

# Sockets

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**OPERATING SYSTEMS COURSE  
THE HEBREW UNIVERSITY  
SPRING 2023**

# Overview

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- **Networking Protocols – Motivation**
- TCP and UDP Transport Protocols
- Sockets
  - Socket's Address
  - DNS and Sockets programming
  - Sockets programming

# Communication Protocols

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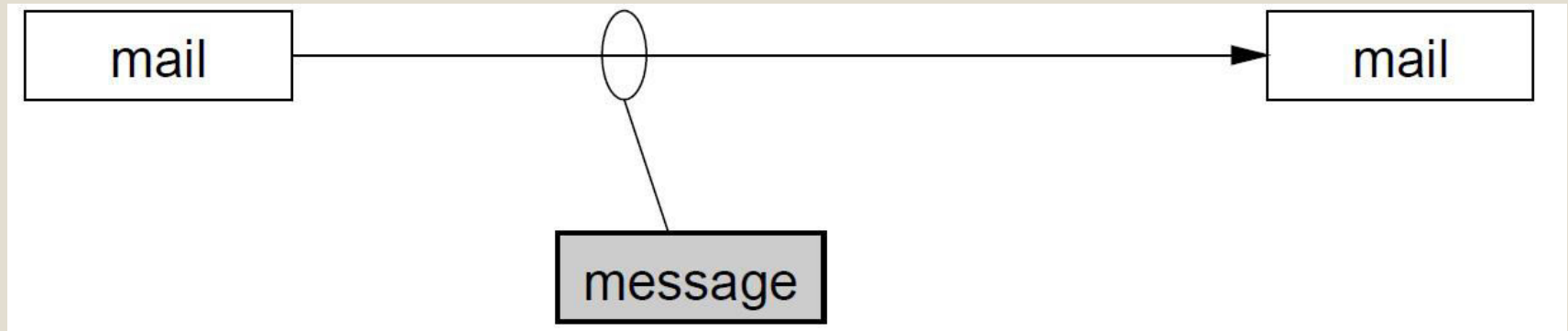
- A communications protocol is a system of digital rules for data exchange within or between computers
- Communicating systems use well-defined formats for exchanging messages.
- A protocol must define the syntax, semantics, and synchronization of communication
- Computer networking protocols' implementation is called **protocol stack** or **network stack**



# Sending mails

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- Email contains
  - ✦ Address
  - ✦ Data



# The problem of long messages

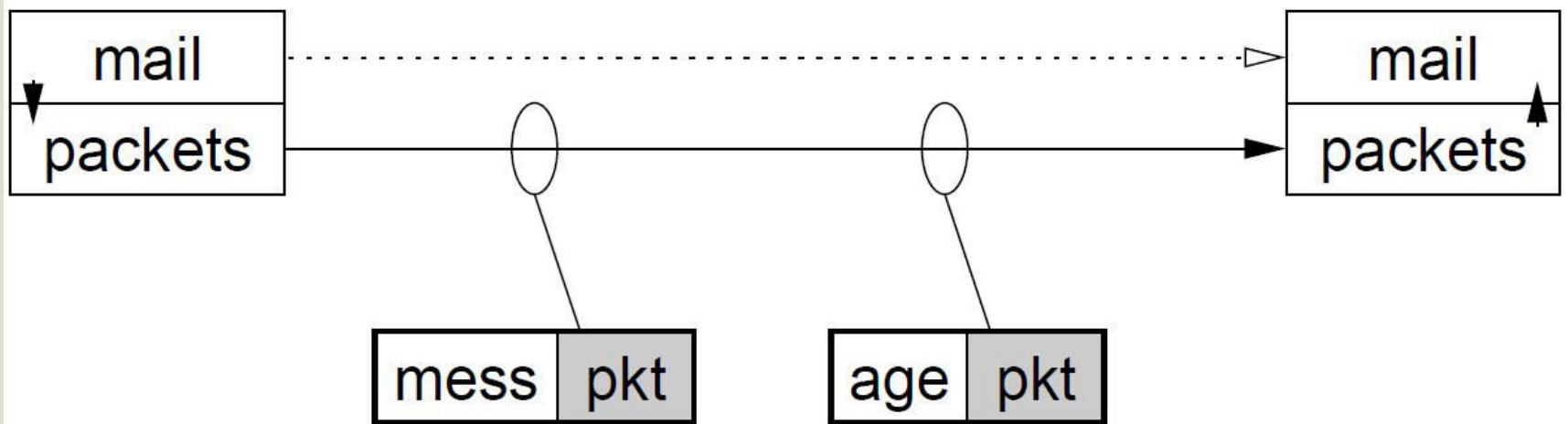
5

- Sending long messages is problematic
  - ✦ HW errors have more impact
  - ✦ One “wrong bit” and all the message is thrown.
- Simple Solution - users are allowed to send bounded size of messages (e.g. 1K)
  - ✦ Not practical.

# Packetization of long messages

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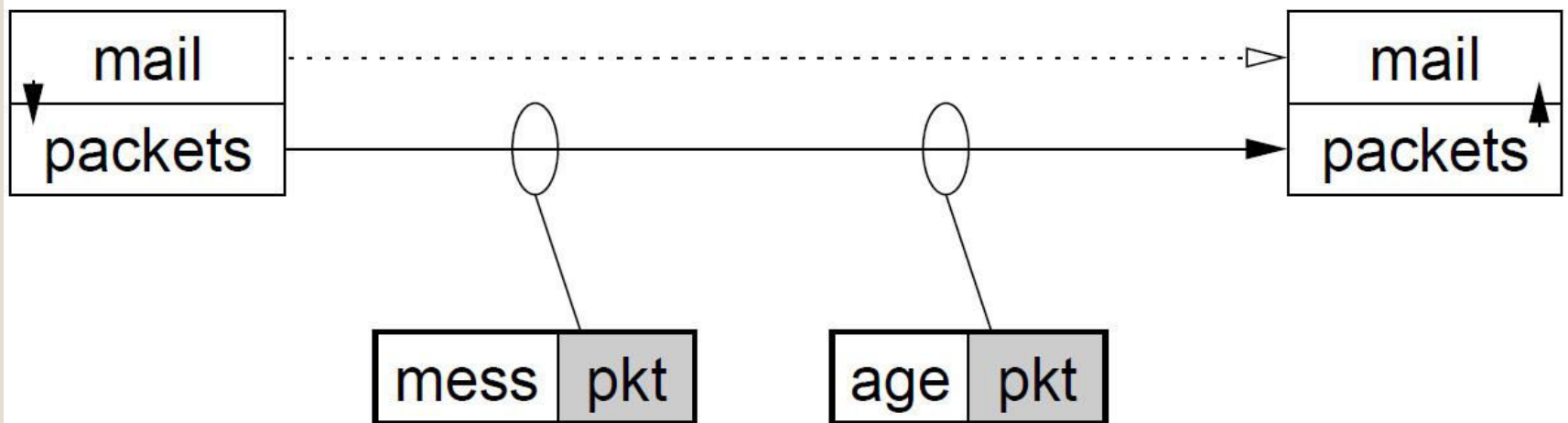
- Adding a “program”, in both edges, that is responsible to break the long messages into shorter ones.



