

1

# Application Layer

Internet Electronic Mail

# Unit 2: Application layer

- 2.1 Distributed Applications
- 2.2 Web and HTTP
- 2.3 FTP and TFTP
- 2.4 Electronic Mail
  - ▣ SMTP, POP3, IMAP
- 2.5 Domain Name Service
- 2.6 Socket Programming

# Internet Electronic mail

3

- 1982, first standards
  - ▣ RFC 821 y RFC 2821, protocol for transfer of mail messages
  - ▣ RFC 822, messages format
- Basic services
  - ▣ Composition of messages and responses
  - ▣ Message transfer
  - ▣ Notification to the sender of status of sent messages
  - ▣ Presentation and disposition
- Advanced services
  - ▣ Forwarding , automatic reply, mail lists, secret mail, high priority mail, hidden copies, alternative receivers

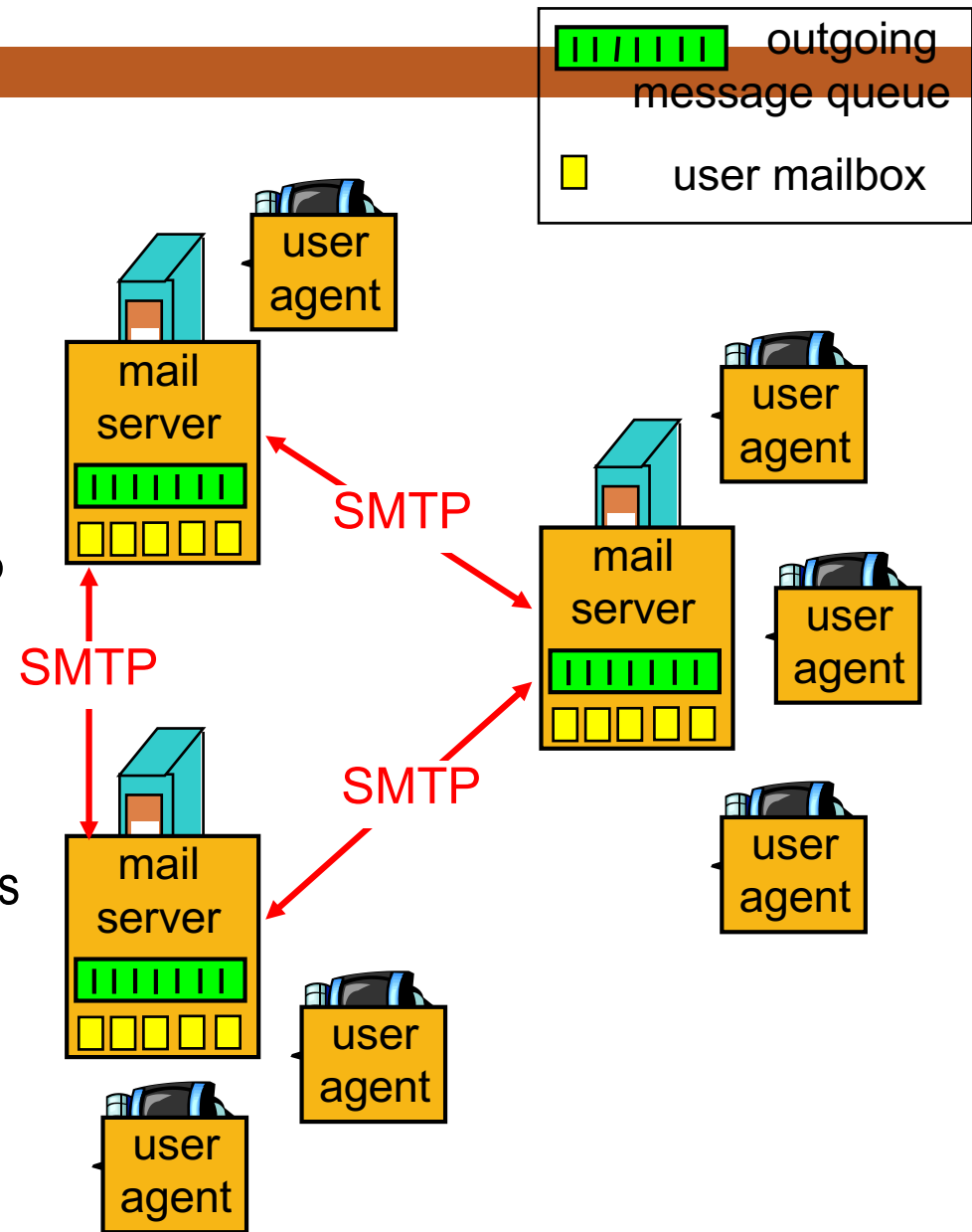
# Electronic Mail

## Three major components:

- user agents
- mail servers
- mail transfer protocol: SMTP
- mail access protocols: POP3, IMAP, HTTP

## User Agent

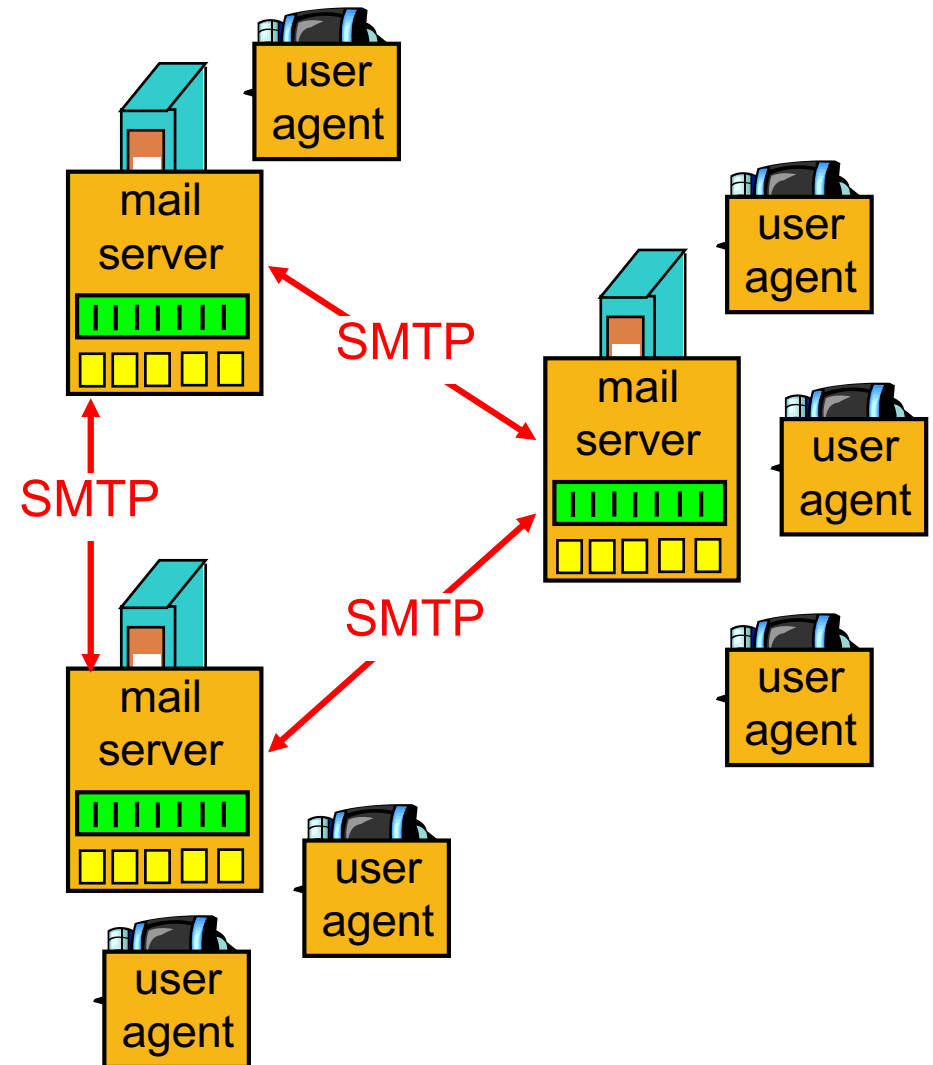
- a.k.a. “mail reader”
- composing, editing, reading mail messages
- e.g., Mail (Mac OS X), Outlook, Mozilla Thunderbird
- Outgoing, incoming messages stored on server



