



**The Rockefeller Foundation
Annual Report 2011**

president's letter.

At the beginning of the second decade of the new millennium, the ground is shifting beneath us. The impacts of climate change are accelerating. The global population is growing rapidly and restlessly. Much of our work at The Rockefeller Foundation focuses on innovating to meet these 21st century challenges. As we present our 2011 Annual Report, it is worth pausing to consider a question fundamental to Rockefeller's mission: *why innovation?*

Sometimes, it seems a word without weight. Last year, "innovative" had the dubious distinction of being the second-most overused term in LinkedIn's 85-million user profiles. (In my local grocery store, I've even seen the word applied to thin-crust frozen pizza.) But at Rockefeller, innovation is much more than a buzzword—it is our byword, the past and future of our foundation. We have always understood that in a world of ever-increasing complexity, success goes to the non-linear, the aggregator, the connector. Solutions flow from the innovator.

Innovation—what John D. Rockefeller called “scientific philanthropy”—has defined our mission for nearly a century, as Rockefeller researchers and resources created the field of public health, or launched a Green Revolution in agriculture credited with saving a billion lives. None of these advances were straightforward or predictable. They required reframing problems and recombining insights. Pioneering Rockefeller scientists, for instance, saw food security not just as a problem of agricultural science, but of economics, sociology, and psychology as well. As one of Rockefeller's grantees once observed, “You cannot solve a problem at the same level of consciousness that created it. You must look at the world anew.” That grantee was Albert Einstein, and he was right; to solve problems, we must look at the world anew.

Today, at first glance, we see a world in which food insecurity is exacerbated by shifting weather patterns, the search for alternative energy solutions, and rapidly shifting global market dynamics. We see city infrastructure overwhelmed by unprecedented urban migration, and mutating bacteria and viruses developing resistance to vaccines, as they race through populations around the world.

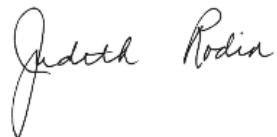
But then we tilt our heads slightly. We bring together experts and range broadly across disciplines. We see with new eyes. *We innovate.*

Others pioneered microcredit, but Rockefeller is pairing that invention with weather-calibrated microinsurance for farmers in sub-Saharan Africa. The emerging sector of impact investment existed previously, but Rockefeller realized that creating uniform standards would further unleash private capital to alleviate social ills.

Recognizing that the profound impact of innovations are compounded, multiplied, and reapplied, Rockefeller is committed to strengthening the institutions and infrastructure of innovation—the *processes* of innovation. This year we have focused on six major issue areas: transportation, food security, urban climate resilience, health infrastructure, impact investing, and innovation itself. As you will see in this report, what unites all Rockefeller projects is a desire to harness networks, compile data, and build systems, with an eye toward catalyzing the next big breakthrough.

John D. Rockefeller said, “If you want to succeed you should strike out on new paths, rather than travel the worn paths of accepted success.” We have taken our founder’s words to heart. Without innovation—with-out striking out on new paths—our present tools will prove insufficient to overcome the challenges of our time. After all, innovation is not just a word; it is one of the world’s most powerful frameworks, because, in a way, it teaches us to *reject* frameworks. It allows us to size up and take on the 21st century’s most insurmountable difficulties by being relentlessly creative.

Innovation is the triumph of the imagination. It’s what drives us at Rockefeller, and I urge you to take a moment to see the results.

A handwritten signature in black ink, appearing to read "Judith Rodin". The signature is fluid and cursive, with a large, stylized 'J' at the beginning.

Judith Rodin
President

The Rockefeller Foundation

2011 Annual Report

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Strengthening Food Security



cursed crops.

After years of poor maize harvests, Joseph Onyango was at his wit's end, convinced that his clan had cast an evil spell on his farm in western Kenya. How else to explain the failure of his fields? Distressed, he sought advice from his friend, whose far-off fields were flourishing. Joseph's friend had been in contact with researchers from the Kenya Agricultural Research Institute, and his answer both surprised and relieved Joseph.

