## Housekeeping

Today I'll be attending the FIT staff/student representative meeting from 1–2pm, so won't be available in my usual contact hours. I'll make myself available from 3–4pm instead.

## MAT1830

Lecture 11: Sets

#### Sets - why should you care?

- Sets are an important data structure when programming.
- ▶ Sets are very important concepts CS and maths.
- ▶ Set notation is used a lot in writing about CS and maths.
- ► The standard approach to building maths up from logic is based on sets. (Caring optional here.)

Sets are vital in expressing mathematics for-

mally and are also very important data structures in computer science. A set is basically just an unordered collection

of distinct objects, which we call its elements or members. Note that there is no notion of order for a set, even though we often write down its

elements in some order for convenience. Also, there is no notion of multiplicity: an object is either in a set or not - it cannot be in the set

multiple times.

Sets A and B are equal when every element of A is an element of B and vice-versa.

#### 11.1 Set notation

- - $x \in S$  means x is an element of set S. •  $\{x_1, x_2, x_3, \ldots\}$  is the set with elements  $x_1, x_2, x_3, \ldots$ •  $\{x: P(x)\}$  is the set of all x with property

 $\{1, 1, 1\} = \{1\}$ 

Example.  $17 \in \{x: x \text{ is prime}\} = \{2, 3, 5, 7, 11, 13, \ldots\}$  $\{1,2,3\} = \{3,1,2\}$ 

For a finite set S, we write |S| for the number of elements of S.

# $\{\mathsf{book}, \mathsf{pen}, \{\mathsf{freddo}, \{\}\}\}$









**Questions** Let  $S = \{a, \{a\}, \{b\}, \{a, b, c\}\}.$ 

Is  $a \in S$ ? Yes

Is  $b \in S$ ? No

Is  $\{a, b\} \in S$ ? No

Is  $\{a\} \in S$ ? Yes

## Questions

Let 
$$R = \{a, b, c\}$$
. What is  $|R|$ ?

Let 
$$S = \{a, \{a\}, \{b\}, \{a, b, c\}\}$$
. What is  $|S|$ ?

Let 
$$T = \{0, 1, 2, \dots, 100\}$$
. What is  $|T|$ ? 101

What is 
$$|\{\}|$$
? 0

#### Question 11.1

E(x): "x is even"

F(x): "5 divides x"

(Assume we're working in the integers  $\geq 0$ .)

What is the set  $\{x : E(x) \land F(x)\}$ ?

The set containing all multiples of 10, that is  $\{0, 10, 20, 30, \ldots\}$ .

Write a formula for the set  $\{5, 15, 25, 35, \ldots\}$ .

 $\{x: \neg E(x) \wedge F(x)\}.$ 

#### 11.2 Universal set

The idea of a "set of all sets" leads to logical difficulties. Difficulties are avoided by always working within a local "universal set" which includes only those objects under consideration.

For example, when discussing arithmetic it might be sufficient to work just with the numbers  $0, 1, 2, 3, \ldots$  Our universal set could then be taken as

$$\mathbb{N} = \{0, 1, 2, 3, \ldots\},\,$$

and other sets of interest, e.g.  $\{x: x \text{ is prime}\}$ , are parts of  $\mathbb{N}$ .

Russel's paradox (\* not assessable)

**Cantor's Set Building Rule** For every property P(x) there exists a set  $\{x : P(x)\}$ .

IS WRONG.

**Russel's Paradox** Consider that set  $R = \{x : x \notin x\}$ . Is  $R \in R$ ?

If  $R \in R$  then  $R \notin R$  by definition of R. If  $R \notin R$  then  $R \in R$  by definition of R. AUTHOR KATHARINE GATES RECENTLY ATTEMPTED TO MAKE A CHART OF ALL SEXUAL FETISHES.

LITTLE DID SHE KNOW THAT RUSSELL AND WHITEHEAD HAD ALREADY FAILED AT THIS SAME TASK.

HEY, GÖDEL - WE'RE COMPIUNG A COMPREHENSIVE LIST OF FETISHES. WHAT TURNS YOU ON?			
ANYTHING NOT ON YOUR LIST.			
UHΗΜ. \			
	R		
$\bigwedge$	$\bigwedge$		

