

# LL(k): Deterministic top-down parsing

Parsing  
ISCL-BA-06

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## So far ...

- Formal languages and automata
- General parsing techniques
  - Top-down – Bottom-up
  - Directional – non-directional
- Chart parsing
  - CKY
  - Early

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- Formal languages and automata
- General parsing techniques
  - Top-down – Bottom-up
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Coming next:

- Deterministic context-free parsing
- Probabilistic context-free parsing
- Dependency parsing

## Recap: top-down parsing

- General idea: try to generate the input using the grammar rules
  - Initialize with the start symbol
  - Rewrite each non terminal, replacing them with matching RHS in the grammar
  - When there are multiple options, follow one, backtrack and follow others when done
  - Repeat until input sentence is generated (or failed)
- If we always expand the left-most symbol first, the parser is directional, the resulting derivation is the left-most derivation
- Parsing proceeds with two actions:
  - predict expanding all RHS of the left-most non-terminal
  - match if the left-most item is a terminal, it has to match the next input symbol

# Top-down parsing: an example

$S \rightarrow NP VP$     $NP \rightarrow d AN$     $NP \rightarrow AN$   
 $VP \rightarrow v NP$     $AN \rightarrow a AN$     $AN \rightarrow n$

MATCHED	SENT. FORM	INPUT	ACTION
	S \$	d n v a n	init

# Top-down parsing: an example

$S \rightarrow NP VP$     $NP \rightarrow d AN$     $NP \rightarrow AN$   
 $VP \rightarrow v NP$     $AN \rightarrow a AN$     $AN \rightarrow n$

MATCHED	SENT. FORM	INPUT	ACTION
	NP VP \$	d n v a n	P: $S \rightarrow NP VP$

# Top-down parsing: an example

$S \rightarrow NP VP$     $NP \rightarrow d AN$     $NP \rightarrow AN$   
 $VP \rightarrow v NP$     $AN \rightarrow a AN$     $AN \rightarrow n$

MATCHED	SENT. FORM	INPUT	ACTION
	d AN VP \$	d n v a n	P: $NP \rightarrow d AN$
	AN VP \$	d n v a n	P: $NP \rightarrow AN$

# Top-down parsing: an example

$S \rightarrow NP VP$     $NP \rightarrow d AN$     $NP \rightarrow AN$   
 $VP \rightarrow v NP$     $AN \rightarrow a AN$     $AN \rightarrow n$

MATCHED	SENT. FORM	INPUT	ACTION
	d AN VP \$	d n v a n	P: $NP \rightarrow d AN$
	n VP \$	d n v a n	P: $AN \rightarrow n$
	a AN VP \$	d n v a n	P: $AN \rightarrow a AN$



# Top-down parsing: an example

$S \rightarrow NP VP$     $NP \rightarrow d AN$     $NP \rightarrow AN$   
 $VP \rightarrow v NP$     $AN \rightarrow a AN$     $AN \rightarrow n$

MATCHED	SENT. FORM	INPUT	ACTION
	d AN VP \$	d n v a n	P: $NP \rightarrow d AN$
	n VP \$	d n v a n	P: $AN \rightarrow n$
	a AN VP \$	d n v a n	P: match ✗

# Top-down parsing: an example

$S \rightarrow NP VP$     $NP \rightarrow d AN$     $NP \rightarrow AN$   
 $VP \rightarrow v NP$     $AN \rightarrow a AN$     $AN \rightarrow n$

MATCHED	SENT. FORM	INPUT	ACTION
	d AN VP \$	d n v a n	P: $NP \rightarrow d AN$
	n VP \$	d n v a n	P: match ✗

# Top-down parsing: an example

$S \rightarrow NP VP$     $NP \rightarrow d AN$     $NP \rightarrow AN$   
 $VP \rightarrow v NP$     $AN \rightarrow a AN$     $AN \rightarrow n$

MATCHED	SENT. FORM	INPUT	ACTION
d	AN VP \$	n v a n	match d

# Top-down parsing: an example

$S \rightarrow NP VP$     $NP \rightarrow d AN$     $NP \rightarrow AN$   
 $VP \rightarrow v NP$     $AN \rightarrow a AN$     $AN \rightarrow n$

