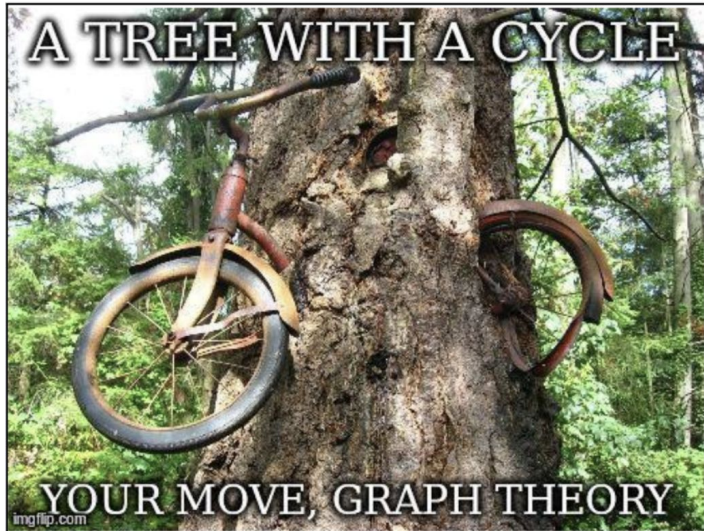


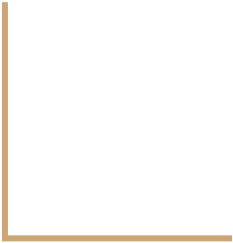
# CS 1332R

## WEEK 14



Dijkstra's  
Minimum Spanning Tree  
Prim's  
Kruskal's

# *ANNOUNCEMENTS*



# REFRESHER: Graphs

- ❑ We define a graph (**G**) by its **vertices** and **edges**.
- ❑ We define an edge (**e**) by the two vertices it connects (**u, v**) and its weight (**w**).

**V** = the set of vertices

**E** = the set of edges

**|V|** = the # of vertices

**|E|** = the # of edges

## RELEVANT TERMINOLOGY

**ORDER(G)** =  $|V|$

**SIZE(G)** =  $|E|$

**Indegree(v)** = # of edges going into a vertex

**Outdegree(v)** = # of edges going out of a vertex

**Adjacent** = describes two vertices that are connected by an edge

**Connected Graph** = every vertex has a path to every other vertex

**Unconnected Graph** = not all vertices have a path to every other vertex

**Directed Graph** = edges have a direction, they are distinct ordered pairs

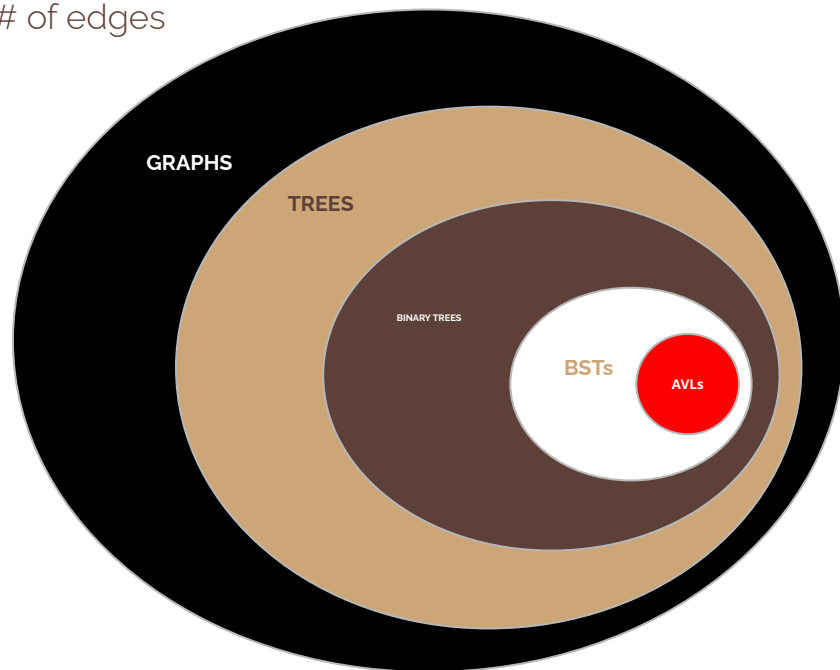
**Undirected Graph** = edges do not have a direction,  $e(u, v)$  is the same as  $e(v, u)$

**Subgraph** = a graph  $G'$  such that  $V'$  is a subset of  $V$  and  $E'$  is a subset of  $E$

**Cycle** = a path with the same start and end vertex

**Acyclic Graph** = a graph containing no cycles

**Tree** = a minimally connected, acyclic graph



# REFRESHER: Graphs

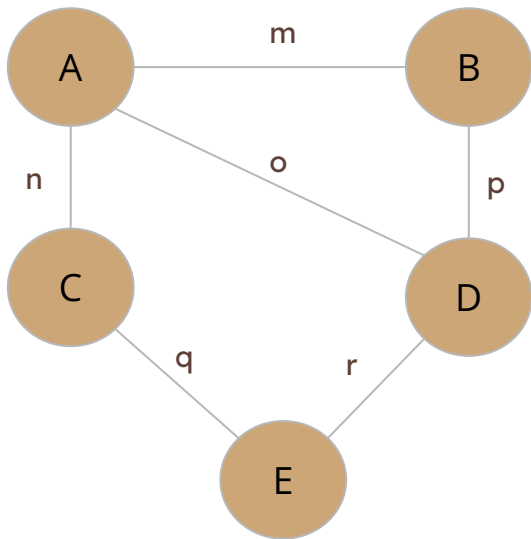
- ❑ We define a graph (**G**) by its **vertices** and **edges**.
- ❑ We define an edge (**e**) by the two vertices it connects (**u, v**) and its weight (**w**).

