# Text Diffusion Models

## Agenda

- Problems of autoregressive text generation
- Diffusion models: reminder
- Text diffusion models:
  - Discrete diffusion
  - Continuous diffusion

### Autoregressive text generation

Generate one token at a time

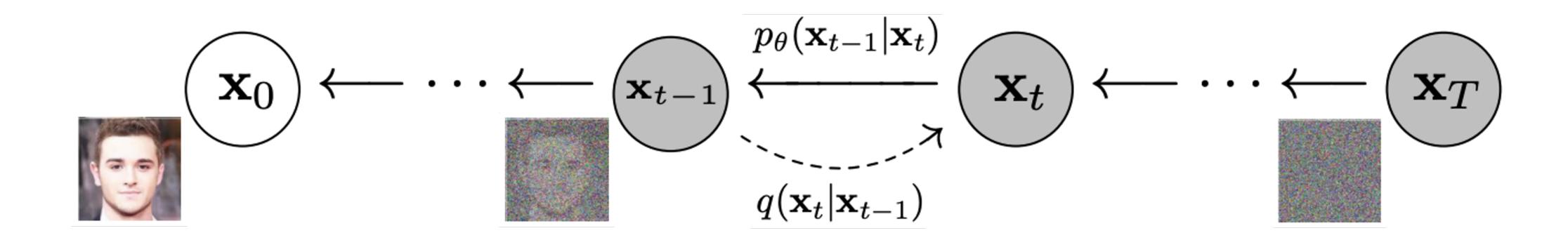
The next token is \_\_\_

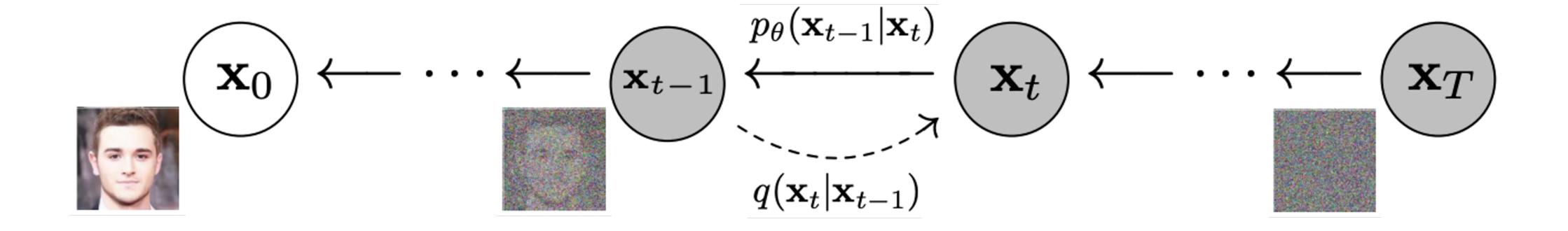
#### **Disadvantages:**

- Can't correct previously generated tokens
- Can't think a several tokens ahead
- Need to choose a sampling method

Diffusion models were originally made for image generation

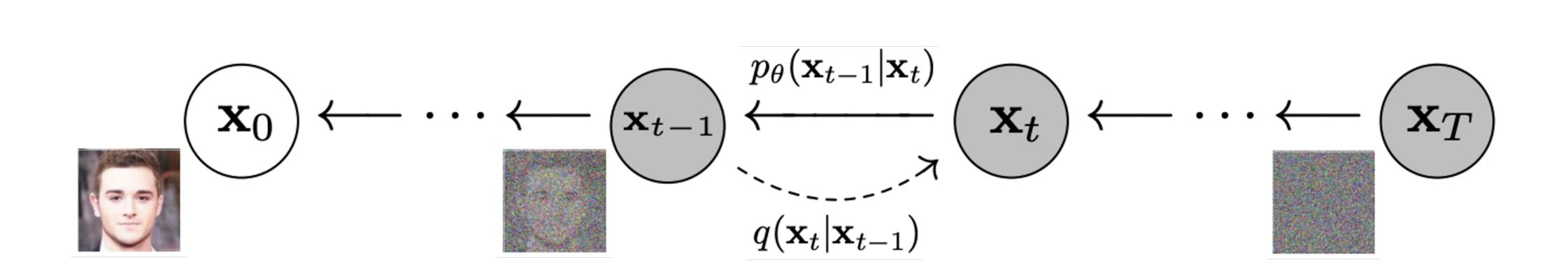
**Idea:** gradually add noise to an object during the forward diffusion process Learn a model to denoise objects  $x_t$  at each noise level





$$q(x_t | x_{t-1}) = \mathcal{N}(x_t | \sqrt{\alpha_t} x_{t-1}, (1 - \alpha_t)I)$$

