# Network Intrusion Detection System using an Improvised Convolution Neural Network: Comprehensive analysis and review

## **Abstract:**

There has been a rapid growth in recent years in the number of devices which are interconnected to each other to share information, resources, etc. The more it has become essential to be a part of a network, more security issues are being introduced in this chain. Intrusion detection systems(IDSs) are a modern approach to deal with the security issues that any device or even data which is a part of any network system is vulnerable to. Network intrusion detection operates in such a way that the device can function as usual being in an "open" network while keeping a check on unauthorized access, misuse, and abuse of the computer system(or any device which is in the network) by both system insiders and external penetrators. In order to detect intrusions, intrusion detection systems often use statistical fact and rule-based exploration models based on different approaches. Several host-based and network-based IDSs are investigated in this article, and the features of the network topologies are discovered. A comparison of various IDS approaches with their main properties are provided in this paper to highlight the techniques applied in them as well as the area less focused in the literature surveys as we have identified. A detailed explanation of machine learning and deep learning IDS approaches are discussed here. The metrics, simulations, and environment have been analyzed and contrasted for machine learning and deep learning algorithms and a new approach for the convolution neural network for IDS has also been proposed as a token of contribution in the existing CNN models. Finally, future directions for NIDSs have been discussed for its subsequent advancement.

**Keywords:** Intrusion detection, Machine Learning, Accuracy, Deep learning, Regression, Classification, Principal component analysis, key-feature representation, CNN

Table 1: List of abbreviations used in the manuscripts along with their full form.

| Acronym | Definition                         |
|---------|------------------------------------|
|         |                                    |
| AI      | Artificial Intelligence            |
| ML      | Machine Learning                   |
| CNN     | Convolution Neural Network         |
| DL      | Deep Learning                      |
| NIDS    | Network Intrusion Detection System |

## 1. Introduction:

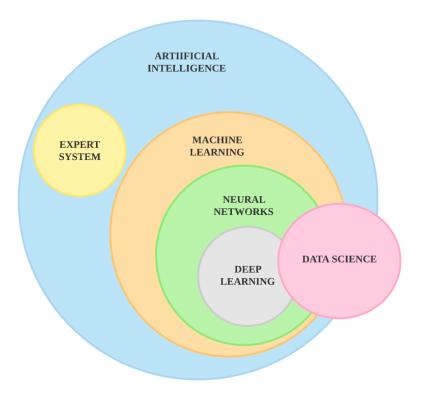


Figure 1. General Taxonomy – Artificial Intelligence Techniques

Cybersecurity, or information technology security (IT security) is the safety of PCs and networks from data disclosure, harm or theft to hardware or software. It is basically a utility of technologies, techniques and controls to shield systems, networks, programs, gadgets and information from cyber assaults. It ambitions to lessen the chance of vulnerabilities and guard against the unauthorized exploitation of system, resources, networks and data. Hence, Cyber security protects an individual or an organization against a loss which he or she can face while working on any system or digital device.

With the current development and boom withinside the improvement of internet and communication technologies over previous couple of years, network safety has emerged as a critical studies domain. Emerging novel attacks pose a massive challenge for community safety to accurately detect all kinds of intrusions. Computer networks are extensively utilized by diverse industries, enterprise and diverse fields that are present today. Therefore, constructing dependable networks is a completely crucial undertaking for an IT administrator. There are many forms of attacks threatening the availability, integrity and confidentiality of system networks which additionally impacts the corporation that trusts the network.

The Denial Of Service attack (DOS) is one of the most common dangerous attacks however there are numerous others like this which impacts the ethics of a networking environment e.g. SPY and PHF are some examples.

After the digital revolution, large quantities of data have been generated with time through various networks but this data should be accessible to only those who want it. Growing computer networks have made the process of data analysis very difficult and this makes the data or information more and more vulnerable to any sort of misuse. Network intrusion detection system provides a proper mechanism that is needed to prevent unauthorized access to data and data modification. Network Intrusion detection system

(NIDS) is one of the kind solutions against any sort of harmful attack and can be used to identify vulnerabilities. Effectiveness of NIDS is measured by the number of detected attacks and less false alarms. Out of all the emerging technologies, AI and ML are broadly utilized for building intrusion detection models, which adjust with the consistent changes in the organization network attack.

We have tried to present a comparative study between some of the best existing ML and DL models for intrusion detection and with the help of deep understanding of the algorithms, we've presented an improvised CNN model which we can use to predict whether there is any intrusion in the system or not. This can be done by using the data extracted from the request being sent which will be passed through the trained model after some preprocessing to make it fit for prediction. The Model will be able to predict whether the incoming request is a normal request or not.

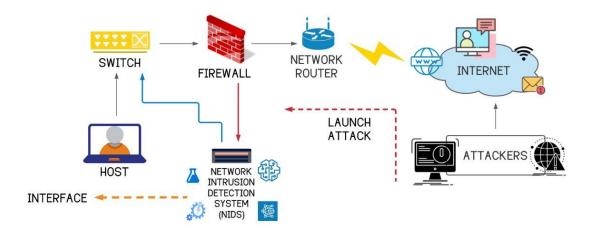


Figure 2. NIDS Representation

# 2. Literature Survey:

Table 2. Comparison with Previous surveys/ Comparison with other similar review articles

| AUTHOR &<br>YEAR                                                   | METHODOLOGY/ TECHNIQUES USED                                                                                                                                 | ADVANTAGES                                                                                                                                      | ISSUES                                                                                                                                                                                                                          | METRIC<br>USED                                                                                      |
|--------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------|
| Shone, N. Ngoc, T.N.<br>Phai, V.D. Shi, Q.<br>(2018)               | Deep Belief Networks<br>(DBNs), encoder-decoder<br>paradigm, RNN model<br>Non-Symmetric Deep<br>Auto-Encoder, Stacked<br>Non-Symmetric Deep<br>Auto-Encoders | low levels of bias, robustness to outliers and overfitting correction                                                                           | To assess and extend the capability of our model to handle zero-day attacks                                                                                                                                                     | 5% improvement in accuracy and training time reduction of up to 98.81% compared to existing models. |
| Xu,Xin, Stanley<br>Rocha, Álvaro (2018)                            | Genetic attribute reduction algorithm model based on rough set theory, Genetic attribute reduction algorithm and neural network combination                  | Great in dealing with redundant information                                                                                                     | construction of the<br>framework of<br>intrusion detection<br>system                                                                                                                                                            | calculation<br>error of the<br>system is<br>reduced to<br>0.0001                                    |
| Baig, Mirza M.<br>Awais, Mian M.<br>,El-Alfy, El-Sayed<br>M.(2017) | artificial neural network, cascading classifiers, ensemble learning, SVMs, ANNs, decision trees                                                              | multi-class intrusion detection (CANID) in computer network traffic, can efficiently detect various types of cyber attacks in computer networks | can be tested for more classification tasks involving a large number of classes, can be further refined using a filtering and example weighting strategy that favors the spare classes a little more than the remaining classes | accuracy : 99.36%  Precision & recall: above 0.97  F1- score: > 0.96                                |

| Zha, Y. Li, J.<br>(2018)                    | reconfigurable complex matching accelerator (CMA) enabled by the emerging nonvolatile memory technology (resistive random access memory) | demonstrates better performance and energy efficiency and the emerging RRAM-TCAM coprocessor, resolve the storage shortage by providing a high-density storage infrastructure | delay of the match line when performing search, ClassBench is designed for packet classification, which is the primary bottleneck in high-performance routers. | achieves 84.9%<br>area reduction                                                          |
|---------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------|
|                                             | support vector data<br>description (SVDD)                                                                                                | traditional SIL due to multi-noise samples                                                                                                                                    | processing<br>high-dimensional<br>data with<br>non-uniform density                                                                                             | Accuracy and f-score higher than other traditional/conventional existing methods.         |
| Xu, Rong-Fang Lee, Shie-Jue, Lee, Chie-Hong | Adantizalz ingramantal                                                                                                                   | attack detection rates<br>and fast learning                                                                                                                                   | reduce the training<br>time, or distributed<br>computing algorithms<br>can be applied to<br>speed up the<br>modeling process                                   | Accuracy: CAI: 82.74% SVM: 85.87% MLP: 81.61% Recall: CAI: 98.41% SVM: 95.19% MLP: 99.48% |
|                                             | hased on Demoster-Shafer                                                                                                                 | ability to detect novel<br>attacks of<br>anomaly-based<br>NIDSs                                                                                                               | development of a<br>real-time hybrid<br>NIDS able to detect a<br>wider range of threats<br>and cyber-attacks<br>against wireless<br>networks                   | and precision rates.                                                                      |

