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FROM TRASH TO DIGITAL TREASURE: URBAN DIGITAL TWINING FOR SOLID WASTE MANAGEMENT

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Abstract

Urban sustainability faces a critical challenge in managing solid waste. With over 2 billion metric tons generated annually, global waste production has severe health and environmental consequences. Though not a primary SDG, effective waste management is vital for meeting targets 11.6, 12.4, and 12.5 and is intertwined with 12 out of 17 SDGs. South Africa, in particular, grapples with significant waste generation and inadequate collection services. A dynamic model is proposed to tackle these issues, integrating real-time monitoring, optimized collection routes, and citizen participation. This study introduces a prototype for a Waste Management Digital Twin, involving stakeholder prioritization, citizen engagement via an open-source tool (Epicollect5) for locating waste containers and littering sites, waste generation simulations, optimized collection routes, and a control dashboard.

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Waste generation simulations inform waste flows, low-capacity areas, and optimal container locations. Optimized collection routes are proposed to reduce fuel use and emissions. A control dashboard was developed where stakeholders' system requirements were included, and eleven indicators were displayed along three maps. Stakeholders rated the dashboard high, but some did not perceive the overall objective of digital twinning solid waste. The performance of the Digital Twin depends on computer capacity and local or online processing. The prototype sets the foundation for digital twinning in waste management, scalable to different areas, vehicles, and production levels. Digital twinning, citizen involvement, and multi-stakeholder engagement enhance waste management, particularly benefiting resource-limited countries.

Keywords: Digital Twins, Solid Waste Management, Citizen Science, Volunteered Geographical Information (VGI), Vehicle Routing Problem (VRP)

1. Introduction

This paper presents a continuation of the work originally presented in The 18th 3DGeoInfo conference ([Cárdenas et al., 2024](#)). Here, we explore the integration of urban digital twin technology with solid waste management systems to address the challenges of waste collection, intermittence, and illegal dumping in urban environments.

The term urban digital twin (UDT) refers to a digital replica of some of the physical assets of a district or neighborhood of a city that can be used to co-create and test scenarios with city-specific parameters ([Ruohomaki et al., 2018](#)). It goes beyond the static 2D or 3D representation, becoming a model

11 for the past, present, and future state ([Geohub, 2022](#)). Digital twinning aims
12 to provide laboratory mechanisms for understanding the spatial dynamics and
13 the impacts of climate change, biodiversity loss, permeability, unsustainable
14 transport, and effects of anthropogenic impacts on the city environment
15 ([Caprari et al., 2022](#)). An urban digital twin falls within the Augmented
16 Urban Planning framework for strategic planning ([Azadi et al., 2023](#)) and can
17 work as a Decision Support System to inform urban planners and designers
18 of the impact a project development will have and be a driver for citizen
19 involvement in the planning process ([Dembski et al., 2019, 2020](#)).

20 Urban digital twins have a process of data feeding - information response
21 – implementation reaction cycle that can move in near-real time and can
22 operate as Urban Computing workflows based on web communication and
23 processing ([Nourian et al., 2018](#)). In the first step of feeding data in the cycle,
24 cities are turning to the use of the Internet of Things – IoT for data collection
25 ([Abadía et al., 2022](#)) using sensors that communicate through technologies
26 such as Wi-Fi, mobile networks - 3G/4G/5G-, 6LoWAN, Bluetooth, Radio or
27 NFC ([Balaji et al., 2019](#)) to address challenges such as air quality ([Mak &
28 Lam, 2021](#)), traffic management ([Ibrahim et al., 2022](#)), parking occupancy, or
29 parking restrictions ([Latré et al., 2016](#)) while leaving other city challenges
30 behind.

31 Solid waste management is one of these challenges, which has been iden-
32 tified as important for integrating sensors towards a sustainable city with
33 a significant impact on quality of life ([Ismagilova et al., 2019](#)). According
34 to the World Bank, around 2.24 billion metric tons of municipal solid waste
35 were generated in 2020 worldwide ([Kaza et al., 2021](#)). A number that has

36 been increased by medical waste during the COVID-19 pandemic in values
37 between 62% and 350%, according to (Yousefi et al., 2021), or between 18%
38 and 425%, according to (Liang et al., 2021). Of the overall waste generation,
39 around 33% of them are not being environmentally safely managed every year
40 (Kaza et al., 2018).

41 The United Nations did not include Solid waste management as a primary
42 Sustainable Development Goal – SDG, potentially reducing its visibility in
43 the political agenda (Rodić & Wilson, 2017). However, tackling the issue is
44 intrinsically related to twelve of the 17 SDGs, principally SDGs 11, 12, and
45 13 (Wilson et al., 2015); therefore, it is a critical task to address to achieve
46 sustainability in cities.

47 For the city of Tshwane, the metropolitan municipality surrounding Pre-
48 toria – South Africa’s administrative capital – the irregularity of service has
49 led to protests claiming service delivery and consistency at equal levels as
50 of the apartheid white areas of the city (Mokebe, 2018). The city reports
51 that the solid waste that reaches the landfill per capita is around 1.95kg/d
52 (of Tshwane, 2022a), indicating a more significant waste production than the
53 national average. With over six hundred illegal dumping hotspots detected,
54 the city has identified measures to improve the solid waste management
55 system, including confirming illegal dumping sites, allocating new containers,
56 and applying intense cleanup of the streets (of Tshwane, 2022b).

57 Previous studies have suggested that executing the type of measures,
58 such as the ones identified by the city of Tshwane, requires moving from a
59 traditional static model to a dynamic one that adapts to changes in waste
60 generation and must incorporate real-time container monitoring and frequent

61 collection route optimization ([Hina et al., 2020](#); [Ramson et al., 2022](#)). More-
62 over, the model should include active citizen participation supported by
63 government structures for managing solid waste in a new model of waste
64 governance and sustainability ([Kubanza & Simatele, 2020](#)).

maybe put a paragraph that explains the gap of previous approaches.

Although I explain them in the section 1.1

65
66 In this sense, this paper aims to advance sustainable waste management
67 practices, improve urban cleanliness, reduce environmental impacts, and
68 enhance the overall quality of life in rapidly growing urban areas. This study
69 focuses on the collection stage of the process aiming to create a prototype
70 that incorporates waste generation simulations towards containers and vehicle
71 routing optimization based on the generation and prediction of future volumes.
72 The research is performed in the City of Tshwane, focusing on the Hatfield
73 and Hillcrest neighborhoods as a case study. The research aims to create
74 the first South African digital twin model for solid waste management and
75 propose a prototype that might be replicated in other cities.

76 *1.1. Background*

77 *1.1.1. Solid Waste Monitoring*

78 Several sensor implementations have been designed for monitoring solid
79 waste containers. Some include the use of ultrasonic sensors on the lid of
80 the containers ([Chaudhari & Bhole, 2018](#); [Joshi et al., 2022](#); [Karthik et al.,](#)
81 [2021](#); [Mahajan et al., 2017](#); [Ramson & Moni, 2017](#)), weight sensors at the
82 bottom of the container ([Rovetta et al., 2009](#)), a mix of both ([Ali et al., 2020](#);
83 [Vicentini et al., 2009](#)) or infrared sensors ([Singh et al., 2016](#)), to detect the
84 status of the containers in terms of fullness capacity. The ultrasonic sensor

85 designs of these studies were only tested at the prototype level, including
86 some indoor simulations of the solid waste collection, which is later reported
87 to a centralized system but tested in no more than two containers. This
88 type of sensor still needs to be tested in specific outdoor conditions of the
89 city where it should be implemented and on a scale that it can be installed
90 in several containers and send the signals to a centralized system that the
91 municipality or company in charge of the solid waste collection of a city
92 can operate. Nonetheless, [Ali et al. \(2020\)](#) simulations demonstrated the
93 possibility of creating production records and using them to forecast daily
94 generation levels for each container.

95 While the studies of Rovetta et al. and Vicentini et al. have tested them
96 outdoors, in Shanghai, PR China, with controlled scenarios for residential
97 and commercial usages, the test made by these authors used operators for
98 the containers. It invited citizens to use those particular containers creating
99 a bias in the actual values of on-site generation. These studies already
100 propose including a route optimization for solid waste collection as a future
101 development and use of the designed sensors. In addition, they do not
102 implement them with real-time information.

103 The city of Utrecht, Netherlands, has already incorporated ultrasound
104 sensors and daily rerouting based on the level of fullness containers have,
105 reducing the number of vehicles and preventing overflow of the containers
106 ([Utrecht, 2021](#)), showing the capabilities that this type of integration have on
107 the minority world.

108 1.1.2. *Routing optimization*

109 Solid waste collection can be seen as an inversed good distribution problem,
110 where items must be gathered instead of delivered. It is necessary to optimize
111 the waste collection route to make an efficient collection. Therefore, solid
112 waste collection is an optimization problem that depends on the number of
113 collection points, the waiting time for load and unloading, and the accumulated
114 distance from the landfill to collection points and between collection points
115 ([Sarmah et al., 2019](#)).