What was killing his crops, Joseph's friend explained, was not sorcery, but acidity. Spreading a little lime on his fields would raise the soil pH and increase the availability of nutrients. This, in turn, would improve the yield of Joseph's fields. Before long, maize production on Joseph's half-hectare tripled.¹

Sub-Saharan Africa's nearly 600 million smallholder farmers are widely impacted not by witchcraft, but by harsh geography and sparse agricultural education. Some regions suffer from a shortfall of rainfall, while in others the soil washes away from heavy rain. Western Kenya can boast only about half a million arable acres, and even that land is relatively marginal, with high levels of acidity and low levels of vital nutrients. Harvesting advice is often hearsay, meaning that many farmers have little idea of how to maximize their meager production. And Africa's population is growing, even if its crops aren't.

¹—<http://www.agra-alliance.org/AGRA/en/news-events/news/farmers-reap-big-from-soil-study>.



A Fruitful Start

Recognizing the need to address Africa's agricultural challenges, The Rockefeller Foundation and the Gates Foundation joined together in 2006 to form the Alliance for a Green Revolution in Africa. By bringing together government leaders and policymakers, agricultural experts, and smallholders across 13 countries, AGRA aims to create a secure, sustainable agricultural future for Africa's small farmers. Our goal is to halve food insecurity in 20 African countries, while doubling the incomes of 20 million smallholders.



Five years after co-founding AGRA, Rockefeller continues to support the organization as it matures, transitions to new leadership, and expands its partnerships with other key breadbasket countries. Whether improving market access and agricultural education, experimenting with hardier, higher-yield seeds, or replenishing degraded soils through methods like the ones Joseph and his friend have implemented, AGRA's efforts have, quite literally, taken root and borne fruit.

Turning the Tide

When Norman Borlaug accepted the 1970 Nobel Peace Prize, he declared his belief that “the Green Revolution has not yet been won.” Despite the astounding success of Borlaug and other Rockefeller scientists in revolutionizing agriculture to save one billion lives, the “Father of the Green Revolution” believed that food insecurity would continue to plague our planet. He was right.

That is why The Rockefeller Foundation remains as committed to promoting food security today as we were half a century ago. And though the challenge is old, our methods and tools are new. If the rapidly escalating frequency and impact of extreme weather events is undermining our crops, we will work with farmers on the ground and researchers in the lab to engineer more resilient, adaptive seeds. If the rain fails to fall, we will work with policy-makers and leading NGOs to create targeted, innovative safety nets for stricken smallholders. With the relentless innovation and commitment to collaboration that has been our hallmark for nearly a century, we will, eventually, turn the tide.

