

lemma *nmods-skip* [closure]: *vwb-lens* *a* $\implies \text{II}$ *nmods* *a*
 by rel-auto

lemma *nmods-seq* [closure]:
 assumes *weak-lens* *a* *P* *nmods* *a* *Q* *nmods* *a*
 shows *P* ;; *Q* *nmods* *a*
 using *assms* by (rel-auto', metis *weak-lens.put-get*)

lemma *nmods-cond* [closure]:
 assumes *P* *nmods* *a* *Q* *nmods* *a*
 shows $P \triangleleft b \triangleright_r Q \text{ nmods } a$
 using *assms* by (rel-auto')

lemma *nmods-gcmd* [closure]: *P* *nmods* *a* $\implies (b \longrightarrow_r P) \text{ nmods } a$
 by (rel-auto)

lemma *nmods-choice* [closure]: $\llbracket P \text{ nmods } a; Q \text{ nmods } a \rrbracket \implies P \sqcap Q \text{ nmods } a$

by (rel-auto)

lemma *nmods-assigns* [closure]:

$\llbracket \text{vwb-lens } x; x \#_s \sigma \rrbracket \implies \langle \sigma \rangle_a \text{ nmods } x$
 by (rel-auto, metis vwb-lens.put-eq)

lemma *nmods-assign* [closure]: $\llbracket \text{vwb-lens } y; x \bowtie y \rrbracket \implies x := v \text{ nmods } y$

by (rel-auto, metis lens-indep.lens-put-comm vwb-lens-wb wb-lens.get-put)

lemma *nmods-fnext-comp* [closure]: $\llbracket \text{vwb-lens } a; \text{vwb-lens } x; P \text{ nmods } x \rrbracket \implies a:[P]^+ \text{ nmods } \&a:x$

by (rel-auto, metis lens-override-def lens-override-idem)

lemma *nmods-fnext-indep* [closure]: $\llbracket \text{vwb-lens } a; \text{vwb-lens } x; x \bowtie a \rrbracket \implies a:[P]^+ \text{ nmods } x$

by (rel-auto, metis lens-indep-get lens-override-def lens-override-idem)

lemma *nmods-UINF* [closure]: $\llbracket \bigwedge v. P \text{ v nmods } x \rrbracket \implies (\bigcap v \cdot P \text{ v}) \text{ nmods } x$

by (rel-auto)

lemma *nmods-guard* [closure]: $\text{vwb-lens } x \implies ?[p] \text{ nmods } x$

by (rel-auto)

lemma *nmods-miracle* [closure]: $\text{false nmods } x$

by rel-auto

lemma *nmods-disj* [closure]: $\llbracket P \text{ nmods } a; Q \text{ nmods } a \rrbracket \implies (P \vee Q) \text{ nmods } a$

by (rel-auto)

no-utp-lift *frame antiframe modify freeze*

21.6 Modify and Freeze Laws

Assignments made to modify variables are retained, but lost for frozen ones.

lemma *modify-assigns*: $(\mathbf{mdf} \ a \cdot \langle \sigma \rangle_a) = \langle \sigma \triangleright_s a \rangle_a$

by (rel-auto)

lemma *modify-assign*:

$\text{vwb-lens } x \implies (\mathbf{mdf} \ x \cdot x := v) = x := v$

by (simp add: modify-assigns usubst)

lemma *freeze-assigns*: $(\mathbf{frz} \ a \cdot \langle \sigma \rangle_a) = \langle \sigma -_s a \rangle_a$

by (rel-auto)

lemma *freeze-assign*:

$\text{vwb-lens } x \implies (\mathbf{frz} \ x \cdot x := v) = \text{II}$

by (simp add: freeze-assigns usubst skip-r-def)

lemma *frame-modify-same-fixpoints*:

$\text{mwb-lens } a \implies P \text{ mods } a \longleftrightarrow P \text{ is modify } a$

by (rel-simp, metis mwb-lens-weak weak-lens-def)

lemma *antiframe-freeze-same-fixpoints*:

$\text{mwb-lens } a \implies P \text{ is antiframe } a \longleftrightarrow P \text{ is freeze } a$

by (rel-simp, metis mwb-lens.put-put)

21.7 Variable Use Laws

lemma *rrestr-Idempotent* [closure]: $vwb\text{-}lens\ x \implies Idempotent\ (rrestr\ x)$
unfolding *Idempotent-def* **by** (*rel-auto*)

lemma *hide-Continuous* [closure]: $Continuous\ (rrestr\ x)$
by (*rel-auto*)

lemma *hide-nmods* [closure]: $rrestr\ x\ P\ nmods\ x$
by (*rel-auto*)

lemma *nuses-implies-nmods* [closure]: $P\ nuses\ x \implies P\ nmods\ x$
by (*metis Healthy-if hide-nmods*)

lemma *nuses-assign-commute*:
assumes $mwb\text{-}lens\ x\ P\ nuses\ x$
shows $x := \ll v \gg ;; P = P ;; x := \ll v \gg$
proof –
from *assms(1)* **have** $x := \ll v \gg ;; rrestr\ x\ P = rrestr\ x\ P ;; x := \ll v \gg$
by (*rel-auto, metis mwb-lens.put-put, metis*)
thus *?thesis*
by (*simp add: Healthy-if assms(2)*)
qed

lemma *nuses-iff-assign-commute*:
assumes $vwb\text{-}lens\ x$
shows $P\ nuses\ x \longleftrightarrow (\forall\ v.\ x := \ll v \gg ;; P = P ;; x := \ll v \gg)$
proof
assume $P\ nuses\ x$
thus $\forall v.\ x := \ll v \gg ;; P = P ;; x := \ll v \gg$
by (*simp add: assms nuses-assign-commute*)
next
assume $\forall v.\ x := \ll v \gg ;; P = P ;; x := \ll v \gg$
thus $P\ nuses\ x$
by (*rel-simp, metis (full-types) assms mwb-lens.put-put vwb-lens-mwb vwb-lens-wb*
wb-lens.source-stability wb-lens-def weak-lens.put-get)
qed

lemma *nuses-nmods-intro*:
assumes $vwb\text{-}lens\ x\ P\ nmods\ x\ (\forall\ v.\ (x := \ll v \gg ;; P ;; x := \ll v \gg) = (P ;; x := \ll v \gg))$
shows $P\ nuses\ x$
by (*metis assms(1) assms(2) assms(3) nmods-copy-assign nuses-iff-assign-commute*)

lemma *nuses-zero* [closure]: $P\ nuses\ \emptyset$
by (*rel-auto*)

lemma *rrestr-twice*: $\ll vwb\text{-}lens\ a; vwb\text{-}lens\ b; a \bowtie b \rrangle \implies rrestr\ a\ (rrestr\ b\ P) = rrestr\ (a +_L b)\ P$
by (*rel-auto, auto simp add: lens-indep-vwb-iff, (metis vwb-lens.put-eq)+*)

lemma *nuses-plus* [closure]:
assumes $vwb\text{-}lens\ a\ vwb\text{-}lens\ b\ a \bowtie b\ P\ nuses\ a\ P\ nuses\ b$
shows $P\ nuses\ (a ; b)$
by (*metis Healthy-def assms rrestr-twice*)

lemma *nuses-iff-nmods-and-reduce*:
assumes $vwb\text{-}lens\ x$

shows $P \text{ nuses } x \longleftrightarrow ((P \text{ nmods } x) \wedge (\forall v. (x := \langle v \rangle ;; P ;; x := \langle v \rangle) = (P ;; x := \langle v \rangle)))$
proof
have $P \text{ nuses } x \implies (\forall v. (x := \langle v \rangle ;; P ;; x := \langle v \rangle) = (P ;; x := \langle v \rangle))$
by (*simp add: assms nmods-copy-assign nuses-assign-commute nuses-implies-nmods*)
thus $P \text{ nuses } x \implies (P \text{ nmods } x) \wedge (\forall v. (x := \langle v \rangle ;; P ;; x := \langle v \rangle) = (P ;; x := \langle v \rangle))$
by (*simp add: closure*)
show $((P \text{ nmods } x) \wedge (\forall v. (x := \langle v \rangle ;; P ;; x := \langle v \rangle) = (P ;; x := \langle v \rangle))) \implies P \text{ nuses } x$
using *assms nuses-nmods-intro* **by** *blast*
qed

lemma *nuses-false* [*closure*]: *false nuses x*
by *rel-auto*

lemma *nuses-skip* [*closure*]: *vwb-lens x \implies II nuses x*
by (*rel-auto, metis vwb-lens.put-eq*)

lemma *nuses-assigns* [*closure*]:
 $\llbracket \text{vwb-lens } x; x \#_s \sigma \rrbracket \implies \langle \sigma \rangle_a \text{ nuses } x$
apply (*rel-auto*)
apply (*metis mwb-lens-def vwb-lens.put-eq vwb-lens-mwb weak-lens.put-get*)
apply (*metis vwb-lens-wb wb-lens.get-put*)
done

lemma *nuses-assign*:
 $\llbracket \text{vwb-lens } x; x \bowtie y; x \# e \rrbracket \implies y := e \text{ nuses } x$
by (*simp add: closure unrest*)

lemma *nuses-nd-assign* [*closure*]:
 $\llbracket \text{vwb-lens } x; x \bowtie y \rrbracket \implies y := * \text{ nuses } x$
by (*simp add: nd-assign-def closure unrest*)

lemma *nuses-seq* [*closure*]:
assumes *mwb-lens x P nuses x Q nuses x*
shows $P ;; Q \text{ nuses } x$
proof –
have (*rrestr x P ;; rrestr x Q*) *is rrestr x*
apply (*rel-auto*)
apply (*metis (no-types, hide-lams) assms(1) mwb-lens.put-put mwb-lens-def weak-lens.put-get*)
using *assms(1)* **apply** *auto*
apply *blast*
apply (*metis mwb-lens-def weak-lens.put-get*)
done
thus *?thesis*
by (*simp add: Healthy-if assms*)
qed

lemma *nuses-choice* [*closure*]:
 $\llbracket P \text{ nuses } x; Q \text{ nuses } x \rrbracket \implies (P \sqcap Q) \text{ nuses } x$
by (*simp add: Healthy-def Continuous-choice-dist closure*)

lemma *nuses-guard* [*closure*]:
assumes *vwb-lens x x # b*
shows *?[b] nuses x*
using *assms*
by (*rel-auto*)

(metis mwb-lens-def vwb-lens.source-determination vwb-lens-mwb weak-lens.put-get)

lemma *nuses-cond* [closure]:
assumes *vwb-lens* *x* $x \# b$ *P nuses x Q nuses x*
shows $(P \triangleleft b \triangleright_r Q)$ *nuses x*
using *assms*
by (simp add: rcond-rassume-expand closure unrest)

lemma *nuses-power* [closure]:
 $\llbracket vwb-lens\ x; P\ nuses\ x \rrbracket \implies P^{\wedge n}\ nuses\ x$
by (induct *n*, simp-all add: closure upred-semiring.power-Suc)

lemma *nuses-star* [closure]:
 $\llbracket vwb-lens\ x; P\ nuses\ x \rrbracket \implies P^{\star}\ nuses\ x$
unfolding *ustar-def* **by** (simp add: closure)

lemma *nuses-while* [closure]:
 $\llbracket vwb-lens\ x; x \# b; P\ nuses\ x \rrbracket \implies (while\ b\ do\ P\ od)\ nuses\ x$
by (simp add: while-star-form closure unrest)

21.8 UTP Theories

A UTP theory that characterises relations that only modify a region of the state space characterised by a lens *a*.

theorem *frame-theory*:
assumes *vwb-frame*: *vwb-lens a*
shows *utp-theory-cont-unital* (*frame a*) *II*
proof
fix *P Q*
show $a:[a:[P]] = a:[P]$
by *rel-auto*
show $P\ mods\ a \implies Q\ mods\ a \implies P ;; Q\ mods\ a$
using *vwb-frame mods-seq vwb-lens-mwb* **by** *blast*
show *Continuous* (*frame a*)
by (*rel-auto*)
show *II mods a*
by (simp add: *vwb-frame mods-skip*)
qed (*simp-all*)

theorem *antiframe-theory*:
assumes *vwb-frame*: *vwb-lens a*
shows *utp-theory-cont-unital* (*antiframe a*) *II*
proof
fix *P Q*
show $a:\llbracket a:[P] \rrbracket = a:\llbracket P \rrbracket$
by *rel-auto*
show $P\ nmods\ a \implies Q\ nmods\ a \implies P ;; Q\ nmods\ a$
by (simp add: *nmods-seq vwb-frame*)
show *Continuous* (*antiframe a*)
by (*rel-auto*)
show *II nmods a*
by (simp add: *nmods-skip vwb-frame*)
qed (*simp-all*)

theorem *rrestr-theory*:

```

assumes vwb-frame: vwb-lens a
shows utp-theory-cont-unital (rrestr a) II
proof
  fix P Q
  show rrestr a (rrestr a P) = rrestr a P
    using Idempotent-def rrestr-Idempotent vwb-frame by blast
  show P nuses a  $\implies$  Q nuses a  $\implies$  P ;; Q nuses a
    by (simp add: nuses-seq vwb-frame)
  show Continuous (rrestr a)
    by (simp add: hide-Continuous)
  show II nuses a
    by (simp add: nuses-skip vwb-frame)
qed (simp-all)

end

```

22 Relational Hoare calculus

```

theory utp-hoare
imports
  utp-rel-laws
  utp-theory
  utp-frame
begin

```

22.1 Hoare Triple Definitions and Tactics

definition *hoare-r* :: ' α *cond* \Rightarrow (' α , ' β) *urel* \Rightarrow ' β *cond* \Rightarrow bool ($\{\cdot\}/\cdot/\{\cdot\}_u$) **where**
 $\{\!p\!\}\!Q\!\{r\!\}_u = ((\llbracket p \rrbracket < \Rightarrow \llbracket r \rrbracket >) \sqsubseteq Q)$

notation *hoare-r* ($\{\cdot\}/\cdot/\{\cdot\}$)

utp-lift-notation *hoare-r* (1)

translations $\{b\}P\{c\} <= \{U(b)\}P\{U(c)\}$

declare *hoare-r-def* [*upred-defs*]

named-theorems *hoare* **and** *hoare-safe*

method *hoare-split* **uses** *hr* =
 (*simp add: assigns-comp assigns-cond usubst*)?, — Combine Assignments where possible
 (*auto*
intro: hoare intro!: hoare-safe hr
simp add: conj-comm conj-assoc usubst unrest))[1] — Apply Hoare logic laws

method *hoare-auto* **uses** *hr* = (*hoare-split hr: hr; (rel-simp[^])?, auto?*)

