

## 2000 ANNUAL REPORT

### ABOUT THE FOUNDATION

The Rockefeller Foundation is a knowledge-based, global foundation with a commitment to enrich and sustain the lives and livelihoods of poor and excluded people throughout the world.

In order to maximize its resources and leverage the Foundation's strengths, grantmaking is organized around four thematic lines of work: Creativity & Culture, Food Security, Health Equity and Working Communities. A cross-theme of Global Inclusion supports, promotes and supplements the work of these themes.

In addition, the Foundation supports a number of programs that are developing or in transition, among them the Africa Regional Program, Communication for Social Change, Public/Private Partnerships and Global Philanthropy.

One year into the execution of a new strategic direction that is more pointedly focused on the needs of the poor, we have reaffirmed some of our original assumptions about development, most notably that:

- For the Foundation's strategies to be most effective, the poor and excluded should have a voice in the process, that we should actively find ways to unleash those voices, and that such voices should be heeded; that
- The poor and excluded themselves should participate in researching, planning and doing the work, and that
- Neither this foundation, nor any other, can work alone. We must seek creative ways to leverage our limited dollars in order to attract new funding from the private sector, international aid organizations and national, state and provincial governments.

Even though the Rockefeller Foundation's endowment still ranks in size among the top 20 U.S.-based foundations, we cannot begin to solve any single world problem alone. The problems are too complex. A \$15 million grant, or even a \$50 million grant, cannot begin to address a cure for AIDS or development of new tuberculosis drugs, for example. Consequently, the Foundation increasingly must build partnerships and encourage collaboration between the public and private sectors. Following are more specifics on the Foundation's themes, cross-theme and special programs, with summaries of progress to date.

## **MISSION AND VISION**

Traditionally, annual reports are an opportunity to look back and describe our achievements over the 12 months. As I sit at the desk I've now occupied for almost three years, I'm struck once again at how daunting a task this is because of the very nature of how foundations work. We seek long-term, systemic, enduring change, and accomplishing that takes time--far longer than just one year.

In the essay and photographs that follow, we take you to four countries, looking at common threads that stretch from continent to continent. You will see close up the effects of inequity on poor families and individuals, along with some explanation of the Rockefeller Foundation-supported programs that are working to alleviate those conditions, over time. Although the locales differ, the impact of inequity is strikingly similar as we travel from Irlanda's village in Mexico to Jackie's public-housing complex in the United States, then on to Margaret's farm in Kenya and Hian Som's plot in Cambodia. These are the real-life struggles of impoverished people whose lives are being bypassed--or even adversely affected--by the globalization that holds such great promise for those already better off.

In addition, I'd like to tell you about some of the new work begun in the past two years that illustrates the Foundation's endeavors to find lasting solutions to some of the world's most pressing issues. The first is our Africa Regional Office's support of Makerere University in Uganda, where our goal is to contribute to Uganda's distinctive governmental reform process, while simultaneously enhancing the quality and relevance of higher education by reinforcing the university's own transformation.

Secondly, we recently helped inaugurate the Global Alliance for TB Drug Development to find new drugs to treat one of the world's deadliest infectious diseases.

Drawing on our deep roots in Africa, where the Foundation began making significant investments in 1958, we have joined with the Carnegie Corporation of New York, the Ford Foundation and the John D. and Catherine T. MacArthur Foundation in an initiative to support the improvement of higher-education institutions in a number of sub-Saharan countries.

Africa's universities have a key role to play in helping to reduce poverty and in serving as catalysts for national economic development and social well-being, but they all have suffered in recent decades from lack of funds and the inability to compete for the best faculty. Yet, despite very difficult circumstances, many of them are responding in creative ways to the needs of their countries and to the various democratic and social reforms now under way. And, we believe, the ultimate success of those reforms depends substantially on the universities' ability to support them.

We have elected to concentrate our efforts on Makerere, which, under visionary leadership, is undergoing dramatic changes and wishes to be more relevant to the needs of the Ugandan people. Once among the continent's premier universities, Makerere has embarked on an ambitious strategic plan that encompasses not only improvements to its own functions and finances,

but also capacity building to aid the devolution of power from Uganda's central government to the districts. In the last six years, enrollment at Makerere has more than doubled and degree programs have expanded to include business administration, nursing, biomedical technology and tourism.

By building the university's research capabilities and providing the resources to attract top scholars, both to study and to teach, we believe those individuals, in turn, can become resources for the Ugandan government as it moves forward with its national decentralization agenda. The university will then be able to train not only national leaders, but also district leaders, most of whom lack higher education and formal training for their positions, in the areas of health, agriculture, finance, education, planning and public administration. In this way, we work together, in a collaborative public/private philanthropic effort, to build a strong civil society that can move the country forward.

The Rockefeller Foundation is also working to alleviate health inequities among poor people in developing countries. This is nothing new; we've pursued scientific approaches to world health throughout our history, with some well-known resulting accomplishments, including our support of research leading to the discovery of penicillin and development of the yellow fever vaccine, for which a Foundation staff member won a Nobel Prize. Today, we operate as a catalyst and partner among the myriad public, private and nongovernmental organizations engaged in health-equity work.

We know, from experience, that some of the world's most significant health problems exist simply because people in developing nations lack access to the kinds of treatments available in developed countries. Tuberculosis, for example, though still around in the United States, is not considered a public health threat because people have access to drugs that will cure it.

Such is not the case in the developing world, where TB is commonplace and kills more than two million people annually. One third of the world's population is currently infected with TB, and each year eight million people develop the disease in its active form. The standard treatment is a six to eight month regimen. However, when a patient must walk miles to the nearest public clinic, or the clinic is open only on Wednesdays, this effectively constitutes no treatment at all, because people simply cannot follow through for the required time. Meanwhile, the bacillus continues to build up resistance to existing medicines, and no new class of TB drugs has been developed in more than 30 years.

We considered what would be the ideal situation: a new TB drug that didn't require a lengthy treatment plan. The primary obstacle to its development: money. It costs an estimated \$300 million, on average, for a pharmaceutical company to develop and take a new drug to market--but when the only market for that drug is poor people who can't afford it, there is no financial incentive to induce that investment.

To remove that obstacle, we brought together the Global Alliance for TB Drug Development. A public/private partnership, the Alliance operates independently and is modeled after a small, virtual R&D company. Using Foundation money for initial research costs, pharmaceutical companies have agreed to make the new drugs, once developed, available at cost to poor people in developing nations in return for guarantees from the ministries of health in those countries that they will purchase a certain number of doses.

All of this came about in a record 14 months; perhaps equally remarkable, with our initial \$15 million commitment, together with \$25 million from the Gates Foundation, the Rockefeller Foundation leveraged commitments totaling \$150 million. We were able to do that because, when a foundation comes into the game, we kindle interest, add credibility, eliminate initial risk and stick with it for the long haul.

That is how we use our catalytic power to effect long-term, systemic change. That is how, over time, we will help to improve food production, access to jobs, education and health among the world's poor and excluded.

That is how we will contribute to the development of effective civil societies in Africa, and how we will promote the development of the first new TB drug by 2010.

We do not treat symptoms; rather, we go into things for the long haul, for that is the most effective, sustainable way to alter the root causes of the world's complex ills.

Gordon Conway  
March 26, 2001

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## FINANCIAL REPORT

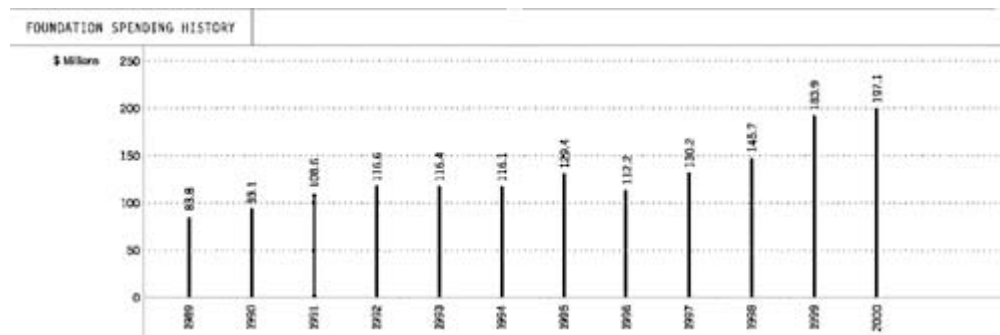
The Rockefeller Foundation's endowment was \$3.5 billion at year-end 2000. The portfolio returned - 0.4 percent in 2000, while investment returns for the past five years have averaged 13.9 percent per year.

During the year 2000, the endowment provided for grantmaking and related expenditures of \$197 million.

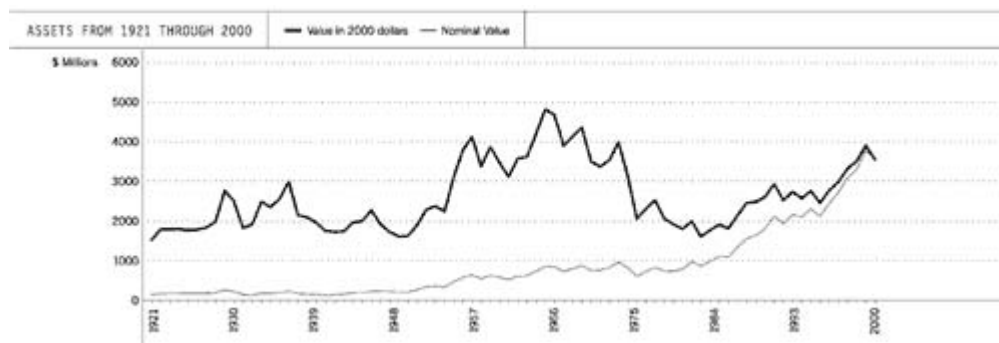
Since inception, the Foundation's grantmaking has totaled more than \$11 billion in 2000 dollars. The chart below summarizes the Foundation's spending history for the last 12 years.

Created in 1913, the Rockefeller Foundation was endowed in several installments that totaled about \$250 million. The graph below shows that the real value of the endowment in 2000 dollars has undergone periods of growth and erosion.

During the 1960s and 1970s, many endowments, including the Rockefeller Foundation's, experienced substantial declines in real value as a result of high inflation and low investment returns. Those trends were reversed in the 1980s and 1990s. The value in 2000 dollars of the original endowment, fully funded in 1929, was \$2.5 billion, and it peaked at \$4.6 billion in 1964.



The year 2000 will be remembered by both institutional and individual investors as the time when prices of technology stocks reached a peak and then swiftly collapsed, when value investing returned to favor, and when the principle of diversification once again held meaning for investors. By the end of 1999, the five-year unprecedented performance of the U.S. equity market, and technology stocks in particular, had caused even seasoned investors to wonder if the long-held belief in the benefits of diversification across a broad spectrum of asset classes and securities was still valid. During 2000, diversification again paid off as the equity market broadened significantly and the high-quality bond sector was a top performer.

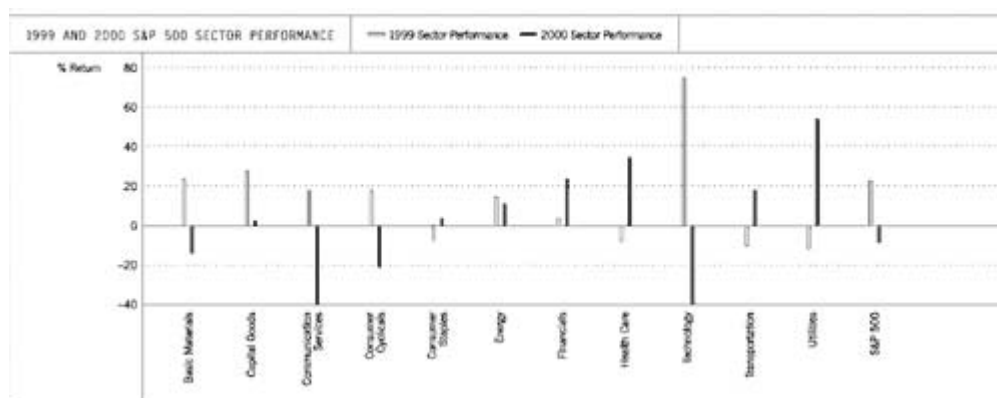


Beginning in the second quarter of 2000, value investing significantly outpaced growth as the NASDAQ index declined 51 percent from its March peak. The dramatic shift from 1999 to 2000 is illustrated by a comparison of the Russell 3000 Value and Growth indices for the two years.

	1999 (%)	2000 (%)
Russell 3000 Value	6.7	<b>8.1</b>
Russell 3000 Growth	33.8	<b>-22.4</b>

The shift in leadership in the U.S. equity market during 2000 was broadly based, as several sectors took over leadership from technology and communications shares. As shown in the following chart, which compares sector performance in 1999 with 2000, the financial, health care and utilities sectors generated strong returns in 2000.

The U.S. fixed income markets, especially Treasury securities, provided strong performance during 2000, and the Salomon BIG bond index returned 11.6 percent for the year. In contrast to Treasury bonds, however, yield spreads on lower-quality fixed income securities widened to extraordinary levels during the year in response to fears of economic weakness. High-yield bonds underperformed by a wide margin as default rates increased.



Slowing economic growth and high oil prices negatively impacted international equity markets. Declines in the U.S. technology sector had a particularly severe impact on emerging markets. For the year 2000, the EAFE index of developed market securities declined 14.2 percent, and the index of emerging markets declined 30.6 percent. In addition, foreign currencies declined versus the U.S. dollar, especially the euro, which fell 18 percent through October but recovered in November and December to a 6 percent decline for the year.

In providing oversight of the endowment, the key financial objectives of the Foundation's board of trustees are (1) maintaining the long-term purchasing power of the endowment, after inflation and grantmaking, and (2) maximizing funds available for current program needs and administrative support. These conflicting goals are balanced through policies on the spending rate and on the asset allocation of the investment portfolio. The Foundation's long-term target for annual spending is 5.5 percent of the market value of the endowment.

Asset allocation policy is reviewed annually by the Finance Committee, which establishes a target allocation for each asset class. The Foundation rebalances to policy targets as markets move, but does not make frequent tactical shifts in asset allocation. The long-term asset allocation targets are:

Asset Class	Percent
U.S. Equity	35
International Equity	22
Bonds	22
Real Estate	10
Private Equity	10
Cash Reserve	1

The Foundation's investments staff develops overall strategy, recommends investment managers and oversees their performance and adherence to guidelines, researches new investment opportunities and determines their feasibility for the Foundation, and monitors and controls portfolio risks. A few fundamental principles underlie the investment program. Asset allocation is an important focus for the trustees and the investment staff. Diversification is essential to portfolio design, but new approaches are added only if they are fully understood, serve a clear purpose and can be implemented in meaningful quantities.

In selecting outside managers, we seek firms that, in addition to strong track records, have the people, management structure and disciplined processes to generate superior future results. While quantitative tools are essential for organizing data and for portfolio analysis, we believe that fundamental research and judgment always will be necessary in a world of rapidly changing capital markets. We recognize that investment expenses have a substantial impact on long-term results, and we consider cost control an important component of effective portfolio oversight.

The U.S. equity portfolio currently has approximately 30 percent invested in an S&P 500 index fund, and the remainder is allocated among nine active managers. This asset class is benchmarked against the Russell 3000 index and is designed to roughly approximate index allocations to small-, medium- and large-capitalization stocks.

The U.S. bond portfolio is managed by five advisers. In addition to U.S. Treasury and agency securities, the portfolio includes mortgages, corporate bonds, asset-backed securities, high-yield bonds and international bonds with managers who have expertise in these areas.



The Foundation's international equity portfolio has a small index fund component, which is maintained for purposes of portfolio rebalancing, plus six active managers. Currency risk is hedged at a 50 percent level by specialist managers, who manage only currency positions and do not select the underlying equity securities. Emerging markets can represent up to 20 percent of the total international equities portfolio, and the Foundation has two managers who specialize in these markets.

In addition to marketable securities, the Foundation makes investments in private equity and real estate through funds run by experienced teams in these sectors. The inefficiency of private markets offers long-term institutional investors, who can tolerate illiquidity, the opportunity to benefit from value added by experienced principals in selecting, structuring and managing investments. Our strategy is to build relationships with top-tier firms with whom we can invest in a series of funds over time and to structure partnerships that align our interests with those of our partners.

## INFORMATION FOR APPLICANTS

### Contacting The Foundation About Grants

The Rockefeller Foundation works to enrich and sustain the lives and livelihoods of the poor and excluded throughout the world.

The Foundation has identified four themes, or subject areas of work—Creativity & Culture, Food Security, Health Equity, and Working Communities. A cross-theme of Global Inclusion supports, promotes and supplements the work of the four themes. In addition, the Foundation funds a number of programs that are new or exploratory in nature. Foundation programming is managed from the Foundation's New York City headquarters, and from regional offices in Bangkok, Thailand; Nairobi, Kenya; Harare, Zimbabwe; Mexico City; and San Francisco.

The Foundation is a proactive grantmaker—that is, the officers and staff seek out opportunities that will advance the Foundation's long-term goals, rather than reacting to unsolicited proposals. Foundation officers receive more than 12,000 proposals each year, 75 percent of which cannot be considered because their purposes fall outside the Foundation's program guidelines.

Organizations interested in Foundation grantmaking should send a letter of inquiry addressed to the director of the subject area of interest, Rockefeller Foundation, 420 Fifth Avenue, New York, N.Y. 10018, or, inquiries can be sent online as follows: Creativity & Culture—[creativity@rockfound.org](mailto:creativity@rockfound.org), Food Security—[food@rockfound.org](mailto:food@rockfound.org), Health Equity—[health@rockfound.org](mailto:health@rockfound.org), Working Communities—[work@rockfound.org](mailto:work@rockfound.org), or Global Inclusion—[global@rockfound.org](mailto:global@rockfound.org). An inquiry should briefly describe the project and its purpose (no attachments, please).

It is important to note that, as a matter of policy, the Foundation does not give or lend money for personal aid to individuals, support attempts to influence legislation, or, except in rare cases, provide general institutional support, fund endowments or contribute to building and operating funds.

## PROGRAM OBJECTIVES

### Theme: Creativity & Culture

**Resilient and Creative Communities:** Preserving and renewing cultural heritages to enhance the well-being of societies and equip the poor and excluded to relate to, and gain benefits from, the new global community.

Grantmaking is done through Recovering and Reinventing Cultures Through Museums, a competitive program supporting exhibitions that broaden the definition of American art and chart the cultural contributions of non-Western populations. Support is also given to ongoing research initiatives on the cultural indicators of social health. The Foundation's Partnerships Affirming Community Transformation (PACT) initiative annually accepts applications for its competitive program. PACT supports community partnerships that use the arts and humanities to bridge difference and effect social change.

Explorations are under way to preserve and strengthen threatened traditional art forms in developing countries; to help communities recover and interpret cultural materials, such as literary or religious texts and oral histories; and to examine the role that memory, history and imagination play in helping communities withstand and adapt to the stresses of poverty, exclusion and violence. These program explorations are not open to direct application.

**Knowledge and Freedom in the Public Sphere:** Artists and humanists, through social critique, play a key role in creating democratic, inclusive civil societies. Translating religious ideas into the language of public discourse and brokering conversations between religious communities can help defuse tensions that deeply touch people's lives.

Grantmaking is done through initiatives that fortify civil society through cultural institutions in Africa and the Muslim world, and mobilize the assets of religions to build resilient communities. Support for scholarship and research networks is provided through a competitive program of Resident Humanities Fellowships hosted by humanities centers in North and South America. Additional ways of bringing humanities perspectives to bear on the Foundation's new program themes are under exploration.

**Creativity and Innovation in a Global Age:** The voices and visions of artists and humanists can help us envision reality and clarify our understanding of ourselves and others. Such expression can be enhanced by experimentation with new technologies.

Grantmaking supports the creation of new work in the performing arts (dance, music and theater) through application to the Multi-Arts Production (MAP) fund. Support for independent media artists in the United States and Mexico working in documentary, video, dramatic narrative film and experimental digital design is provided through Film/Video/Multimedia fellowships awarded by nomination—not by direct application—and administered by National Video Resources.

Explorations are under way to create environments that encourage new media collaborations between the artistic and technological communities, and to engage humanists and social scientists to probe the meaning of the cultural expression and the new forms of social organization enabled by the Internet. These particular explorations are not open to direct application.

For inquiries or applications, contact: [creativity@rockfound.org](mailto:creativity@rockfound.org);  
fax: (212) 852-8438.

### **Theme: Food Security**

**Enabling Farmer Participation:** Improvements in the food security of poor farm families must be based on effective and sustainable methods for engaging them directly in the articulation of their needs, and in the analysis, design and implementation of agricultural innovations.

Grantmaking focuses on farmer participation in setting priorities for and in conducting plant breeding, developing seed production and distribution systems, and improving agronomic practices.

**Applying Science and Technology:** Key constraints to the food security of the rural poor in bypassed areas can be addressed effectively through coordinated partnerships of local scientists and farmers with cutting-edge biotechnologists, plant breeders, agroecologists and policy scientists.

Grantmaking focuses on accelerating the discovery, development and application of new genetic and agroecological strategies for enhancing yield stability, producing more resilient crops, improving human nutrition and preventing environmental degradation.

**Strengthening Policies and Institutions:** Appropriate training and institution building can strengthen local organizations to access and move the key policy, institutional and technological levers that lead to increased incomes and improved food security.

Grantmaking aims to foster national development of policies that support resilient and profitable smallholder agriculture, and strengthen institutions that integrate the scientific and participatory approaches to innovation development.

The work of the Food Security theme is global in scope, but will have special emphasis on sub-Saharan Africa and South and Southeast Asia. Training of national scientists from these regions can be included in research grants, and postdoctoral fellowships may be awarded to candidates nominated by grantee institutions.

For inquiries or applications, contact: [food@rockfound.org](mailto:food@rockfound.org);  
fax: (212) 852-8442.

### **Theme: Health Equity**

**Harnessing the New Sciences:** Advocacy, capacity building and support for specific product-development initiatives can effectively harness the new sciences to counter market failures on essential health products.

Grantmaking supports the acceleration of product development for neglected diseases afflicting the poor, including vaccines for children's diseases and for AIDS, and medicines for malaria and tuberculosis.

Support continues for reproductive-health technology-development projects already under way.

**Resourcing Public Health:** The generation, sharing and use of knowledge-based resources through partnership and cooperation can strengthen the capacity of local health systems to improve the health of the poor and to redress inequities.

Grantmaking supports activities that increase access to knowledge, develop human resources and promote excellence in research through partnership, cooperation and participation.

**Strengthening Global Leadership:** Redressing the disproportionate burden of emerging health threats upon the poor is contingent upon a robust new framework for health equity that is based upon new knowledge and on giving voice to the poor and excluded through dynamic leadership by individuals and institutions.

Grantmaking focuses on strengthening health-equity analysis, identifying best practices and tools for equitable health-sector reform, and promoting greater institutional responsiveness and accountability to the concerns of the poor in the context of new and emerging health problems.

The work of the Health Equity theme is global in focus, but has special emphasis on sub-Saharan Africa and South and Southeast Asia. Health Equity does not support fellowships or scholarships for higher education.

For inquiries contact: [health@rockfound.org](mailto:health@rockfound.org);  
fax: (212) 852-8279.

### **Theme: Working Communities**

**Setting a More Equitable Public Agenda:** Research, policy analysis and advocacy can promote a deliberate, informed and participatory public agenda to achieve more equitable outcomes.

Working Communities funds research on (1) the consequences of economic, technological and demographic trends on the structure of work, and their impact on the least skilled, through a request for proposal process sponsored with the Russell Sage Foundation, and (2) the structural components of racial and ethnic exclusion and their implications for democracy. Policy analysis and advocacy grantmaking is limited to long-standing grantees of the Foundation and projects that are invited to apply as part of Foundation initiatives to increase employment opportunities in specified states and cities.

**Building Competent Organizations:** Communities need strong, competent organizations to sustain and improve programs that provide lasting social benefits.

Grantmaking is made through national initiatives, such as the National Community Development Initiative, which supports community-development corporations, or by invitation to participate in city-specific initiatives to increase the scale and impact of reform in poor school districts, or directly to work-force development providers that serve very poor communities.

**Testing Innovations:** Practical, proven and cost-beneficial models, designed and implemented with the active participation of community residents, are needed to reduce educational inequities, increase employment opportunities and promote racial/ethnic inclusion.

Grantmaking supports well-designed and rigorously evaluated models to improve: (1) employment access and advancement opportunities, (2) the quality of education for poor and limited English-speaking children, and (3) innovative solutions that increase voice and participation of the poor and excluded as an antidote to racial and ethnic exclusion. Projects are funded by invitation only.

For inquiries, contact: [work@rockfound.org](mailto:work@rockfound.org);  
fax: (212) 852-8273.

## **Cross-theme: Global Inclusion**

Global Inclusion (GI) makes connections among the four themes, regional offices and special programs. This cross-theme tackles issues which connect the themes and their constituencies, and identifies ways to strengthen the linkages between them.

GI also concentrates on the linkages between global organizations and local communities, and on the individuals who experience directly such problems as water shortages or violent conflict.

GI's grantmaking is based on three related strategies to promote social change:

- Promoting and making widely available the best information, products and processes—scientific, technological and political—from all parts of the world to advance inclusion;
- Working to assure that the institutions, processes and events that set the rules of globalization are increasingly transparent, participatory and aimed at promoting public good as well as private wealth;
- Making the necessary links between the shared values, aspirations and worldviews of the powerless and the powerful, worldwide.

This cross-theme seeks to frame issues and focus public will and resources on critical world issues. Grantmaking is designed to encourage a more open and productive atmosphere in current global debates and to enhance the participation and voice of developing-country actors in policymaking.

GI makes grants according to the following lines of work:

1. Global dialogues on plant biotechnology
2. Intellectual-property rights
3. Trade and development
4. Cooperative engagement
5. Peace and security
6. Women at work
7. Leadership for the Environment and Development (LEAD)
8. Water
9. Environmental governance
10. Science as a public good
11. Sustainable development theory

Global Inclusion will review a short letter of inquiry describing the project for which funding is sought. Funds are limited and will be primarily directed by Foundation staff. Large institutional grants will not be considered. Global Inclusion does not support educational fellowships.

For inquiries contact: [global@rockfound.org](mailto:global@rockfound.org);  
fax: (212) 852-8461.

## **Regional and Special Programs**

### **AFRICA REGIONAL PROGRAM**

*Goal:* To contribute to the renewal of the African continent by building the required human and institutional capacity by providing critical information that

will promote effective policies and programs to improve the lives and livelihoods of the poor.

Grantmaking supports work in three areas.

**Human Capacity Building:** Africa has a dual challenge: providing access to universal primary education of a high quality to a young and growing population, and upgrading its higher education system so that its skilled sons and daughters can utilize sophisticated technology, and so that its scientists are among the inventors.

Grantmaking supports activities aimed at closing the gender gap in school access and achievement, and at reducing the waste of the school systems in countries where universal primary education is becoming a reality. We also support activities aimed at improving understanding of the challenges facing higher education in Africa and at helping universities become more relevant to the development of the continent.

**Information For Development:** Democratization and increased accountability of elected leaders is enhancing the local demand for information to support evidence-based decision making and evaluate development programs.

Grantmaking supports research that informs policy development, program design and resource allocation by providing local-level, multifaceted information on food, health, work and other human conditions that is needed to understand and address the root causes of poverty.

**Partnerships for Africa's Renewal:** Success of our efforts in food, health, culture and work requires more than education and capacity strengthening. It is particularly important to address the broader contextual and developmental issues that shape the contribution of the capacity that is being built.

Grantmaking in this area of work is purely exploratory and does not accommodate unsolicited proposals.

## **COMMUNICATION FOR SOCIAL CHANGE**

*Goal:* To enhance the effectiveness of development initiatives focusing on the poor and excluded by fostering innovative communication approaches that engage, empower and engender positive social change.

CFSC supports projects in three areas:

- Researching, testing and applying new indicators and evaluation tools to measure the effectiveness of communication projects and programs. Grantmaking will focus on developing new methods for joint funding, and on analysis and dissemination of communication research that affects the work of the Foundation and its grantees.
- Testing and conducting trials of communication-for-social-change methodology on key social issues impacting the poor and excluded, especially in partnership with Rockefeller Foundation grantees. Grantmaking will focus on researching and testing the effectiveness of communication for social change in addressing critical issues faced by

poor communities, and on developing evaluation measures and methodologies that are less intimidating and more participatory.

- Creating new training models and means-of-knowledge transfer about how to apply communication-for-social-change methodology, particularly for Rockefeller Foundation grantees.

Grantmaking will focus on mobilizing resources to develop and strengthen the capacity of communication professionals in poor nations, including the encouragement of free and democratic media.

For inquiries contact: [csc@rockfound.org](mailto:csc@rockfound.org);  
fax: (212) 852-8441.

The Foundation also has special programs focusing on Public/Private Partnerships and Global Philanthropy.



## **THE PROGRAMS: CREATIVITY & CULTURE**

**Goal:** To give full expression to the creative impulses of individuals and communities in order to enhance the well-being of societies and better equip them to interact in a globalized world.

Cultures are both resilient and fragile. The arts and humanities are the repository of tradition and memory, which help people to withstand the stresses of poverty, migration, violence and discrimination.

Globalization, propelled by new technologies, provides both opportunities and threats to creativity. Folk traditions can re-emerge and strengthen community morale, while new technologies and modes of communication create "imagined communities" that unite people across geographic boundaries through shared experiences. At the same time, globalization can lead to the homogenization of cultures and can undermine cultural diversity.

As it has for some 80 years, the Rockefeller Foundation bases its support for the arts and humanities on the belief that societies benefit from the free expression of creative individuals. Refocusing its strategy to address today's challenges of globalization, the Foundation aims to enhance the creativity of individuals and communities through the preservation and renewal of the cultural heritage of the poor and excluded, the engagement of artists and humanists in the creation of democratic and inclusive societies, and the support of diverse creative expression and experiments with the new digital technologies.

The Foundation supports the recovery and reinvention of cultures through such vehicles as museum exhibitions, preservation of traditional art forms, cultural heritage and folklife projects, and community-arts projects, as well as efforts to promote cultural policy and understand the cultural components of well-being. It promotes social critique and the free flow of ideas through humanities research, efforts to strengthen pluralism and institutions of public culture in Africa, Latin America and within Muslim communities, and the mobilization of religious assets in building civil society. In addition, the Foundation supports media and performing artists through fellowships, festivals and the creation/presentation of new work; it facilitates the interaction of the arts and new technologies; and it probes the meaning and impact of those technologies.

Although most of the Foundation's Creativity & Culture work is concentrated in the United States, initiatives in other countries include strengthening a network of African publishers and recovering and publishing literature by African women writers, resident fellowship programs for humanities scholars in Central and South America, explorations with museums in southern Africa and Mexico, reconstruction of traditional Cambodian court and folk dances at the Royal University of Fine Arts in Phnom Penh, and support for efforts to commemorate social trauma as democratization and social reconstruction proceed. Examples are humanities research focused on violence, democracy and authoritarianism at the cultural nongovernmental organization SUR in Peru and an international coalition of "historic sites of conscience" that include the Lower East Side Tenement Museum in New York, the Slave House in Senegal and the Project to Remember in Argentina.

## THE PROGRAMS: FOOD SECURITY

**Goal:** To improve the food security of the rural poor through the generation of agricultural policies, institutions and innovations that will provide sustainable livelihoods in areas of sub-Saharan Africa, Asia and Latin America bypassed by the Green Revolution.

One of the great scientific success stories of the latter half of the 20th century, the Green Revolution helped many in Asia, Latin America and Africa achieve food security. The Foundation has engaged directly in agricultural work since 1934, when it embarked on a rural reconstruction program in China; its association with the Green Revolution commenced in 1943, with the inception of a program designed to increase food production in Mexico. In 1970, a Foundation staff member, Norman Borlaug, won the Nobel Peace Prize for his pivotal role in modernizing agriculture in the developing world.

In much of Asia and portions of Latin America, the introduction of improved crop varieties that respond well to fertilizer and irrigation has boosted food production and farming incomes considerably. However, large numbers of rural poor people remain in poverty; most of them farm where rainfall is low and variable, and where soils are poor in nutrients. More than 800 million people are chronically undernourished. Food for the urban poor depends upon jobs, income and low prices, while food for most of the world's 600 million rural poor depends upon their own labor and the productivity of their often limited or fragile land resources.

To help those farm families move out of poverty, Foundation grantees are generating agricultural innovations, including more dependable and sustainable farming practices, as well as new crop varieties developed for the specific environmental and socioeconomic conditions under which the poor farm. Our grantees are engaging farmers themselves as participants in scientific investigations and in the development of new technologies to meet their needs.

The ability of local organizations to access and move key institutional, policy and technological levers is critical to the success of this process. To foster development of local, national and international policies that will increase the productivity, stability and sustainability of smallholder agriculture, the Foundation seeks to empower and invigorate institutions which provide goods and services to poor farmers. In Africa, for example, the Foundation provides funding to build the capacity of African institutions and strengthen their commitment to serving smallholder farmers. The Agricultural Productivity and Food Security Task Force in Zimbabwe, the Maize Productivity Task Force in Malawi and the Sustainable Community Oriented Development Programme in Kenya are among the initiatives that are helping to achieve Foundation objectives.

## THE PROGRAMS: HEALTH EQUITY

**Goal:** To advance global health equity by pursuing the reduction of avoidable and unfair differences in the health status of populations.

Although longevity gains in the last 40 years exceeded those of all of the past 4,000 years, humankind's extraordinary progress in health has not been equally distributed among or within countries. Genetic predisposition, crowded living conditions, environmental exposures, food insecurity and inadequate access to health care all contribute to disparities in health achievement.

Health-adjusted life expectancy in Japan exceeds 75 years, while in Sierra Leone it is about 25 years. People in the North can expect to live with HIV for 20 years or more, while in eastern and southern Africa, AIDS has precipitated a 20-year free fall in life expectancy. Within rich and poor countries alike poor women suffer markedly increased risks of death and disease compared to rich women.

Health-product market failures, crumbling health systems in the wake of health-care reform and a myriad looming health threats have combined to generate inequities in health that the Foundation is working to address by harnessing the new sciences, resourcing public health and strengthening global leadership.

The Rockefeller Foundation has pursued scientific approaches to world health throughout its history--from eradicating hookworm to modernizing medicine and public health. The Foundation's accomplishments are many, including support for research leading to the discovery of penicillin and of the yellow fever vaccine, for which a staff member won a Nobel Prize.

The Foundation's new Health Equity strategy seeks to counter health-product market failures with advocacy, capacity building and support for specific product initiatives. Much of this work is being done through public/private partnerships patterned after the Foundation created the International AIDS Vaccine Initiative. This year, for example, a new alliance for accelerating tuberculosis drug development was born.

The Foundation aims to revitalize public health systems to address the health priorities of poor and marginalized people and redress disparities, particularly in sub-Saharan Africa, and South and Southeast Asia. In the Mekong Delta area of Southeast Asia, for example, a new program is working to strengthen the capacity of health systems to identify and redress disparities in the infectious diseases by improving the collection and use of surveillance information. Recognizing the need for local ownership, policymaker buy-in and scientific validity, the concept of a "gauge" to monitor inequities in health and health care has emerged.

In Nairobi, Kenya, an urban slum equity gauge is calling policy attention to deplorable living conditions and unacceptably high child-mortality rates.

Finally, the Foundation has acknowledged the need to address looming health threats. This type of work includes a multicountry tobacco control effort under way in Southeast Asia.

## **THE PROGRAMS: WORKING COMMUNITIES**

**Goal:** To transform poor urban neighborhoods into working communities--safe, healthy and effective neighborhoods--by increasing the amount and quality of employment, improving the quality of all urban schools, and increasing the influence and voice of the poor and excluded in political decisions that affect their lives.

Quality education, good jobs, and increased participation of the poor and excluded are the building blocks of successful working communities in urban neighborhoods and metropolitan regions. Such communities depend on and, in turn, foster the increased involvement of all residents, which, ultimately, creates and sustains a more equitable and just society.

In its quest to achieve greater social justice, the Foundation faces some daunting challenges in its home country:

- Despite sustained U.S. economic growth and the lowest unemployment rates in three decades, one in every eight persons remains in poverty, which belies the notion that economic growth alone ultimately will reduce poverty.
- Income inequality in the United States is the highest among all industrialized nations, due, in part, to the decline in real wages of low-skilled workers.
- Racial and ethnic minorities are poor in disproportionate numbers. While one in 10 white persons is poor, one of every four Latinos and African-Americans lives in poverty.
- Poverty is primarily an urban phenomenon; three fourths of the poor live in metropolitan areas, and central U.S. cities are home to half of the nation's poor.
- Poverty among racial minorities is heavily concentrated in poor urban neighborhoods. One in 20 whites lives in a poor neighborhood, compared with one fourth of African-Americans and one fifth of Latinos.
- Poverty in U.S. neighborhoods increasingly involves the foreign-born, with the rate among some of the most recent newcomers approaching 50 percent.

The Foundation supports three areas of activities to improve these conditions: public policies with explicit goals to eliminate or reduce inequities and disparities in education, employment and civic participation, especially among racial and ethnic groups; competent public and private organizations to implement and sustain such policies and the programs; and detailed practical knowledge and research about which programs work, which do not, and with what costs and benefits.

The Rockefeller Foundation's Working Communities strategy supports programs designed to:

- Increase neighborhood employment rates so that most households make a living from paid work and to ensure that work pays;
- Enhance the participation of the poor and excluded in designing and implementing sustainable solutions;
- Improve public schools in poor neighborhoods; and
- Strengthen democratic processes.

By forging partnerships with business and community providers, the Foundation believes it can make a difference in the employment of the urban poor and excluded. One such arrangement with a private developer, the Mills Corporation, involved the training and hiring of more than 850 people to work at a new mall in Nashville, Tennessee.

The Foundation is drawing to a close several long-term educational initiatives and will host, in the spring of 2001, a national symposium on lessons learned from philanthropic efforts in school reforms. It will also continue to address school improvement with support for teacher quality improvements, a new project, "Re-Visioning School Districts," which will examine the conditions and elements necessary for district-wide reform, and new research to increase public knowledge about alternative educational reforms, including school vouchers, charter schools and dual language instruction, among others. To increase the participation of racial and ethnic minorities in shaping solutions to inequality and exclusion, the Foundation supports collaboration among scholars, activists and community leaders that combine research and community interests; innovative legal practices that encourage community participation in addressing the problems of racial justice; and ideas that expand upon traditional racial-equity approaches, look at the structures of opportunity for everyone, and promote broad, deliberate and informed discourse to set remedies.

In conjunction with its Creativity & Culture work and with the help of its Mexico regional office, the Foundation also has begun to explore the transnational character of urban communities.

One of the core processes in the emergence of a new urban paradigm, transnational communities are reshaping the lives and livelihoods of the poor and excluded through the routine cross-border flow of people, money and ideas. Although the public debate has focused on how well new immigrants are adapting to U.S. communities, few observers or policymakers have focused on how U.S. institutions and communities are changing as a result of the large numbers of immigrants. Governments and civic organizations in the countries of origin also have not paid serious attention to the effects of these routine cross-border movements on families, households and communities at home. In the context of globalization, the Foundation is reviewing research, policies and the activities of civil society to learn more about how the everyday realities of the poor and excluded are becoming transnational and how poverty in U.S. communities is intermixed with and reinforced or overcome through connections abroad. The review also involves a search for innovative strategies that work across borders to improve the lives and livelihoods of the transnational poor and excluded.

## **THE PROGRAMS: GLOBAL INCLUSION**

**Goal:** To help broaden the benefits and reduce the negative impacts of globalization on vulnerable communities, families and individuals around the world.

The cross-theme of Global Inclusion supports, promotes and supplements all four of the Foundation's thematic lines of work.

Globalization refers to the rapid and massive movement of capital, goods, people, ideas, institutions and images across the globe. Although this process has been occurring for centuries, its speed and scale have accelerated over the last 50 years.

To be "included" in the benefits of globalization and protected from the worst of its negative consequences, individuals, households and communities would have:

- Influence over the rules and systems that govern political, economic and cultural life;
- Participation in the prosperity that global economic change offers;
- Access to global information;
- Protection from such physical harm as violence, environmental pollution or disease epidemics; and
- The expectation that global systems promote peace and stability.

Under its Global Inclusion theme, the Foundation is pursuing both ongoing and new initiatives in an effort to have an impact on fast-moving, complex global policy processes. Current lines of work are:

- Global biotechnology dialogues
- Intellectual-property rights
- Trade and development
- Cooperative engagement
- Peace and security
- Women at work
- Leadership for the Environment and Development (LEAD)
- Water
- Environmental governance
- Science as a public good
- Sustainable development theory

Global Inclusion seeks to make connections among the Foundation's four themes. Working with Foundation staff, it uses the opportunities provided by these connections to invest in activities and people who can shape rules, norms and practices to promote the well-being of the poor and excluded globally. Global Inclusion tackles issues which connect the themes and their constituencies, and identifies ways to strengthen the linkages between them. Growing global interdependence and porous boundaries underscore that economic and social integration must be shaped to include such broadly defined aspirations as safety, dignity, freedom and economic security for all peoples. Specifically, the word "inclusion" refers to our efforts to include in global problem solving the Foundation's main constituency: the poor and excluded.

Global Inclusion grantmaking and other activities are based on three related strategies to promote social change:

- Promoting and making widely available the best information, products and processes--scientific, technological and political--from all parts of the world to advance inclusion;
- Working to assure that the institutions, processes and events that set the rules of globalization are increasingly transparent, participatory and aimed at promoting public good as well as private wealth;
- Making the necessary links between the shared values, aspirations and worldviews of the powerless and the powerful, worldwide.

Global Inclusion grantmaking supports activities in all three of these areas. However, all GI activities are based on the belief that, in both a moral and a practical sense, if globalization does not work for everyone, even the least among us, ultimately it will not work for our common future.

## **THE PROGRAMS: ASSETS & CAPACITIES**

### **Bellagio**

Since its opening in 1959, the Bellagio Study and Conference Center, located on Lake Como in northern Italy, has hosted more than 3,300 resident scholars, artists, writers, scientists and policymakers, and accommodated 20,000 workshop and team participants. Each year, about 140 residents and 50 workshops and teams are hosted--each selected as part of a competitive application process.

