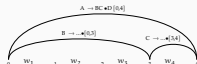


- We can formulate parsing as
  - Top-down: begin with the start symbol, try to *produce* the input string to be parsed
  - Bottom up: begin with the input, and try to *reduce* it to the start symbol
- For both options, we have seen examples of chart parser
- Parsing can also be *directional* or *non-directional*
- In this lecture, we introduce a general mechanism for chart parsing that has all these forms of parsing methods as special cases

## The overall idea

- We adopt Early-like chart entries of the form:  $X \rightarrow \alpha \cdot \beta [i, j]$  where,
  - $i$  and  $j$  are indexes starting from 0 (0 indicating beginning of the input string)
  - The chart entry indicates  $\alpha$  is found between  $i$  and  $j$ , we are looking for a  $\beta$  starting from  $j$
- At any time, we have two sets of items:
  - active items are those we expect to complete
  - inactive items are those with a dot at the end
- The goal is to complete  $S \rightarrow \dots [0, n]$



## Components of a typical chart parsing algorithm

- Besides the chart, we keep an *agenda* of 'unexplored items'
- A set of inference rules determine how to modify the chart when processing items from the agenda
- Typically inference rules are similar to completion process of Earley parser
- The following inference rule is part of every chart parser (so-called 'fundamental rule' of chart parsing)
  - If there is an inactive item of the form  $A \rightarrow \alpha \cdot$  and an active item of the form  $B \rightarrow \beta \cdot \gamma$  add item  $B \rightarrow \beta \cdot \gamma$
- We also need a strategy for selecting the items from the agenda and applying the inference rules
- Depending on the data structure used for the agenda, and order of processing of inference rules, we may get different types of parsers

## The sketch of a chart parsing algorithm

```

1: Initialize A (agenda) and C (chart)
2: repeat
3:   i ← next(A)
4:   if i ∈ C then
5:     discard i
6:   else
7:     apply all inference rules to i
8:     place new items in A
9:   place the item in C
10: until A is empty
    
```

- Very simple, but unspecified parts:
  - Initialization
  - Inference rules
  - The order of items received from the agenda
- An item is put into chart only after all inferences from it are in the chart or in the agenda
- Chart is a set, items do not repeat

## Bottom-up chart parsing

- Single additional inference rule:
  - If a *new* item has the form  $A \rightarrow \alpha \cdot$ , add  $B \rightarrow A \cdot \beta$  for each rule  $B \rightarrow A \beta$  in the grammar.
- Initialization:
  - Empty chart
  - Place  $P \rightarrow w_i [i-1, i]$  in the agenda for all word  $w_i$  (' $P$ ' is the pre-terminal symbol, typically the PCS tag in CL)
  - If there are  $\epsilon$  rules, add  $P \rightarrow \epsilon [i, i]$  for all  $P \rightarrow \epsilon$  in the grammar, for  $i$  in  $[0, n]$
- Choice of agenda does not matter. A stack is typical, but a queue or a priority queue is also an option

## Example: bottom-up chart parsing

grammar

```

S → NP VP
NP → Pm N
NP → Pm
VP → V NP
VP → V
VP → V S
Pm → I
Pm → her
V → saw
N → duck
V → duck
    
```

stack	
Pm → I • [0, 1]	
V → saw • [1, 2]	
Pm → her • [2, 3]	
S → duck • [3, 4]	

0 I 1 saw 2 her 3 duck 4

## Example: bottom-up chart parsing

grammar

```

S → NP VP
NP → Pm N
NP → Pm
VP → V NP
VP → V
VP → V S
Pm → I
Pm → her
V → saw
N → duck
V → duck
    
```

stack	
NP → Pm • [0, 1]	
NP → Pm • [0, 1]	
V → saw • [1, 2]	
Pm → her • [2, 3]	
S → duck • [3, 4]	

0 I 1 saw 2 her 3 duck 4

## Example: bottom-up chart parsing

grammar

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S → NP VP
NP → Pm N
NP → Pm
VP → V NP
VP → V
VP → V S
Pm → I
Pm → her
V → saw
N → duck
V → duck
    
```

stack	
S → NP • VP [0, 1]	
NP → Pm • N [0, 1]	
V → saw • [1, 2]	
Pm → her • [2, 3]	
S → duck • [3, 4]	

0 I 1 saw 2 her 3 duck 4

## Example: bottom-up chart parsing

grammar

```

S → NP VP
NP → Pm N
NP → Pm
VP → V NP
VP → V
VP → V S
Pm → I
Pm → her
V → saw
N → duck
V → duck
    
```

stack	
NP → Pm • N [0, 1]	
NP → Pm • N [0, 1]	
V → saw • [1, 2]	
Pm → her • [2, 3]	
S → duck • [3, 4]	

0 I 1 saw 2 her 3 duck 4

## Example: bottom-up chart parsing

grammar

