

# Complex Analysis

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# **Part I**

## **Basic Theory**

# Chapter I

## Preliminaries to Complex Analysis

### §1 Power series

#### §1.1 Formal Power Series

**Definition 1.1.** A *formal power series* of a neutral letter  $T$  over  $\mathbb{C}$  is an expansion of the form

$$f(T) = \sum_{n=0}^{\infty} a_n T^n$$

where  $a_n \in \mathbb{C}$ .

**Definition 1.2.** Suppose a power series is of the form

$$f = a_r T^r + a_{r+1} T^{r+1} + \dots$$

and  $a_r \neq 0$ . Thus  $r$  is the smallest integer  $n$  such that  $a_n \neq 0$ . Then we call  $r$  the **order** of  $f$ , and write  $r = \text{ord } f$ .

**Proposition 1.3.** Suppose that  $f$  and  $g \in \mathbb{C}[[T]]$ . Then  $\text{ord } fg = \text{ord } f + \text{ord } g$ .

**Corollary 1.4.** A formal power series  $f \in \mathbb{C}[[T]]$  has an inverse iff  $\text{ord } f = 0$ .

**Theorem 1.5.** Given a power series  $\sum_{n=0}^{\infty} a_n z^n$ , there exists  $0 \leq R \leq \infty$  such that:

- (i) If  $|z| < R$  the series converges absolutely.
- (ii) If  $|z| > R$  the series diverges. Moreover,  $R$  is given by Hadamard's formula

$$1/R = \limsup |a_n|^{1/n}$$

The number  $R$  is called the *radius of convergence* of the power series, and the region  $B(0, R)$  the *disc of convergence*.

**Theorem 1.6.** The power series  $\sum_{n=0}^{\infty} a_n z^n$  defines a holomorphic function in its disc of convergence. The derivative of  $f$  is also a power series obtained by differentiating term by term the series for  $f$ ,

that is,

$$\sum_{n=0}^{\infty} n a_n z^{n-1}$$

Moreover,  $f$  has the same radius of convergence as  $f$ .

**Corollary 1.7.** *A power series  $f$  is infinitely complex differentiable in its disc of convergence, and the higher derivatives are also power series obtained by termwise differentiation.*

**Definition 1.8.** *A function  $f$  defined on an open set  $\Omega$  is said to be analytic (or have a power series expansion) at a point  $z_0 \in \Omega$  if there exists a power series  $\sum a_n(z - z_0)^n$  centered at  $z_0$ , with positive radius of convergence  $\delta$ , such that*

$$f(z) = \sum_{n=0}^{\infty} a_n(z - z_0)^n, \quad z \in B(z_0, \delta) \subset \Omega$$

*If  $f$  has a power series expansion at every point in  $\Omega$ , we say that  $f$  is analytic on  $\Omega$ .*

## §2 Differential Functions

The letter  $\Omega$  will from now on denote a plane open set.

**Definition 2.1.** *Suppose  $f$  is a complex function defined in  $\Omega$ . If  $z_0 \in \Omega$  and if*

$$\lim_{z \rightarrow z_0} \frac{f(z) - f(z_0)}{z - z_0}$$

*exists, we denote this limit by  $f'(z_0)$  and call it the **derivative** of  $f$  at  $z_0$ . If  $f'(z_0)$  exists for every  $z_0 \in \Omega$ , we say that  $f$  is **holomorphic** (or analytic) in  $\Omega$ . The class of all holomorphic functions in  $\Omega$  will be denoted by  $H(\Omega)$ .*

**Theorem 2.2.** *If  $f$  is representable by power series in  $\Omega$ , then  $f \in H(\Omega)$  and  $f'$  is also representable by power series in  $\Omega$ . In fact, if*

$$f(z) = \sum_{n=0}^{\infty} c_n(z - a)^n$$

*for  $z \in D(a; r)$ , then for these  $z$  we also have*

$$f'(z) = \sum_{n=1}^{\infty} n c_n(z - a)^{n-1}$$

**Theorem 2.3.** *Suppose  $(X, \mu)$  is a complex (finite) measure space,  $\varphi$  is a complex measurable function on  $X$ ,  $\Omega$  is an open set in the plane which does not intersect  $\varphi(X)$ , and*

$$f(z) = \int_X \frac{d\mu(\zeta)}{\varphi(\zeta) - z} \quad (z \in \Omega)$$

Then  $f$  is representable by power series in  $\Omega$ .

*Proof.* Suppose  $D(a; r) \subset \Omega$ . Since

$$\left| \frac{z - a}{\varphi(\zeta) - a} \right| \leq \frac{|z - a|}{r} < 1$$

for every  $z \in D(a; r)$  and every  $\zeta \in X$ , the geometric series

$$\sum_{n=0}^{\infty} \frac{(z - a)^n}{(\varphi(\zeta) - a)^{n+1}} = \frac{1}{\varphi(\zeta) - z}$$

converges uniformly on  $X$ , for every fixed  $z \in D(a; r)$ . Hence the series (3) may be substituted into (1), and  $f(z)$  may be computed by interchanging summation and integration. It follows that

$$f(z) = \sum_0^{\infty} c_n (z - a)^n \quad (z \in D(a; r))$$

where

$$c_n = \int_X \frac{d\mu(\zeta)}{(\varphi(\zeta) - a)^{n+1}} \quad (n = 0, 1, 2, \dots)$$

Note: The convergence of the series (4) in  $D(a; r)$  is a consequence of the proof. We can also derive it from (5), since (5) shows that

$$|c_n| \leq \frac{|\mu|(X)}{r^{n+1}} \quad (n = 0, 1, 2, \dots).$$

□

### §3 Integration along curve

**Definition 3.1.** Let

### §4 Integration along curve

**Definition 4.1.** Suppose  $f$  is a function on the open set  $\Omega$ . A **primitive** for  $f$  on  $\Omega$  is a function  $F$  that is holomorphic on  $\Omega$  and such that  $F'(z) = f(z)$  for all  $z \in \Omega$ .

**Theorem 4.2.** If a continuous function  $f$  has a primitive  $F$  in  $\Omega$ , and  $\gamma$  is a curve in  $\Omega$  that begins at  $w_1$  and ends at  $w_2$ , then

$$\int_{\gamma} f(z) \, dz = F(w_2) - F(w_1)$$

*Proof:* If  $\gamma$  is smooth, let  $z(t) : [a, b] \rightarrow C$  is a parametrization for  $\gamma$ , then  $z(a) = w_1$  and

$z(b) = w_2$ , and we have

$$\begin{aligned}\int_{\gamma} f(z) \, dz &= \int_a^b f(z(t)) z'(t) \, dt \\ &= \int_a^b F'(z(t)) z'(t) \, dt \\ &= F(z(b)) - F(z(a)) \\ &= F(w_2) - F(w_1)\end{aligned}$$

**Corollary 4.3.** *If  $\gamma$  is a closed curve in an open set  $\Omega$ , and  $f$  is continuous and has a primitive in  $\Omega$ , then*

$$\int_{\gamma} f(z) \, dz = 0$$

**Corollary 4.4.** *If  $f$  is holomorphic in a region and  $f' = 0$ , then  $f$  is constant.*

*Proof:* Since  $\Omega$  is connected, for any  $w \in \Omega$ , there exists a curve  $\gamma$  which joins  $w_0$  to  $w$ . Since  $f$  is clearly a primitive for  $f'$ , we have

$$f(w) - f(w_0) = \int_{\gamma} f'(z) \, dz = 0$$

we conclude that  $f(w) = f(w_0)$  for any  $w \in \Omega$  as desired.

## §5 Cauchy Theorems

**Theorem 5.1** (Goursat's). *If  $\Omega$  is an open set in  $\mathbb{C}$ , and  $T \subset \Omega$  a triangle whose interior is also contained in  $\Omega$ , then*

$$\int_T f(z) \, dz = 0$$

whenever  $f$  is holomorphic in  $\Omega$

**Theorem 5.2.** *A holomorphic function in an open disc has a primitive.*

*Proof:* After a translation, we may assume without loss of generality that the disc, say  $D$ , is centered at the origin.

Define

$$F(z) = \int_{\gamma_z} f(\zeta) \, d\zeta$$

We contend that  $F$  is holomorphic in  $D$  and  $F' = f$ . To prove this, fix  $z \in D$  and let  $h \in \mathbb{C}$  be so



small that  $z + h$  also belongs to the disc. Now consider the difference

$$\begin{aligned}
 \left| \frac{F(z+h) - F(z) - f(z)h}{h} \right| &= \left| \frac{\int_{\gamma_{z+h}} f(\zeta) d\zeta - \int_{\gamma_z} f(\zeta) d\zeta - f(z)h}{h} \right| \\
 &= \left| \frac{\int_{z \rightarrow z+h} f(\zeta + z) d\zeta - f(z)h}{h} \right| \quad (\text{Goursat}) \\
 &= \left| \frac{\int_{z \rightarrow z+h} f(\zeta + z) - f(z) d\zeta}{h} \right| \\
 &\rightarrow 0
 \end{aligned}$$

as  $h \rightarrow 0$ , thereby proving that  $F$  is a primitive for  $f$  on the disc.

**Theorem 5.3** (Cauchy's Theorem for a Disk). *If  $f$  is holomorphic in a simply connected region, then*

$$\int_{\gamma} f(z) dz = 0$$

for any closed curve  $\gamma$  in that disk.

## §6 Cauchy's Integral Formula

**Theorem 6.1** (Cauchy integral formula). *Suppose  $f$  is holomorphic in an open set that contains the closure of a disc  $D$ . If  $C$  denotes  $\partial D$  with the positive orientation, then*

$$f(z) = \frac{1}{2\pi i} \int_C \frac{f(\zeta)}{\zeta - z} d\zeta$$

for any point  $z \in D$ .

*Proof:* By Cauchy's theorem, we claim that

$$\frac{1}{2\pi i} \int_C \frac{f(\zeta)}{\zeta - z} d\zeta = \frac{1}{2\pi i} \int_{\partial B(z, \delta)} \frac{f(\zeta)}{\zeta - z} d\zeta$$

for any small  $\delta$  that  $B(z, \delta)$  contained in  $D$ . Then

$$\begin{aligned}
 \frac{1}{2\pi i} \int_{\partial B} \frac{f(\zeta)}{\zeta - z} d\zeta &= \frac{1}{2\pi i} \int_{\partial B} \frac{f(\zeta) - f(z) - f'(z)(\zeta - z)}{\zeta - z} + f'(z) + \frac{f(z)}{\zeta - z} d\zeta \\
 &= f(z) + \frac{1}{2\pi i} \int_{\partial B} \frac{f(\zeta) - f(z) - f'(z)(\zeta - z)}{\zeta - z} d\zeta \\
 &\rightarrow f(z)
 \end{aligned}$$

as  $\delta \rightarrow 0$ .

**Corollary 6.2** (Regularity theorem). *If  $f$  is holomorphic in an open set  $\Omega$ , then  $f$  has infinitely many complex derivatives in  $\Omega$ . Moreover, if  $C \subset \Omega$  is a circle whose interior is also contained in*

$\Omega$ , then

$$f^{(n)}(z) = \frac{n!}{2\pi i} \int_C \frac{f(\zeta)}{(\zeta - z)^{n+1}} d\zeta$$

for all  $z$  in the interior of  $C$ .

## §7 Application

**Theorem 7.1** (Morera). Suppose  $f$  is a continuous function in the open disc  $D$  such that for any triangle  $T$  contained in  $D$

$$\int_T f(z) dz = 0$$

then  $f$  is holomorphic in  $D$

*Proof:* The function  $f$  has a primitive  $F$  in  $D$  that satisfies  $F' = f$ . By the regularity theorem, we know that  $F$  is indefinitely complex differentiable, and therefore  $f$  is holomorphic.

**Theorem 7.2** (Taylor's Theorem). Suppose  $f$  is holomorphic in an open set  $\Omega$ . If  $D$  is a disc centered at  $z_0$  and  $D \subset \subset \Omega$ , then  $f$  has a power series expansion at  $z_0$

$$f(z) = \sum_{n=0}^{\infty} a_n (z - z_0)^n \quad \text{for all } z \in D$$

and the coefficients are given by

$$a_n = \frac{f^{(n)}(z_0)}{n!}$$

for all  $n \geq 0$ .

*Proof:* Fix  $z \in D$ . By the Cauchy integral formula, we have

$$\begin{aligned} f(z) &= \frac{1}{2\pi i} \int_C \frac{f(\zeta)}{\zeta - z} d\zeta \\ &= \frac{1}{2\pi i} \int_C \frac{f(\zeta)}{\zeta - z_0} \frac{1}{1 - \left(\frac{z - z_0}{\zeta - z_0}\right)} d\zeta \\ &= \frac{1}{2\pi i} \int_C \frac{f(\zeta)}{\zeta - z_0} \sum_{n=0}^{\infty} \left(\frac{z - z_0}{\zeta - z_0}\right)^n \\ &= \sum_{n=0}^{\infty} \left(\frac{1}{2\pi i} \int_C \frac{f(\zeta)}{(\zeta - z_0)^{n+1}} d\zeta\right) \cdot (z - z_0)^n \end{aligned}$$

Since  $\zeta \in C$  and  $z \in D$  is fixed, there exists  $0 < r < 1$  such that  $\left|\frac{z - z_0}{\zeta - z_0}\right| < r < 1$ , therefore  $\sum_{n=0}^{\infty} \left(\frac{z - z_0}{\zeta - z_0}\right)^n$  converges uniformly. This allows us to interchange the infinite sum with the integral.