| (2016)                                                                  |                                                                                                                     |                                                                                                                                                                        |                                                                                                                                                            |                                                              |
|-------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------|
| Molina-Coronado, B.  Mori, U.  Mendiburu, A.  Miguel-Alonso, J.  (2020) | data mining and KDD                                                                                                 | reduction in the number of tasks (focusing mostly on the data mining part), and the availability of a common evaluation framework for the comparison of NIDS proposals | lack of realism in the scenario, as both the normal and the malicious traffic is artificially generated                                                    | low rates of false<br>alarms (false                          |
| Ravi, N. Shalinie, S.M. (2020)                                          | SDRK machine learning (ML) algorithm, supervised deep neural networks (DNNs) and unsupervised clustering techniques | ease of reconfiguration, flexible addition of features, reduced latency, improved service efficiency                                                                   | when the controller fails, the IoT network also fails, to optimize the retraining time to fine-tune the performance of the model for the real-time network | Accuracy:<br>99.78%.                                         |
| C /                                                                     | deep learning, neural<br>network model                                                                              | simplification and                                                                                                                                                     | optimize the system so that it can be applied to real network environments and be implemented more efficiently.                                            | KDD 99 and<br>99.31% using<br>NSL-KDD with<br>false positive |

| Chapaneri, R., & Shah,<br>S. (2019). | extreme learning machines (ELMs) | KSVCR is used for multi-class classification Ramp loss function implemented; Fast and can process network packets one or in a chunk. | Huge volume of data,<br>High cost of false<br>alarms in most IDSs,<br>anomalous class<br>distribution | performance on |
|--------------------------------------|----------------------------------|--------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------|----------------|
|--------------------------------------|----------------------------------|--------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------|----------------|

| Mishra, P.,<br>Varadharajan, V.,<br>Tupakula, U., & Pilli,<br>E. S. (2018). | Fuzzy Association rules                                                                 | Feature Extraction method: SVM and Clustering or by using statistical methods such as Particle Swarm Optimization, Principal Component Analysis, Gradual Feature Removal, and Mutual Information based Feature Selection | deep algorithms generation - lot of data for training & classification algorithm,  challenging to adopt for real-time classification because of the level of complexity involved in training huge amount of data, requirement of high-performance hardware to process the huge training data | FNT, PSO & GA, 12 DARPA 98 (99.7%); Multi NN, KDD'99 (99.7%) Remote to Local Attacks: Ensemble of ANN, SVM, MARS, DARPA 98(100%); FNT, PSO & GA, 12 |
|-----------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|
| Khan, F. A., & Gumaei,<br>A. (2019, July).                                  | were KNN, NB, NB-KE, SVM-POLY, SVM-RBF, SMO, DT, DS, HT, and RF. Classifier model: KNN  | (SVM) and decision<br>tree (C4.5) methods;<br>the accuracy of C4.5                                                                                                                                                       | loss of realism withinside the scenario, as each the everyday and the malicious visitors is artificially generated                                                                                                                                                                           | with reasonable<br>time cost of                                                                                                                     |
|                                                                             | Packet capturing mechanisms, Packet detection mechanisms, Regular expression signatures | consumption. Multithreaded architecture improve IDS performance, reduce the packet drop                                                                                                                                  | Large volume of multiple small flows can impact the CPU and memory usage as                                                                                                                                                                                                                  | Performance<br>checking,<br>Accuracy<br>Checking,<br>Checking both<br>performance and<br>accuracy                                                   |

|                                                                      | alarm rate. Deep network system (sparse auto-encoder with logistic regression) NSL-KDD dataset. Logistic on NSL-KDD dataset  NIDS, deep learning, Sparse auto-encoder, logistic                                                             | - Signature-Based Intrusion Detection approaches and also reduces False Positives and Negatives. Network learns & adjusts itself. Deep-net identify intrusion and adjust with the newer data to classify an | inaccessibility of the real-time network data model, consisting of both intrusion and normal use, on a continuous basis evolving and changing attack patterns, long learning curve and insufficient | specificity and negative predictive values were 80.7% and 90.7% respectively. |
|----------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------|
| Alazab, M., Soman, K.<br>P., Poornachandran, P.,<br>Al-Nemrat, A., & | KDDCup 99 dataset; The DNN model which performed well on KDDCup 99 is applied on other datasets, such as NSL-KDD, UNSW-NB15, Kyoto, WSN-DS, and CICIDS 2017. DNNs performed well in comparison. Scale-hybrid-IDS-Alert Net used – realtime. | performance in terms of accuracy is closer to each other's. Multi-class classification,                                                                                                                     | Remote client address, TTL, TCP options and TCP are small and limited in KDDCup 99 data sets but actually exhibit to be of large.                                                                   | accuracy range 95% to 99%. UNSW-NB15                                          |
|                                                                      | datacets (NSI KDD and                                                                                                                                                                                                                       | Detection accuracy<br>on Dos - 96.21%,<br>detection accuracy on<br>R2L - 61.32%                                                                                                                             | results, high false miss rate,                                                                                                                                                                      | achieved                                                                      |
| Su, T., Sun, H., Zhu, J.,<br>Wang, S., & Li, Y.<br>(2020).           | forward LSTM and backward<br>LSTM to extract features on<br>troffic bytes BATMC model                                                                                                                                                       | improves faster and                                                                                                                                                                                         | processing overflows,<br>and inconsistent                                                                                                                                                           | 84.25%<br>accuracy -<br>BAT-MC                                                |

| Moschoyiannis, S., & Janicke H (2020) | Convolutional neural networks (CNNs)), Generative/unsupervised models (Restricted Boltzmann machine (RBMs), Deep belief networks (DBNs), Deep Boltzmann machines (DBMs)) | Recurrent neural networks, deep neural networks, restricted Boltzmann machine, deep belief networks, convolutional neural | alarm rate of the deep<br>autoencoders is better<br>than three techniques,<br>including, restricted | gets a higher accuracy 97.376%, The deep autoencoders |
|---------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------|-------------------------------------------------------|
|---------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------|-------------------------------------------------------|

| She, C., Ma, Y., Jia,<br>L., Fei, L., & Kou, B.<br>(2016). | space information network, intrusion-detection model, anomaly and misuse detection.        | combines 2 technologies - ISA-IDS and MAIDS. Provides                                                       |                                                                                                                          | model is<br>designed for<br>long distance                          |
|------------------------------------------------------------|--------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------|
| Yin, C., Zhu, Y., Fei,<br>J., & He, X. (2017).             | Support Vector Machine.                                                                    | reduces training time; RNN-IDS model have strong modeling ability.higher accuracy rate, detection rate, low | learning knowledge                                                                                                       | RNN-IDS<br>model 97.09%<br>accuracy with                           |
| Zhou, C., Xiong, N.,                                       | Active learning, visual sensor<br>networks (VSNs), big data,<br>self-organizing map (SOM), | for VSNs is<br>proposed, traffic<br>pattern learning.<br>Hierarchical<br>self-organizing map                | Large and dynamic video data is fabricated by visual sensors. Hence it becomes a tedious task to detect attacks quickly. | high detection<br>accuracy and<br>good real-time<br>performance.AS |

| rang, n., & wang, r.                   | Improved convolutional neural<br>network (ICNN); KDDTest +<br>dataset; advanced features by<br>CNN          | Recall rate is 4.24% and 1.16% higher than LeNet-5 and RNN; detection accuracy is 8.82% and 0.51% higher than LeNet-5 and DBN | calculation tasks and low extraction                                                                         | dataset  AUC values of KDDTest+ and KDDTest-21 |
|----------------------------------------|-------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|------------------------------------------------|
| Yang, H., Qin, G., &<br>Ye, L. (2019). | support vector machine (SVM); multi-restricted Boltzmann machine (RBM); Rbf kernel SVM; focus is to improve |                                                                                                                               | Sample size is small(wireless network intrusion type); accuracy rate is lower when compared with 5-layer DBN | Precision rate:                                |
| Bayne, E., Seeam, A.                   | Neural Networks (ANN), clustering, Genetic Algorithms                                                       | examined IDS -                                                                                                                | limited number of available datasets                                                                         | IDS – ANN<br>97.25%                            |

|  | long-lasting intrusion detection architecture - building reliable ML model; Big Data High-Speed Networks. Coupling batch techniques hybrid detection architecture and stream learning techniques | analyzed comprising<br>20 TB of data, daily<br>updated model<br>reaches higher |  | MAWIFlow -<br>dataset,<br>90% accuracy |
|--|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------|--|----------------------------------------|
|--|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------|--|----------------------------------------|

| Zhong, W., Yu, N., & Ai, C. (2020).                        | clustering algorithm.Big Data<br>based Hierarchical Deep<br>Learning System (BDHDLS) is                          | Understands unique data in a single cluster and time is reduced. Boosts the performance of IDS. Efficient technique generates multi-level | clustering procedure<br>is finished for every<br>one stage cluster with<br>low best in parallel to<br>provide the cluster | Kyoto2009,<br>DARPA1998,<br>ISCX2012 and<br>CICIDS2017<br>dataset are                                         |
|------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------|
| Wu, K., Chen, Z., & Li, W. (2018).                         | Novel model utilizing convolutional neural networks (CNNs) is proposed. Imbalanced data set problem is solved.   | taise alarm rate<br>(FAR) Raw traffic                                                                                                     | Models sometimes has lower precision rate and has problems in data format.                                                | dataset                                                                                                       |
| Hu, Z., Wang, L., Qi,<br>L., Li, Y., & Yang, W.<br>(2020). | Novel ID model - adaptive synthetic sampling (ADASYN) algorithm and improved convolutional neural network (CNN). | increase diversity,                                                                                                                       | Low recognition accuracy (ACC) and high false alarm rate (FAR) is sometimes being unavoidable.                            | used.4.60% and                                                                                                |
| II 111 I 1 / /III U 1                                      | deep belief network, genetic<br>algorithm, artificial fish swarm<br>algorithm                                    |                                                                                                                                           | Fitness of the model is lowered to improve optimized network structure.                                                   | AFSA-GA-PSO applied - DBN-IDS and accuracy 82.36% obtained for  KDDTest+ and 66.25% obtained for  KDDTest-21. |

