

# Training neural networks with a procedure guided by BNF grammars

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**Abstract:** Artificial neural networks are parametric machine learning models that have been applied successfully to an extended series of classification and regression problems found in the recent literature. For the effective identification of the parameters of the artificial neural networks, a series of optimization techniques have been proposed in the relevant literature, which, although they present good results in many cases, either the optimization method used is not efficient and the training error of the network is trapped in sub-optimal values, or the neural network exhibits the phenomenon of over-training which means that it has poor results when applied to data that was not present during the training. This paper proposes an innovative technique for constructing the weights of artificial neural networks based on appropriate BNF grammars, used in the evolutionary process of Grammatical Evolution. The new procedure locates an interval of values for the parameters of the artificial neural network, and the optimization method effectively locates the network parameters within this interval. The new technique was applied to a wide range of data classification and adaptation problems covering a number of scientific areas and the experimental results were more than promising.

**Keywords:** Neural networks; Genetic algorithms; Grammatical Evolution; Evolutionary algorithms

## 1. Introduction

Many real world problems can be formulated as classification or regression problems and subsequently, they can be tackled by machine learning models. Such problems occur in physics [1,2], chemistry [3,4], economics [5,6], medicine [7,8] etc. A typical representative of machine learning models with widespread use due to their remarkable adaptation capabilities are artificial neural networks [9,10]. This model has been successfully applied to a wide series of practical problems, such as image processing [11], time series forecasting [12], forecast of solar irradiance [13], medical diagnosis [14], solutions of differential equations [15,16], agriculture problems [17,18] etc.

Typically, a neural network is expressed as a function  $N(\vec{x}, \vec{w})$ , provided that the vector  $\vec{x}$  represents the input vector or pattern for the neural network and the vector  $\vec{w}$  stands for the set of parameters of the network, that should be calculated. The vector  $\vec{w}$  is also called weight vector. The adaptation of the parameter vector  $\vec{w}$  is performed by minimizing the so-called training error, which is defined as:

$$E(N(\vec{x}, \vec{w})) = \sum_{i=1}^M (N(\vec{x}_i, \vec{w}) - y_i)^2 \quad (1)$$

In equation 1 the set  $(\vec{x}_i, y_i)$ ,  $i = 1, \dots, M$  represents the train set of the neural network, where the values  $y_i$  are considered as the expected outputs for the  $\vec{x}_i$  patterns. Various methods have been proposed in the relevant literature to train neural networks, such as the Back Propagation method [19], the RPROP method [20], the Adam optimization method [21] etc. Also, a series of modern approaches have also been incorporated to train

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neural networks, such as the Quasi Newton methods [22], the Tabu Search method [23], Simulated Annealing [24], genetic Algorithms [25], Particle Swarm Optimization (PSO) [26], Differential Evolution [27], Ant Colony Optimization [28]. Recently, Askarzadeh et al suggested the Bird Mating Optimizer (BMO) [29] as the training algorithm for a series of benchmark datasets. Benardos et al suggested the application of a genetic algorithm to obtain the best architecture of a neural network, in order to efficiently train a neural network [30]. Karaboga introduced the usage of the Artificial Bee Colony (ABC) algorithm on training artificial neural networks [31]. Among others, Chen et al [32] has proposed a hybrid method that combines particle swarm optimization and Cuckoo Search [33] to optimize the weight vector. Also, due to the widespread application of parallel programming techniques, a series of papers that exploit such methods are published recently regarding the training of neural networks [34–36].

Another important aspect of the research conducted on artificial neural networks is the initialization techniques used, which play an important role in the final result that these networks have. Such initialization methods include the usage of decision trees [37], incorporation of the Cauchy's inequality [38], application of discriminant learning [39], usage of genetic algorithms [40] etc. Also recently, Sodhi et al proposed an interval based weight initialization method for neural networks [41]. An overview of the methods used for weight initialization can be found in the work of Sousa [42].

However, the most important problem that can be caused by the use of different initialization and/or training techniques for artificial neural networks is the phenomenon of overtraining of these networks, where the network has poor performance on patterns that were not used in the training of the network. This problem was tackled in the recent bibliography by a series of methods, such as the weight sharing technique [43], weight pruning techniques that can reduce the number of weights [44–46], the dropout method [47], weight decaying [48,49], the Sarpop technique [50], positive correlation methods [51] etc.

This paper proposes an innovative process for training artificial neural networks, which consists of three individual stages. During the execution of the first stage, an initial estimate of the value interval of the artificial neural network parameters is made using a smart global optimization technique, such as Genetic Algorithms [52]. The generated result of the first stage is used in the next phase of the algorithm, where a process using Grammatical Evolution [53] is used to more efficiently identify the value interval of the parameters of the artificial neural network. In this phase, the value intervals for the network parameters are constructed using a Backus–Naur (BNF) grammar [54]. In the last stage of the proposed method, the parameters accompanying the artificial neural networks are initialized within the optimal value interval of the previous process and an optimization algorithm is used to effectively train the network within this interval.

The remaining of this article is organized as follows: in section 2 the proposed method is discussed in detail, in section 3 the used datasets as well as the conducted experiments are discussed and finally, in section 4 some conclusions are presented.

## 2. Materials and Methods

This section presents the basic principles of Grammatical Evolution and then the proposed 3-stage weight adjustment process.

### 2.1. Grammatical Evolution

Grammatical Evolution is an evolutionary algorithm, where the chromosomes are vectors of positive integer values. Each chromosome is a series of production rules from the underlying BNF grammar and it can be used to construct valid programs in the target language. During the recent years, Grammatical Evolution was applied in a wide range of problems from different areas, such as music synthesis [55], video games [56,57], design of fractal curves [58], constant creation [59], robotics [60,61], modeling glycemia in humans

[62], Wikipedia taxonomies [63], economics [64] etc. The grammars used in the Grammatical Evolution procedure are expressed as sets  $G = (N, T, S, P)$  where

- $N$  represents the set of non-terminal symbols included in the grammar.
- $T$  stands for the set of terminal symbols. Every non-terminal symbol is replaced by a series of terminal symbols with the application of the associated production rules.
- $S$  is a non-terminal symbol, that stands for the start symbol of the grammar.
- $P$  is the set of production rules that are used to create terminal symbols from non-terminal symbols.

