Logic and Complexity

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• A Formal System of Mathematical Proofs



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 - Axioms $A = \{A_1, A_2, \dots, A_k\}$



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 - Truth-preserving rules $\mathcal{I} = \{I_1, \dots, I_m\}$

$$I_i = \frac{S_1, S_2, \dots, S_n}{C}$$



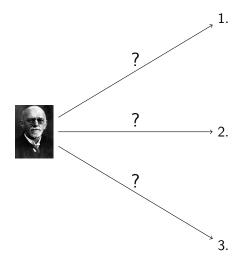


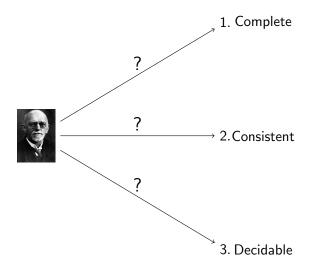
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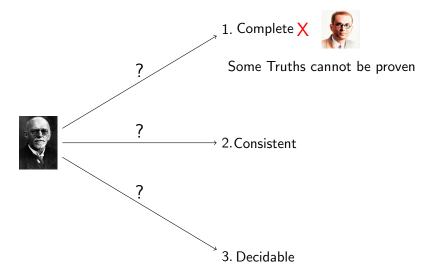
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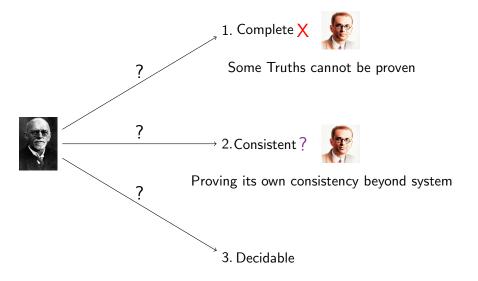
• Objective: Anything true has a proof

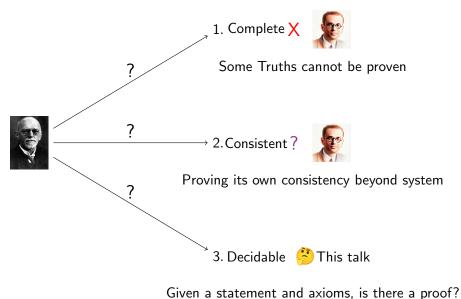
$$\begin{array}{cccc}
T \\
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T_1 & T_2 \\
I_{i'}\nearrow & & & & \\
A_i & A_j & A_k & A_\ell
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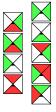
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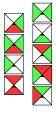


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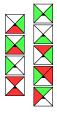
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• A Proper tiling on a finite grid:



- $f(T) = \begin{cases} 1 & \text{Proper tiling covering infinite grid} \\ 0 & \text{No such proper tiling} \end{cases}$



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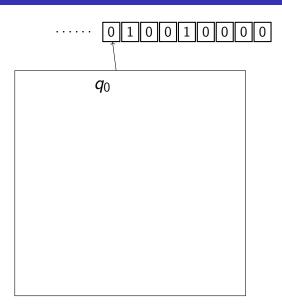
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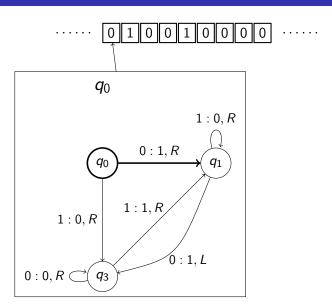
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- Turing Machine, Lambda Calculus