| Xiao, Y., Xing, C.,<br>Zhang, T., & Zhao, Z.<br>(2019).                                        | are used to implement network                     | speed (CNN-IDS), in<br>spite of having<br>massive data | Still not able to<br>address low<br>detection rate |                    |
|------------------------------------------------------------------------------------------------|---------------------------------------------------|--------------------------------------------------------|----------------------------------------------------|--------------------|
| Zeng, Y., Gu, H., Wei, W., & Guo, Y. (2019).                                                   | and Infiltrating are five set of                  | robust and accurate                                    | Elave valuma ar flave                              | 99.87%<br>accuracy |
| Anthi, E., Williams,<br>L., Słowińska, M.,<br>Theodorakopoulos,<br>G., & Burnap, P.<br>(2019). | Machine Learning IDSs  Attack Type Classification | successfully<br>distinguished in the                   | The system is tested using four attacks            | performance: 1)    |

## 3. Background:

We have used Google Collab's Free Tier plan to conduct all of our experiments. We use two experiments to study the performance of the IDS mode.

# 3.1 Machine learning approaches-based network intrusion detection systems

Any website visited by different people creates massive traffic, which thus can be utilized to contemplate and characterize ordinary, abnormal or malicious traffic. For this reason, research has been directed to discover optimized machine learning methods to build Network Intrusion Detection tools.

Here we have aimed to aid the research in this field. Majorly used data set for building this model is the NSL-KDD dataset. On the dataset various algorithms have been trained and tested. Considering the factors influencing effectiveness it has been observed that the dataset is tremendously skewed and not preprocessed properly. Thus, feature selection and handling of unknown network intrusions are major problem.

After the digital revolution, large quantities of data have been generated with time through various networks, but this data should be accessible to only those who want it. Growing computer networks have made the process of data analysis very difficult and this makes the data or information more and more vulnerable to any sort of misuse. A proper mechanism is needed to prevent unauthorized access to data and data modification. Thus, we have come up with a framework for network intrusion detection system which can help detect any harmful attack to the network. Models must be build distinguishing any change in network, in this manner alarming the network. This characteristic makes the intrusion prevention systems an enhance and upgrade to intrusion detection systems.

Initially, the dataset is pre-processed and classified whether an attack happens or not and then data is encoded and normalized. After data is pre-processed machine learning algorithms are performed and at each step flow of the program is revised. The algorithms used are TSNE of binary classification dataset, LGBM, SGB, Random Forest Naive Byes, Logistic Regression, KNN and Multiple ROC in Single Plot Tells the efficiency and accuracy. In the process flow NSL-KDD dataset is taken, and preprocessing is done. Then the dataset is encoded and feature reduction on the dataset is performed. Later the dataset is normalized, and machine learning algorithms have been performed. Finally testing algorithms on the test set and optimizing the network intrusion. Required libraries (python libraries or modules) are Pycaret, Shap, Numpy, Matplotlib, Sklearn, Pickle and tqdm. Once the model is built with a good accuracy, we can provide it with an interface where anyone can run the model in an abstract way and can use the system. It not only increases its real time application but also make it simpler and more feasible for use.

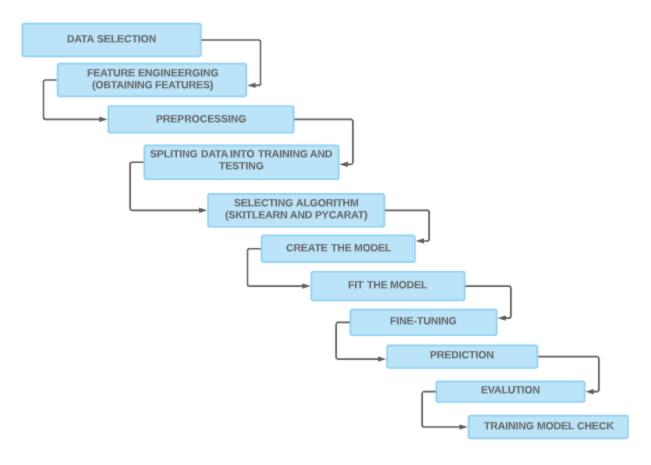


Figure 3. Process Flow of an algorithm

## ▼ Environment Setup

```
# import relevant modules
 %matplotlib inline
  import matplotlib
  import matplotlib.pyplot as plt
  import pandas as pd
  import numpy as np
  import seaborn as sns
  import sklearn
  import imblearn
  import sys
 # Ignore warnings
  import warnings
 warnings.filterwarnings('ignore')
 # Settinas
  pd.set option('display.max columns', None)
  np.set printoptions(threshold=sys.maxsize)
 np.set printoptions(threshold=np.inf, linewidth=np.nan)
 np.set printoptions(precision=3)
  sns.set(style="darkgrid")
 plt.rcParams['axes.labelsize'] = 14
 plt.rcParams['xtick.labelsize'] = 12
  plt.rcParams['ytick.labelsize'] = 12
 print("pandas : {0}".format(pd.__version__))
 print("numpy : {0}".format(np.__version__))
  print("matplotlib : {0}".format(matplotlib. version ))
  print("seaborn : {0}".format(sns. version ))
 print("sklearn : {0}".format(sklearn. version ))
  print("imblearn : {0}".format(imblearn. version ))
pandas : 1.1.5
numpy : 1.19.5
matplotlib : 3.2.2
seaborn : 0.11.2
sklearn : 1.0.1
imblearn : 0.8.1
```

#### Data Preprocessing

▼ Map attack field to attack class

NSL-KDD dataset has 42 attributes for each connection record including class label containing attack types. The attack types are categorized into four attack classes as described by Mahbod Tavallaee et al. in <u>A Detailed analysis of the KDD CUP 99 Data Set</u> as:

- Denial of Service (DoS): is an attack in which an adversary directed a deluge of traffic requests to a system in order to make the
  computing or memory resource too busy or too full to handle legitimate requests and in the process, denies legitimate users access to a
  machine.
- 2. Probing Attack (Probe): probing network of computers to gather information to be used to compromise its security controls.
- 3. **User to Root Attack (U2R)**: a class of exploit in which the adversary starts out with access to a normal user account on the system (gained either by sniffing passwords, a dictionary attack, or social engineering) and is able to exploit some vulnerability to gain root access to the system.
- 4. **Remote to Local Attack (R2L)**: occurs when an attacker who has the ability to send packets to a machine over a network but who does not have an account on that machine exploits some vulnerability to gain local access as a user of that machine.

| [ ] | [] # View top 3 train data dfkdd_train.head(3) |              |           |      |           |           |      |                |        |     |                   |           |                 |            |              |          |                    |            |     |
|-----|------------------------------------------------|--------------|-----------|------|-----------|-----------|------|----------------|--------|-----|-------------------|-----------|-----------------|------------|--------------|----------|--------------------|------------|-----|
|     | duration                                       | protocol_typ | e service | flag | src_bytes | dst_bytes | land | wrong_fragment | urgent | hot | num_failed_logins | logged_in | num_compromised | root_shell | su_attempted | num_root | num_file_creations | num_shells | num |
|     |                                                |              |           |      |           |           |      |                |        |     |                   |           |                 |            |              |          |                    |            |     |
|     |                                                |              |           |      |           |           |      |                |        |     |                   |           |                 |            |              |          |                    |            |     |
|     |                                                |              |           |      |           |           |      |                |        |     |                   |           |                 |            |              |          |                    |            |     |
|     | 4                                              | _            | _         | _    | _         | _         | _    | _              |        |     |                   |           |                 |            |              |          |                    |            |     |

▼ Exploratory Data Analysis

| # Descriptive statistics dfkdd train.describe() | # duration src\_bytes | St\_bytes | Land wrong\_fragment | urgent | Not num\_failed\_logins | logged\_in num\_compromised | root\_shell su\_attempted | num\_root num\_file\_creations | num\_compromised | root\_shell su\_attempted | num\_root\_num\_file\_creations | num\_file\_creations | num\_file\_creations

[] dfkdd\_train['num\_outbound\_cmds'].value\_counts()
dfkdd\_test['num\_outbound\_cmds'].value\_counts()

