



# Artificial Intelligence Technology Mapping

Pamplin Technology Fellowship



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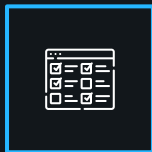


01

# Introduction



# Project Background



## What Are Patents?

- Legal protections granted to inventors for intellectual property
- Prevents others from making, using, selling or importing inventions without permission
- Granted by agencies like USPTO
- Exclusive rights for a specified period (20 years is standard)



## Importance of Patents

- Protect intellectual property
- Foster economic growth
- Encourage technological advancement
- Drive competition

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# Problem Space



# Patent Ecosystem Challenges



## Backlog of Applications

3.6% increase since the COVID-19 Pandemic in 2020; lengthy and time-consuming process in patent offices



## Patent Disputes

Conflicts between entities can negatively impact businesses and economy



## Concept Map

Disputes across jurisdictions and laws due to globalization



## CONOPs

Instances of non-marketable inventions or non-viable products



## Enabling Technology

Violation of IP rights and dissemination of inaccurate information due to misuse of emerging technologies like ChatGPT

# Patents in IP and R&D Strategies

- **Strategic Gain with Patents**
  - Use patents as protective shields and competitive tools
  - Serve as deterrents and facilitators in market negotiations
- **Mitigating R&D Risks**
  - Patents safeguard company innovations
  - Justify high R&D expenditures



# Navigating the Global Patent Landscape



- **Strategic Gain with Patents**
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- **Mitigating R&D Risks**
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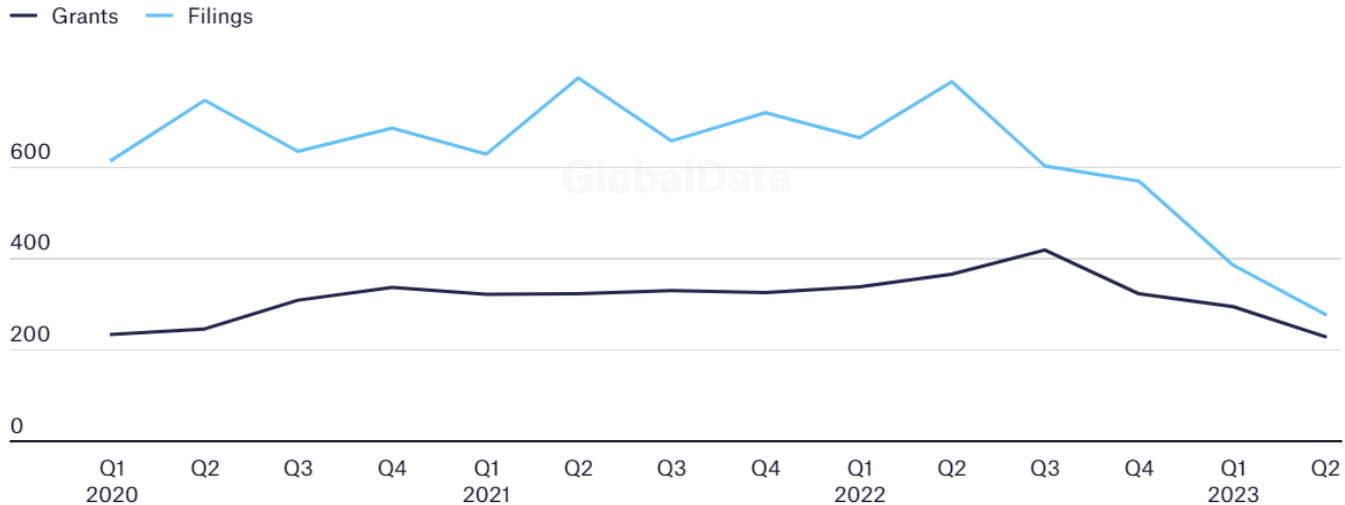
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# Concept Map



# Patent Activity in Decline

Patenting activity related to artificial intelligence in the global consumer industry, Q1 2020 – Q2 2023 (Total patent filings and grants)



# Generative AI Solutions



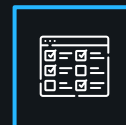
## Extracting

Use AI for extracting and presenting relevant patent data



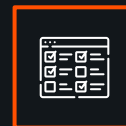
## Investigating

Investigate intellectual property and legal domains



## Identifying

Identify licenses, infringers, and potential customers



## Recognizing

Recognize ChatGPT limitations in accuracy and information quality

# Patent Data Analysis Challenges

## Bounding Constraints

Navigating technical information and legal documents while ensuring quality patents without broad claims or disputes



## Intelligence & Language Barriers

Track competitor patents to decipher innovation strategies; patents often have technical and legal jargon, posing a challenge



