

Simulating the ZetaSDR radio

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1 Introduction

The ZetaSDR radio (LY1GP, 2007), designed by a Lithuanian radio amateur, call sign LY1GP, is a simple direct conversion radio receiver with a very small part count and forms the front end for a software defined radio. The output from it is an analogue inphase and quadrature signal, which allows the baseband to be extracted from several different forms modulation, for example frequency modulation and quadrature amplitude modulation.

This program simulates the operation of the 74HC4052 (Motorola, 1996), and the Johnson counter that drives it, producing the response to a simulated amplitude modulated RF signal. The source code for the simulation is `program.cpp`, and `plot.py` generates the plots from its CSV format output files. The program works on instantaneous samples of a simulated incoming signal using the transformations that key components apply to it, in contrast to circuit simulations that work as a Spice type solver based on Thévenin's and Norton's theorems.

The program was written for Ubuntu Linux is dependent upon several packages, which can be installed via:

```
sudo apt-get install make g++ librtfilter-dev python3 python3-matplotlib texlive-all
```

Compilation and processing up to and including producing the graphs is done using the command:

```
make
```

Generating this PDF file as well, is done using:

```
make zetasdr.pdf
```

2 Operation of the ZetaSDR

The incoming RF electromagnetic signal induces a small electrical current in the antenna. The current passes across capacitor C6,¹ which acts as a high pass filter, blocking DC from passing from the receiver circuit to the antenna, which could otherwise cause problems with preamplifiers.

A 2.5 volt bias is applied to the RF signal by the voltage divider R1/R2 so that it oscillates around 2.5 volts instead of 0 volts. This means that the rest of the circuit is dealing with a signal in the middle of its normal operating range instead of around 0 volts where non-linearity in the response will be greatest even if it can respond at all.

¹circuit diagram refers to the schematic for the ZetaSDR at LY1GP (2007).

2.1 Tayloe mixer

The ZetaSDR uses a Tayloe mixer (Tayloe, 2013) to demodulate the signal, which is a type of switching mixer that produces quadrature (IQ) signals. It is based on a 74HC4052 analogue multiplexer/demultiplexer and capacitors to implement an integrator. It samples and holds the RF signal on each quarter cycle, sending the first and third quarter samples to the I output, and the second and fourth quarter samples to the Q output, inverting the samples read on the third and fourth cycles.

The 74HC4052 is a two channel analogue multiplexer/demultiplexer. One channel has an input, X, and switches it to one of four outputs, $X0 \rightarrow X3$ depending on the state of the digital inputs A and B (as shown in Table 1). If output Xn is enabled then the impedance between it and X is around 70Ω (depending on supply voltage), and if not enabled then Xn has an extremely high impedance. The device is bidirectional, but this is not relevant here, for the purposes of the ZetaSDR it is enough that the input from the antenna (X) is switched to one of the four outputs $X1 \rightarrow X4$. The other channel has an input called Y, switching to $Y1 \rightarrow Y4$. The circuit uses both channels in parallel to reduce the impedance seen across the device from about 70Ω to 35Ω .

A	B	X	Y
0	0	X0	Y0
0	1	X1	Y1
1	0	X2	Y2
1	1	X3	Y3

Table 1: Operation of 74HC4052

The inputs A and B are driven by a Johnson counter (also called a twisted ring counter) made from two D-type flip flops. This provides two binary signals, connected to A and B, that change on every clock cycle. The clock is supplied by the QG2 local oscillator. The counter operates as a 2 bit wide shift register, with the the last bit value being inverted and fed into the first bit of the shift register on each clock cycle, so generating a repeating sequence 00, 01, 11, 10. The local oscillator runs at four times the frequency of the RF carrier, producing a new bit pattern in the Johnson counter, and thus switching the 74HC4052, on every quarter cycle of the RF carrier.

Hence, each of the four pairs of outputs X0/Y0, X1/Y1, X2/Y2 and X3/Y3 receive a quarter cycle of the RF signal. The outputs connect to capacitors that charge/discharge as the RF carrier signal is applied to them but hold their voltage when the corresponding 74HC4052 output is disabled and so in its high impedance state. The amplitude of the RF carrier depends on the incoming signal strength and the quality of the antenna and preamplifier, so I picked an arbitrary value of 1 mV for the simulation program.

Since the local oscillator output is the Johnson counter clock, the flip flops making up the counter change when the local oscillator signal transitions from a voltage corresponding to logic 0 to one representing logic 1. The simulation sets this at a conventional 2.4 volts, and since the local oscillator has a swing of 5 volts, the clock occurs just below the midpoint on the local oscillator upswing.