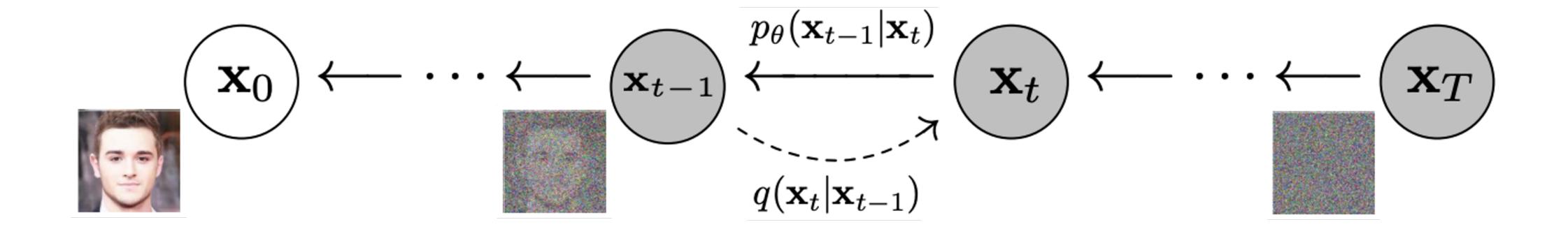
$$\alpha_t \in [0, 1]$$



$$q(x_t|x_{t-1}) = \mathcal{N}(x_t|\sqrt{\alpha_t}x_{t-1}, (1-\alpha_t)I)$$

$$q(x_t|x_0) = \mathcal{N}(x_t|\sqrt{\bar{\alpha}_t}x_{t-1}, (1-\bar{\alpha}_t)I)$$

$$\bar{\alpha}_t = \prod_{t=0}^t \alpha_t$$



$$q(x_{t}|x_{t-1}) = \mathcal{N}(x_{t}|\sqrt{\alpha_{t}}x_{t-1}, (1-\alpha_{t})I)$$

$$q(x_{t}|x_{0}) = \mathcal{N}(x_{t}|\sqrt{\bar{\alpha}_{t}}x_{t-1}, (1-\bar{\alpha}_{t})I)$$

$$\bar{\alpha}_{t} = \prod_{i=1}^{t} \alpha_{i}$$

$$p(x_{t-1}|x_{t}, x_{0}) \propto q(x_{t}|x_{t-1})q(x_{t-1}|x_{0}) = \mathcal{N}(x_{t-1}|\tilde{\mu}_{t}(x_{t}, x_{0}), \tilde{\beta}_{t}I)$$

$$\mathbf{x}_0$$
  $\longleftarrow$   $\longleftarrow$   $\mathbf{x}_{t-1}$   $\stackrel{p_{ heta}(\mathbf{x}_{t-1}|\mathbf{x}_t)}{\longleftarrow}$   $\mathbf{x}_t$   $\longleftarrow$   $\longleftarrow$   $\mathbf{x}_T$ 

$$q(x_t|x_{t-1}) = \mathcal{N}(x_t|\sqrt{\alpha_t}x_{t-1}, (1-\alpha_t)I)$$

$$q(x_t|x_0) = \mathcal{N}(x_t|\sqrt{\bar{\alpha}_t}x_{t-1}, (1-\bar{\alpha}_t)I)$$

$$\bar{\alpha}_t = \prod_{t=0}^t \alpha_t$$

$$p(x_{t-1} | x_t, x_0) \propto q(x_t | x_{t-1}) q(x_{t-1} | x_0) = \mathcal{N}(x_{t-1} | \tilde{\mu}_t(x_t, x_0), \tilde{\beta}_t I)$$

$$\frac{\tilde{\beta}_t}{\tilde{\beta}_t} = \frac{(1 - \alpha_t)(1 - \bar{\alpha}_{t-1})}{1 - \bar{\alpha}_t} \qquad \qquad \tilde{\mu}_t(x_t, x_0) = \frac{1}{\bar{\alpha}_t} \left( x_t - \frac{1 - \alpha_t}{\sqrt{1 - \bar{\alpha}_t}} \varepsilon_t \right)$$

