



BITS Pilani
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S2 Characterizing Time Complexity, Asymptotic Notation, Recurrence Relation, Master Theorem

Content of S2



1. Characterizing Time Complexity
 1. Use of Asymptotic Notation
 2. Big-Oh, Big-Omega, Theta Notations
2. Analyzing Recursive Algorithm
 1. Recurrence Relation
 2. Runtime of Recursive Algorithm
 3. Master Theorem

Analyzing Algorithm



- Used to mean the prediction of **resource** consumption
- But, **what** is the **resource**?

Analyzing Algorithm



- Used to mean the prediction of **resource** consumption
- But, **what** is the **resource**?

Primarily i) **memory**, ii) communication **bandwidth**, iii) computer **hardware**

But, most often we are interested in **computational time**

Which computer should be taken as a base case or standard?

- **Random Access Machine (RAM)** model of a computer

Random Access Machine Model



Instructions in RAM that takes **one unit of time**

- 1) **Arithmetic:** Add, Sub, Mul, Div, Rem, Floor, Ceil
- 2) **Data movement:** Load, Store, Copy
- 3) **Control:** Subroutine call, Return, Conditional and Unconditional Branch

Data Types in RAM (**fixed size**, like 8 bit or 16 bit or 32 bit)

- 1) Integer
- 2) Float

RAM model: What is not an instruction?

- 1) “Sort” – even if, in some computer, sort can be done in one instruction
- 2) “exponentiation” – x^y
 - there may be many algorithms to compute x^y , but it is not a single instruction if y is a variable or a large integer
 - But, x^k is a single instruction, where **k is a constant and very small**

RAM model: memory hierarchy



We do not consider any complex memory hierarchy, like having cache or virtual memory.

RAM model: memory hierarchy



We do not consider any complex memory hierarchy, like having cache or virtual memory.

Simplicity of RAM model

- Though simple, but an excellent predictor of performance on actual computer
- Though simple, exact prediction can still be challenging
- Often, it would require tools like combinatorics, probability theory, algebraic dexterity and the ability to identify the most significant terms in a formula

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1. Recurrence Relation

2. Runtime of Recursive Algorithm

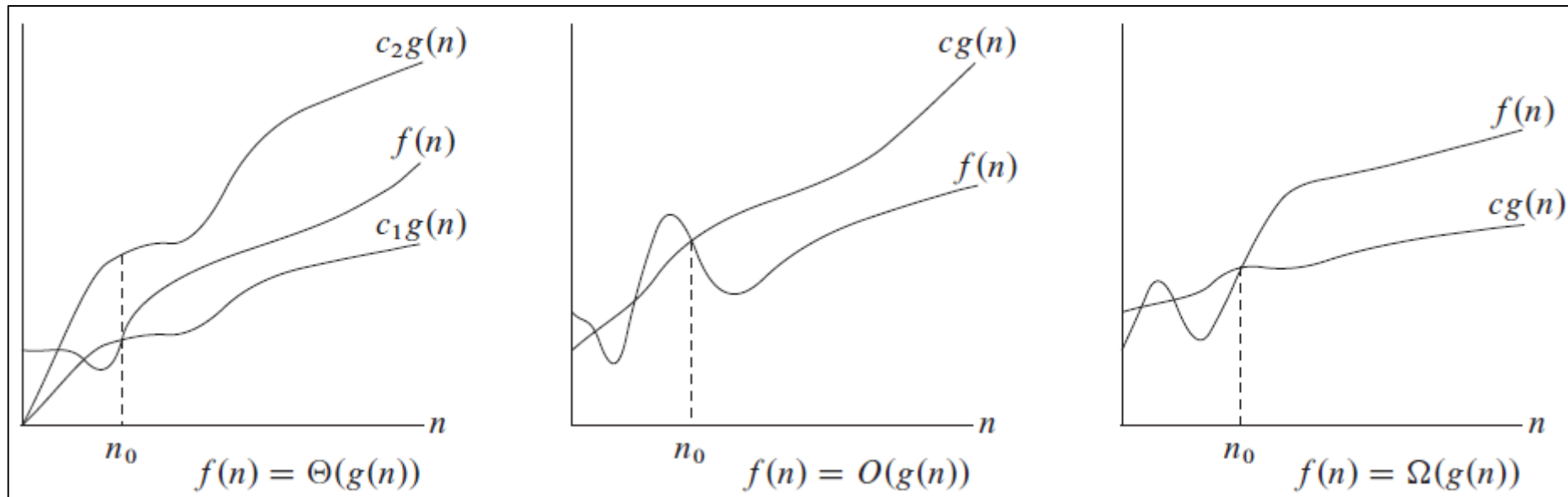
3. Master Theorem

Characterizing Time Complexity



Big-Oh Notation, Omega and Theta Notations:

- Asymptotic notation primarily describes the running times of algorithms, i.e., time complexity



Content of S2



1. Characterizing Time Complexity

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2. **Big-Oh, Big-Omega, Theta Notations**

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Characterizing Time Complexity



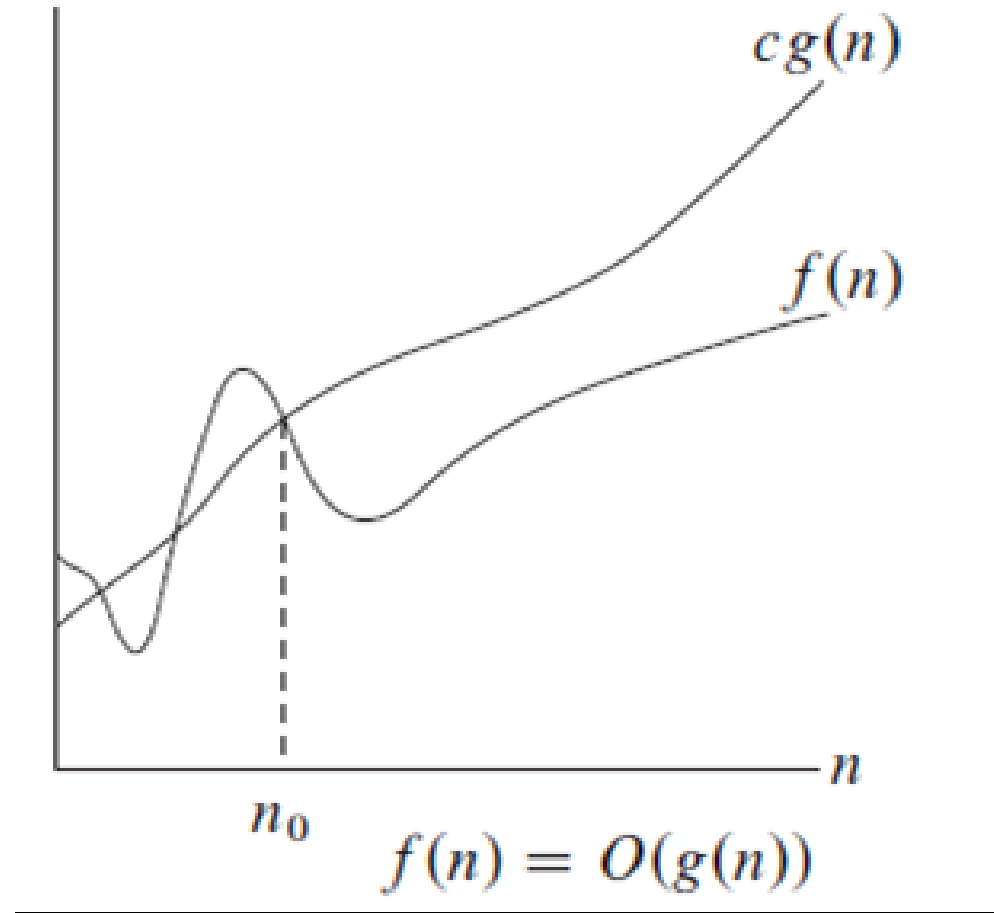
Big-Oh Notation: $f(n) = O(g(n))$.

