

Sciences

Norwegian University of Science and Technology Deptartment of Mathematical

## TMA4190 Introduction to Topology Spring 2018

Solutions to exercise set 1

Every linear map  $\mathbb{R}^n \to \mathbb{R}^m$  is smooth, and the derivative is equal to the map itself. Hence, given a k-dimensional vector subspace V of  $\mathbb{R}^N$ , it suffices to choose a basis in V to get a linear isomorphism  $\phi \colon V \to \mathbb{R}^k$ . This map serves as a parametrization, since it is a diffeomorphism.

Now given a linear map  $f: V \to \mathbb{R}^m$ , the composite  $\phi \circ f: \mathbb{R}^k \to \mathbb{R}^m$  is linear and therefore smooth. Since  $\phi$  is a diffeomorphism, this implies that f must be smooth too.

**a)** Let a > 0 be a real number. We want to show that the subset

$$H_a = \{(x, y, z) \in \mathbb{R}^3 : x^2 + y^2 - z^2 = a\} \subset \mathbb{R}^3$$

is a 2-dimensional manifold. To do that we need to find local parametrizations. First, there is a diffeomorphism

$$\phi \colon \mathbb{R}^2 \setminus \overline{B_a((0,0))} \to H \cap \{z > 0\}, \ (x,y) \mapsto \left(x, y, \sqrt{x^2 + y^2 - a}\right)$$

where  $\overline{B_a((0,0))}$  denotes the closed ball of radius a around the origin, i.e.

$$\overline{B_{\sqrt{a}}((0,0))} = \{(x,y) \in \mathbb{R}^2 : x^2 + y^2 \le a\}.$$

Note that  $H \cap \{z > 0\}$  is an open subset of H, because it is equal to the intersection of H with the open subset  $\{z > 0\} \subset \mathbb{R}^3$ . The inverse to  $\phi$  is the projection map

$$\phi^{-1} \colon H \cap \{z > 0\} \to \mathbb{R}^2, \ (x, y, z) \mapsto (x, y).$$

This map is smooth since it can be extended to a smooth map on the whole of  $\mathbb{R}^3$  to  $\mathbb{R}^2$ . To check that  $\phi$  is smooth, we look at its Jacobian matrix in the standard basis

$$d\phi_x \colon \mathbb{R}^3 \to \mathbb{R}^2, \ \begin{pmatrix} \partial \phi_1/\partial x & \partial \phi_2/\partial x & \partial \phi_3/\partial x \\ \partial \phi_1/\partial y & \partial \phi_2/\partial y & \partial \phi_3/\partial y \end{pmatrix}$$
$$= \begin{pmatrix} 1 & 0 & x(x^2 + y^2 - a)^{-1/2} \\ 0 & 1 & y(x^2 + y^2 - a)^{-1/2} \end{pmatrix}.$$

On the open set  $\mathbb{R}^2 \setminus \overline{B_a((0,0))}$ , the entries of this matrix are continuously differentiable functions.

The local parametrization for  $H \cap \{z < 0\}$  is similarly given by

$$\phi \colon \mathbb{R}^2 \setminus \overline{B_a((0,0))} \to H \cap \{z < 0\}, \ (x,y) \mapsto \left(x, y, -\sqrt{x^2 + y^2 - a}\right).$$

It remains to cover the points in  $H \cap \{z = 0\}$ . We are going to cover those points by the following four open sets together with local parametrizations:

$$B_{\sqrt{a}}((0,0)) \to H \cap \{x^2 + z^2 < a\}, \ (x,z) \mapsto \left(x, \sqrt{z^2 - x^2 + a}, z\right)$$

$$B_{\sqrt{a}}((0,0)) \to H \cap \{x^2 + z^2 < a\}, \ (x,z) \mapsto \left(x, -\sqrt{z^2 - x^2 + a}, z\right)$$

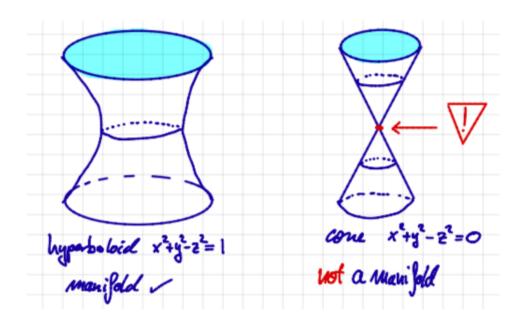
$$B_{\sqrt{a}}((0,0)) \to H \cap \{y^2 + z^2 < a\}, \ (y,z) \mapsto \left(\sqrt{z^2 - y^2 + a}, y, z\right)$$

$$B_{\sqrt{a}}((0,0)) \to H \cap \{y^2 + z^2 < a\}, \ (y,z) \mapsto \left(-\sqrt{z^2 - y^2 + a}, y, z\right).$$