# Electronic Mail: mail servers

## Mail Servers

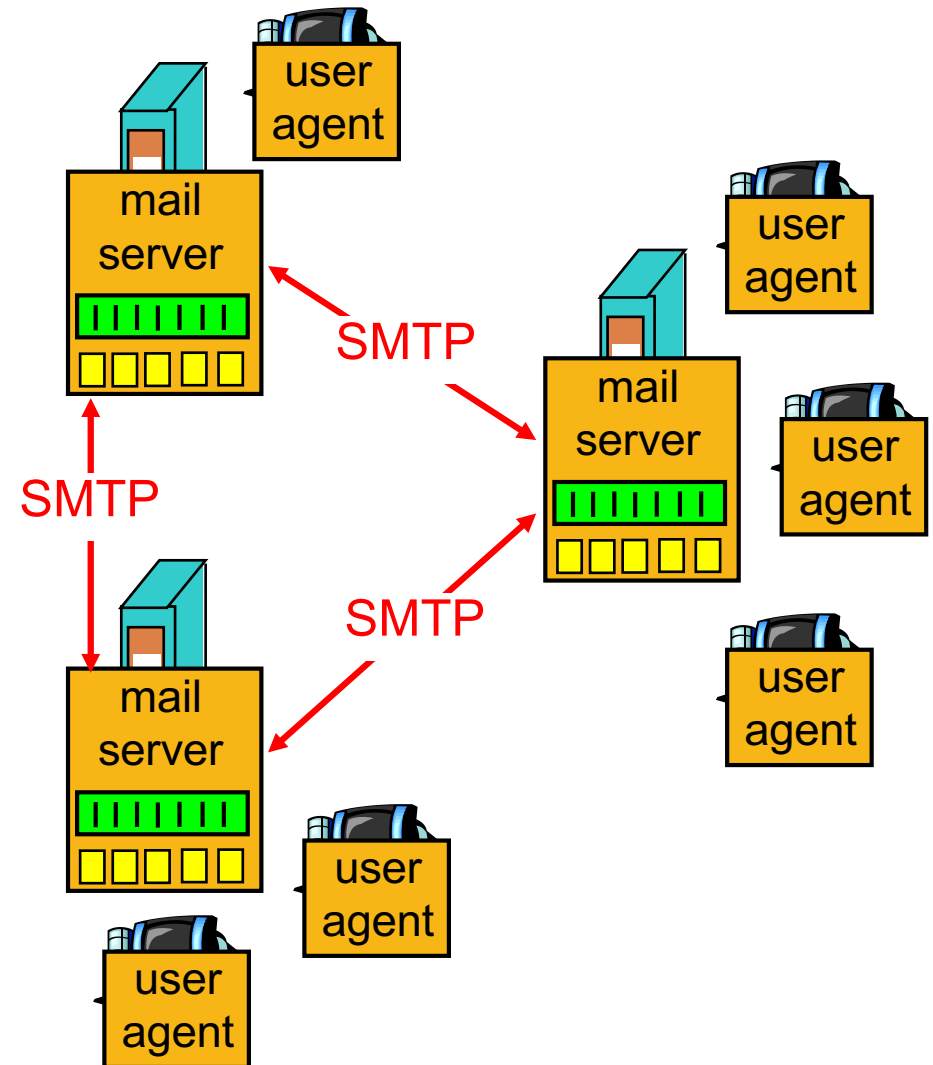
- **mailbox** contains incoming messages for user
- **message queue** of outgoing (to be sent) mail messages
- **SMTP protocol** between mail servers to send email messages (mail transfer)
  - ▣ client: sending mail server
  - ▣ “server”: receiving mail server