- $g(n)$ is an asymptotically upper bound for $f(n)$.

$$O(g(n)) = \{f(n) : \text{there exist positive constants } c \text{ and } n_0 \text{ such that } 0 \leq f(n) \leq cg(n) \text{ for all } n \geq n_0\}.$$

- $f(n) = \Theta(g(n))$ implies that $f(n) = O(g(n))$,
i.e., $\Theta(g(n)) \in O(g(n))$

Graphical representation of Big-O



Example: Time Complexity Big-O



Ex-1 $f(n) = 2n+2$

$2n+2 \leq \underline{10n}$, where $n \geq 1$

Here, $c = 10$, $g(n) = n$

$f(n) = O(g(n)) = O(n)$.

Ex-2 $f(n) = 2n+2$

$2n+2 \leq \underline{10n^2}$, where $n \geq 1$

Here, $c = 10$, $g(n) = n^2$

$f(n) = O(g(n)) = O(n^2)$.

Ex-3 $f(n) = 2n+2$

$2n+2 \leq \underline{10n^3}$, where $n \geq 1$

Here, $c = 10$, $g(n) = n^3$

$f(n) = O(g(n)) = O(n^3)$.

Ex-4 $f(n) = 2n^2+5$

$2n^2+5 \leq \underline{2n^2+5n^2} = 7n^2$, where $n \geq 1$

Here, $c = 7$, $g(n) = n^2$

$f(n) = O(g(n)) = O(n^2)$.

$O(g(n)) = \{f(n) : \text{there exist positive constants } c \text{ and } n_0 \text{ such that}$
 $0 \leq f(n) \leq cg(n) \text{ for all } n \geq n_0\}.$

Example: Time Complexity Big-O



Ex-5 $f(n) = 7n - 2$

Here, $c = 7$, $n \geq 1$

$\rightarrow 7n - 2 \leq cn$, **$g(n) = n$**

$f(n) = O(g(n)) = O(n)$.

Ex-6 $f(n) = 20n^3 + 10n \log n + 5$

Here, $c = 35$, **$g(n) = n^3$**

$f(n) = O(g(n)) = O(n^3)$.

Ex-7 $f(n) = 3 \log n + \log \log n$

Here, $c = 4$, **$g(n) = \log n$**

$f(n) = O(g(n)) = O(\log n)$.

Ex-8 $f(n) = 2^{100}$

Here, $c = 2^{100}$, **$g(n) = 1$**

$f(n) = O(g(n)) = O(1)$.

Ex-9 $f(n) = 5/n$

Here, $c = 5$, **$g(n) = 1/n$**

$f(n) = O(g(n)) = O(1/n)$.

$O(g(n)) = \{f(n) : \text{there exist positive constants } c \text{ and } n_0 \text{ such that}$
 $0 \leq f(n) \leq cg(n) \text{ for all } n \geq n_0\}.$

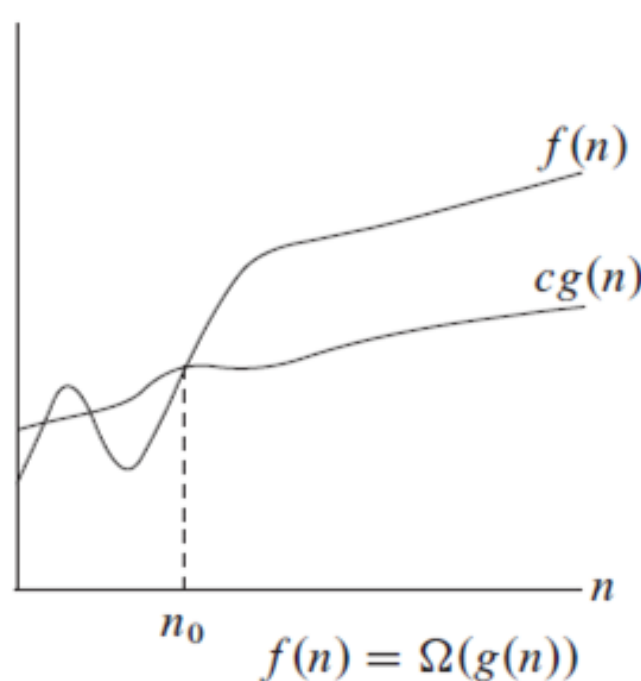
Time Complexity: Big-Omega



Omega Notation: $f(n) = \Omega(g(n))$.

