



# Applied Machine Learning

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# **SE ZG568 / SS ZG568, Applied Machine Learning Lecture No. 8 [09- March-2025]**

# Decision Tree



# Learning - Function Approximation

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## Problem Setting

- Set of possible instances  $X$ .
- Unknown target function  $f: X \rightarrow Y$
- Set of function hypotheses  $H = \{h \mid h: X \rightarrow Y\}$

## Input

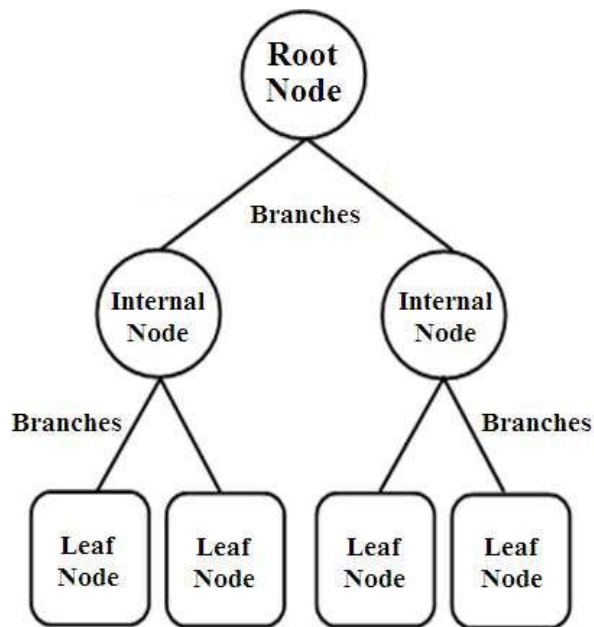
Training Examples of unknown target function  $f$ .

## Output

Hypothesis  $h \in H$  that best approximates target function  $f$ .

# Decision Tree

**Decision Tree Learning** is a method for approximating the target function ( $Y$ ), in which the **learned functions ( $h$ )** is represented by a **decision tree**.



$$h: X \longrightarrow Y$$

# Decision Tree

- **ID3** (Iterative Dichotomiser 3)- **1986 Ross Quinlan**
- **C4.5** - is the successor to ID3 and removed the restriction that features must be categorical by dynamically defining a discrete attribute (based on numerical variables) that partitions the continuous attribute value into a discrete set of intervals.
- **C5.0** - is Quinlan's latest version release under a proprietary license. It uses less memory and builds smaller rulesets than C4.5 while being more accurate.
- **CART** - Classification and Regression Trees - s very similar to C4.5, but it differs in that it supports numerical target variables (regression) and does not compute rule sets. CART constructs binary trees using the feature and threshold that yield the largest information gain at each node.

scikit-learn uses an optimized version of the CART algorithm; however, the scikit-learn implementation does not support categorical variables for now.

<https://scikit-learn.org/stable/modules/tree.html#classification>

# Play Tennis or Not?



Day	outlook	temperature	humidity	wind	Decision
1	sunny	hot	high	weak	No
2	sunny	hot	high	strong	No
3	overcast	hot	high	weak	Yes
4	rainfall	mild	high	weak	Yes
5	rainfall	cool	normal	weak	Yes
6	rainfall	cool	normal	strong	No
7	overcast	cool	normal	wtrong	Yes
8	sunny	mild	high	weak	No
9	sunny	cool	normal	weak	Yes
10	rainfall	mild	normal	weak	Yes
11	sunny	mild	normal	strong	Yes
12	overcast	mild	high	strong	Yes
13	overcast	hot	normal	weak	Yes
14	rainfall	mild	high	strong	No



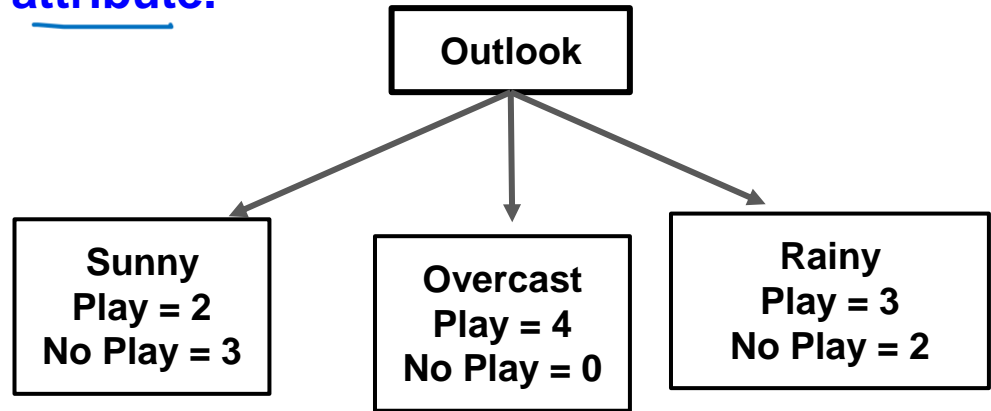
## ID3- Decision Tree algorithm for classification



# ID3 algorithm

**Step 1: Select an attribute to place on the root node and make one branch for each possible value of the attribute.**

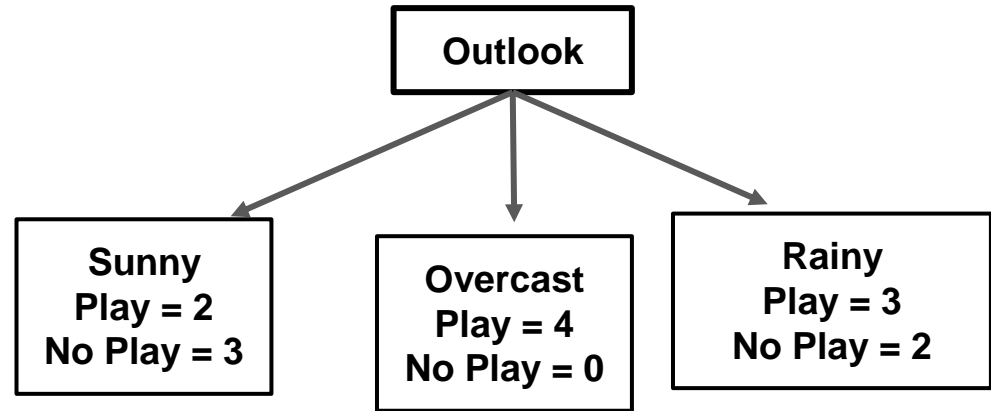
Day	outlook	temperature	humidity	wind	Decision
1	sunny	hot	high	weak	No
2	sunny	hot	high	strong	No
3	overcast	hot	high	weak	Yes
4	rainfall	mild	high	weak	Yes
5	rainfall	cool	normal	weak	Yes
6	rainfall	cool	normal	strong	No
7	overcast	cool	normal	wtrong	Yes
8	sunny	mild	high	weak	No
9	sunny	cool	normal	weak	Yes
10	rainfall	mild	normal	weak	Yes
11	sunny	mild	normal	strong	Yes
12	overcast	mild	high	strong	Yes
13	overcast	hot	normal	weak	Yes
14	rainfall	mild	high	strong	No



# ID3 algorithm

## Step 2: Make an assessment of the quality of the split

Day	outlook	temperature	humidity	wind	Decision
1	sunny	hot	high	weak	No
2	sunny	hot	high	strong	No
3	overcast	hot	high	weak	Yes
4	rainfall	mild	high	weak	Yes
5	rainfall	cool	normal	weak	Yes
6	rainfall	cool	normal	strong	No
7	overcast	cool	normal	wtrong	Yes
8	sunny	mild	high	weak	No
9	sunny	cool	normal	weak	Yes
10	rainfall	mild	normal	weak	Yes
11	sunny	mild	normal	strong	Yes
12	overcast	mild	high	strong	Yes
13	overcast	hot	normal	weak	Yes
14	rainfall	mild	high	strong	No

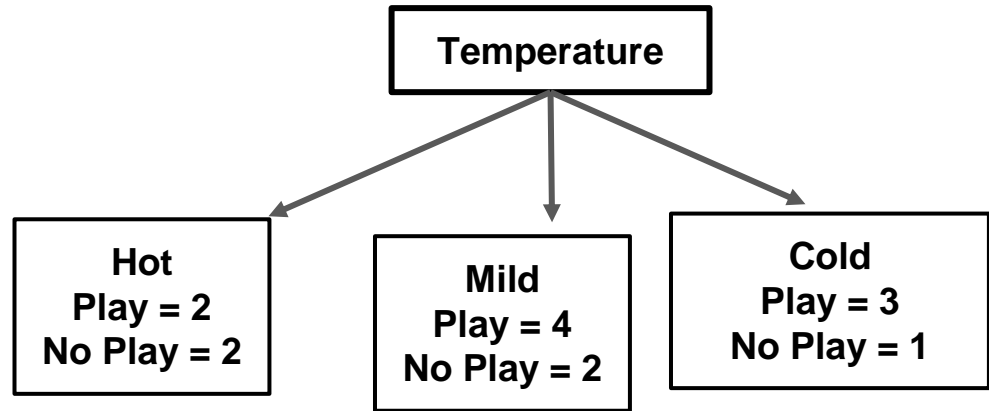


HOW DO YOU MEASURE THE QUALITY?

# ID3 algorithm

**Step 3: Repeat Step 1 and Step 2 for all other attributes**

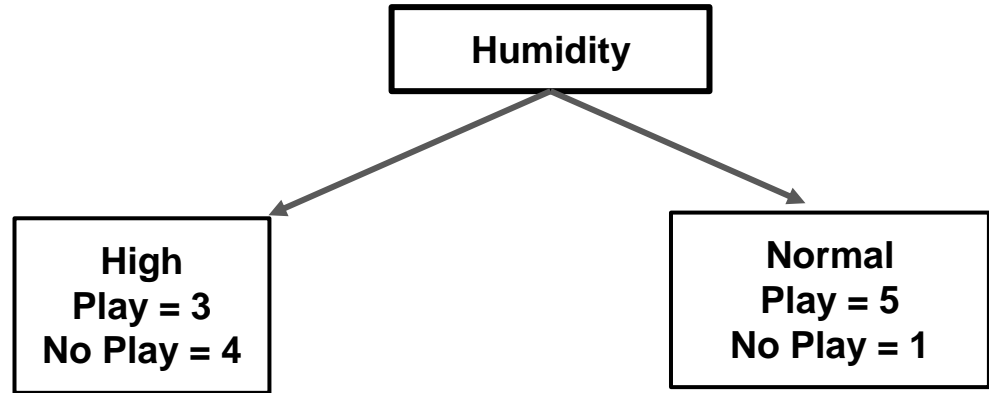
Day	outlook	temperature	humidity	wind	Decision
1	sunny	hot	high	weak	No
2	sunny	hot	high	strong	No
3	overcast	hot	high	weak	Yes
4	rainfall	mild	high	weak	Yes
5	rainfall	cool	normal	weak	Yes
6	rainfall	cool	normal	strong	No
7	overcast	cool	normal	wtrong	Yes
8	sunny	mild	high	weak	No
9	sunny	cool	normal	weak	Yes
10	rainfall	mild	normal	weak	Yes
11	sunny	mild	normal	strong	Yes
12	overcast	mild	high	strong	Yes
13	overcast	hot	normal	weak	Yes
14	rainfall	mild	high	strong	No



# ID3 algorithm

**Step 3: Repeat Step 1 and Step 2 for all other attributes**

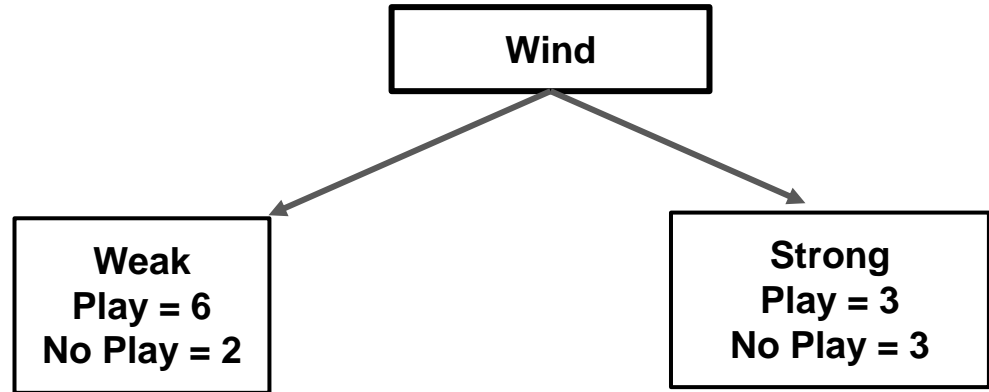
Day	outlook	temperature	humidity	wind	Decision
1	sunny	hot	high	weak	No
2	sunny	hot	high	strong	No
3	overcast	hot	high	weak	Yes
4	rainfall	mild	high	weak	Yes
5	rainfall	cool	normal	weak	Yes
6	rainfall	cool	normal	strong	No
7	overcast	cool	normal	wtrong	Yes
8	sunny	mild	high	weak	No
9	sunny	cool	normal	weak	Yes
10	rainfall	mild	normal	weak	Yes
11	sunny	mild	normal	strong	Yes
12	overcast	mild	high	strong	Yes
13	overcast	hot	normal	weak	Yes
14	rainfall	mild	high	strong	No