i=1

## DM training and sampling

#### **Algorithm 1** Training

#### 1: repeat

- 2:  $\mathbf{x}_0 \sim q(\mathbf{x}_0)$
- 3:  $t \sim \text{Uniform}(\{1,\ldots,T\})$
- 4:  $\epsilon \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$
- 5: Take gradient descent step on

$$\nabla_{\theta} \left\| \boldsymbol{\epsilon} - \boldsymbol{\epsilon}_{\theta} (\sqrt{\bar{\alpha}_t} \mathbf{x}_0 + \sqrt{1 - \bar{\alpha}_t} \boldsymbol{\epsilon}, t) \right\|^2$$

6: until converged

#### **Algorithm 2** Sampling

- 1:  $\mathbf{x}_T \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$
- 2: **for** t = T, ..., 1 **do**
- 3:  $\mathbf{z} \sim \mathcal{N}(\mathbf{0}, \mathbf{I}) \text{ if } t > 1, \text{ else } \mathbf{z} = \mathbf{0}$
- 4:  $\mathbf{x}_{t-1} = \frac{1}{\sqrt{\alpha_t}} \left( \mathbf{x}_t \frac{1-\alpha_t}{\sqrt{1-\bar{\alpha}_t}} \boldsymbol{\epsilon}_{\theta}(\mathbf{x}_t, t) \right) + \sigma_t \mathbf{z}$
- 5: end for
- 6: return  $x_0$

Is is possible to predict  $\tilde{\mu}_t$  directly or  $x_0$ However, for images prediction of  $\varepsilon_t$  works better

# Why is it hard to apply DMs to text data?

Texts are discrete!

It is not obvious how to add noise to texts

#### Why is it hard to apply DMs to text data?

Texts are **discrete**!

It is not obvious how to add noise to texts

#### Two approaches:

- Discrete diffusion destroy information by replacing one tokens with others
- Continuous diffusion map text into continuous space and perform diffusion there

Both approaches are actively developing. Time will show, which one wins

#### Discrete Diffusion

Idea: Introduce stochastic matrix  $Q_t$  that sets token change probabilities

$$Q_t[i,j] = p(x_t = j | x_{t-1} = i)$$

Then we the forward process becomes

$$q(x_t | x_{t-1}) = \text{Cat}(x_t | p = x_{t-1}Q_t)$$

 $x_t$  here is a one-hot vector

## Examples of $Q_t$

Uniform: interpolation between data and uniform distributions

$$Q_t = (1 - \beta_t)I + \beta_t \frac{1}{|V|} 11^T$$

```
T=0 The great brown fox hopped over the lazy dog. 

T=10 The vast black fox hopping over the lazy cat. 

T=20 Their vast tripped this jumping upon walked organizations. 

T=25 Bunk scamper tripped this Sanchez walked organizations.
```

## Examples of $Q_t$

Absorbing: all tokens degrade to the [MASK] (m) token

$$[Q_t]_{ij} = \begin{cases} 1, & i = j = m \\ 1 - \beta_t, & i = j \neq m \\ \beta_t, & i = m, j = m \end{cases}$$

```
T = 0    The great brown fox hopped over the lazy dog.
T = 10    The great [MASK] fox hopped over [MASK] lazy dog.
T = 20    The [MASK] [MASK] [MASK] ship over [MASK] lazy the.
T = 25    [MASK] [MASK] [MASK] [MASK] [MASK] [MASK] [MASK] [MASK] [MASK]
```

## Discrete Diffusion training

To get the loss function we need to maximize the likelihood  $p(x_{t-1} | x_t, x_0)$ 

$$p(x_{t-1} | x_t, x_0) \propto q(x_t | x_{t-1}) q(x_{t-1} | x_0)$$

## Discrete Diffusion training

To get the loss function we need to maximize the likelihood  $p(x_{t-1} \mid x_t, x_0)$ 

$$p(x_{t-1} | x_t, x_0) \propto q(x_t | x_{t-1}) q(x_{t-1} | x_0)$$

or to maximize the variational lower bound (VLB), which is the same

$$\log p_{\theta}(x_0) = \log \int q(x_{1:T}|x_0) \frac{p_{\theta}(x_{0:T})}{q(x_{1:T}|x_0)} dx_{1:T} \ge \mathbb{E}_{q(x_{1:T}|x_0)} \left[ \log p_{\theta}(x_{0:T}) - \log q(x_{1:T}|x_0) \right]$$

