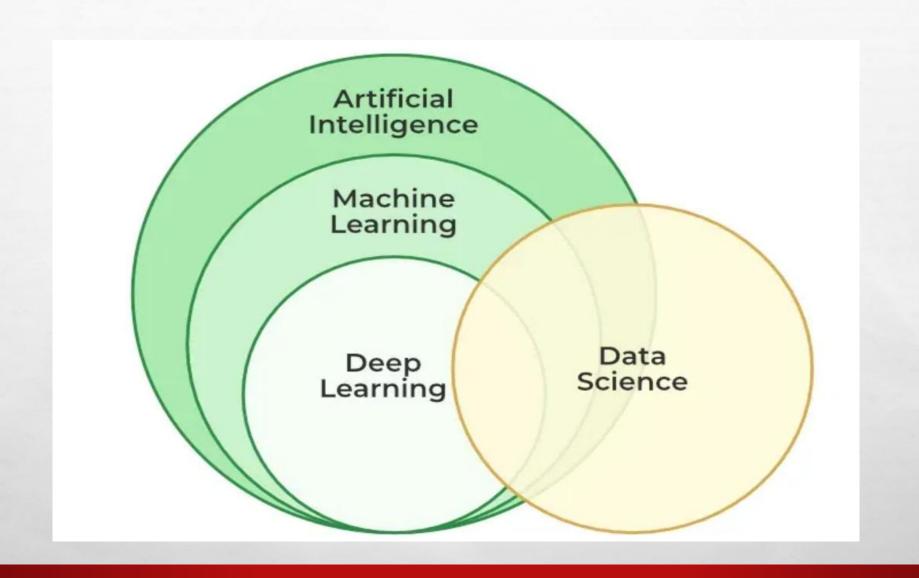
# UNIT 2 DEEP LEARNING

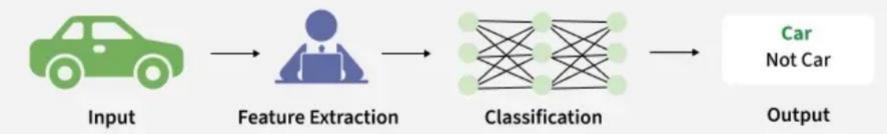
BY MS. PRIYANKA (ASSISTANT PROFESSOR)

#### INTRODUCTION TO DEEP LEARNING

• DEEP LEARNING MIMICS NEURAL NETWORKS OF THE HUMAN BRAIN, IT ENABLES COMPUTERS TO AUTONOMOUSLY UNCOVER PATTERNS AND MAKE INFORMED DECISIONS FROM VAST AMOUNTS OF UNSTRUCTURED DATA.

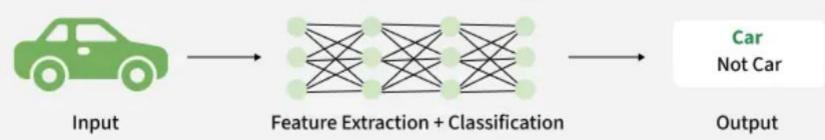


#### **Machine Learning**



Uses algorithms and learns on its own but may need human intervention to correct errors

#### **Deep Learning**

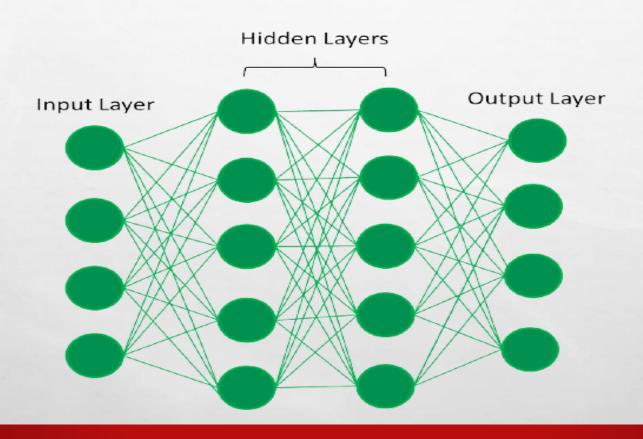


Uses advanced computing, its own neural network, to adapt with little to no human intervention

#### HOW DEEP LEARNING WORKS?

- NEURAL NETWORK CONSISTS OF LAYERS OF INTERCONNECTED NODES OR NEURONS THAT COLLABORATE TO PROCESS INPUT DATA.
- IN A FULLY CONNECTED DEEP NEURAL NETWORK DATA FLOWS THROUGH MULTIPLE LAYERS WHERE EACH NEURON PERFORMS NONLINEAR TRANSFORMATIONS, ALLOWING THE MODEL TO LEARN INTRICATE REPRESENTATIONS OF THE DATA.
- IN A DEEP NEURAL NETWORK THE INPUT LAYER RECEIVES DATA WHICH PASSES THROUGH HIDDEN LAYERS THAT TRANSFORM THE DATA USING NONLINEAR FUNCTIONS. THE FINAL OUTPUT LAYER GENERATES THE MODEL'S PREDICTION.

### **NEURAL NETWORKS**



Machine Learning	Deep Learning		
Apply statistical algorithms to learn the hidden patterns and relationships in the dataset.	Uses artificial neural network architecture to learn the hidden patterns and relationships in the dataset.	A model is created by relevant features which are manually extracted from images to detect an object in the image.	Relevant features are automatically extracted from images. It is an end-to-end learning process.
Can work on the smaller amount of dataset	Requires the larger volume of dataset compared to machine learning	Less complex and easy to interpret the result.	More complex, it works like the black box interpretations of the result are not easy.
Better for the low-label task.	Better for complex task like image processing, natural language processing, etc.		
		It can work on the CPU or requires less computing power as compared to deep learning.	It requires a high-performance computer with GPU.
Takes less time to train the model.	Takes more time to train the model.		

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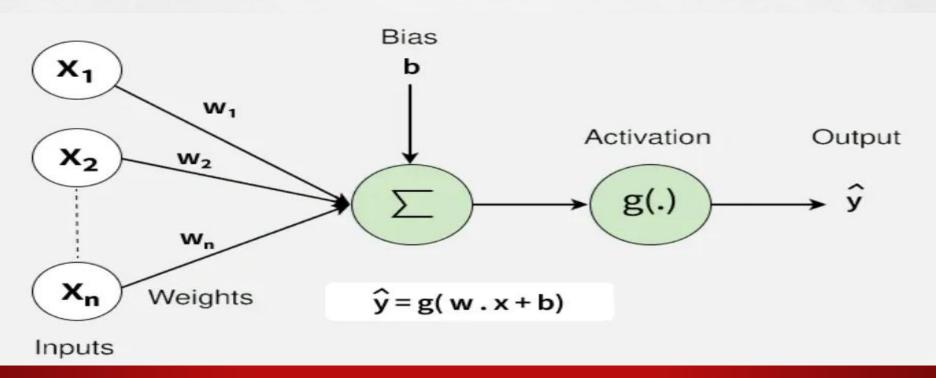
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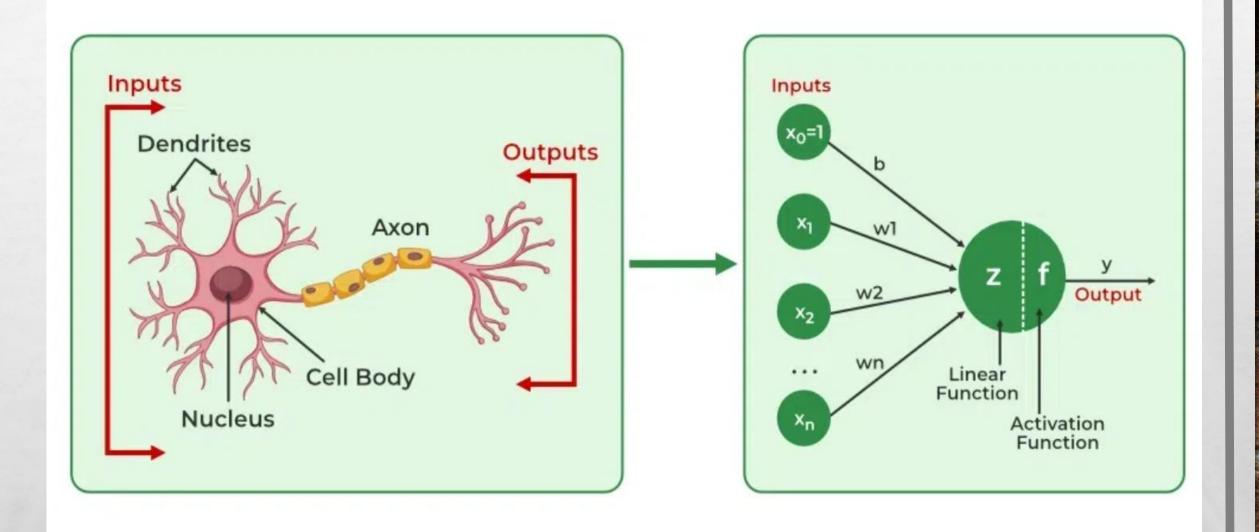
#### **EVOLUTION OF NEURAL ARCHITECTURES**

- THE JOURNEY OF DEEP LEARNING BEGAN WITH THE <u>PERCEPTRON</u>, A SINGLE-LAYER NEURAL NETWORK INTRODUCED IN THE 1950S. WHILE INNOVATIVE, PERCEPTRONS COULD ONLY SOLVE LINEARLY SEPARABLE PROBLEMS HENCE FAILING AT MORE COMPLEX TASKS LIKE THE XOR PROBLEM.
- THIS LIMITATION LED TO THE DEVELOPMENT OF MULTI-LAYER PERCEPTRONS (MLPS).
- IT INTRODUCED HIDDEN LAYERS AND NON-LINEAR ACTIVATION FUNCTIONS.
- MLPS TRAINED USING <u>BACKPROPAGATION</u> COULD MODEL COMPLEX, NON-LINEAR RELATIONSHIPS MARKING A SIGNIFICANT LEAP IN NEURAL NETWORK CAPABILITIES.
- THIS EVOLUTION FROM PERCEPTRONS TO MLPS LAID THE GROUNDWORK FOR ADVANCED ARCHITECTURES LIKE CNNS AND RNNS, SHOWCASING THE POWER OF LAYERED STRUCTURES IN SOLVING REAL-WORLD PROBLEMS.

#### WHAT IS A NEURAL NETWORK?

NEURAL NETWORKS ARE MACHINE LEARNING MODELS THAT MIMIC THE COMPLEX FUNCTIONS OF THE HUMAN BRAIN. THESE MODELS CONSIST OF INTERCONNECTED NODES OR NEURONS THAT PROCESS DATA, LEARN PATTERNS AND ENABLE TASKS SUCH AS PATTERN RECOGNITION AND DECISION-MAKING.

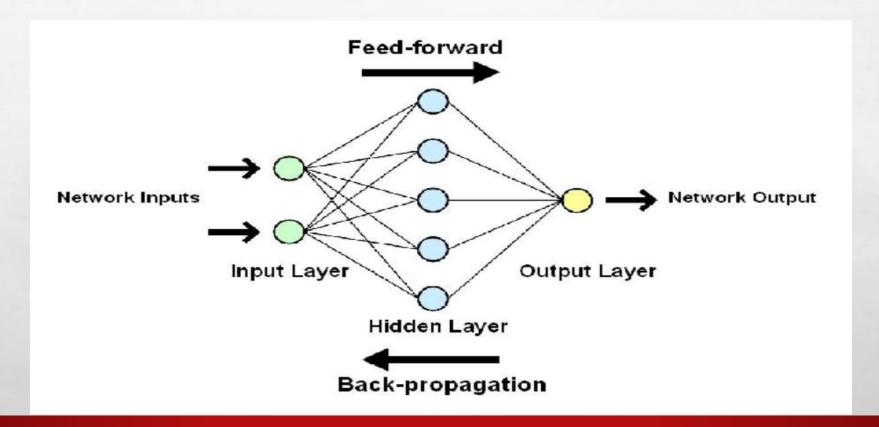




# UNDERSTANDING NEURAL NETWORKS IN DEEP LEARNING

- NEURAL NETWORKS ARE CAPABLE OF LEARNING AND IDENTIFYING PATTERNS DIRECTLY FROM DATA WITHOUT PRE-DEFINED RULES. THESE NETWORKS ARE BUILT FROM SEVERAL KEY COMPONENTS:
- **NEURONS**: THE BASIC UNITS THAT RECEIVE INPUTS, EACH NEURON IS GOVERNED BY A THRESHOLD AND AN ACTIVATION FUNCTION.
- CONNECTIONS: LINKS BETWEEN NEURONS THAT CARRY INFORMATION, REGULATED BY WEIGHTS AND BIASES.
- WEIGHTS AND BIASES: THESE PARAMETERS DETERMINE THE STRENGTH AND INFLUENCE OF CONNECTIONS.
- PROPAGATION FUNCTIONS: MECHANISMS THAT HELP PROCESS AND TRANSFER DATA ACROSS LAYERS OF NEURONS.
- LEARNING RULE: THE METHOD THAT ADJUSTS WEIGHTS AND BIASES OVER TIME TO IMPROVE ACCURACY.