22.2 Basic Laws

lemma *hoare-meaning*:
 $\{\!P\!\}S\!\{Q\!\}_u = (\forall s s'. \llbracket P \rrbracket_e s \wedge \llbracket S \rrbracket_e (s, s') \longrightarrow \llbracket Q \rrbracket_e s')$
by (*rel-auto*)

lemma *hoare-alt-def*: $\{\!b\!\}P\!\{c\!\}_u \longleftrightarrow (P ;; ?[c]) \sqsubseteq (?[b] ;; P)$

by (rel-auto)

lemma hoare-assume: $\llbracket P \rrbracket S \llbracket Q \rrbracket_u \implies ?[P] ;; S = ?[P] ;; S ;; ?[Q]$
by (rel-auto)

lemma hoare-pre-assume-1: $\llbracket b \wedge c \rrbracket P \llbracket d \rrbracket_u = \llbracket c \rrbracket ?[b] ;; P \llbracket d \rrbracket_u$
by (rel-auto)

lemma hoare-pre-assume-2: $\llbracket b \wedge c \rrbracket P \llbracket d \rrbracket_u = \llbracket b \rrbracket ?[c] ;; P \llbracket d \rrbracket_u$
by (rel-auto)

lemma hoare-test [hoare-safe]: $'p \wedge b \Rightarrow q' \implies \llbracket p \rrbracket ?[b] \llbracket q \rrbracket_u$
by (rel-simp)

lemma hoare-gcmd [hoare-safe]: $\llbracket p \wedge b \rrbracket P \llbracket q \rrbracket_u \implies \llbracket p \rrbracket b \longrightarrow_r P \llbracket q \rrbracket_u$
by (rel-auto)

lemma hoare-r-conj [hoare-safe]: $\llbracket \llbracket p \rrbracket Q \llbracket r \rrbracket_u ; \llbracket p \rrbracket Q \llbracket s \rrbracket_u \rrbracket \implies \llbracket p \rrbracket Q \llbracket r \wedge s \rrbracket_u$
by rel-auto

lemma hoare-r-weaken-pre [hoare]:
 $\llbracket p \rrbracket Q \llbracket r \rrbracket_u \implies \llbracket p \wedge q \rrbracket Q \llbracket r \rrbracket_u$
 $\llbracket q \rrbracket Q \llbracket r \rrbracket_u \implies \llbracket p \wedge q \rrbracket Q \llbracket r \rrbracket_u$
by rel-auto+

lemma pre-str-hoare-r:
assumes $'p_1 \Rightarrow p_2'$ and $\llbracket p_2 \rrbracket C \llbracket q \rrbracket_u$
shows $\llbracket p_1 \rrbracket C \llbracket q \rrbracket_u$
using assms by rel-auto

lemma post-weak-hoare-r:
assumes $\llbracket p \rrbracket C \llbracket q_2 \rrbracket_u$ and $'q_2 \Rightarrow q_1'$
shows $\llbracket p \rrbracket C \llbracket q_1 \rrbracket_u$
using assms by rel-auto

lemma hoare-r-conseq: $\llbracket \llbracket p_2 \rrbracket S \llbracket q_2 \rrbracket_u ; 'p_1 \Rightarrow p_2' ; 'q_2 \Rightarrow q_1' \rrbracket \implies \llbracket p_1 \rrbracket S \llbracket q_1 \rrbracket_u$
by rel-auto

lemma hoare-r-cut:
assumes $\{b\} P \{b\}$ $\{b \wedge c\} P \{c\}$
shows $\{b \wedge c\} P \{b \wedge c\}$
using assms by rel-auto

lemma hoare-r-cut-simple:
assumes $\{b\} P \{b\}$ $\{c\} P \{c\}$
shows $\{b \wedge c\} P \{b \wedge c\}$
using assms by rel-auto

22.3 Sequence Laws

lemma seq-hoare-r: $\llbracket \llbracket p \rrbracket Q_1 \llbracket s \rrbracket_u ; \llbracket s \rrbracket Q_2 \llbracket r \rrbracket_u \rrbracket \implies \llbracket p \rrbracket Q_1 ;; Q_2 \llbracket r \rrbracket_u$
by rel-auto

lemma seq-hoare-invariant [hoare-safe]: $\llbracket \llbracket p \rrbracket Q_1 \llbracket p \rrbracket_u ; \llbracket p \rrbracket Q_2 \llbracket p \rrbracket_u \rrbracket \implies \llbracket p \rrbracket Q_1 ;; Q_2 \llbracket p \rrbracket_u$
by rel-auto

lemma *seq-hoare-stronger-pre-1* [hoare-safe]:

$\llbracket \{p \wedge q\} Q_1 \{p \wedge q\}_u ; \{p \wedge q\} Q_2 \{q\}_u \rrbracket \Longrightarrow \{p \wedge q\} Q_1 ;; Q_2 \{q\}_u$
by *rel-auto*

lemma *seq-hoare-stronger-pre-2* [hoare-safe]:

$\llbracket \{p \wedge q\} Q_1 \{p \wedge q\}_u ; \{p \wedge q\} Q_2 \{p\}_u \rrbracket \Longrightarrow \{p \wedge q\} Q_1 ;; Q_2 \{p\}_u$
by *rel-auto*

lemma *seq-hoare-inv-r-2* [hoare]: $\llbracket \{p\} Q_1 \{q\}_u ; \{q\} Q_2 \{q\}_u \rrbracket \Longrightarrow \{p\} Q_1 ;; Q_2 \{q\}_u$

by *rel-auto*

lemma *seq-hoare-inv-r-3* [hoare]: $\llbracket \{p\} Q_1 \{p\}_u ; \{p\} Q_2 \{q\}_u \rrbracket \Longrightarrow \{p\} Q_1 ;; Q_2 \{q\}_u$

by *rel-auto*

22.4 Assignment Laws

lemma *assigns-hoare-r* [hoare-safe]: $\langle p \Rightarrow \sigma \dagger q \rangle \Longrightarrow \{p\} \langle \sigma \rangle_a \{q\}_u$

by *rel-auto*

lemma *assigns-backward-hoare-r*:

$\{ \sigma \dagger p \} \langle \sigma \rangle_a \{ p \}_u$

by *rel-auto*

lemma *assign-floyd-hoare-r*:

assumes *vwb-lens* x

shows $\{p\} \text{assign-r } x \ e \ \{ \exists v . p[\llbracket v \rrbracket / x] \wedge \&x = e[\llbracket v \rrbracket / x] \}_u$

using *assms*

by (*rel-auto*, *metis vwb-lens-wb wb-lens.get-put*)

lemma *assigns-init-hoare* [hoare-safe]:

$\llbracket \text{vwb-lens } x ; x \# p ; x \# v ; \&x = v \wedge p \{ S \{ q \}_u \} \rrbracket \Longrightarrow \{p\} x := v ;; S \{ q \}_u$

by (*rel-auto*)

lemma *assigns-init-hoare-general*:

$\llbracket \text{vwb-lens } x ; \bigwedge x_0 . \&x = v[\llbracket x_0 \rrbracket / \&x] \wedge p[\llbracket x_0 \rrbracket / \&x] \{ S \{ q \}_u \} \rrbracket \Longrightarrow \{p\} x := v ;; S \{ q \}_u$

by (*rule seq-hoare-r*, *rule assign-floyd-hoare-r*, *simp*, *rel-auto*)

lemma *assigns-final-hoare* [hoare-safe]:

$\{p\} S \{ \sigma \dagger q \}_u \Longrightarrow \{p\} S ;; \langle \sigma \rangle_a \{q\}_u$

by (*rel-auto*)

lemma *ndet-assign-hoare-r* [hoare-safe]:

$\langle U(p \Rightarrow (\forall v . q[\llbracket v \rrbracket / \&x])) \rangle \Longrightarrow \{p\} x := * \{q\}_u$

by (*rel-auto*)

lemma *ndet-assign-bwd*: $\{ \forall v . p[\llbracket v \rrbracket / \&x] \} x := * \{p\}_u$

by (*rel-auto*)

lemma *skip-hoare-r* [hoare-safe]: $\{p\} II \{p\}_u$

by *rel-auto*

lemma *skip-hoare-impl-r* [hoare-safe]: $\langle p \Rightarrow q \rangle \Longrightarrow \{p\} II \{q\}_u$

by *rel-auto*

22.5 Conditional Laws

lemma *cond-hoare-r* [*hoare-safe*]: $\llbracket \{b \wedge p\} S \{q\}_u ; \{\neg b \wedge p\} T \{q\}_u \rrbracket \implies \{p\} S \triangleleft b \triangleright_r T \{q\}_u$
 by *rel-auto*

lemma *cond-hoare-r-wp*:
 assumes $\{p'\} S \{q\}_u$ and $\{p'\} T \{q\}_u$
 shows $\{(b \wedge p') \vee (\neg b \wedge p')\} S \triangleleft b \triangleright_r T \{q\}_u$
 using *assms* by *pred-simp*

lemma *cond-hoare-r-sp*:
 assumes $\langle \{b \wedge p\} S \{q\}_u \rangle$ and $\langle \{\neg b \wedge p\} T \{s\}_u \rangle$
 shows $\langle \{p\} S \triangleleft b \triangleright_r T \{q \vee s\}_u \rangle$
 using *assms* by *pred-simp*

lemma *hoare-ndet* [*hoare-safe*]:
 assumes $\{pre\} P \{post\}_u$ and $\{pre\} Q \{post\}_u$
 shows $\{pre\} (P \sqcap Q) \{post\}_u$
 using *assms* by (*rel-auto*)

lemma *hoare-disj* [*hoare-safe*]:
 assumes $\{pr\} P \{post\}_u$ and $\{pr\} Q \{post\}_u$
 shows $\{pr\} (P \vee Q) \{post\}_u$
 using *assms* by (*rel-auto*)

lemma *hoare-UNF* [*hoare-safe*]:
 assumes $\bigwedge i. i \in A \implies \{pre\} P(i) \{post\}_u$
 shows $\{pre\} (\bigcap i \in A. P(i)) \{post\}_u$
 using *assms* by (*rel-auto*)

22.6 Recursion Laws

lemma *nu-hoare-r-partial*:
 assumes *induct-step*:
 $\bigwedge st P. \{p\} P \{q\}_u \implies \{p\} F P \{q\}_u$
 shows $\{p\} \nu F \{q\}_u$
 by (*meson* *hoare-r-def* *induct-step* *lfp-lowerbound* *order-refl*)

lemma *mu-hoare-r*:
 assumes *WF*: *wf* *R*
 assumes *M*:*mono* *F*
 assumes *induct-step*:
 $\bigwedge st P. \{p \wedge (e, \ll st \gg) \in \ll R \gg\} P \{q\}_u \implies \{p \wedge e = \ll st \gg\} F P \{q\}_u$
 shows $\{p\} \mu F \{q\}_u$
 unfolding *hoare-r-def*

proof (*rule* *mu-rec-total-utp-rule* [*OF* *WF* *M* , *of* - *e*], *goal-cases*)

case (*1 st*)

then show ?*case*

using *induct-step* [*unfolded* *hoare-r-def*, *of* ($\lceil p \rceil < \wedge (\lceil e \rceil <, \ll st \gg)_u \in_u \ll R \gg \Rightarrow \lceil q \rceil >$) *st*]

by (*simp* *add*: *alpha*)

qed

lemma *mu-hoare-r'*:
 assumes *WF*: *wf* *R*
 assumes *M*:*mono* *F*
 assumes *induct-step*:

$\bigwedge st\ P. \llbracket p \wedge (e, \llbracket st \rrbracket) \in \llbracket R \rrbracket \rrbracket P \llbracket q \rrbracket_u \implies \llbracket p \wedge e = \llbracket st \rrbracket \rrbracket F\ P \llbracket q \rrbracket_u$
assumes $I0: 'p' \Rightarrow p'$
shows $\llbracket p' \rrbracket \mu\ F \llbracket q \rrbracket_u$
by (*meson I0 M WF induct-step mu-hoare-r pre-str-hoare-r*)

22.7 Iteration Rules

lemma *iter-hoare-r* [*hoare-safe*]: $\llbracket P \rrbracket S \llbracket P \rrbracket_u \implies \llbracket P \rrbracket S^* \llbracket P \rrbracket_u$
by (*rel-simp', metis (mono-tags, hide-lams) mem-Collect-eq rtrancl-induct*)

lemma *while-hoare-r* [*hoare-safe*]:
assumes $\llbracket p \wedge b \rrbracket S \llbracket p \rrbracket_u$
shows $\llbracket p \rrbracket \text{while } b \text{ do } S \text{ od } \llbracket \neg b \wedge p \rrbracket_u$
using *assms*
by (*simp add: while-top-def hoare-r-def, rule-tac lfp-lowerbound*) (*rel-auto*)

lemma *while-invr-hoare-r* [*hoare-safe*]:
assumes $\llbracket p \wedge b \rrbracket S \llbracket p \rrbracket_u$ $'pre \Rightarrow p'$ $'(\neg b \wedge p) \Rightarrow post'$
shows $\llbracket pre \rrbracket \text{while } b \text{ invr } p \text{ do } S \text{ od } \llbracket post \rrbracket_u$
by (*metis assms hoare-r-conseq while-hoare-r while-inv-def*)

lemma *while-r-minimal-partial*:
assumes *seq-step*: $'p \Rightarrow \text{invar}'$
assumes *induct-step*: $\llbracket \text{invar} \wedge b \rrbracket C \llbracket \text{invar} \rrbracket_u$
shows $\llbracket p \rrbracket \text{while } b \text{ do } C \text{ od } \llbracket \neg b \wedge \text{invar} \rrbracket_u$
using *induct-step pre-str-hoare-r seq-step while-hoare-r* **by** *blast*

lemma *approx-chain*:
 $(\bigcap n::nat. \lceil p \wedge v <_u \llbracket n \rrbracket \rceil <) = \lceil p \rceil <$
by (*rel-auto*)

Total correctness law for Hoare logic, based on constructive chains. This is limited to variants that have natural numbers as their range.

lemma *while-term-hoare-r*:
assumes $\bigwedge z::nat. \llbracket p \wedge b \wedge v = \llbracket z \rrbracket \rrbracket S \llbracket p \wedge v < \llbracket z \rrbracket \rrbracket_u$
shows $\llbracket p \rrbracket \text{while}_{\perp} b \text{ do } S \text{ od } \llbracket \neg b \wedge p \rrbracket_u$

proof –

have $(\lceil p \rceil < \Rightarrow \lceil \neg b \wedge p \rceil >) \sqsubseteq (\mu X \cdot S ;; X \triangleleft b \triangleright_r II)$

proof (*rule mu-refine-intro*)

from *assms* **show** $(\lceil p \rceil < \Rightarrow \lceil \neg b \wedge p \rceil >) \sqsubseteq S ;; (\lceil p \rceil < \Rightarrow \lceil \neg b \wedge p \rceil >) \triangleleft b \triangleright_r II$
by (*rel-auto*)

let $?E = \lambda n. \lceil p \wedge v <_u \llbracket n \rrbracket \rceil <$
show $(\lceil p \rceil < \wedge (\mu X \cdot S ;; X \triangleleft b \triangleright_r II)) = (\lceil p \rceil < \wedge (\nu X \cdot S ;; X \triangleleft b \triangleright_r II))$
proof (*rule constr-fp-uniq[where E=?E]*)

show $(\bigcap n. ?E(n)) = \lceil p \rceil <$
by (*rel-auto*)

show *mono* $(\lambda X. S ;; X \triangleleft b \triangleright_r II)$
by (*simp add: cond-mono monoI segr-mono*)

show *constr* $(\lambda X. S ;; X \triangleleft b \triangleright_r II) ?E$
proof (*rule constrI*)

```

show chain ?E
proof (rule chainI)
  show  $[p \wedge v <_u \ll 0 \gg]_< = \text{false}$ 
  by (rel-auto)
  show  $\bigwedge i. [p \wedge v <_u \ll \text{Suc } i \gg]_< \sqsubseteq [p \wedge v <_u \ll i \gg]_<$ 
  by (rel-auto)
qed

from assms
show  $\bigwedge X n. (S ;; X \triangleleft b \triangleright_r II \wedge [p \wedge v <_u \ll n + 1 \gg]_<) =$ 
   $(S ;; (X \wedge [p \wedge v <_u \ll n \gg]_<) \triangleleft b \triangleright_r II \wedge [p \wedge v <_u \ll n + 1 \gg]_<)$ 
apply (rel-auto)
using less-antisym less-trans apply blast
done
qed
qed
qed

thus ?thesis
by (simp add: hoare-r-def while-bot-def)
qed

lemma while-vrt-hoare-r [hoare-safe]:
  assumes  $\bigwedge z::\text{nat}. \llbracket p \wedge b \wedge v = \ll z \gg \rrbracket S \llbracket p \wedge v < \ll z \gg \rrbracket_u \text{ 'pre} \Rightarrow p \text{ ' } (\neg b \wedge p) \Rightarrow \text{post'}$ 
  shows  $\llbracket \text{pre} \rrbracket \text{while } b \text{ invr } p \text{ vrt } v \text{ do } S \text{ od} \llbracket \text{post} \rrbracket_u$ 
  apply (rule hoare-r-conseq[OF - assms(2) assms(3)])
  apply (simp add: while-vrt-def)
  apply (rule while-term-hoare-r[where  $v=v$ , OF assms(1)])
  done

