

# A Practical Degradation Model for Mixed Criticality Systems

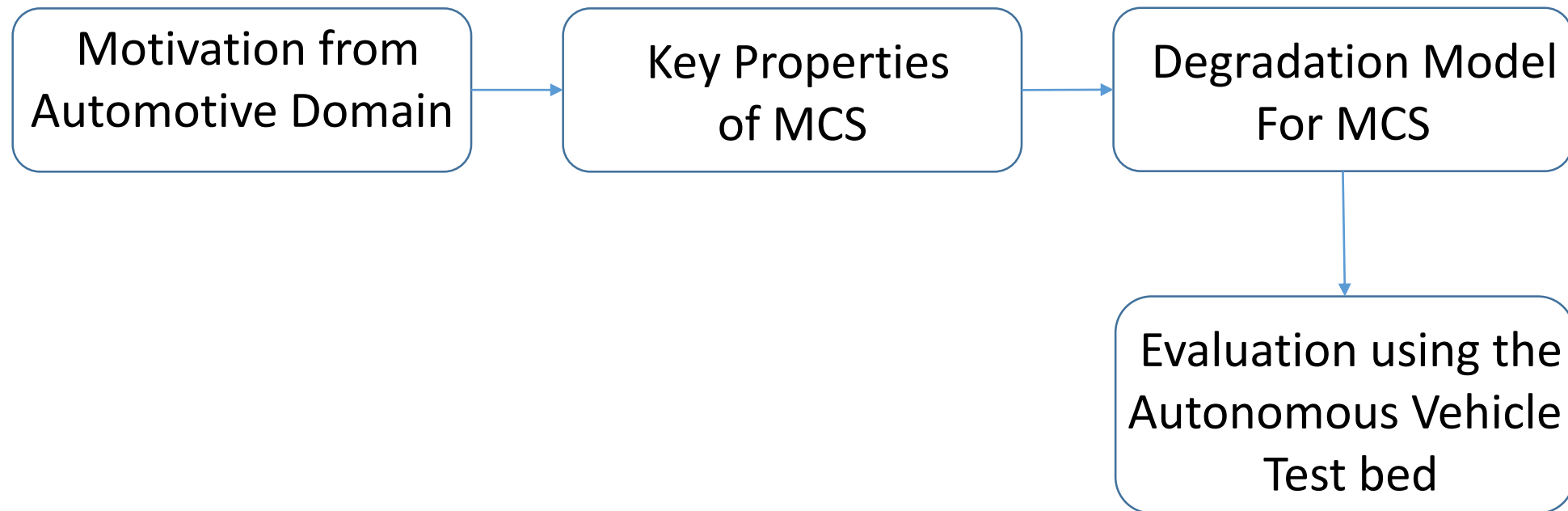
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Nanyang Technological University (NTU), Singapore

*May 8, 2019*

# Research Outline

**Research Objective:** A new degradation model for Mixed Criticality System



# Current Trends in Automotive Systems

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- need for **Autonomous Driving**
- increase in **software** intensive Driver Assistance Systems for **safety and comfort**.

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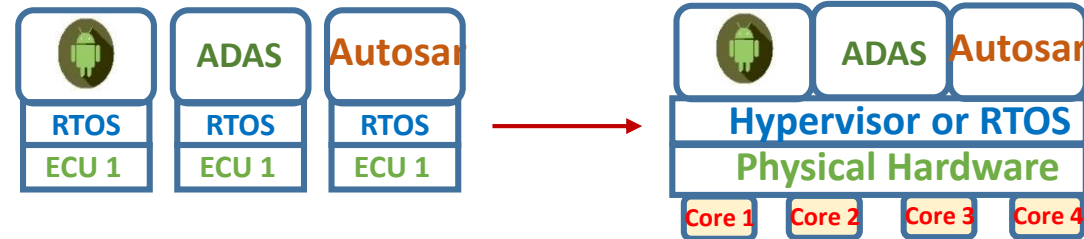
**Trend 1 :** Automotive is shifting from **mechanical** centric to **electronic** and software centric domain.

- need for **Autonomous Driving**
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**Challenge :** Increase in ECUs → Increase in harness weight, cost and reduced fuel efficiency.

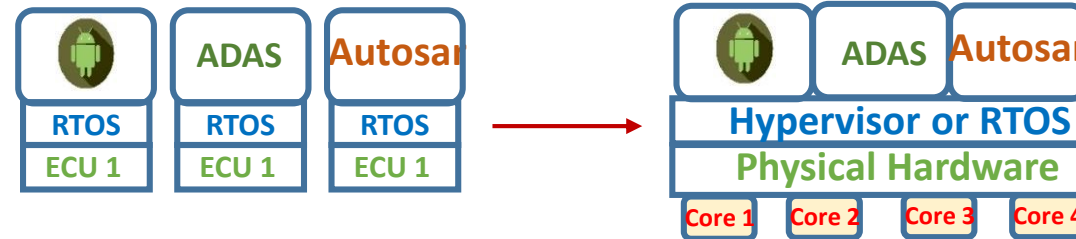
# Trend Towards ECU Consolidation

- A dedicated ECU for each functionality is not a sustainable solution!
  - Increase in demand for safety and comfort features
  - Increase in harness weight, cost and network complexity
- Car manufacturers are moving towards ECU consolidation
  - Shared sensors and actuators between applications
  - Reduced communication latency
  - Applications having varied importance/criticality execute on a single hardware platform



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Innovation in hardware and software platforms have made automotive, a Mixed Criticality System



# Mixed Criticality Systems (MCS)

- A system with multiple applications that are “certified” to different levels of criticality and share hardware resources
  - Example, Antilock Braking (ABS), a highly critical application sharing hardware with Parking Assist, a relatively less critical application

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  - AUTOSAR has been around for about 10 years now

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  - Integrated Modular Avionics (IMA) was commercially introduced in the 1990s
  - AUTOSAR has been around for about 10 years now
- Important challenges for computing platforms in MCS
  - Resource allocation strategy ensuring safety with acceptable compromise on performance
  - Software architectures for enabling MCS

# Resource Allocation in MCS

- How to ensure safety?
  - Guaranteed allocation for critical applications
  - Satisfaction of safety standards such as ISO26262

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- How to efficiently utilize resources?
  - To ensure safety, utilization estimates are needed for applications
    - Example, Worst-Case Execution Time (WCET) estimates
  - Estimates are typically generous for critical applications
  - Leads to over-allocation and consequently wastage

# Resource Allocation in MCS

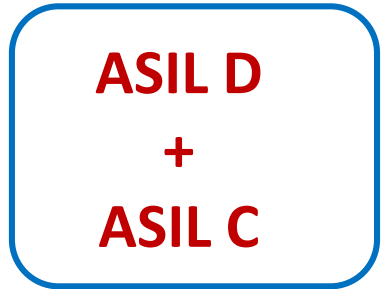
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How to reconcile seemingly conflicting requirements of safety and efficiency?

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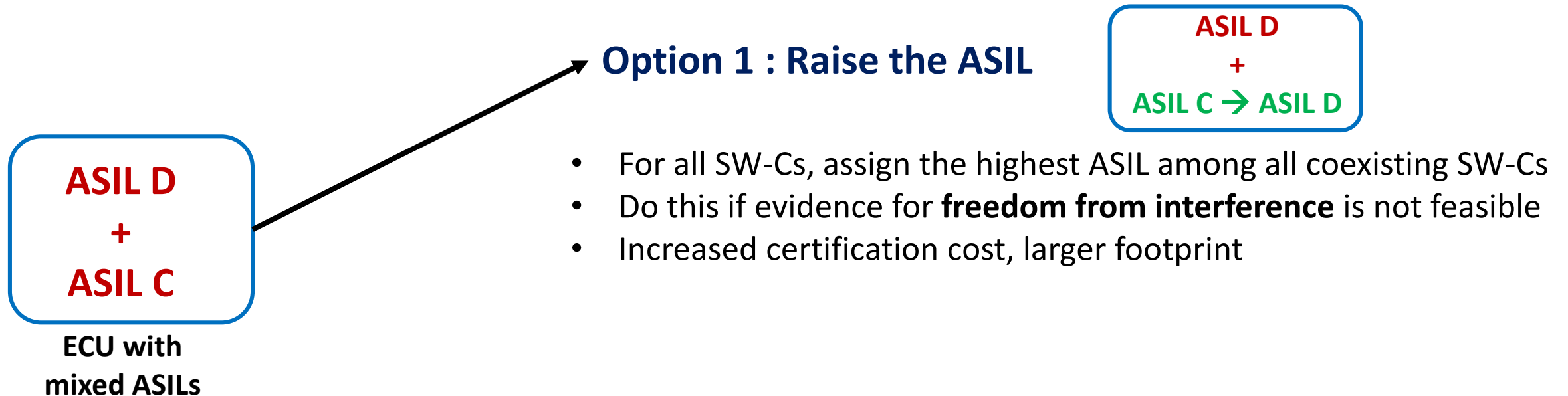


ECU with  
mixed ASILs



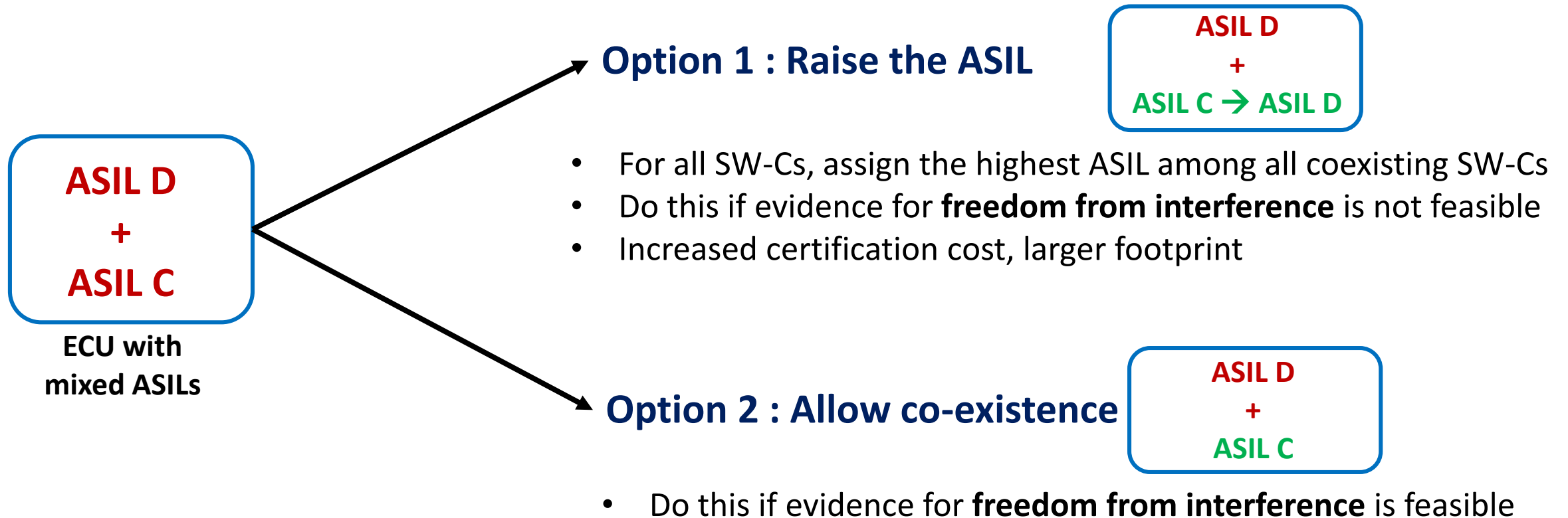
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# Freedom from Interference (as defined in ISO26262)

- Quote\*

“Interference is the presence of cascading failures from a SW-C with no ASIL assigned, or a lower ASIL assigned, to a SW-C with a higher ASIL assigned leading to the violation of a safety requirement of the SW-C”

- Definition 1.49 in ISO26262\*

“Freedom from interference is the absence of cascading failures between two or more SW-Cs that could lead to the violation of a safety requirement”

\*Paraphrased (replaced elements and sub-elements with SW-Cs)

