## **Latent Gaussian Compression**

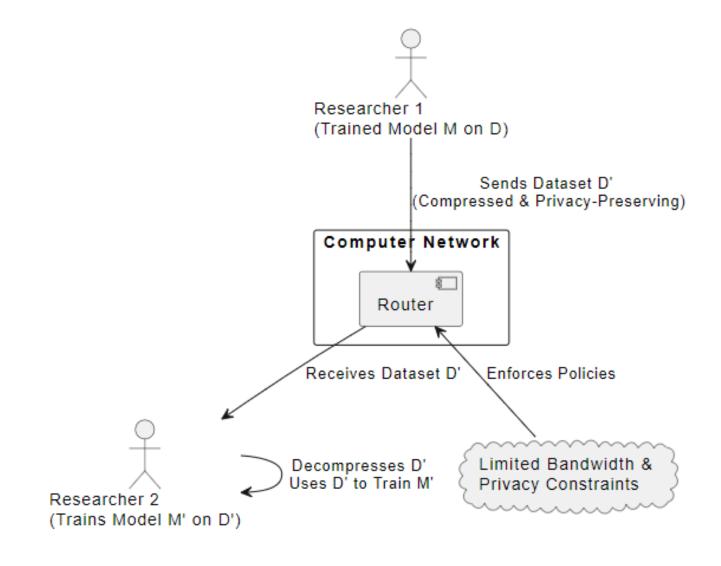
James Zhao, Blaine Arihara, Emily Tang, Terry Weber

## **Problem Setup**

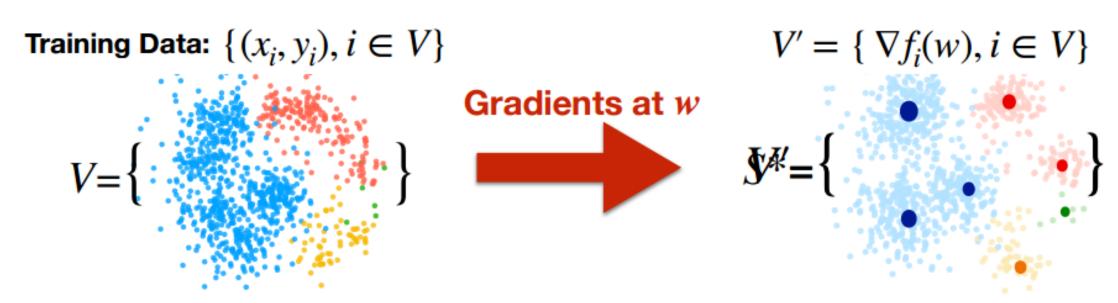
Suppose we have a dataset  $D = \{Cat, Dog\}$  with two classes and we want to train a classifier.

- The Problem:
  - $\circ$  Cannot store or transmit full dataset D because of
    - Network bandwidth constraints
    - Space constraints
    - Privacy constraints
- ullet Can we share compressed dataset D' (equivalent to coreset  $S_k$ ) instead?

