

# Summary of Topical Workshop: Emittance Measurements for Synchrotron Light Sources and FELs

Ubaldo Iriso (ALBA-CELLS),

F. Ewald (ESRF), G. Kube (DESY), T. Mitsuhashi (KEK),  
V. Schlott (PSI) and K. Wittenburg (DESY)



ALBA – CELLS (Barcelona)

January 2018

<https://indico.cells.es/indico/event/128/>



# Topical Workshop: $\epsilon$ -Measurements for Light Sources & FELs

# Funding Network: ARIES

Accelerator Research and Innovation for European Science

<https://aries.web.cern.ch/>



WP8 MEETINGS

## WP8: Advanced Diagnostics at Accelerators (ADA)

## See Poster

MOPB02 (P. Forck)

## Topical Workshop: $\varepsilon$ -Measurements for Light Sources & FELs

### Some Numbers:

- 
- 38 registrants
  - 24 Talks:
    - 12 Talks about SLS
    - 9 Talks about FELs
    - 2 Talks for Hadron Machines
    - 1 Talk for Plasma Accel.

# Workshop Programme

Monday Jan. 29th		Tuesday Jan 30th	
<b>Monday Morning I - Chair: U. Iriso (ALBA)</b>		<b>Tuesday Morning I - G. Kube (DESY)</b>	
Workshop Welcome and Introduction, U. Iriso (ALBA-CELLS)		Requirements from the FEL B.Dynamics community , E. Prat (PSI)	
Requirements from the electron lines B.Dynamics community, M. Boege (PSI)			
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Cherenkov Diffrr. Rad. as a Beam Size Measurement Technique, M .Bergamaschi (CERN)			
Proposals for diffraction limited light sources (Open Discussion)			
Beam size diagnostics using x-rays imaging and interferometry, A. Snigirev (ESRF)		Emittance measurements for plasma accelerators, A.Cianchi (INFN)	
		Proposals for future linear accelerators (Open Discussion)	

# Summary of $\epsilon$ - meas for SLS

Presentations are available at: <https://indico.cells.es/indico/event/128>

Technique	Smallest $\sigma$ , $\mu\text{m}$ (measured)	Workshop Talk
X-ray Pinhole	7	L. Bobb / F. Ewald
Compound Refractive Lenses	10	F. Ewald / A. Snigirev
In-air X-ray Detectors	9	F. Ewald
Vis. Light Interf.	3.9	T. Mitsuhashi
Vis. Light Inter. (Rotating Mask)	2 (sim)	L. Torino
$\pi$ -polarization (vis)	3.7	A. Andersson
Coded Aperture	5	J. Flanagan
X-ray Diffraction	4.8	A. Snigirev
X-ray (multi/lens) Interferometry	4.8	A. Snigirev
HNFS	110	M. Siano

# Summary of $\epsilon$ - meas for FELs

Presentations are available at: <https://indico.cells.es/indico/event/128>

Technique	Smallest $\sigma, \mu\text{m}$ (measured)	Workshop Talk
Scintillating Screens	1.5	G. Kube (DESY)
OTR Screens	0.75	L. Sukhikh (Tomsk)
ODR/ODRi Techniques	10	E. Chiadroni (INFN)
COTR	$\sim 1$	A. Potilytsin (Tomsk)
Wire Scanners	30	K. Wittenburg (DESY)
Wire Scanners (lithography)	0.490	S. Borrelli (PSI)
Laser Wire	3	P. Karataev (RHUL)
IPMs for e-machines	$\sim 25$ (theo)	M. Sapinski (GSI)
Pepper pot (high energy e-)	$\sim 200$	N. DeleRue (LAL)

## Summary of Topical Workshop:

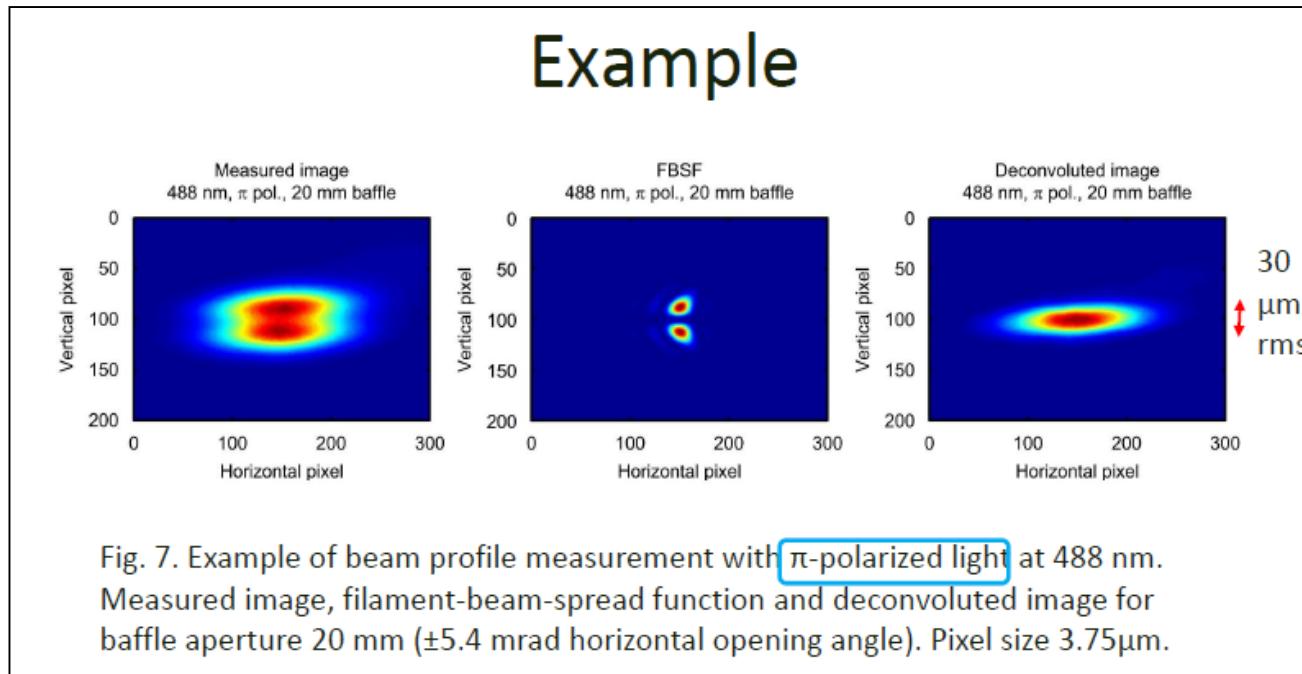
### Emittance Measurements for Synchrotron Light Sources & FELs

- **Introduction**
- **Emittance Measurements for SLS:**
  - Direct Imaging Techniques
  - Inverse Space Imaging Techniques
  - Future trends
- **Emittance Measurements for FELs:**
  - Screen Monitors
  - Wire Scanners
- **Summary**

## Common for SLS and FELs: PSF characterization is crucial

### Point Spread Function Dominated Imaging with SR A. Anderssen (Max-IV)

- With tiny small beams (few microns), imaging might be limited by several factors.
- Use of simulation tools (SRW and/or Zemax for SLS)

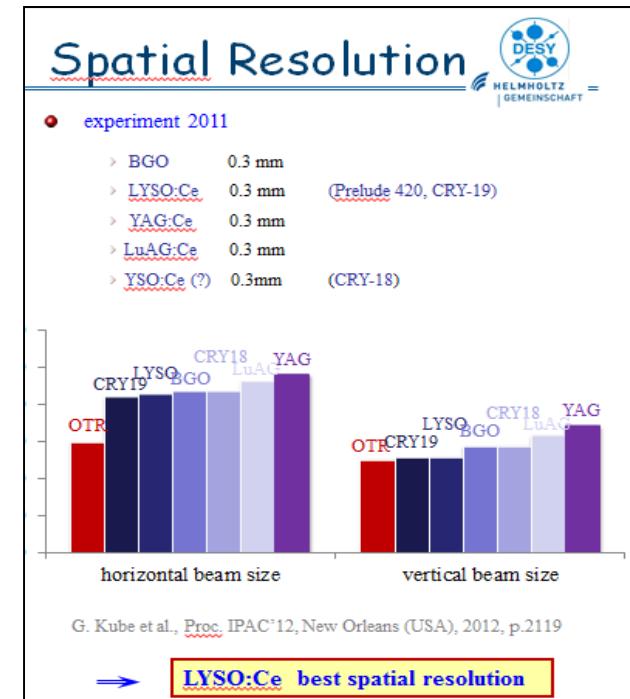


Common for SLS and FELs: PSF characterization is crucial

## High Resolution Scintillating Screens for Measurements of few Micrometer Beams

G. Kube (DESY)

See talk  
**WEOC03** (G. Kube)