# Motivation for Permitting Interference

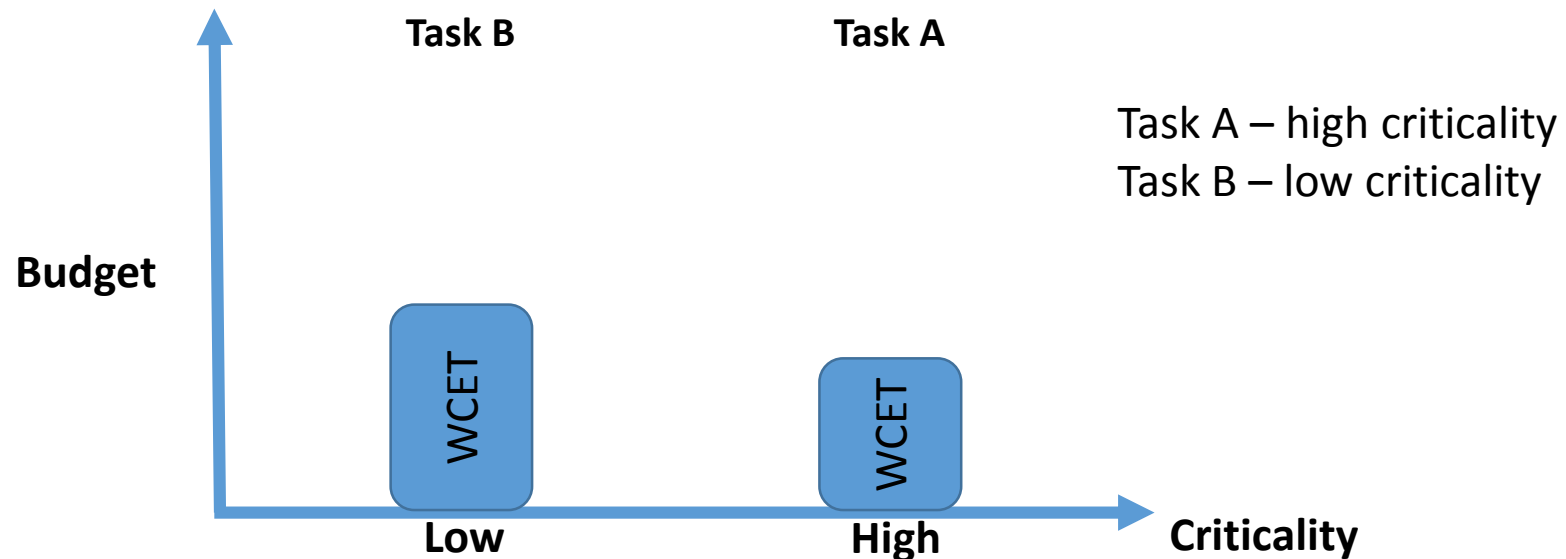
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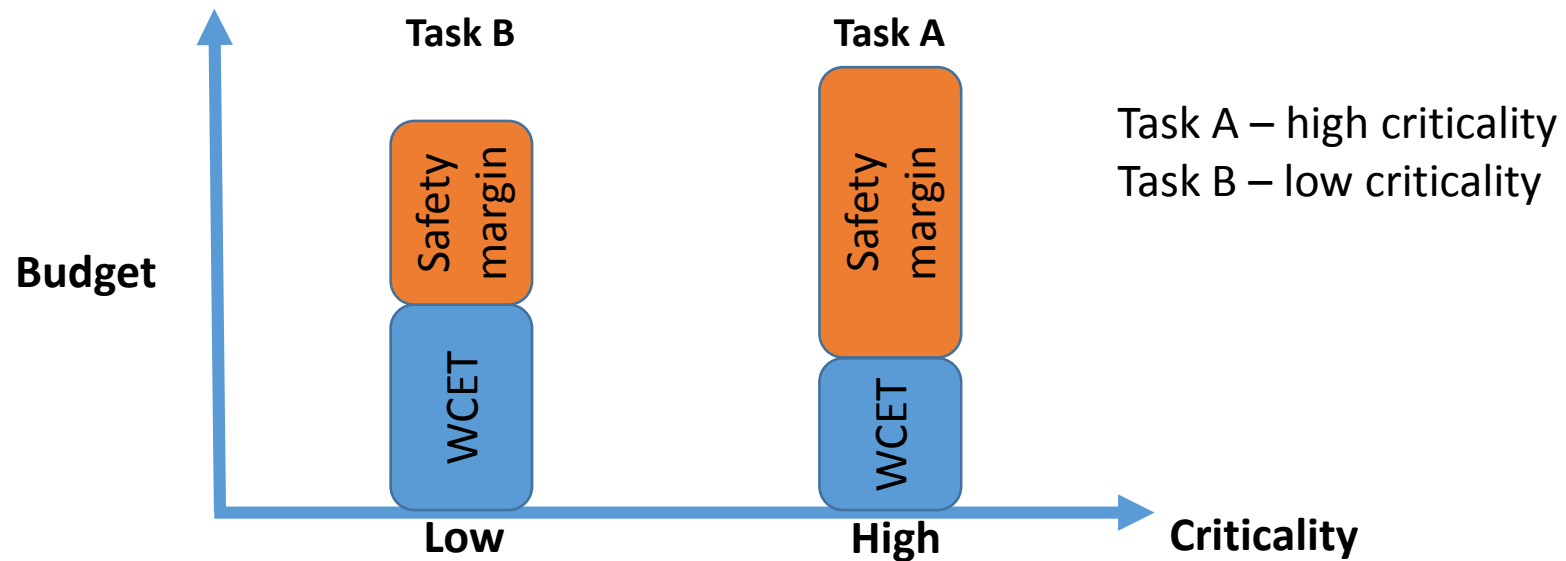
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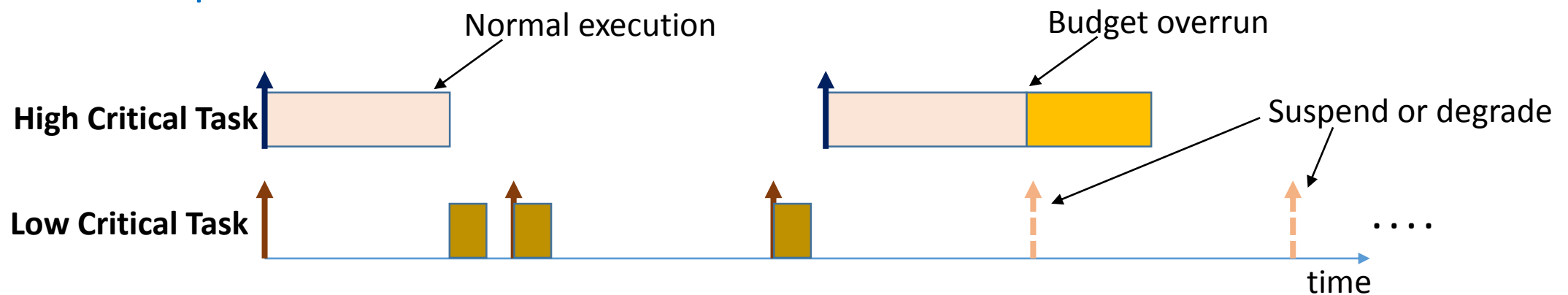
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- Permit interference within the safety margin
  - Safety is not compromised
  - Resource utilization is vastly improved
- How to ensure interference is safe when WCET is unknown?
  - Permit interference and recover prior to a safety violation
  - Recovery may impact the execution of some (lower criticality) tasks
  - As long as there is no impact on safety, . . .

# A Popular MCS Model in Academia

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  - Recovers prior to a safety violation
  - No impact on critical tasks



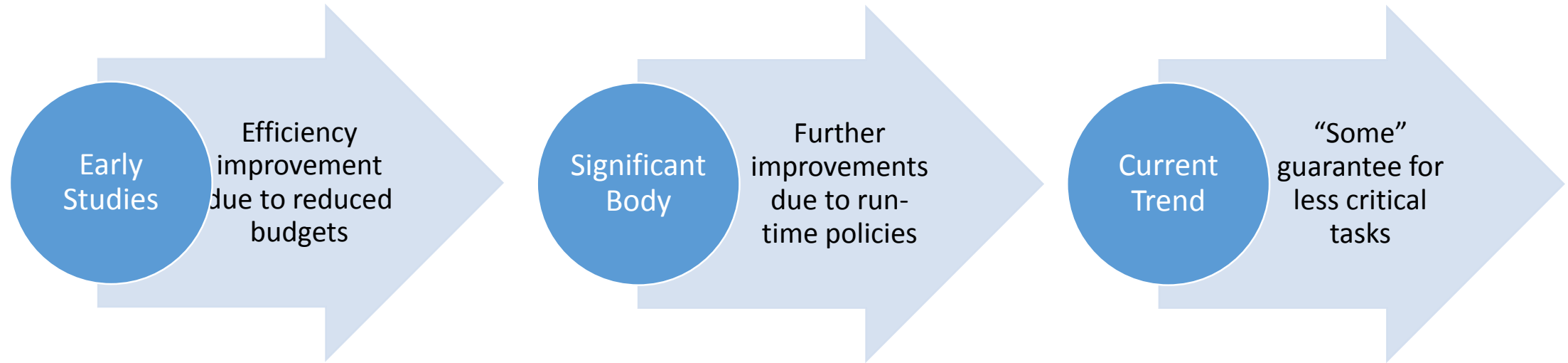
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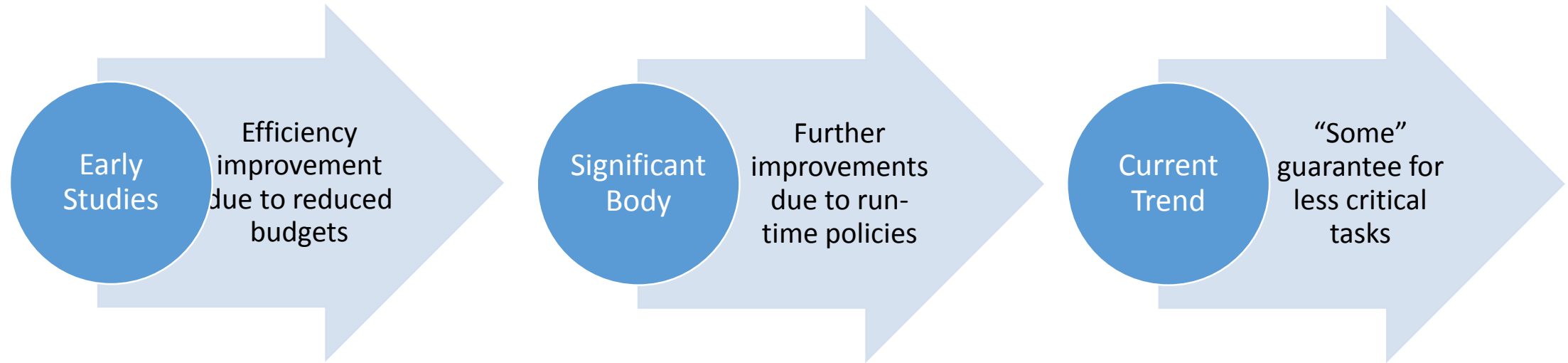
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- In the (hopefully) “rare” case that a budget is overrun, prioritize allocations to critical tasks
  - Recovers prior to a safety violation
  - No impact on critical tasks
- Possible impact on the execution of less critical tasks
  - What is an acceptable impact, given safety specifications?

