# xLR(k): deterministic bottom-up parsing Parsing ISCL-BA-06

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#### Recap: bottom-up parsing

- Start from the input symbols, try to *reduce* the input to the start symbol
- Unlike top-down parsing where *productions* drive the parsing, in bottom-up parsing *reduction* is the main operation
- Reduction matches RHS of a grammar rule, and replaces it with its LHS
- A typical bottom-up parser has two basic operations

reduce replace one more symbols in the sentential form with their LHS non-terminal

shift move the next unprocessed symbol from the input to the sentential form

Sent. Form	Input	Action
	dnvan	shift

Sent. Form	Input	Action
d	nvan	shift

Sent. Form	Input	Action
dn	van	$r: AN \rightarrow n$
dn	van	shift

#### shift/reduce conflict

Sent. Form	Input	Action
dn	van	r: AN $\rightarrow$ n
dnv	an	shift

$$\begin{array}{ccccc} S & \rightarrow NP \ VP & NP \rightarrow d \ AN & NP \rightarrow AN \\ VP \rightarrow v \ NP & AN \rightarrow a \ AN & AN \rightarrow n \end{array}$$

Sent. Form	Input	Action
dn	van	r: AN $\rightarrow$ n
dnva	n	shift

Sent. Form	Input	Action
dn	van	r: AN $\rightarrow$ n
dnvan		$r: AN \rightarrow n$

Sent. Form	Input	Action
dn	van	r: AN $\rightarrow$ n
dnva AN		r: AN $\rightarrow$ a AN
dnva AN		$r: NP \rightarrow AN$

reduce/reduce conflict

Sent. Form	Input	Action
dn	van	r: AN $\rightarrow$ n
dnva AN		r: AN $\rightarrow$ a AN
dnva NP		reject

Sent. Form	Input	Action
dn	van	r: AN $\rightarrow$ n
dnv AN		$r:\;AN\;\to\;a\;AN$

Sent. Form	Input	Action
dn	van	r: AN $\rightarrow$ n
dnv AN		$r: NP \rightarrow AN$

Sent. Form	Input	Action
dn	van	r: AN $\rightarrow$ n
dnv NP		$r: VP \rightarrow v NP$

Sent. Form	Input	Action
dn	van	r: AN $\rightarrow$ n
dn VP		reject

Sent. Form	Input	Action
dn	van	$r: AN \rightarrow n$

Sent. Form	Input	Action
d AN	van	r: NP $\rightarrow$ d AN
d AN	van	$r: \ NP \ \rightarrow \ AN$
d AN	van	shift

#### shift/reduce conflict

Sent. Form	Input	Action
d AN	van	r: NP $\rightarrow$ d AN
d AN	van	r: NP $\rightarrow$ AN
d AN v	an	shift

Sent. Form	Input	Action
d AN	van	r: NP $\rightarrow$ d AN
d AN	van	r: NP $\rightarrow$ AN
d AN va	n	shift

$$\begin{array}{ccccc} S & \rightarrow NP \ VP & NP \rightarrow d \ AN & NP \rightarrow AN \\ VP \rightarrow v \ NP & AN \rightarrow a \ AN & AN \rightarrow n \end{array}$$

Sent. Form	Input	Action
d AN	van	r: NP $\rightarrow$ d AN
d AN	van	r: NP $\rightarrow$ AN
d AN van		$r: AN \rightarrow N$

Sent. Form	Input	Action
d AN	van	r: NP $\rightarrow$ d AN
d AN	van	r: NP $\rightarrow$ AN
d AN va AN		r: AN $\rightarrow$ a AN
d AN va AN		$r: NP \rightarrow AN$

reduce/reduce conflict

$$\begin{array}{ccccc} S & \rightarrow NP \ VP & NP \rightarrow d \ AN & NP \rightarrow AN \\ VP \rightarrow v \ NP & AN \rightarrow a \ AN & AN \rightarrow n \end{array}$$

Sent. Form	Input	Action
d AN	van	r: NP $\rightarrow$ d AN
d AN	van	r: NP $\rightarrow$ AN
d AN va AN		r: AN $\rightarrow$ a AN
d AN va NP		reject

Sent. Form	Input	Action
d AN	van	r: NP $\rightarrow$ d AN
d AN	van	r: NP $\rightarrow$ AN
d AN v NP		$r: VP \rightarrow v NP$