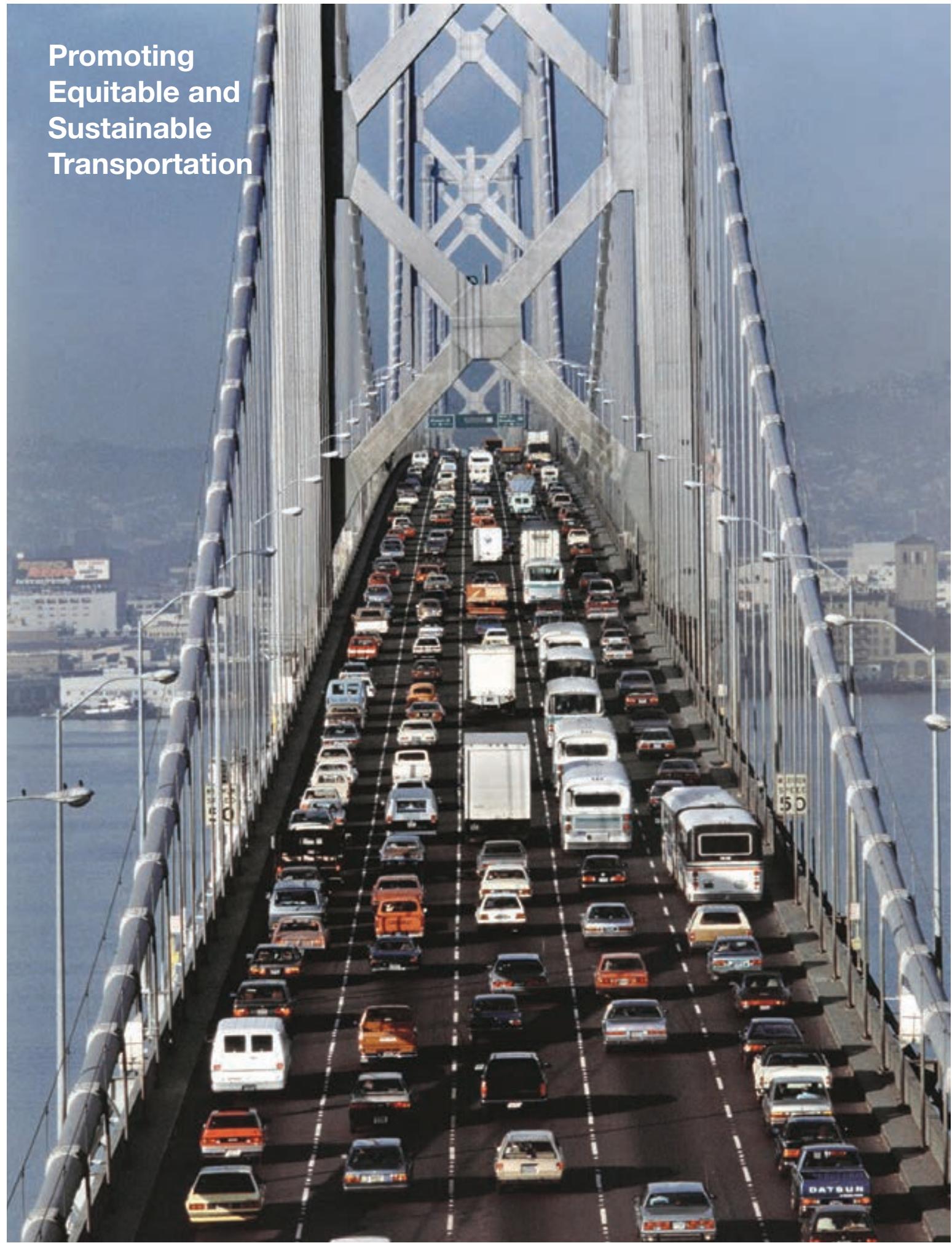




Seeds for Development



Promoting Equitable and Sustainable Transportation



a sprawling problem.

Wildfires. Earthquakes. Drought. These are just a few of the hazards that Southern California must contend with. Then again, one of the most destructive forces in the region is manmade: gridlock.

The average Los Angeles commuter spends more hours stuck in traffic than commuters in any other US city—a whopping 72 hours annually.¹ The impacts are felt across society—and disproportionately amongst poor communities—from lost economic activity, to increased road and vehicle maintenance costs, to adverse health impacts. From auto emissions to lack of physical activity while sitting in traffic for hours on end, lengthy commutes mean an increased risk of disease—including asthma and obesity—and a higher instance of traffic fatalities, the leading cause of death among young Americans.

It's not just LA. The consequences of unchecked development, of suburbs and exurbs extending mile after haphazard mile, threaten the wellbeing of every community in America and the health of our planet. Every year, the average American spends the equivalent of 55 eight-hour workdays in a car.² Commuting also releases more than 1.7 billion metric tons of carbon released into the atmosphere,³ contributing to global climate change.

1—http://www.forbes.com/2008/04/24/cities-commute-fuel-forbeslife-cx_mw_0424realestate3_slide_3.html?thisSpeed=undefined;

2—<http://www.sierraclub.org/sprawl/overview>; 3—<http://www.epa.gov/climatechange/Downloads/ghgmissions/US-GHG-Inventory-2012-ES.pdf>.



Implementing Innovation

In 2008, the California legislature passed S.B. 375, the Sustainable Communities and Climate Protection Act, to reduce greenhouse gases by encouraging sustainable transportation and smart growth. The bill sets regional emissions targets, and then requires communities to develop innovative strategies to achieve them. As the state struggles with partisanship and severe budget shortfalls, however, implementing these sustainable growth policies has proven highly challenging.

To facilitate communities meeting their emissions targets, Rockefeller has supported a number of advocacy organizations, including the Resources Legacy Fund, the American Lung Association, and MOVE LA. Together, these groups have launched an effective, coordinated campaign to persuade, educate and support communities executing their smart growth goals. Thanks to these efforts, even one of the most car dependent regions of California—home to nearly half of the state's 37 million residents—voted to dramatically increase funding for public transit and more compact land-use. Rockefeller is helping to prove that if we can develop sustainable communities in the most populous and diverse state in the country, we can do it everywhere.