# End-to-end control

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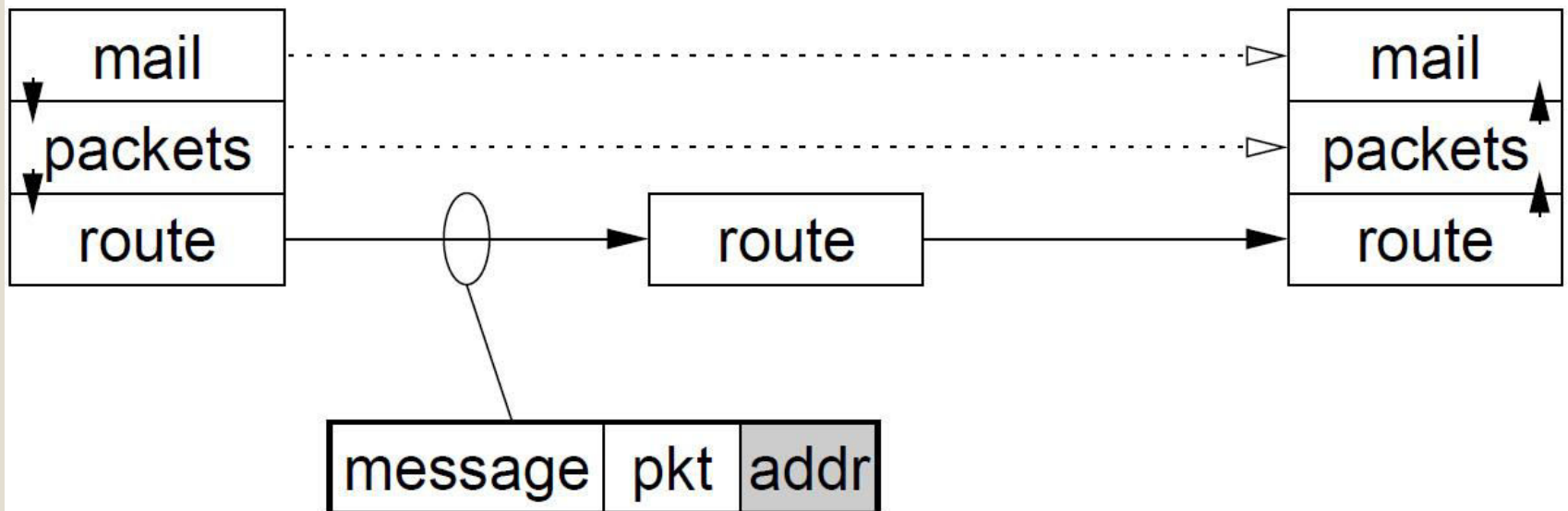
- After splitting to packets, two problems may occur
  - Lost packet
  - A packet overtakes a previous packet
- End to end control handles these problems



# Routing

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- If there is no direct link between the computers, a routing is needed
- Routing's information is added to each packet