0 22544
Name: num\_outbound\_cmds, dtype: int64

```
# 'num_outbound_cmds' field has all 0 values. Hence, it will be removed from both train and test dataset since it is a redundant field.

dfkdd_train.drop(['num_outbound_cmds'], axis=1, inplace=True)

# Attack class Distribution
attack_class_freq_train = dfkdd_train[['attack_class']].apply(lambda x: x.value_counts())
attack_class_freq_train = dfkdd_test[['attack_class']].apply(lambda x: x.value_counts())
attack_class_freq_train['frequency_percent_train'] = round((100 * attack_class_freq_train / attack_class_freq_train.sum()),2)
attack_class_freq_test['frequency_percent_test'] = round((100 * attack_class_freq_test / attack_class_freq_test.sum()),2)
attack_class_dist = pd.concat([attack_class_freq_train,attack_class_freq_test], axis=1)

attack_class_frequency_percent_train_attack_class_freq_test], axis=1)

attack_class_freq_test / attack_class_freq_test / attack_class_freq_test / attack_class_freq_test.sum()),2)

attack_class_freq_test / attack_class_freq_train.sum()),2)

attack_class_dist = pd.concat([attack_class_freq_train,attack_class_freq_test], axis=1)

attack_class_freq_test / attack_class_freq_train.sum()),2)

attack_class_freq_test / attack_class_freq_train.sum()),2)

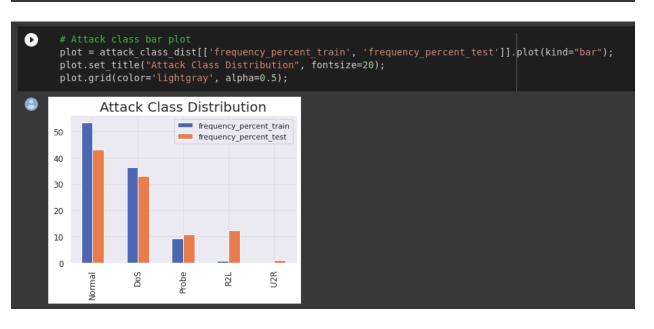
attack_class_dist = pd.concat([attack_class_freq_train,attack_class_freq_test], axis=1)

attack_class_freq_test / attack_class_freq_train.sum()),2)

attack_class_freq_test / attack_class_freq_train.sum(),2)

attack_class_freq_test / attack_class_freq_train.sum(),2)

attack_class_freq_test / attack_class_freq_tra
```



### → Scaling Numerical Attributes

```
from sklearn.preprocessing import StandardScaler
scaler = StandardScaler()

# extract numerical attributes and scale it to have zero mean and unit variance
cols = dfkdd_train.select_dtypes(include=['float64','int64']).columns
sc_train = scaler.fit_transform(dfkdd_train.select_dtypes(include=['float64','int64']))
sc_test = scaler.fit_transform(dfkdd_test.select_dtypes(include=['float64','int64']))

# turn the result back to a dataframe
sc_traindf = pd.DataFrame(sc_train, columns = cols)
sc_testdf = pd.DataFrame(sc_test, columns = cols)
```

#### ▼ Encoding of Categorical Attributes

```
from sklearn.preprocessing import LabelEncoder
encoder = LabelEncoder()

# extract categorical attributes from both training and test sets
cattrain = dfkdd_train.select_dtypes(include=['object']).copy()
cattest = dfkdd_test.select_dtypes(include=['object']).copy()

# encode the categorical attributes
traincat = cattrain.apply(encoder.fit_transform)
testcat = cattest.apply(encoder.fit_transform)

# separate target column from encoded data
enctrain = traincat.drop(['attack_class'], axis=1)
enctest = testcat.drop(['attack_class']].copy()
cat_Ytest = testcat[['attack_class']].copy()
```

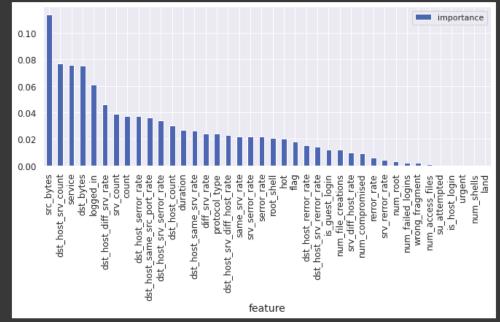
### ▼ Data Sampling

```
from imblearn.over sampling import RandomOverSampler
  from collections import Counter
  sc traindf = dfkdd train.select dtypes(include=['float64','int64'])
  refclasscol = pd.concat([sc_traindf, enctrain], axis=1).columns
  refclass = np.concatenate((sc train, enctrain.values), axis=1)
 X = refclass
  c, r = cat Ytest.values.shape
  y_test = cat_Ytest.values.reshape(c,)
  c, r = cat Ytrain.values.shape
  y = cat_Ytrain.values.reshape(c,)
  ros = RandomOverSampler(random_state=42)
  X res, y res = ros.fit resample(X, y)
  print('Original dataset shape {}'.format(Counter(y)))
  print('Resampled dataset shape {}'.format(Counter(y_res)))
Original dataset shape Counter({1: 67343, 0: 45927, 2: 11656, 3: 995, 4: 52})
Resampled dataset shape Counter({1: 67343, 0: 67343, 3: 67343, 2: 67343, 4: 67343})
```

#### Feature Selection

```
[ ] from sklearn.ensemble import RandomForestClassifier
    rfc = RandomForestClassifier();

# fit random forest classifier on the training set
    rfc.fit(X_res, y_res);
# extract important features
    score = np.round(rfc.feature_importances_,3)
    importances = pd.DataFrame({'feature':refclasscol,'importance':score})
    importances = importances.sort_values('importance',ascending=False).set_index('feature')
# plot importances
    plt.rcParams['figure.figsize'] = (11, 4)
    importances.plot.bar();
```



```
[ ] from sklearn.feature_selection import RFE
    import itertools
    rfc = RandomForestClassifier()

# create the RFE model and select 10 attributes
    rfe = RFE(rfc, n_features_to_select=10)
    rfe = rfe.fit(X_res, y_res)

# summarize the selection of the attributes
    feature_map = [(i, v) for i, v in itertools.zip_longest(rfe.get_support(), refclasscol)]
    selected_features = [v for i, v in feature_map if i==True]

[] selected_features

['src_bytes',
    'dst_bytes',
    'logged_in',
    'count',
    'srv_count',
    'dst_host_srv_count',
    'dst_host_srv_count',
    'dst_host_same_src_port_rate',
    'dst_host_serror_rate',
    'dst_host_serror_rate',
    'service']
```

```
    Dataset Partition

         newcol.append('attack_class')
        new_y_res = y_res[:, np.newaxis]
         res_arr = np.concatenate((X_res, new_y_res), axis=1)
         res_df = pd.DataFrame(res_arr, columns = newcol)
         reftest = pd.concat([sc_testdf, testcat], axis=1)
        reftest['attack_class'] = reftest['attack_class'].astype(np.float64)
reftest['protocol_type'] = reftest['protocol_type'].astype(np.float64)
         reftest['flag'] = reftest['flag'].astype(np.float64)
         reftest['service'] = reftest['service'].astype(np.float64)
         res_df.shape
         reftest.shape
        from collections import defaultdict
         normalclass = [('Normal', 1.0)]
         def create_classdict():
             for j, k in normalclass:
                      restrain_set = res_df.loc[(res_df['attack_class'] == k) | (res_df['attack_class'] == v)]
                      classdict[j +'_' + i].append(restrain_set)
                      classdict[j +'_' + i].append(reftest_set)
         create_classdict()
         for k, v in classdict.items():
        pretrain = classdict['Normal_DoS'][θ]
         pretest = classdict['Normal_DoS'][1]
         grpclass = 'Normal_DoS'
```

Finalize data preprocessing for training

```
from sklearn.preprocessing import OneHotEncoder
enc = OneHotEncoder(handle_unknown='ignore')
Xresdf = pretrain
newtest = pretest
Xresdfnew = Xresdf[selected_features]
Xresdfnum = Xresdfnew.drop(['service'], axis=1)
Xresdfcat = Xresdfnew[['service']].copy()
Xtest_features = newtest[selected_features]
Xtestdfnum = Xtest_features.drop(['service'], axis=1)
Xtestcat = Xtest_features[['service']].copy()
enc.fit(Xresdfcat)
X_train_lhotenc = enc.transform(Xresdfcat).toarray()
X_test_lhotenc = enc.transform(Xtestcat).toarray()
X train = np.concatenate((Xresdfnum.values, X train 1hotenc), axis=1)
X test = np.concatenate((Xtestdfnum.values, X test 1hotenc), axis=1)
y_train = Xresdf[['attack_class']].copy()
c, r = y_train.values.shape
Y_train = y_train.values.reshape(c,)
y_test = newtest[['attack_class']].copy()
c, r = y_test.values.shape
Y_test = y_test.values.reshape(c,)
```

