Sergey Bratus, Ph.D.

Institute for Security, Technology, and Society Dartmouth College ph. 603-646-9224

- EMPLOYMENT & Research Assistant Professor, Computer Science Dept., Dartmouth College (2008– present)
 - Principal Security Technology Advisor to Kiewit Computing, Dartmouth College (2008-present)
 - Senior Research Associate, Institute for Security Technology, and Society, Dartmouth College (2005–2008)
 - ♦ Consultant, BAE Systems (2006–2009)
 - ♦ Research Associate, Institute for Security Technology Studies, Dartmouth College (2002–
 - ♦ Scientist, BBN Technologies/Verizon (1999–2001)
 - ⋄ Instructor, Northeastern University, College of Computer Science (1997–1999)
 - ♦ UNIX system administrator, Northeastern University, Dept. of Mathematics (1997– 2001)
 - ♦ Teaching Assistant, Northeastern University, Dept. of Mathematics (1993–1996)

Research AND Software Engineering

♦ Computer security, Intrusion Analysis, Reverse Engineering Institute for Security, Technology, and Society, Dartmouth College, 2002-present time

- · Worked on distilling security practitioner methodologies behind the discovery of highimpact vulnerabilities by vulnerability researchers, hackers
- · Studied the security challenges of composition of software modules in terms of Formal Language Theory and Theory of Computation
- · Led a security assessment of a Control Center network of a Fortune 500 utility company.
- · Managed the Dartmouth Internet Security Testbed (DIST) wireless, an 802.11 research infrastructure of over 200 Air Monitors distributed throughout diverse locations of the Dartmouth College campus (http://www.cs.dartmouth.edu/~dist/)
- Proposed new hardware security primitives for Trusted Computing systems and security context separation in virtualized environments (Best Paper Award at the TRUST 2008 conference)
- · Performed fuzz-testing of proprietary SCADA protocols and equipment http:// lzfuzz.cs.dartmouth.edu/
- · Researched link layer fingerprinting techniques for 802.11 stations and designed an active fingerprinting tool (http://baffle.cs.dartmouth.edu/)
- · Designed and developed automated log analysis tools for host and network logs for the Kerf project (http://kerf.cs.dartmouth.edu/)
- · Applied statistical machine learning, data organization and information theory techniques to log and network trace analysis tasks

- · Extended a research Linux kernel system call logging and policy enforcement framework and developed tools for visualization and analysis of resulting system call traces
- · Analyzed rootkit deception techniques, their detection and defensive applications
- · Studied UNIX kernel security mechanisms, including LSM and NSA SELinux security policies, Linux Vserver, BSD jails and other virtualization solutions, and researched ways to improve their usability
- · Performed security assessment of PlanetLab Central, for the PlanetLab project
- · Directed parts of the campus-wide Dartmouth computer security assessment, organized various graduate and undergraduate student efforts
- · Performed analysis of compromised systems, data recovery, OS hardening
- · Directed student research related to Linux kernel security and Xen virtualization security mechanisms
- · Reviewer for ACSAC, SecSE, PST, SiS, ATC and other conferences, subreviewer for CCS, NDSS, USEC.

BAE Systems, National Security Solutions, Inc., 2006—2009

- · Windows kernel mode rootkit detection software
- · Defensive reverse engineering protection measures
- · Participated in developing research proposals

Publications:

- · "Composition Patterns of Hacking" with Julian Bangert, Alexandar Gabrovsky, Anna Shubina, Daniel Bilar, Michael E. Locasto, in Proceedings of Cyberpatterns 2012
- · "A Patch for Postel's Robustness Principle" with Len Sassaman, Meredith L. Patterson, IEEE Security and Privacy Journal, Volume 10, Issue 2, March-April 2012
- · "Identifying Vulnerabilities in SCADA Systems via Fuzz-Testing", with Rebecca Shapiro, Edmond Rogers, Sean Smith, in IFIP Advances in Information and Communication Technology, 2011, Volume 367, 2011
- · "Packets in Packets: Orson Welles In-Band Signaling Attacks for Modern Radios" with Travis Goodspeed, Ricky Melgares, Rebecca Shapiro, Ryan Speers, in Proceedings of the 5th USENIX Workshop on Offensive Technologies, August 2011
- · "Exploiting the Hard-working DWARF: Trojan and Exploit Techniques with No Native Executable Code" with James Oakley, in Proceedings of the 5th USENIX Workshop on Offensive Technologies, August 2011
- · "Exploit Programming: from Buffer Overflows to Weird Machines and Theory of Computation" with Michael E. Locasto, Meredith L. Patterson, Len Sassaman, Anna Shubina, in USENIX; login:, December 2011
- · "The Halting Problems of Network Stack Insecurity" with Len Sassaman, Meredith L. Patterson, Anna Shubina, in USENIX ;login:, December 2011
- · "Intrusion Detection for Resource-constrained Embedded Control Systems in the Power Grid" with Jason Reeves, Ashwin Ramaswamy, Michael Locasto, Sean Smith, International Journal of Critical Infrastructure Protection, 2011
- · "Beyond SELinux: the Case for Behavior-Based Policy and Trust Languages" with Michael E. Locasto, Boris Otto, Rebecca Shapiro, Sean W. Smith, Gabriel Weaver, Dartmouth Computer Science Technical Report TR2011-701, August 2011
- "Security Applications of Formal Language Theory" with Len Sassaman, Meredith L. Patterson, Michael E. Locasto, Anna Shubina, Dartmouth Computer Science Technical Report TR2011-709, 2011