# Electronic Mail: mail servers

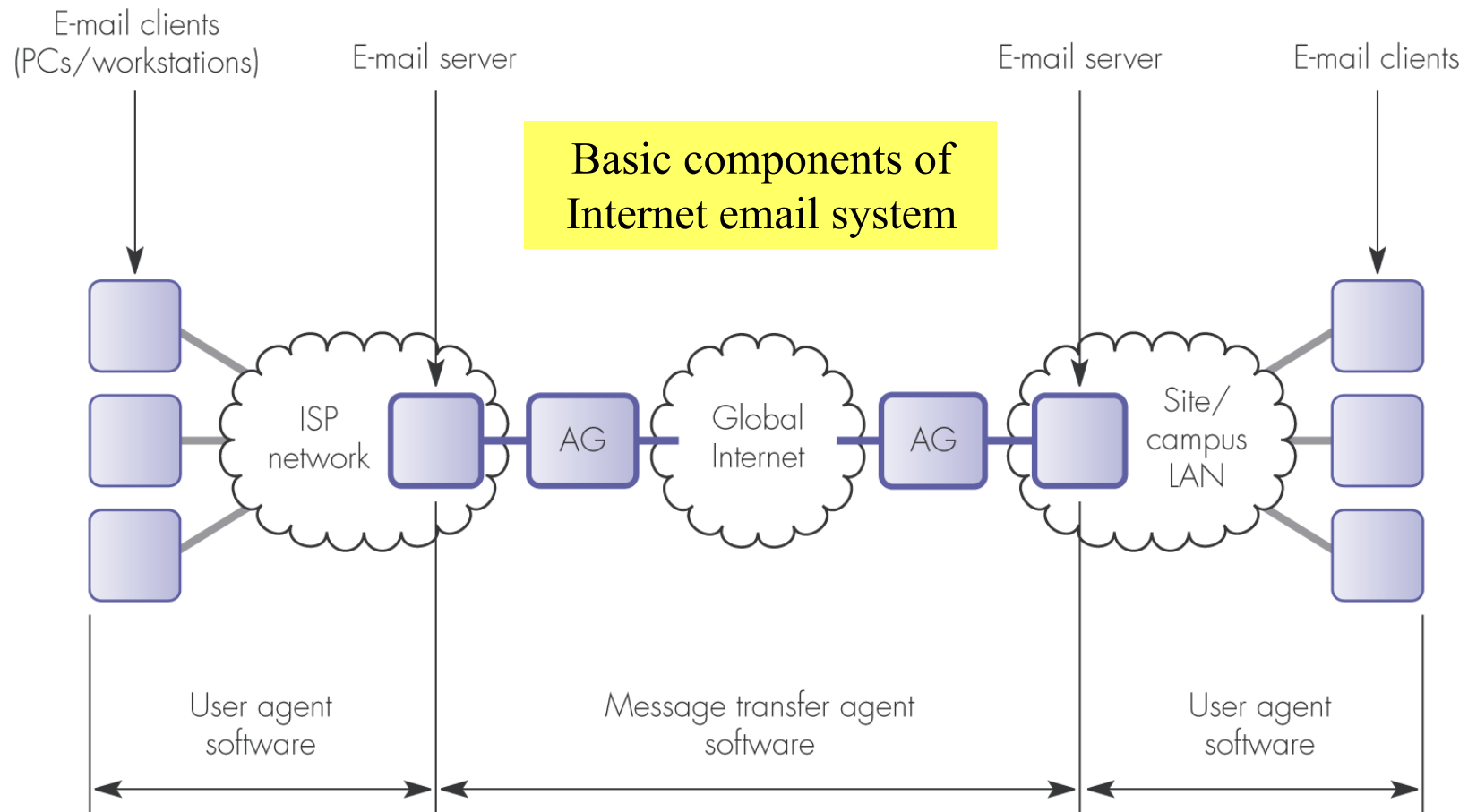
## Mail Servers

- Communication software:
  - ▣ User Agent Server
    - To interact with UA Client
  - ▣ Message Transfer Agent
    - For message transfer between mail servers



# Internet electronic mail

7



ISP = Internet service provider

AG = Access gateway

Halsall, *Computing Networking and the Internet*, 5<sup>th</sup> Edition © Pearson Education Limited 2005

## □ Processes for sending email

### ▣ Two processes, one performed by the UA and the other by the MTA

#### ■ By the UA:

- Creating the message
- Entering the message headers to content
- Transfer the message to the MTA

#### ■ By the MTA:

- Message is encapsulated in an "electronic envelope"
  - Obtained from headers "From" and "To" Post
- Message transfer through Internet
  - To another MTA



## Message Transfer

### ■ UA client

- Converts message to 7-bit ASCII format, and sends it to the UA server

### ■ UA server

- Deposits message at queue of outgoing mail server

### ■ MTA Client

- Periodically checks message queue, and if there is any message, attempts to send it
- Envelope is created "on" delivery
- From "From" and "To" headers
- One, or as may be required (if sending copies)
- Format of email addresses:

■ *user-name @ name-of-server*

 Domain name of mail server

## Message Transfer (cont'd)

- MTA Client (continued):
  - ▣ Gets IP address of the recipient mail server
    - Through the intervention of the "Resolver"
  - ▣ Tries to establish TCP connection with recipient mail server
    - With the MTA server, the default port 25
  - ▣ TCP connection established (accepted) between mail servers
    - Originating server (Client) expects that target server (server) will send identity and indicate willingness to receive emails
      - Is not available, connection is released and subsequent retries
        - Further retries depend on configuration of originating server
    - Then it is willing ...

## Message Transfer (cont'd)

- **Server MTA** (destination server) ready to receive emails
  - ▣ Server MTA checks recipient, if so, agrees to receive the message
  - ▣ Client MTA sends message, MTA server returns acknowledgment
  - ▣ If there are more messages to other recipients
    - The process is repeated
  - ▣ The open TCP connection is used to transfer messages towards the source server that created the connection
    - If there were any messages
- When both servers end the exchange of messages
  - ▣ TCP connection between Mail Servers is released

## 12

The diagram illustrates the flow of an email message through the network layers and protocols, showing the interaction between a User, Mail servers, and the Global Internet.

**Mail server (Left):**

- User:** Interacts with the **UA client** (User Agent client).
- UA client:** Connects to the **UA server** (User Agent server).
- UA server:** Connects to the **Resolver**.
- Resolver:** Connects to the **Client MTA** (Mail Transfer Agent).
- Client MTA:** Connects to the **Server MTA** (Mail Transfer Agent).
- Server MTA:** Connects to the **Message queue**.
- Message queue:** Connects to the **IN Mailboxes**.
- IN Mailboxes:** Connects back to the **User**.

**Mail server (Right):**

- User:** Interacts with the **UA client** (User Agent client).
- UA client:** Connects to the **UA server** (User Agent server).
- UA server:** Connects to the **Resolver**.
- Resolver:** Connects to the **Client MTA** (Mail Transfer Agent).
- Client MTA:** Connects to the **Server MTA** (Mail Transfer Agent).
- Server MTA:** Connects to the **Message queue**.
- Message queue:** Connects to the **IN Mailboxes**.
- IN Mailboxes:** Connects back to the **User**.

**Network Layers and Protocols:**

- ISP network:** Connects to the **ISP network protocol stack**.
- Global Internet:** Connects to the **IP** layer.
- site/campus LAN:** Connects to the **LAN protocol stack**.

**Protocol Stack Layers (from top to bottom):**

- UA client / UA server:** OUT, IN, UA client, UA server.
- Resolver:** Resolver.
- Client MTA / Server MTA:** Client MTA, Server MTA.
- Message queue:** Message queue.
- IN Mailboxes:** IN Mailboxes.
- ISP network protocol stack:** UDP, TCP, IP, DL/PHY.
- LAN protocol stack:** TCP, UDP, IP, DL/PHY.

Port 25 = well-known port number of server MTA



Universidad  
de Alcalá

## Unit 2: Application Layer

# SMTP: Simple Mail Transfer Protocol

13

- SMTP
  - ▣ Client - server model
  - ▣ Basic protocol for email
    - Main Application Layer protocol for Internet mail
  - ▣ Protocol of type: "offer"
    - **Push** protocol
    - HTTP protocol is an "on demand" (**pull** protocol)
  - ▣ It uses persistent TCP connections
- SMTP messages
  - ▣ Client sends "commands" in text mode, and
  - ▣ Server responds with "status codes"
    - And optionally a text
  - ▣ **Everything in 7-bit ASCII readable!!!!**

# SMTP: Simple Mail Transfer Protocol

14

```
1 { S: 220 unsitio.es
    C: HELO otro.com
    S: 250 Hello otro.com, pleased to meet you
2 { C: MAIL FROM: <elena@otro.com>
    S: 250 elena@otro.com... Sender ok
    C: RCPT TO: <juan@unsitio.es>
    S: 250 juan@unsitio.es ... Recipient ok
3 { C: DATA
    S: 354 Enter mail, end with "." on a line by itself
    C: Que tal estas?
    C: nos vemos en el cine
    C: Elena
    C: .
    S: 250 Message accepted for delivery
4 { C: QUIT
    S: 221 unsitio.es closing connection
```

## Example of SMTP interaction

Cliente SMTP: "otro.com"