## **Problem Assumptions**

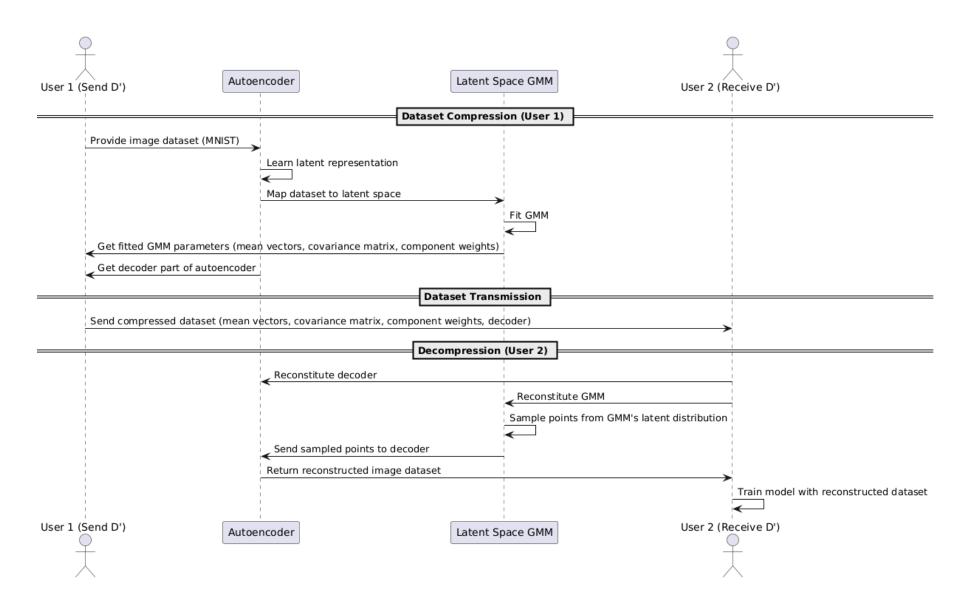


## **Existing Approaches**



• Select subset S\* and obtain a  $\frac{|V|}{|S*|}$  speedup and compression factor

#### Workflow

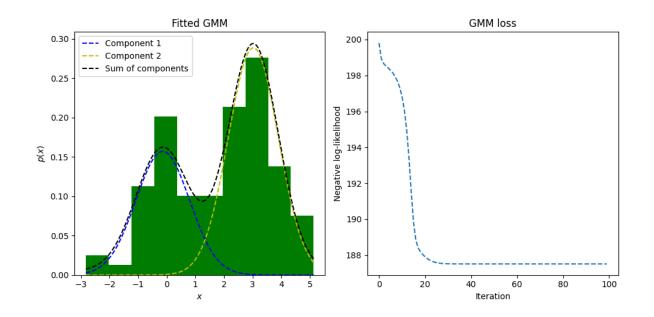


### **Gaussian Mixture Modeling**

- Map original data in  $\mathbb{R}^n(A,B)$  to simpler latent space  $\mathbb{R}^l(A',B')$  where l<< n.
- We can approximate the class distributions using Gaussian Mixture Models (GMMs):
  - $\circ$  Represent each class distribution  $C' \in (A', B')$  as linear combinations of k Gaussian distributions:

$$P(z) = \sum_{i=1}^k \pi_i \mathcal{N}(\mu_{k_{C'}}, \Sigma_{k_{C'}}), \quad z \in R^l$$

#### Visualizing GMM Distribution Learning

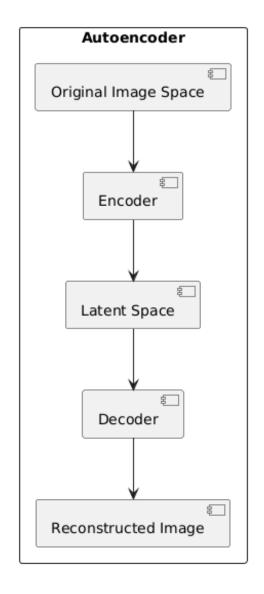


- The image shows the learning of a Gaussian Mixture Model (GMMs) with two components (k=2).
- The distribution is a linear combination of the two components, but can be any integer number of components:

$$p(z) = \pi_1 \mathcal{N}(\mu_1, \Sigma_1) + \pi_2 \mathcal{N}(\mu_2, \Sigma_2)$$

# Compression with Autoencoders (AE) and GMMs

- Different autoencoders are used to train up an encoder to transform images into lower dimensional latent space
- The decoder is also trained to recover the original image



#### **Autoencoder Architectures and Losses**

We experimented with vanilla AE with/without contrastive learning and the Variational AE with/without contrastive learning:

1. Reconstruction Loss:

$$|L_{ ext{recon}}(x,\hat{x}) = ||x-\hat{x}||^2$$

2. KL Divergence (regularizer):

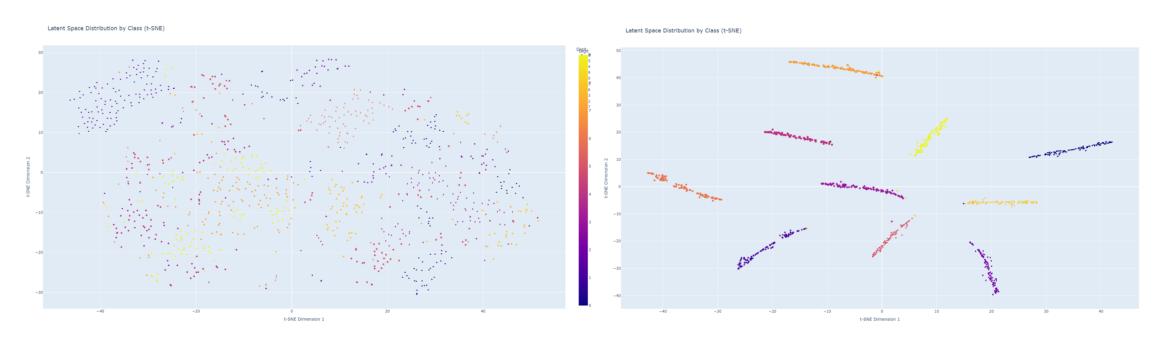
$$L_{
m KL} = D_{
m KL}(q(z|x)||p(z))$$

3. Contrastive Learning Loss:

$$L_{ ext{CL}} = rac{1}{2N} \sum_{i=1}^{N} (1-y_i) D_i^2 + y_i \max(0, m-D_i)^2$$

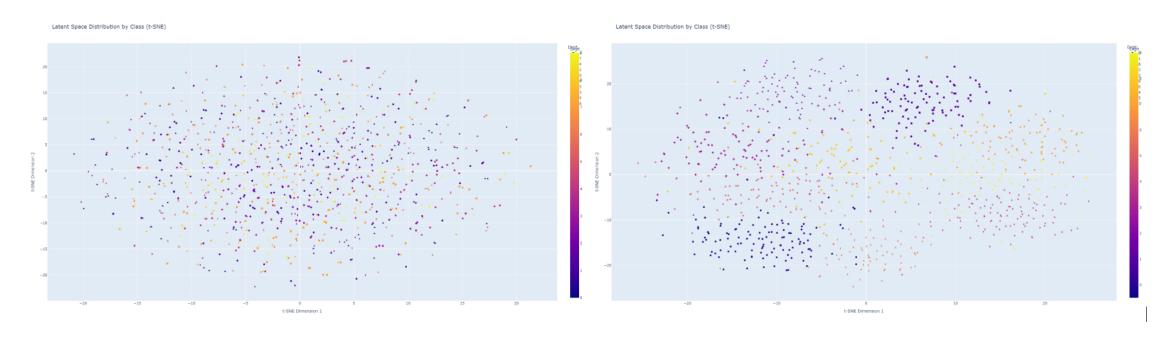
#### Visualizing the AE Latent Space

- t-SNE plots below show the AE encoding space without contrastive learning (left) and with contrastive learning (right)
- The effect of the contrastive loss can clearly be seen to pull examples within a class closer together and push examples outside of a class away from each other