**Corollary 7.3** (Cauchy inequalities). If  $f$  is holomorphic in an open set that contains  $\overline{B(z_0, R)}$ , then

$$|f^{(n)}(z_0)| \leq \frac{n!}{R^n} \|f\|_{L^\infty(\partial B(z_0, R))}$$

**Corollary 7.4** (Liouville's theorem). *If  $f$  is entire and bounded, then  $f$  is constant.*

**Corollary 7.5.** *Let non-constant polynomial  $P(z)$  in  $\mathbb{C}[z]$  of degree  $n \geq 1$ .*

(i)  *$P(z)$  has  $n$  roots in  $\mathbb{C}$ .*

(ii)  *$P(z)$  has precisely  $n$  roots in  $\mathbb{C}$ .*

(iii) *If these roots are denoted by  $w_1, \dots, w_n$ , then  $P$  can be factored as*

$$P(z) = a_n(z - w_1)(z - w_2) \cdots (z - w_n)$$

## §7.1 On compact set

**Theorem 7.6.** *If  $f$  is holomorphic in a open set  $\Omega$ , let*

$$\Omega_\delta = \{z \in \Omega : \overline{B(z, \delta)} \subset \Omega\} = \{d(z, \partial\Omega) > \delta\}$$

*then*

$$\sup_{z \in \Omega_\delta} |F'(z)| \leq \frac{1}{\delta} \sup_{\zeta \in \Omega} |F(\zeta)|$$

*Proof:* Since for every  $z \in \Omega_\delta$ ,  $\overline{B(z, \delta)}$  is contained in  $\Omega$ . Then

$$F'(z) = \frac{1}{2\pi i} \int_{\partial B(z, \delta)} \frac{F(\zeta)}{(\zeta - z)^2} d\zeta$$

*Hence*

$$\begin{aligned} |F'(z)| &\leq \frac{1}{2\pi} \int_{\partial B(z, \delta)} \frac{|F(\zeta)|}{|\zeta - z|^2} |d\zeta| \\ &\leq \frac{1}{2\pi} \sup_{\zeta \in \Omega} |F(\zeta)| \frac{1}{\delta^2} 2\pi\delta \\ &= \frac{1}{\delta} \sup_{\zeta \in \Omega} |F(\zeta)| \end{aligned}$$

*as was to be shown.*

**Theorem 7.7** (Weierstrass's). *If  $\{f_n\}_{n=1}^\infty$  is a sequence of holomorphic functions in  $\Omega$  that converges uniformly to a function  $f$  in every compact subset of  $\Omega$ , then  $f$  is holomorphic in  $\Omega$ .*

*Proof:* Let  $D$  be any disc whose closure is contained in  $\Omega$  and  $T$  any triangle in that disc. Then, since each  $f_n$  is holomorphic, Goursat's theorem implies

$$\int_T f_n(z) dz = 0 \quad \text{for all } n$$

By assumption  $f_n \rightarrow f$  uniformly in the  $\overline{D}$ , so  $f$  is continuous and

$$\int_T f_n(z) dz \rightarrow \int_T f(z) dz$$

As a result, we find  $\int_T f(z) dz = 0$ , and by Morera's theorem, we conclude that  $f$  is holomorphic in  $D$ .

Since this conclusion is true for every  $D$  whose closure is contained in  $\Omega$ , we find that  $f$  is holomorphic in all of  $\Omega$ .

**Theorem 7.8.** If  $\{f_n\}_{n=1}^\infty$  is a sequence of holomorphic functions in  $\Omega$  that converges uniformly to a function  $f$  in every compact subset of  $\Omega$ , then the sequence of  $k$ -th derivatives  $\{f_n^{(k)}\}$  converges uniformly to  $f^{(k)}$  on every compact set of  $\Omega$  and  $f^{(k)}$  is holomorphic in  $\Omega$ .

*Proof:* We only need to prove  $k = 1$ .

Suppose  $K$  is any compact subset of  $\Omega$ , then let  $\varepsilon = d(K, \partial\Omega) - \delta$  that  $\varepsilon > 0$  and  $\delta > 0$ . Since  $K$  is compact and  $K \subset \bigcup_{z \in K} B(z, \varepsilon) \subset \Omega$ , we can obtain an open set  $V = B(z_1, \varepsilon) \cup B(z_2, \varepsilon) \cdots \cup B(z_t, \varepsilon)$  and  $V \subset \Omega_\delta$ . Then

$$\sup_{z \in \Omega_\delta} |f'_n - f'| \leq \sup_{z \in \Omega} \frac{1}{\delta} |f_n - f|$$

**Theorem 7.9.** Let  $F(z, s)$  be defined for  $(z, s) \in \Omega \times [0, 1]$  where  $\Omega$  is an open set in  $\mathbb{C}$ . Suppose  $F$  satisfies the following properties:

(a)  $F(z, s)$  is holomorphic in  $z$  for each  $s$ .

(b)  $F$  is continuous on  $\Omega \times [0, 1]$ .

Then the function  $f$  defined on  $\Omega$  by

$$f(z) = \int_0^1 F(z, s) ds$$

is holomorphic.

*Proof:* To prove this result, it suffices to prove that  $f$  is holomorphic in any disc  $D$  contained in  $\Omega$ , and by Morera's theorem this could be achieved by showing that for any triangle  $T$  contained in  $D$  we have

$$\int_T \int_0^1 F(z, s) ds dz = 0$$

Interchanging the order of integration (Fubini theorem), and using property (a) would then yield the desired result.

*Another proof:* For each  $n \geq 1$ , we consider the Riemann sum

$$f_n(z) = \frac{1}{n} \sum_{k=1}^n F(z, \frac{k}{n})$$

Then  $f_n$  is holomorphic in all of  $\Omega$ , and we claim that on any disc  $D$  whose closure is contained in  $\Omega$ , the sequence  $\{f_n\}_{n=1}^\infty$  converges uniformly to  $f(z) = \int_0^1 F(z, s) ds$ . Then  $f(z)$  is holomorphic in  $D$ . As a consequence,  $f$  is holomorphic in  $\Omega$ , as was to be shown.

**Theorem 7.10** (Symmetry principle). If  $f^+$  and  $f^-$  are holomorphic functions in  $\Omega^+ = \Omega \cap \{z : \operatorname{Re}(z) > 0\}$  and  $\Omega^- = \Omega \cap \{z : \operatorname{Re}(z) < 0\}$  respectively, that extend continuously to  $I = \Omega \cap \{z :$

$\operatorname{Re}(z) = 0\}$  and

$$f^+(x) = f^-(x) \quad \text{for all } x \in I$$

then the function  $f$  defined on  $\Omega$  by

$$f = \begin{cases} f^+(z) & , z \in \Omega^+ \\ f^+(z) = f^-(z) & , z \in I \\ f^-(z) & , z \in \Omega^- \end{cases}$$

is holomorphic on all of  $\Omega$

*Proof:*

## §8 Local Properties of Analytic Function

### §8.1 Zeros and Poles

**Theorem 8.1.** Suppose that  $f$  is holomorphic in a region  $\Omega$ , and does not vanish identically in  $\Omega$ . If  $f(z_0) = 0$ , then there exists a neighborhood  $U \subset \Omega$  of  $z_0$ , a non-vanishing holomorphic function  $g$  on  $U$  and a unique positive integer  $n$  such that

$$f(z) = (z - z_0)^n g(z)$$

for all  $z \in U$ . We say that  $f$  has a **zero of order  $n$**  (or multiplicity  $n$ ) at  $z_0$ . If a zero is of order 1, we say that it is **simple zero**.

*Proof:* Since  $\Omega$  is connected and  $f$  is not identically zero, we conclude that  $f$  is not identically zero in a neighborhood of  $z_0$ . (If not, then  $f^{-1}(0)$  is open and closed)

In a small disc centered at  $z_0$  the function  $f$  has a power series expansion by Taylor's theorem

$$f(z) = \sum_{k=0}^{\infty} a_k (z - z_0)^k$$

Since  $f$  is not identically zero near  $z_0$ , there exists a smallest integer  $n$  such that  $a_n \neq 0$ . Then, we can write

$$f(z) = (z - z_0)^n [a_n + a_{n+1}(z - z_0) + \cdots] = (z - z_0)^n g(z),$$

where  $g$  is defined by the series in brackets, and hence is holomorphic in this small disk, and is nowhere vanishing for all  $z$  close to  $z_0$ .

To prove the uniqueness of the integer  $n$ , suppose that we can also write

$$f(z) = (z - z_0)^n g(z) = (z - z_0)^m h(z)$$

where  $h(z_0) \neq 0$ . If  $m > n$ , then we may divide by  $(z - z_0)^n$  to see that

$$g(z) = (z - z_0)^{m-n}h(z)$$

and letting  $z \rightarrow z_0$  yields  $g(z_0) = 0$ , a contradiction. If  $m < n$  a similar argument gives  $h(z_0) = 0$ , which is also a contradiction. We conclude that  $m = n$ , thus  $h = g$ , and the theorem is proved.

**Corollary 8.2.** *We can see that the zeros of analytic function which does not vanish identically are isolated.*

**Corollary 8.3** (Uniqueness). *If  $f$  and  $g$  are analytic in  $\Omega$ , and if  $f(z) = g(z)$  on a set which has an accumulation point in  $\Omega$ , then  $f$  is identically equal to  $g(z)$ .*

## §8.2 Pole

**Definition 8.4.** *We say that a function  $f$  defined in a deleted neighborhood of  $z_0$  has a **pole** at  $z_0$ , if the function*

$$g(z) = \begin{cases} \frac{1}{f(z)} & , \quad z \neq z_0 \\ 0 & , \quad z = z_0 \end{cases}$$

*is holomorphic in a full neighborhood of  $z_0$ .*

**Theorem 8.5.** *If  $f$  has a pole at  $z_0 \in \Omega$  then in a neighborhood of  $z_0$  there exist a non-vanishing holomorphic function  $h$  and a unique positive integer  $n$  such that*

$$f(z) = (z - z_0)^{-n}h(z)$$

*The integer  $n$  is called the **order (or multiplicity) of the pole**. If the pole is of order 1, we say that it is **simple**.*

*Proof:* By the previous theorem we have  $g(z) = (z - z_0)^n h_1(z)$ , where  $h_1$  is holomorphic and non-vanishing in a neighborhood  $U$  of  $z_0$ , so the result follows with  $h(z) = 1/h_1(z)$  that is holomorphic in  $U$ .

**Theorem 8.6.** *If  $f$  has a pole of order  $n$  at  $z_0$ , then*

$$f(z) = \frac{a_{-n}}{(z - z_0)^n} + \frac{a_{-n+1}}{(z - z_0)^{n-1}} + \cdots + \frac{a_{-1}}{z - z_0} + G(z)$$

*where  $G$  is a holomorphic function in a neighborhood of  $z_0$*

*Proof:* The proof follows from the multiplicative statement in the previous theorem. Indeed, the function  $h$  has a power series expansion in a neighbourhood of  $z_0$  with  $h(0) = A_0 \neq 0$

$$h(z) = A_0 + A_1(z - z_0) + \cdots$$

so that

$$\begin{aligned} f(z) &= (z - z_0)^{-n}(A_0 + A_1(z - z_0) + \cdots) \\ &= \frac{a_{-n}}{(z - z_0)^n} + \frac{a_{-n+1}}{(z - z_0)^{n-1}} + \cdots + \frac{a_{-1}}{(z - z_0)} + G(z). \end{aligned}$$

The sum

$$\frac{a_{-n}}{(z - z_0)^n} + \frac{a_{-n+1}}{(z - z_0)^{n-1}} + \cdots + \frac{a_{-1}}{(z - z_0)}$$

is called the **principal part of  $f$  at the pole  $z_0$** , and the coefficient  $a_{-1}$  is the **residue of  $f$  at that pole**. We write  $\text{Res}_{z_0} f = a_{-1}$ .

**Theorem 8.7.** *If  $f$  has a pole of order  $n$  at  $z_0$ , then*

$$\text{Res}_{z_0} f = \lim_{z \rightarrow z_0} \frac{1}{(n-1)!} \left( \frac{d}{dz} \right)^{n-1} (z - z_0)^n f(z)$$

### §8.3 Singularities

#### Removable Singularity

**Definition 8.8.** *Let  $f$  be a function holomorphic in an open set  $\Omega$  except possibly at one point  $z_0$  in  $\Omega$ . If we can define  $f$  at  $z_0$  in such a way that  $f$  becomes holomorphic in all of  $\Omega$ , we say that  $z_0$  is a **removable singularity** for  $f$*

**Theorem 8.9** (Riemann's theorem on removable singularities). *Suppose that  $f$  is holomorphic in an open set  $\Omega$  except possibly at a point  $z_0$  in  $\Omega$ . If  $f$  is bounded on some deleted neighbourhood of  $z_0$ , then  $z_0$  is a removable singularity.*

*Proof:* Since the problem is local we may consider a small disc  $D$  centered at  $z_0$  and whose closure is contained in  $\Omega$ . We shall prove that if  $z \in D$  and  $z \neq z_0$ , then under the assumptions of the theorem we have

$$f(z) = \frac{1}{2\pi i} \int_C \frac{f(\zeta)}{\zeta - z} d\zeta \quad z \in D - \{z_0\}$$

Since the right-hand side defines a holomorphic function on all of  $D$  that agrees with  $f(z)$  when  $z \neq z_0$ , this gives us a (unique) desired extension of  $f$ .

