

# Beam-beam interaction in SuperKEKB: simulations and experimental results

Demin Zhou

## Acknowledgments

K. Ohmi, Y. Zhang, Y. Ohnishi, Y. Funakoshi,  
SuperKEKB commissioning team,  
[SuperKEKB ITF team](#) (K. Oide, D. Shatilov, M. Zobov,  
T. Nakamura, T. Browder, Y. Cai, C. Lin, et al.)

# Outline

- Luminosity and beam-beam tune shifts
- Status of beam-beam simulations
- Crab waist applied to SuperKEKB
- Comparison of simulations and experimental results
- Summary

# Luminosity and beam-beam tune shifts

- “Nano-beam scheme” for SuperKEKB

- The hourglass effect on luminosity and the incoherent beam-beam tune is weak. Vertical beam sizes are the most crucial.

$$L \approx \frac{N_b N_+ N_- f}{2\pi \sqrt{\sigma_{y+}^{*2} + \sigma_{y-}^{*2}} \sqrt{\sigma_{z+}^2 + \sigma_{z-}^2} \tan \frac{\theta_c}{2}} e^{-\frac{\Delta^2}{2(\sigma_{y+}^{*2} + \sigma_{y-}^{*2})}}$$

$$\sigma_y^{*2} = \beta_y^* \epsilon_y \left( 1 + \frac{\Delta s^2}{\beta_y^{*2}} \right) + \eta_y^{*2} \sigma_\delta^2 + \epsilon_x \beta_x^* \left[ \frac{(r_2^* + r_4^* \Delta s)^2}{\beta_x^{*2}} + (r_1^* + r_3^* \Delta s)^2 \right]$$

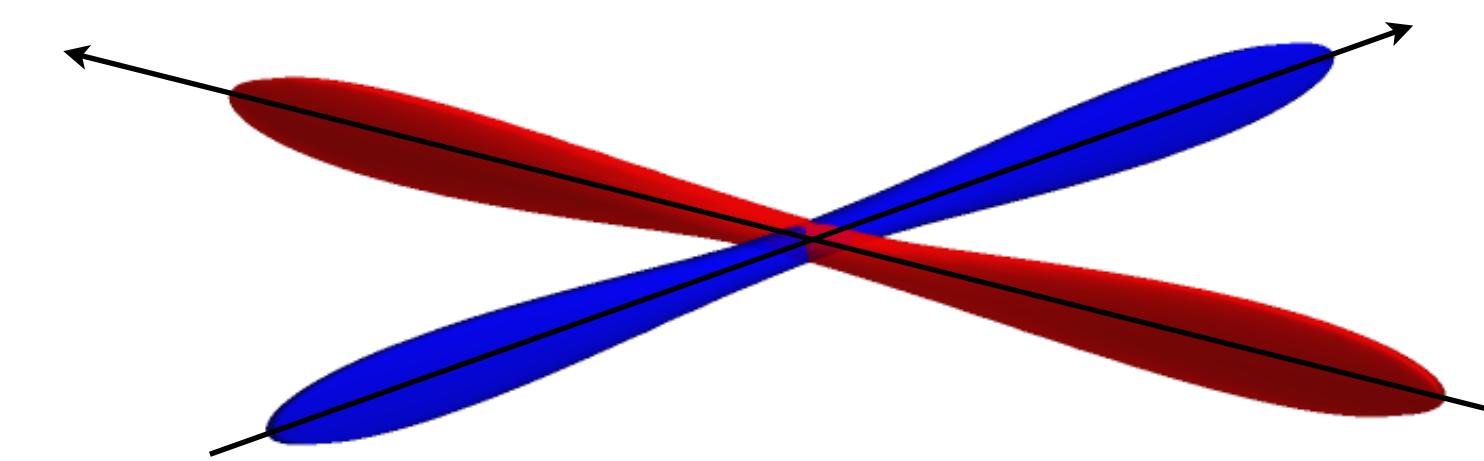
$$\xi_{x+}^i \approx \frac{r_e}{2\pi\gamma_+} \frac{N_- \beta_{x+}^*}{\sigma_{z-}^2 \tan^2 \frac{\theta_c}{2} + \sigma_{x-}^{*2}}$$

$$\xi_{y+}^i \approx \frac{r_e}{2\pi\gamma_+} \frac{N_- \beta_{y+}^*}{\sigma_{y-}^* \sqrt{\sigma_{z-}^2 \tan^2 \frac{\theta_c}{2} + \sigma_{x-}^{*2}}}$$

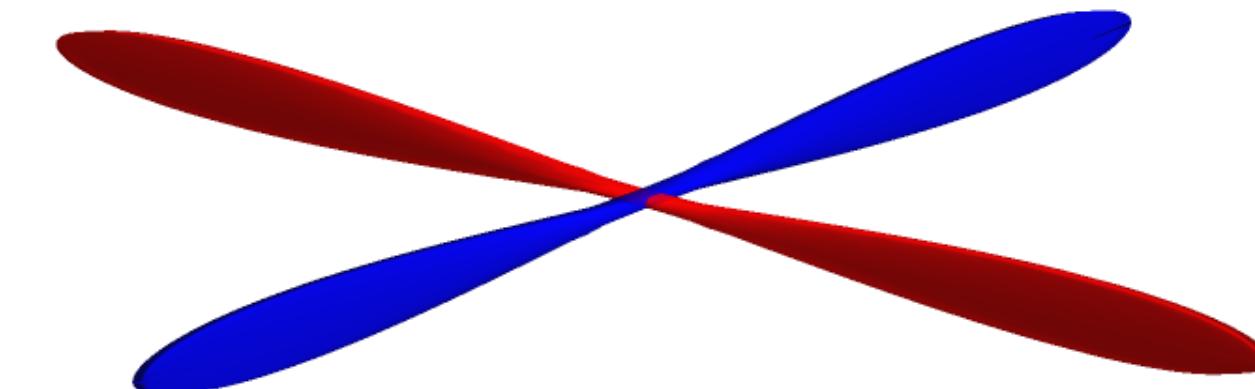
**Piwinski angle:**  $\Phi_P = \frac{\sigma_z}{\sigma_x^*} \tan \frac{\theta_c}{2} \gg 1$

**Hourglass condition:**  $\frac{\beta_y^*}{\sigma_x^*} \tan \frac{\theta_c}{2} \gtrsim 1$

Schematic view of collision schemes



SuperKEKB (2021c)



SuperKEKB (Final design)

# Luminosity and beam-beam tune shifts

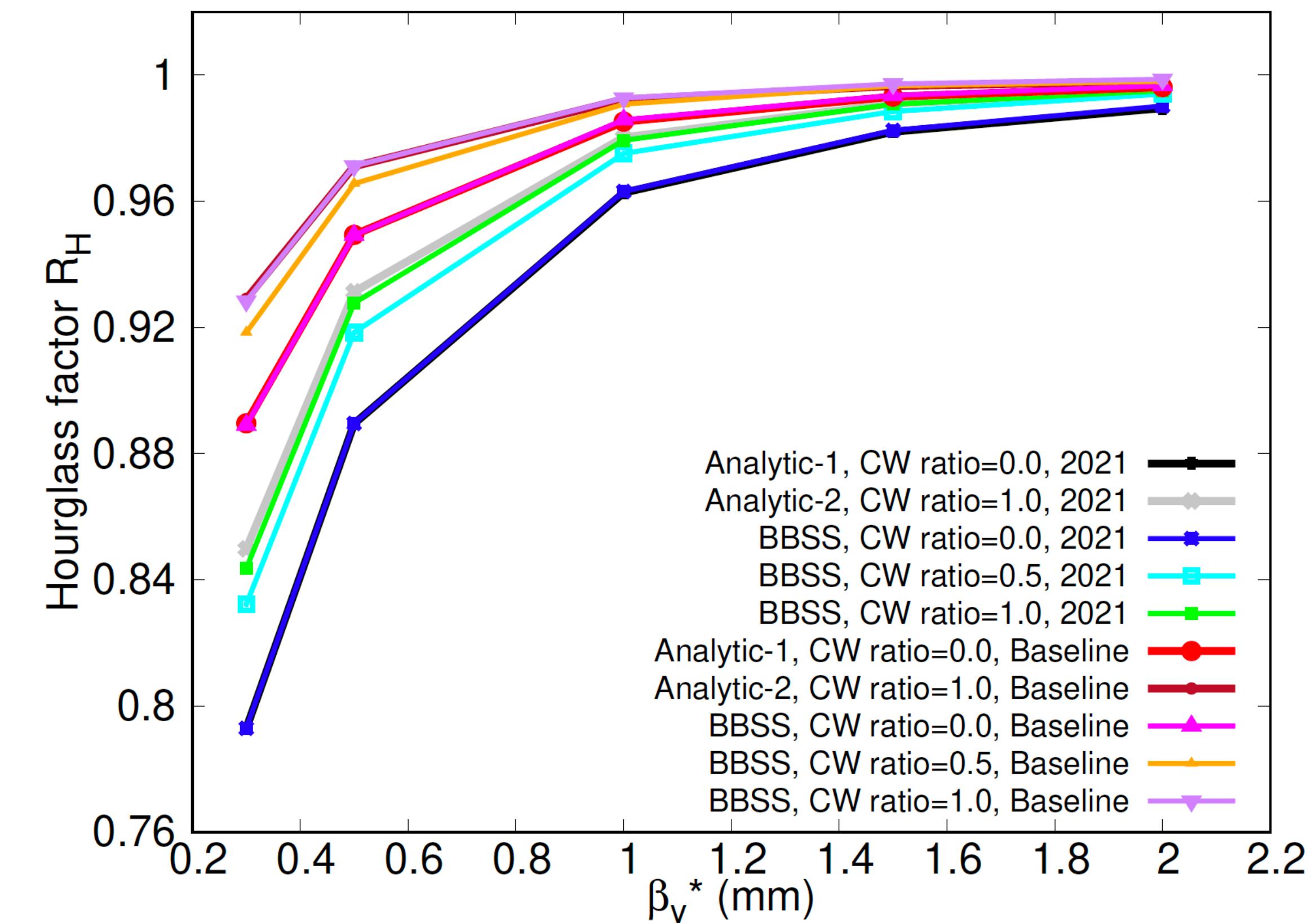
- “Nano-beam scheme” for SuperKEKB
  - Analytic formulae are useful to estimate the hourglass effect on luminosity.
  - Luminosity gain from crab waist is a few percent.

Parameters	Baseline design		Phase-3 (2021)	
	LER	HER	LER	HER
$I_b$ (mA)	1.44	1.04	0.673	0.585
$\epsilon_x$ (nm)	3.2	4.6	4.0	4.6
$\epsilon_y$ (pm)	8.64	11.5	52.5	52.5
$\beta_x^*$ (mm)	32	25	80	60
$\beta_y^*$ (mm)	0.27	0.3	1	1
$\sigma_z$ (mm)	6	5	4.6	5.1
$N_b$	2500		1174	
$\xi_x^i$	0.0028	0.0012	0.0028	0.0030
$\xi_y^i$	0.083	0.074	0.043	0.031
$\xi_x^{ih}$	0.0017	0.0005	0.0027	0.0029
$\xi_y^{ih}$	0.085	0.071	0.043	0.031
$\Phi_{XZ}$	22.0		11.6	
$\Phi_{HC}$	0.8		1.7	
$L$ ( $10^{34} \text{ cm}^{-2}\text{s}^{-1}$ )	83.5		3.0	

Hourglass factor  $R_H = R_{HC}/R_C$      $R_C = \left( 1 + \frac{\Sigma_z^2}{\Sigma_x^{*2}} \tan^2 \frac{\theta_c}{2} \right)^{-1/2}$

w/o CW,  $R_{HC} \approx \sqrt{\frac{2}{\pi}} a e^b K_0(b)$

w/ full CW,  $R_{HC}^{CW} \approx \frac{\Sigma_x^* \Sigma_z \tan \frac{\theta_c}{2}}{\Sigma_z^2 \tan^2 \frac{\theta_c}{2} + \sigma_{x+}^* \sigma_{x-}^*} f(d)$



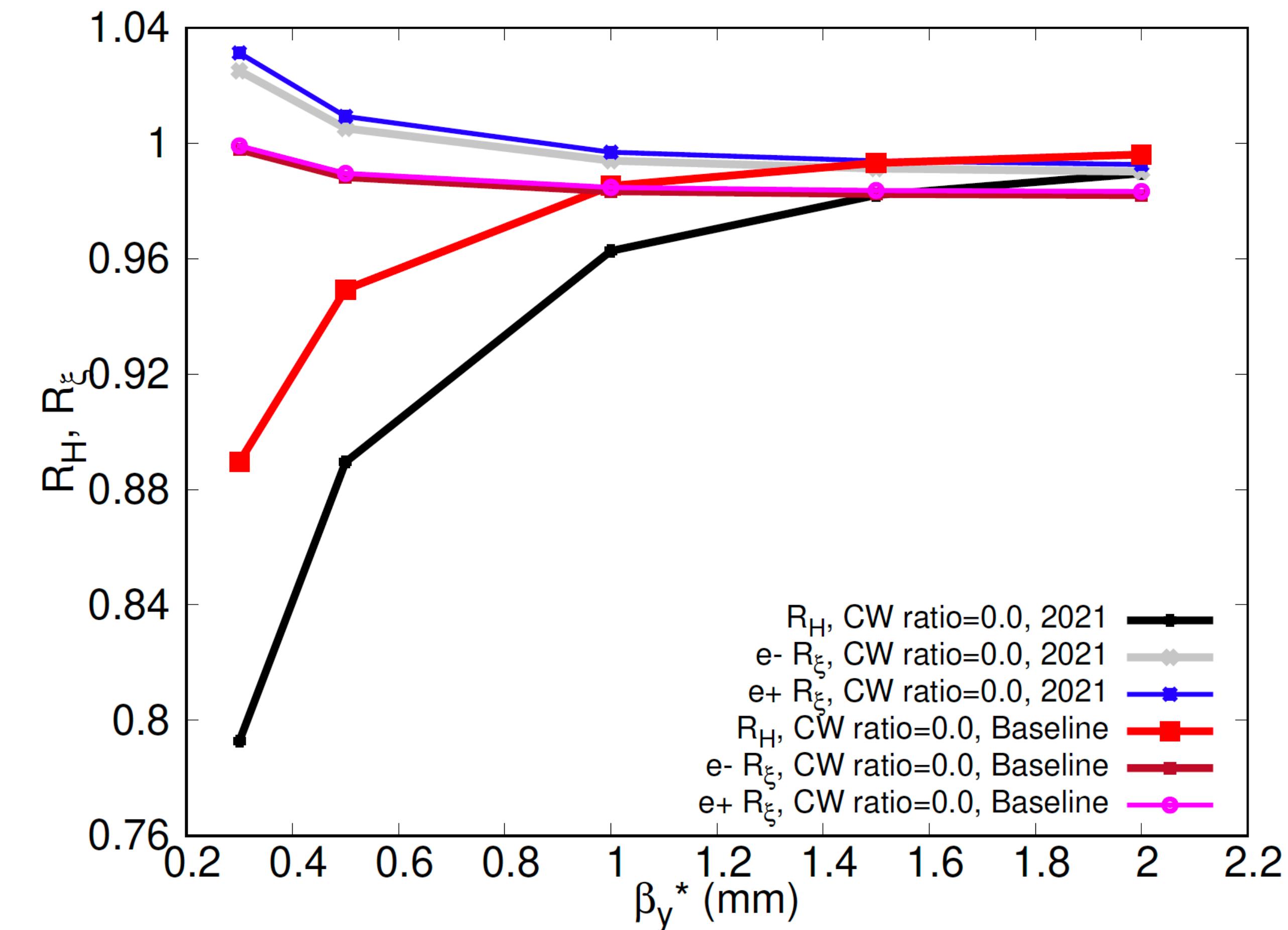
# Luminosity and beam-beam tune shifts

- “Nano-beam scheme” for SuperKEKB
    - Hourglass effect causes luminosity loss.
    - Beam-beam tune shift is less sensitive because of  $\beta$ -weighting.

Parameters	Baseline design		Phase-3 (2021)	
	LER	HER	LER	HER
$I_b$ (mA)	1.44	1.04	0.673	0.585
$\epsilon_x$ (nm)	3.2	4.6	4.0	4.6
$\epsilon_y$ (pm)	8.64	11.5	52.5	52.5
$\beta_x^*$ (mm)	32	25	80	60
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$\Phi_{XZ}$	22.0		11.6	
$\Phi_{HC}$	0.8		1.7	
$L$ ( $10^{34} \text{ cm}^{-2}\text{s}^{-1}$ )	83.5		3.0	

$$\text{w/o CW, } \xi_{u\pm}^i = \frac{r_e}{2\pi\gamma_\pm} \frac{N_\mp\beta_{u\pm}^*}{\bar{\sigma}_{u\mp}(\bar{\sigma}_{x\mp} + \bar{\sigma}_{y\mp})}$$

**Hourglass factor**  $R_{\xi_{u^\pm}} = \xi_{u^\pm}^{ih}/\xi_{u^\pm}^i$



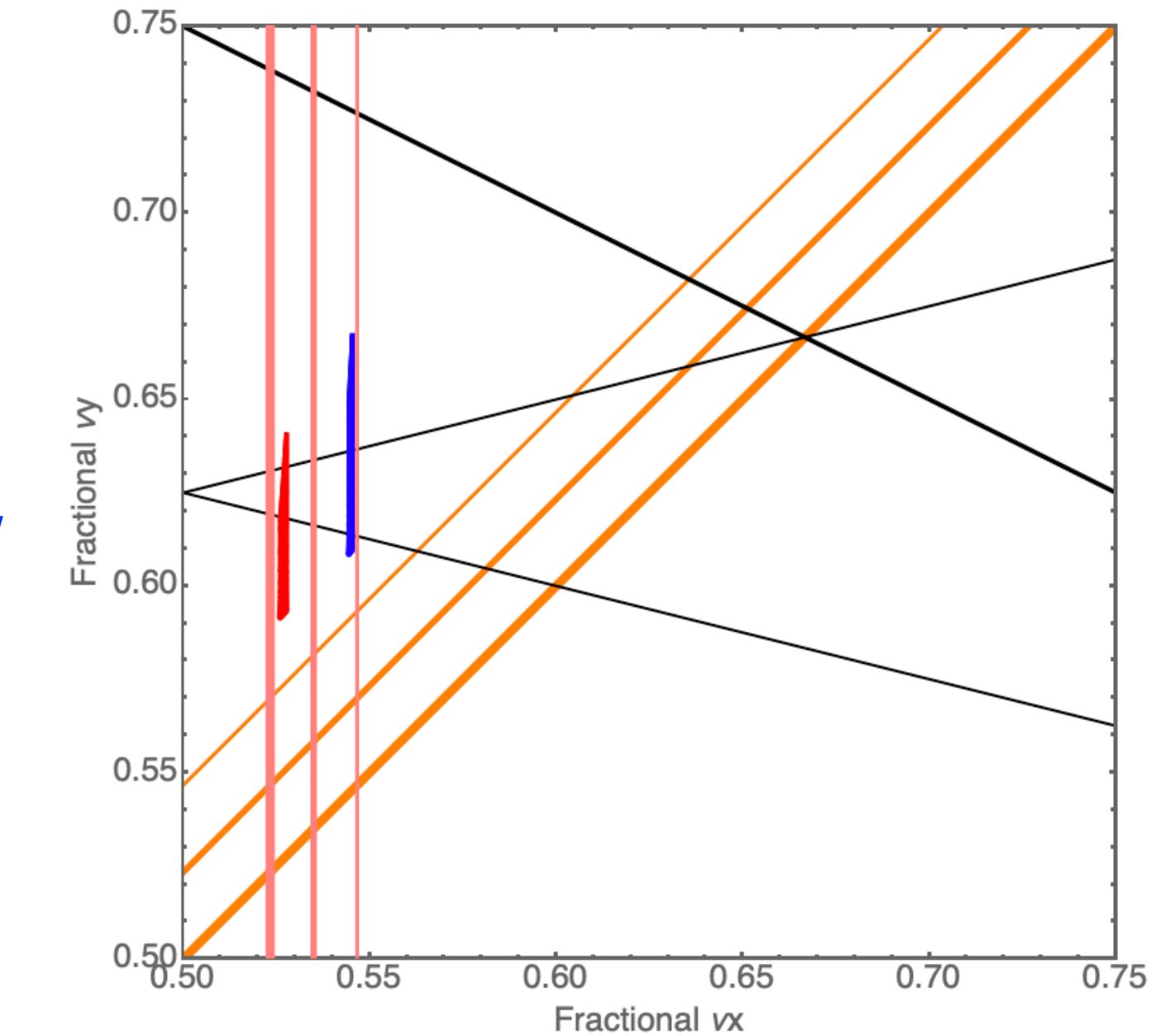
# Luminosity and beam-beam tune shifts

- “Nano-beam scheme” for SuperKEKB
  - Beam-beam-driven footprint in tune space is useful for understanding beam-beam effects.
  - The choice of working point dynamically depends on machine conditions.

Parameters	2019.07.01		2022.04.05	
	LER	HER	LER	HER
$I_b$ (mA)	0.51	0.51	0.71	0.57
$\epsilon_x$ (nm)	2.0	4.6	4.0	4.6
$\epsilon_y$ (pm)	40	40	30	35
$\beta_x$ (mm)	80	80	80	60
$\beta_y$ (mm)	2	2	1	1
$\sigma_{z0}$ (mm)	4.6	5.0	4.6	5.1
$\nu_x$	44.542	45.53	44.524	45.532
$\nu_y$	46.605	43.583	46.589	43.572
$\nu_s$	0.023	0.027	0.023	0.027
Crab waist ratio	0	0	80%	40%
$N_b$	1174		1174	
$\xi_x^i$	0.0034	0.0023	0.0036	0.0024
$\xi_y^i$	0.062	0.039	0.052	0.044
$\xi_x^{ih}$	0.0032	0.0021	0.0034	0.0023
$\xi_y^{ih}$	0.062	0.038	0.051	0.044
$\Phi_{XZ}$	12.3		11.7	
$\Phi_{HC}$	3.6		1.7	
$L$ ( $10^{34} \text{ cm}^{-2}\text{s}^{-1}$ )	1.7		3.9	

LER

Red: 2022.04.05, w/ CW  
Blue: 2019.07.01, w/o CW

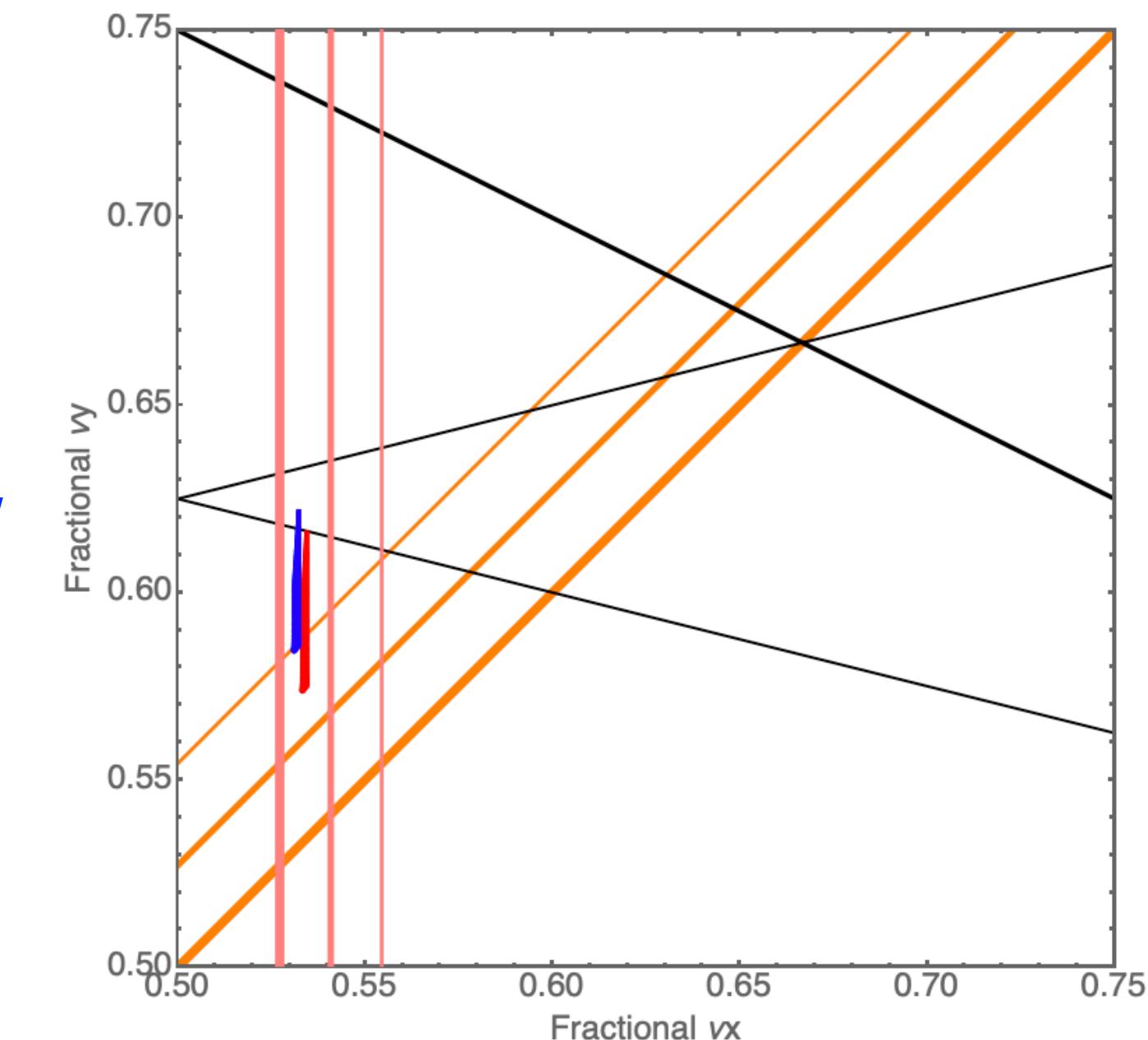


Notes:

- \* Hourglass effect ignored in calculation of BB footprint
- \* Resonances  $m\nu_x \pm n\nu_y = N$  not plotted
- \* Collective effects dynamically shift the resonances

