# 1 Algorithms of FO - First order logic

# 2 Model-checking

```
def model-checking:
        if \phi = R(x1, x2..., xk): //R(x1) -> \prop symbol itself,
                 if(x1^s,...xk^s) element of R^s:\ R^s(x1^s) \rightarrow meaning of the
symbol
                         return True
                 else
                         return False
                 elif phi=phi1 or phi2:
                         return Model-check(phi1,S) or Model-check(phi2,S)
                 elif phi = phi1 and phi2:
                 elif phi= exists(x)\phi':
                 for u elementof(U^s) do
                         if model-check(phi',S[x:=u]):
                                  return True
                         else
                                  return False
```

What is the complexity:

 $|U^s|\cdot|\phi|$ 

**PSPACE**-complete

## 3 Satisfiability

Theorem (Trakhtenbrot 50') Satisfiability of <u>FO - First order logic</u> is undecidable **Proof**: by **reduction** from **Domino** to <u>FO - First order logic</u>

Idea: first we show that the **Halting-problem** is easier than **Domino** and **Domino** is easier that <u>FO</u>

<u>Satisfiability</u>. Then we show that Satisfiability is undecidable in the **Halting-problem** from there follows that also in **Domino** and <u>FO</u> Satisfiability is undecidable.

### reduction:

An algorithm F that solves Domino using an oracle that returns solutions to FO-Satisfiability

### Domino-Problem

Also known as tiling problem



finite set of 4-sided dominos:

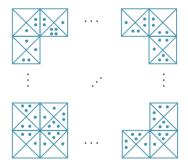






The dominos can either have fields with numbers (dominos with the same number can be connected) or a white field that is used for the border of the rectangle we want to lay.

Goal: to lay a complete rectangle without holes with the dominos of any size with a white border



# Special rules:

- one does not need to use all the dominos of the set
- One can reuse dominos of the set

This problem is undecidable! No computer can solve it **unless** we fix the size of the rectangle.

Fun fact: this problem occurs often in literature as one can define a lot of different variants that cover (almost) all complexity classes.

- 1. NP: One has to tile a square with fixed size n
- 2. PSPACE: If one has to tile a corridor fixed width n but arbitrary height m
- 3. Undecidable class: Arbitrary height and length

## Lemma 7:

The <u>Domino-Problem</u>/tiling problem is undecidable (by reduction from halting)

Proof: By reduction: we need to find a function that transforms the input of the halting problem into an input

Given <u>Turing machine</u> *T* with 3 states:

What do the colors mean:

The colors denote the control states of the machine:

blue: initial state (for instance  $q_0$ )

green: second state (for instance  $q_1$ )

red: holding state (for instance  $q_h$ )

What do the dots mean:

The dot means that the tile represents a writable cell. If there is a white field it means that the tape ends there.

What do the tiles mean:

The head is else where the tiles are copied into the next like this into the next row.







• The read/write head is over the left tile. The state of the square of tape is changed from a to b and the read/write head is moved to the right. The state changes from blue  $(q_0)$  to green $(q_1)$ 





• The read/write head is over the right tile. The state of the square of tape is changed from a to b and the read/write head is moved to the left. The state changes from green  $(q_1)$  to blue  $(q_0)$ .





Initial state







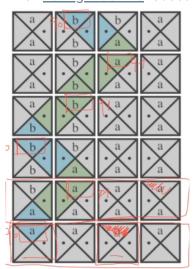
· halting state







A full <u>Turing machine</u> reduction looks then like this:



After converting from <u>Turing machine</u> to <u>Domino-Problem</u> we now need to transform the Domino problem to A <u>FO - First order logic</u> formula.

#### i.e.

Given a set of dominos so that:

 $\phi$ satisfiable  $\iff$  dominos can be arranged in a white bordered rectangle

The formula should describe the correct tiling of the rectangle.

$$S: \begin{cases} U^S &= \{\text{All possible positions where a domino could lie}\} \\ H(-,-)^S &= \{\text{Describes if two tiles are next to each other horizontally}}\} \\ V(-,-)^S &= \{\text{Describes if two tiles are next to each other verrtically}}\} \\ N_d(-)^S &= \{\text{Describes the } d^{th} \text{ position of a tile}} \end{cases}$$

# Special sets:

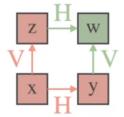
MH the set of all tiles that can match together horizontally MV the set of all tiles that can match together vertically

1.  $\phi_1$ =There is a grid"

Relations H(-,-) and V(-,-) are partial <u>bijections</u> such that:

$$\forall x, y, z \quad (H(x, y) \land V(x, z)) \implies \exists w \quad (H(z, w) \land (V(y, w)))$$

Meaning: If there is a tile at the position x and a tile z above it and a tile y to the right of it. There must be another tile w that is to the right of z and above y



