

Linear Methods Lecture

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1 Lecture 1

1.1 Set Theory

Definition 1.1. A **set** is a collection of distinct objects, its elements.

$$x \in X \quad x \text{ is a element of the set } X$$

and similary

$$x \notin X \quad x \text{ is not an element of } X$$

Two sets are identical $X = Y$, if

$$x \in X \leftrightarrow x \in Y$$

for any element x .

Definition 1.2. Y is a subset of X , $Y \subset X$ if for all $y \in Y$. If $Y \subset X$ and $Y \neq X$, we write $Y \subsetneq X$ (or $Y \subsetneq X$). Y is then a proper subset of X .
Showing to sets are equal,

- $x \in X \leftrightarrow x \in Y$
- $x \subset Y$ and $y \subset X$

The empty set are denoted by null.

Example 1. • $\mathbb{N} = \{1, 2, 3, 4, 5, \dots\}$

- $\mathbb{Z} = \{\dots, -1, 0, 1, \dots\}$
- $\mathbb{Q} = \left\{ \frac{p}{q} : p, q \in \mathbb{Z}, q \neq 0 \right\}$
- \mathbb{R} = reals
- \mathbb{C} : Complex numbers $a + ib$
- Finite set $\{3, 4, 5, 6\}$
- Intervals in \mathbb{R} For real numbers $a < b < \infty$

$$(a, b)$$

$$[a, b]$$

$$(a, b], \quad [a, b).$$

Definition 1.3. Let X and Y be two sets then

- Union. $X \cup Y = \{z \mid z \in X \text{ or } z \in Y\}$

$$\bigcup_{i \in I} X_i = \{z \mid z \in X_i \text{ for some } i \in I\}$$

- Intersection if $\bigcap_{i \in I} X_i = \{z \mid z \in X_i \text{ For every } i \in I\}$
- Complement if S is a subset of X , then the complement of S is

$$X \setminus S = S^c = \{x \in X : x \notin S\}.$$

- Cartesian product

$$X \times Y = \{(x, y) : x \in X, y \in Y\}$$

Lemma 1.1. • $x \cap (Y \cup Z) = (X \cap Y) \cup (X \cap Z)$ and

$$X \cup (Y \cap Z) = (X \cup Y) \cap (X \cup Z)$$

- $(X \cup Y)^c = X^c \cap Y^c$
- $(X \cap Y)^c = X^c \cup Y^c$
- Demo organs law

$$X \setminus (Y \cup Z) = (X \setminus Y) \cap (X \setminus Z)$$

- $(X^c)^c = X$

Proof. Proof of $(X \cup Y)^c = X^c \cap Y^c$

$$\begin{aligned} x \in (X \cup Y)^c &\rightarrow x \in X \cup U \\ &x \notin X \text{ and } x \notin Y \\ &x \in X^c \text{ and } x \in Y^c \\ &x \in X^c \cap Y^c \end{aligned}$$

□

1.2 Functions

Let X, Y be sets. A function f from X to Y , denoted $f : X \rightarrow Y$, is defined by a set G of ordered pairs (x, y) , where $x \in X$, $y \in Y$ and with the property that;

For each set is there a unique $y \in Y$ s.t. $(x, y) \in G$. We write $f(x) = y$.

- We say that X is the domain and Y is the codomain.
- The (direct) image of a set $A \subset X$ under f is

$$f(A) = \{f(t) : t \in A\} \subset Y$$

- The **inverse image** of a set $B \subset Y$ under f is

$$f^{-1}(B) = \{x \in X \mid f(x) \in B\} \subset X$$

- The **range** if f is the image of its domain X is

$$\text{ran}(f) = f(X) = \{f(t) : t \in X\}$$

Example 2. Let $f : \mathbb{R} \rightarrow \mathbb{R}$ given by

$$f(x) = \max\{x, 0\} = x^+$$

Then is the $\text{ran}(f) = [0, \infty)$. The inverse is $f^{-1}(\{y\}) = \{y\}$ and $f^{-1}(\{0\}) = (-\infty, 0]$ and

$$f^{-1}(\{y\}) = \text{NULL} \quad \text{if } y < 0$$

Definition 1.4. Let $f : X \rightarrow Y$ be a function

- f is **injective** or **one-to-one** if $f(x_1) = f(x_2) \Rightarrow x_1 = x_2$
- f is **surjective** or **onto** if $\text{ran}(f) = Y$
- f is **bijective** if it is both surjective and injective.

