

# Chapter 1 Reading Notes

Ishaan Ganti  
Principles of Mathematical Analysis, Rudin

April 17, 2024

## 1 Introduction

We start by discussing an ‘incompleteness’ of the rationals that motivates the study of the reals. Put bluntly, there exists no such  $p \in \mathbb{Q}$  that satisfies  $p^2 = 2$ . The proof of this is pretty straightforward and is widely found, hence omitted. Instead, I will prove a related result.

### Theorem 1

Let  $A$  be the set of all  $a \in \mathbb{Q}$  such that  $a^2 < 2$ . Similarly, let  $B$  be the set of all  $b \in \mathbb{Q}$  such that  $b^2 > 2$ . Then  $A$  has no largest element and  $B$  has no smallest element.

### Proof

For any  $p \in \mathbb{Q}$ , consider the value given by

$$\gamma = p - \frac{p^2 - 2}{p + 2}$$

If  $p \in A$ , then either  $p^2 - 2 < 0$  and  $p + 2 > 0$  or  $p^2 - 2 > 0$  and  $p + 2 < 0$ , so  $\gamma > p$ . Then, we calculate  $\gamma^2 - 2$

$$\begin{aligned}\gamma &= \frac{p^2 + 2p - p^2 + 2}{p + 2} = \frac{2p + 2}{p + 2} \\ \gamma^2 - 2 &= \frac{4p^2 + 8p + 4 - 2p^2 - 8p - 8}{(p + 2)^2} = \frac{2p^2 - 4}{(p + 2)^2} = \frac{2(p^2 - 2)}{(p + 2)^2}\end{aligned}$$

But then  $p^2 - 2$  in the numerator is negative, so  $\gamma^2 - 2 < 0$  and  $\gamma \in A$ . Practically the same logic applies for showing that  $B$  has no smallest element.

The bit of this proof that was tricky for me was defining the expression that explicitly shows that  $A$  has no largest element and  $B$  has no smallest element. The proof statement itself, however, felt pretty intuitive.

### Theorem 2: Least upper bound property implies greatest lower bound

Suppose  $S$  is an ordered set with the least upper-bound property,  $B \subset S$ ,  $B$  is not empty, and  $B$  is bounded below. Let  $L$  be the set of all lower bounds of  $B$ . Then

$$\alpha = \sup L$$

exists in  $S$  and  $\alpha = \inf B$ .

#### Proof

First, we show that  $L$  is bounded above. Since for all  $b \in B$ ,  $l \in L$ , we know  $l \leq b$ , all  $b$  serve as upper bounds for  $L$ . Then, since  $S$  possesses the least upper-bound property, there exists some  $\alpha = \sup L$  in  $S$ . This proves the first part of the theorem.

Now, consider some other  $\gamma$  in  $S$ . Assume  $\gamma > \alpha$ . Then, since  $\alpha$  is the supremum of  $L$ ,  $\gamma \notin L$ . By the definition of  $L$ ,  $\gamma$  is not a lower bound of  $B$ . However, if  $\gamma < \alpha$ , then it cannot be the infimum of  $B$  as  $\alpha$  bounds  $B$  from below as  $\alpha \in L$  and  $\alpha > \gamma$ . Thus,  $\alpha$  must be the infimum of  $B$ .

**Note:** paying attention to definitions is important.  $B$  being bounded below as a subset of  $S$  implies that all values that bound  $B$  below *are within*  $S$  by the definition of bounded below. So, we must have  $L \subset S$ .

## 2 Fields

The text goes on to discuss fields in some length. I will skip over the introductory propositions as I have experience in algebra, but I will prove one set of propositions just as a sort of checkpoint.

#### Theorem 1

The following statements are true in every ordered field.

1. If  $x > 0$  then  $-x < 0$  and vice versa.
2. If  $x > 0$  and  $y < z$  then  $xy < xz$ .
3. If  $x < 0$  and  $y < z$  then  $xy > xz$ .
4. If  $x \neq 0$  then  $x^2 > 0$ . In particular,  $1 > 0$ .
5. If  $0 < x < y$  then  $0 < \frac{1}{y} < \frac{1}{x}$ .

#### Proof

1. Since  $x > 0$ ,  $-x + x > -x$ , but this is just  $0 > -x$  or  $-x < 0$ .
2.  $xz = x(z - y) + xy > 0 + xy = xy$ .
3.  $xy = x(y - z) + xz > 0 + xz > xz$ .

I got too lazy to do the rest; they follow from the definitions and some of the earlier propositions.

## 3 The Real Field