**b)** If a = 0, then the set

$$H_0 = \{(x, y, z) \in \mathbb{R}^3 : x^2 + y^2 - z^2 = 0\} \subset \mathbb{R}^3$$

is **not** a manifold, since there is no local parametrization around the point  $(0,0,0) \in H$ . For, assume there was such a local parametrization  $\phi \colon U \to V$  with both  $U \subseteq \mathbb{R}^2$  and  $(0,0,0) \in V \subseteq H$  open. We can assume  $\phi((0,0)) = (0,0,0)$ . (Remember that V being open in H means that there is an open subset  $\tilde{V} \subseteq \mathbb{R}^3$  with  $V = H \cap \tilde{V}$ . In particular,  $\tilde{V}$  must contain a small open ball  $B_r((0,0,0))$  around the origin.) Then removing the point (0,0,0) from H splits H and therefore V into two disjoint connected components. But  $U \setminus \{(0,0)\} \subset \mathbb{R}^2$  is connected. Hence  $\phi$  cannot be a diffeomorphism (because if it was,  $\phi_{|U \setminus \{(0,0)\}}$  would also be a diffeomorphism).



3 For 0 < b < a, let T(a, b) denote the set of points in  $\mathbb{R}^3$  at distance b from the circle of radius a in the xy-plane. We can parametrize these points as follows: First the points in the xy-plane which lie on the circle of radius a satisfy

$$(a\cos t, a\sin t, 0)$$
 for  $t \in [0, 2\pi)$ .

A point in the plane in the direction of a fixed point  $(a\cos t, a\sin t, 0)$  which lies on the circle of radius b around the point  $(a\cos t, a\sin t, 0)$  has coordinates  $(a + b\cos s)\cos t$ ,  $(a + b\cos s)\sin t$ ,  $b\sin s$ ) with  $s \in [0, 2\pi)$ . For we have

$$|((a+b\cos s)\cos t, (a+b\cos s)\sin t, b\sin s) - (a\cos t, a\sin t, 0)|^{2}$$

$$=|((b\cos s)\cos t, (b\cos s)\sin t, b\sin s)|^{2}$$

$$=b^{2}\cos^{2}s(\cos^{2}t + \sin^{2}t) + b^{2}\sin^{2}s = b^{2}.$$

Hence the points on  $T(a,b) \subset \mathbb{R}^3$  are given by

$$T(a,b) = \{((a+b\cos s)\cos t, (a+b\cos s)\sin t, b\sin s) : s,t \in [0,2\pi)\}.$$

The points on  $S^1 \times S^1 \subset \mathbb{R}^4$  are given by

$$S^{1} \times S^{1} = \{(x_{1}, x_{2}, x_{3}, x_{4}) \in \mathbb{R}^{4} : x_{1}^{2} + x_{2}^{2} = x_{3}^{2} + x_{4}^{2} = 1\}$$
$$= \{(\cos t, \sin t, \cos s, \sin s) \in \mathbb{R}^{4} : t \in [0, 2\pi), s \in [0, 2\pi)\}.$$

Now it is clear how we can define a continuous map

$$\phi \colon S^1 \times S^1 \to T(a,b),$$

$$(\cos t, \sin t, \cos s, \sin s) \mapsto ((a+b\cos s)\cos t, (a+b\cos s)\sin t, b\sin s).$$

In order to check that  $\phi$  is smooth, we use the coordinates of  $\mathbb{R}^4$  again. Then  $\phi$  is given by

$$(x_1, x_2, x_3, x_4) \mapsto ((a + bx_3)x_1, (a + bx_3)x_2, bx_4).$$

Its derivative in the standard basis at a point  $p = (x_1, x_2, x_3, x_4)$  is then given by

$$d\phi_p \colon \mathbb{R}^4 \to \mathbb{R}^3, \ d\phi_p = \begin{pmatrix} a + bx_3 & 0 & bx_1 & 0 \\ 0 & a + bx_3 & bx_2 & 0 \\ 0 & 0 & 0 & b \end{pmatrix}.$$

