MINISTRY OF LAW PRECIOUS METALS (PREVENTION OF MONEY LAUNDERING AND TERRORISM FINANCING) REGULATIONS 2020. REGULATION 13 REPORTING REQUIREMENT FOR REGULATED DEALERS WITH EFFECT FROM 1 JANUARY 2021

CONTENT FOR REPORTING

* Submission of semi-annual returns must be done through e-Portal for regulated dealers, not this excel document.

Semi-Annual Return from <DD> <MMM> <YYYY> to <DD> <MMM> <YYYY>

Section 1: General Information							
	System to pre-populate>						
UEN:	System to pre-populate>						
	Dealers to fill in>						
	«Dealers to fill in»						
Filer's Contact No.:							
Filer's Email Address:	Dealers to fill in-						
ACRA-registered Address (based on your last	System to pre-populate>						
application record with MinLaw):	- эринг о регроривия						
	■ Office						
	O Retail Outlet						
ACRA-registered Address is:	O Home Address						
ACAMING ALLE III	Address of Corporate Service Provider/Company Secretary						
	☐ Address of Shared Service Office						
	Others (please specify):						
Current ACRA-registered Address is the							
same as above:	No (Proceed to "Current ACRA-registered Address is:")						
	● Office						
	O Retail Outlet						
Current ACRA-registered Address is:	□ Home Address						
Current Activing Stereo Address in	Address of Corporate Service Provider/Company Secretary						
	Address of Shared Service Office						
	Others (please specify):						

	Others (please specify):
Section 2: Entity Profile	
S/N	Question
1	How many employees are currently employed by your company (including the business owner)?
	(Insert numerical value)
2	How many directors currently employed by your company are NRC holders?
	Drop down list specifying: 1) 0 2) 1 3) 2 to 5 4) 5
3	is your company wholly owned by foreigners?
*	Yes
0	No
	-

4	Which one of the following best describes your business outside of Singapore?
	My business outside of Singapore does not contribute significantly to my overall business (Proceed to question 6)
0	My business outside of Singapore contributes significantly to my overall business (Proceed to question 5)
0	Not Applicable. I do not conduct PSPM related business activities outside of Singapore (Proceed to question 6)

5	Please elaborate on your business outside of Singapore e.g. international/ regional presence and the details.							

What are the types of (PSPM) products that your business dealt with, and your business activity/activities for these											
6 PSPM products over the past 6 months?											
[You may select more than 1 option]											
	Manufacturing and Production	Wholesale			Retail		Auction	Commissioned Agent, Brokerage or Investment	Exchange or Trading/Clearing Facility Provider	Online	Others (please specify):
			Physical Shop	Online Shop	Department Store	Second-hand goods dealing					
Precious Metals											
Gold bullion le.s. pure ecid in the form of bars, insots, or plates!	D	0							D		
Precious metals, <u>except</u> gold bullion (e.g. gold, silver, platinum, iridium, osmium, palladium, rhodium, ruthenium and alloy with at least 2% in weight of	D	п			-		-	-	0	-	а
any of the abovementioned metals) Precious Stones				_				_			
		U		-							-
Loose diamonds		- i	- i			- i	- i			- i	
Loose precious stones, <u>except</u> diamonds (e.g. sapphire, ruby, emerald, jade (including nephrite and jadeite) and pearl)	ь			"		, i					
Precious Products [set/affload with precious stones and/or precious metals]											
Jewellery, except costume/fashion invellery		Ш	Ш	ш	U	ш	Ш	Ш		Ш	ш
Costume/fashion inwellery											
Warrhes											
Luxury ornaments, accessories and apparel											
Fortune telling/feng shui/astrology products											
Antiques and collector products (e.g. coinage)											
Other ornaments, accessories and apparel, except luxury products, fortune telling/feng shui/astrology products, and					-			-		-	
antiques and collector products	h		0	-	-	-	-	-	-	п	-
Others (please specify):						-		-			
Asset-Backed Tokens											
Virtual assets (e.g. crystocurrency, crysto-asset)						-			п	-	
Asset-backed tokens, except virtual assets	0										