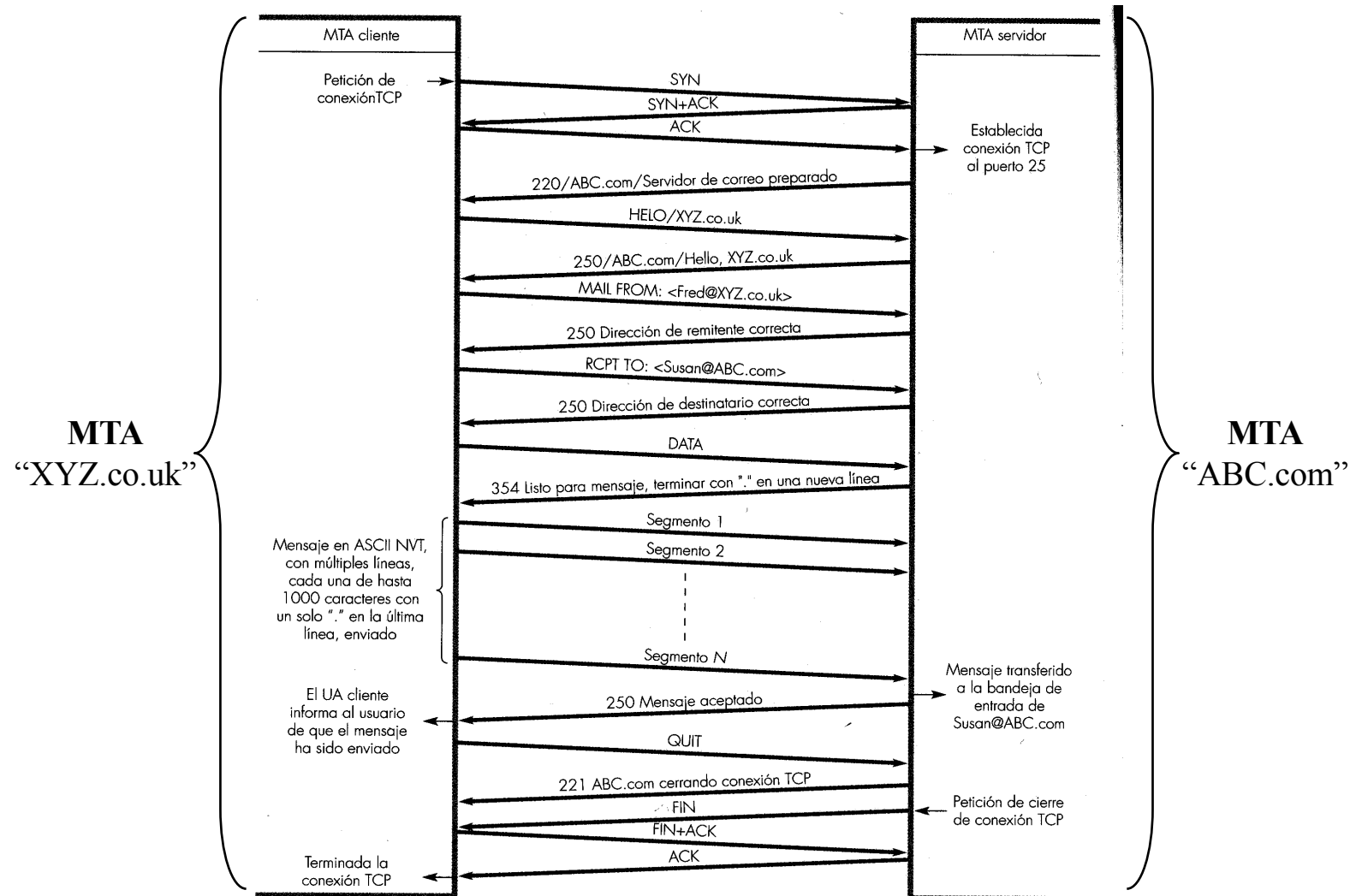
Servidor SMTP: "unsitio.es"

### SMTP phases:

1. Presentation
2. Negociation
3. Transfer
4. Bye

# SMTP: Simple Mail Transfer Protocol

15



# SMTP: Simple Mail Transfer Protocol

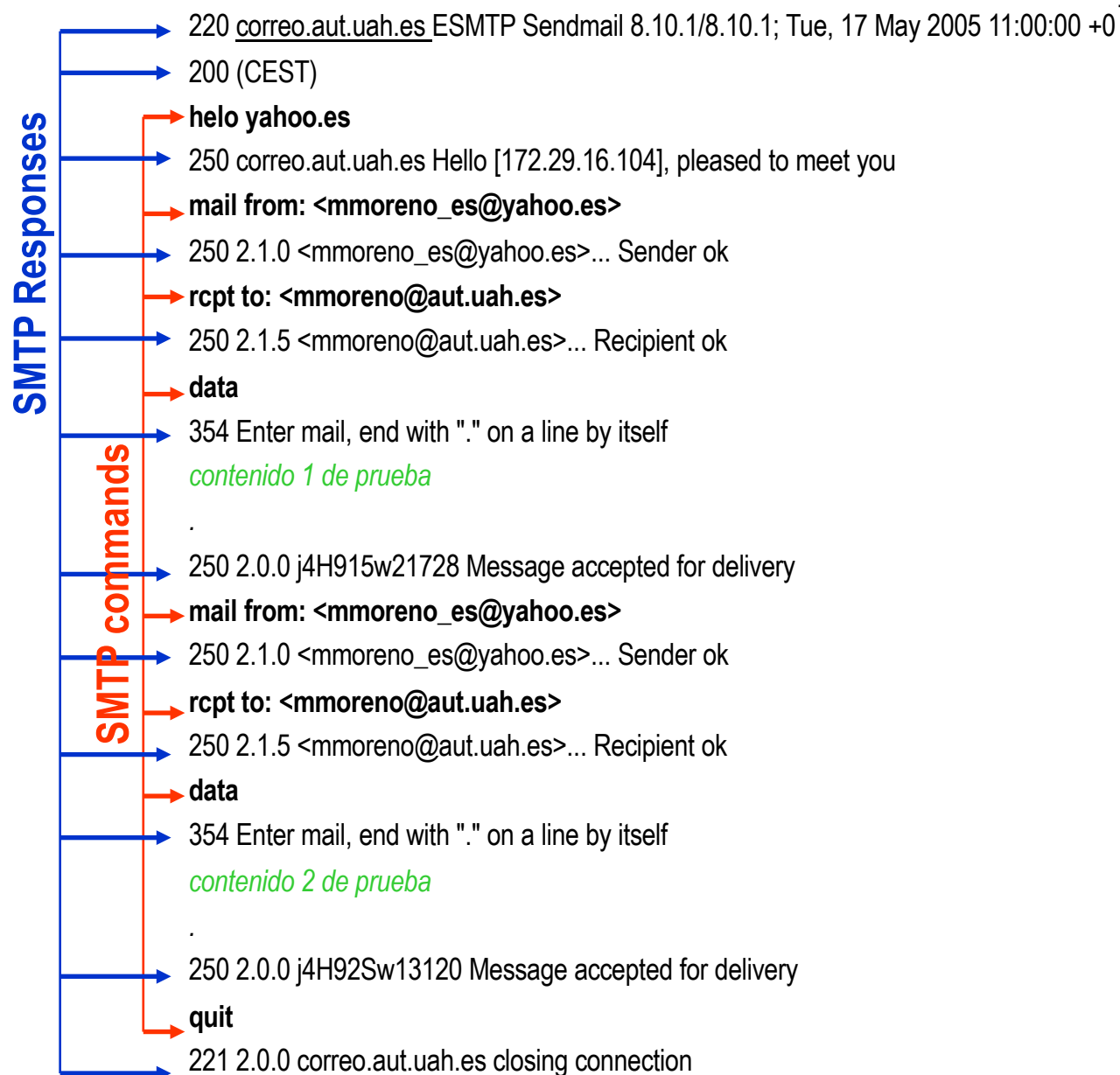
16

- It is possible to send messages without a mail UA
  - ▣ Using SMTP commands in direct dialog with mail server
  - ▣ In its “language”
- Try your own SMTP interaction
  - ▣ With TELNET to port 25. e.g:
    - telnet correo.uah.es 25
  - ▣ Mail server should respond :
    - “220 reply from server”
    - And some additional text
  - ▣ Introduce SMTP command
    - HELO, MAIL FROM, RCPT TO, DATA, QUIT