# MCS Models – Research Trends



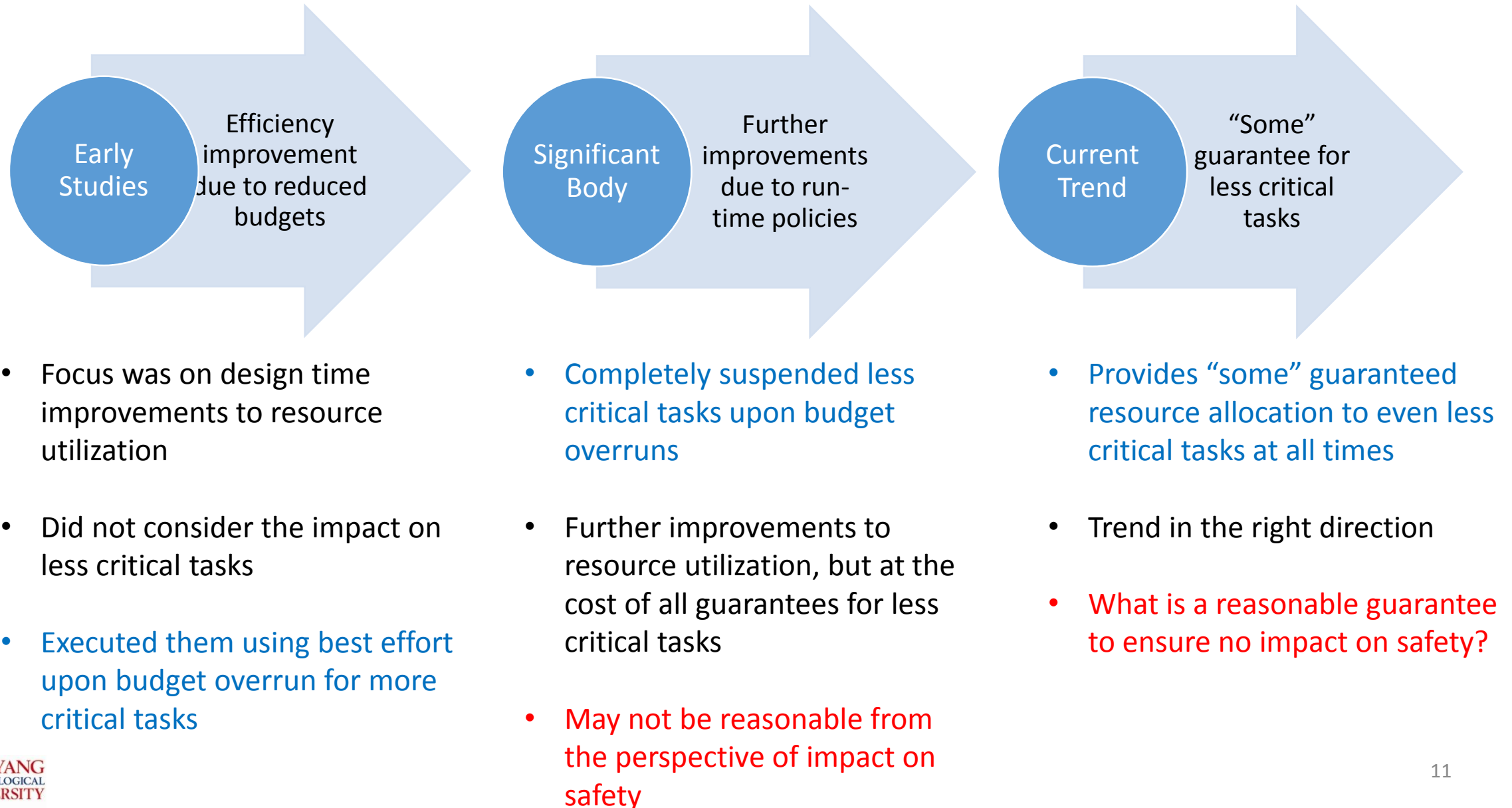
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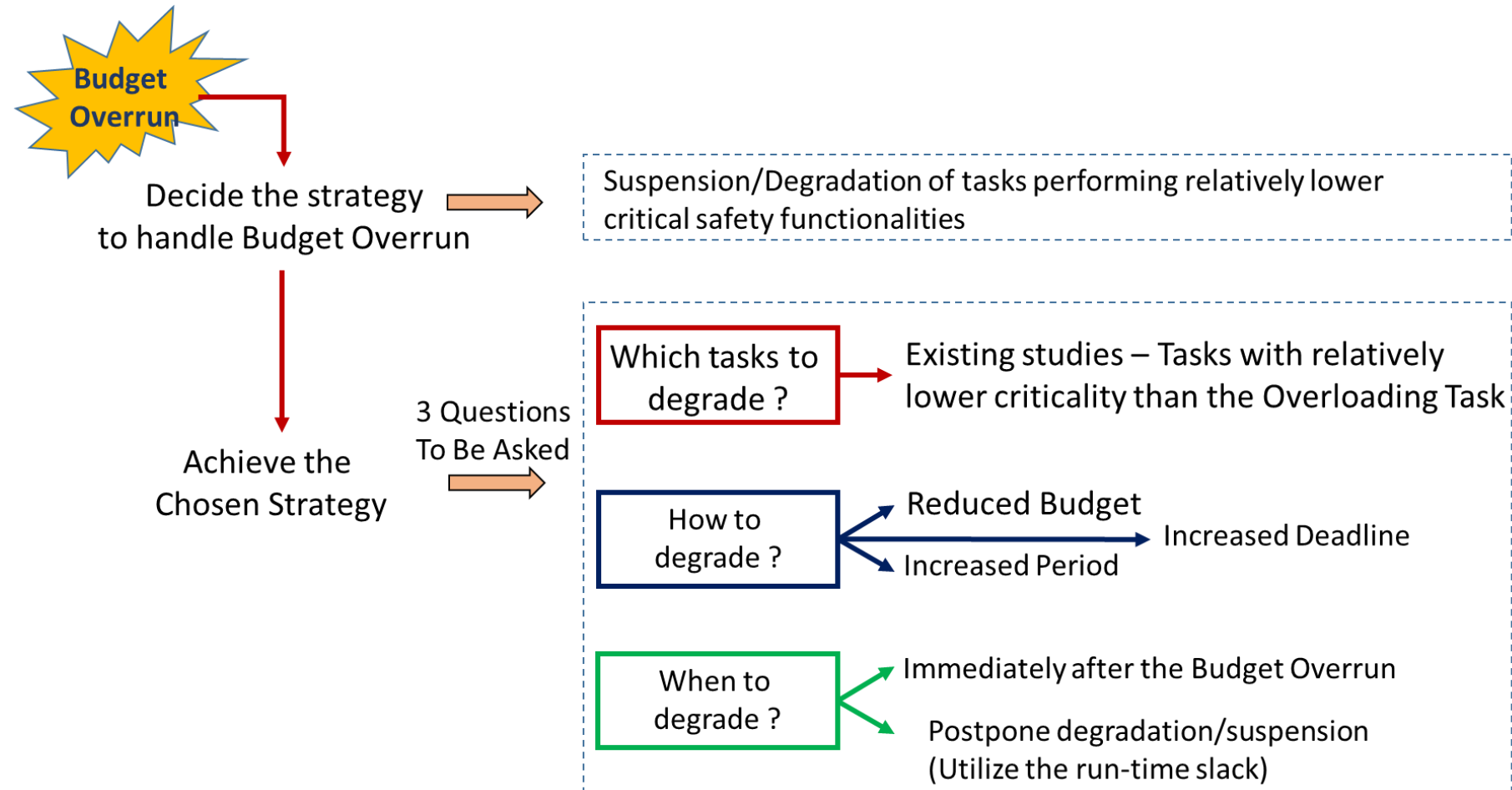
- Focus was on design time improvements to resource utilization
  - Did not consider the impact on less critical tasks
  - Executed them using best effort upon budget overrun for more critical tasks
- Completely suspended less critical tasks upon budget overruns
  - Further improvements to resource utilization, but at the cost of all guarantees for less critical tasks
  - May not be reasonable from the perspective of impact on safety

# MCS Models – Research Trends





# Related Work - Classification



# Challenges in Automotive MCS

1. Issues in adopting existing MCS models for automotive
2. Key questions that need to be asked for MCS with more than two criticality levels:

Which tasks can be allowed to overrun their budgets ?

Which tasks can be allowed to be degraded ?

Does relatively lower criticality mean lower importance ?

# Challenges in Automotive MCS

- Adopting existing MCS task models for automotive

Issue 1: Criticality is an abstraction of three or more factors.

# Automotive Safety Integrity Level (ASIL)

- ASIL,
  - Describes the **level for required risk reduction** of a safety functionality
  - Classifies the hazards to **4 levels** [A to D]; A – low, D – high

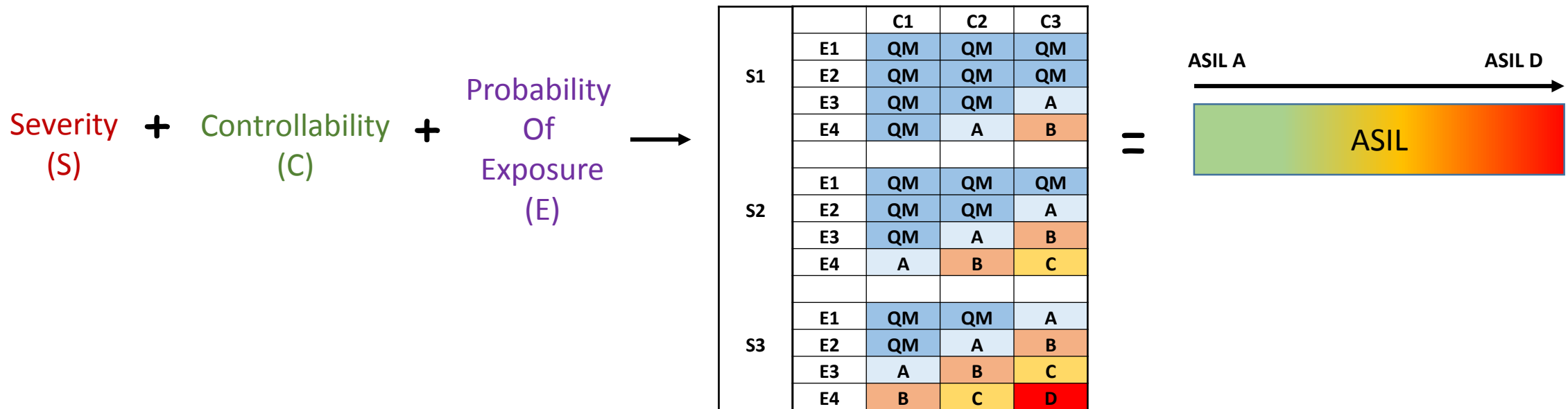
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Severity (S) + Controllability (C) + Probability Of Exposure (E)

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ASIL decision chart

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## Classes of Severity

S0	S1	S2	S3
No Injuries	Light and moderate injuries	Severe and life threatening injuries(survival probable)	Life-threatening injuries (survival uncertain), fatal injuries



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Incredible	Very low probability	Low probability	Medium probability	High probability

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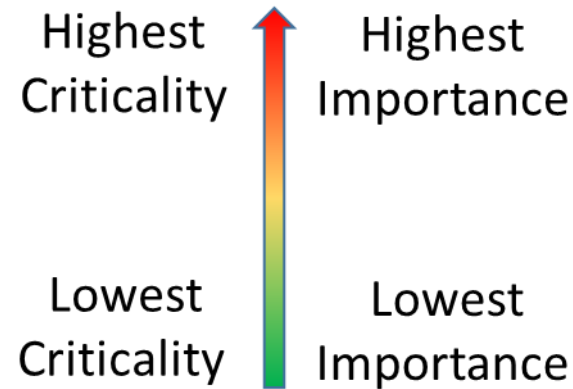
## Classes of Controllability

C0	C1	C2	C3
Controllable in general	Simply controllable	Normally controllable	Difficult to control or uncontrollable

# Challenges in Automotive MCS

- Adopting existing MCS task models for automotive

Issue 2: Lower criticality as lower importance



# Automotive MCS Challenges

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Adaptive Cruise Control (ACC) → ASIL C	---	→ High critical
Hill Start Assist (HSA) → ASIL B	---	→ Low critical

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If driving in hills is considered as a rare event,

Hill Start Assist → low probability of exposure → ASIL B
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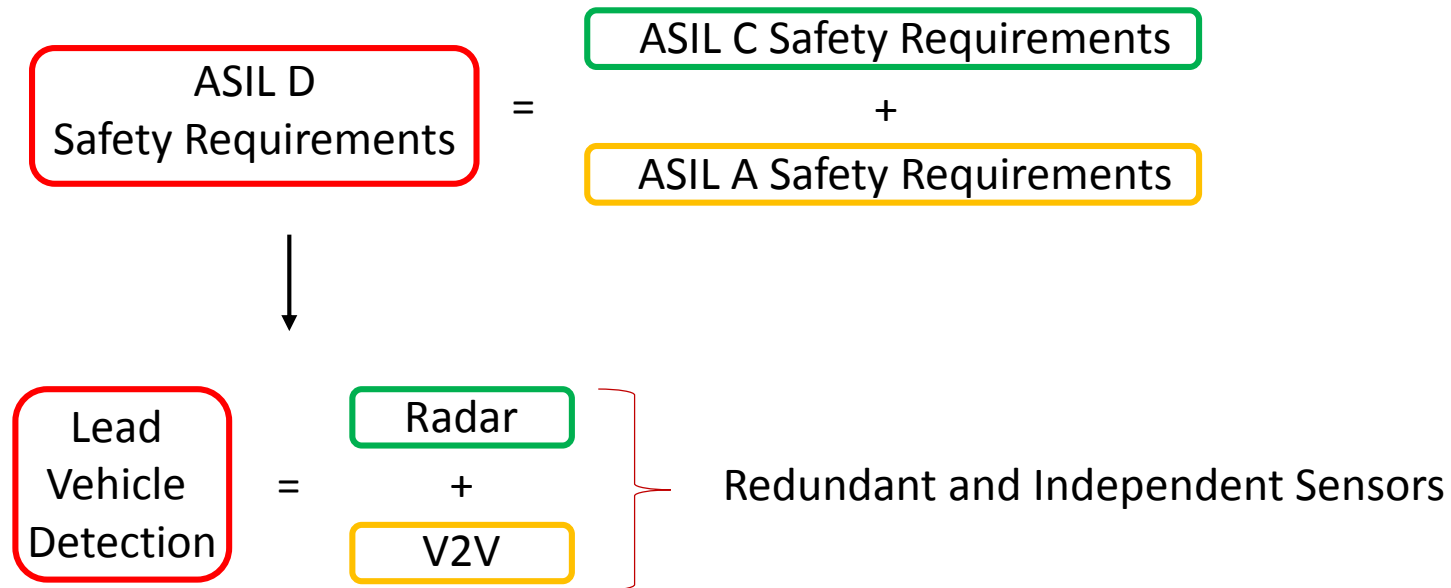
But, if the vehicle is actually riding on a hill, then

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Sometimes, lower ASIL applications can be considered highly important

# Automotive MCS Challenges

Decomposition of safety requirements may lead to lower criticality



Lower criticality task may perform safety functionality with higher importance !

