

Q.9 If an investor is attempting to buy a stock that is very volatile, it would be best to use_____.

Q.10 Name any one risk free investment?

SECTION-B

Note: short answer type questions. Attempt any six questions out of eight questions. (6x5=30)

Q.11 Define some functions of SEBI?

Q.12 Who is an investor?

Q.13 Describe the Distributor process of Mutual funds?

Q.14 What do you mean by Non Monetary transactions?

Q.15 Who is an underwriter?

Q.16 What are the objectives of SEBI?

Q.17 What do you mean by Stock Exchange?

Q.18 State some rules of SEBI?

SECTION-C

Note:Long answer questions. Attempt any one questions out of two questions. (1x10=10)

Q.19 Define Mutual Funds? Define the Monetary and Non-monetary Transactions in detail?

Q.20 Explain SEBI in detail? State its rules and regulations? What is the role of SEBI in Mutual Fund Operations?

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Roll No.

DVOC

Subject : Mutual Fund Operations - II

Time : 2 Hrs.

M.M. : 50

SECTION-A

Note:Very short questions. Attempt all ten questions. (10x1=10)

Q.1 Name any two financial assets?

Q.2 All new issues being offered for public sale are registered with_____.

Q.3 Most popular type of investment company is _____.

Q.4 Name any two types of transactions used for Mutual funds?

Q.5 Name two types of transaction used for Mutual funds?

Q.6 A group of mutual funds with common management are known as?

Q.7 The return component the gives periodic cash flows to the investor is known as the_____.

Q.8 What is Portfolio?

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