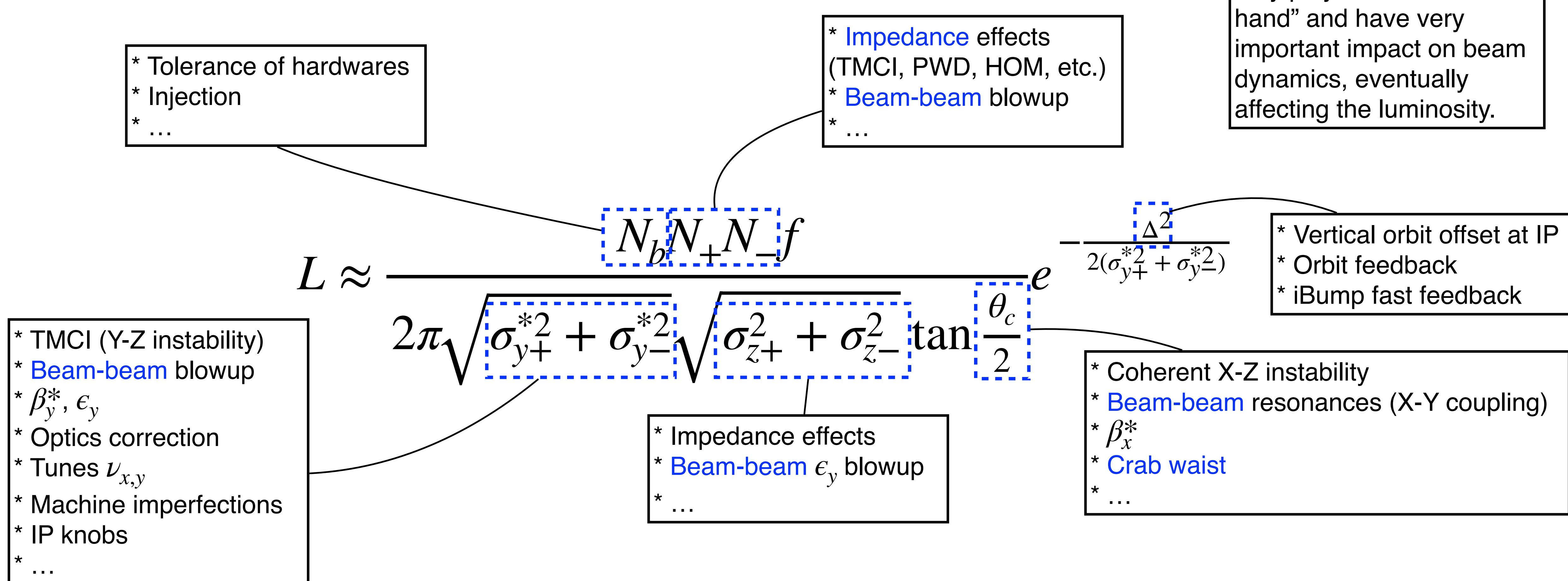
HER

Red: 2022.04.05, w/ CW  
Blue: 2019.07.01, w/o CW



# Luminosity and beam dynamics

- Beam dynamics behind the luminosity at SuperKEKB



**Specific luminosity:**  $L_{sp} = \frac{L}{N_b N_+ N_- (ef)^2}$

# Status of beam-beam simulations

- Weak-strong model + simple one-turn map: BBWS code [1]
  - The weak beam is represented by N macro-particles (statistical errors  $\sim 1/\sqrt{N}$ ). The strong beam has a rigid charge distribution with its EM fields expressed by the Bassetti-Erskine formula.
  - The simple one-turn map contains lattice transformation (Tunes, alpha functions, beta functions, X-Y couplings, dispersions, etc.), chromatic perturbation, synchrotron radiation damping, quantum excitation, crab waist, etc.
- Weak-strong model + full lattice: SAD code
  - The BBWS code was implemented into SAD as a type of BEAMBEAM element, where the beam-beam map is called during particle tracking.
  - Tracking using SAD: 1) Symplectic maps for elements of BEND, QUAD, MULT, CAVI, etc. 2) Element-by-element SR damping/excitation; 3) Distributed weak-strong space-charge; 4) MAP element for arbitrary perturbation maps (such as crab waist, wakefields, artificial SR damping/excitation, etc.); ...
- Strong-strong model + simple one-turn map: BBSS code [1]
  - Both beams are represented by N macro-particles
  - The one-turn map is the same as weak-strong code. The Beamstrahlung model is also available. Choices of numerical techniques: PIC, Gaussian fitting for each slice, ...
  - For SuperKEKB, it is hard to include lattice.
- GPU-powered strong-strong model + full lattice: SCTR code
  - Under development (K. Ohmi)
  - KEK/IHEP/J-PARC collaboration

$$M = M_{rad} \circ M_{chr} \circ M_{bb} \circ M_{cw} \circ M_0$$

$$M_0 = R \cdot M_{lin} \cdot R^{-1}$$

;

```
BEAMBEAM BMBMP =(NP=3.63776D10
              BETAX=0.06 BETAY=0.001
              EX=0.D0 EY=0.D0
              EMIX=4.6D-9 EMIY=40.D-12
              SIGZ=6.D-3 DP=6.30427D-4
              ALPHAX=0.D0 ALPHAY=0.D0
              DX=0.E-6 DZ=0.0
              SLICE=200.D0 XANGLE=41.5D-3
              STURN=1000)
;
```

# Status of beam-beam simulations

- Beam-beam simulations have shown that multiple factors can strongly interplay with beam-beam interaction
  - Imperfections in linear optics: beta beat, linear couplings, dispersions, etc. at the IP
  - Geometric nonlinearities: It is crucial when  $\beta_y^* < 1$  mm
  - Coupling impedances: Longitudinal and transverse (See C. Lin and Y. Zhang's talks)
  - Space charge
  - BxB feedback
- Predictability of beam-beam simulations: The case of SuperKEKB sets demands on
  - Accurate modeling of linear optics
  - Strong-strong model of beam-beam interaction
    - X-Z instability(i.e. Beam-beam head-tail instability)
    - Synchro-betatron resonances with working points near half integers
  - Reliable impedance modeling
    - Longitudinal impedance: potential-well distortion and synchrotron tune spread
    - Transverse impedance: Betatron tune shift and spread
    - Monopolar (longitudinal potential-well distortion and transverse beam tilt), dipole (TMCI), and quadrupolar (tune shift)

# Status of beam-beam simulations

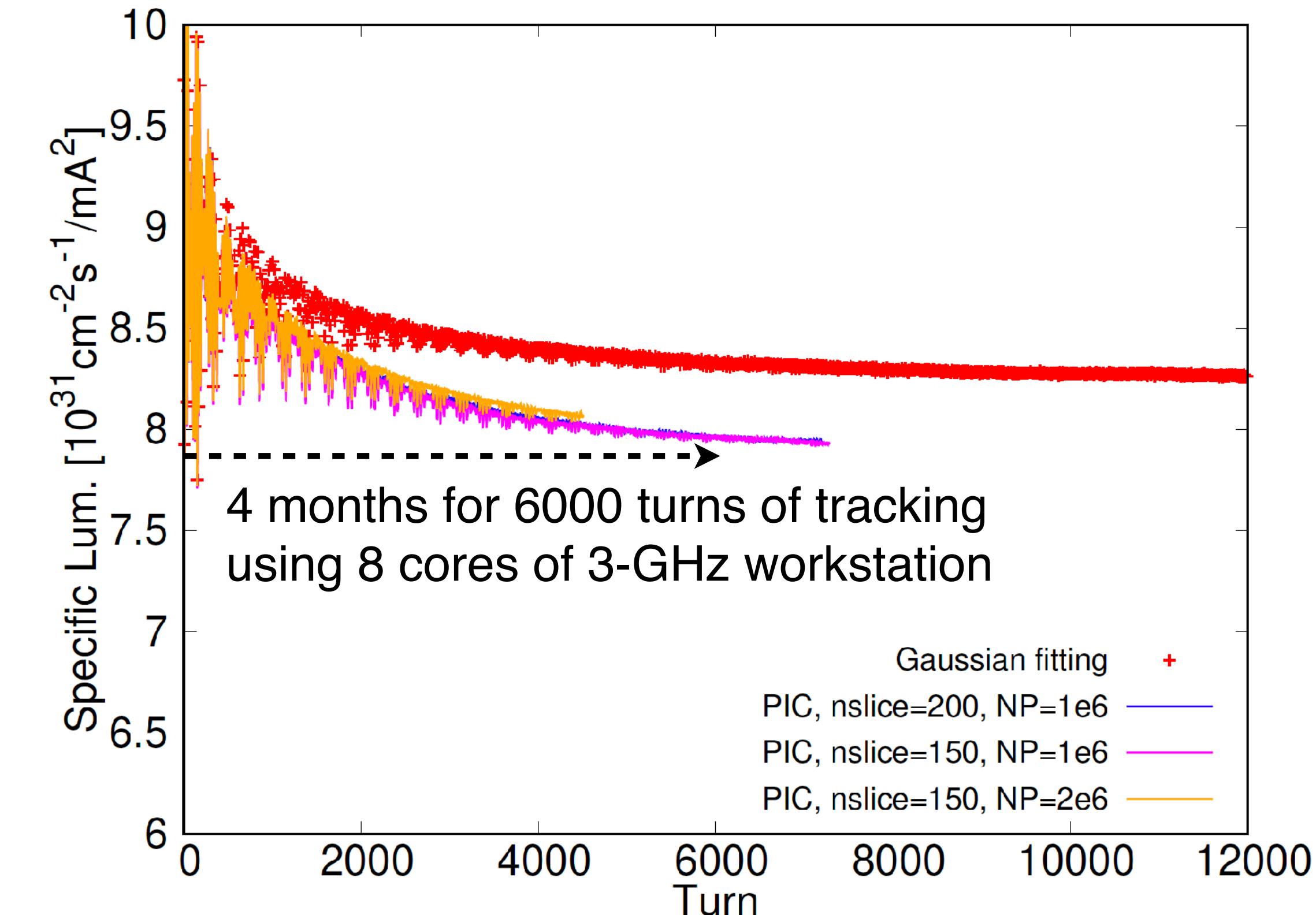
- BBSS simulations: PIC vs. Gaussian fitting model

- PIC method predicts lower luminosity (~5%).
- Using workstations(8 cores), one PIC simulation requires ~8 months, and a Gaussian-fitting simulation takes ~1.2 days.
- Significant progress has been achieved recently in developing GPU-based BB codes. Preliminary tests showed a speed-up factor of ~50 for PIC simulations based on the CUDA compiler (K. Ohmi, in collaboration with Y. Zhang and Z. Li (IHEP), T. Yasui (J-PARC)).
- This will speed up our investigations, especially of the interplay between beam-beam and machine imperfections.

	2021.12.21		Comments
	HER	LER	
I <sub>bunch</sub> (mA)	0.8	1.0	
# bunch	-		
ε <sub>x</sub> (nm)	4.6	4.0	w/ IBS
ε <sub>y</sub> (pm)	35	20	Estimated from XRM data
β <sub>x</sub> (mm)	60	80	Calculated from lattice
β <sub>y</sub> (mm)	1	1	Calculated from lattice
σ <sub>z0</sub> (mm)	5.05	4.60	Natural bunch length (w/o MWI)
v <sub>x</sub>	45.53	44.524	Measured tune of pilot bunch
v <sub>y</sub>	43.572	46.589	Measured tune of pilot bunch
v <sub>s</sub>	0.0272	0.0233	Calculated from lattice
Crab waist	40%	80%	Lattice design

$$L_{sp} \approx \frac{1}{2\pi e^2 f \sqrt{\sigma_{y+}^{*2} + \sigma_{y-}^{*2}} \sqrt{\sigma_{z+}^2 + \sigma_{z-}^2} \tan \frac{\theta_c}{2}}$$

“Vertical blowup”    “Longitudinal blowup”



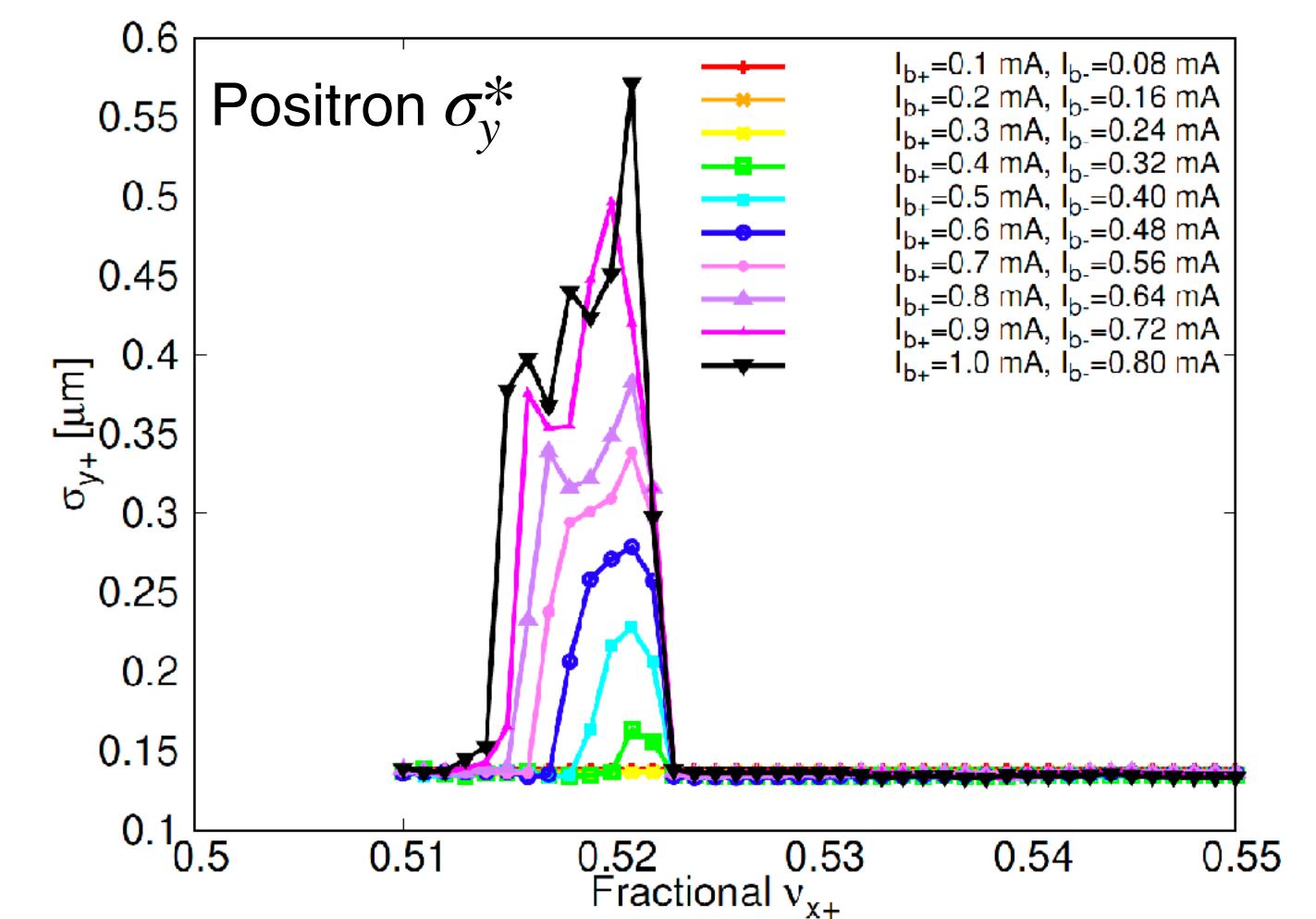
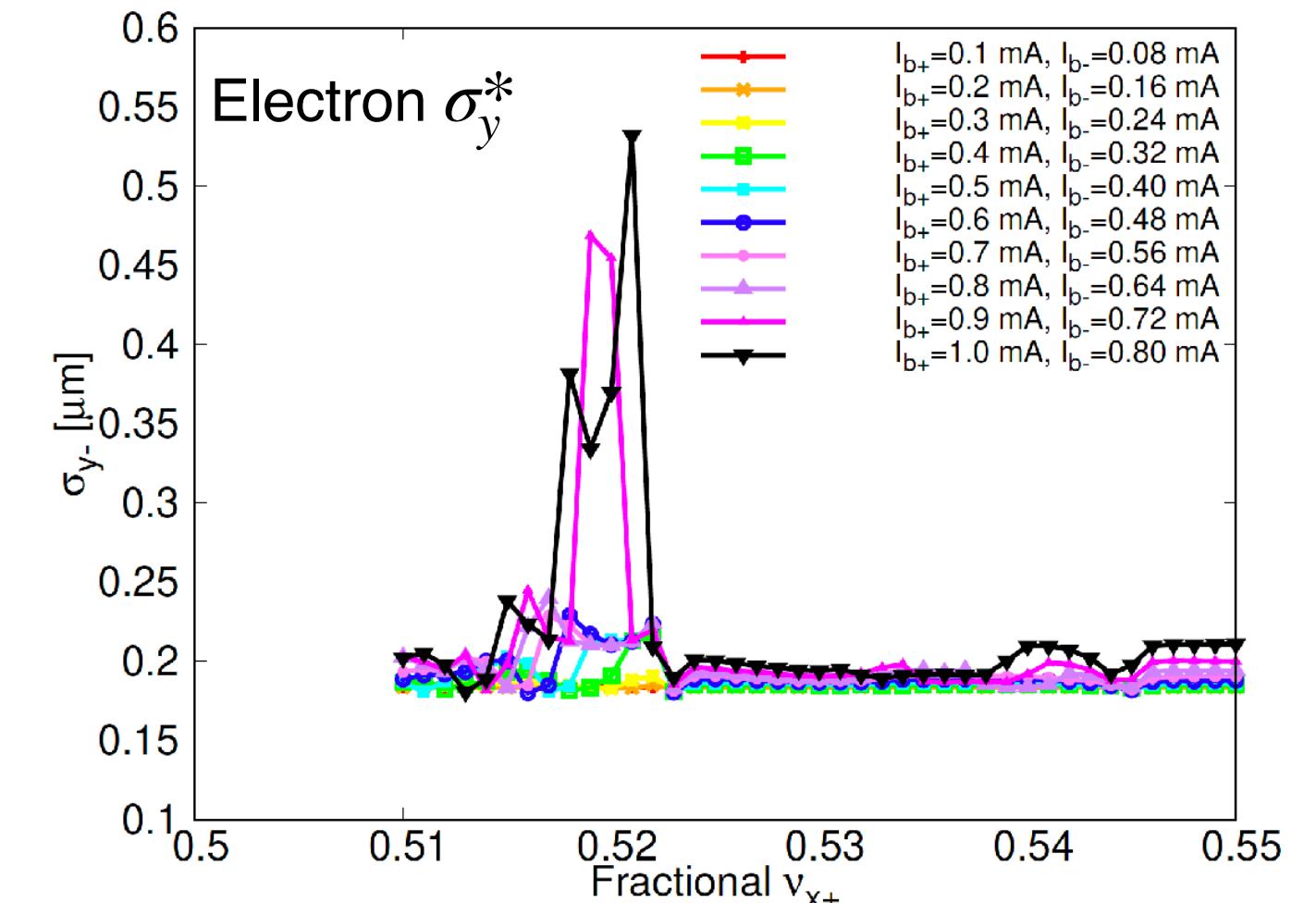
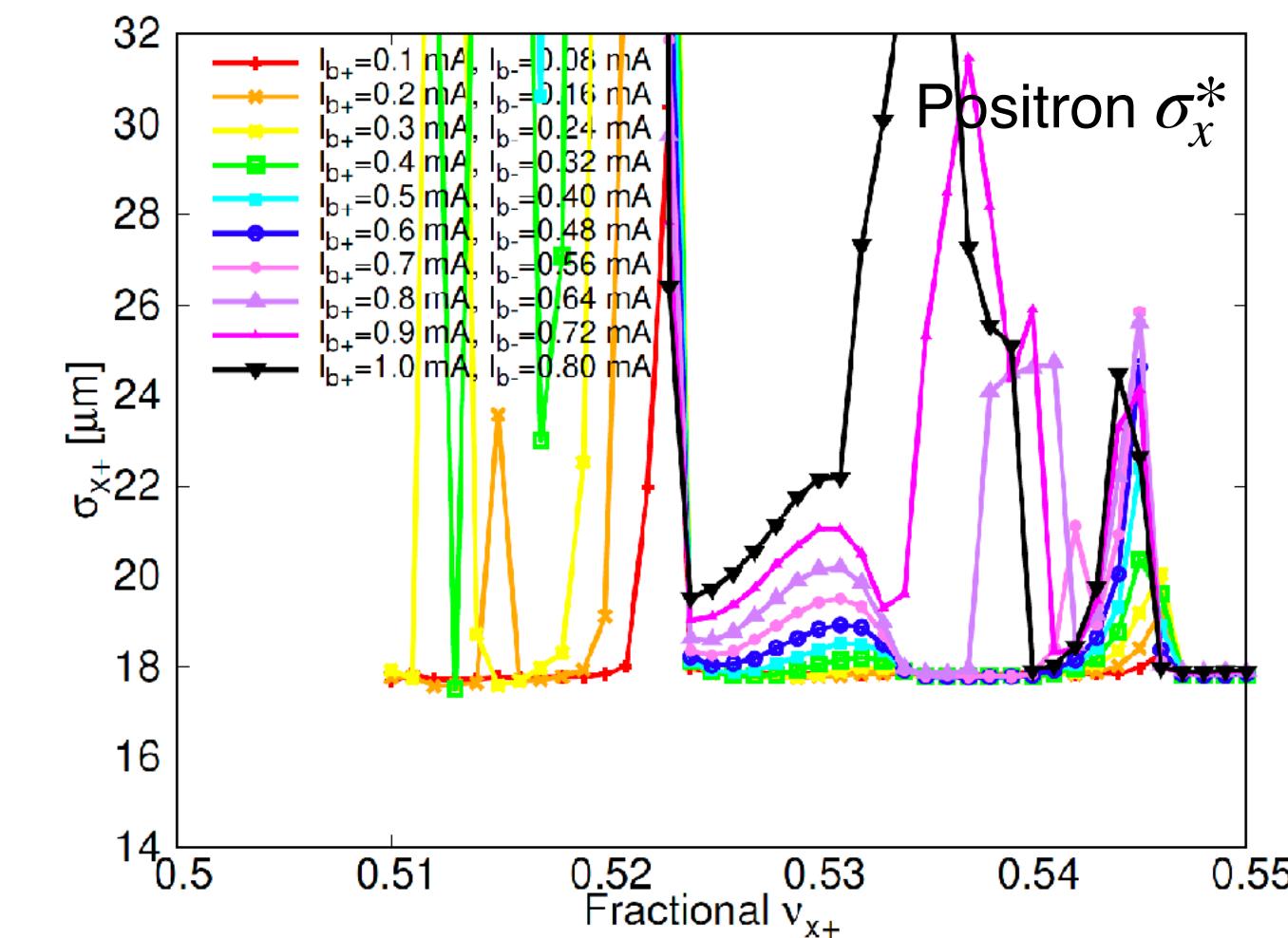
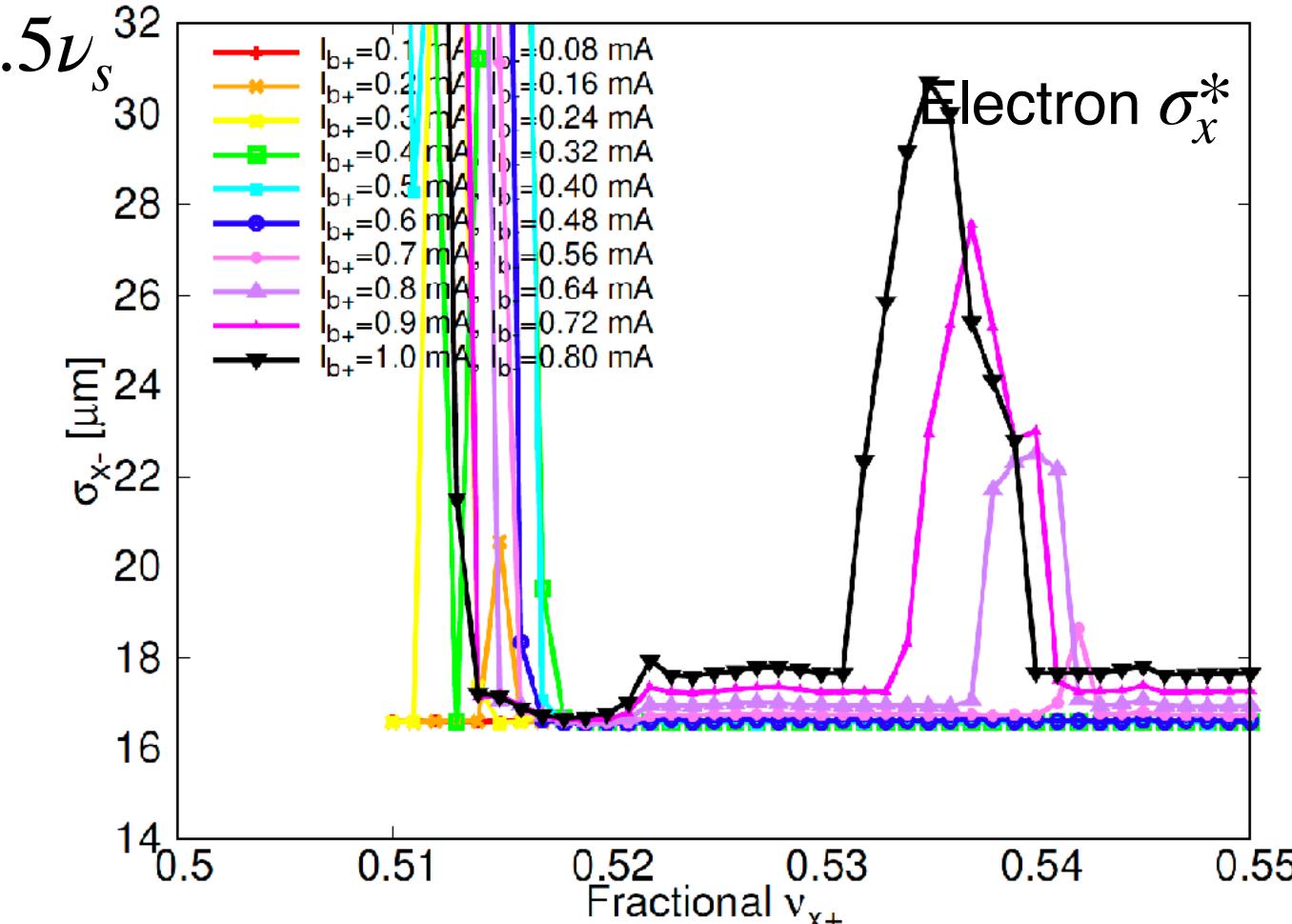
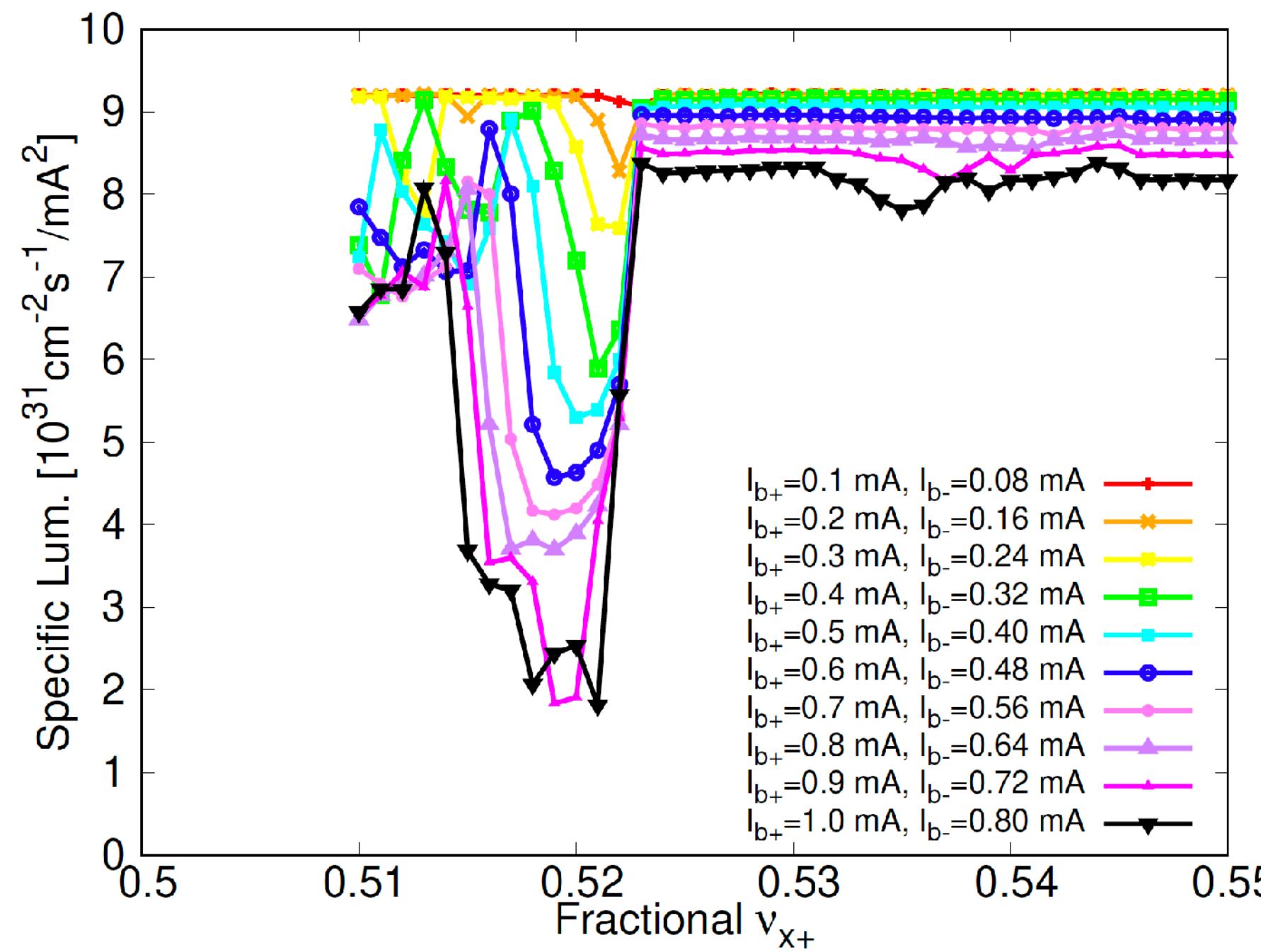
# Status of beam-beam simulations

- Scan LER  $\nu_x$  (with LER  $\nu_y$  and HER  $\nu_{x,y}$  fixed as the values of the parameter table of 2021.12.21)

- Coupling impedances included

- Weak horizontal blowup when  $0.5 + \nu_s < [\nu_x] < 0.5 + 1.5\nu_s$

X-Z instability is sensitive to  $\nu_x$ .

