

**Q.15** What do you understand with Hybrid securities

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**Q.16** List down the types of the securities in the Indian Security Market.

**Q.17** What are the characteristics of Derivatives?

Q.18 Define security market as allocators of capital.

## **SECTION-C**

**Note:** Long answer questions. Attempt any one questions out of two questions. (1x10=10)

**Q.19** What is listing of securities? State the advantages of listing of securities in stock exchange.

**Q.20 Explain the governing body and functions of Stock market.**

Time : 2 Hrs.

M.M. : 50

## **SECTION-A**

**Note:**Very short questions. Attempt all ten questions.  
 $(10 \times 1 = 10)$

**Q.1 How many companies are included in the BSE Sensex?**



## Q.2 When was nifty established?



**Q.3** The share price is decided by:

- a) Stock Exchange Management
  - b) Companies
  - c) Buyers and sellers of the particular stocks
  - d) Central government

Q.4 The first computerized online stock exchange in India was

- a) NSE
- b) BSE
- c) MCX
- d) Commodity

Q.5 Arise in “Sensex” means:

- a) A rise in price of shares of all companies registered with the Bombay Stock Exchange
- b) An overall rise in prices of shares of all companies registered with the National Stock Exchange
- c) An overall rise in prices of shares of group of companies registered with the Bombay Stock Exchange
- d) All of the above

Q.6 What is DEMAT?

- a) An account that is used to hold shares and securities in electronic format.
- b) Dematerialization of liquidity
- c) Nodal agency to keep a watch on the movements of shares.
- d) Decentralized Members of Abroad Transactions.

Q.7 Which of the following is the function of financial market?

- a) Mobilization of savings
- b) Price fixation
- c) provide liquidity to financial assets
- d) All of the above

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Q.8 When securities are allotted to institutional investors & some selected individuals is referred to as \_\_\_\_\_.

- a) Initial public offer
- b) Offer through prospectus
- c) Private placement
- d) Offer for sale

Q.9 It is a legally enforceable document which is issued by a stockbroker within 24 hours of the execution of a transducer.

- a) PAN number
- b) Unique Order Code
- c) Contract Note
- d) None of the above

Q.10 A company can raise capital through the primary market in the form of

- a) Equity shares
- b) Preference shares
- c) Debentures
- d) All of the above

## SECTION-B

**Note:** Short answer type questions. Attempt any six questions out of eight questions. (6x5=30)

Q.11 Explain the role of SEBI in primary Market.

Q.12 Define Securities and list down any five characteristics of the securities.

Q.13 Discuss the features of SEBI.

Q.14 Differentiate between the business based on their capital requirements.

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