For every chromosome, Grammatical Evolution starts from the symbol  $S$  and through a series of steps it produces valid programs with terminal symbols by substituting non-terminal symbols with the right hand of the selected production rule. The selection of the production rule is accomplished in two steps:

- Obtain the next element from the under-processing chromosome. Denote this element with  $V$
- The next rule is selected according to:  $\text{Rule} = V \bmod N_R$ . The number  $N_R$  represents the total number of production rules for the non-terminal symbol that is under consideration.

## 2.2. The proposed method

### 2.2.1. The first phase of the method

At the beginning of the process, an initial estimate of the range of values for the parameters of the artificial neural network must be made. This estimate should also reflect the specificities of each data set to be processed and therefore arbitrary values cannot be used. For this reason, an optimization procedure is used to train the neural network. The final result of this process can be used as a good estimate of the range of values for these parameters. In the current work, the global optimization method of Genetic Algorithm was used as the training procedure of the first phase. This method was chosen because of its great flexibility, its many applications in many scientific fields, and its ability to be parallelized. The form of neural network used here was also proposed in [65] and it is defined as follows:

$$N(\vec{x}, \vec{w}) = \sum_{i=1}^H w_{(d+2)i-(d+1)} \sigma \left( \sum_{j=1}^d x_j w_{(d+2)i-(d+1)+j} + w_{(d+2)i} \right) \quad (2)$$

In the previous equation the symbol  $H$  denotes the number of processing units and the value  $d$  represents the dimension of the input pattern  $\vec{x}$ . As a consequence the size of vector  $\vec{w}$  is  $n = (d+2)H$ . The function  $\sigma(x)$  represents the sigmoid function defined as:

$$\sigma(x) = \frac{1}{1 + \exp(-x)} \quad (3)$$

Each chromosome in this genetic algorithm represents a possible set of parameters for the artificial neural network and during optimization the training error is minimized, as captured in equation 1. The main steps of this procedure have as follows:

#### 1. Initialization step.

- (a) **Define** as  $N_c$  the number of chromosomes and as  $N_g$  the maximum number of generations allowed before termination.
- (b) **Define** as  $p_s$  the selection rate with  $p_s \leq 1$ .
- (c) **Define** as  $p_m$  the mutation rate with  $p_m \leq 1$ .
- (d) **Initialize** randomly the chromosomes  $g_i$ ,  $i = 1, \dots, N_c$ .
- (e) **Set**  $k = 0$ , the generation number.

#### 2. Genetic operations step.

- (a) Fitness calculation. For every chromosome  $c_i$ ,  $i = 1, \dots, N_c$  create the corresponding neural network  $N(c_i, x)$  and set the corresponding fitness value with the following formula:

$$f_i = \sum_{j=1}^M (N(c_i, x_j) - y_j)^2$$

- (b) Application of selection. The chromosomes and the corresponding fitness values are sorted according to the fitness and the best  $(1 - p_s) \times N_c$  of them are copied intact to the next generation. The rest of the chromosomes are replaced by new chromosomes produced during the crossover and the mutation procedure.
- (c) Application of crossover. During this process, for every pair of constructed chromosomes  $(\tilde{z}, \tilde{w})$  two chromosomes  $(z, w)$  are selected from the current population using tournament selection. The construction of the new chromosomes is performed using the following:

$$\begin{aligned} \tilde{z}_i &= a_i z_i + (1 - a_i) w_i \\ \tilde{w}_i &= a_i w_i + (1 - a_i) z_i \end{aligned} \quad (4)$$

The values  $a_i$  are random numbers with  $a_i \in [-0.5, 1.5]$  [66].

- (d) Application of mutation. For every element of each chromosomes a random number  $r \in [0, 1]$  is drawn. This element is altered randomly when  $r \leq p_m$ .
3. **Termination check.**
- (a) Set  $k = k + 1$
- (b) If  $k \leq N_g$  goto step 2
4. **Finalization step.**
- (a) **Select** the chromosome  $g^*$  with the lowest fitness value from the population.
- (b) **Define** the vectors  $\vec{L}, \vec{R}$  with the properties

$$\begin{aligned} L_i &= -F |g_i^*| \\ R_i &= F |g_i^*| \end{aligned}, \quad i = 1, \dots, n$$

The parameter  $F$  is a positive number with the property  $F \geq 1$ .

- (c) The final outcome of the first phase is the vectors  $\vec{L}$  and  $\vec{R}$

#### 2.2.2. The second phase of the method

In the second phase of the proposed methodology, an attempt is made to estimate the optimal value intervals (bounds) of the artificial neural network parameters. This phase initiates from the final outcome of the previous phase, the vectors  $\vec{L}$  and  $\vec{R}$ . The estimation of the optimal value intervals is performed through Grammatical Evolution, which utilizes the grammar shown in Figure 1.

```

S ::= <expr>      (0)
<expr> ::= (<xlist> , <command>, <command>) (0)
          | <expr>, <expr> (1)
<xlist> ::= x1      (0)
          | x2 (1)
          | .....
          | xn (n-1)
<command> ::= NOTHING (0)
          | SHRINK (1)
          | EXPAND (2)
          | SHRINK_EXPAND (3)
          | EXPAND_SHRINK (4)
          | SHRINK_SHRINK (5)
          | EXPAND_EXPAND (6)

```

**Figure 1.** BNF grammar used in the implemented work. The numbers in parentheses denote the sequence number of the current production rule and they are used during the Grammatical Evolution procedure in order to produce valid programs. Symbols enclosed in < > are considered non-terminal symbols of the grammar. The parameter n denotes the number of parameters for the used neural network.

This grammar proposes a series of commands that will be applied to the bounds of the parameters of the neural network. These commands are a series of expressions in the form (identifier, left\_command, right\_command). The identifier represents the parameter where the command will be applied, the left\_command indicates the command that will be executed on the left bound of this parameter and the right\_command stands for the command that will be applied on the right bound of the corresponding parameter. These commands are:

1. NOTHING. Using this command does not change the limits of the corresponding parameter.
2. SHRINK. With this command, the corresponding bound is reduced by 50%.
3. EXPAND. Activating this command results in the corresponding bound being expanded by 50%.
4. SHRINK\_EXPAND. This command firstly reduces the corresponding bound by 50% and afterwards this bound is expanded by 50%.
5. EXPAND\_SHRINK. This command firstly expands by 50% the corresponding bound and subsequently this bound is reduced by 50%.
6. SHRINK\_SHRINK. The execution of this command shrinks the corresponding bound two times.
7. EXPAND\_EXPAND. This command expands the corresponding bound two times.

