

2002 ANNUAL REPORT

MISSION AND VISION

As most of you read this letter, the Rockefeller Foundation will have celebrated its 90th birthday. In 1913 the challenges of poverty and inequality were pressing and visible, as they are now.

Ron Chernow writes in "Titan," a biography of John D. Rockefeller, that New York City in the early 20th century was a place where the poor were sick, badly housed and poorly fed. Today urban and rural poverty persists in the United States. Yet poverty is most acute in the developing countries where more than one billion people live on less than one U.S. dollar per day.

Given the social challenges 90 years ago, our founder could have decided to give away his wealth rapidly, arguing that the world needed his money then rather than at some future date. Wisely, he anticipated that by setting up an endowment money would be available in the future for unforeseen problems.

Mr. Rockefeller gave us a broad mandate to further the "well-being of mankind throughout the world." He didn't want the Foundation to be a charity--dispensing coins into begging bowls or responding to disasters--but would rather uncover root causes of problems and find their solutions.

This past year we have become more analytical in our grantmaking approach. We've narrowed the grantmaking focus to about 20 well-defined areas of work. Each of these is subject to "problématique analysis," which is a way of defining a problem taking into account the economic, political and policy context of the issue.

Of course we are not the big player today that our money allowed us to be 90 years ago. While the current \$2.6 billion value of our endowment is close in real purchasing power to the original endowment's value, the world's problems are bigger today. No one organization can work in isolation.

Instead we work with and through others. We may fund technological innovation, or help build institutions or human capacities in critical areas of expertise. Or we may have our most far-reaching effect by helping to set a public agenda.

No one sector has a monopoly on solutions to human welfare or the wherewithal to produce and implement them. The Foundation's experience has shown that partnerships work. A critical step in the problématique analysis is to identify who is working in similar ways, where is our comparative advantage and where the potential for partnership lies.

Eleven years ago we were pivotal in setting up a donor consortium to aid the revitalization of U.S. cities. We've shown that by helping to strengthen urban community-development corporations we enable them to access billions of dollars in grants and loans for housing and commercial development.

In 2002 we played a key role in launching the consortium's second decade renamed "Living Cities: National Community Development Initiative." The partnership has expanded to include eight foundations, seven financial institutions, and the U.S. Departments of Housing and Urban Development (HUD) and Health and Human Services' Office of Community Services (OCS). We have expanded our remit to take on policy research and new experimental approaches. To date, we've raised \$120 million.

A second, very different partnership launched in 2002 is the International Partnership for Microbicides. Research and development of microbicides designed to control the spread of HIV are critical to slowing the AIDS pandemic. Microbicides are compounds that when formulated as foams or gels can be used by women to protect themselves from sexually transmitted diseases during intercourse.

To date large pharmaceutical companies have made limited investment in research and development of microbicides that can slow the spread of HIV. Our hope is that this new partnership that focuses on the innovative capacities of small biotechnology companies will produce one or more effective products. So far, eight other donors have joined us.

The Foundation's success in creating such partnerships comes in part from the skills and experience of our staff, but also builds on the Foundation's reputation and our accumulated knowledge of the world and how it can be changed for the better.

The year 2013, the Foundation's centennial, is close to the date fixed for the Millennium Development Goals of the United Nations. Reaching the goals--among them halving poverty and hunger and reducing infant mortality by two thirds--require the resources of the global community. Yet this Foundation plays a vital role by funding demonstration research or by bringing together key actors who are able to implement solutions.

In 10 years, when this Foundation turns 100, I'm confident that John D. Rockefeller's vision of making the world a better place will continue to be both a rallying cry as well as a statement of achievement.

Gordon Conway
April 2003

2002 President's Letter

ABOUT THE FOUNDATION: PROGRAM GOALS

The Rockefeller Foundation is a knowledge-based global foundation with a commitment to enrich and sustain the lives and livelihoods of poor and excluded people throughout the world.

In order to maximize its resources and leverage the Foundation's strengths, grantmaking is organized around four thematic lines of work: Creativity & Culture, Food Security, Health Equity and Working Communities. A cross-theme of Global Inclusion supports, promotes and supplements the work of these themes.

In addition, the Foundation supports various regional and special programs, among them the Africa Regional Program, Southeast Asia Regional Program, Communication for Social Change, Public/Private Partnerships and Global Philanthropy. We also offer a unique place for study and creative endeavor through our Bellagio Study and Conference Center in northern Italy.

The Foundation's strategic direction focuses explicitly on the challenges faced by poor and excluded people and affirms our assumptions about development, most notably that:

- For the Foundation's strategies to be most effective, poor and excluded people should have a voice in the process, we should actively find ways to unleash those voices, and such voices should be heeded; that
- The poor and excluded people themselves should participate in researching, planning and doing the work; and that
- We must seek creative ways to leverage our limited dollars in order to attract new funding from the private sector, international aid organizations, and national, state and provincial governments.

The challenges confronting poor and excluded people are too numerous, complex and massive to be addressed by any single foundation alone. A \$15 million grant, or even a \$50 million grant, cannot begin to address a cure for AIDS or development of new tuberculosis drugs, for example. We must continue to emphasize the creation and support of global partnerships, alliances and collaboratives to effect positive change in the daily lives of poor people. The Foundation will continue to join forces with governments, industry, other foundations and nongovernmental organizations to ensure that poor people are included in decisions that affect their lives.

THE PROGRAMS: CREATIVITY & CULTURE

Goal: To give full expression to the creative impulses of individuals and communities in order to enhance the well-being of societies and better equip them to interact in a globalized world.

Culture and artistic expression serve as barometers of the quality of people's lives and provide agency for improving them. Cultural workers--ranging from humanities scholars to traditional African griots to digital artists and media producers--serve as catalysts for comprehending, articulating and addressing the needs and aspirations of individuals and communities. They and their institutions express their communities' dissent, preserve its memory, generate dialogue and provide critical commentary about a rapidly changing world. Cultural workers and artists are vital to community resiliency, as they help people withstand and respond to the stresses of poverty, migration, violence and discrimination.

Globalization and the increased cultural interaction it spawns can be both threatening and enriching. The steady encroachment of Western popular culture does, for example, have a homogenizing effect that undermines many traditional cultures. But at the same time new technologies have offered innovative avenues for expression, giving life to altogether new "imagined communities" that unite people through shared experiences. And in this increasingly borderless world, the dynamics of immigration and emigration are testing communities' cultural resiliency while the artists and humanists who both reflect and lead these transformations are themselves navigating in an environment in which the nature and definition of the arts is changing. Technology has not only extended, but blurred the boundaries of art making as both visual and performing artists explore its possibilities.

As it has throughout most of its history, the Rockefeller Foundation bases its support for the arts and humanities on the conviction that societies are enriched by the free expression of creative individuals. To address the challenges posed by globalization, the Foundation aims to enhance the creativity of individuals and communities through the preservation and renewal of the cultural heritage of poor and excluded people, the engagement of artists and humanists in the creation of democratic and inclusive societies, and the support of diverse creative expression and experiments with the new digital technologies.

The Foundation supports the recovery and reinvention of cultures through such vehicles as museum exhibitions, preservation of traditional art forms, cultural-heritage and folk-life projects, and community-arts projects, as well as efforts to promote cultural policy and to understand the cultural components of well-being. It promotes social critique and the free flow of ideas through humanities research and efforts to strengthen pluralism and institutions of public culture, as well as the mobilization of religious organizations and values in building civil society. In addition, the Foundation supports media and performing artists through fellowships and the creation and presentation of new work; it expands access to new forms of expression for diverse communities; it promotes the invention of new modes of interaction among artists across divides of class and culture; and it promotes a deeper understanding of the interactions between technology, culture and society. Grants are made in the United States and in the regions of strategic

Foundation focus: southern and eastern Africa, Mexico and Central America, and Southeast Asia.

During 2002, the Foundation continued its efforts to ensure that smaller, underrepresented cultural and arts groups and vulnerable communities in New York were treated equitably during the recovery efforts in the wake of the catastrophic events of September 11, 2001. Other highlights of our funding year included the launch of a state-of-the-art dance-theater space that puts new technologies in the hands of artists; an exhibition examining the impact of development and migration on Native American cultural identity and sovereignty; an effort to share the skills of master traditional performing artists in Lao People's Democratic Republic and Myanmar with younger contemporary artists; funding of the Afropop Worldwide initiative to increase the profile of African and African Diaspora music, and to ensure that the financial benefits accrue to the music's originators; and support for the Pluralism Project, a study and documentation initiative providing outreach and education on America's new religious landscape.

Creativity & Culture supports:

- Preservation and renewal of the cultural heritage of people excluded from the benefits of globalization.
- Strengthening civil society and the free flow of ideas through initiatives in the humanities and religion.
- Creation and presentation of new work in the media and performing arts that promotes cultural diversity, innovation and understanding across cultures.

Inquiries at: creativity@rockfound.org, or fax (212) 852-8438, or refer to the Foundation Web site.

THE PROGRAMS: FOOD SECURITY

Goal: To improve the food security of the rural poor through the generation of agricultural technologies, institutions and policies that will provide sustainable livelihoods in areas of sub-Saharan Africa and Asia bypassed by the Green Revolution.

Food security--all people having enough food at all times to live normal, active lives--will continue to be a central challenge for millions of households, numerous countries and at least one continent, Africa, over the next half century. When people lack the calories and micronutrients, such as iron and vitamin A, that enable the body to develop, the lifelong effects can be devastating. Undernourished children are more susceptible to disease and are often stunted both physically and mentally, impacting their school performance and their potential for productive adult lives.

Of the more than 5 billion people living in developing countries, 3 billion live in rural areas, most of them dependent on agriculture for their livelihoods. Currently, about 800 million people remain undernourished and roughly 24,000 people die each day from hunger and hunger-related causes. Yet hunger's corrosive effect extends beyond those immediately impacted, often leading to social and economic instability in a region, country and even an entire continent.

Most of those who remain undernourished live in regions bypassed by the agricultural advances of the Green Revolution that contributed to dramatic improvements in food security for the majority of the world's people. Living on land that is often lower in natural agricultural potential, having few formal educational opportunities and little access to technology, these farming families, concentrated in sub-Saharan Africa and less-favored parts of Asia, remain in poverty.

To help these farm families move out of poverty, Foundation grantees are working to improve access to markets and provide better and more locally appropriate teaching of farming skills. Research is aimed at generating agricultural innovations, including more dependable and sustainable farming practices, and new crop varieties developed for the specific environmental and socioeconomic conditions under which the poor farm. The National Agricultural Research Organisation of Uganda, for example, has released new maize varieties that have improved disease resistance, more efficient nitrogen utilization and that breed true, so farmers can save seed from their harvest for the next planting.

Our grantees are engaging the farmers themselves as participants in scientific investigations and in the development of new technologies to meet their needs. This is illustrated by the central role of farmer participation in research on soil-fertility management being conducted in western Kenya where several nongovernmental organizations have recommended alternative maize/legume intercrops in an effort to determine the approach that is best suited to the region's diverse agroecological and social settings. Under the leadership of SACRED-Africa (Sustainable Agriculture Centre for Research, Extension and Development in Africa) the nongovernmental organizations have come together to facilitate on-farm testing and comparison of these technologies. The trials are farmer managed and a deliberate effort is made to capture the farmer's

impressions and to encourage further farmer innovation in use of the technologies. The results are presented at widely promoted "field days" where participating farmers play a key role in teaching other farmers the "best bet" practices for each sub-region.

The ability of local organizations to access and move key institutional, policy and technological levers is critical to the success of this process. To foster development of local, national and international policies that will increase the productivity, stability and sustainability of smallholder agriculture, the Foundation seeks to empower and invigorate institutions that provide goods and services to poor farmers. In Africa, for example, the Foundation provides funding to the Ministry of Agriculture and Irrigation in Malawi, to assist the government's development of a long-term strategy for sustainable soil-fertility management and food security for smallholder farmers; to the African Centre for Fertilizer Development to facilitate greater private-sector participation in the dissemination of soil-fertility technologies to smallholder farmers; and to the University of Pretoria to conduct research on the risks and benefits associated with the adoption of agricultural biotechnologies by smallholder farmers in Africa. At the international level the Foundation helped support establishment of an African Agricultural Technology Foundation that will facilitate public/private partnerships designed to provide smallholder farmers in Africa with greater access to new agricultural technologies, materials and know-how, including proprietary technologies.

Our work addresses the root causes of food insecurity through the following areas of work:

- To develop improved crop varieties for Africa and Asia.
- To enhance soil productivity in Africa.
- To improve the efficiency and equity of markets to raise the income of poor farmers in Africa.
- To generate international public goods that can help developing countries better serve poor farmers.

Inquiries at: food@rockfound.org, or fax (212) 852-8442, or refer to the Foundation Web site.

THE PROGRAMS: HEALTH EQUITY

Goal: To advance global health equity by pursuing the reduction of avoidable and unfair differences in the health status of populations.

Less than 50 years ago, nearly one in six children died before reaching age 5. Today more than 95 percent of all children will survive to their fifth birthday. Tremendous advances in modern medicine over the past five decades have allowed not just children, but all humankind, to live longer, healthier lives. But these gains have not been evenly distributed. Poor people--in both poor and rich countries--are sicker and die younger.

In poor countries, decades of health advances--and 20 million lives--have been lost since the outbreak of AIDS. In Africa alone 600,000 people are newly infected each year, adding to the estimated 40 million people now infected. Many will eventually succumb to the disease adding millions more to the 13 million children already orphaned by the epidemic.

In developing countries, AIDS contributes to a downward spiral undoing modest, but hard-earned, economic and social gains. Education systems have been devastated as teachers become ill and those students fortunate not to have been infected at birth must care for their siblings when one or both parents becomes ill or dies. Women and men, farmers and civil servants--all in their most productive years--are dying, eroding the families and societies left in their wake.

These people and the countries in which they live are sick because they are poor, but they are also poor because they are sick. They live in areas where basic health-care services are scarce, clean water is precious and the resources and infrastructure for AIDS-prevention programs, to say nothing of treatment initiatives, often do not exist. Yet their poverty should not be a barrier to adequate prevention and care. Programs to prevent the transmission of HIV from mothers to their children and the subsequent care of mothers, and interrupted anti-retroviral therapy demonstrate that care is indeed feasible in resource-poor regions.

Current HIV prevention strategies--monogamy, condom use, reduction in numbers of partners and treatment of sexually transmitted infections (STIs)--often are not feasible for many, especially for women whose social status places them disproportionately at risk of HIV infection. The Foundation is funding research to develop a safe, effective and affordable ointment or gel--a microbicide--that women can apply vaginally or rectally to protect themselves from HIV and other STIs. In addition to funding research into microbicide and vaccine development, we are working to better understand the social behavior that encourages the spread of the disease.

Other diseases, including tuberculosis, which fuels the AIDS pandemic, and malaria whose one million annual victims, like those of TB and AIDS, are virtually all in the developing world, are also the subject of dedicated initiatives that we are partnering in with other donors.

Growing awareness of the pervasive and inequitable distribution of health gains between and among countries has helped to raise the profile of health within the

global development agenda. Dramatic gaps separating health "haves" from "have-nots" are increasingly viewed as important constraints to development and as threats to security.

Our work addresses the root causes of inequities in health through three areas of work:

- To accelerate the development of and access to vaccines and medicines for diseases of the poor by creating public/private partnerships to overcome the lack of commercial interest in these products.
- To develop appropriately skilled human resources and better management of information to improve health care in developed countries.
- To rise to the challenge of AIDS through the development of preventive technologies and accelerating access to care and mobilizing greater resources within the foundation community.

Inquiries at: health@rockfound.org, or fax (212) 852-8279, or refer to the Foundation Web site.

THE PROGRAMS: WORKING COMMUNITIES

Goal: To transform poor urban neighborhoods into working communities--safe, healthy and effective neighborhoods--by increasing the amount and quality of employment, improving the quality of all urban schools, and increasing the influence and voice of the poor and excluded in political decisions that affect their lives.

The mix of productive work, quality education, fairness and equity makes a working community. Employment provides the material means of support for individuals, structures their daily lives and engenders fulfillment or frustration. Education and training determine access to meaningful employment with advancement potential. Fairness and equity ensure that all within a community have access to the means necessary to achieve stable livelihoods and that they will become full and productive members of the community.

In its effort to make this vision a reality in the United States, the Foundation faces a multitude of challenges. For example:

- Despite sustained U.S. economic growth throughout the 1990s, one in every eight persons remains in poverty.
- Income inequality in the United States is the highest among all industrialized nations, due, in part, to the decline in real wages of low-skilled workers.
- Poverty is primarily an urban phenomenon: three fourths of the poor live in metropolitan areas and central U.S. cities are home to half of the nation's poor.
- Poverty weighs more heavily on minorities and non-English speakers--a quarter of all African-Americans and a fifth of Latinos are poor; half of the foreign-born are poor.
- An estimated third of public schools that are failing to teach are in central cities, and teachers continue to report that they are unprepared to teach growing numbers of minority and new English-language learners.

To address these challenges, the Foundation supports policies and competent public and private organizations to ameliorate inequities and disparities in education, employment and civic participation, and supports research to determine which programs work and which do not, and with what costs and benefits.

Partnerships and public/private initiatives will continue to play an important role in addressing the plight of urban neighborhoods. For example, the decade-old partnership of foundations, financial institutions and the federal government known as Living Cities (formerly the National Community Development Initiative), pledged \$500 million over the next 10 years to continue promoting inner-city revitalization. The new investment builds upon the partnership's experience in improving inner cities, supporting 300 community organizations and spurring more than \$2 billion in added funding for affordable housing and commercial business development.

The Foundation continues support for strategies to improve wages, employment and economic opportunities for the working poor. This includes funding of

research and policy analysis, as well as community-based initiatives to improve employment access and job opportunities for low-skilled urban residents--such as innovative, paid community-service jobs and more effective training and placement services for low-income people. Funding also supports a rigorous experiment aimed at increasing employment rates among public-housing residents.

Recognizing that adequate financial resources are critical to any strategies to improve educational outcomes for all children, the Foundation is supporting education-finance reform and accountability to address disparities in educational resources and student achievement.

To increase the participation of racial and ethnic minorities in shaping solutions to inequality and exclusion, the Foundation supports collaboration among scholars, activists and community leaders that combines research and community interests; innovative legal practices that encourage community participation in addressing the problems of racial justice; and broad, deliberate and informed discourse to set remedies.

Working Communities grantmaking falls into the following areas of work:

- Research on the consequences of economic, technological and demographic trends on the structure of work and their impact on the least skilled, and into the structural components of racial and ethnic exclusion and their implications for democracy.
- National initiatives, such as Living Cities, that support community-development corporations or city-specific initiatives to increase the scale and impact of reform in poor school districts.
- Research to improve the employment access and advancement opportunities, the quality of education for poor and limited English-speaking children, and innovative locally based projects that increase voice and participation of poor and excluded people.

Inquiries at: work@rockfound.org, or fax (212) 852-8273, or refer to the Foundation Web site.

THE PROGRAMS: GLOBAL INCLUSION

Goal: To help broaden the benefits and reduce the negative impacts of globalization on vulnerable communities, families and individuals around the world.

In an age of continuous and rapid change it is essential to the work of the Foundation that we identify and understand the impacts of global trends, especially those that impact the lives of poor people, before or as soon as they occur. Reaching across boundaries of discipline and experience, Global Inclusion provides analyses of global trends and policy issues. By analyzing, interpreting and debating important global trends and issues of poverty and exclusion, ranging from protests against scientific innovations and protection of indigenous rights, to transnational flows of people in North America, Global Inclusion helps position the Foundation on a complex array of crosscutting policy and strategic concerns.

Global Inclusion also supports work on key policy issues at the regional and global level that relate to, and advance, the goals and work of the Foundation's themes. This work focuses on emerging and urgent policy issues, and is responsive to both ongoing Foundation work and opportunities in global policy. Global Inclusion's grantmaking currently works toward the following objectives:

- To foster inclusive, global dialogues about the uptake of plant biotechnology.
- To support the emergence of fairer, development-oriented intellectual-property policies.
- To examine the impact of science and technology on poor people.
- To better understand the changing dynamics of North American transnational communities, particularly financial remittances.
- To support knowledge building and new practices aimed at increasing pro-poor philanthropic investments globally.
- To inform global approaches to conflict prevention and management.

Inquiries at: global@rockfound.org, or fax (212) 852-8461, or refer to the Foundation Web site.

THE PROGRAMS: ASSETS AND CAPACITIES

COMMUNICATIONS FOR SOCIAL CHANGE

Goal: To enhance the effectiveness of development initiatives that focus on improving the lives of poor and excluded people by fostering innovative, sustainable and empowering communication approaches aimed at engendering positive social change.

Communication is at the heart of the development challenge. It is through communication that poor and excluded people can give voice to their aspirations and begin to play leading roles in their own problem solving and development. Communication can enable poor people to move from being passive recipients of externally generated development interventions to being effective advocates for the enrichment of their own lives and, finally, generators of their own development. And when people are more fully engaged in their own social and economic development, progress toward attaining good health, achieving food security, building working communities and preserving cultural traditions is more sustainable.

The Communication for Social Change special program supports work toward defining and testing a more inclusive model of communication for development that moves away from top-down, externally driven models emphasizing transmission of knowledge toward communication that allows people to define who they are, what they want and how they can achieve their goals.

For example, by emphasizing dialogue with communities and involving them in interactive decision making regarding message context and content, our grantees' satellite radio and Internet initiatives have helped to improve health in, and deliver education programming to, Africa's rural areas. We have found that information and communication technologies are most effective when they are harnessed to facilitate community dialogue--not just to disseminate information. And in Zimbabwe, grantees' community-based efforts are aimed at developing effective ways for rural youth groups to develop and test their own AIDS-prevention messages.

Inquiries at: csc@rockfound.org, or fax (212) 852-8441, or refer to the Foundation Web site.

BELLAGIO STUDY AND CONFERENCE CENTER

The Bellagio Study and Conference Center, located on a historic estate on Lake Como, Italy, provides an ideal environment of solitude, contemplation and productivity in which scholars, scientists, artists, writers, policymakers and practitioners from all over the world may pursue their creative and scholarly work.

From February to mid-December, the Center offers one-month stays for 15 residents at a time in any discipline or field and coming from any country who expect a publication, exhibition, performance or other concrete product to result. Applicants are accepted not just for individual excellence or for the potential of their proposed projects, but also for geographical diversity of their homelands and for their capacity to contribute to the intellectual mix of life at the Center.

The Center also offers interdisciplinary, intercultural networking through the convening of small working groups (from three to 25 participants) of policymakers, practitioners, scholars, scientists, artists and others. Priority is accorded to proposals that address significant issues and problems within or across given fields, are innovative in their design, and promise concrete outcomes beyond the drafting of a statement or recommendations.

Applications are reviewed by an interdisciplinary group of Rockefeller Foundation staff and by outside specialists. Decisions are based upon the quality of the project proposed, the importance of the proposed work in its field and discipline, the qualifications of the applicant(s), and the suitability of the Center for the proposed activity.

The Foundation provides room and board without charge for all residents and workshop/team participants. Some travel assistance is available for those from developing countries who qualify.

Applications are available on the Foundation Web site or can be requested by fax at (212) 852-8130.

PROVENEX

The Program Venture Experiment (ProVenEx) seeks to catalyze private-sector investments in areas that will benefit poor and excluded people. Through this program, the Foundation makes philanthropically motivated investments that are structured using market principles in early-stage and growing businesses that will further the work of one of the Foundation's four main themes. To date, ProVenEx's investments include businesses that: create jobs in low-income inner-city communities in the United States, enhance the development and distribution of locally adapted seed varieties to African farmers, develop preventive technologies against HIV, and preserve and distribute culturally important music.

Inquiries at: provenex@rockfound.org, or fax (415) 343-0232, or refer to the Foundation Web site.

THE PROGRAMS: REGIONAL PROGRAMS

AFRICA REGIONAL PROGRAM

Goal: To contribute to the revitalization of the African continent by building the required human and institutional capacity and providing critical information that will promote effective policies and programs to improve the lives and livelihoods of the poor.

The Rockefeller Foundation's Africa Regional Program (ARP) is based on the premise that equitable social and economic development that benefits the majority of Africans requires a new generation of committed leaders who know how to harness the opportunities presented by globalization, social institutions and policies to ensure that benefits accrue directly to the poor and enable an environment for business and social entrepreneurship to flourish. The program supports the broader work of the Foundation in Africa by strengthening the institutional context in which the themes do their work, and by reinforcing the quantity and quality of human capital on the continent that is available and willing to work for more equitable and sustainable development. It supports the creation of a solid information base for policy analysis and informed decision making in agriculture, education and health. The program is focused around two major areas of work:

- Human capacity building.
- Information for development.

Africa's dual challenge in education is both to provide access to universal primary education of a good quality for a young and growing population, and to upgrade its higher-education system. To become skilled in national governance, and users and innovators of sophisticated technology, Africa's daughters and sons require an increase in educational opportunities at all levels. The Foundation's efforts in higher education are designed to strengthen the ability of African universities to contribute to social, economic and political progress in the continent. In Uganda, for example, the Foundation is working with Makerere University to address the human-capacity needs of decentralized governance. And in primary education throughout the continent, the Rockefeller Foundation places a particular emphasis on the mastery of literacy as a foundation competency for a good-quality education together with special attention to barriers against girls' full participation.

The program supports research that informs and guides policy and practice aimed at addressing the needs of the poor. Democratization and increased accountability of elected leaders are increasing the local demand for evidence-based decision-making. The Foundation funds grantees whose work informs policy development, program design and resource allocation by providing local-level, multifaceted information on food, work, health and other human conditions that is needed to understand and address the root causes of poverty. The mapping of poverty in all its dimensions, by piecing together the huge amounts of data collected regularly by statistical offices and other research institutions in Africa, will empower local policymakers to develop a sound understanding of problems and, hence, to design more realistic solutions.

Recognizing that long-term solutions to the problems of Africa's poor require at least sustained economic growth, which, in turn, requires peace and political stability, democracy, a strong private sector and a host of other critical fundamentals, the Africa Regional Program supports enabling partnerships that have the potential for contributing positively to the achievement of the Foundation's broader goals for Africa. For example, the program supports efforts to bring indigenous knowledge and traditional social relations to bear on the challenges posed by the AIDS pandemic and complements Health Equity's efforts to stimulate research to enhance sound clinical management of AIDS that is safe, feasible and sustainable. Partnerships are being encouraged between practitioners in the conventional health system and traditional healers who are the only care providers in many remote communities.

Inquiries at: info@rockfound.org.ke, or fax +254 (2) 218 840, or refer to the Foundation Web site.

THE PROGRAMS: REGIONAL PROGRAMS

SOUTHEAST ASIA REGIONAL PROGRAM

Thailand and Yunnan Province of China are economically dynamic areas, geographically adjacent to the much lower-income countries of Cambodia, Lao People's Democratic Republic, Myanmar and Vietnam. These areas, comprising the Mekong River's extensive basin, are increasingly seen as a geopolitical and economic entity known as the Greater Mekong Sub-region (GMS).

Development-assistance agencies, such as the Asian Development Bank, are treating them as a grouping, promoting their economic integration to enhance the use and the marketing of existing resources and to strengthen their position in the global economy. The economic and social pressures generated by the large differences between and within countries and the unexpected deleterious impacts of "regionalization" on vulnerable peoples and communities, form a potential niche for the Foundation's regional program centered in Bangkok.

The regional program will focus on the uneven economic development and cultural tensions in the GMS and the resulting inequities among its diverse population. These inequities have become increasingly articulated as "boundaries" encompassing not only conventional geopolitical borders but the very real boundaries of gender, ethnic and religious identity. Multidisciplinary program activities within the region will reflect and respond to the "transboundary" challenges deriving from lopsided regional development on the lives of the most marginalized, vulnerable and excluded communities in the GMS. More specifically, the Learning Across Boundaries strategy aims to promote a collaborative learning process among institutions and individuals in the region in an effort to build the necessary human and social capacity to understand and address emerging transboundary trends.

In partnership with the Health Equity and the Food Security themes, work has also been initiated to help ease the impact of AIDS in marginalized cross-border communities and to explore the impact of regional development efforts on rural, agrarian societies.

Inquiries at: rf-bkk@rockfound.or.th, or fax +66 2 262 0098, or refer to www.rockmekong.org.

INFORMATION FOR APPLICANTS

Contacting the Foundation About Grants

The Foundation is a proactive grantmaker--that is, the staff seek out opportunities that will advance the Foundation's long-term goals rather than reacting to unsolicited proposals. For this reason, the Foundation strongly discourages unsolicited grant proposals.

If after reviewing the Foundation's program goals in the following pages or at the Foundation's Web site at www.rockfound.org, your organization believes that its project would contribute directly to the Foundation's strategic goals, you may want to send a brief letter of inquiry addressed to the director of the subject area of interest, *The Rockefeller Foundation, 420 Fifth Avenue, New York, N.Y. 10018*. Inquiries can also be sent electronically to the e-mail addresses listed after each description below. *If you are applying to a competitive program under our Creativity & Culture theme, please check the Web site or contact the program for deadlines and application forms.*

Letters of inquiry should briefly describe the issues the proposed project would address; information about the organization's experience in the field; estimated budget for and expected duration of the project; and qualification of key personnel involved in the project. Please do not send attachments.

Letters of inquiry will be considered as they are received throughout the year. Inquiries take from six to eight weeks for review. Organizations submitting inquiries that Foundation staff think might contribute to a defined area of work will be asked to submit a full proposal.

As a matter of policy, the Foundation does not give or lend money for personal aid to individuals or, except in rare cases, fund endowments, or contribute to building and operating funds.

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| CREATIVITY & CULTURE | \$19,165,000 |
| WORKING COMMUNITIES | \$31,205,000 |
| FOOD SECURITY | \$26,500,000 |
| GLOBAL INCLUSION | \$12,047,000 |
| HEALTH EQUITY | \$29,672,000 |
| REGIONAL PROGRAMS | \$13,077,000 |
| ASSETS & CAPACITIES | \$6,556,000 |
| PROGRAM VENTURE EXPERIMENT (ProVenEx) | \$2,350,000 |

Figures depict by program area the grants, fellowships and programmatic investments totaling \$140,572,000 made in the year 2002.



| Theme | Creative Time, New York, New York \$25,000 toward the costs of 'Consuming Places' a new-media exhibition exploring the relationship between emergent technologies and how society constructs and uses urban space | Social Science Research Council, New York New York \$99,500 toward the costs of Culture Creativity and Information Technology a project aimed at coordinating collaborative research in the social science and technology fields on the impact of new information technologies on the transformation of contemporary culture and the structures within which they operate (joint with Global Inclusion and Working Communities) |
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| Advancing Creativity and Innovation | Dance Theater Workshop , New York New York \$100,000 toward the costs of launching DTW Digital's Interactive Artist Project Extranet and the Artist Resource Media Laboratory increasing access to new technologies and improved systems of communications for independent artists | Stanford University , Stanford California \$100,000 for use by its Center for Integrative Research in Science and the Humanities toward the costs of Modes of Knowledge and Language Ambiguity a research project to explore the production and transmission of knowledge in academic culture and the application of computational techniques within linguistics |
| CREATIVE ENVIRONMENTS IN THE DIGITAL AGE | Electronic Literature Organization , Los Angeles California \$50,000 toward the costs of expanding the Electronic Literature Directory, a Web based directory of new media art forms | University of Maine , Orono Maine \$100,000 for use by its New Media Program toward the costs of the Open Art Network an initiative that aims to empower artists working in digital formats by devising and promoting standards that encourage open access to Internet architecture and digital media |
| Art & Science Collaborations, Inc. , Staten Island New York \$55,000 toward the costs of the ArtSci2002 Symposium and related sustainability activities | The Kitchen , New York New York \$12,000 toward the costs of Digital Happy Hours a discussion series linked to the Gallery installation Interactive Legends that explores electronic interactivity as a developing part of the cultural landscape | University of Southern California , Los Angeles California \$50,000 for use by its Annenberg Center for Communication toward the costs of Race in Digital Space 2.0 a conference and exhibit analyzing the potentials and the risks of technological development, its ability to effect social change and the evolution of creativity communication and culture |
| Aspen Institute , Washington, D C \$99,000 for use by its Communications and Society program toward the costs of the Humanities and the New Communications Environment a needs assessment conference to explore innovative approaches to the application of humanities disciplines to interpreting new information and communications technologies | Leonardo , San Francisco California \$66,000 toward the costs of a feasibility study for the development of Arts Lab a self sustaining art and technology research laboratory | Waag Society , Amsterdam, Netherlands \$15,000 for a research project on global critical Internet culture to examine the ways in which an increasing climate of distrust encroaching market forces and increased corporate domination are threatening the development of open communities and the Internet's early promise of technological democracy |
| Association of Hispanic Arts , New York New York \$40,000 toward the costs of a digital arts registry to expand the exposure of Latino arts and culture and to create and expand diverse audiences for Latino artists | Location One , New York New York \$100,000 toward the costs of expanding streaming and virtual-lab capabilities to enable not-for-profits to create a collaborative interface for artistic work | World Music Productions , Brooklyn New York \$79,933 for a series of initiatives to preserve document and disseminate music from Africa and the diaspora through radio and Internet media |
| Banff Centre for Continuing Education , Banff Alberta Canada \$60,000 for use by its New Media Institute toward the costs of the Bridges Two conference to explore a series of new trends in research practice especially in the domain of interdisciplinary collaboration between artists and social science researchers scientists and engineers in the context of the new technologies | New School University , New York New York \$50,000 toward the costs of BLUR 02 Power at Play in Digital Art and Culture an art and technology conference exploring the impact of technology on the evolution of digital art culture and daily interactions | |
| Bang on a Can , New York New York \$100,000 toward the costs of the 2003 E Festival a monthlong Web based festival of experimental music from around the world | New York Digital Salon , New York, New York \$40,000 toward the costs of a four day symposium on digital art and culture spring 2003 | |
| Brown University , Providence, Rhode Island \$45,000 for use by its Thomas J Watson Jr Institute for International Studies toward the costs of 911+1 The Art of War in the Information Age a multimedia exhibition and symposium engaging artists and social scientists on the rhetoric representations and technologies of the war on terror | On the Boards , Seattle, Washington \$35,000 toward the costs of research development and production of 'Listening Post' a sound installation that monitors the live activity of thousands of Internet chat rooms and message boards and then converts the public conversations into a computer generated opera | |
| Centre for the Study of Developing Societies , Delhi India \$89,375 toward the costs of its Sarai project whose research on the formation of new media spaces particularly in Delhi and the ways in which media networks connect to other metropolises is aimed at helping to democratize access to new technologies and address issues of inequity | Rhizome Communications , New York New York \$100,000 for general support of its mission to serve as an online platform for the global new media art community supporting the creation presentation discussion and preservation of contemporary art that engages new technologies | |

FILM/VIDEO/MULTIMEDIA FELLOWSHIPS

Mariano Estrada Aguilar, Palenque Chiapas Mexico \$20,000 toward the costs of *'Hacia el horizonte'* (Toward the Horizon), a documentary that explores the role of indigenous women in Chiapas

American University, Washington, D.C. \$65,000 for use by its Center for Social Media toward the costs of convening leading filmmakers, scholars and funders to develop best practices, guidelines and critical agendas to elevate public and scholarly awareness and debate about social media and its significance in the technology-based knowledge economy

Craig Baldwin, San Francisco, California \$35,000 toward the costs of "Kooky Spooks," a narrative feature film that satirizes the espionage genre

Craig Brewer, Memphis, Tennessee \$35,000 toward the costs of "Hustle and Flow," a feature film about a small-time Memphis street hustler who attempts to change his life by becoming a rap musicia

William A. Brown, Lubbock, Texas \$35,000 toward the costs of "This Side of the Border," an experimental documentary about the Shadow Wolves, a group of Native Americans employed by the U.S. Customs Service to patrol the border between the Tohono O'odham Indian Reservation in southern Arizona and the deserts of northern Mexico.

Curtis Choy, Novato, California \$35,000 toward the costs of "What's Wrong With Frank Chin (a.k.a. WWW Frank Chin)," a documentary about the author Frank Chin a controversial pioneer of Asian-American literature, theater and film

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Ernestina Grace Quintanilla Cobo, Cuernavaca, Morelos, Mexico \$20,000 toward the costs of "Bits de Memoria (Bits of Memory)," an interactive moving-image installation that uses the metaphors of the labyrinth and mythology to reveal family memories, histories and truths.