Persons invited to Bellagio are considered for short-term workshops, team residencies or individual longer-term stays for the purpose of study, writing or artistic creation.

#### **Individual, collaborative and parallel residencies**

The Center offers one-month stays for 15 residents at a time. Individuals in any discipline or field and coming from any country who expect their work to result in publication, exhibition, performance or other concrete product are welcome to apply for a period of work uninterrupted by the usual professional and personal demands.

#### **Workshops and teams**

The Foundation seeks applicants of achievement and promise who are addressing significant issues, tackling substantial scholarly problems or attempting to go beyond artistic boundaries. Emphasis is given to diversity in geography (developing-country applicants are especially encouraged to apply). Workshops and teams range in size from three to 25 participants.

### **Communication for Social Change**

**Goal:** To enhance the effectiveness of development initiatives focusing on the poor and excluded by fostering innovative communication approaches that engage, empower and engender positive social change.

Virtually all of the Foundation's work relies heavily upon the ability to "get the word out," to reach the affected stakeholders, and to position an issue or issues in the public consciousness. Foundation grantees must have effective communication strategies and skills in order to inform policy, advocate for change, or persuade users and consumers that their technologies are workable. We must also help grantees begin to identify how the voices of the poor and excluded can be better engaged, and how research, technology and programs can better incorporate their opinions and values.

Communication for social change is a technique for engaging communities in their own problem solving and decision making, then using such decisions to communicate essential information to all those impacted by the issue. It is defined simply as a "process of public and private dialogue wherein people define who they are, what they want, how to get what they need." Social change is defined as change in people's lives as they themselves define such change. This work seeks particularly to improve the lives of the politically and economically



marginalized, and is informed by principles of tolerance, self-determination, equity, social justice and active participation for all.

This special program finds ways to help poor people increase their abilities to use communication approaches and technology to improve their lives. For example, in rural Zimbabwe we are working with youth groups as they develop mechanisms to talk about AIDS and the dangers of the disease among their peers. Through drama, song, public-opinion polling, community dialogue circles and teen workshops, they are learning that behavioral change cannot be sustained absent effective communication among adolescents, and between youth and their parents. And, that information dissemination alone--or the distribution of pamphlets, posters and ads--is not effective communication. By testing community-developed approaches and evaluating their success using an integrated social-science model of social change, we are hopeful that the Foundation and our grantees can learn techniques for sustaining positive behavioral change which will allow youth to avoid risky sexual activities that can lead to HIV infection.

## **Global Philanthropy**

For several years, the Foundation has funded small projects designed to encourage philanthropy on a global basis. It is part of the Foundation's ongoing effort to mobilize new resources in order to adequately tackle the world's most critical problems, as well as to develop partnerships with other potential funders.

Foundation grantmaking in this area seeks to learn about new trends in giving, to offer training in philanthropy to those who have newly acquired wealth, to help sustain the philanthropic sector in the United States, and to encourage growth of the philanthropic sector in other parts of the world. This work incorporates two ongoing projects: The [Philanthropy Workshop](#), a training experience in strategic giving, and The [Next Generation Leadership](#) program for young, promising leaders. We are also encouraging new philanthropic ideas while continuing to explore new partnerships and collaborations with existing as well as newer foundations.

In the year 2000, we began development of an unique philanthropic investment concept that we hope will inspire new ways of giving worldwide. It is a concept based on using information technology to build networks of effective philanthropists and leaders. This project will create a new philanthropy vehicle through which we hope to create a strong, global giving community that "invests" in and supports a serious collection of philanthropic portfolios ranging from health care to education to environmental issues.

## **Public/Private Partnerships**

Several years ago, the Foundation undertook an effort known as the Program Venture Experiment (ProVenEx). ProVenEx seeks to catalyze private sector investments in areas that will benefit the poor and excluded. To accomplish this goal, ProVenEx makes investments in early-

stage and growing companies with business strategies or technologies that will further the Foundation's philanthropic priorities, for example, new medical technologies/drugs against infectious diseases such as HIV, tuberculosis and malaria, and strategies to expand employment opportunities for inner-city residents. To date, ProVenEx has committed \$2.5 million to two companies: a small biotech company developing a microbicide to help protect women against sexually transmitted diseases, and a social venture enterprise which seeks to expand training and job opportunities for disadvantaged people.

## **THE PROGRAMS: REGIONAL OFFICES**

Over the course of its history, the Rockefeller Foundation has worked in many parts of the world. Our earliest work in hookworm, malaria and yellow fever was rapidly extended into Latin America and Asia. John D. Rockefeller himself, perhaps spurred by the Christian missionary movement of the 19th century, was especially interested in the modernization of China, where the Foundation operated its largest-ever program at the Peking Union Medical College. During the 1930s and 1940s, we worked extensively throughout Europe.

In the past two decades, we have concentrated our overseas work in eastern and southern Africa, the most economically deprived world region. Much of our early work involved posting technical officers overseas. Due to budgetary constraints and changing contexts, we withdrew our large field staff in the 1970s. Since then, we have operated with fewer than 10 overseas officers servicing specific programs.

For the future, we find it difficult to conceive of a global foundation based exclusively in New York City. The concept of a "global foundation" implies global awareness, an open mind-set, consciousness of globally shared (and differing) values, and an institutional capacity to harness global knowledge and learn from diverse societies. It also implies the intention to apply knowledge on the ground among specific people and in specific places. Foundation programs thus will adopt a global-planning framework, but will decentralize the implementation of the programs, wherever feasible, in response to local contexts, people and institutions.

Recognizing that the Foundation cannot hope to work everywhere, staff planning proposes that we seek means of enhancing our "field presence" in selected key regions. The means include residential staff, international networks, advisory inputs of local leaders and other modalities.

Our current regional bases vary greatly in their functions. Bellagio is the site of an international conference and study center under the direct management of the Foundation. The most developed multi-thematic engagement is in Africa, while offices in Asia, Latin America and on the U.S. West Coast service single programs. Several of our geographic bases will be developed into more ample regional offices, with full-time, resident professional staff. These regional offices will support, promote and supplement global thematic programs.

### **Bellagio**

The Bellagio Study and Conference Center is a Foundation-operated charitable activity. Our proposed year 2000 budget continues Bellagio as a Foundation-administered project. The Bellagio Committee will explore greater diversity and quality among selected artists and scholars for the future. It also encourages the Foundation to pursue more focused and sustained program interactions based at the facility.

### **Nairobi and Harare**

In year 2000, these two Africa offices will have seven full-time program officers — five in Nairobi and two in Harare. These officers will conduct ongoing programs in Food Security, Health Equity, Population, African Higher Education and Creativity & Culture. As such, the Africa offices will take the lead in the female education program, the strengthening of Makerere University and the

collaboration with the Carnegie Corporation of New York and the Ford and MacArthur foundations in the Partnership to Strengthen African Universities.

**Bangkok, Mexico City and San Francisco**

The Foundation's offices in Bangkok (Food Security), Mexico City (Food Security) and San Francisco (Working Communities) execute single-theme program objectives. These offices are in different stages of development and implementation. San Francisco just recently opened. Mexico City is just completing its grantmaking activities and Bangkok is in transition with adjustments to program priorities currently under way.



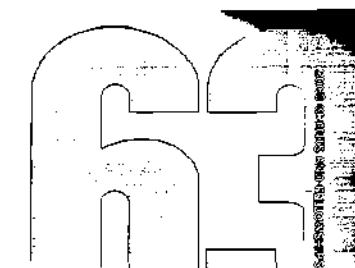
**The Foundation makes grants according to four program themes and one cross-theme, which are areas of grantmaking. Each theme and cross-theme has a program strategy that is explained in detail in the strategy section of this Report.**

What follows is the listing of all Foundation grants made in the year 2000, including competitive programs, fellowships and foundation-administered programs or projects.

The grants are organized in these categories: by themes, cross-theme, Regional Programs and Special Programs (which includes Global Philanthropy, Next Generation Leadership, Population and the Cairo Agenda, Communication for Social Change and Other Grants).

Grants are listed in alphabetical order by line of work within each strategy and Fellowships/Grants made to individuals for advanced study or research are alphabetized by the person's surname, as determined by American English usage conventions. Recipients of competitive programs in Creativity & Culture are also included in the alphabetical listings.

In the year 2000 the Foundation made grants and fellowships totaling US \$141,547,819.



## Resilient and Creative Communities

## RECOVERY, REINVENTION OF CULTURES

*Recovering and Reinventing Cultures Through Museums*

**Atlari**, Phoenix, Arizona \$50,000 toward the costs of the exhibition, "Who Stole the Tee Pee?"

**Bard College**, Annandale-on-the-Hudson, New York \$25,000 toward the costs of an exhibition of the works of Isaac Julien at the Center for Curatorial Studies Museum

**Brandywine Workshop**, Philadelphia, Pennsylvania \$25,000 toward the costs of the exhibition, "Hidden Images Cuban Contemporary Graphic Art."

**Carnegie Institute**, Pittsburgh, Pennsylvania \$35,000 toward the costs of the exhibition, "Popular CultureS Installations by Michael Parekowhai, Ravinder Reddy and Yinka Shonibare," at The Andy Warhol Museum

**Cornell University**, Ithaca, New York \$50,000 toward the costs of the exhibition, "Coming Up On the Season: Migrant Farm Workers in the Northeast"

**Cornell University**, Ithaca, New York \$25,000 toward the costs of the exhibition, "Blackness in Color Visual Expressions of the Black Arts Movement," at the Herbert F. Johnson Museum of Art

**Dartmouth College**, Hanover, New Hampshire \$100,000 toward the costs of the traveling exhibition, "Jose Clemente Orozco in the United States, 1927-1934," at the Hood Museum of Art

**The Drawing Center**, New York, New York \$50,000 toward the costs of an exhibition of the works of Brazilian artist, Ana Maria Maiolino

**Foundation-administered project**: \$17,997 toward the costs of a meeting to plan a conference on Museums in the Global Public Sphere

**Fundación Ars/TEORÉTICA**, San José, Costa Rica \$37,000 toward the costs of the first regional symposium on contemporary artistic practices in Central America

**Jewish Museum**, New York, New York \$50,000 toward the costs of the exhibition, "Morocco Jews and Art in a Muslim World"

**Mattress Factory**, Pittsburgh, Pennsylvania \$50,000 toward the costs of the exhibition, "Visual Sound," an exhibition by nine artists who work with sound as a primary component of their installation work

**Mexican Fine Arts Center Museum**, Chicago, Illinois \$100,000 toward the cost of the exhibition, "Mexicanidad"

**Mexican Museum**, San Francisco, California \$50,000 toward the costs of the exhibition, "Covarrubias The Miguel and Rosa Covarrubias Collection"

**Museum Associates**, Los Angeles, California \$100,000 toward the costs of exhibition, "The Road to Aztlan Art from a Mythic Homeland," at the Los Angeles County Museum of Art

**Museum of American Folk Art**, New York, New York \$50,000 toward the costs of the project, "The Quiet in the Land Everyday Life, Contemporary Art and Projeto Axé," in Salvador, Brazil

**Museum of Contemporary Art**, San Diego, La Jolla, California \$100,000 toward the costs of the exhibition, "UltraBaroque Aspects of Post-Latin American Art"

**Photo Archive Group**, El Segundo, California \$48,335 toward the costs of the Danang Phase of "The American War Project," an exhibition and book of Vietnam War-era photographs by Vietnamese photographers

**Robert Abbe Museum of Stone Age Antiquities**, Bar Harbor, Maine \$100,000 toward the costs of the exhibition, "The Four Mollies Women of the Dawn"

**Santa Monica Museum of Art**, Santa Monica, California \$25,000 toward the costs of the exhibition, "East of the River Chicano Art Collectors Anonymous"

**School of Plastic Arts**, San Juan, Puerto Rico \$50,000 toward the costs of the "Pepón Osorio in Puerto Rico Project"

**Seattle Art Museum**, Seattle, Washington \$100,000 toward the costs of the exhibition, "Treasures of a Lost Civilization Ancient Chinese Art From Sichuan"

**Smithsonian Institution**, Washington, D.C. \$50,000 toward the costs of Phase II project development of the exhibition, "A More Perfect Union," on Japanese-Americans during World War II, at the Asian Pacific American Studies Program

**University of California, Los Angeles**, Los Angeles, California \$200,000 toward the costs of the exhibition, "The Art of Rice Spirit and Sustenance in Asia" at the Fowler Museum of Cultural History

**University of California, Santa Barbara**, Santa Barbara, California \$25,000 toward the costs of the exhibition, "Just Another Poster? Chicano Graphic Arts in California," at the University Art Museum.

**University of Colorado at Boulder**, Boulder, Colorado \$35,000 toward the costs of the exhibition, "Vietnamese Lacquer Painting—A New Age"

**Worcester Art Museum**, Worcester, Massachusetts \$75,000 toward the costs of the exhibition, "Antioch The Lost Ancient City"

*Cultural Recovery Projects*

**Antonia Pantoja**, New York, New York \$75,000 toward the costs of an oral history/documentation project centered on her life and work in the Puerto Rican community

**Dance Theater Workshop**, New York, New York \$100,000 toward the costs of extending the program activities of the Suitcase Fund, an international network of choreographers, into the Mekong River region of Southeast Asia

**Foundation for the Study of Independent Social Ideas**, New York, New York \$86,500 toward the costs of publishing a special issue of Dissent magazine entitled, "The New Killing Fields"

**Legacy Project**, New York, New York \$33,573 toward "The Legacy Project," an exploration of artistic responses to large-scale, man-made trauma

**Lower East Side Tenement Museum**, New York, New York \$250,000 to support the development of the International Coalition of Historic Site Museums of Conscience

*Preserving Traditional Art Forms*

**Aid to Artisans**, Farmington, Connecticut \$75,000 toward the costs of a project entitled, "Crafts in the Land of Rice Culture"

**Alaska Native Heritage Center**, Anchorage, Alaska \$150,000 toward the costs of "Kayak Summer," an exhibition and preservation project documenting the use of traditional watercraft in Alaska

**Asia Society**, New York, New York \$50,000 toward the costs of a tour of "Uttar-Pryadarshi (The Final Beatitude)" by the Chorus Repertory Theater, a performance group from Manipur

**Asian Cultural Council**, New York, New York \$100,000 toward the costs of a Forum on Arts & Culture in the Mekong Region held in Bangkok, Thailand

**Asian Cultural Council**, New York, New York \$150,000 to provide continued support for the Cambodian Arts Mentorship Program

**Center for Traditional Music and Dance**, New York, New York \$100,000 toward the costs of the "Community Cultural Initiatives" and "New York The Global City"

**Conservancy for Tibetan Art and Culture**, Washington, D.C. \$50,000 toward the costs of Tibetan-American participation in "Tibetan Culture Beyond the Land of Snows," a centerpiece of the Year 2000 Smithsonian Folklife Festival

**Mongol-American Cultural Association**, New Brunswick, New Jersey \$25,000 toward the costs of humanities programs related to the Festival of Mongolia 2000

**New England Foundation for the Arts**, Boston, Massachusetts \$46,000 toward the costs of implementation support for a series of Cambodian artist community residencies

**Union of Community Museums of Oaxaca, A.C.**, Oaxaca, Mexico \$66,000 toward the costs of a training center for indigenous groups to establish their own community museums

## UNDERSTANDING CULTURAL COMPONENTS OF WELL-BEING

*Partnerships Affirming Community Transformation (PACT)*

**Alternate Roots**, Atlanta, Georgia \$65,000 toward the costs of an audience-development program for underserved, rural and/or new presenters, and community groups throughout the southeastern United States

**AMD&ART**, Johnstown, Pennsylvania \$140,000 toward the costs of creating public art pieces and a visitors' center at abandoned mine sites in Vintondale, Pennsylvania

**Appalshop**, Whitesburg, Kentucky \$50,000 toward the costs of the second phase of the Central Appalachian Festival/Artist and Community Gathering

**Arab Community Center for Economic and Social Services**, Dearborn, Michigan \$105,000 toward the costs of the project "Living the Present, Cherishing the Past: Voices from Arab Detroit"

**Elders Share the Arts**, Brooklyn, New York \$47,865 toward the costs of a media project on the lives of new immigrants in the borough of Queens, New York

**Foundation-administered project**: \$95,000 for administrative costs related to the Foundation's Partnerships Affirming Community Transformation (PACT) program

**Intermedia Arts**, Minneapolis, Minnesota \$150,000 toward the costs of planning and creating public art projects in the Midtown Greenway area of Minneapolis

**Philadelphia Folklore Project**, Philadelphia, Pennsylvania \$90,000 toward the costs of building youth folk-art ensembles in Philadelphia, using Chinese and Khmer classical dance traditions

**Project Row Houses**, Houston, Texas \$50,000 toward the costs of two rounds of artists' residencies and installations in renovated shotgun-style houses in the third ward neighborhood of Houston

**Social and Public Art Resource Center**, Venice, California \$133,735 toward the costs of completing designs for the next four sections of the Great Wall of Los Angeles mural

**Taos Day School**, Taos, New Mexico \$19,000 for use by its Service Learning Initiative project toward the costs of the "Corral Reconstruction Project," an initiative designed to connect students, community members and tribal members in a process that will document and reconstruct the historical corral system on the Taos Pueblo

**Trustees of the Corcoran Gallery of Art**, Washington, D.C. \$60,000 toward the costs of the CANVAS project, to build community among at-risk youth in Washington, D.C.

**University of Maine**, Orono, Maine \$146,400 toward the costs of a project to revive the language and culture of the Native American Passamaquoddy communities of Maine

**Village of Arts and Humanities**, Philadelphia, Pennsylvania \$150,000 toward the costs of three community park-building projects in North Philadelphia

**Wing Luke Memorial Foundation**, Seattle, Washington \$50,000 toward the costs of a cultural project and exhibition on the topic of Asian-Pacific American women in the garment manufacturing work force in Seattle.

**Youth Radio**, Berkeley, California \$150,000 toward the costs of developing a national network of community-based youth broadcasting partners

#### *Cultural Indicators/Cultural Policy*

**Canada Council for the Arts**, Ottawa, Ontario \$100,000 toward the costs of the World Summit on the Arts and Culture, an international conference of arts councils and arts-funding bodies held in Ottawa, Ontario, December 2000

**Center for Arts and Culture**, Washington, D.C. \$200,000 toward the costs of ongoing activities

**Columbia College Chicago**, Chicago, Illinois \$50,000 toward the costs of a research study of the informal arts in America at the Chicago Center for Arts Policy

**Fordham University**, New York, New York \$240,000 toward the costs of a National Social Survey Project to develop, collect, analyze and report a set of indicators of creativity and culture

**National Coalition Against Censorship**, New York, New York \$30,000, toward the costs of a feasibility study of a new policy initiative focusing on artistic and intellectual freedom.

**Princeton University**, Princeton, New Jersey \$286,670 for use by its Center for Arts and Cultural Policy Studies toward the costs of a study to advance knowledge on the debate surrounding cultural conflict in the contemporary United States

**RAND**, Santa Monica, California \$259,621 toward the costs of the inclusion of the media arts in the "Integrative Assessment of the Arts" study

**Social Science Research Council**, New York, New York \$765,028 toward the costs of a fellowship program on the Experience of Art in Society

**Urban Institute**, Washington, D.C. \$753,984, toward the costs of the Arts and Culture Indicators in Community Building Project III

## Creativity, Knowledge and Freedom in the Public Sphere

### GLOBAL CIVIL SOCIETY AND CULTURE

**African Books Collective, Ltd.**, Oxford, United Kingdom \$68,503, toward the costs of promotion, research and dissemination activities

**African Publishers' Network**, Harare, Zimbabwe \$418,000 toward the costs of their strategic plan for 1999-2002

**African Script Development Fund**, Harare, Zimbabwe: \$200,000 toward the costs of Year III of the Fund's work

**Al-Urdun Al-Jadid Research Center**, Amman, Jordan \$161,490 toward the costs of the third phase of the Social History of Jordan project

**Caribbean Publishers Network**, Kingston, Jamaica \$25,000 toward the costs of a conference at the Bellagio Study and Conference Center in November 2000

**Council on Foreign Relations**, New York, New York \$39,500 toward the costs of "Ethnic Conflict, Partition and Post-Conflict Reconstruction," a study that assesses the role of partition in solving ethnic conflict and formulates strategies for post-conflict reconstruction and stabilization

**Eastern Michigan University**, Ypsilanti, Michigan \$24,550 toward the costs of a conference to be held at the University of Tehran entitled, "Religion, Gender Roles, Democratization and Market Transition: Pilot Surveys of Changing Worldviews of Islamic Publics—Methodological and Substantive Issues"

**Economic and Social History Foundation of Turkey**, Istanbul, Turkey \$150,100 toward the costs of the Local History Initiatives and museum projects

**Feminist Press**, New York, New York \$548,000 toward the costs of the series "Women Writing Africa"

**International African Institute**, London, United Kingdom \$76,000 toward the costs of the Bellagio Publishing Network newsletter and Web site

**International African Institute**, London, United Kingdom \$8,000 toward the costs of travel, accommodation and subsistence for four international participants to attend a seminar on African cultural publishing in Maputo, Mozambique

**International Association for the Study of Persian-Speaking Societies**, Stony Brook, New York \$150,000 toward the expansion of their regional councils into several Persian-speaking regions including Iran

**Jerusalem International Book Fair**, Jerusalem, Israel \$31,170 toward the costs of bringing authors and publishers from Muslim countries to the 20th biennial Jerusalem International Book Fair

**PEN American Center**, New York, New York \$51,100 for a pilot program to strengthen the ability of PEN centers in the Global South to build civil societies locally, and give stronger leadership to the global organization as a whole

**PEN American Center**, New York, New York \$297,482 toward the costs of strengthening International PEN and 11 PEN centers in Africa, Central America and Southeast Asia

**Research and Technology Exchange Group**, Paris, France \$21,722, toward the costs of the technical secretariat of the African Media Partners Network in year 2000

**Royal Institute for Inter-Faith Studies**, Amman, Jordan \$50,000 toward the costs of a conference on Islam and science, to take place in Amman, June 2001.

**Smithsonian Institution**, Washington, D.C. \$59,282 for a series of meetings between U.S. and Iranian museum officials on strengthening museum practices in Iran

**TransAfrica Forum**, Washington, D.C. \$40,151 toward the costs of a series of cultural exchanges between Cuba and the United States entitled, "Afro America in Cuba"

**University of Oklahoma**, Norman, Oklahoma \$14,978 toward the additional costs of conferences on the status of Jerusalem

**Women's World Organization for Rights, Literature and Development**, New York, New York \$100,000 to support the development of an organizational strategy and plan

### ROLE OF RELIGION

**Columbia University**, New York, New York \$69,000 toward travel and administrative costs for a colloquium on Religion and Civil Society from a Human Rights Perspective at the Bellagio Study and Conference Center, March 2001

**Harvard University**, Cambridge, Massachusetts \$264,000 toward the costs of the Pluralism Project: World Religions in America

**Interreligious Coordinating Council in Israel**, Jerusalem, Israel \$100,000 toward the ongoing costs of the Council's Education Center

**Islamic-Christian National Dialogue Committee**, Hazmieh, Lebanon \$38,000 toward the costs of interreligious activities aimed at aiding the peace process in Lebanon and the entire Middle Eastern region

**Mediation Network for Northern Ireland**, Belfast, Northern Ireland \$43,390 toward the ongoing costs of the Churches Programme

**World Conference on Religion and Peace**, New York, New York \$1,350,000 toward the costs of its religion and civil society program

### HUMANITIES RESIDENCY FELLOWSHIPS AND RESEARCH

*This program is a competitive program making grants to universities, community groups and other nonprofits.*

**American Council of Learned Societies**, New York, New York \$399,850 toward the costs of the Burkhardt Fellowships program

**Central University of Venezuela**, Caracas \$250,000 toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities at the Centro de Investigaciones Post-Doctorales to study the dimensions of globalization, culture and social transformation in Latin America

**City College, City University of New York**, New York, New York \$76,000 for use by the Dominican Studies Institute toward the costs of a two-part transnational conference entitled, "Up from the Margins: Diversity as Challenge of the Democratic Nation"

**Emory University**, Atlanta, Georgia \$504,500 toward the costs of a collaborative program between the Center for the Study of Public Scholarship and representatives of South Africa's university, arts and museum communities

**Federal University of Minas Gerais**, Belo Horizonte, Brazil \$124,000 toward the costs of a series of conferences entitled, "Margins (Margens/Margenes)," to be held in Brazil and Argentina

**Foundation-administered project**: \$80,000 for administrative costs of the Resident Fellowships in the Humanities



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**Graduate School and University Center, City University of New York, New York, New York** \$50,000 for use by its Center for Gay and Lesbian Studies toward the costs of its International Resource Network project

**Harvard University, Cambridge, Massachusetts** \$245,000 toward the costs of a series of conferences entitled, 'New Americas' aimed at developing new understandings of American society within a global context

**Howard University, Washington, D.C.** \$22,960 toward the costs of a seminar series examining slavery in a comparative context

**La Morada Corporation for the Development of Women, Santiago, Chile** \$66,000 toward the costs of 'Women's Memory: a Knowledge Excluded From History,' a series of workshops, conferences and seminars

**Library of Congress, Washington, D.C.** \$25,000 to support three symposia on "Islamic Societies and Globalization"

**National Humanities Center, Research Triangle Park, North Carolina** \$500,000 toward the costs of an annual award for humanistic achievement in information technology

**New York University, New York, New York** \$240,000 toward the costs of the Hemispheric Institute's annual encuentros, seminars for scholars, artists and students to be held in Latin America

**Social Science Research Council, New York, New York** \$132,800 for use by the Latin America Program toward the costs of a project on collective memory in South America

**Stanford University, Stanford, California** \$295,000 toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities at the Stanford Humanities Center to study the black performing arts

**SUR Socialism Studies Institute, Lima, Peru** \$250,000 toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities entitled, 'Violence, Democracy and Authoritarianism in Contemporary Peruvian Culture and Politics'

**University of Arizona, Tucson, Arizona** \$295,000 for use by the Committee on Lesbian, Gay, Bisexual Studies toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities entitled, 'Sex, Race and Globalization'

**University of California, Davis, Davis, California** \$79,092 to reimburse expenses incurred in connection with a program of Resident Fellowships in the Humanities at the Indigenous Research Center of the Americas

**University of California, Riverside, Riverside, California** \$330,000 toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities at the Center for Ideas and Society entitled, 'Global Migration: Social Change and Cultural Transmission'

**University of California, Santa Barbara, Santa Barbara, California** \$288,475 toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities at the Center for Chicano Studies entitled, 'The Dynamics of Chicano Literacy'

**University of Chicago, Chicago, Illinois** \$60,000 toward the costs of the conference, 'The Future of the Queer Past: A Transnational Conference'

**University of Hawaii at Manoa, Honolulu, Hawaii** \$250,000 toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities at the Office for Women's Research entitled, 'Gender and Globalization in Asia and the Pacific'

**University of Iowa, Iowa City, Iowa** \$165,000 to expand the work of an initial series of Humanities Residency Fellowships at the International Forum for U.S. Studies by offering additional fellowships to Latin American scholars

**University of Notre Dame, Notre Dame, Indiana** \$350,000 toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities at the Joan B. Kroc Institute for International Peace Studies entitled, 'Religion, Conflict and Peacebuilding'

**University of Oregon, Eugene, Oregon** \$31,650 for use at the Center for the Study of Women in Society to support a conference in connection with a program of Rockefeller Foundation Resident Fellowships in the Humanities that is being hosted by the Center

**University of Texas at Austin, Austin, Texas** \$295,000 toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities at the Institute of Latin American Studies and the Center for Mexican American Studies entitled, 'Race, Rights and Resources in the Americas'

**University of Washington, Seattle, Washington** \$45,013 for use by the Simpson Center for the Humanities toward the costs of a meeting of the Consortium of Humanities Centers and Institutes entitled, 'Thinking Rights, Engaging Communities'



**Virginia Foundation for the Humanities and Public Policy**, Charlottesville, Virginia \$100,025 toward the costs of a conference entitled, "Community, Identity and Belief in Postwar Circumstances"

#### COLLABORATIVE PROGRAMMING IN INTELLECTUAL PROPERTY, GLOBAL NORMS

**Mexico-North Research Network**, El Paso, Texas \$66,000 toward the costs of facilitating community participation in the planning of a project directed toward understanding and conserving the cultural, linguistic and biological diversity of the Sierra Tarahumara region of northern Mexico

**Smithsonian Institution**, Washington, D.C. \$250,000 toward the pilot phase of "Smithsonian WorldMusicNet.com," a project to promote musical and cultural heritage around the world through the use of digital technologies

**Society for International Development**, Rome, Italy \$107,570 toward the costs of a project entitled, "Power, Culture, Identity Women and the Politics of Place"

### Creativity and Innovation in a Global Age

#### CREATIVE ENVIRONMENTS IN THE DIGITAL AGE

**Academia Europaea**, London, United Kingdom \$44,951 toward the costs of a Collaboration and Ownership in the Digital Economy (CODE) conference to be held at Queens' College, April 2001, Cambridge

**Alfred University**, Alfred, New York \$85,000 toward the costs of establishing an "Experiments in Science-Technology-Art Residences (E STAR)" program at the Institute for Electronic Arts

**American Library Association**, Washington, D.C. \$100,000 toward the costs of a forum, "Digital Technology, Information Policy and the Future of Libraries"

**Art & Science Collaborations, Inc.**, Staten Island, New York \$85,000 toward the costs of the ArtSci2001 Symposium and the "Artists & Scientists for Humanity 4 Think-Tanks" project

**Art and Science Laboratory**, Santa Fe, New Mexico \$100,000 toward the costs of an Aesthetic Research Program to explore the dialogue between the contemporary arts and sciences

**Computer Professionals for Social Responsibility**, Palo Alto, California \$20,000 toward the costs of "Shaping the Network Society," a symposium addressing the future of the public sphere in cyberspace

**Cyber-Site New Media Research Center, Inc.**, Overland Park, Kansas \$80,000 toward the costs of the New Media Information Gateway Database, designed to facilitate the dissemination of knowledge and information on new media and digital technologies

**Downtown Community Television Center, Inc.**, New York, New York \$75,000 toward the costs of programming for the "Cyberstudio for the Arts," a state-of-the-art studio that has the ability to broadcast simultaneously on the Internet and cable TV

**Independent Curators International**, New York, New York \$50,000 toward the costs of "Telematic Connections: The Virtual Embrace," a traveling exhibition of recent network and Internet-based art projects

**Institute for Advanced Study**, Princeton, New Jersey \$85,000 toward the costs of a residency program to study the social, political and economic implications of developments in new media and information technology

**International Film Seminars, Inc.**, New York, New York \$40,180 toward the costs of a Digital Flaherty seminar to explore artists' concerns about aesthetics, content and practice in digital technologies

**Leonardo**, San Francisco, California \$64,308 toward the costs of two publishing projects, "A-Life Art" and "Generative Systems"

**Location One**, New York, New York \$187,500 toward the costs of a virtual lab to bring together artists and programmers for the exchange of ideas, projects and practices

**New York Foundation for the Arts**, New York, New York \$25,000 toward the costs of the planning process for "Crossover," Web Lab's New Media workshop for independent filmmakers and videographers

**New York Foundation for the Arts**, New York, New York \$100,000 toward the costs of Web Lab's "Crossover," a new-media workshop for independent film and video makers

**New York University**, New York, New York \$20,000 toward the costs of the workshop, "Tactile Media: The Impact of New Media on Cultural Activism and Political Engagement"

**Performing Arts Journal**, New York, New York \$63,000 toward the costs of nine issues of PAJ: A Journal of Performance and Art focusing on electronic and digital media and performance

**Performing Arts Labs**, London, United Kingdom \$20,000 toward the costs of Lit Lab II, a residential laboratory for 10 artists to explore creative possibilities between new and traditional literary forms

**Rhizome Communications**, New York, New York \$75,000 toward the costs of the archival and technological development of the Rhizome ArtBase, an online resource for the preservation of Internet art

**Social Science Research Council**, New York, New York \$99,941 toward the costs of a program to generate social-science research on culture, creativity and information technology

**University of Paris**, Paris, France \$75,000 toward the costs of the research and development of a DVD-ROM on the works of artist Nam June Paik

**University of Southern California**, Los Angeles, California \$100,000 toward the costs of "Labyrinth: Level Four," a research initiative on interactive narrative at the Annenberg Center

#### FILM/VIDEO FELLOWSHIPS AND INCUBATORS

**Kelly Anderson and Tamí Gold**, New York, New York \$35,000 toward the costs of "Every Mother's Son," a documentary about New York mothers whose sons have been killed by police

**The Art Institute of Chicago**, Chicago, Illinois \$36,129 toward the costs of the Video Data Bank project of the School of the Art Institute of Chicago to distribute "Frames of Reference: Reflections on Media," an exhibition of works originally funded through the Rockefeller Film/Video/Multimedia Fellowships program

**Peter Bratt**, San Francisco, California \$35,000 toward the costs of a narrative film that retells the passion of Jesus Christ focusing on four women all named Mary

**Miguel Calderón**, Condesa, Mexico \$20,000 toward the costs of "La Discípula del Velocímetro (The Speedometer's Disciple)," an experimental documentary on an upper-class Mexican woman with psychic powers who drives race cars on the professional circuit

**EarthWays Foundation**, Malibu, California \$42,827 toward the costs of a film documenting the World Festival of Sacred Music

**Hanna Elias**, Sherman Oaks, California \$35,000 toward the costs of "Abu Hana, Abu Latif: A Palestinian Road Diary," an experimental documentary about the filmmaker's childhood

**Foundation-administered project**: \$277,506, for a service arrangement with National Video Resources to manage the Film/Video/Multimedia Fellowships program and its communications initiative

**Marlon Fuentes**, Orange, California \$35,000 toward the costs of "Rational Geographic," an experimental documentary about Filipino-American identity

**Charlene Gilbert**, Buffalo, New York \$35,000 toward the costs of "The Henrietta Lacks Film Project," a documentary film that explores an overlooked chapter in American medical history in the context of bioethics and the current debates surrounding the patent of genes and human biology

**Eduardo Herrera-Fernández**, Coyoacán, Mexico \$20,000 toward the costs of "El Borrego Cimarrón de Isla Tiburón (The Wild Sheep of Tiburón Island)," a documentary about strategies used to conserve a species of Mexican sheep

**International Media Resource Exchange**, New York, New York \$37,300 toward the costs of a translation service for Latin American filmmakers

**Sharon Lockhart**, Los Angeles, California \$35,000 toward the costs of "No-No Ikebana," a film inspired by the work of avant-garde Ikebana artist, Toshie Yokoi

**Lalo Lopez and Esteban Zul**, Los Angeles, California \$35,000 toward the costs of "The Virtual Vamo," a Web site that promotes open discussion about racism, sexism, anti-immigrant hysteria and police brutality in the Latino community

**Museum of Modern Art**, New York, New York \$30,000 toward the costs of the Web site component of the "Video Rewind" project, an overview of video's development as an art form

**National Council of La Raza**, Washington, D.C. \$50,000 toward the costs of the second annual conference on "Latino Media: Challenges for the New Millennium"

**National Video Resources, Inc.**, New York, New York \$201,611 toward the costs of the "Video Network on Race," a coalition of nonprofit organizations nationwide that will use independent videos in their programming efforts to combat racism and promote tolerance

**Emiko Omon**, San Francisco, California \$35,000 toward the costs of "Mooch," a narrative film about a middle-aged Japanese-American man and his father who has Alzheimer's disease

**Sam Pollard**, New York, New York \$35,000 toward the costs of "Native Strangers: Race in America in the New Millennium," a six-part television series on the personal histories of Latino, Asian, African-American, European-American, Native American and mixed-race peoples

**Carlos Salces**, Mexico City, Mexico \$20,000 toward the costs of "Trilogía (Trilogy)," a series of three short films about death

**Ellen Spiro**, Leander, Texas \$35,000 toward the costs of "The Technomadic Circus" a documentary about a community circus group who design sustainable living systems

**Caspar Stracke**, New York, New York \$35,000 toward the costs of "Out of Joint" a video essay on scientific attempts to manipulate time

**Chns Sullivan**, Chicago, Illinois \$35,000 toward the costs of "Consuming Spirits," a feature-length animation about three people in a small industrial town who work at a local newspaper.

**Isaac Webb**, New Orleans, Louisiana \$35,000 toward the costs of "Blackbottom," a feature-length film about a blue-collar African American community

**David Williams**, Richmond, Virginia \$35,000 toward the costs of two feature films shot in digital video that examine family relationships in an interracial community

#### MULTI ARTS PRODUCTION FUND

**American Repertory Theatre**, Cambridge, Massachusetts \$25,000 to support the production of "Drawn to Death: A Three Panel Opera," a multimedia theater work by artist Art Spiegelman and composer Phillip Johnston

**Arena Stage**, Washington, D.C. \$20,000 to support the creation and development of "Alleys and Pathways: The Southwest D.C. Project," an oral history-based performance work by playwright Rebecca Rice

**Arts at St. Ann's**, Brooklyn, New York \$25,000 to support the creation of "Song Cycle for Puppets," a puppet theater work by artist Janie Geiser and composer Vic Chestnutt

**Asia Society**, New York, New York \$25,000 to support the production of "Floating Box: A Story in Chinatown," an opera by composer Jason Hwang, librettist Catherine Filloux, and director Jean Randich

**Bang on a Can**, New York, New York \$20,000 to support the creation and performance of a work for new music chamber ensemble by jazz composer Cecil Taylor

**Bates College**, Lewiston, Maine \$25,000 for use by its Bates Dance Festival to support the creation and development of "The Caravan Project," a tap and video performance work by tap artist Herbin Van Cayseele, video artist Jean de Boysson, and musician Kenny Muhammad

**Borderlands Theater**, Tucson, Arizona \$25,000 to support the creation and production of "Electridad," a Chicano adaptation of Sophocles' "Electra" set in

the Arizona desert by playwright Luis Alfaro and director Barclay Goldsmith

**Children's Theatre Company**, Minneapolis, Minnesota \$20,000 to support the creation and production of "The Beggar's Strike," a multimedia theater work by playwright Carlyle Brown

**Cornerstone Theater Company**, Los Angeles, California \$20,000 to support the creation and production of the "City-Wide Bridge Project," a multicultural, multilingual theater production by playwright Alison Carey, director Bill Rausch, and composer Michael Abels

**Danspace Project**, New York, New York \$25,000 to support the creation and production of "Asunder," a dance theater work by choreographer Yin Mie, composer John Zorn, designer Xu Bing, and poet Mark Strand

**Elevator Repair Service**, New York, New York \$20,000 to support the creation and production of "Experts," a theater work by theater artists John Collins, Steve Bodow, and Susie Sokol

**Foundation-administered project** \$64,000 for costs incurred in connection with the 2000 MAP Fund

**Foundry Theatre**, New York, New York \$15,000 to support the research and development of "Fifth Exotic," a multimedia theater work by director Melanie Joseph and performer Ching Valdes-Aran

**Frontera@Hyde Park Theatre**, Austin, Texas \$20,000 to support the production of "con flama," a theater work by playwright Sharon Bridgforth, director Laurie Carlos, and composer Lourdes Perez

**Gamelan Sekar Jaya**, El Cerrito, California \$20,000 to support the creation and production of a large-scale work combining Balinese dance, drama, gamelan music and puppetry by theater artist I Wayan Dibia, composer I Dewa Putu Berata, and shadow lighting designer Larry Reed.