116 Route optimization has been studied for several years with different
117 approaches. The first one is algorithm improvement, where a mathematical
118 method is analyzed to get the most efficient collection route ([Erdinç et al.,](#)
119 [2019](#); [Hannan et al., 2018](#); [Sahib & Hadi, 2021](#)), showing the possibility of
120 reducing cost based only on the length of the road segments, and how efficiency
121 also implies an additional coverage of for collection due to the extended use of
122 vehicle fuel. A second approach is agent-based modeling, which simulates the
123 generation of solid waste and sequential filling of containers collected on the
124 shortest route between filled containers, maximizing profits for the collection
125 scheme ([Likotiko et al., 2017](#)). On a third method, GIS analysis using the
126 ArcGIS Network Analysis tool has been implemented considering the length of
127 routes, topography, and time taken for collection ([Hemidat et al., 2017](#); [Jovicic](#)
128 [et al., 2010](#); [Malakahmad et al., 2014](#)). Finally, an integration of the three
129 methods has been studied, optimizing the route by a mathematical model,
130 including the road network, traffic data, and collection scheme from GIS data,
131 and testing the model in agent-based model simulation ([Nguyen-Trong et al.,](#)
132 [2017](#)). These optimizations follow the same vehicle routing problem: 1) where

133 the route should start and end at the depot or landfill, 2) each container is
134 served by only one route, 3) the vehicle capacity limits the collection, and 4)
135 the route must comply with the traffic regulations of each country.

136 The approaches used for vehicle route optimization have shown reduced
137 operation time and savings in fuel and man resources. Only the study
138 performed by [Likotiko et al. \(2017\)](#) considers consecutive optimizations based
139 on the volume of the container and the constant changes in the generation of
140 solid waste that would require re-optimizing the route when including real-
141 time data. These optimizations aim to deliver a one-fit-for-all solution rather
142 than adapting to the requirements of each area and the dynamic generation
143 of solid waste.

144 *1.1.3. Stakeholder identification and classification*

145 As waste management systems include technological, political, environ-
146 mental, and socio-economic aspects that are interrelated and dynamic, they
147 have many stakeholders ([Zaman & Lehmann, 2011](#)). Understanding the
148 stakeholders' characteristics, local conditions, and constraints helps increase
149 participation and improve the effectiveness and willingness to find appropriate
150 solutions ([Lishan et al., 2021](#); [Palacios-Agundez et al., 2014](#)). Therefore,
151 it is necessary to understand who a stakeholder is, their relations among
152 stakeholders in the specific context of a study area, and the particularities of
153 what is at stake ([Freeman, 2010](#)).

154 One of the methods for stakeholder identification, developed by [Mitchell](#)
155 [et al. \(1997\)](#), introduced a stakeholder classification system based on three
156 attributes: Power, Urgency, and Legitimacy. This framework yields seven
157 stakeholder typologies, Dormant Discretionary and Demanding (when having

one attribute), Dominant, Dangerous and Dependent stakeholders (when having two of the attributes), culminating in Definitive stakeholders with all three attributes (see Figure 1). This classification recognizes latent stakeholders and delineates their roles and limitations.

Figure 1: Stakeholders Typology. One, two or three attributes are present. Source: Mitchell et al. (1997)

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While Mitchell et al.’s model is comprehensive, critics argue it overlooks vulnerable stakeholders lacking any of the three attributes (Shafique & Gabriel, 2022). Driscoll & Starik (2004) suggest extending the model by incorporating spatial and temporal dimensions, emphasizing physical and social proximity as attributes influencing stakeholder relationships. Shafique & Gabriel (2022) address this gap by introducing proximity as an independent attribute coexisting with power, urgency, and legitimacy. They propose eight new typologies (see Figure 2), expanding the stakeholder classification model and focusing on project operations beyond organizational management, thereby identifying and categorizing vulnerable stakeholders. Although the model

Figure 2: Stakeholders’ typology with four attributes and their relationships. Source: (Shafique & Gabriel, 2022)

171

provides a comprehensive identification and classification system, just as Mitchell et al., the newly suggested model does not consider a method for identifying the possession of each attribute and the relationships between one and another stakeholder. Therefore, the classification tends to be subjective to the researcher’s interpretation creating a significant bias on the typology allocation.

177

178 2. Methods

179 2.1. Data

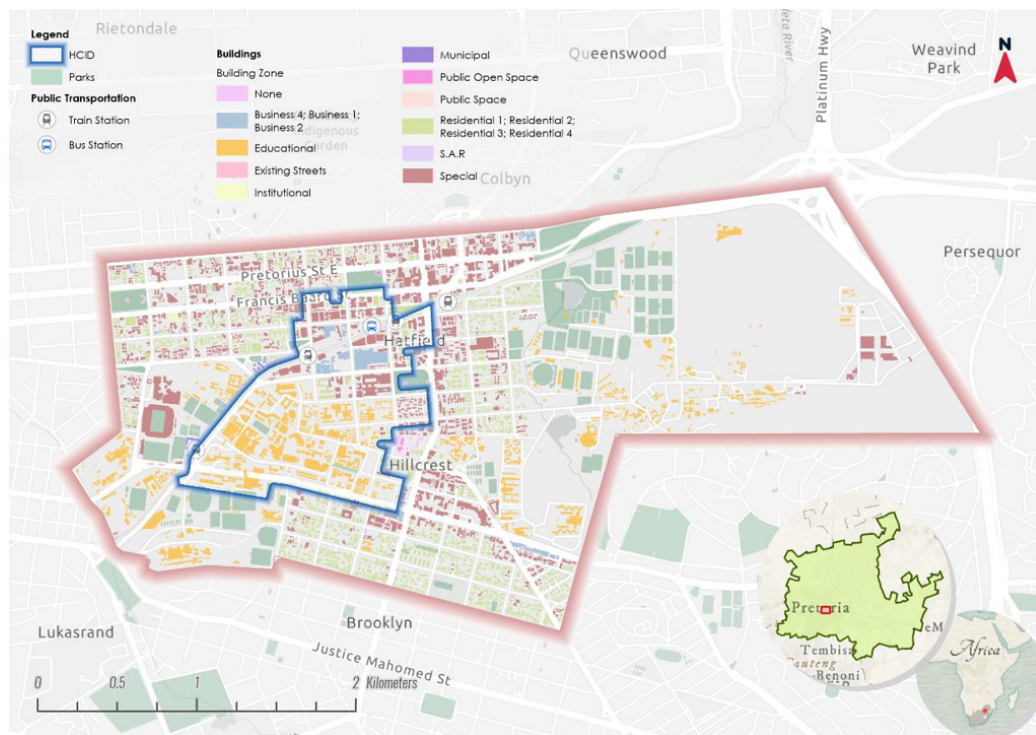
180 The study focused on the Hatfield and Hillcrest neighborhoods of the cap-
181 ital city of South Africa, Pretoria. The area comprises 9.45 km² surrounding
182 the University of Pretoria main campus (See Figure 3) with different land
183 uses such as residential, institutional (embassies), commercial, agricultural,
184 and educational. This area is part of the ongoing project of African Future
185 Cities from the Department of Architecture in the Faculty of Engineering,
186 Built Environment, and Information Technology of the University of Pretoria.
187 The area also includes the Hatfield City Improvement District (CID). This
188 non-profit and private organization performs corporate governance of the area.
189 It is funded by a taxpayer’s property levy collected by the municipality and
190 transferred to the Hatfield CID for operation, providing additional services
191 such as cleaning and maintaining public spaces, private security, and urban
192 embellishment (CID, 2021).

193 2.2. Geospatial Datasets

194 The research was supported with geospatial data from the City of Tshwane,
195 the National Geo-Spatial Information Centre of South Africa, and data
196 collected by the Faculty of Engineering, Built Environment, and Information
197 Technology of the University of Pretoria. The initial data required for the
198 research are summarized in Table 1, including data type and sources of
199 information. To use in a web environment, all data was reprojected to WGS
200 1984 (ESPG: 4326). Nonetheless, length and area attributes were calculated
201 in Hartebeesthoek94 / Lo29 (ESPG: 2053).

Table 1: Datasets used

Geospatial Dataset	Specifications	Data Type		Date	Coordinate System	Source
LIDAR Scanning	Aerial laser scanning with 0.6m of separation	LAS		June,2019	EPSG:4148	University of Pretoria, ESRI
Buildings	Building footprints with attributes Name,type of building	Vector Polygons		March,2023	EPSG: 4326	OpenStreetMaps Contributors
Road Network	Polyline of motorcar roads, including total length, road direction, road type	Vector Lines		March, 2023	EPSG:2053	City of Tshwane GIS portal
Aerial Imagery	Very High-Resolution Imagery from Unmanned aerial vehicles - UAV from the study area. RGB Bands. 0.1m Spatial Resolution	Raster		June,2018	EPSG:2053	City of Tshwane GIS Portal
Zonning	Polygons DEfining regulations for land use	Vector Polygons		March 2023	EPSG:2053	City of Tshwane GIS Portal
Global Settlement Population	Estimated Residential population per 100x100m cell. Epoch 2020	Raster		June, 2022	EPSG:54009	GHS population grid multitemporal (1975-2030) (Schiavina et al., 2022)
Solid Waste Containers and Littering Location	1,270 containers and 820 illegal dumping reports		March,2023	EPSG:4326	Vector Point	On-field data collection - (Cárdenas et al., 2024)

202 *2.3. Stakeholder identification*

Based on an unstructured interview with a key informant, a stakeholders' workshop took place on the 31st of January 2023. The activity focused on understanding the dynamics and relationships of the stakeholders and their expectations and requirements to improve solid waste collection management. Such requirements were asked of the stakeholders, separating them into three categories: Strategic, Operational, and Performance.