Fix  $z \in D$  with  $z \neq z_0$ , we have

$$\frac{1}{2\pi i} \int_C \frac{f(\zeta)}{\zeta - z} d\zeta = \frac{1}{2\pi i} \int_{\partial B(z_0, \varepsilon)} \frac{f(\zeta)}{\zeta - z} d\zeta + \frac{1}{2\pi i} \int_{\partial B(z, \varepsilon)} \frac{f(\zeta)}{\zeta - z} d\zeta$$

By Cauchy integral formula. We find that

$$\frac{1}{2\pi i} \int_{\partial B(z, \varepsilon)} \frac{f(\zeta)}{\zeta - z} d\zeta = f(z)$$

and

$$\left| \frac{1}{2\pi i} \int_{\partial B(z_0, \varepsilon)} \frac{f(\zeta)}{\zeta - z} d\zeta \right| < C\delta$$

**Corollary 8.10.** *Suppose that  $f$  has an isolated singularity at the point  $z_0$ . Then  $z_0$  is a pole of  $f$  if and only if  $|f(z)| \rightarrow \infty$  as  $z \rightarrow z_0$ .*

*Proof:* If  $z_0$  is a pole, then we know that  $1/f$  has a zero at  $z_0$ , and therefore  $|f(z)| \rightarrow \infty$  as  $z \rightarrow z_0$ .

Conversely, suppose that this condition holds. Then  $1/f$  is bounded near  $z_0$ . Therefore,  $1/f$  has a removable singularity at  $z_0$  and must vanish there. This proves the converse, namely that  $z_0$  is a pole of  $f$ .

## Essential Singularity

**Definition 8.11.** *Any singularity that is not removable or a pole is defined to be an **essential singularity**.*

**Theorem 8.12** (Casorati-Weierstrass). *Suppose  $f$  is holomorphic in the punctured disc  $B(z_0, r) - \{z_0\}$  and has an essential singularity at  $z_0$ . Then, the image of  $B(z_0, r) - \{z_0\}$  under  $f$  is dense in the complex plane.*

*Proof:* We argue by contradiction. Assume that the range of  $f$  is not dense, so that there exists  $w \in \mathbb{C}$  and  $\delta > 0$  such that

$$|f(z) - w| > \delta \quad \text{for all } z \in D_r(z_0) - \{z_0\}$$

We may therefore define a new function on  $D_r(z_0) - \{z_0\}$  by

$$g(z) = \frac{1}{f(z) - w}$$

which is holomorphic on the punctured disc and bounded by  $1/\delta$ . Hence  $g$  has a removable singularity at  $z_0$ .

If  $g(z_0) \neq 0$ , then  $f(z) - w = 1/g(z)$  is holomorphic at  $z_0$ , which contradicts the assumption that  $z_0$  is an essential singularity. In the case that  $g(z_0) = 0$ , then  $f(z) - w$  has a pole at  $z_0$  also contradicting the nature of the singularity at  $z_0$ . The proof is complete.

**Theorem 8.13** (Picard).

## §8.4

**Definition 8.14.** *A function  $f$  on an open set  $\Omega$  is **meromorphic** if there exists a sequence of points  $\{z_0, z_1, z_2, \dots\}$  that has no limit points in  $\Omega$ , and such that*

(a) *the function  $f$  is holomorphic in  $\Omega - \{z_0, z_1, z_2, \dots\}$*

(b)  *$f$  has poles at the points  $\{z_0, z_1, z_2, \dots\}$ .*

**Definition 8.15.** *If  $f$  is holomorphic in  $B(\infty, R) = \{z : |z| \geq R\}$ , we consider*

$$F(z) = f(1/z)$$



which is holomorphic in a deleted neighborhood of the origin. We say that  $f$  **has a pole(essential singularity, removable singularity) at infinity** if  $F$  has a pole(essential singularity, removable singularity) at the origin.

**Definition 8.16.** A meromorphic function in the complex plane  $\mathbb{C}$  that is either holomorphic at infinity or has a pole at infinity is said to be meromorphic in the extended complex plane  $\overline{\mathbb{C}}$ .

**Theorem 8.17.** The meromorphic functions in the extended complex plane are the rational functions.

*Proof:* Suppose that  $f$  is meromorphic in the extended plane, so  $f$  can have only finitely many poles in the plane, say at  $z_1, \dots, z_n$ . Near each pole  $z_k \in \mathbb{C}$  we can write

$$f = f_k + g_k$$

where  $f_k(z)$  is the principal part of  $f$  at  $z_k$  and  $g_k$  is holomorphic in a neighborhood of  $z_k$ . In particular,  $f_k$  is a polynomial in  $1/(z - z_k)$ . Similarly, we can write

$$f(1/z) = \tilde{f}_\infty(z) + \tilde{g}_\infty(z)$$

where  $\tilde{g}_\infty$  is holomorphic in a neighborhood of the origin and  $\tilde{f}_\infty$  is the principal part of  $f(1/z)$  at 0, that is, a polynomial in  $1/z$ . Finally, let  $f_\infty(z) = \tilde{f}_\infty(z)$ .

We contend that the function  $H = f - f_\infty - \sum f_k$  is entire and bounded. Indeed, near the pole  $z_k$  we subtracted the principal part of  $f$  so that the function  $H$  has a removable singularity there. Also,  $H(1/z)$  is bounded for  $z$  near 0 since we subtracted the principal part of the pole at  $\infty$ . This proves our contention, and by Liouville's theorem we conclude that  $H$  is constant. From the definition of  $H$ , we find that  $f$  is a rational function, as was to be shown.

## §9 The argument principle and applications

### §9.1 Argument Principle

**Theorem 9.1** (Argument Principle). Suppose  $f$  is meromorphic in  $\Omega$ , then

$$n(f(\gamma), w) = \frac{1}{2\pi i} \int_\gamma \frac{f'(z)}{f(z) - w} dz = \sum_j n(\gamma, z_j(w)) - \sum_k n(\gamma, p_k)$$

for every closed curve  $\gamma$  which is homologous to 0 in  $\Omega$  and does not pass through any of zeros of  $f(z) - w$  or poles.

**Corollary 9.2.** Suppose  $f$  is analytic in a disk  $\Delta$ , then the number of roots of equation  $f(z) = a$  in  $\Delta$  is

$$\frac{1}{2\pi i} \int_\gamma \frac{f'(z)}{f(z) - a} dz$$

**Corollary 9.3.** *Supposes that  $f(z)$  is analytic in sufficiently small  $B(z_0, \varepsilon)$  such that*

(i)  $f(z) - f(z_0)$  has a only zero of order  $n$  at  $z_0$

(ii)  $f'(z)$  has a only zero at  $z_0$

*Then there exists  $\delta > 0$  such that for all  $w \in B(f(z_0), \delta)$  the equation  $f(z) - w$  has exactly  $n$  different simple roots in  $B(z_0, \varepsilon)$*

**Corollary 9.4** (Open Maps). *A nonconstant analytic function is a open map.*

**Corollary 9.5.** *If  $f(z)$  is analytic at  $z_0$  with  $f'(z_0) \neq 0$ , it maps a neighborhood of  $z_0$  conformally and homeomorphic onto a region*

**Theorem 9.6** (Rouche' theorem ). *Suppose that  $f$  and  $g$  are holomorphic in an open set containing a circle  $C$  and its interior. If*

$$|f(z) - g(z)| < |f(z)| \quad \text{for all } z \in C$$

*then  $f$  and  $g$  have the same number of zeros inside the circle  $C$*

**Theorem 9.7** (A.Hurwitz). *If  $f_n$  are analytic and  $\neq 0$  in a region  $\Omega$ , and if  $f_n$  converges to  $f$  uniformly on every compact subset of  $\Omega$ . Then  $f$  is either identically zero or never equal to zero in  $\Omega$ .*

*Proof: Supposes that  $f$  is not identically zero. For any  $z_0 \in \Omega$  there is therefore a  $r$  such that  $f$  is defined  $\neq 0$  for  $0 < |z - z_0| \leq r$ , thus  $|f|$  has a positive minimum on circle  $|z - z_0| = r$ . It follows that  $\frac{1}{f_n}$  converges uniformly to  $\frac{1}{f}$  on  $C$ . And since  $f'$  converges uniformly to  $f'$  on  $C$ .*

*We may conclude that*

$$\frac{1}{2\pi} \int_C \frac{f'(z)}{f(z)} dz = \lim_{n \rightarrow \infty} \frac{1}{2\pi} \int_C \frac{f'_n(z)}{f_n(z)} dz = 0$$

*Consequently,  $f(z_0) \neq 0$ .*

## §10 The General Form of Cauchy's Theorem

**Theorem 10.1.** *If  $f$  is holomorphic in  $\Omega$ , then*

$$\int_{\gamma_0} f(z) dz = \int_{\gamma_1} f(z) dz$$

*whenever the two curves  $\gamma_0$  and  $\gamma_1$  are homotopic in  $\Omega$ .*

**Theorem 10.2.** *Any holomorphic function in a simply connected domain has a primitive.*

## §11 The Calculus of Residues

**Lemma 11.1** (Jordan). *If  $f$  is continuous and any  $\alpha > 0$*

$$\lim_{\substack{z \rightarrow \infty \\ \operatorname{Im}(z) > 0}} f(z) = 0$$

*Then*

$$\lim_{R \rightarrow \infty} \int_{\gamma_R} e^{\alpha z} f(z) \, dz = 0$$

*where  $\gamma_R = \{z : z = Re^{\theta}, 0 \leq \theta \leq \pi\}$*

**Theorem 11.2.** *Suppose  $f$  is meromorphic in  $\operatorname{Im} z > 0$  and For any  $\alpha > 0$ ,*

$$\int_{-\infty}^{\infty} e^{\alpha x} f(x) \, dx = 2\pi \sum \operatorname{res}(e^{\alpha x} f(x), a_k)$$

# Chapter II

## Entire Function

### §1 Infinite Products

**Definition 1.1.** *An finite product of complex numbers*

$$p_1 p_2 \cdots p_n = \prod_{n=1}^{\infty} p_n$$

*is evaluated by taking the limit of partial product  $P_n = p_1 p_2 \cdots p_n$ . It said to converge to the  $P = \lim P_n$  is this limit exists and is different from zero.*

**Theorem 1.2.** *The infinite product  $\prod_1^{\infty} (1 + a_n)$  with  $1 + a_n \neq 0$  converges simultaneously with the series  $\sum_1^{\infty} \log (1 + a_n)$  whose terms represent the values of the principal branch of the logarithm.*

**Definition 1.3.** *An infinite product  $\prod_1^{\infty} (1 + a_n)$  is said to be absolutely convergent if the corresponding series  $\sum_1^{\infty} \log (1 + a_n)$  converges absolutely.*

**Theorem 1.4.** *A necessary and sufficient condition for the absolute convergence of the product  $\prod_1^{\infty} (1 + a_n)$  is the convergence of the series  $\sum_1^{\infty} |a_n|$  (if and only if  $\sum \log(1 + |a_n|)$  converge).*

**Theorem 1.5.** *The value of an absolutely convergent product does not change if the factors are reordered*

**Theorem 1.6.** *Suppose  $\{g_n = 1 + f_n\}$  is a sequence of holomorphic functions on the open set  $\Omega$ . If there exist constants  $c_n > 0$  such that*

$$\sum c_n < \infty \quad \text{and} \quad |f_n(z)| \leq c_n \quad \text{for all } z \in \Omega$$

*then:*

(i) *The product  $\prod_{n=1}^{\infty} (1 + f_n)$  converges uniformly in  $\Omega$  to a holomorphic function  $G(z)$ .*

(ii) If  $g_n = 1 + f_n(z)$  does not vanish for any  $n$ , then

$$\frac{G'(z)}{G(z)} = \sum_{n=1}^{\infty} \frac{g'_n(z)}{g_n(z)}$$

*Proof:*

$$G_n(z) = \prod_{i=1}^n g_i(z) = e^{\sum_{i=1}^n \log(1+f_i(z))}$$

converges uniformly to a holomorphic function

To establish the second part of the theorem, suppose that  $K$  is a compact subset of  $\Omega$ . We have just proved that  $G_n \rightarrow F$  uniformly in  $\Omega$ , so the sequence  $\{G'_n\}$  converges uniformly to  $F'$  in  $K$ . Since  $G_N$  is uniformly bounded from below on  $K$  (it cannot be omitted), we conclude that  $G'_n/G_n \rightarrow F'/F$  uniformly on  $K$ .

And because  $K$  is an arbitrary compact subset of  $\Omega$ , the limit holds for every point of  $\Omega$ . Moreover, as we saw

$$\frac{G'_n}{G_n} = \sum_{i=1}^n \frac{g'_i}{g_i}$$

so part (ii) of the theorem is also proved.

## §2 Jensen's formula

**Lemma 2.1.** Let  $a \in \mathbb{C}$  and  $|a| = 1$

$$\int_0^{2\pi} \log |a - e^{i\theta}| \, d\theta = \int_0^{2\pi} \log |1 - e^{i\theta}| \, d\theta = 0$$

**Theorem 2.2.** If  $f$  is an analytic function, then  $\log |f(z)|$  is harmonic except the zeros  $f$ . Therefore, if  $f(z)$  is analytic and free from zeros in  $B(0, R)$  then

$$\log |f(0)| = \frac{1}{2\pi} \int_0^{2\pi} \log |f(Re^{i\theta})| \, d\theta$$

and  $\log |f(z)|$  can be expressed by Poisson's formula.