As a full working example consider a neural network with  $H = 2$  processing nodes and the consider also the dimension of the input patterns to be  $d = 2$ . The total number of variables for the neural network is calculated as  $n = (d + 2)H = 8$ . Also, we consider that the initial values of bounds are  $\vec{L} = (-2, -4, -1, 0, -4, -2, -1, -2)$  and  $\vec{R} = (2, 4, 1, 0, 4, 2, 1, 2)$ . Also, consider the chromosome

$$g = [10, 15, 2, 9, 16, 4, 15, 19, 21]$$

The production steps for this example are shown in Table 1.

**Table 1.** Production steps for the example considered here.

| CHROMOSOME              | ACTION      | EXPRESSION                                       |
|-------------------------|-------------|--|
| 10,15,2,9,16,4,15,19,21 | 10 mod 2=0  | <expr>,<expr>                                    |
| 15,2,9,16,4,15,19,21    | 15 mod 2 =1 | (<xlist>,<command>,<command>),<expr>             |
| 2,9,16,4,15,19,21       | 2%8=2       | (x3,<command>,<command>),<expr>                  |
| 9,16,4,15,19,21         | 9%7=2       | (x3,EXPAND,<command>),<expr>                     |
| 16,4,15,19,21           | 16%7=2      | (x3,EXPAND,EXPAND),<expr>                        |
| 4,15,19,21              | 4%2=0       | (x3,EXPAND,EXPAND),(<xlist>,<command>,<command>) |
| 15,19,21                | 15%8=7      | (x3,EXPAND,EXPAND),(x8,<command>,<command>)      |
| 19,21                   | 19%7=5      | (x3,EXPAND,EXPAND),(x8,SHRINK_EXPAND,<command>)  |
| 21                      | 21%7=0      | (x3,EXPAND,EXPAND),(x8,SHRINK_EXPAND,NOTHING)    |

The final outcome of these steps is the expression

$$p = (x_3, \text{EXPAND}, \text{EXPAND}), (x_8, \text{SHRINK\_EXPAND}, \text{NOTHING})$$

After the application of the program  $p$  to the vectors  $\vec{L}$  and  $\vec{R}$  the following vectors will be created:  $\vec{L} = (-2, -4, -2, 0, -4, -2, -1, -1)$ ,  $\vec{R} = (2, 4, 2, 0, 4, 2, 1, 2)$ . The algorithm used in this phase is a variation of the genetic algorithm, that incorporates the Grammatical Evolution procedure to create programs that will be applied on the bounds of the neural network parameters. The process used in this phase is a genetic algorithm, where the chromosomes consist of value intervals for the parameters of the artificial neural network. The fitness of each chromosome is considered as an interval, and it is calculated through the process outlined in Algorithm 1.

**Algorithm 1** Calculation of fitness value for every produced program  $p$ .

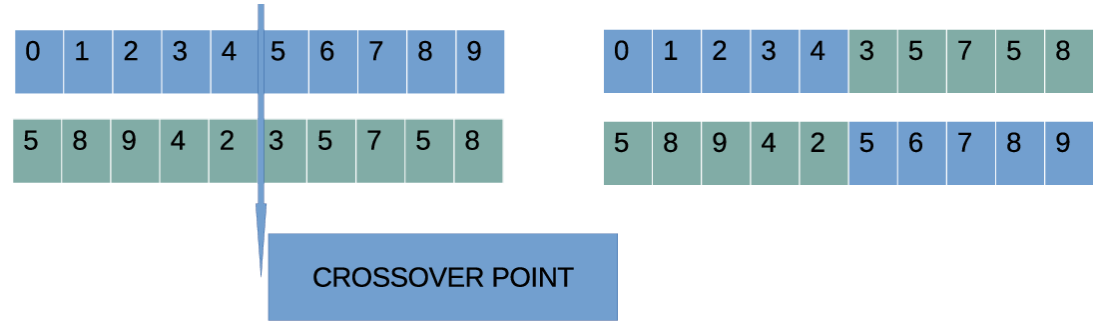
1. **Set** as  $N_s$  the number of random samples that will be used.
2. **Set**  $f_l = \infty$
3. **Set**  $f_u = -\infty$
4. **Apply** the program  $p$  to the bounds of the neural network to produce the new bounds  $\vec{L}_p, \vec{R}_p$ .
5. **For**  $i = 1, \dots, N_s$  **do**
  - (a) **Create** randomly the set  $w_p \in [\vec{L}_p, \vec{R}_p]$  as a new set of parameters for the neural network.
  - (b) **Calculate** the training error  $E_w = \sum_{k=1}^M (N(\vec{x}_k, \vec{w}_p) - y_k)^2$
  - (c) **If**  $E_w \leq f_l$  **then**  $f_l = E_w$
  - (d) **If**  $E_w \geq f_u$  **then**  $f_u = E_w$
6. **End For**
7. **Return** as fitness value the interval  $[f_l, f_u]$ .

The steps of the proposed algorithm for the second phase are the following:

1. **Initialization Step.**
  - (a) **Define** as  $N_c$  the population size and denote as  $N_g$  the maximum number of generations allowed.
  - (b) **Define** as  $p_s$  the selection rate with  $p_s \leq 1$ .
  - (c) **Define** as  $p_m$  the mutation rate with  $p_m \leq 1$ .
  - (d) **Define** as  $p_l$  the used local search rate with  $p_l \leq 1$
  - (e) **Set** as  $N_s$  the number of samples that will be used during the fitness calculation.
  - (f) **Set** as  $N_L$  the number of chromosomes that will participate in the local search procedure.