# SMTP: Simple Mail Transfer Protocol

17

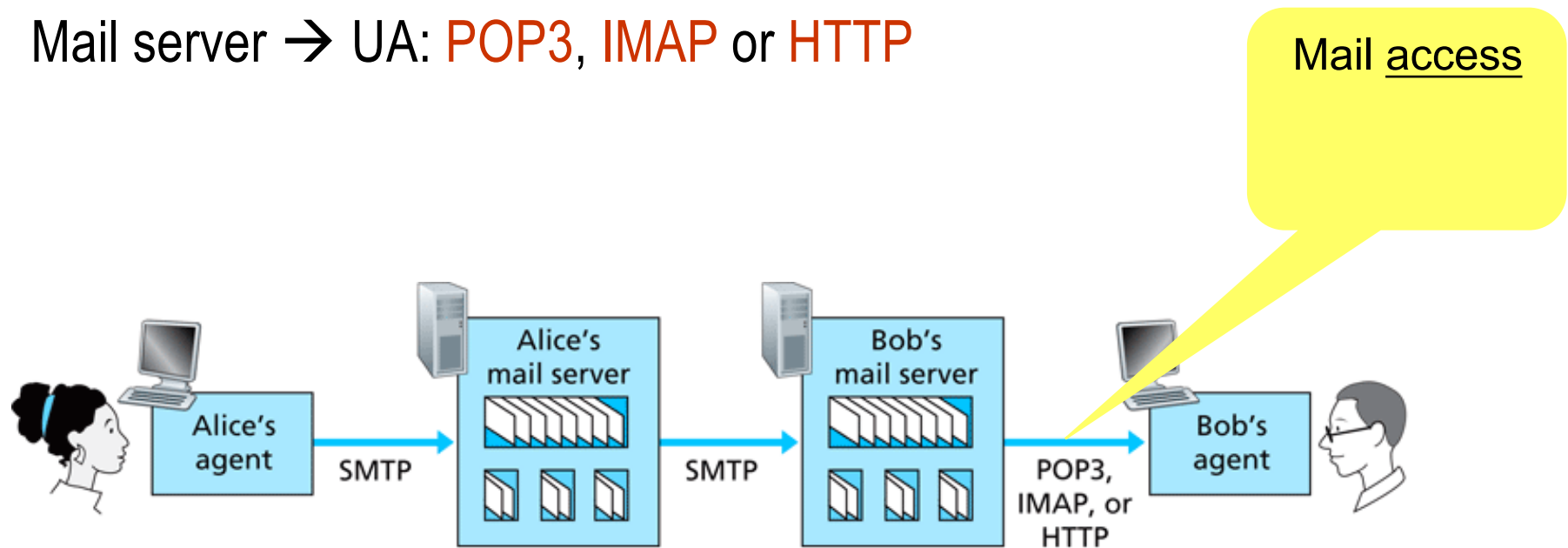


Commands window  
of a user with an  
SMTP session via  
Telnet to mail server  
"correo.aut.uah.es"

# Set of Protocols for Internet mail

18

- Protocols involved in sending Internet email messages
  - ▣ UA → Mail server: **SMTP**
  - ▣ Between Mail servers: **SMTP**
  - ▣ Mail server → UA: **POP3, IMAP or HTTP**



**Figure 2.18** ♦ E-mail protocols and their communicating entities

It can happen, but is not frequent, that more than two mail servers mediate between sender and receiver UA's

- Mail access protocols
  - ▣ Needed to get the messages from mail server
    - Transfer mails from Server to UA
  - ▣ SMTP not valid
    - It is an “offer” type protocol (PUSH)
    - User system should be always on and connected
    - Mail server configuration more complex
  - ▣ Solution ➔ “Demand” protocols (PULL)
    - POP3 (RFC 1939)
      - Post Office Protocol v.3
    - IMAP (RFC 3501)
      - Internet Mail Access Protocol
    - HTTP

## Mail Access Protocols: POP3

20

- Client-Server Model
- Supported on TCP, port 110
- Text-mode protocol
  - ▣ Commands and responses using ASCII codes (7 bit)
- Very simple protocol, limited features, two operation modes:
  - ▣ Download and delete
  - ▣ Download and keep

# Mail Access Protocols: POP3

21

- Implements “offline mail processing”
  - ▣ All mail processing is performed at user systems, where the UA resides
  - ▣ Protocol:
    - Downloads messages from server, according to UA configuration:
      - Automatic or manual mode
      - Download and delete or download and keep
    - Stores messages at user system, for later processing
    - Then, it disconnects from Server
- Suitable for user accessing mail from a unique machine
- Requires few resources at Server
  - ▣ State information at Server
    - No state between sessions → Much simpler!!!
      - No status information stored from one session to next
    - Some state stored during session

## □ POP3 Meesages:

### □ Commands

- **user:** to declare user
  - **pass:** password
  - **list:** list messages at mail Server
  - **retr:** retrieve a message
  - **dele:** delete a message
  - **quit:** quit POP3 session
- } Authorization Phase
- } Transaction Phase

### □ Responses

- **+OK**
  - **-ERR**
- } During Authorization and Transaction phases

### □ Update Phase, only at mail Server

- After POP3 session ends

**All in readable  
text format!!!**

# Mail access protocols: POP3

23

## Authorization Phase

```
S: +OK POP3 server ready
C: user juan
S: +OK
C: pass juanpass
S: +OK user successfully logged on
```

## Transaction Phase

```
C: list
S: 1 498
S: 2 912
S: .
C: retr 1
S: <message 1 contents>
S: .
C: dele 1
C: retr 2
S: <message 2 contents>
S: .
C: dele 2
C: quit
S: +OK POP3 server signing off
```

POP3 session.  
Generic example of  
interaction between  
Client and server in  
"download and delete"  
mode

SMTP Responses

SMTP commands

+OK correo Cyrus POP3 v1.6.24 server ready

**user** mmoreno

+OK Name is a valid mailbox

**pass** \*\*\*\*\*

+OK Maildrop locked and ready

**list**

+OK scan listing follows

1 4033

2 968

.

**retr** 2

+OK Message follows

X-Sieve: cmu-sieve 2.0

Return-Path: &lt;mmoreno\_es@yahoo.es&gt;

Received: from web26203.mail.ukl.yahoo.com (web26203.mail.ukl.yahoo.com [217.12.10.240])

by correo.aut.uah.es (8.10.1/8.10.1) with SMTP id j4HE3ww24636

for &lt;mmoreno@aut.uah.es&gt;; Tue, 17 May 2005 16:03:58 +0200 (CEST)

Received: (qmail 88876 invoked by uid 60001); 17 May 2005 13:58:25 -0000

Message-ID: &lt;20050517135825.88874.qmail@web26203.mail.ukl.yahoo.com&gt;

Received: from [193.146.11.65] by web26203.mail.ukl.yahoo.com via HTTP; Tue, 17

May 2005 15:58:25 CEST

Date: Tue, 17 May 2005 15:58:25 +0200 (CEST)

From: Manuel Moreno &lt;mmoreno\_es@yahoo.es&gt;

Subject: example para POP3

To: mmoreno@aut.uah.es

MIME-Version: 1.0

Content-Type: text/plain; charset=iso-8859-1

Content-Transfer-Encoding: 8bit

example de correo para visualizar el protocolo POP

.