**V** = the set of vertices

**|V|** = the # of vertices

**E** = the set of edges

**|E|** = the # of edges



## HOW WE REPRESENT A GRAPH

1. Adjacency Matrix
  - a.  $|V| \times |V|$  2D array
  - b. Space:  $O(|V|^2)$
  - c.  $\text{Matrix}[v1, v2] = \text{edge}(v1, v2, w)$
2. Adjacency List (**used in your homework**)
  - a. Map of each vertex to its incident edges
  - b. Space:  $O(|V| + |E|)$
  - c. ***In your homework, we map each vertex to a list of VertexDistance objects. The VertexDistance contains an adjacent vertex and the distance to that adjacent vertex, a.k.a the weight of the edge connecting the two vertices.***
3. Edge Set (**used in your homework**)
  - a. A set of all edges in the graph
  - b. Space:  $O(|E|)$

# Dijkstra's Shortest Path Algorithm

- ❏ **PURPOSE:** Finding the shortest path between a start vertex and all other vertices in a graph with non-negative edge weights.

**INTUITION:** Dijkstra's is BFS generalized for a weighted graph.

## STRUCTURES WE NEED

- **PriorityQueue<VertexDistance<T>>** : a priority queue of *VertexDistance* objects ordered by the distance, which is the cumulative distance from the start vertex to the vertex
- **Set<Vertex<T>>** : a **visited set** containing vertices we have found the shortest path to
- **Map<Vertex<T>, Integer>** : a **distance map** of each vertex in the graph to its shortest distance to the start vertex

← This is our final solution.

# Dijkstra's: Implementation

## STRUCTURES

- **PriorityQueue<VertexDistance<T>>** : a priority queue of *VertexDistance* objects ordered by the distance, which is the cumulative distance from the start vertex to the vertex
- **Set<Vertex<T>>** : a **visited set** containing vertices we have found the shortest path to
- **Map<Vertex<T>, Integer>** : a **distance map** of each vertex in the graph to its shortest distance to the start vertex

## ALGORITHM

```
initialize s as start vertex
initialize pq, visitedSet, distanceMap
for all v in G, initialize distanceMap to +inf
pq.enqueue((s, 0))
while pq is not empty and visitedSet is not full:
    (u, d1) = pq.dequeue()
    if u is not in visitedSet:
        visitedSet.add(u)
        distanceMap.put(u, d1)
        for all (w, d2) adjacent to u and w not in visitedSet:
            pq.enqueue((w, d1 + d2))
```

2 termination conditions

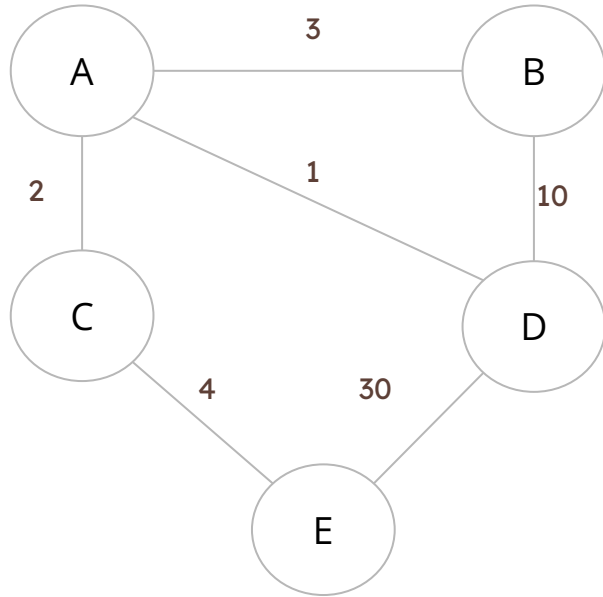
a vertex is considered visited once it has been dequeued from pq - GREEDY ALGORITHM

VertexDistance objects hold the cumulative distance to w

How do we get all VertexDistance objects adjacent to u?

`graph.getAdjList(u)`

# Dijkstra's: Practice



## DIAGRAMMING SETUP

### DISTANCE MAP

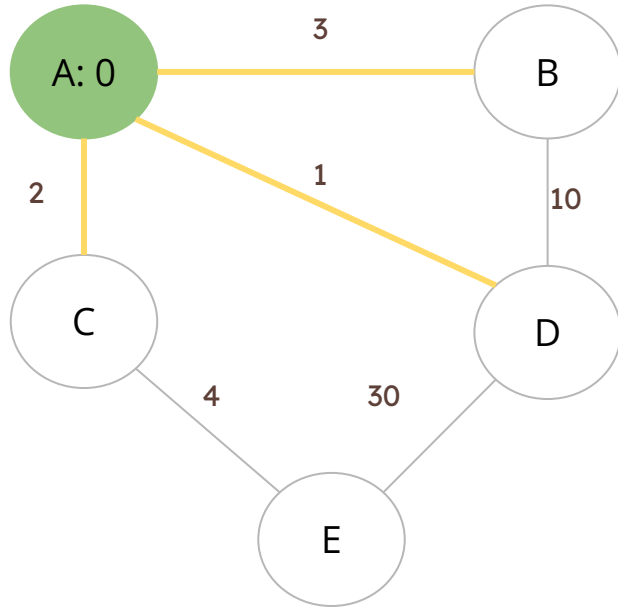
A	inf
B	inf
C	inf
D	inf
E	inf

### PRIORITY QUEUE

(A, 0)