Sent. Form	Input	Action
d AN	van	r: NP $\rightarrow$ d AN
d AN	van	r: NP $\rightarrow$ AN
d AN VP		reject

Sent. Form	Input	Action
d AN	van	r: NP $\rightarrow$ d AN
d NP	van	reject

Sent. Form	Input	Action
NP	van	shift

Sent. Form	Input	Action
NP v	an	shift

Sent. Form	Input	Action
NP va	n	shift

Sent. Form	Input	Action
NP van		$r: AN \rightarrow n$

Sent. Form	Input	Action
NP va AN		r: AN $\rightarrow$ a AN
NP va AN		$r: NP \rightarrow AN$

reduce/reduce conflict

Sent. Form	Input	Action
NP va AN		r: AN $\rightarrow$ a AN
NP va NP		reject

Sent. Form	Input	Action
NP v AN		r: NP $\rightarrow$ AN

Sent. Form	Input	Action
NP v NP		$r: VP \rightarrow v NP$

Sent. Form	Input	Action
NP VP		$r: S \rightarrow NP VP$

Sent. Form	Input	Action
S		accept

#### Two issues with a backtracking shift-reduce parser

- Obvious one: reduce/reduce and shift/reduce conflicts mean non-determinism
- Not-so-obvious one: recognizing 'handles':
  - The rule that we locate at the right edge of the active sentential form is called a
     handle
  - For variable RHS, we need to search the grammar to determine which rule applies (if any)
- In a efficient parser we want to avoid both

# Table driven bottom-up parsing

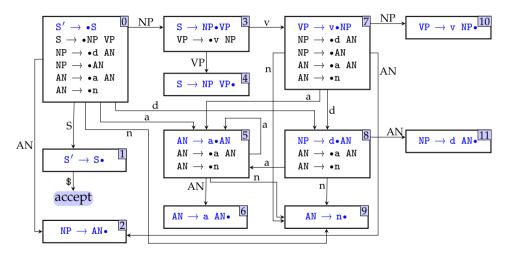
- The extra work done by a backtracking shift-reduce parser can be eliminated for a large class of grammars
- The general idea is the same with LL(k) grammars: preprocess the grammar to construct a table
- The class of LR(k) (scanning from *Left-to-right*, producing a *Rrightmost derivation*) grammars can be parsed deterministically using k lookahead symbols
- k = 1 is most common, LR(0) parser are also useful in some cases, larger k allows expressive grammars
- LL(k) grammars are a subset of LR(k) grammars
- Most practical programming language compilers are LR(1) parsers
- LR(k) parsers are difficult to build manually, but tools that take a CF grammar and construct and LR(1) parser are in common user (e.g., yacc)

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## Dotted rules, or 'items', (again) and augmented grammars

- An LR parser keeps a set of states (actually a finite-state automaton) to represent the current parser state during parsing
- An LR parser's states are sets of 'dotted rules' similar to Early or chart parsers we discussed earlier
  - $A \rightarrow \bullet \alpha$
  - A  $\rightarrow \alpha \bullet \beta$
  - A  $\rightarrow \alpha \bullet$
- ullet We also introduce a new start symbol, with a single production  $S^{'} 
  ightarrow S$
- This rule helps parser to determine when to stop: the parser accepts the input only when reducing S to  $S^{\prime}$

#### LR(0) automaton



#### Shift-reduce parsing with LR(0) automaton

- $\bullet$  The simplest version of the LR parsers uses LR(0) automaton to guide the parsing decisions
  - Use a stack to keep track of active states
  - Start with state 0
  - If there is an outgoing edge labeled with the current input, shift: push the target state to the stack
  - Otherwise reduce based on contents of the current state. For example, if the current state contains  $S \rightarrow NP \ VP \bullet$ .
    - pop two symbols (for NP and VP) from the stack
    - push the state reachable through S from the state on the top of the stack

state	ACTION				(	GO	ГО		
		a	d	n	v	S	NP	VP	AN
0	shift	5	8	9	e	1	3	e	2
1	$\operatorname{reduce} S' \ \to \ S$								
2	$reduce\ NP\ \rightarrow\ AN$								
3	shift	e	e	e	7	e		4	
4	$reduceS\rightarrowNPVP$								
5	shift	5	e	9	e				6
6	$reduce\ AN\ \rightarrow\ a\ AN$								
7	shift	5	8	9	e		10		2
8	shift	5	e	9	e				11
9	$reduce\ AN\ \rightarrow\ n$								
10	$reduce\ VP\ \rightarrow\ v\ NP$								
11	$reduce\ NP\ \rightarrow\ d\ AN$								

