Commutative Algebra

HHH

December 20, 2025

Contents

Part I

Commutative Ring and Modules

Chapter I

§1 Ideals quotient

Definition 1.1. *If $\mathfrak{a}, \mathfrak{b}$ are ideals in a commutative ring R, their* ***ideal quotient*** *is*

$$(\mathfrak{a} : \mathfrak{b}) = \{x \in R : x\mathfrak{b} \subseteq \mathfrak{a}\}$$

which is an ideal. If $\mathfrak{b}$ is a principal ideal (x), we shall write $(\mathfrak{a} : x)$ in place of $(\mathfrak{a} : (x))$.

Proposition 1.2. *Let R be a commutative ring. Then*

1. $\mathfrak{a} \subseteq (\mathfrak{a} : \mathfrak{b})$
2. $(\mathfrak{a} : \mathfrak{b})\mathfrak{b} \subseteq \mathfrak{a}$
3. $((\mathfrak{a} : \mathfrak{b}) : \mathfrak{c}) = (\mathfrak{a} : \mathfrak{b}\mathfrak{c}) = ((\mathfrak{a} : \mathfrak{c}) : \mathfrak{b})$
4. $(\bigcap_i \mathfrak{a}_i : \mathfrak{b}) = \bigcap_i (\mathfrak{a}_i : \mathfrak{b})$
5. $(\mathfrak{a} : \sum_i \mathfrak{b}_i) = \bigcap_i (\mathfrak{a} : \mathfrak{b}_i)$.

§2 Primary Decomposition

Throught this section, R be a commutative ring with identity

§2.1 Primary (Submodule) Ideals

Definition 2.1. *Let A be a commutative ring with identity and M an A-module.*

1. *An ideal $\mathfrak{q}$ in A is* ***primary*** *if $\mathfrak{q} \neq A$ and if*

$$xy \in \mathfrak{q}, x \notin \mathfrak{q} \Rightarrow y^n \in \mathfrak{q} \text{ for some } n > 0.$$

   In other words, $\mathfrak{q}$ is primary $\Leftrightarrow R/\mathfrak{q} \neq 0$ and every zero-divisor in $R/\mathfrak{q}$ is nilpotent.

2. *A submodule Q of M is primary if $Q \neq M$ and if*

$$r \in R, m \in M - Q \text{ and } rm \in Q \Rightarrow r^n M \subset Q \text{ for some positive integer } n$$

It is equivalent that

- $(Q : M) = \operatorname{Ann}(M/Q)$ *is a primary ideal in* R
- *principal homomorphism* $a_{M/Q}$ *is injective or nilpotent for each* $a \in R$

Remark. *If we view* A *as itself* A*-module, the two definition are equivalent for* A.

Proposition 2.2. *Let* A *be a commutative ring and* M *an* A*-module.*

1. *If* $\mathfrak{q}$ *is a primary ideal in* A*, ideal* $\mathfrak{p} = \operatorname{Rad}(\mathfrak{q})$ *is a prime ideal containing* $\mathfrak{q}$*. The radical* $\mathfrak{p}$ *is called the* ***associated prime ideal of*** $\mathfrak{q}$ *or that* $\mathfrak{q}$ *is* $\mathfrak{p}$***-primary***.

2. *If* N *is a primary submodule of* M*,* $(N : M) = \{r \in A \mid rM \subset N\}$ *is a primary ideal in* A*. Thus* $\mathfrak{p} = \operatorname{Rad}(N : M) = \{r \in A \mid r^n M \subset N \text{ for some } n > 0\}$ *is a prime ideal in* A *. The primary submodule* N *of a module* M *is said to* ***belong to a prime ideal*** $\mathfrak{p}$ *or to be a* $\mathfrak{p}$***-primary submodule*** *of* M.

Theorem 2.3. *Let* A *be a commutative ring,* $\mathfrak{q}$ *and* $\mathfrak{p}$ *be ideals in* A*. Then* $\mathfrak{q}$ *is primary for* $\mathfrak{p}$ *if and only if*

(i) $\mathfrak{q} \subset \mathfrak{p} \subset \operatorname{Rad}(\mathfrak{q})$

(ii) if $ab \in \mathfrak{q}$ *and* $a \notin \mathfrak{q}$*, then* $b \in \mathfrak{p}$.

Proof. Suppose (i) and (ii) hold. If $ab \in \mathfrak{q}$ with $a \notin \mathfrak{q}$, then $b \in \mathfrak{p} \subset \operatorname{Rad}\mathfrak{q}$, whence $b^n \in \mathfrak{q}$ for some $n > 0$. Therefore $\mathfrak{q}$ is primary.

To show that $\mathfrak{q}$ is primary for $\mathfrak{p}$ we need only show $\mathfrak{p} = \operatorname{Rad}\mathfrak{q}$. By (i), $\mathfrak{p} \subset \operatorname{Rad}\mathfrak{q}$. If $b \in \operatorname{Rad}\mathfrak{q}$, let n be the least integer such that $b^n \in \mathfrak{q}$. If $n = 1, b \in \mathfrak{q} \subset \mathfrak{p}$. If $n > 1$, then $b^{n-1}b = b^n \in \mathfrak{q}$, with $b^{n-1} \notin \mathfrak{q}$ by the minimality of n. By (ii), $b \in \mathfrak{p}$. Thus $b \in \operatorname{Rad}\mathfrak{q}$ implies $b \in \mathfrak{p}$, whence $\operatorname{Rad}\mathfrak{q} \subset \mathfrak{p}$. □

Corollary 2.4. *Let* A *be a commutative ring with identity, if* $\mathfrak{q}_1, \mathfrak{q}_2, \ldots, \mathfrak{q}_n$ *are* $\mathfrak{p}$*-primary, then* $\bigcap_{i=1}^n \mathfrak{q}_i$ *is also* $\mathfrak{p}$*-primary.*

Proof. Let $\mathfrak{q} = \bigcap_{i=1}^n \mathfrak{q}_i$. Then by 1.2, $\operatorname{Rad}\mathfrak{q} = \bigcap_{i=1}^n \operatorname{Rad}\mathfrak{q}_i = \bigcap_{i=1}^n \mathfrak{p} = \mathfrak{p}$; in particular, $\mathfrak{q} \subset \mathfrak{p} \subset \operatorname{Rad}\mathfrak{q}$. If $ab \in \mathfrak{q}$ and $a \notin \mathfrak{q}$, then $ab \in \mathfrak{q}_i$ and $a \notin \mathfrak{q}_i$ for some i. Since $\mathfrak{q}_i$ is $\mathfrak{p}$-primary, $b \in \mathfrak{p}$ by 2.3. Consequently, $\mathfrak{q}$ itself is $\mathfrak{p}$-primary by 2.3. □

Proposition 2.5. *Clearly every prime ideal is primary. Also the contraction of a primary ideal is primary, for if* $f : A \to B$ *and if* $\mathfrak{q}$ *is a primary ideal in* B*, then* $A/\mathfrak{q}^c$ *is isomorphic to a subring of* B/q.

Proposition 2.6. *If* $\operatorname{Rad}(\mathfrak{a})$ *is maximal, then* $\mathfrak{a}$ *is primary. In particular, the powers of a maximal ideal* $\mathfrak{m}$ *are* $\mathfrak{m}$*-primary.*

Proof. Let $\operatorname{Rad}(\mathfrak{a}) = \mathfrak{m}$. The image of $\mathfrak{m}$ in $A/\mathfrak{a}$ is the nilradical of $A/\mathfrak{a}$, hence $A/\mathfrak{a}$ has only one prime ideal $\pi(\mathfrak{m})$, by (1.8). Hence every element of $A/\mathfrak{a}$ is either a unit or nilpotent, and so every zero-divisor in A/a is nilpotent. □

§2.2 Primary Decomposition

Definition 2.7. *Let R be a commutative ring with identity and M an unitary R-module.*

1. *An ideal $\mathfrak{a}$ of R has a **primary decomposition** if*

   (i) $\mathfrak{a} = \mathfrak{q}_1 \cap \mathfrak{q}_2 \cap \cdots \cap \mathfrak{q}_n$ *with each $\mathfrak{q}_i$ primary*

   *then the primary decomposition is said to be **reduced (or irredundant)** if*

   (ii) no $\mathfrak{q}_i$ contains $\mathfrak{q}_1 \cap \cdots \cap \mathfrak{q}_{i-1} \cap \mathfrak{q}_{i+1} \cap \cdots \cap \mathfrak{q}_n$ and the $\mathfrak{p}_i = \operatorname{Rad} \mathfrak{q}_i$ are all distinct,

2. *A submodule N of N has a **primary decomposition** if*

   (i) $N = Q_1 \cap Q_2 \cap \cdots \cap Q_n$, with each Q_i a $\mathfrak{p}_i$-primary submodule of N for some prime ideal $\mathfrak{p}_i$ of R.

   *then the primary decomposition is said to be **reduced**. if*

   (ii) no Q_i contains $Q_1 \cap \ldots \cap Q_{i-1} \cap Q_{i+1} \cap \ldots \cap Q_n$ and the ideals $\mathfrak{p}_i, \ldots, \mathfrak{p}_i$ are all distinct,

*If $\mathfrak{p}_j \not\subset \mathfrak{p}_i$ for all $j \neq i$, then $\mathfrak{p}_i$ is said to be an **isolated prime** ideal of N. In other words, $\mathfrak{p}_i$ is isolated if it is minimal in the set $\{\mathfrak{p}_1, \ldots, \mathfrak{p}_n\}$. If $\mathfrak{p}_i$ is not isolated it is said to be **embedded**.*

Theorem 2.8. *Let R be a commutative ring with identity and M a unitary module.*

1. *If an ideal $\mathfrak{a}$ of R has a primary decomposition, then $\mathfrak{a}$ has a reduced primary decomposition.*

2. *If a submodule N has a primary decomposition, then N has a reduced primary decomposition.*

Proof. 1. If $\mathfrak{a} = \mathfrak{q}_1 \cap \ldots \cap \mathfrak{q}_n$ ($\mathfrak{q}_i$ primary) and some $\mathfrak{q}_i$ contains $\mathfrak{q}_1 \cap \ldots \cap \mathfrak{q}_{i-1} \cap \mathfrak{q}_{i+1} \cap \cdots \cap \mathfrak{q}_n$, then $\mathfrak{a} = \mathfrak{q}_1 \cap \cdots \cap \mathfrak{q}_{i-1} \cap \mathfrak{q}_{i+1} \cap \cdots \cap \mathfrak{q}_n$ is also a primary decomposition. By thus eliminating the superfluous $\mathfrak{q}_i$ (and reindexing) we have $\mathfrak{a} = \mathfrak{q}_1 \cap \cdots \cap \mathfrak{q}_k$ with no $\mathfrak{q}_i$ containing the intersection of the other $\mathfrak{q}_j$.

Let $\mathfrak{p}_1, \ldots, \mathfrak{p}_r$ be the distinct prime ideals in the set $\{\operatorname{Rad} \mathfrak{q}_1, \ldots, \operatorname{Rad} \mathfrak{q}_k\}$. Let $\mathfrak{q}'_i (1 \leq i \leq r)$ be the intersection of all the $\mathfrak{q}$'s that belong to the prime $\mathfrak{p}_i$, that is,

$$\mathfrak{q}'_i = \bigcap_{\operatorname{Rad}(\mathfrak{q}_j) = \mathfrak{p}_i} \mathfrak{q}_j$$

By 2.4 each $\mathfrak{q}'_i$ is primary for $\mathfrak{p}_i$. Clearly no $\mathfrak{q}'_i$ contains the intersection of all the other $\mathfrak{q}'_i$. Therefore, $\mathfrak{a} = \bigcap_{i=1}^{k} \mathfrak{q}_i = \bigcap_{i=1}^{r} \mathfrak{q}'_i$, whence $\mathfrak{a}$ has a reduced primary decomposition.

2. It is similar to 1. Note that $(\bigcap Q_i : M) = \bigcap (Q_i : M)$. □

Theorem 2.9. *Let R be a commutative ring with identity. If M is an unitary R-module and N is a proper submodule of M with two reduced primary decompositions,*

$$Q_1 \cap Q_2 \cap \cdots \cap Q_k = N = Q'_1 \cap Q'_2 \cap \cdots \cap Q'_s$$

where Q_i is $\mathfrak{p}_i$-primary and Q'_j is $\mathfrak{p}'_j$-primary. Then $k = s$ and (after reordering if necessary) $\mathfrak{p}_i = \mathfrak{p}'_i$ for all $i = 1, 2, \ldots, k$. Furthermore if Q_i and Q'_i both are $\mathfrak{p}_i$-primary and $\mathfrak{p}_i$ is an isolated prime, then $Q_i = Q'_i$.

Theorem 2.10. *Let R be a commutative ring with identity and M an Noetherian unitary R-module. Then every submodule $N \neq M$ has a reduced primary decomposition.*

Proof. Let $\mathcal{S}$ be the set of all submodules of M that do not have a primary decomposition. Clearly no primary submodule is in $\mathcal{S}$. We must show that $\mathcal{S}$ is actually empty. If $\mathcal{S}$ is nonempty, then $\mathcal{S}$ contains a maximal element C by Theorem 1.4.

Since C is not primary, there exist $r \in R$ and $b \in M - C$ such that $rb \in C$ but $r^n M \not\subset C$ for all $n > 0$. Let $M_n = (C : r^n) = \{x \in M \mid r^n x \in C\}$. Then each M_n is a submodule of M and $M_1 \subset M_2 \subset M_3 \subset \cdots$. By hypothesis there exists $k > 0$ such that $M_i = M_k$ for $i \geq k$. Let D be the submodule $r^k M + C = \left\{x \in M \mid x = r^k y + c \text{ for some } y \in M, c \in C\right\}$. Clearly $C \subset M_k \cap D$.