Growing Together

As The Rockefeller Foundation has grown over the past century, we watched the cities and suburbs around us grow as well. And we acted to ensure they would grow in a positive direction. With a single grant to an unknown young woman named Jane Jacobs, we helped establish the field of urban theory and design in the late 1950s. Later, we directed crucial seed money to community-based development organizations during and after the urban crisis of the 1960s and 70s; and opened new doors to affordable and supportive housing through the 1980s and 90s. In 2011, our work on smart growth drew on a half century's experience of thinking about and working in urban planning, particularly critical as the interconnected and accelerating forces of globalization and urbanization mobilize a worldwide demographic revolution. Our commitment has not wavered, even as we innovate and experiment with new solutions.

Transforming Health Systems



a helping hand, miles away.

A pregnant woman walks into a health clinic in Dhaka, the capital of Bangladesh, a sprawling metropolis home to more than 16 million people. The woman is experiencing abdominal pain, and she's desperate for help, fearing for the health of her unborn child, and for her own.

But this makeshift clinic is located in the impoverished slum where the woman lives. It is under-resourced and understaffed. There is no doctor on hand, just an untrained, barely-literate health care worker. [The clinic has only the most basic supplies and medicine. The closest hospital is miles away. The woman's chances of getting the help she needs seems just as remote.](#)

The health care worker takes out her cellphone. Through an SMS texting service, the worker contacts the main hospital, where a neonatal physician helps to diagnose the woman's condition—gestational hypertension—and prescribe a course of treatment. Despite her pain, the pregnant woman is overcome by relief. She understands her condition, and she's been given the basic yet critical medicine and information needed to protect herself and her baby from further complications.



Re-Imagining Health Care Delivery

In a makeshift clinic, in an impoverished slum, and in less than three minutes, the pregnant woman described above received medical treatment with better technology and faster service than what is available in many parts of the developed world. This is the vision of the BRAC Manoshi maternal and neonatal care service in Bangladesh. BRAC is a leading Bangladeshi NGO and, through its work with a number of partners, a pioneer of mobile-health solutions in the developing world. The Rockefeller Foundation is helping BRAC test and deploy mobile health services that address maternal and child health issues for slum dwellers.

This is a critical issue in Bangladesh, where maternal and neonatal mortality are critical concerns. Bangladesh ranks seventh among the 42 countries that account for 90 percent of all childhood deaths worldwide.¹ A major contributing factor to this terrible statistic is the simple lack of risk assessment, timely intervention, and prioritized treatment for pregnant women.

1—Note: This fact and language taken directly from the BRAC grant memo.



While high-tech hospitals are out of the reach for many people in the developing world, cell phones are widely available. According to the International Telecommunications Union, 79 percent of those living in developing countries have cell phones.² BRAC is helping to change the health care equation in Bangladesh by leveraging increasingly ubiquitous mobile technology to deliver basic health care services wherever and whenever they are needed.

Universal Access Through the Innovation Process

New technologies and demographic, epidemiologic, and economic shifts are transforming health systems around the world. At The Rockefeller Foundation, we believe there is a window of opportunity to promote strategies that steer this transformation toward better health outcomes. That's why we focus on "transforming health systems" by brokering new partnerships, searching for sustainable solutions, and—most importantly—fostering innovation through pioneering grantees like BRAC. Today, while universal access to health care remains a distant dream, each new innovation brings us one step closer to the realization of care for all those in need, no matter their economic status or the place they call home.

2—<http://www.pcmag.com/slideshow/story/297822/how-the-mobile-phone-is-evolving-in-developing-countries>.

Linking Global Disease Surveillance Networks



containing the contagion.

When doctors at a hospital in Laos attempted to diagnose a young girl suffering from flu-like symptoms, they came up with more questions than answers. They quickly exhausted their testing and treatment capabilities with no successful diagnosis. Instead of simply administering basic care and attempting to provide the girl some comfort, the doctors contacted a network of colleagues and officials throughout Laos and Thailand. The girl was sent to a hospital in Thailand, where she was ultimately diagnosed with Avian Flu. Multinational health teams were immediately activated and visited 20 separate villages in regions close to the sick girl's home. They interviewed 8,000 families and identified 150 people with flu symptoms. Their quick response helped stem the spread of a dangerous contagion.

