



# INNOVATIVE SCHEMES OF PLASMA HEATING FOR FUTURE MULTIPLY-CHARGED IONS SOURCES: MODELING AND EXPERIMENTAL INVESTIGATION

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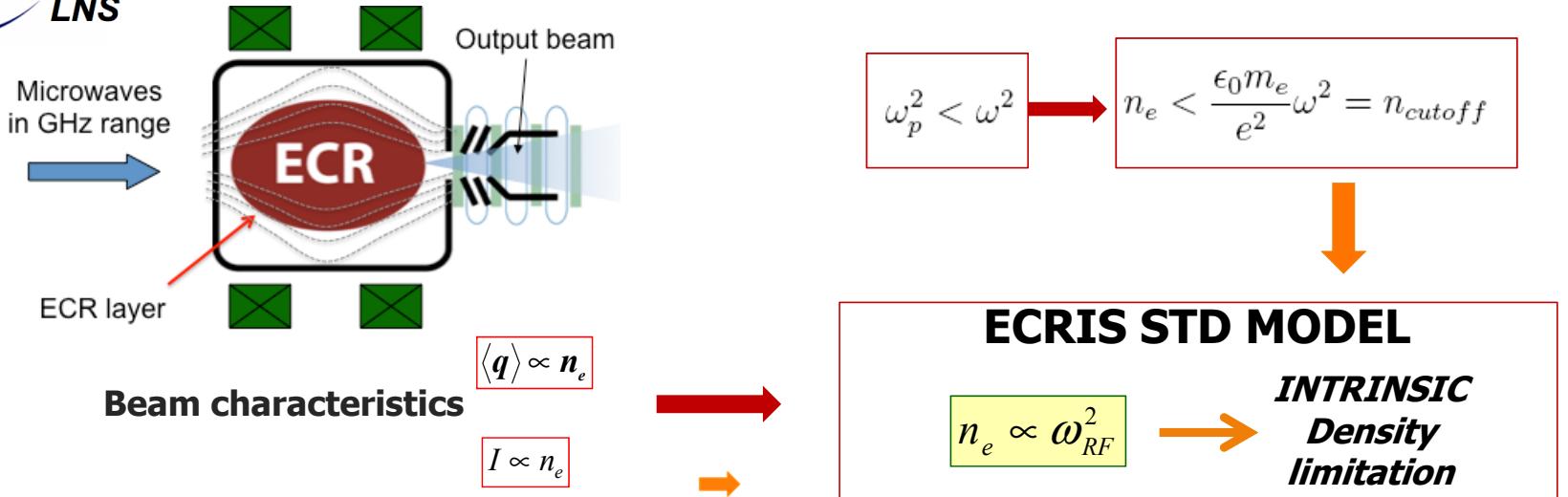
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# *Outline*

- **Motivations:**
  - Overcoming the actual limitation of ECRIS
- **Introduction:**
  - The Electron Bernstein waves (generation and absorption)
- **Experimental apparatus:**
  - The plasma reactor;
  - The diagnostics;
- **Experimental evidences:**
  - Overcome of the density cutoff (LP and interferometric techniques);
  - Ancillaries phenomena;
- **New perspectives at INFN-LNS:**
  - The FPT and launcher;
- **Conclusions**

# Overcoming the actual limit of ECRIS



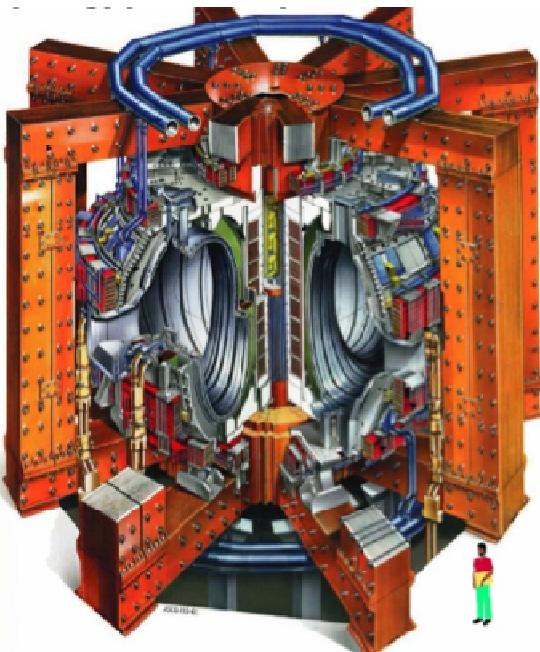
1. Higher Frequency Generators to increase the plasma density;
2. Higher Magnetic Fields to make longer the ions confining time;

**Brute force cannot be anymore used** because of technological reasons (magnets, hot electrons generations, plasma overheating, cooling, ...)

- Optimization of wave to plasma coupling
- Alternative heating schemes

## *A look in the word of the fusion plasmas*

Jet tokamak for nuclear fusion (energy production)



Also in the field of fusion, higher and higher density plasmas are required to satisfy the **Lawson criterion**;

Overdense plasmas have been generated by using Electron Static waves, and, in particular, Electron Bernstein Waves, instead of EM waves;

**10 times overdense plasma** have been generated at Greiswald (Ge) stellarator by using EBW heating

Y. Y. Podoba *et al.*, *Physical Review Letters* 98, 255003, 2007.

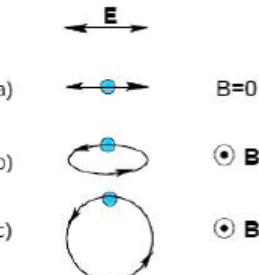
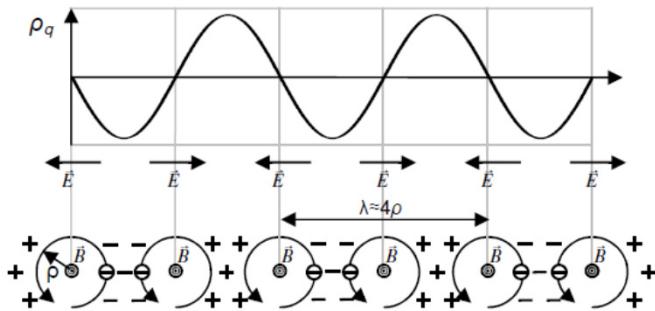
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# Bernstein waves

EBW consists in phase bunching of electrons in a magnetized plasma.

They generalized Langmuir waves

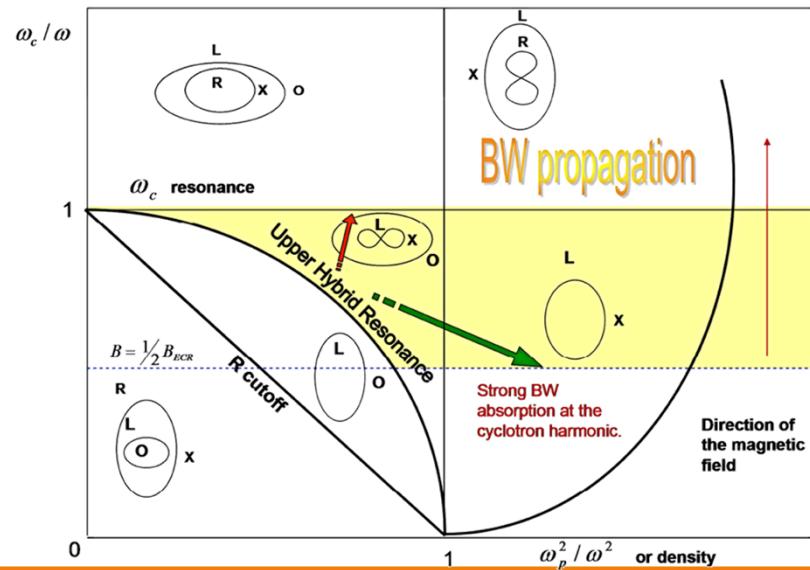


No Density cutoff

Resonance at cyclotron harmonics

Excited at UHR by X-waves

The BW propagation region



## From EM waves to EBW

### 1. High field side launch

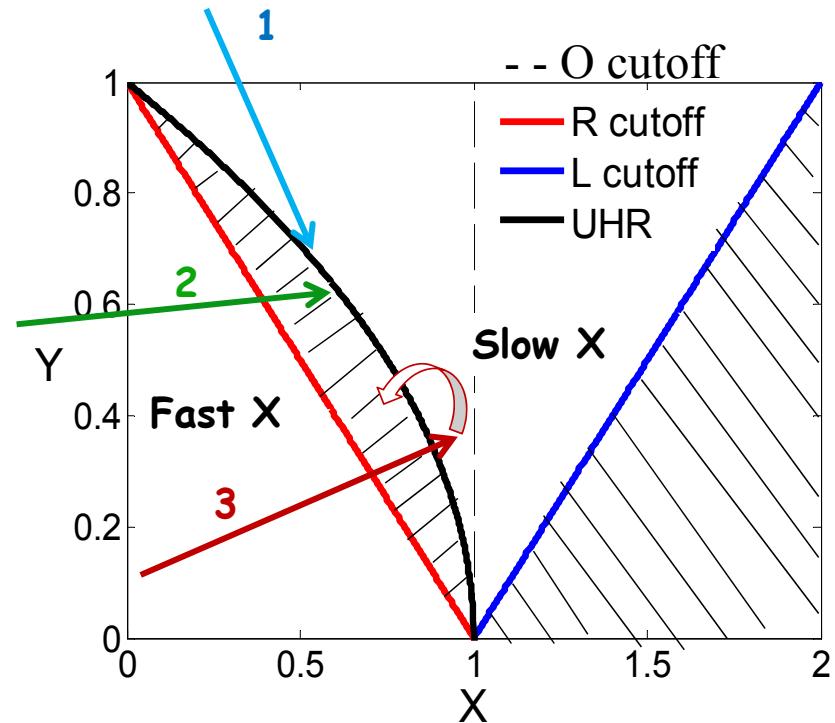
S-X wave is launched from high magnetic field to UHR

### 2. Direct FX-B conversion

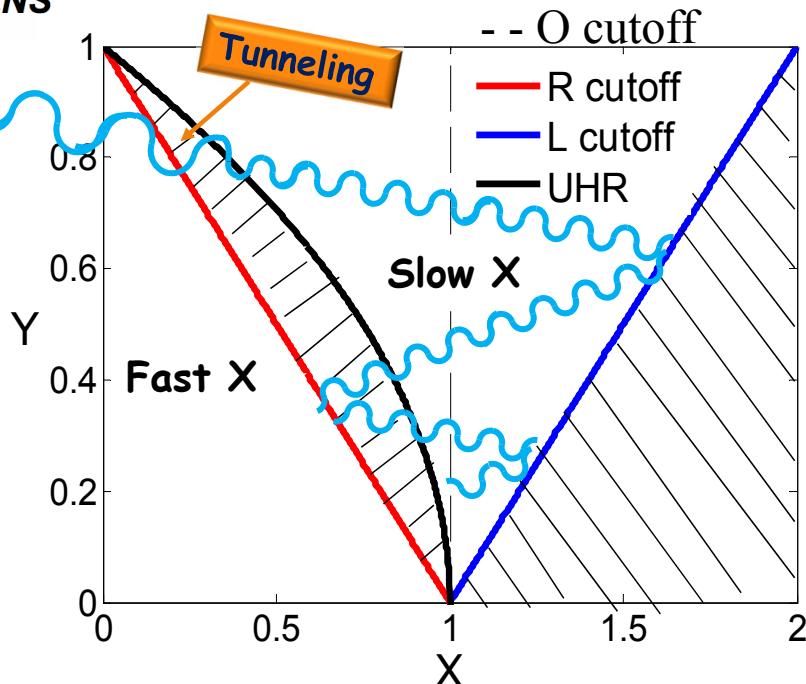
F-X tunnels through R cutoff and reaches UHR