MATCHED	SENT. FORM	INPUT	ACTION
d	d VP \$	n v a n	P: $AN \rightarrow n$
n	a AN VP \$	n v a n	P: $AN \rightarrow a AN$

# Top-down parsing: an example

$S \rightarrow NP VP$     $NP \rightarrow d AN$     $NP \rightarrow AN$   
 $VP \rightarrow v NP$     $AN \rightarrow a AN$     $AN \rightarrow n$

MATCHED	SENT. FORM	INPUT	ACTION
d	d VP \$	n v a n	P: $AN \rightarrow n$
n	a AN VP \$	n v a n	P: match ✗

# Top-down parsing: an example

$S \rightarrow NP VP$     $NP \rightarrow d AN$     $NP \rightarrow AN$   
 $VP \rightarrow v NP$     $AN \rightarrow a AN$     $AN \rightarrow n$

MATCHED	SENT. FORM	INPUT	ACTION
d n	VP \$	v a n	match n

# Top-down parsing: an example

$S \rightarrow NP VP$     $NP \rightarrow d AN$     $NP \rightarrow AN$   
 $VP \rightarrow v NP$     $AN \rightarrow a AN$     $AN \rightarrow n$

MATCHED	SENT. FORM	INPUT	ACTION
d n	v NP \$	v a n	P: $VP \rightarrow v NP$

# Top-down parsing: an example

$S \rightarrow NP VP$     $NP \rightarrow d AN$     $NP \rightarrow AN$   
 $VP \rightarrow v NP$     $AN \rightarrow a AN$     $AN \rightarrow n$

MATCHED	SENT. FORM	INPUT	ACTION
d n v	NP \$	a n	match v



# Top-down parsing: an example

$S \rightarrow NP VP$     $NP \rightarrow d AN$     $NP \rightarrow AN$   
 $VP \rightarrow v NP$     $AN \rightarrow a AN$     $AN \rightarrow n$

MATCHED	SENT. FORM	INPUT	ACTION
d n v	AN \$	a n	P: $NP \rightarrow AN$
d n v	d AN \$	a n	P: $NP \rightarrow d AN$

# Top-down parsing: an example

$S \rightarrow NP VP$     $NP \rightarrow d AN$     $NP \rightarrow AN$   
 $VP \rightarrow v NP$     $AN \rightarrow a AN$     $AN \rightarrow n$

MATCHED	SENT. FORM	INPUT	ACTION
d n v	AN \$	a n	P: $NP \rightarrow AN$
d n v	<b>d</b> AN \$	<b>a</b> n	P: match ✗

# Top-down parsing: an example

$S \rightarrow NP VP$     $NP \rightarrow d AN$     $NP \rightarrow AN$   
 $VP \rightarrow v NP$     $AN \rightarrow a AN$     $AN \rightarrow n$

MATCHED	SENT. FORM	INPUT	ACTION
d n v	a AN \$	a n	P: $AN \rightarrow a AN$
d n v	n \$	a n	P: $AN \rightarrow n$

# Top-down parsing: an example

$S \rightarrow NP VP$     $NP \rightarrow d AN$     $NP \rightarrow AN$   
 $VP \rightarrow v NP$     $AN \rightarrow a AN$     $AN \rightarrow n$

MATCHED	SENT. FORM	INPUT	ACTION
d n v	a AN \$	a n	P: $AN \rightarrow a AN$
d n v	n \$	a n	P: match ✗

# Top-down parsing: an example

$S \rightarrow NP VP$     $NP \rightarrow d AN$     $NP \rightarrow AN$   
 $VP \rightarrow v NP$     $AN \rightarrow a AN$     $AN \rightarrow n$

MATCHED	SENT. FORM	INPUT	ACTION
d n v a	AN \$	n	match a

# Top-down parsing: an example

$S \rightarrow NP VP$     $NP \rightarrow d AN$     $NP \rightarrow AN$   
 $VP \rightarrow v NP$     $AN \rightarrow a AN$     $AN \rightarrow n$