#### ARTIFICIAL NEURAL NETWORK



## LAYERS IN NEURAL NETWORK ARCHITECTURE

- INPUT LAYER: THIS IS WHERE THE NETWORK RECEIVES ITS INPUT DATA. EACH INPUT NEURON IN THE LAYER CORRESPONDS TO A FEATURE IN THE INPUT DATA.
- HIDDEN LAYERS: THESE LAYERS PERFORM MOST OF THE COMPUTATIONAL HEAVY LIFTING. A NEURAL NETWORK CAN HAVE ONE OR MULTIPLE HIDDEN LAYERS. EACH LAYER CONSISTS OF UNITS (NEURONS) THAT TRANSFORM THE INPUTS INTO SOMETHING THAT THE OUTPUT LAYER CAN USE.
- **OUTPUT LAYER:** THE FINAL LAYER PRODUCES THE OUTPUT OF THE MODEL. THE FORMAT OF THESE OUTPUTS VARIES DEPENDING ON THE SPECIFIC TASK LIKE CLASSIFICATION, REGRESSION.

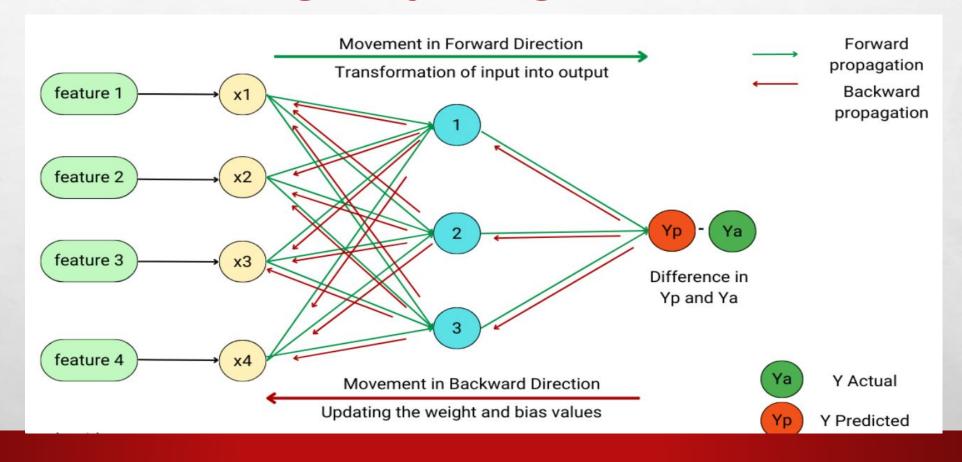
#### **WORKING OF NEURAL NETWORKS**

- 1. FORWARD PROPAGATION
- WHEN DATA IS INPUT INTO THE NETWORK, IT PASSES THROUGH THE NETWORK IN THE FORWARD DIRECTION, FROM THE INPUT LAYER THROUGH THE HIDDEN LAYERS TO THE OUTPUT LAYER. THIS PROCESS IS KNOWN AS FORWARD PROPAGATION. HERE'S WHAT HAPPENS DURING THIS PHASE:

- 1. LINEAR TRANSFORMATION: EACH NEURON IN A LAYER RECEIVES INPUTS WHICH ARE MULTIPLIED BY THE WEIGHTS ASSOCIATED WITH THE CONNECTIONS. THESE PRODUCTS ARE SUMMED TOGETHER AND A BIAS IS ADDED TO THE SUM. THIS CAN BE REPRESENTED MATHEMATICALLY AS:
- Z=W1X1+W2X2+...+WNXN+BZ=W1X1+W2X2+...+WNXN+B
- WHERE,
- W REPRESENTS THE WEIGHTS
- X REPRESENTS THE INPUTS
- B IS THE BIAS

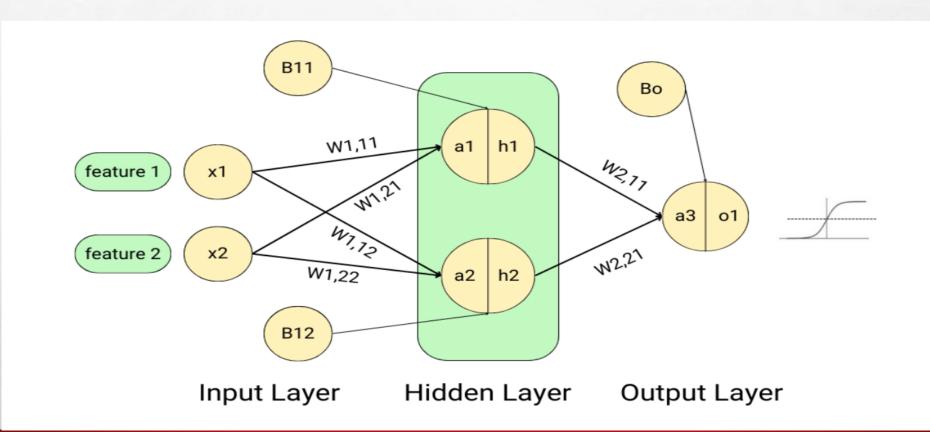
•2. ACTIVATION: THE RESULT OF THE LINEAR TRANSFORMATION (DENOTED AS Z) IS THEN PASSED THROUGH AN ACTIVATION FUNCTION. THE ACTIVATION FUNCTION IS CRUCIAL BECAUSE IT INTRODUCES NON-LINEARITY INTO THE SYSTEM, ENABLING THE NETWORK TO LEARN MORE COMPLEX PATTERNS. POPULAR ACTIVATION FUNCTIONS INCLUDE RELU, SIGMOID AND TANH.

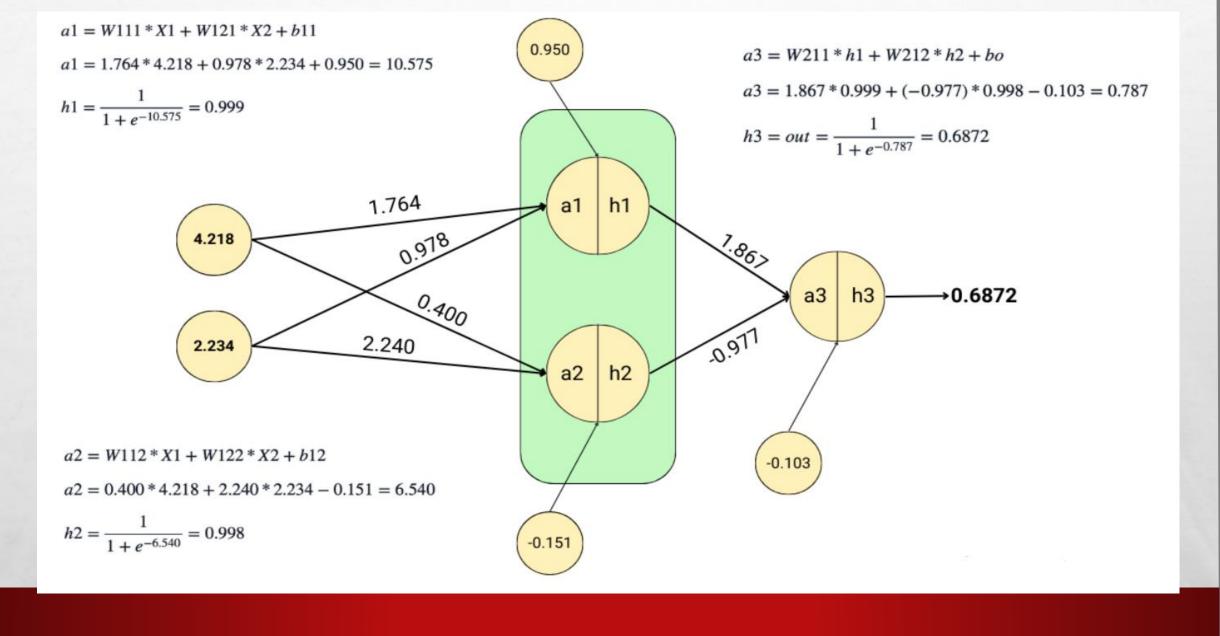
#### FORWARD PROPAGATION



#### **MATHEMATICAL INTUITION**

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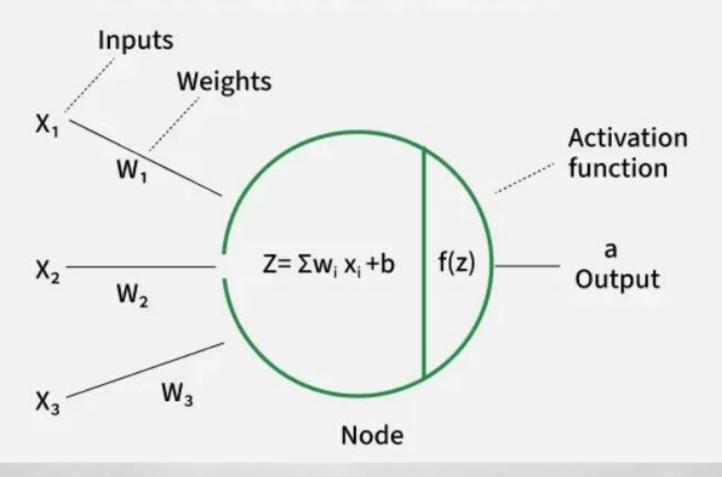




# ACTIVATION FUNCTIONS IN NEURAL NETWORKS

- WHILE BUILDING A NEURAL NETWORK, ONE KEY DECISION IS SELECTING THE ACTIVATION FUNCTION FOR BOTH THE HIDDEN LAYER AND THE OUTPUT LAYER.
- IT IS A MATHEMATICAL FUNCTION APPLIED TO THE OUTPUT OF A NEURON. IT INTRODUCES NON-LINEARITY INTO THE MODEL, ALLOWING THE NETWORK TO LEARN AND REPRESENT COMPLEX PATTERNS IN THE DATA.
- WITHOUT THIS NON-LINEARITY FEATURE A NEURAL NETWORK WOULD BEHAVE LIKE A LINEAR REGRESSION MODEL NO MATTER HOW MANY LAYERS IT HAS.
- ACTIVATION FUNCTION DECIDES WHETHER A NEURON SHOULD BE ACTIVATED BY CALCULATING THE WEIGHTED SUM OF INPUTS AND ADDING A BIAS TERM.
- THIS HELPS THE MODEL MAKE COMPLEX DECISIONS AND PREDICTIONS BY INTRODUCING NON-LINEARITIES TO THE OUTPUT OF EACH NEURON.

#### **Activation functions in Neural Networks**



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# INTRODUCING NON-LINEARITY IN NEURAL NETWORK

- NON-LINEARITY MEANS THAT THE RELATIONSHIP BETWEEN INPUT AND OUTPUT IS NOT A STRAIGHT LINE. IN SIMPLE TERMS THE OUTPUT DOES NOT CHANGE PROPORTIONALLY WITH THE INPUT. A COMMON CHOICE IS THE RELU FUNCTION DEFINED AS  $\Sigma(X)=MAX(0,X)$ .
- IMAGINE YOU WANT TO CLASSIFY APPLES AND BANANAS BASED ON THEIR SHAPE AND COLOR.
- IF WE USE A LINEAR FUNCTION, IT CAN ONLY SEPARATE THEM USING A STRAIGHT LINE.
- BUT REAL-WORLD DATA IS OFTEN MORE COMPLEX LIKE OVERLAPPING COLORS, DIFFERENT LIGHTING, ETC.
- BY ADDING A NON-LINEAR ACTIVATION FUNCTION LIKE RELU, SIGMOID OR TANH THE NETWORK CAN CREATE CURVED DECISION BOUNDARIES TO SEPARATE THEM CORRECTLY.

