# Chart Parsing Parsing ISCL-BA-06

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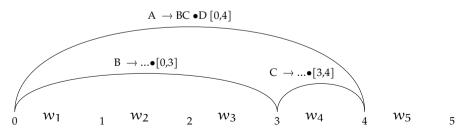
Winter Semester 2020/21

#### Parsing so far

- We can formulate parsing as
  - Top-down: begin with the start symbol, try to *produce* the input string to be parsed
  - Bottom up: begin with the input, and try to *reduce* it to the start symbol
- For both options, we have seen examples of chart parser
- Parsing can also be *directional* or *non-directional*
- In this lecture, we introduce a general mechanism for chart parsing that has all these forms of parsing methods as special cases

#### The overall idea

- We adopt Early-like chart entries of the form:  $X \to \alpha \cdot \beta$  [i,j] where,
  - i and j are indexes starting from 0 (0 indicating beginning of the input string)
  - The chart entry indicates  $\alpha$  is found between i and j, we are looking for a  $\beta$  starting from j
- At any time, we have two sets of items: active items are those we expect to complete inactive items are those with a dot at the end
  - The goal is to complete  $S \rightarrow ...$  [0,n]



#### Components of a typical chart parsing algorithm

- Besides the chart, we keep an agenda of 'unexplored items'
- A set of inference rules determine how to modify the chart when processing items from the agenda
- Typically inference rules are similar to completion process of Earley parser
- The following inference rule is part of every chart parser (so-called 'fundamental rule' of chart parsing)
  - If there is an inactive item of the form A  $\to \alpha \bullet$  and an active item of the form B  $\to \beta \bullet$  A $\gamma$  add item B  $\to \beta$  A $\bullet \gamma$
- We also need a strategy for selecting the items from the agenda and applying the inference rules
- Depending on the data structure used for the agenda, and order of processing of inference rules, we may get different types of parsers

#### The sketch of a chart parsing algorithm

```
1: Initialize A (agenda) and C (chart)
2: repeat
       i \leftarrow \text{next}(A)
3:
       if i \in C then
           discard i
       else
6:
           apply all inference rules to i
           place new items in A
           place the item in C
9:
10: until A is empty
```

- Very simple, but unspecified parts:
  - Initialization
  - Inference rules
  - The order of items received from the agenda
- An item is put into chart only after all inferences from it are in the chart or in the agenda
- Chart is a set, items do not repeat

#### Bottom-up chart parsing

- Single additional inference rule:
  - If a new item has the form A  $\to \alpha \bullet$ , add B  $\to$  A  $\bullet \beta$  for each rule B  $\to$  A $\beta$  in the grammar.
- Initialization:
  - Empty chart
  - Place  $P \to w_i$  [i-1,i] in the agenda for all word  $w_i$  ('P' is the pre-terminal symbol, typically the POS tag in CL)
  - if there are  $\varepsilon$  rules, add P  $\to$  [i,i] for all P  $\to$   $\varepsilon$  in the grammar, for i in [0, n]
- Choice of agenda does not matter. A stack is typical, but a queue or a priority queue is also an option

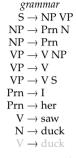
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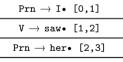
#### Example: bottom-up chart parsing

saw

2







 $N \rightarrow duck \bullet [3,4]$ 

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duck

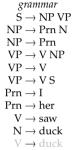
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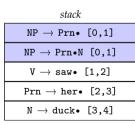
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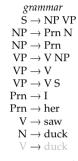
#### Example: bottom-up chart parsing

saw

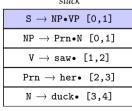


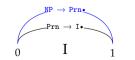


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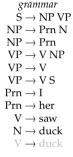




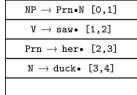
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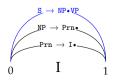
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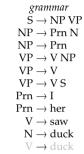
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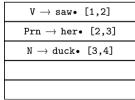
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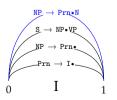
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saw

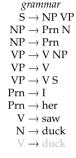
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her

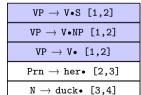
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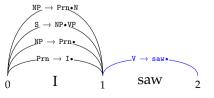
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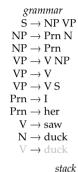
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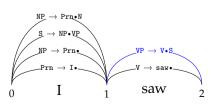
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# Example: bottom-up chart parsing



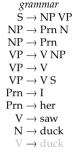


duck

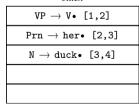
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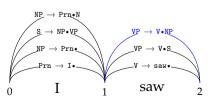
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 $VP \rightarrow V \bullet NP [1,2]$  $\mathtt{VP} \rightarrow \mathtt{V} \bullet \ \mathtt{[1,2]}$  $Prn \rightarrow her \bullet [2,3]$  $N \rightarrow duck \cdot [3,4]$ 