MATCHED	SENT. FORM	INPUT	ACTION
d n v a	n \$	n	P: $AN \rightarrow n$

# Top-down parsing: an example

$S \rightarrow NP VP$     $NP \rightarrow d AN$     $NP \rightarrow AN$   
 $VP \rightarrow v NP$     $AN \rightarrow a AN$     $AN \rightarrow n$

MATCHED	SENT. FORM	INPUT	ACTION
d n v a	n \$	n	P: $AN \rightarrow n$
n	a AN \$	n	P: $AN \rightarrow a AN$

# Top-down parsing: an example

$S \rightarrow NP VP$     $NP \rightarrow d AN$     $NP \rightarrow AN$   
 $VP \rightarrow v NP$     $AN \rightarrow a AN$     $AN \rightarrow n$

MATCHED	SENT. FORM	INPUT	ACTION
d n v a	n \$	n	P: $AN \rightarrow n$
n	a AN \$	n	match ✗



# Top-down parsing: an example

$S \rightarrow NP VP$     $NP \rightarrow d AN$     $NP \rightarrow AN$   
 $VP \rightarrow v NP$     $AN \rightarrow a AN$     $AN \rightarrow n$

MATCHED	SENT. FORM	INPUT	ACTION
d n v a n	\$		match n

# Top-down parsing

- If we follow the predicted productions, we obtain a *leftmost* derivation
- Lots of unnecessary work, backtracking because of useless predictions
- Most of the unnecessary work is done in *predict*
- In this lecture we will look at ways to reduce this
- For some grammars, the unnecessary predictions can be completely avoided, resulting in a *deterministic* parser

# Recursive descent parser

- *Recursive descent* parsers are top-down, recursive parsers where each non-terminal is implemented as a procedure
- For each symbol on a RHS, we either
  - call the sub-procedure (another nonterminal)
  - or match the input symbol

```
1: procedure A( )
2:   select a rule  $A \rightarrow X_1, \dots, X_k$ 
3:   for  $i = 1$  to  $k$  do
4:     if  $X_i$  is a nonterminal then
5:       call  $X_i()$ 
6:     else if  $X_i =$  current input then
7:       advance the input pointer
8:     else
9:       return error
```

# Recursive descent parser

## some remarks

- The interesting idea is that now the parser is a program in a(ny) programming language
- In its general form a recursive descent parser is a backtracking parser
- If we can select a rule deterministically, then we can get a deterministic parser
- Deterministic parsing generally requires a *lookahead* mechanism:
  - Given the non-terminal to expand/rewrite, and the next input symbol(s), for some grammars, we can build a table that can deterministically guide a parser

# Table driven parsing

$$\begin{array}{lll} S \rightarrow NP VP & NP \rightarrow d AN & NP \rightarrow AN \\ VP \rightarrow v NP & AN \rightarrow a AN & AN \rightarrow n \end{array}$$

non-term.	input (lookahead)				
	d	a	n	v	\$
S	$S \rightarrow NP VP$	$S \rightarrow NP VP$	$S \rightarrow NP VP$	$S \rightarrow NP VP$	
NP	$NP \rightarrow d AN$	$NP \rightarrow AN$	$NP \rightarrow AN$		
VP				$VP \rightarrow v NP$	
AN		$AN \rightarrow a AN$	$AN \rightarrow n$		

# Table driven parsing: example

non-term.	input (lookahead)				
	d	a	n	v	\$
S	$S \rightarrow NP VP$	$S \rightarrow NP VP$	$S \rightarrow NP VP$	$S \rightarrow NP VP$	
NP	$NP \rightarrow d AN$	$NP \rightarrow AN$	$NP \rightarrow AN$		
VP				$VP \rightarrow v NP$	
AN		$AN \rightarrow a AN$	$AN \rightarrow n$		

