



FIRDOUS SHAIKH

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Summary

A highly motivated and detail oriented Compliance Manager with experience in desingning, implementing and managing compliance programs .Possesing extensive knowledge of regulatory requirements , policies and procedures. I am skilled in risk assessment , internal control evaluation and compliance monitoring .

Experience



ASSET COMPLIANCE MANAGER

16 FEB 2022 – TILL DATE

Key contributions

- Conducting Enhanced Due Deligence on high risk Financial intermediaries .
- Performing ongoing AML/CTF risk monitoring on existing Kyc documents
- Also doing Kyc Screenings (Fircosoft , Google & Accuity searches)and evaluating the information and Negative hits, PEP hits against the clients as per Global Kyc Procedures.
- Performing AML/KYC Due Deligence on a range of institutional client types including Govts, Private and Listed entities .
- Performing necessary checks to identify PEPs, Sanctioned and Adverse information on Individuals .
- Analysing transactions in order to identify risk and trends and any inconsistent or Suspicious activity .

Education

Bankedge Academy

Pg Diploma in Banking and Finance

Shreemati Nathibai Damodar Thackerasey Women`s University

Bachelor of Commerce- Bcom, Accounting and Finance .

Skills

Workshops on Equity Research , Finance Modelling and Valuations , Ms Excel , Word , PPT .