

# Topological Data Analysis and Clustering Algorithms in Machine Learning

İsmal GÜZEL

Mathematical Engineering - İTÜ

March 13, 2022

# Outline

- 1 Introduction
- 2 Hierarchical Clustering
- 3 Topological Data Analysis
- 4 Theoretical Contributions
- 5 Experimental Contributions

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### Hierarchical Clustering and Zeroth Persistent Homology

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<sup>1</sup>iguzel@itu.edu.tr <sup>2</sup>baygun@itu.edu.tr  
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Computational Statistics (2022) 37:1963–1983  
<https://doi.org/10.1007/s00180-021-01187-z>

ORIGINAL PAPER

A new non-archimedean metric on persistent homology

Check for updates

İsmail Güzel<sup>1</sup>  · Atabey Kaygun<sup>1</sup> 

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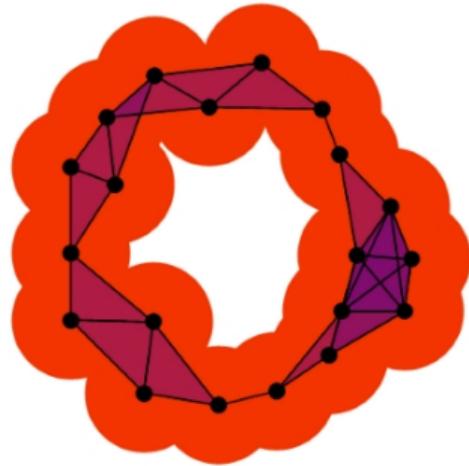
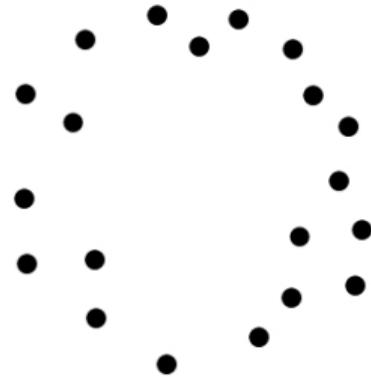
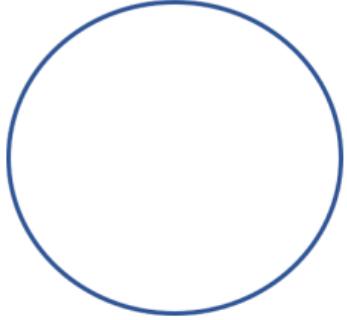
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## PERSISTENT HOMOLOGY, MATROIDS AND COBORDISMS

İSMAIL GÜZEL AND ATABEY KAYGUN



*"Data has shape, and shape has meaning."*

*Prof. Gunnar Carlsson*

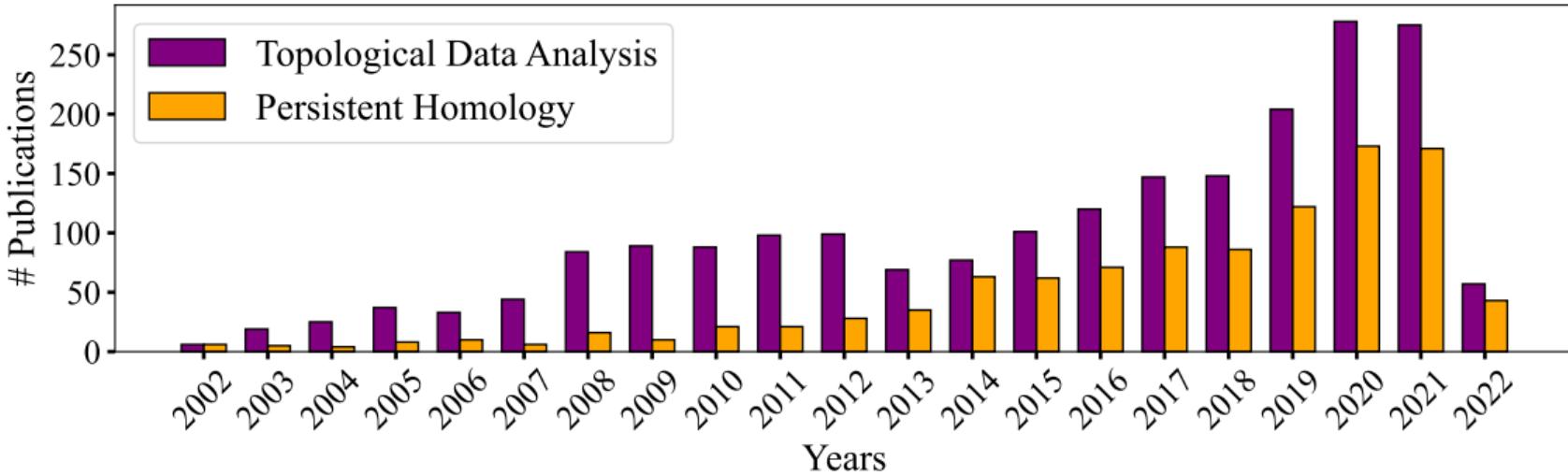
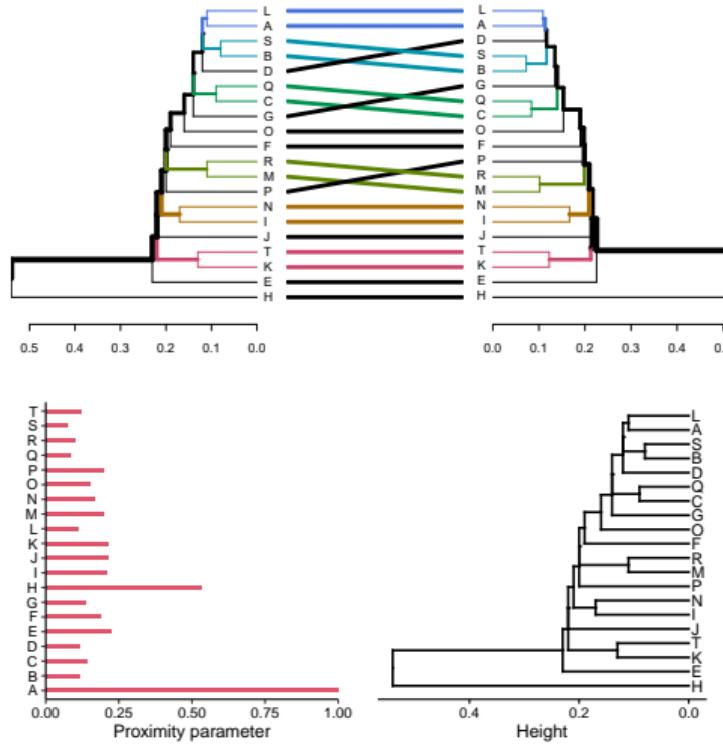


Figure: The data taken from SCOPUS

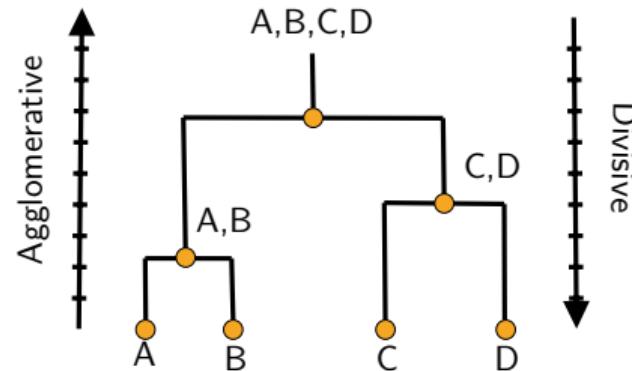
# Questions answered

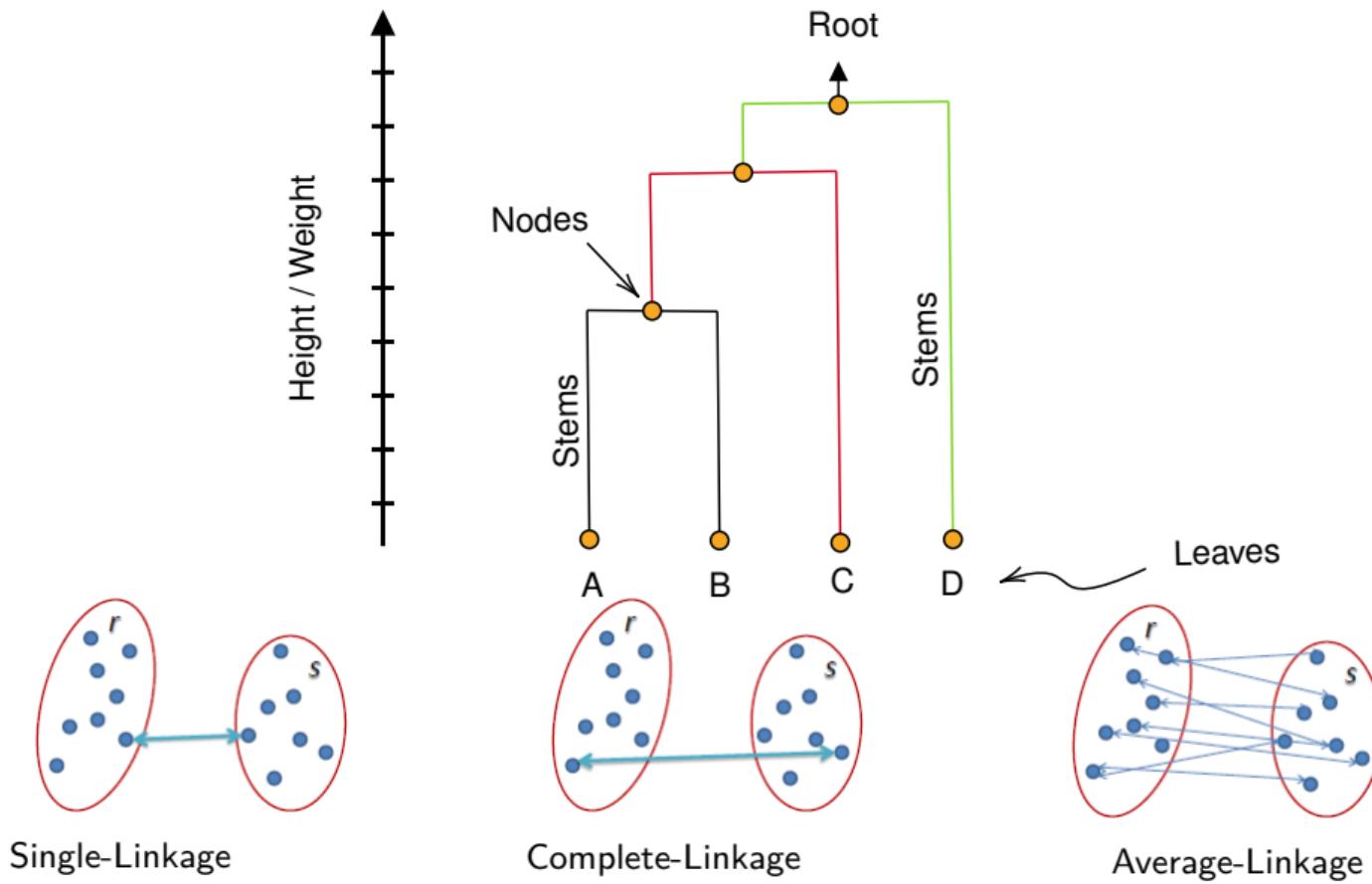
1. What are the similarities and differences between hierarchical clustering and 0-th persistent homology?
2. What is the difference from the persistence barcode?
3. What about higher dimensional persistent homology?
4. What are the experiments on real datasets?



# Hierarchical Clustering

- Unsupervised machine learning algorithm
- Aim: divide the data set into disjoint subsets such that
  - homogeneous in cluster
  - heterogeneous between clusters
- The metric structure of ambient space from data set.
- A nice tree-based representation, called a *dendrogram*



