

## **1999 ANNUAL REPORT**

### **ABOUT THE FOUNDATION**

The Rockefeller Foundation is a philanthropic organization endowed by John D. Rockefeller and chartered in 1913 for the well-being of people throughout the world. It is one of America's oldest private foundations and one of the few with strong international interests. From its beginning, the Foundation has sought to identify, and address at their source, the causes of human suffering and need.

The Foundation's grantmaking is now organized around four themes and one cross-theme listed on the following page. In addition, the Foundation has identified a number of special programs that it will continue or pursue for the first time. Together these themes constitute the Foundation's commitment to enrich and sustain the lives and livelihoods of poor and excluded people throughout the world.

The Foundation maintains the Bellagio Study and Conference Center in northern Italy for conferences of international scope and for residencies for artists, scholars and policymakers, and other professionals from around the world.

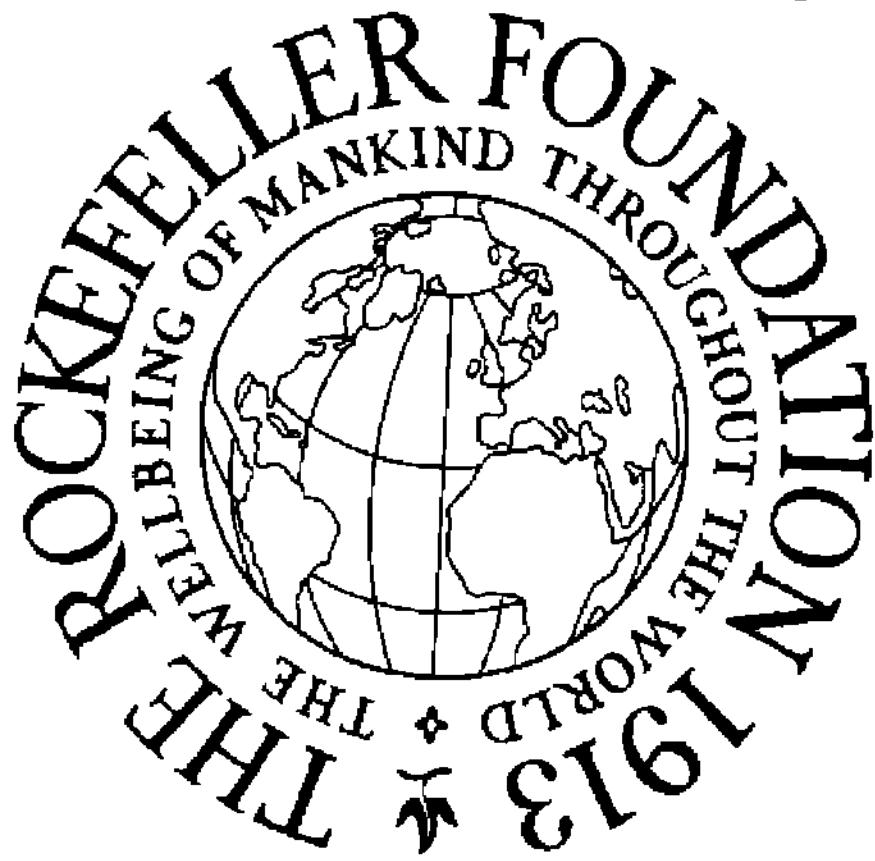
The Foundation is administered largely from its New York City headquarters. But more program work will now originate from its offices in Bangkok, Nairobi, Mexico City, Harare and San Francisco. The work of the these offices will be along the lines of the four themes and cross-theme. An independent board of trustees, which meets four times a year, sets program guidelines and financial policy, and approves all appropriations.

## | CORE PROGRAM GOALS |

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The following are the goals of the themes and cross-theme around which Foundation work will be organized in 2000.

- > **Food Security:** To help achieve food security for all through the generation of agricultural policies, institutions and innovations that can provide sustainable livelihoods for the rural poor in regions of developing countries bypassed by the Green Revolution.
- > **Creativity & Culture:** To preserve and renew the cultural heritage of peoples excluded from the benefits of a globalizing world, to promote the free flow of ideas in the public sphere, and to support diverse, creative expression in the arts and humanities.
- > **Working Communities:** To transform poor urban neighborhoods in the United States into working communities — safe, healthy and effective neighborhoods — by increasing employment rates, improving urban schools so that all children get a quality education, and enhancing participation of the poor and excluded in a democratic society.
- > **Health Equity:** To advance global health equity by pursuing the reduction of avoidable and unfair differences in the health status of populations.
- > **Global Inclusion:** To ensure that globalization processes are more democratic and equitable and benefit the most vulnerable and disenfranchised populations, cultures and communities around the world.



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## | THE PRESIDENT'S LETTER |

**W**hen John D. Rockefeller created the Foundation in 1913 he gave us a mission to "improve the well-being of mankind throughout the world." It was a farsighted and generous decision, giving future generations the opportunity to re-craft their strategies and programs to meet the pressing problems of the day. This is an opportunity we have seized — a reflection of my appointment as president but, more important, a response to the rapid and far-reaching changes we are witnessing in the world around us.

Over the past year we have undertaken a thorough and wide-ranging review of the Foundation, re-examining our values and competencies, and deciding how they should be applied to grantmaking at the beginning of the 21st century.

Part of our goal is to become a truly global foundation. We have long had separate international and domestic sections; but the processes of globalization mean it is sensible and timely for the Foundation to drop these distinctions and to seek a more integrated global approach to our grantmaking. By this we do not mean that we will work everywhere; rather, it implies global awareness, globally shared values, a capacity to learn and contribute in specific places which inform global learning, and, conversely, harnessing global policies, knowledge and resources to advance conditions in specific places.

At the same time we have decided to focus our new strategies on "improving the lives and livelihoods of poor and excluded people throughout the world." The poor, both in the United States and around the globe, tend to suffer disproportionately from ill health, inadequate education and housing, and from environmental degradation. Their life expectancies and the quality of their lives are reduced by

factors that are mostly understood and are preventable. Society, either consciously or unconsciously, creates barriers that prevent many people from gaining access to education, health care, jobs or promotion, and may, in some circumstances, deny them basic civil rights. Most important, we recognize that the world as a whole cannot advance if the poor are left out of the process.

Our focus on improving people's lives and livelihoods reflects our recognition of the importance of not trying to

deal with problems in isolation. Food, health, jobs and culture are intertwined in people's lives. It is through individual lives and livelihoods that the forces of globalization work. This is where the global intersects with the local, and where we can best understand how to bring about beneficial change.

We have also decided that for us to become a foundation that is global yet works on the ground, we need better focus and greater integration. Accordingly we have chosen four major program themes — Creativity & Culture, Food Security, Health Equity and Working Communities. These were selected because they build on current strengths and capitalize upon our institutional legacy. We have also designed a cross-theme — Global Inclusion — which is intended to bring integration and synergy to the themes.

In order to ground the themes and the cross-theme, we have begun to invest in a selected set of regional activities — in Africa, Asia and Latin America, and the West Coast of the United States. This will serve the twin purposes of harnessing global knowledge and resources to solve on-the-ground problems and to inform global perspectives with the rich diversity of local conditions.

As part of our review we re-examined our history. We were struck by the many instances of how the Foundation and its grantees, almost single-handedly, were able to bring about major change for the good in the world. The eradication of hookworm disease, the creation of modern public-health education and the Green Revolution (following its beginnings in Mexico in the 1940s, a joint venture with the Ford Foundation) are some well-known examples.



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The question we asked ourselves was: Could we now achieve future successes in a similar fashion? The answer is almost certainly, no. Our endowment size, and hence our annual expenditures, while still among the top 10 of American foundations, is relatively small compared to some of the new foundations being established. In the United States, the unprecedented accumulation of wealth is spawning new foundations experimenting with fresh methods of philanthropic practice. It is also increasingly apparent that many of the global problems that we face today — feeding the world; controlling HIV/AIDS, malaria and tuberculosis; creating full employment; educating all for citizenship in plural societies; and stimulating creativity and cultural development — are highly complex and not susceptible to easy solutions.

We are convinced that the only way we can continue to make a significant difference is through partnership. This means collaborating with other like-minded foundations, as we have done now for many years. But, more important, it means playing a partnership role with the other key actors in our society — government, the private sector and the nongovernmental organizations (NGOs).

At various times in recent history, people have assumed that all their needs could be met either by government through the welfare state, by the private sector working through the market or by the endeavors of a multitude of NGOs. We know now, even if we did not before, that each of these actors is constrained in ways that prevent them achieving their theoretically possible goals. The answer lies in seeing them as partners complementing each other in pursuit of a common set of goals. And I believe that the foundation community, because of its experience, independence and flexibility, has a unique role in stimulating and facilitating such partnerships.

It is a process that can occur at all levels, from the local community to the sphere of international relations. For example, local community foundations can help bring together local government, business and NGOs to work on employment generation or school reform. Or large international assistance organizations can join with private-sector interests in bringing needed medicines and vaccines to markets that are in need of them, but which otherwise might be ignored.

We can point to many successes that the Rockefeller Foundation already has had in creating such partnerships to address issues both in the United States and in other countries. They not only demonstrate the ability of such arrangements to multiply many times over the original investment, but show how active collaboration involving shared goals and complementary resources, skills and competencies can produce collective results that transcend those which the individual players could achieve on their own.

It is our hopeful expectation that our new program structure, global perspective and willingness to join forces with other interested players will allow us not only to continue work which has been successful, but to open doors of opportunity for finding solutions to the multidimensional issues that millions of people around the world confront daily.

As you will see in the following pages, the stories of two families — one in the United States and one in Zimbabwe — demand that we mobilize our best efforts at achieving success. I firmly believe that if we are to make globalization work for everyone, and not just the few, the key lies in partnership, cooperation and inclusion.



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*Gordon Conway*  
Gordon Conway  
March 27, 2000

## **MISSION AND VISION**

Over the course of the past year the Foundation affirmed John D. Rockefeller's original mandate "to promote the well-being of mankind throughout the world." Within this mandate, however, it was decided that the mission for the 21st century should be: The Rockefeller Foundation is a knowledge-based, global foundation with a commitment to enrich and sustain the lives and livelihoods of poor and excluded people throughout the world.

This mission makes four goals explicit:

- First, our primary focus is on people who are poor and excluded. Poor people, in the United States and elsewhere, tend to suffer disproportionately from ill health, inadequate education and housing, and from environmental degradation. Their life expectancies and the quality of their lives are reduced by factors that are understood and are preventable. Many are excluded because of their race or ethnicity, their gender or their sexual orientation, and their religious beliefs or their perceived disabilities. Society, either consciously or unconsciously, creates barriers that prevent people from gaining access to education, health care, jobs or promotion, and may even, in some circumstances, denies them basic civil rights. Most important, we recognize that the advancement of humanity rests ultimately on the inclusion of the poor in the process.
- Second, we are now a truly global foundation. We have long had separate international and domestic sections. But globalization means it is sensible and timely to drop these distinctions and seek a more integrated global approach to our grantmaking. Being a global foundation does not mean we will work everywhere. Instead, it implies global awareness and global values. It means having a capacity to learn and contribute in specific places that inform global learning. And, conversely, it means harnessing global policies, knowledge and resources to improve conditions in specific places.
- Third, we aim to improve people's lives and livelihoods. This focus reveals our recognition that we must not deal with problems in isolation. Food, health, jobs and culture are all intertwined in people's lives. It is through individual lives and livelihoods that the forces of globalization work. And it is on the individual level that the global intersects with the local, and where we can best understand how to bring about beneficial change.
- Fourth, our work relies heavily on knowledge. We base our programs on science, technology, research and analysis. We face difficult and complex challenges. We will be judged by how effective Foundation grantees are at overcoming formidable constraints and finding solutions to difficult problems. This goal requires us to harness the best talent and the most appropriate knowledge.

Our mission also implies that, in designing our new programs, we must start with people who are poor and excluded: Who and where are they? What are

their needs and how do they express them? How can we help make significant and sustainable improvements in their lives and livelihoods? To succeed, we must design new criteria, new strategies and new tactics. Programs will have to measure success by the degree to which they have real impact on the quality of life of people who are poor and excluded.

We believe our methods are as important as the ends themselves. We believe that equity is possible only when poor people can themselves articulate their priorities and have the power to hold decision makers accountable for their actions. Therefore, our programs will work on the principle of expanding participation and of respecting and encouraging the voices of the people we seek to assist. Working with this principle requires us to be humble. We must listen as well as lead. And we must commit to engage diverse groups and perspectives in our strategies. Intelligence, compassion and service should be our touchstones.

Our core values are equity and fairness, diversity, voice and participation, knowledge and creativity, humility and respect. These values help define our institution, the people who work at the Foundation and how we relate to each other in pursuit of common purposes. Our strong professional staff possesses the capacity to convene policymakers, community leaders and scientists, to highlight neglected issues, to test and disseminate innovations, and to mobilize human and financial resources for solving tough problems. These competencies define our special niche among the world's philanthropic organizations.

In essence, we want to develop a knowledge-based, global foundation that will be as pioneering in the 21st century as John D. Rockefeller was in establishing the Foundation at the beginning of the 20th century. We believe that, in the future, philanthropy should nurture innovative and entrepreneurial staff deploying expertise in exciting ways as agents of social change.

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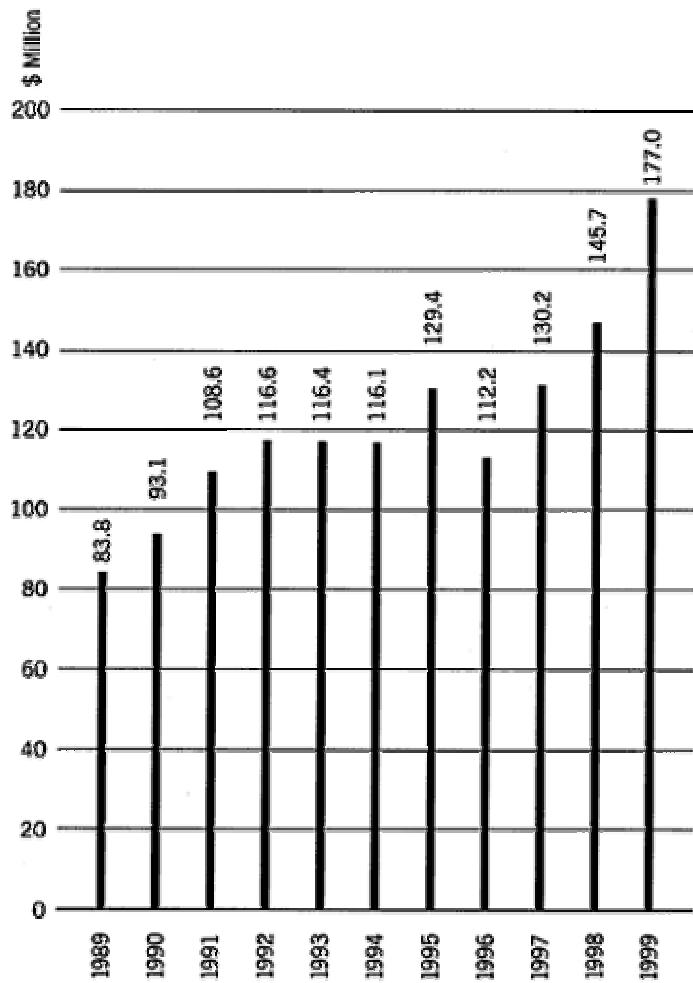
**Alvaro Umaña**

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## FINANCIAL REPORT

The Foundation's endowment was \$3.8 billion at year-end 1999, a beneficiary of double-digit investment returns for the fifth straight year. The Foundation's return was 21.5 percent in 1999; investment returns for the past five years were 19.1 percent per year. From 1995 through 1999, the endowment has increased by \$1.7 billion, after providing for record-level grantmaking and related expenditures of \$700 million during this five-year period. The chart below summarizes Foundation spending over the past 10 years:

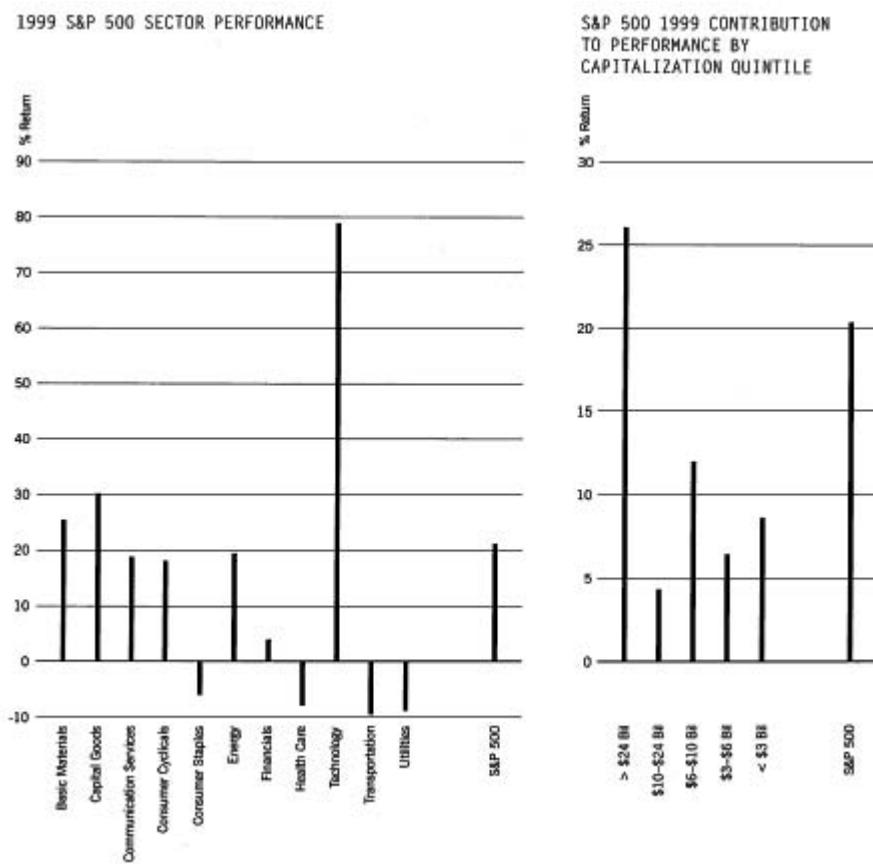
SPENDING (LAST 10 YEARS)



The Rockefeller Foundation was created in 1913 and endowed in several installments totaling about \$250 million. Since inception, the Foundation's grantmaking has totaled more than \$11 billion in 1999 dollars. During the 1960s and 1970s many endowments, including the Rockefeller Foundation's, experienced substantial declines in real value as a result of high inflation and

low investment returns. These trends have been reversed in the 1980s and 1990s, a sustained period of unprecedented returns in the financial markets. As of year-end 1999, the endowment after adjusting for inflation is only 19 percent below its inflation-adjusted peak of \$4.6 billion in 1964.

We will remember 1999 as the year that the Internet and "dot-coms" ignited the imagination of technology investors everywhere and led to extraordinary gains for technology stocks both in the United States and in international markets. In the United States, the 21 percent gains registered by the broad market averages masked widespread declines in many stocks, as well as persistent volatility and numerous short-lived shifts in sector leadership. Large technology stocks were strong performers, but many sectors of the S&P 500 registered modest gains or losses. Technology stocks, which now represent 29 percent of the S&P 500, returned 78.8 percent and drove the overall gain in the S&P 500. The following charts illustrate the dominance of technology and large capitalization stocks in 1999.



In contrast to equities, the U.S. fixed-income market had its second worst year ever, with leading bond indices at or just below a zero return for the year. For the second year in a row, many sectors of the bond markets experienced very low liquidity.

It was also a remarkable year in most international equities markets. After nearly a decade of equity market declines, the Japanese stock market finally

had a standout year, which was coupled with significant yen appreciation. Returns to the U.S.-dollar investor were 61.5 percent, and international capital flows into Japan were at record levels. Strong returns in most European markets were, for U.S. investors, eroded by the weakness of the euro currency. Sharp rebounds in most emerging markets, well beyond the expectations even of most optimists, produced an overall return of 63.7 percent for the emerging-markets index, making this sector the leading performer in world markets.

The last two years have been particularly challenging for fiduciaries responsible for managing pools of capital with long time horizons, as some of the most basic and cherished principles and assumptions have seemed irrelevant. The benefits of diversification, especially into bonds and some alternative asset classes, are more difficult to appreciate after the five-year unprecedented performance of the U.S. equity market. For equity investors, disciplined attention to the fundamentals and risk-controlled investing processes seemed to have a negative correlation with results. Momentum investing, especially for technology stocks, captivated equity markets around the world, including the emerging markets in which telecom stocks were a significant driver of returns.

Traditional economic expectations have been defied as the United States enters the ninth year of a sustained economic expansion in 2000. In 1999, even an increase in interest rates did not have a negative impact on stock prices as measured by the broad indices. Inflation remained well below long-term averages, and unemployment fell to new lows.

The challenge for institutions like the Rockefeller Foundation is to retain a long-term investment perspective while at the same time remaining attentive to changes that could have more permanent implications for portfolio management. We believe that the Internet and the accompanying wave of technology changes under way will profoundly alter the structure of many businesses, including the investment and financial services industries. This does not mean, however, that current prices for technology securities are sustainable. The questions that will most affect institutional investors include:

- What will be the long-term impact of technology on businesses in various sectors? How should this affect their relative valuations?
- Will the increased speed and widespread availability of information alter market behavior in permanent ways, creating new patterns of reaction, correlation and volatility?
- Will current trading systems--e.g., stock exchanges--be replaced by electronic trading practices as institutions follow the path of disintermediation taken by individuals?
- How will the increasing shift of funds and investment direction to individuals from institutions (a major trend in the United States but one which is beginning in most other developed countries as well) affect cash flows and global markets?
- Should "value" investing, long considered a sound long-term approach, be recast? Should new valuation methodologies be developed to reflect changing economic realities and business models?

- Do changes in market mechanisms, regulations and financial instruments create new systemic risks? If so, how can we as fiduciaries protect our institutional portfolios?
- As consolidation continues to sweep through the money-management industry itself, and if technological change implies disintermediation in this industry as it is bringing to many others, how should institutional fiduciaries re-evaluate their relationships with their money managers, custodians and other key service providers?

In November 1999 the Foundation sponsored, at its Bellagio Study and Conference Center, a conference for a group of global institutional investors entitled, "Global Investing: The Reality and the Promise," during which participants considered why trends toward global investing have been so comparatively slow. The answers seem to lie in continued resistance to investing outside of "home countries" by plan sponsors and their boards, to institutional inertia on the part of the money management and consulting communities, and to human tendencies to assess the risk of global strategies in ways that don't fit the rational models of traditional finance. Some explanation is found in the developing field of behavioral finance, which recognizes that actual investment behavior often differs, for many sound reasons, from that expected of the so-called "rational investor" on whom classical finance theory is based.

In providing oversight of the endowment, the key financial objectives of the Foundation's board of trustees are maintaining the purchasing power of the endowment over the long term, after inflation and grantmaking, and maximizing funds available for current program needs and administrative support. These conflicting goals are balanced through policies on the spending rate and on the asset allocation of the investment portfolio. The Foundation's long-term target for annual spending is 5.5 percent of the market value of the endowment.

The Finance Committee periodically reviews the portfolio's commitment to each asset class and establishes a policy portfolio with target allocations. The Foundation rebalances to policy targets as markets move but does not make frequent tactical shifts in asset allocation. The long-term asset allocation targets are U.S. equity 35 percent, international equity 22 percent, bonds 22 percent, real estate 10 percent, private equity 10 percent and cash reserves 1 percent.

The Foundation's investments staff develops overall strategy, recommends selection of investment managers, and oversees their performance and adherence to guidelines; researches new investment opportunities and determines their feasibility for the Foundation; and monitors and controls portfolio risks. A few fundamental principles underlie the investment program. Asset allocation is an important focus for the trustees and the investments staff. Diversification is essential to portfolio design, but new approaches are added only if they are fully understood, serve a clear purpose and can be implemented in meaningful amounts. Portfolio complexity adds direct costs such as trading, fees and illiquidity, and may also have indirect costs in the form of unidentified risks and unintended bets.

In selecting outside managers we seek firms that, in addition to strong track records, have the people, management structure and disciplined process to generate superior future results. While quantitative tools are essential for organizing data and for portfolio analysis, we believe that fundamental research and judgment will always be necessary in a world of rapidly changing and highly globalized capital markets. We recognize that investment expenses have a substantial impact on long-term results, and we consider cost control an important component of effective portfolio oversight.

The U.S. equity portfolio currently has approximately 30 percent invested in an S&P 500 index fund, and the remainder is allocated among seven active managers. This asset class is benchmarked against the Russell 3000 index and is designed to roughly approximate index allocations to small, medium and large capitalization stocks. The portfolio is somewhat tilted to value-oriented managers who are expected to provide more downside protection in difficult markets than is usual for growth managers. Disciplined, focused value managers--and the Foundation has several--had particularly poor performance in the last two years, when a handful of stocks represented virtually all of the gain in the indices.

The U.S. bond portfolio is managed by five advisers. In addition to U.S. Treasury and agency securities, the portfolio includes mortgages, corporate bonds, asset-backed securities, high-yield bonds and international bonds, with managers who have expertise in these areas.

The Foundation's international equity portfolio has a small index fund component, which is maintained for purposes of portfolio rebalancing, plus six active managers. Currency risk is hedged at a 50 percent level by specialist managers, who manage only currency positions and do not select the underlying equity securities. Emerging markets can represent up to 20 percent of the total international equities portfolio, and the Foundation has two managers who specialize in these markets.

In addition to marketable securities, the Foundation makes investments in private equity and real estate through funds run by experienced teams in these sectors. The inefficiency and illiquidity of these areas offer the long-term institutional investor greater opportunity to benefit from the value added by experienced principals in selecting, structuring and managing investments. Our strategy is to build relationships with top-tier firms with whom we can invest in a series of funds over time and to structure partnerships that align our interests with those of our partners. Investments in private equity are made by committing to funds managed by firms with proven expertise in either venture capital or leveraged buyouts. During 1998 and 1999 we committed funds to a number of new and existing private equity relationships during a period of record-level fund raising in this sector. We have also enjoyed some spectacular returns on investments in prior funds, which allowed the Foundation to benefit directly from the boom in technology stocks and initial public offerings.

## **INFORMATION FOR APPLICANTS**

### **Program Theme Guidelines**

The Rockefeller Foundation is a knowledge-based, global foundation with a commitment to enrich and sustain the lives and livelihoods of the poor and excluded throughout the world.

The Foundation has identified four thematic lines of work — Food Security, Health Equity, Creativity & Culture and Working Communities. A cross-theme of Global Inclusion will support, promote and supplement the work of the themes. In addition, the Foundation has identified a number of special programs that it will continue or pursue for the first time. Much of the work will continue to be managed from the Foundation's New York City headquarters. But more will now originate from its regional offices in Bangkok, Nairobi, Mexico City, Harare and San Francisco. The work of the regional offices will be along the lines of the four themes and cross-theme.

The Foundation is a proactive grantmaker — that is, the officers and staff seek out opportunities that will advance the Foundation's long-term goals, rather than reacting to unsolicited proposals. Foundation officers receive more than 12,000 proposals each year, 75 percent of which cannot be considered because their purposes fall outside the Foundation program guidelines.

Many of the Foundation's new programs are under development and are not yet accepting proposals. It is therefore recommended that before a grant proposal is submitted, potential applicants review these guidelines and send a brief letter of inquiry to the appropriate theme office to determine whether the Foundation's present programs permit consideration of the request. An inquiry should briefly describe the project and its purpose (no attachments, please). It is important to note that as a matter of policy the Foundation does not give or lend money for personal aid to individuals, support attempts to influence legislation, or, except in rare cases, provide general institutional support, fund endowments or contribute to building and operating funds.

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### **Program Themes**

#### **FOOD SECURITY**

**GOAL:** To help achieve food security for all through the generation of agricultural policies, institutions and innovations that can provide sustainable livelihoods for the rural poor in regions of developing countries bypassed by the Green Revolution.

**Enabling Farmer Participation:** Improvements in the food security of poor farm families must be based on effective and sustainable methods for engaging them directly in the articulation of their needs, and in the analysis, design and implementation of agricultural innovations.

Grantmaking will focus on farmer participation in setting the priorities for and conducting plant breeding, developing seed production and distribution systems, and improving agronomic practices.

**Applying Science and Technology:** Key constraints to the food security of the rural poor in bypassed areas can be effectively addressed through coordinated partnerships of local scientists and farmers with cutting-edge biotechnology, plant-breeding, agroecology and policy scientists.

Grantmaking will focus on accelerating the discovery, development and application of new genetic and agroecological strategies for enhancing yield stability, producing more resilient crops, improving human nutrition and preventing environmental degradation.

**Strengthening Policies and Institutions:** Appropriate training and institution building can strengthen local organizations to access and move the key policy, institutional and technological levers that lead to increased incomes and improved food security.

Grantmaking will foster national development of policies that support resilient and profitable smallholder agriculture, and strengthen institutions that integrate the scientific and participatory approaches to innovation development.

The work of the Food Security theme will be global in scope, but will have special emphasis on sub-Saharan Africa and south and Southeast Asia. Training of national scientists from these regions can be included in research grants and postdoctoral fellowships may be awarded to candidates nominated by grantee institutions.

For inquiries or applications, contact: [food@rockfound.org](mailto:food@rockfound.org); fax: 212.852.8442

## **CREATIVITY & CULTURE**

**GOAL:** To preserve and renew the cultural heritage of people who have been excluded from the benefits of a globalizing world, to promote the free flow of ideas in the public sphere, and to support diverse creative expression in the arts and humanities.

**Resilient and Creative Communities:** Preserving traditional forms of expression or restoring damaged or absent cultural expressions provides a means by which the poor and excluded can better defend their place in society. The cultural sector can strengthen communities through understanding and articulating the meaning of arts and culture and their importance to well-being.

Grantmaking is done through the competitive programs "Recovering and Reinventing Cultures Through Museums," supporting exhibitions that broaden the definition of American art and chart the cultural contributions of non-Western populations, and PACT (Partnerships Affirming Community Transformation), which supports community partnerships that use the arts and humanities to bridge difference and

effect social change. Support is also given to ongoing research initiatives on the cultural indicators of social health.

Explorations are under way to preserve and strengthen threatened traditional art forms in developing countries; to help communities recover and interpret cultural materials such as literary or religious texts and oral histories; and to examine the role that memory, history and imagination play in helping communities withstand and adapt to the stresses of poverty, exclusion and violence. These program explorations are not open to direct application.

**Knowledge and Freedom in the Public Sphere:** Diversity of creative expression, the creation of new knowledge through humanities scholarship, and the free flow of ideas and information are needed for societies to thrive amidst the challenges of globalization. Strengthening cultural institutions that provide venues for social critique enlarges opportunities for voices from all communities to participate in society.

Grantmaking is done through initiatives fortifying civil society through cultural institutions in Africa and the Muslim world, and mobilizing the assets of religions to build resilient communities. Support for scholarship and research networks is provided through a competitive program of Resident Humanities Fellowships hosted by humanities centers in North and South America. Additional ways of bringing humanities perspectives to bear on the Foundation's new program themes are under exploration.

**Creativity and Innovation in a Global Age:** The arts and humanities are a source of conceptual invention and offer a lens through which we can recall the past, perceive the present and envision the future. Through experimentation with the newest forms of technology, the visions of artists can be integrated with the creativity of technologists, potentially resulting in new products and expressions for the information age.

Grantmaking supports the creation of new work in the performing arts (dance, music and theater) through application to the Multi-Arts Production (MAP) fund. Support for independent media artists in the United States and Mexico working in documentary, video, dramatic narrative film and experimental digital design is provided through Film/Video/Multimedia fellowships awarded by nomination-not by direct application-and administered by National Video Resources.

Explorations are under way to create environments that encourage new media collaborations between the artistic and technological communities, and to engage humanists and social scientists to probe the meaning of the cultural expression and new forms of social organization enabled by the Internet. These explorations are not open to direct application.

For inquiries or applications, contact: [creativity@rockfound.org](mailto:creativity@rockfound.org); fax: 212.852.8438

## **HEALTH EQUITY**

*GOAL:* To advance global health equity by pursuing the reduction of avoidable and unfair differences in the health status of populations.

**Harnessing the New Sciences:** Advocacy, capacity building and support for specific product initiatives can harness the new sciences to counter health product market failures.

Grantmaking will support the acceleration of product development for neglected diseases afflicting the poor, including vaccines for children's diseases and for AIDS, and medicines for malaria and tuberculosis.

Support will be provided to continue contraceptive development projects already under way (please see section on Population and the Cairo Agenda, page 6).

**Resourcing Public Health:** The generation, sharing and use of knowledge-based resources can strengthen the capacity of local health systems to improve the health of the poor and redress inequities.

Grantmaking will support activities that increase access to knowledge, develop human resources and promote excellence in research through partnership, cooperation and participation.

**Strengthening Global Leadership:** Diminishing the burden of emerging health threats that fall disproportionately on the poor requires new approaches to health equity and the support of individuals and institutions responding to these challenges.

Grantmaking will focus on strengthening health equity analysis, identifying best practices and tools for equitable health sector reform, and promoting greater institutional responsiveness and accountability to the concerns of the poor in the context of new and emerging health problems.

The work of the Health Equity theme will be global in focus, but will have special emphasis on sub-Saharan Africa and south and Southeast Asia. Health Equity does not support fellowships or scholarships for higher education. For inquiries contact: [health@rockfound.org](mailto:health@rockfound.org); fax: 212.852.8279

## **WORKING COMMUNITIES**

*GOAL:* To transform poor urban neighborhoods into working communities — safe, healthy and effective neighborhoods — by increasing employment rates, improving all urban schools, and enhancing participation of people who are poor and excluded in a democratic society.

**Setting a More Equitable Public Agenda:** An informed, deliberative, and participatory public agenda to reduce unfair and unacceptable disparities in economic and employment opportunities and educational outcomes in poor urban communities is an essential component of a national agenda to achieve social justice. Confronting poverty, and racial and ethnic exclusion evident in poor urban communities offers the United States a window into broader issues

of fairness and equity in a nation where no one racial or ethnic group will be a majority, which has a growing gap between the rich and poor, and where persistent poverty lives in the midst of the richest economy in the world.

Grantmaking will fund research on (1) the consequences of economic, technological and demographic trends on the structure of work, and their impact on the least skilled, through a request for proposal process sponsored with the Russell Sage Foundation, and (2) the structural components of racial and ethnic exclusion and their implications for democracy. Policy analysis and advocacy grantmaking is limited to longstanding grantees of the Foundation and projects that are invited to apply as part of Foundation initiatives to increase employment opportunities in specified states and cities.

**Building Competent Organizations:** To achieve and sustain improvements over time, poor communities must have strong, competent public and private community organizations that (1) represent their interests and perspectives, (2) have the capacity to design and implement solutions that improve educational outcomes and increase employment opportunities, and (3) can adapt and innovate when changing circumstances demand it.

Grantmaking is made through national initiatives, such as the National Community Development Initiative, which supports community development corporations, or by invitation to participate in city-specific initiatives to increase the scale and impact of reform in poor school districts, or work-force development providers serving very poor communities.

**Testing Innovations:** Practical, proven models that show what works, at what costs and with what benefits are necessary to build public confidence in more equitable policy proposals to improve educational and employment outcomes in poor urban communities and to promote racial and ethnic inclusion in ways that encourage voice and participation of community residents.

Grantmaking will support well-designed and rigorously evaluated models to improve: (1) employment access and advancement opportunities, (2) the quality of education for poor and limited English-speaking children, and (3) innovative solutions that increase voice and participation of the poor and excluded as an antidote to racial and ethnic exclusion. Projects are funded by invitation only.

For inquiries, contact: [work@rockfound.org](mailto:work@rockfound.org); fax: 212.852.8273

## **GLOBAL INCLUSION**

**GOAL:** To ensure that globalization processes are more democratic and equitable and benefit the most vulnerable, disenfranchised populations, cultures and communities around the world.

**Global Dialogue on Plant Biotechnology:** The Rockefeller Foundation has a long history of involvement in plant biotechnology initiatives that promise potential benefits for the poor. The Foundation has also been deeply involved in recent debate about the appropriate applications and regulation of this technology.

Grantmaking will support activities to encourage a more open and constructive atmosphere in current debates about plant biotechnology, and to enhance the participation of developing-country stakeholders in debate and policymaking to ensure that issues of long-term global food security are considered and addressed.

**Environment, Population and Livelihoods:** To benefit the poor and excluded, ground-level activities on environment, population and livelihoods must be integrated and more closely linked with the global processes and institutions that affect them.

Grantmaking will support research and activities in three areas: (1) equitable systems for managing water resources by rural households and communities in eastern and southern Africa and south India, and community-based environmental surveillance in Asia; (2) the advancement of the Cairo Agenda to promote a more equitable outcome with regard to gender in the processes and institutions associated with globalization (please see section on Population and the Cairo Agenda, page 6); and (3) work on advancing the theory and practice of sustainable livelihoods in the context of globalization.

## **EXPLORATIONS ON SPECIFIC GLOBAL ISSUES**

**Intellectual Property Rights:** This exploration will include activities to promote informed discussion and greater transparency with regard to intellectual property issues. It will also include technical assistance to developing countries on intellectual-property issues, and activities to support and build the knowledge based on intellectual-property issues (such as the impact of various property regimes on the livelihoods, customs and social practices of the poor).

**Conflict and Security:** This exploration will analyze links between efforts to mitigate conflict and rebuild community, and regional and international efforts to include aspects of human rights and human security in mainstream policy processes on traditional security issues.

**Global Economic Integration:** This exploration will examine ways to promote a broader set of stakeholder voices, research forums and perspectives in international processes and institutions that influence the shape of globalization and its impact on the economic security of the poor and excluded. *Global Inclusion will review a short letter of inquiry describing the project for which funding is sought. Funds — especially for explorations — are limited and will be primarily directed by Foundation staff. Large institutional grants will not be considered. Global Inclusion does not support educational fellowships.*

For inquiries contact: [global@rockfound.org](mailto:global@rockfound.org); fax: 212.852.8461

## **Special Programs**

### **COMMUNICATION FOR SOCIAL CHANGE**

**GOAL:** To increase the capacity of groups representing the interests of the poor and excluded to practice effective development communication in ways that unleash previously unheard voices and are empowering, sustainable and measurable.

**Refining the Field:** Fostering the most effective, innovative practices of communication for development in the public and nonprofit arenas is essential to all Foundation program work.

Grantmaking will focus on developing new methods for joint funding, analysis and dissemination of communication research that affects the work of the Foundation and its grantees.

**Testing and Evaluation:** Testing communication methods that work in poor communities and developing evaluation and measurement methodologies to quantify their effectiveness can improve the impact of Foundation programs.

Grantmaking will focus on researching and testing the effectiveness of communication for social change in addressing critical issues faced by poor communities; and on developing evaluation measures and methodologies that are less intimidating and more participatory.

**Training Communities in New Methodologies:** New working models for communication based on community learning are essential to moving development agendas forward in the interests of the community. Methodologies will be developed and used to train key grantees working with the poor in developing countries.

Grantmaking will focus on mobilizing resources to develop and strengthen the capacity of communication professionals in poor nations, including the encouragement of free and democratic media.

For inquiries contact: [csc@rockfound.org](mailto:csc@rockfound.org); fax: 212.852.8441

### **POPULATION AND THE CAIRO AGENDA**

The Foundation is engaged in an executive review to consider future directions for work in this field. During this period, current grant activities are continuing and new grants will be very limited until a new course is set.

## THE PROGRAMS: CREATIVITY & CULTURE

**Goal:** To preserve and renew the cultural heritage of people who have been excluded from the benefits of a globalizing world, to promote the free flow of ideas in the public sphere, and to support diverse creative expression in the arts and humanities.

The Rockefeller Foundation has been a supporter of the humanities since the 1920s, and of the arts since the 1930s. In fact, we helped establish such premier humanities institutions as the American Council for Learned Societies. In the 1940s, we championed the cultural expression of Americans who were underrepresented. In the 1940s and 1950s, we supported area studies, at universities and in the field, to increase understanding of east Asian, Latin American, Slavic and Middle Eastern societies. In addition, we took an early interest in the new mass media of "talking film" and radio-and later in the development of holography and video.

Historically, the Foundation's core competency in this field has been our ability to craft an "assertive humanism" responsive to societal needs and pressures. In recent years we have focused on understanding and engaging diversity — gender, race, religion, ethnicity and sexual identity. We will continue these interests. But we will do so within a broader framework of the creative needs of people who are poor and excluded, stressing the notion that an enriched life is more than material well-being. The arts and humanities are sources of invention. They offer lenses through which we can recall the past, perceive the present and envision the future. Giving full expression to the creative impulses of individuals and communities enhances the well-being of societies. And it better equips them to interact in a rapidly changing world.

In the 21st century, we believe, forms of creative expression will be very different from the past. More than ever before, globalization is increasing contact between peoples of diverse cultures. The digital revolution promises to transform the arts and humanities. At the same time, the arts and humanities face shrinking public resources, as governments retreat and private funding grows. Finding ways to encourage creativity and innovation while preserving freedom of expression will be an increasingly challenging task.

As in the past, our work will concentrate in the United States. But we will increasingly extend into Latin America, Africa and the Muslim world. The work plan for Creativity & Culture focuses on maintaining momentum while exploring new opportunities. The work plan contains three sub-themes:

### Sub-Theme 1

**Resilient and Creative Communities:** We will help preserve and renew cultural heritages to enhance the well-being of societies and equip people who are poor and excluded to relate to, and gain benefits from, the new global community.

