# xLR(k): deterministic bottom-up parsing Parsing ISCL-BA-06

Çağrı Çöltekin ccoltekin@sfs.uni-tuebingen.de

University of Tübingen Seminar für Sprachwissenschaft

Winter Semester 2020/21

#### Recap: bottom-up parsing

- Start from the input symbols, try to *reduce* the input to the start symbol
- Unlike top-down parsing where *productions* drive the parsing, in bottom-up parsing *reduction* is the main operation
- Reduction matches RHS of a grammar rule, and replaces it with its LHS
- A typical bottom-up parser has two basic operations

reduce replace one more symbols in the sentential form with their LHS non-terminal

shift move the next unprocessed symbol from the input to the sentential form

| Sent. Form | Input | Action |
|------------|-------|--------|
|            | dnvan | shift  |

| Sent. Form | Input | Action |
|------------|-------|--------|
| d          | nvan  | shift  |

| Sent. Form | Input | Action                |
|------------|-------|-----------------------|
| dn         | van   | $r: AN \rightarrow n$ |
| dn         | van   | shift                 |

#### shift/reduce conflict

| Sent. Form | Input | Action                |
|------------|-------|-----------------------|
| dn         | van   | r: AN $\rightarrow$ n |
| dnv        | an    | shift                 |

$$\begin{array}{ccccc} S & \rightarrow NP \ VP & NP \rightarrow d \ AN & NP \rightarrow AN \\ VP \rightarrow v \ NP & AN \rightarrow a \ AN & AN \rightarrow n \end{array}$$

| Sent. Form | Input | Action                |
|------------|-------|-----------------------|
| dn         | van   | r: AN $\rightarrow$ n |
| dnva       | n     | shift                 |

| Sent. Form | Input | Action                |
|------------|-------|-----------------------|
| dn         | van   | r: AN $\rightarrow$ n |
| dnvan      |       | $r: AN \rightarrow n$ |

| Sent. Form | Input | Action                   |
|------------|-------|--------------------------|
| dn         | van   | r: AN $\rightarrow$ n    |
| dnva AN    |       | r: AN $\rightarrow$ a AN |
| dnva AN    |       | $r: NP \rightarrow AN$   |

reduce/reduce conflict

| Sent. Form | Input | Action                   |
|------------|-------|--------------------------|
| dn         | van   | r: AN $\rightarrow$ n    |
| dnva AN    |       | r: AN $\rightarrow$ a AN |
| dnva NP    |       | reject                   |

| Sent. Form | Input | Action                |
|------------|-------|-----------------------|
| dn         | van   | r: AN $\rightarrow$ n |
| dnv AN     |       | $r:\;AN\;\to\;a\;AN$  |

| Sent. Form | Input | Action                 |
|------------|-------|------------------------|
| dn         | van   | r: AN $\rightarrow$ n  |
| dnv AN     |       | $r: NP \rightarrow AN$ |

| Sent. Form | Input | Action                   |
|------------|-------|--------------------------|
| dn         | van   | r: AN $\rightarrow$ n    |
| dnv NP     |       | $r: VP \rightarrow v NP$ |

| Sent. Form | Input | Action                |
|------------|-------|-----------------------|
| dn         | van   | r: AN $\rightarrow$ n |
| dn VP      |       | reject                |

| Sent. Form | Input | Action                |
|------------|-------|-----------------------|
| dn         | van   | $r: AN \rightarrow n$ |

| Sent. Form | Input | Action                       |
|------------|-------|------------------------------|
| d AN       | van   | r: NP $\rightarrow$ d AN     |
| d AN       | van   | $r: \ NP \ \rightarrow \ AN$ |
| d AN       | van   | shift                        |

#### shift/reduce conflict

| Sent. Form | Input | Action                   |
|------------|-------|--------------------------|
| d AN       | van   | r: NP $\rightarrow$ d AN |
| d AN       | van   | r: NP $\rightarrow$ AN   |
| d AN v     | an    | shift                    |

| Sent. Form | Input | Action                   |
|------------|-------|--------------------------|
| d AN       | van   | r: NP $\rightarrow$ d AN |
| d AN       | van   | r: NP $\rightarrow$ AN   |
| d AN va    | n     | shift                    |

$$\begin{array}{ccccc} S & \rightarrow NP \ VP & NP \rightarrow d \ AN & NP \rightarrow AN \\ VP \rightarrow v \ NP & AN \rightarrow a \ AN & AN \rightarrow n \end{array}$$

| Sent. Form | Input | Action                   |
|------------|-------|--------------------------|
| d AN       | van   | r: NP $\rightarrow$ d AN |
| d AN       | van   | r: NP $\rightarrow$ AN   |
| d AN van   |       | $r: AN \rightarrow N$    |

| Sent. Form | Input | Action                   |
|------------|-------|--------------------------|
| d AN       | van   | r: NP $\rightarrow$ d AN |
| d AN       | van   | r: NP $\rightarrow$ AN   |
| d AN va AN |       | r: AN $\rightarrow$ a AN |
| d AN va AN |       | $r: NP \rightarrow AN$   |