# Automotive MCS Challenges

Consider the following applications,

Acceptable Interference -

Acceptable Degradation -

# Automotive MCS Challenges

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Acceptable Interference -

Can HSA be allowed to overrun its budget ?
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Acceptable Degradation -

# Automotive MCS Challenges

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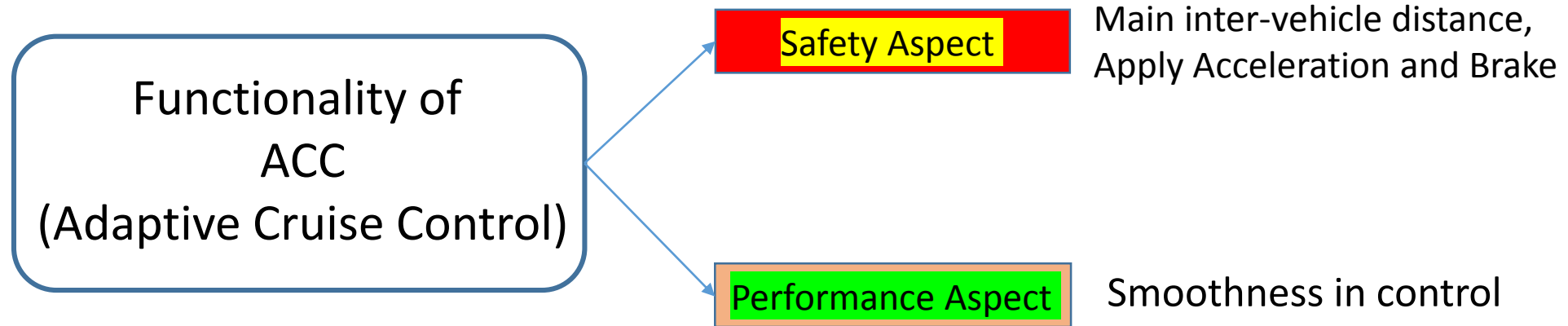
Acceptable Degradation -

Can ACC be degraded to handle overrun of HSA ?



# Automotive MCS Challenges

Issue 3 (**Possibility**) Can we consider degradation of higher critical functionality to handle budget overrun of relatively lower critical functionality ?



**Degradation of higher critical functionality without affecting its safety !**

# Automotive MCS Challenges

LIDAR Processing Task

<i>Normal Operation</i>		<i>Degraded Operation</i>		
		<i>Mode 1</i>	<i>Mode 2</i>	<i>Mode 3</i>
<i>Scanning frequency</i>	10 Hz	5 Hz	5 Hz	5 Hz
<i>Angular resolution</i>	0.25 °	0.25 °	0.125°	0.25 °
<i>Aquisition angle</i>	270 °	270 °	270 °	270 °
<i>Echo Amplitudes</i>	yes	yes	no	no
<i>TCP payload at each sensor</i>	43430 Byte / s	21715 Bytes /s	21715 Bytes /s	41 Bytes / s averaged
<i>Normalized computing power in ECU</i>	1	0.450	0.303	0.032
<i>Update rate</i>	10 pictures / s	5 pictures / s	5 pictures / s	~1 picture / s


Multiple ways of degrading a task's budget can be considered!

# Key Properties of any MCS

	Properties of a Mixed Criticality System
Property 1	A lower critical task does not mean that it always performs a functionality of lower importance.
Property 2	Degradation of higher criticality tasks is possible.
Property 3	Multiple ways of degrading a task's budget is possible.
Property 4	A specific degradation of a task depending on the overloading task can be useful.

**Research Objective:** A new degradation model for MCS based on above 4 properties

# Intuition for Context-Aware MCS Model




Budget  
Overrun

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Which tasks to degrade ?

Use **criticality** to choose  
Tasks to be degraded

Relatively lower criticality  
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Budget  
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Majority of the  
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**How to degrade ?**

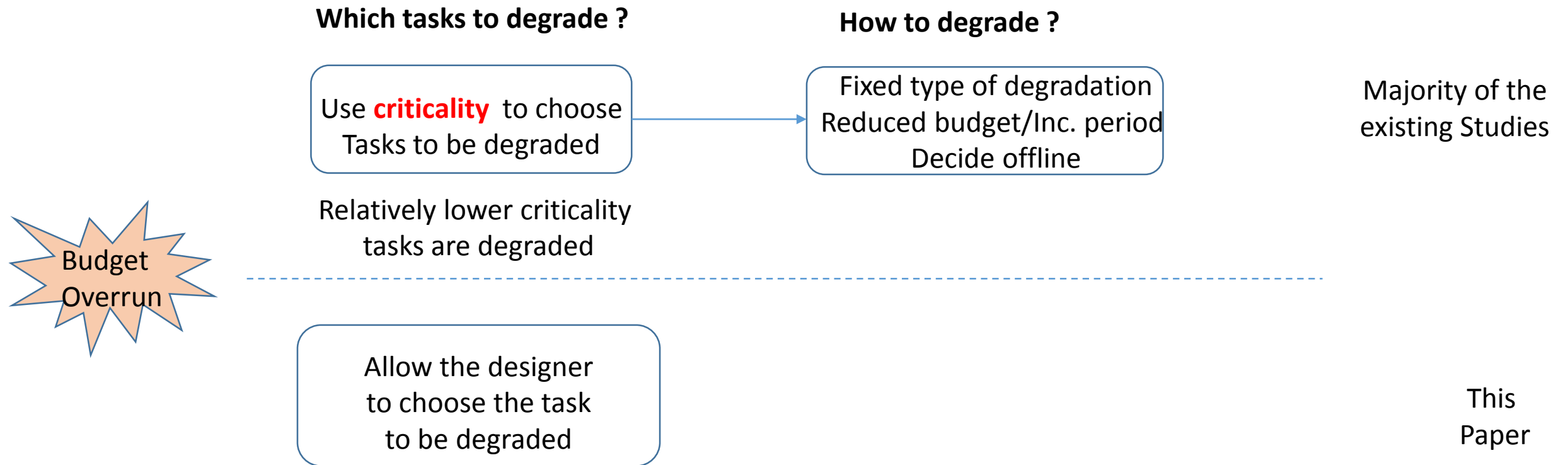
Fixed type of degradation  
Reduced budget/Inc. period  
Decide offline

Majority of the  
existing Studies

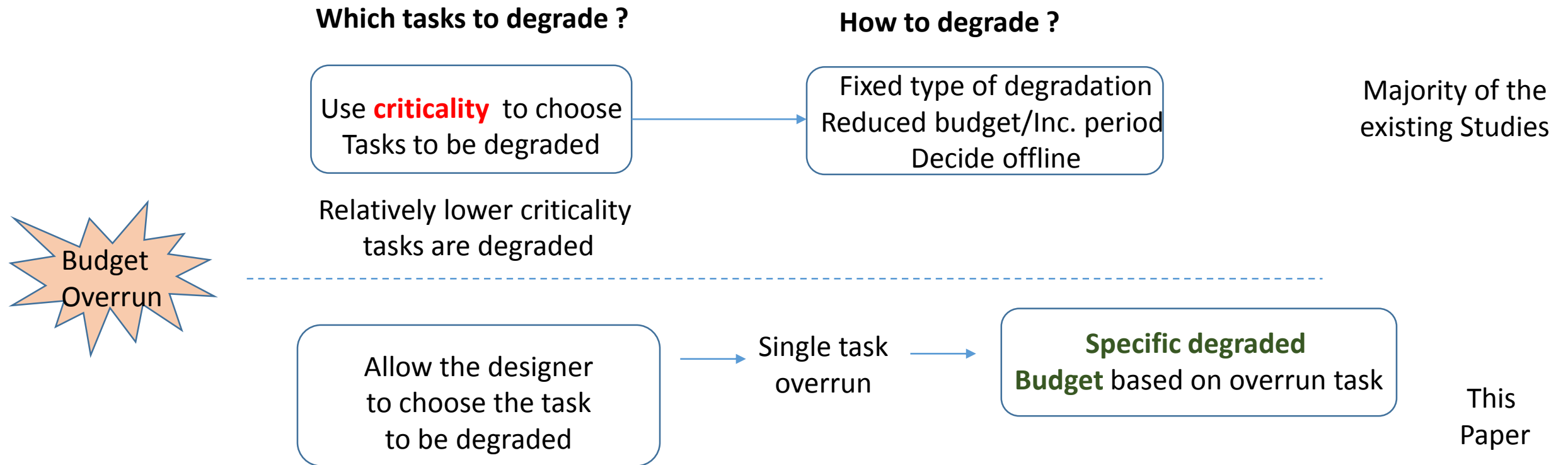


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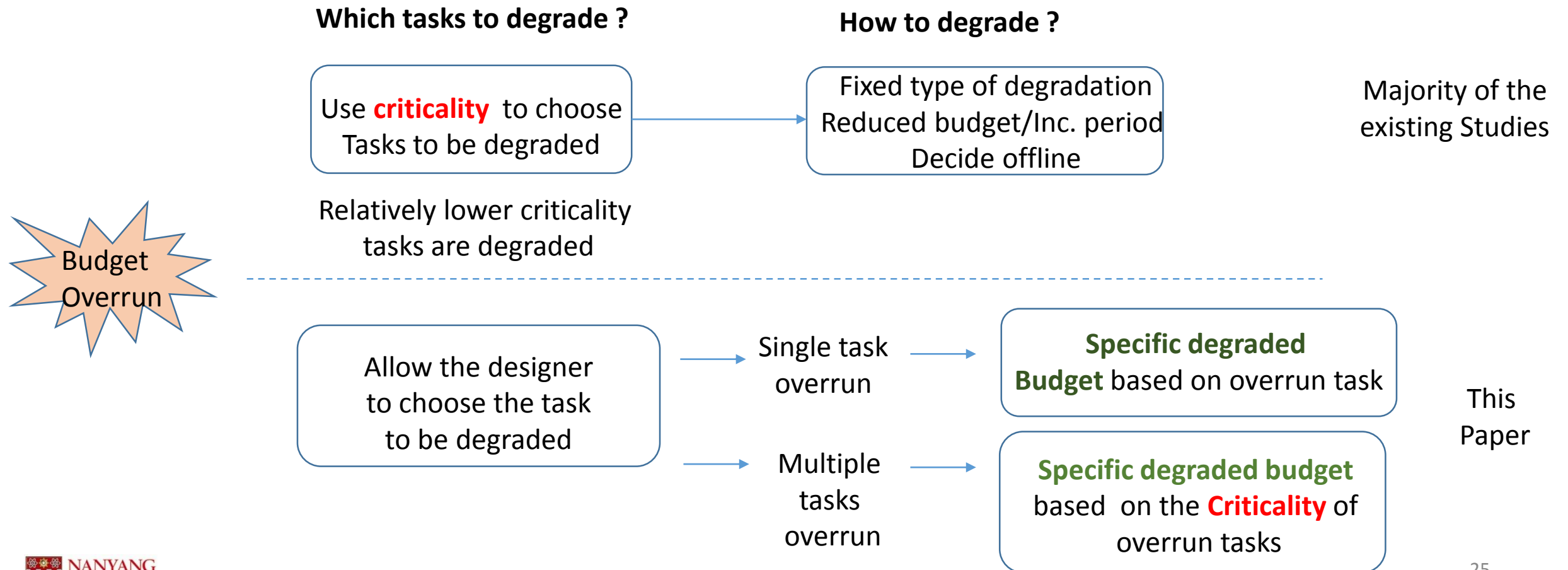


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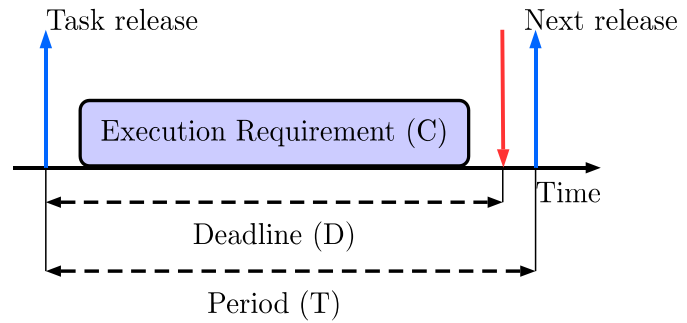




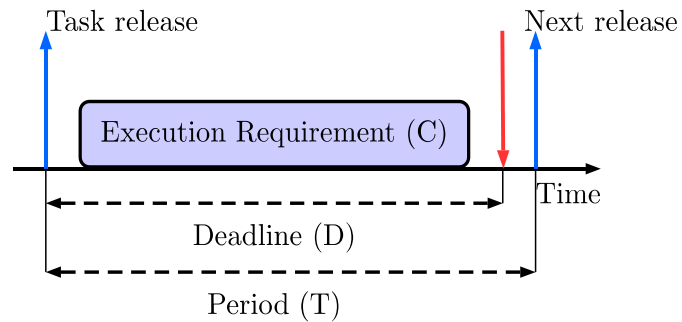
# Intuition for Context-Aware MCS Model



# Context-Aware MCS Model - Syntax



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$T_i$  - Time period

$\mathcal{C}_i = \{C_i^0, C_i^1, C_i^2, \dots, C_i^{n-1}, C_i^n\}$

$C_i^0$  - Normal Budget (lower pessimism)

