

# Introduction to Parsing

Parsing  
ISCL-BA-06

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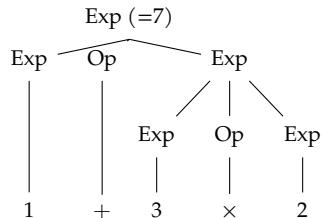
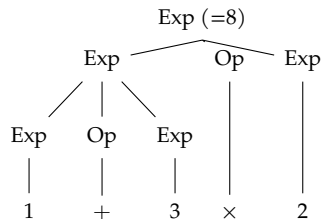
Winter Semester 2020/21

# What is parsing?

- Parsing is the task of assigning a structure to a given sentence
- It is related to recognition: typically we follow the steps taken during derivation to obtain the structure
- From a different perspective, parsing is the inverse of the generation task
- Note: we focus on context-free parsing – the structures we build/recover are trees

# Why do we need parsing?

- The formal approach to languages as sets emphasizes recognition
  - a string is whether in the language or not
- Parsing is in general a step for semantics
  - we cannot assign semantics without structure



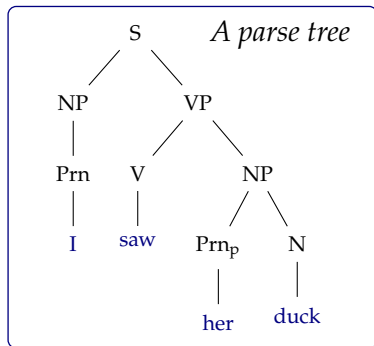
# Overview

- Representation context-free analyses and parse trees
- Ambiguity
- Top-down parsing
- Bottom-up parsing
- General overview of the parsing methods
- Representing parsing methods: parse forests
- Parsing and semantics

# Overview

- Representation context-free analyses and parse trees
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- Representing parsing methods: parse forests
- Parsing and semantics

# Different ways to represent a context-free parse

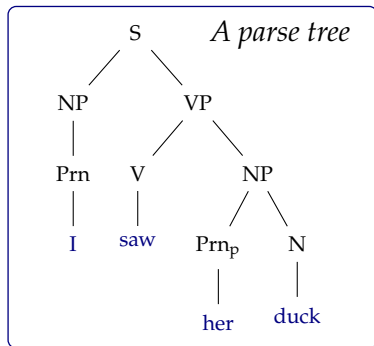


*A history of derivations*

Sentential form	derivation
S	(start)
NP VP	S $\Rightarrow$ NP VP
Prn VP	NP $\Rightarrow$ Prn
I VP	Prn $\Rightarrow$ I
I V NP	VP $\Rightarrow$ V NP
I saw NP	V $\Rightarrow$ saw
I saw Prn <sub>p</sub> N	NP $\Rightarrow$ Prn <sub>p</sub> N
I saw her N	Prn <sub>p</sub> $\Rightarrow$ her
I saw her duck	N $\Rightarrow$ duck

(Labeled) brackets:  $\left[ {}_S \left[ {}_{NP} \left[ {}_{Prn} I \right] \right] \left[ {}_{VP} \left[ {}_V \text{ saw } \right] \left[ {}_{NP} \left[ {}_{Prn_p} \text{ her } \right] \left[ {}_N \text{ duck } \right] \right] \right] \right]$

# Different ways to represent a context-free parse



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I saw NP	V → saw
I saw Prn <sub>p</sub> N	NP → Prn <sub>p</sub> N
I saw her N	Prn <sub>p</sub> → her
I saw her duck	N → duck

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# Relation between different representations

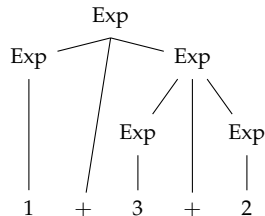
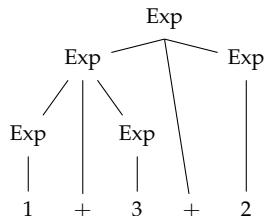
- The parse tree and the bracket representation is equivalent
  - parse trees are easier to read by humans
  - brackets are easier for computers
  - brackets are the typical representation for treebanks
- A parse tree (or bracket representation) can be obtained with a different order of production rules



# Grammars and ambiguity

$\text{Exp} \rightarrow n$   
 $\text{Exp} \rightarrow \text{Exp} + \text{Exp}$   
 (terminal symbol 'n' stands for any number)

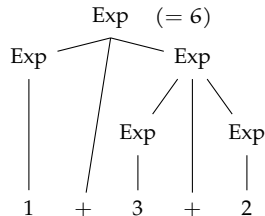
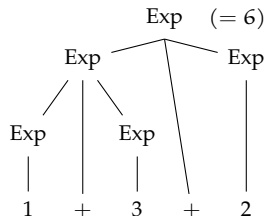
- If a grammar is ambiguous, some sentences produce multiple analyses
- If the resulting analysis lead to the same semantics, the ambiguity is *spurious*



# Grammars and ambiguity

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- If a grammar is ambiguous, some sentences produce multiple analyses
- If the resulting analysis lead to the same semantics, the ambiguity is *spurious*

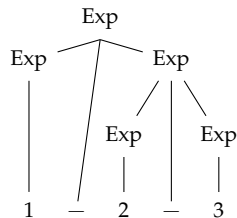
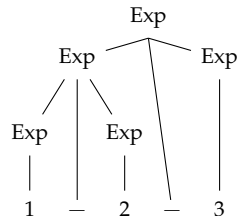


# Grammars and ambiguity

$$\begin{aligned}\text{Exp} &\rightarrow n \\ \text{Exp} &\rightarrow \text{Exp} - \text{Exp}\end{aligned}$$

(terminal symbol 'n' stands for any number)

- Is this ambiguity spurious?

