

# Adaptive Control and Machine Learning for Particle Accelerator Beam Control and Diagnostics

Alexander Scheinker  
[ascheink@lanl.gov](mailto:ascheink@lanl.gov)

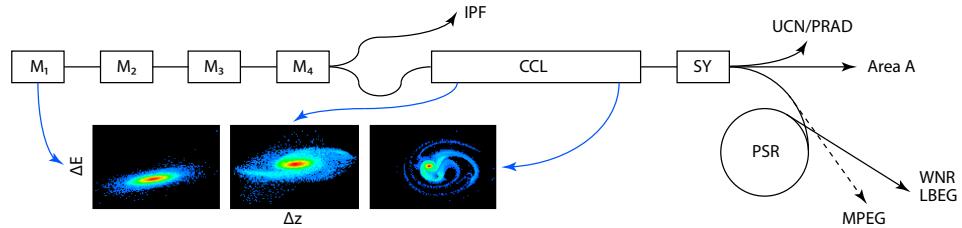
IBIC 2021



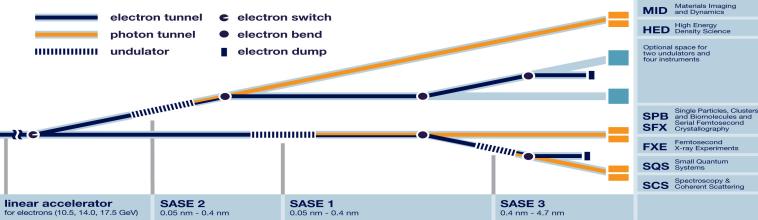
## LCLS/LCLS-II



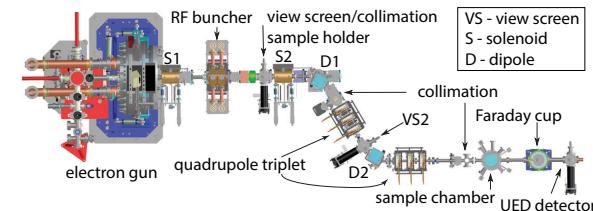
## LANSCE



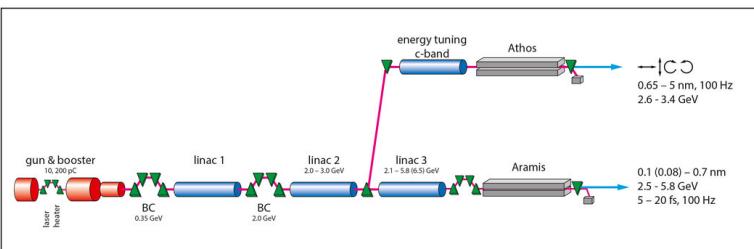
## EuXFEL



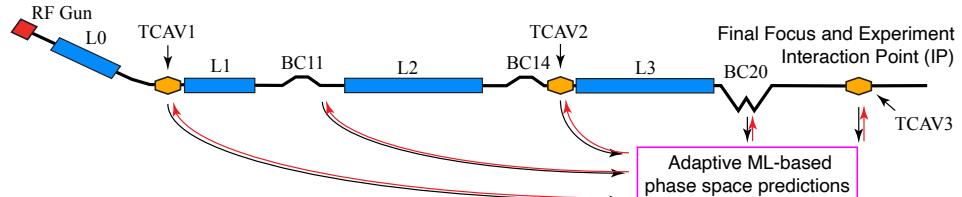
## HiRES



Swiss XFEL, 0.6 fs pulses!

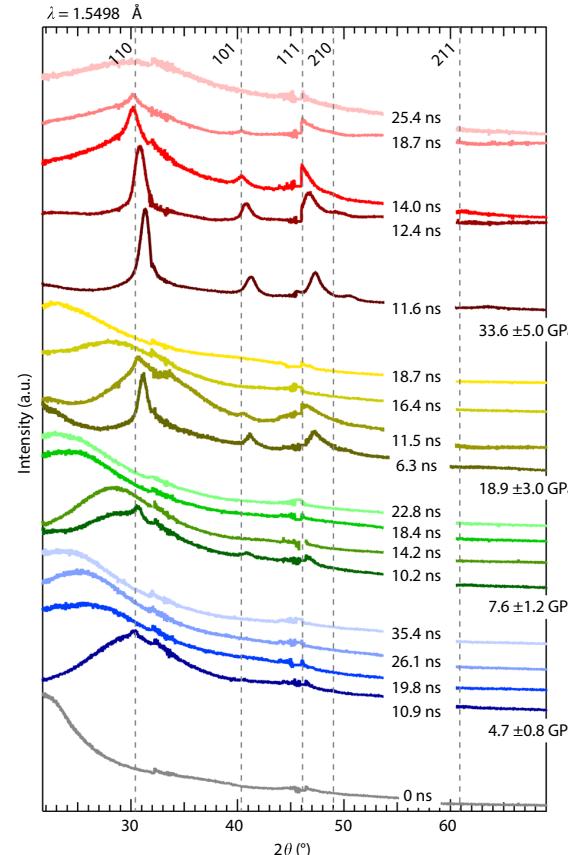
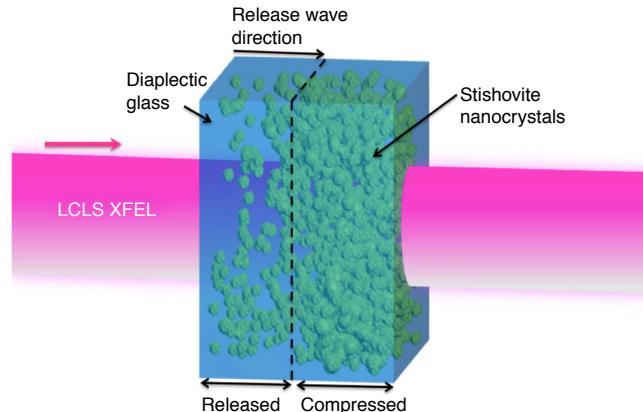


## FACET-II



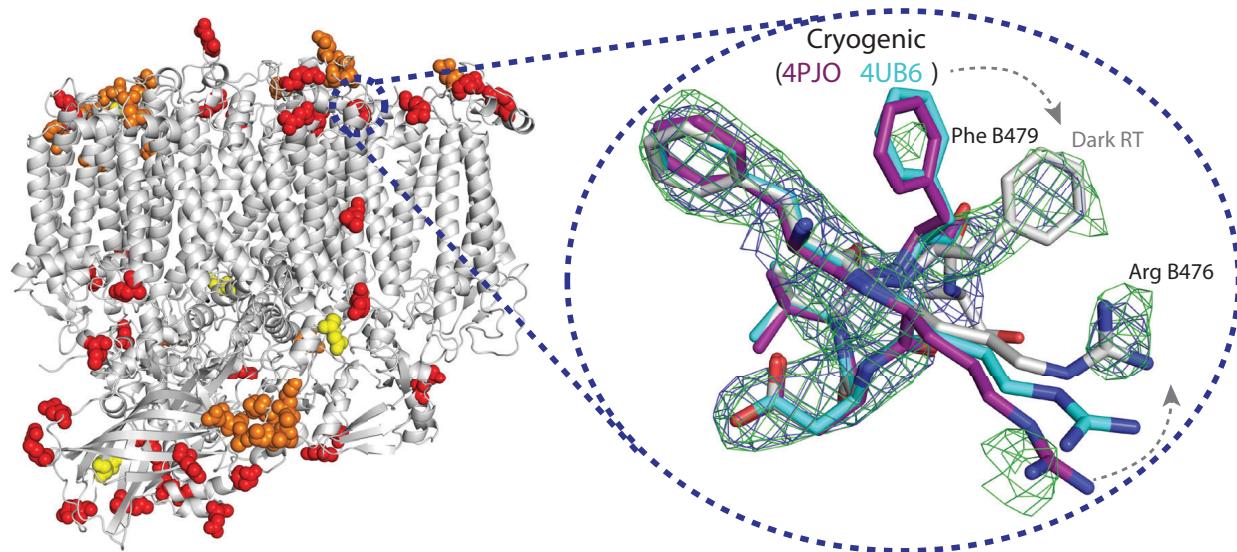
Time-resolved diffraction of shock-released SiO<sub>2</sub> and diaplectic glass formation  
A. E. Gleason et al., **Nature Communications**, 8:1481, 2017

~ 8 keV 60 fs duration X-ray pulses,  
~ 10<sup>12</sup> photons per pulse, 75 μm diameter laser spot size



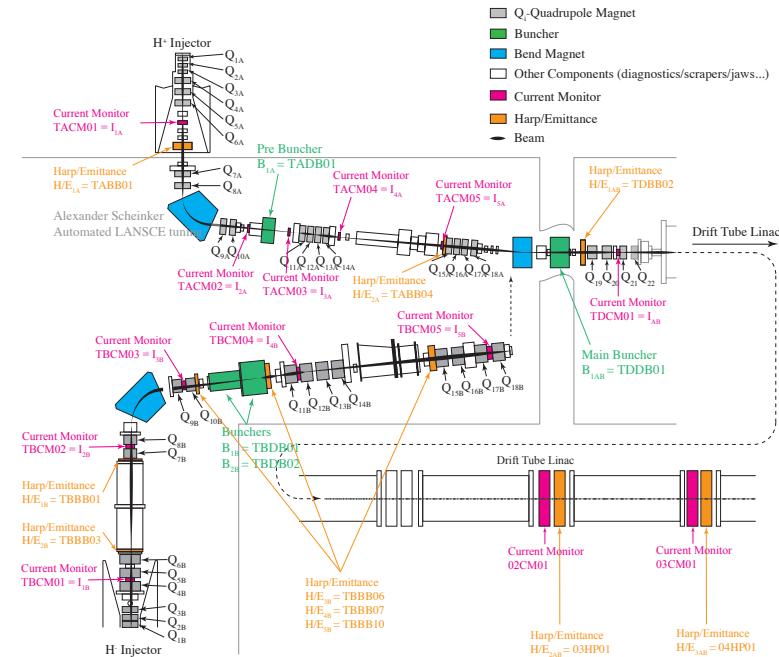
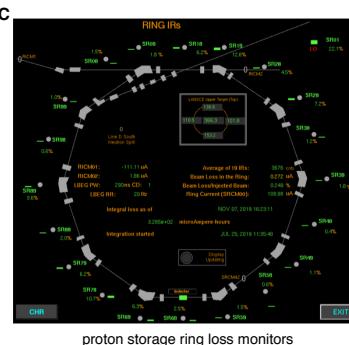
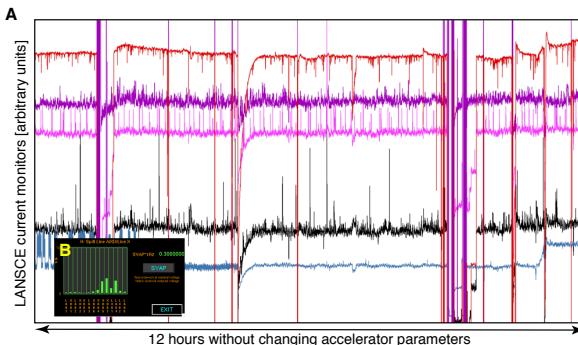
Structure of photosystem II and substrate binding at room temperature  
I. D. Young et al., **Nature**, 540, 2016

“Femtosecond pulses from an X-ray free electron laser (XFEL) to obtain damage-free, room temperature structures ... measurements at room temperature are required to study the structural landscape of proteins under functional conditions”



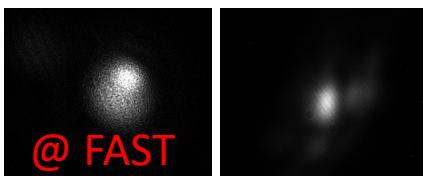
# Accelerator Tuning Challenges

- Components drift unpredictably with time, misalignments
  - Uncertain and time varying beam distributions
- Complex collective effects:
  - Wakefields
  - Space charge
  - Coherent synchrotron radiation
- Limited non-invasive diagnostics

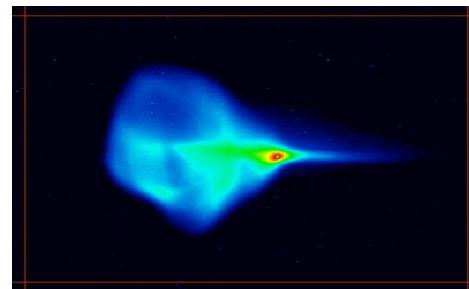


# Accelerator Tuning Challenges

- Components drift unpredictably with time, misalignments
  - Uncertain and time varying beam distributions
- Complex collective effects:
  - Wakefields
  - Space charge
  - Coherent synchrotron radiation
- Limited non-invasive diagnostics

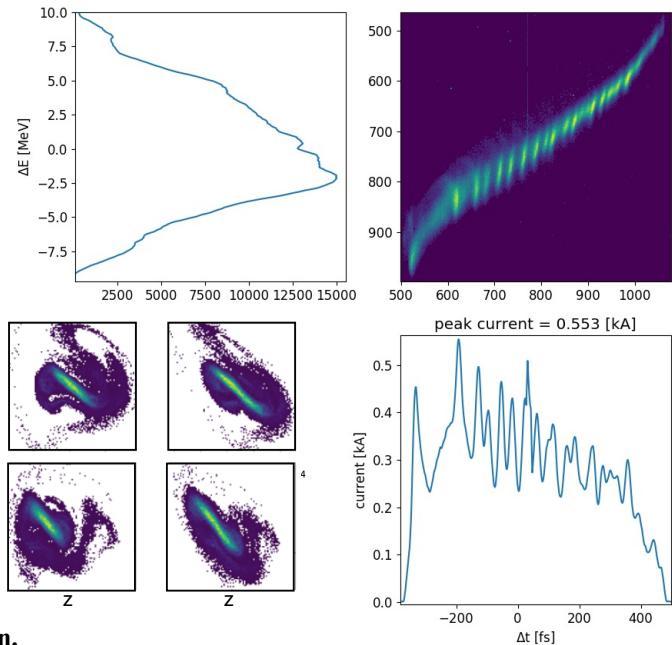


Example images of laser spot  
(10 Aug. 2016, 11 Nov. 2017)



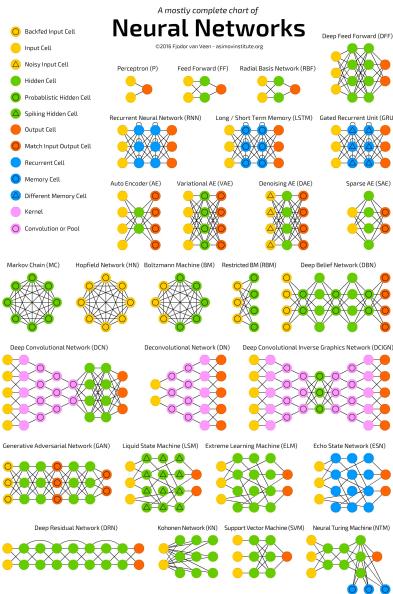
Typical 2D (x,y) beam profile, not a simple Gaussian.

EuXFEL:  $\mu$ Bunch Instabilities

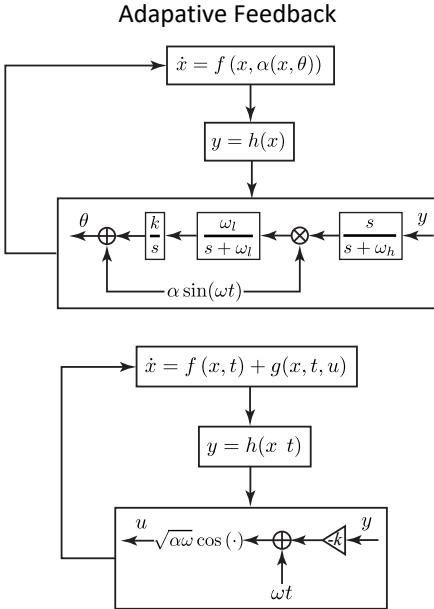


**LCLS 2015:** > 400 hours for tuning ~10 user experiments / \$12M USD value.  
**LANSCE:** > 3 weeks start up / tuning after each maintenance outage.