### VISITED SET

# Dijkstra's: Practice



## DIAGRAMMING SETUP

### DISTANCE MAP

A	0
B	inf
C	inf
D	inf
E	inf

### VISITED SET

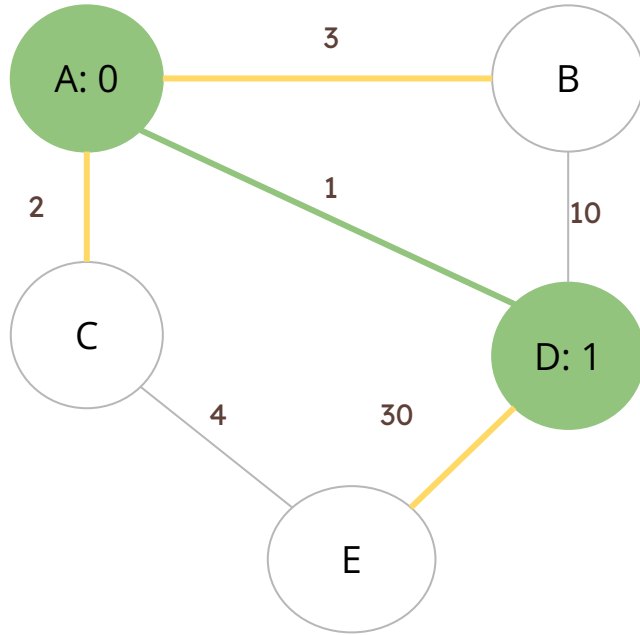
A

### PRIORITY QUEUE

~~(A, 0)~~  
(B, 3)  
(C, 2)  
(D, 1)



# Dijkstra's: Practice



## DIAGRAMMING SETUP

### DISTANCE MAP

A	0
B	inf
C	inf
D	1
E	inf

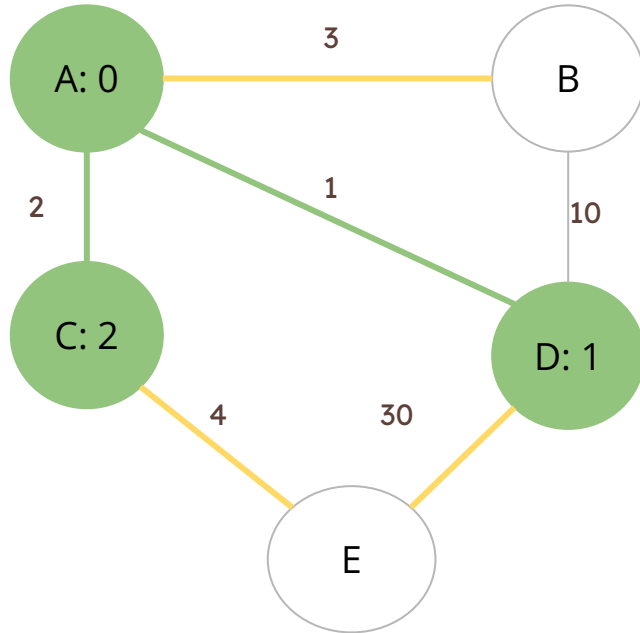
### VISITED SET

A  
D

### PRIORITY QUEUE

~~(A, 0)~~  
(B, 3)  
(C, 2)  
~~(D, 1)~~  
(B, 11)  
(E, 31)

# Dijkstra's: Practice



## DIAGRAMMING SETUP

### DISTANCE MAP

A	0
B	inf
C	2
D	1
E	inf

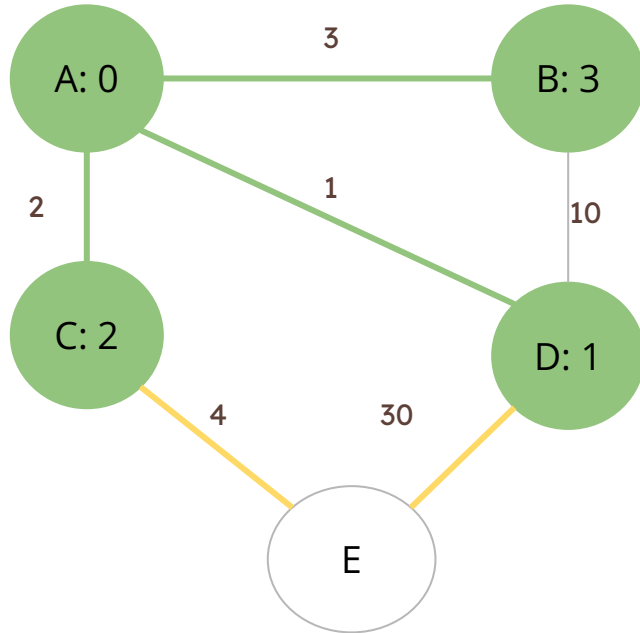
### PRIORITY QUEUE

~~(A, 0)~~  
(B, 3)  
~~(C, 2)~~  
~~(D, 1)~~  
(B, 11)  
(E, 31)  
(E, 6)