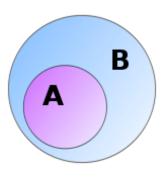
### **Important sets**

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\begin{array}{ll} \mathbb{N} & \text{natural numbers} & \{0,1,2,\ldots\} \\ \mathbb{Z} & \text{integers} & \{\ldots,-2,-1,0,1,2,\ldots\} \\ \mathbb{Q} & \text{rational numbers} & \{\frac{a}{b}:a,b\in\mathbb{Z},b\neq0\} \\ \mathbb{R} & \text{real numbers} \\ \emptyset & \text{empty set} & \{\} \end{array}
```

#### 11.3 Subsets

We say that A is a *subset* of B and write  $A \subseteq B$  when each element of A is an element of B.

**Example.** The set of primes forms a *subset* of  $\mathbb{N}$ , that is  $\{x : x \text{ is prime}\} \subseteq \mathbb{N}$ .



Formally  $A \subseteq B$  if  $\forall x (x \in A \rightarrow x \in B)$ .

#### **Notes:**

Every set is a subset of itself.

{} is a subset of every set.

**Questions** Let  $S = \{a, \{a\}, \{b\}, \{a, b, c\}\}.$ 

Is  $\{b\} \subseteq S$ ? No

Is  $\{a\} \subseteq S$ ? Yes

Is  $\{a, b\} \subseteq S$ ? No

Is  $\{\{a\},\{b\}\}\subseteq S$ ? Yes

#### 11.4 Characteristic functions

A subset A of B can be specified by its *characteristic function*  $\chi_A$ , which tells which elements of B are in A and which are not.

$$\chi_A(x) = \begin{cases} 1 & \text{if } x \in A \\ 0 & \text{if } x \notin A \end{cases}$$

**Example.** The subset  $A = \{a, c\}$  of  $B = \{a, b, c\}$  has the characteristic function  $\chi_A$  with

$$\chi_A(a) = 1, \quad \chi_A(b) = 0, \quad \chi_A(c) = 1.$$

We also write this function more simply as

$$a$$
  $b$   $c$ 

In fact we can list all characteristic functions on  $\{a, b, c\}$ , and hence all subsets of  $\{a, b, c\}$ , by listing all sequences of three binary digits:

${\it characteristic\ function}$		cteristic function	subset
a	b	c	
0	0	0	{}
0	0	1	$\{c\}$
0	1	0	$\{b\}$
0	1	1	$\{b,c\}$
1	0	0	{a}
1	0	1	$\{a,c\}$
1	1	0	$\{a,b\}$
1	1	1	$\{a,b,c\}$
1		1	$   \begin{cases}     \{a, c\} \\     \{a, b\} \\     \{a, b, c\}   \end{cases} $

We could similarly list all the subsets of a four-element set, and there would be  $2^4=16$  of them, corresponding to the  $2^4$  sequences of 0s and ls.

In the same way, we find that an n-element set has  $2^n$  subsets, because there are  $2^n$  binary sequences of length n. (Each of the n places in the sequence can be filled in two ways.)

#### 11.5 Power set

The set of all subsets of a set U is called the power set  $\mathcal{P}(U)$  of U.

**Example.** We see from the previous table that  $\mathcal{P}(\{a,b,c\})$  is the set

$$\{\{\},\{c\},\{b\},\{b,c\},\{a\},\{a,c\},\{a,b\},\{a,b,c\}\}.$$

If U has n elements, then  $\mathcal{P}(U)$  has  $2^n$  elements.

(The reason  $\mathcal{P}(U)$  is called the "power" set is probably that the number of its elements is this power of 2. In fact, the power set of U is sometimes written  $2^U$ .)

**Question 11.2** How many subsets does  $\{2, 5, 10, 20\}$  have?

 $2^4 = 16$ 

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Question What is \mathcal{P}(\{2,5,10,20\})?
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{ {}, {5}, {10}, {20}, {2,5}, {2,10}, {2,20}, {5,10}, {5,20}, {10,20}, {2,5,10}, {2,5,20}, {2,10,20}, {5,10,20}, {2,5,10,20} }.
```

#### 11.6Sets and properties

subset

We mentioned at the beginning that  $\{x: P(x)\}\$ stands for the set of objects x with property P.

Thus sets correspond to properties. Properties of the natural numbers  $0, 1, 2, 3, \ldots$ , for example, correspond to subsets of the set  $\mathbb{N} = \{0, 1, 2, 3, \ldots\}$ . Thus the

$$\{0, 2, 4, 6, \ldots\} = \{n \in \mathbb{N} : n \text{ is even}\},\$$

corresponds to the property of being even.

 $\{2, 3, 5, 7, 11, 13, 17, 19, 23, 29, 31, \ldots\}$ corresponds to the property of being prime. The power set  $\mathcal{P}(\mathbb{N})$  corresponds to all possible properties of natural numbers.

## Question 11.3 Consider the sets

$$\begin{cases} x: 0 < x < 1 \\ x: 0 < x < \frac{1}{2} \\ x: 0 < x < \frac{1}{3} \\ x: 0 < x < \frac{1}{4} \end{cases}$$

Do they have an element in common?

No.

Suppose they had r in common. Then r > 0, so there is a (big) natural number n such that  $r > \frac{1}{n}$ . But then r is not in the set  $\{x : 0 < x < \frac{1}{n}\}$ . Contradiction.

#### 11.7\* What are numbers?

"Everything is a set" in mathematics. This claim can illustrated by defining the numbers  $0, 1, 2, 3, \ldots$  as particular sets, starting with the empty set. This definition is due to von Neumann.

$$\begin{array}{rcl}
0 & = & \{\} \\
1 & = & \{0\} \\
2 & = & \{0,1\} \\
& \vdots \\
n+1 & = & \{0,1,2,\dots,n\}
\end{array}$$

We are not going to use this definition in this course. Still, it is interesting that numbers *can* be defined in such a simple way.

(\* not assessable)