# Machine Learning and Adaptive Feedback



Surrogate models  
Big data  
Global tuning  
Anomaly detection

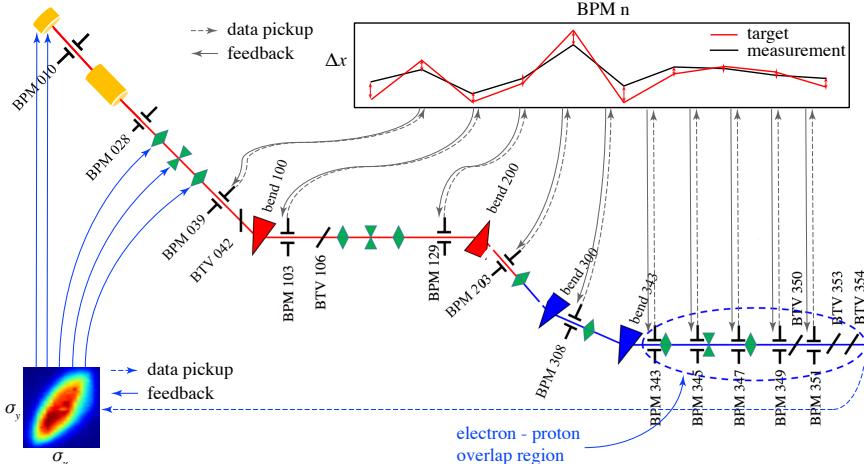


Virtual diagnostics  
Real time feedback  
Optimization  
Phase space tuning

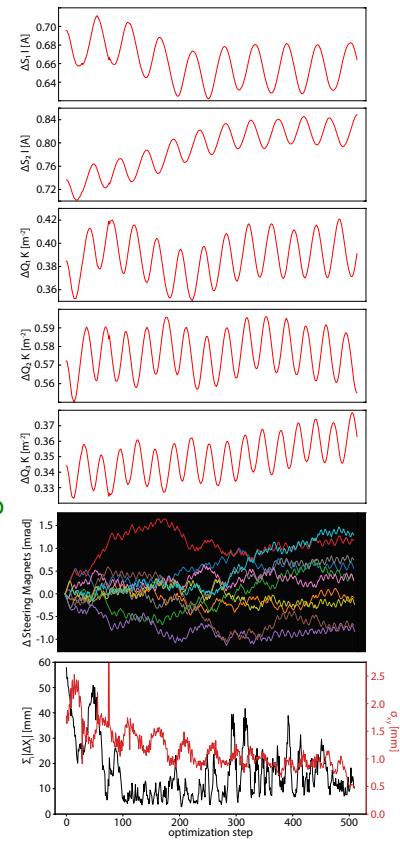
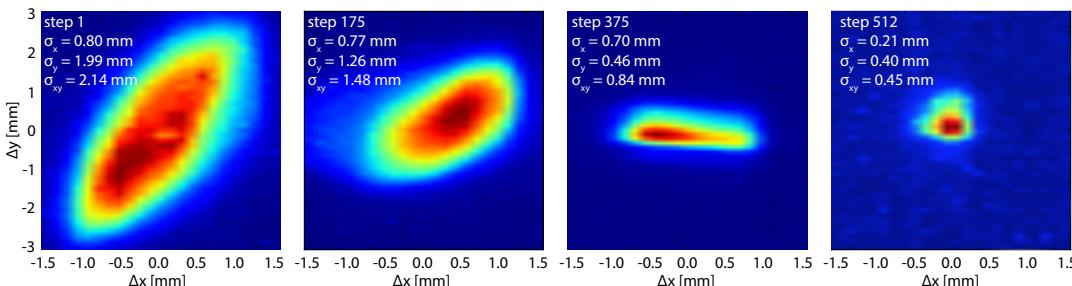
## **Adaptive Feedback for Particle Accelerators**

- Model-independent
- Tune many parameters simultaneously
- Robust to noise
- Time-varying systems
- Local minima

# Real-Time Multi-objective Optimization at AWAKE

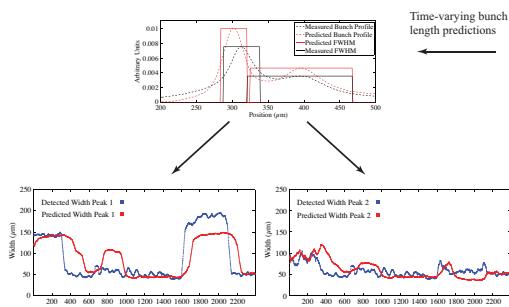
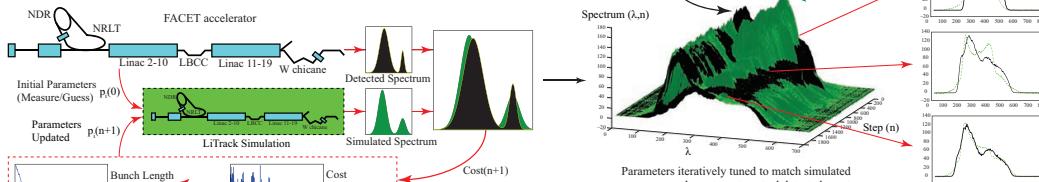


Tuning 15 components simultaneously: 2 solenoids, 3 quads, 10 steering magnets to simultaneously maintain the desired orbit and minimize emittance growth.

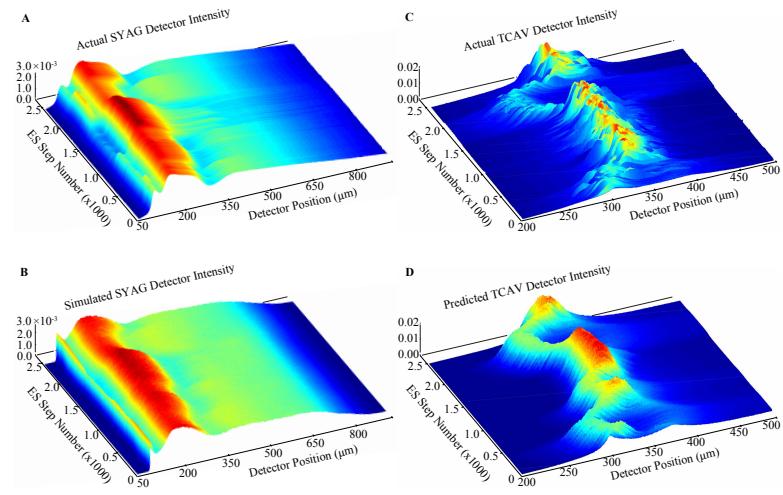
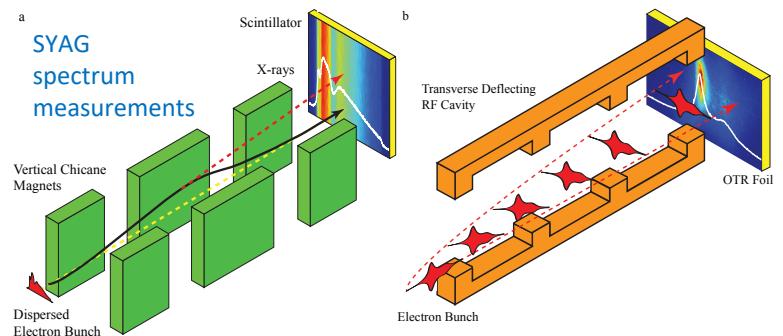
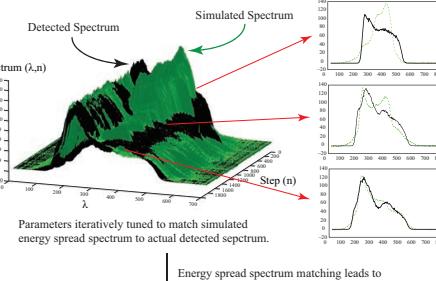
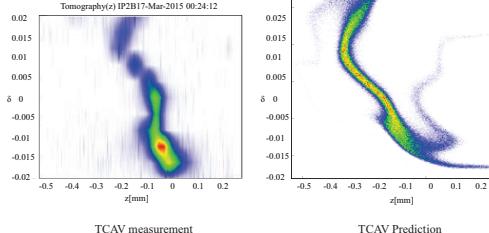


# Adaptively tuned models for XTCAV longitudinal phase space predictions at FACET

## Spectrum-based online model tuning.

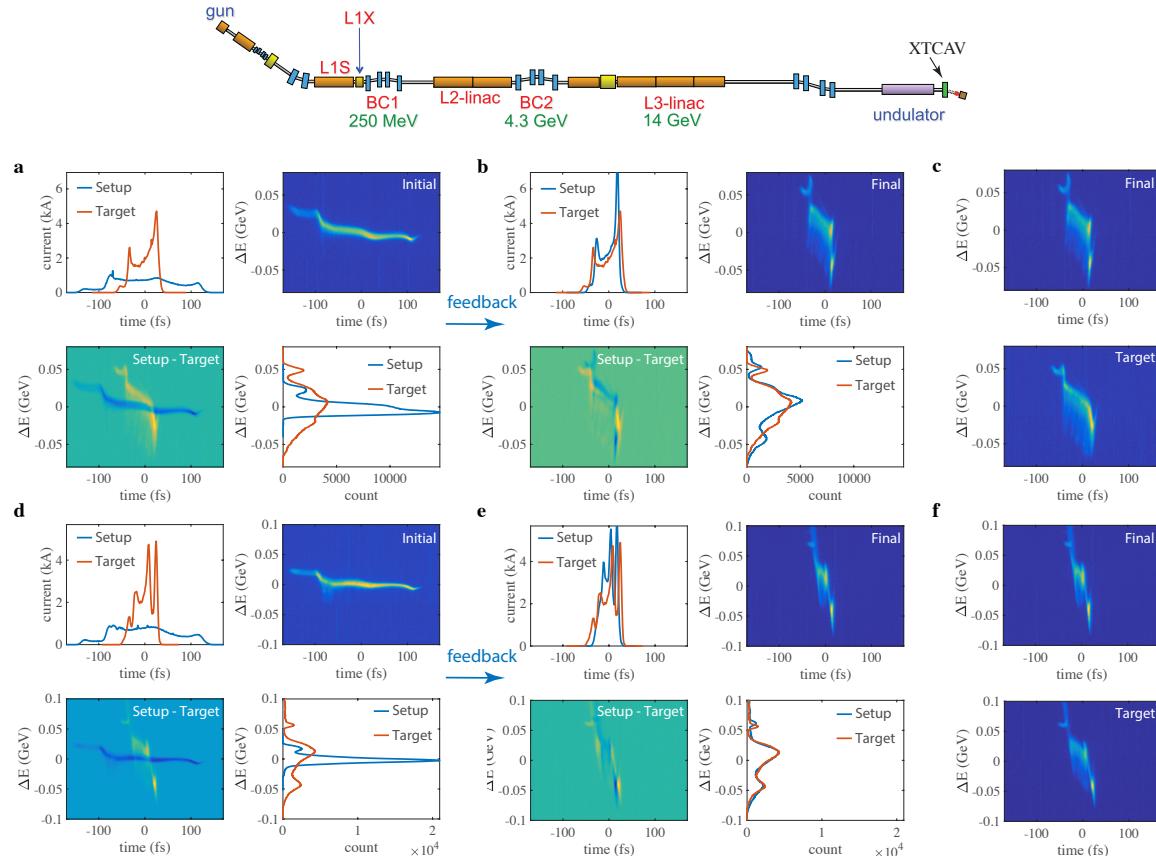


## Longitudinal phase space prediction of XTCAV measurement.



A. Scheinker and S. Gessner, "Adaptive method for electron bunch profile prediction." Physical Review Accelerators and Beams, 18(10), 102801, 2015. <https://doi.org/10.1103/PhysRevSTAB.18.102801>

# Adaptive feedback for automatic longitudinal phase space control at the LCLS



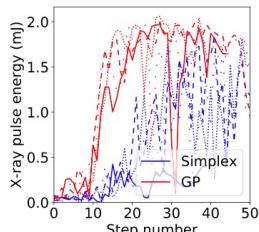
A. Scheinker, et al. "Demonstration of model-independent control of the longitudinal phase space of electron beams in the Linac-coherent light source with Femtosecond resolution." Physical Review Letters, 121.4, 044801, 2018. <https://doi.org/10.1103/PhysRevLett.121.044801>

## Machine Learning for Particle Accelerators

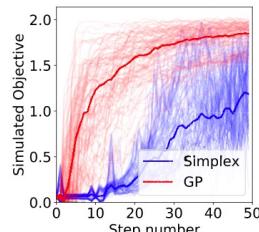
- Learn directly from data
- Extract complex physics
- Global understanding of large systems
- Time-varying systems

# Gaussian Processes for Optimization

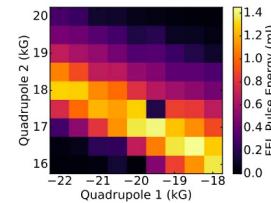
LCLS



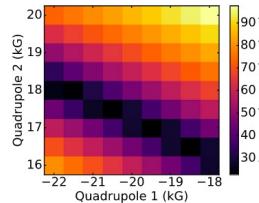
(a) Live 12 quadrupole optimization



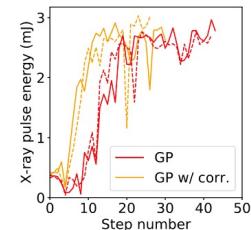
(b) Simulated 12 quadrupole optimization



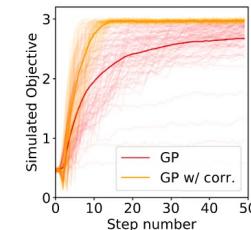
(a) Measured pulse energy



(b) Modeled beam size



(c) Live 4 quadrupole optimization

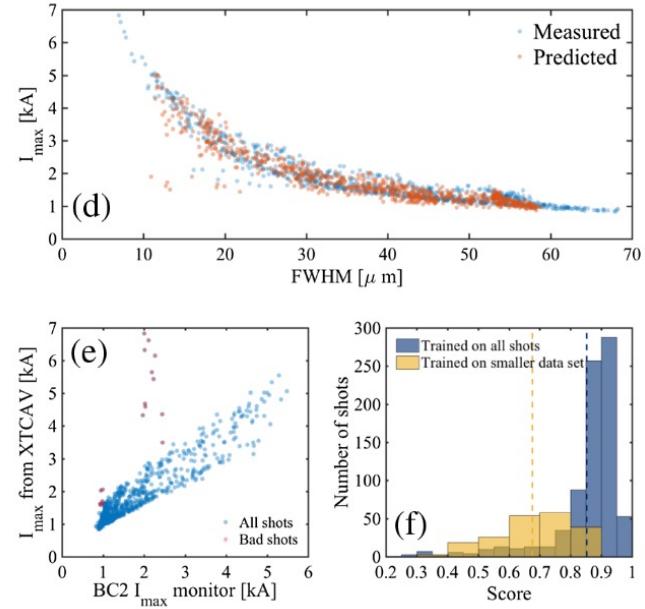
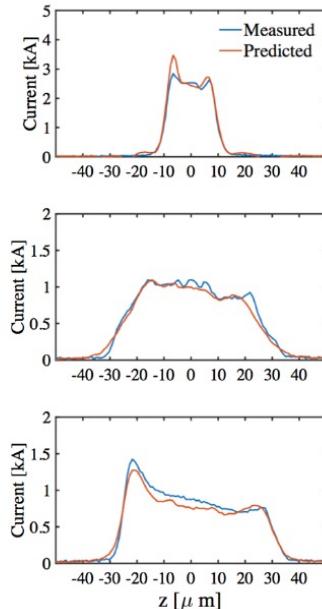
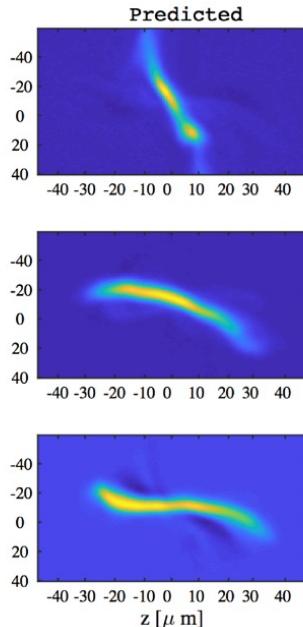
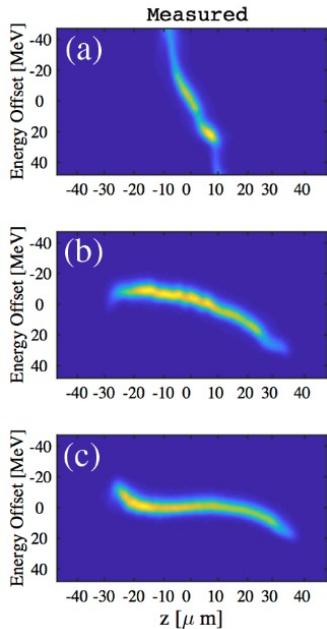


(d) Simulated 4 quadrupole optimization

Duris, Joseph, et al. "Bayesian optimization of a free-electron laser." *Physical review letters* 124.12 (2020): 124801.

# Neural Network-based Diagnostics

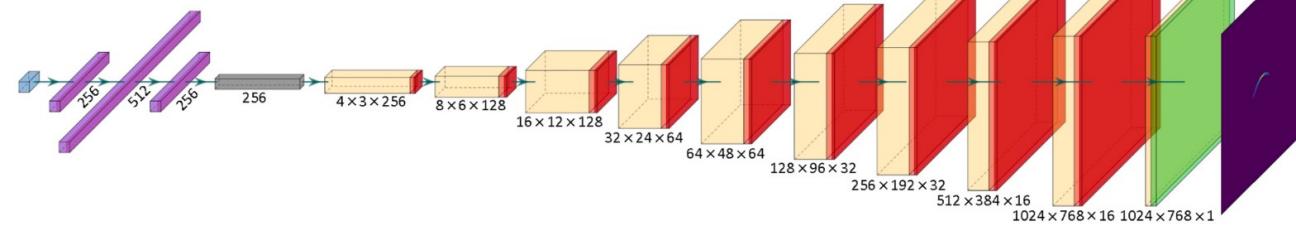
LCLS



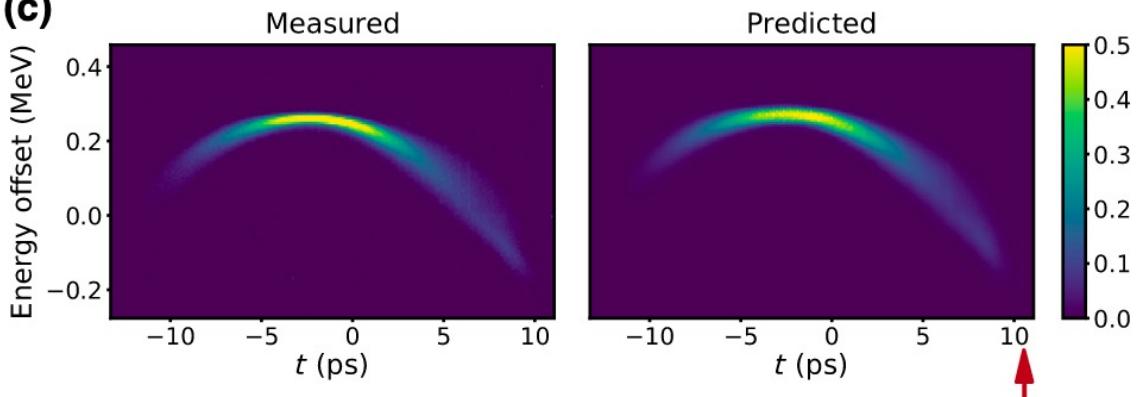
Emma, C., et al. "Machine learning-based longitudinal phase space prediction of particle accelerators." *Physical Review Accelerators and Beams* 21.11 (2018): 112802.