# ID3 algorithm

**Step 3: Repeat Step 1 and Step 2 for all other attributes**

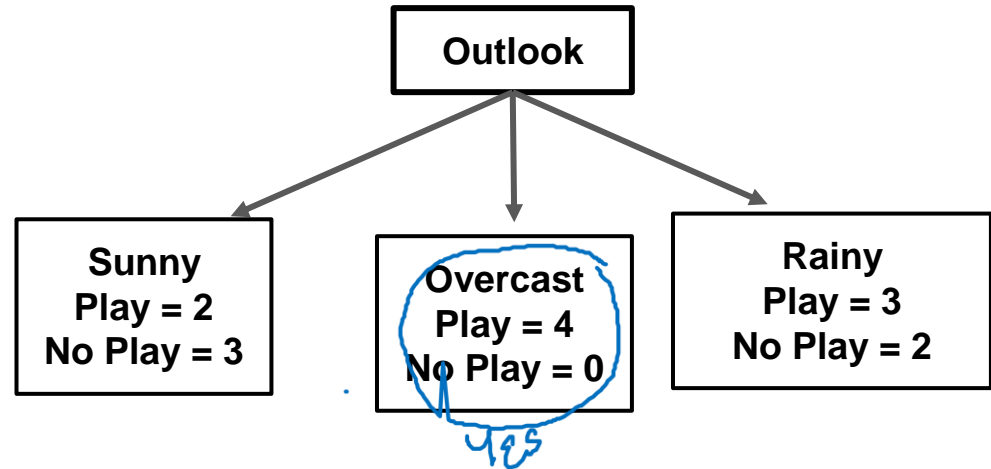
Day	outlook	temperature	humidity	wind	Decision
1	sunny	hot	high	weak	No
2	sunny	hot	high	strong	No
3	overcast	hot	high	weak	Yes
4	rainfall	mild	high	weak	Yes
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6	rainfall	cool	normal	strong	No
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8	sunny	mild	high	weak	No
9	sunny	cool	normal	weak	Yes
10	rainfall	mild	normal	weak	Yes
11	sunny	mild	normal	strong	Yes
12	overcast	mild	high	strong	Yes
13	overcast	hot	normal	weak	Yes
14	rainfall	mild	high	strong	No



# ID3 algorithm

**Step 4: Depending on the QUALITY of the Partial Tree, we select one partial tree**

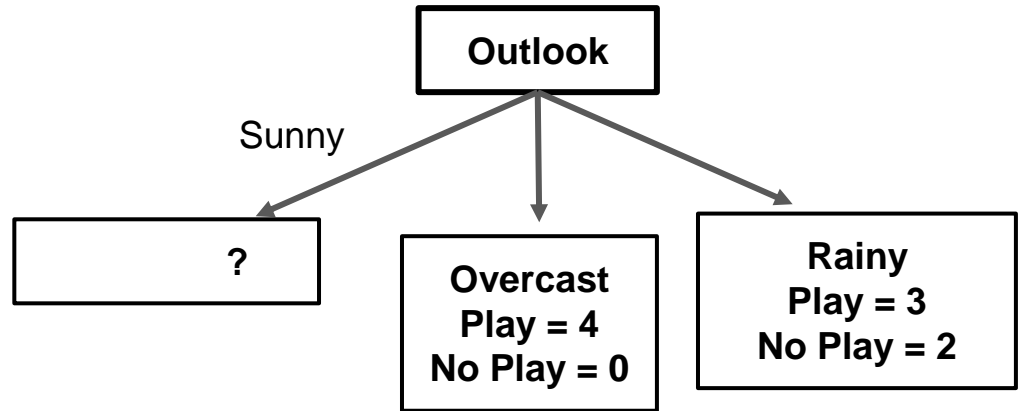
Day	outlook	temperature	humidity	wind	Decision
1	sunny	hot	high	weak	No
2	sunny	hot	high	strong	No
3	overcast	hot	high	weak	Yes
4	rainfall	mild	high	weak	Yes
5	rainfall	cool	normal	weak	Yes
6	rainfall	cool	normal	strong	No
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9	sunny	cool	normal	weak	Yes
10	rainfall	mild	normal	weak	Yes
11	sunny	mild	normal	strong	Yes
12	overcast	mild	high	strong	Yes
13	overcast	hot	normal	weak	Yes
14	rainfall	mild	high	strong	No



# ID3 algorithm

**Step 5: Repeat steps 1 to 4 for each daughter nodes of the selected partial tree**

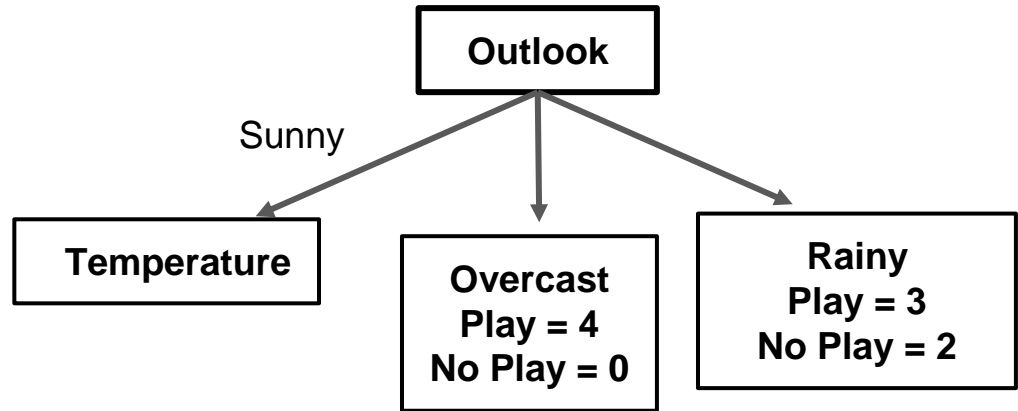
Day	outlook	temperature	humidity	wind	Decision
1	sunny	hot	high	weak	No
2	sunny	hot	high	strong	No
3	overcast	hot	high	weak	Yes
4	rainfall	mild	high	weak	Yes
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11	sunny	mild	normal	strong	Yes
12	overcast	mild	high	strong	Yes
13	overcast	hot	normal	weak	Yes
14	rainfall	mild	high	strong	No



# ID3 algorithm

**Step 5: Repeat steps 1 to 4 for each daughter nodes of the selected partial tree**

Day	outlook	temperature	humidity	wind	Decision
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6	rainfall	cool	normal	strong	No
7	overcast	cool	normal	wtrong	Yes
8	sunny	mild	high	weak	No
9	sunny	cool	normal	weak	Yes
10	rainfall	mild	normal	weak	Yes
11	sunny	mild	normal	strong	Yes
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13	overcast	hot	normal	weak	Yes
14	rainfall	mild	high	strong	No

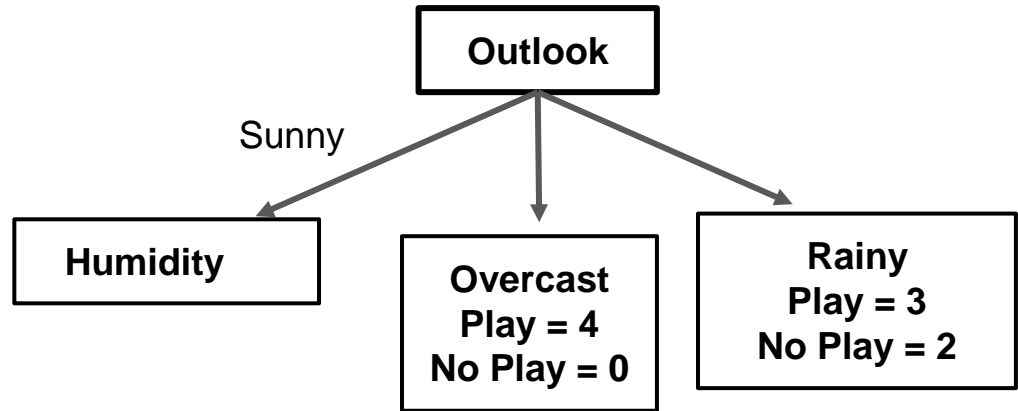




# ID3 algorithm

**Step 5: Repeat steps 1 to 4 for each daughter nodes of the selected partial tree**

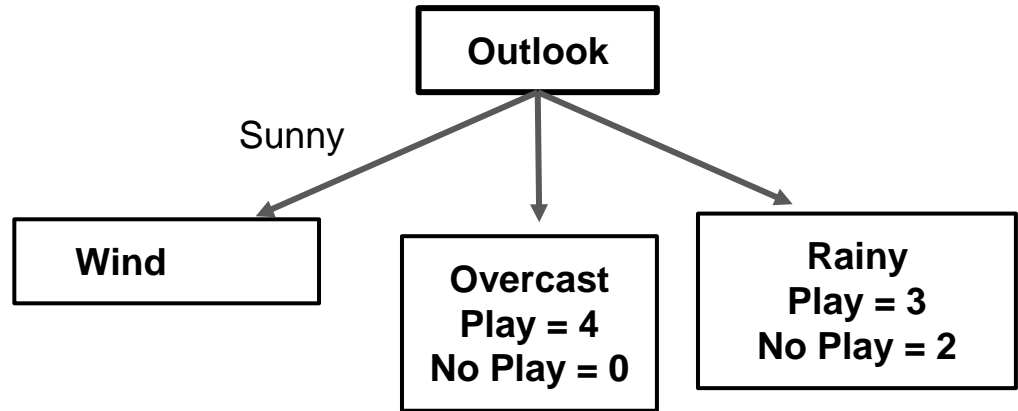
Day	outlook	temperature	humidity	wind	Decision
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2	sunny	hot	high	strong	No
3	overcast	hot	high	weak	Yes
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6	rainfall	cool	normal	strong	No
7	overcast	cool	normal	wtrong	Yes
8	sunny	mild	high	weak	No
9	sunny	cool	normal	weak	Yes
10	rainfall	mild	normal	weak	Yes
11	sunny	mild	normal	strong	Yes
12	overcast	mild	high	strong	Yes
13	overcast	hot	normal	weak	Yes
14	rainfall	mild	high	strong	No