MATCHED	SENT. FORM	INPUT	ACTION
	S \$	d n v a n	init

# Table driven parsing: example

non-term.	input (lookahead)				
	d	a	n	v	\$
S	$S \rightarrow NP VP$	$S \rightarrow NP VP$	$S \rightarrow NP VP$	$S \rightarrow NP VP$	
NP	$NP \rightarrow d AN$	$NP \rightarrow AN$	$NP \rightarrow AN$		
VP				$VP \rightarrow v NP$	
AN		$AN \rightarrow a AN$	$AN \rightarrow n$		

MATCHED	SENT. FORM	INPUT	ACTION
	NP VP \$	d n v a n	P: $S \rightarrow NP VP$

# Table driven parsing: example

non-term.	input (lookahead)				
	d	a	n	v	\$
S	$S \rightarrow NP VP$	$S \rightarrow NP VP$	$S \rightarrow NP VP$	$S \rightarrow NP VP$	
NP	$NP \rightarrow d AN$	$NP \rightarrow AN$	$NP \rightarrow AN$		
VP				$VP \rightarrow v NP$	
AN		$AN \rightarrow a AN$	$AN \rightarrow n$		

MATCHED	SENT. FORM	INPUT	ACTION
	d AN VP \$	d n v a n	P: $NP \rightarrow d AN$



# Table driven parsing: example

non-term.	input (lookahead)				
	d	a	n	v	\$
S	$S \rightarrow NP VP$	$S \rightarrow NP VP$	$S \rightarrow NP VP$	$S \rightarrow NP VP$	
NP	$NP \rightarrow d AN$	$NP \rightarrow AN$	$NP \rightarrow AN$		
VP				$VP \rightarrow v NP$	
AN		$AN \rightarrow a AN$	$AN \rightarrow n$		

MATCHED	SENT. FORM	INPUT	ACTION
d	AN VP \$	n v a n	match d

# Table driven parsing: example

non-term.	input (lookahead)				
	d	a	n	v	\$
S	$S \rightarrow NP VP$	$S \rightarrow NP VP$	$S \rightarrow NP VP$	$S \rightarrow NP VP$	
NP	$NP \rightarrow d AN$	$NP \rightarrow AN$	$NP \rightarrow AN$		
VP				$VP \rightarrow v NP$	
AN		$AN \rightarrow a AN$	$AN \rightarrow n$		

MATCHED	SENT. FORM	INPUT	ACTION
d	n VP \$	n v a n	P: $AN \rightarrow n$

# Table driven parsing: example

non-term.	input (lookahead)				
	d	a	n	v	\$
S	$S \rightarrow NP VP$	$S \rightarrow NP VP$	$S \rightarrow NP VP$	$S \rightarrow NP VP$	
NP	$NP \rightarrow d AN$	$NP \rightarrow AN$	$NP \rightarrow AN$		
VP				$VP \rightarrow v NP$	
AN		$AN \rightarrow a AN$	$AN \rightarrow n$		

MATCHED	SENT. FORM	INPUT	ACTION
d n	VP \$	v a n	match n

# Table driven parsing: example

non-term.	input (lookahead)				
	d	a	n	v	\$
S	$S \rightarrow NP VP$	$S \rightarrow NP VP$	$S \rightarrow NP VP$	$S \rightarrow NP VP$	
NP	$NP \rightarrow d AN$	$NP \rightarrow AN$	$NP \rightarrow AN$		
VP				$VP \rightarrow v NP$	
AN		$AN \rightarrow a AN$	$AN \rightarrow n$		

MATCHED	SENT. FORM	INPUT	ACTION
d n	v NP \$	v a n	P: $VP \rightarrow v NP$

# Table driven parsing: example

non-term.	input (lookahead)				
	d	a	n	v	\$
S	$S \rightarrow NP VP$	$S \rightarrow NP VP$	$S \rightarrow NP VP$	$S \rightarrow NP VP$	
NP	$NP \rightarrow d AN$	$NP \rightarrow AN$	$NP \rightarrow AN$		
VP				$VP \rightarrow v NP$	
AN		$AN \rightarrow a AN$	$AN \rightarrow n$		