*Proof:* If  $f$  has zeros the circle  $\partial B(0, R)$ . Denotes these zeros by  $Re^{i\theta_k}$  ( $k = 1, 2, \dots, m$ ), multiple zeros being repeated, then define

$$F(z) = f(z) \prod_{k=1}^m \frac{1}{z - Re^{i\theta_k}}$$

is analytic and has no zeros in  $\partial B(0, R)$ .

$$\log |f(0)| - m \log R = \frac{1}{2\pi} \int_0^{2\pi} \log |f(Re^{i\theta})| - \sum \log |Re^{i\theta} - Re^{i\theta_k}| \, d\theta$$

**Theorem 2.3** (Jensen's formula). *Let  $\Omega$  be an open set that contains closed disc  $\overline{B(0, \rho)}$  the and suppose that  $f$  is holomorphic in  $\Omega$ , and vanish at  $z_1, z_2, \dots, z_n$  in  $B(0, \rho)$ , mutiple zeros being repeated, and assume that  $f(0) \neq 0$ . Then*

$$\log |f(0)| = - \sum_{k=1}^n \log \left( \frac{\rho}{|z_k|} \right) + \frac{1}{2\pi} \int_0^{2\pi} \log |f(\rho e^{i\theta})| \, d\theta$$

*Proof:* 1. Let

$$F(z) = f(z) \prod_{i=1}^n \frac{\rho^2 - \bar{z}_i z}{\rho(z - z_i)}$$

is free from zeros in the disk  $B(0, \rho)$ , and  $|F| = |f|$  on  $\partial B(0, \rho)$ . Consequently we obtain

$$\log |F(0)| = \frac{1}{2\pi} \int_0^{2\pi} \log |F(\rho e^{i\theta})| \, d\theta$$

and, substituting the value

$$\log |f(0)| + \sum \log \left( \frac{\rho}{|z_i|} \right) = \frac{1}{2\pi} \int_0^{2\pi} \log |f(\rho e^{i\theta})| \, d\theta$$

2. If  $f(0) = 0$  we write  $g(z) = f(z)/z^h$ , then

$$\log |a_h| + \sum \log \left( \frac{\rho}{|z_i|} \right) = \frac{1}{2\pi} \int_0^{2\pi} \log |f(\rho e^{i\theta})| \, d\theta - h \log \rho$$

**Theorem 2.4** (Poisson-Jensen formula). *Let  $\Omega$  be an open set that contains closed disc  $\overline{B(0, \rho)}$  the and suppose that  $f$  is holomorphic in  $\Omega$ , and vanish at  $z_1, z_2, \dots, z_n$  in  $B(0, \rho)$ , mutiple zeros being repeated. Then*

*Proof:* If  $|z_0| < \rho$  and  $f(z_0) \neq 0$  we write

$$\varphi_{\rho, z_0}(z) = \frac{\rho^2(z - z_0)}{\bar{z}_0 z - \rho^2}$$

then let

$$h(z) = f \circ \varphi_{\rho, z_0}(z)$$

that  $h(0) = f(z_0)$  and  $h$  vanish at  $\varphi_{\rho, z_0}(z_i)$ , then

$$\begin{aligned} \log |f(z_0)| + \sum \log \left( \frac{\rho}{|\varphi_{\rho, z_0}(z_i)|} \right) &= \frac{1}{2\pi} \int_0^{2\pi} \log |f \circ \varphi_{\rho, z_0}(R e^{i\theta})| \, d\theta \\ &= \frac{1}{2\pi} \int_0^{2\pi} \log |f \circ \varphi_{\rho, z_0}(R e^{i\theta})| \, d\theta \end{aligned}$$

We obtain

$$\log |f(z)| = - \sum \log \left( \frac{z_i z - \rho^2}{\rho(z - z_i)} \right) + \frac{1}{2\pi} \int_0^{2\pi} \frac{\rho^2 - z^2}{|\rho e^{i\theta} - z|^2} \log |f(\rho e^{i\theta})| d\theta$$

Provided that  $f(z) \neq 0$ .

**Lemma 2.5.** *If  $f$  is a holomorphic function in  $\Omega$ , we denote by  $\mathfrak{n}(r)$  the number of zeros of  $f$  (counted with their multiplicities) inside the disc  $B(0, r) \subset \Omega$ . If  $z_1, \dots, z_N$  are the zeros of  $f$  inside the disc  $B(0, \rho)$ , then*

$$\int_0^\rho \mathfrak{n}(r) \frac{dr}{r} = \sum_{k=1}^N \log \left| \frac{\rho}{z_k} \right|.$$

### §3 Weierstrass infinite product

**Definition 3.1.** *For each integer  $k \geq 0$  we define **canonical factors** by*

$$E_0 = 1 - z \quad E_k = (1 - z)e^{z + \frac{z^2}{2} + \dots + \frac{z^k}{k}}$$

*the integer  $k$  is called the degree of the canonical factors*

**Lemma 3.2.** *If  $|z| \leq 1/2$ , then  $|1 - E_k| \leq C|z|^{k+1}$  for some  $C > 0$  (independent of  $k$ )*

**Theorem 3.3** (Weierstrass). *Given any sequence  $\{a_n\}$  of complex numbers with  $|a_n| \rightarrow \infty$  as  $n \rightarrow \infty$ . Every entire function with these and no other zeros can be written in the form*

$$f(z) = z^m e^{g(z)} \prod_{n=1}^{\infty} \left( 1 - \frac{z}{a_n} \right) e^{\frac{z}{a_n} + \frac{1}{2} \left( \frac{z}{a_n} \right)^2 + \dots + \frac{1}{m_n} \left( \frac{z}{a_n} \right)^{m_n}}$$

$a_n \neq 0$  where the product is taken over all  $a_n \neq 0$ , the  $m_n$  are certain integers, and  $g(z)$  is an entire function.

*Proof:* The product converges together with the series with the general term

$$r_n(z) = \log \left( 1 - \frac{z}{a_n} \right) + p_n(z)$$

where the branch of logarithm shall

For a given  $R$  we consider only the terms with  $|a_n| > R$ . In the disk  $\overline{B(0, R)}$  the principal

branch of  $\log(1 - z/z_n)$  can be developed in Taylor series

$$\begin{aligned} r_n(z) &= \log E_{m_n} \left( \frac{z}{a_n} \right) \\ &= \log \left( 1 - \frac{z}{a_n} \right) + p_n(z) \\ &= \sum_{n=1}^{\infty} -\frac{1}{n} \left( \frac{z}{a_n} \right)^n + \sum_{n=1}^{m_n} \frac{1}{n} \left( \frac{z}{a_n} \right)^n \\ &= \sum_{n=m_n+1}^{\infty} -\frac{1}{n} \left( \frac{z}{a_n} \right)^n \end{aligned}$$

and we obtain the estimate

$$|r_n(z)| \leq \frac{1}{m_n + 1} \left( \frac{R}{a_n} \right)^{m_n+1} \left( 1 - \frac{R}{|a_n|} \right)^{-1}$$

where  $R/|a_n| \leq \delta < 1$  for all  $n \in \{n : |a_n| > R\}$ . Suppose now that the series

$$\sum_{n=1}^{\infty} \frac{1}{m_n + 1} \left( \frac{R}{a_n} \right)^{m_n+1}$$

converges, the comparison shows that the series  $\sum r_n(z) = \sum_{|a_n| > R} r_n + \sum_{\text{else}} r_k$  is absolutely and uniformly convergent for  $|z| \leq R$ , and thus the product represents an analytic function in  $B(0, R)$ . It remains only to show that the series can be made convergent for all  $R$ . But this is obvious, for if we take  $m_n = n$ .

**Corollary 3.4.** Every meromorphic function in the whole plane  $\mathbb{C}$  is the quotient of two entire function

**Corollary 3.5** (Interpolation). Suppose that  $a_n \rightarrow \infty$  and that the  $A_n$  are arbitrary complex numbers. Then there exists an entire function  $f(z)$  which satisfies  $f(a_n) = A_n$ .

*Proof:* Let  $g(z)$  be a function with simple zeros at the  $a_n$ . We show that

$$\sum_{n=1}^{\infty} \frac{g(z)A_n}{(z - a_n)g'(a_n)} \cdot e^{\gamma_n(z-a_n)}$$

converges for some choice of the numbers  $\gamma_n$ .

For any  $R > 0$ , we consider

**Definition 3.6.** Assume that  $h$  is the smallest integer for which  $\sum \frac{1}{|a_n|^{h+1}}$  converges; the expression

$$\prod_{n=1}^{\infty} E_h \left( \frac{z}{a_n} \right)$$

is then called the **canonical product associated with sequence**  $\{a_n\}$ , and  $h$  is the **genus of the**



**canonical product**

whenever possible we use the canonical product in the representation of  $f$ , which is thereby uniquely determined

$$f = z^m e^{g(z)} \prod_{n=1}^{\infty} E_h \left( \frac{z}{a_n} \right)$$

that

$$\begin{aligned} |r_n(z)| &= \left| \log E_h \left( \frac{z}{a_n} \right) \right| \leq \frac{1}{h+1} \left( \frac{R}{|a_n|} \right)^{h+1} \left( 1 - \frac{R}{|a_n|} \right)^{-1} \\ &\leq \frac{C_R}{(h+1) |a_n|^{h+1}} \end{aligned}$$

then product converges uniformly for  $B(0, R)$ .

If in this representation  $g(z)$  reduces to a polynomial, the function  $f(z)$  is said to be of finite genus, and **genus of**  $f(z)$  is by definition equal to  $\max\{h, \deg g\}$ .

**Theorem 3.7.**

$$\sin \pi z = z \pi \prod_{n \neq 0} \left( 1 - \frac{z}{n} \right) e^{z/n} = \pi z \prod_1^{\infty} \left( 1 - \frac{z^2}{n^2} \right)$$

In order to determine  $g(z)$  we form the logarithmic derivatives on both sides. We find

$$\pi \cot \pi z = \frac{1}{z} + g'(z) + \sum_{n \neq 0} \left( \frac{1}{z-n} + \frac{1}{n} \right)$$

where the procedure is easy to justify by uniform convergence on any compact set which does not contain the points  $z = n$ . By comparison with the previous formula (10) we conclude that  $g'(z) = 0$ . Hence  $g(z)$  is a constant, and since  $\lim_{z \rightarrow 0} \sin \pi z / z = \pi$  we must have  $e^{g(z)} = \pi$ .

**§4 Hadamard's factorization theorem**

**Definition 4.1.** Let  $f$  be an entire function. If there exist a positive number  $\rho$  and constants  $A, B > 0$  such that

$$|f(z)| \leq A e^{B|z|^\rho} \quad \text{for all } z \in \mathbb{C}$$

then we say that  $f$  has an order of growth  $\leq \rho$ . We define the **order of growth of**  $f$  as

$$\lambda = \inf \rho$$

Denote by  $M(r)$  the maximum of  $|f(z)|$  on  $|z| = r$ . For any given  $\varepsilon > 0$  as soon as  $r$  is sufficiently large, we have

$$M(r) \leq e^{r^{\lambda+\varepsilon}}$$

then  $M(r) = o(e^{r^{\lambda+\varepsilon}})$  and  $\log M(r) = o(r^{\lambda+\varepsilon})$  for large  $r$ . Actually,

$$\lambda = \limsup \frac{\log \log M(r)}{\log r}$$

**Lemma 4.2.** For all  $u \in \mathbb{C}$  and  $h \in \mathbb{N}$

$$\log |E_h(u)| \leq (2h+1) |u|^{h+1}$$

Furthermore,  $E_h(z)$  has order of growth  $h$ .

*Proof:* If  $|u| < 1$  we have power series development

$$\log |E_h(u)| \leq \frac{|u|^{h+1}}{h+1} + \frac{|u|^{h+2}}{h+2} + \cdots \leq \frac{1}{h+1} \frac{|u|^{h+1}}{1-|u|}$$

and thus

$$(1-|u|) \log |E_h(u)| \leq |u|^{h+1}$$

For arbitrary  $u$  and  $h \geq 1$  it is also clear that

$$\log |E_h(u)| \leq \log |E_{h-1}(u)| + |u|^h$$

since  $E_h(u) = E_{h-1}(u)e^{u^h/h}$ .

We assume that with  $h-1$  in the place of  $h$ , that is to say

$$\log |E_{h-1}(u)| \leq (2h-1) |u|^h$$

If  $|u| \geq 1$ , this imply

$$\begin{aligned} \log |E_h(u)| &\leq \log |E_{h-1}(u)| + |u|^h \\ &\leq 2h |u|^h \\ &\leq (2h+1) |u|^{h+1} \end{aligned}$$

But if  $|u| < 1$  we can also obtain

$$\begin{aligned} \log |E_h(u)| &= (1-|u|) \log |E_h(u)| + |u| \log |E_h(u)| \\ &\leq |u|^{h+1} + |u| ((2h-1) |u|^h + |u|^h) \\ &= (2h+1) |u|^{h+1} \end{aligned}$$

**Theorem 4.3.** If  $f$  is an entire function that has an order of growth  $\lambda$ , then for every  $\varepsilon > 0$

(i)  $n(r) = o(r^{\lambda+\varepsilon})$

(ii) If  $a_1, a_2, \dots$  denote the zeros of  $f$ , with  $a_k \neq 0$ , then we have

$$\sum_{k=1}^{\infty} \frac{1}{|a_k|^{\lambda+\varepsilon}} < \infty$$

*Proof:* It suffices to prove the estimate for  $n(r)$  when  $f(0) \neq 0$ . Indeed, consider the function  $F(z) = f(z)/z^\ell$  where  $\ell$  is the order of the zero of  $f$  at the origin. Then  $n_f(r)$  and  $n_F(r)$  differ only by a constant, and  $F$  also has an order of growth  $\leq \rho$ .