**George Coates Performance Works**, San Francisco, California \$20,000 to support the creation and production of "Better Bad News," a multimedia live and online performance piece

**Great Small Works**, New York, New York \$20,000 to support the creation and production of "The Salie Cabaret Project," a multimedia theater work by director John Bell, designers Stephen Kaplin and Mark Sussman, and pianist Margaret Leng Tan

**Higher Ground Projects**, New York, New York \$20,000 to support the commissioning and production of "Get Out of the House," a roving participatory dance party/concert by



## Photograph Excised Here

choreographer Sarah Skaggs and billboard artists Marc Pierson and Tony Cokes

**House Foundation for the Arts**, New York, New York \$20,000 to support the creation and development of "American Archeology #3," a site-specific multidisciplinary work by artist Meredith Monk

**Intersection for the Arts**, San Francisco, California \$20,000 to support the creation and production of "Mission Indians," a theater work by playwright Greg Sarris

**Jazz Institute of Chicago**, Chicago, Illinois \$20,000 to support the creation and production of "Conjectures," an hour-long music work by composer/performers Trichy Sankaran, Rudresh Mahanthappa and Vijay Iyer

**Jump Start Performance Company**, San Antonio, Texas \$20,000 to support the creation and production of "To My Chagrin," a solo performance work by writer/performer Peggy Shaw and composer Vivian Stoll

**The Kitchen**, New York, New York \$25,000 to support the creation and production of "Conference of the Birds," a multimedia performance work by media artist Shirin Neshat and composer Sussan Deyhim

**Kitka Women's Vocal Ensemble**, Oakland, California \$20,000 to support the creation and production of "The New Folksongs Commissioning Project," three new works of music for female vocal ensemble by composers David Lang, Chen Yi and Janet Kutulas

**Kronos Quartet**, San Francisco, California \$20,000 to support the creation and production of "Aegean Crossings," a work for string quartet and Turkish percussion by composer/performer Burhan Ocal

**Lower Manhattan Cultural Council**, New York, New York \$25,000 to support the development of "Spectropia," an evening-length interactive media performance by artist Toni Dove

**Ma-Yi Theatre Ensemble**, New York, New York \$15,000 to support the creation and production of "Middle Finger," a theater work by playwright Han Ong, composer Fabian Obispo, and director Loy Arcenas

**Montclair State University**, Upper Montclair, New Jersey \$25,000 to support the creation and production of "Mother Courage and Her Children," a multimedia theater work told from an African-American female's perspective by director Demetria Royals, choreographer Anita Gonzalez, and playwright Robbie McCauley

**Museum of Contemporary Art**, Chicago, Illinois \$15,000 to support the research and development of "Iceland," a solo performance work by theater artist Roger Guenever Smith

**Music-Theatre Group**, New York, New York \$25,000 to support the creation of "Fangs," a chamber opera by composer Diedre Murray and writer Cornelius Eady

**New York Foundation for the Arts**, New York, New York \$25,000 to support the creation and production of "Harriet Tubman: A Journey to the South," an evening-length suite of music by composer/performers Brandon Ross, J. T. Lewis and Melvin Gibbs

**Painted Bride Art Center**, Philadelphia, Pennsylvania \$20,000 to support the creation and production of "Dogon PM," a multimedia performance work by writer/director Homer Jackson, composer Douglas Ewart, composer Mogauwane Mahloele, media artist Lloyd Lawrence, and choreographer Sabela Grimes

**Performance Space 122**, New York, New York \$20,000 to support the creation and production of "Bird Brain," a dance project by choreographer Jennifer Monson and composer James Lo

**Performing Artservices**, New York, New York \$20,000 to support the creation and production of "Celestial Excursions," an opera by composer Robert Ashley

**Public Theater**, New York, New York \$25,000 to support the creation and production of "The Book of the Dead (Second Avenue)," a multimedia theater work by composer/director John Moran

**Seattle Children's Theatre**, Seattle, Washington \$20,000 to support the creation and production of "Mask of the Unicorn Warrior," a theater work by playwright Y. York and director Linda Hartzell

**Spiderwoman Theater**, New York, New York \$20,000 to support the creation of "Persistence of Memory," a multimedia theater piece by theater artists Muriel Miguel, Lisa Mayo and Gloria Miguel

**Stephen Petronio Dance Company**, New York, New York \$25,000 to support the creation and production of "Strange Attractors Part II," a multimedia dance work by choreographer Stephen Petronio, composer James Lavelle, and artist Anish Kapoor

**Streb/Ringside**, New York, New York \$25,000 to support the creation and production of "Action Heroes," a multidisciplinary work by choreographer Elizabeth Streb, writer Lara Flanders, and designer Skip Mercier

**Symphony Space**, New York, New York \$15,000 to support the creation and production of a dance/music/theater piece by composer/performer Laurie Anderson and choreographer David Neumann

**Trisha Brown Dance Company**, New York, New York \$20,000 to support the creation of "Luci Mie Traditrici," a dance opera by choreographer Trisha Brown

**University of Massachusetts at Amherst**, Amherst, Massachusetts \$25,000 to support the production of the "2050 Project," a theater work by writer/performers Steven Sapp and Mildred Ruiz at the New WORLD Theater

**Walker Art Center**, Minneapolis, Minnesota \$30,000 to support the creation and development of "The End of Cinematics," a multimedia opera by theater artist John Jesurun and composer Mikel Rouse

**The Working Theatre Company**, New York, New York \$25,000 to support the creation and production of "Abundance," a multimedia theater piece by theater artist Marty Pottenger

**World Music Institute**, New York, New York \$20,000 to support the creation and production of "From Rajasthan to Andalusia," a dance and music work by choreographers/performers Antonio El Pipa, Amaud Azzouz, Bachu Khan and Sayetri Sapien

**Yerba Buena Center for the Arts**, San Francisco, California \$25,000 to support the creation and production of two evening-length works for cello by performer Joan Jeanrenaud, composer Hamza el Din, and video artist Seoungcho Cho

**Zaccho S F**, San Francisco, California \$25,000 to support the creation and production of "Picture Bayview," an interdisciplinary site-specific work by choreographer Joanna Haigood, composer Lauren Weinger, and video artist Mary Ellen Strom

## EXPLORATIONS

**American Composers Forum**, Saint Paul, Minnesota \$450,000 toward the costs of "Continental Harmony," a national commissioning program designed to serve underserved and rural communities

**Bang on a Can**, New York, New York \$50,000 toward the costs of the Bang on a Can Marathon 2000 at the Brooklyn Academy of Music's Next Wave Festival

**Brooklyn Academy of Music**, New York, New York \$300,000 toward the costs of the Next Wave Festival

**Center for Arts and Culture**, Washington, D.C. \$100,000 toward the costs of ongoing activities

**City Parks Foundation**, New York, New York \$35,000 toward the costs of programming for the African, Latin American and South Asian/East Asian performances at SummerStage 2000

**Creative Capital Foundation**, New York, New York \$200,000, toward the costs of its ongoing activities

**Creative Time**, New York, New York \$45,000 toward the costs of "Creative Time in the Anchorage 2000," a summer-long, multidisciplinary festival exploring the cross-fertilization of new media, art, music and film

**Cultural Odyssey**, San Francisco, California \$30,000 toward the costs of presenting emerging artists at the 2001 Cultural Odyssey Performance Festival

**Foundation-administered project**: \$15,000, for activities of Grantmakers in Film and Electronic Media

**Grantmakers in the Arts**, Seattle, Washington \$15,000 toward the costs of its 2000-2001 activities

**Lincoln Center for the Performing Arts**, New York, New York \$75,000 toward the costs of the fifth season of the Lincoln Center Festival

**National Association of Artists' Organizations**, Washington, D.C. \$75,000 toward the costs of the 12th National Conference on Defying Artistic Isolation, Leadership Development and Operational Systems

**National Association of Latino Arts and Culture**, San Antonio, Texas \$50,000, toward the costs of planning and convening the third national conference on Latino arts and culture, "Muchas Culturas/Un Pueblo"

**New Haven International Festival of Arts & Ideas**, New Haven, Connecticut \$40,000 toward the costs of programming that supports the cultural heritage and artistic achievements of Africa, Southeast Asia, Latin America and within Muslim communities in the 2000 International Festival of Arts & Ideas

**New York Foundation for the Arts**, New York, New York \$25,000 toward the costs of "Ambassadors for the Arts Reunion," a planning campaign on behalf of the National Endowment for the Arts' 35th Anniversary

**New York Foundation for the Arts**, New York, New York \$75,000 toward the costs of the project, "A Cultural Plan for New York City"

**Trisha Brown Dance Company**, New York, New York \$80,000 toward the costs of activities and performances marking the 30th anniversary of the company

**University of Massachusetts at Amherst**, Amherst, Massachusetts \$100,000 toward the costs of the New WORLD Theater's "Intersection II," a three day event focusing on international, interdisciplinary and intercultural work in the theater

**Urban Institute**, Washington, D.C. \$100,000 toward the costs of the new media component of the "Study on Support Mechanisms for Artists in the United States"

## Applying Science and Technology

## ASIAN RICE BIOTECHNOLOGY NETWORK

**Agricultural Genetics Institute**, Hanoi, Vietnam \$100,000 for research to utilize molecular marker-aided breeding to develop new elite and hybrid rice varieties with enhanced resistance to bacterial and fungal diseases as well as insect pests for Vietnamese rice farmers

**Center for the Application of Molecular Biology to International Agriculture**, Canberra, Australia \$50,000 to develop and disseminate new technologies for genetic analysis and its application to rice breeding

**Central Research Institute for Food Crops**, Bogor, Indonesia \$50,000 to conduct field trials of rice cultivars improved through marker-aided selection of appropriate bacterial leaf blight resistance genes for Java and Bali, Indonesia

**China National Rice Research Institute**, Hangzhou, China \$5,300 toward the costs of training in nonradioactive protocols for nucleic acid labeling and detection for two scientists from North Korea

**China National Rice Research Institute**, Hangzhou, China \$50,000 for use by its Research Library for the purchase of journals and periodicals

**Chinese Academy of Sciences**, Beijing, China \$40,000 for use by its Institute of Genetics to help maintain the capacity to conduct research and provide training in rice biotechnology with a focus on resistance to diseases and improved nutrition

**Chinese Academy of Sciences**, Beijing, China \$3,000 toward the costs of training in rice biotechnology at the Academy's Institute of Genetics for four scientists from North Korea

**Chinese Academy of Sciences**, Beijing, China \$30,930 to enable two scientists from North Korea to receive training in rice biotechnology in the laboratory of Zhu Lihuang at the Academy's Institute of Genetics

**Chinese Academy of Sciences**, Beijing, China \$30,930 to enable two scientists from North Korea to receive training in rice biotechnology in the laboratory of Zhu Zhen at the Academy's Institute of Genetics

**Chinese Academy of Sciences**, Beijing, China \$60,000 for use by its Institute of Genetics for research on insect resistance and improved starch quality in transgenic rice

**Department of Agriculture, Thailand**, Bangkok, Thailand \$150,000 for use by its Biotechnology Research and Development Center for research on the molecular characterization of major rice diseases in Thailand such as bacterial blight and blast and the development of disease-resistant rice through molecular-assisted breeding

**John Dillé**, Rock Hill, South Carolina \$10,000 toward the cost of publishing the Rice Biotechnology Quarterly

**Foundation-administered project**: \$40,000 toward the costs of producing a book documenting the National Rice Biotechnology Network in India

**Foundation-administered project**: \$43,800 for administrative support of the Rockefeller Foundation biotechnology program in India

**Fudan University**, Shanghai, China \$20,000 for use by its Baoshan Agrobiotechnology Center for research to improve rice yield potential through tetraploidization and dihaploidization

**G. B. Pant University of Agriculture and Technology**, Pantnagar, India \$25,050 for research on the enhancement of resistance to blast in rice

**Haryana Agricultural University**, Hisar, India \$90,000 for molecular marker and transformation research leading to the development of drought and salinity tolerant varieties of basmati rice for use by Indian farmers

**Huazhong Agricultural University**, Wuhan, Hubei, China \$45,390 toward the costs of training in rice biotechnology for four scientists from North Korea

**Huazhong Agricultural University**, Wuhan, Hubei, China \$100,000 toward the costs of research and training on characterizing the biological mechanisms of heterosis and molecular breeding of hybrid rice

**International Centre for Genetic Engineering and Biotechnology**, New Delhi, India \$2,100 for research on marker-assisted selection of gall midge resistance in cultivated rice

**International Rice Research Institute**, Makati City, Philippines \$85,000 for research, in collaboration with the Directorate of Rice Research, Hyderabad, India, on the molecular tagging and introgression of genes for stemborer resistance from wild species of *Oryza* into "new plant type" and other elite breeding lines of rice

**International Rice Research Institute**, Makati City, Philippines \$26,173 for research, in collaboration with the University of Dhaka, on transformation of rice for enhanced salt tolerance

**International Rice Research Institute**, Makati City, Philippines \$500,000 to support research advancing the application of biotechnology to rice breeding and the transfer of the results to national rice-breeding programs in Asia

**International Rice Research Institute**, Makati City, Philippines \$20,000 for research, in collaboration with the Central Rice Research Institute, Cuttack, India, on the use of marker-assisted breeding for bacterial blight and blast resistance in rice varieties adapted for eastern India

**International Rice Research Institute**, Makati City, Philippines \$50,000 toward the costs of the Fourth International Rice Genetics Symposium held at the Institute in October 2000

**Kasetsart University**, Bangkok, Thailand \$117,105 to provide in-country M Sc and Ph D training in rice molecular-marker technology and simultaneously conduct research leading to improved rice varieties for Thai farmers

**Kasetsart University**, Bangkok, Thailand \$72,000 for research on the production of rice ragged stunt disease resistance in Thai rice

**National Crop Experiment Station**, Suwon, South Korea \$11,450 to provide additional funds for a study of marker-assisted discovery and transfer of wild quantitative trait loci (QTLs) into elite rice cultivars using advanced backcross QTL analysis, to be undertaken by Biotechnology Career Fellow Ahn Sang-Nag, under the direction of Susan R. McCouch, Department of Plant Breeding, Cornell University, Ithaca, New York

**Osmania University**, Hyderabad, India \$18,546 to introduce new genes for resistance to insects such as yellow stem borer and brown plant hopper via genetic transformation of rice

**Peking University**, Beijing, China \$40,000 toward the costs of a study on rice trypsin inhibitor genes and their application to the development of fungal resistance in transgenic plants

**Philippine Rice Research Institute**, Maligaya, Muñoz, Nueva Ecija, Philippines \$25,000 to enable scientists from developing countries to participate in the Asian Agricultural Congress to be held in Manila, Philippines, Apr 24-27, 2001

**Philippine Rice Research Institute**, Maligaya Muñoz, Nueva Ecija, Philippines \$273,000 for research in rice biotechnology leading to improved rice varietal development for Philippine farmers

**Rural Development Administration**, Suwon, South Korea \$66,700 for use by its National Institute of Agricultural Science & Technology for research on the development of map-based cloning and transformation for rice improvement

**Swiss Federal Institute of Technology**, Zurich, Switzerland \$45,000 for research, in collaboration with the Cuu Long Delta Rice Research Institute, Ormon, Cantho, Vietnam, on genetic transformation to develop Vietnamese rice varieties enriched with vitamin A and higher iron content

**Tamil Nadu Agricultural University**, Coimbatore, India \$110,100 for use by its Center for Plant Molecular Biology to support rice breeding for southern India through the use of marker-assisted selection

**Tamil Nadu Agricultural University**, Coimbatore, India \$205,000 to conduct research using molecular markers and plant transformation to develop insect-resistant and drought-tolerant rice varieties for use by farmers in southern India

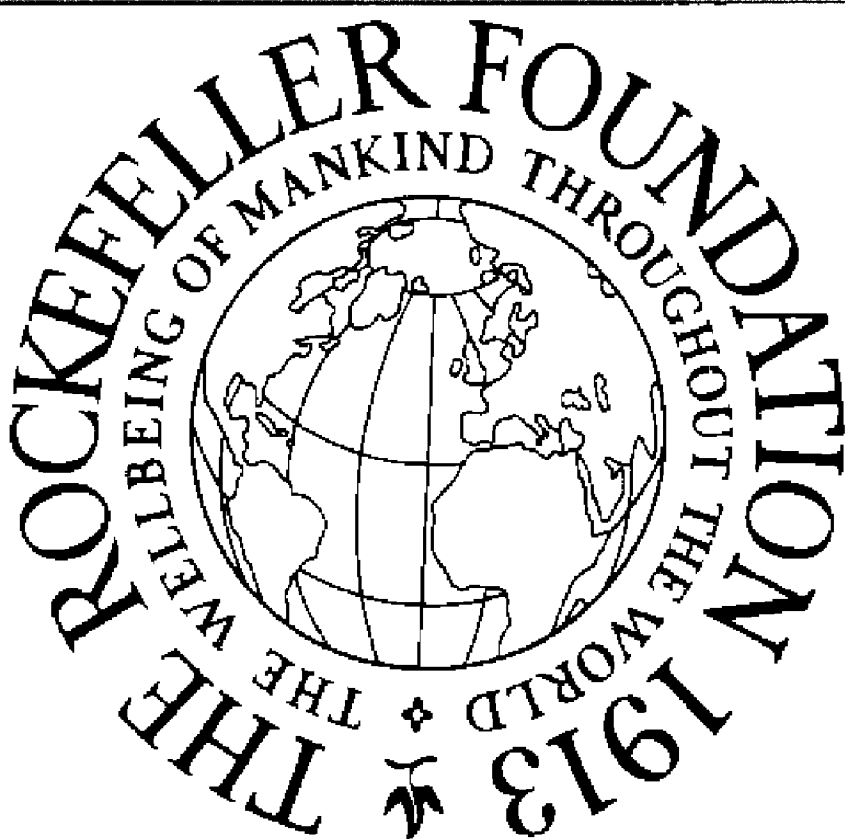
**University of Agricultural Sciences**, Bangalore, India \$55,000 for research on molecular marker-assisted introgression of major and multiple genes for enhanced blast resistance in rice

**University of Hyderabad**, Hyderabad, India \$40,000 for a study of genetic improvement of rice for water-limited environments, to be undertaken by Biotechnology Career Fellow Arjuna R. Reddy at the Department of Biological Sciences, Purdue University, West Lafayette, Indiana

**University of Ottawa**, Ottawa, Canada \$50,000 to develop and test transgenic rice lines with enhanced tolerance to environmental stresses such as drought and saline soil conditions

**University of Zimbabwe**, Harare, Zimbabwe \$35,000 toward the costs of a study, "Strengthening Rural Food Security Through Improved Smallholder Soyabean/Maize Production Technologies," to be undertaken by Food Security Career Development Fellow Sheunesu Mpepereki

**West Africa Rice Development Association**, Bouake, Ivory Coast \$55,000 for research, in collaboration with Cornell University and the Institute of Agricultural Research for Development, Cameroon, on the development of molecular markers for resistance to rice yellow mottle virus



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**West Africa Rice Development Association, Bouake, Ivory Coast:** \$205,850 to strengthen rice biotechnology research, including the use of anther culture to produce interspecific hybrids between Asian and African rice

**Zhejiang Agricultural University, Hangzhou, China:** \$80,000 for breeding, safety evaluation and insect-resistance management for rice modified to express an insecticidal protein from the bacterium *Bacillus thuringiensis*.

### INTEGRATED NUTRIENT AND ENVIRONMENTAL MANAGEMENT

**Africa University, Mutare, Zimbabwe:** \$56,000 to train soil and plant analytical laboratory technologists in southern Africa in procedures for data quality control and equipment maintenance, in order to improve the quality and relevance of data provided to scientists working on soil fertility problems of poor farmers.

**Brazilian Agricultural Research Enterprise, Brasilia, Brazil:** \$102,984 for use by Embrapa Milho e Sorgo toward the costs of conducting two training workshops on the theme, Improving Phosphorous Acquisition Efficiency in Marginal Soils, in Sahelian West Africa and southern Africa.

**Brazilian Agricultural Research Enterprise, Brasilia, Brazil:** \$3,968 toward the costs of publication of the proceedings of a workshop entitled, Improving Phosphorous Acquisition Efficiency in Marginal Soils.

**Cornell University, Ithaca, New York:** \$85,000 for use by its Albert R. Mann Library toward the continued education on and marketing of the Essential Electronic Agricultural Library in Africa.

**Foundation-administered project:** \$75,000 for an external review of Rockefeller Foundation-funded programs at the Department of Research and Specialist Services, Ministry of Lands and Agriculture, Zimbabwe.

**Foundation-administered project:** \$80,000 to support the work of a team of consultants who will systematize, analyze and assess the current Food Security soil fertility and integrated nutrient-management program in sub-Saharan Africa, providing recommendations for program integration and future directions.

**Foundation-administered project:** \$17,772 toward the costs of a meeting to develop a strategy for improving African soil fertility to be held in Bellagio, Italy, in June 2001.

**Inter-American Development Bank, Washington, D.C.:** \$150,000 for use by the Technical Administrative Secretariat of its Regional Fund for Agricultural Technology in Latin America and the Caribbean during its consultation phase.

**International Center for Information on Cover Crops, Tegucigalpa, Honduras:** \$95,000 to support the development and maintenance of a database to store and manage information related to green-manure cover-crop systems in the tropics.

**International Centre for Research in Agroforestry, Nairobi, Kenya:** \$482,954 to support research and development to promote wider adoption of agroforestry technologies such as improved fallow for soil-fertility management by smallholder farmers in southern Africa.

**International Centre for Research in Agroforestry, Nairobi, Kenya:** \$665,400 to develop the techniques and capacity for remote sensing of soil quality in Africa and its application in better targeting of integrated nutrient-management technologies.

**International Institute of Rural Reconstruction, Silang, Philippines:** \$15,000 toward the costs of a workshop on managing the process of scaling up sustainable agriculture initiatives, held in Silang, Philippines, March/April 2000.

**International Institute of Tropical Agriculture, Ibadan, Nigeria:** \$50,000 for support of the International Symposium on Balanced Nutrient Management Systems, held Oct. 9-12, 2000, in Cotonou, Republic of Benin.

**International Institute of Tropical Agriculture, Miami, Florida:** \$150,000 for research on technical constraints to widespread adoption of the legume *Mucuna pruriens* as a companion crop in green-manure cover-crop systems used by smallholder farmers in the tropics.

**International Maize and Wheat Improvement Center, Mexico City, Mexico:** \$20,000 to support the development and maintenance of a database for storage and management of information related to green-manure cover-crop systems in the tropics.

**International Maize and Wheat Improvement Center, Mexico City, Mexico:** \$1,276,200 to support a regional network of scientists in southern Africa developing technologies, policies and institutional instruments for more widespread use of improved soil-fertility management options by poor farmers in southern Africa.

**Kenya Agricultural Research Institute, Nairobi, Kenya** \$15,000 toward the costs of the 18th Conference of the Soil Science Society of East Africa held in November 2000 in Mombasa, Kenya

**Kenya Agricultural Research Institute, Nairobi, Kenya** \$17,000 for use by the National Dryland Farming Research Center, Katumani, to support publication of reports on Phase I of the Collaboration on Agricultural/Resource Modeling and Applications in Semi-Arid Kenya, and development of Phase II of the project.

**Kenya Agricultural Research Institute, Nairobi, Kenya** \$37,032 for use by the Embu Regional Research Centre to support the continuation of the long-term soil organic matter-modeling project at Machang'a and Mutuobare agricultural research stations

**Kenyatta University, Nairobi, Kenya** \$65,000 to provide studentships and related expenses for research on the enhancement of soil productivity by use of low-input technologies on the smallholder farms of the central highlands of Kenya

**Ministry of Agriculture and Irrigation, Malawi, Lilongwe, Malawi** \$187,621 to support research at the Chitedze Agricultural Research Station of Malawi on development and dissemination of integrated soil-fertility management technologies for improving food security on smallholder farms in Malawi

**Ministry of Agriculture and Irrigation, Malawi, Lilongwe, Malawi** \$35,000 for use by its Department of Agricultural Research and Technical Services toward the costs of conducting and analyzing nationwide agronomic trials on improved organic technologies for maize production by smallholder farmers of Malawi

**Ministry of Lands and Agriculture, Zimbabwe, Causeway, Zimbabwe** \$59,000 for use by the Agronomy Research Institute of the Department of Research and Specialist Services, to continue development of integrated soil-fertility management strategies for smallholder farmers in Zimbabwe

**Ministry of Lands and Agriculture, Zimbabwe, Causeway, Zimbabwe** \$12,375 for use by the Department of Research and Specialist Services, toward the costs of a consultative process for the development of a strategic plan on integrated nutrient-management research for the smallholder farming sector in Zimbabwe

**Ministry of Lands and Agriculture, Zimbabwe, Causeway, Zimbabwe** \$100,000 for use by its Chemistry and Soils Research Institute to support the development of appropriate processing, preservation and utilization technologies for soybeans and other legumes in order to improve food and nutritional security within poor rural households in Zimbabwe

**Ministry of Lands and Agriculture, Zimbabwe, Causeway, Zimbabwe** \$19,580 for use by its Agronomy Research Institute to support microeconomics research on soil-fertility management technologies being tested on farmers' fields

**Ministry of Lands and Agriculture, Zimbabwe** \$35,000 for a policy dialogue, administered through the Agricultural Production and Food Security Task Force, on enhancing smallholder farmer food security through improved cropping systems

**National Agricultural Research Organization, Entebbe, Uganda** \$118,000 for the establishment of a Geographical Information System facility and national soils-reference database

**Sustainable Community Oriented Development Programme, Segu, Kenya** \$676,850 to continue implementation of farmer-participatory trial programs for farm input supply to promote crop biodiversity, and to develop appropriate integrated nutrient-management practices for increased agricultural development

**Tropical Soil Biology and Fertility Programme, Nairobi, Kenya** \$32,034 for collaborative research with the Department of Agricultural Economics and Extension, University of Zimbabwe, on the economics of using animal manure for soil-fertility management by poor farmers in resettled communal lands of Zimbabwe

**Tropical Soil Biology and Fertility Programme, Nairobi, Kenya** \$82,800 to develop and disseminate improved technologies for managing animal manure for improved soil fertility and crop yields on smallholder farmers' fields in Zimbabwe and Malawi

**Tropical Soil Biology and Fertility Programme, Nairobi, Kenya** \$15,000 toward the costs of the Ninth African Association of Biological Nitrogen Fixation Congress held in Nairobi, Kenya, September 2000

**Tropical Soil Biology and Fertility Programme, Nairobi, Kenya** \$9,000 for support of the All African Biological Nitrogen Fixation Congress held in Nairobi, September 2000

**Tropical Soil Biology and Fertility Programme, Nairobi, Kenya** \$400,000 to support research on soil biology and ecology as a component of integrated soils management in African farming systems

**Tropical Soil Biology and Fertility Programme, Nairobi, Kenya** \$21,605 for support of a symposium on organic resource-management technologies held in Nairobi, Kenya, September 2000

**University of Berne, Berne, Switzerland** \$106,856 for use by the Natural Resources Monitoring, Modeling, and Management project toward the costs of field research in Kenya on developing natural resource-management tools for use by farmers, planners and policymakers

**University of Malawi, Zomba, Malawi** \$19,000 toward the costs of coordinating activities of the Economics and Policy Working Group - Malawi, an initiative within the Soil Fertility Network for Maize-Based Farming Systems in Malawi

**University of Missouri-Columbia, Columbia, Missouri** \$6,000 to continue its collaboration with the Kenya Agricultural Research Institute to complete the book, "Transforming the Agricultural Research System in Kenya: Lessons for Africa"

**University of Nairobi, Nairobi, Kenya** \$10,634 to support a workshop on environmental management and poverty alleviation in the Lake Victoria Basin

**University of Zimbabwe, Harare, Zimbabwe** \$20,800 toward the costs of coordinating activities of the Economics and Policy Working Group for Smallholder Food Security in Africa

#### RESILIENT CROPS FOR CHALLENGING ENVIRONMENTS

**Abraham Blum, Tel Aviv, Israel** \$60,000 to establish a Web site to serve the information and communication needs of scientists working to create more resilient crop species for less favorable environments worldwide, with emphasis on drought tolerance in cereals

**Center for the Application of Molecular Biology to International Agriculture, Canberra, Australia** \$100,000 for the adaptation, optimization and provision of microarray-based technologies for marker-assisted selection of maize germplasm for Striga resistance

**Cuu Long Delta Rice Research Institute, Omon, Cantho, Vietnam** \$160,000 to conduct rice-biotechnology research leading to improved rice varieties for Vietnamese farmers

**Donald Danforth Plant Science Center, St. Louis, Missouri** \$55,000 toward the costs of a symposium on the Genetic Improvement of Crop Plants for Production in Water-Limited Environments, to be held in St. Louis, Missouri, 2001

**Emmanuel Okogbenin, Ibadan, Nigeria** \$16,500 toward the costs of research on the genetic mapping of cassava at the International Center for Tropical Agriculture, Cali, Colombia

**Foundation-administered project** \$80,000 toward the costs of operating the Foundation's program on applying science and technology to improving food security

**Foundation-administered project** \$20,000 to support the participation of developing-country scientists in the Second International Apomixis Conference to be held in Como, Italy, Apr 24-28, 2001

**Haryana Agricultural University, Hisar, India** \$30,741 for research and Ph.D. training leading to the use of molecular markers to enhance disease resistance in sorghum

**Indira Gandhi Agricultural University, Raipur, India** \$160,000 for research on field screening, molecular genetic analysis, and breeding leading to more drought tolerant and gall midge-resistant rice varieties

**Institute of Agricultural Sciences of Rwanda, Butare, Rwanda** \$13,000 toward the costs of research conducted in collaboration with the International Center for Tropical Agriculture and other national agricultural-research organizations on genetic improvement of bush and climbing beans for smallholder production in Africa

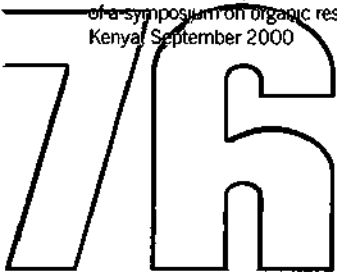
**International Center for Tropical Agriculture, Cali, Colombia** \$449,000 toward the costs of research conducted in collaboration with national agricultural-research organizations on genetic improvement of bush and climbing beans for smallholder production in Africa

**International Center for Tropical Agriculture, Cali, Colombia** \$160,000 to support ongoing research using advanced biotechnologies to produce more resilient and higher-yielding rice varieties for Latin America

**International Center for Tropical Agriculture, Cali, Colombia** \$321,000 for research on the molecular mapping of genes conferring resistance to the cassava mosaic disease.

**International Centre of Insect Physiology and Ecology, Nairobi, Kenya** \$75,000 for research on the characterization of allelochemicals involved in Striga suppression by Desmodium species and screening for related signals in selected legumes

**International Centre of Insect Physiology and Ecology, Nairobi, Kenya** \$104,962 to continue support for its collaboration with the Uganda National Banana Research Program on socioeconomic studies to support technology development for banana-cropping systems including integrated pest management





**International Crops Research Institute for the Semi-Arid Tropics**, Andhra Pradesh, India. \$98,000 to conduct a workshop and training course on state-of-the-art field screening of cereals, with emphasis on rice, for drought tolerance, as part of a national program for genetic improvement of this trait

**International Crops Research Institute for the Semi-Arid Tropics**, Andhra Pradesh, India. \$209,988 for field screening and molecular genetic analysis aimed at creating more resilient crops, with emphasis on improving drought tolerance in cereals

**International Crops Research Institute for the Semi-Arid Tropics**, Andhra Pradesh, India. \$530,446 to support a joint research project with the Institut de Economie Rurale, Mali, on Guinea Sorghum Hybrids: Bringing the Benefits of Hybrid Technology to a Staple Crop of Sub-Saharan Africa

**International Institute of Tropical Agriculture**, Ibadan, Nigeria. \$991,680 to support the development of integrated pest-management techniques for banana weevil control

**International Institute of Tropical Agriculture**, Ibadan, Nigeria. \$240,000 for the development and use of molecular markers linked to genes conferring resistance to African cassava mosaic disease in African cassava germplasm

**International Institute of Tropical Agriculture**, Ibadan, Nigeria. \$18,420 toward the costs of publication and distribution of the proceedings of the World Cowpea Research Conference III, held in Ibadan, Nigeria, September 2000

**International Maize and Wheat Improvement Center**, Mexico City, Mexico. \$274,550 toward the costs of the on-farm testing and seeds component of the Southern Africa Drought and Low Soil Fertility Network's project to develop drought-tolerant varieties of maize

**International Maize and Wheat Improvement Center**, Mexico City, Mexico. \$285,700 for research on engineering resistance in maize to the parasitic weed *Striga*, one of the major constraints to crop production in Africa

**International Maize and Wheat Improvement Center**, Mexico City, Mexico. \$10,000 toward the costs of a series of workshops on maize agronomy to be organized by the Center's Eastern and Central African Maize and Wheat Research Network and held in Uganda, Tanzania and Kenya

**International Plant Genetic Resources Institute**, Rome, Italy. \$170,000 for use by its International Network for the improvement of Banana and Plantain for research to determine banana baseline information for eastern and southern Africa.

**International Plant Genetic Resources Institute**, Rome, Italy. \$13,651 for use by its International Network for the improvement of Banana and Plantain for research to identify and collect the original edible diploid germplasm of East African Highland Bananas

**John Innes Centre**, Norwich, United Kingdom. \$157,400 toward the costs of studentships for a cooperative program on rice biotechnology with the West Africa Rice Development Association, Ivory Coast

**Lowlands Agricultural and Technical Services Limited**, Kisumu, Kenya. \$3,000 to enable staff member Moses Onim to visit the Maharashtra Hybrid Seed Company, Hyderabad, India, to discuss seed-processing and marketing strategies.

**Kenya Agricultural Research Institute**, Nairobi, Kenya. \$22,000 toward the costs of research conducted in collaboration with national agricultural research organizations on genetic improvement of bush and climbing beans for smallholder production in Africa

**Kenya Agricultural Research Institute**, Nairobi, Kenya. \$3,303 toward the costs of seed multiplication and dissemination of *Striga*-resistant maize varieties in *Striga*-infested areas of western Kenya

**Kenya Agricultural Research Institute**, Nairobi, Kenya. \$350,000 for improving banana production in Kenya through distribution of clean planting material produced via tissue culture

**Kenya Agricultural Research Institute**, Nairobi, Kenya. \$69,115 for use by the National Agricultural Research Laboratories to support the activities of the Legume Research Network Project and the coordination of the Soil Management Projects at sites in Kiseri and Kitale

**Kenya Agricultural Research Institute**, Nairobi, Kenya. \$8,786 toward the costs of a workshop to discuss sustainable seed systems for small-scale farmers in sub-Saharan Africa, held in Kenya, Mar. 13-15, 2000

**Kenya Agricultural Research Institute**, Nairobi, Kenya. \$90,000 toward project costs for accelerated multiplication and distribution of healthy planting materials of improved cassava varieties in western Kenya

**Makerere University**, Kampala, Uganda. \$9,980 to support the Fifth Triennial Congress of the Africa Potato Association to be held in Kampala, Uganda

**Makerere University**, Kampala, Uganda. \$90,815 to support the micropropagation of selected banana cultivars for Ugandan farmers

**Michigan State University**, East Lansing, Michigan. \$26,000 for use by Michigan State University Press to edit and publish "Cassava: Africa's Best-Kept Secret," and to ship 750 copies of the finished book to the International Institute of Tropical Agriculture for free distribution in Africa

**Ministry of Agriculture, Malawi**, Lilongwe, Malawi. \$169,565 for use by its Department of Agricultural Research and Technical Services toward project costs for improving farmers' maize yields through managing maize streak virus, Turicum leaf blight and gray leaf spot diseases

**National Agricultural Research Organization**, Entebbe, Uganda. \$250,000 to support the banana cropping-systems project

**National Agricultural Research Organization**, Entebbe, Uganda. \$41,000 toward the costs of research conducted in collaboration with the International Center for Tropical Agriculture and other national agricultural-research organizations on genetic improvement of bush and climbing beans for smallholder production in Africa.

**National Center for Genetic Engineering and Biotechnology**, Bangkok, Thailand. \$85,000 to identify quantitative trait loci for drought tolerance in rice and test their efficacy for marker-assisted rice breeding

**National Institute for Agronomic Research**, Maputo, Mozambique. \$249,450 for support to the national maize-breeding program in Mozambique

**Purdue University**, West Lafayette, Indiana. \$237,808 for research to produce more durable resistance in sorghum to the parasitic weed *Striga* by characterizing the mechanisms of resistance and the genes responsible, and then combining them in ways that enhance durability of resistance

**Purdue University**, West Lafayette, Indiana. \$40,000 toward the costs of a workshop on developing a strategy on biotechnology for cowpea improvement in Africa, to be held in Dakar, Senegal

**Rice Research Institute**, Bangkok, Thailand. \$150,000 to conduct field screening of rice for drought tolerance at multiple locations in Thailand's drought-prone north and northeastern regions

**Rothamsted Experimental Station**, Hertfordshire, United Kingdom. \$5,000 for use by the Institute of Arable Crops Research for research on the characterization of allelochemicals involved in *Striga* suppression by *Desmodium* spp. and screening for related signals in selected legumes.

**Tamil Nadu Agricultural University**, Coimbatore, India. \$84,500 for research on identifying genes associated with water stress tolerance in rice

**Tropical Soil Biology and Fertility Programme**, Nairobi, Kenya. \$10,422 to support publishing a Web page and preparation of the proceedings of the Forum for Organic Resource Management and Agricultural Technologies symposium held in Nairobi, Kenya, September 2000

**United States Department of Agriculture**, Washington, D.C. \$10,000 for use by the Plant Genome Research Program of the Agricultural Research Service for support of travel of Third World scientists to attend the Plant and Animal Genome IX meeting held in San Diego, California, Jan. 13-17, 2001

**University of Agricultural Sciences**, Bangalore, India. \$55,000 to identify molecular markers for drought tolerance and utilize them in a rainfed rice-breeding program

**University of Hyderabad**, Hyderabad, India. \$480,000 to conduct rice genomic research and provide leadership and coordination to the national Indian network on rice improvement for drought tolerance

**University of Nairobi**, Nairobi, Kenya. \$66,760 for research on the effects of maize and sesame mixed or rotation cropping systems on *Striga* infestation

**University of Ottawa**, Ottawa, Canada. \$70,000 to undertake research and conduct biosafety assessments of transgenic crops with new genes for insect resistance

**University of Zimbabwe**, Harare, Zimbabwe. \$87,150 for research on improving disease resistance in cowpea through genetic engineering

**West Africa Rice Development Association**, Bouake, Ivory Coast. \$67,000 toward the costs of a workshop on Sustainable Rice-Based Production in Africa, to be held in M'Be, Côte d'Ivoire, Apr. 9-12, 2001

**West Africa Rice Development Association**, Bouake, Ivory Coast. \$380,100 toward project costs for building West African capacity in rice-breeding research



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**Yvonne Lokko**, Accra, Ghana \$31,500 to conduct research at the International Institute of Tropical Agriculture, leading to a Ph.D. degree from the University of Ghana, on the genetic analysis of host plant resistance to African cassava mosaic disease

### Enabling Farmer Participation

#### CROPS PRODUCTION SYSTEMS

**Center for Research and Advanced Studies of the National Polytechnic Institute**, Mexico City, Mexico \$108,000 to engineer cereal plants for increased tolerance to aluminum toxicity in soils and enhanced phosphate uptake

**International Center for Information on Cover Crops**, Tegucigalpa, Honduras \$67,000 toward the costs of a workshop on expanding uses of Mucuna and investigating the problems of anti-nutritional factors in the plant held in Tegucigalpa, Honduras, Apr. 26–29, 2000

**International Centre for Research in Agroforestry**, Nairobi, Kenya \$18,500 For two workshops focusing on Integrated Assessment of Soil and Water Quality in the Lake Victoria Basin of East Africa and Intensification in the East African Highlands: The Dynamics of Agroforestry and Livestock Strategies for Building Natural Resource Capital

**International Livestock Research Institute**, Nairobi, Kenya \$45,000 to develop a spatially referenced crop- and livestock-production database for eastern and southern Africa

**Kenya Agricultural Research Institute**, Nairobi, Kenya \$38,874 to continue support for on-farm research in improved soil management at sites of the Kisumu Regional Research Centre

**Kenya Agricultural Research Institute**, Nairobi, Kenya \$41,126 to continue support for on-farm research in improved soil management at the Kitale Regional Research Center

**Michigan State University**, East Lansing, Michigan \$18,750 for use by the Department of Agricultural Economics to continue supporting research on developing profitable downstream fertilizer-supply channels for smallholders in western Kenya

**National Agricultural Research Organization**, Entebbe, Uganda \$5,774 toward the costs of a workshop organized by NARO's Namulonge Agricultural and Animal Production Research Institute to discuss sustainable seed systems for small-scale farmers in Uganda

**John Ongecha**, Kisumu, Kenya \$30,000 for research on soil fertility enhancement as a strategy for managing beanfly infestation in maize-bean systems in East Africa

**Scientific and Industrial Research and Development Centre**, Harare, Zimbabwe \$9,108 for use by the Biotechnology Research Institute to enable a Rockefeller Foundation-funded biotechnologist to attend and present a paper at the Sixth International Congress of Plant Molecular Biology held in Quebec, Canada, Jun. 18–24, 2000, and to visit collaborating scientists in the United States and Europe

**Siaya Community Oriented Development Programme**, Segwa, Kenya \$77,000 for further development and implementation of farmer participatory trial programs to promote the use of the appropriate fertilizer types and crop residue management practices in order to restore soil fertility and improve food production in smallholder farming systems in western Kenya

**Sustainable Agricultural Centre for Research and Development in Africa**, Bungoma, Kenya \$5,000 toward the costs of creating better growing opportunities for legumes within the maize-legume intercropping systems in east Africa

**Texas A&M University**, College Station, Texas \$10,000 for use by the Texas Agricultural Experiment Station to support the participation of African scientists in the 16th Symposium of the International Farming Systems Association held in Santiago, Chile, Nov. 27–29, 2000

**University of Malawi**, Zomba, Malawi \$59,125 for use by Bunda College of Agriculture to conduct a study on the socioeconomic factors affecting the adoption of organic soil fertility management technologies by smallholder farmers in Malawi

**Youth for Action**, Hyderabad, India \$155,000 to support strengthening farmers' capacity for innovation in a semi-arid region in India and to test a participatory model for agricultural technology adaptation and diffusion



## IMPROVED CROP VARIETIES AND SEED PRODUCTION

**International Maize and Wheat Improvement Center**, Mexico City, Mexico \$427,000 toward project costs for strengthening maize seed supply systems for small-scale farmers in western Kenya and Uganda

**Ministry of Lands and Agriculture**, Zimbabwe, Causeway, Zimbabwe \$139,970 for use by the Department of Research and Specialist Services toward support of maize open-pollinated varieties ecosystem breeding in Zimbabwe

**West Africa Rice Development Association**, Bouake, Ivory Coast \$371,140 toward the costs of a project to develop farmer participatory approaches to technology development and dissemination in Mali and Nigeria

## PARTNERSHIP FOR SOIL, WATER AND NUTRIENT MANAGEMENT

**Environmental Action Team**, Kitale, Kenya \$112,527 to continue support for adaptive research on biological alternatives for soil-fertility management for maize production

**Foundation-administered project**: \$85,000 to strengthen the Food Security's Partnerships for Soils, Water and Nutrient Management program through the establishment of an advisory committee and consultation with experts on strategic program areas, and through the promotion of linkages and exchange of experiences among grantees

**Infanta Integrated Community Development Assistance, Inc.**, Quezon, Philippines \$50,000 to strengthen and document its more than 20 years of community-based field work and to explore participatory approaches for agricultural innovation and diffusion

**International Institute of Rural Reconstruction**, Silang, Philippines \$30,000 to support an international conference on advancing participatory technology development to be held in the Philippines, 2001

**Kenya Agricultural Research Institute**, Nairobi, Kenya \$160,227 toward the costs of incorporating the Farmer Field School approach to agricultural innovation and diffusion into its programs on soil-management and legume research

**National Institute of Soils and Fertilizers**, Hanoi, Vietnam \$98,000 to establish and implement integrated crop management Farmer Field Schools in northern Vietnam, and to document and analyze the process

**Network for Studies on Rural Development**, Chapingo, Mexico \$92,000 toward the costs of a pilot project that will test the feasibility and potential of 60 Farmer Field Schools in the states of Oaxaca and Chiapas, Mexico

**PELUM Association**, Harare, Zimbabwe \$51,000 to support a series of workshops organized by the PELUM Association and its partner organizations in eastern and southern Africa focusing on the analysis of constraints and opportunities for promoting sustainable agriculture in the region

**Swedish University of Agricultural Sciences**, Uppsala, Sweden \$46,000 for use by its Department of Rural Development Studies to support an African student in the Master's Programme in Development Research, Application and Theory, focusing on the Farmer Field School approach to technology adaption and diffusion

**University of Malawi**, Zomba, Malawi \$25,000 to support the Agricultural and Policy Research Unit of Bunda College of Agriculture, for a study on the social costs of soil erosion and its effects on food productivity, incomes and food security in Malawi

**University of Zimbabwe**, Harare, Zimbabwe \$38,000 to support the Department of Agricultural Economics and Extension to conduct research on factors that influence farmers' adoption of soil-fertility management technologies and the effects of alternative farm policies on increased use of these technologies by smallholder farmers in Zimbabwe

**Vicente Guerrero Rural Development Project**, Tlaxcala, Mexico \$98,000 to strengthen and document the more than 20 years of its facilitation of farmer-led agricultural-technology dissemination in Mexico

**Zimbabwe Institute of Permaculture**, Harare, Zimbabwe \$191,670 for use by the Schools and Colleges Permaculture Programme to promote changes in the curricula of primary, secondary and tertiary education to incorporate sustainable agricultural principles and income-generating activities to improve the food security of smallholder farmers in Zimbabwe

## Strengthening Policies and Institutions

### BIOSAFETY AND INTELLECTUAL PROPERTY

**International Maize and Wheat Improvement Center**, Mexico City, Mexico \$700,000 for strengthening intellectual-property and technology-transfer management at key centers of the Consultative Group on International Agricultural Research

**International Maize and Wheat Improvement Center**, Mexico City, Mexico \$47,300 for analysis of the distribution of welfare from the introduction of transgenic cotton varieties in Mexico

**International Rice Research Institute**, Makati City, Philippines \$700,000 for strengthening intellectual-property and technology-transfer management at key centers of the Consultative Group on International Agricultural Research

**International Rice Research Institute**, Makati City, Philippines \$25,000 toward the costs of a workshop on the Impact on Research and Development of *Sui Generis* Approaches to Plant Variety Protection of Rice in Developing Countries, held at IRRI, February 2000

**International Service for the Acquisition of Agri-Biotech Applications**, Ithaca, New York \$40,000 to conduct a preliminary freedom-to-operate review for provitamin A rice (Golden Rice), in part as a model for training programs on intellectual-property rights

**International Service for the Acquisition of Agri-Biotech Applications**, Ithaca, New York \$50,000 to conduct a feasibility study on the evaluation and deployment of rice genetically modified to produce provitamin A in the endosperm

**Scientific and Industrial Research and Development Centre**, Harare, Zimbabwe \$4,615 to provide training in biosafety protocols for a scientist responsible for introducing and monitoring such protocols at the Centre

**Stanford University**, Stanford, California \$20,000 for use by Stanford Law School toward the costs a conference on Intellectual Property and Global Biotechnology, held at the Bellagio Study and Conference Center, Bellagio, Italy, Mar 27-31, 2000

**United Nations Industrial Development Organization (UNIDO)**, Vienna, Austria \$47,460 for the further development and dissemination of an interactive computerized decision-support system for assessing risks arising from the use of genetically modified crop plants

## POLICY ANALYSIS FOR EQUITABLE DEVELOPMENT

**African Crop Science Society**, Rustenburg, South Africa \$95,570 to support the participation of Forum Principal Investigators and students in the Fifth All Africa Crop Science Congress, to be held in Nigeria, 2001

**Foundation-administered project**: \$111,000 to help strengthen the program and governance structure of the Initiative for Development and Equity in African Agriculture

**Government of Malawi**, Lilongwe, Malawi \$35,000 for use by the Office of the Vice President to support the Policy Analysis Initiative for evaluation of government policies in the areas of natural and environmental resources for sustainable development in Malawi

**International Crops Research Institute for the Semi-Arid Tropics**, Andhra Pradesh, India \$438,900 to support research and development of technologies, policies and institutional mechanisms to promote wider adoption of improved soil fertility management options by smallholder farmers in the semi-arid zone of Zimbabwe

**John Innes Centre**, Norwich, United Kingdom \$15,000 to enable developing-country speakers to participate in the conference, Global Agriculture 2020 Which Way Forward? to be held in Norwich, Apr 18-20, 2001

**Steven Were Omamo**, Njoro, Kenya \$26,000 for a study of agricultural markets and institutions with special reference to implications for policy reform in Africa

**University of Pretoria**, Pretoria, South Africa \$97,000 to conduct research on the diffusion to, and adoption of, agricultural biotechnologies by smallholder farmers in South Africa

**University of Zimbabwe**, Harare, Zimbabwe \$22,100 toward the costs of a book on how Africans are leading the process of agricultural development in Africa entitled, "The Termite Strategy Transforming Rural Africa From Within"

## LOCAL UNIVERSITY CAPACITY BUILDING

### FORUM ON AGRICULTURAL RESOURCES HUSBANDRY

**Africa University**, Mutare, Zimbabwe \$2,200 to provide field training for African graduate students in the agricultural sciences and to support research on soil-management options for improved groundnut production in Zimbabwe

**Chiang Mai University**, Chiang Mai, Thailand \$180,000 for use by its Faculty of Agriculture to support its Master of Science in Agricultural Systems degree program

**Cornell University**, Ithaca, New York \$900,000 to support the training of an interdisciplinary cohort of fellows from eastern and southern Africa at the Ph D level in topics related to integrated nutrient management for Africa

**Egerton University**, Njoro, Kenya \$4,400 to provide field training for African graduate students in the agricultural sciences and to support the development of production-technology packages for wheat/chickpea-rotation cropping systems in Kenya

**Egerton University**, Njoro, Kenya \$4,600 to support research on overcoming constraints to increased groundnut production

**Egerton University**, Njoro, Kenya \$69,800 to provide field training for African graduate students in the agricultural sciences and to support soil-science research on nitrogen-efficiency management in the vertisols in western Kenya.