Paul De Marinis, San Francisco, California \$35,000 toward the costs of a multimedia installation that explores how technologies grow out of dreams

Laura Dunn, Austin, Texas \$35,000 toward the costs of "Mayim," a documentary that explores the Middle East conflict from within the context of the ecological need for water in Israel, Jordan and the Palestinian territories

Jeanne C. Finley and John Muse, San Francisco, California \$35,000 toward the costs of "Age of Consent," an experimental documentary that explores power, abuse and gender roles in the early 1970s

Janie Gelser, Los Angeles, California \$35,000 toward the costs of "Magnetic Sleep," a short experimental film that will incorporate animation, puppets, live actors and painted figures to create a pictographic narrative about a woman hypnotist who alternately relishes her power and is frightened by it



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| U.S.A. | Members of Brooklyn, New York-based Urban Bush Women rehearse a performance drawn from community gatherings entitled "Haliparties." |
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| Perry Hoberman , Brooklyn, New York \$35,000 toward the costs of "Table of Contents," an interactive multimedia installation that explores the tension between form and content, and how packaging can be deceiving | Digital Independence, a conference to define and share best practices for independent media makers in a changing technological and market environment |
| Deborah Hoffmann and Frances Reid , Oakland, California \$35,000 toward the costs of "The Women's Voices Project," a documentary that explores the events of September 11 through the writings and voices of women from Afghanistan, Israel, Palestine and the United States | Independent Television Service , San Francisco, California \$100,000 toward the costs of the planning and implementation of INPUT 2005, a major conference that will convene public service television professionals from over 60 countries to strengthen networks and advance international public-media development |
| Independent Media Arts Preservation, Inc. , New York, New York \$50,000 toward the costs of service, education and advocacy programming dedicated to the preservation of independent electronic media | Gabriel Lopez-Shaw , Fort Yukon, Alaska \$35,000 toward the costs of "Indigenous Movement: Gwich'in" the first in a series of DVDs documenting indigenous lifestyles and how the indigenous movement is addressing global issues |
| Independent Television Service , San Francisco, California \$100,000 toward the costs of developing and staging | Andrew Millington , Jamaica Plain, Massachusetts \$35,000 toward the costs of "Zumbi's Dream," a narrative film about a Caribbean immigrant and a young |



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| African-American woman caring for her Alzheimer's-afflicted grandfather | Nida Sinnokrot , Brooklyn, New York \$35,000 toward the costs of "Grounds," a moving-image installation that explores the formation of identity for immigrants and refugees who are striving to bridge the gaps of experience based on ethnicity that polarize people | Bang on a Can , New York, New York \$20,000 to support the commissioning and production of a new work by Burmese percussion master Kyaw Kyaw Naing, to be performed with the Bang on a Can All-Stars |
| National Alliance for Media Arts and Culture , San Francisco, California \$100,000 toward the costs of a scenario-planning project on the future of independent media to be undertaken by a consortium of six San Francisco-based independent media organizations | Kimi Takesue , New York, New York \$35,000 toward the costs of "Skipping Stones," a short narrative film about a woman who drifts on the fringes of society | Big Dance Theater , Brooklyn, New York \$20,000 to support the development of "Antigone," a new dance-theater piece written by Mac Wellman, choreographed by Annie-B Parson and directed by Paul Lazar, with songs by Cynthia Hopkins |
| National Alliance of Media Arts Centers, Inc. , San Francisco, California \$50,000 toward the costs of its 16th Biennial Conference, a convening of independent media artists and practitioners exploring cultural policy and youth development, held in Seattle, Washington, October 2002 | University of California , Los Angeles, Los Angeles, California \$30,000 for use by its Chicano Studies Research Center and Film and Television Archive toward the costs of the Chicano Cinema Recovery Project a national film heritage preservation and archival project for independent Chicano- and Latino-produced films | Builders Association , New York, New York \$25,000 to support the development and production of "Alladeen," a cross-media project directed by Marianne Weems in collaboration with Keith Khan and Ali Zaidi of Moti Roli |
| National Association of Latino Independent Producers , Santa Monica, California \$100,000 for support of three initiatives seeking to improve Latino presence in all aspects of media production | Camille Utterback , Brooklyn, New York \$35,000 toward the costs of "Potent Objects," a series of interactive objects that explore the anxiety provoked by machines that can feel or emote | Chitresh Das Dance Company , San Rafael, California \$25,000 to support the creation of "East as Center," a collaborative work between Chitresh Das, Guru Govindan Kutty and I Nyoman Centa |
| National Video Resources , New York, New York: \$350,368 toward the costs of The Program for Media Artists, a program that supports film, video and multimedia artists | WBEZ Alliance, Inc. , Chicago, Illinois \$25,000 toward the costs of the Second Annual Third Coast International Audio Festival | Circus Amok , Brooklyn, New York \$15,000 to support the development and presentation of "Homeland Security: The Williamsburg Project," directed by Jennifer Miller, composed by Terry Dame and written by Mady Schutzman |
| Rob Nilsson , Berkeley, California \$35,000 toward the costs of '9 @ Night Films' nine feature-length narrative films linking and overlapping the lives of 40 people in the Tenderloin area of San Francisco | MULTI-ARTS PRODUCTION FUND | |
| Sandi Sunrising Osawa , Seattle, Washington \$35,000 toward the costs of "Mama Taillchief," a documentary about the Native American woman who became the first American prima ballerina and a founding member of the New York City Ballet | 33 Fainting Spells , Seattle, Washington \$14,000 to support the creation of "Our Little Sunbeam," a dance-theater piece by Dayna Hanson and Gaelen Hanson | Cornerstone Theater Company , Los Angeles, California \$26,000 to support the "Gay, Lesbian, Bisexual and Transgender People of Faith Project," a collaboration featuring playwright Luis Alfaro, director Christopher Liam Moore, set designer Rachel Hauck, puppet designer Lynn Jeffries and costume designer Christopher Acebo |
| Sara Roberts , Copenhagen, Denmark \$35,000 toward the costs of 'The 20 to 20 Project,' a multimedia experiment with collective communication in both physical and virtual space that challenges the primacy of personal identity | 651 Arts , Brooklyn, New York \$15,000 to support the creation of "Black Burlesque (revisited)," an artistic partnership between choreographer Reggie Wilson/Fist & Heel Performance Group and musical director Thorneki Dube of Black Umfolosi, with the participation of the Noble Douglas Dance Company | Creative Capital Foundation , New York, New York \$100,000 toward the costs of ongoing activities that support artists pursuing innovative approaches to form and content in the media, performing and visual arts, and in emerging arts fields |
| Carlos Efraín Pérez Rojas , Chiapas, Mexico \$20,000 toward the costs of "La lucha por los bosques (The Fight for the Forests)," a documentary that investigates the environmental damage done by indiscriminate deforestation in the south of Mexico | Alley Theatre , Houston, Texas \$40,000 to support the development and production of "Cantinflas," a new play by Herbert Siguenza | Dancers' Group , San Francisco, California \$15,000 to support the creation and development of "Onion," a collaboration among director and choreographer Shinichi Momo Koga, co-director Sien Rudstroem and members of inkBoat |
| Richard G. Rowley , Cambridge, Massachusetts \$35,000 toward the costs of "We Were Born in the Night," a video documentary about globalization that intertwines voices and experiences from five worldwide movements. | American Repertory Theatre , Cambridge, Massachusetts \$40,000 to support the commissioning and development of "Story In Story Out," a new music-theater work by composer and performer Rinde Eckert, director Robert Woodruff, the Empty House Cooperative and members of the company | Dansology , New York, New York \$20,000 to support the development of "Mech[a]," a mixed-media dance work choreographed with sound score by Koosil-ja Hwang and video installation by Caspar Stracke |
| Daniel J. Sandin , Chicago, Illinois \$35,000 toward the costs of "Looking for Water 2," a virtual-reality, 3-D installation that takes the participant through a journey that begins in outer space and ends in the islands of northern Lake Michigan | Appalshop , Whitesburg, Kentucky \$40,000 for use by its American Festival Project to support the development of director and performance artist John Malpede's production of "Poverty Tour" | Earshot Jazz , Seattle, Washington \$30,000 to support the development of "Joe Hill" a song cycle composed by Wayne Horvitz, written and narrated by Paul Magid and featuring soloist Bill Frisell |

Edith Kanaka'ole Foundation, Hilo, Hawaii \$30,000 to support the production of "Hanau Ka Moku An Island is Born," an evening-length dance piece developed in collaboration among co-director and writer Pualani Kanahete, co-director and hula choreographer Nalani Kanaka'ole and co-director and choreographer Peter Rockford Espiritu

Everett Dance Theatre, Providence, Rhode Island \$15,000 to support the creation of "Home Movies," co-directed and choreographed by Dorothy Jungels and Aaron Jungels, with video by Josh Pearson

Foundation-administered project: \$250,000 toward the costs of administering the Multi-Arts Production Fund

Fund for Women Artists, Florence, Massachusetts \$30,000 to support the development and production of "The Rose Project," a music-theater work directed by Sonoko Kawahara and composed by Dredre Murray

Guild Complex, Chicago, Illinois \$15,000 to support the creation and development of "Sisters in the Smoke," a multimedia theatrical production directed by Anida Yoeu Esguerra and Emily Chang of Mango Tribe

Helena Presents, Helena, Montana \$28,000 to support the creation of "Geyser Land," a site-specific performance video installation by Mary Ellen Strom and Ann Carlson

Inta, New York, New York \$22,000 to support the creation and development of "Offering," a performance installation designed and built by choreographer-performers Eko & Koma, in collaboration with composer and performer David Krakauer and performer Lakshmi Aysola

International WOW Company, Brooklyn, New York \$25,000 to support the creation and development of "Death of Nations," an original theater piece created in collaboration with artists from New York, Japan and Thailand directed by Josh Fox with script development by performer Aya Ogawa

José Limón Dance Foundation, New York, New York \$30,000 to support the development and production of a new work by choreographer Susanne Linke, with music by Wolfgang Bley-Borkowski and Vybutis Landbergis, and costumes by Manon Williams

Jump Start Performance Company, San Antonio, Texas \$20,000 to support "Cameoland," a multimedia theater production written and composed by Sterling Houston, with video by Michael Verdi

Kronos Quartet, San Francisco, California \$20,000 to support the development and presentation of "Sun Rings," a work for tape, string quartet and choir composed by Terry Riley, with visual design by Willie Williams and the participation of Donald Gurnett

Mabou Mines, New York, New York \$40,000 to support the creation and production of "Cara Lucia," co-conceived and directed by Sharon Fogarty, written by Lee Breuer, featuring Ruth Maleczek, with projection design by Julie Archer

Mettawee River Theatre Company, New York, New York \$16,000 to support research and development for Manhattan Engineering District's "The Blue Hole Tabernacle Revival," a performance piece by writer-performer Scott Bluementhal and writer-director Carlos Murillo

Miami-Dade Community College, Miami, Florida \$30,000 for use by its Cultural Affairs Department to support the development and production of "The Human Tragedy of Genocide, Rwanda and Tomorrow?" a collaboration between choreographers Germaine Acogny and Kota Yamazaki

New York City Players, New York, New York \$14,000 to support the development and production of "Joe," written and directed by Richard Maxwell

New York Foundation for the Arts, New York, New York \$30,000 to support the development and production of Yin Mei Dance's "Nomad I Tea," the first section of a multimedia dance-theater trilogy with choreography by Yin Mei, set design by Wenda Gu, costumes by Naoko Nogata and video by Charles Steiner

Performing Artservices, New York, New York \$15,000 to support the development of "Celestial Excursions," an opera by Robert Ashley, with a visual presentation by Barbara Bloom

Ping Chong and Company, New York, New York \$25,000 to support the creation of "The Africa Project," a multidisciplinary theater work written and directed by Ping Chong

PRISM Saxophone Quartet, Philadelphia, Pennsylvania \$15,000 to support the creation of a multi-movement work for saxophone quartet by Stephen Mackey

Providence Productions International, New York, New York \$30,000 to support the development of "Jenkins," an interdisciplinary work by composer Leroy Jenkins with libretto and video by Mary Griffin

Red Wing Performing Group, New York, New York \$15,000 to support the development and production of "The

Hiroshima Maidens Project," a performance work by writer, performer and designer Dan Hurlin and composer and performer Robert Een

Relâche, Philadelphia, Pennsylvania \$20,000 to support the development and production of "Broken," a performance and installation work created in collaboration between the Relâche Ensemble and sound and visual artist Christian Marclay

Ridge Theater, New York, New York \$40,000 to support the development and production of "Anatomy Theater," a multimedia opera by composer David Lang with libretto by conceptual artist Mark Dion and directed by Bob McGrath

Shadowlight Productions, San Francisco, California \$30,000 to support the production of "The Seven Visions of Encarnacion," a performance piece developed in collaboration among playwright Octavio Solis, director Larry Reed and visual artist Victor Cartagena

Thin Men Dance, New York, New York \$40,000 to support the creation of "Feathered to wind," choreographed by John Jasperse with a score by sound designer Michael Floyd

Walker Art Center, Minneapolis, Minnesota \$40,000 to support the development and production of Improbable Theatre's "Braff's Neck," a theatrical work created by Phelim McDermott, Lee Simpson and Julian Crouch

Fostering Resilient and Creative Communities

RECOVERY/REINVENTION OF CULTURES

Recovering and Reinventing Cultures Through Museums

American Federation of Arts, New York, New York \$50,000 toward the costs of the exhibition, "The Sensuous and the Sacred: Chola Bronzes from South India," at the Arthur M. Sackler Gallery, Smithsonian Institution

Asia Society, New York, New York \$100,000 toward the costs of an exhibition, "Through Afghan Eyes: A Culture in Conflict, 1987-1992," and related public programs

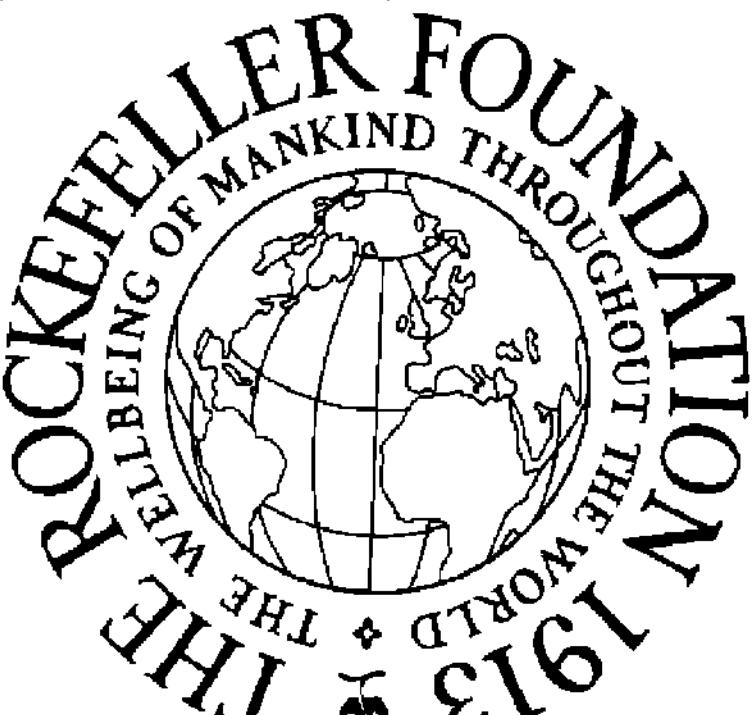
Bellevue Art Museum, Bellevue, Washington \$50,000 toward the costs of the exhibition, "Alfredo Arreguin: Patterns of Dreams and Nature"

Brandeis University, Waltham, Massachusetts \$65,000 for use by its Rose Art Museum, toward the costs of the exhibition, "Coexistence: Contemporary Cultural Production in South Africa"



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| <p>Contemporary Art Museum, St. Louis, St. Louis Missouri \$50,000 toward the costs of the exhibition, "A Fiction of Authenticity Contemporary Africa Abroad"</p> | <p>Seattle Art Museum, Seattle, Washington \$50,000 toward the costs of an exhibition of African art entitled, "Long Steps Never Broke a Back"</p> | <p>an exhibition held at Baruch College to frame the aftermath of September 11, 2001, in a broader context</p> |
| <p>Cuentos Foundation, Chicago, Illinois. \$25,000 toward the costs of "Ritmo de Fuego/Rhythm of Fire," a community-based project highlighting the copper-smithing art and artisans of Michoacan, Mexico</p> | <p>South Africa Development Fund, Boston, Massachusetts \$100,000 toward the costs of the exhibition, "sondela Visions of South Africa—past, present & future" (joint with Health Equity)</p> | <p>Library of Congress, Washington, D.C.: \$250,000 for use by its American Folklife Center toward the costs of Save Our Sounds, a project to restore, preserve, describe and digitize heritage recordings and set standards and guidelines for aural archiving practices.</p> |
| <p>Diverse Works Art Space, Houston, Texas. \$40,000 toward the costs of the exhibition "William Pope L. eRacism"</p> | <p>State University of New York at New Paltz, New Paltz, New York \$25,000 for use by its Samuel Dorsky Museum of Art toward the costs of the exhibition, "Utopia/Post-Utopia Conceptual Photography and Video from Cuba."</p> | <p>Lower East Side Tenement Museum, New York, New York \$100,000 toward the costs of activities of the International Coalition of Historic Site Museums of Conscience, including Dialogues for Democracy, a network of initiatives at historic sites around the world that use history to stimulate public dialogue</p> |
| <p>Foundation-administered project: \$48,000 for expenses related to the conference, Museums and Global Public Spheres, held at the Bellagio Study and Conference Center, Italy, July 2002</p> | <p>Union of Community Museums of Oaxaca, Oaxaca, Mexico \$75,000 toward the costs of a series of training workshops for indigenous communities to establish their own community museums</p> | <p>Pacific School of Religion, Berkeley, California \$75,000 for use by its Institute for Leadership Development and the Study of Pacific and Asian North American Religion toward the costs of a historical documentation project that will create an archive of material pertaining to the Presbyterian Church in San Francisco's Chinatown, the first Chinese Christian congregation established outside China.</p> |
| <p>Henry Art Gallery Association, Seattle, Washington \$50,000 toward the costs of the exhibition "Gene(sis) Contemporary Art Explores Human Genomics" at the Henry Art Gallery</p> | <p>University of Memphis, Memphis, Tennessee \$20,000 for use by its Art Museum toward the costs of the exhibition, "Coming Home! Self-Taught Artists, the Bible and the American South"</p> | <p>Social Science Research Council, New York, New York \$75,000 toward the costs of the preservation and conservation of the papers of Ernest Hemingway at Finca Vigia Cuba</p> |
| <p>International Center of Photography, New York, New York \$100,000 toward the costs of the exhibition "Only Skin Deep Changing Visions of the American Self."</p> | <p>University of South Florida, Tampa, Florida. \$20,000 for use by its Contemporary Art Museum toward the costs of the exhibition, "The Field's Edge Agency, Body and the African Lens"</p> | |
| <p>Lehman College Art Gallery, Bronx, New York \$50,000 toward the costs of the exhibition, "Taíno Treasures The Legacy of Dr Ricardo E. Alegria."</p> | <p>University of Southern California, Los Angeles, California \$70,000 toward the costs of the exhibition and publication, "Mixed Feelings Art and Culture in a Post-border Metropolis," at the Fisher Gallery</p> | |
| <p>Museum of Northern Arizona, Flagstaff, Arizona \$100,000 toward the costs of the exhibition "Magic of the Painted Room Indian Murals of the American Southwest"</p> | <p>Whitney Museum of American Art, New York, New York \$52,000 toward the costs of "The American Effect" an exhibition that uses the visual arts as a lens to explore a wide variety of foreign perspectives on U.S. culture and society</p> | |
| <p>Museum of Spanish Colonial Art, Santa Fe, New Mexico \$50,000 toward the costs of the exhibition, "Conecciones Connections in Spanish Colonial Art"</p> | <p>Cultural Absence/Cultural Recovery</p> | |
| <p>New Museum of Contemporary Art, New York, New York \$50,000 toward the costs of the publication, "Over Here International Perspective of Art and Culture," an anthology of writings by artists and scholars who provide critical insights into the global conditions that affect cultural practices</p> | <p>American Dance Festival, Durham, North Carolina \$200,000 toward the costs of preserving and providing greater access to the "Free to Dance" and Pearl Primus collections of African-American modern dance</p> | |
| <p>New York University, New York, New York \$50,000 for use by its Grey Art Gallery toward the costs of the exhibition, "Between Word and Image Modern Iranian Visual Culture"</p> | <p>Columbia University, New York, New York \$48,000 for use by its Oral History Research Office toward the costs of The September 11, 2001, Oral History and Narrative Memory Project</p> | |
| <p>Old Sturbridge Village, Sturbridge, Massachusetts \$60,000 toward the costs of the exhibition, "The Enduring People Native American Life in Central New England"</p> | <p>Indigenous Language Institute, Santa Fe, New Mexico \$20,000 for support of Community Voices Coming Together, a symposium to enhance the efforts of indigenous peoples to revitalize their native languages</p> | |
| <p>San Diego Museum of Art, San Diego, California \$50,000 toward the costs of the exhibition, "Axis Mexico Common Objects and Cosmopolitan Actions"</p> | <p>Legacy Project, New York, New York \$25,000 toward the costs of a conference organized jointly with Pace University and</p> | |
| | | <p>UNDERSTANDING THE CULTURAL COMPONENTS OF WELL-BEING</p> <p>Cultural Indicators and Policy</p> <p>Center for Arts and Culture, Washington, D.C. \$98,000 toward the costs of the initial phase of two initiatives, the first a project to work with the Department of Commerce in revising its data collection methods on the "creative sector," and the second a joint strategy with the Bureau of Educational and Cultural Affairs and the U.S. Department of State to develop and strengthen cultural diplomacy</p> <p>Chicago Tourism Fund, Chicago, Illinois \$35,000 toward the costs of Advancing Chicago's Civic Agenda through the Arts, an initiative that will explore the ways in which the arts can enhance and sustain community development and urban renewal</p> <p>City Limits Community Information Service Inc., New York, New York \$85,000 for use by its Center for an Urban Future toward the costs of a research project and conference designed to educate the public and policymakers on the potential of arts-oriented economic and community development focusing on New York City</p> |

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| Cultural Initiatives/Silicon Valley, San Jose California \$50 000 toward the costs of two complementary studies that will assess and benchmark the role of culture, the arts and creativity in a suburban environment and reveal through an anthropological field study, how amateur cultural expression is functioning in Silicon Valley today | ARTScorpsLA, Los Angeles California \$90,000 toward the costs of Spiraling Roots a project in which community members will design and create urban art gardens to promote cultural pride and civic engagement and provide a research laboratory for environmental toxin control | Foundation-administered project: \$80 000 for administrative costs related to the PACT program including the production of a publication entitled Community Culture and Globalization |
| Field Museum of Natural History, Chicago Illinois \$35 000 toward the costs of a conference and art exhibit entitled "Defining the Twenty-First Century Global Agenda Hip Hop Culture as Transformative Activity" | Chinese Theatre Works, Jackson Heights New York \$15 000 toward the costs of "Day Jobs and Opera Dreams" a theater piece by and about immigrant Chinese opera performers that will address issues of personal social and cultural importance to the Chinese immigrant community | Galeria de la Raza, San Francisco, California \$150 000 toward the costs of a youth and community multimedia mentorship project linking Latino artists teenagers from Youth Speaks and students from the San Francisco Art Institute |
| International Arts Federation Services Pty Ltd, Strawberry Hills Australia \$100,000 toward the costs of information sharing and skills-exchange programs that promote service to arts agencies serving artists and societies in Southeast Asia and the Pacific, Latin America, Central and Eastern Europe and Africa | Cornerstone Theater Company, Los Angeles California \$50 000 toward the costs of a project to address the issues of faith and AIDS among clergy and members of the African American community living with HIV/AIDS | Global Action Project, New York New York \$100 000 toward the costs of a multimedia documentary project for refugee youth |
| Social Science Research Council, New York New York \$99 722 toward the costs of three conferences continuing the work of its Committee on the Arts in bringing social science to bear on the intersection of the arts and society | Ka'ala Farm, Inc , Waianae Hawaii \$150 000 toward the costs of Care for the Canoe, Cherish the Canoe a wood-carving project that aims to help revive a native Hawaiian sculptural tradition | |
| UNESCO Institute for Statistics, Montreal, Canada \$55,000 toward the costs of Statistics in the Wake of Challenges Posed by Cultural Diversity in a Globalization Context a symposium that will address the emerging needs regarding cultural indicators and propose new frameworks for the standardization of culture statistics | Foundation-administered project. \$70,000 for administrative costs related to the PACT program | |
| University of Texas at Austin, Austin Texas \$43 000 for use by its Lyndon B Johnson School of Public Affairs toward the costs of a workshop on copyright law as it pertains to technological change and its implications for how public and private institutions can realize the benefits of the information revolution | | |
| Vanderbilt University, Nashville Tennessee \$85 000 for use by its Center for Art Enterprise and Public Policy toward the costs of a conference and background research examining the cultural-policy effects of regulation of arts and media industries by federal and state government | | |
| Partnerships Affirming Community Transformation/Arts for Community Building | | |
| Angkor Dance Troupe, Lowell Massachusetts \$130 350 toward the costs of a traditional Cambodian dance training program and ancillary training in personal and career development for at-risk youth from the Cambodian-American community | | |



Photograph Excised Here



Efforts to conserve and renew Cambodian culture have produced a new generation of classically-trained performers



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| <p>Project Row Houses, Houston Texas \$150 000 toward the costs of a series of performances and workshops and the creation of a living archive of African American music in the Third Ward community of Houston Texas</p> <p>Southeast Community College, Cumberland Kentucky \$149 470 for use by its Appalachian Program for The Harlan County Project a network of artistic and cultural projects to address social conditions and to build community capacity for continuous organizing toward democratic change</p> <p>Stimulating Knowledge and Freedom in the Public Sphere</p> <p>COLLABORATIVE PROGRAMMING WITH OTHER THEMES</p> <p>Graduate School and University Center, City University of New York, New York New York \$68 425 for use by its Center for Latin American Caribbean and Latino Studies toward the costs of creating an interdisciplinary Master of Arts program in Latin American Caribbean and Latino Studies</p> <p>Museum of Fine Arts, Houston, Houston, Texas \$100 000 for use by its International Center for the Arts of the Americas toward the costs of Recovering the Critical Sources for Latin American/Latino Art a project to recover translate and distribute the critical foundation of modern and contemporary Latin American/Latino art</p> <p>Smithsonian Institution, Washington, D C \$100 000 toward the costs of its Global Sound project an archive of digitized recordings from around the world (joint with Assets and Capacities)</p> <p>Smithsonian Institution, Washington : D C \$75 000 for use by its National Museum of the American Indian toward the costs of The Edge of Enchantment La Onilla del Encanto a three part project that includes an exhibition a bilingual book and a one hour documentary film entitled "El Camino del Aymoo" (joint with Working Communities)</p> <p>Social Science Research Council, New York New York \$200,000 toward the costs of Translocal Flows Migration and Contested Urban Spaces, an initiative to advance hemispheric scholarship on culture and society and promote greater integration of intellectual networks across the different regions of the Americas (joint with Global Inclusion and Working Communities)</p> <p>University of Texas at El Paso, El Paso Texas \$185 000 toward the costs of a binational effort to create the Paso al Norte Immigration Museum the first major</p> | <p>museum in the United States dedicated to the history of migration across the nation's southern border</p> <p>GLOBAL CIVIL SOCIETY</p> <p>African Script Development Fund, Harare Zimbabwe \$400 000 toward the costs of its 2003 and 2004 programmatic activities that center on increasing the availability of African films and television programming in African markets</p> <p>Emory University, Atlanta Georgia \$100,000 toward the costs of a collaborative program between its Center for the Study of Public Scholarship and representatives of South Africa's university arts and museum communities pertaining to professional and intellectual issues in those sectors related to the transition from apartheid</p> <p>Feminist Press, New York New York \$602 000 toward the costs of Women Writing Africa a four-volume anthology of the work of women writers in Africa</p> <p>Foundation-administered project \$100 000 toward the costs of a Frati global dialogue series entitled The Muslim World and the Global Future, at the Bellagio Study and Conference Center Italy</p> <p>HUMANITIES RESIDENCY FELLOWSHIPS AND RESEARCH</p> <p>American Council of Learned Societies, New York New York \$325 000 toward the costs of nonresident fellows in its Frederick Burkhardt Fellowship Program for recently tenured faculty in the humanities and related social sciences</p> <p>California State University, Los Angeles, Los Angeles California \$325 000 for use by its Center for the Study of Genders and Sexualities and its American Communities Program toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities entitled Becoming and Belonging The Alchemy of Identity in the Multiethnic Metropolis</p> <p>Columbia College Chicago, Chicago Illinois \$325 000 for use by its Center for Black Music Research toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities entitled Diasporal Unities in the Circum Caribbean (and Beyond)</p> <p>Foundation-administered project \$80 000 toward the administrative costs of the Humanities Residency Fellowships Program</p> <p>El Museo del Barro/Museum of Clay, Asuncion Paraguay \$90 400 for additional funding of the Rockefeller Foundation Resident Fellowships in the Humanities</p> | <p>program Identities in Transition The Challenges of Art in Today's Paraguay</p> <p>National Autonomous University of Mexico, Mexico City Mexico \$325 000 for use by its Centro Regional de Investigaciones Multidisciplinarias toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities entitled, The Cultural Dimensions of the Mexican Transition Migration Identity Gender and Violence</p> <p>New York University, New York, New York \$45 000 for use by its International Center for Advanced Studies to facilitate the participation of African Latin American and Southeast Asian scholars in a series of three symposiums entitled The Cold War as Global Conflict</p> <p>State University of New York at Stony Brook, Stony Brook New York \$325 000 for use by its Center for Latin American and Caribbean Studies toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities entitled Durable Inequalities in Latin America</p> <p>Tulane University, New Orleans, Louisiana \$325,000 for use by its Stone Center for Latin American Studies toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities entitled Shared Inheritances Comparative Studies in Creativity and Performance</p> <p>University of California, Santa Cruz, Santa Cruz California \$325 000 for use by its Center for Cultural Studies toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities entitled Other Globalizations Histories Trans-Regionalisms and Cultural Formations</p> <p>University of Florida, Gainesville Florida \$12 500 for use by its Center for Latin American Studies toward the costs of a conference Religion and Globalization in the Americas to be held in conjunction with its program of Rockefeller Foundation Resident Fellowships in the Humanities April 2003</p> <p>University of Illinois at Urbana-Champaign, Urbana Illinois \$325 000 for use by its Center for African Studies toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities entitled Education and African Modernities</p> <p>University of Michigan, Ann Arbor Michigan \$25 000 toward the costs of a conference of the Imagining America public scholarship program entitled The Engaged University the Engaged Community and the Daily Practice of Democracy November 2002</p> |
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University of Washington, Seattle, Washington \$325,000 for use by its Simpson Center for the Humanities toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities entitled, Critical Asian Studies Forum on Trauma, History, and Asia

Women's Research & Education Institute, Washington, D C \$50,000 toward the costs of a project entitled, Women and Immigration From Scholarship to Policy, which will study the policy implications of the intersection between gender and immigration

Woodrow Wilson National Fellowship Foundation, Princeton, New Jersey \$220,000 to support the Woodrow Wilson Public Scholarship Grants Program, an initiative to further the ways in which university-based artists and humanists contribute to the country's civic heritage and to its civic future

**ROLE OF RELIGION IN
BUILDING CIVIL SOCIETY**

Harvard University, Cambridge, Massachusetts \$253,000 toward the costs of its Pluralism Project, a research program that seeks to document, and to provide outreach and education on, the religious diversity of the United States

World Conference on Religion and Peace, New York, New York \$650,000 toward the costs of its religion and civil-society programming

World Faiths Development Dialogue, Birmingham, England \$77,000 toward the costs of planning two convenings, to take place in 2003, that will lay the groundwork for engaging religious communities in poverty-reduction strategies in Africa

Other Grants

ARTS EDUCATION

Bay Area Video Coalition, San Francisco, California \$75,000 toward the costs of The Digital Storytelling Project: Using Interactive Technologies to Engage Underserved Teens in the Digital Arts

Brooklyn College, City University of New York, Brooklyn, New York \$50,000 toward the costs of the pilot phase of a project to increase the diversity of the applicant pool to its master's of fine arts program in Performing Arts Management

Brooklyn Philharmonic Symphony Orchestra, Brooklyn, New York \$75,000 toward the costs of planning and piloting joint activities of a consortium of Brooklyn cultural institutions

Hunter College, City University of New York, New York, New York \$62,000 for use by its Centro de Estudios Puertorriqueños toward the costs of pilot projects of the National Latino Research Agenda Project on the impact of best practices in connecting public education and the arts and on the impact of standardized testing, plus activities of its arts in education research design team

Joy2Learn Foundation, Riverdale, New York \$15,000 toward the costs of a feasibility study on implementing its music and theater Internet programs in New York and New Jersey public school sixth-grade classrooms

Lincoln Center for the Performing Arts, New York, New York \$250,000 for use by its Institute for the Arts in Education toward the costs of development and dissemination of the Teacher Education Collaborative, a project that seeks to incorporate the arts and aesthetic education into teacher preparation at the undergraduate and graduate levels

National Humanities Center, Research Triangle Park, North Carolina \$299,127 toward the costs of establishing an online "toolbox" that will enable teachers to create professional development seminars in African-American culture

University of Houston, Houston, Texas \$65,000 toward the costs of planning the integration of the Recovering the U S Hispanic Literary Heritage Project into high-school curricula

Wizard Music, Inc., Santa Fe, New Mexico \$282,280 toward the costs of creating three CD-ROMs to develop creativity in children through a play-based program of computer activities

Young People's Chorus of New York City, Inc., New York, New York \$20,000 toward the costs of its music education and choral performance programs

DOCUMENTARIES

Internews Interactive, San Rafael, California \$10,050 toward the costs of the documentary project, "Vis à Vis Native Tongues," that explores how two indigenous performance artists, from the United States and Australia, confront the stereotypes and myths about native peoples

South African-American Organization, New York, New York \$100,000 toward the costs of the documentary project, "Ripple of Hope in the Land of Apartheid: Robert Kennedy in South Africa, June 4-9, 1966" (joint with Global Inclusion and Working Communities)

WETA, Arlington, Virginia \$100,000 toward the costs of an educational and outreach campaign and Web site for "The Journey Home," a PBS documentary on societal changes brought on by new immigration and increased cultural diversity in the United States

STRENGTHENING/

PRESERVING TRADITIONAL ART FORMS

Aid to Artisans, Hartford, Connecticut \$100,000 toward the costs of a project entitled, Culture-Based Marketing, a craft-development initiative in Southeast Asia

Alaska Native Heritage Center, Anchorage, Alaska \$100,000 toward the costs of a program entitled, The Whale and Alaska Native Ways of Knowing, and a capacity-building initiative to strengthen the Center's long-term sustainability

Connecticut College, New London, Connecticut \$30,000 toward the costs of the planning phase of a project to foster the preservation and cultural exchange of regional arts in Yunnan, China

Council of American Overseas Research Centers, Washington, D C \$64,000 toward the costs of building a Khmer and Southeast Asian studies network in cooperation with the Center for Khmer Studies, located in Siem Reap, Cambodia

Dance Theater Workshop, New York, New York \$100,000 toward the costs of The Mekong Project: A Program of Artist-to-Artist Exchanges in Southeast Asia

Smithsonian Institution, Washington, D C \$354,000 toward the costs of a capacity-building initiative at the Vietnam Museum of Ethnology (Hanoi) that will produce a collaborative project entitled, Mekong Lifeways

TheatreWorks, Fort Canning Park, Singapore \$100,000 toward the costs of an intercultural initiative in Lao People's Democratic Republic entitled, Culture & the Arts as Ambassador: The Continuum Asia Project, that includes workshops with and presentations by masters of Southeast Asian performance traditions, exhibitions created and presented by local youth, and performance pieces created as a collaboration between contemporary Asian artists and the local population

Other Grants

American Council on Education, Washington, D C \$100,000 for the planning phase of a project to engage the higher-education community in the task of ensuring academic success for all students (joint with Working Communities)



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The largely Mexican-American community in Fort Worth, Texas' Near Northside, has strong religious and neighborhood ties, but faces challenges in finding higher-wage employment

2002 Grants
Creativity & Culture

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| <p>Apollo Theater Foundation, Inc., New York New York \$200 000 toward the costs of Harlem Song a new musical production celebrating the history and culture of Harlem (joint with Working Communities)</p> <p>Arts International, New York New York \$450 000 toward the costs of the Fund for US Artists at International Festivals and Exhibitions</p> <p>Asian American Arts Alliance, New York New York \$100 000 toward the costs of its initiative to provide technical and financial assistance to Asian American arts organizations during the post-September 11 cultural funding crisis</p> <p>Association of Hispanic Arts, New York New York \$100 000 toward the costs of its initiative to provide technical and financial assistance to Latino arts organizations during the post-September 11 cultural funding crisis</p> <p>Brooklyn Academy of Music, Brooklyn New York \$100 000 toward the costs of its 2002 Next Wave Festival</p> <p>Calista Elders Council, Anchorage Alaska \$25 000 toward the costs of the book <i>Yupik Voices in a German Museum Fieldwork Turned on Its Head</i> the first comprehensive description of 19th century Yupik culture from the Yupik point of view</p> | <p>Council for Aid to Education, New York, New York \$120 000 toward the costs of two meetings organized in collaboration with the National Governors Association Center for Best Practices to initiate conversation on closing postsecondary education attainment gaps (joint with Working Communities)</p> <p>EarthWays Foundation, Malibu California \$100 000 toward the costs of the 2002 World Festival of Sacred Music—Los Angeles a festival of diverse artistic genres promoting mutual respect and universal responsibility</p> <p>Grantmakers in the Arts, Seattle Washington \$25 000 toward the costs of its 2002-2003 activities</p> <p>Hip-Hop Theatre Junction, Washington D.C. \$50 000 toward the costs of the third annual New York City Hip Hop Theater Festival held at Performance Space 122 June 2002</p> <p>Homelands Productions, Gloucester Massachusetts \$100 000 toward the costs of 'Worlds of Difference,' a six hour radio documentary program exploring the cultural impacts of globalization</p> <p>Latino Theatre Company, Los Angeles California \$40 000 toward the costs of audience development and outreach activities surrounding the premiere of "Dementia" a new play examining the richness and variety of contemporary Latino culture while exploring the issues of AIDS and homosexuality</p> | <p>Lincoln Center for the Performing Arts, New York New York \$70 000 toward the costs of an authentic presentation of "Ta'zиеh," the only indigenous form of music drama in the Islamic world to be presented at the Lincoln Center Festival 2002</p> <p>National Association of Latino Arts and Culture, San Antonio Texas \$30 000 toward the costs of its 2002 annual conference entitled, New Americas A Transnational Paradigm</p> <p>New York Foundation for the Arts, New York New York \$200 000 toward the costs of the Arts Leadership Initiative a peer based development program to help small arts organizations who serve artists and communities of color to build sustainability and a cooperative project with the Harlem Arts Alliance to provide technical and financial assistance to build the capacity of African American arts organizations during the post September 11 cultural funding crisis</p> <p>New York Theatre Workshop, New York New York \$30 000 toward the costs of the Artists Residency Program at Vassar and Dartmouth Colleges</p> <p>Performance Space 122, New York New York \$60 000 toward the costs of the New Theater Project a four week artists residency and conference at the University of Texas at Austin engaging students and the community in the creation process of new and experimental theater works</p> |
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| Theme |
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| Food Security |

Applying Science and Technology

BIOTECHNOLOGY, BREEDING AND SEED SYSTEMS FOR AFRICA

African Centre for Technology Studies, Nairobi, Kenya: \$20,000 toward the costs of a roundtable discussion on the role of plant genetic resources in Africa's economic renewal.

Albert Ludwigs University Freiburg, Freiburg im Breisgau, Germany: \$300,000 for research on developing Golden Rice varieties that produce and accumulate significant levels of bio-available provitamin A in the endosperm.

Center for the Application of Molecular Biology to International Agriculture, Canberra, Australia: \$445,000 toward the costs of developing new technologies for the production of transgenic crops and licensing strategies to ensure that these technologies are available for use by researchers working on crops that are important in developing countries.

Cornell University, Ithaca, New York: \$164,561 for use by its Strategic World Initiative for Technology Transfer to advance the development, transfer and use of provitamin A rice (Golden Rice) for the benefit of resource poor farmers in developing countries.