- $g(n)$ is an asymptotically lower bound for $f(n)$.

$$\Omega(g(n)) = \{f(n) : \text{there exist positive constants } c \text{ and } n_0 \text{ such that } 0 \leq cg(n) \leq f(n) \text{ for all } n \geq n_0\}.$$



Example: Omega Notation



Ex-1 $f(n) = 2n+2$

$2n+2 \geq \underline{2n}$, where $n \geq 1$

Here, $c = 2$, $\mathbf{g(n) = n}$

$f(n) = \Omega(g(n)) = \Omega(n)$

Ex-2 $f(n) = 2n+2$

$2n+2 \geq \underline{\sqrt{n}}$, where $n \geq 1$

Here, $c = 1$, $\mathbf{g(n) = \sqrt{n}}$

$f(n) = \Omega(g(n)) = \Omega(\sqrt{n})$

Ex-3 $f(n) = 2n+2$

$2n+2 \geq \underline{\log n}$, where $n \geq 1$

Here, $c = 1$, $\mathbf{g(n) = \log n}$

$f(n) = \Omega(g(n)) = \Omega(\log n)$

Ex-4 $f(n) = 2n^2+5$

$2n^2+5 \geq \underline{2n^2}$, where $n \geq 1$

Here, $c = 2$, $\mathbf{g(n) = n^2}$

$f(n) = \Omega(g(n)) = \Omega(n^2)$.

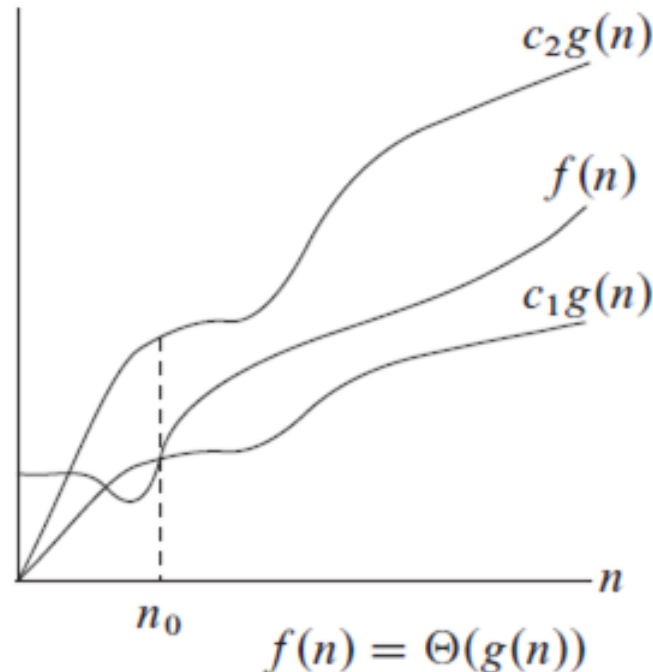
$\Omega(g(n)) = \{f(n) : \text{there exist positive constants } c \text{ and } n_0 \text{ such that } 0 \leq cg(n) \leq f(n) \text{ for all } n \geq n_0\}.$

Characterizing Run Time

Theta Notation: $f(n) = \Theta(g(n))$.

- $g(n)$ is an asymptotically tight bound for $f(n)$.

$\Theta(g(n)) = \{f(n) : \text{there exist positive constants } c_1, c_2, \text{ and } n_0 \text{ such that } 0 \leq c_1g(n) \leq f(n) \leq c_2g(n) \text{ for all } n \geq n_0\} .^1$



Example: Theta Notation



Ex-1 $f(n) = \frac{n^2}{2} - \frac{n}{2}$

$$\frac{n^2}{4} \leq \frac{n^2}{2} - \frac{n}{2} \leq \frac{n^2}{2}, \text{ where } n \geq 2$$

$$c_1 = \frac{1}{4}, c_2 = \frac{1}{2}, g(n) = n^2$$

$$f(n) = \Theta(g(n)) = \Theta(n^2).$$

Ex-2 $f(n) = 6n^3 \neq \Theta(n^2), \text{ why?}$

$$c_1 n^2 \leq 6n^3 \leq c_2 n^2, \text{ where } n \geq 1$$

There exists no c_2 that implies $6n^3 \leq c_2 n^2$

$\Theta(g(n)) = \{f(n) : \text{there exist positive constants } c_1, c_2, \text{ and } n_0 \text{ such that } 0 \leq c_1 g(n) \leq f(n) \leq c_2 g(n) \text{ for all } n \geq n_0\} .^1$