#### **EFFECT OF NON-LINEARITY**

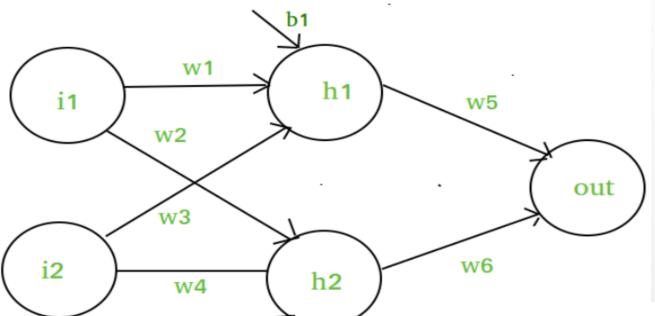
- THE INCLUSION OF THE RELU ACTIVATION FUNCTION "SIGMA" ALLOWS H1 TO INTRODUCE A NON-LINEAR DECISION BOUNDARY IN THE INPUT SPACE. THIS NON-LINEARITY ENABLES THE NETWORK TO LEARN MORE COMPLEX PATTERNS THAT ARE NOT POSSIBLE WITH A PURELY LINEAR MODEL SUCH AS:
- MODELING FUNCTIONS THAT ARE NOT LINEARLY SEPARABLE.
- INCREASING THE CAPACITY OF THE NETWORK TO FORM MULTIPLE DECISION BOUNDARIES BASED ON THE COMBINATION OF WEIGHTS AND BIASES.

### MATHEMATICAL PROOF OF NEED OF NON-LINEARITY IN NEURAL NETWORKS

To illustrate the need for non-linearity in neural networks with a specific example let's consider a network with two input nodes  $(i_1$  and  $i_2)$ , a single hidden layer containing neurons  $h_1$  and  $h_2$  and an output neuron (out).

We will use  $w_1, w_2$  as weights connecting the inputs to the hidden neuron and  $w_5$  as the weight connecting the hidden neuron to the output. We'll also include biases ( $b_1$  for the hidden neuron and  $b_2$  for the output neuron) to complete the model.

- 1. Input Layer: Two inputs  $i_1$  and  $i_2$ .
- 2. **Hidden Layer**: Two neuron  $h_1$  and  $h_2$
- 3. Output Layer: One output neuron.



**b2** 

The input to the hidden neuron  $h_1$  is calculated as a weighted sum of the inputs plus a bias:

$$h_1 = i_1.w_1 + i_2.w_3 + b_1$$

$$h_2 = i_1.w_2 + i_2.w_4 + b_2$$

The output neuron is then a weighted sum of the hidden neuron's output plus a bias:

$$\mathsf{output} = h_1.w_5 + h_2.w_6 + \mathsf{bias}$$

In order to add non-linearity, we will be using sigmoid activation function in the output layer:

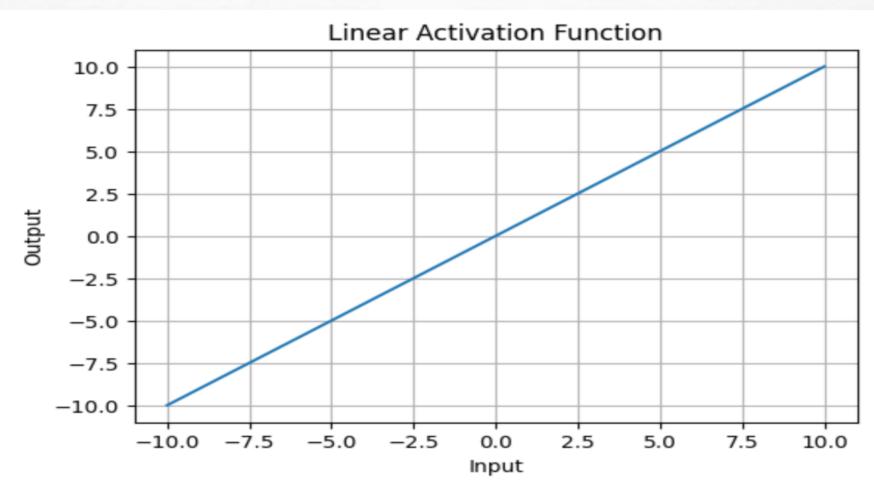
$$\sigma(x)=rac{1}{1+e^{-x}}$$
 final output  $=\sigma(h_1.w_5+h_2.w_6+ ext{bias})$  final output  $=rac{1}{1+e^{-(h_1.w_5+h_2.w_6+ ext{bias})}}$ 

This gives the final output of the network after applying the sigmoid activation function in output layers, introducing the desired non-linearity.

# TYPES OF ACTIVATION FUNCTIONS IN DEEP LEARNING

#### 1. LINEAR ACTIVATION FUNCTION

- LINEAR ACTIVATION FUNCTION RESEMBLES STRAIGHT LINE DEFINE BY Y=X. NO MATTER HOW MANY LAYERS THE NEURAL NETWORK CONTAINS IF THEY ALL USE LINEAR ACTIVATION FUNCTIONS THE OUTPUT IS A LINEAR COMBINATION OF THE INPUT.
- THE RANGE OF THE OUTPUT SPANS FROM  $(-\infty TO +\infty)$ .
- LINEAR ACTIVATION FUNCTION IS USED AT JUST ONE PLACE I.E. OUTPUT LAYER.
- USING LINEAR ACTIVATION ACROSS ALL LAYERS MAKES THE NETWORK'S ABILITY TO LEARN COMPLEX PATTERNS LIMITED.



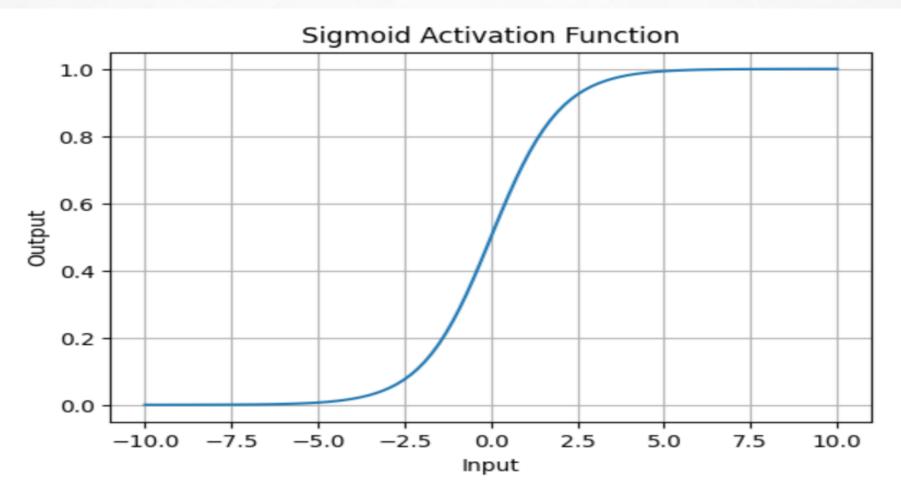
Linear Activation Function or Identity Function returns the input as the output

### 2. NON-LINEAR ACTIVATION FUNCTIONS

#### 1. SIGMOID FUNCTION

- SIGMOID ACTIVATION FUNCTION IS CHARACTERIZED BY 'S' SHAPE. IT IS MATHEMATICALLY DEFINED AS
- THIS FORMULA ENSURES A SM $A=rac{1}{1+e^{-x}}$  Continuous output that is essential for gradient-based optimization methods.
- IT ALLOWS NEURAL NETWORKS TO HANDLE AND MODEL COMPLEX PATTERNS THAT LINEAR EQUATIONS CANNOT.
- THE OUTPUT RANGES BETWEEN 0 AND 1, HENCE USEFUL FOR BINARY CLASSIFICATION.
- THE FUNCTION EXHIBITS A STEEP GRADIENT WHEN X VALUES ARE BETWEEN -2 AND 2. THIS SENSITIVITY MEANS THAT SMALL CHANGES IN INPUT X CAN CAUSE SIGNIFICANT CHANGES IN OUTPUT Y WHICH IS CRITICAL DURING THE TRAINING PROCESS.

- •Output range: (0 to 1)
- •Shape: S-shaped curve.
- •Use case: Often used in the output layer when we need probabilities (like in binary classification).
- •**Problem**: It squashes all values between 0 and 1, so negative inputs still become positive (e.g.,  $-10 \rightarrow \sim 0$ , not negative). This can sometimes make learning slower.



Sigmoid or Logistic Activation Function Graph

#### 2. TANH ACTIVATION FUNCTION

• TANH FUNCTION (HYPERBOLIC TANGENT FUNCTION) IS A SHIFTED VERSION OF THE SIGMOID, ALLOWING IT TO STRETCH ACROSS THE Y-AXIS. IT IS DEFINED AS:

$$f(x) = \tanh(x) = \frac{2}{1 + e^{-2x}} - 1.$$

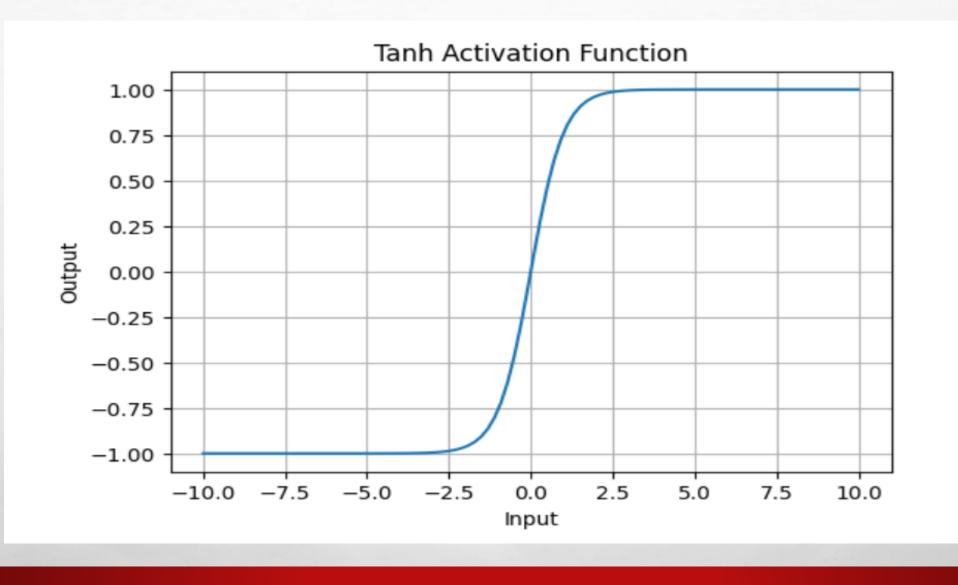
Alternatively, it can be expressed using the sigmoid function:

$$anh(x) = 2 imes ext{sigmoid}(2x) - 1$$

- Value Range: Outputs values from -1 to +1.
- Non-linear: Enables modeling of complex data patterns.
- Use in Hidden Layers: Commonly used in hidden layers due to its zerocentered output, facilitating easier learning for subsequent layers.

#### TANH FUNCTION

- •Output range: (-1 to 1)
- •Shape: Also, S-shaped, but centered at 0.
- •Use case: Good for hidden layers, because it outputs both positive and negative values, which helps the network learn faster.
- •Advantage over Sigmoid: Since it's centered at 0, positive/negative outputs make optimization easier.



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## DISADVANTAGES OF SIGMOID

- 1. SIGMOID IS NOT ZERO-CENTERED
- SIGMOID MAPS EVERYTHING INTO (0, 1).
- EXAMPLE:
  - INPUT =  $-10 \rightarrow \text{OUTPUT} \approx 0$
  - INPUT = +10 → OUTPUT ≈ 1
- NOTICE: **BOTH NEGATIVE AND POSITIVE INPUTS GIVE NON-NEGATIVE OUTPUTS** (ALWAYS ≥ 0).
- THIS CAUSES THE GRADIENTS (DERIVATIVES) DURING BACKPROPAGATION TO ALSO BE ALWAYS POSITIVE OR ALWAYS VERY SMALL.