1. If  $f(0) \neq 0$ , then for  $R = 2r > 0$  that  $f$  vanish nowhere on  $\partial B(0, R)$

$$\begin{aligned} n(r) \log 2 &\leq \int_r^{2r} \frac{n(x)}{x} dx \leq \int_0^R \frac{n(x)}{x} dx \\ &= \frac{1}{2\pi} \int_0^{2\pi} \log |f(Re^{i\theta})| d\theta - \log |f(0)| \\ &\leq \log |M(2r)| - \log |f(0)| \\ &\leq (2r)^{\lambda+\varepsilon} - \log |f(0)| \end{aligned}$$

Consequently,  $\lim n(r)r^{-\lambda-\varepsilon} = 0$

2. Since  $n(x)$  vanish near the 0 and  $n(x) = o(x^{\lambda+\frac{1}{2}\varepsilon})$ , we have

$$\sum \frac{1}{|a_n|^{\lambda+\varepsilon}} = \frac{1}{\lambda+\varepsilon} \int_0^\infty \frac{n(x)}{x^{\lambda+\varepsilon+1}} dx < \infty$$

**Theorem 4.4** (Hadamard Theorem). *The genus and the order of an entire function satisfy the double inequality*

$$h \leq \lambda \leq h + 1$$

*Proof:* 1. Suppose that  $f(z)$  is of genus  $h$ , then the previous lemma gives the estimate

$$\log |P(z)| = \sum_n \log \left| E_h \left( \frac{z}{a_n} \right) \right| \leq (2h+1) |z|^{h+1} \sum_n \frac{1}{|a_n|^{h+1}}$$

and it follows that  $P(z)$  is at most of order  $h+1$

2. For the opposite inequality assume  $f(z)$  is of finite order  $\lambda$  and  $h_1 = [\lambda]$ . Then  $h_1 + 1 > \lambda$ , and we have to prove that  $\sum 1/|a_n|^{h_1+1}$  converges. It is obvious by the previous lemma. It remains to prove that  $g(z)$  is a polynomial of degree  $\leq h_1 = [\lambda]$ . If the operation  $\frac{\partial}{\partial x} - i \frac{\partial}{\partial y}$  is applied to both sides of the Poisson Jensen formula, we obtain

**Corollary 4.5.** *An entire function of fractional order assumes every finite value infinity many times*

*Proof:* It is clear that  $f$  and  $f - a$  have the same order for any constant  $a$ . Therefore we need only show that  $f$  has infinitely many zeros.

If  $f$  has only a finite number of zeros we can divide by a polynomial and obtain a function of the same order without zeros.

$$\frac{f(z)}{\prod_{k=1}^n (z - a_k)} = e^{g(z)}$$

---

*By the theorem  $g(z)$  must be a polynomial. But it is evident that the order of  $e^{g(z)}$  is exactly the degree of  $g$ , and hence an integer. The contradiction proves the corollary.*

# Chapter III

## Special Function

### §1 The Gamma Function

#### §1.1 The Gamma Function

**Definition 1.1.**

$$\Gamma(z) = \frac{e^{-\gamma z}}{z} \prod_{n=1}^{\infty} \left(1 + \frac{z}{n}\right)^{-1} e^{\frac{z}{n}}$$

We observe that  $\gamma(z)$  is meromorphic function with simple poles at  $z = 0, -1 \dots$  but without zeros

*Proof:*

$$G(z) = \prod_{n=1}^{\infty} \left(1 + \frac{z}{n}\right) e^{-\frac{z}{n}}$$

We observe that  $G(z-1)$  has the same zeros as  $G(z)$ , and in addition a zero at the origin. It is therefore clear that we can write

$$G(z-1) = ze^{\gamma(z)}G(z),$$

where  $\gamma(z)$  is an entire function. In order to determine  $\gamma(z)$  we take the logarithmic derivatives on both sides. This gives the equation

$$\sum_{n=1}^{\infty} \left( \frac{1}{z-1+n} - \frac{1}{n} \right) = \frac{1}{z} + \gamma'(z) + \sum_{n=1}^{\infty} \left( \frac{1}{z+n} - \frac{1}{n} \right)$$

In the series to the left we can replace  $n$  by  $n + 1$ . By this change we obtain

$$\begin{aligned} \sum_{n=1}^{\infty} \left( \frac{1}{z-1+n} - \frac{1}{n} \right) &= \frac{1}{z} - 1 + \sum_{n=1}^{\infty} \left( \frac{1}{z+n} - \frac{1}{n+1} \right) \\ &= \frac{1}{z} - 1 + \sum_{n=1}^{\infty} \left( \frac{1}{z+n} - \frac{1}{n} \right) + \sum_{n=1}^{\infty} \left( \frac{1}{n} - \frac{1}{n+1} \right) \\ &= \frac{1}{z} + \sum_{n=1}^{\infty} \left( \frac{1}{z+n} - \frac{1}{n} \right) \end{aligned}$$

Hence  $\gamma'(z) = 0$  and  $\gamma(z)$  is a constant, which we denote by  $\gamma$ , and  $G(z-1) = e^{\gamma}G(z)$ . Taking  $z = 1$  we have

$$1 = G(1) = e^{\gamma}G(1)$$

an hence

$$\gamma = \lim \left( 1 + \frac{1}{2} + \frac{1}{3} + \cdots + \frac{1}{n} - \log n \right)$$

Let Euler's gamma function

$$\Gamma(z) = \frac{1}{ze^{\gamma z}G(z)}$$

satisfies

$$\Gamma(z+1) = z\Gamma(z)$$

**Proposition 1.2.** The function  $\Gamma$  has the following properties:

(i)

$$\Gamma(z+1) = z\Gamma(z)$$

(ii)  $\frac{1}{\Gamma(s)}$  is an entire function of  $s$  with simple zeros at  $s = 0, -1, -2, \dots$  and it vanishes nowhere else.

**Theorem 1.3.**  $1/\Gamma(s)$  has growth

$$\left| \frac{1}{\Gamma(s)} \right| \leq c_1 e^{c_2 |s| \log |s|}$$

Therefore,  $1/\Gamma$  is of order 1.

*Proof.* By the theorem we may write

$$\frac{1}{\Gamma(s)} = \Gamma(1-s) \frac{\sin \pi s}{\pi}$$

and therefore  $1/\Gamma$  is entire with simple zeros at  $s = 0, -1, -2, -3, \dots$

To prove the estimate, we begin by showing that

$$\int_1^{\infty} e^{-t} t^{\sigma} dt \leq e^{(\sigma+1) \log(\sigma+1)}$$

whenever  $\sigma = \operatorname{Re}(s)$  is positive. Choose  $n$  so that  $\sigma \leq n \leq \sigma + 1$ . Then

$$\begin{aligned} \int_1^\infty e^{-t} t^\sigma dt &\leq \int_0^\infty e^{-t} t^n dt \\ &= n! \\ &\leq n^n \\ &= e^{n \log n} \\ &\leq e^{(\sigma+1) \log(\sigma+1)} \end{aligned}$$

Since the relation (3) holds on all of  $\mathbb{C}$ , we see from (5) that

$$\frac{1}{\Gamma(s)} = \left( \sum_{n=0}^{\infty} \frac{(-1)^n}{n!(n+1-s)} \right) \frac{\sin \pi s}{\pi} + \left( \int_1^\infty e^{-t} t^{-s} dt \right) \frac{\sin \pi s}{\pi}$$

However, from our previous observation,

$$\left| \int_1^\infty e^{-t} t^{-s} dt \right| \leq e^{(|\sigma|+1) \log(|\sigma|+1)}$$

and because  $|\sin \pi s| \leq e^{\pi|s|}$  (by Euler's formula for the sine function) we find that the second term in the formula is dominated by  $ce^{(|s|+1) \log(|s|+1)} e^{\pi|s|}$ , which is itself majorized by  $c_1 e^{c_2|s| \log|s|}$ . Next, we consider the term

$$\sum_{n=0}^{\infty} \frac{(-1)^n}{n!(n+1-s)} \frac{\sin \pi s}{\pi}$$

There are two cases:  $|\operatorname{Im}(s)| > 1$  and  $|\operatorname{Im}(s)| \leq 1$ . In the first case, this expression is dominated in absolute value by  $ce^{\pi|s|}$ . If  $|\operatorname{Im}(s)| \leq 1$ , we choose  $k$  to be the integer so that  $k - 1/2 \leq \operatorname{Re}(s) < k + 1/2$ . Then if  $k \geq 1$ ,

$$\begin{aligned} \sum_{n=0}^{\infty} \frac{(-1)^n}{n!(n+1-s)} \frac{\sin \pi s}{\pi} &= (-1)^{k-1} \frac{\sin \pi s}{(k-1)!(k-s)\pi} + \\ &+ \sum_{n \neq k-1} (-1)^n \frac{\sin \pi s}{n!(n+1-s)\pi} \end{aligned}$$

Both terms on the right are bounded; the first because  $\sin \pi s$  vanishes at  $s = k$ , and the second because the sum is majorized by  $c \sum 1/n!$ .

When  $k \leq 0$ , then  $\operatorname{Re}(s) < 1/2$  by our supposition, and  $\sum_{n=0}^{\infty} \frac{(-1)^n}{n!(n+1-s)}$  is again bounded by  $c \sum 1/n!$ . This concludes the proof of the theorem.

The fact that  $1/\Gamma$  satisfies the type of growth conditions discussed in Chapter 5 leads naturally to the product formula for the function  $1/\Gamma$ , which we treat next.

## §1.2 Properties

**Theorem 1.4.** The residue of  $\Gamma$  at  $s = -n$  is  $(-1)^n/n!$ .

*Proof:*

$$\Gamma(z) = \frac{\Gamma(z+n+1)}{(z+1)(z+2)\cdots(z+n+1)}$$

then

$$\begin{aligned} \operatorname{Res}_{z=-n}\Gamma(z) &= (z+n)\Gamma(z)|_{z=-n} \\ &= \frac{\Gamma(1)}{(-n+1)\cdots(-n+n-1)(-n+n+1)} \\ &= \frac{(-1)^n}{n!} \end{aligned}$$

**Theorem 1.5** (Gauss).

$$(2\pi)^{\frac{n-1}{2}} \Gamma(nz) = n^{nz-\frac{1}{2}} \Gamma(z) \Gamma\left(z + \frac{1}{n}\right) \cdots \Gamma\left(z + \frac{n-1}{n}\right)$$

*Proof:* Considering the second derivative of  $\log \Gamma(z)$

$$\frac{d}{dz} \left( \frac{\Gamma'(z)}{\Gamma(z)} \right) = \sum_{k=0}^{\infty} \frac{1}{(z+k)^2}$$

then

$$\begin{aligned} \sum_{m=0}^{n-1} \frac{d}{dz} \left( \frac{\Gamma(z + \frac{m}{n})}{\Gamma'(z + \frac{m}{n})} \right) &= \sum_{m=0}^{n-1} \sum_{k=0}^{\infty} \frac{1}{(z + \frac{m}{n} + k)^2} \\ &= n^2 \sum_{s=0}^{\infty} \frac{1}{(nz + s)^2} \\ &= n \frac{d}{dz} \left( \frac{\Gamma(nz)}{\Gamma'(nz)} \right) \end{aligned}$$

By integration we obtain

$$\Gamma(nz) = e^{az+b} \Gamma(z) \Gamma\left(z + \frac{1}{n}\right) \cdots \Gamma\left(z + \frac{n-1}{n}\right)$$

where the constants  $a$  and  $b$  have yet to be determined. Considering the residues of two sides at the poles  $z = 0$ , we have

$$\frac{1}{n} = e^b \prod_{k=1}^{n-1} \Gamma\left(\frac{k}{n}\right) = e^b \sqrt{\prod_{k=1}^{n-1} \frac{\pi}{\sin \frac{\pi k}{n}}} = e^b \sqrt{\frac{\pi^{n-1}}{2^{n-1}}}$$



$e^b = \frac{1}{n^{1/2}(2\pi)^{\frac{n-1}{2}}}$ . Next, substituting  $z = 1$

$$\begin{aligned} (n-1)! &= e^{a+b} \prod_{k=1}^{n-1} \Gamma\left(1 + \frac{k}{n}\right) \\ &= e^{a+b} \prod_{k=1}^{n-1} \frac{k}{n} \cdot \Gamma\left(\frac{k}{n}\right) \\ &= e^{a+b} \frac{(n-1)!}{n^{(n-1)}} \frac{1}{ne^b} \end{aligned}$$

hence  $e^a = n^n$ . The final result is thus

$$(2\pi)^{\frac{n-1}{2}} \Gamma(nz) = n^{nz-\frac{1}{2}} \Gamma(z) \Gamma\left(z + \frac{1}{n}\right) \cdots \Gamma\left(z + \frac{n-1}{n}\right)$$

**Corollary 1.6** (Legendre's duplication formula).

$$\sqrt{\pi} \Gamma(2z) = 2^{2z-1} \Gamma(z) \Gamma\left(z + \frac{1}{2}\right)$$

**Theorem 1.7.** For all  $z \in \mathbb{C}$

$$\Gamma(z) \Gamma(1-z) = \frac{\pi}{\sin \pi z}$$

### §1.3 The Integral Form

**Lemma 1.8.** The function

$$F(s) = \int_0^\infty e^{-t} t^{s-1} dt$$

is an analytic function in the half-plane  $\operatorname{Re}(s) > 0$ .

