

1997 ANNUAL REPORT

ABOUT THE FOUNDATION — THE FOUNDATION

The Rockefeller Foundation is a philanthropic organization endowed by John D. Rockefeller and chartered in 1913 for the well-being of people throughout the world. It is one of America's oldest private foundations and one of the few with strong international interests. From its beginning, the Foundation has sought to identify, and address at their source, the causes of human suffering and need.

Foundation programs are organized around eight core strategies. Together, these strategies constitute the Foundation's commitment to help define and pursue a path toward environmentally sustainable development consistent with individual rights and a more equitable sharing of the world's resources.

The Foundation's work is divided among the following program areas: the arts and humanities, equal opportunity, agricultural sciences, health sciences, population sciences, global environment, and special African initiatives including female education. While concentrating its efforts in these areas, the Foundation adjusts its course to reflect needs as they arise.

The balance of the Foundation's grant and fellowship programs supports work in building democracy, international security, international philanthropy, and other special interests and initiatives. The Foundation maintains the Bellagio Study and Conference Center in northern Italy for conferences of international scope and for residencies for artists, scholars and policymakers, and other professionals from around the world.

The Foundation is administered by its president through a staff drawn from scholarly, scientific and professional disciplines. An independent board of trustees, which meets four times a year, sets program guidelines and financial policy, and approves all appropriations.

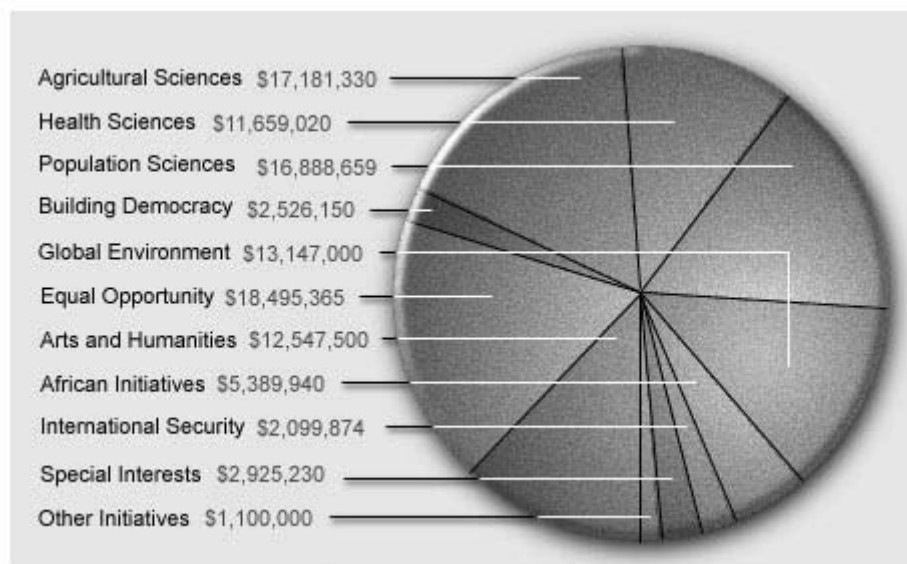


Chart depicts by program area the grants and fellowships totaling \$103,960,068 appropriated from the 1997 budget.

Core program strategies

The following are the eight core strategies around which Foundation program work is organized, along with corresponding appropriations from the 1997 budget.

Core Program	Expenditure
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AFRICAN INITIATIVES	\$5,389,940
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STRATEGY: Build human and institutional infrastructure in Africa with an emphasis on closing the gender gap in school enrollment and achievement.

AGRICULTURAL SCIENCES	\$17,181,330
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STRATEGY: Increase crop yields of smallholder farmers in developing countries profitably and without degrading natural resources.

ARTS AND HUMANITIES	\$12,547,500
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STRATEGY: Understand and engage difference across changing societies through the arts and humanities.

EQUAL OPPORTUNITY	\$18,495,365
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STRATEGY: Support vibrant and diverse urban communities, assisting them to gain access to the fundamental elements of opportunity including employment, good schools, freedom from discrimination and full participation in the democratic process.

GLOBAL ENVIRONMENT	\$12,630,000
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STRATEGY: Build international leadership capable of initiating and carrying out innovative approaches to sustainable development.

STRATEGY: Facilitate the transition to a new energy paradigm based on sustainability, renewable resources, efficient use, economic viability and equity in access.

HEALTH SCIENCES	\$11,659,020
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STRATEGY: Build human capacity for population-based health care in developing nations.

POPULATION SCIENCES	\$16,888,65
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STRATEGY: Mobilize resources to satisfy unmet demand for family planning and reproductive health services.

THE PRESIDENT'S LETTER

Some would expect the parting annual message of an outgoing foundation president to be a glowing recital of progress achieved under his tenure.

I'm going to come at this one a little differently.

Foundations are uniquely dependent for their effectiveness on internally generated discipline and passion. Foundations lack the three chastising disciplines of American life: the market test, which punishes or rewards financial performance; the ballot box, through which the numbskulls can be voted out of office; and the ministrations of an irreverent press biting at your heels every day. And so in the philanthropic world, recognition and celebration are best left to others. What is needed is bracing self-examination, and the balance, rigor and nerve to face one's own shortcomings and requirements.

Where do we at the Rockefeller Foundation fall short, and what are the lessons going forward? The directions and suggestions I outline in this letter should be important for any institution that aims to be world class going into the next century. The primary responsibility for not initiating these steps at this Foundation is mine. I lay them out here in order to maximize the chances that the measure of my own shortcomings may serve instructively for the future.

First, we have not moved decisively enough to integrate our program activities. Few of the major problems facing the world in the 21st century will yield to a "unilever" approach. They are a broad tangle of unfamiliar elements, requiring multidisciplinary, multi-angled approaches. Many of the challenges become "problems" precisely because they defy the traditional categories of the existing institutional landscape. Over the past decade we built upon the divisional structure of the foundation and concentrated primarily on strengthening program content. It may be time now to rearrange the strengthened program components in an approach that is much more problem-oriented. For example: should the Foundation have an Agricultural Sciences division, or a Health Sciences division or a Global Environment division-or should it have a multidisciplinary task force working on the problems of water, cropland, and how to feed the world's population, without destroying the environment?

2] The Foundation should engage directly in the job of building the new transnational institutions that the world will need in the next century. Most of the present architecture in the international arena was erected in the years following World War II, and was designed to address a distinct set of challenges: providing collective security arrangements; rebuilding war-torn Europe; ordering a world trade and currency system dominated by the industrial democracies; and assisting agricultural and industrial development in former colonies. These institutions were not designed, and are poorly equipped, for dealing with global narcotics traffic and criminal activity; confronting terrorism and the international arms trade; dealing with intracountry militarization and genocide; modifying the direction of industrialization worldwide so that the environment is protected; or coping with worldwide flows of people, private capital and diseases. Governments usually react to crises, philanthropy has the capacity to anticipate them. To deal with today's ultimata we are required to plan for the development of new institutions and the adaptation of old ones. The Foundation has not identified this task as a central objective during the past decade. I believe it is time to place it high on the agenda going forward.

3] By the accounts of both the American public and many of its most thoughtful observers, American democracy is in deep trouble. Regenerating a process of robust, open, nondestructive deliberation in this country is an indispensable step toward substantive progress on both domestic and international issues. The Foundation has supported projects that address parts of this problem. But the deterioration in our public life is now too advanced and the penalty for continued failure too steep for anything less than a full-scale, serious engagement with the challenge of renewing American democracy. This will necessarily include the issues of campaign finance and how television is used in both public affairs and electoral campaigns. This is a broad, expensive and controversial task that should be undertaken in partnership with other foundations.

4] The Foundation should rethink entirely its approach to China. The Rockefeller Foundation's international programs are organized by theme and subject area, not by geography. But the question of China would challenge either organizing principle. It is hard to see how China can be categorized as a developing country. In terms of wealth, growth and human talent it ranks among the most handsomely endowed of nations. China is a significant exporter of everything from advanced manufacturing goods and trained scientists to sophisticated weapons. On most of the major questions that will challenge humanity in the next half century, China will play a pivotal role. How will the world feed itself? Can we limit destructive pressure on the environment? Can we forestall proliferation of weapons of mass destruction? There is almost no equation in the formulas for sustainable development and peace in which China will not be an important factor. The world has entered a period in which China's and the planet's fates are linked, and this period promises to be long and dangerous. This is precisely the sort of area in which thoughtful, far-seeing action by foundations can be most valuable. The Foundation's long-standing engagement with China needs to be refashioned to take these realities into account.

5] In terms of the Foundation's internal operations and culture, the need for one dramatic innovation is preeminent.

To work for a major foundation is to operate with an astonishing measure of professional latitude and privilege. It is not realistic to expect that over time all program officers will remain impervious to the temptations for self-indulgence and mental or moral complacency that present themselves.

At one point I favored a "term limits" arrangement whereby most program staff would be expected to leave after seven to 10 years. We also discussed the possibility of requiring or encouraging some staff members to take a leave during which they would work in the field with a grantee, or with an organization raising rather than dispensing funds. I have come to the conclusion that the problems of "foundationitis" and isolation are very real and require a more disciplined remedy along the following lines: that program staff members who hire on understand that if they stay over five years, they will be required to take a six-to-12-month posting with a nonprofit organization that is not a grantee of the Foundation. This would be clear upon initial employment, and be buttressed by suitable financial incentives and penalties.

Such a measure would be an antidote to loss of perspective and to the enormous and often arbitrary power to fund or not to fund that foundation officers (and presidents) wield; would offset comfortable insulation from the pressure and anxiety of financial uncertainty that is a basic condition of existence for many nonprofits; would stiffen the spine against creeping psychological accommodation to the torrent of syrupy flattery, seduction and

intellectual ennoblement to which officers are subjected; and would shore up as well the occasional wobbling in standards of professional behavior and personal interaction that in some cases accompany the forgoing phenomena.

The fact that foundations must rely disproportionately upon inner discipline and self-direction is at once the source of their immense value and contributions, and the cause of their enduring struggle to remain bold and wise. Foundations have a critical role to play in the human adventure, and in the years ahead their independence and innovativeness will be needed as rarely before. The Foundation I have been privileged to lead will make such contributions if it can assess history and new challenges soberly; bring discipline, imagination, tenacity and passion to its agenda; and combine a keen appreciation of present opportunity with a healthy sense of humor.

—Peter C. Goldmark Jr

Peter Goldmark was president of the Foundation from 1988 through 1997. He left at year-end, at his own request, to pursue other passions.

ABOUT THE FOUNDATION — BOARD OF TRUSTEES

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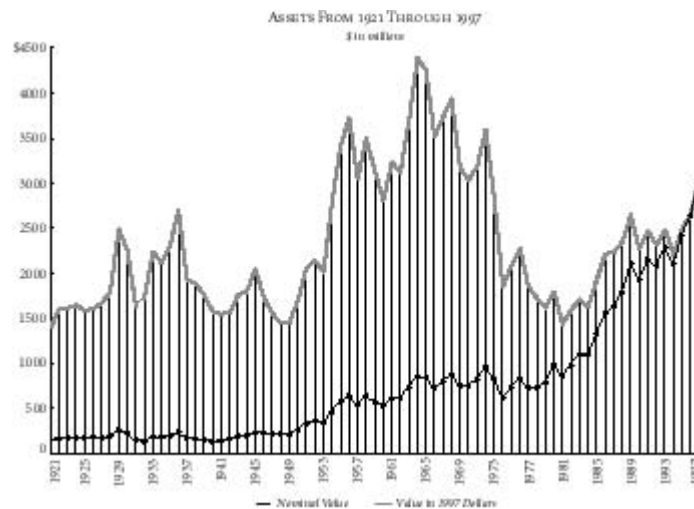
Alvaro Umaña
Chairman of the Inspection Panel
The World Bank

ABOUT THE FOUNDATION — FINANCIAL REPORT

The Rockefeller Foundation was created in 1913 and endowed, in several installments totaling about \$250 million, by John D. Rockefeller. Since that time, this original endowment and the investment returns it has generated have provided funds for the Foundation's grantmaking programs and operating expenses. The value of the endowment today is \$3.1 billion.

A key objective of the Foundation's board of trustees is to maintain the purchasing power of the endowment over the long term, after inflation and grantmaking, while maximizing funds available for current program needs and administrative support. Achieving this objective will ensure that future grantmaking programs can continue at current levels after adjusting for inflation. The Foundation is required by law to spend at least 5 percent of the market value of its investment portfolio each year on grant programs and supporting activities. Thus, investment returns must be sufficient to offset grantmaking of at least 5 percent per year plus the rate of inflation. The goal of achieving returns in excess of spending and inflation has been readily accomplished over the last 15 years due to unprecedented strength in the financial markets. Longer term, it is a much more difficult challenge to design and implement an investment program that can achieve the required results.

Over the Foundation's history the real value of its endowment has undergone periods of growth and periods of erosion depending on the relative impact of investment earnings, spending and inflation. The graph below shows that during the history of the Foundation, the goal of maintaining purchasing power has been achieved.



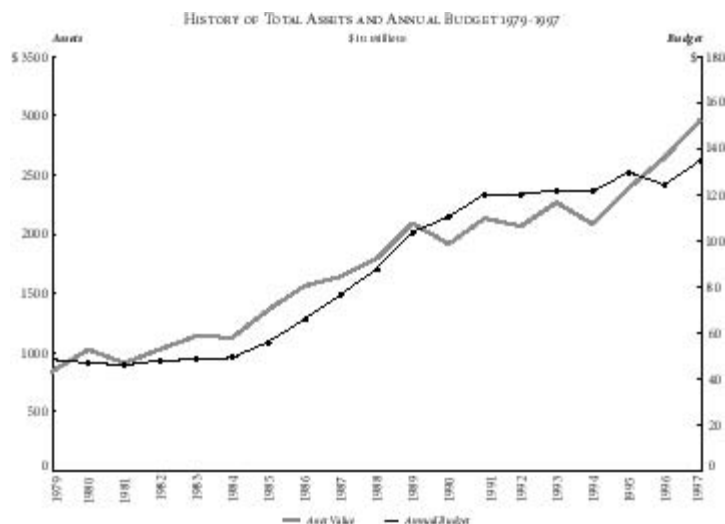
The Foundation's board of trustees is responsible for adopting spending and investment policies that can be expected to achieve the long-term goals of maintaining purchasing power and supporting the Foundation's programs. The current guidelines call for an annual budget of 5.8 percent of the market value of the endowment. Strong financial markets in the 1980s and 1990s have enabled the Foundation to increase its annual budget for grants and administrative expenses from \$48 million in 1979 to \$135 million in 1997 as shown in the following chart. The spending policy provides for a strong relationship between investment results and spending and has allowed the budget to increase as endowment levels have risen. An averaging technique is employed to avoid sharp year-to-year fluctuations in spending.

Since asset allocation is a primary determinant of investment performance, the finance committee of the board periodically reviews the portfolio's commitment to each category of investment and establishes a policy portfolio with target percentages for each asset class. The Foundation does not make frequent tactical shifts in asset allocation, but does make adjustments within prescribed ranges around target levels when there appears to be a persistent strategic shift in market valuations. Long-term asset allocation targets are updated only when there is a change in the Foundation's assessment of the relative long-term risk associated with asset classes. Currently, the asset allocation targets are as follows:

	Percent Policy Target
U.S. Equity	35
Foreign Equity	25
Bonds	20
Real Estate	10
Private Sector	10
	100

Day-to-day investment of the Foundation's portfolio is handled by a number of outside investment management firms with experienced personnel, strong historical track records and effective operational controls. Each firm is hired to address a particular asset class; some focus on specialized market niches within asset classes. The internal investments staff, led by the treasurer, develops overall investment strategy, recommends selection of investment managers and oversees the activities of and allocations to outside managers to ensure adherence to strategy and guidelines and to monitor and control portfolio risks.

Marketable equity and fixed income securities—stocks and bonds traded and priced daily in public markets throughout the world—are invested both in index funds, which track the performance of a market sector, and in actively managed portfolios, whose managers are expected to add value or enhance performance by making informed judgments about which securities to hold. The Foundation also makes investments in private markets such as venture capital and real estate. These areas offer the institutional investor with a long time horizon greater opportunities to add value in selecting, structuring and managing investments.



The need to monitor portfolio risk has become increasingly important in recent years, and the process of managing risk has become more complex. The global markets are now characterized by more sophisticated technology and communications along with the rapid emergence of new securities and investment strategies, including derivative securities. Understanding and controlling portfolio risk involves every participant in the investment process: internal staff, finance committee of the board, outside investment managers, auditors and bank custodians. The Foundation strives to be a leader in applying analytic and management tools which, together with investment judgment, are important to this task. The Foundation is also concerned about the impact of investment expenses on overall results and thus is committed to using staff and outside resources efficiently.

In 1997 the remarkably robust U.S. equity market continued for the third straight year to generate high returns based on favorable U.S. economic indicators and strong corporate earnings. The S&P 500 gained 33.2 percent for the year, producing a three-year average annual S&P 500 return of 31.1 percent, the best in this century. A narrow group of large, blue chip stocks continued to dominate the U.S. market in 1997, outperforming most active equity managers and small cap stocks. The Russell 2000 index, an indicator of small stock results, was up 22.4 percent.

International equity markets recorded widely disparate results in 1997. Many European stock markets had strong gains with Europe as a whole up 38.0 percent in local currency, although the strengthening U.S. dollar eroded a portion of these returns. In contrast, the Japanese market was down 14.5 percent in local currency and down 23.7 percent to dollar investors, as the yen's weakening amplified losses. In the second half of the year, severe currency and stock market devaluations in most Southeast Asian countries resulted in significant market declines. These widely diverse international results led to a total return of only 1.8 percent for the MSCI EAFE index and a decline of 11.6 percent in the emerging markets index.

The Foundation's total investment return in 1997 was 19.3 percent. Total return was in excess of the policy portfolio return of 18.2 percent. The Foundation's performance for each asset class is measured against a benchmark or market index return for that class. In 1997 the Foundation's U.S. equity portfolio returned 28.9 percent compared to 31.8 percent for the Russell 3000 index. International equities, which are partially hedged for currency fluctuations, returned 10.0 percent compared to 8.2 percent for a 50 percent currency hedged EAFE benchmark. Bonds returned 10.5 percent compared to

9.6 percent for the Salomon Broad index. U.S. real estate and private equity markets also had strong returns in 1997, and the Foundation's portfolio outperformed benchmarks in both asset classes.

During the past year, the Treasurer's Office strengthened the investment manager group in the U.S. equity and fixed income sectors, capitalized on resurgent real estate markets to sell a number of long-term holdings, and further improved risk-management procedures across the portfolio. There has been a flood of new commitments by institutions to the private equity markets in recent years. While this trend calls for caution, the Foundation continues to make selective commitments to high-quality, private equity partnerships.

REPORT OF INDEPENDENT AUDITORS

The Board of Trustees
The Rockefeller Foundation

We have audited the accompanying statements of financial position of the Rockefeller Foundation as of December 31, 1997 and 1996, and the related statements of activities and cash flows for the years then ended. These financial statements are the responsibility of the Rockefeller Foundation's management. Our responsibility is to express an opinion on these financial statements based on our audits.

We conducted our audits in accordance with generally accepted auditing standards. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audits provide a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the Rockefeller Foundation at December 31, 1997 and 1996, and the changes in its net assets and its cash flows for the years then ended in conformity with generally accepted accounting principles.

Ernst & Young LLP

New York, New York
February 19, 1998

ABOUT THE FOUNDATION — INFORMATION FOR APPLICANTS

The Rockefeller Foundation concentrates its giving around eight strategic areas described in the Core Strategies section. The Foundation is a proactive grantmaker - that is, the officers and staff seek out opportunities that will help further the Foundation's long-term goals, rather than reacting to unsolicited proposals. Specific program guidelines can be obtained by writing or calling the foundation.

The Foundation recommends that potential applicants review the relevant program guidelines before submitting any unsolicited proposal. Foundation officers and staff receive more than 12,000 unsolicited proposals each year, 75 percent of which cannot be considered because their purposes fall outside the Foundation program guidelines.

Grants

Among the factors considered in evaluating grant proposals are:

- The project's relevance to Foundation programs and strategies;
- The applicant's qualifications and record of achievement; and
- The applicant's ability to secure additional funding from other sources.

Limitations

As a matter of policy the Foundation does not:

- Give or lend money for personal aid to individuals;
- Contribute to the establishment of local hospitals, churches, schools, libraries or welfare agencies, or to their building and operating funds;
- Support attempts to influence legislation; or
- Except in rare cases, provide general institutional support or fund endowments.

Relationship With Grantees Regarding Affirmative Action

The Foundation's Board of Trustees approved an external affirmative action policy in March 1988 for use with grantees. This policy states:

"The Rockefeller Foundation believes that important issues of underrepresentation of minorities and women remain unresolved in our society, and so far as possible we seek to play a helpful and constructive part in their resolution. To that end, the Foundation systematically invests in the professional development of minorities and women and their promotion into leadership roles. The Foundation expects grantee organizations to engage in similar efforts. Consequently, affirmative action questions will be raised with grantees or applicants for support when there appear to be opportunities for constructive collaboration with the Foundation in the interests of improving opportunities for underrepresented groups. In such instances, as a first step, we may ask these institutions to inform the Foundation of their efforts, supported, as appropriate, with data on the gender and minority composition of the leadership of the institution."

HOW TO APPLY

For relevant guidelines and applications write to:

The Rockefeller Foundation
420 Fifth Avenue
New York, New York, 10018-2702
U.S.A.

or call:

212-869-8500

AFRICAN INITIATIVES

Strategy:

"Build human and institutional infrastructures in Africa with an emphasis on closing the gender gap in school enrollment and achievement."

To meet the steadily intensifying development challenges facing Africa today and in the coming century, countries must commit to investing in their most important resource-their people. A better-educated workforce is essential to the region's growth. Fundamental improvements in literacy and in scientific research skills will, for example, better equip Africans to adopt new technologies and strategies to address some of the continent's most intractable economic and civil problems. And in Africa's food-deficit countries, more and better schooling and enhanced science-based training is imperative for reducing poverty and improving public health, as well as alleviating chronic food shortages and rural unemployment.

Investment in girls' education is especially beneficial to developing countries. Educating women correlates closely to reduced fertility and improved quality of life. An increase in the wages that educated women can command makes bearing children a more expensive option because it removes women from a substantial stream of income. Better-educated women tend to delay marriage, are more aware of their family planning options and pursue better prenatal and neonatal care for the children they do have. As well as having fewer, healthier children, educated women are themselves likely to have better-educated and better-employed children.

A variety of complex, interrelated factors contribute to the distressing state of girls' education in Africa: high population growth rates, lack of financial resources, shortage of facilities, high dropout and repetition rates, low teacher morale, traditional perceptions of the girl's role, and irrelevant curricula. Often compounding the plight of girls who do enroll in school are unwelcoming systems that may be inappropriate for their needs, hostile and, at times, unsafe. For families and communities the costs of schooling are difficult to justify in the face of society's priority for girls to become wives and mothers, often deferring their life decisions to parents and husbands.

All children-and indeed all of society-will benefit when schools are made more accessible to girls and teaching more sensitive to their needs. When parents acknowledge the importance of their daughters' education and are involved in supporting their schooling, girls perform better. If classes relate to girls' background and experiences, if they are taught in local languages, and if practical skills are included in the curriculum, girls are more likely to realize their potential. And when schools sensitize male teachers to girls' needs and employ female teachers who can act as role models, girls' participation is enhanced.

Important elements of the Rockefeller Foundation's strategy include facilitating good quality education in reading, mathematics, language and science for children. On the demand side, efforts are focused on public information campaigns intended to promote the benefits of female education. Greater community participation in education improves both the relevance and quality of education and ensures sustainability by encouraging better resource mobilization.

At the professional level, Africa can expand its participation in an increasingly technology-driven global economy by providing more and better trained natural and social scientists. To build a cadre of productive, well-trained leaders capable of affecting and sustaining economic and social development, the Foundation funds several initiatives to increase the relevance, quality and utilization of doctoral training received abroad and to help new Ph.D.s build career paths in Africa. Within Africa the Foundation also supports specialized training and collaborative partnerships for professionals-including engineers, economists and natural scientists-that are intended to improve the quality of research and policy initiatives.

The African Initiatives program has created a network of trained, highly skilled professionals whose expertise has greatly contributed to carrying out the Rockefeller Foundation's work in family planning, public health, agriculture and environment.

AGRICULTURAL SCIENCE

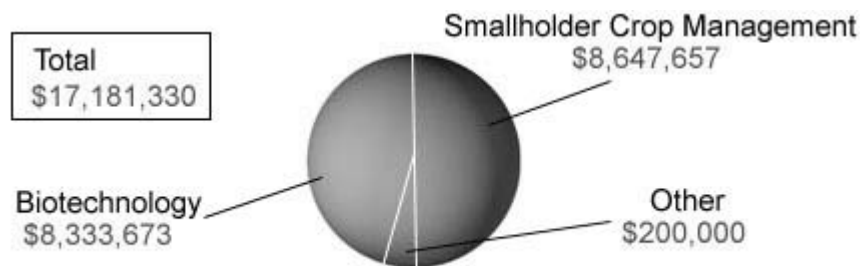
Strategy:

"Increase crop yields of smallholder farmers in developing countries profitably and without degrading natural resources"

Science can take much credit for substantial gains in the yields of subsistence foods in many parts of the world over the past three decades. The so-called Green Revolution, where research-based technologies were applied to achieve greater crop yields from fewer acres of agricultural land, was by many measures a success. In 1997 the Food and Agriculture Organization of the United Nations reported that, while population in the developing world increased by 1.5 billion people since the early 1960s, overall per capita food availability increased by 20 percent and there are 150 million fewer hungry people.

But some of the food available in developing countries has come from excess capacity produced in the industrialized world which leaves them vulnerable to conditions outside their control. There are still 800 million hungry people in the developing world-185 million of them are seriously malnourished preschool children.

Developing countries with strong economies will likely be able to import food in amounts that will keep pace with domestic population growth, even if they are not able to grow it themselves. But myriad other problems can thwart a country's ability to feed its people. In Mexico, the high concentration of farmland owned by relatively few skews incomes and reduces the ability of those without resources to buy food. In south Asia, growth of income disparities could, if food prices rise, leave the poorest without the means to afford adequate nourishment. It is clear that improving the food supply where it is needed requires attention to the interrelated issues of the environment, sustainability, institutional capacity and economic development.



The Rockefeller Foundation's approach to these issues is to support research into agricultural technologies largely in the developing world and methods of spreading the innovations reaped from these technologies. These innovations, when taking into account availability of labor, distribution systems, resource preservation and cultural norms, can provide enormous contributions from relatively small research investments.

It is critical, however, that these investments be concentrated to achieve the greatest possible impact. Due in part to the Foundation's earlier investments, a global research system and the ability to share new information among countries already exists. Still necessary is a system that enables farmers and researchers in a variety of countries to adapt new research findings to their particular circumstances, and raising yields and achieving agricultural goals in

developing countries will require changing institutions and changing policies, as well as a substantial increase in new technologies for crop genetics and crop management. The Foundation can best help address these diverse challenges by supporting technology development and appropriate transfer of technology within the countries in need.

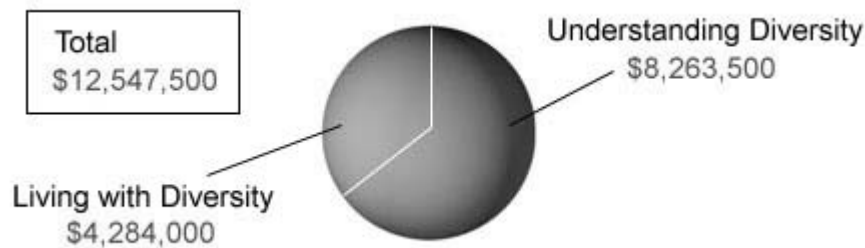
ARTS AND HUMANITIES

Strategy:

"Understand and engage difference across changing societies through the arts and humanities."

The arts and humanities offer interpretations and ideas that are the basis for a culture's values and vitality. Artists and humanists provide a unique window into the essence of human life-into who we are, how we live, what moves us, what history we share, and what are the distinctive characteristics of our cultures. Their visions, communicated through painting, performance, text, film and other media, can help audiences see beyond their own interests to appreciate the commonalities, as well as differences, among peoples. The arts and humanities also animate civil society and promote the free and creative flow of ideas across societies.

Throughout its history, the Rockefeller Foundation's support of artists and humanists has been linked to the ideas and issues of the day. Over time, its work has been concerned with expanding the definition of American culture and identity, balanced by efforts to comprehend other cultures across the globe. In these times of transition and social upheaval, when societies are increasingly diverse, mobile, striving and interdependent, the Foundation has targeted efforts that foster understanding across divides of class, ethnicity, religion and tradition-both here and abroad.



In Africa the Foundation funds cultural institutions-publishers, independent radio, museums, festivals of the expressive arts-to strengthen the autonomous voices of critique and pluralism necessary for civil society to flourish. In parts of the Muslim world, support enables researchers, critics and artists to work together within and across their own changing societies. In Latin America the binational U.S.-Mexico Fund for Culture promotes exchange and collaboration in the performing, visual and media arts; cultural studies; publishing; and translation. Also addressed are such issues as the cultural implications of immigration and how diversity is understood in both the United States and Mexico. Within the United States, the Foundation funds cultural initiatives that examine difference, celebrate the multiple heritages of Americans, and advance understanding and reciprocity. This is done through, among other programs, a production fund for the creation of new work in the performing arts; fellowships for media artists; museum exhibitions that promote conversations across boundaries and cultural divisions; and an initiative supporting community partnerships that employ arts and culture in building healthy communities. A fellowships program for humanities scholars at selected research centers in North and South America supports the creation of new knowledge through interdisciplinary exchange and the building of a network of scholars and institutions.

HEALTH SCIENCES

Strategy:

"Build human capacity for population-based health care in developing nations."

If science and education are the brain and nervous system of civilization, health is the heart. It is the organ that pushes the vital fluid to every part of the social organism."

Frederick Gates

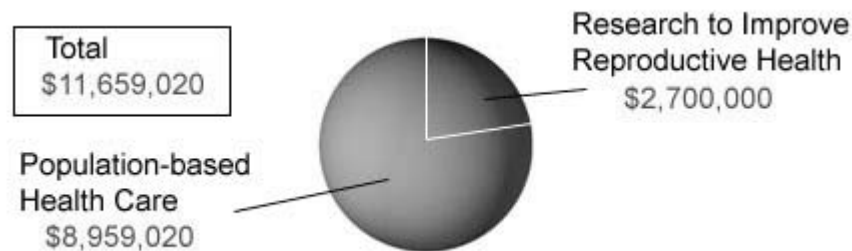
Rockefeller Foundation inaugural meeting, 1913

These words set the tone for how the Rockefeller Foundation would think about and act upon global health needs for the next nine decades. Since 1913 the Health Sciences division has been at the heart of many of the major scientific and humanitarian advances that have been crucial to the well-being of the world's people: partly funding the discovery of penicillin, developing a yellow fever vaccine, helping build many of the world's modern health institutions, and advancing the quality of medical research and education in the United States and around the world. Through the years the division has also focused on eradicating infectious diseases-from hookworm to smallpox to polio-and today is concentrating on the development of a safe and effective AIDS vaccine.

The Health Sciences division is now evaluating its work in order to build upon the Foundation's successes. It will create a program to secure greater equity in health in the next century by:

1. advancing understanding of health equity
2. promoting equity-oriented health research and development
3. strengthening the capacity of health systems to reduce inequities in health.

Articulation of the strategy will be based on an assessment of both existing and future health challenges, which include: slowing the spread of fatal childhood infections; tackling the rapid increase in chronic conditions associated with changing lifestyle and aging populations; and addressing the worrisome emergence of a third wave of health risks linked to global climate change, violent behaviors and drug-resistant infectious diseases. In addressing these problems, the Foundation will support the production and application of knowledge while recognizing that its limited resources require global partners.



This year the Foundation has been a major contributor to the Global Health Equity Initiative (GHEI), a multifaceted project with more than 100 researchers in 15 countries. The researchers are committed to advancing understanding, broadening awareness, and promoting equity-enhancing policies and actions,

with particular focus on the health and well-being of disadvantaged people in all parts of the world. Through intercountry comparisons of equity in health, especially from nonindustrialized countries, the GHEI hopes to examine the multiple dimensions of health equity, with a view to developing tools to inform policy and evaluate health-system performance according to equity criteria. With this new focus on equity in health as a programming priority, the Health Sciences division has funded equity studies in both of the GHEI's two complementary research efforts: conceptual groups and case studies. The conceptual groups are developing a theoretical foundation for what equity in health means, thus providing a basis for thinking about its determinants, multiple expressions and consequences. In addition, 12 country case studies are applying this conceptual work to their investigations of specific questions of health equity. The interface of the conceptual groups with the country case studies constitutes a central strength of the GHEI, as it allows both practical application of the theoretical framework and field-experience impact on the conceptual studies.

As all signs point to a more integrated and interdependent world, we must begin to think of health problems in a truly global context. Despite the tremendous capacity for good health due to technology and favorable social conditions, large populations in many countries face shortened life expectancies due to unequal opportunities in accessing these prerequisites for health. In addition to the moral repugnance of these inequities, their enormous costs for both the public and private sector make finding opportunities to redress them a high priority for any country interested in reaching its full economic and social potential.

EQUAL OPPORTUNITY

Strategy:

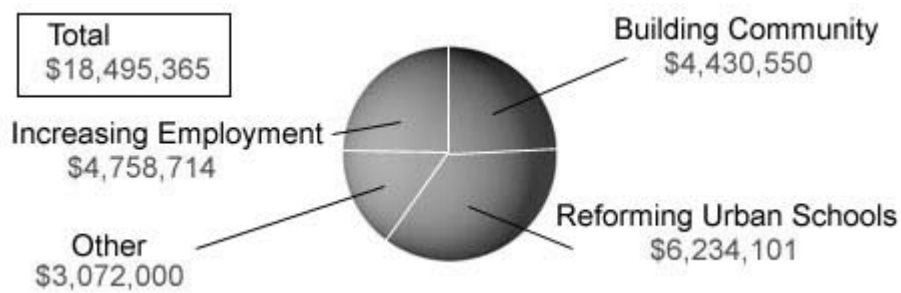
"Support vibrant and diverse urban communities, assisting them to gain access to the fundamental elements of opportunity including employment, good schools, freedom from discrimination and full participation in the democratic process."

By most measures, the United States today is experiencing a period of economic prosperity. Yet there are many communities, particularly in urban settings, where people do not benefit from this wealth. There are areas of our country that economic progress doesn't reach-where location, racism, the departure of businesses and diminishing public resources have conspired to propagate poverty and distress, in good times as well as bad, and where new welfare regulations have dictated radical change in public programs that assist the poor.