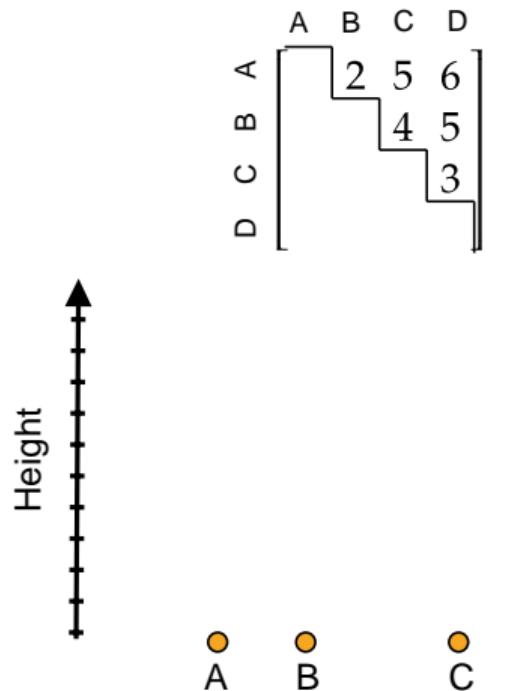


Single-Linkage

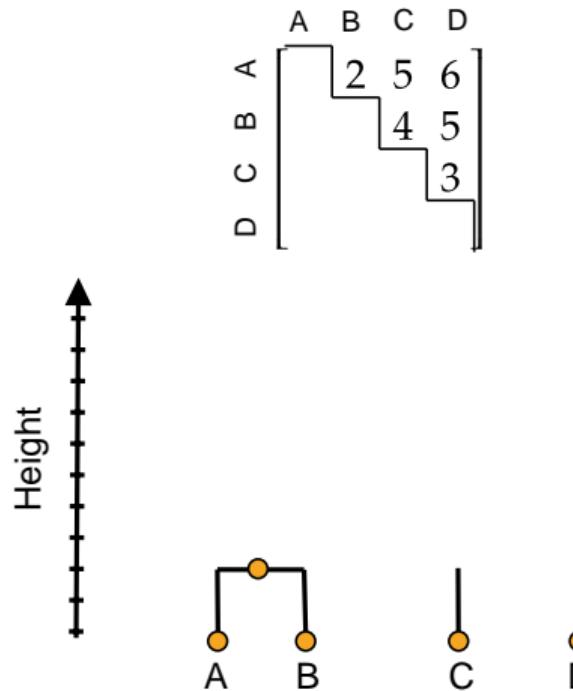
Complete-Linkage

Average-Linkage

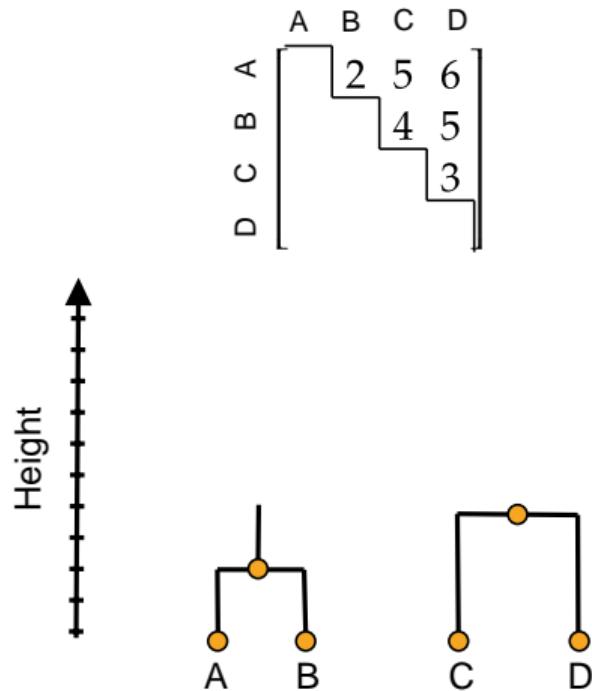
## Cophenetic Matrix



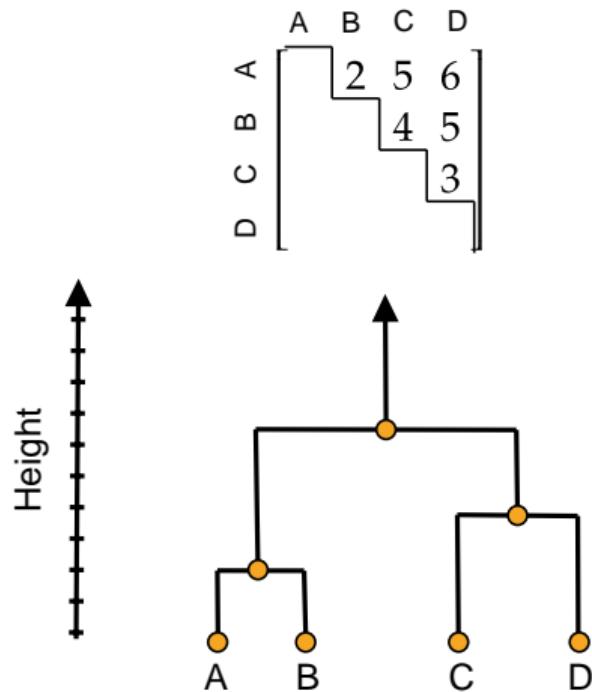
## Cophenetic Matrix



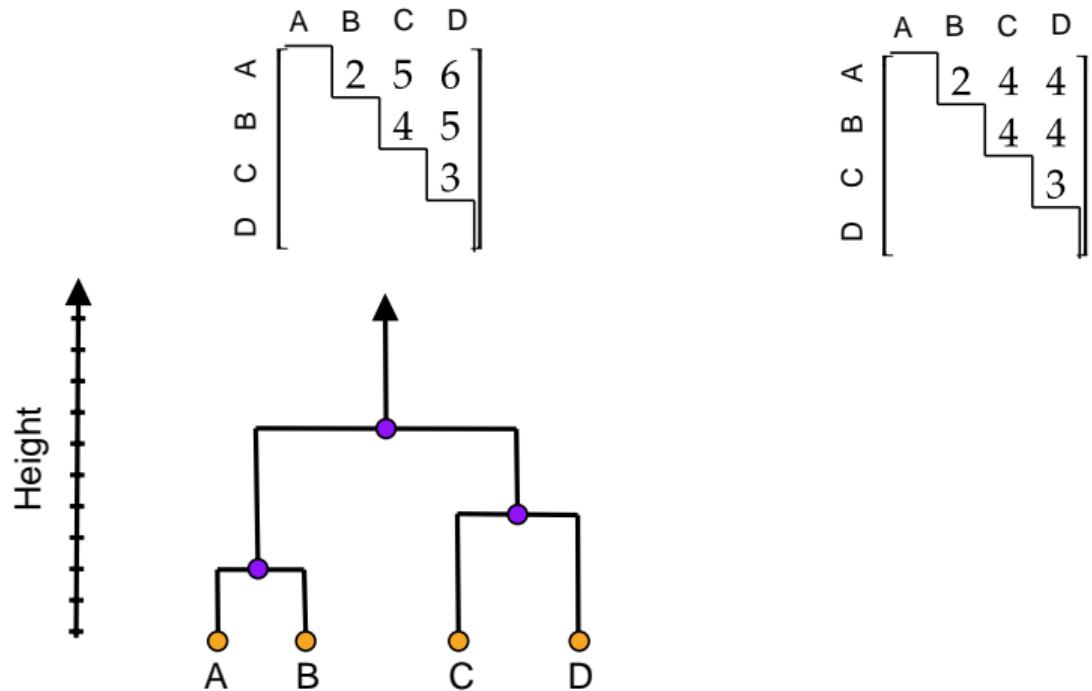
## Cophenetic Matrix



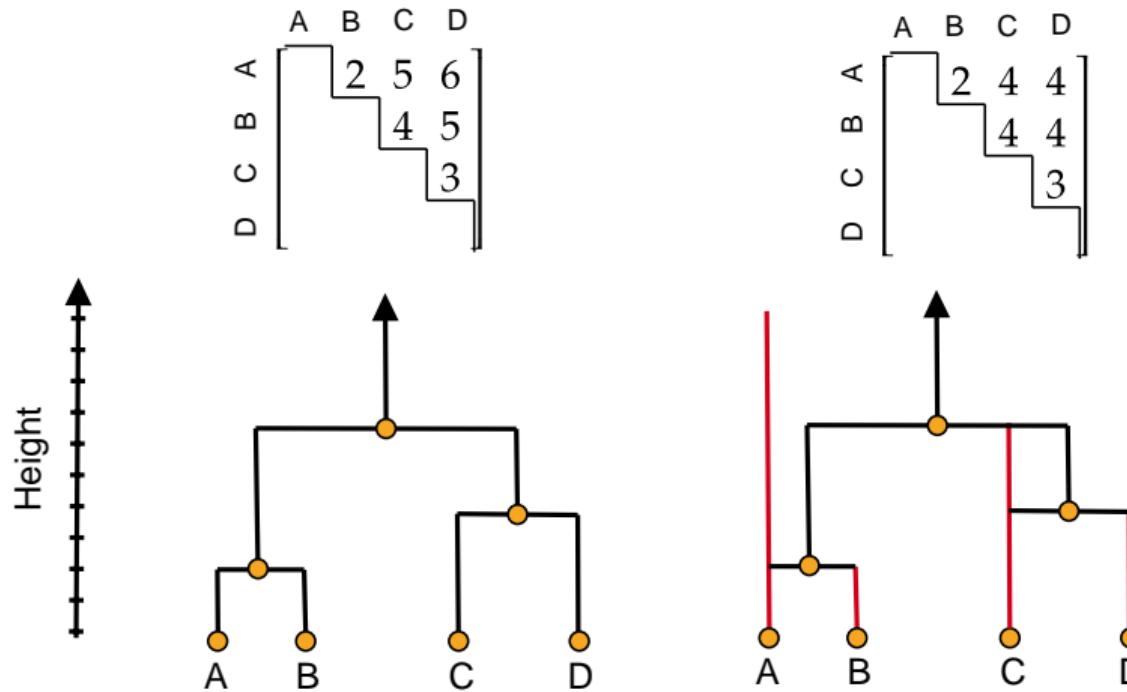
## Cophenetic Matrix



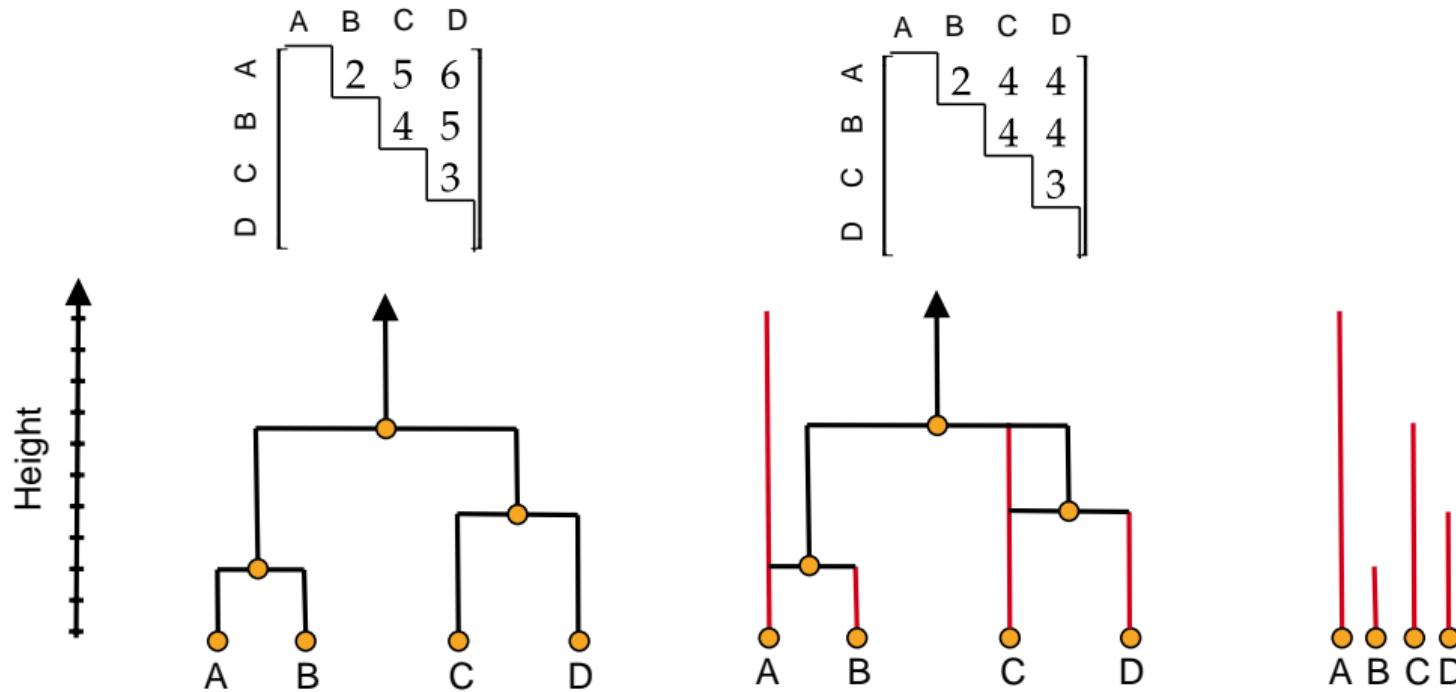
## Cophenetic Matrix



## Cophenetic Matrix



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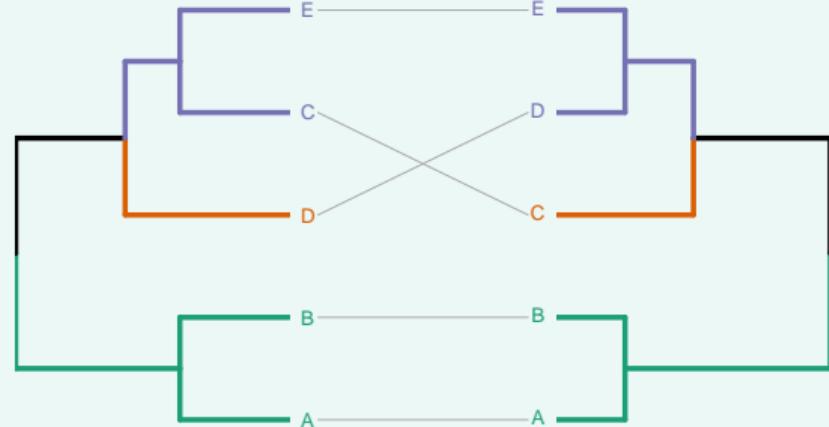
# Compare Dendograms

- Two Dendograms
- Two cophenetic matrix

## Mantel Test

- Non-parametric Test
- Like correlation coefficient
- Mantel statistic  $r \in [-1, 1]$

## Tanglegrams



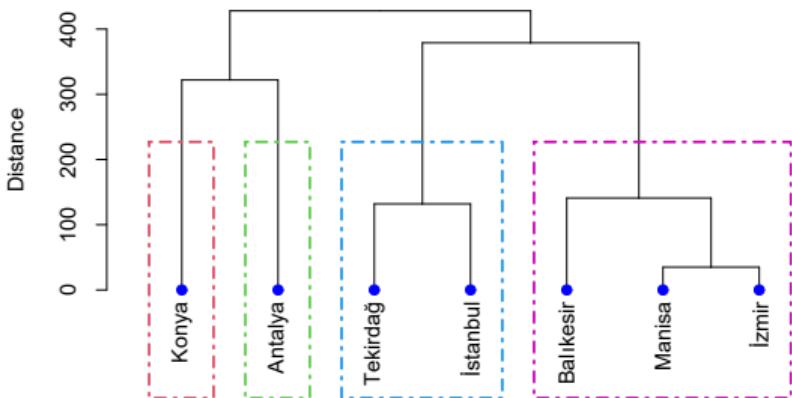
Entanglement values ranges 0 and 1.