Time Complexity: Little-Oh, Little-omega



o-notation:

$o(g(n)) = \{f(n) : \text{for any positive constant } c > 0, \text{ there exists a constant } n_0 > 0 \text{ such that } 0 \leq f(n) < cg(n) \text{ for all } n \geq n_0\} .$



Time Complexity: Little-Oh, Little-omega

ω -notation:

$\omega(g(n)) = \{f(n) : \text{for any positive constant } c > 0, \text{ there exists a constant } n_0 > 0 \text{ such that } 0 \leq cg(n) < f(n) \text{ for all } n \geq n_0\} .$

Notation Summary



Because these properties hold for asymptotic notations, we can draw an analogy between the asymptotic comparison of two functions f and g and the comparison of two real numbers a and b :

$f(n) = O(g(n))$ is like $a \leq b$,

$f(n) = \Omega(g(n))$ is like $a \geq b$,

$f(n) = \Theta(g(n))$ is like $a = b$,

$f(n) = o(g(n))$ is like $a < b$,

$f(n) = \omega(g(n))$ is like $a > b$.

Properties of Time Complexity



- Comparison

Transitivity:

$f(n) = \Theta(g(n))$ and $g(n) = \Theta(h(n))$ imply $f(n) = \Theta(h(n))$,

$f(n) = O(g(n))$ and $g(n) = O(h(n))$ imply $f(n) = O(h(n))$,

$f(n) = \Omega(g(n))$ and $g(n) = \Omega(h(n))$ imply $f(n) = \Omega(h(n))$,

$f(n) = o(g(n))$ and $g(n) = o(h(n))$ imply $f(n) = o(h(n))$,

$f(n) = \omega(g(n))$ and $g(n) = \omega(h(n))$ imply $f(n) = \omega(h(n))$.

Reflexivity:

$f(n) = \Theta(f(n))$,

$f(n) = O(f(n))$,

$f(n) = \Omega(f(n))$.

Summary of Properties



- Comparison

Symmetry:

$f(n) = \Theta(g(n))$ if and only if $g(n) = \Theta(f(n))$.

Transpose symmetry:

$f(n) = O(g(n))$ if and only if $g(n) = \Omega(f(n))$,

$f(n) = o(g(n))$ if and only if $g(n) = \omega(f(n))$.

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Analyzing Recursive Algorithms



Algorithm recursiveMax(A, n):

Input: An array A storing $n \geq 1$ integers.

Output: The maximum element in A .

if $n = 1$ **then**

return $A[0]$

return $\max\{\text{recursiveMax}(A, n-1), A[n-1]\}$

Analyzing Recursive Algorithms



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$$T(n) = \begin{cases} 2, & \text{if } n = 1 \\ T(n-1) + 4, & \text{otherwise} \end{cases}$$

Analyzing Recursive Algorithms



Algorithm recursiveMax(A, n):

Input: An array A storing $n \geq 1$ integers.

Output: The maximum element in A .

1

if $n = 1$ **then**

1 + 1

return $A[0]$

return $\max\{\text{recursiveMax}(A, n-1), A[n-1]\}$

1 + 1 + $T(n-1)$ + 1 + 1

$$T(n) = \begin{cases} 3, & \text{if } n = 1 \\ T(n-1) + 6, & \text{otherwise} \end{cases}$$

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Recurrence Relation

Defⁿ: A recurrence relation is an equation that defines a sequence based on a rule that gives the next term as a function of the previous term(s).

Mathematically, $x_{n+1} = f(x_n)$: a simple recurrence relation, also called as first order recurrence relation.

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Mathematically, $x_{n+1} = f(x_n)$: a simple recurrence relation, also called as first order recurrence relation.

Example of first order recurrence relation:

1) $x_{n+1} = 2 - x_n/2$

Recurrence Relation

Defⁿ: A recurrence relation is an equation that defines a sequence based on a rule that gives the next term as a function of the previous term(s).