Embedded in these observations are key assumptions that form the backbone of the Equal Opportunity division's programs: that at present in this country, opportunity is not equally distributed; that location, race and ethnicity affect a person's chances at economic prosperity; that education is critical to a person's chances at a better life; and that progress will require the participation of both public and private partners and the support of the communities involved.

The Equal Opportunity division's work assists communities in accessing the fundamental elements of opportunity: jobs, good schools, freedom from discrimination and participation in a democratic society. Targeting those urban areas under the greatest duress, the work of the division involves increasing labor force participation, improving urban school systems, fostering democratic principles of social justice and inclusion, and helping struggling communities to rebuild themselves.

If you were to ask 10 people to describe what is meant by the word "community," you might get an equal number of different descriptions. But out of these might come the common notions of home, place, friends and neighbors, schools, businesses and commerce and places of worship. But what if some of these things were missing from your own community? What if there were no banks or supermarkets nearby, or affordable transportation to travel to them? What if no one would lend you money to start a business or renovate a home in your neighborhood? What if your neighborhood public school didn't adequately serve the education needs of your children? What if no one you knew could find a job-or if they did, there was no one to look after their children while they worked?



Community-building programs engaging the National Community Development Initiative and the National Community Building Network address the wide array of issues in distressed communities-from proper housing and adequate

business investment to family needs and supports-that make for sound communities.

Critical to a thriving community is the availability of jobs for its residents. To increase employment opportunities where they are needed most, the division has undertaken research and launched a set of initiatives designed to improve employment rates in distressed urban locations. All of these programs have at their core the belief that work is a fundamental organizing principle of adult and community life, and that without it individuals have little chance of improving their quality of life. These programs will test strategies that address the full spectrum of issues that impact employment in urban environments, from the specific needs of the hardest to employ, to the community supports that help people keep jobs and the public policies that assist them.

The Jobs-Plus program will work to increase dramatically the number of public housing residents who are employed, and, by doing so, improve the overall quality of life of the families who live at these sites. The Neighborhood Jobs Initiative unites a wide variety of community members from the public, private and nonprofit worlds to direct job opportunities to the residents of neglected urban neighborhoods. The Connections to Work program identifies opportunities for retooling the service delivery structure in select cities to improve job training and placement services for welfare and low-income people.

A good education is essential for opening doors of opportunity, and quality teaching in an environment that supports the growth and achievement of children is at the heart of a good education. Since 1990 the Rockefeller Foundation has supported Dr. James P. Comer's School Development Program (SDP), whose approach organizes the work of all the adults in a school community around the development of their children. To spread good educational practice and expand the reach of successful school reforms like the SDP beyond individual schools, the Foundation has shifted its efforts to the larger community in which a school operates and to the community dynamics that create the educational decision-making environment.

To that end, the Foundation is funding efforts like the Learning Communities Network to engage whole school districts in rethinking the policies and practices that improve the quality of the teachers and other leaders responsible for the education of our children.

Providing employment, education and housing, and forging the partnerships that make communities work on behalf of residents, all go a long way to improving the opportunity for a better life for people of color. But the fact remains that direct challenges to the civil rights of racial and ethnic minorities continue in this country. In response, the division continues its support for national civil rights organizations and their capacity to respond to civil rights challenges.

GLOBAL ENVIRONMENT

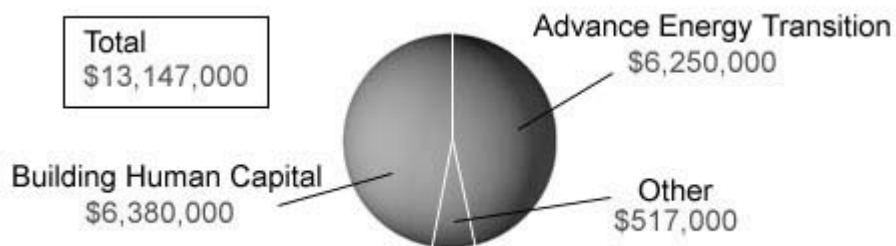
Strategy:

"Facilitate the transition to a new energy paradigm based on sustainability, renewable resources, efficient use, economic viability and equity in access."

Human activities intended to improve economic well-being have adversely affected the biosphere. Expanding consumption and population growth are testing the sustainable capacity of the earth. Growing waste production continues to degrade the environment, ruin human health, impact global climate change, destroy biodiversity, deplete the ozone layer and endanger the world that future generations will inherit. Our current path is not sustainable.

The transition to sustainability-when humankind's relationship with the environment does not imperil the earth's ability to sustain itself-demands visionaries capable of thinking beyond current orthodoxies. Unless the next generation of leaders can synthesize ideas across traditional sectors and disciplines, think beyond national boundaries, transcend cultures and build alliances across multiple constituencies, these leaders will not be prepared for the challenge. Leadership for Environment and Development, known as LEAD, was created by the Rockefeller Foundation in 1991 to help contribute to the process of human capital development.

LEAD inspires a course of development that is environmentally responsible, culturally acceptable, socially equitable, economically viable and politically feasible. Using a combination of interdisciplinary methods, interactive skills building and global networking, the LEAD program is building a cadre of midcareer professionals in a wide range of disciplines who actively contribute toward the sustainable management, conservation and symbiotic use of ecosystems throughout the world. The graduates are also provided an opportunity through post training support to put innovative ideas into practice and establish their leadership credentials.



Among the most environmentally damaging human activities is the production and provision of energy services. Energy is an essential constituent of humankind's social and economic advancement, yet the dependence on fossil fuel-based energy services is causing serious health hazards and environmental pollution. Already the planet's ability to temper its climate and thus ensure life in the biosphere has been cast into doubt as heat-trapping gases released from combusted fossil fuels continue to build up in the atmosphere. Evidence is mounting that increased climate disruption could have pervasive adverse impacts on human health and well-being, and result in significant loss of life and property.

All of humanity bears the burden of a deteriorating global environment caused in part by the burning of fossil fuels, yet only a fraction of the earth's

population ever benefits from fossil fuel-based energy services. The more than four billion people in developing countries use only about 25 percent of the world's primary energy and many have little or no access to modern energy services. Both the rural and urban poor are caught in a vicious cycle: they expend a higher proportion of their income on obtaining minimal energy services, and they are unable to invest in the use of less costly, more efficient energy sources and equipment.

The Global Environment division seeks to catalyze the transition to a new energy paradigm in both developed and developing countries by reducing dependence on fossil fuel, and replacing fossil fuel sources with renewable-energy sources and increased energy efficiency. The Foundation continues to support the work of E&Co (created in 1994) in its pursuit of new approaches to the production, financing and delivery of energy services in developing countries. E&Co finances new, scientifically valid technologies that are replicable and economically viable, and that increase equity and institutional sustainability while establishing the basis of stronger renewable-energy efficiency industries. In the United States the Global Environment division supports the Energy Foundation's efforts to promote policies, practices and technologies that help utilities to generate, and end-users to employ, energy at the least financial and environmental cost

The Foundation conceived the Global Energy Initiative, which seeks to demonstrate to high-level, national decision makers in developing countries the viability of renewable-energy sources by emphasizing their equity and quality-of-life benefits. This Initiative aims to facilitate dialogue among political, business and community leaders to catalyze selective projects designed to demonstrate an improved quality of life for the rural and urban poor, and simultaneously reduce the threats of pollution and global climate change. By focusing on increasing the provision of renewable-energy systems to the rural poor, and minimizing the impact of energy-related health problems caused by urban air pollution and solid waste, the Foundation hopes to accelerate the transition to a new energy paradigm.

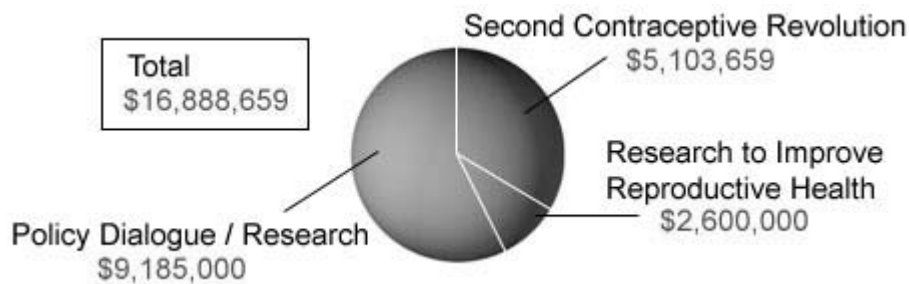
POPULATION SCIENCE

Strategy:

"Mobilize resources to satisfy unmet demand for family planning and reproductive health services."

The world is on the eve of a tremendous social transformation. Couples of childbearing age across the globe more and more often choose to have "children by choice." Such decisions help improve the quality of life for women in most developing nations, leading to healthier and better educated children, increased life expectancies and more robust economies.

The continued downward trend toward population stabilization—from high birth rates and high death rates, to low birth and death rates—is one of the world's greatest development success stories. For more than 30 years, leading industrialized nations, and the nonprofit sector within these countries, have partnered with low-income nations to provide critical resources needed to slow population growth. These efforts focus on safe and efficient delivery of reproductive health services, including family planning, to both men and women.



Since establishing this goal in 1992, the Rockefeller Foundation has granted more than \$75 million to research institutions and nongovernmental organizations working to fulfill the unmet demand for contraception and reproductive health care. Foundation grants promote policy dialogue and research, and are helping to launch a second contraceptive technology revolution from which new contraceptive products can be developed. These grants also fund field research in reproductive health, especially among adolescents in south Asia and sub-Saharan Africa.

The world cannot afford to forget, however, that human numbers are still growing rapidly. The world's population—now at 5.8 billion—has doubled since 1957 and continues to add about 80 million people each year.

By the year 2005, 800 million teenagers will have reached childbearing age—the largest such group of potential parents ever. This means that by the coming century, one-seventh of the world's population will be teenagers entering their reproductive years. The Foundation's reproductive health field research program, operated jointly by the Health and Population Sciences divisions, aims at finding effective ways to meet the sexual and reproductive health needs of this largest generation of young adults in history.

The fact that so many teenagers are alive reflects a great humanitarian achievement: dramatic reductions in infant and child mortality coupled with declining birth rates. Such progress reflects a steadfast commitment by many developing countries to delivering safe and effective health care including

family planning services. Developing countries now lead the world in providing such services to their citizens. In the early days programs were financed largely by aid from industrialized nations, but now nearly 75 percent of such services are paid for by the nations using them.

This does not mean that industrialized nations can afford to pull back from providing financial and technical assistance. At the 1994 International Conference on Population and Development (ICPD) in Cairo, Egypt, 183 nations endorsed a program of action estimated to cost \$17 billion annually by 2000. The program's goals are to improve quality of care, expand the range of family planning and other reproductive health options, encourage male participation, and empower women. The success of the ICPD program is largely dependent on continued financial support from nations like the United States, members of the European Union, Canada, Australia and Japan. If the world is to manage population growth effectively, all nations must work together.

One such example of global cooperation is Partners in Population and Development, a 12-member intergovernmental organization formed at the ICPD. Funded by member governments, the Rockefeller and Hewlett Foundations, the United Nations Population Fund, and the World Bank, Partners members share technical resources and information about successful reproductive health and family planning efforts on a "south-to-south" basis. They are proving that expertise in this field is just as likely to come from developing nations as it is to be "imported" from industrialized countries.

The Foundation is also committed to support of partnerships between the public and private sectors. An example is an initiative between university researchers and the pharmaceutical manufacturer Schering to promote scientific study of male contraception, research that could lead to the development of new male contraceptives over the next decade. The Foundation believes that committing the resources called for in the ICPD Programme of Action is crucial to achieving both the goal of improved health for women and their families, and the now more-attainable-than-ever prospect of population stabilization.

SPECIAL PROGRAM/ASSETS & CAPACITIES

[Bellagio](#)
[Building Democracy](#)
[International Security Program](#)
[Special Interests](#)

Bellagio

Some argue that we now live in an age that discourages opportunities for deep thought and reflection, one where we regularly use phrases such as "information overload" and "overstimulation" to describe aspects of our lives. Advanced technologies such as fax machines, cellular phones, beepers and e-mail distract us and require time, leaving less for what should be priorities-creative thinking, scholarly discussion and serious contemplation.

The Rockefeller Foundation first began to consider these issues some 40 years ago when an unprecedented opportunity arose in Bellagio, Italy. When American expatriate Ella Holbrook Walker bequeathed the Villa Serbelloni to the Foundation in 1959, the first order of business was determining how to fulfill her wish that the Villa be used for the promotion of international understanding. The result was the Bellagio Study and Conference Center.

Nestled on Lake Como in the foothills of the Italian Alps, the Center comprises 17th- to 19th-century buildings on 50 acres of gardens and park-an ideal environment for solitude and contemplation suitable for writing, thinking, creating and coming to consensus. Three participatory opportunities are offered at the Center: month long individual residencies, team residencies averaging seven-to-10 days, and weeklong international conferences. All allow for exploration of a variety of important areas, some expanding the Foundation's current work, others extending far beyond it. Widely recognized as an important meeting place for scholars and practitioners from every region of the world, the Center is a testament to the Foundation's commitment to promoting innovative thinking on the global level.

The spirit of the Bellagio Center is captured by comments from recent participants:

"Never before have I been in a setting that allowed such concentrated work, though pleasantly punctuated by stimulating conversations with persons whose perspectives and backgrounds provided new prisms for looking at my work."

"Some of the team members had never met each other, let alone worked together. We used the time to argue, resolve differences, teach each other and, above all, create half of a new work in what, to some of us, is a foreign language and a foreign culture."

"The exquisite facilities and breathtaking beauty of Bellagio ensured that the full and frank conference sessions did not result in acrimony."

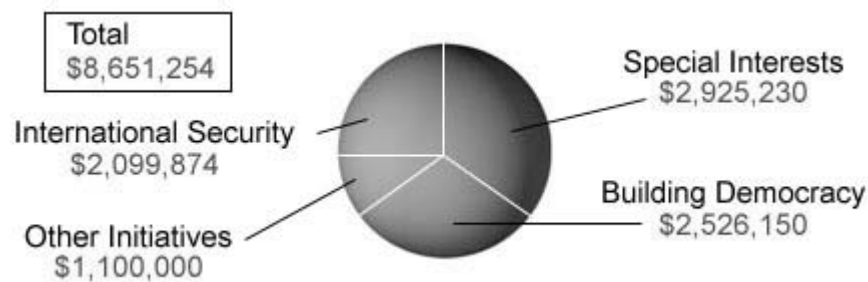
Applications are reviewed by Foundation professional staff and a group of consultants. Decisions are based on the quality of the individual or team project or conference proposed, the importance of the proposed work to

development and innovation in its field and discipline, the competence of the applicant(s), and the suitability of the Center for the proposed activity. During the past 38 years, the Center has served as a meeting place for more than 3,200 residents and 18,000 conferees from 120 countries.

Building Democracy

As the nation's democratic ideals and institutions come under increasing scrutiny, Rockefeller Foundation officers have begun to ask the question, how do we reinvigorate democratic principles, processes and institutions? In response, the Foundation is developing an innovative three-pronged exploration that focuses on community building, leadership development and race relations. Through a collaboration with community organizations and development professionals, the Building Democracy program identifies examples of effective community-building projects-self-renewing efforts by residents and professionals-that will strengthen the principles that serve as the foundation for our democracy.

Another part of this exploration concerns the widening gap between institutions and individuals, those with and without power, and the deepening breach of our social fabric. Issues such as race and ethnicity, the impact of immigration and demographic changes in America, globalization, and the influence of the media and information technology are illustrative of the next millennium's complex nature. In 1997 the Foundation launched a trial of the Next Generation Leadership (NGL) program, a two-year fellowship designed to increase participants' understanding of the challenges facing democracy in the 21st century. Through this program, the Foundation hopes to create a new corps of leaders who have the skills to rebuild a society based on democratic principles.



Intrinsic to discussions of democracy in this country, however, is an understanding of the nature of a diverse society such as ours. Toward this end, the Foundation has started its own work on a National Conversation on Race. The subject of race relations is one that continues to permeate the conscience of America, and despite ongoing efforts to address this problem, its resolution remains elusive. We're working with a number of grantees, researchers, other foundations and community leaders to better understand the root causes of inequity. Through this, the Foundation will identify creative approaches to bridge racial and ethnic divides and bring new concepts of race relations to a wider audience.

International Security Program

The annihilation of a country's inhabitants and poisoning of its environment is a morally reprehensible and militarily indefensible policy. Yet the weapons of mass destruction-nuclear, chemical and biological-that are designed to inflict massive damage continue to proliferate. The deterrence rationale for their existence has evaporated in the aftermath of the Cold War. Their continued existence poses unacceptable risk to humankind, whether from accident, theft, or simple human miscalculation and irrationality. When weapons of mass destruction remain in even a few countries' arsenals, they act as a powerful and constant stimulus to other states and groups to acquire them. The only complete defense against these weapons' monstrous effects is their elimination and assurance that they will never be used.

The International Security program engages a diverse network of scholars, foundations, nongovernmental organizations, communicators, military experts, scientists, past and present government officials, and others to explore ways to refrain from using, building or deploying weapons of mass destruction. By funding initiatives to facilitate information exchange, increase transparency, coordinate research, build confidence and generate public awareness, the Rockefeller Foundation's strategy is to overcome the institutional rigidity, misunderstanding and misinformation that often lead to heightened tension between countries possessing weapons of mass destruction.

Specific interventions are aimed at facilitating cooperative solutions to acute national security problems that stimulate proliferation in North Korea, south Asia and Iran. A strategy of supporting pragmatic nongovernmental leaders within and outside of these countries has helped to encourage dialogues on fundamental issues of national security and to infuse less orthodox thinking into exhausted public and private deliberations.

Special Interests

There are programs funded by the Rockefeller Foundation that may not fall within the Foundation's program guidelines, but whose focus complements its work, or deals with issues that the Foundation is beginning to explore. The Special Interests fund supports a variety of important and worthy projects in a wide array of professional fields that do not otherwise qualify for assistance based on program guidelines. The Foundation also encourages the development of philanthropy through grants to such organizations as the Council on Foundations, the Independent Sector, the International Institute for the Advancement of Philanthropy and other organizations specializing in the nonprofit sector.

POPULATION SCIENCES

Promoting Policy Dialogue and Research**U. S. International Population Assistance**

1997 appropriation of \$500,000 in addition to previous funding for allocation by the officers to educate the United States public about international population issues and the current level at which the foreign aid program supports implementation of the Cairo Programme of Action.

Alan Guttmacher Institute, New York, New York \$125,000 to continue a public education program in the United States about government support for family planning, and how it averts unwanted pregnancies and abortions.

Foundation-administered project: \$10,000 for administrative costs of the program

National Audubon Society, New York, New York \$150,000 to continue a public education program in the United States in support of international population and family planning programs

National Family Planning and Reproductive Health Association, Washington, D.C. \$100,000 to continue a public education and constituency-building

effort in the United States in support of international population and family planning programs

Policy Research in Sub-Saharan Africa

1997 appropriation of \$2,725,000 in addition to previous funding for allocation by the officers to support population research in Africa designed to improve policymakers' understanding of the unmet demand for family planning and reproductive health services and provide them with information on how to design services that improve women's health and reduce fertility.

Banza Baya, Ouagadougou, Burkina Faso \$48,700 for a study in collaboration with the University of Montreal on the effects of child mortality on fertility preferences and behavior in rural Burkina Faso.

Ministry of Health, Ghana, Accra, Ghana \$276,320 toward the costs of the demographic surveillance system of the Navrongo Health Research Centre, and related training and dissemination activities

Union for African Population Studies, Dakar, Senegal \$100,000 for its small grants program

University of Ouagadougou, Ouagadougou, Burkina Faso \$49,500 to enable its research and training unit in demography to continue to assess the health and demographic impact of a community based distribution project in a rural area of Burkina Faso

Policy Dialogue and Research 1997 appropriation of \$5,550,000 in addition to previous funding for allocation by the officers to support policy dialogue and research activities that will mobilize the resources needed to satisfy unmet demand for family planning and reproductive health services in developing countries.

Asian Forum of Parliamentarians on Population and Development, Bangkok, Thailand \$120,170 for a visit by parliamentarians from Australia and New Zealand to reproductive health and family planning programs in Thailand and Vietnam organized in collaboration with the Ministry of Health of Thailand and the National Committee of Vietnam Parliamentarians on Population and Development

Australian National University, Canberra, Australia \$18,000 in continued support of the first phase of a collaborative study with the International Centre for Diarrhoeal Disease Research, Bangladesh, concerning the onset of fertility decline in Bangladesh.

Australian National University, Canberra, Australia \$118,000 toward the costs of John C. Caldwell's research on a unified theory of fertility decline.

Center for Cultural and Technical Interchange between East and West, Honolulu, Hawaii \$35,000 toward the costs of a forum on macroeconomics and population momentum organized in collaboration with the World Bank

College of William & Mary, Williamsburg, Virginia \$48,030 for a multicountry analysis in collaboration with the University of Minnesota, concerning the impact of family size on child health

Cornell University, Ithaca, New York \$155,810 for a study to be conducted in collaboration with the Population Council and the University of Southampton, on cultural theories of fertility decline in Bangladesh and West Bengal, India

Danish Family Planning Association, Copenhagen, Denmark \$117,120 for an educational initiative on international reproductive health and family planning issues for foreign assistance policymakers and parliamentarians

Equilibrium and Population, Paris, France \$139,510 to produce a monthly newsletter related to population and reproductive health

Foundation-administered project: \$112,560 to commission the Futures Group to document the history of the elimination of family planning targets in India

Foundation-administered project: \$617,250 for policy dialogue communications activities and projects related to South-South cooperation

Foundation-administered project: \$183,300 for administrative expenses in connection with the program

Foundation-administered project: \$65,000 for an evaluation of the Population Sciences division's overall communications effort

Foundation-administered project: \$79,970 to commission the Futures Group to conduct nine case studies of the demographic transition that track the movement from high to low fertility

German Foundation for World Population, Hannover, Germany \$132,000 to provide the German public and policymakers with information on global population issues

International Centre for Diarrhoeal Disease Research, Bangladesh, Dhaka, Bangladesh \$28,000 for work in collaboration with the Partners in Population and Development in facilitating South-South exchange

International Council on Management of Population Programmes, Kuala Lumpur, Malaysia \$150,000 for a collaborative project with Population Concern designed to strengthen the management of developing-country nongovernmental organizations for the implementation of effective South-South partnerships

International Planned Parenthood Federation, London, England \$55,000 toward the costs of its educational initiative on international reproductive health and family planning issues for European parliamentarians, civil servants, the media, and others

Marie Stopes International, London, England \$180,650 to expand a fundraising training program for developing-country population agencies, such as the Partners in Population and Development

Ministry of Health, Ghana, Accra, Ghana \$49,120 for use by the Navrongo Health Research Centre to facilitate South-South

exchange between Ghana and Bangladesh on population and reproductive health issues

Planned Parenthood Federation of Canada, Ottawa, Canada \$100,000 toward the costs of planning for the creation of a new nongovernmental organization that will promote Canadian support for the Cairo Programme of Action and educate the public about the effects of population growth on development

Population Action International, Washington, D.C. \$250,000 toward the costs of publications and media activities that are designed to advance implementation of the Cairo Programme of Action

Population Concern, London, England \$350,000 for a project in collaboration with ICOMP and the Secretariat of the Partners in Population and Development to strengthen the management of Asian NGOs providing reproductive health services, and help them obtain funding for South-South initiatives

Population Council, New York, New York \$296,090 for the third year of a study concerning the relationships between high fertility in developing countries and educational investments by parents

Population Council, New York, New York \$338,480 for the third year of a study of the nature and causes of unmet need for family planning in Egypt, Ghana, Pakistan and Zambia

Population Reference Bureau, Washington, D.C. \$248,980 for case studies in Brazil, India, Morocco and Uganda concerning implementation of the recommendations of the 1994 International Conference on Population and Development

Rand Corporation, Santa Monica, California \$125,000 for a study concerning how socioeconomic conditions and policy interventions affect fertility and the education of children in Cameroon, conducted in collaboration with the University of Dschang and IFORD

Tropical Diseases Research Center, Ndola, Zambia \$54,150 for a study to be conducted in collaboration with the Population Council on the nature and causes of unmet need for family planning in Zambia

United Nations Population Fund, New York, New York \$220,000 toward the costs of activities related to South-South collaboration under the "Cairo +5" initiative to track implementation

of the Programme of Action adopted at the 1994 International Conference on Population and Development

United Nations Population Fund, New York, New York \$897,100 to continue support for the Partners in Population and Development initiative

University of Hawaii at Manoa, Honolulu, Hawaii \$50,000 for the dissemination of the results of a study on the relationships between population dynamics and economic growth in east Asia

Vaestoliitto, The Family Federation of Finland, Helsinki, Finland \$128,150 for an educational initiative on international reproductive health and family planning issues for parliamentarians, government officials, and the media

World Population Foundation, Netherlands, Hilversum, Netherlands \$75,000 to continue a project to strengthen European nongovernmental organizations and improve media awareness of population issues

Re-Entry Awards
1993 appropriation of \$600,000 in addition to previous funding for allocation by the officers for research support for population scientists returning to their home countries at the completion of their fellowships.

National Committee for Population and Family Planning of Vietnam, Hanoi, Vietnam \$16,650 to broaden the scope of a study designed to evaluate the quality of family planning and reproductive health services in rural areas of Vietnam and assess its impact on the fertility transition

GRANTS IN AID

Family Care International, New York, New York \$29,880 for the African portion of a project concerning implementation of the 1994 Cairo Programme of Action that will be undertaken in collaboration with the Partners in Population and Development

Foundation-administered project: \$31,460 to facilitate collaboration among United States funders of international population, reproductive health, and reproductive rights programs

Foundation-administered project: \$80,000 to begin the process of ensuring that participants at international meetings held in 1999—five years after the most recent United Nations International Conference on Population and Development—have reliable information about implementation of the Cairo Programme of Action

Michael P. Fox, Dhaka, Bangladesh \$35,000 to assess the current and potential roles of the private sector in South-South reproductive health initiatives

New York University, New York, New York \$49,040 for use by its Center on International Cooperation for a series of studies on the key policy and financial issues concerning implementation of the Programme of Action of the 1994 International Conference on Population and Development

Peking University, Beijing, China \$32,770 to enable the Institute of Population Research to continue its collaboration with the Chinese State Family Planning Commission on a project concerning the advantages of an enhanced rural family planning system that integrates improved methods of contraception with better training of family planning personnel

Planned Parenthood Federation of America, New York, New York \$132,000 for the planning phase of a new global partnership and leadership program to encourage affiliates to collaborate with their counterparts in developing countries

Fred T. Sai, Accra, Ghana \$19,850 to study how Ghanaians of different religious beliefs and ethnic backgrounds view the ethics of reproductive health and family life issues

Launching a Second Contraceptive Revolution

Second Contraceptive Technology Revolution
1996 appropriation of \$9,000,000 in addition to previous funding for allocation by the officers to mobilize resources for launching a second contraceptive technology revolution that will lift the whole field of contraceptive research and development.

Albert Szent-Gyorgyi Medical University, Szeged, Hungary \$3,213 for a symposium organized in collaboration with Gedeon Richter Ltd. on post-coital and post-ovulatory contraception, held in Budapest in March 1997

Catholic University of Chile, Santiago, Chile \$40,290 for the purchase of equipment for its training and research program in reproductive biology

Concept Foundation, Bangkok, Thailand \$1,868,900 for a collaborative research and development project with the World Health Organization and Chinese institutions concerning the post-ovulatory use of mifepristone as a means of reducing unwanted pregnancies and the recourse to abortion

Medical Research Council Reproductive Biology Unit, Edinburgh, Scotland \$137,640 for a study concerning oxidative stress in the epididymis

Mount Sinai School of Medicine, New York, New York \$126,230 for a study of mammalian egg and sperm adhesion molecules, leading to the design of ligands that bind to free-swimming sperm and prevent fertilization

University of Turku, Turku, Finland \$113,560 for use by its Institute of Biomedicine to develop immortalized cell lines of epididymal cells

University of Virginia, Charlottesville, Virginia \$259,620 for a study of epididymal-specific transcription factors and secreted proteins as targets for the development of a male contraceptive

Westphalian Wilhelms University, Munster, Germany \$114,470 for a study designed to develop a male contraceptive by targeting sperm metabolism

Rockefeller Foundation Biotechnology Career Fellowships
1993 appropriation of \$1,140,000 (joint with AH, AS, HS, and SI) in addition to previous funding for allocation by the officers to assist excellent young scientists based in the Third World to become leaders in the development and application of biotechnology that offers promise for improving the living conditions of poor people.

University of Connecticut, Storrs, Connecticut \$400,000 to support the China Bridges International Fellowship programs

Re-Entry Awards
1993 appropriation of \$600,000 in addition to previous funding for allocation by the officers for research support for population scientists returning to their home countries at the completion of their fellowships.

Shanghai Second Medical University, Shanghai, China \$30,000 for use by its Shanghai Institute of Endocrinology for studies on the relationship between leptin levels and reproductive function.

GRANTS IN AID

Alan Guttmacher Institute, New York, New York \$100,000 toward the costs of a survey to assess women's potential interest in using vaginal microbicides, contraceptives that also protect against sexually transmitted disease

All India Institute of Medical Sciences, New Delhi, India \$59,830 for studies on the

endocrinology and paracrinology of implantation in the primate as new strategies for post-ovulatory and post-coital contraception

Concept Foundation, Bangkok, Thailand. \$13,643 for a public- and private-sector collaborative project to make emergency contraception products available to women in developing countries

Concept Foundation, Bangkok, Thailand. \$132,860 for a marketing research project in collaboration with the Consortium for Emergency Contraception and Gedeon Richter Ltd. concerning the introduction of emergency contraceptive pills in selected developing countries

Concept Foundation, Bangkok, Thailand. \$12,373 to assess the interest and capability of pharmaceutical companies in China and India in developing mifepristone for post-ovulatory emergency contraception designed to decrease the need for abortion and improve women's health in developing countries

Family Health International, Research Triangle Park, North Carolina. \$25,000 toward the costs of a study to determine whether the prophylactic administration of medicine will prevent the nausea associated with the Yuzpe regimen of emergency contraceptive pills

Foundation-administered project: \$75,000 for administrative expenses in connection with a Belagao meeting to be held in 1998 on private-sector contraceptive pricing issues in developing countries

Institute of Experimental Biology and Medicine, Buenos Aires, Argentina. \$35,000 to continue a study of the potential contraceptive use of an epididymal protein that participates in fertilization

International Planned Parenthood Federation, London, England. \$50,000 toward the costs of the production and distribution of its Medical Bulletin in 1998

Population Council, New York, New York. \$50,000 for research on the biology of testis in restructuring tissues of the seminiferous epithelium during spermatogenesis

Population Council, New York, New York. \$35,000 for the planning phase of an effort to expand the search for potential vaginal microbicides by forming a network of biomedical researchers to carry out the fundamental research necessary for product development

Research to Improve Reproductive Health Care

Improving Reproductive Health Service Delivery
1997 appropriation of \$6,000,000 (joint with HS) in addition to previous funding for allocation by the officers to continue support research in selected developing countries to identify sustainable models to improve the reach and quality of reproductive health services, especially for neglected populations and services.

Committee for Studies on Women, Family and Environment in Africa, Dakar, Senegal. \$88,500 for a study of intergenerational communication in Senegal on reproductive health issues

Foundation-administered project: \$50,000 for administrative expenses

Foundation-administered project: \$50,000 for administrative costs associated with implementing the reproductive health services research program

Public Health Institute, Berkeley, California. \$299,570 to enable its Pacific Institute for Women's Health to continue to provide technical assistance to African nongovernmental organizations conducting research in the field of adolescent reproductive health

Public Health Institute, Berkeley, California. \$144,230 to enable its Pacific Institute for Women's Health to conduct a community-based study of the socio-cultural context of abortion in Kenya in collaboration with the Center for the Study of Adolescence in Nairobi

Sahel Institute, Bamako, Mali. \$72,190 for a study to be conducted by its Center for Applied Research on Population and Development in collaboration with the University of Ouagadougou's Research and Training Unit in Demography and the London School of Hygiene and Tropical Medicine to link adolescent sexuality to reproductive health and fertility outcomes in Mali and Burkina Faso

University of Ouagadougou, Ouagadougou, Burkina Faso. \$77,800 for a study to be conducted by its Research and Training Unit in Demography in collaboration with the Institut du Sahel's Center for Applied Research on Population and Development and the London School of Hygiene and Tropical Medicine to link adolescent sexuality to reproductive health and fertility outcomes in Mali and Burkina Faso

Public Health Schools Without Walls
1997 appropriation of \$1,300,000 (joint with HS) in addition to previous funding for allocation by the officers to continue support for field-based, graduate-degree training for public health officers in Zimbabwe, Uganda, Ghana and Vietnam, as well as exploratory activities in other countries.

Foundation-administered project: \$50,000 for operational expenses of the Public Health Schools Without Walls Initiative

Foundation-administered project: \$175,000 for collaborative network activities among the Public Health Schools Without Walls sites

Hanoi School of Public Health, Hanoi, Vietnam. \$297,000 in support of its Public Health School Without Walls Program Activities

Makerere University, Kampala, Uganda. \$300,000 for use by its Institute of Public Health for its Master's in Public Health program

Makerere University, Kampala, Uganda. \$13,229 for use by its Institute of Public Health for further support of George Panyo's doctoral study in management at Johns Hopkins University

Tanzania Public Health Association, Arusha, Tanzania. \$25,000 in support of the Eighth International Congress, held October 12-17, 1997

University of Ghana, Accra, Ghana. \$142,000 for use by its School of Public Health for its public health schools without walls program

University of Zimbabwe, Harare, Zimbabwe. \$166,614 in support of its Master's in Public Health training program

HIV in the Developing World
1997 appropriation of \$2,000,000 (joint with HS) in addition to previous funding for allocation by the officers to support the search for an HIV (human immunodeficiency virus) vaccine and to help developing countries improve their capabilities for reducing the spread of HIV and other sexually transmitted diseases and mitigate the consequences of current HIV infection.