# ID3 algorithm

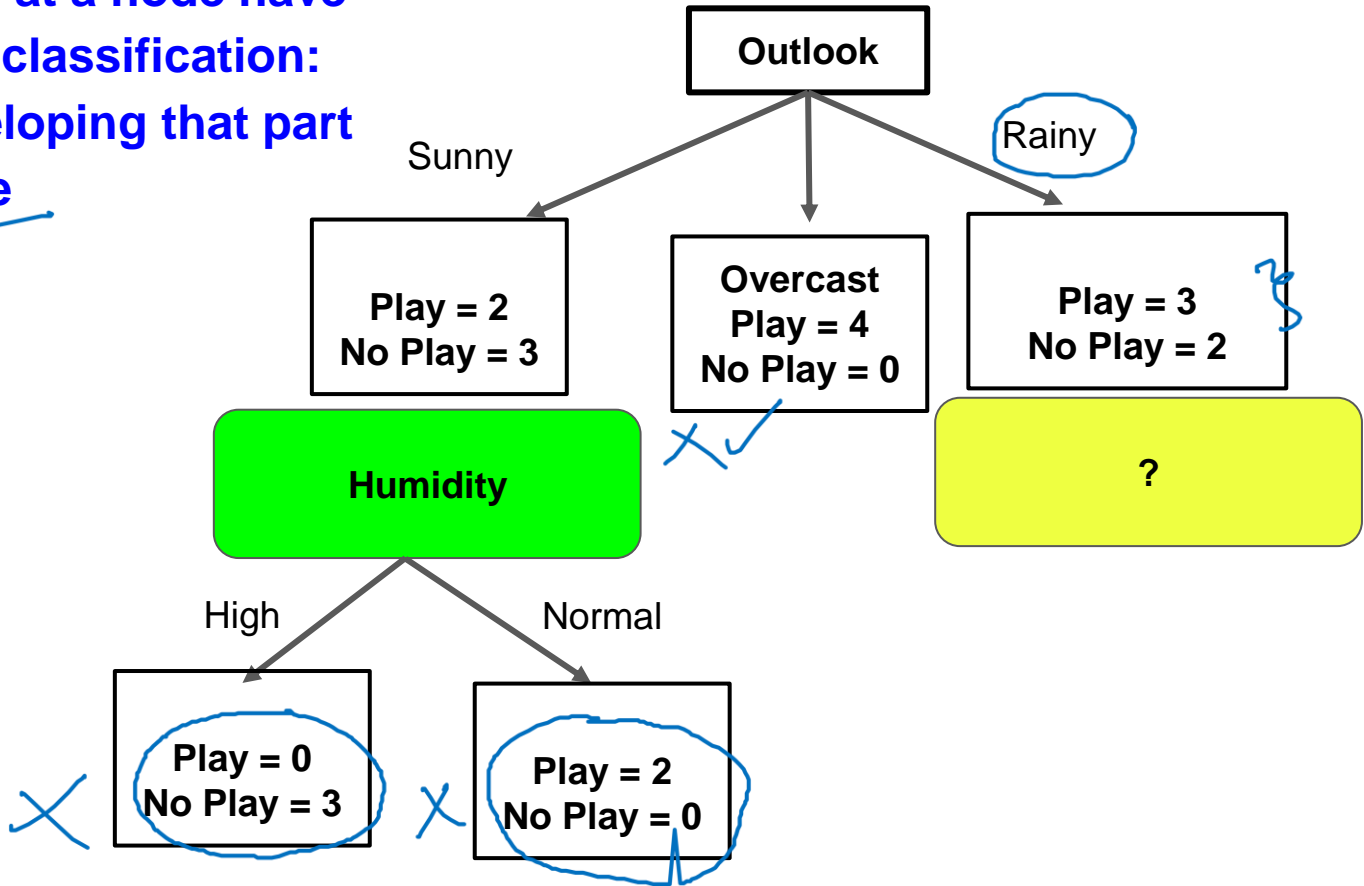
**Step 5: Repeat steps 1 to 4 for each daughter nodes of the selected partial tree**

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6	rainfall	cool	normal	strong	No
7	overcast	cool	normal	wtrong	Yes
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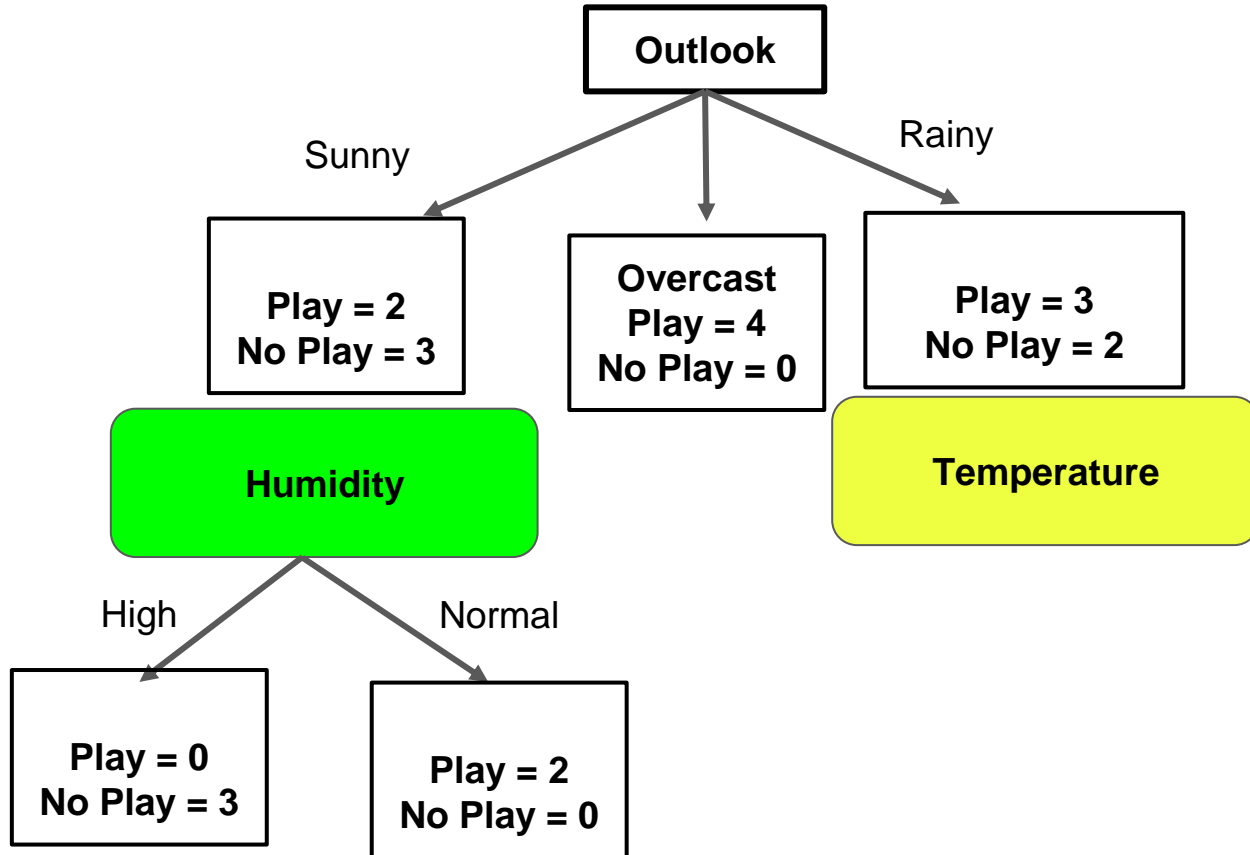


Step 6: If at any time, all instances at a node have the same classification: stop developing that part of the tree