reduce/reduce conflict

$$\begin{array}{ccccc} S & \rightarrow NP \ VP & NP \rightarrow d \ AN & NP \rightarrow AN \\ VP \rightarrow v \ NP & AN \rightarrow a \ AN & AN \rightarrow n \end{array}$$

| Sent. Form | Input | Action                   |
|------------|-------|--------------------------|
| d AN       | van   | r: NP $\rightarrow$ d AN |
| d AN       | van   | r: NP $\rightarrow$ AN   |
| d AN va AN |       | r: AN $\rightarrow$ a AN |
| d AN va NP |       | reject                   |

| Sent. Form | Input | Action                   |
|------------|-------|--------------------------|
| d AN       | van   | r: NP $\rightarrow$ d AN |
| d AN       | van   | r: NP $\rightarrow$ AN   |
| d AN v NP  |       | $r: VP \rightarrow v NP$ |

| Sent. Form | Input | Action                   |
|------------|-------|--------------------------|
| d AN       | van   | r: NP $\rightarrow$ d AN |
| d AN       | van   | r: NP $\rightarrow$ AN   |
| d AN VP    |       | reject                   |

| Sent. Form | Input | Action                   |
|------------|-------|--------------------------|
| d AN       | van   | r: NP $\rightarrow$ d AN |
| d NP       | van   | reject                   |

| Sent. Form | Input | Action |
|------------|-------|--------|
| NP         | van   | shift  |

| Sent. Form | Input | Action |
|------------|-------|--------|
| NP v       | an    | shift  |

| Sent. Form | Input | Action |
|------------|-------|--------|
| NP va      | n     | shift  |

| Sent. Form | Input | Action                |
|------------|-------|-----------------------|
| NP van     |       | $r: AN \rightarrow n$ |

| Sent. Form | Input | Action                   |
|------------|-------|--------------------------|
| NP va AN   |       | r: AN $\rightarrow$ a AN |
| NP va AN   |       | $r: NP \rightarrow AN$   |

reduce/reduce conflict

| Sent. Form | Input | Action                   |
|------------|-------|--------------------------|
| NP va AN   |       | r: AN $\rightarrow$ a AN |
| NP va NP   |       | reject                   |

| Sent. Form | Input | Action                 |
|------------|-------|------------------------|
| NP v AN    |       | r: NP $\rightarrow$ AN |

| Sent. Form | Input | Action                   |
|------------|-------|--------------------------|
| NP v NP    |       | $r: VP \rightarrow v NP$ |

| Sent. Form | Input | Action                   |
|------------|-------|--------------------------|
| NP VP      |       | $r: S \rightarrow NP VP$ |

| Sent. Form | Input | Action |
|------------|-------|--------|
| S          |       | accept |

#### Two issues with a backtracking shift-reduce parser

- Obvious one: reduce/reduce and shift/reduce conflicts mean non-determinism
- Not-so-obvious one: recognizing 'handles':
  - The rule that we locate at the right edge of the active sentential form is called a
     handle
  - For variable RHS, we need to search the grammar to determine which rule applies (if any)
- In a efficient parser we want to avoid both

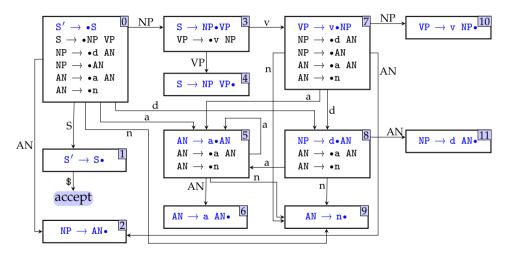
# Table driven bottom-up parsing

- The extra work done by a backtracking shift-reduce parser can be eliminated for a large class of grammars
- The general idea is the same with LL(k) grammars: preprocess the grammar to construct a table
- The class of LR(k) (scanning from *Left-to-right*, producing a *Rrightmost derivation*) grammars can be parsed deterministically using k lookahead symbols
- k = 1 is most common, LR(0) parser are also useful in some cases, larger k allows expressive grammars
- LL(k) grammars are a subset of LR(k) grammars
- Most practical programming language compilers are LR(1) parsers
- LR(k) parsers are difficult to build manually, but tools that take a CF grammar and construct and LR(1) parser are in common user (e.g., yacc)

## Dotted rules, or 'items', (again) and augmented grammars

- An LR parser keeps a set of states (actually a finite-state automaton) to represent the current parser state during parsing
- An LR parser's states are sets of 'dotted rules' similar to Early or chart parsers we discussed earlier
  - $A \rightarrow \bullet \alpha$
  - $\ \mathtt{A} \to \alpha {\scriptstyle \bullet} \, \beta$
  - A  $\rightarrow \alpha \bullet$
- We also introduce a new start symbol, with a single production  $S' \to S$
- This rule helps parser to determine when to stop: the parser accepts the input only when reducing S to S'