American Foundation for AIDS Research, New York, New York. \$15,000 in support of developing-country participation in the conference "Global Strategies for the Prevention of HIV Transmission from Mothers to Infants," held September 3-6, 1997, in Crystal City, Virginia

Chiang Mai University, Chiang Mai, Thailand. \$41,130 for use by its Research Institute for Health Sciences in support of an HIV/AIDS intervention for adolescents, "The Life Skills Training for Youth Project"

Institute of Tropical Medicine, Antwerp, Belgium. \$130,500 in support of the biomedical component of a multicenter study on factors determining the differential spread of HIV in African towns

International AIDS Vaccine Initiative, New York, New York. \$230,000 for continued funding of its activities to ensure development of safe, effective, preventive HIV vaccines for use throughout the world

Kenya Medical Research Institute, Nairobi, Kenya. \$100,000 in support of a cohort study, "Out-patient clinical management of HIV-infected adults in Nairobi"

Network of AIDS Researchers of Eastern and Southern Africa, Nairobi, Kenya. \$48,705 in support of a workshop on resistance to HIV-1, and a symposium to identify interventions to prevent mother-to-child transmission of HIV

Southern African Network of AIDS Service Organizations, Harare, Zimbabwe. \$10,000 toward the costs of its conference "AIDS and Sexuality"

University of Manitoba, Winnipeg, Canada. \$300,000 for a collaborative research project with the University of Nairobi and the University of Ghent to evaluate the impact of regular routine chemoprophylaxis to reduce the incidence of sexually transmitted diseases and HIV infection among women sex workers in Kenya

GRANTS IN AID (also listed under Health Sciences)

Bangladesh Rural Advancement Committee, Dhaka, Bangladesh. \$10,000 for a workshop entitled, "Women's Health in the Community. Operationalizing the Cairo and Beijing Agendas in Bangladesh," held in December 1997

Campinas Center for Research and Control of Maternal and Infant Mortality, Campinas, Brazil. \$16,110 for a session on adolescent reproductive health at the fourth international conference on maternal and neonatal health, held in Brazil in November 1997

Harvard University, Cambridge, Massachusetts. \$50,000 for a study on men's fertility and reproductive strategies in rural Gambia to be conducted in collaboration with the Gambian Central Statis-

tics Office and Farafenni Health Centre, and the United Kingdom Medical Research Council.

International Planned Parenthood Federation, Western Hemisphere Region, New York, New York: \$50,000 to conduct a project in collaboration with the IPPF Africa Regional Office to assist African Family Planning Associations with integrating HIV/STI prevention in family planning programs for women, men and adolescents using a gender-oriented sexual and reproductive health approach.

Kenya Medical Women's Association, Nairobi, Kenya: \$40,000 in support of an international congress in November 1998, "Investing in the Health of Women and Girls."

National Institute of Allergy and Infectious Diseases, Bethesda, Maryland: \$10,000 to complete a monograph concerning research on sexually transmitted diseases and adolescents.

Parivar Seva Sanstha, New Delhi, India: \$44,610 for a community-based study to learn why many women resort to illegal abortion in India where the procedure has been legal for more than 20 years.

Social Science and Medicine Africa Network, Nairobi, Kenya: \$10,000 toward the costs of the Third Social Sciences and Health International Conference being organized in collaboration with the Council on Health Research for Development and WHO's Health Systems Research Program.

Tides Center, San Francisco, California: \$24,750 to enable its International Reproductive Rights Research Action Group to distribute 1,000 free copies of a book entitled "Negotiating Women's Rights: Women's Perspectives Across Countries and Cultures" in Francophone and Anglophone sub-Saharan Africa, south and Southeast Asia, and designated countries in Central America and the Caribbean.

Youth Alive Club, Kampala, Uganda: \$9,000 to plan modification of its HIV/AIDS programs and activities in response to the findings of an external evaluation, and to conduct a feasibility study concerning the expansion of services to girls and to rural areas.

AFRICAN INITIATIVES

Female Participation in African Education
1997 appropriation of \$3,300,000 in addition to previous funding for allocation by the officers to help sub-Saharan African countries design and test policies to promote girls' schooling, while raising enrollments and the quality of schooling for all children.

Peter Baguma, Uganda \$11,995 for his research project on factors that influence the choice of science subjects by female students at the secondary-school level in Uganda

Edith Basirika, Uganda \$11,000 for her research project on the role that school administration can play in enhancing girls' academic performance at the secondary-school level in central Uganda

Martial Dembele, Burkina Faso \$15,330 for his research project with a group of exemplary primary school teachers in Burkina Faso to further their professional development, particularly in regard to the development of gender-sensitive teaching practices

Forum for African Women Educationalists, Nairobi, Kenya \$100,000 for its project FEMSA's special fund to support small-scale

initiatives to promote the participation and performance of girls in mathematics and science in primary and secondary schools in Africa

Forum for African Women Educationalists, Nairobi, Kenya \$566,000 for general support

Forum for African Women Educationalists, Nairobi, Kenya \$80,000 for its project, Female Education in Mathematics and Science in Africa

Foundation-administered project: \$200,000 for administrative expenses of the Female Participation in African Education program

Victor Gbessemehlan, Benin \$20,000 for his research project on the impact on girls' attendance in primary school of educational policy reforms in Benin supporting preprimary education.

International Council of Scientific Unions, Paris, France \$35,000 for use by its project, the International Network for the Availability of Scientific Publications, toward the costs of workshops in three African countries on developing gender-sensitive popular reading material

Deborah Kasente, Uganda \$11,000 for her research project to analyze the progress of five projects designed to improve the socioeconomic status of women in Uganda

Herman Kiggundu, Uganda \$10,185 for his research project on the influence of different media in instruction and performance in distance education at the secondary level in Uganda

Kodjo Kotokou, Togo \$10,910 for his research project to identify the factors causing the low rate of girls' schooling in Togo

Aka Kouame, Ivory Coast \$13,510 for his research project on the development and deployment of female labor in the Ivory Coast with a focus on Abidjan

Materi Girls' Centre, Meru, Kenya \$10,000 for the costs of a computer-training course for the Centre's staff and students

Mazingira Institute, Nairobi, Kenya \$5,000 for the project-preparation phase of a comic book series for its Minds Across Africa School Clubs

Justina Mbugua, Kenya \$11,772 for her research project on strategies adopted by rural women in Kenya to obtain access to educational resources

Augustine Osunde, Nigeria \$11,000 for his research project on the influence of different cognitive styles of learning on female students' academic achievement in integrated science at the junior secondary-school level in Nigeria

United Nations Children's Fund, New York, New York \$350,000 for its trust fund for the Alliance for Community Action on Female Education

United Nations Educational, Scientific and Cultural Organization, Paris, France \$98,000 for use by its International Institute for Educational Planning to support the activities of the Association for the Development of Education in Africa

University of Cape Town, Rondebosch, South Africa \$450,000 for the implementation phase of its African Gender Institute

University of Malawi, Zomba, Malawi \$34,050 for its research project, "School dropout among boys and girls in primary schools in Malawi: Causes and solutions"

Raymond Uwameiye, Nigeria \$20,000 for his research project on the effect of socioeconomic background, gender and type of school attended on the achievement of

female students in introductory technology courses in Nigeria

World Bank, Washington, D.C. \$800,000 for a trust fund established by the Bank's Economic Development Institute to finance the Partnership for Strategic Resource Planning in Africa, a joint initiative by several funding agencies targeting girls' education in Africa

Felix O. Yanogo, Burkina Faso \$11,025 to identify strategies to enable the informal sector to serve as an alternative source of employment for educated girls in Ouagadougou, Burkina Faso

Vida Yeboah, Ghana \$28,171 for her research project on the influence of sociocultural factors on girls' enrollment in science courses at the secondary school level in Ghana

African Science-Based Development: Professional Preparation 1997 appropriation of \$1,500,000 in addition to previous funding for allocation by the officers to enhance the relevance and quality of doctoral training in the Foundation's key international development fields for African students enrolled in North American universities.

AFRICAN DISSERTATION INTERNSHIP AWARDS

Josephine A. Adomako, Ghana \$23,988 to enable her to conduct research at the University of Ghana on the topic, "Gender inequalities, power in unions and reproductive decision-making in Ghana." **University of Ghana**, Legon, Ghana \$2,500 for administrative costs associated with Josephine Adomako's dissertation research

Zelalem Assefa, Ethiopia \$22,563 to enable him to conduct research at Addis Ababa University, Ethiopia, on the topic, "Investigation of faunal remains from middle stone-age sites of Ethiopia Garba III (Melka Konture) and Porc-epic." **National Museum of Ethiopia**, Addis Ababa, Ethiopia \$2,500 for administrative costs associated with Zelalem Assefa's dissertation research

Boston University, Boston, Massachusetts \$3,170 in conjunction with the African Dissertation Internship Award to Tumelo Tsikoane to allow his supervisor at the National University of Lesotho to attend his dissertation defense

Paulin Coulibaly, Burkina Faso \$25,160 to enable him to conduct his research at the University of Ouagadougou on the topic, "Evaluation of the impact of human activities on water resources in the

Gondo Plain, Burkina Faso, West Africa " **University of Ouagadougou**, Ouagadougou, Burkina Faso \$2,500 for administrative costs associated with Paulin Coulibaly's dissertation research

Alba C. D'Souza, Kenya \$21,580 to enable her to conduct research at the University of Nairobi, Kenya, on the topic, "Financing of university education in sub-Saharan Africa: A case study of structural adjustment in Kenya" **University of Nairobi**, Nairobi, Kenya \$2,500 for administrative costs associated with Alba D'Souza's dissertation research

Anene Ejikeme, Nigeria \$24,752 to enable her to conduct research at the University of Ibadan, Nigeria, on the topic, "Religion, education and militancy: Catholic women in Onitsha, 1885-1960" **University of Ibadan**, Ibadan, Nigeria \$2,500 for administrative costs associated with Anene Ejikeme's dissertation research

Amal Hassan Fadlalla, Sudan \$22,843 to enable her to conduct research at the University of Khartoum, Sudan, on the topic, "Hadrat, give us good health and good sons: Women's strategies and rituals of fertility among the Hadendowa of Eastern Sudan" **University of Khartoum**, Khartoum, Sudan \$2,500 for administrative costs associated with Amal Hassan Fadlalla's dissertation research

Foundation-administered project: \$50,000 for costs associated with implementing the African Dissertation Internship Awards program

Foundation-administered project: \$500,000 for expenses associated with the design and implementation of a North American chain of dissertation workshops for African doctoral students at United States and Canadian universities

David Gordon, South Africa \$20,950 to enable him to conduct research at the University of Zambia on the topic, "The big men of Central Africa: Rural elites and their following in the Mwense district of Zambia and the Kasenga zone of Zaïre, 1947-1978" **University of Zambia**, Lusaka, Zambia \$2,500 for administrative costs associated with David Gordon's dissertation research

Graduate School and University Center of The City University of New York, New York, New York \$2,891 in conjunction with the African Dissertation Internship Award to Adam Habib to allow his supervisor at the University of Durban-Westville, South Africa to attend his dissertation defense

Moses Imo, Kenya \$25,010 to enable him to conduct his research at Moi University, Kenya, on the topic, "A graphical approach to evaluate tree-crop interactions in agroforestry" **Moi University**, Eldoret, Kenya \$2,500 for administrative costs associated with Moses Imo's dissertation research

Indiana University, Bloomington, Indiana \$4,450 in conjunction with the African Dissertation Internship Award to Margaret Mbulizi to allow her supervisor at the University of Malawi to attend her dissertation defense

Benjamin W. Kankpeyang, Ghana \$25,500 to enable him to conduct research at the Ghana Museums and Monuments Board on the topic, "The archaeology of Kpalawogu: A case study of cultural continuity and change in northern Ghana" **Ghana Museums and Monuments Board**, Accra, Ghana \$2,500 for administrative costs associated with Benjamin W. Kankpeyang's dissertation research

Bocary Kaya, Mali \$18,100 to enable him to conduct research at the Institute of Rural Economy, Mali, on the topic, "Soil fertility regeneration through improved fallow systems in Mali" **Institute of Rural Economy**, Bamako, Mali \$2,500 for administrative costs associated with Bocary Kaya's dissertation research

Yusufu Qwaray Lawi, Tanzania \$24,280 to enable him to conduct research at the University of Dar es Salaam on the topic, "Environmental beliefs and the political economy of forest use on the Mbulu Plateau, north-central Tanzania, 1900-1985" **University of Dar es Salaam**, Dar es Salaam, Tanzania \$2,500 for administrative costs associated with Yusufu Qwaray Lawi's dissertation research

Aiah Randolph Lebbie, Sierra Leone \$25,325 to enable him to conduct his research at the University of Sierra Leone's Fourah Bay College on the topic, "Significance of non-timber forest products in the conservation and development of a west Africa forest reserve" **University of Sierra Leone**, Freetown, Sierra Leone \$2,500 for administrative costs associated with Aiah Randolph Lebbie's dissertation research

Godfrey G. Maina, Kenya \$25,050 to enable him to conduct research at Moi University, Kenya, on the topic, "The effect of habitat fragmentation on bird communities in Kakamega Forest, Kenya" **Moi University**, Eldoret, Kenya \$2,500 for administrative costs associated with Godfrey Maina's research

Mutsa Masinyandima, Ivory Coast \$25,050 to enable her to conduct research at the West Africa Rice Development Association, Ivory Coast, on the topic, "The impact of land use change on recharge and water resources in west Africa" **West Africa Rice Development Association**, Bouake, Ivory Coast \$2,500 for administrative costs associated with Mutsa Masinyandima's research

Monday Ochu Mbila, Nigeria \$25,120 to enable him to conduct research at the University of Nigeria on the topic, "The distribution and movement of heavy metals in sewage-sludge amended Nigerian soil" **University of Nigeria**, Nsukka, Nigeria \$2,500 for administrative costs associated with Monday Ochu Mbila's dissertation research

Fridah Mugo, Kenya \$23,760 to enable her to conduct research at the International Centre for Research in Agroforestry, Kenya, on the topic, "The impact of land and tree tenure, land size and land-use policy on tree planting, harvesting and fuelwood availability in rural Kenya" **International Centre for Research in Agroforestry**, Nairobi, Kenya \$2,500 for administrative costs associated with Fridah Mugo's dissertation research

Wilson Mwanja, Uganda \$23,635 to enable him to conduct his research at the Fisheries Research Institute, Uganda, on the topic, "Population genetic structure, ecological, and evolutionary genetics of the Tilapia and Labeine species of Lake Kyoga and Lake Victoria basins: Evolution, conservation and management implications" **Fisheries Research Institute**, Jinja, Uganda \$2,500 for administrative costs associated with Wilson Mwanja's dissertation research

Sylvester Ogbechie, Nigeria \$16,496 to enable him to conduct research at the University of Nigeria on the topic, "The ideology of form in 20th-century Nigerian art: Benedict Enwonwu and the problem of the modern" **University of Nigeria**, Nsukka, Nigeria \$2,500 for administrative costs associated with Sylvester Ogbechie's research

William Olupot, Uganda \$25,020 to enable him to conduct his research at Makerere University, Uganda, on the topic, "Primate dispersal and conservation in Kibale National Park, Uganda" **Makerere University**, Kampala, Uganda \$2,500 for administrative costs associated with William Olupot's dissertation research

Sarah Ossiya, Niger \$24,300 to enable her to conduct research at the International Livestock Research Institute, Ethiopia, on the topic, "Development of a nutritional profiling system for free-ranging livestock in major agro-ecological zones of sub-Saharan Africa" **International Livestock Research Institute**, Addis Ababa, Ethiopia \$2,500 for administrative costs associated with Sarah Ossiya's dissertation research

Charles E. Owubah, Ghana \$24,283 to enable him to conduct research at the University of Science & Technology, Ghana, on the topic, "Structure and performance of forest tenure systems in Ghana" **University of Science & Technology**, Kumasi, Ghana \$2,500 for administrative costs associated with Charles Owubah's dissertation research

Elaine Salo, South Africa \$24,170 to enable her to conduct research at the University of Western Cape, South Africa, on the topic, "Negotiating adolescence and sexuality in Khayelitsha, South Africa" **University of Western Cape**, Bellville, South Africa \$2,500 for administrative costs associated with Elaine Salo's dissertation research

Priscilla M. Shilaro, Kenya \$23,194 to enable her to conduct research at Maseno University College, Kenya, on the topic, "British trusteeship, African land rights and the Kakamega gold rush, 1930-1953" **Maseno University College**, Maseno, Kenya \$2,500 for administrative costs associated with Priscilla Shilaro's research

Jean Mianikpo Sogbedji, Togo \$25,480 to enable him to conduct his research at the University of Benin on the topic, "Nitrate leaching potential as influenced by nitrogen management practices under corn production in southern Togo" **University of Benin**, Lome, Togo \$2,500 for administrative costs associated with Jean Mianikpo Sogbedji's dissertation research

Anna Andrew Temu, Tanzania \$17,175 to enable her to conduct research at the Sokoine University of Agriculture, Tanzania, on the topic, "Empirical evidence of changes in agricultural commodity markets after liberalization: A case of Arusha Region, Northern Tanzania" **Sokoine University of Agriculture**, Morogoro, Tanzania \$2,500 for administrative costs associated with Anna Temu's dissertation research

Jean-Philippe Tre, Ivory Coast \$24,528 to enable him to conduct research at the International Institute of Tropical Agriculture on the topic, "Ex-ante economic analysis of alternative biomass management systems for perennial plantain in southeast Nigeria"

International Institute of Tropical Agriculture, Yaoundé, Cameroon \$2,500 for administrative costs associated with Jean-Philippe Tre's research

AFRICAN CAREER AWARDS

Abdu Abdelkadir, Ethiopia \$31,450 to enable him to conduct postdoctoral research at the Alemaya University of Agriculture, Ethiopia, on intercropping and runoff agroforestry systems for the marginal and lowland dry areas of Ethiopia

Clement Ahiadeke, Ghana \$32,000 to enable him to conduct postdoctoral research at the University of Ghana, on the topic, "Health-seeking behavior of mothers: An investigation into the nature, causes and consequences of maternal morbidity in Ghana"

Tadesse Berisso, Ethiopia \$32,000 to enable him to conduct postdoctoral research at the Institute for Ethiopian Studies, University of Addis Ababa, on the topic, "Ethiopia's agricultural policy analysis"

Alpha O. Camara, Guinea \$27,300 to enable him to conduct postdoctoral research at the University of Conakry, Guinea, on the topic, "Health status of children in a rural community of Guinea, Africa: Effectiveness of control measures for soil-transmitted helminthiasis"

Yanyi K. Djamba, Zaire \$31,937 to enable him to conduct postdoctoral research at the University of Kinshasa, Zaire, on the topic "Kinship system and fertility behavior in rural Zaire: An ethno-demographic inquiry"

Adipala Elkwamu, Uganda \$32,000 to enable him to conduct postdoctoral research at Makerere University, Uganda, on the topic, "Identification of farmer-friendly management practices against late blight of potato in Uganda"

Peter J. Eesele, Uganda \$31,950 to enable him to conduct postdoctoral research at Serere Agricultural and Animal Production Research Institute, Uganda, on the topic, "A study of the variability in blast disease fungus *Pyricularia grisea*, and mode of inheritance of resistance to the disease by finger millet"

Ngonidzashe Moyo, Zimbabwe \$32,000 to enable him to conduct postdoctoral research at the University of Zimbabwe on the topic, "The biological control of snail intermediate hosts of schistosomiasis by *Sargochromis codringtoni* in Zimbabwe"

Bellah Mpofu, Zimbabwe \$32,000 to enable her to conduct postdoctoral research at the Agronomy Institute of the Ministry of Agriculture, Zimbabwe, on the topic, "The role of some pathogens and *Neochetna* spp as components of an integrated control of water hyacinth in Zimbabwe"

Trinto Mugangu, Democratic Republic of Congo \$32,000 to enable him to conduct postdoctoral research in the Virunga National Park, Democratic Republic of Congo, on the topic, "Two mountains—two spirits: Involving local communities in gorilla conservation in Mount Tshiaberimu, Democratic Republic of Congo"

Pierre Ngom, Ghana \$31,981 to enable him to conduct postdoctoral research at the Navrongo Health Research Centre, Ghana, on the topic, "The impact of community-based health and family planning services on maternal mortality: August 6, 1997 the Navrongo baseline study"

Muntubani Nzuma, Zimbabwe \$32,000 to enable him to conduct postdoctoral research at the National Horticultural Research Institute, Zimbabwe, on the development of a true potato-seed technology for potato production by smallholder farmers in Zimbabwe

David Obura, Kenya \$32,000 to enable him to conduct postdoctoral research at the Wildlife Conservation Society's Coral Reef Conservation Project in Mombasa, Kenya, on the topic, "Coral reef research and conservation in Kenya: Coral biogeography, coral bleaching and conservation activities"

George Ombakho, Kenya \$32,000 to enable him to conduct postdoctoral research at the Kenya Agricultural Research Institute on the topic, "Response to 12 cycles of selection, and development of inbred lines and hybrids from Kitale Synthetic II and Ecuador 573 maize populations in Kenya"

Aondover Tarhule, Nigeria \$31,950 to enable him to conduct postdoctoral research at the University of Jos, Nigeria, on the topic, "Groundwater recharge from a seasonal stream in semi-arid Nigeria"

African Forum for Children's Literacy in Science and Technology 1996 appropriation of \$950,000 in addition to previous funding for allocation by the officers to generate popular understanding in Africa among children and young adults of the principles and practical applications of science and technology.

Community Development and Environmental Conservation in Zanzibar, Zanzibar, Tanzania \$15,050 toward the costs of developing an innovative self-sustaining science education center in Zanzibar

University of Cape Coast, Cape Coast, Ghana \$71,460 for phase two of its project, Science and Technology in Action in Ghana

University of Durban-Westville, Durban, South Africa \$950,000 toward the costs of its program, the African Forum for Children's Literacy in Science and Technology

University of Durban-Westville, Durban, South Africa \$38,500 for the transition of the Foundation's program, the African Forum for Children's Literacy in Science and Technology, to the University

University of Malawi, Zomba, Malawi \$85,850 for use by its Chancellor College for the costs of a workshop to demonstrate and disseminate materials from the Harare Generator, an international conference to promote innovative work in science and technology

University of Swaziland, Kwaluseni, Swaziland \$10,320 for its project linking science education in schools with local industry and indigenous technology in Swaziland

GRANTS IN AID

African Medical and Research Foundation, Nairobi, Kenya \$25,000 toward the costs of a collaborative research project on domestic water use and environmental health in east Africa

American Academy of Arts and Sciences, Cambridge, Massachusetts \$25,000 toward the costs of a study that will allow Daedalus, the Academy's journal, to publish a special issue in 1999 on South Africa

American Assembly, New York, New York \$11,000 for the cost of an evaluation of its conference, "Africa and United States National Interests"

Data Center, Oakland, California \$5,000 toward the costs of its project, "Africa world press guide to educational resources from and about Africa"

Family Planning Private Sector, Nairobi, Kenya \$22,730 toward the cost of its 1998 calendar on family equity issues

Foundation-administered project: \$5,000 toward the costs of a joint conference with UNICEF entitled, "A Global Dialogue on Equitable Access to Quality Education," held at the Bellagio Study and Conference Center, November 1997

Global Fund for Women, Palo Alto, California \$15,000 for a survey on the needs and concerns of women in different regions of Africa

Karin Hyde, Sierra Leone \$15,000 for a project on the expansion of educational opportunities in sub-Saharan Africa

Institute of International Education, New York, New York \$20,000 toward the costs of its Southern African Information Exchange's initiative to strengthen local philanthropy in southern Africa and to assist the United States donor community in its philanthropy in the region

Institute of International Education, New York, New York \$20,000 toward the costs of a conference on the role of United States higher education in global human capacity development

Makerere University, Kampala, Uganda \$71,100 toward the costs of its research project on the nature of sexual harassment and abuse in educational institutions in several African countries

Massachusetts Institute of Technology, Cambridge, Massachusetts \$11,500 for a "Conference on African Renewal"

National Museums of Kenya, Nairobi, Kenya \$25,000 for a joint workshop to consider the establishment of a scientific collaboration between its Institute of Primate Research and the Universidad del Valle's Institute of Immunology in Colombia

New School for Social Research, New York, New York \$10,000 toward the costs of its school-to-school program to assist primary and secondary schools in sub-Saharan Africa

New York Academy of Sciences, New York, New York \$35,000 toward the costs of African participants at an Africa-wide conference entitled, "Science and Technology for African Development Partnerships in a Global Economy," held in Harare, Zimbabwe, in March 1998

Salzburg Seminar in American Studies, Middlebury, Vermont: \$10,000 toward the costs of the participation of two African women leaders in its special session entitled, "Global Women's Leadership: Lessons from Success and Best Practices."

TAF Company Limited, Nairobi, Kenya: \$10,000 toward the start-up costs of its project, Wiznet, aimed at creating an educational, entertaining and safe site on the Internet for children to learn skills in electronic communication.

Turkana Women Conference Centre, Lodwar, Kenya: \$27,500 toward the costs of a leadership course for Turkana women group leaders and for meetings to encourage cooperation between the women's groups and Kenya's Department of Social Services.

University of Cape Town, Rondebosch, South Africa: \$150,000 toward the costs of its collaborative program to foster the development of partnerships in university science, the humanities and engineering throughout Africa.

University of Swaziland, Kwaluseni, Swaziland: \$38,800 to strengthen its Women in Science and Technology in Africa Network.

University of Tennessee, Knoxville, Tennessee: \$23,390 toward the costs of a conference comparing the historical experiences of African and African-American women.

University of Wisconsin, Madison, Madison, Wisconsin: \$13,920 toward the costs of a conference entitled, "The Breakdown of States in Africa and Eurasia."

EQUAL OPPORTUNITY

Increasing Employment Opportunities

Corporation for Supportive Housing, New York, New York 1997 appropriation of \$1,615,000 in addition to previous funding to fund the third year of a three-year employment initiative in Chicago, New York City and San Francisco to increase employment opportunities for residents of supportive housing and to disseminate the lessons from the initiative to other neighborhood-based employment programs serving hardest-to-employ populations.

Center on Budget and Policy Priorities, Washington, D.C. 1997 appropriation of \$250,000 in addition to previous funding to continue general support for its policy analysis of government budgets and policy innovations.

Engaging the Private Sector 1997 appropriation of \$500,000 for allocation by the officers to explore activities that encourage private-sector firms to employ greater numbers of central-city residents, become public allies in the drive for inclusive regional economic development, and invest in central-city business and training programs to meet their labor needs.

Consensus Organizing Institute, Boston, Massachusetts. \$109,050 to explore possible links between regional economic development and community development in five locations

National Alliance of Business, Washington, D.C.: \$150,000 to support its efforts to increase the number of welfare recipients that American businesses hire

The Future of Work 1997 appropriation of \$500,000 in addition to previous funding for allocation by the officers to build up knowledge about the causes and consequences of rising joblessness and falling earnings among the least skilled; foster an intellectual climate supportive of a wider range of innovative policy options; and develop and promote public policies that will improve employment opportunities for the least skilled.

Foundation-administered project: \$75,000 toward administrative costs

Harvard University, Cambridge, Massachusetts \$66,000 to complete a study and written report on the impact of competitive economic pressures on firm hiring decisions.

Harvard University, Cambridge, Massachusetts \$36,200 to support an international conference for social scientists and practitioners on urban poverty and social exclusion

Massachusetts Institute of Technology, Cambridge, Massachusetts \$150,000 to support the creation and operation of a Task Force on the reconstructing of America's labor market institutions

Princeton University, Princeton, New Jersey \$135,542 to study the effects of corporate downsizing on employment inequality and corporate profitability.

Steel Valley Authority, Duquesne, Pennsylvania \$150,000 to commission a set of working papers examining the legal and institutional constraints on the use of pension funds for targeted community investments, and to assess the potential of conducting a targeted investment strategy in six regional economic markets

University of Michigan, Ann Arbor, Michigan \$45,511 toward the costs of a research project entitled, "Why work disappears: The role of race, space, and skills in employers' relocation decisions"

University of Wisconsin, Madison, Wisconsin \$144,765 toward the costs of a research project entitled, "The effects of the deterioration of the low-wage labor market on the lives and living conditions of young, low-skilled workers"

NYC Neighborhood Entrepreneurs Employment Project 1996 appropriation of \$500,000 for allocation by the officers to fund the third and final year of a joint initiative by the New York City Department of Housing Preservation and Development and the NYC Partnership, a business advocacy organization, to place residents of distressed areas in private-sector jobs.

Community Partnership Development Corporation, New York, New York. \$500,000 toward the costs of the third year of a joint initiative with the New York City Department of Housing Preservation and Development for placing residents of distressed areas in private-sector jobs

Initiative to Increase Employment for Inner-City Residents 1996 appropriation of \$6,000,000 for allocation by the officers to support the pilot phase of demonstrations testing a combination of promising job-access and job-creation strategies in selected inner-city communities.

California Institute for Effective Action, San Diego, California \$112,000 toward the costs of producing and disseminating three research reports on economic conditions in San Diego, and consulting with local stakeholders on economic development issues

Corporation for Supportive Housing, New York, New York \$170,620 to support a benefit-cost analysis of adding employment services to supportive housing, and to support the costs of a national policy symposium on the findings of Next Step Jobs

Corporation for Supportive Housing, New York, New York \$166,000 to research the feasibility of and develop strategies to implement a neighborhood employment initiative

Foundation-administered project: \$18,500 toward the costs of an analysis of venture funding for job training and placement organizations

Foundation-administered project: \$434,000 toward costs of public relations services for the Initiative

Foundation-administered project: \$70,000 toward costs associated with the Initiative

Manpower Demonstration Research Corporation, New York, New York \$2,000,000 for continued support of its Jobs-Plus initiative.

Manpower Demonstration Research Corporation, New York, New York \$700,000 in support of its Neighborhood Jobs Initiative

Manpower Demonstration Research Corporation, New York, New York \$168,959 to support its Connections to Work initiative.

Massachusetts Department of Labor and Workforce Development, Boston, Massachusetts \$5,050 in support of the development of a financial incentive system for its One-Stop Career Centers

Urban Economic Development Corporation, San Francisco, California \$5,000 to support a round-table discussion of employment and training strategies for the San Francisco Bayview-Hunters Point district.

Urban Institute, Washington, D.C. \$199,534 to support development of neighborhood data profiles and indicators in six sites during the planning phase of the Neighborhood Jobs Initiative

New Hope Project
1995 appropriation of \$1,000,000 for release by the officers to complete support for its test of whether a work-based system that includes community service jobs enables people to move out of poverty and into private-sector employment.

New Hope Project, Milwaukee, Wisconsin \$500,000

GRANTS IN AID

Conference Board, Inc., New York, New York \$41,000 to support the start-up phase of its Asia² Business Initiative

Economic Policy Institute, Washington, D.C. \$119,280 to support the production of two reports: one that will develop indicators for the condition of the low-wage labor market in states and selected cities for particular demographic groups, and one that will analyze the impact of the recent increase in the minimum wage on low-skilled workers

Harvard University, Cambridge, Massachusetts \$52,750 toward the costs of a research associate position for the National Community Development Policy Analysis Network.

Manpower Demonstration Research Corporation, New York, New York \$39,264 for site activities of its Neighborhood Jobs Initiative and its Jobs-Plus and Connections to Work initiatives

National Council of La Raza, Washington, D.C. \$250,000 to support its efforts to build a strong foundation of individual constituents through a direct-mail marketing and membership campaign

Neighborhood Funders Group, McLean, Virginia \$20,000 to support the development of a tool kit of information on jobs/employment strategies, and public policy publications for member organizations and practitioners

Public/Private Ventures, Philadelphia, Pennsylvania \$100,000 toward the costs of first-year implementation of its Bridges-to-Work demonstration project

Redefining Progress, San Francisco, California \$90,000 toward its Fiscal Policy Program including commissioned research on the economic effects of a tax-shifting policy

Surdna Foundation, New York, New York \$50,000 in support of its Comprehensive Community Revitalization Program

University of North Carolina at Chapel Hill, Chapel Hill, North Carolina \$10,800 toward the costs of a one-day workshop on "Competitive Advantage and Metropolitan Economic Development"

Building Community

Community Building Policy Center
1997 appropriation of \$1,000,000 for allocation by the officers to support the planning and launching of a community building policy center.

Center for Community Change, Washington, D.C.
1997 appropriation of \$150,000 in addition to previous funding to support the Center's efforts to build up the public-policy capacity of low-income communities.

Building Community
1997 appropriation of \$1,600,000 (joint with BD) for allocation by the officers to support a set of interrelated activities directed toward (a) strengthening the National Community Building Network and expanding its membership; (b) developing an evaluation methodology that enables both the community-building field as a whole and local initiatives to monitor and document progress; and (c) establishing a more effective community-building presence in national research and policy debates on urban resource allocation and other issues affecting the inner-city poor.

American Land Institute, Portland, Oregon \$40,000 to support the socioeconomic mapping of the Los Angeles metropolitan area by its Metropolitan Area Program

Aspen Institute, Washington, D.C. \$100,000 to support its Roundtable on Comprehensive Community Initiatives

Brookings Institution, Washington, D.C. \$200,000 to support the project, "Forging metropolitan solutions to urban and regional problems"

Center for Neighborhood Technology, Chicago, Illinois \$60,000 in support of a series of forums to identify a range of options for federal policy to enhance cooperation within metropolitan regions

Foundation-administered project: \$11,115 toward costs associated with the Building Democracy for the 21st Century program

Foundation-administered project: \$38,760 toward costs associated with the development of a concept paper regarding how to bring the voice and insights of community-building practitioners to the policy arena

Foundation-administered project: \$25,000 toward costs of a meeting on public policy and community building

Foundation-administered project: \$70,000 toward costs of a Bellagio conference on community building held October 20-24, 1997

Urban Institute, New York, New York \$200,000 for the implementation of the National Neighborhood Indicators Project

Urban Strategies Council, Oakland, California \$200,000 to help the Community Building Support Center strengthen the capacity of urban communities to develop effective community-building strategies

National Community Development Initiative
1996 appropriation of \$9,000,000 for allocation by the officers to provide (a) third and final-round support for the National Community Development Initiative (NCDI), and (b) continued core support for two NCDI intermediaries, the Local Initiatives Support Corporation and the Enterprise Foundation.

Enterprise Foundation, Columbia, Maryland \$635,000 for the third round of the National Community Development Initiative

Enterprise Foundation, Columbia, Maryland \$500,000 for continued support of the efforts of community development corporations in helping inner-city communities achieve sustainable social and economic self-sufficiency through development of local leadership and investment in tangible capital assets

Local Initiatives Support Corporation, New York, New York \$615,000 for the third round of the National Community Development Initiative.

Local Initiatives Support Corporation, New York, New York \$500,000 for continued support of the efforts of community development corporations in helping inner-city communities achieve sustainable social and economic self-sufficiency through development of local leadership and investment in tangible capital assets

GRANTS IN AID

Brookings Institution, Washington, D.C. \$200,000 to support phase two of the National Community Development Policy Analysis Network.