# Silhouette scores

## Silhouette scores

$$s(x) = \frac{b(x) - a(x)}{\max(a(x), b(x))},$$

$$a(x) = d(x, U(x)) \quad \text{and} \quad b(x) = \min_{C \neq U(x)} d(x, C).$$



|           | Tekirdağ | İstanbul | Balıkesir | Manisa | İzmir | Konya | Antalya |
|-----------|----------|----------|-----------|--------|-------|-------|---------|
| Tekirdağ  | 0        |          |           |        |       |       |         |
| İstanbul  | 132      | 0        |           |        |       |       |         |
| Balıkesir | 379      | 390      | 0         |        |       |       |         |
| Manisa    | 511      | 529      | 141       | 0      |       |       |         |
| İzmir     | 506      | 564      | 176       | 35     | 0     |       |         |
| Konya     | 794      | 662      | 551       | 534    | 550   | 0     |         |
| Antalya   | 850      | 718      | 505       | 428    | 444   | 322   | 0       |

| Points    | Cohesion | Separation | Silhouette |
|-----------|----------|------------|------------|
| Tekirdağ  | 132      | 465.3      | 0.72       |
| İstanbul  | 132      | 494.5      | 0.73       |
| Balıkesir | 158.5    | 384.5      | 0.59       |
| Manisa    | 88       | 428        | 0.79       |
| İzmir     | 105.5    | 444        | 0.76       |
| Konya     | 0        | 322        | 1          |
| Antalya   | 0        | 322        | 1          |

Overall silhouette score is  $s \approx 0.80$

# Simplicial Technology

## $k$ -simplex

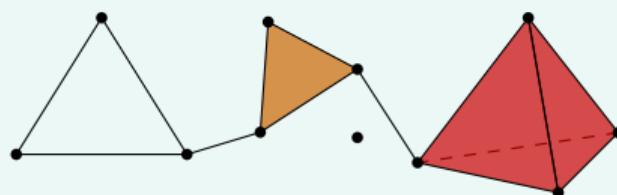
0-simplex  
vertex

1-simplex  
edge

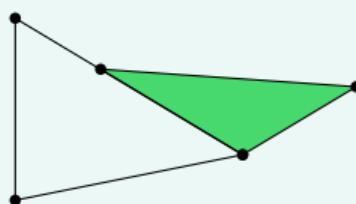
2-simplex  
triangle

3-simplex  
tetrahedron

## Simplicial complex



Simplicial complex



Not simplicial complex

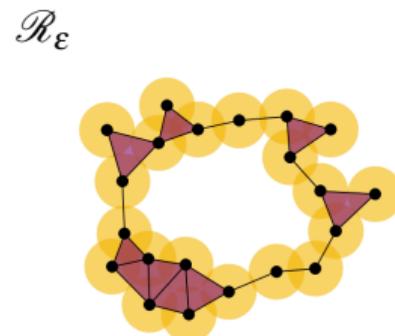
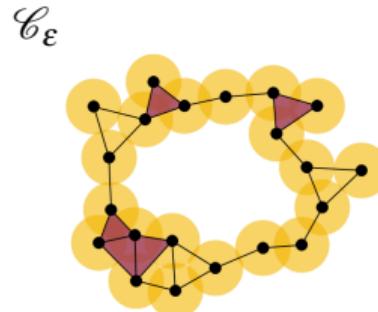
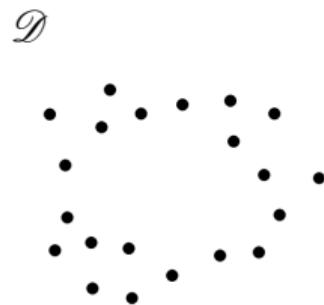
# Point Cloud to Complex

## Čech Complex

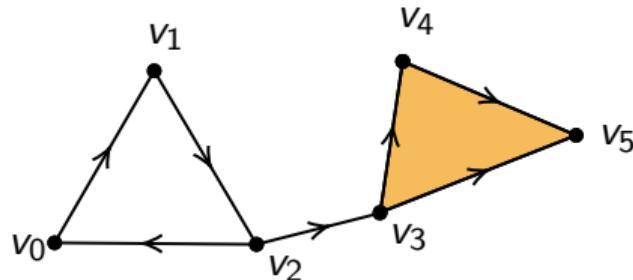
$$\mathcal{C}_\varepsilon = \left\{ \sigma \subseteq \mathcal{D} \quad | \quad \bigcap_{x \in \sigma} B_\varepsilon(x) \neq \emptyset \right\}, \quad B_\varepsilon(x) = \{y \mid d(x, y) < \varepsilon\}$$

## Vietoris Rips Complex

$$\mathcal{R}_\varepsilon = \{ \sigma \subset \mathcal{D} \quad | \quad \|x - y\| \leq \varepsilon, \text{ for all } x, y \in \sigma \}$$



# Example: A Simplicial complex $\mathcal{K}$



$$\begin{aligned}\mathcal{C}_0 &= \{v_0, v_1, v_2, v_3, v_4, v_5\}, \\ \mathcal{C}_1 &= \{[v_0, v_1], [v_1, v_2], [v_2, v_0], [v_2, v_3], \\ &\quad [v_3, v_4], [v_4, v_5], [v_3, v_5]\} , \\ \mathcal{C}_2 &= \{[v_3, v_4, v_5]\}.\end{aligned}$$

$$0 \xrightarrow{\partial_3} \mathcal{C}_2 \xrightarrow{\partial_2} \mathcal{C}_1 \xrightarrow{\partial_1} \mathcal{C}_0 \xrightarrow{\partial_0} 0$$

## Homology

The  $k^{th}$  homology group of  $\mathcal{K}$  is defined by

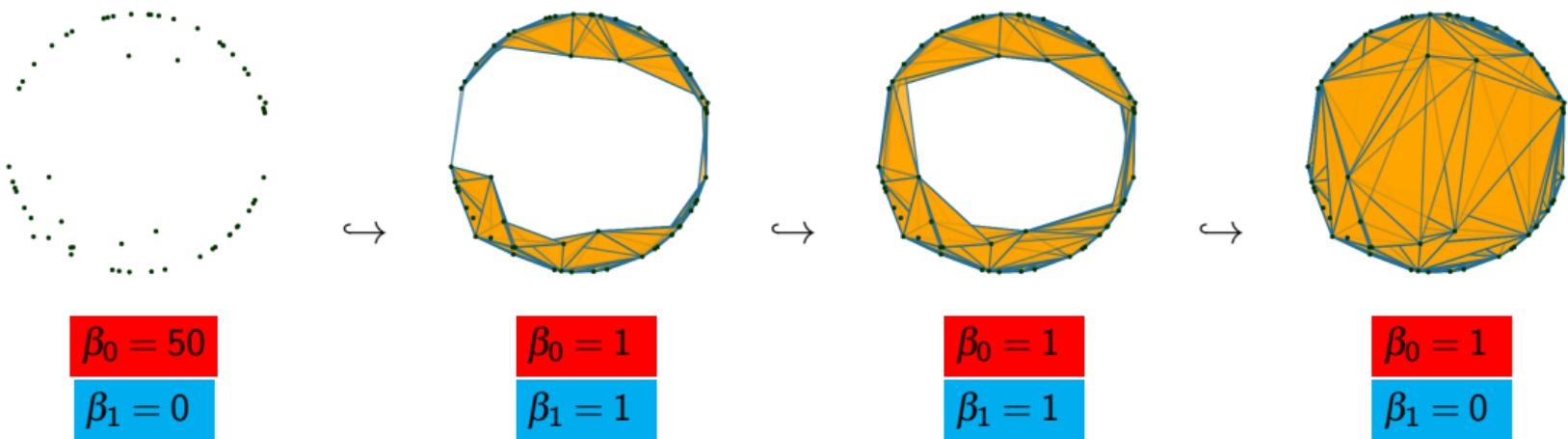
$$H_k(K) := \frac{\ker(\partial_k)}{\text{im}(\partial_{k+1})}$$

$$\beta_0 = \dim(\ker(\partial_0)) - \dim(\text{im}(\partial_1)) = 6 - 5 = 1$$

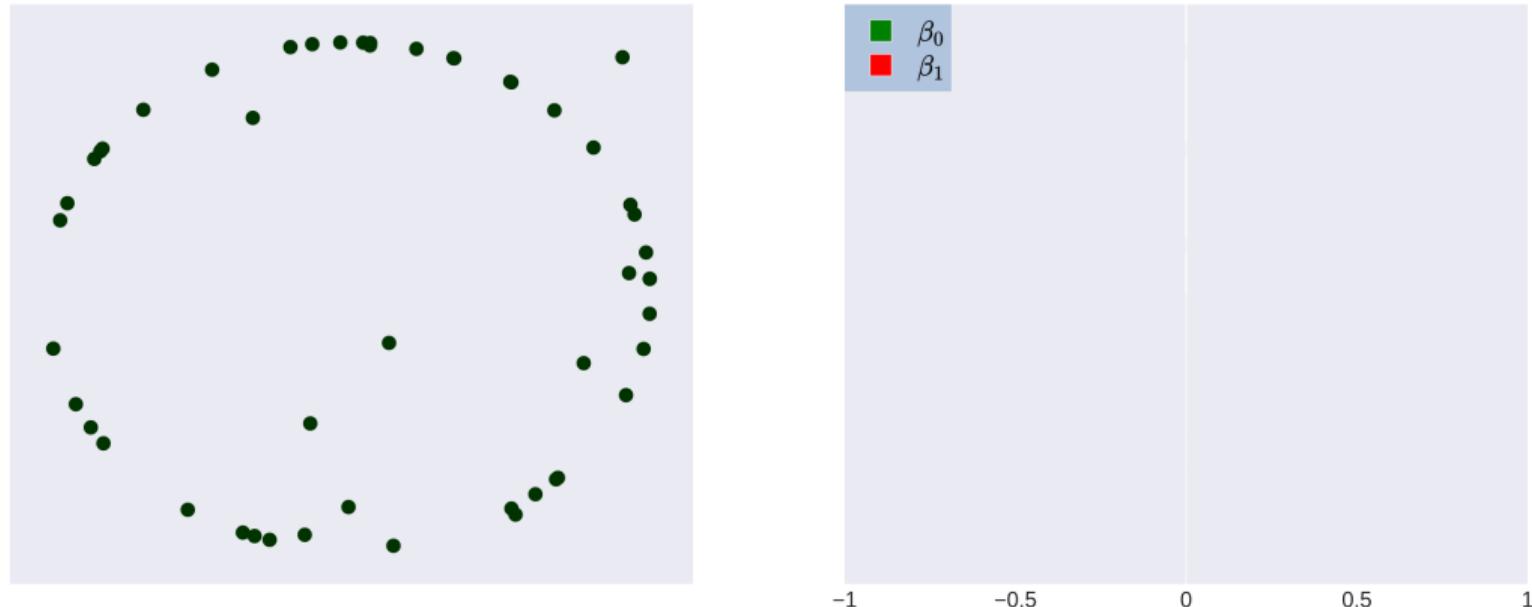
$$\beta_1 = \dim(\ker(\partial_1)) - \dim(\text{im}(\partial_2)) = 2 - 1 = 1$$

# Vietoris-Rips Filtration

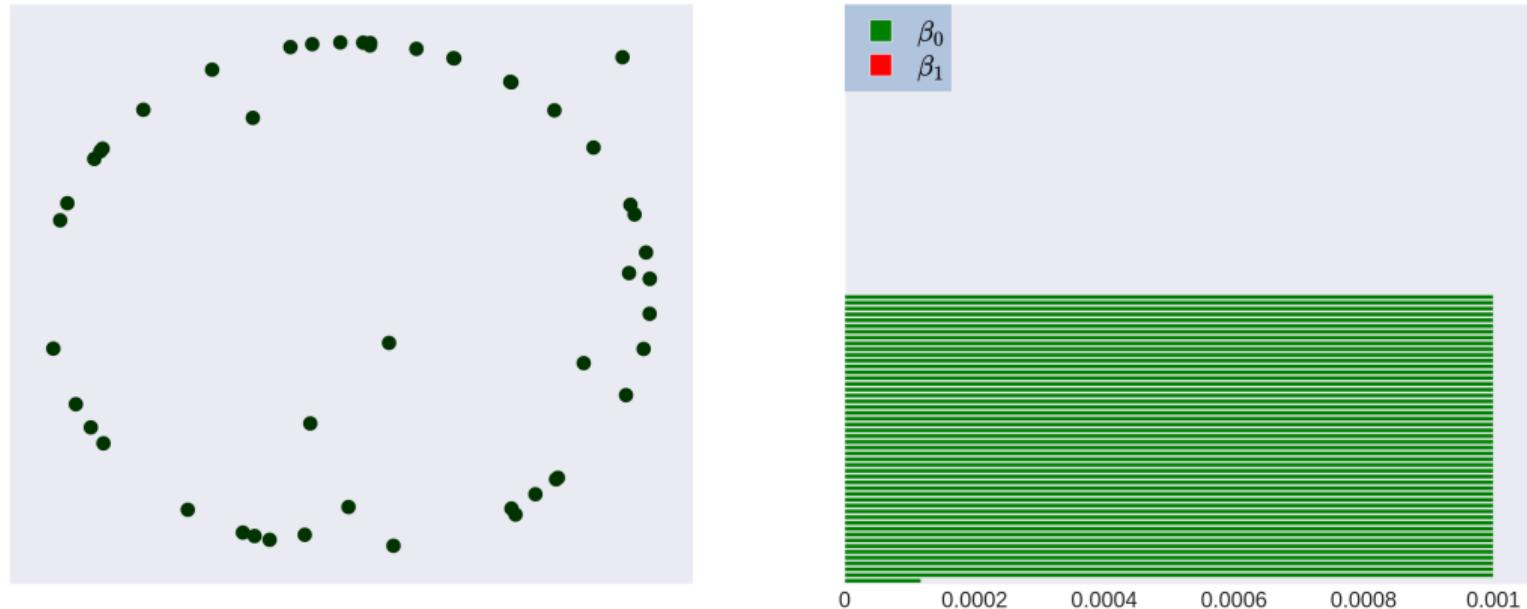
Filtration of a simplicial complex  $K$  is a collection of subcomplexes  $\mathbb{K} = \{K_\varepsilon : \varepsilon \in \mathbb{R}^+\}$  that satisfy  $K_{\varepsilon_1} \subseteq K_{\varepsilon_2}$  whenever  $\varepsilon_1 \leq \varepsilon_2$ .



