

# Housekeeping

Assignment solutions 1 are now available.

Assignment 3 is available and is due at the beginning of your support class in week 5 (27 – 31 Mar).

Tutorial sheet 3 and tutorial solutions 2 are also available.

# MAT1830

Lecture 10: Induction and well-ordering

[illegible]

Let  $a_0, a_1, a_2, a_3, \dots$  be a sequence defined by

$$a_0 = 2, \quad a_1 = 6, \quad a_n = a_{n-1} + a_{n-2} \text{ for all } n \geq 2.$$

So it goes 2, 6, 8, 14, 22, 36, 58,  $\dots$

**Question** Prove that  $a_n$  is even for all  $n \geq 0$ .

**Proof** Let  $P(n)$  be the statement " $a_n$  is even".

**Base Steps.** Note that  $a_0 = 2$  and  $a_1 = 6$  are even, so  $P(0)$  and  $P(1)$  are true.

**Induction Step.** Suppose that  $P(0), P(1), \dots, P(k)$  are true for some integer  $k \geq 1$ . This means that  $a_0, a_1, \dots, a_k$  are all even.

We want to prove that  $P(k+1)$  is true. We need to show that  $a_{k+1}$  is even.

$$a_{k+1} = a_k + a_{k-1}$$

$a_k$  is even because  $P(k)$  is true and  $a_{k-1}$  is even because  $P(k-1)$  is true.

So  $a_{k+1}$  is even.

Thus  $P(n)$  is true for all  $n \geq 0$ .

In the previous lecture we were able to prove a property  $P$  holds for  $0, 1, 2, \dots$  as follows:

*Base step.* Prove  $P(0)$

*Induction step.* Prove  $P(k) \Rightarrow P(k + 1)$  for each natural number  $k$ .

This is sufficient to prove that  $P(n)$  holds for all natural numbers  $n$ , but it may be difficult to prove that  $P(k + 1)$  follows from  $P(k)$ . It may in fact be easier to prove the induction step

$$P(0) \wedge P(1) \wedge \dots \wedge P(k) \Rightarrow P(k + 1).$$

That is, it may help to assume  $P$  holds for *all* numbers before  $k + 1$ . Induction with this style of induction step is sometimes called the *strong form* of mathematical induction.

**Example 1.** Prove that, for each integer  $n \geq 2$ ,  $n$  has a prime factorisation.

**Solution** Let  $P(n)$  be the statement “ $n$  has a prime factorisation”.

**Base step.** 2 is prime. So just ‘2’ is a prime factorisation for 2.

**Induction step.** Suppose that  $P(2), P(3), \dots, P(k)$  are true for some integer  $k \geq 2$ . This means that  $2, 3, \dots, k$  all have prime factorisations.

We want to prove that  $P(k+1)$  is true. We need to show that  $k+1$  has a prime factorisation.

If  $k+1$  is prime, then just ‘ $k+1$ ’ is a prime factorisation for  $k+1$ .

If  $k+1$  is not prime, then  $k+1 = i \times j$  for integers  $i, j$  such that  $2 \leq i, j \leq k$ .

Because  $P(i)$  is true  $i$  has a prime factorisation.

Because  $P(j)$  is true  $j$  has a prime factorisation.

So  $i \times j$  has a prime factorisation. (Just combine the prime factorisations of  $i$  and  $j$ .)

So  $P(k+1)$  is true.

This proves that  $P(n)$  is true for each integer  $n \geq 2$ .

**Question 10.1** Which of the following is likely to require strong induction for its proof.

$$1 + a + a^2 + \cdots + a^n = \frac{a^{n+1}-1}{a-1}$$

No - normal induction is enough. This is very similar to Q4 from Assignment 3.

$$\neg(p_1 \vee p_2 \vee \cdots \vee p_n) \equiv \neg p_1 \wedge \neg p_2 \wedge \cdots \wedge \neg p_n$$

No - normal induction is enough.  $\neg(p_1) \equiv \neg p_1$  and if we assume the statement is true for  $n = k$  then

$$\begin{aligned} \neg(p_1 \vee p_2 \vee \cdots \vee p_k \vee p_{k+1}) &\equiv \neg((p_1 \vee p_2 \vee \cdots \vee p_k) \vee p_{k+1}) \\ &\equiv \neg(p_1 \vee p_2 \vee \cdots \vee p_k) \wedge \neg p_{k+1} \\ &\equiv (\neg p_1 \wedge \neg p_2 \wedge \cdots \wedge \neg p_k) \wedge \neg p_{k+1} \\ &\equiv \neg p_1 \wedge \neg p_2 \wedge \cdots \wedge \neg p_k \wedge \neg p_{k+1} \end{aligned}$$

as required.