- · "Api-do: Tools for Exploring the Wireless Attack Surface in Smart Meters" with Travis Goodspeed, Ricky Melgares, Ryan Speers, Sean W. Smith, Hawaii International Conference on System Sciences, January 2012
- · "On Tuning the Knobs of Distribution-Based Methods for Detecting VoIP Covert Channels" with Chrisil Arackaparambil, Guanhua Yan, Alper Caglayan, Hawaii International Conference on System Sciences, January 2012
- · "Assessing the Vulnerability of SCADA Devices", with Rebecca Shapiro and Sean Smith, Fifth Annual IFIP WG 11.10 International Conference on Critical Infrastructure Protection, Hanover, New Hampshire, 2011, August 2011
- · "Lightweight Intrusion Detection for Resource-Constrained Embedded Control Systems", with Jason Reeves, Ashwin Ramaswamy, Michael Locasto, and Sean Smith, Fifth Annual IFIP WG 11.10 International Conference on Critical Infrastructure Protection, Hanover, New Hampshire, 2011, August 2011
- · "Using Hierarchical Change Mining to Manage Network Security Policy Evolution", with Gabriel A. Weaver, Nick Foti, Dan Rockmore, and Sean W. Smith, USENIX HotICE, Boston, 2011
- "Exploiting the hard-working DWARF" with James Oakley. Shmoocon 2011, Washington, DC
- \cdot "Exploiting the hard-working DWARF" with James Oakley. Hackito Ergo Sum 2011, Paris, France
- · "Detection of Rogue APs Using Clock Skews: Does It Really Work?", with Chrisil Arackaparambil and Anna Shubina, Shmoocon 2010, Washington, DC
- · "Detection of Rogue APs Using Clock Skews: Does It Really Work?", with Chrisil Arackaparambil and Anna Shubina, Toorcon 2009, San Diego, CA
- · "SegSlice: Towards a New Class of Secure Programming Primitives for Trustworthy Platforms", with Michael E. Locasto, Brian Schulte, TRUST 2010, Berlin, Germany, 2010
- · "Software on the witness stand: what should it take for us to trust it?", with Ashlyn Lembree, Anna Shubina, TRUST 2010, Berlin, Germany, 2010
- · "The diversity of TPMs and its effects on development: a case study of integrating the TPM into OpenSolaris", with Anna Shubina, Wyllys Ingersol, Sean W. Smith, 5th ACM Workshop on Scalable Trusted Computing (STC '10), New York, NY, USA, 2010.
- · "VM-based security overkill: a lament for applied systems security research", with Michael E. Locasto, Ashwin Ramaswamy, Sean W. Smith, 2010 Workshop on New Security Paradigms (NSPW '10). New York, NY, USA, 2010.
- · "Automated Mapping of Large Binary Objects Using Primitive Fragment Type Classification", with Gregory Conti et al., 10th Annual DFRWS Conference, http://www.dfrws.org/2010/, Portland, OR, 2010
- \cdot "A Visual Study of Primitive Binary Fragment Types" with Gregory Conti et al., BlackHat USA 2010
- · "On the reliability of wireless fingerprinting using clock skews", with Chrisil Arackaparambil, Anna Shubina, David Kotz, 3rd ACM Conference On Wireless Network Security, Hoboken, NJ, 2010
- · "Teaching the principles of the hacker curriculum to undergraduates", with Anna Shubina, Michael E. Locasto, 41st ACM technical symposium on Computer science education, Milwaukee, WI, 2010