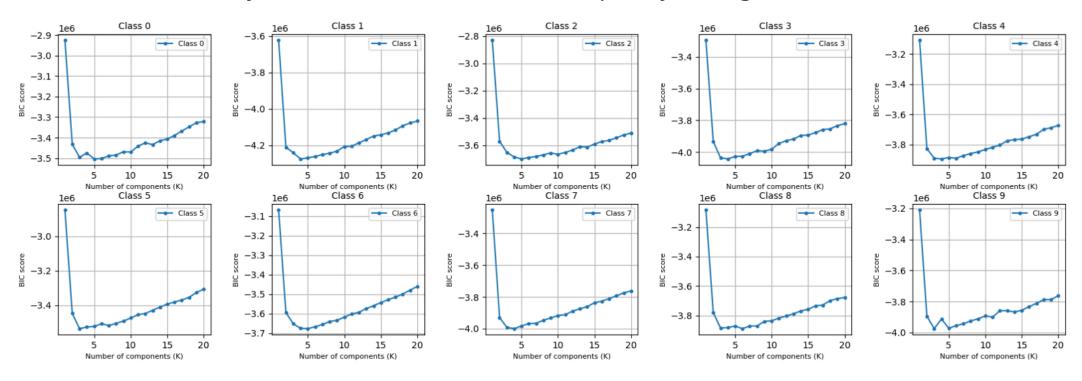
#### Visualizing the VAE Latent Space

- t-SNE plots below show the same latent space with VAE without contrastive learning (left), and with contrastive learning (right)
- Similarly, the VAE without contrastive loss sees the normalized latent space disitributions intermixed, while contrastive learning can be seen to separate classes



#### **Bayesian Information Criterion (BIC) Curve**

- BIC metric is used to determine the appropriate number of k GMMs to decompose into to represent the latent distribution
- The below plots show the BIC plots for each class's distribution, each showing that 2 to 3 GMMs satisfy the criterion of model simplicity and goodness-of-fit



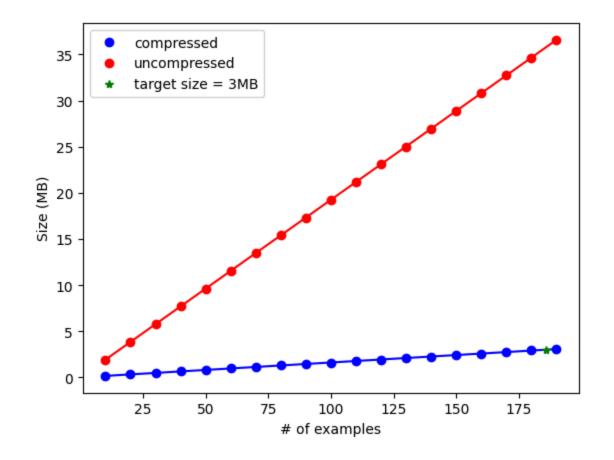
$$\mathrm{BIC} = k \ln(n) - 2 \ln(\widehat{L})$$

### **Baseline Comparison**

As a baseline comparison for the performance, subsets of size equal to the compressed model were extracted from the MNIST dataset

- Gradient-Based Clustering
- Random Subset Selection

Each model was evaluated using a CNN classifier



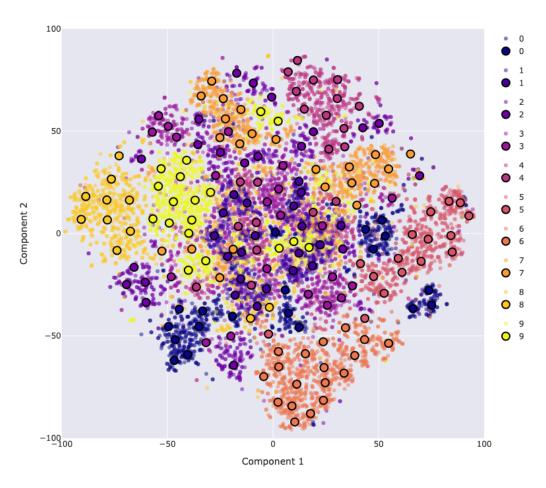
## **Gradient-Based Exemplar Clustering**

#### Optimization problem:

$$rg\min_{S,\gamma_i\geq 0} |S| \quad ext{s.t.} \quad \max_{w\in W} ||
abla_w F(w,V) - 
abla_w F(w,S)|| \leq \epsilon$$

- 1. Train a model (1-3 epochs)
- 2. Extract last layer gradients
- 3. k-medoids++ algorithm for exemplar cluster selection