#### LR(0) automaton



#### Shift-reduce parsing with LR(0) automaton

- The simplest version of the LR parsers uses LR(0) automaton to guide the parsing decisions
  - Use a stack to keep track of active states
  - Start with state 0
  - If there is an outgoing edge labeled with the current input, shift: push the target state to the stack
  - Otherwise reduce based on contents of the current state. For example, if the current state contains S → NP VP•,
    - pop two symbols (for NP and VP) from the stack
    - push the state reachable through S from the state on the top of the stack

| state | ACTION                               |   |   |   |   | GO | ГО |    |    |
|-------|--------------------------------------|---|---|---|---|----|----|----|----|
|       |                                      | a | d | n | v | S  | NP | VP | AN |
| 0     | shift                                | 5 | 8 | 9 | e | 1  | 3  |    | 2  |
| 1     | $\operatorname{reduce} S' \ \to \ S$ |   |   |   |   |    |    |    |    |
| 2     | $reduce\ NP\ \rightarrow\ AN$        |   |   |   |   |    |    |    |    |
| 3     | shift                                | e | e | e | 7 |    |    | 4  |    |
| 4     | $reduceS\rightarrowNPVP$             |   |   |   |   |    |    |    |    |
| 5     | shift                                | 5 | e | 9 | e |    |    |    | 6  |
| 6     | $reduce\ AN\ \rightarrow\ a\ AN$     |   |   |   |   |    |    |    |    |
| 7     | shift                                | 5 | 8 | 9 | e |    | 10 |    | 2  |
| 8     | shift                                | 5 | e | 9 | e |    |    |    | 11 |
| 9     | $reduce\ AN\ \rightarrow\ n$         |   |   |   |   |    |    |    |    |
| 10    | $reduce\ VP\ \rightarrow\ v\ NP$     |   |   |   |   |    |    |    |    |
| 11    | $reduce\ NP\ \rightarrow\ d\ AN$     |   |   |   |   |    |    |    |    |

| s     | tate   | ACTIO  | ON   |   |   |   |       | GO: | ГО   |        |    |
|-------|--------|--------|--|---|---|---|-------|-----|------|--------|----|
|       |        |        |  | a | d | n | v     | S   | NI   | P VP   | AN |
|       | 0      | shift  |  | 5 | 8 | 9 | e     | 1   | 3    | e      | 2  |
|       | 1      |        | $e\: S' \: 	o \: S$                          |   |   |   |       |     |      |        |    |
|       | 2      |        | $\mathrm{e}\:\mathrm{NP}\: 	o \:\mathrm{AN}$ |   |   |   |       |     |      |        |    |
|       | 3      | shift  |  | e | e | e | 7     | e   |      | 4      |    |
|       | 4<br>5 |        | $e S \rightarrow NP VP$                      |   |   |   |       |     |      |        |    |
|       |        | shift  |  | 5 | e | 9 | e     |     |      |        | 6  |
|       | 6      |        | $e AN \rightarrow a AN$                      | _ |   |   |       |     |      |        | _  |
|       | 7      | shift  |  | 5 | 8 | 9 | e     |     | 10   |        | 2  |
|       | 8      | shift  |  | 5 | e | 9 | e     |     |      |        | 11 |
|       | 9      |        | $eAN \rightarrow n$                          |   |   |   |       |     |      |        |    |
|       |        |        | $e VP \rightarrow v NP$                      |   |   |   |       |     |      |        |    |
|       | 11     | reduce | $e NP \rightarrow d AN$                      |   |   |   |       |     |      |        |    |
| Stack |        |        | Sent. Form                                   |   |   |   |       | Inf | UT   | Action |    |
| 0     |        |        |  |   |   | Ċ | l n v | ar  | ւ \$ | shift  |    |

| stat  | e ACTIO | ON   |        |   |   |     | GO] | ГО   |        |    |
|-------|---------|--|--------|---|---|-----|-----|------|--------|----|
|       |         |  | a      | d | n | v   | S   | N    | P VP   | AN |
|       | 0 shift |  | 5      | 8 | 9 | e   | 1   | 3    | e      | 2  |
|       | 1 reduc | $\operatorname{e} \operatorname{S}' \ 	o \ \operatorname{S}$ |        |   |   |     |     |      |        |    |
|       |         | e NP $ ightarrow$ AN   |        |   |   |     |     |      |        |    |
|       | 3 shift |  | e      | e | e | 7   | e   |      | 4      |    |
|       |         | eS	oNPVP   |        |   |   |     |     |      |        |    |
| ļ     | 5 shift |  | 5      | e | 9 | e   |     |      |        | 6  |
|       |         | $e AN \rightarrow a AN$                                      |        |   |   |     |     |      |        |    |
|       | 7 shift |  | 5<br>5 | 8 | 9 | e   |     | 10   | )      | 2  |
|       | 8 shift |  | 5      | e | 9 | e   |     |      |        | 11 |
|       |         | e AN $ ightarrow$ n  |        |   |   |     |     |      |        |    |
| 10    |         | e VP $ ightarrow$ v NP                                       |        |   |   |     |     |      |        |    |
| 1     | 1 reduc | $e NP \rightarrow d AN$                                      |        |   |   |     |     |      |        |    |
| Stack |         | Sent. Form   |        |   |   |     | Inp | UT   | Action |    |
| 0 8   |         | d  |        |   |   | n v | ar  | 1 \$ | shift  |    |