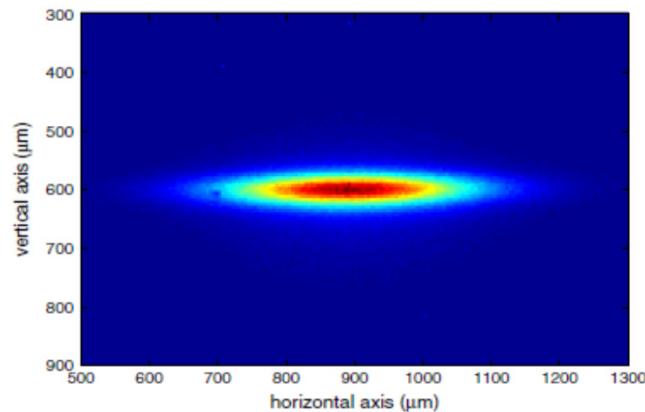


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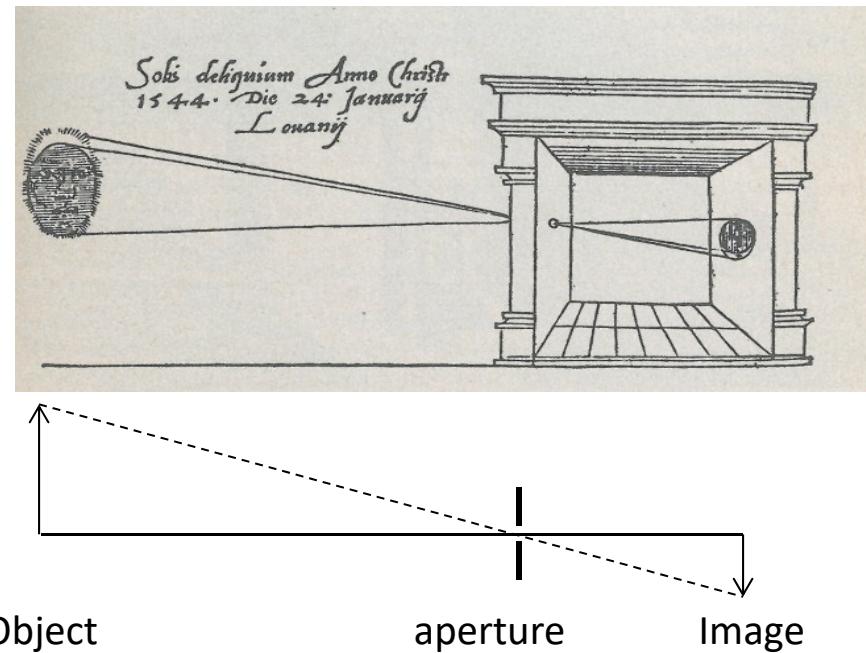
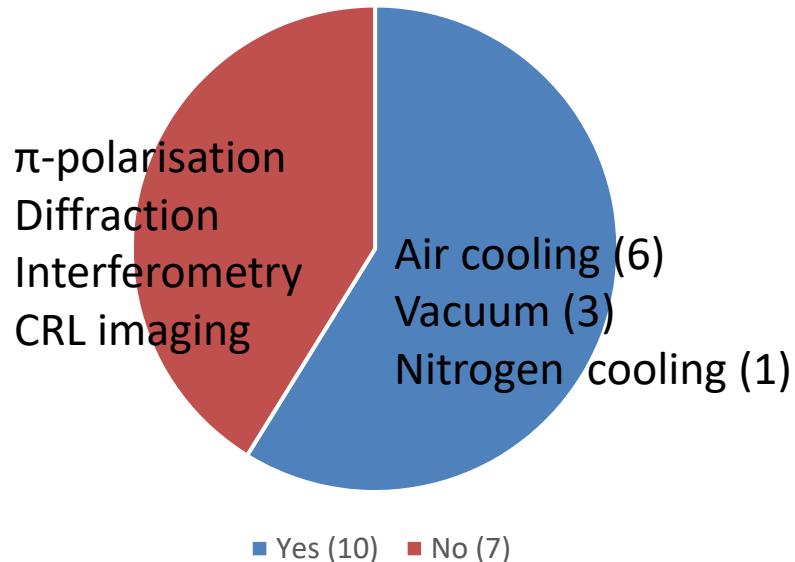
# $\epsilon$ -meas for SLS: Direct Imaging Techniques

- Referred to those techniques in which the beam profile is directly imaged into a screen (and then to a camera) from the emitted SR
- Beam size inferred from fitting the image to (typically) a Gaussian fit (including convolution of PSF)



## Status of X-Ray Pinhole Cameras for SLS, L. Bobb (Diamond)

- Well-known principle since 1545 (used to image solar eclipses)
- In accelerators, used since 1991 by P.Elleaume (ESRF)
- Currently, 10/17 SLS use x-ray pinholes for  $\epsilon$ -meas



# Status of X-Ray Pinhole Cameras for SLS, L. Bobb (Diamond)



## Fundamental Limitations

$$\sigma_{PSF}^2 = \sigma_{Pinhole}^2 + \sigma_{Camera}^2 > 0$$

Source resolution for optimised pinhole camera, given current spatial constraints at Diamond:

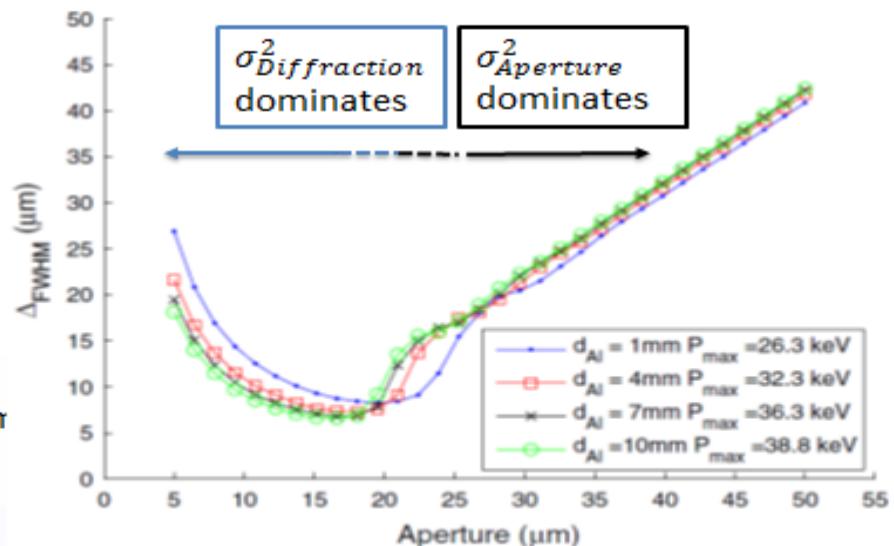
$$\frac{\left(\frac{\Delta_{FWHM}}{2.35}\right)}{|M_1|} \sim \frac{\left(\frac{6 \text{ }\mu\text{m}}{2.35}\right)}{2.65} \sim 1 \text{ }\mu\text{m}$$

with  $\sigma_{Camera}^2 = 0$ .

Source resolution incl. contribution from camera using a 5 $\mu\text{m}$  P43 screen [4]:

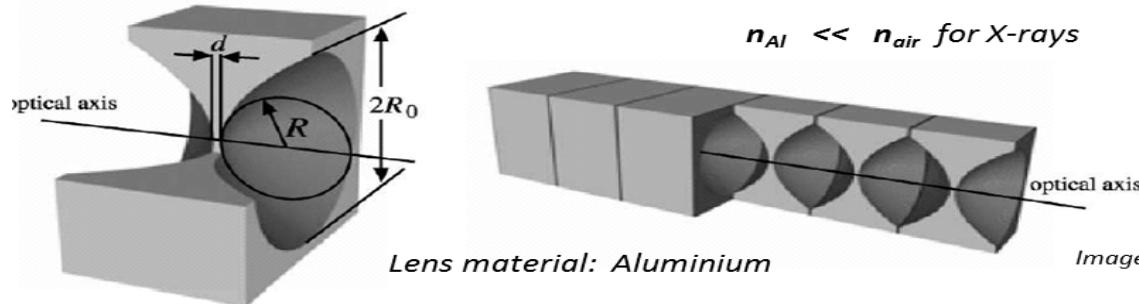
~3  $\mu\text{m}$

Phys. Rev. ST Accel. Beams 13, 022805 (2010)



# Beam Imaging Using X-ray Lenses, F. Ewald (ESRF)

## Use of Compound Refractive Lenses (CRL):



Focal length of CRLs (thin lens approximation):

$R$ ... Radius of curvature

$N$ ... Number of lenses

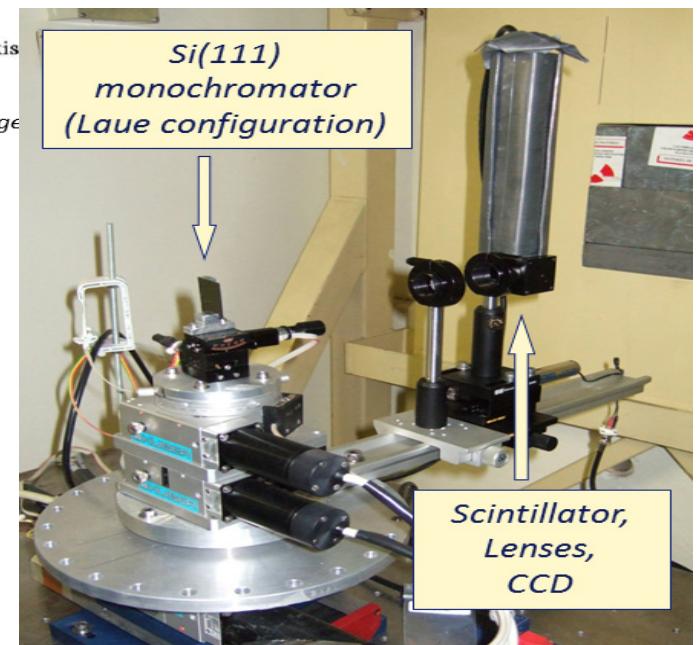
$d$ ... Index of refraction (real part)