Foundation-administered project: \$13,900 toward the costs of producing a book of abstracts and developing and maintaining a Web site for the meeting of the Foundation's Biotechnology, Breeding and Seed Systems program grantees held in Uganda, November 2002.

Foundation-administered project: \$140,000 toward the costs of a symposium on crop genetic improvement for the 21st century, held at the Bellagio Study and Conference Center, Italy, September 2002.

Foundation-administered project: \$250,000 toward the costs of a meeting for participants in the Foundation's program, Biotechnology, Breeding and Seed Systems for Africa, held November 2002.

Foundation-administered project: \$30,000 toward the costs of engaging consultants and convening advisory meetings on the topic of seed production and distribution systems in Africa.

Foundation-administered project: \$75,000 toward administrative costs associated with consultants and advisory

meetings primarily in the area of seed production and distribution systems in Africa.

Foundation-administered project: \$96,000 toward the costs of establishing a Scientific Advisory Committee to provide assessment of the Foundation's Biotechnology, Breeding and Seed Systems for Africa program.

Institute of Rural Economy, Bamako, Mali: \$15,266 toward the costs of a national workshop on agricultural biotechnology held in Mali, June 2002.

International Center for Tropical Agriculture, Cali, Colombia: \$60,000 for research and training on the quantitative and molecular genetic analysis of important agronomic traits in cassava, conducted by Henry Ojulong, a doctoral candidate chosen by the Center.

International Centre of Insect Physiology and Ecology, Nairobi, Kenya: \$60,000 for a collaborative project with the Institute of Arable Crops Research-Rothamsted on the characterization of Striga-suppressing compounds produced by *Desmodium* species.

International Crops Research Institute for the Semi-Arid Tropics, Patancheru, India: \$30,000 toward the costs of convening a workshop to plan research to improve pearl millet in West Africa through conventional breeding, comparative genomics and farmer participation, held in Bamako, Mali, October 2002.

International Maize and Wheat Improvement Center, Mexico City, Mexico: \$603,000 to enhance the nutritional quality of locally adapted maize cultivars in eastern and southern Africa by incorporating traits from "quality protein maize."

International Potato Center, Lima, Peru: \$182,000 to develop a selectable marker for use in crop improvement that is acceptable to consumers and unencumbered by intellectual-property restraints.

Kenya Agricultural Research Institute, Nairobi, Kenya: \$151,800 for use by its Mtawala Regional Research Centre for the development and dissemination of superior cassava cultivars.

Kenya Agricultural Research Institute, Nairobi, Kenya: \$235,457 for research on incorporating *Striga* resistance genes from *Tritsacum* into locally adapted maize germplasm in Kenya.

Kenya Agricultural Research Institute, Nairobi, Kenya: \$36,000 for use by its Applied Biotechnology Laboratory toward the costs of research on marker-assisted selection and other molecular-biology work.

Kenya Agricultural Research Institute, Nairobi, Kenya: \$88,000 for research to improve seed-based technology through molecular marker-assisted selection in maize.

Michigan State University, East Lansing, Michigan: \$300,000 toward the costs of assessing the cost-effectiveness of a crop-based strategy for sustainably reducing micronutrient malnutrition in poor people of rural Mozambique.

Ministry of Lands, Agriculture and Rural Resettlement, Zimbabwe, Harare, Zimbabwe: \$98,175 for use by its Department of Research and Specialist Services toward the costs of using farmer-participatory methods to develop and disseminate improved varieties of cowpeas capable of growing on acidic soil in Zimbabwe.

National Agricultural Research Organisation, Entebbe, Uganda: \$188,439 for research to improve the insect resistance, disease resistance and protein quality of maize varieties in Uganda.

National Agricultural Research Organisation, Entebbe, Uganda: \$205,100 for research on improving the production of East African highland bananas through conventional breeding and genetic engineering.

Purdue University, West Lafayette, Indiana: \$20,000 toward the costs of a workshop on the genetic transformation of cowpeas, held in September 2002.

University of Natal, Durban, South Africa: \$1,143,560 for use by its African Center for Crop Improvement to provide 20 young scientists from eastern and southern Africa with Ph.D. training in modern crop breeding (joint with African Regional Program).

West Africa Rice Development Association, Bouaké, Ivory Coast: \$400,000 toward the costs of setting up a consortium to broaden the dissemination and adoption of the New Rice for Africa varieties and complementary technologies.

West Africa Rice Development Association, Bouaké, Ivory Coast: \$50,000 toward the costs of a meeting to launch the African Rice Initiative, which is designed to disseminate new rice varieties to African farmers, held in the Ivory Coast, March 2002.

PRODUCING MORE RESILIENT CROPS

Cornell University, Ithaca, New York: \$99,990 toward the costs of a study to identify fertility restorer genes in rice to facilitate the production of hybrid rice.

Cuu Long Delta Rice Research Institute, Ormon, Vietnam: \$10,000 toward the costs of a workshop on the use of molecular markers for more effective rice breeding, held at the Institute in October 2002.

Donald Danforth Plant Science Center, St. Louis, Missouri: \$2,409 toward the costs of the Fifth International Meeting of the Cassava Biotechnology Network: Constraints and Solutions for Improving Cassava Productivity, held in St. Louis, November 2001.

Donald Danforth Plant Science Center, St. Louis, Missouri: \$44,000 toward the costs of a meeting focusing on Development of a Global Plan for Cassava Improvement, held at the Bellagio Study and Conference Center, Italy, October 2002.

Foundation-administered project: \$50,000 toward the costs of engaging consultants to assist in assessing the program on producing more resilient crops in Southeast Asia and to provide insight for the program's continued development.

Fudan University, Shanghai, China: \$25,000 for use by its Institute of Biodiversity Science to carry out a bioinformatics project that will compare the map locations of quantitative trait loci for drought tolerance with those of known candidate genes for drought tolerance.

Gordon Research Conferences, West Kingston, Rhode Island: \$5,000 toward the costs of attendance by postdoctoral scientists and graduate students from developing countries at the Gordon Research Conference on the Cellular Basis of Adaptation to Salt and Water Stress in Plants, held at Queen's College, Oxford University, Oxford, England, July 2002.

Huazhong Agricultural University, Wuhan, Hubei, China: \$15,000 toward the costs of participation of scientists from Asian countries in the First International Symposium on Genomics and Crop Genetic Improvement, held in Wuhan, China, September 2002.

International Rice Research Institute, Metro Manila, Philippines: \$1,514,544 for research and training projects leading to the genetic improvement of rice for drought-prone regions of Asia and Africa.

International Rice Research Institute, Metro Manila, Philippines: \$50,000 toward the costs of participation of scientists from developing countries in the International Rice Congress, held in Beijing, China, September 2002.

International Water Management Institute, Colombo, Sri Lanka: \$16,500 toward the costs of a workshop to plan research on assessing the impact of



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Kenya

Farmers, western Kenya. Foundation research is aimed, in part, at developing new crop varieties for the specific conditions under which poor people farm.

degradation of land and water resources on food security in Southeast Asia and monitoring rehabilitation efforts.

Kasetsart University, Bangkok, Thailand: \$221,605 to enable scientists from Thailand to pursue master's and doctoral fellowships at the University on the use of molecular markers to assist in rice breeding.

Khon Kaen University, Khon Kaen, Thailand: \$54,000 for use by its Department of Agricultural Economics for research on drought-related coping strategies of farmers in northeast Thailand and for the development of new technologies to decrease the impact of drought on farming communities.

Narendra Deva University of Agriculture and Technology, Faizabad, India: \$218,460 to improve its physical and

human-resources capacity for studies on drought tolerance in rice.

Punjab Agricultural University, Ludhiana, India: \$2,011 toward the costs of research on molecular marker-aided selection for the transfer of bacterial blight-resistance genes into rice.

Purdue University, West Lafayette, Indiana: \$7,000 toward the costs of a symposium on plant abiotic stress-tolerance genes, held in Jinan, Shandong, China, fall 2002.

Purdue University, West Lafayette, Indiana: \$22,500 toward the costs of a symposium on plant abiotic stress tolerance genes held in Jinan, Shandong, China, fall 2002.

Rice Research Institute, Bangkok, Thailand: \$118,415 to improve access to information for rice researchers at the Institute and to facilitate communication among themselves and others.



The grants listed here

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| <p>Rice Research Institute, Bangkok Thailand \$550,030 for use by its Ubon Rachathani Rice Research Center and its Phrae Rice Research Center to enable rice scientists and farmers of rainfed rice to collaborate on breeding improved rice varieties specifically adapted for northern Thailand</p> | <p>Cornell University, Ithaca, New York \$60,000 for use by its International Institute for Food Agriculture and Development toward the costs of an international conference on systems of intensified rice production held in China, March 2002</p> | <p>Laboratories to continue the activities of the Legume Research Network Project to develop low-cost and sustainable technologies for increasing crop production in Kenya</p> |
| <p>Tamil Nadu Agricultural University, Coimbatore India \$144,325 for large-scale screening for drought tolerance in landraces and improved genotypes of rice from India and from the germplasm collection of the International Rice Research Institute, Philippines</p> | <p>Environmental Action Team, Kitale Kenya \$257,741 toward the costs of improving smallholder food production in western Kenya through adaptive research and extension of integrated nutrient management methods</p> | <p>Makerere University, Kampala, Uganda \$10,000 toward the costs of convening the 20th conference of the Soil Science Society of East Africa held in Tororo Uganda December 2002</p> |
| <p>Tamil Nadu Agricultural University, Coimbatore India \$15,000 toward the costs of an international symposium on the use of molecular approaches to improve the productivity and quality of food crops, held in India May 2002</p> | <p>FARM-Africa, London England \$406,884 to establish a fund to foster innovative partnerships and the development of effective mechanisms to transfer viable agricultural technologies to smallholder farmers in East Africa</p> | <p>Sustainable Agriculture Centre for Research and Development in Africa, Bungoma Kenya \$9,792 toward the costs of printing and distributing the book 'Laboratory Methods of Soil and Plant Analysis' to faculties of agriculture and laboratories of national research organizations in eastern and southern Africa</p> |
| <p>Tamil Nadu Agricultural University, Coimbatore India \$40,645 for use by its Center for Agriculture and Rural Development Studies for research on drought-related coping mechanisms of farmers in Tamil Nadu state and for the development of new technologies to decrease the impact of drought on farming communities</p> | <p>International Centre for Research in Agroforestry, Nairobi Kenya \$12,000 toward the costs of publishing a book entitled 'Rocks for Crops Geological Nutrient Resources in Sub-Saharan Africa'</p> | <p>Sustainable Agriculture Centre for Research and Development in Africa, Bungoma Kenya \$169,871 for on-farm testing of selected technologies for improved soil fertility management in western Kenya</p> |
| <p>University of Georgia, Athens Georgia \$99,092 for research and training in collaboration with Narendra Deva University of Agriculture and Technology, Faizabad India on the use of DNA molecular marker-assisted breeding for drought tolerance in rice</p> | <p>International Centre of Insect Physiology and Ecology, Nairobi Kenya \$91,238 for an evaluation of the Center's social-science research related to integrated pest management</p> | <p>Sustainable Agriculture Centre for Research and Development in Africa, Bungoma Kenya \$65,401 toward the costs of preparing a publication and maintaining a Web site on organic-resource management and for convening two symposia on organic-resource management technologies held in Kenya in 2002</p> |
| <p>University of Ottawa, Ottawa Ontario Canada \$300,000 toward the costs of research to optimize insect resistance in sorghum cowpea maize and rice and of transferring the technology to agricultural-research organizations in Africa</p> | <p>Kenya Agricultural Research Institute, Nairobi, Kenya \$141,190 for use by its National Agricultural Research Centre at Muguga to continue research on the integrated use of manure with modest applications of inorganic fertilizers and the effects of this treatment on soil properties and maize production in the central Kenya highlands</p> | <p>Tropical Soil Biology and Fertility Programme, Nairobi, Kenya \$9,000 to enable scientists from East and southern Africa to attend a conference on African soil fertility degradation, held at the Bellagio Study and Conference Center, Italy March 2002</p> |
| <p>University of Queensland, St. Lucia Brisbane Australia \$75,000 toward the costs of a research and extension network to provide farm communities in three Mekong-region countries with drought-resistant varieties of rice</p> | <p>Kenya Agricultural Research Institute, Nairobi, Kenya \$40,910 for use by its Embu Regional Research Centre for the continuation of long-term research on soil organic matter modeling in eastern Kenya</p> | <p>Tropical Soil Biology and Fertility Programme, Nairobi, Kenya \$181,000 for use by its African Network for Soil Biology and Fertility to continue research to address the problem of soil nutrient depletion facing smallholder farmers in West Africa</p> |
| <p>West Africa Rice Development Association, Bouaké Ivory Coast \$50,000 for research on the development of molecular markers for resistance to rice yellow mottle virus and other pathogens</p> | <p>Kenya Agricultural Research Institute, Nairobi, Kenya \$60,780 for use by its Mtawpa Regional Research Centre to increase smallholder food production through improved soil and water management and the integration of livestock into the cropping systems of the coastal regions of Kenya</p> | <p>University of Zimbabwe, Harare Zimbabwe \$87,197 for research on managing soil organic matter for improved nutrient-use efficiency on smallholder farms in Zimbabwe</p> |
| <p>RESTORING AND MAINTAINING AFRICAN SOIL FERTILITY</p> | <p>Kenya Agricultural Research Institute, Nairobi, Kenya \$64,740 toward the costs of a workshop on Increasing Mucuna's Potential as a Food and Feed Crop held in Mombasa Kenya September 2002</p> | <p>World Agroforestry Centre, Nairobi Kenya \$50,000 toward the costs of a regional conference entitled, Agroforestry Impacts on Livelihoods in Southern Africa held in Johannesburg South Africa May 2002</p> |
| <p>Africa 2000 Network-Uganda, Kampala Uganda \$221,450 for research on the use of Farmer Field Schools to strengthen management skills and decision-making capacity of farming communities and service providers to overcome limitations of soil productivity</p> | <p>Kenya Agricultural Research Institute, Nairobi, Kenya \$98,000 for use by its National Dryland Farming Research Centre to develop strategies for increased crop production through sustainable land- and water-management practices</p> | <p>World Agroforestry Centre, Nairobi, Kenya \$61,766 for research on monitoring and sustainably managing nutrients in agricultural lands converted from forests</p> |

Enabling Farmer Participation

ASSESSING AND

IMPROVING PARTICIPATORY TOOLS AND METHODOLOGIES

International Potato Center, Lima, Peru: \$19,320 toward the costs of a study tour for experts and practitioners from South-east Asia, Africa and Latin America to examine farmer field schools in Indonesia.

International Potato Center, Lima, Peru: \$90,000 toward the costs of an international workshop focusing on applying and adapting the farmer field schools approach to various agroecological, sociocultural and economic situations.

University of Greenwich, London, England: \$35,000 for use by its Natural Resources Institute toward the costs of publishing a book on participatory technology-development research addressing the needs of resource-poor farmers and livestock keepers.

NEW CURRICULA FOR TRANSFORMING THE INNOVATION PARADIGM

Makerere University, Kampala, Uganda: \$136,037 toward the costs of a pilot training program for improved research and teaching skills of Faculty of Agriculture staff members.

Makerere University, Kampala, Uganda: \$92,555 for use by its Department of Agricultural Extension Education to

strengthen training for professional extension staff and to revitalize the Uganda Association of Agricultural Extension and Education as a means for exchanging extension-related information.

University of Malawi, Zomba, Malawi: \$14,438 for use by its Bunda College of Agriculture to conduct a review of curricula of postgraduate programs offered at the College.

University of Nairobi, Nairobi, Kenya: \$20,700 for use by its Faculty of Agriculture toward the costs of planning for curriculum and staff development to meet the changing needs of the agricultural sector

University of Zimbabwe, Harare, Zimbabwe: \$16,130 for use by its Faculty of Agriculture toward the costs of planning for curricula development and transformation to meet the changing needs of the agricultural sector

NEW PARTNERSHIPS TO STRENGTHEN THE ROLES OF FARMERS AND RESEARCHERS

International Center for Tropical Agriculture, Cali, Colombia: \$327,100 toward the costs of developing a process for organizing and institutionalizing farmer participatory-research activities.

International Centre for Research in Agroforestry, Nairobi, Kenya: \$78,750 to enable a consortium of over 60 organizations in western Kenya to broaden the adoption of soil fertility technologies in the region.

Ministry of Agriculture and Rural Development, Kenya, Nairobi, Kenya: \$27,966 for use by its Coast Development Authority for research by its staff member Benjamin Mweri, leading to a Master of Science degree in Agricultural Extension and Education, on the effectiveness of farmer field schools in the dissemination of technologies among smallholder farmers in coastal Kenya.

Network for Studies on Rural Development, Oaxaca, Mexico: \$305,000 for a pilot project to develop and demonstrate methods for strengthening community organization and local capacity for innovation among resource-poor farmers in communities in Oaxaca, Mexico, that have high migration rates to the United States (joint with Global Inclusion).

Wageningen University, Wageningen, Netherlands: \$585,150 to enable a second cohort of doctoral candidates to participate in a training and research program aimed at understanding and enhancing the role of developing-country smallholder farmers in agricultural innovation and technology dissemination.

Wageningen University, Wageningen, Netherlands: \$61,200 toward the costs of research and training in farmer participatory research for doctoral candidates enrolled in the University's program, Participatory Approaches and Upscaling.

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Ghana

Sub-Saharan Africans' diets are highly dependent on staple crops including maize, rice, cassava, sweet potatoes and bananas.

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| Global Plant Biotechnology Dialogues (Shared Programming) | livelihoods of small-scale farmers in Mexico (joint with Global Inclusion) | Chiang Mai University, Chiang Mai, Thailand \$100,000 for use by its Faculty of Agriculture for research on participatory plant breeding and toward the costs of its Master of Science in Agricultural Systems degree program |
| bioDevelopments-International Institute, Ithaca New York \$29 900 toward the costs of establishing and operating IP Strategy Today, an electronic discussion-paper series about managing the intellectual property of biotechnology for international development (joint with Global Inclusion) | International Service for the Acquisition of Agri-Biotech Applications, Ithaca, New York \$285,000 for use by its Global Knowledge Center on Crop Biotechnology to continue to facilitate a dialogue that will enable policymakers and scientists responsible for crop biotechnology in developing countries to better understand the position and concerns of all sides in the global debate on this topic (joint with Global Inclusion) | Cornell University, Ithaca New York \$36 000 toward the costs of providing base or update sets of The Essential Agricultural Library to five African institutions |
| bioDevelopments-International Institute, Ithaca New York \$34 500 to enable scientists from Asia and Africa to participate in a training program on intellectual property rights and international agricultural research and development held in Washington D C April/May 2002 (joint with Global Inclusion) | Ministry for Environment and Homeland, Côte d'Ivoire, Abidjan, Ivory Coast \$12 000 for use by its National Agency for Environment toward the cost of a national seminar on the adoption of biosafety guidelines in Ivory Coast held March 2002 (joint with Global Inclusion) | Cornell University, Ithaca New York \$52 800 for research and training of an interdisciplinary cohort of fellows from eastern and southern Africa at the doctoral level in topics related to integrated nutrient management for Africa |
| bioDevelopments-International Institute, Ithaca New York \$35 000 to develop a software program that will significantly facilitate technology transfer in the developing world (joint with Global Inclusion) | National Center for Genetic Engineering and Biotechnology, Bangkok Thailand \$20 000 toward the costs of an international conference on intellectual property management, held in Bangkok, September 2002 (joint with Global Inclusion) | Foundation-administered project: \$60 000 toward the costs of engaging consultants and convening meetings to assist the officers in developing programs to strengthen agricultural research and development with an emphasis on local and national capacity building in sub-Saharan Africa |
| Environmental Law Institute, Washington D C \$200 000 toward the costs of preparing and disseminating a comprehensive reference work on access to genetic resources in Africa (joint with Global Inclusion) | North Carolina State University, Raleigh, North Carolina \$15 000 toward the costs of a workshop to assess options for managing the risk of bollworms developing resistance to insect resistance genes used in transgenic cotton in China held Spring 2002 (joint with Global Inclusion) | Foundation-administered project: \$85,000 toward the costs of consultants to advise on the transition of management of the Foundation's program, Forum on Agricultural Resource Husbandry in Sub-Saharan Africa to an African institution |
| Foundation-administered project: \$1,000 000 toward the costs of establishing the African Agricultural Technology Foundation, an organization that will help African research institutions access and deploy proprietary agricultural technologies (joint with Global Inclusion) | Regents of the University of California, San Francisco, California \$319 403 toward the costs of developing a collaborative database for public sector intellectual-property resources in agricultural biotechnology (joint with Global Inclusion) | Foundation-administered project: \$95,000 for administrative costs associated with managing the Foundation's program, Forum on Agricultural Resource Husbandry in Sub-Saharan Africa |
| Foundation-administered project: \$50 000 toward costs associated with consultants and advisory meetings for the development of an initiative for public sector intellectual-property management (joint with Global Inclusion) | Strengthening Policies and Institutions | Foundation-administered project. \$100 000 toward the costs of facilitating the transfer of management of the Foundation's program, Forum on Agricultural Resource Husbandry in Sub-Saharan Africa to an African institution |
| Foundation-administered project: \$955,872 toward the costs of launching two intellectual property rights management entities that will facilitate public sector access to new agricultural technologies particularly in Africa (joint with Global Inclusion) | BUILDING NATIONAL AND LOCAL CAPACITY | Fund for the International Conference of Agricultural Economists, Oak Brook Illinois \$75 000 to enable agricultural economists from sub-Saharan Africa to attend the 25th International Conference of Agricultural Economists to be held in Durban South Africa August 2003 and to facilitate their linkage to the international agricultural economics community |
| International Center for Agricultural Research in Dry Areas, Aleppo Syria \$54 000 toward the costs of participation of scientists from Asia and Africa in the conference Biotechnology and Sustainable Development Voices of the South and North, held in Alexandria Egypt March 2002 (joint with Global Inclusion) | African Crop Science Society, Rustenburg, South Africa \$37 026 toward the costs of organizing and convening The Sixth African Crop Science Society Conference to be held in Nairobi Kenya October 2003 | Susan Tinkishaba Ikerra, Morogoro Tanzania \$13,400 for research on the use of phosphate rock combined with organic materials to improve soil fertility and increase maize yields in Tanzania |
| International Maize and Wheat Improvement Center, Mexico City Mexico \$100,000 toward the costs of a study to determine how gene flow including the flow of transgenes affects traditional maize landraces in order to elucidate the impact of gene flow on the | Agricultural Research Council, South Africa, Pretoria, South Africa \$11 000 for use by its Institute for Industrial Crops to enable five African scientists to attend the Third International Bacterial Wilt Symposium held in White River, South Africa February 2002 | International Food Policy Research Institute, Washington D C \$400 000 for the development of a regional collaborative master's degree program in Agricultural Economics with local universities in eastern and southern Africa |
| | Agricultural Research Council, South Africa, Pretoria, South Africa \$8 000 for use by its Institute for Industrial Crops to enable African scientists to participate in the Third World Cotton Research Conference held in Cape Town South Africa, March 2003 | Kenya University, Nairobi Kenya \$2 500 for use by its Faculty of Science to further the development of its programs |



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| Kenya University , Nairobi Kenya \$5 000 for use by its Faculty of Science to further the development of its programs | agricultural knowledge and information in Uganda | travel for African scientists to attend the Plant and Animal Genome XI meeting held in San Diego, California January 2003 |
| Kenya University , Nairobi Kenya \$62,527 to provide field training for African graduate students in the agricultural sciences and for research on the taxonomy, distribution and uses of some members of the genus <i>Sansevieria</i> in Kenya | Makerere University , Kampala Uganda \$96 760 to provide field training for African graduate students in management of sweet potato pests and diseases in Uganda | University of Nairobi , Nairobi, Kenya \$7 500 for use by its Faculty of Agriculture to further the development of its programs |
| Makerere University , Kampala Uganda \$10,000 toward the costs of publication and dissemination of the proceedings of the Fifth African Crop Science Conference | Ministry of Agriculture and Co-operatives, Swaziland , Mbabane, Swaziland \$12 800 for the development of a framework for improving the coordination of maize marketing and pricing for smallholder farmers in Swaziland | University of Nairobi , Nairobi, Kenya \$70 075 to provide training for African graduate students in the agricultural sciences and to develop economically viable integrated pest-management strategies to control sweet potato weevils in eastern Kenya |
| Makerere University , Kampala Uganda \$15 000 for use by its Faculty of Agriculture to further the development of its programs | Moi University , Eldoret Kenya \$2 500 for use by its Faculty of Agriculture to further the development of its programs | University of Nairobi , Nairobi Kenya \$72 703 to provide field training for African graduate students in the agricultural sciences and for research on the economic competitiveness of alternative soil fertility management technologies and the extent to which they are adopted by smallholder farmers in western Kenya |
| Makerere University , Kampala Uganda \$17 500 for use by the Faculty of Agriculture to further the development of its programs | Moi University , Eldoret Kenya \$5 000 toward the costs of a workshop for its faculty on competitive research proposal writing | University of Nairobi , Nairobi Kenya \$87 197 for use by its Department of Agricultural Economics toward the costs of modernizing its library providing training in bio economics for one of its faculty and conducting a social historical analysis of poverty in Kenya |
| Makerere University , Kampala Uganda \$176 638 toward the costs of an institutional transformation planning process to consolidate constituent faculties into a college of agriculture and natural resource management | University of Malawi , Zomba Malawi \$11 500 for use by its Bunda College of Agriculture to enhance the teaching of biometry at the undergraduate and postgraduate level | University of Nairobi , Nairobi Kenya \$87 290 to enhance the teaching of biometrics in selected faculties of agriculture in universities in sub-Saharan Africa by developing sustainable and focused strategies for training and skill development |
| Makerere University , Kampala Uganda \$202 812 toward the costs of the fifth regional meeting of the Foundation funded program Forum on Agricultural Resource Husbandry in Sub-Saharan Africa held in Kampala Uganda in 2002 | University of Malawi , Zomba Malawi \$20 092 for use by its Bunda College of Agriculture to publish reference books for graduate training in agricultural economics and rural development | University of Zimbabwe , Harare Zimbabwe \$40 000 for use by its Department of Agricultural Economics and Extension for its Regional Master's Program in Agricultural Economics to upgrade and refurbish the computer laboratory teaching and research facility |
| Makerere University , Kampala Uganda \$5 000 toward the costs of a workshop on Integrated Pest Management for Sub Saharan Africa held in Kampala Uganda September 2002 | University of Malawi , Zomba Malawi \$5 000 for use by its Bunda College of Agriculture to conduct a preliminary study on the control of <i>Striga</i> through the use of multipurpose trees and shrubs | University of Zimbabwe , Harare Zimbabwe \$58,170 to provide field training for African graduate students in the agricultural sciences and for research on increasing crop yield through improved weed management in smallholder intercropping systems |
| Makerere University , Kampala Uganda \$69 700 to provide field training for African graduate students in the agricultural sciences and for research on the use of cattle manure to increase the productivity of peri-urban smallholder crop/livestock production systems | University of Malawi , Zomba Malawi \$5 000 for use by its Bunda College of Agriculture for a preparatory study for research on the effects of HIV/AIDS on young people in the agricultural sector | University of Zimbabwe , Harare Zimbabwe \$62,500 to provide field training for African graduate students in the agricultural sciences and for research on the use of soybeans and soybean products to enhance nutrition in livestock |
| Makerere University , Kampala Uganda \$73 370 to provide field training for African graduate students in the agricultural sciences and for research to evaluate the resistance of local and improved cassava varieties to cassava mosaic virus disease in Uganda | University of Malawi , Zomba Malawi \$5,446 for use by its Bunda College of Agriculture toward the costs of publishing the proceedings of the Second African Crop Science Society Conference | University of Zimbabwe , Harare Zimbabwe \$64,113 to provide field training for African graduate students in the agricultural sciences and for research on improving bean seed quality on small-holders farms by managing seed borne diseases caused by bacteria and fungi |
| Makerere University , Kampala Uganda \$74 285 to provide field training for African graduate students in the agricultural sciences and for research on the impact of improved access to rural financial markets on household welfare in Uganda | University of Malawi , Zomba Malawi \$69 800 for use by its Chancellor College to provide field training for African graduate students in the agricultural sciences and to characterize assess and conserve the genetic diversity of yam germplasm in Malawi | |
| Makerere University , Kampala Uganda \$75 504 to provide field training for African graduate students in the agricultural sciences and to assess the effectiveness of smallholder farmers' access to | University of Missouri-Columbia , Columbia, Missouri \$10 000 for use by its College of Agriculture Food and Natural Resources toward the costs of | |

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| Kenya University , Nairobi, Kenya: \$5,000 for use by its Faculty of Science to further the development of its programs. | agricultural knowledge and information in Uganda. | travel for African scientists to attend the Plant and Animal Genome XI meeting, held in San Diego, California, January 2003. |
| Kenya University , Nairobi, Kenya: \$62,527 to provide field training for African graduate students in the agricultural sciences and for research on the taxonomy, distribution and uses of some members of the genus <i>Sansevieria</i> in Kenya. | Makerere University , Kampala, Uganda: \$96,760 to provide field training for African graduate students in management of sweet potato pests and diseases in Uganda. | University of Nairobi , Nairobi, Kenya: \$7,500 for use by its Faculty of Agriculture to further the development of its programs. |
| Makerere University , Kampala, Uganda: \$10,000 toward the costs of publication and dissemination of the proceedings of the Fifth African Crop Science Conference. | Ministry of Agriculture and Co-operatives, Swaziland , Mbabane, Swaziland: \$12,800 for the development of a framework for improving the coordination of maize marketing and pricing for smallholder farmers in Swaziland. | University of Nairobi , Nairobi, Kenya: \$70,075 to provide training for African graduate students in the agricultural sciences and to develop economically viable integrated pest-management strategies to control sweet potato weevils in eastern Kenya. |
| Makerere University , Kampala, Uganda: \$15,000 for use by its Faculty of Agriculture to further the development of its programs. | Moi University , Eldoret, Kenya: \$2,500 for use by its Faculty of Agriculture to further the development of its programs. | University of Nairobi , Nairobi, Kenya: \$72,703 to provide field training for African graduate students in the agricultural sciences and for research on the economic competitiveness of alternative soil fertility management technologies and the extent to which they are adopted by smallholder farmers in western Kenya. |
| Makerere University , Kampala, Uganda: \$17,500 for use by the Faculty of Agriculture to further the development of its programs. | Moi University , Eldoret, Kenya: \$5,000 toward the costs of a workshop for its faculty on competitive research-proposal writing. | University of Nairobi , Nairobi, Kenya: \$87,197 for use by its Department of Agricultural Economics toward the costs of modernizing its library, providing training in bio-economics for one of its faculty and conducting a social-historical analysis of poverty in Kenya. |
| Makerere University , Kampala, Uganda: \$176,638 toward the costs of an institutional transformation planning process to consolidate constituent faculties into a college of agriculture and natural-resource management. | University of Malawi , Zomba, Malawi: \$11,500 for use by its Bunda College of Agriculture to enhance the teaching of biometry at the undergraduate and postgraduate level. | University of Nairobi , Nairobi, Kenya: \$87,290 to enhance the teaching of biometrics in selected faculties of agriculture in universities in sub-Saharan Africa by developing sustainable and focused strategies for training and skill development. |
| Makerere University , Kampala, Uganda: \$202,812 toward the costs of the fifth regional meeting of the Foundation-funded program, Forum on Agricultural Resource Husbandry in Sub-Saharan Africa, held in Kampala, Uganda, in 2002. | University of Malawi , Zomba, Malawi: \$20,092 for use by its Bunda College of Agriculture to publish reference books for graduate training in agricultural economics and rural development. | University of Zimbabwe , Harare, Zimbabwe: \$40,000 for use by its Department of Agricultural Economics and Extension for its Regional Master's Program in Agricultural Economics to upgrade and refurbish the computer laboratory teaching and research facility. |
| Makerere University , Kampala, Uganda: \$5,000 toward the costs of a workshop on Integrated Pest Management for Sub-Saharan Africa, held in Kampala, Uganda, September 2002. | University of Malawi , Zomba, Malawi: \$5,000 for use by its Bunda College of Agriculture for a preliminary farm-level study of economic and social issues related to forest conservation and marketing of forest products in southern Malawi. | University of Zimbabwe , Harare, Zimbabwe: \$58,170 to provide field training for African graduate students in the agricultural sciences and for research on increasing crop yield through improved weed management in smallholder intercropping systems. |
| Makerere University , Kampala, Uganda: \$69,700 to provide field training for African graduate students in the agricultural sciences and for research on the use of cattle manure to increase the productivity of peri-urban, smallholder crop/livestock-production systems. | University of Malawi , Zomba, Malawi: \$5,000 for use by its Bunda College of Agriculture to conduct a preliminary study on the control of <i>Striga</i> through the use of multipurpose trees and shrubs. | University of Zimbabwe , Harare, Zimbabwe: \$62,500 to provide field training for African graduate students in the agricultural sciences and for research on the use of soybeans and soybean products to enhance nutrition in livestock. |
| Makerere University , Kampala, Uganda: \$73,370 to provide field training for African graduate students in the agricultural sciences and for research to evaluate the resistance of local and improved cassava varieties to cassava mosaic virus disease in Uganda. | University of Malawi , Zomba, Malawi: \$5,000 for use by its Bunda College of Agriculture for a preparatory study for research on the effects of HIV/AIDS on young people in the agricultural sector. | University of Zimbabwe , Harare, Zimbabwe: \$64,113 to provide field training for African graduate students in the agricultural sciences and for research on improving bean seed quality on smallholders' farms by managing seed-borne diseases caused by bacteria and fungi. |
| Makerere University , Kampala, Uganda: \$74,285 to provide field training for African graduate students in the agricultural sciences and for research on the impact of improved access to rural financial markets on household welfare in Uganda. | University of Malawi , Zomba, Malawi: \$5,446 for use by its Bunda College of Agriculture toward the costs of publishing the proceedings of the Second African Crop Science Society Conference. | |
| Makerere University , Kampala, Uganda: \$75,504 to provide field training for African graduate students in the agricultural sciences and to assess the effectiveness of smallholder farmers' access to | University of Malawi , Zomba, Malawi: \$69,800 for use by its Chancellor College to provide field training for African graduate students in the agricultural sciences and to characterize, assess and conserve the genetic diversity of yam germplasm in Malawi. | |
| | University of Missouri-Columbia , Columbia, Missouri: \$10,000 for use by its College of Agriculture, Food and Natural Resources toward the costs of | |



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| <p>University of Zimbabwe, Harare, Zimbabwe \$64,995 to provide field training for African graduate students in the agricultural sciences and for research on improving the productivity of traditional leafy vegetables on smallholder farms in Zimbabwe</p> <p>University of Zimbabwe, Harare, Zimbabwe \$66,608 to provide field training for African graduate students in the agricultural sciences and for research on soybean varieties and on improving soybean production in maize/legume intercropping systems</p> <p>University of Zimbabwe, Harare, Zimbabwe \$67,322 to provide field training for African graduate students in the agricultural sciences and for research on the effects of cultural weed management practices on maize yields in Zimbabwe</p> <p>University of Zimbabwe, Harare, Zimbabwe \$68,985 for on-farm testing and dissemination of crop- and soil-improvement technologies developed by the Chinyika Integrated Crop Management Research project</p> <p>Wageningen University, Wageningen, Netherlands \$26,220 to develop interactive computer-based teaching tools on biological nitrogen fixation for use by African universities</p> <p>Zambia Seed Company Ltd., Lusaka, Zambia \$2,780 for the acquisition of an agricultural statistical software database for use in the analysis of data collected under a maize breeding project</p> | <p>International Food Policy Research Institute, Washington, D C \$79,646 toward the costs of a workshop bringing together researchers, practitioners and donors of the Consultative Group on International Agricultural Research to develop a strategy for linking impact assessment to institutional learning and change</p> <p>International Institute of Tropical Agriculture, Ibadan, Nigeria \$100,000 for the development of a markets strategy program Private Enterprise Support Activities, to accelerate commercialization, competitiveness and wealth generation in selected agricultural markets in eastern and southern Africa</p> <p>International Maize and Wheat Improvement Center, Mexico City, Mexico \$480,000 toward the costs of strengthening the participation of the East African national agricultural research systems in a Pan-African program to develop stress-tolerant maize varieties and improved cropping systems</p> <p>STRENGTHENING NATIONAL PLATFORMS FOR FOOD SECURITY</p> | <p>new strategy and work plan to guide future work</p> <p>Foundation-administered project: \$175,000 toward the costs of engaging consultants and convening meetings to assist the Foundation in planning and establishing a master's degree program in agricultural economics in Africa and a postdoctoral fellowship program in African food policy and markets development</p> <p>International Food Policy Research Institute, Washington, D C \$63,674 toward the costs of research and planning for a project to strengthen agricultural markets in eastern Africa through the development of policies and institutions that improve markets access and incomes for poor farmers</p> <p>International Maize and Wheat Improvement Center, Mexico City, Mexico \$329,800 toward the costs of developing a strategy for using market incentives to improve the production and distribution of newly developed drought- and low-nitrogen-tolerant maize and for evaluating the impacts of these varieties on the food security and incomes of smallholder farmers in southern Africa</p> <p>International Service for National Agricultural Research, The Hague, Netherlands \$86,340 for an evaluation of options for effective restructuring of national agricultural-research systems in Africa</p> <p>Kenya Agricultural Commodity Exchange, Nairobi, Kenya \$299,199 for the development of market information and commodity-exchange systems aimed at raising the incomes of poor farmers in western Kenya by linking them to input and output markets</p> <p>Ministry of Agriculture and Food Security, Tanzania, Dar-es-Salaam, Tanzania \$30,000 for use by its Department of Research and Development toward the costs of preparing a medium-term plan for the development of Tanzania's national agricultural-research system</p> <p>Sustainable Agriculture Centre for Research and Development in Africa, Bungoma, Kenya \$290,607 for the development and pilot testing of a prototype cereal-banking system for collective grain storage, bulking and marketing of maize by poor farmers in western Kenya</p> <p>University of Malawi, Zomba, Malawi \$300,000 for a University-based Initiative for Development and Equity in African Agriculture (IDEAA) project in Malawi designed to raise the income of poor farmers by improving production, processing and marketing of cassava</p> |
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University of Oxford, Oxford, England \$27,500 for use by its International Development Centre for field research on the efficiency and equity of contract farming as it affects the incomes of poor farmers growing food and cash crops in Kenya

