

# Surrogate Modeling for Charged Particle Accelerator Beam Dynamics

Auralee Edelen, Nicole Neveu, Andreas Adelmann, Yannick Huber

ICAP 2018, Key West, Florida



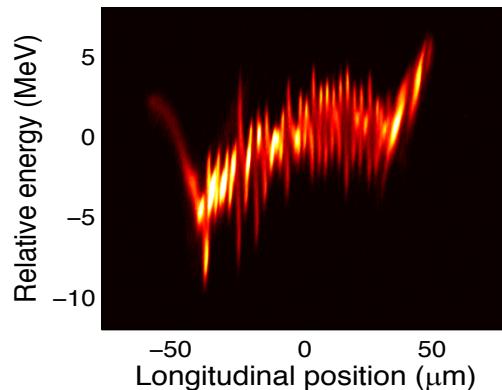
**Accelerator simulations that include nonlinear / collective effects are powerful tools,  
but they can be very slow to execute**

Impedes start-to-end optimization

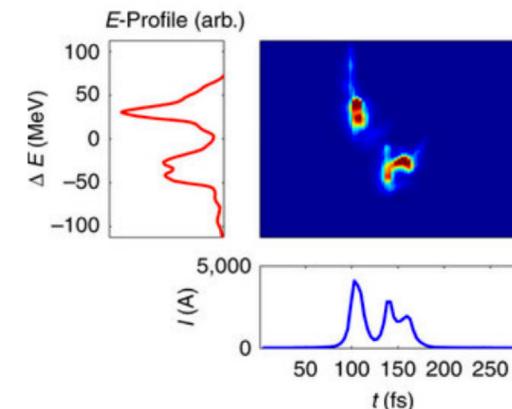
Impedes use as an online model / virtual diagnostic

Impedes use in control / control development

Often takes much effort to replicate real machine behavior



D. Ratner, et al., PRSTAB18, 030704 (2015)



A. Marinelli, et al., Nat. Commun. 6, 6369 (2015)

→ especially for complicated setups and acceleration schemes (e.g. plasma-based)

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**One approach: faster modeling codes**

Simpler models (tradeoff with accuracy)

analytic calculations      e. g. *J. Galambos, et al., HPPA5, 2007*

Parallelization and GPU-acceleration of existing codes

HPSim/PARMILA      *X. Pang, PAC13, MOPMA13*

elegant      *I.V. Pogorelov, et al., IPAC15, MOPMA035*

Improvements to modeling algorithms

Lorentz-boosted frame      *J.-L. Vay, Phys. Rev. Lett. 98 (2007) 130405*

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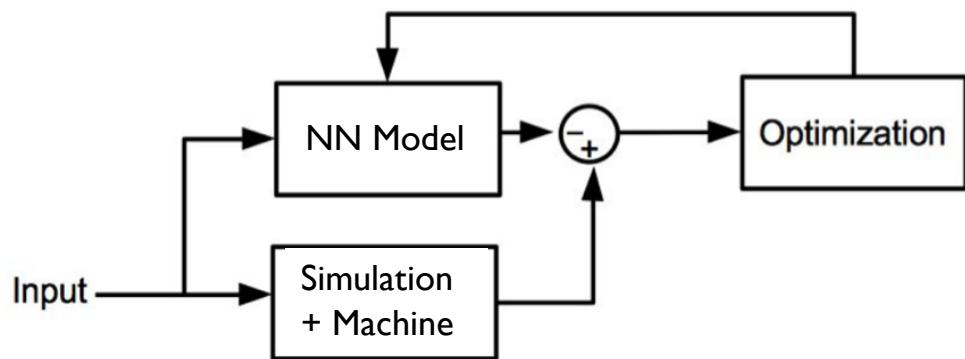
## Another approach: machine learning model

Once trained, neural networks can execute quickly

Train on data from slow, high-fidelity simulations

+

Train on measured data



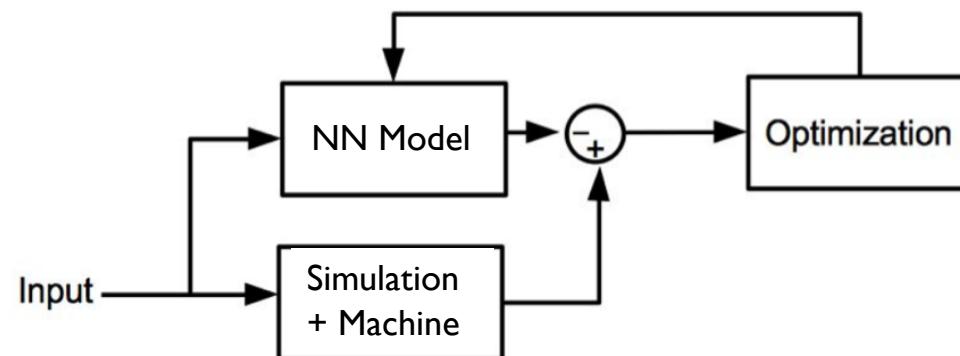
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- Train from high-fidelity simulation results → *orders of magnitude speedup*
- Update with measured data → *bridge gap between sims and real machine*
- Use as a virtual diagnostic → *predict what a diagnostic would show when it is unavailable*
- Use to facilitate control → *model-based control, use with online optimization, use as a platform for controls development*
- Can use for design studies → *new setups on existing machines + designing downstream components*

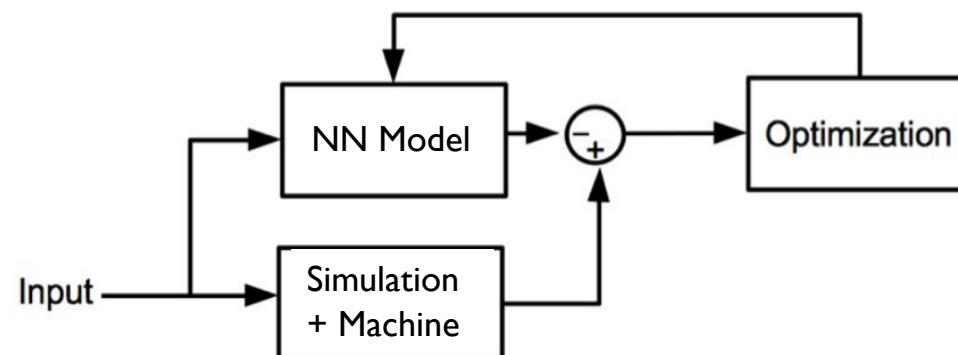
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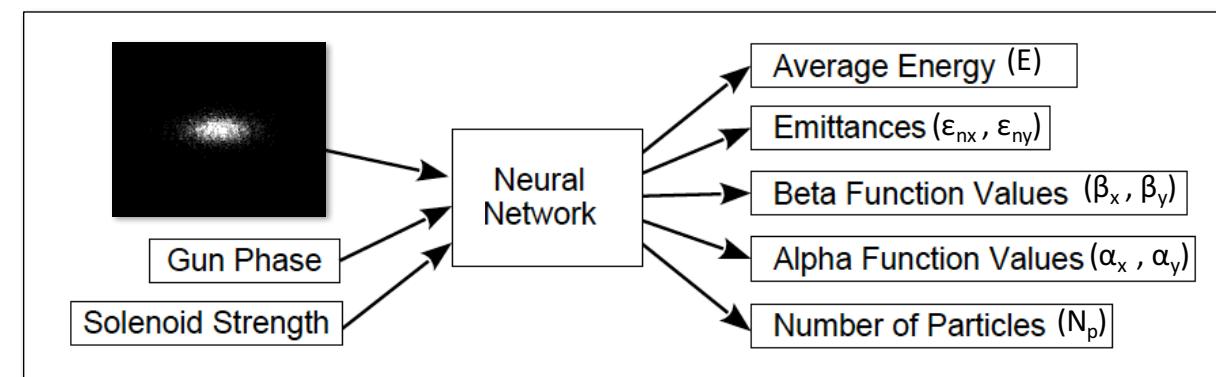
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*An initial study at Fermilab:*