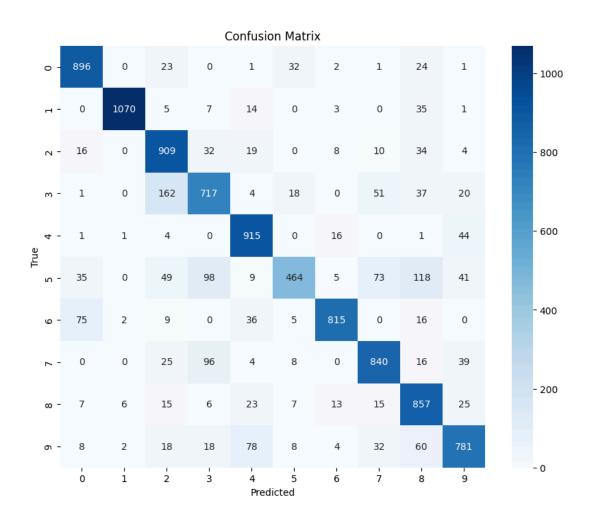
#### Last Layer Gradient t-SNE plot



#### **Baseline Results**

#### **Random Subset**

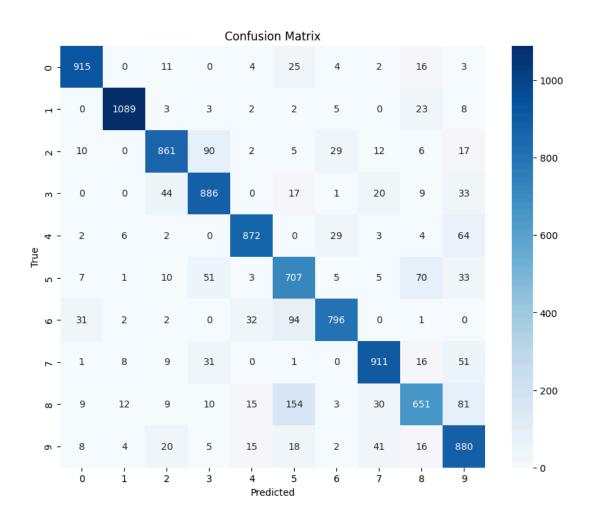
• Test Accuracy on the 10000 test images: 82.64%



#### **Baseline Results**

#### **Gradient Clustering**

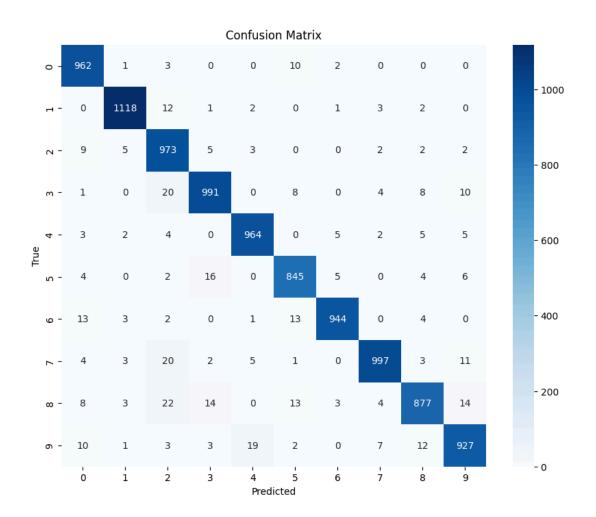
• Test Accuracy on the 10000 test images: 85.68%