University of Zimbabwe, Harare, Zimbabwe \$34,800 for use by its Department of Agricultural Economics and Extension to conduct research on the market feasibility of a phospho-compost soil fertility management technology for poor farmers in Zimbabwe

University of Zimbabwe, Harare, Zimbabwe \$500,000 toward the costs of the University-based Initiative for Development and Equity in African Agriculture (IDEAA), a regional program in southern Africa designed to improve incomes of poor farmers through the production, processing and marketing of agricultural commodities

Fellowships

Agnes Madrida Abera, Kampala, Uganda \$16,952 for a second fellowship research allocation for dissertation research in Uganda as part of a doctoral program in entomology at the Department of Entomology, University of Massachusetts, Amherst

Marjatta Ellittä, Washington, D C \$14,200 for a postdoctoral fellowship to continue work to compile and circulate information on green-manure cover crop systems, and to facilitate expansion of the use of Mucuna, a common green-manure cover crop in Africa and Latin America

African Career Awards

Mary Christine Akemo, Kampala, Uganda \$33,964 for an African Career Award to enable her to undertake postdoctoral research at Kawanda Agricultural Research Institute on potential cover crops for weed control in annual and perennial horticultural crop production in Uganda

George Odwar Ayaga, Nairobi, Kenya \$34,000 for an African Career Award to enable him to conduct postdoctoral research at the Kenya Agricultural Research Institute on the long-term impact of land management on soil fertility in Kenya

Callistus Ogot, Nairobi, Kenya \$34,000 for an African Career Award to enable him to conduct postdoctoral research at Kenyatta University on conservation of the biological resources of Mfangano Island, Kenya



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2002 Grants
Food Security

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| India | When people lack the calories and micronutrients such as iron and vitamin A that enable the body to develop, the lifelong effects can be devastating. |
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| Dick Sserunkuma, Kampala, Uganda: \$34,000 for an African Career Award to enable him to conduct postdoctoral research at Makerere University on the determinants and impacts of farmers' choice of land-management techniques in maize-based production systems in Uganda | Foundation-administered project: \$31,000 toward the costs of commissioning a study of Mozambican agriculture that will be used in developing a new program structure for the Foundation's Food Security Theme |
| Explorations | Foundation-administered project: \$33,000 toward the costs of commissioning a study of Malawian agriculture that will be used in developing a new program structure for the Foundation's Food Security Theme |
| Foundation-administered project: \$25,000 toward the costs of formulating an area of work focused on food security in the Greater Mekong Sub-region of Southeast Asia | Foundation-administered project: \$35,000 toward the costs of commissioning a study of Ugandan agriculture that will be used in developing a new program structure for the Foundation's Food Security Theme |
| Foundation-administered project: \$30,000 toward the costs of commissioning a study of Kenyan agriculture that will be used in developing a new program structure for the Foundation's Food Security Theme | Foundation-administered project: \$50,000 toward the cost of developing an area of work focused on markets for increased farmer incomes in Africa |

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| Theme Health Equity | <p>private partnerships for health, which focus on product development for diseases that affect poor people in developing countries</p> | <p>Foundation-administered project: \$96 000 to continue a study of the feasibility of a public/private partnership to accelerate the development of a dengue vaccine for poor children</p> |
| Harnessing the New Sciences | | REPRODUCTIVE HEALTH TECHNOLOGIES |
| MEDICINES | | <p>Alliance for Microbicide Development, Silver Spring, Maryland \$300 000 for general support of its efforts to speed the development of safe, effective and affordable vaginal microbicides to prevent sexually transmitted infections most critically HIV/AIDS</p> |
| <p>McGill University, Montreal, Quebec, Canada \$216 440 for a case study in the Dominican Republic, Haiti and Mexico of the costs and benefits of making the most effective method of treating tuberculosis (DOTS) available to all patients as compared to treating migrants from those countries within the United States and Canada</p> <p>Medical Research Council, South Africa, Cape Town South Africa \$24,970 for use by its Tuberculosis Lead Research Programme to enable developing country delegates to attend a meeting to set global TB research priorities held in Washington D C , June 2002</p> <p>Tufts University, Medford Massachusetts \$100,000 toward the costs of a project at its New England Medical Center to help the International Pediatric Association to improve child health and health equity on a global scale</p> <p>World Health Organization, Geneva Switzerland \$80 000 for use by its Stop TB Department toward the costs of a global anti-TB drug resistance surveillance project</p> | <p>Family Health International, Research Triangle Park North Carolina \$2 774 180 toward the cost of its International Partnership for Microbicides project aimed at accelerating the development and introduction of new products controlled by women that prevent the spread of sexually transmitted diseases including HIV</p> <p>Foundation-administered project: \$140 000 to continue an exploration that may lead to the development of a public/private partnership for vaginal microbicides that protect against HIV and other sexually transmitted diseases</p> <p>Foundation-administered project: \$35,000 to publish and disseminate French and Spanish language editions of a summary report on prospects for the development of vaginal microbicides that protect against HIV and other sexually transmitted diseases</p> <p>International Center for Research on Women, Washington, D C \$14,352 to supplement an earlier grant for the production and dissemination of six publications on the potential of developing vaginal microbicides to protect women against HIV and other sexually transmitted infections</p> | <p>Henry M Jackson Foundation for the Advancement of Military Medicine, Rockville Maryland \$10,000 toward the costs of a meeting on antibody protection against viral diseases, in particular, dengue, held in Bethesda Maryland, June 2002</p> <p>International Vaccine Institute, Seoul, South Korea \$25,000 to enable scientists from Bangladesh, China, India and Indonesia to attend an international course on vaccine evaluation in developing countries for scientists from Asian countries held in the Philippines, December 2002</p> <p>International Vaccine Institute, Seoul, South Korea \$309 470 to launch a pediatric dengue vaccine initiative for poor children</p> <p>United Nations Children's Fund, New York New York \$100 000 for use by its Global Alliance for Vaccines and Immunization toward the costs of an accelerated development and introduction plan for new technologies to simplify the delivery of and increase access to immunization</p> <p>University of California, Berkeley, Berkeley California \$99 500 for a pilot study in Nicaragua that will measure the incidence of dengue-fever infection in a sample of 3 500 people</p> <p>University of California, San Francisco, San Francisco California \$25 000 for use by its Institute for Global Health for an international forum on post eradication polio-immunization strategies held in Annecy, France April 2002</p> <p>William Alan Muraskin, New York New York \$23 000 toward the costs of research to complete a book on the history of the growth of the Children's Vaccine Program</p> |
| POLICY WORK ON PUBLIC/PRIVATE PARTNERSHIPS | | VACCINES |
| <p>Centre for the Management of IP In Health R&D, London, England \$1,500,000 in general support of its efforts to help the international public health sector manage intellectual property in health research and development, and thereby improve access to health technologies for poor people in developing countries</p> <p>Foundation-administered project: \$42,000 to engage a consultant to prepare a paper on the present capacity and future demand for clinical trials on products for diseases endemic in low- and middle-income countries for presentation at a meeting held in Arusha Tanzania November 2002</p> <p>Foundation-administered project: \$525 000 to continue planning for the creation of a center for the management of intellectual property rights in health research and development for the public good</p> <p>Global Forum for Health Research, Geneva Switzerland \$500 000 for core support and for its initiative on public/</p> | <p>Arizona State University, Tempe, Arizona \$148 600 for use by its Arizona Biomedical Institute toward the costs of a meeting on the development of plant-based vaccines for humans and animals, held in Phoenix November 2002 (joint with Food Security)</p> <p>Foundation-administered project: \$120 000 to explore innovative ways including public/private partnerships to accelerate the development of vaccines and immunizations for use in developing countries</p> <p>Foundation-administered project: \$150 250 to explore in partnership with the Global Alliance for Vaccines and Immunization, innovative ways to accelerate the development of vaccines and immunizations for use in developing countries</p> | <p>AFRICA REGIONAL PROGRAM</p> <p>INDEPTH Network, Accra Ghana \$1,500 000 for general support of its secretariat, which represents 28 sites in developing countries that collect health and demographic data on a longitudinal basis (joint with Africa Regional Program)</p> <p>ASIA REGIONAL PROGRAM</p> <p>UNDERSTANDING SEXUALITY</p> <p>AIDS Society of the Philippines, Manila, Philippines \$95,000 for a study on the content trends and quality of media coverage of sexuality and safe sex in the context of HIV/AIDS prevention and control in Cambodia, Indonesia, Lao People's Democratic Republic, the Philippines, Thailand and Vietnam</p> |



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|  Uganda | Patient, Mulago Hospital, Kampala, Uganda. Uganda has taken an aggressive leadership role in the battle against AIDS. Yet the disease continues to contribute to a downward spiral undoing modest, but hard-earned, economic and social gains in many developing countries. | | |
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| Cambodian Women for Peace and Development , Phnom Penh, Cambodia: \$89,940 toward the costs of the second phase of an experimental program to strengthen inter-country health-care and social-support systems, especially for the prevention of HIV and other sexually transmitted infections, for Cambodians migrating to Thailand to find work. | provision of sexual-health education and services among marginal communities in Phnom Penh, Cambodia. | for increased HIV transmission and substance abuse in Lao People's Democratic Republic's border areas with China and Myanmar. | |
| Consultation of Investment in Health Promotion , Hanoi, Vietnam: \$70,380 to study the links between gender, sexuality and reproductive health in northern Vietnam. | Mahidol University , Nakornprathom, Thailand: \$100,000 for use by its Faculty of Social Sciences and Humanities to enable five students from Cambodia, Lao People's Democratic Republic and Myanmar to study for a master's degree in a program that focuses on gender, sexuality and reproductive health, and toward the costs of a national conference on these subjects to be held in Thailand, April 2003. | National University of Laos , Vientiane, Lao People's Democratic Republic: \$20,080 for use by its Faculty of Medical Sciences to study the sexual attitudes of young people in Vientiane Municipality, and the manner by which their sexual behavior is affected by parent-child attachment and communication. | |
| Creating Resources for Empowerment and Action , New Delhi, India: \$78,910 for 15 case studies documenting how organizations in South and Southeast Asia have integrated concepts of gender and human rights into their work on sexuality and sexual health, and for other expenses related to a workshop to be held at the Bellagio Study and Conference Center, Italy, October 2003. | Mahidol University , Nakornprathom, Thailand: \$100,000 for use by its Center for Health Policy Studies toward the costs of travel for participants from the Greater Mekong Sub-region and other activities in connection with the Second Asia Pacific Conference on Reproductive and Sexual Health, to be held in Bangkok, November 2003. | Philippine Educational Theater Association , Manila, Philippines: \$78,650 to enhance the capacity of women artists from the Greater Mekong Sub-region to use theater and arts for gender, sexuality and reproductive health education. | |
| Family Medicine Research Group , Manila, Philippines: \$100,000 to conduct a comparative study of patients' and family physicians' perceptions of what constitutes good sexual and reproductive health care for women in Cambodia, the Philippines and Vietnam, and to develop related indicators for equity, access and quality. | Ministry of Health, China , Beijing, China: \$50,000 for use by its Foreign Loan Office toward the costs of a study on the incorporation of reproductive health and gender concerns into health-sector reform in Defang County, Guizhou Province, China. | Raks Thai Foundation , Bangkok, Thailand: \$80,020 for use by its Chiang Mai chapter to develop a model of comprehensive care that includes sexual and reproductive health education for HIV-affected women in northern Thailand. | |
| Khemara , Phnom Penh, Cambodia: \$50,000 for the development of an experimental intervention model that integrates gender concerns into the | Ministry of Information and Culture, Laos , Vientiane, Lao People's Democratic Republic: \$43,610 for use by its Institute for Cultural Research to undertake a social-impact analysis of increased mobility and its implications | Reproductive Health Matters , London, England: \$100,000 toward the costs of printing its journal and distributing it free of charge to institutions in South and Southeast Asia, and to support the editing and publication of papers to be presented at a workshop on sexuality, gender and human rights to be held at the Bellagio Study and Conference Center, Italy, October 2003. | |



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| <p>University of the Philippines, Diliman, Quezon City Philippines \$305,780 for use by its Department of Anthropology for a regional research awards program on gender sexuality and sexual health in Southeast Asia</p> <p>INTELLECTUAL PROPERTY</p> <p>Foundation-administered project: \$351,000 toward the costs of engaging consultants to explore models of collective management of intellectual property and of holding a meeting at the Bellagio Study and Conference Center Italy, on this topic November 2002 (joint with Global Inclusion)</p> <p>Resourcing Public Health</p> <p>AIDS CARE IN AFRICA</p> <p>Biomedical Research and Training Institute, Harare Zimbabwe \$74,805 to assess the risk of tuberculosis infection among nurses, and the causes of chronic cough among patients attending health-care clinics in Harare Zimbabwe</p> <p>Columbia University, New York, New York \$6,000,000 for use by its Mailman School of Public Health for an initiative that builds on existing programs to prevent mother-to-child transmission of HIV by providing treatment for HIV/AIDS to infected mothers and their infected children (joint with Africa Regional Program and Presidential Initiatives)</p> <p>George Washington University, Washington D C \$10,000 for use by its Forum for Collaborative HIV Research toward the costs of a project to facilitate the transfer of monitoring and diagnostic technologies for the management of HIV/AIDS anti-retroviral therapy to developing countries</p> <p>Global AIDS Alliance, Bethesda Maryland \$50,000 for general support of its efforts to stem the AIDS epidemic in Africa</p> <p>Global Strategies for HIV Prevention, San Rafael California \$42,500 to update and distribute a CD-ROM on the resources available for the prevention and treatment of HIV in women and children in developing countries</p> <p>Harvard University, Cambridge Massachusetts \$206,410 for use by its School of Public Health, in collaboration with the Kilimanjaro Christian Medical Center, for a pilot project to assess the feasibility of implementing HIV interventions among bar and hotel workers in northern Tanzania</p> <p>Kenya Medical Research Institute, Nairobi Kenya \$55,000 for use by its Centre for Respiratory Disease Research in collaboration with the University of</p> | <p>Nairobi and the University of Washington, for a study of the use of amoxicillin in the treatment of acute bronchitis in HIV-infected adults in Nairobi Kenya</p> <p>McMaster University, Hamilton Ontario Canada \$20,000 for use by its School of Nursing toward the costs of workshops on the impact of HIV/AIDS, violence and poverty on women and children, as part of an international conference held in Bangkok Thailand, February 2003</p> <p>Medical Research Council, United Kingdom, London, England \$99,975 for use by its Clinical Trials Unit to coordinate a multicenter clinical trial to assess the safety and effectiveness of two strategies for the use of anti-retroviral drugs against HIV/AIDS in sub-Saharan Africa</p> <p>Treatment Action Campaign, Nonkgubela, South Africa \$45,000 to cover the costs of transportation for African participants to a meeting on HIV/AIDS treatment held in collaboration with the Congress of South African Trade Unions in South Africa, June 2002</p> <p>University of California, San Francisco, San Francisco California \$204,000 for use by its Department of Medicine for a project undertaken in collaboration with the World Health Organization to develop evidence-based syndromic guidelines for the treatment of common adolescent and adult outpatient conditions in areas of high HIV prevalence in sub-Saharan Africa</p> <p>University of Leeds, Leeds England \$108,385 to enable a scientist at its Nuffield Institute for Health to continue to assist in a project coordinated by the World Health Organization to develop evidence-based guidelines for syndromic management of adult illness in primary-care settings in Uganda where HIV is prevalent</p> <p>University of Stellenbosch, Matieland, South Africa \$396,110 for use by its Faculty of Health Sciences in collaboration with the University of Cape Town for a study that compares two strategies to prevent opportunistic infections in HIV-infected children in South Africa</p> <p>World Health Organization, Geneva Switzerland \$604,160 for activities in collaboration with partners in sub-Saharan Africa to develop syndromic guidelines for common adult outpatient conditions in areas of high HIV prevalence</p> <p>DISEASE SURVEILLANCE</p> <p>American Social History Productions, New York New York \$94,500 toward the costs of developing a television series on the ecology of infectious disease and an outreach campaign for its dissemination</p> | <p>Commonwealth Regions' Health Community Secretariat for East, Central and Southern Africa, Arusha, Tanzania \$50,000 toward the costs of a conference on the roles of nurses and midwives in responding to the health challenges of the 21st century especially the HIV/AIDS epidemic in East, central and southern Africa, held in Tanzania August 2002</p> <p>Global Alliance for Africa, Chicago, Illinois \$58,650 to develop a regional action plan in collaboration with the East African Integrated Disease Surveillance Network, to strengthen and coordinate disease surveillance activities in Kenya, Tanzania and Uganda</p> <p>International Society for Infectious Diseases, Boston Massachusetts \$100,000 for use by its Program for Monitoring Emerging Diseases toward the costs of the planning phase of a project to foster a collaboration with the regional disease-surveillance networks in Africa and Southeast Asia to improve reporting of disease outbreaks</p> <p>Pan American Health Organization, Washington D C \$66,500 toward the costs of a workshop to estimate the epidemiological economic and social burden of dengue illnesses, held in Washington D C November 5-7 2002</p> <p>World Health Organization, Geneva Switzerland \$13,300 toward the costs of a midterm review and planning meeting to assess the progress of its program to strengthen national surveillance systems laboratory capabilities and information-sharing networks in West Africa and the Sudan, held in Accra Ghana January 2002</p> <p>World Health Organization, Geneva Switzerland \$99,755 for use by its Western Pacific Regional Office, in collaboration with the Mekong Basin Disease Surveillance project and the University of the Philippines to develop an electronic disease-reporting system for the Greater Mekong Sub region</p> <p>Yunnan Centers for Disease Control and Prevention, Yunnan Province China \$38,200 for use by its Provincial Bureau of Health toward the costs of a cooperative project in Yunnan Province to strengthen cross-border disease-surveillance efforts, as part of the Mekong Basin Disease Surveillance Network</p> |
| | | <p>PUBLIC HEALTH SCHOOLS</p> <p>WITHOUT WALLS</p> <p>Hanoi School of Public Health, Hanoi Vietnam \$245,000 for transitional funding of its Public Health Schools Without Walls program</p> |

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| National Health Research and Development Centre , Nairobi, Kenya \$7,507 to supplement an earlier grant in support of a workshop held in Mombasa, Kenya November 2001 that brought together key stakeholders in Africa to explore opportunities for building and strengthening capacity for leadership development for health research in Africa | research in clinical-management and policy decision making to improve equitable access to quality services for presentation at a workshop to be held at the Bellagio Study and Conference Center, Italy April 23-27, 2003 | Center for Health and Gender Equity , Takoma Park, Maryland \$98,000 toward the costs of research and policy analysis studies in India and Tanzania undertaken in collaboration with national partners, on the implications of health-sector reform on reproductive health and rights |
| Tropical Institute of Community Health and Development in Africa , Nairobi, Kenya \$10,900 to supplement an earlier grant for a project to document existing models, methods and approaches to public health in sub-Saharan Africa and identify those that promote community empowerment as a way of improving health and enhancing equity | African Population and Health Research Centre , Nairobi, Kenya \$27,650 to supplement an earlier grant for the development of an urban "equity gauge" that will document and highlight for policymakers the extent of health disparities in Nairobi (joint with Africa Regional Programs) | Cochrane Collaboration , Oxford, England \$25,000 toward the costs of an international research award, the Kenneth Warren Prize |
| University of Ghana , Legon, Accra, Ghana \$100,000 for use by its School of Public Health for transitional funding for its Public Health Schools Without Walls program | Aga Khan University , Karachi, Pakistan \$57,460 for use by its Department of Community Health Sciences toward the costs of workshops to assess and analyze health-care needs and priorities in Pakistan, particularly at community and district levels, using the "benchmarks of fairness" tool | Columbia University , New York, New York \$332,130 for use by its Mailman School of Public Health toward the costs of developing tools and training modules for use in an initiative designed to integrate equity into high priority health programs in developing countries |
| University of Pretoria , Pretoria, South Africa \$35,000 for use by its School of Health Systems and Public Health to document the training capacity of public health training institutions and research networks in sub-Saharan Africa | | Foundation-administered project: \$25,000 to engage a coordinator to facilitate the strategic development of the Global Equity Gauge Alliance |

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REPRODUCTIVE HEALTH

International Center for Research on Women, Washington D C

\$725,810 to complete a project undertaken in collaboration with a group of five nongovernmental organizations in India conducting community based research on adolescent sexuality and reproductive health

International Planned Parenthood Federation, London, England

\$300,000 toward the cost of a leadership-transition process designed to improve its ability to advocate for and provide sexual and reproductive health services in developing countries worldwide

International Women's Health Coalition, New York, New York

\$90,000 for general support of its efforts to ensure the sexual and reproductive rights and health of women worldwide

Ministry of Health, Ghana, Accra, Ghana

\$2,221,030 for use by its Navrongo Health Research Centre to measure the impact of a range of approaches designed to improve adolescent sexual and reproductive health in the Kassena-Nankana District

Strengthening Global Leadership

HEALTH EQUITY FRONTIERS

AcademyHealth, Washington, D C

\$95,000 for preparation of case studies on increasing the use of health equity



Photograph Excised Here



Health-care worker and child at clinic in Veracruz, Mexico.

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| <p>Harvard University, Cambridge, Massachusetts: \$285,300 for use by its School of Public Health toward the costs of a project to refine and further adapt the "benchmarks of fairness" tool for evaluation of health-care reform in developing countries.</p> | <p>compulsory licensing for patented medical technologies in developing countries (joint with Global Inclusion);</p> <p>International Development Enterprises, Lakewood, Colorado: \$176,000 toward the costs of a project that will improve the capacity of its Vietnamese partners to undertake a social marketing tobacco-control program.</p> <p>International Development Research Centre, Ottawa, Ontario, Canada: \$25,000 for use by its Research for International Tobacco Control program to convene a meeting of donors involved in tobacco-control research in developing countries, held in Ottawa, November 2002.</p> <p>Johns Hopkins University, Baltimore, Maryland: \$383,430 for use by the Institute for Global Tobacco Control in its Bloomberg School of Public Health toward the costs of a training and technical-assistance program on tobacco control epidemiology and surveillance in Southeast Asia.</p> <p>Television Trust for the Environment, London, England: \$67,850 to produce a documentary film on the impact of tobacco on lives and livelihoods in Malawi, thereby illustrating broader issues of the "value chain" of tobacco production.</p> <p>Thai Health Promotion Foundation, Bangkok, Thailand: \$514,120 for a small-grants program to support research on surveillance and the economics of tobacco in Southeast Asia.</p> <p>University of Illinois at Chicago, Chicago, Illinois: \$496,655 for use by its Health Research and Policy Centers toward the costs of a research and technical-assistance program on the economics of tobacco use and control in Southeast Asia.</p> <p>University of Science Malaysia, Pulau Pinang, Malaysia: \$98,865 for use by its National Poison Centre for a meeting on tobacco control in the Southeast Asia region, held in Penang, Malaysia, September 2002.</p> <p>Women's Media Centre of Cambodia, Phnom Penh, Cambodia: \$154,460 for use by its Media Campaign Department for a public-education effort on the harm associated with tobacco use, particularly among Khmer women, children and families.</p> <p>World Health Organization, Geneva, Switzerland: \$321,485 for use by its Country Office in Cambodia to continue to provide technical assistance to government and nongovernmental organizations involved in tobacco control in Cambodia.</p> | <p>Explorations</p> <p>Foundation-administered project: \$500,000 to begin an exploration on human resources for health.</p> <p>Foundation-administered project: \$665,000 to assess pharmaceutical-procurement practices in sub-Saharan Africa and the regulatory environment affecting drug access and quality, particularly for HIV/AIDS.</p> <p>Foundation-administered project: \$95,320 to design an audit of the supply of and demand for public-health professionals worldwide.</p> <p>Grantmakers in Health, Washington, D.C.: \$45,000 for general support of its efforts to communicate information and generate knowledge about health issues—and, in particular, global health issues—to help grantmakers develop effective grantmaking strategies.</p> <p>IbnSina, Peshawar, Pakistan: \$100,000 toward the costs of a training program in public health to increase the number of female health-care workers in Afghanistan especially in rural communities.</p> <p>Management Sciences for Health, Boston, Massachusetts: \$20,000 toward the cost of publishing a book on lessons learned about community-based health care from around the world that will be distributed in developing countries at no cost.</p> <p>Merlin USA, Washington, D.C.: \$98,020 for a study to estimate the socioeconomic impact of Lassa fever in West Africa.</p> <p>Program for Appropriate Technology in Health, Seattle, Washington: \$174,210 for a meeting and the publication of a report on new and underutilized technologies to reduce maternal mortality in developing countries, to be held at the Bellagio Study and Conference Center, Italy, in 2003.</p> <p>United Nations Human Settlements Programme, Nairobi, Kenya: \$600,000 to develop a program aimed at providing a secure and supportive environment, including shelter, for HIV/AIDS orphans, especially in sub-Saharan Africa.</p> <p>World Health Organization, Geneva, Switzerland: \$49,155 toward the costs of launching and disseminating the first World Report on Violence and Health.</p> |
| <p>TRADING TOBACCO FOR HEALTH</p> <p>Essential Information, Washington, D.C.: \$450,000 for use by its Consumer Project on Technology for core support and for a project to provide technical assistance on</p> | | |

| Theme | | | | |
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| Working Communities | | | | |
| Building Competent Organizations | | | | |
| CALIFORNIA WORKS FOR BETTER HEALTH | | | | |
| Asian Resources, Inc. , Sacramento, California: \$12,000 for implementation of the California Works for Better Health Sacramento Regional Collaborative's English Language Learners Access Pilot Project, designed to increase access to employment-readiness programs for limited English-proficient residents of targeted neighborhoods. | community research on employment readiness and job skills in order to broker job agreements and set-asides for community residents. | development, global awareness and CIVIC participation, that this year will focus on xenophobia, racism and discrimination. | | |
| COMMUNITY-BASED ORGANIZATIONS | | | | |
| Aspen Institute , Washington, D.C.: \$234,000 toward continued support of an international collaborative seminar with the King's Fund, United Kingdom, entitled, <i>Finding Out What Works: Evaluating Community-Based Action for Promoting Positive Outcomes for Individuals, Families and Neighborhoods</i> . | Bedford Stuyvesant Restoration Corporation , Brooklyn, New York: \$100,000 toward general support of its organizational capacity-building activities. | Hispanics in Philanthropy , Emeryville, California: \$400,000 for continued support of its Funders' Collaborative for Strong Latino Communities initiative to build organizational capacity among, and a broader funding base for, Latino nonprofit organizations in the United States and selected Latin American countries. | | |
| California State University, Fresno , Fresno, California: \$9,285 for use by its Interdisciplinary Spatial Information Systems Center to plan and begin development of a neighborhood indicator system for poor communities in Fresno, California, to support the work of the California Works for Better Health Fresno Collaborative. | Center for Responsible Lending , Durham, North Carolina: \$150,000 toward the expansion of its research and technical assistance activities to educate borrowers and other stakeholders about lenders' predatory practices targeted at low-income families and communities. | Living Cities, Inc.: The National Community Development Initiative , New York, New York: \$4,000,000 to support the second 10-year phase of the National Community Development Initiative. | | |
| Chinatown Service Center , Los Angeles, California: \$12,000 for implementation of the California Works for Better Health Los Angeles Regional Collaborative's Pilot Project, in which collaborative members will conduct research on employment-training programs in targeted communities to design a model workforce development program. | Common Ground , New York, New York: \$100,000 toward the creation of a Replication Unit to provide technical assistance for the replication of its supportive-housing programs in other communities across the United States. | Local Initiatives Support Corporation , New York, New York: \$500,000 for continued core support. | | |
| Community Services Planning Council , Sacramento, California: \$9,756 to plan and begin development of a neighborhood indicator system for poor communities in Sacramento, California, to support the work of the California Works for Better Health Sacramento Collaborative. | Corporation for Supportive Housing , New York, New York: \$100,000 toward planning and implementation costs of a project to develop a private/public funders initiative to create an adequate supply of permanent supportive housing for the nation's chronically homeless. | National Alliance for Nonprofit Management , Washington, D.C.: \$160,000 in continued support of its project, <i>Institute Without Walls</i> , that strengthens management of nonprofit organizations nationwide. | | |
| Economic Roundtable , Los Angeles, California: \$10,000 for use to plan and begin development of a neighborhood indicator system for poor communities in Los Angeles, California, to support the work of the California Works for Better Health Los Angeles Collaborative. | Enterprise Foundation , Columbia, Maryland: \$500,000 for continued core support. | National Community Building Network , Oakland, California: \$200,000 for continued core support. | | |
| Fresno Center for New Americans , Fresno, California: \$12,000 for implementation of the California Works for Better Health Fresno Regional Collaborative's Pilot Project, in which collaborative members will provide access to jobs for residents in the city's Empowerment Zone and Renewal Community. | The Finance Project , Washington, D.C.: \$80,000 in support of its Grantmakers Income Security Taskforce project, a forum for grantmakers to keep abreast of changing public policy, new program implementation, emerging research and current grantmaking strategies. | Neighborhood Funders Group , Washington, D.C.: \$20,000 to provide general support of its work highlighting substantive issues to grantmakers working on related issues of concern to low-income communities in the United States. | | |
| Metropolitan Area Advisory Committee on Anti-Poverty of San Diego County, Inc. , National City, California: \$12,000 for implementation of the California Works for Better Health San Diego Regional Collaborative's Pilot Project, in which collaborative members will conduct targeted regional industry research and | Foundation-administered project : \$59,000 toward administrative costs associated with the strategic development of Living Cities, Inc.: The National Community Development Initiative. Foundation-administered project : \$75,000 toward the costs of developing a computer program that will allow program officers to easily capture, access and visualize the geographic and issue activities of Working Communities program grantees to better identify synergies. | One Economy Corporation , Washington, D.C.: \$150,000 toward the costs of a project to improve access to and use of the Internet by low-income housing residents in the San Francisco Bay Area to expand their opportunities to enter the work force. Progressive Technology Project , Washington, D.C.: \$200,000 toward continued general support for hands-on technical and strategic assistance, training and small grants to community organizing groups so that they can more effectively use information technology to advance their work. | | |
| | Global Kids, Inc. , New York, New York: \$30,000 toward the costs of its Annual Youth Conference to promote youth | Skyscraper Museum , New York, New York: \$80,000 for transitional support to assist in its opening of a permanent home in Lower Manhattan (joint with Creativity & Culture). Sustained Excellence Alliance Corp. , New Iberia, Louisiana: \$75,000 toward the development of its Learning Agenda, a peer learning program that will produce a set of "best practices" to build the effectiveness of the community-development field. | | |
| | | Urban Institute , Washington, D.C.: \$150,000 toward continued support of its National Neighborhood Indicators Project. | | |

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| <p>Wheeling Jesuit University, Wheeling, West Virginia \$100,000 for general support of its Clifford M. Lewis, S.J. Appalachian Institute, that will serve as a center of education, research, policy analysis and action around issues facing the distressed center of the Appalachian region</p> | <p>strategies for change at the local, state and national levels</p> <p>Crossroads School, New York, New York \$50,000 toward the costs of documentation and dissemination of its strategic planning process, to inform middle school improvement efforts in New York City</p> | <p>Center for Labor and Community Research, Chicago, Illinois \$75,129 toward the costs of its Food Chicago initiative that aims to sustain and promote the food manufacturing industry's capacity to retain and create quality jobs for Chicago residents</p> |
| <p>RACIAL JUSTICE ORGANIZATIONS</p> | | |
| <p>The Advancement Project, Washington, D.C. \$900,000 for general support of its work on racial-justice innovation and its role as a national resource center for attorneys and community activists</p> | <p>Education Law Center, Newark, New Jersey \$89,300 toward the costs of preparing two district case studies and a background paper on the major policy issues surrounding the implementation of New Jersey's Abbott school-construction program</p> | <p>Cornerstone Assistance Network, Fort Worth, Texas \$500,000 for continued support of its program aiming to increase the effectiveness of job-training agencies in Fort Worth, Texas</p> |
| <p>Lawyers' Committee for Civil Rights Under Law, Washington, D.C. \$100,000 toward the costs of the Endowment Campaign initiative to address racial discrimination and bring legal resources to the struggle for equal justice, and the Legacy Society Campaign initiative to identify and develop fund-raising techniques for securing endowment funds</p> | <p>Foundation-administered project: \$49,700 toward consultant costs to study research and policy development efforts in New York and New Jersey to enhance the design and building of schools in low-income communities</p> | <p>Economic Opportunity Institute, Seattle, Washington \$200,000 toward the costs of administering the National Transitional Jobs Network, a coalition of independently operated programs and policy centers that have established and promoted transitional-jobs programs as a means of helping very low-skilled individuals gain access to the supports and training experience necessary to get and keep quality jobs</p> |
| <p>Mexican American Legal Defense and Educational Fund, Los Angeles, California \$100,000 in support of its efforts to develop new mechanisms for expanding its funding base</p> | <p>Foundation-administered project: \$66,000 to examine California school-finance litigation and community-organizing groups to guide Working Communities' grantmaking in California</p> | <p>Fifth Avenue Committee, Brooklyn, New York \$75,000 to support the first year of its pilot project combining employment supports and adult education to increase the earning capacity of low-income south Brooklyn residents</p> |
| <p>NAACP Legal Defense and Educational Fund, New York, New York \$100,000 in support of its continuing efforts to diversify and increase its fund-raising capacity</p> | <p>Harvard University, Cambridge, Massachusetts \$50,000 for use by its John F. Kennedy School of Government toward the costs of research to determine ways of raising achievement among African-American and Latino students while maintaining or raising achievement of all students in racially diverse suburban schools of the Minority Student Achievement Network</p> | <p>Foundation-administered project: \$253,500 to strengthen and assess the implementation of a job-training initiative in Boston, Fort Worth and Nashville</p> |
| <p>Native American Rights Fund, Boulder, Colorado \$400,000 for continued general support</p> | <p>Learning Leaders, New York, New York \$40,000 toward the costs of an evaluation of the effects of its New York City parent-volunteer program on educational outcomes for low-income children</p> | <p>Foundation-administered project: \$75,000 toward the costs of implementing an initiative to strengthen job-training agencies in Boston, Fort Worth and Nashville</p> |
| <p>Public Interest Projects, New York, New York \$1,000,000 in support of its project, the Funders' Collaborative on Racial Justice Innovation, to promote collective efforts by lawyers and local community-based organizations that are using legal tools to improve resource equity and policy outcomes for racially and ethnically marginalized communities</p> | <p>WORK FORCE DEVELOPMENT MODELS</p> <p>American Assembly, New York, New York \$75,000 toward the costs of a meeting and related activities to advance the effective use and expansion of work-force intermediaries within the U.S. work-force development system</p> | <p>Foundation-administered project: \$97,000 toward the costs of a publication of stories derived from the Foundation's employment and work-force development work</p> <p>Initiative for a Competitive Inner City, Boston, Massachusetts \$150,000 for general support as it advances the next stage of its strategy and organizational development</p> |
| <p>Puerto Rican Legal Defense and Education Fund, New York, New York \$100,000 in support of its efforts to expand its funding base, and toward its voting-rights initiatives</p> | <p>Bay Area Video Coalition, San Francisco, California \$125,000 to support the continued expansion of technology training for low-income job seekers locally and to other nonprofit training agencies nationwide</p> | <p>Management Consulting Services, Boston, Massachusetts \$500,000 toward continuing support of its program aiming to increase the effectiveness of job-training agencies in Boston</p> |
| <p>SCHOOL DISTRICT INFRASTRUCTURES</p> <p>Brown University, Providence, Rhode Island \$100,000 for use by its Education Alliance toward the costs of developing guidelines to assist states in promoting the inclusion of English-language learners in the small learning-community program model being adopted by high schools</p> | <p>Boston Community Capital, Boston, Massachusetts \$15,000 toward the costs of two annual meetings of the Members Committee of the Boston Community Venture Capital Fund II that will provide opportunities for Members to learn about the Fund's portfolio investments and capture lessons to be shared broadly in the community-development venture-capital field</p> | <p>Neighborhoods Resource Center, Nashville, Tennessee \$33,334 to support community organizing activities related to employment in low-income neighborhoods of Nashville, Tennessee</p> <p>New York City Employment and Training Coalition, New York, New York \$50,000 to conduct a series of industry-specific employer roundtables about post-September 11 labor-market needs</p> |



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U.S.A.

Day labor is a growing phenomenon in Fort Worth, Texas, and other U.S. cities.

2002 Grants
Working Communities

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Public/Private Ventures, Philadelphia, Pennsylvania: \$300,000 for continued support of its Working Ventures initiative that analyzes and provides technical assistance to local and state work-force development agencies working with low-income adults and youth.

San Francisco Chamber of Commerce Foundation, San Francisco, California: \$100,000 for continued support of its San Francisco Works project that develops and incubates employer-led job training and advancement programs in the Bay Area.

Sustainable Jobs Development Corporation, Durham, North Carolina: \$75,000 toward the costs of its Exits and Employees Initiative that aims to develop and share techniques community-development venture-capital funds can use to assure that portfolio company-sale gains are shared with their low-income employees.

Workforce Investment Company, New York City, New York: \$100,000 toward the costs of identifying client organizations and providing program services that support low-income job seekers to gain employment.

YouthBuild USA, Somerville, Massachusetts: \$160,000 in support of its program that develops employment opportunities for its graduates working for Home Depot and other corporate partners.

Next Generation Leadership

Foundation-administered project: \$172,000 for the costs of the Next Generation Leadership program and its alumni gatherings.

PolicyLink, Oakland, California: \$240,000 in support of the Democracy Toolbox Initiative, a collective project designed by the members of Cohort 4 of the Next Generation Leadership program to develop and apply tools to enhance the capacities of communities to foster sustainable community and leadership development.

Participants in Cohort 5 of the Program:

Karen Lynn Bohlike
Seattle, Washington

Miguel Martinez Bustos
San Francisco, California

Elizabeth Harriet Canner
Somerville, Massachusetts

R. Arrington Chambliss
Roslindale, Massachusetts

Tariq H. Cheema
Burr Ridge, Illinois

Cynthia Chung-Mi Choi
Los Angeles, California

Joseph Wayne Daniels Jr.
Silver Spring, Maryland

Ana Guerrero
La Crescenta, California

Keith Michael Harper
Silver Spring, Maryland

Lisa Masako Hasegawa
Washington, D.C.