Since all partial derivatives are smooth maps,  $\phi$  is a smooth map. Its inverse is the map  $\psi \colon \mathbb{R}^3 \to \mathbb{R}^4$  defined by

$$(y_1, y_2, y_3,) \mapsto \left(\frac{y_1}{\sqrt{y_1^2 + y_2^2}}, \frac{y_2}{\sqrt{y_1^2 + y_2^2}}, \frac{\sqrt{y_1^2 + y_2^2} - a}{b}, y_3/b\right).$$

The image of  $\psi$  lies in  $S^1 \times S^1$  since

$$\left(\frac{y_1}{\sqrt{y_1^2 + y_2^2}}\right)^2 + \left(\frac{y_2}{\sqrt{y_1^2 + y_2^2}}\right)^2 = \frac{y_1^2 + y_2^2}{y_1^2 + y_2^2} = 1.$$

To check the other equation, we recall that  $y_1$ ,  $y_2$  and  $y_3$  are connected by the condition of being on T(a,b) which means

$$\left(y_1 - \frac{ay_1}{\sqrt{y_1^2 + y_2^2}}\right)^2 + \left(y_2 - \frac{ay_2}{\sqrt{y_1^2 + y_2^2}}\right)^2 + y_3^2 = b^2$$

$$\iff \left(\sqrt{y_1^2 + y_2^2} - a\right)^2 + y_3^2 = b^2$$

$$\iff y_1^2 + y_2^2 + y_3^2 + a^2 - 2a\sqrt{y_1^2 + y_2^2} = b^2.$$

Now we can calculate

$$\left(\frac{\sqrt{y_1^2 + y_2^2} - a}{b}\right)^2 + (y_3/b)^2 = \frac{y_1^2 + y_2^2 + y_3^2 + a^2 - 2a\sqrt{y_1^2 + y_2^2}}{b^2} = 1.$$

Hence the image of  $(y_1, y_2, y_3)$  does lie on  $S^1 \times S^1$ .

We can easily check that  $\psi \circ \phi$  is the identity. For example, using  $y_1^2 + y_2^2 = (a + bx_3)^2$ , we get

$$\psi(\phi(x)) = \left(\frac{(a+bx_3)x_1}{\sqrt{(a+bx_3)^2}}, \frac{(a+bx_3)x_2}{\sqrt{(a+bx_3)^2}}, \frac{(a+bx_3)-a}{b}, \frac{bx_4}{b}\right) = (x_1, x_2, x_3, x_4),$$

and, setting  $\sqrt{y_{12}} := \sqrt{y_1^2 + y_2^2}$ ,

$$\phi(\psi(y)) = \left( \left( a + b \frac{\sqrt{y_{12}} - a}{b} \right) \frac{y_1}{\sqrt{y_{12}}}, \left( a + b \frac{\sqrt{y_{12}} - a}{b} \right) \frac{y_2}{\sqrt{y_{12}}}, \frac{by_3}{b} \right)$$
  
=  $(y_1, y_2, y_3),$ 

It remains to check that  $\psi$  is smooth. The derivative of  $\psi$  in the standard basis at a point  $q = (y_1, y_2, y_3)$  is then given by

$$d\psi_q \colon \mathbb{R}^3 \to \mathbb{R}^4, \ d\psi_q = \begin{pmatrix} \frac{y_2^2}{(y_1^2 + y_2^2)^{3/2}} & -\frac{y_1 y_2}{(y_1^2 + y_2^2)^{3/2}} & 0\\ -\frac{y_1 y_2}{(y_1^2 + y_2^2)^{3/2}} & \frac{y_1^2}{(y_1^2 + y_2^2)^{3/2}} & 0\\ \frac{y_1}{b(y_1^2 + y_2^2)^{1/2}} & \frac{y_2}{b(y_1^2 + y_2^2)^{1/2}} & 0\\ 0 & 0 & 1/b \end{pmatrix}.$$

Since b < a we know  $y_1^2 + y_2^2 \neq 0$  and all partial derivatives are continuous smooth functions. Hence  $\psi$  is smooth. This proves that  $\phi$  is a global diffeomorphism  $S^1 \times S^1 \to T(a,b)$  for all 0 < b < a.