## Discrete Diffusion training

To get the loss function we need to maximize the likelihood  $p(x_{t-1} \mid x_t, x_0)$ 

$$p(x_{t-1} | x_t, x_0) \propto q(x_t | x_{t-1}) q(x_{t-1} | x_0)$$

or to maximize the variational lower bound (VLB), which is the same

$$\log p_{\theta}(x_0) = \log \int q(x_{1:T}|x_0) \frac{p_{\theta}(x_{0:T})}{q(x_{1:T}|x_0)} dx_{1:T} \ge \mathbb{E}_{q(x_{1:T}|x_0)} \left[ \log p_{\theta}(x_{0:T}) - \log q(x_{1:T}|x_0) \right]$$

However, in practice often a simple cross entropy loss is used

$$L_{\theta} = -\mathbb{E}_{q(x_t|x_0)} \left[ \log p_{\theta} \left( x_0 \mid x_t \right) \right]$$

Let  $\mathcal{N}(x)$  be a neighbourhood of x,  $\mathcal{N}(x) = \{x_{n_1}, \dots, x_{n_k}\}$ 

Then concrete score is

$$c_p(x; \mathcal{N}) = \left[\frac{p(x_{n_1})}{p(x)}, \dots, \frac{p(x_{n_k})}{p(x)}\right] - 1$$

Let  $\mathcal{N}(x)$  be a neighbourhood of x,  $\mathcal{N}(x) = \{x_{n_1}, \dots, x_{n_k}\}$ 

Then concrete score is

$$c_p(x; \mathcal{N}) = \left[\frac{p(x_{n_1})}{p(x)}, \dots, \frac{p(x_{n_k})}{p(x)}\right] - 1$$

**Proposition:** For  $x \in \mathbb{R}^d$  and  $\delta > 0$  let  $\mathcal{N}_{\delta} = \{x + \delta \mathbf{e}_i\}_{i=1}^d$ . Then we have

$$\lim_{\delta \to 0} \frac{c_p(x; \mathcal{N}_{\delta})}{\delta} = \nabla_x \log p(x)$$

**Proof:** 

$$\lim_{\delta \to 0} \left\{ \frac{p(x + \delta \mathbf{e}_i) - p(x)}{\delta \cdot p(x)} \right\}_{i=1}^d = \frac{1}{p(x)} \nabla_x p(x)$$

Turns out that is it much better to predict the ratio of probability densities.

$$s_{\theta}(x,t) \approx \left[\frac{p_t(y)}{p_t(x)}\right]_{x \neq y}$$

For optimization we can use, for example, MSE

$$L_{\text{CSM}} = \frac{1}{2} \mathbb{E}_{x \sim p_t} \left[ \sum_{y \neq x}^{|V|} \left( s_{\theta}(x_t, t)_y - \frac{p_t(y)}{p_t(x)} \right)^2 \right]$$

Size	Model	LAMBADA	WikiText2	PTB	WikiText103	1BW
Small	GPT-2	45.04	42.43	138.43	41.60	75.20
	SEDD Absorb	≤50.92	$\leq$ <b>41.84</b>	<b>≤114.24</b>	$\leq$ <b>40.62</b>	$\leq 79.29$
	SEDD Uniform	≤65.40	$\leq$ 50.27	$\leq$ 140.12	$\leq 49.60$	$\leq 101.37$
	D3PM	≤93.47	$\leq$ 77.28	$\leq 200.82$	<b>≤75.16</b>	$\leq$ 138.92
	PLAID	<57.28	$\leq$ 51.80	$\leq$ 142.60	≤50.86	$\leq$ 91.12
Medium	GPT-2	35.66	31.80	123.14	31.39	55.72
	SEDD Absorb	≤42.77	$\leq 31.04$	$\leq$ 87.12	$\leq$ <b>29.98</b>	$\leq$ 61.19
	SEDD Uniform	<b>≤51.28</b>	$\leq 38.93$	$\leq 102.28$	$\leq$ 36.81	$\leq$ 79.12

Zero-shot unconditional perplexity (1) on a variety of datasets