Prove that the every integer in the sequence  $a_0, a_1, a_2, a_3, \dots$  defined by

$$a_0 = 2, \quad a_1 = 6, \quad a_n = a_{n-1} + a_{n-2} \text{ for all } n \geq 2$$

is even.

Yes. We just saw it does.

**FALSE INDUCTIVE  
PROOF COMICS**



All dinosaurs are the  
same colour!



Base case: any one dinosaur is the same colour as itself.



Of course.

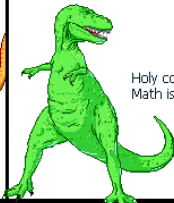
Assume that any group  
of  $n$  dinosaurs is the same  
colour. Consider a group of  
 $n+1$  dinosaurs. The first  $n$   
(dino 1 to  $n$ ) are all the same  
colour.



And the LAST  $n$  (dino 2 to  $n+1$ )  
must all be the same colour!  
So all  $n+1$  are the  
same colour.



And by induction, all dinosaurs are the same colour!



Holy cow!  
Math is **BROKEN**.



No, WAIT! There is a lesson here!



Hey guys! All  
dinosaurs are the  
**SAME COLOUR!**

## Examples for “Example 2”

$$14 = 8 + 4 + 2 = 2^3 + 2^2 + 2^1.$$

$$34 = 32 + 2 = 2^5 + 2^1.$$

NOT  $14 = 4 + 4 + 4 + 1 + 1$ . (Not *distinct*.)

**Example 2.** Every integer  $\geq 1$  is a sum of distinct powers of 2.

The idea behind this proof is to repeatedly subtract the largest possible power of 2. We illustrate with the number 27.

$$\begin{aligned}27 - \text{largest power of 2 less than 27} \\ = 27 - 16 = 11\end{aligned}$$

$$\begin{aligned}11 - \text{largest power of 2 less than 11} \\ = 11 - 8 = 3\end{aligned}$$

$$\begin{aligned}3 - \text{largest power of 2 less than 3} \\ = 3 - 2 = 1\end{aligned}$$

$$\text{Hence } 27 = 16 + 8 + 2 + 1 = 2^4 + 2^3 + 2^1 + 2^0.$$

(It is only interesting to find *distinct* powers of 2, because of course each integer  $\geq 1$  is a sum of 1s, and  $1 = 2^0$ .)

## More examples for “Example 2”

**$k + 1 = 14$ :**

Assume that  $1, \dots, 13$  can be written as a sum of distinct powers of 2.

Subtract the largest power of 2 which is at most 14:  $14 - 2^3 = 6$

By assumption, 6 can be written as a sum of distinct powers of 2:  $6 = 2^2 + 2^1$

So  $14 = 2^3 + 6 = 2^3 + 2^2 + 2^1$ .

**$k + 1 = 81$ :**

Assume that  $1, \dots, 80$  can be written as a sum of distinct powers of 2.

Subtract the largest power of 2 which is at most 81:  $81 - 2^6 = 17$

By assumption, 17 can be written as a sum of distinct powers of 2:  $17 = 2^4 + 2^0$

So  $81 = 2^6 + 17 = 2^6 + 2^4 + 2^0$ .

**$k + 1 = 128$ :**

Assume that  $1, \dots, 127$  can be written as a sum of distinct powers of 2.

Subtract the largest power of 2 which is at most 128:  $128 - 2^7 = 0$

So  $128 = 2^7$ .

**Example 2.** Prove that, for each integer  $n \geq 1$ ,  $n$  can be written as a sum of distinct powers of 2.

**Solution** Let  $P(n)$  be the statement “ $n$  can be written as a sum of distinct powers of 2”.

**Base step.**  $1 = 2^0$ , so 1 is a sum of (one) power of 2.

**Induction step.** Suppose that  $P(1), P(2), \dots, P(k)$  are true for some integer  $k \geq 1$ . This means that  $1, 2, \dots, k$  can each be written as a sum of distinct powers of 2.