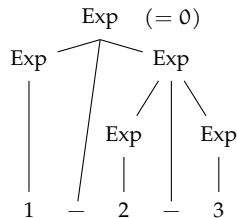
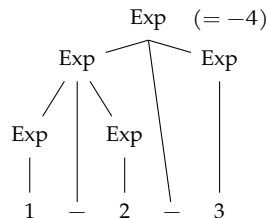


# Grammars and ambiguity

$$\begin{aligned}\text{Exp} &\rightarrow n \\ \text{Exp} &\rightarrow \text{Exp} - \text{Exp}\end{aligned}$$

(terminal symbol 'n' stands for any number)

- Is this ambiguity spurious?
- If different structures yield different semantics, the ambiguity is *essential*



# Languages and ambiguity

- A language is ambiguous if there is no unambiguous grammar that can produce it
- For example, the language  $a^n b^n c^m \cup a^p b^q c^q$  is ambiguous
  - The strings of the form  $a^k b^k c^k$  could be generated by either part of the language definition
- Note: do not confuse ambiguity with different derivations leading to same analysis
  - Ambiguity results in different structures
  - Multiple derivations with the same structure is related to the mechanism used for obtaining the derivations

# Ambiguity can be removed from a grammar

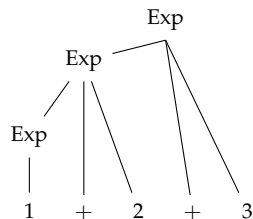
if the language is not ambiguous

$\text{Exp} \rightarrow n$   
 $\text{Exp} \rightarrow \text{Exp} + n$   
(terminal symbol 'n' stands for any number)

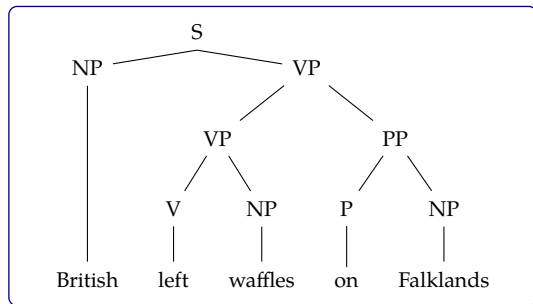
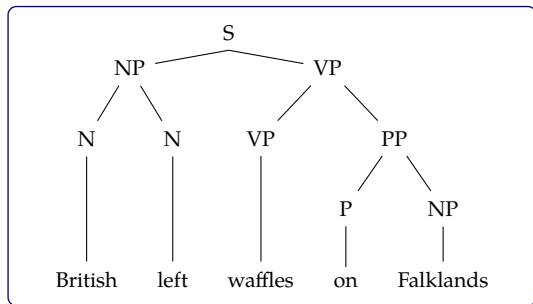
- This one does not have the ambiguity of

$\text{Exp} \rightarrow n$   
 $\text{Exp} \rightarrow \text{Exp} + \text{Exp}$

- Both grammars define the same language



# Natural languages are ambiguous



- The grammars we define have to distinguish between two different structures

# Top-down parsing

## general idea

- Start from  $S$ , find a sequence of derivations that yield the sentence
- This is simply the same as the generation procedure we discussed earlier
- Attempt to generate all strings from the parse grammar, but allow productions that only leads to the input string



# Top-down: demonstration

the cat bites a dog

S → NP VP  
NP → Det N  
VP → V NP  
VP → V  
Det → a  
Det → the  
N → cat  
N → dog  
V → bites

# Top-down: demonstration

S

the cat bites a dog

S → NP VP

NP → Det N

VP → V NP

VP → V

Det → a

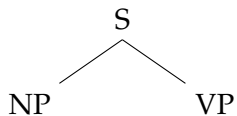
Det → the

N → cat

N → dog

V → bites

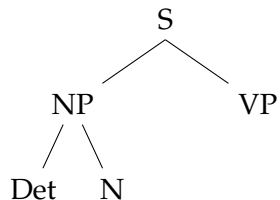
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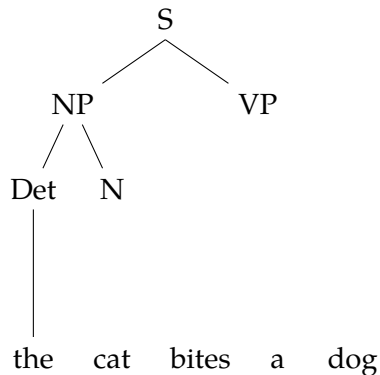
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the cat bites a dog

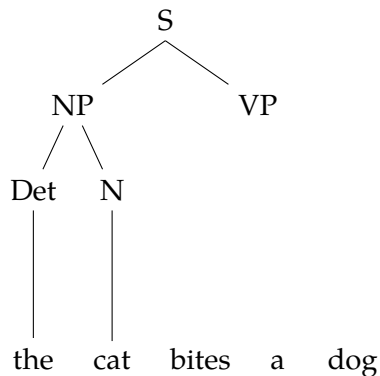
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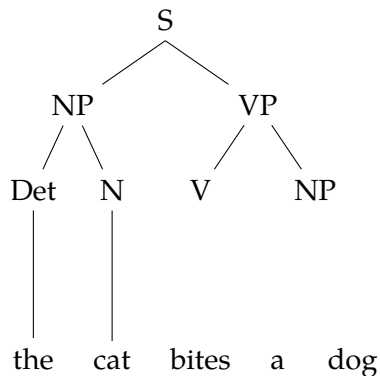
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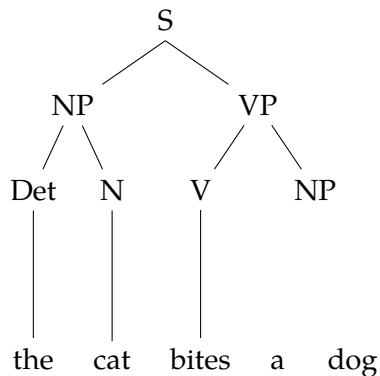
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Det → the  
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N → dog  
V → bites

# Top-down: demonstration



S → NP VP  
NP → Det N  
VP → V NP  
VP → V  
Det → a  
Det → the  
N → cat  
N → dog  
**V** → bites