$E$ ... Photon energy

$$f = R / 2N\delta(E)$$

Need monochromatic beams

→ Delicate and expensive (beamline-type) components



# Beam Imaging Using X-ray Lenses, F. Ewald (ESRF)

## Compound Refractive Lenses: precision?

XRL for emittance measurement at ESRF ( now removed )

*Transverse resolution determined by diffraction on lens aperture :*

$$\sigma_{min} = 0.75 \cdot L_1 / D_{eff} \quad [1]$$

*$D_{eff}$  ..... effective aperture of the lens  
determined by geometric aperture, absorption  
and surface/shape imperfections.*

*Diffraction limited resolution of lens at beam port D11  
( calculated using [2] or [3] ):*

$$\begin{aligned} \rightarrow \quad & \sigma_{min} = 3.3 \mu m \\ \rightarrow \quad & \varepsilon_{z,min} = 0.3 pm \end{aligned}$$

To be compared with a  
resolution of **~5um**  
obtained with their pinhole

[1] B. Lengeler et al. / Nuclear Instr. Meth. in Phys Res. A 4

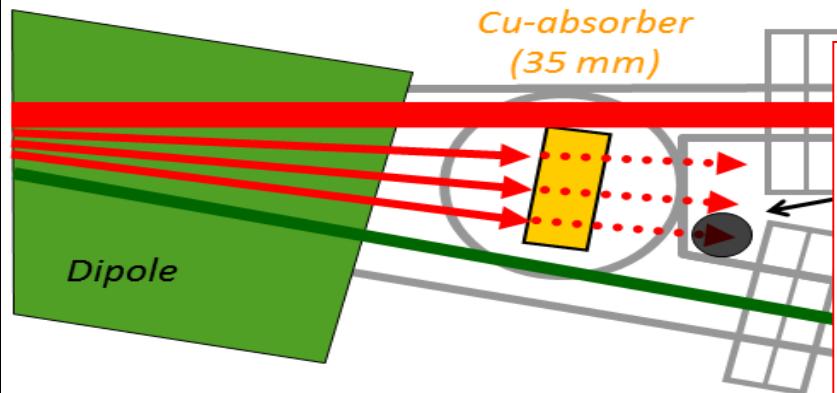
[2] B. Lengeler et al. /J. of Appl. Phys. 84 (1998) 5855

[3] [http://purple.ipmt-hpm.ac.ru/xcalc/xcalc\\_mysql/crl\\_par.php](http://purple.ipmt-hpm.ac.ru/xcalc/xcalc_mysql/crl_par.php)

# Beam Imaging Using In-Air X-ray Detectors, F. Ewald (ESRF)

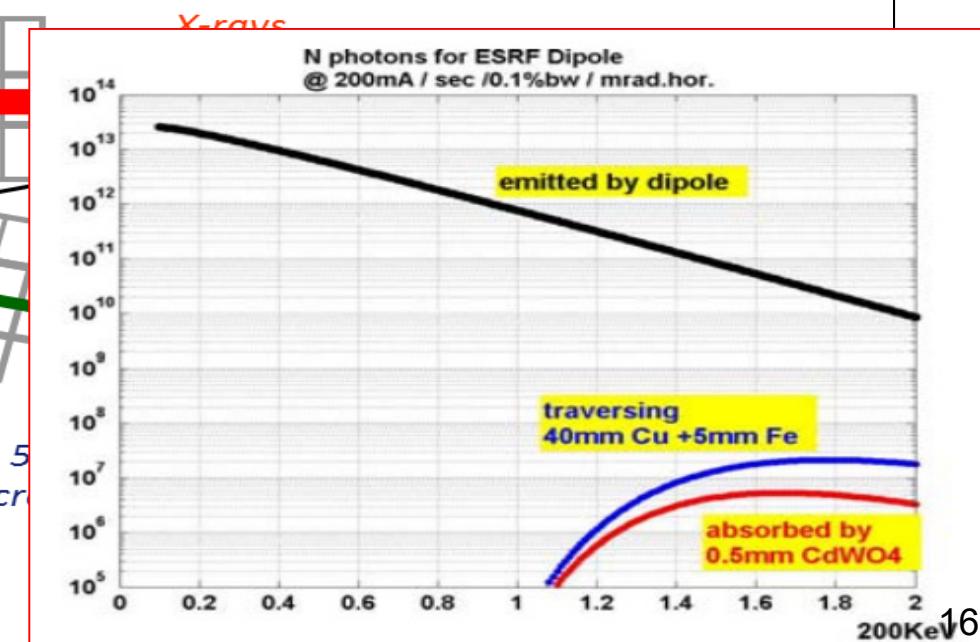
## Dipole radiation X-ray Projection Monitors

Simple measurement of the X-ray beam projected from the source point in a bending magnet onto a scintillator screen

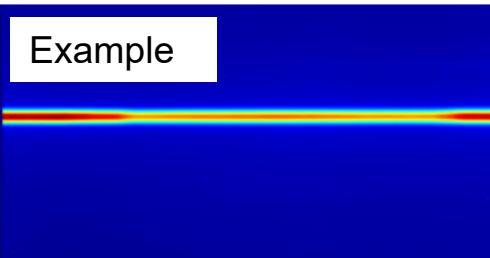


- Scintillator: Lu<sub>1.8</sub>Y<sub>2</sub>SiO<sub>5</sub>:Ce ("PreLude") 5
- Focusing via motorised scintillator scr
- Double Achromat
- CCD: Flea (Point Grey Research)
- Pb - shielding

$$\begin{aligned}E_{\text{PEAK}} &\sim 160 \text{ keV} \\ \sigma'_{\text{SYNC RAD}} &= 17.5 \mu\text{rad}\end{aligned}$$



# Beam Imaging Using In-Air X-ray Detectors, F. Ewald (ESRF)



Total beam size on screen:

$$\Sigma_{tot}^2 = \varepsilon\beta - 2\varepsilon\alpha D + \varepsilon\gamma D^2 + \sigma_{ph}^2 D^2$$

electron beam size and divergence      divergence of synchrotron radiation

- Simple, cheap, easy to use
- In operation at ALBA, ANKA, ESRF and Soleil
- Only vertical plane
- Precision: **x-ray divergence limitation** - @ ALBA: 50um!

At ESRF, use them for a number of machine parameters measurements  
(from global coupling correction to momentum compaction factor)

A. Franchi, TUODA01, IPAC11

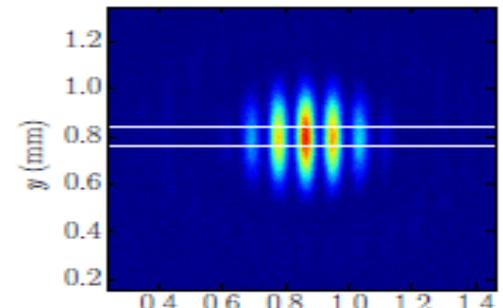
MOPA17 (L. Torino)

Summary of Topical Workshop:  
Emittance Measurements for Synchrotron Light Sources & FELs

- **Introduction**
- **Emittance Measurements for SLS:**
  - Direct Imaging Techniques
  - Inverse Space Imaging Techniques
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# $\varepsilon$ -meas for SLS: Inverse Space Imaging Techniques

- Based on the analysis of the Coherence of the Synchrotron Radiation (SR) after interfering with an obstacle (typical example, a double-aperture system)
- From the coherence size and using the Van Citter-Zernike theorem, calculate the electron beam size

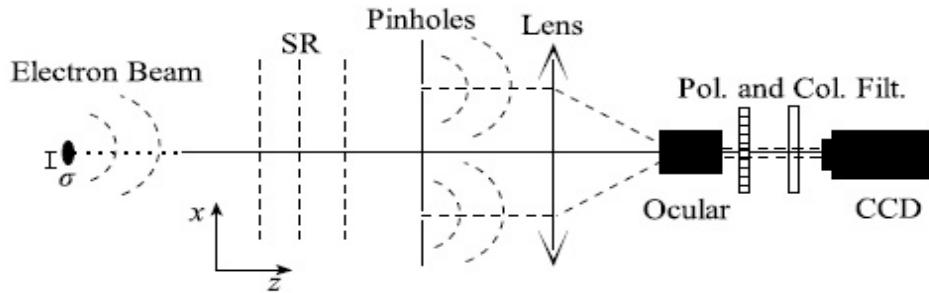


- |  |   |
|--|---|
| <ol style="list-style-type: none"><li><b>1. Visible Synchrotron Radiation Interferometry (SRI)</b></li><li><b>2. X-ray Interferometry</b></li><li><b>3. Diffraction Pattern Analysis</b></li></ol> | <p>- T. Mitsuhashi, L. Torino (ESRF)</p> <p>- A. Snigirev (IKBF)</p> <p>- A. Snigirev (IKBF)</p>            |
| <ol style="list-style-type: none"><li></li><li></li><li></li><li><b>4. Heterodyne Near Speckle Fields (HNSF)</b></li><li><b>5. Coded-Aperture</b></li></ol>  | <p><b>Details tomorrow: THOA03 (S. Mazzoni)</b></p> <p><b>Details Next Talk at WEOC02 (J. Flanagan)</b></p> |