- "Distributed Monitoring of Conditional Entropy for Anomaly Detection in Streams", with Chrisil Arackaparambil, Joshua Brody, Anna Shubina, 10th Workshop on Communication Architecture for Clusters, International Parallel and Distributed Processing Symposium, Atlanta, GA, 2010
- · "Katana: A Hot Patching Framework for ELF Executables", with Ashwin Ramaswamy, Michael E. Locasto, Sean W. Smith, 4th Workshop on Secure Software Engineering (SecSE), part of the ARES conference, Krakow, Poland, April, 2010
- · "Katana: Towards Patching as a Runtime Part of the Compiler-Linker-Loader Toolchain", with James Oakley, Ashwin Ramaswamy, Sean W. Smith, Michael E. Locasto, International Journal of Secure Software Engineering (IJSSE), Volume 1, Issue 3, 2010
- · "What Hacker Research Taught Me", Keynote at TROOPERS 2010, Germany, http://www.troopers10.org/
- · "What Hacker Research Taught Me", Defcon 802, May 2010, Burlington, VT, http://dc802.org/
- · "Bickering In-Depth: Rethinking the Composition of Competing Security Systems", with Michael E. Locasto, Brian Schulte, IEEE Security and Privacy Journal, vol. 7, no. 6, pp. 77–81, 2009
- · "The cake is a lie: privilege rings as a policy resource", with Peter C. Johnson, Ashwin Ramaswamy, Sean W. Smith, Michael E. Locasto, 1st ACM workshop on Virtual Machine Security (VMSec), Conference on Computer and Communications Security, Chicago, IL, 2009
- "Dartmouth Internet Security Testbed (DIST): building a campus-wide wireless testbed",
 with David Kotz, Keren Tan, William Taylor, Anna Shubina, Bennet Vance, Michael
 E. Locasto, USENIX 2nd Workshop on Cyber Security Experimentation and Test (CSET), Montreal, Quebec, 2009
- · "Using Domain Knowledge for Ontology-Guided Entity Extraction from Noisy, Unstructured Text Data", with Anna Rumshisky, Rajendra Magar, Paul Thompson, 3rd Workshop on Analytics for Noisy Unstructured Text Data, Barcelona, Spain, 2009
- "Traps, Events, Emulation, and Enforcement: Managing the Yin and Yang of Virtualization-based Security", with M.E.Locasto, A.Ramaswamy, and S.W.Smith, 1st Workshop on Virtual Machine Security (VMSec), Washington, D.C., 2008
- · "Why Do Street-Smart People Do Stupid Things Online?", with Chris Masone, Sean W. Smith, IEEE Security and Privacy Journal, vol. 6, no. 3, pp. 71–74, May 2008
- · "Embedded Systems "Invisible" Devious Devices", TROOPERS 2009, Munich, Germany, http://www.troopers09.org/
- · "Backhoe, a packet trace and log browser", with Axel Hansen, Fabio Pellacini, and Anna Shubina, 5th International Workshop on Visualization for Computer Security (VizSec '08), Boston, 2008
- · "Streaming Estimation of Information-theoretic Metrics for Anomaly Detection (Extended Abstract)", with Joshua Brody, David Kotz and Anna Shubina, 11th International Symposium on Recent Advances in Intrusion Detection (RAID '08), Boston, 2008
- · "New Directions for Hardware-assisted Trusted Computing Policies (Position Paper)", with Michael Locasto, Ashwin Ramaswamy and Sean Smith, Future of Trust in Computing, Berlin, 2008
- · "Fuzzing Proprietary SCADA Protocols", Black Hat USA 2008, Las Vegas, NV, 2008
- · "LZfuzz: a fast compression-based fuzzer for poorly documented protocols", with Axel Hansen and Anna Shubina, Dartmouth Computer Science Technical Report TR2008-634, 2008

- · "TOCTOU, Traps and Trusted Computing", with Nihal D'Cunha, Evan Sparks and Sean Smith, Best Paper Award at TRUST 2008, Villach, Austria
- · "Active behavioral fingerprinting of wireless devices", with Cory Cornelius, David Kotz, and Daniel Peebles, 1st ACM Conference on Wireless Network Security (WiSec '08), Alexandria, VA, March 2008
- · "Active 802.11 Fingerprinting: Gibberish and "Secret Handshakes" to Know Your AP", with C.Cornelius and D.Peebles, Shmoocon 4, February 2008
- · "Attacking and Defending Networked Embedded Devices", with J.Baek, S. Sinclair and S.Smith, 2nd Workshop on Embedded Systems Security, Salzburg, Austria, October 2007
- · "Dumbots: Unexpected Botnets through Networked Embedded Devices", with J.Baek, S.Sinclair and S.Smith, Dartmouth technical report TR2007-591, May 2007,
- · "Hacker Curriculum: How Hackers Learn Networking", IEEE Distributed Systems Online, vol. 8, no. 10, 2007
- · "What Hackers Learn that the Rest of Us Don't: Notes on Hacker Curriculum", IEEE Security and Privacy, vol. 5, no. 4, pp. 72–75, July/August 2007
- · "Entropy-based data organization tricks for browsing logs and packet captures", Defcon 15, August 2007
- · "Simple entropy-based heuristics for log and traffic analysis", Shmoocon 3, March 2007
- · "Pastures: Towards Usable Security Policy Engineering", with A.Ferguson, D. McIlroy and S. Smith, 1st Workshop on Secure Software Engineering (SecSE), part of the ARES conference, April, 2007
- · "Semi-supervised Data Organization for Interactive Anomaly Analysis", with J.Aslam and V.Pavlu (Northeastern University), International Conference on Machine Learning and Applications (ICMLA), December, 2006
- · "The Kerf Toolkit for Intrusion Analysis", with J. Aslam, D. Kotz, D. Rus, R. Peterson, B. Tofel (Dartmouth College), IEEE Security and Privacy, 2(6):42-52, November/December, 2004.
- · "The Kerf Toolkit for Intrusion Analysis", with J. Aslam, D. Kotz, D. Rus, R. Peterson (Dartmouth College), IAnewsletter, 8(2):12-16, Summer, 2005.
- · "Ubiquitous Redirection as Access Control Response", with G. Bakos, Privacy, Security and Trust Conference, 2005
- · "Kerf: Machine Learning To Aid Intrusion Analysis", Work-in-progress report at USENIX Security Conference 2004