**quit**

+OK

User command screen of a  
**POP3** session via Telnet to  
 “aut.uah.es” mail Server in  
 “download and keep”  
 mode

All in readable text  
 format!!!



# Mail Access Protocols: IMAP

25

- Client-Server Model
- Supported on TCP, port 143
- Provides UA at the mail Server
- Stores state information
  - ▣ During and between sessions
- Suitable for nomadic users
  - ▣ That access mail from multiple end systems
- Enables three access modes to mail:
  - ▣ “Offline”
  - ▣ “Online”
  - ▣ “Disconnected”

## □ Offline Access

- Similar to POP3
- Minimizes:
  - Resources usage at server
  - Connection time
    - Suitable to access via telephone modem

## □ Online Access

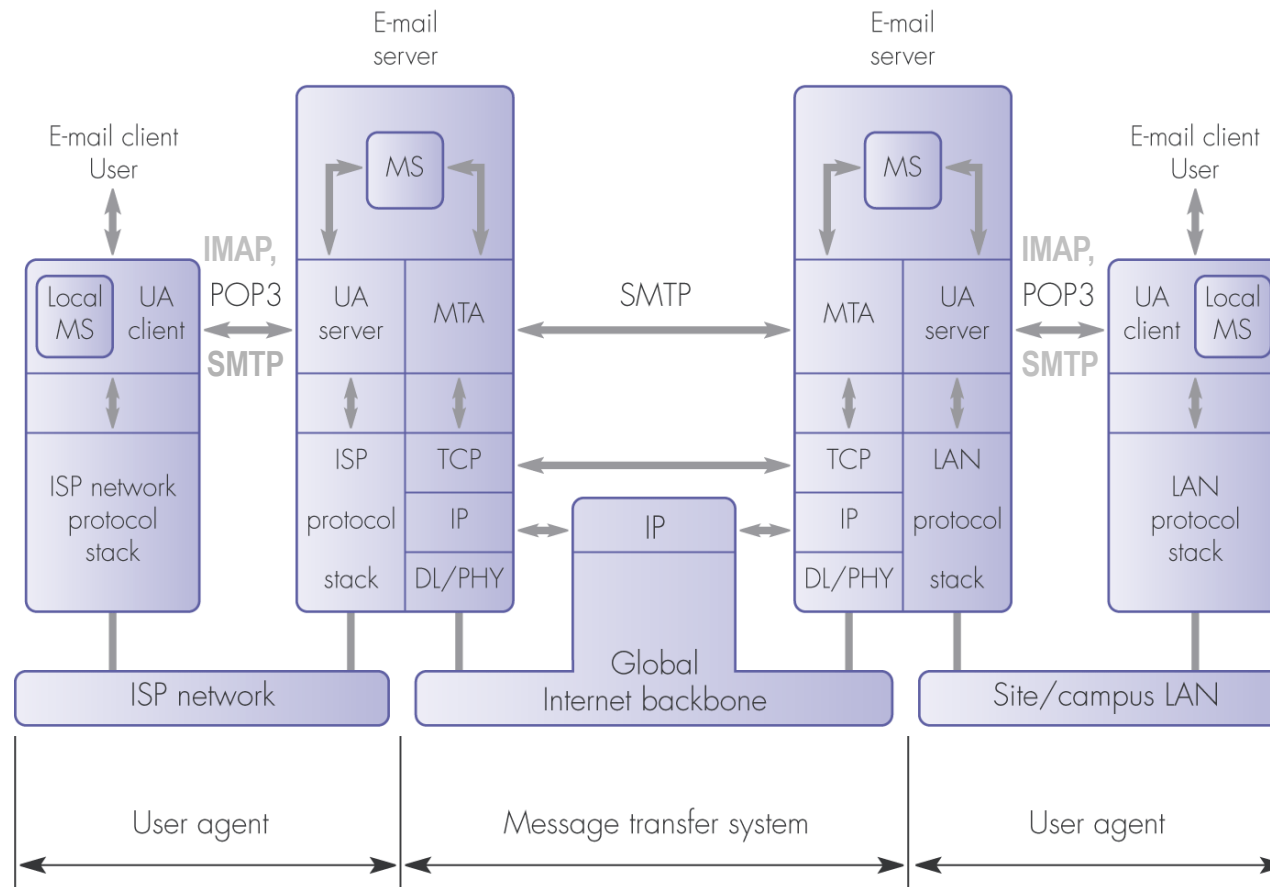
- “On line” interaction between Client UA and mail Server
  - “On line” mail processing
  - Requires open connection with Server to read mail
  - Messages are kept at server
    - Temporary copy at local host

- “Disconnected” access
  - “Off line” mail processing
  - Does NOT requires connection open with Server to read mail
  - Messages are stored temporarily at client cache
    - The are synchronized (updated) at next connection to mail Server
  - Messages are stored at Server
  - Suitable for POTS (telephone) connections
- IMAP:
  - ▣ Text mode in readable ASCII 7 bit!!!

- Another usage possibilities:
  - ▣ Download of mails that satisfy some conditions, e.g.:
    - Messages from a specific sender
    - Messages with specific contents
    - Only some parts of messages (for multipart MIME messages)
  - ▣ Read only headers of messages
  - ▣ Others
- Mail is centralized
  - ▣ Back up of mails is easier
- Drawbacks
  - ▣ More resources needed at mail server
    - More storage and processing capacity
  - ▣ More complex software, both at Client and Server

# Internet electronic mail protocols: transfer and access

29



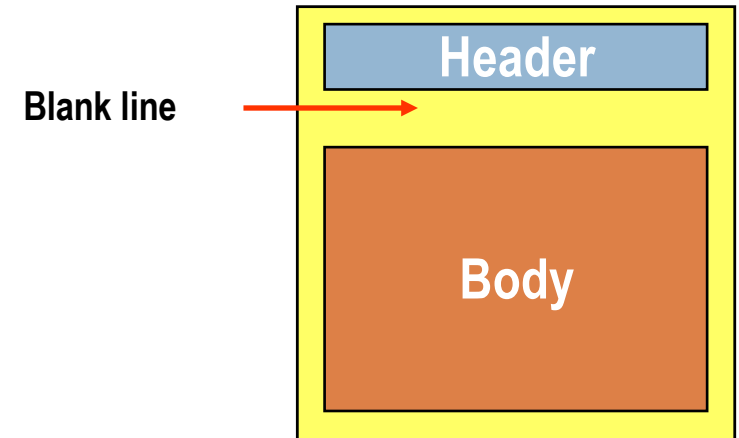
POP = post office protocol  
SMTP = simple mail transfer protocol  
ISP = Internet service provider  
MTA = message transfer agent  
UA = user agent  
DL/PHY = data link/physical layer

MS = message store (contains a queue of mail to be sent and an IN mailbox for each of its local users)