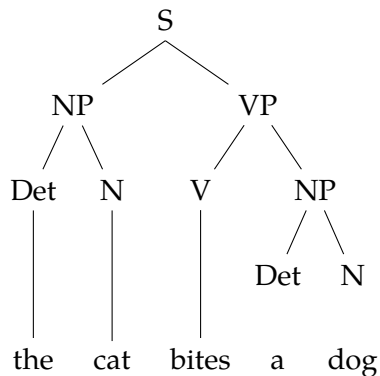
# Top-down: demonstration



S → NP VP  
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V → bites

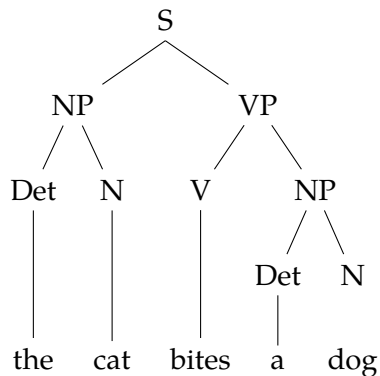


# Top-down: demonstration



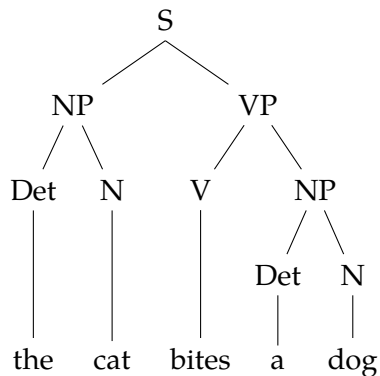
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# Top-down: demonstration



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# Top-down: demonstration



S → NP VP  
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# From demonstration to parsing

- There may be multiple production applicable
- We need an automatic mechanism to select the correct productions
- We have two actions:
  - predict generate a hypothesis based on the grammar
  - match when a terminal is produced, check if it matches with the terminal in the expected position
    - if matched, continue
    - otherwise, backtrack
- if we eliminate all non terminals, and the complete input string is matched, then parsing successful

# Top-down parsing: another demonstration

the grammar

$S \rightarrow NP VP$

$NP \rightarrow Det N$

$VP \rightarrow V NP$

$VP \rightarrow V$

$Det \rightarrow a$

$Det \rightarrow the$

$N \rightarrow cat$

$N \rightarrow dog$

$V \rightarrow bites$

parse: *the cat bites a dog*

# Top-down parsing: another demonstration

the grammar	
S	→ NP VP
NP	→ Det N
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matched	goal	production
	S	$S \Rightarrow \text{NP VP}$

parse: *the cat bites a dog*

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	NP VP	$\text{NP} \Rightarrow \text{Det VP}$

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matched	goal	production
	S	$S \Rightarrow \text{NP VP}$
	NP VP	$\text{NP} \Rightarrow \text{Det VP}$
	Det N VP	$\text{Det} \Rightarrow \text{a } \mathbf{X}$

parse: *the cat bites a dog*



# Top-down parsing: another demonstration

the grammar	
S	→ NP VP
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matched	goal	production
	S	$S \Rightarrow \text{NP VP}$
	NP VP	$\text{NP} \Rightarrow \text{Det VP}$
	Det N VP	$\text{Det} \Rightarrow \text{a } \times$
	Det N VP	$\text{Det} \Rightarrow \text{the } \checkmark$

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the	N VP	$\text{N} \Rightarrow \text{dog} \times$

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the cat	N VP	$\text{N} \Rightarrow \text{cat} \checkmark$
the cat	VP	$\text{VP} \Rightarrow \text{V}$

parse: *the cat bites a dog*

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the cat	N VP	$\text{N} \Rightarrow \text{cat } \checkmark$
the cat	VP	$\text{VP} \Rightarrow \text{V}$
the cat bites	V	$\text{V} \Rightarrow \text{bites } \checkmark$

parse: *the cat bites a dog*

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the	N VP	$\text{N} \Rightarrow \text{dog} \times$
the cat	N VP	$\text{N} \Rightarrow \text{cat} \checkmark$
the cat	VP	$\text{VP} \Rightarrow \text{V}$
the cat bites	V	$\text{V} \Rightarrow \text{bites} \checkmark$
the cat bites		(not at the end) $\times$

parse: *the cat bites a dog*

# Top-down parsing: another demonstration

the grammar	
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parse: *the cat bites a dog*

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the cat bites	V	$\text{V} \Rightarrow \text{bites} \checkmark$
the cat bites		(not at the end) $\times$
the cat	V NP	$\text{VP} \Rightarrow \text{V NP}$

# Top-down parsing: another demonstration

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VP	→ V
Det	→ a
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parse: *the cat bites a dog*

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	NP VP	$\text{NP} \Rightarrow \text{Det VP}$
	Det N VP	$\text{Det} \Rightarrow \text{a} \times$
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the cat	N VP	$\text{N} \Rightarrow \text{cat} \checkmark$
the cat	VP	$\text{VP} \Rightarrow \text{V}$
the cat bites	V	$\text{V} \Rightarrow \text{bites} \checkmark$
the cat bites		(not at the end) $\times$
the cat	V NP	$\text{VP} \Rightarrow \text{V NP}$
the cat bites	NP	$\text{V} \Rightarrow \text{bites} \checkmark$



# Top-down parsing: another demonstration

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parse: *the cat bites a dog*

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the cat	N VP	$\text{N} \Rightarrow \text{cat } \checkmark$
the cat	VP	$\text{VP} \Rightarrow \text{V}$
the cat bites	V	$\text{V} \Rightarrow \text{bites } \checkmark$
the cat bites		(not at the end) $\times$
the cat	V NP	$\text{VP} \Rightarrow \text{V NP}$
the cat bites	NP	$\text{V} \Rightarrow \text{bites } \checkmark$
the cat bites	Det N	$\text{NP} \Rightarrow \text{Det N}$