MATCHED	SENT. FORM	INPUT	ACTION
d n v	NP \$	a n	match v

# Table driven parsing: example

non-term.	input (lookahead)				
	d	a	n	v	\$
S	$S \rightarrow NP VP$	$S \rightarrow NP VP$	$S \rightarrow NP VP$	$S \rightarrow NP VP$	
NP	$NP \rightarrow d AN$	$NP \rightarrow AN$	$NP \rightarrow AN$		
VP				$VP \rightarrow v NP$	
AN		$AN \rightarrow a AN$	$AN \rightarrow n$		

MATCHED	SENT. FORM	INPUT	ACTION
d n v	AN \$	a n	P: $NP \rightarrow AN$

# Table driven parsing: example

non-term.	input (lookahead)				
	d	a	n	v	\$
S	$S \rightarrow NP VP$	$S \rightarrow NP VP$	$S \rightarrow NP VP$	$S \rightarrow NP VP$	
NP	$NP \rightarrow d AN$	$NP \rightarrow AN$	$NP \rightarrow AN$		
VP				$VP \rightarrow v NP$	
AN		$AN \rightarrow a AN$	$AN \rightarrow n$		

MATCHED	SENT. FORM	INPUT	ACTION
d n v	a AN \$	a n	P: $AN \rightarrow a AN$

# Table driven parsing: example

non-term.	input (lookahead)				
	d	a	n	v	\$
S	$S \rightarrow NP VP$	$S \rightarrow NP VP$	$S \rightarrow NP VP$	$S \rightarrow NP VP$	
NP	$NP \rightarrow d AN$	$NP \rightarrow AN$	$NP \rightarrow AN$		
VP				$VP \rightarrow v NP$	
AN		$AN \rightarrow a AN$	$AN \rightarrow n$		

MATCHED	SENT. FORM	INPUT	ACTION
d n v a	AN \$	n	match a



# Table driven parsing: example

non-term.	input (lookahead)				
	d	a	n	v	\$
S	$S \rightarrow NP VP$	$S \rightarrow NP VP$	$S \rightarrow NP VP$	$S \rightarrow NP VP$	
NP	$NP \rightarrow d AN$	$NP \rightarrow AN$	$NP \rightarrow AN$		
VP				$VP \rightarrow v NP$	
AN		$AN \rightarrow a AN$	$AN \rightarrow n$		

MATCHED	SENT. FORM	INPUT	ACTION
d n v a	n \$	n	P: $AN \rightarrow n$

# Table driven parsing: example

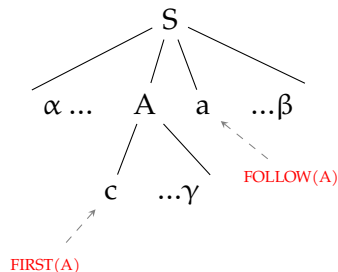
non-term.	input (lookahead)				
	d	a	n	v	\$
S	$S \rightarrow NP VP$	$S \rightarrow NP VP$	$S \rightarrow NP VP$	$S \rightarrow NP VP$	
NP	$NP \rightarrow d AN$	$NP \rightarrow AN$	$NP \rightarrow AN$		
VP				$VP \rightarrow v NP$	
AN		$AN \rightarrow a AN$	$AN \rightarrow n$		

MATCHED	SENT. FORM	INPUT	ACTION
d n v a n	\$		match n

# FIRST and FOLLOW sets

- FIRST and FOLLOW sets are useful for both top-down and bottom-up table driven parsers
- FIRST set of a non-terminal  $A$ ,  $\text{FIRST}(A)$ , is the set of initial terminal symbols of all strings generated by  $A$
- FOLLOW set of a non-terminal  $A$ ,  $\text{FOLLOW}(A)$ , is the set of initial terminals that may follow any  $A$  according to the grammar
- Both sets generalize to any sentential form
- FIRST and FOLLOW sets are also useful for error recovery during parsing