# Visible Synchrotron Radiation Interferometry (SRI)

T. Mitsuhashi (KEK) & L. Torino (ESRF)

Typical Setup:



$$I = I_0 \left\{ \frac{J_1\left(\frac{2\pi ax}{\lambda f}\right)}{\left(\frac{2\pi ax}{\lambda f}\right)} \right\}^2 \times \left\{ 1 + V \cos\left(\frac{2\pi Dx}{\lambda f}\right) \right\}$$

$$\sigma_x = \frac{\lambda L}{\pi D} \sqrt{\frac{1}{2} \ln \frac{1}{V}}$$

$I_0$ : Intensity

$a$ : Pinholes radius

$\lambda$ : SR wavelength

$f$ : Focal distance  
of the optical  
system

$D$ : Pinholes  
distance

$V$ : Visibility

$L$ : Distance from  
the source

## Visible SRI - T. Mitsuhashi (KEK)

### Precision using SRI -- Error Analysis

In actual optical component, for optical components of surface  $\sim \lambda/10$ , this error corresponds to **0.26 $\mu\text{m}$**

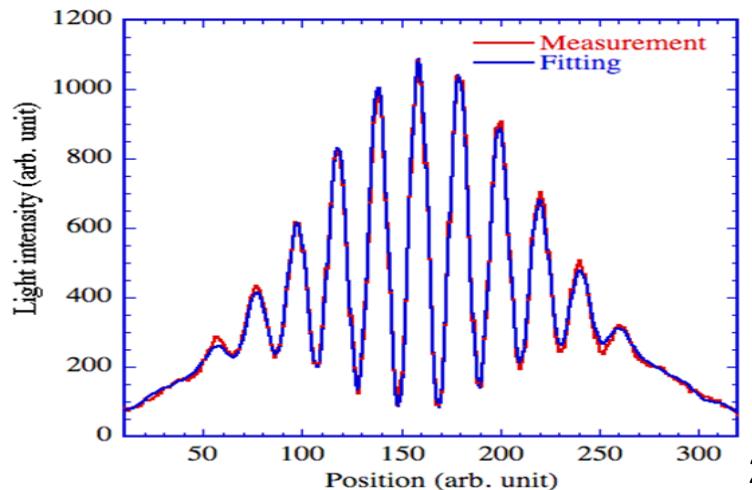
Real life: other limits show up

- Turbulence of air in the optical path
- Floor vibration
- Noise in CCD

#### Example:

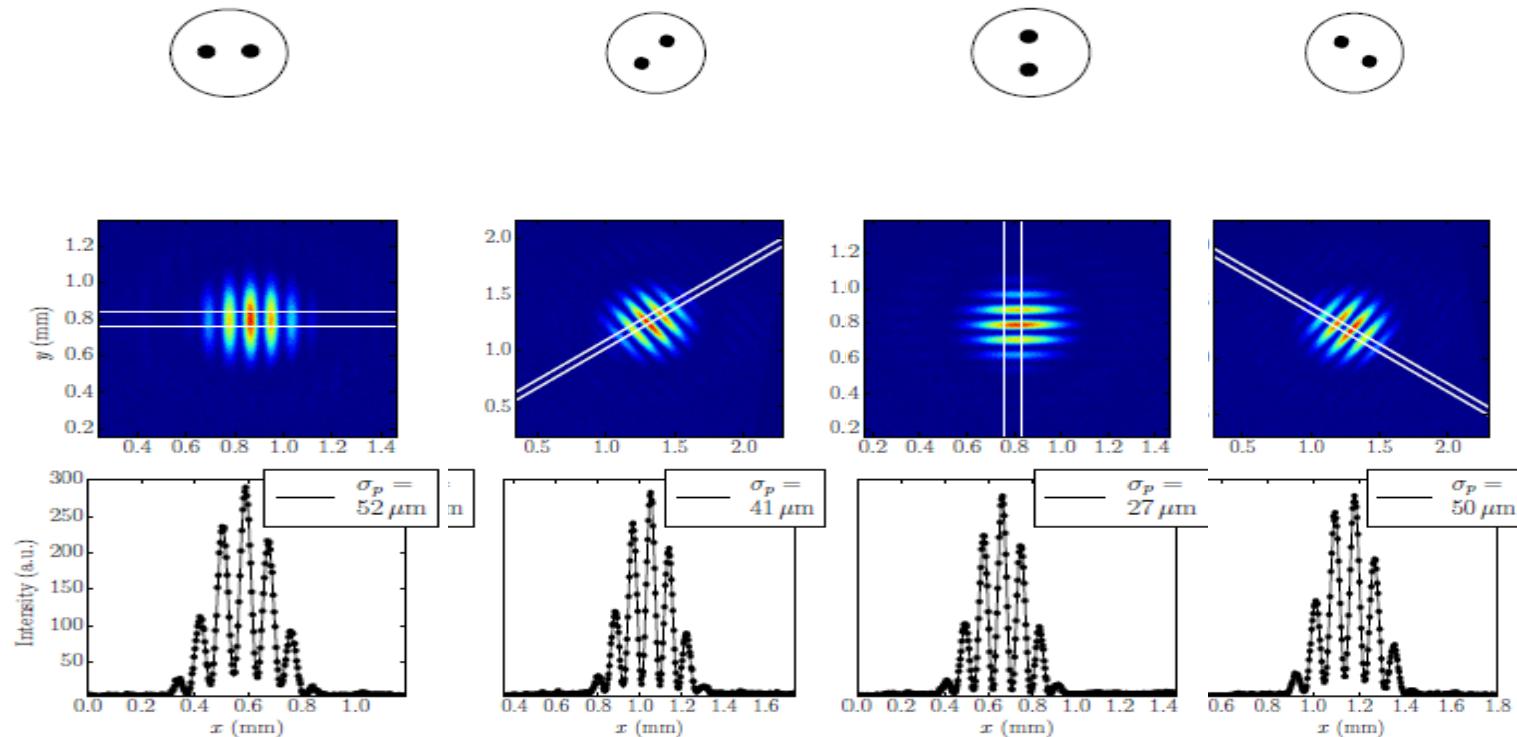
ATF at KEK

beam size is  $4.73\mu\text{m} \pm 0.55\mu\text{m}$



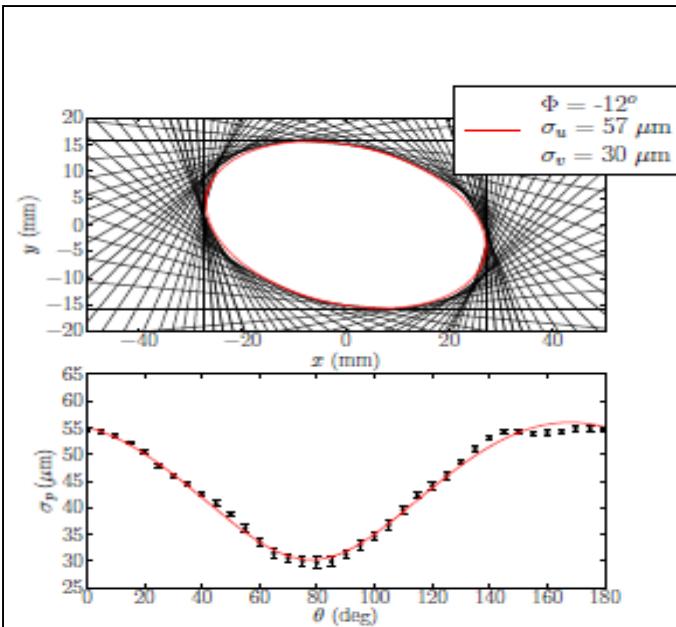
## Visible SRI - L. Torino (ESRF)

- Analysis of Light Coherence provides projections in the hor or ver projection:
  - What if the beam is tilted??? → Use of Rotating Mask



## Visible SRI – L. Torino (ESRF)

- Using a rotating mask, we can reconstruct beam profile and decrease minimum measurable beam size



The beam reconstruction shows clearly that the beam can be approximated as an ellipse.

$$x(\theta) = \sigma_u \cos(\theta + \Phi)$$

$$y(\theta) = \sigma_v \sin(\theta + \Phi)$$

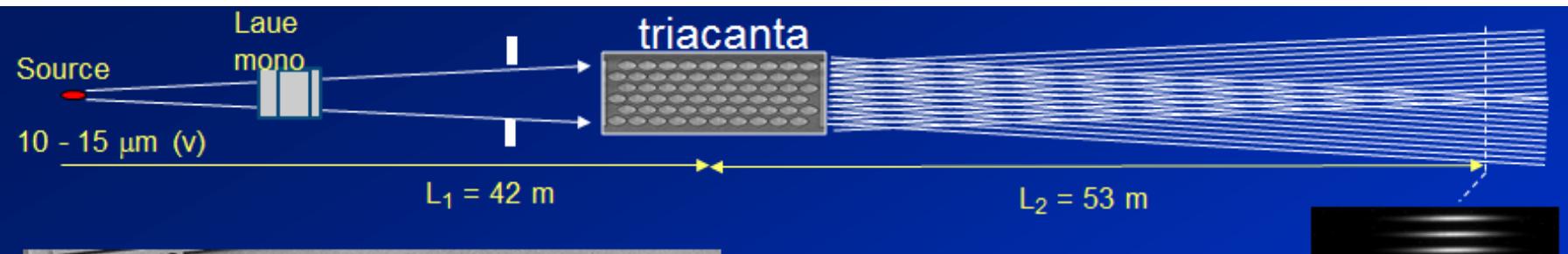
$$\sigma_p(\theta) = \sqrt{\sigma_u^2 \cos^2(\theta + \Phi) + \sigma_v^2 \sin^2(\theta + \Phi)}$$