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S	→ NP VP
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VP	→ V
Det	→ a
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N	→ cat
N	→ dog
V	→ bites

parse: *the cat bites a dog*

matched	goal	production
	S	S ⇒ NP VP
	NP VP	NP ⇒ Det VP
	Det N VP	Det ⇒ a ✗
	Det N VP	Det ⇒ the ✓
the	N VP	N ⇒ dog ✗
the cat	N VP	N ⇒ cat ✓
the cat	VP	VP ⇒ V
the cat bites	V	V ⇒ bites ✓
the cat bites		(not at the end) ✗
the cat	V NP	VP ⇒ V NP
the cat bites	NP	V ⇒ bites ✓
the cat bites	Det N	NP ⇒ Det N
the cat bites a	N	Det ⇒ a ✓

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the grammar	
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parse: *the cat bites a dog*

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the cat	VP	VP ⇒ V
the cat bites	V	V ⇒ bites ✓
the cat bites		(not at the end) ✗
the cat	V NP	VP ⇒ V NP
the cat bites	NP	V ⇒ bites ✓
the cat bites	Det N	NP ⇒ Det N
the cat bites a	N	Det ⇒ a ✓
the cat bites a dog		Det ⇒ dog ✓

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the cat	VP	VP ⇒ V
the cat bites	V	V ⇒ bites ✓
the cat bites		(not at the end) ✗
the cat	V NP	VP ⇒ V NP
the cat bites	NP	V ⇒ bites ✓
the cat bites	Det N	NP ⇒ Det N
the cat bites a	N	Det ⇒ a ✓
the cat bites a dog		Det ⇒ dog ✓

Note that the valid productions yield the parse tree.

# Top-down parsing: problems and possible solutions

- Trial-and-error procedure leads to exponential time parsing

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- Trial-and-error procedure leads to exponential time parsing
- But lots of repeated work: dynamic programming may help avoid it

# Top-down parsing: problems and possible solutions

- Trial-and-error procedure leads to exponential time parsing
- But lots of repeated work: dynamic programming may help avoid it
- What happens if we had a rule like

$$\text{NP} \rightarrow \text{NP PP}$$

# Top-down parsing: problems and possible solutions

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# Top-down parsing: problems and possible solutions

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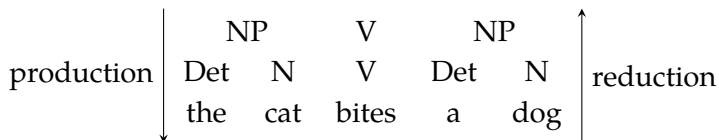
some rules may cause infinite loops

- Notice that if we knew which terminals are possible as the initial part of a non-terminal symbol, we can eliminate the unsuccessful matches earlier

# Bottom-up parsing

## general idea

- Start from from the input symbol, and try to *reduce* the input to start symbol
- We need to match parts of the sentential form (starting from the input) to the RHS of the grammar rules
- While top-down process relies on *productions* the bottom-up process relies on *reductions*



# Top-down: demonstration

the cat bites a dog

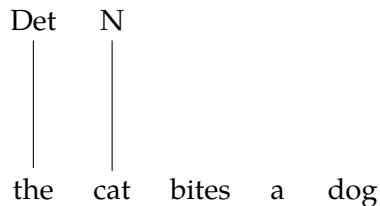
S → NP VP  
NP → Det N  
VP → V NP  
VP → V  
Det → a  
Det → the  
N → cat  
N → dog  
V → bites

# Top-down: demonstration

Det  
|  
the   cat   bites   a   dog

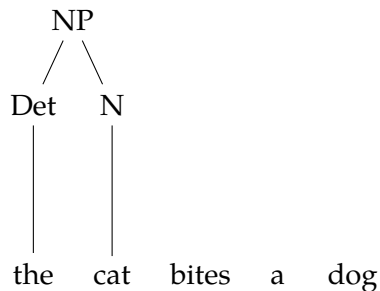
S → NP VP  
NP → Det N  
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N → dog  
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# Top-down: demonstration



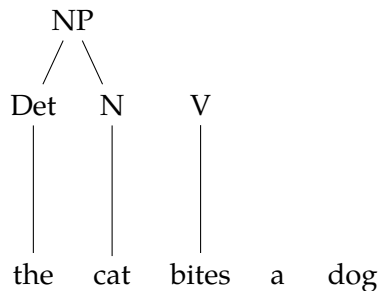
S → NP VP  
NP → Det N  
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VP → V  
Det → a  
Det → the  
N → cat  
N → dog  
V → bites

# Top-down: demonstration



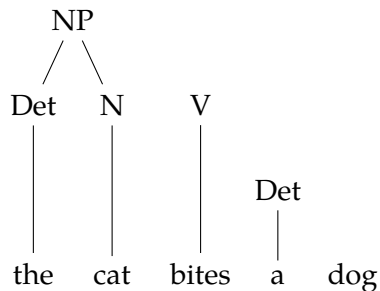
S → NP VP  
NP → Det N  
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VP → V  
Det → a  
Det → the  
N → cat  
N → dog  
V → bites

# Top-down: demonstration



S → NP VP  
NP → Det N  
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Det → a  
Det → the  
N → cat  
N → dog  
V → bites

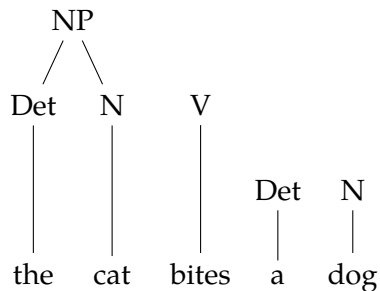
# Top-down: demonstration



S  $\rightarrow$  NP VP  
 NP  $\rightarrow$  Det N  
 VP  $\rightarrow$  V NP  
 VP  $\rightarrow$  V  
 Det  $\rightarrow$  a  
 Det  $\rightarrow$  the  
 N  $\rightarrow$  cat  
 N  $\rightarrow$  dog  
 V  $\rightarrow$  bites