- (g) **Initialize** randomly the chromosomes  $g_i$ ,  $i = 1, \dots, N_c$ . Each chromosome is a set of randomly selected positive integers.
- (h) **Set**  $k = 0$ , the generation number.
2. **Fitness calculation step.**
- (a) **For** every chromosome  $g_i$ ,  $i = 1, \dots, N_c$  **do**
- i. **Create** the corresponding program  $p_i$  using the Grammatical Evolution procedure and the associated BNF grammar outlined in Figure 1.
- ii. **Calculate** the fitness value  $f_i$  for program  $p_i$  using the algorithm shown in Algorithm 1.
- (b) **End For**
3. **Genetic operations step.**
- (a) Application of selection. During the selection procedure the chromosome are firstly sorted according to their fitness values. The comparison of any pair of fitness values  $f_a = [a_1, a_2]$  and  $f_b = [b_1, b_2]$  is performed using the following operator:
- $$L^*(f_a, f_b) = \begin{cases} \text{TRUE}, & a_1 < b_1, \text{OR } (a_1 = b_1 \text{ AND } a_2 < b_2) \\ \text{FALSE}, & \text{OTHERWISE} \end{cases} \quad (5)$$
- The  $(1 - p_s) \times N_c$  chromosomes with the lowest fitness values according to the previous operator are copied intact to the next generation. The remaining of them are replaced by chromosomes produced through crossover and mutation.
- (b) Application of crossover. During this procedure, for every pair of produced chromosomes  $(\tilde{z}, \tilde{w})$  two chromosomes  $(z, w)$  are selected from the current population with the assistance of tournament selection. The new chromosomes are constructed using one - point crossover, that is graphically outlined in Figure 2.
- (c) Application of mutation. Every element of each chromosome is altered with probability  $p_m$ .
4. **Local search step.**
- (a) **For** each chromosome  $g_i$ ,  $i = 1, \dots, N_c$  **do**
- i. **Draw** a random number  $r \in [0, 1]$
- ii. **If**  $r \leq p_l$  then alter randomly chromosome  $g_i$  with the procedure described in Algorithm 2.
- (b) **End for**
5. **Termination check step.**
- (a) **Set**  $k = k + 1$
- (b) **If**  $k \geq N_g$  terminate else goto step 2.
- (c) **Report**  $g^*$  as the final outcome of this algorithm having the lowest fitness value among the chromosomes of the population. The application of this chromosome to the bounds of the neural network will produce the set of bounds  $\vec{L}^*$  and  $\vec{R}^*$ .





**Figure 2.** An example of one - point crossover mechanism, used in the Grammatical Evolution procedure.

---

**Algorithm 2** Local search procedure applied on a chromosome  $g$ .

---

1. **Set** as  $f_g$  the corresponding fitness value of chromosome  $g$ .
  2. **Create** randomly the set  $C = \{x_1, x_2, \dots, x_{N_l}\}$  of  $N_l$  chromosomes from the current population.
  3. **For**  $i = 1, \dots, N_{cr}$  **do**
    - (a) **Execute** the one - point crossover using chromosomes  $g$  and  $x_i$ . The one - point crossover will create two chromosomes:  $g_1$  and  $g_2$  and the related fitness values are  $f_{g_1}$  and  $f_{g_2}$ .
    - (b) **If**  $f_{g_1} \leq f_g$  **then**  $g = g_1$
    - (c) **else if**  $f_{g_2} \leq f_g$  **then**  $g = g_2$
    - (d) **End if**
  4. **End For**
- 

### 2.2.3. The final phase of the method

During the last phase of the proposed method, the bounds  $\vec{L}^*$  and  $\vec{R}^*$  produced by the previous phase are evaluated using a modified genetic algorithm, used to train the artificial neural network.

1. **Initialization step.**
  - (a) **Set** as  $N_c$  the number of chromosomes and as  $N_g$  the maximum number of generations.
  - (b) **Set** as  $p_s$  the selection rate and as  $p_m$  the mutation rate.
  - (c) **Initialize** randomly each chromosome  $g_i$ ,  $i = 1, \dots, N_c$  inside the bounds  $\vec{L}^*$  and  $\vec{R}^*$  of the previous procedure.
  - (d) **Set**  $k = 0$  the generation number.
2. **Fitness Calculation step.** For every chromosome  $g_i$ ,  $i = 1, \dots, N_c$  create the corresponding neural network  $N(g_i, x)$  and set the corresponding fitness value with the following formula:

$$f_i = \sum_{j=1}^M (N(g_i, x_j) - y_j)^2$$

3. **Application of genetic operations.**
  - (a) Application of selection. The chromosomes are sorted according to their fitness. The best  $(1 - p_s) \times N_c$  of them will be copied to the next generation, while the remaining ones will be substituted by chromosomes produced during crossover and mutation.



- (b) Application of crossover. For each pair of produced chromosomes  $(\tilde{z}, \tilde{w})$  two chromosomes  $z$  and  $w$  from the current population will be selected through tournament selection. The new chromosomes will be formed with a process similar with this of the crossover procedure of the first stage of the current work.
- (c) Application of mutation. Every element of each chromosome is altered with probability  $p_m$ .
- 4. **Termination check step.**
  - (a) **Set**  $k = k + 1$
  - (b) **If**  $k \leq N_G$  then goto step 2.
- 5. **Local search step.**
  - (a) **Obtain** the best chromosome  $g^*$  from the population and form the corresponding neural network  $N(g^*, x)$
  - (b) **Minimize** the associated training error

$$f^* = \sum_{j=1}^M (N(g_i^*, x_j) - y_j)^2$$

using a local search procedure. In the proposed method the BFGS variant of Powell [67] was used.

### 3. Results

The new training procedure for the construction of weights of neural networks was tested on a wide series of classification and regression datasets, found in the recent literature and in the following websites:

1. The UCI website, <https://archive.ics.uci.edu/> (accessed on 24 November 2024) [68]
2. The Keel website, <https://sci2s.ugr.es/keel/datasets.php> (accessed on 24 November 2024) [69].
3. The Statlib URL <ftp://lib.stat.cmu.edu/datasets/index.html> (accessed on 24 November 2024).