**Foundation-administered project**: \$24,909 for work on maize improvement breeding programs for Kenya and other African countries

**Foundation-administered project**: \$75,000 for costs associated with managing the Foundation's program, Forum on Agricultural Resource Husbandry in Sub-Saharan Africa

**Jomo Kenyatta University of Agriculture and Technology**, Nairobi, Kenya \$37,273 to provide training for African graduate students in the agricultural sciences and to support research on the use of natural chemical compounds from native plant species for control of pests and pathogens

**Jomo Kenyatta University of Agriculture and Technology**, Nairobi, Kenya \$67,579 to provide training for African graduate students and to support biotechnological research for transformation of vitamin A-rich Kenyan sweet potato cultivars for resistance to weevils

**Jomo Kenyatta University of Agriculture and Technology**, Nairobi, Kenya \$69,844 to provide field training for African graduate students in the agricultural sciences and to support research for increasing pigeon pea (*Cajanus cajan*) production

**Kenyatta University**, Nairobi, Kenya \$4,800 for use by its Department of Zoology to provide field training for African graduate students in the agricultural sciences and to support studies on the impact of agroforestry and intercropping on insect pests

**Kenyatta University**, Nairobi, Kenya \$45,000 for research on the enhancement of soil productivity by use of low-input technologies on the smallholder farms of the central highlands of Kenya

**Kenyatta University**, Nairobi, Kenya \$60,000 to provide field training for African graduate students in the agricultural sciences and to support research on the control of root-knot nematodes in smallholder maize/bean intercropping systems in Kenya

**Makerere University**, Kampala, Uganda \$64,794 to provide field training for African graduate students in the agricultural sciences and to support research on disease-resistant banana mutants and banana micropropagation systems

**Makerere University**, Kampala, Uganda \$75,031 to provide field training for African graduate students in the agricultural sciences and to support research on the genetic diversity and improvement of banana cultivars in Uganda

**Makerere University**, Kampala, Uganda \$85,000 to provide field training for African graduate students in the agricultural sciences and to support studies on increasing potato production through selection of varieties resistant to late blight disease and through the judicious use of fungicides

**Makerere University**, Kampala, Uganda \$65,000 to provide field training for African graduate students in the agricultural sciences and to support research on soybean rust, the primary disease of soybeans in Uganda.

**Makerere University**, Kampala, Uganda \$12,500 for use by its Faculty of Agriculture to further the development of its programs

**Makerere University**, Kampala, Uganda \$5,000 to provide a preparation grant for the development of a project involving the rehabilitation of degraded rangelands in Uganda through the introduction of forage/fodder legumes as cover crops

**Makerere University**, Kampala, Uganda \$64,533 to provide field training for African graduate students in the agricultural sciences and to support integrated pest management in Uganda where cassava mosaic virus is pandemic

**Makerere University**, Kampala, Uganda \$64,584 to provide field training for African graduate students in the agricultural sciences and support research in overcoming constraints to increased sorghum production in the dry farming regions of Uganda

**Makerere University**, Kampala, Uganda \$65,000 to provide field training for African graduate students in the agricultural sciences and to support economic research on the adoption of integrated pest-management technologies developed for cowpea and groundnuts in eastern Uganda

**Makerere University**, Kampala, Uganda \$65,000 to provide field training for African graduate students in agricultural sciences and to support research in identifying drought stress tolerant banana cultivars.

**Makerere University**, Kampala, Uganda \$65,000 to provide field training for African graduate students in the agricultural sciences and to support research on overcoming constraints to increased maize production

**Makerere University**, Kampala, Uganda \$65,000 to provide field training for African graduate students in the agricultural sciences and to support research on overcoming constraints to increased sweet potato production.

**Makerere University**, Kampala, Uganda \$65,000 to provide field training for African graduate students in the agricultural sciences and to support research on the use of intercrops in overcoming constraints to increased cassava production

**Makerere University**, Kampala, Uganda \$65,000 to provide field training for African graduate students in the agricultural sciences and to support economic research on factors affecting technology adoption for improved sorghum production in eastern Uganda

**Makerere University**, Kampala, Uganda \$65,000 to provide field training for African graduate students in the agricultural sciences and to support research to increase sesame production in the dry regions of Uganda

**Makerere University**, Kampala, Uganda \$65,000 to provide field training for African graduate students in the agricultural sciences and to support water balance research studies in the semi-arid areas of Lake Kyoga basin in Uganda

**Makerere University**, Kampala, Uganda \$85,000 to provide field training for African graduate students in the use of biometrics and applied statistics for solving agricultural problems in Uganda

**Makerere University**, Kampala, Uganda \$75,000 to provide field training for African graduate students in the agricultural sciences and to develop a decision support system for sustainable productivity of the banana-based cropping systems of the Lake Victoria basin

**Makerere University**, Kampala, Uganda \$75,000 to provide field training for African graduate students in the agricultural sciences and to support research on the economic evaluation of linkages between agricultural commercialization and the use of purchased inputs in Uganda

**Makerere University**, Kampala, Uganda \$99,233 to provide training for African graduate students in the agricultural sciences and to support specifically the outreach activities associated with integrated pest management strategies for small-scale farmers in eastern Uganda

**Moi University**, Eldoret, Kenya \$2,400 to provide field training for an African graduate student in the agricultural sciences and to support the Phosphate Rock Evaluation Project (PREP) to include research on market potential and farmer acceptance of the PREP-PAC as a soil fertility tool in western Kenya

**Moi University**, Eldoret, Kenya \$4,800 to provide field training for African graduate students in the agricultural sciences and to support the project, PREP—a strategy for replenishing productivity to low fertility patches in smallholders' fields of western Kenya

**Moi University**, Eldoret, Kenya \$5,000 for use by its faculty of agriculture to further the development of its programs.

**Moi University**, Eldoret, Kenya \$5,000 to provide a preparation grant for the development of a project involving all stakeholders in the incorporation of forest regeneration in the Shamba system of crop production

**Moi University**, Eldoret, Kenya \$62,400 to provide field training for African graduate students in the agricultural sciences and to support research on social factors affecting adoption of agroforestry systems technology in western Kenya

**University of Malawi**, Zomba, Malawi \$171,000 for use by Bunda College of Agriculture toward the costs of the Fourth Forum Regional Meeting, held in Lilongwe, Malawi, Jul 10–14, 2000

**University of Malawi**, Zomba, Malawi \$4,695 for use by Chancellor College as a preparation grant for research to enhance yam production that will provide field training for African graduate students in the agricultural sciences

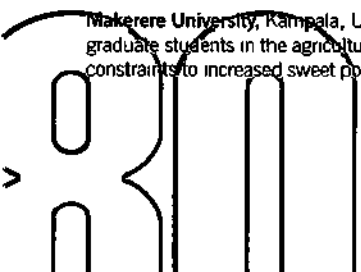
**University of Malawi**, Zomba, Malawi \$4,800 for use by Bunda College of Agriculture to provide field training for African graduate students in the agricultural sciences and to support the development of vascular puncture technique and identification of biologically and epidemiologically different maize streak virus isolates

**University of Malawi**, Zomba, Malawi \$64,800 for use by Bunda College to provide field training for African graduate students in the agricultural sciences and to support research on the biology, ecology and control of *Striga* infestations in cereals

**University of Malawi**, Zomba, Malawi \$65,174 to provide field training for African graduate students in the agricultural sciences and to support research on agroforestry interventions in central Malawi

**University of Malawi**, Zomba, Malawi \$68,339 for use by Bunda College of Agriculture to provide field training for African graduate students in the agricultural sciences and to support research in agricultural economics on the use of soybeans and pigeon peas for soil-fertility management in Malawi

**University of Nairobi**, Nairobi, Kenya \$3,600 to provide field training for African graduate students in the agricultural sciences and to support an assessment of the dissemination and adoption of improved fodder trees by women farmers in Embu District, Kenya



**University of Nairobi, Nairobi, Kenya** \$4,000 for use by its Department of Soil Science to provide field training for African graduate students in the agricultural sciences and to support a project on field monitoring of seasonal surface soil loss and erosion-induced nutrient losses in a maize/legume-based cropping system in Kenya

**University of Nairobi, Nairobi, Kenya** \$4,800 for use by its Faculty of Agriculture, Department of Soil Science, to provide field training for African graduate students in the agricultural sciences and to support research into soil-fertility problem solving in the Central Highlands of Kenya

**University of Nairobi, Nairobi, Kenya** \$4,917 to provide a preparation grant for the development of a project on post-harvest technology for sweet potatoes using a participatory survey in two districts of Kenya

**University of Nairobi, Nairobi, Kenya** \$62,210 to provide field training for African graduate students in the agricultural sciences and to support integrated pest-management research on snapbeans, which are a major export crop and an income-earning commodity for many smallholder farmers

**University of Nairobi, Nairobi, Kenya** \$64,751 to provide field training for African graduate students in the agricultural sciences and to support studies on technology adoption and resource management in maize-production systems in two agroecological zones in Kenya

**University of Nairobi, Nairobi, Kenya** \$65,469 to provide training for African graduate students in the agricultural sciences and to support research on efficient resource use in low input maize/pigeon pea intercropping systems in Kenya

**University of Nairobi, Nairobi, Kenya** \$69,640 to provide field training in agricultural sciences for African graduate students and to support research on the adoption of crop-production technologies and their impact on the food self-sufficiency of smallholder farmers in the Mumias Sugar Scheme in western Kenya

**University of Zimbabwe, Harare, Zimbabwe** \$2,500 for use by its Faculty of Agriculture to further the development of its programs

**University of Zimbabwe, Harare, Zimbabwe** \$3,400 for a preliminary study to develop a research project on preventing soil and water loss on badly degraded communal areas through the use of intercropping that will provide training for African graduate students in the agricultural sciences

**University of Zimbabwe, Harare, Zimbabwe** \$4,959 for a preliminary study to develop a research project on dryland paprika production in the smallholder farming sector of Zimbabwe that will provide field training for African graduate students in the agricultural sciences

**University of Zimbabwe, Harare, Zimbabwe** \$73,500 to provide training for African graduate students and to support research on improved management of soybean-production technologies in smallholder maize-based cropping systems in Zimbabwe

**University of Zimbabwe, Harare, Zimbabwe** \$8,000 to provide a stipend supplement for students supported by the Foundation's Forum on Agricultural Resource Husbandry program at the University

#### LOCAL UNIVERSITY CAPACITY BUILDING: ADVANCED TRAINING FELLOWSHIPS

**Marijatta Eilitta, Gainesville, Florida** \$62,000 for a postdoctoral fellowship for work to compile and circulate information and implement strategies to strengthen information management and exchange on green-manure cover-crop systems, and to facilitate expansion of the use of Mucuna, a common green-manure cover-crop species in Africa and Latin America

**Anju Mahendru, New Delhi, India** \$74,025 for a postdoctoral fellowship for advanced training in plant genetics at the Department of Crop and Soil Sciences, University of Georgia, Athens

**Michigan State University, East Lansing, Michigan** \$20,000 toward the costs of dissertation research conducted by a Kenyan student on links between land-use patterns and gender-based differences in access to resources in the Mount Kilimanjaro area of Kajiado District, Kenya

**Sujin Patarapuwadol, Nakhon Pathom, Thailand** \$144,270 for a fellowship for advanced training in plant biotechnology leading to the Ph.D. degree at the Plant Breeding Institute, University of Sydney, Australia.

#### LOCAL UNIVERSITY CAPACITY BUILDING: OTHER

**Africa University, Mutare, Zimbabwe** \$30,000 to enable the University's Faculty of Agriculture to obtain the Essential Electronic Agricultural Library to improve access of faculty and students to up-to-date scientific information on all areas of agricultural science for teaching and research

**African Crop Science Society, Rustenburg, South Africa** \$37,050 to support the next scientific meeting of the African Crop Science Society, based at Makerere University's Faculty of Agriculture, to be held in Nigeria, 2001

**Agricultural College of the Humid Tropical Region, San José, Costa Rica** \$350,000 to strengthen collaborative relationships between the college and Makerere University in Uganda through exchange of students and faculty, and initiation of joint research projects in sustainable agriculture, and through innovations in learning, teaching and community development. (\$200,000 from Regional Activities/Other Regions and \$50,000 from African Regional Office/Human Capacity Building/African Universities-New York)

**Cornell University, Albert R. Mann Library, Ithaca, New York** \$85,000 for use by its Albert R. Mann Library toward additional marketing and training support for the Essential Electronic Agricultural Library in Africa

**Makerere University, Kampala, Uganda** \$10,000 for use by its Faculty of Agriculture to further the development of its programs

**Ministry of Lands and Agriculture, Zimbabwe, Causeway, Zimbabwe** \$45,000 to provide access to the Essential Electronic Agricultural Library to the Agronomy Research Institute of the Department of Research and Specialist Services to improve the quality of its scientific research activities

**Obafemi Awolowo University, Ife-Ife, Nigeria** \$45,000 to provide access to the Essential Electronic Agricultural Library with its Faculty of Agriculture to improve access of faculty and students to up-to-date scientific information on all areas of agricultural science for teaching and research

**Texas A&M University, College Station, Texas** \$352,263 for research and Ph.D. training in maize breeding, with emphasis on tolerance to drought and low soil nitrogen, for two students from southern Africa

**University of Zimbabwe, Harare, Zimbabwe** \$75,000 to provide a two-and-one-half year salary supplement for a professional chair in crops science at the University's Department of Crop Science of the Faculty of Agriculture, in order to strengthen the quality of teaching and scientific research

**University of Zimbabwe, Harare, Zimbabwe** \$100,000 to strengthen the University's Department of Soil Science and Agricultural Engineering through a visiting research professorship

2001

## Harnessing the New Sciences

### GLOBAL ALLIANCE FOR VACCINES

**Foundation-administered project:** \$100,000 to undertake an inventory of public/private partnerships for vaccine research and development and to explore innovative ways to accelerate the development of vaccines and immunizations for use in developing countries

**Foundation-administered project:** \$65,000 in support of the production, publication and distribution of a report on the pharmacoconomics of tuberculosis drug development

**Foundation-administered project:** \$85,000 in support of the production, publication and distribution of the "Scientific Blueprint for TB Drug Development"

**Foundation-administered project:** \$1,500,000 in support of the creation and launch of the Global Alliance for TB Drug Development

**Global Alliance for TB Drug Development, New York, New York** \$4,500,000 for general support

**Global Forum for Health Research, Geneva, Switzerland** \$500,000 for core funding of its activities in 2000 and specifically for the private partnerships project

**International Vaccine Institute, Seoul, South Korea** \$50,000 toward the costs of a program of capacity building in developing countries for clinical evaluation of new vaccines

**Medical Research Council, Cape Town, South Africa** \$99,825 for use by its Tuberculosis Drug Research Unit in Pretoria to support the establishment of a Coalition of Tuberculosis Research and Development Stakeholders in countries most affected by the disease

**Medical Research Council, Cape Town, South Africa** \$36,575 for use by its Tuberculosis Drug Research Unit in Pretoria for a Latin America regional consultative meeting of tuberculosis research-and-development stakeholders to identify and prioritize local needs

**William Alan Muraskin, New York, New York** \$23,000 to continue to research and write a book entitled, "A History of the Growth of the Children's Vaccine Program"

**University of Chicago, Chicago, Illinois** \$63,000 for support of a research study on the biology of apicomplexan parasites in order to identify targets for new medicines

**University of Maryland at Baltimore, Baltimore, Maryland** \$27,000 for use by its Center for Vaccine Development toward the cost of research related to the development of a safe, practical and effective oral vaccine against Shigella and E. coli and for surveillance studies of pediatric infections in Bamako, Mali

**World Health Organization, Geneva, Switzerland** \$200,000 toward the costs of strengthening and maintaining a global movement for antituberculosis drug discovery and development

### MEDICINES FOR MALARIA

**Medicines for Malana Venture, Geneva, Switzerland** \$2,000,000 in support of public/private partnerships that foster the discovery and development of affordable new antimalarial drugs

**Medicines for Malana Venture, Geneva, Switzerland** \$1,300,000 in support of the establishment of public/private partnerships to foster the discovery and development of affordable new antimalarial drugs

## Strengthening Global Leadership

### HEALTH EQUITY FRONTIERS

**Catholic University of Chile, Santiago, Chile** \$185,400 for use by its Department of Public Health for a project to strengthen monitoring of health equity and health care in Chile, as part of the Equity Gauge initiative

**Global Health Council, White River Junction, Vermont** \$350,000 for activities to promote redressing disparities in child health related to a U.N. General Assembly Special Session on Children in September 2001

**Health Systems Trust, Durban, South Africa** \$527,700 to support a project working with national and provincial legislators to monitor health equity in South Africa, as part of the Equity Gauge initiative

**International Centre for Diarrhoeal Disease Research, Bangladesh, Dhaka, Bangladesh** \$196,500 for use by its Centre for Health and Population Research for a collaborative project to develop a health-equity monitoring system in Bangladesh, as part of the Equity Gauge initiative

**International Centre for Diarrhoeal Disease Research, Bangladesh, Dhaka, Bangladesh** \$100,000 for use by its Centre for Health and Population Research, for the third phase of a collaborative research study between Bangladesh Rural Advancement Committee (BRAC) and International Centre for Diarrhoeal Disease Research, Bangladesh (ICDDR, B) on the effects of poverty-alleviation programs on health

**Mahidol University, Bangkok, Thailand** \$100,000 for use by its Faculty of Social Sciences and Humanities in support of a collaborative project to identify, assess and monitor equity in health and health care in Thailand at the national, regional and provincial levels, as part of the Equity Gauge initiative

**Ministry of Health, China, Beijing, China** \$250,000 for use by its Center for Health Statistics and Information to establish the China Health Surveillance System, a household-based and equity-oriented health monitoring system, as part of the Equity Gauge initiative

**Pan American Health Organization, Washington, D.C.** \$500,000 toward the costs of the capacity-building component of its health-equity initiative, and toward regional level activities to identify and redress gender inequities in health-sector reform policies

**Training and Research Support Centre, Harare, Zimbabwe** \$98,250 to enhance public participation and accountability in the health-budget processes in Zimbabwe, as part of the Equity Gauge initiative

**University of the Witwatersrand, Johannesburg, South Africa** \$25,000 for use by its Centre for Health Policy toward the costs of developing-country participation in the regional conference of the Southern African Network on Equity in Health (EQUINET), Sept. 13-15, 2000

**University of the Western Cape, Bellville, South Africa** \$250,000 to develop a practical strategy for equitable allocation of public health resources and interventions among 11 health districts in the Cape Town Metropole, South Africa, as part of the Equity Gauge initiative

### GLOBAL HEALTH WATCH

**Action on Smoking and Health Foundation, Bangkok, Thailand** \$300,020 for activities related to the development of the Southeast Asian Tobacco Control Alliance, a collaborative regional tobacco-control network

**Action on Smoking and Health Foundation, Bangkok, Thailand** \$53,550 for a workshop to foster the skills and knowledge of key actors within the tobacco-control field in Southeast Asia

**Adventist Development and Relief Agency International, Silver Spring, Maryland** \$198,692 for use by its Cambodia office for a community-based intervention project to increase the role of Buddhist monks in minimizing tobacco use within Cambodia

**Consumers International, London, United Kingdom** \$30,000 for use by its Regional Office for Asia and the Pacific for a workshop on tobacco consumption and control, held in Durban, South Africa, Nov. 13-17, 2000

**Doctors Without Borders, New York, New York** \$75,000 toward the costs of a conference, organized jointly with Health Action International, on Improving Access to Essential Medicines in East Africa: Patents and Prices in a Global Economy, held in Nairobi, Kenya, June 2000

**Essential Information, Washington, D.C.** \$75,000 to support a pilot project to initiate tobacco-control partnerships between U.S. and developing-country groups

**Harvard University, Cambridge, Massachusetts** \$35,000 for use by its School of Public Health in support of two seminars to explore ways in which information and communication technologies can best be used to advance health in developing countries

**Johns Hopkins University, Baltimore, Maryland** \$356,064 for use by its Institute for Global Tobacco Control for capacity building and research/surveillance activities in Southeast Asia

**Ministry of Health, Indonesia, Surabaya, Indonesia** \$61,328 for use by its Directorate General of Drug and Food Control for a workshop of the ASEAN countries on the World Trade Organization Trade-Related Aspects of Intellectual Property Rights, agreement and access to essential drugs

**Panos Limited, London, United Kingdom** \$55,435 toward the cost of producing a briefing document to increase the awareness of the different factors affecting access to treatment for HIV and AIDS-related infections for dissemination and discussion at the 13th International AIDS Conference in Durban, South Africa

**University of Illinois at Chicago, Chicago, Illinois** \$402,436 for use by its Health Research and Policy Centers to support the development of a research and technical-assistance program on the economics of tobacco use and control in Southeast Asia

**World Health Organization, Geneva, Switzerland** \$205,500 for use by its Country Office in Cambodia to provide technical assistance to government and nongovernmental organizations involved in tobacco control in Cambodia



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### Reproductive Health

**Anusandhan Trust, Mumbai, India:** \$425,000 to support India's National Abortion Assessment Study.

**Family Planning Association of Kenya, Nairobi, Kenya:** \$239,430 to complete an intervention research project testing a new reproductive-health service delivery model for young people in the small city of Nyeri.

**Foundation-administered projects:** \$65,000 for consultancies and meetings associated with implementing the reproductive-health services research program.

**IPAS, Chapel Hill, North Carolina:** \$285,000 to conduct an assessment of three community-based adolescent sexual and reproductive-health projects in West Africa, and hold a regional workshop for these and other organizations conducting similar research in Africa.

**IPAS, Chapel Hill, North Carolina:** \$2,230,110 to build program and research capacity among teams in sub-Saharan Africa that are conducting intervention research to design and test community-based approaches to expanding adolescent sexual- and reproductive-health information and services and promoting healthy behaviors.

**Ministry of Health, Ghana, Accra, Ghana:** \$327,470 toward the cost of the demographic surveillance system of the Navrongo Health Research Centre.

**Pacific Institute for Women's Health, Los Angeles, California:** \$691,790 to test community-based interventions to prevent unwanted pregnancies and unsafe abortion and its complications in Kenya, in collaboration with the Center for the Study of Adolescence in Nairobi.

**Population Council, New York, New York:** \$227,950 to enable the Population Council's staff in Bangkok to continue to assist local researchers and UNICEF's Myanmar office with conducting research studies, training and technical assistance on critical issues in reproductive health and HIV/AIDS in Myanmar.

**Population Council, New York, New York:** \$348,840 to enable its Nairobi regional office to continue to provide technical assistance to the Family Planning Association of Kenya and the Zimbabwe National Family Planning Council in testing and evaluating new models of reproductive-health programs for adolescents.

**Population Council, New York, New York:** \$399,460 for a study to be conducted in collaboration with the Self-Employed Women's Association, Gujarat, India, to test a set of livelihood and savings program activities to improve the livelihoods of adolescent girls in India and the impact of such activities on decision making related to marriage and childbearing.

**Reproductive Health Matters, London, United Kingdom:** \$50,000 toward the costs of publishing and distributing, free of charge, its journal and other printed materials in sub-Saharan Africa and South Asia.

**Research, Action and Information Network for the Bodily Integrity of Women, New York, New York:** \$100,000 for its African Partnership for Sexual and Reproductive Health and Rights of Women.

**Sakshi for South Asian Women, New York, New York:** \$454,940 for a project in collaboration with a group of women's and development organizations in India, to complete and disseminate a review of sexual- and reproductive-health research conducted in India over the past 10 years; and to conduct with several community-based organizations in India, case studies and research to assess the links between asset-building programs for women and women's reproductive health.

**Save the Children Fund (U.K.), London, United Kingdom:** \$82,870 for creating and strengthening the psychological and social resources of adolescents in urban Mali, in order to facilitate their acquisition and application of sexual-health information.

**Society for Education, Action and Research in Community Health, India:** \$50,000 for the writing, publication and dissemination of research papers on reproductive and child health and institutional capacity building.

**Thomas Jefferson University, Philadelphia, Pennsylvania:** \$498,250 to further develop the capacity of the Global Network for Perinatal and Reproductive Health, and to support a multicenter study of perinatal infections.

**University of Ibadan, Ibadan, Nigeria:** \$170,000 for a study to develop and evaluate reproductive-health education and service programs for out-of-school youth in rural and urban sites in Oyo State, Nigeria.

**University of Montreal, Montreal, Canada:** \$14,420 for a study to be conducted in collaboration with the University of Yaoundé on adolescent reproductive health and sexuality in Cameroon.

**University of Montreal**, Montreal, Canada \$450,000 for intervention research to be conducted in collaboration with the University of Yaoundé, to test several approaches to improving the sexual and reproductive health and health-seeking behaviors of adolescents in Cameroon

**University of Ouagadougou**, Ouagadougou, Burkina Faso \$55,190 for a community-based study to document and understand the norms and practices related to induced abortion and prevention of unwanted pregnancies in a rural area of Bazega Province

**Uzima Foundation**, Nairobi, Kenya \$35,000 for a study on the impact of its AIDS awareness activities on the sexual behavior of youth in Kenya

**Women's Health and Action Research Centre**, Benin City, Nigeria \$182,970 to conduct documentation and intervention research on the sexual and reproductive health of out-of-school adolescents in Nigeria

**Zimbabwe National Family Planning Council**, Harare, Zimbabwe \$302,110 to complete an intervention research project aiming to identify appropriate, effective and replicable models of reproductive-health services for youth in Zimbabwe

## Resourcing Public Health

### PUBLIC HEALTH SCHOOLS WITHOUT WALLS

**Carter Center**, Atlanta, Georgia \$60,000 in support of three in-country public health-training and curriculum-development workshops in Ethiopia

**Chulalongkorn University**, Bangkok, Thailand \$6,321 for use by its College of Public Health for reimbursement of the local costs associated with hosting the annual meeting in February 1999 of the Public Health Schools Without Walls Network in Bangkok, Thailand

**Foundation-administered project**: \$160,000 for the costs associated with a comprehensive participatory evaluation of the Public Health Schools Without Walls (PHSWOW) program

**Foundation-administered project**: \$90,000 supplemental funding for the comprehensive evaluation of the PHSWOW program to enable the evaluators to further network development and to facilitate internal evaluations of the programs in Zimbabwe, Uganda, Ghana and Vietnam

**Sree Chitra Tirunal Institute for Medical Sciences and Technology**, Trivandrum, India \$130,029 for use by its Achutha Menon Centre for Health Science Studies to support the activities of the working group established to collect and analyze information to define the future form and membership of the Public Health School Without Walls network in preparation for the next annual meeting

### INFORMATION/SURVEILLANCE

**Africa Midwives Research Network**, Dar es Salaam, Tanzania \$200,000 as an International Health Research Award for support of a collaborative partnership to strengthen research skills and opportunities among midwives in Africa

**Ateneo de Manila University**, Manila, Philippines \$93,900 for use by its Institute of Philippine Culture for activities related to fostering people-centered public health strategies, and forging networks among several Asian countries to share information about these efforts

**Brazilian Institute for Consumer Protection**, São Paulo, Brazil \$200,000 as an International Health Research Award to support a collaborative partnership to improve health in Brazil and Latin America by strengthening consumer action through research and knowledge generation

**Chulalongkorn University**, Bangkok, Thailand \$98,800 for use by its College of Public Health for activities related to knowledge management and capacity development in Southeast Asia

**Consumers International**, London, United Kingdom \$350,000 for use by its Regional Office for Asia and the Pacific toward the costs of the People's Health Assembly conference held in Dhaka, Bangladesh, December 2000

**Council on Health Research for Development**, Geneva, Switzerland \$150,000 supplemental funding for an international conference in Bangkok, Thailand, to devise a new agenda for health research for development

**Curatio International Foundation**, Tbilisi, Republic of Georgia \$200,000 as an International Health Research Award to support a collaborative partnership to strengthen the links between research and policies to make the health-care system in the Republic of Georgia more responsive to the needs of its population

**Global Health Council**, White River Junction, Vermont \$248,950 to support a three-year national education and outreach program in the United States on infectious diseases of global importance

**Health Systems Research Institute**, Nondaburi, Thailand \$200,000 as an International Health Research Award to support a collaborative partnership to strengthen the capacity of health-research institutions in the context of health-systems reform in Thailand

**INCLEN Trust International**, Manila, Philippines \$2,000,000 for general support

**Institute of Policy Studies of Sri Lanka**, Colombo, Sri Lanka \$200,000 as an International Health Research Award to support a collaborative partnership to strengthen health-systems reform in the Asia-Pacific region within the National Health Accounts framework

**Medical Research Council**, Cape Town, South Africa \$200,000 as an International Health Research Award for use by its Women's Health Research Unit in Pretoria to support a collaborative partnership to create a South African gender-based violence and health initiative

**Ministry of Health, Cambodia**, Phnom Penh, Cambodia \$84,918 for use by its Communicable Disease Control Department for continued efforts to strengthen disease surveillance through capacity building and communications networking

**Ministry of Health, Kenya**, Nairobi, Kenya \$98,300 in support of activities to strengthen the integrated disease surveillance system in Kenya, as part of the East African Integrated Disease Surveillance Network

**Ministry of Health, Uganda**, Kampala, Uganda \$79,800 in support of a project to strengthen the integrated disease surveillance system in Uganda, as part of the East African Integrated Disease Surveillance Network

**Ministry of Public Health, Thailand**, Nonthaburi, Thailand \$10,460 for use by its Field Epidemiology Training Program toward the costs of a meeting related to the start-up of a Mekong Basin Disease Surveillance network

**Ministry of Public Health, Thailand**, Nonthaburi, Thailand \$400,000 for use by its Field Epidemiology Training Program for activities related to the maintenance and further development of the Mekong Basin Disease Surveillance network

**National Institute for Medical Research**, Dar es Salaam, Tanzania \$200,000 as an International Health Research Award to support a collaborative partnership to strengthen the Tanzania National Health Research Forum and for health-research activities

**National Institute for Medical Research**, Dar es Salaam, Tanzania \$141,841 in support of its activities as the country focal point for Tanzania and to serve as the regional coordinating center for the East African Integrated Disease Surveillance Network

**National Institute of Public Health**, Mexico, Cuernavaca, Morelos, Mexico \$200,000 in support of a collaborative partnership to improve the links between reproductive-health and health-sector reforms in Latin America and the Caribbean

**Nepal Health Research Council**, Kathmandu, Nepal \$200,000 as an International Health Research Award for a collaborative partnership in support of activities to strengthen the health-research network in Nepal

**Southeast Asian Ministers of Education Organization**, Bangkok, Thailand \$200,000 for use by its Regional Tropical Medicine and Public Health Network for activities related to research-capacity development for provincial/district health officers in the Mekong region

**Tata Institute of Social Sciences**, Mumbai, India \$200,000 in support of a collaborative partnership to strengthen health research among nongovernmental organizations in India

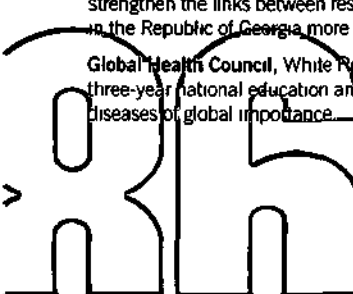
**World Health Organization**, Geneva, Switzerland \$400,000 to work with regional representatives of developing-country institutions to develop an administration and selection process for the Millennium Health Research Awards, presented at an international meeting entitled, International Conference on Health Research for Development, in October 2000

## Launching a Second Contraceptive Revolution

**Action Canada for Population and Development**, Ottawa, Canada \$96,270 for a public-education program about international population and development issues, and the dissemination of research results on these topics

**Aaron Diamond AIDS Research Center**, New York, New York \$300,000 for a study of inhibitors of HIV-1 envelope and cellular coreceptors, as part of a network geared toward the development of vaginal microbicides

**All India Institute of Medical Sciences**, New Delhi, India \$299,940 for studies in receptive stage gene expression in the rhesus monkey endometrium and the mode of action of antiangiogenic agents during receptivity and implantation, as part of an implantation research network brought together by the World Health Organization





**Ali India Institute of Medical Sciences**, New Delhi, India \$100,000 to continue studies in receptive stage gene expression in the rhesus monkey endometrium and the mode of action of antiangiogenic agents during receptivity and implantation, as part of an implantation research network brought together by the World Health Organization

**Blaise Pascal University**, Clermont-Ferrand Cedex, France \$91,110 for a study of GPX5 and GPX3 in the mammalian epididymis as potential tools toward the modulation of the epididymal environment and the integrity of spermatozoa

**Brigham and Women's Hospital**, Boston, Massachusetts \$299,980 for a study of vaginal tract mucosal-immune defense and HIV infection, as part of a network geared toward the development of vaginal microbicides

**Chinese Academy of Sciences**, Beijing, China \$100,000 for use by its State Key Laboratory of Reproductive Biology to study the effects of antiangiogenic factors on the corpus luteum and implantation in primates as part of an implantation-research network brought together by the World Health Organization

**Concept Foundation**, Bangkok, Thailand \$242,810 to complete a collaborative research and development project with the World Health Organization and Chinese institutions concerning the post-ovulatory use of mifepristone as a means of reducing unwanted pregnancies and the recourse to abortion.

**Family Health International**, Research Triangle Park, North Carolina \$29,290 for a study of the introduction and use of emergency contraception in Venezuela

**Oregon Health Sciences University**, Portland, Oregon \$300,000 for use by the Oregon Regional Primate Research Center for studies in rhesus monkeys using antiangiogenic agents as part of an implantation-research network brought together by the World Health Organization

**Population Council**, New York, New York \$743,620 to participate in, and administer a basic science network on, heterosexual transmission of HIV that is geared toward the development of vaginal microbicides

**Prince Henry's Institute of Medical Research**, Clayton, Victoria, Australia \$300,000 for a study of novel endometrial targets for postcoital contraception as part of an implantation-research network brought together by the World Health Organization

**Rockefeller University**, New York, New York \$295,320 for a study of dendritic cells as targets for microbicides to block the mucosal transmission of HIV, as part of a network geared toward the development of vaginal microbicides

**University of California, Davis**, Davis, California \$300,000 for studies in the SIV/rhesus macaque animal model designed to define the biology of heterosexual HIV transmission, as part of a network geared toward the development of vaginal microbicides

**University of Cambridge**, Cambridge, United Kingdom \$330,170 for use by its Rosie Hospital for a study of angiogenesis and the role of angiogenic growth factors on implantation as part of an implantation research network brought together by the World Health Organization

**University of Technology Aachen**, Aachen, Germany \$330,000 for development of trophoblast-specific contraceptive agents as part of an implantation-research network brought together by the World Health Organization

**University of Turku**, Turku, Finland \$212,260 to complete a study involving the development of immortalized epididymal cell lines and related activities as part of a network concerned with the application of molecular pharmacology for post-testicular activity

**University of Virginia**, Charlottesville, Virginia \$142,570 to complete a project on epididymal-specific transcription factors and secreted proteins as targets for the development of a male contraceptive

**Vanderbilt University**, Nashville, Tennessee \$322,850 to complete research on the characterization of an epididymal gene promoter as a tool to study secreted epididymal proteins as potential targets for male contraception

**Westphalian Wilhelms University**, Munster, Germany \$135,100 to complete a study designed to develop a male contraceptive by targeting sperm metabolism

**World Health Organization**, Geneva, Switzerland \$72,400 for a meeting in Oregon of the investigators and project review committee for an implantation research initiative of the Special Programme of Research, Development and Research Training in Human Reproduction

**World Health Organization**, Geneva, Switzerland \$250,000 for meetings, collaborative projects and publication costs associated with an implantation-research initiative of the Special Programme of Research, Development and Research Training in Human Reproduction

## Promoting Policy Dialogue and Research

### CAPACITY BUILDING IN AFRICA

**INDEPTH**, Navrongo, Ghana \$100,000 for a scientific meeting in Johannesburg, South Africa, of representatives from an international network of field sites with continuous demographic evaluation of populations and their health.

**Population Council**, New York, New York \$994,730 in support of its African Population and Health Policy Research Center in Nairobi

## Explorations and Other Grants

### INITIATIVES FOR EQUITY IN HEALTH

**African Medical and Research Foundation**, Nairobi, Kenya \$40,000 in support of a meeting to explore opportunities for harnessing information technology for community health with a special focus on changing the climate for continuing education and increasing public demand for better health care

**Chinese Academy of Preventive Medicine**, Beijing, China \$25,000 to support developing-country participants from outside of China to attend the international meeting, Challenges for Public Health at the Dawn of the 21st Century.