We believe cultures are both resilient and fragile. The arts and humanities are the repository of tradition and memory, helping people withstand the shocks and stresses of life's challenges. We will address the recovery and renewal of

cultures through continuing support to museums showcasing the artistic contributions of cultures that are marginalized and non-Western. We will continue to support local and regional artists and scholars who write inclusive social and cultural histories. We will help advance cultural resilience by supporting work on confronting loss, survival and social suffering. And we will support the celebratory work of preserving cultural heritage.

We will continue support for research on cultural indicators and the competitive program Partnerships Affirming Community Transformation. We believe that understanding and articulating meaning and value of the arts and culture in our society will enable the cultural sector to contribute to the well-being of communities.

#### Sub-Theme 2

**Knowledge and Freedom in the Public Sphere:** Artists and humanists, through social critique, play a key role in creating democratic, inclusive civil societies.

This sub-theme will attempt to fortify civil society by supporting independent cultural institutions and extending activities that advance public understanding into the public sphere. The program includes the role of religion in civil society. Translating religious ideas into the language of public discourse and brokering conversations between religious communities can help defuse tensions that deeply touch people's lives. Successful societies are able to integrate religious and traditional beliefs, and modern knowledge. Such integration can advance socioeconomic development while preserving the diversity that underlies creativity.

We will continue to support actors and institutions of civil society in Africa and the Muslim world. In the year 2000, the humanities fellowship program will support researchers seeking to understand and interpret global interactions of belief and value systems as well as the impact of the mobility of ideas and people in an increasingly interconnected world.

#### Sub-Theme 3

**Creativity and Innovation in a Global Age:** The voices and visions of artists and humanists can help us envision reality and clarify our understanding of ourselves and others. They can be enhanced by experimentation with innovative digital technology.

We will explore how to understand and nurture creativity and innovation in the information age. What are the factors that nurture creativity at individual, community and national levels? How will digital technology influence creativity? By experimenting with new technology, the visions of artists can integrate with the creativity of scientists and technicians, perhaps resulting in new forms of creative expression. We will also support artists pursuing innovations in the performing and media arts through such ongoing programs as the Multi-Arts Production fund and film/video/multimedia fellowships.

## THE PROGRAMS: FOOD SECURITY

**Goal:** To help achieve food security for all through the generation of agricultural policies, institutions and innovations that can provide sustainable livelihoods for the rural poor in regions of developing countries bypassed by the Green Revolution.

One of the great scientific success stories of the 20th century is the Green Revolution. The Foundation's association with this historical achievement began in 1934, with its first agricultural program in China. In the early 1940s, we extended the program to major scientific collaborations in Mexico and Asia, at the urging of Vice President of the United States, Henry Wallace. These cooperative efforts over three decades led to breakthroughs in wheat and rice production.

In 1970 a Foundation field staff member, Norman Borlaug, won the Nobel Peace Prize for his pivotal role in modernizing agriculture in the developing world. In the 1990s, the Agricultural Science Division has focused on increasing crop yields through rice biotechnology research in Asia, applied research for smallholder agriculture in Africa and sustainable resource management in Mexico.

Unfortunately, we still face the challenge of mass hunger in a world of plenty. More than 800 million people are chronically undernourished. Some 180 million children are severely underweight for their age. People who are poor and excluded and suffer from hunger are unable to purchase sufficient food for a decent life. Food for the urban poor depends upon jobs, income and market access. But most of the world's 600 million rural poor people depend upon their own labor or the productivity of their fragile land resources.

While the Green Revolution helped many, there are large numbers of rural poor people who have not benefited sufficiently from technical and institutional advances. Still needed is the political will, driven by the voices and participation of poor farmers themselves, to invest in advances that will benefit poor people in rural areas. Better policies, stronger institutions and appropriate technologies are the key to improving the productivity of poor rural families. Productivity gains by rural poor people promote equitable food security. Because food security and agricultural systems are so differentiated across locations, the program will encourage the adaptation of programs to local circumstances within a global framework. Our work will align with three program sub-themes:

### Sub-Theme 1

**Enabling Farmer Participation:** We will help improve the food security of poor farm families by using effective and sustainable methods for engaging them in articulating their needs and in analyzing, designing and implementing agricultural innovations.

To develop appropriate innovations, the sub-theme will bring farmers together with extension workers, research scientists and other groups in problem identification, solution and evaluation. Activities will include inputs into plant

breeding and biotechnology, seed and distribution systems, agronomy and pest control, and the systematizing of participatory methods.

Farmers will contribute to the research agenda, which will promote improvements that farmers themselves would adopt. All activities will be models or demonstrations of how to ensure food security, helping farmers and agricultural scientists work together in sustainable institutional settings, generate knowledge and solve problems to meet the needs of marginal farming families.

#### Sub-Theme 2

**Applying Science and Technology:** We will address the key constraints to the food security of rural people in areas bypassed by the Green Revolution through coordinated work of biotechnology, agroecology and policy scientists and in partnerships with local scientists and farmers.

This sub-theme aims to accelerate the discovery, development and application of new genetic and agroecological strategies. It will do so by supporting the world's leading agricultural scientists in partnering with developing-country researchers to develop scientific advances appropriate to the rural poor.

Work will focus on three areas:

- Biotechnology for drought-resistant seeds, control of striga, an African weed, and improvements in human nutrition;
- Improved agroecology practices in marginal tropical farming systems; and
- Prevention of environmental degradation in fragile farming environments.

#### Sub-Theme 3

**Strengthening Policies and Institutions:** Appropriate training and institution building can enable local organizations to access and move the key policy, institutional and technological levers leading to higher incomes and improved food security.

The agricultural productivity of smallholder farming depends upon strong national institutions and sound food policies. This sub-theme will support the training of key agricultural professionals and the strengthening of national institutions. One example is master's degree training in selected agricultural universities in eastern and southern Africa. We will also attempt to foster the integration of scientific and participatory approaches to agricultural innovation. Training and research support of policy analysts in key countries will foster better food security policies. In addition, the Food Security program will join the Global Inclusion cross-thematic program in supporting a global public dialogue on plant biotechnology and intellectual property rights.

## THE PROGRAMS: HEALTH EQUITY

**Goal:** To advance global health equity by pursuing the reduction of avoidable and unfair differences in the health status of populations.

The Foundation has pursued scientific approaches to world health throughout its history — from eradicating hookworm to modernizing medicine and public health. Our accomplishments and the accomplishments of our grantees are many, including our support of research leading to the discovery of penicillin and the yellow fever vaccine — a Rockefeller Foundation staff member won a Nobel Prize for the vaccine. Two decades ago, we began the latest phase of the health program by supporting research in the molecular sciences against neglected tropical diseases and by building clinical epidemiology capacity of medical schools in the developing world.

In the beginning of the 21st century, a striking paradox characterizes global health. Unprecedented advances in health have accompanied pervasive, growing and deeply troubling disparities in people's health. The distribution of health, not its production, is likely to become an urgent priority in the early years of the 21st century.

Global health inequities are not due to North-South differences alone: large gaps exist within most societies. The concept of health equity is a fairness issue. People should be able to attain their full health potential regardless of their age, gender, race or socioeconomic circumstances. In a world rich with resources and knowledge, closing the gap between unnecessary human suffering and the potential for good health is one of the foremost health challenge of our times.

The program will concentrate its work in sub-Saharan Africa and south and Southeast Asia, the regions of the world facing the most serious health challenges. We will work within three sub-themes:

### Sub-Theme 1

**Harnessing the New Sciences:** Advocacy, capacity building and support for specific product initiatives can effectively harness the new sciences to counter health-product market failures.

Health equity requires harnessing the power of science to address "orphan diseases" afflicting people who are poor and whom research and development of commercial enterprises bypass. The sub-theme, thus, aims to accelerate the development and distribution of vaccines and drugs against the diseases of people who are poor and excluded. Our highest priorities include AIDS, malaria and tuberculosis.

Funding will continue for the International AIDS Vaccine Initiative, the Global Alliance for Vaccines and Immunization (previously the Children's Vaccine Initiative), and the Medicines for Malaria Venture. In these cases, our strategy is to promote public-private partnerships in product development, as well as to encourage a shift in society's attention from well-funded basic bio-medical

research toward the creation and distribution of cost-effective health products for diseases afflicting people who are poor.

#### Sub-Theme 2

**Resourcing Public Health:** Generating, sharing and using knowledge-based resources through partnership and cooperation can strengthen the capacity of local health systems to improve the health of people who are poor and to redress inequities.

Population-based approaches that encompass universalism, prevention and multidisciplinary action can also bring about health equity. Public health, a profession the Foundation helped create in the 1920s, badly needs reinvigoration in all countries, most keenly in countries without resources. Building on the current Public Health Schools Without Walls program, the Foundation will explore how to facilitate the generation, sharing and use of knowledge-based resources through public health networks and partnerships. We will focus particular attention on information resources for health policy and disease surveillance and on the development of participatory approaches. This program is likely to concentrate in sub-Saharan Africa and areas of Asia where public health problems are severest and resources scarce.

#### Sub-Theme 3

**Strengthening Global Leadership:** Redressing the disproportionate burden of emerging health threats upon people who are poor depends on a robust new framework for health equity, a framework based upon new knowledge and on giving voice to people who are poor and excluded through individual and institutional leadership.

Tackling inequities in health requires strong leadership. The program will, therefore, support promising leaders and promote the development of effective equity-enhancing actions. Support will continue for the Global Health Equity Initiative, a global network of researchers building the evidence base for interventions that promote equity. We are launching a Health Equity Frontiers program to nurture global voices and to build a more cohesive and competent constituency for health equity. One practical outcome would be to map and improve how health-equity policies become integral to health systems. The program will also identify, and try to prevent, emerging yet neglected health threats. We are exploring a Global Health Watch to bring transparency and accountability to key global institutions in the prevention of threats like tobacco, and intellectual property rights for drugs and medical technology.

Health Equity is also participating in a Foundation-wide planning process that will examine the work of the Population and Cairo Agenda into the future. Its focus will be on reproductive health technologies and services. A more detailed description of the Population and Cairo Agenda work appears under the section titled Special Programs.

## THE PROGRAMS: WORKING COMMUNITIES

**Goal:** To transform poor urban neighborhoods into working communities safe, healthy, and effective neighborhoods by increasing employment rates, improving all urban schools, and enhancing participation of people who are poor and excluded in a democratic society.

One of John D. Rockefeller's earliest philanthropic interests was the promotion of education in the United States, "without distinction of sex, race or creed." This goal was the major focus of the General Education Board he established in 1902. The board's activities spanned almost 60 years, with 20 percent of its funding (some \$62 million) devoted to improving education for blacks in the South. In the 1960s — and with the General Education Board having completely expended its endowment, the Foundation embarked on a course of advancing basic civil rights, strengthening black colleges and promoting recruitment of black students to colleges throughout the United States. Recent work in Equal Opportunity has focused on promoting job creation, rebuilding urban communities, strengthening local primary schools, and advancing democratic values and basic rights.

At the threshold of the next century, the social picture in the United States is dominated by contrasts. On the one hand, the decade of the 1990s has witnessed unprecedented economic growth in a country that enjoys pre-eminent geopolitical power on the world stage. On the other hand, poverty remains unacceptably high and income inequality has been worsening.

The highest poverty rates in the United States run across the southern part of the country from California to Virginia, about half clustered in major cities. Poverty among African-Americans and Latinos is three times the rate among whites. Poverty is not merely a lack of income or wealth, but involves multidimensional deprivation in schooling and skills, racial or ethnic discrimination, and insufficient community and social support. The root causes are complex, but structural aspects of racial and ethnic exclusion are undoubtedly important.

The program's objective is to 1) keep equity and social justice on the public agenda, challenging social, economic and political barriers that continue to fuel poverty and racial exclusion; and 2) enable poor and excluded communities to increase employment among its members, improve their public schools and strengthen democratic processes by enhancing meaningful participation of the poor and excluded in decisions that affect their lives.

The work has three sub-themes:

Sub-Theme 1

**Setting a More Equitable Public Agenda:** A deliberate, informed and participatory public agenda to achieve more equitable outcomes is required in a nation where no one racial or ethnic group will hold the majority, which has a growing gap between the rich and the poor, and where persistent poverty lives in the midst of the richest economy in the world. Accurate information about social change is essential to craft and implement more equitable public policies,

and to disseminate effectively research findings so as to inform policy at the national, state and local levels. Program-supported research, therefore, will bolster the public purpose of meaningful, remunerative work and basic education in an increasingly diverse society, examine the ecology of communities, and advance understanding of the changing character of urban neighborhoods as a result of immigration and transnational flows of people, money and traditions.

Our program will continue to promote democracy in the United States through research, dialogue and public policy analysis. Increasingly, the program will also support comparative international studies and exchanges to inform the U.S. agenda.

#### Sub-Theme 2

**Building Competent Organizations:** Programs which provide lasting social benefits require strong, competent organizations to sustain, improve and adapt programs to changing circumstances.

Interventions that enhance and strengthen widespread meaningful participation of community residents in solving problems are more likely to be effective and sustainable. Community-based organizations and strong public policy research institutions are the primary organizational intermediaries for such work. The program will seek to strengthen the competence of institutions engaged in designing and implementing programs dealing with jobs, schooling and community solidarity of working communities. For example, the program will seek to improve teaching and learning across all schools in a given district, transforming the school system into one that sustains opportunities and outcomes for poor, minority and immigrant children.

The "California Works for Better Health" program, conducted jointly with The California Endowment, brings together the dual objectives of better health and jobs in disadvantaged communities. Its purpose is to strengthen the ability of low-income communities in California to develop and sustain strategies and interventions that improve health and employment outcomes for their residents.

#### Sub-Theme 3

**Testing Innovations:** Practical, proven models that show what works, at what costs and with what benefits are necessary to build public confidence in more equitable policy proposals.

Our experience has demonstrated that well-designed and rigorously evaluated interventions are effective ways to document the cost of what works and what does not work. Testing ideas, practices and models can increase access and opportunity for the poor and excluded, leading to more equitable social outcomes. An example of such field innovation are the jobs initiatives, community-based demonstrations of job creation in disadvantaged communities. Most programs in the Working Communities theme contain elements of experimentation and demonstration.

## THE PROGRAMS: GLOBAL INCLUSION

**Goal:** To ensure that globalization processes are more democratic and equitable and benefit the most vulnerable, disenfranchised populations, cultures and communities around the world.

Globalization contributes to the breakdown of traditional boundaries among the state, the market and civil society. It changes the constraints and operations of national governments, businesses and NGOs. Despite dramatic increases in the wealth created by the technologies and processes that propel globalization, the number of poor in Asia, Africa and Latin America has increased. Building on the mission of the Rockefeller Foundation, the goal of the Global Inclusion cross-theme is to ensure that the processes of global integration are as democratic and transparent as possible, and benefit the most vulnerable people and communities.

Globalization has the potential to benefit the world's poor and excluded, and improve the conditions of poor households and communities. Right now, however, almost all of the political and economic decisions that shape their lives are made outside of their community — and often, outside of their country — without their participation or voice. As a result, there are deep divides in income and well-being between those who can adapt and those who cannot, and between those who have access to power and those who do not.

Analysis of the social change process in a global context on an issue-by-issue basis will allow us to develop "ground rules" of norms, values, practices and institutions that will underpin globalization. Based on these analyses of issues and how they affect people who are poor and excluded, we will develop an overall "investment strategy" that will foster a network of partners that introduces knowledge and expertise at critical intervals in the process of global social change.

Our approach will also identify the new ground rules that reflect democratic norms and values, and accommodate the interests of poor people and other stakeholders. And then we will support the application of these ground rules by NGOs, businesses and governments as a way to promote global peace and prosperity.

Work will focus on globalization issues including security, intellectual property rights, economic integration, environment, population and livelihoods. The Global Inclusion Cross-theme will include two sub-themes, which are currently underway, and several additional explorations.

### Sub-Theme 1

**Global Dialogue on Plant Biotechnology:** The Rockefeller Foundation has a long history of involvement in plant biotechnology for the benefit of people who are poor and excluded. We have been deeply involved in the debate over the latest aspects of this technology.

Our goals are to:

- Protect the poor from possible negative effects of plant biotechnology;
- Make possible their access to the technology; and
- Ensure that their voices and interests are represented in the regulatory arena, trade regimes and practices governing the development, ownership, marketing and beneficial use of plant biotechnology.

Our two-pronged strategy is to:

- Encourage a more open and productive atmosphere in current debates about plant biotechnology; and
- Enhance the participation of developing-country stakeholders in debate and policymaking to ensure that all issues of long-term global food security are considered and addressed.

#### Sub-Theme 2

**Environment, Population and Livelihoods:** The goal is to integrate ground-level activities on environment, population and livelihoods more closely to the global processes and institutions that affect them. We will examine equitable systems for managing water resources in Africa and Asia, and to support community-based environmental surveillance in Asia. We will look for concrete ways to advance neglected aspects of the reproductive health and development agenda of the International Conference on Population and Development (Cairo, 1994). Through a series of linked investments, we will support work on advancing the theory and practice of sustainable livelihoods in the context of globalization.

### Explorations on Specific Global Issues

#### Exploration 1

##### **Intellectual Property Rights:**

We will explore technical assistance and support to civil society organizations representing the interests of people who are poor and excluded. We will help maintain a robust array of easily accessible public goods despite the trend toward privatization.

#### Exploration 2

##### **Conflict and Security:**

We will explore developing links between local efforts to mitigate conflict and rebuild community, as well as regional and international efforts to include aspects of human rights and human security in mainstream policy processes on traditional security issues. This can include developing useful tools for conflict mitigation in protracted conflicts and support for developing international mechanisms to strengthen minority rights.

#### Exploration 3

##### **Global Economic Integration:**

First, our exploration will support programs that educate the American public not only about the role the United States plays in the global economy, but also the roles and responsibilities of U.S.-based institutions in establishing ground rules for the economy that are transparent, participatory and equitable. Second, our exploration will expand research under way in the Working Communities theme that examines the impact of global competitiveness and

the changing nature of work on low-skilled workers in the United States and the OECD. We will focus on the way global production chains affect economic opportunities for poor people in selected geographic areas where we have programs.

## THE PROGRAMS: ASSETS & CAPACITIES

### Bellagio

Some argue that we now live in an age that discourages opportunities for deep thought and reflection, one where we regularly use phrases such as "information overload" and "overstimulation" to describe aspects of our lives. Advanced technologies such as fax machines, cellular phones, beepers and e-mail distract us and require time, leaving less for what should be priorities- creative thinking, scholarly discussion and serious contemplation.

The Rockefeller Foundation first began to consider these issues some 40 years ago when an unprecedented opportunity arose in Bellagio, Italy. When American expatriate Ella Holbrook Walker bequeathed the Villa Serbelloni to the Foundation in 1959, the first order of business was determining how to fulfill her wish that the Villa be used for the promotion of international understanding. The result was the Bellagio Study and Conference Center.

Nestled on Lake Como in the foothills of the Italian Alps, the Center comprises 17th- to 19th-century buildings on 50 acres of gardens and park-an ideal environment for solitude and contemplation suitable for writing, thinking, creating and coming to consensus. Three participatory opportunities are offered at the Center: month-long individual residencies, team residencies averaging seven-to-10 days, and weeklong international conferences. All allow for exploration of a variety of important areas, some expanding the Foundation's current work, others extending far beyond it. Widely recognized as an important meeting place for scholars and practitioners from every region of the world, the Center is a testament to the Foundation's commitment to promoting innovative thinking on the global level.

The spirit of the Bellagio Center is captured by comments from recent participants:

*"Never before have I been in a setting that allowed such concentrated work, though pleasantly punctuated by stimulating conversations with persons whose perspectives and backgrounds provided new prisms for looking at my work."*

*"Some of the team members had never met each other, let alone worked together. We used the time to argue, resolve differences, teach each other and, above all, create half of a new work in what, to some of us, is a foreign language and a foreign culture."*

*"The exquisite facilities and breathtaking beauty of Bellagio ensured that the full and frank conference sessions did not result in acrimony."*

Applications are reviewed by Foundation professional staff and a group of consultants. Decisions are based on the quality of the individual or team project or conference proposed, the importance of the proposed work to development and innovation in its field and discipline, the competence of the applicant(s), and the suitability of the Center for the proposed activity. Since its opening in 1959, the Bellagio Study and Conference Center has served as a meeting point for over 2,700 residents and 19,000 conferees from more than 80 countries.

## **Population and the Cairo Agenda**

**Goal:** To seek strategic gaps and inequities in implementation of the Cairo Agenda, in particular research (policy, intervention and technology), and building human capacity with an emphasis on poor women and adolescents in sub-Saharan Africa and south Asia.

The Rockefeller Foundation made its first population grant in 1923. Over the past four decades, we greatly expanded our population work in recognition of the demographic dimensions of international development. We began a separate population program in 1978 that specialized in capacity building, social policy and contraceptive development. In 1992, our trustees approved a 10-year program to mobilize human, technical and financial resources to satisfy the need for high-quality reproductive health services, including contraception.

For some, concerns over rapid population growth have abated because of rapidly declining fertility. But the world will confront new demographic challenges including uneven growth, the aging of the population, and accelerated movement of people into cities and across national boundaries.

Our work will consider which aspects of the Cairo Agenda best support the Foundation's mission of sustainable livelihoods and enriched lives. It will also explore additional areas that may provide new leadership opportunities. The three sub-themes of work are: Reproductive Health Research, Policy Dialogue and Research and the Second Contraceptive Technology Revolution.

## **African Higher Education**

Since its beginning, the Foundation has supported educational institutions — for both the intrinsic value of education and for how it advances specific fields. Most thematic work already supports professional training and leadership development in universities as a means of advancing their respective program goals. Two exploratory activities are under way to support higher education in African universities as development resources.

First, we are exploring intensive engagement with Makerere University in Uganda to strengthen the University overall and for thematic

investments that support the nation's efforts to advance equitable economic growth and social well-being. And second, we have launched the Partnership to Strengthen African Universities in collaboration with the Carnegie Corporation of New York and the Ford, and MacArthur foundations. The initiative will support efforts, many already underway, by leaders of African universities and academic associations to expand and improve the education of the next generation of African leaders in fields necessary for continued development of the region.

## **Communication for Social Change**

For more than 60 years, the Foundation has funded intellectual inquiry into the power of communication - beginning with our Rockefeller Communications Seminar in the 1930s.

The field of communications is rapidly changing, facilitated by the revolution in information technologies. Access to and control of information sources are essential for poor people to participate fully in decisions affecting their lives and communities. Sustained social change is impossible without their full participation. Effective communication is critical to all positive change-including changes in public and private values, national and global policies, behaviors and attitudes, and culture.

The program will foster the most effective, innovative practices of communication for development in the public and nonprofit arenas to accelerate the pace of positive change for people who are poor and excluded. The program will build processes to ensure that communication planning is essential to all Foundation program work, test methods to train grantees working with poor people to advance communication for social change, and develop evaluation and measurement methods to quantify the effectiveness of our work.

## **Public/Private Partnerships**

Many of our goals can be achieved only with the involvement of the private sector, particularly when it comes to developing new technologies and distributing products that serve people who are poor and excluded. The development of drugs or vaccines, for example, that address the distinct health issues of poor people in developing countries requires the attention and exploration that nonprofits are uniquely capable of. But our goals also require the expertise and skills of the private sector to bring products to market or programs to scale.

Almost two years ago, the Foundation began a small program-venture experiment, or ProVenEx, to catalyze private sector investments in ventures requiring the strategic input of the nonprofit sector and the financial skills and resources of the private sector. ProVenEx will invest in early or expansion-stage companies, or in public-private joint ventures in areas that reflect the Foundation's philanthropic priorities.

## **Global Philanthropy**

From the start, the Rockefeller Foundation has pioneered the establishment of organized, professional philanthropy that focuses on systemic change. Until a decade ago, the Foundation focused these efforts largely within the United States. In the 1990s the Foundation undertook a number of explorations to identify options for supporting the emergence of philanthropy in the developing world by mobilizing new sources of indigenous wealth.

Our current global philanthropy portfolio contains two key initiatives. Since 1994, The Philanthropy Workshop has equipped a cadre of innovative donors with the skills they need to deploy assets with strategic impact. And since 1997, the Next Generation Leadership (NGL) program recruited a diverse corps of young activists, exposed them to intense experiential training and forged a sense of shared purpose that transcends barriers of ethnicity, class, sector, gender and sexual identity. Both programs are now seeking ways to leverage their strengths through a global network. Alliances like these could become model adaptable to the practice of international philanthropy.

## **THE PROGRAMS: REGIONAL OFFICES**

Over the course of its history, the Rockefeller Foundation has worked in many parts of the world. Our earliest work in hookworm, malaria and yellow fever was rapidly extended into Latin America and Asia. John D. Rockefeller himself, perhaps spurred by the Christian missionary movement of the 19th century, was especially interested in the modernization of China, where the Foundation operated its largest-ever program at the Peking Union Medical College. During the 1930s and 1940s, we worked extensively throughout Europe.

In the past two decades, we have concentrated our overseas work in eastern and southern Africa, the most economically deprived world region. Much of our early work involved posting technical officers overseas. Due to budgetary constraints and changing contexts, we withdrew our large field staff in the 1970s. Since then, we have operated with fewer than 10 overseas officers servicing specific programs.

For the future, we find it difficult to conceive of a global foundation based exclusively in New York City. The concept of a "global foundation" implies global awareness, an open mind-set, consciousness of globally shared (and differing) values, and an institutional capacity to harness global knowledge and learn from diverse societies. It also implies the intention to apply knowledge on the ground among specific people and in specific places. Foundation programs thus will adopt a global-planning framework, but will decentralize the implementation of the programs, wherever feasible, in response to local contexts, people and institutions.

Recognizing that the Foundation cannot hope to work everywhere, staff planning proposes that we seek means of enhancing our "field presence" in selected key regions. The means include residential staff, international networks, advisory inputs of local leaders and other modalities.

Our current regional bases vary greatly in their functions. Bellagio is the site of an international conference and study center under the direct management of the Foundation. The most developed multi-thematic engagement is in Africa, while offices in Asia, Latin America and on the U.S. West Coast service single programs. Several of our geographic bases will be developed into more ample regional offices, with full-time, resident professional staff. These regional offices will support, promote and supplement global thematic programs.

### **Bellagio**

The Bellagio Study and Conference Center is a Foundation-operated charitable activity. Our proposed year 2000 budget continues Bellagio as a Foundation-administered project. The Bellagio Committee will explore greater diversity and quality among selected artists and scholars for the future. It also encourages the Foundation to pursue more focused and sustained program interactions based at the facility.

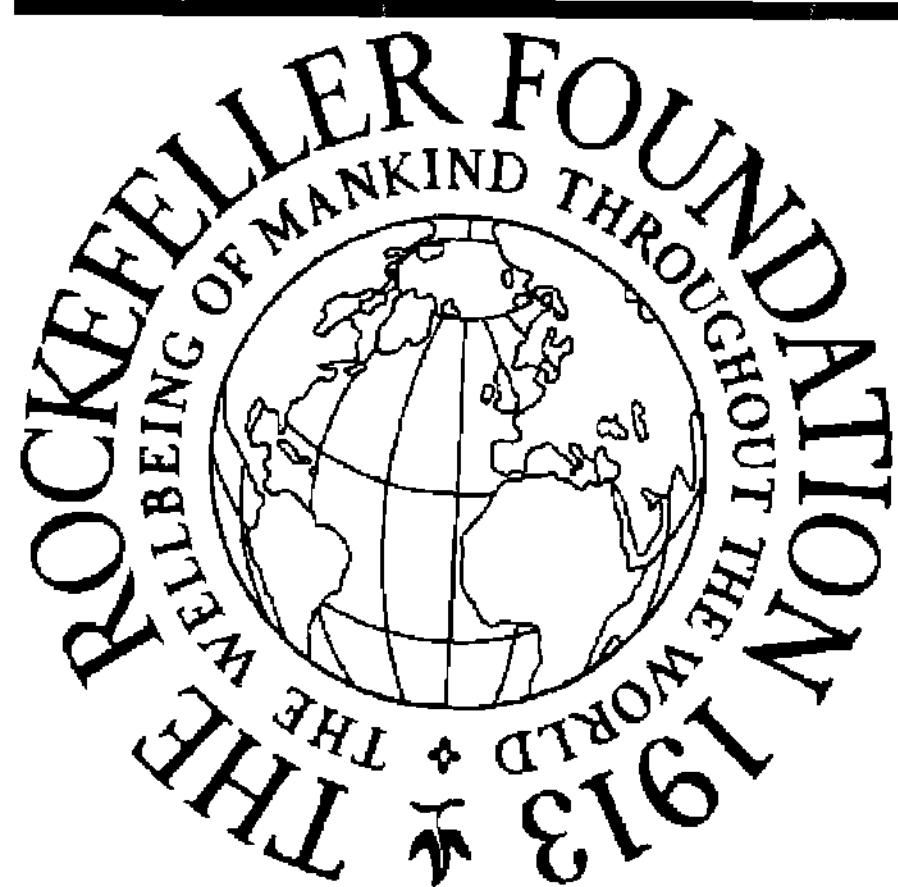
### **Nairobi and Harare**

In year 2000, these two Africa offices will have seven full-time program officers — five in Nairobi and two in Harare. These officers will conduct ongoing programs in Food Security, Health Equity, Population, African Higher Education

and Creativity & Culture. As such, the Africa offices will take the lead in the female education program, the strengthening of Makerere University and the collaboration with the Carnegie Corporation of New York and the Ford and MacArthur foundations in the [Partnership to Strengthen African Universities](#).

**Bangkok, Mexico City and San Francisco**

The Foundation's offices in Bangkok (Food Security), Mexico City (Food Security) and San Francisco (Working Communities) execute single-theme program objectives. These offices are in different stages of development and implementation. San Francisco just recently opened. Mexico City is just completing its grantmaking activities and Bangkok is in transition with adjustments to program priorities currently under way.



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### 1999 GRANTS AND FELLOWSHIPS

During the year 1999 the Foundation made awards in several ways:

- > **Appropriations**, which are major initiatives approved by the board of trustees. An appropriation may be made directly to a grantee organization or it may be made for allocation by the officers of the Foundation to many different grantees. In the listings that follow each program, appropriations appear in **boldface**, followed by the 1999 allocations from each appropriation.
- > **Grants in Aid**, which are grants generally of \$150,000 or less per year, available for a period of no more than three years and approved by the Foundation's officers without the need for advance approval by the board.
- > **Advanced Training Fellowships**, which are awarded primarily to individuals who are affiliated with developing country institutions with whom the Foundation has a working relationship, and to which the fellow will return in a teaching or research capacity upon completing doctoral or postdoctoral training. ¶ In 1999 the board of trustees held four meetings at which it reviewed and approved a total of 58 appropriations. One quarter of these were direct awards to grantees. Three quarters were made for allocation by the Foundation's officers. During the year, the officers made more than 800 grants from these and earlier years' appropriations. ¶ In addition, from a board-approved budget of \$175 million, the officers approved some 366 grants in aid during the year.

## | BELLAGIO STUDY AND CONFERENCE CENTER |

**L**ocated in northern Italy on Lake Como, the Bellagio Study and Conference Center provides a stimulating international environment for weeklong conferences; monthlong study residencies for scholars, scientists and artists, and team residencies of five to 28 days for creative and problem solving groups.

The Center, also known as the Villa Serbelloni, occupies a wooded promontory situated in the foothills of the Italian Alps. With the main house, and seven other buildings (parts of which date back to the 17th century) surrounded by 50 acres of park and gardens, the Center offers a locale that is highly conducive to productivity and collaboration.

Approximately 140 residents, 15 conferences and 20 teams are chosen annually on a competitive basis. Application reviews are conducted three times a year by external evaluators and Foundation officers. Decisions are based on the quality of the individual or team project or conference proposed, the importance of the proposed work to development and innovation in its field and discipline, the competence of the applicants, and the suitability of the Bellagio Center for the proposed activity.

Since its opening in 1959, the Bellagio Study and Conference Center has served as a meeting point for more than 2,600 residents, 800 team participants and 18,000 conferences from more than 80 countries.



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## &gt;&gt; Conferences

**Arab Intellectuals on Liberal Alternatives and the Role of the Individual** – Ellis Goldberg, Associate Professor, Institute of Transregional Studies, Princeton University, Princeton, New Jersey, and Kanan Makaya, Writer, Cambridge, Massachusetts (May 31 to June 4)

**Cause Lawyering and the State in Global Perspective** – Austin Sarat, William Nelson Cromwell Professor of Jurisprudence and Political Science,

Amherst College, and Stuart Scheingold, Professor of Political Science, University of Washington, Seattle (June 21 to 25)

**Causes and Consequences of Early Retirement: What Policymakers Know and What They Need to Know** – Richard V. Burkhauser, Professor of Economics, Center for Policy Research, Syracuse University, New York, and Richard W. Richardson, Senior Research Associate and Visiting Professor, School of Hygiene and Public Health, Johns Hopkins University (July 12 to 16)

**Civic Participation and Civil Society: A Comparative Perspective on the Independent Sector and Political Incorporation of Marginalized Populations** – Dayton Cunningham, Associate Director, Building Communities, Rockefeller Foundation, New York, Marilyn Gittell, Professor of Political Science, Howard Samuels State Management and Policy Center, Graduate School and University Center, City University of New York, and Sophie Body-Gendrot, Professor of Political Science and American Studies, University of Paris (Sorbonne) (April 6 to 10)

**Constitution-Making, Conflict and Transition in Divided Societies** – Vivien Hart, Professor of American Studies and Director, Cunliffe Centre, University of Sussex, Brighton U.K. (February 15 to 19)

**The Exercise of Universal Jurisdiction in Respect of Gross Human Rights Offences** – Menno T. Kammingsa, Senior Lecturer in International Law, Erasmus University, Netherlands (October 25 to 29)

**Global Investing: A New Reality Post-Y2K** – Rosalie J. Wolf, Treasurer and COO, Rockefeller Foundation, New York (November 1 to 5)

**Global Staff Retreat** – Gordon Conway, President, and Lincoln Chen, Executive Vice President, Rockefeller Foundation, New York (June 14 to 18)

**Globalization and Culture** – Peter L. Berger, Director, Institute for the Study of Economic Culture, Boston University, and Samuel P. Huntington, Director, Harvard Academy for International and Area Studies, Harvard University (August 9 to 13)

**Issues Related to Reform: Employment, Pensions, School Education, and Crime** – Gordon Conway, Rockefeller Foundation, New York; and David Miliband, Policy Unit, Cabinet Office, London (February 22 to 26)

**Pledge of Aid to Countries in Transition** – Shepard Forman, Director, Center on International Cooperation, New York University (February 1 to 5)

**Public/Private Sector Collaboration in Contraceptive Research and Development: A New Partnership (Bellagio II)** – Mahmoud Fathalla, Associate Director, Population Sciences, Rockefeller Foundation, New York (March 29 to April 2)

**Regional Peacekeeping, National Demilitarization and Development in Africa** – Ali A. Mazru, Albert Schweitzer Professor in the Humanities, Binghamton University, New York; and Olara Otunnu, President, International Peace Academy (June 7 to 11)

**Religion and Modernity: Rethinking Secularization** – Phyllis Mack, Professor of History, Rutgers University, New Brunswick, Maine (May 10 to 14)

**Resourcing Public Health in the 21st Century: Human Resource Development in the Global Environment** – Sarah B. Macfarlane, Associate Director, Health Equity, Rockefeller Foundation, New York (November 15 to 19)

**Rockefeller Foundation Board of Trustees Meeting** – Lynda Mullen, Corporate Secretary, Rockefeller Foundation, New York (September 9 to 14)

**Setting Objectives to 2010 for the Human Rights Movement in the OSCE Area** – Aaron Rhodes, Executive Director, International Helsinki Federation for Human Rights, Vienna, Austria (January 25 to 29)

**Sustainable Agriculture: An Evaluation of New Paradigms and Old Practices** – Norman Uphoff, Director, International Institute for Food, Agriculture, and Development, Cornell University; and Miguel Altieri, Associate Professor, Center for Biological Control, University of California, Berkeley (April 26 to 30)

**Sustainable Livelihoods and Environmental Security** – Susan Sechler, Director, and Arun Kashyap, Associate Director, Global Inclusion, Rockefeller Foundation, New York, and Al Binger, consultant to the Rockefeller Foundation, New York (September 21 to 25)

**Transnationalization of Support for Culture in a Globalizing World** – George Yudice, Director of Graduate Studies, New York University, and Tomas Ybarra-Frausto, Associate Director, Arts and Humanities, Rockefeller Foundation, New York (December 6 to 10)

**Turkey Today: Challenges and Opportunities** – Noel V. Lateef, President and CEO, Foreign Policy Association, New York, New York (August 2 to 6)

**Understanding Unemployment and Working Time** – Barry Bluestone, Professor of Political Economy, Northeastern University, and Katherine McFate, Senior Officer, Learning and Liason, Rockefeller Foundation, New York (October 11 to 15)

**Visions of Jerusalem** – Michael Sorkin, Principle, Michael Sorkin Studio, New York, New York (July 19 to 23)

**Vaccine Development** – Tim G. Evans, Director, Health Sciences, Rockefeller Foundation, New York (March 15 to 19)

## &gt;&gt; Teams

**African Census Analysis Project** – Tukufu Zuberi Associate Professor of Sociology, Population Studies Center, University of Pennsylvania, Philadelphia (April 8 to 20)

**Bellagio Committee Meeting** – Susan E. Garfield, Manager, Bellagio Center Office, Rockefeller Foundation New York (April 3 to 6)

**The Changing World of Work** – Noah Lewin Epstein, Associate Professor of Sociology, Tel Aviv University, Tel Aviv Israel (October 6 to 12)

**Creating, Spreading and Using Agricultural Technology in China** – Carl E. Pray, Professor, Department of Agricultural, Food and Resource Economics, Rutgers University, New Jersey (July 9 to August 5)

**Exploring the Potential of Integrated Crop Management** – Ruben Puentes, Senior Officer, Learning and Liaison Rockefeller Foundation, Mexico (May 24 to June 9)

**Global Health Equity Editing Team** – Tim Evans, Director, Health Sciences, Rockefeller Foundation, New York (May 24 to 28)

**Historic Site Museums of Conscience** – Ruth J. Abram, President Lower East Side Tenement Museum, New York, New York (December 2 to 8)

**Implementation Plans for the National Conversation on Race** – Dayna Cunningham, Associate Director, Building Communities Rockefeller Foundation, New York (March 28 to April 2)

**Molecular Epidemiological Surveillance Network for Birth Defects in Latin America** – Julian Adams, Professor and Chair, Department of Biology University of Michigan, Ann Arbor (June 23 to July 6)

**Operationalizing Cairo and Beijing – A Training Initiative in Gender and Reproductive Health** – Jane Cottingham, Technical Officer for Women's Perspectives and Gender Issues, Special Programme of Research, Development and Research Training in Human Reproduction, WHO, Geneva, Switzerland (November 17 to 24)

**A Plan for a European Team on Gender-Based Censorship** – Meredith Tax, President, Women's WORLD, New York, New York (February 10 to 16)

**The Political and Cultural Dimensions of International Health Policy: Lessons from the Child Immunization Initiative** – Judith Justice, Associate Professor of Medical Anthropology and Health Policy, University of California, San Francisco (March 3 to 12)

**Prospective, Stock-Taking Review of Education in Africa** – Richard Sack, Executive Secretary, Association for the Development of Education in Africa, Paris France (July 26 to August 6)

**The Role of Customary Law in the Local Self Governing Sustainable Development Model** – Peter Thomas Orebach, Associate Professor of Law, University of Tromsø, Norway (January 27 to February 2)

## &gt;&gt; Residents

**Dr. Muhsin Jassim Al-Musawi (Iraq)**, Affiliate Professor, Temple University, and Professor, Faculty of Arts, Tunis University, Manouba, Tunisia – a project, 'Writing in Exile'

**Dr. F. M. Alting von Geusau (Netherlands)**, Professor of International Law, Catholic University of Brabant, Netherlands – a textbook on the history of European Unification from a post-totalitarian perspective

**Dr. Carlos Santiago Andreo (Argentina)**, Professor of Biological Chemistry, National University of Rosario – three articles, 'Characterization of NADP-Malic Enzyme from Two Species of Chenopodiaceae Haloxylon Persicum (C4) and Chenopodium Album (C3)', 'An Intermediate Form of NADP-Malic Enzyme from the C3-C4 Intermediate Species Flavena Floridana', and 'Malate Metabolism Through NADP-Malic Enzyme in Plant Defense'

**Dr. William Arens (U.S.A.)**, Professor and Chair, Department of Anthropology, State University of New York, Stony Brook – an essay, 'Cannibalism, Karu and Nobel Science'

**Dr. Asher Arian (Israel)**, Distinguished Professor of Political Science, Graduate School and University Center, City University of New York – a manuscript, 'Executive Governance in Israel'

**Dr. David Aspin (Australia)**, Professor of Education, Monash University – an essay, "Schools and the Learning Community Laying the Basis for Learning Across the Lifespan" (with Judith Chapman)

**Dr. J. Myron Atkin (U.S.A.)**, Professor of Education, Stanford University – a manuscript, 'Fifty Years of Science Education' (with Paul J. Black)

**Dr. Robert Ely Bagg (U.S.A.)**, Professor of English, Emeritus, University of Massachusetts, Amherst – a complete translation of the plays of Sophocles

**Ms. Doreen Barr (U.S.A.)**, Writer, Easton, Connecticut – a manuscript, 'Bio/Memoir A History and Methodology of Four Biographies'

**Dr. Carmen C. Bambach (U.S.A.)**, Associate Curator of Drawing and Prints, Metropolitan Museum of Art, New York, New York – a manuscript, 'Leonardo and the Language of Drawing in the Renaissance'

**Dr. C. M. Bender (U.S.A.)**, Professor of Physics, Washington University, Missouri – a paper, 'Effective Potential for PT Symmetric Quantum Field Theories' (with H. F. Jones)

**Dr. Paul J. Black (U.K.)**, Professor of Education, Emeritus, King's College, London – a manuscript, 'Fifty Years of Science Education' (with J. Myron Atkin)

**Paul D. Blanc, M.D. (U.S.A.)**, Associate Professor of Medicine, University of California, San Francisco – a manuscript, 'Technologic Change and the Ecology of Occupational and Environmental Health'

**Dr. Boris P. Boichan (Moldova)**, Research Director and Head, Department of Agricultural Systems, Research Institute of Field Crops, Moldova – a manuscript, 'Sustainable Development of Agriculture in Moldova'

**Dr. Susan C. Bourque (U.S.A.)**, Esther Booth Wiley Professor of Government, Smith College, Massachusetts – a volume of essays, 'Gender, Race, Ethnicity and Class in Latin America and the Caribbean' (with Marysa Navarro).