Mathematically, $x_{n+1} = f(x_n)$: a simple recurrence relation, also called as first order recurrence relation.

Example of first order recurrence relation:

1) $x_{n+1} = 2 - x_{n/2}$

A second order recurrence relation depends just on x_n and x_{n-1} and is of the form $x_{n+1} = f(x_n, x_{n-1})$

Example: $x_{n+1} = x_n + x_{n-1}$

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Analyzing Recursive Algorithms



Solving recurrence equations

1. Master Theorem for Dividing Functions

$$T(n) = aT\left(\frac{n}{b}\right) + g(n)$$

where $g(n)$ is $O(n^k \log^p n)$, where p and k are integers.

a) $a < b^k$: if $p < 0$, then $T(n) = O(n^k)$

Analyzing Recursive Algorithms



Solving recurrence equations

1. Master Theorem for Dividing Functions

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Analyzing Recursive Algorithms



Solving recurrence equations

1. Master Theorem for Dividing Functions

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where $g(n)$ is $O(n^k \log^p n)$

a) $a < b^k$: if $p < 0$, then $T(n) = O(n^k)$

if $p \geq 0$, then $T(n) = O(n^k \log^p n)$

b) $a = b^k$: if $p > -1$, then $T(n) = O(n^k \log^{p+1} n)$

if $p = -1$, then $T(n) = O(n^k \log \log n)$

if $p < -1$, then $T(n) = O(n^k)$

Analyzing Recursive Algorithms



Solving recurrence equations

1. Master Theorem for Dividing Functions

$$T(n) = aT\left(\frac{n}{b}\right) + g(n)$$

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a) $a < b^k$: if $p < 0$, then $T(n) = O(n^k)$