Conversely, if $x \in M_k \cap D$, then $x = r^k y + c$ and $r^k x \in C$, whence $r^{2k} v = r^k\left(r^k y\right) = r^k(x - c) = r^k x - r^k c \in C$. Therefore, $y \in M_{2k} = M_k$. Consequently, $r^k y \in C$ and hence $x = r^k y + c \in C$. Therefore $M_k \cap D \subset C$, whence $M_k \cap D = C$. Now $C \neq M_k \neq M$ and $C \neq D \neq M$ since $b \in M_k - C$ and $r^k M \not\subset C$. By the maximality of C in $\mathcal{S}$, M_k and D must have primary decompositions. Thus C has a primary decomposition, which is a contradiction. Therefore $\mathcal{S}$ is empty and every submodule has a primary decomposition. Consequently, every submodule has a reduced primary decomposition by 2.8. □

Corollary 2.11. *If R is a commutative Noetherian ring with identity and M is a finitely generated unitary R-module. Then every submodule $N(\neq M)$ of M has a reduced primary decomposition.*

Proof. This is an immediate consequence of 1.6 2.1 and 2.10 □

§3 Contraction and extension of ideal

Definition 3.1. *Let R be a ring and $f : A \to B$ be a ring homomorphism,*

1. *the* ***extension*** *of ideal $\mathfrak{a}$ of A is the ideal generated by $f(\mathfrak{a})$ in B, denoted by $\mathfrak{a}^e$.*

2. *the* ***contraction*** *of $\mathfrak{b}$ is $f^{-1}(\mathfrak{b})$, denoted by $\mathfrak{b}^c$.*

Especially if A be a subring of B and $i : A \to B$, the contraction of ideal of $\mathfrak{b}$ of B is $A \cap \mathfrak{b}$.

Proposition 3.2. .

1. $\mathfrak{a} \subseteq \mathfrak{a}^{ec}, \mathfrak{b} \supseteq \mathfrak{b}^{ce}$;

2. $\mathfrak{b}^c = \mathfrak{b}^{cec}$, $\mathfrak{a}^e = \mathfrak{a}^{ece}$;

3. *If $\mathcal{C}$ is the set of all contracted ideals in A and if $\mathcal{E}$ is the set of all extended ideals in B, then $\mathcal{C} = \{\mathfrak{a} \mid \mathfrak{a}^{ec} = \mathfrak{a}\}$, $\mathcal{E} = \{\mathfrak{b} \mid \mathfrak{b}^{ce} = \mathfrak{b}\}$, and $\mathfrak{a} \mapsto \mathfrak{a}^e$ is a bijective map, whose inverse is* $\mathfrak{b} \mapsto \mathfrak{b}^c$.

Proposition 3.3. .

$$\begin{array}{cc}
(\mathfrak{a}_1 + \mathfrak{a}_2)^e = \mathfrak{a}_1^e + \mathfrak{a}_2^e & (\mathfrak{b}_1 + \mathfrak{b}_2)^c \supseteq \mathfrak{b}_1^c + \mathfrak{b}_2^c, \\
(\mathfrak{a}_1 \cap \mathfrak{a}_2)^e \subseteq \mathfrak{a}_1^e \cap \mathfrak{a}_2^e & (\mathfrak{b}_1 \cap \mathfrak{b}_2)^c = \mathfrak{b}_1^c \cap \mathfrak{b}_2^c, \\
(\mathfrak{a}_1 \mathfrak{a}_2)^e = \mathfrak{a}_1^e \mathfrak{a}_2^e & (\mathfrak{b}_1 \mathfrak{b}_2)^c \supseteq \mathfrak{b}_1^c \mathfrak{b}_2^c, \\
(\mathfrak{a}_1 : \mathfrak{a}_2)^e \subseteq (\mathfrak{a}_1^e : \mathfrak{a}_2^e) & (\mathfrak{b}_1 : \mathfrak{b}_2)^c \subseteq (\mathfrak{b}_1^c : \mathfrak{b}_2^c) \\
\mathrm{Rad}(\mathfrak{a})^e \subseteq \mathrm{Rad}\,(\mathfrak{a}^e) & \mathrm{Rad}(\mathfrak{b})^c = \mathrm{Rad}\,(\mathfrak{b}^c)
\end{array}$$

if $\mathfrak{b}$ is a prime ideal in B, then so $\mathfrak{b}^c$.
The set $\mathcal{C}$ is closed under the other three operations, and $\mathcal{E}$ is closed under sum and product.

§4 Nil and nilpotent ideals

Definition 4.1. *Let R be a ring and $\mathfrak{a}$ be an (left, right, two-sided) ideal of R.*

1. *$\mathfrak{a}$ of R is* ***nil*** *if every element of $\mathfrak{a}$ is a nilpotent element;*

2. *$\mathfrak{a}$ is* ***nilpotent*** *if $\mathfrak{a}^n = 0$ for some integer n.*

Theorem 4.2. *Let R be a ring.*

1. *If $a \in R$ is nilpotent, a is both left and right quasiregula with quasi inverse $r = -a + a^2 - a^3 + \cdots + (-1)^{n-1} a^{n-1}$*

2. *Every nil left ideal is contained in $J(R)$.*

3. *Thus every nil ring is a radical ring.*

Proposition 4.3. *If R is a left [resp. right] Noetherian ring, then every nil ideal is nilpotent (Exercise 16).*

Chapter II

Radical Ideals

Contents

R is a commutative ring (with identity) throughout this chapter unless otherwise stated.

§1 Radical and Nilradical

Definition 1.1. *Let R be a commutative ring. If $\mathfrak{a}$ is any ideal of R, the ideal*

$$\operatorname{Rad}(\mathfrak{a}) = \{x \in R : x^n \in \mathfrak{a} \textit{ for some } n \in \mathbb{Z}_{\geq 1}\}$$

*is called **radical** of $\mathfrak{a}$, sometimes denoted by $\sqrt{\mathfrak{a}}$. The radical of 0 (the set of all nilpotent elements in R) is called **nilradical** of R, denoted by* $\operatorname{Nil}(R)$.

Proposition 1.2. *Let R be a commutative ring. Then*

1. $\mathfrak{a} \subset r(\mathfrak{a})$
2. $r(r(\mathfrak{a})) = r(\mathfrak{a})$
3. $r(\mathfrak{a}\mathfrak{b}) = r(\mathfrak{a} \cap \mathfrak{b}) = r(\mathfrak{a}) \cap r(\mathfrak{b})$
4. *thus* $r(\mathfrak{a}_1\mathfrak{a}_2 \cdots \mathfrak{a}_n) = r\left(\bigcap \mathfrak{a}_i\right) = \bigcap r(\mathfrak{a}_i)$ *and* $r(\mathfrak{a}^n) = r(\mathfrak{a})$
5. $r(\mathfrak{a} + \mathfrak{b}) = r(r(\mathfrak{a}) + r(\mathfrak{b}))$
6. *if $\mathfrak{p}$ is prime in R, $r(\mathfrak{p}^n) = r(\mathfrak{p}) = \mathfrak{p}$ for all $n > 0$.*
7. $r(\mathfrak{a}) = (1) \Leftrightarrow \mathfrak{a} = (1)$

Proposition 1.3. *Let R be a commutative ring. If S is a multiplicative subset which is disjoint from an ideal $\mathfrak{a}$, then there exists a prime ideal $\mathfrak{p}$ which is maximal in $\mathcal{S} = \{\mathfrak{b} : \mathfrak{a} \subset \mathfrak{b}$ and $\mathfrak{b} \cap S = \varnothing\}$.*

Proof. Since $S \neq \varnothing$ and every ideal in $\mathcal{S}$ is properly contained in R, set $\mathcal{S}$ is partially ordered by inclusion. By Zorn's Lemma there is an ideal $\mathfrak{p}$ which is maximal in $\mathcal{S}$.

Let $\mathfrak{a}_1, \mathfrak{a}_2$ be ideals of R such that $\mathfrak{a}_1\mathfrak{a}_2 \subset \mathfrak{p}$. If $\mathfrak{a}_1 \not\subset \mathfrak{p}$ and $\mathfrak{a}_2 \not\subset \mathfrak{p}$, then each of the ideals $\mathfrak{p}+\mathfrak{a}_1$ and $\mathfrak{p}+\mathfrak{a}_2$ properly contains $\mathfrak{p}$ and hence must meet S. Consequently, for some $p_i \in \mathfrak{p}, a_i \in \mathfrak{a}_i$.

$$p_1 + a_1 = s_1 \in S \quad \text{and} \quad p_2 + a_2 = s_2 \in S$$

Thus $s_1 s_2 = p_1 p_2 + p_1 a_2 + a_1 p_2 + a_1 a_2 \in \mathfrak{p} + \mathfrak{a}_1\mathfrak{a}_2 \subset \mathfrak{p}$. This is a contradiction since $s_1 s_2 \in S$ and $S \cap \mathfrak{p} = \varnothing$. Therefore $\mathfrak{a}_1 \subset \mathfrak{p}$ or $\mathfrak{a}_2 \subset \mathfrak{p}$, whence $\mathfrak{p}$ is prime. □

Theorem 1.4. *Let R be a commutative rng and an ideal $\mathfrak{a}$.*

1. *If $\pi : R \to R/\mathfrak{a}$ is the canonical projection, then* $\mathrm{Rad}(\mathfrak{a}) = \pi^{-1}(\mathrm{Nil}\,(R/\mathfrak{a}))$
2. *The radical of an ideal $\mathfrak{a}$ is the intersection of the prime ideals which contain $\mathfrak{a}$, that is,*

$$\mathrm{Rad}\,(\mathfrak{a}) = \bigcap_{\substack{\mathfrak{a}\subset\mathfrak{p} \\ \mathfrak{p} \textit{ is prime}}} \mathfrak{p}$$

Proof. It is clear that

$$\mathrm{Rad}\,(\mathfrak{a}) \subset \bigcap_{\substack{\mathfrak{a}\subset\mathfrak{p} \\ \mathfrak{p} \text{ is prime}}} \mathfrak{p} := \tilde{\mathfrak{p}}$$

by **?? ??** . If $S = \tilde{\mathfrak{p}} - \mathrm{Rad}\,(\mathfrak{a})$ is nonempty, whence is a multiplicative subset of R (verify that $x, y \in S \Rightarrow xy \in S$) and disjoint from $\mathrm{Rad}\,(\mathfrak{a})$, there exist a prime ideal $\mathfrak{p}'$ that contains $\mathrm{Rad}\,(\mathfrak{a})$ and disjoint from S by 1.3. But $\tilde{\mathfrak{p}} \subset \mathfrak{p}'$ by the definition of $\tilde{\mathfrak{p}}$, this is a contradiction. □

Proposition 1.5. *If R is a commutative ring with identity $\neq 0$, then $R^\times + \mathrm{Nil}\,(R) \subset R^\times$.*

Chapter III

Fractions and Localization

Contents

§1 Rings of Quotient

A is a commutative ring with identity throughout this section unless otherwise stated.

Definition 1.1. *Let A be a commutative ring. A subset S called a* ***multiplicative subset*** *of A if S is a submonoid of $(A, \times)$.*

Remark. *In general, we always assume that $0 \notin S$.*

Definition 1.2. *Let S be a multiplicative subset of A and*

1. *The relation defined on the set $A \times S$ by*

$$(a, s) \sim (a', s') \Leftrightarrow s_1 (as' - a's) = 0 \text{ for some } s_1 \in S$$

is an equivalence relation and the equivalence class containing the element (a, s) is denoted by a/s.

2. *$S^{-1}R$ is a commutative ring with identity $1/1$, where addition and multiplication are defined by*

$$r/s + r'/s' = (rs' + r's)/ss' \quad \text{and} \quad (r/s)(r'/s') = rr'/ss'$$

is called the ***ring of fractions*** *of R by S.*

Remark. *The map $\varphi_S : A \to S^{-1}A$ given by $a \mapsto a/1$ is a well-defined homomorphism of rings and $\varphi(S) \subset (S^{-1}A)^{\times}$.*

Theorem 1.3 (Universal property). *Let $\mathcal{C}$ be the category*

- *whose objects are ring-homomorphisms (commutative rings with identity)*

  $$f : A \to B$$

  such that for every $s \in S$, the element $f(s)$ is invertible in B.

- *If $f : A \to B$ and $f' : A \to B'$ are two objects of $\mathcal{C}$, a morphism g of f into f' is a homomorphism*

  $$g : B \to B'$$

  making the diagram commutative:

We have that $\varphi_S : A \to S^{-1}A$ is a universal object in this category $\mathcal{C}$.

Theorem 1.4. *Let S be a multiplicative subset of A.*

1. *If S contains no zero divisors, then φ_S is a monomorphism.*
2. *If A has no zero divisors and $0 \notin S$, then $S^{-1}A$ is an integral domain.*
3. *If $S \subset A^{\times}$, then φ_S is an isomorphism.*

Definition 1.5. *Let A be a commutative ring and S be the set of all nonzero elements of A that are not zero divisors, then $S^{-1}A$ is called the* ***complete ring of quotients*** *of the ring A.*

The complete ring of quotients of an integral domain A is its ***quotient field****, denoted by* $\operatorname{Frac}(A)$.

§2 Extensions and Contractions in ring of fractions

Let A be a commutative with identity 1_A and S be a multiplicative subset with $\varphi_S : A \to S^{-1}A$

Proposition 2.1.

1. *If $\mathfrak{a}$ is an ideal in A, then $S^{-1}\mathfrak{a} = \{a/s \mid a \in \mathfrak{a}; s \in S\} = \mathfrak{a}S^{-1}A = \mathfrak{a}^e$.*
2. *If $\mathfrak{b}$ is an ideal in $S^{-1}A$, then $\varphi_S^{-1}(\mathfrak{b})$ coincides with $\mathfrak{b}^c$*
3. *let $\mathfrak{a}$ be an ideal of A, then $S^{-1}\mathfrak{a} = S^{-1}A$ if and only if $S \cap \mathfrak{a} \neq \varnothing$.*

Corollary 2.2.

$$S^{-1}(\mathfrak{a} + \mathfrak{b}) = S^{-1}\mathfrak{a} + S^{-1}\mathfrak{b}$$

$$S^{-1}(\mathfrak{a}\mathfrak{b}) = \left(S^{-1}\mathfrak{a}\right)\left(S^{-1}\mathfrak{b}\right)$$

$$S^{-1}(\mathfrak{a} \cap \mathfrak{b}) = S^{-1}\mathfrak{a} \cap S^{-1}\mathfrak{b}$$

$$S^{-1}\operatorname{Rad}(\mathfrak{a}) = \operatorname{Rad}\left(S^{-1}\mathfrak{a}\right)$$

Theorem 2.3. .

1. $\mathfrak{b}^{ce} = \mathfrak{b}$ *for all ideals* $\mathfrak{b}$ *of* $S^{-1}A$*. In other words every ideal in* $S^{-1}A$ *is of the* $S^{-1}\mathfrak{a} = \mathfrak{a}^e$ *for some ideal* $\mathfrak{a}$ *in* A *by* proposition 3.2 .
2. $\mathfrak{a}^{ec} = \bigcup_{s\in S} (\mathfrak{a} : s)$
3. *If* $\mathfrak{p}$ *is a prime ideal in* A *and* $S \cap \mathfrak{p} = \varnothing$*, then* $S^{-1}\mathfrak{p}$ *is a prime ideal in* $S^{-1}A$
4. *there is a one-to-one correspondence between the set* $\mathcal{U} = \{\mathfrak{p} : \mathfrak{p}$ *is prime and disjoint from* $S\}$ *and the set* $\mathcal{V} = \{S^{-1}\mathfrak{p} : S^{-1}\mathfrak{p}$ *is prime in* $S^{-1}R\}$*, given by* $\mathfrak{p} \mapsto S^{-1}\mathfrak{p}$.