,	(You may select more than 1 option)
	Drop down list of 235 countries/regions
	± add more
8	Describe your goods channel/movement. (You may select more than 1 option)
п	Goods only move within Singapore
п	Goods move from Singapore to customer in foreign country/countries
0	Goods move from supplier in foreign country/countries to Singapore
0	Goods move directly from supplier in foreign country/countries to customer in foreign country/countries
0	No physical movement as I am dealing with virtual assets only: or I am an online platform provider
п	Others (please specify):
9	Please indicate the payment mode(s) used by your business. (You may select more than 1 option)
9	
-	(You may select more than 1 option)
	The may select more than 5 option) Cash Cach Cach equivalent is a cach every selection of the selection
0	The may seld more than 1 option) Cash Cash Cash Cash Cash equivalent is g cash drepans, cash wouthers, stored whar cards, NETS flashpay, El-link cards, gift cards) Oupens, garget cash of wheeper is grossed threats, casher's order, travellers' thespeet) Est crossed threats, casher's crider, travellers' thespeet)
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0 0	The may select more than 1 option) Cash Cach
0	Other many select more than 1 option) Cash
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0 0 0	The may select more than 1 option) Cash Cash equivalent Las a cent chapter, cash variabless, stored value crash, NETS flashpay, Zi-linit cards, gift cards) Occupan, aggrad cash chapters La considerable, basic of chapters La considerable, basic of chapters of considerabless of
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0 0 0 0	Other may select more than 1 option) Cash
0 0 0 0	Other may select more than 1 option) Cash
0 0 0 0	Other may select more than 1 option) Cash Cash Selection, etc.,

10	What is the percentage of total cash and cash equivalent transactions your business made over the past 6 months?
	I have not commenced my business
0	I have commenced my business but have no transactions over the past 6 months
0	All cash and cash equivalent transactions
0	> 50% cash and cash equivalent transactions
0	Roughly 50% cash and cash equivalent transactions
0	< 50% cash and cash equivalent transactions
0	All non-cash and non-cash equivalent transactions

	_								
11	Please indicate the total sales and number of transactions for your (PSPM) products for the 6 months period (1 Jan 2021 to 30 Jan 2021).								
		1 Jan 2021 to 30 Jun 2021]						
	Total sales (in S5) Total number of transactions (in qty)								
	total number of transactions (in qey)		1						
12	Do you conduct non face-to-face transactions (e.g. online platform)?								
	Yes (Proceed to question 13)								
	No (Proceed to question 14)								
13	Please indicate the total sales and number of non face-to-face transactions for your (PSPM) products for the 6 months period (1 Jan 2021 to 30 Jun 2021).		_						
		1 Jan 2021 to 30 Jun 2021							
Non face-to-face transactions (e.g. online platform)	Total sales (in S\$) Total number of transactions (in qty)								
14	Please indicate your highest priced (PSPM) product sold in the past 6 months.								
0	\$5500,000 and above \$5100,000 to <\$5500,000								
0 0	5520,000 to <55100,000 5510,000 to <5520,000								
0	\$55,000 to <\$51,000 \$52,000 to <\$55,000 \$50 to <\$53,000								
]							
15	Mease provide the details of the 5 highest valued transactions over the past 6 months. Product (PSPM)	Transaction Value	Payment Mode		Non face-to-face tra platform)	rsactions (e.g. online			
	States Trotes (Tabuer in options perioded in quotation () Troteg down to increasing the section () Troteg down to increasing the section () and from of basis (, page, or plates). 2) Protection metals, gazzage good basis (no. p. good, steve, plates), which, questions, palledium, findulem, rethrekens and daily were full seal; we wanted of any of the section metals) with a states (2) in weight of any of the above metals). 4) Economics teams, gazzaged demonshi (p.s. spaphre, noley, emerals, jude (including expirite and judelis) and particularly, gazzaged contenting () 4) Economics teams, gazzaged demonshi (p.s. spaphre, noley, emerals, jude (including expirite and judelis) and particularly, gazzaged contenting () 4) Contenting () 5) Antique () 6) Contenting () 6) Contenting () 6) Antique () 6) Antiqu		*Dopp down list consisting movement in questions (§). Consistent (§). Consiste	ash cheques, cash rds, NETS flashpay, EZ- heques (e.g. crossed travellers' cheques) g, credit cards, bank trade financing) trade financing) di gold bans to pay for lad gold jewellery for new insactions is 0 in	* Drop-down list sp 1) Yes 2) No (if the total number question 11, the def section will be N/A.)	of transactions is 0 in			
	If the total number of transactions is between 0 and 5, the required details shall be equivalent to your total number of transactions.		question 11, the default v be N/A.)	arue for this section will					
b	As above	(Insert numerical value in S\$)	As above		As above				
c	As above	(Insert numerical value in S\$)	As above		As above				
d	As above	(Insert numerical value in SS)	As above		As above				
•	As above	(Insert numerical value in S\$)	As above		As above				
Section 4: Designated Transaction									
S/N 16	Question Did you perform any cash or cash equivalent transaction(s) above SS20,000 (i.e. designated transaction(s)) over the								
	past 6 months?								
1 .	Mar (Au								
*	Yes (Proceed to questions 17,18 and 20)								
•	Yes (Proceed to questions 17,18 and 20) No (Proceed to question 20)								
	No (Proceed to question 20) Please provide the details of the designated transaction(s). Contributing Region:	Type of Customer		Number of Customers		Total Transaction Value		Number of Transaction(s)	
0	No (Proceed to question 20) Measurement of the designated transaction(s).	Type of Customer * Drop down list consisting of: 3) individual 2) Business		Number of Customers (Insert numerical value	9	Total Transaction Value Onsert numerical value i		Number of Transaction(s) (Insert numerical value)	
o y	No. (Proceed to question 20) Reaso provide the action of the discipated transaction(s). Continuous provides the action of the discipated transaction(s). Continuous provides are countries (provides). Continuous	* Drop down list consisting of: 1) Individual			0				
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23	These provides the action of the disciplanted transaction(s). The control of 235 countries/regions Drop down lot of 235 countries/regions Old you can yeld more countries/regions The provides of the partition 139 The partition of 125 countries/regions	- throp down list strength of the strength of		(insert numerical value		Onsert numerical value i	in 55)	(Insert numerical value) Number of Transaction(s)	
13 13 13 13 13 13 13 13	Processor for appeal on 200 Passes provides the actival of the disciplanted transaction(s). To use yet and one countrient/regional Drop down load 235 countrient/regional Old you consided any designated transaction(s) with politically exposed person(s) (PETs)? Yet (Process to specifican 130) Nay (Process to specifican 130) Passes provides the actival of the disciplanted transaction(s) involving PETs. Commission (Plan was yet any maximum lead for cash transactions that you would accept? The commission of the actival of the disciplanted transaction(s) involving PETs. Commission (Plan was yet any maximum lead for cash transactions that you would accept?) Passes provide the person 232 Nay (Processor to specifican 232) Passes indicate your maximum lead for cash transactions that you would accept? Passes indicate your maximum lead for cash transactions that you would accept? Passes indicate your maximum lead for cash transactions that you would accept? Passes indicate your maximum lead for cash transactions that you would accept? Passes indicate your maximum lead for cash transactions that you would accept? Passes indicate your maximum lead for cash transactions that you would accept? Passes indicate your maximum lead for cash transactions that you would accept? Passes indicate your maximum lead for cash transactions that you would accept? Passes indicate your maximum lead for cash transactions that you would accept? Passes indicate your maximum lead for cash transactions that you would accept? Passes indicate your maximum lead for cash transactions for cash transactions (200) and like Cash Transaction Report(s) (CTRs)? Passe you established procedures to identify politically exposed person(s) (PETs) and higher risk outtomer? Passes you established procedures to identify politically exposed person(s) (PETs) and higher risk outtomer? Passes you established procedures to identify politically exposed person(s) (PETs) and higher risk outtomer? Passes you established procedures to identify politi	- throp down list strength of the strength of		(insert numerical value		Onsert numerical value i	in 55)	(Insert numerical value) Number of Transaction(s)	
23	Processor for a specialized 200 Processor for a specialized 200 Processor for a specialized 200 Disp down load 235 countrien/regional Disp down load 235 countrien/regional Processor for apparation 130 As processor for apparation 130 As processor for apparation 130 Processor for apparation 131 Processor for apparation 131 Processor for apparation 132 Processor for apparation 133 Processor for apparation 134 Processor for apparation 135 Pro	- throp down list strength of the strength of		(insert numerical value		Onsert numerical value i	in 55)	(Insert numerical value) Number of Transaction(s)	
137 138 139	Naza provide the action of the disquested transaction(s). Parameter Param	- throp down list strength of the strength of		(insert numerical value		Onsert numerical value i	in 55)	(Insert numerical value) Number of Transaction(s)	

