

Q.18 Define security market as allocators of capital.

Q.20 Explain the governing body and functions of Stock market.

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Q.4 The first computerized online stock exchange in India was

- a) NSE b) BSE
 - c) MCX d) Commodity
- Q.5 Arise in “Sensex” means:
- a) A rise in price of shares of all companies registered with the Bombay Stock Exchange
 - b) An overall rise in prices of shares of all companies registered with the National Stock Exchange
 - c) An overall rise in prices of shares of group of companies registered with the Bombay Stock Exchange
 - d) All of the above
- Q.6 What is DEMAT?
- a) An account that is used to hold shares and securities in electronic format.
 - b) Dematerialization of liquidity
 - c) Nodal agency to keep a watch on the movements of shares.
 - d) Decentralized Members of Abroad Transactions.
- Q.7 Which of the following is the function of financial market?
- a) Mobilization of savings
 - b) Price fixation
 - c) provide liquidity to financial assets
 - d) All of the above

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- Q.8 When securities are allotted to institutional investors & some selected individuals is referred to as _____.
 a) Initial public offer
 b) Offer through prospectus
 c) Private placement
 d) Offer for sale
- Q.9 It is a legally enforceable document which is issued by a stockbroker within 24 hours of the execution of a transducer.
 a) PAN number b) Unique Order Code
 c) Contract Note d) None of the above
- Q.10 A company can raise capital through the primary market in the form of
 a) Equity shares b) Preference shares
 c) Debentures d) All of the above

SECTION-B

Note: Short answer type questions. Attempt any six questions out of eight questions. (6x5=30)

- Q.11 Explain the role of SEBI in primary Market.
- Q.12 Define Securities and list down any five characteristics of the securities.
- Q.13 Discuss the features of SEBI.
- Q.14 Differentiate between the business based on their capital requirements.

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