Because the Johnson counter produces the sequence 00, 01, 11, 10, the first and third quarters of the RF carrier cycle are selected using outputs X0 and X3 respectively, and the second and fourth using X1 and X2. If a binary counter that produced the sequence 00, 01, 10, 11, was used instead then the outputs would be X0/X2 and X1/X3.

The voltage on capacitors C2 and C3 are shown in Figure 1, in a somewhat idealised simulation of the circuit. The local oscillator is set to have a range of 0–5 volts, and have its 0° point at the 0°, 90°, 180° and 270° positions of the RF carrier. In this idealised simulation the Johnson counter therefore changes state very slightly before this point, when the local oscillator voltage is rising through 2.4 volts.

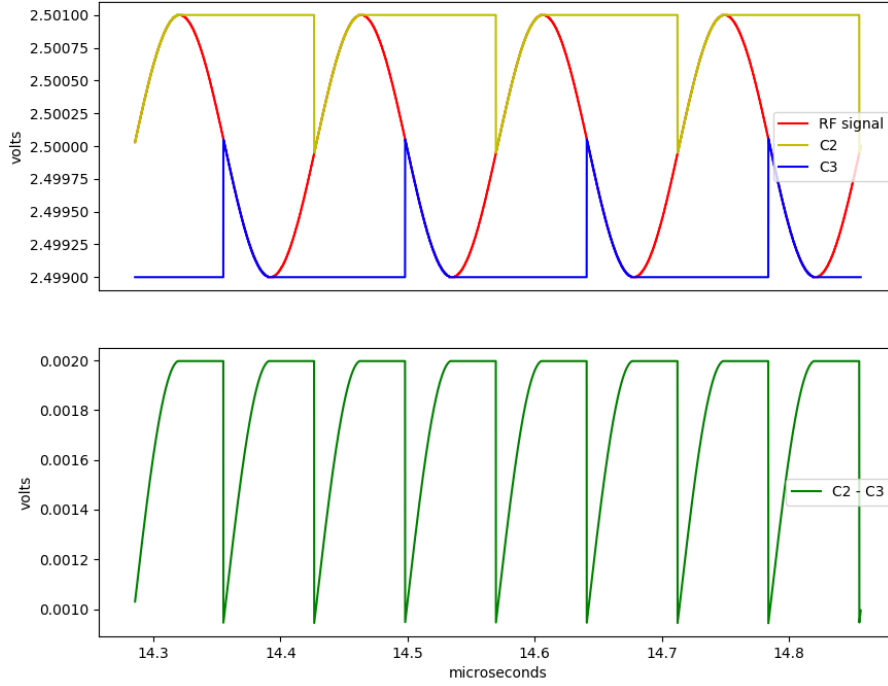


Figure 1: Voltage on C2 and C3, ZetaSDR, when the local oscillator is in phase with the RF signal. The 74HC4052 switching leads the RF signal phase very slightly because its local oscillator derived clock changes logic state just below the midpoint on the local oscillator upswing.

In practice, it is unlikely that the local oscillator will be in phase with the RF carrier, and there will be small delays in the Johnson counter logic and the 74HC4052 operation.

The two capacitors are also connected to the differential inputs of an active low pass filter that both amplifies and filters the difference between the two voltages. This voltage is shown on the lower plot in Figure 1 – the effect of taking the difference between the two signals, which will be normally be similar but of opposite polarity, is to produce a signal of double the size of each. The corresponding voltages on the other pair of capacitors, C4 and C5, are shown in Figure 2.

The sharp transitions and the short-lived spikes will be attenuated by the active low pass filter stage. Hence, the ZetaSDR is really producing an output where the I signal is sampled at one point in the carrier cycle, and the Q signal is the signal sampled 90 degrees on from it in much the same way that the multiplication by the respective local oscillator does it in a conventional multiplying IQ mixer.

Hence, if the amplitude or phase of the signal does not change rapidly during the sampling and we ignore the quarter cycles where the voltage on the capacitors is changing, then for the I signal, the voltage at capacitor C2 in Figure 1 is

$$s_1 = A \cos(\theta) \sin(\omega_b t)$$

where:

ω_b is the baseband frequency in radians per second

θ is the phase difference between the carrier and the Johnson counter transitions (and hence the 74HC4052 switching)