Proof. Let $I = \varphi_S^{-1}(J)$, then $I^e = J^{ce} \subset J$, whence $S^{-1}I \subset J$. Conversely, if $r/s \in J$, then $\varphi_S(r) = rs/s = (r/s)(s^2/s) \in J$, whence $r \in \varphi_S^{-1}(J) = I$. Thus $r/s \in S^{-1}I$ and hence $J \subset S^{-1}I$. □

§3 Fractions of modules

Let A be a commutative ring with identity, M be a A-module and S be a multiplicative subset of A.

Definition 3.1. *The* ***module of fractions*** *of* M *with respect to* S *is the set*

$$S^{-1}M = \{m/s \mid m \in M, s \in S\}$$

of equivalence classes of the relation on $M \times S$ *defined by*

$$(m, s) \sim (m', s') \Leftrightarrow s_1 (s'm - sm') = 0 \text{ for some } s_1 \in S$$

with addition and scalar multiplication defined by

$$m/s + m'/s' = (s'm + sm')/ss' \quad \text{and} \quad (a/t)(m/s) = (am)/ts$$

for all $m, m' \in M, s, s', t \in S$ *and* $a \in A$.

Lemma 3.2. 1. $S^{-1}(N + P) = S^{-1}(N) + S^{-1}(P)$

2. $S^{-1}(N \cap P) = S^{-1}(N) \cap S^{-1}(P)$

Proposition 3.3. .

1. *The functor* $S^{-1} : {}_A\mathbf{Mod} \to {}_{S^{-1}A}\mathbf{Mod}$ *is exact*
2. $S^{-1}\Box$ *is additive*
3. $S^{-1}\Box \cong S^{-1}A \otimes_A \Box$ *in* $[{}_A\mathbf{Mod}, {}_{S^{-1}A}\mathbf{Mod}]$

Corollary 3.4. .

1. $S^{-1}(M/N) \cong (S^{-1}M)\,/\,(S^{-1}N)$ *in* ${}_{S^{-1}A}$**Mod**
2. $S^{-1}M \otimes_{S^{-1}A} S^{-1}N \cong S^{-1}(M \oplus_A N)$ *in* ${}_{S^{-1}A}$**Mod**
3. $S^{-1}A$ *is a flat* A*-module*

§4 Localization and Local rings

Definition 4.1. *Let A be a commutative ring with identity, $\mathfrak{p}$ a prime ideal of A and multiplicative subset $S = A - \mathfrak{p}$. The ring of quotients $S^{-1}A$ is called the* ***localization of*** A ***at*** $\mathfrak{p}$ *and is denoted $A_\mathfrak{p}$. If $\mathfrak{a}$ is an ideal in A, then the ideal $\mathfrak{a}^e = S^{-1}\mathfrak{a}$ in $A_\mathfrak{p}$.*

Remark. *We always identify A with its image $\varphi_S(A)$ in $A_\mathfrak{p}$ thus A can be considered as a subring of $A_\mathfrak{p}$. In this case, the extension ideal $\mathfrak{a}^e = S^{-1}\mathfrak{a} = \mathfrak{a}A_\mathfrak{p}$.*

Theorem 4.2. *Let $\mathfrak{p}$ be a prime ideal in a commutative ring A with identity and localization $A_\mathfrak{p}$.*

1. *There is a one-to-one correspondence between the set $\{\mathfrak{q} : \mathfrak{q}$ is prime and contained in $\mathfrak{p}\}$ and the set $\{S^{-1}\mathfrak{q} : S^{-1}\mathfrak{q}$ is prime in $A_\mathfrak{p}\}$, given by $\mathfrak{q} \mapsto S^{-1}\mathfrak{q}$;*
2. *The ideal $S^{-1}\mathfrak{p}$ is the unique maximal ideal of $A_\mathfrak{p}$.*

Definition 4.3. *A* ***local ring*** *is a commutative ring with identity which has a unique maximal ideal.*

Theorem 4.4. *The following conditions are equivalent.*

1. *R is a local ring;*
2. *all nonunits of R are contained in some ideal $M \neq R$;*
3. *the nonunits of R form an ideal.*
4. *for all $r, s \in R$, $r + s = 1_R$ implies r or s is a unit.*

Proposition 4.5. *Every nonzero homomorphic image of a local ring is local.*

§5 Local properties

Definition 5.1. *A property P of a ring A (or of an A-module M) is said to be a* ***local property*** *if the following is true: A (or M) has $P \Leftrightarrow A_\mathfrak{p}$ (or $M_\mathfrak{p}$) has P, for each prime ideal $\mathfrak{p}$ of A.*

Proposition 5.2. *The following are local properties:*

1. *A-module M is zero*
2. *A-module homomorphism $\phi : M \to N$ is injective [resp. surjective, bijective]*
3. *A-module M is flat*

Chapter IV

Chain Condition

§1

Definition 1.1. *Let R be a ring.*

1. *A R-module M is said to satisfy the* ***ascending chain condition (ACC) on submodules*** *(or to be Noetherian) if for every chain $M_1 \subset M_2 \subset M_3 \subset \cdots$ of submodules of M, there is an integer n such that $M_i = M_n$ for all $i \geq n$.*

2. *The ring R is* ***left [resp. right] Noetherian*** *if R satisfies ACC on submodules as a left [resp. right] R-module. It equivalent that R satisfies the ascending chain condition on left [resp. right] ideals. R is said to be* ***Noetherian*** *if R is both left and right Noetherian.*

3. *A module N is said to satisfy, the* ***descending chain condition (DCC) on submodules*** *(or to be Artinian) if for every chain $N_1 \supset N_2 \supset N_3 \supset \cdots$ of submodules of N, there is an integer m such that $N_i = N_m$ for all $i \geq m$.*

4. *R is* ***left [resp. right] Artinian*** *if R satisfies DCC on submodules as a left [resp. right] R-module. It equivalent that R satisfies the descending chain condition on left [resp. right] ideals. R is said to be* ***Artinian*** *if R is both left and right Artinian.*

Definition 1.2. *Let R be a ring, A module M is said to satisfy the* ***maximum condition*** *[resp. minimum condition] on submodules if every nonempty set of submodules of M contains a maximal [resp. minimal] element (with respect to set theoretic inclusion).*

§1.1 Equivalent Condition of Chain Condition

Theorem 1.3. *A module A satisfies the ascending [resp. descending] chain condition on submodules if and only if A satisfies the maximal [resp. minimal] condition on submodules.*

Theorem 1.4. *Let R be a ring and $0 \to A \xrightarrow{f} B \xrightarrow{g} C \to 0$ be a short exact sequence of R-modules. Then B satisfies the ACC [resp. DCC] on submodules if and only if A and C satisfy it.*

Proof. Sufficiency. If B satisfies the ascending chain condition, then so does its submodule $f(A)$. By exactness A is isomorphic to $f(A)$, whence A satisfies the ascending chain condition. If $C_1 \subset C_2 \subset \cdots$ is a chain of submodules of C, then $g^{-1}(C_1) \subset g^{-1}(C_2) \subset \cdots$ is a chain of submodules of B. Therefore, there is an n such that $g^{-1}(C_i) = g^{-1}(C_n)$ for all $i \geq n$. Since g is an epimorphism by exactness, it follows that $C_i = C_n$ for all $i \geq n$. Therefore, C satisfies the ascending chain condition.

Necessity. Suppose A and C satisfy the ascending chain condition and $B_1 \subset B_2 \subset \cdots$ is a chain of submodules of B. For each i let

$$A_i = f^{-1}(f(A) \cap B_i) \quad \text{and} \quad C_i = g(B_i)$$

Let $f_i = f|_{A_i}$ and $g_i = g|_{B_i}$. Verify that for each i the following sequence is exact:

$$0 \to A_i \xrightarrow{f_i} B_i \xrightarrow{g_i} C_i \to 0.$$

Verify that $A_1 \subset A_2 \subset \cdots$ and $C_1 \subset C_2 \subset \cdots$. By hypothesis there exists an integer n such that $A_i = A_n$ and $C_i = C_n$ for all $i \geq n$. For each $i \geq n$ there is a commutative diagram with exact rows:

$$\begin{tikzcd}
0 \arrow[r] & A_n \arrow[r, "f_n"] \arrow[d, "\mathrm{id}"] & B_n \arrow[r, "g_n"] \arrow[d, "i"] & C_n \arrow[r] \arrow[d, "\mathrm{id}"] & 0 \\
0 \arrow[r] & A_i \arrow[r, "f_i"] & B_i \arrow[r, "g_i"] & C_i \arrow[r] & 0
\end{tikzcd}$$

The Short Five Lemma implies that the inclusion map i is a isomorphism, thus be the identity map, whence B satisfies the ascending chain condition. □

Corollary 1.5. *Let R be a ring, we have*

1. *If M_1 is a submodule of a module M , then M satisfies the ascending [resp. descending] chain condition if and only if M_1 and M/M_1 satisfiy it.*

2. *If $M_1, \ldots, M_n$ are modules, then the direct sum $M_1 \oplus M_2 \oplus \cdots \oplus M_n$ satisfies the ascending [resp. descending] chain condition on submodules if and only if each A_i satisfies it.*

Theorem 1.6. *If R is a left [resp. right] Noetherian [resp. Artinian] ring, then every finitely generated left [resp. right] R-module M is Noetherian [resp. Artinian].*

Proof. If M is finitely generated, then by **??** there is a free unitary R-module F with a finite basis and an epimorphism $\pi : F \to M$. Since F is a direct sum of a finite number of copies of R by **??**, F is left Noetherian [resp. Artinian], whence $M \cong F/\operatorname{Ker}\pi$ is Noetherian [resp. Artinian] by 1.5. □

§2 Normal series and Composition Series of Modules

Definition 2.1. *Let R be a ring and a R-module A.*

1. *A* ***normal series*** *for A is a chain of submodules: $A = A_0 \supset A_1 \supset A_2 \supset \cdots \supset A_n$. The factors of the series are the quotient modules*

$$A_i/A_{i+1} \quad (i = 0, 1, \ldots, n-1).$$

The ***length*** *of the normal series is the number of proper inclusions ($=$ number of nontrivial factors).*

2. *A* ***refinement*** *of the normal series $A = A_0 \supset A_1 \supset \cdots \supset A_n$ is a normal series obtained by inserting a finite number of additional submodules between the given ones. A* ***proper refinement*** *is one which has length larger than the original series.*

3. *Two normal series are* ***equivalent*** *if there is a one-to-one correspondence between the nontrivial factors such that corresponding factors are isomorphic modules. Thus equivalent series necessarily have the same length.*

4. *A* ***composition series*** *for A is a normal series $A = A_0 \supset A_1 \supset A_2 \supset \cdots \supset A_n = 0$ such that each factor A_k/A_{k+1} $(k = 0, 1, \ldots, n-1)$ is a module with no proper nonempty submodules.*

Theorem 2.2. *Any two normal series of a module A have refinements that are equivalent. Any two composition series of A are equivalent.*

Theorem 2.3. *A nonzero module M has a composition series if and only if M satisfies both the ACC and DCC on submodules.*

Proof. $(\Rightarrow)$ Suppose A has a composition series S of length n. If either chain condition fails to hold, one, can find submodules

$$A = A_0 \supsetneq A_1 \supsetneq A_2 \supsetneq \cdots \supsetneq A_n \supsetneq A_{n+1}$$

which form a normal series T of length $n+1$. By 2.2, S and T have refinements that are equivalent. This is a contradiction since equivalent series have equal length. For every refinement of the composition series S has the same length n as S, but every refinement of T necessarily has length at least $n+1$. Therefore, A satisfies both chain conditions.

$(\Leftarrow)$ If B is a nonzero submodule of A, let $S(B)$ be the set of all proper submodules C of B. Also define $S(0) = \{0\}$. For each B there is a maximal element B' of $S(B)$ by 1.3. Let S be the set of all submodules of A and define a map $f : S \to S$ by $f(B) = B'$

Let $A_i = f^{(i)}(A)$, then $A \supset A_1 \supset A_2 \supset \cdots$ is a descending chain by construction, whence for some n, $A_i = A_n$ for all $i \geq n$. Since $A_{n+1} = f(A_n)$, the definition of f shows that $A_{n+1} = A_n$

only if $A_n = 0 = A_{n+1}$. Let m be the smallest integer such that $A_m = 0$. Then $m \leq n$ and $A_k \neq 0$ for all $k < m$. Furthermore for each $k < m$, A_{k+1} is a maximal submodule of A_k such that $A_k \supsetneq A_{k+1}$. Consequently, each A_k/A_{k+1} is nonzero and has no proper submodules by **??**. Therefore, $A \supset A_1 \supset \cdots \supset A_m = 0$ is a composition series for A. $\square$

Chapter V

Noetherian Modules and Rings

Contents

§1 Artinian Rings

§1.1

Theorem 1.1 (Hopkins-Levitzki)**.** *Let R be a ring. Then*

$$\textit{left Artinian} \Leftrightarrow \textit{right Artinian} \Rightarrow \textit{left Noetherian} + \textit{right Noetherian}$$

.

§1.2

In this subsection we shall study commutative Artinian rings.

Theorem 1.2. *Let A be a commutative ring with identity. Then the following conditions are equivalent:*

1. *A is Artinian*
2. Spec (A) *is finite and* $\dim A = 0$
3. *A is Noetherian and* $\dim A = 0$.
4. *A is uniquely (up to isomorphism) a finite direct product of Artin local rings.*

Corollary 1.3. *In a commutative Artin ring the nilradical is equal to the Jacobson radical.*

Proposition 1.4. *Let A be an Artin local ring. Then the following are equivalent:*

1. *every ideal in A is principal;*
2. *the maximal ideal $\mathfrak{m}$ is principal;*
3. $\dim_k(\mathfrak{m}/\mathfrak{m}^2) \leqslant 1$.

§2 Noetherian Modules and Rings

Theorem 2.1. *A module M is Noetherian if and only if every submodule of M is finitely generated.*

Theorem 2.2. *Recall that a module M is Noetherian.*

1.3 A module M satisfies the ascending [resp. descending] chain condition on submodules if and only if M satisfies the maximal [resp. minimal] condition on submodules.