# Neural Network-based Diagnostics

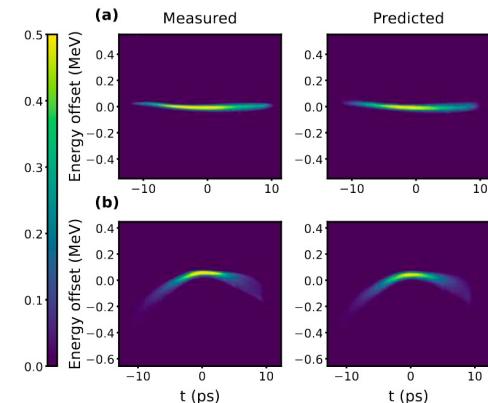
(b)



(c)

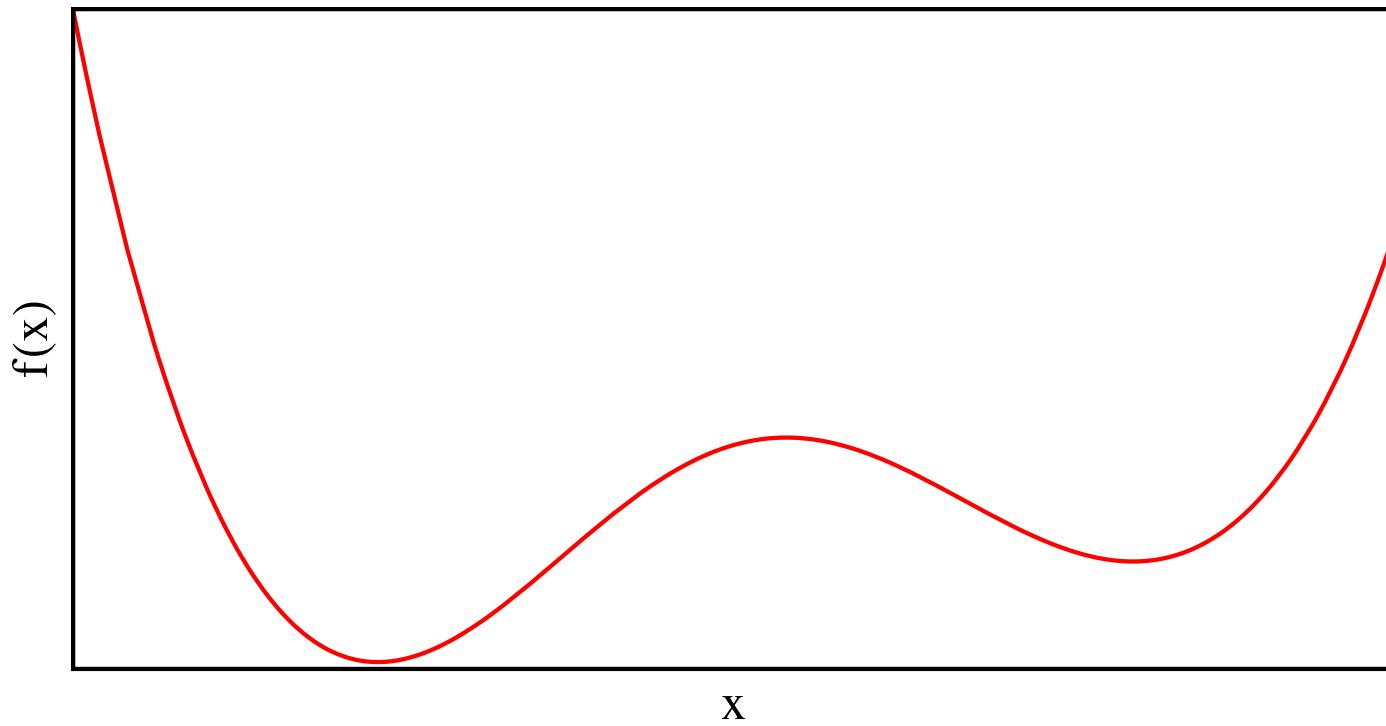


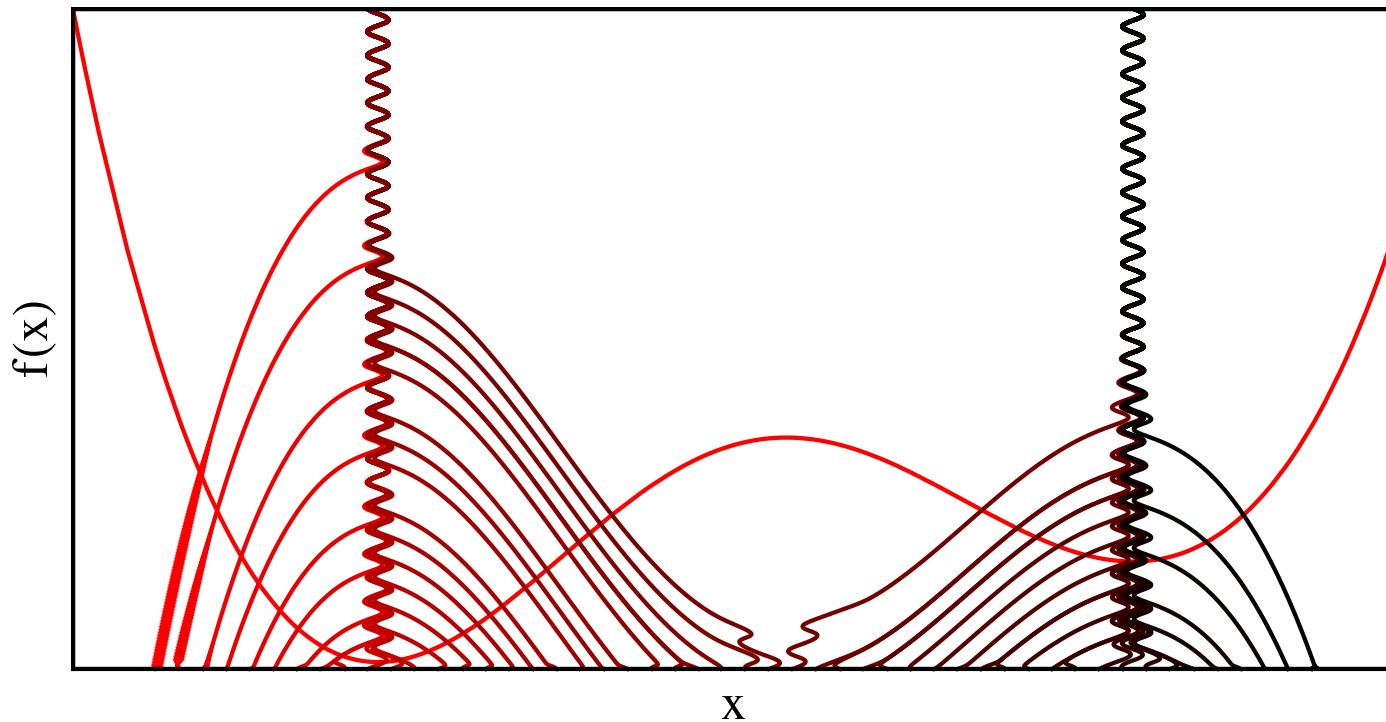
EuXFEL

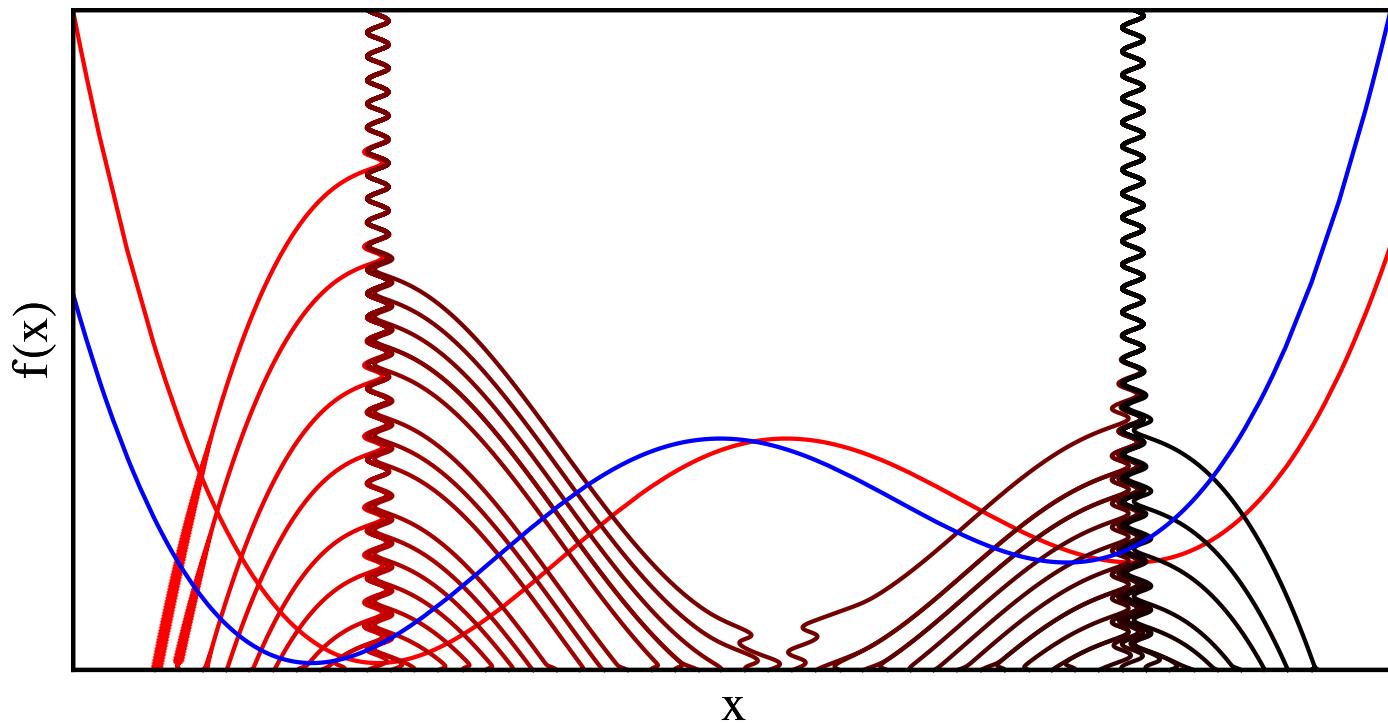


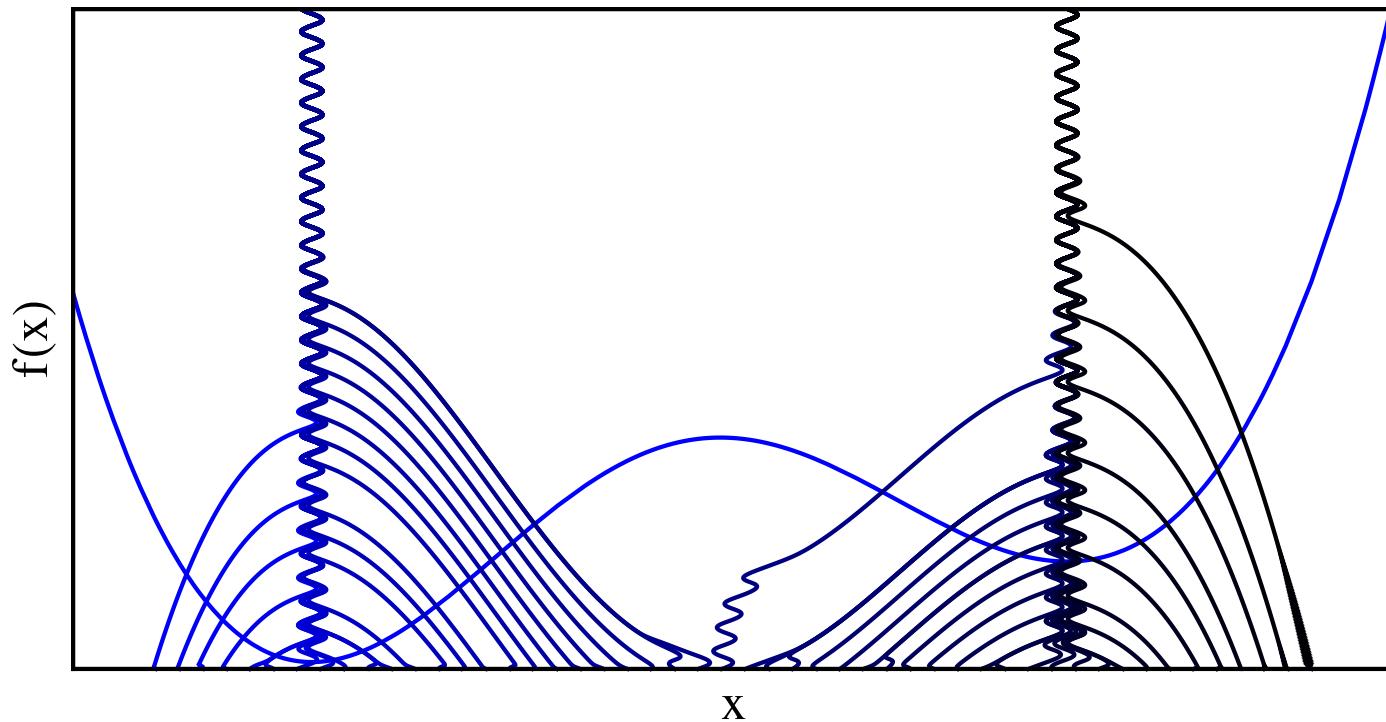
Zhu, J., et al. "High-Fidelity Prediction of Megapixel Longitudinal Phase-Space Images of Electron Beams Using Encoder-Decoder Neural Networks." *Physical Review Applied* 16.2 (2021): 024005.

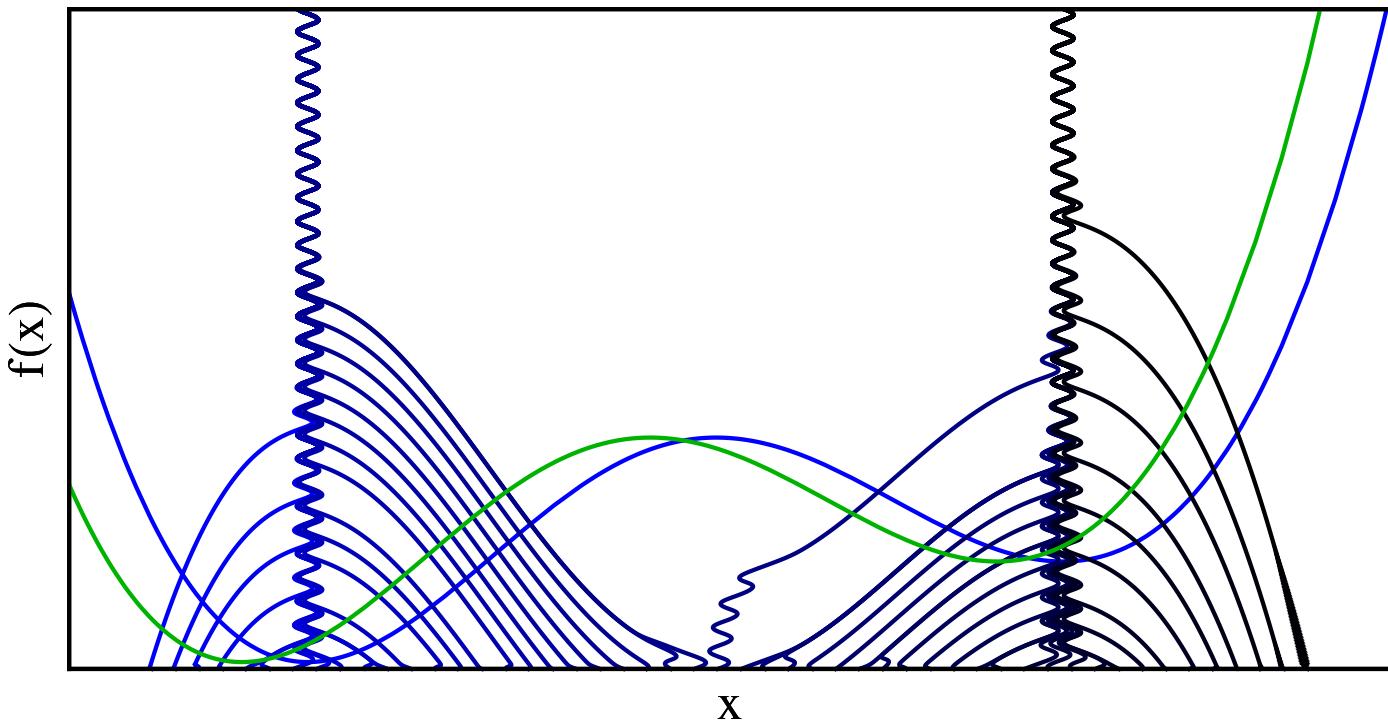
## **Limitations of ML for Time-Varying Systems**