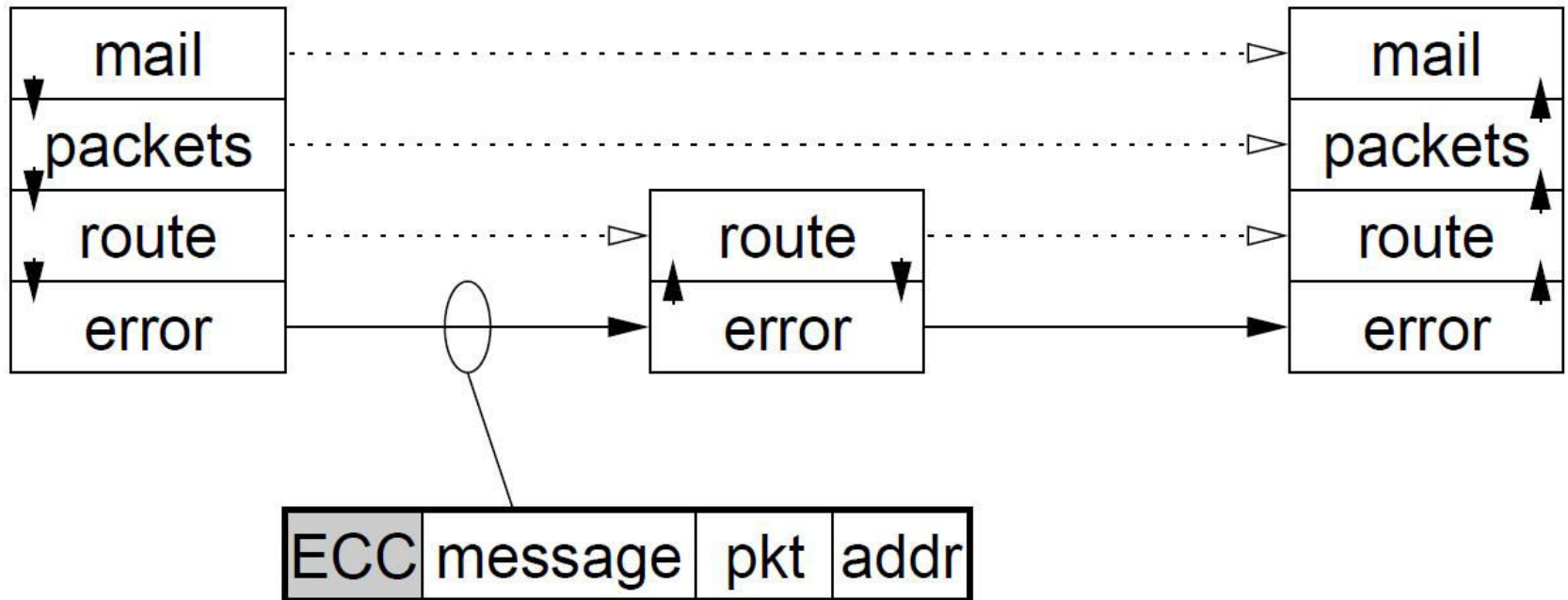




# Errors Correction

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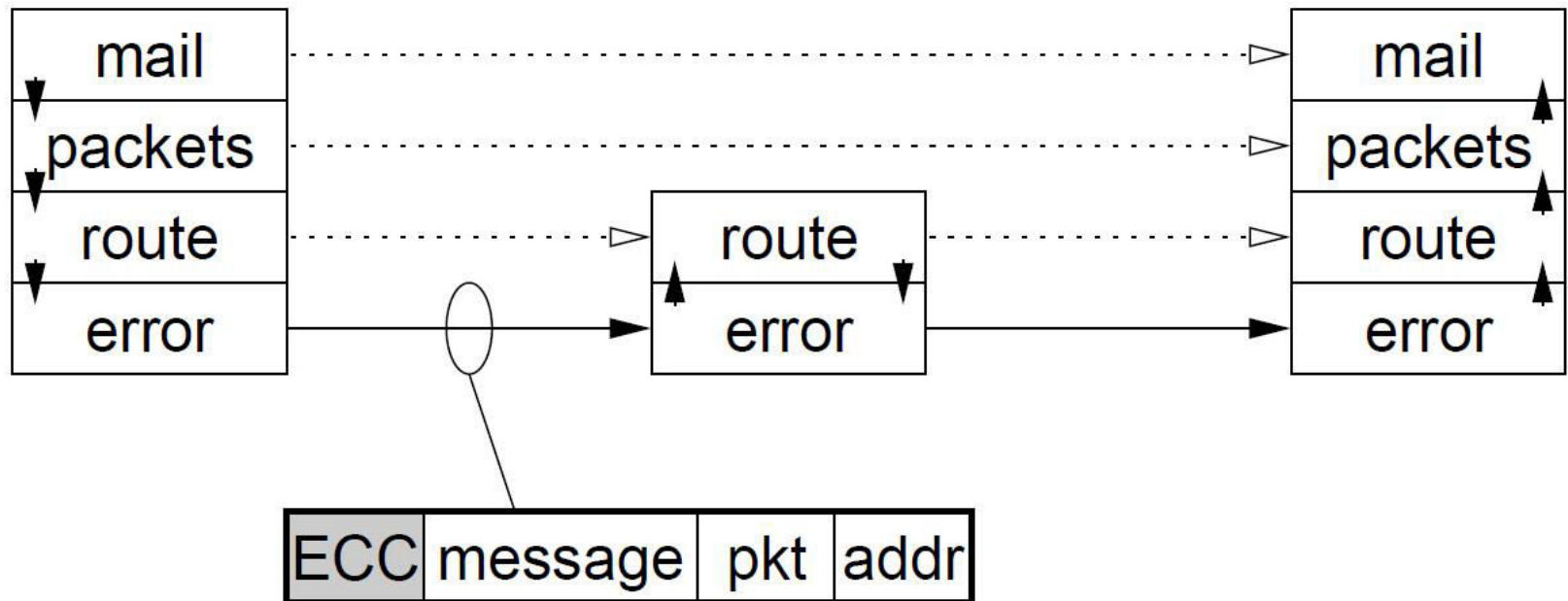
- Due to noise, not all the packets are sent successfully.
- Additional error-correction code (EEC) is added to the header for handling that.



# Protocol Stack

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- The sending side - adds the headers.
- The receiving side - use the headers in each layer and then, remove them.
- That way, each layer can “talk directly” with its counter part on the other machine



# Internet protocol suite (TCP/IP) – The protocol stack that is used by the Internet

11

Layer name	Description (Layer's goal)	Protocols
<b>Application</b>	process-to-process communications	HTTP/S, SSH, FTP, DNS
<b>Transport</b>	End-to-end communication services for applications	TCP, UDP
<b>Network / Internet</b>	Transport datagrams (packets) from the originating host across network boundaries, if necessary, to the destination host specified by a network address	IP
<b>Link / Physical</b>	Communications protocols that only operate on the link that a host is physically connected to.	802.11 WiFi, Ethernet

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# Transport Layer

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- Network Layer (IP) is:
  - Responsible for **end to end transmission**
  - **Unreliable** - Packets might be lost, duplicated, corrupted, delivered out of order.
- Supplies End-to-end communication services for applications.
- Main protocols
  - TCP
  - UDP

Layer

Application

Transport

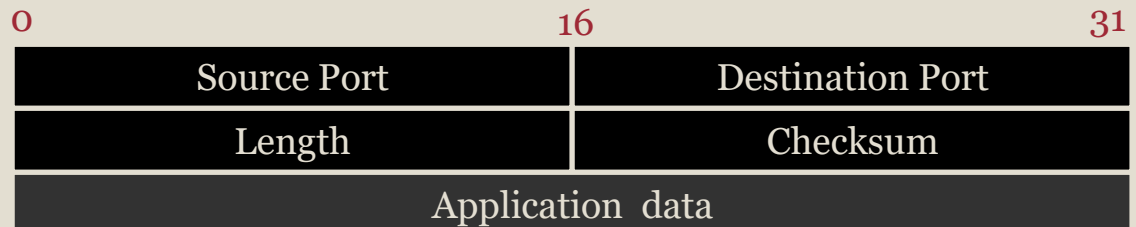
Network / Internet

Link / Physical

# User Datagram Protocol (UDP)

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- Thin layer on top of IP
- Also **source and destination *ports***
  - Ports associate a packet with a specific application at each end.
- Adds packet **length + checksum**
  - Guard against corrupted packets
- Still **unreliable**:
  - Duplication, loss, out-of-order is possible.
- **Connectionless**
- **UDP header:**



# Transmission Control Protocol (TCP)

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- Reliable stream transport
- Connection oriented
- Two ends communicate to agree on details
- Buffering
- Flow control and Congestion Control
- Takes care of lost packets, out of order, duplicates, long delays



# Transport Layer Summary

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Property	UDP	TCP
Reliable	no	yes
Connection type	Connectionless	Connection oriented
Flow control	No	Yes
Latency	Low	High
Applications	VOIP, Most games	HTTP, HTTPs, FTP, SMTP, Telnet, SSH

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# Socket programming

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**Goal:** learn how to build client/server application that communicate using sockets

## Socket API

- Explicitly created, used, released by applications
- Client/server paradigm
- Two types of transport service via socket API:
  - unreliable datagram
  - reliable, byte stream-oriented