s	tate	ACTIO	ON					GO:	ГО		
				a	d	n	v	S	NI	P VP	AN
	0	shift		5	8	9	e	1	3	e	2
	1		$e\: S' \:  o \: S$								
	2		$\mathrm{e}\:\mathrm{NP}\:  o \:\mathrm{AN}$								
	3	shift		e	e	e	7	e		4	
	4 5		$e S \rightarrow NP VP$								
		shift		5	e	9	e				6
	6		$e AN \rightarrow a AN$	_							_
	7	shift		5	8	9	e		10		2
	8	shift		5	e	9	e				11
	9		$eAN \rightarrow n$								
			$e VP \rightarrow v NP$								
	11	reduce	$e NP \rightarrow d AN$								
Stack			Sent. Form					Inf	UT	Action	
0						Ċ	l n v	ar	ւ \$	shift	

stat	e ACTIO	ON					GO]	ГО		
			a	d	n	v	S	N	P VP	AN
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	1 reduc	$\operatorname{e} \operatorname{S}' \  o \ \operatorname{S}$								
		e NP $ ightarrow$ AN								
	3 shift		e	e	e	7	e		4	
		eS oNPVP								
	5 shift		5	e	9	e				6
		$e AN \rightarrow a AN$								
	7 shift		5 5	8	9	e		10	)	2
	8 shift		5	e	9	e				11
		e AN $ ightarrow$ n								
10		e VP $ ightarrow$ v NP								
1	1 reduc	$e NP \rightarrow d AN$								
Stack		Sent. Form					Inp	UT	Action	
0 8		d				n v	ar	1 \$	shift	

sta	ite	ACTIO	ON					GO:	ГО		
				a	d	n	v	S	NP	VP	AN
	0	shift		5	8	9	e	1	3	e	2
	1	reduce	eS' ightarrowS								
	2	reduce	$e NP \rightarrow AN$								
	3	shift		e	e	e	7	e		<b>4</b>	
	4		$e S \rightarrow NP VI$								
	5	shift		5	e	9	e				6
	6		$e  \mathrm{AN}   o  \mathrm{a}  \mathrm{A}$								
	7	shift		5 5	8	9	e		10		2
	8	shift		5	e	9	e				11
	9		$eAN \rightarrow n$	_							
	10		$e VP \rightarrow v N$								
	11	reduce	$e NP \rightarrow d A$	N							
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st	ate	ACTIO	ON					GO.	ГО		
				a	d	n	v	S	NI	P VP	AN
	0	shift		5	8	9	e	1	3	e	2
	1	reduce	$\operatorname{e} \operatorname{S}'   o  \operatorname{S}$								
	2	reduce	e NP $ ightarrow$ AN								
	3	shift		e	e	e	7	e		4	
	4 5		$e S \rightarrow NP VP$								
		shift		5	e	9	e				6
	6		$e AN \rightarrow a AN$								
	7	shift		5 5	8	9	e		10	)	2
	8	shift		5	e	9	e				11
	9		$e AN \rightarrow n$								
			$e VP \rightarrow v NP$								
	11	reduce	$e NP \rightarrow d AN$								
Stack			Sent. Form			·	·	Inf	TU	Action	
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st	tate	ACTIO	ON					GO]	ГО		
				a	d	n	v	S	NI	P VP	AN
	0	shift		5	8	9	e	1	3	e	2
	1		eS' ightarrowS								
	2		$\mathrm{e}\:\mathrm{NP}\:  o \:\mathrm{AN}$								
	3	shift		e	e	e	7	e		4	
	4 5	reduce	$e\: S \:  o \: NP\: VP$								
		shift		5	e	9	e				6
	6		$e  \mathrm{AN}   o  \mathrm{a}  \mathrm{AN}$								
	7	shift		5 5	8	9	e		10	)	2
	8	shift		5	e	9	e				11
	9		$e~{ m AN}~ ightarrow~{ m n}$								
	10		${ m e~VP~} ightarrow { m v~NP}$								
	11	reduce	$e NP \rightarrow d AN$								
Stack			Sent. Form				<u> </u>	Inp	TU	Action	
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st	ate	ACTIO	ON					GO.	ГО			
				a	d	n	$\mathbf{v}$	S	N	P	VP	AN
	0	shift		5	8	9	e	1	3	,	e	2
	1	reduce	$e\: S'\:  o \: S$									
	2	reduce	$\mathrm{e}\mathrm{NP} ightarrow\mathrm{AN}$									
	3	shift		e	e	e	7	e			4	
	4	reduce	$e S \rightarrow NP VP$									
	5	shift		5	e	9	e					6
	6	reduce	$e AN \rightarrow a AN$									
	7	shift		5 5	8	9	e		10	)		2
	8	shift		5	e	9	e					11
	9	reduce	$\mathrm{e}\mathrm{AN} ightarrow\mathrm{n}$									
	10		${ m e \ VP \  ightarrow v \ NP}$									
	11	reduce	$e NP \rightarrow d AN$									
Stack			Sent. Form				·	Inf	TU	Ac	CTION	
0 3 7			NP v					a r	ւ \$	sh	ift	