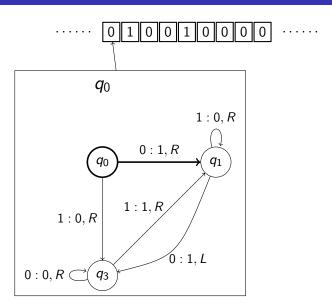


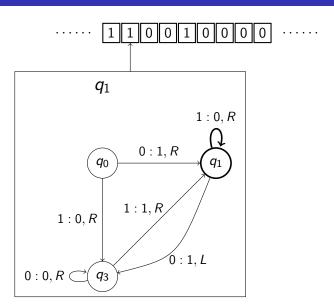
The Turing Machine

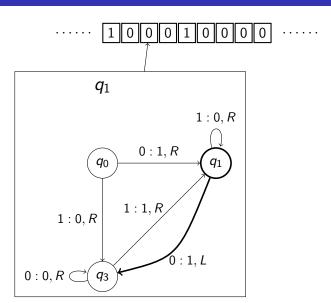
..... 0 1 0 0 1 0 0 0 0

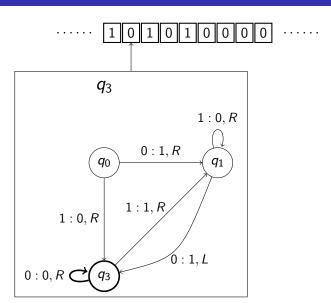


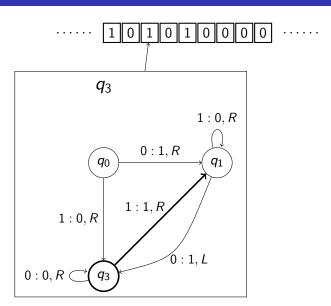


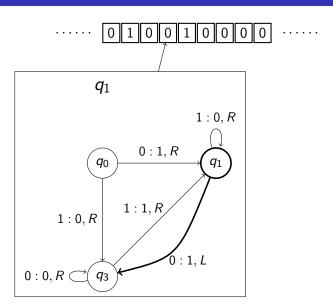














- $\mathcal{M} = \langle Q, q_0 \in Q, \Sigma, \delta, F \subseteq Q \rangle$
 - Q: finite set of states
 - Σ: alphabet
 - $\delta: Q \times \Sigma \to Q \times \Sigma \cup \{R, L\}$ transition rule
- Decision functions: $f(x \in \{0,1\}^*) \in \{0,1\}$
- Other MODELS: Church's Lambda Calculus

Church-Turing Thesis

Any computable function can be computed by a Turing Machine/ λ -Calculus.

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 - $f(x) = 1 \equiv \mathcal{M}_f(x)$ accepts $\equiv x \in \mathcal{L}_f$

Consider the following problems:

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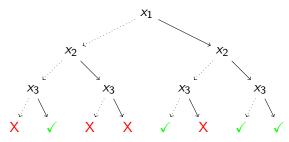
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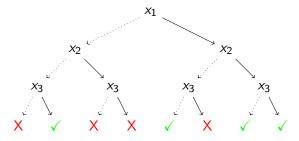
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 - If certificate given, how much time to verify?

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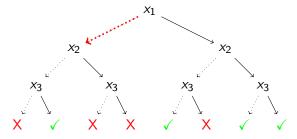


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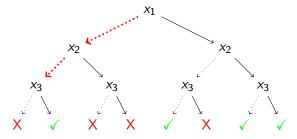
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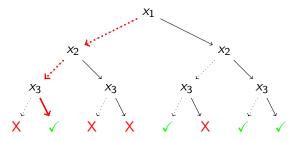
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Complexity Classes

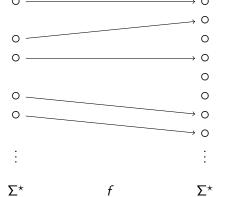
- $\mathcal{L} \in \mathsf{P} := \mathsf{Steps}(\mathcal{M}_{\mathcal{L}}(x)) \leq O(|x|^c)$
- $\mathcal{L} \in \mathsf{NP} := \mathsf{Steps}(\mathcal{M}_{\mathcal{L}}(x)) \leq O(|x|^c)$, $\mathcal{M}_{\mathcal{L}}$ is Non-deterministic
- P ⊂? NP
- $\mathcal{L} \in \mathsf{EXPTIME}$:= $\mathsf{Steps}(\mathcal{M}_{\mathcal{L}}(x)) \leq O(2^{|x|^c})$
- $\mathcal{L} \in \mathsf{NEXPTIME}$:= $\mathsf{Steps}(\mathcal{M}_{\mathcal{L}}(x)) \leq \mathit{O}(2^{|x|^c})$, $\mathcal{M}_{\mathcal{L}}$ is ND
- $P \subseteq_? NP \subseteq_? EXPTIME \subseteq_? NEXPTIME$
- P ⊂ EXPTIME and NP ⊂ NEXPTIME