```

General total correctness law based on well-founded induction

```

lemma while-wf-hoare-r:
  assumes WF: wf R
  assumes I0: 'pre  $\Rightarrow$  p'
  assumes induct-step:  $\bigwedge st. \llbracket b \wedge p \wedge e = \ll st \gg \rrbracket Q \llbracket p \wedge (e, \ll st \gg) \in \ll R \gg \rrbracket_u$ 
  assumes PHI: '(\neg b \wedge p)  $\Rightarrow$  post'
  shows  $\llbracket \text{pre} \rrbracket \text{while}_\perp b \text{ invr } p \text{ do } Q \text{ od} \llbracket \text{post} \rrbracket_u$ 
unfolding hoare-r-def while-inv-bot-def while-bot-def
proof (rule pre-weak-rel[of -  $[p]_<$ ])
  from I0 show ' $[pre]_< \Rightarrow [p]_<$ '
  by rel-auto
  show ( $[p]_< \Rightarrow [post]_>$ )  $\sqsubseteq (\mu X. X \cdot Q ;; X \triangleleft b \triangleright_r II)$ 
  proof (rule mu-rec-total-utp-rule[where  $e=e$ , OF WF])
    show Monotonic ( $\lambda X. Q ;; X \triangleleft b \triangleright_r II$ )
    by (simp add: closure)
    have induct-step':  $\bigwedge st. ([b \wedge p \wedge e = \ll st \gg]_< \Rightarrow ([p \wedge (e, \ll st \gg)_u \in_u \ll R \gg]_>)) \sqsubseteq Q$ 
    using induct-step by rel-auto
    with PHI
    show  $\bigwedge st. ([p]_< \wedge [e]_< =_u \ll st \gg \Rightarrow [post]_>) \sqsubseteq Q ;; ([p]_< \wedge ([e]_<, \ll st \gg)_u \in_u \ll R \gg \Rightarrow [post]_>)$ 
   $\triangleleft b \triangleright_r II$ 
  by (rel-auto)
qed
qed

```


22.8 Frame Rules

Frame rule: If starting S in a state satisfying $peestablishesq$ in the final state, then we can insert an invariant predicate r when S is framed by a , provided that r does not refer to variables in the frame, and q does not refer to variables outside the frame.

lemma *frame-hoare-r*:

assumes $vwb\text{-}lens\ a\ a \# r\ a \vdash q \llbracket p \rrbracket P \llbracket q \rrbracket_u$
shows $\llbracket p \wedge r \rrbracket a : [P] \llbracket q \wedge r \rrbracket_u$
using *assms*
by (*rel-auto*, *metis*)

lemma *frame-strong-hoare-r* [*hoare-safe*]:

assumes $vwb\text{-}lens\ a\ a \# r\ a \vdash q \llbracket p \wedge r \rrbracket S \llbracket q \rrbracket_u$
shows $\llbracket p \wedge r \rrbracket a : [S] \llbracket q \wedge r \rrbracket_u$
using *assms* **by** (*rel-auto*, *metis*)

lemma *frame-hoare-r'* [*hoare-safe*]:

assumes $vwb\text{-}lens\ a\ a \# r\ a \vdash q \llbracket r \wedge p \rrbracket S \llbracket q \rrbracket_u$
shows $\llbracket r \wedge p \rrbracket a : [S] \llbracket r \wedge q \rrbracket_u$
using *assms*
by (*simp add: frame-strong-hoare-r utp-pred-laws.inf commute*)

lemma *antiframe-hoare-r*:

assumes $vwb\text{-}lens\ a\ a \vdash r\ a \# q \llbracket p \rrbracket P \llbracket q \rrbracket_u$
shows $\llbracket p \wedge r \rrbracket a : [P] \llbracket q \wedge r \rrbracket_u$
using *assms* **by** (*rel-auto*, *metis*)

lemma *antiframe-strong-hoare-r*:

assumes $vwb\text{-}lens\ a\ a \vdash r\ a \# q \llbracket p \wedge r \rrbracket P \llbracket q \rrbracket_u$
shows $\llbracket p \wedge r \rrbracket a : [P] \llbracket q \wedge r \rrbracket_u$
using *assms* **by** (*rel-auto*, *metis*)

lemma *nmods-invariant*:

assumes $S\ nmods\ a\ a \vdash p$
shows $\{p\}S\{p\}$
using *assms* **by** (*rel-auto*, *metis*)

lemma *hoare-r-ghost*:

assumes $vwb\text{-}lens\ x\ x \# p\ x \# q\ S\ nuses\ x\ \{p\}x := e;; S\{q\}$
shows $\{p\}S\{q\}$

proof –

have $\{p\}x := e;; rrestr\ x\ S\{q\}$
by (*simp add: Healthy-if assms(4) assms(5)*)
with *assms(1–3)* **have** $\{p\}rrestr\ x\ S\{q\}$
by (*rel-simp, metis mwb-lens.put-put vwb-lens-mwb*)
thus *?thesis*
by (*simp add: Healthy-if assms(4)*)

qed

end

23 Weakest Liberal Precondition Calculus

theory *utp-wlp*

imports *utp-hoare*
begin

The calculus we here define is termed “weakest precondition” in the UTP book, however it is in reality the liberal version that does not account for termination.

named-theorems *wp*

method *wp-tac* = (*simp add: wp usubst unrest*)

consts

uwlp :: '*a* \Rightarrow '*b* \Rightarrow '*c* (**infix** *wlp* 60)

definition *wlp-upred* :: (' α , ' β) *urel* \Rightarrow ' β *cond* \Rightarrow ' α *cond* **where**
wlp-upred *Q* *r* = $\lfloor \neg (Q ;; (\neg \lceil r \rceil_{<})) \rfloor :: (' \alpha, ' \beta) \text{ urel} \rfloor_{<}$

utp-const *uwlp* (*0*)

adhoc-overloading

uwlp wlp-upred

declare *wlp-upred-def* [*urel-defs*]

lemma *wlp-true* [*wp*]: *p wlp true* = *true*
by (*rel-simp*)

lemma *wlp-conj* [*wp*]: (*P wlp* (*b* \wedge *c*)) = ((*P wlp* *b*) \wedge (*P wlp* *c*))
by (*rel-auto*)

theorem *wlp-assigns-r* [*wp*]:
 $\langle \sigma \rangle_a \text{ wlp } r = \sigma \uparrow r$
by *rel-auto*

lemma *wlp-nd-assign* [*wp*]: (*x* := ***) *wlp* *b* = ($\forall v \cdot b \llbracket \ll v \gg / \& x \rrbracket$)
by (*simp add: nd-assign-def wp, rel-auto*)

lemma *wlp-rel-aext-unrest* [*wp*]: $\llbracket \text{vwb-lens } a; a \# b \rrbracket \Longrightarrow a:[P]^+ \text{ wlp } b = ((P \text{ wlp } \text{false}) \oplus_p a \vee b)$
by (*rel-simp, metis mwb-lens-def vwb-lens-def weak-lens.put-get*)

lemma *wlp-rel-aext-usedby* [*wp*]: $\llbracket \text{vwb-lens } a; a \natural b \rrbracket \Longrightarrow a:[P]^+ \text{ wlp } b = (P \text{ wlp } (b \upharpoonright_e a)) \oplus_p a$
by (*rel-auto, metis mwb-lens-def vwb-lens-mwb weak-lens.put-get*)

theorem *wlp-skip-r* [*wp*]:
 $\Pi \text{ wlp } r = r$
by *rel-auto*

theorem *wlp-abort* [*wp*]:
 $r \neq \text{true} \Longrightarrow \text{true wlp } r = \text{false}$
by *rel-auto*

theorem *wlp-seq-r* [*wp*]: (*P* ;; *Q*) *wlp* *r* = *P wlp* (*Q wlp* *r*)
by *rel-auto*

theorem *wlp-choice* [*wp*]: (*P* \sqcap *Q*) *wlp* *R* = (*P wlp* *R* \wedge *Q wlp* *R*)
by (*rel-auto*)

theorem *wlp-choice'* [wp]: $(P \vee Q) \text{ wlp } R = (P \text{ wlp } R \wedge Q \text{ wlp } R)$
by (*rel-auto*)

theorem *wlp-cond* [wp]: $(P \triangleleft b \triangleright_r Q) \text{ wlp } r = ((b \Rightarrow P \text{ wlp } r) \wedge ((\neg b) \Rightarrow Q \text{ wlp } r))$
by *rel-auto*

lemma *wlp-UNF-ind* [wp]: $(\bigcap i \cdot P(i)) \text{ wlp } b = (\forall i \cdot P(i) \text{ wlp } b)$
by (*rel-auto*)

lemma *wlp-test* [wp]: $?[b] \text{ wlp } c = (b \Rightarrow c)$
by (*rel-auto*)

lemma *wlp-gcmd* [wp]: $(b \longrightarrow_r P) \text{ wlp } c = (b \Rightarrow P \text{ wlp } c)$
by (*simp add: rgcmd-def wp*)

lemma *wlp-USUP-pre* [wp]:
fixes $Q :: - \Rightarrow 's \text{ upred}$
shows $P \text{ wlp } (\bigwedge i \in A \cdot Q(i)) = U(\forall i \in \ll A \gg. P \text{ wlp } Q i)$
by (*rel-auto; blast*)

theorem *wlp-hoare-link*:
 $\{p\} Q \{r\}_u \longleftrightarrow 'p \Rightarrow Q \text{ wlp } r'$
by *rel-auto*

We can use the above theorem as a means to discharge Hoare triples with the following tactic

method *hoare-wlp-auto* **uses** *defs = (simp add: wlp-hoare-link wp unrest usubst defs; rel-auto)*

If two programs have the same weakest precondition for any postcondition then the programs are the same.

theorem *wlp-eq-intro*: $\llbracket \bigwedge r. P \text{ wlp } r = Q \text{ wlp } r \rrbracket \Longrightarrow P = Q$
by (*rel-auto robust, fastforce+*)

end

24 Weakest Precondition Calculus

theory *utp-wp*
imports *utp-wlp*
begin

This calculus is like the liberal version, but also accounts for termination. It is equivalent to the relational preimage.

consts
 $uwp :: 'a \Rightarrow 'b \Rightarrow 'c$

utp-const $uwp(0)$

utp-lift-notation $uwp(0)$

syntax
 $-uwp :: logic \Rightarrow logic \Rightarrow logic$ (**infix** *wp* 60)

translations
 $-uwp P b == CONST uwp P b$

definition $wp\text{-upred} :: ('\alpha, '\beta) \text{ urel} \Rightarrow '\beta \text{ cond} \Rightarrow '\alpha \text{ cond}$ **where**
 $[upred\text{-defs}]: wp\text{-upred } P \ b = Pre(P ;; ?[b])$

adhoc-overloading

$uwp \ wp\text{-upred}$

term $P \ wp \ true$

theorem $refine\text{-iff}\text{-wp}$:

fixes $P \ Q :: ('\alpha, '\beta) \text{ urel}$

shows $P \sqsubseteq Q \longleftrightarrow (\forall \ b. 'P \ wp \ b \Rightarrow Q \ wp \ b')$

apply $(rel\text{-auto})$

oops

theorem $wp\text{-refine}\text{-iff}$: $(\forall \ r. 'Q \ wp \ r \Rightarrow P \ wp \ r') \longleftrightarrow P \sqsubseteq Q$

by $(rel\text{-auto} \ robust; fastforce)$

theorem $wp\text{-refine}\text{-intro}$: $(\bigwedge \ r. 'Q \ wp \ r \Rightarrow P \ wp \ r') \Longrightarrow P \sqsubseteq Q$

using $wp\text{-refine}\text{-iff}$ **by** $blast$

theorem $wp\text{-eq}\text{-iff}$: $(\forall \ r. P \ wp \ r = Q \ wp \ r) \longrightarrow P = Q$

by $(rel\text{-auto} \ robust; fastforce)$

theorem $wp\text{-eq}\text{-intro}$: $(\bigwedge \ r. P \ wp \ r = Q \ wp \ r) \Longrightarrow P = Q$

by $(simp \ add: wp\text{-eq}\text{-iff})$

lemma $wp\text{-true}$: $P \ wp \ true = Pre(P)$

by $(rel\text{-auto})$

lemma $wp\text{-false}$ $[wp]$: $P \ wp \ false = false$

by $(rel\text{-auto})$

lemma $wp\text{-abort}$ $[wp]$: $false \ wp \ b = false$

by $(rel\text{-auto})$

lemma $wp\text{-seq}$ $[wp]$: $(P ;; Q) \ wp \ b = P \ wp \ (Q \ wp \ b)$

by $(simp \ add: wp\text{-upred}\text{-def, metis } Pre\text{-seq } RA1)$

lemma $wp\text{-disj}$ $[wp]$: $(P \vee Q) \ wp \ b = (P \ wp \ b \vee Q \ wp \ b)$

by $(rel\text{-auto})$

lemma $wp\text{-ndet}$ $[wp]$: $(P \sqcap Q) \ wp \ b = (P \ wp \ b \vee Q \ wp \ b)$

by $(rel\text{-auto})$

lemma $wp\text{-cond}$ $[wp]$: $(P \triangleleft b \triangleright_r Q) \ wp \ r = ((b \Rightarrow P \ wp \ r) \wedge ((\neg b) \Rightarrow Q \ wp \ r))$

by $rel\text{-auto}$

lemma $wp\text{-UINF}\text{-mem}$ $[wp]$: $(\bigcap \ i \in I \cdot P(i)) \ wp \ b = (\bigcap \ i \in I \cdot P(i) \ wp \ b)$

by $(rel\text{-auto})$

lemma $wp\text{-UINF}\text{-ind}$ $[wp]$: $(\bigcap \ i \cdot P(i)) \ wp \ b = (\bigcap \ i \cdot P(i) \ wp \ b)$

by $(rel\text{-auto})$

lemma $wp\text{-UINF}\text{-ind}\text{-2}$ $[wp]$: $(\bigcap \ (i, j) \cdot P \ i \ j) \ wp \ b = (\bigvee \ (i, j) \cdot (P \ i \ j) \ wp \ b)$

by (*rel-auto*)

lemma *wp-UINF-ind-3* [*wp*]: $(\bigcap (i, j, k) \cdot P \ i \ j \ k) \ wp \ b = (\bigvee (i, j, k) \cdot (P \ i \ j \ k) \ wp \ b)$
by (*rel-blast*)

lemma *wp-test* [*wp*]: $?[b] \ wp \ c = (b \wedge c)$
by (*rel-auto*)

lemma *wp-gcmd* [*wp*]: $(b \longrightarrow_r P) \ wp \ c = (b \wedge P \ wp \ c)$
by (*rel-auto*)

theorem *wp-skip* [*wp*]:
 $II \ wp \ r = r$
by *rel-auto*

lemma *wp-assigns* [*wp*]: $\langle \sigma \rangle_a \ wp \ b = \sigma \upharpoonright b$
by (*rel-auto*)

lemma *wp-nd-assign* [*wp*]: $(x := *) \ wp \ b = (\exists \ v \cdot b \ll v \gg / \& x)$
by (*simp add: nd-assign-def wp, rel-auto*)

lemma *wp-rel-frex* [*wp*]:
assumes *vwb-lens* *a* $a \# q$
shows $a:[P]^+ \ wp \ (p \oplus_p a \wedge q) = ((P \ wp \ p) \oplus_p a \wedge q)$
using *assms*
by (*rel-auto, metis (full-types), metis mwb-lens-def vwb-lens-mwb weak-lens.put-get*)

lemma *wp-rel-aext-unrest* [*wp*]: $\ll vwb-lens \ a; \ a \# b \gg \implies a:[P]^+ \ wp \ b = (b \wedge (P \ wp \ true) \oplus_p a)$
by (*rel-auto, metis, metis mwb-lens-def vwb-lens-mwb weak-lens.put-get*)

lemma *wp-rel-aext-usedby* [*wp*]: $\ll vwb-lens \ a; \ a \# b \gg \implies a:[P]^+ \ wp \ b = (P \ wp \ (b \upharpoonright_e a)) \oplus_p a$
by (*rel-auto, metis mwb-lens-def vwb-lens-mwb weak-lens.put-get*)

lemma *wp-wlp-conjugate*: $P \ wp \ b = (\neg P \ wlp \ (\neg b))$
by (*rel-auto*)

Weakest Precondition and Weakest Liberal Precondition are equivalent for terminating deterministic programs.