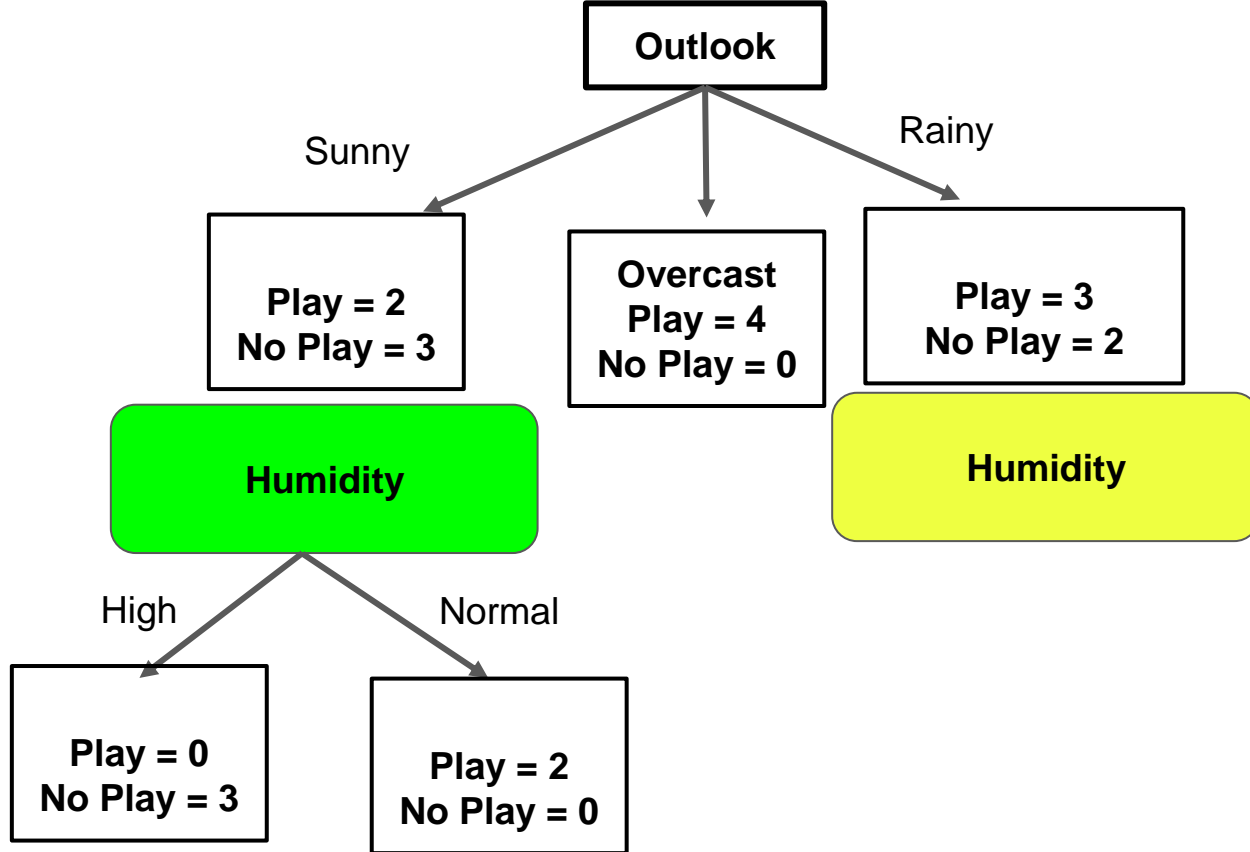
# Decision Tree



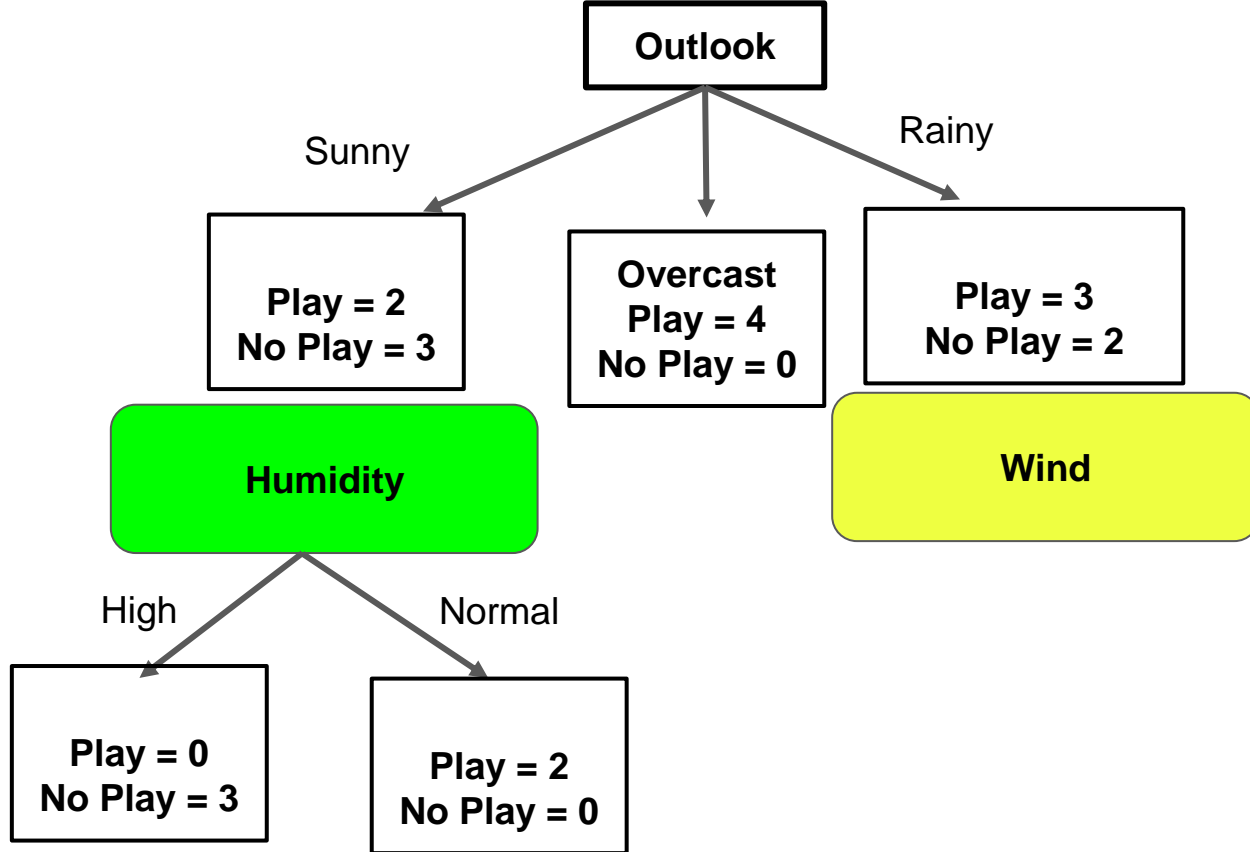
# Decision Tree



# Decision Tree

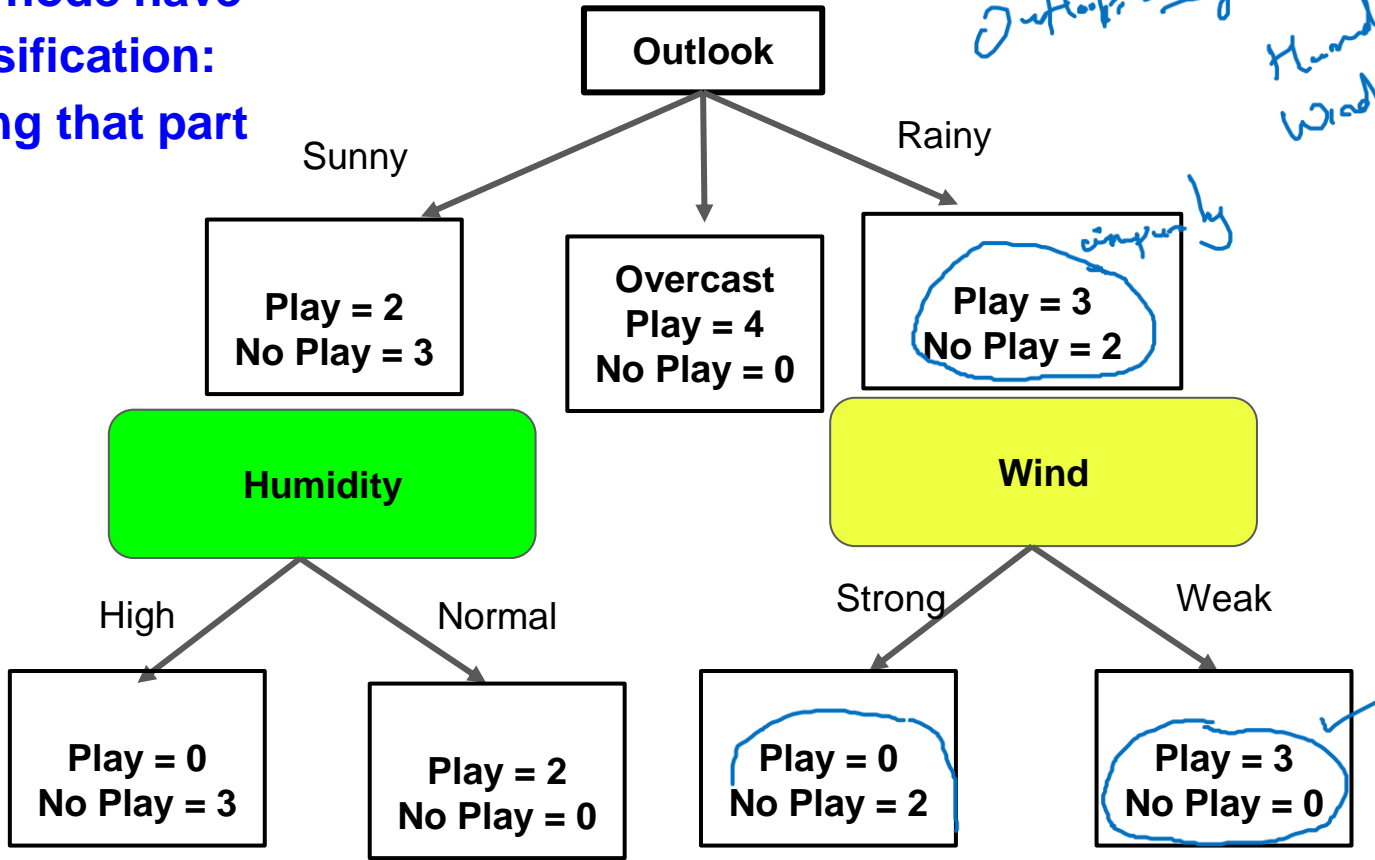


# Decision Tree



Step 6: If at any time, all instances at a node have the same classification: stop developing that part of the tree

# Decision Tree

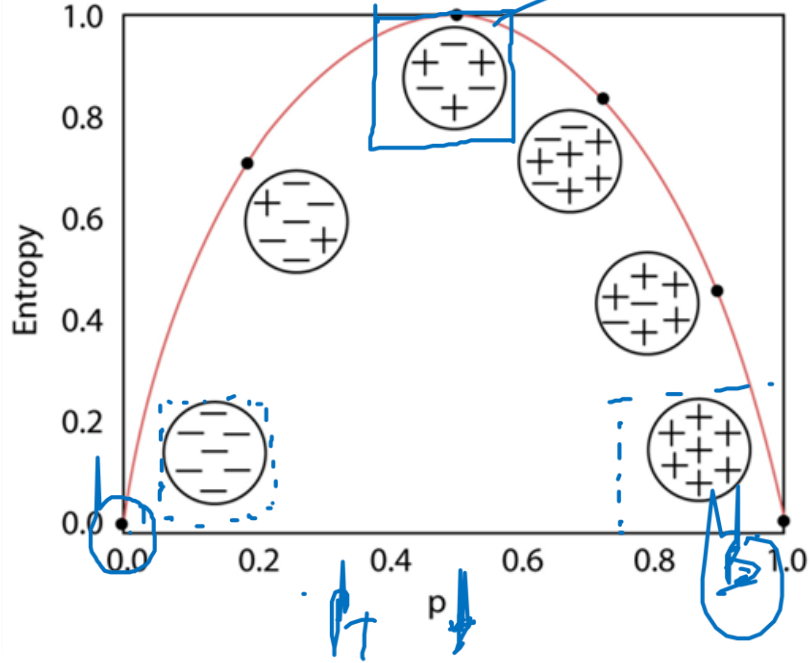


*Outlook: Rainy. They = Hot, Humidity = Normal Wind Weak*

*consistent*

*✓*

# Entropy and Information Gain



$$P(\text{positive sample}) = \frac{2}{4} = \frac{1}{2}$$

$$P(\text{-ve sample}) = \frac{3}{6} = \frac{1}{2}$$

Suppose  $S$  is a set of class labels containing both positive (+) and negative (-) instances. For example,

$$S = \{+, +, -, -, +, -, -, +, -\}.$$

The entropy of  $S$ , denoted as  $H(S)$ , is a measure of uncertainty in the class distribution and is defined as:

$$H(S) = -p_+ \log_2 p_+ - p_- \log_2 p_-,$$

where  $p_+$  and  $p_-$  represent the proportions of positive and negative labels in  $S$ , respectively. The entropy is measured in bits.



# Find the Entropy of the Decision Variable?

Day	outlook	temperature	humidity	wind	Decision
1	sunny	hot	high	weak	No
2	sunny	hot	high	strong	No
3	overcast	hot	high	weak	Yes
4	rainfall	mild	high	weak	Yes
5	rainfall	cool	normal	weak	Yes
6	rainfall	cool	normal	strong	No
7	overcast	cool	normal	wtrong	Yes
8	sunny	mild	high	weak	No
9	sunny	cool	normal	weak	Yes
10	rainfall	mild	normal	weak	Yes
11	sunny	mild	normal	strong	Yes
12	overcast	mild	high	strong	Yes
13	overcast	hot	normal	weak	Yes
14	rainfall	mild	high	strong	No

# Information Gain

$$-P_N \log_2(P_N) - P_Y \log_2(P_Y)$$

↓

Information Gain measures how well a given attribute separates the training examples according to their target classification.

Day	outlook	temperature	humidity	wind	Decision
1	sunny	hot	high	weak	No
2	sunny	hot	high	strong	No
3	overcast	hot	high	weak	Yes
4	rainfall	mild	high	weak	Yes
5	rainfall	cool	normal	weak	Yes
6	rainfall	cool	normal	strong	No
7	overcast	cool	normal	wtrong	Yes
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11	sunny	mild	normal	strong	Yes
12	overcast	mild	high	strong	Yes
13	overcast	hot	normal	weak	Yes
14	rainfall	mild	high	strong	No

S

→ 1  
→ 2

→ 3  
→ 4

→ 5

$$Gain(S, A) = H(S) - \sum_{v \in Values(A)} \frac{|S_v|}{|S|} H(S_v)$$

$$S = \{N, N, Y, Y, Y, N, Y, N, Y, Y, Y, Y, Y, N\}$$

$$H(S)$$

$$H(S) = -\frac{5}{14} \log_2\left(\frac{5}{14}\right) - \frac{9}{14} \log_2\left(\frac{9}{14}\right)$$

$$= 0.651 \quad 0.94 \text{ bits}$$

0 -

# Information Gain for **A = Outlook**

Information Gain measures how well a given attribute separates the training examples according to their target classification.

Day	outlook	temperature	humidity	wind	Decision
1	sunny	hot	high	weak	No
2	sunny	hot	high	strong	No
3	overcast	hot	high	weak	Yes
4	rainfall	mild	high	weak	Yes
5	rainfall	cool	normal	weak	Yes
6	rainfall	cool	normal	strong	No
7	overcast	cool	normal	wtrong	Yes
8	sunny	mild	high	weak	No
9	sunny	cool	normal	weak	Yes
10	rainfall	mild	normal	weak	Yes
11	sunny	mild	normal	strong	Yes
12	overcast	mild	high	strong	Yes
13	overcast	hot	normal	weak	Yes
14	rainfall	mild	high	strong	No

$$Gain(S, A = Outlook) = H(S) - \sum_{v \in Values(Outlook)} \frac{|S_v|}{|S|} H(S_v)$$

$$H(S) - \left[ \frac{|S_{sunny}|}{|S|} H(S_{sunny}) + \frac{|S_{overcast}|}{|S|} H(S_{overcast}) + \frac{|S_{rainfall}|}{|S|} H(S_{rainfall}) \right]$$

$H(S)$   
 $A = \text{Outlook}$ , how much reduction in  $H(S)$  is possible?  
 $A = \text{Temp}$ , how much reduction in  $H(S)$  is possible?