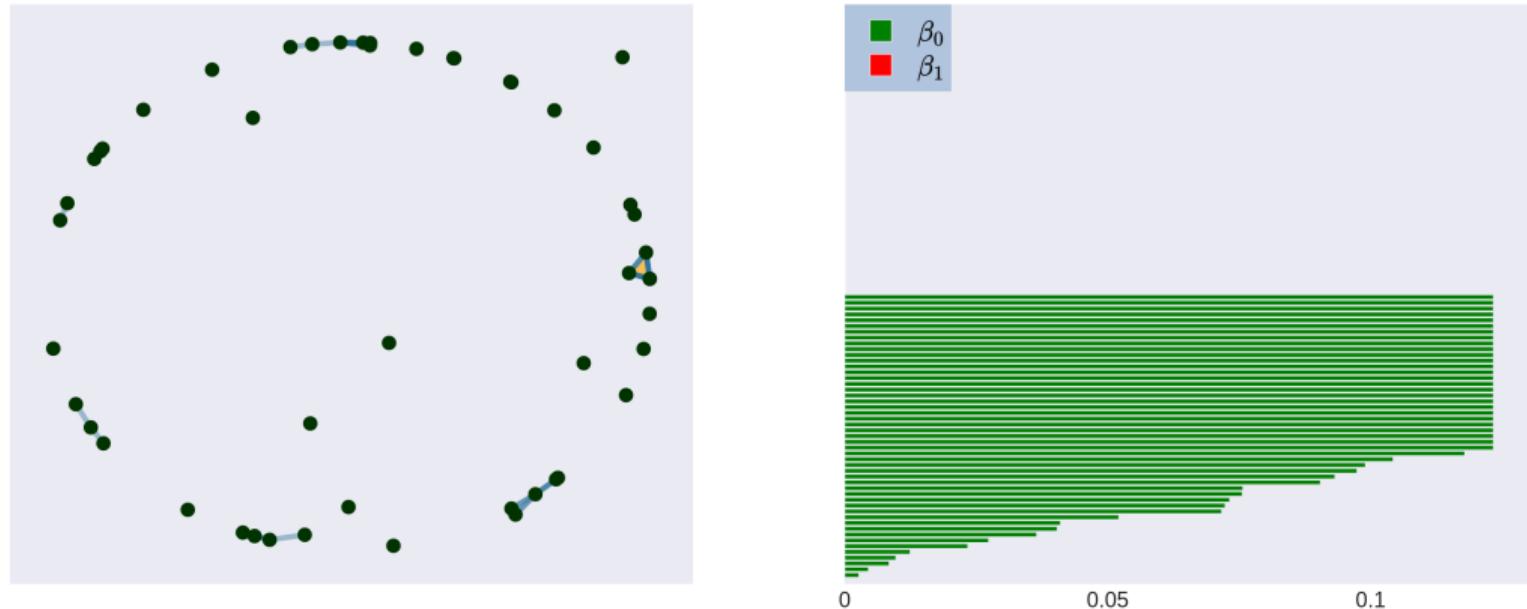
# Persistent Homology and Barcodes



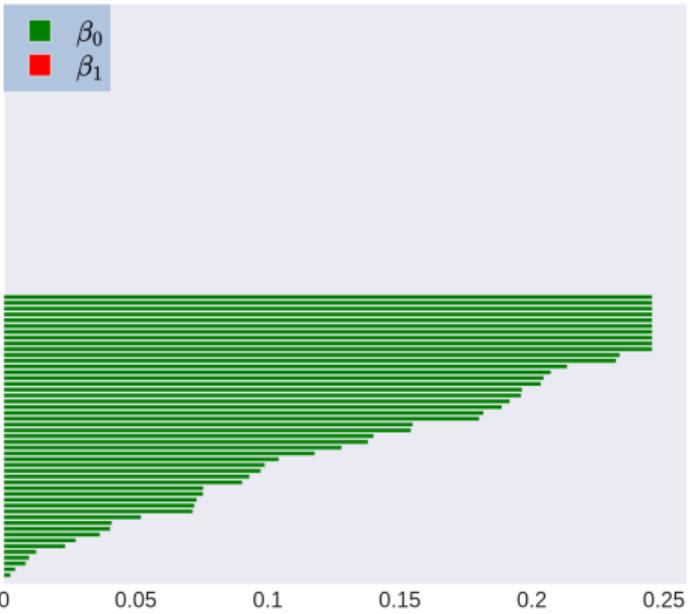
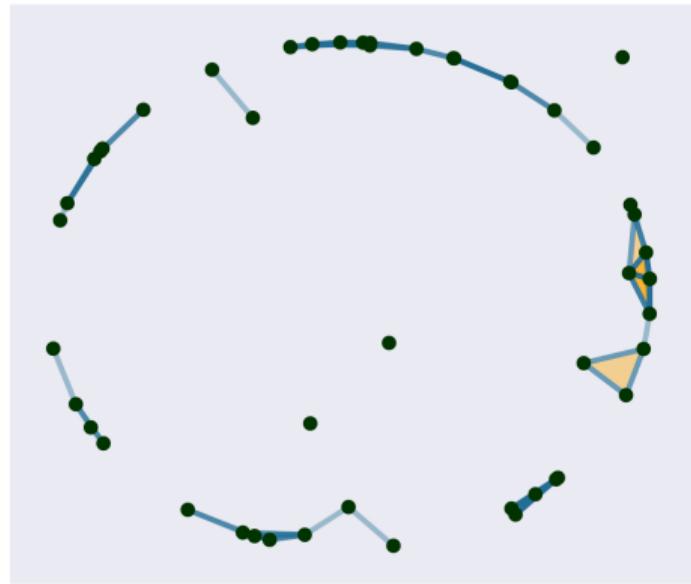
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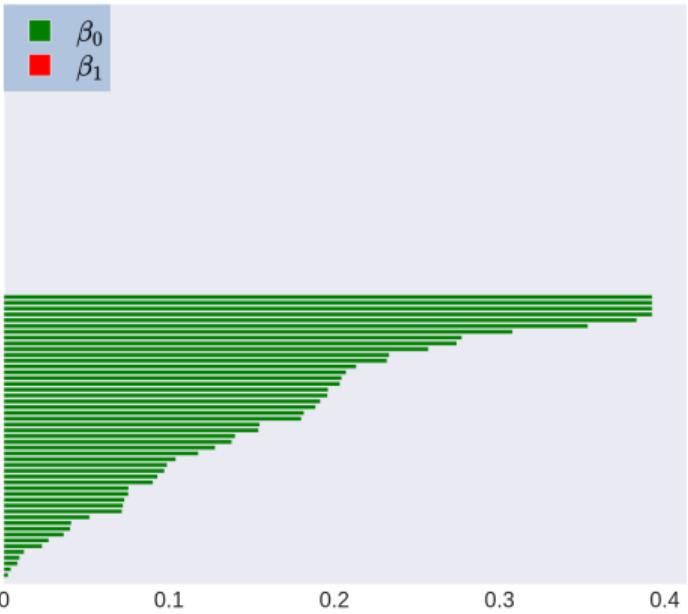
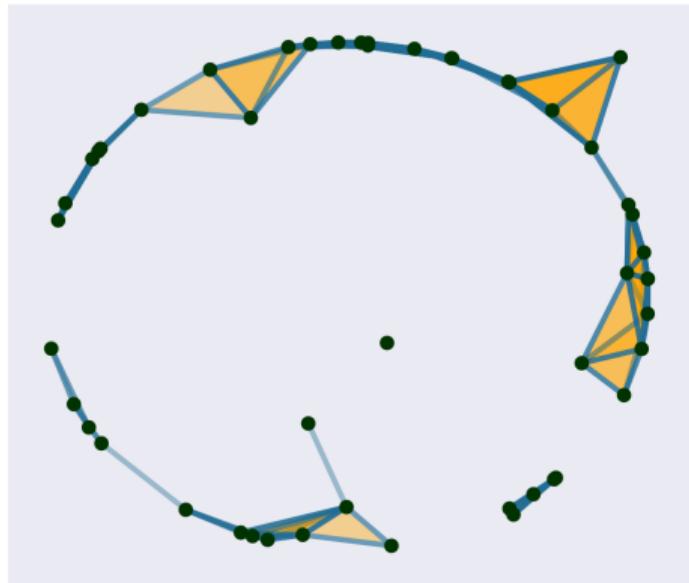
# Persistent Homology and Barcodes



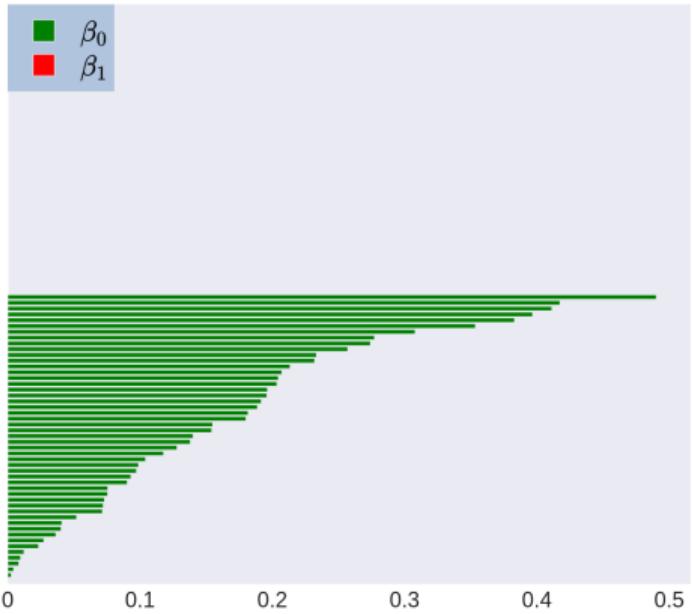
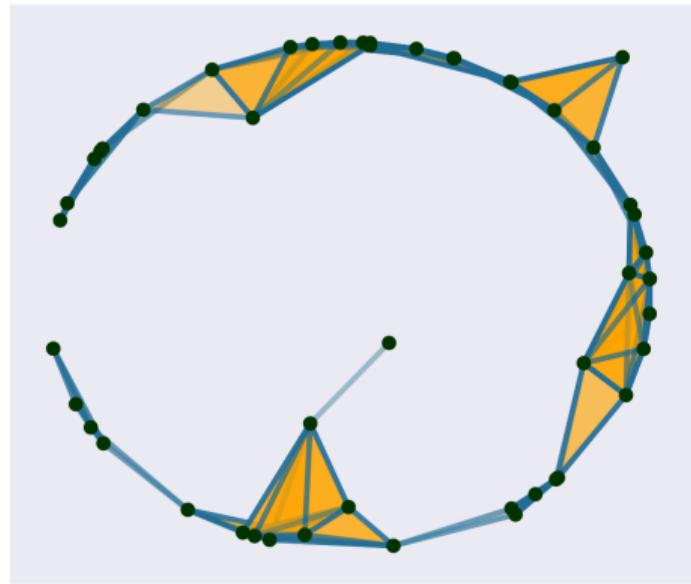
# Persistent Homology and Barcodes



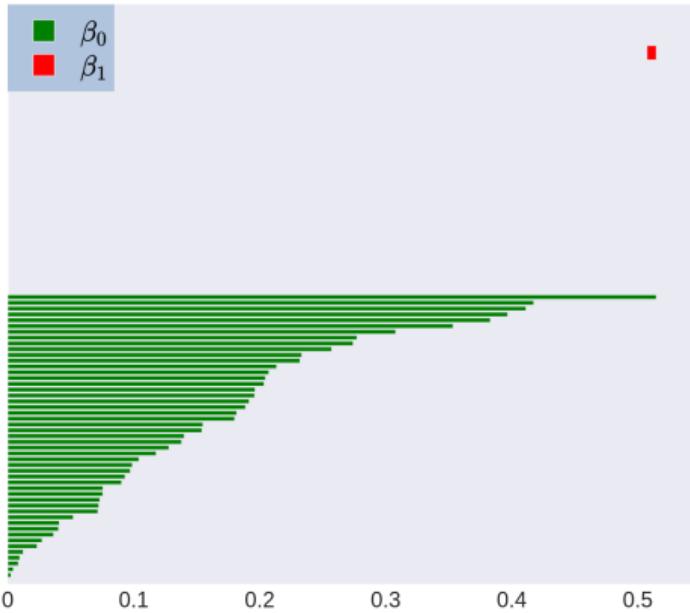
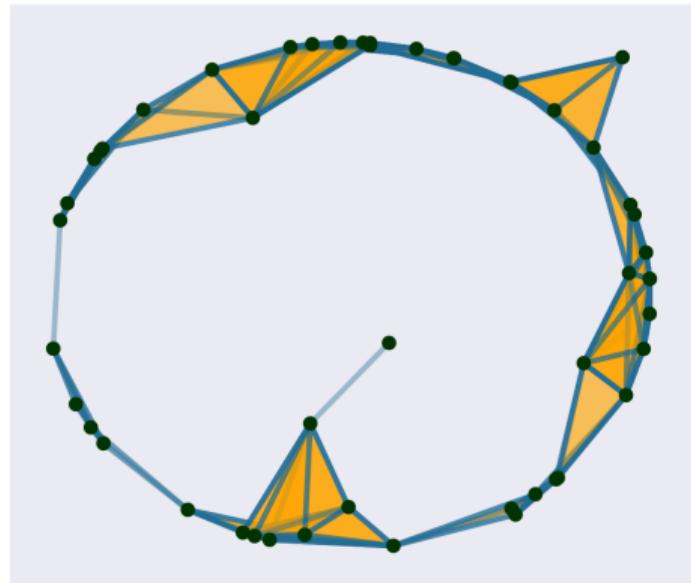
# Persistent Homology and Barcodes



