

Q.17 What do you understand with Systematic withdrawal plan?

Q.18 How systematic transactions may help to reduce the risk?

SECTION-C

Note: Long answer questions. Attempt any one questions out of two questions. (1x10=10)

Q.19 Write a critical note on different Mutual schemes in India. In your view which scheme is advantageous one to the Investors.

Q.20 Explain the pricing of units performed in Mutual Funds.

No. of Printed Pages : 4

188954

Roll No.

1st Sem / (BFSI) DVOC Subject : Mutual Fund Operations - I

Time : 2 Hrs.

M.M. : 50

SECTION-A

Note: Very short questions. Attempt all ten questions. (10x1=10)

Q.1 In India, AMC must be registered with_____.

- a) Company's Act, 2013
- b) No registration required.
- c) Securities Exchange Board of India
- d) Reserve Bank of India

Q.2 The funds in which units can be purchased only during the initial offer period are called

- a) Open-Ended Funds b) Close-Ended Funds
- c) Interval Funds d) Fixed maturity Funds

Q.3 Which type of fund is more volatile?

- a) Large-cap funds b) Mid-cap funds
- c) Small-cap funds d) Hybrid Funds

- Q.4 Investors can enter and exit under_____ at any time
 a) Fixed maturity plan b) Open-Ended Funds
 c) Close-Ended Funds d) Interval fund
- Q.5 The NAV of each scheme should be updated on AMFI's website
 a) Every Day b) Every month
 c) Every hour d) Every quarter
- Q.6 SIP stands for_____.
 a) Systematic investment plan
 b) Simple investment plan
 c) Simplified investment programme
 d) Single investment plan
- Q.7 Transaction cost is_____ with investment in Mutual Funds.
 a) High b) Low
 c) Very high d) Nil
- Q.8 _____helps to improve the risk return relationship.
 a) Diversification
 b) Liquidity
 c) Professional Management
 d) Tax

(2)

188954

- Q.9 Which of the following is a risk associated with debt fund?
 a) Less volatile b) unsafe Investment
 c) Fixed Return d) Tax Efficient
- Q.10 The greatest potential for growth in the capital is offered by
 a) Debt funds b) Gilt funds
 c) Growth funds d) Balanced funds

SECTION-B

Note: Short answer type questions. Attempt any six questions out of eight questions. (6x5=30)

- Q.11 Write a note on structure of Mutual funds in India.
- Q.12 How Relevant Is the Expense Ratio?
- Q.13 Define Mutual Fund. List out the advantages of investing in Mutual Funds.
- Q.14 What is Asset Management Company? Explain its functions.
- Q.15 Explain the pricing of units performed in Mutual Funds?
- Q.16 What are the different ways to redeem the Mutual funds?

(3)

188954