# Computing the FIRST set

- The FIRST set of a terminal symbols contains only itself
- To compute the FIRST sets of nonterminals, repeat the following until no new symbols are added to any of the sets
  1. For each rule  $X \rightarrow Y_1 Y_2 \dots Y_k$  in the grammar,
    - place all terminals in  $\text{FIRST}(Y_i)$  if  $Y_1 Y_2 \dots Y_{i-1} \xRightarrow{*} \epsilon$
    - if  $\epsilon$  is in all  $\text{FIRST}(Y_i)$  for all  $i = 1, \dots, k$ , add  $\epsilon$  to  $\text{FIRST}(X)$
  2. if the rule processed is  $X \rightarrow \epsilon$ , add  $\epsilon$  to  $\text{FIRST}(X)$
- Then, FIRST set of any sentential form,  $\text{FIRST}(X_1 X_2 \dots X_k)$  can be computed:
  - For  $i = 1, \dots, k$ 
    1. Add all non- $\epsilon$  symbols from  $X_i$  to  $\text{FIRST}(X_1 X_2 \dots X_k)$
    2. If  $\epsilon \notin \text{FIRST}(X_i)$ , stop
  - if  $\epsilon \in \text{FIRST}(X_i)$  for all  $i = 1, \dots, k$ , add  $\epsilon$  to  $\text{FIRST}(X_1 X_2 \dots X_k)$

# Computing the FOLLOW set

- Calculate the FIRST sets
  1. Place \$ in the FOLLOW(S)
  2. For a production  $A \rightarrow \alpha B \beta$ , add everything in  $\text{FIRST}(\beta)$  except  $\epsilon$  to  $\text{FOLLOW}(B)$
  3. For a production  $A \rightarrow \alpha B$ , or  $A \rightarrow \alpha B \beta$  where  $\text{FIRST}(\beta)$  contains  $\epsilon$ , add all items in  $\text{FOLLOW}(A)$  to  $\text{FOLLOW}(B)$
  4. Repeat 3 until no more items are added to any of the FOLLOW sets

# LL(1) grammars

- A grammar is called an LL(1) grammar, if we can find a table similar to our example:
  - If there is only a single prediction for each (non-terminal, lookahead) pair, then the grammar is an LL(1) grammar
- L's stand for *Left-to-right* and *Leftmost derivation*, (1) indicates the number of lookahead symbols needed
- If we increase the number of lookahead symbols, we get LL(k) grammars
- LL(k) grammar can be parsed with a top-down parser without backtracking
- Not every context free grammar is LL(k)
- But, programming language grammars are mostly LL(1)

# LL(1) grammars

## formal definition

- If a grammar is LL(1) then whenever  $A \rightarrow \alpha$  and  $A \rightarrow \beta$  are two rules in the grammar, then
  - The sets of non-terminals of strings derived from  $\alpha$  and  $\beta$  are disjoint
  - Only one (or none) of  $\alpha$  and  $\beta$  can derive the empty string
  - If  $\beta \xRightarrow{*} \epsilon$ ,  $\alpha$  cannot start with a terminal that may follow  $A$
- In other words:
  - $\text{FIRST}(\alpha)$  and  $\text{FIRST}(\beta)$  are disjoint
  - if  $\epsilon$  is in  $\text{FIRST}(\alpha)$ , then  $\text{FIRST}(\beta)$  and  $\text{FOLLOW}(A)$  are disjoint sets

# Construction of LL(1) table

- If there are no  $\epsilon$  productions, the table can be easily constructed from the FIRST sets
- Otherwise, after computing FIRST and FOLLOW sets, the following procedure fills the LL(1) table
  - For each rule  $A \rightarrow \alpha$  in the grammar
    1. For each terminal  $a$  in  $\text{FIRST}(\alpha)$ , add  $A \rightarrow \alpha$  to table cell  $[A, a]$
    2. If  $\epsilon$  is in  $\text{FIRST}(\alpha)$ , then for each terminal  $b$  in  $\text{FOLLOW}(A)$  add  $A \rightarrow \alpha$  to table cell  $[A, b]$