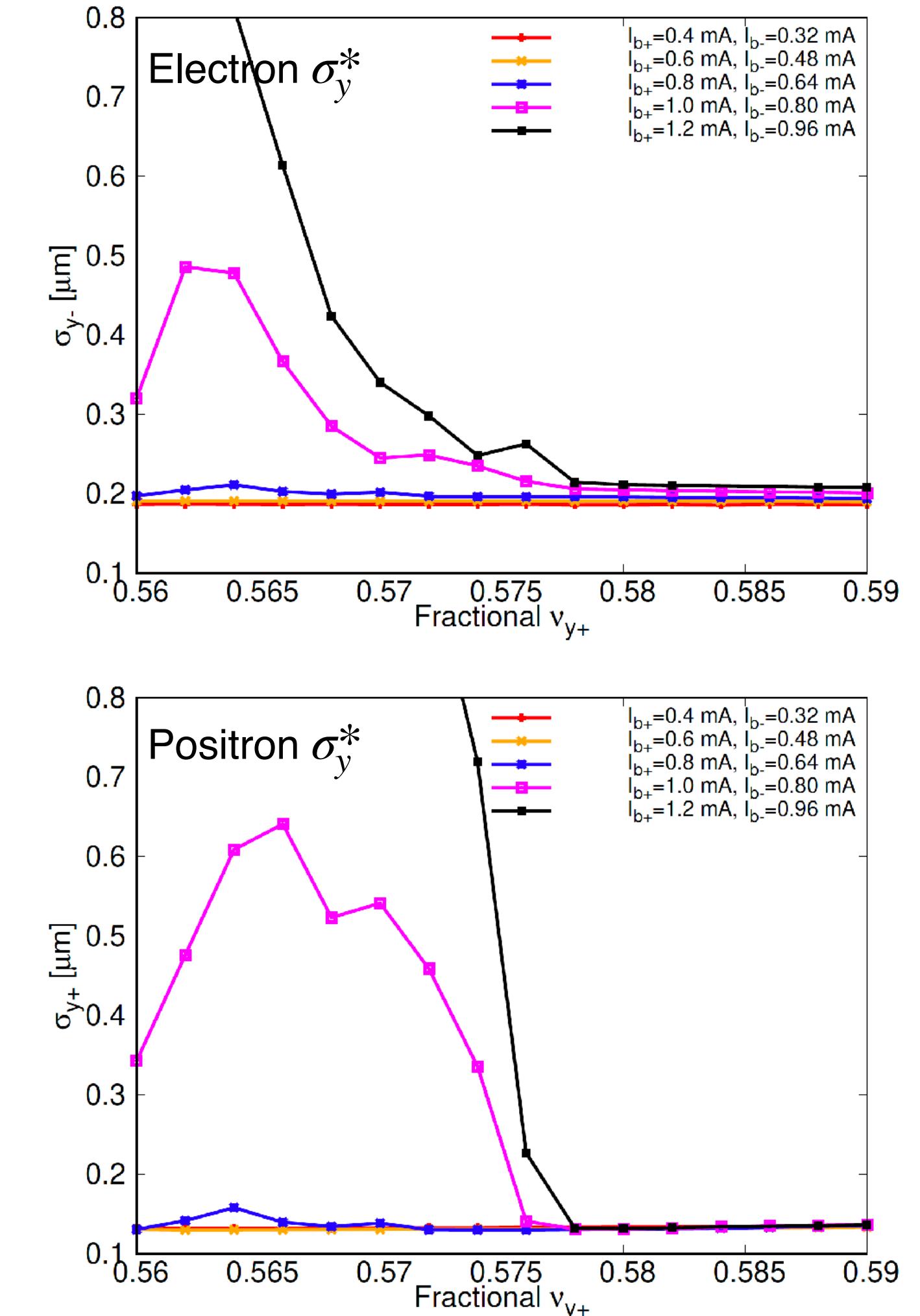
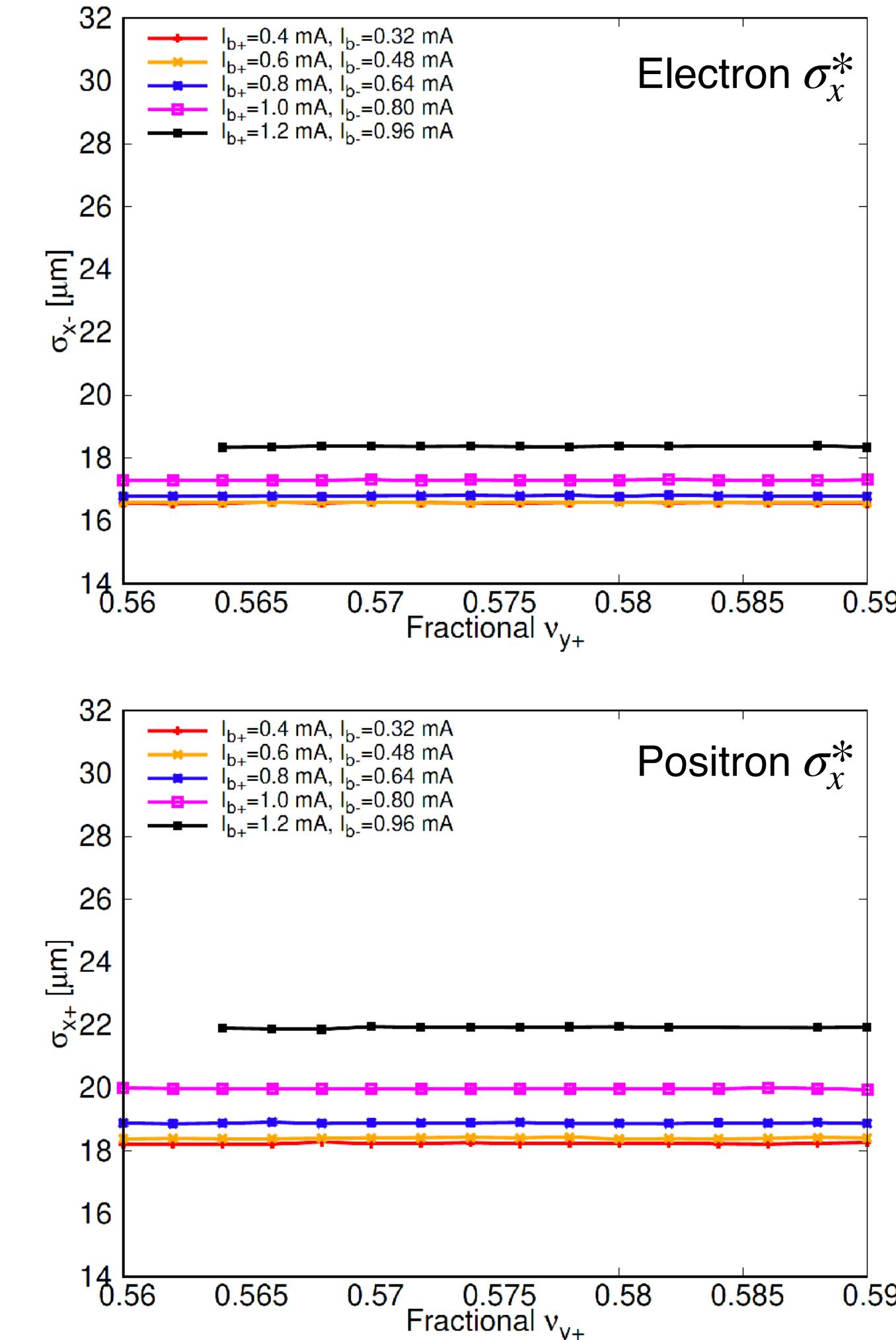
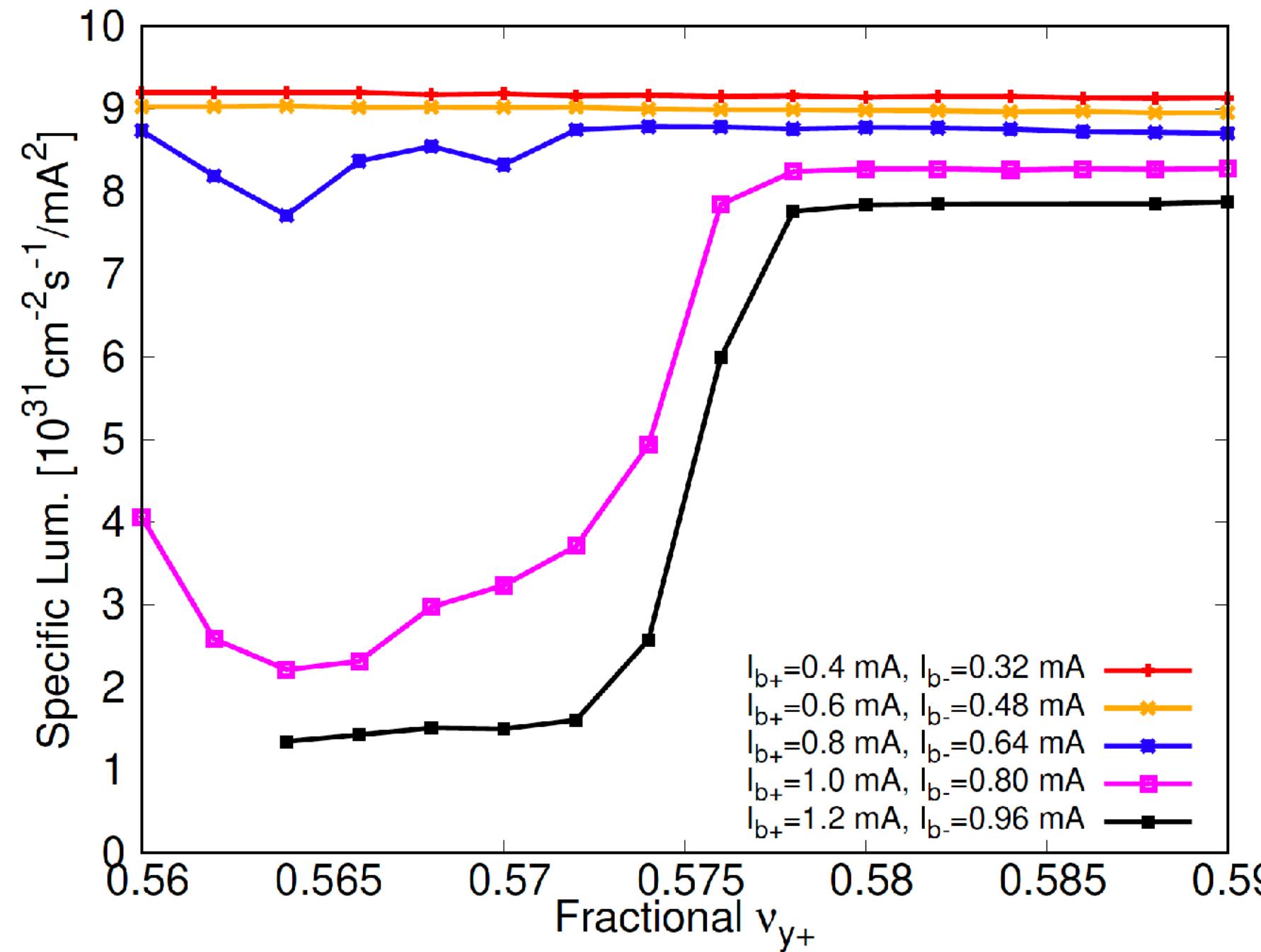


# Status of beam-beam simulations

- BBSS simulations: Scan LER  $\nu_y$  with bunch currents varied (with LER  $\nu_x$  and HER  $\nu_{x,y}$  fixed as the values of the parameter table of 2021.12.21, BB+Wxy+Wz)

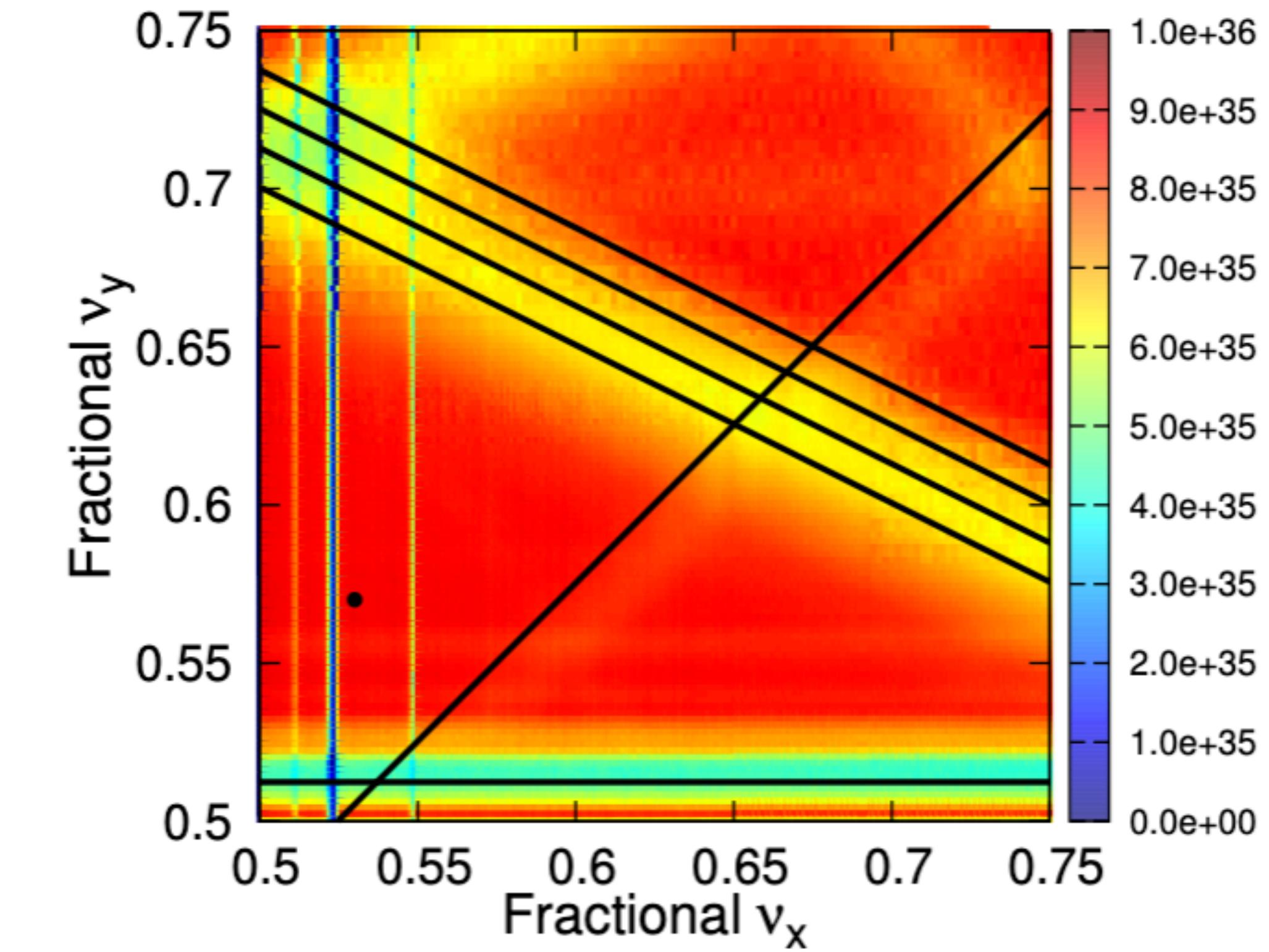
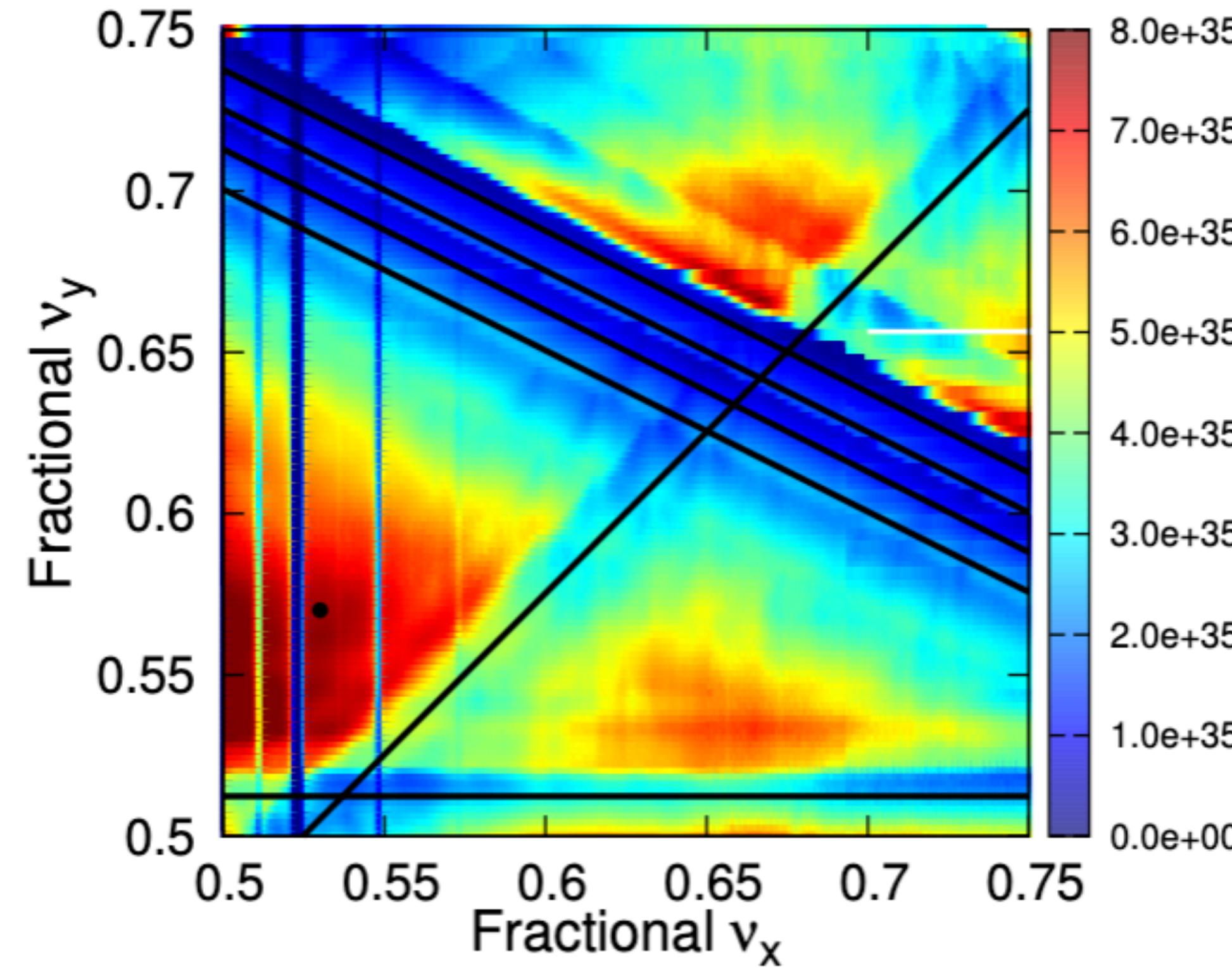
\* The interplay of BB+Wx,y+Wz causes instability, consistent with Y. Zhang and K. Ohmi's findings.

\* This instability has a threshold that is  $\nu_y$ -dependent.



# Crab waist applied to SuperKEKB

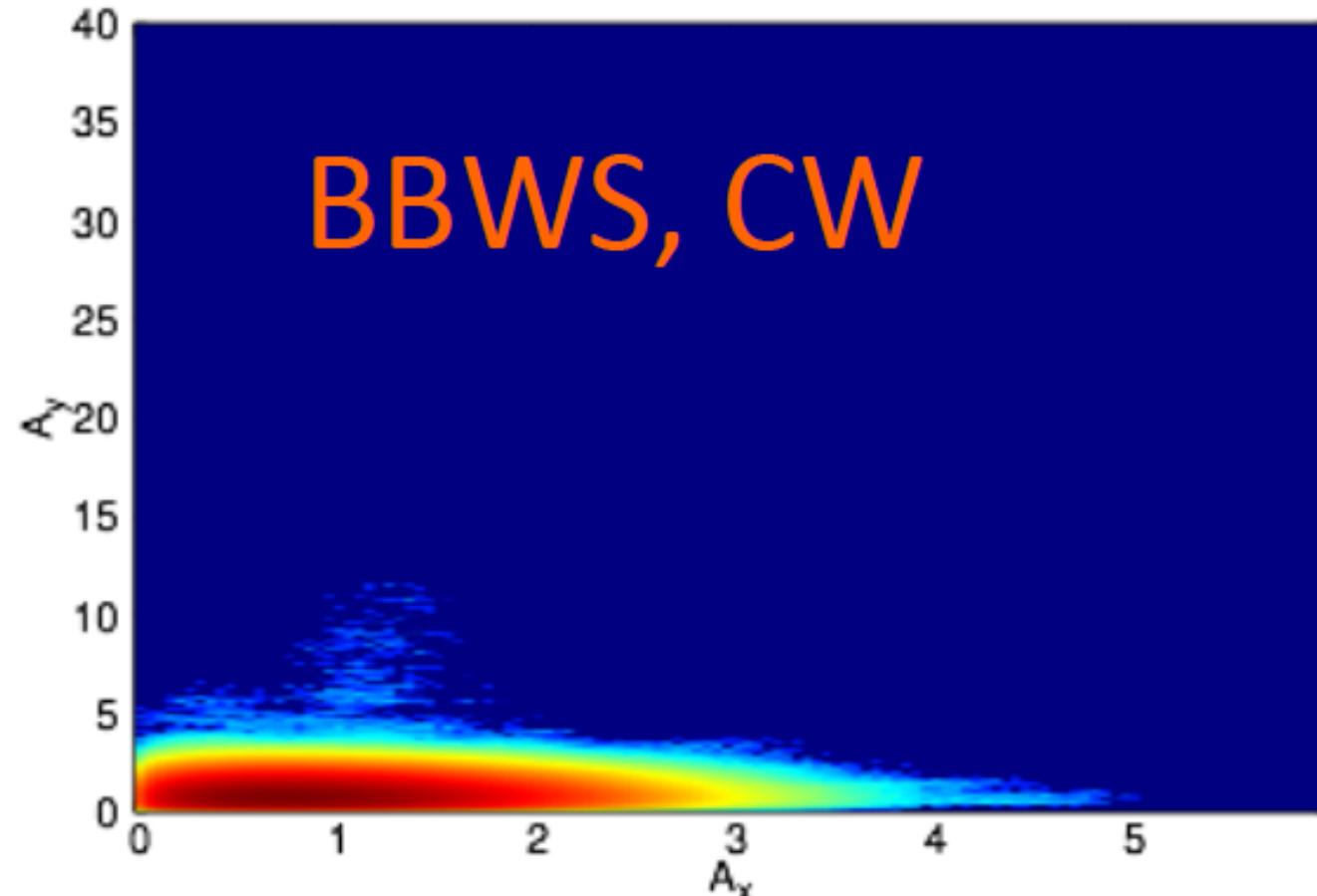
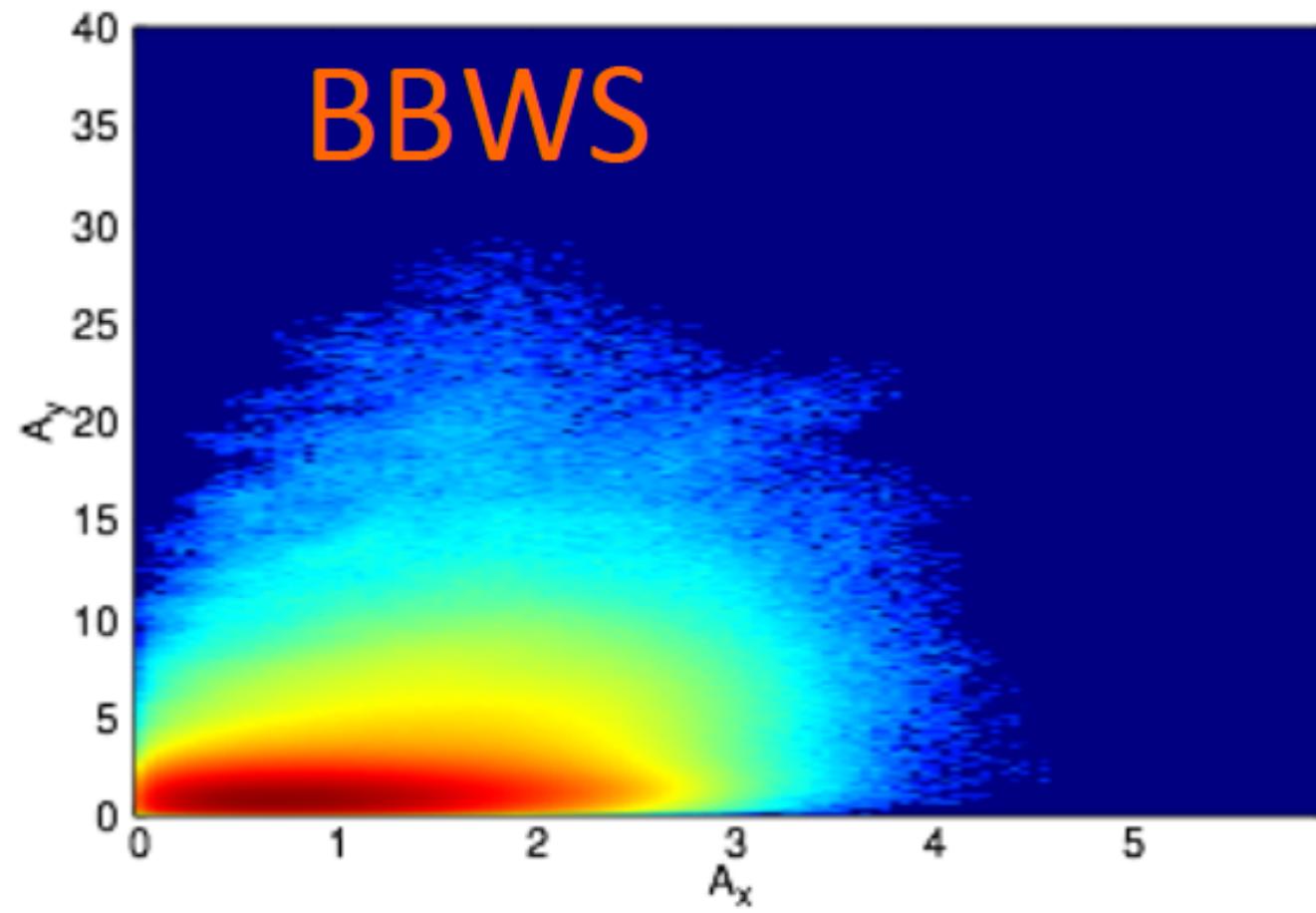
- SuperKEKB final design ( $\beta_y^* = 0.3/0.27$  mm) with ideal crab waist
  - Tune scans using BBWS
  - Crab waist creates large area in tune space for choice of working point