state	ACTION					GO]	Ю		
		a	d	n	v	S	NI	P VP	AN
0	shift	5	8	9	e	1	3	e	2
1	$\operatorname{reduce}\nolimits \operatorname{S}\nolimits' \ \to \ \operatorname{S}\nolimits$								
2	$\text{reduce NP}  \to  \text{AN}$								
3	shift	e	e	e	7	e		4	
4	$\operatorname{reduce} S  \to  NP  VP$								
5	shift	5	e	9	e				6
6	reduce AN $ ightarrow$ a AN								
7	shift	5 5	8	9	e		10	)	2
8	shift	5	e	9	e				11
9	reduce AN $ ightarrow$ n								
10	${\sf reduce\ VP\ \rightarrow\ v\ NP}$								
11	$\text{reduce NP}  \to  \text{d AN}$								
STACK	Sent. Form					Inp	UT	Action	
375	NP v a					r	1 \$	shift	

state	ACTION					GO]	ГО		
		a	d	n	v	S	N	P VP	AN
0	shift	5	8	9	е	1	3	e	2
1	$\operatorname{reduce} \operatorname{S}' \ \to \ \operatorname{S}$								
2	$reduce\:NP\:\to\:AN$								
3	shift	e	e	e	7	e		4	
4	$\operatorname{reduce} S \to NPVP$								
5	shift	5	e	9	e				6
6	reduce AN $ ightarrow$ a AN								
7	shift	5 5	8	9	e		10	)	2
8	shift	5	e	9	e				11
9	reduce AN $ ightarrow$ n								
10	reduce VP $ ightarrow$ v NP								
11	$reduce NP  \rightarrow  d \; AN$								
Stack	Sent. Form					Inp	UT	Action	
0 3 7 5 9	NP v a n						\$	shift	

