

see IEN 100-101

Comparison of AUTODIN FTP with NI FTP

With so many FTP designs already in existence, it is reasonable to ask why it is necessary to design a new one, rather than using an existing one. Since we claim that the AUTODIN FTP has been heavily influenced by the design of the NI-FTP it is especially reasonable to ask why we couldn't use that protocol.

In fact, the AUTODIN FTP design draws heavily on the NI-FTP design; we have attempted to incorporate most of the features of the NI-FTP into the AUTODIN FTP. (We have also omitted a number of features which did not seem to us sufficiently general.) In the end, however, we were driven to choose to produce a new design rather than an adaptation for the following reasons.

- 1) We felt that one of the problems with previous FTPs was the need for understanding two somewhat different models of essentially the same logical operation: local file copying versus inter-host file copying. The NI-FTP has taken a step at attempting to develop standardized models of the elements of file transfer with their "conceptual file store." One of the goals set for AUTODIN FTP was to provide an integrated facility for inter-host file copying including, but not limited to access control, file data typing, resource accounting, and scheduling precedence. This goal required reconsideration from the start of some issues associated with file transfer.
- 2) Since AUTODIN FTP implementations will be used by a community that requires long term stability and maintainability, as well as the potential for adding protocol extensions, we felt that the benefits of protocol uniformity could and should be exploited. In several different areas we tried to develop a single mechanism where other protocols utilized two or more, depending on the nature and placement of a particular task. For example, in AUTODIN FTP, there is:
  - \* One integrated mechanism for handling the data transfer needs of files of data as well as the information exchanged in pre-file transfer negotiation.

- \* One unified mechanism for handling commands and responses, each of which may reference parameters. The same mechanism is used for command extensions as well as parameter extensions.

Developing such unified approaches has required rethinking most issues addressed by previous FTPs.

- 3) The NI-FTP chose a very restricted capability for intermixing commands and data during the transfer phase. The rigid restriction to two byte command sequences made it difficult or impossible to provide for functions known to be desirable such as partial transfer or Tabstop setting, or to allow future protocol extensions. These abilities were traded for minimization of overhead - the NI-FTP may transfer 63 data bytes with only one header byte. By using a much more general structure the AUTODIN FTP incurs a fixed overhead of 3 bytes rather than one, but can send as many as 255 bytes per segment rather than 63. However, we believe that even if the normal transmitted segment is shorter than the breakeven point (189 bytes), the increased flexibility will be worth the cost.
- 4) We are convinced that in the future a major use of FTP will be to handle the task of updating distributed data bases, and that performing this function economically will require partial file transfers and/or multiple file transfers. We felt that it would be difficult or impossible to graft such functions onto the NI-FTP, which is oriented to the transfer of exactly one complete file per session.
- 5) Based on our experience with ARPANET FTP, we feel strongly that a single-host operating system view of access control which requires explicit login to each host involved in a file transfer, is a major impediment to effective file sharing. We wanted to produce an FTP design which supported and encouraged the construction of a file transfer system which incorporated a more flexible access control system which could be controlled by anyone who owned a file and wanted to share it. While access control and the underlying file transport mechanism seem orthogonal, in an effective system they need to be addressed from within one integrated framework, not added together as an afterthought. The approach to access control so central to our thinking was far from the orientation of the NI-FTP. A different underlying design seemed appropriate.

- 6) We believe that the problem of moving data around in a network environment is not the unique province of FTP, and are suspicious of the utility of indefinite layering of even higher level protocols on top of FTP. For example, many individuals in the ARPANET community feel that "mail" should be independent of FTP. From this viewpoint, we do believe in the utility of semi-independent, standardized mechanisms to support data movement. Accordingly we wished to separate the details of the data movement mechanisms from the mechanisms used to describe file handling; this we have done with the specification of DTP. We envision that a library of DTP routines might be incorporated into other protocols as well as FTP. As a side benefit, we are able to use the DTP mechanisms for transporting FTP parameters, replacing the ad-hoc structure of other FTPs (including NI-FTP) with a uniform and more general structure.
- 7) Although many FTP designs, including the ARPANET FTP, include the concept of three-party transfer, the mechanisms generally appear to be added as an afterthought. We believe that when access control problems are solved, as we have tried to provide for in our design, three party transfers will become a significant FTP use. Accordingly our design treats the three party model as the normal case, which can reduce easily to the currently-common two party case.
- 8) It is almost inevitable that with the passage of time various groups will wish to extend any protocol in one direction or another. Some extensions will be useful to everyone; others will be useful to only a subset of the systems involved. However, with the exception of the ARPANET "new" TELNET protocol, the provision of an extension mechanism is weak, if not totally ignored in most high level protocols. The AUTODIN FTP explicitly addresses the need for protocol extension mechanisms.