$C_i^i$  - Safe Budget (higher pessimism)

$C_i^1, C_i^2, \dots, C_i^{n-1}$  - Degraded Budgets (higher pessimism)

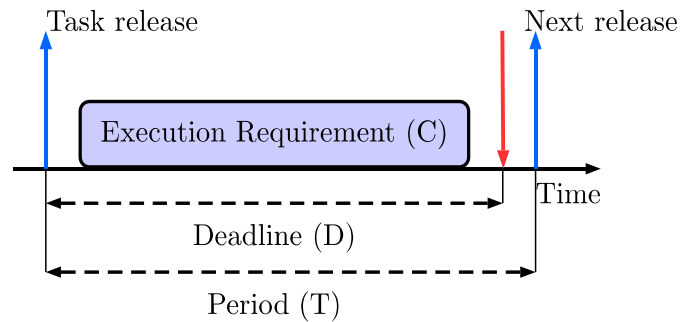
$B_i = \{B_i^0, B_i^1, \dots, B_i^n\}$  - A set of behaviours

$B_i^k \in \{Normal, Safe, Degraded\}$

$\mathcal{L}_i$  - Criticality Level

$$\tau_i = \{\mathcal{C}_i, T_i, B_i, \mathcal{L}_i\}$$

# Context-Aware MCS Model - Syntax



Assumptions regarding budgets:

$\forall k$  in the range  $1 \leq k \leq n$ ,  $C_i^k \leq C_i^0$ , if  $B_i^k = Degraded$

$C_i^k = C_i^i$ , if  $B_i^k = Safe$

$C_i^k = C_i^0$ , if  $B_i^k = Normal$

$\forall \tau_i \in T$ ,  $C_i^0 \leq C_i^i$

$\tau_i$  is allowed to overrun only its  $C_i^0$

$T_i$  - Time period

$C_i = \{C_i^0, C_i^1, C_i^2, \dots, C_i^{n-1}, C_i^n\}$

$C_i^0$  - Normal Budget (lower pessimism)

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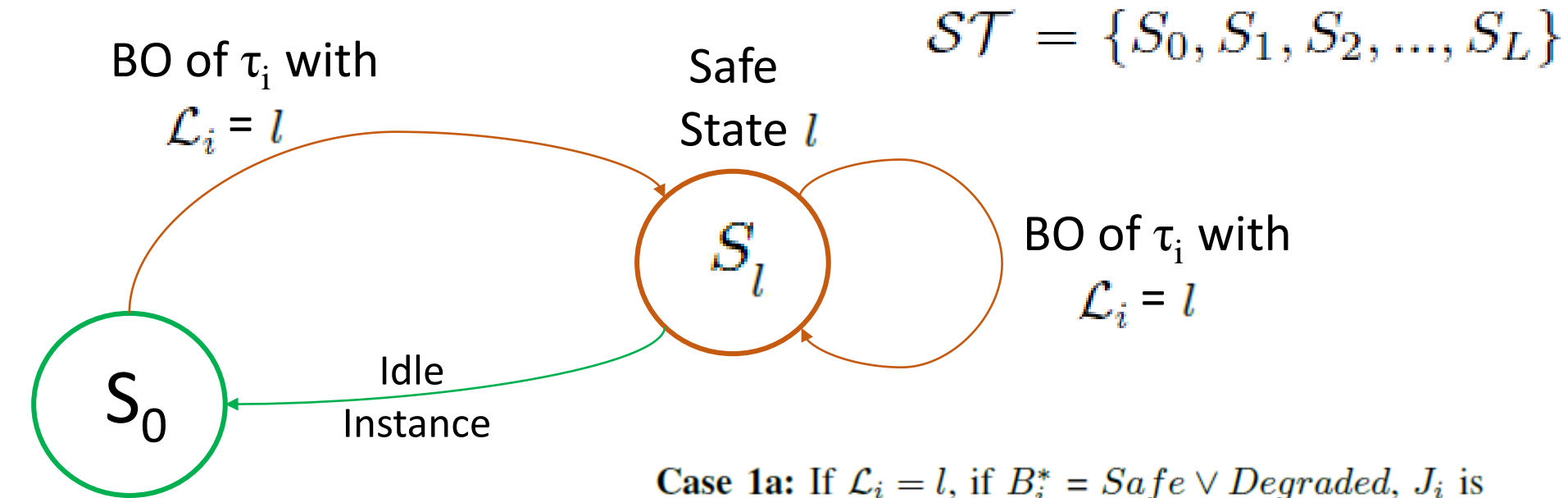
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# Context-Aware MCS Model - Semantics



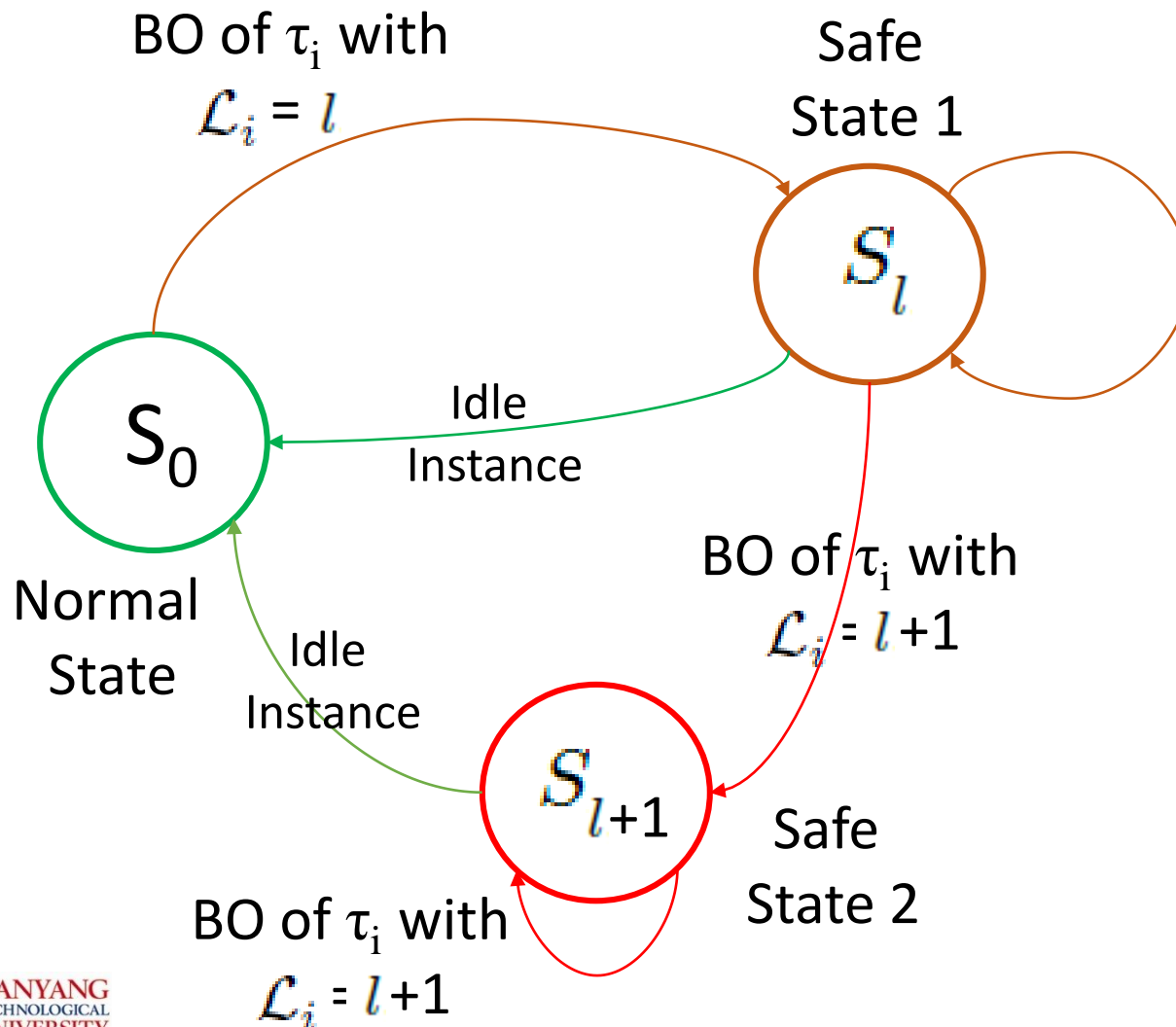
Normal  
State

**Case 1a:** If  $\mathcal{L}_i = l$ , if  $B_i^* = Safe \vee Degraded$ ,  $J_i$  is terminated and not allowed to continue. If  $B_i^* = Normal$ , budget of  $\tau_i$  is immediately updated to  $C_i^i$ .  $\forall \tau_j \in T \setminus \tau_i$ , only if  $B_j^i = Degraded \vee Safe$ ,  $C_j^* = \min(C_j^*, C_j^i)$  is updated irrespective of  $B_j^*$ .

$B_i^*$  - Current behaviour of  $\tau_i$

$C_i^*$  - Current allocated budget of  $\tau_i$

# Context-Aware MCS Model - Semantics

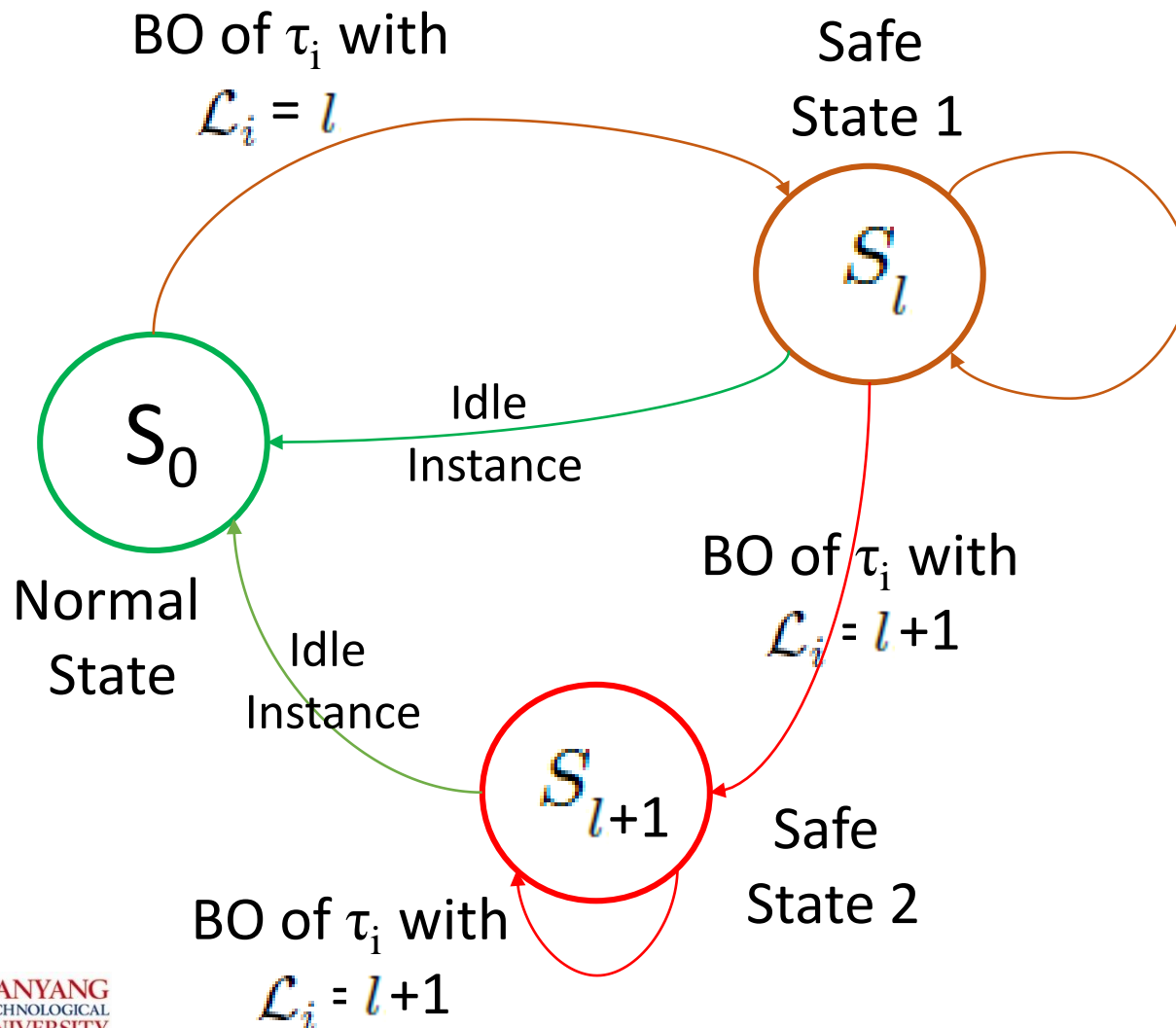


**Case 1b:** If  $\mathcal{L}_i > l$ , if  $B_i^* = Safe \vee Degraded$ ,  $J_i$  is terminated and not allowed to continue. If  $B_i^* = Normal$ , state transition from  $S_l$  to  $S_{\mathcal{L}_i} \in \mathcal{ST}$  is immediately done. Budget of  $\tau_i$  is immediately updated to  $C_i^i$ .  $\forall \tau_j \in T \setminus \tau_i$ , only if  $B_j^i = Degraded$ ,  $C_j^* = C_j^i$  is updated to  $\tau_j$  irrespective of  $B_j^*$ . Otherwise, tasks' budgets are not affected.