- 2. EFFECT ON WEIGHT UPDATES
- IN GRADIENT DESCENT, WEIGHT UPDATES DEPEND ON THE SIGN OF THE GRADIENT.
- IF THE ACTIVATION IS ALWAYS POSITIVE (LIKE SIGMOID), THE **GRADIENTS FOR WEIGHTS KEEP POINTING IN THE SAME DIRECTION**, INSTEAD OF BALANCING BETWEEN POSITIVE AND NEGATIVE CORRECTIONS.
- THIS LEADS TO ZIG-ZAGGING UPDATES AND SLOWER CONVERGENCE.

- 3. VANISHING GRADIENT PROBLEM
- FOR VERY LARGE POSITIVE OR NEGATIVE INPUTS, THE SIGMOID SATURATES NEAR 0 OR 1.
- IN THESE REGIONS, THE GRADIENT (SLOPE) ≈ 0.
- THIS MEANS WEIGHTS STOP UPDATING EFFECTIVELY, MAKING LEARNING EXTREMELY SLOW.

# WHY TANH IS BETTER IN HIDDEN LAYERS

- TANH OUTPUTS IN (-1 TO 1) → ZERO-CENTERED.
- THIS MEANS NEGATIVE INPUTS GIVE NEGATIVE OUTPUTS, POSITIVE INPUTS GIVE POSITIVE OUTPUTS.
- SO, THE GRADIENT UPDATES CAN **MOVE IN BOTH DIRECTIONS**, LEADING TO **FASTER AND SMOOTHER LEARNING** COMPARED TO SIGMOID.

- •An activation function is **zero-centered** if its output can be both **negative** and **positive**, with 0 as the "center point."
- •Example:
- •Tanh outputs values between -1 and +1.
  - •If input is negative  $\rightarrow$  output is negative (e.g.,  $tanh(-2) \approx -0.96$ ).
  - •If input is positive  $\rightarrow$  output is positive (e.g.,  $tanh(+2) \approx +0.96$ ).
  - •If input =  $0 \rightarrow \text{output} = 0$ .
- •So, the function is "balanced" around 0 → that's why we call it zero-centered.

### 3. RELU (RECTIFIED LINEAR UNIT) FUNCTION

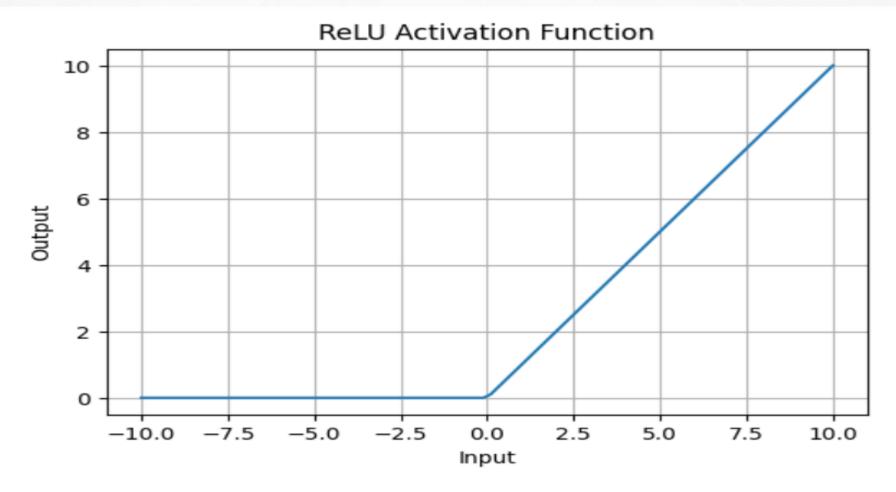
- **RELU ACTIVATION** IS DEFINED BY **A(X)=MAX(0,X)**, THIS MEANS THAT IF THE INPUT X IS POSITIVE, RELU RETURNS X, IF THE INPUT IS NEGATIVE, IT RETURNS 0.
- VALUE RANGE: [0,∞), MEANING THE FUNCTION ONLY OUTPUTS NON-NEGATIVE VALUES.
- **NATURE**: IT IS A NON-LINEAR ACTIVATION FUNCTION, ALLOWING NEURAL NETWORKS TO LEARN COMPLEX PATTERNS AND MAKING BACKPROPAGATION MORE EFFICIENT.
- ADVANTAGE OVER OTHER ACTIVATION: RELU IS LESS COMPUTATIONALLY EXPENSIVE THAN TANH AND SIGMOID BECAUSE IT INVOLVES SIMPLER MATHEMATICAL OPERATIONS. AT A TIME ONLY A FEW NEURONS ARE ACTIVATED MAKING THE NETWORK SPARSE MAKING IT EFFICIENT AND EASY FOR COMPUTATION.

#### ADVANTAGES OF RELU

- RELU = F(X) = MAX(0, X)
- ITS DERIVATIVE IS EITHER 1 (FOR POSITIVE X) OR 0 (FOR NEGATIVE X).
- FOR POSITIVE INPUTS, GRADIENT PASSES BACK WITHOUT SHRINKING.
- THIS KEEPS GRADIENTS STRONG AND STABLE ACROSS MANY LAYERS.
- SO DEEPER LAYERS CONTINUE LEARNING EFFECTIVELY.

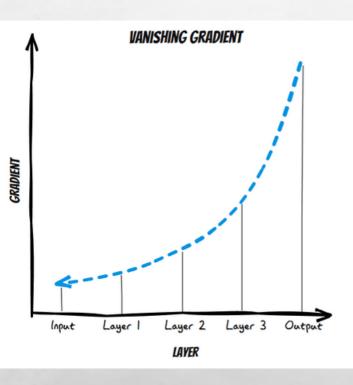
- WHY IT'S USEFUL
- SIMPLE & FAST → JUST COMPARE WITH 0.
- NO SATURATION FOR POSITIVE INPUTS (UNLIKE SIGMOID/TANH) → AVOIDS THE VANISHING GRADIENT PROBLEM IN MOST CASES.
- MAKES TRAINING MUCH FASTER IN DEEP NETWORKS.

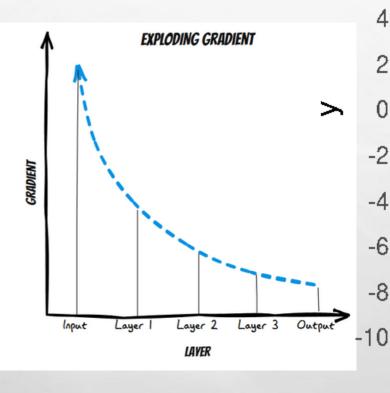
- DRAWBACK (THE "DYING RELU" PROBLEM)
- IF TOO MANY INPUTS ARE NEGATIVE, OUTPUTS ARE ALWAYS 0.
- NEURON MAY "DIE" (STOP LEARNING) BECAUSE GRADIENT IS ALSO 0.

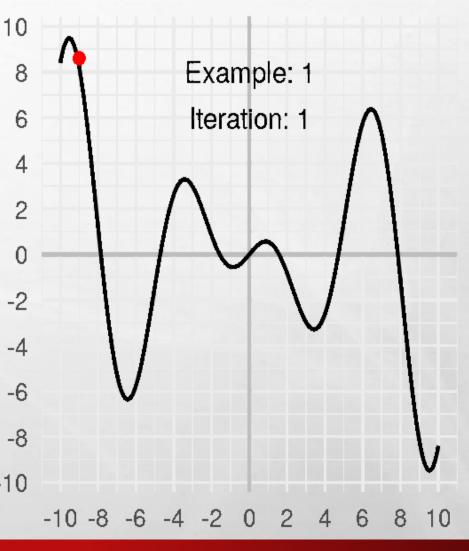


ReLU Activation Function

# VANISHING GRADIENT







## 3. EXPONENTIAL LINEAR UNITS

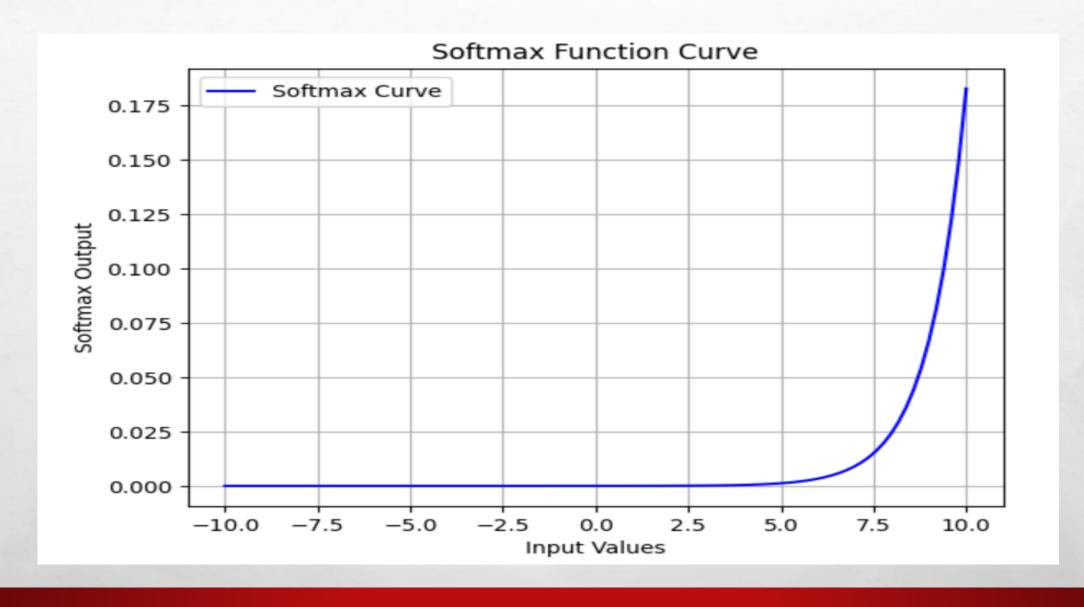
## 1. SOFTMAX FUNCTION

- SOFTMAX FUNCTION IS DESIGNED TO HANDLE MULTI-CLASS CLASSIFICATION PROBLEMS. IT TRANSFORMS RAW OUTPUT SCORES FROM A NEURAL NETWORK INTO PROBABILITIES. IT WORKS BY SQUASHING THE OUTPUT VALUES OF EACH CLASS INTO THE RANGE OF 0 TO 1 WHILE ENSURING THAT THE SUM OF ALL PROBABILITIES EQUALS 1.
- SOFTMAX IS A NON-LINEAR ACTIVATION FUNCTION.
- THE SOFTMAX FUNCTION ENSURES THAT EACH CLASS IS ASSIGNED A PROBABILITY, HELPING TO IDENTIFY WHICH CLASS THE INPUT BELONGS TO.

- IMAGINE A MODEL GIVES RAW SCORES: [2.0, 1.0, 0.1].
- THESE NUMBERS ARE NOT EASY TO INTERPRET.
- SOFTMAX TURNS THEM INTO PROBABILITIES: [0.65, 0.24, 0.11].
- NOW YOU CAN SAY:
  - CLASS 1 → 65% CHANCE
  - CLASS 2 → 24% CHANCE
  - CLASS 3 → 11% CHANCE

#### WHERE IT'S USED

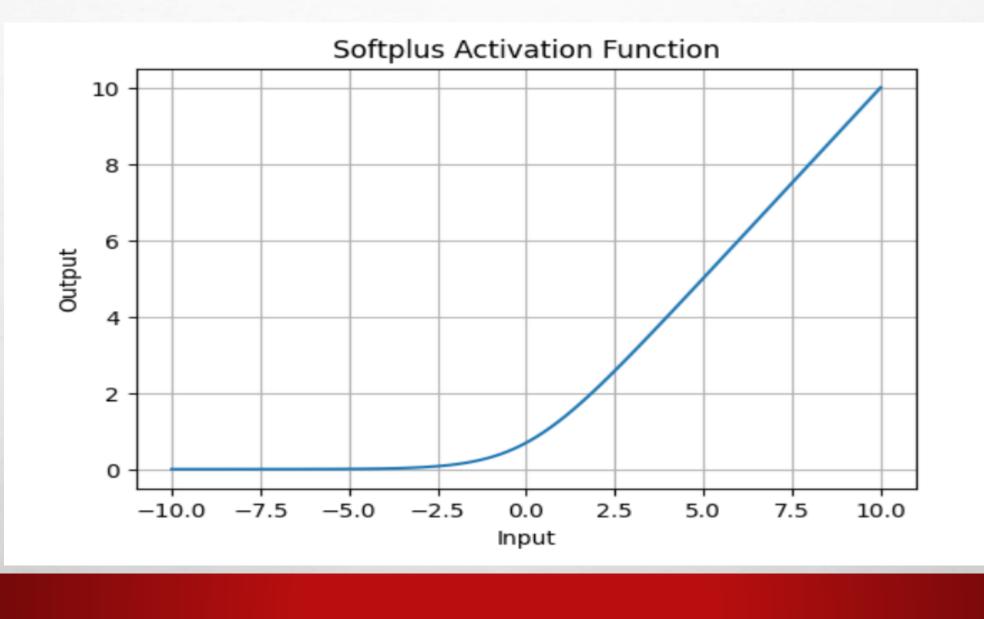
- ALMOST ALWAYS IN THE **OUTPUT LAYER OF CLASSIFICATION PROBLEMS** (WHEN YOU HAVE MULTIPLE CLASSES).
- EXAMPLE: IMAGE CLASSIFICATION (CAT, DOG, HORSE).