**Dr. J. Bruce Brackenridge (U.S.A.)**, Professor of History and Physics, Emeritus, Lawrence University, Wisconsin – a manuscript on the early foundations of Isaac Newton's rational mechanics

**Dr. Nina V. Braginskaya (Russia)** Leading Research Scholar, Institute for Advanced Study in the Humanities, Russian State University for the Humanities, Moscow – a study, 'Russian Scholarly Elite and Stalinism' (with Manna Yu Sorokina)

**Dr. John Briscoe (U.S.A.)**, Senior Water Adviser, World Bank, Washington, D.C. – an article, 'Natural Resource Management and Social Structure: Two Decades of Change in a Bangladesh Village'

**Dr. Valene A. Brown (Australia)**, Foundation Professor of Environmental Health, University of Western Sydney – a manuscript "The Hedgehog, the Fox and the Hounds: The Contribution of Holists, Specialists and Generalists to the Future of Ecologically Sustainable Development"

**Dr. Anatoly Burshtein (Russia)**, Professor, Department of Chemical Physics, Weizmann Institute of Science – a review, 'Photochemical Charge Separation'

**Mr. John Canemaker (U.S.A.)**, Professor and Head, Animation Department, New York University – a film, 'Calabrese. Conversations with My Father'

**Dr. Judith D. Chapman (Australia)**, Professor of Education, University of Western Australia – an essay "Schools and the Learning Community Laying the Basis for Learning Across the Lifespan" (with David Aspin).

**Dr. Jan Chlubec (Czech Republic)**, Institute of Art History, Czech Academy of Sciences – a study "Italian Renaissance Sculpture in Czech State and Private Collections"

**Dr. Stuart Clark (U.K.)**, Senior Lecturer in History, University of Wales – a monograph on visual reality in early modern Europe.

**Dr. Elisabeth S. Clemens (U.S.A.)**, Associate Professor of Sociology, University of Arizona, Tucson – a study, 'Structuring Civic Society Rights, Resources and Restraints on Association'

**Ms. Judith Orber Cofer (U.S.A.)**, Professor of English, University of Georgia, Athens, Georgia – a novel, 'Don Juan's Daughter'



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**Prof. Rebecca Cook (Canada), Professor of Law and Director, International Human Rights Program, University of Toronto** – a manuscript, ‘Reproductive Health Legal, Ethical and Medical Contributions’ (with Bernard M. Dickens)

**Dr. Catherine R. Cooper (U.S.A.), Professor of Psychology and Education, University of California, Santa Cruz** – a manuscript, ‘Bridging Multiple Worlds Culture, Youth Identity and Pathways to College in a Contemporary Democracy.’

**Dr. David J. Corson (Canada), Professor, Ontario Institute for Studies in Education, University of Toronto** – a manuscript, “Language Diversity and Education.”

**Dr. Diana Crane (U.S.A.), Professor of Sociology, University of Pennsylvania, Philadelphia** – a study, “Cultural Imperialism, Global Culture and Cultural Policy”

**Mr. Kevin Cunningham (U.S.A.), Executive Artistic Director, 3-Legged Dog, New York, New York** – a multimedia performance work, “Kampuchea/Loisaida”

**Dr. Anne J. Davis (U.S.A.), Professor of Nursing, Nagano College of Nursing, Japan** – a study, “End of Life Issues in Aging Societies” (with Takako Mitoh)

**Mr. Peter Davison (U.S.A.), Consulting Editor, Houghton Mifflin Company, and Poetry Editor, Atlantic Monthly, Boston, Massachusetts** – a collection of poems, ‘No Escape’

**Halle T. Debas, M.D. (Canada), Dean, School of Medicine, University of California, San Francisco** – a concept paper for developing the first medical school in Eritrea

**Dr. Thomas DeFrantz (U.S.A.), Assistant Professor, Department of Music and Theater Arts, Massachusetts Institute of Technology, Cambridge** – a manuscript, “Revelations: Alvin Ailey’s Embodiment of African-American Culture”

**Ms. Edith Derdyk (Brazil), Artist, São Paulo** – an art book, “Rasuras”

**Dr. Bernard M. Dickens (Canada), Professor of Medical Law and Ethics, University of Toronto** – a manuscript, “Reproductive Health Legal, Ethical and Medical Contributions” (with Rebecca J. Cook).

**Dr. Jorge I. Dominguez (U.S.A.), Director, Weatherhead Center for International Affairs, and Clarence Dillon Professor of International Affairs, Harvard University, Massachusetts** – a manuscript, “U.S.-Latin American Relations and Hemispheric Integration” (with Rafael Fernandez de Castro)

**Dr. John E. Dowling (U.S.A.), Maria Moors Cabot Professor of Natural Sciences, Biological Laboratories, Harvard University, Massachusetts** – a manuscript, “The Great Brain Debate: Is It Nature or Nurture?”

**Dr. Penelope D. Eckert (U.S.A.), Professor of Linguistics, Stanford University** – a manuscript, “The Linguistic Construction of Gender” (with Sally McConnell-Ginet)

**Ms. Marian Wright Edelman (U.S.A.), President, Children’s Defense Fund, Washington, D.C.** – a manuscript, “Lantems: A Memoir of Mentors”

**Ms. Hilal Elver (Turkey), Professor of Law, Center for International Studies, Princeton University** – a manuscript, “Public Order of the Oceans” (with Richard Falk)

**Dr. Arturo Escobar (Colombia), Professor of Anthropology, University of Massachusetts, Amherst** – a manuscript, “After Nature: Re-Visioning Sustainability and Conservation” (with Enrique Lefé)

**Dr. Richard Falk (U.S.A.), Albert G. Milbank Professor of International Law and Practice, Princeton University, New Jersey** – a manuscript, “Public Order of the Oceans” (with Hilal Elver)

**Dr. Brian Fennelly (U.S.A.), Professor of Music, Emeritus, New York University** – a music composition, “Corona for Solo Double Bass and Large Chamber Ensemble”

**Dr. Rafael Fernandez de Castro (Mexico), Research Director, North American Committee, and Professor of International Relations, Instituto Tecnológico Autónomo de México** – a manuscript, “U.S. Latin American Relations and Hemispheric Integration” (with Jorge I. Dominguez)

**Ms. Ingnd Fiske (South Africa), Senior Lecturer and Director, Adult Education and Extra-Mural Studies, University of Cape Town, Rondebosch, South Africa** – a poetry collection, “Home Truths”

**Mr. Donald Freed (U.S.A.), Visiting Distinguished Professor, Loyola Marymount University/University of Southern California** – a play ‘American Iliad’

**Mr. Orlando Jacinto Garcia (U.S.A.), Professor of Composition, Florida International University, Miami** – a music composition for orchestra

**Dr. Alvin Greenberg (U.S.A.), Professor of English, Macalester College, Minnesota** – a collection of personal essays, “The Music of Silence”

**Dr. Stephen Greenblatt (U.S.A.), Harry Levin Professor of English, Harvard University, Massachusetts** – a manuscript, “Hamlet in Purgatory”

**Dr. Robert M. Hamish (U.S.A.), Professor of Philosophy, University of Arizona, Tucson** – a study, “Frege and the Doctrine of Logical Form”

**Dr. N. Katherine Hayles (U.S.A.), Professor of English, University of California, Los Angeles** – a manuscript, “Liking Bodies: Hypertext Fiction in Print and New Media”

**Dr. Michael C. Healey (Canada), Professor, Institute for Resources and Environment, University of British Columbia** – a study, “The Evolution of Fisheries Policy in the United States and Canada and ‘The Ecology of Governance’” (with Timothy M. Hennessey)

**Mr. Jan Hendrix (Netherlands), Artist, Mexico City, Mexico** – a catalogue, “Bitacora”

**Dr. Timothy M. Hennessey (U.S.A.), Professor, Department of Marine Affairs, University of Rhode Island** – a study, “The Evolution of Fisheries Policy in the United States and Canada and ‘The Ecology of Governance’” (with Michael C. Healey)

**Dr. Patrick R. Ireland (U.S.A.), Associate Professor, Graduate School of International Studies, University of Denver, Colorado** – a manuscript on the relationship between the welfare state and the integration of Turks and North Africans in the major urban centers of Germany and the Low Countries

**Mr. John Jesurun (U.S.A.), Artist, New York, New York** – a multimedia opera, “The End of Cinematics”

**Dr. Baber Johansen (Germany), Director of Studies, École des Hautes Études en Sciences Sociales, Paris, France** – a study, “Rational Belief or Submission to Authority? Two Ways of Becoming a Member of the Muslim Community of Belief, Cult and Law”

**Dr. H. F. Jones (U.K.), Senior Lecturer, Department of Physics, Imperial College, London** – a paper, “Effective Potential for PT-Symmetric Quantum Field Theories” (with C. M. Bender)

**Dr. Feroza F. Jussawalla (U.S.A.), Professor and Director of Literature, University of Texas, El Paso** – a manuscript, “The Postcolonial Bildungsroman (Re)Defining Postcoloniality”

**Dr. Sudhir Kakar (India), Psychiatrist, India Habitat Centre, New Delhi, India** – a biography of Leopold von Sacher Masoch

**Dr. Ousmane Kane (Senegal), Associate Professor of Political Science, Université Gaston Berger, Saint-Louis, Senegal** – a manuscript, “Islamic Revival in Post-Colonial Nigeria”

**Dr. Morton Keller (U.S.A.), Spector Professor of History, Brandeis University, Massachusetts** – a manuscript, “Harvard 1933–2000: Success and Its Discontents” (with Phyllis Keller)

**Dr. Phyllis Keller (U.S.A.), Senior Associate Dean of Faculty of Arts and Sciences (retired), Harvard University, Massachusetts** – a manuscript, “Harvard 1933–2000: Success and Its Discontents” (with Morton Keller)

**Mr. Tracy Kidder (U.S.A.), Writer and Contributing Editor, The Atlantic** – a memoir, “My Detachment”

**Ms. Maxine Hong Kingston (U.S.A.), Senior Lecturer, Department of English, University of California, Berkeley** – a novel, “The Fifth Book of Peace”

**Dr. Leela A. Kobbekaduwa (Sri Lanka), Visiting Lecturer, Department of Education, University of Peradeniya, Kandy** – a study, “Education and the Educated Person: Selected Educational Thinkers of Asia”

**Howard E. Kulin, M.D. (U.S.A.), Professor of Pediatrics and Head, Section of Pediatric Endocrinology, Pennsylvania State University** – a review paper, “The Effect of Sex Hormones on Behavior During Adolescence.”

**Mr. Piotr Kurka (Poland), Professor, Academy of Fine Art, Poznań** – artwork

**Dr. David Landes (U.S.A.), Coolidge Professor of History, and Professor of Economics (Emeritus), Harvard University, Massachusetts** – a study, “Dynasties: Family and Families in Business”



- Dr. Herbert M. Lefcourt (Canada), Distinguished Professor Emeritus, Department of Psychology, University of Waterloo** – a manuscript, "The Psychological Study of Humor"
- Dr. Enrique Leff (Mexico), Coordinator, Environmental Training Network, U.N. Development Program, and Professor of Political Ecology, Universidad Nacional Autonoma de Mexico** – a manuscript, "After Nature Re-Visioning Sustainability and Conservation" (with Arturo Escobar).
- Mr. James Legg (U.S.A.), Composer, New York, New York** – an operatic adaptation of Arthur Miller's "All My Sons"
- Dr. Nehemia Levtzion (Israel), Full Professor of the History of the Muslim People, Hebrew University, Jerusalem** – a manuscript, "Structure, Organization and Ritual of Sufi Brotherhoods"
- Dr. Nelson Lichtenstein (U.S.A.), Professor of History, University of Virginia, Charlottesville** – a manuscript, "The Labor Question and American Political Culture"
- Mr. Lowell Liebermann (U.S.A.), Composer, New York, New York** – music compositions
- Dr. Lawrence Lipking (U.S.A.), Chester Tripp Professor, Department of English, Northwestern University, Illinois** – a critical history of English poetry in "The Long 18th Century" (1660-1850)
- Ms. Ardele Diane Lister (Canada), Associate Professor, Department of Visual Arts, Rutgers University, New Jersey** – a narrative fable for video, "Dreaming Backwards"
- Ms. Annea F. Lockwood (New Zealand/U.K.), Professor of Music, Vassar College, New York** – a music composition, "Floating World."
- Dr. Gilbert Loescher (U.S.A.), Professor of International Relations, University of Notre Dame, Indiana** – a manuscript, "The United States High Commissioner for Refugees Its Role in World Politics and Refugee Protection."
- Dr. John R. Logan (U.S.A.), Professor of Sociology, State University of New York, Albany** – a manuscript, "Global Neighborhoods The New Diversity in the American Metropolis '
- Dr. Vladimir J. Lumelsky (U.S.A.), Professor of Engineering, University of Wisconsin, Madison** – a study, "Partnership between Human and Machine Intelligence "
- Mr. Luorong Zhandui (China), Deputy Researcher, China Tibetology Research Center, Beijing** – a case study on the development of the Tibetan ecosociety and its effects on the grassland ecosystem
- Dr. George E. Marcus (U.S.A.), Professor of Political Science, Williams College, Williamstown, Massachusetts** – a manuscript, "The Sentimental Citizen Emotion in Democratic Politics "
- Dr. Richard Peter Martin (U.S.A.), Professor of Classics, Princeton University, New Jersey** – a manuscript, "The Last Hero Song: Telemakhos and the Generation of the 'Odyssey'"
- Ms. Nancy Hicks Maynard (U.S.A.), Director, The Economics of News Project, Maynard Partners, Inc., New York, New York** – a study examining technology and market forces to determine their impact on news quality
- Dr. Sally McConnell-Ginet (U.S.A.), Professor and Chair, Department of Linguistics, Cornell University, New York** – a manuscript, "The Linguistic Construction of Gender" (with Penelope D. Eckert)
- Mr. Charles L. Mee (U.S.A.), Playwright, Brooklyn, New York** – a play, "First Love"
- Dr. Barbara Metcalf (U.S.A.), Professor of History and Dean of Social Sciences, University of California, Davis** – a manuscript, "On the Road to Allah Practicing Islam in the Tablighi Jama 'at"
- Dr. Thomas R. Metcalf (U.S.A.), Professor of History, University of California, Berkeley** – a study, "Decentering Empire Britain, India and the Indian Ocean Arena, 1850-1920 "
- Dr. Takako Mitoh (Japan), President and Dean, Nagano College of Nursing, Japan** – a study, "End of Life Issues in Aging Societies" (with Anne J. Davis)
- Dr. Harvey Molotch (U.S.A.), Professor of Sociology, University of California, Santa Barbara** – a manuscript, "The Mode of Production '
- Dr. Ashis Nandy (India), Senior Fellow, Centre for the Study of Developing Societies, Delhi** – a monograph, "The Possible Futures of a Post-Colonial East – West Dialogue '
- Dr. Marysa Navarro (U.S.A.), Charles Collis Professor of History, Dartmouth College, New Hampshire** – a volume of essays, "Gender, Race, Ethnicity and Class in Latin America and the Caribbean" (with Susan C. Bourque)
- Dr. Linda Nochlin (U.S.A.), Lila Acheson Wallace Professor of Modern Art, New York University, New York** – a study, "Bathtime A Study of the Practices and Representation of Bathing in Late 19th- and Early 20th-Century France "
- Dr. Thanh Nyan (France), Lecturer in French Linguistics, University of Manchester, U.K.** – a study, "Linguistic Rules Revisited."
- Dr. Carla Makhlof Obermeyer (U.S.A.), Associate Professor of Population and Anthropology, Harvard School of Public Health, Massachusetts** – a manuscript 'Reproductive Health and Culture in Arab Societies.'
- Dr. Olu Ogulibe (Nigeria), Stuart Golding Endowed Chair in African Art, University of South Florida, Tampa** – a critical anthology, "Reading the Contemporary African Art From Theory to the Marketplace "
- Dr. Martha Brill Olcott (U.S.A.), Senior Associate, Carnegie Endowment for International Peace, Washington, D.C.** – an essay, "From Ethos to Demos Post-Soviet States in Transition" (with Valery A. Tishkov)
- Dr. Alicia Ostriker (U.S.A.), Professor, Department of English, Rutgers University, New Jersey** – a sequence of poems on themes of art – visual and musical
- Dr. K. N. Panikkar (India), Professor of Modern Indian History, Jawaharlal Nehru University** – a monograph on the intellectual/cultural history of colonial India, covering the 19th and 20th centuries
- Ms. Yolanda Pantin (Venezuela), Director of Museography, Alejandro Otero Museum for Contemporary Arts, Caracas** – a critical anthology of contemporary Venezuelan women writers (with Ana Teresa Torres)
- Dr. Ruth Perry (U.S.A.), Professor of Literature, MIT, Massachusetts** – a manuscript, "Novel Relations A History of the Family and the Novel in English Society, 1750-1810 "
- Dr. Ralph R. Premdas (Guyana), Professor and Chair, Department of Behavioral Sciences, University of the West Indies, Trinidad and Tobago** – a study, "Center Periphery Relations in Ethnic Conflict Regulation "
- Ms. Judith Raphael (U.S.A.), Artist, Chicago, Illinois** – artwork, "Borrowed Heroes "
- Dr. Ren Donghai (China), Associate Professor of History and International Relations, Nanjing Hopkins Center, Nanjing University** – a study, "Taiwan Issue in the Three Joint Sino-U.S. Communiqués and America's Taiwan Relations Act."
- Dr. Martha Farnsworth Riche (U.S.A.), Former Director, U.S. Bureau of the Census, Bethesda, Maryland** – a manuscript, 'WEs and THEYs The Politics of Population Change."
- Dr. Robert Edmund Roberts (U.S.A.), Professor, School of Public Health, University of Texas, Houston** – a monograph on "Body and Mind The Mental Health Effects of Obesity"
- Dr. John C. G. Rohr (U.K.), Professor of History, School of European Studies, University of Sussex, U.K.** – the second volume of a biography of Kaiser Wilhelm II
- Dr. Lawrence Rosen (U.S.A.), Professor of Anthropology, Princeton University, New Jersey** – a manuscript, "Drawn from Memory "
- Dr. Dorothy Ross (U.S.A.), Arthur O. Lovejoy Professor of History, Johns Hopkins University, Maryland** – a manuscript, 'What Are Our Social Responsibilities? Debates About Social Ethics In Modern America "
- Dr. Peter Salovey (U.S.A.), Professor of Psychology and of Epidemiology and Public Health, Yale University, Connecticut** – a manuscript on 'emotional intelligence "
- Dr. Carlos Sanchez-Gutierrez (Mexico), Assistant Professor of Composition, San Francisco State University, California** – a music composition commissioned by the American Society of Composers, Authors and Publishers
- Dr. Affonso Romano de Sant'Anna (Brazil), Writer, Rio de Janeiro** – a study, "Carnivalization and Culture "



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**Dr. Richard M. Scheffler (U.S.A.), Professor of Health Economics and Public Policy, University of California, Berkeley** – a manuscript, "The Health Care Work Force of the Future"

**Dr. Sheldon J. Segal (U.S.A.), Distinguished Scientist, Population Council, New York, New York** – a manuscript, "Is Menstruation Obsolete?"

**Dr. Susan Sherwin (Canada), Professor of Philosophy and Women's Studies, Dalhousie University, Nova Scotia, Canada** – a paper, "Feminist Reflections on the Role of Theories in a Global Bioethics"

**Dr. Amnon Shiloah (Israel), Professor Emeritus, Department of Musicology, Hebrew University, Jerusalem** – a manuscript, "The Theory of Music in Arabic Writings, Volume II"

**Dr. Ella Shohat (U.S.A.), Professor, Department of Performing and Creative Arts, Film Studies, City University of New York, New York** – a study, "Performing the Orient: Cinema Spectacle and the Returned Gaze" (with Robert Stam)

**Dr. Eric Marc Alexander Smaling (Netherlands), Professor of Soil Science, Wageningen Agricultural University** – a study, "Soil Nutrient Management as Part of African Livelihood"

**Dr. Dave Smith (U.S.A.), Boyd Professor of English, Louisiana State University, Baton Rouge** – a collection of poems "The Wick of Memory: New and Selected Poems 1970–2000"

**Dr. Zahava Solomon (Israel), Professor and Director, Adler Center, Tel Aviv University** – a manuscript, "Until Death Do Us Part: Secondary Traumatization in Wives of Traumatized Soldiers"

**Dr. Marina Yu. Sorokina (Russia), Senior Researcher, Department of History, Russian Academy of Sciences Archives, Moscow** – a study "Russian Scholarly Elite and Stalinism" (with Nina V. Braginskaya)

**Dr. Monica Spindron (Romania), Associate Professor, Department of Communication Studies, University of Bucharest** – a study, "A Stereotype of the Marginal Identity: Occident and Orient in the Romanian Culture of the 20th Century"

**Dr. Robert Stam (U.S.A.), Professor of Cinema Studies, New York University, New York** – a study, "Performing the Orient: Cinema, Spectacle and the Returned Gaze" (with Ella Shohat)

**Dr. Judith E. Stein (U.S.A.), Independent Curator, Critic and Historian, Philadelphia, Pennsylvania** – a biography, "The Life and Times of Richard Bellamy"

**Mr. Henry I. Steiner (U.S.A.), Jeremiah Smith Jr., Professor of Law and Director, Human Rights Program, Harvard Law School, Massachusetts** – a study, "International Law, the Private-Public Divide, and Expansion of the Human Rights Movement"

**Dr. Ze'ev Sternhell (Israel), Leon Blum Professor of Political Science, Hebrew University, Jerusalem** – a study, "The Political Culture of the Anti-Enlightenment"

**Dr. Ekaterina A. Stetsenko (Russia), Senior Research Fellow, Gorky Institute of World Literature, Russian Academy of Sciences, Moscow** – a manuscript, "Ecological Consciousness Versus Civilization in Contemporary American Literature"

**Mr. Carl Stone (U.S.A.), Composer, San Francisco, California** – a music composition, "Sawasdee"

**Mr. Chip Sullivan (U.S.A.), Associate Professor of Landscape Architecture, University of California, Berkeley** – a manuscript "Climatics of the Garden: Passive Techniques for Landscape Design"

**Ms. Elisabeth Sussman (U.S.A.), Independent Curator, New York, New York** – a catalogue of the Eva Hesse exhibition.

**Mr. Richard Lowe Teitelbaum (U.S.A.), Associate Professor of Music, Bard College, New York** – a music composition, "Concertino for Piano and Orchestra."

**Dr. David Throsby (Australia), Professor of Economics, Macquarie University, Sydney** – a study, "The Economics of Creativity: The Role of Economic and Cultural Value in the Work of the Creative Artist"

**Mr. Neelan Tiruchelvam (Sri Lanka), Member of Parliament and Director, International Centre for Ethnic Studies, Colombo** – a manuscript, "Constitutionalism and Securing Minority Rights"

**Dr. Valery A. Tishkov (Russia), Director, Institute of Ethnology and Anthropology, Russian Academy of Science, Moscow** – an essay "From Ethos to Demos: Post-Soviet States in Transition" (with Martha Brill Olcott)

**Dr. David S. Toolan, S. J. (U.S.A.), Associate Editor, America magazine, New York, New York** – a manuscript, "At Home in the Cosmos: Praying and Acting in a Post Einsteinian Universe"

**Ms. Anna Teresa Torres (Venezuela), Writer, Caracas** – a critical anthology of contemporary Venezuelan women writers (with Yolanda Pantin)

**Ms. Mantes D. Vitug (Philippines), Freelance Journalist, Rizal** – a manuscript on peace and rebellion in the southern Philippines

**Dr. Barry Wellman (Canada), Professor of Sociology, Centre for Urban and Community Studies, University of Toronto** – a study, "Networked Living in the Wired World: The Evidence Beyond the Hype"

**Dr. Zhang Yonghua (China), Professor, Department of Journalism, Shanghai International Studies University** – a study, "New Information Technologies and Mass Communication in China"

**Mr. Raul H. Yzaguirre (U.S.A.) President, National Council of La Raza, Washington, D.C.** – a memoir focusing on his experiences in the Latino movement and a "Profiles in Courage" style work highlighting key historical Latino leaders

**Bellagio Innovation Fund  
1999 Appropriation of \$125,000 in addition to previous funding for allocation by the officers to seek out and fund activities that will enhance Bellagio conferences and teams**

**Lower East Side Tenement Museum, New York, New York** \$15,000 toward the cost of activities to enhance a team residency "International Historic Site Museums of Conscience" (December 2 to 8, 1999)

**Scientific Committee on Oceanic Research, Baltimore, Maryland** \$16,000 toward the cost of activities to enhance a team residency, "Graduate Education in Oceanography in Developing Countries as a Means to Understand Global Environmental Problems" (August 26 to September 1, 1998)

**University of Pittsburgh, Pennsylvania** \$25,000 toward the cost of activities to enhance a team residency, "Mapping China's Past: A Cartographic Reconstruction of Chinese History" (March 4 to 24, 1999)

**University of Sussex, Brighton, U.K.** \$20,360 toward the cost of activities to enhance a conference, "Constitution-Making, Conflict and Transition in Divided Societies," (February 15 to 19, 1999)

**Women's World Organization for Rights, Literature and Development, New York, New York** \$25,000 toward the cost of activities to enhance a team residency, "A Plan for Europe: Women's World European Meeting" (February 10 to 16, 1999)



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## I POPULATION SCIENCES I

## &gt; PROMOTING POLICY DIALOGUE AND RESEARCH

**Columbia University**

*1999 appropriation of \$600,000 to enable its Schools of Public Health and International and Public Affairs to explore new avenues of development cooperation that could speed implementation of the goals articulated in the Cairo Programme of Action.*

**Policy Dialogue and Research**

*1999 appropriation of \$7,700,000 in addition to previous funding for allocation by the officers to support policy dialogue and research activities that will mobilize the resources needed to satisfy unmet demand for family planning and reproductive health services in developing countries.*

**2050**, Tokyo, Japan: \$100 000 to educate the Japanese public and policymakers about the Cairo Programme of Action and the importance of South-to-South collaboration in improving reproductive health

**Action Canada for Population and Development**, Ottawa, Canada \$71,700 for a public education program about international population and development issues and the dissemination of research results on these topics

**Administrative Allocation**: \$125,000 for administrative expenses associated with the population policy dialogue and research program

**Association for the Promotion of Population Activities - Senegal**, Dakar/Fann, Senegal \$260,000 for general support

**Australian Reproductive Health Alliance**, Canberra, Australia \$300,000 to continue to inform Australian policymakers and the public about international reproductive health, population and development issues

**Center for Cultural and Technical Interchange between East and West**, Honolulu, Hawaii \$314,040 to strengthen evidence-based policy dialogue on population, reproductive health and development issues in the Asia-Pacific region through a study of population, development and inequality

**Cornell University**, Ithaca, New York \$179 420 to complete a study conducted in collaboration with the Population Council and the University of Southampton on cultural theories of fertility decline in Bangladesh and West Bengal, India

**Danish Family Planning Association**, Copenhagen, Denmark \$120,000 for an educational initiative on international reproductive-health and family-planning issues

**Equilibrium and Population**, Paris, France. \$215,000 for activities designed to educate European policymakers and the public about international population and reproductive health issues

**Family Care International**, New York, New York \$60,000 toward the cost of publishing a report on global progress toward implementing the recommendations of the 1994 International Conference on Population and Development

**Family Federation of Finland**, Helsinki, Finland \$191,180 to continue an educational initiative on international reproductive health and family-planning issues for parliamentarians, government officials and the media

**Foundation-administered project**: \$28,570 for a meeting organized in collaboration with the William and Flora Hewlett and the David and Lucile Packard foundations, to inform European private foundations about funding opportunities in connection with the Cairo Programme of Action.

**Foundation-administered project**: \$4,169 for a meeting organized in collaboration with the U N Population Fund to discuss plans for a 1999 review of the implementation of the Programme of Action of the International Conference on Population and Development held in 1994

**Foundation-administered project**: \$1,200,000 for policy dialogue communications activities and projects in support of South-to-South cooperation

**Hive Collaborative**, New York, New York \$158,000 to develop and implement, in collaboration with the Partners in Population and Development, an Internet Web site to encourage and support South to South communications and collaboration on global population and development issues.

**International Council on Management of Population Programmes**, Kuala Lumpur, Malaysia \$300,000 to foster collaboration in population and reproductive health among nongovernmental organizations in Asia

**International Council on Management of Population Programmes**, Kuala Lumpur, Malaysia \$100,000 to link population and development NGOs in an effort to foster policy dialogue for resource mobilization for reproductive health programs in the Asia-Pacific region

**International Planned Parenthood Federation**, London, U K . \$95,580 to continue an educational initiative on international reproductive-health and family-planning issues for European parliamentarians civil servants, the media and others

**International Union for the Scientific Study of Population**, Liège, Belgium \$50,000 toward a seminar on family planning programs in the 21st century, organized in collaboration with the International Centre for Diarrhoeal Disease Research, Bangladesh.

**Johns Hopkins University**, Baltimore, Maryland \$226,560 to continue a collaborative project with the University of Montreal on the relationships between declining child mortality and fertility rates in sub-Saharan Africa

**Marie Stopes International**, London, U K \$164,870 for an educational project for European Union policymakers concerning sexual and reproductive health in developing countries, and the provision of technical assistance to NGOs working in this field.

**New Zealand Family Planning Association**, Wellington, New Zealand: \$200,000 to continue a public education and information project on international reproductive health, population and development issues

**Population Action International**, Washington D.C. \$150,000 toward the cost of research and publications to advance implementation of the Cairo Programme of Action

**Population Concern**, London, U K \$277,880 for a project in collaboration with the Secretariat of the Partners in Population and Development to strengthen South to South partnerships among nongovernmental agencies providing reproductive health services

**Population Concern**, London, U K \$700,000 to continue a project in collaboration with the Secretariat of the Partners in Population and Development and ICOMP to strengthen South-to-South partnerships among nongovernmental agencies providing reproductive health services

**Population Council**, New York, New York \$320,300 for a study on the transition to adulthood in Bangladesh, Egypt, Pakistan, South Africa and Vietnam

**Population Council**, New York, New York \$252 350 to continue a study documenting the impact of quality of care on women's reproductive behavior

**Population Council**, New York, New York \$198,980 for a study to assess the impact of improving the quality of reproductive health care in Pakistan.

**Population Council**, New York, New York \$687,100 for a study on the transition to adulthood in Bangladesh, Egypt, Pakistan, South Africa and Vietnam

**Population Reference Bureau**, Washington, D C \$12,400 for case study presentations at the Cairo + 5 meeting in The Hague on how Brazil, India, Morocco and Uganda have implemented the Programme of Action adopted in 1994.

**Rand Corporation**, Santa Monica, California \$150,000 to continue its policy-relevant research communication program Population Matters

**Swedish Association for Sex Education**, Stockholm, Sweden \$90,000 to continue an educational initiative concerning the Cairo Programme of Action, particularly adolescent reproductive health and rights, for Swedish opinion leaders, government officials and the public

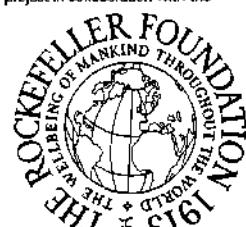
**United Nations Population Fund**, New York, New York \$1,199,630 to continue support for the Partners in Population and Development initiative

**University of Cape Coast**, Cape Coast, Ghana \$409,790 for a collaborative study with the Population Council concerning how social networks and community groups affect health behavior in Ghana

**University of Pennsylvania**, Philadelphia, Pennsylvania. \$50 000 to continue a study on how ideas about fertility behavior and family planning diffuse across different political and social environments in Kenya, Malawi and Zimbabwe

**University of Pennsylvania**, Philadelphia, Pennsylvania \$193,950 to continue a study on the role of social interaction in the diffusion of new ideas about family size and the spread of family planning in sub-Saharan Africa

**World Bank**, Washington, D.C. \$400,000 for a training program in population economics and reproductive health organized by its Economic Development Institute for public- and private-sector professionals in countries receiving World Bank assistance



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**World Population Foundation**, Hilversum Netherlands \$215,600 for three projects designed to mobilize European donor assistance for reproductive-health and family planning programs in developing countries

**Zimbabwe National Family Planning Council**, Harare, Zimbabwe \$536 for a collaborative program with the Ministry of Health and Child Welfare to develop and strengthen Zimbabwe's capacity to provide South-to-South support to other countries in the region

#### *Policy Research in Sub-Saharan Africa*

**1999 appropriation of \$1,850,000 in addition to previous funding for allocation by the officers to support population research in Africa designed to improve policymakers' understanding of the current unmet demand for family-planning and reproductive-health services in their countries and provide them with information on how to design services that improve women's health and reduce fertility.**

**Ministry of Health**, Ghana, Accra, Ghana. \$199,220 toward the cost of the demographic surveillance system of the Navrongo Health Research Centre, and to begin the process of transferring lessons learned from the Navrongo Community Health and Family Planning project to the national health program.

**Union for African Population Studies**, Dakar, Senegal \$111,850 toward the cost of a conference to be held in South Africa on the African population in the 21st Century

**University of Ouagadougou**, Ouagadougou, Burkina Faso \$75,020 to enable its Research and Training Unit in Demography to complete an assessment of the health and demographic impact of a community based distribution project in a rural area of Burkina Faso.

**University of Pennsylvania**, Philadelphia, Pennsylvania \$100,000 for use by its Population Studies Center for the African census analysis project



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#### > LAUNCHING A SECOND CONTRACEPTIVE REVOLUTION

##### *Second Contraceptive Technology Revolution*

**1999 appropriation of \$4,500,000 in addition to previous funding for allocation by the officers to mobilize resources for launching a second contraceptive technology revolution that will lift the whole field of contraceptive research and development.**

**All India Institute of Medical Sciences**, New Delhi, India \$100,000 for studies in receptive stage gene expression in the rhesus-monkey endometrium, and the mode of action of anti-angiogenic agents during receptivity and implantation, as part of a network working on implantation research in collaboration with the World Health Organization

**Babraham Institute**, Cambridge, U.K. \$52,540 for a study of factors regulating plasma membrane fluidity during sperm maturation in the epididymis

**Catholic University of Chile**, Santiago, Chile \$144,200 for its training and research program in reproductive biology

**Chinese University of Hong Kong**, Shatin, Hong Kong \$106,200 to investigate the feasibility of blocking epididymal anion channels as a novel method for male fertility regulation

**Eastern Virginia Medical School of the Medical College of Hampton Roads**, Norfolk, Virginia. \$2,600,000 for use by its CONRAD program's consortium for industrial collaboration in contraceptive research

**Foundation-administered project**: \$50,000 for consultants and other administrative expenses in connection with the program for launching a second contraceptive revolution

**International Centre for Genetic Engineering and Biotechnology**, New Delhi, India \$9,217 for research on the spermicidal and anti-microbial properties of Neem seed extracts and their effects on fertility

**Medical Research Council**, London, U.K. \$2,600 for use by its HIV Clinical Trials Centre to evaluate the efficacy of vaginal microbicides in the macaque

**Talwar Research Foundation**, New Delhi, India \$119,500 to develop human and/or "humanized" antibodies against human chorionic gonadotropin for emergency contraception

**University of Cambridge**, Cambridge, U.K. \$199,540 for use by its Department of Obstetrics and Gynaecology for a study of angiogenesis and the role of angiogenic growth factors on implantation as part of a network working on implantation research in collaboration with the World Health Organization.

**University of Newcastle**, Callaghan, Australia \$186,430 for a study of redox regulation of mammalian sperm function as a strategy for post-testicular male contraception.

**University of Technology Aachen**, Aachen, Germany \$65,000 to add an obstetrical clinician to the team developing trophoblast specific contraceptive agents as part of an implantation research network brought together by the World Health Organization

**University of Turku**, Turku, Finland \$107,570 to continue the development of immortalized epididymal cell lines and related activities as part of a network concerned with the application of molecular pharmacology for post-testicular activity

**University of Virginia**, Charlottesville, Virginia \$95,180 for research on the epididymal cathepsin transporter, a site of attack for the development of a male contraceptive.

**University of Virginia**, Charlottesville, Virginia \$265,970 to continue a project on epididymal-specific transcription factors and secreted proteins as targets for the development of a male contraceptive

**University of Virginia**, Charlottesville, Virginia \$10,500 to promote closer collaboration with the University of Turku in connection with a study of epididymal-specific transcription factors and secreted proteins as targets for the development of a male contraceptive

**Vanderbilt University**, Nashville, Tennessee \$137,830 for the characterization of an epididymal gene promoter as a tool to study secreted epididymal proteins as potential targets for male contraception

**Westphalian Wilhelms University**, Munster, Germany \$191,960 for a study designed to develop a male contraceptive by targeting sperm metabolism

**World Health Organization**, Geneva, Switzerland \$35,030 for a meeting of the investigators and project review committee for an implantation research initiative of the Special Programme of Research, Development and Research Training in Human Reproduction

#### > RESEARCH TO IMPROVE REPRODUCTIVE HEALTH

##### *Improving Reproductive Health Service Delivery*

**1999 appropriation of \$3,000,000 in addition to previous funding for allocation by the officers to support research in selected developing countries to identify sustainable models to improve the reach and quality of reproductive health services, especially for neglected populations and services.**

**Foundation-administered project**: \$175,000 for a workshop in India for grantees either conducting or planning to conduct intervention research on sexual and reproductive health issues in India, Sri Lanka, Vietnam and Myanmar, and their technical support partners

**INCLEN, Inc.**, Philadelphia, Pennsylvania \$173,870 for an evaluation of the Global Network for Perinatal and Reproductive Health Research (formerly the Reproductive Health Working Group), and continued support of its technical assistance to projects under way

**International Center for Research on Women**, Washington, D.C. \$675,150 to continue to provide technical assistance to nongovernmental organizations in India undertaking intervention research on adolescent sexuality and reproductive health

**International Center for Research on Women**, Washington, D.C. \$847,130 for expanded work with a group of five nongovernmental organizations in India conducting community-based intervention research on adolescent sexuality and reproductive health

**K.E.M. Hospital Research Centre**, Pune, India \$45,400 for a study to assess the performance of various approaches to assess vaginal discharge in India, to inform the development of simple flow charts for the case management of vaginal discharge

**Ministry of Finance, Planning and Economic Development, Uganda**, Kampala, Uganda \$450,000 for activities by its Population Secretariat to continue building capacity at the district and sub county levels to collect, analyze and utilize information and data about adolescent reproductive health for local program development and implementation, and to build capacity at the Secretariat for national policy analysis and coordination

**Ministry of Health**, Burkina Faso, Ouagadougou, Burkina Faso \$149,050 for an intervention research study in Burkina Faso to evaluate and improve reproductive health services for adolescents

**Pacific Institute for Women's Health**, Los Angeles, California \$332,640 to continue to provide technical assistance to African nongovernmental organizations conducting research in the field of adolescent reproductive health

**Population Council**, New York, New York \$231,880 to enable the Population Council's staff in Hanoi to continue to provide training and technical support to Vietnamese NGOs and government agencies conducting reproductive health research

**Public Health Institute**, Berkeley, California \$200,000 to enable its Pacific Institute for Women's Health to design and pilot test community-based interventions for prevention of unsafe abortion and its complications in Kenya, in collaboration with the Centre for the Study of Adolescence in Nairobi

**Tulane University**, New Orleans, Louisiana \$7,940 for use by its School of Public Health and Tropical Medicine to further evaluate the West African Youth Initiative, implemented by Nigeria's Association for Reproductive and Family Health

#### **Public Health Schools Without Walls**

**1997 appropriation of \$1,300,000 in addition to previous funding for allocation by the officers to continue support for field-based, graduate-degree training for public health officers in Zimbabwe, Uganda, Ghana and Vietnam, as well as exploratory activities in other countries.**

**Foundation-administered project:** \$50,000 for the annual meeting and other collaborative network activities among Public Health Schools Without Walls sites

**University of the Western Cape**, Bellville, South Africa \$117,800 for use by its Public Health Programme to develop problem-based training materials for public health practitioners in distant and disadvantaged South African communities through a distance learning program in health management

#### **Women for Women's Health**

**1993 appropriation of \$1,300,000 in addition to previous funding for allocation by the officers to help Malawi and Uganda develop the capacity for training increased numbers of nurse-midwives and upgrading their skills and status as a way of bringing about sustained reduction in maternal mortality and morbidity.**

**University of Pennsylvania**, Philadelphia, Pennsylvania \$69,401 for a collaborative project with the Malawi Ministry of Health and Population to expand the network of volunteer Community-Based Safe Motherhood Advisers and to develop and incorporate a literacy component into the training program to help them become more effective in their outreach efforts

#### > OTHER

##### **Re-Entry Award Terminations**

**1993 appropriation of \$400,000 in addition to previous funding for allocation by the officers re-entry Award Terminations.**

**Beijing Medical University**, Beijing, China \$40,000 for a project in collaboration with the National Research Institute for Family Planning on the interaction of water channel and carbonic anhydrase genes in the testis and the control of spermiogenesis, spermiation and the motility of spermatozoa

**Dewi Ahilya University**, Indore, India \$71,500 for a study in mice of the contraceptive efficacy of mifepristone and cabergoline in relation to their effects on the expression of 32 kDa, a progesterone-induced protein gene

**Gauhati University**, Guwahati, India \$23,860 for a study of the status of women in urban areas of Assam, India and its effects on their reproductive health and fertility

**Shanghai Second Medical University**, Shanghai, China \$35,000 for a study of the mechanism of action of corticosterone regulation of rat Leydig cell apoptosis and proliferation

**International Planned Parenthood Federation**, London, U.K. \$30,000 toward the production and distribution of the IPPF Medical Bulletin in 1999

**Johns Hopkins University**, Baltimore, Maryland \$25,000 for use by its Center for Communication Programs toward the Nafis Sadik Leadership Fellows program

**Ministry of Health, Ghana**, Accra, Ghana \$50,000 to disseminate research findings of the Navrongo Health Research Centre at its 10th anniversary celebration

**Parliamentarians for Global Action**, New York, New York \$120,000 to complete a three-year West African parliamentarian initiative on population and development that concerns the implementation of the Cairo Programme of Action

**Population Council**, New York, New York \$100,000 toward the cost of estimating the acceptability and potential market in South Africa and Thailand for vaginal microbicides that could protect against the transmission of HIV and other diseases

**Population Council**, New York, New York \$59,800 for a conference held in collaboration with the Ministry of Health on the implementation of South Africa's new law regarding abortion

**Reproductive Health Technologies Project**, Washington, D.C. \$75,000 to promote communication between the pharmaceutical and medical-device industries and consumer advocates to increase the availability of safe and effective drugs and devices for reproductive health and fertility regulation

**University of Chile**, Santiago, Chile \$48,430 for a study of steroidogenic acute regulatory protein (StAR) during the post ovulatory period of the human menstrual cycle and the implications for novel methods of contraception

**University of Pennsylvania**, Philadelphia, Pennsylvania \$84,150 to enable its Population Studies Center to study reproductive behavior and the attitudes of women and family-planning personnel following the introduction of new contraceptives and the provision of better training to local family-planning personnel in selected regions of rural China



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**University of Pennsylvania**, Philadelphia, Pennsylvania \$25,000 to enable its Population Studies Center to study the effects on national Chinese family-planning policy of a project under which the State Family Planning Commission introduced new contraceptives and provided better training to local family-planning personnel in rural China

#### >> Grants in Aid

**Australian National University**, Canberra, Australia \$150,000 toward the creation of an academic position in its National Centre for Epidemiology and Population Health to be known as the John C. Caldwell, Chair in Population, Health and Development.