• $\mathcal{L}_1 \geq_{\textit{hard}} \mathcal{L}_2$

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$$x \in \mathcal{L}_2$$
 iff $f(x) \in \mathcal{L}_1$

 \mathcal{L}_2 is reduced to \mathcal{L}_1

Completeness

- $\mathcal{L}_1 \geq_{\mathsf{P}} \mathcal{L}_2$: Reduction f is poly computable
- $\mathcal{L} \in \mathcal{C}$ complete
 - $\bullet \ \mathcal{L} \in \mathcal{C}$
 - $\mathcal{L} \geq_{\mathsf{P}} \mathcal{L}'$ for any $\mathcal{L}' \in \mathcal{C}$

Cook-Levin

Propositional SAT is NP - complete

ullet A ${\cal C}-complete$ problem is one of the hardest in ${\cal C}$

- $\mathcal{L} = \{ x \in \Sigma^* \mid x \models \varphi \}$
 - φ : Property or **Query**
 - x can represent any finite structure
 - Example: x: Graph
 - φ : "Is there a triangle?"
 - Example: x: Graph
 - φ : "Is it 3-colorable?"
- ullet A ${\cal L}$ is *definable* by a query arphi

- Triangle in a graph
 - INPUT: $G = \langle V, E \subseteq V \times V \rangle$
 - The property/query

$$\varphi = \exists x \exists y \exists z (\neg(x = y) \land \neg(y = z) \land \neg(x = z)$$
$$\land E(x, y) \land E(y, z) \land E(x, z))$$

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$$\varphi = \exists R \subseteq V \exists G \subseteq V \exists B \subseteq V(\forall x (R(x) \lor B(x) \lor G(s)) \land \forall x (\neg (R(x) \land B(x)) \land \neg (B(x) \land G(x)) \land \neg (R(x) \land G(x))) \land \forall x \forall y (E(x,y) \rightarrow (\neg (R(x) \land R(y) \land \neg (G(x) \land G(y)) \land \neg (B(x) \land B(y)))))$$

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 - INPUT: $G = \langle V, E \subseteq V \times V \rangle$
 - The property/query

$$\varphi = \exists R \subseteq V \exists G \subseteq V \exists B \subseteq V(\forall x (R(x) \lor B(x) \lor G(s)) \land \forall x (\neg (R(x) \land B(x)) \land \neg (B(x) \land G(x)) \land \neg (R(x) \land G(x))) \land \forall x \forall y (E(x,y) \rightarrow (\neg (R(x) \land R(y) \land \neg (G(x) \land G(y)) \land \neg (B(x) \land B(y)))))$$

• Extends FOL formulas with quantification over relations

ullet Extends FOL formulas with quantification over relations $\exists X arphi$

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$$\varphi = \exists R \subseteq V \exists G \subseteq V \exists B \subseteq V (\forall x (R(x) \lor B(x) \lor G(s)) \land \\ \forall x (\neg (R(x) \land B(x)) \land \neg (B(x) \land G(x)) \land \neg (R(x) \land G(x))) \land \\ \forall x \forall y (E(x,y) \to (\neg (R(x) \land R(y) \land \neg (G(x) \land G(y)) \land \\ \neg (B(x) \land B(y)))))$$

Logical Characterising Complexity Classes

- Existential SOL (∃SOL)
 - $\exists X_1 \exists X_2 \dots \exists X_n \varphi, \ \varphi \in FOL$

Fagin's Theorem

 $NP \equiv \exists SOL$

- Universal SOL (∀SOL)
 - $\forall X_1 \forall X_2 \dots \forall X_n \varphi, \ \varphi \in FOL$

Fagin's Theorem

 $co - NP \equiv \forall SOL$

• $UNSAT \in co - NP$

The Polynomial Hierarchy

•
$$\Sigma_1^P = \exists SO$$

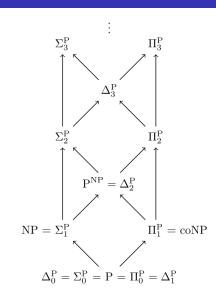
•
$$\Pi_1^P = \forall SO$$

$$\bullet \ \Sigma_n^{\mathsf{P}} = \exists X_1 \dots \exists X_n \Pi_{n-1}^{\mathsf{P}}$$

$$\bullet \ \Pi_n^{\mathsf{P}} = \exists X_1 \dots \exists X_n \Sigma_{n-1}^{\mathsf{P}}$$

•
$$\Sigma_n^{\mathsf{P}} \cup \Pi_n^{\mathsf{P}} \subseteq \Sigma_{n+1}^{\mathsf{P}} \cap \Pi_{n+1}^{\mathsf{P}}$$

• What tops it?