**Commonwealth Regional Health Community Secretariat for East, Central and Southern Africa**, Arusha, Tanzania \$97,556 in support of a meeting of health and finance ministers to discuss priority issues that relate to increasing government financing of HIV/AIDS prevention and control programs in east, central and southern Africa

**Foundation-administered project**: \$100,000 in support of a Bellagio conference on the feasibility of establishing a global TB drug facility for equitable access and efficient procurement of TB drugs

**Foundation-administered project**: \$200,000 to explore new international systems to enable health research to have the greatest impact on the lives of the poor and excluded

**Foundation-administered project**: \$330,000 to explore the opportunities for a redirected strategy against HIV/AIDS and its impact on the lives of the poor and excluded in sub-Saharan Africa

**Foundation-administered project**: \$400,000 to explore the opportunities for a redirected strategy against HIV/AIDS and its impact on the lives of the poor and excluded

**Foundation-administered project**: \$60,000 to undertake a review and assessment on the feasibility of creating a global drug facility for equitable access to tuberculosis treatment

**Foundation-administered project**: \$500,000 in support of the establishment of a global drug facility to ensure equitable access to and efficient procurement of tuberculosis drugs

**Foundation-administered project**: \$99,500 to explore the feasibility of a public/private partnership to accelerate the development of a dengue vaccine for poor children

**Grantmakers in Health**, Washington, D.C. \$12,000 for continued support of its efforts to communicate information and generate knowledge about health issues and effective grantmaking strategies to help grantmakers improve the nation's health.

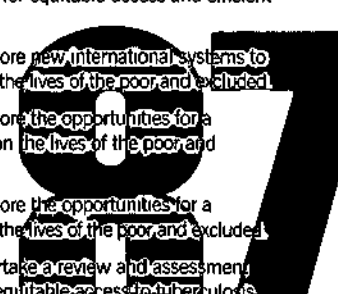
**Harvard University**, Cambridge, Massachusetts \$25,000 for support of a symposium, Community-Based Healthcare: Lessons from Bangladesh to Boston, to look at the impact of community-based models and to engage practitioners in a dialogue for developing future programs

**Health Systems Research Institute**, Nondaburi, Thailand \$500,000 toward the costs of documenting and strengthening the role of civil society in decision making about health-sector reform in Thailand

**Pan American Health Organization**, Washington, D.C. \$46,217 in support of a project to increase the responsiveness and appropriateness of public-health training programs and institutions in addressing the social and health needs of their populations

**University of Manitoba**, Winnipeg, Canada \$288,000 for continued support of a collaborative research project, with the University of Nairobi and the University of Ghent, to evaluate the impact of regular routine chemoprophylaxis to reduce the incidence of sexually transmitted diseases and HIV infection among women sex workers in Kenya

**World Health Organization**, Geneva, Switzerland \$874,600 for the second phase of activities to be conducted in collaboration with UNICEF and working groups from various countries, to design and test new measures to monitor and evaluate risk and protective factors in adolescent development in country-level programming



## Setting a More Equitable Public Agenda

### RACE POLICY AND DEMOCRACY

**Barelas Community Development Corporation**, Albuquerque, New Mexico \$15,000 to assist the Barelas Community to participate in a comprehensive citywide redevelopment plan in Albuquerque, New Mexico

**Bay Area Institute**, San Francisco, California \$100,000 to expand its New California Media into a statewide collaboration of ethnic news organizations aimed at enhancing interethnic collaboration and civic education

**Chicago Lawyers' Committee for Civil Rights Under Law**, Chicago, Illinois \$100,000 in support of its Economic Opportunity Program to increase the capacity of individuals to access and sustain high-quality employment

**Collins Center for Public Policy**, Tallahassee, Florida \$10,000 to support the Funders' Network for Smart Growth and Livable Communities conference on Smart Investing in California Communities

**Collins Center for Public Policy**, Tallahassee, Florida \$40,000 to support its project, Funders' Network for Smart Growth and Livable Communities, exploring how funders and practitioners can effectively advance an equity agenda in the emerging smart-growth movement

**Community Renewal Society**, Chicago, Illinois \$50,000 toward continued support for its publication, *The Chicago Reporter*

**International Human Rights Law Group**, Washington, D.C. \$50,000 for additional support for a preparatory consultation at Bellagio for the United Nations World Conference on Racism, scheduled for the year 2001

**Massachusetts Institute of Technology**, Cambridge, Massachusetts \$100,000 for general support for the Center for Reflective Community Practice

**University of Chicago**, Chicago, Illinois \$85,000 to support comparative research by the Black Civil Society Project of the Center for the Study of Race, Politics & Culture on civic association among different populations in the United States, especially African-American communities

**University of Memphis**, Memphis, Tennessee \$50,000 to support the Race and Nation in the Global South initiative of its Center for Research on Women, which will create knowledge about the Southern region's changing demographics

**Youth Law Center**, Washington, D.C. \$100,000 for continuing support for its juvenile justice initiative, *Building Blocks for Youth*

### POLICY ANALYSIS AND ADVOCACY

**9 to 5, Working Women Education Fund**, Milwaukee, Wisconsin \$75,000 to support a six-month organizational development and strategic planning process for the National Alliance for Fair Employment, a network of national and local groups dedicated to improving the working conditions of nonstandard workers by promoting better public policies and private business practices

**Asian Pacific American Legal Center of Southern California**, Los Angeles, California \$400,000 for continued support of its Fair Redistricting Project

**Center for Community Change**, Washington, D.C. \$450,000 to provide general support for technical assistance, policy analysis, training, workshops and convening for the 300 grassroots community groups it serves and to provide a voice for their concerns in national policy debates, particularly on housing, the Community Reinvestment Act and work-force development issues

**Center for Community Change**, Washington, D.C. \$60,000 to support the first phase of the National Campaign for Jobs and Income Support as it attempts to build support among local grassroots organizing groups to advance a national antipoverty agenda

**Center for Law and Social Policy**, Washington, D.C. \$200,000 to fund the advancement of a structure of supports for low-wage working families and unemployed adults and to improve work-force development services

**Center for Law and Social Policy**, Washington, D.C. \$15,000 to provide partial support to convene policy analysts, advocates and practitioners to review recent research findings on family formation and child welfare and to discuss their policy implications and potential use in the debates about reauthorization of federal income support for poor families and pro-family policies

**Center on Budget and Policy Priorities**, Washington, D.C. \$750,000 to continue general support for its monitoring of how federal policies and budget allocations affect low-income families and individuals

**Children's Defense Fund**, Washington, D.C. \$900,000 for general support for its work to improve the lives and livelihood of America's children

**CLF Services**, Boston, Massachusetts \$100,000 to support its development, in collaboration with the Potomac Coalition, of a business plan for democratic investing—an investment vehicle targeted at creating wealth in poor communities and focusing on democratic control of investments and citizen involvement in their deployment to serve the priorities of marginalized communities

**Community Voices Heard**, New York, New York \$150,000 toward general support for grassroots organizing and outreach among low-income residents to encourage and facilitate their participation in policy debates around welfare reform, workfare and job creation in New York City and New York state

**Conservation Law Foundation**, Boston, Massachusetts \$275,000 for general support of its Greater Boston Institute

**Economic Opportunity Institute**, Seattle, Washington \$150,000 toward general support for research, policy and advocacy to improve the economic security and well-being of low-income households in Washington state

**Economic Policy Institute**, Washington, D.C. \$450,000 to support the ongoing research, technical assistance and outreach work on living standards and to promote public policies at the national, state and local levels that can improve the economic well-being of working people

**Enterprise Foundation**, Columbia, Maryland \$1,315,000 for the third round of the National Community Development Initiative

**Fiscal Policy Institute**, New York, New York \$175,000 to support (a) sectoral analyses of New York City's economy, including the wages and benefits provided by nonprofit social-service agencies that employ many former welfare recipients, (b) monitoring of the implementation of the Workforce Investment Act, and (c) a survey and series of eight focus groups of New York public opinion on welfare and work-force development issues

**Foundation-administered project**: \$40,000 to host a program planning meeting at the Bellagio Study and Conference Center on Economic Integration and Inequality in the Global Economy to discuss a research and policy agenda for creating a fairer set of rules of economic engagement between developed and developing countries

**Foundation-administered project**: \$50,000 to support two reviews of newspaper coverage in five geographic areas, the first will examine portrayals of welfare recipients and welfare reform between 1996 and today, the second will examine press coverage of work-force development issues that affect low-skilled workers

**Human Services Alliances**, Los Angeles, California \$60,000 to provide support for a survey of the child-care needs of 600 low-income families in Los Angeles County, to generate public education materials on child-care issues, and to develop workshops to train social-service providers and low-income residents to advocate for subsidized child care that meets their needs

**Institute for Women's Policy Research**, Washington, D.C. \$80,000 to support two ongoing policy projects—one examines the education and training opportunities actually available to women attempting to move off welfare in seven states, the second project provides technical assistance and policy-analysis support to local groups promoting unemployment insurance reform

**Institute of Development Studies**, Brighton, United Kingdom \$48,100 to bring together at the Bellagio Study and Conference Center key researchers to clarify the theoretical and methodological foundations of value-chain analysis and discuss its utility as a device to promote understanding of global economic integration

**Jobs with Justice Education Fund**, Washington, D.C. \$75,000 to support a six-month organizational development and strategic planning process involving local coalitions of community, faith based, labor and student organizations committed to promoting economic justice

**Lawyers' Committee for Civil Rights Under Law**, Washington, D.C. \$150,000 toward support of its Fair Redistricting Project to enhance minority voting rights and participation

**Local Initiative Support, Training and Education Network**, Washington, D.C. \$200,000 to support the development of curriculum, staff training and model practices for the Workforce Investment Act's Youth Opportunity Centers in the District of Columbia and nationally

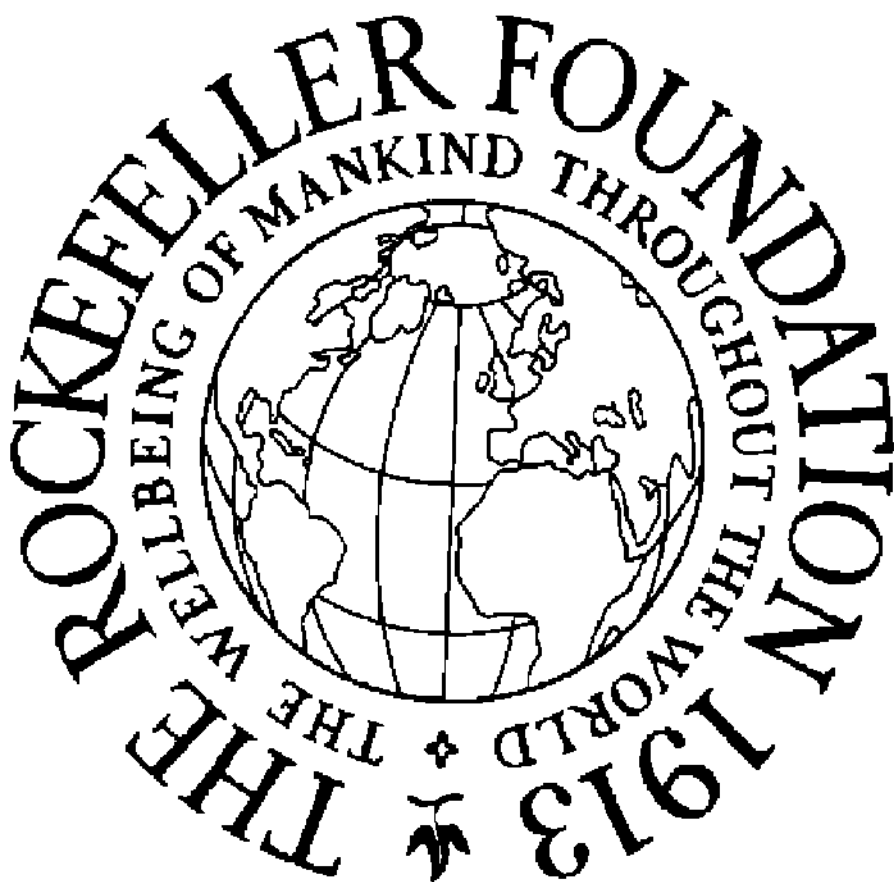
**Local Initiatives Support Corporation**, New York, New York \$935,000 for the third round of the National Community Development Initiative

**Mexican American Legal Defense and Educational Fund**, Los Angeles, California \$150,000 for its 2000–2001 Redistricting Project to ensure fair representation for Latinos and other minorities in the redistricting process that will result from the year 2000 census

**NAACP Legal Defense and Educational Fund**, New York, New York \$150,000 for continuing support for fair redistricting efforts

**National Council of La Raza**, Washington, D.C. \$450,000 to provide support for the activities of the Policy Analysis Center of NCLR which conducts research, policy analysis and outreach activities on the educational, economic and employment conditions of Latinos in the United States





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**PolicyLink**, Oakland, California: \$1,500,000 to provide general support for an emerging national community-building policy institute.

**Progressive Technology Project**, Washington, D.C.: \$35,000 to support the production, publication and dissemination of two documents that describe (a) best practices in the grassroots use of information technology, and (b) the needs and challenges community organizers face in using technology.

**Progressive Technology Project**, Washington, D.C.: \$200,000 to provide two years of general support for a national support center providing hands-on technical and strategic assistance, and training and small grants for physical infrastructure to community-organizing groups so that they can use new information tools more effectively to advance their work.

**Puerto Rican Legal Defense and Education Fund**, New York, New York: \$150,000 to support its Civic Participation and Voting Rights Project, a comprehensive and multifaceted approach to voting rights to meet the growing needs of the Latino community.

**Southern Echo, Inc.**, Jackson, Mississippi: \$175,000 for continued support of efforts to build capacity of African-American communities in Mississippi and the Southern region to participate productively in local policymaking and governance.

**State University of New York**, Albany, New York: \$58,703 for use by the Nelson A. Rockefeller Institute of Government as bridge support for a multisite study of the implementation by caseworkers of policies articulated by state and local officials for Temporary Aid to Needy Families.

**Strategic Concepts in Organizing and Policy Education**, Los Angeles, California: \$100,000 to provide core support for economic development and community organizing activities in Los Angeles.

**Wayne State University**, Detroit, Michigan: \$100,000 toward the costs of a study on the effects of major automotive manufacturers' workplace strategies on lower-skilled production workers.

**William C. Velasquez Institute**, Los Angeles, California: \$125,000 for general support of its work to improve political and economic participation of Latino communities in the United States.

### WORK AND ECONOMIC OPPORTUNITY

**AFL-CIO Center for Working Capital**, Washington, D.C.: \$175,000 to support a research program on international pension funds and to host meetings and study tours with capital stewards in other countries, starting with those in Australia, United Kingdom, Germany, France and South Africa.

**Brandeis University**, Waltham, Massachusetts: \$85,000 to support a research project examining the experiences, training and expectations of a sample of 1,000 low-skilled female workers doing entry-level health care and clerical/office work employed by major temporary-help agencies.

**Center for Community Change**, Washington, D.C.: \$100,000 to provide general support for its Coalition on Human Needs Project, which offers information sharing, public education activities and strategic coordination to its membership base of almost 500 religious, civil rights, labor and advocacy groups concerned with ensuring that the needs of poor and vulnerable groups are met.

**Center on Policy Initiatives**, San Diego, California: \$175,000 for general support of its work to promote improving standards of living for poor and moderate-income families in San Diego through research, policy development and public education.

**Corporation for the Advancement of Policy Evaluation**, Princeton, New Jersey: \$25,529 to provide planning support for a major study of the way for-profit labor-market intermediaries are being used by public work-force development agencies to place public assistance recipients in jobs in New Jersey and nationally.

**Foundation-administered project**: \$90,000 toward the costs of a meeting of researchers and activists from North America and Europe to compare flexible labor-market practices and national strategies to address nonstandard work issues.

**Institute of Development Studies**, Brighton, United Kingdom: \$62,500 to support the production and distribution of a manual outlining methods of carrying out global value-chain research and analysis that can be used by grassroots organizations like those that are part of the Self Employed Women's Association and HomeNet International to assist in projecting employment opportunities for low-skilled workers.

**National Bureau of Economic Research**, Cambridge, Massachusetts: \$100,000 to support a research project examining the impact of employee stock ownership and option plans on worker compensation, voice and inequality within and between firms.

**University of North Carolina at Chapel Hill**, Chapel Hill, North Carolina \$100,000 to support a research study investigating the impact of for-profit temporary agencies on the paths to work and on the earnings and employment tenure of low skilled workers and public assistance recipients in Missouri and North Carolina in the 1990s

**University of Texas at Austin**, Austin, Texas \$65,000 to support a research project examining the markets and industries that professional employer organizations and temporary agencies are serving in the U.S. economy

**University of Wisconsin**, Madison Wisconsin \$60,000 to support a research project examining changes in the organization of work in the hospitality industry and the way collective bargaining institutions may assist or impede effective firm and worker adaptation to an increasingly competitive environment

#### COMMUNITY AND NEW URBAN PARADIGM

**Harvard University**, Cambridge, Massachusetts \$99,256 to support a theoretical and case study review of community building and its contribution to reducing social and economic disparities

**International Labour Organisation**, Geneva, Switzerland \$75,000 to support a workshop on migrant-worker remittances and micro finance initiatives to enhance investment in, and improve the lives of family members in, migrants' home countries

**Urban Institute**, Washington, D.C. \$350,000 in support of its Neighborhood Change Database project

#### Building Competent Organizations

##### COMMUNITY BASED ORGANIZATIONS

**Aspen Institute**, Washington, D.C. \$100,000 to provide core support for continued convening of the field and research on the evaluation of community change by its Roundtable on Comprehensive Community Initiatives

**Chapin Hall Center for Children**, Chicago, Illinois \$10,000 to support the completion of a publication on power and race

**Community Partners**, Los Angeles California \$5,000 to establish the Lucy Esquivel Memorial Fund in recognition of her life and contributions toward the William Mead Housing Development, by providing support to others in their efforts to attain similar leadership capabilities

**Community Resource Exchange**, New York New York \$50,000 to support a scan of the field of partnerships between institutions of higher education and communities nationwide in order to determine the partnerships' applicability in New York City

**Consensus Organizing Institute**, San Diego, California \$75,000 toward the costs of its reorganization

**D.C. Agenda Support Corporation**, Washington D.C. \$40,000 to facilitate a partnership between the University of the District of Columbia and members of community-based voluntary associations that will build residents' leadership capacity

**DePaul University**, Chicago Illinois \$20,000 for use by its Egan Urban Center to assess diversity among technical assistance providers

**Hispanics in Philanthropy**, Berkeley, California \$750,000 to support the Funders Collaborative for the Strong Latino Communities initiative to build organizational capacity among, and a broader funding base for, Latino nonprofit organizations in the United States (\$375,000 from Creativity & Culture)

**Hostos Community College**, Bronx, New York \$73,122 to enhance Hostos Community College's institutional capacity to serve its surrounding South Bronx neighborhood

**National Community Building Network**, Oakland California \$350,000 to provide continued core support

**San Francisco Chamber of Commerce**, San Francisco California \$100,000 toward the costs of incubating individual-development account pre-employment training and career advancement programs of its San Francisco Works project

**San Francisco League of Urban Gardeners**, San Francisco California \$50,000 in support of its project, the Southeast Neighborhood Jobs Initiative Roundtable

**Stanford University**, Stanford California \$50,000 to support the development of an Internet based matching service that matches the needs of nonprofit organizations with graduate students and faculty at various levels of higher education

**Tulane University**, New Orleans, Louisiana \$50,000 to support the development of resident-driven community-service projects in partnerships with the New Orleans Center for the Urban Community

**University of California**, Santa Barbara, Santa Barbara California \$13,000 to provide additional support for the institutions of Higher Education and Community Partnership conference



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**University of Memphis**, Memphis, Tennessee \$50,000 to support the development of a consortium of partnerships between communities and institutions of higher education

**Uprising Community Plus**, San Francisco, California \$25,000 to provide support for the final phase of the feasibility study and application process to establish a community-controlled credit union in Bayview Hunters Point

**Urban Institute**, Washington, D.C. \$200,000 toward continued core support of its National Neighborhood Indicators Project

**Urban Strategies Council**, Oakland, California \$225,000 in support of its Community Building Support Center

**Urban Strategies Council**, Oakland, California \$225,000 toward core support

**Young Community Developers**, San Francisco, California \$75,000 to support organizational infrastructure and capacity-building activities

#### RACIAL JUSTICE ORGANIZATIONS

**Community Partners**, Los Angeles, California \$350,000 toward continued support of its initiative, Advancement Project

**Community Partners**, Los Angeles, California \$300,000 toward the Advancement Project's Project Forum on Race and Democracy, which seeks to build a network of influential actors in key social movements to explore shared interests and possible collaborations across movements

**Foundation-administered project**: \$320,000 toward administrative costs of producing and disseminating the Racial Justice Innovation report

**Native American Rights Fund**, Boulder, Colorado \$325,000 for general support of the Native American Rights Fund Human Rights Project

**Puerto Rican Legal Defense and Education Fund**, New York, New York \$600,000 to continue support for Basic Rights litigation, advocacy and technical assistance activities

#### WORK-FORCE DEVELOPMENT MODELS

**Business for Social Responsibility Education Fund**, San Francisco, California \$150,000 to assist employers in developing career-advancement strategies in the retail sector through the development of an issue brief and a how-to guide

**Center for Law and Social Policy**, Washington, D.C. \$87,500 to support technical assistance, policy analysis and practitioner exchanges aiming to improve the scale and impact of community-service jobs programs serving poor and excluded people

**Chicago Jobs Council**, Chicago, Illinois \$100,000 to support the activities of The WorkFund, its work-force capacity-building initiative for job training and employment service providers who are contracted under the Workforce Investment Act

**CitySkills**, Watertown, Massachusetts \$125,000 to support organizational development and Web-site expansion for its work in job training and placement of lower-income urban adults into Web-development careers

**Community Development Venture Capital Alliance**, New York, New York \$300,000 to support its activities that encourage traditional-venture capital funds and angel capital investors to provide equity finance and technical assistance to businesses that provide good jobs to low-income people

**Cornell University**, Ithaca, New York \$25,500 to support the dissemination of a study of the "new media" work force that will contribute to current debates about the effects of information technology, flexibility and new work organizations on skill development and work/life issues

**Cornerstone Assistance Network**, Fort Worth, Texas \$25,000 to support the design of a program that will improve the organizational effectiveness of community-based organizations providing job training and employment-related services to poor and excluded urban residents

**Council of Community Services**, Nashville, Tennessee \$50,000 to support the design of a program that will improve the organizational effectiveness of community-based organizations providing job training and employment-related services to poor and excluded urban residents

**Development Training Institute**, Baltimore, Maryland \$81,000 to support research into strategies to promote human capital development

**Foundation-administered project**: \$100,000 toward administrative costs associated with the development of a partnership between the Mills Corporation and the Rockefeller Foundation to learn how residents of poor urban communities can get training, support and access to career-track jobs in Mills malls

**Foundation-administered project**: \$35,000 toward administrative costs associated with the advancement of a partnership between the Mills Corporation and the Rockefeller Foundation to enable residents of poor urban communities to get training, supports and access to career-track jobs in Mills malls

**The ICA Group**, Brookline, Massachusetts \$150,000 to support the development of a network of staffing service companies to assist unemployed and underemployed people into quality jobs

**Initiative for a Competitive Inner City**, Boston, Massachusetts \$85,000 for the production of a corporate strategy case study on the MillsWorks Learning & Development Center, a project of the Nashville Jobs Partnership

**Initiative for a Competitive Inner City**, Boston, Massachusetts \$350,000 to support the documentation and dissemination of work-force recruiting, retention and advancement practices of fast-growing inner-city companies

**Jobs for the Future**, Boston, Massachusetts \$260,000 to support (1) a six-month planning process to develop new nonprofit and commercial work-force development enterprises for low-skilled workers, and (2) informational activities for policymakers of diverse perspectives about welfare and education-policy priorities

**Jobs for the Future**, Boston, Massachusetts \$50,000 to support communication and dissemination activities related to a national conference entitled, Low-Wage Workers in the New Economy

**Management Consulting Services**, Boston, Massachusetts \$43,000 to support the planning phase for its project to build the capacity of work-force development organizations in the Boston area

**Manpower Demonstration Research Corporation**, New York, New York \$20,000 to provide support for a California conference on the impact of welfare and work-force development policy reform

**National Center for Strategic Nonprofit Planning and Community Leadership**, Washington, D.C. \$100,000 to support the implementation of a program aimed at enhancing the skills and performance of practitioners who work with youth to secure employment under the Department of Labor's Youth Opportunity Grants initiative

**National League of Cities Institute**, Washington, D.C. \$25,000 to support its City Outreach to Working Families project that seeks to increase access of low-income working families to essential income support, health-insurance coverage and nutritional assistance

**New Hope Project**, Milwaukee, Wisconsin \$85,000 to support strategic planning to determine the next phase of its research-demonstration project to test ways to enable people to move out of poverty and into private-sector employment

**New School University**, New York, New York \$75,000 to support its Robert J. Milano Graduate School of Management and Urban Policy's Capital Markets Access Program, which provides financial technical assistance to nonprofit community and economic-development initiatives

**OpNet**, San Francisco, California \$75,000 toward general support

**Public/Private Ventures**, Philadelphia, Pennsylvania \$300,000 to support the innovation grants component of the Working Venture Initiative, which aims to improve the performance of work-force development organizations

**Public/Private Ventures**, Philadelphia, Pennsylvania \$15,900 to complete the documentation of the design and operation of Philadelphia's Transitional Work Corporation, and to distribute the report to policymakers and practitioners

**Welfare to Work Partnership**, Washington, D.C. \$150,000 to support the first-year activities of the New York City Workforce Source, its work-force development project in partnership with the New York City Employment and Training Coalition, which connects providers of job-training services and key employers in targeted industry sectors

**YouthBuild U.S.A.**, Somerville, Massachusetts \$75,000 to hire a project director to develop a system for career and business development

#### SCHOOL DISTRICT INFRASTRUCTURES

**Brown University**, Providence, Rhode Island \$400,000 to support the work of the Annenberg Institute for School Reform's National Task Force on the Future of Urban Districts to develop, advocate for and implement designs to increase the quality and effectiveness of supports needed to create communities of successful schools for all children

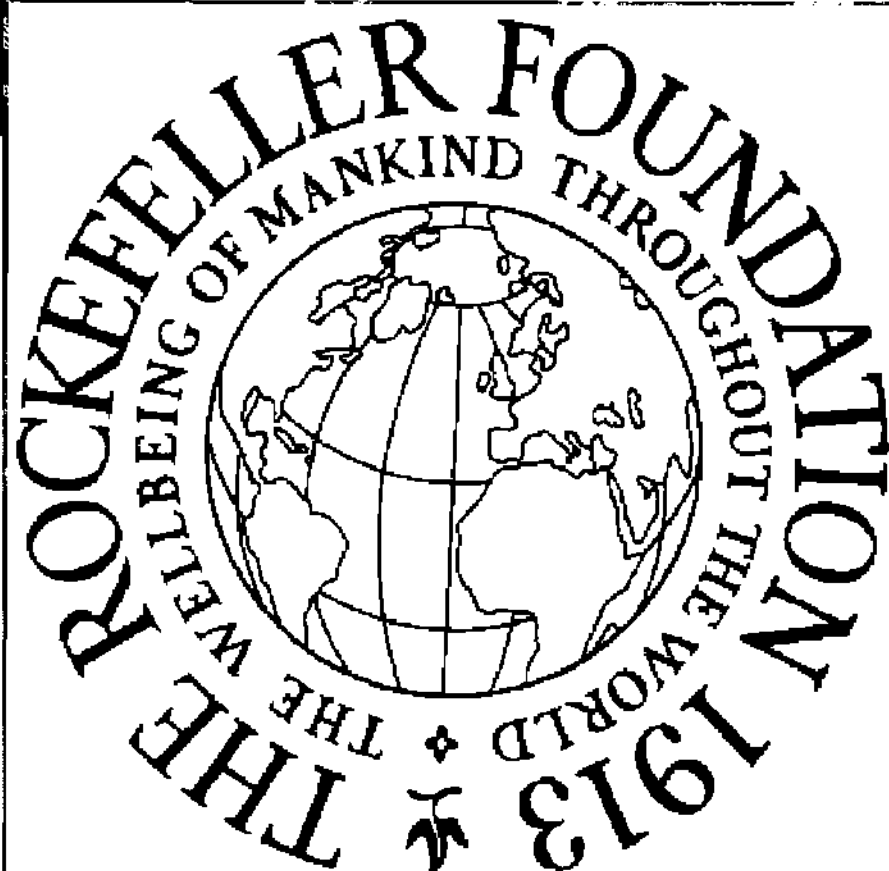
**Learning Communities Network, Inc.**, Cleveland, Ohio \$2,550,000 to help a network of urban school districts connect district-level reform policy to school practice and take the lessons of network back into the arenas of policy, research and practice

**San Antonio Independent School District**, San Antonio, Texas \$425,000 toward continued implementation of the Rockefeller Foundation initiative, Building District Infrastructures for Professional Development

#### Testing Innovations

#### RACE AND DEMOCRATIC PARTICIPATION

**Foundation for Contemporary Research**, Foreshore, South Africa \$50,000 in support of its projects, Democratizing Civil Society and Stimulating Local Economies, that will deepen understanding of the process and power of local governance, and the relationship between economic opportunity, sustained participation and responsive representation



## Photograph Excised Here

**Harvard University**, Cambridge, Massachusetts: \$100,000 for use by the John F. Kennedy School of Government's Harvard Project on American Indian Economic Development toward its awards program honoring contributions in the governance of American Indian Nations.

**Omega Boys Club of San Francisco**, San Francisco, California: \$100,000 to improve the financial stability of its anti-violence radio show for urban youth.

**University of Texas Law School Foundation**, Austin, Texas: \$100,000 to increase involvement in public education among parents who have not been actively engaged with Austin magnet middle schools in the past.

**Uplift, Inc.**, Greensboro, North Carolina: \$200,000 for a planning grant for development of the Jubilee Institute, a training institute to create the institutional capacity to lead ongoing productive community discourse around issues of race, policy and democracy in the city of Greensboro, North Carolina.

### **JOBS INITIATIVE**

**Aspen Institute**, Washington, D.C.: \$50,000 to support the ongoing work of its Domestic Strategy Group.

**California State University, Hayward**, Hayward, California: \$75,000 to create a collaborative among local communities, Alameda County, and California State University, Hayward, in order to enhance the county's implementation of employment-related policies.

**Center for Law and Social Policy**, Washington, D.C.: \$100,000 to provide technical support to local officials in California who are planning wage-paying community-service programs for Temporary Assistance for Needy Families (TANF) recipients.

**Corporation for Supportive Housing**, New York, New York: \$225,000 to strengthen the housing-based employment services delivered to tenants of supportive housing.

**East Bay Asian Local Development Corporation**, Oakland, California: \$75,000 toward its CIRCLES project, a wage-based employment program for limited-English speaking public-assistance recipients.

**Foundation-administered project**: \$71,000 to document the joint program between the Rockefeller Foundation and the California Endowment, and to support a joint trustee learning agenda.

**Foundation-administered project**: \$258,000 toward administrative costs associated with the Rockefeller Foundation Employment Initiative to increase employment for inner-city residents.

**Manpower Demonstration Research Corporation**, New York, New York: \$100,000 to support the analysis of performance-based contracting and community-service jobs as part of a three-year implementation study of the Wisconsin Works program in Milwaukee.

**Manpower Demonstration Research Corporation**, New York, New York: \$850,000 to provide operations support for the Neighborhood Jobs Initiative.

**Manpower Demonstration Research Corporation**, New York, New York: \$2,000,000 to support the evaluation of the Jobs Plus Demonstration.

**Manpower Demonstration Research Corporation**, New York, New York: \$12,526 to convene a workgroup of social scientists, practitioners and evaluators to assess and analyze a draft MDRC publication on collaboration in the Foundation's Jobs Plus demonstration sites.

**Regents of the University of California, San Francisco**: \$100,000 to support the implementation and documentation of a university-community partnership to enhance employment and economic opportunities in low-income areas of San Francisco.

**San Francisco State University**, San Francisco, California: \$98,442 to support management information-system development and program-evaluation activities for San Francisco's wage-based community-service jobs initiatives.

**Urban Institute**, Washington, D.C.: \$249,065 to build the capacity of the Neighborhood Jobs Initiatives sites to develop and apply indicators of neighborhood health and change.

### **EDUCATION INITIATIVE**

**California Tomorrow**, Oakland, California: \$178,835 to catalyze and provide leadership in setting a new agenda for public education that infuses the issues of immigrants into key school-reform and social-justice dialogues nationally and which affirms that it is possible to be bicultural and bilingual.

**Foundation-administered project**: \$465,863 for a symposium to consider the Rockefeller Foundation's investment in the School Development Program (SDP) and the lessons for philanthropic support of school-reform initiatives, and for dissemination of the findings of key SDP researchers and evaluators.

**Loughborough University**, Loughborough, Leicestershire, United Kingdom \$54,000 to examine how the British New Deal welfare-reform program can inform the impending United States debate on the reauthorization of welfare-reform legislation

**New Haven Public Schools**, New Haven, Connecticut \$75,000 to deepen and institutionalize SDP districtwide

**School District, City of Flint**, Flint, Michigan \$1,334,966 to help Flint Community Schools connect district level reform policy to school practice by planning and implementing systems that improve teaching and learning districtwide

**School District, City of Flint**, Flint, Michigan \$513,266 to evaluate the process, impact and effectiveness of the Flint Community Schools systemwide reform efforts

**Yale University**, New Haven, Connecticut \$1,838,636 for a final grant to strengthen the Yale Child Study Center's organizational capacity to deepen and sustain implementation of SDP in urban school districts and schools and share SDP lessons with practitioners and policymakers

## Explorations and Other Grants

**Center for Law and Education**, Washington, D.C. \$143,000 for development of policy-analysis tools to better understand the substance and strategies guiding education reform at the state level and among national reform organizations

**Children's Defense Fund**, Washington, D.C. \$600,000 to support its project on mobilizing women to improve the lives of poor children and families in the first decade of the 21st century

**Foundation-administered project** \$500,000 toward a series of meetings, consultancies, projects and program activities to explore the transnational character of urban communities, leading to program-strategy development that focuses on the growing dimensions of work, family life, community development and cultural expression among the poor and excluded whose livelihoods stretch across international boundaries (\$250,000 from Mexico Office, \$100,000 from Creativity & Culture)

**Foundation-administered project** \$90,000 toward the costs of a conference entitled, *Work and Health: New Relationships in a Changing Economy*, being jointly organized by the Rockefeller Foundation, The California Endowment and The California Wellness Foundation (\$45,000 from Health Equity)

**Fund for the City of New York**, New York, New York \$150,000 for use by its Center on Court Innovation to support an exchange between U.S. and U.K. policymakers and practitioners in juvenile justice to review policy reforms involving community-based justice activities

**Graduate School and University Center, City University of New York**, New York, New York \$270,000 to support the Democracy Study Project of its Howard Samuels State Management and Policy Center

**Institute for Wisconsin's Future**, Milwaukee, Wisconsin \$92,000 to enable the Milwaukee Parent Action Coordination and Training project (PACT) to develop a funding model of what is required to provide an adequate education for all Milwaukee children and train parents and community groups on its implications

**International Labour Organisation**, Geneva, Switzerland \$175,000 toward the costs of conducting several pilot surveys of socioeconomic security among the poor, a research workshop on insecurity measures and a major international conference on socioeconomic security

**National Housing Development Corporation**, Rancho Cucamonga, California \$150,000 to provide initial seed capital for its program to acquire and preserve at-risk affordable housing throughout the country and eventually transfer ownership/management to local nonprofit organizations, increasing their capacity

**Office of the United Nations High Commissioner for Human Rights**, Geneva, Switzerland \$400,000 toward the costs of a conference to be held in South Africa to increase awareness about racism and its consequences in the 21st century

**Philanthropic Collaborative**, New York, New York \$300,000 in support of its project, *Demos*, to create a high profile national discussion entitled "The Common Wealth Project," aimed at raising public consciousness about the growing problem of economic inequality and poverty in the United States

**University of Missouri-Columbia**, Columbia, Missouri \$199,809 to generate reports about knowledge from research on education, and to sponsor conferences designed to refocus public attention on the special needs of children from poor communities and on their schools

**University of Pennsylvania**, Philadelphia, Pennsylvania \$50,000 in support of the Campbell Collaboration to produce and maintain systematic reviews of studies of the effectiveness of social and education interventions

### STRATEGIC ALLIANCE

**Asian Resources, Inc.**, Sacramento, California \$180,000 to support its participation in the Sacramento regional collaborative of the California Works for Better Health project, a statewide initiative designed to improve the health and economic opportunity of residents living in California

**Catholic Charities of the Diocese of Fresno**, Fresno, California \$180,000 to support its participation in the Fresno regional collaborative of the California Works for Better Health project, a statewide initiative designed to improve the health and economic opportunity of residents living in California

**Center for Community Change**, Washington, D.C. \$180,000 to support the participation of its project, the Alameda Corridor Jobs Coalition, in the Los Angeles regional collaborative of the California Works for Better Health project, a statewide initiative designed to improve the health and economic opportunity of residents living in California

**Central California Legal Services**, Fresno, California \$180,000 to support the participation of its project, West Fresno Coalition for Economic Development, in the Fresno regional collaborative of the California Works for Better Health project, a statewide initiative designed to improve the health and economic opportunity of residents living in California

**Chinatown Service Center**, Los Angeles, California \$180,000 to support its participation in the Los Angeles regional collaborative of the California Works for Better Health project, a statewide initiative designed to improve the health and economic opportunity of residents living in California

**Community Coalition for Substance Abuse Prevention and Treatment**, Los Angeles, California \$180,000 to support its participation in the Los Angeles regional collaborative of the California Works for Better Health project, a statewide initiative designed to improve the health and economic opportunity of residents living in California

**East L.A. Community Corporation**, Los Angeles, California \$180,000 to support its participation in the Los Angeles regional collaborative of the California Works for Better Health project, a statewide initiative designed to improve the health and economic opportunity of residents living in California

**Environmental Health Coalition**, San Diego, California \$180,000 to support its participation in the San Diego regional collaborative of the California Works for Better Health project, a statewide initiative designed to improve the health and economic opportunity of residents living in California

**Fresno Center for New Americans**, Fresno, California \$180,000 to support its participation in the Fresno regional collaborative of the California Works for Better Health project, a statewide initiative designed to improve the health and economic opportunity of residents living in California

**Fresno Leadership Foundation**, Fresno, California \$180,000 to support its participation in the Fresno regional collaborative of the California Works for Better Health project, a statewide initiative designed to improve the health and economic opportunity of residents living in California

**Los Angeles Metropolitan Churches**, Los Angeles, California \$180,000 to support the participation of its project, United African American Ministerial Council, in the San Diego regional collaborative of the California Works for Better Health project, a statewide initiative designed to improve the health and economic opportunity of residents living in California

**Manpower Demonstration Research Corporation**, New York, New York \$560,000 to evaluate the implementation of California Works for Better Health, Phase I

**Metropolitan Area Advisory Committee on Anti-Poverty of San Diego County, Inc.**, National City, California \$180,000 to support its participation in the San Diego regional collaborative of the California Works for Better Health project, a statewide initiative designed to improve the health and economic opportunity of residents living in California

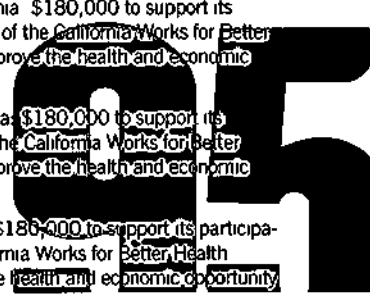
**Mutual Assistance Network of Del Paso Heights**, Sacramento, California \$180,000 to support its participation in the Sacramento regional collaborative of the California Works for Better Health project, a statewide initiative designed to improve the health and economic opportunity of residents living in California

**National Economic Development & Law Center**, Oakland, California \$225,898 to implement the first part of a multistaged technical assistance program for 16 community-building organizations in four regions of California

**Sacramento Valley Organizing Community**, Sacramento, California \$180,000 to support its participation in the Sacramento regional collaborative of the California Works for Better Health project, a statewide initiative designed to improve the health and economic opportunity of residents living in California

**San Diego Organizing Project**, San Diego, California \$180,000 to support its participation in the San Diego regional collaborative of the California Works for Better Health project, a statewide initiative designed to improve the health and economic opportunity of residents living in California

**Strategic Concepts in Organizing & Policy Education**, Los Angeles, California \$180,000 to support its participation in the Los Angeles regional collaborative of the California Works for Better Health project, a statewide initiative designed to improve the health and economic opportunity of residents living in California



## Global Public Goods

## GLOBAL DIALOGUES ON BIOTECHNOLOGY -

## A SHARED PROGRAM WITH FOOD SECURITY

**AfricaBio**, Irene, South Africa \$58,200 toward the costs of a workshop on food and agricultural biotechnology for decision makers in the South African Development Community region, held in Midrand, Gauteng, South Africa

**African Biotechnology Stakeholders Forum**, Nairobi, Kenya \$29,605 toward the costs of a mass media and policymakers workshop on biotechnology

**Bread for the World Institute**, Washington, D.C. \$200,000 to reframe debates about biotechnology in the context of a campaign to reduce hunger in Africa

**Center for Science in the Public Interest**, Washington, D.C. \$200,000 toward the costs of a project to broaden public debate on genetic engineering in agriculture and to encourage improved regulations in biotechnology

**Consumer Federation of America Foundation**, Washington, D.C. \$150,000 for research, analysis, commentary and public information efforts of its Food Policy Institute on agricultural biotechnology issues

**John Dillé**, Rock Hill, South Carolina \$15,685 to study the feasibility of publishing the Plant Biotechnology Quarterly, a new journal on genetically modified crops

**Food and Agriculture Organization of the United Nations**, Rome, Italy \$12,000 for use by its subregional office in southern and eastern Africa to develop information materials to be used in Africa to communicate issues related to the development and use of transgenic crop plants

**Foundation-administered project**: \$200,000 toward the costs of activities that are being developed to facilitate an international dialogue on biotechnology among groups holding divergent views on the application of biotechnology to agriculture

**Foundation-administered project**: \$441,500 for the costs of consultants for the Global Dialogues on Plant Biotechnology

**Foundation-administered project**: \$847,430 toward the costs of activities to develop an ongoing international dialogue on the application of biotechnology to agriculture among groups holding divergent views

**Global BioDiversity Institute**, Wilmington, Delaware \$30,000 toward the costs of its training program in biodiversity, biotechnology and law at the University of Botswana, Aug. 1-Sept. 7, 2000

**Harvard University**, Cambridge, Massachusetts \$500,000 for use by its Center for International Development toward the costs of its project on the role of biotechnology in the global economy, specifically the implications for developing countries

**Institute of Development Studies**, Brighton, United Kingdom \$300,000 for a research program to examine biotechnology policy processes in developing countries and to explore how different local and national contexts enable or constrain the consideration of poor farmers' perspectives

**International Center for Tropical Agriculture**, Cali, Colombia \$150,075 to develop and follow a multistep process involving biosafety assessment, nutritional testing and negotiations on intellectual-property rights for the delivery of safe and useful transgenic rice cultivars to seed producers and farmers in Costa Rica and other Latin American countries

**International Centre of Insect Physiology and Ecology**, Nairobi, Kenya \$250,000 for research to assess the risk of transgene dissemination associated with the introduction of genetically modified crops in Africa using cowpea as a model

**International Service for the Acquisition of Agri-Biotech Applications**, Ithaca, New York \$225,000 to establish a knowledge center on crop biotechnology located in Southeast Asia serving all developing countries and to facilitate a dialogue that will enable policymakers and scientists responsible for crop biotechnology in developing countries to better understand the positions and concerns of all sides in the global debates on this topic

**KCTS Television**, Seattle, Washington \$50,000 toward the costs of its documentary, Hot Potatoes, a history of potato blight and efforts to develop blight-resistant potatoes

**Panos Institute**, London, United Kingdom \$75,320 toward the cost of a pilot project in Kenya and Zambia to stimulate public awareness and debate on plant biotechnology

**Philanthropic Collaborative**, New York, New York \$20,000 for general support of its Funders Working Group on Biotechnology

**Royal Institute of International Affairs**, London, United Kingdom \$68,000 for a workshop and book publication that will analyze the evolution of the Cartagena Protocol on Biosafety in order to provide background for its interpretation and implementation

**Rural Advancement Foundation International**, Winnipeg, Canada \$317,500 for a program to advance the informal participation of rural societies of developing countries in agricultural research and development that address food security

**Rural Advancement Foundation International**, Winnipeg, Canada \$45,000 for the participation of representatives from Southern civil-society organizations in the Global Forum on Agricultural Research, an international conference on agricultural biotechnology and food security-related topics

**South Centre**, Geneva, Switzerland \$23,120 to enable experts to take part in a two-day workshop in Geneva on implementing the Biosafety Protocol

**Syracuse University**, Syracuse, New York \$200,000 toward the costs of its Gene Media Forum's project to develop an international information system for journalists and the public on food and biotechnology

**Tides Center**, San Francisco, California \$50,000 toward the costs of its project, Northwest Science and Environmental Policy Center, for its work on intellectual property and North-South issues in the development of agricultural biotechnology

**University of Costa Rica**, San Jose, Costa Rica \$150,000 to develop and follow a multistep process involving biosafety assessment, nutritional testing and negotiations on intellectual-property rights for the delivery of safe and useful transgenic rice cultivars to seed producers and farmers in Costa Rica and other Latin American countries

**University of Pennsylvania**, Philadelphia, Pennsylvania \$447,700 for use by its Center for Bioethics to establish a framework of values and principles that can command broad public consensus and guide debate about genetically modified organisms and their role in relation to food security

**World Media Foundation**, Cambridge, Massachusetts \$50,000 toward the costs of Living on Earth, National Public Radio's news journal on the environment, for coverage on genetically modified agriculture

**World Resources Institute**, Washington, D.C. \$400,000 to strengthen the capacity of developing countries to implement the Biosafety Protocol through research, workshops and the publication of a guide to the Protocol

## INTELLECTUAL PROPERTY RIGHTS

**Center for International Environmental Law**, Washington, D.C. \$45,163 for a joint project, with the South Centre, to enhance developing-country participation in World Trade Organization negotiations on intellectual property

**Essential Information**, Washington, D.C. \$98,000 toward the costs of its Consumer Project on Technology's research on transparency and accountability in the intellectual-property system

**First Nations Development Institute**, Fredericksburg, Virginia \$100,000 toward the costs of its effort to find new approaches to securing indigenous peoples' cultural, artistic and scientific heritage through trade law and financial markets.