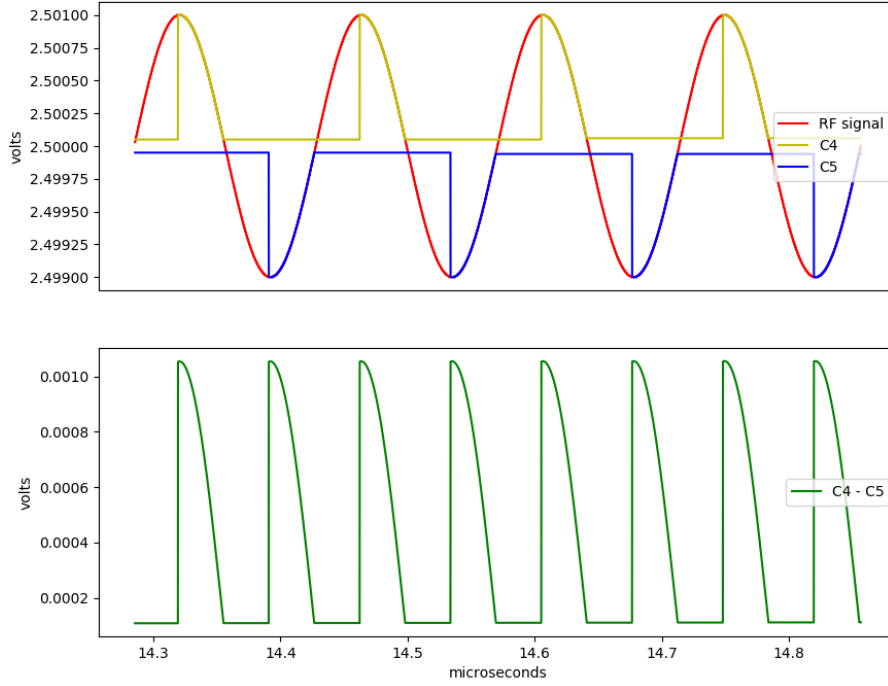


Figure 2: Voltage on C4 and C5, ZetaSDR, when the local oscillator is in phase with the RF signal

and at C3 is:

$$\begin{aligned}
 s_2 &= A\cos(\theta)\sin(\pi + \omega_b t) \\
 &= -A\cos(\theta)\sin(\omega_b t)
 \end{aligned}$$

Because the active filter is the difference between the two voltages,

$$I = s_1 - s_2 = 2A\cos(\theta)\sin(\omega_b t) \quad (1)$$

Hence, the difference into the active low pass filter, ignoring the high frequency portion which results when one of the capacitors is tracking the output from the 74HC4052 rather than holding its voltage, is $2A\sin(\theta)\sin(\omega_b t)$.

By the same reasoning, the Q signal formed from the voltage difference between C4 and C5 is:

$$Q = 2A\sin(\theta)\sin(\omega_b t) \quad (2)$$

The corresponding plots for a modulated signal are shown in Figures 3 and 4. Many more RF cycles are shown in these plots, and the 7 MHz carrier is amplitude modulated at 200 kHz which is an order of magnitude above any an audio signal, but has been done so that several AM cycles can be accommodated

In practice, the 74HC4052 switching will usually be more out of phase with the RF carrier signal, and so something such as Figure 5 (and Figure 6 for the other pair of capacitors) is more likely. The

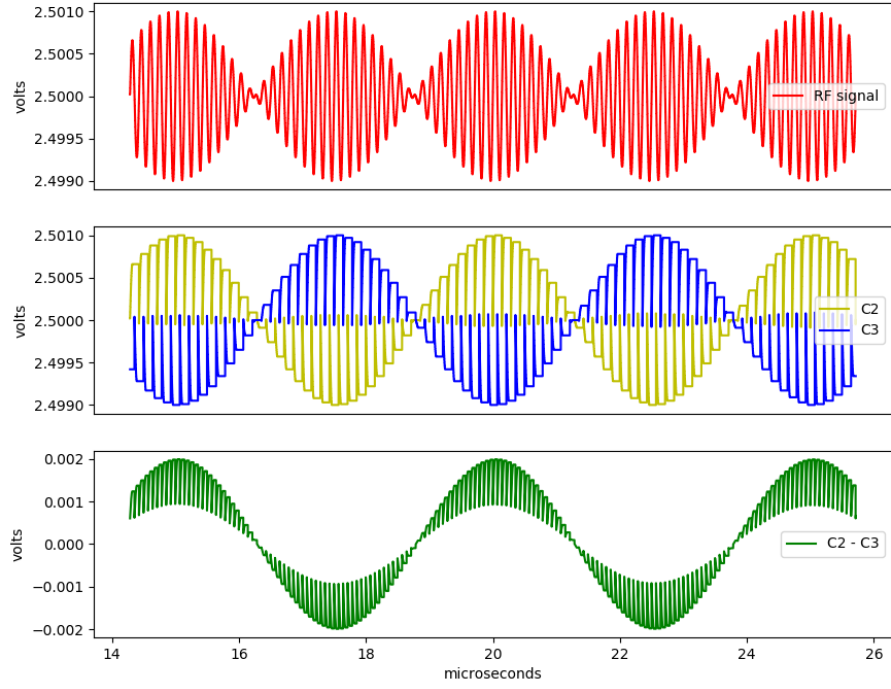


Figure 3: Voltage on C2 and C2 in the ZetaSDR, when the local oscillator is in phase with the RF carrier, and the 7 MHz carrier is amplitude modulated at 200 kHz