**Georgia Campaign for Adolescent Pregnancy Prevention**, Atlanta, Georgia \$100,000 toward the costs of an evaluation of the Campaign's work in three Georgia communities with high rates of adolescent pregnancy

**International Council on Management of Population Programmes**, Kuala Lumpur, Malaysia \$126,640 to develop, in collaboration with the Population Council's Bangkok Office, a unified methodology for field-based strategic assessments of quality of care in reproductive-health programs

## I AGRICULTURAL SCIENCES I

## &gt; BIOTECHNOLOGY TO INCREASE CROP YIELD

**Biosafety and Intellectual Property Rights**

**1998 appropriation of \$80,000 in addition to previous funding for allocation by the officers to help developing countries implement effective systems for dealing with the biosafety and intellectual property rights issues entailed in the use of plant genetic engineering and its products.**

**International Center for Tropical Agriculture, Cali, Colombia** \$51,580 toward the costs of a workshop to be held at CIAT in October 1999 to assess the biosafety of introduction of transgenic rice resistant to rice hoja blanca virus

**University of Delhi, Delhi, India** \$30,000 toward the costs of convening a panel of experts to prepare a report with recommendations on establishing research and development facilities for the evaluation of Indian and imported transgenic plant material.

**Biotechnology Career Fellowships**

**1993 appropriation of \$1,140,000 in addition to previous funding for allocation by the officers of Biotechnology Career Fellowships.**

**Foundation-administered project:** \$8,277 for administrative expenses of the Biotechnology Career Fellowship program

**Haryana Agricultural University, Hisar, India** \$44,600 for a study of genetic transformation of Basmati rice using novel genes that can potentially improve drought, salt and low-temperature tolerance, to be undertaken by Biotechnology Career Fellow Rajinder K. Jain, at the Department of Molecular Biology and Genetics, Cornell University Ithaca, New York

**ICAR Research Complex for NEH Region, Barapani, India** \$44,600 for a study of augmentation of starch biosynthesis by manipulation of ADP-glucose pyrophosphorylase gene in rice, to be undertaken by Biotechnology Career Fellow Han S. Gupta at the Institute of Biological Chemistry, Washington State University, Pullman

**Maharaja Sayajirao University of Baroda, Baroda (Gujarat), India** \$40,100 for a study of the biology of fungal disease and the genetic engineering of rice for enhanced disease resistance, to be undertaken by Biotechnology Career Fellow Bharat B. Chattoo at the Institute of Cell and Molecular Biology, University of Edinburgh, U.K.

**National Center for Genetic Engineering and Biotechnology, Bangkok, Thailand** \$44,600 for a study of position cloning of genes controlling submergence tolerance in rice, to be undertaken by Biotechnology Career Fellow Somvong Tragoonrung, at the Clemson University Genomics Institute, Clemson, South Carolina

**Peking University, Beijing, China** \$44,600 for a study of the molecular and cell biology of rice dwarf virus, to be undertaken by Biotechnology Career Fellow Yi Li at the Plant Biology Division, The Samuel Roberts Noble Foundation, Inc., Ardmore, Oklahoma

**Philippine Rice Research Institute, Maligaya, Munoz, Nueva Ecija, Philippines** \$44,330 for a genetic and physical analysis of tungro resistance in rice, to be undertaken by Biotechnology Career Fellow Gabriel O. Romero at the Clemson University Genomics Institute, Clemson, South Carolina.

**Philippine Rice Research Institute, Maligaya, Munoz, Nueva Ecija, Philippines** \$43,000 for a study of development of PCR-based marker-assisted selection (MAS) for salinity tolerance in rice, to be undertaken by Biotechnology Career Fellow Philbert S. Bonilla, at the Department of Agronomy and Range Science, University of California Davis

**Tamil Nadu Agricultural University, Coimbatore, India** \$44,700 for a study of genetic engineering of Thaumatin-like proteins in rice for the management of major fungal diseases, to be undertaken by Biotechnology Career Fellow Rethnasamy Velazhahan at the Department of Biochemistry, Kansas State University Manhattan

**Biotechnology for Marginal-Land Food Crops**

**1999 appropriation of \$180,000 in addition to previous funding for allocation by the officers to continue building and strengthening biotechnology research networks working on tropical food crops grown on marginal lands.**

**Emmanuel Okogbenin, Ibadan, Nigeria** \$14,000 toward the costs of research on the genetic mapping of cassava at the International Center for Tropical Agriculture, Cali, Colombia

**Swiss Federal Institute of Technology, Zurich, Switzerland** \$60,000 for research on genetically engineered disease resistance in cassava

**University of Bath, Bath, U.K.** \$16,000 to enable Hongying Li, selected by the University, to receive training in cassava biotechnology at the Danforth Plant Science Center, St. Louis, Missouri.

**University of California, San Diego, La Jolla, California** \$10,500 for research on the genetic diversity of sorghum

**Intellectual Property Rights**

**1998 appropriation of \$2,500,000 for allocation by the officers to help the developing-world, public-sector agricultural research system interact more productively with the private sector, especially on intellectual property rights**

**African Centre for Technology Studies, Nairobi, Kenya** \$260,400 for research on agricultural biotechnology assessment in sub-Saharan Africa

**Center for the Application of Molecular Biology to International Agriculture, Canberra, Australia** \$450,000 toward the costs of establishing and operating an Intellectual Property Strategic Office at CAMBIA to serve as an intellectual-property resource for international agricultural biotechnology

**Hastings Center, Garrison, New York** \$196,085 for research to examine public perspectives on agricultural biotechnology

**International Service for the Acquisition of Agri-Biotech Applications, Ithaca, New York** \$91,000 toward the costs of building intellectual-property management capacity in Asia

**National Center for Food and Agricultural Policy, Washington, D.C.** \$60,840 for the preparation of a report that summarizes what is known regarding the risks and benefits of agricultural biotechnology

**National Museums of Kenya, Nairobi, Kenya** \$6,000 toward the costs of the National Workshop on Protection of Plant Genetic Resources: Developing Regulations on Intellectual Property Rights, Access and Benefit Sharing, held July 13 to 15, 1999, in Nairobi

**Rutgers University, New Brunswick, New Jersey** \$45,000 to measure the economic and environmental impact of transgenic cotton on farmers in China and assess implications for technology policy

**National Priorities for Rice Biotechnology**

**1992 appropriation of \$600,000 for allocation by the officers to develop a quantitative, systematically derived basis for allocating rice biotechnology research resources in several Asian countries.**

**Foundation-administered project:** \$45,000 toward the costs associated with the ninth annual meeting of the International Program on Rice Biotechnology, held in Phuket, Thailand, September 20 to 24, 1999

**Program Authorization: Rice Biotechnology**

**1999 appropriation of \$4,000,000 in addition to previous funding for allocation by the officers to continue support for the Foundation's international program on rice biotechnology**

**Agricultural Genetics Institute, Hanoi, Vietnam** \$100,000 for research on the development of durable blast resistant and high-yielding rice varieties for strategic deployment in northern Vietnam

**Miranjan Baisakh, Cuttack, India** \$7,200 for a Dissertation Fellowship in Rice Biotechnology for research on rice transformation at the International Rice Research Institute, Manila, Philippines, under the direction of Dr. Swapan Datta.

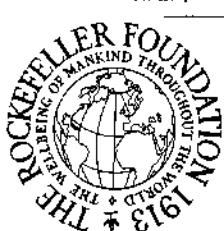
**Center for Research and Advanced Studies of the National Polytechnic Institute, Mexico City, Mexico** \$15,000 for research on a biochemical and molecular approach to increasing rice production by manipulating sucrose metabolism

**Center for the Application of Molecular Biology to International Agriculture, Canberra, Australia** \$50,000 toward the costs of developing and disseminating new technologies and materials for rice breeding

**Central Research Institute for Food Crops, Bogor, Indonesia** \$97,500 for research on marker-assisted discovery and transfer of "wild" quantitative trait loci into Indonesian rice cultivars

**China National Rice Research Institute, Hangzhou, China** \$5,000 toward the costs of the meeting "Molecular Breeding for Drought Tolerant Rice and Maize" to be held in China in March 2000.

**China National Rice Research Institute, Hangzhou, China** \$60,000 for research on DNA marker-assisted selection for hybrid rice



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**China National Rice Research Institute**, Hangzhou, China \$40,000 for the purchase of journals and periodicals for use by its Research Library

**Cornell University**, Ithaca, New York \$80,139 for research on the development and application of microsatellite markers for rice

**Cuu Long Delta Rice Research Institute**, Omon, Cantho, Vietnam \$10,000 toward the costs of a workshop to provide training in the use of marker assisted selection for improved disease and insect resistance in rice

**Cuu Long Delta Rice Research Institute**, Omon, Cantho, Vietnam \$16,000 toward the costs of strengthening biotechnology research for rice improvement in the Mekong Delta

**John E. Dille**, Rock Hill, South Carolina \$47,400 toward the costs of publication of "Rice Biotechnology Quarterly."

**Donald Danforth Plant Science Center**, St. Louis, Missouri \$80,784 toward the costs of providing training in rice biotechnology to developing country scientists

**Foundation for Applied Biology Research**, Mar del Plata, Argentina \$15,000 for research on a biochemical and molecular approach to increasing rice production by manipulating sucrose metabolism

**Foundation-administered project**: \$250,000 toward the costs associated with the ninth annual meeting of the International Program on Rice Biotechnology, to be held in Phuket, Thailand, September 20-24, 1999

**Foundation-administered project**: \$45,000 toward the costs associated with the ninth annual meeting of the International Program on Rice Biotechnology, held in Phuket, Thailand, September 20-24, 1999

**Foundation-administered project**: \$57,960 for administrative support of the Rockefeller Foundation biotechnology program in India

**Foundation-administered project**: \$13,080 for administrative support of the Rockefeller Foundation biotechnology program in India.

**Foundation-administered project**: \$50,000 toward the costs associated with implementing the Foundation's program for the genetic improvement of developing country cereals

**Foundation-administered project**: \$100,000 toward the costs associated with implementing the Foundation's program for the genetic improvement of developing country cereals

**Fudan University**, Shanghai, China \$44,000 for a study of molecular cloning and characterization of multiple isoforms of *Pinella ternata*, to be undertaken by Biotechnology Career Fellow Tang Kexuan, Department of Plant Pathology, University of California Davis

**Haryana Agricultural University**, Hissar, India \$12,000 to enable staff member Sunita Jain, selected by the University, to receive training at Cornell University, Ithaca, New York, in the molecular mapping of rice using microsatellite-DNA markers

**Indian Council of Agricultural Research**, New Delhi, India \$70,000 for use by the Directorate of Rice Research for research on enhancing insect and disease resistance in rice

**Indian Institute of Technology**, Kharagpur, Kharagpur, West Bengal, India \$50,000 for use by its Biotechnological Research and Extension Foundation for research on the production of transgenic indica rice plants with useful genes

**Indira Gandhi Agricultural University**, Raipur, India \$37,190 for research on the identification of new genes for gall midge resistance.

**Indonesian Institute of Sciences**, Bogor, Indonesia \$70,000 for use by its Research and Development Centre for Biotechnology for research on the transformation and evaluation of rice for improved insect and disease resistance

**Institute of Tropical Biology**, Ho Chi Minh City, Vietnam \$40,000 for research on the development of adapted methods of genetic transformation and molecular evaluation for the application of rice gene technology in Vietnam

**International Center for Tropical Agriculture**, Cali, Colombia \$160,000 for research on rice genetic mapping and disease resistance.

**International Centre for Genetic Engineering and Biotechnology**, New Delhi, India \$43,790 for research on marker assisted selection of gall midge resistance in cultivated rice

**International Centre for Genetic Engineering and Biotechnology**, New Delhi, India \$69,000 for research on mapping and tagging of gall midge resistance genes in rice

**International Rice Research Institute**, Makati City, Philippines \$82,960 for research in collaboration with Tamil Nadu Agricultural University, Coimbatore, India on the molecular dissection and improvement of New Plant Type rice

**International Rice Research Institute**, Makati City, Philippines \$79,550 for research in collaboration with the Directorate of Rice Research, Hyderabad, India, on the genetic transformation of rice to enhance pest and disease resistance

**International Rice Research Institute**, Makati City, Philippines \$500,000 for the continuation of research on the application of biotechnology to rice improvement.

**International Rice Research Institute**, Makati City, Philippines \$9,199 for research and training on the molecular characterization of cold tolerant rice germplasm from Nepal.

**International Rice Research Institute**, Makati City, Philippines \$95,000 for research, in collaboration with the Directorate of Rice Research, Hyderabad, India, on the molecular analysis and improvement of New Plant Type rice.

**International Rice Research Institute**, Makati City, Philippines \$4,700 toward the costs of a training workshop on "Bioinformatics and Applications of Candidate Genes" to be held at the International Rice Research Institute, March 23-26, 1999

**International Rice Research Institute**, Makati City, Philippines \$25,502 for research, in collaboration with the West Africa Rice Development Association, on genetic studies and molecular tagging of African rice gall midge resistance genes

**International Rice Research Institute**, Makati City, Philippines \$5,000 for a study on yield losses from drought and its impact on the adoption of modern farming practices in eastern India and Bangladesh

**International Rice Research Institute**, Makati City, Philippines \$17,000 for research, in collaboration with the Agricultural Genetics Institute, Hanoi, on the development of blast resistant rice cultivars in Vietnam using candidate gene analysis and marker-aided breeding

**International Rice Research Institute**, Makati City, Philippines \$16,000 for training and shuttle research for enhancing rice cultivar improvement in Thailand using molecular marker-assisted selection

**International Rice Research Institute**, Makati City, Philippines \$25,622 for research on marker-aided identification of salt-tolerant indica genotypes and their genetic improvement

**International Rice Research Institute**, Makati City, Philippines \$70,000 for research on the development, characterization and bioassay of transgenic indica rices suitable for eastern India

**International Rice Research Institute**, Makati City, Philippines \$50,000 for research on phenotyping doubled haploid rice lines for drought tolerance in rainfed lowland fields of northwest Bangladesh

**International Rice Research Institute**, Makati City, Philippines \$95,000 for research, in collaboration with the Directorate of Rice Research, Hyderabad, India, on the identification and introgression of quantitative trait loci (QTLs) contributing to heterosis in rice.

**International Rice Research Institute**, Makati City, Philippines \$27,567 for research, in collaboration with the University of Agricultural Sciences, Bangalore, India, on genes for blast resistance in rice

**International Rice Research Institute**, Makati City, Philippines \$45,250 for research, in collaboration with the University of Agricultural Sciences, Bangalore, India, on mapping QTLs for blast resistance and the introgression of major genes and QTLs for durable blast resistance in rice.

**International Rice Research Institute**, Makati City, Philippines \$16,686 for research in collaboration with the University of Madras, India, on the transformation of rice with the gene Xa21 for the management of rice blast and bacterial blight

**International Rice Research Institute**, Makati City, Philippines \$21,274 for research on the development of transgenic rice with improved stem borer resistance

**John Innes Centre**, Norwich, U.K. \$100,000 toward the costs of establishing a rice and maize transformation training and technology development center

**Kasetsart University**, Bangkok, Thailand \$113,143 toward the costs of development of human resources for rice genome research in Thailand



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**Maharaja Sayajirao University of Baroda**, Baroda (Gujarat), India \$84,004 for research on the molecular analysis of rice blast

**National Chemical Laboratory**, Pune, India \$30,000 for research on the use of molecular markers in hybrid rice and DNA fingerprinting

**Marie-Noëlle Nzenkam Ndjondjop**, Dschang, Cameroon \$45,000 for research, in collaboration with the Institute of Agricultural Research for Development, Cameroon, on the development of molecular markers for resistance to rice yellow mottle virus

**Ohio State University**, Columbus, Ohio \$58,068 for research on the development of broad-spectrum resistance in rice to bacterial blight and blast

**Griengrai Pantuwat**, Ubon Ratchathani, Thailand \$10,000 for research on drought resistance in rice leading to a Ph.D. degree at the University of Queensland

**Philippine Rice Research Institute**, Maligaya, Muñoz, Nueva Ecija, Philippines \$100,000 toward the costs of research on rice varietal development

**Punjab Agricultural University**, Ludhiana, India \$43,234 for research on the tissue culture and genetic transformation of Basmati and Indica rice

**Rice Research Institute**, Bangkok, Thailand \$53,000 for research on the development of molecular marker-assisted selection techniques for elongation ability in deepwater rice

**South China Agricultural University**, Guangzhou, China \$1,045 for research on the development of an artificial chromosome vector system for map-based cloning of rice genes

**Swiss Federal Institute of Technology**, Zurich, Switzerland \$150,000 for research on engineering vitamin and micronutrient enhanced rice

**Tamil Nadu Agricultural University**, Coimbatore, India \$26,475 for research on the genetic engineering of rice for the management of fungal diseases

**Tamil Nadu Agricultural University**, Coimbatore, India \$51,000 for research on the genetic engineering of rice for resistance to major pests and diseases

**Tamil Nadu Agricultural University**, Coimbatore, India \$31,575 for research on rice genes for resistance to white-backed planthoppers.

**Tamil Nadu Agricultural University**, Coimbatore, India \$21,000 for research on identifying genes associated with water stress tolerance in rice

**Tamil Nadu Agricultural University**, Coimbatore, India \$29,900 for research on genes for insecticidal proteins from local isolates of *Bacillus thuringiensis*

**Tamil Nadu Agricultural University**, Coimbatore, India \$11,500 for research on the identification of dwarf and/or early duration mutants in rice

**Tamil Nadu Agricultural University**, Coimbatore, India \$24,444 for research on the generation of disease resistant transgenic indica rice

**Tamil Nadu Agricultural University**, Coimbatore, India \$1,184 for research on marker-assisted selection for leaf folder resistance in rice

**University of California, Davis**, Davis, California \$59,904 for research on engineering rice varieties for resistance to bacterial blight and blast

**University of Delhi**, Delhi, India \$132,600 for research on the genetic transformation of rice and rice chloroplasts

**University of Ottawa**, Ottawa, Canada \$50,000 for research on tissue-specific promoters from rice, and the development of chimeric genes for insect resistance and novel breeding systems

**University of Ottawa**, Ottawa, Canada \$39,000 for research on "C4-like" enzymes and signal transduction proteins for abiotic stress tolerance in rice

**West Africa Rice Development Association**, Bouake, Ivory Coast \$178,250 for research on the application of biotechnology to the improvement of African rice varieties

**Zhejiang Agricultural University**, Hangzhou, China \$42,700 for a study of B.t transgenic rice for insect resistance breeding programs, to be undertaken by Biotechnology Career Fellow Shu Qingyao, Department of Biochemistry, Microbiology and Immunology, University of Ottawa, Canada.

#### **Sorghum and Millet Biotechnology**

**1992 appropriation of \$700,000 for allocation by the officers officers to further the development of molecular genetic techniques for sorghum and millet improvement and to train developing-country scientists in their use.**

**Center for Research and Advanced Studies of the National Polytechnic Institute**, Mexico City, Mexico \$7,417 toward the costs of a review of the research and training programs at its plant-science center in Irapuato

#### >> Fellowships

**Pawan Kumar Agrawal**, **Central Rice Research Institute**, Cuttack, India postdoctoral fellowship for advanced training in plant genetics at the John Innes Centre, Norwich, UK

**Gabriel Kayode Aluko**, **West Africa Rice Development Association**, Bouake, Ivory Coast fellowship for advanced training in plant breeding leading to the Ph.D. degree at the Department of Agronomy, Louisiana State University, Baton Rouge

**Amit Dhingra**, **University of Delhi**, Delhi, India dissertation fellowship for research in plant molecular biology at the Department of Genetics, Rutgers University, Piscataway, New Jersey, as part of a Ph.D. degree program at the University of Delhi, India

**Fu Xiqin**, **China National Hybrid Rice Research and Development Center**, Mapindu, Changsha, China fellowship for advanced training in molecular biology leading to the Ph.D. degree at the Australian National University and the Center for the Application of Molecular Biology to International Agriculture, Canberra

**Srirathayur K. Ganesh**, **Tamil Nadu Agricultural University**, Coimbatore, India postdoctoral fellowship for advanced training in plant breeding and genetics at the Plant Molecular Genetics Laboratory, Texas Tech University, Lubbock

**Pham Thu Hang**, **Agricultural Genetics Institute**, Hanoi, Vietnam dissertation fellowship for research in plant molecular biology at the John Innes Centre, Norwich, UK as part of a Ph.D. degree program at Vietnam National University, Hanoi

**Nguyen Thi Ngoc Hue**, **Cuu Long Delta Rice Research Institute**, Omon, Cantho, Vietnam, fellowship for advanced training in plant genetics leading to the Ph.D. degree at the Department of Agronomy, University of the Philippines, Los Baños

**Pham Ngoc Luong**, **Agricultural Genetics Institute**, Hanoi, Vietnam postdoctoral fellowship for advanced training in plant genetics at the Plant Molecular Genetics Laboratory, Texas Tech University, Lubbock

**Gandikota Madhuri**, **University of Hyderabad**, Hyderabad, India postdoctoral fellowship for advanced training in plant molecular biology at the Department of Molecular Biology and Genetics, Cornell University, Ithaca, New York

**Subbarayalu Mohankumar**, **Tamil Nadu Agricultural University**, Coimbatore, India postdoctoral fellowship for advanced training in plant genetics at the Department of Crop and Soil Environmental Sciences, Virginia Polytechnic Institute and State University, Blacksburg

**Pham Trung Nghia**, **Cuu Long Delta Rice Research Institute**, Omon, Cantho, Vietnam fellowship for advanced training in plant molecular biology leading to the Ph.D. degree at the University of East Anglia and the John Innes Centre, Norwich, UK

**Nguyen Thi Ninh Thuan**, **Agricultural Genetics Institute**, Hanoi, Vietnam dissertation fellowship for advanced training in plant genetics at the Department of Genetics, University of Ghent, Belgium as part of a Ph.D. degree program at the Agricultural Genetics Institute, Hanoi, Vietnam

**Satya Nugroho**, **Indonesian Institute of Sciences**, Bogor, Indonesia fellowship for advanced training in plant molecular biology leading to the Ph.D. degree at the Australian National University and the Center for the Application of Molecular Biology to International Agriculture, Canberra

**Hoang Thi Kim Oanh**, **Institute of Biotechnology**, Hanoi, Vietnam postdoctoral fellowship for advanced training in plant genetics at the Center for the Application of Molecular Biology to International Agriculture, Canberra, Australia

**Peng Kaiman**, **Huazhong Agricultural University**, Wuhan, China postdoctoral fellowship for advanced training in plant genetics at the Center for the Application of Molecular Biology to International Agriculture, Canberra, Australia

**Vinod Sangwan**, **Haryana Agricultural University**, Hisar, India postdoctoral fellowship for advanced training in plant genetics at the Department of Molecular Biology and Genetics, Cornell University, Ithaca, New York



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**Tran Ngoc Thach, Cuu Long Delta Rice Research Institute, Omon, Cantho, Vietnam.** fellowship for advanced training in plant genetics leading to the Ph D degree at Australian National University and the Center for the Application of Molecular Biology to International Agriculture, Canberra, Australia

**Dheeraj Verma, Haryana Agricultural University,** Hisar India dissertation fellowship for research in plant molecular biology at the Department of Molecular Biology and Genetics, Cornell University, Ithaca, New York, as part of a Ph D program at Haryana Agricultural University.

#### > SMALLHOLDER CROP-MANAGEMENT CAPABILITIES

##### *Career Fellowships in the Agricultural Sciences*

**1998 appropriation of \$325,000 in addition to previous funding for allocation by the officers to assist agricultural institutions in Kenya, Malawi, Uganda and Zimbabwe — the African-focus countries under Agricultural Sciences — to retain highly productive staff**

**Joseph G. Mureithi, Nairobi, Kenya** \$118,300 for a Career Development Fellowship to conduct research in soil science in Kenya

**Scientific & Industrial Research and Development Centre, Harare, Zimbabwe.** \$60 000 for research on sustainable agricultural productivity through clonal propagation in maize for improved seed production

**Forum on Agricultural Resource Husbandry in Sub-Saharan Africa**  
**1999 appropriation of \$1,300,000 in addition to previous funding for allocation by the officers to strengthen graduate education at selected faculties of agriculture in eastern and southern Africa through an African-directed competitive grants program that encourages faculty members and students, in collaboration with nonuniversity personnel, to undertake multidisciplinary field research on crop and resource management**

**African Crop Science Society, Rustenburg, South Africa** \$80,423 to support attendance by Forum grantees at the October 1999 African Crop Science Society Conference

**Eduardo Mondlane University, Maputo, Mozambique** \$2,400 for use by its Faculty of Agronomy and Forest Engineering to support research on the ecology of the red locust in the outbreak area of the Buzi-Gorongosa plains in central Mozambique

**Eduardo Mondlane University, Maputo, Mozambique.** \$2,100 for use by its Faculty of Agronomy and Forest Engineering to support research on the performance of *Cotesia sesamiae* and *Cotesia flavipes* (Hymenoptera: Braconidae) as biological control agents against cereal stemborers in Mozambique

**Foundation-administered project:** \$65,000 for administrative costs associated with student retreats to take place in Uganda and Malawi

**Foundation-administered project:** \$50,000 for the administrative costs of the Forum on Agricultural Resources Husbandry

**Kenya Agricultural Research Institute, Nairobi, Kenya** \$29,600 to support enhancing the use of biometrics in Kenyan universities by students and grantees within the Forum on Agricultural Resource Husbandry

**Kenyatta University, Nairobi, Kenya** \$74,000 for use by the Department of Zoology to support the proposal, "A Community Based Program in Rodent Pest Management in Maize Cropping Systems in Nakuru District, Kenya"

**Kenyatta University, Nairobi, Kenya**: \$775 to support a preparation grant for a study on the management of Fusarium moniliforme in maize and reduction of fumonisins

**Kenyatta University, Nairobi, Kenya.** \$5,000 for a preparation grant for the proposal, "An Assessment of Maize Legume Yield Improvement in Eastern and Western Kenya by Use of Rock Phosphate Solubilizing Agents "

**Makerere University, Kampala, Uganda** \$4,000 for use by its Faculty of Agriculture and Forestry to support printing of workshop proceedings of its Department of Crop Sciences

**Makerere University, Kampala, Uganda** \$7,500 for use by its Faculty of Agriculture to further the development of its programs

**Makerere University, Kampala, Uganda** \$67,800 for use by its Department of Crop Science to support research evaluating promising potato genotypes in eastern Uganda

**Makerere University, Kampala, Uganda** \$50,181 to support the establishment of a Forum Internal Review Committee at the University

**Makerere University, Kampala, Uganda** \$5 000 for use by its Faculty of Agriculture to further the development of its programs.

**Makerere University, Kampala, Uganda** \$5 000 for use by its Faculty of Agriculture to further the development of its programs

**Makerere University, Kampala, Uganda**: \$5,000 for use by its Faculty of Agriculture to further the development of its programs

**Moi University, Eldoret, Kenya** \$49,745 for use by its Department of Soil Science to support the proposal, "The Use of Manure and Crop Residues to Improve the Solubility of Minjingu Rock Phosphate for Phosphorus Replenishment in Acid Soils of Western Kenya "

**University of Malawi, Zomba, Malawi** \$700 for use by Bunda College of Agriculture to support a research proposal, "Rapid Multiplication of Superior Provenances of *Faidherbia Albida* Through Tissue Culture "

**University of Malawi, Zomba, Malawi** \$2 400 for use by Bunda College of Agriculture to support phase 1 of the proposal, "Integrated Management for *Striga* Control in Malawi: Characterization of Agroecosystems of Malawi in Relation to *Striga* Biology, Ecology and Control Practices "

**University of Malawi, Zomba, Malawi** \$64,800 for use by Bunda College of Agriculture to support the second phase of the research proposal, "Rapid Multiplication of Superior Provenances of *Faidherbia Albida* Through Tissue Culture "

**University of Malawi, Zomba, Malawi** \$7,500 for use by Bunda College of Agriculture to further the development of its programs

**University of Malawi, Zomba, Malawi** \$2,000 for use by Bunda College of Agriculture to support research technology to build African soil fertility using indigenous resources

**University of Malawi, Zomba, Malawi** \$7,500 for use by Bunda College of Agriculture to further the development of its programs.

**University of Malawi, Zomba, Malawi** \$71,750 for use by Bunda College of Agriculture to support research on improving phosphorus and sulphur nutrition in maize legume based cropping systems in Malawi.

**University of Malawi, Zomba, Malawi** \$1,400 for use by Bunda College of Agriculture to support research on the contribution of food legumes and green manures to soil fertility under maize-based cropping systems in Malawi

**University of Malawi, Zomba, Malawi** \$4,200 for use by Bunda College of Agriculture to support research on the contribution of food legumes and green manures to soil fertility under maize-based cropping systems in Malawi

**University of Malawi, Zomba, Malawi** \$2,200 for use by Bunda College of Agriculture to support research on the significance and management of smuts and ear rots in maize in smallholder farming systems in Malawi

**University of Malawi, Zomba, Malawi** \$400 for use by Bunda College of Agriculture to support research on the contribution of food legumes and green manures to soil fertility under maize-based cropping systems in Malawi

**University of Malawi, Zomba, Malawi** \$64,622 for use by Bunda College of Agriculture to support research on the contribution of food legumes and green manures to soil fertility under maize-based cropping systems in Malawi

**University of Nairobi, Nairobi, Kenya** \$64,563 for use by the Faculty of Agriculture for research on integrated control of root-knot (*Meloidogyne* spp.) nematodes affecting common bean (*Phaseolus vulgaris*) using antagonistic rhizobia, resistant bean cultivars and manure.

**University of Nairobi, Nairobi, Kenya** \$5,000 to support a preparation grant for a project to understand the adoption of crop production technologies and their impact on the food self-sufficiency of smallholder farmers in the Mumias Sugar Scheme in western Kenya.

**University of Nairobi, Nairobi, Kenya** \$36,019 for use by the Department of Soil Science to support research on opportunities for vermicomposting in maize-based smallholder agriculture of the Central Kenyan Highlands

**University of Nairobi, Nairobi, Kenya** \$5,000 to support a preparation grant for a project to study the efficacy of bacterial pathogens against root-knot nematodes of cow pea and bean crop establishments in Kenya



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**University of Nairobi**, Nairobi, Kenya \$8,313 for use by the Department of Crop Protection to support a study on the effect of intercropping maize and beans on major diseases of beans in Kenya.

**University of Zimbabwe**, Harare, Zimbabwe \$73,395 to support research on predicting long-term soil organic-matter dynamics combining historical data with simulation modeling as a complement to long-term experimentation.

**University of Zimbabwe**, Harare, Zimbabwe \$5,000 for use by its Faculty of Agriculture to further the development of its programs.

**University of Zimbabwe**, Harare, Zimbabwe \$1,800 to support research on the potential contribution from naturally-nodulating soybean to residual soil fertility in maize-based cropping systems

**University of Zimbabwe**, Harare, Zimbabwe \$2,600 to support research on the effect of agro-climatic factors on nursery and field establishment of ex-tissue culture cassava

**University of Zimbabwe**, Harare, Zimbabwe \$2,500 for use by its Faculty of Agriculture to further the development of its programs

**University of Zimbabwe**, Harare, Zimbabwe \$5,200 for use by the Department of Crop Science to support research on improved food security for resource-poor farmers in Zimbabwe through deployment of Striga resistance genes in sorghum cultivars and a study of genetic variability of Striga asiatica strains from different host plants

**University of Zimbabwe**, Harare, Zimbabwe \$4,400 to support research on crop protection management in maize-based cropping systems in the Chinyika Resettlement area

**University of Zimbabwe**, Harare, Zimbabwe \$4,400 to support research on integrated crop management in the Chinyika Resettlement Scheme

**Paul Woomer**, Nairobi, Kenya \$178,900 to conduct collaborative soils research with Forum on Agricultural Resources Husbandry scientists and students at universities in east and southern Africa

#### *Initiatives for Development and Equity in African Agriculture*

**1999 appropriation of \$1,000,000 in addition to previous funding for allocation by the officers to empower a group of key agricultural leaders in southern Africa to take command of the problems of smallholder agriculture, develop solutions to those problems and act to implement solutions.**

**University of Zimbabwe**, Harare, Zimbabwe \$900,000 for use by its Department of Agricultural Economics and Extension to continue support for the program, Initiative for Development and Equity in African Agriculture

#### *Integrated Banana Research in Uganda*

**1999 appropriation of \$650,000 in addition to previous funding for allocation by the officers to define the factors constraining banana production in Uganda, devise improved technologies to overcome those constraints and evaluate their suitability to farm conditions.**

**Foundation-administered project:** \$15,000 for administrative costs of the Uganda banana research program

**International Centre of Insect Physiology and Ecology**, Nairobi, Kenya \$104,500 to continue support for its collaboration with the Uganda National Banana Research Program on related socioeconomic studies

**International Institute of Tropical Agriculture**, Ibadan, Nigeria \$300,000 to continue its research collaboration with the Uganda National Banana Research Program on integrated pest management of the banana weevil

**Kenya Agricultural Research Institute**, Nairobi, Kenya \$40,109 to continue support for an evaluation of a tissue culture based system of banana plantlet distribution to smallholders

**National Agricultural Research Organization**, Entebbe, Uganda \$100,000 to continue support for the banana cropping systems project

**National Agricultural Research Organization**, Entebbe, Uganda \$40,000 for use by the Kawanda Agricultural Research Institute to continue support for research on nutrient demand and nutrient cycling in banana-based cropping systems

**National Agricultural Research Organization**, Entebbe, Uganda \$100,000 to support the banana cropping systems project

**Ruth N Ssebuliba**, Kampala, Uganda \$9,960 to support training in banana breeding

#### *Management of Maize Pests by Smallholders*

**1999 appropriation of \$775,000 in addition to previous funding for allocation by the officers to reduce yield losses caused by pests in smallholder cropping systems.**

**International Centre of Insect Physiology and Ecology**, Nairobi, Kenya \$35,000 for research on the mechanism of suppression of *Striga hermonthica* in a maize/*Desmodium uncinatum* intercrop system.

**International Crops Research Institute for the Semi-Arid Tropics**, Andhra Pradesh, India \$12,000 toward the costs of a seminar on the use of molecular markers in cereal improvement breeding programs and a workshop on breeding for *Striga* resistance in cereals, to be held at the International Institute of Tropical Agriculture, Ibadan Nigeria, in August 1999

**International Maize and Wheat Improvement Center**, Houston, Texas \$700,000 for research on engineering *Striga* resistant maize

**John Innes Centre**, Norwich, U.K. \$100,000 toward the costs of establishing a rice and maize transformation training and technology-development center

**Kenya Agricultural Research Institute**, Nairobi, Kenya \$491,420 for the development of coordinated ecosystem breeding for maize improvement in Kenya

**Kenya Agricultural Research Institute**, Nairobi, Kenya \$300,000 for research on maize streak virus resistance in African maize

**Kenya Agricultural Research Institute**, Nairobi, Kenya \$71,300 for research on resistance to the parasitic weed *Striga* in African maize

**National Agricultural Research Organization**, Entebbe, Uganda \$31,906 for use by its Namulonge Agricultural and Animal Production Research Institute for research on improving disease resistance and low nitrogen tolerance in maize variety LP 16

**Rothamsted Experimental Station**, Hertfordshire, U.K. \$15,000 for research on the mechanism of suppression of *Striga hermonthica* in a maize/*Desmodium uncinatum* intercrop system

#### *Research Fellowships in the Agricultural Sciences*

**1999 appropriation of \$500,000 in addition to previous funding for allocation by the officers to recruit outstanding young agricultural scientists from developed countries to assist grantee institutions in achieving their crop-productivity goals.**

**Joseph DeVries**, Venice, Florida \$20,000 for a research fellowship in maize breeding to work on maize improvement programs for Kenya and other African countries

**Robert Anderson Gilbert**, College Station, Texas \$10,000 for a Research Fellowship in Agricultural Sciences to participate in a Foundation funded maize improvement program in Malawi

**Beverly McIntyre**, Brooktondale, New York \$36,000 Research Fellowship in Agricultural Sciences, for research in agronomy with the National Banana Research Project in Uganda

#### *Soils Management to Overcome Yield Constraints*

**1999 appropriation of \$1,200,000 in addition to previous funding for allocation by the officers to identify and evaluate ways of overcoming soils-based limitations to sustainable, economic crop yields in principal agricultural soils of eastern and southern Africa.**

**Association for Better Land Husbandry**, Nairobi, Kenya \$100,000 to continue support for the development of its Land Husbandry Network in Kenya

**Environmental Action Team**, Kitale, Kenya \$85,000 to continue support for adaptive research on biological alternatives for soil-fertility management for maize production

**Government of Malawi**, Lilongwe, Malawi \$44,950 for use by the Office of the Vice President to support planning seminars and publication and distribution of its Policy Analysis Initiative.