The workshop was video recorded. Authorization of the participants and a transcript were generated using the method developed by Radford et al. (2022). The text was analyzed by identifying additional stakeholders and the relationships of Power, Urgency, Legitimacy, and Proximity that exist

Table 2: Analytical Hierarchical Process pairwise comparison. Source: (T. L. Saaty, 1990)

Relative Im- portance	Definition – X: power, urgency, legitimacy, proximity
1	i and j have equal X
3	i have moderate X over j
5	i have strong X over j
7	i have very strong X over j
9	i have extreme X importance over j
2,4,6,8	Intermediate values between two adjacent judgments
Reciprocal	When the relation is inverse – (eg. j has strong X over i : 1/5)

between all of them and classified them according to the typologies of the Salient Model (Mitchell et al., 1997; Shafique & Gabriel, 2022).

To perform such classification and reduce the subjectivity, a pairwise comparison was made using the Analytical Hierarchical Process described by Saaty (1987, 1990). Each attribute was compared on a nine-point scale of their attribute level when stakeholder i is compared with stakeholder j , as explained in Table 2.

Values are then normalized, and, based on the resultant eigenvector of each attribute, the different stakeholders were classified according to the typologies of the Salience model. On this classification, stakeholders classified as **Definitive** and **Crucial** were considered the primary end users of the Digital Twin.

2.4. Urban Waste Management Digital Twin Design

The design of the Urban Digital Twin comprehends a series of steps as city reconstruction, waste calculation, route optimization, and system integration. In Figure 4, there is a detailed flowchart that summarizes the process.

Figure 4: Urban Digital Twin Design Flowchart.

Figure 5: Waste Digital Twin Architecture

229 *2.4.1. System Architecture and Data integration*

230 Integrating the elements in one Digital Twin tool followed the architecture
231 proposed in Figure 5. To create an online, easily accessible control tool,
232 a Dashboard was developed, including the stakeholders' user requirements
233 identified in section 2.3. The process includes retrieving citizens' collected
234 data through Epicollect5 API that is exported to a JSON file, filtered, and
235 transformed into a CSV point file that can be converted to a point layer to
236 display the containers. Then, the container allocation for buildings is assigned
237 using a near function. The optimal route is calculated, and the resulting
238 route and pick-up sequence are displayed in an operational Dashboard where
239 layers on the dashboard are updated every 6 seconds. The dashboard contains
240 descriptive statistics and the key elements identified by stakeholders.

241 *2.4.2. City Buildings reconstruction*

242 An aerial LIDAR scan from Jun 2019, with a spatial accuracy of 60cm, was
243 classified into five categories: ground, noise, low vegetation, high vegetation,
244 and building points. OpenStreetMaps – OSM – building footprints ([contributors, 2023](#))
245 were used to help the building classification by performing a 2D
246 intersection that differentiated the vegetation from the buildings.

247 Later, the building points were transformed into a flat raster (no Z values)
248 where void areas were filled in at a distance of 1.2m (double the pixel size).
249 The resulting raster was transformed into polygons on which edge angles

250 were normalized into right angles and diagonals to obtain geometrically valid
251 polygons. The final polygons were, once again, compared with the OSM to
252 extract the footprints that the OSM contributors had not mapped. Both
253 footprints were merged into a single file containing the complete building
254 footprints of the study area. The quality of the result was tested with a
255 confusion matrix analyzing 1,000 random point locations in the study area.
256 To improve quality, identified polygons with areas smaller than 25 m^2 and
257 heights $\geq 3\text{m}$ were inspected visually to detect and eliminate false positive
258 results that generally were related to trees.

259 With the ground classification of the LIDAR point cloud, a Digital Terrain
260 Model, Digital Surface Model, and Normalized Digital Surface Model were
261 generated. Together with the building footprint, these were used to extract the
262 base elevation of the buildings, average height, and rooftop form by classifying
263 them as Flat, Shed, Gable, Hip, Mansard, Dome, Vault, or Spherical. This
264 classification is used for the 3D representation of the roofs and to apply
265 procedural textures.

266 The attributes number of stories above ground, class, function, and usage
267 from the CityGML 3.0 model were used to have more extensive information
268 on the building’s attributes. The data for each building was obtained by
269 combining OSM information, City of Tshwane zoning ([of Tshwane, 2023b](#)),
270 and on-field validation of the attributes. This validation was performed by
271 a group of 76 first-year Architecture students at the University of Pretoria.
272 Additionally, for each building, the total floor area was calculated by dividing
273 the height into 2.4 meters – The minimum required height for rooms in
274 Tshwane ([of Tshwane, 2014](#)) – and multiplying this value for the footprint

275 area to obtain the total floor area. A UAV Image was used to perform quality
276 control in classifying buildings and determine their usage where on-field
277 validation was not possible.

278 *2.4.3. Solid waste generation calculation*

279 To obtain an estimation of the population residing in each building of
280 the study area, it was employed the Global Human Settlement Population
281 Layer ([Schiavina et al., 2022](#)) on a 100m resolution calculating the population
282 density for each pixel based on the total floor area of residential buildings
283 inside each polygon. The population density value mentioned above was used
284 to derive the population count for each residential building. The resulting
285 inhabitants' calculation was then multiplied by the average waste production
286 value, allowing us to estimate the daily waste production per building.

287 Non-residential buildings were categorized into four classes with production
288 per class as described in Table 3. These categories are organized from higher
289 to lower generation rates, and the waste production corresponds to the upper
290 tier of the range indicated for each class by [Karadimas & Loumos \(2008\)](#).

291 For each building, the closest container, on an “as crow flies” method,
292 was assigned to indicate where solid waste might be deposited and collected.
293 A 600kg/m³ waste density was also assigned as the collection company's
294 operational estimation for its current routing scheme. To simulate the waste
295 production at each location, a random number between 0 and 1/24th of the
296 total daily production was generated, where a maximum excess of the daily
297 production was set to 20%.

Table 3: Building Classes, related commercial activity, and Waste production. Source: Adopted from (Karadimas & Loumos, 2008)

Category	Typical Commercial Activity	Waste production ($kg/(m^2)d$)
A	Supermarket, bakery, restaurant, grocery store, greengrocery store, fish store, fast food, bar, pub, club, café.	0.419
B	Butcher store, patisserie, hair-dresser, wine-vault, floristry, garage, pizzeria.	0.225
C	Theatre, church, school, bookstore, barbershop, traditional café, pharmacy, post office, lingerie.	0.124
D	Embassy, office, Insurance company, chapel, betting shop, tutoring center, shoe store, clothing store, jewelry store, video club.	0.024

2.4.4. Optimal Collection Route

A network analysis was performed using a Capacitated Vehicle Routing problem solver (?) to calculate the optimal collection route. The model for the route solution included several factors, such as the aggregated containers' location, their current volume to be collected, the saturation, and limitations by vehicle capacity.

The solver uses a nearest insertion heuristics algorithm combined with the Tabú search metaheuristic method from ESRI for solving the CVRP (?). This type of algorithm explores solutions by moving from a solution to a neighbor solution, even accepting a temporal detriment on the current iteration, to find a better global result (Local Search) (Avdoshin & Beresneva, 2019; Laporte et al., 2014). In the nearest insertion, the problem solution selects the shortest edge and performs a sub-solution of it, then selects a

node not in the solution with the shortest edge to create consecutive nodes; it follows by finding an edge where the insertion of the consecutive nodes will be the minimal accumulation between previously solved nodes (Nilsson, 2003). The Tabú search method allows moves with a negative gain if a positive has not been found. The algorithm creates a list of illegal moves to avoid infinite circular loops. Once a neighboring solution is chosen, it will be added to the tabu list, ensuring that it is not revisited unless it leads to an improved tour or is removed from the list (*ibid*).

For this study, first, the road vector layer was classified to identify monodirectional and bi-directional segments. Their category (residential, highway, link) and the speed of vehicles are restricted to transit. The second step includes creating a Network analysis layer and identifying edges and nodes. Here, each edge weight was calculated according to the time needed to travel the road segment using each segment's maximum speed and length.

The third step corresponds to selecting such containers where saturation is higher than 75% (this is an arbitrary value that was selected as $\frac{3}{4}$ of the capacity of the container) and loading them in the network as collection orders.

Following this, the conditions of analysis are configured including the starting and ending. Once the network is configured, waste is accumulated as described in the previous section, and the problem-solving process occurs every sixth iteration (skipping the 24th one to represent night and non-working collection hours), using a method developed by ESRI. The process starts by creating an OD matrix representing the shortest path between the collection orders and the landfill location. Collection orders are added one at a time to

336 the best route, and the process is enhanced on a tabu search metaheuristic
337 approach to finding an optimal solution (?).

338 Once the solution has been found, inserted containers' current waste
339 and saturation are reset to zero, representing a clean-up or collection of the
340 containers. Meanwhile, the non-collected orders keep accumulating until their
341 saturation reaches the threshold. After each clean-up, routes, and orders are
342 deleted to make space for the new route and avoid memory overload.

343 2.5. Stakeholder Assessment

344 On the 12th of July 2023, A workshop demonstration of the Urban
345 digital twin was performed with 21 stakeholders showing them the possible
346 interactions and data that can be visualized and operated in the digital
347 twin control dashboard. The overall development process of the digital twin
348 was shown to the attendants along with a Demo video (See [Youtube link](#))
349 of the functionality. They could use the tool freely after the video, and a
350 questionnaire was delivered to the participants to evaluate the prototype.