#### 3.1. Datasets

The following classification datasets were incorporated in the conducted experiments:

1. **Alcohol**. This dataset is related to experiments on alcohol consumption [70].
2. **Australian**, related to economic risk in bank transactions [71].
3. **Bands**, related to printing problems [72], with two distinct classes.
4. **Cleveland**, a medical dataset studied in many research papers [73,74].
5. **Circular** dataset, which is an artificial dataset.
6. **Dermatology**, a medical dataset related to dermatology problems [75] with 6 classes.
7. **Ecoli**, that is related to issues about proteins [76].
8. **Haberman**, a medical dataset used in the detection of breast cancer.
9. **Hayes-roth** dataset [77], a dataset with 3 classes.
10. **Heart**, a medical dataset about heart diseases [78] with two classes.
11. **HeartAttack**, a medical dataset related to the presence of heart diseases, with two classes.
12. **Hepatitis**, a dataset used for to detect the presence of hepatitis.
13. **Housevotes**, that uses data from the Congressional voting in USA [79].
14. **Ionosphere**, that used data from various measurements in the ionosphere [80,81].
15. **Liverdisorder**, which is a medical dataset [82,83] with two classes.
16. **Lymography** [84], which is a dataset with four distinct classes.
17. **Magic**, this dataset contains data from simulation regarding gamma particles [85].
18. **Mammographic**, a medical dataset used for the detection of breast cancer [86].

19. **Page Blocks** dataset [90], which is incorporated for the detection of page layout in documents. 307
20. **Parkinsons**, a dataset used to detect the presence of Parkinson's disease [87,88]. 308
21. **Pima**, a medical dataset that used to detect the presence of diabetes[89]. 309
22. **Phoneme**, where the purpose of this dataset is to distinguish between nasal and oral sounds. 310
23. **Popfailures**, a dataset related to climate measurements [91]. 311
24. **Regions2**, used detect issues in the liver from various liver biopsy images [92]. 312
25. **Ring**, a dataset related to some multivariate normal distributions. 313
26. **Saheart**, used to detect the presence of some heart diseases.[93]. 314
27. **Segment** dataset [94], which is used for image processing . 315
28. **Statheart**, a medical dataset about heart diseases. 316
29. **Spambase**, a dataset used for the detection of spam emails. 317
30. **Spiral**, which is an artificial dataset. 318
31. **Student**, a dataset related to some experiments in schools [95]. 319
32. **Tae**, this dataset is related to evaluations of teaching performance. 320
33. **Transfusion**, which is a medical dataset [96]. 321
34. **Wdbc**, a medical dataset related to the detection of breast cancer [97,98]. 322
35. **Wine**, a dataset related to the quality of wines [99,100]. 323
36. **EEG** dataset, which is a medical dataset related to EEG measurements[101,102]. From the original dataset the following cases were obtained: Z\_F\_S, ZO\_NF\_S, Z\_O\_N\_F\_S and ZONF\_S. 324
37. **Zoo**, that used to predict the class of some animals [103] . 325

Also the following regression datasets were used in the conducted experiments: 326

1. **Abalone**, a dataset regarding the prediction of the age of abalones [104]. 327
2. **Airfoil**, a dataset derived from NASA [105] with 5 features. 328
3. **Auto**, a dataset used for the prediction of fuel consumption in cars. 329
4. **BK**, related to basketball games. The dataset has 4 features. 330
5. **BL**, a dataset used in some electricity experiments and it contains 7 features. 331
6. **Baseball**, a dataset with 16 features used for the estimation of the income of baseball players. 332
7. **Concrete**, a dataset with 8 features and it was used in civil engineering [106]. 333
8. **DEE**, a dataset with 6 features, used in the prediction of electricity prices. 334
9. **EU**, a dataset originated in the STATLIB website. 335
10. **FA**, that contains related to body fat. 336
11. **Friedman**, a synthetic dataset used in various benchmarks [107]. 337
12. **FY**, this dataset used to measure the longevity of fruit flies. 338
13. **HO**, a dataset founded in the STATLIB repository with 13 features. 339
14. **Housing**, used to predict the price of houses [108]. 340
15. **Laser**, which is a dataset with 4 features and it has been used in various laser experiments. 341
16. **LW**, a dataset with 9 features used to measure the weight of babes. 342
17. **Mortgage**, an economic dataset with 15 features. 343
18. **Plastic**, a dataset related to the detection of pressure on plastics. 344
19. **PL**, a dataset with 2 features founded in the STATLIB repository. 345
20. **RealEstate**, a dataset found in the STATLIB website with 5 features. 346
21. **Quake**, a dataset used in earthquake measurements with 3 features. 347
22. **SN**, a dataset that provides experimental measurements related to trellising and pruning, with 11 features. 348
23. **Stock**, a dataset with 9 features for the prediction of the prices of various stocks. 349
24. **Treasury**, an economic dataset with 15 features. 350
25. **TZ**, derived from the STATLIB website and it has 60 features. 351
26. **VE** dataset, derived from the STALIB repository. 352

### 3.2. Experimental results

The algorithm used in the current work was coded in ANSI C++. All the runs were performed 30 times using different seeds for the random generator each time. The validation of the experiments was performed using the 10 - fold validation technique. The experiments were carried out on an AMD Ryzen 5950X with 128GB of RAM. The used operating system was Debian Linux. In Table 2 the values used in all experimental parameters are listed.

**Table 2.** The values used for all experimental parameters of the proposed method.

| PARAMETER | MEANING                                   | VALUE |
|-----------|---|-------|
| $N_g$     | Number of generations allowed             | 200   |
| $N_c$     | Number of chromosomes                     | 500   |
| $N_s$     | Number of samples                         | 50    |
| $N_l$     | Number of chromosome used in local search | 20    |
| $p_s$     | Selection rate                            | 0.1   |
| $p_m$     | Mutation rate                             | 0.05  |
| $p_l$     | Local search rate                         | 0.001 |
| $H$       | Number of processing nodes                | 10    |

The table 3 outlines the experimental results for the classification datasets and in Table 4 the experimental results for the regression datasets are listed. The following applies to these tables:

1. The column DATASET represents the used dataset.
2. The column ADAM denotes the application of the ADAM optimizer to a neural network with  $H = 10$  processing nodes.
3. The column BFGS stands for the application of the BFGS variant of Powell to a neural network with  $H = 10$  processing nodes.
4. The column GENETIC stands for the usage of a genetic algorithm on a neural network with  $H = 10$  processing nodes. The values for the parameters of this genetic algorithm are shown in Table 2.
5. The column GNN stands for the incorporation of the proposed method to a neural network with  $H = 10$  processing nodes with parameter settings outlined in Table 2.
6. The row denoted as AVERAGE represents the average classification or regression error for all datasets.

