

# Complexity Theory 236313 - Homework Assignment #4

Due January 26, 2023. Submit a single PDF file to the course site

January 10, 2023

**Question 1.** Let AO be the *And-Or* function defined on inputs  $x$  of length  $n = 2^k$ :

$$\text{AO}(x) = \begin{cases} \text{AO}(x_1 \dots x_{\frac{n}{2}}) \wedge \text{AO}(x_{\frac{n}{2}+1} \dots x_n) & k \text{ is even} \\ \text{AO}(x_1 \dots x_{\frac{n}{2}}) \vee \text{AO}(x_{\frac{n}{2}+1} \dots x_n) & k \text{ is odd} \end{cases}.$$

Prove that  $\mathcal{D}(\text{AO}) = n$ , where  $\mathcal{D}$  denotes decision tree complexity.

**Question 2.** Let  $\text{BP} \cdot \text{NP} = \{L \mid L \leq_r^{\text{BPP}} 3\text{SAT}\}$ . Let MA be the class of all languages for which there exists an interactive proof system  $(V, P)$  that satisfies the following properties:

- $V$  is a polytime probabilistic verifier,  $P$  is an unbounded prover.
- Given input  $x$ ,  $P$  sends a single message to  $V$ , who then does some computation and decides whether to accept or reject  $x$ . Neither  $V$  nor  $P$  sends additional messages.
- If  $x \in L$ ,  $V$  accepts with probability  $\geq \frac{2}{3}$ .
- If  $x \notin L$ ,  $V$  accepts with probability  $\leq \frac{1}{3}$ .

1. Prove that  $\text{AM}[2] = \text{BP} \cdot \text{NP}$ .

2. Prove that  $\text{MA} \subseteq \Sigma_2^P$ .

**Question 3.** Let  $\text{IP}(a, b)$  be the class of languages for which there exists an interactive proof system  $(V, P)$  that satisfies:

- If  $x \in L$ ,  $V$  accepts with probability  $> a$ .
- If  $x \notin L$ ,  $V$  accepts with probability  $\leq b$ .

Prove that  $\text{IP}(\frac{1}{2}, \frac{1}{2}) = \text{IP}$ .

**Remark:** we claimed in the lectures that  $\text{IP}(\frac{2}{3}, 0) = \text{NP}$ .

**Question 4.** A *multiprover proof system* for a language  $L$  is defined in a similar fashion to the standard single-prover interactive proof systems we defined in class: the verifier  $V$  is a probabilistic Turing machine, and there are  $k$  deterministic computationally unbounded Turing machines for the provers ( $k \geq 2$  is fixed). Each prover has a separate communication channel with the verifier, and the provers cannot send each other messages. When the protocol begins, all parties receive the input  $x$ . In each step, the verifier can choose to send a message to some prover, and when he does only that prover receives the message. When the prover responds, only the verifier receives the response. The proof system should satisfy the following requirements:

- Completeness: for all  $x \in L$ , if the verifier interacts with the “right” provers,  $V$  always accepts.
- Soundness: for all  $x \notin L$  and for any  $k$  provers, the verifier accepts with probability at most  $1 - \frac{3}{4p(|x|)}$  for some polynomial  $p(\cdot)$ .

Let  $\text{MIP}$  denote the class of languages for which there exists a multiprover proof system.

A *probabilistic oracle protocol* for a language  $L$  is an oracle Turing machine  $M$  that satisfies the following requirements:

- Completeness: for all  $x \in L$ , there exists an oracle  $A_x$  for which  $\Pr_r [M^{A_x}(x, r) = \text{acc}] = 1$ .
- Soundness: for all  $x \notin L$  and for any oracle  $A$ ,  $\Pr_r [M^A(x, r) = \text{acc}] < \frac{1}{4}$ .

Let  $\text{POP}$  denote the class of languages for which there exists a probabilistic oracle protocol.

In this question, we shall prove one side of the equality  $\text{MIP} = \text{NEXP}$ , where  $\text{NEXP} = \bigcup_{c>0} \text{NTIME}(2^{n^c})$ . This is another important theorem similar to  $\text{IP} = \text{PSPACE}$ .

1. Prove that  $\text{MIP} \subseteq \text{POP}$ .
2. We shall now prove that  $\text{POP} \subseteq \text{MIP}$ . Given a probabilistic oracle protocol  $M$  for a language  $L$ , we suggest the following (single-prover) proof system: the verifier simulates  $M^A(x)$ , and on every oracle question the verifier queries the prover for the answer.
  - (a) Explain why this proof system fails (shortly).
  - (b) Add another prover to the suggested proof system to satisfy the completeness and soundness criteria. Prove your answer.
3. Prove that  $\text{MIP} \subseteq \text{NEXP}$ .

**Question 5.** Let  $\text{MAX-3SAT}_3$  be a variant of  $\text{MAX-3SAT}$  where each variable appears in the formula at most 3 times. It can be shown that there exists a *gap-preserving reduction* from  $\text{MAX-3SAT}$  to  $\text{MAX-3SAT}_3$ ; i.e., there exists some  $\varepsilon' > 0$  such that given a 3CNF formula  $\varphi$  we can construct a 3CNF<sub>3</sub> formula  $\varphi'$  such that:

$$\begin{aligned} \text{MAX-3SAT}(\varphi) = 1 &\implies \text{MAX-3SAT}_3(\varphi') = 1, \\ \text{MAX-3SAT}(\varphi) < \frac{1}{1+\varepsilon} &\implies \text{MAX-3SAT}_3(\varphi') < \frac{1}{1+\varepsilon'}. \end{aligned}$$

Let  $\text{IS}_4$  be a variant of the independent set problem where all vertex degrees are at most 4.

1. Show a gap-preserving reduction from  $\text{MAX-3SAT}_3$  to  $\text{IS}_4$ , i.e., prove that if  $\text{IS}_4$  has an  $r$ -approximation there exists some  $r'$  such that  $\text{MAX-3SAT}_3$  has an  $r'$ -approximation (where  $r'$  depends only on  $r$ ).
2. Conclude that there exists some constant  $r_1$  such that  $\text{IS}_4$  does not have an  $r_1$ -approximation.
3. Show that  $\text{IS}_4$  has an  $r_2$ -approximation for some constant  $r_2$ .