Raj Kumar Jayadev
San Jose, California

Robert Springer Kallen
Chicago, Illinois

Jacqueline S. Kaplan
Chicago, Illinois

Sonya Michelle Lopez
San Marcos, Texas

Sean Patrick Maloney
New York, New York

Dianthe Dawn Martinez
West Orange, New Jersey

Gepsie Morisset Metellus
Miami Shores, Florida

Hillary Anne Morgan
Anchorage, Alaska

David L. Muhammad
Richmond, California

Peter Damian O'Driscoll
Takoma Park, Maryland

Randal D. Pinkett
Somerset, New Jersey

Sofia Quintero
Bronx, New York

John Palacio Rodriguez
Rochester, New York



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| Gail Small Lame Deer, Montana | Fiscal Policy Institute , Latham, New York \$35,000 toward the costs of preparation and dissemination of an analysis of the economic and tax distributional impact of fair school funding in New York State | Public Policy and Education Fund of New York , Albany, New York \$500,000 in support of its project, Alliance for Quality Education, to expand and conduct public education, research, policy analysis and leadership training to contribute to New York State's school finance-reform efforts |
| William Allen Stanczykiewicz Indianapolis, Indiana | Foundation-administered project: \$25,000 toward the costs of developing a plan for evaluating Working Communities' school-finance grantmaking in New York State | Public School Forum of North Carolina , Raleigh, North Carolina \$116,835 in support of the Columbia Group, a collaborative of Southern leaders in school reform, to advance a regional initiative that identifies effective school-funding systems to better meet the needs of at-risk and new English-language learners in the southeastern United States |
| Setting a More Equitable Public Agenda | Foundation-administered project: \$50,000 in support of research on the capacity of school systems in New Jersey and New York State, including New York City, to create new school facilities that meet the needs of students | Teaching Quality Foundation of the Southeast , Chapel Hill, North Carolina \$90,000 for use by its Southeast Center for Teaching Quality in developing a national model for states to assess the progress of teacher and teaching-quality efforts, especially in low-income urban and rural communities |
| CHANGING THE URBAN PARADIGM | Fund for Independent Publishing/The New Press , New York, New York \$69,500 toward the costs of publishing and marketing a book by Peter Schrag entitled, "Long Enough to Reach the Ground Adequacy in the Fight for Better Schools " | University of California, Davis , Davis, California \$50,000 for use by its California Center for Community-School Partnerships toward the costs of a study of the impact of the California Healthy Start Initiative on California's high-poverty, high-minority, high-performing schools |
| Municipal Art Society of New York , New York, New York \$75,000 in support of "Imagine New York: Giving Voice to the People's Visions," a project to encourage greater New York City metropolitan-area residents to share their ideas and visions for rebuilding and memorializing the World Trade Center site, and to revitalize their own communities | Louis Harris , Key West, Florida \$280,000 in support of a study on the impact of state level school-finance systems on education for the least-advantaged students in California, New York and Wisconsin | University of Missouri-Columbia , Columbia, Missouri \$90,668 toward the costs of a research series, <i>In Pursuit of Better Schools: What the Research Says</i> , aimed at refocusing public attention on the needs of children and schools in impoverished communities |
| Pratt Institute , Brooklyn, New York \$75,000 for use by its Center for Community and Environmental Development toward the costs of a series of town meetings to promote public discussion of New York City's rebuilding efforts in the wake of the September 11 attack on the World Trade Center | Institute for Wisconsin's Future , Milwaukee, Wisconsin \$300,000 toward the costs of the Wisconsin School Funding Project to improve educational opportunities for Wisconsin children in low- and moderate-income communities by increasing school resources and building parent involvement in education policy decision making | POLICY ANALYSIS AND ADVOCACY |
| Tides Center , San Francisco, California \$100,000 for use by its project, the Leadership Learning Community, to institutionalize and expand the scope of its activities designed to strengthen leadership-development programs in the United States | Institute for Wisconsin's Future , Milwaukee, Wisconsin \$55,000 toward the costs of establishing a National School Investment Network of parents, community organizations and policy groups as a forum for state-based and national groups to share information and organizing strategies on, and build capacity for, reforming state-level school-finance systems | AFL-CIO Working for America Institute, Inc. , Washington, D.C. \$150,000 toward the costs of a survey of current practice among the 50 largest Workforce Investment Boards in the country, targeted technical assistance to labor representatives on the Workforce Investment Boards in California, New York, Illinois and Texas, and a primer on the skills labor representatives need to advocate effectively for improved work-force development practices |
| EDUCATION FINANCE | MOUSE , New York, New York \$75,073 toward the costs of design, dissemination and analysis of the MOUSE TechSource Survey to document technology practices and trends in New York City schools | American Civil Liberties Union Foundation , New York, New York \$275,000 toward the costs of creating a Security and Civil Liberties Task Force to conduct broad public outreach aimed at protecting rights and civil liberties of Americans, most particularly Arab-Americans and Muslims, including those detained, in the wake of the September 11 terrorists attacks |
| Campaign for Fiscal Equity , New York, New York \$250,000 toward the costs of its civic engagement project promoting public discussion and engagement to inform efforts to reform New York State's school-finance system | National Writing Project , Berkeley, California \$79,143 toward the costs of research on the role of teacher leadership in improving instruction in writing and literacy, and to identify the policies and practices that build teacher capacity to sustain school change | Amnesty International , New York, New York \$100,000 in support of its crisis response work in the wake of the September 11 attack on the World Trade Center |
| Center on Education Policy , Washington, D.C. \$75,000 toward the cost of developing a model connecting state school finance to an effort to monitor state high-school examinations | New York Community Trust , New York, New York \$150,000 in support of the Donors' Education Collaborative, a joint grantmaking effort of New York-based public-education funders, to promote broad public engagement in systemic public-school reform in New York City | |

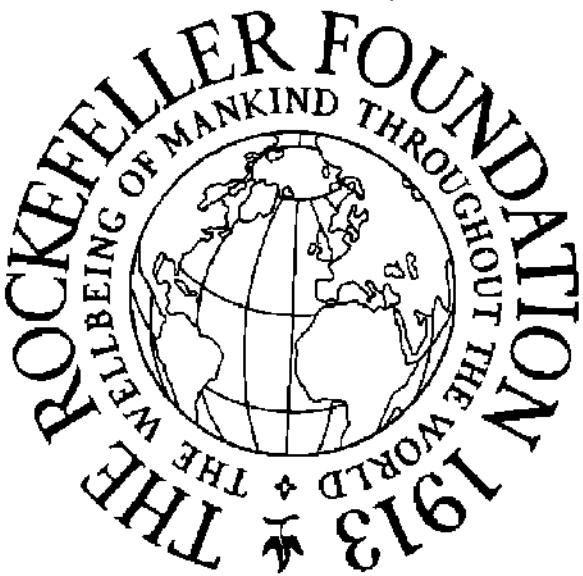


2002
Working Communities Grants

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| Gail Small Lame Deer, Montana | Fiscal Policy Institute , Latham, New York: \$35,000 toward the costs of preparation and dissemination of an analysis of the economic and tax distributional impact of fair school funding in New York State. | Public Policy and Education Fund of New York , Albany, New York: \$500,000 in support of its project, Alliance for Quality Education, to expand and conduct public education, research, policy analysis and leadership training to contribute to New York State's school finance-reform efforts. |
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| CHANGING THE URBAN PARADIGM | | |
| Municipal Art Society of New York , New York, New York: \$75,000 in support of "Imagine New York: Giving Voice to the People's Visions," a project to encourage greater New York City metropolitan-area residents to share their ideas and visions for rebuilding and memorializing the World Trade Center site, and to revitalize their own communities. | Foundation-administered project: \$50,000 in support of research on the capacity of school systems in New Jersey and New York State, including New York City, to create new school facilities that meet the needs of students. | Teaching Quality Foundation of the Southeast , Chapel Hill, North Carolina: \$90,000 for use by its Southeast Center for Teaching Quality in developing a national model for states to assess the progress of teacher and teaching-quality efforts, especially in low-income urban and rural communities. |
| Municipal Art Society of New York , New York, New York: \$62,385 toward the costs of its Creative Cities conference to explore ways to put culture and communities at the heart of a new paradigm and encourage creativity in all its forms in ways that will become intrinsic and integral to the daily life of New York City in the wake of the September 11 attack on the World Trade Center. | Fund for Independent Publishing/The New Press , New York, New York: \$69,500 toward the costs of publishing and marketing a book by Peter Schrag entitled, "Long Enough to Reach the Ground: Adequacy in the Fight for Better Schools." | University of California, Davis , Davis, California: \$50,000 for use by its California Center for Community-School Partnerships toward the costs of a study of the impact of the California Healthy Start Initiative on California's high-poverty, high-minority, high-performing schools. |
| Pratt Institute , Brooklyn, New York: \$75,000 for use by its Center for Community and Environmental Development toward the costs of a series of town meetings to promote public discussion of New York City's rebuilding efforts in the wake of the September 11 attack on the World Trade Center. | Louis Harris , Key West, Florida: \$280,000 in support of a study on the impact of state-level school-finance systems on education for the least-advantaged students in California, New York and Wisconsin. | University of Missouri-Columbia , Columbia, Missouri: \$90,668 toward the costs of a research series, <i>In Pursuit of Better Schools: What the Research Says</i> , aimed at refocusing public attention on the needs of children and schools in impoverished communities. |
| Tides Center , San Francisco, California: \$100,000 for use by its project, the Leadership Learning Community, to institutionalize and expand the scope of its activities designed to strengthen leadership-development programs in the United States. | Institute for Wisconsin's Future , Milwaukee, Wisconsin: \$300,000 toward the costs of the Wisconsin School Funding Project to improve educational opportunities for Wisconsin children in low- and moderate-income communities by increasing school resources and building parent involvement in education policy decision making. | POLICY ANALYSIS AND ADVOCACY |
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| Campaign for Fiscal Equity , New York, New York: \$250,000 toward the costs of its civic-engagement project promoting public discussion and engagement to inform efforts to reform New York State's school-finance system. | MOUSE , New York, New York: \$75,073 toward the costs of design, dissemination and analysis of the MOUSE TechSource Survey to document technology practices and trends in New York City schools. | AFL-CIO Working for America Institute, Inc. , Washington, D.C.: \$150,000 toward the costs of a survey of current practice among the 50 largest Workforce Investment Boards in the country; targeted technical assistance to labor representatives on the Workforce Investment Boards in California, New York, Illinois and Texas; and a primer on the skills labor representatives need to advocate effectively for improved work-force development practices. |
| Center on Education Policy , Washington, D.C.: \$75,000 toward the cost of developing a model connecting state school finance to an effort to monitor state high-school examinations. | National Writing Project , Berkeley, California: \$79,143 toward the costs of research on the role of teacher leadership in improving instruction in writing and literacy, and to identify the policies and practices that build teacher capacity to sustain school change. | American Civil Liberties Union Foundation , New York, New York: \$275,000 toward the costs of creating a Security and Civil Liberties Task Force to conduct broad public outreach aimed at protecting rights and civil liberties of Americans, most particularly Arab-Americans and Muslims, including those detained, in the wake of the September 11 terrorists attacks. |
| Community Partners , Los Angeles, California: \$95,900 in support of its New Schools Better Neighborhoods project to produce a monograph detailing California's school facilities reform experience, to inform school finance-reform dialogue among school districts, state policymakers and community leaders. | New York Community Trust , New York, New York: \$150,000 in support of the Donors' Education Collaborative, a joint grantmaking effort of New York-based public-education funders, to promote broad public engagement in systemic public school reform in New York City. | Amnesty International , New York, New York: \$100,000 in support of its crisis response work in the wake of the September 11 attack on the World Trade Center. |



Photograph Excised Here



The 2000 Census revealed that as many foreign-born live in the United States today than at any time since the early 1900s. Nearly half of recent immigrants to the United States live below the poverty level.

Asian American Federation of New York, New York, New York \$50 000 in support of research documenting the economic impact of the September 11 tragedy on Manhattan's Chinatown community and use of the findings as an objective framework for engaging Chinatown's diverse interests in a series of community dialogues on how to rebuild

Asian Pacific American Legal Center of Southern California, Los Angeles, California \$175 000 for general support of its mission to provide multilingual culturally sensitive legal services, education and civil-rights support to southern California's growing Asian Pacific American population

Benton Foundation, Washington D C \$50,000 for use by its Connect for Kids project for an initiative, Effective Communications for Improving Welfare Policies, that aims to strengthen the individual and collective media capacity of groups working to improve income supports to the poor

California Budget Project, Sacramento, California \$60,000 in support of policy analysis and dissemination outreach and public education, and working-group participation related to improving California's unemployment-insurance system so that it provides better support to part-time and low-wage workers

Center for Community Change, Washington, D C \$150,000 in support of its project, the National Campaign for

Jobs and Income Support, an alliance of community-based organizations and networks working to develop a proactive anti-poverty policy agenda

Center for Community Change, Washington, D C . \$60,000 in support of its project, the Coalition on Human Needs, for an initiative that will build the media skills of its members and coordinate their outreach efforts

Center on Budget and Policy Priorities, Washington D C . \$50 000 in support of research and policy analysis examining the effectiveness of the U S unemployment-insurance system in meeting the needs of low-income and temporary workers

CEOs for Cities, Boston Massachusetts \$250 000 toward general support of its mission to keep cities economically competitive and elevate urban issues in national policy debates

Children's Action Alliance, Inc., Phoenix, Arizona \$52,400 in support of an initiative to educate business leaders, the media and the public about the unemployment-insurance system in Arizona with an aim toward improving access to benefits for low-wage workers

Citizens Union Foundation, New York New York \$100,000 to provide information to New York City residents and members of the City Council regarding the process and options for rebuilding lower Manhattan in the wake of the September 11 attack on the World Trade Center

City Limits Community Information Service Inc., New York, New York \$90 000 for use by its project, the Center for an Urban Future toward the costs of an analysis of New York City's economy in order to identify ways to improve city life for low- and moderate-income residents.

Coalition for Humane Immigrant Rights of Los Angeles, Los Angeles, California \$100 000 in support of its National Day Laborer Organizing Network project, a collaboration of 18 local community-based organizations whose aim is to improve the lives and working conditions of day laborers in the United States

Collins Center for Public Policy, Miami, Florida \$50,000 for use by its project, the Funders' Network for Smart Growth and Livable Communities, to host a national conference co-organized with PolicyLink on social justice equitable development and smart growth

Collins Center for Public Policy, Miami, Florida \$50,000 in support of its project, the Funders' Network for Smart Growth and Livable Communities

Colorado Center on Law and Policy, Denver, Colorado \$35 000 in support of its project, the Colorado Fiscal Policy Initiative, to fund coalition building, policy analysis and media outreach that aim to ensure the Colorado unemployment-insurance system effectively serves larger numbers of low-wage workers



Photo © The Rockefeller Foundation

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| Economic Policy Institute, Washington, D C \$100 000 in support of research, policy analysis and dissemination relating to the effectiveness of the U S unemployment insurance system in meeting the needs of low-income and temporary workers | Institute on Taxation and Economic Policy, Washington, D C \$450,000 for general support of its ongoing analysis of federal and state tax-reform proposals | National Immigration Law Center, Oakland California \$200 000 to coordinate and service the Low Wage Immigrant Worker Coalition and conduct policy analysis aimed at strengthening protections for workers vulnerable because of their citizenship/immigration status and expanding work-force development programs that improve their earnings |
| Florida Legal Services, Inc., Tallahassee, Florida \$75,000 in support of administrative advocacy, coalition building and community education and outreach to improve Florida's unemployment-insurance system so that it provides better support to part time and low wage workers | Local Initiative Support, Training, and Education Network, Washington, D C \$100 000 in general support of its work to develop youth leadership and to identify, prepare and support a new generation of indigenous grassroots leaders in poor urban communities of color | |
| Foundation-administered project: \$100 000 to develop assessment benchmarks with and for the national policy centers that are participants in the Foundation's Economic Resilience strategy in order to more objectively evaluate progress toward the funding-strategy goal of strengthening the advocacy infrastructure in the United States | Los Angeles Alliance for a New Economy, Los Angeles California \$450 000 toward the costs of the California Public Subsidies Project—a joint initiative being undertaken with the Center on Policy Initiatives, the East Bay Alliance for a Sustainable Economy and Working Partners USA—that aims to increase the transparency of economic-development projects and to ensure public subsidies result in broad-based community benefits | National Interfaith Committee for Worker Justice, Chicago Illinois \$175 000 in support of its efforts to strengthen the voice capacity and effectiveness of its 60 local affiliates and to develop eight local interfaith Worker Centers that will serve as models of how to provide assistance to low-wage immigrant workers |
| Foundation-administered project: \$100 000 to support a series of convenings of the leadership of national policy centers in order to promote a more effective and coordinated advocacy infrastructure in the United States | | New Hope Project, Milwaukee Wisconsin \$150 000 to support its program aiming to advance work-based anti-poverty programs both in Wisconsin and nationwide |
| Foundation-administered project. \$43,000 toward the costs of a meeting of the Foundation's Working Communities grantees in New York City to help the Foundation develop a comprehensive policy-advocacy agenda | Maryland Association of Nonprofit Organizations, Inc., Baltimore Maryland \$60,000 in support of its project the Maryland Budget and Tax Policy Institute for research and policy analysis to improve Maryland's unemployment-insurance system so that it provides adequate support to larger numbers of low-wage workers | New Mexico Advocates for Children & Families, Inc , Albuquerque New Mexico \$80,000 in support of research policy analysis coalition building public education and outreach to ensure that New Mexico's unemployment insurance system provides adequate support to larger numbers of low-wage workers |
| Greater Boston Legal Services, Inc., Boston, Massachusetts \$100 000 in support of policy analysis and dissemination outreach and public education and working group participation related to improving Massachusetts' unemployment-insurance system so that it provides better support to part-time and low wage workers | Miami Workers Center, Inc., Miami, Florida \$100 000 in support of outreach, leadership development and organizing among welfare recipients and low wage workers so that they can advocate for better training, public assistance and support services | New York Jobs with Justice, Inc , New York, New York \$150,000 in support of its New York City Rebuilding and Economic Development Priorities Project that seeks to engage a diverse set of community institutions in the process of examining economic-development options in New York City |
| Greater New York Labor-Religion Coalition, Inc., New York New York \$25,000 for support of its efforts to encourage local clergy and congregations to engage with and advocate for low-wage workers particularly those dislocated in the wake of the September 11 terrorist attacks | National Center on Poverty Law, Chicago Illinois \$75 000 in support of research and policy analysis, coalition building, monitoring and outreach related to improving Illinois' unemployment insurance system so that it provides better support to part time and low wage workers | New York University, New York New York \$400 000 for use by its Center for Excellence in New York City Governance toward the costs of Listening to the City a project providing people who live and work in the New York metropolitan region the opportunity to participate in public discussions about rebuilding downtown New York in the wake of the September 11 attack on the World Trade Center |
| Institute for Public Policy Research, London England \$44 827 toward the costs of a conference, held at the Bellagio Study and Conference Center, Italy, bringing together policymakers from Europe, Australia and the United States to explore public policy challenges facing democratic governments in the 21st century | National Employment Law Project, Inc., New York New York \$99 000 to support travel costs for participants in its national conference on unemployment insurance reform and a pooled fund for community organizing and outreach in New York City managed collaboratively by the New York Unemployment Project, Community Voices Heard, New York Jobs with Justice and the New York Immigration Coalition | North Carolina Justice and Community Development Center, Raleigh North Carolina \$75 000 in support of research and policy analysis, coalition building and community outreach to ensure that North Carolina's unemployment insurance system provides adequate support to larger numbers of low wage workers |
| | National Employment Law Project, Inc., New York New York \$225 000 in support of advocacy to improve federal unemployment assistance a report analyzing gaps in New York's unemployment-insurance system and efforts to educate the unemployed in New York about their rights to assistance | PolicyLink, Oakland, California \$1 500,000 to provide continued general support of its mission to lift and advance from the wisdom, voice and experience of local constituencies, a new generation of policies that achieve social and economic equity expand opportunity and build strong organized communities |

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| <p>SEIU Education and Support Fund, Washington, D C \$50,000 in support of its Public Pension Fund Trustee Corporate Accountability Project, which seeks to identify and recommend qualified candidates who are committed to promoting responsible corporate behavior to the boards of public pension funds</p> | <p>Conservation Law Foundation, Boston, Massachusetts \$300,000 for use by its Greater Boston Institute in its ongoing efforts to encourage transparency, accountability and informed public participation in urban planning-and-development processes in the city of Boston, and for convenings of the Project Forum on Race and Democracy</p> | <p>Massachusetts Institute of Technology, Cambridge, Massachusetts \$100,000 for use by its Center for Reflective Community Practice toward general operating expenses of its work to build democracy by focusing on the relationship between reflective practice, community development, social change and technology</p> |
| <p>Southern Echo, Jackson, Mississippi \$175,000 for general support of its efforts to increase democratic participation in the southern region of the United States</p> | <p>Demos: A Network for Ideas and Action, Ltd., New York, New York \$250,000 in support of its Democracy Program that seeks better enforcement of voting laws and practices, better campaign-financing systems and expanded political participation among eligible citizens, the disenfranchised and noncitizens</p> | <p>University of Texas at Austin, Austin, Texas \$400,000 for use by its Center for African and African-American Studies for the Diasporic Racisms project, a new area of racial analysis and activist scholarship regarding the impact of transnational demographic, political, social and economic processes on the changing character of race relations in the United States, especially as they impact black, Latino and indigenous peoples</p> |
| <p>Surface Transportation Policy Project, Washington, D C \$200,000 in support of its project, the Alliance for a New Transportation Charter, to develop transportation policies that serve poor urban neighborhoods</p> | <p>Foundation-administered project: \$226,000 toward the costs of a reflective documentation process to capture lessons learned by the Foundation's Race, Policy and Democracy grantees in building participatory policy processes to address issues of racial inequity in five sites</p> | <p>WORK AND ECONOMIC OPPORTUNITY</p> |
| <p>William C. Velasquez Institute, Los Angeles, California \$75,000 toward a longitudinal analysis of U S Census data over the last 30 years to provide a comprehensive profile of the social mobility of Latinos in the United States, and to examine whether there is ongoing need within U S -Latino communities for Voting Rights Act protections to ensure meaningful democratic participation by Latino groups</p> | <p>Foundation-administered project: \$95,000 toward the costs of underwriting production of a report on lessons learned in five Foundation-funded sites that examine the way race affects policy issues</p> | <p>AFL-CIO Center for Working Capital, Washington, D C \$250,000 for general support of its work to educate the stewards of pension funds about how to encourage socially responsible investing and good corporate governance</p> |
| <p>William J. Brennan Jr. Center for Justice, New York, New York \$150,000 to document gaps and shortcomings in the New York City unemployment insurance benefit program and develop a comprehensive study of the informal economy in New York City in which low-wage workers live out their careers</p> | <p>Graduate School and University Center, City University of New York, New York, New York \$100,000 for use by its Howard Samuels State Management and Policy Center toward the costs of its Greater New York City Project, an effort to map impacts of, frame issues resulting from and disseminate information about rebuilding processes in the wake of the September 11 attacks, so as to stimulate within marginalized communities meaningful participation in the process</p> | <p>Basic Income European Network, Geneva, Switzerland \$30,000 toward the costs of its annual international conference, which this year is focusing on Income Security as a Right</p> |
| <p>Women's Policy Education Fund, Atlanta, Georgia \$75,000 in support of policy analysis and dissemination, outreach and public education, and working group participation related to improving Georgia's unemployment-insurance system so that it provides better support to part-time and low-wage workers</p> | <p>Harvard University, Cambridge, Massachusetts \$100,000 toward general operating costs of the John F Kennedy School of Government's Harvard Project on American Indian Economic Development and the Project's awards program honoring contributions in the governance of American Indian Nations</p> | <p>Center for Economic and Policy Research, Washington, D C \$132,300 to develop trend data on the incidence of contingent work, job tenure and mobility, and off-hour (non-standard) work schedules gathered from four Bureau of Labor Statistics Current Population Survey supplements, and to produce timely analyses of this data in 2003 and 2004</p> |
| <p>RACE, POLICY AND DEMOCRACY</p> | <p>Beloved Community Center, Greensboro, North Carolina \$600,000 for targeted capacity-building support in fund development, communications and technology, and establishment of an operating reserve to support its capacity to promote productive community discourse and engagement on issues of race, policy and democracy in Greensboro, North Carolina</p> | <p>Center for Economic and Policy Research, Washington, D C \$175,000 in support of research on job quality, economic mobility and work interruptions among low-wage women workers utilizing a uniquely rich longitudinal national data set, the Survey of Income and Program Participation, and dissemination of the research findings to policymakers</p> |
| <p>Chicago Lawyers' Committee for Civil Rights Under Law, Chicago, Illinois \$75,000 for continued support of its Economic Opportunity Program to increase the capacity of individuals to access and to sustain high-quality employment</p> | <p>Institute for Democracy Studies, New York, New York \$50,000 in support of its efforts to inform the debate around diversity through collection and dissemination of research and analysis and through public engagement on the benefits of inclusive public policies and the risks posed by curtailing of affirmative action</p> | <p>Chicago Jobs Council, Chicago, Illinois \$40,000 toward the costs of a report that analyzes public funding and performance measures for work-force development services in Illinois</p> |
| <p>Institute of Development Studies, Brighton, England \$100,000 for use by its Development Research Centre on Citizenship toward the cost of including Northern participants in its international consortium to research and explore the issues of citizenship, participation and accountability</p> | <p>Columbia University, New York, New York \$31,500 for use by its Graduate School of Business' Research Initiative on Social Entrepreneurship toward the costs of the publication of a survey of venture-capital investors seeking social, as well as financial, returns from their investments</p> | |



Photo credit: Exceed He

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| <p>Community Development Venture Capital Alliance, New York, New York \$195 000 toward the costs of research and preparations for a conference at the Foundation's Bellagio Study and Conference Center, Italy in 2003, on promoting a global field of developmental venture capital focused on bettering the lives of low-income populations throughout the world</p> <p>Cornell University, Ithaca, New York \$60 000 for use by its School of Industrial and Labor Relations Program on Employment and Disability toward the costs of producing a background paper for and covering the costs of nongovernmental participants attending a 2003 meeting to share information with peers in the United Kingdom about successful U.S. policies and programs aimed at assisting disabled people to gain employment</p> <p>Financial Markets Center, Philmont, Virginia \$175 000 for general support of its research, policy analysis and public education efforts designed to make the operation of the Federal Reserve system more transparent</p> <p>Foundation-administered project: \$134 400 toward the costs of reports that analyze public funding and performance measures for work force development services in New York, Texas, Tennessee and Florida</p> <p>Foundation-administered project: \$100,000 toward the costs of developing and implementing a communications strategy for the release of a set of industry case studies funded under the Future of Work program</p> <p>Foundation-administered project: \$65 000 toward the costs of a conference that will bring together Ford and Rockefeller foundation grantees to compare and examine strategies for improving the condition of less-skilled workers in temporary and unregulated work situations</p> <p>Institute of Development Studies, Brighton, England \$198 156 to develop a publication that will help to popularize global value chain analysis and demonstrate its utility for researchers, activists and policymakers concerned with equitable development</p> <p>New America Foundation, Washington, D.C. \$50 000 to develop a book that will demonstrate the structural vulnerabilities in the way global supply chains currently operate</p> <p>Urban Institute, Washington, D.C. \$70 153 in support of its project the Reentry Roundtable, toward a meeting to explore the relationship between work crime reduction and the successful reintegration of inmates after their release from prison</p> | <p>Testing Innovations</p> <p>EDUCATION INITIATIVES</p> <p>CityKids Foundation, New York, New York \$50 000 in support of its BridgeBuilder Initiative which provides skills training and leadership development for New York City youth in response to an increase in violence, racial prejudice and substance abuse observed among young people in the wake of the September 11 attack on the World Trade Center</p> <p>Haan Foundation for Children, San Francisco, California \$50 579 toward the costs of two planning and design meetings for the Power4Kids Initiative, a four year study designed to provide scientific evidence to policymakers and education communities about the most effective pathways for teaching children to read</p> <p>RAND Corporation, Santa Monica, California \$500 000 in support of an evaluation of Teachers for a New Era, a foundation collaborative designed to improve the quality of teacher education in the United States</p> <p>JOBs INITIATIVES</p> <p>Manpower Demonstration Research Corporation, New York, New York \$300,000 toward the costs of analysis and planning for a second phase of the Neighborhood Jobs Initiative</p> <p>Near Northside Partners Council, Fort Worth, Texas \$225,000 in support of its resident involved jobs-development program that partners with local public agencies seeking to improve comprehensive social services for the Near Northside community in Fort Worth, Texas</p> <p>Explorations and Other Grants</p> <p>The American Prospect, Washington, D.C. \$100 000 in support of an issue of the American Prospect magazine dedicated to transnational labor issues in the Americas and Caribbean that will help to promote public discussion and understanding of these issues among policymakers and academics</p> <p>Bronx Foundation for Education and the Arts, Bronx, New York \$100 000 for use by its project, the Bronx Charter School for the Arts, toward the costs of design and implementation of a model elementary school arts-education program in New York City (joint with Creativity & Culture)</p> <p>Citizens Budget Commission, Inc., New York, New York \$20 000 in support of a conference of elected officials, civic, business community and union leaders, social-service providers, and the media to discuss how New York City should reduce its budget gap</p> | <p>Colonial Williamsburg Foundation, Williamsburg, Virginia \$100,000 for support of the research and design of interpretive programs at the historic Williamsburg site that emphasize the role of American Indians in Colonial Virginia</p> <p>Earned Asset Resource Network, San Francisco, California \$75,000 toward the costs of refining and implementing a service-delivery model for establishing widespread provision of Individual Development Accounts for low-income people while enhancing community social capital formation in San Francisco's Mission District and Bayview/Hunter's Point neighborhoods</p> <p>Economic Policy Institute, Washington, D.C. \$50 000 for a research project examining the structure, diversity and functioning of worker centers in the United States</p> <p>Foundation-administered project \$122 891 toward the costs of a series of program-related meetings in different target cities that will bring together clusters of Working Communities program grantees to discuss goals and strategies</p> <p>Foundation-administered project \$25,000 toward the costs of a survey and analysis of community-reinvestment issues across the United States</p> <p>Foundation-administered project \$250 000 toward the costs of formative ongoing assessments of several of the Working Communities programs' clusters of policy and advocacy work</p> <p>Jobs with Justice Education Fund, Washington, D.C. \$75 000 in support of its project, Celebrating Economic Justice Arts and Culture in Organizing, that aims to use arts and culture to reach out to new constituencies, develop creative political education and communication tools and better document and celebrate advances in the work</p> <p>Sierra Health Foundation, Sacramento, California \$25 000 in support of a national conference to examine the role of community building in health improvement</p> <p>Unite for Dignity, Miami, Florida \$55 000 to support leadership training of female workers from the Caribbean and Latin America and for a community radio program on public affairs that affects the immigrant community in Miami (joint with Communication for Social Change)</p> <p>Worker Rights Consortium, Inc., Washington, D.C. \$85 000 in support of a project that will test the effectiveness of using codes of conduct and local monitoring in Mexican apparel factories to leverage U.S. consumer opinion to improve the labor conditions of workers nationally and regionally, as well as locally</p> |
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| Cross-Theme | Britain Yearly Meeting , London England \$150 000 toward the costs of a program to support developing-country strategy development regarding intellectual property policies particularly with respect to public health traditional knowledge and food security | International South Group Network , Quezon City, Philippines \$300 000 toward the costs of its Southern and Eastern Africa Trade Information and Negotiations Initiative for projects to strengthen the ability of African officials to negotiate on trade and development issues, and to develop research and teaching capacity in trade policy in African institutions |
| Global Inclusion | | |
| Science and Society for the Poor | | |
| GLOBAL DIALOGUES ON PLANT BIOTECHNOLOGY | | |
| Advocates Coalition for Development and Environment , Kampala Uganda \$19 400 toward the costs of an East Africa regional workshop that will educate scientists lawyers and legislators about a liability and redress regime under the Cartagena Protocol on Biosafety | Center for the Application of Molecular Biology to International Agriculture , Canberra Australia \$900 000 toward the costs of the CAMBIA Intellectual Property Resource a Web based provider of patent information related to the agricultural and health sciences designed (1) to increase the capacity of public-sector and small- to medium sized private sector organizations to develop strategies that address intellectual-property issues relevant to biotechnology in international agricultural and health research (2) to foster fair and equitable licensing arrangements for intellectual property and (3) to assist in bringing the benefits of these arrangements to resource poor communities (joint with Food Security and Health Equity) | Queen Mary, University of London , London England \$123 200 for use by its Queen Mary Intellectual Property Research Institute for the costs of a pilot study on the feasibility of establishing policy networks of intellectual property scholars and experts in developing countries |
| Center for Science in the Public Interest , Washington DC \$280 000 toward the costs of a project to broaden the public debate on genetic engineering in agriculture encourage improved regulations in biotechnology and increase international participation in policymaking on biotechnology issues | Resources for the Future , Washington DC \$10 000 toward the costs of attendance of international participants at workshop on the impact of the U.S. patent system on developing-country access to biotechnology, held at Stanford University October 2002 | |
| Consumer Federation of America Foundation , Washington DC \$150 000 toward the costs of research and analysis on domestic regulation and international trade issues related to agricultural biotechnology | Consumers Union , Yonkers New York \$130 000 toward the costs of a project to increase the engagement of EU and US consumer interests in fostering a fairer system of managing intellectual property in international and bilateral trade agreements and regimes in order to improve access to lifesaving medicines in developing countries | Society for the Study of Myth and Tradition , New York New York \$70 000 for the costs of a conference on issues of intellectual property relating to indigenous people, one in a series of Frati global dialogues on intellectual property at the Bellagio Study and Conference Center, Italy November 2002 |
| Foundation-administered project: \$900 000 for the costs of strategic advice from consultants and of an intranet service in support of the Global Dialogues on Plant Biotechnology (joint with Food Security) | Foundation-administered project: \$100 000 for commissioned research mapping documents and logistical support to inform the Foundation's work on intellectual property rights (joint with Creativity & Culture) | South Centre , Switzerland \$127 900 for a study on how developing countries' regions markets can take advantage of flexibility under international trade agreements to improve access to essential medicines |
| Foundation-administered project: \$240,700 toward the costs of a conference on the Foundation's Global Dialogues on Plant Biotechnology program and the future of agricultural biotechnology, and for the development and production of a related CD ROM | Foundation-administered project: \$195 800 for a series of meetings, service arrangements and/or consultancies to inform the Foundation's ongoing work on intellectual property policy | SCIENCE IN THE PUBLIC INTEREST |
| KCTS Television , Seattle Washington \$357 478 toward the costs of a television documentary about world food security and promising developments in the effort to end hunger (joint with Food Security) | International Centre for Trade and Sustainable Development , Geneva Switzerland \$90 000 toward the costs of a conference on strengthening developing country leadership on intellectual property policy one in a series of Frati global dialogues on intellectual property, held at the Bellagio Study and Conference Center Bellagio Italy November 2002 | FORO Nacional/Internacional , Lima Peru \$93 000 toward the costs of a publication that will contribute to a better understanding of the role that scientific and technological knowledge plays in development |
| Syracuse University , Syracuse, New York \$100,000 for use by its Gene Media Forum for the costs of an international conference on genetically modified foods and food security, with a focus on sub-Saharan Africa to be held in New York City spring 2003 | International Plant Genetic Resources Institute , Rome Italy \$400 000 toward the costs of an initiative to strengthen the capacity of developing countries to develop comprehensive national genetic resource policy frameworks (joint with Food Security) | Foundation-administered project: \$200 000 for program-development activities for a strategy on knowledge and innovation for development |
| INTELLECTUAL PROPERTY RIGHTS | | |
| American Association for the Advancement of Science , Washington DC \$600 000 toward the costs of a project on intellectual property policy that seeks to expand the public domain of information emphasize fairness in access to the benefits of science and encourage participation in policy deliberations (joint with Health Equity) | | Harvard University , Cambridge Massachusetts \$450 000 toward the costs of research and training for high-level decision makers from developing countries to strengthen the capacity of those countries to integrate science and technology into national development policy |
| | | International Council for Science , Paris France \$30 000 toward the costs of its sessions for the Forum on Science Technology and Innovation for Sustainable Development conducted in conjunction with the World Summit for Sustainable Development, held in Johannesburg South Africa, August 27 to September 1, 2002 |

SciDev.Net, London, England: \$300,000 for general support of its mission of providing a free-access Internet site offering original reporting and science-journal articles for policymakers, scientists and others in developing countries.

Sustainable Sciences Institute, San Francisco, California: \$190,600 toward the costs of "The Biopolitics of Risk: Technology, Globalization and Poverty," a research project on risk dilemmas and how they adversely impact marginalized communities in the context of globalization.

Policy Issues and Analyses

The Aspen Institute, Washington, D.C.: \$400,000 toward the costs of its Congressional Program, an initiative to promote leadership in the U.S. Congress by providing lawmakers with a deeper understanding of critical issues.

The Aspen Institute, Washington, D.C.: \$100,000 toward the costs of its project, the Ethical Globalization Initiative, aimed at integrating human rights norms and standards into ongoing efforts to spread the benefits of a global economy throughout the world.

Carter Center, Atlanta, Georgia: \$300,000 toward the costs of its Global Development Initiative, an effort to improve the effectiveness of development planning and cooperation through the formulation and implementation of long-term national development strategies and broad-based participation and ownership.

Center for Global Development, Washington, D.C.: \$300,000 toward the costs of the development and testing of a global development index, a tool for rating the impact of economic and social policies from governments of rich countries on the development of poor countries.

Foundation-administered project: \$100,000 for a series of consultancies and/or service arrangements to assist in the integration of program learning and evaluation mechanisms into the work of Global Inclusion and its shared programs.

Hybrid Vigor Institute, San Francisco, California: \$15,000 toward the costs of two meetings to design effective strategies for cross-programmatic collaboration and grantmaking within foundations.

King Baudouin Foundation, United States, New York, New York: \$70,000 toward the costs of a research initiative to build stakeholder participation in discussions on globalization and governance aimed at identifying how globalization can help achieve sustainable development.



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Mexico

The Foundation convenes policy-makers, community leaders and scientists to highlight neglected issues, to test and disseminate innovations, and to mobilize human and financial resources for solving tough problems.

London School of Economics and Political Science, University of London, London, England: \$300,000 toward the costs of its Global Civil Society Programme's research and dissemination on trends and ideas in global civil society.

United Nations Association of Great Britain and Northern Ireland, London, England: \$100,000 to enable developing-country representatives to participate in an implementation conference preceding the World Summit on Sustainable Development, held in Johannesburg, South Africa, August 2002.