## 2. SOFTPLUS FUNCTION

• SOFTPLUS FUNCTION IS DEFINED MATHEMATICALLY AS:  $A(x) = \log(1 + e^x)$ 

- THIS EQUATION ENSURES THAT THE OUTPUT IS ALWAYS POSITIVE AND DIFFERENTIABLE AT ALL POINTS WHICH IS AN ADVANTAGE OVER THE TRADITIONAL RELU FUNCTION.
- NATURE: THE SOFTPLUS FUNCTION IS NON-LINEAR.
- RANGE: THE FUNCTION OUTPUTS VALUES IN THE RANGE (0,∞), SIMILAR TO RELU, BUT WITHOUT THE HARD ZERO THRESHOLD THAT RELU HAS.
- **SMOOTHNESS**: SOFTPLUS IS A SMOOTH, CONTINUOUS FUNCTION, MEANING IT AVOIDS THE SHARP DISCONTINUITIES OF RELU WHICH CAN SOMETIMES LEAD TO PROBLEMS DURING OPTIMIZATION.

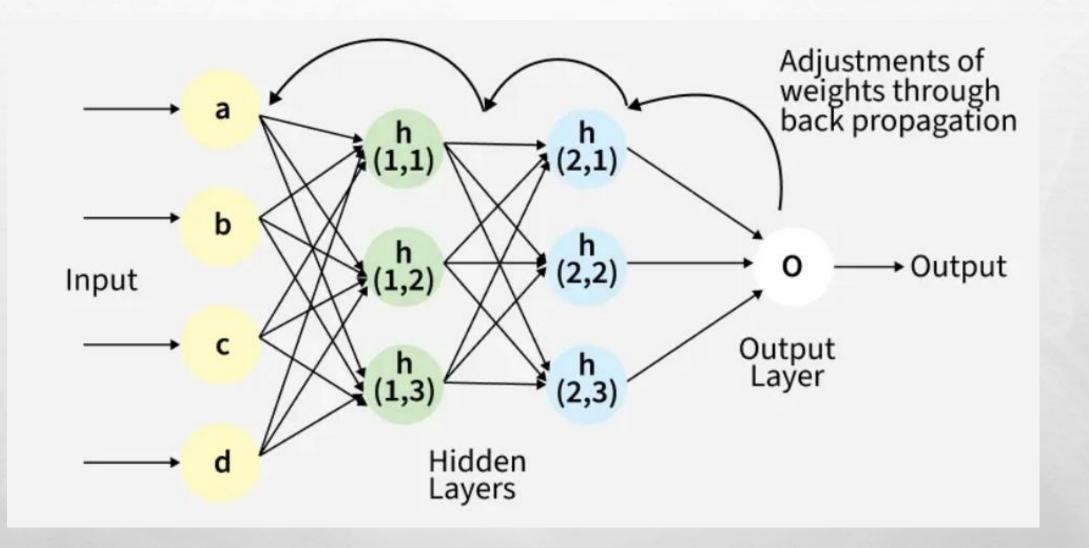


# IMPACT OF ACTIVATION FUNCTIONS ON MODEL PERFORMANCE

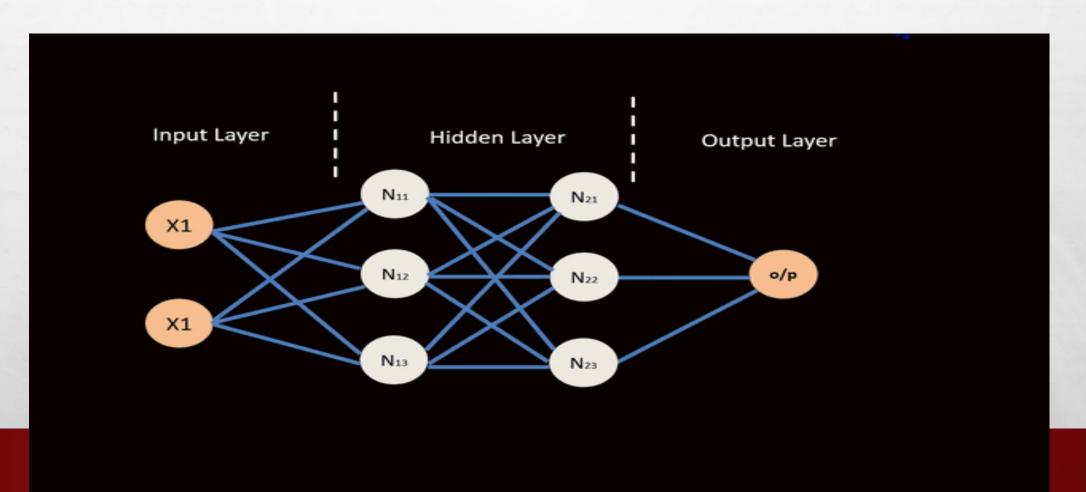
- THE CHOICE OF ACTIVATION FUNCTION HAS A DIRECT IMPACT ON THE PERFORMANCE OF A NEURAL NETWORK IN SEVERAL WAYS:
- **CONVERGENCE SPEED:** FUNCTIONS LIKE RELU ALLOW FASTER TRAINING BY AVOIDING THE VANISHING GRADIENT PROBLEM WHILE SIGMOID AND TANH CAN SLOW DOWN CONVERGENCE IN DEEP NETWORKS.
- GRADIENT FLOW: ACTIVATION FUNCTIONS LIKE RELU ENSURE BETTER GRADIENT FLOW, HELPING DEEPER LAYERS LEARN EFFECTIVELY. IN CONTRAST SIGMOID CAN LEAD TO SMALL GRADIENTS, HINDERING LEARNING IN DEEP LAYERS.
- MODEL COMPLEXITY: ACTIVATION FUNCTIONS LIKE SOFTMAX ALLOW THE MODEL TO HANDLE COMPLEX MULTI-CLASS PROBLEMS, WHEREAS SIMPLER FUNCTIONS LIKE RELU OR LEAKY RELU ARE USED FOR BASIC LAYERS.

### **BACKPROPAGATION**

- BACK PROPAGATION IS ALSO KNOWN AS "BACKWARD PROPAGATION OF ERRORS" IS A
  METHOD USED TO TRAIN NEURAL NETWORK. ITS GOAL IS TO REDUCE THE DIFFERENCE
  BETWEEN THE MODEL'S PREDICTED OUTPUT AND THE ACTUAL OUTPUT BY ADJUSTING
  THE WEIGHTS AND BIASES IN THE NETWORK.
- IT WORKS ITERATIVELY TO ADJUST WEIGHTS AND BIAS TO MINIMIZE THE COST FUNCTION. IN EACH EPOCH THE MODEL ADAPTS THESE PARAMETERS BY REDUCING LOSS BY FOLLOWING THE ERROR GRADIENT. IT OFTEN USES OPTIMIZATION ALGORITHMS LIKE **GRADIENT DESCENT** OR **STOCHASTIC GRADIENT DESCENT**. THE ALGORITHM COMPUTES THE GRADIENT USING THE CHAIN RULE FROM CALCULUS ALLOWING IT TO EFFECTIVELY NAVIGATE COMPLEX LAYERS IN THE NEURAL NETWORK TO MINIMIZE THE COST FUNCTION.



# BACKPROPAGATION

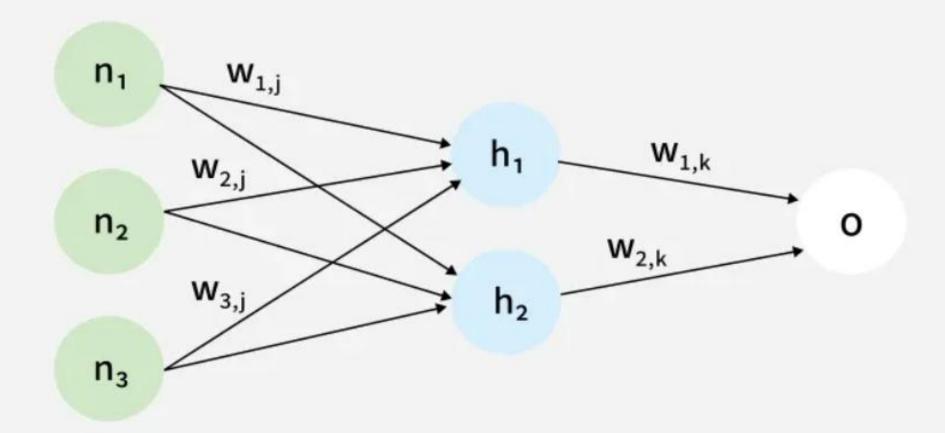


# WORKING OF BACK PROPAGATION ALGORITHM

• THE BACK PROPAGATION ALGORITHM INVOLVES TWO MAIN STEPS: THE **FORWARD PASS** AND THE **BACKWARD PASS**.

#### FORWARD PASS WORK

- IN **FORWARD PASS** THE INPUT DATA IS FED INTO THE INPUT LAYER. THESE INPUTS COMBINED WITH THEIR RESPECTIVE WEIGHTS ARE PASSED TO HIDDEN LAYERS. FOR EXAMPLE, IN A NETWORK WITH TWO HIDDEN LAYERS (H1 AND H2) THE OUTPUT FROM H1 SERVES AS THE INPUT TO H2. BEFORE APPLYING AN ACTIVATION FUNCTION, A BIAS IS ADDED TO THE WEIGHTED INPUTS.
- EACH HIDDEN LAYER COMPUTES THE WEIGHTED SUM ('A') OF THE INPUTS THEN APPLIES AN ACTIVATION FUNCTION LIKE **RELU (RECTIFIED LINEAR UNIT)** TO OBTAIN THE OUTPUT ('O'). THE OUTPUT IS PASSED TO THE NEXT LAYER WHERE AN ACTIVATION FUNCTION SUCH AS **SOFTMAX** CONVERTS THE WEIGHTED OUTPUTS INTO PROBABILITIES FOR CLASSIFICATION.