2.1 A module M satisfies the ACC on submodules if and only if every submodule of M is finitely generated. In particular, a commutative ring R is Noetherian if and only if every ideal of R is finitely generated.

1.6 If R is a left [resp. right] Noetherian [resp. Artinian] ring with identity, then every finitely generated unitary left [resp. right] R-module A satisfies the ACC [resp. DCC] on submodules.

Proposition 2.3. *Let A be Noetherian ring*

Proposition 2.4. *If A is Noetherian and ϕ is a homomorphism, then $B = \phi(A)$ is Noetherian.*

Proposition 2.5. *Let A be a subring of B; suppose that A is Noetherian and that B is finitely generated as an A-module. Then B is Noetherian (as a ring).*

Proposition 2.6. *If A is Noetherian and S is any multiplicatively closed subset of A, then $S^{-1}A$ is Noetherian.*

Theorem 2.7. *If R is a commutative Noetherian ring with identity, then so is $R[x_1, \ldots, x_n]$ and $R[[x]]$*

Proposition 2.8. *If R is a commutative ring with identity and $\mathfrak{p}$ is an ideal which is maximal in the set of all ideals of R which are not finitely generated, then $\mathfrak{p}$ is prime.*

Proof. Suppose $ab \in \mathfrak{p}$ but $a \notin \mathfrak{p}$ and $b \notin \mathfrak{p}$. Then $\mathfrak{p} + (a)$ and $\mathfrak{p} + (b)$ are ideals properly containing $\mathfrak{p}$ and therefore finitely generated by maximality of $\mathfrak{p}$. Consequently $\mathfrak{p} + (a) = (p_1 + r_1 a, \ldots, p_n + r_n a)$ and $\mathfrak{p} + (b) = (p_1' + r_1' b, \ldots p_m' + r_m' b)$ for some $p_i, p_i' \in \mathfrak{p}$ and $r_i, r_i' \in R$.

If $J = (\mathfrak{p} : a) = \{r \in R \mid ra \in \mathfrak{p}\}$, then J is an ideal. Since $ab \in \mathfrak{p}$, $(p_i{}' + r_i{}' b)\, a = p_i{}' a + r_i{}' ab \in \mathfrak{p}$ for all i, whence $\mathfrak{p} \subset \mathfrak{p} + (b) \subset J$. By maximality, J is finitely generated, say $J = (j_1, \ldots, j_k)$.

If $x \in \mathfrak{p}$, then $x \in \mathfrak{p} + (a)$ and hence for some $s_i \in R, x = \sum_{i=1}^{n} s_i (p_i + r_i a) = \sum_{i=1}^{n} s_i p_i + \sum_{i=1}^{n} s_i r_i a$. Consequently, $(\sum_i s_i r_i) a = x - \sum_i s_i p_i \in \mathfrak{p}$, whence $\sum_i s_i r_i \in J$. Thus for some $t_i \in R, \sum_{i=1}^{n} s_i r_i = \sum_{i=1}^{k} t_i j_i$ and $x = \sum_{i=1}^{n} s_i p_i + \sum_{i=1}^{k} t_i j_i a$. Therefore, $\mathfrak{p}$ is generated by $p_1, \ldots, p_n, j_1 a, \ldots, j_k a$, which is a contradiction. Thus $a \in \mathfrak{p}$ or $b \in \mathfrak{p}$ and $\mathfrak{p}$ is prime by **??** $\square$

Theorem 2.9 (I.S.Cohen)**.** *A commutative ring R with identity is Noetherian if and only if every prime ideal of R is finitely generated.*

Proof. Let $\mathcal{S}$ be the set of all ideals of R which are not finitely generated. If $\mathcal{S}$ is nonempty, then use Zorn's Lemma to find a maximal element P of $S.P$ is prime by Proposition 2.4 and hence finitely generated by hypothesis.

This is a contradiction unless $\delta = \varnothing$. Therefore, R is Noetherian by Theorem 1.9. $\square$

Chapter VI

Integral

§1 Rings Extensions

Definition 1.1. *Let S be a commutative ring with identity and R a subring of S containing 1_S.*

1. *Then S is said to be an **extension ring** of R.*
2. *An element $s \in S$ is said to be **integral** over R if s is a root of a monic polynomial in $R[x]$.*
3. *If every element of S is integral over R, S is said to be an **integral extension** of R.*
4. *The **integral closure** of R in S is the set of elements of S that are integral over R.*
5. *The ring R is said to be **integrally closed** in S if R is equal to its integral closure in S.*

   *The integral closure of an integral domain R in its field of fractions is called the **normalization** of R. An integral domain is called integrally closed or normal if it is integrally closed in its field of fractions.*

Remark. *If follows from corollary 1.3 that the integral closure of R in S is a subring of S containing R.*

Theorem 1.2. *Let S be an extension ring of R and $s \in S$. Then the following conditions are equivalent.*

1. *s is integral over R*
2. *Subring $R[s]$ is a finitely generated R-module*
3. *There is a subring T that $R[s] \subset T \subset S$, which is finitely generated as an R-module;*
4. *There is a faithful $R[s]$-submodule M which is finitely generated as an R-module.*

Corollary 1.3. *Let S be an extension ring of R. Then*

1. *If S is finitely generated as an R-module, then S is an integral extension of R.*

2. *If $s_1, \ldots, s_t \in S$ are integral over R, then $R[s_1, \ldots, s_t]$ is a finitely generated R-module and an integral extension ring of R.*

3. *If T is an integral extension ring of S and S is an integral extension ring of R, then T is an integral extension ring of R.*

Proof. It immediately follows from 1.2 □

Proof. We have a tower of extension rings:

$$R \subset R[s_1] \subset R[s_1, s_2] \subset \cdots \subset R[s_1, \ldots, s_t]$$

For each i, s_i is integral over R and hence integral over $R[s_1, \ldots, s_{i-1}]$. Since $R[s_1, \ldots, s_i] = R[s_1, \ldots, s_{i-1}][s_i], R[s_1, \ldots, s_i]$ is a finitely generated module over $R[s_1, \ldots, s_{i-1}]$ by 1.2. Thus $R[s_1, \ldots, s_n]$ is a finitely generated R-module, then $R[s_1, \ldots, s_n]$ is an integral extension ring of R by 1. □

Proof. T is obviously an extension ring of R. If $t \in T$, then t is integral over S and therefore the root of some monic polynomial $f \in S[x]$, say $f = \sum_{i=0}^{n} s_i x^i$. Since f is also a polynomial over the ring $R[s_0, s_1, \ldots, s_{n-1}]$, t is integral over $R[s_0, \ldots, s_{n-1}]$.

By 1.2 $R[s_0, \ldots, s_{n-1}][t]$ is a finitely generated $R[s_0, \ldots, s_{n-1}]$-module. But since S is integral over R, $R[s_0, \ldots, s_{n-1}]$ is a finitely generated R-module by 2. Then

$$R[s_0, \ldots, s_{n-1}][t] = R[s_0, \ldots, s_{n-1}, t]$$

is a finitely generated R-module. Since $R[t] \subset R[s_0, \ldots, s_{n-1}, t]$, t is integral over R by 1.2. □

Proposition 1.4. 1. *Every unique factorization domain is integrally closed.*

2. *In particular, the polynomial ring $F[x_1, \ldots, x_n]$ (F a field) is integrally closed in its quotient field $F(x_1, \ldots, x_n)$.*

§1.1 integral extension

Theorem 1.5. *Let T be a multiplicative subset of an integral domain R such that $0 \notin T$. If R is integrally closed, then $T^{-1}R$ is an integrally closed integral domain.*

Proof. $T^{-1}R$ is an integral domain and R may be identified with a subring of $T^{-1}R$ by 1.2. Extending this identification, the quotient field $Q(R)$ of R may be considered as a subfield of the quotient field $Q(T^{-1}R)$ of $T^{-1}R$. Verify that $Q(R) = Q(T^{-1}R)$.

Let $u \in Q(T^{-1}R)$ be integral over $T^{-1}R$; then for some $r_i \in R$ and $s_i \in T$,

$$u^n + (r_{n-1}/s_{n-1}) u^{n-1} + \cdots + (r_1/s_1) u + (r_0/s_0) = 0.$$

Multiply through this equation by s^n, where $s = s_0 s_1 \cdots s_{n-1} \in T$, and conclude that su is integral over R. Since $su \in Q(T^{-1}R) = Q(R)$ and R is integrally closed, $su \in R$. Therefore, $u = su/s \in T^{-1}R$, whence $T^{-1}R$ is integrally closed. □

Theorem 1.6. *Let S be an integral extension ring of R. Then the following statements hold.*

1. *Assume that S is an integral domain. Then R is a field if and only if S is a field.*
2. *Let $\mathfrak{p}$ be a prime ideal in R. Then there is a prime ideal $\mathfrak{q}$ in S with $\mathfrak{p} = \mathfrak{q} \cap R$.*

   Moreover, $\mathfrak{p}$ is maximal if and only if $\mathfrak{q}$ is maximal.
3. *(The Going-up Theorem) Let $\mathfrak{p}_1 \subseteq \mathfrak{p}_2 \subseteq \cdots \subseteq \mathfrak{p}_n$ be a chain of prime ideals in R and suppose there are prime ideals $\mathfrak{q}_1 \subseteq \mathfrak{q}_2 \subseteq \cdots \subseteq \mathfrak{q}_m$ of S with $\mathfrak{p}_i = \mathfrak{q}_i \cap R, 1 \leq i \leq m$ and $m < n$. Then the ascending chain of ideals can be completed: there are prime ideals $\mathfrak{q}_{m+1} \subseteq \cdots \subseteq \mathfrak{q}_n$ in S such that $\mathfrak{p}_i = \mathfrak{q}_i \cap R$ for all i.*

Theorem 1.7 (The Going-down Theorem)**.** *Assume that S is an integral domain and R is integrally closed in S. Let $\mathfrak{p}_1 \supseteq \mathfrak{p}_2 \supseteq \cdots \supseteq \mathfrak{p}_n$ be a chain of prime ideals in R and suppose there are prime ideals $\mathfrak{q}_1 \supseteq \mathfrak{q}_2 \supseteq \cdots \supseteq \mathfrak{q}_m$ of S with $\mathfrak{p}_i = \mathfrak{q}_i \cap R, 1 \leq i \leq m$ and $m < n$. Then the descending chain of ideals can be completed: there are prime ideals $\mathfrak{q}_{m+1} \supseteq \cdots \supseteq \mathfrak{q}_n$ in S such that $\mathfrak{p}_i = \mathfrak{q}_i \cap R$ for all i.*

Theorem 1.8. *Let S be an integral extension ring of R and let $\mathfrak{q}$ be a prime ideal in S which lies over a prime ideal $\mathfrak{p}$ in R . Then $\mathfrak{q}$ is maximal in S if and only if $\mathfrak{p}$ is maximal in R .*

Proof. Suppose $\mathfrak{q}$ is maximal in S. By **??** there is a maximal ideal $\mathfrak{m}$ of R that contains $\mathfrak{p}$ and $\mathfrak{m}$ is prime by **??**. By **??** there is a prime ideal $\mathfrak{q}'$ in S such that $\mathfrak{q} \subset \mathfrak{q}'$ and $\mathfrak{q}'$ lies over $\mathfrak{m}$. Since $\mathfrak{q}'$ is prime, $\mathfrak{q}' \neq S$. The maximality of $\mathfrak{q}$ implies that $\mathfrak{q} = \mathfrak{q}'$, whence $\mathfrak{p} = \mathfrak{q} \cap R = \mathfrak{q}' \cap R = \mathfrak{m}$. Therefore, $\mathfrak{p}$ is maximal in R.

Conversely suppose $\mathfrak{p}$ is maximal in R. Since $\mathfrak{q}$ is prime in $S, \mathfrak{q} \neq S$ and there is a maximal ideal N of S containing $\mathfrak{q}$ and N is prime, whence $1_R = 1_S \notin N$. Since $\mathfrak{p} = R \cap \mathfrak{q} \subset R \cap N \subset R$, we must have $\mathfrak{p} = R \cap N$ by maximality. Thus $\mathfrak{q}$ and N both lie over $\mathfrak{p}$ and $\mathfrak{q} \subset N$. Therefore, $\mathfrak{q} = N$ by 1.8 . □

§2 Discrete Valuation Ring

Definition 2.1. *The following conditions on a principal ideal domain are equivalent:*

1. *A has exactly one nonzero prime ideal;*
2. *up to associates, A has exactly one prime element;*
3. *A is local and is not a field.*

A ring satisfying these conditions is called a ***discrete valuation ring****.*

Theorem 2.2. *An integral domain A is a discrete valuation ring if and only if*

(i) *A is noetherian,*

(ii) *A is integrally closed, and*

(iii) *A has exactly one nonzero prime ideal.*

§3 Dedekind Domain

Definition 3.1. *A **Dedekind domain** is an integral domain R satisfying the following equivalent conditions:*

1. *R is Noetherian, integrally closed and has Krull dimension one (Every nonzero prime ideal of R is maximal).*
2. *Every nonzero ideal of R is invertible*
3. *Every finitely generated torsion-free R-module is free.*
4. *the localization $R_{\mathfrak{p}}$ at each prime ideal $\mathfrak{p}$ of R is a discrete valuation ring.*
5. *Every nonzero proper ideal of R can be written as a product of prime ideals of R, and this factorization is unique up to the order of the factors.*

Proposition 3.2. *Let A be an integral domain, and let S be a multiplicative subset of A.*

1. *If A is noetherian, then so also is $S^{-1}A$.*
2. *If A is integrally closed, then so also is $S^{-1}A$.*
3. *If A has Krull dimension one, then so also does $S^{-1}A$.*
4. *If A is a Dedekind domain, then so also is $S^{-1}A$.*

Proposition 3.3. *A noetherian integral domain A is a Dedekind domain if and only if, for every nonzero prime ideal $\mathfrak{p}$ in A, the localization $A_{\mathfrak{p}}$ is a discrete valuation ring.*

§3.1 Unique factorization of ideals

Theorem 3.4. *Let A be a Dedekind domain. Every proper nonzero ideal $\mathfrak{a}$ of A can be written in the form*

$$\mathfrak{a} = \mathfrak{p}_1^{r_1} \cdots \mathfrak{p}_n^{r_n}$$

with the $\mathfrak{p}_i$ distinct prime ideals and the $r_i > 0$; the $\mathfrak{p}_i$ and the r_i are uniquely determined.