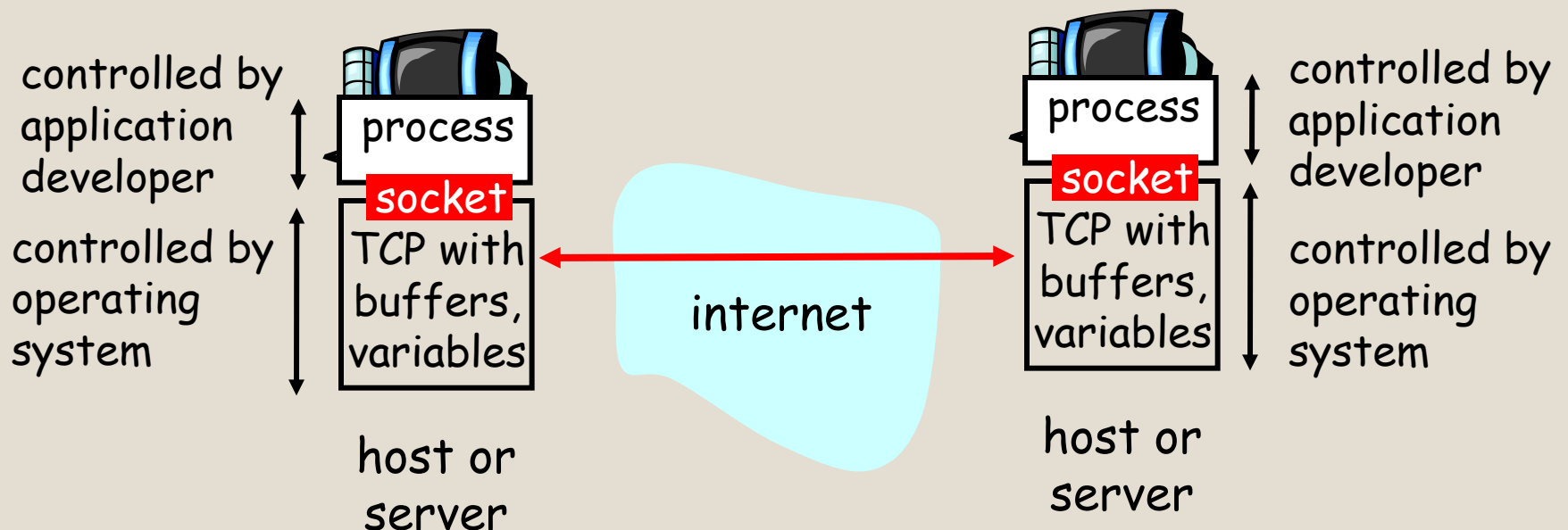
### socket

a *host-local, application-created, OS-controlled* interface (a “door”) into which application process can *both send and receive* messages to/from another application process

# Socket-Programming using TCP

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TCP service: reliable transfer of **bytes**  
from one process to another



# Socket programming with TCP

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## Client must contact server

- server process must first be running
- server must have created socket (door) that welcomes client's contact

## Client contacts server by:

- creating client-local TCP socket
- specifying IP address and port number of server process
- When **client creates socket**: client TCP establishes connection to server TCP

- When contacted by client, **server TCP creates new socket** to communicate with client
  - allows server to talk with multiple clients
  - source port numbers used to distinguish clients

## application viewpoint

*TCP provides reliable, in-order transfer of bytes between client and server*

# Streams

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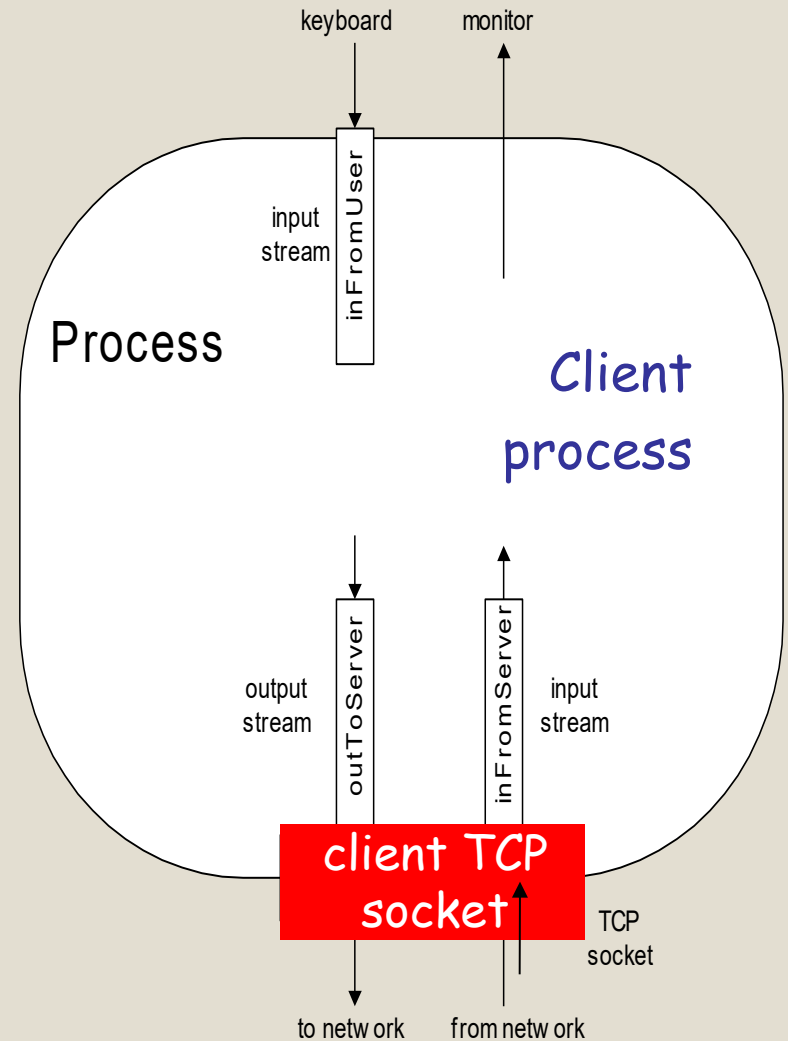
- A **stream** is a sequence of characters that flow into or out of a process.
- An **input stream** is attached to some input source for the process, e.g. keyboard or socket.
- An **output stream** is attached to an output source, e.g. monitor or socket.