# Mail Messages Format

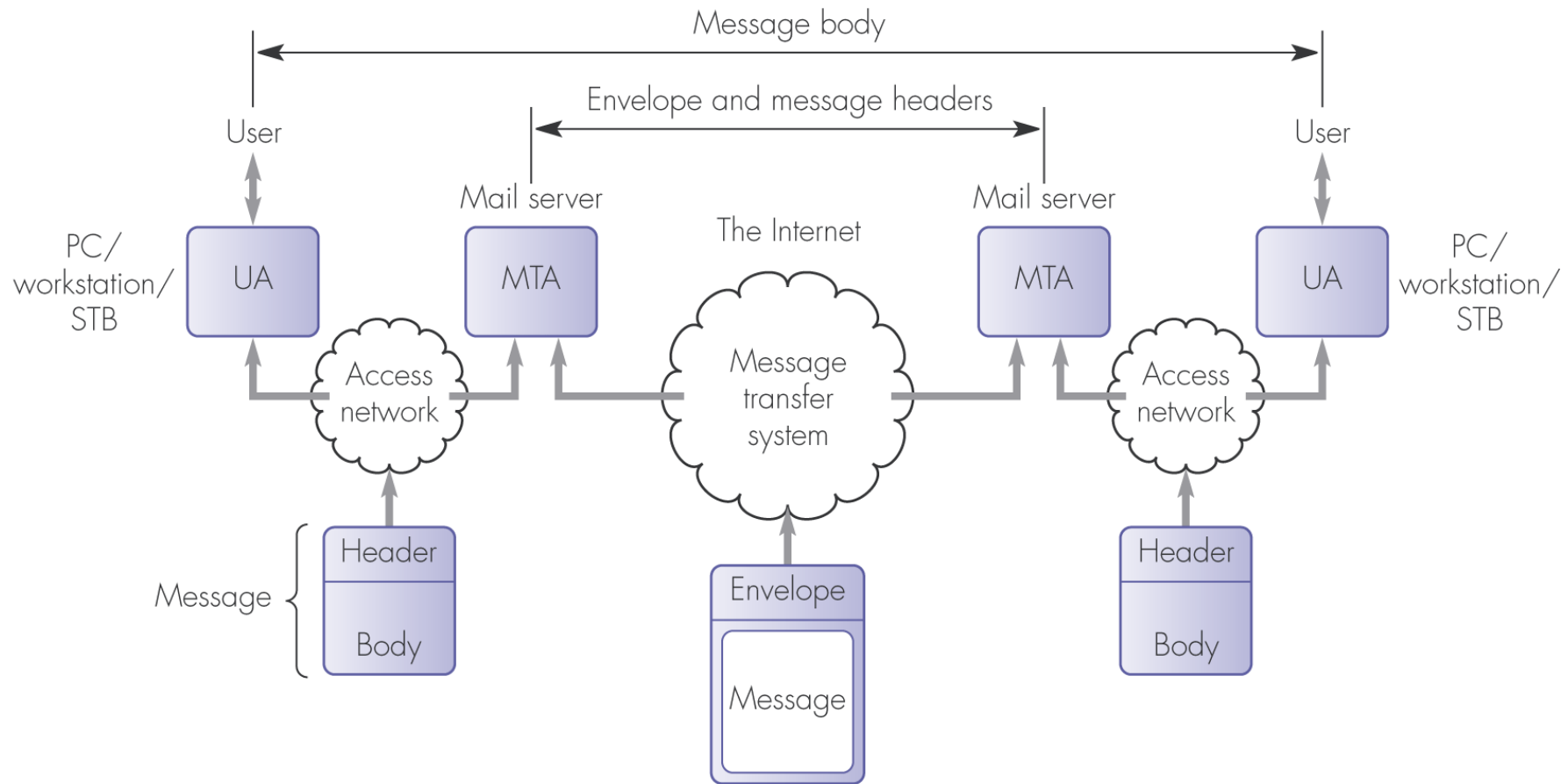
30

- As defined by RFC 822, mail messages are composed of:
  - ▣ Header, with different fields
    - Mandatory and optional headers
  - ▣ Body
    - Message contents
    - Ends with a line with only one character (a “dot”).
  - ▣ A blank line to separate header from body
  - ▣ Header and body contain only ASCII 7 bit text format!!!
- UA builds the message and sends it to mail server
  - ▣ Sending mail server uses some header fields to build the “envelope” and know where to send it
    - “From” and “To” headers



# Mail Messages Format

31



Halsall, *Computing Networking and the Internet*, 5<sup>th</sup> Edition © Pearson Education Limited 2005

## □ Transport related headers

**To:** address of main addressee

**Cc:** address of secondary addressee

**Bcc:** (**blind carbon copy**) address of secondary hidden addressee

**From:** address of sender<sup>1</sup>

**Sender:** address of message emitter<sup>1</sup>

**Received:** Header added by every mail server traversed in the route, if any. Includes:

- Names of Sender and Receiver Servers
- Date and time message is received

**Return-Path:** name of last Server

- Added by last server of the route
- Rarely used, often contains the sender address

---

1: "From" and "Sender" usually coincide → "Sender" is omitted



## □ Other headers

**Date:** date and time message was sent

**Reply-To:** address to send the response

**Message-Id:** unique identifier assigned by the UA, with the format:  
random-string@domain

**In-Reply-To:** Id of the message that this mail responds

**References:** Message-Id of other messages

**Subject:** message subject (one line)

## □ And more...

## □ Basic example of RFC 822 message

**From:** pepe@uah.es <CR><LF>

**To:** juani@upd.es <CR><LF>

**Subject:** Planes futuros <CR><LF>

<CR><LF>

Hi, I want to tell you that ... bla bla <CR><LF>

so that, bla bla <CR><LF>

etc, bla bla <CR><LF>

Regards <CR><LF>

. <CR><LF>

# MIME Extensions

35

- RFC 822 only specifies messages coded in ASCII (7-bits/character)
  - ▣ English text messages only
- SMTP can only handle 7-bit ASCII text messages
- With the growth of Internet new needs appear:
  - ▣ Languages using accents
  - ▣ Languages with non latin alphabets (hebraic, russian)
  - ▣ No-alphabetic languages (chinese)
  - ▣ Non text messages (video, audio, images, etc.)
- Solution:
  - ▣ RFC's 2045 y 2046 → MIME extensions
  - ▣ MIME: **M**ultipurpose **I**nternet **M**ail **E**xtensions

- Basic MIME concept:
  - ▣ Do NOT modify transport related software
  - ▣ **Modify only Software at User Agents ( UA's)**
- Objective:
  - ▣ MIME messages being sent by existing programmes and protocols
- **MIME → Extensions to message format defined by RFC 822**
  - ▣ Adds new structure to message body
    - Adapts message structure to support non-ASCII contents
  - ▣ Defines coding rules for non-ASCII messages

## Procedure for sending mail with non ASCII contents

- UA sender **codes and sends original non ASCII in ASCII format**, indicating it
  - ▣ Required to:
    - Prevent confusion of SMTP, that accepts only 7 bit ASCII code
    - Prevent message contents being interpreted as SMTP messages
  
- UA receptor **receives-decodes ASCII** to its original **non-ASCII** format
  - ▣ Based on the values of MIME header

## □ MIME defines five new headers

- MIME-Version
  - Only for MIME messages
- Content-Description
  - Optional
- Content-Id
  - Optional

- Content-Transfer-Encoding
- Content-Type

} These are the key headers

- “Content-Transfer-Encoding” Header
  - ▣ Indicates type of MIME coding used
    - Coding-syntax of transfer
  - ▣ Types of MIME codings
    - ASCII 7 bit with lines of less than 1000 characters (RFC 822)
    - ASCII 8 bit with lines of less than 1000 characters
      - For networks implementing some RFC 822 extensions
    - ASCII 8 bit with lines of arbitrary length
      - E.g. : executable programs
    - Base 64 coding (base64)
      - For coding binary data in ASCII, or other 8-bit per character alphabets
    - Quoted-printable coding
      - For alphabets very similar to, but with a limited number of special characters, with the 8th bit set to “1”

- “Content-Type” Header
  - Specifies:
    - MIME content of message, its kind
  - Indicates the receiver UA what to do with the content
  - Several types and subtypes defined
    - Notation: type/subtype
  - Every content “type” has an associated list of “subtypes”
    - Some content “types” :
      - text
      - image
      - application
      - multipart
      - message



**text:** indicates that message body contains text

examples:

- ▣ text/plain

- Plain text, does not contain commands or format directives. Displayed as is, no special software needed

- ▣ text/html

- Indicates to interpret HTML tags to display message content as a Web page

**image:** indicates the body contains image

examples:

- ▣ image/gif

- Image in “gif” format

- ▣ image/jpeg

- Image in “jpeg” format

## MIME extensions : MIME types

42

**application:** indicates that the contents must be first processed by an application to become visible or usable by the user

### Examples:

- application/msword
- application/msaccess
- application/msexcel
- application/postscript

**multipart:** indicates the message has multiple parts or annexes (objects)

e.g, text, images, audio, etc.