2.  $\phi_2$  =There is exactly one domino at each node

For every domino d we have a relation  $N_d$  describing its position for which holds

$$orall x(igvee_d N_d(x)) \wedge \ldots$$

**meaning**: Every domino d has a position with  $N_d(x)$  describing the position.

$$\ldots \wedge (\bigwedge_{d 
eq d'} \lnot (N_d(x) \wedge N_{d'}(x)))$$

**meaning**: For each domino it is not possible that it lies at two positions  $N_d$  and  $N_{d'}$ 

3.  $\phi_3$ =Sides of adjacent dominos need to match (have the same numbers)

$$orall x, y(H(x,y) \implies igvee_{(d,d') \in MH} N_d(x) \wedge N_{d'}(y) \wedge \quad \dots$$

meaning: When two tiles x and y are next to each other horizontally (H(-,-)) relation) then the dominos need to be part of the set MH which contains all dominos which can fit together horizontally.

$$\dots \quad (V(x,y) \implies \bigvee_{(d,d') \in VH} N_d(x) \wedge N_{d'}(y)$$

meaning: When two tiles x and y are next to each other horizontally (H(-,-) relation) then the dominos need to be part of the set MH which contains all dominos which can fit together horizontally.

Important: MH and MV are not relationship symbols but sets of dominos.

4.  $\phi_4$  =Borders are white

exercise!

WH -> dominos containing white fields on their horizontal parts

WV -> dominos containing white fields on their vertical parts

#### 1. Horizontal case:

$$orall x((\exists y H(x,y) \wedge (orall z H(x,z) \implies z=y)) \implies igvee_{d \in WH} N_d(x))$$

meaning: if a domino is at a position and has only one neighbor (y=z) it means that this domino must have white fields at the horizontal edges.

#### 2. Vertical case

$$orall x((\exists y V(x,y) \wedge (orall z V(x,z) \implies z=y)) \implies \bigvee_{d \in WH} N_d(x))$$

meaning: if a domino is at a position and has only one neighbor (y=z) it means that this domino must have white fields at the horizontal edges.

Now we have to combine the 4 subformulas to one big one:

If there exists a Domino that fulfills all the requirements stated in the formulas

$$\exists x (\phi_1 \land \phi_2 \land \phi_3 \land \phi_4)$$

Summary: We know that the halting problem in the <u>Turing machine</u> is undecidable. Further we know that the turing machine can be reduced to the domino problem and the domino problem can be reduced to a satisfiability problem in <u>FO - First order logic</u>.

## Recap:

|                       | Propositional Logic | <u>QBF</u>    | FO - First order logic |
|-----------------------|---------------------|---------------|------------------------|
| Model-checking        | Р                   | <u>PSPACE</u> | <u>PSPACE</u>          |
| <u>Satisfiability</u> | NP                  | <u>PSPACE</u> | Undecidable            |
| <u>Validity</u>       | coNP                | <u>PSPACE</u> | Undecidable            |
| logical equivalence   | coNP                | <u>PSPACE</u> | undecidable            |

# Why does the Undecidability of <u>Satisfiability</u> imply that <u>Validity</u> is Undecidable.

<u>Validity</u> can be reduced to <u>Satisfiability</u> i.e.

Satisfiability has this definition  $\exists S \quad S \models \phi$ 

<u>Validity</u> has this definition  $\forall S \quad S \models \phi$ 

 $\forall S \mid F \neq \phi$  is logically equivalent to:

meaning: all S model  $\phi$ 

 $\forall S \quad S \nvDash \neg \phi$  which is logically equivalent to:

meaning: All S don't models  $\neg \phi$ 

 $\neg(\exists S \mid S \models \neg \phi)$  which is a satisfiability problem

meaning: There does not exist a single S that models  $\neg \phi$ 

# why is equivalence also undecidable?

We can reduce it from Validity

We have two formulas  $\phi_1$  and  $\phi_2$ 

then we define a formula  $\phi_3 = \phi_1 \iff \phi_2$ 

Then we do a validity check on  $\phi_3$ 

$$\forall S \quad S \models \phi_3$$

Therefore as Validity is undecidable also logical equivalence is undecidable.

# 4 FO-theories

Change of perspective:

Before: we were given a formula and had to check if it holds on a structure.

Now: We have a given structure what are the formulas that hold on that Structure

We are given a Structure

Theory  $FO[U^S,R^S,\ldots,x^S,\ldots]=$  set of formulas  $\phi$  that hold on the structure  $S=(U^S,R^S,\ldots,x^S)$ 

 $U^S = \mathsf{Universe}$ 

 $R^S, \ldots =$  all relational Symbols

 $x^S, \ldots =$  definition of free variables

# 4.1 Examples

Note: '=' is always in the signature

## 4.1.1

$$FO[\mathbb{N},<]$$

Usecase: is the structure with which one describes discrete, linear time.

Contains the formulas:

$$\exists x(x=x)$$

meaning: tautology, maybe there is equality?

$$\forall x \exists y (x < y)$$

meaning: There follows a y after every x. Way to define infinity

$$\exists y \forall x \neg (x < y)$$

meaning: workaround to not define > -> there is not just always a element bigger but also a element smaller than x

$$\forall xy (x = y \lor x < y \lor y < x)...$$

meaning: the element is either equal, greater or smaller than x

## 4.1.2

4.1.3

Peano arithmetic:  $FO[\mathbb{N},+,\cdot]$ 

4.1.4

Tarski arithmetic:  $FO[\mathbb{R},+,\cdot]$ 

4.1.5

 $FO[{\rm RadoGraph}]$