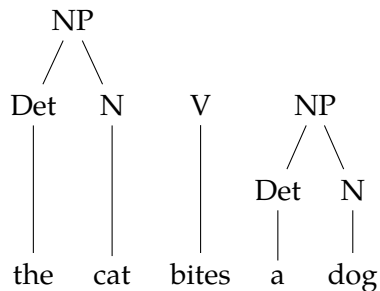


# Top-down: demonstration



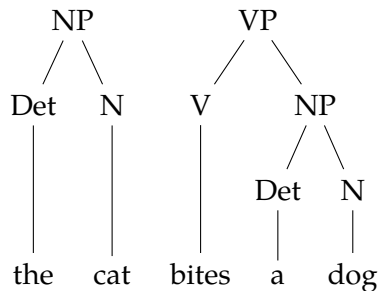
S  $\rightarrow$  NP VP  
 NP  $\rightarrow$  Det N  
 VP  $\rightarrow$  V NP  
 VP  $\rightarrow$  V  
 Det  $\rightarrow$  a  
 Det  $\rightarrow$  the  
 N  $\rightarrow$  cat  
 N  $\rightarrow$  dog  
 V  $\rightarrow$  bites

# Top-down: demonstration



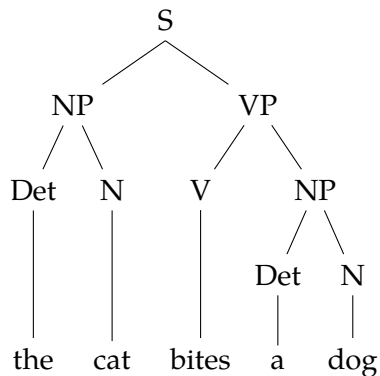
$S \rightarrow NP VP$   
 $NP \rightarrow \text{Det } N$   
 $VP \rightarrow V NP$   
 $VP \rightarrow V$   
 $Det \rightarrow a$   
 $Det \rightarrow the$   
 $N \rightarrow cat$   
 $N \rightarrow dog$   
 $V \rightarrow bites$

# Top-down: demonstration



S  $\rightarrow$  NP VP  
 NP  $\rightarrow$  Det N  
 VP  $\rightarrow$  **V NP**  
 VP  $\rightarrow$  V  
 Det  $\rightarrow$  a  
 Det  $\rightarrow$  the  
 N  $\rightarrow$  cat  
 N  $\rightarrow$  dog  
 V  $\rightarrow$  bites

# Top-down: demonstration



S → NP VP

NP → Det N

VP → V NP

VP → V

Det → a

Det → the

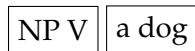
N → cat

N → dog

V → bites

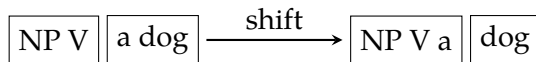
# A (first) introduction to shift-reduce parsing

- We keep two data structures:
  - a stack for the (partially) reduced sentential form
  - an input queue that contains only terminal symbols

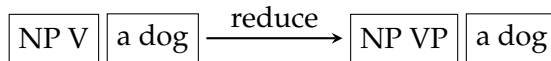


- We use two operations:

shift shifts a terminal to stack



reduce when top symbols on stack mach a RHS, replace them with the LHS of the rule



# Shift-reduce (bottom-up) parsing a demonstration

stack    input                      rule

---

# Shift-reduce (bottom-up) parsing a demonstration

stack	input	rule
	the cat bites a dog	shift

# Shift-reduce (bottom-up) parsing a demonstration

stack	input	rule
	the cat bites a dog	shift
the	cat bites a dog	Det $\Rightarrow$ the



# Shift-reduce (bottom-up) parsing a demonstration

stack	input	rule
	the cat bites a dog	shift
the	cat bites a dog	Det $\Rightarrow$ the
Det	cat bites a dog	shift

# Shift-reduce (bottom-up) parsing a demonstration

stack	input	rule
	the cat bites a dog	shift
the	cat bites a dog	Det $\Rightarrow$ the
Det	cat bites a dog	shift
Det cat	bites a dog	N $\Rightarrow$ cat

# Shift-reduce (bottom-up) parsing a demonstration

stack	input	rule
	the cat bites a dog	shift
the	cat bites a dog	Det $\Rightarrow$ the
Det	cat bites a dog	shift
Det cat	bites a dog	N $\Rightarrow$ cat
NP	bites a dog	NP $\Rightarrow$ Det N

# Shift-reduce (bottom-up) parsing a demonstration

stack	input	rule
	the cat bites a dog	shift
the	cat bites a dog	Det $\Rightarrow$ the
Det	cat bites a dog	shift
Det cat	bites a dog	N $\Rightarrow$ cat
NP	bites a dog	NP $\Rightarrow$ Det N
NP	bites a dog	shift

# Shift-reduce (bottom-up) parsing a demonstration

stack	input	rule
	the cat bites a dog	shift
the	cat bites a dog	Det $\Rightarrow$ the
Det	cat bites a dog	shift
Det cat	bites a dog	N $\Rightarrow$ cat
NP	bites a dog	NP $\Rightarrow$ Det N
NP	bites a dog	shift
NP bites	a dog	V $\Rightarrow$ bites

# Shift-reduce (bottom-up) parsing a demonstration

stack	input	rule
	the cat bites a dog	shift
the	cat bites a dog	Det $\Rightarrow$ the
Det	cat bites a dog	shift
Det cat	bites a dog	N $\Rightarrow$ cat
NP	bites a dog	NP $\Rightarrow$ Det N
NP	bites a dog	shift
NP bites	a dog	V $\Rightarrow$ bites
NP V	a dog	VP $\Rightarrow$ V

# Shift-reduce (bottom-up) parsing a demonstration

stack	input	rule
	the cat bites a dog	shift
the	cat bites a dog	Det $\Rightarrow$ the
Det	cat bites a dog	shift
Det cat	bites a dog	N $\Rightarrow$ cat
NP	bites a dog	NP $\Rightarrow$ Det N
NP	bites a dog	shift
NP bites	a dog	V $\Rightarrow$ bites
NP V	a dog	VP $\Rightarrow$ V
NP VP	a dog	S $\Rightarrow$ NP VP