351 The questionnaire was designed with questions on a five-point Likert
352 scale aiming to evaluate the user's satisfaction (see ??) . It measures the
353 usability and usefulness following the method proposed by [Ballatore et al.](#)
354 (2020) and the added value analysis proposed by [Pelzer et al.](#) (2014) at the
355 group and outcome levels (See Figure 6). The evaluation of the Digital Twin
356 was analyzed and discussed following the Gemini Principles ([A & Schooling,](#)
357 [2018](#)) in their three classes: purpose, trust, and function (Figure 7).

Figure 6: Assessment Framework. Source : adaptation (Aguilar et al., 2021 ; Ballatore et al., 2020 ; Pelzer et al., 2014)

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Figure 7: Digital Twins Gemini Principles. Source: (Bolton A & Schooling, 2018)

3. Results

3.1. Current Practices

According to the Community survey report of the Province of Gauteng (Africa, 2018), the city of Tshwane had 2,921,488 inhabitants in 2011 and 3,275,152 in 2016. This indicates an average annual growth of 2.28%. Calculating the value for 2023, with the same growth rate, the city now has an approximated population of 3,835,010 inhabitants.

As early as 1995, the Gauteng Province recorded an urbanization level of 94% (Service, 1997). Likewise, the 2011 census shows that the city of Tshwane had an urbanization level of 92.3% (Africa, 2012). Assuming there has not been a considerable change on this level, the total population in the urban area of Tshwane is 3,539,714 inhabitants in 2023. At a rate of 1.95 kg/inhabitant-d (of Tshwane, 2022a), the overall production is 6,902.44 Tons/day of waste for residential, commercial, and industrial waste.

The stakeholder workshop provides information to understand the city's collection scheme process. Generally, the municipality collects the waste of residences and businesses once every week on 18m³ compacter vehicles that have an efficiency of 4km/L of Diesel. Each suburb has its designated day, and collection companies only control the type of building and number of residential units in each suburb. Due to their high waste production, restaurants get their waste collected daily. Additionally, individual businesses can contract a private waste collection company to provide the service in their required conditions.

381 “[For] business, there [is] an option or a daily collection as
382 well. It’s a different kind of bin. But, as far as I know, it’s not
383 sorted. It’s not recyclable in terms of the sorting. So, it’s not
384 differentiated, but it’s just a regular collection on a daily basis” -
385 CID

386 The municipality also has a team of foot workers in the public area who
387 deal with pedestrian and vehicle littering. They are provided with bags for
388 picking up the litter, which is then moved to central points where trucks can
389 collect them. Contrary to the truck collection, foot personnel do not work on
390 a scheduled basis. Instead, they do so in an “as the need arises” approach.

391 The CID provides a littering picking improved service on the streets,
392 sidewalks, and parks of their service area with 16-foot workers and one truck.
393 For the picking, the municipality provides them with garbage bags of around
394 70,000 to 80,000 bags of waste yearly, registered by each worker and their
395 supervisor in a manual scorecard log. Within the CID, the working schedule
396 for foot workers follows a standardized timetable. From 7 am to 11 am they
397 perform litter picking in their designated area of around 1 to 1.5 blocks. In
398 the afternoon, they would focus on performing tree maintenance and biowaste
399 cleanup. In the case of city events and the CBD – where bars and restaurants
400 are located -or after a weekend, workers would focus on the area where the
401 event took place and continue with their assigned activities. On the other
402 side, private student accommodations, where around 30,000 students live,
403 have their private collection in small trucks.

404 “So we haven’t got to a point where there’s a sort of a connected
405 waste strategy for the full precinct”- CID

406 The different collectors in the city take the gathered waste to five landfills
407 where waste can be taken. Usually, the waste goes to the closest landfill where
408 collection occurs. In the case of the Hatfield study area, this is the Hatherley
409 Municipal Dumping Site located at 28.407°E - 25.741S (Figure 8).

410 In the waste dumping sites, trucks dump their waste in the space indicated
411 by the location supervisor. When the area is getting full is then compacted
412 by a front-end loader. The waste dumping sites are open to the public, where
413 they can discard materials such as construction waste, electrical appliances,
414 or bio-degradable waste.

Figure 8: Hatherley Municipal Dumping Site location in relation to the Study Area

415 3.2. Stakeholder classification and system requirements

416 A total of 15 stakeholders were identified after the stakeholders' workshop.
417 Analyzing the workshop transcript, it was possible to create four pairwise
418 comparison matrices for the four analyzed attributes and classify them in the
419 typologies as seen in Table 9. Three of them were identified as non-stakeholders
420 for the Waste Digital Twin prototype: Local Researchers, Student residences
421 and Composte providers.

Figure 9: Stakeholders' attributes and typologies. Attribute values are percental weights for each attribute calculated. Bold numbers indicate the largest weight for each attribute, and blue numbers indicate the lowest weight for each attribute. Typologys highlighted in purple are the stakeholders considered a primary focus for compliance with user requirements.

422 The most powerful stakeholders are related to the political power the
423 Department of Forestry Fisheries and Environment – DFFE - has on regu-
424 lations and requirements for the provision of the solid waste management

425 service. The regulations imposed lay on the municipality the responsibility
426 for providing the service within their area or government, giving them the
427 power to set up their own rules for service delivery.

428 Nonetheless, other stakeholders also have large power in solid waste
429 management as the proximity to the core of waste management reduces. For
430 instance, the landfill operators have gained non-overviewed control of the
431 dumping sites where

432 *“All [...] points to a total lack of management from the city*
433 *side. To control that (landfill operation) [...] They (landfill*
434 *operators) don’t look too afraid to go there. All they’re doing is:*
435 *the trucks are being allowed in, and whatever happens there is*
436 *being managed on-site and the city keeps applied by, because they*
437 *know each truck that comes in is already being paid”*

438 Apparently, this is due to the economic benefit it implies to operators at
439 the cost of the citizens. As the municipality themselves recognizes:

440 *“... it’s not very good. [Waste] Generation is a lot of income*
441 *from the city. The income, just by households and businesses pay*
442 *them. They collect this waste in every place and go and then they*
443 *dump it in about five landfills in the city”*

444 On the urgency side, the CID stakeholder has been identified as the
445 one with more urgency as they provide a local governance service to the
446 community who pay a tax to enhance the neighborhood. So, they want to
447 deliver that promise and respond to the tax contributors. In their own words:

448 *“We are friendly with the landlords. I mean they pay me a*
449 *levy and we want to try and give them the most value for it. So,*
450 *[...] how do we make sure that we manage your waste in a more*
451 *effective way because they [Business and Offices] waste everything*
452 *in Box Street right? at the back of the center, and there’s whatever*
453 *serious smell there you understand? You know the bad smell is a*
454 *sign of bad management. That’s all it is. So, we need to find a*
455 *better way of dealing with this thing and say: ‘There’s some clever*
456 *people around the table who want to help you’ because let’s help*
457 *each other in this thing so that for me is a very big opportunity”*

458 Another stakeholder identified with urgency is the Ward Counselor, as
459 he becomes the key connection point between citizens and the municipality.
460 Complaints of waste collection and littering go through the counselor, and
461 their job gets filled with citizens’ complaints when, for instance, waste has
462 not been picked up, as the municipality representative recalls:

463 *“[The] majority of ward councilors use WhatsApp systems very,*
464 *very effectively. That’s the shortest communication. Whether*
465 *there’s no water, no electricity, that poor council has been bom-*
466 *barded instantaneously. ‘Why is electricity supposed to come on*
467 *the level? It’s now five minutes past 11, what does it mean?’ The*
468 *same request.”*

469 The key informant also provides insights into how the counselor is this
470 crucial link between communities and how they can benefit from the Digital
471 Twin for waste management:

472 *“So, when we are a problem as a domestic or business, and*
473 *it’s a big problem that I get frustrated with, I send my counselor,*
474 *and everybody does this, typically the first complaint. I also log my*
475 *calls with the city to get a record, but usually, the action happens*
476 *through the WhatsApp group and the counselor who elevates that*
477 *issue. And that’s how our cities function in a formal way”*

478 *“... if we can advance whatever we’re doing with waste and*
479 *make that person shine and successful, that’s a political massive*
480 *value add on both sides of making waste go away or making crime,*
481 *whatever the issue is. So, I think that’s one of our end users. is*
482 *can the ward councilor’s job be so much easier and better because*
483 *of how we are working with waste? That’s a kind of end user.”*

484 The legitimacy of the stakeholders is balanced between most of the stake-
485 holders as each has its claim and is recognized by other stakeholders. However,
486 residents become more legitimate as they are affected by the service perfor-
487 mance and the effects illegal dumping can have. As the focus of the proposed
488 Digital Twin is only on the collection phase of waste management, landfill
489 operators were given low legitimacy compared to other stakeholders. This
490 is also related to their interest in keeping waste flowing toward the landfill
491 without much control, as explained above.

492 Finally, the proximity attribute was higher for the residents and waste
493 pickers as they are in proximate contact with the waste, and any change in
494 the waste management scheme will positively or negatively impact them. On
495 the other hand, the DFFE has the least proximity to the stakeholders as their

Figure 10: Stakeholders' typologies for Waste Collection Digital Twin.

496 role is related to national policies and is more distant from local issues and
497 solutions.