Fixed Points

- $f: \mathcal{P}(\mathcal{D}) \to \mathcal{P}(\mathcal{D})$
- Monotone: $X \subseteq Y$ implies $f(X) \subseteq f(Y)$
- Inflationary: $\forall X \in \mathcal{P}(\mathcal{D}) : X \subseteq f(X) \subseteq f(f(X)) \subseteq \dots$
- Fixed point: $X \in \mathcal{P}(D)$ is an FP of f, f(X) = X

Fixed Points

- $X_0 = \emptyset$, $X_i = f^i(X_0)$
- If f is monotone, $Ifp(f) = \bigcup_{i>0} X_i$
- What if not monotone?
 - Inflationary Fixed Point (IFP): $ifp(f) = \bigcup_{i=0}^{n} (X_i \cup f(X_i))$
 - Partial Fixed Point (PFP): $pfp(f) = \begin{cases} X_n & X_n = X_{n+1} \\ \emptyset & \forall n \leq 2^{|D|} : X_n \neq X_{n+1} \end{cases}$
- Extending logics using FP operators for higher definability
- **NOTE:** For MONOTONE functions: lfp = ifp = pfp



Below PH:

Immerman and Vardi

If query being done over ordered finite structures then

$$LFP \equiv P$$

Above PH:

PSPACE Characterisation

 $SO[TC] \equiv PSPACE$

| co-r.e. complete Halt | | tic Hierarchy FO(N) | FO-VALID | r.e. complete Halt |
|-------------------------|---|--|------------------|--|
| FO∀(N) co-r.e. | FO-SAT | Recursive | FO-VALID | r.e. FO∃(N) |
| | Succinct(| SO(PFP) SO[2 ^{2ⁿ} | EXPSPACE | $\mathrm{CH}[2^{2^{n^{O(1)}}},2^{n^{O(1)}}]$ |
| | | SO(LFP) SO[$2^{n^{O(1)}}$] | | $CH[2^{n^{O(1)}}, 2^{n^{O(1)}}]$ |
| $CRAM[2^{n^{O(1)}}]$ | $FO[2^{n^{O(1)}}]$ $FO(PFP)$ | AT PSPACE complete $SO(TC)$ $SO[n^{O(1)}]$ | PSPACE | $\mathrm{CH}[n^{\scriptscriptstyle O(1)},2^{n^{\scriptscriptstyle O(1)}}]$ |
| co-NP complete | 1 A TIT | ME Hierarchy SO | SA | NP complete |
| co-NP | SO∀ | NP ∩ co-NP | ∃ NP | $CH[O(1), 2^{n^{O(1)}}]$ |
| $CRAM[n^{O(1)}]$ | $FO[n^{O(1)}]$ FO(LFP) $SO(Horn)$ | P complete | P | |
| $CRAM[(\log n)^{O(1)}]$ | $\mathrm{FO}[\left(\log n\right)^{O(1)}]$ | "truly | NC | |
| $CRAM[(\log n)]$ | $FO[\log n]$ | feasible" | \mathbf{AC}^1 | |
| | FO(CFL) | \ \ | \mathbf{sAC}^1 | |
|] | FO(TC) SO(Krom) | 2SAT NL comp. | NL | |
| | FO(DTC) | COLOR L comp | L | |
| | FO(REGULAR) | ` | \mathbf{NC}^1 | |
| | FO(COUNT) | 1 | \mathbf{ThC}^0 | |
| CRAM[O(1)] | FO LO | GTIME Hierarchy 🚶 | \mathbf{AC}^0 | |

Conclusion

- Other Aspects: Proof Complexity
- Open: Exact Characterisations for P