**International Centre for Research in Agroforestry**, Nairobi, Kenya \$425,000 to support the African Highlands Initiative participatory agroecosystem management research in east Africa

**International Centre for Research in Agroforestry**, Nairobi, Kenya \$200,000 to continue support for the implementation of decision support systems for soil-nutrient replenishment in eastern Africa

**International Centre for Research in Agroforestry**, Nairobi, Kenya \$72,250 to support soil-fertility recapitalization and replenishment project activities in Vihiga and Siaya Districts of Kenya



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**International Crops Research Institute for the Semi Arid Tropics**, Andhra Pradesh India \$95 400 to continue support for research in Malawi on methodology to develop practical soil fertility technologies through farmer research partnerships

**International Food Policy Research Institute** Washington D C \$450 000 to support the activities of its 2020 Vis on for Food Agriculture and the Environment initiative

**International Maize and Wheat Improvement Center**, Houston Texas \$361 800 to support the work of the Soil Fertility Network for Maize Based Farming Systems in Malawi and Zimbabwe

**Kenya Agricultural Research Institute**, Nairobi Kenya \$99 830 for use by its National Agricultural Research Laboratories to continue support for the coordination of soil management projects at sites in Kisii and Kitale

**Kenya Agricultural Research Institute**, Nairobi Kenya \$110 000 to continue support for on farm research in improved soil management at sites of the Kisii Regional Research Centre

**Kenya Agricultural Research Institute**, Nairobi Kenya \$93 360 for use by its National Agricultural Research Laboratories to continue support for screening trials of legume species for adaptability to varying agroecological conditions and land management systems in Kenya

**Kenya Agricultural Research Institute**, Nairobi Kenya \$63 000 for use by the National Dryland Farming Research Center – Katumani to continue support for the collaboration on agricultural resource modeling and applications in semi arid Kenya

**Kenya Agricultural Research Institute**, Nairobi Kenya \$35 000 for use by its Embu Regional Research Centre to support the continuation of the long term soil organic matter project at Machanga and Mutiobare

**Kenya Agricultural Research Institute**, Nairobi Kenya \$110 000 to continue support for on farm research in improved soil management at the Kitale Regional Research Center

**Michigan State University**, East Lansing Michigan \$26 725 for use by its Department of Agricultural Economics to support research on developing profitable downstream fertilizer supply channels for smallholders in western Kenya

**Ministry of Agriculture and Irrigation**, Malawi, Lilongwe Malawi \$150 000 for use by its Department of Agricultural Research and Technical Services to continue support for maize agronomy research

**Ministry of Agriculture and Irrigation**, Malawi, Lilongwe Malawi \$1 705 to complete Foundation support for a Malawi grain legumes study

**Ministry of Agriculture**, Zimbabwe, Causeway Harare Zimbabwe \$38 000 for use by the Department of Agricultural Technical and Extension Services (AGRITEX) to support farmer participatory evaluation and development of soil fertility technologies in Chinhota Zimbabwe

**Ministry of Agriculture**, Zimbabwe, Causeway Harare Zimbabwe \$250 000 for use by the Department of Research and Special Services to continue support for soil fertility research

**Rehabilitation of Arid Environments Charitable Trust**, Nakuru Kenya \$58 800 to support its project Sustainable Utilization of the Baringo Drylands (Kenya)

**Saiya Community Oriented Development Programme**, Segi Kenya \$54 900 to support the exploration of use of soil fertility kits to enable smallholder farmers to select appropriate fertilizer types for improved food security

**Tropical Soil Biology and Fertility Programme**, Nairobi Kenya \$365 000 to continue support for its networking and research activities in Africa

**Tropical Soil Biology and Fertility Programme**, Nairobi Kenya \$70 000 to continue support for research to improve organic matter management in smallholder farming systems of Malawi and Zimbabwe through composting of crop residues and manure

**University of Berne**, Berne Switzerland \$99 400 for use by the Group for Development and Environment of its Institute of Geography for Phase III of a joint project with the University of Nairobi Kenya concerned with developing natural resources management tools for use by land users planners and policymakers at site catchment and river basin scales

**University of Missouri**, Columbia Missouri \$110 926 to continue the collaboration between the University and the Kenya Agricultural Research Institute to complete the process of institutionalizing the Socioeconomic Division at KARI

**University of Nairobi**, Nairobi Kenya \$9 690 to enable its Department of Soil Science to hold a regional workshop on soil testing and plant analysis

**University of Zimbabwe**, Harare Zimbabwe \$8 000 to provide a salary supplement for a professorship in the Department of Soil Science and Agricultural Engineering

**University of Zimbabwe**, Harare Zimbabwe \$100 000 to provide a salary supplement for a professorship in the Department of Soil Science and Agricultural Engineering

**University of Zimbabwe**, Harare Zimbabwe \$25 167 to support research on the economic potential for large scale development of smallholder sector soyabean production in Zimbabwe

**Village Enterprise Zone Associations International**, Chicago Illinois \$15 000 to support the continuation of its work in Malawi on agricultural research and food security

**Wageningen Agricultural University** Wageningen Netherlands \$62 200 for use by its Agricultural Economics Research Institute to develop a decision support system for integrated nutrient management in the semi arid areas of Kenya

**Paul Woomer**, Nairobi Kenya \$178 900 to conduct collaborative soils research with Forum on Agricultural Resources Husbandry scientists and students at universities in east and southern Africa

## >> Fellowships

**Stanford Mabasa, Agronomy Research Institute**, Mazowe Zimbabwe fellowship for advanced training leading to the Ph D degree in maize pest management at the Department of Animal and Plant Sciences University of Sheffield UK

**Ephias Makaudze, University of Zimbabwe**, Harare Zimbabwe fellowship for advanced training in agricultural economics leading to the Ph D degree at the Department of Agricultural Economics Texas A&M University College Station

**Lukman Nagaya Mulumba, Makerere University**, Kampala Uganda fellowship for advanced training in soil science leading to the Ph D degree at the Department of Soil Science Ohio State University Columbus

**Monica Rujeko Murata, Agronomy Research Institute**, Mazowe Zimbabwe fellowship for advanced training in soil science leading to the Ph D degree at the Department of Plant Production and Soil Science University of Pretoria South Africa



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**Naomi Nonina Mvere, Ministry of Local Government and National Housing**, Bindura Zimbabwe fellowship for advanced training in development sociology leading to the Ph D degree at the School of Development Studies University of East Anglia Norwich UK

**Gertrude Njiti, International Institute of Tropical Agriculture** Ibadan Nigeria fellowship for advanced training in entomology leading to the Ph D degree at the Department of Entomology Cornell University Ithaca New York

**Michael Andrew Nyika, Chemistry and Soil Research Institute**, Harare Zimbabwe fellowship for advanced training in soil science leading to the Ph D degree at the Department of Crop and Soil Sciences Michigan State University East Lansing

**Julius Juma Okello, University of Nairobi**, Nairobi Kenya fellowship for advanced training in agricultural economics leading to the Ph D degree at the Department of Agricultural Economics Michigan State University East Lansing

## > NATURAL RESOURCE MANAGEMENT

### *Natural Resource Management — Mexico*

*1996 appropriation of \$2,200,000 in addition to previous funding for allocation by the officers to evaluate potentially sustainable, natural resource management systems for small farmers in diverse marginal areas of Mexico through collaborative partnerships of smallholders, researchers and members of nongovernmental organizations*

**Autonomous University of Chapingo** Chapingo Mexico \$135 000 to support the project Innovation and Diffusion in Smallholder Agriculture in Mexico Opportunities in Agroecology and Farmer Participation

**Autonomous University of Chapingo** Chapingo Mexico \$250 000 to support project design coordination and monitoring of a multi institutional research program in green manure cover crops with smallholders in southeast Mexico

**Autonomous University of Chapingo**, Chapingo Mexico \$40 000 toward the costs of the project Sustainable Development of Agroecosystems in the South of the State of Sinaloa that's jointly carried out with the National Institute for Forest and Agriculture Research

**Autonomous University of Chapingo**, Chapingo, Mexico \$30,000 for use by its Agroforestry Center for Sustainable Development to support the project "Agroforestry Research, Training and Extension"

**Autonomous University of the Yucatan**, Menda, Mexico \$70,000 for use by its Department of Management and Conservation of Tropical Natural Resources to continue research on natural resource management alternatives for the "henequen" region of the Yucatan

**Colegio de Postgraduados**, Montecillo, Mexico \$35,000 for use by its Center for the Humid Tropics in Veracruz for research on natural resources management in wetlands, low Papaloapan River watershed

**El Colegio de la Frontera Sur**, San Cristobal de Las Casas, Mexico \$40,000 to continue support for research on alternatives for sustainable production in Los Altos de Chiapas

**Luis E. Fregoso-Tirado**, Ithaca, New York \$5,000 to support field research for the final phase of the project, forage production systems and their effects on soil quality in South Sinaloa, Mexico

**Interdisciplinary Group for Appropriate Rural Technologies**, Michoacan, Mexico \$43,100 to support the validation and diffusion of MESMIS, a framework to evaluate the sustainability of natural resources management systems in Mexico

**Línea Bioslera**, Chiapas, Mexico \$15,000 to continue support for activities related to the project 'Green Manure Cover Crop Systems: Assessment of their Potential and Contribution toward a Sustainable Agriculture.'

**Maderas del Pueblo del Sureste, A.C.**, Mexico City, Mexico \$15,000 to continue support for a project concerned with evaluation and validation of agricultural technologies for peasant farmers in Chimalapas, Oaxaca

**Network for Studies on Rural Development**, Chapingo, Mexico \$300,000 to support the project "Natural Resources Management in Mexico: Consolidation of the Network"

**Proyecto Sierra de Santa Marta**, Xalapa, Mexico \$50,000 to support the project, "Experimentation and promotion of technological alternatives in the milpa and homegardens in the Sierra Santa Marta, State of Veracruz"

**Rural Studies and Peasant Counseling**, Oaxaca, Mexico \$40,000 to continue support for the development of natural resources management capacity in the Union of Zapoteco and Chinanteca Communities (UZACH) on Oaxaca

**Senderos y Encuentros para un Desarrollo Autonomo y Sustentable**, Veracruz, Mexico \$57,000 toward the costs of developing a training center for trainers and farmers in Sierra Santa Marta, Veracruz

**Servicios para el Desarrollo**, Ixmiquilpan, Mexico \$10,000 to continue support for natural resources management improvement in the Valley of Mezquital, State of Hidalgo

**Vicente Guerrero Rural Development Project**, Tlaxcala, Mexico \$15,000 for the consolidation of its "Peasant-to-Peasant" Program of experimentation and diffusion of agro-ecological technologies in Mexico.

#### **Plant Science Human Capital for Latin America**

1992 appropriation of \$650,000 for allocation by the officers to strengthen the capacity of Latin American institutions to provide advanced training and conduct research in the disciplines that undergird sustainable utilization and conservation of tropical forests.

**Center for Research and Advanced Studies of the National Polytechnic Institute**, Mexico City, Mexico \$7,417 toward the costs of a review of the research and training programs at its plant science center in Irapuato

#### >> Grants in Aid

**African Crop Science Society**, Rustenburg, South Africa \$20,000 toward the costs of its 1999 Congress, to be held in Morocco, October 11 to 14, 1999

**Aficare**, Washington, D.C. \$18,000 for use by its Malawi office to support a baseline survey on the potential for improved smallholding labor productivity and farm income through village level processing of crops

**Aficare**, Washington, D.C. \$100,000 for the promotion of soybean production, processing and utilization by smallholder farmers in Zimbabwe

**Albert Ludwigs University Freiburg**, Werthmannplatz, Germany \$226,800 for research on genetic engineering toward provitamin A-synthesis in rice endosperm

**Aloyce R. M. Kaliba**, Manhattan, Kansas \$10,000 to support research on participatory evaluation of community-based water supply projects in central Tanzania

**Charles Lwanga Lwolle**, Kakamega, Kenya \$6,500 for research on African cassava mosaic disease in Western Kenya

**Cornell University**, Ithaca, New York \$35,000 for use by the Cornell International Institute for Food, Agriculture and Development to support a research/extension project on System of Rice Intensification in Madagascar

**Tenkiti Bonger**, Kampala, Uganda \$1,035 to support the completion of his research on the effects of training on the incomes and welfare of farmers in the Chimyika Resettlement Scheme, Zimbabwe

**Eduardo Mondlane University**, Maputo, Mozambique \$10,000 toward the costs of a workshop on integrated analysis and management of renewable resources in Mozambique

**European Society for Agronomy**, Thiverval-Grignon, France \$15,000 to support the Third International Crop Science Congress to be held in Hamburg, Germany, in August 2000

**Foundation-administered project**: \$7,870 toward administrative costs associated with exploration initiatives of the Agricultural Sciences division

**Foundation-administered project**: \$5,000 to explore the development of an economics and policy working group to further strengthen the Foundation's work on integrated soil nutrient management in the southern Africa region

**Foundation-administered project**: \$13,590 for administrative costs associated with program explorations in the area of integrated nutrient management: farmers' experimentation and cover agriculture

**Foundation-administered project**: \$7,500 to explore possible opportunities for funding research in drought stress tolerance in rice

**Foundation-administered project**: \$5,000 for preparation of a report on Indian seed export to Africa

**Foundation-administered project**: \$25,000 for administrative costs associated with regional program development from Mexico

**Foundation-administered project**: \$30,000 for administrative costs associated with program explorations in the area of integrated nutrient management: farmers' experimentation and cover agriculture

**Fund for the International Conference of Agricultural Economists**, Oak Brook, Illinois \$15,000 to support participation by young agricultural economists from Africa and Asia in the XXIV International Conference of Agricultural Economists

**Indian Institute of Technology, Delhi**, New Delhi, India \$75,000 for use by its Centre for Atmospheric Sciences to support a research proposal, "Global Warming and India's Food Security"

**International Center for Tropical Agriculture**, Cali, Colombia \$14,750 to support the publication and distribution of the results of its research and development at a GIS facility at the Kawanda Agricultural Research Institute in Uganda

**International Center for Tropical Agriculture**, Cali, Colombia \$14,500 to support the further development of the "MarkSim: Global Weather Databases for Modeling and Impact Assessment."

**International Centre for Research in Agroforestry**, Nairobi, Kenya \$100,000 to support the proposal, "Understanding Adoption Processes of Natural Resource Management Practices for Sustainable Agricultural Production in Sub-Saharan Africa"

**International Cooperative Centre for Agricultural Research for Development**, Paris, France \$30,000 to support research on the systematization of farmer participatory-research experimentation in the tropics

**International Food Policy Research Institute**, Washington, D.C. \$10,000 to support a project to enhance access to food policy and poverty literature in Lusophone countries through translation of key material from English to Portuguese.

**International Institute of Tropical Agriculture**, Ibadan, Nigeria \$80,000 to support the further development of its Cover Crops Information and Seed Exchange Center for Africa (CIEPCA)

**International Livestock Research Institute**, Nairobi, Kenya \$20,000 for a planning workshop related to sequencing the genome of the parasite *Theileria parva*, which causes East Coast Fever in cattle, creating a nutritional and economic burden for smallholder farmers and their families in Africa



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## | STRATEGIC ALLIANCE |

**International Livestock Research Institute**, Nairobi, Kenya \$23,040 to support a workshop on the enhancement of capacity in applied biometry in east and southern Africa

**International Maize and Wheat Improvement Center**, Houston, Texas \$39,240 to continue support for research exploring the potential contribution of cover agriculture and farmer experimentation for improving the livelihoods of smallholders in the tropics

**International Rice Research Institute**, Makati City, Philippines \$10,000 for a study of the economic costs of drought on cereal grain production in rain fed areas of Asia

**Kenya Agricultural Research Institute**, Nairobi, Kenya \$9,120 for use by the National Agricultural Research Laboratories to support the publishing of the proceedings of the 15th Annual Conference of the Soil Science Society of East Africa

**Kenya Agricultural Research Institute**, Nairobi, Kenya \$29,600 to support enhancing the use of biometrics in Kenyan universities by students and grantees within the Forum on Agricultural Resource Husbandry

**Lake Naivasha Riparian Association**, Naivasha, Kenya \$7,210 to support its conference on the science and sustainable management of shallow tropical waters

**Ministry of Agriculture and Irrigation**, Malawi, Lilongwe, Malawi \$24,518 for research on developing integrated stiga management packages for smallholder farmers in maize-based production systems in Malawi

**Paulance Howard Thangata**, Gainesville, Florida \$15,000 to support the research in Malawi on agroforestry adoption

**Sule Ochai**, Urbana Illinois \$47,500 to support continued research in natural resource and environment economics

**Temitope A. Okusami**, Ile-Ife, Nigeria \$4,377 to enable him to participate in an international conference on soil resources, to be held in Minnesota

**Temitope A. Okusami**, Ile-Ife, Nigeria \$3,469 to enable him to participate in an international conference on soil resources

**Temitope A. Okusami**, Ile-Ife, Nigeria \$3,469 to enable him to participate in an international conference on soil resources

**Temitope A. Okusami**, Ile-Ife, Nigeria \$4,377 to enable him to participate in an international conference on soil resources, to be held in Minnesota

**Tropical Soil Biology and Fertility Programme**, Nairobi, Kenya \$34,000 to support the 17th Annual Conference of the Soil Science Society of East Africa

**Tropical Soil Biology and Fertility Programme**, Nairobi, Kenya \$100,000 to support the project "Collaborative Initiative on Soil Biology for African Agriculture Exploration of Methods for the Integrated Management of the Soil Biota"

**United States Department of Agriculture**, Washington, D C \$10,000 for use by the Plant Genome Research Program of the Agricultural Research Service for support of travel of Third World scientists to attend the Plant and Animal Genome VIII meeting, January 9 to 12, 2000, San Diego, California

**University of Hyderabad**, Hyderabad, India \$1,500 toward the costs of the "International Conference on Life Sciences in the Next Millennium," December 11 to 14, 1999, Hyderabad, India

**University of Malawi**, Zomba, Malawi \$10,000 for use by Bunda College of Agriculture for a directory of innovative farmer entrepreneurs in Malawi and formation of a network to enable them to share experiences

**University of Reading**, Reading, U K \$10,600 to support the participation of representatives from Africa at the Global Change and Terrestrial Ecosystems Focus 3 Conference, Food and Forestry- Global Change and Global Challenges

**University of Zimbabwe**, Harare, Zimbabwe \$75,000 to support the proposal, "Closing the Loop Identifying N Sources and Minimizing N Losses in Leguminous Cropping Systems"

**University of Zimbabwe**, Harare, Zimbabwe \$48,600 for research on the suppression of *Striga asiatica* by legume mulches

**Winrock International Institute for Agricultural Development**, Morrisville, Arizona \$25,000 to support its proposal "Agrobiootechnology Initiative: Guidelines for New Technologies"

**World Neighbors**, Oklahoma City, Oklahoma \$50,000 to support the participatory research proposal, "After Mitch Toward a Sustainable Recovery in Central America," documenting the impact of conservation agriculture

**Strategic Alliance: Rockefeller Foundation – California Endowment**  
1999 appropriation of \$5,190,000 in addition to previous funding for allocation by the officers to help fund the design of a program for a strategic alliance between the Rockefeller Foundation and The California Endowment, aimed at building the capacity of low-income California communities to improve health and employment outcomes of their residents.

**Foundation-administered project:** \$20,000 toward administrative costs associated with planning activities for a leadership development component for the California Works for Better Health program

**Foundation-administered project:** \$160,000 toward administrative costs associated with assessing six potential sites in California for the Strategic Alliance program

**Southern California Association for Philanthropy**, Los Angeles, California \$100,000 in support of its Los Angeles Urban Funders consortium



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## | GLOBAL PROGRAMS |

## &gt; GLOBAL INITIATIVES

**Global Dialogues on Plant Biotechnology**

**1999 appropriation of \$500,000 for allocation by the officers to help shift the debate on plant biotechnology to more inclusive and informed dialogues.**

**Global Interdependence Initiative**

**1999 appropriation of \$750,000 to build strong public constituencies in the United States for more just and sustainable international engagement and response to global challenges.**

**Second Chance Foundation**

**1999 appropriation of \$500,000 to provide support for its Omar Bradley Fellowship Program.**

**The Philanthropic Initiative**, Boston, Massachusetts \$15,000 to formally assess the environment that new and emerging donors inhabit and study opportunities for strengthening the donor education and advisement field to create better philanthropic practices

## &gt; Grants in Aid

**AfricaNet Trust**, Dakar, Fann, Senegal \$250,000 toward the development and launch of two television and radio programs Téenzine Africa (health, science, technology, geography and economics for young people) and Africa This Week (pan-African news and financial analysis)

**Amazon Conservation Team**, Arlington, Virginia \$40,000 for its project to outline the issues involved in the biotechnology revolution, including intellectual property rights, and to identify strategies to use biotechnology wisely and preserve biodiversity

**Aspen Institute**, Washington D C \$125,000 toward the costs of its Business Leaders Dialogue 1999, a conference to examine the evolving relationship between business and society and the role business needs to play in addressing complex social and environmental problems

**Aspen Institute**, Washington, D C \$200,000 toward the costs of its Women's Lens on Global Issues, a project to build a new constituency for international engagement

**Aspen Institute**, Washington, D C \$450,000 toward the costs of its Congressional Program to educate members of Congress on international environmental issues

**Association for Progressive Communications**, San Francisco, California \$15,000 to support activities of Beijing + 5 in Africa Women's NGOs Participating Online, an initiative to facilitate the preparation and participation of African Women's NGOs in the global Beijing + 5 conference in June 2000

**Benton Foundation**, Washington, D C \$100,000 to develop, in collaboration with OneWorld Online, a OneWorld Web site for the United States, to make in-depth coverage of international issues available to a wide audience

**British Broadcasting Corporation**, London, U K \$200,000 for use by its World Service toward the costs of a radio series on the history of Africa

**Center for Community Service Fund**, Seattle, Washington \$50,000 for use by its Washington Technology in Education Trust to support its work with the Technology Alliance in preparing a report and a conference to address the "Digital Divide"

**Center for Strategic and International Studies**, Washington, D C \$30,000 toward the costs of a study group for congressional staff, designed to provide them with nonpartisan information on international security issues

**Circle Foundation**, Bethesda, Maryland \$75,000 for use by its Center on Policy Attitudes toward the costs of a study of U S attitudes on globalization.

**Concordia University**, Montreal, Canada \$40,000 toward the costs of preserving the archives of Karl Polanyi

**Consumer Federation of America Foundation**, Washington, D C \$150,000 toward the costs of a project (1) to examine the domestic regulatory structure governing the safety of genetically modified organisms and to expand the role of consumers in developing international standards for genetically engineered foods, and (2) to plan an international conference to consider the risks and benefits of genetically modified organisms and develop recommendations for the responsible use of the technology.

**Foundation of the Americas**, Washington, D C \$150,000 for the development of philanthropy in Latin America and the Caribbean

**Foundation-administered project**: \$15,000 to analyze within a Japanese context how ideas on human and traditional security interact

**Foundation-administered project**: \$110,000 to explore new approaches to resolving the conflict in Kashmir

**Future Generations**, Franklin, West Virginia \$49,000 toward the costs of a project to foster environmental security through community based action in Arunachal Pradesh State, India

**Harvard University**, Cambridge, Massachusetts \$150,000 toward the costs of the Common Security Forum, a joint project with King's College, University of Cambridge, to encourage new ways of thinking about global security

**International Center for Global Communications Foundation**, New York, New York \$100,000 toward the costs of The Media Channel, a Web site on the Internet designed to provide news, information and opinion about the cultural, political and social impact of the media.

**International Centre for Ethnic Studies**, Colombo, Sri Lanka \$75,000 toward the costs of a commemorative program for Neelan Tiruchelvam, a Sri Lankan parliamentarian, scholar and human-rights activist

**International Institute for Environment and Development**, London, U.K. \$450,000 for general support

**King's College, University of Cambridge**, Cambridge, U.K. \$150,000 toward the costs of the Common Security Forum, a joint project with Harvard University's Center for Population and Development Studies to encourage new ways of thinking about global security

**London School of Economics and Political Science**, London, U.K. \$300,000 for use by its Centre for the Study of Global Governance toward the costs of its program on global civil society.

**Meridian Institute**, Dillon, Colorado \$150,000 to convene an international dialogue on biotechnology among groups holding divergent views on the application of biotechnology to agriculture

**Ploughshares Fund**, San Francisco, California \$50,000 in support of an information and technology project designed to strengthen communication, cooperation and strategic grantmaking among peace and security funders

**Population Council**, New York, New York \$75,000 toward the costs of its project to produce SEEDS booklets documenting women's productive roles within the global marketplace

**The Progressive**, Madison, Wisconsin \$50,000 for use by its Progressive Media Project toward the costs of articles on global issues for Voices of Diversity, its project to edit and distribute commentary pieces by minorities to U.S. newspapers to bring diversity to their reporting.

**Public Radio International**, Minneapolis, Minnesota \$150,000 toward the costs of its global news program, The World, for in-depth reporting that explores the interconnections of global issues and interdependence among nations.

**Resilience Alliance**, Wolfville, Nova Scotia, Canada \$450,000 toward the costs of its project, Integrative Experiments for Sustainability

**Bryan Rich**, Cambridge, Massachusetts \$75,000 toward the costs of a documentary film, "Breaking the Codes," on ethnic conflict in Burundi

**Synergos Institute**, New York, New York \$10,000 toward the costs of its meeting of leaders from different sectors to discuss worldwide efforts to reduce poverty and increase economic development

**Synergos Institute**, New York, New York \$450,000 for general support

**Tides Center**, San Francisco, California \$50,000 toward the costs of its project, New Economy Communications, for its work on labor rights in the global economy

**United Nations Development Programme**, New York, New York \$100,000 toward the costs of its First Global Forum on Human Development

**United Nations Foundation**, Washington, D C \$50,000 toward the costs of its conference on global public-policy networks

**United Nations Research Institute for Social Development**, Geneva Switzerland \$60,000 toward the costs of two conferences on neo-liberalism and institutional reform in east Asia



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**University of Colorado at Denver** Denver Colorado \$25 000 for use by its Institute for Policy Research and Implementation to disseminate the ideas expressed in the book edited by Kennedy Graham entitled "The Planetary Interest"

**Washington Media Associates**, Washington D C \$150 000 for the costs of research for a television series on the meaning of and lessons to be learned from the Cold War

**Workshop Unlimited**, Washington D C \$40 000 toward the costs of a project on organizational change in national and international nonprofit organizations

**WorldSpace Foundation** Washington D C \$200 000 toward the costs of launching radio learning channels in developing countries

#### > GLOBAL ENVIRONMENT

##### **E&Co**

**1999 appropriation of \$2,300,000 for allocation by the officers to continue support for its work to advance the formation and growth of new-energy paradigm enterprises in developing countries**

**E&Co** Bloomfield New Jersey \$2 300 000 to continue support for its work to advance the formation and growth of new energy paradigm enterprises in developing countries

##### **Leadership for Environment and Development**

**1999 appropriation of \$12,600,000 in addition to previous funding for allocation by the officers to build human capital for leadership that will promote sustainable development, and nurture international cooperation to accomplish these ends**

**LEAD International**, New York New York \$12 600 000 to build human capital for leadership that will promote sustainable development and nurture international cooperation to accomplish these ends

**LEAD International**, New York New York \$2 000 000 toward the costs of its Fellows Program

##### **Transition to the New Energy Paradigm**

**1997 appropriation of \$700,000 in addition to previous funding for allocation by the officers to convince key policymakers in selected countries of the value of a transition to the new energy paradigm**

**Foundation administered project** \$20 000 for a feasibility study of ocean thermal energy conversion technology

**Foundation-administered project** \$7 000 for the proceedings of a conference on the feasibility of using plant oil to help meet the energy needs of rural populations

**Ministry of Agriculture, China** Beijing China \$35 000 toward the costs of a demonstration project on integrated utilization of Jatropha curcas to meet rural energy service needs, improve the environment and create job opportunities for rural families

#### >> Grants in Aid

**Foundation-administered project** \$200 000 for an exploration of environmental security as it pertains to sustainable livelihoods and enriched lives

**University of the West Indies**, Kingston Jamaica \$423 000 toward the costs of developing a graduate program in environmental and natural resource management

#### > INTERNATIONAL SECURITY

##### **Northeast Asia Peace and Security Network**

**1998 appropriation of \$200,000 for allocation by the officers to facilitate the next steps in the denuclearization of the Korean Peninsula which is important in the long term abolition of weapons of mass destruction**

**Nautilus of America**, Berkeley California \$50 000 in support of an external review and evaluation of its Northeast Asia Peace and Security Network

#### >> Grants in Aid

**Asia Society**, New York New York \$50 000 to explore solutions to the tensions faced by India and Pakistan over the Kashmir region

**Asia Society**, New York New York \$200 000 to establish a network on Security and Nuclear Challenges in Asia

**Atlantic Council**, Washington D C \$300 000 as closeout support of its United States – Democratic People's Republic of Korea Roadmap initiative

**Carnegie Mellon University**, Pittsburgh Pennsylvania \$100 000 in support of a case study on the links between technology transfer and nonproliferation of nuclear weapons in India

**Center for National Policy**, Washington D C \$100 000 to examine the changing nature of U.S. national interests with respect to North Korea

**Columbia University** New York New York \$100 000 in support of the Gulf/2000 project on change communication and cooperation in the Persian Gulf

**Fund for Reconciliation and Development**, New York New York \$10 000 in support of its United States – Indochina Reconciliation Project

**Human Rights Watch** New York New York \$200 000 in support of its Arms Division

**International Physicians for the Prevention of Nuclear War**, Cambridge Massachusetts \$100 000 in support of its Middle Powers Initiative

**National Academy of Sciences**, Washington D C \$45 000 in support of a meeting between its Committee on International Security and Arms Control and counterpart Indian scientists and policy experts

**National Committee on American Foreign Policy**, New York New York \$500 000 in support of policy and public education surrounding U.S. national security interests and anti personnel land mines

**Nautilus of America**, Berkeley California \$60 000 in support of its South Asia Peace and Security Network

**Nautilus of America**, Berkeley California \$300 000 toward continued support for its Northeast Asia Peace and Security Network

**Nautilus of America**, Berkeley California \$50 000 in support of a North Korean delegation to the United States to explore rural energy and food linkages and integrated rural energy planning



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**NGO Committee on Disarmament** New York New York \$5 000 in support of international networking and coordination of citizen participation in the Nuclear Non Proliferation Treaty Review Process

**Regional Centre for Strategic Studies**, Colombo Sri Lanka \$25 000 in support of the production and distribution of the monthly Regional Press Digest on the Nuclear Issue in South Asia

**Search for Common Ground**, Washington D C \$100 000 in support of its work furthering U.S.-Iran relations

**Social Science Research Council** New York New York \$10 130 in additional support for a case study on the International Effort to Ban Land Mines

**Social Science Research Council** New York New York \$100 000 to complete a case study on the International Effort to Ban Land Mines

**State of the World Forum**, San Francisco California \$100 000 in support of the Forum's Nuclear Weapon Elimination Initiative

**Vietnam Veterans of America Foundation**, Washington D C \$100 000 in support of the international coordination of the Global Land Mines Survey

#### > GLOBAL EQUITY AND ENGAGEMENT

**Institute of Development Studies**, Brighton U.K. \$450 000 toward early development and structuring of its 2000 Initiative aimed at influencing the processes of globalization so that its benefits are more evenly shared, increasing understanding of policies and practices in the social sector that can help the poor and disadvantaged attain and sustain decent and secure livelihoods, and achieving inclusive policy processes to ensure that environmental policies support poverty reduction and sustainable livelihoods

## | ARTS AND HUMANITIES |

## &gt; UNDERSTANDING DIVERSITY

*Arts International*

**1999 appropriation of \$3,350,000 for allocation by the officers to improve and strengthen global cultural exchange activities through support for artists, information services, capacity building and international networks**

**Arts International**, New York, New York \$3,350,000 to improve and strengthen global cultural exchange activities through support for artists, information services, capacity building and international networks

*Latin American Network of Independent Producers of Contemporary Art*

**1999 appropriation of \$1,050,000 in addition to previous funding to facilitate intracontinental interaction among Latin American artists and independent arts organizations.**

*Multi-Arts Production Fund*

**1999 appropriation of \$850,000 in addition to previous funding for allocation by the officers to support creative artists in the performing arts whose work reflects the boldest explorations in intercultural and/or international representation**

**American Repertory Theatre**, Cambridge, Massachusetts \$25,000 to support the production of "Valparaiso," a play that examines the notion of image

**Axis Dance Company**, Oakland, California \$20,000 toward the costs of a dance work by choreographer Stephen Petronio and composer David Linton

**Bang on a Can**, New York, New York \$25,000 toward the costs of a music work for live performer musicians and tape by writer/performer Anna Deavere Smith and composer Julia Wolfe

**Bates Dance Festival**, Lewiston, Maine \$20,000 to support the creation and production of "Rome and Jewels: Shakespeare Meets Hip Hop," a contemporary hip-hop ballet that retells the stories of Romeo and Juliet and West Side Story in a contemporary urban setting, at the Bates Dance Festival

**Bebe Miller Company**, New York, New York \$25,000 toward the costs of "Map of the Body," a dance theater work by choreographer Bebe Miller, composer Hahn Rowe, dramaturg Talvin Wilks, and designer Scott Park

**Boys Clubs of Metro Atlanta**, Atlanta, Georgia \$25,000 toward the costs of "Girls &" an interdisciplinary project by Mary Ellen Strom and 10 young women

**Builders Association**, New York, New York \$20,000 toward the costs of "Opera," a multimedia theater work by director Marianne Weems, architect John Cleater, video designer Peter Norrman, and sound designer Dan Dobson

**Center for Cultural Exchange**, Portland, Maine \$20,000 toward the costs of "Africa/America," a residency and collaboration between choreographer Julio Letao and composer J. D. Steele

**Children's Theatre Company**, Minneapolis, Minnesota \$25,000 toward the costs of "The Very Old Man With Enormous Wings," a theatrical adaptation by playwright Nilo Cruz of Gabriel García Márquez's short story

**Cornerstone Theater Company**, Los Angeles, California \$25,000 to support the creation and production of "The BH Bridge Project," a multilingual musical theater piece created in collaboration with residents of four Los Angeles neighborhoods

**Crossroads Theatre Company**, New Brunswick, New Jersey \$20,000 toward the costs of "Yellow Eyes" a theater work by playwright Migdalia Cruz

**Dagmar Collective**, New York, New York \$20,000 toward the costs of "Brother," a staged song cycle by writer/performer John Kelly and composer David Del Tredici

**Dance Brazil**, New York, New York \$25,000 toward the costs of "A Escrava Anastacia" a dance theater work by choreographer Jelon Vieira and composer Cyro Baptista

**Dancing in the Streets**, New York, New York \$25,000 toward the costs of "Night Light," a site-specific living installation by choreographer/performer Ann Carlson

**Danspace Project**, New York, New York \$20,000 to support the development of two new works through a cultural exchange of U.S.-based and Argentine artists

**DiverseWorks Artspace**, Houston, Texas \$20,000 to support the development and production of "F---ing A," a futuristic retelling of "The Scarlet Letter" mounted in collaboration with Infernal Bridegroom Productions

**Donald Byrd Dance Foundation**, Brooklyn, New York \$25,000 to support the development of "The Melding of Traditions: Fostering a New American Ballet Repertoire"

**Downtown Arts Projects**, New York, New York \$25,000 toward the costs of "The Landschaft Project," a multimedia trilogy by theater artist Ibrahim Quraishi

**Duke University**, Durham, North Carolina \$20,000 to support the development and production of "Luyala," a dance opera based on an east African folk tale

**East Bay Center for the Performing Arts**, Richmond, California \$25,000 toward the costs of "Lamentation for Freedom Fighters," an African dance and drumming work by drummer/dancers CK Ladzekpo and Franc Nii Yartey

**Eiko & Koma**, New York, New York \$25,000 toward the costs of "When Nights Were Dark," a dance work by choreographers Eiko and Koma, composer Joseph Jennings and members of the James Hall Worship and Praise Choir

**Eiko & Koma**, New York, New York \$20,000 to support the creation and production of "The Caravan Project: When Nights Were Dark," a mobile performance work

**Elevator Repair Service**, New York, New York \$20,000 toward the costs of "White Plastic Shell," a theater work by directors John Collins and Steve Bodow, and choreographer Katherine Profita

**Foundation for Independent Artists**, New York, New York \$20,000 to support the creation and production of "Bible Stones" a dance theater work that explores the concept of the "outsider"

**Foundation-administered project**, \$49,000 for costs incurred in connection with the 1999 MAP Fund

**Foundry Theatre**, New York, New York \$25,000 toward the costs of "Talk" a theater work by playwright Carl Hancock Rux and director Melanie Joseph

**Goodman Theatre**, Chicago, Illinois \$25,000 toward the costs of "Boy Meets Girl," a theater work by playwright Rebecca Gilman and director Michael Maggio

**Heart of the Beast Theatre**, Minneapolis, Minnesota \$20,000 toward the costs of "Seed: Awesome Vessel of Power," a puppet and mask play by artists Beth Peterson, Sandy Spieler and Duane Tougas

**HERE**, New York, New York \$25,000 toward the costs of "Night Vision" an opera by composer Fred Ho, playwright Ruth Margraff, and director Tim Maner

**House Foundation for the Arts**, New York, New York \$25,000 to support the creation and production of "Planetary Moves" a multimedia theater work exploring the dualism of art and science

**Idris Ackamoor and Cultural Odyssey**, San Francisco, California \$25,000 toward the costs of "Can We Get There By Candlelight," a theater work by writer/performer Rhadessa Jones in collaboration with incarcerated women

**Joe Goode Performance Group**, San Francisco, California \$20,000 toward the costs of "Undertaking Harry," a dance theater work by choreographer Joe Goode

**June Watanabe in Company**, San Rafael, California \$20,000 toward the costs of "5/15/45: the last dance" a community dance work by choreographer June Watanabe, composer Alvin Curran and designer John Woodall

**Juniebug Productions**, New Orleans, Louisiana \$20,000 toward the costs of "Like Poison Ivy," a theater work by playwright John O'Neal, director Steven Kent, and composer Michael Keck

**Kronos Quartet**, San Francisco, California \$25,000 toward the costs of "Tonight is the Night," a musical performance of works by composers Gabriela Ortiz and Osvaldo Golijov performed by singer Dawn Upshaw

**LINES Contemporary Ballet**, San Francisco, California \$25,000 toward the costs of a ballet by choreographer Alonzo King and composer Pablo Asan

**Liz Lerman Dance Exchange**, Takoma Park, Maryland \$25,000 toward the costs of "Hallelujah" a series of dance/theater/music works by choreographer Liz Lerman and composers Lester Bowie and Robert Een

**McCarter Theatre**, Princeton, New Jersey \$25,000 toward the costs of "Yellowman," a theater work by playwright Dael Orlandersmith and director Maron McClintton

**MUSE, Cincinnati's Women's Choir**, Cincinnati, Ohio \$11,500 toward the costs of "New Spirituals Project," new music works by composers Ysaye Barnwell and Jacqueline Rago



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**Musical Traditions**, San Francisco California \$30,000 toward the costs of three works of chamber music by composers Terry Riley, Carl Stone and Anthony Davis

**New City Theater**, Seattle, Washington \$20,000 toward the costs of "Snow," a theater work by writer/director/designer John Jesurun.

**New York Foundation for the Arts**, New York, New York \$20,000 to support the development and production of "Automatic Earth," a theatrical work that examines fallacies about mental illness and homelessness and explores the relationships between everyday people and the arrogance of power

**Ontological-Hysteric Theater**, New York, New York \$20,000 toward the costs of "Bad Boy Nietzsche," a theater work by writer/director/designer Richard Foreman

**Other Minds**, San Francisco, California \$15,000 to support the creation and production of "Sight of Hand," a visual percussion work for four performers based on various uniquely American body-percussion techniques, including adolescent girls' clapping games, Appalachian hamboining, and the signals and signs of third-base coaches in baseball

**Other Minds**, San Francisco, California \$30,000 toward the costs of two works of music by composers Paul Miller (a.k.a. DJ Spooky) and Henry Brant

**Painted Bride Art Center**, Philadelphia, Pennsylvania \$25,000 toward the costs of a residency and development of new works for 16 hand drummers from various cultures under the direction of composers/drummers Daryl Burgee and Lenny Seidman

**Ping Chong and Company**, New York, New York \$25,000 toward the costs of "Spirit Project," a puppet theater work by theater artist Ping Chong, composer Guy Klucevsek, and designers Ane Goldberger and Jan Hartley

**Playwrights Horizons**, New York, New York \$25,000 toward the costs of "The Bubbly Black Girl Sheds Her Chameleon Skin," a music theater work by composer/lyricist Kirsten Childs, director Wilfredo Medina, and choreographer A. C. Cuiffa.

**Public Theater**, New York, New York \$25,000 toward the costs of "In the Blood," a theater work by playwright Suzan-Lori Parks

**Real Art Ways**, Hartford, Connecticut \$15,000 to support the creation and production of "These Hands," a multimedia work exploring contrast and commonality across cultures

**Ringside**, New York, New York \$25,000 toward the costs of "Outrageous Acts Transgressive, Outlawed and Unlady Action in America," by choreographer Elizabeth Streb and circus artist Noe Espana

**Roulette**, New York, New York \$25,000 toward the costs of "Jenkins and Jenkins," a musical work by composer Leroy Jenkins and writer Mary Griffin

**Roxanne Dance Foundation**, New York, New York \$20,000 toward the costs of "Tale Exchange," dance performances by choreographer Kathy Weis, composer Frank London, and actor Jovica Mihajlovska

**Sara Pearson and Patrik Widrig Company**, New York, New York \$20,000 toward the costs of "HereAfter," a multidisciplinary dance work by choreographers Sara Pearson and Patrik Widrig

**School of Hard Knocks**, New York, New York \$25,000 toward the costs of "Reverse Psychology," a dance work by choreographer Yoshiko Chuma, composer Marc Ribot, and dramaturg Hideko Otake

**Seven Loaves**, New York, New York \$20,000 toward the costs of "Twelve Iron Sandals," a puppet theater work by director/puppeteer Vit Horajs, composer Elsie Morris, and designer Roman Hladik.

**Seven Stages**, Atlanta, Georgia \$25,000 toward the costs of "Disremember Me," a theater work by playwright Arben Kumbaro and dramaturg Del Hamilton

**Solomons Company/Dance**, New York, New York \$15,000 to support the creation and production of "Credo," a dance work exploring questions of faith, conscience and mutual responsibility in a new millennium.

**Urban Bush Women**, New York, New York \$30,000 toward the costs of "Soul Deep," a dance work by choreographer Jawole Willa Jo Zollar and composer David Murray

**Woolly Mammoth Theatre Company**, Washington, D.C. \$20,000 toward the costs of the "Shaw Community Playbuilding Project," a theater collaboration between playwrights Robert Alexander and Karen Zacarias, choreographer Namu Lwanga, director Tom Prewitt, and residents of the Shaw community in Washington, D.C.

**Wooster Group**, New York, New York \$30,000 toward the costs of "Phedre," a mixed-media theater work by director Elizabeth LeCompte and actor/collaborators Kate Valk and Willem Dafoe

#### National Academy of Sciences

**1999 appropriation of \$495,000 to explore how the contributions of the arts and humanities can be better integrated with the creativity of science and technology in the emerging Internet environment.**

#### National Humanities Center

**1999 appropriation of \$500,000 to strengthen the Center's institutional development and core fellowship program.**

#### Representing Culture Through Festivals

**1997 appropriation of \$700,000 in addition to previous funding for allocation by the officers to encourage festivals and related performance activities in the United States and internationally that promote social/cultural understanding and international dialogue.**

**Appalshop**, Whitesburg, Kentucky \$40,000 for activities of the American Festival Project's "Respiring Art/Respiring Community" initiative

**Point Community Development Corporation**, New York, New York \$40,000 for activities of "Bring Da' Drama: The Second Annual South Bronx Theater Festival and Conference."