Rotating Mask method used at:

ALBA: L. Torino and U. Iriso, Phys. Rev. Accel. Beams 19, 122801

Spear-3: C. L. Li, J. Corbett, MOPMR054, IPAC16

# X-ray Interferometry lens arrays – A. Snigirev (IKBP)



research papers



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RADIATION

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## 30-Lens interferometer for high-energy X-rays

Mikhail Lyubomirskiy,<sup>a</sup> Irina Snigireva,<sup>a\*</sup> Victor Kohn,<sup>b</sup> Sergey Kuznetsov,<sup>c</sup> Vyacheslav Yunkin,<sup>c</sup> Gavin Vaughan<sup>a</sup> and Anatoly Snigirev<sup>d</sup>

<sup>a</sup>ESRF, Grenoble 38043, France, <sup>b</sup>National Research Centre ‘Kurchatov Institute’, Moscow 123182, Russian Federation, <sup>c</sup>Institute of Microelectronics Technology RAS, Chernogolovka 142432, Russian Federation, and <sup>d</sup>Baltic Federal University, Kaliningrad 236041, Russian Federation. \*Correspondence e-mail: irina@esrf.fr

A novel high-energy multi-lens interferometer consisting of 30 arrays of planar

14 μm (v) FWHM

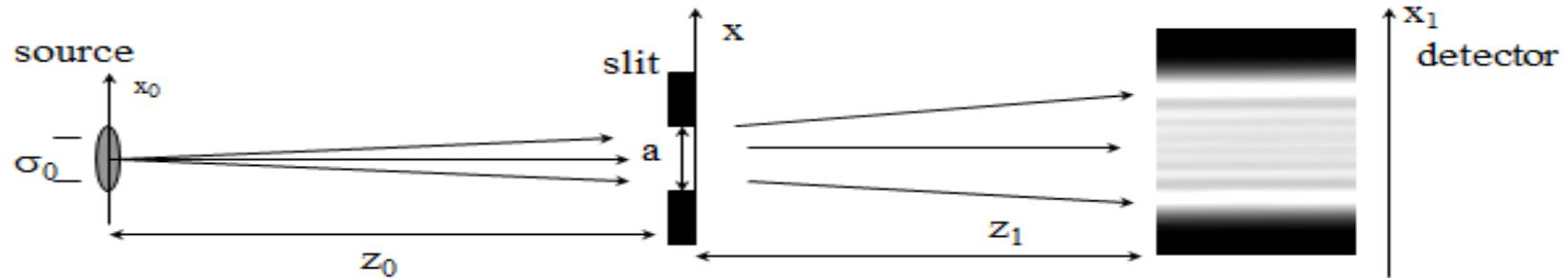


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# X-ray Diffraction – A. Snigirev (IKBP)

## Coherence Characterisation by Fresnel Diffraction / Slits



$$I(x_1) = 1 + \frac{4}{\pi} \left( \frac{4\lambda z_0 z_1}{za^2} \right)^{1/2} \cos \left( \frac{\pi za^2}{4\pi z_0 z_1} - \frac{3\pi}{4} \right) \exp \left( -\frac{a^2}{4\sigma_{mc}^2} \right) \cos \left( \frac{\pi a}{\lambda z_1} x_1 \right)$$

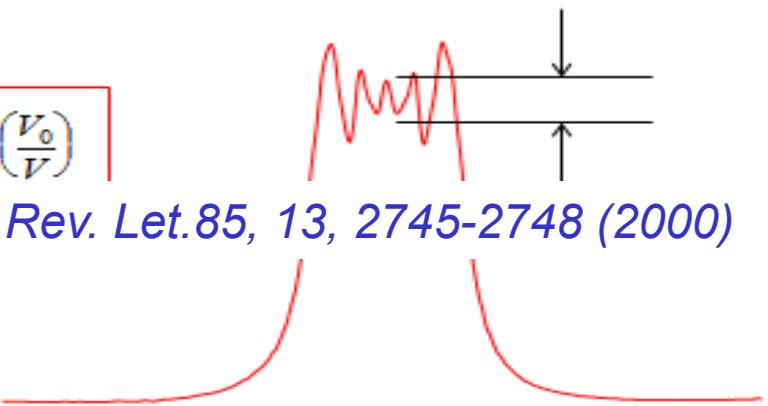
$$V(x_1) = \frac{I(x_1)_{\max} - I(x_1)_{\min}}{I(x_1)_{\max} + I(x_1)_{\min}}$$

$$V = V_0 \exp \left( -\frac{a^2}{4\sigma_{mc}^2} \right), \quad \sigma_{mc} = \frac{2\lambda z_0}{\pi \sigma_0} \quad \Rightarrow \quad \boxed{\sigma_0 = \frac{4\lambda z_0}{\pi a} \ln^{1/2} \left( \frac{V_0}{V} \right)}$$

V. Kohn, I. Snigireva, A. Snigirev. Phys. Rev. Lett. 85, 13, 2745-2748 (2000)

$$V_0 = \frac{1}{\pi} \left( \frac{2\lambda z_0 z_1}{za^2} \right)^{1/2} \left| \cos \left( \frac{\pi za^2}{4\pi z_0 z_1} - \frac{3\pi}{4} \right) \right|$$

$$a \geq 3 \left( \frac{2\lambda z_0 z_1}{z} \right)^{1/2}, \quad x_1 = \frac{az}{10z_0}$$



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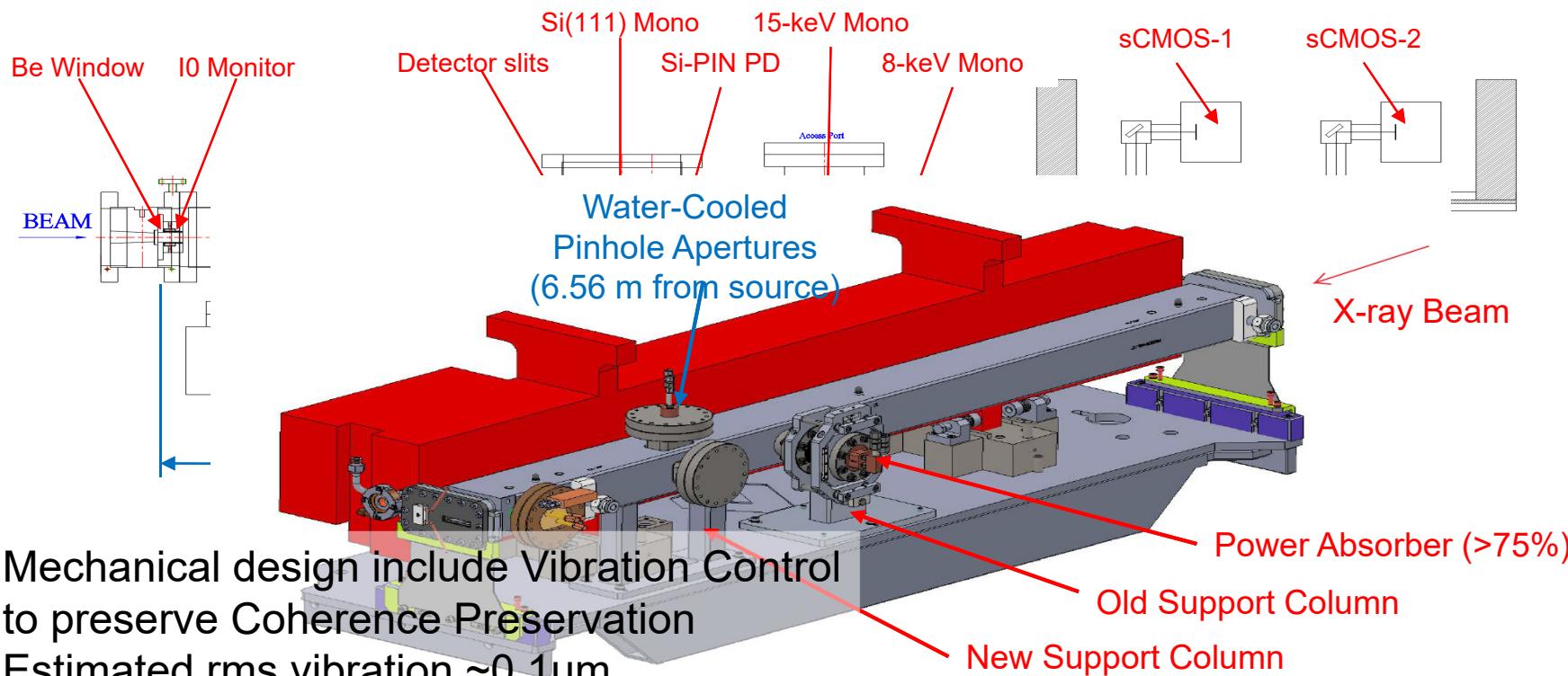
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# Planned X-ray Diagnostics for APSU $\epsilon$ -Meas – B. Yang (APS)