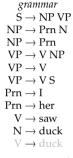




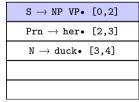


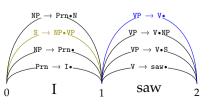


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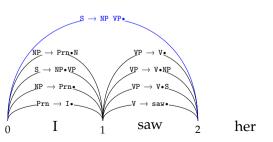






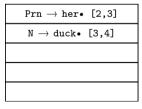
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3



grammar  $S \rightarrow NP VP$  $NP \rightarrow Prn N$  $NP \rightarrow Prn$  $VP \rightarrow V NP$  $\mathrm{VP} \to \mathrm{V}$  $VP \to V \: S$  $\text{Prn} \to I$  $Prn \rightarrow her$  $V \rightarrow saw$  $N \rightarrow duck$  $V \rightarrow duck$ 

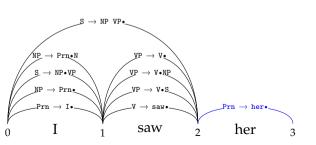
stack



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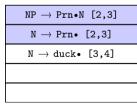
duck

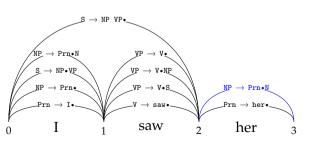
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 $\begin{array}{c} \textit{grammar} \\ S \rightarrow NP \ VP \\ NP \rightarrow Prn \ N \\ NP \rightarrow Prn \\ VP \rightarrow V \ NP \\ VP \rightarrow V \\ VP \rightarrow V \ S \\ Prn \rightarrow I \\ Prn \rightarrow her \\ V \rightarrow saw \\ N \rightarrow duck \\ V \rightarrow duck \\ \end{array}$ 

stack

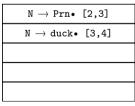


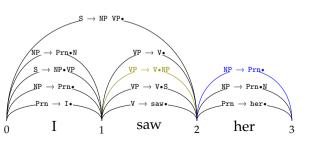


 $\begin{array}{c} S \rightarrow NP \ VP \\ NP \rightarrow Prn \ N \\ NP \rightarrow Prn \\ VP \rightarrow V \ NP \\ VP \rightarrow V \\ VP \rightarrow V \\ S \\ Prn \rightarrow I \\ Prn \rightarrow her \\ V \rightarrow saw \\ N \rightarrow duck \\ V \rightarrow duck \\ \end{array}$ 

grammar

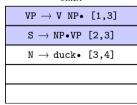
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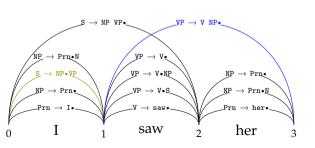




 $\begin{array}{c} \textit{grammar} \\ S \rightarrow NP \ VP \\ NP \rightarrow Prn \ N \\ NP \rightarrow Prn \\ VP \rightarrow V \ NP \\ VP \rightarrow V \\ VP \rightarrow VS \\ Prn \rightarrow I \\ Prn \rightarrow her \\ V \rightarrow saw \\ N \rightarrow duck \\ V \rightarrow duck \\ \end{array}$ 

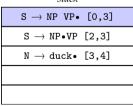
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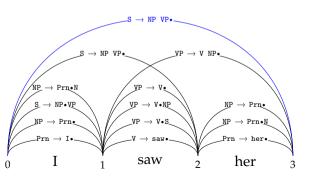




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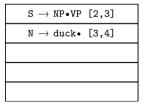
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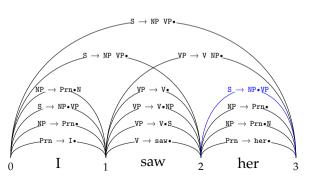




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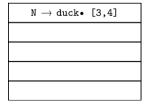
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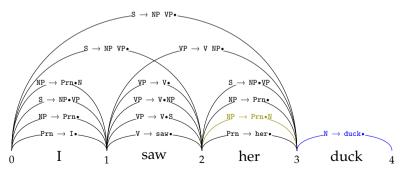




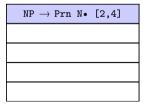
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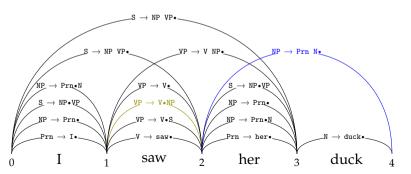
stack



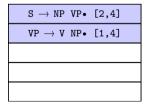


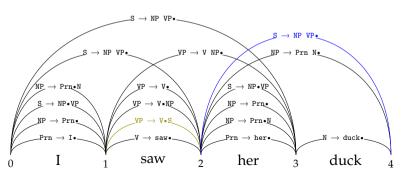
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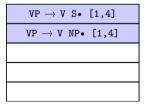