### VISITED SET

A  
D  
C

# Dijkstra's: Practice



## DIAGRAMMING SETUP

### DISTANCE MAP

A	0
B	3
C	2
D	1
E	inf

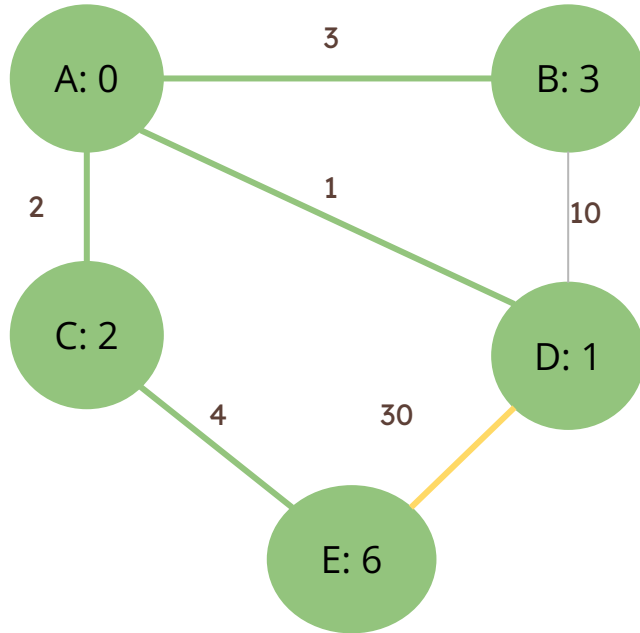
### PRIORITY QUEUE

~~{A, 0}~~  
~~{B, 3}~~  
~~{C, 2}~~  
~~{D, 1}~~  
(B, 11)  
(E, 31)  
(E, 6)

### VISITED SET

A  
D  
C  
B

# Dijkstra's: Practice



## DIAGRAMMING SETUP

### DISTANCE MAP

A	0
B	3
C	2
D	1
E	6

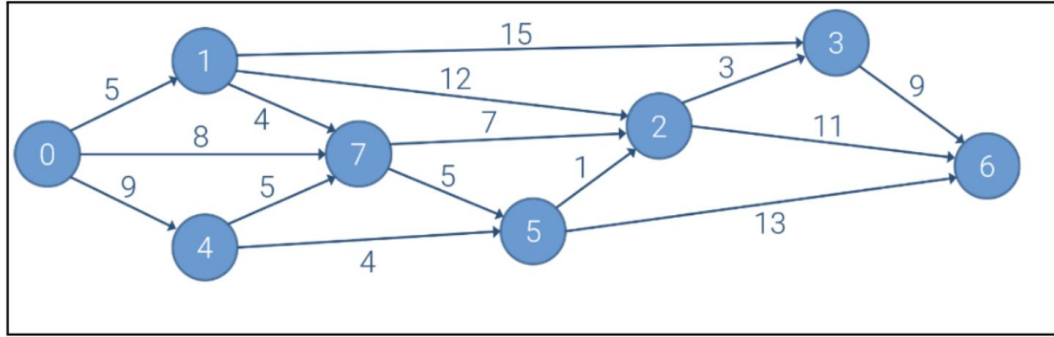
### PRIORITY QUEUE

~~{A, 0}~~  
~~{B, 3}~~  
~~{C, 2}~~  
~~{D, 1}~~  
(B, 11)  
(E, 31)  
~~{E, 6}~~

### VISITED SET

A  
D  
C  
B  
E

# Dijkstra's: Practice



Vertex	Distance
0	0
1	5
2	14
3	17
4	9
5	13
6	25
7	8

## DIAGRAMMING SETUP

### DISTANCE MAP

0	inf
1	inf
2	inf
3	inf
4	inf
5	inf
6	inf
7	inf

### PRIORITY QUEUE

(0, 0)

### VISITED SET

# Dijkstra's: Efficiencies

## STRUCTURES

- **PriorityQueue<VertexDistance<T>>** : a *priority queue of VertexDistance objects ordered by the distance, which is the cumulative distance from the start vertex to the vertex*
- **Set<Vertex<T>>** : a **visited set** containing vertices we have found the shortest path to
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## ALGORITHM

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while pq is not empty and visitedSet is not full:
    (u, d1) = pq.dequeue()
    if u is not in visitedSet:
        visitedSet.add(u)
        distanceMap.put(u, d1)
        for all (w, d2) adjacent to u and w not in visitedSet:
            pq.enqueue((w, d1 + d2))
```

- ❑ **Time:**  $O(|E|\log|E|)$  – removing from the priority queue  $|E|$  times
- ❑ **Space:**  $O(|E|)$  – priority queue could contain all edges, we are guaranteed to not “reuse” edges

# Minimum Spanning Trees (MST)

## MORE TERMINOLOGY

**Subgraph** = a graph  $G'(V', E')$  is a subgraph of  $G(V, E)$  if  $V'$  and  $E'$  are subsets of  $V$  and  $E$

**Connected Graph** = every vertex can reach every other vertex

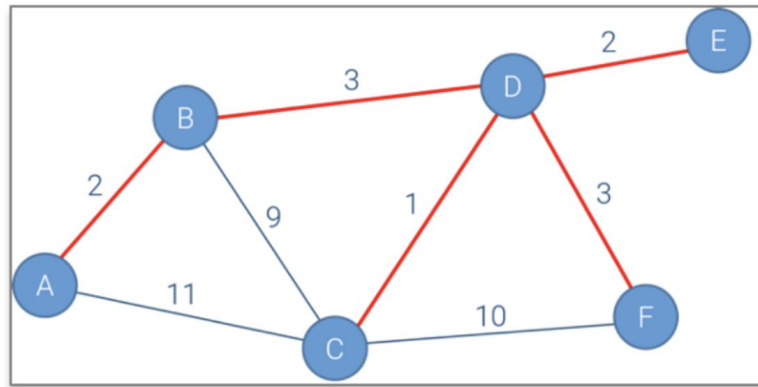
**Tree** = a connected, acyclic graph

**Spanning Tree** = a subgraph of an undirected graph containing all vertices and edges

**Minimum Spanning Tree** = a spanning tree of minimum edge weight - NOT UNIQUE

Can a disconnected graph have a spanning tree?

NO



$$|V| = 6$$

$$|E| = 8$$

How many undirected edges does the MST have?

An MST always has  $|V| - 1$  undirected edges and  $2 * (|V| - 1)$  directed edges.

# Prim's

- ❏ **PURPOSE:** Finding the minimum spanning tree of an undirected graph given a starting vertex.

INTUITION: Prim's is Dijkstra's with a priority queue of edges.

## STRUCTURES WE NEED

- **PriorityQueue<Edge<T>>** : a priority queue of edges in the graph
- **Set<Vertex<T>>** : a **visited set** containing vertices already added to the minimum spanning tree
- **Set<Edge<T>>** : an **edge set** representing our minimum spanning tree

← This is our final solution.



# Prim's: Implementation

## STRUCTURES

- **PriorityQueue<Edge<T>>** : a priority queue of edges in the graph
- **Set<Vertex<T>>** : a **visited set** containing vertices already added to the minimum spanning tree
- **Set<Edge<T>>** : an **edge set** representing our minimum spanning tree

## ALGORITHM