#### ▼ Train Models

```
from sklearn.naive bayes import BernoulliNB
from sklearn import tree
from sklearn.model_selection import cross_val_score
from sklearn.neighbors import KNeighborsClassifier
from sklearn.linear_model import LogisticRegression
from sklearn.ensemble import VotingClassifier
KNN_Classifier = KNeighborsClassifier(n_jobs=-1)
KNN Classifier.fit(X train, Y train);
LGR Classifier = LogisticRegression(n_jobs=-1, random_state=0)
LGR_Classifier.fit(X_train, Y_train);
BNB_Classifier = BernoulliNB()
BNB_Classifier.fit(X_train, Y_train)
DTC_Classifier = tree.DecisionTreeClassifier(criterion='entropy', random_state=0)
DTC Classifier.fit(X train, Y train);
                     ('Decision Tree Classifier', DTC_Classifier),
('KNeighborsClassifier', KNN_Classifier),
('LogisticRegression', LGR_Classifier)
```

```
0.9737760413571536
Model Accuracy:
[[65346 1997]
[ 1536 65807]]
       0.0
               0.98
                       0.97
                                0.97
       1.0
                        0.98
                                0.97
                                       134686
                        0.97
               0.97
                                       134686
                                0.97
               0.97
                        0.97
                                0.97
                                       134686
Cross Validation Mean Score: 0.9997698368976862
Model Accuracy:
0.9999480272634127
[[67343 0]
[ 7 67336]]
Classification report:
                                       support
                        1.00
                                1.00
                1.00
                        1.00
       1.0
                                1.00
                                1.00
                        1.00
                1.00
                                1.00
                                       134686
weighted avg
                1.00
                        1.00
                                1.00
```

```
======== Normal_DoS KNeighborsClassifier Model Evaluation ==========
Cross Validation Mean Score:
 0.99656981084704
Model Accuracy:
0.9977577476500898
 [ 246 67097]]
                                                  67343
                                                 134686
                                                 134686
                   1.00
                              1.00
                                        1.00
weighted avg
           =========== Normal DoS LogisticRegression Model Evaluation ====================
0.9808072130256406
Model Accuracy:
0.980836909552589
                            recall fl-score support
         0.0
1.0
                             0.97
                                        0.98
0.98
                   0.97
                              0.99
                                        0.98
                                                 134686
                              0.98
                   0.98
                                        0.98
                                                 134686
weighted avg
                   0.98
                              0.98
                                        0.98
                                                 134686
```

#### Test Models

| =========                                      |              | ==== Norma   | l_DoS Nai    | ve Baye Cla  | assifier Model T | est Results ==: | ========= |  |
|------------------------------------------------|--------------|--------------|--------------|--------------|------------------|-----------------|-----------|--|
| Model Accuracy<br>0.83365367814                |              |              |              |              |                  |                 |           |  |
| Confusion matr<br>[[5487 1971]<br>[ 885 8826]] | ·ix:         |              |              |              |                  |                 |           |  |
| Classification                                 | report:      |              |              |              |                  |                 |           |  |
|                                                | precision    | recall       | fl-score     | support      |                  |                 |           |  |
| 0.0                                            | 0.86         | 0.74         | 0.79         | 7458         |                  |                 |           |  |
| 1.0                                            | 0.82         | 0.91         | 0.86         | 9711         |                  |                 |           |  |
| accuracy                                       |              |              | 0.83         | 17169        |                  |                 |           |  |
| macro avq                                      | 0.84         | 0.82         | 0.83         | 17169        |                  |                 |           |  |
| weighted avg                                   | 0.84         | 0.83         | 0.83         | 17169        |                  |                 |           |  |
| <br>Model Accuracy<br>0.81658803657            |              | ==== Norma   | l_DoS Dec    | ision Tree   | Classifier Mode  | l Test Results  |           |  |
| Confusion matr<br>[[5591 1867]<br>[1282 8429]] | ·ix:         |              |              |              |                  |                 |           |  |
| Classification                                 | report:      | recall :     | fl-score     | support      |                  |                 |           |  |
|                                                |              |              |              |              |                  |                 |           |  |
| 0.0<br>1.0                                     | 0.81<br>0.82 | 0.75<br>0.87 | 0.78<br>0.84 | 7458<br>9711 |                  |                 |           |  |
| accuracy                                       |              |              | 0.82         | 17169        |                  |                 |           |  |
| macro avg                                      | 0.82         | 0.81         | 0.81         | 17169        |                  |                 |           |  |
| weighted avg                                   | 0.82         | 0.82         | 0.82         | 17169        |                  |                 |           |  |
|                                                |              |              |              |              |                  |                 |           |  |

|                                                   | Normal                 | _DoS KNeighborsC                       | lassifier Model Te | st Results ===== |  |
|---------------------------------------------------|------------------------|----------------------------------------|--------------------|------------------|--|
| Model Accuracy:<br>0.8666200710583027             |                        |                                        |                    |                  |  |
| Confusion matrix:<br>[[5787 1671]<br>[ 619 9092]] |                        |                                        |                    |                  |  |
| Classification repor<br>preci                     |                        | l-score suppor                         |                    |                  |  |
|                                                   | 0.90 0.78<br>0.84 0.94 | 0.83 7458<br>0.89 9711                 |                    |                  |  |
|                                                   | 0.87 0.86<br>0.87 0.87 | 0.87 17169<br>0.86 17169<br>0.86 17169 |                    |                  |  |
|                                                   | Normal                 | _DoS LogisticReg                       | ression Model Test | Results ======   |  |
| Model Accuracy:<br>0.8418661541149747             |                        |                                        |                    |                  |  |
| Confusion matrix:<br>[[5963 1495]<br>[1220 8491]] |                        |                                        |                    |                  |  |
| Classification repor<br>preci                     |                        | l-score suppor                         |                    |                  |  |
|                                                   | 0.83 0.80<br>0.85 0.87 | 0.81 7458<br>0.86 9711                 |                    |                  |  |
|                                                   | 0.84 0.84<br>0.84 0.84 | 0.84 17169<br>0.84 17169<br>0.84 17169 |                    |                  |  |