$B_i^*$  - Current behaviour of  $\tau_i$

$C_i^*$  - Current allocated budget of  $\tau_i$

# Context-Aware MCS Model - Semantics

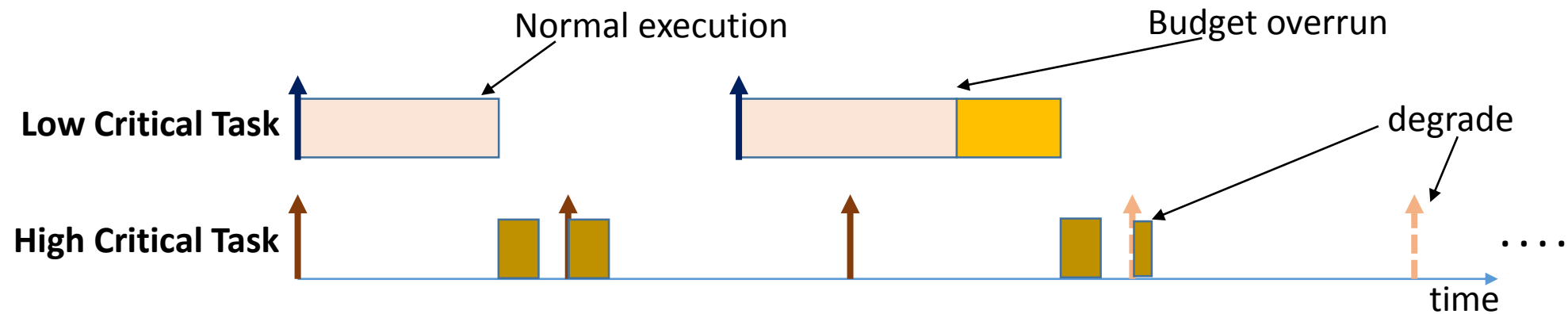
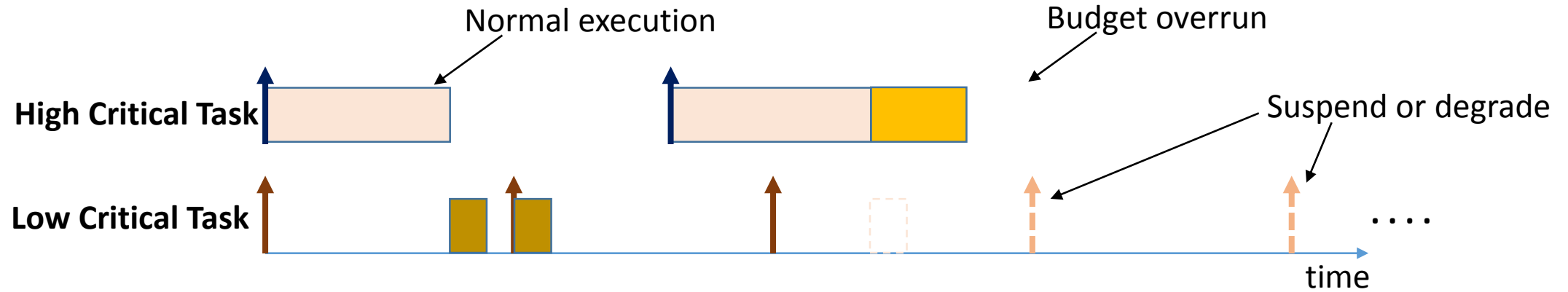


**Case 1c:** If  $\mathcal{L}_i < l$ , if  $B_i^* = Safe \vee Degraded$ ,  $J_i$  is terminated and not allowed to continue. If  $B_i^* = Normal$ , budget of  $\tau_i$  is immediately updated to  $C_i^i$ . No other tasks' budgets are affected.

$B_i^*$  - Current behaviour of  $\tau_i$

$C_i^*$  - Current allocated budget of  $\tau_i$

# Updation of Budgets



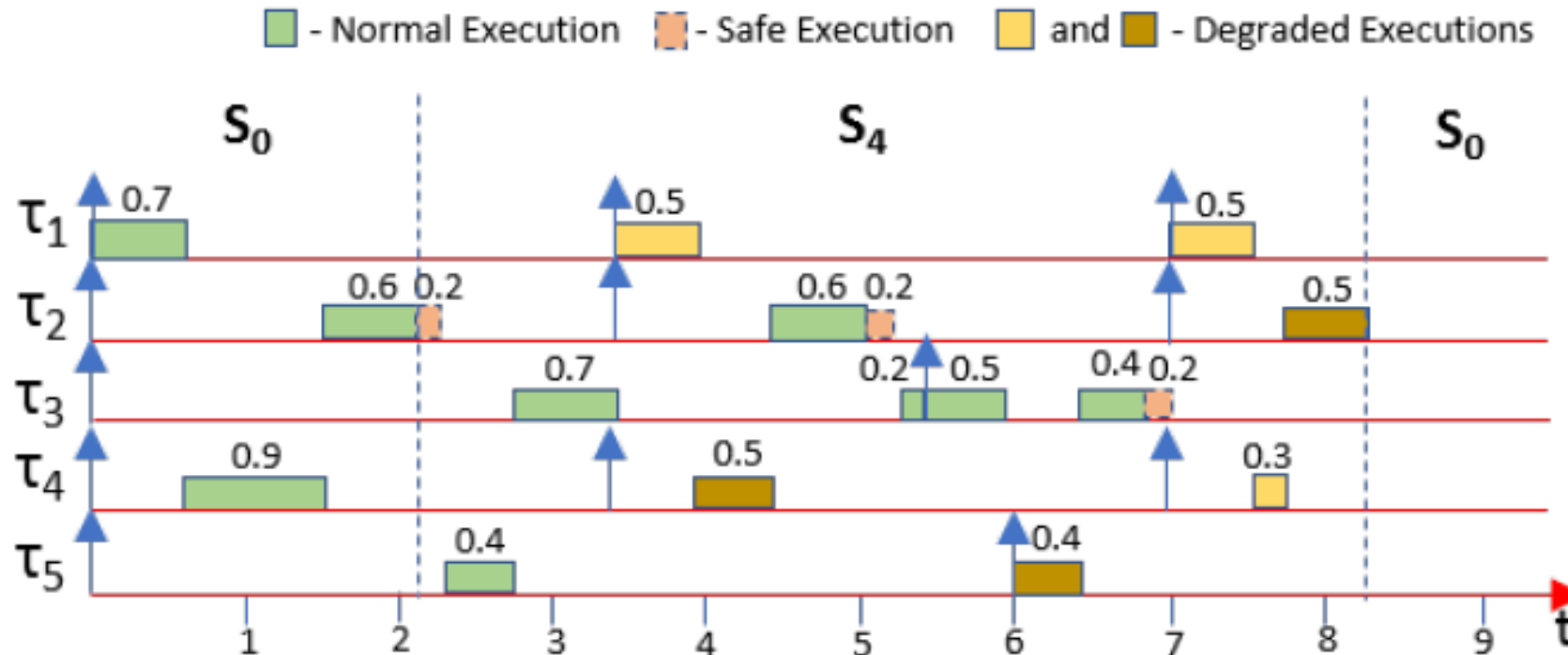


# Example Taskset

EXAMPLE TASK SET

$\tau_i = \{C_i, T_i, B_i, \mathcal{L}_i\}$	$P_i$
$\tau_1 = \{\{0.7, 1.1, 0.5, 0.6, 0.6, 0.5\}, 3.5, \{N, S, D, D, D, D\}, 3\}$	1
$\tau_2 = \{\{0.6, 0.3, 0.8, 0.5, 0.5, 0.5\}, 3.5, \{N, D, S, D, D, D\}, 4\}$	3
$\tau_3 = \{\{0.9, 0.8, 0.9, 1.1, 0.3, 0.2\}, 5.5, \{N, D, N, S, D, D\}, 4\}$	5
$\tau_4 = \{\{0.9, 0.9, 0.5, 0.3, 1.2, 0.4\}, 3.5, \{N, N, D, D, S, D\}, 5\}$	2
$\tau_5 = \{0.4, 0.4, 0.4, 0.4, 0.4, 0.5\}, 6, \{N, N, N, D, N, S\}, 2\}$	4