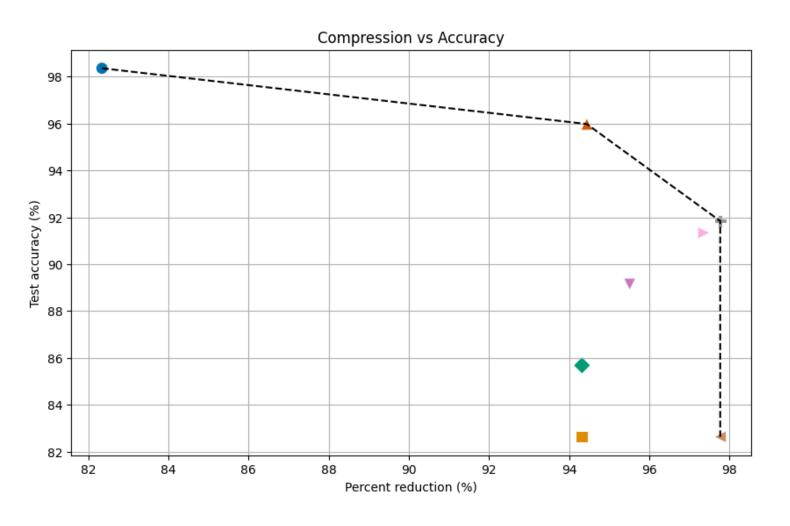
## GMM Compression Results

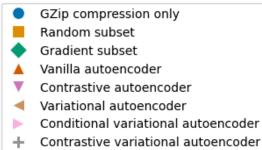
#### **Auto-Encoder**

• Test Accuracy on the 10000 test images: 95.98%



## **Overall Results: Compression vs Accuracy**





Convex hull boundary

## **Information Bottleneck Principle**

- A theoretical framework for compression in neural networks.
- Balances:
  - $\circ$  Compression: Reduce information from x to z.
  - $\circ$  Relevance: Ensure z retains information about y.

## Connection Between VAEs and Information Bottleneck

 VAEs implicitly optimize an information bottleneck objective by minimizing the following loss:

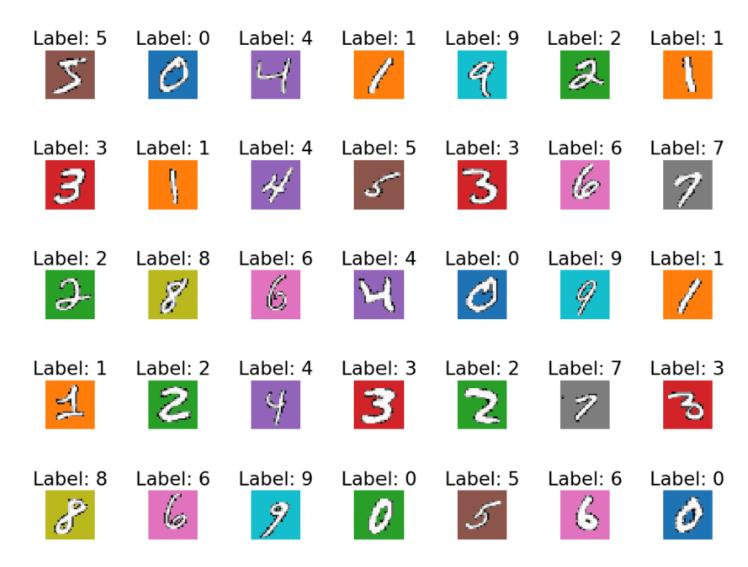
$$\mathcal{L} = I(x;z) - \beta I(z;y)$$

#### Where:

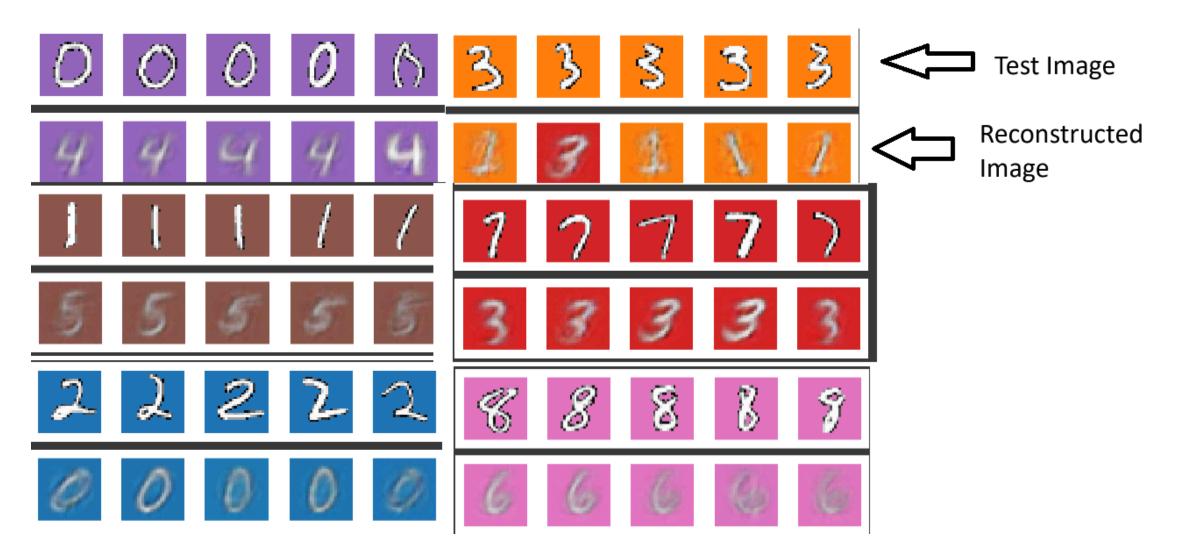
- I(x; z): Mutual information between x and z.
- I(z; y): Mutual information between z and y.
- $\beta$ : Controls the trade-off.