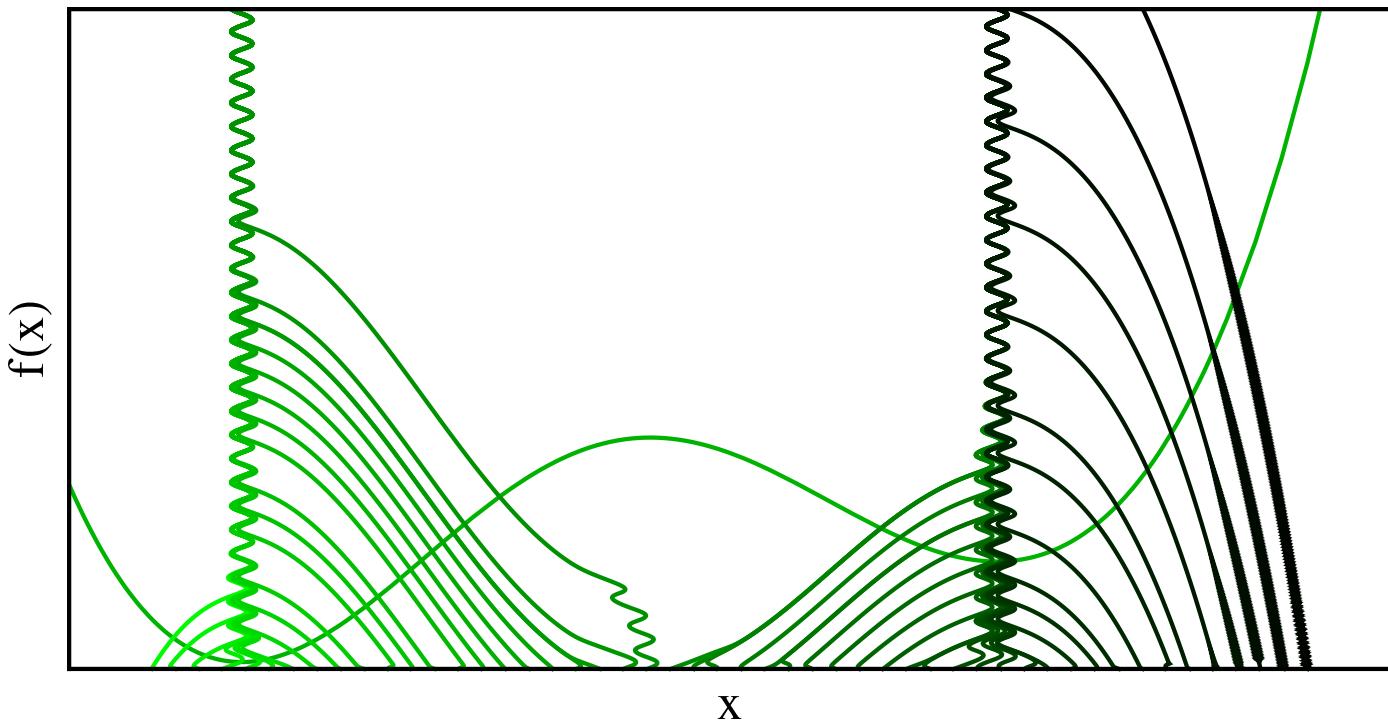


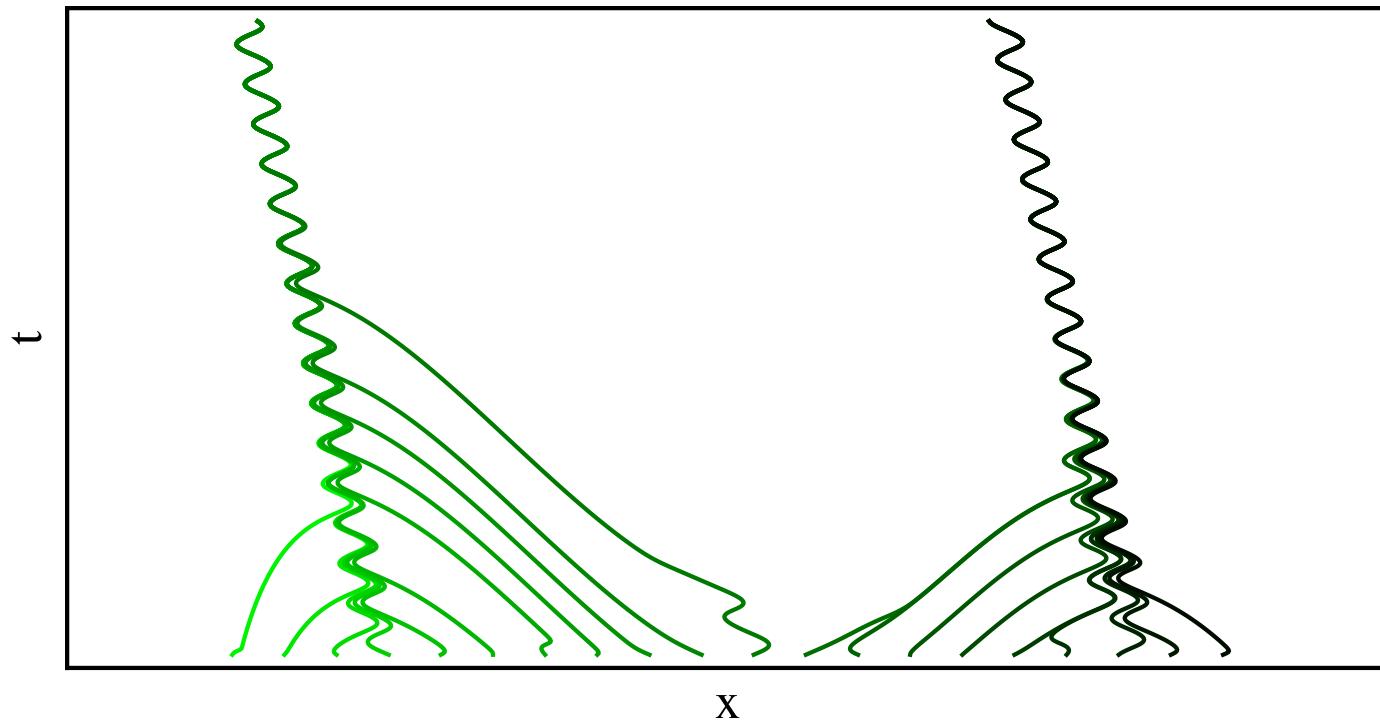




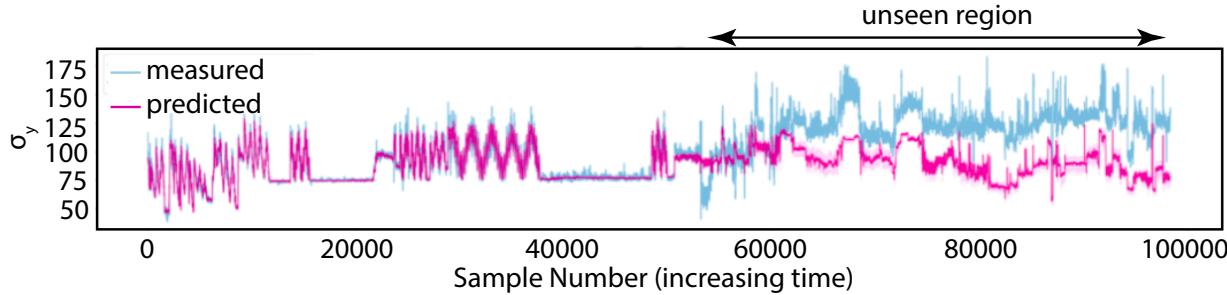








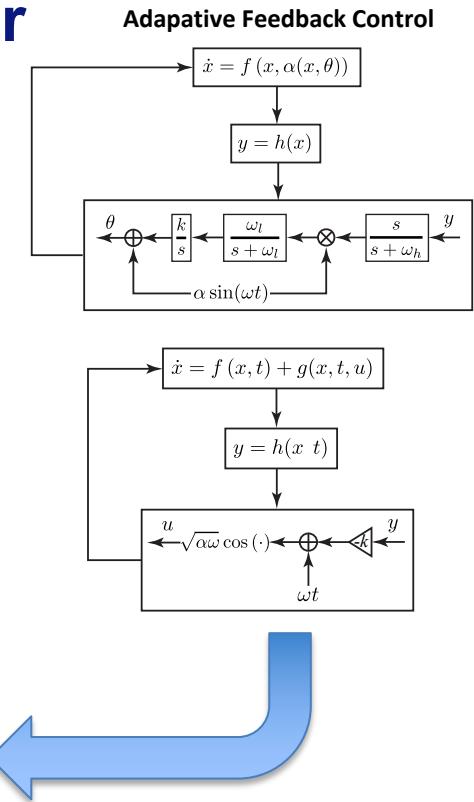
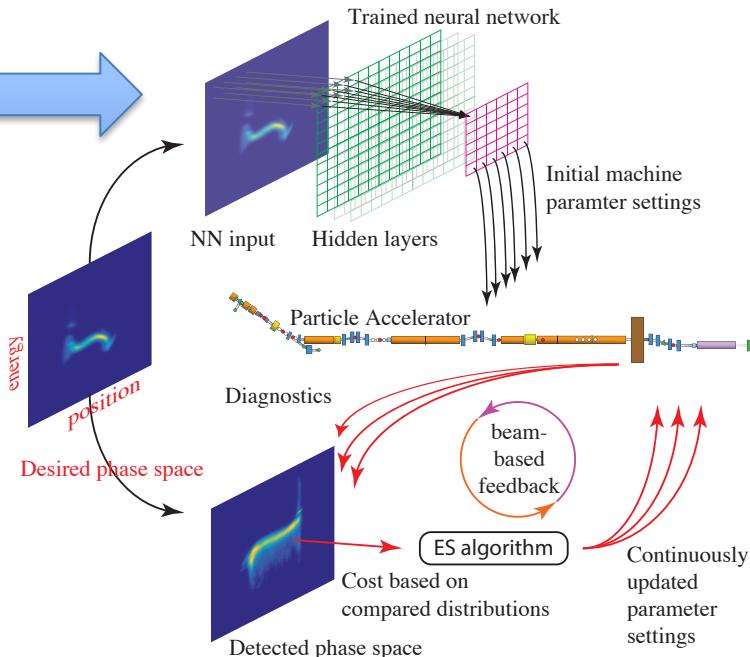
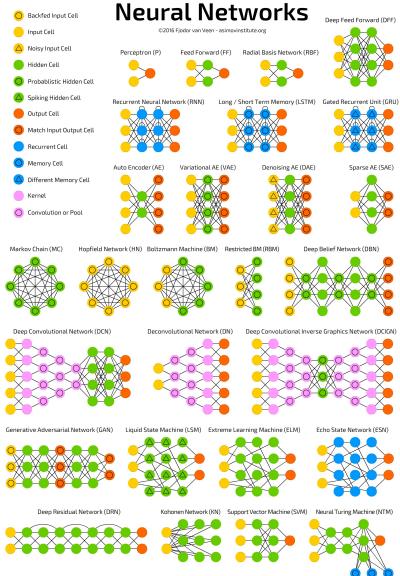
# Need for robust machine learning techniques for time-varying systems



**LCLS:** time-varying system shows limitations of traditional ML approaches.  
- Neural network predicting  $\sigma_y$  beam size.

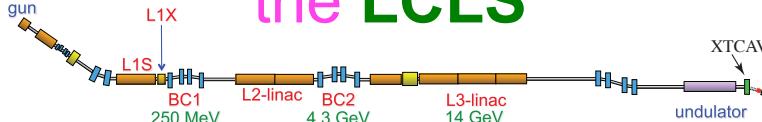
# **Adaptive Machine Learning for Time-Varying Systems**

# Adaptive Machine Learning for Time Varying Systems

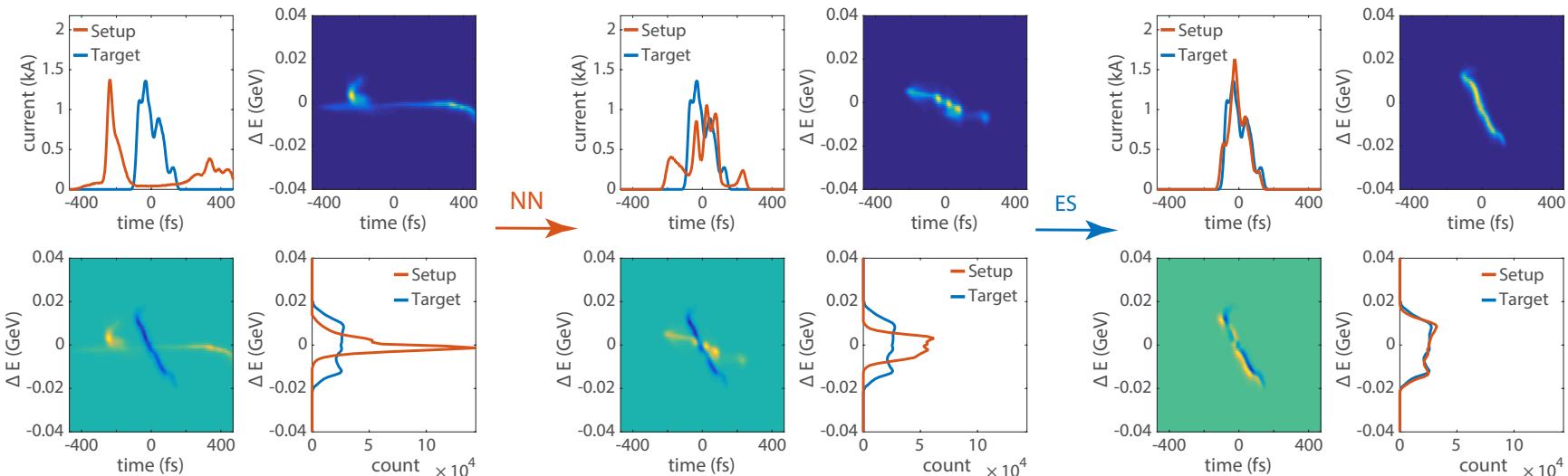


A. Scheinker, et al. "Demonstration of model-independent control of the longitudinal phase space of electron beams in the Linac-coherent light source with Femtosecond resolution." Physical Review Letters, 121.4, 044801, 2018.  
<https://doi.org/10.1103/PhysRevLett.121.044801>

# Adaptive ML for automatic longitudinal phase space control at the LCLS



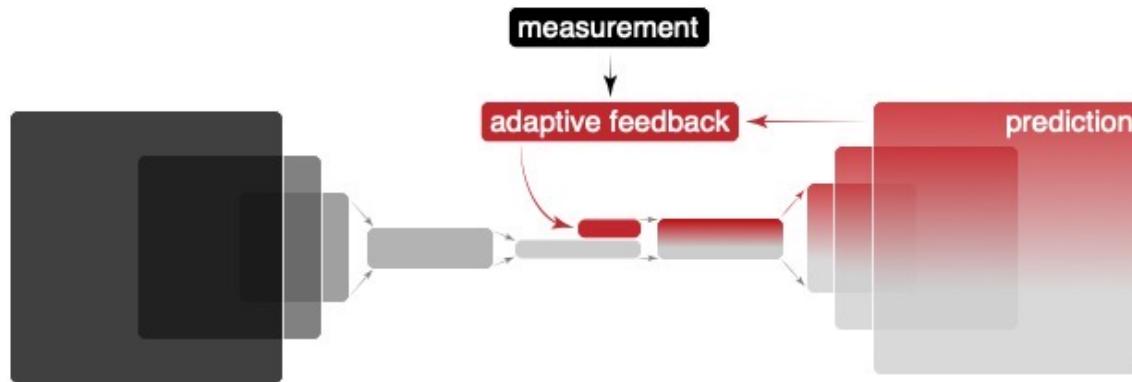
$$C = \int_{-\Delta L}^{\Delta L} \int_{-\Delta E}^{\Delta E} |\hat{\rho}(z, E) - \rho(z, E)| dE dz$$



A. Scheinker, et al. "Demonstration of model-independent control of the longitudinal phase space of electron beams in the Linac-coherent light source with Femtosecond resolution." Physical Review Letters, 121.4, 044801, 2018. <https://doi.org/10.1103/PhysRevLett.121.044801>