if $p \geq 0$, then $T(n) = O(n^k \log^p n)$

b) $a = b^k$: if $p > -1$, then $T(n) = O(n^k \log^{p+1} n)$

if $p = -1$, then $T(n) = O(n^k \log \log n)$

if $p < -1$, then $T(n) = O(n^k)$

c) $a > b^k$: $T(n) = O(n^{\log_b a})$

Solution using Master Theorem



$g(n)$ is $O(n^k \log^p n)$

Ex-1 $T(n) = 4T(\frac{n}{2}) + n,$

$a = 4, b = 2, k = 1, p = 0.$

$a = 4, b^k = 2 \rightarrow a > b^k$

$T(n) = O(n^{\log_2 4}) = O(n^2)$

Ex-2 $T(n) = 8T(\frac{n}{2}) + n^2,$

$a = 8, b = 2, k = 2, p = 0.$

$a = 8, b^k = 4 \rightarrow a > b^k$

$T(n) = O(n^{\log_2 8}) = O(n^3)$

Ex-3 $T(n) = 8T(\frac{n}{2}) + n \log n,$

$a = 8, b = 2, k = 1, p = 1.$

$a = 8, b^k = 2 \rightarrow a > b^k$

$T(n) = O(n^{\log_2 8}) = O(n^3)$

Solution using Master Theorem



Ex-4 $T(n) = 2T(\frac{n}{2}) + n,$

$a = 2, b = 2, k = 1, p = 0.$

$a = 2, b^k = 2 \rightarrow a = b^k$

$T(n) = O(n^k \log^{p+1} n)$
 $= O(n \log n)$

Ex-5 $T(n) = 4T(\frac{n}{2}) + n^2,$

$a = 4, b = 2, k = 2, p = 0.$

$a = 4, b^k = 4 \rightarrow a = b^k$

$T(n) = O(n^k \log^{p+1} n)$
 $= O(n^2 \log n)$

Ex-6 $T(n) = 4T(\frac{n}{2}) + n^2 \log n,$

$a = 4, b = 2, k = 2, p = 1.$

$a = 4, b^k = 4 \rightarrow a = b^k$

$T(n) = O(n^k \log^{p+1} n)$
 $= O(n^2 \log^2 n)$

Solution using Master Theorem



Ex-7 $T(n) = 2T(\frac{n}{2}) + \frac{n}{\log n},$

$a = 2, b = 2, k = 1, p = -1.$

$a = 2, b^k = 2 \rightarrow a = b^k$

$T(n) = O(n^k \log \log n)$
 $= O(n \log \log n)$

Ex-8 $T(n) = T(\frac{n}{2}) + n^2,$

$a = 1, b = 2, k = 2, p = 0.$

$a = 1, b^k = 4 \rightarrow a < b^k$

$T(n) = O(n^k \log^p n)$
 $= O(n^2)$

Ex-9 $T(n) = 2T(\frac{n}{2}) + n^2 \log^2 n,$

$a = 2, b = 2, k = 2, p = 2.$

$a = 2, b^k = 4 \rightarrow a < b^k$

$T(n) = O(n^k \log^p n)$
 $= O(n^2 \log^2 n)$

Master Theorem for Decreasing Functions

$$T(n) = aT(n - b) + g(n)$$

where $g(n)$ is $O(n^k)$

- a) $a < 1 : T(n) = O(n^k)$
- b) $a = 1 : T(n) = O(n^{k+1})$
- c) $a > 1 : T(n) = O(n^k a^{n/b})$

Solution using Master Theorem



Ex-1 $T(n) = T(n-1)+1,$

$a = 1, b = 1, k = 0.$

$$T(n) = O(n^{k+1}) = O(n)$$

Ex-3 $T(n) = 2T(n-1)+1,$

$a = 2, b = 1, k = 0.$

$$\begin{aligned} T(n) &= O(n^k a^{n/b}) \\ &= O(2^n) \end{aligned}$$

Ex-2 $T(n) = T(n-1)+n,$

$a = 1, b = 1, k = 1.$

$$T(n) = O(n^{k+1}) = O(n^2)$$

Ex-4 $T(n) = 2T(n-1)+n,$

$a = 2, b = 1, k = 1.$

$$\begin{aligned} T(n) &= O(n^k a^{n/b}) \\ &= O(n2^n) \end{aligned}$$

Correctness of Algorithms



- An algorithm is said to be correct
 - if, for every input instance, it halts with the correct output.
- We say that a correct algorithm
 - solves the given computational problem.
- An incorrect algorithm
 - might not halt at all on some input instances, or
 - it might halt with an incorrect answer.

Some Mathematics



Ordering Functions by Their Growth Rates

n	$\log n$	\sqrt{n}	n	$n \log n$	n^2	n^3	2^n
2	1	1.4	2	2	4	8	4
4	2	2	4	8	16	64	16
8	3	2.8	8	24	64	512	256
16	4	4	16	64	256	4,096	65,536
32	5	5.7	32	160	1,024	32,768	4,294,967,296
64	6	8	64	384	4,096	262,144	1.84×10^{19}
128	7	11	128	896	16,384	2,097,152	3.40×10^{38}
256	8	16	256	2,048	65,536	16,777,216	1.15×10^{77}
512	9	23	512	4,608	262,144	134,217,728	1.34×10^{154}
1,024	10	32	1,024	10,240	1,048,576	1,073,741,824	1.79×10^{308}

$$1 < \log n < \sqrt{n} < n < n \log n < n^2 < n^3 < \dots < 2^n < 3^n < n^n$$

Some Mathematics



- $\sum_{i=0}^n a^i = 1 + a + \dots + a^n = \frac{1-a^{n+1}}{1-a}$
- $\log_b a = c$ if $a = b^c$
- $\log_b ac = \log_b a + \log_b c$
- $\log_b (a/c) = \log_b a - \log_b c$
- $\log_b a^c = c \log_b a$
- $\log_b a = \log_c a / \log_c b$
- $b^{\log_c a} = a^{\log_c b}$

Case Studies: Analyzing Algorithms



Ex-1

```
#include <stdio.h>
void main(){
    int n=10;
    int a[n];
    a[3]=5;
    printf("%d",a[3]);
}
```

$$T(n) = 1 + (1+1) + (1+1) \rightarrow T(n) = O(1)$$

Ex-2

```
#include <stdio.h>
void main(){
    int n; scanf("%d",&n);
    int a[n];
    for(int i=0;i<n;i++)
        scanf("%d",&a[i]);
    for(int i=0;i<n;i++)
        printf("%d",a[i]);
}
```

$$\begin{aligned} T(n) &= 2 + (1 + (n+1) + 2(n)) + 2n + \\ &\quad (1 + (n+1) + 2(n)) + 2n = 10n + 6 \\ &\rightarrow T(n) = O(n) \end{aligned}$$