**Table 3.** Experimental results for the classification datasets. Numbers in cells denote the average classification error as calculated on the corresponding test set.

| DATASET        | ADAM          | BFGS          | GENETIC       | GNN           |
|----------------|---------------|---------------|---------------|---------------|
| ALCOHOL        | 57.78%        | 41.50%        | 39.57%        | 16.63%        |
| AUSTRALIAN     | 35.65%        | 38.13%        | 32.21%        | 14.69%        |
| BALANCE        | 12.27%        | 8.64%         | 8.97%         | 7.98%         |
| BANDS          | 36.92%        | 36.67%        | 34.92%        | 34.38%        |
| CLEVELAND      | 67.55%        | 77.55%        | 51.60%        | 44.89%        |
| CIRCULAR       | 19.95%        | 6.08%         | 5.99%         | 4.68%         |
| DERMATOLOGY    | 26.14%        | 52.92%        | 30.58%        | 11.79%        |
| ECOLI          | 64.43%        | 69.52%        | 54.67%        | 51.42%        |
| HABERMAN       | 29.00%        | 29.34%        | 28.66%        | 27.01%        |
| HAYES-ROTH     | 59.70%        | 37.33%        | 56.18%        | 34.99%        |
| HEART          | 38.53%        | 39.44%        | 28.34%        | 14.83%        |
| HEARTATTACK    | 45.55%        | 46.67%        | 29.03%        | 19.23%        |
| HEPATITIS      | 68.13%        | 72.47%        | 62.12%        | 56.67%        |
| HOUSEVOTES     | 7.48%         | 7.13%         | 6.62%         | 6.23%         |
| IONOSPHERE     | 16.64%        | 15.29%        | 15.14%        | 15.71%        |
| LIVERDISORDER  | 41.53%        | 42.59%        | 31.11%        | 31.89%        |
| LYMOGRAPHY     | 39.79%        | 35.43%        | 28.42%        | 19.26%        |
| MAGIC          | 40.55%        | 17.30%        | 21.75%        | 15.67%        |
| MAMMOGRAPHIC   | 46.25%        | 17.24%        | 19.88%        | 16.33%        |
| PARKINSONS     | 24.06%        | 27.58%        | 18.05%        | 11.53%        |
| PAGE BLOCKS    | 34.27%        | 8.49%         | 6.84%         | 7.66%         |
| PHONEME        | 29.43%        | 15.58%        | 15.55%        | 16.59%        |
| PIMA           | 34.85%        | 35.59%        | 32.19%        | 25.81%        |
| POPFAILURES    | 5.18%         | 5.24%         | 5.94%         | 5.25%         |
| REGIONS2       | 29.85%        | 36.28%        | 29.39%        | 29.24%        |
| RING           | 28.80%        | 29.44%        | 28.80%        | 21.68%        |
| SAHEART        | 34.04%        | 37.48%        | 34.86%        | 29.92%        |
| SEGMENT        | 49.75%        | 68.97%        | 57.72%        | 31.21%        |
| SONAR          | 30.33%        | 25.85%        | 22.40%        | 22.80%        |
| SPAMBASE       | 48.05%        | 18.16%        | 6.37%         | 6.17%         |
| SPIRAL         | 47.67%        | 47.99%        | 48.66%        | 41.97%        |
| STATHEART      | 44.04%        | 39.65%        | 27.25%        | 18.20%        |
| STUDENT        | 5.13%         | 7.14%         | 5.61%         | 4.98%         |
| TAE            | 60.20%        | 51.58%        | 49.84%        | 48.89%        |
| TRANSFUSION    | 25.68%        | 25.84%        | 24.87%        | 23.34%        |
| WDBC           | 35.35%        | 29.91%        | 8.56%         | 3.70%         |
| WINE           | 29.40%        | 59.71%        | 19.20%        | 9.14%         |
| Z_F_S          | 47.81%        | 39.37%        | 10.73%        | 8.35%         |
| Z_O_N_F_S      | 78.79%        | 65.67%        | 64.81%        | 60.83%        |
| ZO_NF_S        | 47.43%        | 43.04%        | 21.54%        | 6.29%         |
| ZONF_S         | 11.99%        | 15.62%        | 4.36%         | 2.77%         |
| ZOO            | 14.13%        | 10.70%        | 9.50%         | 6.03%         |
| <b>AVERAGE</b> | <b>36.91%</b> | <b>34.19%</b> | <b>27.11%</b> | <b>21.11%</b> |

**Table 4.** Experimental results for the series of regression datasets. The numbers in cells correspond to the average regression error of each test set.