# Example

calculating FIRST sets

$$S \rightarrow BA \quad A \rightarrow aBA \mid \epsilon \quad B \rightarrow CD \quad D \rightarrow bCD \mid \epsilon \quad C \rightarrow cSc \mid d$$

- Repeat until no additions

- For each  $X \rightarrow Y_1 Y_2 \dots Y_k$ 
  - place all terminals in  $\text{FIRST}(Y_i)$  if  $Y_1 Y_2 \dots Y_{i-1} \xRightarrow{*} \epsilon$
  - if  $\epsilon$  is in all  $\text{FIRST}(Y_i)$  for all  $i = 1, \dots, k$ , add  $\epsilon$  to  $\text{FIRST}(X)$
- if the rule processed is  $X \rightarrow \epsilon$ , add  $\epsilon$  to  $\text{FIRST}(X)$

 $\text{FIRST}(S) =$ 
 $\text{FIRST}(A) =$ 
 $\text{FIRST}(B) =$ 
 $\text{FIRST}(C) =$ 
 $\text{FIRST}(D) =$

# Example

## calculating FIRST sets

$$S \rightarrow BA \quad A \rightarrow aBA \mid \epsilon \quad B \rightarrow CD \quad D \rightarrow bCD \mid \epsilon \quad C \rightarrow cSc \mid d$$

- Repeat until no additions

- For each  $X \rightarrow Y_1 Y_2 \dots Y_k$ 
  - place all terminals in  $\text{FIRST}(Y_i)$ 
    - if  $Y_1 Y_2 \dots Y_{i-1} \xRightarrow{*} \epsilon$
  - if  $\epsilon$  is in all  $\text{FIRST}(Y_i)$  for all  $i = 1, \dots, k$ , add  $\epsilon$  to  $\text{FIRST}(X)$
- if the rule processed is  $X \rightarrow \epsilon$ ,  
add  $\epsilon$  to  $\text{FIRST}(X)$

$$\text{FIRST}(S) = \{c, d\}$$

$$\text{FIRST}(A) = \{a, \epsilon\}$$

$$\text{FIRST}(B) = \{c, d\}$$

$$\text{FIRST}(C) = \{c, d\}$$

$$\text{FIRST}(D) = \{b, \epsilon\}$$

# Example

calculating FOLLOW sets

$$S \rightarrow BA \quad A \rightarrow aBA \mid \epsilon \quad B \rightarrow CD \quad D \rightarrow bCD \mid \epsilon \quad C \rightarrow cSc \mid d$$

1. Place \$ in the FOLLOW(S)
2. For a production  $A \rightarrow \alpha B \beta$ , add everything in FIRST( $\beta$ ) except  $\epsilon$  to FOLLOW(B)
3. For  $A \rightarrow \alpha B$ , or  $A \rightarrow \alpha B \beta$  where FIRST( $\beta$ ) contains  $\epsilon$ , add items in FOLLOW(A) to FOLLOW(B)

	S	A	B	C	D
FIRST	{c,d}	{a, $\epsilon$ }	{c,d}	{c,d}	{b, $\epsilon$ }

FIRST(S) =

FIRST(A) =

FIRST(B) =

FIRST(C) =

FIRST(D) =

# Example

calculating FOLLOW sets

$$S \rightarrow BA \quad A \rightarrow aBA \mid \epsilon \quad B \rightarrow CD \quad D \rightarrow bCD \mid \epsilon \quad C \rightarrow cSc \mid d$$

1. Place \$ in the FOLLOW(S)
2. For a production  $A \rightarrow \alpha B \beta$ , add everything in FIRST( $\beta$ ) except  $\epsilon$  to FOLLOW(B)
3. For  $A \rightarrow \alpha B$ , or  $A \rightarrow \alpha B \beta$  where FIRST( $\beta$ ) contains  $\epsilon$ , add items in FOLLOW(A) to FOLLOW(B)