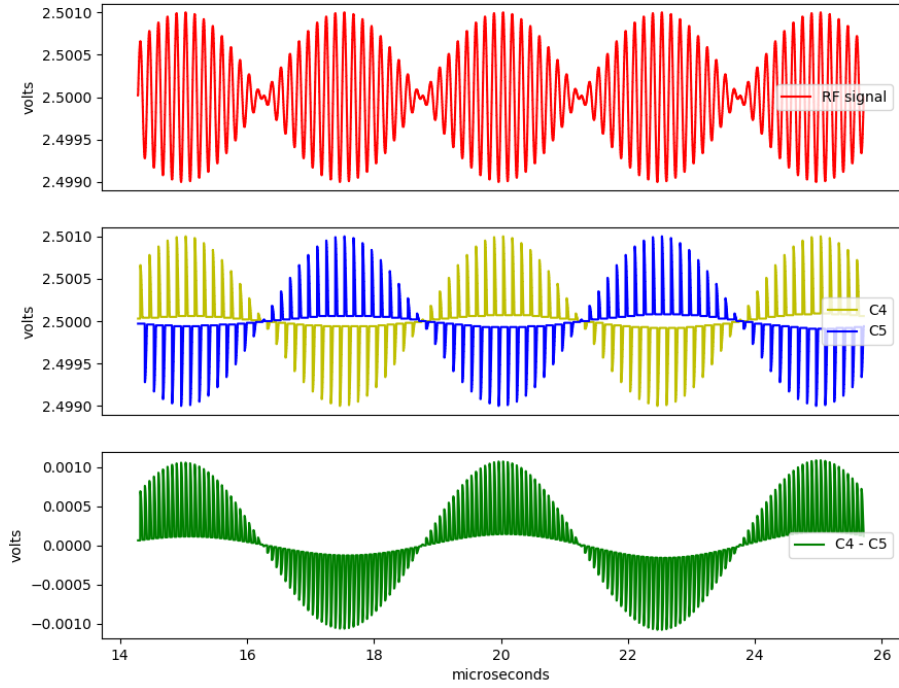


Figure 4: Voltage on C4 and C5, when the local oscillator is in phase with the RF carrier, and the 7 MHz carrier is amplitude modulated at 200 kHz

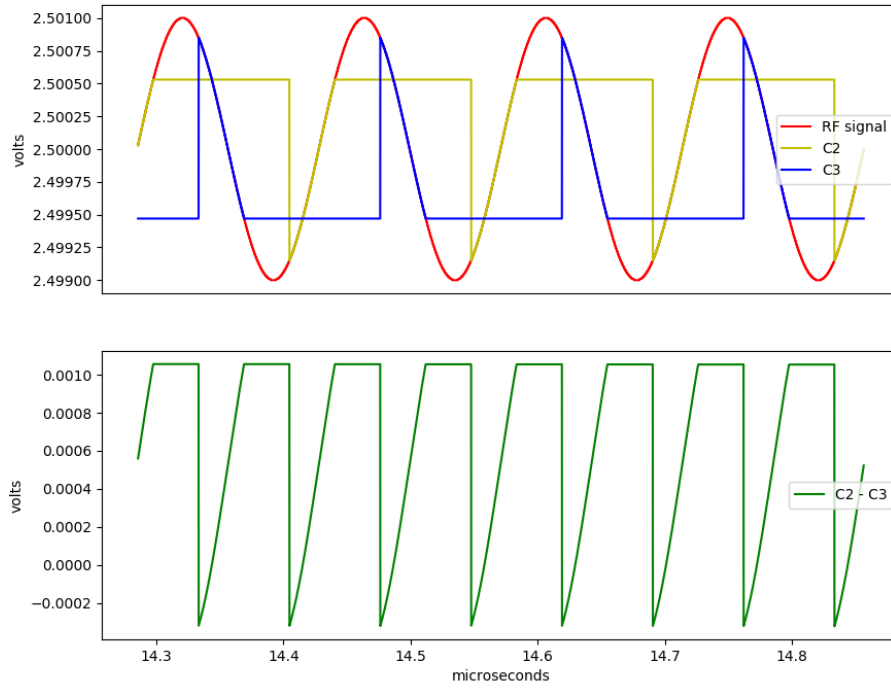


Figure 5: Voltage on C2 and C3 in the ZetaSDR, when the local oscillator leads the RF carrier by 35 degrees