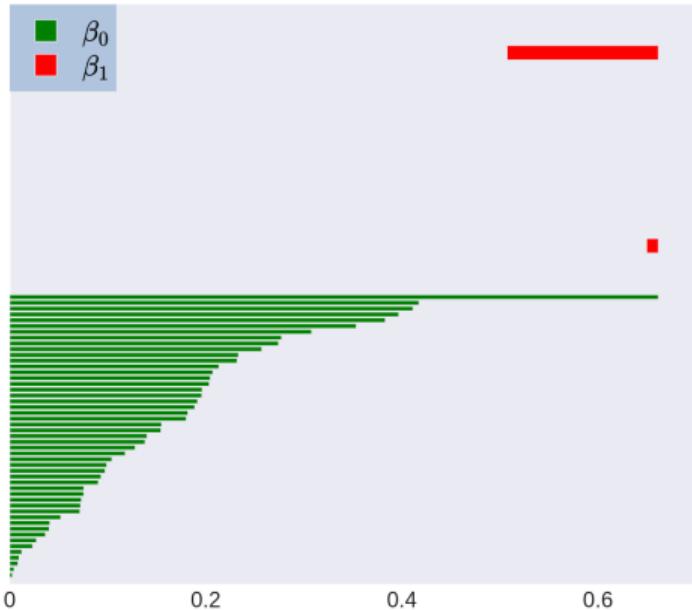
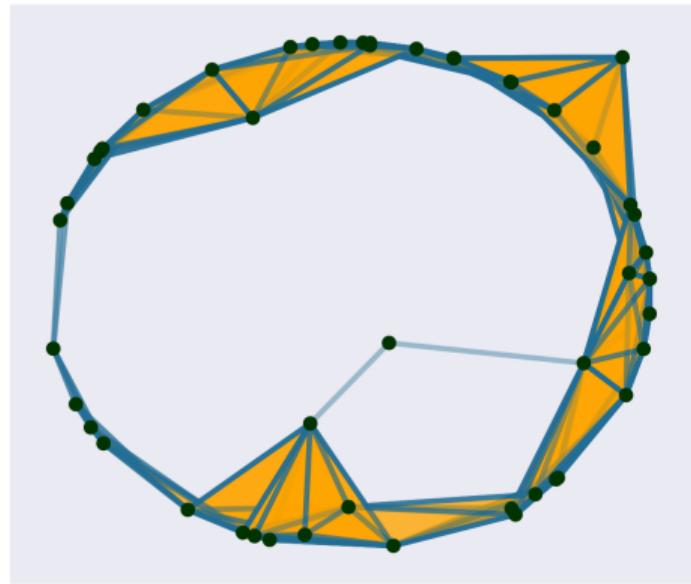
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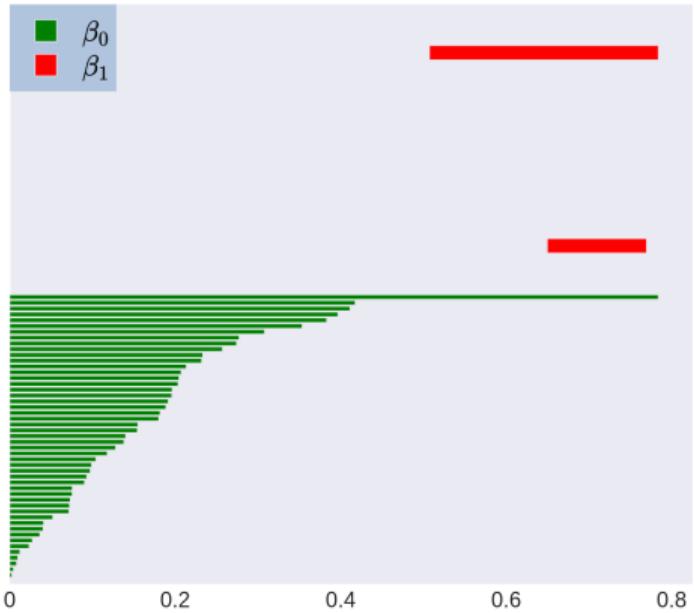
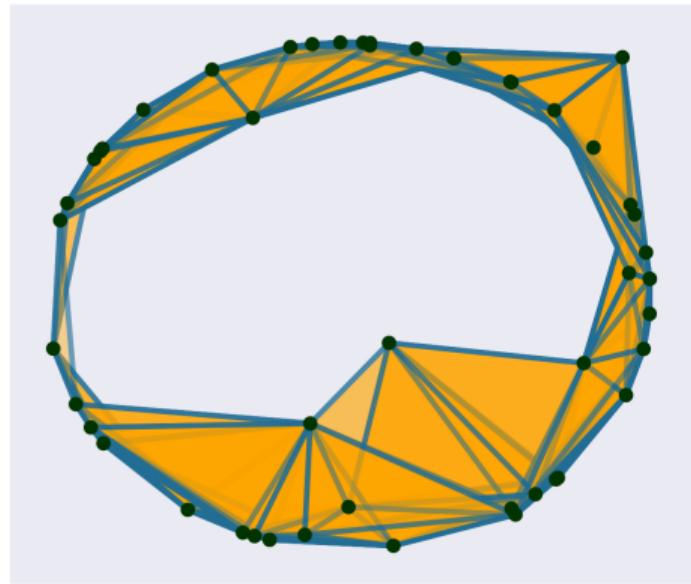
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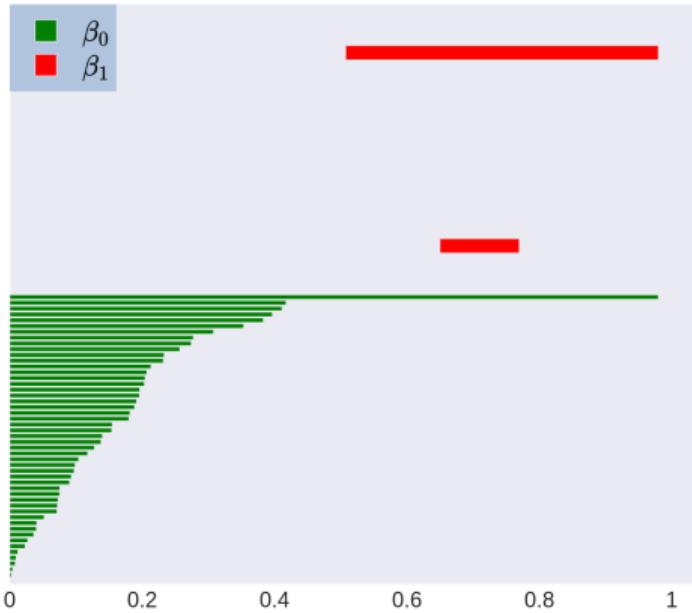
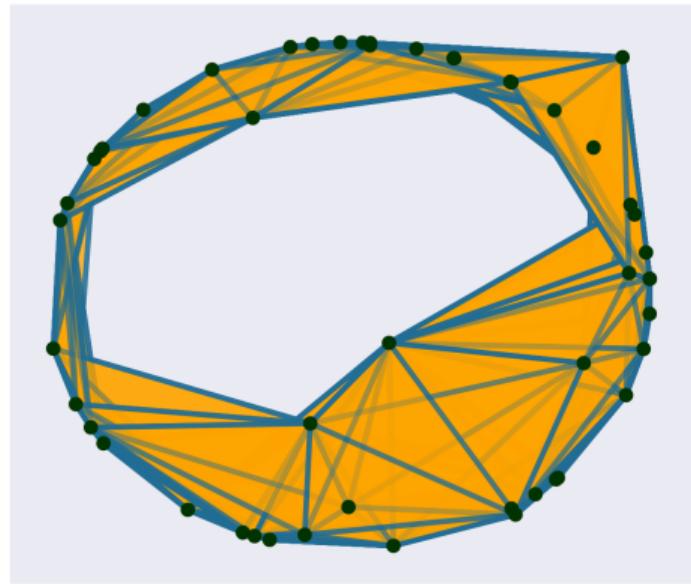
# Persistent Homology and Barcodes



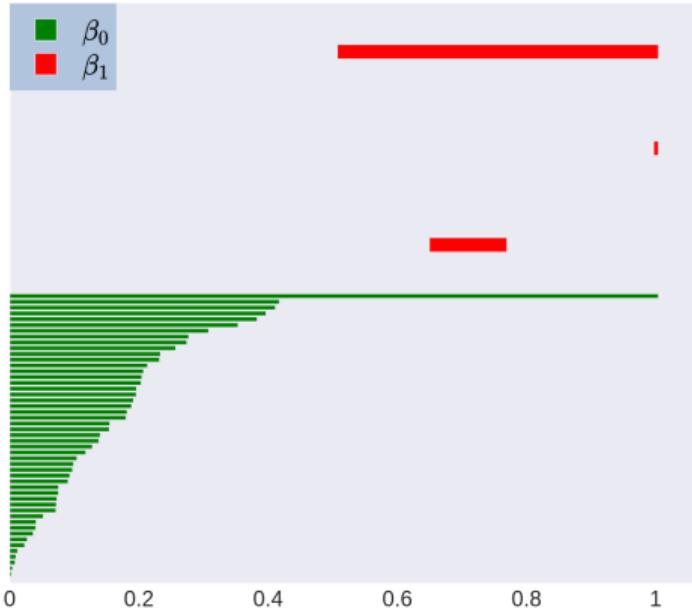
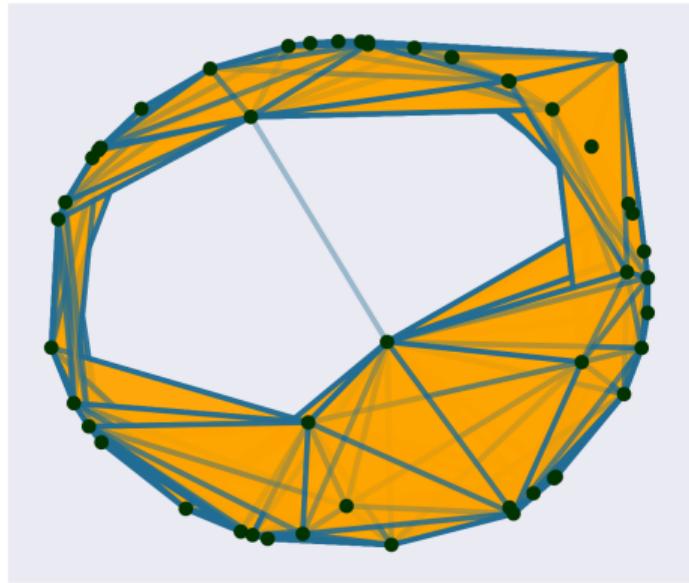
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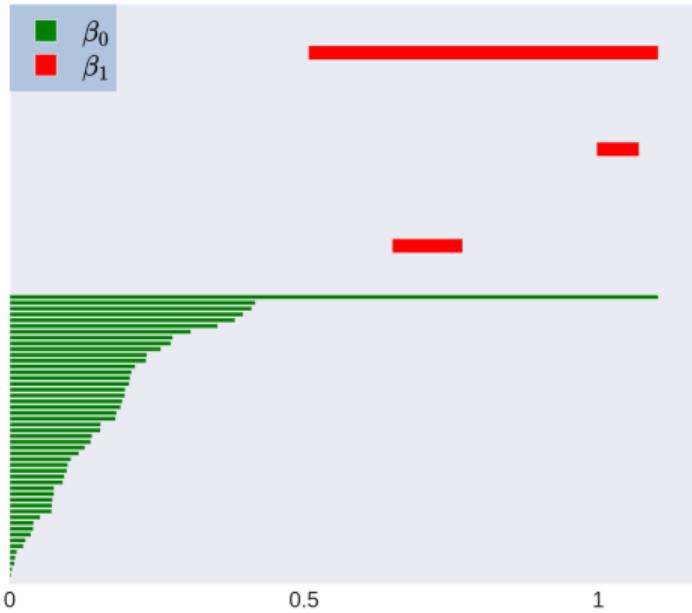
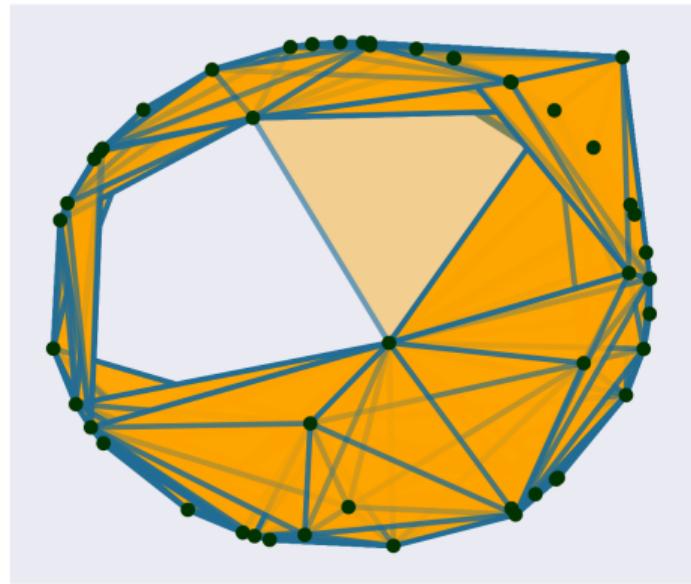
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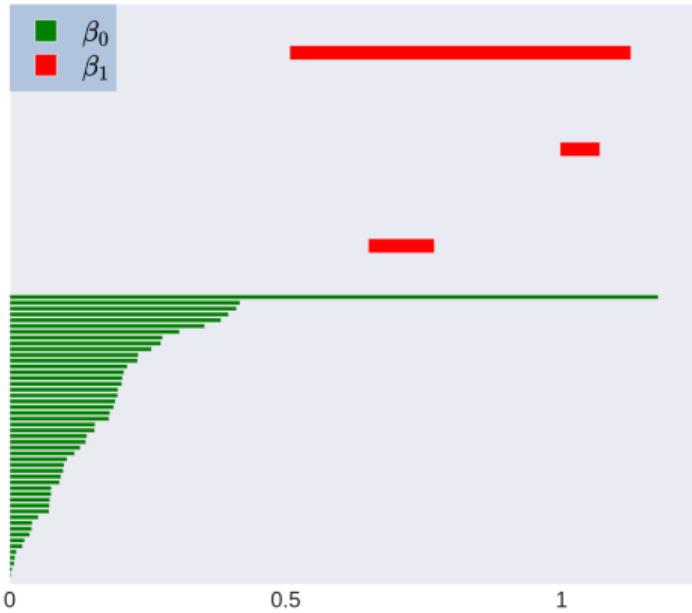
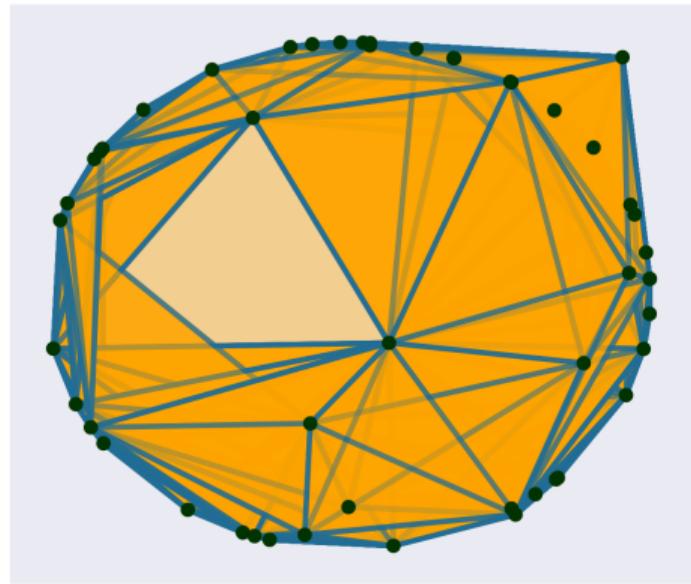
# Persistent Homology and Barcodes