### 3. O-SX-B conversion

An O wave is launched.  
O-SX conversion at O cutoff,  
S-X reach UHR



## Direct FX-B conversion



Conversion factor from FX to B wave:

$$C_{FX-B} = 4e^{-\pi\eta}(1 - e^{-\pi\eta}) \cos\left(\frac{\phi}{2} + \theta\right)$$

$$\eta = 294 |BL_n|^{UHR}$$

$$L_n = \frac{n_e}{\partial n_e / \partial x}$$

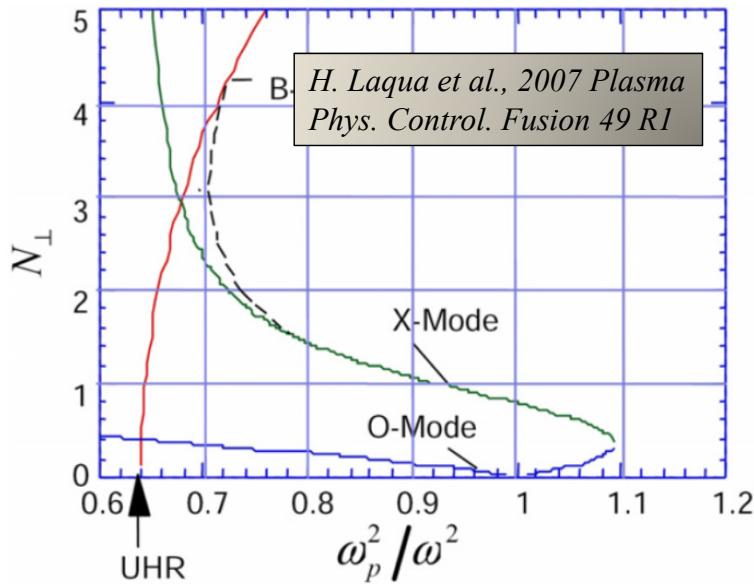
$$|C_{FX-B}|=1 \text{ when } \eta \approx 0.22; \quad L_n \approx \frac{0.75}{B} [\text{mm}]$$

FX-B conversion optimized for  
steep density gradient,  
low magnetic field and low frequency:

Jones B et al 2003 Phys. Rev. Lett **90** 165001 [FX-B conversion in tokamak](#)

Chattopadhyay P K et al., 2002 Phys. Plasma **9** 752–5 [FX-B conversion in reversed pinch](#)

## O-SX-B conversion



Conversion factor from O to SX wave:

$$T(N_{||}, N_y) = \exp \left\{ -\pi k_0 L_n \sqrt{\frac{Y}{2}} [2(1+Y)(N_{||,\text{opt}} - N_{||})^2 + N_y^2] \right\}$$

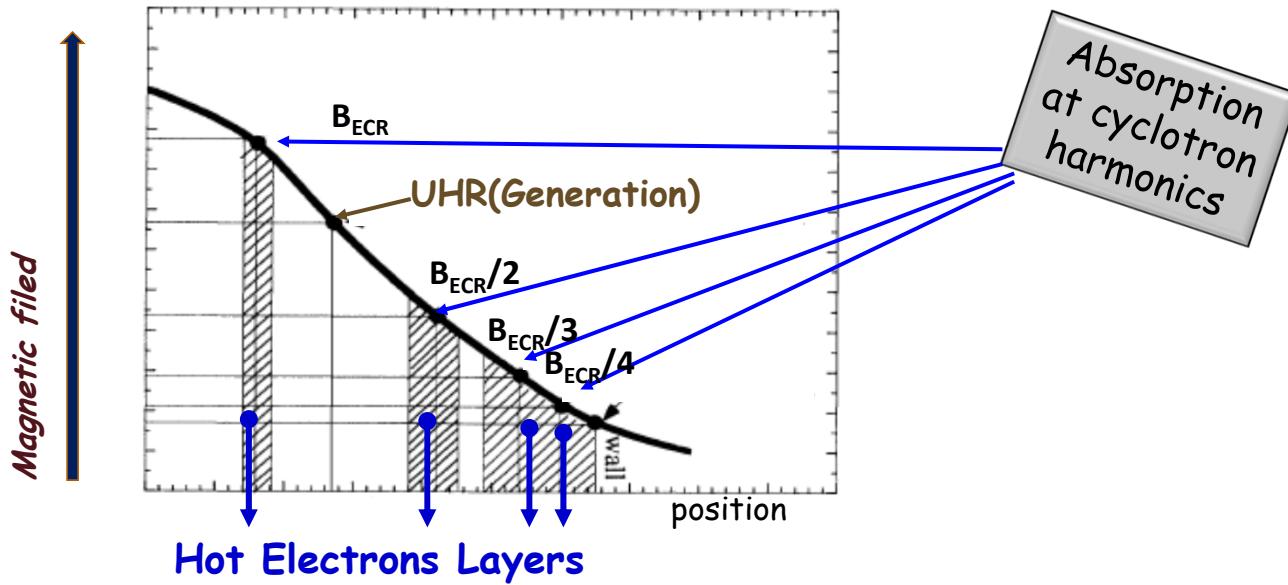
O-SX-B conversion optimized for  
**flat density profile and  $\vartheta_{\text{launch.}} = \vartheta_{\text{opt.}}$**

$$\sin^2 \theta_{\text{opt}} = N_{||,\text{opt}}^2 = \frac{Y}{1+Y} \quad L_n \approx \frac{50-1000}{f \text{ [GHz]}} \text{ [mm]}$$

**Optimal launching angle and higher frequency required**

Y. Y. Podoba, et al., Physical Review Letters 98 (2007) 255003. [O-SX-B conversion in stellarator](#)

## Absorption of Bernstein waves

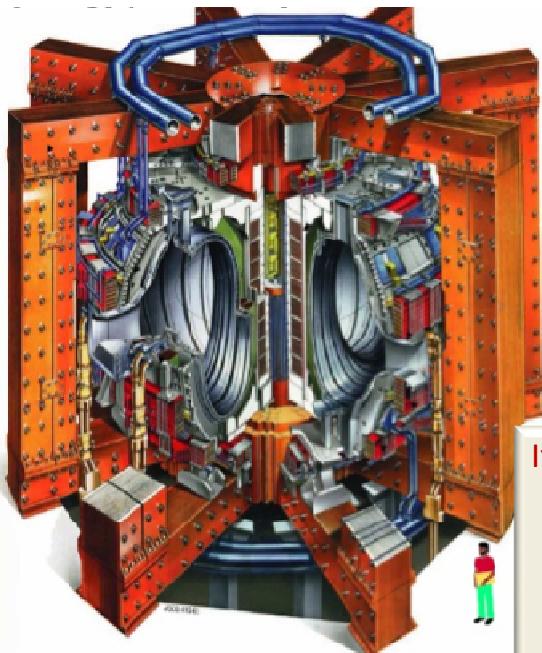


High energy electrons → X ray emission

Non linear behaviour

# The main challenge: “downsizing”

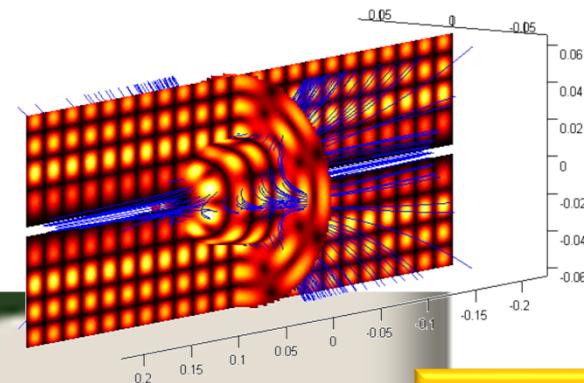
**Jet tokamak for nuclear fusion (energy production)**



$$\lambda \ll L$$

It is possible to launch E.M waves with optimized angles (optical approximation) for increase EM-to EBW conversion

**ECR Ion Source: extremely compact plasma machine**



$$\lambda \approx L$$

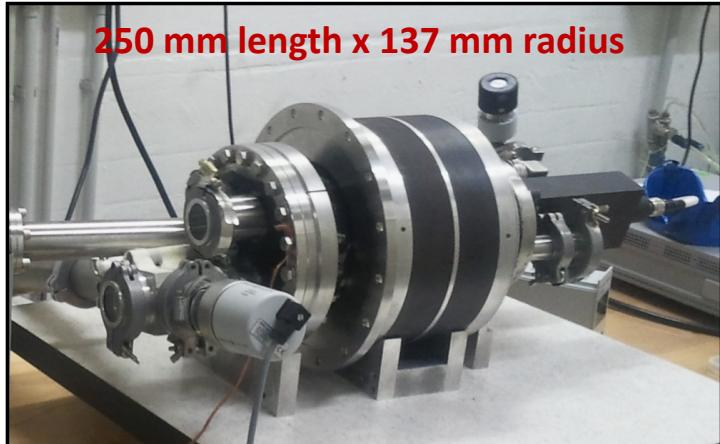
**Modal dominated** cavity.  
Wave Launching is not possible («untill now»)

**Self-tuning** needed to allows EBW heating

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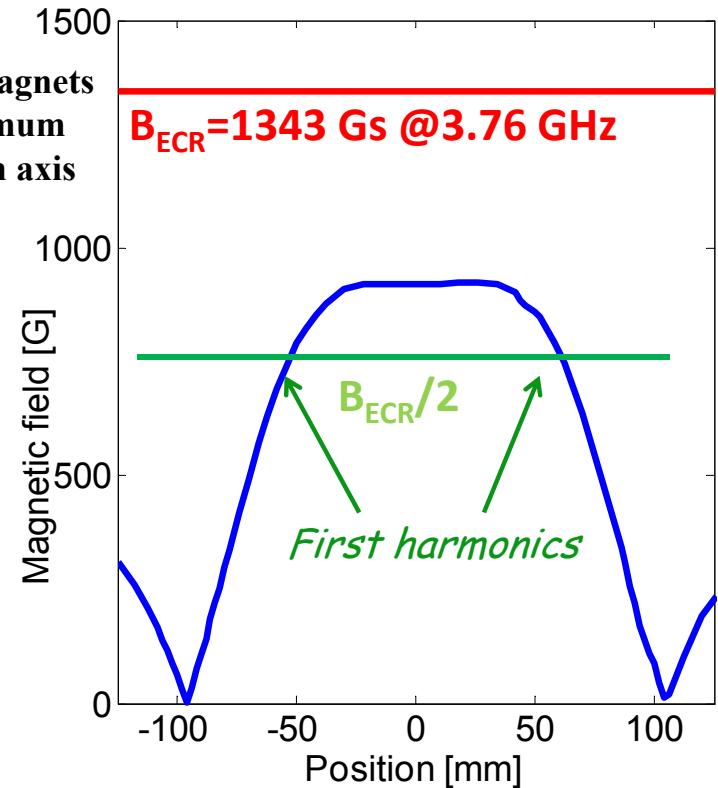
## Set-up for EBW plasma generation