# Socket programming with TCP

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## Example client-server app:

- 1) client reads line from standard input (**inFromUser** stream), sends to server via socket (**outToServer** stream)
- 2) server reads line from socket
- 3) server converts line to uppercase, sends back to client
- 4) client reads, prints modified line from socket (**inFromServer** stream)



# Client/server socket interaction: TCP

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Server (running on `hostip`)

Client



# Client/server socket interaction: TCP

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**Server** (running on `hostip`)

**Client**

create socket, port=`x`,  
for incoming request:

`welcomeSocket =`  
`ServerSocket()`



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wait for incoming  
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`connectionSocket =  
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create socket,  
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`clientSocket = Socket()`

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← - - - TCP - - - →  
connection setup  
"handshake"

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send request using  
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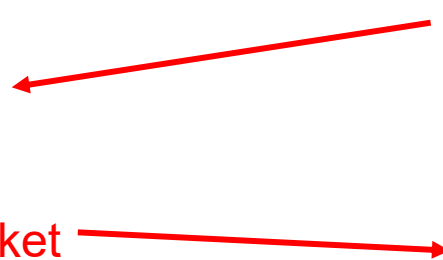
write reply to `connectionSocket`

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read request from  
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write reply to `connectionSocket`

Close `connectionSocket`

**Client**

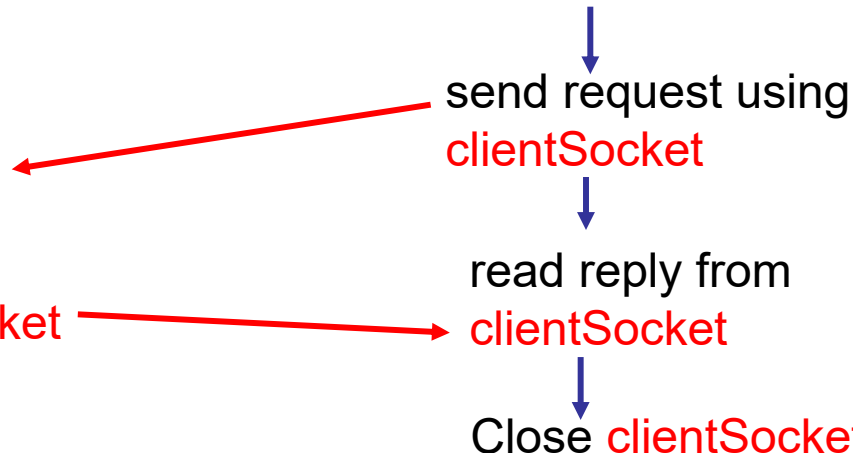
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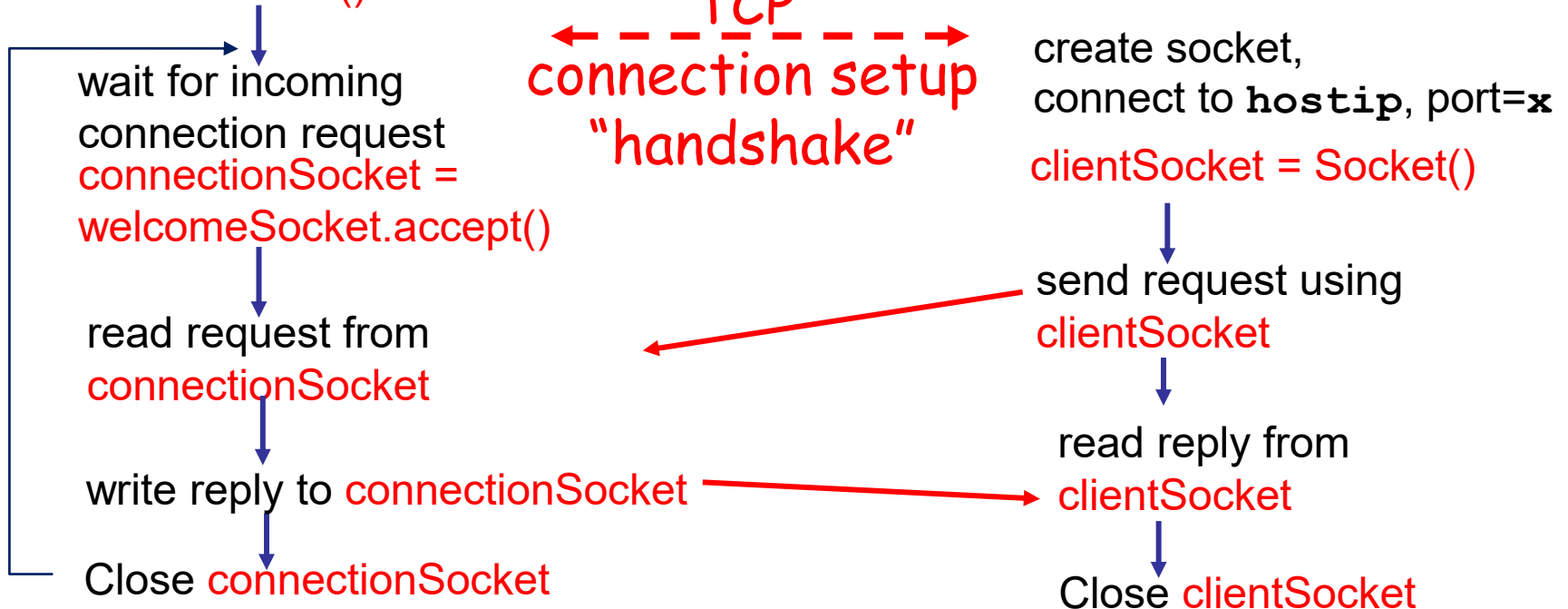
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**TCP  
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# Socket programming with UDP

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UDP: no “connection state”  
between client and server

- No handshaking
- Sender explicitly attaches IP address and port of destination to each packet
- Server must extract IP address, port of the sender

UDP: transmitted data may  
be received out of order,  
or lost

## application viewpoint

*UDP provides unreliable  
transfer  
of groups of bytes  
("datagrams")  
between client and server*

# Client/server socket interaction: UDP

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**Server (running on hostip)**

# Client/server socket interaction: UDP

25

## Server (running on hostip)

create socket, port=**x**,

for incoming request:

**serverSocket =**  
**DatagramSocket()**



read request from **serverSocket**

# Client/server socket interaction: UDP

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## Server (running on hostip)

create socket, port=**x**,  
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read request from **serverSocket**

## Client

create socket,

**clientSocket = DatagramSocket()**



Create, address  
(**hostip, port=x**)

send datagram request using  
**clientSocket**



# Client/server socket interaction: UDP

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## Server (running on hostip)

create socket, port=**x**,  
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read request from **serverSocket**



write reply to **erverSocket**  
specifying client host  
address, port number

## Client

create socket,

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# Client/server socket interaction: UDP