lemma *wlp-wp-equiv-lem*: $\ll (mk_e \ (Pair \ a)) \upharpoonright II \gg_e \ a$
by (*rel-auto*)

lemma *wlp-wp-equiv-total-det*: $(\forall \ b \ . \ P \ wp \ b = P \ wlp \ b) \longleftrightarrow (Pre(P) = true \wedge ufunctional \ P)$
apply (*rel-auto*)
apply *blast*
apply (*rename-tac* *a* *b* *y*)
apply (*subgoal-tac* $\ll (mk_e \ (Pair \ a)) \upharpoonright II \gg_e \ b$)
apply (*simp add: assigns-r.rep-eq skip-r-def subst.rep-eq subst-id.rep-eq Abs-uexpr-inverse*)
using *wlp-wp-equiv-lem* **apply** *fastforce*
apply *blast*
done

lemma *total-det-then-wlp-wp-equiv*: $\ll Pre(P) = true; \ ufunctional \ P \gg \implies P \ wp \ b = P \ wlp \ b$
using *wlp-wp-equiv-total-det* **by** *blast*

lemma *Pre-as-wp*: $Pre(P) = P \ wp \ true$

```

by (simp add: wp-true)

lemma nmods-via-wp:
   $\llbracket \text{vwb-lens } x; \bigwedge v. P \text{ wp } (\&x = \llbracket v \rrbracket) = U(\&x = \llbracket v \rrbracket) \rrbracket \implies P \text{ nmods } x$ 
  by (rel-auto, metis vwb-lens.put-eq)

method wp-calc =
  (rule wp-refine-intro wp-eq-intro, wp-tac)

method wp-auto = (wp-calc, rel-auto)

end

```

25 Dynamic Logic

```

theory utp-dynlog
  imports utp-sequent utp-wp
begin

```

25.1 Definitions

named-theorems *dynlog-simp* and *dynlog-intro*

definition *dBox* :: $(\alpha, \beta) \text{ urel} \Rightarrow \beta \text{ upred} \Rightarrow \alpha \text{ upred} \rightarrow ([0, 999] \rightarrow \text{bool})$
where [*upred-defs*]: *dBox* *A* $\Phi = A \text{ wlp } \Phi$

definition *dDia* :: $(\alpha, \beta) \text{ urel} \Rightarrow \beta \text{ upred} \Rightarrow \alpha \text{ upred} \rightarrow (\langle - \rangle - [0, 999] \rightarrow \text{bool})$
where [*upred-defs*]: *dDia* *A* $\Phi = A \text{ wp } \Phi$

utp-const *dBox*(0) *dDia*(0)

lemma *dDia-dBox-def*: $\langle A \rangle \Phi = (\neg [A](\neg \Phi))$
by (simp add: *dBox-def* *dDia-def* wp-wlp-conjugate)

Correspondence between Hoare logic and Dynamic Logic

lemma *hoare-as-dynlog*: $\llbracket p \rrbracket Q \llbracket r \rrbracket_u = (p \Vdash [Q]r)$
by (rel-auto)

25.2 Box Laws

lemma *dBox-false* [*dynlog-simp*]: $[false]\Phi = true$
by (rel-auto)

lemma *dBox-skip* [*dynlog-simp*]: $[I]\Phi = \Phi$
by (rel-auto)

lemma *dBox-assigns* [*dynlog-simp*]: $[\langle \sigma \rangle_a]\Phi = (\sigma \dagger \Phi)$
by (simp add: *dBox-def* wlp-assigns-r)

lemma *dBox-choice* [*dynlog-simp*]: $[P \sqcap Q]\Phi = ([P]\Phi \wedge [Q]\Phi)$
by (rel-auto)

lemma *dBox-seq*: $[P ;; Q]\Phi = [P][Q]\Phi$
by (simp add: *dBox-def* wlp-seq-r)

lemma *dBox-star-unfold*: $[P^*]\Phi = (\Phi \wedge [P][P^*]\Phi)$
 by (*metis dBox-choice dBox-seq dBox-skip ustar-unfoldl*)

lemma *dBox-star-induct*: $'(\Phi \wedge [P^*](\Phi \Rightarrow [P]\Phi)) \Rightarrow [P^*]\Phi'$
 by (*rel-simp, metis (mono-tags, lifting) mem-Collect-eq rtrancl-induct*)

lemma *dBox-test*: $[?p]\Phi = (p \Rightarrow \Phi)$
 by (*rel-auto*)

25.3 Diamond Laws

lemma *dDia-false* [*dynlog-simp*]: $\langle \text{false} \rangle \Phi = \text{false}$
 by (*simp add: dBox-false dDia-dBox-def*)

lemma *dDia-skip* [*dynlog-simp*]: $\langle H \rangle \Phi = \Phi$
 by (*simp add: dBox-skip dDia-dBox-def*)

lemma *dDia-assigns* [*dynlog-simp*]: $\langle \langle \sigma \rangle_a \rangle \Phi = (\sigma \dagger \Phi)$
 by (*simp add: dBox-assigns dDia-dBox-def subst-not*)

lemma *dDia-choice*: $\langle P \sqcap Q \rangle \Phi = (\langle P \rangle \Phi \vee \langle Q \rangle \Phi)$
 by (*simp add: dBox-def dDia-dBox-def wlp-choice*)

lemma *dDia-seq*: $\langle P ;; Q \rangle \Phi = \langle P \rangle \langle Q \rangle \Phi$
 by (*simp add: dBox-def dDia-dBox-def wlp-seq-r*)

lemma *dDia-test*: $\langle ?p \rangle \Phi = (p \wedge \Phi)$
 by (*rel-auto*)

25.4 Sequent Laws

lemma *sBoxSeq* [*dynlog-simp*]: $\Gamma \Vdash [P ;; Q]\Phi \equiv \Gamma \Vdash [P][Q]\Phi$
 by (*simp add: dBox-def wlp-seq-r*)

lemma *sBoxTest* [*dynlog-intro*]: $\Gamma \Vdash (b \Rightarrow \Psi) \Longrightarrow \Gamma \Vdash [?b]\Psi$
 by (*rel-auto*)

lemma *sBoxAssignFwd* [*dynlog-intro*]:
 assumes *vwb-lens* $x \wedge x_0. ((\Gamma \llbracket \langle x_0 \rangle / \&x \rrbracket \wedge \&x = v \llbracket \langle x_0 \rangle / \&x \rrbracket) \Vdash \Phi)$
 shows $(\Gamma \Vdash [x := v]\Phi)$

proof –

have $\llbracket \Gamma \rrbracket x := v ;; H \llbracket \Phi \rrbracket_u$

by (*metis (no-types) assigns-init-hoare-general assms(1) assms(2) dBox-skip hoare-as-dynlog utp-pred-laws.inf-commu*)

then show *?thesis*

by (*simp add: hoare-as-dynlog*)

qed

lemma *sBoxAssignFwd-simp* [*dynlog-simp*]: $\llbracket \text{vwb-lens } x; x \# v; x \# \Gamma \rrbracket \Longrightarrow (\Gamma \Vdash [x := v]\Phi) = ((\&x = v \wedge \Gamma) \Vdash \Phi)$
 by (*rel-auto, metis vwb-lens-wb wb-lens.get-put*)

lemma *sBoxIndStar*: $\Vdash [\Phi \Rightarrow [P]\Phi]_u \Longrightarrow \Phi \Vdash [P^*]\Phi$
 by (*rel-simp, metis (mono-tags, lifting) mem-Collect-eq rtrancl-induct*)

end

26 Blocks (Abstract Local Variables)

theory *utp-blocks*
imports *utp-rel-laws utp-wp*
begin

26.1 Extending and Contracting Substitutions

definition *subst-ext* :: $(\alpha \Rightarrow \beta) \Rightarrow (\alpha, \beta) \text{ psubst } (ext_s)$ **where**
 — Extend state space, setting local state to an arbitrary value
 $[upred-defs]: ext_s a = (\&a \mapsto_s \&\mathbf{v})$

definition *subst-con* :: $(\alpha \Rightarrow \beta) \Rightarrow (\beta, \alpha) \text{ psubst } (con_s)$ **where**
 — Contract the state space with get
 $[upred-defs]: con_s a = \&a$

lemma *subst-con-alt-def*: $con_s a = (\mathbf{v} \mapsto_s \&a)$
unfolding *subst-con-def* **by** (*rel-auto*)

lemma *subst-ext-con* [*usubst*]: $mwb\text{-}lens a \Longrightarrow con_s a \circ_s ext_s a = id_s$
by (*rel-simp*)

lemma *subst-apply-con* [*usubst*]: $\langle con_s a \rangle_s x = \&a : x$
by (*rel-simp*)

Variables in the global state space will be retained after a state is contracted

lemma *subst-con-update-sublens* [*usubst*]:
 $\llbracket mwb\text{-}lens a; x \subseteq_L a \rrbracket \Longrightarrow con_s a \circ_s subst\text{-}upd \sigma x v = subst\text{-}upd (con_s a \circ_s \sigma) (x /_L a) v$
by (*simp add: subst-con-def usubst alpha, rel-simp*)

Variables in the local state space will be lost after a state is contracted

lemma *subst-con-update-indep* [*usubst*]:
 $\llbracket mwb\text{-}lens x; mwb\text{-}lens a; a \boxtimes x \rrbracket \Longrightarrow con_s a \circ_s subst\text{-}upd \sigma x v = (con_s a \circ_s \sigma)$
by (*simp add: subst-con-alt-def usubst alpha*)

lemma *subst-ext-apply* [*usubst*]: $\langle ext_s a \rangle_s x = \&x \upharpoonright_e a$
apply (*rel-simp*)
oops

26.2 Generic Blocks

We ensure that the initial values of local are arbitrarily chosen using the non-deterministic choice operator.

definition *block-open* :: $(\langle 'a, 'c \rangle \Longleftrightarrow 'b) \Rightarrow ('a, 'b) \text{ urel } (open_.)$ **where**
 $[upred-defs]: block\text{-}open a = \langle ext_s \mathcal{V}_a \rangle_a ;; \mathcal{C}[a] := *$

lemma *block-open-alt-def*:
 $sym\text{-}lens a \Longrightarrow block\text{-}open a = \langle ext_s \mathcal{V}_a \rangle_a ;; (\$ \mathcal{V}[a]' =_u \$ \mathcal{V}[a])$
by (*rel-auto, metis lens-indep-vwb-iff sym-lens.put-region-coreion-cover sym-lens-def*)

definition *block-close* :: $(\langle 'a, 'c \rangle \Longleftrightarrow 'b) \Rightarrow ('b, 'a) \text{ urel } (close_.)$ **where**
 $[upred-defs]: block\text{-}close a = \langle con_s \mathcal{V}_a \rangle_a$

lemma *wp-open-block* [*wp*]: $psym\text{-}lens a \Longrightarrow open_a wp b = (\exists v \cdot (\&\mathcal{V}[a] \mapsto_s \&\mathbf{v}, \&\mathcal{C}[a] \mapsto_s \ll v \gg)) \dagger b$

by (*simp add: block-open-def subst-ext-def wp usubst unrest*)

lemma *wp-close-block* [*wp*]: $\text{psym-lens } a \implies \text{close}_a \text{ wp } b = \text{con}_s \mathcal{V}_a \dagger b$
by (*simp add: block-close-def subst-ext-def wp usubst unrest*)

lemma *block-open-conv*:
 $\text{sym-lens } a \implies \text{open}_a^- = \text{close}_a$
by (*rel-auto, metis lens-indep-def sym-lens.put-region-coreregion-cover sym-lens-def*)

lemma *block-open-close*:
 $\text{psym-lens } a \implies \text{open}_a ;; \text{close}_a = \text{II}$
by (*rel-auto*)

I needed this property for the assignment open law below.

lemma *usubst-prop*: $\sigma \oplus_s a = [a \mapsto_s \&a \dagger \sigma]$
by (*rel-simp*)

lemma *block-assigns-open*:
 $\text{psym-lens } a \implies \langle \sigma \rangle_a ;; \text{open}_a = \text{open}_a ;; \langle \sigma \oplus_s \mathcal{V}_a \rangle_a$
apply (*wp-calc*)
apply (*simp add: usubst-prop usubst*)
apply (*rel-auto*)
done

lemma *block-assign-open*:
 $\text{psym-lens } a \implies x := v ;; \text{open}_a = \text{open}_a ;; \mathcal{V}[a]:x := (v \oplus_p \mathcal{V}_a)$
by (*simp add: block-assigns-open, rel-auto*)

lemma *block-assign-local-close*:
 $\mathcal{V}_a \bowtie x \implies x := v ;; \text{close}_a = \text{close}_a$
by (*rel-auto*)

lemma *block-assign-global-close*:
 $\llbracket \text{psym-lens } a; x \subseteq_L \mathcal{V}_a; \mathcal{V}[a] \Vdash v \rrbracket \implies (x := v) ;; \text{close}_a = \text{close}_a ;; (x \upharpoonright \mathcal{V}[a] := (v \upharpoonright_e \mathcal{V}_a))$
by (*rel-simp*)

lemma *block-assign-global-close'*:
 $\llbracket \text{sym-lens } a; x \subseteq_L \mathcal{V}_a; \mathcal{C}[a] \# v \rrbracket \implies (x := v) ;; \text{close}_a = \text{close}_a ;; (x \upharpoonright \mathcal{V}[a] := (v \upharpoonright_e \mathcal{V}_a))$
by (*rule block-assign-global-close, simp-all add: sym-lens-unrest'*)

lemma *hoare-block* [*hoare-safe*]:
assumes *psym-lens a*
shows $\llbracket p \oplus_p \mathcal{V}_a \rrbracket P \llbracket q \oplus_p \mathcal{V}_a \rrbracket_u \implies \llbracket p \rrbracket \text{open}_a ;; P ;; \text{close}_a \llbracket q \rrbracket_u$
using *assms* **by** (*rel-simp*)

lemma *vwb-lens* $a \implies a:[P]^+ = a:[\langle \text{con}_s a \rangle_a ;; P ;; \langle \text{ext}_s a \rangle_a ;; (\$a' =_u \$a)]$
by (*rel-auto*)

end

27 State Variable Declaration Parser

theory *utp-state-parser*
imports *utp-blocks*
begin

This theory sets up a parser for state blocks, as an alternative way of providing lenses to a predicate. A program with local variables can be represented by a predicate indexed by a tuple of lenses, where each lens represents a variable. These lenses must then be supplied with respect to a suitable state space. Instead of creating a type to represent this alphabet, we can create a product type for the state space, with an entry for each variable. Then each variable becomes a composition of the fst_L and snd_L lenses to index the correct position in the variable vector. We first creation a vacuous definition that will mark when an indexed predicate denotes a state block.

definition *state-block* :: ('v \Rightarrow 'p) \Rightarrow 'v \Rightarrow 'p **where**
[upred-defs]: state-block f x = f x

We declare a number of syntax translations to produce lens and product types, to obtain a type for the overall state space, to construct a tuple that denotes the lens vector parameter, to construct the vector itself, and finally to construct the state declaration.

syntax

```
-lensT :: type  $\Rightarrow$  type  $\Rightarrow$  type (LENSTYPE'(-, -'))
-pairT :: type  $\Rightarrow$  type  $\Rightarrow$  type (PAIRTYPE'(-, -'))
-state-type :: pttrn  $\Rightarrow$  type
-state-tuple :: type  $\Rightarrow$  pttrn  $\Rightarrow$  logic
-state-lenses :: pttrn  $\Rightarrow$  logic  $\Rightarrow$  logic
-state-decl :: pttrn  $\Rightarrow$  logic  $\Rightarrow$  logic (alpha -  $\cdot$  - [0, 10] 10)
-state-decl-in :: pttrn  $\Rightarrow$  logic  $\Rightarrow$  logic  $\Rightarrow$  logic (alpha - in -  $\cdot$  - [0, 0, 10] 10)
```

translations

```
(type) PAIRTYPE('a, 'b) => (type) 'a  $\times$  'b
(type) LENSTYPE('a, 'b) => (type) 'a  $\Longrightarrow$  -

-state-type (-constrain x t) => t
-state-type (CONST Pair (-constrain x t) vs) => -pairT t (-state-type vs)

-state-tuple st (-constrain x t) => -constrain x (-lensT t st)
-state-tuple st (CONST Pair (-constrain x t) vs) =>
  CONST Product-Type.Pair (-constrain x (-lensT t st)) (-state-tuple st vs)

-state-decl-in vs loc P =>
  CONST state-block (-abs (-state-tuple (-state-type vs) vs) P) (-state-lenses vs loc)

-state-decl vs P =>
  CONST state-block (-abs (-state-tuple (-state-type vs) vs) P) (-state-lenses vs 1_L)
-state-decl vs P <= CONST state-block (-abs vs P) k
```