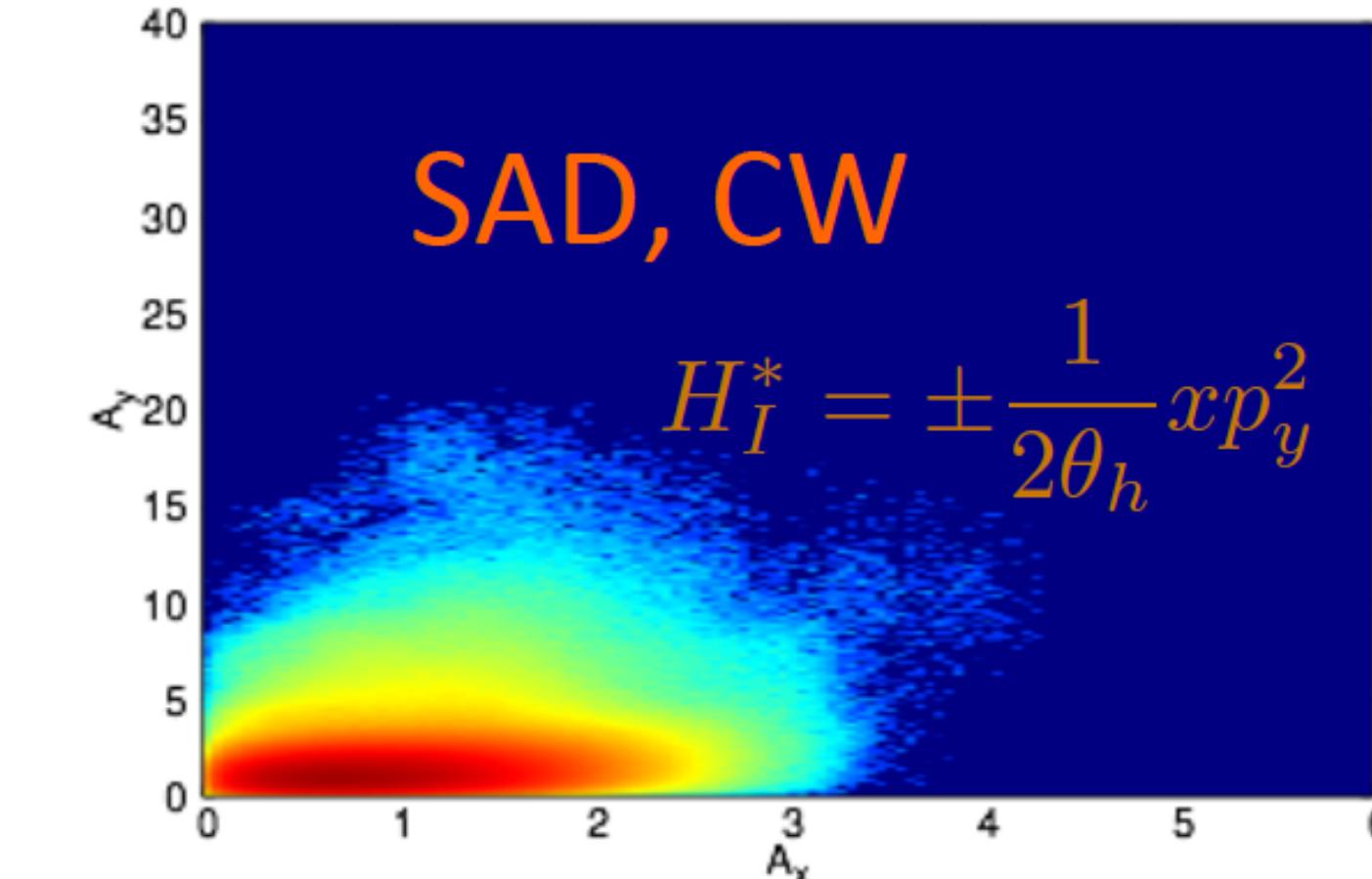
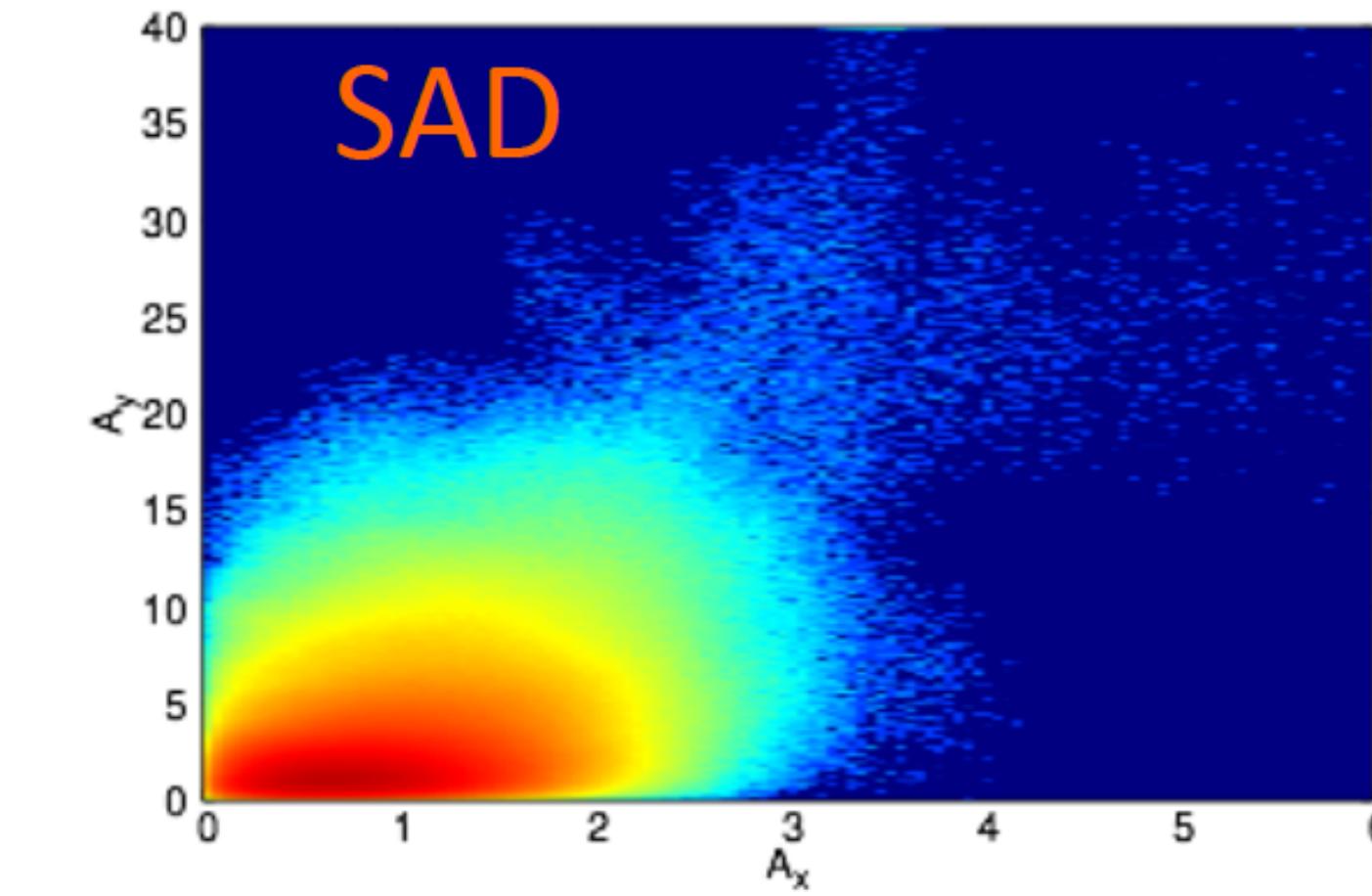
# Crab waist applied to SuperKEKB

- SuperKEKB final design ( $\beta_y^* = 0.3/0.27$  mm) with ideal crab waist
  - Beam-beam driven halo can be suppressed

- $N_e = 6.53 \times 10^{10}$ ,



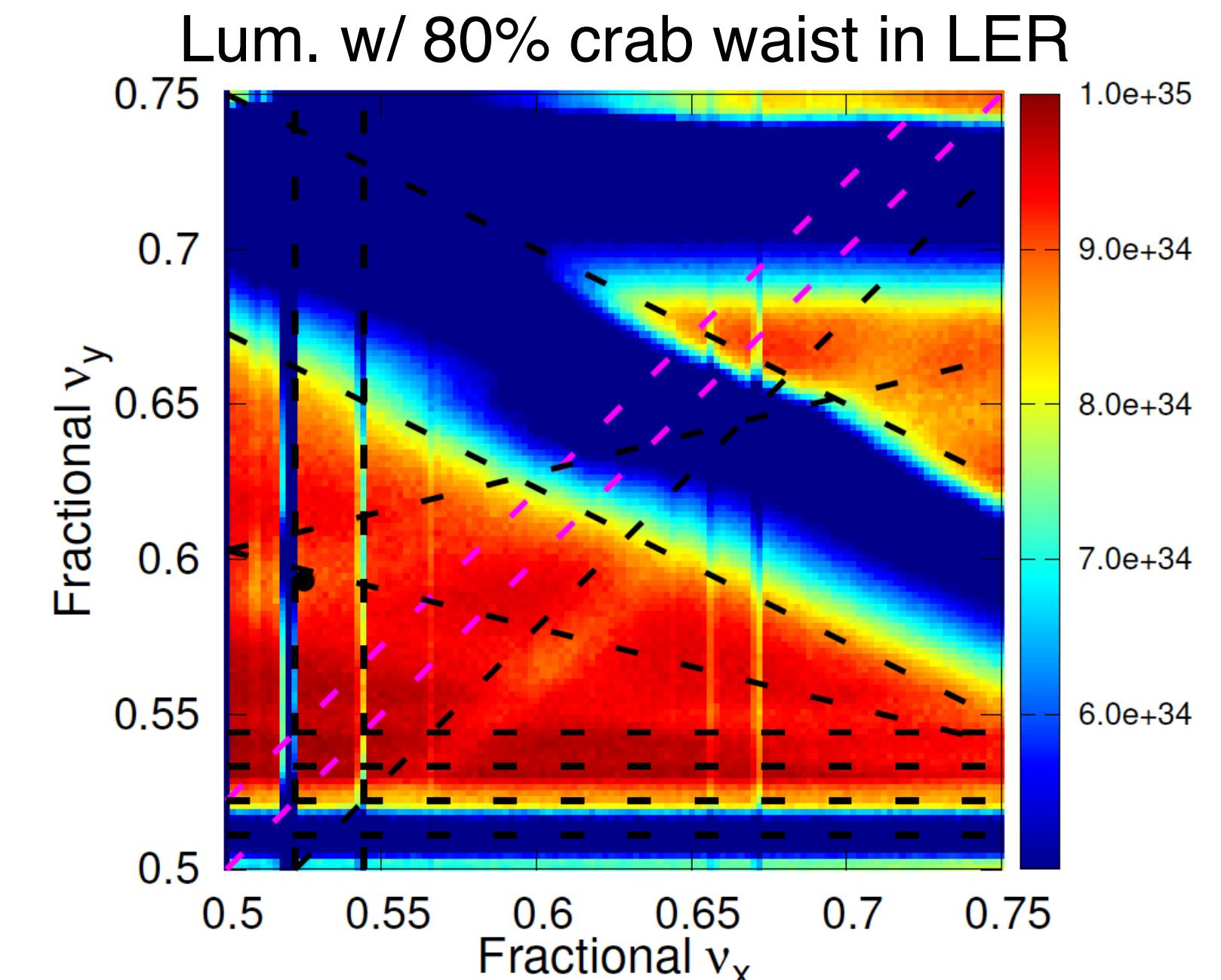
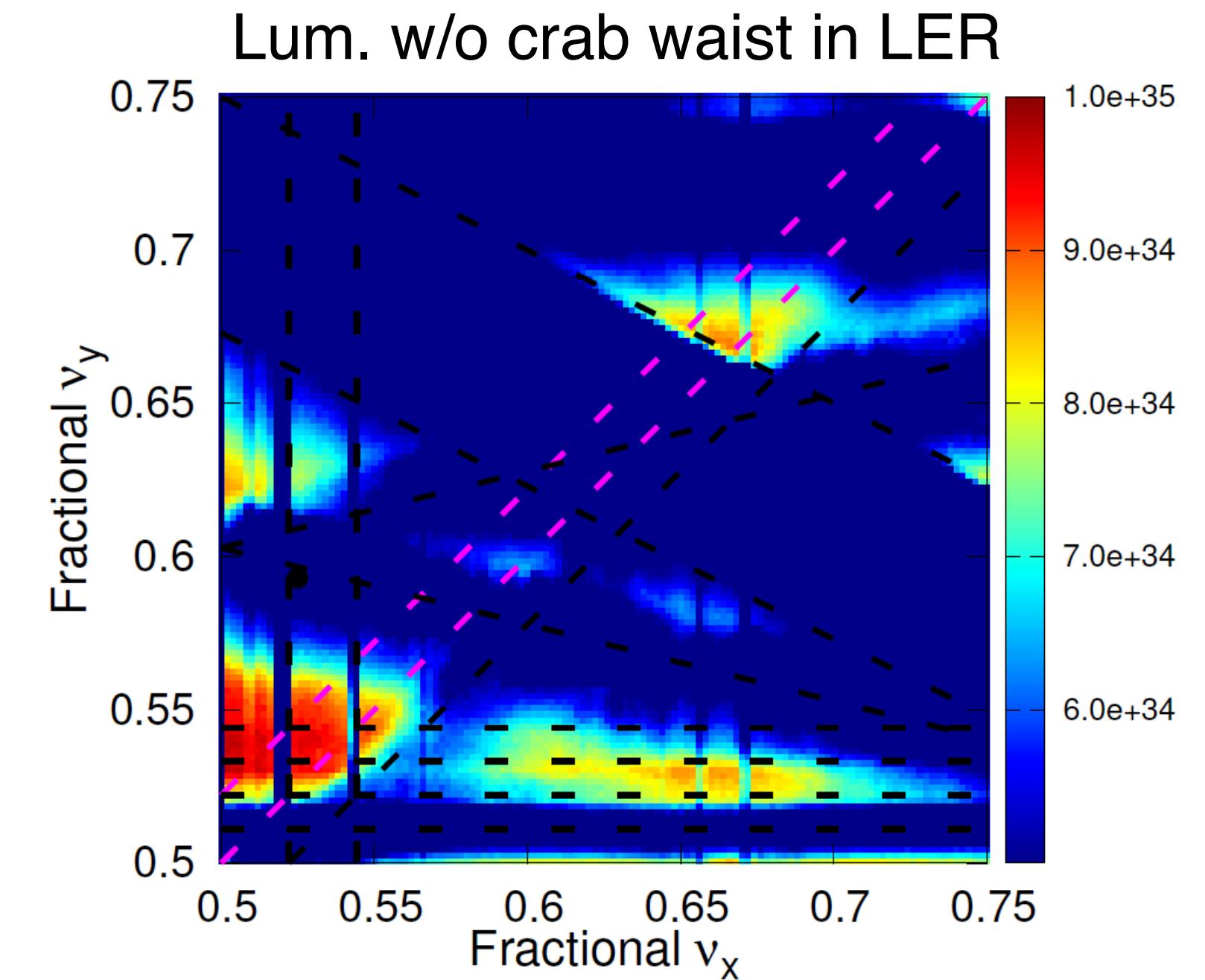
SAD +weak-strong BB



# Crab waist applied to SuperKEKB

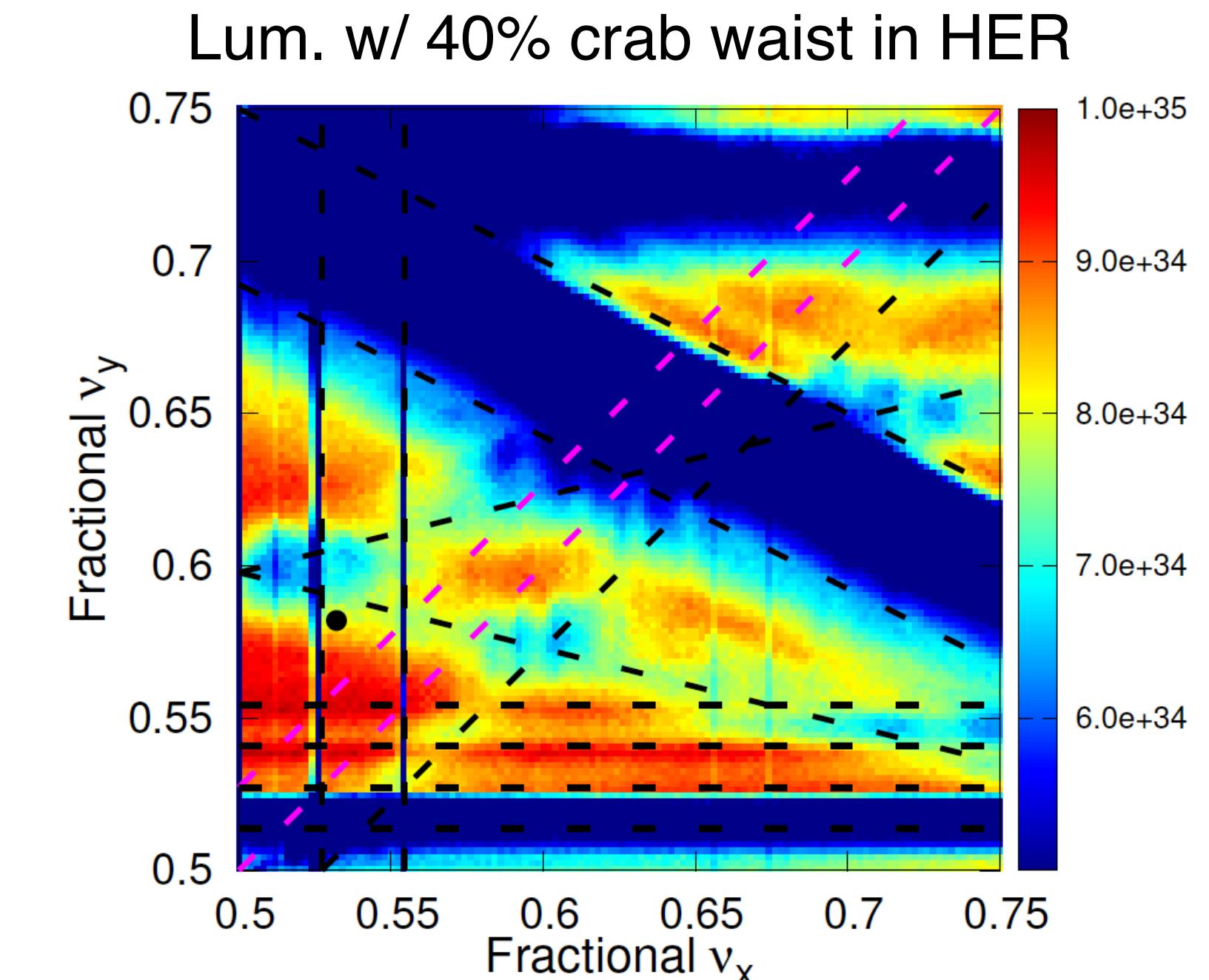
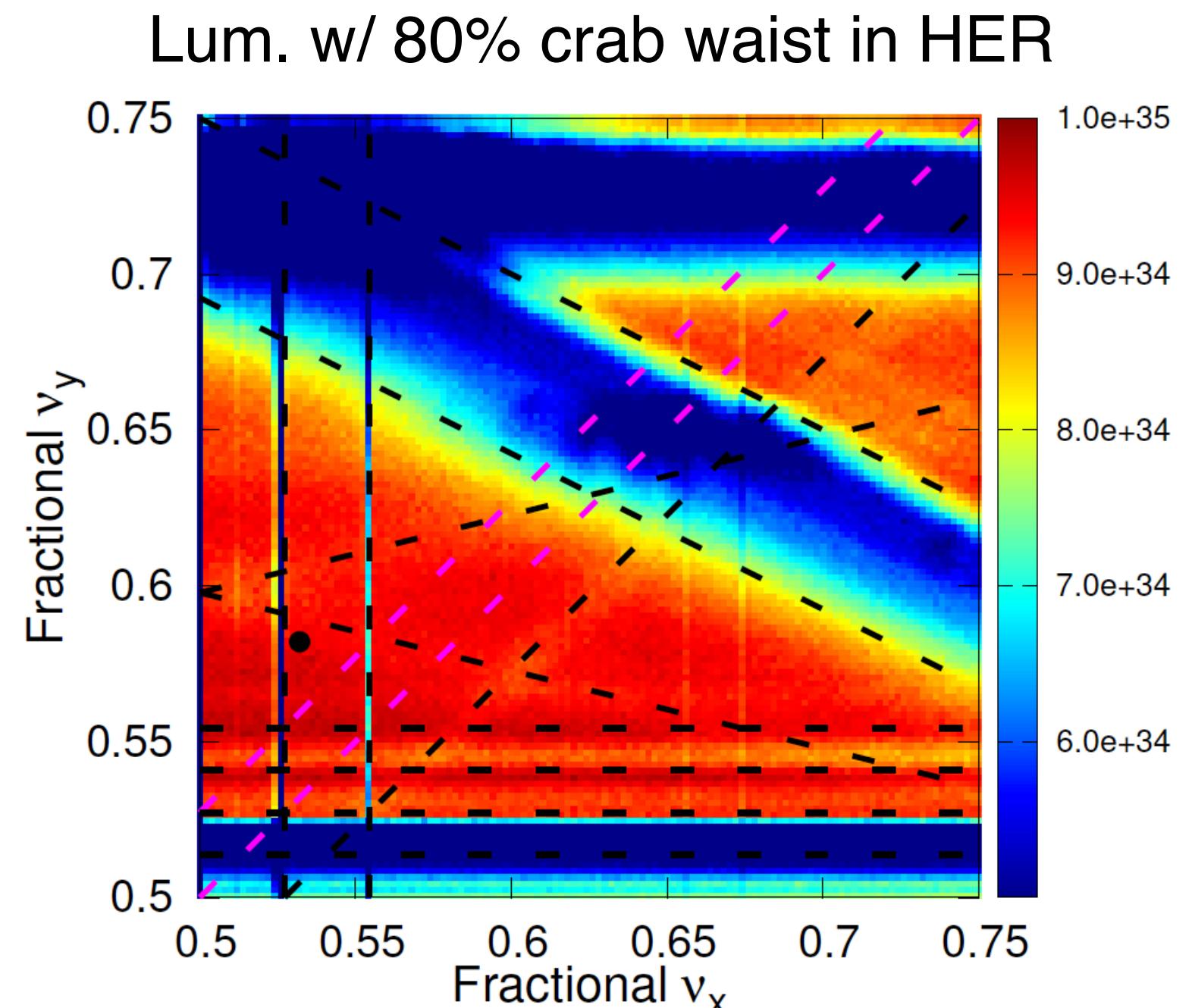
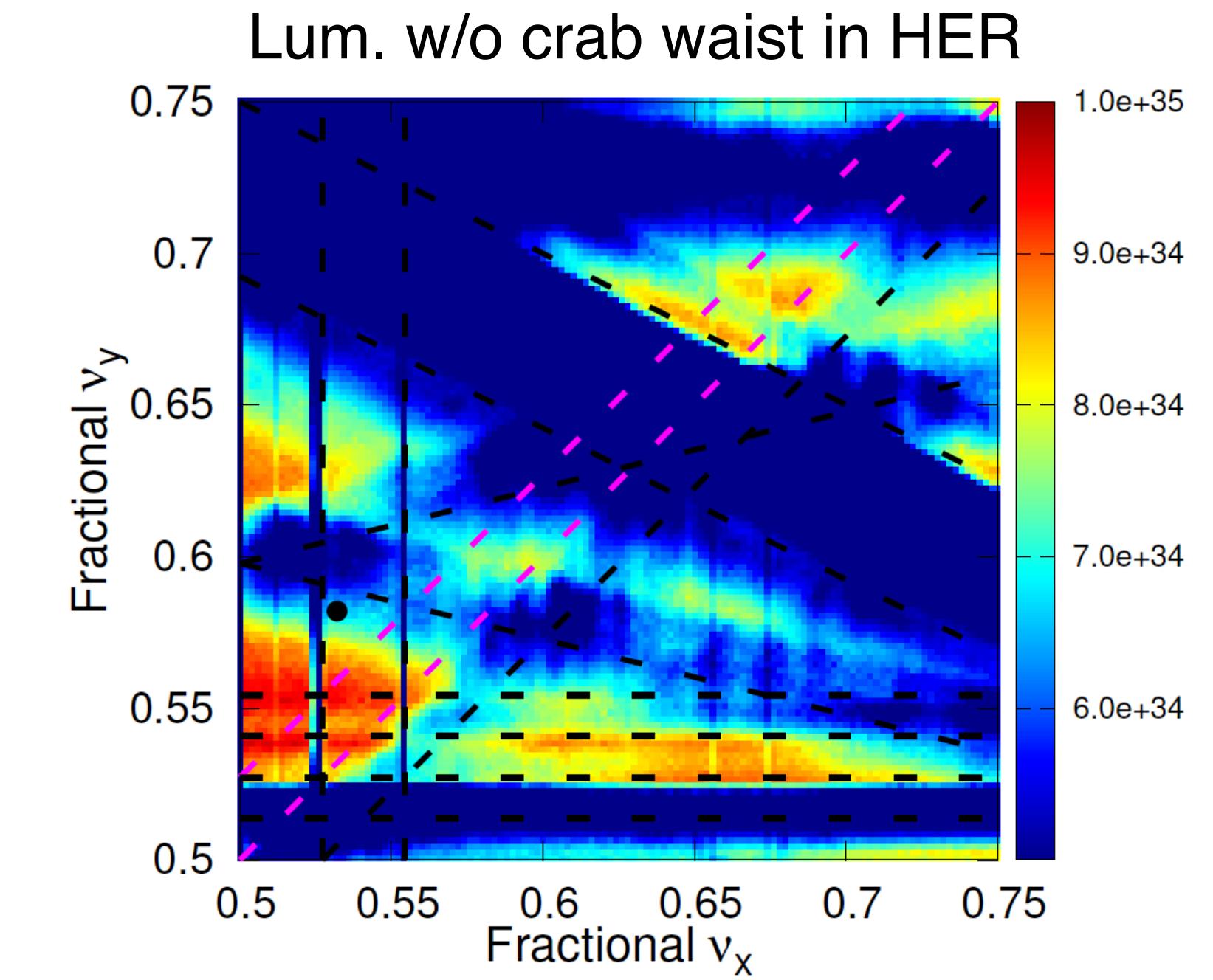
- SuperKEKB 2021b run ( $\beta_y^* = 1 \text{ mm}$ ) with ideal crab waist
  - Tune scan using BBWS showed that 80% crab waist ratio in LER is effective in suppressing vertical blowup caused by beam-beam resonances (mainly  $\nu_x \pm 4\nu_y + \alpha = N$ ).