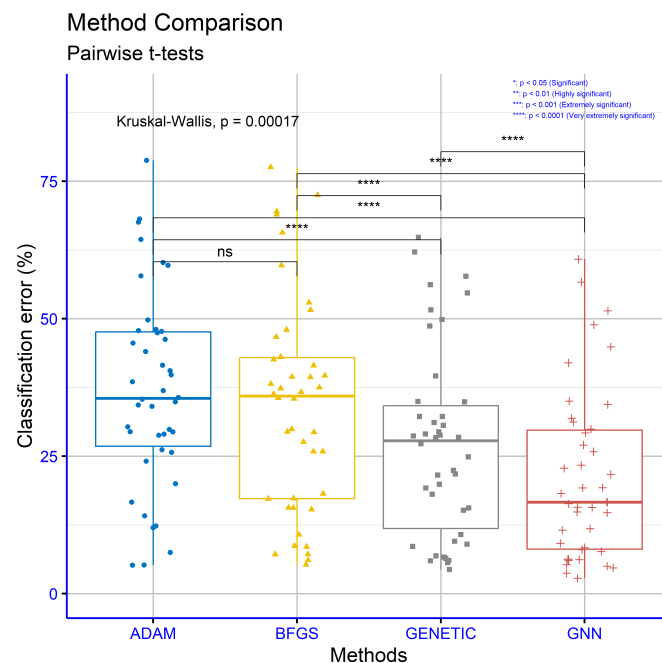
| DATASET        | ADAM          | BFGS          | GENETIC       | GNN           |
|----------------|---------------|---------------|---------------|---------------|
| ABALONE        | 4.30          | 5.69          | 7.17          | 4.32          |
| AIRFOIL        | 0.005         | 0.003         | 0.003         | 0.001         |
| AUTO           | 70.84         | 60.97         | 12.18         | 8.69          |
| BK             | 0.0252        | 0.28          | 0.027         | 0.039         |
| BL             | 0.622         | 2.55          | 5.74          | 0.013         |
| BASEBALL       | 77.90         | 119.63        | 103.60        | 47.25         |
| CONCRETE       | 0.078         | 0.066         | 0.0099        | 0.004         |
| DEE            | 0.63          | 2.36          | 1.013         | 0.212         |
| EU             | 604.253       | 608.99        | 252.97        | 148.76        |
| FA             | 0.048         | 0.426         | 0.025         | 0.097         |
| FRIEDMAN       | 22.896        | 1.263         | 1.249         | 1.573         |
| FY             | 0.038         | 0.22          | 0.65          | 0.121         |
| HO             | 0.035         | 0.62          | 2.78          | 0.05          |
| HOUSING        | 80.998        | 97.38         | 43.26         | 14.79         |
| LASER          | 0.03          | 0.015         | 0.59          | 0.0029        |
| LW             | 0.028         | 2.98          | 1.90          | 0.313         |
| MORTGAGE       | 9.24          | 8.23          | 2.41          | 0.0222        |
| PLASTIC        | 11.713        | 20.323        | 2.791         | 2.186         |
| PY             | 0.321         | 0.578         | 0.56          | 0.111         |
| PL             | 0.117         | 0.29          | 0.28          | 0.0205        |
| REALESTATE     | 186.778       | 170.28        | 81.19         | 70.928        |
| QUAKE          | 0.07          | 0.42          | 0.04          | 0.04          |
| SN             | 0.026         | 0.40          | 2.95          | 0.025         |
| STOCK          | 180.893       | 302.43        | 3.881         | 2.986         |
| TREASURY       | 11.16         | 9.91          | 2.929         | 0.0633        |
| TZ             | 0.07          | 3.27          | 5.38          | 0.652         |
| VE             | 0.359         | 1.92          | 2.43          | 0.0493        |
| <b>AVERAGE</b> | <b>46.795</b> | <b>52.648</b> | <b>19.926</b> | <b>11.234</b> |

The table 3 illustrates the performance of four neural network training methods—ADAM, BFGS, GENETIC, and GNN—across a variety of datasets. Lower error rates indicate better performance, and based on the average error rates, GNN emerges as the most effective method, with an average error rate of 21.1%. It is followed by GENETIC, with an average error of 27.1%, while BFGS and ADAM exhibit higher error rates, with averages of 34.1% and 36.9%, respectively. Delving deeper, GNN consistently outperforms the other methods across many datasets, achieving notably low error rates. For example, it records an error of just 2.77% on the ZONF\_S dataset and 6.17% on SPAMBASE, demonstrating its robustness even in challenging cases. GENETIC also delivers competitive performance, with low error rates in datasets such as SPIRAL (48.66%) and Z\_O\_N\_F\_S (64.81%). However, in some datasets, like SPAMBASE (6.37%), its performance does not match that of GNN. BFGS, while less effective on average compared to GNN and GENETIC, excels in specific datasets. For instance, it achieves remarkably low error rates on CLEVELAND (77.55%) and SEGMENT (68.97%), outperforming other methods in these cases. However, its overall performance is less consistent across the full spectrum of datasets. ADAM, despite its stability and lack of significant deviations, has the highest average error rate (36.9%) among the methods. It does not significantly outperform in any particular dataset. For instance, in the HEPATITIS dataset, it records an error of 68.13%, which is notably higher than GNN's (56.67%) and GENETIC's (62.12%). In summary, GNN stands out as the most effective method, achieving the lowest average error rate and excelling across diverse datasets. GENETIC ranks as the second-best option, demonstrating stable performance with relatively low error rates. BFGS, while generally less competitive, performs exceptionally well in certain datasets,

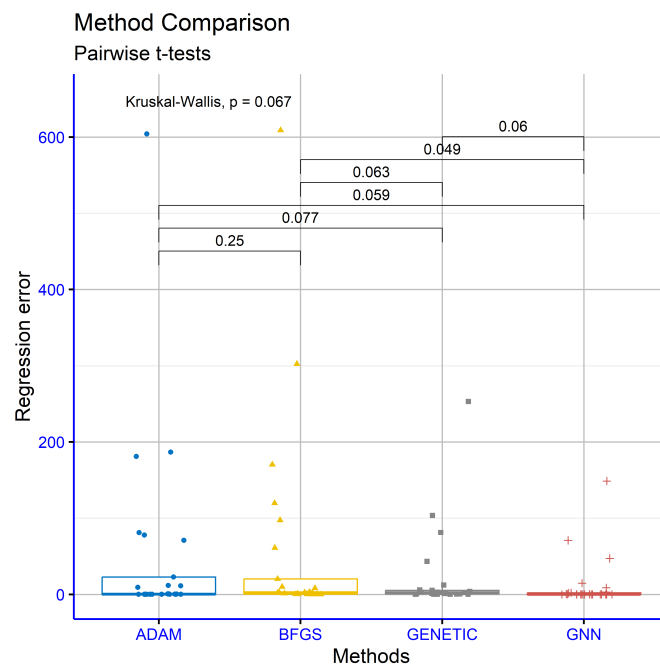
making it suitable for specific applications. Finally, ADAM, although consistent, is the least competitive overall, with higher average error rates compared to the other methods.

The table 4 presents the regression error achieved by four training methods—ADAM, BFGS, GENETIC, and GNN—on a variety of datasets. Lower error values indicate better performance. A comparison of the methods reveals that GNN consistently delivers the lowest regression error on average, making it the most effective overall. GENETIC follows with relatively competitive results, while BFGS and ADAM exhibit higher error rates across most datasets. A closer look at the results shows that GNN achieves remarkable performance on several datasets. For instance, in the AIRFOIL dataset, it records the lowest error of 0.001, outperforming all other methods. Similarly, it achieves an error of just 0.004 in CONCRETE, 0.013 in BL, and 0.0222 in MORTGAGE, demonstrating its strong capability across various scenarios. The GENETIC method also performs well in certain datasets, such as AUTO (12.18) and PLASTIC (2.791), though it falls short of GNN in most cases. BFGS exhibits decent performance in specific datasets but is generally less effective than GNN and GENETIC. For example, in FRIEDMAN, it achieves a competitive error of 1.263, but it struggles in datasets such as STOCK (302.43) and BASEBALL (119.63). ADAM, while consistent in its results, records the highest errors in many cases, such as REALESTATE (186.778) and EU (604.253), indicating weaker performance relative to the other methods. Overall, the results highlight the superiority of GNN, which not only achieves the lowest regression error on average but also demonstrates robustness and reliability across a wide range of datasets. GENETIC ranks as the second-best approach, delivering solid performance in key datasets. BFGS, while showing potential in certain tasks, is less competitive on average, and ADAM, despite its consistency, struggles to match the effectiveness of the other methods in reducing regression error.