Central Brooklyn Partnership, Brooklyn, New York \$50,000 to support implementation and documentation of its economic self-sufficiency and literacy models

Chapin Hall Center for Children, Chicago, Illinois \$112,480 toward the first-year costs of a two-year project to produce a volume on power and race in community-change initiatives

Chatham-Savannah Youth Futures Authority, Savannah, Georgia \$10,000 to support a community-building conference

Cleveland Community Building Initiative, Cleveland, Ohio \$10,400 to support a community-building conference

Foundation-administered project: \$37,000 toward the costs of follow-up activities related to the release of the report, "Stories of Renewal: Community Building and the Future of Urban America"

Hispanics in Philanthropy, Berkeley, California \$25,000 to support the design and planning phase of its new initiative, The Funders' Collaborative for Strong Latino Communities

National Center for Economic Alternatives, Washington, D.C. \$50,000 to support the first year of a national survey of alternative economic models

National Economic Development and Law Center, Oakland, California \$10,500 to support a one-day community-building conference

New School for Social Research, New York, New York \$5,500 toward the costs of a conference focused on the release of its Community Development Research Center's report, From Neighborhood to Community: Evidence on the Social Effects of Community Development

New York Community Trust, New York, New York \$30,000 to support its Neighborhood Strategies Project

Rheedlen Centers for Children and Families, New York, New York \$10,250 to support a community-building conference

San Francisco Foundation Community Initiative Funds, San Francisco, California \$35,660 to support its regional, faith-based, community-building initiative

Building Constituencies For Change

Children's Defense Fund, Washington, D.C.
1997 appropriation of \$300,000 in addition to previous funding to help it strengthen the management and organizational infrastructure of its Black Community Crusade for Children.

Children's Defense Fund, Washington, D.C.
1997 appropriation of \$500,000 to continue core support for its efforts to improve public policies affecting children.

GRANTS IN AID

Center for Law and Social Policy, Washington, D.C.
\$25,000 toward the costs of a conference, "Implementing a Community Jobs Program Under Temporary Assistance for Needy Families Legislation."

Fremont Public Association, Seattle, Washington \$65,000 for a public education campaign about Washington state's Community Jobs program

Martin Luther King Legacy Association, Los Angeles, California \$75,000 to convene a group of community stakeholders committed to generating job opportunities for residents in low-income neighborhoods in Los Angeles, and to produce and disseminate two reports on job-creation strategies in Los Angeles county.

National Employment Law Project, New York, New York \$90,000 to support its Welfare Reform and Workforce Policy Project's efforts to convene network members, create and maintain a clearinghouse and ongoing information exchange among members, and provide technical assistance to local groups

Public Health Institute, New York, New York \$50,000 to support curriculum development for a Welfare and Work workshop, pilot testing of the curriculum in five or six local sites, and training of peer facilitators to use the materials generated

San Francisco State University, San Francisco, California \$45,000 to support an analysis of California job trends and a conference of economists, policymakers, and business and community leaders to discuss the implications of these trends for the development of welfare-to-work policies

Sustainable America, New York, New York \$35,000 to support the development and maintenance of a technical-assistance bank and an information clearinghouse for a

national network of diverse local groups concerned with promoting new models of economic development

Basic Rights

Joint Center for Political and Economic Studies, Washington, D.C.
1997 appropriation of \$225,000 to provide support for specific projects included in its Economic Policy Program.

Lawyers' Committee for Civil Rights Under Law, Washington, D.C.
1997 appropriation of \$1,000,000 to augment the Program Reserve Fund of the Lawyers' Committee for Civil Rights Under Law, to provide program support for ongoing litigation activity, and to support technical assistance activities.

National Asian Pacific American Legal Consortium, Washington, D.C.
1997 appropriation of \$200,000 to renew support for its litigation, advocacy and technical assistance activities.

GRANTS IN AID

Foundation-administered project: \$115,000 toward costs associated with the program review and evaluation of the Basic Rights portfolio and the development of a communication strategy on racial discourse

Foundation-administered project: \$55,000 toward costs associated with the implementation of the report, *Sustaining the Struggle for Justice: A Program Review of the Basic Rights Portfolio*

Harvard University, Cambridge, Massachusetts \$100,000 to support the Harvard Civil Rights Project's development of partnerships with national and locally-based civil-rights organizations and researchers.

Poverty and Race Research Action Council, Washington, D.C. \$50,000 toward publication costs of its bimonthly journal, *Poverty & Race*

Puerto Rican Legal Defense and Education Fund, New York, New York \$75,000 to assist with its restructuring process

Uplift, Inc., Greensboro, North Carolina \$5,000 to support its Greensboro Story Telling Project

Reforming Urban School Systems

Building District Infrastructures for Professional Development
1997 appropriation of \$2,500,000 in addition to previous funding for allocation by the officers to help four school districts connect district-level reform policy to classroom practice by planning and implementing comprehensive professional development systems that improve instruction districtwide.

Albuquerque Public Schools, Albuquerque, New Mexico
\$347,520 toward participation in the Rockefeller Foundation initiative, Building Infrastructures for Professional Development

Foundation-administered project: \$2,400 to inform the community-based work of the Building Infrastructures for Professional Development initiative by engaging researchers in the broader field of community building in short-term conversations with Foundation officers

Foundation-administered project: \$10,000 toward costs of convening external advisers to the Building Infrastructures for Professional Development initiative

Foundation-administered project: \$48,900 toward costs associated with the Building Infrastructures for Professional Development initiative

Learning Communities Network, Cleveland, Ohio \$204,000 toward general support

School District, City of Flint, Flint, Michigan \$280,000 in support of its continued participation in the Rockefeller Foundation initiative, Building Infrastructures for Professional Development.

Spreading the Comer School Development Program and, Philosophy
1997 appropriation of \$3,000,000 in addition to previous funding for allocation by the officers to improve learning outcomes for disadvantaged students by deepening, expanding and sustaining implementation of the School Development Program in several urban school districts implementing effective classroom strategies, and by sharing School Development Program knowledge, processes and lessons with practitioners and policymakers.

Board of Education of Prince George's County, Upper Marlboro, Maryland \$170,000 in support of the Prince George's County Comer School Development Program Regional Training Center

Cleveland State University, Cleveland, Ohio \$170,000 for continued support of its Comer Midwest Regional Professional Development Center

Community School District 13, Brooklyn, New York \$125,000 toward continued support of systemic implementation of the Comer School Development Program

Foundation-administered project: \$20,000 toward costs associated with spreading the Comer School Development Program and philosophy

Oakland Unified School District, Oakland, California
\$33,000 toward support of its participation in the Bay Area school development program

Ravenswood City School District, East Palo Alto, California
\$33,000 in support of its participation in the Bay Area school development program

San Francisco Unified School District, San Francisco, California \$33,000 in support of its participation in the Bay Area school development program

Yale University, New Haven, Connecticut \$1,950,000 for use by the Yale Child Study Center for continued support for spreading the Comer School Development Program and philosophy

Commission on Teacher Training and Development
1996 appropriation of \$1,000,000 for allocation by the officers to strengthen the impact of the report of the National Commission on Teaching and America's Future through support for public outreach and implementation of its findings.

Foundation-administered project: \$40,000 toward expenses of a Bellagio Conference International Teacher Preparation and Professional Development

Teacher's College, Columbia University, New York, New York \$416,176 to disseminate the work of the National Commission on Teaching and America's Future, and to support the implementation of its findings

Collaboratives for Humanities and Arts Teaching (CHART)
1993 appropriation of \$1,300,000 in addition to previous funding for allocation by the officers to provide the 10th and final year of support for the national CHART network of teacher-centered projects that promote arts and humanities education in public schools and to disseminate the lessons learned about promising approaches to curriculum and instruction.

Foundation-administered project: \$94,845 toward costs associated with direct outgrowths of the CHART network including final closeout activities of Performance Assessment Collaboratives for Education, and assessment of the Building Infrastructures for Professional Development initiative

GRANTS IN AID

Johns Hopkins University, Baltimore, Maryland \$150,000 to support the scale-up of Success for All and Roots and Wings, two programs of the University's Center for Research on the Education of Students Placed at Risk.

Knowledge Network for All America's Children, McLean, Virginia \$25,000 in support of an impact assessment of the 1976 Advanced Leadership Training Program for 100 minority educators in California

National Governors' Association Center for Best Practices, Washington, D.C. \$40,077 to convene a working group of policy and research experts, and to develop a publication for state policymakers on the role and efficacy of teacher learning centers and professional development schools in state education reform policy

New York Community Trust, New York, New York \$50,000 to support the Donors' Education Collaborative's efforts to promote broad public engagement in New York City public school-reform by building informed constituencies

New York University, New York, New York \$40,000 to support the Professional Development Laboratory Project, a comprehensive program of leadership development activities for urban teachers in New York

Tides Center, Washington, D.C. \$22,500 to support its Grantmakers for Education project which provides information and services about education to help grantmakers be more effective in their work.

University of Delaware, Newark, Delaware \$150,000 to support the Delaware Education Research and Development Center's efforts to strengthen the capacities of local school-board members through support for development and documentation of a prototype learning lab, production of nine "Informing Packets" of research and information on key issues, and convening of a national advisory committee

University of North Carolina at Chapel Hill, Chapel Hill, North Carolina \$105,000 to support a research team conducting case studies of four schools implementing the Comer School Development Program

Waterford Institute, Sandy, Utah \$150,000 to support the development of a literacy software program for kindergarten through second-grade students

OTHER

Democracy Roundtables 1996 appropriation of \$1,000,000 in addition to previous funding for allocation by the officers to support consensus-based, community problem-solving through diverse local partnerships addressing conflict.

- **Access Tucson,** Tucson, Arizona. \$152,800 for the youth and economic development projects of the Community Action Network

Consensus Organizing Institute, Boston, Massachusetts \$120,000 to develop plans for neighborhood-level employment and training pilot projects in San Diego County

Foundation-administered project: \$16,000 toward the costs of a final convening of Democracy Roundtables site representatives

Foundation-administered project: \$65,000 toward costs associated with the Democracy Roundtables initiative to promote democratic renewal and increase the sense of common purpose in the United States •

La Casa del Futuro, Hillsboro, Oregon \$20,000 to support implementation of a Democracy Roundtables consensus action project

Portland State University, Portland, Oregon \$270,000 as core support for its Commonway Institute and as project support for consensus action projects chosen by the Institute

University of California, San Diego, San Diego, California \$225,000 toward the costs of the Common Enterprise-San Diego/Tijuana project to assist the San Diego/Tijuana border community in addressing local issues

GLOBAL ENVIRONMENT

Advance Energy Transition

E&Co, Bloomfield, New Jersey
1997 appropriation of \$2,300,000 in addition to previous funding to continue support for its work to advance the formation and growth of new-energy-paradigm enterprises in developing countries.

Transition to the New Energy Paradigm, Global Energy Initiative
1997 appropriation of \$700,000 for allocation by the officers to convince key policymakers in selected countries of the value of a transition to the new energy paradigm.

Compania Nacional de Fuerza y Luz, San José, Costa Rica
\$200,000 toward the costs of a demonstration project to develop electric-bus transportation in Costa Rica

Foundation-administered project: \$150,000 for the costs of consultants

Foundation-administered project: \$25,000 for the costs of a panel on energy and sustainable cities at the United Nations Development Programme's international colloquium of mayors

Foundation-administered project: \$100,000 for a conference

on the feasibility of using plant oil to help meet the energy needs of rural populations.

Foundation-administered project: \$55,000 to design a strategy to expand the market for efficient lighting in developing countries

Transition to the New Energy Paradigm, Solar Photovoltaics
1996 appropriation of \$1,500,000 for allocation by the officers to increase significantly the availability of solar photovoltaic (PV) energy systems to presently unserved rural communities in developing countries and help achieve economies of scale in PV production.

E&Co, Bloomfield, New Jersey
\$600,000 toward the costs of developing the business case for a solar development corporation that would increase significantly the availability of solar photovoltaic energy systems to presently unserved rural communities in developing countries.

Transition to the New Energy Paradigm
1994 appropriation of \$1,300,000 for allocation by the officers to facilitate the transition to the new energy paradigm.

Foundation-administered project: \$82,979 for the costs of designing a program to facilitate the transition to the new energy paradigm

Energy Foundation, San Francisco, California
1997 appropriation of \$2,500,000 in addition to previous funding to continue support for its work on United States energy efficiency and renewable energy sources.

Building Human Capital

Leadership for Environment and Development (LEAD)
1996 appropriation of \$6,680,000 in addition to previous funding for allocation by the officers to build human capital for leadership that will help preserve the environment, promote fair and sustainable development, and forge international agreements to accomplish these ends.

Brazilian Association for Leadership Development, São Paulo, Brazil
\$462,000 for the costs of the LEAD program in Brazil

Centre for Research on Environment, Ecology and Development, New Delhi, India
\$392,000 for the costs of the LEAD program in India

Development and Environment Foundation, Moscow, Russia
\$372,000 for the costs of the LEAD program in the Commonwealth of Independent States

Development and Environment Leadership for Southern Africa Trust, Harare, Zimbabwe
\$439,000 for the costs of the LEAD program in southern Africa

El Colegio de México, Mexico City, Mexico
\$402,000 for the costs of the LEAD program in Mexico

Foundation-administered project: \$46,500 to explore the feasibility of establishing a LEAD program in Southeast Asia

Foundation for Environmental Development and Education in Nigeria, Lagos, Nigeria
\$422,000 for the costs of the LEAD program in Nigeria

Foundation for Sustainable Development, Jakarta, Indonesia
\$387,000 for the costs of the LEAD program in Indonesia

Institute of Environment and Development, Beijing, China
\$382,000 for the costs of the LEAD program in China

Leadership for Environment and Development International, Inc., New York, New York
\$2,790,000 for general support.

Leadership for Environment and Development—Pakistan, Islamabad, Pakistan
\$422,000 for the costs of the LEAD program in Pakistan.

International Energy Project Development
1992 appropriation of \$650,000 for allocation by the officers to document the economic and environmental benefits of cogeneration, end-use efficiency and biomass-based energy systems for developing countries and lay the groundwork for donor and private-sector actions.

Foundation-administered project: \$41,317 toward the costs of designing a program to facilitate the transition to the new energy paradigm

Energy Initiatives
1992 appropriation of \$750,000 for allocation by the officers to assist developing countries in identifying and acquiring environmentally superior energy technologies.

Foundation-administered project: \$16,592 for costs associated with the design of a program to support the development of electric vehicles for use in developing countries.

Special Series of Bellagio Conferences
1989 appropriation of \$525,000 for allocation by the officers to fund a special series of 10 Bellagio conferences on the environment.

Foundation-administered project: \$120,000 for the costs of a meeting on the continuing development of Leadership for the Environment and Development (LEAD) program

GRANTS IN AID

Bellagio Forum for Sustainable Development, Osnabrück, Germany
\$34,500 for general support.

Brazilian Association for Leadership Development, São Paulo, Brazil
\$25,000 toward the costs of its workshop to prepare the Leadership for Environment and Development program's presentations for the five-year follow-up meeting (Rio+5) to the U.N. Conference on Environment and Development

Foundation-administered project: \$200,000 for an initiative to explore the feasibility of developing electric vehicles for use in developing countries

Foundation for Business and Sustainable Development, Geneva, Switzerland
\$10,000 toward the costs of creating a Greenbook for the Internet, a

project designed to increase understanding of environmental issues and sustainable development among graduate students of business and finance.

International Energy Initiative,
Bloomfield, New Jersey: \$10,500
for core support.

**Leadership for Environment
and Development International,
Inc.,** New York, New York:
\$217,000 to support the institu-
tional development of LEAD
Europe.

World Resources Institute,
Washington, D.C.: \$20,000
toward the costs of the Solar Cen-
tury component of its Climate
Protection Initiative.

ARTS AND HUMANITIES

Understanding Diversity

Representing Cultures through Festivals

1997 appropriation of \$700,000 in addition to previous funding for allocation by the officers to encourage festivals and related performance activities in the United States and internationally that promote social/cultural understanding and international dialogue.

American Dance Festival, Durham, North Carolina \$100,000 for implementation of its 1997 international project activities

Arts Alive International Festival, Johannesburg, South Africa \$300,000 toward the development of the Ubuntu Pan-African Festival and Exposition of Arts and Culture, a capacity building movement designed to promote cultural production within Africa and its Diaspora

Asian Cultural Council, New York, New York \$20,000 to enable delegates from Cambodia to attend the Dance On '97 Festival in Hong Kong and the Inroads/Asia conference at the University of California, Los Angeles, California

Brooklyn Academy of Music, Brooklyn, New York \$75,000 toward the commissioning, creation and presentation of events for the "1997 Next Wave Festival"

City Lore: The New York Center for Urban Folk Culture, New York, New York \$50,000 toward the planning and implementation of "The People's Poetry Gathering"

Junebug Productions, New Orleans, Louisiana \$50,000 for activities of the 1997 Environmental Justice Festival

Lincoln Center for the Performing Arts, New York, New York \$75,000 for activities of the 1997 Lincoln Center Festival

LINKFEST, Bulawayo, Zimbabwe \$10,000 for activities of the LINKFEST '97 Arts, Drama, Seminar and Festival

The New 42nd Street, New York, New York \$50,000 for programming activities of The New Victory Theater's "Tales of Victory: A Storytelling Festival" and "Step 1 Dance at The New Victory"

Spoleto Festival USA, Charleston, South Carolina \$50,000 for activities of the 1997 festival season

University of Massachusetts, Amherst, Massachusetts \$100,000 for the "New Works for a New World" festival at the New WORLD Theater

Urban Bush Women, New York, New York \$20,000 for 1997 program activities including the Summer

Dance Institute and the 24 Hour Community Arts Festival

El Fidecomiso para la Cultura México/USA (U.S.-Mexico Fund for Culture), Mexico City, Mexico 1997 appropriation of \$400,000 in addition to previous funding to continue a program of support for collaboration, exchange and interaction between United States and Mexican artists and scholars.

Rockefeller Foundation Resident Fellowships in the Humanities 1997 appropriation of \$2,600,000 in addition to previous funding to advance scholarship that illuminates international and intercultural issues and to promote understanding across diverse communities

Columbia College Chicago, Chicago, Illinois \$250,000 toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities at the Center for Black Music Research

Columbia University, New York, New York \$250,000 toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities at the Program for the Study of Gender, Sexuality, Health and Human Rights in the School of Public Health

Columbia University, New York, New York \$250,000 toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities at the Oral History Research Office

Foundation administered project: \$86,000 for administrative costs of the program

Harvard University, Cambridge, Massachusetts \$250,000 toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities entitled "Repatriating Africanist Knowledge, Acknowledging African Perspectives" at the W E B Du Bois Institute for African-American Research

Institute of Economic and Social Development, Buenos Aires, Argentina \$250,000 toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities

Lakehead University, Thunder Bay, Canada \$250,000 toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities at the Native Philosophy Project

New York University, New York, New York \$250,000 toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities entitled "The Privatization of Culture" in the American Studies Program

Newberry Library, Chicago, Illinois \$250,000 toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities at the Center for Renaissance Studies

Smithsonian Institution, Washington, D.C. \$250,000 toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities entitled, "Latino Cultural Research in a National Museum Context: Issues of Representation and Interpretation"

University of Houston, Houston, Texas 1997 appropriation of \$300,000 in addition to previous funding to continue support for a long-term research, preservation and publication project to recover the Hispanic literary heritage of the United States.

Multi-Arts Production Fund 1997 appropriation of \$850,000 in addition to previous funding for allocation by the officers to support creative artists in the performing arts whose work reflects the boldest explorations in intercultural and/or international representation.

American Opera Projects, New York, New York \$20,000 toward the costs of developing and producing "Ancient Land Sacred Whale," an opera that depicts the ritual year of the Inupiat Alaskan Eskimo people

American Repertory Theatre Company, Cambridge, Massachusetts \$25,000 toward the costs of commissioning and producing "The Cabinet of Caligari," a multimedia theater performance piece loosely based on the 1919 German Expressionist silent movie classic of the same name

Ballet Hispanico of New York, New York, New York \$20,000 toward the costs of commissioning and performing two new dance pieces

Boston Dance Umbrella, Boston, Massachusetts \$20,000 toward the costs of commissioning and producing new works for dancers of mixed ability for presentation at the International Festival of Wheelchair Dance

Brooklyn Philharmonic Symphony Orchestra, Brooklyn, New York \$20,000 toward the costs of commissioning and presenting a new collaborative dance work entitled "Flamenco"

Bryant Park Restoration Corporation, New York, New York \$20,000 toward the costs of commissioning and producing a series of site-specific performances entitled "Dancing on the Net"

California Institute of the Arts, Valencia, California \$20,000 toward the costs of developing "Intimate Imminence," a staged "media poem" exploring the individual's potential for expanded emotional, psychological and aesthetic reality in the new cyberspace

Climate Theatre, San Francisco, California \$20,000 toward the costs of developing and presenting "Mermaid Meat," a dramatic monologue with orchestra that will infuse Western musical practices with elements of traditional Japanese arts

Crosspulse, Berkeley, California \$20,000 toward the costs of developing "Perayaan/The Celebration," an evening-length music and dance collaboration

Crossroads, New Brunswick, New Jersey \$20,000 toward the costs of producing an original dramatic work entitled, "Wedding Dance"

Dance Theatre of Harlem, New York, New York \$20,000 toward the costs of the creation and production of a new ballet

Diverse Works, Houston, Texas \$20,000 toward the costs of commissioning and production of a new theater work involving HIV+ Latinas from the greater Houston area

Donald Byrd Dance Foundation, Brooklyn, New York \$25,000 toward the costs of commissioning and developing "Jazz Train," an evening-length dance work that will explore the evolution of jazz and its impact on American culture

East Bay Center for the Performing Arts, Richmond, California \$10,000 to commission and present "Deal," a three-part concert duet tracing the content, practices and aesthetics of the ancient Japanese Taiko and Shakuhachi instruments

Foundation-administered project: \$60,000 for administrative costs of the program

Foundation for Dance Promotion, New York, New York \$20,000 for the development of "The Loud Boy," an evening-length dance, theater and musical adaptation of Euripides' "The Bacchae"

Foundation for Independent Artists, New York, New York \$15,000 toward the costs of commissioning a new dance work by choreographers Eiko and Koma Otake and composer Somei Satoh

Friends of the Davis Center, New York, New York \$20,000 toward production expenses related to the development of "The Return of Elijah, The African," a mythic tale exploring the human drama of the slave trade during the 1780s

Greater Trenton Symphony Association, Trenton, New Jersey \$15,000 toward the costs of commissioning "The Whitman Triptych," an orchestral trilogy based on American folk traditions with texts by Walt Whitman

H. T. Dance Company, New York, New York \$20,000 to commission and develop "Transparent Hinges," an evening-length dance work that examines Asian-Americans' struggle for self-definition

Heart of the Beast Theatre, Minneapolis, Minnesota \$20,000 toward the costs of developing "Song for a Millennium," a puppet and mask event that will celebrate the spirit of community

Helena Presents, Helena, Montana \$20,000 toward the costs of commissioning and developing a poetry performance work about United States-based Latino cultures

Idris Ackamoor & Cultural Odyssey, San Francisco, California \$20,000 toward the costs of developing and producing "Deep in the Night," a solo performance piece about aging

Kronos Performing Arts Association, San Francisco, California \$25,000 toward the costs of developing and presenting "Altar de Muertos," a multidisciplinary work for string quartet and pre-Hispanic water drums

LINES Contemporary Ballet, San Francisco, California \$20,000 toward the costs of commissioning a new ballet for the company's 15th Anniversary season

Mabou Mines Development Foundation, New York, New York \$25,000 toward the costs of commissioning and developing a theatrical work depicting the history of Belen, a 17th-century house of refuge for single women that became Mexico's most notorious prison

Miami Light Project, Miami Beach, Florida \$25,000 toward the costs of "Drummin," a music, dance, video work that utilizes the distinctive drumming patterns of ethnic communities in Miami

Musical Traditions, San Francisco, California \$25,000 toward the costs of developing and producing "The Strange, Last Voyage of Donald Crowhurst," a new music-theater production based on the true story of an English electronics manufacturer who unsuccessfully undertook a non-stop sail around the world

New York Foundation for the Arts, New York, New York \$15,000 toward the costs of developing and producing "The Realism of Simple Machines," an interdisciplinary theater work that explores the experience of untimely death

New York Foundation for the Arts, New York, New York \$25,000 toward the costs of developing "After Eros," a multimedia performance based on the myth of Eros and Psyche

New York Theatre Workshop, New York, New York \$20,000 toward the costs of developing a new production in collaboration with the Grupo de Teatro Macunauma of Brazil

Performance Space 122, New York, New York \$25,000 toward the costs of developing and producing "La Scarpetta," a site-specific, community-based performance piece created for the Arthur Avenue Retail Market in the Bronx

Pick-Up Performance Company, New York, New York \$25,000 toward the costs of developing "Silent Movie," an evening-length dance/theater work exploring the genesis of moving pictures

Princeton Ballet Society, New Brunswick, New Jersey \$20,000 toward the costs of developing and presenting "Los Peregrinos," a multimedia, ethnographic dance and film project exploring the migration histories of the Latino communities of New Brunswick and central New Jersey

Reich Music Foundation, New York, New York \$25,000 toward the costs of developing "Three Tales," a music/video/theater work reflecting on issues of human aspiration and the consequences of technological advancements in the 20th century

St. Ann Center for Restoration and the Arts, New York, New York \$25,000 toward the costs of developing and producing "Slain in the Spirit," a music-theater work that chronicles the rise of evangelist Jim Jones

San Francisco Mime Troupe, San Francisco, California \$20,000 toward the costs of developing and presenting "13 Dias/13 Days," a multimedia musical about the 1994 Zapatista uprising in Chiapas

Seven Loaves, New York, New York \$20,000 toward the costs of developing and presenting "A Portion of Time," a site-specific dance-theater work based on the myth of Demeter, Persephone and Hades, and set in Manhattan's Lower East Side

Theater Artaud, San Francisco, California \$20,000 toward the costs of commissioning and presenting "Take Me to the Tenderloin, Now!" an evening length dance/theater work about living in the Tenderloin District of San Francisco

Thick Description, San Francisco, California \$24,500 toward

development and production costs of Brian Freeman's "Civil Sex," an exploration of African-American gay history inspired in part by the life of the late civil rights activist, Bayard Rustin

Touchstone, Bethlehem, Pennsylvania \$25,000 toward the costs of a cultural exchange project between the Mescalero-Apaches of New Mexico and the Latino Community in Bethlehem, Pennsylvania

University of California, Berkeley, Berkeley, California \$20,000 toward the costs of developing "When Sorrow Turns to Joy," an evening length musical work exploring the artistic, philosophical, political and social parallels between Paul Robeson and renowned Chinese opera singer Mei Lanfang, at its Cal Performances.

Washington Drama Society, Washington, D.C. \$25,000 toward the costs of developing "Press and the Presidency," a theatrical exploration of the image of the American Presidency as created by the print and electronic media, and the effect of that image on the American character

Women's Playhouse Trust, London, England \$20,000 toward the costs of commissioning and developing a deconstructed version of "Mother Courage"

World Music Institute, New York, New York \$15,000 toward the research and development of "Giving New Life to a Dying Heritage," a musical collaboration that preserves and extends traditional Ga social music

Yale University, New Haven, Connecticut \$25,000 toward the costs of developing and producing "Geography," an evening-length work fusing postmodern formal dance and the performance and dancing of traditional Haitian and west African dance and theater at the Yale Repertory Theatre

Yara Arts Group, New York, New York \$10,000 toward the costs of developing and presenting "Virtual Sounds," a music-theater work that examines the frontiers where high technology crosses paths with ancient knowledge.

Understanding Diversity through Film, Video and Multimedia 1997 appropriation of \$1,000,000 in addition to previous funding for allocation by the officers to enable United States and international film, video and multimedia producers to create independent work exploring the conflicts, connections and commonalities of diverse communities.

Elizabeth Barret, Whitesburg, Kentucky \$35,000 for "Stranger with a Camera," a documentary film exploring the death of a film-

maker shot and killed in 1967 while filming poverty scenes in the Appalachian coalfields

Hartmut Bitomsky, Newhall, California \$35,000 for "B-52," a feature-length documentary about the B-52 aircraft and its relationship to American culture

Debra Chasnoff, San Francisco, California \$35,000 for "Respect for All," three videos for young audiences that address lesbian and gay issues and prejudice

Ivan Avila Dueñas, Mexico City, Mexico \$20,000 for "Vocación de Martirio (Vocation of Martyrdom)," a short narrative film about the contemporary implications of the Roman Catholic and pre-Hispanic customs and rituals of atonement

Gerardo Lara Escobedo, Mexico City, Mexico \$20,000 for "Nuestro Amigo Americano (Our American Friend)," a documentary film about the American movie director John Huston and the importance of Mexico in his work

Foundation-administered project: \$242,750 for management of the program and its communications initiative

Harvestworks, New York, New York \$50,000 for 1997 program activities including the Artists-In-Residence and Education Programs

Institute for Alternative Journalism, San Francisco, California \$20,000 toward the costs of the Media and Democracy Congress II

Adriene Jenik, Los Angeles, California \$35,000 for "P.O.S. World," an interactive Web site inspired by a futuristic science fiction novel set in California

Ruth Leitman, Atlanta, Georgia \$35,000 for "Alma," a documentary about a woman's personal exploration into her family's history with mental illness, incest, and domestic violence

Jim Mendiola, San Francisco, California \$35,000 for "An American Artist," a narrative film about a Chicano writer/actor on the brink of stardom

Amir Naderi, New York, New York \$35,000 for "Avenue A, B, C," a narrative film about loss, searching, and discovery during the course of one day in New York

Spencer Nakasako, San Francisco, California \$35,000 for "The Donut Kings," a narrative feature film about Southeast Asian teenagers living in America

National Public Radio, Washington, D.C. \$50,000 toward research and development of an enhanced approach to the coverage of race and ethnicity

Frances Negrón-Muntaner, Philadelphia, Pennsylvania \$35,000 for "The Splendid Little War," a documentary about the Spanish-American War and its enduring impact

Suzan Pitt, Fountain City, Wisconsin \$35,000 for "Los Otros," a short animated film about endangered species of the United States, Canada and Mexico

Lourdes Portillo, San Francisco, California \$35,000 for "Selena," a documentary about the Tex Mex singer Selena Quintanilla

Program for Art on Film, New York, New York \$50,000 to expand the current Art on Film Web site to include an Art and Architecture on Screen Web site and an upgraded Art on Screen database

Juan Carlos Rulfo, Mexico City, Mexico \$20,000 for "Los Caminos de Rulfo (Rulfo's Roads)," a documentary about his father, Mexican writer Juan Rulfo

Gustavo Vazquez, San Francisco, California \$35,000 for "Tales of Tijuana," a narrative video about the residents of a Tijuana neighborhood

Stephen Winter, New York, New York \$35,000 for "Love & Happiness," a narrative feature film that chronicles five New Yorkers' search for romantic fulfillment and spiritual satisfaction

Bruce and Norman Yonemoto, Los Angeles, California \$35,000 for "Extremism in the Defense of," a moving image/multimedia installation that will recontextualize the image of the atom-bomb blast as it exists in American media

Understanding Cultures through Museums 1997 appropriation of \$1,000,000 in addition to previous funding for allocation by the officers to foster museum projects that explore group identities and intergroup connections

Association of Village Council Presidents, Bethel, Alaska \$25,000 toward the "Elders in Museums Central Yup'ik Indigenous Knowledge Project"

The Bronx Museum of the Arts, Bronx, New York \$50,000 toward the exhibition, "Tomie Arai: Double Happiness"

Buffalo Bill Memorial Association, Cody, Wyoming \$50,000 toward the exhibition, "Powerful Images Portrayals of Native America"

Corcoran Gallery of Art, Washington, D.C.: \$50,000 toward the exhibition "Rhapsodies in Black Art of the Harlem Renaissance"

Historic Hudson Valley, Tarrytown, New York \$50,000 toward the exhibition "Cross Roads and Cross Rivers Ethnic Pluralism in 18th-century New York" a reinterpretation of the Philipsburg Manor in Tarrytown, New York

Institute of International Education, New York, New York \$35,000 for participant travel and administrative costs associated with convening a conference on International Exhibitions at the Bellagio Study and Conference Center

Massachusetts Institute of Technology, Cambridge, Massachusetts \$25,000 toward the exhibition of Francesc Torres's "The Repository of Absent Flesh" at the List Visual Arts Center

Mattress Factory, Pittsburgh, Pennsylvania \$50,000 toward an installation project by artist Kiki Smith

McCord Museum of Canadian History, Montreal, Canada \$30,000 toward the exhibition "Border Crossings: Beadwork Traditions in Iroquois Life"

Mallicent Rogers Museum, Taos, New Mexico \$50,000 toward the exhibition "Barela: Artista Popular del Pueblo"

National Gallery of Art, Washington, D.C. \$100,000 toward the exhibition "Millennium of Glory: The Sculpture of Cambodia"

New York Foundation for the Arts, New York, New York \$50,000 toward the Miami Arts Project

Newark Museum Association, Newark, New Jersey \$50,000 toward the exhibition "Crowning Glory: Images of the Virgin in the Arts of Portugal"

Northwestern University, Evanston, Illinois \$50,000 toward the exhibition "Living Tradition in Africa and the Americas: The Work of Melville J. and Frances S. Herskovits"

Rutgers University, New Brunswick, New Jersey \$100,000 toward the exhibition "Paul Robeson Here I Stand," at the Paul Robeson Cultural Center

Smithsonian Institution, Washington, D.C. \$25,000 for use by the Center for Museum Studies to enhance scholarship on Latino history/culture and develop a strategy plan for a Latino Studies Center

South Street Seaport Museum, New York, New York \$50,000 toward the exhibition "Transatlantic Slavery: Against Human Dignity"

Studio Museum in Harlem, New York, New York \$50,000 toward the exhibition "Norman Lewis Black Paintings, 1944-1977"

University of South Florida, Tampa, Florida \$45,000 toward the exhibition "Crossing Time Space Movement," at its Contemporary Art Museum

University of Texas at Austin, Austin, Texas \$50,000 toward the exhibition "Cantos Paralelos/Parallel Cantos Experimental Art from Argentina, 1960-1995," at its Archer M. Huntington Art Gallery

Institute of International Education, New York, New York 1997 appropriation of \$375,000 in addition to previous funding to improve and increase the role of American artists in international visual arts exhibitions and performing arts festivals throughout the world.

Latin American Network of Independent Producers of Contemporary Art (La Red Latinoamericana de Productores Independientes de Arte Contemporáneo), Salvador, Bahia, Brazil 1997 appropriation of \$275,000 in addition to previous funding to facilitate intracontinental interaction among Latin American artists and independent arts organizations.

GRANTS IN AID

American Composers Forum, St. Paul, Minnesota \$75,000 toward the creation and presentation of new music in diverse communities

American Museum of Natural History, New York, New York \$50,000 toward the conference "Constructing Cultures Then and Now"

Atlantic Center for the Arts, New Smyrna Beach, Florida \$50,000 for year two of phase two of the "Music in Motion" project

Foundry Theatre, New York, New York \$50,000 toward costs of "A Conversation on Hope," a conference/performance event exploring contemporary notions of hope

Gertrude Stein Repertory Theatre, New York, New York \$75,000 toward the costs of "The Global Performance Lab," a digital networking project.