# Shift-reduce (bottom-up) parsing a demonstration

stack	input	rule
	the cat bites a dog	shift
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NP	bites a dog	NP $\Rightarrow$ Det N
NP	bites a dog	shift
NP bites	a dog	V $\Rightarrow$ bites
NP V	a dog	VP $\Rightarrow$ V
NP VP	a dog	S $\Rightarrow$ NP VP
S	a dog	shift



# Shift-reduce (bottom-up) parsing a demonstration

stack	input	rule
	the cat bites a dog	shift
the	cat bites a dog	Det $\Rightarrow$ the
Det	cat bites a dog	shift
Det cat	bites a dog	N $\Rightarrow$ cat
NP	bites a dog	NP $\Rightarrow$ Det N
NP	bites a dog	shift
NP bites	a dog	V $\Rightarrow$ bites
NP V	a dog	VP $\Rightarrow$ V
NP VP	a dog	S $\Rightarrow$ NP VP
S	a dog	shift
S a	dog	Det $\Rightarrow$ A

# Shift-reduce (bottom-up) parsing a demonstration

stack	input	rule
	the cat bites a dog	shift
the	cat bites a dog	Det $\Rightarrow$ the
Det	cat bites a dog	shift
Det cat	bites a dog	N $\Rightarrow$ cat
NP	bites a dog	NP $\Rightarrow$ Det N
NP	bites a dog	shift
NP bites	a dog	V $\Rightarrow$ bites
NP V	a dog	VP $\Rightarrow$ V
NP VP	a dog	S $\Rightarrow$ NP VP
S	a dog	shift
S a	dog	Det $\Rightarrow$ A
S Det dog		N $\Rightarrow$ dog

# Shift-reduce (bottom-up) parsing a demonstration

stack	input	rule
	the cat bites a dog	shift
the	cat bites a dog	Det $\Rightarrow$ the
Det	cat bites a dog	shift
Det cat	bites a dog	N $\Rightarrow$ cat
NP	bites a dog	NP $\Rightarrow$ Det N
NP	bites a dog	shift
NP bites	a dog	V $\Rightarrow$ bites
NP V	a dog	VP $\Rightarrow$ V
NP VP	a dog	S $\Rightarrow$ NP VP
S	a dog	shift
S a	dog	Det $\Rightarrow$ A
S Det dog		N $\Rightarrow$ dog
S Det N		N $\Rightarrow$ dog

# Shift-reduce (bottom-up) parsing a demonstration

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	the cat bites a dog	shift
the	cat bites a dog	Det $\Rightarrow$ the
Det	cat bites a dog	shift
Det cat	bites a dog	N $\Rightarrow$ cat
NP	bites a dog	NP $\Rightarrow$ Det N
NP	bites a dog	shift
NP bites	a dog	V $\Rightarrow$ bites
NP V	a dog	VP $\Rightarrow$ V
NP VP	a dog	S $\Rightarrow$ NP VP
S	a dog	shift
S a	dog	Det $\Rightarrow$ A
S Det dog		N $\Rightarrow$ dog
S Det N		N $\Rightarrow$ dog
S Det N		NP $\Rightarrow$ Det N

# Shift-reduce (bottom-up) parsing a demonstration

stack	input	rule
	the cat bites a dog	shift
the	cat bites a dog	Det $\Rightarrow$ the
Det	cat bites a dog	shift
Det cat	bites a dog	N $\Rightarrow$ cat
NP	bites a dog	NP $\Rightarrow$ Det N
NP	bites a dog	shift
NP bites	a dog	V $\Rightarrow$ bites
NP V	a dog	VP $\Rightarrow$ V
NP VP	a dog	S $\Rightarrow$ NP VP
S	a dog	shift
S a	dog	Det $\Rightarrow$ A
S Det dog		N $\Rightarrow$ dog
S Det N		N $\Rightarrow$ dog
S Det N		NP $\Rightarrow$ Det N
S NP		(stuck)

# Shift-reduce (bottom-up) parsing a demonstration

stack	input	rule	stack	input	rule
	the cat bites a dog	shift	NP V	a dog	shift
the	cat bites a dog	Det $\Rightarrow$ the			
Det	cat bites a dog	shift			
Det cat	bites a dog	N $\Rightarrow$ cat			
NP	bites a dog	NP $\Rightarrow$ Det N			
NP	bites a dog	shift			
NP bites	a dog	V $\Rightarrow$ bites			
NP V	a dog	VP $\Rightarrow$ V			
NP VP	a dog	S $\Rightarrow$ NP VP			
S	a dog	shift			
S a	dog	Det $\Rightarrow$ A			
S Det dog		N $\Rightarrow$ dog			
S Det N		N $\Rightarrow$ dog			
S Det N		NP $\Rightarrow$ Det N			
S NP		(stuck)			

# Shift-reduce (bottom-up) parsing a demonstration

stack	input	rule	stack	input	rule
	the cat bites a dog	shift	NP V	a dog	shift
the	cat bites a dog	Det $\Rightarrow$ the	NP V a	dog	Det $\Rightarrow$ a
Det	cat bites a dog	shift			
Det cat	bites a dog	N $\Rightarrow$ cat			
NP	bites a dog	NP $\Rightarrow$ Det N			
NP	bites a dog	shift			
NP bites	a dog	V $\Rightarrow$ bites			
NP V	a dog	VP $\Rightarrow$ V			
NP VP	a dog	S $\Rightarrow$ NP VP			
S	a dog	shift			
S a	dog	Det $\Rightarrow$ A			
S Det dog		N $\Rightarrow$ dog			
S Det N		N $\Rightarrow$ dog			
S Det N		NP $\Rightarrow$ Det N			
S NP		(stuck)			