**Foundation-administered project**: \$272,000 for a series of meetings, service arrangements and/or consultancies that will inform an ongoing exploration on intellectual-property rights

**International Institute for Sustainable Development**, Winnipeg, Manitoba, Canada \$100,000 toward the costs of reports and online coverage of meetings related to intellectual-property rights for publication in its Earth Negotiations Bulletin

**Habitat International Coalition**, Geneva, Switzerland \$51,000 for the work of its project, the International NGO Committee on Human Rights in Trade and Investment

**Panos Institute**, London, United Kingdom \$116,175 toward the costs of a project to examine issues concerning patenting and other intellectual-property rights as they affect public health in developing countries

**South Centre**, Geneva, Switzerland \$45,000 for the costs of the publication and dissemination of two monographs by Carlos Correa on intellectual-property-rights issues in developing countries

**South Centre**, Geneva, Switzerland \$82,113 for a joint project, with the Center for International Environmental Law, to enhance developing-country participation in World Trade Organization negotiations on intellectual property

**South Centre**, Geneva, Switzerland \$36,000 for the costs of the publication and dissemination of a monograph by Carlos Correa on integrating public-health concerns into patent legislation in developing countries

**University of Pennsylvania**, Philadelphia, Pennsylvania \$19,648 for use by its Center for Bioethics to explore the current state of ownership, patenting and property statutes of genetic and biological material through the establishment of an international database

## GENDER EQUITY

**Affinity Group on Population, Reproductive Health and Rights**, Takoma Park, Maryland \$100,000 for general support and toward the cost of an analysis of current funding trends in population, reproductive health and rights

**Benton Foundation**, Washington, D.C. \$100,000 toward the costs of its One World US Center in its outreach and editorial activities related to women and reproductive rights

**Earth Times Foundation**, New York, New York \$75,000 toward the cost of reporting on population, international development, the environment and other social issues

**Equilibrium and Population**, Paris, France \$248,000 for activities designed to educate European policymakers and the public about international population and reproductive-health issues

**Family Federation of Finland**, Helsinki, Finland \$172,640 to complete an educational initiative on international reproductive-health and family-planning issues for parliamentarians, government officials and the media

**International Planned Parenthood Federation**, London, United Kingdom \$138,590 to continue an educational initiative on international reproductive-health issues by contributing to the peer-review process of individual countries' overseas development-assistance efforts that is undertaken by the OECD's Development Assistance Committee

**International Women's Health Coalition**, New York, New York \$500,000 for general support

**Project Hope International**, Arnold, Maryland \$19,640 for the costs of research to inform the development of a safe house in northeastern Thailand for the victims of child prostitution and exploitation

**Swedish Association for Sex Education**, Stockholm, Sweden \$75,000 to complete an educational initiative concerning the Cairo Programme of Action, particularly adolescent reproductive health and rights, for Swedish opinion leaders, government officials and the public

## PEACE AND SECURITY

**American Academy of Arts and Sciences**, Cambridge, Massachusetts \$50,000 toward the costs of its Pugwash Study Group's project on intervention, sovereignty and international security

**Asia Society**, New York, New York \$37,365 toward the costs of its study on conflict resolution in Asia

**Asia Society**, New York, New York \$5,000 toward the costs of women's participation at a symposium to explore opportunities for building peace and civil society in Afghanistan

**Center for Economic and Social Rights**, Brooklyn, New York \$60,000 for research on the conditions of the Kurdish minority in Iran to help understand how Islamic thought shapes the treatment of minorities

**Economic Affairs Bureau**, Cambridge, Massachusetts \$10,000 toward the cost of a series of articles for its magazine, *Dollars and Sense*, on the connections between the expansion of corporate dominance throughout the world and the increased lethality of conflicts among and within smaller nations

**Foundation-administered project** \$105,000 for a series of meetings and consultancies leading to a Bellagio conference to develop international mechanisms to strengthen minority rights globally with a focus on the United Nations system

**Foundation-administered project** \$120,000 to examine if globalization makes non-state armed groups vulnerable to international pressure to improve respect for human rights

**Harvard University**, Cambridge, Massachusetts \$200,000 toward the costs of the Common Security Forum, a joint project with King's College, University of Cambridge, to encourage new ways of thinking about global security as well as the active development of new policies for global political cooperation and the renewal of international political institutions

**Human Rights Watch**, New York, New York \$20,000 toward the costs of a research paper on its role in addressing the problem of human-rights violations committed by armed, nongovernmental groups, particularly in Asia and Africa

**International Council on Human Rights Policy**, Versoix, Switzerland \$40,000 toward the costs of a meeting of national and international human rights organizations to discuss the dilemmas that arise when human-rights abuses are addressed through military intervention

**International Council on Human Rights Policy**, Versoix, Switzerland \$24,500 toward the costs of a report on the impact and effectiveness of human-rights organizations on armed groups to respect human rights

**International Institute for Strategic Studies**, London, United Kingdom \$20,000 toward the costs of the publication and dissemination of a monograph that examines the potential for peace conditionality

**International Institute for Strategic Studies**, London, United Kingdom \$15,000 toward the costs of its project to examine the effects of international administration of war-torn societies

**International Peace Academy**, New York, New York \$200,000 towards the costs of its project on how economic issues in civil wars affect the transition from war to peace

**King's College**, University of Cambridge, Cambridge, United Kingdom \$500,000 toward the costs of the Common Security Forum, a joint project with Harvard University's Center for Population and Development Studies to encourage new ways of thinking about global security

**National Committee on American Foreign Policy**, New York, New York \$135,000 toward the costs of a CD-ROM project on humanitarian mine clearance

**Nautilus of America**, Berkeley, California \$500,000 for a fellowship program on information technology and security for young policymakers

**New School University**, New York, New York \$50,000 for use by its World Policy Institute toward the costs of producing several articles for its *World Policy Journal*

**New York University**, New York, New York \$225,000 for use by its Center on International Cooperation toward the costs of a research project to analyze regional conflict formations in South Central Asia and the Great Lakes region of Africa

**Project Underground**, Berkeley, California \$20,000 toward the costs of developing a Web-based database on conflicts around the world that are based on mining and oil resources and that affect minorities

**Bryan Rich**, New York, New York \$25,000 for completion of a documentary, *Breaking the Codes*, on ethnic conflict in Burundi

**Social Science Research Council**, New York, New York \$100,000 to complete a book-length case study of the effort to ban land mines that led to the Ottawa treaty

**Social Scientists' Association**, Colombo, Sri Lanka \$25,000 toward the costs of a project to examine the political economy of armed conflict and its contribution to ethnic polarization in Sri Lanka

**United Nations Development Programme**, New York, New York \$10,000 toward the costs of a workshop to inform, elicit and support civil efforts to resolve conflict by mapping future scenarios

## Globalization and Livelihoods

### LIVELIHOODS AND ECONOMIC INTEGRATION

**American Prospect**, Boston, Massachusetts \$200,000 toward the costs of its project on the governance of global commerce and how increasing globalization can benefit rather than penalize the poorest and most marginalized peoples of the world, for publication in its magazine, *The American Prospect*

**Stephanie Black**, New York, New York \$15,000 to complete a documentary film on the impact of policies and trends related to global economic integration in Jamaica

**Center for Economic and Policy Research**, Washington, D.C. \$100,000 for its research and education project on policy issues related to economic integration and intellectual-property rights

**Center for International Environmental Law**, Washington, D.C. \$71,900 to strengthen efforts by civil society, international organizations and developing-country governments to promote constructive reform of the World Trade Organization and related international and regional trade and investment institutions

**Circle Foundation**, Bethesda, Maryland \$50,000 toward the costs of its Vox Populi project, designed to give the American public a greater voice in the public policy process, through the interpretation of policy data, a television series and a Web site

**Development Group for Alternative Policies**, Washington, D.C. \$150,000 toward the costs of its Structural Adjustment Participatory Review Initiative—a global civil-society network

**Development Group for Alternative Policies**, Washington, D.C. \$70,000 toward the costs of its Alliance for Responsible Trade's program to promote equitable and sustainable trade, economic integration and development in the Americas

**Financial Markets Center**, Philomont, Virginia \$80,000 for an international conference to examine how to improve transparency and accountability in central banking policies and practices

**Foundation-administered project** \$64,729 for a series of meetings, service arrangements and consultancies to inform an ongoing exploration on promoting equitable economic integration

**George Meany Center for Labor Studies**, Silver Spring, Maryland \$30,000 toward the costs of participation of representatives from developing countries in a meeting of union and worker educators to discuss popular education in a more global economy

**Global Labour Institute**, Geneva, Switzerland \$31,000 toward the costs of planning an international conference of informal sector workers, aimed at increasing the participation of these workers in negotiations on international labor standards



**GLOBE U.S.A.**, Washington, D.C. \$40,000 toward the costs of its project on globalization and the role of legislators in this process

**Harvard University**, Cambridge, Massachusetts \$100,000 toward the costs of a research and fellowship program for developing-country policymakers designed to make openness work for developing countries by establishing national models appropriate to the diversity of their institutional structures

**Institute for Agriculture and Trade Policy**, Minneapolis, Minnesota \$46,400 toward the costs of its conference on building a movement for global democracy, held at the Bellagio Study and Conference Center, May 2000

**International Center for Not-for-Profit Law**, Washington, D.C. \$25,000 toward the costs of the component for sub-Saharan Africa of a database project designed to provide useful resources to advance the development of better laws affecting civil-society organizations in countries around the world

**International Centre for Trade and Sustainable Development**, Geneva, Switzerland \$50,000 toward the costs of production and development of two of its BRIDGES publications—a monthly review and a weekly trade-news digest

**International Institute for Sustainable Development**, Winnipeg, Manitoba, Canada \$400,000 for a project to build long-term capacity among developing-country institutions to address trade and sustainable-development issues

**International South Group Network**, Quezon City, Philippines \$206,000 to support the projects of its Southern and Eastern Africa Trade Information and Negotiations Initiative to strengthen the ability of African officials to negotiate on trade and development issues, and to develop research and teaching capacity in trade policy in African institutions

**La Trobe University**, Victoria, Australia \$15,000 toward the costs of an abbreviated version of its study on global governance reform

**Montreal International Forum**, Montreal, Quebec, Canada \$70,000 toward the cost of two projects: its Forum 2000 on Human Security and a new global governance initiative.

**National Center for Economic and Security Alternatives**, Washington, D.C. \$50,000 toward the costs of a project on developing comprehensive social and economic policy strategies for the United States in the era of globalization

**Park Ridge Center**, Chicago, Illinois \$104,960 to conduct a three-part inquiry in order to develop a framework of ethics from which to evaluate globalization

**Public Interest Projects**, New York, New York \$38,500 toward the costs of a research project on tobacco control and trade issues

**Queens' College, University of Cambridge**, Cambridge, United Kingdom \$100,000 toward the costs of developing-country participants in two educational seminars on changing international financial regulations

**Rockefeller Family Fund**, New York, New York \$22,150 for use by its Environmental Grantmakers Association toward the costs of the Funders' Network on Trade and Globalization, an initiative designed to raise awareness and facilitate dialogue within the foundation community about the relevance of international trade and globalization issues

**Stanford University**, Stanford, California \$150,000 for use by its Institute for International Studies toward the costs of its Initiative for Policy Dialogue to foster transparent and participatory decision-making processes at both the national and global levels.

**StreetNet Association**, Durban, South Africa \$80,000 for research on (1) economic conditions of women street vendors in Zimbabwe, Uganda, Ivory Coast and Ghana, and (2) a set of public dialogues to promote policies and practices that will improve their well-being

**Synergos Institute**, New York, New York \$450,000 for general support (\$150,000 from Global Philanthropy)

**Tides Center**, San Francisco, California \$98,100 for use by its project, New Economy Communications, toward its project to develop a strategy for nongovernmental organizations to assist the poor and excluded in developing countries to obtain labor rights in the global economy

**United Nations Association of the United States of America**, New York, New York \$50,000 for the planning phase of its project on global health and the United Nations system.

**United Nations Development Programme**, New York, New York \$150,000 toward the cost of an alternative trade report on renewing the global governance of trade

**United Nations Development Programme**, New York, New York \$40,000 toward the costs of an initiative designed to provide impetus to a broad debate on the question of fairness and inclusion in the decision-making structures of global negotiations and institutions

**University of Massachusetts at Amherst**, Amherst, Massachusetts \$75,000 for use by its Political Economy Research Institute for a research project on how to restructure complementary domestic and international financial rules and institutions in order to promote more stable, egalitarian growth in a period of global economic integration

#### ENVIRONMENT AND LIVELIHOODS

**Bellagio Forum for Sustainable Development**, Osnabruck, Germany \$60,000 for general support

**Centre for Science and Environment**, New Delhi, India \$20,000 toward the costs of a study on the practice of community-based water management on degraded lands.

**Chiang Mai University**, Chiang Mai, Thailand \$15,000 toward the costs of an international symposium on the governance of natural resources in the Mekong region

**Cropper Foundation**, Trinidad and Tobago \$60,000 toward the costs of an international conference to investigate public policies in environment to promote greater equity

**Foundation-administered project**: \$20,000 to explore the feasibility of a cross-thematic program on water with the Food Security and Health Equity themes

**Green World Foundation**, Bangkok, Thailand \$19,360 toward the costs of a workshop on community river care in the Mekong region

**International Centre for Research in Agroforestry**, Nairobi, Kenya \$175,000 to support the development of science-based tools to advance local participatory watershed management in Thailand (\$40,000 from Food Security)

**Hanoi Agricultural University**, Hanoi, Vietnam \$10,000 toward the costs of a national workshop on the impacts of decentralization policies on water and natural resource management in the Vietnamese uplands.

**Harvard University**, Cambridge, Massachusetts \$50,000 toward the costs of a survey on water use, sanitation, energy use and demographic transitions in two rural villages in North Bihar, India

**LEAD International**, New York, New York \$15,000,000 for general support

**Nepal Water Conservation Foundation**, Kathmandu, Nepal \$35,000 toward the costs of a workshop and strategy meeting on water issues related to human rights and governance, held in Kathmandu, Nepal, October 2000

**Pacific Institute for Studies in Development, Environment, and Security**, Oakland, California \$10,000 toward the costs of the purchase and distribution of "The World's Water," a book on global and regional freshwater problems and solutions, to university libraries and nongovernmental organizations in sub-Saharan Africa and South and Southeast Asia

**Pacific Institute for Studies in Development, Environment and Security**, Oakland, California \$61,780 for research to assess the connections between water resources and issues of economic globalization and privatization

**Tay Nguyen University**, Daklak, Vietnam \$7,500 toward the costs of a workshop on participatory water resources management in Vietnam's central highlands

**United Nations Development Programme**, New York, New York \$80,000 toward the costs of a technical adviser for its Energy and Atmosphere Programme

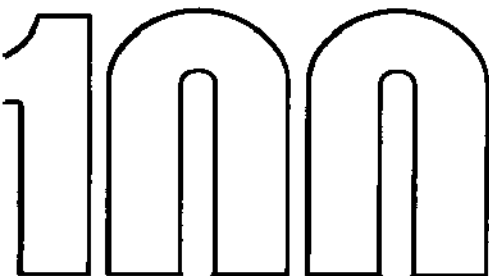
**University of Massachusetts, Lowell**, Lowell, Massachusetts \$25,500 for use by its Lowell Center for Sustainable Production toward the costs of a training program in clean production for international environmental and community activists

**Water Policy International**, Surrey, United Kingdom \$26,000 toward the costs of African participation in a workshop on the establishment of an international civil-society discourse on development in the Nile Basin

**World Business Council for Sustainable Development**, Conches-Geneva, Switzerland \$150,000 to examine and build support for the ways in which the mining industry can contribute to a global transition for sustainable development

**World Resources Institute**, Washington, D.C. \$87,000 toward the costs of initiating regional pilot assessments in Southeast Asia and southern Africa of the Millennium Ecosystem Assessment

**World Resources Institute**, Washington, D.C. \$105,000 for research on water-management issues in the countries of the Mekong watershed





## REGIONAL PROGRAMS

### Africa Regional Office

#### HUMAN CAPACITY BUILDING

**African Economic Research Consortium**, Nairobi, Kenya \$166,543 to support the development of an Africa-based collaborative Ph.D. program in order to further strengthen teaching and research capacity on the continent

**Association of African Universities**, Accra-North, Ghana \$25,570 toward the cost of conducting a mapping of current variants in the provisions of university education in Africa

**Boston College**, Chestnut Hill, Massachusetts \$20,000 for use by its Center for International Higher Education to support a study on the academic profession and the changing conditions in global higher-education systems

**Foundation-administered project**: \$100,000 toward the costs of a series of workshops to promote a dialogue between Makerere University and the Government of Uganda to identify ways to increase the outreach of the University in response to governmental decentralization in Uganda

**Foundation-administered project**: \$297,500 toward the costs of facilitating a dialogue between Makerere University and the Government of Uganda to identify ways of their working together to meet the training needs related to the decentralization of the university system in Uganda

**Foundation-administered project**: \$450,000 toward the costs of a series of workshops, seminars, analytical case studies and consultancies to help strengthen selected African universities as institutions and to build their capacity to contribute more effectively to national development

**Makerere University**, Kampala, Uganda \$38,720 to enable its Committees of Seven and Fourteen to continue planning activities involving new work on capacity building for decentralization in Uganda

**Makerere University**, Kampala, Uganda \$198,620 toward the costs of its project on capacity building to meet the demand for human resources at the district level as a result of decentralization in Uganda

**Makerere University**, Kampala, Uganda \$2,000,000 to support its revitalization as an institution that can nourish Uganda's social, political and economic transformation in the 21st century, and address the human capacity and research needs of decentralization

**Makerere University**, Kampala, Uganda \$22,000 toward the costs of its strategic-planning exercise to meet the demand for human resources at the district level as a result of decentralization in Uganda

**Salzburg Seminar**, Middlebury, Vermont \$50,000 to support a workshop to explore global trends affecting the future of higher education in emerging economies

**Social Science Research Council**, New York, New York \$99,872 to strengthen research by African scholars and others on higher-education institutions and systems in Africa

**United Nations Educational, Scientific and Cultural Organization**, Paris, France \$56,000 for use by its International Institute for Educational Planning for the Association for the Development of Education in Africa's Working Group on Higher Education to conduct a study of higher-education innovations in African universities

**Women's Law and Public Policy Fellowship Program**, Washington, D.C. \$25,000 toward the cost of a Leadership and Advocacy for Women in Africa Higher Education Fellowship to recruit and train one female lawyer from Tanzania who will focus on improving equal access to higher education

**Yale University**, New Haven, Connecticut \$18,294 for a planning meeting to convene Yale faculty and outside advisers from academia, organizations and foundations to establish an agenda for an upcoming international higher-education symposium

#### AFRICAN CAREER AWARDS

**Aggrey J. D. Ambali**, Malawi \$32,000 to enable him to conduct postdoctoral research at the University of Malawi on the effectiveness of protective reserves for the recovery of commercially important small cichlid species in Lake Malombe, Malawi

**Foundation-administered project**: \$400,000 for administrative costs of the African Career Awards program

**Rachel Gesani**, Kenya \$32,000 to enable her to conduct postdoctoral research at the African Economic Research Consortium on the effect of health-care reforms on access to health services in Kenya

**Stephen Mwangi Githinji**, Kenya \$32,000 to enable him to conduct postdoctoral research at the International Centre of Insect Physiology and Ecology using linkage mapping of the main cowpea pest resistant genes

**Richard Hasler**, Zimbabwe \$32,000 to enable him to conduct postdoctoral research at the University of Botswana on community-based wildlife management of communal lands in Botswana and Zimbabwe

**Lawrence Ikamari**, Kenya \$32,000 to enable him to conduct postdoctoral research at the University of Nairobi on maternal health-care utilization in Teso District, Kenya

**Daniel Matthews Jamu**, Malawi \$31,933 to enable him to conduct postdoctoral research at the International Center for Living Aquatic Resources Management concerning nitrogen use, and efficiency and retention in integrated aquaculture/agriculture systems in Malawi

**Melekidzedeck Khayesi**, Kenya \$32,000 to enable him to conduct postdoctoral research at Kenyatta University on the socioeconomic determinants of the ability to cope with the consequences of road-traffic accidents in Kenya

**Barnabas A. Kizza**, Uganda \$32,000 to enable him to conduct postdoctoral research at Makerere University on micro-finance development and poverty alleviation in Uganda

**Jackson Kung'u**, Kenya \$32,000 to enable him to conduct postdoctoral research at the Kenya Agricultural Research Institute on the fungus that causes gray leaf spot on maize in Kenya

**Grace Bantebya Kyomuhendo**, Uganda \$29,960 to enable her to conduct postdoctoral research at Makerere University on causes of maternal mortality in Uganda

**Lily Mafela**, Botswana \$32,000 to enable her to conduct postdoctoral research at the University of Botswana on nonformal education programs and girls' reintegration into the education system in Botswana

**Ishmail Mahini**, Kenya \$31,998 to enable him to conduct postdoctoral research at Kenyatta University concerning community fuel-wood resource management in Nyando District, Kenya

**Paul Manda**, Tanzania \$31,985 to enable him to conduct postdoctoral research at the University of Dar es Salaam on the relationship between modern contraceptive use and women's empowerment in Dodoma Municipality, Tanzania

**David Mazambani**, Zimbabwe \$29,235 to enable him to conduct postdoctoral research at Edit Trust on gender, governance and the welfare of rural women, focusing on land policies and their impact on women in Ward 4, Nyanga District, Zimbabwe

**Rwenge Mburano**, Cameroon \$32,000 to enable him to conduct postdoctoral research at the University of Yaounde II on gender relations, women's status and contraceptive use in Cameroon

**Jacob Ole Miaron**, Kenya \$32,000 to enable him to conduct postdoctoral research at the University of Nairobi on validating Maasai ethnobotanical medicinal plants in Kenya

**Solomon Mombeshora**, Zimbabwe \$32,000 to enable him to conduct postdoctoral research at the University of Zimbabwe on the impact of Zimbabwe's economic reform program on women in rural Zimbabwe

**Elias Mpofo**, Zimbabwe \$32,000 to enable him to conduct postdoctoral research at the University of Zimbabwe on ways of enhancing the social acceptance of disabled students in integrated-schooling situations in Zimbabwe

**Linus Munithi**, Kenya \$32,000 to enable him to conduct postdoctoral research at the Kenya Agricultural Research Institute on the development and improvement of integrated management strategies for Irish potato bacterial wilt in Kenya

**Phlomena Wainmu Murriri**, Kenya \$32,000 to enable her to conduct postdoctoral research at Kenyatta University on gender inequalities in the planning, development and management of low-income settlements in Nairobi, Kenya

**Louis Mumera**, Kenya \$32,000 to enable him to conduct postdoctoral research at Egerton University on soil-fertility replenishment and weed control in maize-based cropping systems in western Kenya

**Charles Nzioka**, Kenya \$31,980 to enable him to conduct postdoctoral research at the University of Nairobi on the subculture, livelihoods and survival strategies of adolescent street girls in Nairobi

**Jasper Ogwal-Okeng**, Uganda \$32,000 to enable him to conduct postdoctoral research at Makerere University on the effectiveness and safety of two traditional herbal remedies for the treatment of malaria in Uganda

**Sheila Okoth**, Kenya \$32,000 to enable her to conduct postdoctoral research at the University of Nairobi on mycotoxin contamination in infants' food in Kenya

**Joseph Oryokot**, Uganda \$31,825 to enable him to conduct postdoctoral research at Makerere University on the use of animal draught technology to improve weed management in finger millet in Uganda

**Chris Shisanya**, Kenya \$31,974 to enable him to conduct postdoctoral research at Kenyatta University on the response of small-scale farmers to population pressure on land in Vihiga District, Kenya

**James Tumwine**, Uganda \$34,000 to enable him to conduct postdoctoral research at the Kawanda Agricultural Research Institute on the resistance of tomatoes to late blight disease in Uganda

**Wilberforce Tushemereirwe**, Uganda \$32,000 to enable him to conduct postdoctoral research at the Kawanda Agricultural Research Institute, Uganda, on the effect of banana leaf speckle on yield in East African highland bananas

## AFRICAN DISSERTATION INTERNSHIP AWARD

**Iowa State University**, Ames, Iowa: \$2,575 in conjunction with the African Dissertation Internship Award to Monday Ochu Mbila, to allow his supervisor at the University of Nigeria to attend his dissertation defense

**Louisiana State University**, Baton Rouge, Louisiana: \$2,750 in conjunction with the African Dissertation Internship Award for Edmond Kabagambe, to enable his supervisor at Makerere University to attend his dissertation defense

**Northwestern University**, Evanston, Illinois: \$4,450 in conjunction with the African Dissertation Internship Award for Amal Hassan Fadlalla, to enable her supervisor at the University of Khartoum to attend her dissertation defense

**Princeton University**, Princeton, New Jersey: \$4,397 in conjunction with the African Dissertation Internship Award to David Gordon, to allow his supervisor at the University of Zambia to attend his dissertation defense

**Purdue University**, West Lafayette, Indiana: \$4,421 in conjunction with the African Dissertation Internship Award to Samson Angima, to allow his supervisor at the International Centre for Research in Agroforestry to attend his dissertation defense

**Tufts University**, Medford, Massachusetts: \$2,050 in conjunction with the African Dissertation Internship Award for Timothy Afful-Koomson, to enable his supervisor at the Forestry Research Institute of Ghana to attend his dissertation defense

**University of British Columbia**, Vancouver, Canada: \$3,450 in conjunction with the African Dissertation Internship Award for Anthony Mawuli Salia, to enable his supervisor at the University of Cape Coast to attend his dissertation defense

**University of British Columbia**, Vancouver, Canada: \$3,475 in conjunction with the African Dissertation Internship Award for Joyce G. Maina, to enable her supervisor at the University of Nairobi to attend her dissertation defense

**University of Cape Town**, Rondebosch, South Africa: \$270,380 for the costs of consultative workshops of the partner universities of its University Science, Humanities and Engineering Partnership in Africa in order to develop collaborative research projects that integrate capacity development with a focus on health equity and food security

**University of Cape Town**, Rondebosch, South Africa: \$50,000 for the costs of consultations with the vice chancellors of the partner universities of the University Science, Humanities and Engineering Program in Africa on the expansion of the program to include health sciences and agriculture

**University of Illinois at Chicago**, Chicago, Illinois: \$2,439 in conjunction with the African Dissertation Internship Award to Godfrey Gitogo Maina, to enable his supervisor at Moi University to attend his dissertation defense

## FEMALE EDUCATION IN AFRICA

**Egerton University**, Njoro, Kenya: \$74,991 toward the costs of training in information technology for the pupils participating in its reading-tent project, with particular emphasis on using the Internet

**Forum for African Women Educationalists**, Ghana Chapter, Accra, Ghana: \$25,000 for general support

**Forum for African Women Educationalists**, Ghana Chapter, Accra, Ghana: \$45,000 for research on policies and interventions associated with girls' sexual maturation and menstrual practices in schools in Ghana

**Forum for African Women Educationalists**, Ghana Chapter, Accra, Ghana: \$298,760 for capacity building and technical support to improve the management of its secretariat and the effectiveness of its outreach activities

**Forum for African Women Educationalists**, Nairobi, Kenya: \$790,000 for implementation of the program on strategic-resource planning for girls' education and for development of an integrated operational framework for all activities of the FAWE-led Working Group on Female Education

**Forum for African Women Educationalists**, Nairobi, Kenya: \$750,000 for general support

**Forum for African Women Educationalists**, Uganda Chapter, Kampala, Uganda: \$25,000 for general support

**Foundation-administered project**: \$200,000 for administrative expenses of the Female Participation in Education in Africa program

**Foundation-administered project**: \$120,000 to explore the implications of African multilingualism in the development of curricula for girls

**Foundation-administered project**: \$77,200 for the costs of a consultative meeting to assess the results of exploratory work on basic learning competencies and sexual maturation

**Foundation-administered project**: \$94,464 for the costs of a service arrangement with the University of Zimbabwe to carry out case studies in Zimbabwe on basic-learning competencies and life skills of girls, especially as related to sexual maturation

**Foundation-administered project**: \$250,000 toward the costs of producing innovative newsletters for teachers and students for the Minds Across Africa School Clubs program in primary schools, and of reprinting the newsletters and the educational comics for that program in the format of charts that are more economical, durable and versatile

**Foundation-administered project**: \$97,194 for the costs of a service arrangement with Makerere University to carry out case studies in Uganda on basic learning competencies and life skills of girls, especially as related to sexual maturation

**Foundation-administered project**: \$98,240 for the costs of a service arrangement with Kenyatta University to carry out case studies in Kenya on basic learning competencies and life skills of girls, especially as related to sexual maturation

**Hanoi School of Public Health**, Hanoi, Vietnam: \$36,225 for research on policies and interventions associated with girls' sexual maturation and menstrual practices in Vietnamese schools

**Institute for Reproductive Health Training and Research**, Nairobi, Kenya: \$25,200 toward the development of educational information to prepare pre-adolescents for the process of sexual maturation

**Kenyatta University**, Nairobi, Kenya: \$16,700 for the costs of a meeting to bring together international agencies and donors based in Kenya with the Government and Kenyan universities to examine the feasibility of a project to advance girls' mathematics and science education in Kenya

**Kenyatta University**, Nairobi, Kenya: \$30,000 toward the costs of creating awareness among stakeholders about life skills and sexual maturation as they affect girls' access to, and participation in, education

**Maendeleo ya Wanawake Organization**, Nairobi, Kenya: \$315,875 toward the cost of implementing an intervention designed to reduce girls' dropout rates from primary school in five districts in Kenya that currently have poor girls' completion rates

**Makerere University**, Kampala, Uganda: \$30,000 for a project to create awareness among stakeholders about life skills and sexual maturation as they affect girls' access to, and participation in, education

**Makerere University**, Kampala, Uganda: \$58,881 toward the costs of illustrating children's stories from its project on Minds Across Africa School Clubs

**Materi Girls' Centre**, Meru, Kenya: \$25,000 toward the costs of its project to train trainers, community leaders and youth in health concepts that will promote better health for the Centre's students and the surrounding community

**Nnabagereka Development Trust Fund**, Kampala, Uganda: \$51,982 toward the costs of research to identify critical areas to address and approaches to mobilize communities and households in promoting children's welfare and economic development

**South African Broadcasting Corporation**, Auckland Park, South Africa: \$150,000 for its outreach program, Takalani Sesame, designed to provide access to quality, early learning experiences in disadvantaged communities through the effective use of radio and television programs

**University of Nairobi**, Nairobi, Kenya: \$10,917 toward the publication of a booklet on HIV/AIDS education and its distribution to primary and secondary school teachers in Kenya

**United Nations Children's Fund**, New York, New York: \$25,000 for the costs of travel to international meetings to advance the goals of its Alliance for Community Action on Female Education

**United Nations Children's Fund**, New York, New York: \$400,000 for its trust fund for the Alliance for Community Action on Female Education

**United Nations Educational, Scientific and Cultural Organization**, Paris, France: \$74,000 for use by its International Institute for Educational Planning to support the activities of the Association for the Development of Education in Africa

**University of Zimbabwe**, Harare, Zimbabwe: \$30,000 toward the costs of creating awareness among stakeholders about life skills and sexual maturation as they affect girls' access to, and participation in, education

## OTHER THEMATIC ACTIVITIES

**Africa Centre**, London, United Kingdom: \$177,472 to develop a comprehensive digital database of information on Africa and to strengthen its radio program on African affairs that promotes scholarly debates on the developmental challenges facing the African continent

**Africa University**, Mutare, Zimbabwe: \$291,000 toward the initial planning phase of its Institute of Peace, Leadership and Governance in Africa

**Association for Better Land Husbandry**, Nairobi, Kenya: \$15,000 to support a project-based poverty-assessment study linked to national poverty surveys

**Association of African Universities**, Accra-North, Ghana: \$217,677 toward the costs of a project to develop a database of African theses and dissertations

**BOOST Fellowship Foundation**, Arlington, Virginia: \$198,544 for a pilot program in Zimbabwe to develop and nurture student entrepreneurship and commitment to community poverty alleviation through partnerships among universities, the private/corporate sector and communities in southern Africa

**Development Innovations and Networks**, Harare, Zimbabwe: \$70,000 toward the costs of a summit meeting on micro-credit to benefit the poor in Africa

**Foundation-administered project**: \$100,000 toward the costs of a series of meetings to assist in the development of strategy papers for the different components of the Africa program

**Foundation-administered project-** \$100,000 toward the costs of meetings to develop a framework for a multidonor Partnership for African Renewal

**International Association of Students Interested in Economics and Business Management-Kenya,** Nairobi, Kenya \$10,000 toward the costs of its external forum on empowering youth through the African renaissance, a component of its East and Southern Africa Leadership Development Seminar, Nairobi, April 2000

**International Council on Social Welfare,** Kampala, Uganda \$10,000 for five participants from East Africa to participate in the International Conference on Social Welfare held in Cape Town, South Africa, October 2000

**International Food Policy Research Institute,** Washington, D.C. \$179,124 to support a study on poverty mapping in Malawi and Mozambique

**International Livestock Research Institute,** Nairobi, Kenya \$160,000 to support the development, in collaboration with the African Economic Research Consortium, of a spatial database on poverty for East Africa

**Legal Education Foundation,** Nairobi, Kenya \$23,440 for research on traditional mechanisms of conflict prevention, management and resolution in Africa

**Organization for Social Science Research in Eastern and Southern Africa,** Addis Ababa, Ethiopia \$20,000 toward the costs of an international conference, African Conflicts: Management, Resolution and Post-Conflict Reconstruction, being organized jointly with the Development Policy Management Forum, held in Addis Ababa, Ethiopia, December 2000

**United Nations Centre for Human Settlements,** Nairobi, Kenya \$75,000 toward the costs of implementing a global campaign on good urban governance

**University of Nairobi,** Nairobi, Kenya \$117,760 for the planning phase of a project to enhance eastern and southern Africa's capacity in biometry

#### OTHER REGIONS

**Bangladesh Rural Advancement Committee,** Dhaka, Bangladesh \$250,000 for general support

**Esquel Foundation,** Quito, Ecuador \$400,000 for general support

**Japan Center for International Exchange,** Tokyo, Japan \$300,000 toward the costs of launching a global commission on human security

## Bellagio Study and Conference Center

### GRANTS FROM THE BELLAGIO FUND

**Center for International Forestry Research,** Jakarta, Indonesia \$10,000 toward the costs of activities to enhance a conference, Capturing the Value of Forest Carbon for Sustainable Local Livelihoods, held at the Bellagio Study and Conference Center, Feb. 14-18, 2000

**Columbia University,** New York, New York \$13,460 toward the cost of activities to enhance a conference, Altruism and Imperialism: Western Religious and Cultural Missions to the Middle East, held at the Bellagio Center, Aug. 28-Sept. 1, 2000

**Columbia University,** New York, New York \$7,500 toward the cost of providing travel support for five conference participants for the conference, Altruism and Imperialism: Western Religious and Cultural Missions to the Middle East, held at the Bellagio Center, Aug. 28-Sept. 1, 2000

**Cornell University,** Ithaca, New York \$20,000 toward the cost of activities to enhance a conference, Gross Domestic Product vs. Quality of Life: Balancing Work and Family, held at the Bellagio Center, Jan. 29-Feb. 2, 2001

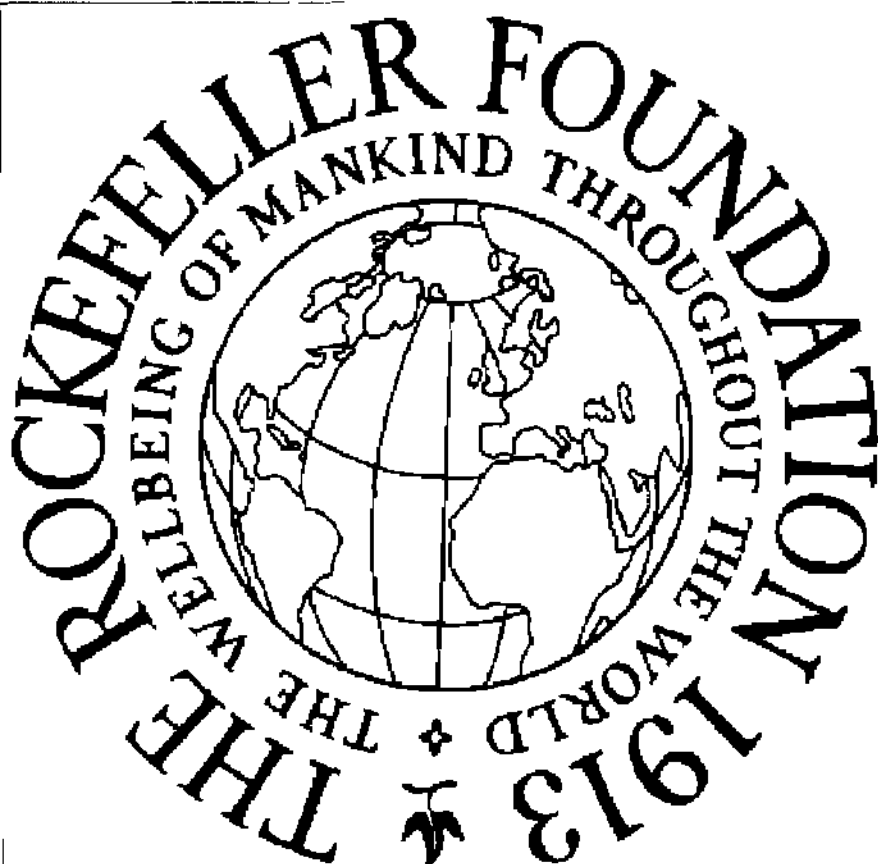
**Cornell University,** Ithaca, New York \$7,500 toward the costs of travel support for five conference participants for the conference, Gross Domestic Product vs. Quality of Life: Balancing Work and Family, held at the Bellagio Study and Conference Center, Jan. 29-Feb. 2, 2001

**Fiona Godlee,** London, United Kingdom \$7,500 toward the costs of travel support for participants from developing countries and Eastern and Central Europe to attend the conference, Strategy Meeting of the World Association of Medical Editors, held at the Bellagio Study and Conference Center, Jan. 22-26, 2001

**Foundation-administered project:** \$36,000 to provide travel assistance for Bellagio Study and Conference Center team and conference participants from developing countries in Africa, Asia and Latin America, as well as from Eastern and Central Europe, who otherwise may not be able to participate in the meeting

