

Q.9 The coupon rate is another name of _____

Q.10 Name any two types of Mutual funds?

SECTION-B

Note: Short answer type questions. Attempt any Six questions out of eight questions. (6x5=30)

Q.11 What do you mean by Monetary Transaction for mutual funds?

Q.12 Who is a Distributor ?

Q.13 What is stock market?

Q.14 Why is SEBI important to stock market in India?

Q.15 Define the structure of SEBI?

Q.16 Describe the investor process for Mutual funds?

Q.17 Who do refer as an underwriter?

Q.18 What are the rules and regulations of SEBI ?

SECTION-C

Note: Long answer questions. Attempt any one questions out of two questions. (1x10=10)

Q.19 What do you understand by Mutual funds? What are its investors and distributors process?

Q.20 Define the role of SEBI and its relevant regulations in detail?

No. of Printed Pages : 2

188964

Roll No.

Level 5, 2nd Sem / (DVOC) BFSI

Subject : Mutual Fund Operation - II

Time : 2 Hrs.

M.M. : 50

SECTION-A

Note: Very short questions. Attempt all ten questions. (10x1=10)

Q.1 Dividends are paid _____.

Q.2 The _____ is a window through which the investor can see the company.

Q.3 A computerized trading network that matches buy and sell orders electronically entered by customers is a _____.

Q.4 What do you understand by commercial papers?

Q.5 Name any one risk free investment?

Q.6 The return component that gives periodic cash flow to the investor is known as the _____

Q.7 All new issues being offered for public sale are registered with _____

Q.8 Investment Bankers operate in the _____