Monash University
Faculty of Information Technology

# Lecture 2 I Polynomial-time reductions

Slides by Graham Farr (2012)

FIT2014 Theory of Computation

#### Overview

- Comparing languages
- Definition of polynomial time reduction
- Examples
- Properties

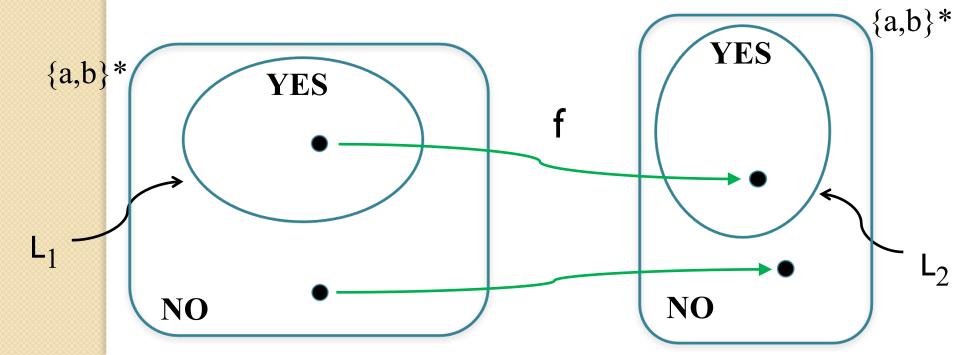
#### Polynomial-time reductions

- Some languages are easier to decide than others.
- How to compare languages?
- Could we use time complexity?
  - seldom known exactly; usually we just know an upper bound (e.g., big-O)

# Polynomial-time reductions

A polynomial-time reduction from  $L_1$  to  $L_2$  is a polynomial-time computable function

$$f: \{a,b\}^* \rightarrow \{a,b\}^*$$
  
such that, for all x in  $\{a,b\}^*$ ,  
 $x \in L_1$  if and only if  $f(x) \in L_2$ 



# Polynomial-time reductions

- Polynomial-time reductions are also called:
  - polynomial-time mapping reductions
  - polynomial-time many-one reductions
  - polynomial transformations
  - Karp reductions

• If there is a polynomial-time reduction from  $L_1$  to  $L_2$ , then we write  $L_1 \leq_P L_2$ .

INDEPENDENT SET ≤<sub>P</sub> CLIQUE

Complement  $\overline{G}$  of G: edges  $\longleftrightarrow$  non-edges Independent sets in G correspond to cliques in  $\overline{G}$ .

G has an independent set of size  $\geq$  k if and only if  $\overline{G}$  has a clique of size  $\geq$  k.

So:  $(G, k) \in INDEPENDENT SET$  if and only if  $(\overline{G}, k) \in CLIQUE$ .

Construction of  $(\overline{G}, k)$  from (G, k) is polynomial time.

So  $(G, k) \mapsto (\overline{G}, k)$  is a polynomial-time reduction from INDEPENDENT SET to CLIQUE.

VERTEX COVER ≤<sub>P</sub> INDEPENDENT SET

If G is a graph and X is a subset of V(G), then X is a vertex cover of G if and only if

 $V(G) \setminus X$  is an independent set of G.

So:  $(G, k) \subseteq VERTEX COVER$  if and only if  $(G, n-k) \subseteq INDEPENDENT SET$ .

The construction is polynomial time.

So the function  $(G, k) \mapsto (G, n-k)$  is a polynomial-time reduction.

2-SAT  $\leq_{P}$  3-SAT

Given a Boolean formula  $\phi$  in CNF with 2 literals per clause, how can we transform it to another Boolean formula  $\phi'$  in CNF with 3 literals/clause, such that  $\phi$  is satisfiable if and only if  $\phi'$  is satisfiable?

For each i: Suppose i-th clause in  $\phi$  is  $x \vee y$ . Create a new variable  $w_i$  which appears nowhere else. Replace clause  $x \vee y$  by two clauses:

$$(x \lor y \lor w_i) \land (x \lor y \lor \neg w_i)$$

Then show that

- this construction takes polynomial time
- $\phi$  is satisfiable if and only if  $\phi'$  is satisfiable

SUBGRAPH ISOMORPHISM

{ (G, H) : G is isomorphic to a subgraph of H }

GRAPH ISOMORPHISM ≤<sub>P</sub> SUBGRAPH ISOMORPHISM

 $(G, H) \mapsto (G, H)$ 

Polynomial time!

Does it work the other way round?

#### **PARTITION**

$$\{(s_1, s_2, ..., s_n) : \text{ for some subset } J \text{ of } \{1, 2, ..., n\},$$

$$\sum_{i \in J} s_i = \sum_{i \in \{1, \dots, n\} \setminus J} s_i$$

#### SUBSET SUM

$$\{(s_1, s_2, ..., s_n, t) : \text{ for some subset } J \text{ of } \{1, 2, ..., n\},$$

$$\sum_{i \in J} S_i = t$$

#### PARTITION ≤<sub>P</sub> SUBSET SUM

$$(s_1, s_2, ..., s_n) \mapsto (s_1, s_2, ..., s_n, (s_1 + s_2 + ... + s_n)/2)$$

Can you show SUBSET SUM ≤<sub>P</sub> PARTITION?

Others to try:

3-COLOURABILITY  $\leq_P$  GRAPH COLOURING where

GRAPH COLOURING = { (G,k) : G is k-colourable }

2-COLOURABILITY  $\leq_P$  3-COLOURABILITY

HAMILTONIAN CIRCUIT  $\leq_P$  HAMILTONIAN PATH

2-COLOURABILITY  $\leq_P$  2-SAT

SATISFIABILITY  $\leq_P$  3-SAT

3-COLOURABILITY  $\leq_P$  SATISFIABILITY

Reflexive: For any L,  $L \leq_P L$ .

Transitive: If  $L_1 \leq_P L_2$  and  $L_2 \leq_P L_3$  then  $L_1 \leq_P L_3$ .

#### **Theorem**

If  $L_1 \leq_P L_2$  and  $L_2$  is in P, then  $L_1$  is in P. **Proof.** 

Let f be a polynomial-time reduction from  $L_1$  to  $L_2$ , and let D be a poly-time decider for  $L_2$ .

Decider for  $L_1$ :

Input: x

Use f to construct f(x), and D to decide whether or not f(x) is in  $L_2$ .

Accept x if and only if D accepts f(x).

Is it polynomial time?

If f has time complexity  $O(n^k)$ , then the length of its output string f(x) must also be  $O(n^k)$ , since a TM can, in t steps, output no more than t symbols.

The decider D runs in polynomial time, so suppose it has time complexity  $O(n^{k'})$ , where n is the size of the input to D.

If D is given f(x) as input, then the time D takes on it is  $O(|f(x)|^{k'})$ , where |f(x)| = length of string f(x). Since  $|f(x)| = O(n^k)$ , we find that D takes time  $O(n^{kk'})$ , where n = |x|.

Total time taken by our decider for  $L_1$  is time taken by f on x + time taken by D on f(x) =  $O(n^{k}) + O(n^{kk'}) = O(n^{kk'})$ , which is polynomial time.

End of proof

#### **Exercises**

Prove: if  $L_1$  is in P and  $L_2$  is any language, then  $L_1 \leq_P L_2$ .

The fine print: some caveats regarding trivial cases are needed here. What are they?

#### Prove:

#### **Theorem**

If  $L_1 \leq_P L_2$  and  $L_2$  is in NP, then  $L_1$  is in NP.

#### Revision

• Sipser, section 7.4, pp299-303.