| sta   | ite | ACTIO  | ON   |        |   |   |   | GO: | ГО     |                 |    |
|-------|-----|--------|--|--------|---|---|---|-----|--------|-----------------|----|
|       |     |        |  | a      | d | n | v | S   | NP     | VP              | AN |
|       | 0   | shift  |  | 5      | 8 | 9 | e | 1   | 3      | e               | 2  |
|       | 1   | reduce | eS' ightarrowS                               |        |   |   |   |     |        |                 |    |
|       | 2   | reduce | $e NP \rightarrow AN$                        |        |   |   |   |     |        |                 |    |
|       | 3   | shift  |  | e      | e | e | 7 | e   |        | <b>4</b>        |    |
|       | 4   |        | $e S \rightarrow NP VI$                      |        |   |   |   |     |        |                 |    |
|       | 5   | shift  |  | 5      | e | 9 | e |     |        |                 | 6  |
|       | 6   |        | $e  \mathrm{AN}  	o  \mathrm{a}  \mathrm{A}$ |        |   |   |   |     |        |                 |    |
|       | 7   | shift  |  | 5<br>5 | 8 | 9 | e |     | 10     |                 | 2  |
|       | 8   | shift  |  | 5      | e | 9 | e |     |        |                 | 11 |
|       | 9   |        | $eAN \rightarrow n$                          | _      |   |   |   |     |        |                 |    |
|       | 10  |        | $e VP \rightarrow v N$                       |        |   |   |   |     |        |                 |    |
|       | 11  | reduce | $e NP \rightarrow d A$                       | N      |   |   |   |     |        |                 |    |
| Бтаск |     |        | Sent. Form                                   |        |   |   |   | Inf | ut A   | .CTION          |    |
| 89    |     |        | d n  |        |   |   | 7 | ar  | 1 \$ A | $N \rightarrow$ | n  |

| st     | ate    | ACTIO  | ON   |        |   |   |   | GO. | ГО   |                  |      |
|--------|--------|--------|--|--------|---|---|---|-----|------|------------------|------|
|        |        |        |  | a      | d | n | v | S   | NI   | P VP             | AN   |
|        | 0      | shift  |  | 5      | 8 | 9 | e | 1   | 3    | e                | 2    |
|        | 1      | reduce | $\operatorname{e} \operatorname{S}'  	o  \operatorname{S}$ |        |   |   |   |     |      |                  |      |
|        | 2      | reduce | e NP $ ightarrow$ AN                                       |        |   |   |   |     |      |                  |      |
|        | 3      | shift  |  | e      | e | e | 7 | e   |      | 4                |      |
|        | 4<br>5 |        | $e S \rightarrow NP VP$                                    |        |   |   |   |     |      |                  |      |
|        |        | shift  |  | 5      | e | 9 | e |     |      |                  | 6    |
|        | 6      |        | $e AN \rightarrow a AN$                                    |        |   |   |   |     |      |                  |      |
|        | 7      | shift  |  | 5<br>5 | 8 | 9 | e |     | 10   | )                | 2    |
|        | 8      | shift  |  | 5      | e | 9 | e |     |      |                  | 11   |
|        | 9      |        | $e AN \rightarrow n$                                       |        |   |   |   |     |      |                  |      |
|        |        |        | $e VP \rightarrow v NP$                                    |        |   |   |   |     |      |                  |      |
|        | 11     | reduce | $e NP \rightarrow d AN$                                    |        |   |   |   |     |      |                  |      |
| Stack  |        |        | Sent. Form   |        |   | · | · | Inf | TU   | Action           |      |
| 0 8 11 |        |        | d AN   |        |   |   | 7 | ar  | ւ \$ | $NP \rightarrow$ | d AN |

| st    | tate   | ACTIO  | ON  |        |   |   |          | GO] | ГО   |        |    |
|-------|--------|--------|---|--------|---|---|----------|-----|------|--------|----|
|       |        |        |   | a      | d | n | v        | S   | NI   | P VP   | AN |
|       | 0      | shift  |   | 5      | 8 | 9 | e        | 1   | 3    | e      | 2  |
|       | 1      |        | eS' ightarrowS                                |        |   |   |          |     |      |        |    |
|       | 2      |        | $\mathrm{e}\:\mathrm{NP}\: 	o \:\mathrm{AN}$  |        |   |   |          |     |      |        |    |
|       | 3      | shift  |   | e      | e | e | 7        | e   |      | 4      |    |
|       | 4<br>5 | reduce | $e\: S \: 	o \: NP\: VP$                      |        |   |   |          |     |      |        |    |
|       |        | shift  |   | 5      | e | 9 | e        |     |      |        | 6  |
|       | 6      |        | $e  \mathrm{AN}  	o  \mathrm{a}  \mathrm{AN}$ |        |   |   |          |     |      |        |    |
|       | 7      | shift  |   | 5<br>5 | 8 | 9 | e        |     | 10   | )      | 2  |
|       | 8      | shift  |   | 5      | e | 9 | e        |     |      |        | 11 |
|       | 9      |        | $e~{ m AN}~ ightarrow~{ m n}$                 |        |   |   |          |     |      |        |    |
|       | 10     |        | ${ m e~VP~} ightarrow { m v~NP}$              |        |   |   |          |     |      |        |    |
|       | 11     | reduce | $e NP \rightarrow d AN$                       |        |   |   |          |     |      |        |    |
| Stack |        |        | Sent. Form                                    |        |   |   | <u> </u> | Inp | TU   | Action |    |
| 0 3   |        |        | NP  |        |   |   | 7        | ar  | 1 \$ | shift  |    |