A. L. Edelen, J.P. Edelen, D. Edstrom, et al. NAPAC16, TUPOA51

*PARMELA with 2-D space charge routine: ~ 20 mins*

*Neural network model: ~ a millisecond*



*All mean absolute errors between 0.9% and 3.1% of the parameter ranges*

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## *But can we really trust these models in optimization, and what are the limitations?*

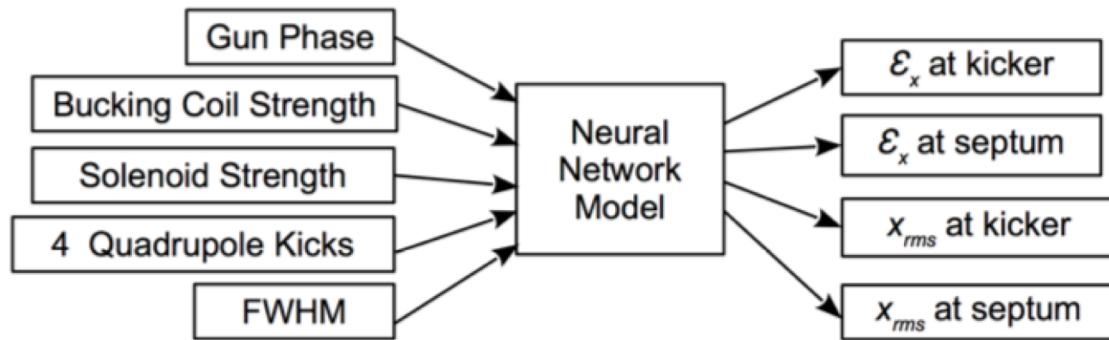
*Decided to investigate this with the Argonne Wakefield Accelerator*

- extensive simulation work for the AWA already done by N. Neveu
  - computing resources to do GA study in simulation
- OPAL head developer A. Adelmann already collaborating with AWA + past work on polynomial chaos expansion (PCe) surrogates for a cyclotron
  - (<https://arxiv.org/pdf/1509.08130.pdf>)

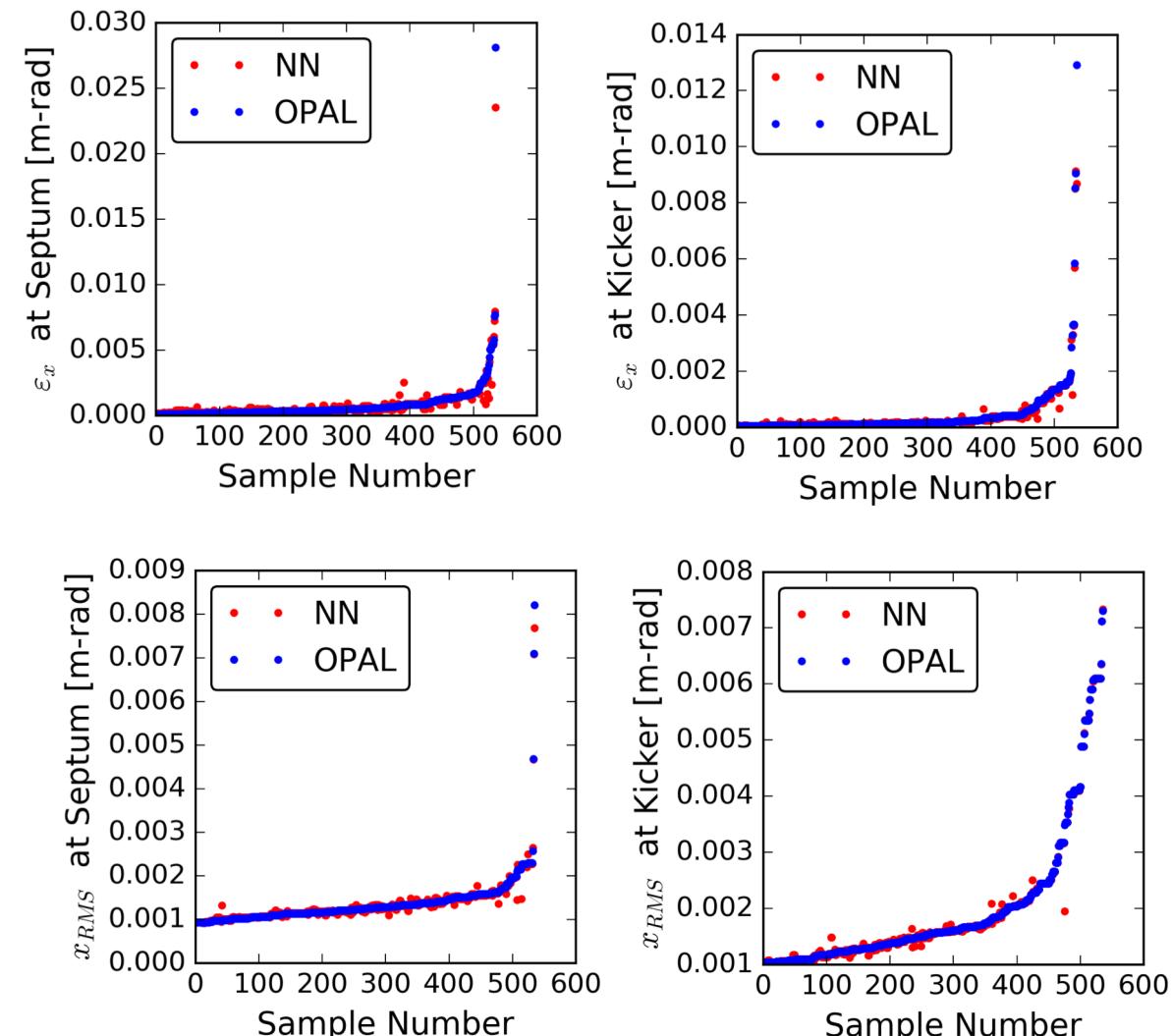
# Surrogate Modeling for the AWA: Small Initial Study

SLAC

Trained on ~30k iterations of output from optimization of injector / beamline in OPAL



Variable	Unit	Range
Bunch FWHM	[ps]	0.05 – 25.1
$\phi$	[°]	-39.1 – 6.7
$I_{bs}$	[A]	72 – 638
$I_s$	[A]	173 – 266
Q1	[m <sup>-1</sup> ]	-10.0 – 12.0
Q2	[m <sup>-1</sup> ]	-12.5 – 13.7
Q3	[m <sup>-1</sup> ]	-10.4 – 13.1
Q4	[m <sup>-1</sup> ]	-12.2 – 7.9