```
initialize s as start vertex
initialize pq, visitedSet, mst
visitedSet.add(s)
for all e(s, v) in G:
    pq.enqueue(e(s,v))
while pq is not empty and visitedSet and mst is not full:
    e(u, w) = pq.dequeue()
    if w is not in visitedSet:
        visitedSet.add(w)
        mst.add(e(u, w))
        for all e(w, x) and x not in visitedSet:
            pq.enqueue(e(w, x))
```

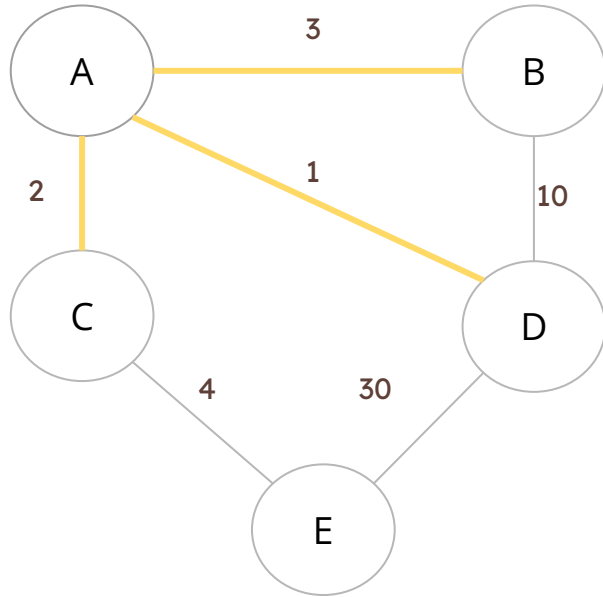
3 termination conditions

a vertex is considered visited once it has been dequeued from pq - GREEDY ALGORITHM

How do we know that the MST is valid after we exit the while loop?

If the size of the MST is equal to  $|V| - 1$  or  $2 * (|V| - 1)$  for undirected edges

## Prim's: Practice



### DIAGRAMMING SETUP

PRIORITY QUEUE

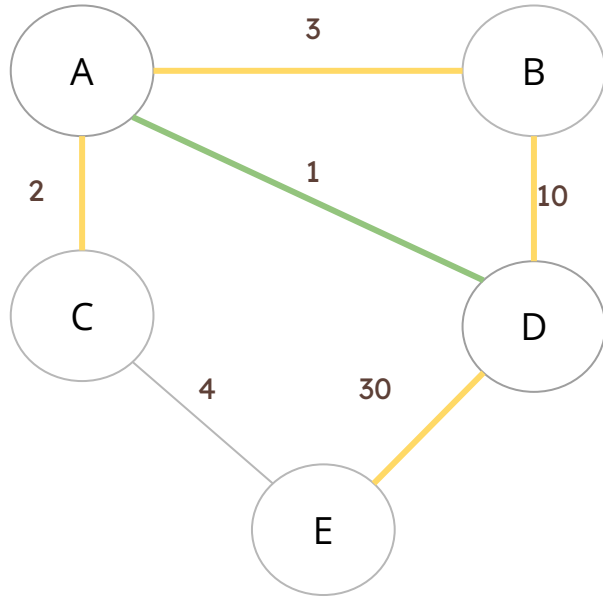
(A, B, 3)  
(A, C, 2)  
(A, D, 1)

VISITED SET

A

EDGE SET

# Prim's: Practice



## DIAGRAMMING SETUP

### PRIORITY QUEUE

(A, B, 3)  
(A, C, 2)  
~~(A, D, 1)~~  
(D, B, 10)  
(D, E, 30)

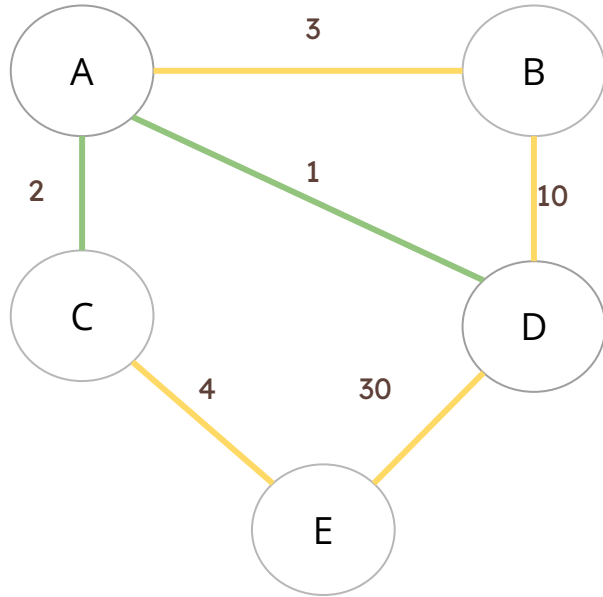
### VISITED SET

A  
D

### EDGE SET

(A, D, 1)

# Prim's: Practice



## DIAGRAMMING SETUP

### PRIORITY QUEUE

(A, B, 3)  
~~(A, C, 2)~~  
~~(A, D, 1)~~  
(D, B, 10)  
(D, E, 30)  
(C, E, 4)

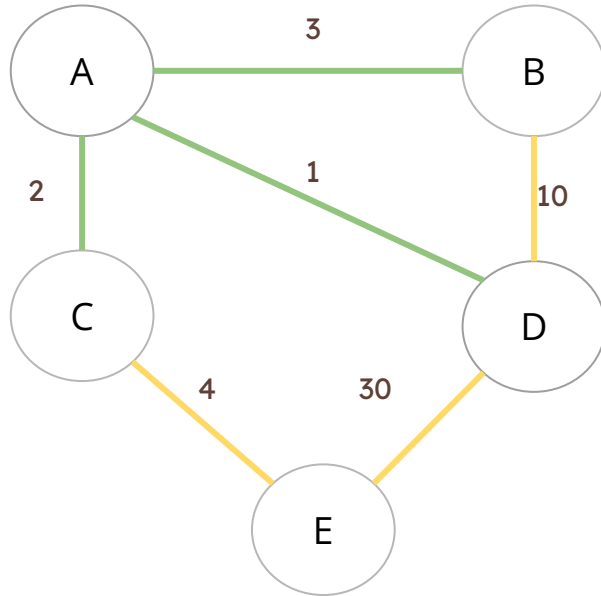
### VISITED SET

A  
D  
C

### EDGE SET

(A, D, 1)  
(A, C, 2)

# Prim's: Practice



## DIAGRAMMING SETUP

### PRIORITY QUEUE

~~(A, B, 3)~~  
~~(A, C, 2)~~  
~~(A, D, 1)~~  
(D, B, 10)  
(D, E, 30)  
(C, E, 4)

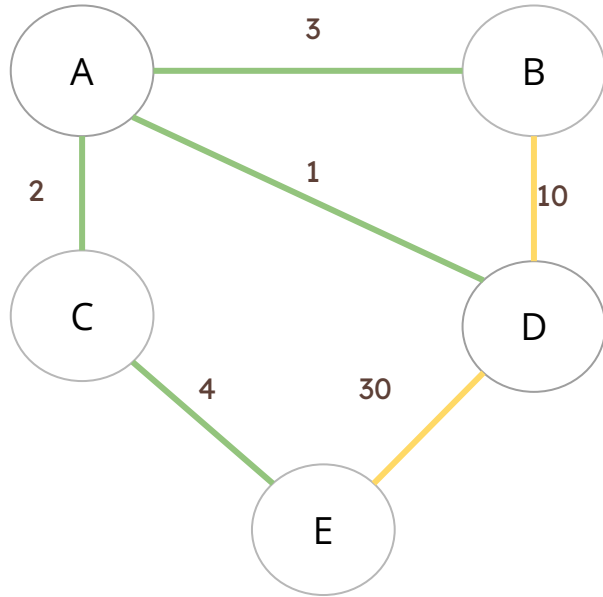
### VISITED SET

A  
D  
C  
B

### EDGE SET

(A, D, 1)  
(A, C, 2)  
(A, B, 3)

# Prim's: Practice



## DIAGRAMMING SETUP

### PRIORITY QUEUE

~~(A, B, 3)~~  
~~(A, C, 2)~~  
~~(A, D, 1)~~  
(D, B, 10)  
(D, E, 30)  
~~(C, E, 4)~~

### VISITED SET

A  
D  
C  
B  
E

### EDGE SET

(A, D, 1)  
(A, C, 2)  
(A, B, 3)  
(C, E, 4)

# Prim's: Efficiencies

## STRUCTURES

- **PriorityQueue<Edge<T>>** : a priority queue of edges in the graph
- **Set<Vertex<T>>** : a **visited set** containing vertices already added to the minimum spanning tree