*Proof:* We first observe that the integral exists for every  $\operatorname{Re}(s) > 0$ . It suffices to show that the integral defines a holomorphic function in every strip

$$S_{\delta, M} = \{s : \delta < \operatorname{Re}(s) < M\}$$

where  $0 < \delta < M < \infty$ .

For  $\epsilon > 0$ , let

$$F_\epsilon(s) = \int_\epsilon^{1/\epsilon} e^{-t} t^{s-1} dt$$

the function  $F_\epsilon$  is holomorphic in the strip  $S_{\delta, M}$ , it suffices to show the  $F_\epsilon$  converges uniformly to  $\Gamma$  on that the strip  $S_{\delta, M}$ . To see this, we first

$$\begin{aligned}
|F(s) - F_\varepsilon(s)| &= \left| \left( \int_0^\varepsilon + \int_{1/\varepsilon}^\infty \right) e^{-t} t^{s-1} dt \right| \\
&\leq \int_0^\varepsilon e^{-t} t^{\sigma-1} dt + \int_{1/\varepsilon}^\infty e^{-t} t^{\sigma-1} dt \\
&\leq \int_0^\varepsilon e^{-t} t^{\delta-1} dt + \int_{1/\varepsilon}^\infty e^{-t} t^{M-1} dt
\end{aligned}$$

converges uniformly to 0 in  $S_{\delta, M}$ .

**Lemma 1.9.** *The function  $F$  initially defined for  $\operatorname{Re}(s) > 0$  has an analytic continuation to a meromorphic function on  $\mathbb{C}$  whose only singularities are simple poles at the negative integers  $s = 0, -1, \dots$ . The residue of  $F$  at  $s = -n$*

$$\operatorname{Res}_{z=-n} F(z) = \frac{(-1)^n}{n!}$$

*Proof:* It suffices to extend  $F$  to each half-plane  $\operatorname{Re}(s) > -m$ , where  $m \geq 1$  is an integer. For  $\operatorname{Re}(s) > -1$ , we define

$$F_1(s) = \frac{F(s+1)}{s}$$

Since  $\Gamma(s+1)$  is holomorphic in  $\operatorname{Re}(s) > -1$ , we see that  $F_1$  is meromorphic in that half-plane, with the only possible singularity a simple pole at  $s = 0$  with residue 1. Moreover, if  $\operatorname{Re}(s) > 0$ , then

$$F_1(s) = \frac{F(s+1)}{s} = F(s)$$

So  $F_1$  extends  $F$  to a meromorphic function on  $\operatorname{Re}(s) > -1$ .

We can now continue in this fashion by defining a meromorphic  $F_m$  for  $\operatorname{Re}(s) > -m$  that agrees with  $F$  on  $\operatorname{Re}(s) > 0$ . For  $\operatorname{Re}(s) > -m$ , where  $m$  is an integer  $\geq 1$ , define

$$F_m(s) = \frac{\Gamma(s+m)}{(s+m-1)(s+m-2)\cdots s}.$$

The function  $F_m$  is meromorphic in  $\operatorname{Re}(s) > -m$  and has simple poles at  $s = 0, -1, -2, \dots, -m+1$  with residues

$$\begin{aligned}
\operatorname{res}_{s=-n} F_m(s) &= \frac{\Gamma(-n+m)}{(m-1-n)!(-1)(-2)\cdots(-n)} \\
&= \frac{(m-n-1)!}{(m-1-n)!(-1)(-2)\cdots(-n)} \\
&= \frac{(-1)^n}{n!}
\end{aligned}$$

Successive applications of the lemma show that  $F_m(s) = F(s)$  for  $\operatorname{Re}(s) > 0$ . By uniqueness, this also means that  $F_m = F_k$  for  $1 \leq k \leq m$  on the domain of definition of  $F_k$ . Therefore, we have obtained the desired continuation of  $F$ .

**Theorem 1.10.** For  $\operatorname{Re}(z) > 0$ , we have

$$\Gamma(z) = \int_0^\infty e^{-t} t^{z-1} dt$$

## §2 The Zeta Function

### §2.1 The Zeta Function

**Theorem 2.1.** The Riemann's Zeta function

$$\zeta(s) = \sum_{n=1}^{\infty} \frac{1}{n^s}$$

represents an analytic function of  $s$  in the half plane  $\operatorname{Re}(s) > 1$ .

**Theorem 2.2.** For  $\sigma = \operatorname{Re}(s) > 1$ ,

$$\frac{1}{\zeta(s)} = \prod_{n=1}^{\infty} (1 - p_n^{-s})$$

### §2.2 Extension to the Whole Plane

**Theorem 2.3.** For  $\sigma > 1$

$$\zeta(s) = -\frac{\Gamma(1-s)}{2\pi i} \int_C \frac{(-z)^{s-1}}{e^z - 1} dz$$

where  $(-z)^{s-1}$  is defined on the  $\mathbf{C} - (0, \infty)$  as  $e^{(s-1)\log(-z)}$  with  $-\pi < \operatorname{Im}(\log(-z)) < \pi$

*Proof:* The integral is obviously convergent. By Cauchy's theorem its value does not depend on the shape of  $C$  as long as  $C$  does not enclose any limit we are left with an integral back and forth along the positive real axis.

On the upper edge  $(-z)^{s-1} = e^{(s-1)(\log z - \pi i)} = x^{s-1} e^{-(s-1)\pi i}$  and on the lower edge  $(-z)^{s-1} = e^{(s-1)(\log z + \pi i)} = x^{s-1} e^{(s-1)\pi i}$ . We obtain

$$\begin{aligned} \int_C \frac{(-z)^{s-1}}{e^z - 1} dz &= - \int_0^\infty \frac{x^{s-1} e^{-(s-1)\pi i}}{e^x - 1} dx + \int_0^\infty \frac{x^{s-1} e^{(s-1)\pi i}}{e^x - 1} dx \\ &= 2i \sin((s-1)\pi) \zeta(s) \Gamma(s) \\ &= -2\pi i \frac{\zeta(s)}{\Gamma(1-s)} \end{aligned}$$

**Theorem 2.4.** The  $\zeta(s)$  can be extended to a meromorphic function in the whole plane whose only pole is a simple pole at  $s = 1$  with the residue 1.

*Proof:* It is indeed quite obvious that the integral  $\int_C \frac{(-z)^{s-1}}{e^z - 1} dz$  is an entire function of  $s$ , while  $\Gamma(1-s)$  is meromorphic with poles at  $s = 1, 2, \dots$ . Because  $\zeta(s)$  is already known to be analytic for  $\sigma > 1$ , the poles at the integers  $n \geq 2$  must cancel against zeros of the integral.

At  $s = 1$ ,  $-\Gamma(1 - s)$  has a simple pole with the residue 1. On the other hand,

$$\frac{1}{2\pi i} \int_C \frac{dz}{e^z - 1} = 1$$

by residues, so  $\zeta(s)$  has the residue 1.

**Theorem 2.5.** The values  $\zeta(-n)$  at the negative integers and zero can be evaluated explicitly. Recall the expansion

$$\frac{1}{e^z - 1} = \frac{1}{z} - \frac{1}{2} + \sum_{k=1}^{\infty} (-1)^{k-1} \frac{B_k}{(2k)!} z^{2k-1}$$

From (59)

$$\zeta(-n) = (-1)^n \frac{n!}{2\pi i} \int_C \frac{z^{-n-1}}{e^z - 1} dz$$

Hence  $\zeta(-n)$  is equal to  $(-1)^n n!$  times the coefficient of  $z^n$  in (60), and

## §2.3 Functional Equation

**Theorem 2.6.** We have

$$\zeta(s) = 2^s \pi^{s-1} \sin \frac{\pi s}{2} \Gamma(1 - s) \zeta(1 - s)$$

It is equivalent that

$$\zeta(1 - s) = 2^{1-s} \pi^{-s} \cos \frac{\pi s}{2} \Gamma(s) \zeta(s)$$

**Corollary 2.7.** The function

$$\xi(s) = \frac{1}{2} s(1 - s) \pi^{-\frac{s}{2}} \Gamma\left(\frac{s}{2}\right) \zeta(s)$$

is entire and satisfies

$$\xi(s) = \xi(1 - s)$$

# Chapter IV

## Conformal Mappings

### §1 Conformal Mappings

**Definition 1.1.** A bijective holomorphic function  $f : U \rightarrow V$  is called a **conformal map** or **biholomorphism**. Given such a mapping  $f$ , we say that  $U$  and  $V$  are **conformally equivalent** or simply **biholomorphic**.

**Definition 1.2.** A conformal map from an open set  $\Omega$  to itself is called an **automorphism** of  $\Omega$ . The set of all automorphisms of  $\Omega$  is denoted by  $\text{Aut}(\Omega)$ , and carries the structure of a group.

**Theorem 1.3.** If  $f : U \rightarrow V$  is holomorphic and injective, then  $f' \neq 0$  for all  $z \in U$ . In particular, the inverse of  $f$  defined on its range is holomorphic, and thus the inverse of a conformal map is also holomorphic.

In particular, the inverse of  $f$  defined on its range is holomorphic, and thus the inverse of a conformal map is also holomorphic (conformal).

*Proof:* We argue by contradiction, and suppose that  $f'(z_0) = 0$  for some  $z_0 \in U$ . Then

$$f(z) - f(z_0) = \frac{f^k(z_0)}{k!}(z - z_0)^k + G(z) \quad \text{for all } z \text{ near } z_0$$

with  $f^k(z_0) \neq 0$ ,  $k \geq 2$  and  $G$  vanishing to order  $k+1$  at  $z_0$ . For sufficiently small  $|w| < \left| \frac{f^k(z_0)}{k!} \right|^{\frac{1}{k}}$ , we write

$$f(z) - f(z_0) - w = F(z) + G(z)$$

where  $F(z) = \frac{f^k(z_0)}{k!}(z - z_0)^k - w$ . Let  $M|w|^{1/k} > r > \left| \frac{wk!}{f^k(z_0)} \right|^{1/k}$ , then  $|G(z)| < \varepsilon_1 < |w| - \varepsilon_2 < |F(z)|$  on circle  $\partial B(z_0, r)$  (For sufficiently small  $|w|$ ), and  $F$  has at least two zeros in  $B(z_0, r)$ . Rouché's theorem implies that  $f(z) - f(z_0) - w = F + G$  has at least two zeros in  $B(z_0, r)$ . Since  $f'(z) \neq 0$  for all  $z \in B(z_0, r) - \{z_0\}$ , it follows that the roots of  $f(z) - f(z_0) - w$  are distinct, hence  $f$  is not injective, a contradiction.

Now let  $g = f^{-1}$  denote the inverse of  $f$  on its range  $f(U)$ . Suppose  $f(z_0) = w_0 \in f(U)$  and

$f(z) = w$  is close to  $w_0$ . If  $w \neq w_0$ , we have

$$\frac{g(w) - g(w_0)}{w - w_0} = \frac{1}{\frac{w-w_0}{g(w)-g(w_0)}} = \frac{1}{\frac{f(z)-f(z_0)}{z-z_0}}$$

Since  $f'(z_0) \neq 0$ , we may let  $w \rightarrow w_0$  that implies  $z \rightarrow z_0$  and conclude that  $g$  is holomorphic at  $w_0$  with  $g'(w_0) = 1/f'(g(w_0))$ .