498 In this way, when organizing the stakeholders in the Salience Model, the
499 CID and Ward councilor have a typology of Crucial as they rank high in
500 all four attributes. The municipality is then characterized as a Definitive
501 Stakeholder as it ranks high in three attributes but has lower proximity
502 than other stakeholders. As explained in the methodology, as a result of
503 the classification, these three stakeholders are the ones that were considered
504 end-users of the Digital Twin tool. Figure 10 shows the distribution of the
505 stakeholders on the sixteen possible typologies.

506 The stakeholders identified 32 requirements for improving solid waste
507 management: zero waste and assessing environmental impact, the most com-
508 mon. Stakeholders highlighted the importance of aligning to the Sustainable
509 Development Goals (SDGs), the Nationally Determined Contributions (NDC)
510 under the Paris Agreement, and the European Sustainability Reporting –
511 ESG- Standards (Table 4).

512 According to the requirements urgency of the definitive and crucial stake-
513 holders and recognizing time availability, resources, and external data that
514 are not within the scope of the designed methodology, 17 of the requirements
515 identified were not included in the final elements to be included in the Digital
516 Twin. Even so, these requirements provide insightful information about all
517 the elements different stakeholders would like to get information from and set
518 a list of all the requirements that are needed for a complete development, at a

Table 4: Stakeholder user requirements.

Category	Elements
Strategic	Carbon footprint reduction
	Environmental impact
	ESG reports
	Polluter Identification
	Reports to NDC for Paris Agreement
	Scalability to Country
	SDG Goals performance
	Sources of waste
	Type of waste generated
Performance	Zero Waste
	Dedicated person-hours
	Optimally used container's location
	Recycling per building
	Recycling per campus (university)
	Recycling per sorting area
	Total Generation Waste
	Trucks Fuel consumption
	Waste production heatmaps
Operational	Container capacity level
	Container location
	Data Time series
	Emissions measurement (odors)
	Event preparations
	Historic accumulation of waste
	Optimal collection route
	Proportion and quantities that go to landfill
	Real-time measurement
	Real-time generation
	Simple design
Visualization designed (also) for illiterate people	Street sweepers distribution
	Waste pickers distribution

city level, of a Waste Management Digital Twin that satisfies all stakeholder's requirements. The final requirements that were included are listed in Table 5.

Table 5: Final Requirements included in the Waste Management Digital Twin.

Category	Elements
Strategic	Polluter Identification Scalability to Country SDG Goals performance (MSW Generated Tons/d) Sources of waste
Performance	Optimally used container's location Total Generation Waste Trucks Fuel consumption Waste production heatmaps
Operational	Container capacity level Container location Optimal collection route Real-time generation Simple design Visualization designed (also) for illiterate people

3.3. Building reconstruction and identification

A total of 4,768 buildings were identified with the proposed method. The accuracy of it is shown in Table 6 based on a random 1,000-point allocation. After visual inspection, 663 polygons were eliminated as they correspond to trees, cars, car shades, and bushes.

Students perform validation on 424 buildings (10.33%), focusing on residential areas. Those buildings' attributes were updated before 3D reconstruction and area calculation (see Figure 11). After validation, visual inspection of the Areal imagery, and using OSM data, 123 buildings could not be classified. The total number of buildings per class can be seen in Figure 13. Buildings classified as "Function" refer to parking lots, sheds, and garages. With the buildings identified and attributes corrected, the buildings were transformed into a 3D multipatch, as shown in Figure 12.

Table 6: Confusion Matrix Building Identification

		Calculated State		
Actual State	Building	Building	Non-Building	TOTAL
	Non-Building	119	34	153
	Total	14	833	847
		133	867	1000
		Positive Predicted Value		
		False Omission Rate		
		Accuracy		
		0.895		
		0.039		
		0.986		

Figure 11: Data corroboration on building attributes

534 The building footprint area ranges from 3.5 m² (a small yet tall maintenance structure) to 25,885 m² (Loftus Stadium), where 3,506 buildings (85.4%)
535 do not exceed 500 m². As seen in Figure 14, the area distribution per building
536 class is consistent for each class, with only a few outliers. The aggregated
537 footprint extent of the study area is 1,433,951.96 m² being habitational and
538 school classes occupying more land (Figure 15).

540 Figure 16 shows the distribution of the total footprint area. It ranges
541 from 7m² (a security booth) to 336,510.36 m² (Loftus Stadium), and it is
542 possible to observe that the buildings with larger floor areas are mainly
543 located on the Hatfield CID. The aggregated footprint extent of the study
544 area is 5,681,494.72 m², and habitational and school classes occupy the most
545 extensive total floor area. It is possible to observe that function buildings
546 occupy a large part of the overall area leaving the administrative ones in the
547 sixth place of the total occupied area. This is due to the significant individual

Figure 12: Study Area 3D Representation. Trees were extracted from LIDAR Scanning

Figure 13: Number of Buildings per Class

Figure 14: Building Footprint Area per building Class. For each class, the largest building and its area are shown.

548 car dependency of the city and the several floors of parking lots that exist in
549 the area, not including underground parking.

550 3.4. Solid Waste Generation

551 The buildings were assigned to the closest container as shown in Figure 17.
552 The maximum distance that a building is assigned is 881.80 m which implies
553 a walk of 14 minutes (calculated at 1m/s walking speed). This large distance
554 corresponds to the buildings located in the industrial park, which were not
555 accessible on data collection. It is possible that some closer containers exist
556 or that each building has its own container inside the manufacturing facilities.
557 Excluding the industrial buildings, the longest distance of assignation is
558 427.40m, a walk of 7.1 minutes. The average distance from a building to a
559 container is 90.55m. On non-industrial buildings is 86.08 m with a median of
560 72.51 m and a standard deviation of 58.16m. The minimum distance from
561 a building to a container is 2.51m. Figure 18 shows the distribution of the
562 calculated distances for all buildings.

563 The calculated residential buildings' waste production ranges between 0
564 kg/d and 1,575.60 kg/d, with an average of 11.19 kg/d. Due to the method
565 used to calculate the number of inhabitants on each building, and the low
566 population density on each 100x100m grid, there are 662 (31.95%) buildings

Figure 15: Aggregated Footprint Area distribution per building Class

Figure 16: Buildings Total Floor Area (m^2)

Figure 17: Building to Container Assignment map.

567 with no residents and, therefore, no waste production. Even with this gap in
568 the waste estimation, the calculated values for residential buildings add up to
569 23.12 tons of waste produced daily.

570 For the non-residential buildings, category D has the greatest number of
571 buildings (see Figure 19 and Table 7). Nonetheless, the largest production
572 relates to Category C, which includes the Stadium, with a total production
573 of 251.81 tons per day. Category A has only 73 buildings, but their waste
574 production sums up to 149.83 tons per day.

575 According to the calculations, the largest waste producers are the ed-
576 ucational buildings, 198.51 tons per day (42.64%), and the Business and
577 commercial buildings, which produce 170 tons per day (36.58%). Overall, the
578 largest producers of waste are Loftus Versfeld Stadium (41.72 tons per day),
579 Hatfield Plaza (41.10 tons per day), Hillcrest Boulevard Shopping Center
580 (17.71 tons per day), and the Information Technology Building of UP (8.08
581 tons per day).

582 3.5. Generation Simulation

583 Considering this production and simulating hourly waste generation from
584 each building, the simulations can show the status of containers on each
585 step of the analysis, i.e., every hour. Figure 20 through Figure 23 show how
586 such simulations are generated before calculating an optimal route. Here

Figure 18: Distribution of Building to Container distances in meters.

Figure 19: Building Classification per Waste Category

Table 7: Waste Production per building category

Building Category	Total Waste Production (kg/d)	MAX per building (kg/d)	MIN per building (kg/d)	Average (kg/d)	Std. Dev
A	149,828.77	41,103.38	27.72	2,052.45	5,301.89
B	14,169.03	1,531.37	5.00	382.95	425.22
C	251,811.20	41,727.28	1.16	293.14	1,578.39
D	26,581.51	2,462.11	0.17	28.99	106.64
TOTAL	442,390.52	41,727.28	0.17	234.57	1,538.57

it is possible to observe that 18 containers are saturated at the beginning of simulated hour 1, indicating suboptimal use of such containers and the need for allocating higher capacity to the area. At simulated hour 6, when containers are set to be collected, the number of bins is 116, with a total volume of 56.5 tons. As the waste generation is simulated randomly, within the expected generation of waste per day of each building, values and locations vary from one to another simulation. Nonetheless, areas close to the stadium, inside the UP, and in proximity to the Train station show that they require constant collection to avoid overflow of the containers.

3.6. Optimal Collection Routes

The road network analyzed has 2,792 edges where the speed varies from 40 km/h in residential areas to 120 km/h in highways (see Figure 24). Segment lengths vary from 9 cm to 2.97 km with a median value of 160.39 m and a standard deviation of 239.56 m. On these edges, the time (weight) also varies from 0.25 ms (9cm segment) to 2.97 min with a standard deviation

Figure 20: Waste Generation Simulation - Initial State.

Figure 21: Waste Generation Simulation - Hour 1.

Figure 22: Waste Generation Simulation - Hour 3.

602 of 12.92 seconds. As one of the major restrictions for the transit of vehicles
603 from and to the landfill, a total of 1,572 (56.30%) edges were identified as
604 unidirectional. These road segments are mainly located inside the study area
605 and correspond to local roads, while peripheral highways and arterial roads
606 are of type bidirectional, as seen in Figure 25.