- The x-ray optics is a 0.1-mm tungsten foil with **six apertures** of different sizes to cover all the machine requirements:
  - Apertures may be put into **two function groups**.
- **Absolute beam size monitor (ABSM)**: operating in 0.1 – 1 Hz range, different techniques available according to beam size ranges:
  - Monochromatic **x-ray pinhole camera** (15 keV): for 8 – 100+  $\mu\text{m}$  beam size
  - Wide-aperture **Fresnel diffractometer** (8 keV): 4 – 14  $\mu\text{m}$
  - Young's **double slits interferometer** (8 keV): 2 – 6  $\mu\text{m}$
- **Relative beam size monitor (RBSM)**: obtain beam size information by monitoring x-ray diffraction peak intensities, operating at 1 – 10+ Hz:
  - Double-slits collimator for horizontal beam size (15 keV): 4 – 100  $\mu\text{m}$
  - Double-slits collimator for vertical beam size (15 keV): 4 – 100  $\mu\text{m}$
  - X-ray beam position monitor (15 keV) for maintaining collimator alignment

# Planned X-ray Diagnostics for APSU $\epsilon$ -Meas – B. Yang (APS)

## X-ray Optics Design – “user-type” instrumentation



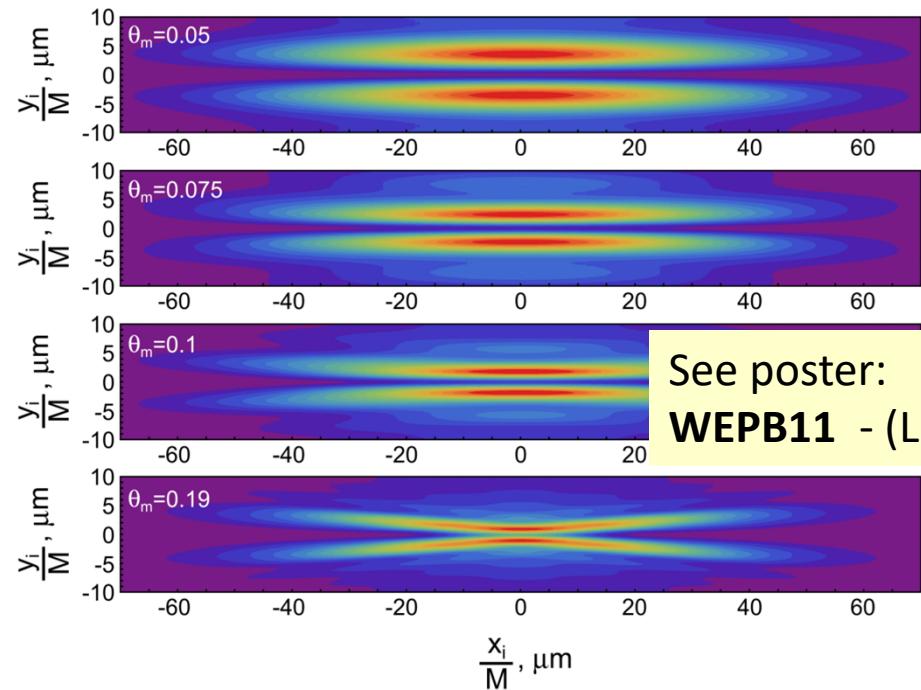
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## OTR PSF dominated beam imaging of micron beams with submicron resolution, L.G. Sukhikh

- Simulation of PSF dominating images  
see PRST-AB, 20, 032802 (2017)

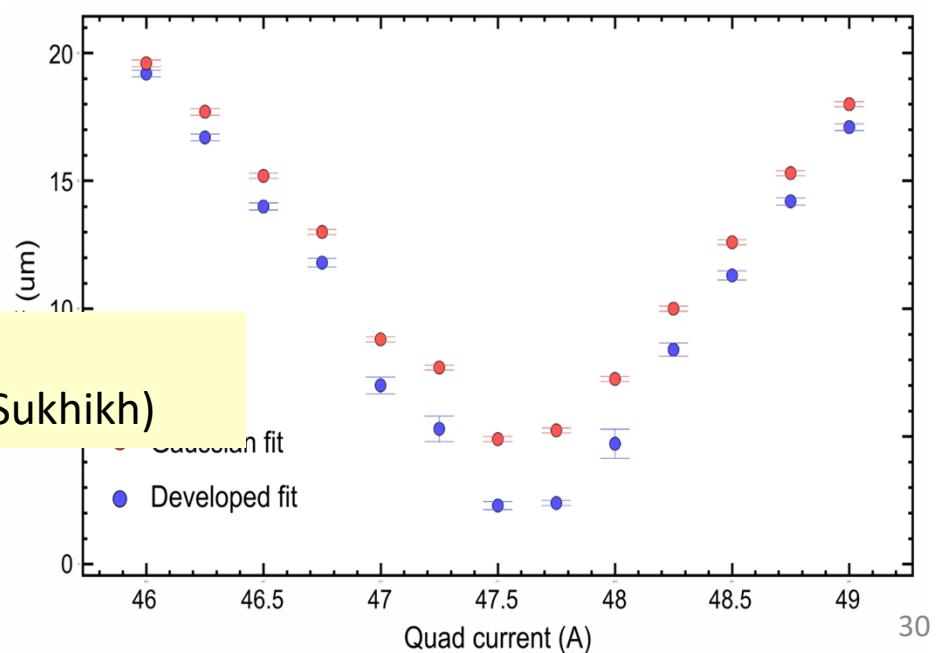
Sim for different NA



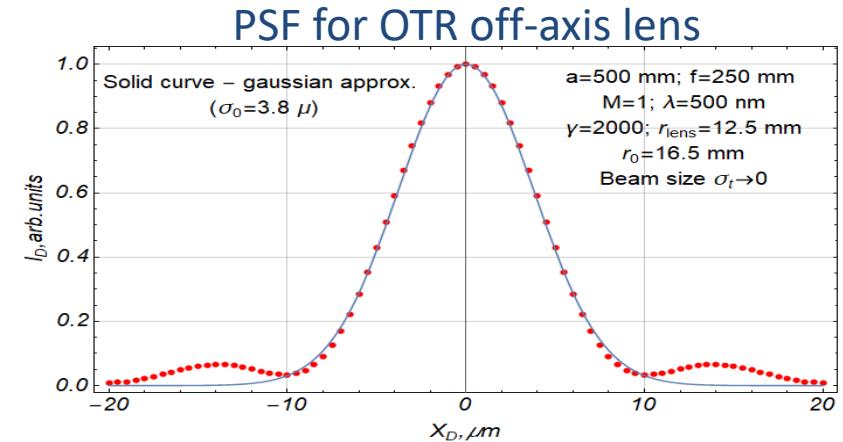
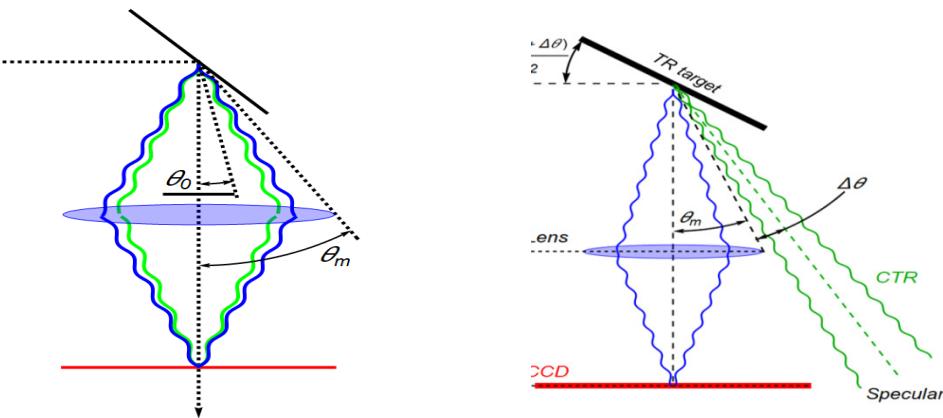
See poster:  
**WEPB11** - (L. Sukhikh)