- **Set<Edge<T>>** : an **edge set** representing our minimum spanning tree

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```
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    e(u, w) = pq.dequeue()
    if w is not in visitedSet:
        visitedSet.add(w)
        mst.add(e(u, w))
        for all e(w, x) and x not in visitedSet:
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```

- ❑ **Time:**  $O(|E|\log|E|)$  – removing from the priority queue  $|E|$  times
- ❑ **Space:**  $O(|E|)$  – priority queue could contain all edges, we are guaranteed to not “reuse” edges

## Kruskal's

- ❑ **PURPOSE:** Finding the minimum spanning tree of an undirected graph.

INTUITION: Dequeueing from a Priority Queue of edges.

### STRUCTURES WE NEED

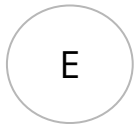
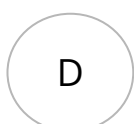
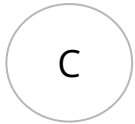
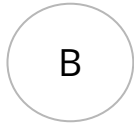
- **PriorityQueue<Edge<T>>** : a priority queue of all edges in the graph
- **DisjointSet<Vertex<T>>**: a **disjoint set** containing vertices already added to the minimum spanning tree
- **Set<Edge<T>>** : an **edge set** representing our minimum spanning tree

← This is our final solution.



## Disjoint Set ADT

- ❑ In Kruskal's, using a visited set of vertices does not work. The disjoint set tells us if there is already an edge connecting two vertices in the minimum spanning tree. All operations are  $O(1)$ .
- ❑ Keeps tracks of vertices that are already connected, visualized as “clusters”



*\*A constructor taking in a set of vertices\**

$\rightarrow \{\{A\}, \{B\}, \{C\}, \{D\}, \{E\}\}$

`Vertex<T> find(Vertex<T> v)`

`void union(Vertex<T> v1, Vertex<T> v2)`

*\*Don't worry about the implementation, just know how to use it.\**

# Kruskal's: Implementation

## STRUCTURES

- **PriorityQueue<Edge<T>>** : a priority queue of all edges in the graph
- **DisjointSet<Vertex<T>>** : a **disjoint set** containing vertices already added to the minimum spanning tree
- **Set<Edge<T>>** : an **edge set** representing our minimum spanning tree

## ALGORITHM