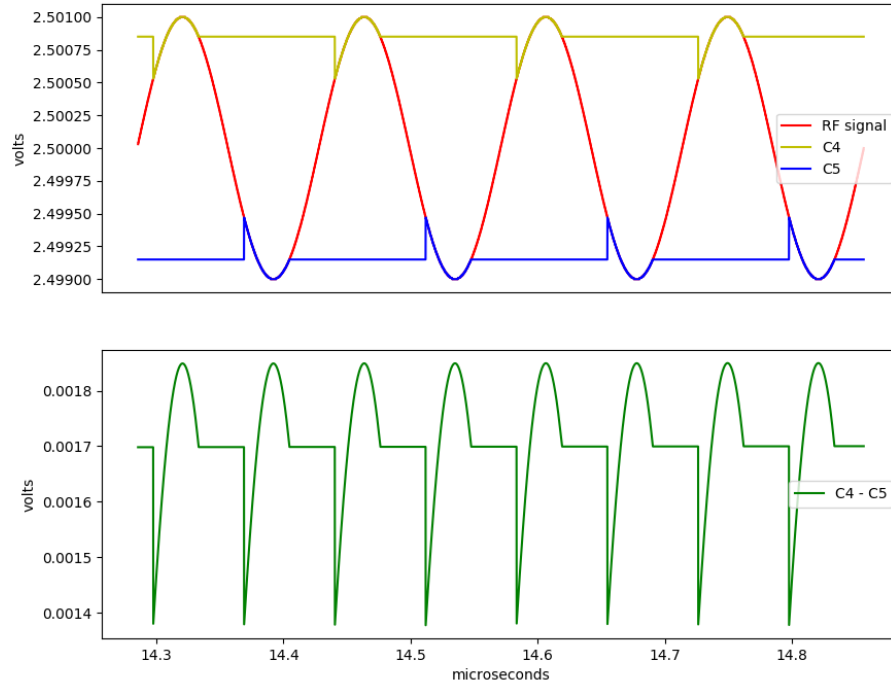


Figure 6: Voltage on C4 and C5 in the ZetaSDR, when the local oscillator leads the RF carrier by 35 degrees

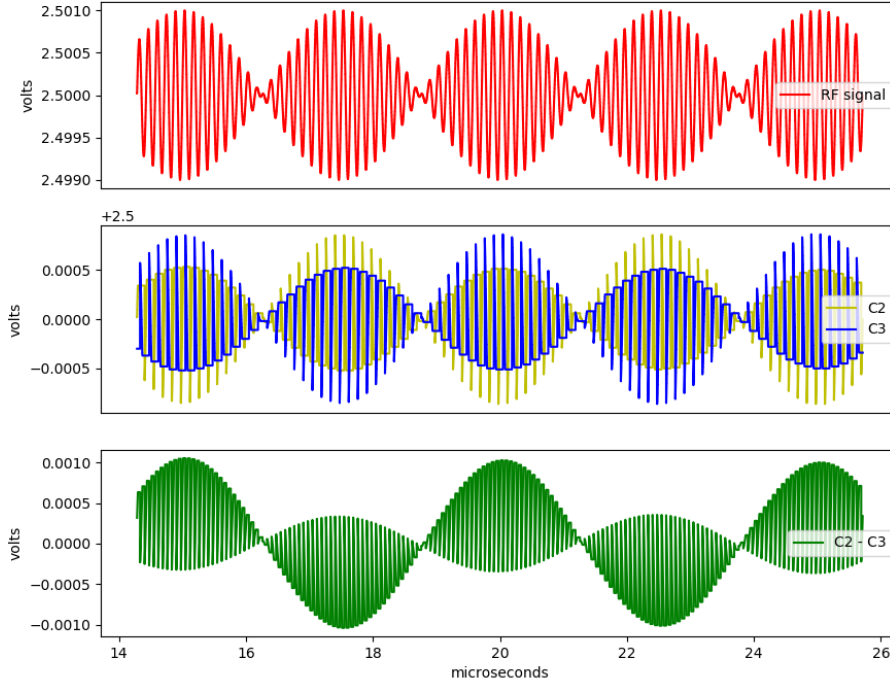


Figure 7: Voltage on C2 and C3 in the ZetaSDR, when the local oscillator leads the carrier by 35° , and the 7 MHz carrier is amplitude modulated at 200 kHz

modulated versions of these plot, over a larger number of carrier cycles, are shown in Figures 7 and 8 are obtained.

Finally, Figure 9 shows the I and Q signals through a low pass filter and then combining them to extract the baseband. Because of the unusually high modulation frequency and signal strength used in the simulation, a 400 kHz 2^{nd} order zero gain low pass Butterworth filter is used instead of the 10 kHz cutoff active 1^{st} order low pass filter in the ZetaSDR radio.