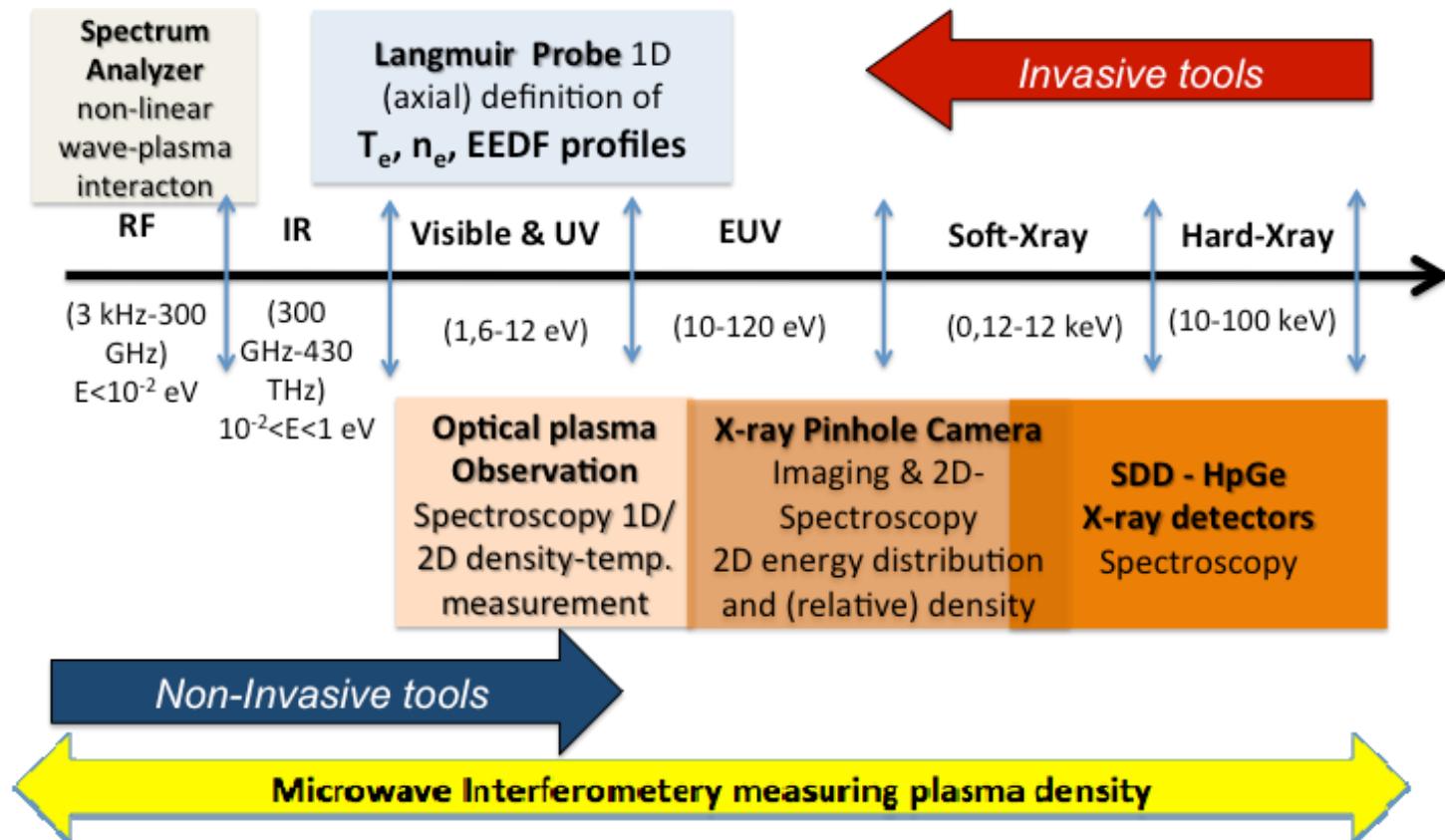
These devices typically work at **2.45 GHz** for proton generation (**ECR @ 875 Gs**),  
 Plasma reactor has been operated at **3.76 GHz** to focus on EBWs

**No ECR possible**

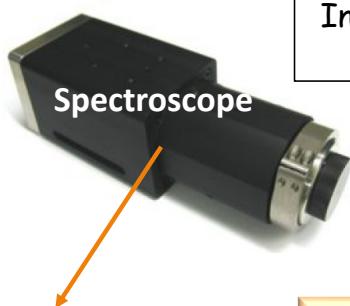
The permanent magnets produce a maximum field of  $\sim 0.1$  T on axis



# Plasma diagnostics methods



# Plasma diagnostics tools



Information about ion waves and ion heating

Information about total electron density and electron density distribution

Information about expected Current, emittance, and brilliance

Information about Electron Energy Distribution Function and 2D X-ray distribution.

X ray detectors



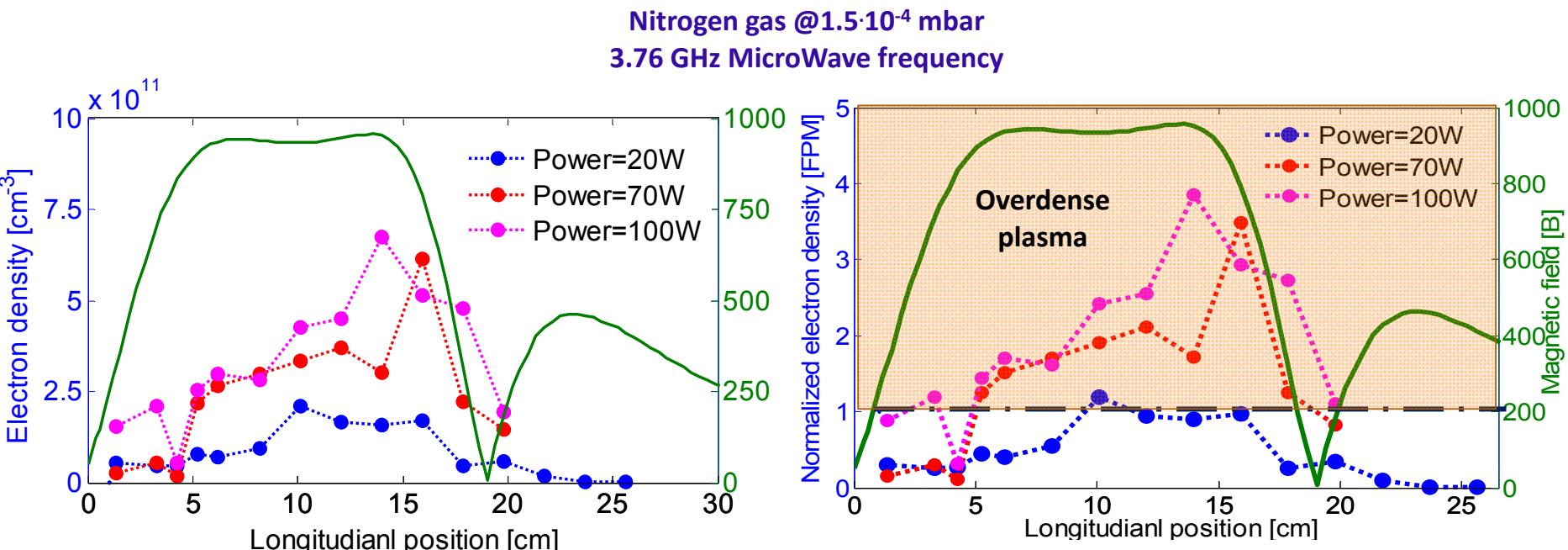
X ray imaging CCD camera



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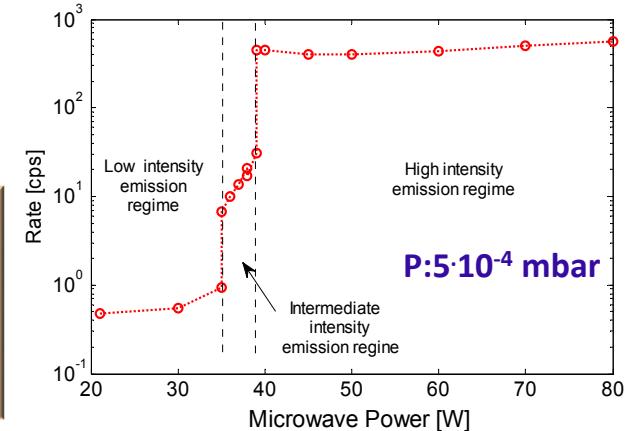
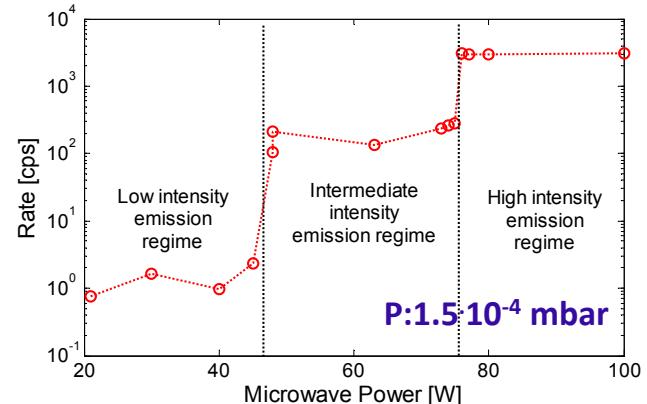
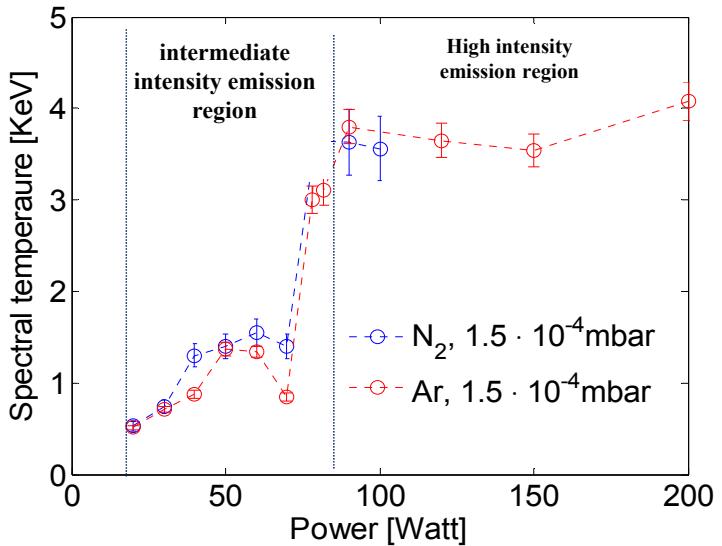
# Results from LP measurements-1



Density cut-off @ 3.76 GHz =  $1.75 \cdot 10^{11}$  cm<sup>-3</sup>

**different models** validated in **high density**  
( $10^{10}$ - $10^{12}$  cm<sup>-3</sup>) **magnetized plasmas (RF)**

# X ray measurements from SDD

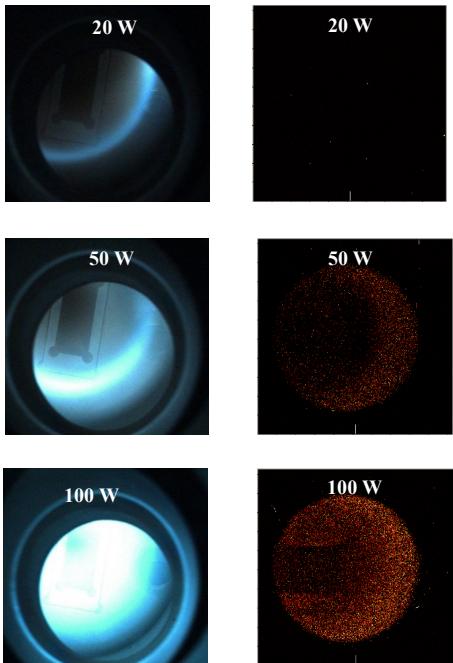


- Spectral temperature increases from  $\approx 1$  to 4 keV with MW power;
- Three emission regimes identified, depending on power and pressure;

# From optical to X ray inspection

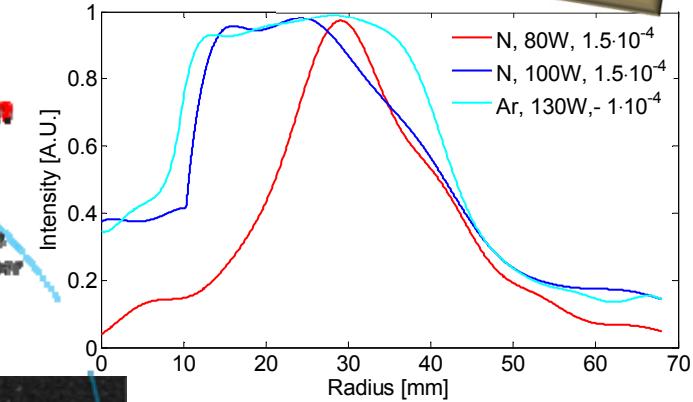
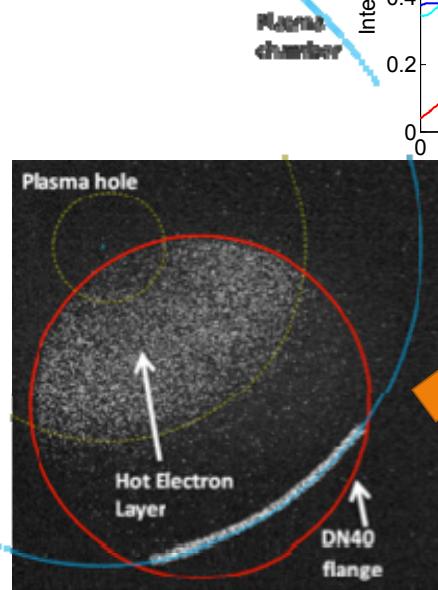
R. Racz talk: MODO01  
for more information