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create socket, port=**x**,  
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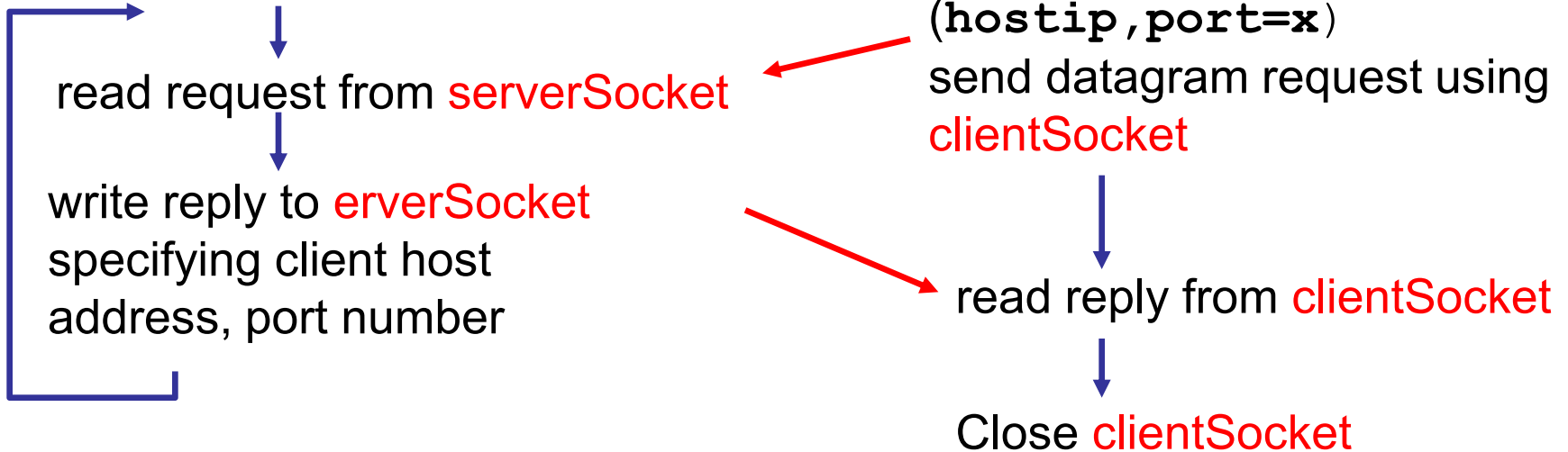
**clientSocket = DatagramSocket()**

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# Client/server socket interaction: UDP

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## Client

create socket,

**clientSocket = DatagramSocket()**

Create, address  
(**hostip, port=x**)

send datagram request using  
**clientSocket**

read reply from **clientSocket**

Close **clientSocket**

Unlike TCP, the same serverSocket stays the same, even for several clients



# Overview

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- Networking Protocols - Motivation
- TCP and UDP Transport Protocols
- Sockets:
  - **Socket's Address**
  - DNS (mapping names to IP)
  - Sockets programming

# struct sockaddr

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```
struct sockaddr {  
    unsigned    short sa_family;  
    char        sa_data[14];  
};
```

- Address family in this presentation: **AF\_INET**
- Contains a destination address and port number for the socket.

# Socket's Address Format

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- The addressing format of a socket:
  - **AF\_UNIX** addressing uses UNIX pathnames to identify sockets - these sockets are very useful for inter-process communication (**IPC**) on the same machine.
  - **AF\_INET** addressing uses Internet protocol addresses (**IPs**) which are four-byte numbers usually written as four decimal numbers separated by periods (such as 192.9.200.10). In addition to the machine address, there is also a port number which allows more than one **AF\_INET** socket on each machine.
- We focus on **AF\_INET** addresses, as they are the most useful and widely used.

# struct sockaddr\_in

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```
struct sockaddr_in {  
    short                sin_family;  
    unsigned short       sin_port;  
    struct in_addr       sin_addr;  
    unsigned char        sin_zero[8];  
};  
  
struct in_addr {  
    uint32_t             s_addr;  
};
```

This structure makes it easy to reference elements of the socket address.

# struct sockaddr\_in

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- A pointer to a **struct sockaddr\_in** can be cast to a pointer to a **struct sockaddr** and vice-versa.
- Note that **sin\_zero** should be set to all zeros with the function **memset()**.
- **sin\_family** corresponds to **sa\_family** in a **sockaddr** and should be set to "**AF\_INET**".
- **sin\_port** and **sin\_addr** must be in *Network Byte Order*!