#### Resident Humanities Fellowships

**1999 appropriation of \$2,600,000 in addition to previous funding for allocation by the officers to advance scholarship on global social and cultural issues relating to diversity, sustainability and civil society and to promote understanding across diverse communities.**

**City College**, New York, New York \$250,000 toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities at the Institute for Research on the African Diaspora in the Americas and the Caribbean entitled, "Language and Diaspora Culture"

**Curare: Espacio Crítico para las Artes**, Santa Ursula Coapa, Mexico \$66,000 toward the costs of preparing volumes three and four of *Nacionalismo y Modernidad* for publication

**Guadalupe Cultural Arts Center**, San Antonio, Texas \$250,000 toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities entitled "Gateways Humanities Fellowships Program."

**La Morada Corporation for the Development of Women**, Racoleta, Chile. \$15,200 toward the costs of the conference "Memory in Latin American Transitions"

**Marshall University**, Huntington, West Virginia \$250,000 toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities at the Center for the Study of Ethnicity and Gender in Appalachia

**Museum of Clay**, Asuncion, Paraguay \$250,000 toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities at the Visual Arts Center entitled "Identities in Transition: The Challenges of Art in Today's Paraguay"

**New York University**, New York, New York \$30,000 for use by the Asian/Pacific/American Studies Program and Institute for public programming and community outreach

**University of California, Berkeley**, Berkeley, California. \$250,000 toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities at the Institute for International Studies entitled "Community in Contention: Cultures of Crisis, Exile and Democracy"

**University of Florida**, Gainesville, Florida \$250,000 toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities at the Center for Latin American Studies entitled, "Religion in the Americas: Constructing Self, Community and Nation in the Age of Globalization"

**University of Massachusetts, Boston**, Boston, Massachusetts \$250,000 toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities at the William Joiner Center for the Study of War and Social Consequences entitled "(Re)Constructing Identity and Place in the Vietnamese Diaspora"

**University of North Carolina at Chapel Hill**, Chapel Hill, North Carolina \$13,230 toward the costs of an exchange program between the fellows and principal investigators of the Foundation's Humanities Residency Program sites at the University of North Carolina and the University of Memphis



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**University of Oklahoma**, Norman, Oklahoma \$250,000 toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities at the History of Science Program entitled "Scientific Communications and Exchanges Between European and Islamic Scholars: The Making of the Modern World 1300-1800"

**University of Texas at Austin**, Austin, Texas: \$25,000 toward the costs of an international symposium at the Jack S. Blanton Museum of Art entitled, "Representing Latin American/Latino Art in the New Millennium: Curatorial Issues and Propositions"

**Virginia Foundation for the Humanities and Public Policy**, Charlottesville, Virginia \$250,000 toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities at the Institute on Violence and Culture

**Understanding Cultures through Museums**  
1999 appropriation of \$1,000,000 in addition to previous funding for allocation by the officers to foster museum projects that explore group identities and intergroup connections.

**Amigos del Museo del Barrio**, New York, New York \$25,000 toward the costs of the exhibition, "Pressing the Point: Parallel Expressions in the Graphic Arts of the Puerto Rican and Chicano Movements"

**Art in General**, New York, New York \$30,000 toward a touring solo exhibition of work by visual artist/poet Cecilia Vicuna

**Arts Midwest**, Minnesota \$50,000 toward the costs of the exhibition "Illusions of Eden: Visions of the American Heartland"

**Asian American Arts Centre**, New York  
New York \$20,000 toward the costs of the exhibition, "Milieu Part III - Color in the Art of Yun Gee, Venancio C. Igarta, Miyoko Ito and Ted Kurahara"

**Atlanta Historical Society**, Atlanta, Georgia  
\$25,000 toward the costs of the exhibition "Native Lands: Indians and Georgia," at the Atlanta History Museum

**California Indian Basketweavers Association**, Nevada City, California \$50,000 toward support of the "Western Regional Indigenous Basket Weavers Gathering"

**En Foco, Inc.**, Bronx, New York \$25,000 toward the costs of a commemorative publication to honor Latino, African, Asian and Native American photographers

**Heard Museum**, Phoenix, Arizona \$50,000 toward the publication of the exhibition catalogue that accompanies, "Remembering Our School Days: The Boarding School Experience"

**Independent Curators International**, New York, New York \$25,000 toward the exhibition, "Beyond Preconceptions: The Sixties Experiment"

**Installation Gallery**, San Diego, California \$50,000 to support artists' projects being developed at "inSite 2000"

**International Folk Art Foundation**, Santa Fe, New Mexico \$50,000 toward the exhibition, "Sin Nombre: Hispano and Hispanic Artists of the New Deal Era" at the Museum of International Folk Art

**Katonah Museum of Art**, Katonah, New York \$30,000 toward the exhibition "Reflections of Time and Place: Latin American Still Life in the 20th Century"

**Lower East Side Tenement Museum**, New York, New York \$50,000 toward the project, "Songs of the Sweatshop"

**Mattress Factory**, Pittsburgh, Pennsylvania \$50,000 toward an exhibition of new, site-specific installations by 10 artists from Asia

**Merced County Historical Society**, Merced, California \$18,000 toward the costs of the exhibition "Forty Acre Dreams: Agriculture and Immigration in the Great Central Valley" at the Merced County Courthouse Museum

**Museum for African Art**, New York, New York \$25,000 toward the exhibition "Status, Symbol and Style: Hair in African Art and Life"

**New Mexico State University**, Las Cruces, New Mexico \$60,000 toward the exhibition "El Favor de los Santos: The Retablo Collection of New Mexico State University" at the University Art Gallery

**New Museum of Contemporary Art**, New York, New York \$25,000 toward the exhibition "Time of Our Lives"

**Queens Museum of Art**, Queens, New York \$50,000 toward the exhibition "Conceptualist Art: Points of Origin, 1950s-1980s"

**Saint Louis Art Museum**, St. Louis, Missouri \$25,000 toward the costs of a touring exhibition, "Masks: Faces of Culture," and a related publication

**SITE Santa Fe**, Santa Fe, New Mexico \$50,000 toward the exhibition, "Looking for a Place: SITE Santa Fe's 1999 Third International Biennial"

**Solomon R. Guggenheim Foundation**, New York, New York \$100,000 toward the costs of the exhibition "The Worlds of Nam June Paik"

**Tufts University**, Medford, Massachusetts \$30,000 toward the exhibition, "Imagenes e Historias/Images and Histories - Chicana Altar Inspired Art" at the Tufts University Gallery

**University of Iowa**, Iowa City, Iowa \$20,000 toward the costs of the exhibition, "Renewing Tradition: The Revitalization of Bogolan in Mali and Abroad" at the University of Iowa Museum of Art

**Williams College**, Williamstown, Massachusetts \$25,000 toward the costs of the exhibition, "Carrie Mae Weems: The Hampton Project," at the Williams College Museum of Art

#### **Understanding Diversity through Film, Video and Multimedia**

1999 appropriation of \$1,000,000 in addition to previous funding for allocation by the officers to enable U.S. and international film, video and multimedia producers to create independent work exploring the conflicts, connections and commonalities of diverse communities

**American Museum of the Moving Image**, Astoria, New York \$40,000 for activities of its two day symposium entitled, "Digital Media and Curatorial Practice: The Digital Object," and planning activities of its digital media exhibitions program

**Kadambini Bagchi**, New York, New York \$35,000 for "Global Variable," an installation and Web site that will examine the global effects of American television world news

**Tom E. Brown**, San Francisco, California \$35,000 for "Pushing Dead," a dark comedy about a poet coming to terms with living with AIDS

**Jim Campbell**, San Francisco, California \$35,000 for "Color Works," two related interactive installations that explore the relationship between memory, color and touch

**Maria del Carmen de Lara Rangel**, Coyoacan, Mexico \$20,000 for "Genero e Identidades Sexuales (Gender and Sexual Identities)" a series of four videos that will explore feminism, gender and gay rights at the end of the millennium

**Sandi DuBowski**, New York, New York \$35,000 for "Trembling Before God," a feature-length documentary about gays and lesbians within Hasidim and Orthodox Jewish communities around the world

**Educational Broadcasting Corporation**, New York, New York \$35,000 to support the communications component of its series "Reel New York."

**Electronic Arts Intermix**, New York, New York \$40,000 toward the costs of research, development and video preservation for the documentary project entitled "Televison's First Digital Revolution"

**Electronic Arts Intermix**, New York, New York \$25,000 for activities of the Independent Media Arts Preservation project

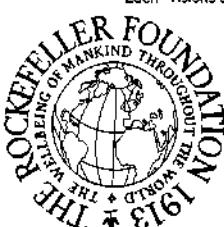
**Film Arts Foundation**, San Francisco, California \$50,000 toward the costs of the conference "As Worlds Collide: Independent Media Makers and the Introduction of Digital Television"

**Foundation-administered project**: \$20,000 to cover costs of a service arrangement with National Video Resources to expand the communications initiative of the Film/Video/Multimedia Fellowships program

**Foundation-administered project**: \$193,186 for a service arrangement with National Video Resources to manage the Film/Video/Fellowships program and its communications initiative

**Silvia Gruner**, Colonia Tacubaya, Mexico \$20,000 for "Materia es de mater (Matter Comes from Mater)," a moving image installation that will explore — through images and objects representing circulation and transition — the relationship of images and objects through proximity and movement

**Harvestworks**, New York, New York \$50,000 toward the costs of developing the Artists' Data Bank Project



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**International Center for Global Communications Foundation**, New York, New York \$100,000 toward the costs of The Media Channel, a Web site on the Internet designed to provide news information and opinion about the cultural, political and social impact of the media

**Ken Jacobs**, New York, New York \$25,000 for archival and experimental work in film and digital media

**Natalie Jeremijenko**, New York, New York \$35,000 for "Market Research," an experimental visual essay on technology, our interactions with it, and its effect on how we work and live

**Art Jones**, Chicago, Illinois \$35,000 for an interactive CD ROM that will contrast personal narratives with official historical narratives, showing the ways in which social, economic and political circumstances affect and are affected by the individual

**KCTS Television**, Seattle, Washington \$50,000 to support the documentary series entitled "Joystick Nation" and its communications initiative

**Jesse Lerner**, Los Angeles, California \$35,000 for "An American Egypt," a documentary that contemplates the crucial role of the United States in the economy, politics and history of the Yucatán peninsula in Mexico

**Erik Loyer**, Valley Village, California \$35,000 for "Chroma," an interactive Web narrative that examines issues of representation and diversity in the digital environment of the near future

**Carlos Martínez Suárez**, Chiapas, Mexico \$20,000 for "Tierra sagrada en zona de conflicto" (Sacred Land in a Conflict Zone), a documentary contrasting traditional native knowledge and practices with the reality of economics, governmental policies and military occupation in Chiapas

**Susan Meisselis**, New York, New York \$20,000 toward the costs of an interactive Web site for cultural exchange

**Susan Muska, Greta Orlaftsdóttir**, New York, New York \$35,000 for "Women and Genocide," a documentary that will examine the modern history of crimes committed against and by women in the Bosnian and Rwandan conflicts

**National Council of La Raza**, Washington, D.C. \$25,000 for activities of a conference entitled "The Future of Latino Independent Media: Public Television and Beyond"

**Oregon Public Broadcasting**, Portland, Oregon \$50,000 for activities of the 12th International Electronic Cinema Festival

**Pennsylvania State University**, State College, Pennsylvania \$70,000 for activities of the symposium "Performative Sites: Intersecting Art, Technology and the Body" at the School of Visual Arts

**David H. Riker**, New York, New York \$35,000 for "Border Lives," a narrative film that will examine the U.S. - Mexico border from the perspective of those who live near it and move across it

**Jay Rosenblatt**, San Francisco, California \$35,000 for "King of the Jews," an experimental documentary that will explore the roots of Christian anti-Semitism: the historical Jesus and distortions in the early Christian gospels

**Sachs Ira**, New York, New York \$35,000 for "Forty Shades of Blue," a narrative set in contemporary Memphis about how class and gender shape a young, uneducated Memphis woman's life

**Seattle Art Museum**, Seattle, Washington \$25,000 toward the costs of its new media program entitled, "1 NET/Open Studio"

**Veena Cabrero Sud**, Jersey City, New Jersey \$35,000 for "Hush," an experimental feature film about a single, working mother whose child is killed, which will explore how race, age and class determine our perceptions of mothers

**Rea Tajin**, New York, New York \$35,000 for "The Family Body," an experimental narrative about the complex relationships between members of a contemporary Asian-American family

**University of Houston, Texas**  
1999 appropriation of \$1,300,000 in addition to previous funding to continue support for a long-term research, preservation and publication project to recover the Hispanic literary heritage of the United States.

## > LIVING WITH DIVERSITY

### **Culture and Community Building**

**1999 appropriation of \$300,000 in addition to previous funding for allocation by the officers to foster the role of culture in community building by identifying and developing arts and cultural indicators**

**Fordham University**, New York, New York \$75,000 for activities of its Institute for Innovation in Social Policy at the National Conference on Social Health and Social Indicators

**Urban Institute**, Washington, D.C. \$350,000 for the second phase of activities of its Arts and Culture Indicators in Comprehensive Community Building Project

**El Freidomismo para la Cultura Mexico/U.S.A. (U.S.-Mexico Fund for Culture)**  
1999 appropriation of \$1,425,000 in addition to previous funding to continue a program of support for collaboration, exchange and interaction between U.S. and Mexican artists and scholars

**Fortifying Civil Societies across Muslim Regions through their Cultural Institutions**  
1999 appropriation of \$750,000 in addition to previous funding for allocation by the officers to continue fostering a set of activities in Muslim societies where issues of pluralism are the subjects of public and policy discussion

**Al-Urdun Al-Jadid Research Center**, Amman, Jordan \$142,620 toward the second phase of the Social History program

**Al-Urdun Al-Jadid Research Center**, Amman, Jordan \$200,000 toward the costs of the research project, "Elites in the Middle East"

**Al-Wasit Art Centre**, Jerusalem, Israel \$87,080 toward phase two of a research and documentation project on Palestinian art

**ASHTAR for Theatre Production and Training**, East Jerusalem, Israel \$60,200 toward the production costs of "Abu Shaker's Affairs '99" and "Women of Sand and Myrrh"

**Center for Palestine Research and Studies**, Nablus, Israel \$222,130 toward a project on the role of Palestinian Islamists in the National Political Process, and four public opinion polls

**Economic and Social History Foundation of Turkey**, Istanbul, Turkey \$150,938 for a conference on "Users and Public Participation in Museums," and the organization of a program on "Local Initiatives on History and Cultural Heritage"

**Horizon Center for Studies and Research**, Amman, Jordan \$165,000 for the costs of a research project on "The Image of the Other"

**Jerusalem International Book Fair**, Jerusalem, Israel \$43,260 toward the costs of bringing authors and publishers from Muslim countries to the 19th biennial Jerusalem International Book Fair in June, 1999

**New York University**, New York, New York \$42,685 for a three-day workshop on Iran and the Middle East: Toward a Cross-Cultural Dialogue

**Obor, The International Book Institute, Inc.**, Philadelphia, Pennsylvania \$210,000 toward the continuing costs of a publishing project at the Obor Morocco Affiliate

**Palestinian-American Research Center**, Ashland, Virginia \$50,000 toward the costs of a predoctoral fellowships program

**Riwaq: Center for Architectural Conservation**, Ramallah, Israel \$100,000 toward a two year program to continue its fieldwork in establishing a national inventory of all historic and culturally significant buildings in Palestinian villages

**University of North Carolina at Chapel Hill**, Chapel Hill, North Carolina \$36,750 toward 35 translations to be included in the Modernist Islam Movement Anthology

**University of Oklahoma**, Norman, Oklahoma \$64,428 toward the costs of a conference in Amman, Jordan, to discuss the status of Jerusalem

**University of Oklahoma**, Norman, Oklahoma \$25,000 toward a conference on Palestinian refugees being held at the Center for Peace Studies

**Van Leer Jerusalem Institute**, Jerusalem, Israel \$50,000 toward a series of meetings to develop an Arab-Israeli cultural dialogue

**Women Living Under Muslim Laws**, Grabels, France \$200,000 toward its documentation, information and communications program



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***Partnerships Affirming Community Transformation***

**1999 appropriation of \$600,000 in addition to previous funding for allocation by the officers to identify, support and learn from community partnerships that integrate the arts and humanities into their efforts to build bridges across racial and ethnic difference**

**Appalshop**, Whitesburg, Kentucky \$35,000 toward the Southwest Virginia Community Forestry Initiative, a series of activities addressing the economic, social and environmental issues of forestry on private and public land in southwest Virginia

**Center for Arts Criticism**, Minneapolis, Minnesota \$50,000 toward the costs of "La Familia," a multivalent, multimedia program that will address different segments of the local Latino population in Minneapolis

**East Bay Institute for Urban Arts**, Oakland, California \$50,000 toward the costs of "Images Creating Unity," an interdisciplinary after-school and summer-training program for Oakland youth

**Esperanza Peace and Justice Center**, San Antonio, Texas \$50,000 toward the costs of Arte Es Vida, a series of community-focused discussions around culture, cultural expression and the arts in San Antonio and the country

**Foundation-administered project:** \$100,000 for the administrative costs related to the PACT program

**Labor Community Strategy Center**, Los Angeles, California \$50,000 toward the costs of "Make History Bus Riders Act" a series of cross-cultural art-making and theatrical improvisations for a civil rights campaign of the diverse bus-riding community of Los Angeles

**Sitka Tribe of Alaska**, Sitka, Alaska \$50,000 toward the costs of a series of youth-led community art projects including writing, collage, mask and mural making, and a series of public-radio broadcasts

**Space One Eleven**, Birmingham, Alabama \$50,000 toward the costs of the development of a business plan and a series of ceramics workshops for Shop One Eleven, a gift shop located in inner-city Birmingham, Alabama, showcasing the work of resident youth

**University of Massachusetts at Amherst**, Amherst, Massachusetts \$36,750 toward the costs of "Looking In/To the Future," a series of theater-arts education workshops and leadership skill training sessions for Latino, Cambodian, Vietnamese and African-American youth at the New WORLD Theater

**Wing Luke Memorial Foundation**, Seattle, Washington \$50,000 toward the costs of a community-based planning project among pan-Asian Pacific-American grassroots organizations for a permanent exhibition to be sited in the Wing Luke Asian Museum

**Youth for Social Change**, Durham, North Carolina \$50,000 toward the costs of "Beyond Borders," a project that uses popular theater and oral history to focus on the impact of school policy on poor African American and Hispanic youth.

***Promoting African Civil Society Through Cultural Initiatives***

**1998 appropriation of \$1,100,000 in addition to previous funding for allocation by the officers to fortify civil society in Africa through support for cultural sector-building activities in museums, book publishing, radio, and film and video.**

**African Books Collective, Ltd.**, Oxford, U.K. \$86,000 toward the costs of its promotion, research and dissemination activities

**African Script Development Fund**, Harare, Zimbabwe \$150,000 toward the costs of Year II work.

**Communicating for Change**, Lagos, Nigeria \$125,000 toward phase two of the "Integrated African Communications Project."

**Cornell University**, Ithaca, New York \$85,000 toward the creation of a computerized database and multivolume series of illustrated dictionaries on contemporary African art

**Feminist Press**, New York, New York \$100,000 toward the costs of the series, "Women Writing Africa"

**International African Institute**, London, U.K. \$135,200 for use by its Bellagio Publishing Network toward the costs of the arts and humanities publishing project and publication costs of the Bellagio Publishing Newsletter.

**LINKFEST**, Bulawayo, Zimbabwe \$20,000 toward the costs of the LINKFEST '99 Namibia Performing Arts, Seminar, and "Ubuntu Bomuntu" Festival.

**Market Theatre**, Johannesburg, South Africa \$75,000 toward the running of the 1999 Fieldwork, Resident Project and Southern African Development programs

**New York Foundation for the Arts**, New York, New York \$150,000 toward the implementation of program activities related to the 1999 African Film Festival

**Pennsylvania State University**, State College, Pennsylvania \$50,000 toward the participation of selected African women writers and scholars during a nine day conference in Entebbe entitled, "Against All Odds: African Languages and Literatures into the 21st Century"

***Role of Religion in the Civil Society***

**1999 appropriation of \$800,000 in addition to previous funding for allocation by the officers to support humanities scholarship on the role of religion in building civil society and to strengthen the capacity of institutions working to help utilize the intellectual and ethical assets of religion in fostering healthy and sustainable societies.**

**Interreligious Coordinating Council in Israel**, Jerusalem, Israel \$50,000 to support the establishment of the Resource Center/Library and the public programs of its inaugural year

**Mediation Network for Northern Ireland**, Belfast, Northern Ireland \$43,460 toward the costs of the Churches' Programme and the Restorative Justice Programme, both of which employ third-party intervention to aid religious institutions in unifying a society divided by religious and other differences

**Minneapolis Institute of Arts**, Minneapolis, Minnesota \$200,000 for use by the Arts and Religion in the Twin Cities Consortium toward the costs of an exploration of the intersection of art and religion in various Twin Cities communities

**Trinity College**, Hartford, Connecticut \$16,150 for use at the Center for the Study of Religion in Public Life toward the costs of convening a conference exploring religious persecution throughout the world as it relates to U.S. policymaking

**World Conference on Religion and Peace**, New York, New York \$350,000 to assist the religious communities of Kosovo in their work toward social reconstruction

**World Conference on Religion and Peace**, New York, New York \$260,000 for use in the development and implementation of a strategic communications plan.

**World Conference on Religion and Peace**, New York, New York \$500,000 toward the costs of its religion and civil society program

***The Legacy of Absence***

**1998 appropriation of \$1,000,000 in addition to previous funding for allocation by the officers to foster a set of activities which explore how artists and writers assess the consequences of war and conflict for their societies.**

**Armenian National Institute**, Washington, D.C. \$19,250 toward the costs incurred in gathering Armenian-related materials for an exhibition

**Foundation-administered project**: \$50,000 toward meeting and consultancy expenses in connection with the "Legacy of Absence" project

**Foundation-administered project**: \$50,000 toward meeting and consultancy expenses in connection with the Legacy of Absence project

**Legacy Project**, New York, New York \$1,257,582 toward "The Legacy Project," an exploration of artistic responses to large scale, man-made trauma

## &gt; OTHER

***American Council of Learned Societies***

**1999 appropriation of \$1,000,000 to enable the Council to maintain and reinvigorate its core fellowship program for humanities scholars over a four-year period.**

## &gt;&gt; Grants in Aid

**3-Legged Dog**, New York, New York \$75,000 toward the costs of the intellectual property development project, "Shape of Time."

**Alliance for the Arts**, New York, New York \$50,000 toward the costs of the film and media preservation component of The Estate Project for Artists With AIDS



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**American Assembly**, New York, New York \$150,000 to support research, meetings and Web publishing on cultural policy and intellectual property issues emerging from the increasing interaction of the for-profit and not-for-profit worlds

**American Composers Forum**, Saint Paul, Minnesota \$75,000 toward the costs of the national commissioning program "Continental Harmony"

**American Composers Forum**, Saint Paul, Minnesota \$200,000 toward the costs of the evaluation process of the "Continental Harmony" project

**American Dance Festival**, Durham, North Carolina \$60,000 toward the costs of the 1999 Festival season and an international exchange program on the Internet

**Art Institute of Chicago**, Chicago, Illinois \$75,000 to support a series of convenings to assess the need for a Center for the Study of Art and Artists in Society.

**Association of Performing Arts Presenters**, Washington, D C \$50,000 toward the costs of "Improving Access: Immigration Regulations and International Artists," a research project that addresses barriers for international artists who want to perform in the United States

**Association of Research Libraries**, Washington, D C \$105,000 toward the costs of the Building Blocks project of the National Initiative for a Networked Cultural Heritage.

**Atlantic Center for the Arts**, New Smyrna Beach, Florida \$60,000 toward the costs of "Music in Motion" a national network of composer residencies combining Internet technologies interactive multimedia and live performance

**Bay Area Video Coalition**, San Francisco, California \$50,000 toward planning and program development for its JobLink program.

**Bronx Museum of the Arts**, New York, New York \$10,000 in support of a two-part symposium "Urban Transformations: Style, Representation and Social Change."

**California/International Arts Foundation**, Los Angeles, California \$45,000 toward the production and distribution of "Memoria: Cuban Art of the Twentieth Century"

**Center for Arts and Culture**, Washington, D C \$100,000 toward institutional support.

**Center for Traditional Music and Dance**, New York, New York \$50,000 to support the establishment of its New York Cultural Heritage Fund.

**Center for Transcultural Studies**, Chicago, Illinois \$100,000 toward the costs of "New Imaginaries," a program exploring the cultural dimensions of globalization

**City Lore: The New York Center for Urban Folk Culture**, New York, New York \$75,000 toward the costs of the "People's Poetry Gathering" and its communications initiative.

**City Parks Foundation**, New York, New York \$25,000 for the 1999 Central Park SummerStage Festival

**Dance Theater Workshop**, New York, New York \$75,000 for activities of the "New Europe '99" festival

**Dancing in the Streets**, New York, New York \$125,000 toward the costs of the OnSite Performance Network, a national performance initiative designed to promote the creation of site specific productions with community components

**Duke University**, Durham, North Carolina \$82,800 for use by its Intercollegiate Center for Classical Studies in Rome to create a fellowship program for minority students.

**Feminist Press**, New York, New York \$245,000 toward the costs of the ongoing program "Women Writing Africa"

**Grantmakers in the Arts**, Seattle, Washington \$15,000 for its 1999 2000 activities.

**Greater Jamaica Development Corporation**, Jamaica, New York \$80,000 to support a project testing the relationship between cultural and economic development at the neighborhood level

**Henson International Festival of Puppet Theater**, New York, New York \$150,000 toward activities of its 2000 festival

**Israel Festival**, Jerusalem, Israel \$30,000 toward the support of an exchange meeting between the Harlem Boys Choir and the Sheeba Choir during the upcoming Israel Festival

**Lincoln Center for the Performing Arts**, New York, New York \$75,000 toward the costs of "Lincoln Center Festival '99" and the production of "The Peony Pavilion"

**National Association of Artists' Organizations**, Washington, D C \$50,000 to support a series of meetings entitled "The Co-Generate Project," designed to develop, nurture and support the next generation of artists and arts professionals

**National Endowment for the Humanities**, Washington, D C \$65,000 toward the costs of the Regional Humanities Centers Initiative

**New School University**, New York, New York \$75,000 to support the Social Research Conference and two related conferences on privacy to be held in Central Europe and the Middle East

**PASSIM, Inc.**, New York, New York \$60,000 toward the costs of the multimedia project, "Meet the Thinkers: Telesymposium," a wide ranging online discussion among artists, scholars, writers, architects and economists on issues of broad-based interest.

**Point Community Development Corporation**, New York, New York \$40,000 for activities of "Bring Da' Drama: The Second Annual South Bronx Theater Festival and Conference"

**Resolution**, San Francisco, California \$50,000 toward the costs of "African Cinema 2000"

**Smithsonian Institution**, Washington, D C \$10,000 for the development of an online component of the exhibition, "A More Perfect Union: Japanese-Americans and the U.S. Constitution," at the National Museum of American History.

**Smithsonian Institution**, Washington, D C \$10,000 for use by the Center for Folklife and Cultural Heritage in support of a conference, "A Global Assessment of the 1989 UNESCO Recommendation on the Safeguarding of Traditional Culture and Folklore: Local Empowerment and International Cooperation"

**Solomon R. Guggenheim Foundation**, New York, New York \$100,000 toward the costs of the exhibition, "The Worlds of Nam June Paik."

**Tectonic Theater Project, Inc.**, New York, New York \$40,000 to support the experimental use of theater to explore contemporary issues through a piece entitled, "The Laramie Project"

**Theatre Communications Group**, New York, New York \$50,000 toward the costs of an informational and communications initiative for the not for profit professional theater community



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**Tibet Fund**, New York, New York \$100,000 for activities of the "World Festival of Sacred Music," in Bangalore

**U.S.-Mexico Fund for Culture**, Mexico City, Mexico \$30,000 toward travel for selected participants and organizers to attend the conference, "Transnationalization of Support for Culture in a Globalizing World," at the Bellagio Study and Conference Center

**University of Illinois at Urbana-Champaign**, Urbana, Illinois \$15,100 toward the conference, "Latin American Literary and Cultural Studies in the Age of Transnationalization"

**Women's World Organization for Rights, Literature and Development**, New York, New York \$105,000 to support the establishment of a network of women writers in Africa

**Woodrow Wilson National Fellowship Foundation**, Princeton, New Jersey \$50,000 to support its program of Public Scholarship grants

**World Conference on Religion and Peace**, New York, New York \$350,000 to assist the religious communities of Kosovo in their work toward social reconstruction

**World Conference on Religion and Peace**, New York, New York \$260,000 for use in the development and implementation of a strategic communications plan.

## | AFRICAN INITIATIVES |

## &gt;&gt; African Dissertation Internship Awards

**Timothy Afui-Koomson**, Ghana \$16,916 to enable him to conduct research on the topic "Implications of tropical timber certification for Ghanaian timber exports and sustainable forest management"

**Forestry Research Institute of Ghana**, Ghana \$2,500 for administrative costs associated with Timothy Afui Koomson's research

**William Ezra Allen**, Liberia \$24,677 to enable him to conduct research on the topic, "Palm oil, jappa and coffee: The Liberian coastal enclaves and the frontier in the 19th century"

**University of Liberia**, Liberia \$2,500 for administrative costs associated with William Allen's research

**Sosina B. Asfaw**, Ethiopia \$23,106 to enable her to conduct research on the topic, "Paradox of degradation: Borana pastoralism and bush encroachment"

**Addis Ababa University**, Ethiopia \$2,500 for administrative costs associated with Sosina Asfaw's research

**Kosi Sembeia Awuma**, Ghana \$25,197 to enable him to conduct research on the topic, "Spatial characterization and nutritional stratification of grazing lands in the Guinea and Sudan savanna zones of Ghana"

**University of Ghana**, Ghana \$2,500 for administrative costs associated with Kosi Awuma's research

**Cheikh Anta Mbacké Babou**, Senegal \$25,274 to enable him to conduct research on the topic, "Cohesion and the role of educational practice in the genesis and development of the Mundanya"

**Cheikh Anta Diop University**, Senegal \$2,500 for administrative costs associated with Cheikh Anta Mbacké Babou's research

**Cornelius Chipoma**, Zambia \$20,441 to enable him to conduct research on the topic, "Reforming public institutions in Zambia"

**University of Zambia**, Zambia \$2,500 for administrative costs associated with Cornelius Chipoma's research

**Nafissatou Diop-Sidibe**, Mali \$20,875 to enable her to conduct research on the topic, "Domestic violence against women in Bamako, Mali: A gender perspective"

**Sahel Institute**, Mali \$2,500 for administrative costs associated with Nafissatou Diop-Sidibe's research

**Davison J. Gumbo**, Zimbabwe \$25,480 to enable him to conduct research on the topic "Socializing Miombo ecology: Change in woodland use and its meaning for sustainable livelihoods"

**Southern Alliance for Indigenous Resources**, Zimbabwe \$2,500 for administrative costs associated with Davison Gumbo's research

**Mohamed Fareed Jeephay**, South Africa \$25,500 to enable him to conduct research on the topic "Occupational allergy associated with rock lobster and saltwater bony fish processing in South Africa"

**University of Cape Town**, South Africa \$2,500 for administrative costs associated with Mohamed Jeephay's research

**Chima Jacob Korieh**, Nigeria \$23,346 to enable him to conduct research on the topic "Agricultural sustainability, the state and agricultural crisis in southeastern Nigeria 1990-1990"

**Imo State University**, Nigeria \$2,500 for administrative costs associated with Chima Korieh's research

**Blessing Mukabeta Maumbe**, Zimbabwe \$22,320 to enable him to conduct research on the topic "The economics of smallholder cotton production and pesticide use in Zimbabwe"

**Africa University**, Zimbabwe \$2,500 for administrative costs associated with Blessing Maumbe's research

**C. Senewa Montet**, Kenya \$25,500 to enable her to conduct research on the topic "Dietary intakes and selected biomarkers of chronic disease risk amongst the Maasai in Kenya"

**African Medical and Research Foundation**, Kenya \$2,500 for administrative costs associated with Senewa Montet's research

**Nithieefan Rajen Naidoo**, South Africa \$25,430 to enable him to conduct research on the topic, "Respiratory health among South African coal miners"

**University of Natal**, South Africa \$2,500 for administrative costs associated with Rajen Naidoo's research

**Richard Ombui Nyankanga**, Kenya \$23,264 to enable him to conduct research on the topic, "Evaluation of cultural practices, host resistance and farmers' knowledge in the management of potato late blight in Kenya"

**International Potato Center**, Peru \$2,500 for administrative costs associated with Richard Nyankanga's research

**E. Nicholas Odongo**, Kenya \$24,270 to enable him to conduct research on the topic, "Livestock's contribution to phosphorus cycling: Small scale mixed farming set up in the Central Highlands of Kenya"

**International Livestock Research Institute**, Kenya \$2,500 for administrative costs associated with Nicholas Odongo's research

**Jonah Henri Ratsimbazafy**, Madagascar \$25,400 to enable him to conduct research on the topic, "Responses of black and white ruffed lemurs (*Varecia variegata variegata*) to habitat disturbances in Manombo Special Reserve, Madagascar"

**Madagascar Institute for Conservation of Tropical Environments**, Madagascar \$1,250 for administrative costs associated with Jonah Ratsimbazafy's research

**University of Antananarivo**, Madagascar \$1,250 for administrative costs associated with Jonah Ratsimbazafy's research

**Gayatri Sethi**, Botswana \$24,950 to enable her to conduct research on the topic, "Ethnographic accounts of the feminization of the informal sector in Botswana: A focus on young women's transitions to self sufficiency"

**University of Botswana**, Botswana \$2,500 for administrative costs associated with Gayatri Sethi's research

**Rashid Adam Tamatamah**, Tanzania \$24,697 to enable him to conduct research on the topic, "The export coefficient modeling for predicting the input of phosphorus from rural catchments flowing into the Speke Gulf, Lake Victoria, Tanzania"

**University of Dar es Salaam**, Tanzania \$2,500 for administrative costs associated with Rashid Tamatamah's research

**Andrew Wamalwa Yasindi**, Kenya \$22,560 to enable him to conduct research on the topic, "The ecology of ciliates in three East African Rift Valley lakes Naivasha, Sonachia and Elementaita"

**Egerton University**, Kenya \$2,500 for administrative costs associated with Andrew Yasindi's research

## &gt;&gt; Related Grants

**Boston University**, Boston Massachusetts \$3,800 in conjunction with the African Dissertation award to Yusufu Lawi, to allow his supervisor at the University of Dar es Salaam to attend his dissertation defense

**Cornell University**, Ithaca, New York \$3,050 in conjunction with the African Dissertation Internship Award to Mutisa Masinyandima, to enable her supervisor at the West Africa Rice Development Association to attend her dissertation defense

**Cornell University**, Ithaca, New York \$2,079 in conjunction with the African Dissertation Internship Award to Michael Ugen Adrogwu, to allow his supervisor at the CIAT Regional Bean Program, Kawanda Research Institute to attend his dissertation defense

**Foundation-administered project**: \$25,000 for administrative costs associated with implementing the ADIA program

**Foundation-administered project**: \$400,000 for expenses associated with the design and implementation of a North American chain of dissertation workshops for African doctoral students at US and Canadian universities

**University of Alberta**, Edmonton, Canada \$3,650 in conjunction with the African Dissertation Internship Award to Dixie Maluwa Banda, to allow his supervisor at the University of Malawi to attend his dissertation defense

**University of Toronto**, Toronto, Canada \$3,070 in conjunction with the African Dissertation Internship Award to Moses Imo, to allow his supervisor at Moi University to attend his dissertation defense



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**Vanderbilt University**, Nashville, Tennessee \$3,251 in conjunction with the African Dissertation Internship Award to Josephine Adomako, to enable her supervisor at the University of Ghana to attend her dissertation defense

#### >> African Career Awards

**Rosemary Atieno**, Kenya \$31,993 to enable her to conduct postdoctoral research at the University of Nairobi on rural infrastructure and the development of private trade in Kenya's agricultural sector

**Bernard Bashaasha**, Uganda \$32,000 to enable him to conduct postdoctoral research at the National Agricultural Research Organization on the impact of population pressure and different land use practices on household welfare and natural resources in southwestern Uganda

**Alpha O. Camara**, Guinea \$4,700 to enable him to conduct postdoctoral research at the University of Conakry on the health status of children in a rural community of Guinea and the effectiveness of control measures for soil transmitted helminthases

**Damen Haile Manam**, Ethiopia \$32,000 to enable him to conduct postdoctoral research at Addis Ababa University on traditional social insurance as an alternative financing mechanism for health care in Ethiopia

**Chagema John Kedera**, Kenya \$31,830 to enable him to conduct postdoctoral research at the Kenya Plant Health Inspectorate Service on aggressiveness of fusarium pathogens associated with maize in Kenya

**Stephen Kendie**, Ghana \$32,000 to enable him to conduct postdoctoral research at the University of Cape Coast on water supply, sanitation and hygiene, and prepare a critical analysis of these connecting factors in northern Ghana

**Abbebe Kifleyesus**, Eritrea \$31,800 to enable him to conduct postdoctoral research at the University of Asmara on repatriation and reintegration of Eritrean refugees

**Charles H. K. Muchoki**, Kenya \$6,000 for expenses associated with an earlier African Career Award

**Alexander Naby**, Eritrea \$32,000 to enable him to conduct postdoctoral research at the University of Asmara on the discourse on environment and ecology among the Kunama people of western Kenya

**Callistus K. P. Ogil**, Kenya \$32,000 to enable him to conduct postdoctoral research at Kenyatta University on natural resource utilization, indigenous knowledge and biodiversity on Mfangano Island

**Christine Ouédraogo**, Burkina Faso \$31,929 to enable her to conduct research at Unité d'Enseignement et de Recherche en Demographie (UERD) on how loans empower women in Bazega in rural Burkina Faso

**Pius S. W. Owino**, Kenya \$32,000 to enable him to conduct postdoctoral research at the Institute of Policy Analysis and Research on cost-recovery strategies for sustainable community pharmacies in Kenya

**Nirushwa Daniel Thomas Rukazambanga**, Tanzania \$32,000 to enable him to conduct postdoctoral research at Maruko Agricultural Research Institute on banana weevil (*Cosmopolites sordidus*) - host (*Musa AAA-EA*) interaction, with an emphasis on the role of plant nutrients on weevil damage and banana production decline in Buloba district, Tanzania

**Silas M. Simiyu**, Kenya \$31,316 to enable him to conduct postdoctoral research at the Kenya Electricity Generating Company, Ltd., on the application of microseismic studies in geothermal exploration in Kenya's Suswa geothermal area

#### >> Related Grants

**Foundation-administered project**: \$50,000 for administrative costs associated with implementing the African Career Award program

**University of Cape Town**, Rondebosch, South Africa \$1,000 for administrative costs associated with Richard Hasler's African Career Award

#### > FEMALE EDUCATION IN AFRICA

##### *Female Participation in African Education*

*1999 appropriation of \$3,500,000 in addition to previous funding for allocation by the officers to hasten the closing of the gap in educational opportunities and attainment between boys and girls by strengthening national capacity to lower unit costs, improve quality, and reduce economic and social risks for parents and students.*

**Amoye Institute for Educational Research and Development**, Ibadan, Nigeria \$10,000 for the costs of the final phase of its project to develop and produce mathematics and chemistry textbooks by female African authors for secondary schools

**City Council of Kampala**, Kampala, Uganda \$99,225 for a project to improve the reading ability of pupils in the Minds Across Africa School Clubs

**Egerton University**, Njoro, Kenya \$54,982 for the costs of a reading-tent project to develop the reading habits of pupils in the Njoro Division of Nakuru District, Kenya

**Florence Ebam Etta-Akinaina**, Nairobi, Kenya \$3,271 to present a paper at the international symposium on new directions in gender research in Africa, to be held in Cairo by the Council for the Development of Social Science Research in Africa, December 1999

**Forum for African Women Educationalists**, Nairobi, Kenya \$109,385 to prepare options papers for the nine African countries studied under the Strategic Resource Planning project for girls' education

**Forum for African Women Educationalists**, Nairobi, Kenya \$700,000 for general support

**Forum for African Women Educationalists**, Nairobi, Kenya \$1,500,000 for general support

**Forum for African Women Educationalists**, Nairobi, Kenya \$600,000 for its project, Female Education in Mathematics and Science in Africa (FEMSA)

**Forum for African Women Educationalists – Sierra Leone Chapter**, Freetown, Sierra Leone \$24,700 for general support

**Forum for African Women Educationalists of Zambia**, Lusaka, Zambia \$24,390 for general support

**Forum for Women Educationalists – Chad**, N'Djamena, Chad \$15,470 toward the costs of creating girls' clubs in schools in Chad

**Foundation-administered project:** \$350,000 for administrative expenses of the Female Participation in African Education program

**Foundation-administered project:** \$100,000 for the costs of producing and distributing the newsletter of the Minds Across Africa School Clubs project

**Foundation-administered project:** \$100,000 for administrative expenses of the Female Participation in African Education program

**Gender and Science and Technology Association**, Ahmedabad, India \$40,000 toward the costs of its Ninth International Conference, Accra, Ghana, July 1999

**Institute of Development Studies**, Brighton, U.K. \$248,500 toward the costs of a book on gender and primary schooling in Africa

**Iowa State University**, Ames, Iowa \$60,000 for use by its International Women in Science and Engineering program toward the costs of the African Women in Science and Engineering conference on increasing the participation of women in the basic and applied sciences in Africa, Nairobi November 29 to December 3, 1999

**Kenya University**, Nairobi, Kenya \$18,000 for the costs of a workshop to revise its proposal on women's science education in Kenya

**Maendeleo ya Wanawake Organization**, Nairobi, Kenya \$20,000 toward the costs of developing and producing a primary school level comic book on girls' education and reproductive-health issues as part of its project Healthy Futures, aimed at reducing school dropout among Kenyan girls

**Makere University**, Kampala, Uganda \$92,000 for its Minds Across Africa School Clubs project

**Malian Association for the Support of Girls' Education – FAWE Mali Chapter**, Bamako, Mali \$8,200 toward the costs of two activities: research on the role of female teachers in girls' education and capacity building for its members

**Third World Organization for Women in Science**, Trieste, Italy \$10,000 toward the costs of African participants in its second general assembly and international conference on women, science and technology for sustainable human development, Cape Town, February 1999

**United Nations Children's Fund**, New York, New York \$25,000 toward the costs of meetings to advance the goals of its Alliance for Community Action on Female Education



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## | EQUAL OPPORTUNITY |

**United Nations Children's Fund**, New York, New York \$400,000 for its trust fund for the Alliance for Community Action on Female Education

**United Nations Educational, Scientific and Cultural Organization**, Paris, France \$50,000 for use by its International Institute for Educational Planning to support the activities of the Association for the Development of Education in Africa

**United Nations Educational, Scientific and Cultural Organization**, Paris, France \$70,000 for use by its International Institute for Educational Planning to support the activities of the Association for the Development of Education in Africa

**University of Cape Town**, Rondebosch, South Africa \$450,000 toward the costs of its African Gender Institute's associates program to build resources for gender equity

**University of Durban-Westville**, Durban, South Africa \$250,000 toward the costs of its upward bound universitywide academic-enrichment program designed to increase high school students' chances of obtaining a university education

**University of Sussex**, Brighton, U.K. \$167,100 for a project to assess the impact of the HIV/AIDS epidemic on the attainment of primary education in sub-Saharan Africa

**World Bank**, Washington D.C. \$200,000 toward the costs of its multiagency partnership on implementation issues in girls' education.