§3.2 The ideal class group

Definition 3.5. *Let R be an integral domain with quotient field K. A **fractional ideal** of R is*

(i) a nonzero R-submodule I of K

(ii) there exists a nonzero $d \in R$ such that $dI \subset R$ i,e, $(R : I) \cap R \neq \varnothing$

Definition 3.6. *If R is an integral domain with quotient field K, then the set of all fractional ideals of R forms a commutative monoid, with identity R and multiplication given by*

$$IJ = \left\{ \sum_{i=1}^{n} a_i b_i \mid a_i \in I; b_i \in J; n \in \mathbb{Z}_{\geq 1} \right\}$$

*A fractional ideal I of an integral domain R is said to be **invertible** if $IJ = R$ for some fractional ideal J of R.*

Theorem 3.7. *Let A be a Dedekind domain. The set* $\mathrm{Id}(A)$ *of fractional ideals is a group; in fact, it is the free abelian group on the set of nonzero prime ideals.*

Definition 3.8. *We define the **ideal class group*** $\mathrm{Cl}(A)$ *of A to be the quotient* $\mathrm{Cl}(A) = \mathrm{Id}(A)/\mathrm{P}(A)$ *of* $\mathrm{Id}(A)$ *by the subgroup of principal ideals. The **class number** of A is the order of* $\mathrm{Cl}(A)$ *(when finite).*

In the case that A is the ring of integers $\mathcal{O}_K$ in a number field K, we often refer to $\mathrm{Cl}(\mathcal{O}_K)$ *as the ideal class group of K, and its order as the class number of K.*

Proposition 3.9. *Let R be an integral domain with quotient field K.*

1. *Indeed for any fractional ideal I the set $I^{-1} = \{a \in K \mid aI \subset R\}$ is easily seen to be a fractional ideal such that $I^{-1}I = II^{-1} \subset R$.*

2. *The inverse of an invertible fractional ideal I is unique and is $I^{-1} = \{a \in K \mid aI \subset R\}$. If I is invertible and $IJ = JI = R$, then clearly $J \subset I^{-1}$. Conversely, since I^{-1} and J are R-submodules of $K, I^{-1} = RI^{-1} = (JI)I^{-1} = J(II^{-1}) \subset JR = RJ \subset J$, whence $J = I^{-1}$.*

3. *If I, A, B are fractional ideals of R such that $IA = IB$ and I is invertible, then $A = RA = (I^{-1}I)A = I^{-1}(IB) = RB = B$.*

4. *If I is an ordinary ideal in R, then $R \subset I^{-1}$.*

Lemma 3.10. *Let $I, I_1, I_2, \ldots, I_n$ be ideals in an integral domain R .*

1. *The ideal $I_1 I_2 \cdots I_n$ is invertible if and only if each I_j is invertible.*

2. *If $\mathfrak{p}_1 \cdots \mathfrak{p}_m = I = \mathfrak{q}_1 \cdots \mathfrak{q}_n$, where the $\mathfrak{p}_i$ and $\mathfrak{q}_j$ are prime ideals in R and every $\mathfrak{p}_i$ is invertible, then $m = n$ and (after reindexing) $\mathfrak{p}_i = \mathfrak{q}_i$ for each $i = 1, \ldots, m$.*

Lemma 3.11. *If I is a fractional ideal of an integral domain R with quotient field K and $f \in \operatorname{Hom}_R(I,R)$, then for all $a,b \in I : af(b) = bf(a)$.*

Lemma 3.12. *Every invertible fractional ideal of an integral domain R with quotient field K is a finitely generated R-module.*

Theorem 3.13. *Let R be an integral domain and I a fractional ideal of R. Then I is invertible if and only if I is a projective R-module.*

§4 Discrete valuations

Definition 4.1. *Let K be a field. A* ***discrete valuation*** *on K is a nonzero homomorphism $v : K^\times \to \mathbb{Z}$ such that $v(a+b) \geq \min(v(a), v(b))$.*

As v is not the zero homomorphism, its image is a nonzero subgroup of $\mathbb{Z}$, and is therefore of the form $m\mathbb{Z}$ for some $m \in \mathbb{Z}$. If $m = 1$, then $v : K^\times \to \mathbb{Z}$ is surjective, and v is said to be ***normalized****; otherwise, $x \mapsto m^{-1} \cdot v(x)$ will be a normalized discrete valuation.*

We extend v to a map $K \to \mathbb{Z} \cup \{\infty\}$ by setting $v(0) = \infty$, where ∞ is a symbol $\geq n$ for all $n \in \mathbb{Z}$.

Remark. *We have*

1. *$v(\zeta) = 0$ for some $\zeta \in K^\times$*
2. *$v(-a) = v(a)$ for all $a \in K$;*
3. *$v(a+b) = \max\{v(a), v(b)\}$ if $v(a) \neq v(b)$.*

We often use "ord" rather than " v " to denote a discrete valuation.

Proposition 4.2. *Let v be a discrete valuation on K, then*

$$A := \{a \in K \mid v(a) \geq 0\}$$

is a principal ideal domain with maximal ideal

$$\mathfrak{m} = \{a \in K \mid v(a) > 0\}$$

If $v(K^\times) = m\mathbb{Z}$, then the ideal $\mathfrak{m}$ is generated by every element of $v^{-1}(m)$.

Definition 4.3. *Let A be a Dedekind domain and let $\mathfrak{p}$ be a prime ideal in A. For any $c \in K^\times$, let $v(c)$ be the exponent of $\mathfrak{p}$ in the factorization of (c). Then v is a normalized discrete valuation on K, called the* ***discrete valuation associated to*** *$\mathfrak{p}$, denoted by $\operatorname{ord}_{\mathfrak{p}}$.*

Proposition 4.4. *Let $x_1, \ldots, x_m$ be elements of a Dedekind domain A, and let $\mathfrak{p}_1, \ldots, \mathfrak{p}_m$ be distinct prime ideals of A. For every integer n, there is an $x \in A$ such that*

$$\operatorname{ord}_{\mathfrak{p}_i}(x - x_i) > n, \quad i = 1, 2, \ldots, m.$$

§5 Integral closures of Dedekind domains

Theorem 5.1. *Let A be a Dedekind domain with field of fractions K and L/K be a finite separable extension, then the integral closure of A in L is Dedekind domain.*

Definition 5.2. *Let A be a Dedekind domain with field of fractions K, and let B be the integral closure of A in a finite separable extension L of K. A prime ideal $\mathfrak{p}$ of A will factor in B,*

$$\mathfrak{p}B = \mathfrak{P}_1^{e_1} \cdots \mathfrak{P}_g^{e_g}$$

wher $\mathfrak{P}$ are distinct prime ideals in B and $e_i \geq 1$,

1. *If any of the numbers is > 1, then we say that $\mathfrak{p}$ is **ramified** in B (or L). The number e_i is called the **ramification index** .*

2. *We say $\mathfrak{P}$ divides $\mathfrak{p}$,written $\mathfrak{P} \mid \mathfrak{p}$, if $\mathfrak{P}$ occurs in the factorization of $\mathfrak{p}$ in B.*

*We then write $e(\mathfrak{P}/\mathfrak{p})$ for the ramification index and $f(\mathfrak{P}/\mathfrak{p})$ for the degree of the field extension $[B/\mathfrak{P} : A/\mathfrak{p}]$ (called the **residue class degree**).*

3. *$\mathfrak{p}$ is said to **split** (or split completely) in L if $e_i = f_i = 1$ for all i*

4. *$\mathfrak{p}$ is said to be **inert** in L if $\mathfrak{p}B$ is a prime ideal (so $g = 1 = e$).*

Theorem 5.3. *Let m be the degree of L over K, and let $\mathfrak{P}_1, \ldots, \mathfrak{P}_g$ be the prime ideals dividing $\mathfrak{p}$; then*

$$\sum_{i=1}^{g} e_i f_i = m$$

where $e_i = e\left(\mathfrak{P}_i/\mathfrak{p}\right)$ and $f_i = f\left(\mathfrak{P}_i/\mathfrak{p}\right)$. If L is Galois over K, then all the ramification numbers are equal, and all the residue class degrees are equal, and so

$$efg = m.$$

Chapter VII

Completions

Contents

§1 Basic Definitions

§1.1 Graded ring

Definition 1.1. *A* ***graded ring*** *is a ring A together with a family $\{A_n\}_{n\geq 0}$ of subgroups of A, such that*

(i) $A = \bigoplus_{n=0}^{\infty} A_n$ *in* **Ab**

(ii) and $A_m A_n \subseteq A_{m+n}$ *for all* $m, n \geq 0$.

Thus A_0 must be a subring of A containing 1_A, and A is a A_0-algebra. A is saied to be ***standard graded ring*** *if* *(iii)* $A = A_0[A_1]$ *as a* A_0*-algebra.*

Proposition 1.2. *Let* $A = \bigoplus_{n\geq 0} A_n$ *be a graded ring. Then the following statements are equivalent:*

1. A *is a Notetherian ring.*
2. A_0 *is Noetherian and* A *is finitely generated as* A_0*-algebra (*A_0*-ring)*

Definition 1.3. *Let* $A = \bigoplus A_n$ *be a graded ring.*

1. *A ideal (left, right, two-sided)* I *of* A *is called* ***graded ideal*** *if* $I = \bigoplus_{n\geq 0}(A_n \cap I)$ *in* **Ab**.
2. *If* I *is a two-sided graded ideal of* A*, then quotient graded ring* $A/I = \bigoplus_{i\geq 0} A_i/\left(A_i \cap I\right)$ *is well-defined, called the* ***quotient graded ring*** *of* A *by* I.

Remark. *It clear that a ideal* I *is graded if and only if it is generated by homogeneous elements.*

Definition 1.4. *Let* A *and* B *be graded rings.*

1. *A* ***graded ring homomorphism*** *from* A *to* B *is a ring homomorphism* $f : A \to B$ *such that* $f\left(A_n\right) \subseteq B_n$ *for all* $n \geqslant 0$.
2. *the* ***kernel*** *of a graded ring homomorphism is a two-sided graded ideal.*

§1.2 Graded modules

Definition 1.5. *Let* $A = \bigoplus_{n\geq 0} A_n$ *be a graded ring. A* ***graded left*** A***-module*** *is a left* A*-module* M *together with a family* $\left\{M_n\right\}_{n\geqslant 0}$ *of subgroups of* M *such that*

(i) $M = \bigoplus_{n=0}^{\infty} M_n$ *as an abelian group*

(ii) $A_m M_n \subseteq M_{m+n}$ *for all* $m, n \geqslant 0$.

Elements of M_n *are called* ***homogeneous elements of degree*** n.

Remark. *Thus each* M_n *is an* A_0*-module.*

Definition 1.6. *Let* A *be a graded ring and* M, N *graded* A*-modules.*

1. *A* ***graded submodule*** *of* M *is a submodule* N *of* M *such that* $N = \bigoplus_{n\geq 0}\left(N \cap M_n\right)$ *in* **Ab**.
2. *then the* $M/N = \bigoplus_{n\geq 0} M_n/\left(N \cap M_n\right)$ *is well-defined, called the* ***quotient graded module*** *of* M *by* N.
3. *A* ***graded*** A***-homomorphism*** *from* M *to* N *is an* A*-module homomorphism* $f : M \to N$ *such that* $f\left(M_n\right) \subseteq N_n$ *for all* $n \geqslant 0$.

§1.3 Graded algebra

Definition 1.7. *Let k be a commutative ring (usually a field). A **graded k-algebra** is k-algebra A such that*

(i) $A = \bigoplus_{n \geq 0} A_n$ *is a graded ring.*

(ii) the structure morphism $\varphi : k \to A$ *with* $\mathrm{Im}(\varphi) \subset A_0$ *(scalar action preserves degrees).*

Remark. *In this case, A_0 is naturally a k-algebra, and A may be viewed as a graded A_0-algebra with base ring A_0 instead of k. In many situations one further assumes that the base ring $k = A_0$..*

We give a graded ring of particular importance in commutative algebra.

Definition 1.8. *Let k be commutative ring (usually a field). A **standard graded k-algebra** is a graded k-algebra $A = \bigoplus_{n \geq 0} A_n$ such that A is generated by A_1 as a k-algebra.*

§2 Filtration and Associated Graded Rings and Modules

§2.1 Increasing filtration

Definition 2.1. *Let A be a ring. A **increasing filtration** of A is a sequence of subgroups $\{F_i A\}$ of A such that*

(i) $F_0 A \subset F_1 A \subset F_2 A \subset \cdots \subset F_n A \subset \cdots \subset A$

(ii) $\bigcup_{i \geq 0} F_i A = A$.

(iii) $F_i A \cdot F_j A \subseteq F_{i+j} A$ *for all i, j.*

*A is called a **filtered ring** if it has an increasing filtration.*

Proposition 2.2. *Let A be a ring.*

1. *If $A = \bigoplus_{i \geq 0} A_i$ is a graded ring, then the sequence of subgroups $\left\{F_k A := \bigoplus_{i=0}^{k} A_i\right\}$ is an increasing filtration of A.*

2. *If $\mathcal{F} = \{F_i A\}$ is a filtration of A, then*

$$\mathrm{gr}_{\mathcal{F}} A := \bigoplus_{i \geq 0} F_{i+1} A / F_i A$$

*is a graded ring (multiplication follows from A), called the **associated graded ring of** A **associated with the filtration** $\mathcal{F}$.*

Definition 2.3. *Let A be a filtered ring with increasing filtration $\mathcal{F} = \{F_i A\}$. A left A-module M is called a **filtered left A-module** if it has a sequence of subgroups $\Gamma = \{\Gamma_i M\}$ such that* *(i)* $\Gamma_0 M \subset \Gamma_1 M \subset \Gamma_2 M \subset \cdots \subset \Gamma_n M \subset \cdots \subset M$

(ii) $\bigcup_{i\geq 0} \Gamma_i M = M$

(iii) $F_i A \cdot \Gamma_j M \subseteq \Gamma_{i+j} M$ *for all* i, j.

Proposition 2.4. *Let M be a left A-module where A is a filtered ring with increasing filtration $\mathcal{F} = \{F_i A\}$.*

1. *If $M = \bigoplus_{i\geq 0} M_i$ is a graded A-module, then the sequence of subgroups $\Gamma_k M := \bigoplus_{i=0}^k M_i$ is an increasing filtration of M.*

2. *If $\Gamma = \{\Gamma_i M\}$ is a filtration of M, then The* ***graded module of*** M ***associated with the filtration*** Γ *is defined by*
$$\mathrm{gr}_\Gamma M = \bigoplus_{i\geq 0} \Gamma_{i+1} M / \Gamma_i M$$
which is a graded $\mathrm{gr}_{\mathcal{F}} A$-module.