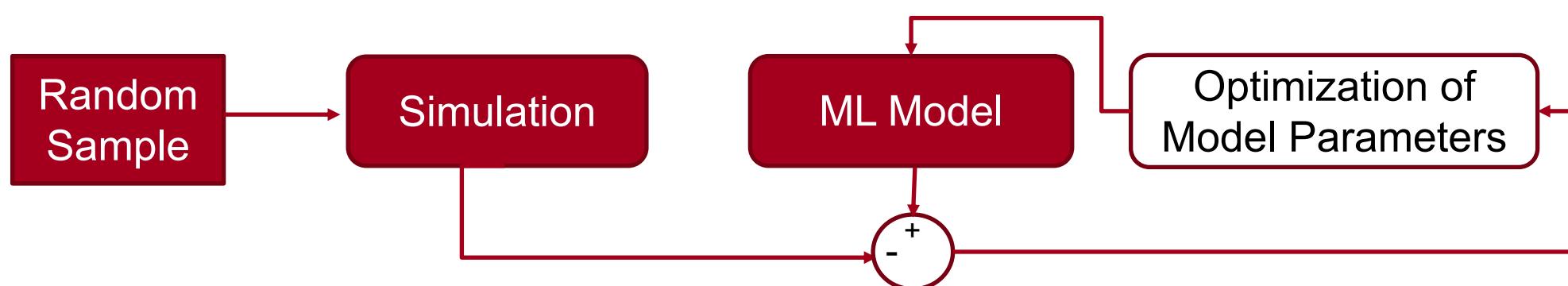


*Follow-up study:*

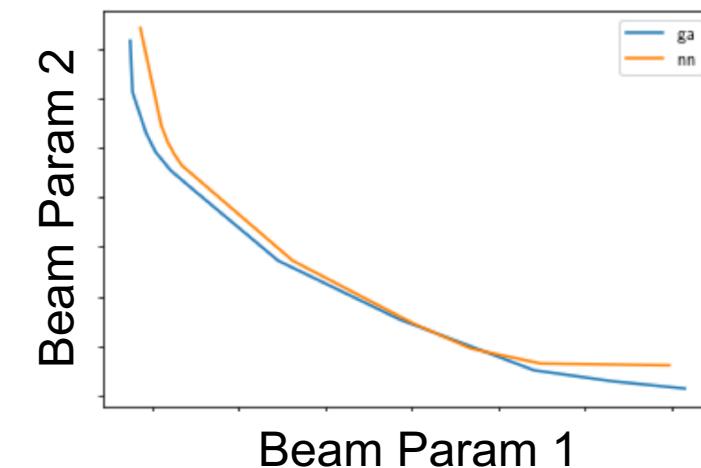
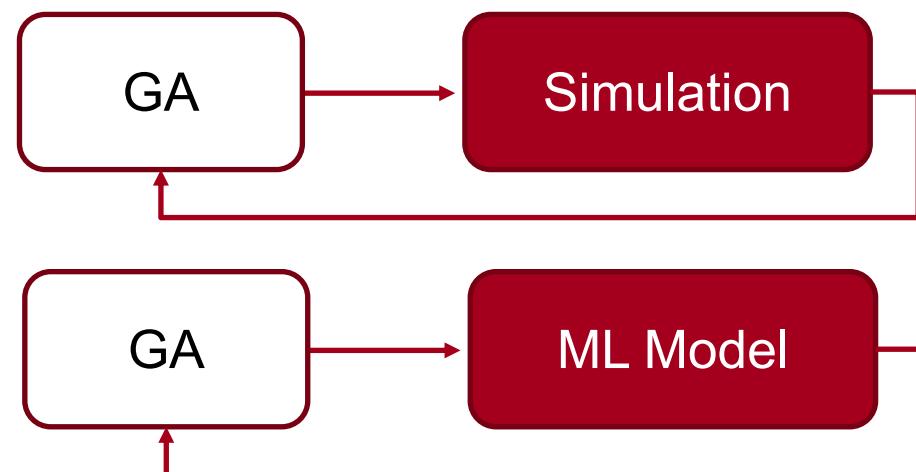
*focus on pareto fronts*

# Workflow for Assessing Comparison with GA

Train ML Model on Random Sample

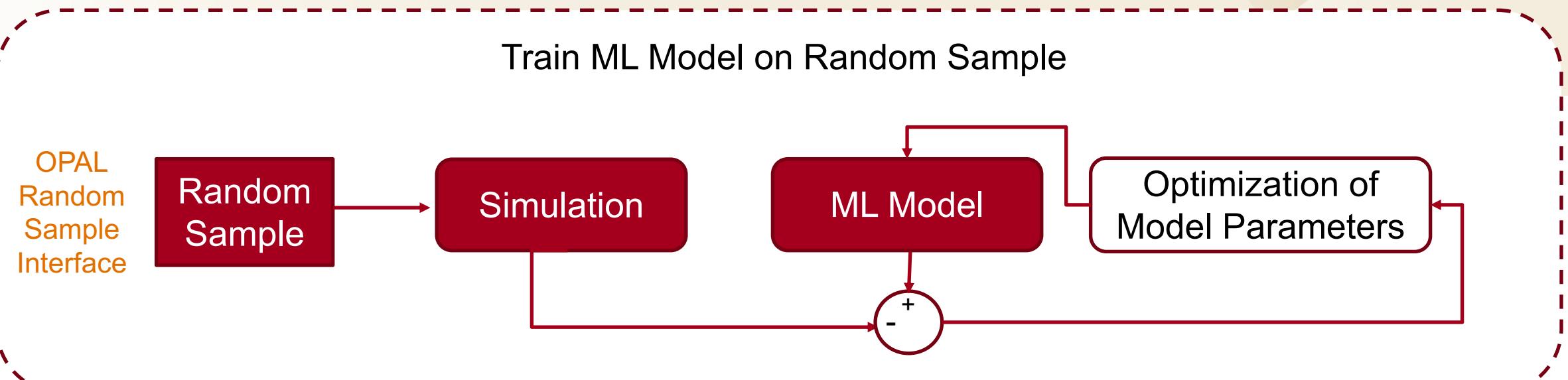


Run GA on Simulation and ML Model

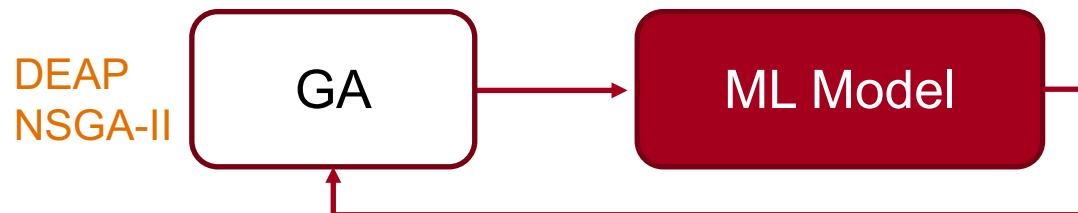
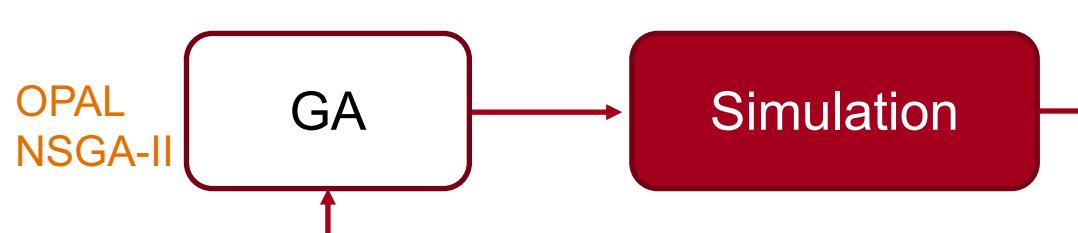


Pareto front comparison

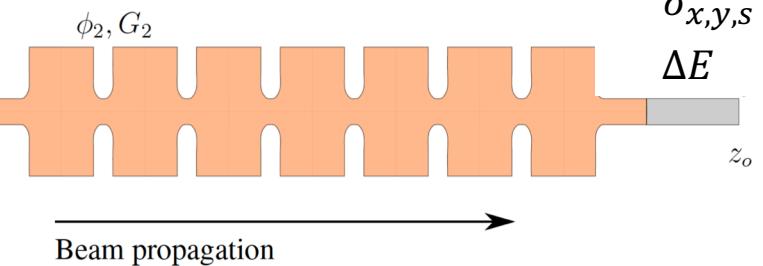
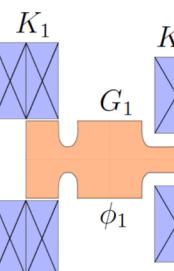
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Run GA on Simulation and ML Model