607 Due to the large production of the Stadium and the fact that this building
608 does not operate daily, it was excluded from the optimal route calculation.
609 The large production of the building and not having a specific container
610 for its large production, which is located inside the building and not in the
611 public area, would generate miscalculations, and the routing of trucks would
612 concentrate on only collecting such waste.

613 A route, as shown in Figure 26, is generated when performing the sim-
614 ulations for waste collection along with step-by-step navigation directions
615 (Figure 27). After simulating several hours, multiple paths that trucks follow
616 each day are observed (Figure 28); however, some locations are repeated as
617 there is constant waste overflow (Figure 29), just as expected from the results
618 of the waste calculation.

619 On each route, the expected number of containers to collect varies from
620 112 to 213. In the majority of the routes, vehicles require four visits to the
621 landfill to discharge waste and perform all container collection. However, the

Figure 23: Waste Generation Simulation - Hour 6, step before route calculation.

Figure 24: Roads speed from Landfill to Study Area

Figure 25: Type of Roads Map, Bidirectional or Unidirectional classification.

interval of waste generation goes from 6 hours to 12 hours, so it is necessary to perform 9 visits to the landfill. The average time of collection is 5 hours and 16 minutes on a 6-hour generation period. And 10 hours and 57 minutes for a 12-hour generation period. The total traveled distance per route averages 236.28 km which translates into 1,327 ZAR (69.70 USD) and 2.73 Tons of CO₂ per route (calculated at 11.59kg/km ([EPA, 2023](#))).

3.7. Dashboard Design

A centralized control dashboard was created using ArcGIS Dashboards3 to visualize elements of the digital twin. The design focused on making map views of the central items and indicators that operate with the state of each map layer. The map view includes three options for visualization. The first option (Figure 30) focuses on the containers and the collection optimization. Here containers that need to be collected are highlighted on the map, and the collection sequence is displayed along the collection route. This dynamic map adapts to real-time container saturation and waste accumulation value modification.

The second option focuses on buildings where it is possible to visualize the class of each building and how each of them relates to a container. This view allows the user to understand the local distribution of waste and the distance

Figure 26: Optimal Route Example. The route includes returns to the landfill to dump waste and restart capacity.

Figure 27: Step-by-step directions generated on Optimal route calculation.

Figure 28: Multiple paths for waste collection. Darker colors indicate several travels on the same street segment.

641 required to move from each building to a container hub. (See Figure 31). The
642 third option relates to the tracking of waste littering. Here, a heatmap of the
643 reports made during the data collection phase is displayed (see Figure 32),
644 and filtering options are available to highlight the different severity of litter.

645 Following the requirements identified in Phase I, eleven indicators are dis-
646 played on the dashboard (Figure 33 and Figure 34)). The first two indicators
647 focus on the saturation of the containers, where it is possible to observe the
648 average saturation of containers and the number of containers to be collected.
649 The third indicator relates to map option three, where littering reports are
650 visualized in a pie chart categorized by severity.

651 The fourth indicator displays the total waste in the study area and needs
652 to be collected regardless of the saturation of the containers. This indicator
653 relates to the SDG 11 monitoring (Total Solid waste production per day). On
654 indicator 5, it is possible to read the total volume of waste production per
655 building class, an indicator that relates to map option 2. This indicator is not
656 dynamic as it relates to building characteristics and estimated inhabitants.

657 Indicators 6 to 11 relate to the waste collection route showing critical
658 elements for planning such as Fuel cost, CO2 emissions, Total traveled distance,
659 Total operation time, number of required returns to landfill (after the truck's

Figure 29: Containers to collect. Darker colors indicate several collections required on the same container.

Figure 30: Containers collection Route Map - Dashboard option 1

Figure 31: Containers collection Route Map - Dashboard option 2

660 capacity is complete), and a list of the sequence of collection for the containers.
661 This sequence is interactive, and by activating each element of the series,
662 items are highlighted in map option 1.

663 3.8. Waste Digital Twin Assesment

664 The speed performance of the simulation varies between local and cloud-
665 run services. Running the tool in a local setup, with a computer of 28 GB
666 RAM, 3.8 GHz - 8 cores – 16 threads CPU, and 4 GB dedicated GPU takes
667 an average of 5.02 seconds for each hour of waste generation calculation
668 and 2.72 minutes for calculating the optimal collection routes. On the other
669 hand, when moving to a Cloud service, using an ArcGIS server with 64 GB
670 RAM, 2.1 GHz - 8 cores – 16 threads CPU, and no GPU, the process of each
671 simulated hour moves to 4.18 minutes (a 4,996% increase) and the optimal
672 route calculation extends to 4.93 minutes (a 181% increase).

673 This is due to the structure of the process where online stored layers
674 require downloading records, making one record update, and immediately
675 updating the tuples to the layer instead of updating all tuples at once at the
676 end of each run.

677 The stakeholder assessment survey had a response rate of 38.1% (8/21),
678 with one of the respondents unable to access the dashboard. This respondent's
679 answer was discarded from the analysis. Overall, the dashboard obtained

Figure 32: Containers collection Route Map - Dashboard option 3

Figure 33: Dashboard and indicators (signaled on yellow brackets)

Figure 34: Dashboard and indicators (signaled on yellow brackets)

high scores, with only Data accuracy and Decision-making support indicator scoring under 4 points. Here, 28.57% do not consider that the dashboard efficiently conveys the waste quantity in the containers and waste generation per building and do not consider that the dashboard represents container saturation. Therefore, the communicative value of the dashboard needs to be improved, making it more straightforward into the waste state per container and how waste is generated from building to container. On the other hand, 85.71% of respondents give a 5-point score to the dashboard as a tool that provides information for collaboration and addresses waste management challenges. Table 8 shows each indicator's scores and the average for the different categories.

Table 8: Dashboard survey Score based on a 5-point Likert scale.

Category	Indicator	Score	Category Score
User Friendliness and Interactivity	Ease of Use	4.48	4.27
	Data Exploration	4.05	
Spatial Interface	Map Visualization	4.53	4.43
	Ease of Learning	4.33	
Consensus, Effectiveness and Communicative value	Data Accuracy and Decision-making support	3.93	4.11
	Stakeholder Communication and collaboration	4.29	

The low response rate does not make this result reliable. However, during

692 an open conversation at the end of the workshop, there were some insights
693 from the stakeholders on the usefulness and communicative value of the tool.
694 For instance, for the municipality is not clear the objective of the tool:

695 *“What’s the value and how can a municipality use your tool*
696 *besides just playing around? Officials like to have toys inside, just*
697 *have a nice GIS tool. But how can this really assist the city or*
698 *waste department to optimize their collection?”* – Municipality
699 Officer”

700 This indicates that engagement with stakeholders and the explanation of
701 the tool was not assertive. The purpose and goals of the Digital Twin were
702 not adequately communicated so that stakeholders could embrace the tool
703 and know what could be done with it.

704 From another perspective, Hatfield CID found that this twin can show
705 their work’s added value in the public space as they can visualize their impact
706 related to solid waste management:

707 *“If I look at the heat map, it would appear that the areas*
708 *around us is in a lot worse state than the area that you’re currently*
709 *managing. I’ll take the kudos from my cleaning team, which I*
710 *love a lot. [...] It shows that the effort that we’re putting in to*
711 *manage the waste in the CID area is actually making an impact.*
712 *And as I said, the heat map, I always say that data don’t lie if you*
713 *use it truthfully. So people can see that we are making a positive*
714 *impact.”* - Hatfield CID”

715 On the private side, Industrial parks Stakeholder highlight one limitation
716 of the routing approach and that is related to restricted areas. This is roads
717 inside private property and containers inside the restricted access area. As
718 there was no available data about access restrictions, it was impossible to
719 consider this within the model, which would need to be improved for future
720 work.

721 Residents praise the data accessibility and the information provided with-
722 out having deep knowledge of GIS software. They emphasize that the approach
723 allows students, planners, and architects to access and use the information.
724 However, they stress that it is required to create a particular kind of incentive
725 for citizens to engage in reporting and “prompt people to get involved and
726 take their time to contribute data to this twin.” On the design, residents
727 indicate that the dark color option of the dashboard is not appealing to them
728 and would like to have different color options that would make readability
729 easier.

730 **4. Discussion**

731 The discussion is organized into four segments to address various aspects
732 of the research, starting with the analysis of the prototype under the Gemini
733 Principles, its benefits and practical implications of the design, followed
734 by considerations related to security, data accuracy, scalability, stakeholder
735 engagement, and challenges encountered during the research process. The
736 section highlights the significance of urban digital twins in waste management
737 and their potential to drive more sustainable and cost-effective practices

738 4.1. Gemini Principles analysis

739 The design of this digital twin allows for focusing efforts on bins nearing
740 capacity, reducing unnecessary collections and saving time. By identifying
741 littering locations through the digital twin, authorities can take targeted
742 actions to address littering hotspots. This can involve increasing the number
743 of bins in heavily littered areas or implementing located awareness campaigns
744 to promote responsible waste disposal. By optimizing collection routes and
745 schedules, this digital twin can lead to a more efficient and timely waste
746 pickup. Reducing unnecessary trips minimizes fuel consumption, labor, vehi-
747 cle maintenance, and greenhouse gas emissions. Proper waste management
748 helps maintain a clean and hygienic environment, reducing the risk of dis-
749 eases associated with waste accumulation. It supports the United Nations’
750 Sustainable Development Goals, including Goal 11 (Sustainable Cities and
751 Communities) and Goal 12 (Responsible Consumption and Production). Also,
752 it contributes to aesthetically pleasing surroundings, enhancing residents’ and
753 visitors’ overall quality of life.