	2021.07.01		Comments
	HER	LER	
I <sub>bunch</sub> (mA)	0.80	1.0	
# bunch	1174		Assumed value
$\varepsilon_x$ (nm)	4.6	4.0	w/ IBS
$\varepsilon_y$ (pm)	23	23	Estimated from XRM data
$\beta_x$ (mm)	60	80	Calculated from lattice
$\beta_y$ (mm)	1	1	Calculated from lattice
$\sigma_{z0}$ (mm)	5.05	4.84	Natural bunch length (w/o MWI)
$\nu_x$	45.532	44.525	Measured tune of pilot bunch
$\nu_y$	43.582	46.593	Measured tune of pilot bunch
$\nu_s$	0.0272	0.0221	Calculated from lattice
Crab waist	40%	80%	Lattice design



# Crab waist applied to SuperKEKB

- SuperKEKB 2021b run ( $\beta_y^* = 1$  mm) with ideal crab waist
  - Tune scan using BBWS showed that 40% crab waist ratio (current operation condition) in HER is not enough for suppressing vertical blowup caused by beam-beam resonances (mainly  $\nu_x \pm 4\nu_y + \alpha = N$ ).



# Crab waist applied to SuperKEKB

- SuperKEKB final design ( $\beta_y^* = 0.3/0.27 \text{ mm}$ ) with practical crab waist
  - CW scheme with CW sextupoles outside IR
  - CW reduces dynamic aperture and Touschek lifetime, and was not chosen as baseline for TDR

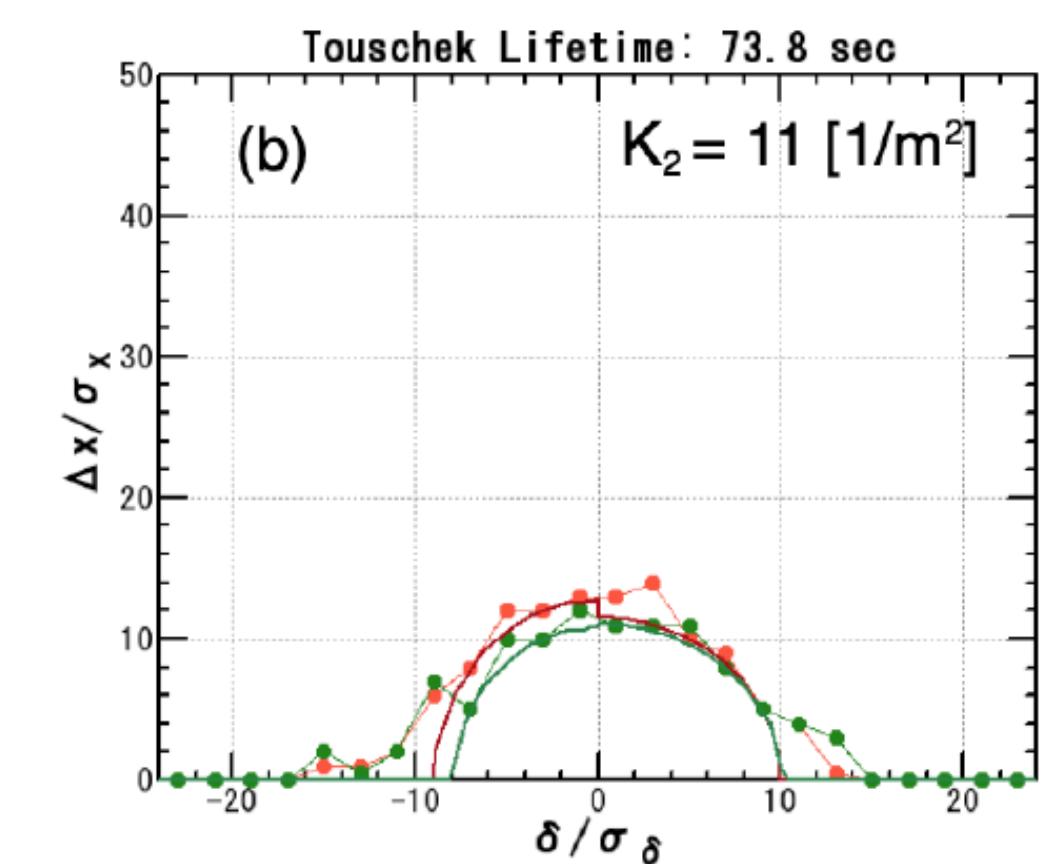
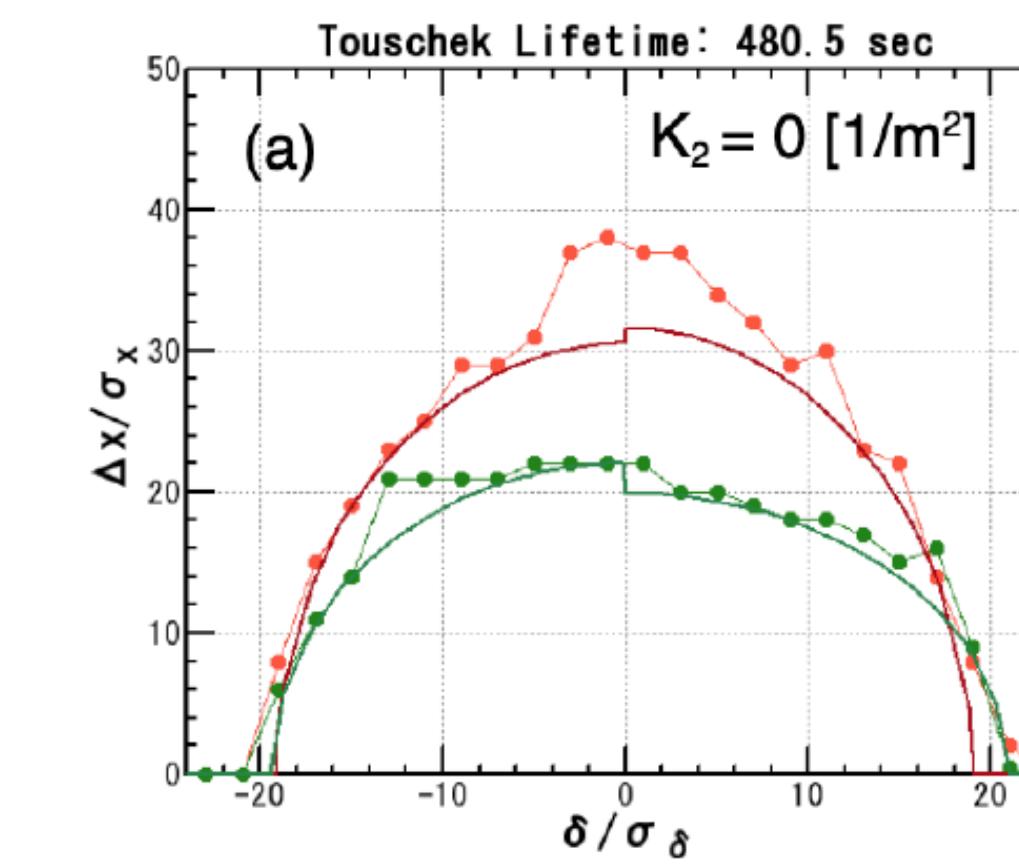
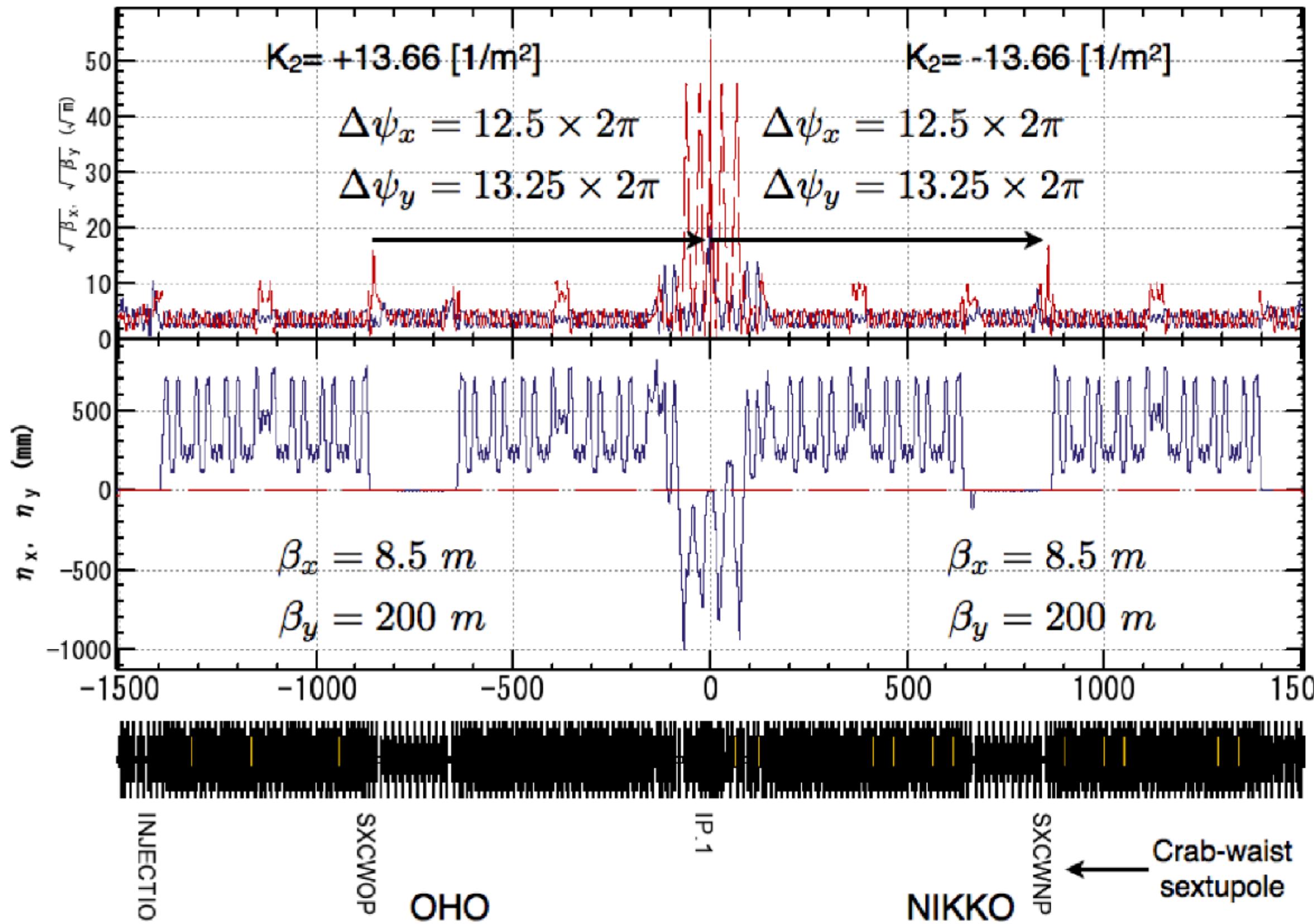
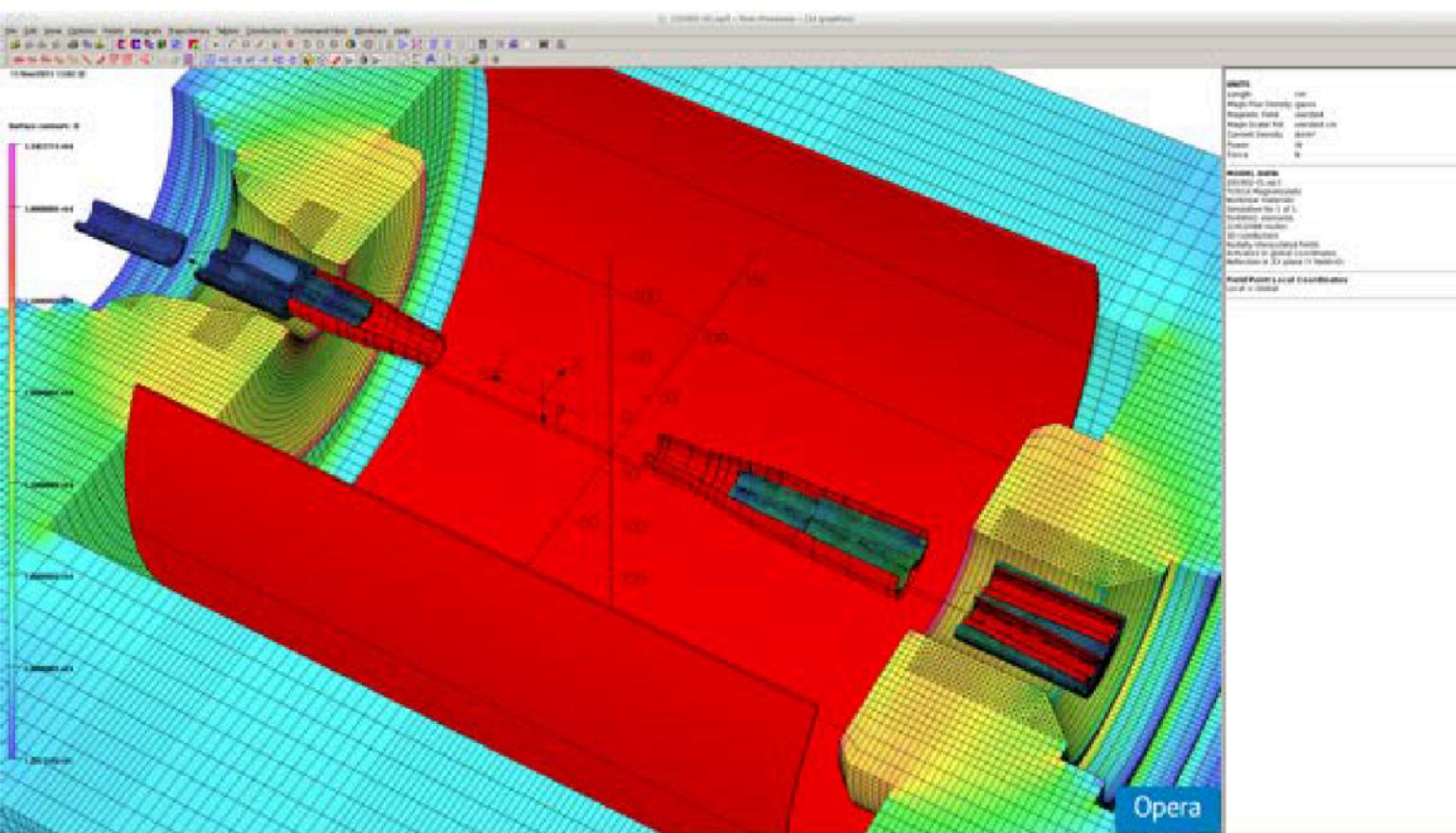
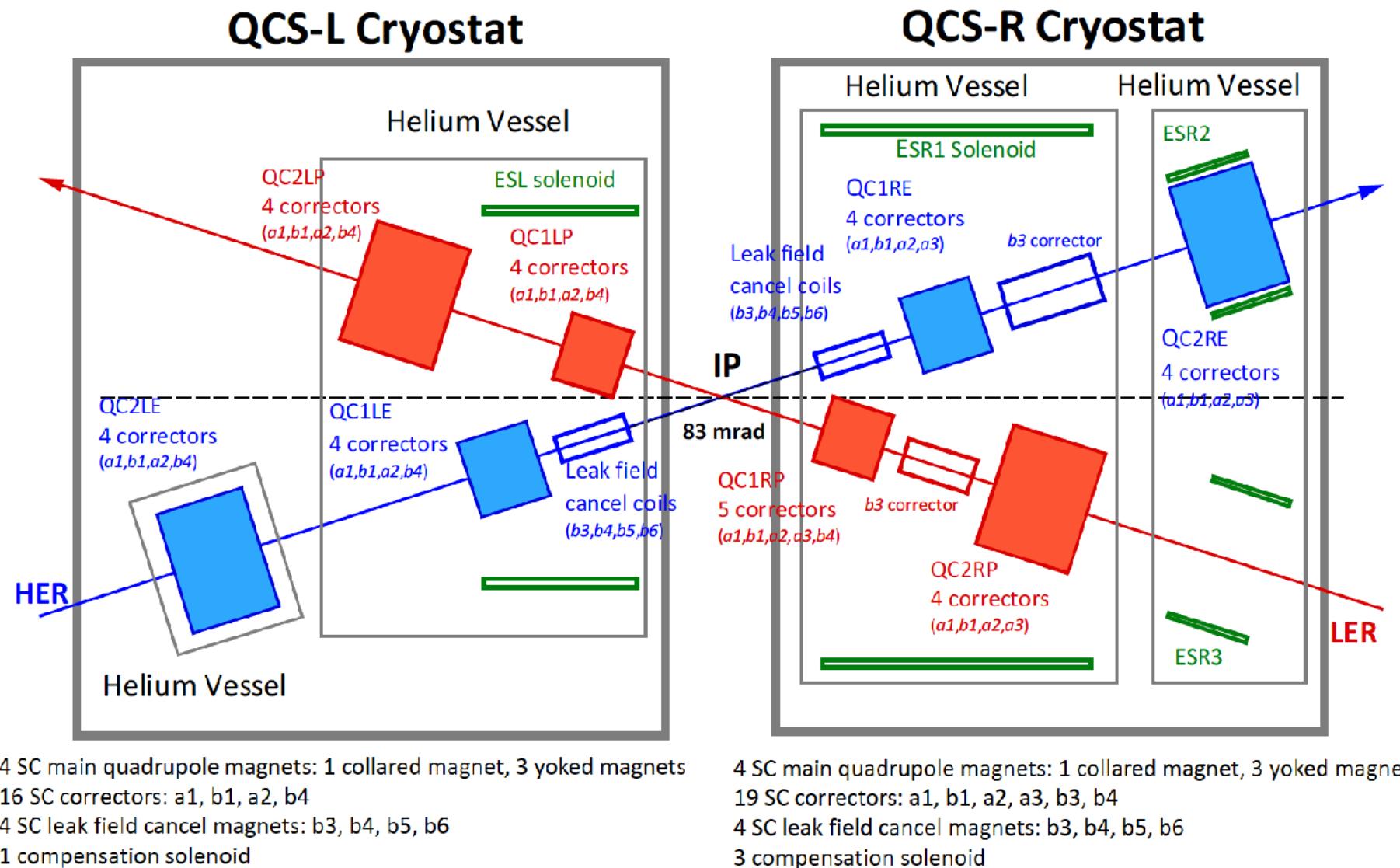


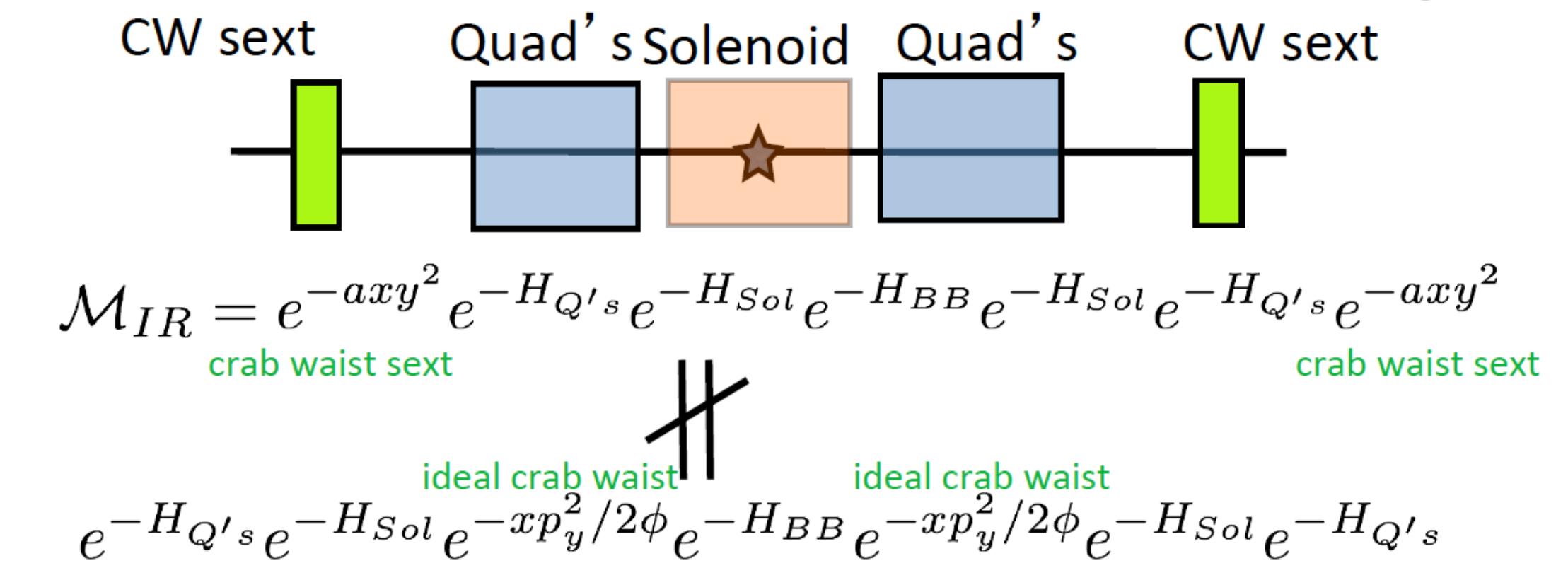
Figure 4.28: Dynamic aperture in the LER crab-waist lattice without beam-beam effect. Initial ratio of the vertical to the horizontal amplitude is 0.27 %. (a)  $K_2 = 0 [1/\text{m}^2]$ , (b)  $K_2 = 11 [1/\text{m}^2]$ .

# Crab waist applied to SuperKEKB

- SuperKEKB final design ( $\beta_y^* = 0.3/0.27$  mm) with practical crab waist
  - CW does not work well because of the nonlinear IR. The nonlinearity scales as  $1/\beta_y^*$ .
  - SuperKEKB design lattice include nonlinear fields extracted from 3D model



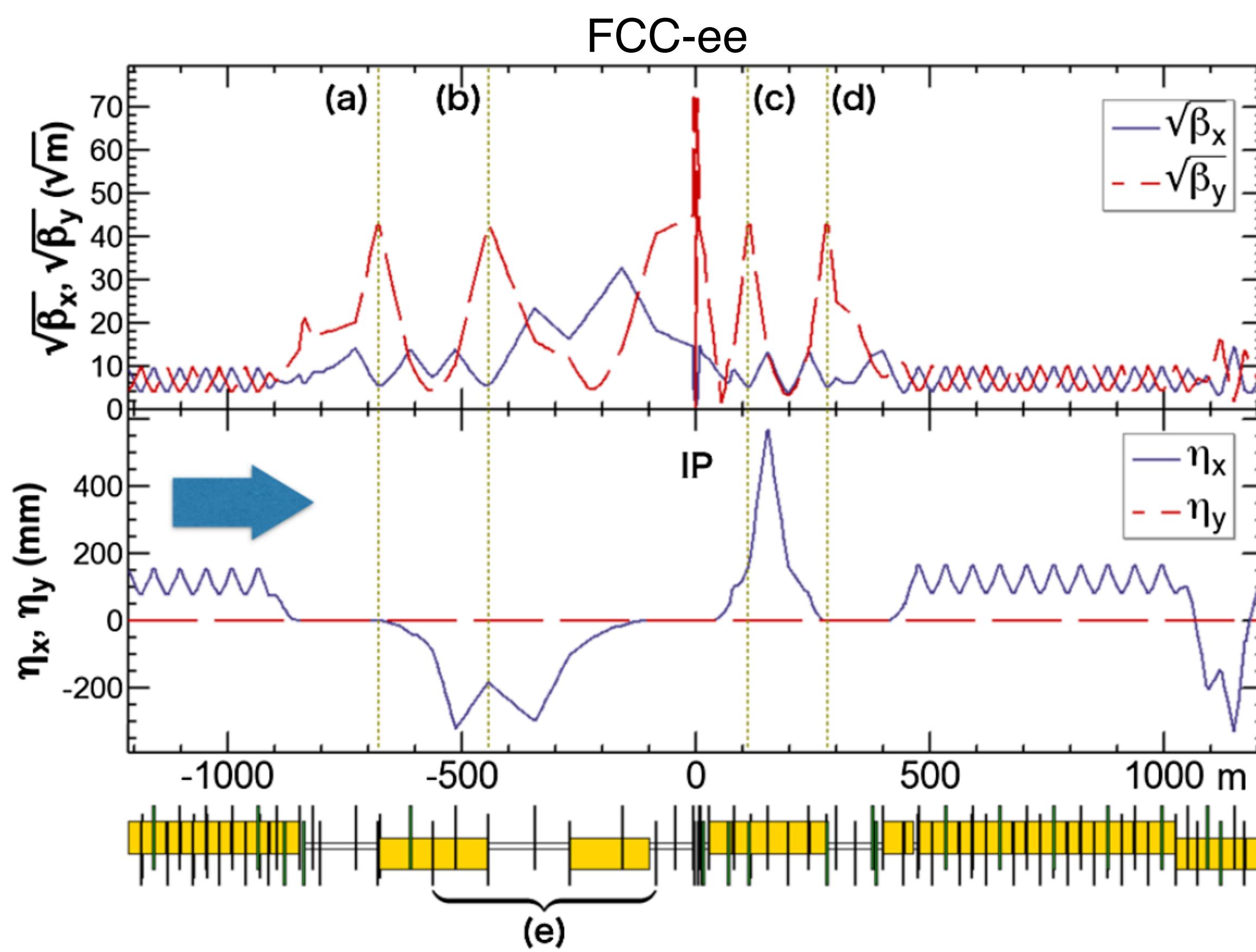
[3] N. Ohuchi, SuperKEKB ARC, 2018.