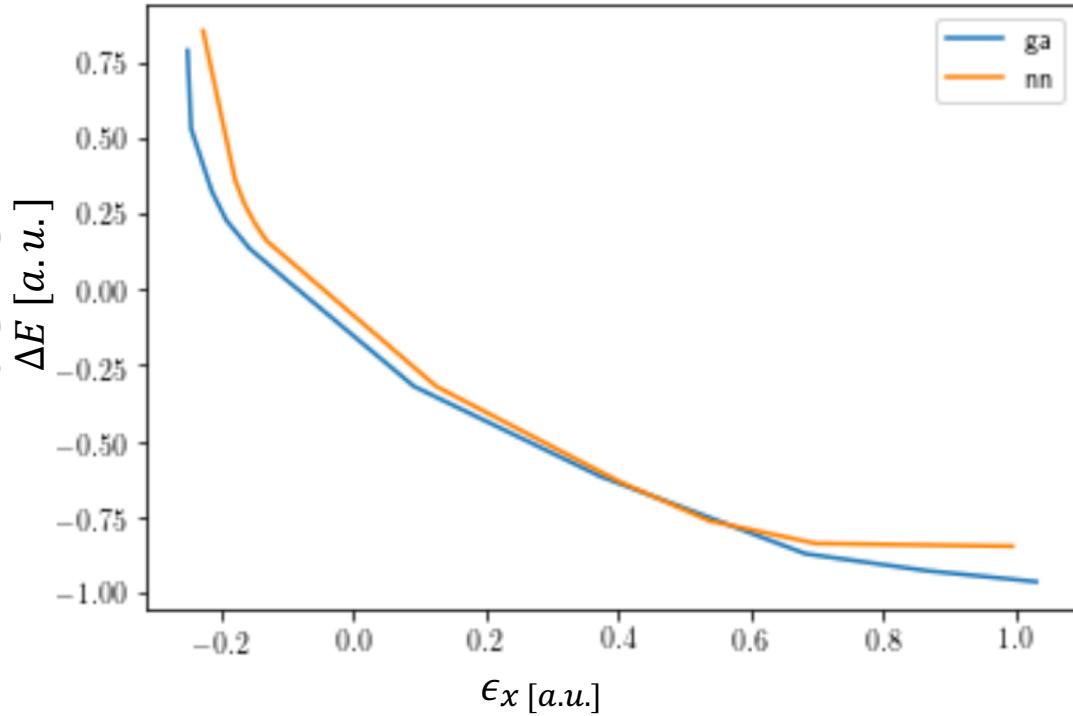
NN,  
PCe



Adjust six design variables over known good range  
Evaluate seven beam parameters

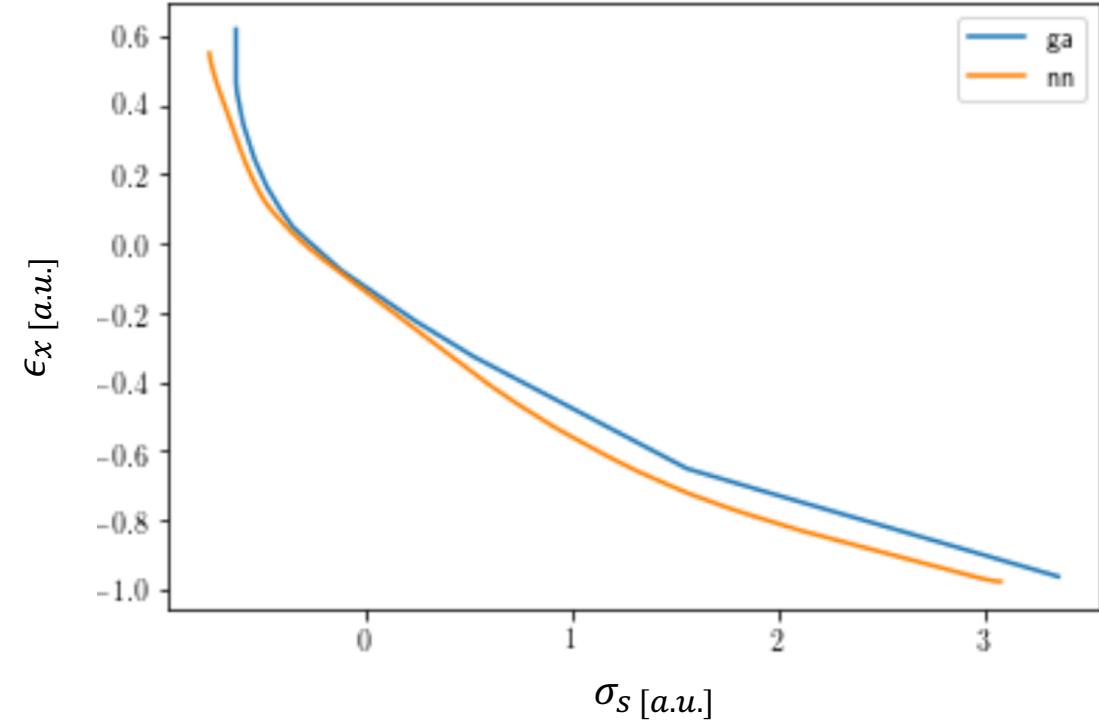
# Comparison of Pareto Fronts

SLAC



OPAL GA: ~42,510 core hours at ALCF  
~16.2 hours

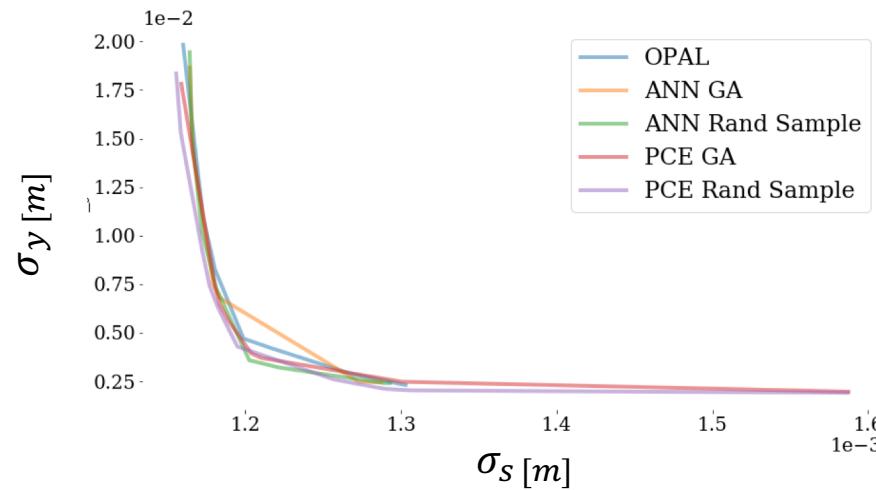
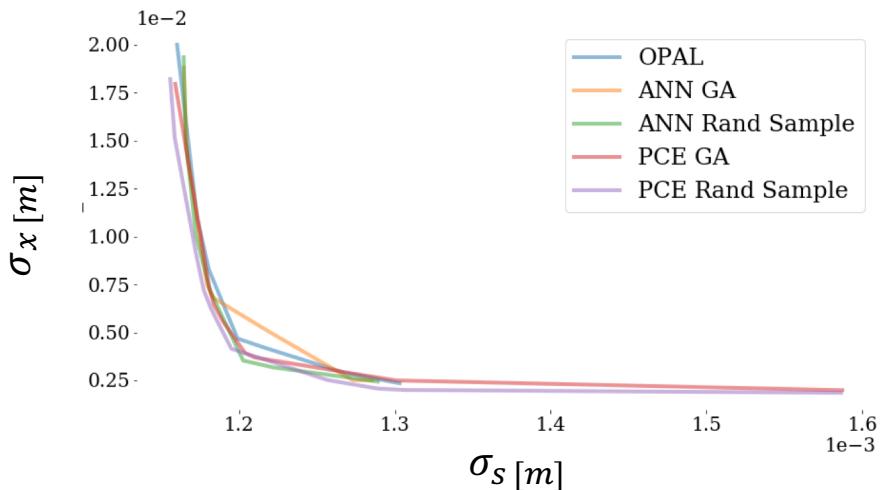
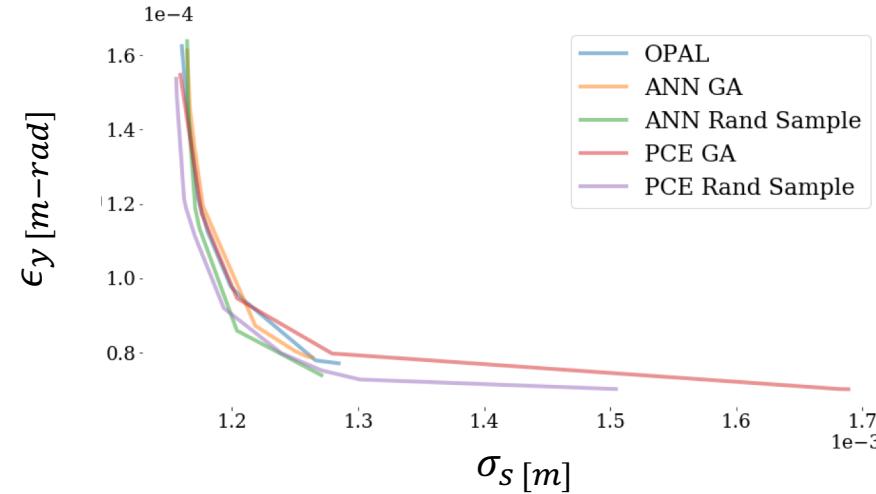
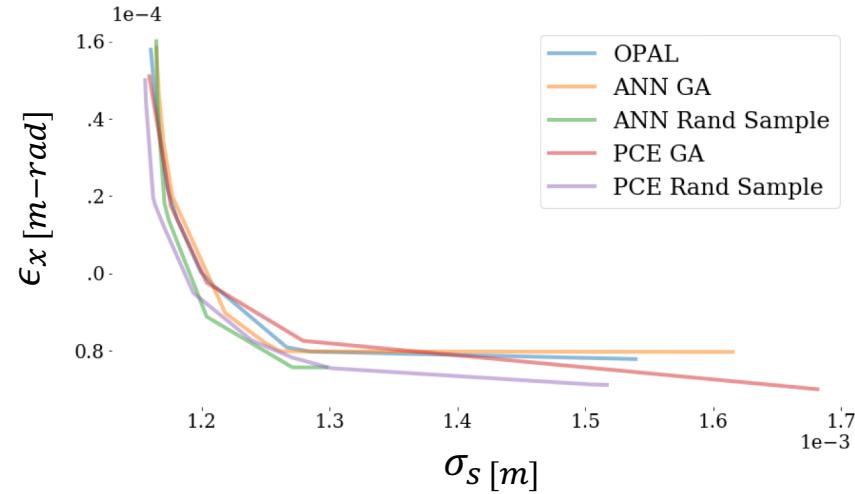
130,865 simulation evaluations  
*(for each new optimization)*