3 Comparison with an ideal multiplying IQ mixer

A sinusoidally amplitude modulated RF signal, with a 100% modulation depth, double-sideband and full-carrier, is described by

$$S = A \sin(\omega_b t) \sin(\omega_c t)$$

where:

A is the signal amplitude
 ω_b is the baseband frequency
 ω_c is the carrier frequency
 t is time

In a conventional multiplying IQ mixer, the I signal is produced by mixing with a local oscillator with a phase difference θ :

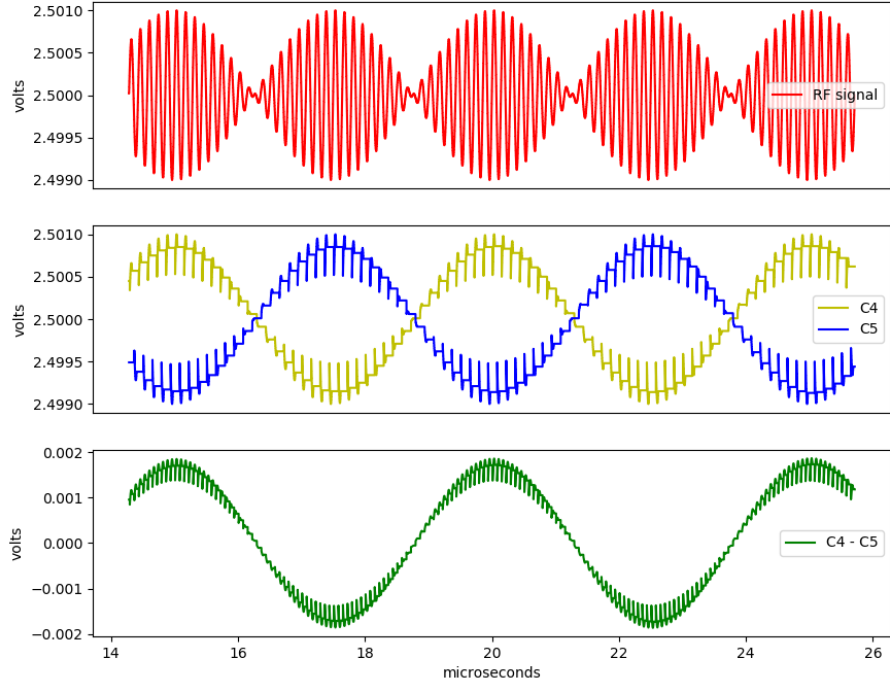


Figure 8: Voltage on C4 and C5 in the ZetaSDR, when the local oscillator leads the carrier by 35° , and the 7 MHz carrier is amplitude modulated at 200 kHz

$$\begin{aligned}
 I &= A \sin(\omega_b t) \sin(\omega_c t) \sin(\omega_c t + \theta) \\
 &= A \sin(\omega_b t) \frac{\cos(\theta) - \cos(2\omega_c t - \theta)}{2} \\
 &= \frac{A \sin(\omega_b t) \cos(\theta)}{2} - \frac{\cos(2\omega_c t - \theta)}{2}
 \end{aligned}$$

Because

$$\sin(\alpha) \sin(\beta) = \frac{\sin(\alpha + \beta) - \sin(\alpha - \beta)}{2}$$

The Q signal is produced by mixing the incoming RF signal with a similar local oscillator signal that is 90° out of phase with the I local oscillator:

$$\begin{aligned}
 Q &= A \sin(\omega_b t) \cos(\omega_c t) \sin(\omega_c t + \theta) \\
 &= A \sin(\omega_b t) \frac{\sin(2\omega_c t + \theta) + \sin(\theta)}{2} \\
 &= \frac{A \sin(\omega_b t) \sin(2\omega_c t + \theta)}{2} + \frac{A \sin(\omega_b t) \sin(\theta)}{2}
 \end{aligned}$$