Grantmakers in the Arts, New York, New York \$15,000 for its 1997 activities

National Association of Latino Arts and Culture, San Antonio, Texas \$50,000 toward the costs of phase two of the research and publication project, "A Historical Survey and Current Assessment of Latino Organizations in the United States"

New York University, New York, New York \$21,000 toward the costs of an international confer-

ence, "Yari Yari, Black Women Writers and the Future"

New York University, New York, New York \$25,000 toward the costs of a joint project with the Organization of American Historians on "Internationalizing the Study of American History"

Snug Harbor Cultural Center, Staten Island, New York \$50,000 toward the costs of an initiative to examine how best to serve the diverse communities of Staten Island and forge collaborations with other performing arts organizations

University of California, Berkeley, Berkeley, California \$30,000 toward the costs of a workshop on the oral traditions of the North Pacific Rim at the Program on Orality and Community

University of Chicago, Chicago, Illinois \$55,000 toward the costs of two special issues of the journal Public Culture "Hong Kong 1997" and "Globalization"

University of Connecticut, Storrs, Connecticut \$400,000 to support the China Bridges International Fellowship programs

University of Houston, Houston, Texas \$50,000 to cover additional costs of the Recovering the Hispanic Literary Heritage Project

World Encyclopedia of Contemporary Theatre, Toronto, Ontario, Canada \$75,000 toward the costs of completing a six-volume encyclopedia of contemporary world theater

Living With Diversity—Building Structures for the Civil Society

Partnerships Affirming Community Transformation 1997 appropriation of \$600,000 in addition to previous funding for allocation by the officers to identify, support and learn from community partnerships that integrate arts and humanities into efforts that build bridges across racial and ethnic difference.

Alaska Humanities Forum, Anchorage, Alaska \$50,000 toward the costs of the "Communities of Memory" project, an oral history initiative

Arizona Council on the Humanities and Public Policy, Phoenix, Arizona \$50,000 toward the costs of "Transforming Barbed Wire," a project that explores the history and legacy of Japanese-American internment during World War II

Broadway Area Housing Coalition, Cleveland, Ohio \$50,000 toward costs of its "Neighborhood Arts and History Partnership" program, a community based arts and history project

Center for Third World Organizing, Oakland, California \$50,000 toward the costs of "Leaders in Community-Based Cultural Work," a yearlong apprenticeship program in arts and community organizing for youth

Idris Ackamoor and Cultural Odyssey, San Francisco, California \$50,000 toward the costs of "The Medea Project Theater for Incarcerated Women," an initiative that seeks to rehabilitate female prison inmates through the arts

Rhode Island Black Heritage Society, Providence, Rhode Island \$17,500 toward the costs of a performing arts program representing the Black experience in the United States and the culture and traditions of recent immigrant groups

San Francisco Mime Troupe, San Francisco, California \$50,000 toward the costs of the "Teen Theatre" project, a cross-cultural collaboration among young people of diverse backgrounds

Sitka Tribe of Alaska, Sitka, Alaska \$49,890 toward the costs of the "Healing Heart Totem Pole" project, a video and series of related community discussions about alienation and cultural upheaval

University of Massachusetts, Amherst, Massachusetts \$32,000 toward the costs of a cross cultural project engaging at-risk youth through the medium of theater at its New WORLD Theater

Wing Luke Memorial Foundation, Seattle, Washington \$50,000 toward the costs of "Remembering Our Past/Building Our Future," a community-based arts and oral history project

Youth for Social Change, Durham, North Carolina \$50,000 toward the costs of the Youth Coordinating Committee project, a leadership training initiative for African American and Latino youth

Culture and Community Building 1997 appropriation of \$300,000 in addition to previous funding for allocation by the officers to foster the role of culture in community building by identifying and developing arts and cultural indicators.

Fordham University, Bronx, New York \$125,000 to establish a Working Group on the Arts and Humanities that will explore the contribution of the arts and humanities to the social health of American society at its Institute for Innovation in Social Policy

Social Science Research Council, New York, New York \$50,000 for two meetings that will explore the role of arts and culture in building and maintaining healthy communities

The Legacy of Absence 1997 appropriation of \$250,000 in addition to previous funding for allocation by the officers to foster a set of activities which explore how artists and writers assess the consequences of war and conflict for their societies.

Role of Religion in the Civil Society 1997 appropriation of \$800,000 in addition to previous funding for allocation by the officers to foster a set of activities exploring the role of religion in building civil society.

Foundation-administered project: \$35,000 for production of a videotape program that captures the mission and purpose of the World Conference on Religion and Peace entitled, "Transforming Conflict: The Role of Religion"

National Humanities Center, Research Triangle Park, North Carolina \$66,500 toward the costs of a program that examines the changing role of religion in American life

World Conference on Religion and Peace, New York, New York \$500,000 toward the costs of the Religion and Peacebuilding program

Promoting African Civil Society through Cultural Initiatives 1997 appropriation of \$1,100,000 in addition to previous funding for allocation by the officers to fortify civil society in Africa through support for cultural sector-building activities in museums, book publishing, radio, and film and video

African Books Collective, Oxford, England \$88,880 toward its promotion and distribution activities and its Intra-African Book Support program to provide new titles to 12 African universities and research libraries

African Publishers' Network, Harare, Zimbabwe \$18,000 toward the cost of a meeting on their vision, program and strategy held in Nairobi, March 1-8, 1997

Arts Alive International Festival, Johannesburg, South Africa \$300,000 toward the development of the Ubuntu Pan-African Festival and Exposition of Arts and Culture, a capacity-building movement designed to promote cultural production within Africa and its Diaspora

Commonwealth Association of Museums, Calgary, Canada \$5,000 toward the organization of an international workshop on children in African museums to be held in Nairobi, Kenya, in November 1997

Film and TV Market Initiative, Johannesburg, South Africa \$100,000 toward the participation and training of African delegates attending the 1997 Southern African International Film and TV Market, as well as for a seminar on the development of strategies for future markets

Friends-of-the-Book Foundation, Nairobi, Kenya \$25,000 toward the publication and reprinting of low-cost books on topics of key importance to Kenyan development

Greater Johannesburg Metropolitan Council, Johannesburg, South Africa \$70,000 toward the participation of selected African artists and scholars at the second Johannesburg Biennale

International Council of Museums, Paris, France \$30,000 toward a workshop on the protection of African heritage and a regional training seminar on documentation standards

Media for Development Trust, Harare, Zimbabwe \$150,000 toward the establishment of an African film and television script development fund

Panos Institute, Paris, France \$150,000 toward the costs of its program to strengthen independent radio in west Africa

Research and Technology Exchange Group, Paris, France \$30,000 for the cofinancing of the activities of an informal network of donors supporting African media

Southern Africa Book Development Education Trust, London, England \$20,000 toward the costs of the South North Travel Fund, and its electronic data program

Tumbuka Dance Company, Harare, Zimbabwe \$25,000 toward the costs of assisting young dancers with professional development

Fortifying Civil Societies across Muslim Regions through Their Cultural Institutions 1997 appropriation of \$1,000,000 in addition to previous funding for allocation by the officers to continue fostering a set of activities in Muslim societies where issues of pluralism are the subject of public and policy discussion

Al-Wasiti Art Centre, Jerusalem, Israel \$100,000 toward the costs of establishing a research unit for the documentation of Palestinian art

ASHTAR for Theatre Education and Training, East Jerusalem, Israel \$30,000 toward the costs of its production of "Abu Shaker's Affair"

Center for Palestine and Research Studies, Nablus, Israel \$200,000 toward the costs of a

project on Modern Islamic Politics and Thought, and four public opinion polls

Foundation-administered project: \$100,000 toward meeting and consultancy expenses in connection with the Foundation's Muslim world initiative

Foundation-administered project: \$300,000 toward the costs of the exhibition "Modernities and Memories: Recent Works from the Islamic World" held at the 1997 Venice Biennale

Jerusalem International Book Fair, Jerusalem, Israel \$35,000 toward the costs of bringing authors and publishers from Muslim countries to the 18th biennial Book Fair in April 1997

Palestinian Academic Society for the Study of International Affairs, Jerusalem, Israel \$52,400 toward its 1997 series of round table discussions on topics related to the building of Palestinian civil society

Riwaq: Center for Architectural Conservation, Ramallah, Palestinian National Authority \$100,000 toward a two-year program to expand its fieldwork from urban to rural areas and establish a national inventory of all historic and culturally significant buildings in Palestinian villages

Women Living under Muslim Laws, Grabels, France \$100,000 toward strengthening its international documentation and publications program

GRANTS IN AID

American Association of Museums, Washington, D.C. \$50,000 toward the costs of participation of delegates from Mexico and Central America in a museum leadership forum, "Museums and Sustainable Communities of the Americas," to be held in Costa Rica in April 1998

Association for Cultural Equity, New York, New York \$45,000 toward the costs of preserving and making accessible Alan Lomax's field recordings

Dartmouth College, Hanover, New Hampshire \$50,000 toward the costs of "On Golden Pond," a summit on Black Theatre in the United States

Foundation-administered project: \$44,000 toward the costs of a conference on ethnic conflict and ethnic divide, held at the Belaggio Study and Conference Center in August 1997

University of Wisconsin, Milwaukee, Milwaukee, Wisconsin \$45,000 for use by its Center for Twentieth Century Studies to support development activities of the Consortium of Humanities Centers and Institutes

BELLAGIO STUDY AND CONFERENCE CENTER

Bellagio Innovation Fund 1997 appropriation of \$125,000 in addition to previous funding for allocation by the officers to seek out and fund activities that will enhance Bellagio conferences and teams.

Crawford Fund for International Agricultural Research, Parkville, Australia: \$25,000 toward the costs of activities to enhance a conference, "National Support for International Agricultural Research," that will be held at the Bellagio Study and Conference Center from April 6 to 10, 1998.

Loyola Marymount University, Los Angeles, California: \$22,450 toward the costs of activities to enhance a conference, "Film and Television Training for Developing Countries," that was held at the Bellagio Study and Conference Center from May 12 to 16, 1997.

Mabou Mines Development Foundation, New York, New York: \$6,000 toward the costs of activities to enhance a team residency, "The Belen Project," that was held at the Bellagio Study and Conference Center from October 23 to November 18, 1997.

Medical Women's International Association, Cologne, Germany: \$4,300 toward the costs of activities to enhance a team residency, "Strategic Planning for the Medical Women's International Association," that was held at the Bellagio Study and Conference Center from December 4 to 10, 1996.

University of Nottingham, Nottingham, United Kingdom: \$13,700 toward the costs of activities to enhance a conference, "Biological Nitrogen Fixation: The Global Challenge of Future Needs," held at the Bellagio Study and Conference Center from April 8 to 12, 1997.

CONFERENCES

Antibody Consortium for Reproductive and Sexual Health—Kevin J. Whaley, Johns Hopkins University; Jim Larrick, Palo Alto Institute of Molecular Medicine; and Mich Hein, Scripps Research Institute. (February 17 to 21)

Biological Nitrogen Fixation: The Global Challenge and Future Needs—Edward C. Cocking, University of Nottingham, England, and Ivan Kennedy, University of Sydney, Australia. (April 8 to 12)

Blood Transfusions and AIDS: Common Threat, National Response—Ronald Bayer, Columbia University. (June 9 to 13)

Building Civil Societies: Investing in Foundation-Like Organizations—Rick R. Little, International Youth Foundation, and Peter C. Goldmark Jr., Rockefeller Foundation. (November 10 to 14)

Communications and Change: Exploring Effective Paradigms, Models and Methods of Promoting Development—Denise Gray-Felder, Rockefeller Foundation. (April 21 to 25)

Community Building International—Angela Glover Blackwell, Rockefeller Foundation. (October 20 to 24)

Cross-National Capacity Building for the 21st Century: Interventions That Work—Joyce Mook, Rockefeller Foundation; Kenneth Prewitt, Social Science Research Council; and Benno Ndulu, African Economic Research Consortium. (July 14 to 18)

Distrust—Russell Hardin, New York University. (October 13 to 17)

Domestic Roots of Proactivist Nonnuclear Policy: A New Approach to Non-proliferation—Harald Miller, Peace Research Institute, Frankfurt, Germany. (September 29 to October 3)

Donors to African Media—Damien Pwono, Rockefeller Foundation. (September 22 to 26)

Early Modern History and the Social Sciences: Braudel's Mediterranean Fifty Years After—John A. Marino, University of California, San Diego. (June 23 to 27)

Environment and Global Finance—Graciela Chichilnisky, Columbia University, and Albert Binger, Rockefeller Foundation. (November 24 to 28)

Ethnic Conflict/Ethnic Divide—Radha Kumar and Lynn Szwaja, both of the Rockefeller Foundation. (August 11 to 15)

Ethnic Conflict in the Post-Industrial World—John Stone, George Mason University; Malcolm Cross, University of Utrecht; and Sophie Body-Gendrot, University of Paris, Sorbonne. (April 28 to May 2)

Follow-up: Public/Private Sector Collaboration in Contraceptive Research and Development—Mahmoud F. Fathalla, Rockefeller Foundation. (March 10 to 14)

Global Dialogue on Equitable Access to Quality Primary Education—Joyce L. Mook, Rockefeller Foundation. (November 3 to 7)

Handling Intellectual Property Rights for Vaccines—Seth Berkley, M.D., Rockefeller Foundation, and Elizabeth Fuller, Children's Vaccine Initiative. (February 3 to 7)

Health 2001—Lincoln Chen, Rockefeller Foundation. (April 1 to 5)

Improving the Quality of Civil Justice Reform—Roger Hanson and David B. Rottman, both of the National Center for State Courts, Williamsburg, Virginia. (March 17 to 21)

International Exhibitions—Noreen Tomassi, Arts International, New York, and Tomas Ybarra-Frausto, Rockefeller Foundation. (August 4 to 8)

International Health for the 21st Century—Lincoln Chen, Rockefeller Foundation. (August 25 to 29)

Justice in Transition—David S. Mason, Butler University, Indianapolis. (May 19 to 23)

Latin America and the World Economy in the 19th and 20th Centuries—John H. Coatsworth, Harvard University, and Alan M. Taylor, Northwestern University. (June 30 to July 4)

Managing International River Basins under Stress: Strengthening Treaty and Institutional Arrangements—Albert E. Utton and F. Lee Brown, both of the University of New Mexico, Albuquerque. (June 2 to 6)

New Perspectives on Film and Television Training for Developing Countries—Henry Breitrose, Stanford University. (May 12 to 16)

Rockefeller Foundation Board of Trustees—Lynda Mullen, Rockefeller Foundation. (September 6 to 9)

Science-Based Development Programs Staff Retreat—Lincoln Chen, Rockefeller Foundation. (June 16 to 19)

Teacher development—Marla Ucelli, Rockefeller Foundation, and Linda Darling-Hammond, National Commission on Teaching and America's Future. (July 21 to 25)

Unaccompanied Refugee Minors: Issues in Repatriation—Mary Anne Schwalbe, International Rescue Committee, New York; Mary Diaz, Women's Com-

mission for Refugee Women and Children, New York, Meg Gardiner, International Catholic Child Bureau, New York, Julie C. MacDonald, Lutheran Immigration and Refugee Service, New York, and Beth L. Verhey, Children & War Initiative, Save the Children, Washington, D.C. (January 27 to 31)

UNFPA Special Advisory Group to the Executive Director—Nafis Sadik, United Nations Fund for Population Activities, and Steven W. Sinding, Rockefeller Foundation (December 1 to 5)

Words and Voices: Critical Practices of Orality in Africa and in African Studies—David William Cohen, University of Michigan, Ann Arbor (February 24 to 28)

TEAMS

A Practical, Scholarly Resource Book to Enable the Next Generation of Leaders to Understand and Apply Principles of Common Sense and Accumulated Experience to Problems Presented by New and Emerging Viral Diseases—Anna Marie Skalka, Institute for Cancer Research, Philadelphia (June 17 to 25)

Advancing Graduate Psychiatric-Mental Health Nursing Education Internationally—Marga Coler, Society for Education and Research in Psychiatric-Mental Health Nursing, Pensacola, Florida (May 21 to 30)

The Belen Project—Ruth Maleczek, Mabou Mines, New York (October 23 to November 18)

Correlation of Karyotypic, Morphologic and Clinical Features in Soft Tissue Sarcomas—Juan Rosai, M.D., Memorial Sloan Kettering Cancer Center, New York (September 17 to 23)

Death of the Father: An Anthropology of Changes in Political Authority—John Borneman, Cornell University (July 16 to 25)

Future Directions Committee of the International Plant Protection Congresses—J. Lawrence Apple, North Carolina State University, Raleigh (March 26 to April 1)

LEAD Task Force—Ruben Puentes, Rockefeller Foundation (March 12 to 18)

Leadership Training in Gender and Reproductive Health: Operationalizing ICPD and Beijing—Claudia Garcia-Moreno, WHO, Geneva (February 26 to March 4)

A Model of the Best Apprenticeship Practices for Training Youth in Skilled Occupations—Clifton P. Campbell, University of Tennessee, Knoxville (April 23 to 29)

Political and Cultural Dimensions of International Health Policy: Lessons from the Child Immunization Initiative—Judith Justice, University of California, San Francisco (February 6 to 16)

Raising the Moral and Political Stature of Economic Analysis in Allocating Health Services—Paul Menzel, Pacific Lutheran University, Tacoma, Washington (July 2 to 12)

Swedish Philanthropy—Jan S. Nilsson, Knut and Alice Wallenberg Foundation, Stockholm (October 2 to 6)

Women Workers in the Informal Economy—Marilyn Carr, United Nations Development Fund for Women, New York (April 14 to 19)

RESIDENTS

Julian Adams (U.S.A.), University of Michigan, Ann Arbor—a book analyzing the genetic, demographic, cultural and social structure of a rural population, Aucuna, located in northwest Argentina (with Eduardo E. Castilla)

Shulamit Aloni (ISRAEL), Ministry of Communications, Science and the Arts, Government of Israel—a book on the struggle for human rights and peace in Israel

Charles Amirkhanian (U.S.A.), Djerassi Resident Artists Program, Woodside, California—a major concert piece involving unusual percussion sounds

Granville S. Austin (U.S.A.), Washington, D.C.—a history of constitutional developments in India from 1950 to 1985

Roberto Geraldo Baruzzi, M.D. (BRAZIL), Universidade Federal de São Paulo—a study, "The Panara Indians: A Stone Age Tribe Enters Our World (1973-1996)"

Derek Bickerton (U.S.A.), University of Hawaii, Honolulu—a manuscript, "Lingua ex Machina," on the evolution of language and the neurological infrastructure which supports language (with William Calvin)

Kai Bird (U.S.A.), Washington, D.C.—a biography of William and McGeorge Bundy

Robert Bonfil (ISRAEL), Hebrew University, Jerusalem—a critical edition of Joseph Ha-Kohen's "History of the Kings of France and the Ottoman Sultans"

Dale G. Bottrell (U.S.A.), University of Maryland, College Park—a manuscript, "International Pesticide Problems and Solutions"

Phyllis M. Bramson (U.S.A.), University of Illinois, Chicago—artwork, "Arabian Nights"

Caroline Bruzelius (U.S.A.), American Academy in Rome—a two-volume study on the architecture of southern Italy, "The Churches of the Angevin Kings of Naples, 1266-1343"

William H. Calvin (U.S.A.), University of Washington, Seattle—a study, "Lingua ex Machina," on the evolution of language and the neurological infrastructure which supports language (with Derek Bickerton)

Jane Caplan (U.K./U.S.A.), Bryn Mawr College—essays on the documentation and interpretation of personal identity in the modern European state

Gabriel Carrasquilla (COLOMBIA), FES Foundation, Cali—a study, "The Primary Care Strategy for Control of Endemic Diseases"

Mircea Cartarescu (ROMANIA), University of Bucharest—a cycle of poems, "Back to Nature: The Lake Como Elegies"

Eduardo Castilla (ARGENTINA), Oswaldo Cruz Institute, Brazil—a book analyzing the genetic, demographic, cultural and social structure of a rural population, Aucuna, located in northwest Argentina (with Julian Adams)

Juvenal Jose Castillo Martinez (VENEZUELA), Venezuelan National Institution of Agricultural Research, Lara—a study, "Agriculture and Municipality: Bases of Sustainable Agriculture"

Sushil Chaudhury (INDIA), Calcutta University—a study, "The Prelude to Empire: British Conquest of Bengal, 1757"

Menzie David Chinn (U.S.A.), University of California, Santa Cruz—a study, "Globalization, Capital Flows and International Competitiveness"

Carol J. Clover (U.S.A.), University of California, Berkeley—a manuscript, "Trials, Movies, and the Adversarial Imagination"

Constance Congdon (U.S.A.), Amherst College—a play, "So Far"

Marcos Cueto (PERU), Instituto de Estudios Peruanos, Lima—an historical essay on the factors that contribute to scientific excellence in Latin America

Nathan Currier (U.S.A.), Juilliard School—a music composition, "A Dead Sea Symphony"

Peter d'Agostino (U.S.A.), Temple University—a multimedia project concerning Italian American identity, "@ Vesuvius"

Lucia Ribeiro de Souza (BRAZIL), Institute of Religious Studies, Rio de Janeiro—a manuscript, "Sexuality and Reproduction in the Catholic Church: A Comparative Study of the Perspectives of Laywomen and the Clergy"

Luiz Alberto Gomez de Souza (BRAZIL), Centro Joao XXIII, Rio de Janeiro—a book on the role of social and religious movements in the transformation of Brazilian reality

Leslie Dunton-Downer (U.S.A.), Harvard Society of Fellows—a full standard opera in three acts, "Dreams in the Cave of Eros" (with Augusta Read Thomas)

Peter S. Eagleson (U.S.A.), MIT—a monograph, "Ecological Optumality Hypotheses of Forest Form and Function"

J. Isawa Elaigwu (NIGERIA), University of Jos, Nigeria—a study, "Civil Military Relations: The Future of Democracy in Africa—The Nigeria Case"

Steven A. Epstein (U.S.A.), University of Colorado, Boulder—a manuscript, "Slavery in Medieval and Early Modern Italy"

Lloyd Thomas Evans (AUSTRALIA), CSIRO Division of Plant Industry, Canberra, Australia—a manuscript, "Plants and Population: Steps Towards Feeding the Ten Billion"

Sara Baisai Feresu (ZIMBABWE), University of Zimbabwe, Harare—a study, "Leptospirosis in Zimbabwe"

Ramon U. Florenzano, M.D. (CHILE), University of Chile, Santiago—a book on adolescent risk behaviors and family protective factors in Chile

Robert Folkenflik (U.S.A.), University of California, Irvine—a manuscript, "Literary Criticism and Theory from Sidney to Johnson"

Antonio Frasconi (U.S.A.), Purchase College, SUNY—illustration of Langston Hughes's poem, "Let America Be America Again"

Sarah Warshawer Freedman (U.S.A.), University of California, Berkeley—a manuscript, "Multiculturalism is the Mainstream: Teacher Research, Writing and Learning" (with Elizabeth Radin Simons)

Rosa Linda Fregoso (U S A), University of California, Davis—a book examining the ways Chicanos have been represented in U S mass politics and culture, "Growing up Tex-Mex"

Lynda Frese (U S A), University of Southwestern Louisiana, Lafayette—artwork, "The Virgin/Mother Mary: Interpretations of the Female Divine"

Yohanan Friedmann (ISRAEL), Hebrew University, Jerusalem—a study, "Religious Tolerance and Religious Coercion in the Islamic Tradition"

Partha S. Ghosh (INDIA), Indian Council of Social Science Research, New Delhi—a study, "Cross-Border Migrations in South Asia: Domestic Political and Regional Security Dimensions"

Joseph Ginat (ISRAEL), University of Haifa—a study, "Bisha by Fire as a Method of Conflict Resolution among Bedouin and Rural Societies"

Donald A. Glaser (U S A), University of California, Berkeley—a paper or review, "A Transformation Theory of Primate Vision"

Frank Gonzalez-Crussi, M.D. (U S A), Northwestern University—a manuscript, "Pilgrimage of Human Life," a meditation on successive passages of human existence.

Douglas Arthur Montrose Graham (NEW ZEALAND), Ministry of Justice, Parliament of New Zealand, Wellington—a manuscript, "The Resolution of Indigenous Claims"

Herman Gray (U S A), University of California, Santa Cruz—a study, "Black Cultural Production: Institutional Formations and Cultural Politics"

Virginia H. Gray (U S A), University of Minnesota, Minneapolis—a study, "The Population Ecology of State Interest Communities" (with David Lowery)

Dolores Greenberg (U S A), Hunter College, CUNY—a manuscript, "On Common Ground: Environmental Discrimination in the History of New York City"

Enzo Grilli (ITALY), International Monetary Fund, Washington, D C—a study, "Trade Policies of the European Community"

Mohamed Haddad (TUNISIA), University of Tunis I, Tunisia—a translation into Arabic of "La Memoire Vaine" (with Mounir Khelifa)

Norman Hammond (U K), Boston University—a study of the ancient Maya city of La Milpa, Belize

Anthony Crawford Hepburn (U K), University of Sunderland, England—a study, "The Development and Management of Divided Cities in the Western World in the 19th and 20th Centuries"

David Hicks (U S A), SUNY, Stony Brook—a manuscript, "Ethnic Tradition and Conflict in East Timor (1974-1975)"

Florence Howe (U S A), Graduate Center, CUNY—a history of women's studies in the United States and selected countries

Eva Hung (HONG KONG), Central University of Hong Kong—the impact of translation activities on national culture

Chukwuemeka Ike (NIGERIA), Nigerian Book Foundation, Awka—a novel, "Who Is My Father," based on the phenomenon of fatherless children among the Igbo of Anambra State, Nigeria

Wolfgang K. Joklik (U S A), Duke University Medical Center—a review of the comparative virology of three genera of the family Reoviridae

Anthony S. R. Juo (U S A), Texas A&M University—a textbook on soil management and plant production in the tropics

Naila Kaber (U K /BANGLADESH), Institute of Development Studies, University of Sussex, England—a manuscript, "The Power to Choose: Agency, Structure, and Bangladesh Women Workers in London and Dhaka"

Kveta Kalibova (CZECH REPUBLIC), Charles University, Prague—a study, "Population Census and Ethnicity"

Elihu Katz (ISRAEL), Guttman Institute of Applied Social Research, Jerusalem—a study, "Leisure, Culture, and Communication in Israel: 20 Years Later"

Ruth Katz (ISRAEL), Hebrew University, Jerusalem—a manuscript, "Affecting the Mind: The Cognitive Turn in Deliberations on the Arts"

Michael James Keating (CANADA), University of Western Ontario—a study, "Asymmetrical Territorial Devolution and the Accommodation of Minority Demands in the 19th-century and 20th-century State"

David L. Kerns, M.D. (U S A), Stanford University School of Medicine—a special issue of Child Abuse and Neglect: The International Journal on "Establishing a Medical Research Agenda for Child Sexual Abuse"

Anatoly Khazanov (ISRAEL), University of Wisconsin, Madison—a manuscript, "Nomads, Sedentaries and Missionaries: World Religions in the Eurasian Steppes"

Mounir Khelifa (TUNISIA), University of Tunis I, Tunisia—a translation into Arabic of "La Memoire Vaine" (with Mohamed Haddad)

Kenneth Koch (U S A), Columbia University—a collection of poetry

Janet Laurence (AUSTRALIA), Sydney—artwork, "Site/Memories"

Thomas Lawson (U S A), California Institute of the Arts, Valencia—artwork, "Trophies"

Hava Lazarus-Yafeh (ISRAEL), Hebrew University, Jerusalem—a study, "Jerusalem and Mecca: A Comparison between Two Holy Cities"

Marek Latynski (POLAND/U S A), Washington, D C—a book in English on the role played by Radio Free Europe in Soviet-bloc target countries, Poland in particular

Claudia Lazzaro (U S A), Cornell University—a study, "Cultural Identity and Visual Representation in 16th-Century Florence"

George Levine (U S A), Rutgers University—a study, "Dying to Know: Scientific Epistemology, Ethics, and Narrative."

Alexis Levitin (U S A), SUNY, Plattsburgh—an anthology of 20th-century Portuguese poetry in English translation

David B. Lewin (U S A), Harvard University—a collection of articles and new writings, "Studies in Music with Text"

Li Xiang-hui (PRC), Institute of Genetics, Academia Sinica, Beijing—a study, "Production, Use, and Biosafety of Transgenic Plants in Virus Resistance" (with Po Tien)

David Lowery (U S A), University of North Carolina, Chapel Hill—a study, "The Population Ecology of State Interest Communities" (with Virginia H. Gray)

Jane Marcus (U S A), GUNY Graduate Center—a biography, "Nancy Cunard, A Life in the World: Race Politics and the Woman Public Intellectual"

Karen Oppenheim Mason (U S A), East-West Center, Honolulu—a manuscript, "Determinants of Women's Empowerment in Five Asian Countries."

Shail Mayaram (INDIA), Institute of Development Studies, Jaipur—a manuscript, "The Pandun Ka Kara: The Mahabharata of a Community of Muslims"

John J. McCusker (U S A), Trinity University, San Antonio—a study, "The World Market for Sugar, 1550-1775: An Analysis of Convergence in the International Price for Sugar in the Early Modern Atlantic World"

James McManus (U S A), School of the Art Institute of Chicago—a novel, "The Winter Casino"

Yong Soon Min (U S A), University of California, Irvine—artwork, "Bridge of No Return"

Patrick M. Morgan (U S A), University of California, Irvine—a manuscript, "Deterrence: Theory and Practice After the Cold War"

Gary R. Mormino (U S A), University of South Florida, Tampa—a study, "Ethnics at War: The Italian-American Experience and World War II"

Edward Muir (U S A), Northwestern University—a series of essays, "The Fall of Renaissance Italy"

Gopi Chand Narang (INDIA), University of Delhi, India—a study, "The Challenge of Western Theory: The Nature of East-West Encounter in the Indian Context"

Peter M. Nardi (U S A), Pitzer College, Claremont, California—a manuscript, "A City of Friends: Gay Men and Their Friendships"

Lorie Novak (U S A), Tisch School of the Arts, New York University—a video installation, "Collected Visions"

Ingram Olkon (U S A), Stanford University—a study, "Methods and Models for Research Synthesis"

Waldemar Otto (GERMANY), Worpswede, Germany—artwork, "Man and Measure"

K. Ayyappa Paniker (INDIA), University of Kerala—an English translation of his Malayalam poems

Joseph E. Persico (U S A), Guilford, New York—a manuscript, "Truman vs. Dewey: The Lessons and Legend of the 1948 Election Upset"

Marilia Pulquerio Futre Pinheiro (PORTUGAL), Cidade Universitaria, Lisbon—a study, "Utopias and Wonder Tales in Post-Classical Greek Literature"

Po Tien (PRC), Institute of Microbiology, Chinese Academy of Sciences, Beijing—a study, "Production, Use and Biosafety of Transgenic Plants in Virus Resistance" (with Li Xianghui)

Rosalie Pedalino Porter (U.S.A.), READ Institute, Amherst, Massachusetts—a study, "Language, Learning and Politics: Reevaluating a 30 Year U.S. Education Policy"

Krsto Prelec (U.S.A.), Brookhaven National Laboratory—a study of light ion accelerators for cancer treatment

Monroe E. Price (U.S.A.), Cardozo School of Law—a study, "National Responses to Media Globalization"

Peter Quartermain (U.S.A.), University of British Columbia, Canada—a manuscript, "A Tradition of Marginality: American Women Poets since 1970"

Vicente L. Rafael (U.S.A.), University of California, San Diego—a study, "Formations of the Philippines, 1890-1990"

Bharati Ray (INDIA), Calcutta University—a study, "Sarala and Rokeya: Some Issues in the 'Woman Question' in Early Twentieth-Century Bengal"

Dorothea G. Rockburne (U.S.A.), New York City—art work, "Creating a New Geometry to Define Outer Space in Two Dimensional Terms."

Richard Rorty (U.S.A.), University of Virginia, Charlottesville—replies to 10 to 15 articles written about his philosophical views

Seymour B. Sarason (U.S.A.), Yale University—a manuscript, "How Schools Might Be Governed and Why"

Regina M. Schwartz (U.S.A.), Northwestern University—a study, "Communion and Community: The Eucharist in Renaissance Literature."

Laura Elise Schwendinger (U.S.A.), San Francisco Conservatory of Music—music composition, "Songs of Heaven and Earth"

James C. Scott (U.S.A.), Yale University—a study, "State Spaces: The Social Engineering of Rural Settlement and Production in Southeast Asia"

Peter Dale Scott (U.S.A.), University of California, Berkeley—"Verging," the third volume of a long poem

Sergei I. Serbin (UKRAINE), Ukrainian State Maritime Technical University, Nikolayev—a study, "The Improvement of Practical Systems of Ecologically Clean Burning of Fuels Using Plasma-chemical and Pulsating Action"

Alix Kates Shulman (U.S.A.), New York City—an autobiographical manuscript, "The Sam and Dorothy Show: A Memoir"

Barbara Sicherman (U.S.A.), Trinity College, Hartford—a manuscript, "Engaging Texts: Reading, Gender and Identity in American Culture, 1860-1917"

Brenda R. Silver (U.S.A.), Dartmouth College—a study, "Mrs Woolf Goes to Hollywood: Virginia Woolf as Cultural Icon"

Candace Slater (U.S.A.), University of California, Berkeley—a study, "The Nature of Amazonia: The Amazon as Metaphor for the Natural World"

Elizabeth Radin Simons (U.S.A.), University of California, Berkeley—a manuscript, "Multiculturalism is the Mainstream: Teacher Research, Writing and Learning" (with Sarah Warshauer Freedman)

Anna Deavere Smith (U.S.A.), Stanford University—a study for other actors and a nonfiction book/performance piece, "The Press and Presidency Project."