ML (

)

parse-translation (

```
let
  open Hologic; open Syntax;
  fun lensT s t = Type (@{type-name lens-ext}, [s, t, Hologic.unitT]);
  fun lens-comp a b c = Const (@{const-syntax lens-comp}, lensT a b --> lensT b c --> lensT a
c);
  fun fst-lens t = Const (@{const-syntax fst-lens}, Type (@{type-name lens-ext}, [t, dummyT, unitT]));
  val snd-lens = Const (@{const-syntax snd-lens}, dummyT);
  fun id-lens t = Const (@{const-syntax id-lens}, Type (@{type-name lens-ext}, [t, dummyT, unitT]));
```

```

    fun lens-syn-typ t = const @{type-syntax lens-ext} $ t $ const @{type-syntax dummy} $ const
@{type-syntax unit};
    fun constrain t ty = const @{syntax-const -constrain} $ t $ ty;

    (* Construct a tuple of n lenses, whose source type is product of the types in ts, and each lens
    has an element of the type: prod-lens [t0, t1 ... ] 1 : t1 ==> t0 * t1 * ... *)
    fun prod-lens ts i =
    let open Syntax; open Library; fun lens-compf (x, y) = const @{const-name lens-comp} $ x $ y in
    if (length ts = 1)
    then Const (@{const-name id-lens}, lensT (nth ts i) (nth ts i))
    else if (length ts = i + 1)
    then foldl lens-compf (Const (@{const-name snd-lens}, lensT (nth ts i) dummyT), replicate (i-1)
(const @{const-name snd-lens}))
    else foldl lens-compf (Const (@{const-name fst-lens}, lensT (nth ts i) dummyT), replicate i (const
@{const-name snd-lens}))
    end;

    (* Construct a tuple of lenses for each of the possible locally declared variables *)
    fun state-lenses ts sty st =
    foldr1 (fn (x, y) => pair-const dummyT dummyT $ x $ y) (map (fn i => lens-comp dummyT sty
dummyT $ prod-lens ts i $ st) (upto (0, length ts - 1)));

    fun
    (* Add up the number of variable declarations in the tuple *)
    var-decl-num (Const (@{const-syntax Product-Type.Pair},-) $ - $ vs) = var-decl-num vs + 1 |
    var-decl-num - = 1;

    fun
    var-decl-typs (Const (@{const-syntax Product-Type.Pair},-) $ (Const (-constrain, -) $ - $ typ) $
vs) = Syntax-Phases.decode-typ typ :: var-decl-typs vs |
    var-decl-typs (Const (-constrain, -) $ - $ typ) = [Syntax-Phases.decode-typ typ] |
    var-decl-typs - = [];

    fun state-lens ctx [vs, loc] = (state-lenses (var-decl-typs vs) (mk-tupleT (var-decl-typs vs)) loc);
    in
    [(-state-lenses, state-lens)]
    end
  )

```

27.1 Variable Block Syntax

definition $vblock :: (<'a, 'b> \iff 'c) \Rightarrow ('d \Rightarrow 'c \text{ hrel}) \Rightarrow 'd \Rightarrow 'a \text{ hrel}$ **where**
 $[upred-defs]: vblock\ sl\ f\ x = open_{sl} \;;\ f\ x \;;\ close_{sl}$

syntax

$-var-block-in :: ptnr \Rightarrow logic \Rightarrow logic \Rightarrow logic\ (var - in - \cdot - [0, 0, 10] 10)$

translations

$-var-block-in\ vs\ sl\ P \Rightarrow CONST\ vblock\ sl\ (-abs\ (-state-tuple\ (-state-type\ vs)\ vs)\ P)\ (-state-lenses\ vs\ C_{sl})$

27.2 Examples

term $alpha\ (x::int, y::real, z::int) \cdot y := \&x + \&z$

lemma $alpha\ p \cdot II = II$

by (rel-auto)

end

28 Relational Operational Semantics

theory utp-rel-opsem

imports

utp-rel-laws

utp-hoare

begin

This theory uses the laws of relational calculus to create a basic operational semantics. It is based on Chapter 10 of the UTP book [22].

fun trel :: 'α usubst × 'α hrel ⇒ 'α usubst × 'α hrel ⇒ bool (**infix** →_u 85) **where**
 $(\sigma, P) \rightarrow_u (\varrho, Q) \longleftrightarrow (\langle \sigma \rangle_a ;; P) \sqsubseteq (\langle \varrho \rangle_a ;; Q)$

lemma trans-trel:

$\llbracket (\sigma, P) \rightarrow_u (\varrho, Q); (\varrho, Q) \rightarrow_u (\varphi, R) \rrbracket \implies (\sigma, P) \rightarrow_u (\varphi, R)$

by auto

lemma skip-trel: $(\sigma, II) \rightarrow_u (\sigma, II)$

by simp

lemma assigns-trel: $(\sigma, \langle \varrho \rangle_a) \rightarrow_u (\varrho \circ_s \sigma, II)$

by (simp add: assigns-comp)

lemma assign-trel:

$(\sigma, x := v) \rightarrow_u (\sigma(\&x \mapsto_s \sigma \dagger v), II)$

by (simp add: assigns-comp usubst)

lemma seq-trel:

assumes $(\sigma, P) \rightarrow_u (\varrho, Q)$

shows $(\sigma, P ;; R) \rightarrow_u (\varrho, Q ;; R)$

by (metis (no-types, lifting) assms order-refl seqr-assoc seqr-mono trel.simps)

lemma seq-skip-trel:

$(\sigma, II ;; P) \rightarrow_u (\sigma, P)$

by simp

lemma nondet-left-trel:

$(\sigma, P \sqcap Q) \rightarrow_u (\sigma, P)$

by (metis (no-types, hide-lams) disj-comm disj-upred-def semilattice-sup-class.sup.absorb-iff1 semilattice-sup-class.sup.l
 seqr-or-distr trel.simps)

lemma nondet-right-trel:

$(\sigma, P \sqcap Q) \rightarrow_u (\sigma, Q)$

by (simp add: seqr-mono)

lemma rcond-true-trel:

assumes $\sigma \dagger b = \text{true}$

shows $(\sigma, P \triangleleft b \triangleright_r Q) \rightarrow_u (\sigma, P)$

using assms

by (simp add: assigns-r-comp usubst alpha)

```

lemma rcond-false-trel:
  assumes  $\sigma \uparrow b = \text{false}$ 
  shows  $(\sigma, P \triangleleft b \triangleright_r Q) \rightarrow_u (\sigma, Q)$ 
  using assms
  by (simp add: assigns-r-comp usubst alpha)

lemma while-true-trel:
  assumes  $\sigma \uparrow b = \text{true}$ 
  shows  $(\sigma, \text{while } b \text{ do } P \text{ od}) \rightarrow_u (\sigma, P ;; \text{while } b \text{ do } P \text{ od})$ 
  by (metis assms rcond-true-trel while-unfold)

lemma while-false-trel:
  assumes  $\sigma \uparrow b = \text{false}$ 
  shows  $(\sigma, \text{while } b \text{ do } P \text{ od}) \rightarrow_u (\sigma, II)$ 
  by (metis assms rcond-false-trel while-unfold)

```

Theorem linking Hoare calculus and operational semantics. If we start Q in a state σ_0 satisfying p , and Q reaches final state σ_1 then r holds in this final state.

```

theorem hoare-opsem-link:
   $\llbracket p \rrbracket Q \llbracket r \rrbracket_u = (\forall \sigma_0 \sigma_1. \sigma_0 \uparrow p' \wedge (\sigma_0, Q) \rightarrow_u (\sigma_1, II) \longrightarrow \sigma_1 \uparrow r')$ 
  apply (rel-auto)
  apply (rename-tac a b)
  apply (metis (full-types) lit.rep-eq)
  done

```

```

declare trel.simps [simp del]

```

```

end

```

29 Symbolic Evaluation of Relational Programs

```

theory utp-sym-eval
  imports utp-rel-opsem
begin

```

The following operator applies a variable context Γ as an assignment, and composes it with a relation P for the purposes of evaluation.

```

definition utp-sym-eval :: 's usubst  $\Rightarrow$  's hrel  $\Rightarrow$  's hrel (infixr  $\models$  55) where
[upred-defs]: utp-sym-eval  $\Gamma$   $P = ((\Gamma)_a ;; P)$ 

```

```

named-theorems symeval

```

```

lemma seq-symeval [symeval]:  $\Gamma \models P ;; Q = (\Gamma \models P) ;; Q$ 
  by (rel-auto)

```

```

lemma assigns-symeval [symeval]:  $\Gamma \models \langle \sigma \rangle_a = (\sigma \circ_s \Gamma) \models II$ 
  by (rel-auto)

```

```

lemma term-symeval [symeval]:  $(\Gamma \models II) ;; P = \Gamma \models P$ 
  by (rel-auto)

```

```

lemma if-true-symeval [symeval]:  $\llbracket \Gamma \uparrow b = \text{true} \rrbracket \Longrightarrow \Gamma \models (P \triangleleft b \triangleright_r Q) = \Gamma \models P$ 
  by (simp add: utp-sym-eval-def usubst assigns-r-comp)

```

lemma *if-false-symeval* [*symeval*]: $\llbracket \Gamma \uparrow b = \text{false} \rrbracket \implies \Gamma \models (P \triangleleft b \triangleright_r Q) = \Gamma \models Q$
by (*simp add: utp-sym-eval-def usubst assigns-r-comp*)

lemma *while-true-symeval* [*symeval*]: $\llbracket \Gamma \uparrow b = \text{true} \rrbracket \implies \Gamma \models \text{while } b \text{ do } P \text{ od} = \Gamma \models (P ;; \text{while } b \text{ do } P \text{ od})$
by (*subst while-unfold, simp add: symeval*)

lemma *while-false-symeval* [*symeval*]: $\llbracket \Gamma \uparrow b = \text{false} \rrbracket \implies \Gamma \models \text{while } b \text{ do } P \text{ od} = \Gamma \models II$
by (*subst while-unfold, simp add: symeval*)

lemma *while-inv-true-symeval* [*symeval*]: $\llbracket \Gamma \uparrow b = \text{true} \rrbracket \implies \Gamma \models \text{while } b \text{ invr } S \text{ do } P \text{ od} = \Gamma \models (P ;; \text{while } b \text{ do } P \text{ od})$
by (*metis while-inv-def while-true-symeval*)

lemma *while-inv-false-symeval* [*symeval*]: $\llbracket \Gamma \uparrow b = \text{false} \rrbracket \implies \Gamma \models \text{while } b \text{ invr } S \text{ do } P \text{ od} = \Gamma \models II$
by (*metis while-false-symeval while-inv-def*)

method *sym-eval* = (*simp add: symeval usubst lit-simps[THEN sym]*), (*simp del: One-nat-def add: One-nat-def[THEN sym]*)?

syntax
-terminated :: *logic* \Rightarrow *logic* (*terminated*: - [999] 999)

translations
terminated: $\Gamma == \Gamma \models II$

Below are some theorems linking symbolic evaluation and Hoare logic.

lemma *hoare-symeval-link-1*: $\llbracket b \rrbracket P \llbracket c \rrbracket_u = (\forall s_1 s_2. 's_1 \uparrow b' \wedge ((s_1 \models P) \sqsubseteq (s_2 \models II)) \longrightarrow 's_2 \uparrow c')$
by (*simp add: utp-sym-eval-def usubst hoare-opsem-link rel.simps*)

lemma *hoare-symeval-link-2*: $\llbracket b \rrbracket P \llbracket c \rrbracket_u \implies 's_1 \uparrow b' \wedge ((s_1 \models P) = (s_2 \models II)) \longrightarrow 's_2 \uparrow c'$
by (*rel-blast*)

end

30 Strongest Postcondition Calculus

theory *utp-sp*
imports *utp-wp*
begin

named-theorems *sp*

method *sp-tac* = (*simp add: sp*)

consts
usp :: '*a* \Rightarrow '*b* \Rightarrow '*c* (**infix** *sp* 60)

definition *sp-upred* :: ' *α* *cond* \Rightarrow (' *α* , ' *β*) *urel* \Rightarrow ' *β* *cond* **where**
sp-upred *p* *Q* = $\llbracket ([p]_{>} ;; Q) :: ('\alpha, '\beta) \text{ urel} \rrbracket_{>}$

no-utp-lift *usp*

adhoc-overloading
usp sp-upred

declare *sp-upred-def* [*upred-defs*]

lemma *sp-false* [*sp*]: $p \text{ sp } false = false$
by (*rel-simp*)

lemma *sp-true* [*sp*]: $q \neq false \implies q \text{ sp } true = true$
by (*rel-auto*)

lemma *sp-assign-r* [*sp*]:
 $vwb\text{-}lens \ x \implies (p \text{ sp } x := e) = (\exists \ v \cdot p \llbracket \langle v \rangle / x \rrbracket \wedge \&x =_u e \llbracket \langle v \rangle / x \rrbracket)$
by (*rel-auto*, *metis vwb-lens-wb wb-lens.get-put, metis vwb-lens.put-eq*)

lemma *sp-assigns-r* [*sp*]:
 $(p \text{ sp } \langle \sigma \rangle_a) = (\exists \ v \cdot [p \llbracket \langle v \rangle / \&\mathbf{v} \rrbracket]_u \wedge \&\mathbf{v} =_u \sigma \llbracket \langle v \rangle / \&\mathbf{v} \rrbracket)$
by (*rel-auto*)

lemma *sp-convr* [*sp*]: $b \text{ sp } P^- = P \text{ wp } b$
by (*rel-auto*)

lemma *wp-convr* [*wp*]: $P^- \text{ wp } b = b \text{ sp } P$
by (*rel-auto*)

lemma *sp-seqr* [*sp*]: $b \text{ sp } (P ;; Q) = (b \text{ sp } P) \text{ sp } Q$
by (*rel-auto*)

lemma *sp-is-post-condition*:
 $\{p\} C \{p \text{ sp } C\}_u$
by *rel-blast*

lemma *sp-it-is-the-strongest-post*:
 $\langle p \text{ sp } C \Rightarrow Q \rangle \implies \{p\} C \{Q\}_u$
by *rel-blast*

theorem *sp-hoare-link*:
 $\{p\} Q \{r\}_u \longleftrightarrow \langle p \text{ sp } Q \Rightarrow r \rangle$
by *rel-auto*

lemma *sp-while-r* [*sp*]:
assumes $\langle pre \Rightarrow I \rangle$ **and** $\langle \{I \wedge b\} C \{I\}_u \rangle$ **and** $\langle I' \Rightarrow I \rangle$
shows $(pre \text{ sp } invar \ I \ while_{\perp} \ b \ do \ C \ od) = (\neg b \wedge I)$
unfolding *sp-upred-def*
oops

theorem *sp-eq-intro*: $\llbracket \bigwedge r. r \text{ sp } P = r \text{ sp } Q \rrbracket \implies P = Q$
by (*rel-auto robust, fastforce+*)

lemma *wlp-sp-sym*:
 $\langle prog \ wlp \ (true \text{ sp } prog) \rangle$
by *rel-auto*

lemma *it-is-pre-condition*: $\{C \ wlp \ Q\} C \{Q\}_u$
by *rel-blast*

end

31 Concurrent Programming

```

theory utp-concurrency
imports
  utp-hoare
  utp-rel
  utp-tactics
  utp-theory
begin

```

In this theory we describe the UTP scheme for concurrency, *parallel-by-merge*, which provides a general parallel operator parametrised by a “merge predicate” that explains how to merge the after states of the composed predicates. It can thus be applied to many languages and concurrency schemes, with this theory providing a number of generic laws. The operator is explained in more detail in Chapter 7 of the UTP book [22].