## Imaging in optical and X-ray domain



X ray imaging evidences that the pumping power is deposited in the annulus where the energetic electrons are generated

**Transversal reconstruction of the plasma structure in X-ray domain (1-30 keV).**

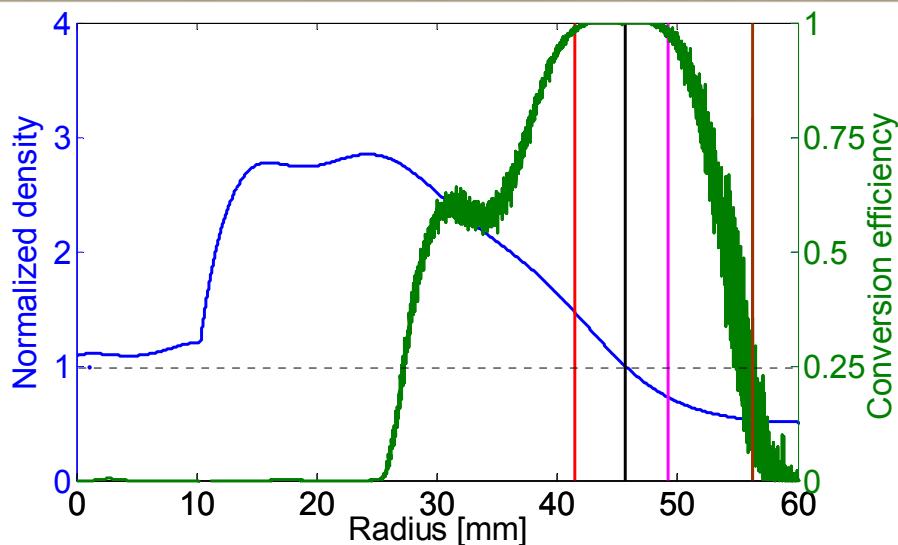


**Radial density reconstruction**

Not yet done

## Radial profile and FX-B conversion

*The knowledge of the radial profile allows us to calculate the absolute value of the conversion factor FX-B*



Density gradient assumes the value which maximizes FX-B conversion

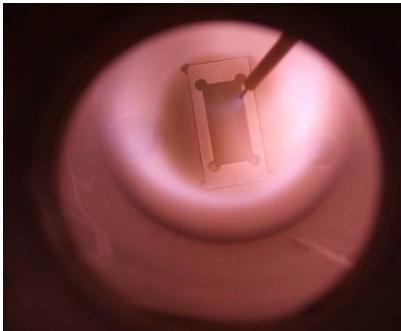
$$\eta = 294 |BL_n|^{UHR}$$

$$|C_{FX-B}| = 4e^{-\pi\eta}(1 - e^{-\pi\eta})$$

Radial gradient is the optimal one to allow FX-B conversion

FX-B conversion is the best candidate to explain the generation of overdense plasma in the plasma reactor

# From LP diagnostics to interferometry



## LP diagnostics

- i) Local plasma parameters measurement ✓
- ii) the density measurement is "model-dependent";
- iii) LP undergoes to plasma damage when density overcomes  $10^{12} \text{ cm}^{-3}$ ;
- iv) LP perturbs the plasma chamber, in particular by an electromagnetic point of view.
- v) Only cold electron population is characterized



## Interferometry

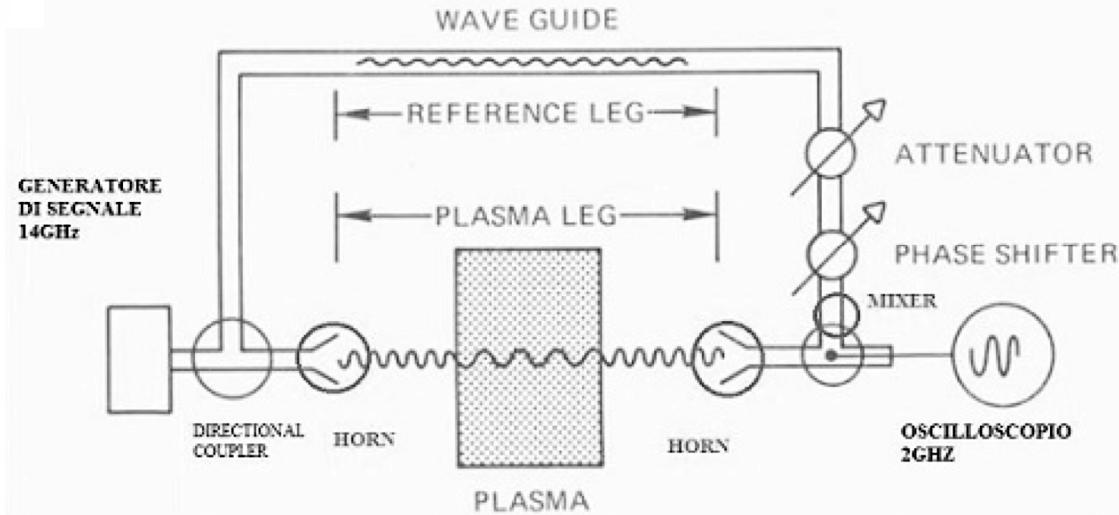


- i) Allows whole plasma density probing;
- ii) Non-invasive diagnostics;
- iii) Well-known technique; ✓
- iv) Probing wavelength  $\lambda \sim L$  (*plasma chamber dimension*)

Modal behaviour of the probing wave



# Classical interferometry for plasmas



Classical Scheme of  
Interferometer

$$\Delta\varphi = \frac{\omega}{c} \left[ 1 - \left( 1 - \frac{\omega_p^2}{\omega^2} \right)^{\frac{1}{2}} \right] L$$

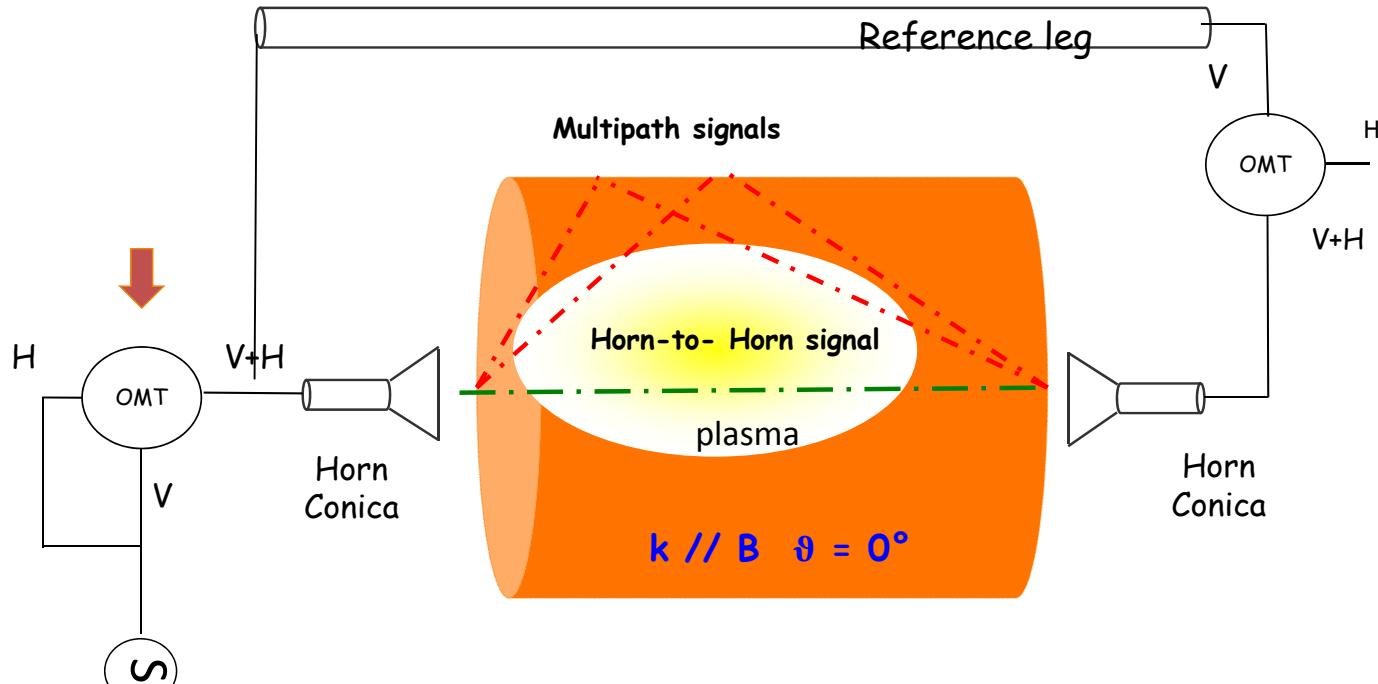
In plasmas the phase variation depends on the "natural plasma frequency"

$$\omega_p^2 = \frac{4\pi n e^2}{m \epsilon_0}$$

The plasma frequency depends on the density

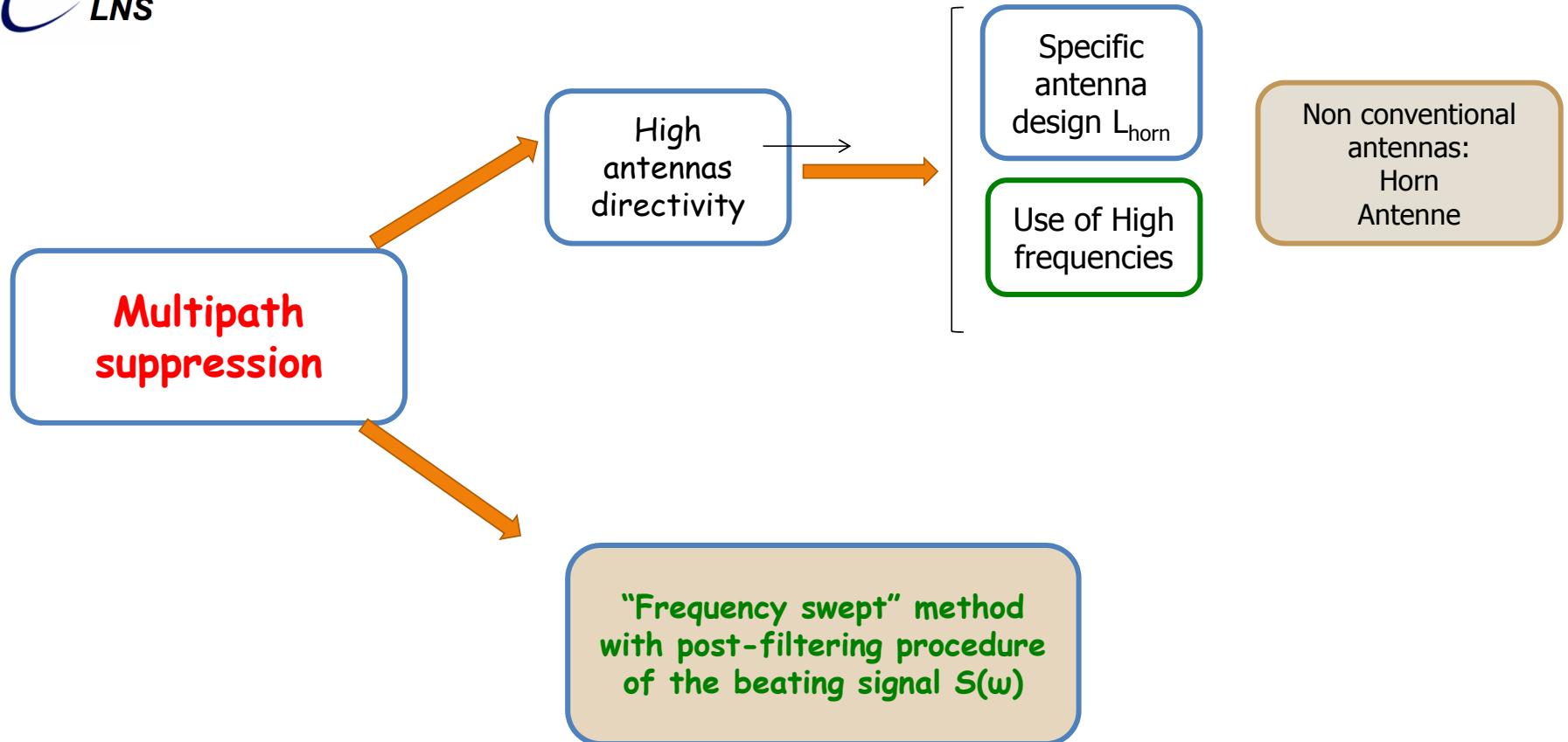
Microwave interferometry measures plasma density through a measurement of phase shift.