Susan Sontag (U.S.A.), New York City—a novel, "In America"

David Spiegel, M.D. (U.S.A.), Stanford University—a manuscript, "Supportive Therapy for Cancer Patients"

Haya Stier (ISRAEL), Tel Aviv University—a monograph about work, welfare and family life among inner-city minority populations (with Marta Tienda)

Bianca Tarozzi (ITALY), University of Verona—a collection of poetry

Augusta Read Thomas (U.S.A.), Eastman School of Music, Rochester—a standard opera in three acts, "Dreams in the Cave of Eros" (with Leshe Dunton-Downer)

Cecelia Tichi (U.S.A.), Vanderbilt University—a study, "American Bodies, American Environment"

Marta Tienda (U.S.A.), University of Chicago—a monograph about work, welfare, and family life among inner city minority populations (with Haya Stier)

Oyewale Tomori (NIGERIA), World Health Organization, Harare—a study, "The Society and the African Health Scientist: A Dialogue of the Partial Deaf"

N. Frank Ukadike (U.S.A.), University of Michigan, Ann Arbor—a study, "A Questioning Cinema: Conversations with Black African Filmmakers"

Serena Urry (U.S.A.), Detroit Institute of Arts—a series of lectures on the conservation of "Tobias and the Three Archangels" by Neri di Bicci

Mihai Ursachi (ROMANIA), Iasi, Romania—a book describing his 10 years political exile in the United States

Inese Vaidere (LATVIA), University of Latvia—a study, "Regional Policy in Latvia: The Chance to Create New Job Opportunities"

Sim Van der Ryn (U.S.A.), Ecological Design Institute, Sausalito—a monograph on ecological architecture

Ibolya Vari-Szilagyi (HUNGARY), Institute for Psychology, Hungarian Academy of Sciences, Budapest—monographs on social representation of success in recent Hungary and on legal socialization and citizenship education

Ann Vasaly (U.S.A.), Boston University—a study, "Personality and Power: Paradigmatic Narrative in Livy's First Pentad"

Jef Verschueren (BELGIUM), Belgian National Science Foundation, Antwerp—a study, "Theory Formation in Linguistic Pragmatics"

Joseph Vining (U.S.A.), University of Michigan, Ann Arbor—a manuscript, "Retribution and Reality"

Wang Xiaoming (PRC), East China Normal University, Shanghai—a study, "China's Modern Culture and the Genesis of Her New Literature"

Charles H. Webb (U.S.A.), Indiana University, Bloomington—a series of descants and free harmonizations for hymns of all faiths

Charles Westoff (U.S.A.), Princeton University—a study, "Demographic and Health Implications of Birth Spacing in Africa"

David Wiggins (U.K.), University of Oxford—a book on the philosophy of morality

Richard Wilson (U.S.A.), Vassar College—a symphony to be performed by the American Symphony Orchestra

William C. Wimsatt (U.S.A.), University of Chicago—a manuscript, "The Evolution of Generative Structures"

Michael Woods (U.S.A.), Pittsburgh Post-Gazette/Toledo Blade, Washington, D.C.—a manuscript, "Combating World Hunger: The Untold Story of CGIAR."

AGRICULTURAL SCIENCES

Crop Biotechnology

Biotechnology for Marginal-Land Food Crops
1997 appropriation of \$600,000 in addition to previous funding for allocation by the officers to continue building and strengthening biotechnology research networks working on tropical food crops grown on marginal lands.

Brazilian Agricultural Research Enterprise, Brasília, Brazil
\$30,000 for research on the genetic diversity of cassava

Brazilian Agricultural Research Enterprise, Brasília, Brazil
\$43,000 for a study of the use of DNA markers for phylogeny reconstruction in the genus *Manihot* and analysis of genetic diversity in cassava, to be undertaken by Biotechnology Career Fellow Luiz J. C. B. Carvalho under the direction of Barbara A. Schaal, Department of Biology, Washington University, St. Louis, Missouri

Haryana Agricultural University, Hissar, India \$64,825 for research on mapping and molecular tagging of genes for disease resistance in sorghum

Haryana Agricultural University, Hissar, India \$44,700 for a

study of mapping and molecular tagging for genes for disease resistance in sorghum to be undertaken by Biotechnology Career Fellow Khazan S. Boora under the direction of Clint Magall, Department of Plant Pathology and Microbiology, Texas A&M University, College Station, Texas

International Center for Tropical Agriculture, Cali, Colombia
\$170,000 for research on the construction of a molecular map of cassava, the development of PCR-based markers, and their use in cassava improvement

International Institute of Tropical Agriculture, Ibadan, Nigeria
\$48,325 to support an analysis of information documented under the Collaborative Study of Cassava in Africa

Yvonne Lokko, Accra, Ghana.
\$60,000 to conduct research at the International Institute of Tropical Agriculture, leading to a Ph.D. degree from the University of Ibadan, on the genetic analysis of host plant resistance to African cassava mosaic disease

Scripps Research Institute, La Jolla, California \$302,992 for research on the genetic engineering of cassava for virus resistance

Rice Biotechnology
1997 appropriation of \$4,775,000 in addition to previous funding for allocation by the officers to continue support for the Foundation's international program on rice biotechnology.

Agricultural Genetics Institute, Hanoi, Vietnam \$4,750 to enable staff member Phan To Phuong, selected by the Institute, to receive training in rice biotechnology at the International Laboratory for Tropical Agricultural Biotechnology/Scripps Research Institute, La Jolla, California

Agriculture Research Organization, Bet Dagan, Israel
\$23,180 for use by its Volcani Center, Institute of Field and Garden Crops, for research on the effect of genes affecting root development and osmotic adjustment on rice productivity under conditions of drought stress

Niranjan Baisakh, Cuttack, India \$22,000 for a Dissertation Fellowship in Rice Biotechnology for research on rice transformation at the International Rice Research Institute, Manila, Philippines under the direction of Swapna Datta

CAMBIA, Canberra, Australia
\$100,000 toward the costs of dissemination of techniques for rice transgenesis and molecular biology within the international rice biotechnology network.

Central Research Institute for Food Crops, Bogor, Indonesia
\$75,000 for research on marker-assisted discovery and transfer of "wild" quantitative trait loci into Indonesian rice cultivars

Central Research Institute for Food Crops, Bogor, Indonesia
\$115,000 for use by its Research Institute for Food Crops Biotechnology for research on the population genetics of the rice blast pathogen and rice improvement in Indonesia

China National Rice Research Institute, Hangzhou, China
\$17,000 to enable staff member Han-Wei Mei, selected by the Institute, to receive training in rice biotechnology at Texas A&M University, College Station, Texas

China National Rice Research Institute, Hangzhou, China
\$15,950.74 for research leading to the application of novel strategies to rice improvement in China

China National Rice Research Institute, Hangzhou, China
\$60,000 for research on DNA marker-assisted selection for hybrid rice

China National Rice Research Institute, Hangzhou, China
\$25,000 for the purchase of journals and periodicals for use by the CNRRI Research Library

China National Rice Research Institute, Hangzhou, China
\$10,000 for research on the genetic diversity and geographic distribution of rice blast fungus in China

Chinese Academy of Agricultural Sciences, Beijing, China
\$10,000 for use by its Biotechnology Research Center for the research project titled, "Field test of transgenic rice Minghui 63 with Xa21 gene in China"

Chinese Academy of Agricultural Sciences, Beijing, China
\$40,000 for use by its Biotechnology Research Center for research to protect rice plants against lepidopteran insects

Chinese Academy of Agricultural Sciences, Beijing, China
\$25,000 for use by its Biotechnology Research Center toward the costs of collaborative research in rice biotechnology between the Center and the International Laboratory for Tropical Agricultural Biotechnology, La Jolla, California

Chinese Academy of Sciences, Beijing, China \$30,240 for use by its Institute of Genetics for research to complete and apply the RFLP map of rice

Chinese Academy of Sciences, Beijing, China \$20,000 for use by its Institute of Microbiology for research on the genetic engineering of rice for resistance to rice dwarf virus

Chinese Academy of Sciences, Beijing, China \$20,000 for use by its Institute of Microbiology for research on rice yellow stunt virus

Chinese Academy of Sciences, Beijing, China \$1,300 for use by its Shanghai Institute of Plant Physiology for research on regulation of expression of the rice waxy (Wx) gene

Chinese Academy of Sciences, Beijing, China \$20,000 for use by its Institute of Genetics for research on the improvement of insect resistance in rice

John Dillé, Rock Hill, South Carolina \$55,000 toward the costs of publication of Rice Biotechnology Quarterly

Foundation-administered project: \$1,401 toward the costs of a regional meeting of the International Rice Biotechnology Program held in New Delhi, India, in November 1996

Foundation-administered project: \$205,000 toward the costs associated with implementing the Foundation's program for the genetic improvement of developing-country cereals

Foundation-administered project: \$350,000 toward the costs associated with the eighth annual meeting of the International Program on Rice Biotechnology held in Malacca, Malaysia, September 15-20, 1997

Foundation-administered project: \$37,600 for administrative support of the Rockefeller Foundation biotechnology program in India

Fudan University, Shanghai, China \$40,000 for use by its Baoshan Biotechnology Station for research on rice anther culture

Fudan University, Shanghai, China \$40,000 for research on rice resistance to brown planthopper and bacterial blight

G. B. Pant University of Agriculture and Technology, Pantnagar, India \$43,100 for a Biotechnology Career Fellowship in Agricultural Sciences for Jatinder Kumar to enable him to conduct research on the genetic diversity of Himalayan rice blast populations under the direction of R. S. Zeigler at the International Rice Research Institute, Manila, Philippines

G. B. Pant University of Agriculture and Technology, Pantnagar, India \$25,000 for research on the genetic diversity of Himalayan rice blast populations

Gyeongsang National University, Chinju, South Korea \$60,000 for research on the identification and isolation of rice genes

Haryana Agricultural University, Hisar, India \$28,300 renewal of award for a study of Agrobacterium-mediated transfer of useful genes in Basmati rice to be undertaken by Biotechnology Career Fellow Vijay K. Chowdhury, under the direction of Thomas K. Hodges, Department of Botany and Plant Pathology, Purdue University, West Lafayette, Indiana

Haryana Agricultural University, Hisar, India \$44,700 for a study of genetic transformation of Basmati rice using novel genes that can potentially improve drought and salt tolerance to be undertaken by Biotechnology Career Fellow Rajinder K. Jain under the direction of Ray Wu, Section of Biochemistry, Molecular & Cell Biology, Cornell University, Ithaca, New York

Haryana Agricultural University, Hisar, India \$45,500 for a study of genes from rice panicle involved in drought-induced male sterility to be undertaken by Biotechnology Career Fellow Inder Singh Sheoran under the direction of H. S. Sauni, Institut de Recherche en Biologie Végétale, University of Montreal, Quebec, Canada

Huazhong Agricultural University, Wuhan, China \$20,000 for research on the genetic manipulation of antifungal substances from bacteria

Huazhong Agricultural University, Wuhan, China \$100,000 for research on the application of molecular markers to hybrid rice breeding

Huazhong Agricultural University, Wuhan, China \$44,300 for a study of utilization of new resistance sources to improve resistance to fungal pathogens in cereals (including wheat and rice) by genetic engineering to be undertaken by Biotechnology Career Fellow Liao Yu-Cai under the direction of Paul Christou, Laboratory for Transgenic Technology & Metabolic Pathway Engineering, John Innes Centre, Norwich, United Kingdom

Indian Council of Agricultural Research, New Delhi, India \$17,000 for use by its Directorate of Rice Research to enable staff member Umesh Kumar Reddy, selected by the Directorate, to receive training in rice biotechnology at Texas A&M University, College Station, Texas

Indian Council of Agricultural Research, New Delhi, India \$50,000 for use by its Research Complex for the North East Hills Region for research on the genetic manipulation of rice for increased productivity

Indian Council of Agricultural Research, New Delhi, India \$358,300 for use by its Research Complex for the North East Hills Region for research on the transfer of male sterility in indica rice through protoplast fusion

Indian Institute of Science, Bangalore, India \$83,970 for research on cloning developmentally important genes from Arabidopsis thaliana and Oryza sativa

Indian Institute of Technology, Kharagpur, India \$55,000 for use by its Biotechnological Research and Extension Foundation for research on the production of transgenic indica rice plants with useful genes

Indian Institute of Technology, Kharagpur, India \$47,500 for a study of development of PHY

genes as markers for photoperiod-induced genetic male sterility in rice, to be undertaken by Biotechnology Career Fellow Debrabata Basu under the direction of Peter H. Quail, Department of Plant Biology, University of California, Berkeley, California.

Indira Gandhi Agricultural University, Raipur, India \$43,000 for research on marker-assisted selection for gall midge resistance in rice

Indira Gandhi Agricultural University, Raipur, India \$28,702 for a Biotechnology Career Fellowship in Agricultural Sciences for Sanjay K. Katiyar to enable him to conduct research on marker-assisted selection for gall midge resistance in rice under the direction of John Bennett, at the International Rice Research Institute, Manila, Philippines

Indonesian Institute of Sciences, Bogor, Indonesia \$43,470 for use by its Research and Development Centre for Biotechnology for research on the transformation of Indonesian cultivars of rice

Institute of Biotechnology, National Center for Natural Science and Technology, Hanoi, Vietnam \$60,070 for research on molecular mapping of quantitative trait loci (QTLs) for root traits related to drought resistance in upland rice

Institute of Biotechnology, National Center for Natural Science and Technology, Hanoi, Vietnam \$46,300 for a study of molecular mapping of QTLs for root traits related to drought resistance in upland rice to be undertaken by Biotechnology Career Fellow Nguyen Duc Thanh under the direction of Henry T. Nguyen, Department of Plant and Soil Science, Texas Tech University, Lubbock, Texas

Institute of Crop Breeding and Cultivation, Chinese Academy of Agricultural Sciences, Beijing, China \$10,000 for research on rice bacterial blight resistance genes in China

International Center for Tropical Agriculture, Cali, Colombia \$160,000 for research on rice genetic mapping and disease resistance

International Rice Research Institute, Manila, Philippines \$500,000 for the continuation of research on the application of biotechnology to rice improvement

John Innes Centre, Norwich, England \$100,000 toward the costs of establishing a rice transformation training and technology development center

King Mongkut's Institute of Technology Ladkrabang, Bangkok, Thailand \$15,000 for research on Thai rice genetic transformation by Agrobacterium

Lehman College, Bronx, New York \$100,000 for research on improvement of the carotenoid content of rice endosperm

A. N. Lahiri Majumder, Calcutta, India \$46,300 for a Biotechnology Career Fellowship in Agricultural Sciences to study inositol metabolism in relation to environmental stress in rice (*Oryza sativa*) under the direction of Hans Bohnert, Department of Biochemistry, University of Arizona, Tucson, Arizona

Madan Mohan, New Delhi, India \$6,921 to cover over expenditures incurred in the travel and per diem items of his Biotechnology Career Fellowship

National Center for Genetic Engineering and Biotechnology, Bangkok, Thailand \$848 for a study of the organization of large DNA fragment clones in the rice genome to be undertaken by Biotechnology Career Fellow Somvong Tragoonrungs under the direction of Nori Kurata, Rice Genome Research Program, National Institute of Agrobiological Resources, Tsukuba, Japan

National Center for Genetic Engineering and Biotechnology, Bangkok, Thailand \$29,744 for research on the development of a two-line hybrid system for Thai rice

La Tuan Nghia, Hanoi, Vietnam \$21,234 for a Dissertation Fellowship in Rice Biotechnology for research on the analysis of blast pathogen populations and rice blast resistance in Vietnam, at the International Rice Research Institute under the direction of Hei Leung

Ohio State University, Columbus, Ohio \$80,000 for research on the determination of receptor binding properties of various delta-endotoxins of midguts of rice stem borers and leaf folders

Osmania University, Hyderabad, India \$77,619 for research on the genetic engineering of rice for insect resistance

Osmania University, Hyderabad, India \$28,300 for continuation of a study of genetic engineering of rice for resistance to insect pests to be undertaken by Biotechnology Career Fellow K. Venkateswara Rao, under the direction of Thomas K. Hodges, Department of Botany and Plant Pathology, Purdue University, West Lafayette, Indiana

Peking University, Beijing, China \$30,000 for research on disease resistance genes of rice

Philippine Rice Research Institute, Maligaya, Philippines \$29,000 for research on map based cloning of tungro resistance genes in rice

Pondicherry University, Pondicherry, India \$45,500 for a study of genetic engineering of genes encoding defense protein for the management of sheath diseases in rice to be undertaken by Biotechnology Career Fellow Natarajan Sakthivel under the direction of S. Muthukrishnan, Department of Biochemistry, Kansas State University, Manhattan, Kansas

Punjab Agricultural University, Ludhiana, India \$70,000 toward the costs of research on molecular marker-aided selection for the transfer of bacterial blight resistance genes into rice

Purdue University, West Lafayette, Indiana \$50,000 toward the cost of research on the regeneration of rice plants from callus, cells and protoplasts

Rice Research Institute, Bangkok, Thailand \$10,300 to enable staff member Hathairat Urairong, selected by the Institute, to receive training in rice biotechnology at the International Rice Research Institute, Philippines

Rice Research Institute, Bangkok, Thailand \$10,300 to support work to be carried out at the International Rice Research Institute to increase and characterize the doubled-haploid and recombinant inbred rice populations produced at the Ubon Rice Research Center, Thailand

Rutgers University, Piscataway, New Jersey \$100,000 for research on plastid engineering for rice improvement

Scripps Research Institute, La Jolla, California \$83,273 toward the costs of establishing a rice transformation training center

Shandong Agricultural University, Taian, China \$20,000 for research on the establishment of efficient rice transformation systems and bioengineered resistance of rice to insects

South China Agricultural University, Guangzhou, China \$30,000 for research on detecting and following the inheritance of important rice genes by linkage with molecular markers

South China Agricultural University, Guangzhou, China \$1,285.86 for research on molecular mapping of genes controlling

cytoplasmic male sterility and fertility restoration in rice

South China Agricultural University, Guangzhou, China \$40,000 for research on the development of an artificial chromosome vector system for map-based cloning of rice genes

Swiss Federal Institute of Technology, Zurich, Switzerland \$150,000 for research on the genetic engineering of provitamin A biosynthesis in rice endosperm

Tamil Nadu Agricultural University, Coimbatore, India \$19,500 to enable staff member P. Nagarajan, selected by the University, to receive training in rice biotechnology at Texas A&M University, College Station, Texas

Tamil Nadu Agricultural University, Coimbatore, India \$27,500 for research on marker-assisted selection for leaf folder resistance in rice

Tamil Nadu Agricultural University, Coimbatore, India \$52,700 for research on mapping resistance genes to yellow stem borer in rice

Tamil Nadu Agricultural University, Coimbatore, India \$55,600 for research on marker-assisted selection for drought resistance improvement in rice

Tamil Nadu Agricultural University, Coimbatore, India \$44,700 for a study of molecular marker-assisted selection for drought resistance improvement in rainfed lowland rice (*Oryza sativa* L.) to be undertaken by Biotechnology Career Fellow Ranganathan Chandra Babu under the direction of Henry T. Nguyen, Department of Plant and Soil Science, Texas Tech University, Lubbock, Texas

Tata Institute of Fundamental Research, Bangalore, India \$70,000 for use by its National Centre for Biological Research for research on the molecular biology and transformation of rice in India

Tata Institute of Fundamental Research, Bangalore, India \$18,250 to enable staff member Ganga Rao, selected by the Institute, to receive training in rice biotechnology at the International Laboratory for Tropical Agricultural Biotechnology/Scripps Research Institute, La Jolla, California

Texas A&M University, College Station, Texas \$50,000 for use by the Texas Agricultural Experiment Station for research on the development of an integrated map of the rice genome

Tribhuvan University, Kathmandu, Nepal \$91,450 for use by its Institute of Agriculture and Animal Research for research on the characterization and improvement of Nepalese rice germplasm using molecular techniques

University of Costa Rica, San José, Costa Rica \$16,805 to enable staff member Griselda Arietta Espinoza, selected by the University, to receive training in rice biotechnology at the International Laboratory for Tropical Agricultural Biotechnology/Scripps Research Institute, La Jolla, California

University of Delhi, New Delhi, India \$48,100 for a study of anti-sense RNA-mediated resistance to viral diseases in rice to be undertaken by Biotechnology Career Fellow Manchakatta V. Rajam under the direction of Claude M. Fauquet, International Laboratory for Tropical Agricultural Biotechnology/Scripps Research Institute, La Jolla, California

University of Dhaka, Dhaka, Bangladesh \$700 for research on the genetic manipulation of local Bangladeshi rice varieties to increase their tolerance to salt

University of Dschang, Dschang, Republic of Cameroon \$13,050 to enable Mane-Noelle Ndjondjop, selected by the University, to receive training in rice biotechnology at the International Laboratory for Tropical Agricultural Biotechnology/Scripps Research Institute, La Jolla, California

University of Hyderabad, Hyderabad, India \$7,125 to enable staff member G. Madhuri, selected by the University, to continue training in rice biotechnology at the International Laboratory for Tropical Agricultural Biotechnology/Scripps Research Institute, La Jolla, California

University of Hyderabad, Hyderabad, India \$19,112 for a study, "Community of Rice Researchers in India. A Study of the National Rice Biotechnology Network."

University of Hyderabad, Hyderabad, India \$75,460 for research on the anthocyanin biosynthetic pathway and disease resistance in rice

University of Madras, Madras, India \$44,700 for a study of development of methods for standardization, entry and access of Magnaporthe grisea lineage data in the rice blast database to be undertaken by Biotechnology Career Fellow S. S. Gnanamanickam under the direction of Sally A. Leong, Department of Plant Pathology, University of Wisconsin, Madison, Wisconsin

University of Madras, Madras, India \$70,000 for research on the biological control of the rice blast fungus, *Puccinia grisea*

University of the Philippines, Los Baños, College, Laguna, Philippines \$31,294 for research on improving insect resistance in rice

University of Wisconsin, Madison, Wisconsin \$8,000 for research on the genetic analysis and cloning of a rice blast resistance gene

West Africa Rice Development Association, Bouaké, Ivory Coast \$175,950 for research on the application of biotechnology to the improvement of African rice varieties

Zhejiang Agricultural University, Hangzhou, China \$60,000 for research on rice genes for resistance to bacterial and fungal disease

Zhejiang Agricultural University, Hangzhou, China \$60,000 for research on major soil related stresses of rice.

Zhejiang Agricultural University, Hangzhou, China \$60,000 for research on insect resistance evaluation in transgenic rice

Biosafety and Intellectual Property Rights
1995 appropriation of \$300,000 for allocation by the officers to help developing countries implement effective systems for dealing with the biosafety and intellectual property rights issues entailed in the use of plant genetic engineering and its products.

Cornell University, Ithaca, New York \$20,000 toward the costs of an International Biosafety Workshop in Chennai, India, July 21-26, 1997

International Centre for Genetic Engineering and Biotechnology, Vienna, Austria \$27,000 for the development of decision support systems for safety assessment of genetically modified crop plants

National Center for Genetic Engineering and Biotechnology, Bangkok, Thailand \$100,000 toward the costs of establishing a faculty for the introduction of genetically engineered crop plants in Thailand

Rockefeller Foundation Biotechnology Career Fellowships 1993 appropriation of \$1,140,000 (joint with HS and PS) in addition to previous funding for allocation by the officers to assist excellent young scientists based in the Third World to become leaders in the development and application of biotechnology that offers promise for improving the living conditions of poor people.

Huazhong Agricultural University, Wuhan, China \$44,300 for a study of the use of Streptomyces genes that are involved in the biosynthesis of antifungal antibiotics for rice biotechnology to be undertaken by Biotechnology Career Fellow Deng Zuxian under the direction of D. A. Hopwood, Genetics Department, John Innes Centre, Norwich, United Kingdom

National Crop Experiment Station, Rural Development Administration, Suwon, South Korea \$31,450 for a study of marker-assisted discovery and transfer of wild QTLs into elite rice cultivars using advanced backcross QTL analysis to be undertaken by Biotechnology Career Fellow Ahn Sang Nag under the direction of Susan R. McCouch, Department of Plant Breeding and Biometry, Cornell University, Ithaca, New York

University of Connecticut, Storrs, Connecticut \$400,000 to support the China Bridges International Fellowship programs

University of the Punjab, Lahore, Pakistan \$45,300 for a study of expression of Bt toxin genes in rice to be undertaken by Biotechnology Career Fellow Sheikh Riazuddin under the direction of Milton P. Gordon, Department of Biochemistry, University of Washington, Seattle, Washington

Zhongshan University, Guangzhou, China \$45,300 for a study of polymorphisms of the rice genome and molecular mapping of disease resistance genes to be undertaken by Biotechnology Career Fellow Zhang Shang-Hong under the direction of M. A. Saghai Maroof, Department of Crop and Soil Environmental Sciences, Virginia Polytechnic Institute and State University, Blacksburg, Virginia

North Korean Agriculture 1997 appropriation of \$710,000 for allocation by the officers to train North Korean agricultural scientists and to identify United States and other Asian experts and nongovernmental organizations willing to cooperate in helping North Korea address key agricultural issues over the medium term.

GRANTS IN AID

Center for Research and Advanced Studies of the National Polytechnic Institute, Mexico City, Mexico \$90,000 for research on engineering aluminum tolerance into cereals

Center for Research and Advanced Studies of the National Polytechnic Institute, Mexico City, Mexico \$47,000 for research on the production and evaluation of virus-resistant potatoes

Harvard University, Cambridge, Massachusetts \$20,000 for use by its Asia Center to support a series of mini-conferences on food security in Asia

Institute of International Development and Education in Agriculture and Life Sciences, Beltsville, Maryland \$20,000 toward the costs of preparation of a monograph, "Agriculture in China 1949-2030"

International Maize and Wheat Improvement Center, Mexico City, Mexico \$15,000 to support an International Symposium on Genetics and Exploitation of Heterosis in Crops, held in Mexico City, Mexico, August 17-22, 1997

Kasetsart University, Bangkok, Thailand \$28,000 to enable staff member Salak Phansiri, selected by the University, to receive training in cassava biotechnology at the Swiss Federal Institute of Technology, Zurich, Switzerland

Millennium Institute, Arlington, Virginia \$25,000 to support the strategy and action project for Chinese and global food security

Tata Institute of Fundamental Research, Mumbai, India \$10,000 for use by its National Centre for Biological Studies toward the costs of convening a workshop on plant development

Texas A&M University, College Station, Texas \$25,000 to support participation by Chinese nationals at the symposium, "Agriculture, Trade and Sustainable Development in Pacific Asia: China and Its Trading Partners"

United States Department of Agriculture, Beltsville, Maryland \$10,000 for use by the Plant Genome Research Program of the Agricultural Research Service for support of travel of Third World scientists to attend the Plant and Animal Genome VI meeting held January 18-22, 1998, in San Diego, California

FELLOWSHIPS

Aarti, Haryana Agricultural University, Hisar, India dissertation fellowship for research in plant molecular genetics under the direction of Henry T. Nguyen, Plant Molecular Genetics Laboratory, Texas Tech University, Lubbock, as part of a Ph.D. program at Haryana Agricultural University, Hisar, India

Antonio Andres Alfonso, Philippine Rice Research Institute, Nueva Ecija, Philippines fellowship for advanced training in plant molecular biology leading to the Ph.D. degree under the direction of Maureen Hanson, Plant Cell and Molecular Biology Program, Cornell University, Ithaca, New York

Edna Yray Ardales, University of the Philippines, Mindanao, Davao City, Philippines postdoctoral fellowship for advanced study in plant pathology under the direction of Jan E. Leach, Department of Plant Pathology, Kansas State University, Manhattan, Kansas

Shavindra Bajaj, University of Delhi, New Delhi, India postdoctoral fellowship for advanced study in plant genetics under the direction of Ray Wu, Section of Biochemistry, Molecular and Cell Biology, Cornell University, Ithaca, New York

Bijoya Bhattacharjee, ICAR Research Complex for NEH Region, Maghalaya, India for a dissertation fellowship for research in plant genetics under the direction of Bernard J. Mulligan, Department of Life Science, University of Nottingham, England, as part of a Ph.D. degree program at North-Eastern Hill University, Shillong, India

E. Chandrakanth, Osmania University, Hyderabad, India postdoctoral fellowship for advanced study in plant molecular biology under the direction of Keerti S. Rathore, Laboratory for Crop Transformation, Texas A&M University, College Station, Texas

Ashok Kumar Chhabra, Haryana Agricultural University, Hisar, India postdoctoral fellowship for advanced study in plant breeding and genetics under the direction of Gary Hart, Department of Soil and Crop Sciences, Texas A&M University, College Station, Texas

Vincent Ngepwung Fondong, Institute of Agronomic Research, Boua, Cameroon dissertation fellowship for research in plant pathology under the direction of Claude M. Fauquet, International Laboratory for Tropical Agricultural Biotechnology/Scrpps

Research Institute, La Jolla, California, as part of a Ph.D. program at the University of the Witwatersrand, Johannesburg, South Africa

Fu Xiangdong, Zhejiang Agricultural University, Hangzhou, China dissertation fellowship for research in plant molecular biology under the direction of Paul Christou, Laboratory for Transgenic Technology and Metabolic Pathway Engineering, John Innes Centre, Norwich, England, as part of a Ph.D. degree program at Zhejiang Agricultural University, Hangzhou, China

Tarun Kant, University of Rajasthan, Jaipur, India dissertation fellowship for research in rice genetics under the direction of Thomas K. Hodges, Department of Botany and Plant Pathology, Purdue University, West Lafayette, Indiana, as part of a Ph.D. degree program at the University of Rajasthan, Jaipur, India

Hirut Kebede, Biodiversity Institute, Addis Ababa, Ethiopia postdoctoral fellowship for advanced study in plant molecular biology under the direction of Henry T. Nguyen, Department of Plant and Soil Science, Texas Tech University, Lubbock, Texas

Muhammad Sarwar Khan, University of Agriculture, Faisalabad, Pakistan postdoctoral fellowship for advanced study in plant genetics under the direction of Pal Mahga, Department of Genetics, Rutgers University, Piscataway, New Jersey

Pushpa Kharb, Haryana Agricultural University, Hisar, India postdoctoral fellowship for advanced study in plant genetics under the direction of Tim Hall, Department of Biology, Texas A&M University, College Station, Texas

Li Xinqi, China National Hybrid Rice Research and Development Center, Changsha, China postdoctoral fellowship for advanced study in plant genetics under the direction of Susan R. McCouch, Department of Plant Breeding and Biometry, Cornell University, Ithaca, New York

Utpal Nath, Tata Institute of Fundamental Research, Bangalore, India postdoctoral fellowship for advanced study in plant molecular biology under the direction of Enrico Coen, Genetics Department, John Innes Centre, Norwich, England

Ngo Luc Cuong, Cuu Long Delta Rice Research Institute, Omon, Cantho, Vietnam fellowship for advanced training in entomology leading to the Ph.D. degree under the direction of Euseo P. Cadapan, Department

of Entomology, University of the Philippines, Los Baños, College, Laguna, Philippines.

Nguyen Anh Tuan, Cuu Long Delta Rice Research Institute, Cantho, Vietnam: fellowship for advanced training in plant genetics leading to the Ph.D. degree under the direction of Richard Jefferson, Center for the Application of Molecular Biology to International Agriculture, Australian National University, Canberra, Australia.

Nguyen Duy Bay, Cuu Long Delta Rice Research Institute, Cantho, Vietnam: fellowship for advanced study leading to the Ph.D. degree in plant genetics under the direction of Henry Nguyen, Plant Molecular Genetics Laboratory, Texas Tech University, Lubbock, Texas.

Ashwani Pareek, University of Delhi, New Delhi, India: postdoctoral fellowship for advanced study in plant molecular biology under the direction of Ralph Quatrano, Department of Biology, University of North Carolina, Chapel Hill, North Carolina.

Qian Xiaoyin, Fudan University, Shanghai, China: dissertation fellowship for research in plant molecular biology under the direction of Andrew H. Paterson, Department of Soil and Crop Sciences, Texas A&M University, College Station, as part of a Ph.D. degree program at Fudan University, Shanghai, China.

Mamta Rai, Indian Agricultural Research Institute, New Delhi, India: postdoctoral fellowship for advanced study in plant molecular biology under the direction of Hans Bohnert, Department of Biochemistry, University of Arizona, Tucson, Arizona.

Malabika Roy, Bose Institute, Calcutta, India: postdoctoral fellowship for advanced study in plant genetics under the direction of Ray Wu, Section of Biochemistry, Molecular and Cell Biology, Cornell University, Ithaca, New York.

Ashok Kumar Sarial, Haryana Agricultural University, Hisar, India: postdoctoral fellowship for advanced training in plant breeding and genetics under the direction of Henry T. Nguyen, Plant Molecular Genetics Laboratory, Texas Tech University, Lubbock, Texas.

Parveen Kumar Sharma, Haryana Agricultural University, Hisar, India: postdoctoral fellowship for advanced study in plant pathology under the direction of Pamela Ronald, Department of Plant Pathology, University of California, Davis, California.

Vijay Kumar Sharma, University of Delhi, New Delhi, India: postdoctoral fellowship for advanced study in plant molecular biology under the direction of Michiel van Lookeren Campagne, Centre for Plant Breeding and Reproduction, Wageningen, Netherlands.

Ram Chander Yadav, Haryana Agricultural University, Hisar, India: postdoctoral fellowship for advanced study in plant molecular biology under the direction of Pamela Ronald, Department of Plant Pathology, University of California, Davis, California.

SMALLHOLDER CROP MANAGEMENT CAPABILITY IN AFRICA

Research Fellowships in the Agricultural Sciences 1997 appropriation of \$500,000 in addition to previous funding for allocation by the officers to recruit outstanding young agricultural scientists from developed countries to assist grantee institutions in achieving their crop productivity goals.

Joseph DeVries, Venice, Florida: \$184,000 for a research fellowship in maize breeding to work on maize improvement programs for Kenya and other African countries.

Elizabeth Dyck, Orono, Maine: \$3,000 for a research in soil science in Kenya.

Beverly D. McIntyre, Brooktondale, New York: \$115,000 for research in agronomy with the National Banana Research Project in Uganda.

Forum on Agricultural Resource Husbandry in Sub-Saharan Africa 1997 appropriation of \$900,000 in addition to previous funding for allocation by the officers to strengthen graduate education at selected faculties of agriculture in eastern and southern Africa through an African-directed competitive grants program that encourages faculty members and students, in collaboration with nonuniversity personnel, to undertake multidisciplinary field research on crop and resource management.

Africa University, Mutare, Zimbabwe: \$118,000 to support phase-two research on soil management options for improved groundnut production in Zimbabwe.

Egerton University, Njoro, Kenya: \$74,700 to support research on overcoming constraints to increased groundnut production.

Foundation-administered project: \$100,000 to support Forum In-Country Workshops

held in Kenya, Malawi, Uganda and Zimbabwe in September 1997.

Foundation-administered project: \$56,600 to support an introductory workshop to be held in Uganda on research methods and data analysis for agronomists.

International Biometric Society Group Kenya, Nairobi, Kenya: \$5,000 to support the Fifth Scientific Meeting of the East, Central and Southern African Network of the International Biometric Society.

Kenya University, Nairobi, Kenya: \$89,000 for use by its Department of Zoology to support studies on the impact of agroforestry and intercropping on insect pests, their natural enemies, and arthropod biodiversity in coastal Kenya.

Makerere University, Kampala, Uganda: \$105,000 for use by the Department of Crop Science to support the development of an integrated pest management system for cowpea in Uganda.