```
initialize disjointSet, mst
initialize pq of all edges in G
while pq is not empty and mst is not full:
```

```
    e(u, w) = pq.dequeue()
    if u and w are not in the same cluster:
        mst.add(e(u, w))
        disjointSet.union(u, w)
```

an edge is considered visited once it has been dequeued from pq - GREEDY ALGORITHM

```
if mst is not full:
    return null (the graph is disconnected and does not have a valid mst)

return mst
```

Final Step Missing?

# Kruskal's: Practice

A

B

C

D

E

## DIAGRAMMING SETUP

### PRIORITY QUEUE

(A, D, 1)

(A, C, 2)

(A, B, 3)

(C, E, 4)

(B, D, 10)

(D, E, 30)

### DISJOINT SET

{A}

{B}

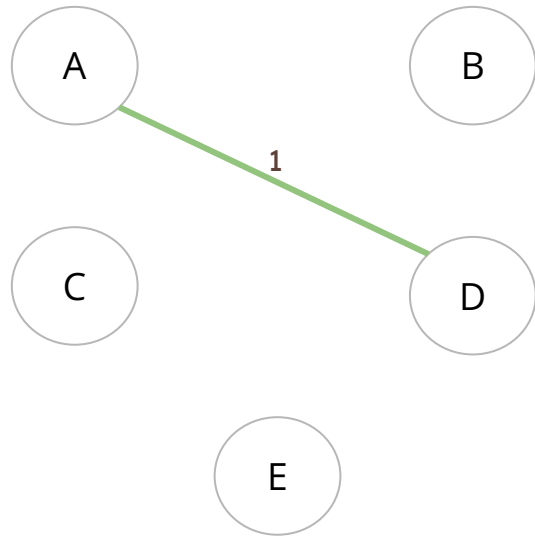
{C}

{D}

{E}

### EDGE SET

# Kruskal's: Practice



## DIAGRAMMING SETUP

### PRIORITY QUEUE

~~(A, D, 1)~~  
(A, C, 2)  
(A, B, 3)  
(C, E, 4)  
(B, D, 10)  
(D, E, 30)

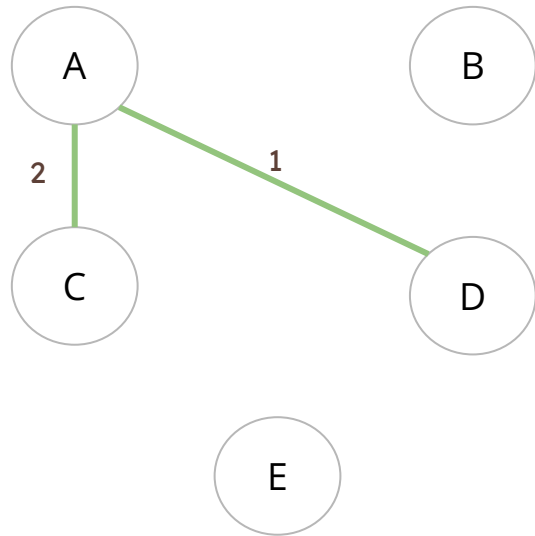
### DISJOINT SET

{A, D}  
  
{B}  
  
{C}  
  
{E}

### EDGE SET

(A, D, 1)

# Kruskal's: Practice



## DIAGRAMMING SETUP

### PRIORITY QUEUE

~~(A, D, 1)~~  
~~(A, C, 2)~~  
(A, B, 3)  
(C, E, 4)  
(B, D, 10)  
(D, E, 30)

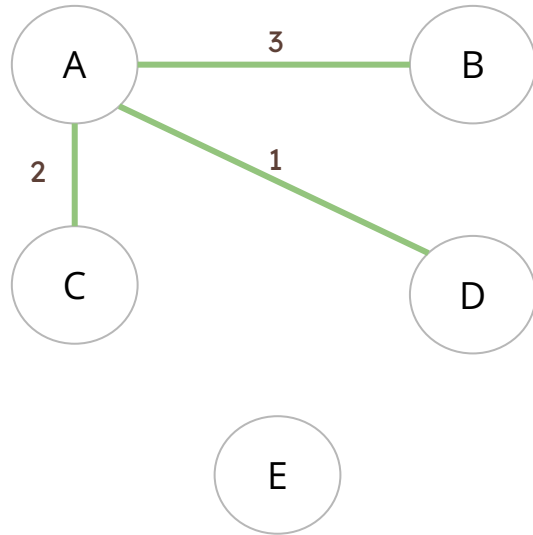
### DISJOINT SET

{A, D, C}  
  
{B}  
  
{E}

### EDGE SET

(A, D, 1)  
(A, C, 2)

# Kruskal's: Practice



## DIAGRAMMING SETUP

### PRIORITY QUEUE

~~(A, D, 1)~~  
~~(A, C, 2)~~  
~~(A, B, 3)~~  
(C, E, 4)  
(B, D, 10)  
(D, E, 30)

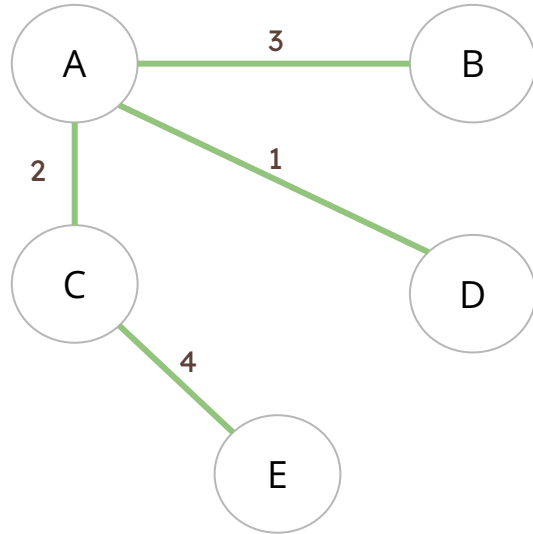
### DISJOINT SET

{A, D, C, B}  
  
{E}