| st    | ate | ACTIO  | ON   |        |   |   |              | GO. | ГО   |    |       |    |
|-------|-----|--------|--|--------|---|---|--------------|-----|------|----|-------|----|
|       |     |        |  | a      | d | n | $\mathbf{v}$ | S   | N    | P  | VP    | AN |
|       | 0   | shift  |  | 5      | 8 | 9 | e            | 1   | 3    | ,  | e     | 2  |
|       | 1   | reduce | $e\: S'\: 	o \: S$                           |        |   |   |              |     |      |    |       |    |
|       | 2   | reduce | $\mathrm{e}\mathrm{NP} ightarrow\mathrm{AN}$ |        |   |   |              |     |      |    |       |    |
|       | 3   | shift  |  | e      | e | e | 7            | e   |      |    | 4     |    |
|       | 4   | reduce | $e S \rightarrow NP VP$                      |        |   |   |              |     |      |    |       |    |
|       | 5   | shift  |  | 5      | e | 9 | e            |     |      |    |       | 6  |
|       | 6   | reduce | $e AN \rightarrow a AN$                      |        |   |   |              |     |      |    |       |    |
|       | 7   | shift  |  | 5<br>5 | 8 | 9 | e            |     | 10   | )  |       | 2  |
|       | 8   | shift  |  | 5      | e | 9 | e            |     |      |    |       | 11 |
|       | 9   | reduce | $\mathrm{e}\mathrm{AN} ightarrow\mathrm{n}$  |        |   |   |              |     |      |    |       |    |
|       | 10  |        | ${ m e \ VP \  ightarrow v \ NP}$            |        |   |   |              |     |      |    |       |    |
|       | 11  | reduce | $e NP \rightarrow d AN$                      |        |   |   |              |     |      |    |       |    |
| Stack |     |        | Sent. Form                                   |        |   |   | ·            | Inf | TU   | Ac | CTION |    |
| 0 3 7 |     |        | NP v   |        |   |   |              | a r | ւ \$ | sh | ift   |    |

| state | ACTION  |        |   |   |   | GO] | Ю    |        |    |
|-------|---|--------|---|---|---|-----|------|--------|----|
|       |   | a      | d | n | v | S   | NI   | P VP   | AN |
| 0     | shift   | 5      | 8 | 9 | e | 1   | 3    | e      | 2  |
| 1     | $\operatorname{reduce}\nolimits \operatorname{S}\nolimits' \ \to \ \operatorname{S}\nolimits$ |        |   |   |   |     |      |        |    |
| 2     | $\text{reduce NP}  \to  \text{AN}$  |        |   |   |   |     |      |        |    |
| 3     | shift   | e      | e | e | 7 | e   |      | 4      |    |
| 4     | $\operatorname{reduce} S  \to  NP  VP$  |        |   |   |   |     |      |        |    |
| 5     | shift   | 5      | e | 9 | e |     |      |        | 6  |
| 6     | reduce AN $ ightarrow$ a AN   |        |   |   |   |     |      |        |    |
| 7     | shift   | 5<br>5 | 8 | 9 | e |     | 10   | )      | 2  |
| 8     | shift   | 5      | e | 9 | e |     |      |        | 11 |
| 9     | reduce AN $ ightarrow$ n  |        |   |   |   |     |      |        |    |
| 10    | ${\sf reduce\ VP\ \rightarrow\ v\ NP}$  |        |   |   |   |     |      |        |    |
| 11    | $\text{reduce NP}  \to  \text{d AN}$  |        |   |   |   |     |      |        |    |
| STACK | Sent. Form  |        |   |   |   | Inp | UT   | Action |    |
| 375   | NP v a  |        |   |   |   | r   | 1 \$ | shift  |    |