# 3.2 Deep learning approaches-based network intrusion detection systems

```
■ NIDS implementation using DL algorithms for NSL-KDD dataset

| | import numpy as np | import tensorflow as tf | from sklearn.preprocessing import Normalizer | from sklearn.preprocessing import LabelEncoder | from sklearn.preprocessing import Minhävscaler | from tensorflow.keras.models import Sequential, load model | from tensorflow.keras.layers import Dense, Oropout, LSTM.Embedding, SimpleRNN, GRU, Activation, Flatten | from tensorflow.keras.layers import Dense, Oropout, LSTM.Embedding, SimpleRNN, GRU, Activation, Flatten | from tensorflow.keras.usivis import ModelCheckpoint, EarlyStopping, CSVLogger | from tensorflow.keras.upreprocessing import sequence | from tensorflow.keras.preprocessing import sequence | from sklearn.metrics import (precision_score, recall_score, confusion_matrix, fl_score, accuracy_score, mean_squared_error, mean_absolute_error) | import pandas as pd | from tensorflow.keras import callbacks | from tensorflow.keras import dalbacks import motipolitib.pyplot as plt | import scikitplot as skplt | #Import scikitplot as skplt | #Import scikitplot as skplt | #Import scikitplot as skplt | from pycaret.classification import *
```

```
[ ] train_url = 'NSL_KDD_Train.csv'
    test_url = 'NSL_KDD_Test.csv'

[ ] col_names = ["duration", "protocol_type", "service", "flag", "src_bytes",
        "dst_bytes", "land", "wrong_fragment", "urgent", "hot", "num_failed_logins",
        "logged_in", "num_compromised", "root_shell", "su_attempted", "num_root",
        "num_file_creations", "num_shells", "num_access_files", "num_outbound_cmds",
        "is_host_login", "is_guest_login", "count", "srv_count", "serror_rate",
        "srv_serror_rate", "rerror_rate", "srv_rerror_rate", "same_srv_rate",
        "diff_srv_rate", "srv_diff_host_rate", "dst_host_count", "dst_host_srv_count",
        "dst_host_same_srv_rate", "dst_host_diff_srv_rate", "dst_host_same_src_port_rate",
        "dst_host_srv_diff_host_rate", "dst_host_serror_rate", "dst_host_srv_serror_rate",
        "dst_host_rerror_rate", "dst_host_srv_rerror_rate", "label"]

[ ] ds_train=pd.read_csv(train_url,header=None, names = col_names)
        ds_test=pd.read_csv(test_url, header=None, names = col_names)

[ ] ds=pd.concat([ds_train,ds_test], axis=0)
```

```
Feature engineering by pycaret
        dsp_tr=setup(data = ds, sampling=False,
                        target = 'label',train_size=0.85,
                        numeric_imputation = 'mean',
categorical_features = ['protocol_type','service','flag'],
normalize=True,normalize_method='minmax',
                        silent = True)
     Setup Succesfully Completed!
                                          Value
                Description
      1 Target Type
      3 Original Data
      4 Missing Values
      7 Ordinal Features
      8 High Cardinality Features
                                   False
      9 High Cardinality Method
      12 Transformed Test Set
     13 Numeric Imputer
      14 Categorical Imputer
                                    True
minmax
     16 Normalize Method
      18 Transformation Method
     19 PCA
                                    False
     22 Ignore Low Variance
                                    False
     23 Combine Rare Levels
                                    False
                                    False
     27 Outliers Threshold
                                    None
     28 Remove Multicollinearity
                                    False
      29 Multicollinearity Threshold
     30 Clustering
                                    False
     31 Clustering Iteration
                                    None
      33 Polynomial Degree
      34 Trignometry Features
                                    False
      35 Polynomial Threshold
     36 Group Features
      38 Features Selection Threshold 0.200000
      39 Feature Interaction
                                    False
      40 Feature Ratio
                                    False
```

```
[ ] X_train=dsp_tr[2]
    X_test=dsp_tr[3]
    y_train=dsp_tr[4]
    y_test=dsp_tr[5]

[ ] trainX=np.array(X_train)
    testT=np.array(X_test)
    y_train = np.array(y_train)
    y_test = np.array(y_test)

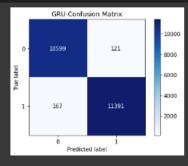
[ ] X_train = np.reshape(trainX, (trainX.shape[0], 1, trainX.shape[1]))
    X_test = np.reshape(testT, (testT.shape[0], 1, testT.shape[1]))
```

```
Epoch 37/1000
126239/126239 [==
                          y_pred_rnn = model_rnn.predict_classes(X_test)
y_probs_rnn=model_rnn.predict_proba(X_test)
np.savetxt('rnn_predictions.txt', np.transpose(np.concatenate((y_test.reshape((y_test.size, 1)),y_pred_rnn), axis=1)), fmt='%0ld')
np.savetxt('rnn_prob_predictions.txt', np.around(np.transpose(y_probs_rnn),decimals=5), fmt='%.5f')
          mn-Confusion Matrix
                                     10000
                        231
                                     6000
Me
          185
                                     2000
          0
Predicted label
  plt.title("RNN-Confusion Matrix")
plt.rcParams['figure.figsize']=(5,4)
plt.show()
       RNN-Confusion Matrix
                    231
                                8000
                                6000
 ã
                                4000
                                2000
          0 1
Predicted label
```

```
accuracy = accuracy_score(y_test, y_pred_rnn)
 print("accuracy:",accuracy)
flscore=fl_score(y_test, y_pred_rnn)
 print("fl-acore:",flscore)
 cm=confusion_matrix(y_test, y_pred_rnn)
 print("confusion matrix:\n",cm)
 pr=precision_score(y_test,y_pred_rnn)
 print("Precision:",pr)
rs=recall_score(y_test,y_pred_rnn)
 print("Recall_score:",rs)
[[10489 231]
[ 185 11373]]
Precision: 0.9800930713547052
Recall_score: 0.9839937705485378
  from tensorflow.keras.models import load_model
  saved_model = load_model('lstm_model.hdf5')
 loss,accur = saved_model.evaluate(X_test, y_test)
 print("\n Loss: %.2f, Accuracy: %.2f%%" % (loss, accuracy*100))
Loss: 0.02, Accuracy: 98.13%
```

```
np.savetxt('lstm_predictions.txt', np.transpose(np.concatenate((y_test.reshape((y_test.size, 1)),y_pred_lstm), axis=1)), fmt='%0ld')
np.savetxt('lstm_prob_predictions.txt', np.around(np.transpose(y_probs_lstm),decimals=5), fmt='%.5f')
           skplt.metrics.plot_confusion_matrix(y_test, y_pred_lstm)
plt.title("LSTM-Confusion Matrix")
plt.show()
                       LSTM-Confusion Matrix
                                                                      10000
                                                141
                                                                      8000
                                                                      6000
         Tue
                         205
                                                                      2000
                              Predicted label
           saved model = load_model('lstm_model.hdf5')
loss,accur = saved_model.evaluate(X_test, y_test)
print("\n Loss: %.2f, Accuracy: %.2f%%" % (loss, accuracy*100))
       [ ] accuracy = accuracy_score(y_test, y_pred_lstm)
    print("accuracy:",accuracy)
    flscore=fl_score(y_test, y_pred_lstm)
    print("fl-acore:",flscore)
           cm=confusion_matrix(y_test, y_pred_lstm)
print("confusion matrix:\n",cm)
pr=precision_score(y_test,y_pred_lstm)
           print("Precision:",pr)
rs=recall_score(y_test,y_pred_lstm)
           print("Recall_score: ',rs)
#misclassified samples = X_test[y_test != y_pred_lstm]
#mc=misclassified_samples.shape[0]
#print("Misclassified : ',mc)
       accuracy: 0.9844689828530389
fl-acore: 0.984990456359535
        confusion matrix:
[[10579 141]
[ 205 11353]]
       Precision: 0.9877327301200627
Recall_score: 0.982263367364596
```

```
y_pred_gru = model_gru.predict_classes(X_test)
y_probs_gru=model_gru.predict_proba(X_test)
skplt.metrics.plot_confusion_matrix(y_test, y_pred_gru)
plt.title("GRU-Confusion Matrix")
```



print("accuracy)
flscore=fl\_score(y\_test, y\_pred\_gru)
print("fl-acore:",flscore) cm=confusion\_matrix(y\_test, y\_pred\_gru)
print("confusion matrix:\n",cm)
pr=precision\_score(y\_test,y\_pred\_gru) print("Precision:",pr)
rs=recall\_score(y\_test,y\_pred\_gru)

accuracy: 0.9870724481551306 fl-acore: 0.987516254876463 confusion matrix: [[10599 121] [ 167 11391]] Precision: 0.9894892286309938 Recall\_score: 0.9855511334140855

```
Deep Neural networks

→ 3 layers

     model_dnn3.add(Flatten())
model_dnn3.add(Dense(1024,input_dim=id,activation='relu'))
     model_dnn3.add(Dense(768,activation='relu'))
model_dnn3.add(Dropout(0.01))
     model_dnn3.add(Dense(1))
model_dnn3.add(Activation('sigmoid'))
    126239/126239 [==
                126239/126239 [=:
    Epoch 5/1000
126239/126239 [===
    Epoch 6/1000
    Epoch 7/1000
126239/126239 [==
    Epoch 8/1000
126239/126239 [==
                 126239/126239 [=
    126239/126239 [=
    Epoch 11/1000
    126239/126239 [=
    Epoch 12/1000
    Enoch 13/1000
    Epoch 14/1000
126239/126239 [==
    Epoch 15/1000
    126239/126239 [==
                    126239/126239 [=
```

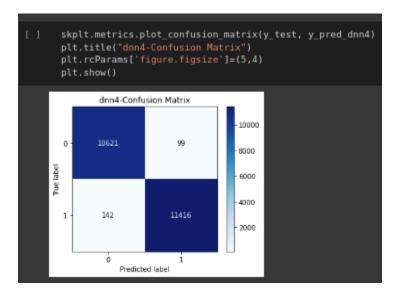
126239/126239 [= Epoch 18/1000 126239/126239 [=

```
[] y pred dnn3 = model dnn3.predict classes(X test)
y prebs dnn3=model dnn3.predict proba(X test)
pn_savetx('dnn3_pred_citions.txt', np_transpose(n_concatenate((y_test.reshape((y_test.size, 1)),y_pred_dnn3), axis=1)), fmt='w0ld')
np.savetx('dnn3_pred_predictions.txt', np_around(np_transpose(y_prebs_dnn3),decimals=5), fmt='w.5f')

[] accuracy = accuracy_score(y_test, y_preds_pred_dnn3)
print('faccuracy_',accuracy)
'flscoresf_score(y_test, y_preds_pred_dnn3)
print('flaccuracy_) flycore(y_test, y_preds_pred_dnn3)
print('flaccuracy_) flycore(y_test, y_pred_gnn3)
print('flaccuracy_) flycore(y_test, y_pred_gnn3)
print('flaccuracy_) flycore(y_test, y_pred_gnn3)
print('flaccuracy_) flycore(y_test_y_pred_dnn3)
print('flaccuracy_) flycore(y_test
```