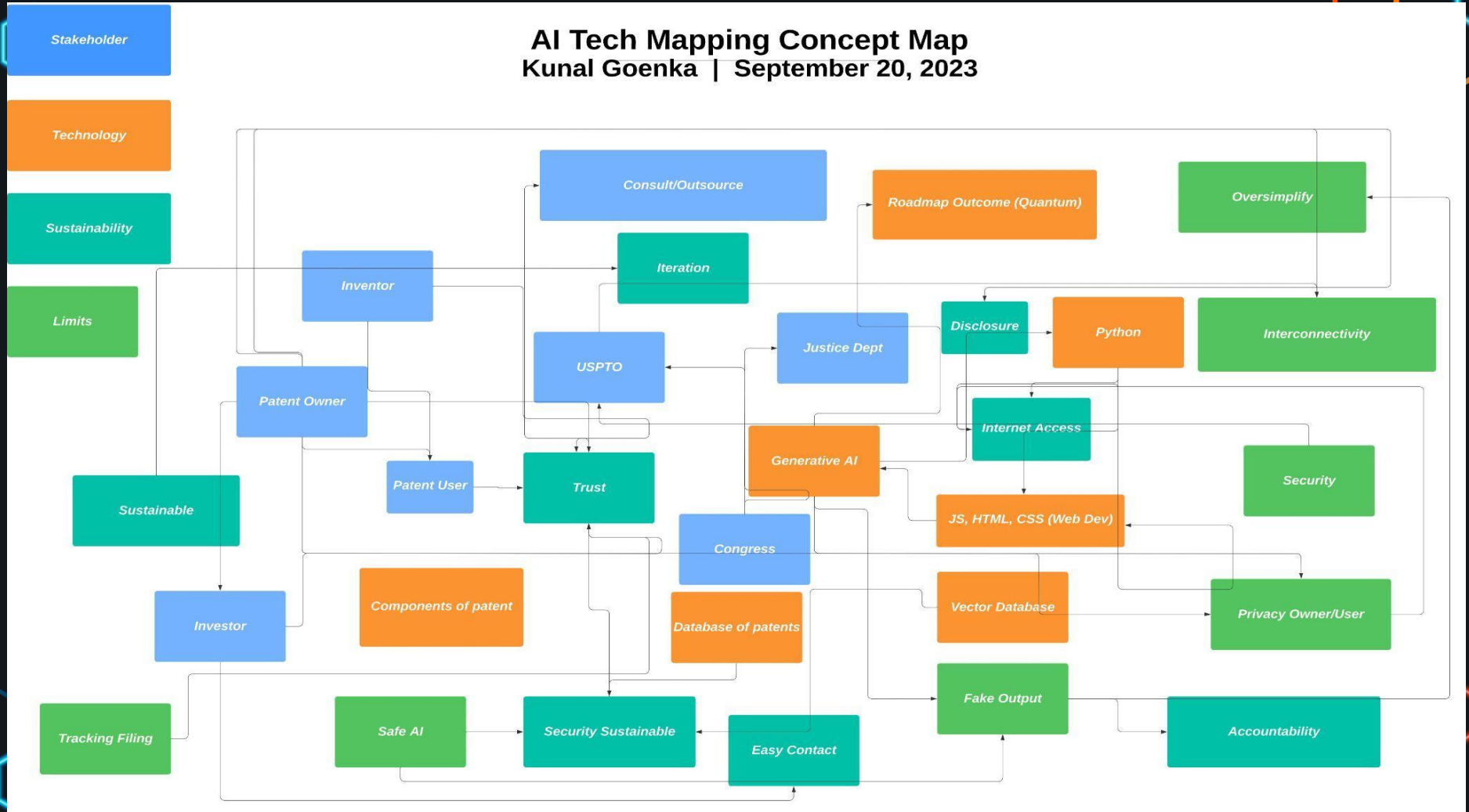
## Classification & International Considerations

Patents categorized into classes/subclasses; International operations entail navigating varying patent systems and laws