# Adaptive Machine Learning (AML) for Time-Varying Systems – Adaptively Tuning the Latent Space

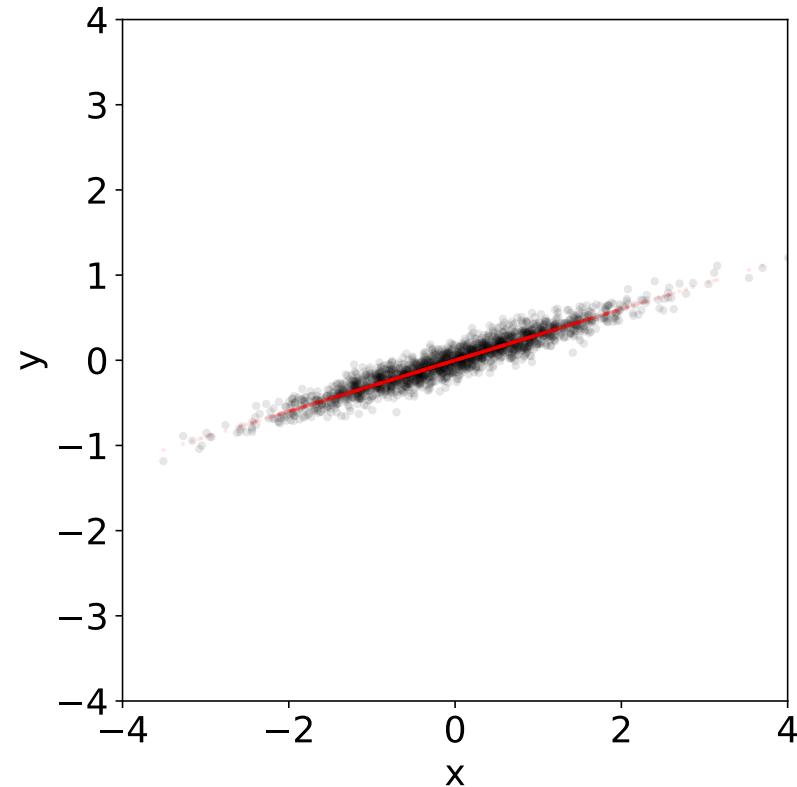
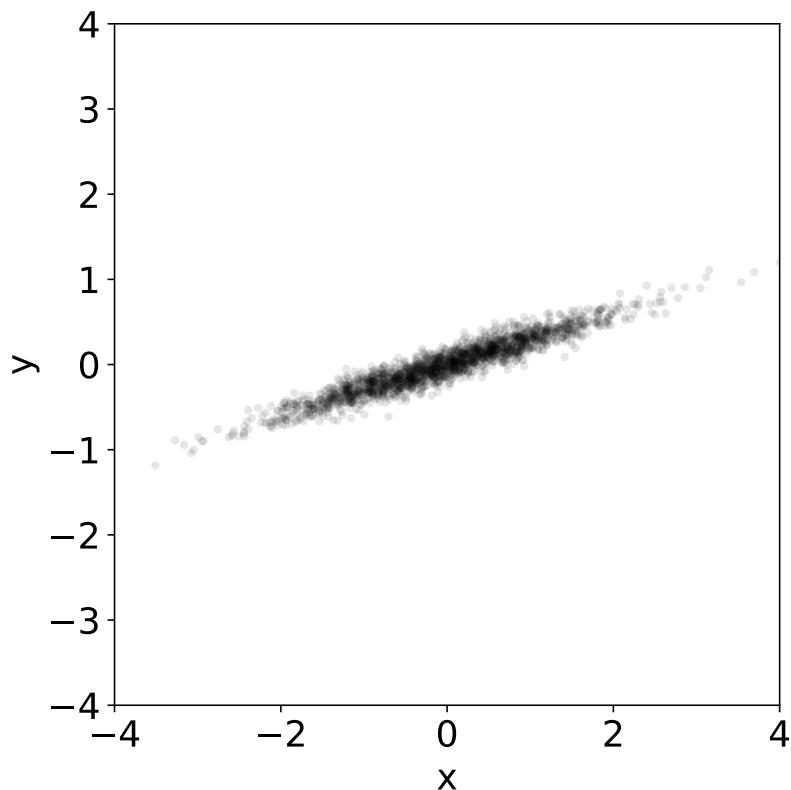
General approach for any complex time-varying system



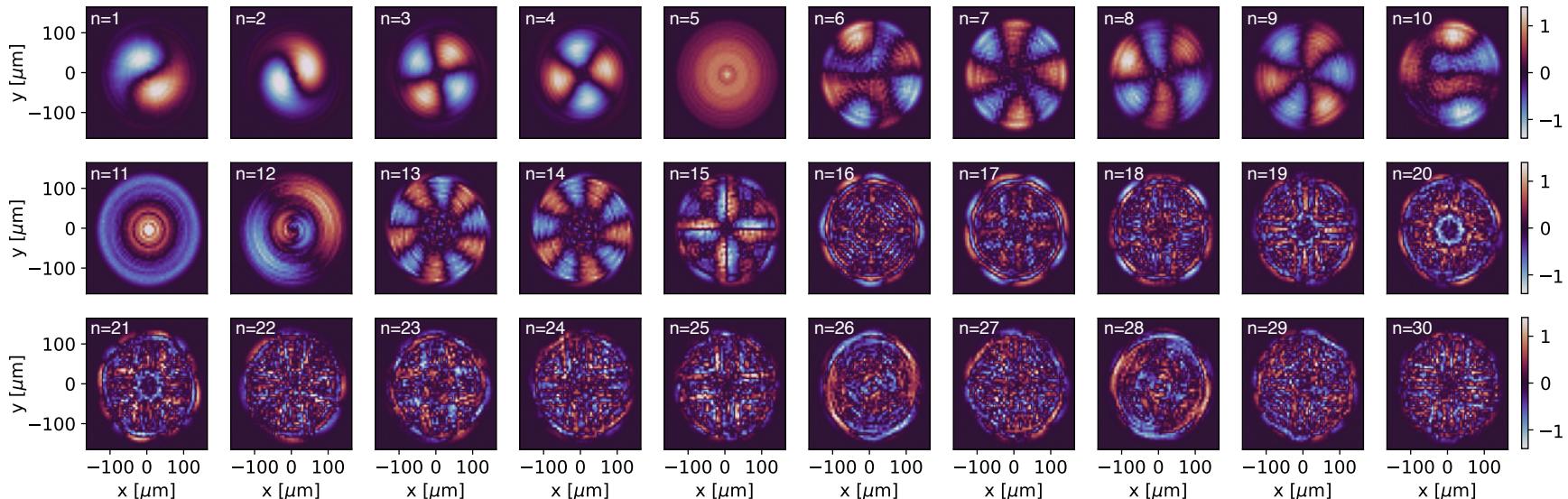
A. Scheinker, et al. "Adaptive deep learning for time-varying systems with hidden parameters: Predicting changing input beam distributions of compact particle accelerators." *arXiv preprint arXiv:2102.10510*. 2021

A. Scheinker, et al. "Adaptive Latent Space Tuning for Non-Stationary Distributions." *arXiv preprint arXiv:2105.03584*, 2021.

# Principal Component Analysis (PCA)



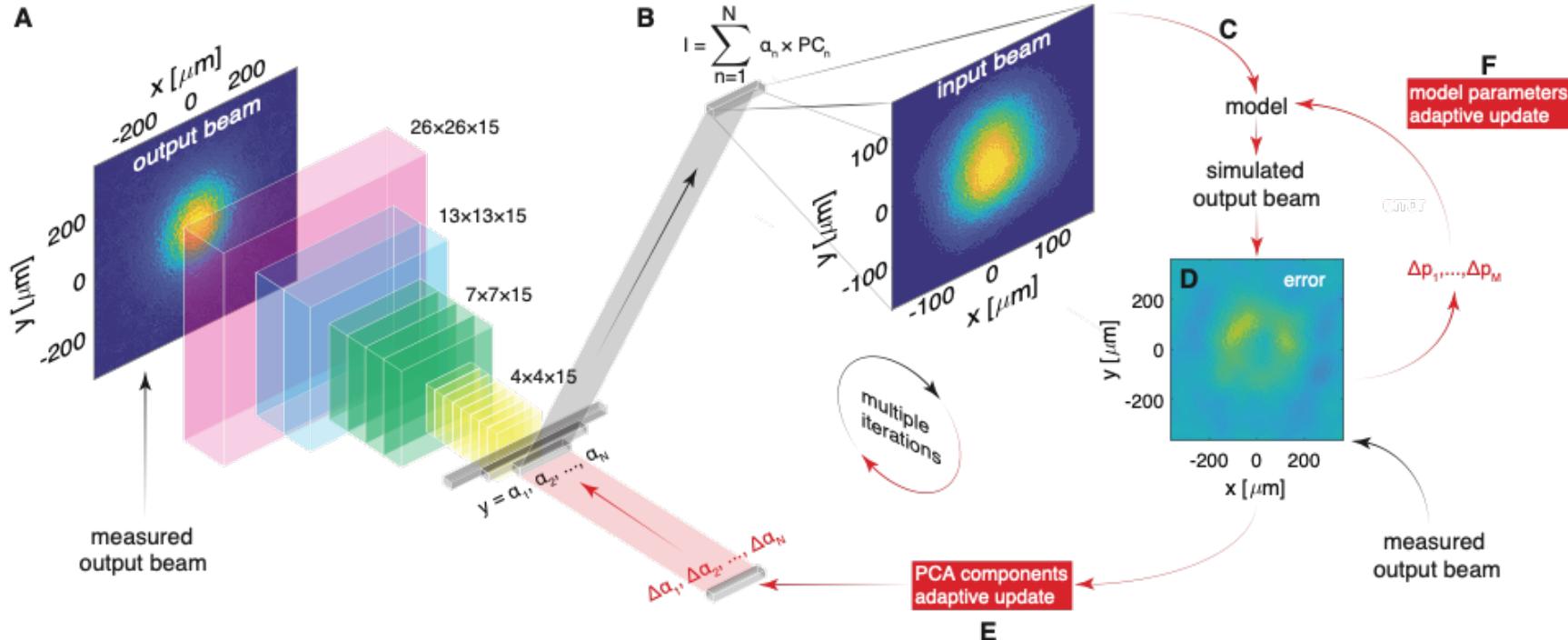
# PCA component basis for electron beam.



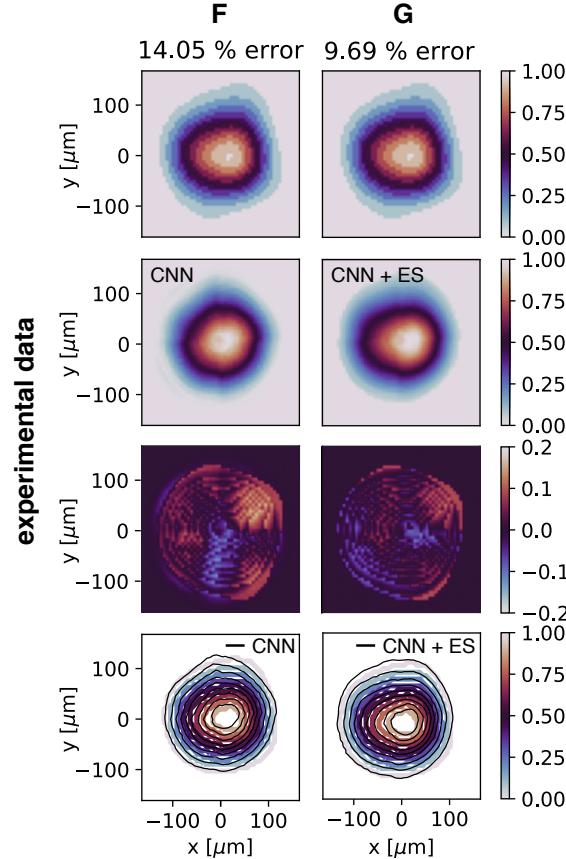
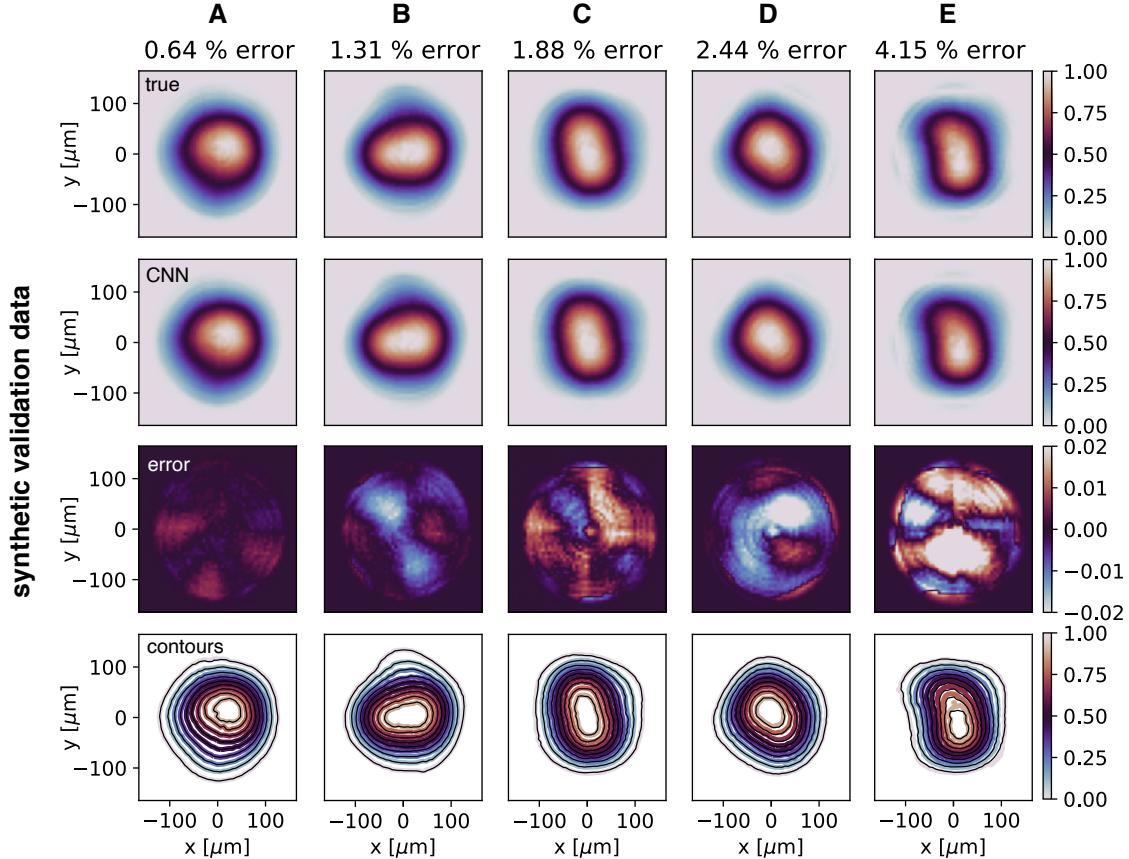
$$I_{i,N_{pca}} = \sum_{n=1}^{N_{pca}} \alpha_{i,n} \times \mathbf{PC}_n.$$

Scheinker, A., Cropp, F., Paiagua, S., & Filippetto, D. "An adaptive approach to machine learning for compact particle accelerators." *Scientific Reports* **11**, 19187, 2021.  
<https://doi.org/10.1038/s41598-021-98785-0>

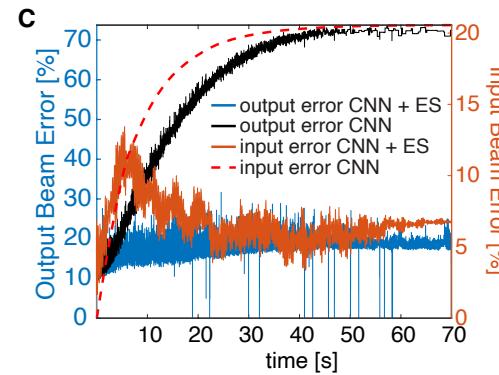
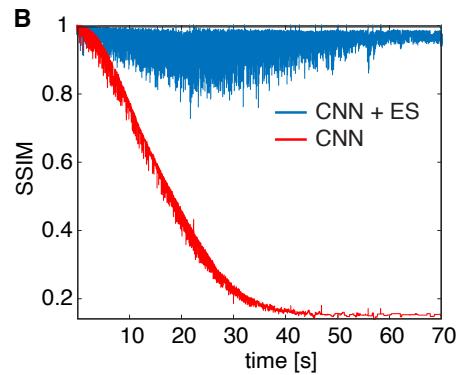
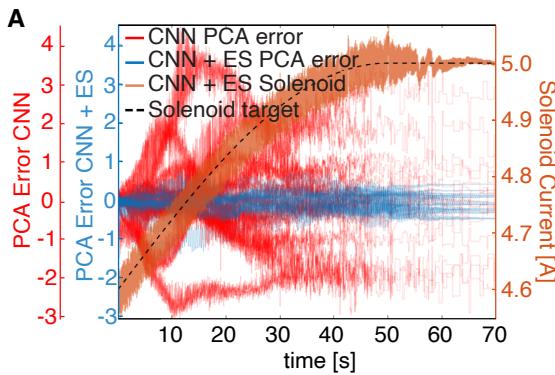
# AML for adaptive inverse physics models