United Nations Development Programme, New York, New York: \$300,000 toward the costs of the publication and dissemination of a UNDP report on trade and human development.

World Bank, Washington, D.C.: \$25,000 toward the costs of its International Comparison Program's expert group meeting on new research methodologies to improve global purchasing-power parity data, held in Washington D.C., July 2002.

Global Community, Peace and Justice

The Center for Victims of Torture, Minneapolis, Minnesota: \$75,000 toward the costs of a regional training workshop in Africa with the Desmond Tutu Peace Centre to share innovative tactics that have been developed to deal with human-rights abuses, thereby building the capacity of African human-rights organizations and activists, to be held in Cape Town, South Africa, January 2003.

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| <p>King's College London, London, England \$100 000 toward the costs of a study of the relationship between conflict and development in international policy</p> <p>New York University, New York New York \$150 000 for use by its Center on International Cooperation toward the costs of a project to explore the capacity of multilateral security institutions and arrangements to respond to new challenges arising both from changing threats and changing U.S. policy</p> <p>Organization for Social Science Research in Eastern and Southern Africa, Addis Ababa Ethiopia \$20 000 toward the costs of an international conference entitled The Quest for Social Peace in Africa Transformations Democracy and Public Policy held in Khartoum Sudan December 2002</p> <p>Social Science Research Council, New York New York \$300,000 toward the cost of its Conflict Prevention and Peace Forum which convenes discussions between conflict prevention and response officials at the United Nations and scholars and practitioners, especially from countries affected by armed conflict</p> <p>United Nations Association of the United States of America, New York, New York \$46 000 toward the costs of a meeting to bring together UN officials key local actors and external support groups with the goal of improving the United Nations capability to establish and strengthen rule-of-law systems in peace-building missions, held in New York City June 2002</p> <p>University of British Columbia, Vancouver Canada \$150 000 toward the costs of a project focusing on how to curb human-rights violations by non state armed groups</p> <p>University of British Columbia, Vancouver Canada \$200 000 toward the costs of four workshops to bring together the conflict research-and-policy communities to address major methodological and data controversies and to address ways to improve the communication of the research community's findings to policymakers</p> <p>Transnational Communities</p> <p>Alliance of Small Island States, New York New York \$100 000 toward the costs of a workshop on trade sustainable development and small island states, held in Montego Bay, Jamaica, December 2001</p> <p>El Colegio de Mexico, Mexico City Mexico \$99 400 toward the costs of a project to explore alternative policies for sustainable development in Mexico</p> | <p>El Rescate, Los Angeles California \$95 000 toward the costs of a project under its community remittances and local development program to carry out research on and build the capacity of hometown associations of Salvadoran immigrants in California</p> <p>Heartland Alliance, Chicago, Illinois \$200 000 toward the costs of analysis conducted by its international project, Enlaces América, of key policy challenges and opportunities for transnational migrant communities in their efforts to improve their livelihoods</p> <p>Interaction American Council for Voluntary International Action, Washington D C \$150 000 toward the costs of researching and monitoring the evolution of key regional integration-policy systems and the role of the Inter-American Development Bank in regional policy design, with the aim of understanding the implications for social development poverty inequality and migration</p> <p>Inter-American Dialogue, Washington D C \$100,000 for the costs of a research project to investigate the links among transnational poverty, inequality and social exclusion in the North American region</p> <p>Inter-American Dialogue, Washington D C \$200,000 for a project designed to enhance the contribution that remittances from immigrants in the United States to families in Mexico, Central America and the Caribbean can have on economic and social development in the region</p> <p>Interdisciplinary Group on Women, Work and Poverty, Mexico City Mexico \$75 000 toward the costs of a competitive research program to investigate the impact of remittances from family members in the United States on indigenous women living in poverty in Mexico</p> <p>Interhemispheric Resource Center, Albuquerque New Mexico \$150 000 toward the costs of a series of timely publications to inform policymakers, nongovernmental organizations and academics on key transnational policy issues in the North American community</p> <p>La Mujer Obrera, El Paso Texas \$150 000 toward the costs of establishing a women's network designed to empower marginalized women workers of Mexican heritage along the U.S.-Mexico border (joint with Working Communities)</p> <p>Latin American Faculty of Social Sciences, San Jose Costa Rica \$25,000 toward the costs of research on the characteristics and impact of migration from El Salvador, Guatemala, Honduras and Nicaragua to the United States</p> | <p>Mexican Association of Social Sector Credit Unions, Mexico City, Mexico \$100 000 toward the costs of its project to expand and consolidate access to banking services in transnational communities in Mexico and California</p> <p>Pacific Council on International Policy, Los Angeles, California \$250 000 for a project to explore through a series of structured strategic conversations among a diverse set of decision makers from Canada Mexico and the United States the transnational dynamics emerging in the region and to chart alternative scenarios for how the North American relationship might evolve politically economically socially culturally and institutionally</p> <p>Radio Bilingüe, Fresno California \$120 000 toward the costs of expanding its broadcasting to the Mixteca region in Mexico and from the state of Oaxaca to the United States in order to provide quality programming in areas such as migrants health needs</p> <p>Universidad Iberoamericana, Plantel Golfo Centro, Puebla Mexico \$24 000 toward the costs of a research review to describe and analyze the characteristics and impacts of migration between states in Central Mexico and the United States</p> <p>Philanthropy and Equity</p> <p>Foundation-administered project: \$150 000 for costs associated with The Philanthropy Workshop/West a collaborative program of the Hewlett TOSA and Rockefeller foundations</p> <p>Synergos Institute, New York New York \$400 000 toward the costs of a project that will strengthen the ability of developing-country community foundations to address the challenge of poverty in Latin America Southeast Asia and southern Africa (joint with Assets and Capacities)</p> <p>Explorations</p> <p>Center for Policy Alternatives, Washington D C \$100 000 toward the costs of enhancing the trade component of the Eleanor Roosevelt Global Leadership Institute whose mission is to increase the global consciousness of U.S. political leaders</p> |
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| <p>Regional Program</p> <p>Africa Regional Programs</p> | <p>economic transformation in the 21st century and address the human capacity and research needs of decentralization</p> <p>Makerere University, Kampala, Uganda \$509,700 for consultants to facilitate its process of institutional transformation related to capacity building for decentralization</p> <p>Mbarara University of Science and Technology, Mbarara, Uganda \$5,570 for a feasibility study of suitable alternatives for Internet connectivity for the university and subsequent development of a Web site</p> <p>Other University Activities</p> <p>Cornell University, Ithaca, New York \$1,300 in conjunction with the African Dissertation Internship Award to Richard O Nyankanga, to enable his supervisor at the International Potato Center's office for the sub-Saharan Africa region to attend his dissertation defense</p> <p>Syracuse University, Syracuse, New York \$2,816 in conjunction with the African Dissertation Internship Award to Benjamin W Kankpeyeng, to enable his supervisor at the Ghana Museums and Monuments Board to attend his dissertation defense</p> <p>University of Cape Town, Rondebosch, South Africa \$600,000 toward the costs of expanding its program, University Science, Humanities and Engineering Partnerships in Africa, into the area of food security</p> <p>University of Toronto, Toronto, Canada \$2,980 in conjunction with the African Dissertation Internship Award to Chima J Koneh, to enable his supervisor at Imo State University to attend his dissertation defense</p> <p>University of Virginia, Charlottesville, Virginia \$3,936 in conjunction with the African Dissertation Internship Award to Charles Bwenge, to enable his supervisor at the University of Dar es Salaam to attend his dissertation defense</p> <p>University of Waterloo, Ontario, Canada \$1,700 in conjunction with the African Dissertation Internship Award to Rashid Tamatamah, to enable his supervisor at the University of Dar es Salaam to attend his dissertation defense</p> <p>QUALITY EDUCATION FOR SOCIAL TRANSFORMATION</p> <p>Egerton University, Njoro, Kenya \$139,130 toward the costs of its project to improve teachers' understanding of, and skills in teaching about, the process of sexual maturation in order to enhance children's retention in primary schools in Kenya</p> <p>Forum for African Women Educationalists, Nairobi, Kenya \$540,000 for general support</p> | <p>Forum for African Women Educationalists, Nairobi, Kenya \$35,468 toward the costs of its project to create awareness among stakeholders about the impact of sexual maturation on school attendance and performance in the Nairobi and Bondo districts of Kenya</p> <p>Forum for African Women Educationalists, Uganda Chapter, Kampala, Uganda \$78,429 toward the cost of its project in five districts in Uganda to address the needs of primary-school girls in managing their sexual maturation</p> <p>Forum for African Women Educators, Zimbabwe Chapter, Harare, Zimbabwe \$11,821 toward the costs of a pilot project designed to address the psychosocial needs of orphans and vulnerable children in primary schools in Zimbabwe</p> <p>Kenya University, Nairobi, Kenya \$300,060 toward the costs of its project on intervention strategies to enhance female participation and performance in mathematics, science and information technology at the primary-school level in Kenya</p> <p>Kenya University, Nairobi, Kenya \$42,200 toward the costs of its project to produce norms in English literacy for primary schools in Kenya</p> <p>Kenya University, Nairobi, Kenya \$436,425 toward the costs of its project to produce norms in English literacy for primary schools in Kenya</p> <p>Kyambogo University, Kampala, Uganda \$149,943 toward the costs of its project to improve teachers' understanding of, and skills in teaching about, the process of sexual maturation in order to enhance children's retention in primary schools in Uganda</p> <p>Lolse P. W. Gichuhi, Nairobi, Kenya \$4,968 toward the costs of a research project on fertility and child schooling in Kenya</p> <p>Makerere University, Kampala, Uganda \$126,283 for use by its Makerere Institute of Social Research toward the costs of a project to improve teachers' understanding of, and skills in teaching about, the process of sexual maturation in order to enhance children's retention in primary schools in Uganda</p> <p>Makerere University, Kampala, Uganda \$50,800 toward the costs of its project to produce norms in English literacy for primary schools in Uganda</p> <p>Maseno University, Maseno, Kenya \$106,740 toward the costs of its project to study the traditional management of sexual maturation among the Luo community of Kenya</p> |
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Midlands State University, Gweru,

Zimbabwe: \$100,000 toward the costs of its project to improve teachers' understanding of, and skills in teaching about, the process of sexual maturation in order to enhance children's retention in primary schools in Zimbabwe.

Moses Kizza Musaazi, Kampala,

Uganda: \$18,000 for a project to develop environmentally sound technology that will facilitate the provision of gender-sensitive sanitation facilities in primary schools in Uganda, thereby assisting in the effective management of sexual maturation and promoting better hygiene in those schools.

Uganda Rural Development and

Training Programme, Kampala, Uganda: \$259,330 toward the cost of its project in education and communication for social transformation—an approach to poverty eradication and women's empowerment in Kibaale District, Uganda (joint with Communication for Social Change)..

United Nations Educational, Scientific and Cultural Organization, Paris, France:

\$160,000 for use by its International Institute for Educational Planning toward the costs of the activities of the Association for the Development of Education in Africa, in particular for its working groups on education statistics, on books and learning materials, and on early childhood development.

United Nations Educational, Scientific and Cultural Organization, Paris, France: \$60,000 toward the costs of developing a regional capacity-building program for nongovernmental and civil-society organizations working in education in sub-Saharan Africa.

University of Zimbabwe, Harare,

Zimbabwe: \$183,605 toward the costs of its project to improve teachers' understanding of, and skills in teaching about, the process of sexual maturation in order to enhance children's retention in primary schools in Zimbabwe.

University of Zimbabwe, Harare,

Zimbabwe: \$64,000 toward the costs of a project to produce norms in English literacy for primary schools in Zimbabwe.

Information for Development**MAPPING POVERTY**

African Wildlife Foundation, Washington, D.C.: \$170,000 for the development of a Geographic Information System for use in landscape-level planning and conservation intervention in East and southern Africa.

Foundation-administered project:

\$90,000 for administrative costs associated with the Information for Development area of work (joint with Health Equity).



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| 2002 Regional Programs | Grants |
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| | Kenya | Foundation-supported research in 1996 found that 77 percent of Kenyan children at the primary six level failed to achieve a desired level of literacy in English. |
| International Crops Research Institute for the Semi-Arid Tropics, Andhra Pradesh, India: | \$20,000 toward the costs of a conference on rural livelihoods and poverty-reduction policies in Kenya, Malawi, Tanzania and Uganda, held in Nairobi, Kenya, January 2003. | Makerere University, Kampala, Uganda: \$22,260 toward the costs of a stakeholders meeting on strengthening Uganda's district-planning units through the use of integrated data and information in planning its development programs, held in Entebbe, October 29 to November 2, 2002. |
| International Institute of Tropical Agriculture, Ibadan, Nigeria: | \$50,000 toward the costs of producing a CD-ROM of the Collaborative Study of Cassava in Africa, a multicountry survey of cassava production, processing and market systems in Africa. | University of Nairobi, Nairobi, Kenya: \$175,295 for use by its Department of Mathematics for the costs of implementing the Master of Science degree in applied biometry and of strengthening the capacity of staff offering courses in the degree. |
| International Livestock Research Institute, Nairobi, Kenya: | \$149,000 to develop training resources for effective teaching of biometry at universities in sub-Saharan Africa. | POPULATION AND HEALTH |
| | | INDEPTH Network, Accra, Ghana: \$299,200 for a collaborative research program with the University of Pennsylvania's census-analysis project that will inform demographic and health policy in sub-Saharan Africa (joint with Health Equity). |

| Program Type | Program Description | Program Focus | | |
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| Pathfinder International , Watertown, Massachusetts \$43,246 toward the costs of a project to assess the magnitude of HIV/AIDS among teachers and its effects on the education sector in Kenya Southern African Development Community , Gaborone, Botswana \$318,645 for the costs of training staff of national statistical/census offices, universities and other institutions of higher learning in the countries of the Southern African Development Community on census and spatial-data analysis Traditional and Modern Health Practitioners Together Against AIDS and Other Diseases , Kampala, Uganda \$65,452 for a consultant to review, and to organize a regional meeting on, the use of traditional remedies in the treatment of HIV-related ailments Union for African Population Studies , Dakar, Senegal \$122,000 toward the costs of the Fourth African Population Conference that will bring together decision makers, researchers, political leaders and development partners to discuss the realities, challenges and stakes inherent in population problems in Africa, to be held in Ivory Coast, December 2003 University of Pennsylvania , Philadelphia, Pennsylvania \$300,000 for use by its African Census Analysis Project for a program of collaborative research with the INDEPTH Network that will inform demographic and health policy in sub-Saharan Africa (joint with Health Equity) | Traditional and Modern Health Practitioners Together Against AIDS and Other Diseases , Kampala, Uganda \$325,000 to increase access to HIV/AIDS prevention and to care for rural communities in Uganda by building viable partnerships between traditional healers and biomedical workers University of Cape Town , Rondebosch, South Africa \$56,100 toward the costs of convening the first Pan-African conference on development issues in a globalizing world economy, held in Cape Town, March 2002 Explorations African AIDS Research Network , Dakar, Senegal \$35,642 toward the costs of a training workshop for national AIDS networks on capacity building and fund-raising strategies, held in Dakar, Senegal, February 2003 The AIDS Support Organisation , Kampala, Uganda \$149,930 toward the costs of increasing the capacity of its drama-group members to provide AIDS education to Ugandans, and of carrying out a study on the impact of the organization's services on communities (Joint with Assets and Capacities) Foundation-administered project: \$50,000 toward the costs of providing technical assistance to activities funded under the Foundation's Africa Regional Program New School University , New York, New York \$30,000 for use by its Transregional Center for Democratic Studies toward the costs of participants from southern Africa in its Democracy & Diversity Institute, held in Cape Town, South Africa, January 2002 Population Council , New York, New York \$24,900 toward the cost of activities related to a conference to advance research on female genital cutting, held at the Bellagio Study and Conference Center, Italy, May 2002 Women's University In Africa Trust , Harare Zimbabwe \$50,000 to convene consultative meetings to plan the establishment of the Women's University in Africa | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 10%; background-color: black; color: white; text-align: center; padding: 2px;">Regional Program</td> </tr> <tr> <td style="background-color: #f2f2f2; padding: 2px;">Southeast Asia Regional Program</td> </tr> </table> <p>Learning Across Boundaries</p> <p>Asian Resource Foundation, Bangkok, Thailand \$135,840 to support a research fellowship program entitled, Islam in Transition in Southeast Asia: A View From Within, for young Muslim intellectuals in the region</p> <p>Cambodia Development Resource Institute, Phnom Penh, Cambodia \$100,000 to support collaboration among research groups in Cambodia, Lao People's Democratic Republic, Thailand and Vietnam in investigating the efficiency of marketing, internal agricultural trade and export in the Greater Mekong Sub-region (joint with Food Security)</p> <p>Cambodia Development Resource Institute, Phnom Penh, Cambodia \$90,000 toward the costs of a comparative research project on off-farm and non-farm employment creation in Cambodia, Lao People's Democratic Republic, Thailand and Vietnam</p> <p>Center for Biodiversity and Indigenous Knowledge, Kunming, Yunnan, China \$36,000 toward the cost of the III Montane Manland Southeast Asia Conference, which aims to encourage dialogue and intellectual exchange among researchers, decision makers, development workers and indigenous leaders in the Greater Mekong Sub-region on preservation of indigenous knowledge and natural resources across countries, held in Lijiang City, Yunnan, China</p> <p>Chiang Mai University, Chiang Mai, Thailand \$86,460 for use by its Regional Center for Social Science and Sustainable Development in support of an experimental exchange program for graduate and post-graduate students and scholars in the Greater Mekong Sub-region to study the civilization and culture of the Mon and Mon-Khmer people of the region</p> <p>China Council for International Cooperation on Environment and Development, Vancouver British Columbia, Canada \$22,400 to support a series of study visits to China by selected midcareer Myanmar experts to participate in the meetings of the China Council Task Forces focusing on environment, biodiversity and development</p> <p>Chulalongkorn University, Bangkok, Thailand \$84,455 for use by its Institute of Asian Studies to support a collaborative research project with the Institute of Southeast Asian Studies, Hanoi, on the migratory movements of Vietnamese citizens to Thailand and back during the past 60 years</p> | Regional Program | Southeast Asia Regional Program |
| Regional Program | | | | |
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| Foundation-administered project: \$49 300 toward the cost of further diagnostic work on upland agriculture to support the Foundation's Food Security exploration in the Greater Mekong Sub-region (joint with Food Security) | Komol Keem Thong Foundation, Bangkok Thailand \$95 023 for use by its Mirror Art Group to create a Hilltribe Virtual Museum on the Internet to educate the public in Thailand and beyond about the rapidly vanishing languages and cultures of tribal people living in northern Thailand (joint with Creativity & Culture) | SEASREP Council, Quezon City Philippines \$111 135 to support an examination of the Mekong region as a sociocultural construct by reviewing relevant literature and the production of an anthology of original writings from a multidisciplinary perspective |
| Foundation-administered project: \$100 000 toward the cost of engaging consultants conducting workshops and publishing studies in support of the Foundation's Learning Across Boundaries area of work in the Greater Mekong Sub region | Mae Fah Luang University, Chiang Rai Thailand \$10 000 toward the costs of a seminar for staff of universities government ministries and UN agencies in the Greater Mekong Sub region countries on the role of higher education in developing human resources for the region | Silpakorn University, Bangkok Thailand \$100 000 for use by its Faculty of Painting Sculpture and Graphic Arts to support a collaborative bilateral scholars in-residence program with the College of Arts at Hue University in Vietnam (joint with Creativity & Culture) |
| Hanoi School of Public Health, Hanoi Vietnam \$55 790 to support a joint Vietnamese-Laotian effort to assess the institutional needs of Lao People's Democratic Republic's public-health colleges and to formulate recommendations to address them by drawing on the resources of Vietnam's public health university system | Mahidol University, Nakomprathom Thailand \$33 013 for use by its Institute of Population and Social Research for a participatory research project on the life experiences of migrant girls and young women from Myanmar employed as factory workers or domestic helpers in Thailand | SIS Forum (Malaysia) Berhad, Kuala Lumpur Malaysia \$78 300 to support a two-stage meeting for Muslim women intellectuals and activists from Southeast Asia to share experiences among themselves and with Muslim women in western Asia and the Middle East on the challenges they face as a result of rising fundamentalism |
| Hue University of Agriculture and Forestry, Hue City Vietnam \$31 000 to support the design and plan the development of a national network on upland natural resource management for sustainable development in Vietnam (joint with Communication for Social Change and Food Security) | Ministry of Agriculture and Forestry, Lao People's Democratic Republic, Vientiane Lao People's Democratic Republic \$35 000 for use by its National Agriculture and Forestry Research Institute to support human resource development through 20 fellowships to qualified staff of the Lao National Rice Research Program to enter diploma and bachelor of science programs at Laotian educational institutions | |
| International Rice Research Institute, Manila Philippines \$300 000 to support the development of an education entertainment approach to motivate Vietnamese and Laotian farmers to reduce pesticide use thereby enhancing human and environmental health (joint with Food Security Communication for Social Change and Health Equity) | Probe Media Foundation, Inc., Diliman Quezon City, Philippines \$250 000 to continue support for the Imaging the Mekong media-fellowship program on cross border and cross cultural issues in the Greater Mekong Sub region | |
| IPS Inter Press Service International Association, Rome Italy \$191,226 for use by its Regional Office for Asia Pacific in support of the recently launched Our Mekong: A Vision Amid Globalisation media fellowship program on cross border and cross cultural issues in the Greater Mekong Sub region | Rajamangala Institute of Technology, Kalasin Campus, Umpure Muang Kalasin Thailand \$230 200 toward the cost of short term training initiatives in education management and teaching capacity and technical skills development for selected faculty and administrators and scholarships for bachelor's degree study for selected students of six Laotian agricultural technical colleges and vocational schools | |
| Khon Kaen University, Khon Kaen Thailand \$25 000 for use by its Faculty of Agriculture toward the costs of a joint Cambodian Thai effort to assess the institutional needs of Cambodia's agriculture colleges and to formulate recommendations to address those needs by drawing on the resources of Thailand's agricultural university system | Rajamangala Institute of Technology, Kalasin Campus, Umpure Muang Kalasin Thailand \$10 000 to foster linkages among Cambodian, Lao and Thai institutions of agricultural education and research | |
| Khon Kaen University, Khon Kaen Thailand \$250 000 for use by its Faculty of Agriculture's Office of International Agriculture toward the costs of a training program for selected faculty members of three Laotian agricultural colleges | Sanga Sabhasri Research Foundation, Chiang Mai Thailand \$50,000 to support an international symposium on uses and effects of pesticides in Thailand—ecological, biomedical and economic, to be held in Chiang Mai Thailand | |

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| <p>Special Program</p> <p>Assets and Capacities</p> | <p>Individuals from developing countries to participate in the conference, New Forms of Urbanization Conceptualizing and Measuring Human Settlement in the Twenty-First Century, held at the Bellagio Study and Conference Center, Italy, March 2002</p> <p>Muhsin Jassim Al-Musawi, Sharjah, United Arab Emirates \$3,850 toward the cost of travel for six individuals from developing countries to participate in the team residency, Creativity and Dissent, to be held at the Bellagio Study and Conference Center, Italy, August and September 2003</p> <p>National Academy of Sciences, Washington, D C \$8,656 toward the cost of travel for 11 individuals from Iran to participate in the conference, Science and Ethics Experience and Challenges in the United States and Iran, held at the Bellagio Study and Conference Center, Italy, April 2002</p> <p>Population Council, New York, New York \$6,150 toward the cost of travel for six individuals from Africa to participate in the conference, Advancing Research on Female Genital Cutting, held at the Bellagio Study and Conference Center, Italy, April and May 2002</p> <p>Ruth Capriles, Caracas, Venezuela \$17,250 toward the cost of travel for 15 individuals from Venezuela to participate in the team, The Red de Veedores, or Citizen Watch Net of Venezuela An Experience of Research Action, held at the Bellagio Study and Conference Center, Italy, September and October 2002</p> <p>School of Oriental and African Studies, University of London, London, England \$10,800 toward the cost of travel for seven individuals from developing countries to participate in the team residency, Tribal Transitions: Assessing 50 Years of Cultural Change in Arunachal Pradesh, India, held at the Bellagio Study and Conference Center, Italy, October 2002</p> <p>Thomas Jefferson Foundation, Charlottesville, Virginia \$10,650 toward the cost of travel for six individuals from developing countries to participate in the conference, Thomas Jefferson, Rights and the Contemporary World, held at the Bellagio Study and Conference Center, Italy, June 2002</p> <p>Tukufu Zuberi, Philadelphia, Pennsylvania \$15,000 toward the cost of simultaneous translation for the team residency, Demography and Health in Africa, held at the Bellagio Study and Conference Center, Italy, December 2002</p> <p>University of California, Los Angeles, Los Angeles, California \$5,000 toward the cost of travel for five individuals from developing countries to participate in the conference, Achieving Diversity in Tertiary and Higher Education Challenges and Prospects, held at the Bellagio Study and Conference Center, Italy, March 2003</p> <p>University of Pennsylvania, Philadelphia, Pennsylvania \$25,000 toward the cost of travel for 16 individuals from Africa to participate in the team, Demography and Health in Africa, held at the Bellagio Study and Conference Center, Italy, December 2002</p> <p>University of Southern California, Los Angeles, California \$14,000 toward the cost of travel for eight individuals from developing countries to participate in the conference, The Impacts of Globalization on Urban Development, held at the Bellagio Study and Conference Center, Italy, August 2002</p> <p>University of Utah, Salt Lake City, Utah \$8,450 toward the cost of travel for six individuals from developing countries to participate in the team, Middle Eastern Islam from Afar: South/Southeast Asian and African Perspectives, held at the Bellagio Study and Conference Center, Italy, February and March 2003</p> <p>University of Washington, Seattle, Washington \$9,887 toward the cost of travel for six individuals from developing countries to participate in the conference, Ethnic Diversity and Citizenship Education in Multicultural Nation States, held at the Bellagio Study and Conference Center, Italy, June 2002</p> <p>World Health Organization, Geneva, Switzerland \$11,000 toward the cost of travel for seven individuals from developing countries to participate in the team, Knowledge into Action: Improving Equity in Child Health, held at the Bellagio Study and Conference Center, Italy, February 2003</p> <p>World Resources Institute, Washington, D C \$25,138 toward the cost of travel for 13 individuals from developing countries to participate in the conference, Nature, Justice and Local Environmental Governance: Assessing the Experience, held at the Bellagio Study and Conference Center, Italy, February 2002</p> | <p>CONFERENCE</p> <p>Advancing Research on Female Genital Cutting—Dr Nahla Abdel-Tawab, Research Scientist and Consultant, Population Council, Egypt Office, Giza, Egypt (April 29 to May 3)</p> <p>Application of Molecular Pharmacology for Post-Testicular Activities—Dr Ursula F Habenicht, Member of the Board of Trustees, Ernst Schering Research Foundation, Berlin, Germany (October 9 to 13)</p> |
| <p>Bellagio</p> <p>GRANTS</p> <p>Brown University, Providence, Rhode Island \$10,000 toward the cost of travel for six individuals from Africa to participate in the conference, Female Circumcision: Multicultural Perspectives, held at the Bellagio Study and Conference Center, Italy, April and May 2002</p> <p>Feminist Press, New York, New York \$4,200 toward the cost of travel for three individuals from Africa to participate in the team residency, Women Writing Africa: Western-Sahel Region, held at the Bellagio Study and Conference Center, Italy, August 2002</p> <p>Georgia State University, Atlanta, Georgia \$11,500 toward the cost of travel for eight individuals from developing countries to participate in the team residency, Rockin' Las Americas: The Global Politics of Rock in Latin America, held at the Bellagio Study and Conference Center, Italy, June and July 2002</p> <p>Graduate School and University Center, City University of New York, New York, New York \$10,766 toward the cost of travel for 11 individuals from developing countries to participate in the conference, Global Perspectives on Youth Conflict, to be held at the Bellagio Study and Conference Center, Italy, March 2003</p> <p>Green Map System, New York, New York \$7,275 toward the cost of travel for eight individuals from developing countries to participate in the conference, Green Mapmakers Global Gathering, held at the Bellagio Study and Conference Center, Italy, December 2002</p> <p>Harvard University, Cambridge, Massachusetts \$16,010 toward the cost of travel for nine individuals from developing countries to participate in the team residency, Women in Informal Employment: Globalizing and Organizing Steering Committee Meeting, held at the Bellagio Study and Conference Center, Italy, July 2002</p> <p>Innovia Foundation, Brummen, Netherlands \$5,550 toward the cost of travel for four individuals from developing countries to participate in the conference, Innovia: Patient Experience and the New Technologies in Health Care, held at the Bellagio Study and Conference Center, Italy, September 2002</p> <p>International Union for the Scientific Study of Population, Paris, France \$13,000 toward the cost of travel for six</p> | | |
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| <p>Constructing a New Cross-National Architecture for Labor Market Statistics—Dr Barry Bluestone, Russell B and Andrée B Steams Trustee Professor of Political Economy, Northeastern University, Boston, Massachusetts, Dr Andrew Sharpe, Executive Director, Centre for the Study of Living Standards, Ottawa, Ontario Canada, and Dr Antonio Letteri, Director, Center for International Social Studies, Rome, Italy (September 23 to 28)</p> | <p>Female Circumcision: Multicultural Perspectives—Dr Rogaia Mustafa Abusharaf, Nancy L Buc Postdoctoral Fellow, Brown University, Providence, Rhode Island (April 29 to May 3)</p> <p>Globalization and Sites of Intra-National Transactions: The Erosion of Borders and the Challenges for the European Union—Dr Rajan Menon, Monroe J Rathbone Professor of International Relations, Lehigh University, Bethlehem, Pennsylvania (July 15 to 19)</p> | <p>University, New York, New York (July 29 to August 2)</p> <p>Meeting of Director Generals of National Public Health Institutes—Dr Pekka Jousilahiu, Chief Physician, National Public Health Institute, Helsinki, Finland (October 16 to 20)</p> |
| <p>Cultural Agency in the Americas—Dr Dons Sommer, Professor of Romance Languages, Harvard University, Cambridge, Massachusetts (October 28 to November 1)</p> | <p>Decentralization and the Environment: Assessing Participation for Rio+10—Dr Jesse C Ribol, Senior Associate, Institutions and Governance Program, World Resources Institute, Washington, D C (February 18 to 22)</p> | <p>Museums and Global Public Spheres—Dr Tomas Ybarra-Frausto, Associate Director, Creativity & Culture, Rockefeller Foundation, New York, New York (July 22 to 26)</p> |
| <p>Development of a Global Plan for Cassava Improvement—Mr Claude Faquet, Director, ILTAB, St , Louis, Missouri, and Dr Gary Toennissen, Director, Food Security, Rockefeller Foundation (October 1 to 6)</p> | <p>Health System Productivity—Ms Sarah Singer, Executive Director and Senior Research Scholar, Dr Alan M Garber, Principal Investigator and Director, and Dr Donna Wright, Co-Project Director and Research Associate, all of the Center for Health Policy, Stanford University, Stanford, California (February 4 to 8)</p> | <p>New Faces Colloquium on Religion, Human Rights and Societal Reconstruction in a Pluralist World—Dr J Paul Martin, Executive Director, Center for the Study of Human Rights, Columbia University, New York, New York (July 1 to 5)</p> |
| <p>Diversity and Citizenship Education in Multicultural Societies—Dr James A Banks, Professor of Education, University of Washington, Seattle, Washington, Dr Robert F Arnove, Professor of Comparative Education, Indiana University, Bloomington, Indiana, Mr Shigeru Asanuma, Professor of Education, Tokyo Gakugei University, Tokyo, Japan, Dr David Gillborn, Professor of Education and Head of Policy Studies, University of London, London, England, and Mr Robert E Verhine, Adjunct Professor of Education, Federal University of Bahia, Bahia, Brazil (June 17 to 21)</p> | <p>The Impact of Health Sector Reform on Sexual and Reproductive Health Policy and Services—Ms Marge Berer, Editor, Reproductive Health Matters, London, England (February 25 to March 1)</p> <p>The Impacts of Globalization on Urban Development—Dr Harry W Richardson, The James Irvine Chair of Urban and Regional Planning, University of Southern California, Los Angeles, California (August 19 to 23)</p> | <p>New Forms of Democracy? The Reform and Transformation of Democratic Institutions—Mr Bruce E Cain, Robson Professor of Political Science and Director, Institute of Governmental Studies, University of California, Berkeley, Berkeley California, Mr Russell J Dalton, Professor, University of California, Irvine, Irvine, California, and Ms Susan E Scarrow, University of Houston, Houston, Texas (September 9 to 13)</p> |
| <p>Economic Agendas in Civil Wars: Assessing the Prospects for a Global Regulatory Framework—Ms Karen Gallentine, Research Coordinator and Program Associate, Economic Agendas in Civil Wars, International Peace Academy, New York, New York, and Mr Colin Keating, Partner, Chen & Palmer, Wellington, New Zealand (May 20 to 24)</p> | <p>Innovative Experiences in Philanthropic Leadership Development In Latin America and among Latino Populations in the United States—Mr Andrés Arturo Thompson, Program Director for Latin America and Caribbean, W K Kellogg Foundation, Colonia del Sacramento, Uruguay (March 25 to 29)</p> | <p>New Forms of Urbanization: Conceptualizing and Measuring Human Settlement in the Twenty-First Century—Mr Anthony Gerard Champion, Professor of Population Geography, University of Newcastle, Newcastle-upon-Tyne, England, and Dr Graeme Hugo, Professor of Geography, University of Adelaide, Adelaide, Scotland (March 11 to 15)</p> |
| <p>Extending the Boundaries of Democracy: Evaluating Two Decades of Education Reform and Inclusion—Dr Marilyn Gitell, Professor of Political Science, and Director, Howard Samuels State Management and Policy Center, City University of New York Graduate School and University Center, New York, New York, and Ms Dayna Cunningham, Associate Director, Working Communities, Rockefeller Foundation, New York, New York (December 9 to 13)</p> | <p>Innovia: Patient Experience and the New Technologies in Health Care—Dr Stuart S Blume, Professor of Science Dynamics, University of Amsterdam, Amsterdam, Netherlands, Dr Linda Hoggie, Stanford University, Stanford, California, Dr Nicky Britten, Senior Lecturer in Medical Sociology, London University, London, England, and Dr Anita Hardon, Associate Professor in Medical Anthropology, University of Amsterdam, Amsterdam, Netherlands (September 2 to 6)</p> <p>Markets and Corporations as Social Institutions: Assessing the Field of Economic Sociology—Dr Craig Calhoun, President, Social Science Research Council, New York, New York, Mr Neil Fligstein, Professor, University of California Berkeley, Berkeley, California, and Mr Doug Guthrie, Professor, New York</p> | <p>Oil and Conflict—Ms Mary Kaldor, Professor and Program Director, and Mr Yahia Said, Research Officer, both of the London School of Economics, London, England, and Mr David Rice, Director, Policy Unit, British Petroleum, London, England (November 18 to 22)</p> <p>The Political Economy of Global Population Change: Stocktaking and Perspectives, 1950–2050—Dr Paul Demeny, Distinguished Scholar, Population Council, New York, New York (April 8 to 12)</p> |
| | | <p>Progress and Prospects for Place-Based Randomized Trials—Dr Robert Boruch, University Trustee Chair Professor of Education, University of Pennsylvania, Philadelphia, Pennsylvania, and Ms Julia Lopez, Vice President, Rockefeller Foundation, San Francisco, California (November 11 to 15)</p> |
| | | <p>Progressive Frontiers: The Future Direction of Social Democracy—Ms Lisa Parker, Deputy Director, Institute for Public Policy Research, London, England (May 9 to 12)</p> |

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| <p>Proteolytic Machines in Human Disease—Dr Philip Coffino, Professor of Microbiology and Immunology, University of California, San Francisco, San Francisco, California, and Dr Dieter Heinrich Wolf, Professor and Director, University of Stuttgart, Stuttgart, Germany (June 10 to 14)</p> <p>Science and Ethics: Experience and Challenges in the United States and in Iran—Dr Glenn E Schweitzer, Director, Office for Central Europe and Eurasia, National Research Council, National Academy of Sciences, Washington, D C (April 22 to 26)</p> <p>Soil Fertility Degradation in Africa: Leveraging Long-Term Solutions to a Long-Term Problem—Dr John K. Lynam, Senior Scientist, Rockefeller Foundation, Nairobi, Kenya (March 4 to 8)</p> <p>Thomas Jefferson, Rights and the Contemporary World—Dr James Horn, Saunders Director, International Center for Jefferson Studies, Thomas Jefferson Memorial Foundation, Charlottesville, Virginia, and Dr Ruhi K Ramazani, Edward R Stettinius Professor Emeritus of Government and Foreign Affairs, and Dr A E Dick Howard, White Burkett Miller Professor of Law and Public Affairs, both of the University of Virginia, Charlottesville, Virginia (June 3 to 7)</p> <p>Transcending Post-Seattle Angst—Dr Barry Carn, Program Manager, and Dr Gordon S Smith, Executive Director, both of the Centre for Global Studies, University of Victoria, Victoria, British Columbia, Canada (April 15 to 19)</p> <p>Workers' Rights/Women's Rights: Women in the Global Economy—Ms Donna Hall, Associate Director, Global Inclusion, Rockefeller Foundation, New York, and Ms Cathy Feingold, Program Specialist on Women and the Global Economy, AFL-CIO Washington, D C (May 13 to 17)</p> <p>THE FRATI GLOBAL DIALOGUE SERIES</p> <p>FratI Global Dialogue Series on Innovation, Creativity and Development: The Distribution and Ownership of Knowledge in the 21st Century—Ms Carolyn Deere, Assistant Director for Global Inclusion, and Anthony So, M D Associate Director for Health Equity, both of the Rockefeller Foundation, New York, New York (October 29 to November 2, and November 12 to 30)</p> <p>TEAMS</p> <p>Biotechnology, Breeding and Seed Systems for African Crops—Mr Joseph DeVries, Associate Director, Food Security, Rockefeller Foundation, Nairobi, Kenya (September 6 to 20).</p> | <p>Conversations in Choreography: Fourth Session—Mr Scott de Lahunta, Co-Director, Writing Research Associate, Amsterdam, Netherlands (July 26 to August 4)</p> <p>Creativity and Dissent—Professor Dr Muhsin Jassim Al-Musawi, of Arabic and Comparative Studies, American University of Sharjah, Sharjah, United Arab Emirates (August 21 to September 3)</p> <p>Communication for Development and Social Change: Training Challenges and Opportunities—Ms Denise Gray-Felder, Vice President, Administration and Communications, Rockefeller Foundation, New York, New York (May 20 to 25)</p> <p>Dairies: A Theater Project—Ms Tatiana Frolova, Director, KnAM Theatre, Komsomolsk-na-Amure, Russia (March 4 to 22)</p> <p>Decentralization and the Environment: Assessing Participation for Rio+10—Dr Jesse C Ribot, Senior Associate, Institutions and Governance Program, World Resources Institute, Washington, D C (February 22 to March 2)</p> <p>Demography and Health in Africa—Dr Tukufu Zuberi, Professor of Sociology, University of Pennsylvania, Philadelphia, Pennsylvania (December 3 to 13)</p> <p>EU Enlargement and Agricultural Policies in Poland—Dr Maryjane Osa, Assistant Professor of Government and International Studies, University of South Carolina, Columbia, South Carolina (August 22 to September 4)</p> <p>The Global RF Human Resources Workshop—Mr Robert J Giacometti, Director, Human Resources, Rockefeller Foundation, New York, New York (April 9 to 13)</p> <p>Health Equity Strategy Meeting—Tim Evans, M D , Director, Health Equity, Rockefeller Foundation, New York, New York (March 18 to 22)</p> <p>Identifying Competencies for Communication for Social Change: A Global Perspective—Ms Denise Gray-Felder, Vice President, Administration and Communication, Rockefeller Foundation, New York, New York (January 28 to February 2)</p> <p>Indigenous Women's History of Colonial Domestic Work in Vanuatu—Dr Margaret Rodman, Professor of Anthropology, York University, Toronto, Ontario, Canada (May 14 to 27)</p> <p>Margaret Garner, An Opera in Two Acts—Dr Richard Danielpour, Composer, Manhattan School of Music, New York, New York (August 5 to 16)</p> | <p>Museums and Global Public Spheres—Dr Tomás Ybarra-Frausto, Associate Director, Creativity & Culture, Rockefeller Foundation, New York, New York (July 26 to 30)</p> <p>The Philanthropy Workshop: Review and Strategic Planning—Salvatore LaSpada, Manager, The Philanthropy Workshop, Rockefeller Foundation, New York, New York (April 16 to 20)</p> <p>The Red de Veedores, or Citizen Watch Net of Venezuela: An Experience of Research Action—Dr Ruth Capriles, Professor of Venezuelan Political and Economic History, Catholic University Andrés Bello, Caracas, Venezuela (September 24 to October 7)</p> <p>Rockin' Las Americas: The Global Politics of Rock In Latin America—Dr Deborah Pacini Hernandez, Associate Professor of Anthropology, Tufts University, Medford, Massachusetts (June 26 to July 8)</p> <p>Sound of Falling Light—Mr Chris Millado, Playwright and Director, Tanghalang Pilipino, Pasay City, Philippines (March 26 to April 20)</p> <p>The State of Economic Thinking In Different Disciplines—Dr David F Ruccio, Associate Professor of Economics University of Notre Dame, Notre Dame, Indiana (March 25 to 29)</p> <p>Strengthening Nationally Competitive Scholarships—Dr Alice Stone Ichman, Director, Jeannette K Watson Summer Fellows Program, Thomas J Watson Foundation, and Dr Warren Ichman, Director, Fellowships for New Americans, Paul and Daisy Soros Foundation both of New York, New York (November 5 to 10)</p> <p>Tribal Transitions: Assessing 50 Years of Cultural Change in Arunachal Pradesh, India—Dr Stuart Blackburn, Senior Lecturer, University of London, London, England (October 17 to 24)</p> <p>Women in Informal Employment: Globalizing and Organizing Steering Committee Meeting—Ms Renana Jhabvala, National Policy Adviser, Self Employed Women's Association, Ahmedabad, India (July 9 to 16)</p> <p>Women Writing Africa: Western/Sahel Region—Ms Florence Howe, Ementa Professor, Graduate Center, City University of New York, New York, New York (August 2 to 20)</p> <p>RESIDENTS</p> <p>Alice Adams (United States), Artist, Bronx, New York—artwork, "Landscape Projects Drawings and Study Models"</p> |
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Photograph Excised Here



Typical classroom in rural Zimbabwe. The education systems in many sub-Saharan African countries have been devastated as many teachers become ill with HIV/AIDS.