Makerere University, Kampala, Uganda: \$105,405 to support the project, Better banana-based agriculture in Uganda (BetBan): Identifying the limiting nutrients as a basis for rehabilitating degraded banana fields.

Makerere University, Kampala, Uganda: \$15,000 for use by its Faculty of Agriculture to further the development of its programs.

Makerere University, Kampala, Uganda: \$160,500 to support research on the effects of soil moisture, plant population, and cultivars on physiological processes, yield and yield components of bananas.

Makerere University, Kampala, Uganda: \$68,160 for use by the Department of Crop Science to support research on the effect of soil, moisture, plant population and cultivar on physiological processes yield and yield components of bananas.

Makerere University, Kampala, Uganda: \$87,588 for use by its Faculty of Agriculture and Forestry to support research on the integrating of forest legumes into maize/elephant grass systems for optimizing peri-urban smallholder dairy farming.

Moi University, Eldoret, Kenya: \$120,600 to support the project, PREP - a strategy for replenishing productivity to low fertility patches in smallholders fields of western Kenya.

Moi University, Eldoret, Kenya: \$38,300 to support a phosphorus resource exploratory project for western Kenya.

University of Malawi, Zomba, Malawi: \$20,000 for use by Bunda College of Agriculture to continue support for the second phase of research investigating the potential for adoption of maize-based agroforestry technologies by smallholder farmers in southern Malawi.

University of Malawi, Zomba, Malawi: \$80,000 for use by Bunda College of Agriculture to support research technology to build African soil fertility using indigenous resources.

University of Malawi, Zomba, Malawi: \$101,552 for use by Bunda College of Agriculture to continue support for research on constraints to and potential for improving the productivity of Malawi's grain legume subsector.

University of Malawi, Zomba, Malawi: \$5,000 for use by Bunda College of Agriculture to support phase one of the proposal, "Integrated Management for Striga Control in Malawi: Characterization of Agroecosystems of Malawi in Relation to Striga Biology, Ecology and Control Practices."

University of Malawi, Zomba, Malawi: \$84,000 for use by Bunda College of Agriculture to support phase one of the proposal, "Integrated Management for Striga Control in Malawi: Characterization of Agroecosystems of Malawi in Relation to Striga Biology, Ecology and Control Practices."

University of Nairobi, Nairobi, Kenya: \$5,000 for use by the Faculty of Agriculture for a preparation grant to support a preliminary study on distribution, abundance and diversity of nematodes associated with common beans (*Phaseolus vulgaris* L.) and their effect on bean growth and nodulation in small-scale farms in Kiambu and Siaya, Kenya.

University of Zimbabwe, Harare, Zimbabwe: \$79,530 to support research on crop-protection management in maize-based cropping systems in the Chinyika Resettlement area.

University of Zimbabwe, Harare, Zimbabwe: \$77,770 to support research on integrated crop management in the Chinyika Resettlement area.

University of Zimbabwe, Harare, Zimbabwe: \$86,000 to support research on the effect of agroclimatic factors on nursery and field establishment of ex-tissue culture cassava.

Integrated Banana Research in Uganda
1997 appropriation of \$600,000 in addition to previous funding for allocation by the officers to define the factors constraining banana production in Uganda, devise improved technologies to overcome those constraints and evaluate their suitability to farm conditions.

Florence Murunga, Kampala, Uganda \$9,130 to support research on banana starch physicochemical properties

Foundation-administered project: \$8,000 toward costs associated with the Uganda banana research program

International Centre of Insect Physiology and Ecology, Nairobi, Kenya \$122,250 to support its collaboration with the Uganda National Banana Research Program on related socioeconomic studies

International Institute of Tropical Agriculture, Ibadan, Nigeria \$363,880 to continue its collaboration with the Uganda National Banana Research Program on conducting biological surveys and diagnostic and control research on bananas in Uganda

International Institute of Tropical Agriculture, Ibadan, Nigeria \$6,200 to continue research into the genetic variability in the Highland Banana in Uganda

International Institute of Tropical Agriculture, Ibadan, Nigeria \$5,000 to support attendance of developing-country nationals at the 13th Symposium of the Nematological Society of Southern Africa

Kenya Agricultural Research Institute, Nairobi, Kenya \$75,000 to support an evaluation of a tissue-culture-based system of banana plantlet distribution to smallholders

National Agricultural Research Organization, Kampala, Uganda \$62,100 to continue support of research on nutrient demand and nutrient cycling in banana-based cropping systems

Management of Maize Pests by Smallholders
1997 appropriation of \$1,300,000 in addition to previous funding for allocation by the officers to reduce yield losses caused by pests in smallholder maize-based cropping systems.

Centre for Agriculture and Biosciences International, Wallingford, England \$77,575 for use by its Institute of Biological Control for a survey of farmers in Kenya

to identify problems caused by storage insect pests, particularly the larger grain borer in farm-stored grain

Charles Changa, Wooster, Ohio \$14,248 to enable him to complete a doctoral program at Ohio State University while conducting research on maize streak virus

Foundation-administered project: \$10,000 toward administrative costs associated with implementing the Foundation's program for Maize Pest Management

International Centre of Insect Physiology and Ecology, Nairobi, Kenya \$400,000 to support its Social Sciences Department

International Institute of Tropical Agriculture, Ibadan, Nigeria: \$364,980 for research on introgressing genes for Striga hermonthica resistance from teosinte to maize

International Maize and Wheat Improvement Center, Mexico City, Mexico \$66,465 for research on introgressing genes for Striga hermonthica resistance from teosinte to maize

Kenya Agricultural Research Institute, Nairobi, Kenya \$110,000 for research on resistance to the parasitic weed Striga in African maize

Purdue University, West Lafayette, Indiana \$200,000 for research on the genetic engineering of Striga resistance in African maize

University of Chicago, Chicago, Illinois \$100,000 for research on control of the production of the maize Striga seed germination stimulant

University of Hohenheim, Stuttgart, Germany \$10,000 for research on introgressing genes for Striga hermonthica resistance from teosinte to maize

Soils Management to Overcome Yield Constraints
1997 appropriation of \$2,400,000 in addition to previous funding for allocation by the officers to identify and evaluate ways of overcoming soils-based limitations to sustainable, economic crop yields in principal agricultural soils of eastern and southern Africa.

Association for Better Land Husbandry, London, England \$90,000 to continue the development of its Land Husbandry Network Project formerly known as the Organic Matter Management Network Project, based in Kenya

Department of Agricultural Research and Technical Services, Lilongwe, Malawi \$80,000 to continue support for maize agronomy research

Environmental Action Team, Kitale, Kenya \$49,540 to continue support for adaptive research on biological alternatives for soil fertility management for maize production

Foundation-administered project: \$10,000 to cover administrative costs associated with the soils management program

International Centre for Research in Agroforestry, Nairobi, Kenya \$200,000 to continue support for research on the biophysical, social and economic limits to sustainable soil management in eastern Africa

International Crops Research Institute for the Semi-Arid Tropics, Andhra Pradesh, India \$56,222 to support research in Malawi on methodology to develop practical soil fertility technologies through farmer research partnerships

International Maize and Wheat Improvement Center, Mexico City, Mexico \$250,000 to continue the development of a soil fertility research network to improve the productivity of smallholder maize-based cropping systems in countries of southern Africa

Kenya Agricultural Research Institute, Nairobi, Kenya \$120,000 to continue support for on-farm research in improved soil management at sites of the Kisumu Regional Research Centre

Kenya Agricultural Research Institute, Nairobi, Kenya \$84,260 to continue support for the coordination of soil management projects at sites in Kisumu, Kitale and Katumani

Kenya Agricultural Research Institute, Nairobi, Kenya \$9,074 to support attendance of two researchers at an international workshop on green manure and cover crops for smallholders in tropical and subtropical regions held in Brazil, April 6-13, 1997

Kenya Agricultural Research Institute, Nairobi, Kenya \$121,290 to continue support for on-farm research in improved soil management at the Kitale Regional Research Center

Kenya Agricultural Research Institute, Nairobi, Kenya \$80,000 for use by the National Dryland Farming Research Centre-Katumani to support collaboration on agricultural/resource modeling and applications in semi-arid Kenya

Kenya Agricultural Research Institute, Nairobi, Kenya \$144,200 for use by its National Agricultural Research Center,

Muguga, to support research on the integrated use and effects of manure with modest application of inorganic fertilizers on soil properties and maize production in the central Kenya highlands.

Kenya Agricultural Research Institute, Nairobi, Kenya \$50,000 to continue support for screening trials of legume species for adaptability to varying agroecological conditions and land-management systems in Kenya

Kenya Agricultural Research Institute, Nairobi, Kenya \$25,000 for use by the ISNAR/KARI/MIAC collaboration to support natural resources management and regional research program priority setting

Kenya Agricultural Research Institute, Nairobi, Kenya \$4,000 for use by its National Agricultural Research Laboratories to support a workshop on post-diagnostic methodology development in farmer participatory research in Kenya

Ministry of Agriculture, Zimbabwe, Harare, Zimbabwe \$176,500 for use by the Department of Research and Specialist Services to continue support for soil fertility research

Ministry of Agriculture, Zimbabwe, Harare, Zimbabwe \$175,000 to continue support for institutional reform in the national agricultural research system of Zimbabwe

Ministry of Finance, Malawi, Lilongwe, Malawi \$40,000 to provide consultancy support to agricultural policy analysis in the Malawi Government

Research Extension and Marketing for Integrated Development, Nairobi, Kenya \$29,500 to support the exploration of the use of a soil fertility kit to enable smallholder farmers to select appropriate fertilizer types for improved food security in the Siaya District of Kenya

Siaya Community Oriented Development Programme, Siaya, Kenya \$61,200 to support the exploration of use of soil fertility kits to enable smallholder farmers to select appropriate fertilizer types for improved food security

Tropical Soil Biology and Fertility Programme, Nairobi, Kenya \$9,100 to support attendance of three scientists from Africa at a workshop hosted by the Global Change and Terrestrial Ecosystems Impacts Center in Bogor, Indonesia

Tropical Soil Biology and Fertility Programme, Nairobi, Kenya \$330,000 to continue support for its networking and research activities in Africa

University of Nairobi, Nairobi, Kenya \$26,740 for use by its Department of Soil Science to support research on nutrient replenishment, banana restoration and legume inoculant improvement

University of Nairobi, Nairobi, Kenya \$26,000 for use by its Department of Soil Science to support phase three of the Rhizobium Ecology Network of east and southern Africa

University of Zimbabwe, Harare, Zimbabwe \$79,810 to continue support for research to promote integration of soybean in smallholder cropping systems in Zimbabwe

Village Enterprise Zone Associations International, Chicago Illinois \$130,000 to support the continuation of its work in Malawi on agricultural research and food security

Paul Woomey, Nairobi, Kenya \$91,200 to conduct research in Kenya and Uganda on nutrient replenishment, banana restoration and legume inoculant improvement

Wye College, Ashford, England \$50,000 to support soils research in Africa

Initiative for Development and Equity in African Agriculture 1996 appropriation of \$950,000 for allocation by the officers to empower a group of key agricultural leaders in southern Africa to take command of the problems of smallholder agriculture, develop solutions to those problems and act to implement solutions

Foundation-administered project \$109,000 for the development phase of the Initiatives for Development and Equity in African Agriculture program

University of Zimbabwe Harare, Zimbabwe \$820,000 for use by its Department of Agricultural Economics and Extension to support the program

Social Science Research Fellowships in Agriculture 1996 appropriation of \$650,000 in addition to previous funding for allocation by the officers to build a cadre of outstanding North American and African social scientists having experience as members of multidisciplinary teams of national and interna-

tional agricultural research institutes and, in the process, to help those institutes (1) enhance their outreach to national agricultural research systems and (2) incorporate farmer knowledge into problem identification and research strategy formulation

Brian Murray Belcher, St Germain, Manitoba, Canada \$136,300 to enable him to study forest livelihood strategies and the dynamics of forest related development projects in Indonesia, while located at the Center for International Forestry Research Bogor, Indonesia

Christopher Michael Edmonds, San Francisco, California \$111,300 to enable him to study the sustainability of rice based production systems in unfavorable rained environments, while located at the International Rice Research Institute, Los Banos, Philippines

David Stuart Edmunds Denver, Colorado \$125,200 to enable him to study forest livelihood strategies and devolution of forest management to local people in Indonesia, Latin America and east Africa, while located at the Center for International Forestry Research, Bogor, Indonesia

Foundation-administered project \$50,000 for administrative expenses of the program

International Livestock Research Institute, Nairobi, Kenya \$22,500 for publication costs of the proceedings of the Social Science Research Fellowship program workshop held at the International Livestock Centre for Africa in November 1994

Nancy Leigh Johnson, St Paul Minnesota \$104,300 to enable her to study institutional and market development for community management of hillside watershed resources, while located at the International Center for Tropical Agriculture, Cali, Colombia

Joseph Oluoch Otieno, South Nyanza, Kenya \$125,175 to enable him to study the dynamics of sweet potato production, marketing and processing in Uganda, while located at the International Potato Center project in Kampala, Uganda

Career Development Fellowships in the Agricultural Sciences 1994 appropriation of \$400,000 for allocation by the officers to assist agricultural institutions in Kenya, Malawi, Uganda and Zimbabwe—the African focus countries under Agricultural Sciences—to retain highly productive staff.

Joseph G Mureithi Nairobi Kenya \$102,300 for a Career Development Fellowship to conduct research in soil science in Kenya

Felix I. Nweke, Ibadan, Nigeria \$19,550 for a Career Development Fellowship in the Agricultural Sciences to conduct research while at Michigan State University on the data from the Collaborative Study of Cassava in Africa

Cornell University, Ithaca, New York 1997 appropriation of \$950,000 for use by its **Albert R. Mann Library** to create a transportable electronic library of the agricultural journal literature of greatest importance to the developing world.

Essential Agricultural Library 1991 appropriation of \$350,000 for allocation by the officers to complete the identification of a core agricultural sciences library for developing countries and to facilitate production of the full text in electronic format

Cornell University, Ithaca, New York \$140,617 for use by its **Albert R. Mann Library** to test the feasibility of and pre production planning for an agricultural compact disk library for the developing world

GRANTS IN AID

Harvard University, Cambridge, Massachusetts \$80,000 for use by the Harvard Institute for International Development to provide technical assistance to policy units in the Ministries of Economic Planning and Development, and of Agriculture of the Government of Malawi

International Livestock Research Institute, Nairobi, Kenya \$45,000 to develop collaboration with the International Maize and Wheat Improvement Center and other international agricultural research centers and national agricultural research systems, a spatially referenced crop and livestock production database for eastern and southern Africa

International Maize and Wheat Improvement Center, Mexico City, Mexico \$16,600 to facilitate participation by maize scientists from east Africa at international symposia

Kenya Agricultural Research Institute, Nairobi, Kenya \$20,000 to support an international conference of participants in the collaborative project monitoring nutrient transfers to quantify the productivity and sustainability of agroecosystems the NUTMON project held in Nairobi, February 25-27, 1997

Kenya Agricultural Research Institute, Nairobi, Kenya \$15,000 to support in country costs associated with participation in the Wye College External Program by members of its Socio Economics and Biometrics Division

Agnes Kihurani, Nairobi, Kenya \$16,500 to support research on post harvest spoilage of sweet potato storage roots in Kenya

Ministry of Agriculture, Zimbabwe, Harare, Zimbabwe \$250,000 for use by the Department of Research and Specialist Services to continue support for soil fertility research

Geoffrey R. Njeru, Nairobi, Kenya \$2,700 to support a study, Technical Change and Entrepreneurship in a Marginal Area The Case of Agricultural Innovators in Mbeere, Eastern Kenya

Soil and Water Conservation Society, Ankeny, Iowa \$10,000 to support participation of invited speakers from developing countries in the symposium, "Global Challenges in Ecosystem Management in a Watershed Context"

University of Nairobi, Nairobi, Kenya \$8,300 for use by its Department of Soil Science to support student participation in a research project of field monitoring of seasonal surface soil loss and erosion induced nutrient losses in maize legume based cropping systems in Kenya

University of Nairobi, Nairobi, Kenya \$9,500 for use by its Department of Geography to support student participation in its joint project with the University of Berne, Developing Natural Resources Management Tools for Use by Land Users, Planners and Policymakers at Site, Catchment and River Basin Scales

University of Zimbabwe, Harare, Zimbabwe \$62,000 to support the establishment of a nitrogen fixation facility

University of Zimbabwe, Harare, Zimbabwe \$170,000 for use by its Department of Soil Science and Agricultural Engineering to support the implementation of a fertilizer based soil management package for small scale producers in Zimbabwe

Wageningen Agricultural University Wageningen, Netherlands \$8,000 for use by its Department of Terrestrial Ecology and Nature Conservation toward the costs of a workshop and preparation of a book on the benefits and costs of wildlife in Africa

Wye College, Ashford, England \$42,000 to support training in its

External Programme of six members of the Socio-Economics and Biometrics Division of the Kenya Agricultural Research Institute

FELLOWSHIPS

Rachel Chikwamba, Scientific & Industrial Research & Development Centre, Harare, Zimbabwe fellowship for advanced training in agronomy leading to the Ph D degree under the direction of Kan Wang, Department of Agronomy, Iowa State University, Ames, Iowa

Daphrose Gahakwa, Ministry of Agriculture, Kampala, Uganda fellowship for advanced training in maize genetic engineering leading to the Ph D degree under the direction of Paul Christou, John Innes Centre/University of East Anglia, Norwich, England

Elkana M. Nyambati, Kenya Agricultural Research Institute, Kitale, Kenya fellowship for advanced training in soil management leading to the Ph D degree under the direction of Lynn E. Soltenberger, Department of Agronomy, University of Florida, Gainesville, Florida

Management of Natural Resources

Natural Resources Management
—Mexico
1996 appropriation of \$2,200,000 in addition to previous funding for allocation by the officers to evaluate potentially sustainable, natural resource management systems for small farmers in diverse marginal areas of Mexico through collaborative partnerships of smallholders, researchers and members of nongovernmental organizations.

Autonomous University of Chapingo, Chapingo, Mexico \$80,000 to support project design, coordination and monitoring of a multi-institutional research program in green manure cover crops with smallholders in southeast Mexico

Autonomous University of Chapingo, Chapingo, Mexico \$5,000 to support the consolidation and expansion of its Agroecology Program

Autonomous University of Chapingo, Chapingo, Mexico \$20,000 to continue support for the project, Sustainable Development of Agro-Ecosystems in the South of the State of Sinaloa, jointly carried out with the National Institute on Forest and Agriculture Research

Colegio de Postgraduados, Montecillo, Mexico \$70,000 for use by its Center for the Humid Tropics in Veracruz for research on natural resources management in the wetlands of the low Papaloapan River watershed

Community Development of the Tuxtla, Veracruz, Mexico \$25,000 to continue support for a project to validate and promote improved farming practices for maize-based systems among smallholders in the State of Veracruz

Foundation-administered project: \$30,000 to support project design, coordination and monitoring of a multi-institutional research program in green manure cover crops with smallholders in southeast Mexico

Foundation-administered project: \$72,000 to support administrative and network activities

Interdisciplinary Group for Appropriate Rural Technologies, Michoacan, Mexico \$55,000 to support the validation and diffusion of MEMIS, a framework to evaluate the sustainability of natural resources management systems in Mexico

Línea Biósfera, Chiapas, Mexico \$30,000 to continue support for activities related to the project, "GMCC systems Assessment of their potential and contribution toward a sustainable agriculture"

Maderas del Pueblo del Sureste, Maderas, Mexico \$50,000 to continue support for a project concerned with evaluation and validation of agricultural technologies for peasant farmers in Chimalapas, Oaxaca, Mexico

Majomut Union of Cooperative Farmers and Communities of Coffee Growers, Chiapas, Mexico \$100,000 to continue support for a project, Research and Promotion of Adequate Technology for Coffee Cultivation in the Region of Los Altos de Chiapas

National Autonomous University of Mexico, Mexico City, Mexico \$70,000 for use by its School of Science to continue research on sustainable management of natural resources in the community of San Nicolas Zoyatlán, State of Guerrero, Mexico

Servicios para el Desarrollo, Ixmiquilpan, Mexico \$23,000 to continue support for natural resources management improvement in the Valley of Mezquital, State of Hidalgo, Mexico

Agroforestry—Curriculum Development
1994 appropriation of \$500,000 for allocation by the officers to encourage the development of sustainable agriculture systems in Latin America and Southeast Asia by establishing independent, graduate-level programs in agroforestry in Mexico and Thailand that can serve regional needs.

Autonomous University of Chapingo, Chapingo, Mexico \$50,000 to support the consolidation and expansion of its Agroecology Program

GRANTS IN AID

Salvador Hernandez Daumas, Oaxaca, Mexico \$8,000 in support of research to validate a computer model of an agroforestry system appropriate for tropical grass

International Centre for Research in Agroforestry, Nairobi, Kenya \$22,000 to support soil fertility recapitalization and replenishment project activities in Vihiga and Siaya Districts of Kenya

Network for Studies on Rural Development, Chapingo, Mexico \$150,000 to support the project, Natural Resource Management in Mexico Consolidation of the Network

Proyecto Sierra Santa Marta, Veracruz, Mexico \$8,000 to support a workshop on control of pests and diseases of maize and other important crops based on local resources for smallholders in southeast Mexico

Technical Institute of Agriculture No. 16, Quintana Roo, Mexico \$15,000 to support the project, Management of Fallow Vegetation for Multistrata Agroforestry Systems, to be conducted in collaboration with the Forestry Community of Maya Region

Vicente Guerrero Rural Development Project, Tlaxcala, Mexico \$19,000 to strengthen its Peasant-to-Peasant program

Population-based Health Care**Vaccines**

1997 appropriation of \$1,300,000 in addition to previous funding for allocation by the officers to support global disease-control efforts and other activities related to the development, distribution and licensure of vaccines.

World Health Organization, Geneva, Switzerland \$52,000 for use by its Children's Vaccine

- Initiative in support of an international conference on the global supply of new vaccines

Public Health Schools Without Walls

1997 appropriation of \$1,300,000 (joint with PS) in addition to previous funding for allocation by the officers to continue support for field-based, graduate-degree training for public health officers in Zimbabwe, Uganda, Ghana and Vietnam, as well as exploratory activities in other countries.

Foundation-administered project: \$50,000 for operational expenses of the Public Health Schools Without Walls Initiative

Foundation-administered project: \$175,000 for collaborative network activities among the Public Health Schools Without Walls sites

Hanoi School of Public Health, Hanoi, Vietnam. \$297,000 in support of its Public Health School Without Walls program activities

Makerere University, Kampala, Uganda \$360,000 for use by its Institute of Public Health for its Master's in Public Health program.

Makerere University, Kampala, Uganda \$13,229 for use by its Institute of Public Health for further support of George Pariyo's doctoral study in management at Johns Hopkins University

Tanzania Public Health Association, Arusha, Tanzania \$25,000 in support of the Eighth International Congress, held October 12-17, 1997

University of Ghana, Accra, Ghana. \$142,000 for use by its School of Public Health for its Public Health Schools Without Walls program

University of Zimbabwe, Harare, Zimbabwe \$166,614 in support of its Master's in Public Health training program

Clinical Epidemiology

1997 appropriation of \$9,200,000 in addition to previous funding for allocation by the officers to continue support for core activities of the International Clinical Epidemiology Network (INCLEN) and the operations of INCLEN, Inc., including its transition toward institutional autonomy and stability.

Hanoi Medical College, Hanoi, Vietnam \$60,000 to support the development of its activities in clinical epidemiology and public health.

Harvard University, Cambridge, Massachusetts \$50,000 in support of training fellowships for the workshop, "Using Burden of Disease and Cost-Effectiveness to Define National Control Priorities and Essential Packages of Care"

INCLEN, Inc., Philadelphia, Pennsylvania \$3,686,000 for the operational costs of its executive office and for INCLEN program activities.

INCLEN, Inc., Philadelphia, Pennsylvania \$10,000 for the STD component of the INCLEN global meeting in Penang, Malaysia, February 18-24, 1997

National Foundation for the Centers for Disease Control and Prevention, Atlanta, Georgia. \$10,000 to support participation of staff from its Division of International Health at the International Clinical Epidemiology Network global meeting

University of Nairobi, Nairobi, Kenya \$4,355 to enable the Clinical Epidemiology Unit to upgrade its communication capacity

University of Newcastle, Newcastle, Australia \$89,600 to continue support for its Clinical Epidemiology Resource and Training Center

University of North Carolina, Chapel Hill, North Carolina \$172,599 to continue support for its Clinical Epidemiology Resource and Training Center

University of Pennsylvania, Philadelphia, Pennsylvania \$186,265 to continue support for its Clinical Epidemiology Resource and Training Center

University of Toronto, Toronto, Ontario, Canada \$60,700 for core support of its Health Care Evaluation and Management Skills Program, and the training course in Vietnam

West China University of Medical Sciences, Chengdu, China \$38,500 for use by its Clinical Epidemiology Unit for a workshop for Chinese medical journal chief editors

Xavier Pontifical University, Bogotá, Colombia \$15,000 toward the costs of a collaborative training project with Vietnamese physicians in the Kangaroo Mother Intervention method

Task Force for Child Survival and Development
1996 appropriation of \$250,000 for allocation by the officers to support global disease-control efforts and other activities related to the development, distribution and licensure of vaccines.

Task Force for Child Survival and Development, Atlanta, Georgia \$25,000 toward the costs of preparing and distributing the proceedings of the April 1996 conference, "Children First: A Global Forum"

Task Force for Child Survival and Development, Atlanta, Georgia \$30,000 toward the costs of its conference, "Global Disease Elimination and Eradication as Public Health Strategies," in February 23-25, 1998

Community-Based Prevention of Dengue
1993 appropriation of \$1,200,000 in addition to previous funding for allocation by the officers to phase out support for community-based approaches in Honduras and Mexico to integrated control of the urban mosquito vector of dengue and also yellow fever.

American Mosquito Control Association, Lake Charles, Louisiana \$20,000 in partial support of its Spanish Language Symposium as part of its annual meeting to enable developing-country scientists to participate as a means to promote scientific exchange and collaboration

Johns Hopkins University, Baltimore, Maryland \$17,229 to complete support for its assistance to the community-based approach to integrated control of Aedes aegypti project in El Progreso, Honduras

Rockefeller Foundation Biotechnology Career Fellowships
1993 appropriation of \$1,140,000 (joint with AH, AS, PS and SI) in addition to previous funding for allocation by the officers to assist excellent young scientists based in the Third World to become leaders in the development and application of biotechnology that offers promise for improving the living conditions of poor people.

University of Connecticut, Storrs, Connecticut \$400,000 to support the China Bridges International Fellowship programs

GRANTS IN AID

Michael Chung, Warren, Ohio \$5,000 in support of a research study Examining the Sociocultural Barriers to Cataract Surgery in Rural India, as part of the social determinants of health initiative

Foundation-administered project: \$100,000 to inventory and map the terrain of bilateral, multilateral and nongovernmental organizations' activities in health

Foundation-administered project: \$250,000 for a series of meetings on the role, capacity and performance of international organizations for advancing world health

Foundation-administered project: \$55,900 for a meeting of the Global Health Equity Initiative's country case-study and conceptual working groups

Foundation-administered project: \$8,600 for the translation of a chapter from Swedish to English and distribution of Gender Inequalities in Health

Harvard University, Cambridge, Massachusetts \$65,000 in support of a research project, Health Inequity in the United States: A Case Study

Harvard University, Cambridge, Massachusetts \$67,507 in support of a research project China Health Equity in a Transitional Economy

Harvard University, Cambridge, Massachusetts \$46,640 for use by its Center for Population and Development Studies in support of a research project, International Health and Development in the 21st century

Harvard University, Cambridge, Massachusetts \$234,275 in support of the Global Health Equity Initiative's Ethics and Health Equity Conceptual Working Group

Harvard University, Cambridge, Massachusetts \$62,000 in support of a research project Health Inequalities Study of the United States 1970-1990

Harvard University, Cambridge, Massachusetts \$150,000 in support of the Global Health Equity Initiative's Gender Health and Equity Conceptual Working Group

Harvard University, Cambridge, Massachusetts \$63,210 in support of the Global Health Equity Initiative's Measurement of Health Inequities Conceptual Working Group

Harvard University, Cambridge, Massachusetts \$40,409 in support of a study on equity in health care financing

Karolinska Institute, Stockholm, Sweden \$31,400 in support of a research project, Class, Gender and Health: A Comparative Study of the Pathways of Health Inequalities in Britain and Sweden under changing macroeconomic conditions

Karolinska Institute, Stockholm, Sweden \$86,450 in support of the Global Health Equity Initiative's Measurement of Health Inequities Conceptual Working Group

Ministry of Health and Child Welfare, Zimbabwe, Harare, Zimbabwe \$10,000 for use by its Blair Research Laboratory in support of a conference and the follow-up activities of the African Initiative of the Independent Group for Global Health

New England Medical Center Hospitals, Boston, Massachusetts \$179,354 in support of the Global Health Equity Initiative's Social Determinants Conceptual Working Group

Pan American Health Organization, Washington, D.C. \$32,275 in support of a seminar "Violence in Latin America: Policy Implications from Studies on the Attitude and Costs of Violence"

Transnational Family Research Institute, Moscow, Russia \$50,000 in support of a research project *Inequity in the Face of Death in Russia*

University of Cape Town, Cape Town, South Africa \$10,000 toward the costs of a conference, "The Economics of Tobacco Control: Toward an Optimal Mix"

World Health Organization, Geneva, Switzerland \$150,000 in support of the activities of its Global Forum for Health Research

Yale University, New Haven, Connecticut \$100,000 for use by its School of Medicine in support of research on Ebola virus in a remote endemic region of north-east Gabon

Research to Improve Reproductive Health

Improving Reproductive Health Service Delivery
1997 appropriation of \$6,000,000 (joint with PS) in addition to previous funding for allocation by the officers to support research in selected developing countries to identify sustainable models to improve the reach and quality of reproductive health services, especially for neglected populations and services.

Committee for Studies on Women, Family and Environment in Africa, Dakar, Senegal \$88,500 for a study of intergenerational communication in Senegal on reproductive health issues

Foundation-administered project: \$50,000 for administrative expenses

Foundation-administered project: \$50,000 for administrative costs associated with implementing the reproductive health services research program

Public Health Institute, Berkeley, California \$299,570 to enable its Pacific Institute for Women's Health to continue to provide technical assistance to African nongovernmental organizations conducting research in the field of adolescent reproductive health

Public Health Institute, Berkeley, California \$144,230 to enable its Pacific Institute for Women's Health to conduct a community-based study of the sociocultural context of abortion in Kenya in collaboration with the Center for the Study of Adolescence in Nairobi

Sahel Institute, Bamako, Mali \$72,190 for a study to be conducted by its Center for Applied Research on Population and Development in collaboration with the University of Ouagadougou's Research and Training Unit in Demography and the London School of Hygiene and Tropical Medicine to link adolescent sexuality to reproductive health and fertility outcomes in Mali and Burkina Faso

University of Ouagadougou, Ouagadougou, Burkina Faso \$77,800 for a study to be conducted by its Research and Training Unit in Demography in collaboration with the Institut du Sahel's Center for Applied Research on Population and Development and the London School of Hygiene and Tropical Medicine to link adolescent sexuality to reproductive health and fertility outcomes in Mali and Burkina Faso

HIV in the Developing World
1997 appropriation of \$2,000,000 (joint with PS) in addition to previous funding for allocation by the officers to support the search for an HIV (human immunodeficiency virus) vaccine and to help developing countries improve their capabilities for reducing the spread of HIV and other sexually-transmitted diseases and mitigate the consequences of current HIV infection.

American Foundation for AIDS Research, New York, New York \$15,000 in support of developing-

country participation in the conference, "Global Strategies for the Prevention of HIV Transmission from Mothers to Infants," held September 3-6, 1997, in Crystal City, Virginia

Chiang Mai University, Chiang Mai, Thailand \$41,130 for use by its Research Institute for Health Sciences in support of an HIV/AIDS intervention for adolescents, The Life Skills Training for Youth Project

Institute of Tropical Medicine, Antwerp, Belgium \$130,500 in support of the biomedical component of a multicenter study on factors determining the differential spread of HIV in African towns

International AIDS Vaccine Initiative, New York, New York \$230,000 for continued funding of its activities to ensure development of safe, effective, preventive HIV vaccines for use throughout the world

Kenya Medical Research Institute, Nairobi, Kenya \$100,000 in support of a cohort study, Outpatient Clinical Management of HIV Infected Adults in Nairobi

Network of AIDS Researchers of Eastern and Southern Africa, Nairobi, Kenya \$48,705 in support of a workshop on resistance to HIV-1, and a symposium to identify interventions to prevent mother-to-child transmission of HIV.

Southern African Network of AIDS Service Organizations, Harare, Zimbabwe \$10,000 toward the costs of its conference "AIDS and Sexuality"

University of Manitoba, Winnipeg, Canada \$300,000 for a collaborative research project with the University of Nairobi and the University of Ghent to evaluate the impact of regular routine chemoprophylaxis to reduce the incidence of sexually transmitted diseases and HIV infection among women sex workers in Kenya

GRANTS IN AID (also listed under Population Sciences)

Bangladesh Rural Advancement Committee, Dhaka, Bangladesh \$10,000 for a workshop entitled, "Women's Health in the Community: Operationalizing the Cairo and Beijing Agendas in Bangladesh," held in December 1997

Campinas Center for Research and Control of Maternal and Infant Mortality, Campinas, Brazil \$16,110 for a session on adolescent reproductive health at the fourth international conference on maternal and neonatal health, held in Brazil in November 1997

Harvard University, Cambridge, Massachusetts \$50,000 for a study on men's fertility and reproductive strategies in rural Gambia to be conducted in collaboration with the Gambian Central Statistics Office and Farafenni Health Centre, and the United Kingdom Medical Research Council

International Planned Parenthood Federation, Western Hemisphere Region, New York, New York \$50,000 to conduct a project in collaboration with the IPPF Africa Regional Office to assist African Family Planning Associations with integrating HIV/STI prevention in family planning programs for women, men and adolescents using a gender-oriented sexual and reproductive health approach

Kenya Medical Women's Association, Nairobi, Kenya \$40,000 in support of an international congress in November 1998, "Investing in the Health of Women and Girls"

National Institute of Allergy and Infectious Diseases, Bethesda, Maryland \$10,000 to complete a monograph concerning research on sexually-transmitted diseases and adolescents

Parivar Seva Sanstha, New Delhi, India \$44,610 for a community-based study to learn why many women resort to illegal abortion in India, where the procedure has been legal for more than 20 years.