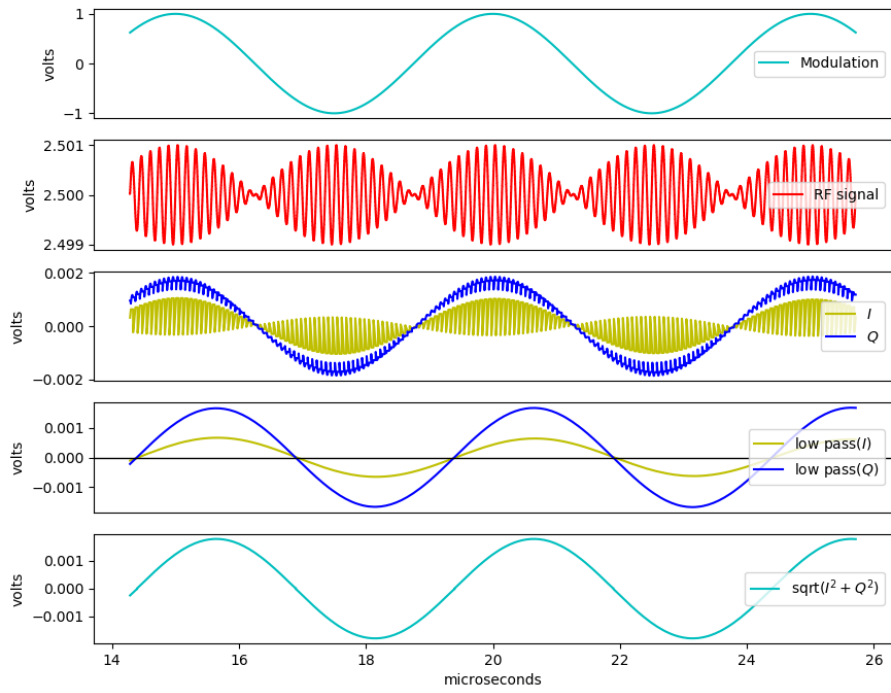


Figure 9: Response to a 200 kHz amplitude modulated signal, when the local oscillator is 35° ahead of the RF carrier. Because of the high input signal amplitude and the high modulation frequency, the active low pass filters have been replaced with a 2^{nd} order low pass filter, but with unity gain and a cutoff frequency of 400 kHz.

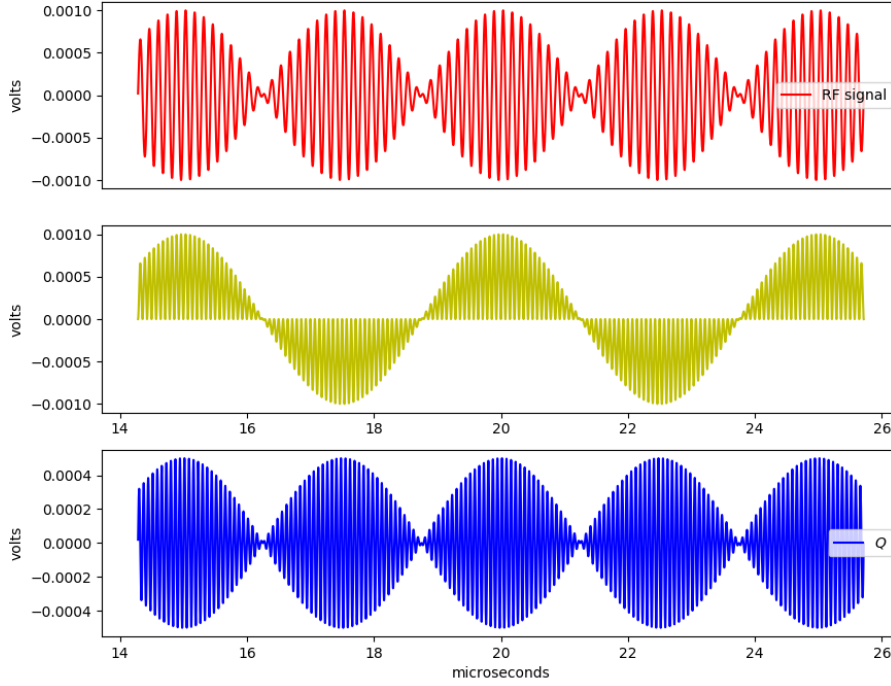


Figure 10: I/Q outputs using an ideal IQ mixer, when the local oscillator is in phase with the RF carrier, and the 7 MHz carrier is amplitude modulated at 200 kHz

Because

$$\cos(\alpha)\sin(\beta) = \frac{(\sin(\alpha + \beta) - \sin(\alpha - \beta))}{2}$$

In both cases, the low pass filter will remove the portion of the signal with frequency $2\omega_c$ leaving

$$I = \frac{A\sin(\omega_b t)\cos(\theta)}{2}$$

$$Q = \frac{A\sin(\omega_b t)\sin(\theta)}{2}$$

These two equations are of the same form as Equations 1 and 2, the ones for the Tayloe mixer.

Like the IQ mixer output, the high frequency portion of the Tayloe mixer signal is at $2\omega_c$, since the rapidly varying portion gets through two cycles for each cycle of the RF carrier. although in this case there are additional harmonics.

The results of the simulation of an ideal multiplying IQ mixer are shown in Figures 10–12 for comparison with the Tayloe simulation plots above.