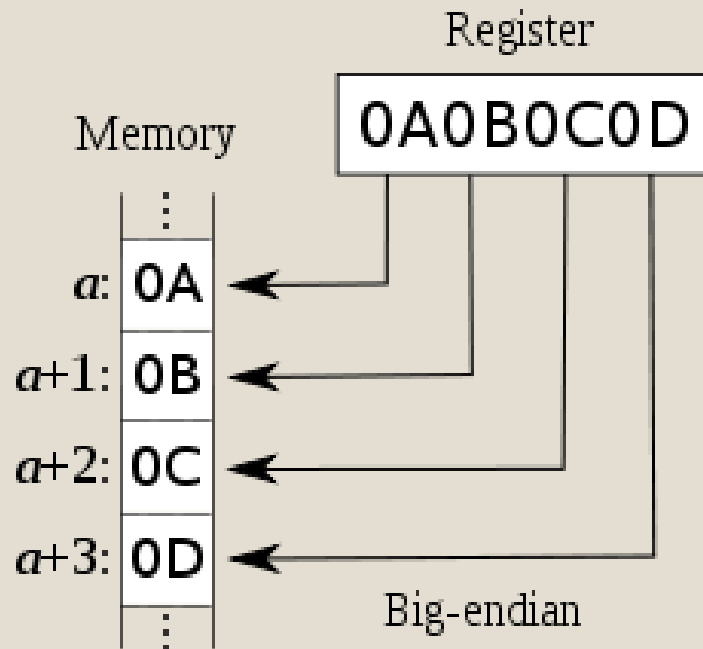
# Structs and Data Handling

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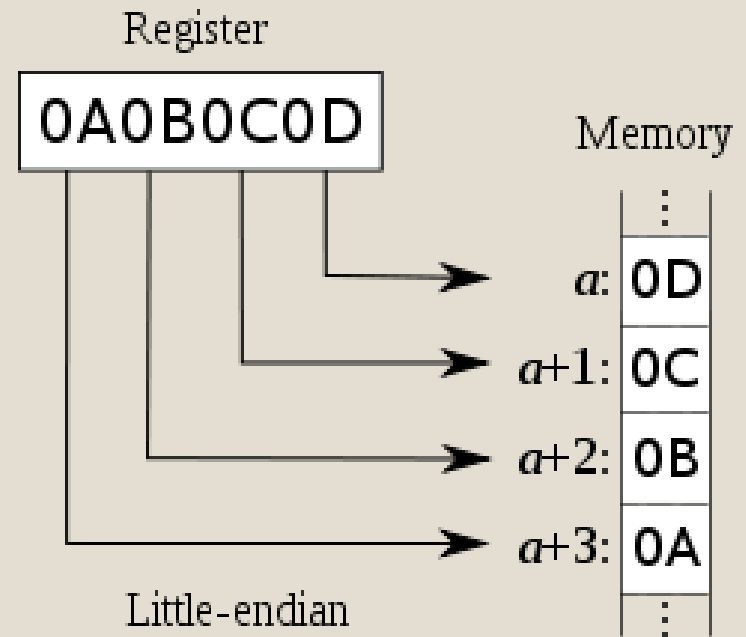
- There are two byte orderings:
  - Most significant byte first.
  - Least significant byte first.
- In order to convert "Host Byte Order" to Network Byte Order, you have to call a function.

# Big\Little Endian

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Big-endian (“Network bytes order”) is the most common convention in data networking



Little-endian is popular (though not universal) among microprocessors

# Conversion Functions

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- There are two types that you can convert: short and long. These functions work for the unsigned variations as well:
  - `htons()` - "Host to Network Short"
  - `htonl()` - "Host to Network Long"
  - `ntohs()` - "Network to Host Short"
  - `ntohl()` - "Network to Host Long"
- Be portable! Remember: put your bytes in "Network Byte Order" before you put them on the network.



# IP Addresses

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```
#include <sys/socket.h>
#include <netinet/in.h>
#include <arpa/inet.h>
struct sockaddr_in my_addr;
my_addr.sin_family = AF_INET;
my_addr.sin_port = htons(3490);
inet_aton("10.12.110.57", &(my_addr.sin_addr));
memset(&(my_addr.sin_zero), '\0', 8);
```

## **inet\_aton():**

- Convert address from the Ip V4 numbers-and-dots notation into binary form (in network byte order).
- Unlike practically every other socket related function, returns non-zero on success, and zero on failure.

# getpeername

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***int getpeername(int sockfd, struct sockaddr \*addr, int \*addrlen);***

- **Description:** Get the address of the other end of a connected stream socket.
- **Return value:** 0 on success, -1 in case of an error.
- **Arguments:**
  - **sockfd** - the FD of the connected stream socket.
  - **addr** is a pointer to a **struct sockaddr** that will hold the information about the other side of the connection.
  - **addrlen** indicates on the addr's length. Should be initialized to **sizeof(struct sockaddr)**. If the value is not big enough, getpeername increases this value

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  - **DNS (mapping names to IP)**
  - Sockets programming

# Domain Name Service

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- DNS is a service which maps human-readable address (a.k.a. host names) to IP addresses.
- The function **gethostname ()** returns the name of the computer that your program is running on.
- The name can then be used to determine the IP address of your local machine:

```
#include <netdb.h>
struct hostent*
gethostbyname(const char *name);
```

- returns a pointer to the filled **struct hostent**, or **NULL** on error.

## struct hostent

```
struct hostent {  
    //Official name of the host  
    char *h_name;  
    //Alternate names  
    char **h_aliases;  
    //usually AF_INET  
    int h_addrtype;  
    //length of each address  
    int h_length;  
    //network addresses for the host in  
    N.B.O  
    char **h_addr_list;  
};  
  
#define h_addr h_addr_list[0]
```

## Example – getip program

Demonstration of how  
to use

**gethostbyname**

**struct hostent**

```
int main(int argc, char *argv[]) {
    struct hostent *h;
    if (argc != 2) {
        fprintf(stderr, "usage: getip
address\n");
        exit(1);
    }
    if ((h=gethostbyname(argv[1])) ==
NULL) {
        fprintf(stderr, "gethostbyname ");
        exit(1);
    }
    printf("Host name : %s\n", h-
>h_name);
    printf("IP Address : %s\n",
        inet_ntoa(*((struct in_addr *)h-
>h_addr)));

    return 0;
}
```

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# First Step - Creating a Socket

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- A socket is used to allow one process to speak to another, very much like the telephone is used to allow one person to speak to another.
- First, you must create a socket to listen for connections.
- This is done by using socket() function.
- *int socket(int domain, int type, int protocol);*



# Socket's Type Parameter

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- The type of the data in the socket:
  - **SOCK\_STREAM** Provides sequenced, reliable, two-way, connection-based byte streams.
  - **SOCK\_DGRAM** Supports datagrams (connectionless, unreliable messages of a fixed maximum length).

# Socket's Protocol Parameter

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- We can use a “0” value to choose the default protocol.
- Usually there is only one supported protocol.

# Second Step – Binding an Address

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- **Second Step:** give the socket an address to listen on.
- This is just as you get a telephone number so that you can receive calls using the **bind()** function.
- *int bind(int sockfd, const struct sockaddr \*addr, socklen\_t addrlen);*

# Third Step – Listening

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- Sockets have the **ability to queue incoming connection requests**, which is a lot like having "call waiting" for your telephone.
- If you are busy handling a connection, the connection request will wait until you can deal with it.
- The **listen()** function is used to recommend the maximum number of requests that will be queued before requests start being denied.
- *int listen(int sockfd, int backlog);*

## Connection establishment (1)

This example demonstrates how we practically use the first three steps.

```
int establish(unsigned short portnum) {
    char myname[MAXHOSTNAME+1];
    int s;
    struct sockaddr_in sa;
    struct hostent *hp;
    //hostnet initialization
    gethostname(myname, MAXHOSTNAME);
    hp = gethostbyname(myname);
    if (hp == NULL)
        return(-1);
    //sockaddr_in initialization
    memset(&sa, 0, sizeof(struct sockaddr_in));
    sa.sin_family = hp->h_addrtype;
    /* this is our host address */
    memcpy(&sa.sin_addr, hp->h_addr, hp->h_length);
    /* this is our port number */
    sa.sin_port= htons(portnum);
```

## Connection establishment (2)

This example demonstrates how we practically use the first three steps.

...