0 Predicted label

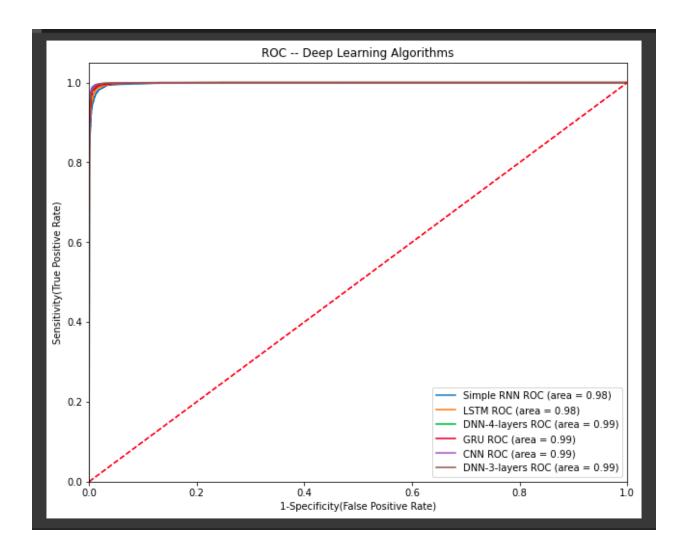
```
DNN 4 layers
         batch size = 64
          model_dnn4 = Sequential()
model_dnn4.add(Flatten())
model_dnn4.add(Dense(1024,input_dim=id,activation='relu'))
          model_dnn4.add(Dense(768,activation='relu'))
model_dnn4.add(Dropout(0.01))
          model dnn4.add(Dense(256,activation='relu'))
          model_dnn4.add(Dense(1))
          model_dnn4.add(Activation('sigmoid'))
[ ] model_dnn4.compile(loss='binary_crossentropy',optimizer='adam',metrics=['accuracy'])
    es = EarlyStopping(monitor='val_accuracy', mode='max', min_delta=0.0001,patience=5) ## early stoppoing
       [ ] y_pred_dnn4 = model_dnn4.predict_classes(X_test)
    y_probs_dnn4=model_dnn4.predict_proba(X_test)
    np.savetxt('dnn4_predictions.txt', np.transpose(np.concatenate((y_test.reshape((y_test.size, 1)),y_pred_dnn4), axis=1)), fmt='%0ld')
    np.savetxt('dnn4_prob_predictions.txt', np.around(np.transpose(y_probs_dnn4),decimals=5), fmt='%.5f')
          print("accuracy:",accuracy)
flscore=fl_score(y_test, y_pred_dnn4)
          print("f1-acore:",f1score)
cm=confusion_matrix(y_test, y_pred_dnn4)
          print("Precision:",pr)
rs=recall_score(y_test,y_pred_dnn4)
        accuracy: 0.9891821527964808
f1-acore: 0.9895548909981363
        [ 142 11416]]
Precision: 0.9914025184541901
```



```
Convolutional Neural Networks
[ ] import tensorflow.keras print('keras: %s' % tensorflow.keras.__version__)
          X_test=dsp_tr[3]
y_train=dsp_tr[4]
          trainX=np.array(X_train)
testT=np.array(X_test)
model_cnn = Sequential()
          model_cnn.add(Conv1D(64, 3, padding="same", activation="relu"))
model_cnn.add(MaxPool1D(pool_size=(2)))
model_cnn.add(Conv1D(128, 3, padding="same", activation="relu"))
model_cnn.add(Conv1D(128, 3, padding="same", activation="relu"))
model_cnn.add(MaxPool1D(pool_size=(2)))
           model_cnn.add(Dense(128, activation="relu"))
model_cnn.add(Dropout(0.5))
[ ] model_cnn.compile(loss="binary_crossentropy", optimizer="adam",metrics=['accuracy'])
    es = EarlyStopping(monitor='val_accuracy', mode='max', min_delta=0.0001,patience=5) ## early stoppoing
          model_cnn.fit(X_train, y_train, epochs=1000, validation_data=(X_test, y_test), callbacks=[es])
model cnn.save("model cnn model.hdf5")
       Train on 126239 samples, validate on 22278 samples
Epoch 1/1000
       126239/126239 [===:
Epoch 2/1000
126239/126239 [===:
        Epoch 3/1000
126239/126239 [=
        Epoch 4/1000
126239/126239 [==
        Epoch 5/1000
126239/126239 [==
Epoch 6/1000
```

```
y_probs_cnn=model_cnn.predict_proba(X_test)
np.savetxt('cnn_predictions.txt', np.transpose(np.concatenate((y_test.reshape((y_test.size, 1)),y_pred_cnn), axis=1)), fmt='%0ld')
np.savetxt('cnn_prob_predictions.txt', np.around(np.transpose(y_probs_cnn),decimals=5), fmt='%.5f')
[ ] accuracy = accuracy_score(y_test, y_pred_cnn)
    print("accuracy:",accuracy)
    flscore=fl_score(y_test, y_pred_cnn)
    print("fl-acore:",flscore)
            cm=confusion_matrix(y_test, y_pred_cnn)
print("confusion matrix:\n",cm)
            print("Precision:",pr)
rs=recall_score(y_test,y_pred_cnn)
         accuracy: 0.9915611814345991
fl-acore: 0.9918818550824768
confusion matrix:
        [[10605 115]
[ 73 11485]]
Precision: 0.9900862068965517
Recall_score: 0.9936840283786122
            plt.title("CNN-Confusion Matrix")
plt.rcParams['figure.figsize']=(5,4)
                           CNN-Confusion Matrix
                                                                               10000
                                                        115
              0 -
                           10605
                                                                                8000
                                                                                6000
          μ
                                                                                4000
                             73
                                                      11485
                                                                                2000
                                   1
Predicted label
```

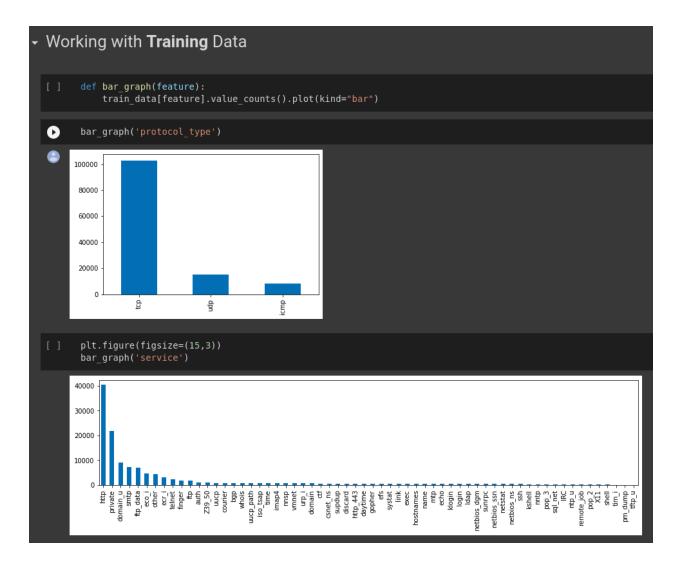
```
from sklearn.metrics import roc curve, auc,roc auc score
0
         plt.figure()
         models = [
                'pred': y_pred_rnn,
'model': model_rnn,
                'prob':y_probs_rnn
                'pred': y_pred_lstm,
'model': model_lstm,
                'prob':y probs lstm
                'label': 'DNN-4-layers',
'pred': y_pred_dnn4,
                'model':model_dnn4,
'prob' :y_probs_dnn4
                'pred': y_pred_gru,
'model': model_gru,
                'prob':y_probs_gru
                'pred': y_pred_cnn,
'model': model_cnn,
                'prob':y_probs_cnn
                'pred': y_pred_dnn3,
                'model': model dnn3,
                  'prob':y_probs_dnn3
         for m in models:
               model = m['model'] # select the model
         # y_pred=model.predict(X_test) # predict the test data
# Compute False postive rate, and True positive rate
#fpr, tpr, thresholds = roc_curve(y_test, model.predict_proba(X_test)[:,1])
fpr, tpr, thresholds = roc_curve(y_test, m['prob'])
         # Calculate Area under the curve to display on the plot
auc = roc_auc_score(y_test,m['pred'])
         # Now, plot the computed value
              plt.plot(fpr, tpr, label='%s ROC (area = %0.2f)' % (n['label'], auc))
         # Custom settings for the plot
plt.plot([8, 1], [0, 1], r )
         plt.xlim([0.0, 1.0])
         plt.ylim([0.0, 1.05])
        plt.xlabel('1-Specificity(False Positive Rate)')
plt.ylabel('Sensitivity(True Positive Rate)')
plt.title('ROC -- Deep Learning Algorithms')
         plt.legend(loc="lower right")
         plt.rcParams['figure.figsize']=(16,8)
plt.show() # Display
```

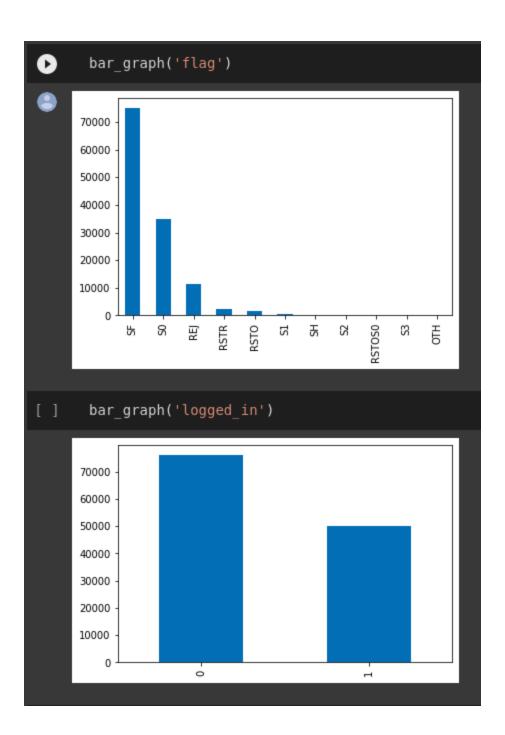


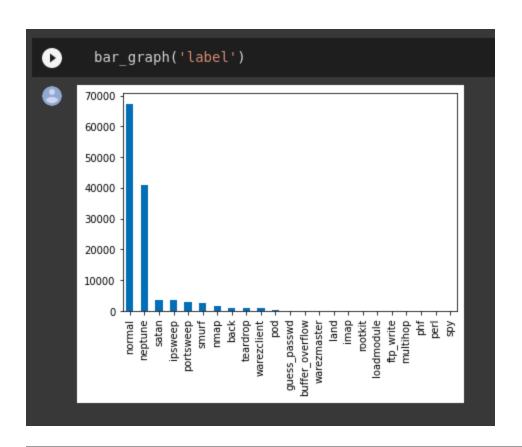
# 4. Proposed Methodologies:

```
| from google.colab import drive import pandas as pd import numpy as np from sklearn.preprocessing import (StandardScaler, OrdinalEncoder, LabelEncoder, Pipip install -q keras from tensorflow.keras.utils import to_categorical from sklearn.naive_bayes import GaussianNB from sklearn.feature_extraction.text import CountVectorizer from sklearn.preprocessing import Normalizer, MaxAbsScaler , RobustScaler, PowerTransformer import matplotlib.pyplot as plt import seaborn as sns

| drive.mount('/content/drive') | Mounted at /content/drive |
```