Day	outlook	temperature	humidity	wind	Decision
1	sunny	hot	high	weak	No
2	sunny	hot	high	strong	No
3	overcast	hot	high	weak	Yes
4	rainfall	mild	high	weak	Yes
5	rainfall	cool	normal	weak	Yes
6	rainfall	cool	normal	strong	No
7	overcast	cool	normal	wrong	Yes
8	sunny	mild	high	weak	No
9	sunny	cool	normal	weak	Yes
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9	sunny	cool	normal	weak	Yes
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11	sunny	mild	normal	strong	Yes
12	overcast	mild	high	strong	Yes
13	overcast	hot	normal	weak	Yes
14	rainfall	mild	high	strong	No

$S = \{1, 2, 3, 4, 5\}$

$|S| = 5$

$H(S_v)$

$H(S)$

Day	outlook	temperature	humidity	wind	Decision
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3	overcast	hot	high	weak	Yes
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6	rainfall	cool	normal	strong	No
7	overcast	cool	normal	wrong	Yes
8	sunny	mild	high	weak	No
9	sunny	cool	normal	weak	Yes
10	rainfall	mild	normal	weak	Yes
11	sunny	mild	normal	strong	Yes
12	overcast	mild	high	strong	Yes
13	overcast	hot	normal	weak	Yes
14	rainfall	mild	high	strong	No

$T = 14 \Rightarrow 5$   
 $\text{Sunny} \quad \text{Rainfall} \quad \text{overcast}$   
 $3 \text{ No } 24$

Prob  $\frac{3}{5} : \text{No}$  (1)

$\frac{2}{5} : \text{Yes}$  (2)

$$H(S_{\text{sunny}}) = -\frac{3}{5} \log_2 \left( \frac{3}{5} \right) - \frac{2}{5} \log_2 \left( \frac{2}{5} \right)$$

$| \text{Decisions} | = 14$

# Information Gain for **A = Outlook**

$$\text{Gain}(S, A = \text{Outlook}) = H(S) -$$

$$\sum_{v \in \text{Values}(\text{Outlook})} \frac{|S_v|}{|S|} H(S_v)$$

*A = Outlook*

Day	outlook	temperature	humidity	wind	Decision
1	sunny	hot	high	weak	No
2	sunny	hot	high	strong	No
3	overcast	hot	high	weak	Yes
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11	sunny	mild	normal	strong	Yes
12	overcast	mild	high	strong	Yes
13	overcast	hot	normal	weak	Yes
14	rainfall	mild	high	strong	No

$$P_{\text{No}} = \frac{3}{5}$$

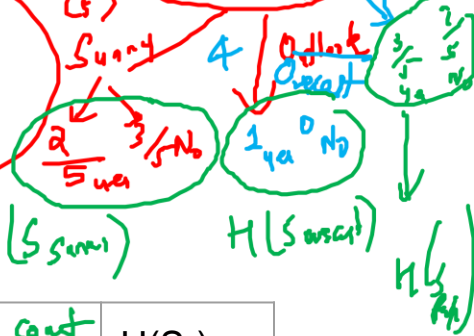
$$P_{\text{Yes}} = \frac{2}{5}$$

$S_v$	$ S_v $	$P_{\text{yes}}^{\text{count}}$	$P_{\text{No}}^{\text{count}}$	$H(S_v)$
$S_{\text{sunny}}$	5	2	3	0.97
$S_{\text{overcast}}$	4	4	0	0
$S_{\text{rainfall}}$	5	3	2	0.97

$$H(S) - \left[ \frac{5}{14} \times H(S_{\text{sunny}}) + \frac{4}{14} \times H(S_{\text{overcast}}) + \frac{5}{14} \times H(S_{\text{rainfall}}) \right]$$

$$\frac{5}{14} \times H(S_{\text{sunny}})$$

**Outlook**



cardinality of the set  $S_v$

# Information Gain for **A = Outlook**

$$Gain(S, A = Outlook) = H(S) - \sum_{v \in Values(Outlook)} \frac{|S_v|}{|S|} H(S_v)$$

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11	sunny	mild	normal	strong	Yes
12	overcast	mild	high	strong	Yes
13	overcast	hot	normal	weak	Yes
14	rainfall	mild	high	strong	No

## 1. Entropy of "Outlook"

Outlook	Subset Count	Play=Yes	Play=No	Entropy
Sunny	5 →	2 ✓	3 ✓	$H(S_{\text{sunny}}) = -(\frac{2}{5} \log_2 \frac{2}{5}) - (\frac{3}{5} \log_2 \frac{3}{5}) \approx 0.971$
Overcast	4	4 ✓	0 ✓	$H(S_{\text{overcast}}) = 0$
Rainy	5 ✓	3 ✓	2 ✓	$H(S_{\text{rainy}}) = -(\frac{3}{5} \log_2 \frac{3}{5}) - (\frac{2}{5} \log_2 \frac{2}{5}) \approx 0.971$

$$H(S_{\text{Outlook}}) = \frac{5}{14}(0.971) + \frac{4}{14}(0) + \frac{5}{14}(0.971) \approx \underline{0.693}$$

$$IG(\text{Outlook}) = H(S) - H(S_{\text{Outlook}}) = 0.94 - 0.693 = 0.247$$



# Information Gain for A = Temperature

$$Gain(S, A) = H(S) - \sum_{v \in Values(A)} \frac{|S_v|}{|S|} H(S_v)$$



Day	outlook	temperature	humidity	wind	Decision
1	sunny	hot ✓	high	weak	No
2	sunny	hot ✓	high	strong	No
3	overcast	hot ✓	high	weak	Yes
4	rainfall	mild -	high	weak	Yes
5	rainfall	cool -	normal	weak	Yes →
6	rainfall	cool -	normal	strong	No
7	overcast	cool -	normal	wrong	Yes →
8	sunny	mild -	high	weak	No
9	sunny	cool -	normal	weak	Yes →
10	rainfall	mild -	normal	weak	Yes
11	sunny	mild -	normal	strong	Yes
12	overcast	mild -	high	strong	Yes
13	overcast	hot ✓	normal	weak	Yes
14	rainfall	mild -	high	strong	No

$S_v$	$ S_v $	$P_{yes}^{count}$	$P_{No}$	$H(S_v)$
$S_{HOT}$	4	2	2	1
$S_{MILD}$	6	4	2	0.92
$S_{COOL}$	4	3	1	0.89

# Information Gain for **A = Temperature**

$$Gain(S, A) = H(S) - \sum_{v \in Values(A)} \frac{|S_v|}{|S|} H(S_v)$$

Day	outlook	temperature	humidity	wind	Decision
1	sunny	hot	high	weak	No
2	sunny	hot	high	strong	No
3	overcast	hot	high	weak	Yes
4	rainfall	mild	high	weak	Yes
5	rainfall	cool	normal	weak	Yes
6	rainfall	cool	normal	strong	No
7	overcast	cool	normal	wtrong	Yes
8	sunny	mild	high	weak	No
9	sunny	cool	normal	weak	Yes
10	rainfall	mild	normal	weak	Yes
11	sunny	mild	normal	strong	Yes
12	overcast	mild	high	strong	Yes
13	overcast	hot	normal	weak	Yes
14	rainfall	mild	high	strong	No

## 2. Entropy of "Temperature"

Temperature	Subset Count	Play=Yes	Play=No	Entropy
Hot	4	2	2	$H(S_{\text{hot}}) = 1.0$
Mild	6	4	2	$H(S_{\text{mild}}) = 0.918$
Cool	4	3	1	$H(S_{\text{cool}}) = 0.811$

$$H(S_{\text{Temperature}}) = \frac{4}{14}(1.0) + \frac{6}{14}(0.918) + \frac{4}{14}(0.811) \approx 0.911$$

$$IG(\text{Temperature}) = H(S) - H(S_{\text{Temperature}}) = 0.94 - 0.911 = 0.029$$

$$\Rightarrow 0.94 - 0.911 = \underline{\underline{0.029}}$$

# Information Gain for **A = Humidity**

$$Gain(S, A) = H(S) - \sum_{v \in Values(A)} \frac{|S_v|}{|S|} H(S_v)$$

Day	outlook	temperature	humidity	wind	Decision
1	sunny	hot	high	weak	No
2	sunny	hot	high	strong	No
3	overcast	hot	high	weak	Yes
4	rainfall	mild	high	weak	Yes
5	rainfall	cool	normal	weak	Yes
6	rainfall	cool	normal	strong	No
7	overcast	cool	normal	wtrong	Yes
8	sunny	mild	high	weak	No
9	sunny	cool	normal	weak	Yes
10	rainfall	mild	normal	weak	Yes
11	sunny	mild	normal	strong	Yes
12	overcast	mild	high	strong	Yes
13	overcast	hot	normal	weak	Yes
14	rainfall	mild	high	strong	No

$S_v$	$ S_v $	$P_{yes}$	$P_{No}$	$H(S_v)$
$S_{HIGH}$	7	3	4	0.985
$S_{NORMAL}$	7	6	1	0.591

# Information Gain for **A = Humidity**

$$Gain(S, A) = H(S) - \sum_{v \in Values(A)} \frac{|S_v|}{|S|} H(S_v)$$

Day	outlook	temperature	humidity	wind	Decision
1	sunny	hot	high	weak	No
2	sunny	hot	high	strong	No
3	overcast	hot	high	weak	Yes
4	rainfall	mild	high	weak	Yes
5	rainfall	cool	normal	weak	Yes
6	rainfall	cool	normal	strong	No
7	overcast	cool	normal	wtrong	Yes
8	sunny	mild	high	weak	No
9	sunny	cool	normal	weak	Yes
10	rainfall	mild	normal	weak	Yes
11	sunny	mild	normal	strong	Yes
12	overcast	mild	high	strong	Yes
13	overcast	hot	normal	weak	Yes
14	rainfall	mild	high	strong	No

### 3. Entropy of "Humidity"

Humidity	Subset Count	Play=Yes	Play=No	Entropy
High	7	3	4	$H(S_{\text{high}}) = 0.985$ ✓
Normal	7	6	1	$H(S_{\text{normal}}) = 0.592$ ✓

$$H(S_{\text{Humidity}}) = \frac{7}{14}(0.985) + \frac{7}{14}(0.592) \approx 0.789$$

$$IG(\text{Humidity}) = H(S) - H(S_{\text{Humidity}}) = 0.94 - 0.789 = 0.151$$

# Information Gain for **A = Wind**

$$Gain(S, A) = H(S) - \sum_{v \in Values(A)} \frac{|S_v|}{|S|} H(S_v)$$

Day	outlook	temperature	humidity	wind	Decision
1	sunny	hot	high	weak	No
2	sunny	hot	high	strong	No
3	overcast	hot	high	weak	Yes
4	rainfall	mild	high	weak	Yes
5	rainfall	cool	normal	weak	Yes
6	rainfall	cool	normal	strong	No
7	overcast	cool	normal	wtrong	Yes
8	sunny	mild	high	weak	No
9	sunny	cool	normal	weak	Yes
10	rainfall	mild	normal	weak	Yes
11	sunny	mild	normal	strong	Yes
12	overcast	mild	high	strong	Yes
13	overcast	hot	normal	weak	Yes
14	rainfall	mild	high	strong	No

$S_v$	$ S_v $	$P_{yes}$	$P_{No}$	$H(S_v)$
$S_{Weak}$				
$S_{Strong}$				

IG = 0.048

# Information Gain for **A = Wind**

$$Gain(S, A) = H(S) - \sum_{v \in Values(A)} \frac{|S_v|}{|S|} H(S_v)$$

Day	outlook	temperature	humidity	wind	Decision
1	sunny	hot	high	weak	No
2	sunny	hot	high	strong	No
3	overcast	hot	high	weak	Yes
4	rainfall	mild	high	weak	Yes
5	rainfall	cool	normal	weak	Yes
6	rainfall	cool	normal	strong	No
7	overcast	cool	normal	wtrong	Yes
8	sunny	mild	high	weak	No
9	sunny	cool	normal	weak	Yes
10	rainfall	mild	normal	weak	Yes
11	sunny	mild	normal	strong	Yes
12	overcast	mild	high	strong	Yes
13	overcast	hot	normal	weak	Yes
14	rainfall	mild	high	strong	No

## 4. Entropy of "Wind"

Wind	Subset Count	Play=Yes	Play=No	Entropy
Weak	8	6	2	$H(S_{\text{weak}}) = 0.811$
Strong	6	3	3	$H(S_{\text{strong}}) = 1.0$

$$H(S_{\text{Wind}}) = \frac{8}{14}(0.811) + \frac{6}{14}(1.0) \approx 0.892$$

$$IG(\text{Wind}) = H(S) - H(S_{\text{Wind}}) = 0.94 - 0.892 = 0.048$$



# Summary of First Split

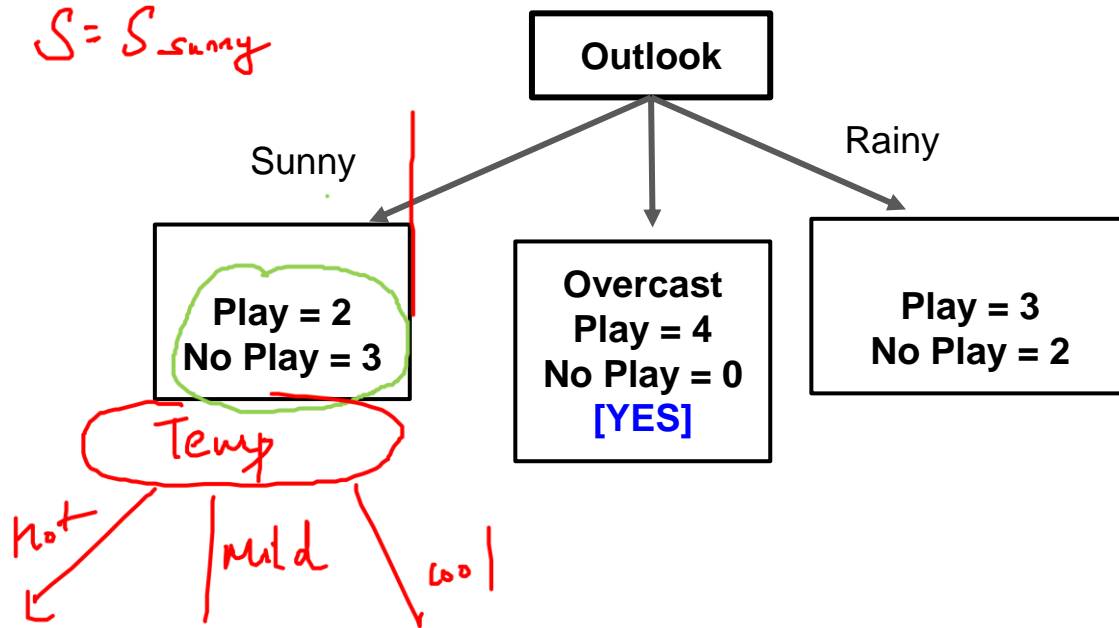
## Step 3: Information Gain Summary Table

Attribute	Entropy	Information Gain
Outlook	0.693	0.247 ✓
Temperature	0.911	0.029 ✓
Humidity	0.789	0.151 ✓
Wind	0.892	0.048 ✓

## Step 4: Choosing the Best Split

Since **Outlook** has the highest Information Gain (0.247), it is chosen as the root node for the decision tree.