♦ Information Extraction, Natural Language Processing

BBN Technologies, Speech and Language Dept., 1999-2001

- · Worked on statistical Text Understanding systems, in particular on name and fact extraction from natural English text.
- · Designed and/or implemented:
 - Statistical and rule-based algorithms for NLP tasks such as parsing, name and descriptor finding and classification, coreference, pronoun resolution, summarization of natural English text.
 - XML-based architecture for processing and storing natural language documents.
 - XML and HTML-based visualization tools for annotated and processed documents and training data.
 - Web front-ends and relational database back-ends for the above.

Publications:

- · "Experiments in Multi-Modal Content Extraction", with L. Ramshaw, E. Boschee, S. Miller, R. Stone, R. Weischedel, A. Zamanian (BBN Technologies), Human Language Technology (HLT) Conference 2001,
- · "FactBrowser Demonstration", with S. Miller, L. Ramshaw, R. Weischedel, A. Zamanian (BBN Technologies), HLT 2001,

♦ Computer Algebra and Symbolic Computation

Developed new efficient algorithms for recognition of and computation in finite groups of various types.

Software projects:

- · GAP share package for two new black box recognition algorithms (GAP/Unix, Win32)
- · Package for search and computation in finite permutation groups (LISP)
- · Custom package for computation with polynomials in commuting and anti-commuting variables (LISP)

Publications:

- · "Fast constructive recognition of a black box group isomorphic to S_n or A_n using Goldbach's Conjecture", with I. Pak, Journal of Symbolic Computation, vol. 29, 2000
- · "On sampling generating sets of finite groups and product replacement algorithm", with I. Pak, ISSAC-99 Conference Proceedings
- · "Constructive recognition of black box groups isomorphic to central extensions of PSL(n,q)", with G. Cooperman, L. Finkelstein, S. Linton, preprint
- · "Recognition of finite black box groups (Algorithms for constructive recognition of finite black box groups isomorphic to symmetric and special linear groups)" Ph.D. thesis, Northeastern University, 1999

Teaching

- ♦ Instructor, Dartmouth College (2005–2012)
 - · Secure Information Systems Mentoring and Training (SISMAT)
 Developed and taught computer security hands-on "immersion" classes to students and interns of the SISMAT program (http://www.cs.dartmouth.edu/~sismat/).
 - Advanced Operating Systems (CS108)
 Used OpenSolaris kernel code and DTrace to demonstrate and explore aspects of modern OS design.
 - · Computer Security (CS38) course and labs.

 Designed and implemented a virtual host and network environment for the students to practice network reconnaissance and attacks, shellcode development, live analysis of compromised hosts (described in "Hacker Curriculum: How we can use it in teaching", IEEE Distributed Systems Online, vol. 8, no. 11, 2007
- ♦ Instructor, College of Computer Science, Northeastern University (1997–1999)
 - · Classes in C++/STL, Software Design, Data Structures.
 - · Wrote and ported courseware to Win32 (Visual C++ 5,6 and Cygwin)
 - · Teaching Assistant/Instructor, Dept. of Mathematics, Northeastern University
 - · Calculus and Discrete Mathematics Courses

OTHER
PROFESSIONAL
ACTIVITIES
EDUCATION

Expert witness for the defence in UMG Recordings, et al. v. Mavis Roy.

 $\begin{array}{c} \text{Professional} \\ ^{\blacktriangle} \text{onversit} \\ \end{array} \diamond \\ \textbf{Northeastern University}, \\ \text{Boston (1993–1999)} \\ \end{array}$

Ph.D. in Mathematics, M.S. in Computer Science, 1999

♦ Moscow Institute of Physics and Technology (aka MIPT, "Phystech") (1988–1993)

References Avail

Available upon request.