#### - Working with Validation data

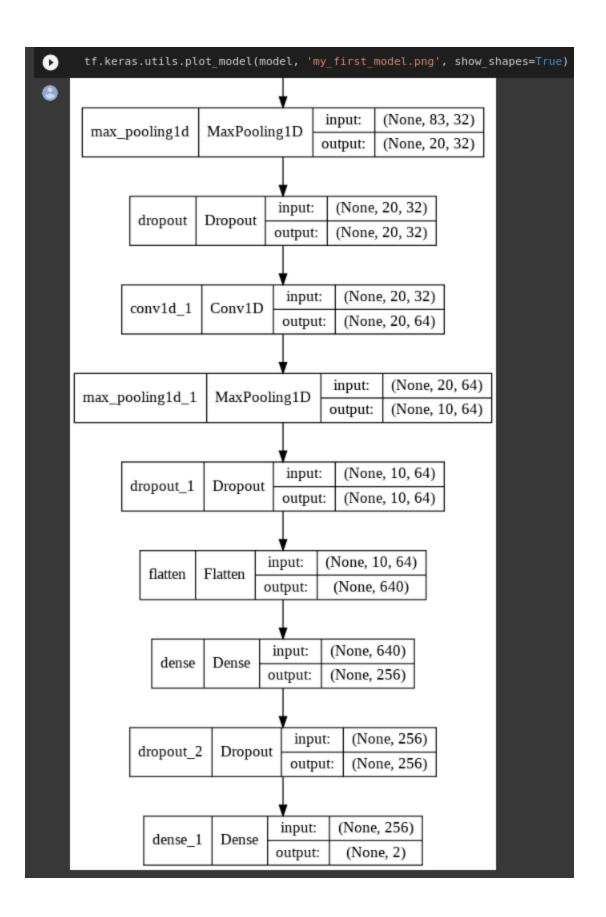
```
t=x.protocol_type.copy()
 t=pd.get_dummies(t)
 x=x.drop(columns='protocol_type',axis=1)
 x=x.join(t)
 t1=x.service.copy()
 t1=pd.get dummies(t1)
 x=x.drop(columns='service',axis=1)
 x=x.join(t1)
 t2=x.flag.copy()
 t2=pd.get_dummies(t2)
 x=x.drop(columns='flag',axis=1)
 x=x.join(t2)
 yt=y.copy()
 yt=pd.get_dummies(yt)
 x = MinMaxScaler(feature_range=(0, 1)).fit_transform(x)
 if df=='train':
   return x,yt
x_train,Y_train=preprocessing(train_data,cls='binary',df='train')
```

x\_test,Y\_test=preprocessing(test\_data,cls='binary',df='test')

```
x_21_test, y_21_test = preprocessing(test_21, cls = 'binary', df = 'test21')
  print(np.shape(x train))
  print(np.shape(Y train))
  print(np.shape(x_test))
  print(np.shape(Y_test))
  print(np.shape(x 21 test))
  print(np.shape(y_21_test))
(125793, 83)
(125793, 2)
(22525, 83)
(11850, 83)
(11850,)
  x_train = np.reshape(x_train, (x_train.shape[0], x_train.shape[1], 1))
  x_train.shape
(125793, 83, 1)
  x_test = np.reshape(x_test, (x_test.shape[0], x_test.shape[1], 1))
  x test.shape
(22525, 83, 1)
  x_21_{\text{test}} = \text{np.reshape}(x_21_{\text{test}}, (x_21_{\text{test}}.\text{shape}[0], x_21_{\text{test}}.\text{shape}[1], 1))
  x_21_test.shape
(11850, 83, 1)
```

```
Model
      from keras.models import Sequential
      from keras.layers import Dense, LSTM, Dropout, SimpleRNN , GRU , Activation
      from tensorflow.keras.layers import BatchNormalization
      from keras import optimizers
      import tensorflow as tf
      from keras.layers import Convolution1D, Dense, Dropout, Flatten, MaxPooling1D , AveragePooling1D
     model = Sequential()
      model.add(Convolution1D(32, 3, padding="same",activation="relu",input_shape = (xtrain.shape[1], 1)))
      model.add(MaxPooling1D(pool_size=(4)))
      model.add(Dropout(0.5))
      model.add(Convolution1D(64, 3, padding="same",activation="relu"))
      # model.add(Convolution1D(64, 3,activation="relu"))
      model.add(MaxPooling1D(pool_size=(2)))
      model.add(Dropout(0.5))
      # model.add(tf.keras.layers.LayerNormalization())
      # model.add(AveragePooling1D(pool size=(2)))
      model.add(Flatten())
      model.add(Dense(256, activation="relu"))
      model.add(Dropout(0.5))
      model.add(Dense(2, activation="softmax"))
      from sklearn.model_selection import cross_validate
      from sklearn.model selection import train test split
```

```
from sklearn.model selection import cross validate
 from sklearn.model selection import train test split
model.compile(optimizer ='adam',loss = 'categorical_crossentropy', metrics = ['accuracy'])
 model.fit(x_train, Y_train, epochs = 100, batch_size = 128)
Epoch 1/100
            983/983 [===
Epoch 2/100
983/983 [===
          Epoch 3/100
983/983 [===:
         Epoch 4/100
983/983 [===:
         Epoch 5/100
```



```
pred = model.predict(x test)
      y pred= np.argmax(pred, axis = 1)
Evaluation of the model
      from sklearn.metrics import confusion_matrix,accuracy_score
      from sklearn.metrics import (precision_score, recall_score,
                                    fl_score, accuracy_score,mean_squared_error,mean_absolute_error)
[ ] confusion_matrix(Y_test, y_pred)
    array([[8728, 983],
[3626, 9188]])
[ ] accuracy =accuracy_score(Y_test, y_pred)*100
      print(accuracy)
    79.53829078801333
[ ] print(y_pred)
[ ] print(y_21_test)
    11845
    11846
    11847
    11848
    11849
    Name: label, Length: 11850, dtype: int64
      pred = model.predict(x_21_test)
      y_pred= np.argmax(pred, axis = 1)
[ ] confusion_matrix(y_21_test, y_pred)
    array([[1209, 943],
[3626, 6072]])
[ ] print(y_pred)
```

```
acc_21 = accuracy_score(y_21_test, y_pred)* 100
  print(acc 21)
61.44303797468355
 recall = recall score(y 21 test, y pred , average="binary")
  precision = precision score(y 21 test, y pred , average="binary")
  f1 = f1 score(y 21 test, y pred, average="binary")
   print("accuracy")
   print("%.3f" %acc 21)
   print("racall")
   print("%.3f" %recall)
   print("precision")
   print("%.3f" %precision)
   print("flscore")
   print(f1)
accuracy
61.443
racall
0.626
precision
0.866
f1score
0.7266199964099803
 print("F-Score : ", f1*100)
  print("Precision : " , precision*100)
  print("Recall : ", recall*100)
  print("Accuracy : ",acc 21)
F-Score: 72.66199964099803
Precision: 86.55737704918033
Recall: 62.61084759744278
Accuracy: 61.44303797468355
```

# 5. Conclusion:

Our experiment results say that in both binary classification and multiclass classification, the intrusion detection model using neural networks achieve higher accuracy then traditional machine learning models using the same dataset.

Our suggested improvised cnn model can be a better alternative to the existing system. Though our models require more computation time, additional hardware can reduce that to a great extent.

## 6. Future Work:

Using the research done in paper, redundant and irrelevant features can be removed, which can significantly improve classifier performance. By identifying relevant features inside the dataset, accuracy increases. Furthermore, the authors suggested the use of UNSW-NB15, which removes the inherited issues of KDDCup 99 and NSL-KDD.

Finally, Principal component analysis (PCA) can be used, as shown in paper, to drastically reduce the number of features, training and testing time. Combining these three ideas, we believe that we can get further accuracy and performance improvements to our models.

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