# Decision Tree



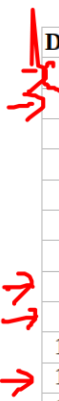


$S = S_{\text{outlook}}$ , Find  $H(S)$ ?

$S = \{ \text{sunny} \}$ . Find  $H(S)$   
 $H(S)$

Day	outlook	temperature	humidity	wind	Decision
1	sunny	hot	high	weak	No
2	sunny	hot	high	strong	No
3	overcast	hot	high	weak	Yes
4	rainfall	mild	high	weak	Yes
5	rainfall	cool	normal	weak	Yes
6	rainfall	cool	normal	strong	No
7	overcast	cool	normal	wtrong	Yes
8	sunny	mild	high	weak	No
9	sunny	cool	normal	weak	Yes
10	rainfall	mild	normal	weak	Yes
11	sunny	mild	normal	strong	Yes
12	overcast	mild	high	strong	Yes
13	overcast	hot	normal	weak	Yes
14	rainfall	mild	high	strong	No

$S = S_{\text{outlook}}$  , Find  $H(S)$ ?



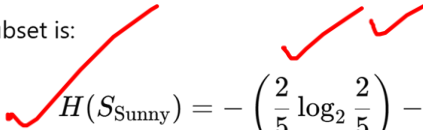
Day	outlook	temperature	humidity	wind	Decision
1	sunny	hot	high	weak	No
2	sunny	hot	high	strong	No
3	overcast	hot	high	weak	Yes
4	rainfall	mild	high	weak	Yes
5	rainfall	cool	normal	weak	Yes
6	rainfall	cool	normal	strong	No
7	overcast	cool	normal	strong	Yes
8	sunny	mild	high	weak	No
9	sunny	cool	normal	weak	Yes
10	rainfall	mild	normal	weak	Yes
11	sunny	mild	normal	strong	Yes
12	overcast	mild	high	strong	Yes
13	overcast	hot	normal	weak	Yes
14	rainfall	mild	high	strong	No

### Subset for Outlook = Sunny



Temperature	Humidity	Wind	Play Tennis
Hot	High	Weak	No
Hot	High	Strong	No
Mild	High	Weak	No
Cool	Normal	Weak	Yes
Mild	Normal	Strong	Yes

The entropy for this subset is:



$$\begin{aligned}
 H(S_{\text{Sunny}}) &= - \left( \frac{2}{5} \log_2 \frac{2}{5} \right) - \left( \frac{3}{5} \log_2 \frac{3}{5} \right) \\
 &= - (0.4 \times (-1.322)) - (0.6 \times (-0.737)) \\
 &= 0.971
 \end{aligned}$$

$S = S_{\text{outlook}}^{\text{sunny}}$ ,  $A = \text{Temperature}$

Day	outlook	temperature	humidity	wind	Decision
1	sunny	hot ✓	high	weak	No
2	sunny	hot ✓	high	strong	No
3	overcast	hot	high	weak	Yes
4	rainfall	mild	high	weak	Yes
5	rainfall	cool	normal	weak	Yes
6	rainfall	cool	normal	strong	No
7	overcast	cool	normal	wtrong	Yes
8	sunny	mild	high	weak	No
9	sunny	cool	normal	weak	Yes
10	rainfall	mild	normal	weak	Yes
11	sunny	mild	normal	strong	Yes
12	overcast	mild	high	strong	Yes
13	overcast	hot	normal	weak	Yes
14	rainfall	mild	high	strong	No

$S_v$	$ S_v $	$P_{\text{yes}}$	$P_{\text{No}}$	$H(S_v)$
$S_{\text{HOT}}$	2	0	2	0
$S_{\text{MILD}}$	2	1	1	1
$S_{\text{COOL}}$	1	1	0	0

$S = S_{\text{outlook}}$  ,  $A = \text{Temperature}$

Day	outlook	temperature	humidity	wind	Decision
1	sunny	hot	high	weak	No
2	sunny	hot	high	strong	No
3	overcast	hot	high	weak	Yes
4	rainfall	mild	high	weak	Yes
5	rainfall	cool	normal	weak	Yes
6	rainfall	cool	normal	strong	No
7	overcast	cool	normal	wtrong	Yes
8	sunny	mild	high	weak	No
9	sunny	cool	normal	weak	Yes
10	rainfall	mild	normal	weak	Yes
11	sunny	mild	normal	strong	Yes
12	overcast	mild	high	strong	Yes
13	overcast	hot	normal	weak	Yes
14	rainfall	mild	high	strong	No

## Compute Information Gain for Each Attribute

### 1. Temperature

Temperature	Play Yes	Play No	Entropy
Hot	0	2	0
Mild	1	1	1.0
Cool	1	0	0

$$H(S_{\text{Temperature}}) = \frac{2}{5}(0) + \frac{2}{5}(1.0) + \frac{1}{5}(0)$$

$$= 0 + 0.4 + 0 = 0.4$$

$$IG(\text{Temperature}) = \underline{0.971} - 0.4 = \underline{0.571}$$

$S = S_{\text{outlook}}$  ,  $A = \text{Humidity}$

Day	outlook	temperature	humidity	wind	Decision
1	sunny	hot	high	weak	No
2	sunny	hot	high	strong	No
3	overcast	hot	high	weak	Yes
4	rainfall	mild	high	weak	Yes
5	rainfall	cool	normal	weak	Yes
6	rainfall	cool	normal	strong	No
7	overcast	cool	normal	wtrong	Yes
8	sunny	mild	high	weak	No
9	sunny	cool	normal	weak	Yes
10	rainfall	mild	normal	weak	Yes
11	sunny	mild	normal	strong	Yes
12	overcast	mild	high	strong	Yes
13	overcast	hot	normal	weak	Yes
14	rainfall	mild	high	strong	No

$S_v$	$ S_v $	$P_{\text{yes}}$	$P_{\text{No}}$	$H(S_v)$
$S_{\text{HIGH}}$	3	0	3	0
$S_{\text{NORMAL}}$	2	2	0	0

$S = S_{\text{outlook}}$  ,  $A = \text{Humidity}$

Day	outlook	temperature	humidity	wind	Decision
1	sunny	hot	high	weak	No
2	sunny	hot	high	strong	No
3	overcast	hot	high	weak	Yes
4	rainfall	mild	high	weak	Yes
5	rainfall	cool	normal	weak	Yes
6	rainfall	cool	normal	strong	No
7	overcast	cool	normal	wtrong	Yes
8	sunny	mild	high	weak	No
9	sunny	cool	normal	weak	Yes
10	rainfall	mild	normal	weak	Yes
11	sunny	mild	normal	strong	Yes
12	overcast	mild	high	strong	Yes
13	overcast	hot	normal	weak	Yes
14	rainfall	mild	high	strong	No

## 2. Humidity

Humidity	Play Yes	Play No	Entropy
High	0	3	0
Normal	2	0	0

$$H(S_{\text{Humidity}}) = \frac{3}{5}(0) + \frac{2}{5}(0) = 0$$

$$IG(\text{Humidity}) = 0.971 - 0 = 0.971$$

$I G_{\max}$

$S = S_{\text{outlook}}$  ,  $A = \text{Wind}$

Day	outlook	temperature	humidity	wind	Decision
1	sunny	hot	high	weak →	No
2	sunny	hot	high	strong -	No
3	overcast	hot	high	weak	Yes
4	rainfall	mild	high	weak	Yes
5	rainfall	cool	normal	weak	Yes
6	rainfall	cool	normal	strong	No
7	overcast	cool	normal	wtrong	Yes
8	sunny	mild	high	weak →	No
9	sunny	cool	normal	weak →	Yes
10	rainfall	mild	normal	weak	Yes
11	sunny	mild	normal	strong	Yes
12	overcast	mild	high	strong	Yes
13	overcast	hot	normal	weak	Yes
14	rainfall	mild	high	strong	No

$S_v$	$ S_v $	$P_{\text{yes}}$	$P_{\text{No}}$	$H(S_v)$
$S_{\text{Weak}}$	3	1	2	
$S_{\text{Strong}}$	2	1	1	

$$S = S_{\text{outlook}}, A = \text{Wind}$$

Day	outlook	temperature	humidity	wind	Decision
1	sunny	hot	high	weak	No
2	sunny	hot	high	strong	No
3	overcast	hot	high	weak	Yes
4	rainfall	mild	high	weak	Yes
5	rainfall	cool	normal	weak	Yes
6	rainfall	cool	normal	strong	No
7	overcast	cool	normal	wtrong	Yes
8	sunny	mild	high	weak	No
9	sunny	cool	normal	weak	Yes
10	rainfall	mild	normal	weak	Yes
11	sunny	mild	normal	strong	Yes
12	overcast	mild	high	strong	Yes
13	overcast	hot	normal	weak	Yes
14	rainfall	mild	high	strong	No