$N$  – Normal;  $D$  – Degraded;  $S$  – Safe

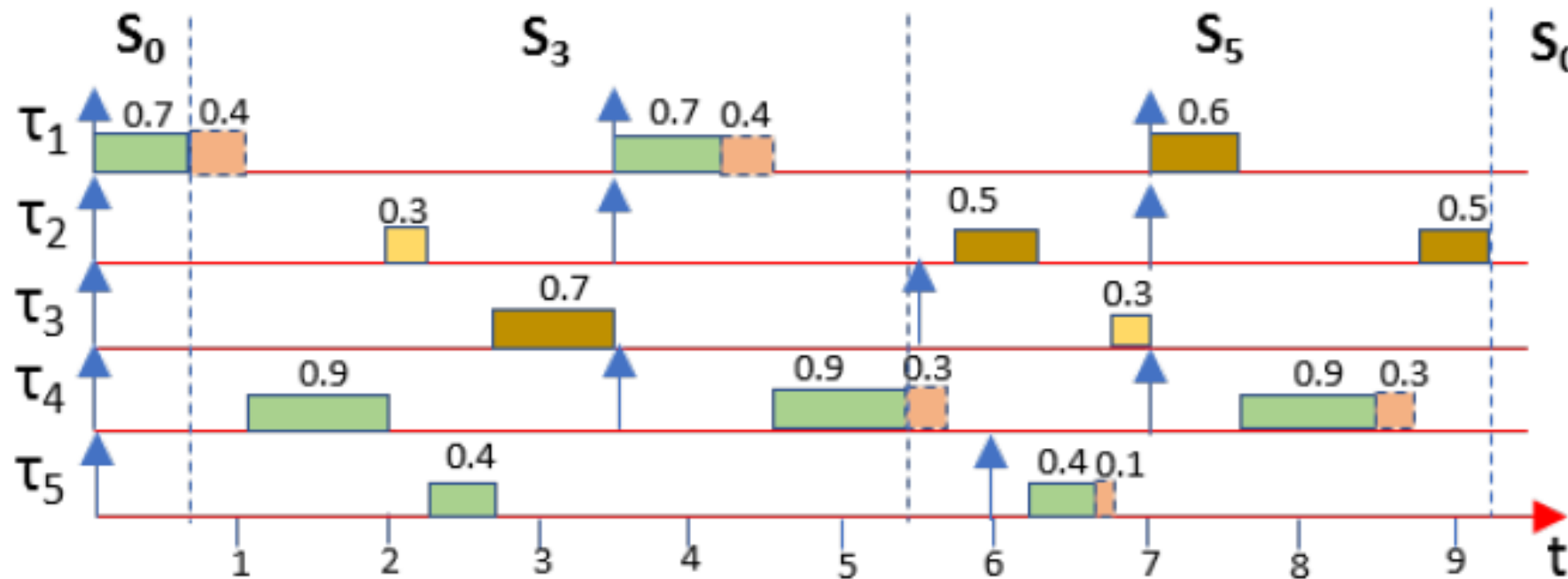


# Example Taskset

EXAMPLE TASK SET

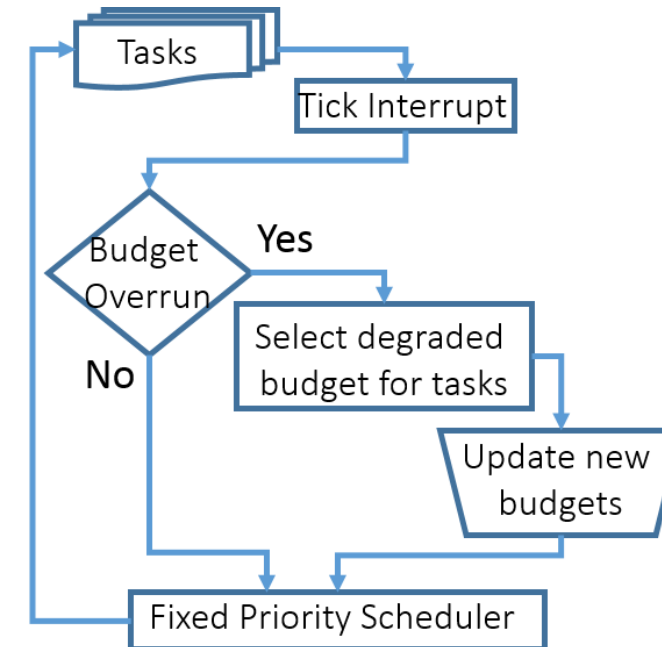
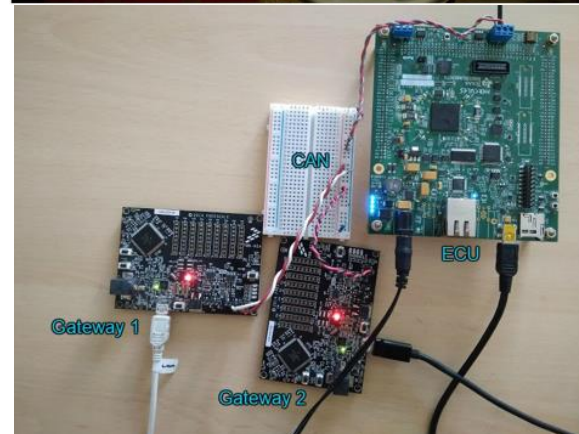
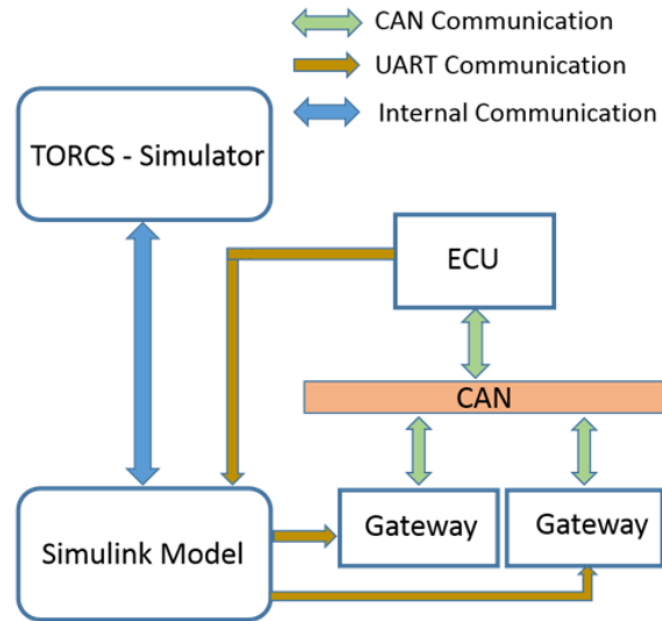
$\tau_i = \{C_i, T_i, B_i, \mathcal{L}_i\}$	$P_i$
$\tau_1 = \{\{0.7, 1.1, 0.5, 0.6, 0.6, 0.5\}, 3.5, \{N, S, D, D, D, D\}, 3\}$	1
$\tau_2 = \{\{0.6, 0.3, 0.8, 0.5, 0.5, 0.5\}, 3.5, \{N, D, S, D, D, D\}, 4\}$	3
$\tau_3 = \{\{0.9, 0.8, 0.9, 1.1, 0.3, 0.2\}, 5.5, \{N, D, N, S, D, D\}, 4\}$	5
$\tau_4 = \{\{0.9, 0.9, 0.5, 0.3, 1.2, 0.4\}, 3.5, \{N, N, D, D, S, D\}, 5\}$	2
$\tau_5 = \{0.4, 0.4, 0.4, 0.4, 0.4, 0.5\}, 6, \{N, N, N, D, N, S\}, 2\}$	4

*N* – Normal; *D* – Degraded; *S* – Safe



Schedule 2: Overrun tasks with different criticality

# Testbed Architecture



# Applications Implemented

- Lead Vehicle Detection
  - Pseudo radar task – ASIL C, V2V task – ASIL B
- Longitudinal Vehicular Control
  - Adaptive Cruise Control (ASIL C)
    - PID or ONOFF control mechanism
    - Intelligent Speed Adaptation in curves
  - Collision Avoidance (ASIL D)
- Lateral Vehicular Control
  - Steer Control – ASIL D

Budgets (Ticks)	Tasks					
	Radar	V2V	CC	CA	SC	CAN
Normal	8771	8153	162524	5810	4618	9950
Safe	17861	16532	324590	10234	8432	10785

# Context-Aware Degradation in the Testbed

- ACC implemented with both safety and performance aspects
  - Degradation type 1 : PID → ONOFF
  - Degradation type 2 : No ISA

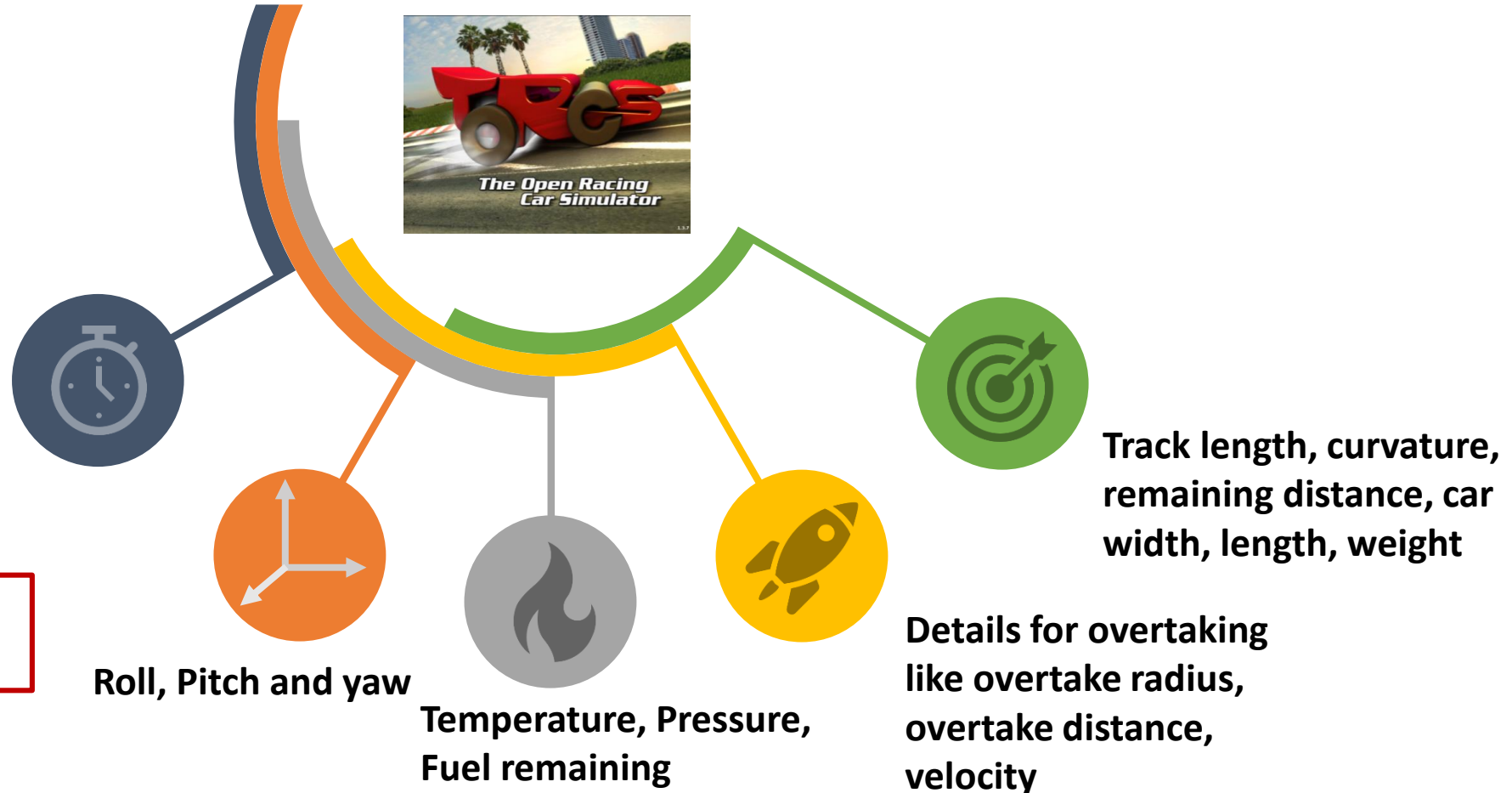
Types	V2V Task		CC Task	
	Budget (Ticks)	Period	Budget (Ticks)	Period
Type 1	8153	60 ms	146534 (ON-OFF)	20ms
Type 2	NA		154885 (No DSA)	20ms

**Steer Task Overrun → Degrade ACC type 2 ( with No ISA) → Impacts only the heading error**

**CA Task Overrun → Degrade ACC type 1 ( PID→ONOFF) → Impacts only the acceleration**

# What can TORCS provide you ?

- A variety of sensor values (around 25 different parameters) at run-time.



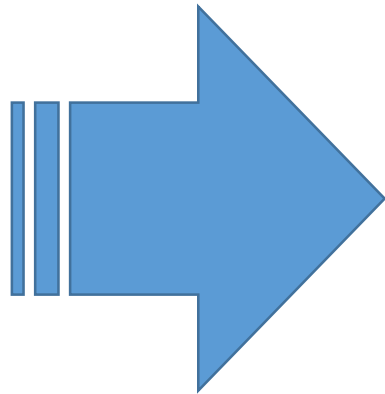
Refer car.h and car.cpp  
in TORCS source code

# Sensor data used in the Test-bed

Sensor data	Data Type	Description
position[3]	Double - Array	Global position [m]
velocity[3]	Double - Array	Global velocity [m/s]
acceleration[3]	Double - Array	Global acceleration [m/s/s]
angle[3]	Double - Array	Roll/Pitch/Yaw [rad]
Angular Velocity[3]	Double - Array	Roll/Pitch/Yaw rates [rad/s]
Heading Error	Double	Error between vehicle heading and track heading (at current location) [rad]
lateral Error	Double	Lateral error between car (CoG) and track centreline (at current location) [m]
roadDistance	Double	Distance travelled along track from start/finish line [m]
roadCurvature	Double	Curvature of track (at current location), left turns = +ve curvature, right turns = -ve curvature
engineRPM	Double	Engine RPM

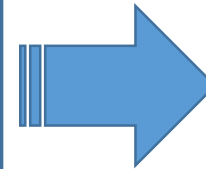
# Simulink Model

Sensor data from  
TORCS

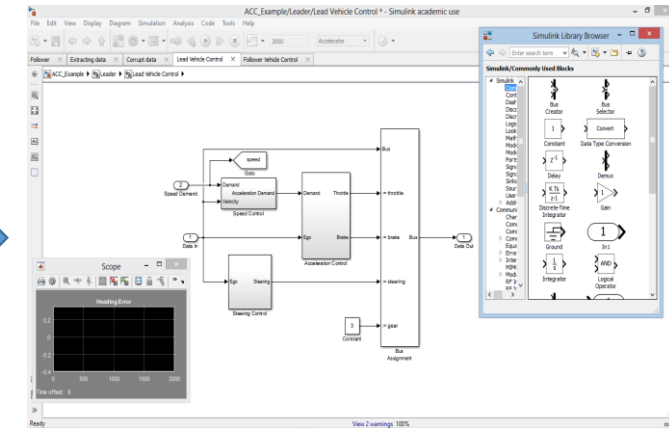


## Simulink

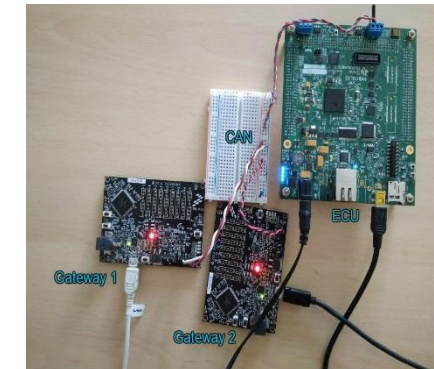
- Run time data monitoring
- Perform sensor fusion
- Feed data to a model or to an algorithm
- Record values, results
- Plot graphs
- Direct values to any external hardware