Example 3. Lets continue the example.

- Let $f : \mathbb{R} \rightarrow \mathbb{R}$, $f(x) = \max\{x, 0\}$. Injective? No; $f(x_1) = \underbrace{f(x_2)}_{=0}$ for any two $x_1, x_2 < 0$.

- A **bijection** $f : X \rightarrow Y$ has a **inverse** function $f^{-1} : Y \rightarrow X$, defined by $f^{-1}(y) = x$ if $f(x) = y$.

The inverse function f^{-1} is also a bijection.

Remark. Not to be confused with the inverse image of a set $f^{-1}(B)$ introduced earlier.

2 Lecture 2

2.1 Recall

Let $f : X \rightarrow Y$ then is

- i) Injective: $f(x_1) = f(x_2) \rightarrow x_1 = x_2$
- ii) Surjective: For all y in Y there is a x in X s.t. $f(x) = y$.
- iii) Bijective if i) and ii) holds.

- If $F : X \rightarrow Y$ is a bijective then there is an inverse

$$f^{-1} : Y \rightarrow X$$

Given by

$$f^{-1}(y) = x \quad \text{if} \quad f(x) = y$$

- Identify function/map

- $\text{id} : X \rightarrow X$
- $\text{id}_x(x) = x$ for all $x \in X$

- The composition of a function

$$g : Y \rightarrow Z \quad \text{with} \quad f : X \rightarrow Y$$

is the function $g \cdot f : X \rightarrow Z$ defined by

$$(g \cdot f)(x) = g(f(x)) \quad \text{for} \quad x \in X$$

Definition 2.1. *Alternative version. Given a bijection $f : X \rightarrow Y$ the inverse function $f^{-1} : Y \rightarrow X$ is the unique function satisfying $f^{-1} \cdot f = \text{id}_X$ and $f \cdot f^{-1} = \text{id}_Y$*

Example 4. $\frac{d}{dx} : C^1(\mathbb{R}, \mathbb{R}) \rightarrow C(\mathbb{R}, \mathbb{R})$. Inverse? no.

Let $g \in C^1(\mathbb{R}, \mathbb{R})$. Then is

$$\frac{d(g+c)}{dx} = \frac{dg}{dx} \quad \text{where } c \text{ is the constant.}$$

It is surjective because given any $f \in C(\mathbb{R}, \mathbb{R})$ we can define $F \in C^1(\mathbb{R}, \mathbb{R})$ by

$$F : X \rightarrow \mathbb{R} \quad F(x) = \int_0^x f(t) dt$$

and

$$\frac{dF}{dx} = f \quad \text{fundamental theorem of calculus.}$$

2.2 Cardinality

Cardinality is a tool for comparing the sizes of sets.

Definition 2.2. We say that two sets A and B has the same cardinality if there exist a bijection between A and B .

Example.

- i) The two intervals $[0, 2]$ and $[0, 1]$ have the same cardinality.

$$f : [0, 2] \rightarrow [0, 1]$$
$$f(t) = \frac{t}{2}$$

- ii) Let $\mathbb{N} = \{1, 2, 3, 4, \dots\}$ and $\mathbb{N} \setminus \{1\} = \{2, 3, 4, 5, \dots\}$ have the same cardinality

$$f(n) = n + 1$$

- iii) n is finite integer. Then there is no bijection

$$f : \{1, 2, 3, \dots, n\} \rightarrow \mathbb{N}$$

These two sets **do not** have the same cardinality.