### EDGE SET

(A, D, 1)  
(A, C, 2)  
(A, B, 3)

# Kruskal's: Practice



## DIAGRAMMING SETUP

### PRIORITY QUEUE

~~(A, D, 1)~~  
~~(A, C, 2)~~  
~~(A, B, 3)~~  
~~(C, E, 4)~~  
(B, D, 10)  
(D, E, 30)

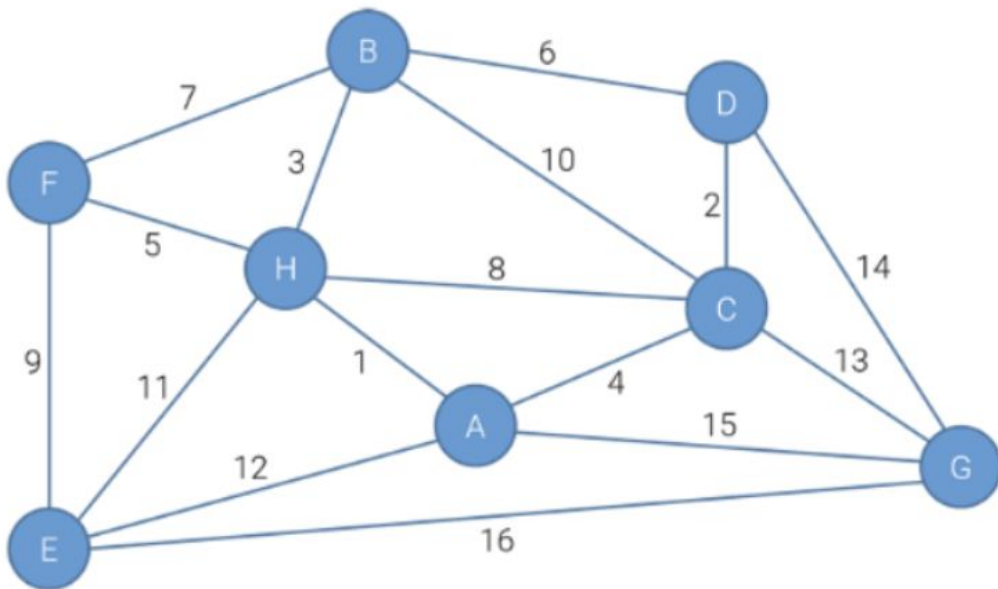
### DISJOINT SET

{A, D, C, B, E}

### EDGE SET

(A, D, 1)  
(A, C, 2)  
(A, B, 3)  
(C, E, 4)

## Kruskal's: Practice



## DIAGRAMMING SETUP

## PRIORITY QUEUE

## DISJOINT SET

## EDGE SET

{A}

{B}

{C}

{D}

{E}

{F}

**{G}**

{H}

(A, H, 1)  
(C, D, 2)  
(H, B, 3)  
(A, C, 4)  
(H, F, 5)  
(F, E, 9)  
(C, G, 13)



# Kruskal's: Efficiencies

## STRUCTURES

- **PriorityQueue<Edge<T>>** : a priority queue of all edges in the graph
- **DisjointSet<Vertex<T>>** : a **disjoint set** containing vertices already added to the minimum spanning tree
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        mst.add(e(u, w))
        disjointSet.union(u, w)
```

an edge is considered visited once it has been dequeued from pq - GREEDY ALGORITHM

❑ **Time:**  $O(|E|\log|E|)$  (+  $O(|E|)$ ) – removing from the priority queue  $|E|$  times + buildHeap

❑ **Space:**  $O(|E|)$  – priority queue

## *LEETCODE PROBLEMS*

1584. Minimum Cost to Connect All Points

1091. Shortest Path In A Binary Matrix

2642. Design Graph with Shortest Path Calculator



# Any questions?

**Name**  
**Office Hours**  
**Contact**

**Name**  
**Office Hours**  
**Contact**



*Let us know if there is anything specific you want out of  
recitation!*