## §2 The Schwarz Lemma

**Theorem 2.1** (Schwarz lemma). *Let  $f : B(0, 1) \rightarrow B(0, 1)$  be holomorphic with  $f(0) = 0$ . Then*

$$|g(z)| = \left| \frac{f(z)}{z} \right| \leq 1 \quad \text{for all } z \in \mathbb{D}$$

*If for some  $z_0 \in \mathbb{D}$  we have  $|f(z_0)| = |z_0|$ , then  $f$  is a rotation.*

**Theorem 2.2** ( $\text{Aut}(\mathbb{D})$ ). *If  $f$  is an automorphism of the unit disc, then there exist  $\theta \in \mathbb{R}$  and  $\alpha \in \mathbb{D}$  such that*

$$f(z) = e^{i\theta} \frac{z - \alpha}{\bar{\alpha}z - 1}$$

**Theorem 2.3** ( $\text{Aut}(\mathbb{H})$ ). *Every automorphism of upper half-plane  $\mathbb{H}$  takes the form*

$$f_M(z) = \frac{az + b}{cz + d}$$

*for some*

$$M = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{R})$$

*Conversely, every map of this form is an automorphism of  $\mathbb{H}$ .*

*Proof: Step 1. If  $M \in SL_2(\mathbb{R})$ , then  $f_M$  maps  $\mathbb{H}$  to itself. This is clear from the observation that*

*Step 2. If  $M$  and  $M'$  are two matrices in  $G$ , then  $f_M \circ f_{M'} = f_{MM'}$ . As a consequence, we can prove the first half of the theorem. Each  $f_M$  is an automorphism because it has a holomorphic inverse  $f_{M^{-1}}$ .*

*Step 3. Given any two points  $w_1$  and  $w_2$  in  $\mathbb{H}$ , there exists  $M \in SL_2(\mathbb{R})$  such that  $f_M(w_1) = w_2$ , and therefore  $G$  acts transitively on  $\mathbb{H}$ . (Indeed, let  $f(z) = \frac{z + \text{Re}(w_2) - \text{Re}(w_1)}{\text{Im}(w_1)/\text{Im}(w_2)}$ )*

*Step 4.*

*Step 5. We can now complete the proof of the theorem. We suppose  $f$  is an automorphism of  $\mathbb{H}$  with  $f(\beta) = i$ , and consider a matrix  $N \in G$  such that  $f_N(i) = \beta$ . Then  $g = f \circ f_N$  satisfies  $g(i) = i$ , and therefore  $F \circ g \circ F^{-1}$  is an automorphism of the disc that fixes the origin. So  $F \circ g \circ F^{-1}$  is a rotation, and by Step 4 there exists  $R$  such that*

*Therefore, if we identify the two matrices  $M$  and  $-M$ , then we obtain a new group  $PSL_2(\mathbb{R})$  called the projective special linear group; this group is isomorphic with  $\text{Aut}(\mathbb{H})$ .*

### §3 The Riemann mapping theorem

**Theorem 3.1.** *Given any simply connected region  $\Omega$  which is not the whole plane, and a point  $z_0 \in \Omega$ , there exists a unique analytic function  $f(z)$  in  $\Omega$ , normalized by the conditions  $f(z_0) = 0$ ,  $f'(z_0) > 0$ , such that  $f$  defines a one-to-one mapping of  $\Omega$  to the disk  $|w| < 1$*

### §4 The Schwarz-Christoffel Formula

#### §4.1 Mapping unit disk to polygons

**Theorem 4.1.** *The function  $w = f(z)$  which maps  $|z| < 1$  conformally onto polygons with angles  $\alpha_k \pi$  are of the form*

$$f(z) = C \int_0^z \prod_{k=1}^n (z - z_k)^{\alpha_k - 1} dz + C'$$

where  $z_k$  are points on the  $|z| = 1$ , and  $C, C'$  are complex constants.

#### §4.2 Mapping Upper-half Plane to Polygons

**Theorem 4.2.** *If function  $w = f(z)$  which maps  $\mathbb{H} = \{z : \text{Im} z > 0\}$  conformally onto the inside of a polygons  $G$  with vertices  $w_1, w_2, \dots, w_n$  and interior angles  $\alpha_k \pi$  of vertex  $w_k$ . Suppose that  $z_k \in \mathbb{R}$  correspond to  $w_k$  that;  $f(z_k) = w_k$ , and  $-\infty < z_1 < z_2 < \dots < z_n \leq \infty$ . Then  $f$  is of the form*

(1)

$$f(z) = C \int_0^z \prod_{k=1}^n (\zeta - z_k)^{\alpha_k - 1} d\zeta + C'$$

if  $|z_k| < \infty$ .

(2) Otherwise, if  $z_n = \infty$ , the form of  $f$  is

$$f(z) = C \int_0^z \prod_{k=1}^{n-1} (\zeta - z_k)^{\alpha_k - 1} d\zeta + C'$$

**Theorem 4.3** (Uniqueness in a way). *Let  $z_1, z_2, z_3$  belong to  $\mathbb{R}$  and polygons with vertices  $w_k$  (regardless of the order). Then there exists a unique  $f \in C(\overline{\mathbb{H}}) \cap H(\mathbb{H})$  that maps  $\mathbb{H}$  conformally onto the inside of a polygons with  $f(z_i) = w_i$  ( $i = 1, 2, 3$ ).*

### §5

**Theorem 5.1.**

$$\varphi_a(z) = \frac{z - a}{\bar{a}z - 1}$$

which maps  $a$  to 0, 0 to  $a$  and  $\varphi \circ \varphi(z) = z$ .

**Theorem 5.2.** *Map the complement of a line segment onto the inside (of outside) of a circle.*

$$\overline{\mathbb{C}} - (a, b) \longrightarrow \overline{\mathbb{C}} - (-\infty, 0)$$

where  $a, b \in \mathbb{R}$  by

$$f(z) = \frac{z - a}{b - z}$$

**Theorem 5.3.** *Let*

$$f(z) = \frac{1}{2} \left( z + \frac{1}{z} \right)$$

*maps  $z = \rho e^{i\theta}$  to*

$$x = \frac{1}{2} \left( \rho + \frac{1}{\rho} \right) \cos \theta$$

$$y = \frac{1}{2} \left( \rho - \frac{1}{\rho} \right) \sin \theta$$

*Elimination of  $\theta$  yields*

$$\frac{x^2}{\left[ \frac{1}{2}(\rho + \rho^{-1}) \right]^2} + \frac{y^2}{\left[ \frac{1}{2}(\rho - \rho^{-1}) \right]^2} = 1$$



# Chapter V

## Elliptic Functions

### §1 Elliptic functions

**Definition 1.1.** *There are two non-zero complex numbers  $\omega_1$  and  $\omega_2$  such that*

$$f(z + \omega_1) = f(z) \quad \text{and} \quad f(z + \omega_2) = f(z)$$

*for all  $z \in \mathbb{C}$ . A function with two periods is said to be doubly periodic.*

*If the periods  $\omega_1$  and  $\omega_2$  are linearly independent over  $\mathbb{R}$ , we now describe a normalization. Let  $\tau = \omega_2/\omega_1$  and assume (after possibly interchanging the roles of  $\omega_1$  and  $\omega_2$ ) that  $\text{Im}(\tau) > 0$ .*

*It is therefore natural to consider the lattice in  $\mathbb{C}$  defined by*

$$\Lambda = \{n\omega_1 + m\omega_2 : n, m \in \mathbb{Z}\}$$

*We say that 1 and  $\tau$  generate  $\Lambda$ .*

*Associated to the lattice  $\Lambda$  is the fundamental parallelogram defined by*

$$P_0 = \{z \in \mathbb{C} : z = a + b\tau \text{ where } 0 \leq a < 1 \text{ and } 0 \leq b < 1\}$$

*A period parallelogram  $P$  is any translate of the fundamental parallelogram,  $P = P_0 + h$  with  $h \in \mathbb{C}$*

*Two complex numbers  $z$  and  $w$  are congruent modulo  $\Lambda$  if  $z - w \in \Lambda$ , and we write  $z \sim w$*

**Proposition 1.2.** *Suppose  $f$  is a meromorphic function with two periods 1 and  $\tau$  which generate the lattice  $\Lambda$ . Then:*

- (i) Every point in  $\mathbb{C}$  is congruent to a unique point in any given period parallelogram (fundamental parallelogram).*
- (ii) The lattice  $\Lambda$  provides a disjoint covering of the complex plane, in the sense of*

$$\mathbb{C} = \bigcup_{h \in \Lambda} P_0 + h$$

- (iii) The function  $f$  is completely determined by its values in any period parallelogram.
- (iv) The number of poles of  $f$  is same in all period parallelograms.

**Theorem 1.3.** *An entire doubly periodic function is constant.*

**Definition 1.4.** *A non-constant doubly periodic meromorphic function is called an **elliptic function**.*

**Theorem 1.5.** *The total number of poles of an elliptic function in  $P_0$  is always  $\geq 2$ .*

*Proof:* Suppose first that  $f$  has no poles on the boundary  $\partial P_0$ . By the residue theorem and period of  $f$  we have

$$2\pi i \sum \text{res } f = \int_{\partial P_0} f(z) dz = 0$$

Therefore  $f$  must have at least two poles in  $P_0$ .

If  $f$  has a pole on  $\partial P_0$  choose a small  $h \in \mathbb{C}$  so that if  $P = h + P_0$ , then  $f$  has no poles on  $\partial P$ . Arguing as before, we find that  $f$  must have at least two poles in  $P$ , and therefore the same conclusion holds for  $P_0$ .

**Theorem 1.6.** *The total number of poles (counted according to their multiplicities) of an elliptic function in  $(P_0)$  is called its order.*

**Theorem 1.7.** *Every elliptic function of order  $m$  has  $m$  zeros in  $P_0$ .*

*Proof:* Assuming first that  $f$  has no zeros or poles on the boundary  $\partial P$  of  $P$ , we know by the argument principle and periodicity of  $f$  that

$$0 = \int_{\partial P_0} \frac{f'(z)}{f(z)} dz = 2\pi i (N_z - N_p)$$

In the case when a pole or zero of  $f$  lies on  $\partial P_0$  it suffices to apply the argument to a translate of  $P$ .

**Corollary 1.8.** *If  $f$  is elliptic then the equation  $f(z) = c$  has as many solutions as the order of  $f$  for every  $c \in \mathbb{C}$ .*

## §2 The Weierstrass $\wp$ function

**Definition 2.1.** Let  $\Lambda^*$  denote the lattice  $\Lambda = \omega_1\mathbb{Z} \oplus \omega_2\mathbb{Z}$  minus the origin. The **Weierstrass  $\wp(z; \Lambda)$  function**, which is given by the series

$$\wp(z; \Lambda) = \frac{1}{z^2} + \sum_{\omega \in \Lambda^*} \left[ \frac{1}{(z - \omega)^2} - \frac{1}{\omega^2} \right]$$

**Theorem 2.2.** *The function  $\wp$  is an elliptic function that has periods  $\omega_1$  and  $\omega_2$ , and double poles at the lattice points.*

*Proof: Step 1. To see this, suppose that  $|z| < R$ , and write*

$$\wp(z; \Lambda) = \frac{1}{z^2} + \sum_{|\omega| \leq 2R} \left[ \frac{1}{(z - \omega)^2} - \frac{1}{\omega^2} \right] + \sum_{|\omega| > 2R} \left[ \frac{1}{(z - \omega)^2} - \frac{1}{\omega^2} \right]$$

*The term in the second sum is  $O(1/|\omega|^3)$  uniformly for  $|z| < R$ , so by Lemma 1.5 this second sum defines a holomorphic function in  $B(0, R)$ . Finally, note that the first sum exhibits double poles at the lattice points in the disc  $B(0, R)$ .*

*Step 2. To prove that  $\wp$  is periodic with the correct periods, note that the derivative is given by differentiating the series for  $\wp$  termwise so*

$$\wp'(z) = -2 \sum \frac{1}{(z - \omega)^3}$$

*This accomplishes two things for us. First, the differentiated series converges absolutely whenever  $z$  is not a lattice point, by the case  $r = 3$  of Lemma 1.5. Second, the differentiation also eliminates the subtraction term  $1/\omega^2$ ; therefore the series for  $\wp'$  is clearly periodic with periods 1 and  $\tau$ , that is,  $\wp'(z + w_1) = \wp'(z)$  and  $\wp'(z + w_2) = \wp'(z)$ . Hence, there are two constants  $a$  and  $b$  such that*

$$\wp(z + w_1) = \wp(z) + a \quad \text{and} \quad \wp(z + w_2) = \wp(z) + b$$

*It is clear from the definition, however, that  $\wp$  is even, since the sum over  $\omega \in \Lambda$  can be replaced by the sum over  $-\omega \in \Lambda$ . Therefore  $\wp(-w_1/2) = \wp(w_1/2)$  and  $\wp(-w_2/2) = \wp(w_2/2)$ , respectively, in the two expressions above proves that  $a = b = 0$ .*

## §2.1

**Theorem 2.3** (Legendre's relation). *Since  $\wp$  has zero residues, it is the derivative of a single-valued function denote  $-\zeta$*

$$\zeta(z) = \frac{1}{z} + \sum_{\omega \neq 0} \frac{1}{z - \omega} + \frac{1}{\omega} + \frac{z}{\omega^2}$$

*It is clear that  $\zeta$  satisfies conditions*

$$\zeta(z + \omega_1) = \zeta(z) + \eta_1, \quad \zeta(z + \omega_2) = \zeta(z) + \eta_2$$

*since  $\zeta' = -\wp$ . We choose any  $a \neq 0$  and observe that*

$$\frac{1}{2\pi i} \int_{\partial P_a} \zeta(z) \, dz = 1$$

*by residue theorem, and obtain*

$$\eta_1 \omega_2 - \eta_2 \omega_1 = 2\pi i$$

*known as **Legendre's relation**.*

**Theorem 2.4.** *The canonical product associated with  $\Lambda$*

$$\sigma(z) = z \prod_{\omega \neq 0} \left(1 - \frac{z}{\omega}\right) e^{\frac{z}{\omega} + \frac{1}{2}\left(\frac{z}{\omega}\right)^2}$$

*converges and represent an entire function which satisfies*

$$\frac{\sigma'(z)}{\sigma(z)} = \frac{d \log \sigma(z)}{dz} = \zeta(z)$$

*and*

$$\sigma(z + \omega_1) = -\sigma(z)e^{\eta_1(z + \frac{\omega_1}{2})}, \quad \sigma(z + \omega_2) = -\sigma(z)e^{\eta_2(z + \frac{\omega_2}{2})}$$