NN Surrogate: ~2 minutes on laptop  
*(hidden cost: ~70k initial simulations for training, but in principle only need to do once, and might be able to use smaller data set)*

# Comparison of Pareto Fronts

SLAC

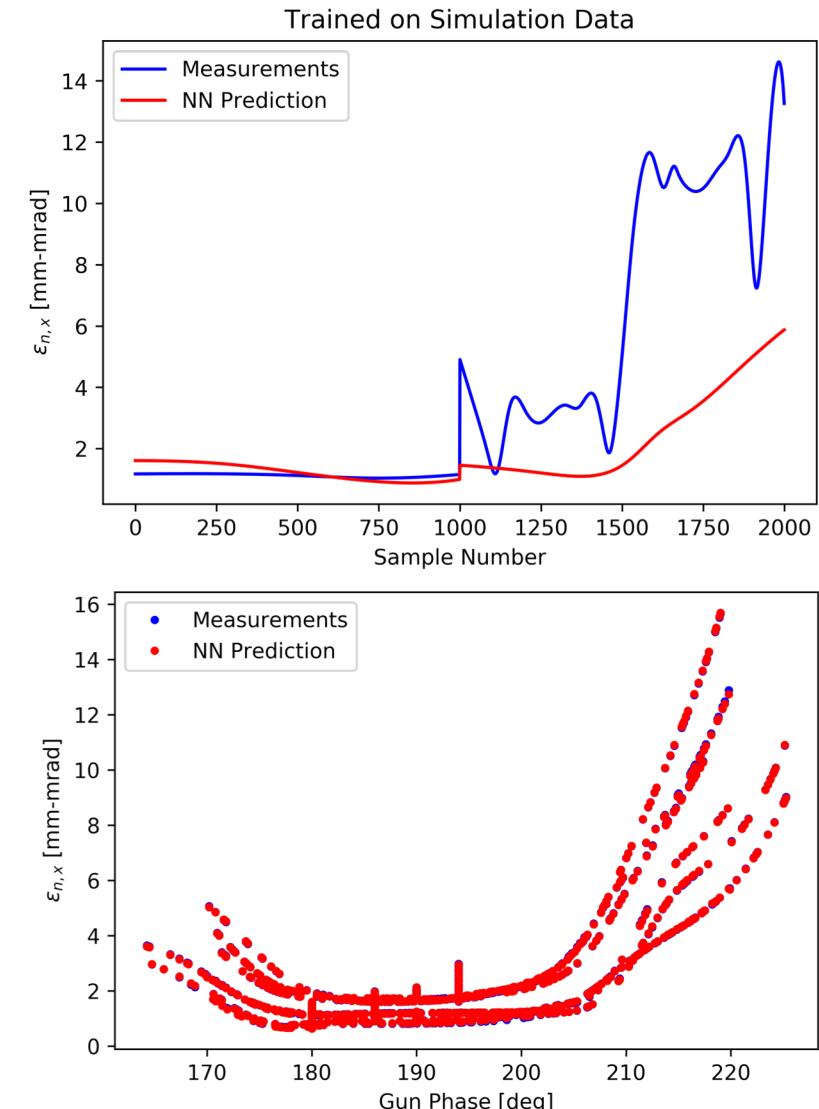


# Training on imperfect simulations: ML model only as good as the simulation relative to the real machine

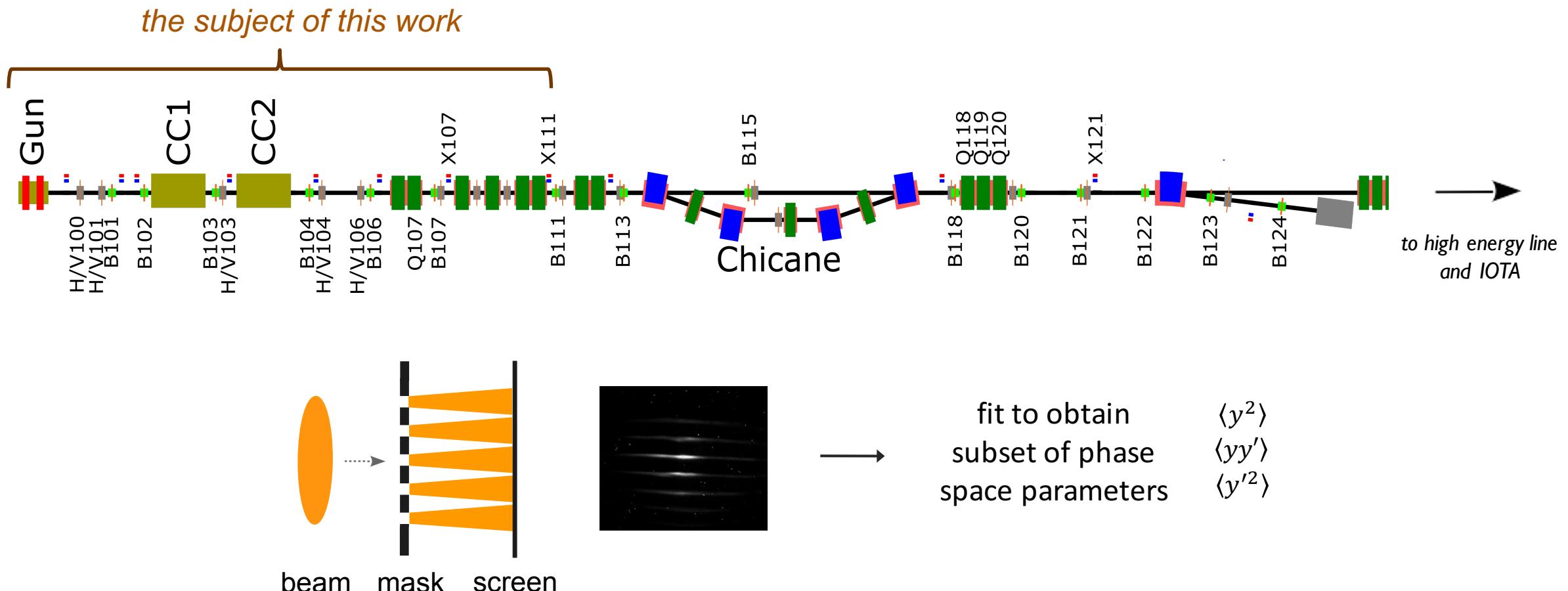
SLAC

Poor agreement between simulation and measured data for some input/output relationships, but good for others

→ can we update the NN model with measured data without disrupting the good predictions?