## **Spurious Correlations**

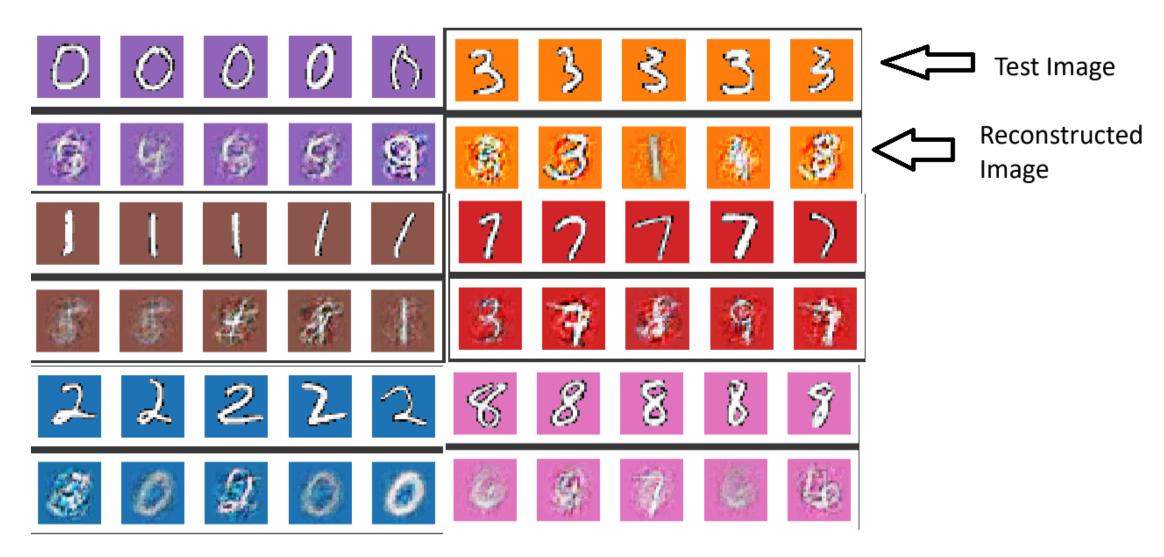
## Spuco Dataset (Large Spurious Feature Difficulty)