Input Layer

Hidden Layer

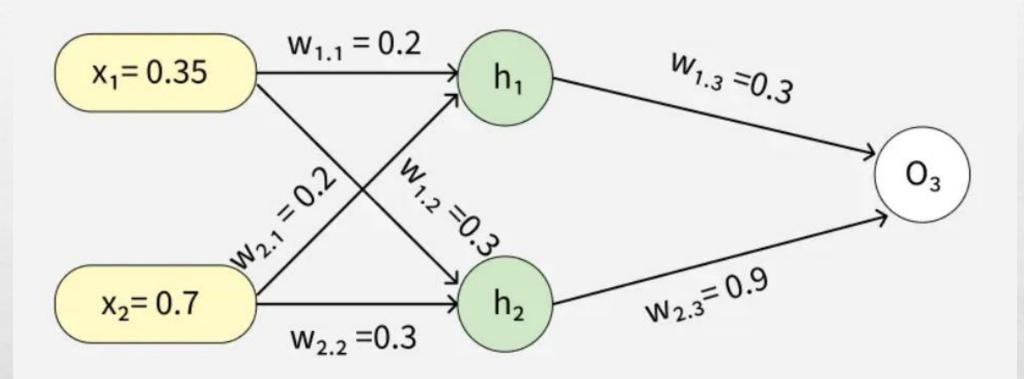
**Output Layer** 

#### **BACKWARD PASS**

- IN THE BACKWARD PASS THE ERROR (THE DIFFERENCE BETWEEN THE PREDICTED AND ACTUAL OUTPUT) IS PROPAGATED BACK THROUGH THE NETWORK TO ADJUST THE WEIGHTS AND BIASES. ONE COMMON METHOD FOR ERROR CALCULATION IS THE MEAN SQUARED ERROR (MSE) GIVEN BY:
- MSE =  $(PREDICTED\ OUTPUT ACTUAL\ OUTPUT)^2$
- ONCE THE ERROR IS CALCULATED THE NETWORK ADJUSTS WEIGHTS USING GRADIENTS WHICH ARE COMPUTED WITH THE CHAIN RULE. THESE GRADIENTS INDICATE HOW MUCH EACH WEIGHT AND BIAS SHOULD BE ADJUSTED TO MINIMIZE THE ERROR IN THE NEXT ITERATION. THE BACKWARD PASS CONTINUES LAYER BY LAYER ENSURING THAT THE NETWORK LEARNS AND IMPROVES ITS PERFORMANCE. THE ACTIVATION FUNCTION THROUGH ITS DERIVATIVE PLAYS A CRUCIAL ROLE IN COMPUTING THESE GRADIENTS DURING BACK PROPAGATION.

# EXAMPLE OF BACK PROPAGATION IN MACHINE LEARNING

• ASSUME THE NEURONS USE THE SIGMOID ACTIVATION FUNCTION FOR THE FORWARD AND BACKWARD PASS. THE TARGET OUTPUT IS 0.5 AND THE LEARNING RATE IS 1.



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### FORWARD PROPAGATION

#### 1. Initial Calculation

The weighted sum at each node is calculated using:

$$aj = \sum (wi, j*xi)$$

Where,

- ullet  $a_j$  is the weighted sum of all the inputs and weights at each node
- ullet  $w_{i,j}$  represents the weights between the  $i^{th}$ input and the  $j^{th}$  neuron
- ullet  $x_i$  represents the value of the  $i^{th}$  input

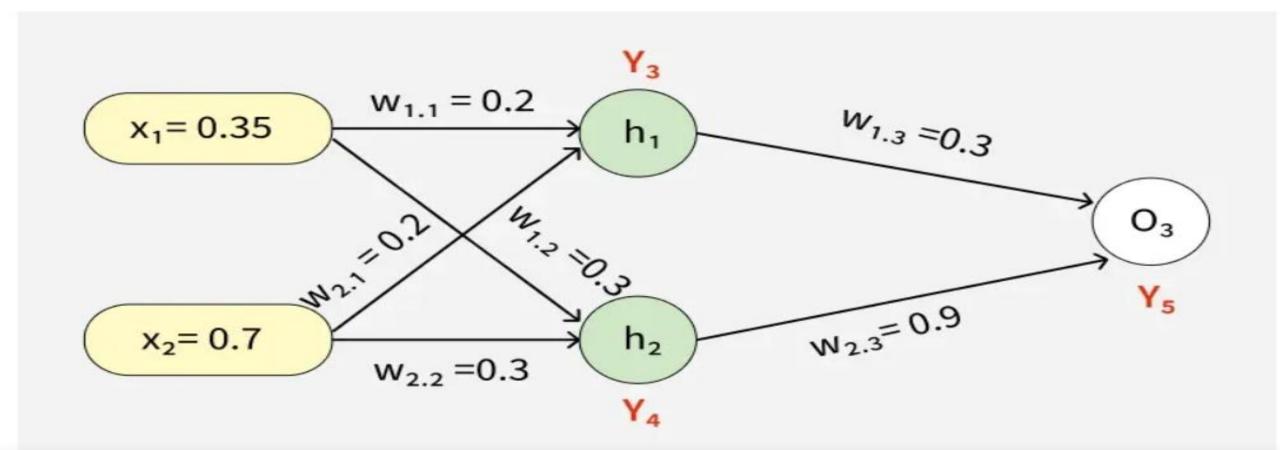
o (output): After applying the activation function to a, we get the output of the neuron:

$$o_j$$
 = activation function( $a_j$ )

#### 2. Sigmoid Function

The sigmoid function returns a value between 0 and 1, introducing non-linearity into the model.

$$y_j=rac{1}{1+e^{-a_j}}$$



#### 3. Computing Outputs

At h1 node

0.315

$$a_1 = (w_{1,1}x_1) + (w_{2,1}x_2)$$
  
=  $(0.2 * 0.35) + (0.2 * 0.7)$   
=  $0.21$ 

Once we calculated the  $a_1$  value, we can now proceed to find the  $y_3$  value:

$$y_j = F(a_j) = rac{1}{1+e^{-a_1}}$$
  $y_3 = F(0.21) = rac{1}{1+e^{-0.21}}$   $y_3 = 0.56$ 

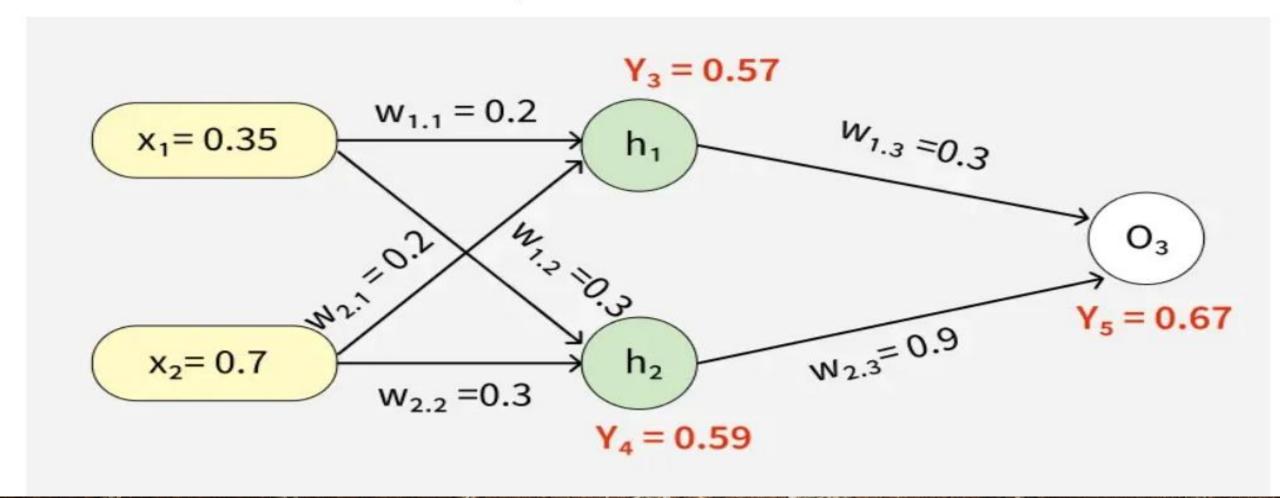
Similarly find the values of  $y_4$  at  $h_2$  and  $y_5$  at  $O_3$ 

$$a_2 = (w_{1,2} * x_1) + (w_{2,2} * x_2) = (0.3 * 0.35) + (0.3 * 0.7) =$$

$$y_4 = F(0.315) = rac{1}{1 + e^{-0.315}}$$
  $a3 = (w_{1,3} * y_3) + (w_{2,3} * y_4) = (0.3 * 0.57) + (0.9 * 0.59) =$ 

$$y_5 = F(0.702) = rac{1}{1 + e^{-0.702}} = 0.67$$

0.702



#### 4. Error Calculation

Our actual output is 0.5 but we obtained 0.67. To calculate the error we can use the below formula:

$$Error_j = y_{target} - y_5$$

$$=> 0.5 - 0.67 = -0.17$$

Using this error value we will be backpropagating.

### **BACK PROPAGATION**

#### 1. Calculating Gradients

The change in each weight is calculated as:

$$\Delta w_{ij} = \eta imes \delta_j imes O_j$$

#### Where:

- ullet  $\delta_j$  is the error term for each unit,
- $\eta$  is the learning rate.

#### 2. Output Unit Error

For O3:

$$\delta_5 = y_5(1 - y_5)(y_{target} - y_5)$$

$$= 0.67(1 - 0.67)(-0.17) = -0.0376$$

#### 3. Hidden Unit Error

For h1:

$$\delta_3 = y_3(1-y_3)(w_{1,3} imes \delta_5)$$
 
$$= 0.56(1-0.56)(0.3 imes -0.0376) = -0.0027$$

For h2:

$$\delta_4 = y_4(1 - y_4)(w_{2,3} \times \delta_5)$$
  
=  $0.59(1 - 0.59)(0.9 \times -0.0376) = -0.0819$ 

#### 4. Weight Updates

For the weights from hidden to output layer:

$$\Delta w_{2,3} = 1 imes (-0.0376) imes 0.59 = -0.022184$$

New weight:

$$w_{2,3}({\sf new}) = -0.022184 + 0.9 = 0.877816$$

For weights from input to hidden layer:

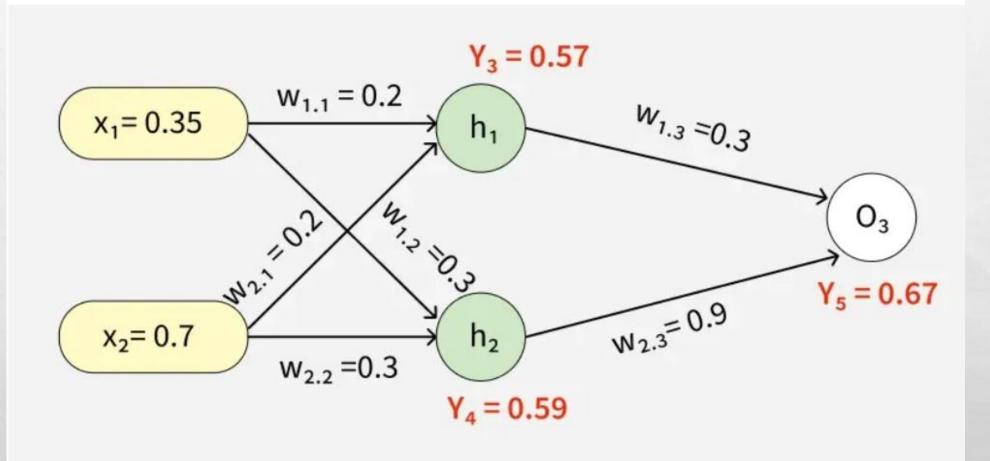
$$\Delta w_{1,1} = 1 \times (-0.0027) \times 0.35 = 0.000945$$

New weight:

$$w_{1,1}(\text{new}) = 0.000945 + 0.2 = 0.200945$$

Similarly other weights are updated:

- $w_{1,2}(\text{new}) = 0.273225$
- $w_{1.3}(\text{new}) = 0.086615$
- $w_{2,1}(\text{new}) = 0.269445$
- $w_{2,2}(\text{new}) = 0.18534$



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After updating the weights the forward pass is repeated yielding:

- $y_3 = 0.57$
- $y_4 = 0.56$
- $y_5 = 0.61$

Since  $y_5=0.61$  is still not the target output the process of calculating the error and backpropagating continues until the desired output is reached.

This process demonstrates how Back Propagation iteratively updates weights by minimizing errors until the network accurately predicts the output.

$$Error = y_{target} - y_5$$

$$= 0.5 - 0.61 = -0.11$$

This process is said to be continued until the actual output is gained by the neural network.

### **LOSS FUNCTIONS**

• A LOSS FUNCTION IS A MATHEMATICAL WAY TO MEASURE HOW GOOD OR BAD A MODEL'S PREDICTIONS ARE COMPARED TO THE ACTUAL RESULTS. IT GIVES A SINGLE NUMBER THAT TELLS US HOW FAR OFF THE PREDICTIONS ARE. THE SMALLER THE NUMBER, THE BETTER THE MODEL IS DOING. LOSS FUNCTIONS ARE USED TO TRAIN MODELS.