We want to prove that  $P(k+1)$  is true. We need to show that  $k+1$  can be written as a sum of distinct powers of 2.

If  $k+1$  is a power of 2, then we are finished.

If not, let  $2^j$  be the greatest power of 2 less than  $k+1$ .

(This means that  $2^j > \frac{1}{2}(k+1)$ .)

Let  $i = (k+1) - 2^j$ . Note that  $1 \leq i < 2^j$ .

Because  $P(i)$  is true,  $i$  can be written as a sum of distinct powers of 2.

(Note that each power of 2 in this sum is smaller than  $2^j$  because  $i < 2^j$ .)

So  $k+1 = 2^j + i$  can be written as a sum of distinct powers of 2.

So  $P(k+1)$  is true.

This proves that  $P(n)$  is true for each integer  $n \geq 2$ .

**Question 10.2** What else tells you every integer is a sum of distinct powers of 2?

The fact that every integer can be written in binary is equivalent to saying every integer is a sum of distinct powers of 2.

**Question 10.3** Is every integer  $\geq 1$  a sum of distinct powers of 3?

No. The powers of three are 1, 3, 9, 27, .... So, for example, 2 is not and 7 is not.

We can write every integer  $\geq 1$  as

$$a_0 3^0 + a_1 3^1 + a_2 3^2 + a_3 3^3 + \dots$$

where  $a_0, a_1, a_2, a_3, \dots$  are all in  $\{0, 1, 2\}$ , however.



## 10.2 Well-ordering and descent

Induction expresses the fact that each natural number  $n$  can be reached by starting at 0 and going upwards (e.g. adding 1) a finite number of times.

Equivalent facts are that it is only a finite number of steps *downwards* from any natural number to 0, that *any descending sequence of natural numbers is finite*, and that *any set of natural numbers has a least member*.

This property is called *well-ordering* of the natural numbers. It is often convenient to arrange a proof to “work downwards” and appeal to well-ordering by saying that the process of working downwards must eventually stop.

Such proofs are equivalent to induction, though they may be called “infinite descent” or some such name.



### 10.3 Proofs by descent

**Example 1.** Existence of a prime divisor

If  $n$  is any natural number  $\geq 2$ , then  $n$  has a prime divisor.

**Proof.** If  $n$  is prime, then it is a prime divisor of itself. If not, let  $n_1 < n$  be a divisor of  $n$ .

If  $n_1$  is prime, it is a prime divisor of  $n$ . If not, let  $n_2 < n_1$  be a divisor of  $n_1$  (and hence of  $n$ ).

If  $n_2$  is prime, it is a prime divisor of  $n$ . If not, let  $n_3 < n_2$  be a divisor of  $n_2$ , etc.

The sequence  $n > n_1 > n_2 > n_3 > \cdots$  must eventually terminate, and this means we find a prime divisor of  $n$ .

**Question** Is every descending sequence of positive rational numbers finite?

No. For example  $\frac{1}{1}, \frac{1}{2}, \frac{1}{3}, \frac{1}{4}, \frac{1}{5}, \dots$  is an infinite sequence.

**Example 2.** Irrationality of  $\sqrt{2}$

Suppose that  $\sqrt{2} = m/n$  for natural numbers  $m$  and  $n$ . Since the square of an odd number is odd, we can argue as follows

$$\sqrt{2} = m/n$$

$$\Rightarrow 2 = m^2/n^2$$

squaring both sides

$$\Rightarrow m^2 = 2n^2$$

$$\Rightarrow m^2 \text{ is even}$$

$$\Rightarrow m \text{ is even}$$

since the square of an odd number is odd

$$\Rightarrow m = 2m_1 \text{ say}$$

$$\Rightarrow 2n^2 = m^2 = 4m_1^2$$

$$\Rightarrow n^2 = 2m_1^2$$

$$\Rightarrow n \text{ is even, } = 2n_1 \text{ say}$$

But then  $\sqrt{2} = m_1/n_1$ , and we can repeat the argument to show that  $m_1$  and  $n_1$  are both even, so  $m_1 = 2m_2$  and  $n_1 = 2n_2$ , and so on.

Since the argument can be repeated indefinitely, we get an *infinite* descending sequence of natural numbers

$$m > m_1 > m_2 > \cdots$$

which is impossible.

Hence there are no natural numbers  $m$  and  $n$  with  $\sqrt{2} = m/n$ .