- Let  $N = (0, ..., 0, 1) \in S^k$  be the "north pole" on the k-dimensional sphere. The stereographic projection  $\phi_N^{-1}$  from  $S^k \setminus \{N\}$  onto  $\mathbb{R}^k$  is the map which sends a point p to the point at which the line through N and p intersects the subspace in  $\mathbb{R}^{k+1}$  defined by  $x_{k+1} = 0$ . (See the picture for k = 2.)
  - a) Let  $N=(0,\ldots,0,1)\in S^k$  be the "north pole" on the k-dimensional sphere. The stereographic projection  $\phi_N^{-1}$  from  $S^k\setminus\{N\}$  onto  $\mathbb{R}^k$  is the map which sends a point p to the point at which the line through N and p intersects the subspace in  $\mathbb{R}^{k+1}$  defined by  $x_{k+1}=0$ . In order to get from N to p, we walk in the direction of the vector

$$v := p - N = (x_1, \dots, x_{k+1} - 1).$$

To find  $\phi_N^{-1}(p)$  we need to find the real number  $\lambda$  such that the k+1-st coordinate of  $N+\lambda\cdot v$  is 0. Hence we need to solve

$$1 + \lambda(x_{k+1} - 1) = 0 \iff \lambda = \frac{1}{1 - x_{k+1}}.$$

Hence

$$\phi_N^{-1}(x_1,\ldots,x_{k+1}) = \frac{1}{1-x_{k+1}}(x_1,\ldots,x_k).$$

b) The inverse  $\phi_N$  is given as follows. Given the point  $x = (x_1, \dots, x_k) \in \mathbb{R}^k$ . To find its image under  $\phi_N$ , we walk from N in the direction of the vector w = x - N until we reach the sphere, i.e. we need to find  $\lambda \in \mathbb{R}$  such that

$$N + \lambda \cdot w = (\lambda x_1, \dots, \lambda x_k, 1 - \lambda)$$

lies on  $S^k$ . Hence we need to solve

$$\lambda^{2}(x_{1}^{2} + \dots + x_{k}^{2}) + (1 - \lambda)^{2} = 1$$

$$\iff \lambda^{2}|x|^{2} + 1 - 2\lambda + \lambda^{2} = 1$$

$$\iff \lambda(1 + |x|^{2}) - 2\lambda = 0$$

$$\iff \lambda = \frac{2}{1 + |x|^{2}}.$$

Thus

$$\phi_N(x) = \frac{1}{1+|x|^2} (2x_1, \dots, 2x_k, |x|^2 - 1).$$

We need to check that both  $\phi_S$  and  $\phi_S^{-1}$  are smooth. Since all entries in  $\phi_N$  are smooth (infinitely often differentiable functions in each variable) functions, we get that  $\phi_N$  is smooth. But to check we calculate anyway:

$$d(\phi_N)_x = \frac{2}{(1+|x|^2)^2} \begin{pmatrix} 1+|x|^2-x_1^2 & -2x_1x_2 & -2x_1x_3 & \dots & -2x_1x_k \\ -2x_2x_1 & 1+|x|^2-x_2^2 & -2x_2x_3 & \dots & -2x_2x_k \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ -2x_kx_1 & \dots & \dots & 1+|x|^2-x_k^2 \\ 2x_1 & 2x_2 & \dots & \dots & 2x_k \end{pmatrix}.$$

Again, all entries are smooth functions and  $\phi_N$  is smooth. Similarly for  $\phi_N^{-1}$ , all entries are smooth functions, since  $x_{k+1} \neq 1$ . Its derivative looks much nicer:

$$d(\phi_N^{-1})_x = \begin{pmatrix} \frac{1}{1-x_{k+1}} & 0 & 0 & \dots & 0\\ 0 & \frac{1}{1-x_{k+1}} & 0 & \dots & 0\\ \vdots & \vdots & \ddots & \vdots\\ \frac{x_1}{(1-x_{k+1})^2} & \frac{x_2}{(1-x_{k+1})^2} & \dots & \dots & \frac{x_k}{(1-x_{k+1})^2} \end{pmatrix}.$$

c) The formulae for the projection from the south pole  $S = (0, ..., 0, -1) \in S^k$  are similar:

$$\phi_S^{-1}(x_1,\ldots,x_{k+1}) = \frac{1}{1+x_{k+1}}(x_1,\ldots,x_k).$$

and, while we get the same  $\lambda$ ,

$$\phi_S(x) = S + \lambda(x - S) = \frac{1}{1 + |x|^2} (2x_1, \dots, 2x_k, 1 - |x|^2).$$

To check that both  $\phi_S$  and  $\phi_S^{-1}$  are both smooth is completely analogous. Hence, since all points are covered by these two parametrizations,  $S^k$  is a smooth manifold.