	S	A	B	C	D
FIRST	{c,d}	{a, $\epsilon$ }	{c,d}	{c,d}	{b, $\epsilon$ }

$$\text{FIRST}(S) = \{c,\$ \}$$

$$\text{FIRST}(A) = \{c,\$ \}$$

$$\text{FIRST}(B) = \{a,c,\$ \}$$

$$\text{FIRST}(C) = \{a,b,c,\$ \}$$

$$\text{FIRST}(D) = \{a,c,\$ \}$$

# Example

constructing the LL(1) table

$$S \rightarrow BA \quad A \rightarrow aBA \mid \epsilon \quad B \rightarrow CD \quad D \rightarrow bCD \mid \epsilon \quad C \rightarrow cSc \mid d$$

	S	A	B	C	D
FIRST	{c,d}	{a, $\epsilon$ }	{c,d}	{c,d}	{b, $\epsilon$ }
FOLLOW	{c,\$}	{c,\$}	{a,c,\$}	{a,b,c,\$}	{a,c,\$}

- For each rule  $A \rightarrow \alpha$  in the grammar
  - For each terminal  $a$  in  $\text{FIRST}(\alpha)$ , add  $A \rightarrow \alpha$  to table cell  $[A, a]$
  - If  $\epsilon$  is in  $\text{FIRST}(\alpha)$ , then for each terminal  $b$  in  $\text{FOLLOW}(A)$  add  $A \rightarrow \alpha$  to table cell  $[A, b]$

	a	b	c	d
S				
A				
B				
C				
D				

# Example

constructing the LL(1) table

$$S \rightarrow BA \quad A \rightarrow aBA \mid \epsilon \quad B \rightarrow CD \quad D \rightarrow bCD \mid \epsilon \quad C \rightarrow cSc \mid d$$

	S	A	B	C	D
FIRST	{c,d}	{a, $\epsilon$ }	{c,d}	{c,d}	{b, $\epsilon$ }
FOLLOW	{c,\$}	{c,\$}	{a,c,\$}	{a,b,c,\$}	{a,c,\$}

- For each rule  $A \rightarrow \alpha$  in the grammar
  - For each terminal  $a$  in  $\text{FIRST}(\alpha)$ , add  $A \rightarrow \alpha$  to table cell  $[A, a]$
  - If  $\epsilon$  is in  $\text{FIRST}(\alpha)$ , then for each terminal  $b$  in  $\text{FOLLOW}(A)$  add  $A \rightarrow \alpha$  to table cell  $[A, b]$

	a	b	c	d
S			BA	BA
A	aBA		$\epsilon$	
B			CD	CD
C			cSc	d
D	$\epsilon$	bCD	$\epsilon$	

# Summary

- LL(1) grammars can be parsed deterministically (without backtracking) using top-down parsers
- Like any top-down parser, left-recursion needs additional care
- Not every context free grammar is LL(k), but programming language grammars are mostly LL(1)
- LL(k) parsing is intuitive and relatively easy to construct by hand, but LR(k) grammars (bottom-up, deterministic) are more powerful (next lecture)
- Suggested reading: Grune and Jacobs (2007, ch.8), Aho et al. (2007, Section 4.4)

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Next:

- Deterministic bottom-up parsing
- Suggested reading: Grune and Jacobs (2007, ch.9), Aho et al. (2007, Section 4.5–4.7)



# Acknowledgments, references, additional reading material



Aho, Alfred V., Monica S. Lam, Ravi Sethi, and Jeffrey D. Ullman (2007). *Compilers: Principles, Techniques, & Tools*. Pearson/Addison Wesley. ISBN: 9780321486813.



Grune, Dick and Ceriel J.H. Jacobs (2007). *Parsing Techniques: A Practical Guide*. second. Monographs in Computer Science. The first edition is available at [http://dickgrune.com/Books/PTAPG\\_1st\\_Edition/BookBody.pdf](http://dickgrune.com/Books/PTAPG_1st_Edition/BookBody.pdf). Springer New York. ISBN: 9780387689548.

# Exercise

compute the FIRST and FOLLOW sets, and LL(1) table for  $S \rightarrow iEtSQ \mid a$     $Q \rightarrow eS \mid \epsilon$     $E \rightarrow b$