# AI Tech Mapping Concept Map

Kunal Goenka | September 20, 2023

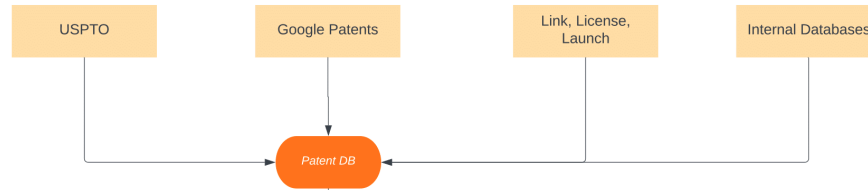


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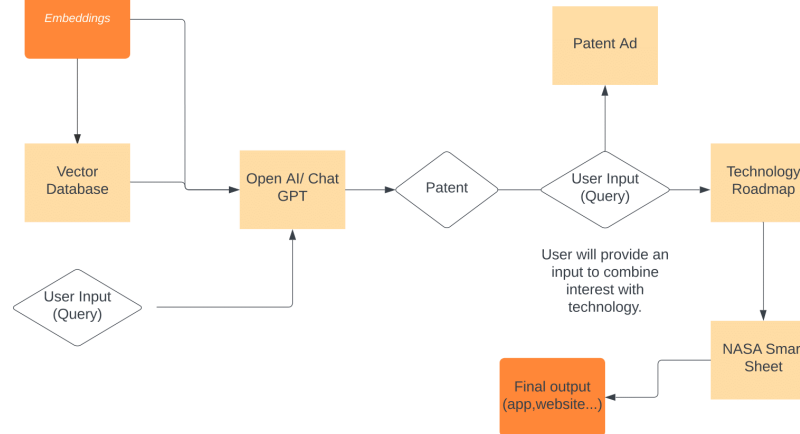
CONOPs



## Step 1: Gather Patents



## Step 2: Process the Data



## Step 3: Analyze the Data

## Step 4: Result Output

### Stakeholders

- User: The User can be a Company, Team, Patent Owner, etc. Their major involvement will be throughout the inputs of the system.
- Patent Owner: This person owns the patent and needs to execute the work.

# Stakeholders & System Interaction



## Companies

- **Strategic Users:** Inform decisions on patent trends, tech advancements, and competition.
- **Multifaceted Interactions:** Engage with system across R&D, legal, and strategic planning.

## Product Owners

- **Operational Users:** Ensure non-infringement and explore patent opportunities.
- **Focused Interactions:** Explore patents for specific tech or product categories.



## Interaction Dynamics

- **Input & Output:** Stakeholders shape data gathering and analysis; Companies seek broad insights, while Product Owners focus on product specifics.
- **Feedback & System Value:** Engage in feedback loops for refinement; Outcomes and usage inform system enhancements and serve as testimonials.



# Challenges & Considerations

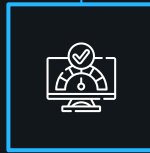


## Data Integrity

Ensure data accuracy and reliability for decisions

User interface must be intuitive and cater to varied needs

## Usability



## Customization

Offer tailored output reports for distinct user needs

Use of outcomes can be case studies/testimonials, impacting market position


## Outcome Applicability





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# Enabling Technology



# Introduction to Enabling Technology

- **Artificial Intelligence (AI):**
  - Creating systems that mimic human intelligence tasks
- **Natural Language Processing (NLP):**
  - AI subfield that enables computers to interact with human language
- **Primary Use:**
  - Simplifying and explaining patents



# Applications of AI in Patents



## Automate Patent Analysis

Extract key concepts, claims, and prior art



## Generate Summaries

Plain-language summaries of patent documents



## Provide Context

Explain legal and technical terms



## Prior Art Search

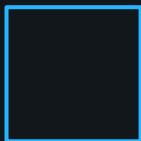
Efficiently identify relevant prior patents



## Interactive UI

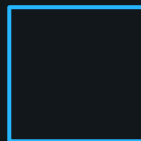
AI-driven tools to understand complex patent info

# Outcomes From Using AI Technology



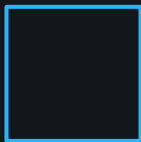
## Accessibility & Efficiency

Simplified patent info; reduced research time/cost



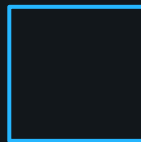
## Enhanced Decision-Making

Informed decisions on filing, licensing, and litigation



## Innovation Boost

Promotes knowledge sharing and new inventors' entry



## Streamlined Processing

Reduces patent application backlogs



# Potential Gaps & Barriers



## Data Quality & Complexity

Reliance on high-quality training data and patent intricacy.

## Legal & Ethical Challenges

Adherence to standards and automation concerns

## Adoption Resistance

Skepticism and job displacement fears

## Global Variability



Adapting to diverse patent systems/languages

## Security & Privacy

Protecting sensitive patent data

## Cost & Accessibility

High implementation costs; ensuring universal access



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# Conclusion



# Corporate strategies



## Areas for improvement

Innovators have expressed frustration with multiple areas of the patent filing process. Most talked about the 22 month waiting time as their biggest frustration

## How AI could help

Creating a "database" of all current patents will allow for more clarity when trying to file and could cut back on the amount that get some kind of rejection



## Why patents?

The patent filing process has yet to be changed or





# Thank You!

Any Questions?