# Shift-reduce (bottom-up) parsing a demonstration

stack	input	rule	stack	input	rule
	the cat bites a dog	shift	NP V	a dog	shift
the	cat bites a dog	Det $\Rightarrow$ the	NP V a	dog	Det $\Rightarrow$ a
Det	cat bites a dog	shift	NP V Det	dog	shift
Det cat	bites a dog	N $\Rightarrow$ cat			
NP	bites a dog	NP $\Rightarrow$ Det N			
NP	bites a dog	shift			
NP bites	a dog	V $\Rightarrow$ bites			
NP V	a dog	VP $\Rightarrow$ V			
NP VP	a dog	S $\Rightarrow$ NP VP			
S	a dog	shift			
S a	dog	Det $\Rightarrow$ A			
S Det dog		N $\Rightarrow$ dog			
S Det N		N $\Rightarrow$ dog			
S Det N		NP $\Rightarrow$ Det N			
S NP		(stuck)			



# Shift-reduce (bottom-up) parsing a demonstration

stack	input	rule	stack	input	rule
	the cat bites a dog	shift	NP V	a dog	shift
the	cat bites a dog	Det $\Rightarrow$ the	NP V a	dog	Det $\Rightarrow$ a
Det	cat bites a dog	shift	NP V Det	dog	shift
Det cat	bites a dog	N $\Rightarrow$ cat	NP V Det dog		N $\Rightarrow$ dog
NP	bites a dog	NP $\Rightarrow$ Det N			
NP	bites a dog	shift			
NP bites	a dog	V $\Rightarrow$ bites			
NP V	a dog	VP $\Rightarrow$ V			
NP VP	a dog	S $\Rightarrow$ NP VP			
S	a dog	shift			
S a	dog	Det $\Rightarrow$ A			
S Det dog		N $\Rightarrow$ dog			
S Det N		N $\Rightarrow$ dog			
S Det N		NP $\Rightarrow$ Det N			
S NP		(stuck)			

# Shift-reduce (bottom-up) parsing a demonstration

stack	input	rule	stack	input	rule
	the cat bites a dog	shift	NP V	a dog	shift
the	cat bites a dog	Det $\Rightarrow$ the	NP V a	dog	Det $\Rightarrow$ a
Det	cat bites a dog	shift	NP V Det	dog	shift
Det cat	bites a dog	N $\Rightarrow$ cat	NP V Det dog		N $\Rightarrow$ dog
NP	bites a dog	NP $\Rightarrow$ Det N	NP V Det N		N $\Rightarrow$ dog
NP	bites a dog	shift			
NP bites	a dog	V $\Rightarrow$ bites			
NP V	a dog	VP $\Rightarrow$ V			
NP VP	a dog	S $\Rightarrow$ NP VP			
S	a dog	shift			
S a	dog	Det $\Rightarrow$ A			
S Det dog		N $\Rightarrow$ dog			
S Det N		N $\Rightarrow$ dog			
S Det N		NP $\Rightarrow$ Det N			
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# Shift-reduce (bottom-up) parsing a demonstration

stack	input	rule	stack	input	rule
	the cat bites a dog	shift	NP V	a dog	shift
the	cat bites a dog	Det $\Rightarrow$ the	NP V a	dog	Det $\Rightarrow$ a
Det	cat bites a dog	shift	NP V Det	dog	shift
Det cat	bites a dog	N $\Rightarrow$ cat	NP V Det dog		N $\Rightarrow$ dog
NP	bites a dog	NP $\Rightarrow$ Det N	NP V Det N		N $\Rightarrow$ dog
NP	bites a dog	shift	NP V Det N		NP $\Rightarrow$ Det N
NP bites	a dog	V $\Rightarrow$ bites			
NP V	a dog	VP $\Rightarrow$ V			
NP VP	a dog	S $\Rightarrow$ NP VP			
S	a dog	shift			
S a	dog	Det $\Rightarrow$ A			
S Det dog		N $\Rightarrow$ dog			
S Det N		N $\Rightarrow$ dog			
S Det N		NP $\Rightarrow$ Det N			
S NP		(stuck)			

# Shift-reduce (bottom-up) parsing a demonstration

stack	input	rule	stack	input	rule
	the cat bites a dog	shift	NP V	a dog	shift
the	cat bites a dog	Det $\Rightarrow$ the	NP V a	dog	Det $\Rightarrow$ a
Det	cat bites a dog	shift	NP V Det	dog	shift
Det cat	bites a dog	N $\Rightarrow$ cat	NP V Det dog		N $\Rightarrow$ dog
NP	bites a dog	NP $\Rightarrow$ Det N	NP V Det N		N $\Rightarrow$ dog
NP	bites a dog	shift	NP V Det N		NP $\Rightarrow$ Det N
NP bites	a dog	V $\Rightarrow$ bites	NP V NP		NP $\Rightarrow$ Det N
NP V	a dog	VP $\Rightarrow$ V			
NP VP	a dog	S $\Rightarrow$ NP VP			
S	a dog	shift			
S a	dog	Det $\Rightarrow$ A			
S Det dog		N $\Rightarrow$ dog			
S Det N		N $\Rightarrow$ dog			
S Det N		NP $\Rightarrow$ Det N			
S NP		(stuck)			

# Shift-reduce (bottom-up) parsing a demonstration

stack	input	rule	stack	input	rule
	the cat bites a dog	shift	NP V	a dog	shift
the	cat bites a dog	Det $\Rightarrow$ the	NP V a	dog	Det $\Rightarrow$ a
Det	cat bites a dog	shift	NP V Det	dog	shift
Det cat	bites a dog	N $\Rightarrow$ cat	NP V Det dog		N $\Rightarrow$ dog
NP	bites a dog	NP $\Rightarrow$ Det N	NP V Det N		N $\Rightarrow$ dog
NP	bites a dog	shift	NP V Det N		NP $\Rightarrow$ Det N
NP bites	a dog	V $\Rightarrow$ bites	NP V NP		NP $\Rightarrow$ Det N
NP V	a dog	VP $\Rightarrow$ V	NP V NP		VP $\Rightarrow$ V NP
NP VP	a dog	S $\Rightarrow$ NP VP			
S	a dog	shift			
S a	dog	Det $\Rightarrow$ A			
S Det dog		N $\Rightarrow$ dog			
S Det N		N $\Rightarrow$ dog			
S Det N		NP $\Rightarrow$ Det N			
S NP		(stuck)			