29	Have you established procedures to perform ongoing monitoring of transactions?	
*	Yes	
0	No	
30	Have you conducted employee checks when hiring employees?	
*	Yes	
0	No	
31	Have you sent your employees for training programmes (either internally or externally co taundering/Countering the Financing of Terrorism (AML/CFT)?	nducted) on Anti-Money
*	Yes (Proceed to question 32)	
0	No (Proceed to question 33)	
	In	
32	Please provide details of internal or external training programmes related to AML/CFT att last 6 months. (Pis fill in information where available.)	ended by employees in the
Name of training course]
Training provider		
Duration of course]
Date(s) of training]
No. of employees who completed training]
	± add more	
	-	
33	Have you established Internal Policies, Procedures and Controls (IPPC)?	
*	Yes (Uploading of IPPC is compulsory)	
0	No (Not required to upload IPPC)	
	Upload IPPC (dick to add more documents)	
34	Have you performed Risk Assessment?	
	Yes (Uploading of risk assessment is compulsory)	
0	No (Not required to upload risk assessment)	

"A person with, it amy application for application for increased or legislation) or in compliance or purposed compliance similar and an experiment under Part 3 of a — (a) provides to the factor or an architectural flower and primate resolution of the purposes of this Act, flowing or having research to be a factor or an architectural flower for the purposes of this Act, flowing or having research to before the controlled of flower for the purpose of this Act, flowing or having research to before the controlled of flower fl