Case Studies: Analyzing Algorithms



Ex-3

```
#include <stdio.h>
void main(){
    int n; scanf("%d",&n);
    int a[n];
    for(int i=0;i<n;i++)
        scanf("%d",&a[i]);
    for(int i=0;i<n;i++)
        for(int j=0;j<n;j++)
            printf("%d",a[i]);
}
```

$$\begin{aligned} T(n) &= 2 + (1 + (n+1) + 2(n)) + 2n + (1 + (n+1) + 2(n)) + n(1 + (n+1) + 2(n)) \\ &= 3n^2 + 10n + 6 \\ &\rightarrow T(n) = O(n^2) \end{aligned}$$

Ex-4

```
#include <stdio.h>
void main(){
    int n; scanf("%d",&n);
    int a[n];
    for(int i=0;i<n;i++)
        scanf("%d",&a[i]);
    for(int i=0;i<n;i++)
        for(int j=0;j<n/2;j++)
            printf("%d",a[i]);
}
```

$$\begin{aligned} T(n) &= 2 + (1 + (n+1) + 2(n)) + 2n + (1 + (n+1) + 2(n)) + n(1 + (n+1)/2 + 2(n/2)) \\ &\rightarrow T(n) = O(n^2) \end{aligned}$$

Case Studies: Analyzing Algorithms



Ex-5

```
int findMinimum(int array[]) {  
    int min = array[0];  
    for(int i = 1; i < n; i++){  
        if (array[i] < min) {  
            min = array[i];  
        }  
    }  
    return min;  
}
```

$T(n) = O(n)$

Case Studies: Analyzing Algorithms



Ex-6

```
void fun(int n){  
    if(n<=0)  
        return;  
    printf("%d",n);  
    fun(n-1);  
}
```

$T(n) = T(n-1) + 2 \rightarrow T(n) = O(n)$
Master Theorem for Decreasing
Functions

Ex-7

```
void fun(int n){  
    if(n<=0)  
        return;  
    printf("%d",n);  
    fun(n/2);  
}
```

$T(n) = T(n/2) + 2 \rightarrow T(n) = O(\log n)$
Master Theorem for Dividing
Functions

Case Studies: Analyzing Algorithms



Ex-8

```
void fun(int n){
    if(n<=0)___1
        return;
    for(int i=0;i<k';i++) ___(k'+1)
        fun(n-1); ___k'*T(n-1)
}
```

$(T(n) = 1 + (k'+1) + (k'*(T(n-1))) = k'*T(n-1) + (k' + 2) \rightarrow T(n)$ depends on value of k'
(Master Theorem for Decreasing Functions))

Ex-9

```
void fun(int n){
    if(n>1){ ___1
        for(int i=0;i<n;i++) ___(n+1)
            printf("%d",i); ___n
            fun(n/2); ___T(n/2)
            fun(n/2); ___T(n/2)
        }
}
```

$T(n) = 1 + (n+1) + n + 2T(n/2) = 2T(n/2) + (2n + 2)$
 $a = 2, b = 2, k = 1, p = 0. O(n \log n)$ as per Master Theorem for Dividing Functions

References



1. Algorithms Design: Foundations, Analysis and Internet Examples Michael T. Goodrich, Roberto Tamassia, 2006, Wiley (Students Edition)
2. Data Structures, Algorithms and Applications in C++, Sartaj Sahni, Second Ed, 2005, Universities Press
3. Introduction to Algorithms, TH Cormen, CE Leiserson, RL Rivest, C Stein, Third Ed, 2009, PHI



Any Question!!



Thank you!!

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$$T(n) = 2T(n/2) + 2$$

This will be third case of master's theorem or the second case?

Calculation:

$$f(n) = 2$$

$$\text{Number of leaves} = n^{\log_b(a)} = n^{\log(1)} = n^0 = 1$$

$f(n) > n^{\log_b(a)}$: Third case. Right?

The answer will be $\Theta(2)$?

has context menu