```
/* create socket */
if ((s= socket(AF_INET, SOCK_STREAM, 0)) <
    0)
    return(-1);

if (bind(s , (struct sockaddr *)&sa , sizeof(struct
sockaddr_in)) < 0) {
    close(s);
    return(-1);
}

listen(s, 3); /* max # of queued connects */
return(s);
}
```

# Fourth Step – Waiting for Calls

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- After creating a socket to get calls, you must wait for calls to that socket using the **accept()** function.
- Calling `accept()` is analogous to **picking up the telephone** if it's ringing.
- `Accept()` **returns a new socket** which is connected to the caller.
- *`int accept(int sockfd, struct sockaddr *cli_addr, socklen_t *cli_addrlen)`*

## Accept connections

wait for a connection to occur on a socket created with `establish()`

```
int get_connection(int s) {  
    int t; /* socket of connection */  
  
    if ((t = accept(s, NULL, NULL)) < 0)  
        return -1;  
    return t;  
}
```



# The Client

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- You now know how to create a socket that will accept incoming calls. How do you call it?
- As with the telephone, you must first have the phone before using it to call. You use the `socket()` function to do this.
- After getting a socket and giving it an address, you use the `connect()` function to try to connect to a listening socket.
- *`int connect(int sockfd, const struct sockaddr *serv_addr, socklen_t addrlen);`*

## Connect to a socket (1)

First part, init the address

```
int call_socket(char *hostname, unsigned short
                portnum) {

    struct sockaddr_in sa;
    struct hostent *hp;
    int s;

    if ((hp= gethostbyname (hostname)) == NULL)
    {
        return(-1);
    }

    memset(&sa,0,sizeof(sa));
    memcpy((char *)&sa.sin_addr , hp->h_addr ,
           hp->h_length);
    sa.sin_family = hp->h_addrtype;
    sa.sin_port = htons((u_short)portnum);

    ....
}
```

## Connect to a socket (2)

Second part,  
connect to the  
server

```
....  
  
if ((s = socket(hp->h_addrtype,  
                SOCK_STREAM,o)) < 0) {  
    return(-1);  
}  
  
if (connect(s, (struct sockaddr *)&sa , sizeof(sa))  
    < 0) {  
    close(s);  
    return(-1);  
}  
  
return(s);  
}
```

# Sending and Reading Data

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- Now that you have a connection between sockets you want to send data between them.
- The `read()` and `write()` functions are used to do this, just as they are for normal files.
- You **don't** get back the same number of characters that you asked for, so you must loop until you have read the number of characters that you want.

## Read Data code

```
int read_data(int s, char *buf, int n) {
    int bcount;    /* counts bytes read */
    int br;        /* bytes read this pass */
    bcount= 0; br= 0;

    while (bcount < n) { /* loop until full buffer */
        br = read(s, buf, n-bcount)
        if ((br > 0) {
            bcount += br;
            buf += br;
        }
        if (br < 1) {
            return(-1);
        }
    }
    return(bcount);
}
```

# Server has multiple FD

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- The server may have multiple FD (sockets)
  - One (or more) that listens to new connections.
  - FDs that created by **accept()** and are getting their service currently.
- To handle several FD, the server may
  1. Use multiple threads
    1. A thread per socket.
    2. Threads pool
  2. Use Select().

# select

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```
int select (int nfd, fd_set *read-fds, fd_set *write-fds,  
            fd_set *except-fds, struct timeval *timeout)
```

- **Description:**

- Blocks the calling process until there is activity on any of the specified sets of file descriptors, or until the timeout period has expired.
- The file descriptors specified by the read-fds, write-fds and except-fds are checked to see if they are ready for reading, writing and checked for exceptional conditions.
- A null pointer passed to ignore checking in this type.
- A file descriptor is considered ready for reading if a read call will not block

# select

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```
int select (int nfd, fd_set *read-fds, fd_set *write-fds,  
            fd_set *except-fds, struct timeval *timeout)
```

- **Return value:**

if select succeeds, it returns the number of ready socket descriptions. select returns 0 if the time limit expires before any socket is selected. If there is an error, select returns -1.



# select

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```
int select (int nfds, fd_set *read-fds, fd_set *write-fds,  
            fd_set *except-fds, struct timeval *timeout)
```

- **Arguments :**

- ***nfds*** –the maximal fd number to check plus 1.
- **readfds** – specifies the file descriptors to be checked for being ready to read.
- **writefds** – specifies the file descriptors to be checked for being ready to write.
- **exceptfds** – specifies the file descriptors to be checked for error conditions pending.
- **timeout** – controls how long the select() function shall take before timing out.

# fd\_set Manipulations

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- **fd\_set** - Represent a set of file descriptors.
- **FD\_ZERO**(fd\_set \**fdset*);
  - Initializes the file descriptor set *fdset* to have zero bits for all file descriptors
- **FD\_CLR**(int *fd*, fd\_set \**fdset*);
  - Clears the bit for the file descriptor *fd* in the file descriptor set *fdset*.
- **FD\_SET**(int *fd*, fd\_set \**fdset*);
  - Sets the bit for the file descriptor *fd* in the file descriptor set *fdset*.
- **FD\_ISSET**(int *fd*, fd\_set \**fdset*);
  - Returns a non-zero value if the bit for the file descriptor *fd* is set in the file descriptor set pointed to by *fdset*, and 0 otherwise.

## Select flow

### Example

```
MAX_CLIENTS = 30;
```

```
fd_set clientsfds;
```

```
fd_set readfds;
```

```
FD_ZERO(&clientsfds);
```

```
FD_SET(serverSockfd, &clientsfds);
```

```
FD_SET(STDIN_FILENO, &clientsfds);
```

```
While (stillRunning) {
```

```
    readfds = clientsfds;
```

```
    if (select(MAX_CLIENTS+1, &readfds, NULL,  
              NULL, NULL) < 0) {
```

```
        terminateServer();
```

```
        return -1;
```

```
    }
```

```
....
```

## Select flow

### Example

....

```
if (FD_ISSET(serverSockfd, &readfds)) {  
    //will also add the client to the clientsfds  
    connectNewClient();  
}
```

```
if (FD_ISSET(STDIN_FILENO, &readfds)) {  
    serverStdInput();  
}
```

```
else {  
    //will check each client if it's in readfds  
    //and then receive a message from him  
    handleClientRequest();  
}
```

# Summary Stream Socket

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## Server Side

1. `socket()` ;
2. `bind()` ;
3. `listen()` ;
4. `accept()` ;
5. `read()/write()` ;

## Client Side

1. `socket()` ;
2. `connect()` ;
3. `read()/write()` ;

# Socket programming with UDP

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- There are many references on the web.
- A simple and a good one:  
[www.abc.se/~m6695/udp.html](http://www.abc.se/~m6695/udp.html)