Remark. *In (1), the $\mathrm{gr}_{\mathcal{F}} M \cong M$ (as graded modules).*

*But in (2), let $A = \mathbb{Z}$ with trivial filtration and $M = \mathbb{Z}_{p^2}$ with filtration $F_0 M = 0, F_1 M = p\mathbb{Z}_{p^2}, F_2 M = M, \ldots$, then $\mathrm{gr}_{\mathcal{F}} M \cong \mathbb{Z}_p^2 \ncong M$ in ${}_{\mathbb{Z}}$***Mod**.

The functor $\mathrm{gr}(-) : {}_R\mathbf{FiltMod} \to {}_R\mathbf{GrMod}$ *is not faithful*

§3 Good filtration

Definition 3.1. *Let A be a filtered ring with an increasing filtration $\{F_n A\}$, and M a filtered A-module with an increasing filtration $\{F_n M\}$. The filtration of M is called a* ***good filtration*** *if* $\mathrm{gr}(M)$ *is finitely generated over* $\mathrm{gr}(A)$.

Theorem 3.2. *A*

§4 Induced filtrations

Definition 4.1. *Let A be a filtered ring with filtration $\mathcal{F} = \{F_i A\}$ and M be a left filtered A-module with filtration $\Gamma = \{\Gamma_i\}$.*

1. *If N is a submodule of M, then the* ***induced filtration*** *on N is a filtration $\Gamma' = \{\Gamma_i N\}$ defined by*
$$\Gamma_i' := N \cap \Gamma_i.$$

   Remark. *associated graded module $\mathrm{gr}_{\Gamma'} N$ of N and graded module homomorphism i_k : $N \cap \Gamma_k / N \cap \Gamma_{k-1} \to \Gamma_k / \Gamma_{k-1}$*

2. *the **quotient filtration** $\Gamma'' = \{\Gamma''_i\}$ on M/N is defined by*

$$\Gamma''_i := \Gamma_i/(N \cap \Gamma_i).$$

Remark. *associated graded module $\mathrm{gr}_{\Gamma''}(M/N)$ of M/N and graded module homomorphism $\pi_k : \Gamma_k M/\Gamma_{k-1}M \to (\Gamma_k M/(N \cap \Gamma_k M)) \,/\, (\Gamma_{k-1}M/(N \cap \Gamma_{k-1}M))$ (note that the right hand side is isomorphic to $\Gamma_k M/\,(\Gamma_{k-1}M + \Gamma_k M \cap N)$, $(A_1/B_1)\,/\,(A_2/B_2) \cong A_1/\,(B_1 + A_2)$)*

Proposition 4.2. *Then there exists a short exact sequence of graded $\mathrm{gr}_{\mathcal{F}}\, R$-modules*

$$0 \longrightarrow \mathrm{gr}_{\Gamma'} N \xrightarrow{i} \mathrm{gr}_{\Gamma} M \xrightarrow{\pi} \mathrm{gr}_{\Gamma''}(M/N) \longrightarrow 0.$$

is exact.

Proof. It is clear that the each

$$0 \longrightarrow \Gamma'_k \cap \Gamma'_{k-1} \xrightarrow{i_k} \Gamma_k/\Gamma_{k-1} \xrightarrow{\pi_k} \Gamma''_k/\Gamma''_{k-1} \longrightarrow 0$$

is exact in **Ab**. Thus the proposition follows. □

§5 General definitions

§5.1

Definition 5.1. *Let A be a ring, $\mathfrak{a}$ an ideal of A and M an A-module.*

1. *A **(increasing) filtration** of M one means an sequence of submodules $\mathcal{F} = \{F_i M\}$*

$$\cdots \subset F_{n+1}M \subset F_n M \subset F_{n+1}M \subset \cdots \subset M$$

Remark. *A descending filtration of M one means a sequence of submodules*

$$M = F^0 M \supset F^1 M \supset F^2 M \supset \cdots \supset F^n M \supset \cdots$$

A increasing filtration of M one means a sequence of submodules

$$F_0 M \subset F_1 M \subset F_2 M \subset \cdots \subset F_n M \subset \cdots \subset M$$

with union $\bigcup_{n=0}^{\infty} F_n M = M$.

In this chapter, we shall only consider descending filtrations.

2. *We say that it is an **$\mathfrak{a}$-filtration** if $\mathfrak{a}F^n M \subset F^{n+1}M$ for all n.*

3. *We say that an $\mathfrak{a}$-filtration is **$\mathfrak{a}$-stable** if we have $\mathfrak{a}F^n M = F^{n+1}M$ for all n sufficiently large.*

Lemma 5.2. *If $(M_n), (M'_n)$ are stable a-filtrations of M, then they have bounded difference: that is, there exists an integer n_0 such that $M_{n+n_0} \subseteq M'_n$ and $M'_{n+n_0} \subseteq M_n$ for all $n \geqslant 0$.*

Proof. Enough to take $M'_n = \mathfrak{a}^n M$. Since $\mathfrak{a}M_n \subseteq M_{n+1}$ for all n, we have $\mathfrak{a}^n M \subseteq M_n$; also $\mathfrak{a}M_n = M_{n+1}$ for all $n \geqslant n_0$ say, hence $M_{n+n_0} = \mathfrak{a}^n M_{n_0} \subseteq \mathfrak{a}^n M$. □

§5.2

Definition 5.3. *Let A be a ring, ideal $\mathfrak{a}$ and A-module M filtered by $\mathfrak{a}$-filtration $\{M_n\}$.*

1. *We can form a* ***first associated graded ring***

$$S = S_{\mathfrak{a}}(A) = \bigoplus_{n=0}^{\infty} \mathfrak{a}^n$$

   $\mathfrak{a}^0 = A$.

   Remark. *It is also a A-algebra called* ***Rees algebra****, with the homomorphism $A \to S_{\mathfrak{a}}(A)$ defined by $a \mapsto (a, 0, 0, \ldots)$.*

2. *Then $M_S = \bigoplus_n M_n$ is a graded $S_{\mathfrak{a}}(A)$-module.*

Remark. *If A is Noetherian, $\mathfrak{a}$ is generated by $x_1, \ldots, x_r$; then $S_{\mathfrak{a}}(A) = A[x_1, \ldots, x_r]$ and is Noetherian.*

Lemma 5.4. *Let A be a Noetherian ring, ideal $\mathfrak{a}$, and M a finitely generated module, with an $\mathfrak{a}$-filtration. Then M_S is finite over $S_{\mathfrak{a}}(A)$ if and only if the filtration of M is $\mathfrak{a}$-stable.*

Theorem 5.5 (Artin-Rees)**.** *Let A be a Noetherian ring, $\mathfrak{a}$ an ideal, M a finite A-module with a stable $\mathfrak{a}$-filtration. Let N be a submodule, and let $N_n = N \cap M_n$. Then $\{N_n\}$ is a stable $\mathfrak{a}$-filtration of N.*

Corollary 5.6. *Let A be a Noetherian ring, M a finite A-module, and N a submodule. Let $\mathfrak{a}$ be an ideal. There exists an integer s such that for all integers $n \geq s$ we have*

$$\mathfrak{a}^n M \cap N = \mathfrak{a}^{n-s}(\mathfrak{a}^s M \cap N)$$

§5.3 Second associated graded ring

Definition 5.7. *Let A be a ring, $\mathfrak{a}$ an ideal and M an A-module with an $\mathfrak{a}$-filtration $\{M_n\}$.*

1. *We define the* ***second associated graded ring***

$$\mathrm{gr}_{\mathfrak{a}}(A) = \bigoplus_{n=0}^{\infty} \mathfrak{a}^n/\mathfrak{a}^{n+1}.$$

   where $\mathfrak{a}^0 = A$.

2. *We define*

$$\operatorname{gr}(M) = \bigoplus_{n=0}^{\infty} M_n/M_{n+1}$$

then $\operatorname{gr}(M)$ *is a graded* $\operatorname{gr}_{\mathfrak{a}}(A)$*-module.*

Proposition 5.8. *Let A be a Noetherian ring and $\mathfrak{a}$ an ideal. Then*

1. $\operatorname{gr}_{\mathfrak{a}}(A)$ *is Noetherian.*

2. *If M is a finitely generated A-module with a stable $\mathfrak{a}$-filtration, then* $\operatorname{gr}(M)$ *is a finitely generated graded* $\operatorname{gr}_{\mathfrak{a}}(A)$*-module.*

Chapter VIII

Dimension

§1 Hilbert Functions

Definition 1.1. *Let $\mathcal{A}$ be a abelian category. A **additive function** $\lambda : \mathcal{A} \to$ **Ab** is a covariant functor such that for every short exact sequence*

$$0 \to M' \to M \to M'' \to 0$$

in $\mathcal{A}$, we have

$$\lambda(M) = \lambda(M') + \lambda(M'')$$

In the following, $\lambda(N)$ is a finitely generated abelian group and we always view $\lambda(N)$ as the rank of $\lambda(N)$.

Definition 1.2. *Let $A = \bigoplus_{n\geq 0} A_n$ be a commutative Noetherian graded ring, $M = \bigoplus_{n\geq 0} M_n$ a finitely generated graded A-module and assume that each M_n is a finitely generated A_0-module with a additive function λ.*

1. *The **Hilbert function** of M with respect to λ is the function defined by*

$$h_M^\lambda(n) := \lambda(M_n) : \mathbb{N} \to \mathbb{N}$$

2. *the **Hilbert-Poincare series** of M*

$$P_M^\lambda(t) := \sum_{n=0}^{\infty} h_M^\lambda(n) t^n$$

Remark. *If R_0 is Artinian ring, $\lambda(N) = \ell_{R_0}(N)$. If R_0 is a field, $\lambda(N) = \dim_{R_0}(N)$.*

Theorem 1.3 (Hilbert-Serre)**.** *The Poincare series $P_M^\lambda(t)$ is a rational function of the form*

$$\frac{f(t)}{\prod_{i=1}^{s}(1 - t^{k_i})}$$

, where $f(t) \in \mathbb{Z}[t]$ and s be the number of generators of ${}_{R_0}R$ and k_i are the degrees of the homomgeneous generators x_i respectively.

Proof. By induction on s, the number of generators of R over R_0. Start with $s = 0$; this means that $R_n = 0$ for all $n > 0$, so that $R = R_0$ and M is a finitelygenerated R_0 module, hence $M_n = 0$ for all large n. Thus $P_M^\lambda(t)$ is a polynomial in this case.

Now suppose $s > 0$ and the theorem true for $s - 1$. Multiplication by x_s is an R-module homomorphism of M_n into M_{n+k_s}, hence it gives an exact sequence, say

$$0 \to K_n \to M_n \xrightarrow{x_s} M_{n+k_s} \to L_{n+k_s} \to 0.$$

Let $K = \oplus_n K_n, L = \oplus_n L_n$; these are both finitely-generated A-modules (because K is a submodule and L a quotient module of M), and both are annihilated by x_s, hence they are $A_0\left[x_1, \ldots, x_{s-1}\right]$-modules. Applying λ to (1) we have, by (2.11)

$$\lambda\left(K_n\right) - \lambda\left(M_n\right) + \lambda\left(M_{n+k_s}\right) - \lambda\left(L_{n+k_s}\right) = 0;$$

multiplying by t^{n+k_s} and summing with respect to n we get

$$\left(1 - t^{k_s}\right) P(M,t) = P(L,t) - t^{k_s} P(K,t) + g(t)$$

where $g(t)$ is a polynomial. Applying the inductive hypothesis the result now follows. □

Corollary 1.4 (Existence of the Hilbert polynomial)**.** *Then there exists a polynomial $H(t) \in \mathbb{Q}[t]$ of degree* dim M *such that $H(n) = h_M^\lambda(n)$ for all sufficiently large integers n.*

*This polynomial is called the **Hilbert polynomial** of M with respect to λ.*

Corollary 1.5. *There exists a $\chi(t, A, M) \in \mathbb{Q}[t]$ of degree* dim M *such that*

$$\sum_{i=0}^{n} \lambda\left(M_i\right) = \chi(n)$$

for all sufficiently large integers n.

Definition 1.6. *The **multiplicity** of M with respect to λ is defined to be*

$$e(M, \lambda) := d! \cdot a_{d(M)}$$

where $a_{d(M)}$ is the leading coefficient of the Hilbert polynomial $\chi(t)$.

§2 Krull Dimension

In this section, all rings A are commutative Noetherian ring with identity and modules M are finitely generated A-module. Although some definitions and results hold in greater generality, the most of useful properties stay in this case.

Definition 2.1. *Let A-module M.*

1. *The **Krull dimension** of A is defined to be the supremum of the lengths n of all chains of prime ideals*
$$\mathfrak{p}_0 \subsetneq \mathfrak{p}_1 \subsetneq \cdots \subsetneq \mathfrak{p}_n$$
say $\dim(A) = n$ *if the supremum is attained, and* $\dim(A) = \infty$ *otherwise.*

2. *The **Krull dimension** of A-module M is defined to be the dimension of the quotient ring $A/\operatorname{Ann}(M)$, that is,*
$$\dim(M) := \dim\left(A/\operatorname{Ann}(M)\right)$$

Proposition 2.2. .

1. $\dim A = \dim \operatorname{Spec} A$

2. *If A is a local ring with maximal ideal $\mathfrak{m}$, then* $\dim A = \sup\left\{n : \mathfrak{p}_0 \subsetneq \mathfrak{p}_1 \subsetneq \cdots \subsetneq \mathfrak{p}_n = \mathfrak{m}\right\}$

3. *if S is a multiplicatively closed subset of A, then* $\dim S^{-1}A \leq \dim A$

Definition 2.3. *Let A be a commutative ring and $\mathfrak{p}$ a prime ideal of A. The **height** of $\mathfrak{p}$ is defined to be*
$$\operatorname{ht}(\mathfrak{p}) := \sup\left\{n : \mathfrak{p}_0 \subsetneq \mathfrak{p}_1 \subsetneq \cdots \subsetneq \mathfrak{p}_n = \mathfrak{p}\right\}$$
Thus $\dim A = \sup_{\mathfrak{p} \in \operatorname{Spec} A} \operatorname{ht}(\mathfrak{p})$.