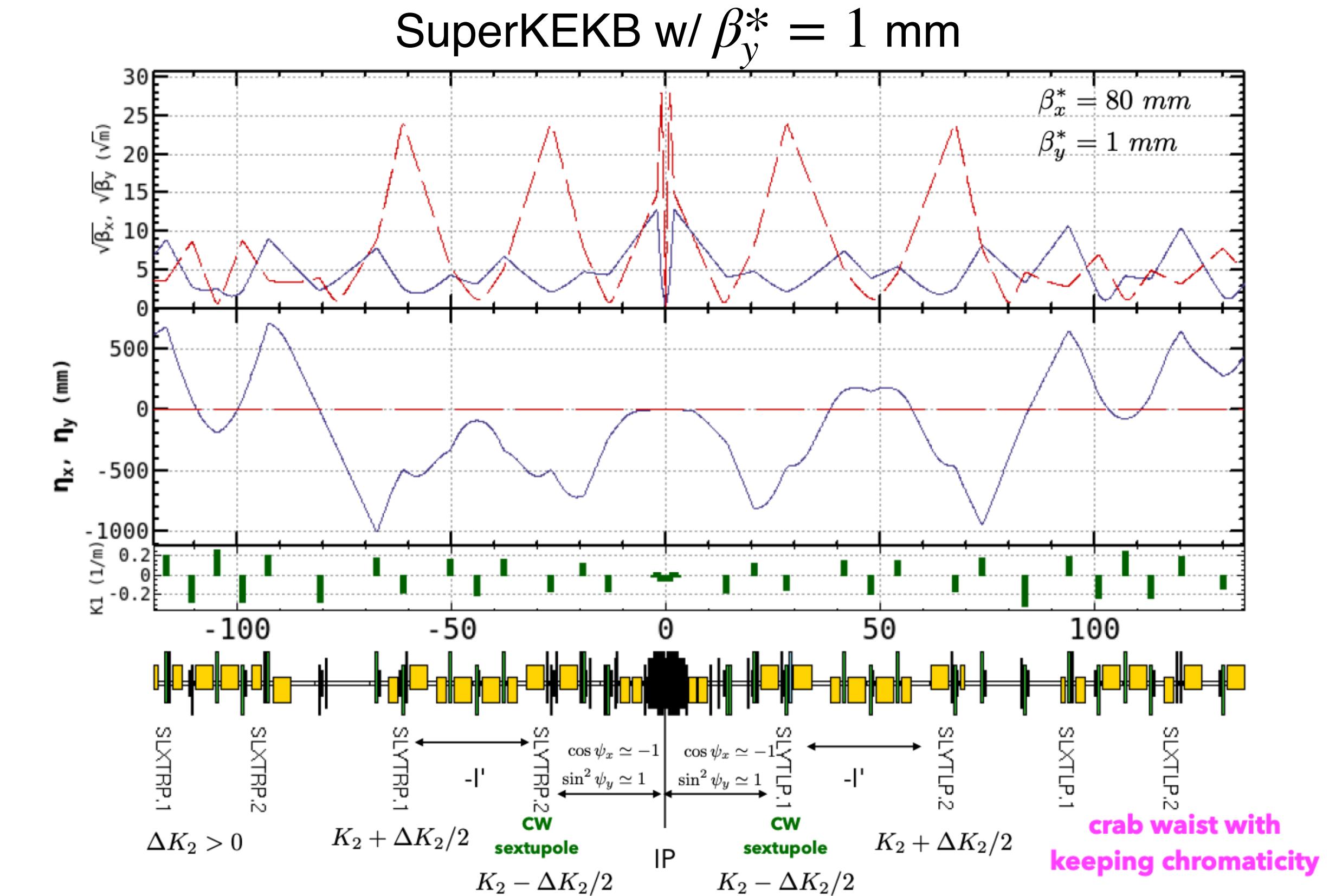
[4] K. Ohmi, EIC workshop, March, 2014.

# Crab waist applied to SuperKEKB

- Optics design with crab waist for  $\beta_y^* = 1 \text{ mm}$ 
  - In 2020, K. Oide introduced the FCC-ee CW scheme to SuperKEKB.
  - FCC-ee CW scheme utilizes the sextupoles (a-d) for local chromaticity correction and crab waist.



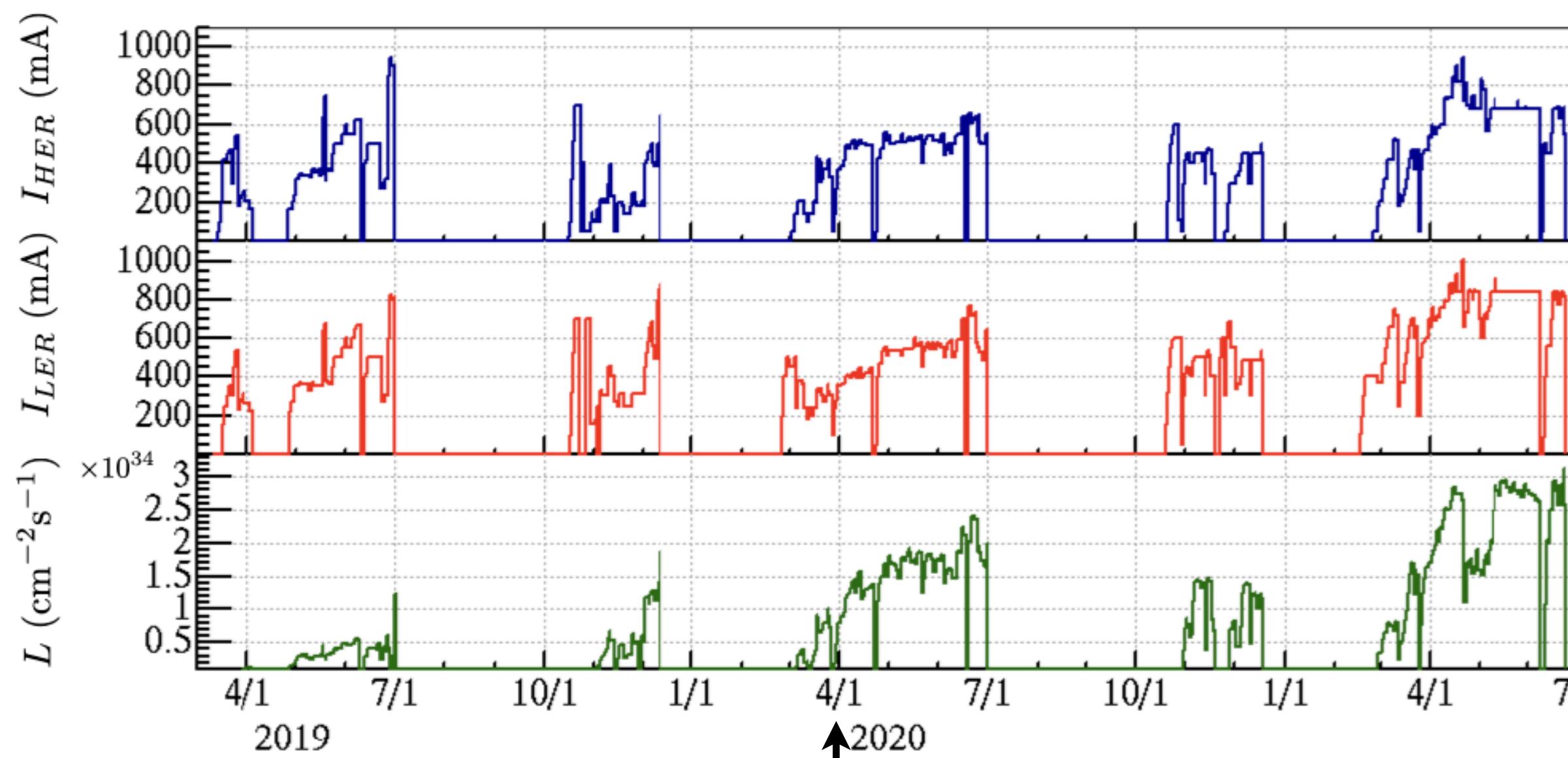
[5] K. Oide et al., PRAB 19, 111005 (2016).



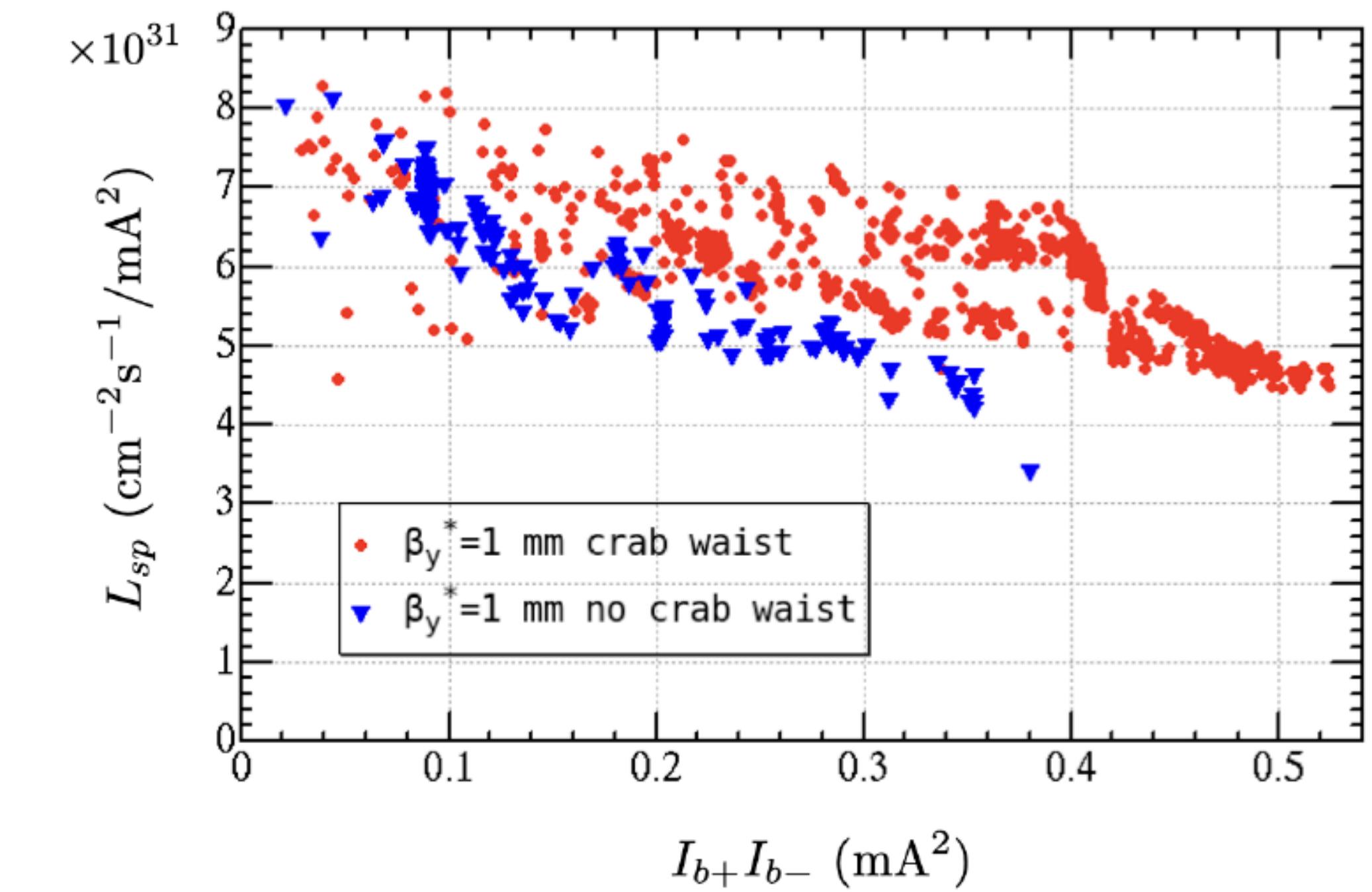
[6] Y. Ohnishi, SuperKEKB ARC 2020.

# Crab waist applied to SuperKEKB

- SuperKEKB beam operation with crab waist for  $\beta_y^* = 1 \text{ mm}$ 
  - Operation with CW has been successful.



Crab waist introduced since April 2020

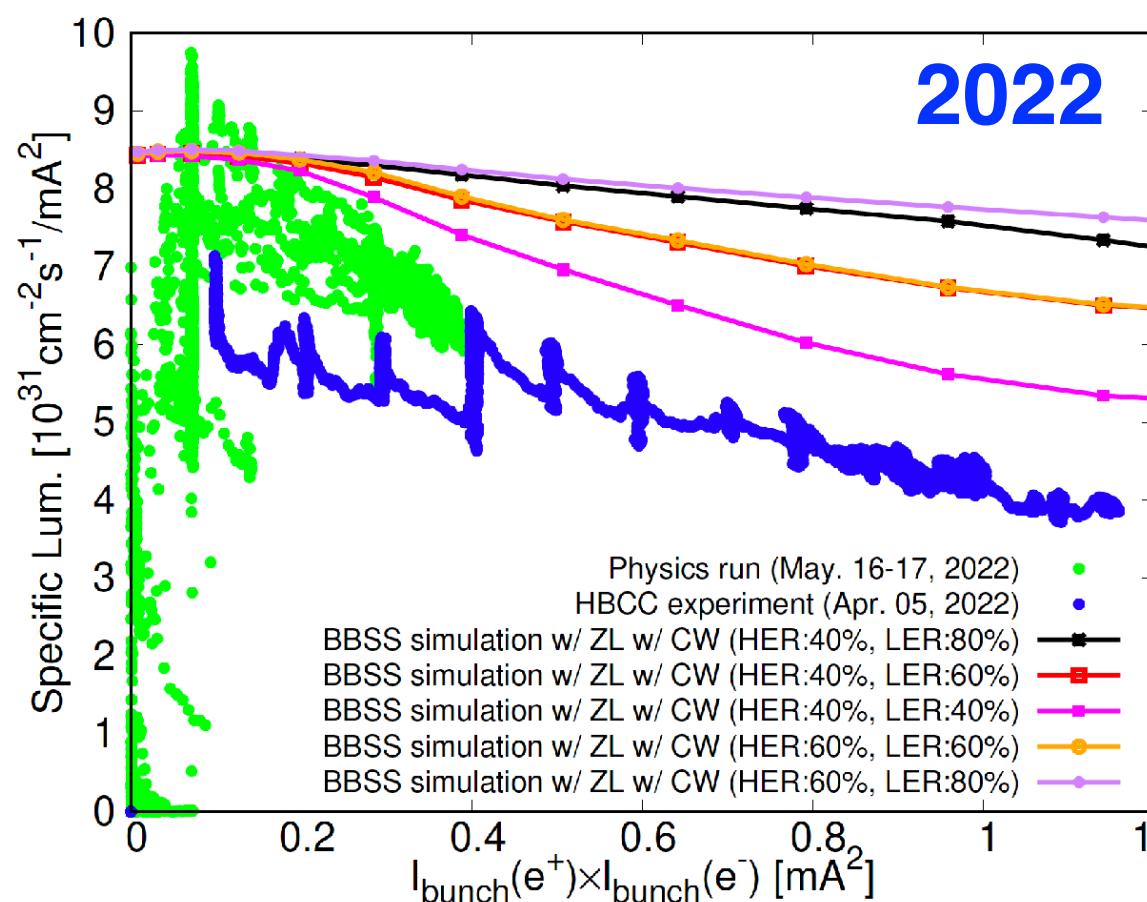
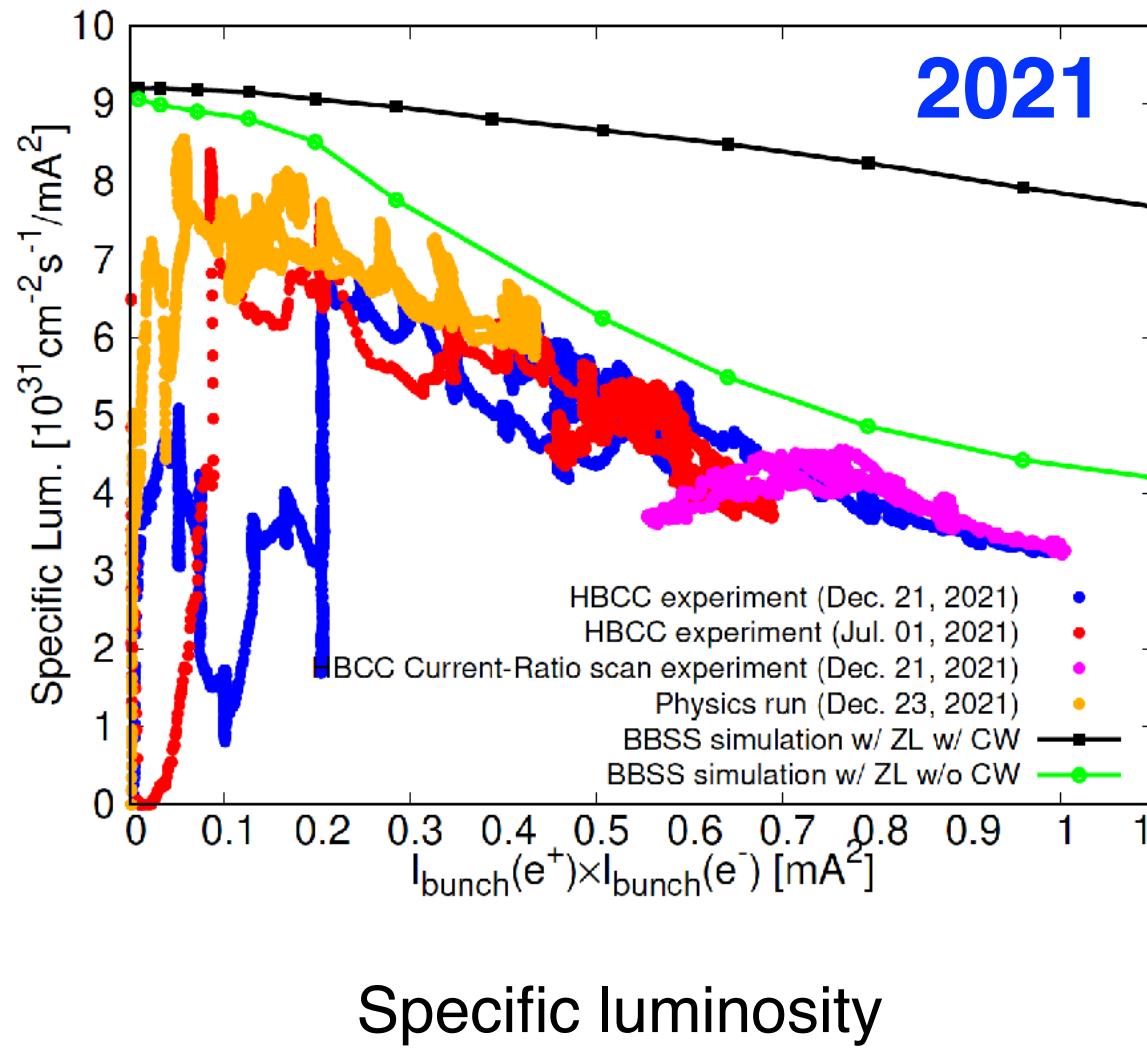


[7] Y. Ohnishi, The European Physical Journal Plus volume 136, 1023 (2021).

# Comparison of simulations and experimental results

- HBCC machine studies with  $\beta_y^* = 1 \text{ mm}$  in 2021 and 2022:

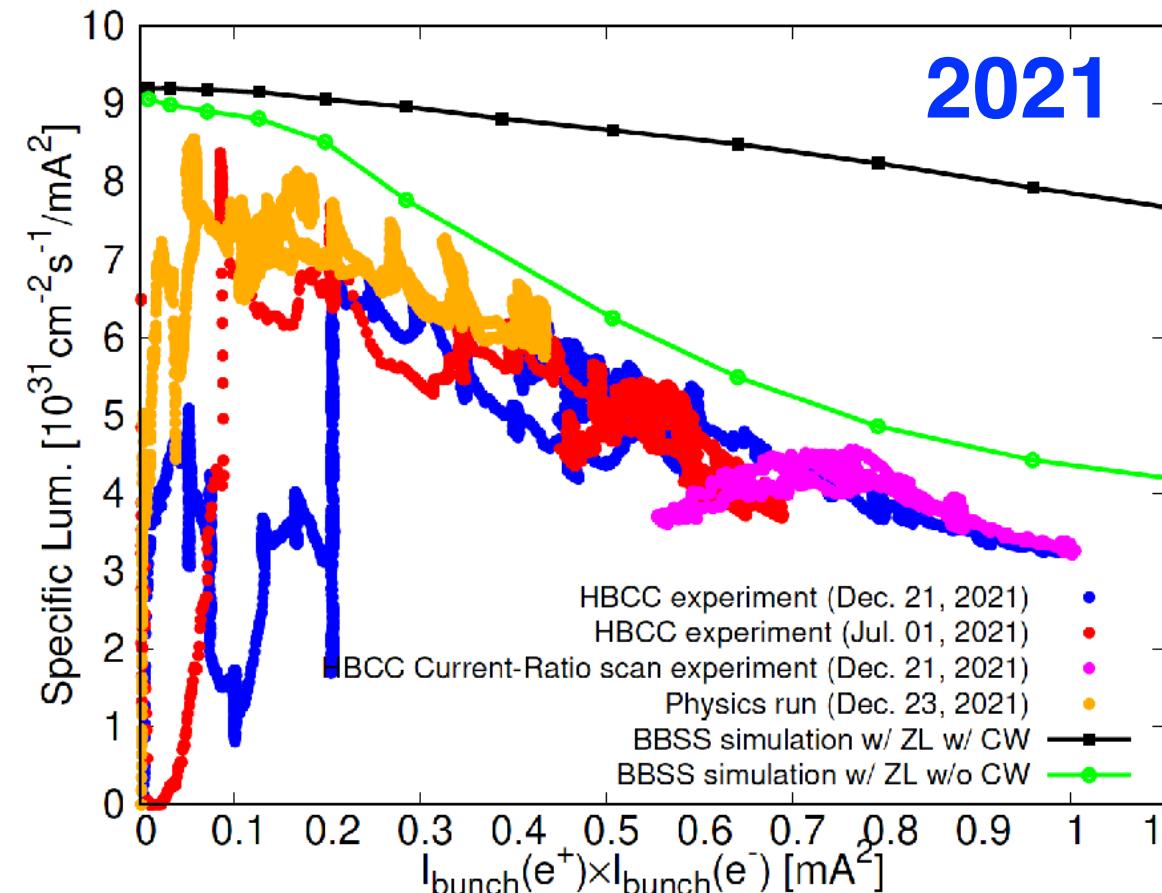
- High-bunch current collision (HBCC) machine studies were done to extract the luminosity performance
- Lsp slope (experiments) improved in 2022, but it still dropped fast



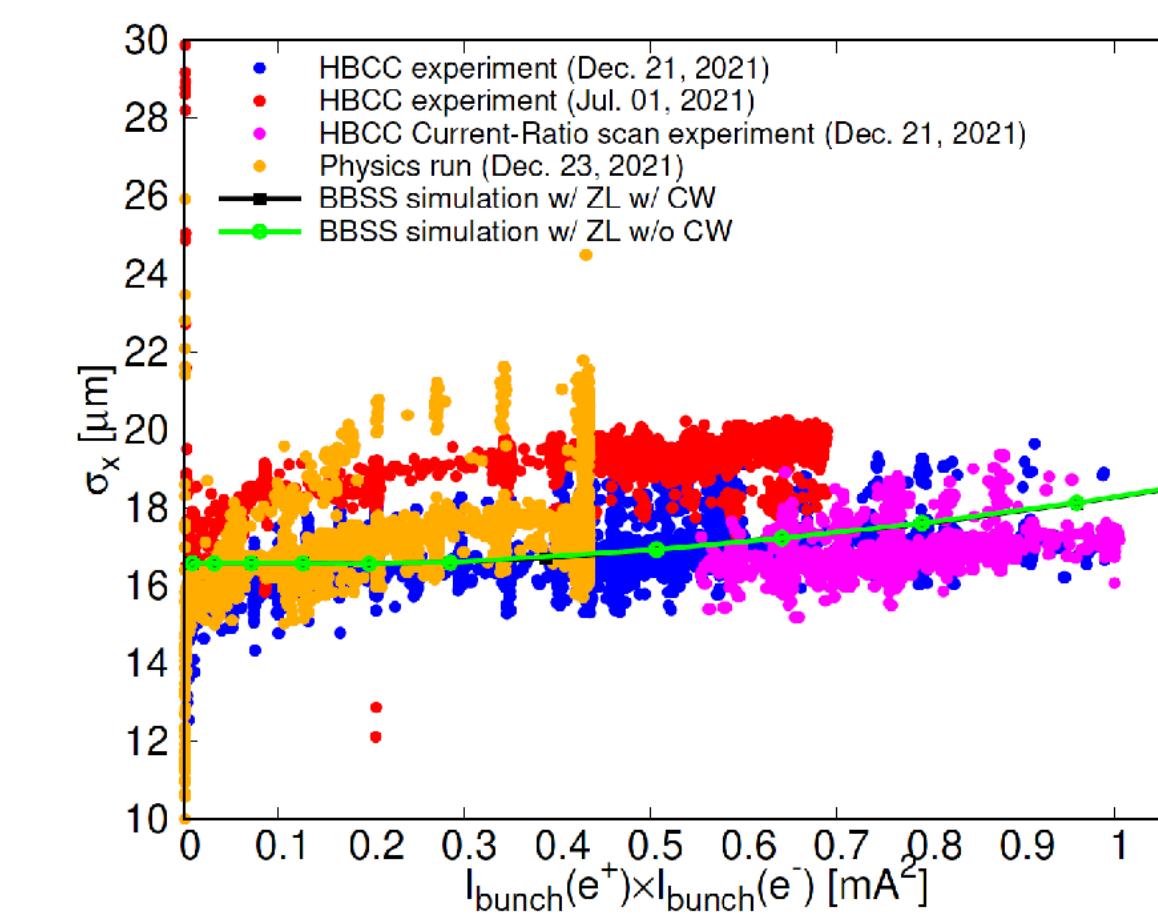
	2021.12.21		2022.04.05		Comments
	HER	LER	HER	LER	
I <sub>bunch</sub> (mA)	I <sub>e</sub>	I.25*I <sub>e</sub>	I <sub>e</sub>	I.25*I <sub>e</sub>	
# bunch	393		393		Assumed value
$\varepsilon_x$ (nm)	4.6	4.0	4.6	4.0	w/ IBS
$\varepsilon_y$ (pm)	35	20	30	35	Estimated from XRM data
$\beta_x$ (mm)	60	80	60	80	Calculated from lattice
$\beta_y$ (mm)	I	I	I	I	Calculated from lattice
$\sigma_{z0}$ (mm)	5.05	4.60	5.05	4.60	Natural bunch length (w/o MWI)
v <sub>x</sub>	45.53	44.524	45.532	44.524	Measured tune of pilot bunch
v <sub>y</sub>	43.572	46.589	43.572	46.589	Measured tune of pilot bunch
v <sub>s</sub>	0.0272	0.0233	0.0272	0.0233	Calculated from lattice
Crab waist	40%	80%	40%	80%	Lattice design

# Comparison of simulations and experimental results

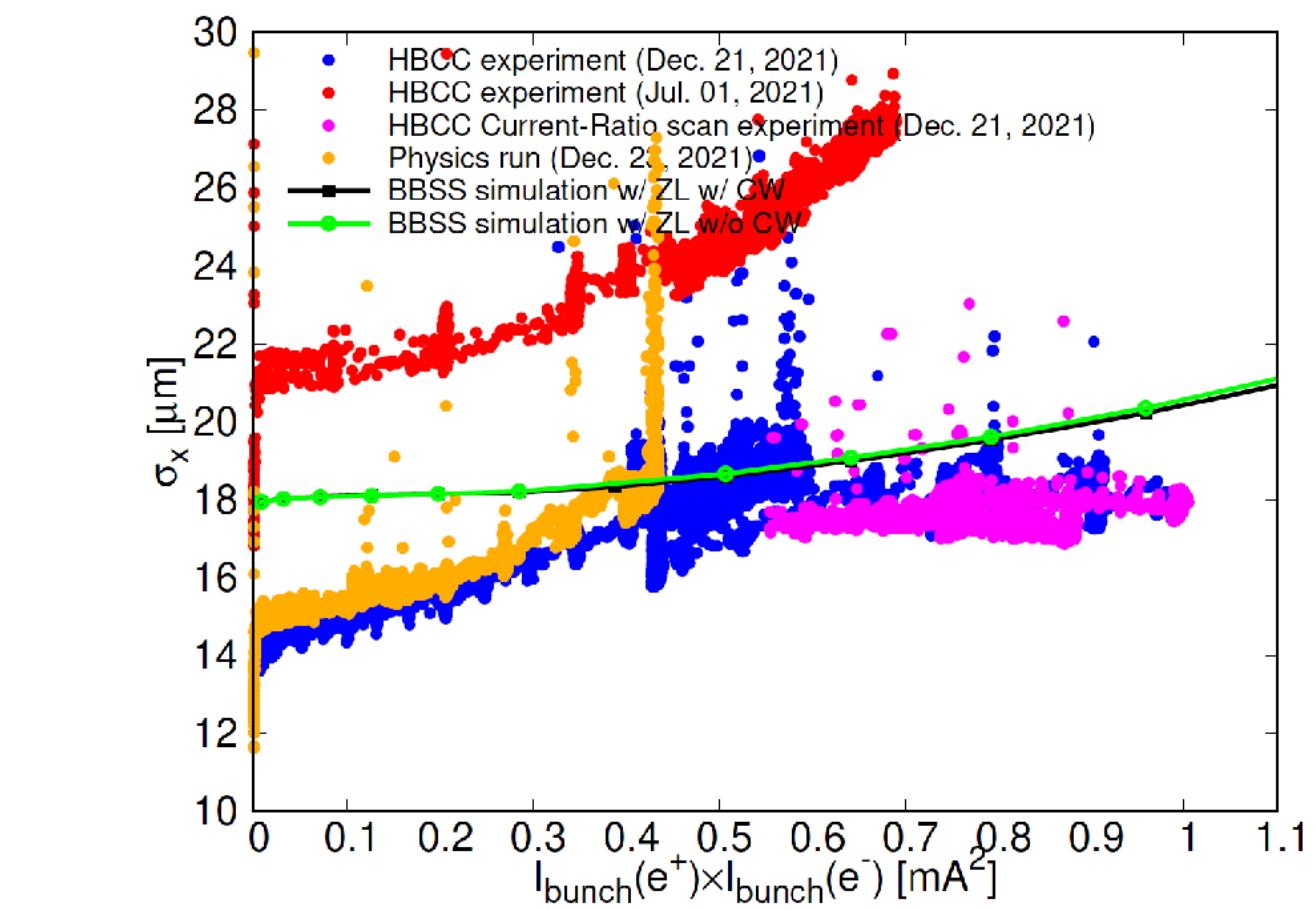
- HBCC machine studies with  $\beta_y^* = 1 \text{ mm}$  in 2021 and 2022:
  - Weak blowup of horizontal beam size (see page.11): qualitative agreements between simulations and experiments
  - Horizontal blowup is sensitive to horizontal tune (see page.11 for simulations of tune scan)