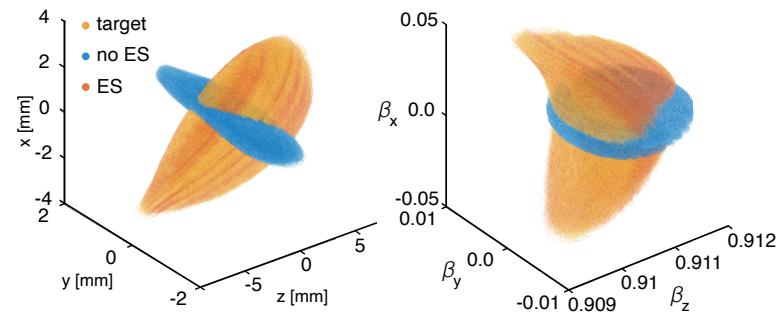
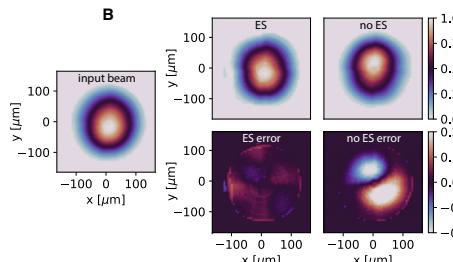
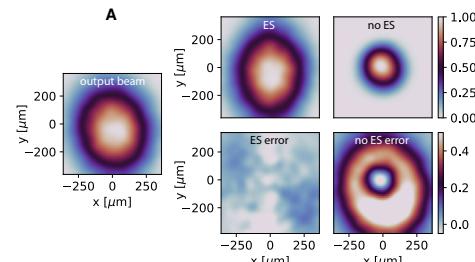
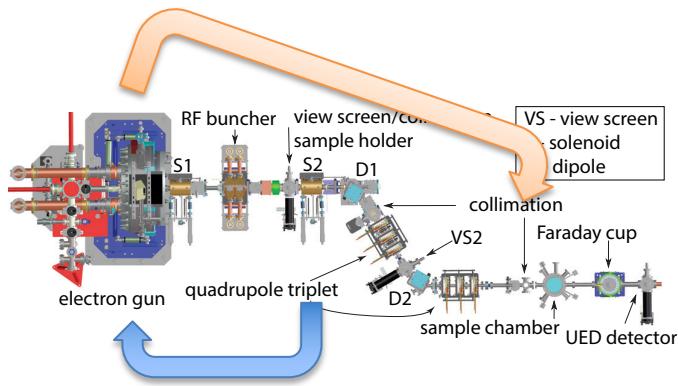


Scheinker, A., Cropp, F., Paiagua, S., & Filippetto, D. "An adaptive approach to machine learning for compact particle accelerators." *Scientific Reports* 11, 19187, 2021.  
<https://doi.org/10.1038/s41598-021-98785-0>



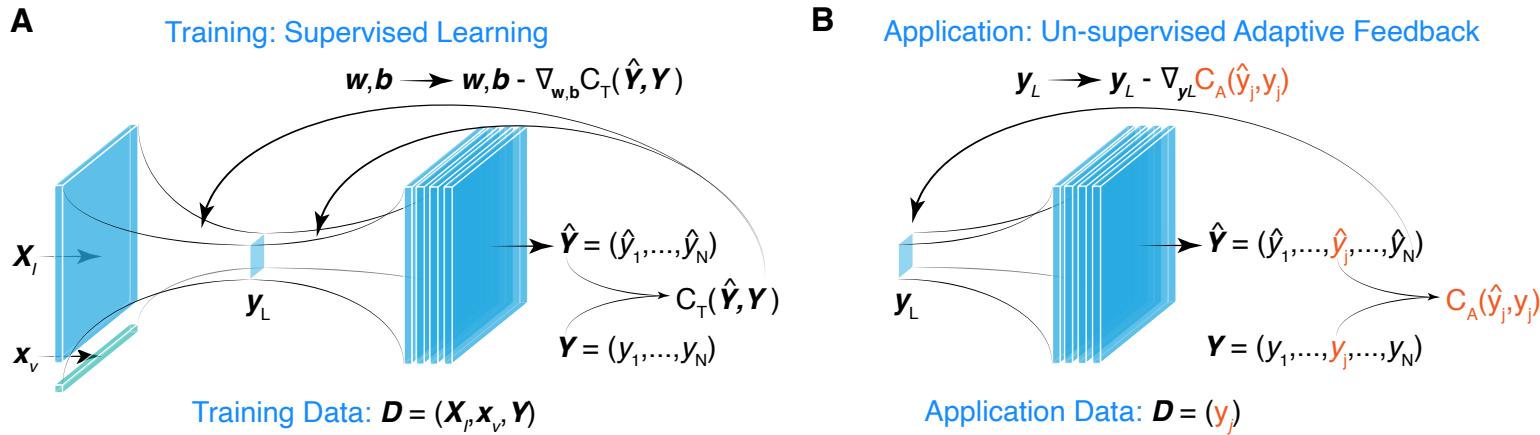
Scheinker, A., Cropp, F., Paiagua, S., & Filippetto, D. "An adaptive approach to machine learning for compact particle accelerators." *Scientific Reports* **11**, 19187, 2021.  
<https://doi.org/10.1038/s41598-021-98785-0>





Scheinker, A., Cropp, F., Paiagua, S., & Filippetto, D. "An adaptive approach to machine learning for compact particle accelerators." *Scientific Reports* **11**, 19187, 2021.  
<https://doi.org/10.1038/s41598-021-98785-0>

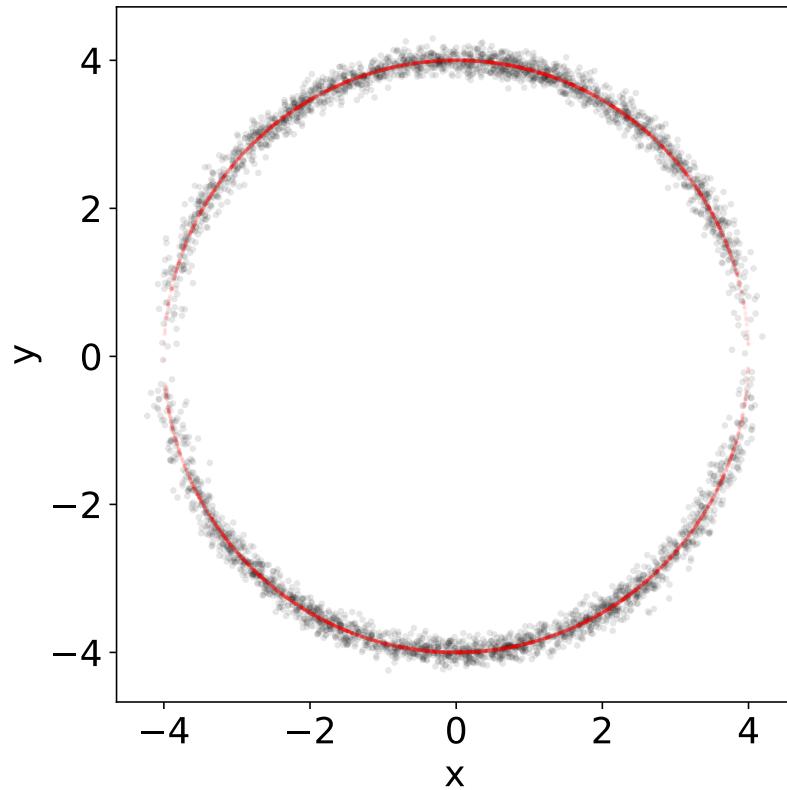
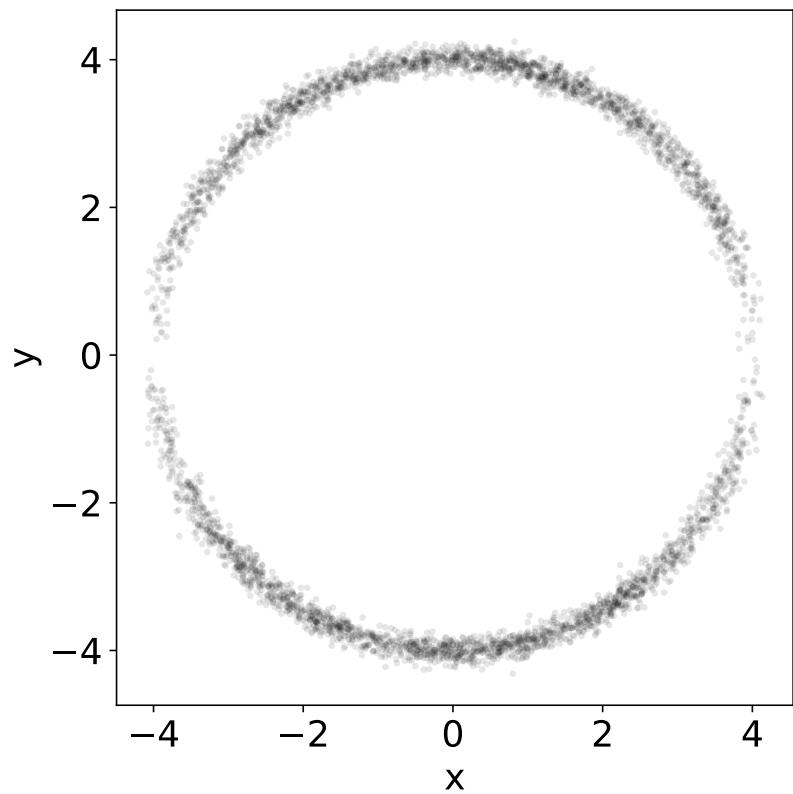
# Encoder-decoder generative CNN for nonlinear data compression: Low-dimensional latent space tuning



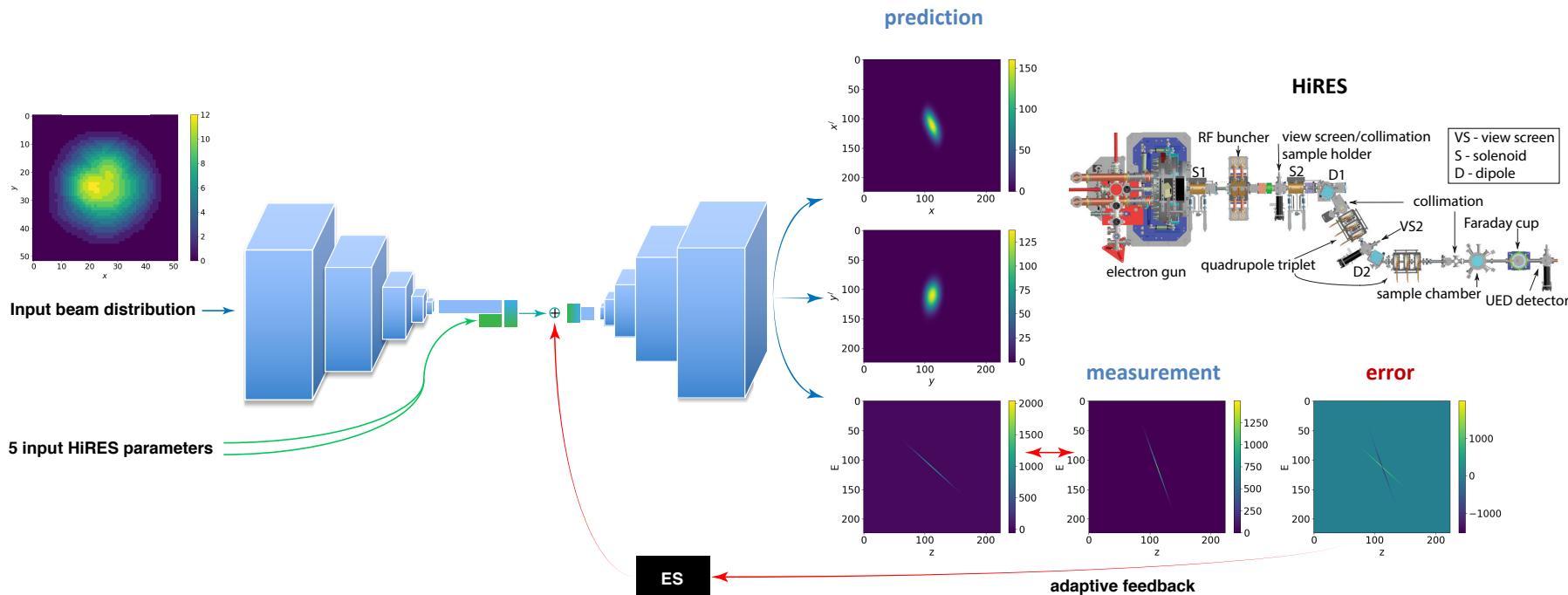
A. Scheinker. "Adaptive machine learning for time-varying systems: Low dimensional latent space tuning." [arXiv:2107.06207](https://arxiv.org/abs/2107.06207)

ICFA Beam Dynamics Newsletter#82 — Advanced Accelerator Modelling  
Special Issue in Journal of Instrumentation, 2022

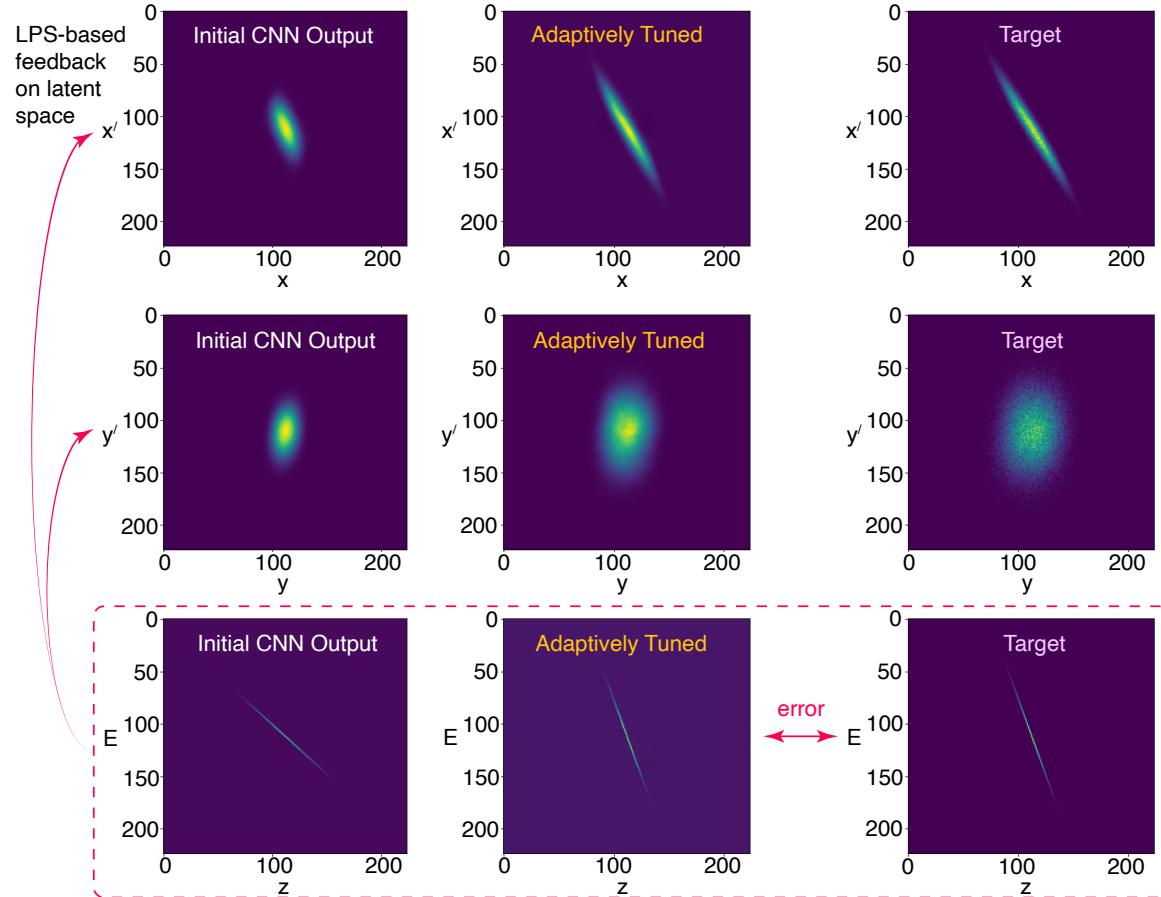
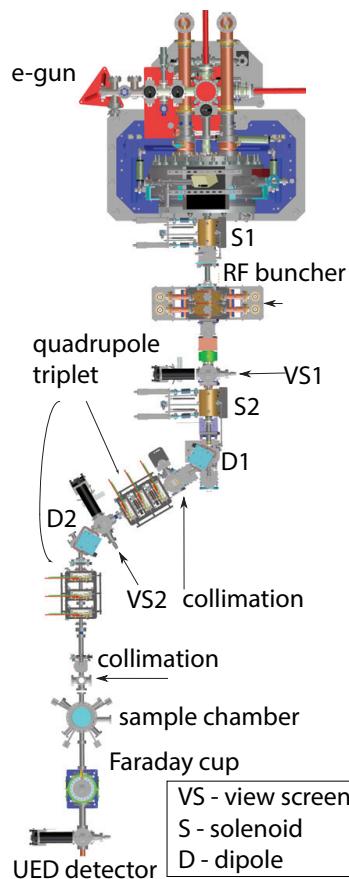
# Encoder-decoder CNN for nonlinear data



# Adaptive Machine Learning (AML) for Time-Varying Systems – Adaptively Tuning the Latent Space

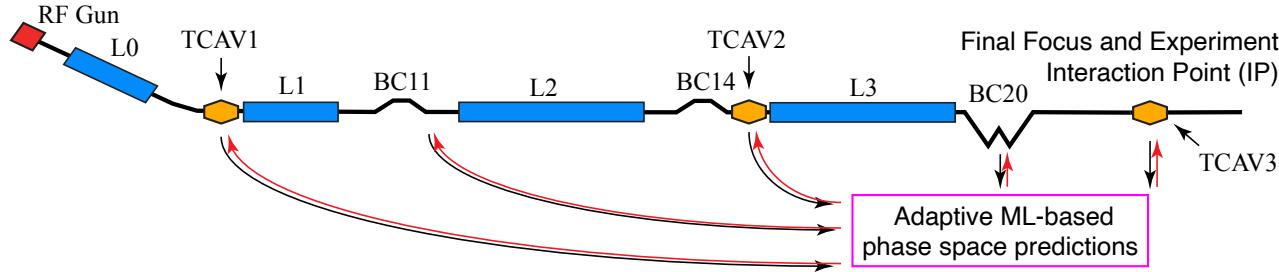


Scheinker, A., Cropp, F., Paiagua, S., & Filippetto, D. "An adaptive approach to machine learning for compact particle accelerators." *Scientific Reports* **11**, 19187, 2021.  
<https://doi.org/10.1038/s41598-021-98785-0>