- Developed own fit for PSF using simulations and numerical analysis

Results for a complete Quad Scan



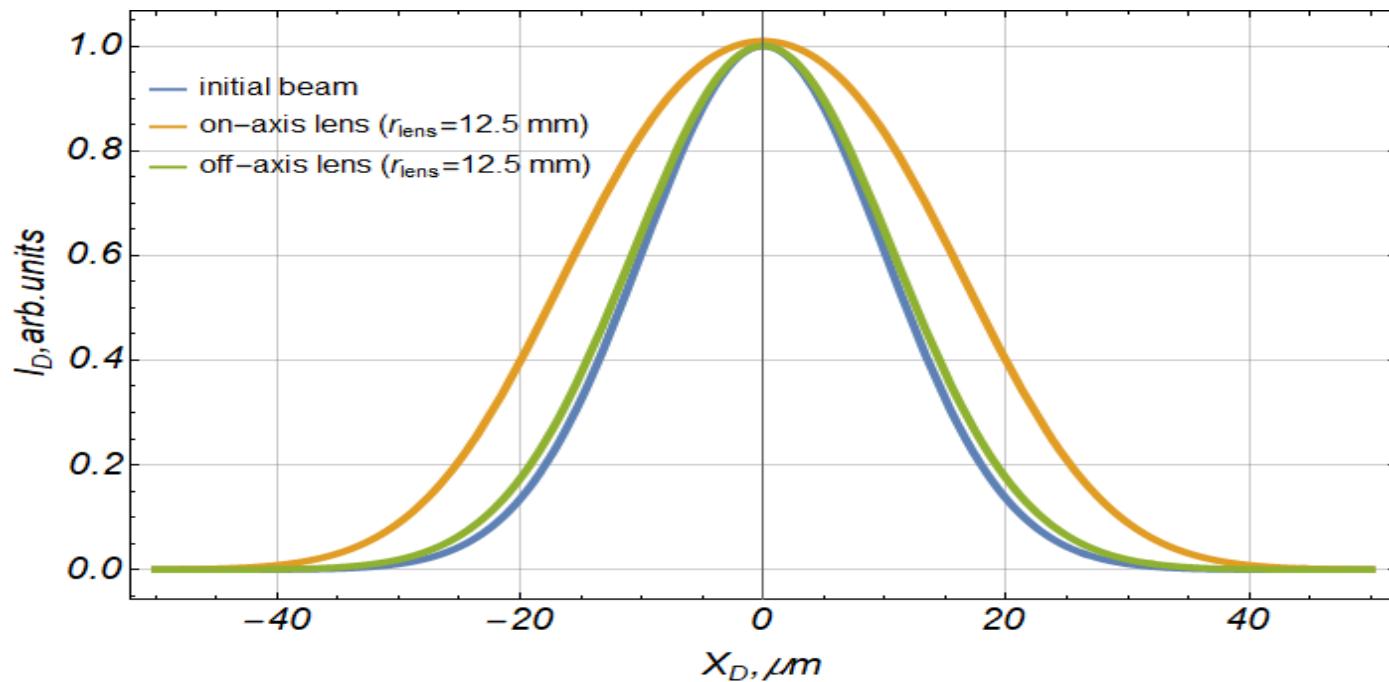
# Coherent Optical Transition Radiation for measurements of the transverse beam size, A. Potylitsyn



- For large emission angles  $\theta_t^e > (\lambda / 2\pi\sigma_t)(\log N_e)^{1/2}$  COTR is suppressed strongly and the photon yield is caused by OTR process only;
- off-axis light collection geometry can be used for spatial separation of COTR photons in order to measure a transverse beam size if  $\theta_t^e < 50 / \gamma$  and  $N_e \geq 10^7$
- geometry with off-axis light collection can provide more precise beam profile measurements

## Coherent Optical Transition Radiation for measurements of the transverse beam size, A. Potylitsyn

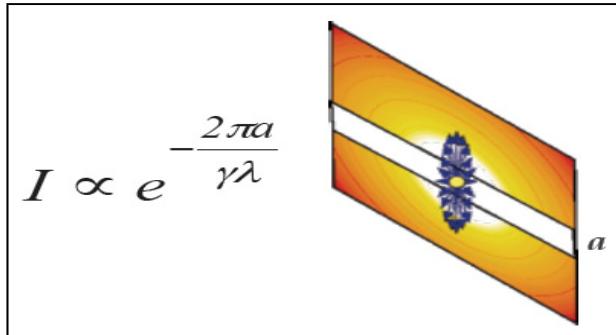
Beam images ( $\sigma^t = 10\mu$ )



# Beam Size Using ODR Techniques - E. Chiadroni (INFN)

## Optical Diffraction Radiation:

only screen monitor technique can be non-destructive



The beam goes through the hole without touching the screen (**non-intercepting**)

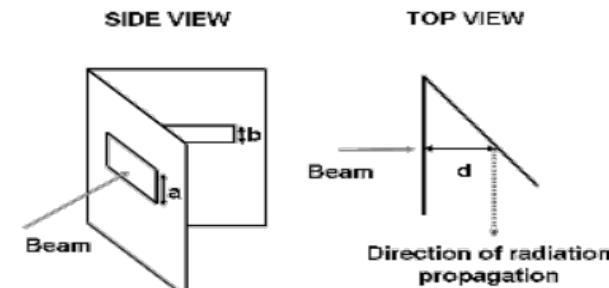
The visibility of the interference fringes provides the transverse beam size

But **very** sensitive technique: the angular distribution of the radiation depends on the beam transverse size, angular spread and position inside the slit

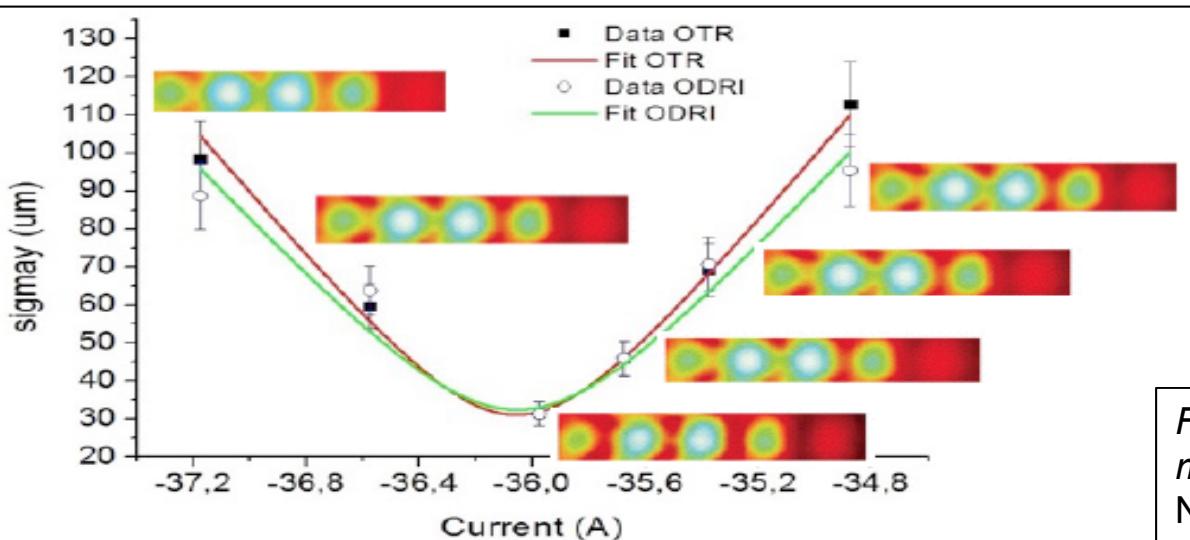
# Beam Size Using ODR Techniques - E. Chiadroni (INFN)

## Optical Diffraction Radiation Interference (ODRI):

- Suppression of possible SR background
- Avoids mixing the contributions from beam size and angular divergence



## Non-intercepting QP Scan:



## Comparison OTR vs ODRI:

- $\epsilon = 2.3 (0.4) \text{ mm}^* \text{mrad}$  – ODRI
- $\epsilon = 2.4 (0.4) \text{ mm}^* \text{mrad}$  – OTR

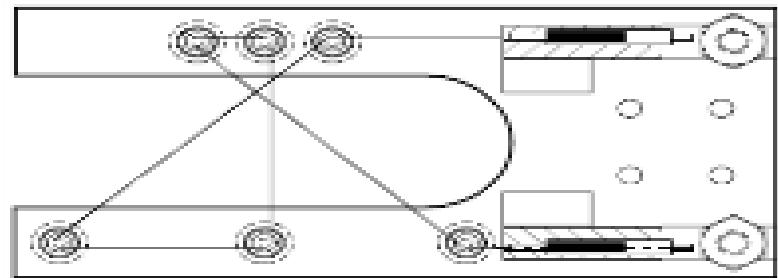
*First non-intercepting emittance measurement by means of ODRI*  
New Journal of Physics **16** (2014) 113029  
34

Summary of Topical Workshop:  
Emittance Measurements for Synchrotron Light Sources & FELs

- **Introduction**
- **Emittance Measurements for SLS:**
  - Direct Imaging Techniques
  - Inverse Space Imaging Techniques
  - Future trends
- **Emittance Measurements for FELs:**
  - Screen Monitors
  - Wire Scanners
- **Summary**

## Beam size measurements using Wire Scanners, K. Wittenburg

- About 30 different designs of wire supports depending on beam parameters
- An X-design (hor + ver in 45deg) reduces the number of scanners, but it is sensitive to vibrations
- Used in Hadron and e-Linacs, but no wire scanners in ring based SR sources
- Typical resolution of better  $10 \mu\text{m}$  is achieved, but for very small beam sizes there is a limit on the wire size: diameter + precision.
- Outlook: new approach based on nanofabricated wires