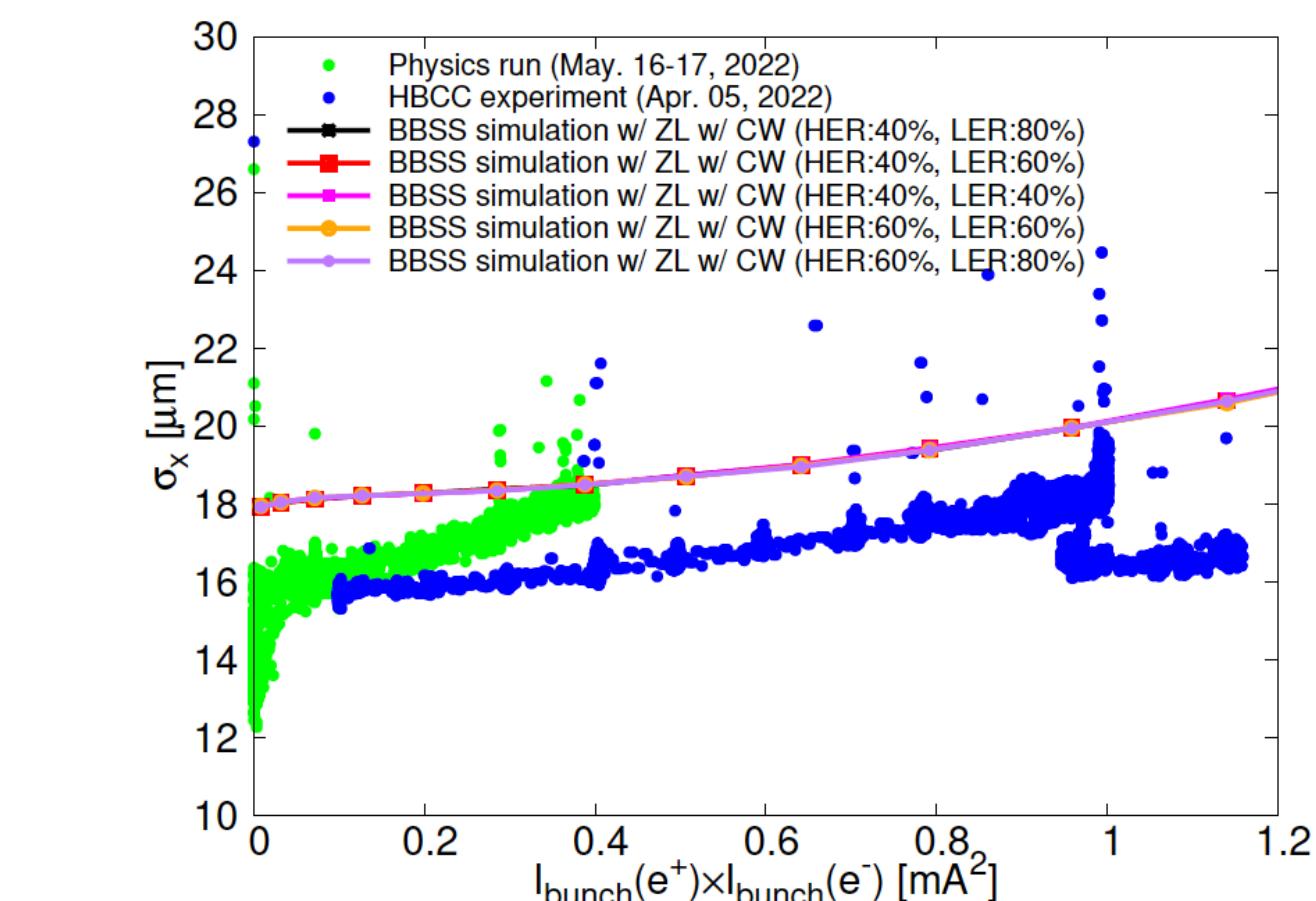
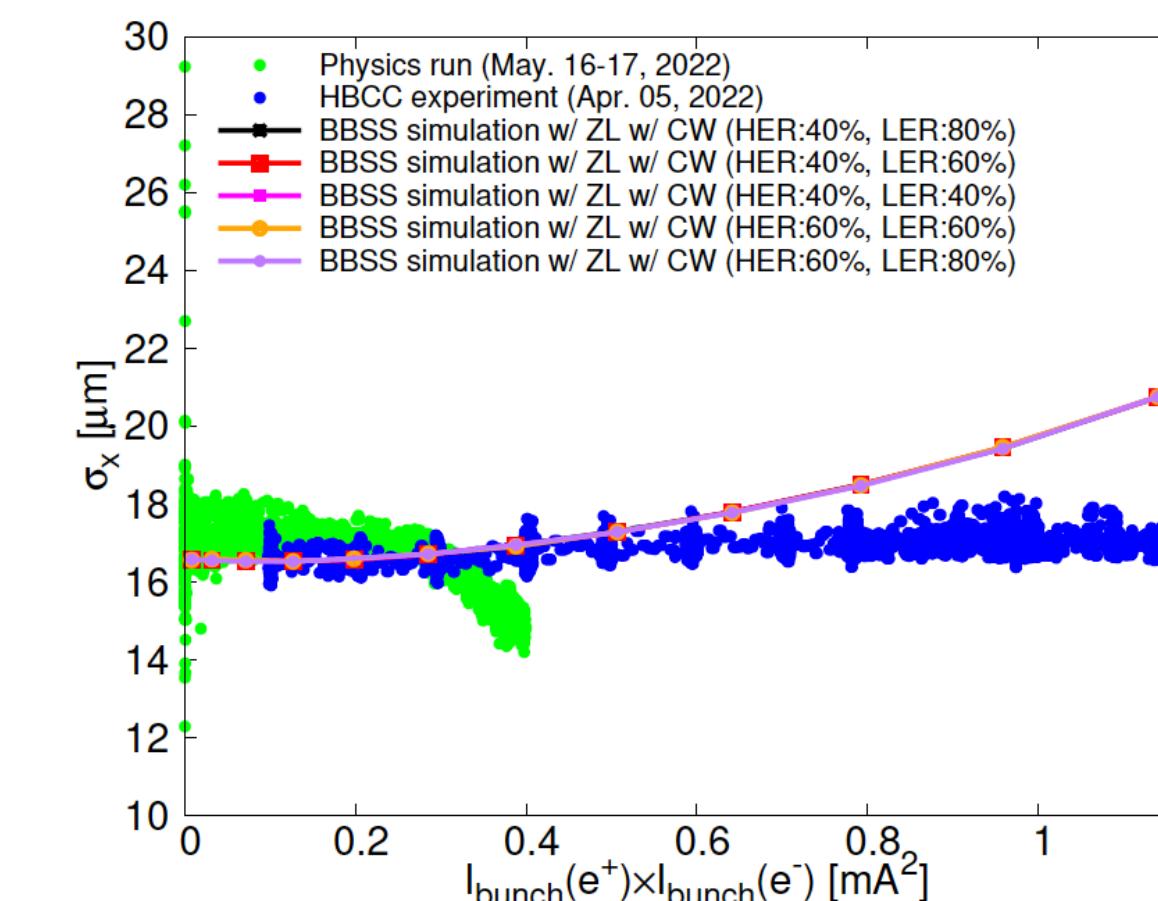
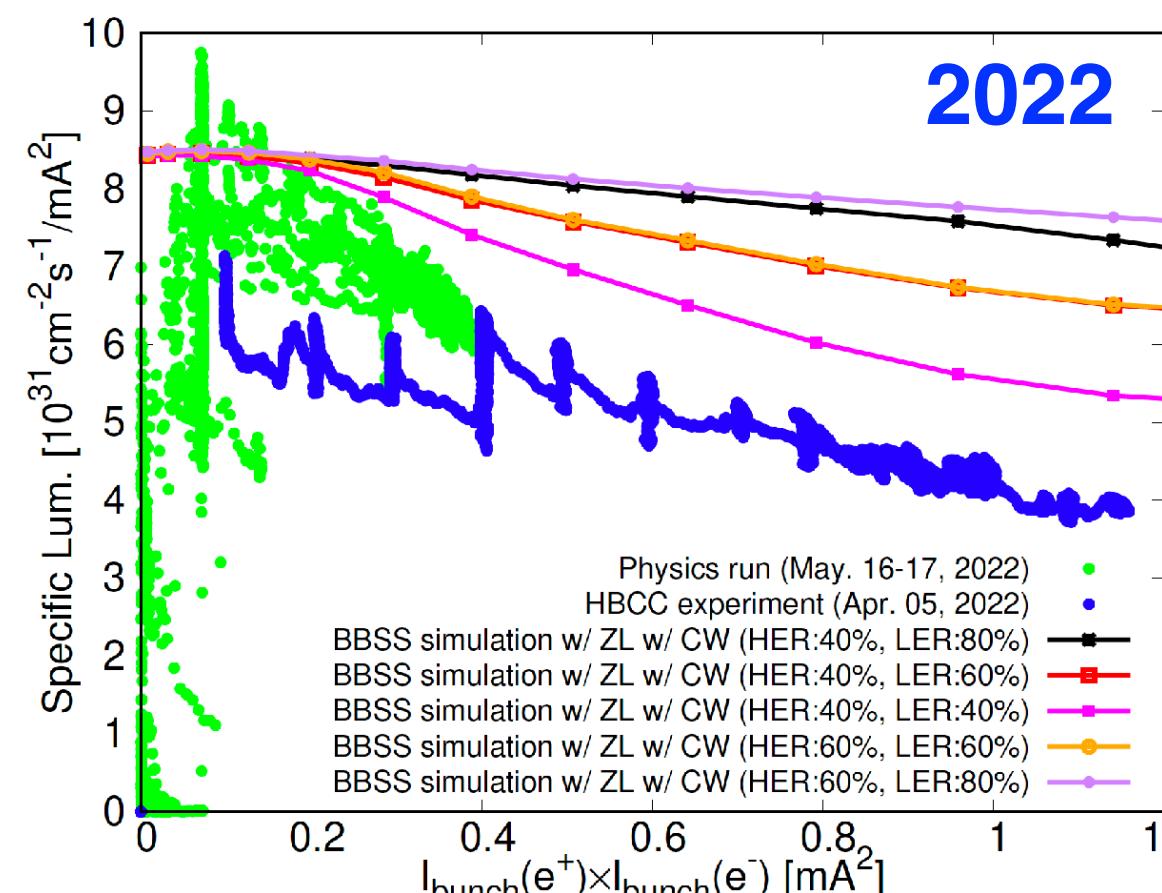
Specific luminosity



Electron  $\sigma_x^*$



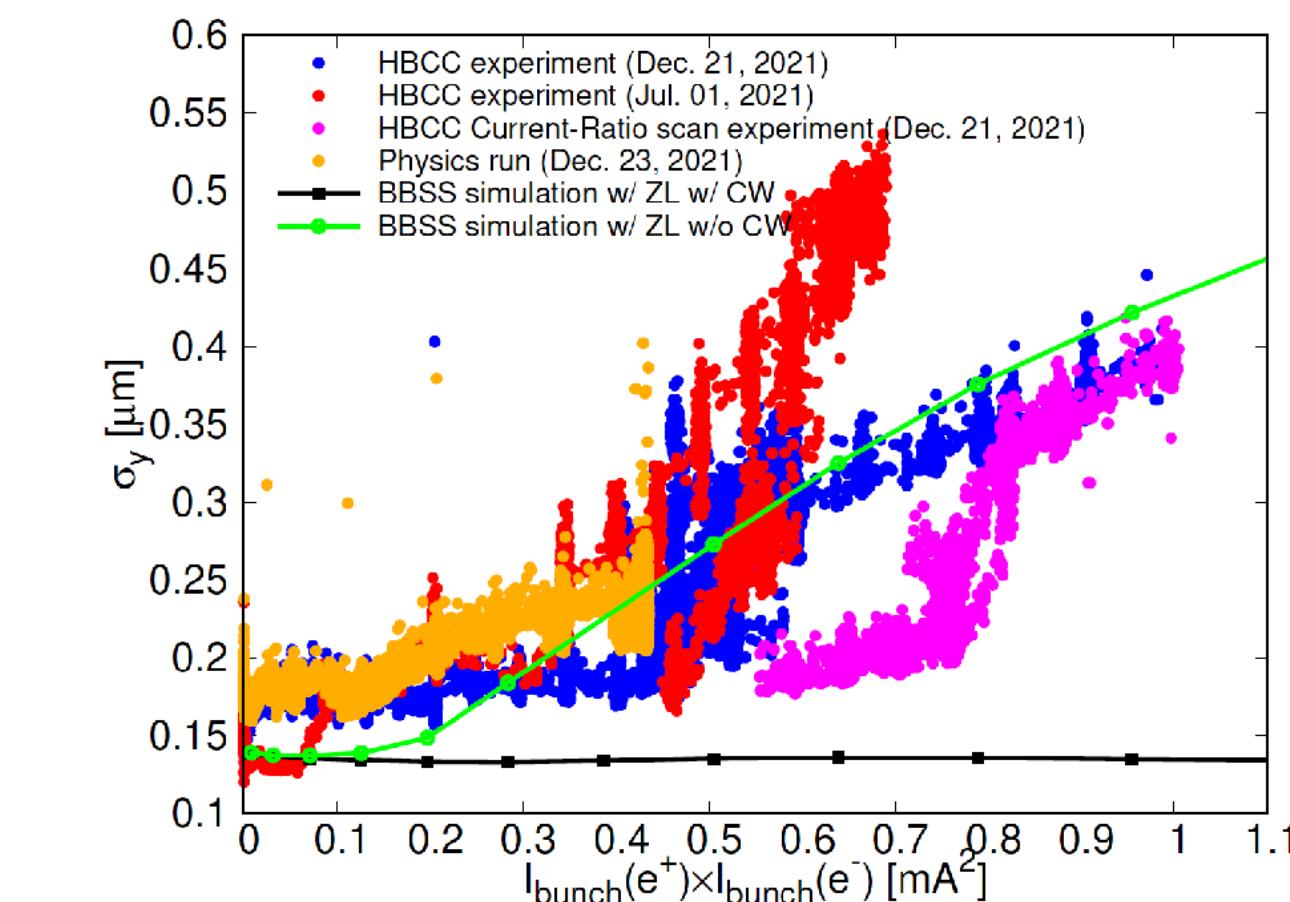
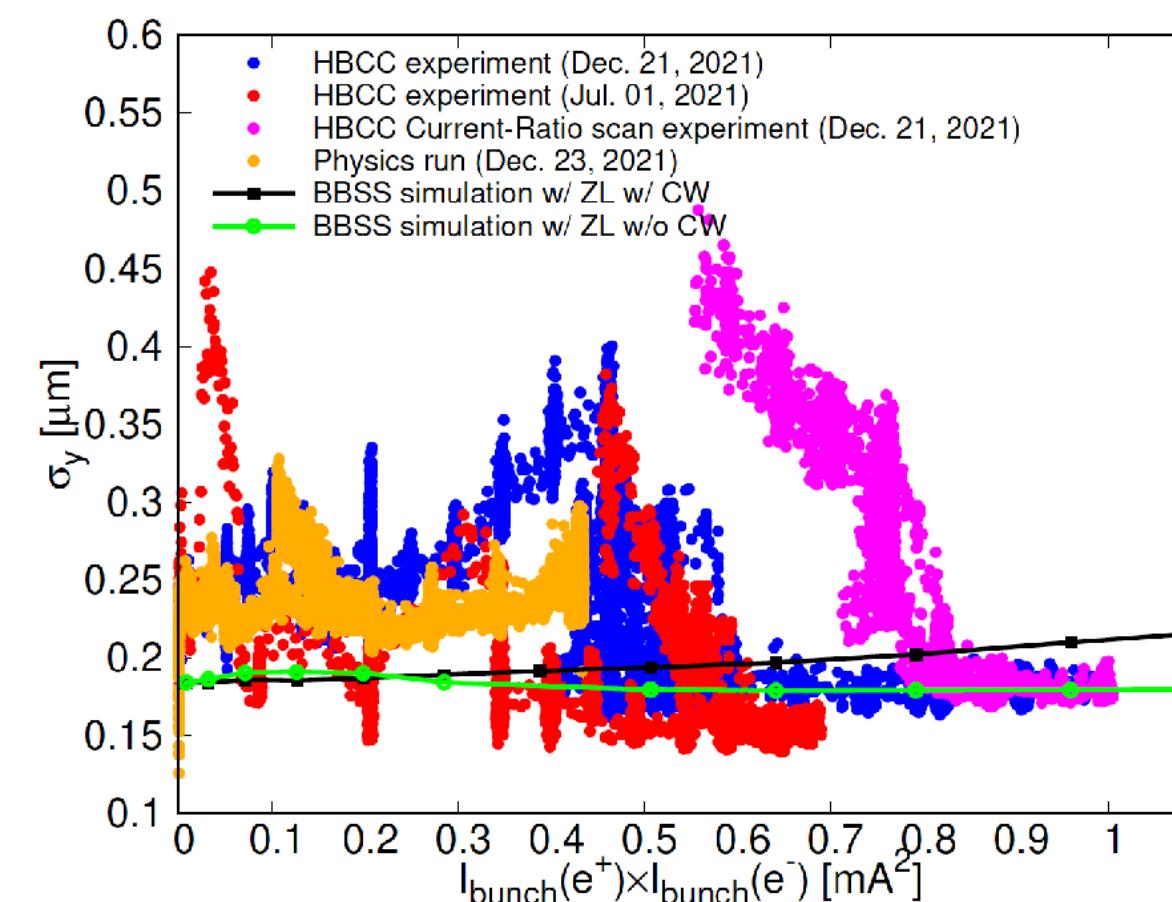
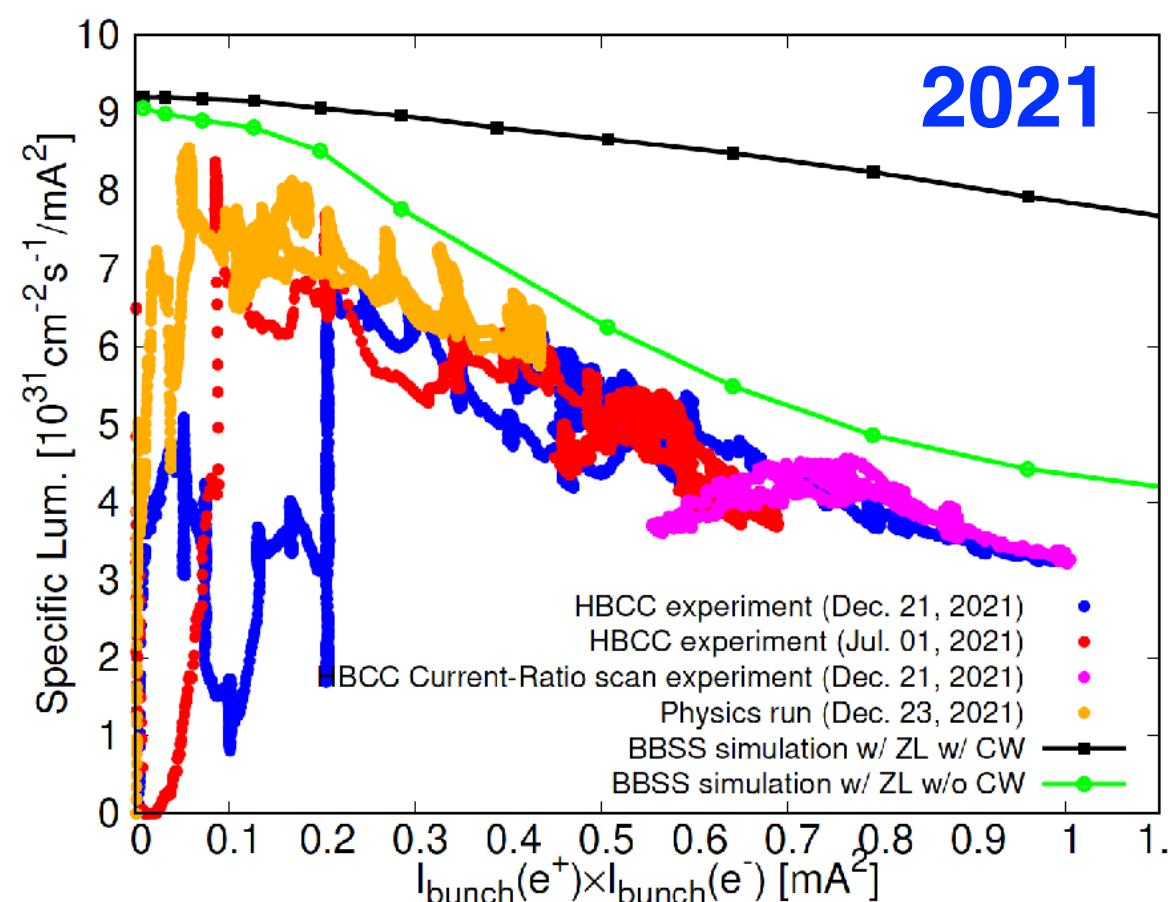
Positron  $\sigma_x^*$