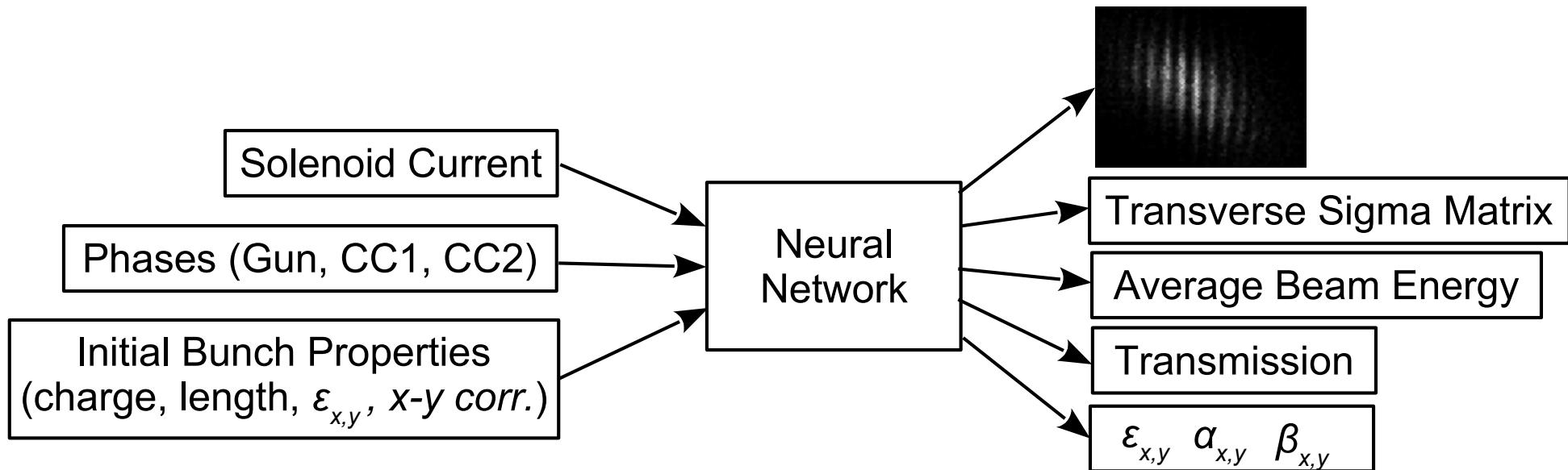
# Example from Fermilab's FAST Facility



Multi-slit emittance measurement after the second capture cavity (X107 to X111) takes 10-15 seconds  
*→ can we get an online prediction of what this intercepting diagnostic would show?*

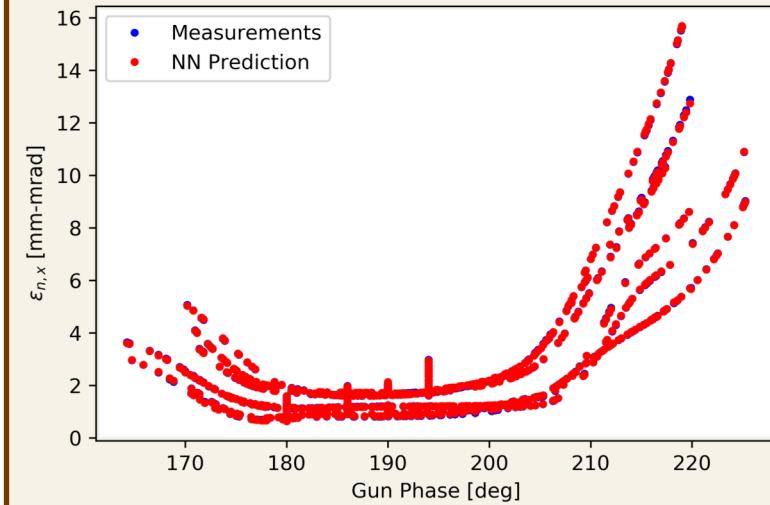
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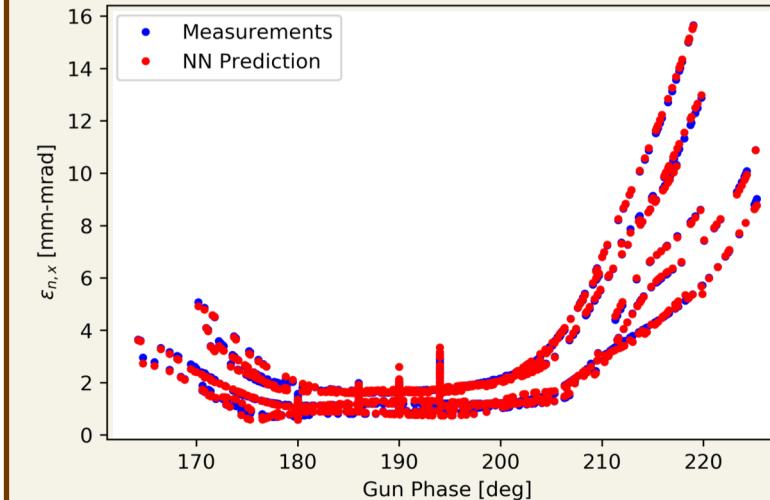