## Criticality: multi-paths introduce spurious signals in small devices



Really difficult to use classical microwave interferometry

## Design challenges



## Design of a “frequency swept” interferometer

The method is based on the frequency shift of the beating signal given by the superposition of the reference leg plus the plasma leg waves.

The beating frequency can be fixed as long as the ramp relation “freq. vs. time” is chosen in the following way:

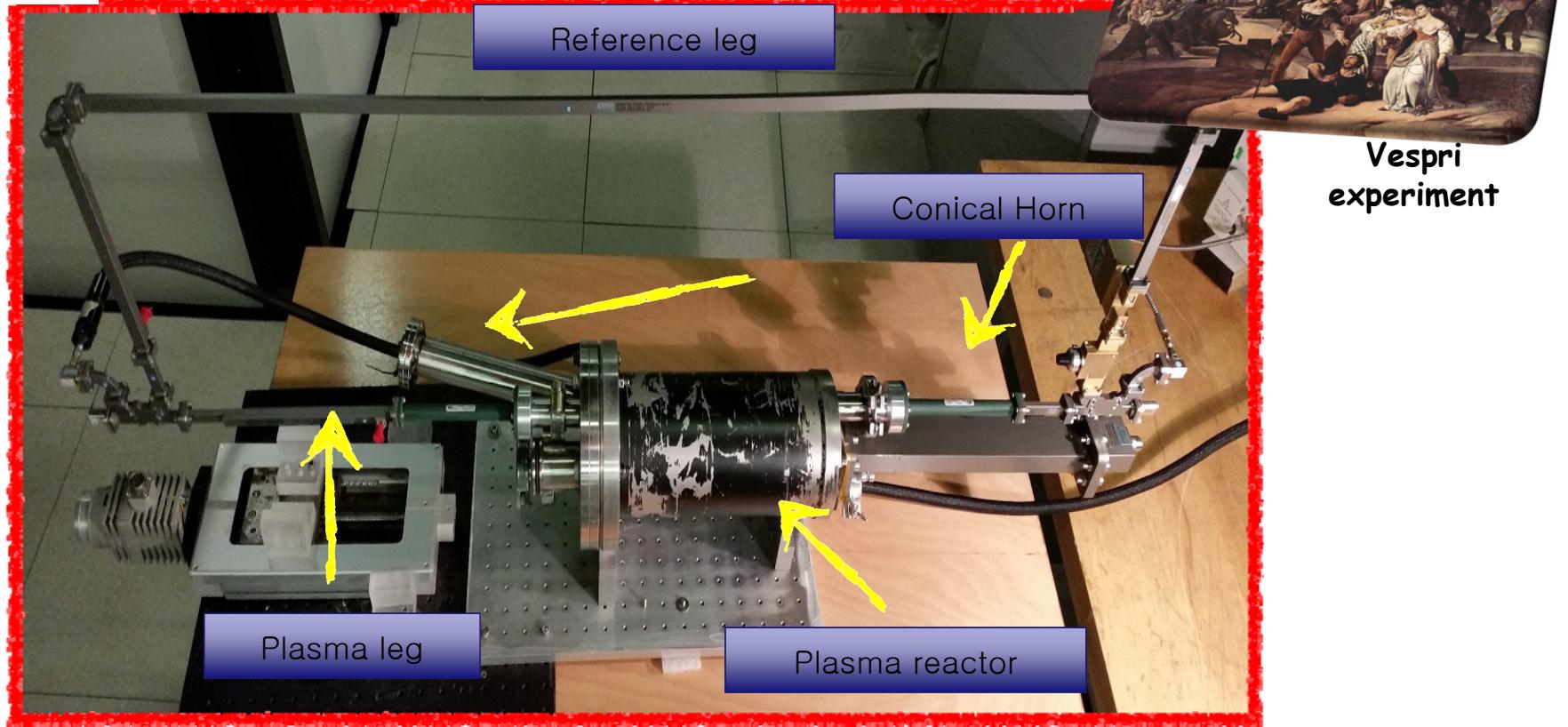
$$\omega_{B_0} = \frac{\partial \omega}{\partial t} \left( \Delta L \frac{\partial k_g}{\partial \omega} + \frac{2a}{c} \right) = \text{constant}$$

$$S(\omega) \propto 2A^2 \cos^2 \left\{ \left[ \Delta L \sqrt{\omega^2 - \omega_c^2} \right. \right.$$

$$\left. \left. + \int_{-a}^a \sqrt{\omega^2 - \omega_p^2(l)} dl \right] \right/ 2c \right\}$$

The presence of plasma (accounted by the plasma frequency  $\omega_p$ ) only shifts the beating frequency, while multipath introduce spurious components in the spectrum

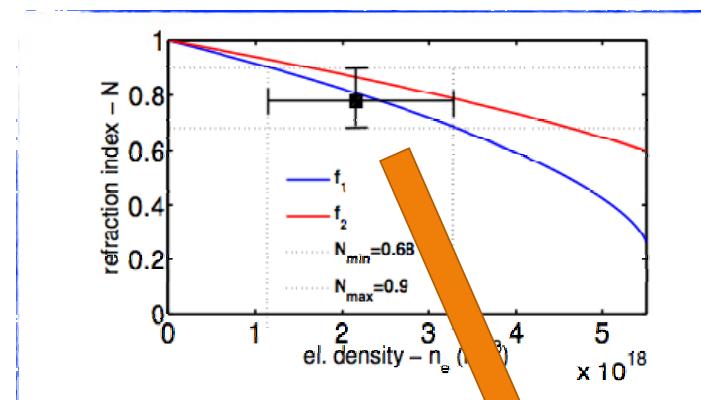
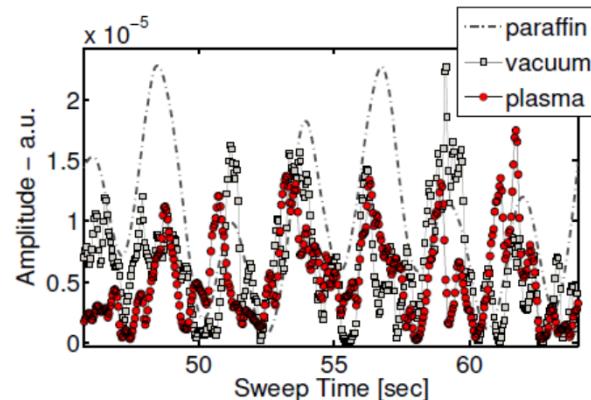
## Experimental setup @ INFN-LNS



# Interferometry in ECRIS:

the measurement by Freq. Sweep method

Courtesy of G. Torrisi



Medium	$P_{RF}$ [W]	$\omega_{beat}$ [rad/s]	Refr. Index $N$
Empty cavity	0	$2\pi * 0.397$	1
Bulk paraffin	0	$2\pi * 0.380$	1.43
Plasma	150	$2\pi * 0.407$	$0.792 \pm 0.11$

By literature  $N_{\text{paraffin}} = 1.45$

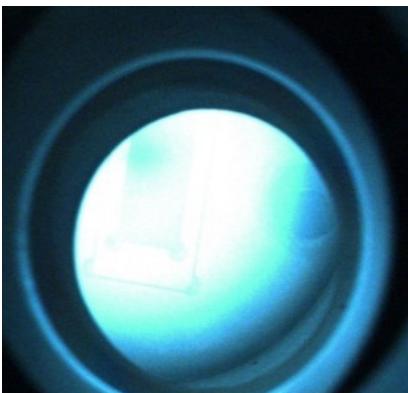
Interferometric method:  
 $n_e = (2 \pm 1) \cdot 10^{12} \text{ cm}^{-3}$

## Remarks of density measurements on plasma reactor

**Overdense** plasma generated by means of FX-B conversion:

- **4 times overdense** according to LP diagnostics
- **10 times overdense** according to interferometry

Electron density ( $\text{cm}^{-3}$ )	
Interferometry	$(2.1 \pm 1) \cdot 10^{12}$
LP diagnostics	$(5.5 \pm 1.5) \cdot 10^{11}$
$n_{\text{cut-off}}$ (at 3.76 GHz)	$1.75 \cdot 10^{11}$



This result has been obtained in a small plasma reactor:

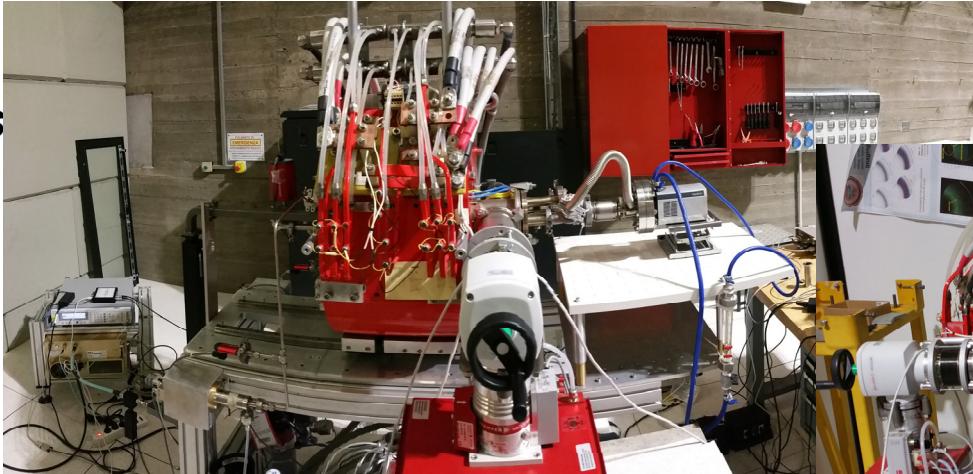
- **No chance to modify magnetic field;**
- **No chance to launch the wave with wanted angles (cavity mode dominated system);**
- **Modal dependent conversion: Fine frequency tuning needed to couple X-mode to EBW;**



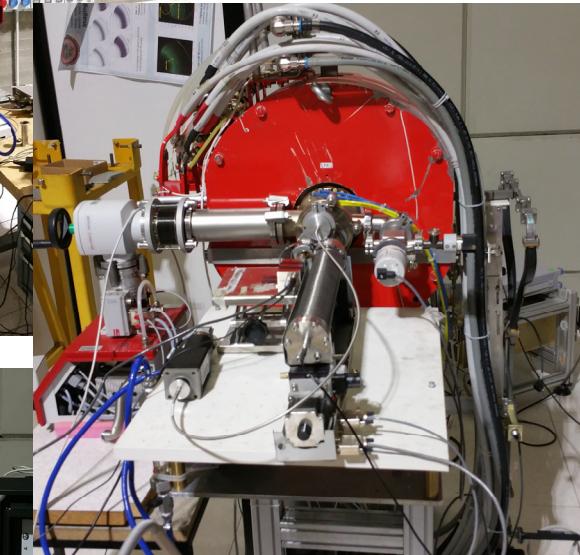
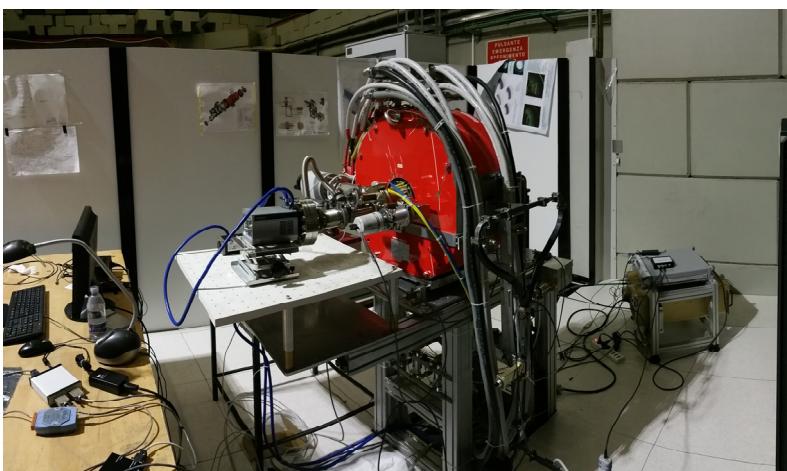
We want to move from a cavity modes dominated system towards a microwave orientend system:



The New Flexible Plasma Trap and launcher at INFN-LNS

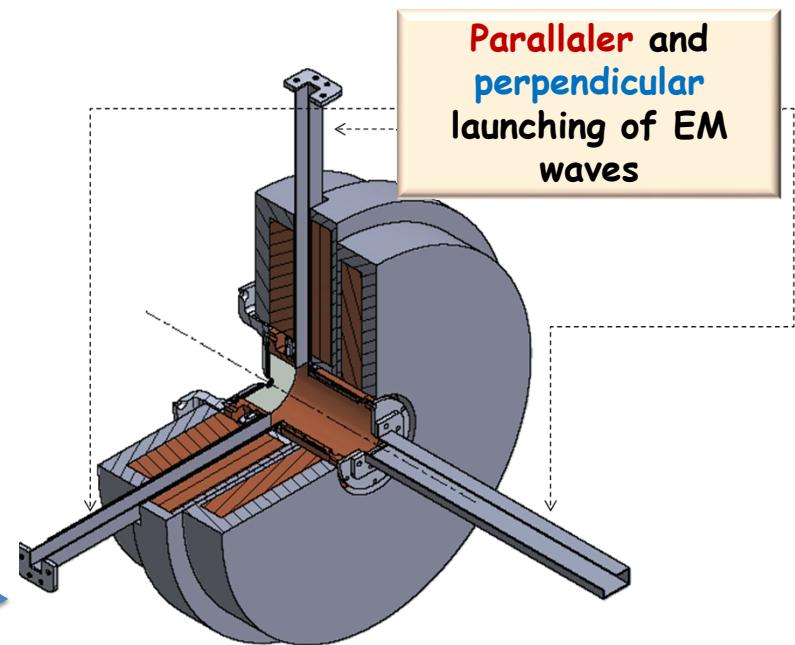
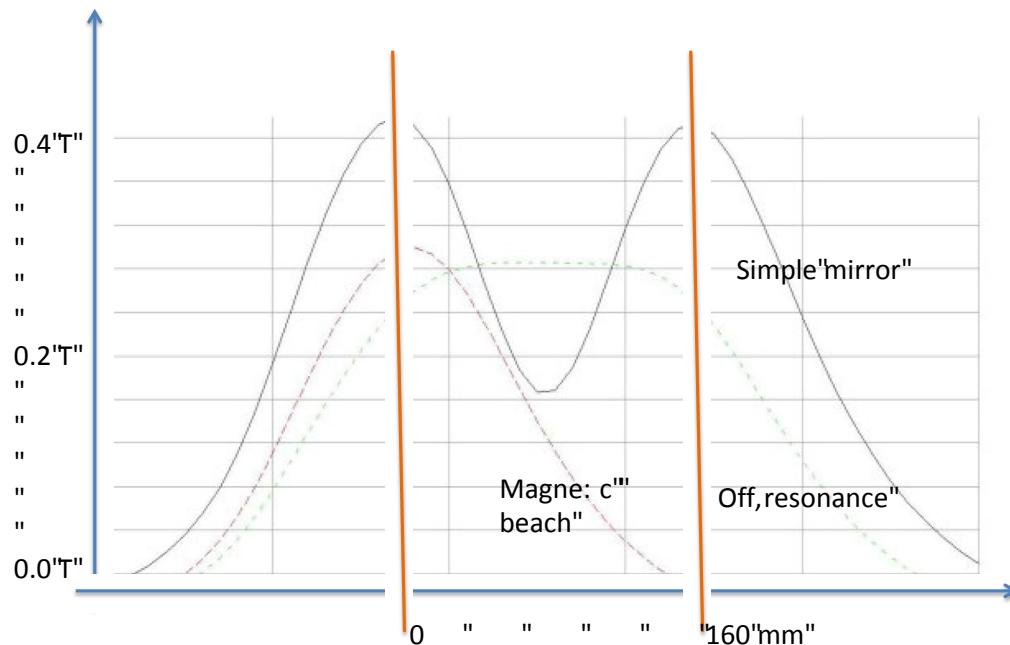


Flexible  
Plasma  
Trap



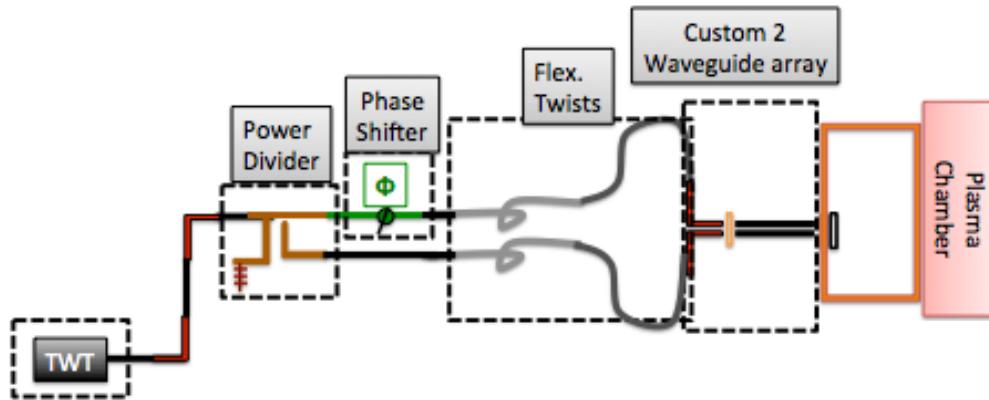
Now operating  
at LNS

## The FPT magnetic field and the microwave injections



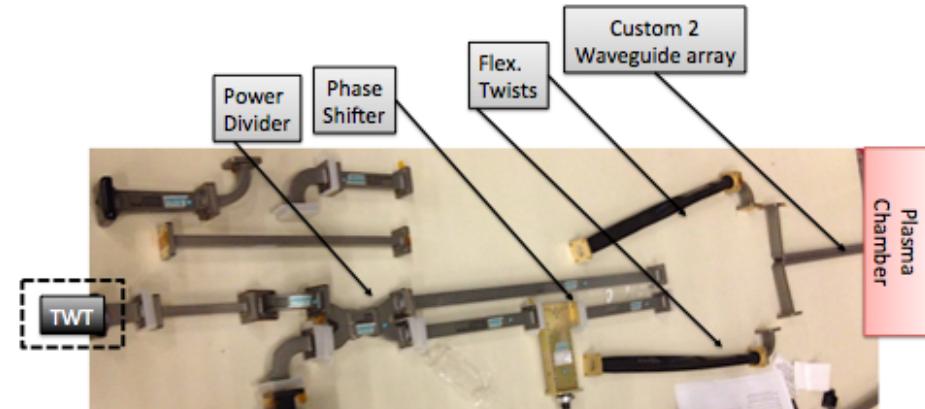
# The launcher: mechanical Implementation at INFN-LNS

## Phased waveguide array of two elements

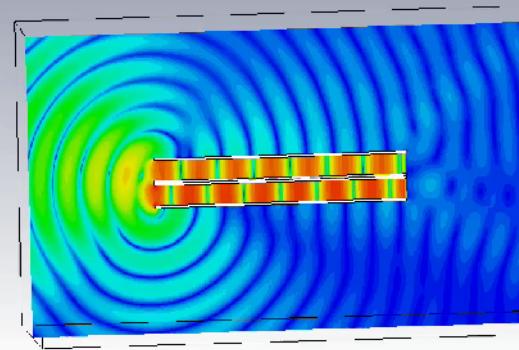
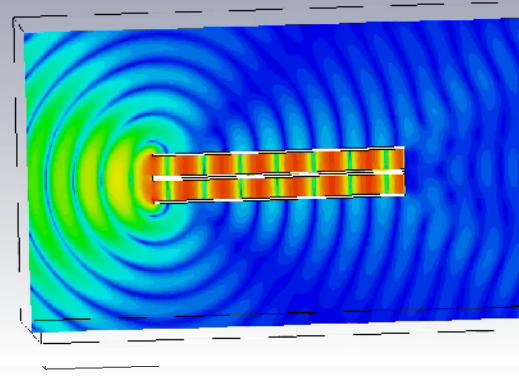
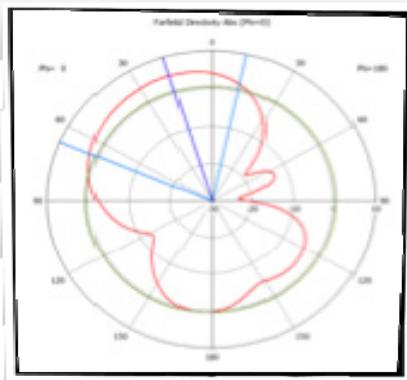
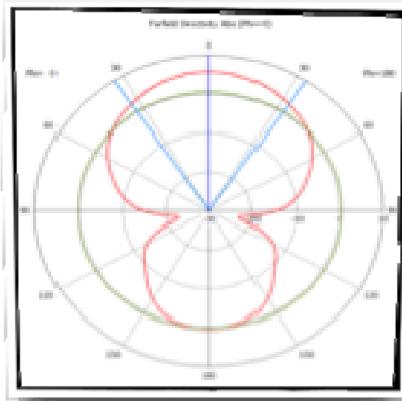


microwave launcher:  
proposed setup

- TWT ampl. 13.75-14.5 GHz
- Power divider
- Phase shifters
- flexible waveguides



# “Microwave-absorption-oriented” design



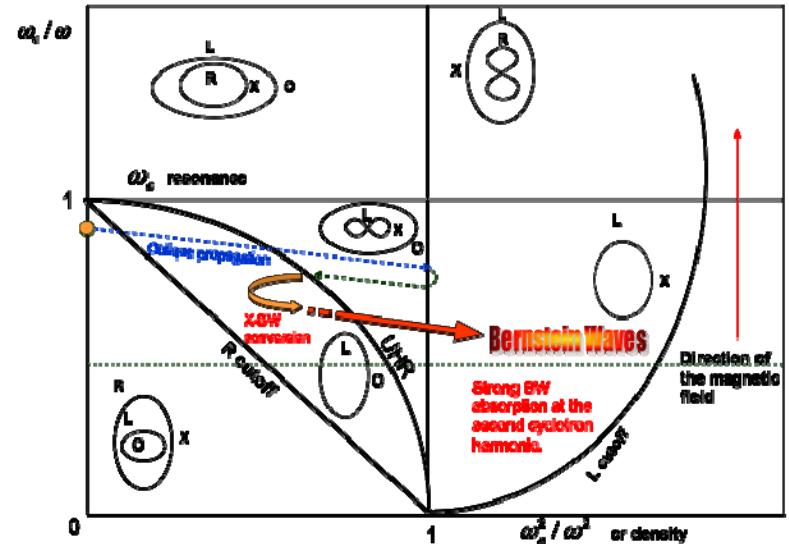
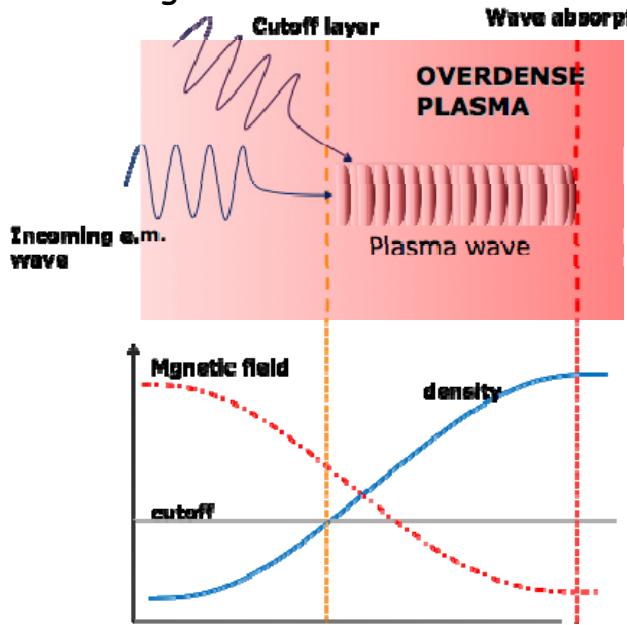
**Launcher by “two-waveguides-array”: lobe tilt by phase shift for optimizing oblique coupling of O-modes -->**