Receiver UA, in order to proceed, needs to know:

- Where every object starts and ends
  - Via “**boundary characters**”) between every pair of objects of message
- How every non-ASCII object was coded
  - Via header “**Content-Transfer-Encoding**” of every object
- Type of content of every object
  - Via “**Content-Type**” header of every object
- Example:

multipart/mixed;**boundary**=XYZ

Indicates how the parts (objects) of a multipart message separate.  
Separation always starts with two (or more) hyphen (see example)

**“message”**: indicates that message content has a relation with another MIME message

▣ Examples:

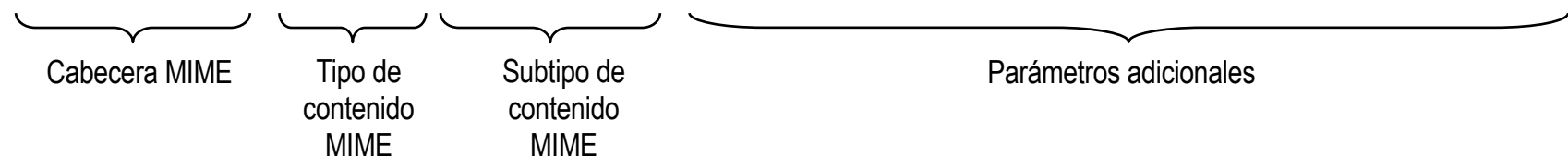
message/rfc822 ← indicates that contains another RFC 822 message

message/partial ← indicates that the content is part (fragment) of a bigger message

message/external-body ← indicates that the content is not present, instead a reference to the place where the real content is available

*Content-Type: message/partial; id="file-name@host-name";number=1;total=20*

*Content-Type: message/external-body; access-type="mail-server";server="server-name"*



# MIME Extensions

45

## Some MIME types and subtypes

<u>TYPE</u>	<u>SUBTYPE</u>	<u>CONTENT DESCRIPTION</u>
✓ Text	Plain	ASCII text without format
	Richtext	Formatted text based onHTML
✓ Image	GIF	GIF digital image
	jPEG	jPEG digital image
Audio	Basic	Digital audio
Video	MPEG	Video sequence or digital movie
✓ Application	Octet-stream	Byte string
	Postscript	Printable Adobe PostScript document
✓ Message	RFC 822	Another MIME message
	Partial	Part of a bigger message
	External-body	Pointer to where the body of message can be obtained
✓ Multipart	Mixed	Each part has different content or type
	Alternative	Every part has same content, but different type or subtype.
	Parallel	Part must be shown simultaneously
	Digest	Multiple messages

# MIME extensions

46

```
From: xyz@abc.com
To: abc@xyz.com
Subject: Happy birthday Irene
MIME-Version: 1.0
Content-Type: Multipart/Alternative; boundary = "TryAgain";

-- TryAgain

Content-Type: Message/External-body;
    name = "Irene.audio";
    directory = "Irene";
    access-type = "anon-ftp";
    site = "myserver.abc.com";
Content-Type: Audio/Basic;           (Message in audio accessed remotely)
Content-Transfer-Encoding: Base64

-- TryAgain

Content-Type: Text/Richtext;
<B> ***Happy birthday Irene*** </B> (Message in richtext)

-- TryAgain

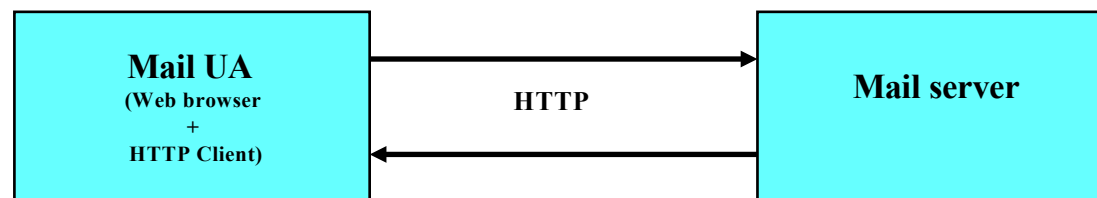
Content-Type: Text/Plain;
    ***Happy birthday Irene*** (Message in plaintext)

-- TryAgain
```

Example of headers and  
MIME types/subtypes  
for multimedia mail  
message.  
Same message in three  
different formats



- Mail UA = Web Browser + HTTP Client
  - ▣ For mail servers that “talk” HTTP (Hotmail, Gmail, ...)
- HTTP:
  - ▣ As a protocol to access mail
  - ▣ For message transfer between UA and mail Server
  - ▣ Enables some IMAP options
- Addressing:
  - ▣ URL = IP address or domain name of mail Server



## □ Advantage

- ▣ Access to mail from any device connected to Internet

## □ Disadvantage

- ▣ Sending and reception of messages may be slow
  - Due to the transfer procedure between browser and mail server
    - e.g: mail message in HTML format
    - Using forms and CGI scripts
- ▣ Conclusion:
  - Whenever possible, it is preferable to use a conventional mail UA



# ASCII code

49

Bit positions				7	0	0	0	0	0	1	1	1	1
				6	0	0	1	1	0	0	1	1	
				5	0	1	0	1	0	1	0	1	
4	3	2	1										
0	0	0	0	NUL	DLE	SP	0	@	P	\	p		
0	0	0	1	SOH	DC1	!	1	A	Q	a	q		
0	0	1	0	STX	DC2	"	2	B	R	b	r		
0	0	1	1	ETX	DC3	#	3	C	S	c	s		
0	1	0	0	EOT	DC4	\$	4	D	T	d	t		
0	1	0	1	ENQ	NAK	%	5	E	U	e	u		
0	1	1	0	ACK	SYN	&	6	F	V	f	v		
0	1	1	1	BEL	ETB	'	7	G	W	g	w		
1	0	0	0	BS	CAN	(	8	H	X	h	x		
1	0	0	1	HT	EM	)	9	I	Y	i	y		
1	0	1	0	LF	SUB	*	:	J	Z	j	z		
1	0	1	1	VT	ESC	+	;	K	[	k	{		
1	1	0	0	FF	FS	,	<	L	\	l			
1	1	0	1	CR	GS	-	=	M	]	m	}		
1	1	1	0	SO	RS	.	>	N	^	n	~		
1	1	1	1	SI	US	/	?	O	—	o	DEL		

# Personal Work

50

- See student guide