Theorem 2.4 (Krull Principal Ideal Theorem)**.** *Let A be a Noetherian commutative ring and a ideal $\mathfrak{a} = (f_1, \ldots, f_r)$. If $\mathfrak{p}$ is a minimal element of $\{\mathfrak{q} \in \operatorname{Spec} A : \mathfrak{a} \subset \mathfrak{q}\}$. Then* $\operatorname{ht}(\mathfrak{p}) \leq r$.

§3 Weyl Algebraa

Part II

The Structure of Rings

Chapter IX

The Structure of Rings

Contents

§1 Simplicity and Primitivity

Definition 1.1. *A ring R is said to be **simple** if R has no proper two-sided ideals.*

Definition 1.2. *A left module M over a ring R is said to be **simple** (or **irreducible**) if M has no proper submodules.*

Remark. *A left ideal $\mathfrak{a}$ of R is a simple left R-module if and only if $\mathfrak{a}$ is a minimal left ideal of R. In this case, we call $\mathfrak{a}$ the **simple left ideal** of R.*

Proposition 1.3. *Let R be a ring and M be a simple R-module, then*

1. *$M = Rm$ for every $0 \neq m \in M$.*
2. *If $0 \neq u \in M$, then $M \cong R/\operatorname{Ann}(u)$, thus $\operatorname{Ann}(u)$ is a left maximal ideal.*

   Conversely, if $\mathfrak{m}$ is left maximal in R, then $R/\mathfrak{m}$ is a simple R-module with $\operatorname{Ann}(R/\mathfrak{m}) = \mathfrak{m}$
3. *If R is not a division ring, then M is a torsion module.$m \in M$.*

Lemma 1.4 (Schur). *Let M be a simple module over a ring R and let N_i be any R-module.*

1. *Every nonzero R-module homomorphism $f : M \to N_1$ is a monomorphism;*
2. *Every nonzero R-module homomorphism $g : N_2 \to M$ is an epimorphism;*
3. *The endomorphism ring $D = \operatorname{Hom}_R(M, M)$ is a division ring, then M is a vector space over $\operatorname{Hom}_R(M, M)$ with $fa = f(a)$*

§1.1 Primitivity

Definition 1.5. *Let R be a ring.*

1. *A ring R is said to be* ***left [resp. right] primitive*** *if there exists a simple faithful left [resp. right] R-module.*

2. *An ideal $\mathfrak{a}$ of a ring R is said to be* ***left [resp. right] primitive*** *if the quotient ring $R/\mathfrak{a}$ is a left [resp. right] primitive ring.*

Remark. *If M is a simple left R-module, then $R/\operatorname{Ann}(M)$ is left primitive with faithful simple left $R/\operatorname{Ann}(M)$-module M.*

Proposition 1.6. *Let R be a ring.*

1. *A simple ring R is primitive.*

2. *A commutative ring R is primitive if and only if R is a field.*

Proof. 1. Since R has an identity, R contains a maximal left ideal $\mathfrak{m}$ by **??**, whence $R/\mathfrak{m}$ is a simple R-module. Since $\operatorname{Ann}(R/\mathfrak{m})$ is an ideal of R that does not contain 1_R, $\operatorname{Ann}(R/\mathfrak{m}) = 0$ by simplicity of R. Therefore $R/\mathfrak{m}$ is a faithful R-module.

2. Conversely, let M be a faithful simple left R-module. Then $M \cong R/I$ for some maximal ideal I of R. Therefore, $0 = \operatorname{Ann}(M) = \operatorname{Ann}(R/I) \supset I$. Then $I = 0$ is a maximal ideal of R, thus R is a field. □

§1.2 Jacobson Density Theorem

Definition 1.7. *Let V be a vector space over a division ring D. A subring R of $\operatorname{Hom}_D(V, V)$ is called a* ***dense ring of endomorphisms*** *of V if for every positive integer n, every linearly independent subset $\{u_1, \ldots, u_n\}$ of V and every arbitrary subset $\{v_1, \ldots, v_n\}$ of V, there exists $f \in R$ such that $f(u_i) = v_i, (i = 1, 2, \ldots, n)$.*

Theorem 1.8. *Let R be a dense ring of endomorphisms of a vector space V over a division ring D. Then R is Artinian if and only if $\dim_D V$ is finite, in which case $R = \operatorname{Hom}_D(V, V) \cong M_n(D)$.*

Proof. If R is Artinian and $\dim_D V$ is infinite, then there exists an infinite linearly independent subset $\{u_1, u_2, \ldots\}$ of V. By V is a left $\operatorname{Hom}_D(V, V)$-module and hence a left R-module. For each n let $I_n = \operatorname{Ann}\{u_1, \ldots, u_n\}$ Then $I_1 \supset I_2 \supset \cdots$ is a descending chain of left ideals of R and hence $I_1 \supsetneq I_2 \supsetneq \cdots$ is a properly descending chain, which is a contradiction. Hence $\dim_D V$ is finite.

Conversely if $\dim_D V$ is finite, then V has a finite basis $\{v_1, \ldots, v_m\}$. Then $R = \operatorname{Hom}_D(V, V) \cong M_n(D)$ is Artinian. □

Lemma 1.9. *Let M be a simple module over a ring R. Consider M as a vector space over the division ring $D = \operatorname{Hom}_R(M, M)$ by 1.4. If V is a finite dimensional D-subspace of M and $a \in M - V$, then there exists $r \in R$ such that $ra \neq 0$ and $rV = 0$.*

Remark. *In other words, the element $r \in \mathrm{Ann}_R(V)$ only annihilates D-subspace V.*

Proof. The proof is by induction on $n = \dim_D V$. If $n = 0$, then $V = 0$ and $a \neq 0$. Since M is simple, $M = Ra$. Consequently, there exists $r \in R$ such that $ra = a \neq 0$ and $rV = r0 = 0$.

Suppose now $\dim_D V = n > 0$ and the theorem is true for dimensions less than n. Let $\{u_1, \ldots, u_{n-1}, u\}$ be a D-basis of V and let $W = \mathrm{span}\{u_1, \ldots, u_{n-1}\}$ ($W = 0$ if $n = 1$). Then $V = W \oplus Du$ (vector space direct sum, W may not be an R-submodule of M) the left annihilator $I = \mathrm{Ann}_R(W)$ is a left ideal of R.

Consequently, Iu is an R-submodule of M. Since $u \in M - W$, the induction hypothesis implies that there exists $r \in R$ such that $ru \neq 0$ and $rW = 0$. Consequently $r \in I$ and $0 \neq ru \in Iu$, whence $Iu \neq 0$. Therefore $M = Iu$ by simplicity.

We must find $r \in R$ such that $ra \neq 0$ and $rV = 0$. If no such r exists, $\mathrm{Ann}(a) \subset \mathrm{Ann}(V)$, then we can define a map $\theta : M \to M$ as follows. For $ru \in Iu = M$ let $\theta(ru) = ra \in M$. We claim that θ is well defined. If $r_1 u = r_2 u\, (r_i \in I)$, then $(r_1 - r_2)\, u = 0$, whence $(r_1 - r_2)\, V$ $= (r_1 - r_2)\,(W \oplus Du) = 0$. Consequently by hypothesis $(r_1 - r_2)\, a = 0$. Therefore, $\theta\,(r_1 u) =$ $r_1 a = r_2 a = \theta\,(r_2 u)$. Verify that $\theta \in \mathrm{Hom}_R(M, M) = D$. Then for every $r \in I$,

$$0 = \theta(ru) - ra = r\theta(u) - ra = r(\theta(u) - a)$$

Therefore $\theta(u) - a \in W$ by induction hypothesis. Consequently

$$a = \theta u - (\theta u - a) \in Du + W = V,$$

which contradicts the fact that $a \notin V$. Therefore, there exists $r \in R$ such that $ra \neq 0$ and $rV = 0$. □

Theorem 1.10 (Classic Jacobson Density Theorem)**.** *Let R be a primitive ring with a faithful simple R-module M and division ring $D = \mathrm{End}_R(M)$. Then R is a dense ring of endomorphisms of the D-vector space M (viewed $\alpha : R \hookrightarrow \mathrm{Hom}_R(M, M)$ by $r \mapsto \alpha_r$ where $\alpha_r : m \mapsto rm$ in M).*

Remark. *If R is not primitive, then R is not a subring of $\mathrm{Hom}_R(M, M)$. But $R/\mathrm{Ann}(M)$ is primitive with faithful simple left $R/\mathrm{Ann}(M)$-module M with the action of $\bar{r}$ on M which is same as that of r on M, so we also can say that R acts on simple M densely i.e. for every positive integer n, every linearly independent subset $\{u_1, \ldots, u_n\}$ and every arbitrary subset $\{v_1, \ldots, v_n\}$, there exists $r \in R$ such that $ru_i = v_i, (i = 1, 2, \ldots, n)$.*

Proof. It clear that $\alpha : R \to \mathrm{Hom}_D(M, M)$ is a ring monomorphism since M is faithful. Let $\{u_1, u_2, \ldots, u_n\}$ be a D-linearly independent subset and $\{v_1, v_2, \ldots, v_n\}$ be an arbitrary subset. For each i let

$$V_i = \mathrm{span}\{u_1, \ldots, u_{i-1}, u_{i+1}, \ldots, u_n\}.$$

Since U is D-linearly independent, $u_i \notin V_i$. Consequently, by 1.9 there exists $r_i \in R$ such that

$$r_i u_i \neq 0 \text{ and } r_i V_i = 0$$

whence $Rr_iu_i = M$ by simplicity. Therefore exists $t_i \in R$ such that $t_ir_iu_i = v_i$. Let

$$r = t_1r_1 + t_2r_2 + \cdots + t_nr_n \in R.$$

Consequently for each $i = 1, 2, \ldots, n$

$$\alpha_r(u_i) = (t_1r_1 + \cdots + t_nr_n)\, u_i = v_i$$

Therefore $\operatorname{Im} \alpha$ is a dense ring of endomorphisms of the D-vector space M. □

§2 Simple ring

Corollary 2.1. *If R is a nonzero simple ring, then*

$$R \cong \alpha(R) \subseteq \operatorname{End}_D(V)$$

for some faithful simple R-module V and division ring $D = \operatorname{End}_R(V)$.

Corollary 2.2. *If R is a primitive ring, then for some division ring D either R is isomorphic to the endomorphism ring of a finite dimensional vector space over D or for every positive integer m there is a subring R_m of R and an epimorphism of rings $R_m \to \operatorname{Hom}_D(V_m, V_m)$, where V_m is an m-dimensional vector space over D.*

Proof. In the notation of 1.10,

$$\alpha : R \to \operatorname{Hom}_D(M, M)$$

is a monomorphism such that $R \cong \operatorname{Im} \alpha$ and $\operatorname{Im} \alpha$ is dense in $\operatorname{Hom}_D(M, M)$. If $\dim_D M = n$ is finite, then $R \cong \operatorname{Im} \alpha = \operatorname{Hom}_D(M, M)$ by 1.8. If $\dim_D A$ is infinite and $\{u_1, u_2, \ldots\}$ is an infinite linearly independent set, let V_m be the m-dimensional D-subspace of A spanned by $\{u_1, \ldots, u_m\}$. Verify that $R_m = \{r \in R \mid rV_m \subset V_m\}$ is a subring of R. Use the density of $R \cong \operatorname{Im} \alpha$ in $\operatorname{Hom}_D(M, M)$ to show that the map $R_m \to \operatorname{Hom}_D(V_m, V_m)$ given by $r \mapsto \alpha_r|_{V_m}$ is a well-defined ring epimorphism. □

Theorem 2.3 (Wedderburn-Artin)**.** *The following conditions on a ring R are equivalent.*

1. *R is simple Artinian.*
2. *R is primitive Artinian.*
3. *R is isomorphic to $M_n(D)$ for some positive integer n and some division ring D.*

In this case, D is isomorphic to $\operatorname{Hom}_R(M, M)$ for any simple left R-module M and $n = \dim_D M$.

Proof. (1) ⇒ (2) This is clear since a simple ring is primitive.

(2) $\Rightarrow$ (3) Let M be a faithful simple left R-module. By theorem 1.10 , R is isomorphic to a dense ring of endomorphisms of the D-vector space M, where $D = \mathrm{Hom}_R(M, M)$. Since R is left Artinian, $\dim_D M$ is finite by theorem 1.8 . Therefore $R \cong \mathrm{Hom}_D(M, M) \cong M_n(D)$, where $n = \dim_D M$.

(3) $\Rightarrow$ (1) Since $M_n(D)$ is left Artinian, it suffices to show that $M_n(D)$ is simple. Let $\mathfrak{a}$ be a nonzero two-sided ideal of $M_n(D)$ and let $0 \neq A = (a_{ij}) \in \mathfrak{a}$. Then there exist indices p, q such that $a_{pq} \neq 0$. For any indices i, j, let E_{ij} be the matrix unit whose (i, j)-entry is 1 and all other entries are 0. Then

$$E_{ip} A E_{qj} = a_{pq} E_{ij} \in \mathfrak{a}$$

□

Lemma 2.4. *Let V be a nonzero vector space over a division ring D. If $g : V \to V$ is a homomorphism of additive groups such that $gf = fg$ for all $f \in \mathrm{Hom}_D(V, V)$, then there exists $\lambda \in D$ such that $g(x) = \lambda x$ for all $x \in V$.*

Lemma 2.5. *Let V be a finite dimensional vector space over a division ring D. If M and N are simple faithful modules over $R = \mathrm{Hom}_D(V, V)$, then M and N are isomorphic R-modules.*

Proof. Since M and N are simple and faithful, we have $\mathrm{Ann}(M) = 0$ and $\mathrm{Ann}(N) = 0$. By lemma 1.4 , we have $\mathrm{Hom}_R(M, N) \cong \mathrm{Hom}_D(V, V)$, which is a division ring. Thus M and N are isomorphic as R-modules. □

Proposition 2.6. *For $i = 1, 2$ let V_i be a vector space of finite dimension n_i over the division ring D_i.*

1. *If there is an isomorphism of rings $\mathrm{Hom}_{D_1}(V_1, V_1) \cong \mathrm{Hom}_{D_2}(V_2, V_2)$, then $\dim_{D_1} V_1 = \dim_{D_2} V_2$ and D_1 is isomorphic to D_2.*
2. *If there is an isomorphism of rings $M_{n_1}(D_1) \cong M_{n_2}(D_2)$, then $n_1 = n_2$ and D_1 is isomorphic to D_2.*

Chapter X

Semisimplicity

§1 Definitions

Theorem 1.1. *Let R be a ring and M a left R-module. The following conditions on M are equivalent:*

1. *M is the sum of a family of simple submodules.*
2. *M is the direct sum of a family of simple submodules.*
3. *Every submodule N is a direct summand of M.*

Proof. (1) $\Rightarrow$ (2) Let $\mathcal{S}$ be the set of all families $\mathcal{F}$ of simple submodules of M such that the sum of the members of $\mathcal{F}$ is direct. Since M is the sum of a family of simple submodules, $\mathcal{S}$ is nonempty. Partially order $\mathcal{S}$ by inclusion and let $\mathcal{C}$ be a chain in $\mathcal{S}$. Then $\mathcal{U} = \bigcup_{\mathcal{F}\in\mathcal{C}} \mathcal{F}$ is an upper bound for $\mathcal{C}$ in $\mathcal{S}$. By Zorn's lemma there exists a maximal element $\mathcal{F}_0$ in $\mathcal{S}$. We claim that $M = \bigoplus_{N\in\mathcal{F}_0} N$. If not, there exists a simple submodule K of M such that

$$K \cap \left(\bigoplus_{N\in\mathcal{F}_0} N \right) = 0.$$

Consequently, $\mathcal{F}_0 \cup \{K\} \in S$, contradicting the maximality of $\mathcal{F}_0$.