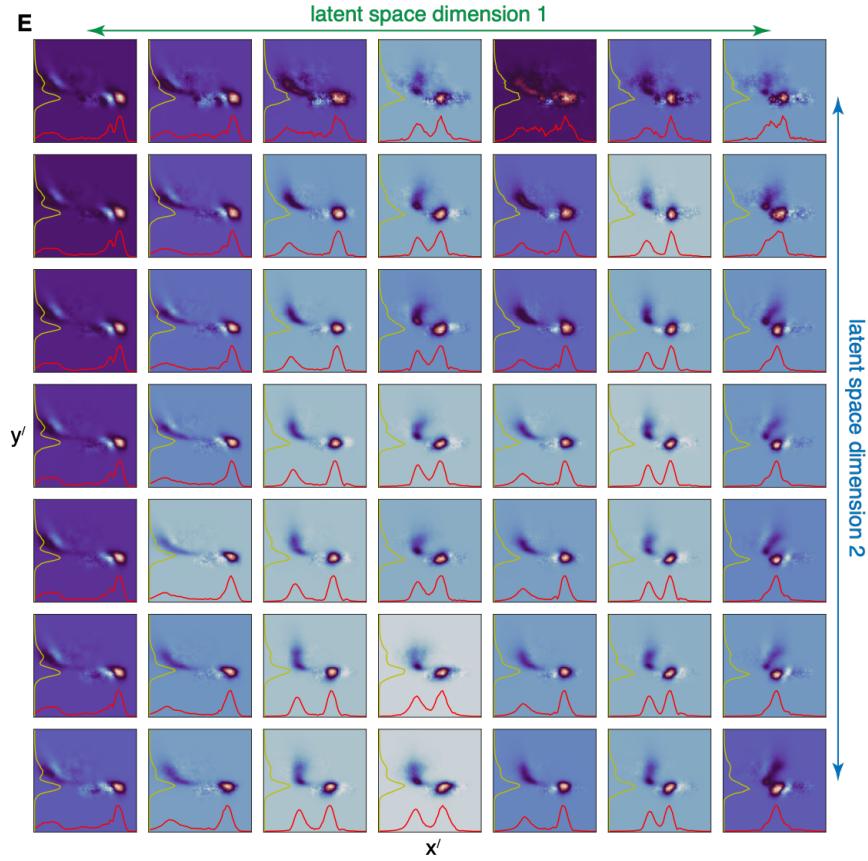
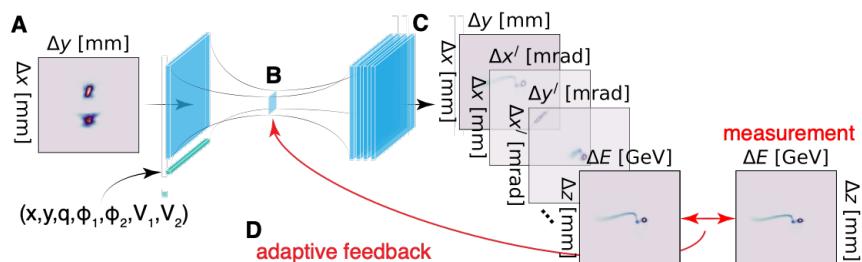
Scheinker, A., Cropp, F., Paiagua, S., & Filippetto, D. (2021). Adaptive deep learning for time-varying systems with hidden parameters: Predicting changing input beam distributions of compact particle accelerators. *arXiv preprint arXiv:2102.10510*.

# Predicting 2D projections of 6D phase space at FACET-II



A. Scheinker. "Adaptive machine learning for time-varying systems: Low dimensional latent space tuning."  
arXiv:2107.06207

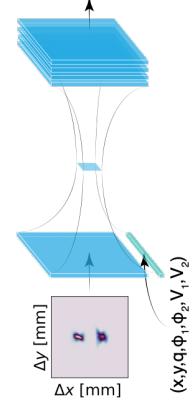
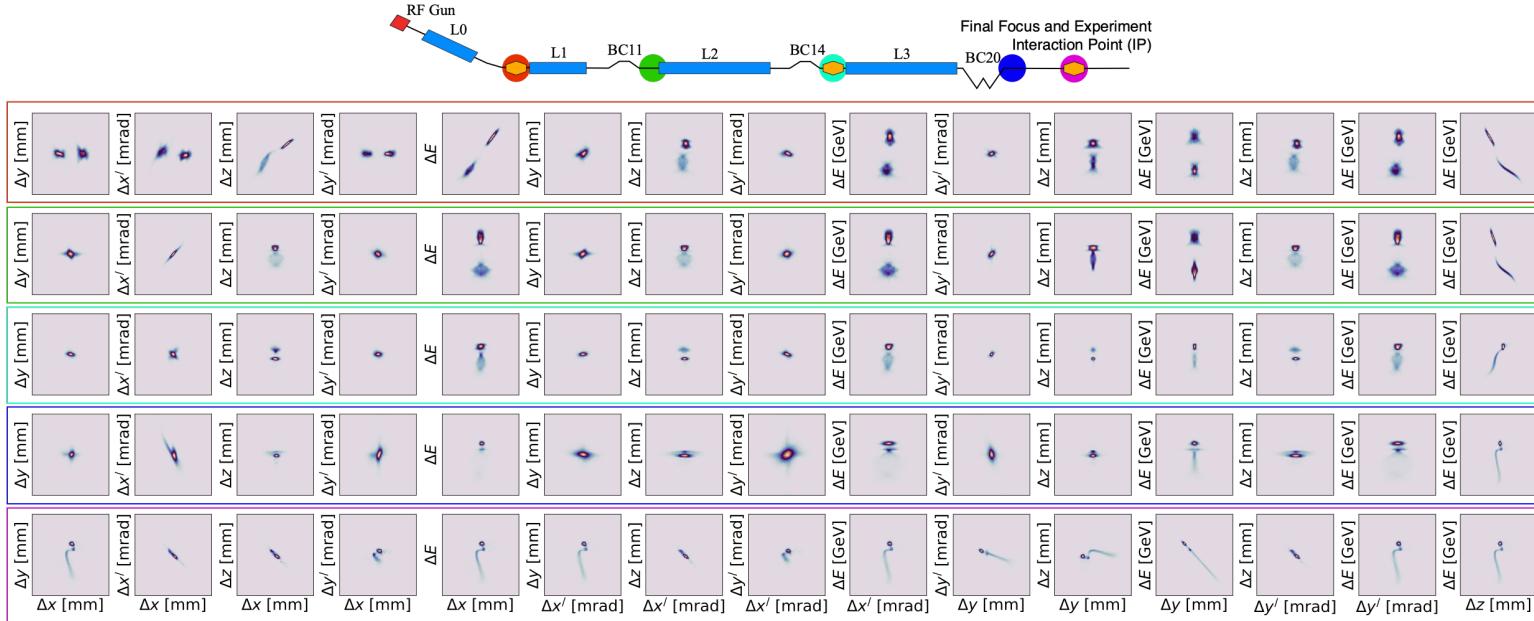
ICFA Beam Dynamics Newsletter#82 — Advanced Accelerator Modelling  
Special Issue in Journal of Instrumentation, 2022

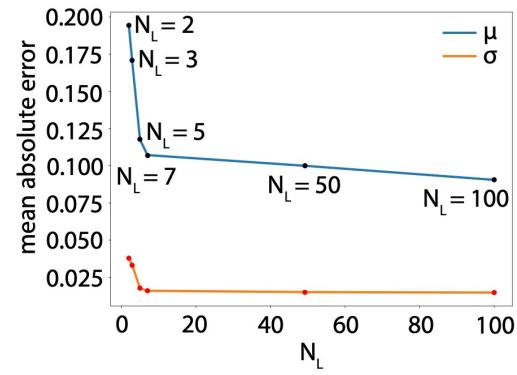
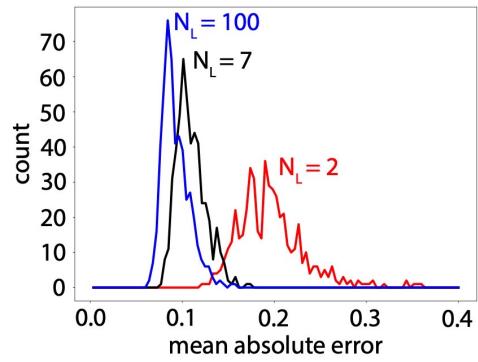
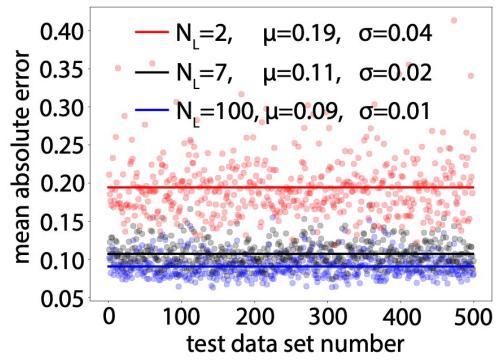


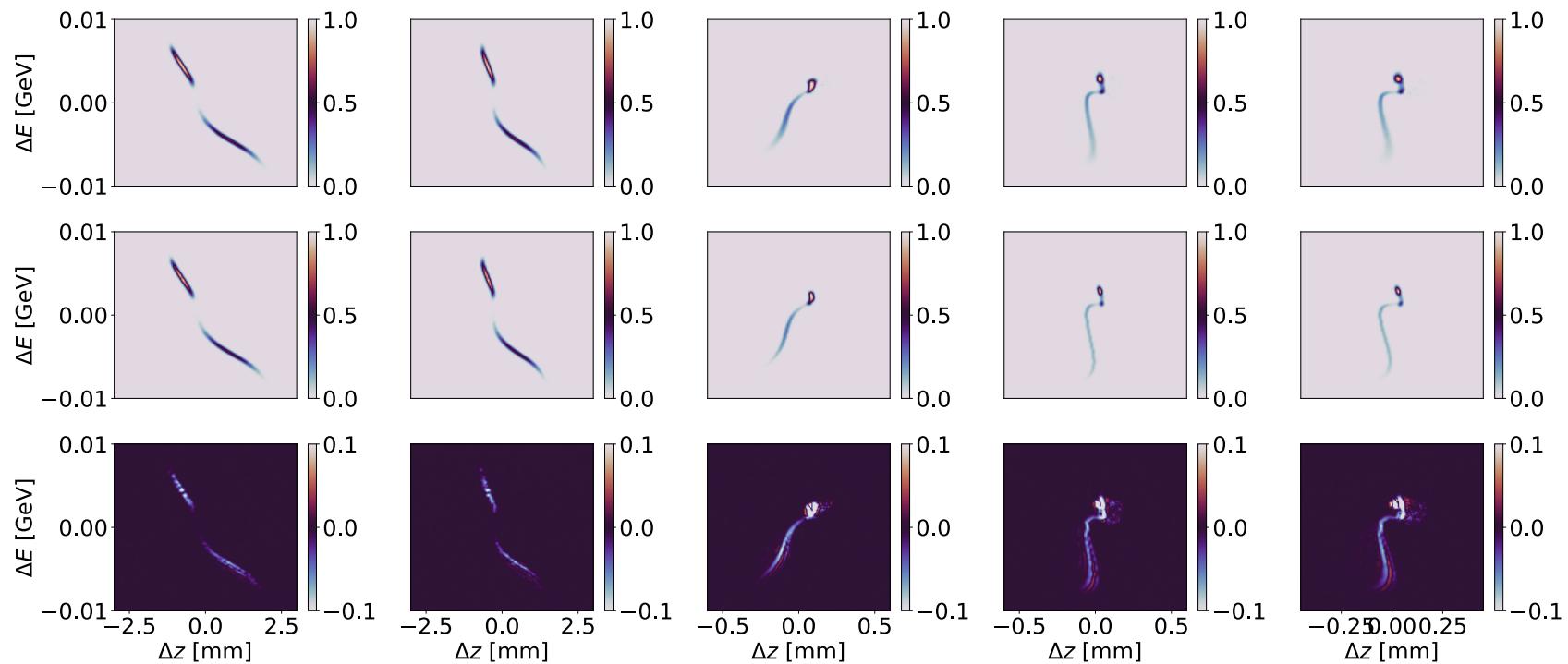
A. Scheinker. "Adaptive machine learning for time-varying systems: Low dimensional latent space tuning." arXiv:2107.06207

ICFA Beam Dynamics Newsletter#82 — Advanced Accelerator Modelling

Special Issue in Journal of Instrumentation, 2022

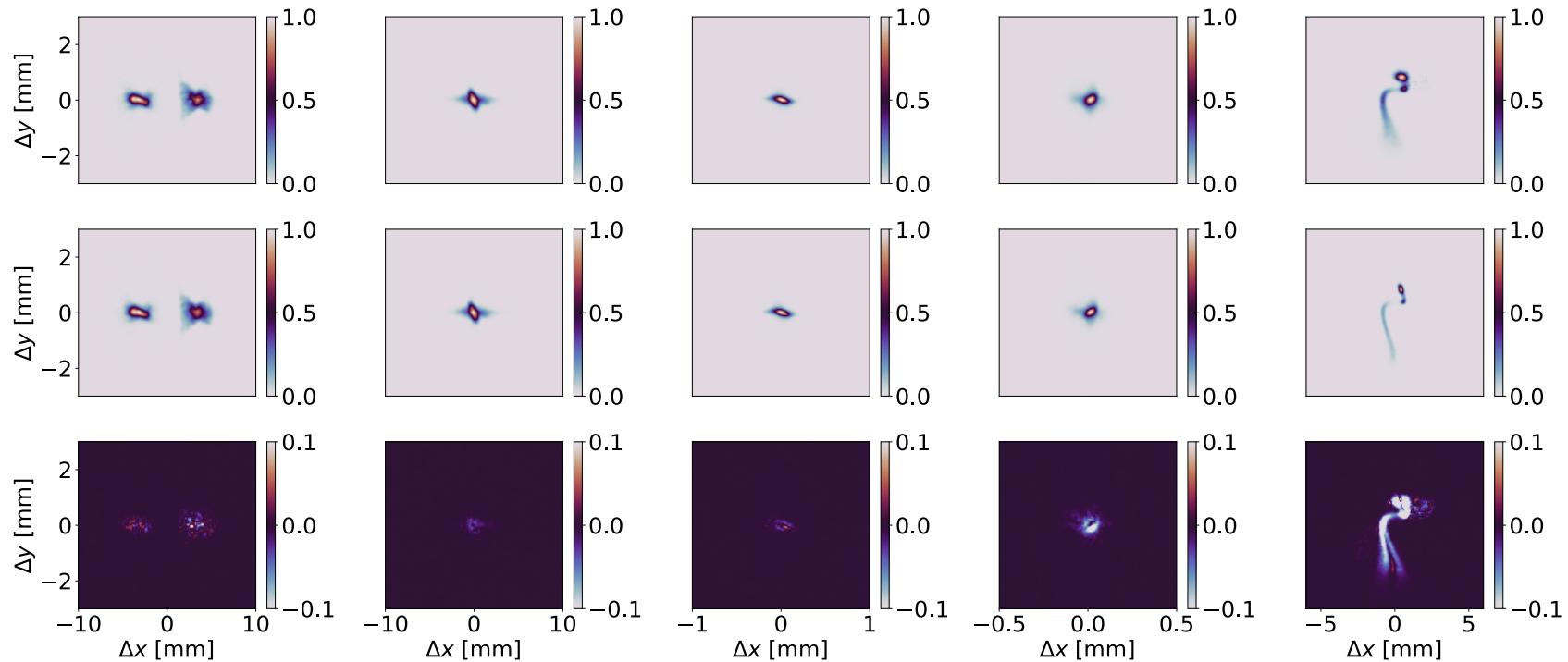






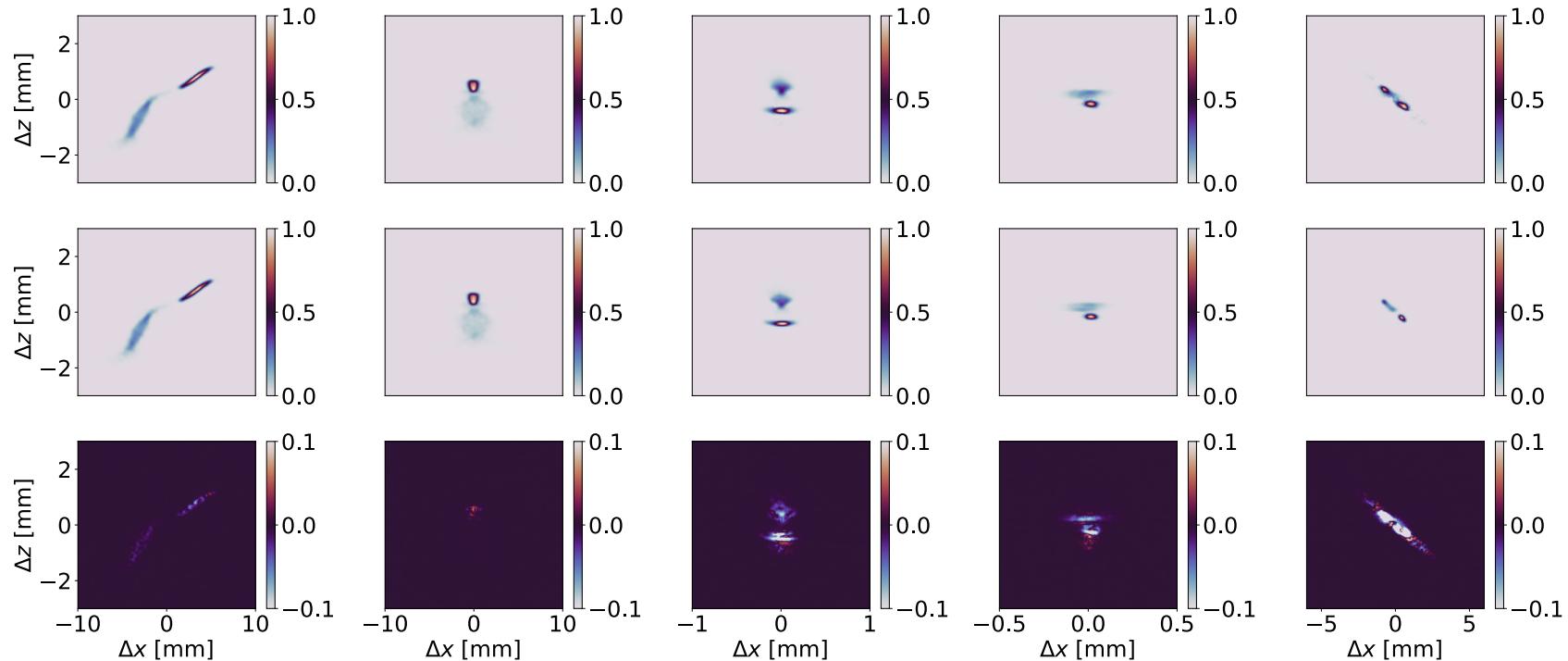
A. Scheinker. "Adaptive machine learning for time-varying systems: Low dimensional latent space tuning."  
arXiv:2107.06207