## &gt; HUMAN CAPITAL FOR SCIENCE AND TECHNOLOGY

*African Forum for Children's Literacy in Science and Technology*  
**1996 appropriation of \$950,000 in addition to previous funding for allocation by the officers to generate popular understanding in Africa among children and young adults of the principles and practical applications of science and technology.**

**University of Durban-Westville**, Durban, South Africa \$479,300 toward the costs of its program: the African Forum for Children's Literacy in Science and Technology

## &gt;&gt; Grants in Aid

**Centre for African Family Studies**, Nairobi, Kenya \$25,000 toward the cost of its Conference on NGO Partnerships for Reproductive Health in Africa, held in Nairobi in November 1999

**CIVICUS World Alliance for Citizen Participation**, Washington, D.C. \$20,000 toward the costs of its African Regional Assembly on civil society and the eradication of poverty in Africa, Nairobi, June 1999

**Foundation-administered project**: \$40,000 to strengthen African universities

**Foundation-administered project**: \$300,000 for an exploration of viable ways for the Foundation to contribute to human-capacity building in Africa and other developing regions, especially in regard to issues in higher education

**Foundation-administered project**: \$75,000 for an exploration of viable ways for the Foundation to contribute to human-capacity building in Africa and other developing regions, especially in regard to issues in higher education

**Makere University**, Kampala, Uganda \$55,000 toward the cost of the publication, Transformation and Challenges to Development in Uganda, which aims to examine and document positive and negative aspects of policies that have influenced events in Uganda over the past 15 years, and set out ideas for future development.

**National Council for Research on Women**, New York, New York \$13,000 to enable two southern African scholars to participate in its global initiative for 1999 to advance economic literacy for women

**University of East Anglia**, Norwich, U.K. \$10,000 toward the costs of African participants at its colloquium on the constraints and priorities of policymaking in sub-Saharan Africa

**Yale University**, New Haven, Connecticut \$52,000 toward the cost of a symposium series, for representatives from academia, government and the private and nonprofit sectors, focused on issues of policy relevance for building institutional capacity for research and training in the field of higher education

## &gt; INCREASING EMPLOYMENT OPPORTUNITIES

*Advancing Work Force Development Models That Meet the Needs of Employers and Inner-City Residents*

**1999 appropriation of \$1,500,000 in addition to previous funding for allocation by the officers to build and disseminate knowledge about how work-force development programs can meet employer needs and improve employment outcomes for the most disadvantaged inner-city residents.**

**Chicago Jobs Council**, Chicago, Illinois \$75,000 to support the planning and start up of its WorkFund initiative aiming to build a sustainable capacity building support mechanism for nonprofit workforce development providers.

**Council of Community Services**, Nashville, Tennessee \$105,000 to support the community-organizing activities of the Nashville Jobs Partnership, a project of its Neighborhood Resource Center.

**Enterprise Foundation**, Columbia, Maryland \$60,000 to support completion of the exploration of the capacity of nonprofit organizations to provide transitional and permanent employment to very low-income inner-city residents through the operation of nonprofit, revenue-generating enterprises

**Greater Baltimore Alliance Foundation**, Baltimore, Maryland \$50,000 to support its Service Sector Mobility Project

**Indianapolis Private Industry Council**, Indianapolis, Indiana \$166,650 to support its Learnings Conference, Creating Opportunities Through Work

**Jobs for the Future**, Boston, Massachusetts \$158,970 to support its efforts to build local and national capacity for workforce development in the United States and the United Kingdom

**National Center for Economic Alternatives**, Washington, D.C. \$30,000 to support the dissemination of the report, "Innovations in ownership: Strengthening America's communities by robbing capital and building assets"

**New School University**, New York, New York \$36,000 to support its knowledge building and technical-assistance project aimed at increasing access to investment capital by social purpose enterprises

**Shorebank Advisory Services, Inc.**, Chicago, Illinois \$70,000 to support activities designed to create more accurate business information for potential employers seeking to locate operations in inner-city neighborhoods and to use these tools to initiate partnerships with businesses leading to increased investment and employment in inner-city neighborhoods

**The Community Development Venture Capital Alliance**, New York, New York \$100,000 to support its New Horizons for Workforce Development program

**Center on Budget and Policy Priorities**

**1999 appropriation of \$250,000 to continue general support for its monitoring of how federal policies and budget allocations affect low-income families and individuals.**

**Jobs Initiative**

**1999 appropriation of \$3,400,000 in addition to previous funding for allocation by the officers to provide the second year of support for local interventions designed to achieve substantially increased employment rates in low-income urban neighborhoods.**

**Center for Law and Social Policy**, Washington, D.C. \$150,000 to cover the costs of a two-day meeting on the implementation of public jobs programs, and for staff time and costs involved in providing technical assistance and written analyses to state and local policy actors involved in the design and implementation of the Workforce Investment Act and TANF

**Center on Budget and Policy Priorities**, Washington, D.C. \$140,000 to support work on public job creation strategies and a project examining the relationship between public housing and welfare reform

**Community Partners**, Los Angeles, California \$25,000 to support the Community Supports for Work Fellowship program at William Meade and Imperial Courts Housing Development

**Consensus Organizing Institute**, San Diego, California \$100,000 to support research and program design activities related to welfare-to-work in San Diego

**Corporation for Supportive Housing**, New York, New York \$300,000 to support its efforts to disseminate lessons and best practice from the Next Step Jobs initiative



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**Corporation for Supportive Housing**, New York New York \$397 068 to support continuing activities of the Next Step Jobs initiative and planning activities for a place based employment program for families

**Foundation-administered project**: \$200 000 toward administrative costs associated with communications activities for the RF Initiative to Increase Employment for Inner City Residents

**Foundation administered project**: \$19 000 toward administrative costs associated with the RF Initiative to Increase Employment for Inner City Residents

**Manpower Demonstration Research Corporation**, New York New York \$500 000 to support its Neighborhood Jobs Initiative

**Manpower Demonstration Research Corporation**, New York New York \$500 000 to support its Neighborhood Jobs Initiative

**Manpower Demonstration Research Corporation**, New York New York \$43 055 for a case study of San Francisco Works welfare to work program

**Manpower Demonstration Research Corporation**, New York New York \$2 000 000 to support its Jobs Plus initiative

**San Francisco Foundation Community Initiative Funds**, San Francisco California \$80 000 to support a collaborative effort to improve employment opportunities for residents in the Bayview Hunter's Point neighborhood

**San Francisco State University**, San Francisco California \$100 000 for use by its San Francisco Urban Institute to support assessment, program evaluation and management information system training for a pilot community service jobs for wages program

**San Francisco State University**, San Francisco California \$49 050 in support of the Urban Curriculum Community Building Organization Capacity Building Project

**United Way of the Bay Area**, San Francisco California \$75 000 to help San Francisco Works institutionalize its welfare to work programs

**University of California, Santa Barbara**, Santa Barbara California \$37 000 to support the Institutions of Higher Education and Community Partnership Conference

**Urban Institute**, Washington D C \$29 996 toward the Fort Worth resident survey component of a project to develop neighborhood data profiles and indicators in six sites during the planning phase of the Neighborhood Jobs Initiative

**Urban Institute**, Washington D C \$126 759 to support development of neighborhood data profiles and indicators in six sites during the planning phase of the Neighborhood Jobs Initiative

**The Future of Work**  
*1999 appropriation of \$700,000 in addition to previous funding for allocation by the officers to increase knowledge about the causes and consequences of rising income inequality, and the changing character, quality and quantity of employment available to low skilled workers in the United States, and to encourage the development of policy ideas and practices that can improve the employment opportunities and working conditions of the least skilled*

**Bowdoin College**, Brunswick Maine \$75 000 to support a research project entitled Squeezed Between a Rock and a Hard Place: Changes in Employment Practices in the Hosiery Industry

**Columbia University**, New York New York \$30 000 toward the costs of a conference and book chapter on international immigration entitled Old differences and new similarities: American and European immigration policies in a comparative perspective

**Economic Policy Institute**, Washington D C \$100 000 to support a research project examining The Transformation of Low skilled Work and Careers in the North American Health Care Industry

**Hamilton College**, Clinton New York \$100 000 to support a study entitled Changing Employment Practices and the Quality of Jobs: Case Studies of Medium Size Firms in Metropolitan and Nonmetropolitan Communities in Central New York

**International Labour Organisation**, Geneva Switzerland \$150 000 toward support of initial meetings of an international advisory group, and to support the documentation and preparation of the ILO's enterprise labor flexibility and security surveys in order to make them accessible to external researchers

**National Bureau of Economic Research, Inc (NBER)**, Cambridge Massachusetts \$19 500 to support the cost of developing a proposal for a major national survey of U.S. workers regarding their forms of shared compensation/ownership

**New School University**, New York New York \$29 400 to support the cost of a research project that carefully examines the non skilled related factors associated with hours and wage declines/increases in major job categories in the U.S. economy over the past 25 years

**University of Minnesota**, Minneapolis Minnesota \$30 000 toward a workshop and set of commissioned papers on Welfare state policies in emerging market economies

**University of Pennsylvania**, Philadelphia Pennsylvania \$75 000 to support a research project entitled The Quality of Jobs and Mobility Opportunities for Customer Service and Sales Workers

**Working Partnerships USA**, San Jose California \$100 000 to support a comparative research project on labor market intermediaries in Silicon Valley and Milwaukee County entitled Economic Opportunity in a Volatile Economy: Understanding the Role of Labor Market Intermediaries in Two Regions

**Working Partnerships USA**, San Jose California \$8 000 to support the cost of convening a meeting to review and encourage research on regional labor market intermediaries

**Working Partnerships USA**, San Jose California \$10 000 to support the cost of convening a meeting to review and encourage research on regional labor market intermediaries

## > BUILDING COMMUNITY

### **Building Community**

*1999 appropriation of \$1,250 000 in addition to previous funding for allocation by the officers (1) to continue support for a set of interrelated activities designed to evaluate community building efforts and disseminate best practices nationally, and (2) to phase out support for the Urban Strategies Council and its Community Building Support Center*

**Aspen Institute**, Washington D C \$100 000 to support its Roundtable on Comprehensive Community Initiatives

**Chatham Savannah Youth Futures Authority**, Savannah Georgia \$33 552 toward the continuation of the development of the Neighborhood Improvement Association

**Community Development Society of America**, Milwaukee Wisconsin \$5 000 toward the costs of a conference Community Building: Weaving the Fabric of Resilient Community

**EZ/EC Foundation Consortium**, Washington D C \$150 000 toward general support

**Foundation administered project**: \$42 000 toward administrative costs associated with the planning and convening of a Bellagio Center team residency to develop implementation plans for the Foundation's National Conversation on Race Initiative

**National Community Building Network**, Oakland California \$350 000 toward general support

**Urban Institute**, Washington D C \$200 000 toward the National Neighborhood Indicators Project (NNIP)

**Urban Strategies Council**, Oakland California \$225 000 in support of its Community Building Support Center

**Urban Strategies Council**, Oakland California \$225 000 toward core support

**Community Building Policy Center**  
*1998 appropriation of \$1,500 000 in addition to previous funding for allocation by the officers to continue support for the planning and launching of a community building policy center*

**PolicyLink**, Oakland California \$1 500 000 toward general support

**National Community Development Initiative**  
*1996 appropriation of \$9,000 000 for allocation by the officers to provide third and final-round support for the National Community Development Initiative (NCDI), and continued core support for two NCDI intermediaries, the Local Initiatives Support Corporation and the Enterprise Foundation*

**Enterprise Foundation**, Columbia Maryland \$751 000 for the third round of the National Community Development Initiative

**Enterprise Foundation**, Columbia Maryland \$500 000 for continued support of the efforts of community development corporations in helping inner city communities achieve sustainable social and economic self sufficiency through development of local leadership and investment in tangible capital assets

**Local Initiatives Support Corporation**, New York New York \$500 000 for general support



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**Local Initiatives Support Corporation**, New York, New York \$499,000 for the third round of the National Community Development Initiative

#### > BUILDING CONSTITUENCIES FOR CHANGE

##### *Building Support for New Employment Policies and Practices*

1999 appropriation of \$1,000,000 in addition to previous funding for allocation by the officers to build more effective, constituency-based reform networks that, in the short term, improve the employment prospects of inner-city residents and, in the longer term, help to revitalize urban communities and establish more broadly-based prosperity.

**Center for Community Change**, Washington, D.C. \$50,000 to support its Coalition on Human Needs project

**Center on Policy Initiatives**, San Diego, California \$90,000 toward general support

**Chicago Jobs Council**, Chicago, Illinois \$100,000 toward general support

**Community Partners**, Los Angeles, California \$35,000 to support activities that monitor the effects of welfare implementation on the poor in Los Angeles county, and to inform a network of 1,000 community groups of these developments through the biweekly publication of the 'In the Loop' newsletter

**Community Voices Heard**, New York, New York \$50,000 toward general support

**Financial Markets Center**, Philmont, Virginia \$75,000 toward the cost of producing four monitoring products designed to increase understanding of financial market dynamics, economic trends and Federal Reserve System operations among constituency groups, journalists, policymakers and the general public

**Fremont Public Association**, Seattle, Washington \$75,000 toward a project that monitors the expansion of the community jobs program in the state of Washington and its participation in a national network of groups interested in implementing community service programs

**Jobs with Justice Education Fund**, Washington, D.C. \$15,000 toward travel expenses of low-income and young people participating in its annual Jobs with Justice conference

**Local Initiative Support Training and Education Network**, Washington, D.C. \$75,000 toward general support

**Local Initiative Support, Training, and Education Network**, Washington, D.C. \$100,000 toward general support

**Los Angeles Alliance for a New Economy**, Los Angeles, California \$75,000 toward its subsidy accountability project to examine tax subsidies provided to private business development in Los Angeles County and the number and quality of jobs created as a result

**National Interfaith Committee For Worker Justice**, Chicago, Illinois \$75,000 to support a grants pool to seed new religious-labor coalitions to improve wages, benefits and working conditions of low skilled workers in the United States

**Progressive Technology Project**, Washington, D.C. \$75,000 toward its general support

**Strategic Actions for a Just Economy**, Los Angeles, California \$44,106 to support costs of administering a pilot program of direct deposit banking for welfare recipients in two Los Angeles areas

**Strategic Concepts in Organizing & Policy Education**, Los Angeles, California \$100,000 toward general support

**Unemployment Information Center**, Philadelphia, Pennsylvania \$40,000 to support its work on welfare reform and its organizing efforts to improve Philadelphia's and Pennsylvania's jobs creation programs

**University of Washington**, Seattle, Washington \$35,000 to support a report that examines the implementation and impacts of the second year of Washington state's community jobs program

**Children's Defense Fund** 1999 appropriation of \$300,000 to continue general support for its efforts to improve public policies affecting children.

#### > IMPROVING URBAN SCHOOL SYSTEMS

##### *Building District Infrastructures for Professional Development*

1999 appropriation of \$2,775,000 in addition to previous funding for allocation by the officers to help a network of urban school districts connect district-level reform policy to school practice by planning and implementing systems that improve teaching and learning districtwide.

**Albuquerque Public Schools**, Albuquerque, New Mexico \$343,680 toward participation in the Rockefeller Foundation initiative, Building Infrastructures for Professional Development

**Council for Basic Education**, Washington, D.C. \$50,000 to support the Standards Based Teacher Education Project

**Education Commission of the States**, Denver, Colorado \$33,500 for a meeting to develop key themes and messages on teacher quality for use by policymakers and the public

**Ewing Marion Kauffman Foundation**, Kansas City, Missouri \$100,000 to support ongoing research on the First Things First districtwide school-reform initiative

**Impact II – The Teachers Network**, New York, New York \$200,000 to implement a program on teacher research to provide information and data on how teachers improve student achievement, and to connect educational policy to classroom practice

**Institute for Research and Reform in Education**, Toms River, New Jersey \$50,821 to develop a comprehensive evaluation plan for Flint Community Schools' theory of change

**Learning Communities Network**, Cleveland, Ohio \$664,466 toward general support

**National Board for Professional Teaching Standards**, Southfield, Michigan \$351,150 to engage National Board Certified Teachers in states and urban school districts that are involved in follow-up activities of the National Commission on Teaching and America's Future in conversations with their communities about accomplished teaching and the importance of National Board certification of teachers

**San Antonio Independent School District**, San Antonio, Texas \$300,000 toward continued implementation of the Rockefeller Foundation initiative, Building District Infrastructures for Professional Development

**San Diego City Schools**, San Diego, California \$339,000 to support the district's Institute for Learning in providing site-based staff development in the San Diego City Schools

**School District, City of Flint**, Flint, Michigan \$50,000 to develop a comprehensive evaluation plan for Flint Community Schools' theory of change

**School District, City of Flint**, Flint, Michigan \$139,046 for technical assistance to help develop and implement a strategic communications plan for Flint Community Schools

##### *Spreading the School Development Program and Philosophy*

1999 appropriation of \$4,300,000 in addition to previous funding for allocation by the officers to improve student outcomes in several urban school districts by deepening, expanding and sustaining implementation of the School Development Program and linking it to effective instructional strategies; and to conduct and synthesize research, lessons and evaluations on the implementation, influence and efficacy of the Program and share them with practitioners and policymakers.

**Community School District 13**, Brooklyn, New York \$150,000 toward continued support of systemic implementation of the Comer School Development Program

**Long Island University**, Brookville, New York \$296,624 to enable its C.W. Post School of Education the Comer School Development Program and the Westbury School District to continue their collaboration in implementing a school-improvement initiative in the Westbury School District

**Prince George's County Public Schools**, Upper Marlboro, Maryland \$225,000 to support the Prince George's County Comer School Development Program Regional Training Center

**University of North Carolina at Chapel Hill**, Chapel Hill, North Carolina \$114,880 to study the effect of district context on the implementation of the School Development Program

**Yale University**, New Haven, Connecticut \$1,254,327 for use by its Yale Child Study Center for continued support for spreading the Comer School Development Program



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## &gt; BUILDING DEMOCRACY

**National Conversation on Race**

**1999 appropriation of \$1,500,000 in addition to previous funding for allocation by the officers to support collaborations between scholars and activists that enhance the ability of community residents to participate meaningfully in policymaking processes and thereby improve the democratic and racial-equity impacts of policy.**

**Applied Research Center**, Oakland, California \$50,000 to expand the capacity of its ColorLines magazine to bring critical issues of race into public discourse

**Asian Pacific American Legal Center of Southern California**, Los Angeles, California \$175,000 to support its education efforts in the Asian Pacific American community in California around redistricting and the census in the year 2000

**Bay Area Institute**, San Francisco, California \$100,000 for use by its project, Pacific News Service, for its New California Media Network initiative

**Chicago Lawyers' Committee for Civil Rights Under Law**, Chicago, Illinois \$50,000 for its work to foster microenterprise as a way of expanding the job market for poor people and challenge racial discrimination in hiring practices

**Columbia University**, New York, New York \$25,000 for use by its Paul F Lazarsfeld Center for the Social Sciences to provide seed funding to create a centralized database that collects election returns from California, Florida, Illinois, New York and Texas for all primary and general elections from 1996 to 1998

**Community Partners**, Los Angeles, California \$250,000 for use by its Advancement Project initiative to launch a new national resource center that will support and strengthen the work of community organizations and locally based lawyers engaged in innovative projects to advance racial and social justice

**Community Partners**, Los Angeles, California \$75,000 to fund a research, community education and advocacy project that will use the crisis created by affirmative action losses in Texas and California to build public support for inclusive approaches to educational opportunity

**Conservation Law Foundation**, Boston, Massachusetts \$175,000 to monitor and evaluate the municipal planning and development, including citywide transportation access, of the new Boston Seaport neighborhood and to educate community groups about its consequences for adjacent communities and brown field reclamation

**Cultural Environment Movement**, Ardmore, Pennsylvania \$25,000 to study content of prime time dramatic (fictional) entertainment programs and analyze messages contained therein to determine the contributions of television to viewer conceptions of reality using the methodology of the Cultural Indicators project

**Faith and Politics Institute**, Washington, D C \$28,000 for activities aimed at informing members of Congress about issues of racial justice and reconciliation, and equipping them to act as facilitators of cross racial dialogue

**Foundation-administered project** \$35,000 toward activities associated with the development of a project forum for the Foundation's National Conversation on Race initiative and to broaden the Foundation's knowledge and contacts among Native American and southwestern Latino scholars and activists

**Foundation-administered project** \$80,000 toward administrative costs associated with the development of an approach to the Foundation's communications strategy for its National Conversation on Race initiative

**Graduate School and University Center, City University of New York**, New York New York \$6,560 toward partial travel costs of participants in its Bellagio conference "Civil participation and civil society: A comparative perspective"

**Graduate School and University Center, City University of New York**, New York New York \$150,000 for the Howard Samuels State Management and Policy Center's initiative Project Forum on Race and Democracy

**Greensboro Justice Fund, Inc.**, Haydenville, Massachusetts \$48,350 for the preparation and production of a one-week program documenting and addressing the many issues that led to the 1979 Greensboro Massacre

**Interdenominational Theological Center**, Atlanta, Georgia \$50,000 for implementation of ITC/FaithWorks, its programmatic initiative designed to assist black theologians in addressing the community development challenges of the 21st century

**International Human Rights Law Group**, Washington, D C \$50,000 toward a preparatory consultation at Bellagio for the United Nations World Conference on Racism scheduled for the year 2001

**Labor Community Strategy Center**, Los Angeles, California \$50,000 for general support

**National Civic League of Colorado**, Denver, Colorado \$50,000 for development of an awards program to recognize and provide technical assistance to exemplary initiatives that promote race relations in the United States

**National Public Radio**, Washington, D C \$87,798 to continue support for its race relations beat

**Southern Echo, Inc.**, Jackson, Mississippi \$100,000 for general support

**Southern Echo, Inc.**, Jackson, Mississippi \$175,000 for continued general support for a range of activities that includes educating community organizations around census and redistricting, public education and capacity building

**The Potomac Coalition**, Washington, D C \$75,000 to support research on new investment models, protocols and relationships that promote development, livable wage jobs and equity stakes in poor urban communities

**University of Minnesota**, Minneapolis, Minnesota \$50,000 to develop and implement a strategy to engage communities of color nationally in addressing issues of concentrated poverty, regionalism and metropolitan equity

**University of Texas Law School Foundation**, Austin, Texas \$150,000 to support the ongoing work of its Texas LEADS project to increase involvement in public education among parents who have not been actively engaged with the schools in the past

**National Conversation on Race. A Communications Program**  
**1997 appropriation of \$1,000,000 for allocation by the officers to develop and implement a communications effort that raises the visibility and enhances the effectiveness of innovative racial discourse during the year of national dialogue on race.**

**American Jewish Committee**, New York, New York \$25,000 for CommonQuest Magazine's reporting on black-Jewish collaboration around race

**Applied Research Center**, Oakland, California \$50,000 to expand the capacity of its ColorLines magazine to bring critical issues of race into public discourse

**Foundation-administered project** \$42,000 toward administrative costs associated with the planning and convening of a Bellagio Center team residency to develop implementation plans for the Foundation's National Conversation on Race initiative

**Foundation-administered project** \$44,750 toward administrative costs associated with the development of a race story bank for the National Conversation on Race communications initiative

**Fred Friendly Seminars**, New York, New York \$50,000 to support the national promotion and outreach campaign of its television seminar "Race, Equality, Opportunity: Affirmative Action in America"

**University of Texas Law School Foundation**, Austin, Texas \$75,000 to support the efforts of its project, Texas LEADS to use the crisis created by affirmative action losses in Texas to build public support for inclusive approaches to educational opportunity

## &gt; ADVANCING BASIC RIGHTS

**Americans for a Fair Chance**

**1999 appropriation of \$300,000 to continue support for a collaborative communications effort by six major civil-rights legal organizations to increase public understanding of affirmative action.**

**Basic Rights Stabilization Program**

**1999 appropriation of \$800,000 for allocation by the officers to continue support for Basic Rights litigation, advocacy and technical assistance activities.**

**National Asian Pacific American Legal Consortium**

**1999 appropriation of \$200,000 to continue support for its litigation, advocacy and technical assistance activities.**

## &gt; OTHER

**Democracy Roundtables**

**1996 appropriation of \$1,000,000 in addition to previous funding for allocation by the officers to support consensus-based, community problem solving through diverse local partnerships addressing conflict.**

**Foundation-administered project** \$19,968 toward administrative costs associated with the final phases of the Democracy Roundtables initiative



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## &gt;&gt; Grants in Aid

**After-School Corporation**, New York, New York \$150,000 to support activities to create sustainable revenue streams and foster support among parents for in-school after-school programs

**Bay Area Video Coalition**, San Francisco, California \$50,000 toward planning and program development for its JobLink program

**British Consulate-General**, Los Angeles, California \$68,000 in support of a conference on welfare-to-work practices in the United Kingdom and Los Angeles County

**Chapin Hall Center for Children**, Chicago, Illinois \$20,000 to support a project to examine the role of immigrant service providers in low-income New York City neighborhoods and the impact of welfare reform on those organizations

**Children Now**, Oakland, California \$50,000 to support its Children & the Media Program explorations of media messages that shape children's perceptions of themselves and their place in the world

**Consensus Organizing Institute**, Pittsburgh, Pennsylvania \$20,000 toward costs associated with organizational development issues

**Cross City Campaign for Urban School Reform**, Chicago, Illinois \$55,000 in support of its Indicators Project on Education Organizing

**Economic Policy Institute**, Washington, D.C. \$105,000 to support research, analysis and information dissemination efforts related to the low-wage labor market indicators project, the quarterly wage and employment series and associated work related to welfare reform issues around the country

**Economic Policy Institute**, Washington, D.C. \$100,000 to support the development of two documents: The State of Working Mexico, and a technical report outlining the conceptual and measurement issues related to tracking labor market developments in a newly industrializing economy

**Enkson Institute**, Chicago, Illinois \$50,000 to support replication efforts of its Project Match employment-services program.

**Foundation-administered project**: \$35,000 toward activities associated with the development of a project forum for the Foundation's National Conversation on Race initiative and to broaden the Foundation's knowledge and contacts among Native American and southwestern Latino scholars and activists

**Fund for the City of New York**, New York, New York \$100,000 for use by its Center for Court Innovation to support a project to assist the planning process for the Boston Jobs Project, a collaborative community effort to identify positive alternatives for at-risk young adults of targeted inner-city neighborhoods in Boston

**Georgia Campaign for Adolescent Pregnancy Prevention**, Atlanta, Georgia \$100,000 toward the costs of an evaluation of the Campaign's work in three Georgia communities with high rates of adolescent pregnancy.

**Graduate School and University Center, City University of New York**, New York, New York \$6,560 toward partial travel costs of participants in its Bellagio conference, "Civil participation and civil society: A comparative perspective."

**Graduate School and University Center, City University of New York**, New York, New York \$45,000 for use by its Center for Urban Research on a project to create a quasi-interactive World Wide Web site based on the year 2000 Census

**Greater Jamaica Development Corporation**, Jamaica, New York \$80,000 to support a project testing the relationship between cultural and economic development at the neighborhood level

**Greensboro Justice Fund, Inc.**, Haydenville, Massachusetts \$48,350 for the preparation and production of a one week program documenting and addressing the many issues that led to the 1979 Greensboro Massacre

**Hayground School**, Bridgehampton, New York \$25,000 to support the hiring of an outreach director who will share Hayground's experience of developing a learning environment that crosses the barriers of race, class and age

**Institute for Democracy Studies**, New York, New York \$100,000 to support the Affirmative Action Project of its Law and Democracy Program

**Institute for Public Policy Research**, London, U.K. \$36,000 to support a conference entitled, "Dilemmas of globalization," bringing together European policy-makers to discuss domestic and global policy challenges for the next decade.

**Jobs for the Future**, Boston, Massachusetts \$158,970 to support its efforts to build local and national capacity for work force development in the United States and the United Kingdom

**Jobs for the Future**, Boston, Massachusetts \$127,440 to support a series of activities designed to build and disseminate knowledge about how corporate welfare-to-work practices can be improved in robust and potentially weaker labor-market conditions

**Loughborough University**, Loughborough, Leicestershire, U.K. \$64,000 toward a project to explore the role of research-based evidence from the United States in the development of welfare-to-work policy and the potential for an evidence-based policy approach in the United Kingdom

**Manpower Demonstration Research Corporation**, New York, New York \$107,547 to support the continuation of the MDRC-coordinated dialogue between American and British welfare experts on strengthening Britain's capacity to evaluate welfare-to-work and other self-sufficiency programs.

**NAAACP Legal Defense and Educational Fund**, New York, New York \$100,000 to support its work on voting rights and redistricting related to the census in the year 2000

**National Employment Law Project**, New York, New York \$150,000 toward general support

**New Orleans Interfaith Sponsoring Committee**, New Orleans, Louisiana \$50,000 to support its Community Organizing Institute pilot project, a joint project of NOISC and Xavier University of Louisiana to expand opportunities for students to engage in community development

**New School University**, New York, New York \$300,000 to support the strategic planning and development process of the Nonprofit Management Program of its Milano School of Management and Urban Policy

**New York University**, New York, New York \$175,000 to enable its Institute for Education and Social Policy to study emerging charter schools in New York City with regard to finance, accountability and school supports

**Public Advocates**, San Francisco, California \$50,000 to support capacity building, planning and program development for its project, the Latino Coalition for a Healthy California

**Puerto Rican Legal Defense and Education Fund**, New York, New York \$100,000 for use to complete its merger with the Institute for Puerto Rican Policy and to provide technical assistance and strategic planning for improved administrative operations

**State University of New York**, Albany, New York \$85,147 for use by its Nelson A. Rockefeller Institute of Government to support research on the impact of welfare reform on selected community-development corporations in three cities

**Success For All Foundation**, Baltimore, Maryland \$150,000 to continue support for curriculum development and scale up of Success For All, and Roots and Wings

**Teachers College, Columbia University**, New York, New York \$53,000 to broaden and deepen public discussion of the common good in education through publication and dissemination of general-interest articles from the book, Reconstructing the Common Good in Education: Coping with Intractable American Dilemmas

**Tides Center**, San Francisco, California \$25,000 to support its Civil Rights Forum project

**Tomas Rivera Policy Institute**, Claremont, California \$75,000 to support a study of exemplary Latino voter-mobilization projects

**United Way of the Bay Area**, San Francisco, California \$10,000 for use by its Eclectic Media project toward the development of Solutions, a new interactive, high tech approach to town hall forums devoted to strengthening communities through more accessible civic engagement

**University of Houston**, Houston, Texas \$75,000 to support its Arte Publico Press original series of books for high school students on Hispanic/Latino civil-rights organizations

**University of Maryland**, College Park, Maryland \$50,000 to support its Civil Society/Community Building Initiative

**University of Memphis**, Memphis, Tennessee \$75,000 to support the Race and Nation in the Global South Initiative of its Center for Research on Women

**University of Pennsylvania**, Philadelphia, Pennsylvania \$75,000 to support its Center for Community Partnerships' Community Arts Partnership

**William C. Velasquez Institute**, Los Angeles, California \$50,000 for general support

**Yale University**, New Haven, Connecticut \$73,360 to support the community-based work of The Center for the Study of Race, Inequality and Politics



## | HEALTH SCIENCES |

## &gt; POPULATION BASED HEALTH CARE

**Clinical Epidemiology**

**1998 appropriation of \$4,600,000 in addition to previous funding for allocation by the officers to continue support for core activities of the International Clinical Epidemiology Network (INCLEN) and the operations of INCLEN, Inc., including its transition toward institutional autonomy and stability.**

**Council on Health Research for Development**, Geneva, Switzerland \$650,000 for an international conference in Bangkok, Thailand, to devise a new agenda for health research for development

**INCLEN, Inc.**, Philadelphia, Pennsylvania \$5,043,000 for the operational costs of its Executive Office and for program activities of the International Clinical Epidemiology Network

**INCLEN, Inc.**, Philadelphia, Pennsylvania \$60,000 in support of planning activities for the clinical epidemiology network in Africa

**International Forum for Social Sciences in Health**, Caracas, Venezuela \$20,000 to support the costs of developing-country participants to a seminar on the social determinants of health

**Sree Chitra Tirunal Institute for Medical Sciences and Technology**, Trivandrum, India \$18,225 for use by its Achutha Menon Centre for Health Science Studies to enable its graduate students within the public-health program to attend the INCLEN XVI Global Meeting in Bangkok

## &gt; RESOURCING PUBLIC HEALTH

**Disease Surveillance**

**1999 appropriation of \$2,200,000 for allocation by the officers to support efforts to strengthen the capacity of health systems to collect and respond to information about changing patterns of disease occurrence.**

**Foundation-administered project:** \$41,000 for activities related to strengthening disease surveillance in east Africa

**International Development Research Centre**, Ottawa, Canada \$15,000 for use by its Latin America and Caribbean Regional Office to support the publication of the proceedings of an international workshop entitled, "An Ecosystem Approach to Human Health Communicable and Emerging Diseases" Rio de Janeiro, Brazil, November 1999

**International Society for Infectious Diseases**, Boston, Massachusetts \$100,000 to support ProMED mail's activities related to sharing information globally on infectious disease outbreaks

**World Health Organization**, Geneva, Switzerland \$1,250,000 for use by its Regional Office for Africa to support activities to strengthen national surveillance systems, laboratory capabilities and information sharing networks in west Africa and the Sudan

**Improving Reproductive Health Service Delivery**

**1999 appropriation of \$3,000,000 in addition to previous funding for allocation by the officers to support research in selected developing countries to identify sustainable models to improve the reach and quality of reproductive health services, especially for neglected populations and services.**

**Foundation-administered project:** \$175,000 for a workshop in India for grantees either conducting or planning to conduct intervention research on sexual and reproductive health issues in India, Sri Lanka, Vietnam and Myanmar, and their technical support partners

**INCLEN, Inc.**, Philadelphia, Pennsylvania \$173,870 for an evaluation of the Global Network for Pernatal and Reproductive Health Research (formerly the Reproductive Health Working Group), and continued support of its technical assistance to projects under way.

**International Center for Research on Women**, Washington, D.C. \$847,130 for expanded work with a group of five nongovernmental organizations in India conducting community-based intervention research on adolescent sexuality and reproductive health

**International Center for Research on Women**, Washington, D.C. \$675,150 to continue to provide technical assistance to nongovernmental organizations in India undertaking intervention research on adolescent sexuality and reproductive health

**K.E.M. Hospital Research Centre**, Pune, India \$45,400 for a study to assess the performance of various approaches to assess vaginal discharge in India, to inform the development of simple flow charts for the case management of vaginal discharge

**Ministry of Finance, Planning and Economic Development**, Uganda, Kampala, Uganda \$450,000 for activities by its Population Secretariat to continue

building capacity at the district and sub-county levels to collect, analyze and utilize information and data about adolescent reproductive health for local program development and implementation and to build capacity at the Secretariat for national policy analysis and coordination.

**Ministry of Health, Burkina Faso**, Ouagadougou, Burkina Faso \$149,050 for an intervention research study in Burkina Faso to evaluate and improve reproductive health services for adolescents

**Pacific Institute for Women's Health**, Los Angeles, California \$332,640 to continue to provide technical assistance to African nongovernmental organizations conducting research in the field of adolescent reproductive health

**Population Council**, New York, New York \$231,880 to enable the Population Council's staff in Hanoi to continue to provide training and technical support to Vietnamese NGOs and government agencies conducting reproductive health research.

**Public Health Institute**, Berkeley, California \$200,000 to enable its Pacific Institute for Women's Health to design and pilot test community-based interventions for prevention of unsafe abortion and its complications in Kenya, in collaboration with the Centre for the Study of Adolescence in Nairobi

**Tulane University**, New Orleans, Louisiana \$7,940 for use by its School of Public Health and Tropical Medicine to further evaluate the West African Youth Initiative, implemented by Nigeria's Association for Reproductive and Family Health

**Public Health Schools Without Walls**

**1999 appropriation of \$2,200,000 in addition to previous funding for allocation by the officers to continue support for the Public Health Schools Without Walls (PHSWOW) program at current levels to allow the program transition into the health equity strategy.**

**Chinese Academy of Preventive Medicine**, Beijing, China \$50,000 for use by its Union School of Public Health in support of a study on manpower needs aimed at establishing a training program for community health-care services in China

**Foundation-administered project:** \$50,000 for the annual meeting and other collaborative network activities among PHSWOW sites

**Hanoi School of Public Health**, Hanoi, Vietnam \$232,000 for continued support of its PHSWOW program activities

**Hanoi School of Public Health**, Hanoi, Vietnam \$514,000 for continued support of its PHSWOW program activities

**Makere University**, Kampala, Uganda \$586,000 for use by its Institute of Public Health for continued support of the PHSWOW program

**Makere University**, Kampala, Uganda \$10,300 for use by its Institute of Public Health to enable graduate students in its Master's in Public Health program to participate in the INCLEN XVI global meeting in Bangkok, Thailand, March 1 to 5, 1999

**Makere University**, Kampala, Uganda \$243,100 for use by its Institute of Public Health for continued support of the PHSWOW program

**Sree Chitra Tirunal Institute for Medical Sciences and Technology**, Trivandrum, India \$97,300 in support of the annual meeting of the PHSWOW network

**Tulane University**, New Orleans, Louisiana \$102,100 for use by its Payson Center for International Development and Technology Transfer to support the training of trainers in the use of information technology within the PHSWOW initiative

**University of Ghana**, Legon, Accra, Ghana \$150,000 for use by its School of Public Health for PHSWOW program

**University of the Western Cape**, Bellville, South Africa \$117,800 for use by its Public Health Programme to develop problem-based training materials for public health practitioners in distant and disadvantaged South African communities through a distance learning program in health management

**University of Zimbabwe**, Harare, Zimbabwe \$570,000 for continued support of its PHSWOW Master's of Public Health training program.

**University of Zimbabwe**, Harare, Zimbabwe \$225,000 for continued support of its PHSWOW Master's of Public Health training program



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## &gt; RESEARCH TO IMPROVE REPRODUCTIVE HEALTH

**HIV in the Developing World**

**1997 appropriation of \$2,000,000 in addition to previous funding for allocation by the officers to support the search for an HIV (human immunodeficiency virus) vaccine and to help developing countries improve their capabilities for reducing the spread of HIV and other sexually-transmitted diseases (STDs) and mitigate the consequences of current HIV infection.**

**Center for Study of Responsive Law**, Washington, D C \$99,650 for use by its Consumer Project on Technology for activities related to improving access to AIDS related and other essential medicines

**Kenya Medical Research Institute**, Nairobi, Kenya \$15,983 in support of a cohort study "Outpatient clinical management of HIV-infected adults in Nairobi."