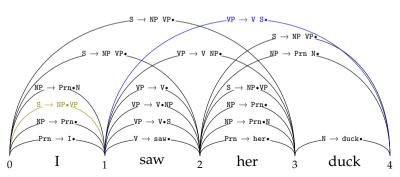
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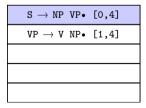


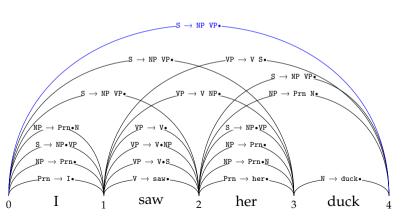
 $\begin{array}{c} \textit{grammar} \\ S \rightarrow NP \ VP \\ NP \rightarrow Prn \ N \\ NP \rightarrow Prn \\ VP \rightarrow V \ NP \\ VP \rightarrow V \\ VP \rightarrow V \ S \\ Prn \rightarrow I \\ Prn \rightarrow her \\ V \rightarrow saw \\ N \rightarrow duck \\ V \rightarrow duck \\ \end{array}$ 



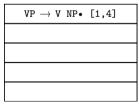


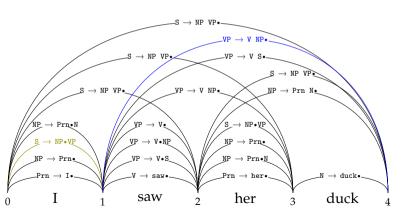
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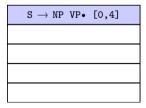


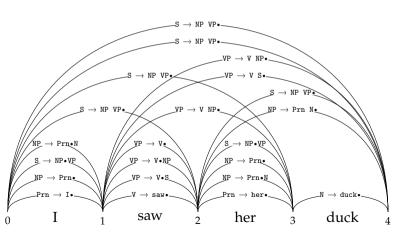
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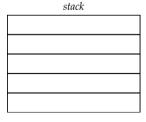


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 $\begin{array}{c} \textit{grammar} \\ S \rightarrow NP \ VP \\ NP \rightarrow Prn \ N \\ NP \rightarrow Prn \\ VP \rightarrow V \ NP \\ VP \rightarrow V \\ S \rightarrow VP \rightarrow VS \\ Prn \rightarrow I \\ Prn \rightarrow her \\ V \rightarrow saw \\ N \rightarrow duck \\ V \rightarrow duck \\ \end{array}$ 



#### Bottom-up chart parsing

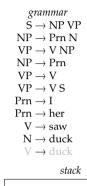
additional remarks

- The parser (as described) proceeds bottom up (left-corner)
- It can process arbitrary CF grammars
- Stack-based agenda is common, queue-based agenda is rarely used
- An interesting alternative is so-called *head-corner* parsing: using a priority queue (e.g., processing 'heads' first) one can build the 'important' parts of the tree first
- The time complexity is  $O(n^3)$
- There are many variants, optimizations (based on, different inference rules, processing strategies)

#### Top-down chart parsing

- The basic algorithm is the same, but we specify
  - Inference rule (besides the 'fundamental rule'):
    - If the new edge has the form A  $\to \alpha \bullet$ B $\beta$  [i,j], add B  $\to \bullet \gamma$  [j,j] for each rule B  $\to \gamma$  in the grammar.
  - Initialization
    - Empty chart
    - Push  $\rightarrow$  •S [0,0] into the stack
    - Push all productions for the terminal symbols to the stack (or to the chart, as there
      is nothing to predict for these productions)
  - Typically we use a stack as an agenda

# Example: top-down chart parsing





2

her

3

saw

duck

4

#### Top-down chart parsing

additional remarks

- The parser (as described) is purely top-down
- In practice, it is common to use 'lookup'
- Stack-based agenda is common, que-based agenda is rarely used
- The time complexity is  $O(n^3)$
- There are many variants, optimizations (based on, different inference rules, processing strategies)

#### Summary

- Chart parsing is a general framework for constructing a variety of parsers
- It shares many similarities with the CKY and Earley

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#### Summary

- Chart parsing is a general framework for constructing a variety of parsers
- It shares many similarities with the CKY and Earley

#### Next:

• Deterministic parsing (maybe after the break)

#### Acknowledgments, references, additional reading material



Grune, Dick and Ceriel J.H. Jacobs (2007). Parsing Techniques: A Practical Guide. second. Monographs in Computer Science. The first edition is available at http://dickgrune.com/Books/PTAPG\_ist\_Edition/BookBody.pdf. Springer New York. isbn: 9780387689548.

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#### Example: top-down chart parsing

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```

0 I 1 saw 2 her 3 duck 4