Courtesy of G. Torrisi

# “Microwave-absorption-oriented” design

- 1) Primary plasma generated at 7 GHz by ECR.
- 2) A secondary plasma generated by perpendicular launching at 14 GHz



Oblique launching allow a more efficient matching of the incoming microwave radiation with the self-oscillations of the magnetized plasma

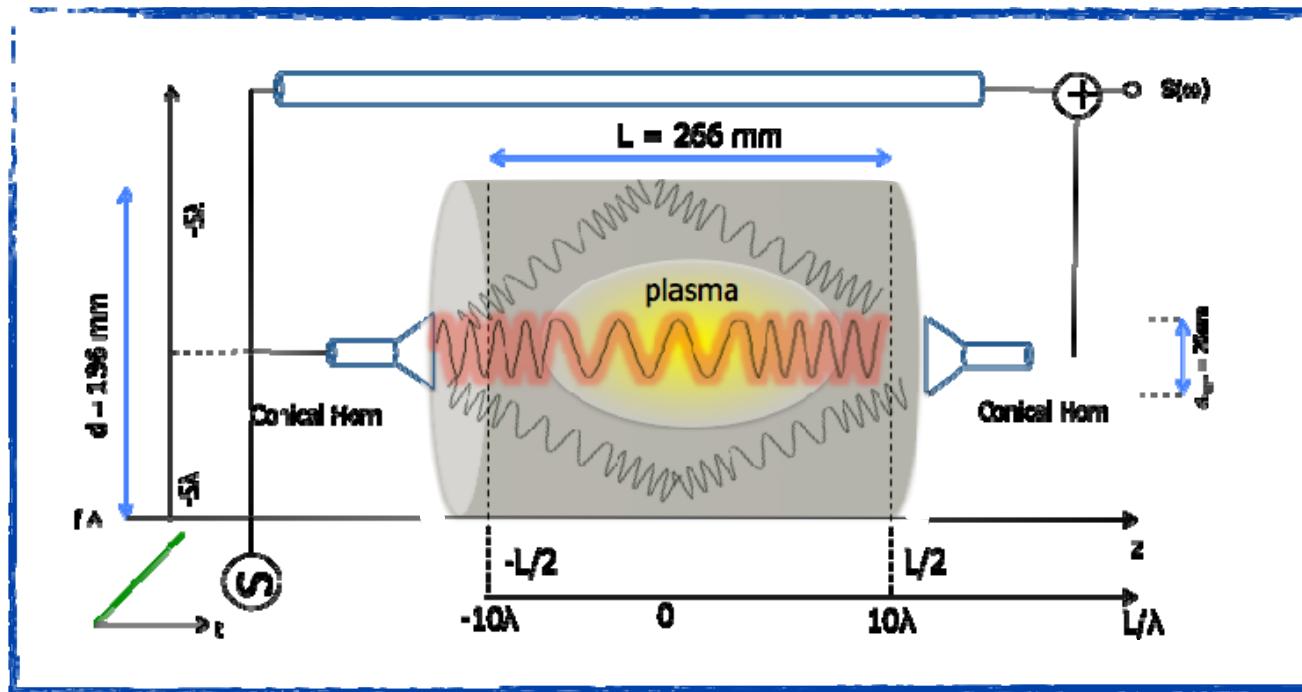
## Conclusions

- **New schemes of plasma heating can allows to overcome ECRIS density limitation:**
- At infn-lns, an overdense plasma has been generated in a small plasma reactor. Density was  $\sim 5$  times **overdense** according to LP,  $\sim 10$  times **overdense** according to interferometry;
- **Characteristic signatures of Bernstein waves generation and absorption was found:**
- Non linear plasma behaviour (different plasma regimes identified), overdense generation and ion noise; FX-B conversion is the candidate to explain bernstein generation;
- **The development of new diagnostics tools represents a fundamental task for the comprehension of the plasma behaviour. A set of diagnostic tool has been installed INFN-LNS** (spectroscope, interferometer, X-ray detector and X-ray pin.hole camera,...). They form an unique diagnostics set for the plasma characterization in different temperature and density ranges; **Information about expected current - emittance and brilliance**
- **A Microwave-absorption-oriented design** is been developing at INFN. The new FPT consist of three coils, three microwave injections (1 parallel, 2 orthogonal). A new launcher will launch microwave with desired angles within the plasma chamber;

Thank you  
for your attention



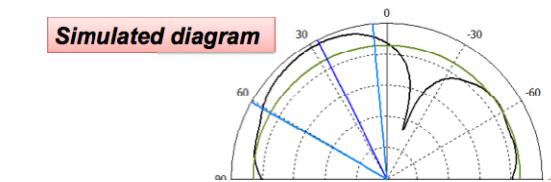
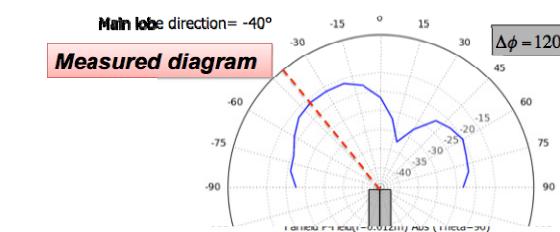
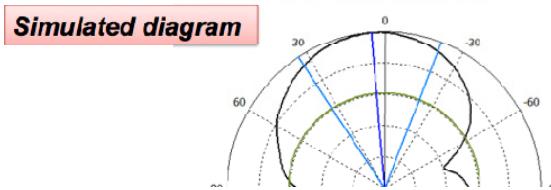
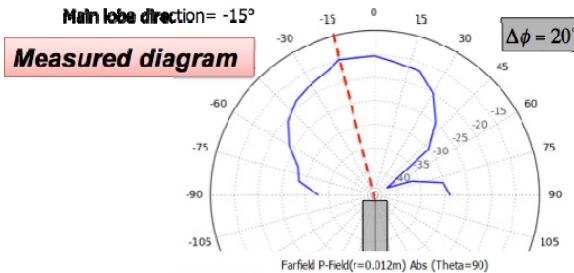
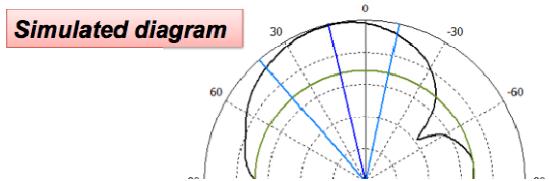
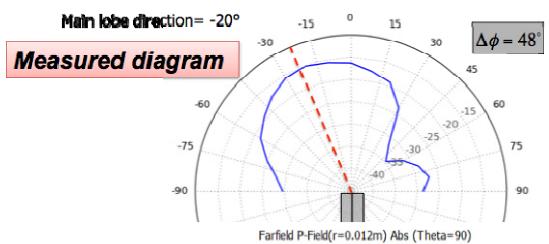
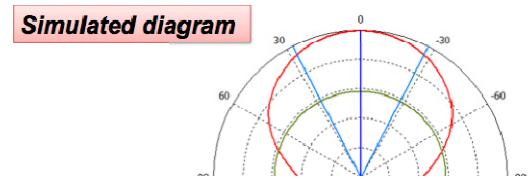
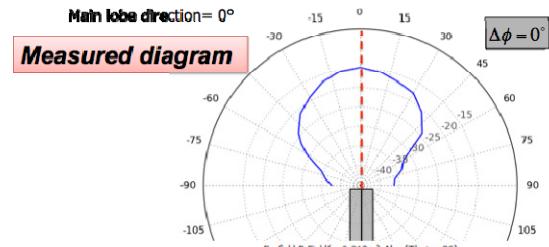
## Probing the plasma density in all the energy domains



Drawbacks



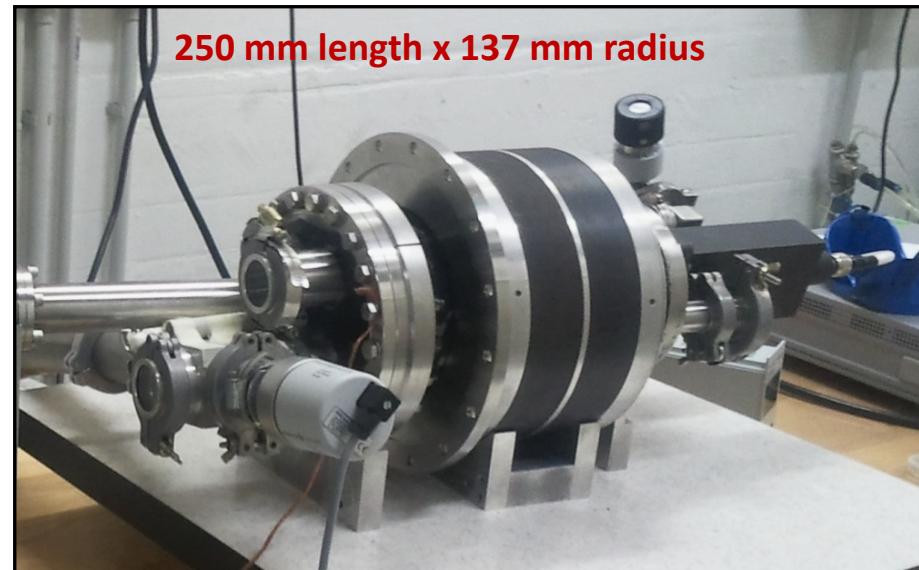
- Limited ECRIS access probing port
- Multi-paths Introduce spurious signals



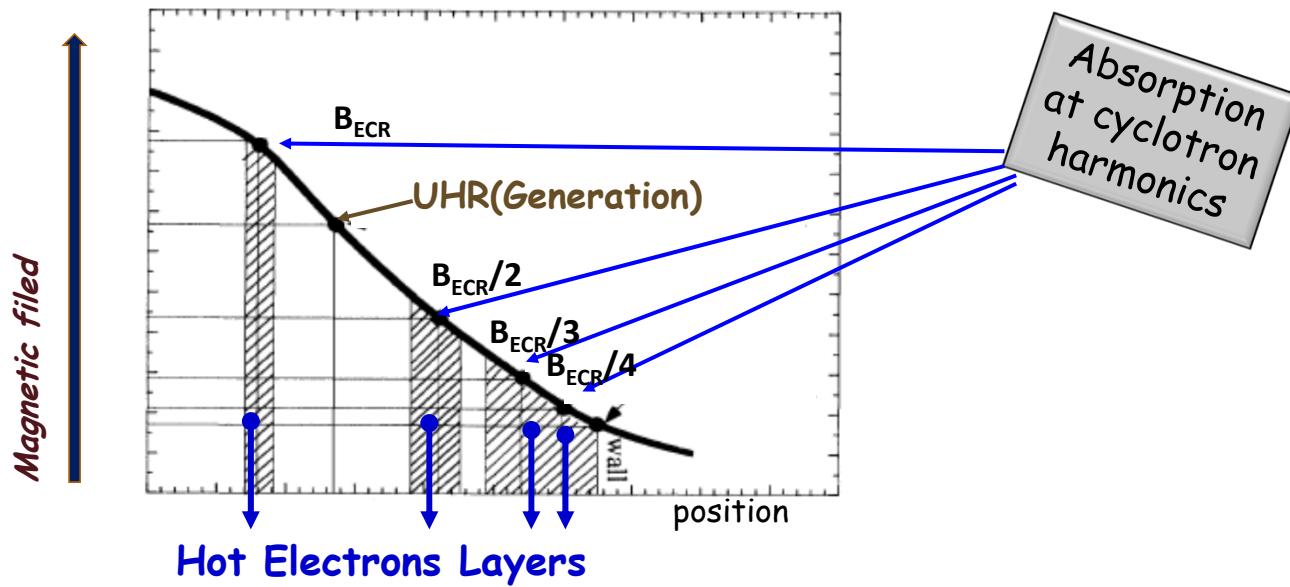
## Set-up for EBW plasma generation

For the first time EBWs have  
been studied in a compact  
plasma based ion source:  
**The Plasma reactor**