## Simulink functionalities

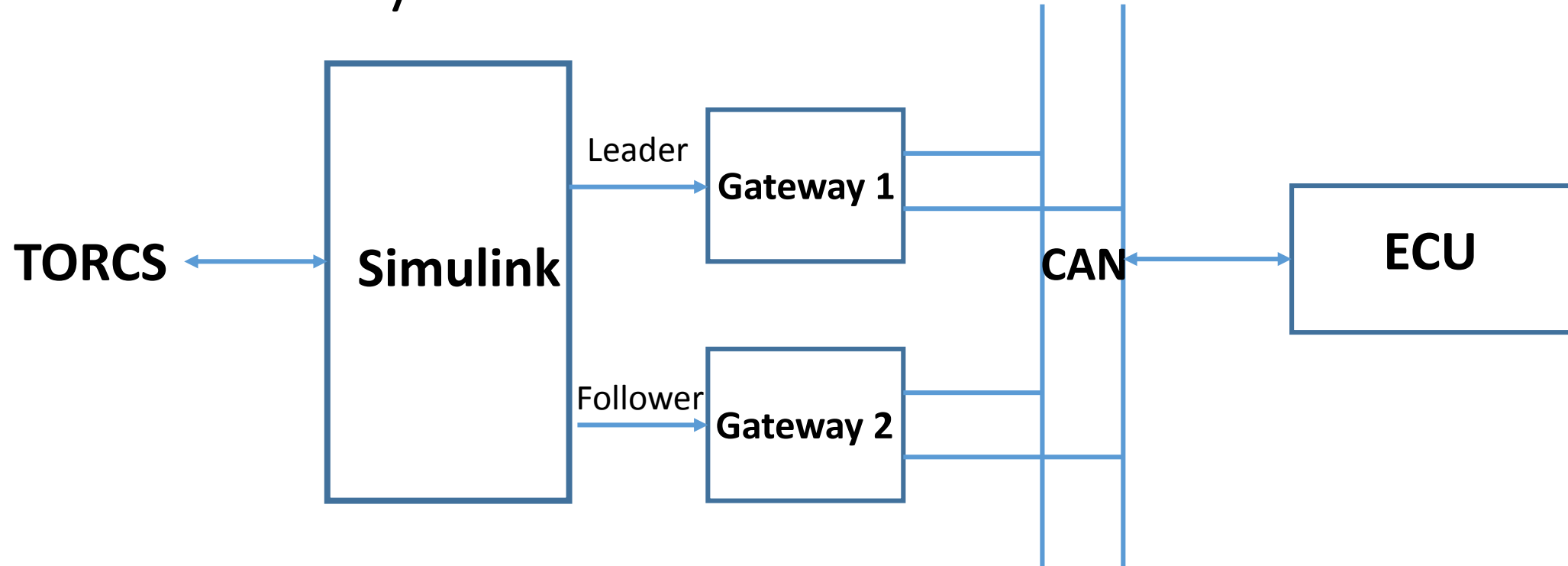


## Electronic Control Unit





# Gateway



## Objective :

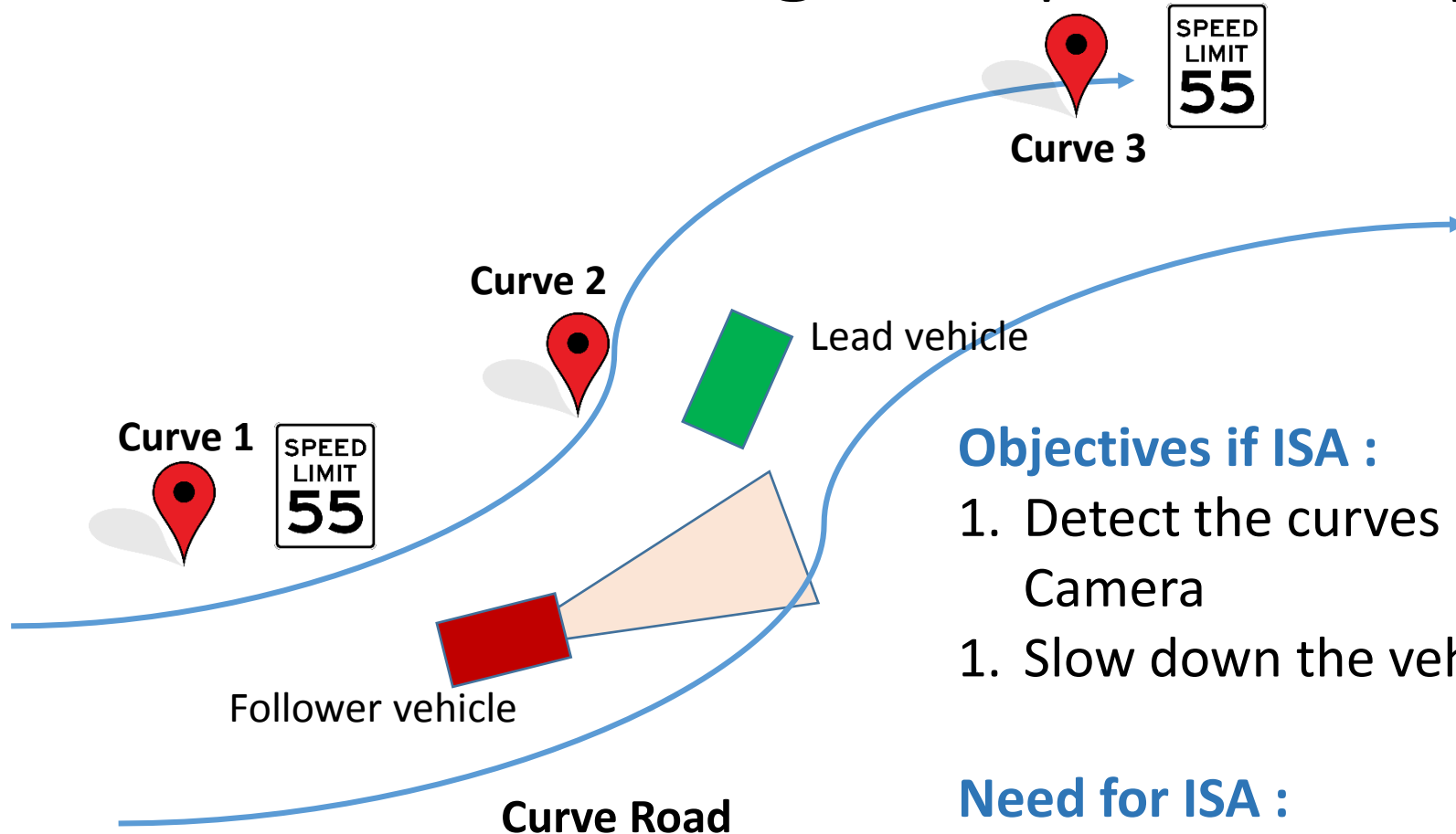
Convert sensor values from TORCS into CAN messages

# FreeRTOS

- FreeRTOS is a real-time operating system for embedded devices - ported to 35 microcontrollers.

Technology Highlights - FreeRTOS	
Pre-emptive scheduling option	Easy to use message passing
Co-operative scheduling option	Round robin with time slicing
Fast task notifications	Mutexes with priority inheritance
6K to 12K ROM footprint	Recursive mutexes
Configurable / scalable	Binary and counting semaphores
Chip and compiler agnostic	Very efficient software timers
Some ports never completely disable interrupts	Easy to use API

# ACC with Intelligent Speed Adaptation



## Objectives if ISA :

1. Detect the curves ahead with sensors like GPS, Camera
1. Slow down the vehicle to safe velocity

## Need for ISA :

1. Radar may fail to detect the lead vehicle in curves
2. Manoeuvre curve with higher velocity can lead to collision

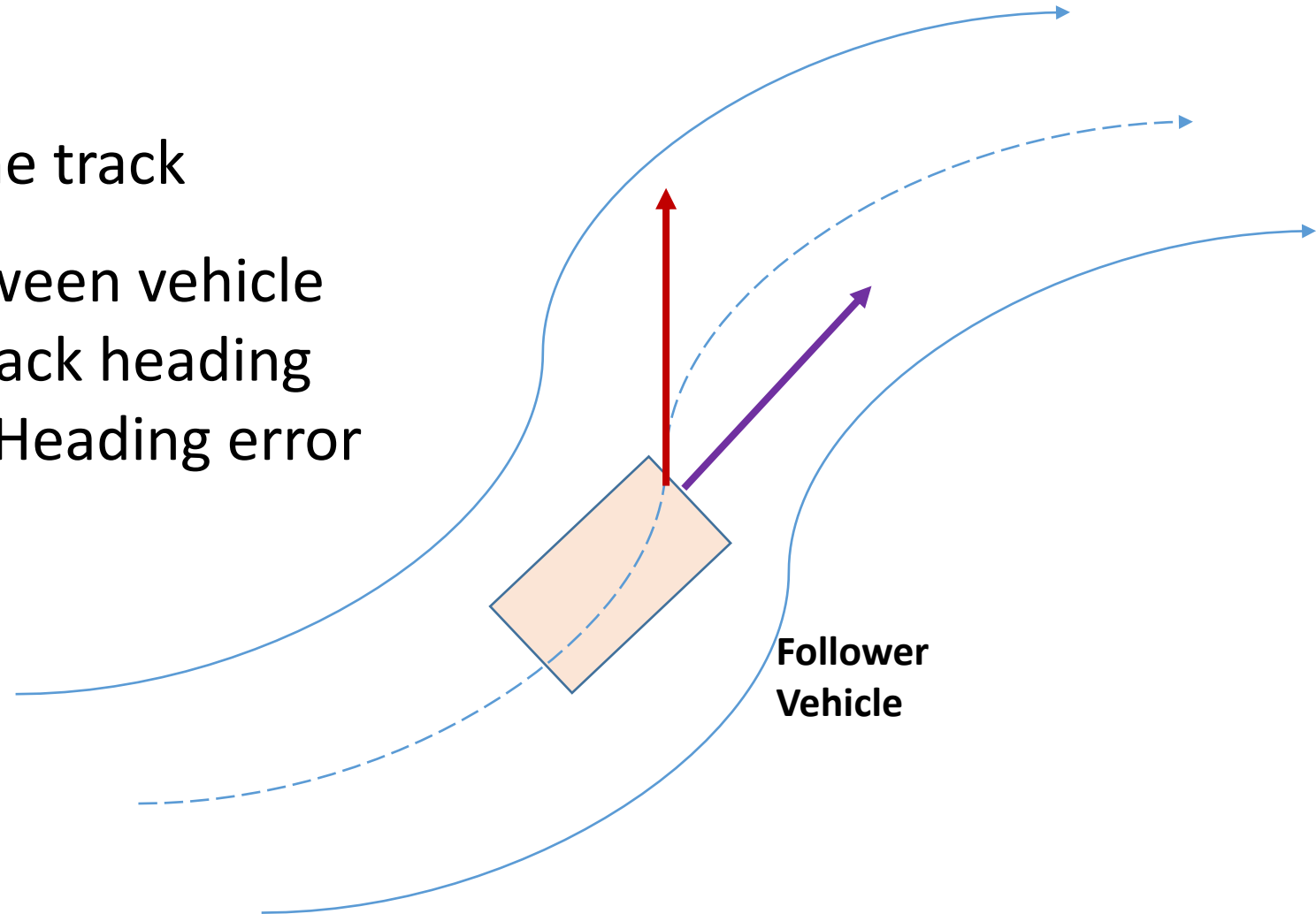
# Steering Control

## Objective of Steering Control :

Keep vehicle at the centre of the track

**Heading Error** = Difference between vehicle heading and Track heading

**Steer Command** = Function of Heading error



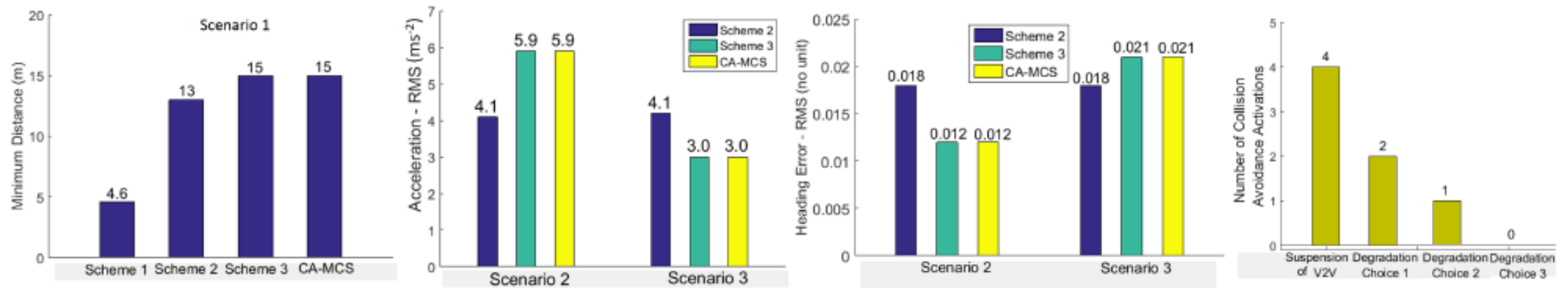
# Experiments and Results

## Parameters monitored:

- Minimum Distance maintained between the vehicles – Safety parameter
- Heading Error – performance of lateral vehicular control
- Acceleration – performance of longitudinal vehicular control

# Experiments and Results

Degradation Schemes	Scenario 1 (Pseudo-Radar Overrun)	Scenario 2 (Collision Avoidance Overrun)	Scenario 3 (Steer Control Overrun)	Scenario 4 (Pseudo-Radar ( and Steer Control Overrun)
Scheme 1	Suspension of V2V task.			
Scheme 2	Type 1 degradation of V2V task.	Type 1 degradation of V2V task and Type 1 degradation of CC task.		
Scheme 3	Type 1 degradation of both V2V and CC task.	Type 1 degradation of CC task.	Type 2 degradation of CC task.	1. Type 1 degradation of V2V and CC. 2. Type 1 degradation of V2V and Type 2 degradation of CC tasks. 3. Type 2 degradation of CC task.
Context Aware - MCS				



# Conclusion and Future Work

- CA-MCS model can effectively isolate effects of performance degradation between applications of different criticality
- Future work will be focussed to integrate mode change protocols with the CA-MCS model to achieve graceful degradation.

Thank You 😊