## LOSS FUNCTIONS ARE IMPORTANT BECAUSE THEY:

- GUIDE MODEL TRAINING: DURING TRAINING, ALGORITHMS SUCH AS <u>GRADIENT</u> <u>DESCENT</u> USE THE LOSS FUNCTION TO ADJUST THE MODEL'S PARAMETERS AND TRY TO REDUCE THE ERROR AND IMPROVE THE MODEL'S PREDICTIONS.
- **MEASURE PERFORMANCE:** BY FINDING THE DIFFERENCE BETWEEN PREDICTED AND ACTUAL VALUES AND IT CAN BE USED FOR EVALUATING THE MODEL'S PERFORMANCE.
- AFFECT LEARNING BEHAVIOR: DIFFERENT LOSS FUNCTIONS CAN MAKE THE MODEL LEARN IN DIFFERENT WAYS DEPENDING ON WHAT KIND OF MISTAKES THEY MAKE.

## KINDS OF LOSS FUNCTIONS

#### 1. REGRESSION LOSS FUNCTIONS

THESE ARE USED WHEN YOUR MODEL NEEDS TO **PREDICT A CONTINUOUS NUMBER** SUCH AS PREDICTING THE PRICE OF A

PRODUCT OR AGE OF A PERSON. POPULAR REGRESSION LOSS

FUNCTIONS ARE:

## 1. MEAN SQUARED ERROR (MSE) LOSS

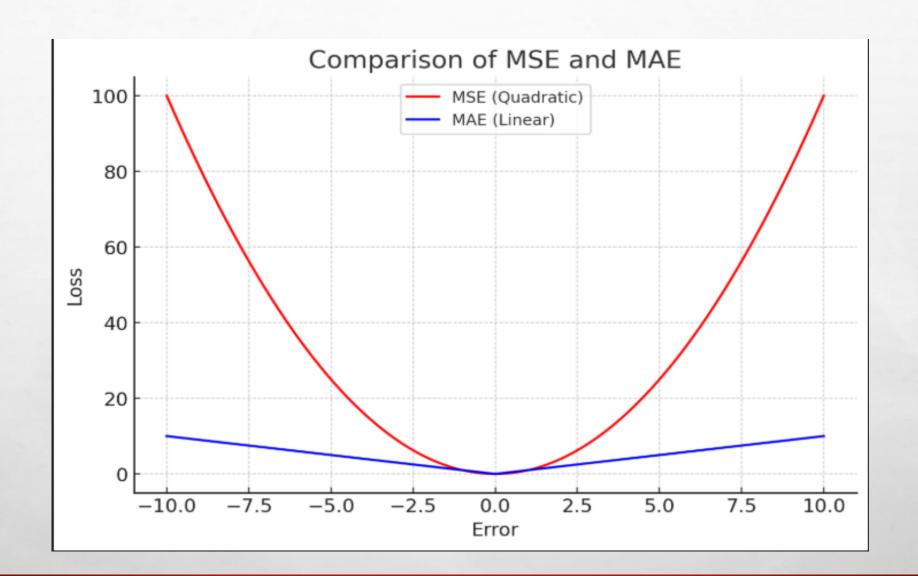
• MEAN SQUARED ERROR (MSE) LOSS IS ONE OF THE MOST WIDELY USED LOSS FUNCTIONS FOR REGRESSION TASKS. IT CALCULATES THE AVERAGE OF THE SQUARED DIFFERENCES BETWEEN THE PREDICTED VALUES AND THE ACTUAL VALUES. IT IS SIMPLE TO UNDERSTAND AND SENSITIVE TO OUTLIERS BECAUSE THE ERRORS ARE SQUARED WHICH CAN AFFECT THE LOSS.

• MSE = 
$$\frac{1}{n} \sum_{i=1}^{n} \left( y_i - \hat{y}_i \right)^2$$

#### 2. MEAN ABSOLUTE ERROR (MAE) LOSS

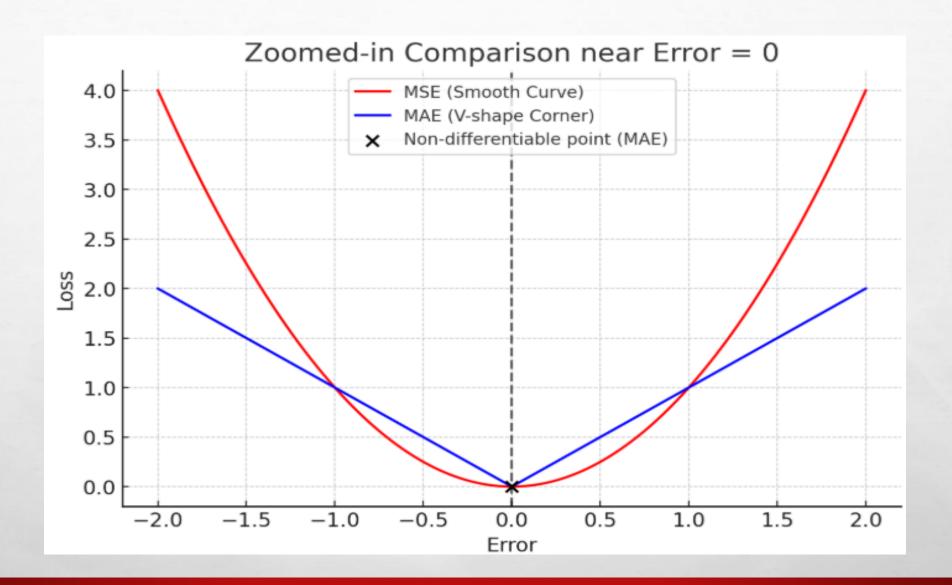
• MEAN ABSOLUTE ERROR (MAE) LOSS IS ANOTHER COMMONLY USED LOSS FUNCTION FOR REGRESSION. IT CALCULATES THE AVERAGE OF THE ABSOLUTE DIFFERENCES BETWEEN THE PREDICTED VALUES AND THE ACTUAL VALUES. IT IS LESS SENSITIVE TO OUTLIERS COMPARED TO MSE. BUT IT IS NOT DIFFERENTIABLE AT ZERO WHICH CAN CAUSE ISSUES FOR SOME OPTIMIZATION ALGORITHMS.

• MAE = 
$$\frac{1}{n} \sum_{i=1}^{n} |y_i - \widehat{y}_i|$$



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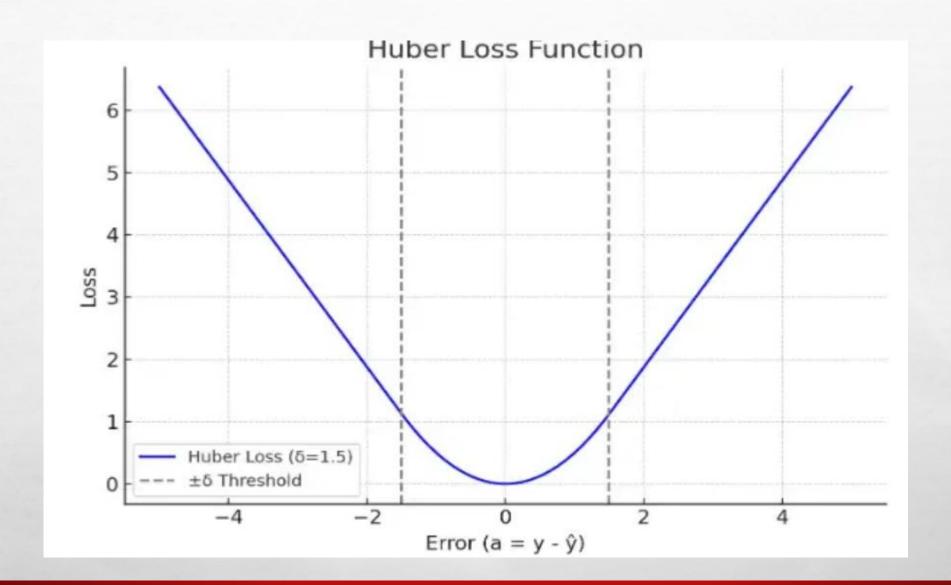
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#### 3. HUBER LOSS

• HUBER LOSS COMBINES THE ADVANTAGES OF MSE AND MAE. IT IS LESS SENSITIVE TO OUTLIERS THAN MSE AND DIFFERENTIABLE EVERYWHERE UNLIKE MAE. IT REQUIRES TUNING OF THE PARAMETER  $\delta$ . HUBER LOSS IS DEFINED AS:

$$\begin{cases} \frac{1}{2} \left( y_i - \hat{y}_i \right)^2 & FOR \mid y_i - \hat{y}_i \mid \le \delta \\ \delta \mid y_i - \hat{y}_i \mid -\frac{1}{2} \delta^2 & FOR \mid y_i - \hat{y}_i \mid > \delta \end{cases}$$



#### 2. CLASSIFICATION LOSS FUNCTIONS

\*CLASSIFICATION LOSS FUNCTIONS ARE USED TO EVALUATE HOW WELL A CLASSIFICATION MODEL'S PREDICTIONS MATCH THE ACTUAL CLASS LABELS. THERE ARE DIFFERENT TYPES OF CLASSIFICATION LOSS FUNCTIONS:

## 1. BINARY CROSS-ENTROPY LOSS (LOG LOSS)

• BINARY CROSS-ENTROPY LOSS IS ALSO KNOWN AS LOG LOSS AND IS USED FOR BINARY CLASSIFICATION PROBLEMS. IT MEASURES THE PERFORMANCE OF A CLASSIFICATION MODEL WHOSE OUTPUT IS A PROBABILITY VALUE BETWEEN 0 AND 1.

Binary Cross-Entropy 
$$=-rac{1}{n}\sum_{i=1}^n[y_i\log(\hat{y}_i)+(1-y_i)\log(1-\hat{y}_i)]$$

#### where:

- •n is the number of data points
- • $y_i$  is the actual binary label (0 or 1)
- • $\hat{y}_i$  is the predicted probability.

#### **HOW IT WORKS**

- IF THE TRUE LABEL y = 1, THE LOSS IS:
- -LOG(Y^)
- $\rightarrow$  ENCOURAGES MODEL TO MAKE  $\hat{y}$  CLOSE TO 1.
- IF THE TRUE LABEL y = 0, THE LOSS IS:
- -LOG(1-Y^)
- $\rightarrow$  ENCOURAGES MODEL TO MAKE  $\hat{y}$ CLOSE TO **0**.

### INTUITION

- •Logarithm penalty: If the model predicts wrong with high confidence, the penalty is very large.
- •Example: True = 1, Predicted = 0.01 → Loss = -log(0.01)≈4.6
- •Confident & correct predictions → very low loss.
- •Confident & wrong predictions → very high loss.

#### 2. CATEGORICAL CROSS-ENTROPY LOSS

• CATEGORICAL CROSS-ENTROPY LOSS IS USED FOR MULTICLASS CLASSIFICATION PROBLEMS. IT MEASURES THE PERFORMANCE OF A CLASSIFICATION MODEL WHOSE OUTPUT IS A PROBABILITY DISTRIBUTION OVER MULTIPLE CLASSES.

#### 2. CATEGORICAL CROSS-ENTROPY LOSS

Categorical Cross-Entropy 
$$= -\sum_{i=1}^n \sum_{j=1}^k y_{ij} \log(\hat{y}_{ij})$$

#### where:

- •n is the number of data points
- •k is the number of classes,
- $\cdot y_{ij}$  is the binary indicator (0 or 1) if class label j is the correct classification for data point i
- • $\hat{y}_{ij}$  is the predicted probability for class j.

#### **EXAMPLE**

- SUPPOSE WE CLASSIFY IMAGES INTO 3 CLASSES: CAT, DOG, HORSE.
- TRUE = DOG  $\rightarrow y = [0, 1, 0]$
- MODEL PREDICTS  $\rightarrow \hat{y} = [0.1, 0.7, 0.2]$
- SINCE THE MODEL PREDICTED DOG WITH 70% CONFIDENCE → LOSS IS LOW.
- IF THE MODEL INSTEAD PREDICTED [0.7, 0.2, 0.1], THEN:
- HIGHER LOSS, BECAUSE IT WAS LESS CONFIDENT ABOUT THE CORRECT CLASS.

## 3. SPARSE CATEGORICAL CROSS-ENTROPY LOSS

 SPARSE CATEGORICAL CROSS-ENTROPY LOSS IS SIMILAR TO CATEGORICAL CROSS-ENTROPY LOSS BUT IS USED WHEN THE TARGET LABELS ARE INTEGERS INSTEAD OF ONE-HOT ENCODED VECTORS. IT IS EFFICIENT FOR LARGE DATASETS WITH MANY CLASSES.