31.1 Variable Renamings

In parallel-by-merge constructions, a merge predicate defines the behaviour following execution of of parallel processes, $P \parallel Q$, as a relation that merges the output of P and Q . In order to achieve this we need to separate the variable values output from P and Q , and in addition the variable values before execution. The following three constructs do these separations. The initial state-space before execution is $'\alpha$, the final state-space after the first parallel process is $'\beta_0$, and the final state-space for the second is $'\beta_1$. These three functions lift variables on these three state-spaces, respectively.

```

alphabet ( $'\alpha, '\beta_0, '\beta_1$ ) mrg =
  mrg-prior ::  $'\alpha$ 
  mrg-left  ::  $'\beta_0$ 
  mrg-right ::  $'\beta_1$ 

```

We set up syntax for the three variable classes.

```

syntax
  -svarprior :: svid ( $<$ )
  -svarl     :: svid ( $0$ )
  -svarr     :: svid ( $1$ )

```

```

translations
  -svarprior == CONST mrg-prior
  -svarl     == CONST mrg-left
  -svarr     == CONST mrg-right

```

31.2 Merge Predicates

A merge predicate is a relation whose input has three parts: the prior variables, the output variables of the left predicate, and the output of the right predicate.

```

type-synonym  $'\alpha$  merge = ( $('\alpha, '\alpha, '\alpha)$  mrg,  $'\alpha$ ) urel

```

skip is the merge predicate which ignores the output of both parallel predicates

```

definition skipm ::  $'\alpha$  merge where
  [upred-defs]: skipm = ( $\$ \mathbf{v}' =_u \$ < : \mathbf{v}$ )

```

swap is a predicate that the swaps the left and right indices; it is used to specify commutativity of the parallel operator

definition $swap_m :: (('α, 'β, 'β) mrg) hrel$ **where**
 $[upred-defs]: swap_m = (0:v, 1:v) := (&1:v, &0:v)$

A symmetric merge is one for which swapping the order of the merged concurrent predicates has no effect. We represent this by the following healthiness condition that states that $swap_m$ is a left-unit.

abbreviation $SymMerge :: 'α merge \Rightarrow 'α merge$ **where**
 $SymMerge(M) \equiv (swap_m ;; M)$

31.3 Separating Simulations

$U0$ and $U1$ are relations modify the variables of the input state-space such that they become indexed with 0 and 1, respectively.

definition $U0 :: ('β_0, ('α, 'β_0, 'β_1) mrg) urel$ **where**
 $[upred-defs]: U0 = (\$0:v' =_u \$v)$

definition $U1 :: ('β_1, ('α, 'β_0, 'β_1) mrg) urel$ **where**
 $[upred-defs]: U1 = (\$1:v' =_u \$v)$

lemma $U0\text{-}swap: (U0 ;; swap_m) = U1$
by $(rel\text{-}auto)$

lemma $U1\text{-}swap: (U1 ;; swap_m) = U0$
by $(rel\text{-}auto)$

As shown below, separating simulations can also be expressed using the following two alphabet extrusions

definition $U0\alpha$ **where** $[upred-defs]: U0\alpha = (1_L \times_L mrg\text{-}left)$

definition $U1\alpha$ **where** $[upred-defs]: U1\alpha = (1_L \times_L mrg\text{-}right)$

We then create the following intuitive syntax for separating simulations.

abbreviation $U0\text{-}\alpha\text{-}lift (\lceil - \rceil_0)$ **where** $\lceil P \rceil_0 \equiv P \oplus_p U0\alpha$

abbreviation $U1\text{-}\alpha\text{-}lift (\lceil - \rceil_1)$ **where** $\lceil P \rceil_1 \equiv P \oplus_p U1\alpha$

$\lceil P \rceil_0$ is predicate P where all variables are indexed by 0, and $\lceil P \rceil_1$ is where all variables are indexed by 1. We can thus equivalently express separating simulations using alphabet extrusion.

lemma $U0\text{-}\alpha\text{-}as\text{-}\alpha: (P ;; U0) = \lceil P \rceil_0$
by $(rel\text{-}auto)$

lemma $U1\text{-}\alpha\text{-}as\text{-}\alpha: (P ;; U1) = \lceil P \rceil_1$
by $(rel\text{-}auto)$

lemma $U0\alpha\text{-}vwb\text{-}lens [simp]: vwb\text{-}lens U0\alpha$
by $(simp \text{ add: } U0\alpha\text{-}def \text{ id}\text{-}vwb\text{-}lens \text{ prod}\text{-}vwb\text{-}lens)$

lemma $U1\alpha\text{-}vwb\text{-}lens [simp]: vwb\text{-}lens U1\alpha$
by $(simp \text{ add: } U1\alpha\text{-}def \text{ id}\text{-}vwb\text{-}lens \text{ prod}\text{-}vwb\text{-}lens)$

lemma $U0\alpha\text{-}indep\text{-}right\text{-}uvar [simp]: vwb\text{-}lens x \Longrightarrow U0\alpha \bowtie out\text{-}var (x ;_L mrg\text{-}right)$
by $(force \text{ intro: } plus\text{-}pres\text{-}lens\text{-}indep \text{ fst}\text{-}snd\text{-}lens\text{-}indep \text{ lens}\text{-}indep\text{-}left\text{-}comp)$

simp add: U0 α -def out-var-def prod-as-plus)

lemma *U1 α -indep-left-uvar* [simp]: *vwb-lens* $x \implies U1\alpha \bowtie \text{out-var } (x ;_L \text{mrg-left})$
by (*force intro: plus-pres-lens-indep fst-snd-lens-indep lens-indep-left-comp*
simp add: U1 α -def out-var-def prod-as-plus)

lemma *U0-alpha-lift-bool-subst* [usubst]:
 $\sigma(\$0:x' \mapsto_s \text{true}) \uparrow \lceil P \rceil_0 = \sigma \uparrow \lceil P[\text{true}/\$x'] \rceil_0$
 $\sigma(\$0:x' \mapsto_s \text{false}) \uparrow \lceil P \rceil_0 = \sigma \uparrow \lceil P[\text{false}/\$x'] \rceil_0$
by (*pred-auto+*)

lemma *U1-alpha-lift-bool-subst* [usubst]:
 $\sigma(\$1:x' \mapsto_s \text{true}) \uparrow \lceil P \rceil_1 = \sigma \uparrow \lceil P[\text{true}/\$x'] \rceil_1$
 $\sigma(\$1:x' \mapsto_s \text{false}) \uparrow \lceil P \rceil_1 = \sigma \uparrow \lceil P[\text{false}/\$x'] \rceil_1$
by (*pred-auto+*)

lemma *U0-alpha-out-var* [alpha]: $\lceil \$x' \rceil_0 = \$0:x'$
by (*rel-auto*)

lemma *U1-alpha-out-var* [alpha]: $\lceil \$x' \rceil_1 = \$1:x'$
by (*rel-auto*)

lemma *U0-skip* [alpha]: $\lceil II \rceil_0 = (\$0:\mathbf{v}' =_u \$\mathbf{v})$
by (*rel-auto*)

lemma *U1-skip* [alpha]: $\lceil II \rceil_1 = (\$1:\mathbf{v}' =_u \$\mathbf{v})$
by (*rel-auto*)

lemma *U0-seqr* [alpha]: $\lceil P ;; Q \rceil_0 = P ;; \lceil Q \rceil_0$
by (*rel-auto*)

lemma *U1-seqr* [alpha]: $\lceil P ;; Q \rceil_1 = P ;; \lceil Q \rceil_1$
by (*rel-auto*)

lemma *U0 α -comp-in-var* [alpha]: $(\text{in-var } x) ;_L U0\alpha = \text{in-var } x$
by (*simp add: U0 α -def alpha-in-var in-var-prod-lens*)

lemma *U0 α -comp-out-var* [alpha]: $(\text{out-var } x) ;_L U0\alpha = \text{out-var } (x ;_L \text{mrg-left})$
by (*simp add: U0 α -def alpha-out-var id-wb-lens out-var-prod-lens*)

lemma *U1 α -comp-in-var* [alpha]: $(\text{in-var } x) ;_L U1\alpha = \text{in-var } x$
by (*simp add: U1 α -def alpha-in-var in-var-prod-lens*)

lemma *U1 α -comp-out-var* [alpha]: $(\text{out-var } x) ;_L U1\alpha = \text{out-var } (x ;_L \text{mrg-right})$
by (*simp add: U1 α -def alpha-out-var id-wb-lens out-var-prod-lens*)

31.4 Associative Merges

Associativity of a merge means that if we construct a three way merge from a two way merge and then rotate the three inputs of the merge to the left, then we get exactly the same three way merge back.

We first construct the operator that constructs the three way merge by effectively wiring up the two way merge in an appropriate way.

definition *ThreeWayMerge* :: $'\alpha \text{ merge} \Rightarrow (('\alpha, '\alpha, (''\alpha, '\alpha, '\alpha) \text{mrg}) \text{mrg}, '\alpha) \text{urel } (\mathbf{M3}'(-))$ **where**

[upred-defs]: *ThreeWayMerge* $M = ((\$0:\mathbf{v}' =_u \$0:\mathbf{v} \wedge \$1:\mathbf{v}' =_u \$1:0:\mathbf{v} \wedge \$<:\mathbf{v}' =_u \$<:\mathbf{v}) ;; M ;; U0 \wedge \$1:\mathbf{v}' =_u \$1:1:\mathbf{v} \wedge \$<:\mathbf{v}' =_u \$<:\mathbf{v}) ;; M$

The next definition rotates the inputs to a three way merge to the left one place.

abbreviation $rotate_m$ **where** $rotate_m \equiv (0:\mathbf{v}, 1:0:\mathbf{v}, 1:1:\mathbf{v}) := (\&1:0:\mathbf{v}, \&1:1:\mathbf{v}, \&0:\mathbf{v})$

Finally, a merge is associative if rotating the inputs does not effect the output.

definition *AssocMerge* $:: ' \alpha \text{ merge} \Rightarrow \text{bool}$ **where**
 [upred-defs]: *AssocMerge* $M = (rotate_m ;; \mathbf{M3}(M) = \mathbf{M3}(M))$

31.5 Parallel Operators

We implement the following useful abbreviation for separating of two parallel processes and copying of the before variables, all to act as input to the merge predicate.

abbreviation *par-sep* (**infixr** \parallel_s 85) **where**
 $P \parallel_s Q \equiv (P ;; U0) \wedge (Q ;; U1) \wedge \$<' =_u \$\mathbf{v}$

The following implementation of parallel by merge is less general than the book version, in that it does not properly partition the alphabet into two disjoint segments. We could actually achieve this specifying lenses into the larger alphabet, but this would complicate the definition of programs. May reconsider later.

definition
 $par\text{-}by\text{-}merge :: (' \alpha, ' \beta) \text{ urel} \Rightarrow ((' \alpha, ' \beta, ' \gamma) \text{ mrg}, ' \delta) \text{ urel} \Rightarrow (' \alpha, ' \gamma) \text{ urel} \Rightarrow (' \alpha, ' \delta) \text{ urel}$
 $(- \parallel_s - [85, 0, 86] 85)$
where [upred-defs]: $P \parallel_M Q = (P \parallel_s Q ;; M)$

lemma *par-by-merge-alt-def*: $P \parallel_M Q = (\lceil P \rceil_0 \wedge \lceil Q \rceil_1 \wedge \$<:\mathbf{v}' =_u \$\mathbf{v}) ;; M$
by (*simp add: par-by-merge-def U0-as-alpha U1-as-alpha*)

lemma *shEx-pbm-left*: $((\exists x \cdot P x) \parallel_M Q) = (\exists x \cdot (P x \parallel_M Q))$
by (*rel-auto*)

lemma *shEx-pbm-right*: $(P \parallel_M (\exists x \cdot Q x)) = (\exists x \cdot (P \parallel_M Q x))$
by (*rel-auto*)

31.6 Unrestriction Laws

lemma *unrest-in-par-by-merge* [unrest]:
 $\llbracket \$x \# P; \$<:x \# M; \$x \# Q \rrbracket \Longrightarrow \$x \# P \parallel_M Q$
by (*rel-auto, fastforce+*)

lemma *unrest-out-par-by-merge* [unrest]:
 $\llbracket \$x' \# M \rrbracket \Longrightarrow \$x' \# P \parallel_M Q$
by (*rel-auto*)

lemma *unrest-merge-vars* [unrest]: $\$1:x' \# \lceil P \rceil_0 \$<:x' \# \lceil P \rceil_0 \$0:x' \# \lceil P \rceil_1 \$<:x' \# \lceil P \rceil_1$
by (*rel-auto*) $+$

31.7 Substitution laws

Substitution is a little tricky because when we push the expression through the composition operator the alphabet of the expression must also change. Consequently for now we only support literal substitution, though this could be generalised with suitable alphabet coercsions. We need quite a number of variants to support this which are below.

lemma *U0-seq-subst*: $(P ;; U0) \llbracket \langle v \rangle / \$0 : x' \rrbracket = (P \llbracket \langle v \rangle / \$x' \rrbracket ;; U0)$
by (*rel-auto*)

lemma *U1-seq-subst*: $(P ;; U1) \llbracket \langle v \rangle / \$1 : x' \rrbracket = (P \llbracket \langle v \rangle / \$x' \rrbracket ;; U1)$
by (*rel-auto*)

lemma *lit-pbm-subst* [*usubst*]:

fixes $x :: (- \implies 'a)$

shows

$\bigwedge P Q M \sigma. \sigma(\$x \mapsto_s \langle v \rangle) \dagger (P \parallel_M Q) = \sigma \dagger ((P \llbracket \langle v \rangle / \$x \rrbracket) \parallel_{M \llbracket \langle v \rangle / \$< : x \rrbracket} (Q \llbracket \langle v \rangle / \$x \rrbracket))$

$\bigwedge P Q M \sigma. \sigma(\$x' \mapsto_s \langle v \rangle) \dagger (P \parallel_M Q) = \sigma \dagger (P \parallel_{M \llbracket \langle v \rangle / \$x' \rrbracket} Q)$

by (*rel-auto*)⁺

lemma *bool-pbm-subst* [*usubst*]:

fixes $x :: (- \implies 'a)$

shows

$\bigwedge P Q M \sigma. \sigma(\$x \mapsto_s \text{false}) \dagger (P \parallel_M Q) = \sigma \dagger ((P \llbracket \text{false} / \$x \rrbracket) \parallel_{M \llbracket \text{false} / \$< : x \rrbracket} (Q \llbracket \text{false} / \$x \rrbracket))$

$\bigwedge P Q M \sigma. \sigma(\$x \mapsto_s \text{true}) \dagger (P \parallel_M Q) = \sigma \dagger ((P \llbracket \text{true} / \$x \rrbracket) \parallel_{M \llbracket \text{true} / \$< : x \rrbracket} (Q \llbracket \text{true} / \$x \rrbracket))$

$\bigwedge P Q M \sigma. \sigma(\$x' \mapsto_s \text{false}) \dagger (P \parallel_M Q) = \sigma \dagger (P \parallel_{M \llbracket \text{false} / \$x' \rrbracket} Q)$

$\bigwedge P Q M \sigma. \sigma(\$x' \mapsto_s \text{true}) \dagger (P \parallel_M Q) = \sigma \dagger (P \parallel_{M \llbracket \text{true} / \$x' \rrbracket} Q)$

by (*rel-auto*)⁺

lemma *zero-one-pbm-subst* [*usubst*]:

fixes $x :: (- \implies 'a)$

shows

$\bigwedge P Q M \sigma. \sigma(\$x \mapsto_s 0) \dagger (P \parallel_M Q) = \sigma \dagger ((P \llbracket 0 / \$x \rrbracket) \parallel_{M \llbracket 0 / \$< : x \rrbracket} (Q \llbracket 0 / \$x \rrbracket))$

$\bigwedge P Q M \sigma. \sigma(\$x \mapsto_s 1) \dagger (P \parallel_M Q) = \sigma \dagger ((P \llbracket 1 / \$x \rrbracket) \parallel_{M \llbracket 1 / \$< : x \rrbracket} (Q \llbracket 1 / \$x \rrbracket))$

$\bigwedge P Q M \sigma. \sigma(\$x' \mapsto_s 0) \dagger (P \parallel_M Q) = \sigma \dagger (P \parallel_{M \llbracket 0 / \$x' \rrbracket} Q)$

$\bigwedge P Q M \sigma. \sigma(\$x' \mapsto_s 1) \dagger (P \parallel_M Q) = \sigma \dagger (P \parallel_{M \llbracket 1 / \$x' \rrbracket} Q)$

by (*rel-auto*)⁺

lemma *numeral-pbm-subst* [*usubst*]:

fixes $x :: (- \implies 'a)$

shows

$\bigwedge P Q M \sigma. \sigma(\$x \mapsto_s \text{numeral } n) \dagger (P \parallel_M Q) = \sigma \dagger ((P \llbracket \text{numeral } n / \$x \rrbracket) \parallel_{M \llbracket \text{numeral } n / \$< : x \rrbracket} (Q \llbracket \text{numeral } n / \$x \rrbracket))$