```

S → NP VP
NP → Pm N
NP → Pm
VP → V NP
VP → V
VP → V S
Pm → I
Pm → her
V → saw
N → duck
V → duck
    
```

stack	
V → saw • [1, 2]	
Pm → her • [2, 3]	
S → duck • [3, 4]	

0 I 1 saw 2 her 3 duck 4

## Example: bottom-up chart parsing

grammar

```

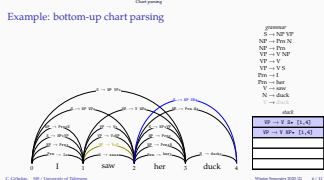
S → NP VP
NP → Pm N
NP → Pm
VP → V NP
VP → V
VP → V S
Pm → I
Pm → her
V → saw
N → duck
V → duck
    
```

stack	
NP → Pm • N [1, 2]	
NP → Pm • N [1, 2]	
VP → V • NP [1, 2]	
VP → V • [1, 2]	
Pm → her • [2, 3]	
S → duck • [3, 4]	

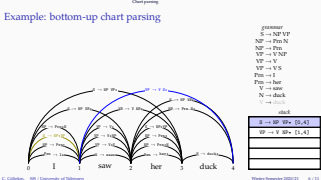
0 I 1 saw 2 her 3 duck 4



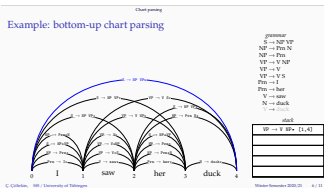
## Example: bottom-up chart parsing



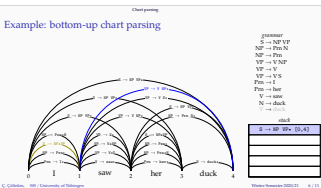
## Example: bottom-up chart parsing



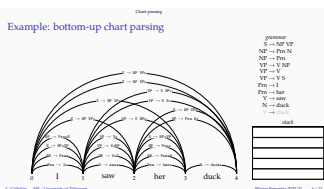
## Example: bottom-up chart parsing



## Example: bottom-up chart parsing



## Example: bottom-up chart parsing



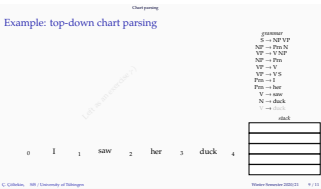
## Bottom-up chart parsing

- additional remarks
- The parser (as described) proceeds bottom up (left-corner)
  - It can process arbitrary CF grammars
  - Stack-based agenda is common, queue-based agenda is rarely used
  - An interesting alternative is so-called *head-corner* parsing: using a priority queue (e.g., processing 'heads' first) one can build the 'important' parts of the tree first
  - The time complexity is  $O(n^3)$
  - There are many variants, optimizations (based on, different inference rules, processing strategies)
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## Top-down chart parsing

- Chart parsing
- The basic algorithm is the same, but we specify
    - Inference rule (besides the 'fundamental rule'):
      - If the new edge has the form  $A \rightarrow \alpha \beta$  ( $i, j$ ), add  $B \rightarrow \alpha \gamma$  ( $j, j$ ) for each rule  $B \rightarrow \gamma$  in the grammar.
  - Initialization
    - Empty chart
    - Push  $\rightarrow \epsilon$  ( $0, n$ ) into the stack
    - Push all productions for the terminal symbols to the stack (or to the chart, as there is nothing to predict for these productions)
  - Typically we use a stack as an agenda
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## Example: top-down chart parsing



## Top-down chart parsing

- additional remarks
- The parser (as described) is purely top-down
  - In practice, it is common to use 'lookup'
  - Stack-based agenda is common, queue-based agenda is rarely used
  - The time complexity is  $O(n^3)$
  - There are many variants, optimizations (based on, different inference rules, processing strategies)
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## Summary

- Chart parsing
- Chart parsing is a general framework for constructing a variety of parsers
  - It shares many similarities with the CKY and Earley
- Next:
- Deterministic parsing (maybe after the break)
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## Acknowledgments, references, additional reading material

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### Example: top-down chart parsing

*grammar*  
 $S \rightarrow NP VP$   
 $NP \rightarrow \text{Pron } N$   
 $VP \rightarrow V NP$   
 $NP \rightarrow I$   
 $VP \rightarrow V$   
 $VP \rightarrow V S$   
 $\text{Pron} \rightarrow I$   
 $\text{Pron} \rightarrow \text{her}$   
 $V \rightarrow \text{saw}$   
 $N \rightarrow \text{duck}$   
 $V \rightarrow \text{duck}$

0   I   1   saw   2   her   3   duck   4