## Phase Scan

Trained on Simulation

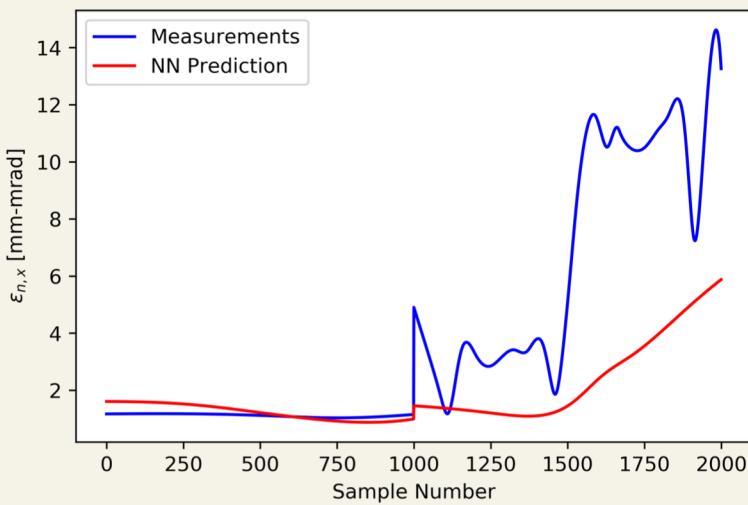


Trained on Simulation + Measured Data

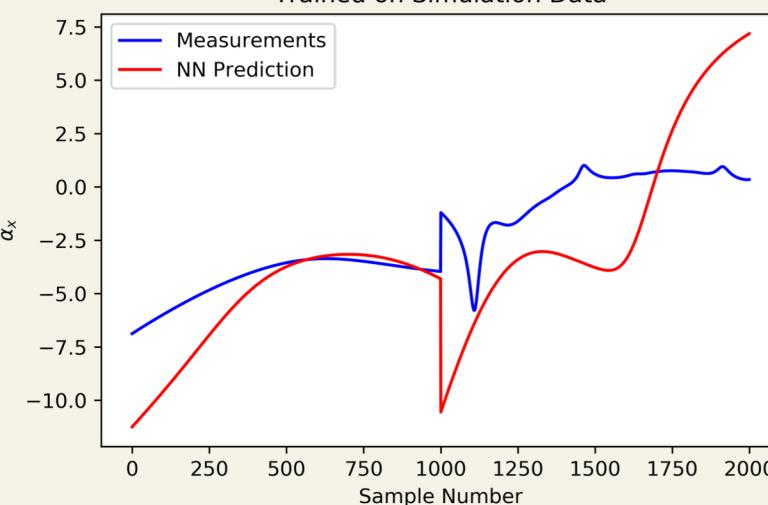


## Solenoid Scan

Trained on Simulation Data



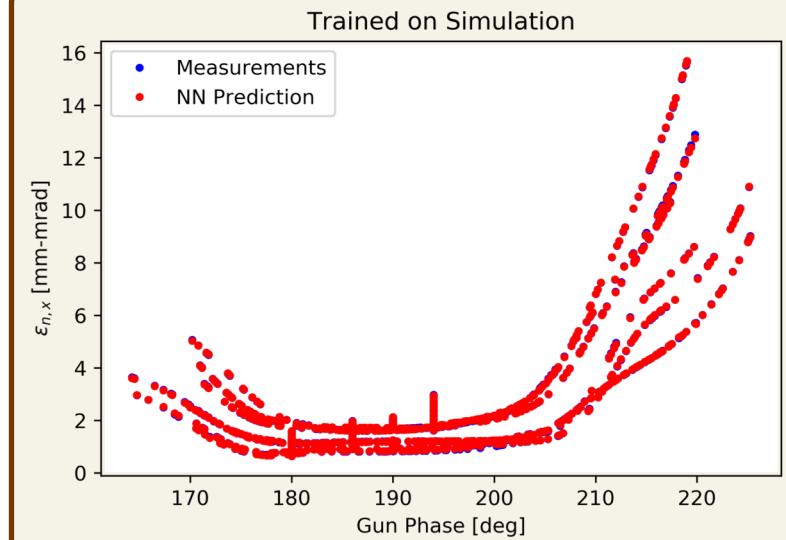
Trained on Simulation Data



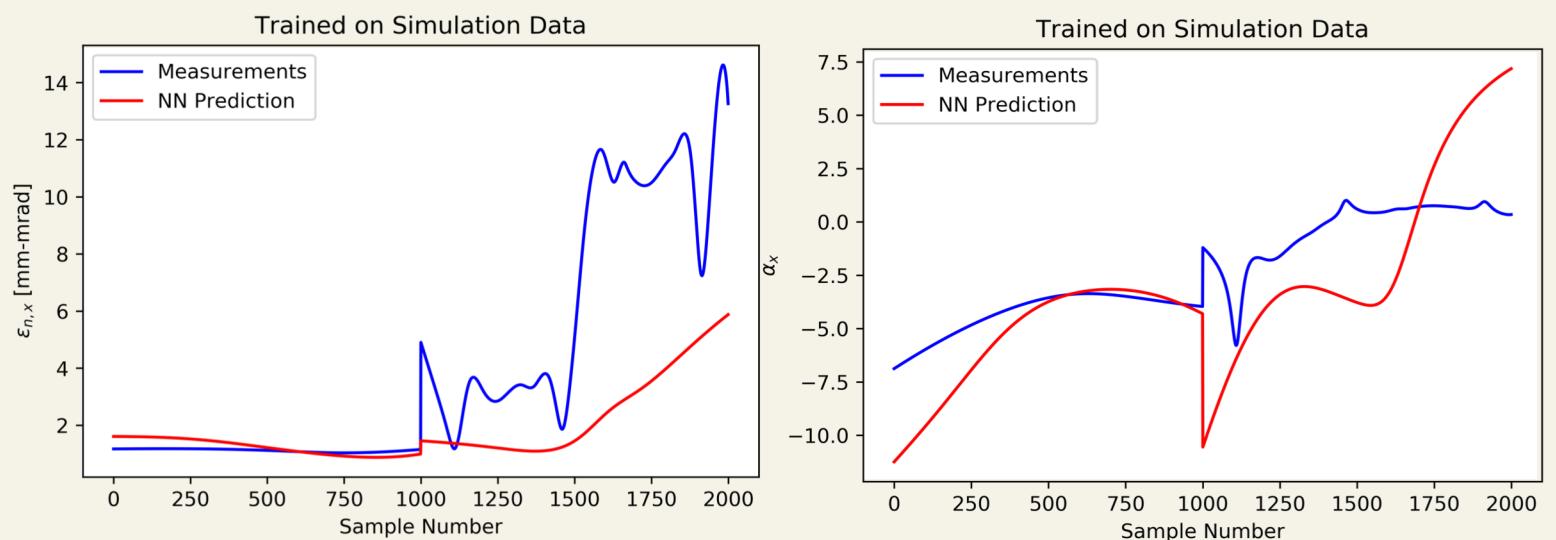
Simulation Data Only

Updated with Measured Data

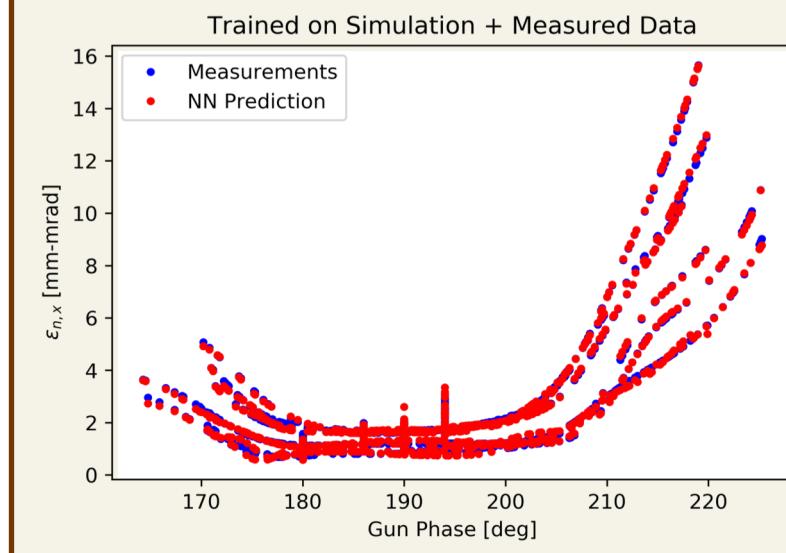
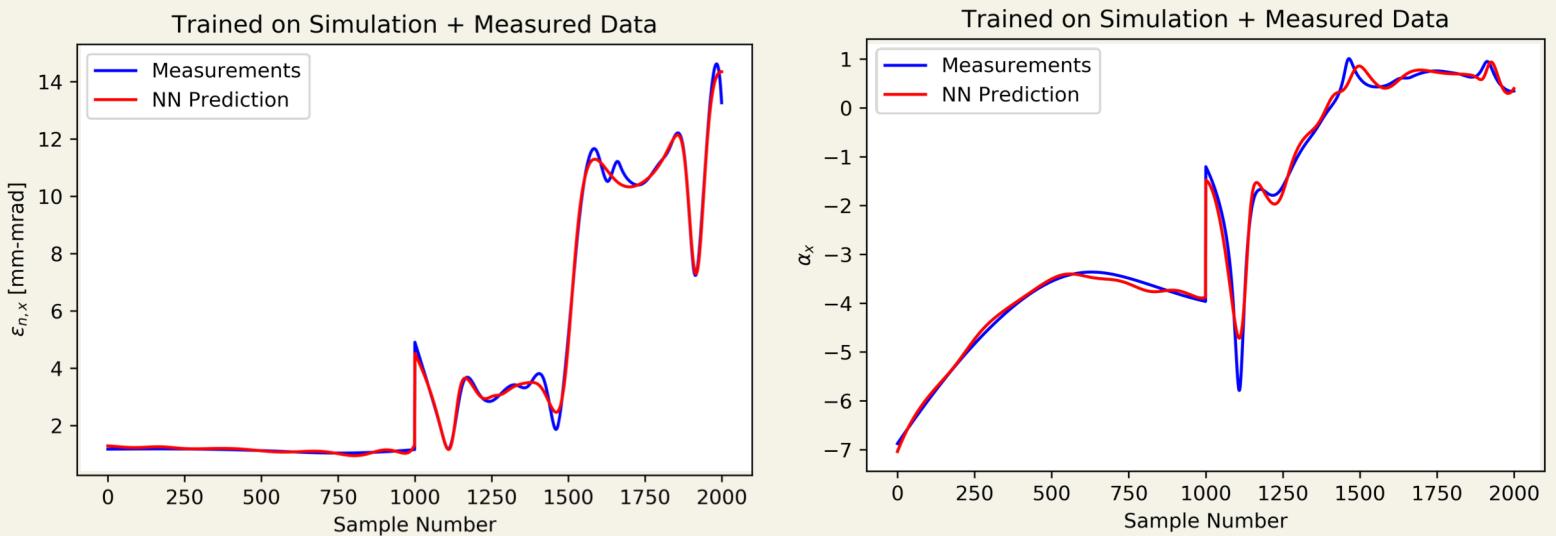
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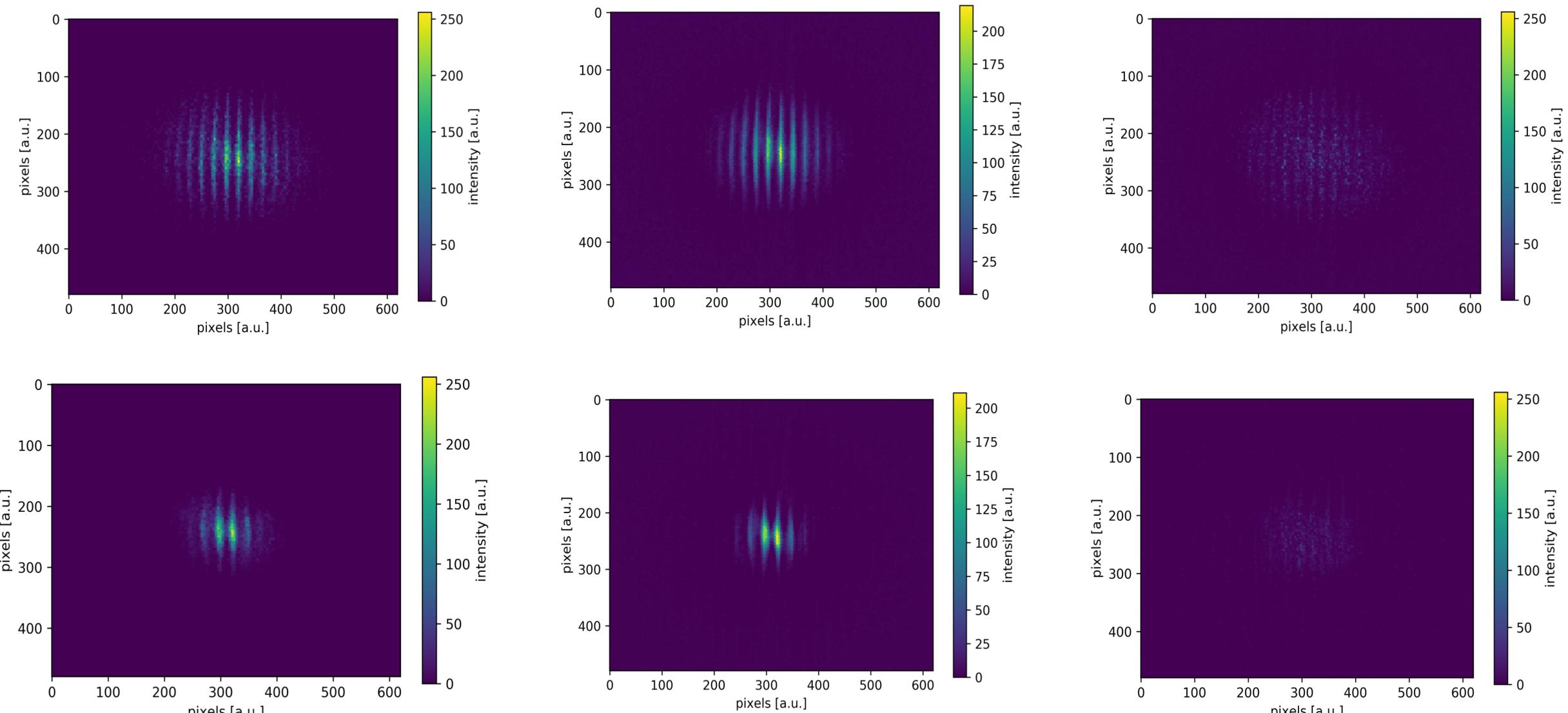