$\bigwedge P Q M \sigma. \sigma(\$x' \mapsto_s \text{numeral } n) \dagger (P \parallel_M Q) = \sigma \dagger (P \parallel_{M \llbracket \text{numeral } n / \$x' \rrbracket} Q)$

by (*rel-auto*)⁺

31.8 Parallel-by-merge laws

lemma *par-by-merge-false* [*simp*]:

$P \parallel_{\text{false}} Q = \text{false}$

by (*rel-auto*)

lemma *par-by-merge-left-false* [*simp*]:

$\text{false} \parallel_M Q = \text{false}$

by (*rel-auto*)

lemma *par-by-merge-right-false* [*simp*]:

$P \parallel_M \text{false} = \text{false}$

by (*rel-auto*)

lemma *par-by-merge-seq-add*: $(P \parallel_M Q) ;; R = (P \parallel_M ;; R Q)$

by (simp add: par-by-merge-def seqr-assoc)

A skip parallel-by-merge yields a skip whenever the parallel predicates are both feasible.

lemma *par-by-merge-skip*:
 assumes $P \;; \text{true} = \text{true} \quad Q \;; \text{true} = \text{true}$
 shows $P \parallel_{\text{skip}_m} Q = \text{II}$
 using *assms* by (rel-auto)

lemma *skip-merge-swap*: $\text{swap}_m \;; \text{skip}_m = \text{skip}_m$
 by (rel-auto)

lemma *par-sep-swap*: $P \parallel_s Q \;; \text{swap}_m = Q \parallel_s P$
 by (rel-auto)

Parallel-by-merge commutes when the merge predicate is unchanged by swap

lemma *par-by-merge-commute-swap*:
 shows $P \parallel_M Q = Q \parallel_{\text{swap}_m} \;; M \quad P$
proof –
 have $Q \parallel_{\text{swap}_m} \;; M \quad P = (((Q \;; U0) \wedge (P \;; U1) \wedge \$<:\mathbf{v}' =_u \$\mathbf{v}) \;; \text{swap}_m) \;; M$
 by (simp add: par-by-merge-def seqr-assoc)
 also have $\dots = (((Q \;; U0 \;; \text{swap}_m) \wedge (P \;; U1 \;; \text{swap}_m) \wedge \$<:\mathbf{v}' =_u \$\mathbf{v}) \;; M)$
 by (rel-auto)
 also have $\dots = (((Q \;; U1) \wedge (P \;; U0) \wedge \$<:\mathbf{v}' =_u \$\mathbf{v}) \;; M)$
 by (simp add: U0-swap U1-swap)
 also have $\dots = P \parallel_M Q$
 by (simp add: par-by-merge-def utp-pred-laws.inf.left-commute)
 finally show ?thesis ..
qed

theorem *par-by-merge-commute*:
 assumes M is *SymMerge*
 shows $P \parallel_M Q = Q \parallel_M P$
 by (metis Healthy-if assms par-by-merge-commute-swap)

lemma *par-by-merge-mono-1*:
 assumes $P_1 \sqsubseteq P_2$
 shows $P_1 \parallel_M Q \sqsubseteq P_2 \parallel_M Q$
 using *assms* by (rel-auto)

lemma *par-by-merge-mono-2*:
 assumes $Q_1 \sqsubseteq Q_2$
 shows $(P \parallel_M Q_1) \sqsubseteq (P \parallel_M Q_2)$
 using *assms* by (rel-blast)

lemma *par-by-merge-mono*:
 assumes $P_1 \sqsubseteq P_2 \quad Q_1 \sqsubseteq Q_2$
 shows $P_1 \parallel_M Q_1 \sqsubseteq P_2 \parallel_M Q_2$
 by (meson assms dual-order.trans par-by-merge-mono-1 par-by-merge-mono-2)

theorem *par-by-merge-assoc*:
 assumes M is *SymMerge* *AssocMerge* M
 shows $(P \parallel_M Q) \parallel_M R = P \parallel_M (Q \parallel_M R)$
proof –
 have $(P \parallel_M Q) \parallel_M R = ((P \;; U0) \wedge (Q \;; U0 \;; U1) \wedge (R \;; U1 \;; U1) \wedge \$<:\mathbf{v}' =_u \$\mathbf{v}) \;; \mathbf{M}\beta(M)$
 by (rel-blast)

also have ... = $((P ;; U0) \wedge (Q ;; U0 ;; U1) \wedge (R ;; U1 ;; U1) \wedge \$<:\mathbf{v}' =_u \$\mathbf{v}) ;; rotate_m ;; \mathbf{M3}(M)$
 using *AssocMerge-def assms(2)* by *force*
 also have ... = $((Q ;; U0) \wedge (R ;; U0 ;; U1) \wedge (P ;; U1 ;; U1) \wedge \$<:\mathbf{v}' =_u \$\mathbf{v}) ;; \mathbf{M3}(M)$
 by *(rel-blast)*
 also have ... = $(Q \parallel_M R) \parallel_M P$
 by *(rel-blast)*
 also have ... = $P \parallel_M (Q \parallel_M R)$
 by *(simp add: assms(1) par-by-merge-commute)*
 finally show *?thesis* .
 qed

theorem *par-by-merge-choice-left*:
 $(P \sqcap Q) \parallel_M R = (P \parallel_M R) \sqcap (Q \parallel_M R)$
 by *(rel-auto)*

theorem *par-by-merge-choice-right*:
 $P \parallel_M (Q \sqcap R) = (P \parallel_M Q) \sqcap (P \parallel_M R)$
 by *(rel-auto)*

theorem *par-by-merge-or-left*:
 $(P \vee Q) \parallel_M R = (P \parallel_M R \vee Q \parallel_M R)$
 by *(rel-auto)*

theorem *par-by-merge-or-right*:
 $P \parallel_M (Q \vee R) = (P \parallel_M Q \vee P \parallel_M R)$
 by *(rel-auto)*

theorem *par-by-merge-USUP-mem-left*:
 $(\bigcap_{i \in I} P(i)) \parallel_M Q = (\bigcap_{i \in I} P(i) \parallel_M Q)$
 by *(rel-auto)*

theorem *par-by-merge-USUP-ind-left*:
 $(\bigcap_{i \in I} P(i)) \parallel_M Q = (\bigcap_{i \in I} P(i) \parallel_M Q)$
 by *(rel-auto)*

theorem *par-by-merge-USUP-mem-right*:
 $P \parallel_M (\bigcap_{i \in I} Q(i)) = (\bigcap_{i \in I} P \parallel_M Q(i))$
 by *(rel-auto)*

theorem *par-by-merge-USUP-ind-right*:
 $P \parallel_M (\bigcap_{i \in I} Q(i)) = (\bigcap_{i \in I} P \parallel_M Q(i))$
 by *(rel-auto)*

31.9 Example: Simple State-Space Division

The following merge predicate divides the state space using a pair of independent lenses.

definition *StateMerge* :: $('a \implies 'a) \Rightarrow ('b \implies 'a) \Rightarrow 'a \text{ merge } (M[-]_{\sigma})$ **where**
upred-defs: $M[a|b]_{\sigma} = (\$ \mathbf{v}' =_u (\$<:\mathbf{v} \oplus \$0:\mathbf{v} \text{ on } \&a) \oplus \$1:\mathbf{v} \text{ on } \&b)$

lemma *swap-StateMerge*: $a \bowtie b \implies (swap_m ;; M[a|b]_{\sigma}) = M[b|a]_{\sigma}$
 by *(rel-auto, simp-all add: lens-indep-comm)*

abbreviation *StateParallel* :: $'a \text{ hrel } \Rightarrow ('a \implies 'a) \Rightarrow ('b \implies 'a) \Rightarrow 'a \text{ hrel } \Rightarrow 'a \text{ hrel } (-|-)_{\sigma}$ -
 $[85,0,0,86] \ 86)$
where $P \mid a|b|_{\sigma} Q \equiv P \parallel_M [a|b]_{\sigma} Q$

lemma *StateParallel-commute*: $a \bowtie b \implies P \mid a \mid b \mid_\sigma Q = Q \mid b \mid a \mid_\sigma P$
by (*metis par-by-merge-commute-swap swap-StateMerge*)

lemma *StateParallel-form*:

$P \mid a \mid b \mid_\sigma Q = (\exists (st_0, st_1) \cdot P \llbracket \ll st_0 \gg / \$\mathbf{v}' \rrbracket \wedge Q \llbracket \ll st_1 \gg / \$\mathbf{v}' \rrbracket \wedge \$\mathbf{v}' =_u (\$ \mathbf{v} \oplus \ll st_0 \gg \text{ on } \&a) \oplus \ll st_1 \gg \text{ on } \&b)$
by (*rel-auto*)

lemma *StateParallel-form'*:

assumes *vwb-lens a vwb-lens b a \bowtie b*
shows $P \mid a \mid b \mid_\sigma Q = \{\&a, \&b\} : [(P \mid_v \{\$ \mathbf{v}, \$a'\}) \wedge (Q \mid_v \{\$ \mathbf{v}, \$b'\})]$
using *assms*
apply (*simp add: StateParallel-form, rel-auto*)
apply (*metis vwb-lens-wb wb-lens-axioms-def wb-lens-def*)
apply (*metis vwb-lens-wb wb-lens.get-put*)
apply (*simp add: lens-indep-comm*)
apply (*metis (no-types, hide-lams) lens-indep-comm vwb-lens-wb wb-lens-def weak-lens.put-get*)
done

We can frame all the variables that the parallel operator refers to

lemma *StateParallel-frame*:

assumes *vwb-lens a vwb-lens b a \bowtie b*
shows $\{\&a, \&b\} : [P \mid a \mid b \mid_\sigma Q] = P \mid a \mid b \mid_\sigma Q$
using *assms*
apply (*simp add: StateParallel-form, rel-auto*)
using *lens-indep-comm* **apply** *fastforce+*
done

Parallel Hoare logic rule. This employs something similar to separating conjunction in the postcondition, but we explicitly require that the two conjuncts only refer to variables on the left and right of the parallel composition explicitly.

theorem *StateParallel-hoare* [*hoare*]:

assumes $\llbracket c \rrbracket P \llbracket d_1 \rrbracket_u \llbracket c \rrbracket Q \llbracket d_2 \rrbracket_u$ $a \bowtie b$ $a \Vdash d_1$ $b \Vdash d_2$
shows $\llbracket c \rrbracket P \mid a \mid b \mid_\sigma Q \llbracket d_1 \wedge d_2 \rrbracket_u$

proof –

— Parallelise the specification

from *assms(4,5)*

have $1 : (\llbracket c \rrbracket < \Rightarrow \llbracket d_1 \wedge d_2 \rrbracket >) \sqsubseteq (\llbracket c \rrbracket < \Rightarrow \llbracket d_1 \rrbracket >) \mid a \mid b \mid_\sigma (\llbracket c \rrbracket < \Rightarrow \llbracket d_2 \rrbracket >) \text{ (is ?lhs } \sqsubseteq \text{ ?rhs)}$
by (*simp add: StateParallel-form, rel-auto, metis assms(3) lens-indep-comm*)

— Prove Hoare rule by monotonicity of parallelism

have $2 : \text{?rhs} \sqsubseteq P \mid a \mid b \mid_\sigma Q$

proof (*rule par-by-merge-mono*)

show $(\llbracket c \rrbracket < \Rightarrow \llbracket d_1 \rrbracket >) \sqsubseteq P$

using *assms(1) hoare-r-def* **by** *auto*

show $(\llbracket c \rrbracket < \Rightarrow \llbracket d_2 \rrbracket >) \sqsubseteq Q$

using *assms(2) hoare-r-def* **by** *auto*

qed

show *?thesis*

unfolding *hoare-r-def* **using** *1 2 order-trans* **by** *auto*

qed

Specialised version of the above law where an invariant expression referring to variables outside the frame is preserved.

theorem *StateParallel-frame-hoare* [*hoare*]:

```

assumes vwb-lens a vwb-lens b a  $\bowtie$  b a  $\Downarrow$  d1 b  $\Downarrow$  d2 a  $\#$  c1 b  $\#$  c1  $\{c_1 \wedge c_2\}P\{d_1\}_u \{c_1 \wedge c_2\}Q\{d_2\}_u$ 
shows  $\{c_1 \wedge c_2\}P \mid a \mid b \mid_\sigma Q \{c_1 \wedge d_1 \wedge d_2\}_u$ 
proof –
  have  $\{c_1 \wedge c_2\}\{\&a,\&b\}:[P \mid a \mid b \mid_\sigma Q]\{c_1 \wedge d_1 \wedge d_2\}_u$ 
    by (auto intro!: frame-hoare-r' StateParallel-hoare simp add: assms unrest plus-vwb-lens)
  thus ?thesis
    by (simp add: StateParallel-frame assms)
qed

end

```

32 Collections

```

theory utp-collection
imports utp-lift-pretty utp-pred
begin

```

32.1 Partial Lens Definedness

definition *src-pred* :: $('a \implies 's) \Rightarrow 's \text{ upred } (\mathbf{S}'(-))$ **where**
[upred-defs]: *src-pred x* = $(\&\mathbf{v} \in_u \ll \mathcal{S}_x \gg)$

lemma *wb-lens-src-true* [*simp*]: *wb-lens x* $\implies \mathbf{S}(x) = \text{true}$
by (*rel-simp, simp add: wb-lens.source-UNIV*)

32.2 Indexed Lenses

definition *ind-lens* :: $('i \Rightarrow ('a \implies 's)) \Rightarrow ('i, 's) \text{ uexpr} \Rightarrow ('a \implies 's)$ **where**
[lens-defs]: *ind-lens f x* = $(\mid \text{lens-get} = (\lambda s. \text{get}_f (\ll x \gg_e s), \text{lens-put} = (\lambda s v. \text{put}_f (\ll x \gg_e s) s v) \mid)$

lemma *ind-lens-mwb* [*simp*]: $\ll \bigwedge i. \text{mwb-lens } (F i); \bigwedge i. \text{unrest } (F i) x \gg \implies \text{mwb-lens } (\text{ind-lens } F x)$
by (*unfold-locales, auto simp add: lens-defs lens-indep.lens-put-irr2 unrest-uexpr.rep-eq*)

lemma *ind-lens-vwb* [*simp*]: $\ll \bigwedge i. \text{vwb-lens } (F i); \bigwedge i. \text{unrest } (F i) x \gg \implies \text{vwb-lens } (\text{ind-lens } F x)$
by (*unfold-locales, auto simp add: lens-defs lens-indep.lens-put-irr2 unrest-uexpr.rep-eq*)

lemma *src-ind-lens*: $\ll \bigwedge i. \text{unrest } (f i) e \gg \implies \mathcal{S}_{\text{ind-lens } f e} = \{s. s \in \mathcal{S}_f (\ll e \gg_e s)\}$
apply (*auto simp add: lens-defs lens-source-def unrest unrest-uexpr.rep-eq*)
apply (*blast*)
apply *metis*
done

32.3 Overloaded Collection Lens

consts *collection-lens* :: $'k \Rightarrow ('a \implies 's)$

definition [*lens-defs*]: *fun-collection-lens* = *fun-lens*

definition [*lens-defs*]: *pfun-collection-lens* = *pfun-lens*

definition [*lens-defs*]: *ffun-collection-lens* = *ffun-lens*

definition [*lens-defs*]: *list-collection-lens* = *list-lens*

lemma *vwb-fun-collection-lens* [*simp*]: *vwb-lens (fun-collection-lens k)*
by (*simp add: fun-collection-lens-def fun-vwb-lens*)

lemma *mwb-pfun-collection-lens* [*simp*]: *mwb-lens (pfun-collection-lens k)*

by (simp add: pfun-collection-lens-def)

lemma *mwb-ffun-collection-lens* [simp]: *mwb-lens* (*ffun-collection-lens* *k*)
by (simp add: ffun-collection-lens-def)

lemma *mwb-list-collection-lens* [simp]: *mwb-lens* (*list-collection-lens* *i*)
by (simp add: list-collection-lens-def list-mwb-lens)

lemma *source-list-collection-lens*: $\mathcal{S}_{\text{list-collection-lens } i} = \{xs. i < \text{length } xs\}$
by (simp add: list-collection-lens-def source-list-lens)

adhoc-overloading

collection-lens *fun-collection-lens* **and**
collection-lens *pfun-collection-lens* **and**
collection-lens *ffun-collection-lens* **and**
collection-lens *list-collection-lens*