Limitations:  
EM field is cavity mode dominated;  
EM field and plasma must self-organize;  
No direct control from external;

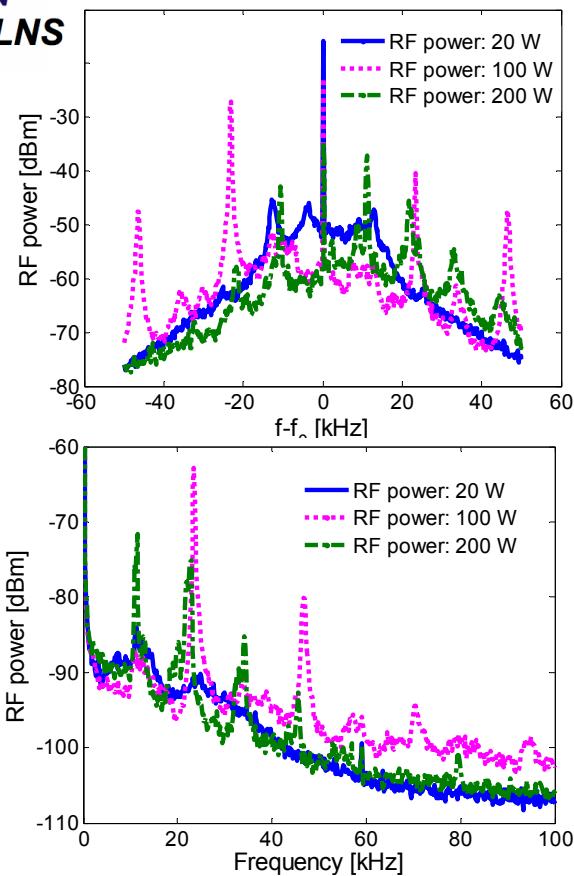


## Absorption of Bernstein waves

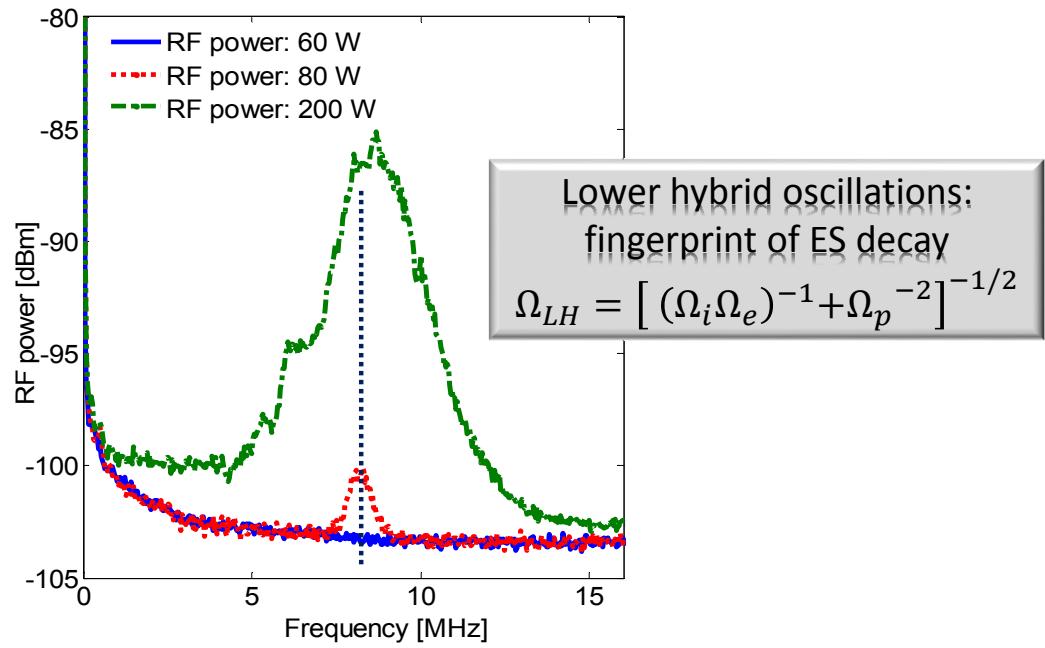


- |   |                       |  |
|---|-----------------------|--|
| 1 | No cutoff density     | Overdense plasma   |
| 2 | Non-linear effects    | • Sidebands around pumping wave<br>• Ion waves (MHz range) |
| 3 | High energy electrons | X ray emission   |

## EM spectrum from Spectrum analyzer



Generation of sidebands and ion noise  
in E.M spectrum over the threshold.



# Models for LP diagnostics

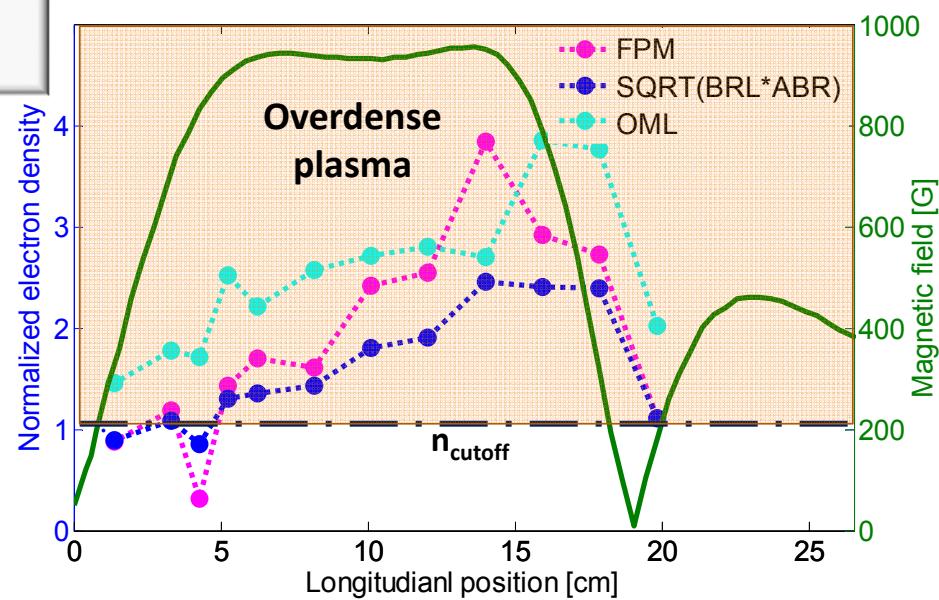
**different models** validated in **high density**  
 $(10^{10}-10^{12} \text{ cm}^{-3})$  **magnetized plasmas (RF)**

**FPM:** Floating Potential Method (F. Chen - 2002)

**BRL:** Bernstein, Reynolds and Lafambroises model

**ABR:** Allen, Boyd and Reynolds model;

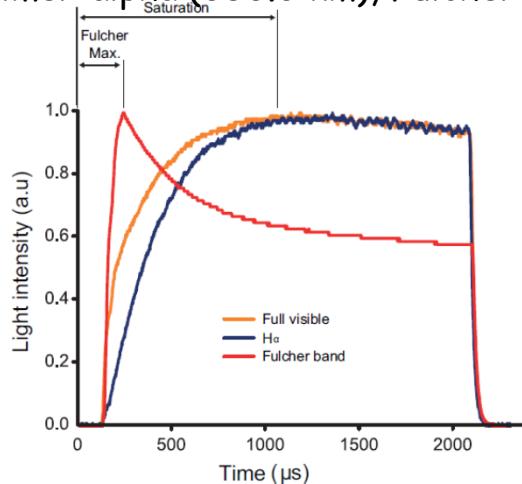
**OML:** Orbited motion limited



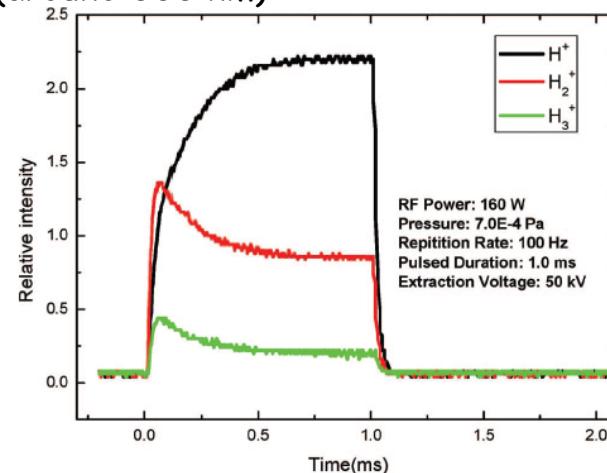
# State of art

Correspondence between balmer alpha and fulcher band trend and H and  $H_2^+$  trend was found by literature

Balmer-alpha (656.3 nm), Fulcher band (around 600 nm)



Cortazar et al Nucl. Instrum. and Methods A  
781 (2015) 50–56



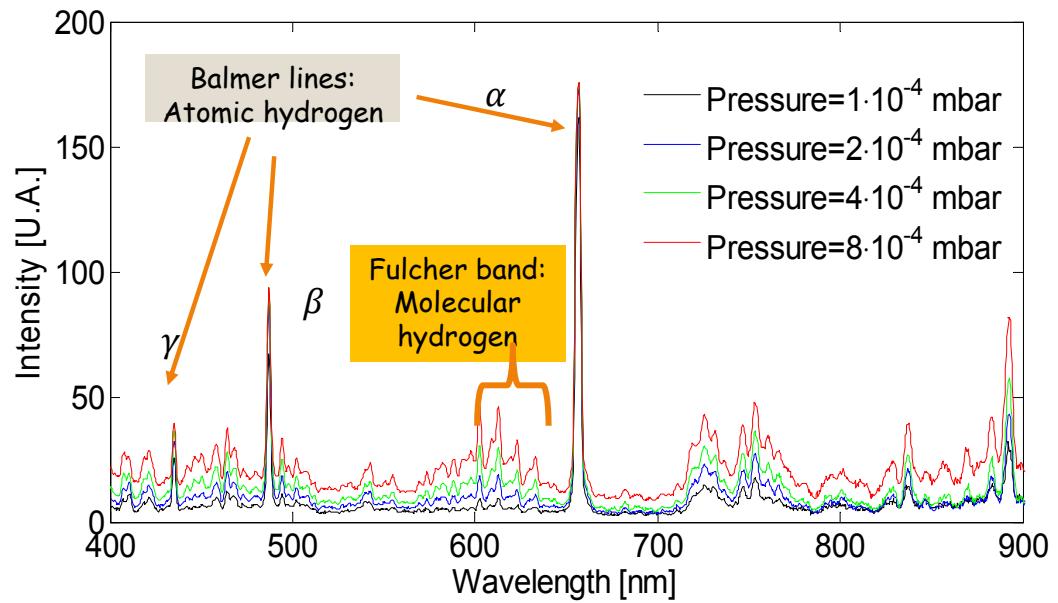
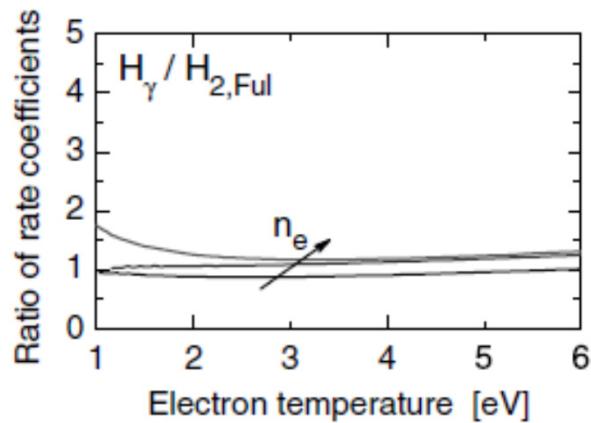
Y. Xu et al. Rev. of Scie. Instrum. **85**, 02A943 (2014);

## Room for improvements

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Spectroscopic studies suggest that the ratio between  $H_{\gamma}$  and  $H_{2,ful}$  allows to obtain the ratio between the H and  $H_2$  population, in turn, connected to the proton fraction.



Courtesy of U. Fantz

## Preliminary results from the FPT testbench