4 Conclusion

The Tayloe mixer produces a similar waveform to a conventional IQ mixer.

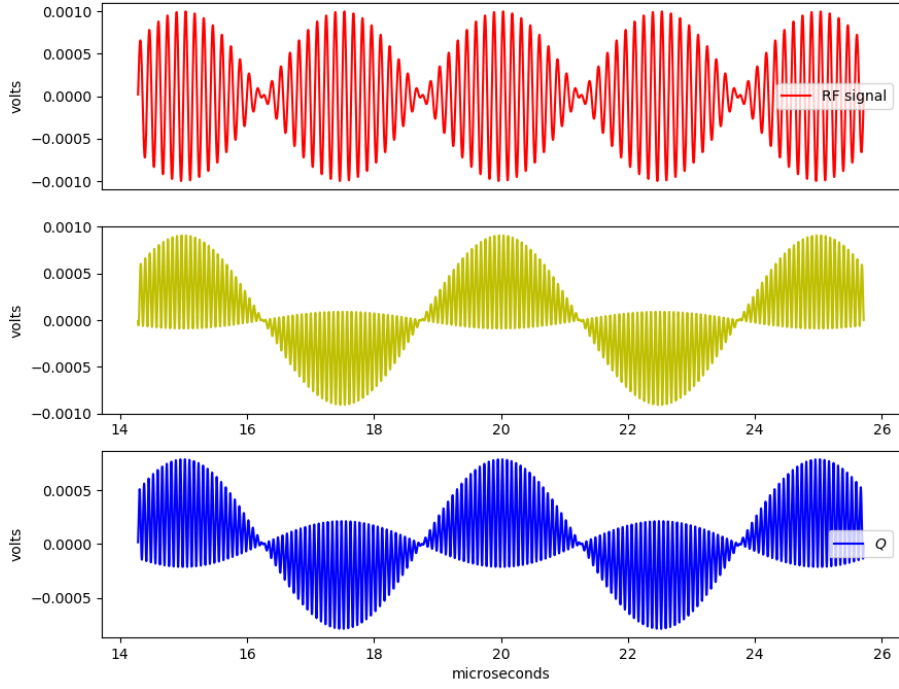


Figure 11: I/Q outputs using an ideal IQ mixer when the local oscillator leads the carrier by 35° , and the 7 MHz carrier is amplitude modulated at 200 kHz

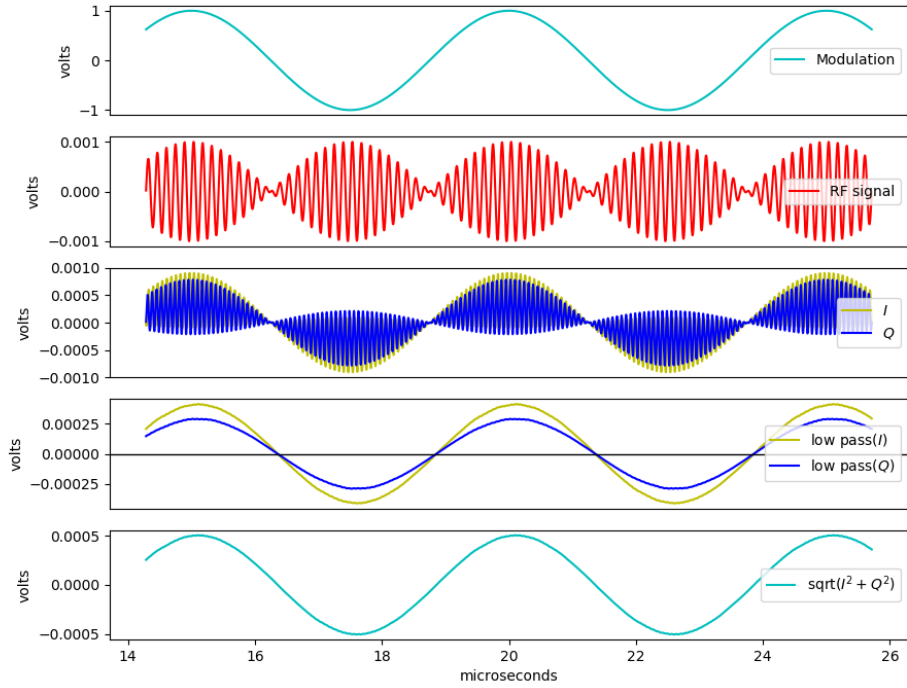


Figure 12: I/Q outputs using an ideal IQ mixer, when the local oscillator is 35° ahead of the RF carrier, and with the I/Q signals passed through a 4^{th} order low pass filter with a cutoff of 3.5 MHz to remove the $2\omega_c$ signal. Compare this ideal case with Figure 9.

References

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