32.4 Syntax for Collection Lens

abbreviation *ind-lens-poly* *f* *x* *i* \equiv *ind-lens* ($\lambda k. f\ k ;_L x$) *i*

utp-lift-notation *ind-lens-poly* (0 1)

syntax

-svid-collection :: *svid* \Rightarrow *logic* \Rightarrow *svid* ($[-]$ [999, 0] 999)

translations

-svid-collection *x* *i* == *CONST* *ind-lens-poly* *CONST* *collection-lens* *x* *i*

lemma *src-list-collection-lens* [simp]:

$\llbracket \text{vwb-lens } x; x \# i \rrbracket \Longrightarrow \mathbf{S}(\text{ind-lens-poly } \text{list-collection-lens } x\ i) = U(i < \text{length}(\&x))$
apply (simp add: upred-defs src-ind-lens unrest source-list-collection-lens source-lens-comp)
apply (transfer, auto simp add: fun-eq-iff lens-defs wb-lens.source-UNIV)
done

end

33 Definition Command for UTP

theory *utp-definition*

imports *utp-pred*

keywords *utp-def* :: *thy-decl-block*

begin

A first attempt at a definition command for UTP that (1) uses the lifting parser for the expression on the RHS and (2) adds the definitional equation to ‘*?x*’ = *All* $\llbracket ?x \rrbracket_e$

$U(\text{true}) = \perp$

$U(\text{false}) = \top$

$(\wedge) = (\sqcup)$

$(\vee) = (\sqcap)$

unot = *uminus*

diff-upred = ($-$)

par-subst \equiv *map-fun* *Rep-uexpr* (*map-fun* *id* (*map-fun* *id* (*map-fun* *Rep-uexpr* *mk_e*))) ($\lambda\sigma_1\ A$

```

B σ2 s. s ◁A σ1 s ◁B σ2 s)
unrest-usubst ≡ map-fun id (map-fun Rep-uexpr id) (λx σ. ∀ ρ v. σ (putx ρ v) = putx (σ ρ)
v)
uIf = If
U(0) = U(0::?'a)
U(1) = U(1::?'a)
?u + ?v = bop (+) ?u ?v
(?P < ?Q) = (?P ≤ ?Q ∧ ¬ ?Q ≤ ?P)
set-of ?t = UNIV
- ?u = uop uminus ?u
?u - ?v = bop (-) ?u ?v
?u * ?v = bop (*) ?u ?v
?u div ?v = bop (div) ?u ?v
inverse ?u = uop inverse ?u
?u mod ?v = bop (mod) ?u ?v
sgn ?u = uop sgn ?u
|?u| = uop abs ?u
ulim-left = (λp. Lim (at-left p))
ulim-right = (λp. Lim (at-right p))
ucont-on = (λf A. continuous-on A f)
?σ -s ?x = ?σ(?x ↦s U(&?x))
?σ ▷s ?x = [?x ↦s ⟨?σ⟩s ?x].

ML ⟨
structure UTP-Def =
struct

  fun mk-utp-def-eq ctx term =
    case (Type.strip-constraints term) of
      Const (@{const-name HOL.eq}, b) $ c $ t =>
        @ {const Trueprop} $ (Const (@{const-name HOL.eq}, b) $ c $ utp-lift ctx t) |
      - => raise Match;

  val upred-defs = [[Token.make-string (Binding.name-of @ {binding upred-defs}, Position.none)]];

  fun utp-def attr decl term ctx =
    Specification.definition
      (Option.map (fn x => fst (Proof-Context.read-var x ctx)) decl) [] []
      ((fst attr, map (Attrib.check-src ctx) (upred-defs @ snd attr)), mk-utp-def-eq ctx term) ctx

end

val - =
let
  open UTP-Def;
in
  Outer-Syntax.local-theory command-keyword ⟨utp-def⟩ UTP constant definition
  (Scan.option Parse-Spec.constdecl -- (Parse-Spec.opt-thm-name : -- Parse.prop) --
    Parse-Spec.if-assumes -- Parse.for-fixes >> (fn (((decl, (attr, term)), -), -) =>

```

```

      (fn ctx => snd (utp-def attr decl (Syntax.parse-term ctx term) ctx))))
end
>

end

```

34 UTP Schema Types

```

theory utp-schema
  imports utp-definition
  keywords schema :: thy-decl-block
begin

```

Create a type with invariants attached; similar to a Z schema.

```

ML <
val - =
  Outer-Syntax.command @{command-keyword schema} define a new schema type
  (Parse.Spec.overloaded -- (Parse.type-args-constrained -- Parse.binding) --
   (@{keyword =} |-- Scan.option (Parse.typ --| @{keyword +}) --
    Scan.repeat1 Parse.const-binding) -- Scan.optional (@{keyword where} |-- (Scan.repeat1
(Scan.option (Parse.binding --| Parse.$$$ :) |-- Parse.term))) [true]
  >> (fn (((overloaded, x), (y, z)), ts) =>
    let (* Get the new type name *)
      val n = Binding.name-of (snd x)
      (* Produce a list of type variables *)
      val varl = fold (fn - => fn y => -, ^ y) (1 upto length (fst x)) 'a
      (* Name for the new invariant *)
      val invn = n ^ -inv
      val itb = Binding.make (invn ^ -def, Position.none)
      val upred = Lexicon.unmark-type @{type-syntax upred}
      val ib = (SOME (Binding.make (invn, Position.none), SOME ((( ^ varl ^ ) ^ n ^ -scheme)
^ upred), NoSyn))
      open HOLogic in
      Toplevel.theory
      (Lens-Utils.add-alphabet-cmd {overloaded = overloaded} x y z
      #> Named-Target.theory-map
      (fn ctx =>
        let val invs = Library.foldr1 HOLogic.mk-conj (map (Syntax.parse-term ctx) ts)
        val sinv = case y of
          NONE => invs |
          SOME t => case (Syntax.parse-typ ctx t) of
            Type (n, -) => (case (Syntax.parse-term ctx (n ^ -inv)) of
              Const (syntax-const (-type-constraint-), -) $ Const (n', -) => HOLogic.mk-conj
(Const (n', dummyT), invs) | - => invs) |
              - => invs
            in
              snd (UTP-Def.utp-def (itb, []) ib (mk-eq (Free (invn, dummyT), sinv)) ctx)
            end)
        #> Named-Target.theory-map
        (fn ctx =>
          let val Const (cn, -) = Syntax.read-term ctx invn
          val varl =
            if (length (fst x) = 0)
            then
              else ( ^ foldr1 (fn (x, y) => -, ^ x) (map (fn - => -) (1 upto length (fst x))) ^ )

```

```

        val ty = Syntax.read-typ ctx (varl ^ n ^ ^ upred) in
        Specification.abbreviation Syntax.mode-default (SOME (Binding.make (n, Position.none),
        SOME ty, NoSyn)) [] (Logic.mk-equals (Free (n, dummyT), Const (cn, dummyT))) false ctx
        end)
    )
    end));
)

end

```

35 Meta-theory for the Standard Core

```

theory utp
imports
  utp-var
  utp-expr
  utp-expr-insts
  utp-expr-funcs
  utp-unrest
  utp-usedby
  utp-subst
  utp-meta-subst
  utp-alphabet
  utp-lift
  utp-pred
  utp-pred-laws
  utp-recursion
  utp-dynlog
  utp-rel
  utp-rel-laws
  utp-sequent
  utp-state-parser
  utp-lift-parser
  utp-lift-pretty
  utp-sym-eval
  utp-tactics
  utp-frame
  utp-hoare
  utp-wlp
  utp-wp
  utp-sp
  utp-theory
  utp-concurrency
  utp-collection
  utp-rel-opsem
  utp-blocks
  utp-definition
  utp-schema
begin recall-syntax end

```

36 Overloaded Expression Constructs

```

theory utp-expr-ovld
imports utp

```

begin

36.1 Overloadable Constants

For convenience, we often want to utilise the same expression syntax for multiple constructs. This can be achieved using ad-hoc overloading. We create a number of polymorphic constants and then overload their definitions using appropriate implementations. In order for this to work, each collection must have its own unique type. Thus we do not use the HOL map type directly, but rather our own partial function type, for example.

consts

```

— Empty elements, for example empty set, nil list, 0...
uempty    :: 'f
— Function application, map application, list application...
uapply    :: 'f ⇒ 'k ⇒ 'v
— Overriding
uovrd     :: 'f ⇒ 'f ⇒ 'f
— Function update, map update, list update...
uupd      :: 'f ⇒ 'k ⇒ 'v ⇒ 'f
— Domain of maps, lists...
udom      :: 'f ⇒ 'a set
— Range of maps, lists...
uran      :: 'f ⇒ 'b set
— Domain restriction
udomres   :: 'a set ⇒ 'f ⇒ 'f
— Range restriction
uranres   :: 'f ⇒ 'b set ⇒ 'f
— Collection cardinality
ucard     :: 'f ⇒ nat
— Collection summation
usums     :: 'f ⇒ 'a
— Construct a collection from a list of entries
uentries  :: 'k set ⇒ ('k ⇒ 'v) ⇒ 'f

```

We need a function corresponding to function application in order to overload.

definition *fun-apply* :: ('a ⇒ 'b) ⇒ ('a ⇒ 'b)
where *fun-apply* f x = f x

declare *fun-apply-def* [simp]

definition *ffun-entries* :: 'k set ⇒ ('k ⇒ 'v) ⇒ ('k, 'v) *ffun* **where**
ffun-entries d f = *graph-ffun* {(k, f k) | k. k ∈ d}

We then set up the overloading for a number of useful constructs for various collections.

adhoc-overloading

```

uempty 0 and
uapply rel-apply and uapply fun-apply and uapply nth and uapply pfun-app and uapply ffun-app and
uovrd rel-override and uovrd plus
uupd rel-update and uupd pfun-upd and uupd ffun-upd and uupd list-augment and
udom Domain and udom pdom and udom fdom and udom seq-dom and
uran Range and uran pran and uran fran and uran set and
udomres rel-domres and udomres pdom-res and udomres fdom-res and
uranres pran-res and udomres fran-res and
ucard card and ucard pcard and ucard length and
usums list-sum and usums Sum and usums pfun-sum and

```

uentries pfun-entries **and** *uentries ffun-entries*

36.2 Syntax Translations

syntax

```

-undef      :: logic ( $\perp_u$ )
-umap-empty :: logic ( $\square_u$ )
-uapply     :: ('a  $\Rightarrow$  'b, 'α) uepr  $\Rightarrow$  utuple-args  $\Rightarrow$  ('b, 'α) uepr (-'(-')a [999,0] 999)
-uovrd      :: logic  $\Rightarrow$  logic  $\Rightarrow$  logic (infixl  $\oplus$  65)
-umaplet    :: [logic, logic]  $\Rightarrow$  umaplet (- / $\mapsto$ / -)
              :: umaplet  $\Rightarrow$  umaplets (-)
-UMaplets   :: [umaplet, umaplets]  $\Rightarrow$  umaplets (-, / -)
-UMapUpd    :: [logic, umaplets]  $\Rightarrow$  logic (-'(-')u [900,0] 900)
-UMap       :: umaplets  $\Rightarrow$  logic ((1[-]u))
-ucard      :: logic  $\Rightarrow$  logic ( $\#_u$ '(-'))
-udom       :: logic  $\Rightarrow$  logic ( $\text{dom}_u$ '(-'))
-uran       :: logic  $\Rightarrow$  logic ( $\text{ran}_u$ '(-'))
-usum       :: logic  $\Rightarrow$  logic ( $\text{sum}_u$ '(-'))
-udom-res   :: logic  $\Rightarrow$  logic  $\Rightarrow$  logic (infixl  $\triangleleft_u$  85)
-uran-res   :: logic  $\Rightarrow$  logic  $\Rightarrow$  logic (infixl  $\triangleright_u$  85)
-uentries   :: logic  $\Rightarrow$  logic  $\Rightarrow$  logic ( $\text{entr}_u$ '(-, -'))

```

translations

— Pretty printing for adhoc-overloaded constructs

```

f(x)a    <= CONST uapply f x
f  $\oplus$  g  <= CONST uovrd f g
domu(f) <= CONST udom f
ranu(f) <= CONST uran f
A  $\triangleleft_u$  f <= CONST udomres A f
f  $\triangleright_u$  A <= CONST uranres f A
#u(f) <= CONST ucard f
f(k  $\mapsto$  v)u <= CONST uupd f k v
0 <= CONST uempty — We have to do this so we don't see uempty. Is there a better way of printing?

```

— Overloaded construct translations

```

f(x,y,z,u)a == CONST bop CONST uapply f (x,y,z,u)u
f(x,y,z)a == CONST bop CONST uapply f (x,y,z)u
f(x,y)a == CONST bop CONST uapply f (x,y)u
f(x)a == CONST bop CONST uapply f x
f  $\oplus$  g == CONST bop CONST uovrd f g
#u(xs) == CONST uop CONST ucard xs
sumu(A) == CONST uop CONST usums A
domu(f) == CONST uop CONST udom f
ranu(f) == CONST uop CONST uran f
 $\square_u$  == <CONST uempty>
 $\perp_u$  == <CONST undefined>
A  $\triangleleft_u$  f == CONST bop (CONST udomres) A f
f  $\triangleright_u$  A == CONST bop (CONST uranres) f A
entru(d,f) == CONST bop CONST uentries d <<f>>
-UMapUpd m (-UMaplets xy ms) == -UMapUpd (-UMapUpd m xy) ms
-UMapUpd m (-umaplet x y) == CONST trop CONST uupd m x y
-UMap ms == -UMapUpd  $\square_u$  ms
-UMap (-UMaplets ms1 ms2) <= -UMapUpd (-UMap ms1) ms2
-UMaplets ms1 (-UMaplets ms2 ms3) <= -UMaplets (-UMaplets ms1 ms2) ms3

```

36.3 Simplifications

lemma *ufun-apply-lit* [simp]:

$\llbracket f \rrbracket (\llbracket x \rrbracket)_a = \llbracket f(x) \rrbracket$
by (*transfer*, *simp*)

lemma *lit-plus-appl* [lit-norm]: $\llbracket (+) \rrbracket (x)_a (y)_a = x + y$ **by** (*simp add: ueexpr-defs, transfer, simp*)

lemma *lit-minus-appl* [lit-norm]: $\llbracket (-) \rrbracket (x)_a (y)_a = x - y$ **by** (*simp add: ueexpr-defs, transfer, simp*)

lemma *lit-mult-appl* [lit-norm]: $\llbracket \text{times} \rrbracket (x)_a (y)_a = x * y$ **by** (*simp add: ueexpr-defs, transfer, simp*)

lemma *lit-divide-apply* [lit-norm]: $\llbracket (/) \rrbracket (x)_a (y)_a = x / y$ **by** (*simp add: ueexpr-defs, transfer, simp*)

lemma *pfun-entries-apply* [simp]:

$(\text{entr}_u(d, f) :: ((k, 'v) \text{pfun}, 'a) \text{ueexpr})(i)_a = ((\llbracket f \rrbracket (i)_a) \triangleleft i \in_u d \triangleright \perp_u)$
by (*pred-auto*)

lemma *uom-uupdate-pfun* [simp]:

fixes $m :: ((k, 'v) \text{pfun}, 'a) \text{ueexpr}$
shows $\text{dom}_u(m(k \mapsto v)_u) = \{k\}_u \cup_u \text{dom}_u(m)$
by (*rel-auto*)

lemma *uapply-uupdate-pfun* [simp]:

fixes $m :: ((k, 'v) \text{pfun}, 'a) \text{ueexpr}$
shows $(m(k \mapsto v)_u)(i)_a = v \triangleleft i =_u k \triangleright m(i)_a$
by (*rel-auto*)

36.4 Indexed Assignment

syntax

— Indexed assignment
-assignment-upd :: $\text{svid} \Rightarrow \text{logic} \Rightarrow \text{logic} \Rightarrow \text{logic} (([-] := / -) [63, 0, 0] 62)$

translations

— Indexed assignment uses the overloaded collection update function *uupd*.
-assignment-upd $x \ k \ v \Rightarrow x := \&x(k \mapsto v)_u$

end

37 Meta-theory for the Standard Core with Overloaded Constructs

theory *utp-full*

imports *utp utp-expr-ovld*

begin end

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