# Persistent Homology and Barcodes



# Persistent Homology and Barcodes



# Persistent Homology

Filtered Vietoris-Rips complex

$$R_{\varepsilon_1} \hookrightarrow R_{\varepsilon_2} \hookrightarrow \cdots \hookrightarrow R_{\varepsilon_i} \hookrightarrow \cdots \hookrightarrow R_{\varepsilon_j} \hookrightarrow \cdots \cdots \hookrightarrow R_{\varepsilon_{max}}$$

After applying the homology functor,

$$H_k(R_{\varepsilon_1}) \rightarrow H_k(R_{\varepsilon_2}) \rightarrow \cdots \rightarrow H_k(R_{\varepsilon_i}) \rightarrow \cdots \rightarrow H_k(R_{\varepsilon_j}) \rightarrow \cdots \rightarrow H_k(R_{\varepsilon_{max}})$$

For every pair  $\varepsilon_i, \varepsilon_j$

$$\psi_{\varepsilon_i, \varepsilon_j}^k : H_k(R_{\varepsilon_i}) \rightarrow H_k(R_{\varepsilon_j})$$

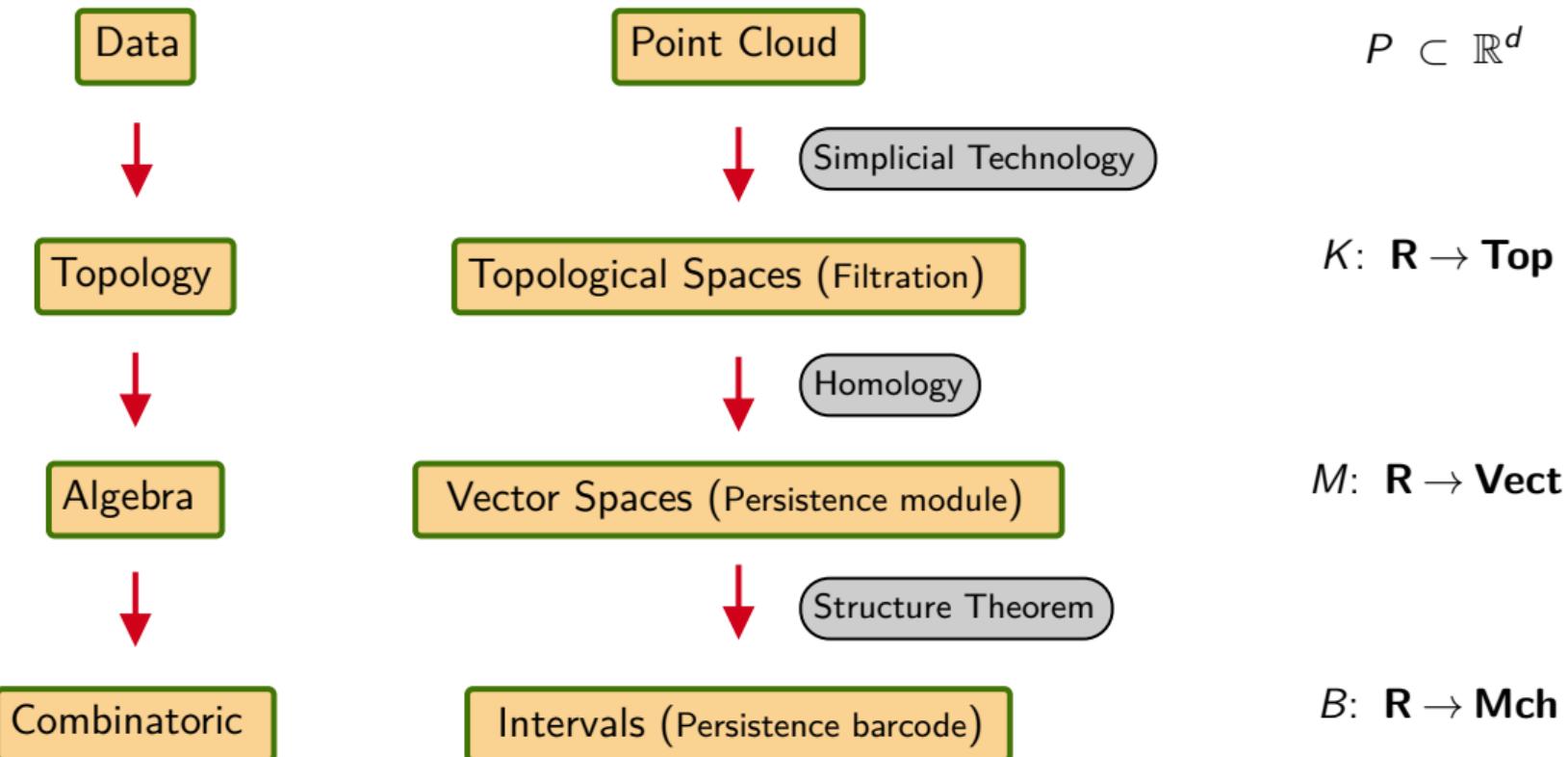
## Definition

The  $k$ -th persistent homology group is

$$PH_k := \text{im } \psi_{\varepsilon_i, \varepsilon_j}^k.$$

Go Forward

# Persistent Homology - Big Picture



# Relation between homology classes

How do we connect points ?



# Relation between homology classes

How do we connect points ?



$$\partial_1 = [ 0 ]$$

$$\partial_0 = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

# Relation between homology classes

How do we connect points ?

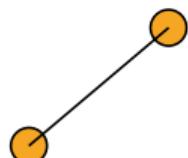
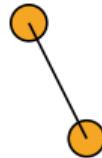


$$\partial_1 = \begin{bmatrix} 1 \\ 1 \\ 0 \\ 0 \end{bmatrix}$$

$$\partial_0 = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

# Relation between homology classes

How do we connect points ?



$$\partial_1 = \begin{bmatrix} 1 & 0 \\ 1 & 0 \\ 0 & 1 \\ 0 & 1 \end{bmatrix}$$

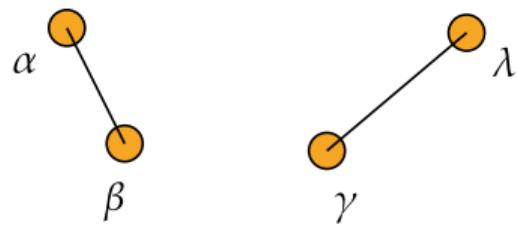
$$\partial_0 = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

# Relation between homology classes

How do we connect points ?

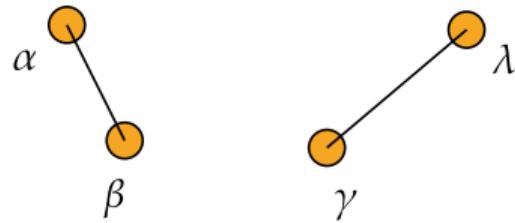
$$D = \begin{bmatrix} 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \end{bmatrix}$$

Rank = 2



# Relation between homology classes

How do we connect points ?



$$D = \begin{bmatrix} 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \end{bmatrix}$$

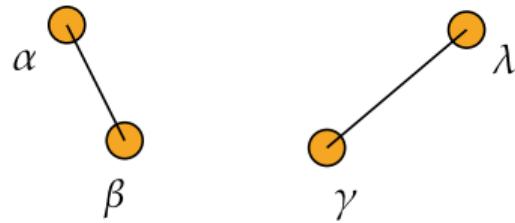
Rank = 2

$$D_{\alpha,\beta} = \begin{bmatrix} 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \\ 1 & 1 & 0 & 0 \end{bmatrix}$$

Rank = 2

# Relation between homology classes

How do we connect points ?



$$D = \begin{bmatrix} 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \end{bmatrix}$$

Rank = 2

$$D_{\alpha,\beta} = \begin{bmatrix} 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \\ 1 & 1 & 0 & 0 \end{bmatrix}$$

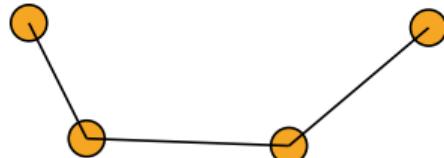
Rank = 2

$$D_{\alpha,\gamma} = \begin{bmatrix} 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \\ 1 & 0 & 1 & 0 \end{bmatrix}$$

Rank = 3

# Relation between homology classes

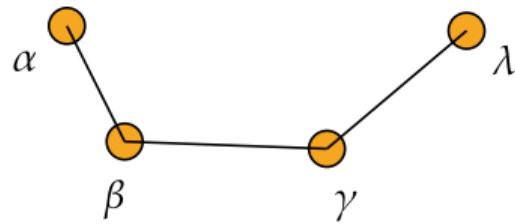
How do we connect points ?



$$\partial_1 = \begin{bmatrix} 1 & 0 & 0 \\ 1 & 0 & 1 \\ 0 & 1 & 1 \\ 0 & 1 & 0 \end{bmatrix} \quad \partial_0 = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

# Relation between homology classes

How do we connect points ?



$$D = \begin{bmatrix} 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \\ 0 & 1 & 1 & 0 \end{bmatrix}$$

Rank = 3

$$D_{\beta,\gamma} = \begin{bmatrix} 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \\ 0 & 1 & 1 & 0 \\ 0 & 1 & 1 & 0 \end{bmatrix}$$

Rank = 3

$$D_{\alpha,\gamma} = \begin{bmatrix} 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \\ 0 & 1 & 1 & 0 \\ 1 & 0 & 1 & 0 \end{bmatrix}$$

Rank = 3

# Homological Distance

- ① Given a point cloud  $P$ , construct the Rips Complex

$$R_\varepsilon(P) = \{\sigma \subset P \mid \|x - y\| \leq \varepsilon, \text{ for all } x, y \in \sigma\}$$

- ②  $R_{\varepsilon_1} \subseteq R_{\varepsilon_2}$  for a given pair  $\varepsilon_1$  and  $\varepsilon_2$  values with  $\varepsilon_1 \leq \varepsilon_2$
- ③ For the pair  $\varepsilon_1 \leq \varepsilon_2$  we have the natural map in homology,

$$\psi_{\varepsilon_1, \varepsilon_2}^k : H_k(R_{\varepsilon_1}) \rightarrow H_k(R_{\varepsilon_2})$$

- ④ Take two cycles  $\alpha, \beta \in H_k(\mathcal{R}_{\varepsilon_1})$
- ⑤  $\alpha' := \psi_{\varepsilon_1, \varepsilon_2}^k(\alpha)$  and  $\beta' := \psi_{\varepsilon_1, \varepsilon_2}^k(\beta)$  by padding  $\alpha$  and  $\beta$  with suitable number of 0's.

# Homological Distance

- Add  $\alpha'$ ,  $\beta'$  and  $\alpha', \beta'$  together to the differential matrix  $\mathcal{D}^t$  at  $\varepsilon_2$ .
- Calculate rank of the differential matrices.
- Check whether  $\psi_{\varepsilon_1, \varepsilon_2}^k(\alpha)$  and  $\psi_{\varepsilon_1, \varepsilon_2}^k(\beta)$  are linearly dependent.

## Homological Distance

$k$ -th homological cophenetic distance  $D_k(\alpha, \beta)$  between homology classes  $\alpha$  and  $\beta$  is defined as

$$\inf \left\{ \eta - \varepsilon \geq 0 \mid \psi_{\varepsilon, \eta}^k(\alpha), \psi_{\varepsilon, \eta}^k(\beta) \text{ non-zero and lin. dep.} \right\}$$

# Experiments

## A synthetic point cloud

- $D \in \mathbb{R}^2$  and  $|D| = 20$ ,
- Uniform distribution over  $[0, 1)$ ,
- Labeled with the first 20 letters.