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Ibrahim Dasuki Ado-Kurawa (Nigeria), Acting Director of Research, Institute for Contemporary Research, Kano, Nigeria—a study, "The Press and National Unity in Nigeria, 1980-2000."

Akosua Adomako Ampofo (Ghana), Senior Research Fellow, University of Ghana, Legon, Ghana—a manuscript, "The Making of a Text. Culture and Gender in African Societies" (with Takyiwaa Manuh)

Imtiaz Ahmed (Bangladesh), Professor of International Relations, University of Dhaka, Dhaka, Bangladesh—a study, "Globalization and Low-Intensity Conflict. The Plight of the Stateless Rohingyas"

John H. Aldrich (United States), Pfizer-Prati University Professor of Political Science, Duke University, Durham, North Carolina—a study, "Democratic Citizens, Global Consumers: How Democratic Politics Is Shaped by Globalization"

Jerry Allyn (United States), Artist, New York, New York—artwork and production of a public radio program, "A Chair Is a Throne Is a Freedom Fighter's Camp Stool," to promote resolving conflicts creatively and inspire unique alternatives to punishment (with Helene Rosenbluth)

Fred Anderson (United States), Professor of History, University of Colorado, Boulder, Colorado—a manuscript, "The Dominion of War: Empire and Liberty in North American History, 1500-2000" (with Andrew R. L. Cayton).

Dejene Areo (Ethiopia), Associate Professor of Economics, Addis Ababa University, Addis Ababa, Ethiopia—a study, "The Role of NGOs in Development: The Ethiopian Case"

Einar Arnason (Iceland), Professor of Evolutionary Biology, University of Iceland, Reykjavik, Iceland—a study, "A Promised Land A Land Full of Promises"

Gamze Avci (Turkey), Assistant Professor of Political Science, Bogazici University, Istanbul, Turkey—a study, "Freedom of Movement: The 'Sotto Voce' in the European Union's Enlargement Policy Towards Turkey"

Ana Mariella Bacigalupo (Chile), Assistant Professor of Anthropology, State University of New York at Buffalo, Buffalo, New York—a manuscript, "Shamans of the Cinnamon Tree. Gender Sexuality and Healing Among the Chilean Mapuche."

Sharon Ballin (Canada), Professor of Education, Simon Fraser University, Burnaby, British Columbia, Canada—a study, "Creativity Across Cultures"

Brian K. Barber (United States), Associate Professor, University of Tennessee, Knoxville, Tennessee—a study, "Adolescents and Political Violence"

José Barzelatto, M.D. (Chile), Vice President, Center for Health and Social Policy, Rockville, Maryland—a manuscript, "Abortion: A Twentieth Century Misunderstanding" (with Aníbal Fáundes)

Gabriel Bernal Granados (Mexico), Editor, Editorial Aldus, Mexico City, Mexico—a new collection of essays, "An Autobiographical Essay."

Michael John Berry (Australia), Professor of Urban Studies and Planning, Royal Melbourne Institute of Technology, Melbourne, Victoria, Australia—a manuscript, "The End of Unemployment: Recapturing the Economic Possibilities of our Grandchildren"

Zbigniew Bialek (Poland), Professor of Literature, University of Silesia, Sosnowiec, Poland—a study, "The Body Wall: Somatics of Travelling and Discursive Practices"

Robert Stephen Bickerstaff (United States), Visiting Professor of Law, University of Texas at Austin, Austin, Texas—a study, "Giants in Walled Gardens: Understanding and Resolving Merger Policy Conflicts in the Global Communications Sector"

Isidro Blasco (Spain), Artist, New York, New York—artwork, "The Surroundings"

John Boden (United States), Professor of Classics, Rutgers University, New Brunswick, New Jersey—an electronic book, "Reading Pliny's 'Letters'"

Patricia Veronica Brady (Australia), Honorary Senior Research Fellow, University of Western Australia, Crawley, Australia—a manuscript examining the crisis confronting Western culture in its relation to the environment and other cultures, "Recovering Sacred Ground"



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| Charlotte Bunch (United States), Executive Director, Center for Women's Global Leadership, Rutgers University, New Brunswick, New Jersey—a study, 'Future Directions in Women's Human Rights' (with Roxanna Carrillo) | 'Incitement in Israel and The United States A Comparative Analysis' | Hubert L. Dreyfus (United States), Professor of Philosophy, University of California, Berkeley, Berkeley California—a manuscript, 'Being in-the-World Overcoming Mediational Thinking' (with Charles Taylor) |
| Kathleen Cahill (United States), Librettist, Willington, Connecticut—a music composition, "Clara Wieck" (with Robert Convery) | Randolph E. Coleman (United States), Professor of Composition and Music Theory, Oberlin Conservatory of Music, Oberlin, Ohio—a music composition | Michael David Edwards (United Kingdom), Guest Professor for Music and the Internet, Universität Mozarteum, Salzburg, Austria—a music composition for amplified string trio and computers, "Caduta Massi" |
| Carol Camfield (Canada), Professor of Pediatrics, Dalhousie University, Halifax, Nova Scotia, Canada—a review paper, 'Epilepsy in Childhood An Evidence-Based Review of the Natural History, Treatment, and Medical and Social Outcome' (with Peter Camfield) | Antonia Contro (United States), Artist, Chicago, Illinois—an interdisciplinary exhibition "Locus" (with Lou Mallozzi) | Dyan Elliott (Canada), Professor of History and Religious Studies, Indiana University, Bloomington, Indiana—a study "Proving Women Female Mysticism and Inquisitional Practice in Late Medieval Europe" |
| Peter Camfield (Canada), Professor and Chair, Department of Pediatrics, Dalhousie University, Halifax, Nova Scotia, Canada—a review paper, 'Epilepsy in Childhood An Evidence-Based Review of the Natural History, Treatment, and Medical and Social Outcome' (with Carol Camfield) | Robert Convery (United States), Composer, Long Island City, New York—a music composition, "Clara Wieck" (with Kathleen Cahill) | Sara M. Evans (United States), Distinguished McKnight University Professor University of Minnesota, Minneapolis, Minnesota—a manuscript "Tidal Wave Feminism in Late 20th-Century America" |
| Roxanna Carrillo (Peru), Senior Human Rights Adviser, United Nations Development Fund for Women, New York, New York—a study, "Future Directions in Women's Human Rights" (with Charlotte Bunch) | Jerry Allen Coyne (United States), Professor of Ecology and Evolution, University of Chicago, Chicago, Illinois—a manuscript, "Speciation A Collaborative Book on the Formation of Species" (with H. Allen Orr) | Tim Evans (United Kingdom), Lecturer in Theoretical Physics, Imperial College London, England—a manuscript, "Hot Dynamics of Quantum Fields: Non-Equilibrium Thermal Field Theory" (with Ray Rivers) |
| José Casanova (United States), Associate Professor of Sociology, New School University, New York, New York—a study, "Religion and Immigrant Incorporation in New York" (with Aristide R. Zolberg) | Patrick Roland Cullinan (South Africa), Senior Lecturer (Retired), University of the Western Cape, Cape Town, South Africa—a manuscript, 'Imaginative Trespasser Letters from Bessie Head to Patrick and Wendy Cullinan, 1963-1997' | Aníbal Fáundes, M.D. (Brazil), Professor of Obstetrics, State University of Campinas, Campinas, Brazil—a manuscript, 'Abortion A Twentieth Century Misunderstanding' (with José Barzelato) |
| Andrew R. L. Cayton (United States), Distinguished Professor of History, Miami University, Oxford, Ohio—a manuscript "The Dominion of War Empire and Liberty in North American History, 1500–2000" (with Fred Anderson) | Harvey P. Dale (United States), University Professor of Philanthropy and the Law, New York University New York New York—a manuscript, What Is a Chanty? Inurement Private Benefit and Excess Benefit Transactions" | Susan Gal (United States), Professor of Anthropology and Linguistics, University of Chicago, Chicago Illinois—a manuscript, "Language Ideologies and Linguistic Differentiation" (with Judith T. Irvine) |
| Kunal Chakrabarti (India), Professor of Ancient Indian History Jawaharlal Nehru University, New Delhi, India—a manuscript, "Imaging the Forest in Indian Tradition" | Angela Dalle Vacche (United States), Visiting Assistant Professor, Georgia Institute of Technology, Atlanta, Georgia—"The Silent Sex The Diva in Early Italian Cinema (1900-1920)" | Kamala Ganesh (India), Reader in Cultural Anthropology, University of Mumbai, Mumbai, India—a report Impact of the Retracting Dutch Welfare State on the Everyday Support Networks of Elderly People" |
| David Claman (United States), Visiting Professor of Music, Colorado College, Colorado Springs, Colorado—a music composition, Chamber Ensemble Work for the Cygnus Ensemble | Aurelio de los Reyes (Mexico), Professor, National Autonomous University of México, Mexico City, Mexico—a manuscript 'The Movies in Mexico, 1920-1924' the third volume in a series, 'Movies and Society in Mexico, 1896-1932' | Ann K. Gebuhr (United States), Associate Dean, College of Arts and Humanities, Houston Baptist University, Houston, Texas—a music composition, "Voyage d'Anima A Symphony" |
| James C. Cobb (United States), B. Phinizy Spalding Distinguished Professor of History, University of Georgia, Athens, Georgia—a manuscript, "From New South to 'No South' The Great Struggle within Southern Identity" | Martha Lyn Doggett (United States), Senior Political Affairs Office United Nations Secretariat New York New York—a manuscript "The Jesuit Murder Case Lawyers, Lies and Videotape" | Leonid Gibianskii (Russia), Senior Researcher, Russian Academy of Sciences Moscow, Russia—a study, "The Soviet Union and the Establishment of Communist Regimes in Eastern Europe 1945-1956 A Documentary Collection" (with Norman M. Naimark) |
| Malcolm Cochran (United States), Professor of Art, Ohio State University, Columbus, Ohio—artwork, 'On Fire' | Mary Dove (England), Reader in English, University of Sussex, Brighton, England—a book-length study of the first complete Bible in English the Wyclifite Version | Lesley Gill (United States), Associate Professor of Anthropology, American University Washington D C—a manuscript "Confronting Power, Military Training, Human Rights and Violence in the Americas" |

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| Lucy Gilson (England), Associate Professor, University of Witwatersrand, Johannesburg, South Africa —a manuscript, "The Health Policy Toolkit Methods for Investigating Policy" (with Gill Walt) | Joost R. Hiltermann (Netherlands), Executive Director, Human Rights Watch, Amman, Jordan —a manuscript, "Condoning War Crimes International Policy and the Making of the Iraq Crisis" | David Keechley (United States), Professor of Music, Williams College, Williamstown, Massachusetts —a set of a cappella choral pieces |
| Andrew Ginzel (United States), Artist, School of Visual Art, New York, New York —artwork, "Investigation Into Diametric Projects" (with Kristin Jones) | Michael Hutter (Germany), University Professor and Chair, Economic Theory, Witten/Herdecke University, Witten, Germany —a manuscript, "Plays of Value On the Relationship Between Economy and Art" | Jan Krzywicki (United States), Professor of Music, Temple University, Philadelphia, Pennsylvania —a music composition |
| Ira D. Glick (United States), Professor of Psychiatry and Behavioral Sciences, Stanford University, Stanford, California —a paper, "Improving Psychiatric Treatment Outcome by Combining Medication and Family Intervention to Improve Compliance" | Judith T. Irvine (United States), Professor of Anthropology, University of Michigan, Ann Arbor, Michigan —a manuscript, "Language Ideologies and Linguistic Differentiation" (with Susan Gal) | Marietta Jaeger Lane (United States), Writer, Three Forks, Montana —a rewriting of her book, "The Lost Child" |
| Lyle W. Gomes (United States), Professor of Photography, College of San Mateo, San Mateo, California —a photographic study, "Landscapes of Myth and Ideology" | Miriam Ittyerah (India), Reader in Psychology, University of Delhi, Delhi, India —a manuscript, "Hand and Touch Haptic Cognition" | Philippa Levine (United Kingdom), Professor of History, University of Southern California, Los Angeles, California —two studies, one reassessing the history of the British Empire and the other an edited collection on gender and empire for the series, "Oxford History of the British Empire" |
| Arpad Göncz (Hungary), Former President of Hungary, Budapest, Hungary —a memoir | Joy James (United States), Professor of Afro-American Studies, Brown University, Providence, Rhode Island —a manuscript, "Cyberspace in Prison Communication, Incarceration and Human Rights" (with William J. McIver) | Irina Levinskaya (Russia), Senior Research Fellow, Russian Academy of Social Sciences, St. Petersburg, Russia —a manuscript, "A Long-Desired Journey to Turkey Apostle Paul and Others" |
| Ana Rosa González Matute (Mexico), Professor Titular "C" Tiempo Completo, Universidad Pedagógica Nacional, Mexico City, Mexico —a collection of short stories, "En el Sueño Surgen las Posibilidades" | Denis R. Janz (United States), Provost Distinguished Professor, Loyola University, New Orleans, Louisiana —a manuscript, "The Pedagogy of Guilt in the Reformation Era" | Margareta M. Lovell (United States), Associate Professor of Art History, University of California, Berkeley, Berkeley, California —a manuscript, "Journey to the Ruins of Aztlán: In Search of the Scenic and the Prehistoric in Early 19th-Century America" |
| Ken Gonzales-Day (United States), Associate Professor of Art and Humanities, Scripps College, Claremont, California —a series of essays, "Inside Photography: A Photographer's Guide to Looking at Photographs" | Len Jenkin (United States), Playwright and Professor, New York University, New York, New York —a play, "Kraken: A Story of Nathaniel Hawthorne and Herman Melville" | Ruth Macklin (United States), Professor of Bioethics, Albert Einstein College of Medicine, Bronx, New York —a manuscript, "Confronting Ethical Controversies in International Research" |
| Savitri Wimalawathie Goonesekere (Sri Lanka), Vice Chancellor and Senior Professor of Law, University of Colombo, Colombo, Sri Lanka —a manuscript, "Integrating International Human Rights in Domestic Law" | Scott Johnson (United States), Composer, New York, New York —a music composition for sampled speech and electric ensemble, "Strangers and Neighbors" | Lou Mallozzi (United States), Composer, Chicago, Illinois —an interdisciplinary exhibition, "Locus" (with Antonia Contro) |
| Deirdre Mary Gibbin (Ireland), Lecturer, University of Newcastle, Newcastle-upon-Tyne, England —a music composition, "Venus Blazing" | Kristin Jones (United States), Artist, Tyler School of Art, New York, New York —artwork, "Investigation Into Diametric Projects" (with Andrew Ginzel) | Takyiwa Manuh (Ghana), Associate Professor, University of Ghana, Legon, Ghana —a manuscript, "The Making of a Text: Culture and Gender in African Societies" (with Akosua Adomako Ampofo) |
| W. Kim Halford (Australia), Professor of Clinical Psychology, Griffith University, Mt. Gravatt, Queensland, Australia —papers on relationship self-regulation, satisfaction and stability in the early years of marriage | Martin Kenneth Jones (United Kingdom), George Pitt-Rivers Professor of Archaeological Science, University of Cambridge, Cambridge, England —a manuscript, "Ecological Patterns in the Human Past" | Roberto Márquez (United States), William R. Kenan Professor of Latin American and Caribbean Studies, Mount Holyoke College, South Hadley, Massachusetts —a study, "Boríquén to El Barrio and Beyond: Puerto Rican Poetry From Aboriginal Times to the Present" |
| Joseph Harris (United States), Professor of English and Folklore, Harvard University, Cambridge, Massachusetts —a manuscript, "Early Germanic Elegy: An Essay in Para-Literary History" | Jerome Kagan (United States), Research Professor of Psychology, Harvard University, Cambridge, Massachusetts —a manuscript, "A Young Mind in an Early Brain" (with Norbert Herschkowitz) | William J. McIver Jr. (United States), Assistant Professor, State University of New York at Albany, Albany, New York —a manuscript, "Cyberspace in Prison Communication, Incarceration and Human Rights" (with Joy James) |
| Norbert Herschkowitz (Switzerland), Professor Emeritus of Pediatrics, University of Bern, Bern, Switzerland —a manuscript, "A Young Mind in an Early Brain" (with Jerome Kagan) | Prathibha Karanth (India), Professor, Dr S. R. Chandrasekhar Institute of Speech and Hearing, Bangalore, India —a study, "Cross-Linguistic Studies of the Disorders of Reading: Implications for Neuropsychological Models of Reading" | James McMichael (United States), Writer, Ojai, California —a collection of poems, "Capacity" |
| | Sermin Kardestuncer (United States), Artist, New York, New York —artwork, "Mud Series" | Norma C. Mendoza-Denton (Mexico), Assistant Professor of Anthropology, University of Arizona, Tucson, Arizona —a manuscript, "Homegirls: The Making of Latina Youth Styles" |



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|  Thailand | Support from the Foundation's Bangkok office is helping to build a new generation of Muslim scholars in Southeast Asia. | 2002 Grants Special Programs |
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| Antonio V. Menendez Alarcon (Spain) , Associate Professor of Sociology and Director, International Studies, Butler University, Indianapolis, Indiana—a study, "The Social Representation of the European Union in France, Spain and England." | NI Shaoxiang (China) , Professor and Director of Cartography and Remote Sensing, Nanjing Normal University, Nanjing, China—a study, "Grasshopper Monitoring and Early-Warning System in the Region Around Lake Qinghai, China." | Patterns of Production Organization in New York's Garment Industry." |
| Hoshang Merchant (India) , Reader, Department of English, University of Hyderabad, Hyderabad, India—a manuscript, "Gay Writing in India—Part I: Vedas to Muslim Conquest." | João Gilberto Noll (Brazil) , Writer, Porto Alegre, Brazil—a novel, "Berkeley." | Indira Viswanathan Peterson (United States) , Professor of Sanskrit and Indian Studies, Columbia University, New York, New York—translation of a Tamil drama from 18th-century India, "The Wandering Fortune-Teller of Kurralam." |
| Eugenio Waterstein Meyer (Mexico) , Professor of History, Universidad Nacional Autónoma de México, Mexico City, Mexico—a study, "John Kenneth Turner, The Mexican Journalist." | Geoffrey O'Brien (United States) , Editor-in-Chief, Library of America, New York, New York—a work of fiction and nonfiction, "Jukebox Sonata: Music and Memory in the Recording Era." | Barbara Setsu Pickett (United States) , Associate Professor, University of Oregon, Eugene, Oregon—a manuscript on the technique of hand weaving velvet and artwork, "Visual Chronicles on Silk." |
| Elijah Millgram (United States) , Associate Professor of Philosophy, University of Utah, Salt Lake City, Utah—a manuscript, "Hard Truths." | Brendan O'Leary (Ireland) , Professor of Political Science and Stanley I. Sheer Endowed Term Chair in the Social Sciences, University of Pennsylvania, Philadelphia, Pennsylvania—a monograph "Power-Sharing Executives: The Management of National and Ethnic Divisions in Northern Ireland and Comparable Consociational Cases." | Adriana García Piscitelli (Argentina) , Senior Researcher, Universidade Estadual de Campinas, Campinas, Brazil—a study, "Of 'Gringos' and 'Natives': Gender and Sexuality Discussed in the Context of International Sex Tourism in Fortaleza, Brazil." |
| Linda S. Mullenix (United States) , Ward Centennial Professor of Law, University of Texas at Austin, Austin, Texas—a manuscript, "Aggregate Resolution of Group Harms in a Global Context." | H. Allen Orr (United States) , Professor of Biology, University of Rochester, Rochester, New York—a manuscript, "Speciation: A Collaborative Book on the Formation of Species" (with Jerry Allen Coyne). | Karen Press (South Africa) , Writer, Sea Point, South Africa—a cycle of poems, "Hanging From the Family Tree." |
| Norman M. Naimark (United States) , Robert and Florence McDonnell Professor of East European Studies, Stanford University, Stanford, California—a study, "The Soviet Union and the Establishment of Communist Regimes in Eastern Europe, 1945–1956: A Documentary Collection" (with Leonid Gibianskii). | Petros Ovsepyan (United States) , Composer, Berlin, Germany—a music composition, "V. Studies in Illusion." | Wyatt Prunty (United States) , Director, Sewanee Writers' Conference, University of the South, Sewanee, Tennessee—a collection of poetry, "The Reasonable Stranger." |
| | Florence Palpacuer (France) , Professor in Business Management, Université de Bretagne Sud, Montpellier, France—a manuscript, "Global Outsourcing Down the Street: New | Miroslaw Przyliplak (Poland) , Senior Lecturer, University of Gdanski, Gdansk, Poland—a study, "Between Ideology and Representation: American Direct Cinema." |
| | | Qian Qing (China) , Professor, Beijing Foreign Studies University, Beijing, China—sections about 20th-century American literature for a five-volume "History of 20th-Century Foreign Literatures" (with Tao Jie). |



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| Teo Seeram Ramjeeewon (Mauritius) , Associate Professor, University of Mauritius, Reduit, Mauritius—a study, "Life Cycle Assessment of Cane-Sugar Production A Case Study of the Island of Mauritius " | Sharon Shenhav (Israel) , Director, International Jewish Women's Human Rights Watch, Jerusalem, Israel—a manuscript, "The Use of Human Rights as a Strategy for Elimination of Discrimination Against Women in Jewish Divorce " | Agata Tuszyńska (Poland) , Writer, Warsaw Poland—an autobiographical account, "Lost and Found " |
| Luis Recoder (United States) , Filmmaker, New York, New York—a film, "Available Light " | Maxim D. Shrayer (United States) , Associate Professor, Department of Slavic and Eastern Languages, Boston College, Chestnut Hill, Massachusetts—a manuscript, "The Jewish Question and Modern Russian Writers." | Charles S. Ungerleider (Canada) , Professor of Sociology of Education, University of British Columbia, Vancouver, British Columbia, Canada—a manuscript, "The Future of the Canadian Public School " |
| Jairam Reddy (South Africa) , Independent Consultant in Higher Education, Berea, South Africa—a study, "Reconfiguration of Higher Education Systems in the 21st Century A South African Case Study " | Svetlana Jurlevna Sigalova (Russia) , Professor of Musicology, Moscow Conservatory, Moscow, Russia—a textbook, "American Music (1900–1960): A Russian Perspective " | Harihar Vaishnav (India) , Writer, Playwright and Folklorist, Kondagaon, India—a study, "Preservation, Promotion, and Dissemination of Tribal and Folk Art and Culture" (with Khemdas Vaishnav) |
| Ray Rivers (United Kingdom) , Reader in Physics, Imperial College, London, England—a manuscript, "Hot Dynamics of Quantum Fields Non-Equilibrium Thermal Field Theory" (with Tim Evans) | R. Jeffrey Smith (United States) , Bureau Chief for Southwestern Europe, The Washington Post, Washington, D C — a book on why foreign conflicts begin and how the West can best intervene to promote stability | Khemdas Vaishnav (India) , Folk Painter, Kondagaon, India—a study, "Preservation, Promotion, and Dissemination of Tribal and Folk Art and Culture" (with Harihar Vaishnav) |
| Barbara R. Rodriguez (United States) , Assistant Professor of African-American Literature, Tufts University, Medford, Massachusetts—a manuscript, "The American Slave Narrative Slavery and the Persistence of Form." | W. D. Snodgrass (United States) , Distinguished Professor Emeritus, University of Delaware, Newark, Delaware—poetry | Ruben Hector Vallejos (Argentina) , Professor and Director, Centro de Estudios Fotosintéticos y Bioquímicos, Rosario, Argentina—a monograph, "Impact of Genetic Engineering on Agriculture in Developing Countries " |
| Dawn Rooney (United States) , Independent Scholar, Bangkok, Thailand—a manuscript, "Thai Art Revealed " | Yvonne Spielmann (Germany) , Professor of Visual Media, Braunschweig School of Art, Braunschweig, Germany—a manuscript, "Video—The Reflexive Medium | Ellen Bryant Voigt (United States) , Writer, Marshfield, Vermont—a seventh collection of poetry |
| Richard Barry Rosenbaum, M.D. (United States) , Clinical Professor of Neurology, Oregon Health Sciences University, Portland, Oregon—a manuscript, "Awakening Students to Science Learning from Parkinson's Disease " | Wendy Steiner (Canada) , Fisher Professor of English, University of Pennsylvania, Philadelphia, Pennsylvania—a manuscript, "A Love Song to Thomas Pynchon " | Linda C. Wagner-Martin (United States) , Frank Borden Hanes Professor of English and Comparative Literature, University of North Carolina, Chapel Hill, North Carolina—a double biography, "Zelda and Scott Fitzgerald The American Promise " |
| Helene Rosenbluth (United States) , Public Radio Producer, Oakland, California—artwork and production of a public radio program, "A Chair Is a Throne Is a Freedom Fighter's Camp Stool," to promote resolving conflicts creatively and inspire unique alternatives to punishment (with Jerry Allyn). | William W. Tait (United States) , Professor Emeritus of Philosophy, University of Chicago, Chicago, Illinois—a manuscript, "Meaning, Knowing and Understanding A View from Outside " | Gill Walt (England) , Professor of International Health Policy, London School of Hygiene and Tropical Medicine, London England—a manuscript, "The Health Policy Toolkit Methods for Investigating Policy" (with Lucy Gilson) |
| Sharman Apt Russell (United States) , Associate Professor of Humanities, Western New Mexico University, Silver City, New Mexico—a manuscript, "Hunger Your Step-by-Step Guide " | Tao Jie (China) , Professor, Peking University, Beijing, China—sections about 20th-century American literature for a five-volume "History of 20th-Century Foreign Literatures" (with Qian Qing). | Rebecca J. West (United States) , Professor of Italian and Cinema/Media Studies, University of Chicago, Chicago, Illinois—a manuscript, "Luigi Malerba L'ingegno Narrativo (Narrative Ingenuity) " |
| Jeffrey Schiff (United States) , Professor of Art, Wesleyan University, Middletown, Connecticut—artwork, "Double Vision " | Charles Taylor (Canada) , Professor Emeritus of Philosophy, McGill University, Montreal, Canada—a manuscript, "Being-in-the-World: Overcoming Mediational Thinking" (with Hubert L. Dreyfus) | Aristide R. Zolberg (United States) , University-in-Exile Professor of Political Science, New School University, New York New York—a study, "Religion and Immigrant Incorporation in New York" (with José Casanova) |
| W. Richard Scott (United States) , Professor of Sociology Emeritus, Stanford University, Stanford, California—a study about the ways in which institutional ideas travel from time to time and place to place | Shibru Tedla (Ethiopia) , Professor of Biology, Addis Ababa University, Addis Ababa, Ethiopia—a manuscript, "Conservation for Survival " | |
| Olga Aleksandrovna Sedakova (Russia) , Senior Researcher, Moscow State University, Moscow, Russia—a book on the art of poetry | Frederick C. Teiwes (Australia) , Professor in Chinese Politics, University of Sydney, Sydney, Australia—a study, "Between Restoration and Reform in Post-Mao China: Hu Guofeng, Deng Xiaoping and the Politics of Transition, 1976–1981 " | |
| Sambudha Sen (India) , Reader, University of Delhi, Delhi, India—a study, "Dickens, Thackeray and the Making of a Popular Novelistic Aesthetic " | | |



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| Primary school class, Kenya. The Foundation is supporting efforts to produce and distribute affordable reading materials to rural schools in Kenya, Uganda and Zimbabwe. | |
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| Special Program | Assets and Capacities |
| Communication for Social Change | |
| BUILDING THE FIELD | |
| African Network for Health Knowledge Management and Communication , Nairobi Kenya \$100 000 to support its efforts to explore new opportunities for harnessing information and communication technology for community health for rural and poor communities | Decatur Memorial Foundation, Decatur Illinois \$100 000 for use by the Decatur Community Partnership to measure the impact of the communication for social change integrated model and methodologies being tested in activities designed by the Decatur community in the areas of race relations among youth cardiovascular disease education and pediatric asthma education |
| Africare , Washington D C \$135,000 for use by Africare Zimbabwe to test, in a field setting communication processes aimed at building the capacity of and empowering rural Zimbabwean youth to advocate on their own behalf against risky behaviors that can lead to the transmission of HIV | Forum for African Women Educationalists, Ghana Chapter , Cantonments Accra, Ghana \$258,000 toward the costs of developing effective communication tools and approaches for grassroots advocacy and mobilization to support the education of girls in Ghana (joint with the Africa Regional Program) |
| AllAfrica Foundation , Washington D C \$250 000 toward the cost of launching six Internet channels to serve as global resources for supporting African development initiatives | Foundation-administered project: \$100 000 toward the costs of initial research and analysis for a multifaceted public-education project focused on issues of globalization and its effect on the lives of poor and excluded people |
| Catholic Development Commission , Masvingo Zimbabwe \$22,400 to test, in a field setting communication processes aimed at building the capacity of and empowering rural Zimbabwean youth to advocate on their own behalf against risky behaviors that can lead to the transmission of HIV | Foundation-administered project: \$35 000 toward the cost of a workshop, Communication for Social Change Educating and Training Professionals held at the Bellagio Study and Conference Center Italy, May 20-24, 2002 |
| | Foundation-administered project: \$40,540 toward the cost of a project jointly funded with UNESCO's Communication and Information Sector, to develop a comprehensive suite of interactive, multi media learning modules for community media and multimedia communication |
| | Foundation-administered project: \$310,000 toward the cost of follow-up activities resulting from Bellagio workshops on Communication for Social Change Educating and Training Professionals—including landscaping communication courses run by universities schools of public health and agricultural extension agencies and developing Communication for Social Change curricula for workshops and master's programs |
| | Foundation-administered project: \$67,500 to support an assessment of existing communication infrastructure in the focus countries of the Foundation's North American Transnational Communities initiative and an examination of communication challenges and strategies used by organizations to assist transnational groups |
| | Imaginario , Santafé de Bogotá Colombia \$150 000 toward the costs of further developing the Communication Initiative Latin America a network—including Web database, listserv and electronic magazines—on communication for sustainable development |
| | Sound Portraits Productions , New York New York \$50 000 to support StoryKiosk a pilot project of a new initiative StoryCorps aimed at instructing and inspiring citizens to record broadcast-quality audio interviews with family friends and community members |

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| <p>Umzingwane AIDS Network, Esigodini, Zimbabwe \$73,472 to test, in a field setting communication processes aimed at building the capacity of and empowering rural Zimbabwean youth to advocate on their own behalf against risky behaviors that can lead to the transmission of HIV</p> <p>WITNESS, New York, New York \$150,000 toward the costs of strengthening its capacity to provide video technology and training to human rights groups in the United States and around the world</p> <p>WorldSpace Foundation, Washington, D C \$100,000 for general support of its mission to provide educational and other social-development information to people in developing countries</p> <p>Zimbabwe AIDS Prevention and Support Organisation, Harare, Zimbabwe \$43,000 to test in a field setting, communication processes aimed at building the capacity of and empowering rural Zimbabwean youth to advocate on their own behalf against risky behaviors that can lead to the transmission of HIV</p> | <p>advocacy, with the aim of elevating both the concerns of immigrant students and the accountability of local schools, school systems and government agencies</p> <p>Commune of Bellagio, Bellagio, Italy \$50,000 in recognition of the cooperation of the town and its residents in the restoration of the 17th century friary at the Foundation's Bellagio Study and Conference Center, Italy to be used for the computer program in the local public school</p> <p>Cora New York Leadership Center, New York, New York \$200,000 to support its New American Leaders project that will recruit and train existing leaders so they may develop skills to build their organizations' participation in New York City civic processes.</p> <p>Council on Foundations, Washington, D C \$49,600 toward general operating expenses in 2002</p> <p>Earth Times Foundation, New York, New York \$50,000 for general support</p> <p>Foundation Center, New York, New York \$200,000 toward its general operating expenses during 2002-2004</p> <p>Independent Press Association-New York, New York, New York \$185,000 to encourage increased coverage of civic affairs in the immigrant press through fellowships, training opportunities and briefings for immigrant-press journalists</p> <p>Independent Sector, Washington, D C \$12,000 toward general operating expenses in 2002</p> <p>Japan Center for International Exchange (U.S.A.), Inc., New York, New York \$50,000 toward the costs of a research project on the role of private philanthropy in rebuilding United States-Japan relations following World War II</p> <p>National Summit on Africa, Washington, D C \$100,000 for general support</p> <p>New York Immigration Coalition, New York, New York \$200,000 to support Project Involve, an initiative aimed at educating immigrants in New York City about the possibilities and challenges of civic participation, and mobilizing them to become active players in their communities and the City at large</p> <p>New York Regional Association of Grantmakers, New York, New York \$15,500 toward general support for the year 2002</p> <p>Rockefeller Foundation Matching Gift Program: \$1,500,000 toward costs of the Rockefeller Foundation Matching Gift Program</p> <p>Rockefeller University, New York, New York \$730,418 to cover 2003 operating costs associated with the preservation</p> | <p>and continuing use of Foundation records deposited at the Rockefeller Archive Center</p> <p>School of Oriental and African Studies, University of London, London, England \$18,750 to enable its Bruner Gallery to mount a photographic exhibition of the work of Hiroji Kubota and John Vink on the theme of food security in sub-Saharan Africa and southern and eastern Asia</p> <p>Synergos Institute, New York, New York \$15,000 toward the costs of its sixth annual University for a Night, an event that brings together leading individuals, corporations, governmental and non-governmental organizations interested in improving the lives of poor people throughout the world, held at the United Nations, October 29, 2002</p> <p>Thomas J. Watson Foundation, Providence, Rhode Island \$10,000 toward the costs of a meeting on improving nationally competitive scholarship programs, held at the Bellagio Study and Conference Center, Italy, November 2002</p> <p>World Conservation Union, Gland, Switzerland \$88,000 for use by its Commission on Environmental, Economic and Social Policy to update the book, "The Careless Technology: Ecology and International Development" that critiques technology from environmental, human development and livelihoods perspectives</p> |
| <p>DEVELOPING METHODOLOGIES AND EVALUATING TOOLS</p> <p>Ashoka: Innovators for the Public, Arlington, Virginia \$50,000 toward the costs of a project to design, test and evaluate strategies, based on the experiences of Ashoka Fellows, for communicating system-changing ideas</p> <p>Foundation-administered project: \$55,850 toward the costs of a symposium on the role of journalism in promoting community values held May 30 to June 1, 2002, in conjunction with Rutgers University's Journalism Resources Institute</p> <p>PROMOTING MEDIA ACCESS</p> <p>Citizens Committee for New York City, New York, New York \$180,285 toward the costs of its program to strengthen neighborhood assets through competitive awards and technical support for New York City community groups concerned with enhancing intergroup relations</p> <p>National Public Radio, Washington, D C \$200,000 to support the continuation of its special news coverage of recovery efforts since the September 11 attacks and the war in Afghanistan</p> <p>Discretionary Funds</p> <p>Advocates for Children of New York, New York, New York \$165,000 in support of its Equity Monitoring Project for Immigrant and Refugee Education, designed to increase the engagement of immigrant parents in their children's education through school monitoring and</p> | <p>Independent Press Association-New York, New York, New York \$185,000 to encourage increased coverage of civic affairs in the immigrant press through fellowships, training opportunities and briefings for immigrant-press journalists</p> <p>Japan Center for International Exchange (U.S.A.), Inc., New York, New York \$50,000 toward the costs of a research project on the role of private philanthropy in rebuilding United States-Japan relations following World War II</p> <p>National Summit on Africa, Washington, D C \$100,000 for general support</p> <p>New York Immigration Coalition, New York, New York \$200,000 to support Project Involve, an initiative aimed at educating immigrants in New York City about the possibilities and challenges of civic participation, and mobilizing them to become active players in their communities and the City at large</p> <p>New York Regional Association of Grantmakers, New York, New York \$15,500 toward general support for the year 2002</p> <p>Rockefeller Foundation Matching Gift Program: \$1,500,000 toward costs of the Rockefeller Foundation Matching Gift Program</p> <p>Rockefeller University, New York, New York \$730,418 to cover 2003 operating costs associated with the preservation</p> | <p>Program Venture Experiment</p> <p>Foundation-administered project: \$196,800 to develop frameworks for measuring the social returns on ProVenEx investments</p> <p>BCLF Ventures, Boston, Massachusetts \$750,000 as a program-related investment to support its investments in businesses located in Massachusetts and the northeastern United States that can generate financial and social returns through creation and retention of high-quality entry- and mid-level jobs for low-income and other disadvantaged groups</p> <p>Jacobs Center for NonProfit Innovation, San Diego, California \$1,000,000 as a program-related investment to provide equity capital to finance Phase 1 of Market Creek Plaza, a 20-acre commercial, retail and cultural community economic development project in a distressed neighborhood in San Diego, California</p> <p>Origin, Inc., New York, New York \$750,000 as a program-related investment to support its efforts to connect welfare recipients and other low-income people who are unemployed or working at low-wage jobs to information technology-related jobs with large corporations</p> |

2002 Treasurer's Letter

The year 2002, the third consecutive year of negative returns in the U.S. stock market, will have a profound impact on the strategic thinking of the foundation community as declining market values have significantly reduced grantmaking capacity. There have only been three such periods since 1926, and the last was 1939–1941. Reflection on the past three years is even more difficult when facing 2003 with continued geopolitical crises, a weak global economy and investor mistrust of corporate America. Historically only once, 1929–1932, has the U.S. stock market dropped for a fourth consecutive year.