**Harvard University,** Cambridge, Massachusetts \$21,165 toward the cost of travel for participants from African countries to attend the conference, Priorities for Health Investments in the Context of Alternative Strategies for Poverty Reduction, held at the Bellagio Study and Conference Center, Feb. 12-16, 2001

**Institute for Advanced Study,** Princeton, New Jersey \$1,860 toward the costs of travel support for two conference participants for the conference, Feminism and the



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Shifting Boundaries of Public and Private, held at the Bellagio Study and Conference Center, Dec 4–8, 2000

**Louisiana State University**, Baton Rouge, Louisiana \$23,118 toward the costs of activities to enhance a team residency, Health Maps: A Global Network for Control of Snail-Borne Disease Using Satellite Surveillance and Geographic Information Systems, held at the Bellagio Study and Conference Center, April 4–14, 2000

**Glenn E. Schweitzer**, Arlington, Virginia \$6,600 toward the costs of activities following from a collaborative residency and a subsequent team residency on socioeconomic and security issues in Russian atomic cities, held at the Bellagio Study and Conference Center in 1996 and 1998, respectively

**University of Colorado at Boulder**, Boulder, Colorado \$4,000 toward the costs of travel support for five conference participants for the conference, Transnational Moments of Change in Postwar Europe, held at the Bellagio Study and Conference Center, Oct 2–6, 2000

**University of Westminster**, London, United Kingdom \$5,000 toward the costs of activities to enhance a conference, Democratization and the Mass Media, to be held at the Bellagio Study and Conference Center, April 9–14, 2001

**Yale University**, New Haven, Connecticut \$7,500 toward the cost of providing travel support for five conference participants for the conference, Toward a Global Environment Organization, held at the Bellagio Study and Conference Center, Sept 18–21, 2000

## CONFERENCES

**2000 Years Transmission of Mathematical Ideas: From Late Babylonian to Early Renaissance Science**—Joseph W. Dauben, Professor of History and History of Science, Graduate Center, City University of New York, and Yvonne Dold-Samplonius, University of Heidelberg, Germany (April 3 to 8)

**AIDS Exploration**—Anel Pablos-Méndez, Associate Director, Health Equity, Rockefeller Foundation (October 16 to 20)

**Altruism and Imperialism: Western Religious and Cultural Missions to the Middle East**—Reeva S. Simon, Assistant Director, and Eleanor Tejman, Research Associate, both of the Middle East Institute, Columbia University (August 28 to September 1)

**American Forms, Global Forums**—Djelal Kadir, Edwin Erle Sparks Professor of Comparative Literature, Penn State University, University Park, Pennsylvania (May 29 to June 2)

**The Changing Landscape of the Welfare State: Implications for the Future of Social Protection**—Neil Gilbert, Chernin Professor of Social Welfare, University of California, Berkeley (August 7 to 11)

**Civil Society, Democracy and Globalization**—Carolyn Deere, Warren Weaver Fellow, and Susan Sechler, Director, both of Global Initiatives, Rockefeller Foundation (May 15 to 19)

**Consensus and Mobilization/Adolescence and Future Global Agenda**—Bruce Dick, Senior Adviser, Youth Health, UNICEF, New York (February 21 to 25)

**Consultation in Preparation for the United Nations World Conference on Racism**—Gay MacDougall, Executive Director, International Human Rights Law Group, Washington, D.C., and Dayna Cunningham, Associate Director, Working Communities, Rockefeller Foundation (January 24 to 28)

**Developing Efficient and Equitable Health Sector Strategies**—Margaret Whitehead, Professor of Public Health, University of Liverpool, United Kingdom, and Tim Evans, Director, Health Equity, Rockefeller Foundation (July 3 to 7)

**Economic Inequality and the Global Economy**—Katherine McFate, Associate Director, Working Communities, Rockefeller Foundation (June 19 to 23)

**Feminism and the Shifting Boundaries of Public and Private**—Joan W. Scott, Professor of Social Science, Institute for Advanced Study, Princeton, New Jersey (December 4 to 8)

**Gentes, Kings and Kingdoms: The Emergence of States (300–800)**—Walter Pohl, Director, Forschungsstelle für Geschichte des Mittelalters, Österreichische Akademie der Wissenschaften, Vienna, Austria (December 11 to 15)

**Government Reform, Decentralization, State-Civil Society Cooperation and School Reform**—Marlyn Gittel, Professor of Political Science, and Director, Howard Samuels State Management and Policy Center, Graduate School and University Center, City University of New York, and Dayna Cunningham, Associate Director, Working Communities, Rockefeller Foundation (October 30 to November 3)

**Honey Bee Socio-neurogenetics: Building a Community to Foster New Approaches to the Study of Brain and Behavior**—Gene E. Robinson, Professor of Entomology and Neuroscience, University of Illinois, Urbana (June 26 to 30)

**Household-Centered Environmental Sanitation**—Roland Schertenleib, Director, SANDEC, EAWAG, Dübendorf, Switzerland (January 31 to February 4)

**Intellectual Property and Global Biotechnology**—John H. Barton, Professor, Stanford Law School, and Joseph Straus, Max Planck Institute for Foreign and International Patent, Copyright and Competition Law, Munich, Germany (March 27 to 31)

**International Markets for Carbon Sequestration From Tropical Forests: Principles and Guidelines to Ensure Beneficial Local Development and Environmental Impacts**—Sara J. Scherr, Visiting Fellow, Agricultural and Resource Economics Department, University of Maryland, College Park (February 14 to 18)

**Leaving No Child Behind in the Third Millennium**—Marian Wright Edelman, President, Children's Defense Fund, Washington, D.C. (April 25 to 29)

**Planning Meeting for Improving Research and Knowledge on Social Development in International Organizations**—Thandika Mkandawire, Director, United Nations Research Institute for Social Development, Geneva, Switzerland (November 6 to 10)

**Progressive Government: Coming to Terms With the Global Knowledge Society**—Matthew Taylor, Director, Institute for Public Policy Research, London, United Kingdom (March 17 to 20)

**Promoting Socioeconomic Security**—Guy Standing, International Labour Organization, and Katherine McFate, Associate Director, Working Communities, Rockefeller Foundation

**Realities and New Approaches: Development Communication in the 21st Century**—Denise Gray-Felder, Vice President for Administration and Communications, Rockefeller Foundation (October 9 to 14)

**Reflections on Global Institutions for Environment and Development**—Julia M. Lefevre, Executive Director, LEAD International, New York (July 10 to 14)

**Strategic Planning Meeting of the Caribbean Publishers Network**—Ian Lucien Randle, Chairman, Caribbean Publishers Network, Kingston, Jamaica (November 13 to 17)

**Toward a Global Environmental Organization**—Daniel C. Esty, Director, Yale Center for Environmental Law and Policy, New Haven, Connecticut (September 18 to 22)

**Toward a Global History of Contemporary Women's Movements**—Linda Gordon, Professor of History, New York University (June 5 to 9)

**Transnational Moments of Change in Postwar Europe**—Padraic J. Kenney, Associate Professor of History, University of Colorado, Boulder, and Gerd-Rainer Horn, Western Oregon University, Monmouth (October 2 to 6)

**Value Chain Research**—Gary Gereffi, Professor of Sociology, Duke University, and Katherine McFate, Associate Director, Working Communities Program, Rockefeller Foundation (September 25 to 29)

## TEAMS

**Charting a Global Course for Medical Abortion: New Drugs, Best Practices and Appropriate Policies**—Beverly Winikoff, M.D., Director, Reproductive Health, Population Council, New York (June 12 to 16)

**Europe and the United States: Comparative Ethnic Literatures, Cultures and Politics**—Alfred Hornung, Professor of English and American Studies, University of Mainz, Germany (February 9 to 15)

**Health Maps: Disease Control Using Satellite Surveillance**—John Malone, Professor of Microbiology and Parasitology, Louisiana State University, Baton Rouge (April 10 to 14)

**Operationalizing Cairo and Beijing: A Training Initiative in Gender and Reproductive Health**—Jane Cottingham, Technical Officer, Women's Perspectives and Gender Issues, Department of Reproductive Health and Research, World Health Organization, Geneva, Switzerland (May 1 to 5)

**Population and Reproductive Health: Planning for the Future for the Rockefeller Foundation**—Lincoln Chen, Executive Vice President for Strategy, Rockefeller Foundation (April 3 to 7)

**Resourcing Public Health**—Sarah B. Macfarlane, Associate Director, Health Equity, Rockefeller Foundation (May 1 to 5)

**Sound of Falling Light**—Chris Millado, Playwright and Director, Quezon City, Philippines (November 24 to December 15)

## RESIDENTS

**Dr. Elizabeth Abel (United States)**, Associate Professor of English, University of California, Berkeley—a manuscript, "Signs of the Times: The Visual Politics of Jim Crow."

**Dr. Jose Antonio Aguilar Rivera (Mexico)**, Professor of Political Science, Centro de Investigación y Docencia Económicas, Mexico City—a manuscript, "The Sound & the Fury: Essays on the Multicultural Persuasion in Mexico and the United States."

**Dr. Aziz Al-Azmeh (United Kingdom)**, Professor, Department of Near East Languages, Columbia University, New York—a project, "Mapping the Gaze: Considerations from the History of Arab Civilization" (with Nadia Al-Bagdadi)

**Dr. Nadia Al-Bagdadi (Germany)**, Postdoctoral Research Fellow, Center for Behavioral Research, American University of Beirut, Lebanon—a project, "Mapping the Gaze: Considerations from the History of Arab Civilization" (with Aziz Al-Azmeh)

**Michael H. Alderman, M.D. (United States)**, Professor of Medicine and Epidemiology and Social Medicine, Albert Einstein College of Medicine, Bronx, New York—an assessment of SPARC (Sickness Prevention Achieved through Regional Collaboration)

**Dr. Ginasigiamony Aloysius (India)**, Visiting Fellow, Centre for Social Studies, South Gujarat University Campus, New Delhi—a study, "Nationalism as Social Democracy in India"

**Dr. Carol Becker (United States)**, Dean of Faculty and Professor of Liberal Arts, School of the Art Institute of Chicago—a new book of essays, "Surpassing the Spectacle: Global Transformations and the Changing Cultural Politics of Art"

**Ms. Jill Bedgood (United States)**, Artist, Austin, Texas—artwork, "Janus at the Threshold: The Ethics of Architecture and the Architecture of Ethics" (with Beverly Penn)

**Ms. Lorna R. Bieber (United States)**, Photographer, New York City—a photo series, "Homes and Offices"

**Dr. D. Caroline Blanchard (United States)**, Professor of Genetics, John A. Burns School of Medicine, University of Hawaii at Manoa—a manuscript, "Aggression and Defense in Mammals"

**Dr. Eckart Boege (Mexico)**, Professor of Anthropology, Instituto Nacional de Antropología e Historia, Xalapa, Mexico—a study, "Conservation of Biodiversity Through Ecodevelopment: Lessons Learnt From Peasant and Indigenous Population Around Protected Areas in Southern Mexico"

**Dr. Elena V. Boikova (Russia)**, Senior Researcher, Institute of Oriental Studies, Russian Academy of Sciences, Moscow—a study, "Russian Military Expeditions to Mongolia: A Contribution to Mongolian Studies"

**Dr. Nikolai Nikolaevich Bolkhovitinov (Russia)**, Director, Center for North American Studies, Russian Academy of Sciences, Moscow—an article, "Mikhail Karpovich, George Vernadsky, Mikhail Florinsky and the Formation of Russian Studies in the United States"

**Dr. John M. Bowers (United States)**, Professor and Chair, Department of English, University of Nevada, Las Vegas—a manuscript, "Chaucer and Langland: The Antagonistic Tradition, 1360-1550"

**Dr. Rexford G. Brown (United States)**, Executive Director, Urban Learning Community, Denver, Colorado—a manuscript, "Full Moon Over Middle School: Adventures of a Charter School Founder"

**Dr. C. Sue Carter (United States)**, Distinguished University Professor of Biology, University of Maryland, College Park—a manuscript, "Is There a Neurobiology of Love?" (with Stephen W. Porges and Kerstin Uynas-Moberg)

**Dr. Lief H. Carter (United States)**, McHugh Family Distinguished Professor, Department of Political Science, Colorado College, Colorado Springs—a manuscript, "Liberal Politics as Play"

**Ms. Elaine McEwan Carty (Canada)**, Professor of Nursing, University of British Columbia School of Nursing, Vancouver—a manuscript, "Women, Disability and the Experience of Childbirth and Parenting"

**Ms. Josely Carvalho (United States)**, Artist, New York City—a media/installation project, "The Book of Roofs"

**Dr. Dianne L. Chambless (United States)**, Distinguished Professor of Psychology, University of North Carolina, Chapel Hill—a study, "Avoidant Personality Disorder: Meaningful Diagnosis or Useless Duplication?" (with Thomas Fydrych)

**Mr. Oscar G. Chase (United States)**, Vice Dean and Professor of Law, New York University School of Law—a book-length exploration of the relationship between a society's culture and its dispute-processing institutions

**Ms. Laurel Chiten (United States)**, Independent Filmmaker, Somerville, Massachusetts—a feature-length nonfiction film, "Touched by an Alien"

**Dr. Kenton J. Clymer (United States)**, Professor of History, University of Texas, El Paso—a manuscript, "The United States and Cambodia: A History"

**Dr. Richard Crawford (United States)**, Glenn McGeoch Collegiate Professor of Music, University of Michigan, Ann Arbor—a study of the life and music of George Gershwin.

**Dr. Joseph Dan (Israel)**, Gershom Scholem Professor of Kabbalah, Department of Jewish Thought, Hebrew University, Jerusalem—a study, "The Language of the Mystics: The Problem."

**Dr. Richard Danielpour (United States)**, Composition Faculty, Manhattan School of Music, New York City—a music composition, "Margaret Garner: Opera in Two Acts," and a cello concerto, "Silk Road"

**Dr. Vrinda Datta (India)**, Reader, Unit for Child and Youth Research, Tata Institute of Social Sciences, Mumbai—a manuscript, "Day Care Provision for Young Children in India: Problems and Prospects" (with Rajani Konantambigi)

**Dr. Robert Charles Davis (United States)**, Associate Professor of History, Ohio State University, Columbus—a study, "The Italian Experience of Enslavement in the Early-Modern Era"

**Dr. Daniel Dayan (France)**, Fellow, Media-Anthropology, Centre National de la Recherche Scientifique, Paris—a study, "The New Experience in Media: The Emergence of a Culture of Saturation, Speed and Simultaneity" (with Todd Gitlin)

**Ms. Diana de Solares (Guatemala)**, Artist, Guatemala City—artwork, "The Mask is the Meaning"

**Dr. Vishakha N. Desai (United States)**, Vice President for Cultural Programs and Director of the Galleries, Asia Society, New York City—a book about the 20th-century arts in Asia (with Apinan Poshyananda)

**Dr. Kathleen M. DeWalt (United States)**, Professor of Anthropology and Public Health and Associate Dean for Graduate Studies and Research, University of Pittsburgh, Pennsylvania—a manuscript, "Becoming a 'Social': Cassava Processing, Women's Social Power and Child Welfare in Manabi, Ecuador"

**Dr. Kui Dong (United States)**, Assistant Professor of Music, Dartmouth College, Hanover, New Hampshire—a music composition, "Floating Moon," for a mixed ensemble of Chinese and classical instruments

**Dr. Ina Druviete (Latvia)**, Professor, Latvian Language Institute, University of Latvia, Riga—a study, "Minority Language Rights in Multilingual Regions"

**Dr. Steven C. Dubin (United States)**, Director, Media, Society and the Arts Program, State University of New York, Purchase—a study, "Bones of Contention: The African Burial Ground and the Politics of Memory"

**Charles J. Epstein, M.D. (United States)**, Professor of Pediatrics, University of California, San Francisco—a manuscript, "Is Genetics Becoming Dangerous to Society?"

**Dr. Myron Essex (United States)**, Lasker Professor of Health Sciences and Chair, Harvard AIDS Institute, Harvard School of Public Health, Cambridge, Massachusetts—a revised edition of a book on AIDS in Africa (with Souleymane Mboup)

**Ms. Inci Eviner (Turkey)**, Artist, Istanbul—artwork, "Envy and Tenderness"

**Dr. B. H. Fairchild (United States)**, Professor of English, California State University, San Bernardino—a collection of poems, "Rave On"

**Dr. John C. Fletcher (United States)**, Professor Emeritus of Biomedical Ethics, University of Virginia School of Medicine, Charlottesville—a manuscript, "Genetics, Ethics and Society: Global Trends" (with Dorothy C. Wertz)

**Dr. Keith Forster (Australia)**, Senior Lecturer, School of Humanities, Media and Asian Studies, Southern Cross University, Lismore—a study, "The Economic and Social Dimensions of Reform in a Chinese Province: The Case of Zhejiang"

**Dr. Lynn Freed (United States)**, Writer, Sonoma, California—a novel, "House of Women"

**Dr. Robert L. Fried (United States)**, Visiting Associate Professor of Education, Northeastern University, Boston—a manuscript, "The Passionate Learner: Engaging All of Our Children in the Power of Discovery"

**Dr. Thomas Fydrych (Germany)**, Privatdocent, Institute of Psychology, University of Heidelberg—a study, "Avoidant Personality Disorder: Meaningful Diagnosis or Useless Duplication?" (with Dianne L. Chambless)

**Dr. Joseph Gal (United States)**, Professor of Medicine, Pharmacology and Pathology, University of Colorado School of Medicine, Denver—a study, "Problems of Nomenclature and Terminology in Stereochemistry: The Use and Misuse of the Term 'Chiral'."

**Dr. Allon Gal (Israel)**, Professor of History, Ben-Gurion University of the Negev—two studies, "West of Zion: A Comparative Study of American and European Zionism" and "Modern Jewish Nationalism: The Geo-Political Dimensions"

**Ms. Sally Gall (United States)**, Artist, New York City—artwork about humanity's relationship to the natural world

**Mr. George Garrett (United States)**, Writer, Charlottesville, Virginia—a novel on Americans in Italy, then and now

**Dr. Todd Gitlin (United States)**, Professor of Culture, Journalism and Sociology, New York University—a study, "The New Experience of Media: The Emergence of a Culture of Saturation, Speed and Simultaneity" (with Daniel Dayan)

**Dr. Howard F. Gospel (United Kingdom)**, Professor of Business History, King's College London—a manuscript, "Nations, Firms and the Management of Labour, 1890-1965"

**Mr. John Haines (United States)**, Writer, Helena, Montana—a collection of poems, essays and letters, "A John Haines Reader"

**Dr. Gary G. Hamilton (United States)**, Professor of Sociology, Jackson School of International Studies, University of Washington, Seattle—a manuscript, "Taiwan's Integration in the Global Economy: The Economic Sociology of Capitalism in Asia" (with Cheng-Shu Kao)

**Ms. Ronne Hartfield (United States)**, Consultant in Museum Education and Planning, Chicago—a biography of her mother, "Down River, Down Home"

**Dr. Ehud Havazelet (United States)**, Associate Professor of English, Oregon State University, Corvallis—a new novel

**Dr. Keith Owen Hawkins (United Kingdom)**, University Reader in Law and Society, Fellow and Tutor, Oriel College, Oxford University—a comprehensive analysis of legal decision making (with Peter K. Manning)

**J. Allan Hobson, M.D. (United States)**, Professor of Psychiatry, Harvard University, Cambridge, Massachusetts—a manuscript, "Sleeping Beauty: The Art and Science of Dreaming" (with Hellmut Wohl)

**Dr. Martin Holland (New Zealand)**, Professor of Political Science, University of Canterbury, Christchurch—a study, "Good Governance and Development in the Pacific"

**Ms. Sharon K. Hom (United States)**, Professor of Law, City University of New York Law School, Flushing, New York—a study, "Re-forming Civil Rights in Uncivil Times" (with Eric K. Yamamoto)

**Mr. David Ivie (United States)**, Artist, New York City—artwork, "Paintings as Influenced by Northern Italian Geography and Art History"

**Dr. William J. Jackson (United States)**, Associate Professor of Religious Studies, Indiana University/Purdue University, Indianapolis—a manuscript, "Fractal Patterns in the Humanities"

**Dr. Daniel Javitch (United States)**, Professor of Comparative Literature, New York University—a study, "Sixteenth-Century Italian Genre Theory and Doctrines of 'Imitatio'"

**Dr. Thambapillai Jogaratnam (Sri Lanka)**, Professor of Agricultural Economics, University of Peradeniya—a textbook, "Economic Growth, Distribution and the Environment: Sustainable Development in Sri Lanka"

**Dr. Cheng-shu Kao (Taiwan)**, Professor of Sociology, Institute of East Asian Societies and Economics, Tunghai University—a manuscript, "Taiwan's Integration in the Global Economy: The Economic Sociology of Capitalism in Asia" (with Gary G. Hamilton)

**Dr. Julian E. Keil (United States)**, Professor of Epidemiology, Eminent, Medical University of South Carolina, Charleston—a study, "Health Status and Aging Characteristics of Blacks and Whites in a Southeastern Community: Forty Years of Observation" (with Susan E. Sutherland)

**Dr. Philippa Kelly (Australia)**, Senior Lecturer, Department of English, University College, Australian Defence Force Academy, Canberra—a study, "Autobiographical Practice in Early Modern England"

**Dr. David I. Kertzer (United States)**, Dupee University Professor of Social Science, Brown University, Providence, Rhode Island—a manuscript, "The Roman Catholic Church and the Jews in the 19th Century"

**Dr. Rajani Konantambigi (India)**, Associate Professor, Unit for Child and Youth Research, Tata Institute of Social Sciences, Mumbai—a manuscript, "Day-Care Provision for Young Children in India: Problems and Prospects" (with Vrinda Datta)

**Dr. Will Kymlicka (Canada)**, Professor of Philosophy, Queen's University, Kingston, Ontario—a study, "Minority Rights in Western Democracies and Eastern Europe"

**Dr. William Montgomerie Lamont (United Kingdom)**, Research Professor of History, University of Sussex, Lewes, East Sussex—a study, "The Muggletonian History 1652-1979"

**Ms. Denise Lancot (United States)**, Librettist, New York City—a three-act opera, "Pope Joan: An Operatic Heresy" (with John Musto)

**Ms. Ana Lara (Mexico)**, Composer, Mexico City—two music compositions, one for the Mexican percussion ensemble Tambuco and one for the French flute trio D'Argent

**Dr. Richard Ned Lebow (United States)**, Professor of Political Science, History and Psychology, and Director, Mereson Center, Ohio State University, Columbus—a manuscript, "Unmaking the West: Counterfactual Histories of Alternate Worlds"

**Dr. Ann Lieberman (United States)**, Visiting Professor, Department of Education, Stanford University, California—a study, "The Writing Project Connection: From Networks to Classrooms"

**Dr. Yu-sheng Lin (United States)**, Professor of History, University of Wisconsin, Madison—a manuscript, "Chinese Ideas of Political Order from the Neolithic Age to 221 B.C.E."

**Ms. Helen MacAlister (United Kingdom)**, Artist, Edinburgh, Scotland—a series of drawings and paintings concerned with language, communication and etymology

**Ms. Rachel Manley (Canada)**, Writer, Toronto, Ontario—a memoir of life with her late father, Michael Manley, "A Range of Peaks"

**Dr. Peter K. Manning (United States)**, Professor of Sociology and Criminal Justice, Michigan State University, East Lansing—a comprehensive analysis of legal decision making (with Keith Owen Hawkins)

**Dr. David Alfred Martin (United Kingdom)**, Professor Eminent of Sociology, London University—a study, "Centres and Peripheries: Cross-Disciplinary Study of Religious-Political Power in Europe"

**Dr. Michael Matthews (Canada)**, Professor of Composition, School of Music, University of Manitoba, Winnipeg—a cello concerto

**Dr. Souleymane Mboup (Senegal)**, Professor, Department of Bacteriology-Virology, University Cheikh Anta Diop, Dakar—a revised edition of a book on AIDS in Africa (with Myron Essex)

**Dr. William Hewat McLeod (New Zealand)**, Professor Eminent of History, University of Otago—a study of the Sikh Rahit

**Dr. Denis McQuail (United Kingdom)**, Professor Emeritus, Department of Politics, University of Southampton—a book that explores the different meanings of media accountability to society

**Roger E. Meyer M.D. (United States)**, Senior Consultant on Clinical Research, Association of American Medical Colleges, Washington, D.C.—a paper, "Ethical Issues in the Conduct of Clinical Research Involving Subjects with Mental or Addictive Disorders"

**Dr. William A. Mikhail (Egypt)**, Professor of Econometrics, American University in Cairo—a study, "Varying Input-Output Coefficients in Investment Programs"

**Dr. Alastair J. Minnis (United Kingdom)**, Professor of Medieval Literature, University of York—a manuscript, "The Medieval Eve: Creation and Crisis"

**Dr. Mario I. Molina (Chile)**, Associate Professor of Physics, University of Chile, Santiago—an essay, "Nonlinearity in Materials Science"

**Mr. John Musto (United States)**, Composer, New York City—a three-act opera, "Pope Joan: An Operatic Heresy" (with Denise Lancot)

**Dr. Susana Narotzky (Spain)**, Professor Titular Universidad, Department of Social Anthropology, University of Barcelona—a manuscript, "Reworking Ethnography: Sites of Work, Practices of Culture in Two Economic Regions of Europe—A Comparative and Historical Approach" (with Gavin Smith)

**Dr. Susan Neiman (Israel)**, Professor of Philosophy, Tel Aviv University—a manuscript, "Evil in Modern Thought"

**Ms. Elizabeth Neuffer (United States)**, Reporter, The Boston Globe, Boston, Massachusetts—a manuscript, "Beyond Hatred"

**Dr. Sonia Nieto (United States)**, Professor of Education, University of Massachusetts, Amherst—a study, "Teachers and the Transformation of Schools"

**Dr. Kristin Norget (Canada)**, Assistant Professor of Anthropology, McGill University, Montreal—a manuscript, "Days of Death, Days of Life: Death and Its Ritualization in Oaxacan Popular Culture"

**Ms. Leslye Obiora (Nigeria)**, Associate Professor, James E. Rogers College of Law, University of Arizona, Tucson—a study on the emergence of a category of gender-specific rights

**Dr. Luisa Pare (Mexico)**, Professor, Instituto de Investigaciones Sociales, Universidad Nacional Autónoma de México—a paper, "The Tuxtlas Biosphere Reserve in Mexico: The Role of Market and Productive Strategies and Local Initiatives in Biodiversity Conservation and Sustainable Development"

**Dr. David Patterson (United Kingdom)**, Professor Eminent, Hebrew Studies, St Cross College, University of Oxford—a translation of three novellas and a short story by Hebrew writer Joseph Hayyim Brenner, 1881-1921 (with Ezra Spicehandler)

**Dr. Daniel W. Patterson (United States)**, Kenan Professor Eminent of English and Folklore, University of North Carolina, Chapel Hill—a manuscript, "A Cultural Interpretation of 18th-Century Scotch-Irish Gravestones in the Carolina Piedmont"

**Dr. Philip Pechukas (United States)**, Professor of Chemistry, Columbia University, New York City—a study, "Quantum Dynamics of Open Systems"

**Ms. Beverly Penn (United States)**, Associate Professor of Art, Southwest Texas State University, Austin—artwork, "Janus at the Threshold: The Ethics of Architecture and the Architecture of Ethics" (with Jill Bedgood)

**Dr. Joel Pfister (United States)**, Professor of American Studies and English, Wesleyan University, Middletown, Connecticut—a study, "Individuality Incorporated: The History of Individualizing and Native Americans"

**Dr. Stephen Polcari (United States)**, Former New York Director, Archives of American Art—a study, "Jackson Pollock: A Design for Change"

**Dr. Stephen W. Porges (United States)**, Professor and Chair, Department of Human Development, University of Maryland, College Park—a manuscript, "Is There a Neurobiology of Love?" (with C. Sue Carter and Kerstin Uynas-Moberg)

**Dr. Apinian Poshyananda (Thailand)**, Associate Professor, Faculty of Fine and Applied Arts, Chulalongkorn University, Bangkok—a book about the 20th-century arts in Asia (with Vishakha N. Desai)

**Dr. Harriet B. Presser (United States)**, Distinguished University Professor, Department of Sociology, University of Maryland, College Park—a study, "Toward a 24-Hour Economy: Nonstandard Work Schedules and the American Family"

**Dr. Paula Rabinowitz (United States)**, Professor of English, University of Minnesota, Minneapolis—a study, "Emily, Frida, Georgia: A Story of Feminism, Nationalism and Painting in the Age of Mechanical Reproduction"

**Dr. Alfredo Rehren (Chile)**, Associate Professor, Institute of Political Science, Catholic University of Chile, Santiago—a study, "The Politics of Corruption in Chile"



**Dr. David Ford Robitaille (Canada)**, Professor and Head, Department of Mathematics Education, University of British Columbia—two volumes as part of a study, "The Impact of the 'Third International Mathematics and Science Study' on the Teaching and Learning of Mathematics and Science"

**Ms. Pattann Rogers (United States)**, Writer, Castle Rock, Colorado—new poems

**Dr. Nils Røll-Hansen (Norway)**, Professor of Philosophy, University of Oslo—a book on the problematic consequences of an instrumental view of science

**Dr. Ingrid D. Rowland (United States)**, Associate Professor of the History of Art, University of Chicago—a biography of the southern Italian philosopher Giordano Bruno

**Dr. Peter H. Russell (Canada)**, University Professor Emeritus, Department of Political Science, University of Toronto—a study, "The Mabo Case and the Decolonization of Indigenous Peoples"

**Dr. Mary Beth Saffo (United States)**, Professor of Physiology, Arizona State University, Phoenix—a manuscript, "The Dynamics of Endosymbiosis: The Lives of Organisms Inside Organisms"

**Dr. Richard J. Samuels (United States)**, Ford International Professor, Department of Political Science, Massachusetts Institute of Technology, Cambridge—a manuscript, "Leadership in Italy and Japan"

**Dr. Kay Schaffer (Australia)**, Associate Professor, Department of Social Inquiry, University of Adelaide—an essay, "Social Change, Autobiography and Human Rights" (with Sidonie Smith)

**Dr. Frieder W. M. Schnock (Germany)**, Artist and Art Historian, Berlin—creation of a new Web site (with Renata Stihl)

**Dr. T. Paul Schultz (United States)**, Professor of Economics, Yale University, New Haven, Connecticut—a manuscript, "Women's Productivity, the Family and the Demographic Transition"

**Dr. Zur B. Shapira (United States)**, Research Professor, Stern School of Business, New York University—a study, "On the Reluctance to Assume Power: Implications for Governance"

**Dr. Tracy Denean Sharpley-Whiting (United States)**, Associate Professor of French, and Director, African-American Studies and Research Center, Purdue University, West Lafayette, Indiana—a study, "Gendering Negritude: Race Women, Race Consciousness, Race Letters"

**Dr. Marilyn Shrude (United States)**, Professor of Music, Bowling Green State University, Ohio—a composition for violin and piano

**Mr. Sorya Sim (Cambodia)**, Deputy Director for Research and Finance, Documentation Center of Cambodia, Phnom Penh—a study, "Unaccounted U.S. Incidents in Cambodia"

**Mr. Arthur Simms (Jamaica)**, Artist, Long Island City, New York—artwork, "Folklore for Contemporary Jamaicans"

**Dr. Sidonie Smith (United States)**, Professor of English, University of Michigan, Ann Arbor—an essay, "Social Change, Autobiography and Human Rights" (with Kay Schaffer)

**Dr. Gavin Smith (Canada)**, Professor of Anthropology, University of Toronto—a manuscript, "Reworking Ethnography: Sites of Work, Practices of Culture in Two Economic Regions of Europe—A Comparative and Historical Approach" (with Susana Narotzky)

**Dr. Gerald Stanton Smith (United Kingdom)**, Professor of Russian Language and Literature, University of Oxford University—a manuscript, "Eurasianism and Russian Identity"

**Dr. Eleonora Solovey (Ukraine)**, Senior Research Scholar, Institute of Literature, Kiev—a monograph about the Ukrainian poet V. Svidzinsky

**Dr. Bode Sowande (Nigeria)**, Senior Lecturer, Department of Theatre Arts, University of Ibadan—a manuscript, "Oduthemes: History of a Nigerian Theatre"

**Dr. Ezra Spicehandler (United States)**, Professor of Hebrew Literature, Hebrew Union College-Jewish Institute of Religion, Cincinnati—a translation of three novellas and a short story by Hebrew writer Joseph Hayyim Brenner, 1881-1921 (with David Patterson)

**Dr. Piotr Steinkeller (United States)**, Professor of Assyriology, Department of Near Eastern Languages and Civilization, Harvard University, Cambridge, Massachusetts—a study, "Population Density, Settlement Patterns and Rural Landscape Under the Ur III Dynasty: The Case of the Province of Umma"

**Mr. Jerry Stermin (United States)**, Director, Myanmar Field Office, Save the Children, Yangon, Myanmar—a handbook, "Positive Deviance: A New Paradigm for Addressing Today's Problems Today"

**Ms. Renata Stihl (Germany)**, Artist, Berlin—creation of a new Web site (with Frieder W. M. Schnock)

**Dr. Dabney Stuart (United States)**, S. Blount Mason Jr. Professor of English, Washington and Lee University, Lexington, Virginia—a volume of new and previously published poems, "The Man Who Loved Cezanne"

**Susan E. Sutherland (United States)**, Mission St. Joseph's, Asheville, North Carolina—a study, "Health Status and Aging Characteristics of Blacks and Whites in a Southeastern Community: Forty Years of Observation" (with Julian E. Keil)

**Mr. Ricardo Tacuchian (Brazil)**, Professor of Music, Rio de Janeiro University—a music composition, "String Quartet No. 3, 'Bellagio'"

**Dr. Belgin Tekce (Turkey)**, Professor, Department of Sociology, Bogaziçi University, Istanbul—a study, "Familial Relationships, Childbearing and Child Rearing in Istanbul"

**Ms. Fiona Templeton (United Kingdom)**, Lancaster University, Lancaster—a play, "Medead"

**Dr. Romila Thapar (India)**, Professor Emeritus of History, Jawaharlal Nehru University, New Delhi—a manuscript, "Representation of History: The Case of Somanatha"

**Dr. Przemyslaw Urbanczyk (Poland)**, Professor, Institute of Archaeology and Ethnology, Polish Academy of Sciences, Warsaw—essays on early medieval Central Europe

**Dr. Kerstin Uvnäs-Moberg (Sweden)**, Professor, Department of Physiology and Pharmacology, Karolinska Institute, Stockholm—a manuscript, "Is There a Neurobiology of Love?" (with C. Sue Carter and Stephen Proges)

**Dr. Hans Vliavianos (Greece)**, Professor of Politics, American College of Greece, Athens—a manuscript, "John Ashbery: Selected Poems"

**Ms. Aleksandra Vrebalov (Serbia)**, Composer, Novi Sad, Yugoslavia—a music composition, Op. 29, for the Novi Sad Synergia Ensemble

**Dr. Xuejun Wang (China)**, Associate Professor, Department of Urban and Environmental Science, Peking University, Beijing—a study, "Taxation Policy: Its Role in Environmental Protection and Resource Conservation in China"

**Dr. Dorothy C. Wertz (United States)**, Senior Scientist, Division of Social Science, Ethics and Law, Shriver Center for Mental Retardation, Waltham, Massachusetts—a manuscript, "Genetics, Ethics and Society: Global Trends" (with John C. Fletcher)

**Dr. H. L. Wesseling (Netherlands)**, Professor of Modern History, and Rector, Netherlands Institute for Advanced Study in the Humanities and Social Sciences, Wassenaar—a manuscript, "European Colonial Empires, 1815-1919"

**Mr. C. K. Williams (United States)**, Writer, Princeton, New Jersey—new poems

**Dr. Helmut Wohl (United States)**, Professor Emeritus of Art History, Boston University—a manuscript, "Sleeping Beauty: The Art and Science of Dreaming" (with J. Allan Hobson)

**Ms. Andrea Wollensak (United States)**, Associate Professor of Art, Connecticut College, New London—artwork, "Cultural Geographies: Global Positioning System Drawings of Bellagio"

**Dr. Diane Wood (United States)**, Assistant Professor, Graduate School of Education, George Mason University, Arlington, Virginia—a study, "The Writing Project Connection: From Networks to Classrooms" (with Ann Lieberman)

**Dr. Ben Xu (China)**, Professor of English, St. Mary's College of California, Oakland—a study, "Nationalism and Intellectual Politics in China of the 1990s" (with Zhou Xian)

**Mr. Eric K. Yamamoto (United States)**, Professor of Law, University of Hawaii, Honolulu—a study, "Re-Forming Civil Rights in Uncivil Times" (with Shirley Horn)

**Dr. Zhou Xian (China)**, Professor and Acting Chair, Department of Chinese, Nanjing University—a study, "Nationalism and Intellectual Politics in China of the 1990s" (with Ben Xu)

#### 2000 ELLA HOLBROOK WALKER FELLOWS

*These fellows are selected to be in residence for three or more months to help facilitate contacts among the other residents and to work on their own projects. Awards are by invitation only; no applications are accepted.*

**Mr. Charles Amirghanian (United States)**, Composer and Executive Director, Other Minds, Inc., San Francisco—a horspiel commissioned by Westdeutscher Rundfunk (Cologne, Germany), comprised of samples from historical player-piano rolls digitally processed and telescoped into a single tape composition

**Ms. Carol Law (United States)**, Visual Artist, El Cerrito, California—artwork in mixed media on "Laws of Nature," including "Volta Notebook," "Plane Tree Cycle," and the Lario paintings

**Mr. John Reader (United Kingdom)**, Writer and Photographer, Richmond upon Thames—a book on Africa, a companion volume to the National Geographic Society's television series to be broadcast in fall 2001

## SPECIAL PROGRAMS

### Communication for Social Change

**Catholic Development Commission**, Masvingo, Zimbabwe \$67,150 to test, in a field setting, communication processes aimed at building the capacity of, and empowering, rural Zimbabwean youth to advocate on their own behalf against risky behaviors which can lead to the transmission of HIV

**Foundation-administered project**: \$200,000 toward the costs of a Bellagio conference to explore new approaches in communication for social change

**Imaginario**, Bogota, Colombia: \$50,000 toward the costs of developing the Communication Initiative Latin America, a network—including a Web database, list-serve and electronic magazines—on communication for sustainable development

**International Center for Tropical Agriculture**, Cali, Colombia \$93,500 for its project to evaluate and enhance the impact of community telecenters in Colombia

**International Council of AIDS Service Organizations**, Toronto, Canada \$25,000 to assist voices from developing countries to be heard in the AIDS debate internationally via world media.

**National Public Radio**, Washington, D.C. \$125,000 to continue coverage of race and to maintain the race beat as part of the cultural desk

**Panos Limited**, London, United Kingdom \$510,000 toward the planning phase for developing regional Panos institutions in East Africa, southern Africa and south Asia

**Soul City**, Lower Houghton, South Africa \$100,000 toward the cost of producing the radio series, *Soul Buddy 2*, designed to raise the awareness of pre-adolescents to health and development issues

**Umzingwane AIDS Network**, Esigodini, Zimbabwe \$62,000 to test, in a field setting, communication processes aimed at building the capacity of, and empowering, rural Zimbabwean youth to advocate on their own behalf against risky behaviors which can lead to the transmission of HIV

**Zimbabwe AIDS Prevention and Support Organisation**, Harare, Zimbabwe \$63,141 to test, in a field setting, communication processes aimed at building the capacity of, and empowering, rural Zimbabwean youth to advocate on their own behalf against risky behaviors which can lead to the transmission of HIV

### Explorations in Population and the Cairo Agenda

**The Alan Guttmacher Institute**, New York, New York \$500,000 toward the cost of a comparative study in five countries, in collaboration with local partners, concerning adolescent attitudes and health-seeking behavior related to sexually transmitted diseases, including HIV

**Aspen Institute**, Washington, D.C. \$100,000 toward the costs of its Women's Lens on Global Issues, a project to build a new constituency for international engagement

**Ateneo de Davao University**, Davao City, Philippines \$19,950 to complete a project at its Social Research Office, in collaboration with the Population Council, to measure how women's reproductive behavior is affected by improving public family-planning and reproductive-health services in the province of Davao del Norte.

**Banaras Hindu University**, Varanasi, India: \$1,448 for a study of the status of women and fertility in eastern Uttar Pradesh

**Boston Women's Health Book Collective**, Somerville, Massachusetts \$60,000 for activities designed to improve the scientific dialogue on women's reproductive health.

**Center for Health and Social Policy**, San Francisco, California \$100,000 for a project to advance reproductive and sexual health and rights

**Centre for Economic and Social Studies**, Hyderabad, India \$100,000 to undertake studies on maternal mortality and morbidity in Andhra Pradesh following health-sector reform

**Coalition for Women's Economic Development and Global Equality**, Washington, D.C. \$200,000 to conduct a mapping of international organizations that examines gender and trade and to develop a model "women's impact statement" that can be used to evaluate and negotiate trade agreements

**Coalition on Violence Against Women—Kenya**, Nairobi, Kenya \$100,000 to decrease the incidence of domestic violence in Kenya by educating the public about women's rights under the law.

