

Q.9 The coupon rate is another name of _____

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Q.10 Name any two types of Mutual funds?

Roll No.

SECTION-B

Note:Short answer type questions. Attempt any Six questions out of eight questions. (6x5=30)

Q.11 What do you mean by Monetary Transaction for mutual funds?

Time : 2 Hrs.

M.M. : 50

Q.12 Who is a Distributor ?

SECTION-A

Q.13 What is stock market?

Note:Very short questions. Attempt all ten questions.

(10x1=10)

Q.14 Why is SEBI important to stock market in India?

Q.1 Dividends are paid _____.

Q.15 Define the structure of SEBI?

Q.2 The _____ is a window through which the investor can see the company.

Q.16 Describe the investor process for Mutual funds?

Q.3 A computerized trading network that matches buy and sell orders electronically entered by customers is a _____.

Q.17 Who do refer as an underwriter?

Q.4 What do you understand by commercial papers?

Q.18 What are the rules and regulations of SEBI ?

Q.5 Name any one risk free investment?

SECTION-C

Note:Long answer questions. Attempt any one questions out of two questions. (1x10=10)

Q.6 The return component the gives periodic cash flow to the investor is known as the _____

Q.19 What do you understand by Mutual funds? What are its investors and distributors process?

Q.7 All new issues being offered for public sale are registered with _____

Q.20 Define the role of SEBI and its relevant regulations in detail?

Q.8 Investment Bankers operate in the _____