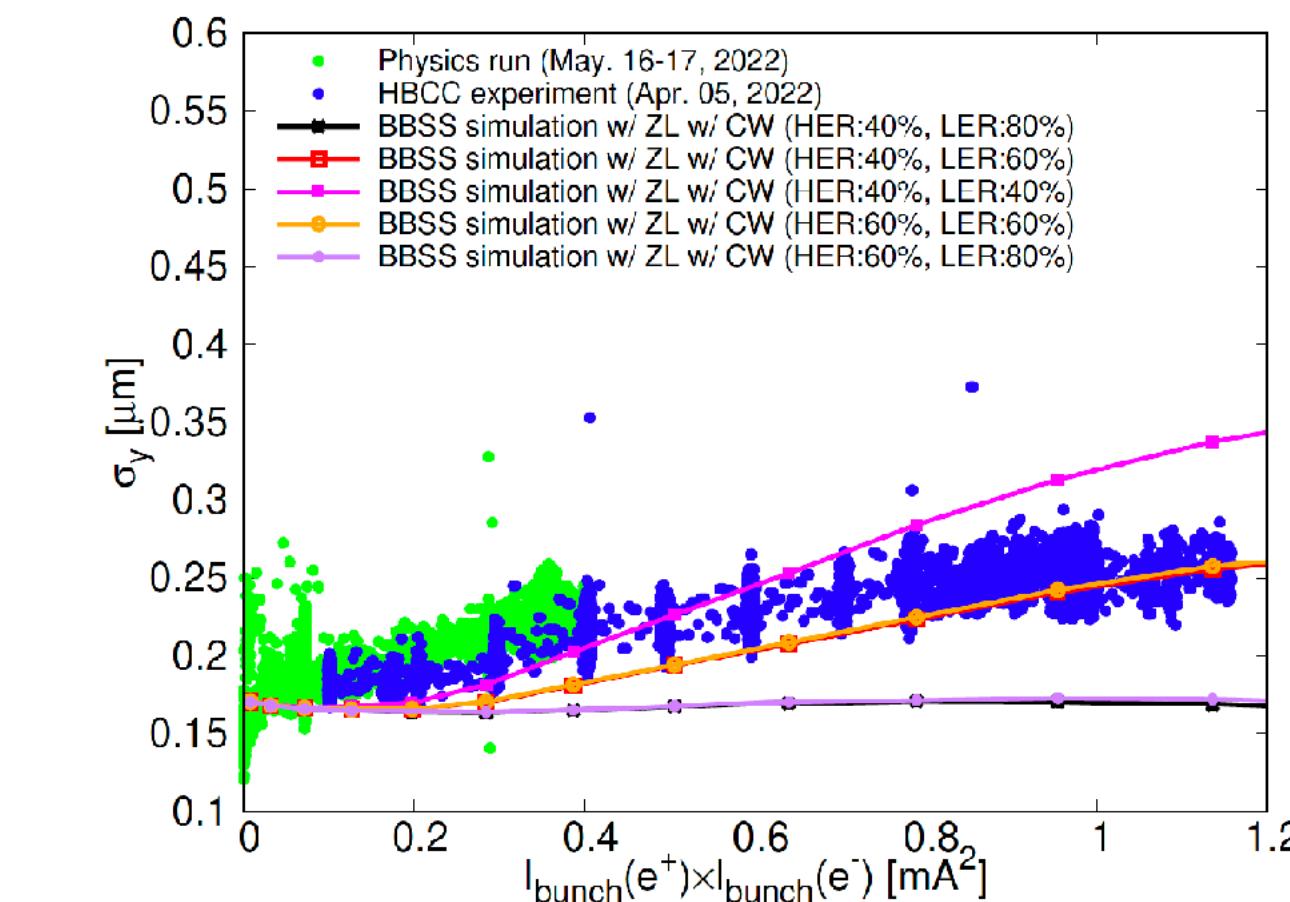
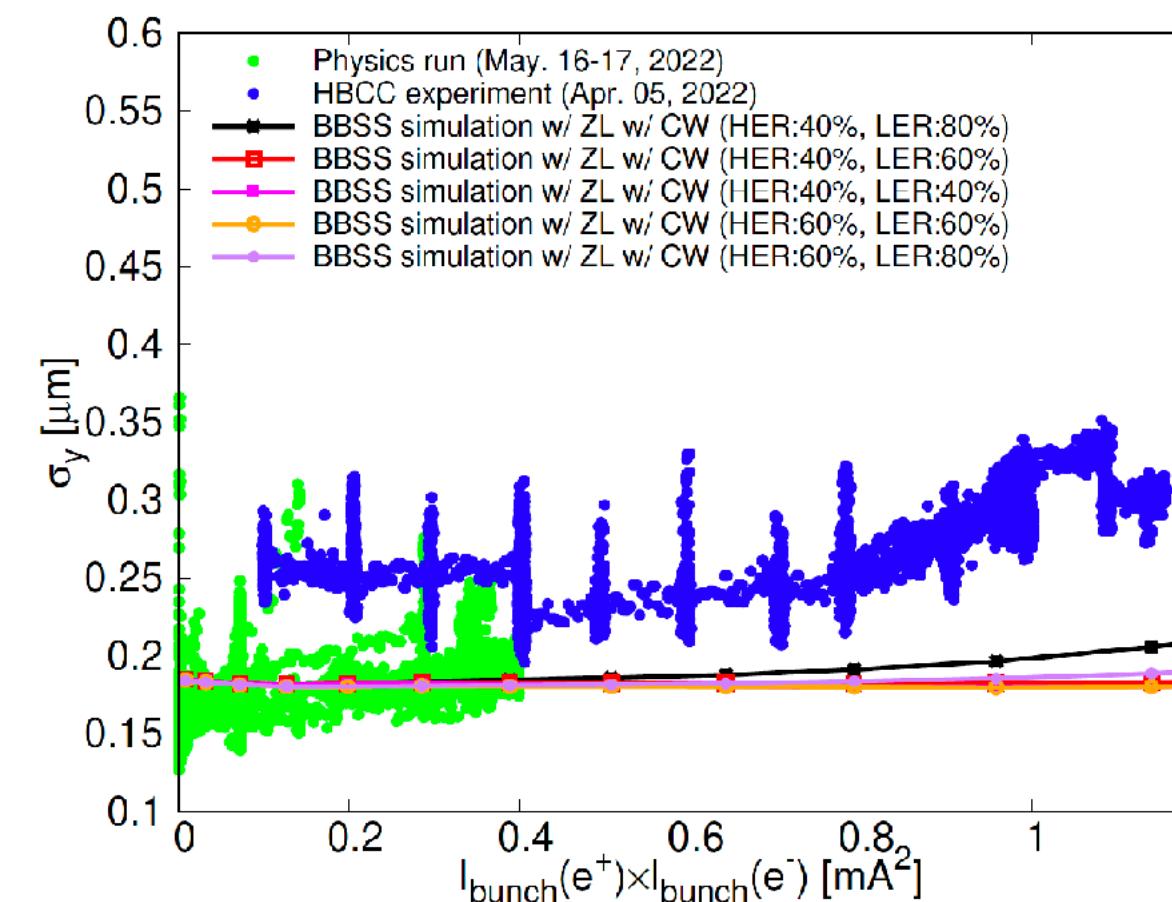
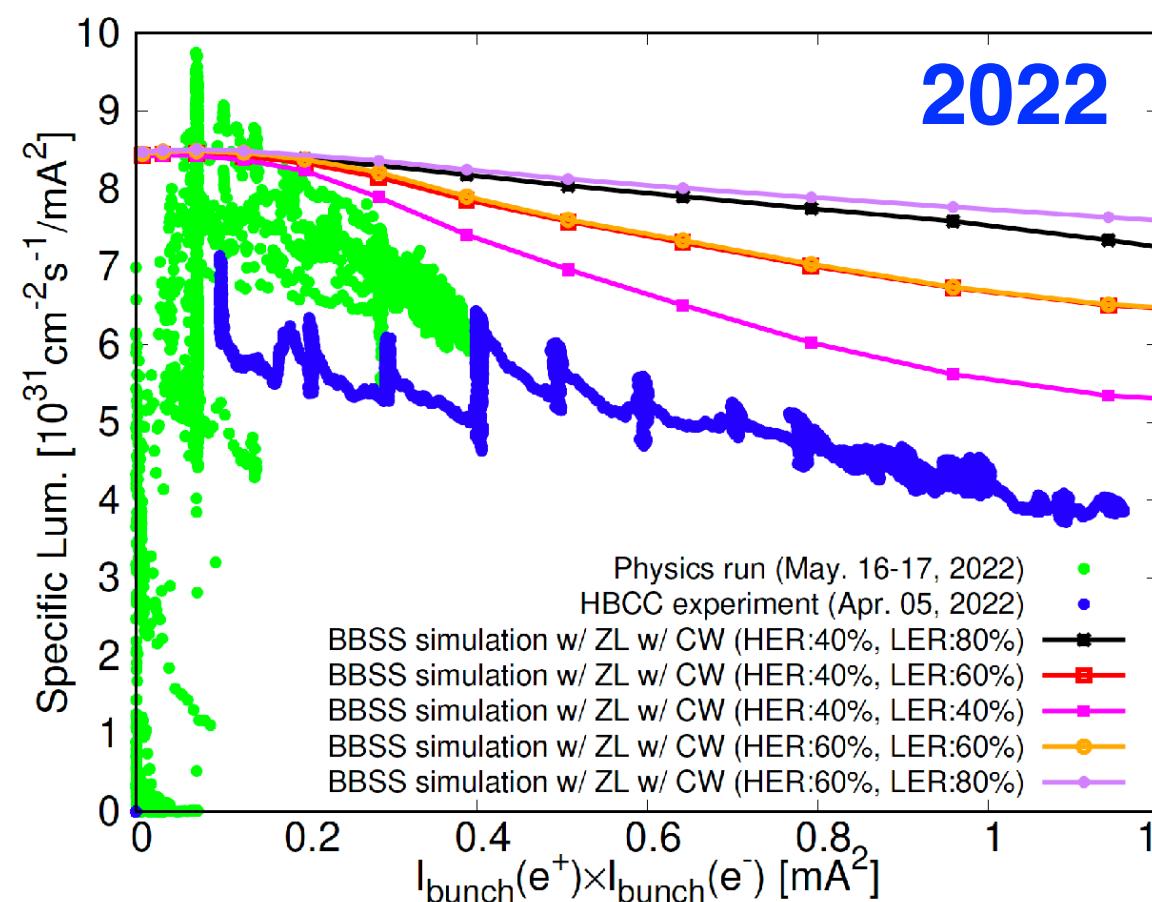
# Comparison of simulations and experimental results

- HBCC machine studies with  $\beta_y^* = 1 \text{ mm}$  in 2021 and 2022:

- After fine-tuning of BxB FB system in 2022, observed vertical beam sizes blowup became much more “normal” and closer to simulations

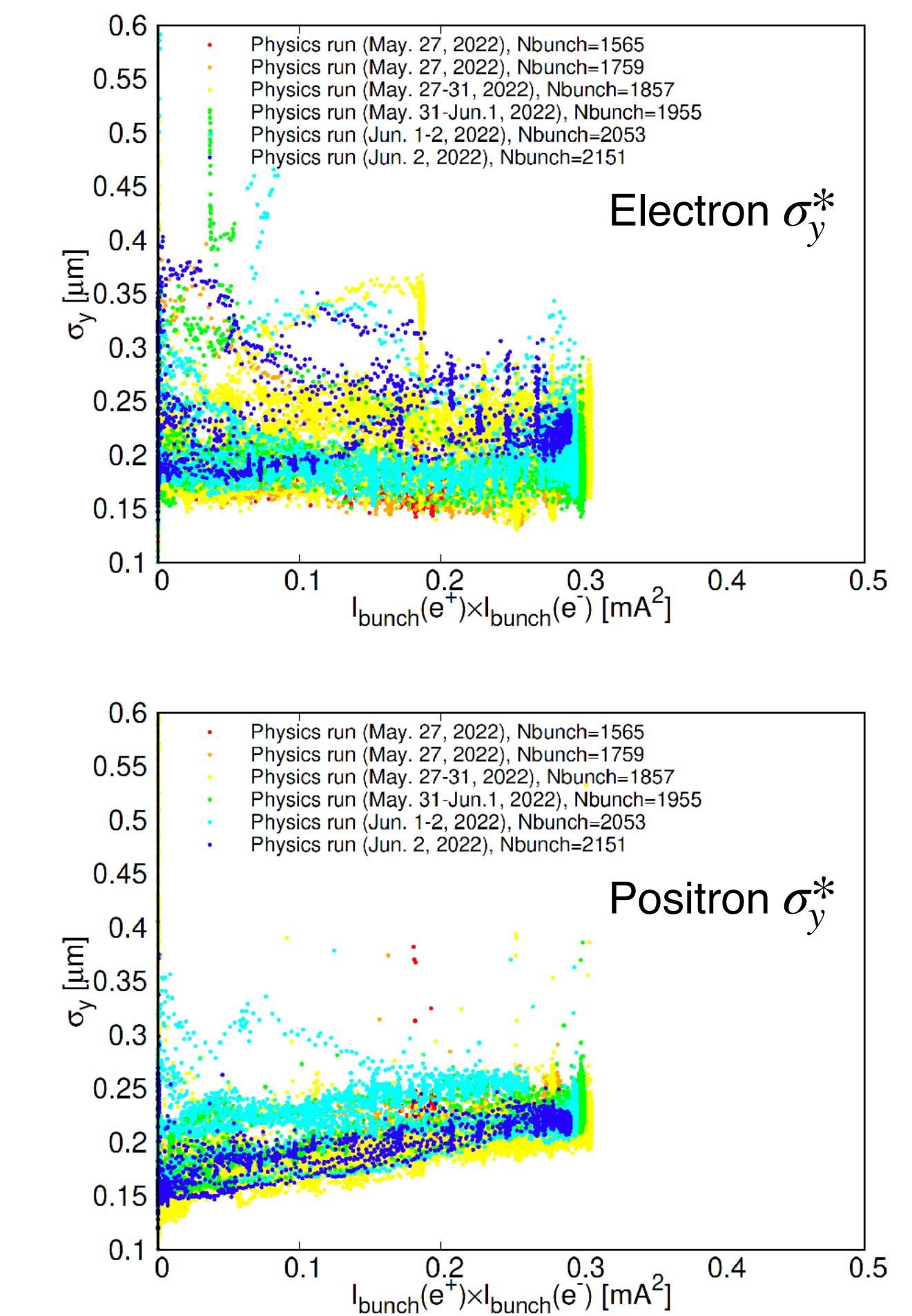
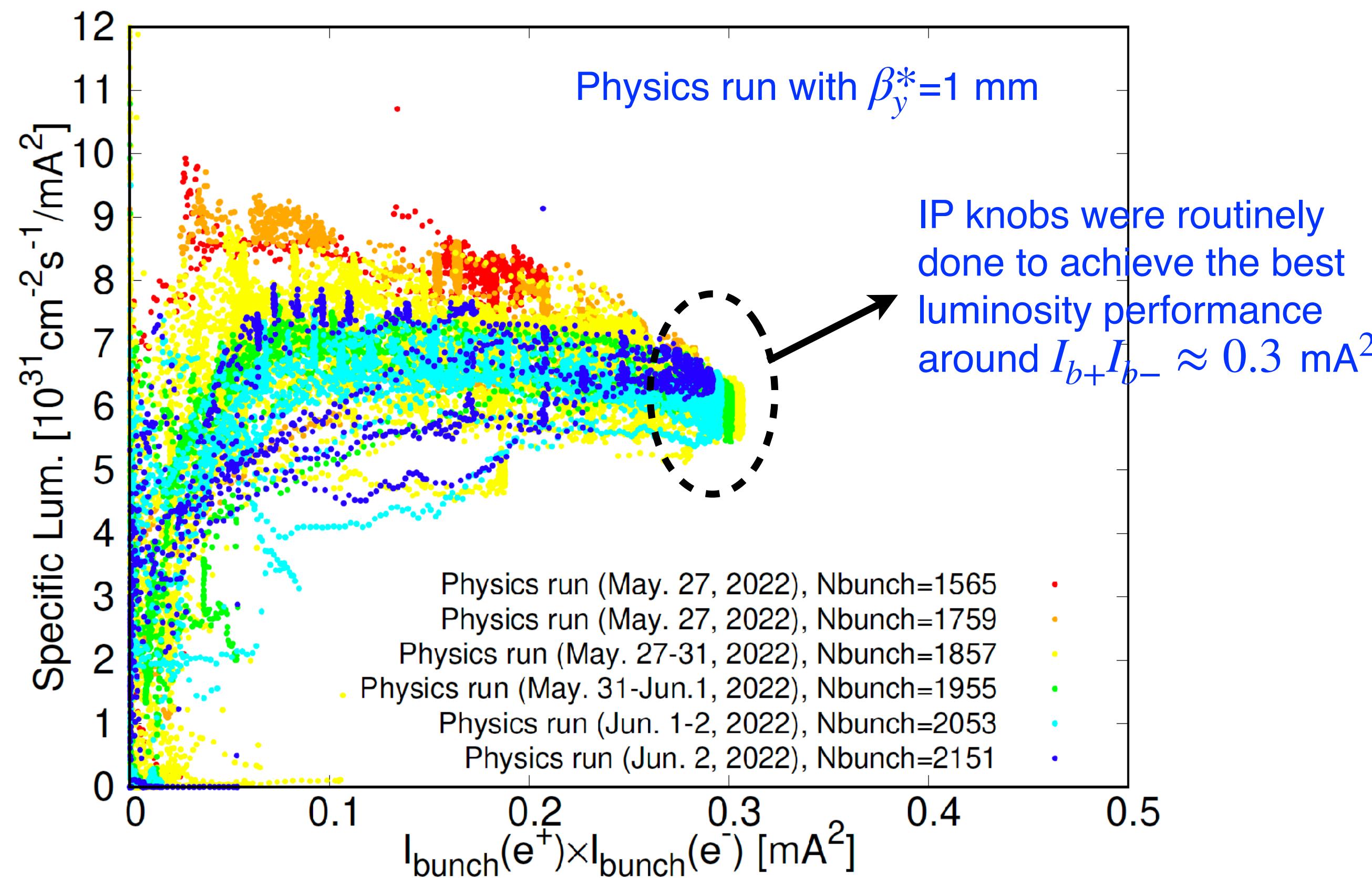


Specific luminosity



# Multi-bunch effects

- No clear evidence of Lsp degradation due to multi-bunch effects
  - The BxB FB system suppressed coupled-bunch instabilities.
  - Flat BxB luminosity was observed.
  - Electron-cloud instability was not observed.



# Comparison of simulations and experimental results

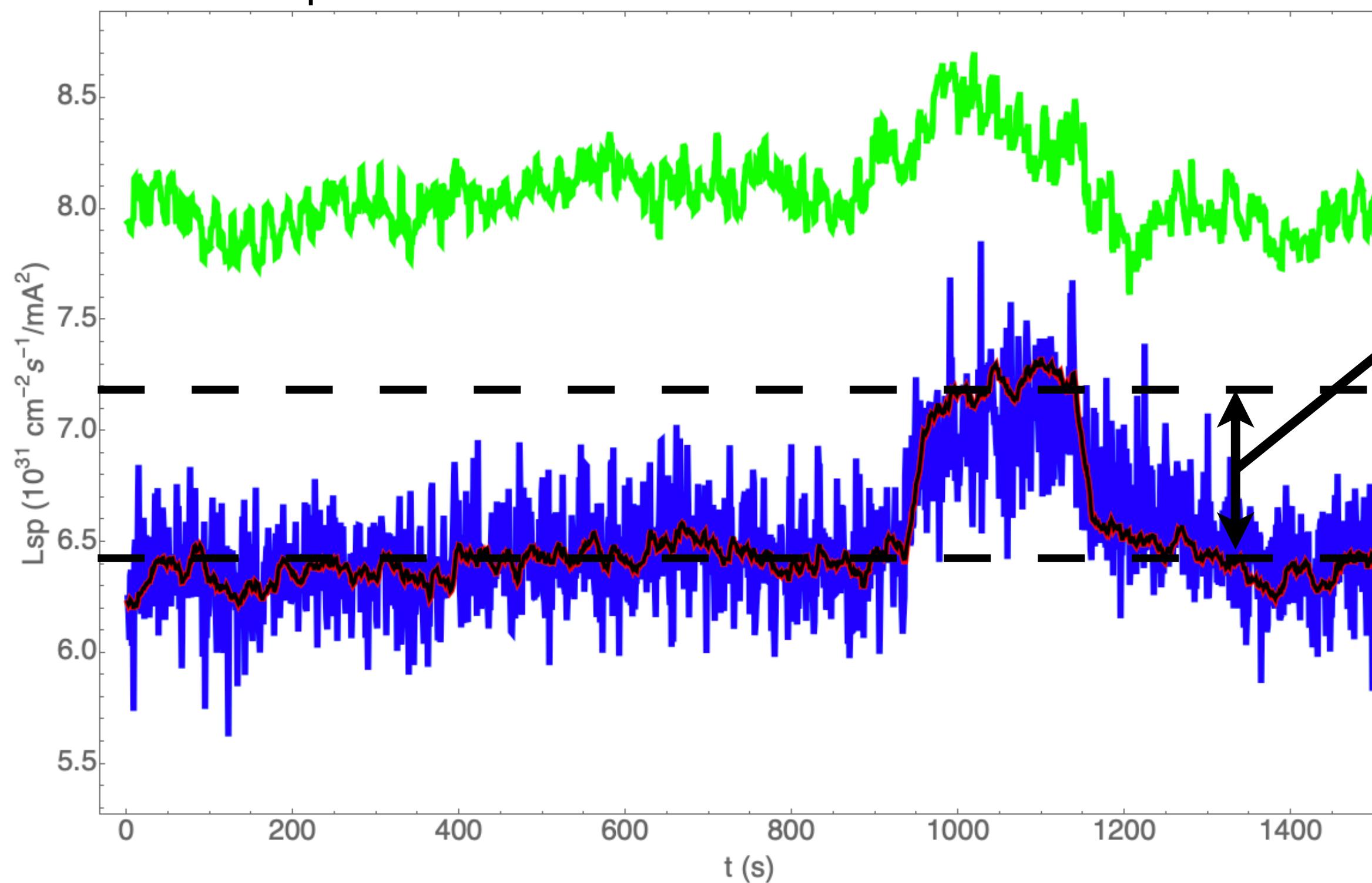
- A mysterious phenomenon:  $L_{sp}$  is correlated with beam injection
  - All luminosity PVs gave a similar jump-response to injection stop/start.
  - $L_{sp} \cdot \sqrt{\sigma_{y+}^{*2} + \sigma_{y-}^{*2}}$  still shows jump-response. It means there is a geometric loss of luminosity.

Blue: Luminosity by ECL

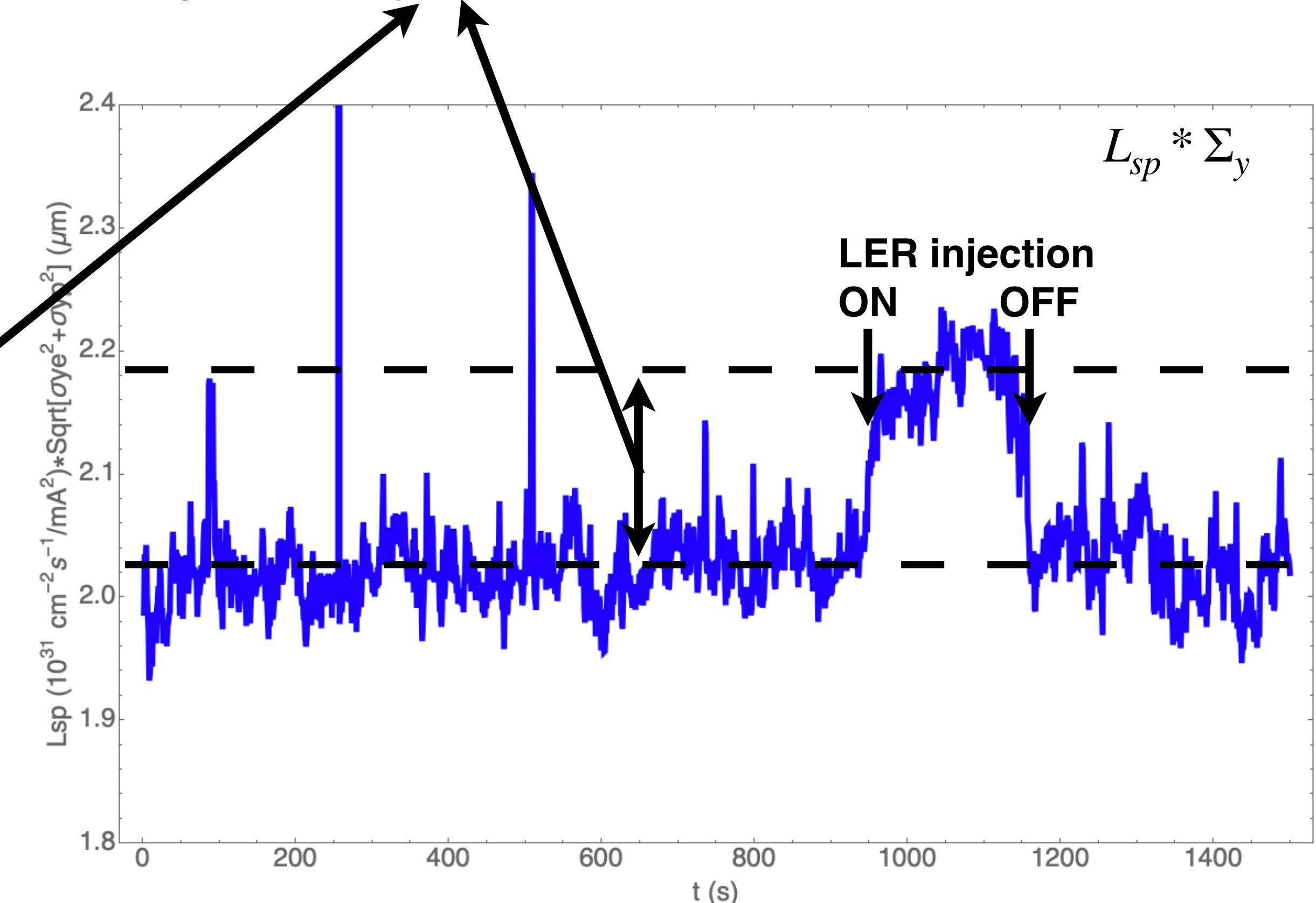
Red: Luminosity by ECL (averaged)

Green:Luminosity by ZDLM

Black:  $L_{sp}$



**$L_{sp}$  degradation by ~10%, independent to vertical emittances**



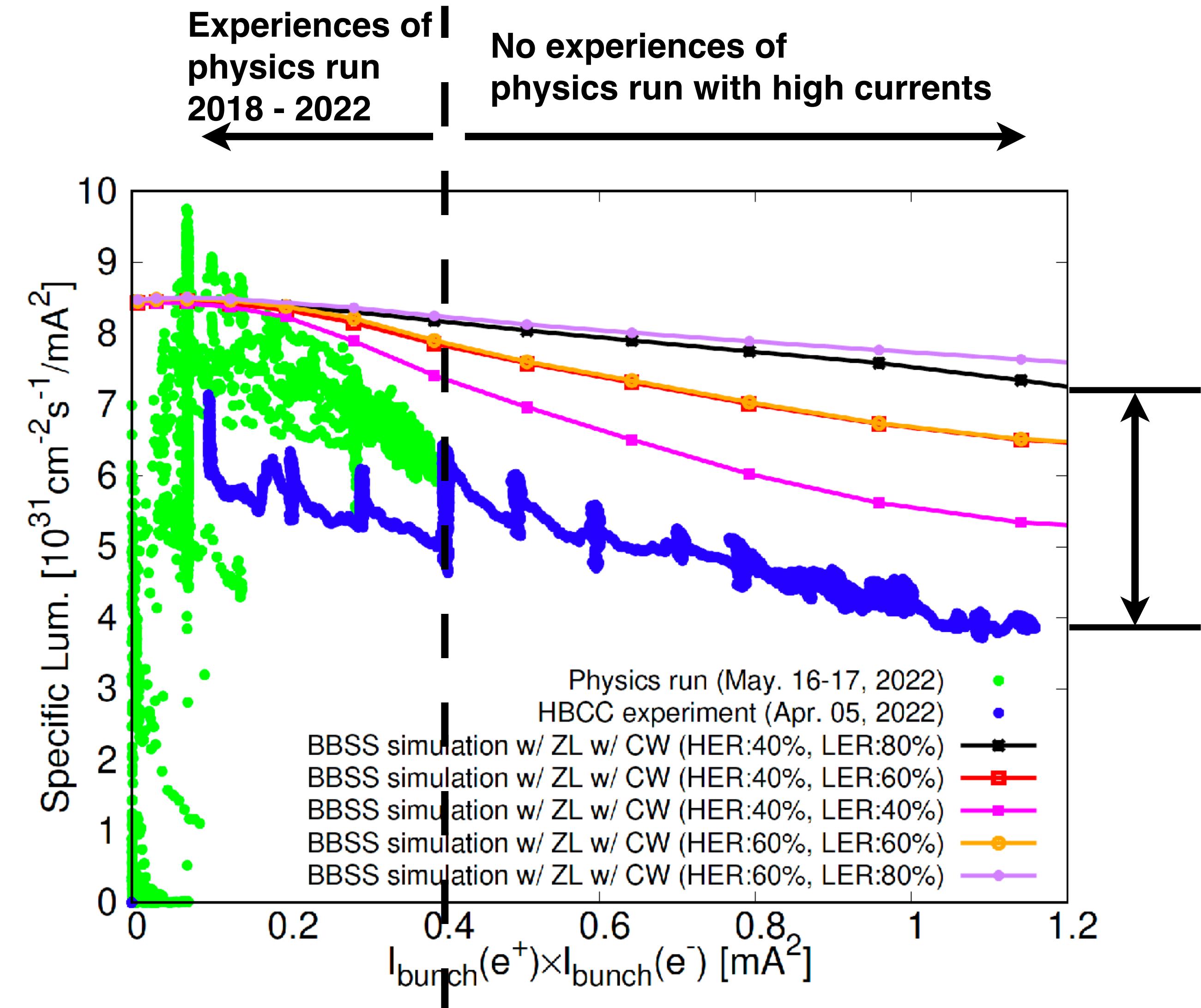
# Comparison of simulations and experimental results

- Known sources of luminosity degradation
  - Bunch lengthening
  - Chromatic couplings (See Y. Ohnishi's talk)
  - Single-beam blowup in LER (Impedance effects and its interplay with FB, see K. Ohmi's talk)
  - Optics distortion due to SR heating (see Y. Ohnishi's and H. Sugimoto's talks)
  - Luminosity “loss” correlated with injection.
- Sources to be investigated via experiments
  - Imperfect crab waist
  - Beam-beam driven synchro-betatron resonances
  - Interplay of BB, longitudinal and transverse impedances, and feedback system
  - Global couplings (side effects of IP knobs)
  - Interplay of BB and nonlinear lattices
  - Coupled bunch instabilities

} Identified in 2022

# Comparison of simulations and experimental results

- Filling the gap between simulated and measured Lsp
  - BBSS+PIC [simulation](#) showed 5% less Lsp at  $I_{b+}I_{b-} = 0.8 \text{ mA}^2$ .
  - Impedance effects:
    - Simulations showed less [bunch lengthening](#) than measurements. If measured bunch lengthening is applied, it gives ~10% extra loss of Lsp at  $I_{b+}I_{b-} = 0.8 \text{ mA}^2$ .
    - Vertical beam tilt due to monopolar wakes.
    - “-1 mode instability” due to interplay of FB and vertical impedance.
  - Lsp loss correlated with [injection](#): ~10% at  $I_{b+}I_{b-} = 0.3 \text{ mA}^2$  (not sure how much loss at high bunch currents).
  - Other sources of Lsp degradation without quantitative estimate.



# Summary

- Prediction of luminosity via beam-beam simulations requires reliable models of 1) beam-beam interaction, 2) machine imperfections, and 3) other collective effects.
- Crab waist is powerful in the suppression of nonlinear beam-beam effects.
- With progress in machine tunings, the measured luminosity of SuperKEKB is approaching predictions of BB simulations (BB + Simple lattice model + Impedance models).
- Many subjects/ideas are to investigated/tried (both simulations and experiments) to achieve higher luminosity at SuperKEKB.