## Updated with Measured Data



Why bother with simulation at all? → Rough initial solution facilitates training with small amount of measured data

# Predicting Image Output Directly



Simulated

NN Predictions

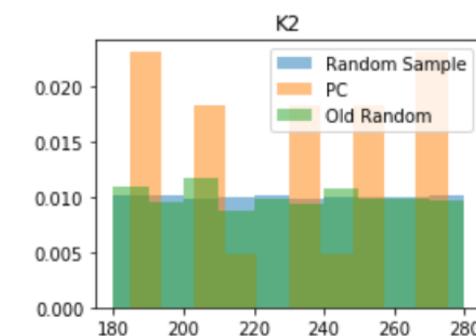
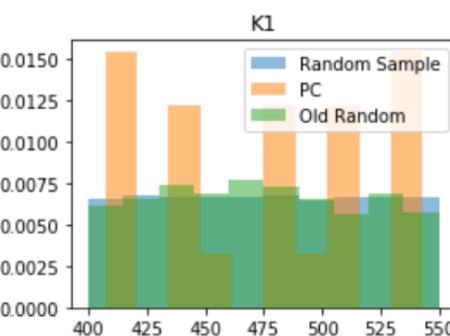
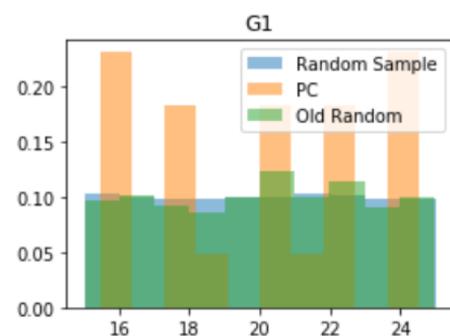
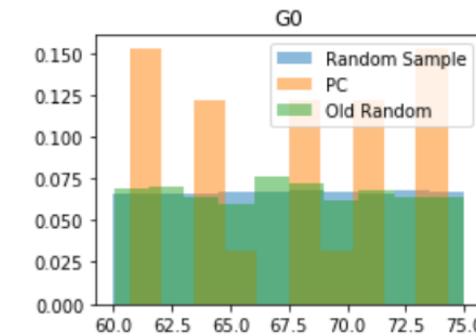
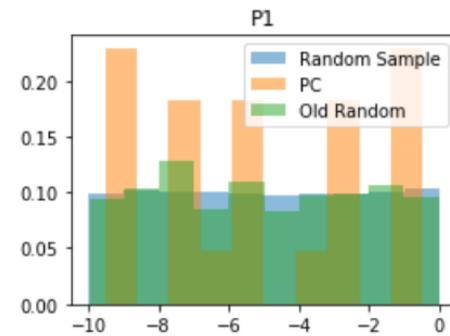
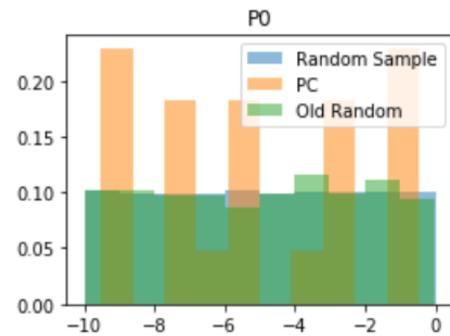
Difference

# Summary



- Results from AWA look promising with regard to using surrogate model in optimization
  - Results from FAST show promise in updating surrogate trained in simulation with measured data + predicting image output directly as a virtual diagnostic
  - Still needs more thorough study
    - How to ensure sampling is sufficient to capture behavior
    - Robustness with wider parameter ranges (*for AWA case didn't include cases with particle losses*)
    - Comparison with other models (*looked mainly at NN and PCe*)
    - Prediction uncertainty + sensitivity analysis (*get prediction uncertainty for 'free' with PCe model*)
- New initiative at SLAC (with D. Ratner, C. Mayes, N. Neveu) in surrogate modeling for LCLS, LCLS-II + ongoing collaboration between PSI and SLAC





Initial population: 656

Min population: 328

Cores used: 2624

Nodes (64 cores each): 41

Number of gens: 200

Total time: 16.2 hours

Core hours: ~42,510

Could in principle use measured data alone, but want to be efficient with machine time

→ use simulation data to fill out the training set