**Women for Women's Health**

**1993 appropriation of \$1,300,000 in addition to previous funding for allocation by the officers to help Malawi and Uganda develop the capacity for training increased numbers of nurse-midwives and upgrading their skills and status as a way of bringing about sustained reduction in maternal mortality and morbidity.**

**University of Pennsylvania**, Philadelphia, Pennsylvania \$69,401 for a collaborative project with the Malawi Ministry of Health and Population to expand the network of volunteer Community Based Safe Motherhood Advisers and to develop and incorporate

a literacy component into the training program to help them become more effective in their out reach efforts

## &gt; HARNESSING THE NEW SCIENCES

**Grouped Appropriation:*****Harnessing the New Sciences***

**1999 appropriation of \$6,900,000 for allocation by the officers to support new and ongoing efforts to accelerate product development for orphan diseases, specifically vaccines for children and against AIDS, and new medicines for malaria and tuberculosis.**

**Empresa Colombiana de Productos Veterinarios S.A. (VECOL)**, Bogota, Colombia \$15,000 in support of a seminar to share new vaccine developments with the international scientific community entitled, "Future Prospects for Vaccine Production Technology," to be held in Bogota, Colombia, in January 2000

**Foundation-administered project**: \$25,000 to undertake an inventory of public/private collaborations for vaccine research and development

**Global Forum for Health Research**, Geneva Switzerland \$500,000 for core support of its activities that include new efforts aimed at product development for diseases in developing countries, especially malaria chemotherapeutics

**International AIDS Vaccine Initiative**, New York, New York \$5,000,000 for continued funding of its activities to ensure development of safe, effective preventive HIV vaccines for use throughout the world.

**University of Maryland at Baltimore**, Baltimore, Maryland \$200,000 for use by its Center for Vaccine Development in support of research related to the development of a safe, practical and effective oral vaccine against Shigella and E. coli and for surveillance studies of pediatric infections in Bamako, Mali

**World Health Organization**, Geneva, Switzerland \$100,000 in support of its Tuberculosis Diagnostic Initiative established to stimulate and facilitate industry to adapt available technologies for new diagnostics for use in low-income countries

**World Health Organization**, Geneva, Switzerland \$225,000 in support of its intercluster vaccine research (IVR) initiative aimed at consolidating vaccine research and development efforts into a single entity

**World Health Organization**, Geneva, Switzerland \$500,000 for use by its Tropical Disease Research Program for continued funding of its multilateral initiative on malaria to strengthen research capability in Africa

**World Health Organization**, Geneva, Switzerland \$300,000 in support of its STOP TB initiative focused on strategies for improving the equity of supply and distribution for second-line anti-tuberculosis medications to treat multidrug resistant tuberculosis

***Harnessing the New Sciences***

**1998 appropriation of \$2,300,000 in addition to previous funding for allocation by the officers to support efforts to accelerate the discovery, development and distribution of vaccines, drugs and diagnostics against diseases of the poor and excluded.**

**Doctors Without Borders**, New York, New York \$60,000 for a meeting to discuss ways in which the public and private sectors can cooperate to facilitate access to drug treatments by people in developing countries

**Foundation-administered project**: \$50,000 for meetings on vaccine development and immunization implementation in New Delhi, India and Bellagio, Italy

**Foundation-administered project**: \$100,000 to undertake an inventory of public/private collaborations for vaccine research and development

**Foundation-administered project**: \$100,000 to undertake an inventory of public/private collaborations for vaccine research and development

**Global Forum for Health Research**, Geneva Switzerland \$500,000 for core support of its activities that include new efforts aimed at product development for diseases in developing countries, especially malaria chemotherapeutics

**International AIDS Vaccine Initiative**, New York, New York \$5,000,000 for continued funding of its activities to ensure development of safe, effective preventive HIV vaccines for use throughout the world

**Pan American Health Organization**, Washington, D C \$25,000 for its consultative meeting on vaccine research, development and leadership in Santiago, Chile

**United Nations Children's Fund**, New York, New York \$500,000 for the activities of the Global Alliance for Vaccines and Immunization

**University of Maryland at Baltimore**, Baltimore, Maryland \$200,000 for use by its Center for Vaccine Development in support of research related to the development of a safe, practical and effective oral vaccine against Shigella and E. coli and for surveillance studies of pediatric infections in Bamako, Mali

**World Health Organization**, Geneva, Switzerland \$250,000 to support the transition activities of its program on Vaccines and Other Biologicals, previously known as the Global Program on Vaccines

**World Health Organization**, Geneva, Switzerland \$250,000 to support the transition activities of its Children's Vaccine Initiative

## &gt; STRENGTHENING GLOBAL LEADERSHIP

***Health Equity Frontiers***

**1999 appropriation of \$2,500,000 for allocation by the officers to support a health-equity frontiers program aimed at generating equity-sensitive health policies and to further explorations of a global health watch.**

**Harvard University**, Cambridge, Massachusetts \$473,700 for use by its Center for Population and Development Studies for editing and publishing volumes of the Global Health Equity Initiative's conceptual groups on Ethics, Measurement and Gender and the hosting of a population and development seminar series on the post-Cairo agenda and reproductive health

**Health Systems Trust**, Durban, South Africa \$300,000 to further the development of a gauge to involve provincial and national legislators in the promotion of health equity in South Africa and to explore international application of the gauge concept

**University of Liverpool**, Liverpool, U K \$106,150 for use by its Department of Public Health to develop an equity policy-research initiative to assess and develop evidence-based policy options for efficient equity-oriented public-health sector strategies

**World Bank**, Washington, D.C. \$414,130 for use by its Division of Population, Health and Nutrition for a collaborative project to improve the population, health and nutrition outcomes of the poor.

***Millennium Health Research Awards***

**1999 appropriation of \$2,400,000 for allocation by the officers to recognize outstanding accomplishment, celebrate remarkable potential and encourage ownership of public-health research in developing-country institutions.**



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## &gt; EXPLORATIONS

**Exploration: Initiatives for Equity in Health**

**1998 appropriation of \$3,000,000 for allocation by the officers to conduct explorations that will enable HS to develop a sound and effective strategy, gain a realistic sense of how the strategy will materialize on the ground, and create a strong and self-sustaining program infrastructure.**

**African Medical and Research Foundation**, Nairobi, Kenya \$150,000 for a study to explore ways to improve the coverage and ensure equity of resource allocation and access to health services within the context of health sector reform in Kenya

**American Medical Association**, Chicago, Illinois \$100,000 to support the participation of delegates from Asia and Africa to the 11th World Conference on Tobacco OR Health

**Argentine Society for Equity in Health**, La Plata, Argentina \$25,000 for the travel costs of developing-country participants for a meeting on inequity and health

**Catholic University of Chile**, Santiago, Chile \$90,700 for use by its Department of Public Health to organize a workshop on the development of accessible, policy-relevant indicators for health equity and the initiation of an international group on equity indicators

**Council on Health Research for Development**, Geneva, Switzerland \$650,000 for an international conference in Bangkok, Thailand, to devise a new agenda for health research for development

**Foundation-administered project:** \$45,000 for activities related to strengthening disease surveillance in the Mekong Basin

**Foundation-administered project:** \$150,000 for a meeting to review the state of the art in TB science and technology for drug discovery, and to discuss the importance and mechanisms of developing new drugs to shorten TB treatment

**Foundation-administered project:** \$67,000 for a Foundation-administered project for the editing and other costs associated with publishing a volume on Global Dimensions of Health Equity

**Foundation-administered project:** \$34,550 to facilitate efforts toward increasing global cooperation for the alleviation of micronutrient malnutrition

**Foundation-administered project:** \$60,000 for a meeting to discuss strategies to build communications for social change in tobacco control

**Foundation-administered project:** \$45,800 to conduct a two-day conference to discuss and develop a program that would partner North and South community-based groups working on tobacco control

**Foundation-administered project:** \$36,500 to organize a meeting with the World Bank, "Current Activities and Future Directions for Health Equity," to bring together representatives of NGOs, bilaterals, multilaterals and foundations working on health equity to share information and discuss opportunities for collaboration

**Foundation-administered project:** \$50,000 for a meeting to discuss ways to heighten public awareness of the problems associated with tobacco use, facilitate efforts to educate policymakers on tobacco control; and strengthen community-based tobacco control efforts in sub-Saharan Africa

**Foundation-administered project:** \$164,800 to explore the role of people and resources in the future vision of public health

**Foundation-administered project:** \$22,250 for a Foundation-administered project for the design and production costs associated with publishing a volume on Global Dimensions of Health Equity

**Foundation-administered project:** \$154,000 for a Foundation-administered project for the editing and other costs associated with publishing a volume on Global Dimensions of Health Equity

**GIFTS of Health**, Oxford, U.K. \$25,000 for a meeting on traditional medicines and natural-product chemistry related to the development of antimicrobials

**Global Health Council**, White River Junction, Vermont \$25,000 for activities related to public education in the United States on the risks of global infectious diseases

**London School of Hygiene and Tropical Medicine**, London, U.K. \$20,000 toward the costs of a public-health forum entitled, "Poverty, Inequality and Health."

**Ministry of Health, Cambodia**, Phnom Penh, Cambodia \$25,000 for use by its Communicable Disease Control Department to strengthen disease surveillance efforts through capacity building and communications networking

**Ministry of Health, Ghana**, Accra, Ghana \$199,220 toward the cost of the demographic-surveillance system of the Navrongo Health Research Centre, and to begin the process of transferring lessons learned from the Navrongo Community Health and Family Planning project to the national health program

**Ministry of Health, Lao P.D.R.**, Vientiane, Laos \$25,000 for use by its National Center for Laboratory and Epidemiology to strengthen disease-surveillance efforts through capacity building and communications networking

**Ministry of Health, Myanmar**, Yangon, Burma \$25,000 for use by its Department of Health to strengthen disease surveillance efforts through capacity building and communications networking

**Ministry of Health, Vietnam**, Hanoi, Vietnam \$25,000 to strengthen disease-surveillance efforts through capacity building and communications networking

**Ministry of Public Health, Thailand**, Nonthaburi, Thailand \$24,580 for use by its Division of Epidemiology to strengthen disease-surveillance efforts through capacity building and communications networking

**Ministry of Public Health, Thailand**, Nonthaburi, Thailand \$64,130 for use by its Field Epidemiology Training Program for activities related to the start up of a Mekong Basin Disease Surveillance network

**National Academy of Sciences**, Washington, D.C. \$150,000 for use by its Institute of Medicine to produce a report on securing basic health care in countries receiving debt relief

**National Academy of Sciences**, Washington, D.C. \$150,000 for use by its Institute of Medicine to produce a report on the global impact of tobacco on health

**Pan American Health Organization**, Washington, D.C. \$30,000 for the translation into Spanish and the production and dissemination of the Global Health Equity Initiative volume in the Latin American and Caribbean regions as a means to further stimulate research and policy debates on health-equity issues

**Society for International Development**, Rome, Italy \$121,805 for an international roundtable, "Responses to Globalization: Rethinking Equity in Health," and for the production and distribution of a special journal issue on this topic

**Stichting Health Action International Foundation**, Amsterdam, Netherlands \$19,930 for use by its Health Action International-Europe for activities related to establishing the work plan and launch of a WHO NGO working-group dialogue on pharmaceutical issues

**Television Trust for the Environment**, London, U.K. \$150,000 toward the costs of a multimedia television series entitled, "Life" that will address key health equity themes such as access to essential drugs, intellectual property rights, women's health and tobacco control.

**Universiti Sains Malaysia**, Pulau Pinang, Malaysia \$10,000 to convene a two day seminar of relevant experts to review and provide feedback on working drafts of chapters for a book on health-equity issues in the Malaysian context

**University of Melbourne**, Fitzroy, Victoria, Australia \$17,751 for use by its Centre for Cultural Studies in Health to organize an international conference in conjunction with the Ministry of Health, Vietnam, entitled, "Equity Oriented Strategies for Health Care in Vietnam."

**University of Melbourne**, Fitzroy, Victoria, Australia \$100,169 for use by its Centre for Cultural Studies in Health to organize an international conference in conjunction with the Ministry of Health, Vietnam, entitled, "Equity Oriented Strategies for Health Care in Vietnam"

## &gt;&gt; Grants in Aid

**Bangladesh Rural Advancement Committee**, Dhaka, Bangladesh \$15,000 toward the costs of travel of international participants to a workshop to revise materials used in an international course on the anthropology of health and health care

**Foundation-administered project:** \$45,000 in collaboration with the African Initiatives Program to explore and assess the nature and impact of selected school health programs carried out by NGOs in eastern and southern Africa, and to use the findings to support selected NGOs in developing and implementing their programs to strengthen the capacity of children to acquire the knowledge and skills to improve their health and increase their access to quality education



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## I SPECIAL PROGRAMS I

**Grantmakers in Health**, Washington, D C \$10,000 to support efforts to contribute to the knowledge, skills and effectiveness of the health related grantmaking community

**Infant Feeding Action Coalition (INFACT)**, Toronto, Ontario, Canada \$87,000 for activities related to protecting infant and young child health through the work of the Codex Alimentarius Commission

**International Council on Management of Population Programmes**, Kuala Lumpur, Malaysia \$126,640 to develop in collaboration with the Population Council's Bangkok Office, a unified methodology for field-based strategic assessments of quality of care in reproductive-health programs

**International Livestock Research Institute**, Nairobi, Kenya \$20,000 for a planning workshop related to sequencing the genome of the parasite *Theilena parva*, which causes East Coast Fever in cattle, creating a nutritional and economic burden for smallholder farmers and their families in Africa

**Johns Hopkins University**, Baltimore, Maryland \$38,000 for an analysis of health, health care and transitions in health equity in the past 50 years in Nepal

**McMaster University**, Hamilton, Canada \$15,000 for use by its School of Nursing for two workshops in New Delhi, India, on women and HIV/AIDS, and women and violence

**Ministry of Health, Zambia**, Lusaka, Zambia \$25,000 to support the participation of developing-country delegates to the Xth International Conference on AIDS and STDs in Africa

**Ministry of Public Health, Ivory Coast**, Abidjan, Ivory Coast \$25,000 for use by its Programme National de Lutte contre SIDA, les MST et la Tuberculose toward the costs of the Xth International Conference on AIDS and STDs in Africa.

**William Alan Muraskin**, New York, New York \$23,000 toward the costs of researching and writing a book entitled, "A History of the Growth of the Children's Vaccine Program"

**Satellife**, Watertown, Massachusetts

\$25,000 for activities related to ProMED-mail, an early warning system that provides information on global outbreaks of infectious diseases

**Sudden Infant Death Syndrome Alliance**, Baltimore, Maryland \$25,000 in support of a collaborative project with the National Institute of Child Health and Development to create educational materials to decrease the incidence of Sudden Infant Death Syndrome in African American communities

**Television Trust for the Environment**, London, UK \$150,000 toward the costs of a multimedia television series entitled Life that will address key health equity themes such as access to essential drugs, intellectual property rights, women's health and tobacco control

**University of Swaziland**, Kwaluseni, Swaziland \$5,000 to support the participation of African experts at the "Symposium on African Medicinal and Indigenous Food Plants and the Role of Traditional Medicine in Health Care" and the costs of producing a report on the symposium

**World Health Organization**, Geneva, Switzerland \$54,500 to launch and support the work of producing the first World Report on Violence

**Konglai Zhang**, Beijing, China \$4,875 to share the results of a cost effective intervention for Hepatitis B in China at the VII Cochrane Colloquium in Rome, Italy

## &gt; NEXT GENERATION LEADERSHIP

**Next Generation Leadership**

**1999 appropriation of \$950,000 in addition to previous funding for allocation by the officers to build on the existing work of the Next Generation Leadership program and implement a focused assessment of the program's sustainability and integration as part of a Global Philanthropy cross-thematic activity.**

**CityKids Foundation**, New York New York \$10,000 to develop and produce youth oriented performance pieces on the issues of democracy

**For Love of Children**, Washington, D C \$10,000 to develop a leadership curriculum for women of color in the Columbia Heights/Shaw communities of Washington, D C

**For Love of Children**, Washington, D C \$10,000 to promote innovative residential efforts to strengthen families and to assist in the development of youth in the Columbia Heights and Shaw neighborhoods of Washington D C

**Harvard University**, Cambridge, Massachusetts \$10,000 to establish a biannual forum of gay public leaders jointly sponsored by the Kennedy School Institute of Politics and the Gay-Lesbian Bisexual Student Caucus of the Kennedy School, on the subject of gay-lesbian bisexual-transgender societal equality in the 21st century

**Intercultural Development Research Association**, San Antonio, Texas \$10,000 to use video-conferencing as a tool to focus on the interchange of the ideas of democracy freedom and leadership among students aged 12 to 16 from different backgrounds.

**Isles**, Trenton, New Jersey \$10,000 to improve linkages between the United States and international community-based organizations and to build the capacity of U.S. organizations to share lessons learned with their counterparts in South Africa

**National Labour and Economic Development Institute**, Johannesburg, South Africa \$30,000 to create tools for South African labor activists and U.S. labor organizers to develop effective strategies to work with international financial institutions on their macroeconomic policies

**National Network for Immigrant and Refugee Rights**, Oakland, California \$10,000 to create and produce an educational broadcast quality video that explores how the global economy relates to international migration

**Parents International Ethiopia**, Los Angeles California \$10,000 to develop a model for democratic-leadership training for the women in the Kembatta region of Ethiopia

**Rocky Mountain Youth Corps**, Ranchos de Taos, New Mexico \$20,000 to establish a national institute within the Youth Corps to replicate programs in youth leadership development and community/democracy development for other communities and organizations

**Rocky Mountain Youth Corps**, Ranchos de Taos, New Mexico \$10,000 to convene NGL fellows, their constituencies and members of The Philanthropy Workshop to hold a dialogue to explore and identify potential cross-sector collaborations toward promoting democracy

**Rocky Mountain Youth Corps**, Ranchos de Taos, New Mexico \$40,000 to develop a youth leadership program based on the Next Generation Leadership program designed to develop a diverse corp of young leaders who will strengthen their constituencies, promote inclusiveness and further democracy

**Sisters of Mercy of the Americas**, Auburn, California \$10,000 to foster and promote democracy by developing an alternative model to help new immigrants adjust to life in the United States and to produce a handbook of lessons learned for immigrants and teachers

**Stone Circles**, Durham, North Carolina \$10,000 to provide training to facilitate reflective and spiritual practice in nonprofit organizations to strengthen the connections between faith, democracy and social change.

**Working Today**, New York, New York \$10,000 to create a public education program on portable benefits for the new mobile work force



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## &gt; THE PHILANTHROPY WORKSHOP

***The Philanthropy Workshop***

**1999 appropriation of \$450,000 for allocation by the officers to continue operating The Philanthropy Workshop and develop a Global Philanthropy cross-thematic activity that enables the Foundation to play a leadership role in the philanthropic sector and strengthens the Foundation's themes and programs**

## &gt; INTERNATIONAL PHILANTHROPY

***Building Foundation Like Organizations***

**1995 appropriation of \$330,000 in addition to previous funding for allocation by the officers to support the continued development of foundation-like organizations by building individual institutions and through sector building activities**

**City University of New York, New York, New York** \$58,600 toward its 2000 International Fellows Program at the Center for the Study of Philanthropy

**Foundation of the Americas, Washington D C** \$150,000 for the development of philanthropy in Latin America and the Caribbean

**National Foundation for India, New Delhi, India** \$125,000 for its endowment

**Oaxaca Community Foundation, Oaxaca, Mexico** \$100,000 toward the creation of the Gender and Social Responsibility Program

## &gt; COMMUNICATION FOR SOCIAL CHANGE

**Ashoka Innovators for the Public, Arlington, Virginia** \$50,000 toward the Changemakers Media Project which will connect and share information between social entrepreneurs on a broad and timely basis in order to assist them in solving society's toughest problems

**EcoNews Africa, Nairobi, Kenya** \$75,000 toward the community media program

**Georgetown University, Washington D C** \$25,000 toward its project on Social Marketing in the Developing World

**National Public Radio, Washington D C** \$87,798 to continue support for its race relations beat

**Videazimut, Montreal, Canada** \$25,000 toward the Training Evaluation and Needs Assessment Program

## &gt; SPECIAL INTERESTS

***New York City Fund***

**1999 appropriation of \$2,438,729 for allocation by the officers to provide support to community groups throughout New York City working with young people on intergroup relations and arts projects**

**Citizens Committee for New York City, New York, New York** \$1,292,729 for a competitive awards program for New York community groups concerned with enhancing intergroup relations

**New York Foundation for the Arts, New York, New York** \$1,146,000 for the costs of the second round of a competitive awards program for arts organizations in New York City

***Rockefeller Archive Center***

**1999 appropriation of \$605,344 in addition to previous funding to cover operating costs associated with the preservation and continuing use of Foundation records deposited at the Rockefeller Archive Center**

## &gt;&gt; Grants in Aid

**Ashoka Innovators for the Public, Arlington, Virginia** \$100,000 toward the Changemakers Media Project

**Council on Foundations, Washington D C** \$49,600 toward general operating expenses in 1999

**Foundation administered project** \$5,000 to cover the costs of the Rockefeller Foundation's participation in Grantmakers in Film, Television and Video

**Imaginario, Bogota, Colombia** \$50,000 toward production of a documentary on the peace in native Comunidades de Paz

**Independent Sector, Washington D C** \$10,000 toward general operating expenses in 1999

**Independent Sector, Washington D C** \$250,000 toward the Building Capacity portion of its Public Policy Initiative project

**National Network of Grantmakers, San Diego, California** \$150,000 toward the study on The Meaning and Impact of Board and Staff Diversity in the Philanthropic Field

**New York Regional Association of Grantmakers, New York, New York** \$10,000 toward general support for the year 1999

**Nonprofit Coordinating Committee of New York, New York, New York** \$100,000 toward updating its 1990 study of New York City's nonprofit sector

**Rockefeller Foundation Matching Gift Program** \$150,000 toward the RF Matching Gift Program

**Rockefeller Foundation Matching Gift Program** \$450,000 Toward the RF Matching Gift Program

**Rockefeller Foundation Matching Gift Program** \$450,000 toward the RF Matching Gift Program

**San Antonio Area Foundation, San Antonio, Texas** \$40,000 for use by Vue Ture Arts for research and planning for a community technology resource center in San Antonio



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## | REPORT OF INDEPENDENT AUDITORS |

The Board of Trustees  
The Rockefeller Foundation

**W**e have audited the accompanying statements of financial position of the Rockefeller Foundation as of December 31, 1999 and 1998, and the related statements of activities and cash flows for the years then ended. These financial statements are the responsibility of the Rockefeller Foundation's management. Our responsibility is to express an opinion on these financial statements based on our audits.

We conducted our audits in accordance with generally accepted auditing standards. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audits provide a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the Rockefeller Foundation at December 31, 1999 and 1998, and the changes in its net assets and its cash flows for the years then ended in conformity with generally accepted accounting principles.

*Ernest & Young LLP*

New York, New York  
February 17, 2000



## | STATEMENTS OF FINANCIAL POSITION |

	December 31 (In Thousands)	
	1999	1998
<b>&gt; Assets</b>		
Cash and cash equivalents, including restricted bond funds of \$705 in 1999 and \$717 in 1998 (Note 5)	\$ 3,000	\$ 2,699
Dividends, interest and other receivables	13,612	14,177
Investments (Notes 1 and 2)	3,751,499	3,273,745
Property, net of accumulated depreciation and amortization (Note 3)	25,429	26,231
Prepaid pension cost and other assets (Note 4)	44,002	40,800
<b>Total assets</b>	<b>\$ 3,837,542</b>	<b>\$ 3,357,652</b>
<b>&gt; Liabilities and Net Assets</b>		
Liabilities:		
Accounts payable and accrued liabilities	\$ 11,115	\$ 9,963
Appropriations by the trustees, approved for specific grantees/purposes but not yet paid (Note 6)	65,084	66,797
Bonds payable, net of unamortized discount (1999: \$289; 1998: \$302) (Note 5)	26,766	27,338
Deferred Federal excise tax (Note 7)	11,894	7,034
Accrued post-retirement benefits (Note 4)	18,165	17,876
<b>Total liabilities</b>	<b>133,024</b>	<b>129,008</b>
Commitments (Notes 1 and 2)		
Unrestricted and total net assets (including board-designated amounts of \$260,701 in 1999 and \$261,647 in 1998) (Note 6)	3,704,518	3,228,644
<b>Total liabilities and net assets</b>	<b>\$ 3,837,542</b>	<b>\$ 3,357,652</b>

See accompanying notes.

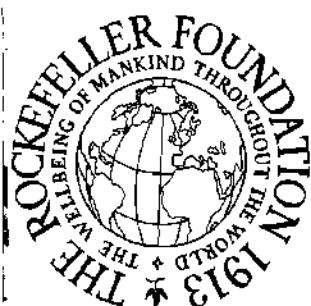


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## | STATEMENTS OF ACTIVITIES |

	Year Ended December 31 (In Thousands)	
	1999	1998
<b>&gt; Changes in Net Assets</b>		
Investment return:		
Net realized and unrealized gain on investments	\$ 571,148	\$ 272,039
Dividend and interest income	107,678	115,187
Other investment income	806	1,121
	<b>679,632</b>	<b>388,347</b>
Investment expenses	(16,546)	(12,942)
Net investment return	<b>663,086</b>	375,405
 Other expenses:		
Approved grants and program costs	166,672	129,147
General administrative expenses	11,436	11,389
Provision for Federal excise tax (Note 7):		
Current	4,244	5,301
Deferred	4,860	1,219
	<b>187,212</b>	<b>147,056</b>
Increase in unrestricted net assets	<b>475,874</b>	228,349
Unrestricted net assets, beginning of year	3,228,644	3,000,295
Unrestricted net assets, end of year	<b>\$ 3,704,518</b>	<b>\$ 3,228,644</b>

See accompanying notes.



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## I STATEMENTS OF CASH FLOWS I

	Year Ended December 31 (in Thousands)	
	1999	1998
<b>&gt; Cash Flows From Operating Activities</b>		
Increase in net assets	\$ 475,874	\$ 228,349
Adjustments to reconcile increase in net assets to net cash used in operating activities:		
Depreciation and amortization	985	1,307
Net unrealized gain on investments	(252,192)	(56,267)
Net realized gain on investments	(318,956)	(215,772)
Changes in operating assets and liabilities:		
Dividends, interest and other receivables	565	(3,083)
Prepaid pension cost and other assets	(3,202)	(8,557)
Accounts payable and accrued liabilities	1,152	(3,485)
Appropriations by the trustees, approved for specific grantees/purposes but not yet paid	(1,713)	81
Deferred Federal excise tax	4,860	1,219
Accrued post-retirement benefits	289	73
	<b>(568,212)</b>	<b>(284,484)</b>
Net cash used in operating activities	<b>(92,338)</b>	<b>(56,135)</b>
<b>&gt; Cash Flows From Investing Activities</b>		
Net sales of investments	93,394	56,763
Property additions	(170)	(186)
Net cash provided by investing activities	<b>93,224</b>	<b>56,577</b>
<b>&gt; Cash Flows From Financing Activities</b>		
Repayments of bonds payable	(585)	(560)
Net cash used in financing activities	<b>(585)</b>	<b>(560)</b>
Net increase (decrease) in cash and cash equivalents, excluding amounts held in investment portfolio	301	(118)
Cash and cash equivalents, beginning of year	2,699	2,817
Cash and cash equivalents, end of year	<b>\$ 3,000</b>	<b>\$ 2,699</b>

See accompanying notes.



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## I NOTES TO FINANCIAL STATEMENTS I

## &gt; 1. INVESTMENTS

The Foundation's significant investments are carried at fair value or contractual values which approximate fair value. Fair value is the amount at which a financial instrument could be exchanged in a current transaction between willing parties, other than in a forced sale or liquidation. The fair values of financial instruments are estimates based upon market conditions and perceived risks as of the statement of financial position date and require varying degrees of management judgment. Quoted market prices, when available, are used as the measure of fair value. In cases where quoted market

prices are not available, fair values are based on appraisals, quotations of similarly traded instruments, pricing models or other estimates.

Investing activities are reported on a trade date basis. Realized gains and losses are calculated based on the specific identification method for both financial statement and tax return purposes.

The Foundation's investment portfolio consists of the following:

	December 31 (In Thousands)			
	1999		1998	
	Cost	Fair Value	Cost	Fair Value
<b>Marketable securities:</b>				
Money market funds	\$ 178,218	\$ 178,223	\$ 185,773	\$ 185,771
Foreign currency—short-term	-	8,878	-	(2,912)
U.S. and other government obligations	404,146	393,720	370,130	374,816
Corporate obligations	509,633	484,691	451,169	438,779
Common stock (including REITs)	1,801,783	2,325,341	1,696,948	2,063,646
Other investments	19,962	19,123	25,322	21,109
<b>Subtotal</b>	<b>2,913,742</b>	<b>3,409,976</b>	<b>2,729,342</b>	<b>3,081,209</b>
<b>Limited partnerships and similar interests:</b>				
Real estate	143,266	154,276	167,232	152,479
Private equity	213,799	296,819	144,525	145,460
<b>Subtotal</b>	<b>357,065</b>	<b>451,095</b>	<b>311,757</b>	<b>297,939</b>
<b>Pending securities transactions, net</b>	<b>(109,552)</b>	<b>(109,572)</b>	<b>(105,406)</b>	<b>(105,403)</b>
<b>Total</b>	<b>\$ 3,161,255</b>	<b>\$ 3,751,499</b>	<b>\$ 2,935,693</b>	<b>\$ 3,273,745</b>

As of December 31, 1999, under the terms of various venture capital, real estate limited partnership and other agreements, the Foundation has commitments to contribute approximately \$209.5 million in additional capital over the next 10 years.



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## &gt; 2. DERIVATIVE FINANCIAL INSTRUMENTS

Derivatives are financial instruments whose value is based upon an underlying asset (e.g., Treasury bond), index (e.g., S&P 500) or reference rate (e.g., LIBOR). Over-the-counter (OTC) derivative products are privately negotiated contractual agreements that can be tailored to meet individual client needs and include futures, forwards and options. Exchange-traded derivative products are standardized contracts transacted through regulated exchanges and include futures and certain options contracts listed on an exchange. Derivatives are utilized extensively as highly effective tools that enable users to adjust risk profiles, such as interest rate, currency or other market risks. Additionally, derivatives provide users with access to market risk management tools, which are often unavailable in traditional cash instruments.

As a result of its investing strategies, the Foundation is a party to various derivative financial instruments. Derivatives are used by the Foundation primarily to maintain asset mix or to hedge a portion of currency or interest rate exposure.

The Foundation invests in international securities and therefore is exposed to the effects of foreign exchange rate fluctuations predominantly in Japanese yen, Swiss francs, Australian dollars, British pounds, Swedish krona and euros. Foreign currency options and forward contracts may be used to hedge a portion of this currency risk. The Foundation also employs derivatives to maintain a desired asset

mix. For example, S&P 500 index futures contracts are used in combination with cash invested in money market instruments to replicate an investment in an S&P 500 stock portfolio. This combination yields the same return, while often providing for savings on transaction costs.

The Foundation's fixed income managers set a target for the duration of their portfolios and use duration analysis to estimate the degree of the portfolio's sensitivity to interest rate changes. When the duration of a particular portfolio of securities is different from their target, Eurodollar or Treasury note futures or options may be used to adjust the portfolio's duration.

Total margin requirements on deposit for futures contracts were \$13 million at December 31, 1999 (\$7 million at December 31, 1998).



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	December 31 (In Thousands)			
	1999		1998	
	Notional Value	Fair Value	Notional Value	Fair Value
<b>Equity contracts to manage desired asset mix (contracts primarily based on S&amp;P 500 index):</b>				
Futures contracts:				
Assets	\$ 13,729	\$ 31	\$ -	\$ -
Liabilities	-	-	(21,485)	(59)
Fixed income contracts to manage portfolio duration and interest rate risk:				
Futures contracts:				
Assets	145,651	(400)	122,428	(13)
Liabilities	(24,508)	98	(11,104)	6
Put and call options:				
Assets	-	-	12,500	40
Liabilities	(62,950)	(609)	(24,500)	(262)
Foreign currency contracts to hedge foreign exchange exposure in non U.S. dollar securities:				
Forward contracts:				
Assets	102,705	(713)	65,848	(67)
Liabilities	(460,300)	9,591	(287,590)	(2,846)
Put and call options:				
Assets	1,485	82	60,206	3,216

The Foundation records its derivative activities on a mark-to-market or fair value basis. Assets and liabilities included in the preceding table represent the derivative contracts purchased and sold by the Foundation. The fair value of such positions represents the net unrealized gains and losses and, consequently, the net receivables and payables at December 31, 1999 and 1998. Market or fair value for the Foundation's derivative financial instruments is generally determined by either quoted market prices or third-party pricing models. Pricing models utilize a series of market inputs to determine the present value of future cash flows, with adjustments, as required, for credit risk, liquidity risk and ongoing costs.

Off-balance sheet financial instruments involve elements of market risk and credit risk. Market risk represents potential loss from the decrease in the value of off-balance sheet financial instruments. Credit risk represents potential loss from possible nonperformance by obligors and counterparties on the terms of their contracts.

At December 31, 1999, there was approximately \$10.4 million related to OTC contracts and \$1.1 million related to exchange-traded contracts. Counterparties to the Foundation's OTC derivative products are high credit quality

institutions, which are primarily banks, securities firms and investment companies. Management does not anticipate that losses, if any, resulting from credit or market risk, would materially affect the Foundation's financial position.

The Foundation's two custodians maintain securities lending programs on behalf of the Foundation, and maintain collateral at all times in excess of the value of the securities on loan. Investment of this collateral is in accordance with specified guidelines. Interest earned on these transactions is included with other investment income in the statements of activities. The market value of securities on loan at December 31, 1999 and 1998, was \$195.0 million and \$226.6 million, respectively.

### > 3. PROPERTY

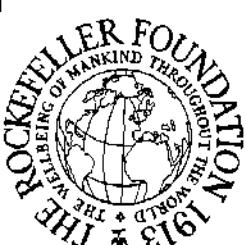
Expenditures for capital items currently in use are included in the property account and depreciated on a straight-line basis over the lives of the respective assets. At December 31, 1999 and 1998, the property account included the following:

	December 31 (In Thousands)	
	1999	1998
Condominium interest in 420 Fifth Avenue	\$ 16,555	\$ 16,555
Condominium improvements	11,408	11,332
Furniture, fixtures and equipment	2,341	3,114
	30,304	31,001
Less accumulated depreciation and amortization	4,875	4,770
Property—net	\$ 25,429	\$ 26,231

### > 4. PENSIONS AND OTHER POST-RETIREMENT BENEFITS

The Foundation maintains a defined benefit pension plan (the Plan) for regular salaried employees who are at least 21 years old and have completed one year of service or have attained the age of 40. The Plan provides retirement benefits based on years of service and final average pay, with benefits after retirement subject to increase under a cost-of-living augmentation formula. The Foundation makes annual contributions to the Plan, as needed, based on actuarial calculations, in amounts sufficient to meet the minimum funding requirements pursuant to the Employee Retirement Income Security Act of 1974. Plan assets are invested in a diversified portfolio of equities and fixed income securities.

In 1998, the Foundation replaced its 401(a) Trusted Savings Plan with a 401(k) plan of the same name. Foundation contributions are made to equal 3 percent of compensation plus a dollar-for-dollar match of up to an additional 2 percent of compensation contributed on a pre-tax basis by staff up to the compensation cap of \$160,000.



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Staff can make additional unmatched pre-tax contributions which, combined with staff contributions that are matched, cannot exceed the maximum pre-tax contribution limit of \$10,000. All contributions are credited to the staff members' accounts. The Foundation's contributions to the plans were \$550,000 in 1999 and \$506,000 in 1998.

The Foundation provides certain health care and life insurance benefits (Other Benefits) for retired employees. Employees are eligible for these benefits when they meet the criteria for retirement under the Foundation's pension plan. The plans are noncontributory and there are no cost sharing features. The Foundation accrues the expected cost of providing post-retirement benefits over the years that employees render service and pays the cost of retiree health care benefits with excess pension plan assets under the provisions of Section 401(h) of the Internal Revenue Code.

	(in Thousands)			
	1999	1998	1999	1998
	Pension Benefits		Other Benefits	
Benefit obligation at year-end	\$ 49,183	\$ 51,661	\$ 13,606	\$ 14,664
Fair value of plan assets at year-end	101,353	97,305	-	-
Funded status of the plan (underfunded)	52,170	45,644	(13,606)	(14,664)
Prepaid (accrued) benefit cost recognized in the statement of financial position	40,908	36,171	(18,165)	(17,876)
Weighted-average assumptions as of December 31:				
Discount rate	7.25%	6.75%	7.25%	6.75%
Expected return on plan assets	9.00%	9.00%		
Rate of compensation increase	5.00%	5.00%		



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For measurement purposes, an 8 percent annual rate of increase in the per capita cost of covered health-care benefits was assumed for 2000. The rate was assumed to decrease gradually to 6 percent by 2002 and remain at that level thereafter.

	(in Thousands)			
	1999	1998	1999	1998
	Pension Benefits		Other Benefits	
Net periodic benefit cost (credit)	\$ (4,737)	\$ (5,883)	\$ 1,181	\$ 847
Benefits paid	2,721	2,669	892	774

## &gt; 5. BONDS PAYABLE

During fiscal 1993, the Foundation issued \$20,445,000 in tax-exempt term bonds and \$9,815,000 in tax-exempt serial bonds to fund the acquisition, construction and furnishing of a new office facility (the Facility). The bond proceeds and related investment income earned were held by a trustee (the Trustee) and have been disbursed at the direction of the Foundation to fund allowable Facility-related costs.

The bonds are rated Aaa by Moody's and AAA by Standard & Poor's, and are backed by the general assets of the Foundation. In addition, the bonds are secured by the Foundation's ownership interest in the Facility, a leasehold interest in the Facility, insurance proceeds with

respect to the Facility and certain amounts held by the Trustee. The nominal interest rates on the serial bonds range from 4.3 percent to 5.1 percent. The nominal interest rates attributable to the term bonds are 5.3 percent and 5.4 percent.

The serial bonds mature in various amounts, ranging from \$610,000 to \$880,000 per year, through 2008. The term bonds are due in 2013 (\$5,140,000) and 2023 (\$15,305,000). Bond maturities are as follows (in thousands):

Fiscal year ending December 31:		
2000	\$	610
2001		635
2002		665
2003		695
2004		725
Thereafter		23,725
		\$ 27,055



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## &gt; 6. APPROPRIATIONS AND EXPENDITURES

Appropriations by the trustees are considered to be obligations when grants are approved (awarded) for specific grantees; appropriations not released for specific grantees and the appropriation for the budget for the next year are considered as board-designated net assets. The majority of approved grants are scheduled for payment within one year. Administrative costs, including investment expenses and excise taxes, account for approximately 18 percent (19 percent in 1998) of the Foundation's total expenses, and are charged to operations when incurred.

Appropriations and expenditures for the year are summarized as follows:

	(in Thousands)		
	Appropriated for Specific Grantees/Purposes	Appropriated for Allocation and Next Year's Budget	Total Appropriated
Balance, January 1, 1999(*)	\$ 66,797	\$ 261,647	\$ 328,444
Approved grants and program and administrative costs	182,766	(187,269)	(4,503)
Lapses and refunds	(623)	(677)	(1,300)
Expenditures for grants and operations	(183,856)	-	(183,856)
1999 budget	-	187,000	187,000
Balance, December 31, 1999	\$ 65,084	\$ 260,701	\$ 325,785

(\*) Reclassified from amounts previously reported

#### > 7. FEDERAL EXCISE TAX

The Foundation is a philanthropic organization chartered in 1913 "to promote the well-being of mankind throughout the world." The Foundation qualifies as a tax-exempt organization under Section 501(c)(3) of the Internal Revenue Code and, accordingly, is not subject to Federal income tax. Because the Foundation is classified as a private foundation, it is subject to a Federal excise tax of 1 percent or 2 percent on investment income (its principal source of revenue) less investment expenses, and on net realized taxable gains on securities transactions. In accordance with Section 4940(e) of the Internal Revenue Code, for the year ended December 31, 1999, the Foundation met the specified distribution requirements and, therefore, was subject to a Federal excise tax of 1 percent. For the year ended December 31, 1998, the Foundation did not meet the specified distribution requirements and, therefore, was subject to a Federal excise tax of 2 percent.

Deferred Federal excise tax arises from temporary differences between financial and tax reporting related to investment income and the difference between the cost basis and market value of marketable securities.

#### > 8. USE OF ESTIMATES

The preparation of financial statements in conformity with generally accepted accounting principles requires management to make estimates and assumptions that affect the amounts reported in the financial statements and accompanying notes. Management believes that the estimates utilized in preparing its financial statements are reasonable and prudent. Actual results could differ from these estimates.



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**Mahlet Dessalegn**  
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*Associate Director*

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*Program Assistant*

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**Gary Toennissen**  
*Deputy Director*

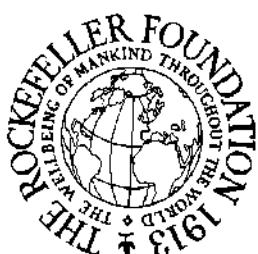
**Akinwumi Adesina**  
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**Joseph DeVries**  
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**John Lynam**  
*Senior Scientist*

**Toshio Murashige**  
*Senior Scientist*

**John D'Toole**  
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<b>Yves Salomon</b> <i>Administrative Associate</i>		<b>David Maurrasse</b> <i>Senior Program Adviser</i>
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<b>Henni L. Donnenfeld</b> <i>Executive Secretary</i>		<b>E. Cristin O'Keeffe</b> <i>Program Secretary (Working Communities and California Works for Better Health)</i>
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<b>Diane Eckerle</b> <i>Administrative Assistant</i>		
<b>Adama Kouyaté</b> <i>Administrative Assistant</i>		

1999-2000  
WARREN WEAVER FELLOWS

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**Ital Madarmombe**  
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**Vuyha Amulyoto**  
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**Johnson Bor**  
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**Rosemary Njoroge**  
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**Estela Silva**  
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**General Office Staff**

**Suchart Komol**

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