The statistical comparison between the used methods for the classification datasets is depicted in Figure 3 while for the regression datasets is graphically outlined in Figure 4.



**Figure 3.** Statistical comparison between the used methods for the classification datasets.



**Figure 4.** Statistical comparison between the used methods for the regression datasets.

Figure 3 highlights significant differences between the methods ADAM, BFGS, GENETIC, and GNN in terms of error. The Kruskal-Wallis test, which is used to assess whether there are overall differences among more than two groups, yielded a p-value of 0.00017. This extremely small value, which is below the standard significance level, indicates that at least one method differs significantly from the others. Based on this result, it was necessary to perform pairwise comparisons to determine which methods exhibit statistically significant differences from each other. The comparison between ADAM and BFGS resulted in a p-value of 0.17, which is above the significance threshold. This suggests that there is no statistically significant difference between these two methods, and their performance in terms of error is comparable. In contrast, the comparison between ADAM and GENETIC yielded a p-value of  $9.9 \times 10^{-7}$ , a very small value that indicates a statistically significant difference, with GENETIC outperforming ADAM. Similarly, the comparison of ADAM with GNN produced a p-value of  $2.1 \times 10^{-10}$ , demonstrating a significant difference and showing that GNN performs better than ADAM. The comparison between BFGS and GENETIC yielded a p-value of  $8.6 \times 10^{-5}$ , highlighting that GENETIC is significantly superior to BFGS. Furthermore, the comparison of BFGS with GNN resulted in a p-value of  $1.7 \times 10^{-7}$ , which shows that GNN is clearly better than BFGS. Finally, the comparison between GENETIC and GNN yielded a p-value of  $1.9 \times 10^{-6}$ , indicating a statistically significant difference, with GNN outperforming GENETIC. In summary, the results reveal a clear hierarchy in the performance of the methods. GNN emerges as the method with the lowest mean error, showing statistically significant superiority over all other methods. GENETIC ranks second, as it significantly outperforms ADAM and BFGS but falls short of GNN. On the other hand, ADAM and BFGS do not exhibit a statistically significant difference from each other and appear to be on the same level, with higher errors compared to the other two methods. These results underscore the clear advantage of GNN over the other methods for the given problem.

Figure 4 explores potential differences between the methods ADAM, BFGS, GENETIC, and GNN regarding regression error. The Kruskal-Wallis test, a non-parametric method used to assess whether there are overall differences among more than two groups, produced a p-value of 0.067. While this value is slightly above the standard significance level, it is very close, suggesting that there may be underlying differences between the methods worth further investigation. Pairwise comparisons revealed additional insights. The comparison



between ADAM and BFGS yielded a p-value of 0.25, which is well above the significance threshold, indicating no significant difference between these methods. However, the comparison between ADAM and GENETIC resulted in a p-value of 0.077, which, while not strictly significant, is notably close to the 0.05 threshold, hinting at a potential difference. Similarly, the comparison of ADAM with GNN produced a p-value of 0.059, again close to the significance level, suggesting a possible distinction between these methods. The comparison between BFGS and GENETIC yielded a p-value of 0.063, further emphasizing a borderline result that approaches significance. For BFGS versus GNN, the p-value was 0.049, just below the 0.05 threshold, indicating a statistically significant difference, with GNN showing better performance. Finally, the comparison between GENETIC and GNN resulted in a p-value of 0.06, which, although slightly above the significance level, remains close enough to warrant attention. In summary, while the overall Kruskal-Wallis test did not reach the conventional significance level, the p-values from the pairwise comparisons suggest that certain methods, particularly GNN, may exhibit better performance compared to others. These borderline p-values, close to the 0.05 threshold, highlight the potential for meaningful differences, particularly between GNN and other methods, and suggest the need for further investigation or larger sample sizes to confirm these trends.

#### 4. Conclusions

The proposed method utilizes Grammatical Evolution for training artificial neural networks, leveraging BNF grammars to construct and optimize the network's parameters. The methodology is structured into three phases. In the first phase, an initial estimation of the network parameters is performed through an optimization process, such as genetic algorithms. These provide the necessary flexibility and allow adaptation to the specific characteristics of each dataset. In the second phase, the BNF rules of Grammatical Evolution are employed to further refine the parameter bounds. Finally, in the third phase, the network is trained within these optimized bounds. The results indicate that the proposed method outperforms other approaches, such as ADAM or standard genetic algorithms, in both classification and regression problems. The errors recorded using the GE-based method are significantly lower than those of other methods. Notably, the reduction in errors demonstrates the method's enhanced parameter adaptation process and its ability to avoid overfitting.

Despite the impressive results, there is room for further improvements and extensions to the method. A significant proposal is the integration of parallel processing during the genetic search phase, which could dramatically reduce the time required for the training process. Additionally, allowing the method to extend and shrink parameter bounds with dynamic rates instead of a fixed 50% value could enable a more adaptive process tailored to the specifics of each dataset. Another suggestion involves improving the local search optimization during the second phase. Employing more efficient local search algorithms could further reduce training errors and enhance the method's performance. Simultaneously, broadening the application of the method to more datasets is crucial, both to evaluate its generalizability and robustness and to derive insights into its adaptability across various data types. Moreover, incorporating automated mechanisms for selecting the initial parameter intervals based on the properties of each dataset would be beneficial. Such a feature could further enhance the method's performance and flexibility. Overall, the proposed methodology is a promising approach for training neural networks. However, integrating the aforementioned improvements could make it even more effective and widely applicable.

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