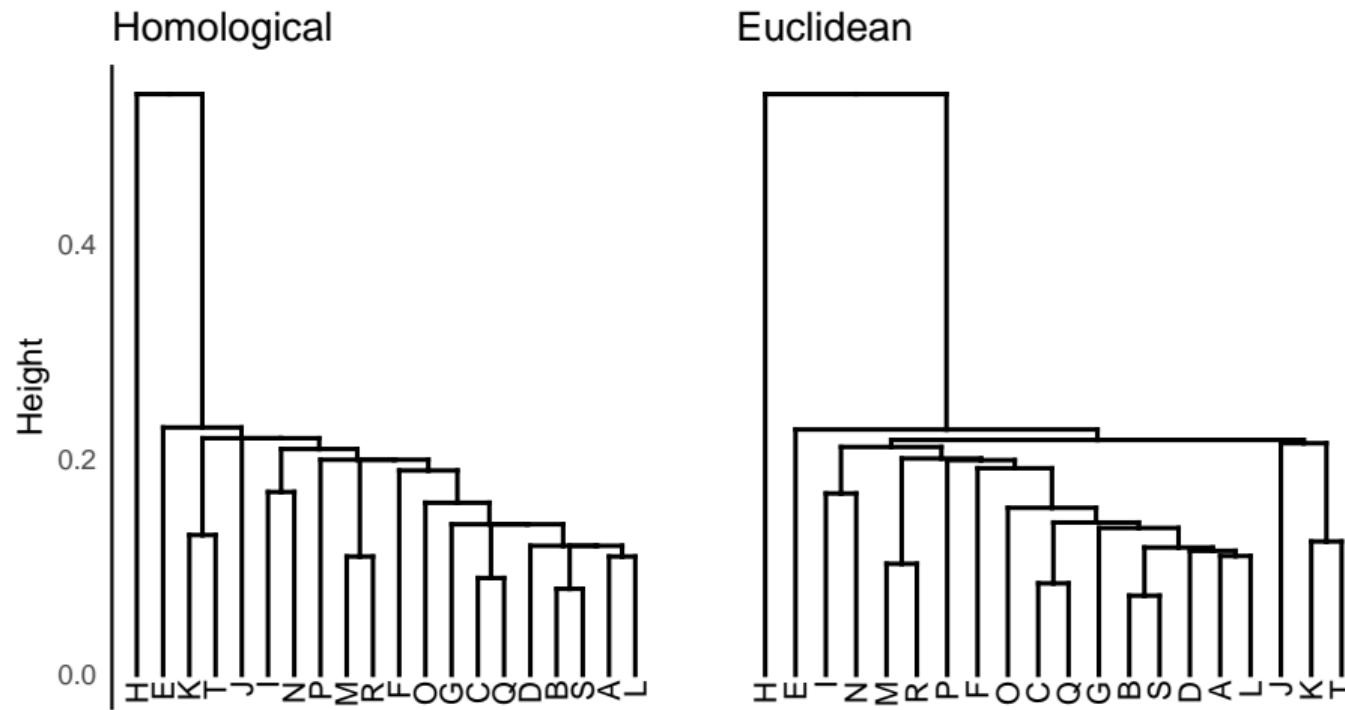
**Input:** A point cloud  $D$ ,  $|D| = 20$  and  
a list  $\varepsilon = \{\varepsilon_1 = 0, 0.05, \dots, 0.95, \varepsilon_{max} = 1\}$ .

**Output:** Dendograms

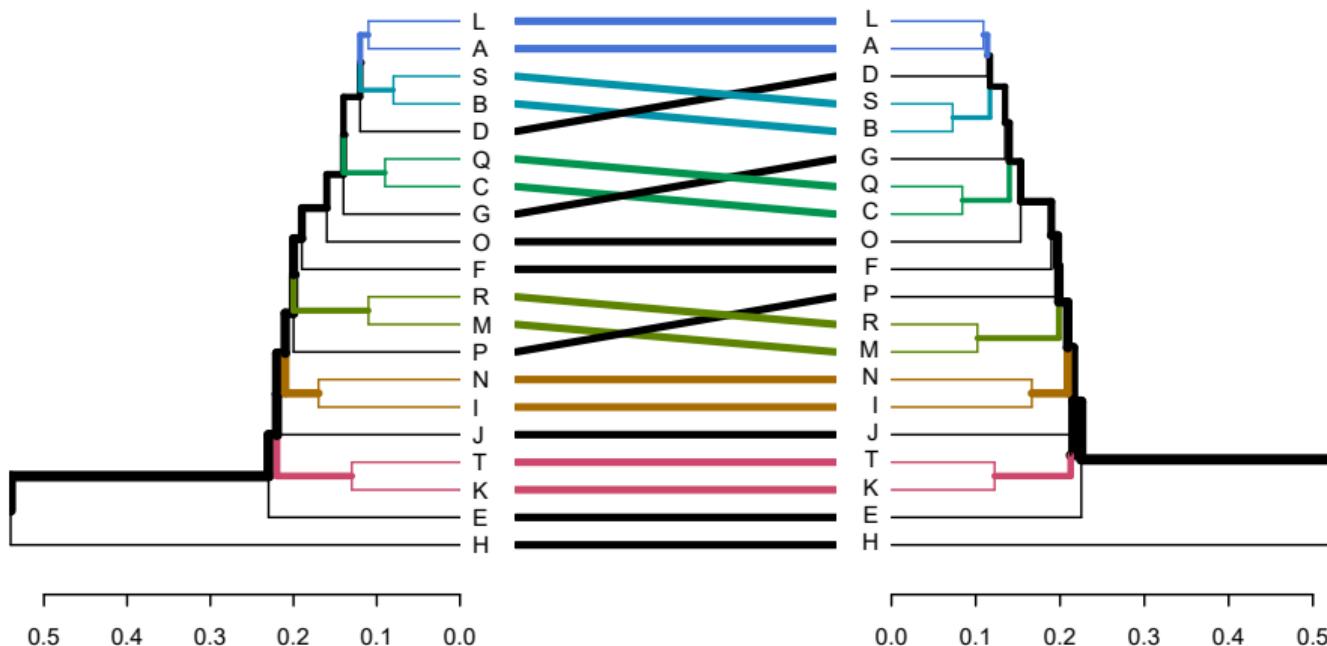
```

begin
    HomDist = [ ]20×20
     $\mathcal{R}_\varepsilon(P) \leftarrow$  Vietoris-Rips filtration ;
    for each  $\varepsilon$  do
        for every  $\alpha_i, \alpha_j \in H_0(\mathcal{R}_\varepsilon)$  do
            | HomDisti,j  $\leftarrow$  inf{ $\varepsilon$  | check lin. dep.} ;
        end
    end
     $E(D) \leftarrow$  EuclideanDist( $D$ );
     $Dend_1 \leftarrow$  HierarchicalClustering(HomDist( $D$ )) ;
     $Dend_2 \leftarrow$  HierarchicalClustering( $E(D)$ ) ;
    Compare( $Dend_1, Dend_2$ )
end
```

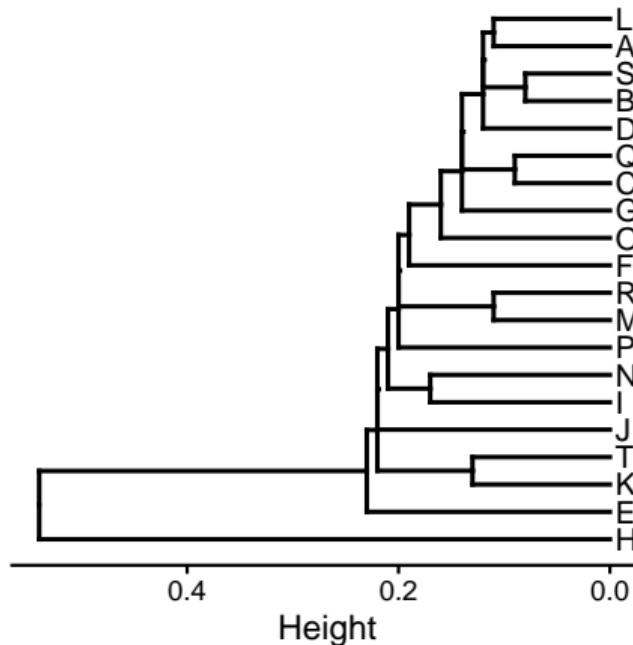
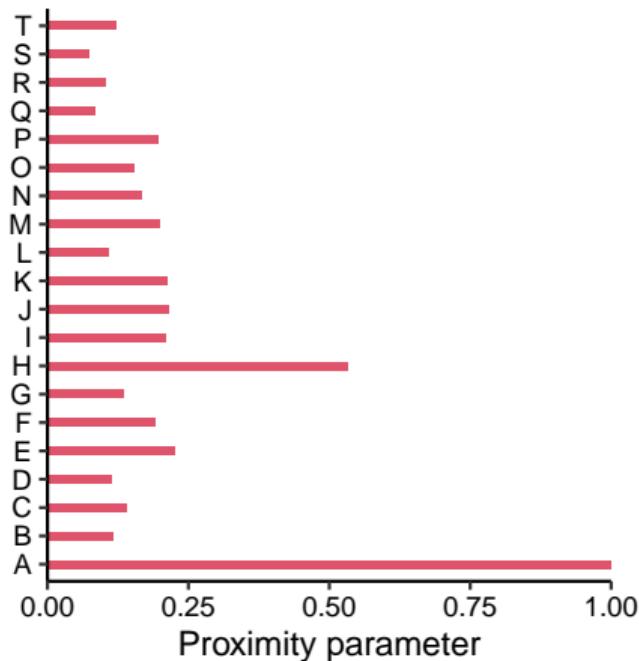
# Two dendrograms with single-linkage



# Tanglegram with the entanglement of 0.01



# Barcode and Enriched Barcode



# Turkish Cities and Mantel Statistics



- 24 Türkiye cities
- Single-linkage
- Different metrics
- Dendograms

| Metrics     | Bray-Curtis | Cosine | Manhattan | Euclidean | Minkowski | Homological |
|-------------|-------------|--------|-----------|-----------|-----------|-------------|
| Bray-Curtis | 1.00        | 0.64   | 0.96      | 0.90      | 0.90      | 0.90        |
| Cosine      |             | 1.00   | 0.61      | 0.52      | 0.69      | 0.59        |
| Manhattan   |             |        | 1.00      | 0.96      | 0.87      | 0.97        |
| Euclidean   |             |        |           | 1.00      | 0.75      | 0.98        |
| Minkowski   |             |        |           |           | 1.00      | 0.78        |
| Homological |             |        |           |           |           | 1.00        |

# Turkish Cities and Mantel Statistics



- 24 Türkiye cities
- Single-linkage
- Different metrics
- Dendograms

| Metrics     | Bray-Curtis | Cosine | Manhattan | Euclidean | Minkowski | Homological |
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| Manhattan   |             |        | 1.00      | 0.96      | 0.87      | 0.97        |
| Euclidean   |             |        |           | 1.00      | 0.75      | 0.98        |
| Minkowski   |             |        |           |           | 1.00      | 0.78        |
| Homological |             |        |           |           |           | 1.00        |

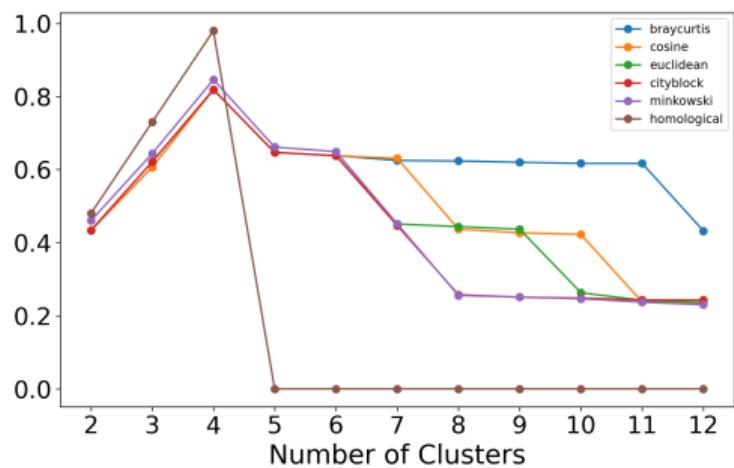
# Datasets

Table: Datasets used and their properties.

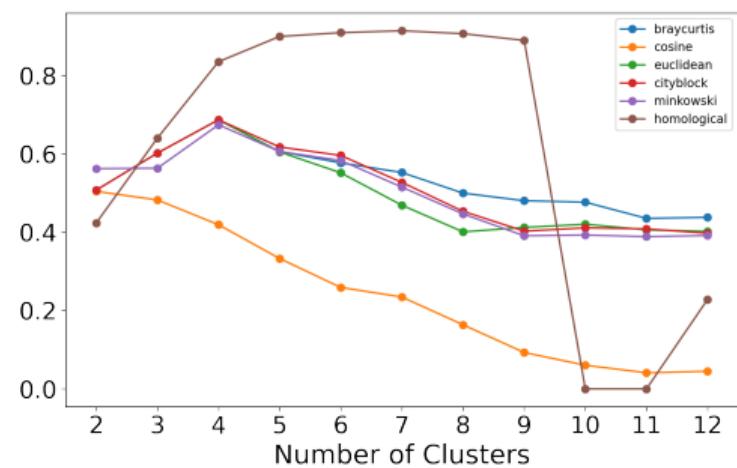
| Dataset                      | #Instances | #Attributes | Supervised | #Classes |
|------------------------------|------------|-------------|------------|----------|
| Turkish Cities               | 82         | 2           | No         | -        |
| Iris                         | 150        | 4           | Yes        | 3        |
| Cancer Coimbra               | 116        | 10          | Yes        | 2        |
| Synthetic (total separation) | 100        | 100         | Yes        | 4        |
| Synthetic (with mixture)     | 100        | 2           | Yes        | 4        |