state	ACTION					GO]	Ю		
		a	d	n	v	S	NP	VP	AN
0	shift	5	8	9	e	1	3	е	2
1	$\operatorname{reduce}\nolimits \operatorname{S}\nolimits'  \to  \operatorname{S}\nolimits$								
2	${\sf reduce}\;{\sf NP}\;\rightarrow\;{\sf AN}$								
3	shift	e	e	e	7	e		4	
4	$\operatorname{reduce} S \to NPVP$								
5	shift	5	e	9	e				6
6	reduce AN $ ightarrow$ a AN								
7	shift	5 5	8	9	e		10		2
8	shift	5	e	9	e				11
9	reduce AN $ ightarrow$ n								
10	${\sf reduce}\ {\sf VP}\ \to\ {\sf v}\ {\sf NP}$								
11	$\text{reduce NP}  \to  \text{d AN}$								
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state	ACTION					GO]	Ю		
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0	shift	5	8	9	e	1	3	e	2
1	$\operatorname{reduce} \operatorname{S}' \ \to \ \operatorname{S}$								
2	$\text{reduce NP}  \to  \text{AN}$								
3	shift	e	e	e	7	e		4	
4	$\operatorname{reduce} S  \to  NP  VP$								
5	shift	5	e	9	e				6
6	reduce AN $ ightarrow$ a AN								
7	shift	5 5	8	9	e		10		2
8	shift	5	e	9	e				11
9	reduce AN $ ightarrow$ n								
10	${\sf reduce}\;{\sf VP}\;\rightarrow\;{\sf v}\;{\sf NP}$								
11	$\text{reduce NP}  \to  \text{d AN}$								
Бтаск	Sent. Form			·		Inp	UT A	CTION	
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state	ACTION					GO]	Ю		
		a	d	n	v	S	NI	P VP	AN
0	shift	5	8	9	e	1	3	e	2
1	$\operatorname{reduce} \operatorname{S}' \ \to \ \operatorname{S}$								
2	$\operatorname{reduce}\nolimits\operatorname{NP}\nolimits\to\operatorname{AN}\nolimits$								
3	shift	e	e	e	7	e		4	
4	$\operatorname{reduce} S  \to  NP  VP$								
5	shift	5	e	9	e				6
6	reduce AN $ ightarrow$ a AN								
7	shift	5 5	8	9	e		10	)	2
8	shift	5	e	9	e				11
9	reduce AN $ ightarrow$ n								
10	$reduce\;VP\tov\;NP$								
11	$reduce NP  \rightarrow  d  AN$								
Бтаск	Sent. Form					Inp	UT	Астю	N
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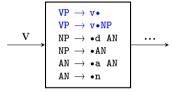
sta	ite	ACTION			GOTO									
					d	n	v	S	NP	VP	AN			
	0	$\begin{array}{c} \text{shift} \\ \text{reduce S}' \rightarrow \text{S} \\ \text{reduce NP} \rightarrow \text{AN} \\ \text{shift} \\ \text{reduce S} \rightarrow \text{NP VP} \\ \text{shift} \\ \text{reduce AN} \rightarrow \text{a AN} \\ \text{shift} \\ \text{shift} \\ \text{reduce AN} \rightarrow \text{n} \\ \text{reduce VP} \rightarrow \text{v NP} \end{array}$			8	9	e	1	3	e	2			
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0 1	) 1 S		S						\$	ac	cept	

#### Limitations of LR(0)

- Assume we have an additional rule:  $VP \rightarrow v$
- This would lead to a LR(0) automaton entry



- We have a shift/reduce conflict
- A simple solution (SLR): shift if possible, otherwise reduce
- In general LR(0) parsers/grammars are limited, for most purposes we need more powerful parsers

#### LR parsers with lookahead

- LR(k): parsers augment the chart entries (items) with lookahead
- Lookahead allows LR(k) parser to parse a larger class of grammars
- The disadvantage is much larger chart sizes
- Another option is the LALR(k) parsers which use a smaller automaton
- LALR(1) parsers and parser generators are commonly used in practice

#### Why use xLR(k) parsers?

- LR(k) parsers general, efficient (non-backtracking) shift-reduce parsers
- $\bullet$  LR(k) parsers can be constructed for (almost) any formal/programming language constructs
- In general LR(k) grammars are more expressive. LL(k) is a subset of LR(k)
- LR(k) parsers can detect syntax errors as soon as it is possible to detect them

#### LR grammars and ambiguity

- LR(k) parsers cannot handle ambiguity
- If a grammar is ambiguous we cannot construct an LR(k) parse table for it
- In general, determining whether a grammar is ambiguous is intractable
- This is sometimes used for a test for ambiguity:
  - If we can build a LR(k) parser for a grammar, then it is not ambiguous
  - If we cannot, it is inconclusive

## What about natural language parsing

- Natural languages are inherently ambiguous
- As a result, we cannot use these parsers for parsing natural languages
- Nevertheless, the techniques are useful
  - We can use LR-like parsers to reduce the non-determinism: GLR parsers (also known as Tomita parser)
  - Instead of a table-driven parser, we can predict the action with a machine learning method: transition-based dependency parsers do that

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#### Summary

- xLR(k) parsers are powerful bottom-up deterministic parsers
- LR grammars are more general than LL grammars
- These parsers are difficult to build manually, but automatic parser generators exist
- Although they cannot handle ambiguity, the similar ideas are also used in natural language parsers to reduce the non-determinism
- Understanding the concepts here is useful for building parser generators and understanding the related natural language parsers
- Reading suggestion: Grune and Jacobs (2007, ch.9), Aho et al. (2007, Section 4.5–4.7)

#### Acknowledgments, references, additional reading material



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Grune, Dick and Ceriel J.H. Jacobs (2007). Parsing Techniques: A Practical Guide. second. Monographs in Computer Science. The first edition is available at http://dickgrune.com/Books/PTAPG\_1st\_Edition/BookBody.pdf. Springer New York. ISBN: 9780387689548.

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