



U.S. Commodity Futures Trading Commission

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SEC and CFTC Announce Participants for Joint Meetings on Regulation Harmonization

First meeting to take place at the CFTC on September 2, 2009 and the second meeting to take place at the SEC on September 3, 2009

Washington, DC – The Securities and Exchange Commission (SEC) and the Commodity Futures Trading Commission (CFTC) today announced the participants for the two agencies' joint meetings to seek input from the public on harmonization of market regulation. The SEC and the CFTC expect these meetings will provide the agencies valuable insight on any recommendations for changes to their statutes and regulations that would eliminate regulatory differences with respect to similar types of financial instruments.

See attachment for Meeting Agendas and Participants.

The meetings will be open to the public and will be webcast via the internet. In addition, audio of the September 2, 2009, meeting will be available via a listen-only conference call. The record will remain open for public comment until September 14, 2009.

What: SEC/CFTC joint meetings on harmonization of

market regulation

Dates: Wednesday, September 2, 2009, and Thursday,

September 3, 2009

Times: September 2, 9:00 a.m. EDT – 5:00 p.m. EDT and

September 3, 9:00 a.m. EDT – 12:30 p.m. EDT

Media Contacts

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SEC Office of Public Affairs (202) 551-4120

Related Documents

Agenda and List of Participants – Joint Meeting on Harmonization of Regulation

Locations:

September 2nd meeting CFTC Headquarters, Lobby-level

Hearing Room, 1155 21st Street, NW,

Washington, DC.

September 3rd meeting SEC Headquarters, Lower-level

Auditorium, 100 F Street, NE

Viewing/Listening Information:

The CFTC and the SEC will make available the following options to access the meeting:

- Watch a live broadcast of the September 2nd meeting via webcast on <u>www.cftc.gov</u>. Watch a live broadcast of the September 3rd meeting on <u>www.sec.gov</u>.
- 2. Listen to the September 2nd meeting via a toll-free telephone line to connect to a live audio feed.

Call-in participants should be prepared to provide their first name, last name and affiliation. Conference call information is listed below:

Domestic/Canada Toll-Free: (888) 691-4252

International Toll: (404) 537-3379

The conference ID: 27943671

Call leader name: CFTC