| state     | ACTION   |        |   |   |   | GO] | ГО |        |    |
|-----------|--|--------|---|---|---|-----|----|--------|----|
|           |  | a      | d | n | v | S   | N  | P VP   | AN |
| 0         | shift  | 5      | 8 | 9 | е | 1   | 3  | e      | 2  |
| 1         | $\operatorname{reduce} \operatorname{S}' \ \to \ \operatorname{S}$ |        |   |   |   |     |    |        |    |
| 2         | $reduce\:NP\:\to\:AN$  |        |   |   |   |     |    |        |    |
| 3         | shift  | e      | e | e | 7 | e   |    | 4      |    |
| 4         | $\operatorname{reduce} S \to NPVP$                                 |        |   |   |   |     |    |        |    |
| 5         | shift  | 5      | e | 9 | e |     |    |        | 6  |
| 6         | reduce AN $ ightarrow$ a AN  |        |   |   |   |     |    |        |    |
| 7         | shift  | 5<br>5 | 8 | 9 | e |     | 10 | )      | 2  |
| 8         | shift  | 5      | e | 9 | e |     |    |        | 11 |
| 9         | reduce AN $ ightarrow$ n   |        |   |   |   |     |    |        |    |
| 10        | reduce $	ext{VP}  	o  	ext{v NP}$                                  |        |   |   |   |     |    |        |    |
| 11        | $reduce NP  \rightarrow  d \; AN$                                  |        |   |   |   |     |    |        |    |
| Stack     | Sent. Form   |        |   |   |   | Inp | UT | Action |    |
| 0 3 7 5 9 | NP v a n   |        |   |   |   |     | \$ | shift  |    |

| state     | ACTION  |        |   |   |   | GO] | Ю    |                 |    |
|-----------|---|--------|---|---|---|-----|------|-----------------|----|
|           |   | a      | d | n | v | S   | NP   | VP              | AN |
| 0         | shift   | 5      | 8 | 9 | e | 1   | 3    | е               | 2  |
| 1         | $\operatorname{reduce}\nolimits \operatorname{S}\nolimits'  \to  \operatorname{S}\nolimits$ |        |   |   |   |     |      |                 |    |
| 2         | ${\sf reduce}\;{\sf NP}\;\rightarrow\;{\sf AN}$   |        |   |   |   |     |      |                 |    |
| 3         | shift   | e      | e | e | 7 | e   |      | 4               |    |
| 4         | $\operatorname{reduce} S \to NPVP$  |        |   |   |   |     |      |                 |    |
| 5         | shift   | 5      | e | 9 | e |     |      |                 | 6  |
| 6         | reduce AN $ ightarrow$ a AN   |        |   |   |   |     |      |                 |    |
| 7         | shift   | 5<br>5 | 8 | 9 | e |     | 10   |                 | 2  |
| 8         | shift   | 5      | e | 9 | e |     |      |                 | 11 |
| 9         | reduce AN $ ightarrow$ n  |        |   |   |   |     |      |                 |    |
| 10        | ${\sf reduce}\ {\sf VP}\ \to\ {\sf v}\ {\sf NP}$  |        |   |   |   |     |      |                 |    |
| 11        | $\text{reduce NP}  \to  \text{d AN}$  |        |   |   |   |     |      |                 |    |
| Stack     | Sent. Form  |        |   | · |   | Inp | ut A | CTION           |    |
| 0 3 7 5 6 | NP v a AN   |        |   |   |   |     | \$ A | $N \rightarrow$ | n  |

| state   | ACTION   |        |   |   |   | GO] | Ю    |                 |      |
|---------|--|--------|---|---|---|-----|------|-----------------|------|
|         |  | a      | d | n | v | S   | NP   | VP              | AN   |
| 0       | shift  | 5      | 8 | 9 | e | 1   | 3    | e               | 2    |
| 1       | $\operatorname{reduce} \operatorname{S}' \ \to \ \operatorname{S}$ |        |   |   |   |     |      |                 |      |
| 2       | $\text{reduce NP}  \to  \text{AN}$                                 |        |   |   |   |     |      |                 |      |
| 3       | shift  | e      | e | e | 7 | e   |      | 4               |      |
| 4       | $\operatorname{reduce} S  \to  NP  VP$                             |        |   |   |   |     |      |                 |      |
| 5       | shift  | 5      | e | 9 | e |     |      |                 | 6    |
| 6       | reduce AN $ ightarrow$ a AN  |        |   |   |   |     |      |                 |      |
| 7       | shift  | 5<br>5 | 8 | 9 | e |     | 10   |                 | 2    |
| 8       | shift  | 5      | e | 9 | e |     |      |                 | 11   |
| 9       | reduce AN $ ightarrow$ n   |        |   |   |   |     |      |                 |      |
| 10      | ${\sf reduce}\;{\sf VP}\;\rightarrow\;{\sf v}\;{\sf NP}$           |        |   |   |   |     |      |                 |      |
| 11      | $\text{reduce NP}  \to  \text{d AN}$                               |        |   |   |   |     |      |                 |      |
| Бтаск   | Sent. Form   |        |   | · |   | Inp | UT A | CTION           |      |
| 0 3 7 2 | NP v AN  |        |   |   |   |     | \$ A | $N \rightarrow$ | a AN |