*Proof:* Then we have

$$\frac{\sigma'(z + \omega_1)}{\sigma(z + \omega_1)} = \frac{\sigma'(z)}{\sigma(z)} + \eta_1$$

*it is follows at once that*

$$\sigma(z + \omega_1) = C\sigma(z)e^{\eta_1 z}$$

*On setting  $z = -\omega_1/2$  the value of  $C$  can be determined, and we find that*

$$\sigma(z + \omega_1) = -\sigma(z)e^{\eta_1(z + \frac{\omega_1}{2})}$$

*Similarly, it is also that*

$$\sigma(z + \omega_2) = -\sigma(z)e^{\eta_2(z + \frac{\omega_2}{2})}$$

## §2.2

**Proposition 2.5.** *Let  $\wp(z; \Lambda)$*

*(1)  $\wp$  is even and  $\wp'$  is odd.*

*(2)  $\wp'$  vanish at  $\frac{1}{2}\Lambda$  and*

*(3)*

$$\wp\left(\frac{1}{2}\Lambda\right) = \left\{ \wp\left(\frac{\omega_1}{2}\right), \wp\left(\frac{\omega_2}{2}\right), \wp\left(\frac{\omega_1 + \omega_2}{2}\right) \right\}$$

*(4) If we define*

$$\wp\left(\frac{\omega_1}{2}\right) = e_1, \quad \wp\left(\frac{\omega_2}{2}\right) = e_2 \quad \text{and} \quad \wp\left(\frac{\omega_1 + \omega_2}{2}\right) = e_3$$

*we conclude that the equation  $\wp(z) = e_i$  has a double root ( $\wp'(e_i) = 0$ ). Since  $\wp$  has order 2, there are no other solutions to the equation  $\wp(z) = e_i$  in the fundamental parallelogram. In particular, the three numbers  $e_1, e_2$  and  $e_3$  are distinct.*

**Theorem 2.6.** *The function  $(\wp')^2$  is the cubic polynomial in  $\wp$*

$$(\wp')^2 = 4(\wp - e_1)(\wp - e_2)(\wp - e_3)$$

### §3 The Representation of Elliptic Function

**Theorem 3.1.** *Any elliptic function with period  $\omega_1, \omega_2$  can be written as*

$$C \prod_{k=1}^n \frac{\sigma(z - a_k)}{\sigma(z - b_k)}$$

where  $n$  is the order and  $a_k$  are congruence class of all zeros,  $b_k$  are congruence class of all poles that satisfies  $\sum a_k = \sum b_k$ .

**Lemma 3.2.** *Every even elliptic function  $F$  with periods  $\omega_1$  and  $\omega_2$  is a rational function of  $\wp$ .*

*Proof:* If  $F$  has a zero or pole at the origin it must be of even order, since  $F$  is an even function. As a consequence, there exists an integer  $m$  so that  $F\wp^m$  has no zero or pole at the lattice points. We may therefore assume that  $F$  itself has no zero or pole on  $\Lambda$ .

If  $a$  is a zero of  $F$ , then so is  $-a$ , since  $F$  is even. If the points  $a_1, -a_1, \dots, a_m, -a_m$  counted with multiplicities (modulo  $\Lambda$ ) describe all the zeros of  $F$  has precisely the same roots as  $F$ . A similar argument, where  $b_1, -b_1, \dots, b_m, -b_m$  (with multiplicities) describe all the poles of  $F$ , then shows that

$$G(z) = \frac{[\wp(z) - \wp(a_1)] \cdots [\wp(z) - \wp(a_m)]}{[\wp(z) - \wp(b_1)] \cdots [\wp(z) - \wp(b_m)]}$$

is periodic and has the same zeros and poles as  $F$ . Therefore,  $F/G$  is holomorphic and doubly-periodic, hence constant. This concludes the proof of the lemma.

**Theorem 3.3.** *Every elliptic function  $f$  with periods  $\omega_1$  and  $\omega_2$  is a rational function of  $\wp$  and  $\wp'$ .*

*Proof:* We first recall that  $\wp$  is even while  $\wp'$  odd. We then write  $f$  as a sum of an even and an odd function

$$f = f_{\text{even}} + f_{\text{odd}}$$

Then, since  $f_{\text{odd}}/\wp'$  is even, it is clear from the lemma applied to  $f_{\text{even}}$  and  $f_{\text{odd}}/\wp'$  that  $f$  is a rational function of  $\wp$  and  $\wp'$ .

**Theorem 3.4.**

$$\wp(z) - \wp(u) = -\frac{\sigma(z-u)\sigma(z+u)}{\sigma(z)^2\sigma(u)^2}$$

Taking logarithmic derivatives, then

$$\frac{\wp'(z)}{\wp(z) - \wp(u)} = \zeta(z-u) + \zeta(z+u) - \zeta(2z)$$

If we change  $z$  and  $u$  and add them

$$\zeta(z+u) = \zeta(z) + \zeta(u) + \frac{1}{2} \frac{\wp'(z) - \wp'(u)}{\wp(z) - \wp(u)}$$

**Theorem 3.5** (Second derivative).

$$\wp'' = 6\wp^2 - \frac{1}{2}g_2$$

**Theorem 3.6** (Addition theorem for  $\wp$ ).

$$\wp(z+u) = -\wp(z) - \wp(u) + \frac{1}{4} \left( \frac{\wp'(z) - \wp'(zu)}{\wp(z) - \wp(u)} \right)^2$$

*Proof:* We recall that

$$\zeta(z+u) = \zeta(z) + \zeta(u) + \frac{1}{2} \frac{\wp'(z) - \wp'(zu)}{\wp(z) - \wp(u)}$$

Taking derivative

$$-\wp(z+u) = -\wp(z) + \frac{1}{2} \frac{\wp''(z)(\wp(z) - \wp(u)) - \wp'(z)(\wp'(z) - \wp'(u))}{(\wp(z) - \wp(u))^2}$$

then change  $z$  and  $u$ , we

$$\begin{aligned} & -2\wp(z+u) \\ &= -\wp(z) - \wp(u) + \frac{1}{2} \frac{(\wp''(z) - \wp''(u))(\wp(z) - \wp(u)) - (\wp'(z) - \wp'(u))^2}{(\wp(z) - \wp(u))^2} \\ &= 2(\wp(z) + \wp(u)) + \frac{1}{2} \left( \frac{\wp'(z) - \wp'(u)}{\wp(z) - \wp(u)} \right)^2 \end{aligned}$$

by  $\wp'' = 6\wp^2 - \frac{1}{2}g_2$ .

**Corollary 3.7.**

$$\wp(2z) = -2\wp(z) + \frac{1}{4} \left( \frac{\wp''(z)^2}{\wp'(z)^2} \right)^2$$

## §4 The Differential Equation

**Definition 4.1.** The *Eisenstein series* of order  $k$  is defined by

$$E_k(\tau) = \sum_{\omega \neq 0} \frac{1}{\omega^{2k}}$$

whenever  $k$  is an integer  $\geq 2$ .

**Theorem 4.2.** Eisenstein series have the following properties:

- (i) The series  $E_k(\tau)$  converges if  $k \geq 2$ , and is holomorphic in the upper half-plane.
- (ii)  $E_k(\tau)$  satisfies the following transformation relations:

$$E_K(\tau+1) = E_K(\tau) \quad \text{and} \quad E_K(\tau) = \tau^{-k} E_K(-1/\tau)$$

The last property is sometimes referred to as the modular character of the Eisenstein series.

**Theorem 4.3.** *For  $z$  near 0, we have*

$$\begin{aligned}\wp(z) &= \frac{1}{z^2} + 3E_2z^2 + 5E_3z^4 + \dots \\ &= \frac{1}{z^2} + \sum_{k=2}^{\infty} (2k-1)E_kz^{2k-2}\end{aligned}$$

*Proof:* From the definition of  $\wp$ , if we note that we may replace  $\omega$  by  $-\omega$  without changing the sum, we have

$$\wp(z) = \frac{1}{z^2} + \sum_{\omega \in \Lambda^*} \left[ \frac{1}{(z+\omega)^2} - \frac{1}{\omega^2} \right] = \frac{1}{z^2} + \sum_{\omega \in \Lambda^*} \left[ \frac{1}{(z-\omega)^2} - \frac{1}{\omega^2} \right]$$

The identity

$$\frac{1}{(1-w)^2} = \sum_{\ell=0}^{\infty} (\ell+1)w^{\ell}, \quad \text{for } |w| < 1$$

implies that for all small  $z$

$$\frac{1}{(z-\omega)^2} = \frac{1}{\omega^2} \sum_{\ell=0}^{\infty} (\ell+1) \left( \frac{z}{\omega} \right)^{\ell} = \frac{1}{\omega^2} + \frac{1}{\omega^2} \sum_{\ell=1}^{\infty} (\ell+1) \left( \frac{z}{\omega} \right)^{\ell}$$

Therefore

$$\begin{aligned}\wp(z) &= \frac{1}{z^2} + \sum_{\omega \in \Lambda^*} \sum_{\ell=1}^{\infty} (\ell+1) \frac{z^{\ell}}{\omega^{\ell+2}} \\ &= \frac{1}{z^2} + \sum_{\ell=1}^{\infty} (\ell+1) \left( \sum_{\omega \in \Lambda^*} \frac{1}{\omega^{\ell+2}} \right) z^{\ell} \\ &= \frac{1}{z^2} + \sum_{k=2}^{\infty} (2k-1)E_kz^{2k-2}\end{aligned}$$

**Corollary 4.4** (Differential Equation). *If  $g_2 = 60E_2$  and  $g_3 = 140E_3$ , then*

$$(\wp')^2 = 4\wp^3 - g_2\wp - g_3$$

*Proof:* From the previous theorem, we obtain the following three expansions for  $z$  near 0

$$\begin{aligned}\wp'(z) &= \frac{-2}{z^3} + 6E_4z + 20E_6z^3 + \dots, \\ (\wp'(z))^2 &= \frac{4}{z^6} - \frac{24E_4}{z^2} - 80E_6 + \dots, \\ (\wp(z))^3 &= \frac{1}{z^6} + \frac{9E_4}{z^2} + 15E_6 + \dots\end{aligned}$$

From these, one sees that the difference  $(\wp'(z))^2 - 4(\wp(z))^3 + 60E_4\wp(z) + 140E_6$  is holomorphic near 0, and in fact equal to 0 at the origin. Since this difference is also doubly periodic, we conclude that it is constant, and hence identically 0.

## §5 Modular Function

**Definition 5.1.** Notes that  $e_1 = \wp\left(\frac{\omega_1}{2}\right)$ ,  $e_2 = \wp\left(\frac{\omega_2}{2}\right)$  and  $e_3 = \wp\left(\frac{\omega_1+\omega_2}{2}\right)$  are homogeneous of order  $-2$  in  $\omega_1, \omega_2$ . We conclude that the quantity

$$\lambda(\tau) = \frac{e_3 - e_2}{e_1 - e_2}$$

depends on the  $\tau = \frac{\omega_2}{\omega_1}$  is analytic in the half plane  $\text{Im } \tau > 0$ .

**Theorem 5.2.**

$$\lambda\left(\frac{a\tau + b}{c\tau + d}\right) = \lambda(\tau)$$

for all

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \pmod{2}$$

**Theorem 5.3.** Under a modular transformation

(1)

$$\begin{pmatrix} \omega'_2 \\ \omega'_1 \end{pmatrix} = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} \omega_2 \\ \omega_1 \end{pmatrix}$$

we have  $e'_1 = e_1$ ,  $e'_2 = e_3$ ,  $e'_3 = e_2$  and  $\tau' = \tau + 1$

$$\lambda(\tau + 1) = \lambda(\tau') = \frac{e'_3 - e'_2}{e'_1 - e'_2} = \frac{e_2 - e_3}{e_1 - e_3} = \frac{\lambda(\tau)}{\lambda(\tau) - 1}$$

(2)

$$\begin{pmatrix} \omega'_2 \\ \omega'_1 \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} = \begin{pmatrix} \omega_2 \\ \omega_1 \end{pmatrix}$$

we have

$$\lambda\left(-\frac{1}{\tau}\right) = 1 - \lambda(\tau)$$



# Chapter VI

## Global Analytic Function

### §1 Picard's Theorem

**Theorem 1.1** (Picard's Theorem). *An entire function with more than one finite lacunary value reduces to a constant.*

*Proof:* Suppose that  $f$  has two finite lacunary values 0 and 1. Considering the modular function

$$\tau : \mathbb{H} \rightarrow \mathbb{C} - \{0, 1\}$$

is a covering map and holomorphic, where  $\mathbb{H} = \{z : \text{Im}(z) > 0\}$ . By lifting theorem (since domain  $\mathbb{C}$  is simply connected), there is holomorphic function

$$\tilde{f} : \mathbb{C} \rightarrow \mathbb{H}$$

that

$$\tau \circ \tilde{f} = f$$

$$\begin{array}{ccc} \mathbb{C} & \xrightarrow{\tilde{f}} & \mathbb{H} \\ & \searrow f & \downarrow \tau \\ & & \mathbb{C} \setminus \{0, 1\} \end{array}$$

Thus

$$\phi \circ \tilde{f} : \mathbb{C} \rightarrow \mathbb{D}$$

is a bounded entire function, where

$$\phi(z) = \frac{z - i}{z + i} : \mathbb{H} \rightarrow \mathbb{D}$$

Therefore,  $\tilde{f}$  must be a constant and  $f = \tau \circ \tilde{f}$