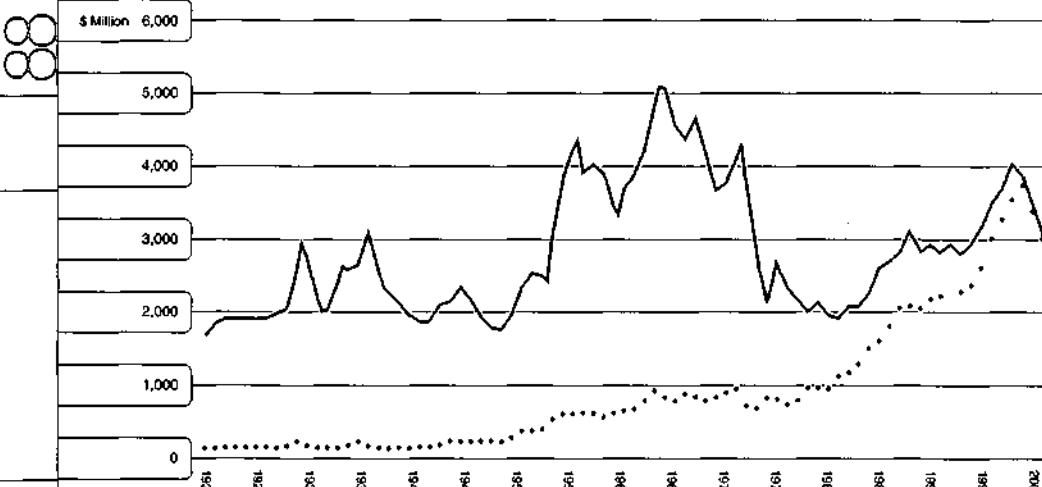
The Rockefeller Foundation's portfolio declined 11.1 percent for the year 2002, and the annualized return for the five-year period ending 2002 was 2.6 percent. While declines in the U.S. equity market had a severe negative impact, the portfolio benefited from broad diversification and, in particular, from investments in bonds and real estate. The market value of the endowment was \$2.6 billion at year-end 2002. Spending during the year totaled \$184 million, 7.1 percent of market value.

The graph below shows the history of the Foundation's endowment value in nominal terms and in 2002 dollars. The original corpus, which was fully funded in 1929, was worth \$2.9 billion in 2002 dollars. Though the inflation-adjusted value has been as low as \$1.7 billion and as high as \$5.0 billion, it has averaged \$2.8 billion over the life of the Foundation. It is sobering to note that the endowment fell slightly below this long-term average at the end of 2002. Spending over the life of the Foundation has totaled \$12 billion in 2002 dollars.

During the 1980s and 1990s, unprecedented investment returns provided foundations with substantial increases in endowment values and grantmaking capacity. The Foundation's spending, which has averaged \$150 million annually in inflation-adjusted dollars over its history, reached \$197 million in 2000. As a result of market declines over the past three years, the Rockefeller Foundation, like most others, is now grappling with the choices that must be made to return to sustainable spending levels. Most importantly, this involves increasingly sharpening the focus on programs that take advantage of its

ENDOWMENT ASSETS FROM 1921 THROUGH 2002

— Value in 2002 Dollars • Nominal Value



unique programming strengths and benefit poor and excluded people.

The concept of generational neutrality—maintaining the long-term purchasing power of the endowment after inflation and grantmaking—is a touchstone for the Foundation. There is a temptation to believe that the problems of the world today are more pressing than they may be in the future. The enormity of the challenges facing poor and excluded people around the world is daunting. Looking back in history, however, there have been other times when crises seemed similarly compelling. Because our predecessors adhered to the discipline of preserving generational neutrality, the Foundation's grantmaking capacity in real dollars, as well as its legacy of knowledge and experience in philanthropy, are available today.

In providing oversight of the Rockefeller Foundation's endowment, the board of trustees strives to balance the conflicting objectives of maintaining generational neutrality and maximizing funds for current programs through policies on the spending rate and on the asset allocation of the investment portfolio. The Foundation's long-term target for annual spending is 5.5 percent of the market value of the endowment.

Foundations are required by law to spend an average of 5 percent of endowment value each year. Assuming a long-term inflation rate of 3 percent, investment returns must average at least 8 percent in order to preserve purchasing power.

If, as many economists predict, the environment over the next decade will be characterized by low growth and subdued financial-market returns, it may be difficult for foundations to attain their return objectives. Therefore, the Rockefeller Foundation intends to continue increasing portfolio concentration in investments like absolute return strategies, real estate, and private equity which have returns that are less sensitive to the public markets and which provide the opportunity to add value through skilled management.

Asset allocation policy is reviewed annually by the Finance Committee, which establishes a target allocation for each asset class. The Foundation rebalances to policy targets as markets move, but does not make frequent tactical shifts in asset allocation. The long-term asset allocation targets are:

| ASSET CLASS | PERCENT |
|----------------------|---------|
| U.S. Equity | 30 |
| International Equity | 16 |
| Global Equity | 5 |
| Bonds | 20 |
| Cash | 1 |
| Absolute Return | 8 |
| Real Estate | 10 |
| Private Equity | 10 |

The Foundation's investment staff develops overall strategy, recommends investment managers, and oversees their performance and adherence to guidelines. Staff also researches new investment opportunities and determines their feasibility for the Foundation, and monitors and controls portfolio risks. During 2002, the Foundation's risk-management program was enhanced and risk-budgeting analyses were applied to the asset rebalancing process and to monitoring of marketable securities portfolios.

In selecting outside managers, the Foundation seeks firms that have the people, management structure and disciplined processes to generate superior future results, in addition to strong track records. While quantitative tools are essential for organizing data and for portfolio analysis, we believe that fundamental research and judgment will always be necessary in a world of rapidly changing capital markets. Investment expenses have a substantial impact on long-term results, and we consider cost control an important component of effective portfolio oversight.

The U.S. equity portfolio has 20 to 30 percent invested in an S&P 500 index fund, and the remainder is allocated among nine active managers. The structure of this asset class is designed to roughly approximate the Russell 3000 index allocations to small-, medium- and large-capitalization stocks.

The Foundation's international equity portfolio has a small index fund component, which is maintained for purposes of portfolio rebalancing, plus six active managers with EAFE benchmarks. Currency risk is hedged at a 50 percent level by specialist managers. Emerging markets can represent up to 20 percent of the total international equities portfolio, and the Foundation has two managers who specialize in these markets.

In early 2002, the Foundation added a Global Equity asset class. The Treasurer's Office believes that increasing global economic integration calls for an investment process that identifies the best companies in global industries. It is difficult to find managers with true global capacity, but the intent is to expand this asset class over time.

The U.S. bond portfolio is invested by five managers. The target allocation includes a 40 percent position in index funds holding Treasury bonds and Treasury Inflation Protected Securities (TIPS). The move to passively managed Treasury securities was made to increase credit quality, to allocate portfolio risk in asset classes where there is greater potential value added, and to reduce investment management fees. In addition to U.S. Treasury and agency securities, the actively managed portion of the portfolio includes mortgages, corporate bonds and asset-backed securities. A limited amount of international bonds may be held if managers believe they will add value compared to the Lehman Aggregate benchmark.

During 2001, an Absolute Return asset class was added to the Foundation's portfolio. This asset class, which will be built slowly with top-tier firms, includes investments in event-driven strategies, long/short equity strategies and distressed debt. These investments are expected to provide equitylike returns that are not highly correlated with the public equity and fixed-income markets.

In addition to marketable securities, the Foundation makes investments in private equity and real estate through limited partnerships. The inefficiency of private markets offers long-term institutional investors, who can tolerate illiquidity, the opportunity to benefit from value added by experienced partners. Our strategy is to build relationships with top-tier firms with whom we can invest in a series of funds over time and to structure partnerships that align our interests with those of our partners.

In conclusion, the difficult markets of the past three years have prevented the Foundation from achieving, over the last five years, a return that covers its 5.5 percent spending target plus inflation. Going forward, meeting this objective will continue to be a challenge, and we must continue to be responsive, though not narrowly reactive, to the changing market environment and to explore potential avenues for enhancing return without unduly increasing portfolio risk.

Report of Independent Auditors

The Board of Trustees

The Rockefeller Foundation

We have audited the accompanying statements of financial position of the Rockefeller Foundation (the "Foundation") as of December 31, 2002 and 2001, and the related statements of activities and cash flows for the years then ended. These financial statements are the responsibility of the Foundation's management. Our responsibility is to express an opinion on these financial statements based on our audits.

We conducted our audits in accordance with auditing standards generally accepted in the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audits provide a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the Rockefeller Foundation at December 31, 2002 and 2001, and the changes in its net assets and its cash flows for the years then ended in conformity with accounting principles generally accepted in the United States.

2002 Financial Reports
Report of Independent Auditors

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Ernest & Young LLP

New York, New York
February 21, 2003

Statements of Financial Position

December 31

| Assets | 2002 <i>(In Thousands)</i> | 2001 <i>(In Thousands)</i> |
|--|-------------------------------|-------------------------------|
| Cash and cash equivalents, including restricted bond funds of \$662 in 2002 and \$678 in 2001 (Notes 2 and 7) | \$ 4,532 | \$ 4,770 |
| Dividends, interest and other receivables | 9,548 | 11,851 |
| Investments (Notes 2, 3 and 4) | 2,577,455 | 3,113,684 |
| Property, net of accumulated depreciation and amortization (Note 5) | 24,695 | 26,078 |
| Deferred Federal excise tax (Note 2) | 5,598 | 1,301 |
| Prepaid pension cost and other assets (Note 6) | 57,236 | 53,442 |
| Total assets | \$ 2,679,064 | \$ 3,211,126 |
| Liabilities and net assets | | |
| Liabilities: | | |
| Accounts payable and accrued liabilities | \$ 10,296 | \$ 13,894 |
| Appropriations by the trustees, approved for specific grantees/purposes but not yet paid (Note 8) | 110,252 | 122,000 |
| Bonds payable, net of unamortized discount (2002: \$252; 2001: \$265) (Note 7) | 24,893 | 25,545 |
| Accrued post-retirement benefits (Note 6) | 18,676 | 18,445 |
| Total liabilities | 164,117 | 179,884 |
| Commitments (Notes 3 and 4) | | |
| Unrestricted and total net assets (including board-designated amounts of \$197,246 in 2002 and \$218,499 in 2001) (Note 8) | 2,514,947 | 3,031,242 |
| Total liabilities and net assets | \$ 2,679,064 | \$ 3,211,126 |

See accompanying notes.

Statements of Activities

Year ended December 31

| Changes in net assets | 2002 (In Thousands) | 2001 (In Thousands) |
|---|------------------------|------------------------|
| Investment return: | | |
| Realized and change in unrealized (loss) gain on investments, net | \$ (416,153) | \$ (334,991) |
| Dividend and interest income (Note 2) | 82,512 | 102,086 |
| Other investment income | 725 | 2,046 |
| | (332,916) | (230,869) |
| Investment expenses | (15,198) | (15,681) |
| Net investment return | (348,114) | (246,540) |
| Other expenses: | | |
| Approved grants and program costs | 136,214 | 151,182 |
| Program administrative expenses | 19,383 | 17,716 |
| General administrative expenses | 13,678 | 13,979 |
| Provision (benefit) for Federal excise and unrelated business income taxes (Note 2): | | |
| Current | 3,203 | 1,020 |
| Deferred | (4,297) | (3,751) |
| | 168,181 | 180,146 |
| Decrease in unrestricted net assets | (516,295) | (426,686) |
| Unrestricted net assets, beginning of year | 3,031,242 | 3,457,928 |
| Unrestricted net assets, end of year | \$ 2,514,947 | \$ 3,031,242 |

See accompanying notes.

2002 Financial Reports
Statements of Activities

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Statements of Cash Flows

Year ended December 31

| | 2002 (in Thousands) | 2001 (in Thousands) |
|--|------------------------|------------------------|
| Cash flows from operating activities | | |
| Decrease in net assets | \$ (516,295) | \$ (426,686) |
| Adjustments to reconcile decrease in net assets to net cash used in operating activities: | | |
| Depreciation and amortization | 1,465 | 1,469 |
| Net unrealized loss on investments | 212,398 | 183,317 |
| Net realized loss on investments | 203,755 | 151,674 |
| Changes in operating assets and liabilities: | | |
| Dividends, interest and other receivables | 2,303 | 2,633 |
| Prepaid pension cost and other assets | (3,794) | (4,141) |
| Accounts payable and accrued liabilities | (3,598) | (2,859) |
| Appropriations by the trustees, approved for specific grantees/purposes but not yet paid | (11,748) | 24,595 |
| Deferred Federal excise tax | (4,297) | (3,751) |
| Accrued post-retirement benefits | 231 | 121 |
| | 396,715 | 353,058 |
| Net cash used in operating activities | (119,580) | (73,628) |
| Cash flows from investing activities | | |
| Net sales of investments | 120,076 | 77,012 |
| Property additions | (69) | (275) |
| Net cash provided by investing activities | 120,007 | 76,737 |
| Cash flows from financing activities | | |
| Repayments of bonds payable | (665) | (635) |
| Net cash used in financing activities | (665) | (635) |
| Net (decrease) increase in cash and cash equivalents, excluding amounts held in investment portfolio | (238) | 2,474 |
| Cash and cash equivalents, beginning of year | 4,770 | 2,296 |
| Cash and cash equivalents, end of year | \$ 4,532 | \$ 4,770 |
| Supplemental information | | |
| Cash paid for bond interest | \$ 1,356 | \$ 1,384 |

See accompanying notes.

Notes to Financial Statements**1. Organization**

The Rockefeller Foundation (the "Foundation"), chartered in 1913 "to promote the well-being of mankind throughout the world," is a knowledge-based global foundation with a commitment to enrich and sustain the lives and livelihoods of poor and excluded people throughout the world. Grantmaking is organized around thematic lines of work: Creativity & Culture, Food Security, Health Equity, Working Communities, a cross-theme of Global Inclusion, and a number of regional and specialty programs. In managing the endowment, the Foundation has two primary objectives: to maximize the funds available for current funding and to preserve the value of the endowment. These objectives are achieved through a diversified investment portfolio and spending policies.

The Foundation qualifies as a tax-exempt organization under Section 501(c)(3) of the Internal Revenue Code and, accordingly, is not subject to Federal income tax (see Note 2).

2. Summary of Significant Accounting Policies**CASH AND CASH EQUIVALENTS**

Cash and cash equivalents consists of cash and investments with maturities of less than 90 days from the time of purchase. Cash and cash equivalents held by the Foundation's investment managers is not included.

INVESTMENTS

Investments in marketable securities are stated at fair value. Fair value is determined using daily closing prices, where available, for any tradeable instruments on any global stock exchange. Interest income and related expenses are accounted for on the accrual basis on trade date. Dividend income and related expenses are recognized on ex-date, net of withholding taxes where applicable. Realized gains and losses on investments in securities are calculated based on the specific identification method, based on trade date.

Limited partnership investments and similar interests are stated at estimated fair value. Values for these partnerships, which may include investments in both nonmarketable and market-traded securities, are provided by the general partner and reviewed by the

Foundation's management. Values may be based on historical cost, appraisals, fair values discounted for concentration of ownership or other estimates that require varying degrees of judgment. The financial statements of the limited partnerships are audited annually by independent auditors.

Programmatic investments are philanthropically driven, nonmarketable investments, made under the Foundation's Program Venture Experiment (ProVenEx), in businesses that further the Foundation's program work. Programmatic investments are stated at estimated fair value, which may be based on historical cost, financing events or material changes in the business.

Transactions in other currencies are translated into U.S. dollars at the exchange rates in effect at the date of the transactions. Monetary assets and liabilities denominated in non-U.S. currency are reported at the exchange rates

in effect at the dates of the statements of financial position. Any gain or loss arising from a change in exchange rates subsequent to the date of the transaction is included in realized and change in unrealized (loss) gain on investments, net, in the statements of activities. For the years ended December 31, 2002 and 2001, the realized and change in unrealized (loss) gain, net, resulting from foreign exchange was approximately \$23.7 million and \$(11.2) million, respectively.

FEDERAL EXCISE AND UNRELATED BUSINESS INCOME TAXES

The Foundation is classified as a private foundation.

It is subject to a Federal excise tax of 1 percent or 2 percent on investment income (its principal source of revenue) less investment expenses, and on net realized taxable gains on securities transactions. In accordance with Section 4940(e) of the Internal Revenue Code, for the years ended December 31, 2002 and 2001, the Foundation met the specified distribution requirements and, therefore, was subject to a Federal excise tax of 1 percent. Additionally, the Foundation's investments in certain private equity and real estate partnerships give rise to unrelated business income tax liabilities.

Deferred Federal excise tax arises from temporary differences between financial and tax reporting related to investment income and the difference between the cost basis and fair value of marketable securities.

| USE OF ESTIMATES | | RECLASSIFICATIONS | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|--|-----------------------------|---|-----------------------------|-----------------------------------|--|-----------------------------|-----------------------------------|-----------------------------|-----------------------------------|------------------------|--|--|--|--|--------------------|------------|------------|------------|------------|-----------------------------|---|---------|---|-------|---------------------------------------|---------|---------|---------|---------|-----------------------|---------|---------|---------|---------|--------------------------------|-----------|-----------|-----------|-----------|-------------------|-------|-------|--------|--------|----------|------------------|------------------|-----------|-----------|---|--|--|--|--|-----------------|--------|--------|--------|--------|-------------|---------|---------|---------|---------|----------------|---------|---------|---------|---------|----------|----------------|----------------|---------|---------|--------------------------|-------|-------|-------|-------|--------------------------------------|----------|----------|-----------|-----------|-------|---------------------|---------------------|--------------|--------------|
| The preparation of financial statements in conformity with accounting principles generally accepted in the United States requires management to make estimates and assumptions that affect the amounts reported in the financial statements and accompanying notes. Management believes that the estimates utilized in preparing its financial statements are reasonable and prudent. Actual results could differ from these estimates. ↗ | | Certain 2001 amounts have been reclassified to conform to the current year's presentation. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | 3. Investments | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| The Foundation's investment portfolio consists of the following: | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| December 31 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th></th> <th style="text-align: center;">2002 Cost (In Thousands)</th> <th style="text-align: center;">2002 Fair Value (In Thousands)</th> <th style="text-align: center;">2001 Cost (In Thousands)</th> <th style="text-align: center;">2001 Fair Value (In Thousands)</th> </tr> </thead> <tbody> <tr> <td>Marketable securities:</td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td> Money market funds</td> <td style="text-align: right;">\$ 195,067</td> <td style="text-align: right;">\$ 195,116</td> <td style="text-align: right;">\$ 242,712</td> <td style="text-align: right;">\$ 242,716</td> </tr> <tr> <td> Foreign currency—short-term</td> <td style="text-align: right;">—</td> <td style="text-align: right;">(7,300)</td> <td style="text-align: right;">—</td> <td style="text-align: right;">4,438</td> </tr> <tr> <td> U.S. and other government obligations</td> <td style="text-align: right;">242,343</td> <td style="text-align: right;">252,839</td> <td style="text-align: right;">356,219</td> <td style="text-align: right;">358,825</td> </tr> <tr> <td> Corporate obligations</td> <td style="text-align: right;">260,397</td> <td style="text-align: right;">244,069</td> <td style="text-align: right;">407,005</td> <td style="text-align: right;">388,077</td> </tr> <tr> <td> Common stock (including REITs)</td> <td style="text-align: right;">1,702,281</td> <td style="text-align: right;">1,499,524</td> <td style="text-align: right;">1,828,090</td> <td style="text-align: right;">1,775,395</td> </tr> <tr> <td> Other investments</td> <td style="text-align: right;">9,264</td> <td style="text-align: right;">7,008</td> <td style="text-align: right;">17,259</td> <td style="text-align: right;">13,283</td> </tr> <tr> <td> Subtotal</td> <td style="text-align: right;">2,409,352</td> <td style="text-align: right;">2,191,256</td> <td style="text-align: right;">2,861,285</td> <td style="text-align: right;">2,782,734</td> </tr> <tr> <td> Limited partnerships and similar interests:</td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td> Absolute return</td> <td style="text-align: right;">69,692</td> <td style="text-align: right;">80,012</td> <td style="text-align: right;">61,698</td> <td style="text-align: right;">71,813</td> </tr> <tr> <td> Real estate</td> <td style="text-align: right;">131,446</td> <td style="text-align: right;">156,798</td> <td style="text-align: right;">125,540</td> <td style="text-align: right;">152,480</td> </tr> <tr> <td> Private equity</td> <td style="text-align: right;">296,099</td> <td style="text-align: right;">194,493</td> <td style="text-align: right;">270,008</td> <td style="text-align: right;">229,968</td> </tr> <tr> <td> Subtotal</td> <td style="text-align: right;">497,237</td> <td style="text-align: right;">431,303</td> <td style="text-align: right;">457,246</td> <td style="text-align: right;">454,261</td> </tr> <tr> <td> Programmatic investments</td> <td style="text-align: right;">9,310</td> <td style="text-align: right;">9,310</td> <td style="text-align: right;">6,500</td> <td style="text-align: right;">6,500</td> </tr> <tr> <td>Pending securities transactions, net</td> <td style="text-align: right;">(54,428)</td> <td style="text-align: right;">(54,414)</td> <td style="text-align: right;">(129,729)</td> <td style="text-align: right;">(129,811)</td> </tr> <tr> <td>Total</td> <td style="text-align: right;">\$ 2,861,471</td> <td style="text-align: right;">\$ 2,577,455</td> <td style="text-align: right;">\$ 3,185,302</td> <td style="text-align: right;">\$ 3,113,684</td> </tr> </tbody> </table> | | | | | | 2002 Cost (In Thousands) | 2002 Fair Value (In Thousands) | 2001 Cost (In Thousands) | 2001 Fair Value (In Thousands) | Marketable securities: | | | | | Money market funds | \$ 195,067 | \$ 195,116 | \$ 242,712 | \$ 242,716 | Foreign currency—short-term | — | (7,300) | — | 4,438 | U.S. and other government obligations | 242,343 | 252,839 | 356,219 | 358,825 | Corporate obligations | 260,397 | 244,069 | 407,005 | 388,077 | Common stock (including REITs) | 1,702,281 | 1,499,524 | 1,828,090 | 1,775,395 | Other investments | 9,264 | 7,008 | 17,259 | 13,283 | Subtotal | 2,409,352 | 2,191,256 | 2,861,285 | 2,782,734 | Limited partnerships and similar interests: | | | | | Absolute return | 69,692 | 80,012 | 61,698 | 71,813 | Real estate | 131,446 | 156,798 | 125,540 | 152,480 | Private equity | 296,099 | 194,493 | 270,008 | 229,968 | Subtotal | 497,237 | 431,303 | 457,246 | 454,261 | Programmatic investments | 9,310 | 9,310 | 6,500 | 6,500 | Pending securities transactions, net | (54,428) | (54,414) | (129,729) | (129,811) | Total | \$ 2,861,471 | \$ 2,577,455 | \$ 3,185,302 | \$ 3,113,684 |
| | 2002 Cost (In Thousands) | 2002 Fair Value (In Thousands) | 2001 Cost (In Thousands) | 2001 Fair Value (In Thousands) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Marketable securities: | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Money market funds | \$ 195,067 | \$ 195,116 | \$ 242,712 | \$ 242,716 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Foreign currency—short-term | — | (7,300) | — | 4,438 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| U.S. and other government obligations | 242,343 | 252,839 | 356,219 | 358,825 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Corporate obligations | 260,397 | 244,069 | 407,005 | 388,077 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Common stock (including REITs) | 1,702,281 | 1,499,524 | 1,828,090 | 1,775,395 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Other investments | 9,264 | 7,008 | 17,259 | 13,283 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Subtotal | 2,409,352 | 2,191,256 | 2,861,285 | 2,782,734 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Limited partnerships and similar interests: | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Absolute return | 69,692 | 80,012 | 61,698 | 71,813 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Real estate | 131,446 | 156,798 | 125,540 | 152,480 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Private equity | 296,099 | 194,493 | 270,008 | 229,968 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Subtotal | 497,237 | 431,303 | 457,246 | 454,261 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Programmatic investments | 9,310 | 9,310 | 6,500 | 6,500 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Pending securities transactions, net | (54,428) | (54,414) | (129,729) | (129,811) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Total | \$ 2,861,471 | \$ 2,577,455 | \$ 3,185,302 | \$ 3,113,684 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| In 2001, the Foundation began investing in absolute return strategies. Absolute return strategies focus on long/short, event-driven and distressed investments. These investments are held in the form of limited partnerships. | | guidelines. Interest earned on these transactions is included with other investment income in the statements of activities. The fair value of securities on loan at December 31, 2002 and 2001, was approximately \$158.4 million and \$133.8 million, respectively. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| As of December 31, 2002, under the terms of various private equity, real estate and other limited partnership agreements, the Foundation has commitments to contribute approximately \$292 million in additional capital over the next 10 to 15 years. | | 4. Derivative Financial Instruments | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| The Foundation has three investment custodians. Two of these custodians maintained securities lending programs during 2002. Collateral was held at all times in excess of the value of the securities on loan. Investment of this collateral is in accordance with specified ↗ | | Derivatives are highly effective tools used to maintain asset mix or adjust portfolio risk exposure. The Foundation invests in futures on the S&P 500 index and U.S. Treasury bonds, and foreign currency forward contracts. The S&P 500 index and U.S. Treasury futures are purchased or sold at minimum transaction cost to adjust desired asset mix. Currency forward contracts are utilized by certain specialist investment managers | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

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| <p>to hedge foreign currency exposure in the Foundation's international equity portfolio.</p> <p>Some of the Foundation's investment managers are permitted to use exchange-based options to reach desired investment risk profiles. Options are often used in the Foundation's fixed income portfolio to adjust exposure to interest rate fluctuation and yield curve movements. Within the limited partnerships, managers may create additional exposure to the Foundation through short sales of securities, and trading in futures and forward contracts, options, swaps and other derivatives products.</p> <p>The Foundation records its derivative activities on a fair value basis. The fair value of futures, forwards and options is reflected in the statements of financial position. Each of these financial instruments contains varying degrees of risk whereby changes in the fair value of the securities underlying the financial instruments or the cost of satisfying the Foundation's obligation may exceed the amount recognized in the statements of financial position. The full market risk and credit risk of derivative financial instruments are associated with their underlying contract amounts or "notional values" in addition to their fair values. Market risk represents potential loss from the decrease in the value of these financial instruments. Credit risk represents potential loss from possible nonperformance by obligors and counterparties on the terms of their contracts. ➤</p> | <p>Assets and liabilities represent the derivative contracts purchased and sold by the Foundation. The fair value of such positions represents the net unrealized gains and losses and, consequently, the net receivables and payables at December 31, 2002 and 2001. Fair values of the Foundation's derivative financial instruments generally are determined by either quoted market prices or third-party pricing models. Pricing models utilize a series of market inputs to determine the present value of future cash flows, with adjustments, as required, for credit risk, liquidity risk and ongoing costs.</p> <p>Fair values of the Foundation's derivative financial instruments at December 31, 2002 and 2001, are summarized below. This table excludes exposures relating to derivatives held indirectly through partnership investments.</p> <p>At December 31, 2002 and 2001, there was approximately \$12.3 million and \$4.9 million related to over-the-counter ("OTC") contracts and approximately \$274,000 and \$900,000 related to exchange-traded contracts. As of December 31, 2002 and 2001, approximately \$7.8 million and \$8.5 million were maintained on deposit with brokers for margin requirements on futures, forwards and options. Counterparties to the Foundation's OTC derivative products are high credit quality institutions, which are primarily banks, securities firms and investment companies. Management does not anticipate that losses, if any, resulting from credit or market risk, would materially affect the Foundation's financial position.</p> |
|---|--|

December 31

| | 2002 (In Thousands) | 2001 (In Thousands) |
|---|------------------------|------------------------|
| Equity contracts to manage desired asset mix (contracts primarily based on S&P 500 index): | | |
| Futures contracts: | | |
| Assets | \$ 70 | \$ (303) |
| Fixed income contracts to manage portfolio duration, asset mix and interest rate risk: | | |
| Futures contracts: | | |
| Assets | (76) | 363 |
| Liabilities | 128 | (61) |
| Put and call options: | | |
| Liabilities | - | (211) |
| Foreign currency contracts to hedge foreign exchange exposure in non-U.S. dollar securities: | | |
| Forward contracts: | | |
| Assets | 2,527 | (204) |
| Liabilities | (9,853) | 4,665 |

| | | 2002 (In Thousands) | | 2001 (In Thousands) | |
|--|--------------------------------------|---|------------------------|------------------------|------------------------|
| Condominium interest in 420 Fifth Avenue | | \$ 16,555 | | \$ 16,555 | |
| Condominium improvements | | 14,130 | | 14,107 | |
| Furniture, fixtures and equipment | | 2,831 | | 2,801 | |
| | | 33,516 | | 33,463 | |
| Less accumulated depreciation and amortization | | 8,821 | | 7,385 | |
| Property--net | | \$ 24,695 | | \$ 26,078 | |
| 5. Property | | In 2000, the Foundation enhanced its 401(k) plan to create the Retirement Savings Plan (formerly named the Trusteed Savings Plan). Foundation contributions are now made to equal 13 percent of compensation plus a dollar-for-dollar match of up to an additional 2 percent of compensation contributed on a pre-tax basis by employees up to the compensation cap of \$200,000. Current members of the Plan had the option of remaining in the combined retirement plan consisting of the defined benefit pension plan and the former 401(k) Trusteed Savings Plan or moving to the new Retirement Savings Plan. Employees can make additional unmatched pre-tax contributions which, when combined with employee contributions that are matched, cannot exceed the maximum pre-tax contribution limit of \$11,000. All contributions are credited to the participants' accounts. The Foundation's contributions to the plans were \$1,538,000 in 2002 and \$1,420,000 in 2001. | | | |
| Expenditures for capital items currently in use are included in the property account and depreciated on a straight-line basis over the lives of the respective assets. At December 31, 2002 and 2001, the property account included the above. | | | | | |
| 6. Pensions and Other Post-Retirement Benefits | | | | | |
| The Foundation maintains a defined benefit pension plan (the "Plan") for regular salaried employees who were at least 21 years old and have completed one year of service or had attained the age of 40 prior to July 1, 2000. As of July 1, 2000, the Plan was closed to new employees and also to those employees hired prior to July 1, 2000, who did not meet the eligibility requirements. The Plan provides retirement benefits based on years of service and final average pay, with benefits after retirement subject to increase under a cost-of-living augmentation formula. The Foundation makes annual contributions to the Plan, as needed, based on actuarial calculations, in amounts sufficient to meet the minimum funding requirements pursuant to the Employee Retirement Income Security Act of 1974. Plan assets are invested in a diversified portfolio of equities and fixed income securities. ▶ | | | | | |
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| | | Locally hired staff in the Foundation's foreign offices participate in provident funds or building societies that conform with local customs, conditions or law. | | | |
| | | The Foundation provides certain health care and life insurance benefits ("Other Benefits") for retired | | | |
| | | 2002 (In Thousands) | 2001 (In Thousands) | 2002 (In Thousands) | 2001 (In Thousands) |
| Benefit obligation at year-end | \$ 60,075 | \$ 51,133 | \$ 16,437 | \$ 15,414 | |
| Fair value of plan assets at year-end | 79,442 | 95,421 | - | - | |
| Funded status of the plan (underfunded) | \$ 19,367 | \$ 44,288 | \$ (16,437) | \$ (15,414) | |
| Prepaid (accrued) benefit cost recognized in the statements of financial position | \$ 54,150 | \$ 50,549 | \$ (18,676) | \$ (18,445) | |
| Weighted-average assumptions as of December 31: | | | | | |
| Discount rate | 6.75% | 7.25% | 6.75% | 7.25% | |
| Expected return on plan assets | 8.75 | 9.00 | | | |
| Rate of compensation increase | 4.50 | 5.00 | | | |

| | 2002 Pension Benefits (In Thousands) | 2001 Pension Benefits (In Thousands) | 2002 Other Benefits (In Thousands) | 2001 Other Benefits (In Thousands) |
|------------------------------------|--|--|--|--|
| Net periodic benefit cost (credit) | \$ (3,601) | \$ (4,571) | \$ 1,273 | \$ 1,163 |
| Benefits paid | 3,226 | 2,991 | 1,004 | 1,042 |

employees. Employees are eligible for these benefits when they meet the criteria for retirement under the Foundation's pension plan. The plans are noncontributory and there are no cost sharing features. The Foundation accrues the expected cost of providing post-retirement benefits over the years that employees render service and pays the cost of retiree health care benefits with excess pension plan assets under the applicable provisions of the Internal Revenue Code. For measurement purposes, an 8 percent annual rate of increase in the pre-65 per capita cost of covered health care benefits was assumed for 2003. The rate was assumed to decrease gradually to 5 percent by 2006 and remain at that level thereafter.

7. Bonds Payable

During fiscal 1993, the Foundation issued \$20,445,000 in tax-exempt term bonds and \$9,815,000 in tax-exempt serial bonds to fund the acquisition, construction and furnishing of a new office facility (the "Facility"). The bond proceeds and related investment income earned were held by a trustee (the "Trustee") and have been disbursed at the direction of the Foundation to fund allowable Facility-related costs.

The bonds are rated Aaa by Moody's and AAA by Standard & Poor's and are backed by the general assets of the Foundation. In addition, the bonds are secured by the Foundation's ownership interest in the Facility, a leasehold interest in the Facility, and insurance proceeds with respect to the Facility. The nominal interest rates on the serial bonds range from 4.7 percent to 5.1 percent. The nominal ↗

interest rates attributable to the term bonds are 5.3 percent and 5.4 percent.

The serial bonds mature in various amounts, ranging from \$695,000 to \$880,000 per year, through 2008. The term bonds are due in 2013 (\$5,140,000) and 2023 (\$15,305,000). Bond maturities are as follows (in thousands):

| Fiscal year ending December 31: | |
|---------------------------------|------------------|
| 2003 | \$ 695 |
| 2004 | 725 |
| 2005 | 760 |
| 2006 | 800 |
| 2007 | 840 |
| Thereafter | 21,325 |
| | \$ 25,145 |

8. Appropriations and Expenditures

Appropriations by the trustees are considered to be obligations when grants are approved (awarded) for specific grantees; appropriations not released for specific grantees and the appropriation for the budget for the next year are considered as board-designated net assets. The majority of approved grants are scheduled for payment within one year. Investment expenses, program and general administrative expenses and excise and unrelated business income taxes account for approximately 26 percent in 2002 (23 percent in 2001) of the Foundation's total expenses and are charged to operations when incurred.

Appropriations and expenditures for the year are summarized as follows:

| | Approved for Specific Grantees/ Purposes (In Thousands) | Approved for Allocation and Next Year's Budget (In Thousands) | Total Appropriated (In Thousands) |
|--|--|--|---|
| Balance, December 31, 2001 | \$ 122,000 | \$ 218,499 | \$ 340,499 |
| Approved grants and program and administrative costs | 177,278 | (177,278) | - |
| Lapses and refunds | (2,740) | (12,475) | (15,215) |
| Expenditures for grants and operations | (186,286) | - | (186,286) |
| 2003 budget | - | 168,500 | 168,500 |
| Balance, December 31, 2002 | \$ 110,252 | \$ 197,246 | \$ 307,498 |

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As of Dec. 31, 2002

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