### 3. Wind

Wind	Play Yes	Play No	Entropy
Weak	1	2	0.918
Strong	1	1	1.0

$$H(S_{\text{Wind}}) = \frac{3}{5}(0.918) + \frac{2}{5}(1.0)$$

$$= 0.5508 + 0.4 = 0.9508$$

$$IG(\text{Wind}) = 0.971 - 0.9508 = 0.020$$



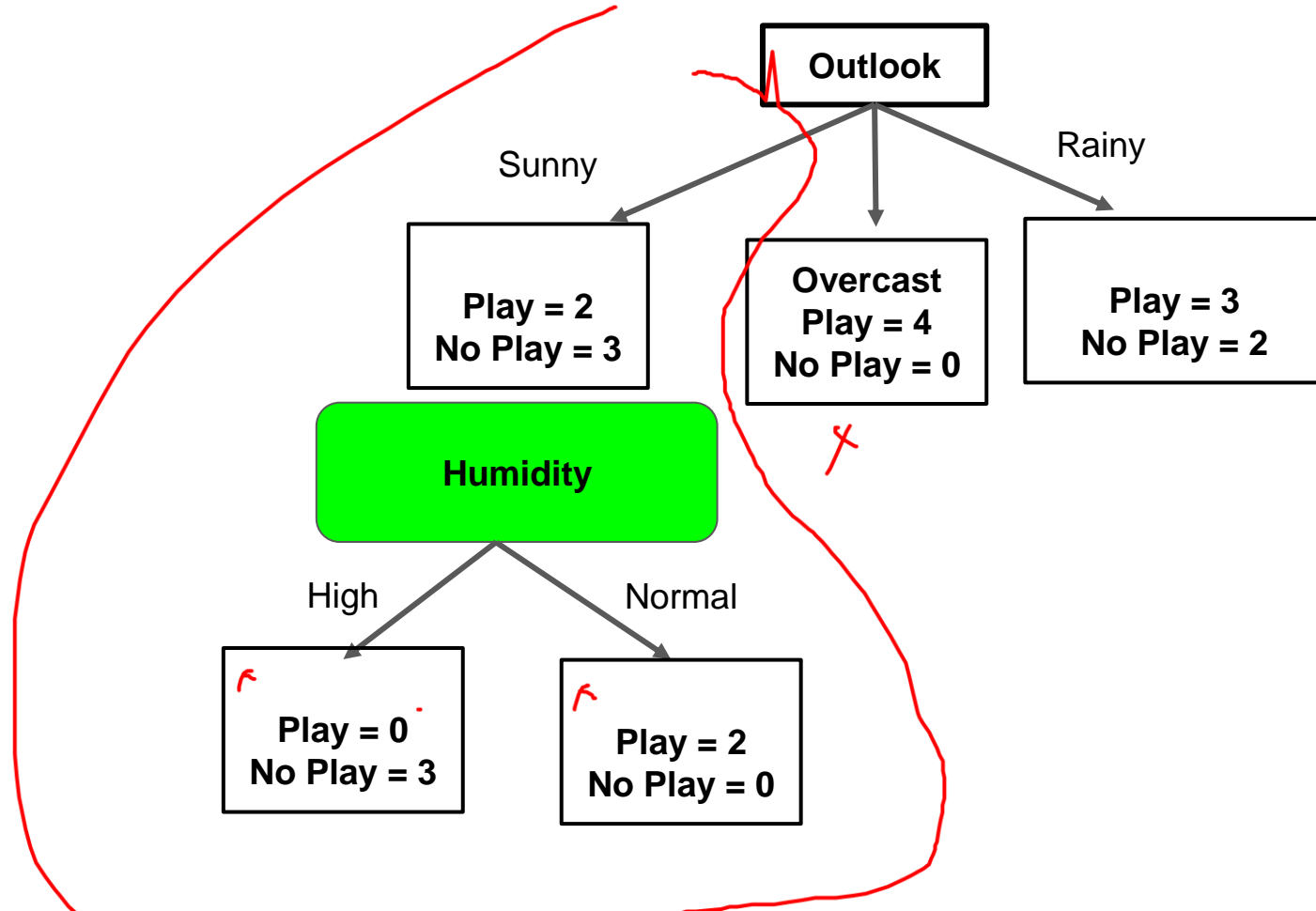
# Summary of the Split

## Information Gain Summary for Sunny Subset

Attribute	Entropy	Information Gain
Temperature	0.4	0.571
Humidity	0	0.971 ✓
Wind	0.9508	0.020

Since **Humidity** has the highest Information Gain (0.971), it is the best attribute to split on.

# Decision Tree



$S = S_{\text{rainfall}}$  ,  $A = \text{Temperature}$

$S = S_{\text{rainfall}}$  ,  $A = \text{Humidity}$

$S = S_{\text{rainfall}}$  ,  $A = \text{Wind}$

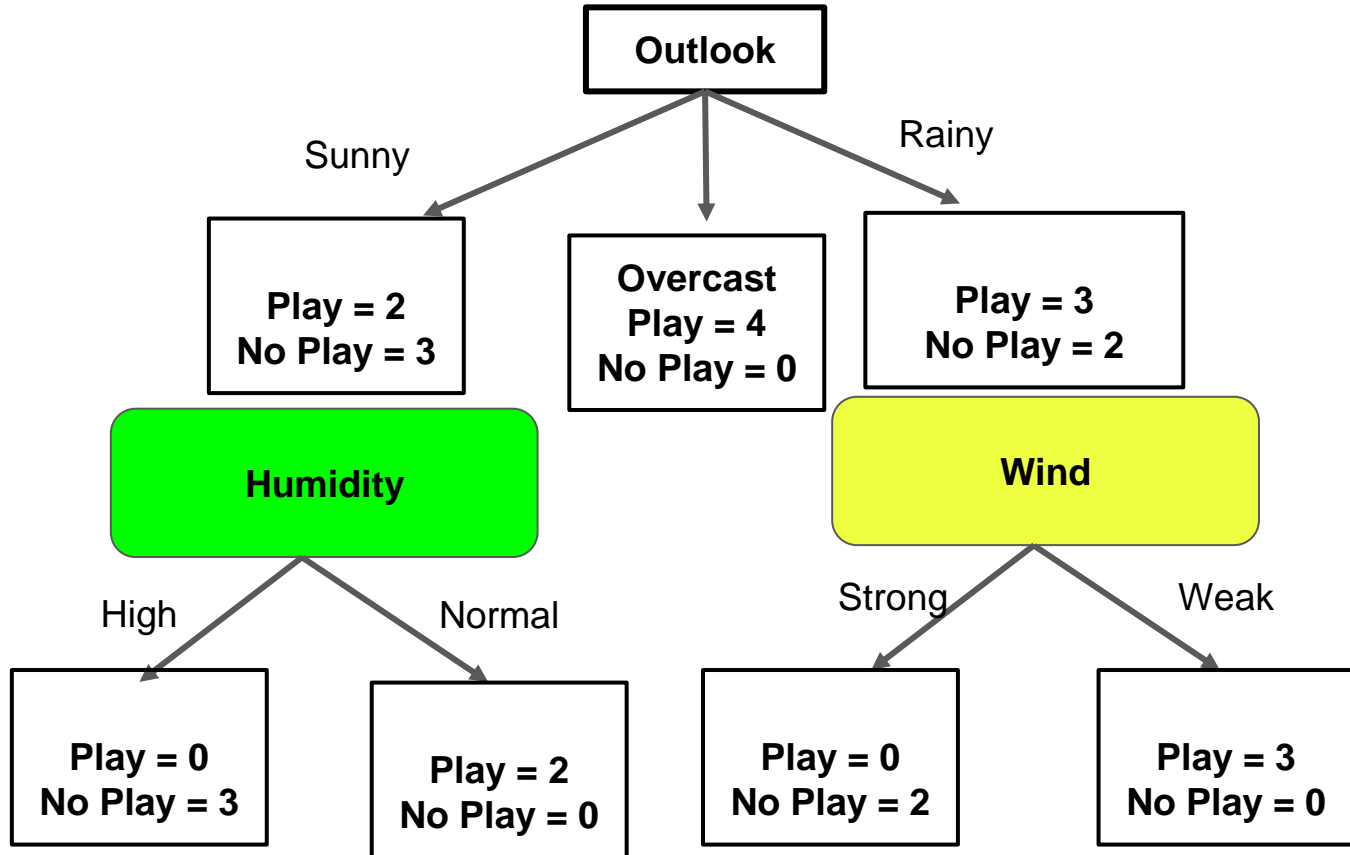
# Summary of the Split

## Information Gain Summary for Rainy Subset

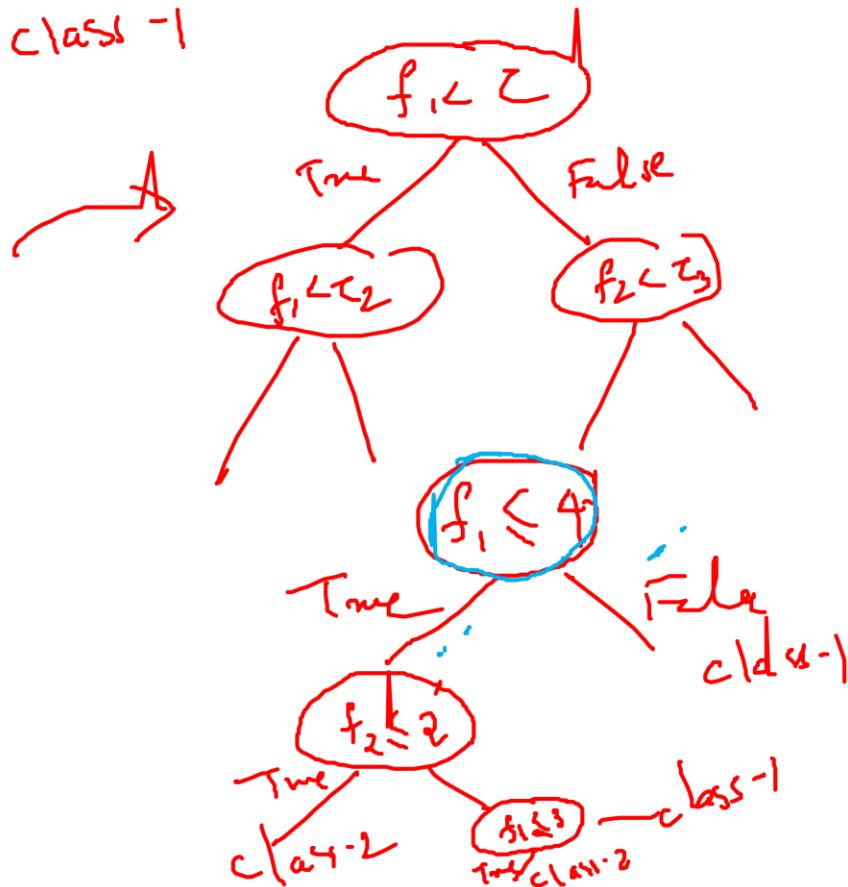
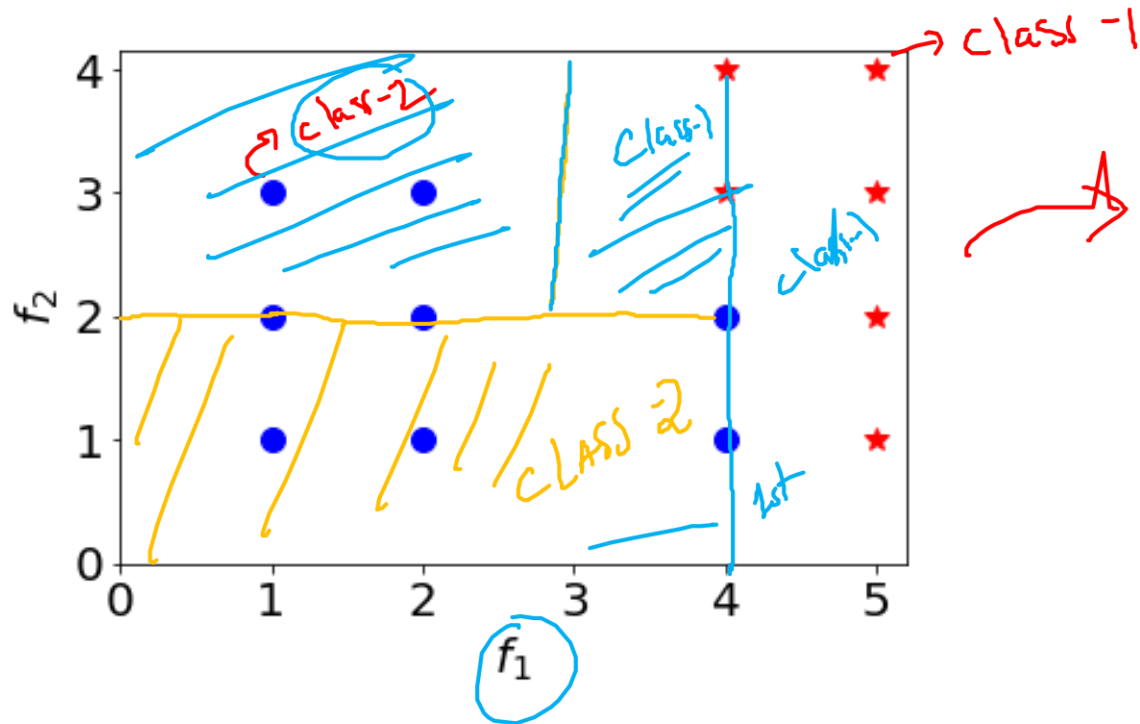
Attribute	Entropy	Information Gain
Temperature	0.9508	0.020
Humidity	0.9508	0.020
Wind	0	0.971