Sparse Categorical Cross-Entropy 
$$= -\sum_{i=1}^n \log(\hat{y}_{i,y_i})$$

• WHERE  $y_i$  IS THE INTEGER REPRESENTING THE CORRECT CLASS FOR DATA POINT I.

•In Categorical Cross-Entropy (CCE), the true labels are one-hotencoded.

Example for 3 classes:

- •Cat  $\rightarrow$  [1, 0, 0]
- •Dog  $\rightarrow$  [0, 1, 0]
- •Horse → [0, 0, 1]
- •In Sparse Categorical Cross-Entropy (SCCE), the true labels are stored as integer class indices, not one-hot. Example for 3 classes:
- •Cat  $\rightarrow$  0
- •Dog → 1
- •Horse → 2

#### **EXAMPLE**

- SUPPOSE WE CLASSIFY INTO 3 CLASSES AGAIN: CAT, DOG, HORSE.
- •TRUE LABEL = DOG  $\rightarrow$  STORED AS 1 (INSTEAD OF [0,1,0]).
- PREDICTED PROBABILITIES  $\rightarrow \hat{y} = [0.1, 0.7, 0.2].$
- SAME RESULT AS CATEGORICAL CROSS-ENTROPY.

# 4. KULLBACK-LEIBLER DIVERGENCE LOSS (KL DIVERGENCE)

• KL DIVERGENCE MEASURES HOW ONE PROBABILITY DISTRIBUTION DIVERGES FROM A SECOND EXPECTED PROBABILITY DISTRIBUTION. IT IS OFTEN USED IN PROBABILISTIC MODELS. IT IS SENSITIVE TO SMALL DIFFERENCES IN PROBABILITY DISTRIBUTIONS.

KL Divergence 
$$=\sum_{i=1}^n \sum_{j=1}^k y_{ij} \log\left(rac{y_{ij}}{\hat{y}_{ij}}
ight)$$

#### EXAMPLE

- TRUE DISTRIBUTION (P):
- CAT = 0.7, DOG = 0.2, HORSE = 0.1
- PREDICTED DISTRIBUTION (Q):
- CAT = 0.6, DOG = 0.3, HORSE = 0.1
- \* KL DIVERGENCE WILL BE SMALL BECAUSE THE DISTRIBUTIONS ARE CLOSE.
- BUT IF THE MODEL PREDICTED Q = [0.1, 0.8, 0.1], KL DIVERGENCE WILL BE **LARGE**, SINCE THE MODEL IS "CONFIDENTLY WRONG."

#### 5. HINGE LOSS

• HINGE LOSS IS USED FOR TRAINING CLASSIFIERS ESPECIALLY FOR SUPPORT VECTOR MACHINES (SVMS). IT IS SUITABLE FOR BINARY CLASSIFICATION TASKS AS IT IS NOT DIFFERENTIABLE AT ZERO.

Hinge Loss 
$$= rac{1}{n} \sum_{i=1}^n \max(0, 1 - y_i \cdot \hat{y}_i)$$

where:

- • $y_i$  is the actual label (-1 or 1)
- • $\hat{y}_i$  is the predicted value.

### INTUITION

- IF THE MODEL PREDICTS CORRECTLY **AND WITH ENOUGH MARGIN**  $(y \cdot f(x)) \ge 1$ , (LOSS = 0)
- IF THE MODEL IS CORRECT BUT **TOO CLOSE TO THE BOUNDARY**  $(0 < y \cdot f(x) < 1$ , (THERE IS A **POSITIVE LOSS**  $\rightarrow$  ENCOURAGES MODEL TO INCREASE CONFIDENCE.
- IF THE MODEL IS **WRONG**  $(y \cdot f(x) \le 0)$ , (LOSS IS LARGE  $\rightarrow$  STRONG PENALTY.
- THIS ENCOURAGES THE CLASSIFIER TO NOT JUST BE CORRECT, BUT TO BE CONFIDENTLY CORRECT WITH A MARGIN.

#### **EXAMPLE**

- SUPPOSE TRUE LABEL = +1
- PREDICTION SCORE f(x) = 2: L=MAX(0,1-(1\*2))=0  $\rightarrow$  CORRECT & CONFIDENT
- PREDICTION SCORE f(x) = 0.5: L=MAX(0,1-(1\*0.5))=0.5  $\rightarrow$  :CORRECT BUT NOT CONFIDENT ENOUGH
- PREDICTION SCORE f(x) = -0.5: L=MAX(0,1-(1\*-0.5))=1.5  $\rightarrow$ :WRONG, BIG PENALTY

### WHERE IT'S USED

- •Support Vector Machines (SVMs) for maximum-margin classification.
- Some neural networks for binary classification tasks.

#### 3. RANKING LOSS FUNCTIONS

RANKING LOSS FUNCTIONS ARE USED TO EVALUATE MODELS THAT PREDICT THE RELATIVE ORDER OF ITEMS. THESE ARE COMMONLY USED IN TASKS SUCH AS RECOMMENDATION SYSTEMS AND INFORMATION RETRIEVAL.

#### 1. CONTRASTIVE LOSS

• CONTRASTIVE LOSS IS USED TO LEARN EMBEDDINGS SUCH THAT SIMILAR ITEMS ARE CLOSER IN THE EMBEDDING SPACE WHILE DISSIMILAR ITEMS ARE FARTHER APART. IT IS OFTEN USED IN SIAMESE NETWORKS.

Contrastive Loss 
$$=rac{1}{2N}\sum_{i=1}^{N}\left(y_i\cdot d_i^2+(1-y_i)\cdot\max(0,m-d_i)^2
ight)$$

#### where:

- $\bullet d_i$  is the distance between a pair of embeddings
- • $y_i$  is 1 for similar pairs and 0 for dissimilar pairs
- •m is a margin.

### INTUITION

- •If similar pair (y=1): Loss =  $D^2$  encourages network to make embeddings close together.
- •If dissimilar pair (y=0): Loss =  $max(0, m D)^2 \rightarrow no$  loss if they're farther apart than margin, but penalty if too close.

#### **EXAMPLE**

- SUPPOSE MARGIN m=2:
- PAIR IS SIMILAR, DISTANCE  $D=0.5 \rightarrow \text{LOSS}=0.25 \text{(SMALL, SINCE THEY'RE ALREADY CLOSE)}$
- PAIR IS DISSIMILAR, DISTANCE  $D=0.5 \rightarrow \text{LOSS} = (2-0.5)^2 = 2.25$  )BIG PENALTY, THEY MUST BE PUSHED APART)
- PAIR IS DISSIMILAR, DISTANCE  $D=3 \rightarrow \text{LOSS}=0$  (THEY'RE ALREADY FAR ENOUGH)

#### 2. TRIPLET LOSS

• TRIPLET LOSS IS USED TO LEARN EMBEDDINGS BY COMPARING THE RELATIVE DISTANCES BETWEEN TRIPLETS: ANCHOR, POSITIVE EXAMPLE AND NEGATIVE EXAMPLE.

Triplet Loss =

$$rac{1}{N} \sum_{i=1}^{N} \left[ \|f(x_i^a) - f(x_i^p)\|_2^2 - \|f(x_i^a) - f(x_i^n)\|_2^2 + lpha 
ight]_+$$

#### where:

- •f(x) is the embedding function
- • $x_i^a$  is the anchor
- • $x_i^p$  is the positive example
- • $x_i^n$  is the negative example
- • $\alpha$  is a margin.

### INTUITION

- D(A, P) = DISTANCE BETWEEN **ANCHOR & POSITIVE**  $\rightarrow$  SHOULD BE **SMALL**
- D(A, N) = DISTANCE BETWEEN **ANCHOR & NEGATIVE**  $\rightarrow$  SHOULD BE **LARGE**
- LOSS TRIES TO ENFORCE:
- $^{\bullet}D(A,P) + m < D(A,N)$
- $^{ullet}$  SO THE ANCHOR IS CLOSER TO POSITIVE THAN NEGATIVE BY AT LEAST MARGIN m.

#### **EXAMPLE**

- LET'S SAY MARGIN m=0.5:
- D(A, P) = 0.8, D(A, N) = 1.0 →LOSS = MAX(0,0.8–1.0+0.5)=0.3 (NOT ENOUGH MARGIN, PENALIZED)
- D(A, P) = 0.8,  $D(A, N) = 2.0 \rightarrow LOSS = MAX(0, 0.8 2.0 + 0.5) = 0$ (GOOD SEPARATION, NO PENALTY)

#### WHERE IT'S USED?

- •FaceNet (Google) for face recognition
- Speaker verification (voice embeddings)
- •Recommender systems (learning user-item embeddings)

#### 3. MARGIN RANKING LOSS

• MARGIN RANKING LOSS MEASURES THE RELATIVE DISTANCES BETWEEN PAIRS OF ITEMS AND ENSURES THAT THE CORRECT ORDERING IS MAINTAINED WITH A SPECIFIED MARGIN.

Margin Ranking Loss 
$$= rac{1}{N} \sum_{i=1}^N \max(0, -y_i \cdot (s_i^+ - s_i^-) + ext{margin})$$

#### where:

- $\bullet s_i^+$  and  $s_i^-$  are the scores for the positive and negative samples
- • $y_i$  is the label indicating the correct ordering.

### INTUITION

- •If the correct ordering already exists with enough margin, loss = 0
- •If the wrong ordering (or not enough separation), loss >  $0 \rightarrow$  pushes the model to increase the gap.

#### **EXAMPLE**

- LET'S SAY MARGIN m=1:
- CASE 1: y = +1,  $x_1 = 3$ ,  $x_2 = 1$ , L=MAX(0,-(1·(3-1))+1)=MAX(0,-2+1)=0  $\rightarrow$  CORRECT & CONFIDENT.
- CASE 2: y = +1,  $x_1 = 2$ ,  $x_2 = 2$ , L=MAX(0,-(1·(0))+1)=1  $\rightarrow$ NO MARGIN, PENALIZED.
- CASE 3: y = -1,  $x_1 = 4$ ,  $x_2 = 5$ , L=MAX(0,-(-1·(4-5))+1)=MAX(0,-(1)+1)=0  $\rightarrow$  CORRECT ORDERING.

### WHERE IT'S USED?

- Information retrieval (ranking search results).
- •Recommendation systems (ranking items).
- ·Learning-to-rank tasks like RankNet, LambdaRank, etc.

## 4. IMAGE AND RECONSTRUCTION LOSS FUNCTIONS

• THESE LOSS FUNCTIONS ARE USED TO EVALUATE MODELS THAT GENERATE OR RECONSTRUCT IMAGES ENSURING THAT THE OUTPUT IS AS CLOSE AS POSSIBLE TO THE TARGET IMAGES

#### 1. PIXEL-WISE CROSS-ENTROPY LOSS

• PIXEL-WISE CROSS-ENTROPY LOSS IS USED FOR IMAGE SEGMENTATION TASKS WHERE EACH PIXEL IS CLASSIFIED INDEPENDENTLY.

Pixel-wise Cross-Entropy  $= -rac{1}{N} \sum_{i=1}^N \sum_{c=1}^C y_{i,c} \log(\hat{y}_{i,c})$ 

#### where:

- •N is the number of pixels,
- •C is the number of classes
- • $y_{i,c}$  is the binary indicator for the correct class of pixel
- • $\hat{y}_{i,c}$  is the predicted probability for class c.

### INTUITION

- •Each pixel is treated like a tiny classification problem.
- •If the model assigns high probability to the correct class → low loss.
- •If the model assigns low probability to the correct class → high loss.

#### **EXAMPLE**

- SUPPOSE 1 PIXEL HAS 3 POSSIBLE CLASSES:
- TRUE LABEL = CLASS 2
- PREDICTED PROBABILITIES = [0.1, 0.7, 0.2]
- LOSS = -LOG(0.7)≈0.357 (LOW, BECAUSE PREDICTION WAS CONFIDENT AND CORRECT).
- IF PREDICTED PROBABILITIES = [0.8, 0.1, 0.1], LOSS = (HIGH, BECAUSE MODEL WAS WRONG AND CONFIDENT).