Social Science and Medicine Africa Network, Nairobi, Kenya \$10,000 toward the cost of the "Third Social Sciences and Health International Conference" being organized in collaboration with the Council on Health Research for Development and WHO's Health Systems Research Program

Tides Center, San Francisco, California \$24,750 to enable its International Reproductive Rights Research Action Group to distribute 1,000 free copies of a book entitled, "Negotiating Women's Rights: Women's Perspectives Across Countries and Cultures," in Francophone and Anglophone sub-Saharan Africa, south and Southeast Asia, and designated countries in Central America and the Caribbean

Youth Alive Club, Kampala, Uganda \$9,000 to plan modification of its HIV/AIDS programs and activities in response to the findings of an external evaluation, and to conduct a feasibility study concerning the expansion of services to girls and to rural areas

BUILDING DEMOCRACY, INTERNATIONAL SECURITY PROGRAM AND SPECIAL INTERESTS

Building Democracy

National Conversation on Race: A Communications Program
1997 appropriation of \$1,000,000 for allocation by the officers to develop and implement a communications effort that raises the visibility and enhances the effectiveness of innovative racial discourse during the year of national dialogue on race.

Building Community
1997 appropriation of \$1,600,000 (joint with EO) for allocation by the officers to support a set of interrelated activities directed toward (a) strengthening the National Community Building Network and expanding its membership; (b) developing an evaluation methodology that enables both the community-building field as a whole and local initiatives to monitor and document progress; and (c) establishing a more effective community-building presence in national research and policy debates on urban resource allocation and other issues affecting the inner-city poor.

American Land Institute, Portland, Oregon: \$40,000 to support the socioeconomic mapping of the Los Angeles metropolitan area by its Metropolitan Area Program.

Aspen Institute, Washington, D.C.: \$100,000 to support its Roundtable on Comprehensive Community Initiatives.

Brookings Institution, Washington, D.C.: \$200,000 to support the project, Forging Metropolitan Solutions to Urban and Regional Problems.

Center for Neighborhood Technology, Chicago, Illinois: \$60,000 in support of a series of forums to identify a range of options for federal policy to enhance cooperation within metropolitan regions.

Foundation-administered project: \$11,115 toward costs associated with the Building Democracy for the 21st Century program.

Foundation-administered project: \$25,000 toward costs of a meeting on public policy and community building.

Foundation-administered project: \$38,760 toward costs associated with the development of a concept paper regarding how to bring the voice and insights of community-building practitioners to the policy arena.

Foundation-administered project: \$70,000 toward costs of a Bellagio conference on community building held October 20-24, 1997.

Urban Institute, New York, New York: \$200,000 for the implementation of the National Neighborhood Indicators Project.

Urban Strategies Council, Oakland, California: \$200,000 to help the Community Building Support Center strengthen the capacity of urban communities to develop effective community-building strategies.

Next Generation Leadership 1997 appropriation of \$1,350,000 in addition to previous funding for allocation by the officers to create a corps of 21st-century American leaders with a sense of common purpose and the capability required to build a society committed to fairness and democratic principles, and with the confidence and skills to bring together others in pursuit of these goals.

Foundation-administered project: \$309,000 toward administrative costs.

Foundation-administered project: \$50,000 toward the development and production of program materials.

Foundation-administered project: \$10,000 toward the cost of focus groups and convenings.

Foundation-administered project: \$10,000 toward the cost of nominators.

Foundation-administered project: \$473,300 toward costs associated with the Next Generation Leadership exploration of the Building Democracy initiative.

1997 NEXT GENERATION LEADERSHIP FELLOWS:

Nilofer Ahsan, Chicago, Illinois

Linda Asato, San Francisco, California

Isabela Basombrio, Detroit, Michigan

Rita Bright, Washington, D.C.

Sharon Delugach, Los Angeles, California

Ivan Hageman, New York, New York

Craig Harshaw, Chicago, Illinois

Steven Hawkins, Washington, D.C.

Chung Wha Hong, Flushing, New York

Sherrilyn Infill, Baltimore, Maryland

Martha Jimenez, Oakland, California

Anthony Jones, San Francisco, California

Nancy Katz, San Francisco, California

Thomas Kelley, Chapel Hill, North Carolina

Emily Lopez, Astoria, New York

Lester McCorn, New Haven, Connecticut

Amelita Pascual, Berkeley, California

Tarso Ramos, Portland, Oregon

Surita Sandosham, New York, New York

Paul Schmitz, Milwaukee, Wisconsin

Jai Sookprasert, Sacramento, California

William Stephan, Indianapolis, Indiana

Rachel Timoner, San Francisco, California

Roderick von Lipsey, Falls Church, Virginia

GRANTS IN AID

American Leadership Forum, Stanford, California: \$40,000 toward implementation of its Initiative for Leadership in Action in the 21st Century's Round Table.

Bay Area Institute, San Francisco, California: \$100,000 in support of its New California Media Network: Creating a New Venue for Cross-Cultural Communication.

Carter Center, Atlanta, Georgia: \$25,000 for use by The America Project toward the planning phase of a conference, "Linked Future: Building Metropolitan Communities."

Chapin Hall Center for Children, Chicago, Illinois: \$112,480 toward the first year costs of a two-year project to produce a volume on power and race in community-change initiatives.

DC Agenda Support Corporation, Washington, D.C.: \$125,000 to support its capacity-building activities in the District of Columbia.

Foundation-administered project: \$13,300 toward the costs of follow-up activities related to the release of the report, *Stories of Renewal: Community Building and the Future of Urban America*, and for the development of policy connections to community building.

Foundation-administered project: \$21,250 toward the costs of a Bellagio conference on community building held October 20-24, 1997.

Harvard University, Cambridge, Massachusetts. \$64,000 to support completion of phase one of the Harvard Civil Rights Project's series of conferences on civil rights and education policy

National Public Radio, Washington, D.C. \$50,000 toward research and development of an enhanced approach to the coverage of race and ethnicity

Public Education Fund Network, Washington, D.C. \$150,000 to support its initiative, A Conversation About Race and Education in America.

University of Maryland, College Park, College Park, Maryland \$50,000 toward a fund to establish an endowed professorship in its Department of Government and Politics to promote innovative political-economy strategies for long-term institutional and system-related change

International Philanthropy

Building Foundation-Like Organizations
1995 appropriation of \$330,000 in addition to previous funding for allocation by the officers to support the continued development of foundation-like organizations by building individual institutions and through sector-building activities.

Asia Foundation, San Francisco, California \$20,000 toward the sponsorship of the "International Conference on Supporting the Nonprofit Sector in Asia" to be held in Bangkok, Thailand.

City University of New York, New York, New York \$50,000 for use by its Graduate School and University Center toward the costs of the 1998 International Fellows Program at the Center for the Study of Philanthropy.

GRANTS IN AID

Foundation-administered project: \$65,000 to continue building, through the Philanthropy Workshop, an association of committed philanthropists with the skills, frameworks and experiences necessary to confront significant issues of this and the next generation.

Foundation-administered project: \$150,000 for administrative costs of the Philanthropy Workshop

International Security

The Atlantic Council, Washington, D.C.
1997 appropriation of \$200,000 in addition to previous funding to facilitate denuclearization of the Korean Peninsula for the long-term abolition of weapons of mass destruction.

Federation of American Scientists Fund, Washington, D.C.
1997 appropriation of \$90,409 to strengthen the Biological Weapons Convention.

Human Rights Watch, New York, New York
1997 appropriation of \$400,000 in addition to previous funding to create new norms and attitudes toward proliferation and use of arms.

GRANTS IN AID

Arms Control Association, Washington, D.C. \$10,000 in support of the distribution of the Association's publication, Arms Control Today, to scholars in the developing world.

Carnegie Mellon University, Pittsburgh, Pennsylvania \$100,000 in support of a project that will explore energy as a means toward confidence building in South Asia

Carter Center, Atlanta, Georgia \$150,000 in support of its Conflict Resolution Program's activities, particularly those involving non-proliferation and the abolition of weapons of mass destruction

Centre for the Study of Developing Societies, New Delhi, India. \$68,900 toward the establishment of an electronic data collection and analysis initiative which would seek to engage in an ongoing dialogue with individuals and organizations in the United States on questions relating to nuclear nonproliferation

Chemical and Biological Arms Control Institute, Alexandria, Virginia \$25,000 toward the costs of a project that would organize a joint Indo-United States effort to develop effective policy responses to the challenge of biological weapons

Columbia University, New York, New York \$50,000 in support of the School of International and Public Affairs' Gulf/2000 initiative intended to open and sustain lines of communication with Iran

Council on Foreign Relations, New York, New York \$25,000 in support of efforts to develop communication and cooperation between the Council and the Democratic People's Republic of Korea

Federation of American Scientists Fund, Washington, D.C. \$10,000 in support of the Fund's work to facilitate the effective control of chemical and biological weapons

Foundation-administered project: \$100,000 for the establishment of an e-mail network on South Asia Peace and Security, the provision of Internet services and development of prototype CD-ROMs on conflict resolution

Foundation-administered project: \$11,200 in support of activities surrounding the International Security program's work in security and nuclear nonproliferation in south Asia

Foundation-administered project: \$13,400 in support of activities surrounding the International Security program's work in security and nuclear non-proliferation in northeast Asia

Foundation-administered project: \$80,000 in support of activities surrounding the International Security program's work in regional security and cooperation, and nuclear nonproliferation in the Asia-Pacific region

Harvard University, Cambridge, Massachusetts \$150,000 in support of a study that will examine significant nuclear policy issues related to the future of nuclear energy and advancing nuclear disarmament

International Rice Research Institute, Manila, Philippines \$60,965 in support of a project to increase rice production in the Democratic People's Republic of Korea through scientific collaboration and human resource development.

Middle East Institute, Washington, D.C. \$20,000 in support of its exploration and discussions regarding a Track II approach to United States-Iran relations

Monterey Institute of International Studies, Monterey, California \$25,000 in support of a project of its Center for Nonproliferation Studies that will aim to draw Russian expertise into discussions surrounding the implementation of the United States-Democratic People's Republic of Korea Agreed Framework.

Monterey Institute of International Studies, Monterey, California \$15,000 in support of a project of its Center for Nonproliferation Studies that will examine the influence of individuals and institutions in Chinese nonproliferation and arms control policy

National Academy of Sciences, Washington, D.C. \$50,000 in support of a project on science and technology cooperation with India

National Institute of Advanced Studies, Bangalore, India \$100,000 toward the costs of its proposal, "Issues on Nonproliferation and Total Elimination of Weapons of Mass Destruction"

Nautilus of America, Berkeley, California \$10,000 in support of a systematic review and analysis of the NAPSNet Daily Report

Search for Common Ground, Washington, D.C. \$25,000 in support of its Iranian-American Dialogue project

Social Science Research Council, New York, New York \$25,000 in support of the international dissemination of a study on American nuclear diplomacy with North Korea

State of the World Forum, San Francisco, California \$50,000 in support of its Nuclear Weapons Abolition Initiative

State of the World Forum, San Francisco, California \$10,000 in support of its Nuclear Weapons Abolition Initiative

Twentieth Century Fund, New York, New York \$50,000 in support of its Nuclear Policy Review project

University of Georgia, Athens, Georgia \$25,000 in support of a feasibility study of India-United States cooperation in high-technology conventional weapons

Global Equity and Engagement

GRANTS IN AID

Aspen Institute, Queenstown, Maryland \$100,000 for a project of its Congressional Program that concerns the future of United States foreign assistance

InterAction: American Council for Voluntary International Action, Washington, D.C. \$100,000 for the planning phase of its United States Global Engagement Project

Special Interests

651, An Arts Center (Kings Majestic Corporation), Brooklyn, New York: 1997 appropriation of \$500,000 to support continuation of its work in promoting an open environment for creative expression and community partnership.

Rockefeller University, New York, New York: 1997 appropriation of \$560,000 to cover operating costs associated with the preservation and continuing use of Foundation records deposited at the Rockefeller Archive Center.

Black South African Leadership Development: 1984 appropriation of \$500,000 in addition to previous funding for allocation by the officers to assist the development of Black South African leadership.

Human Sciences Research Council, Pretoria, South Africa: \$88,580 toward the costs of its Centre for Science and Development's project to examine how government policies on providing resources to schools affect equity and the development of a skilled and representative work force, particularly in relation to blacks and women.

University of Cape Town, Rondebosch, South Africa: \$15,870 for the costs of a mobile exhibit depicting women in science and engineering to serve as role models for young women as they make career decisions.

GRANTS IN AID

ASHOKA: Innovators for the Public, Arlington, Virginia: \$50,000 toward the Changemakers' Media Project.

Asian Americans/Pacific Islanders in Philanthropy, New York, New York: \$75,000 toward its general operating expenses.

Brown University, Providence, Rhode Island: \$100,000 in support of a critical oral history project by its Thomas J. Watson Jr. Institute for International Studies on the missed opportunities of the Vietnam War.

Consortium for the National Equal Justice Library, Washington, D.C.: \$50,000 toward initial operating costs of the Library.

Consumers Union of United States, Inc., San Francisco, California: \$200,000 in support of its Educational Assets Project.

Council on Foundations, Washington, D.C.: \$250,000 in support of a public education

campaign on the essential role foundations play in a democratic society.

Council on Foundations, Washington, D.C.: \$44,600 toward general operating expenses during 1997.

ETV Endowment of South Carolina, Spartanburg, South Carolina: \$75,000 toward the costs of its television series, "Mission to Planet Earth."

Foundation-administered project: \$75,000 to cover the administrative and travel costs of 15 to 20 participants that attended the conference, "Communications and Social Change," held at the Bellagio Study and Conference Center in April 21-25, 1997.

Independent Sector, Washington, D.C.: \$10,000 toward general operating expenses in 1997.

Institute for Alternative Journalism, San Francisco, California: \$20,000 (joint with AH) toward the costs of the Media and Democracy Congress II.

Krishnamurti Foundation India, Chennai, India: \$92,300 for use by its Rishi Valley Education Centre for its education kit project.

MacNeil/Lehrer Productions, Arlington, Virginia: \$250,000 to fund the use of the Fishkin deliberative polling mechanism in its Public Issues Forum programming.

National Public Radio, Washington, D.C.: \$50,000 toward research and development of an enhanced approach to the coverage of race and ethnicity.

New York Community Trust, New York, New York: \$250,000 in support of the Neighborhood 2000 Fund, a collaborative effort to support the work of community development corporations throughout New York City.

New York Regional Association of Grantmakers, New York, New York: \$20,000 toward general support for the years 1996 and 1997.

Panos Limited, London, England: \$25,000 in support of the Communications and Social Change project.

Reproduction Health Technologies Project, Washington, D.C.: \$200,000 in support of a public education campaign on emergency contraception for low-income women of color in Los Angeles and San Diego.

Rockefeller Foundation Matching Gift Program: \$150,000 toward the RF Matching Gift Program.

Susan Gross Solomon, University of Toronto, Toronto, Canada: \$58,330 for a study to be undertaken with Nikolai Kremenstov of the Institute of the History of Science and Technology, St. Petersburg, Russia, of the grantmaking activities of the Rockefeller Foundation in the Soviet Union, 1922-34.

Television Trust for the Environment, London, England: \$150,000 toward the costs of its multimedia project, Hands On: It Works.

University of California, Santa Cruz, California: \$135,000 for its project, Social Change Across Borders, to set up a summer institute for leaders of community-based organizations from Latino communities in the United States and poorer communities in Latin America.

University of Connecticut, Storrs, Connecticut: \$400,000 to support the China Bridges International Fellowship programs.

Videazimut, Montreal, Quebec, Canada: \$10,000 in support of preparatory research and dissemination of information before the Right to Communicate and the Communication of Rights seminar.

Strategic Alliance

Rockefeller Foundation—The California Endowment: 1997 appropriation of \$400,000 for allocation by the officers to help fund the design of a program for a strategic alliance between the Rockefeller Foundation and The California Endowment, aimed at building the capacity of low-income California communities to improve health and employment outcomes of their residents.

Statements of Financial Position

	DECEMBER 31	
	1997	1996
	(In Thousands)	
ASSETS		
Cash, including restricted bond funds of \$1,255 in 1997 and \$1,239 in 1996 (Note 6)	\$ 2,817	\$ 2,251
Dividends, interest and other receivables	11,094	8,692
Investments (Notes 1 and 2)	3,058,469	2,701,389
Property, net of accumulated depreciation and amortization (Note 3)	27,340	28,149
Prepaid pension cost and other assets (Note 4)	32,243	26,519
Total assets	\$ 3,131,963	\$ 2,767,000
LIABILITIES AND NET ASSETS		
Liabilities:		
Accounts payable and accrued liabilities	\$ 13,448	\$ 9,665
Appropriations by the trustees, approved for specific grantees/purposes but not yet paid (Note 7)	66,716	63,906
Bonds payable, net of unamortized discount (1997: \$314; 1996: \$326) (Note 6)	27,886	28,414
Deferred Federal excise tax (Note 8)	5,815	4,995
Accrued post-retirement benefits (Note 5)	17,803	17,546
Total liabilities	131,668	124,526
Commitments (Notes 1 and 2)		
Unrestricted and total net assets (including board-designated amounts of \$224,604 in 1997 and \$213,582 in 1996) (Note 7)	3,000,295	2,642,474
Total liabilities and net assets	\$ 3,131,963	\$ 2,767,000

See accompanying notes.

Statements of Activities

	YEAR ENDED DECEMBER 31	
	1997	1996
	(In Thousands)	
CHANGES IN NET ASSETS		
Investment return:		
Net realized and unrealized gain on investments	\$ 385,290	\$ 300,747
Dividend and interest income	124,091	111,525
Other investment income	738	851
Investment expenses (including performance-based fees of \$2,800 in 1997 and \$1,092 in 1996)	510,119 (15,200)	413,123 (11,277)
Net investment return	494,919	401,846
Other expenses:		
Approved grants and program costs	116,573	104,675
General administrative expenses	10,929	8,890
Provision for Federal excise tax (Note 8):		
Current	8,776	6,145
Deferred	820	1,633
	137,098	121,343
Increase in unrestricted net assets	357,821	280,503
Unrestricted net assets, beginning of year	2,642,474	2,361,971
Unrestricted net assets, end of year	\$ 3,000,295	\$ 2,642,474

See accompanying notes.

Statements of Cash Flows

	YEAR ENDED DECEMBER 31	
	1997	1996
	(In Thousands)	
CASH FLOWS FROM OPERATING ACTIVITIES		
Increase in net assets	\$ 357,821	\$ 280,503
Adjustments to reconcile increase in net assets to net cash used in operating activities:		
Depreciation and amortization	1,156	1,137
Net unrealized gain on investments	(39,861)	(75,581)
Net realized gain on investments	(345,429)	(225,166)
Changes in operating assets and liabilities:		
Dividends, interest and other receivables	(2,402)	(3,271)
Prepaid pension cost and other assets	(5,724)	(5,045)
Accounts payable and accrued liabilities	3,783	(10,930)
Appropriations by the Trustees, approved for specific grantees/purposes but not yet paid	2,810	5,710
Deferred Federal excise tax	820	1,633
Accrued post-retirement benefits	257	420
	(384,590)	(311,093)
Net cash used in operating activities	(26,769)	(30,590)
CASH FLOWS FROM INVESTING ACTIVITIES		
Net sales of investments	28,210	80,824
Property additions	(335)	(253)
Net cash provided by investing activities	27,875	80,571
CASH FLOWS FROM FINANCING ACTIVITIES		
Repayments of note payable to bank	-	(50,000)
Repayments of bonds payable	(540)	(520)
Net cash used in financing activities	(540)	(50,520)
Net increase (decrease) in cash, excluding cash held in investment portfolio	566	(539)
Cash, beginning of year	2,251	2,790
Cash, end of year	\$ 2,817	\$ 2,251

See accompanying notes.

Notes to Financial Statements

1. INVESTMENTS

The Foundation's significant investments are carried at fair value or contractual values which approximate fair value. Fair value is the amount at which a financial instrument could be exchanged in a current transaction between willing parties, other than in a forced sale or liquidation. The fair values of financial instruments are estimates based upon market conditions and perceived risks as of the statement of financial position date and require varying degrees of management judgment. Quoted market prices, when available, are used as the measure of fair value. In cases where quoted market prices are not available, fair values are based on appraisals, quotations of similarly traded instruments, pricing models or other estimates.

Investing activities are reported on a trade date basis. Realized gains and losses are calculated based on the specific identification method for both financial statement and tax return purposes.

As of December 31, 1997, under the terms of various venture capital, real estate limited partnership and other agreements, the Foundation has commitments to contribute approximately \$78.2 million in additional capital over the next 10 years.

2. FINANCIAL INSTRUMENTS WITH OFF-BALANCE SHEET

CREDIT OR MARKET RISK

As a result of its investing strategies, the Foundation is a party to various off-balance sheet instruments which may include equity and fixed income futures and options contracts, foreign currency options and forward contracts, and interest rate swap contracts. Derivatives are used primarily to maintain asset mix or to hedge a portion of currency or interest rate exposure.

The Foundation invests in international securities and therefore is exposed to the effects of foreign exchange rate fluctuations predominantly in Japanese Yen, Swiss Francs, Australian Dollars, British Pounds, German

The Foundation's investment portfolio consists of the following:

	DECEMBER 31			
	1997		1996	
	COST	FAIR VALUE	COST	FAIR VALUE
<i>(In Thousands)</i>				
Marketable securities:				
Money market funds	\$ 198,103	\$ 197,927	\$ 166,122	\$ 166,034
Foreign currency—short-term	—	9,297	—	3,443
U.S. and other government obligations	368,914	378,788	344,260	350,590
Corporate obligations	306,823	307,501	189,456	191,916
Common stock (including REITs)	1,627,179	1,890,084	1,314,491	1,581,246
Other investments	20,742	20,467	13,914	12,701
Subtotal	2,521,761	2,804,064	2,028,243	2,305,930
Limited partnerships and similar interests:				
Real estate	198,383	182,667	363,669	302,128
Private equity	128,459	143,735	129,965	155,615
Subtotal	326,842	326,402	493,634	457,743
Pending securities transactions, net	(71,919)	(71,997)	(62,412)	(62,284)
Total	\$2,776,684	\$3,058,469	\$2,459,465	\$2,701,389

Marks and French Francs. Foreign currency options and forward contracts are used to hedge a portion of this currency risk. The Foundation also employs derivatives to maintain a desired asset mix. For example, S&P 500 index futures contracts are used in combination with cash invested in money market instruments to replicate an investment in an S&P 500 stock portfolio. This combination yields the same return, while often providing for savings on transaction costs.

The Foundation's fixed income managers match the duration of their portfolio to a particular benchmark and use duration analysis to estimate the degree of the portfolio's sensitivity to interest rate changes. When

the duration of a particular portfolio of securities is different from the benchmark, Eurodollar or Treasury note futures or options may be used to adjust the portfolio's duration.

Total margin requirements on deposit for futures contracts were \$6.4 million at December 31, 1997 (\$3.9 million at December 31, 1996).

The underlying contract amounts (notional values) and fair values of the Foundation's derivative financial instruments at December 31, 1997 and 1996, are summarized below; all are held for other than trading purposes.

	DECEMBER 31			
	1997		1996	
	NOTIONAL VALUE	FAIR VALUE	NOTIONAL VALUE	FAIR VALUE
	(In Thousands)			
Equity contracts to manage desired asset mix (contracts primarily based on S&P 500 index):				
Futures contracts:				
Liabilities	\$(51,158)	\$ 10	\$ -	\$ -
Fixed income contracts to manage portfolio duration and interest rate risk:				
Futures contracts:				
Assets	86,799	232	127,092	(635)
Liabilities	(10,459)	(48)	(1,126)	7
Put and call options:				
Assets	39,200	65	50,000	112
Foreign currency contracts to hedge foreign exchange exposure in nondollar securities:				
Forward contracts:				
Assets	86,154	(2,888)	73,198	883
Liabilities	(347,735)	12,283	(319,637)	2,560
Put and call options:				
Assets	49,825	1,384	156,058	3,647

Changes in the fair values of the Foundation's derivatives are recognized in the statement of activities using the mark-to-market method, with corresponding amounts recorded in respective investment categories.

Off-balance sheet financial instruments involve elements of market risk and credit risk. Market risk represents potential loss from the decrease in the value of off-balance sheet financial instruments. Credit risk represents potential loss from possible nonperformance by obligors and counterparties on the terms of their contracts. Management does not anticipate that losses, if any, resulting from credit or market risk would materially affect the Foundation's financial position.

The Foundation's two custodians maintain securities lending programs on behalf of the Foundation, and maintain collateral at all times in excess of the value of the securities on loan. Investment of this collateral is in accordance with specified guidelines. Interest earned on these transactions is included with other investment income in the statements of activities. The market value of securities on loan at December 31, 1997 and 1996, was \$236.9 million and \$204.2 million, respectively.

3. PROPERTY

Expenditures for capital items currently in use are included in the property account and depreciated on a straight-line basis over the lives of the respective assets. At December 31, 1997 and 1996, the property account included the following:

	1997	1996
	(In Thousands)	
Condominium interest in 420 Fifth Avenue	\$ 16,555	\$ 16,555
Condominium improvements	11,162	11,162
Furniture, fixtures and equipment	4,049	3,714
	31,766	31,431
Less accumulated depreciation and amortization	4,426	3,282
Property—net	\$ 27,340	\$ 28,149

4. PENSION PLAN AND TRUSTED SAVINGS PLAN

The Foundation maintains a defined benefit pension plan (the "Plan") for regular salaried employees who are at least 21 years old and have completed one year of service or have attained the age of 40. The Plan provides retirement benefits based on years of service and final-average pay, with benefits after retirement subject to increase under a cost-of-living augmentation formula. The Foundation makes annual contributions to the Plan, as needed, based on actuarial calculations, in amounts sufficient to meet the minimum funding requirements pursuant to the Employee Retirement Income Security Act of 1974. Plan assets are invested in a diversified portfolio of common stocks and fixed income securities.

Net pension expense included the following components for the years ended December 31:

	1997	1996
	(In Thousands)	
Service cost—benefits earned during the year	\$ (834)	\$ (795)
Interest cost on projected benefit obligations	(3,212)	(3,121)
Actual return on plan assets	8,555	9,342
Net amortization and deferral	790	(720)
Net periodic pension credit	\$ 5,299	\$ 4,706

Selected information on the Plan's funded status and the amounts recognized in the Foundation's statements of financial position at December 31 follow:

	1997	1996
	(In Thousands)	
Plan assets at fair value	\$ 93,066	\$ 87,227
Less projected benefit obligation	(47,706)	(46,286)
Funded status—surplus	\$ 45,360	\$ 40,941
Prepaid pension cost included in other assets	\$ 30,288	\$ 24,989

Assumptions used in accounting for the Plan in 1997 and 1996 as of December 31 were:

	1997	1996
Weighted average discount rate	7%	7%
Rate of increase in compensation levels	5%	5%
Expected long-term rate of return on assets	9%	9%

The Foundation also maintains a Trusteed Savings Plan for regular salaried employees under which Foundation contributions are made to equal employee contributions of up to 5 percent of pay. The combined contributions are credited to the employees' accounts. These accounts are invested and at termination of employment the employee receives the account balance. The Foundation's contributions to this plan were \$405,000 for 1997 and \$361,000 for 1996.

5. OTHER POST-RETIREMENT BENEFITS

The Foundation provides certain health-care and life-insurance benefits for retired employees. Employees are eligible for these benefits when they meet the criteria for retirement under the Foundation's pension plan. The plans are noncontributory and there are no cost-sharing features. The Foundation accrues the expected cost of providing post-retirement benefits over the years that employees render service.

The following table shows the two plans' combined funded status reconciled with the amounts recognized in the Foundation's statements of financial position:

	DECEMBER 31			
	1997		1996	
	MEDICAL PLAN	LIFE INSURANCE PLAN	MEDICAL PLAN	LIFE INSURANCE PLAN
<i>(In Thousands)</i>				
Accumulated post-retirement benefit obligation:				
Retirees, dependents and surviving spouses	\$ 6,973	\$ 1,401	\$ 8,810	\$ 1,531
Employees fully eligible	1,450	236	1,559	219
Employees not fully eligible	2,557	322	2,466	287
Total	10,980	1,959	12,835	2,037
Plan assets at fair value	-	-	-	-
Funded status	10,980	1,959	12,835	2,037
Unrecognized prior service cost	(210)	-	-	-
Unrecognized net loss or gain	4,839	235	2,516	158
Accrued post-retirement benefit cost	\$ 15,609	\$ 2,194	\$ 15,351	\$ 2,195

Net periodic post-retirement benefit cost for 1997 and 1996 included the following components:

	1997			1996		
	MEDICAL PLAN	LIFE INSURANCE PLAN	TOTAL	MEDICAL PLAN	LIFE INSURANCE PLAN	TOTAL
<i>(In Thousands)</i>						
Service cost	\$ 251	\$ 55	\$ 306	\$ 259	\$ 53	\$ 312
Interest cost	801	134	935	862	131	993
Amortization of net gain	(243)	(4)	(247)	(128)	-	(128)
Total	\$ 809	\$ 185	\$ 994	\$ 993	\$ 184	\$ 1,177

The weighted-average assumed annual rate of increase in the per capita cost of covered benefits (i.e., health-care cost trend rate) is 11 percent for 1998 and is assumed to decrease gradually to 6 percent by 2002 and remain at that level thereafter. The health-care cost trend rate can have a significant effect on the amounts reported. For example, increasing the assumed health-care cost trend rate by one percentage point in each year would increase the accumulated post-retirement benefit obligation as of December 31, 1997, by \$1,253,000 (\$1,636,000 as of December 31, 1996) and the aggregate of the service and interest cost components of the net periodic post-retirement benefit cost for 1997 by \$157,000 (\$165,000 for 1996).

The discount rate used in determining the accumulated post-retirement benefit obligation was 7 percent at December 31, 1997 and 1996.

6. BONDS PAYABLE

During fiscal 1993, the Foundation issued \$20,445,000 in tax-exempt term bonds and \$9,815,000 in tax-exempt serial bonds to fund the acquisition, construction and furnishing of a new office facility (the "Facility").

The bond proceeds and related investment income earned are held by a trustee (the "Trustee") and are disbursed at the direction of the Foundation to fund allowable Facility-related costs, which aggregated \$30,593,000 at December 31, 1997 and 1996, respectively.

The bonds are rated Aaa by Moody's and AAA by Standard & Poor's, and are backed by the general assets of the Foundation. In addition, the bonds are secured by the Foundation's ownership interest in the Facility, a leasehold interest in the Facility, insurance proceeds with respect to the Facility and certain amounts held by the Trustee. The nominal interest rates on the serial bonds range from 4.0 percent to 5.1 percent. The nominal interest rates attributable to the term bonds are 5.3 percent and 5.4 percent.

The serial bonds mature in various amounts, ranging from \$560,000 to \$880,000 per year, through 2008. The term bonds are due in 2013 (\$5,140,000) and 2023 (\$15,305,000). Bond maturities are as follows (in thousands):

Fiscal year ending December 31:

1998	\$ 560
1999	585
2000	610
2001	635
2002	665
Thereafter	25,145
	<u>\$ 28,200</u>

7. APPROPRIATIONS AND EXPENDITURES

Appropriations by the trustees are considered to be obligations when grants are approved (awarded) for specific grantees; appropriations not released for specific grantees and the appropriation for the budget for the next year are considered as board-designated net assets. The majority of approved grants are scheduled for payment within one year. Administrative costs, including investment expenses and excise taxes, account for approximately 23 percent (21 percent in 1996) of the Foundation's total expenses, and are charged to operations when incurred.

Appropriations and expenditures for the summarized as follows:

	APPROPRIATED FOR SPECIFIC GRANTEES/ PURPOSES	APPROPRIATED FOR ALLOCATION AND NEXT YEAR'S BUDGET	TOTAL APPROPRIATED
		(In Thousands)	
Balance, January 1, 1997	\$ 63,906	\$ 213,582	\$ 277,488
Approved grants and program and administrative costs	132,959	(136,509)	(3,550)
Lapses and refunds	(233)	(1,469)	(1,702)
Expenditures for grants and operations	(129,916)	-	(129,916)
1998 budget	-	149,000	149,000
Balance, December 31, 1997	\$ 66,716	\$ 224,604	\$ 291,320

8. FEDERAL EXCISE TAX

The Foundation is a philanthropic organization chartered in 1913 "to promote the well-being of mankind throughout the world." The Foundation qualifies as a tax-exempt organization under Section 501(c)(3) of the Internal Revenue Code and, accordingly, is not subject to Federal income tax. Because the Foundation is classified as a private foundation, it is subject to a Federal excise tax of 1 percent or 2 percent on investment income (its principal source of revenue) less investment expenses, and on net realized taxable gains on securities transactions. In accordance with Section 4940(e) of the Internal Revenue Code, for the years ended December 31, 1997 and 1996, the Foundation did not meet the specified distribution requirements and, therefore, was subject to a Federal excise tax of 2 percent.

Deferred Federal excise tax arises from temporary differences between financial and tax reporting related to investment income and the difference between the cost basis and market value of marketable securities.

9. USE OF ESTIMATES

The preparation of financial statements in conformity with generally accepted accounting principles requires management to make estimates and assumptions that affect the amounts reported in the financial statements and accompanying notes. Management believes that the estimates utilized in preparing its financial statements are reasonable and prudent. Actual results could differ from these estimates.

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Jo-Ann Benini
Administrative Assistant

EQUAL OPPORTUNITY

Julia I. Lopez
Director

Aida Rodriguez
Deputy Director

Dayna Cunningham
Associate Director

Katherine McFate
Associate Director

Marla Ucelli
Associate Director

Chet Hewitt
Assistant Director

Elisabeth Biemann
Senior Program Advisor

Jamie Beck Jensen
Senior Program Advisor

Raymond Colmenar
Senior Research Associate

Pamela O'Kane Foster
Program Associate

Amy Steinberg
Research Associate

Julia Utz
Senior Program Assistant

Alison Hong
Program Assistant

Joelina Machera
Program Assistant

Michael Damian
Program Assistant

GLOBAL ENVIRONMENT

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Acting Director

Al Binger
Chair, Strategic Planning Group

Arun Kashyap
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Shiv Someshwar
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April Y. Chapman
Executive Secretary

Debra Graham
Program Secretary

HEALTH SCIENCES

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POPULATION SCIENCES

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Jane Hughes
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Sara Seims
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Laura Fishler
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Janet O'Connell
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Cheikh Mbacke
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SPECIAL INTERNATIONAL INITIATIVES

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Rebecca R. L. Rittgers
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1997-1998 WARREN WEAVER FELLOWS

Anilla Cherian
Global Environment

Paula K. Hooper
Equal Opportunity/School Reform

Vesna Todorović Miksić
Arts & Humanities

Elizabeth Robinson
Agricultural Sciences

Waheguru Pal Singh Sidhu
International Security

Elmar Thomas
Health Sciences