# Shift-reduce (bottom-up) parsing a demonstration

stack	input	rule	stack	input	rule
	the cat bites a dog	shift	NP V	a dog	shift
the	cat bites a dog	Det $\Rightarrow$ the	NP V a	dog	Det $\Rightarrow$ a
Det	cat bites a dog	shift	NP V Det	dog	shift
Det cat	bites a dog	N $\Rightarrow$ cat	NP V Det dog		N $\Rightarrow$ dog
NP	bites a dog	NP $\Rightarrow$ Det N	NP V Det N		N $\Rightarrow$ dog
NP	bites a dog	shift	NP V Det N		NP $\Rightarrow$ Det N
NP bites	a dog	V $\Rightarrow$ bites	NP V NP		NP $\Rightarrow$ Det N
NP V	a dog	VP $\Rightarrow$ V	NP V NP		VP $\Rightarrow$ V NP
NP VP	a dog	S $\Rightarrow$ NP VP	NP VP		VP $\Rightarrow$ V NP
S	a dog	shift			
S a	dog	Det $\Rightarrow$ A			
S Det dog		N $\Rightarrow$ dog			
S Det N		N $\Rightarrow$ dog			
S Det N		NP $\Rightarrow$ Det N			
S NP		(stuck)			

# Shift-reduce (bottom-up) parsing a demonstration

stack	input	rule	stack	input	rule
	the cat bites a dog	shift	NP V	a dog	shift
the	cat bites a dog	Det $\Rightarrow$ the	NP V a	dog	Det $\Rightarrow$ a
Det	cat bites a dog	shift	NP V Det	dog	shift
Det cat	bites a dog	N $\Rightarrow$ cat	NP V Det dog		N $\Rightarrow$ dog
NP	bites a dog	NP $\Rightarrow$ Det N	NP V Det N		N $\Rightarrow$ dog
NP	bites a dog	shift	NP V Det N		NP $\Rightarrow$ Det N
NP bites	a dog	V $\Rightarrow$ bites	NP V NP		NP $\Rightarrow$ Det N
NP V	a dog	VP $\Rightarrow$ V	NP V NP		VP $\Rightarrow$ V NP
NP VP	a dog	S $\Rightarrow$ NP VP	NP VP		VP $\Rightarrow$ V NP
S	a dog	shift	NP VP		S $\Rightarrow$ NP VP
S a	dog	Det $\Rightarrow$ A			
S Det dog		N $\Rightarrow$ dog			
S Det N		N $\Rightarrow$ dog			
S Det N		NP $\Rightarrow$ Det N			
S NP		(stuck)			

# Shift-reduce (bottom-up) parsing a demonstration

stack	input	rule
	the cat bites a dog	shift
the	cat bites a dog	Det $\Rightarrow$ the
Det	cat bites a dog	shift
Det cat	bites a dog	N $\Rightarrow$ cat
NP	bites a dog	NP $\Rightarrow$ Det N
NP	bites a dog	shift
NP bites	a dog	V $\Rightarrow$ bites
NP V	a dog	VP $\Rightarrow$ V
NP VP	a dog	S $\Rightarrow$ NP VP
S	a dog	shift
S a	dog	Det $\Rightarrow$ A
S Det dog		N $\Rightarrow$ dog
S Det N		N $\Rightarrow$ dog
S Det N		NP $\Rightarrow$ Det N
S NP		(stuck)

stack	input	rule
NP V	a dog	shift
NP V a	dog	Det $\Rightarrow$ a
NP V Det	dog	shift
NP V Det dog		N $\Rightarrow$ dog
NP V Det N		N $\Rightarrow$ dog
NP V Det N		NP $\Rightarrow$ Det N
NP V NP		NP $\Rightarrow$ Det N
NP V NP		VP $\Rightarrow$ V NP
NP VP		VP $\Rightarrow$ V NP
NP VP		S $\Rightarrow$ NP VP
S		(done)

- All input reduced to S, accept
- Rules form the parse tree



# Summary

- Parsing can be formulated as a top-down or bottom-up search (the search may also be depth-first or breadth first)
- Naive parsing algorithms are inefficient (exponential time complexity)
- There are some directions: dynamic programming, filtering
- Suggested reading for this part: Grune and Jacobs (2007, ch.3)

# Summary

- Parsing can be formulated as a top-down or bottom-up search (the search may also be depth-first or breadth first)
- Naive parsing algorithms are inefficient (exponential time complexity)
- There are some directions: dynamic programming, filtering
- Suggested reading for this part: Grune and Jacobs (2007, ch.3)

Next:

- Bottom-up chart parsing: CKY algorithm
- Suggested reading: Grune and Jacobs (2007, section 4.2), Jurafsky and Martin (2009, draft 3rd ed, section 13.2)

# Acknowledgments, references, additional reading material

- Please read Grune and Jacobs (2007) chapter 3, a big part part of the lecture follows this chapter



Grune, D. and C.J.H. Jacobs (2007). *Parsing Techniques: A Practical Guide*. second. Monographs in Computer Science. The first edition is available at [http://dickgrune.com/Books/PTAPG\\_1st\\_Edition/BookBody.pdf](http://dickgrune.com/Books/PTAPG_1st_Edition/BookBody.pdf). Springer New York. ISBN: 9780387689548.



Jurafsky, Daniel and James H. Martin (2009). *Speech and Language Processing: An Introduction to Natural Language Processing, Computational Linguistics, and Speech Recognition*. second. Pearson Prentice Hall. ISBN: 978-0-13-504196-3. URL: <http://web.stanford.edu/~jurafsky/slp3/>.