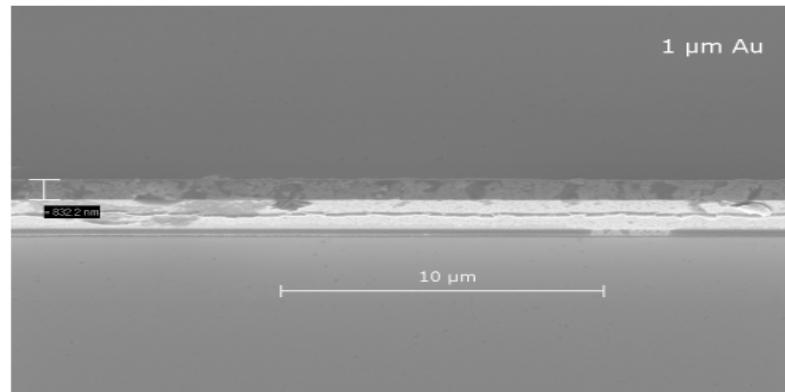
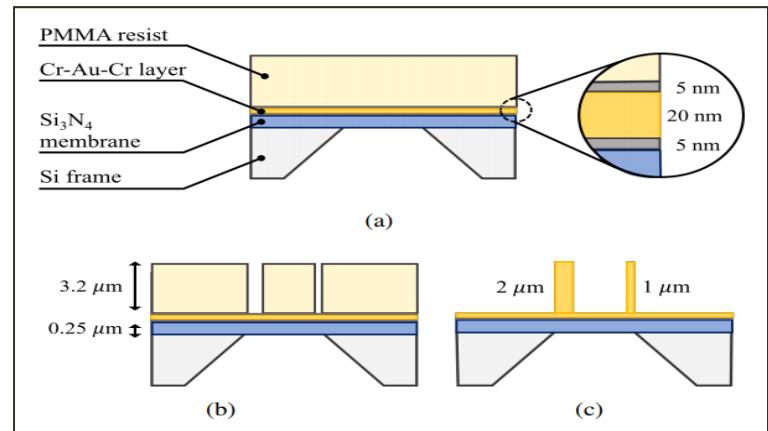
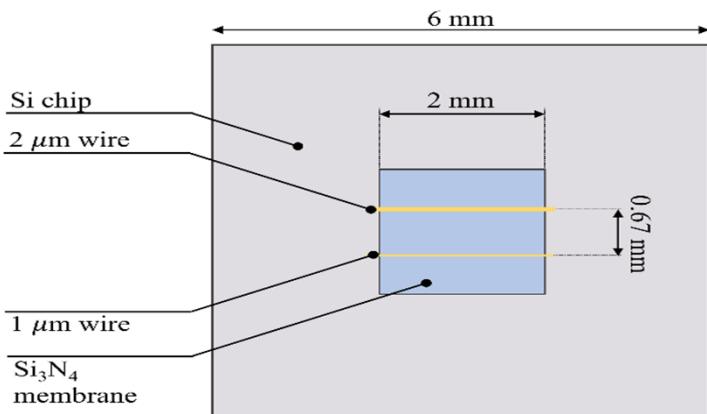


# NANO-EMITTANCE MEASUREMENTS IN THE SWISS FEL

S. BORRELLI (PSI)

## Wire Scanner on a Chip: Fabrication

- Electron beam lithography on a Cr-Au resist
- Removal of the Cr with plasma so the Au layer gets exposed
- Trenches (1um and 2um) are filled with electroplating



# NANO-EMITTANCE MEASUREMENTS IN THE SWISS FEL

*S. BORRELLI (PSI)*

# Convolution Fit

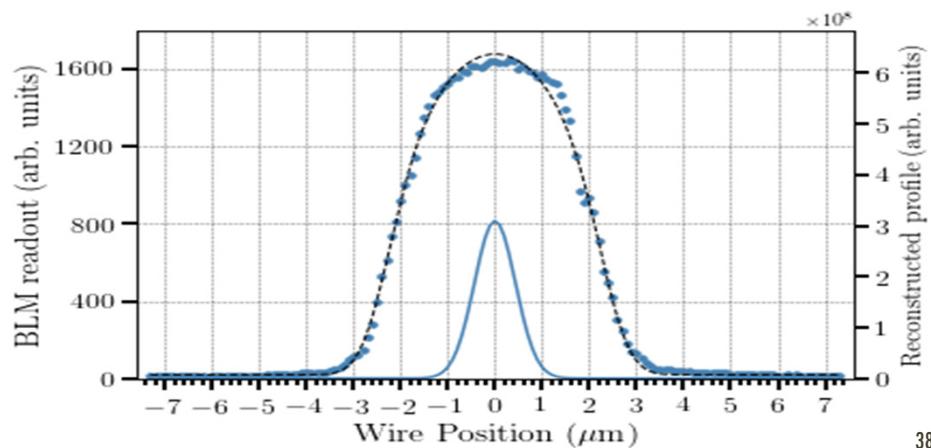
$$f(y; \Delta, \alpha, \sigma, \gamma) = \int t(u) \left[ \Delta + \alpha e^{\frac{-(u-y-\gamma)^2}{2\sigma^2}} \right] du$$

Wire shape      **hyp:** Gaussian Beam profile

## Results

- Measured profiles
  - Gaussian profile
  - Fitting function

Wire	$\sigma_y$ (nm)
5 $\mu\text{m}$ W	$462 \pm 11$
2 $\mu\text{m}$ Au	$491 \pm 4$
1 $\mu\text{m}$ Au	$491 \pm 5$



## Laser Wire Techniques , P. Karataev (Univ. of London)

Non destructive  
Micrometer resolution  
Key turn device  
vs. Team to run  
and maintain the  
scanner

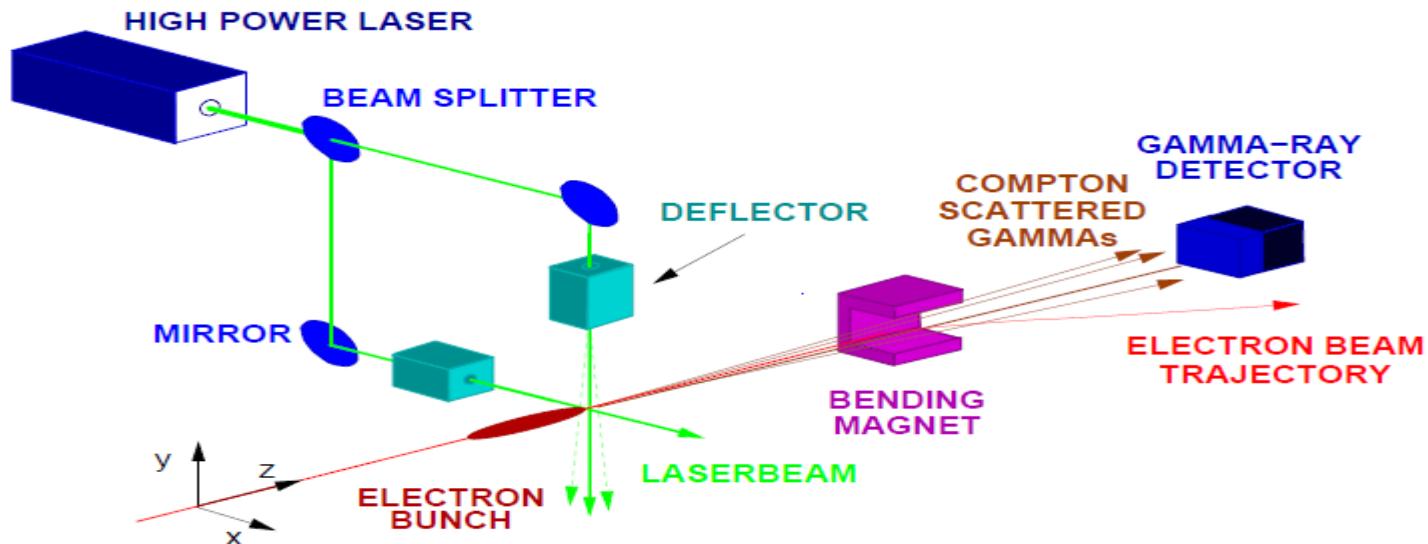


Figure 1: Operation principle of a laser wire profile monitor.

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- **Conclusions**

# Conclusions for SLS Techniques

- Knowledge of PSF of the measuring system is crucial, and careful studies/simulations should be performed I
- **For 3GSLSSs**, the most used technique is the x-ray pinhole. With a careful design, the smallest beam size measurable with this technique is **3um**.
- **For 3GSLSSs**, light Coherence analysis such as SRI using visible light, the measuring error can be **<1um**, but the ultimate resolution is given by mechanical limitations
- **For future MBA lattices:** dedicated beamlines with specific beamline instrumentation will be needed to cope with the future machine requirements

# Conclusions for FELs Techniques

- Knowledge of PSF of the measuring system is crucial, and careful studies/simulations should be performed to understand your system
- OTR and COTR can be combined to reach measuring beam sizes down to **1um**
- ODRI is a non-destructive technique which has been used to measure beam sizes down to **~10um**, but is sensible to many parameters such as beam size and position at the slit
- Future trends of wire scanners are nanometer width wires performed with lithography techniques. First measurements of **0.5um** beams performed

# Thank you!

...and may be see you in the next ARIES-ADA Workshop...  
“Next Generation of BPM Acquisition and Feedback Systems”

<https://indico.cern.ch/event/743699/overview>

Joint ARIES Workshop on Electron and Hadron Synchrotrons

12-14 November 2018  
Exe Campus Hotel  
Europe/Zurich timezone

Overview  
Timetable  
Registration  
Participant List  
Committees  
Venue & Accommodation  
Workshop Dinner

**Next Generation Beam Position Acquisition and Feedback Systems**

We are pleased to announce the Joint ARIES Workshop on Electron and Hadron Synchrotrons: “**Next Generation Beam Position Acquisition and Feedback Systems**”, which will be held at ALBA Synchrotron from November 12 - 14, 2018.

The workshop is a common project between Work Packages WP8.3 and WP8.4 of the ADA-ARIES EU funded programme and its organization is shared between ALBA and CERN.

