APPENDIX 01: **ASSA AWARDS REGISTRATION FORM**

Category	:	Good Practice Award for the effectiveness of inspection task of the Viet Nam Social Security (VSS) in addressing compliance challenges on social insurance (SI), unemployment insurance (UI), and health insurance (HI) policies implementation.
Organization name	:	Viet Nam Social Security
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Project name	:	Strengthening Compliance With Social Insurance,
		Unemployment Insurance, and Health Insurance Laws
		Through Enhanced Inspection
Project objectives	:	 Brief reviewing of some achievements of the inspection task of VSS in recently such as: The establishment of VSS Inspectorate; outcomes of inspection task; effectiveness of IT application in inspection task. Strengthening international cooperation, sharing and exchanging experiences on inspection field with regional social security organizations such as: introducing the organizational structure model of inspection system from the central to local levels, renovating approaches and applying IT to improve the efficiency of inspection task
Reasons for	:	- In the past 29 years, VSS inspection task has been
nomination for ASSA award		recognized and praised by the Viet Nam National Assembly and Government for its role, position and contributions to the development of social security system in Viet Nam. Thus VSS Inspection Department was official become VSS Inspectorate from March 1, 2024. This is an important milestone for the development of VSS system in general and especially important for VSS inspectors in particular. - Contributing to the reduction of SI, UI and HI debts over the years; - Strengthening compliance with social security policies on SI, UI and HI of employers; - Providing evidences for VSS to recommend ministries and localities to enhance their responsibility in implementing social security policies; - Providing practical evidences for the Government to submit to the National Assembly to amend and supplement the SI Law, HI Law and Inspection Law. - Innovating inspection methods: Instead of applying traditional inspection methods of only randomly checking

records, VSS has effectively utilized professional software and makes used of centralized, inter-connected database system to review 100% of records, even for enterprises with thousands of employees or medical facilities with millions of HI examinations and treatment visits, thereby analyzing and identifying signs of errors and violations, focusing on key evidences for inspection. This is a big giant leap compared to the previous traditional inspection method. The application of IT has helped inspection task achieves the following results:

- + Improving the ability to detect and identify signs of errors and violations comprehensively that help improve the quality and efficiency of inspection.
- + Shortening inspection time, reducing costs and optimizing human resources.
- + Minimizing the impact on business tasks when conducting inspections, supporting the development of cooperative relationship between VSS and employers .
- + Supporting the detection of loopholes to improve the business and management processes of VSS.

Project Summary

- During 29 years of establishment and development, VSS has continuously affirmed its important role in Viet Nam social security system. In particular, the inspection task achieved remarkable achievements, not contributing to the protection of the rights of participants, but also improving management efficiency, ensuring transparency in the management of SI, HI and UI funds. In particular, after 8 years of being assigned by the National Assembly and the Government to perform the specialized inspection function on SI, HI and UI contributions, the specialized inspection task of VSS has helped the leadership, direction, operation management of VSS, specifically:
- Reducing the rate of SI, UI and HI debt over the years: Along with other solutions, the inspection task has helped in increasing revenue, reducing late payment of SI, UI and HI, the rate of late payment amount/receivable amount has gradually decreased over the years. Notably, 2023 had the lowest late payment rate ever of 2.69%
- Raising the sense of responsibility of employers in complying with the laws on SI, HI and UI: In 2023 and the first 8 months of 2024 alone, the whole VSS system has directly conducted inspections at 33,290 units. Through inspections, VSS was able to protect the rights of more than 20,000 employees who are legally required to participate in SI, HI and UI schemes but was not included

or have their participation periods shortened with the total arrears of 120.4 billion VND; 55,000 employees have paid below the prescribed level with total arrears amount of 129.9 billion VND. In addition, more than 2,800 billion VND of late payment of SI, HI and UI has been recovered, reaching 89.8% of the total late payments from business before inspection. VSS also requested for recovering 21.3 billion VND to the SI fund; 290 million VND to the UI fund; 140 billion VND for the HI fund due to the settlement, payment and benefit payment was processed not in accordance with regulations; issued decisions to impose fines or recommended authorities to issue 2,651 decisions to impose administrative penalties on SI, HI and UI payments with the total fine of 88.9 billion VND.

- Providing evidences for VSS to recommend ministries and localities to enhance their responsibility in organizing the implementation of SI and HI policies to ensure the legitimate and legal rights and interests of workers, help maintaining social order and safety, and local social security.
- Providing practical evidences for the Government to submit to the National Assembly to amend and supplement the SI Law, HI Law and Inspection Law and related regulations, contributing to the improvement of legal framework, ensuring feasibility, meeting practical requirements and fully protecting the rights of SI, HI participants...

Specially 2023 marked an important milestone in the development of VSS, a new beginning for the development of inspection sector when inspection function was formally legalizing, thus on March 1, 2024, VSS Inspectorate was formally established. This demonstrated the recognition of the National Assembly and the Government for the role and contribution of VSS in general and the inspection task in particular.

In order to perform better the functions, positions and roles of the Inspectorate, VSS has organized the implementation as follow:

- Strengthening the organizational structure of the inspection system by arranging 2 level of inspection: central level with VSS Inspectorate and local level with Inspection Division and civil servants, public employees assigned by the Director of the Provincial Social Security to perform inspection task.
- Innovating inspection methods: Instead of applying traditional inspection methods of only randomly checking

records, VSS has effectively utilized professional software and makes used of centralized, inter-connected database system to review 100% of records, even for enterprises with thousands of employees or medical facilities with millions of HI examinations and treatment visits, thereby analyzing and identifying signs of errors and violations, focusing on key evidences for inspection. The application of IT has helped VSS inspection system achieves the following results:

- + Improving the ability to detect and identify signs of errors and violations comprehensively that help improve the quality and efficiency of inspection.
- + Shortening inspection time, reducing costs and optimizing human resources.
- + Minimizing the impact on business tasks when conducting inspections, supporting the development of cooperative relationship between VSS and employers .
- + Supporting the detection of loopholes to improve the business and management processes of VSS.
- Addressing the difficulties and challenges faced by VSS to proactively provide direction for improvement of inspection task in the coming time.