754 The digital twin generates valuable data on waste generation patterns, bin
755 usage, and littering locations. Analyzing this data can lead to data-driven
756 decision-making and evidence-based policies for further improving waste
757 management practices. Residents can actively participate in keeping their
758 neighborhoods clean and environmentally friendly by providing information
759 about waste disposal and collection, creating waste governance. On this
760 approach, residents can inform the local authorities of broken containers that
761 need to be replaced, reducing downtime and avoiding littering due to a lack
762 of suitable state containers.

763 Although the purpose of digital twinning waste management is evident
764 for these researchers, it is necessary to include better communication prac-
765 tices to allow stakeholders to understand this approach’s capabilities and
766 potential uses. During the stakeholders’ workshop, it was manifested that the
767 purposefulness is unclear for the definite stakeholders.

768 The current setup of the architecture includes a low level of security with
769 only access control as a measure. The process will need to evolve to include
770 vulnerability assessments, secure API connection, and authentication for data
771 managers. It is necessary to include backup and disaster recovery protocols
772 so information is not lost in case of unforeseen events.

773 Although the data collection is designed to be open to everyone and does
774 not collect personal information, data accuracy procedures must be integrated
775 to ensure high quality. Epicollect5 presents a challenge in guaranteeing that
776 collected photographs do not have explicit or inappropriate content that can
777 be offensive or harmful to others. Therefore, implementing an efficient and
778 robust content moderation system is imperative to identify and prevent the
779 dissemination of these types of images. Developing sophisticated algorithms
780 and human oversight mechanisms to detect and remove such content promptly
781 will uphold digital twin integrity and ensure a positive user experience for all
782 participants.

783 Moving the waste generation, route optimization calculations, and dash-
784 board control to completely open-source components will also be necessary to
785 enforce the openness of the digital twin. This would imply migrating the tool
786 to a server that allows for open library integration and covers the associated
787 cost of deploying and maintaining the platform. This process would require

788 in-depth knowledge of Python libraries and programming skills that allow the
789 integration of different APIs and other methods for route optimization, such
790 as the ones designed by [Coupey et al. \(2023\)](#) and [Montagné et al. \(2020\)](#).

791 Data accuracy regarding the number of people residing in each building
792 is limited by the method used by [Schiavina et al. \(2022\)](#). This has created
793 some imbalances, such as single houses with 16 inhabitants, which could
794 be unrealistic for the social conditions of the study area where the average
795 household size is 3,1. To improve the accuracy is possible to integrate
796 census data, such as the recently published results of Census 2022 from the
797 Department of Statistics South Africa.

798 The designed architecture's effective function depends on waste simulations
799 as it does not require additional investments. To move this design to other
800 scenarios with larger financial capacity, it is possible to integrate sensors to
801 monitor container fill levels, GPS trackers for collection vehicles, and cameras
802 for littering detection. Combining these technologies would require connection
803 via LoRaWAN protocols and networks that can transmit data asynchronously,
804 allowing real-time waste monitoring.

805 Implementing the waste digital twin requires establishing ownership from
806 the municipality, managing stakeholders, and governance from all the different
807 actors identified in this research. Additionally, it is required to establish
808 regulations and guidelines for security, access control, data protection, and
809 privacy.

810 The designed architecture enables scalability to increase the number
811 of containers, volume capacity and waste generation. It also allows for
812 an extension of the road network to cover a larger operational area and

813 adaptability on the number and type of collection vehicles. It is necessary to
814 encourage larger user feedback and active stakeholder engagement to adapt to
815 changing user needs and requirements as the system implementation evolves.
816 By embracing adaptability, the digital twin can evolve alongside technological
817 advancements and societal changes, making it a valuable and sustainable tool
818 for long-term waste management solutions.

819 *4.2. Findings*

820 Identifying and classifying the stakeholders in developing digital twins
821 sometimes is overlooked by other researchers ([Bartos & Kerkez, 2021](#); [Jiang
822 et al., 2022](#); [Xu et al., 2022](#); [Yu et al., 2023](#)). By analyzing stakeholders on
823 the four different attributes, it was possible to determine the main stake-
824 holders that become users of the tool are the City Improvement District, the
825 Ward representative, and the Municipality Waste Department. The type of
826 stakeholders that become final users of the tool possess a common character-
827 istic: their political power and the current dynamics between them and other
828 stakeholders.

829 Using the Salience model combined with a pairwise comparison, the
830 subjectivity of the classification into the different typologies that [Mitchell et al.
831 \(1997\)](#); [Shafique & Gabriel \(2022\)](#) include in their classification method can be
832 reduced. It does not eliminate it, as the pairwise comparison also requires a
833 degree of subjectivity when analyzing and comparing each stakeholder in their
834 categories. Using this method also helps to determine the importance of each
835 stakeholder, focusing on each specific case and location. In this particular case,
836 the Ward Councilor is essential as he is the key to communication between
837 residents and other actors. Such a situation can be untrue in other parts of

838 the country that do not possess such a strong political structure. Smaller
839 cities and rural areas can have different dynamics where social leaders and
840 direct contact from residents to municipalities can take a higher role.

841 Data collection provided insights into the uneven distribution of solid
842 waste containers within the study area. Certain areas might be overburdened
843 with waste, leading to overflow and environmental hazards, while other regions
844 may lack sufficient waste containers, resulting in littering and illegal dumping.
845 The data showed the Hatfield CID on littering cleaning and a more significant
846 concentration of containers around educational areas. These patterns and
847 locations inform where interventions should be made, e.g., larger containers
848 close to the stadium and Hatfield Plaza, higher collection frequency along
849 the M7 route, traffic restrictions, or road maintenance on roads frequently
850 transited by collection trucks. Likewise, it is possible to determine the areas
851 in which high frequency is not required, mainly in exclusive residential areas,
852 and explore the possibility of requesting residents to deposit their waste in a
853 more centralized container rather than doing so on their own in their front
854 yard.

855 Waste generation simulations allow an understanding of the waste flows
856 from buildings to containers and identify areas with large production and
857 small capacity that need to be intervened. As the assignment of buildings
858 to containers does not consider access restriction, the actual container where
859 a citizen would drop their waste to be collected is inaccurate. However, it
860 provides a proxy of the collection places and can give insights into larger
861 container placement that can reduce the loading time trucks currently perform
862 as they go home by home. By clustering, this time can be reduced, and the

863 man-working hours can also be diminished. Therefore, overall operation cost
864 is decreased. Nonetheless, it is also necessary to make the waste flow analysis
865 in a Manhattan distance movement, not a Euclidean one, as people can not
866 move in an “as the crow flies” way inside a city.

867 The proposed container aggregation method is far more straightforward
868 than those explored by other authors like Al-Refaie et al., (2020) and Viktorin
869 et al., (2023b) as it has fewer elements to analyze. As the problem becomes
870 bigger, with more buildings and containers to assign, the overall performance
871 can be reduced. Nonetheless, as only the number of inputs will affect the
872 performance, the method allows for rapid adaptation with more extensive
873 data collection and change of volumes as the city adapts to such kind of
874 technology and citizens make new reports.

875 The current collection scheme, where one vehicle is assigned to the area
876 for waste collection and does it weekly, seems insufficient for the large waste
877 production. However, as there are multiple waste collection companies oper-
878 ating with businesses and large producers, it is necessary to map all collection
879 actors, the daily generated quantities, and the rate of waste segregation at
880 source to be able to have a complete picture of waste flows and recalculate
881 the requirements for optimal collection routes.

882 The proposed optimization for waste collection approximates the solution
883 of multiple nodes to be collected, reducing operational times, fuel composition,
884 and the consequent reduction of greenhouse gas emissions. The cost of the
885 optimized collection routes would be 1,932,554 ZAR (101,623 USD) per year
886 only in the study area, which represents 0.11% of the overall city Waste
887 Management budget (of Tshwane, 2023a), an important amount considering

888 that the study area covers 0.15% of the city, and this cost is related to only fuel
889 consumption. Additional costs are associated with waste management, such
890 as landfill operation, workers' wages, container and trash bags provision and
891 vehicle maintenance, which also need to be considered. Although this scenario
892 corresponds to an improved waste collection routing, currently, it is impossible
893 to estimate the improvement rate as there is no data on vehicles' current paths,
894 total fuel consumption, waste dumped in landfills and detailed collection and
895 transport expenditure. Nonetheless, this cost estimation indicates that the
896 total budget needs to be expanded so the city can cover all the solid waste
897 management costs.

898 Due to the low number of responses, it is impossible to prove that an
899 operation control dashboard is the correct method for integrating the in-
900 formation and making it accessible to the stakeholders in the solid waste
901 management twinning workflow. Even though stakeholders provided high
902 scores in user-friendliness, interactivity, spatial interface, interactivity, con-
903 sensus, effectiveness, and communicative value indicators, more respondents
904 would be required to make such conclusions.

905 *4.3. Limitations*

906 The research faced some adversities as the proposed data collection method
907 did not consider regional numerical format. Some of the collecting devices
908 (iOS) could not include decimal separators, and data was provided in the
909 comments section of the report. This generates anomalies in the monitoring
910 as geometrical properties and volume capacity could not be visualized on the
911 spot, and digitation mistakes could have been made in the data collection.