| state    | ACTION  |        |   |   |   | GO] | Ю  |      |      |
|----------|---|--------|---|---|---|-----|----|------|------|
|          |   | a      | d | n | v | S   | NI | P VP | AN   |
| 0        | shift   | 5      | 8 | 9 | e | 1   | 3  | e    | 2    |
| 1        | $\operatorname{reduce}\nolimits \operatorname{S}\nolimits' \ \to \ \operatorname{S}\nolimits$ |        |   |   |   |     |    |      |      |
| 2        | $\operatorname{reduce}\nolimits\operatorname{NP}\nolimits\to\operatorname{AN}\nolimits$       |        |   |   |   |     |    |      |      |
| 3        | shift   | e      | e | e | 7 | e   |    | 4    |      |
| 4        | $\operatorname{reduce} S \to NPVP$  |        |   |   |   |     |    |      |      |
| 5        | shift   | 5      | e | 9 | e |     |    |      | 6    |
| 6        | reduce AN $\rightarrow$ a AN  |        |   |   |   |     |    |      |      |
| 7        | shift   | 5<br>5 | 8 | 9 | e |     | 10 | )    | 2    |
| 8        | shift   | 5      | e | 9 | e |     |    |      | 11   |
| 9        | reduce AN $ ightarrow$ n  |        |   |   |   |     |    |      |      |
| 10       | $reduce\;VP\tov\;NP$  |        |   |   |   |     |    |      |      |
| 11       | $reduce NP  \rightarrow  d  AN$   |        |   |   |   |     |    |      |      |
| Бтаск    | Sent. Form  |        |   |   |   | Inp | UT | Астю | N    |
| 0 3 7 10 | NP v NP   |        |   |   |   |     | \$ | NP – | → AN |

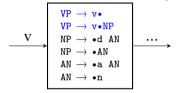
| sta   | ite       | ACTION  |                         |   | GOTO |   |   |     |       |                 |      |  |  |  |
|-------|-----------|---|-------------------------|---|------|---|---|-----|-------|-----------------|------|--|--|--|
|       |           |   |                         |   | d    | n | v | S   | NP    | VP              | AN   |  |  |  |
|       | 0         | shift $ \begin{array}{c} \text{reduce S}' \rightarrow \text{S} \\ \text{reduce NP} \rightarrow \text{AN} \\ \text{shift} \\ \text{reduce S} \rightarrow \text{NP VP} \\ \text{shift} \\ \text{reduce AN} \rightarrow \text{a AN} \\ \text{shift} \\ \text{reduce AN} \rightarrow \text{n} \\ \text{reduce VP} \rightarrow \text{v NP} \end{array} $ |                         |   | 8    | 9 | e | 1   | 3     | e               | 2    |  |  |  |
|       | 1         |   |                         |   |      |   |   |     |       |                 |      |  |  |  |
|       | 2         |   |                         |   |      |   |   |     |       |                 |      |  |  |  |
|       | 3         |   |                         |   | e    | e | 7 | e   |       | <b>4</b>        |      |  |  |  |
|       | 4<br>5    |   |                         |   |      |   |   |     |       |                 |      |  |  |  |
|       | 5         |   |                         |   | e    | 9 | e |     |       |                 | 6    |  |  |  |
|       | 6         |   |                         |   |      |   |   |     |       |                 |      |  |  |  |
|       | 7         |   |                         |   | 8    | 9 | e |     | 10    |                 | 2    |  |  |  |
|       | 8         |   |                         |   | e    | 9 | e |     |       |                 | 11   |  |  |  |
|       | 9         |   |                         |   |      |   |   |     |       |                 |      |  |  |  |
|       | 10        |   |                         |   |      |   |   |     |       |                 |      |  |  |  |
|       | 11        | reduce  | $e NP \rightarrow d AN$ | 1 |      |   |   |     |       |                 |      |  |  |  |
| Stack |           | Sent. Form  |                         |   |      |   |   | Inf | TUY A | CTION           |      |  |  |  |
| 0 3 4 | 3 4 NP VP |   |                         |   |      |   |   |     | \$ V  | $P \rightarrow$ | v NP |  |  |  |

| _     | /     |   |                         |      |   |   |   |     |    |                 |       |  |
|-------|-------|---|-------------------------|------|---|---|---|-----|----|-----------------|-------|--|
| :     | state | ACTIO   |                         | GOTO |   |   |   |     |    |                 |       |  |
|       |       |   |                         |      | d | n | v | S   | NI | P VI            | AN    |  |
|       | 0     | $\begin{array}{c} \text{shift} & \text{stift} \\ \text{reduce S}' \rightarrow \text{S} \\ \text{reduce NP} \rightarrow \text{AN} \\ \text{shift} & \text{reduce S} \rightarrow \text{NP VP} \\ \text{shift} & \text{reduce AN} \rightarrow \text{a AN} \\ \text{shift} & \text{stift} \\ \text{shift} & \text{reduce AN} \rightarrow \text{n} \\ \text{reduce AN} \rightarrow \text{n} \\ \text{reduce VP} \rightarrow \text{v NP} \end{array}$ |                         |      | 8 | 9 | e | 1   | 3  | e               | 2     |  |
|       | 1     |   |                         |      |   |   |   |     |    |                 |       |  |
|       | 2     |   |                         |      |   |   |   |     |    |                 |       |  |
|       | 3     |   |                         |      | e | e | 7 | e   |    | 4               |       |  |
|       | 4     |   |                         |      |   |   |   |     |    |                 |       |  |
|       | 5     |   |                         |      | e | 9 | e |     |    |                 | 6     |  |
|       | 6     |   |                         |      |   |   |   |     |    |                 |       |  |
|       | 7     |   |                         |      | 8 | 9 | e |     | 10 | )               | 2     |  |
|       | 8     |   |                         |      | e | 9 | e |     |    |                 | 11    |  |
|       | 9     |   |                         |      |   |   |   |     |    |                 |       |  |
|       | 10    |   |                         |      |   |   |   |     |    |                 |       |  |
|       | 11    | reduce  | $e NP \rightarrow d AN$ |      |   |   |   |     |    |                 |       |  |
| Stack |       | Sent. Form  |                         |      |   |   |   | Inf | UT | Астю            | N     |  |
| 0 1   | 1 S   |   |                         |      |   |   |   |     | \$ | $S \rightarrow$ | NP VP |  |