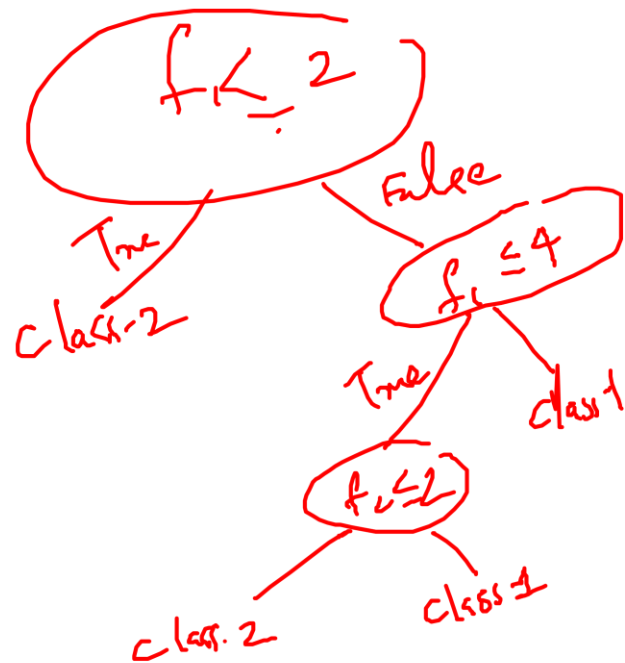
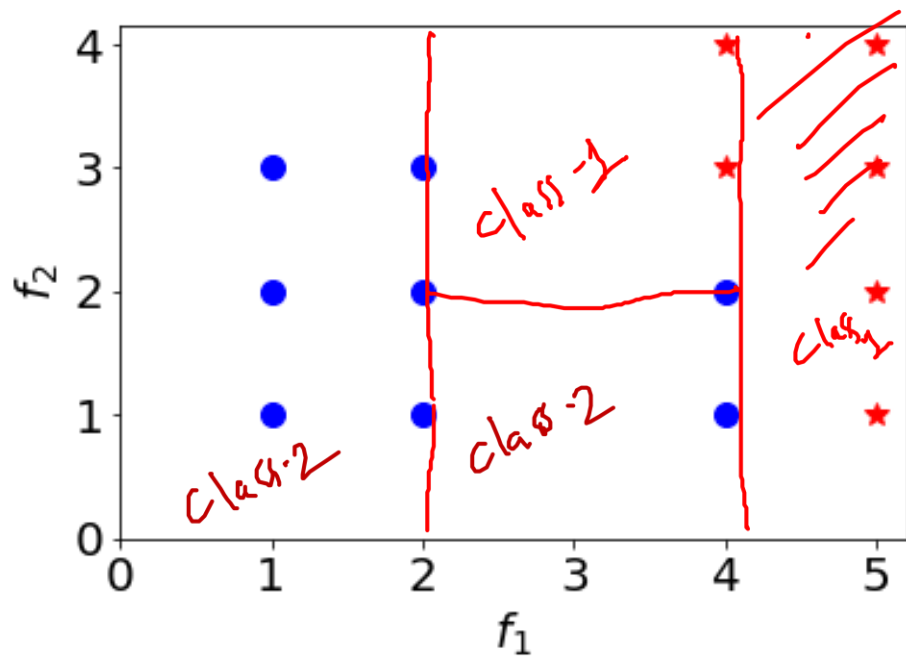
Since **Wind** has the highest Information Gain (0.971), it is the best attribute to split on.

# Decision Tree



# Decision Tree for Continuous Variables

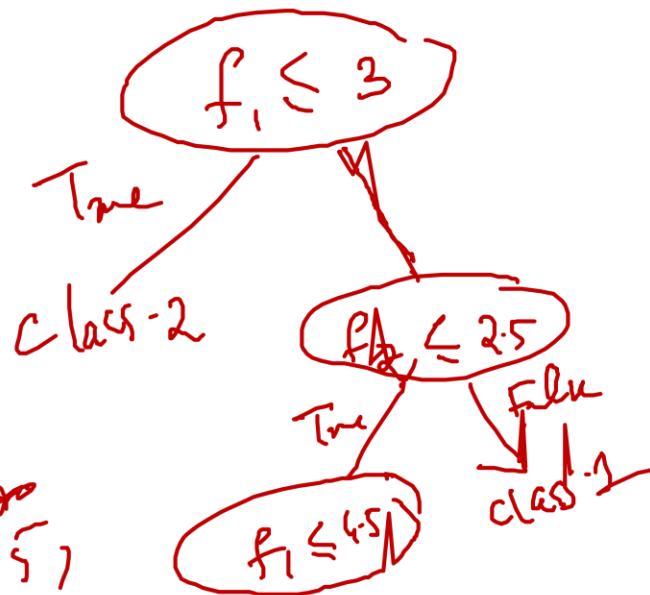
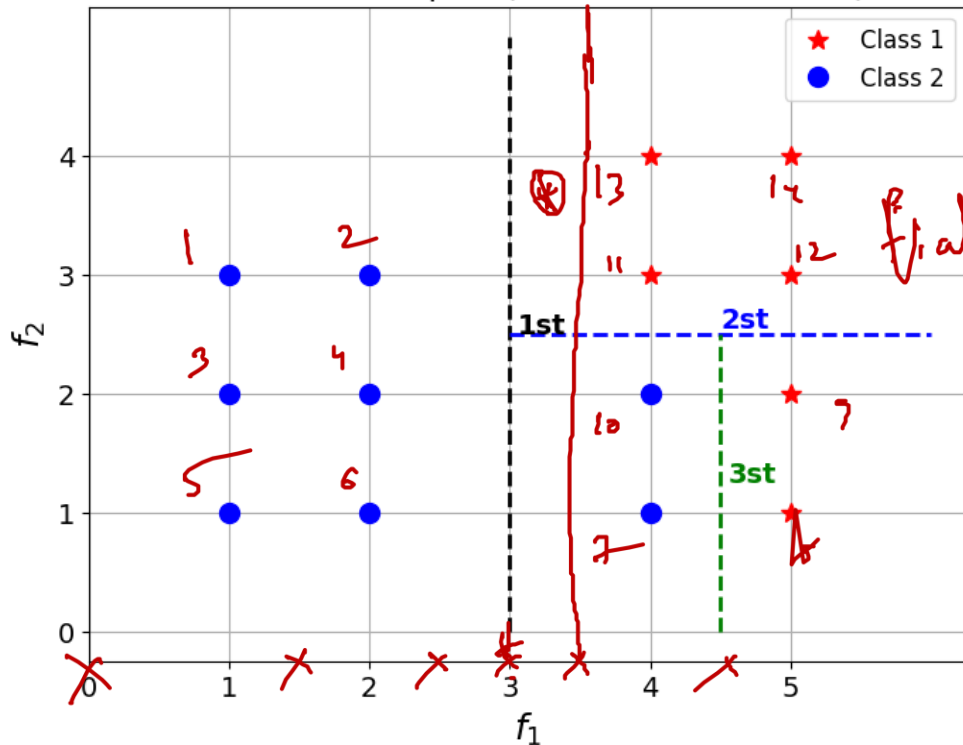




# Decision Tree Splits

$f_1: 0 \text{ to } 5$   
 $f_2: 0 \text{ to } 4$

Decision Tree Splits (Ordered & Annotated)



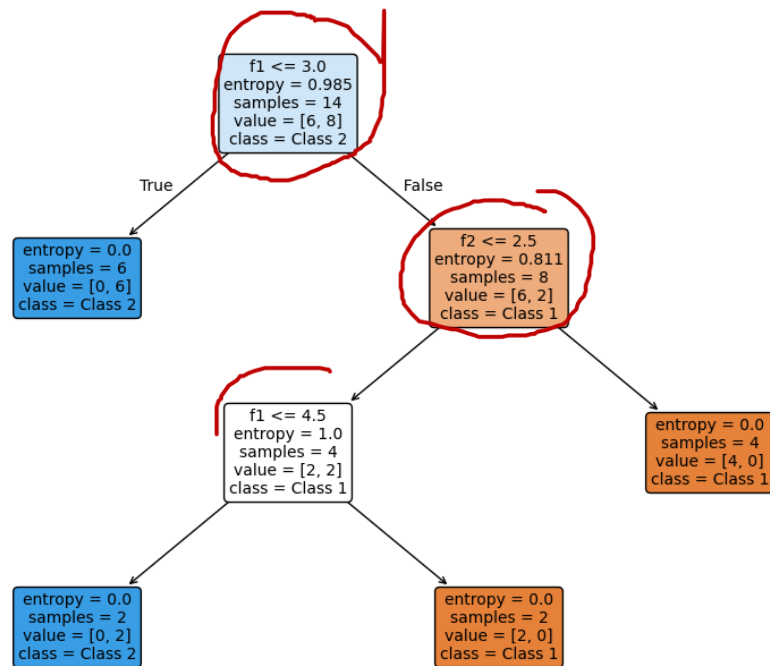
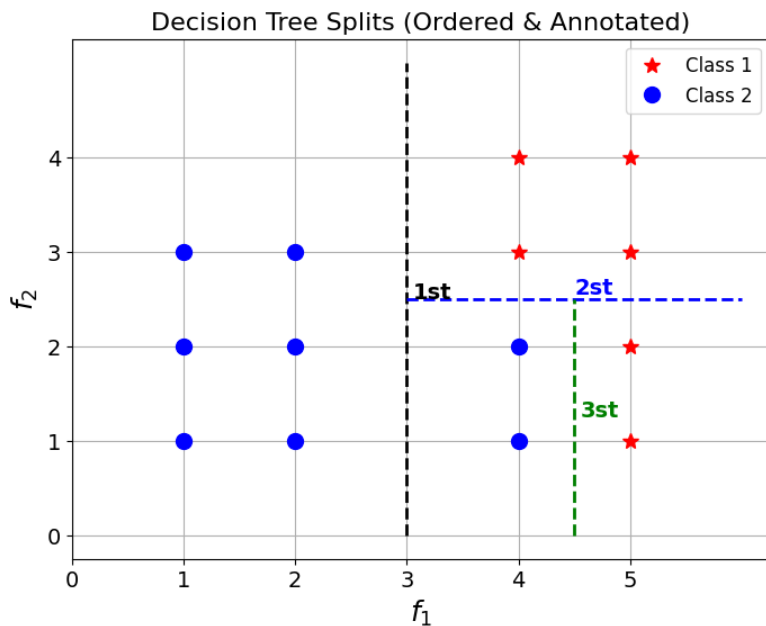
$f_1: 0 \text{ to } 5$   
 $f_2: 0 \text{ to } 4$

$8: \text{class-2}$   
 $6: \text{class-1}$

$$H(S) = \left[ \frac{6}{14} \ln\left(\frac{14}{6}\right) + \frac{8}{14} \ln\left(\frac{14}{8}\right) \right]$$



# Decision Tree Splits



# Hyperparameters in Decision Tree

criterion{"gini", "entropy", "log\_loss"}, default="gini"

for crts

max\_depth int, default=None

for max-depth

**min\_samples\_split** : int or float, default=2

The minimum number of samples required to split an internal node:

for min-samples per bit  
for min-samples

- If int, then consider `min_samples_split` as the minimum number.
- If float, then `min_samples_split` is a fraction and  $\text{ceil}(\text{min\_samples\_split} * \text{n\_samples})$  are the minimum number of samples for each split.

**min\_samples\_leaf** int or float, default=1

The minimum number of samples required to be at a leaf node. A split point at any depth will only be considered if it leaves at least `min_samples_leaf` training samples in each of the left and right branches. This may have the effect of smoothing the model, especially in regression.

- If int, then consider `min_samples_leaf` as the minimum number.
- If float, then `min_samples_leaf` is a fraction and  $\text{ceil}(\text{min\_samples\_leaf} * \text{n\_samples})$  are the minimum number of samples for each node.

max\_features : int, float or {"sqrt", "log2"}, default=None

## 1 `min_samples_split` (Controls When to Split a Node)

- Defines the **minimum number of samples required to split** an internal node.
- If a node has **fewer** than `min_samples_split` samples, it **won't split** further.
- Helps **prevent overfitting** by stopping unnecessary splits.

### Examples

- `min_samples_split=5` → A node **must have at least 5 samples** to split.
- `min_samples_split=0.2` → A node **must have at least 20% of total samples** to split.
- ♦ **Key Effect:** Controls the tree's depth by **stopping premature splits**.

## 2 `min_samples_leaf` (Controls Minimum Leaf Size)

- Defines the **minimum number of samples required in a leaf node** (final node after splitting).
- Prevents the tree from creating **tiny, unstable leaf nodes**.
- Useful for **smoothing predictions** in regression.

### Examples

- `min_samples_leaf=2` → Each leaf node must have at least 2 samples.
  - `min_samples_leaf=0.1` → Each leaf must contain at least 10% of total samples.
- ◆ **Key Effect:** Prevents **small leaves** and ensures meaningful splits.

# HW

3 feature

## Task:

Using the **Breast Cancer Wisconsin Dataset**, perform **Principal Component Analysis (PCA)** to reduce the feature dimensions to **2**. Then, conduct **hyperparameter tuning** using **3-fold cross-validation** to optimize the values of:

- **max\_depth** (maximum depth of the tree) ✓
- **min\_samples\_split** (minimum samples required to split a node) ✓
- **min\_samples\_leaf** (minimum samples required in a leaf node) ✓

Once the best hyperparameters are identified, evaluate the model's performance on the **test dataset**.

Report Accuracy, Precision, Recall,  
F1-score.