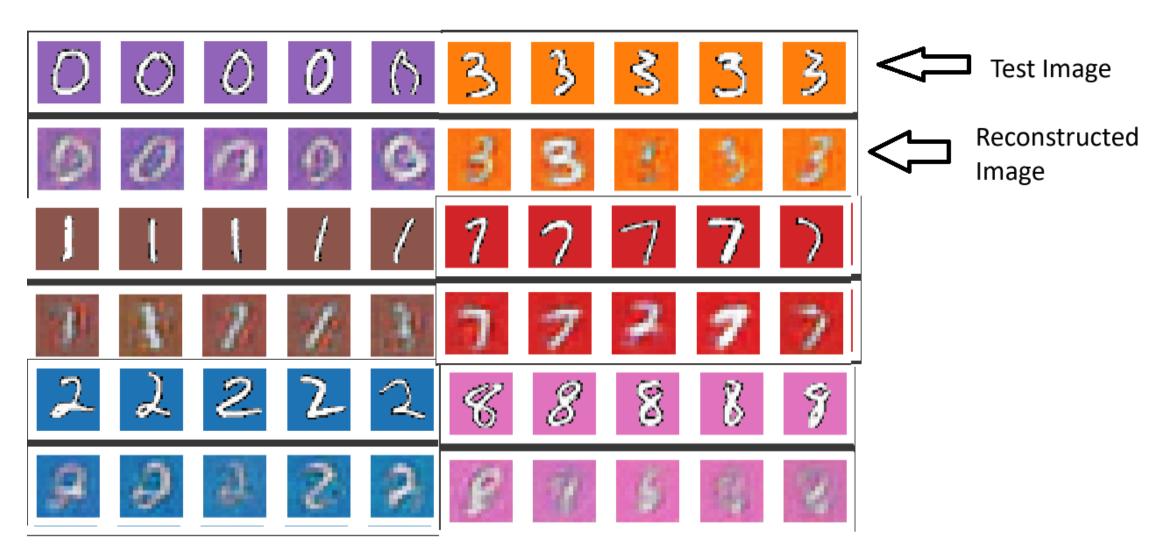
## Vanilla VAE Reconstruction (No Upsampling)



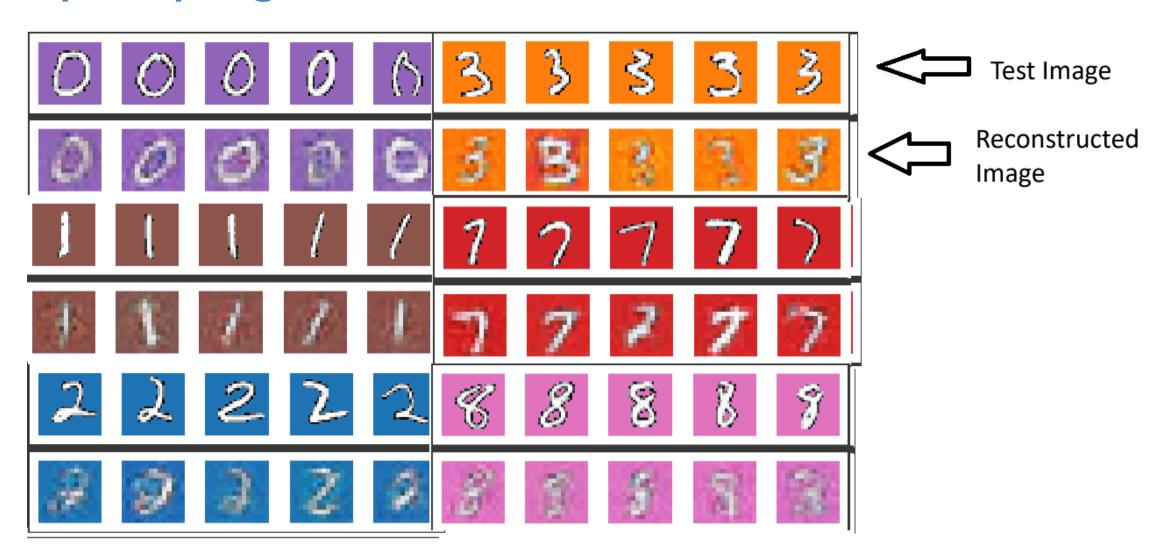
## Vanilla VAE Reconstruction (With Upsampling)



## Convolutional VAE Reconstruction (No Upsampling)



## Convolutional VAE Reconstruction (With Upsampling)



#### References

Bishop, Christopher M., and Nasser M. Nasrabadi. Pattern recognition and machine learning. Vol. 4. No. 4. New York: springer, 2006.

Hinton, Geoffrey E., and Ruslan R. Salakhutdinov. "Reducing the dimensionality of data with neural networks." science 313.5786 (2006): 504-507.

Kingma, Diederik P. "Auto-encoding variational bayes." arXiv preprint arXiv:1312.6114 (2013).