Definition 2.3. Let X be a set. We say X is **finite** if either $X = \text{NULL}$ or there exist $n \in \mathbb{N}$ s. T. X has the same cardinality as $\{1, 2, 3, 4, \dots, n\}$ if

$$\text{There exist } f : \{1, 2, 3, \dots, n\} \rightarrow X \text{ for some } n$$

X is **infinite** if it is not finite.

Definition 2.4. A set X is

- Countable infinite if it has the same cardinality as \mathbb{N} .

$$\exists \text{bijection } f : X \rightarrow \mathbb{N}$$

- Countable if it is either countably infinite or finite. or equivalently
 - if \exists injection $f : X \rightarrow \mathbb{N}$
 - \exists surjection $f : \mathbb{N} \rightarrow X$
- Uncountable if it is not countable.

Example.

- Any finite set is, e.g. $\{2, 5, 9\}$
- $X = \{1, 4, 9, 16, \dots, n^2, \dots\}$ such that

$$f : \mathbb{N} \rightarrow X, \quad f(n) = n^2$$

- $\mathbb{N} \times \mathbb{N}$ is countable ;

We arrange $N \times N$ in a table.

$$f : \mathbb{N} \rightarrow \mathbb{N} \times \mathbb{N}$$

$$f(1) = (1, 1)$$

$$f(2) = (2, 1)$$

$$f(3) = (1, 2)$$

$$f(4) = (3, 1)$$

$$\vdots$$

- \mathbb{Z} and \mathbb{Q} are countable (Prob set 1).
- If X and Y are countable, then so is $X \cup Y$.

2.3 Schroeder Bernstein Theorem

Let X and Y be two sets. Suppose there are injective maps $f : X \rightarrow Y$ and $g : Y \rightarrow X$. Then there exists a bijection between X and Y .

Example. The interval $(0, 1) \subseteq \mathbb{R}$. Claim it is uncountable.

Proof. The Cantor diagonalization argument. Suppose that $(0, 1)$ is countable.

$$(0, 1) = \{x_1, x_2, x_3, x_4, \dots\}$$
$$f(1), f(2), f(3), \dots$$

$$f : \mathbb{N} \rightarrow (0, 1)$$
$$x_i = 0, x_{i1}, x_{i2}, x_{i3}, \dots$$

Now let

$$a = 0, a_1, a_2, a_3, a_4, a_5, \dots$$

where

$$a_i = \begin{cases} 3 & \text{if } x_{ii} \neq 3 \\ 1 & \text{if } x_{ii} = 3 \end{cases}$$

Then $a_i \neq x_{ii}$, so by construction $a \neq x_i$ for all i . Moreover, we must have $a \in (0, 1)$. This is a contradiction, so $(0, 1)$ cannot be countable. \square

Example. The set of all binary sequences $X = \{(x_1, x_2, x_3, \dots)\} : x_i \in \{0, 1\}$ is uncountable.

Proof. Problem set 2. \square

Lemma 2.1. *Let X and Y be sets. Then*

- *If X is countable and $Y \subseteq X$, then Y is also countable.*

$$\{1, 2, 3, 4, 5, \dots\} \rightarrow \{x_1, x_2, x_3, x_4, \dots\}$$

- *If X is uncountable and $X \subseteq Y$, then Y is uncountable.*
- *If X is countable and there is an injection*

$$f : Y \rightarrow X$$

then Y is countable.

- *If X is uncountable and*

$$\exists \text{ injective } f : X \rightarrow Y,$$

then Y is uncountable.

Example. Have proved formally that $(0, 1) \subseteq \mathbb{R}$ is countable $\overset{\text{ii)}}{\rightarrow} \mathbb{R}$ must be uncountable

$$R \subset \mathbb{C} \overset{\text{ii)}}{\rightarrow} \mathbb{C} \text{ is uncountable}$$

Example. $R = \mathbb{Q} \cup \mathbb{I}$. Know: \mathbb{Q} countable. Assume \mathbb{I} countable. Then $R \cup \mathbb{I}$ which is a contradiction. So \mathbb{I} is uncountable