ICFA Beam Dynamics Newsletter#82 — Advanced Accelerator Modelling  
Special Issue in Journal of Instrumentation, 2022



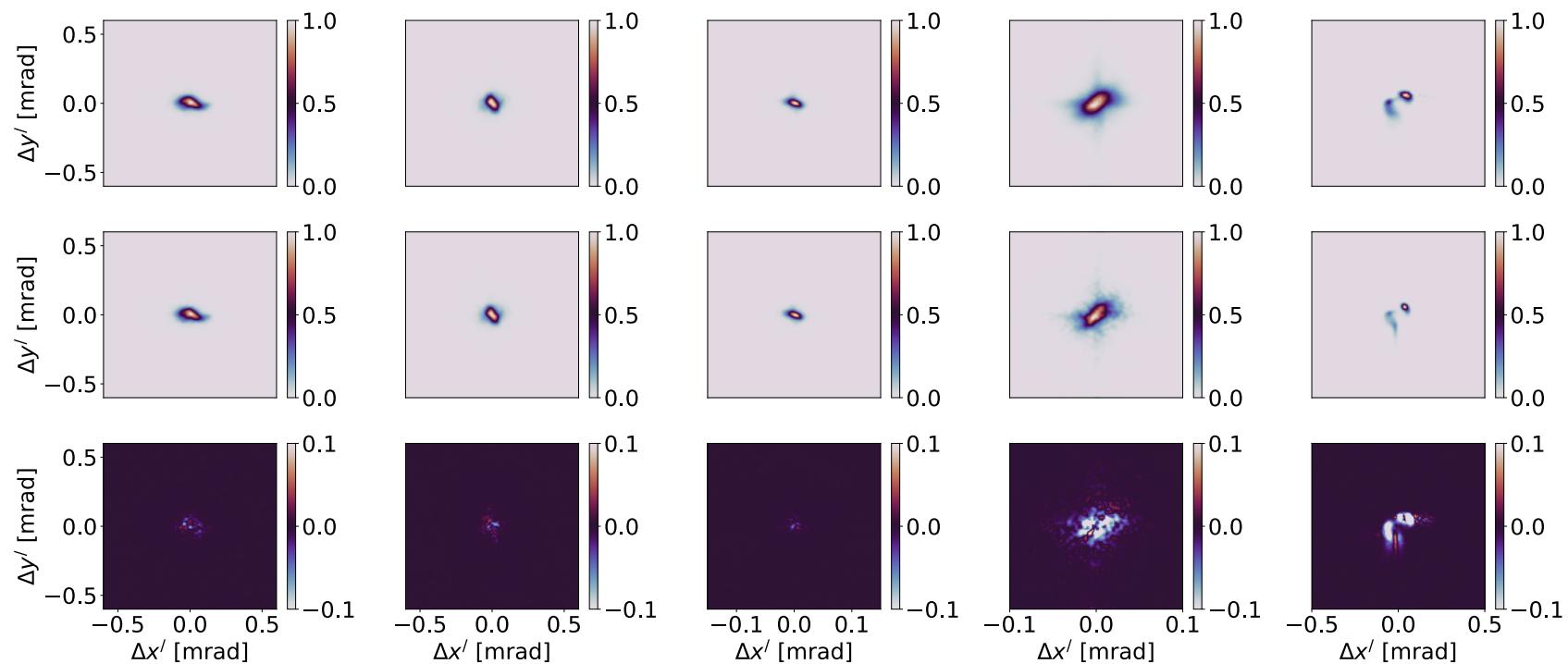
A. Scheinker. "Adaptive machine learning for time-varying systems: Low dimensional latent space tuning."  
arXiv:2107.06207

ICFA Beam Dynamics Newsletter#82 — Advanced Accelerator Modelling  
Special Issue in Journal of Instrumentation, 2022



A. Scheinker. "Adaptive machine learning for time-varying systems: Low dimensional latent space tuning."  
arXiv:2107.06207

ICFA Beam Dynamics Newsletter#82 — Advanced Accelerator Modelling  
Special Issue in Journal of Instrumentation, 2022

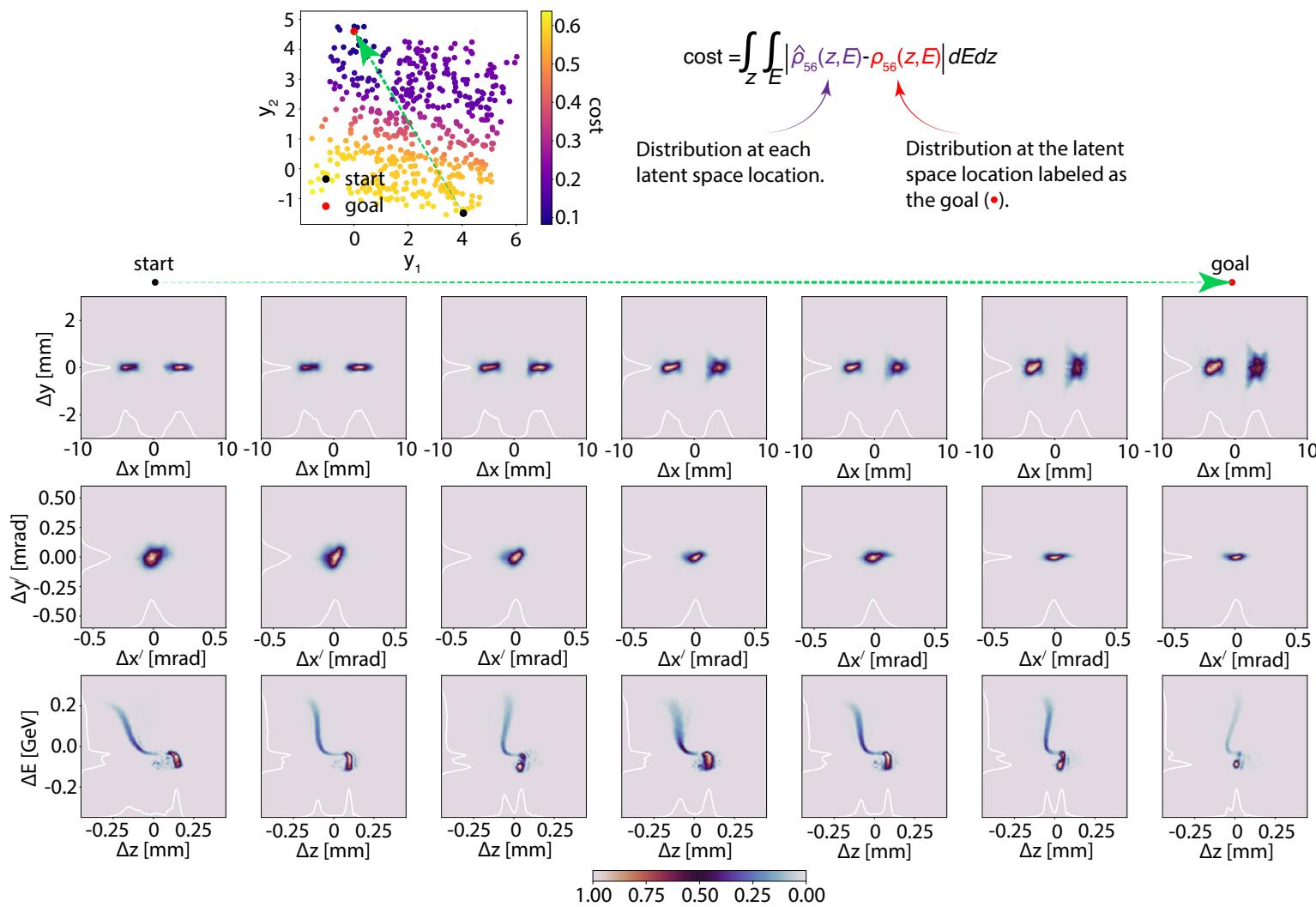


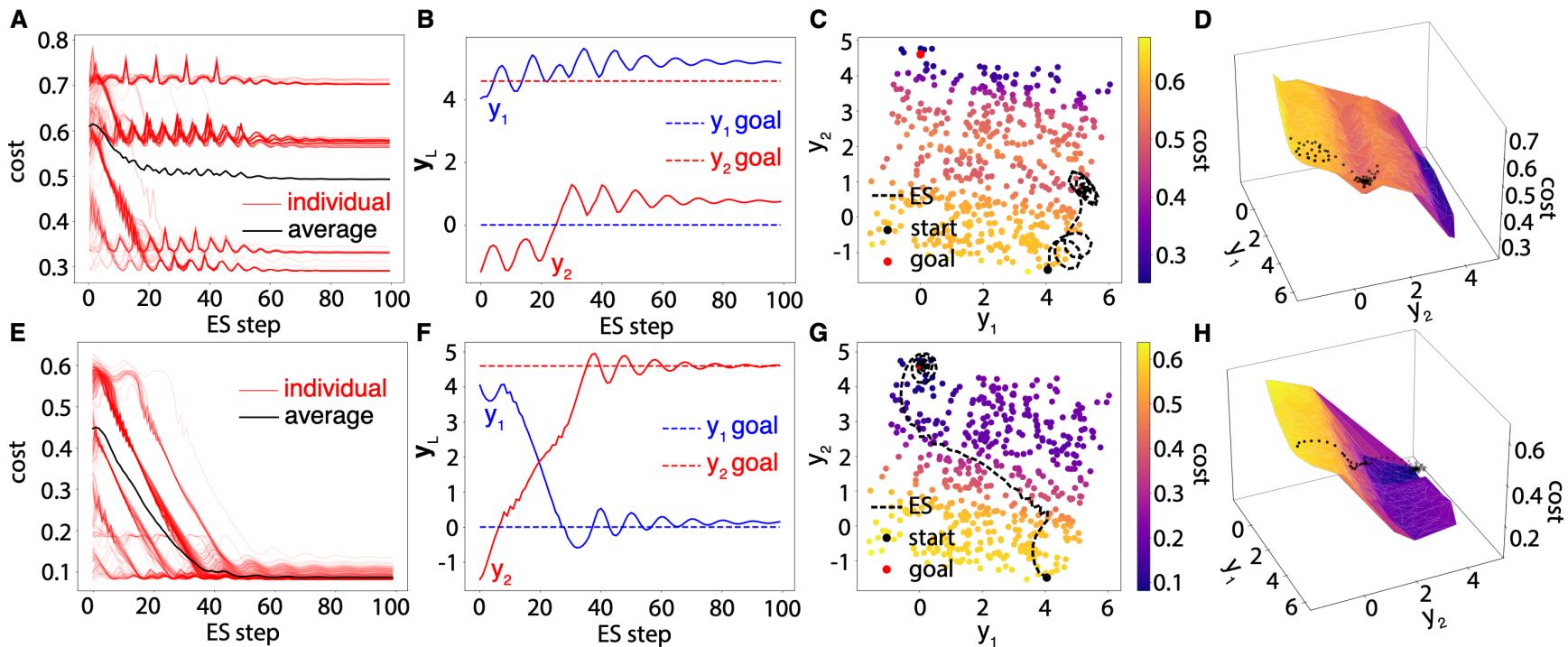
A. Scheinker. "Adaptive machine learning for time-varying systems: Low dimensional latent space tuning."

[arXiv:2107.06207](https://arxiv.org/abs/2107.06207)

ICFA Beam Dynamics Newsletter#82 — Advanced Accelerator Modelling

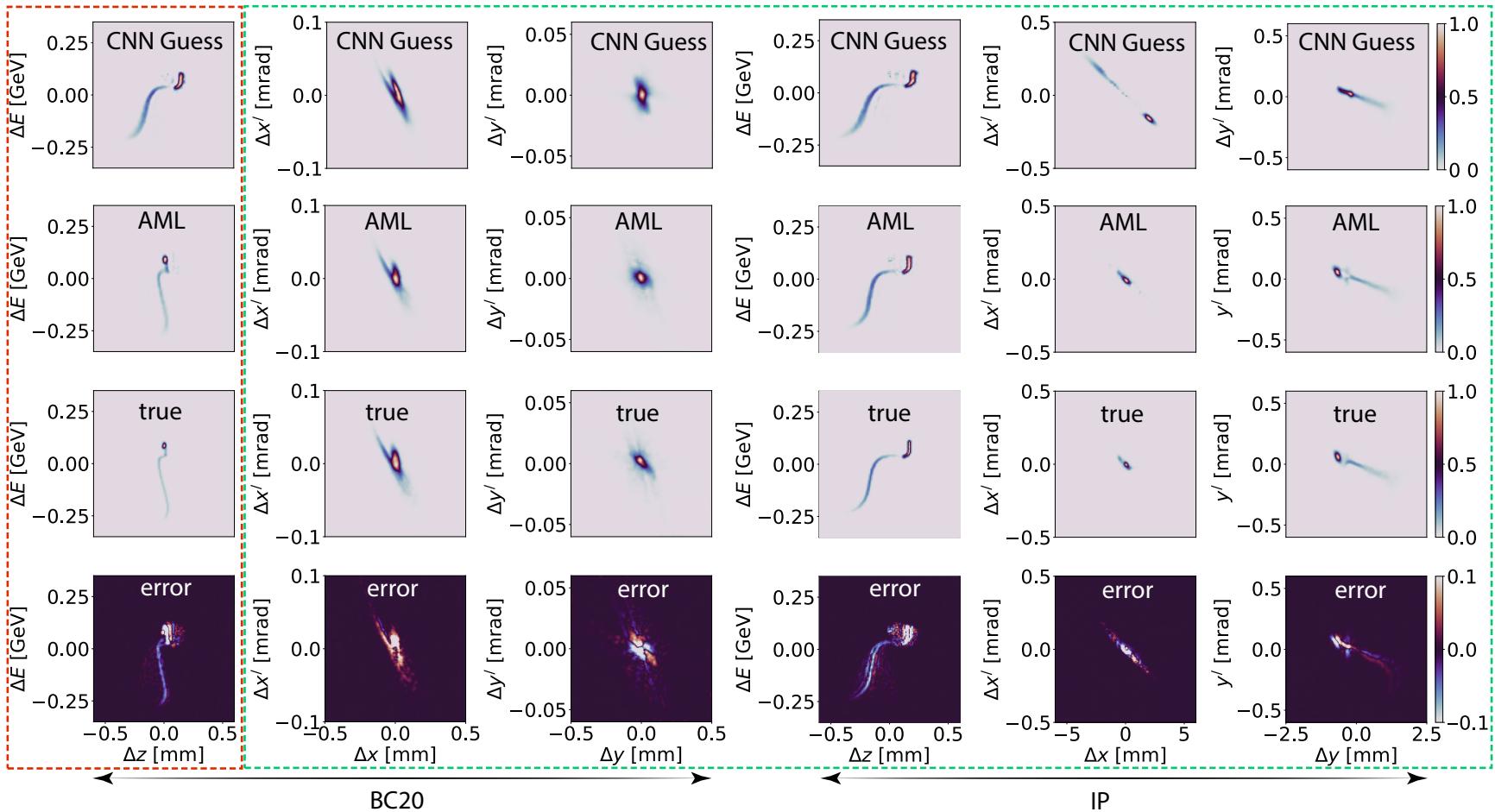
Special Issue in Journal of Instrumentation, 2022





A. Scheinker. "Adaptive machine learning for time-varying systems: Low dimensional latent space tuning."  
[arXiv:2107.06207](https://arxiv.org/abs/2107.06207)  
ICFA Beam Dynamics Newsletter#82 — Advanced Accelerator Modelling  
Special Issue in Journal of Instrumentation, 2022

# Adaptive Latent Space Tuning



# **Facet-II Beam Time E325**

## **Automatic tuning for high gain, low energy spread, and low variance PWFA**

- Alexander Scheinker
- Spencer Gessner
- Claudio Emma