| st    | ate    | ACTIO   |                         | GOTO |   |   |   |     |    |    |          |    |
|-------|--------|---|-------------------------|------|---|---|---|-----|----|----|----------|----|
|       |        |   |                         | a    | d | n | v | S   | N  | P  | VP       | AN |
|       | 0      | shift $ \begin{array}{c} \text{reduce S}' \rightarrow \text{S} \\ \text{reduce NP} \rightarrow \text{AN} \\ \text{shift} \\ \text{reduce S} \rightarrow \text{NP VP} \\ \text{shift} \\ \text{reduce AN} \rightarrow \text{a AN} \\ \text{shift} \\ \text{reduce AN} \rightarrow \text{n} \\ \text{reduce VP} \rightarrow \text{v NP} \end{array} $ |                         |      | 8 | 9 | e | 1   | 3  | 3  | e        | 2  |
|       | 1      |   |                         |      |   |   |   |     |    |    |          |    |
|       | 2      |   |                         |      |   |   |   |     |    |    |          |    |
|       | 3      |   |                         |      | e | e | 7 | e   |    |    | <b>4</b> |    |
|       | 4<br>5 |   |                         |      |   |   |   |     |    |    |          |    |
|       | 5      |   |                         |      | e | 9 | e |     |    |    |          | 6  |
|       | 6      |   |                         |      |   |   |   |     |    |    |          |    |
|       | 7      |   |                         |      | 8 | 9 | e |     | 10 |    |          | 2  |
|       | 8      |   |                         |      | e | 9 | e |     |    |    |          | 11 |
|       | 9      |   |                         |      |   |   |   |     |    |    |          |    |
|       |        |   |                         |      |   |   |   |     |    |    |          |    |
|       | 11     | reduce  | $e NP \rightarrow d AN$ |      |   |   |   |     |    |    |          |    |
| Stack |        |   | Sent. Form              |      |   |   |   | Inf | UT | A  | CTION    |    |
| 0 1   | ) 1 S  |   | S                       |      |   |   |   |     | \$ | ac | cept     |    |

#### Limitations of LR(0)

- Assume we have an additional rule:  $VP \rightarrow v$
- This would lead to a LR(0) automaton entry



- We have a shift/reduce conflict
- A simple solution (SLR): shift if possible, otherwise reduce
- In general LR(0) parsers/grammars are limited, for most purposes we need more powerful parsers

#### LR parsers with lookahead

- LR(k): parsers augment the chart entries (items) with lookahead
- Lookahead allows LR(k) parser to parse a larger class of grammars
- The disadvantage is much larger chart sizes
- Another option is the LALR(k) parsers which use a smaller automaton
- LALR(1) parsers and parser generators are commonly used in practice

#### Why use xLR(k) parsers?

- LR(k) parsers general, efficient (non-backtracking) shift-reduce parsers
- LR(k) parsers can be constructed for (almost) any formal/programming language constructs
- In general LR(k) grammars are more expressive. LL(k) is a subset of LR(k)
- LR(k) parsers can detect syntax errors as soon as it is possible to detect them

#### LR grammars and ambiguity

- LR(k) parsers cannot handle ambiguity
- If a grammar is ambiguous we cannot construct an LR(k) parse table for it
- In general, determining whether a grammar is ambiguous is intractable
- This is sometimes used for a test for ambiguity:
  - If we can build a LR(k) parser for a grammar, then it is not ambiguous
  - If we cannot, it is inconclusive

## What about natural language parsing

- Natural languages are inherently ambiguous
- As a result, we cannot use these parsers for parsing natural languages
- Nevertheless, the techniques are useful
  - We can use LR-like parsers to reduce the non-determinism: GLR parsers (also known as Tomita parser)
  - Instead of a table-driven parser, we can predict the action with a machine learning method: transition-based dependency parsers do that

#### Summary

- xLR(k) parsers are powerful bottom-up deterministic parsers
- LR grammars are more general than LL grammars
- These parsers are difficult to build manually, but automatic parser generators exist
- Although they cannot handle ambiguity, the similar ideas are also used in natural language parsers to reduce the non-determinism
- Understanding the concepts here is useful for building parser generators and understanding the related natural language parsers
- Reading suggestion: grune2008, aho2007

#### Acknowledgments, references, additional reading material

blank

blank

blank