912 It is possible that, during data collection, some hidden containers were

913 not identifiable. Due to security concerns, it is common practice for residents
914 of Tshwane to move their containers to non-visible places so they can not
915 be stolen. This creates an incomplete mapping of all the waste containers
916 available and the consequential changes in container aggregation and capacity
917 availability.

918 The waste calculations and categorization of non-residential buildings were
919 performed using data from 2008 in Athens, Greece, a non-African country
920 with more than double the GDP per capita of South Africa [Bank \(2021\)](#).
921 The difference in time (15 years ago), consumption patterns, type of business,
922 and waste segregation can significantly affect the amount of waste generated
923 at each building. Therefore, the calculations on waste generation are not
924 accurate in the Tshwane context.

925 The data of generated waste could not be compared to the real scenario as
926 there is no existing data on the volume dumped in landfills. Landfill operators
927 do not register the volume being dumped, and the place operates more as an
928 open-access dumpster than a properly regulated landfill. The data integration
929 and availability of the dashboard online were limited to the resources available
930 for the research. A complete open-source digital twin, with access to anyone
931 through HTTP protocols, would require acquiring a virtual machine on a
932 cloud server and installing different packages and libraries. Financial costs
933 associated with deployment, operation and maintenance were not available
934 for this research. Additionally, for a waste management digital twin to
935 operate on open source platforms, it is required a multidisciplinary team
936 with knowledge of environmental management, finances, computer science,
937 in-depth programming skills, and of course, geographical information systems.

938 4.4. *implications*

939 Urban digital twins offer a solution by providing real-time data on the
940 locations and capacities of existing containers. By visualizing this data, waste
941 management authorities can identify areas with inadequate coverage and
942 strategize container placement for improved waste collection. By involving
943 citizen participation, the proposed method reduces challenges, such as location
944 accuracy, high resource requirements, and disagreement on labeling, identified
945 in Artificial Intelligence computer vision detection research ([Moral et al.,](#)
946 [2022](#)). It also confirms the importance of citizen testimony in mapping
947 solid waste ([Al-Joburi, 2018](#)). The real-time monitoring helps address the
948 randomness of low-severity littering for improved solid waste management,
949 including multiple stakeholders.

950 The design of this digital Twin allows for multiple collaborations between
951 stakeholders and improves the communication and transparency of the process.
952 It enforces the arguments of [Hämäläinen \(2021\)](#) on the benefit the digital
953 twins can provide for decision-making where heterogeneous stakeholders are
954 at the table. The link between urban developers and citizens can be shortened
955 and strengthened by applying these technologies, giving the residents the
956 possibility of governance in their solid waste.

957 The digital twin design allows for different tests and calculations where the
958 current volume capacity of the identified containers allows for the detection
959 of areas where overflow can occur. Modifying the values makes it possible to
960 calculate the capacity required for a specific location and simulate the effects
961 on the collection.

962 Waste collection often accounts for a significant portion of a city's budget.

963 By implementing a digital twinning approach to waste management systems,
964 authorities can access real-time information on waste container fill levels and
965 plan optimized collection routes. This can reduce fuel consumption, lower
966 vehicle emissions, and minimize operational expenses, resulting in a more
967 sustainable and cost-effective waste management process.

968 Crucial and Definitive stakeholders can gain insights into the system's
969 dynamics by visually representing the city's waste management infrastructure,
970 including containers, littering, collection vehicles, and disposal facilities. This
971 allows them to simulate different scenarios and optimize collection strategies
972 based on various factors, such as waste generation patterns, changes in
973 population, and traffic restrictions.

974 Digital Twinning can also be the basis of a decision support system for
975 more strategic waste management initiatives. When considering the need for
976 new waste containers or the modification of existing ones, digital twins can
977 be employed to simulate and assess the impact of these changes on waste
978 collection efficiency and overall cost-effectiveness. Additionally, urban digital
979 twins enable better adaptation to changing waste disposal requirements by
980 providing a dynamic model that can be continuously updated with real-world
981 data.

982 **5. conclusions**

983 Current solid waste management methods in Tshwane include zoning and
984 the number of homes per land unit as geospatial information for collection
985 operation. The municipality's collection scheme encompasses regular waste
986 pickups for residences and businesses, with specific arrangements for high-

987 waste producers like restaurants. Private waste collection services are also
988 available for individual businesses, offering additional flexibility. The city
989 employs a team of foot workers to address littering in public areas, and while
990 they lack a fixed schedule, they adopt an on-demand approach. Despite the
991 commendable efforts by the City Improvement District (CID) to improve
992 street cleanliness with a dedicated team and truck, a comprehensive and
993 connected waste strategy for the entire precinct is inexistent. Challenges
994 persist regarding waste segregation and the open accessibility of dumping sites
995 to the public, necessitating further focus on sustainable waste management
996 strategies for the city's environmental well-being, such as Digital Twinning.

997 Twelve stakeholders were involved in the solid waste management scheme
998 who were considered for developing the Waste management digital twin. When
999 employing the Salience Model to organize stakeholders, the CID and Ward
1000 Councilor emerged as crucial stakeholders, ranking high in all four attributes.
1001 The municipality was classified as a Definitive Stakeholder, boasting high
1002 scores in three attributes but slightly lower proximity than others. As a
1003 result of this classification, these three stakeholders were identified as primary
1004 end-users of the proposed Digital Twin tool for waste management.

1005 The stakeholder analysis for improving solid waste management through
1006 the development of a Waste Management Digital Twin identified a com-
1007 prehensive list of 32 user requirements across three categories: Strategic,
1008 Performance, and Operational. The final requirements integrated into the
1009 digital twin encompass crucial aspects such as identifying polluters, scalability
1010 to the country level, tracking SDG goal performance, monitoring waste gener-
1011 ation, optimizing container locations, measuring fuel consumption of trucks,

1012 and generating waste production heatmaps. Furthermore, the Digital Twin
1013 incorporates operational features like container capacity level, real-time waste
1014 production monitoring, and a visually simple design, ensuring accessibility
1015 to illiterate users. By focusing on these selected requirements, the Waste
1016 Management Digital Twin aims to address the diverse needs of stakeholders
1017 and contribute to a more efficient and sustainable waste management system
1018 at the city level.

1019 Developing a Waste Management Digital Twin required integrating con-
1020 tainer location, volume and status characteristics, location of littering, build-
1021 ing characterization, population data, road network, transit restrictions, and
1022 destination location as geospatial elements. Additionally, collection vehicle
1023 capacities and dumping conditions were necessary as non-spatial data that
1024 allows for collection route optimization. Integrating such elements required
1025 data aggregation, online storage on a server, route optimization through
1026 Python using the Tabu search metaheuristic method and creating a web app
1027 dashboard for data display and interactivity.

1028 The results indicated that simulating on a local setup yielded faster
1029 processing times, and moving to a cloud service led to significant increases in
1030 processing time for waste generation calculation and optimal collection route
1031 determination. These delays were attributed to the structure of the process,
1032 where online stored layers required record downloads and individual updates
1033 rather than updating all tuples at once. Furthermore, the stakeholders’
1034 assessment of the dashboard demonstrated a generally positive reception,
1035 with high scores obtained in various categories. However, concerns were
1036 raised regarding data accuracy, decision-making support, and the need to

1037 improve the communicative value of the dashboard. The stakeholder survey's
1038 response rate limited some results' reliability, but open discussions with
1039 stakeholders provided valuable insights into the tool's potential applications
1040 and areas for improvement. Addressing issues related to tool objectives,
1041 explaining its purpose to stakeholders, and enhancing data accessibility and
1042 user-friendliness are crucial aspects to consider in further developing urban
1043 digital twins for optimized solid waste management. Additionally, efforts to
1044 incorporate restricted areas and incentives for citizen engagement in data
1045 reporting can contribute to the tool's effectiveness and broader adoption
1046 within waste management processes.

1047 Digital twinning, multi-stakeholder engagement, and citizen participation
1048 could provide valuable insights into the distribution of solid waste containers
1049 and the occurrence of illegal dumping and littering. It can be a hybrid
1050 and collective approach for addressing solid waste management challenges in
1051 lower-income countries without large financial and technological capacity. The
1052 digital twin can provide transparent data on waste management operations and
1053 performance. This transparency fosters public trust and allows stakeholders
1054 to track progress toward waste management goals and environmental targets,
1055 items identified as critical requirements from stakeholders' points of view.

1056 Citizen participation, facilitated by digital twinning technology, reports
1057 littering incidents and maps waste container locations. Enforced by digital
1058 twins, route optimization reduces costs and enhances collection efficiency.
1059 Moreover, digital twins serve as invaluable decision support systems, aiding
1060 operational planning and allocating new containers to adapt to evolving waste
1061 disposal needs. Integrating urban digital twins in solid waste management

1062 represents a transformative step towards sustainable and cost-effective waste
1063 management practices, promising cleaner and environmentally friendly urban
1064 environments.

1065 By developing digital counterparts of waste management infrastructure
1066 and mapping out their spatial distribution, policymakers and stakeholders
1067 comprehensively understand the current state of solid waste container place-
1068 ment. This knowledge serves as a decision-making support system for targeted
1069 interventions. Through collective efforts and integration of technology and
1070 community engagement, improved solid waste management can be achieved,
1071 even in resource-constrained settings.

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