(2) $\Rightarrow$ (3) Let $M = \bigoplus_{i\in I} N_i$, where each N_i is a simple submodule of M, and let N be a submodule of M. For each $i \in I$, either $N_i \subset N$ or $N_i \cap N = 0$ by simplicity. Let $J = \{i \in I \mid N_i \subset N\}$ and $K = I - J$. Then

$$M = N \oplus \left(\bigoplus_{i\in K} N_i \right).$$

(3) $\Rightarrow$ (1) Let N be the sum of all simple submodules of M. By hypothesis, $M = N \oplus P$ for some submodule $\square$

Remark. *A left R-module M satisfying the three conditions is said to be* ***semisimple****. Similarly one defines a right semisimple module.*

Proposition 1.2. *Every submodule and every factor module of a left semisimple module is left semisimple.*

§2 Structure of semisimple rings

Definition 2.1. *A ring R is called* ***semisimple*** *if $1 \neq 0$, and if R is semisimple as a left R-module.*

Proposition 2.2. *If R is a semisimple ring, then R is Artinian.*

Proof. Since ${}_R R$ is semisimple module, there exist simple left ideals L_j of R such that

$$ {}_R R \cong \bigoplus_{j \in J} L_j $$

with projection maps $\pi_j : R \to L_j$ for each $j \in J$. Since the sum is direct, $\{j_k : \pi_{j_k}(1_R) \neq 0\}$ is finite. Then $J = \{j_k : \pi_{j_k}(1_R) \neq 0\}$ is finite and

$$ {}_R R \cong L_1 \oplus L_2 \oplus \cdots \oplus L_n $$

Therefore ring R is Artinian. □

Remark. *It is clear that any simple ideal L of R is isomorphic to some L_j, thus R has finitely many minimal left ideals up to isomorphism.*

Lemma 2.3. *If L and L' are minimal left ideals in a ring R, then each of the following statements implies the one below it:*

1. $LL' \neq (0)$.
2. $\operatorname{Hom}_R(L, L') \neq \{0\}$ *and there exists $b' \in L'$ with $L' = Lb'$.*
3. $L \cong L'$ *as left R-modules.*

If also $L^2 \neq (0)$, then (iii) implies (i) and the three statements are equivalent.

Theorem 2.4 (Wedderburn-Artin)**.** *If R is a semisimple ring, then we have ring isomorphisms*

$$ R \cong \prod_{i=1}^{t} R_i \cong \prod_{i=1}^{t} M_{n_i}(D_i) $$

where $R_i \cong M_{n_i}(D_i)$ is a simple ring for each $i \in I$.

Proof. Let $\{L_{j_i}\}_{i=1}^{t}$ be all distinct simple left ideals of R up to isomorphism and define R_i be the sum

$$ {}_R R_i := \bigoplus_{L_j \cong L_i} L_j $$

Thus, as left R-modules,

$$_RR \cong \bigoplus_{i=1}^{t} {_RR_i}.$$

Then R_i is a two-sided ideal of R by lemma 2.3 and hence a ring with identity element $e_i = \pi_i(1_R)$ (π_i the projection from R to R_i). The projection from R to R_i induce a ring homomorphism

$$R \cong \prod_{i=1}^{t} R_i$$

It remains to show that each R_i is simple. Let I be a nonzero two-sided ideal of R_i, then I is a left ideal of R. Since R is semisimple, there exists a left ideal J of R such that

$$R = I \oplus J$$

Then

$$R_i = e_iR = e_iI + e_iJ \subset I$$

Thus R_i is simple. $\square$

§3 Structure of modules over semisimple rings

§3.1 Modules over $M_n(D)$

Proposition 3.1. *Let $R = M_n(D)$ for some division ring D and positive integer n.*

1. *If S is a left R-module, then*
$$_RS \cong {_RD^n}$$

2. *every left R-module is isomorphic to$({_RD^n})^{(I)}$ for some index set I.*

Corollary 3.2. *There is, up to isomorphism, only one simple left module over a Artinian simple ring R; namely, the left R-module Re, where e is any primitive idempotent of R.*

§3.2 Modules over semisimple rings

Theorem 3.3. *Let semisimple ring*

$$R \cong \prod_{i=1}^{t} R_i$$

where each $R_i \cong M_{n_i}(D_i)$. Then every left R-module M is isomorphic to

$$\bigoplus_{i=1}^{t} e_iM \cong \bigoplus_{i=1}^{t} S_i^{(\kappa_i)}$$

where $e_iM \cong S_i$ is the unique simple left R_i-module and κ_i is a cardinal number. And the decomposition is unique in the sense that if

$$M \cong \bigoplus_{i=1}^{t} S_i^{(\kappa_i)} \cong \bigoplus_{i=1}^{t} S_i^{\left(\kappa_i'\right)}$$

then $\kappa_i = \kappa_i'$ for each i

Remark. *If M is finitely generated, then each κ_i is finite.*

§4 Jacobson Radical

Definition 4.1. *Let R be a ring. A element $x \in R$ is said to be **right quasi-regular** if there exists $y \in R$ such that $x + y - xy = 0$, y is called a **right quasi-inverse** of x.*

Remark. *That is, $1 - x$ has a right inverse $1 - y$.*

Definition 4.2. *A element $a \in R$ is said **right quasi-nilpotent element** if for every $r \in R$, ra is right quasi-regular.*

Remark. *That is, $1 - ra$ has a right inverse for every $r \in R$.*

§4.1 Jacobson radical

Theorem 4.3. *Let R be a ring, then the following sets are equal:*

1. *the intersection of all maximal left ideals of R*

1' *the intersection of all maximal right ideals of R*

2. *the intersection of all the annihilators of simple left R-modules;*

2' *the intersection of all the annihilators of simple right R-modules;*

3. *$\{x \in R : x$ is right quasi-nilpotent element$\}$*

3' *$\{x \in R : x$ is left quasi-nilpotent element$\}$*

4. *Nakayama's $\{x \in R :$ for any finitely generated module $M, xM = M \Rightarrow M = 0\}$*

5. *the ideal J such that R/J is semisimple.*

*the two-sided ideal is called the **Jacobson radical** of R and is denoted by $J(R)$.*

Corollary 4.4. 1. *$J\left(\prod_{i\in I} R_i\right) = \prod_{i\in I} J\left(R_i\right)$.*

2. *$J\left(J\left(R\right)\right) = J\left(R\right)$.*

3. *$R/J(R)$ is semisimple.*

Proposition 4.5. *If R is a Artinian ring, then the radical $J(R)$ is a nilpotent ideal. Thus $J(R) =$* Rad (R)

Proof. Since R is Artinian, the descending chain of ideals

$$J(R) \supseteq J(R)^2 \supseteq J(R)^3 \supseteq \cdots$$

stabilizes, say $J(R)^n = J(R)^{n+1}$ for some positive integer n. On the other hand, ${}_RR$ is Noetherian thus $J(R)^n$ is finitely gengerated submodule of ${}_RR$ and $J(R)^n = 0$ by Nakayama's lemma. □

Proposition 4.6. *Let R be a ring. $J(M_n R) = M_n(J(R))$.*

Proof. (a) If A is a left R-module, consider the elements of $A^n = A \oplus A \oplus \cdots \oplus A$ (n summands) as column vectors; then A^n is a left ($\mathrm{Mat}_n\, R$)-module (under ordinary matrix multiplication).

(b) If A is a simple R-module, A^n is a simple ($\mathrm{Mat}_n\, R$)-module.

(c) $J(\mathrm{Mat}_n\, R) \subset \mathrm{Mat}_n\, J(R)$.

(d) $\mathrm{Mat}_n\, J(R) \subset J(\mathrm{Mat}_n\, R)$. [Hint: prove that $\mathrm{Mat}_n\, J(R)$ is a left quasi-regular ideal of $\mathrm{Mat}_n\, R$ as follows. For each $k = 1, 2, \ldots, n$ let K_k consist of all matrices (a_{ij}) such that $a_{ij} \varepsilon J(R)$ and $a_{ij} = 0$ if $j \neq k$. Show that K_k is a left quasi-regular left ideal of $\mathrm{Mat}_n\, R$ and observe that $K_1 + K_2 + \cdots + K_n = \mathrm{Mat}_n\, J(R)$.] □

Corollary 4.7. *Let R be a ring. If matrix $A \in M_n(J(R))$, then $I - A$ is invertible in $M_n(R)$.*

§4.2 Nakayama's Lemma

Theorem 4.8 (Nakayama's lemma)**.** *Let M be a finitely generated left R-module and a subset $X \subset J(R)$. Then $\mathfrak{a}M = M$ implies $M = 0$.*

Corollary 4.9. *Let M be a finitely generated left R-module, N a submodule of M, left ideal $\mathfrak{a} \subset J(R)$. Then $M = \mathfrak{a}M + N \Rightarrow M = N$.*

Corollary 4.10. *If R is a Noetherian local ring with maximal ideal $\mathfrak{m}$, then $\bigcap_{n=1}^{\infty} \mathfrak{m}^n = 0$.*

Proposition 4.11. *If R is a local ring, then every finitely generated projective R-module is free.*

Proof. If P is a finitely generated projective R-module, then by **??** there exists a free R-module F with a finite basis and an epimorphism $\pi : F \to P$. Among all the free R-modules F with this property choose one with a basis $\{x_1, x_2, \ldots, x_n\}$ that has a minimal number of elements. Since π is an epimorphism $\{\pi(x_1), \ldots, \pi(x_n)\}$ necessarily generate P.

We shall first show that $K = \operatorname{Ker} \pi$ is contained in $\mathfrak{m}F$, where $\mathfrak{m}$ is the unique maximal ideal of R.

If $K \not\subset \mathfrak{m}F$, then there exists $k \in K$ with $k \notin \mathfrak{m}F$. Now $k = r_1x_1 + r_2x_2 + \cdots + r_nx_n$ with $r_i \in R$ uniquely determined. Since $k \notin \mathfrak{m}F$, some r_i, say r_1, is not an element of $\mathfrak{m}$, thus r_1 is a unit, whence $x_1 - r_1^{-1}k = -r_1^{-1}r_2x_2 - \cdots - r_1^{-1}r_nx_n$.

Consequently, since $k \in \operatorname{Ker} \pi, \pi(x_1) = \pi\left(x_1 - r_1^{-1}k\right) = \sum_{i=2}^{n} -r_1 r_i \pi(x_i)$. Therefore, $\{\pi(x_2), \ldots, \pi(x_n)\}$ generates P. Thus if F' is the free submodule of F with basis $\{x_2, \ldots, x_n\}$ and $\pi' : F' \to P$ the restriction of π to F', then π' is an epimorphism. This contradicts the choice of F as having a basis of minimal cardinality. Hence $K \subset \mathfrak{m}F$.

Since $0 \to K \xrightarrow{\subseteq} F \xrightarrow{\pi} P \to 0$ is exact and P is projective $K \oplus P \cong F$ by **??**. Under this isomorphism $(k, 0) \mapsto k$ for all $k \in K$ (see the proof of Theorem IV.1.18), whence F is the internal direct sum $F = K \oplus P'$ with $P' \cong P$. Thus $F = K + P' \subset \mathfrak{m}F + P'$. If $u \in F$, then $u = \sum_i m_i v_i + p_i$ with $m_i \in \mathfrak{m}, v_i \in F, p_i \in P'$. Consequently, in the R-module F/P',

$$u + P' = \sum_i m_i v_i + P' = \sum_i m_i (v_i + P') \in \mathfrak{m}(F/P')$$

whence $\mathfrak{m}(F/P') = F/P'$. Since F is finitely generated, so is F/P'. Therefore $K \cong F/P' = 0$ by **??**. Thus $P \cong P' = F$ and P is free. □

§5 Characterizations of semisimple rings

Theorem 5.1. *The following conditions on a ring R are equivalent:*

1. *R is a semisimple ring.*
2. *Every left R-module is a semisimple module.*
3. *Every left R-module is injective.*
4. *Every left R-module is projective.*
5. *Every short exact sequence of left R-modules splits.*
6. *R is Artinian and $J(R) = 0$.*

§6 Algebra

Definition 6.1. *Let A be an algebra over a commutatuve ring K with identity.*

1. *A **left algebra A-module** is a left K-module M such that M is a left module over the ring A and $k(am) = (ka)m = a(km)$ for all $k \in K, a \in A, m \in M$. Indeed,*

   $$\begin{cases} (k_1 a_1 + k_2 a_2)(m_1 + m_2) = k_1 a_1 m_1 + k_1 a_1 m_2 + k_2 a_2 m_1 + k_2 a_2 m_2 \\ k(am) = (ka)m = a(km) \\ 1_K m = m, 1_K a = a \end{cases}$$

   for all $k \in K, a \in A, m \in M$

2. *A left algebra A-submodule of M is a subset of M which is itself an left algebra A-module.*

3. *A left algebra A-module M is* ***simple*** *(or* ***irreducible****) if M has no proper A-submodules.*

4. *A homomorphism $f : M \to N$ of algebra A-modules is a map that is both a K-module and an A-module homomorphism.*

Remark.

Theorem 6.2. *Let A be a K-algebra. The Jacobson radical of the ring A coincides with the Jacobson radical of the algebra A. In particular A is a semisimple ring if and only if A is a semisimple algebra.*

Theorem 6.3. *Let A be a K-algebra.*

(1) Every simple algebra A-module is a simple module over the ring A.

(2) Every simple module M over the ring A can be given a unique K-module structure in such a way that M is a simple algebra A-module.