# Silhouette Scores

Synthetic Perfect

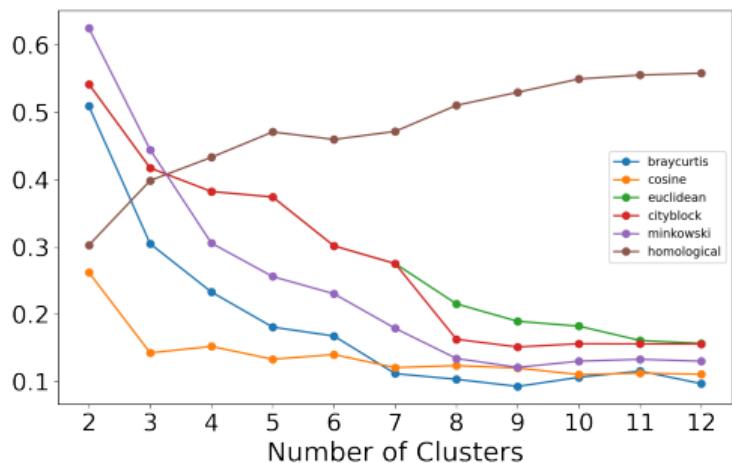


Synthetic Mixed

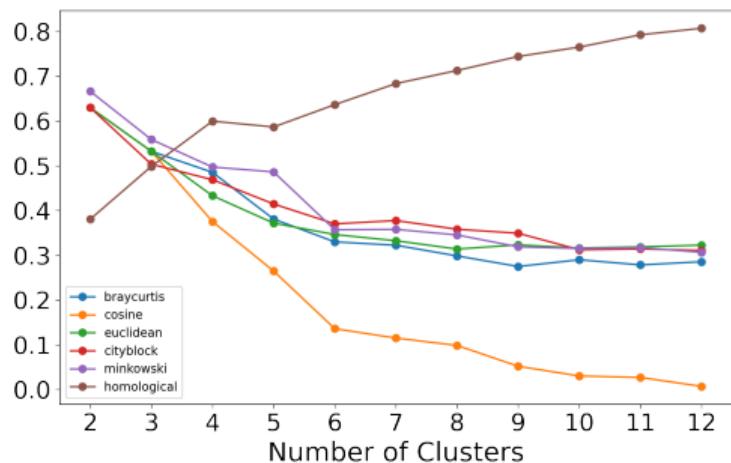


# Silhouette Scores

## Cancer Coimbra



## Iris



# A comparison of metrics on Mixed Synthetic datasets.

Synthetic Dataset

| Metric      | F1     | Acc.   | Hom.   | Comp.  | M.Info | Rand   |
|-------------|--------|--------|--------|--------|--------|--------|
| Bray-Curtis | 1.00 A | 1.00 A | 1.00 A | 1.00 A | 1.38 A | 1.00 A |
| Cosine      | 0.83 A | 0.91 A | 0.72 C | 0.77 S | 1.38 C | 0.87 A |
| Manhattan   | 1.00 S | 1.00 S | 1.00 S | 1.00 S | 0.99 S | 1.00 S |
| Euclidean   | 1.00 A | 1.00 A | 1.00 A | 1.00 A | 1.38 A | 1.00 A |
| Minkowski   | 1.00 C | 1.00 C | 1.00 C | 1.00 C | 1.38 C | 1.00 C |
| Homological | 0.98 A | 0.99 A | 0.95 A | 1.00 S | 1.31 A | 0.98 A |

# A comparison of metrics on Cancer datasets.

Real Dataset

| Metric      | F1     | Acc.   | Hom.   | Comp.  | M.Info | Rand   |
|-------------|--------|--------|--------|--------|--------|--------|
| Bray-Curtis | 0.56 S | 0.56 S | 0.02 A | 0.14 S | 0.02 A | 0.50 S |
| Cosine      | 0.55 C | 0.55 C | 0.01 S | 0.12 S | 0.01 S | 0.50 C |
| Manhattan   | 0.53 S | 0.53 S | 0.02 A | 0.13 A | 0.02 A | 0.50 S |
| Euclidean   | 0.54 W | 0.54 W | 0.02 A | 0.13 A | 0.02 A | 0.50 W |
| Minkowski   | 0.53 S | 0.53 S | 0.02 A | 0.13 A | 0.02 A | 0.50 S |
| Homological | 0.61 W | 0.61 W | 0.03 W | 1.00 S | 0.02 W | 0.52 W |

# BAP, TÜBİTAK, Michigan State University, Other Works

BASARIM2022

## Classification of Stochastic Processes with Topological Data Analysis

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Erzincan University  
Journal of Science and Technology  
2022, 15(SPECIAL ISSUE I), 1-13  
DOI: 10.18185/erzifbed.119960  
Research Article

### Attitudes and Behaviors of Turkish Consumers Regarding the Olive Oil Consumption

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Geliş / Received: 04/11/2022, Kabul / Accepted: 09/12/2022

Ismail Guzel (İTÜ)

Ph.D. Thesis

## SIAM Data Mining: TDA, ML

A Case Study on Identifying Bifurcation and Chaos with CROCKER Plots

İsmail Güzel \*      Elizabeth Munch †      Firas Khasawneh ‡

### Abstract

The CROCKER plot is a coarsened but easy to visualize representation of the data in a one-parameter varying family of persistence barcodes. In this paper, we use the CROCKER plot to view changes in the persistence under a varying bifurcation parameter. We perform experiments to support our methods using the Rössler and Lorenz system and show the relationship with common methods for bifurcation analysis such as the Lyapunov exponent.



*molecules*



Article

### Phenolic Constituents, Antioxidant and Antimicrobial Activity and Clustering Analysis of Propolis Samples Based on PCA from Different Regions of Anatolia

Ümit Altuntas<sup>1,2,\*</sup>, İsmail Güzel<sup>3</sup> and Beraat Özçelik<sup>1,4</sup>

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March 13, 2022

31 / 33

# BAP, TÜBİTAK, Michigan State University, Other Works

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SIAM Data Mining: TDA, ML

Classification  
Topology

**AIP Chaos: An Interdisciplinary Journal of Nonlinear Science**

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Ismail Güzel, Elizabeth Munch and Firas A. Khasawneh

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C. Kanchana, J. A. Vélez, L. M. Pérez, et al.

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Gisela D. Charó, Christophe Letellier and Denisse Sclamarella

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Chimeras on annuli  
Carlo R. Laing

**Editor's picks**

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Geliş / Received: 04/11/2022, Kabul / Accepted: 09/12/2022

Ismail Guzel (ITU)

Ph.D. Thesis

March 13, 2022

31 / 33

# Future Work

## On this thesis

- Apply real-world dataset for the first degree of homology.
- Visualization tools for the first degree of homology.
- Apply to the categorical dataset.
- Deal with problems about computational power and memory.

## Other tasks

- Relation between Lyapunov exponent and persistent homology
- Two dimension bifurcation and CROCKER
- Classification Alpha-stable processes via TDA

# Thank You!



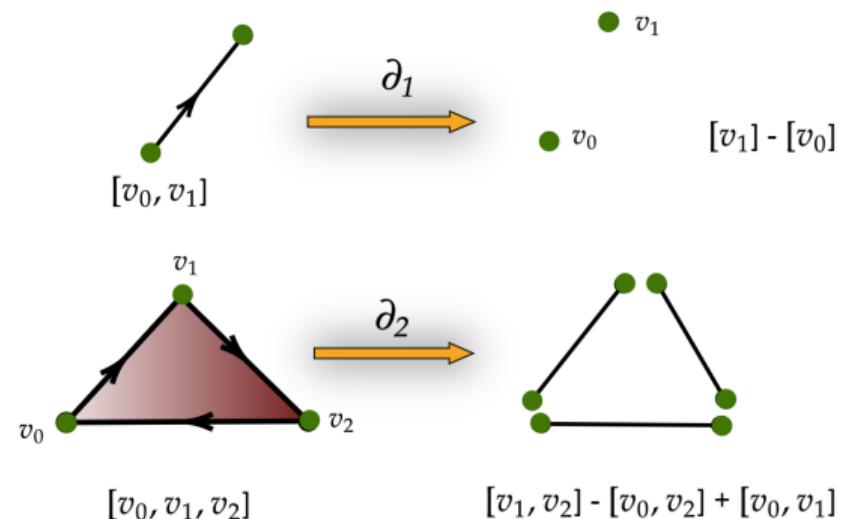
# Separation of complex

## Chain Complex

A chain complex of a simplicial complex  $\mathcal{K}$  is a sequence of abelian groups or modules  $\mathcal{C}_k$  connected by homomorphisms  $\partial_k : \mathcal{C}_k \rightarrow \mathcal{C}_{k-1}$  such that  $\partial_{k-1} \circ \partial_k = 0$  for  $k \in \mathbb{Z}$ .

$$\dots \xrightarrow{\partial_{k+2}} \mathcal{C}_{k+1} \xrightarrow{\partial_{k+1}} \mathcal{C}_k \xrightarrow{\partial_k} \dots$$

$$\partial_k \sigma = \sum_{i=0}^k (-1)^i [v_0, v_1, \dots, \hat{v}_i, \dots, v_k]$$



## Homology and Betti Number

The  $k^{th}$  homology group of a simplicial complex  $K$  is defined by

$$H_k(K) := \ker(\partial_k) / \text{im}(\partial_{k+1}).$$

The dimension of the  $k^{th}$  homology group of  $K$  is called the  $k^{th}$  *Betti number*  $\beta_k(K)$ .

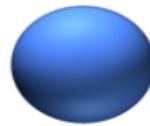
Point



Circle



Sphere



Torus



$$\beta_0 = 1$$

$$\beta_1 = 0$$

$$\beta_2 = 0$$

$$\beta_0 = 1$$

$$\beta_1 = 1$$

$$\beta_2 = 0$$

$$\beta_0 = 1$$

$$\beta_1 = 0$$

$$\beta_2 = 1$$

$$\beta_0 = 1$$

$$\beta_1 = 2$$

$$\beta_2 = 1$$

# Well-defined Persistence barcode

- Persistence modules

$$V_1 \rightarrow V_2 \rightarrow \cdots \rightarrow V_r \rightarrow \cdots \rightarrow V_s \rightarrow \cdots \rightarrow V_n$$

- Decompose persistence module into interval modules,

$$0 \rightarrow 0 \rightarrow \cdots \rightarrow 0 \rightarrow \mathbb{Z}_2 \rightarrow \cdots \rightarrow \mathbb{Z}_2 \rightarrow 0 \rightarrow \cdots 0$$

- A persistence module  $V$  indexed by  $T \subset \mathbb{R}$  is  $q$ -tame if for any  $v < s$  in  $T$ , the rank of the linear map  $v_r^s : V_r \rightarrow V_s$  is finite.
- If  $V$  is a  $q$ -tame persistence module, then it has a well-defined persistence barcode.

Go Back

# Combinatoric Possibilities

- Check whether  $\psi_{\varepsilon_1, \varepsilon_2}^k(\alpha)$  and  $\psi_{\varepsilon_1, \varepsilon_2}^k(\beta)$  are linearly independent by evaluating the rank of the differential matrix  $\mathcal{D}$  at  $\varepsilon_2$  with appending  $\alpha'$ ,  $\beta'$  and  $\alpha', \beta'$  together.
- 

$$r_\alpha := \text{rank}(\mathcal{D}_\alpha) - \text{rank}(\mathcal{D})$$

$$r_\beta := \text{rank}(\mathcal{D}_\beta) - \text{rank}(\mathcal{D})$$

$$r_{\alpha, \beta} := \text{rank}(\mathcal{D}_{\alpha, \beta}) - \text{rank}(\mathcal{D})$$

with the following cases:

$$\begin{cases} \alpha \text{ and } \beta \text{ both die} & \text{if } r_{\alpha, \beta} = 0, \\ \alpha \text{ and } \beta \text{ both live} & \text{if } r_{\alpha, \beta} = 2, \\ \alpha \text{ dies and } \beta \text{ lives} & \text{if } r_{\alpha, \beta} = 1 \text{ and } r_\alpha = 0, \\ \alpha \text{ lives and } \beta \text{ dies} & \text{if } r_{\alpha, \beta} = 1 \text{ and } r_\beta = 0, \\ \alpha \text{ and } \beta \text{ merge} & \text{if } r_{\alpha, \beta} = 1, r_\alpha = 1 \text{ and } r_\beta = 1. \end{cases}$$

# Matroids

## Definition

A partially ordered set is defined as an ordered pair  $P = (X, \leq)$ .

Here,  $X$  is called the ground set of  $P$  and  $\leq$  is the partial order of  $P$

## Definition

A matroid  $M = (S, \mathbb{I})$  is a finite ground set  $S$  together with a collection of sets  $\mathbb{I} \subset 2^S$  satisfying

- Downward closed:  $A \in \mathbb{I}$  and  $B \subseteq A \Rightarrow B \in \mathbb{I}$
- Exchange property:  $A, B \in \mathbb{I}$  and  $|B| < |A| \Rightarrow \exists x \in A \setminus B \text{ such that } \{x\} \cup B \in \mathbb{I}$ .

# Matroids

## Terminology

- Independent set:  $I \in \mathbb{I}$
- Circuit: Minimal dependent set of  $M$
- Basis: Maximal independent set of  $M$
- Span: Basis  $B$  and  $B \subseteq \mathbb{I} \Rightarrow \mathbb{I}$  is a spanning set.

- Ground set  $\mathbb{V}$ : set of vectors spanning  $\mathbb{R}^d$
- Independent set  $\mathbb{I}$ : bases of  $\mathbb{R}^d$  in  $\mathbb{V}$
- Matroid:  $(\mathbb{V}, \mathbb{I})$

# The Rank Function of a Matroid

## Definition

Let  $M$  be a matroid on a finite ground set  $E$ . The rank  $r(X)$  of a subset  $X \subseteq E$  is the cardinality of the largest independent set contained in  $X$ . In other words

$$r(X) = \max\{|A| \in N \mid A \subseteq X \text{ and } A \in \mathcal{I}\}$$

# Cobordisms

For two linearly independent pair of homology classes  $\alpha$  and  $\beta$  in  $H_1(R_\varepsilon)$ , one can see  $\psi_{\varepsilon,\eta}^1(\alpha)$  and  $\psi_{\varepsilon,\eta}^1(\beta)$  are linearly dependent in  $H_1(R_\eta)$ . We, then, visualize that two classes  $\alpha$  and  $\beta$  merged at time  $\eta$ .

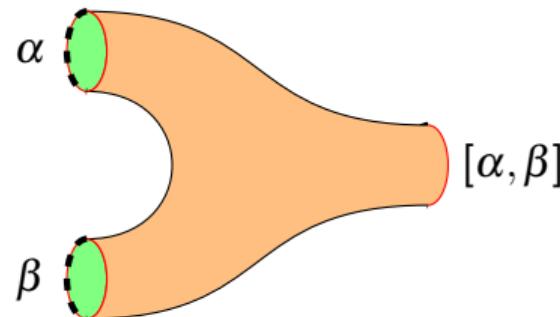


Figure: Cobordism in the merging case from  $S^1 \sqcup S^1$  to  $S^1$  representing two cycles  $\alpha$  and  $\beta$  evolve in  $[\alpha, \beta]$  from  $\varepsilon$  to  $\eta$ .