**Council on Foreign Relations**, New York, New York \$50,000 toward the costs of a project on women's human rights and U.S. foreign policy

**Danish Family Planning Association**, Copenhagen, Denmark \$132,000 to complete an educational initiative on international reproductive health and family-planning issues

**Foundation-administered project**: \$216,400 to improve global governance in the population field by examining the current roles and responsibilities of the major international population institutions

**Foundation-administered project**: \$30,000 for program consultancy costs associated with explorations in population and the Cairo agenda

**Foundation-administered project**: \$50,250 for a meeting at the Bellagio Study and Conference Center in April 2000 to discuss Population and the Cairo Agenda. The Next Steps at the Rockefeller Foundation

**Foundation-administered project**: \$55,000 for consultations concerning future grantmaking in population and public health in sub-Saharan Africa

**HelpAge International**, London, United Kingdom: \$100,000 for a project in collaboration with the Organization of African Unity to raise the profile of demographic aging issues in Africa and encourage the development of appropriate policies

**Johns Hopkins University**, Baltimore, Maryland \$254,670 to complete a collaborative project with the University of Montreal on the relationships between declining child mortality and fertility rates in sub-Saharan Africa

**Liaison Centre for Medical Women in Africa**, Nairobi, Kenya \$94,800 to investigate how gender bias affects the health of women in Kenya and to begin the process of alleviating the problem

**Partners in Population and Development**, Dhaka, Bangladesh \$217,930 for general support

**Population Council**, New York, New York \$250,000 for a meeting at the Foundation's Bellagio Study and Conference Center on the political economy of global population change and the publication of a supplement to its *Population and Development Review* based on the conference proceedings

**Population Council**, New York, New York \$254,740 to complete a study documenting the impact of quality of care on women's reproductive behavior

**Population Council**, New York, New York \$478,080 to complete, in collaboration with the African Population and Health Research Center, a study on the health effects of rapid urbanization and of population growth in developing countries and to implement an urban-based longitudinal demographic and health-research system

**Population Council**, New York, New York \$500,000 toward the cost of renovating the Center for Biomedical Research

**Tides Center**, San Francisco, California \$200,000 for a study by the Center for Health and Gender Equity concerning the implications of health-sector reforms in India and Tanzania for reproductive health and rights

**Tides Center**, San Francisco, California \$225,000 toward the costs of its project, *New Economy Communications*, for its initiative on women at work in the developing world

**United Nations Research Institute for Social Development**, Geneva, Switzerland \$100,000 for a project to explore how social policy has responded to the increasing globalization of production and women's labor-market participation

**University of Michigan**, Ann Arbor, Michigan \$68,900 for a study of how gender affects the well-being of older Asians and the support provided to them by family members

**University of Pennsylvania**, Philadelphia, Pennsylvania \$250,000 for use by its Population Studies Center for the African census analysis project.

**Women's Funding Network**, San Francisco, California \$100,000 to develop a model for effective constituency building of women and girls, with a focus on issues of globalization

**Women's Law and Public Policy Fellowship Program**, Washington, D.C. \$100,000 to train two women lawyers from South Africa committed to advancing the cause of women's rights in their country

**World Health Organization**, Geneva, Switzerland. \$400,000 for research in Namibia and Tanzania as part of a multicountry research study on women's health and domestic violence

### Global Philanthropy

**Aga Khan Foundation U.S.A.**, Washington, D.C. \$125,000 to develop a demand-led approach to promoting and training in philanthropy and strengthening civil society in Asia and Africa

**Asia Foundation**, San Francisco, California \$150,000 toward the costs of its Asia Pacific Philanthropy Consortium to build an experimental infrastructure for philanthropy in Asia

**Foundation-administered project**: \$300,000 to explore the feasibility of establishing a nonprofit global portal in philanthropy and the nonprofit sector and, if feasible, to develop a business plan and mobilize resources to launch the portal

**Foundation-administered project**: \$200,000 for administrative expenses of the GivingWell project, to expand and improve the way effective change strategies around the world are supported by creating innovative networks of new philanthropists, nonprofit organizations and thought leaders that fully utilize the power of communications technologies

**Give2Asia**, San Francisco, California \$100,000 for general support

**Graduate School and University Center, City University of New York**, New York, New York \$50,000 to support three international fellows to study diaspora philanthropy



**Nonprofit Enterprise and Self-Sustainability Team**, Santiago, Chile \$25,000 toward the costs of its International Venture Philanthropy Forum, to explore new capital models for the nonprofit sector

**Oaxaca Community Foundation**, Oaxaca, Mexico \$100,000 for general support.

**Peninsula Community Foundation**, San Mateo, California \$25,000 toward the costs of its philanthropic-incubator project designed to help new philanthropic organizations flourish

**The Philanthropic Initiative**, Boston, Massachusetts \$50,000 for a project to better understand and promote global philanthropy

**Tides Center**, San Francisco, California \$1,800,000 for its project, Givingwell, an initiative designed to create a system for effective, accountable global philanthropy

**Tides Center**, San Francisco, California \$50,000 for use by its project, the Leadership Learning Community, toward the costs of creating a comprehensive Web-accessible directory of, and electronic learning environment for, leadership development programs in the United States

#### NEXT GENERATION LEADERSHIP

##### Project Grants

**Bayou La Batre Rural Health Clinic**, Spanish Fort, Alabama \$10,000 to create a no-interest fund for small loans to increase access to health care for the working poor in Bayou La Batre, Alabama.

**Ingrid Washinawatok El-Issa Flying Eagle Women Fund for Peace**, New York, New York \$10,000 to further its work with indigenous people around the world.

**Rocky Mountain Youth Corps**, Ranchos de Taos, New Mexico \$10,000 to develop a youth leadership program based on the Next Generation Leadership program designed to develop a diverse corps of young leaders who will strengthen their constituencies, promote inclusiveness and further democracy

##### Year One Participation in Cohort Three of the Program

**Karin Aguilar-San Juan**, St. Paul, Minnesota

**Jay Alire**, Denver, Colorado

**Pablo Alvarado**, Pasadena, California

**Dale Caldwell**, New Brunswick, New Jersey

**Gillian Caldwell**, Brooklyn, New York

**Patricia Campos**, Alexandria, Virginia

**Joan Hoffman**, Jamaica Plain, Massachusetts

**Taj James**, San Francisco, California

**Kenneth Johnson**, Milwaukee, Wisconsin

**Annie Lanzillotto**, Brooklyn, New York

**Sarah Ludwig**, New York, New York

**William MacAdams**, New Haven, Connecticut

**Pamela McMichael**, Prospect, Kentucky

**Rebecca Miller**, Poway, California

**Kimberly Miyoshi**, San Francisco, California

**Hez Norton**, Jamaica Plain, Massachusetts

**Cara Page**, Durham, North Carolina

**Carmen Ramirez**, Longmont, Colorado

**Thomas Saenz**, Alhambra, California

**Ryan Streeter**, Indianapolis, Indiana

**Sarah Van de Wetering**, Missoula, Montana

**David Van Tassel**, Salina, Kansas

**Rhea Williams-Bishop**, Madison, Mississippi

**Francis Wong**, San Francisco, California

#### THE PHILANTHROPY WORKSHOP

**Hispanics in Philanthropy**, Berkeley, California \$225,000 to develop an education and networking program for new donors working transnationally between Latin America and the United States

**University of San Andres**, Victoria, Argentina \$50,000 to develop and launch a donor training program for Argentina based upon The Philanthropy Workshop

**University of San Andres**, Victoria, Argentina \$100,000 to continue support of a donor education and networking program for Argentina based upon The Philanthropy Workshop.

#### Other Grants

**American University in Cairo**, Cairo, Egypt \$94,000 toward the cost of a visiting professorship for Ismail Serageldin, a specialist in the development process

**Asia Rice Foundation**, Los Baños, Philippines \$500,000 in general support of its programs on rice that are essential for regional rice food security and the well-being of Asian rice farmers

**Asia Society**, New York, New York \$37,500 toward the cost of the U.S. - India Roundtable project, undertaken in collaboration with the Council on Foreign Relations

**Cornell University**, Ithaca, New York \$25,000 toward the cost of a symposium in honor of Robert F. Chandler Jr., founding director of the International Rice Research Institute, to discuss rice research and production in the 21st century

**Cornell University**, Ithaca, New York \$50,000 toward the cost of a clinical evaluation to determine whether increased intakes of food calcium are effective in preventing rickets in Bangladesh

**Council on Foundations**, Washington, D.C. \$49,600 toward general operating expenses in year 2000

**Foundation Center**, New York, New York \$200,000 toward its general operating expenses

**Foundation-administered project**: \$11,480 for an exploratory study of global security issues

**Foundation-administered project**: \$300,000 for the planning phase of a project to launch an independent global commission on human security

**Foundation-administered project**: \$79,000 for an exploratory study of global income inequalities.

**Hispanics in Philanthropy**, Berkeley, California \$125,000 toward general operating expenses and capacity-building activities (\$85,000 from Global Philanthropy)

**Independent Sector**, Washington, D.C. \$10,000 toward general operating expenses in 2000

**Long Island Educational Television Council, Inc.**, Plainview, New York \$100,000 toward the cost of distributing the BBC World News to public television stations in the United States

**Michigan State University**, East Lansing, Michigan \$50,000 toward the cost of the Partnership to Cut Hunger in Africa initiative.

**National Academy of Sciences**, Washington, D.C. \$50,000 toward the cost of its participation in an InterAcademy Council that brings panels of experts together to provide independent advice to international agencies on scientific, technological and public-health issues.

**New Renaissance Group**, London, United Kingdom \$50,000 for a workshop based on Duncan Poore's book about environmental issues, "Where Next? Reflections on the Human Future"

**New York Regional Association of Grantmakers**, New York, New York \$10,000 toward general support for the year 2000.

**New York University**, New York, New York \$10,000 toward the cost of a symposium on food and culture organized by its Asian/Pacific/American Studies Program and Institute

**New York University**, New York, New York \$24,270 for a consultation organized by the Center for the Study of International Organization on the role of the United Nations in reaching Millennium Summit poverty-reduction targets

**Rockefeller Foundation Matching Gift Program**: \$2,200,000 toward the Rockefeller Foundation Matching Gift Program

**Singamma Sreenivasan Foundation**, Bangalore, India \$50,000 to improve its facilities as a conference center

**St. Catherine's College, Oxford**, Oxford, United Kingdom \$252,000 for the first phase of a program of research and policy dissemination concerning the causes and consequences of global income inequality

**United Nations Development Programme**, New York, New York \$60,000 for a meeting at the Bellagio Study and Conference Center concerning Human Development Report 2001, which has as its theme, channeling technology for human development

**United Nations Research Institute for Social Development**, Geneva, Switzerland \$72,450 for a meeting at the Bellagio Study and Conference Center for representatives from a range of U.N. development agencies to begin a process designed to ensure that the U.N. system will profit from research undertaken by multilateral institutions and the academic community

**University of Cambridge**, Cambridge, United Kingdom \$75,000 toward the cost of developing and extending its library's rare books and manuscripts reading rooms, used by scholars from all over the world

**William Greaves Productions**, New York, New York \$100,000 for an educational outreach program in association with a documentary film entitled, "Ralph Bunche: An American Odyssey"

The Board of Trustees  
The Rockefeller Foundation

**W**e have audited the accompanying statements of financial position of The Rockefeller Foundation as of December 31, 2000 and 1999, and the related statements of activities and cash flows for the years then ended. These financial statements are the responsibility of The Rockefeller Foundation's management. Our responsibility is to express an opinion on these financial statements based on our audits.

We conducted our audits in accordance with auditing standards generally accepted in the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audits provide a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of The Rockefeller Foundation at December 31, 2000 and 1999, and the changes in its net assets and its cash flows for the years then ended in conformity with accounting principles generally accepted in the United States.

*Ernst + Young LLP*

New York, New York  
February 9, 2001



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# STATEMENTS OF FINANCIAL POSITION

December 31

ASSETS	2000	1999
	(In Thousands)	
Cash and cash equivalents, including restricted bond funds of \$692 in 2000 and \$705 in 1999 (Note 5)	\$ 2,296	\$ 3,000
Dividends, interest and other receivables	14,484	13,612
Investments (Notes 1 and 2)	3,525,687	3,751,499
Property, net of accumulated depreciation and amortization (Note 3)	27,260	25,429
Prepaid pension cost and other assets (Note 4)	49,301	44,002
Total assets	\$ 3,619,028	\$ 3,837,542
LIABILITIES AND NET ASSETS		
Liabilities:		
Accounts payable and accrued liabilities	\$ 16,753	\$ 11,115
Appropriations by the trustees, approved for specific grantees/purposes but not yet paid (Note 5)	97,405	65,084
Bonds payable, net of unamortized discount (2000: \$277; 1999: \$289) (Note 5)	26,168	26,766
Deferred Federal excise tax (Note 7)	2,450	11,894
Accrued post-retirement benefits (Note 4)	18,324	18,165
Total liabilities	161,100	133,024
Commitments (Notes 1 and 2)		
Unrestricted and total net assets (including board-designated amounts of \$227,043 in 2000 and \$260,701 in 1999) (Note 6)	3,457,928	3,704,518
Total liabilities and net assets	\$ 3,619,028	\$ 3,837,542

See accompanying notes.



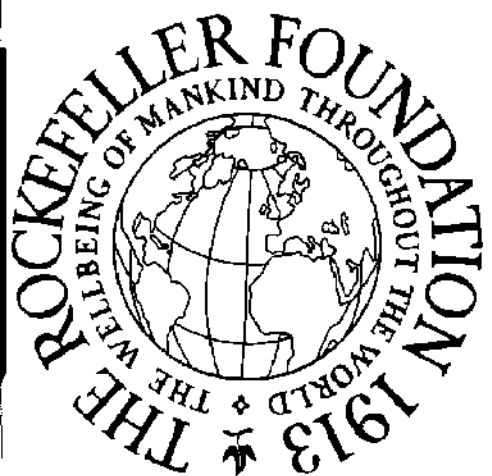
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# STATEMENTS OF ACTIVITIES

Year ended December 31

CHANGES IN NET ASSETS	2000	1999
	(In Thousands)	
Investment return:		
Net realized and unrealized (loss) gain on investments	\$ (138,558)	\$ 571,148
Dividend and interest income	125,707	107,678
Other investment income	973	806
	(11,878)	679,632
Investment expenses	(17,537)	(16,546)
Net investment return	(29,415)	663,086
Other expenses:		
Approved grants and program costs	208,453	166,672
General administrative expenses	13,961	11,436
Provision for Federal excise tax (Note 7):		
Current	4,205	4,244
Deferred	(9,444)	4,860
	217,175	187,212
(Decrease) increase in unrestricted net assets	(246,590)	475,874
Unrestricted net assets, beginning of year	3,704,518	3,228,644
Unrestricted net assets, end of year	\$ 3,457,928	\$ 3,704,518

See accompanying notes.



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# STATEMENTS OF CASH FLOWS

Year ended December 31

CASH FLOWS FROM OPERATING ACTIVITIES	2000	1999
	(In Thousands)	
(Decrease) increase in net assets	\$ (246,590)	\$ 475,874
Adjustments to reconcile (decrease) increase in net assets to net cash used in operating activities:		
Depreciation and amortization	1,279	985
Net unrealized loss (gain) on investments	478,545	(252,192)
Net realized gain on investments	(339,987)	(318,956)
Changes in operating assets and liabilities:		
Dividends, interest and other receivables	(872)	565
Prepaid pension cost and other assets	(5,299)	(3,202)
Accounts payable and accrued liabilities	5,638	1,152
Appropriations by the trustees, approved for specific grantees/purposes but not yet paid	32,321	(1,713)
Deferred Federal excise tax	(9,444)	4,860
Accrued post-retirement benefits	159	289
	162,340	(568,212)
Net cash used in operating activities	(84,250)	(92,338)
CASH FLOWS FROM INVESTING ACTIVITIES		
Net sales of investments	87,254	93,394
Property additions	(3,098)	(170)
Net cash provided by investing activities	84,156	93,224
CASH FLOWS FROM FINANCING ACTIVITIES		
Repayments of bonds payable	(610)	(585)
Net cash used in financing activities	(610)	(585)
Net (decrease) increase in cash and cash equivalents, excluding amounts held in investment portfolio	(704)	301
Cash and cash equivalents, beginning of year	3,000	2,699
Cash and cash equivalents, end of year	\$ 2,296	\$ 3,000

See accompanying notes.

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# NOTES TO FINANCIAL STATEMENTS

## 1. INVESTMENTS

The Foundation's significant investments are carried at fair value or contractual values which approximate fair value. Fair value is the amount at which a financial instrument could be exchanged in a current transaction between willing parties, other than in a forced sale or liquidation. The fair values of financial instruments are estimates based upon market conditions and perceived risks as of the statement of financial position date and require varying degrees of management judgment. Quoted market prices, when available, are used as the measure of fair value. In cases where quoted market prices are not available, fair values are based on appraisals, quotations of similarly traded instruments, pricing models or other estimates.

Investing activities are reported on a trade date basis. Realized gains and losses are calculated based on the specific identification method for both financial statement and tax return purposes.

The Foundation's investment portfolio consists of the following:

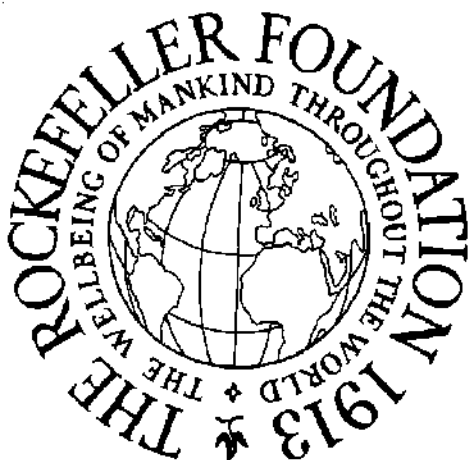
As of December 31, 2000, under the terms of various venture capital, real estate limited partnership and other agreements, the Foundation has commitments to contribute approximately \$231.5 million in additional capital over the next 10 years.

## 2. DERIVATIVE FINANCIAL INSTRUMENTS

Derivatives are financial instruments whose value is based upon an underlying asset (e.g., treasury bond), index (e.g., S&P 500) or reference rate (e.g., LIBOR). Over-the-counter ("OTC") derivative products are privately negotiated contractual agreements that can be tailored to meet individual client needs and include futures, forwards and options. Exchange-traded derivative products are standardized contracts transacted through regulated exchanges and include futures and certain options contracts listed on an exchange. Derivatives are utilized extensively as highly effective tools that enable users to adjust risk profiles, such as interest rate, currency or other market risks. Additionally, derivatives provide users with access to

December 31

	2000		1999	
	Cost	Fair Value	Cost	Fair Value
	(In Thousands)			
Marketable securities:				
Money market funds	\$ 185,380	\$ 185,391	\$ 178,218	\$ 178,223
Foreign currency—short-term	—	(7,635)	—	8,878
U.S. and other government obligations	430,181	438,202	404,146	393,720
Corporate obligations	544,403	526,063	509,633	484,691
Common stock (including REITs)	1,938,198	1,992,888	1,801,783	2,325,341
Other investments	19,996	16,586	19,962	19,123
Subtotal	3,118,158	3,151,495	2,913,742	3,409,976
Limited partnerships and similar interests:				
Real estate	141,139	150,140	143,266	154,276
Private equity	298,609	368,394	213,799	296,819
Subtotal	439,748	518,534	357,065	451,095
Pending securities transactions, net	(143,918)	(144,342)	(109,552)	(109,572)
Total	\$ 3,413,988	\$ 3,525,687	\$ 3,161,255	\$ 3,751,499



2002 FINANCIAL REPORT



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market risk management tools, which are often unavailable in traditional cash instruments.

As a result of its investing strategies, the Foundation is a party to various derivative financial instruments. Derivatives are used by the Foundation primarily to maintain asset mix or to hedge a portion of currency or interest rate exposure.

The Foundation invests in international securities and therefore is exposed to the effects of foreign exchange rate fluctuations predominantly in Japanese yen, Swiss francs, Australian dollars, British pounds, Swedish krona and euros. Foreign currency options and forward contracts may be used to hedge a portion of this currency risk. The Foundation also employs derivatives to maintain a desired asset mix. For example, S&P 500 index futures contracts are used in combination with cash invested in money market instruments to replicate an investment in an S&P 500 stock portfolio. This combination yields the same return, while often providing for savings on transaction costs.

The Foundation's fixed income managers set a target for the duration of their portfolios and use duration analysis to estimate the degree of the portfolio's sensitivity to interest rate changes. When the duration of a particular portfolio of securities is different from their target, Eurodollar or Treasury note futures or options may be used to adjust the portfolio's duration.

Total margin requirements on deposit for futures contracts were \$5.6 million at December 31, 2000 (\$13 million at December 31, 1999).

The underlying contract amounts ("Notional Values") and fair values of the Foundation's derivative financial instruments at December 31, 2000 and 1999, are summarized below; all are held for other than trading purposes.

The Foundation records its derivative activities on a mark-to-market or fair value basis. Assets and liabilities included in the table below represent the derivative contracts purchased and sold by the Foundation. The fair value of such positions represents the net unrealized gains and losses and, consequently, the net receivables and payables at December 31, 2000 and 1999. Market or fair value for the Foundation's derivative financial

December 31

	2000		1999	
	Notional Value	Fair Value	Notional Value	Fair Value
	(In Thousands)			
Equity contracts to manage desired asset mix (contracts primarily based on S&P 500 index):				
Futures contracts:				
Assets	\$ 51,064	\$ (596)	\$ 13,729	\$ 31
Fixed income contracts to manage portfolio duration and interest rate risk:				
Futures contracts:				
Assets	141,927	140	145,651	(400)
Liabilities	(59,136)	(83)	(24,508)	98
Put and call options:				
Liabilities	(57,300)	(468)	(62,950)	(609)
Foreign currency contracts to hedge foreign exchange exposure in non U.S. dollar securities:				
Forward contracts:				
Assets	195,497	9,642	102,705	(713)
Liabilities	(501,514)	(17,277)	(460,300)	9,591
Put and call options:				
Assets	177	4	1,485	82

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instruments is generally determined by either quoted market prices or third-party pricing models. Pricing models utilize a series of market inputs to determine the present value of future cash flows, with adjustments, as required, for credit risk, liquidity risk and ongoing costs.

Off-balance sheet financial instruments involve elements of market risk and credit risk. Market risk represents potential loss from the decrease in the value of off-balance sheet financial instruments. Credit risk represents potential loss from possible nonperformance by obligors and counterparties on the terms of their contracts.

At December 31, 2000, there was approximately \$26.9 million related to OTC contracts and approximately \$1.4 million related to exchange-traded contracts. Counterparties to the Foundation's OTC derivative products are high credit quality institutions, which are primarily banks, securities firms and investment companies. Management does not anticipate that losses, if any, resulting from credit or market risk, would materially affect the Foundation's financial position.

The Foundation's two custodians maintain securities lending programs on behalf of the Foundation, and maintain collateral at all times in excess of the value of the securities on loan. Investment of this collateral is in accordance with specified guidelines. Interest earned on these transactions is included with other investment income in the statements of activities. The market value of securities on loan at December 31, 2000 and 1999, was approximately \$288.8 million and \$195 million, respectively.

### 3. PROPERTY

Expenditures for capital items currently in use are included in the property account and depreciated on a straight-line basis over the lives of the respective assets. At December 31, 2000 and 1999, the property account included the following:

	2000	1999
	<i>(In Thousands)</i>	
Condominium interest in 420 Fifth Avenue	\$ 16,555	\$ 16,555
Condominium improvements	13,868	11,408
Furniture, fixtures and equipment	2,979	2,341
	33,402	30,304
Less accumulated depreciation and amortization	6,142	4,875
Property—net	\$ 27,260	\$ 25,429

### 4. PENSIONS AND OTHER POST-RETIREMENT BENEFITS

The Foundation maintains a defined benefit pension plan (the "Plan") for regular salaried employees who were at least 21 years old and have completed one year of service or had attained the age of 40 prior to July 1, 2000. As of July 1, 2000, the Plan was closed to new employees and also to those employees hired prior to July 1, 2000 who did not meet the eligibility requirements. The Plan provides retirement benefits based on years of service and final average pay, with benefits after retirement subject to increase under a cost-of-living augmentation formula. The Foundation makes annual contributions to the Plan, as needed, based on actuarial calculations, in amounts sufficient to meet the minimum funding requirements pursuant to the Employee Retirement Income Security Act of 1974. Plan assets are invested in a diversified portfolio of equities and fixed income securities.

In 2000, the Foundation enhanced its 401(k) plan to create the Retirement Savings Plan (formerly, the Trusteed Savings Plan). Foundation contributions are now made to equal 13 percent of compensation plus a dollar-for-dollar match of up to an additional 2 percent of compensation contributed on a pre-tax basis by employees up to the compensation cap of \$170,000. Current members of the Plan had the option of remaining in the combined retirement plan consisting of the defined benefit pension plan and the former 401(k) Trusteed Savings Plan or moving to the new Retirement Savings Plan. Employees can make additional unmatched pre-tax contributions which, when combined with employee contributions that are matched, cannot exceed the maximum pre-tax contribution limit of \$10,500.

All contributions are credited to the participants' accounts. The Foundation's contributions to the plans were \$776,000 in 2000 and \$550,000 in 1999.

The Foundation provides certain health care and life insurance benefits ("Other Benefits") for retired employees. Employees are eligible for these benefits when they meet the criteria for retirement under the Foundation's pension plan. The plans are noncontributory and there are no cost sharing features. The Foundation accrues the expected cost of providing post-retirement benefits over the years that employees render service and pays the cost of retiree health care benefits with excess pension plan assets under the provisions of Section 401(h) of the Internal Revenue Code.



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	2000	1999	2000	1999
	Pension Benefits		Other Benefits	
	(In Thousands)			
Benefit obligation at year-end	\$ 48,486	\$ 49,183	\$ 14,287	\$ 13,606
Fair value of plan assets at year-end	98,729	101,353	-	-
Funded status of the plan (underfunded)	50,243	52,170	(14,287)	(13,606)
Prepaid (accrued) benefit cost recognized in the statements of financial position	45,978	40,908	(18,324)	(18,165)
Weighted-average assumptions as of December 31:				
Discount rate	7.50%	7.25%	7.50%	7.25%
Expected return on plan assets	9.00%	9.00%		
Rate of compensation increase	5.00%	5.00%		

For measurement purposes, a 7 percent annual rate of increase in the per capita cost of covered health care benefits was assumed for 2001. The rate was assumed to decrease gradually to 6 percent by 2002 and remain at that level thereafter.

	2000	1999	2000	1999
	Pension Benefits		Other Benefits	
	(In Thousands)			
Net periodic benefit (credit) cost	\$ (5,070)	\$ (4,737)	\$ 1,164	\$ 1,181
Benefits paid	2,768	2,721	1,005	892

#### 5. BONDS PAYABLE

During fiscal 1993, the Foundation issued \$20,445,000 in tax-exempt term bonds and \$9,815,000 in tax-exempt serial bonds to fund the acquisition, construction and furnishing of a new office facility (the "Facility"). The bond proceeds and related investment income earned were held by a trustee (the "Trustee") and have been disbursed at the direction of the Foundation to fund allowable Facility-related costs.

The bonds are rated Aaa by Moody's and AAA by Standard & Poor's and are backed by the general assets of the Foundation. In addition, the bonds are secured by the Foundation's ownership interest in the Facility, a leasehold interest in the Facility, insurance proceeds with respect to the Facility and certain amounts held by the Trustee. The nominal interest rates on the serial bonds range from 4.5 percent to 5.1 percent. The

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nominal interest rates attributable to the term bonds are 5.3 percent and 5.4 percent.

The serial bonds mature in various amounts, ranging from \$635,000 to \$880,000 per year, through 2008. The term bonds are due in 2013 (\$5,140,000) and 2023 (\$15,305,000). Bond maturities are as follows (in thousands):

Fiscal year ending December 31:		
2001	\$	635
2002		665
2003		695
2004		725
2005		760
Thereafter		22,965
	\$	26,445

#### 6. APPROPRIATIONS AND EXPENDITURES

Appropriations by the trustees are considered to be obligations when grants are approved (awarded) for specific grantees; appropriations not released for specific grantees and the appropriation for the budget for the next year are considered as board-designated net assets. The majority of approved grants are scheduled for payment within one year. Administrative costs, including investment expenses and excise taxes, account for approximately 12 percent (18 percent in 1999) of the Foundation's total expenses and are charged to operations when incurred.

Appropriations and expenditures for the year are summarized as follows:

	Appropriated for Specific Grantees/Purposes	Appropriated for Allocation and Next Year's Budget	Total Appropriated
		(In Thousands)	
Balance, January 1, 2000	\$ 65,084	\$ 260,701	\$ 325,785
Approved grants and program and administrative costs	230,511	(230,511)	-
Lapses and refunds	(1,115)	(147)	(1,262)
Expenditures for grants and operations	(197,075)	-	(197,075)
2001 budget	-	197,000	197,000
Balance, December 31, 2000	\$ 97,405	\$ 227,043	\$ 324,448

#### 7. FEDERAL EXCISE TAX

The Foundation is a philanthropic organization chartered in 1913 "to promote the well-being of mankind throughout the world." The Foundation qualifies as a tax-exempt organization under Section 501(c)(3) of the Internal Revenue Code and, accordingly, is not subject to Federal income tax. Because the Foundation is classified as a private foundation, it is subject to a Federal excise tax of 1 percent or 2 percent on investment income (its principal source of revenue) less investment expenses, and on net realized taxable gains on securities transactions. In accordance with Section 4940(e) of the Internal Revenue Code, for the years ended December 31, 2000 and 1999, the Foundation met the specified distribution requirements and, therefore, was subject to a Federal excise tax of 1 percent. Additionally, the Foundation's investments in certain private equity and real estate partnerships give rise to unrelated business income tax liabilities. Such tax liabilities for 2000 and 1999 are not significant to the accompanying financial statements.

Deferred Federal excise tax arises from temporary differences between financial and tax reporting related to investment income and the difference between the cost basis and market value of marketable securities.

#### 8. USE OF ESTIMATES

The preparation of financial statements in conformity with accounting principles generally accepted in the United States requires management to make estimates and assumptions that affect the amounts reported in the financial statements and accompanying notes. Management believes that the estimates utilized in preparing its financial statements are reasonable and prudent. Actual results could differ from those estimates.



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## TRUSTEES AND STAFF

As of Dec. 31, 2000, unless otherwise noted.

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#### **Sharon Curry**

*Executive Secretary*

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#### **An Trotter**

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*Vice President for Administration and  
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*Senior Executive Secretary*

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*Manager*

#### **Lindy Amos**

*Administrative Associate*

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*Assistant Director*

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*Executive Secretary*

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*Comptroller*

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*Senior Accountant*

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*Accountant*

#### **Lusine Seferian**

*Accountant*

#### **Justina Ulloa**

*Accountant*

#### **Anu Patel**

*Tax Accountant*

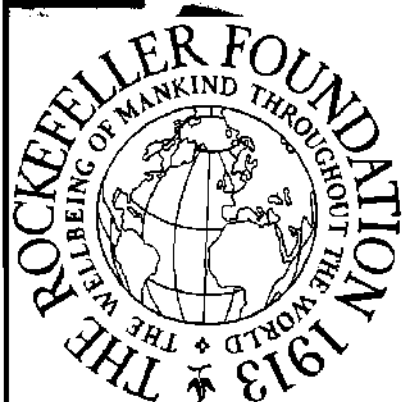
#### **Marcia Noureldin**

*Accountant*

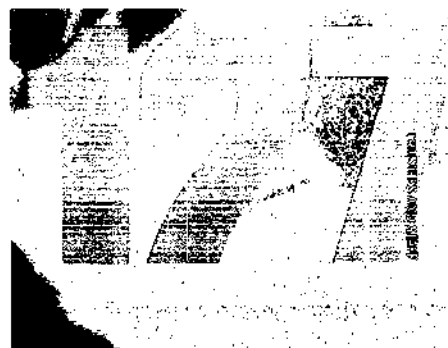
#### **Eun Joo Park**

*Intern*

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**Joseph Bookmyer**  
*Manager, Fellowships and Special Projects*

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*Director*

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*HR Assistant*

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*Project Leader*

**Paul Shusterman**  
*Project Leader*

**Lie Qiao Cao**  
*Database Programmer/Analyst*

**Rahwa Senay**  
*Database Programmer/Analyst*

**Alma Leathers**  
*Administrative Assistant*

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*Manager*

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*Senior Operations Assistant*

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**David Montes**  
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**Elizabeth Peña**  
*Records Analyst*

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**Michelle Pak**  
*Portfolio Manager*

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*Portfolio Assistant*

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#### AFRICA REGIONAL OFFICE—KENYA

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*Director for Africa Regional  
Program/Representative for Eastern  
Africa, Kenya*

**Katherine Namuddu**  
*Senior Scientist*

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*Senior Program Assistant*

**Jennifer Adair**  
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**Michelle Hayes**  
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*Representative for Southern Africa/  
Senior Scientist*

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*Senior Scientist*

**John Lynam**  
*Senior Scientist*

**John O'Toole**  
*Senior Scientist*

**Bharati Patel**  
*Senior Scientist*

**Ruben Puentes**  
*Senior Scientist*

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*Administrative Associate*

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*Executive Secretary*

**Maria Trujillo**  
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**Ram Manikkalingam**  
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**Shiv Someshwar**  
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**Joselito Manasan**  
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**Huma Mody**  
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*Manager of Operations*

**Salvatore LaSpada**  
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The Philanthropy Workshop*

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Next Generation Leadership Program*

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**Debra Graham**  
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*Associate Director*

**Anthony So**  
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**Henni Donnenfeld**  
*Executive Secretary*

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*Administrative Assistant*

**Diane Eckerle**  
*Administrative Assistant*

**Adama Kouyaté**  
*Administrative Assistant*

**Jonathan Soverow**  
*Administrative Assistant*

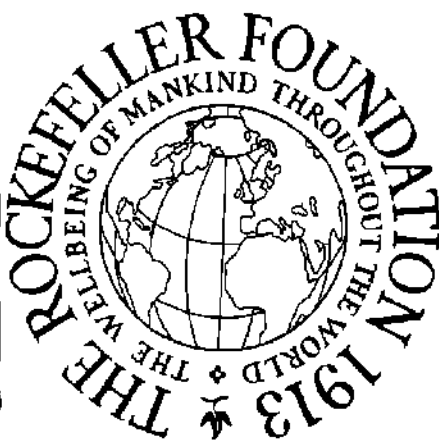
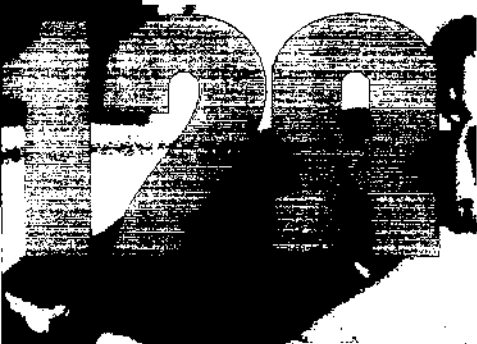
#### HUMAN CAPACITY BUILDING

**Joyce Moock**  
*Associate Vice President and Special  
Adviser for African Higher Education*

**David Court**  
*(On Assignment at World Bank)*

**Katherine Namuddu**  
*Senior Scientist*

**Jennifer Pawlowski**  
*Administrative Associate*



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Senior Officer for Program Learning and Assessment

**Ruben Puentes**  
Senior Officer for Program Learning and Liaison

**Gwendolyn Blackstone**  
Coordinator

**Roslyn Nedd**  
Administrative Associate

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Associate Director

**Evelyn Majidi**  
Senior Program Associate

**Laura Fishler**  
Research Associate

**Angela Doria**  
Program Secretary

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**Robert Bach**  
Deputy Director

**Dayna Cunningham**  
Associate Director

**Frederick Frelow**  
Associate Director

**Katherine McFate**  
Associate Director

**Elisabeth Biemann**  
Assistant Director

**Anne Judge**  
Program Associate

**Julia Utz**  
Administrative Associate

**Janet O'Connell**  
Executive Secretary

**Maureen Cullen**  
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**Michael Damian**  
Program Assistant

**Ricardo Martinez**  
Program Assistant

**Abigail Rao**  
Program Assistant

**Tanya Diaz**  
Program Secretary

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**Martha Jimenez**  
Assistant Director/Project Manager  
(California Works for Better Health)

**K. Deborah Whittle**  
Technical Assistance Project Associate

**Bridget Farrenkopf**  
Executive Assistant

**E. Cristin O'Keeffe**  
Program Secretary

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Manager in Residence

**Enrica Gilardoni**  
Bookkeeper/Payroll/Personnel Assistant

**Nadia Gilardoni**  
Conference Assistant

**Elena Ongania**  
Receptionist/Residents Assistant

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**Alfredo Cattaneo**

**Rosaria Cenato**

**Paola Ferradini**

**Cesare Ferrario**

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**Virginia Valli**

**Ezio Vicini**

**Marco Wenk**

**Rosa Zambetti**

#### AFRICA REGIONAL OFFICE—KENYA

**Cheikh Mbaké**  
Director for Africa Regional Program/  
Representative for Eastern Africa, Kenya

**Joseph DeVries**  
Senior Scientist  
(Food Security)

**John Lynam**  
Senior Scientist  
(Food Security)

**Florence Muli-Mulime**  
Senior Scientist  
(Health Equity)

**Katherine Namuddu**  
Senior Scientist  
(Human Capacity Building)

**Bharati Patel**  
Senior Scientist  
(Food Security)

**Agnes Ouattara**  
Office Manager

**Kenneth Amunga**  
Accountant

**Vuhya Amulyoto**  
Senior Program Assistant  
(Human Capacity Building)

**Johnson Bor**  
Communications Assistant

**Wanjiku Kiragu**  
Senior Program Assistant  
(Food Security)

**Nicholas Mutiso**  
Finance Manager

**Mulemia Maina**  
Program Assistant  
(Food Security)

**Rosemary Njoroge**  
Program Assistant  
(African Career Awards)

**Benson Obonyo**  
Research Assistant  
(Health Equity)

**Mumo Mwailu**  
Program Assistant  
(Health Equity)

**Pauline Kamau**  
Administrative Assistant

**Waziri Abdallah**  
General Office Staff

**Nancy Kedogo**  
General Office Staff

**Peter Muigai**  
General Office Staff

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Regional Representative for  
Southern Africa/  
Senior Scientist (Food Security)

**Regina Mparutsa**  
Assistant to the Regional Representative

**Zomhlaba Mbanje**  
Administrative Assistant

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Learning and Liaison/  
Senior Scientist (Food Security)

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(Food Security)

**Wannee Vardhanabhuti**  
Administrative Office Manager

**Palida Awasadapom**  
Program Assistant

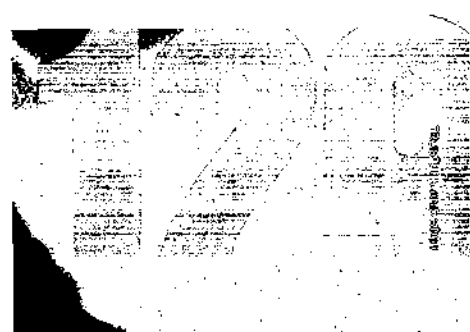
**Jaravee Iengphasuk**  
Communications Assistant

**Suchart Komol**  
Office Assistant

**Tongrem Wongchine**  
Services Assistant



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## CONTACTING THE FOUNDATION ABOUT GRANTS

**T**he Rockefeller Foundation works to enrich and sustain the lives and livelihoods of the poor and excluded throughout the world.

The Foundation has identified four themes, or subject areas of work—Creativity & Culture, Food Security, Health Equity, and Working Communities. A cross-theme of Global Inclusion supports, promotes and supplements the work of the four themes. In addition, the Foundation funds a number of programs that are new or exploratory in nature. Foundation programming is managed from the Foundation's New York City headquarters, and from regional offices in Bangkok, Thailand; Nairobi, Kenya; Harare, Zimbabwe; Mexico City; and San Francisco. Program goals are included in the strategy section beginning on page 4 of this report.

The Foundation is a proactive grantmaker—that is, the officers and staff seek out opportunities that will advance the Foundation's long-term goals, rather than reacting to unsolicited proposals. Foundation officers receive more than 12,000 proposals each year, 75 percent of which cannot be considered because their purposes fall outside the Foundation's program guidelines.

Organizations interested in Foundation grantmaking should send a letter of inquiry addressed to the director of the subject area of interest, Rockefeller Foundation, 420 Fifth Avenue, New York, N.Y. 10018, or, inquiries can be sent online as follows: Creativity & Culture—[creativity@rockfound.org](mailto:creativity@rockfound.org), Food Security—[food@rockfound.org](mailto:food@rockfound.org), Health Equity—[health@rockfound.org](mailto:health@rockfound.org), Working Communities—[work@rockfound.org](mailto:work@rockfound.org), or Global Inclusion—[global@rockfound.org](mailto:global@rockfound.org). An inquiry should briefly describe the project and its purpose (no attachments, please).

*It is important to note that, as a matter of policy, the Foundation does not give or lend money for personal aid to individuals, support attempts to influence legislation, or, except in rare cases, provide general institutional support, fund endowments or contribute to building and operating funds.*

## PROGRAM OBJECTIVES (in alphabetical order)

### THEME: CREATIVITY & CULTURE

**Resilient and Creative Communities:** Preserving and renewing cultural heritages to enhance the well-being of societies and equip the poor and excluded to relate to, and gain benefits from, the new global community.

Grantmaking is done through Recovering and Reinventing Cultures Through Museums, a competitive program supporting exhibitions that broaden the definition of American art and chart the cultural contributions of non-Western populations. Support is also given to ongoing research initiatives on the cultural indicators of social health.

The PACT program (Partnerships Affirming Community Transformation)—community partnerships that use the arts and humanities to bridge difference and effect social change, is under review.

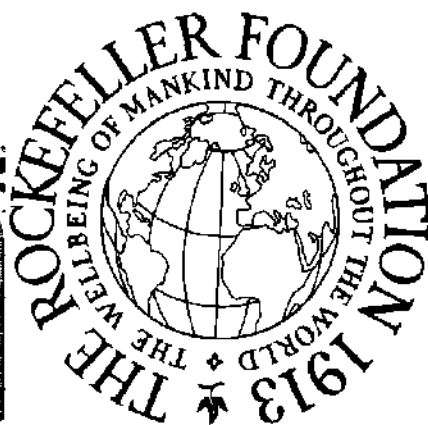
Explorations are under way to preserve and strengthen threatened traditional art forms in developing countries; to help communities recover and interpret cultural materials, such as literary or religious texts and oral histories; and to examine the role that memory, history and imagination play in helping communities withstand and adapt to the stresses of poverty, exclusion and violence. These program explorations are not open to direct application.

**Knowledge and Freedom in the Public Sphere:** Artists and humanists, through social critique, play a key role in creating democratic, inclusive civil societies. Translating religious ideas into the language of public discourse and brokering conversations between religious communities can help defuse tensions that deeply touch people's lives.

Grantmaking is done through initiatives that fortify civil society through cultural institutions in Africa and the Muslim world, and mobilize the assets of religions to build resilient communities. Support for scholarship and research networks is provided through a competitive program of Resident Humanities Fellowships hosted by humanities centers in North and South America. Additional ways of bringing humanities perspectives to bear on the Foundation's new program themes are under exploration.

**Creativity and Innovation in a Global Age:** The voices and visions of artists and humanists can help us envision reality and clarify our understanding

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