Unfortunately, this level of response to an emerging pandemic is the exception, not the rule. In 2009, the H1N1 “swine flu” pandemic spread from Mexico and killed an estimated 300,000-500,000 people.¹ **As global hotbeds for infectious diseases, Southeast Asia and Africa were particularly hard-hit.** Yet in lives lost and economies disrupted, disease pandemics are threats that know no borders. They can come from anywhere—and be everywhere—in the blink of a microbial eye. Our response must be equally swift.

1—<http://www.ncbi.nlm.nih.gov/pubmed/22738893?dopt=Abstract>.



Detecting and Defeating Disease

Today, our global resources are nowhere near sufficient to monitor and respond to these public health crises. Experts in human, animal, and environmental health frequently remain dangerously uninformed of their colleagues' work, exacerbating the spread of diseases passed from animals to humans. Patchwork public health organizations often lacked strong relationships and open lines of communication. Detecting a deadly disease and deciding upon a course of action can take weeks—and those are weeks we don't have.

That's why The Rockefeller Foundation catalyzed the creation of the Mekong Basin Disease Surveillance Network (MBDSN) in Asia. The network links together six countries—Cambodia, Laos, Myanmar, Vietnam, Thailand, and the Yunnan Province of China—to form new collaborations that can help slow the spread of disease. MBDSN countries collaborate on improving health outcomes, empowering and educating health workers, and slowing the spread of disease by working together to exchange information, training, response efforts, and disaster preparedness.

In addition to financial support, The Rockefeller Foundation has assisted MBDSN by supporting the creation of sophisticated technology tracking of outbreak patterns; regular cross-border information exchange; joint investigation and response; training of health personnel; and disaster preparedness response.



Ending “the Supreme Ill in Human Life”

At The Rockefeller Foundation’s very first board meeting, it was argued that since “disease is the supreme ill in human life,” curtailing it should be one of the Foundation’s primary goals. Rockefeller researchers, with brilliant interdisciplinary insights and relentless efforts, answered the call. They struck down hookworm in the American South and chased malaria out of the western hemisphere. In 1937, the year John D. Rockefeller died, Rockefeller scientists developed a vaccine for yellow fever, a disease so deadly that people stopped shaking hands for fear of transmission. Along the way, the Foundation seeded preeminent schools of public health and international health organizations.

Our task in this 21st century is to carry on The Rockefeller Foundation’s legacy of leadership in public health, recognizing that in a fast-paced, globalized world we must build flexible, coordinated systems that can move as quickly as a virus on a 747 airplane. In pursuing this vision, we draw on a rich history. We know from experience that the world can stop the spread of disease when we have ensured the spread of knowledge.

Harnessing the Power of Impact Investing



a sweet investment.

For the beekeepers of Bee Natural Uganda Ltd., it was rejection that stung the most. Despite producing high-quality honey and candles for East Africa, when the small honey business wanted to purchase a processing plant and secure working capital, investors turned away. Microfinance organizations said Bee Natural was too big and established. Commercial banks considered them too small and risky. [The workers of this environmentally conscious enterprise—70 percent of whom are women—were slipping through capitalism's cracks.](#)

Enter the African Agricultural Capital Fund. Capitalized by Rockefeller and other foundations, and guaranteed in part by USAID, the \$25 million fund seeks out agribusinesses with the potential to grow the income and productivity of a quarter million East African households. AACF invested in Bee Natural early, allowing the Ugandan business to improve its processing, employment and training capabilities. Increased yields could quadruple each small farmer's honey income and offer the fund's investors a sweet payoff, as well.



The Missing Middle

The mathematics of social welfare is stark. We have trillions in social needs and only billions—combining all foreign aid and philanthropic donations—with which to address them. For-profit capital markets, though, hold over \$100 trillion globally, giving rise to an emerging industry directing a portion of that capital into alleviating climate change, food insecurity, inadequate income, and poor health.



As a largely untested industry, impact investing faces challenges ranging from the need for shared infrastructure to a set of standards and metrics that can help generate the greatest possible social impact. Crucially, the field lacks strong mechanisms linking investors to businesses on the demand side—the small and emerging enterprises (SME's) like Bee Natural. These businesses account for more than half the GDP and employment of developed countries, but less than a fifth of GDP and employment in the developing world. We can't lift the bottom billion, without first filling in the "missing middle."



In addition to our ongoing efforts to standardize and institutionalize impact investing infrastructure, The Rockefeller Foundation is pioneering new equity vehicles for SME investment and development. This unique AACF consortium—managed by Kampala-based Pearl Capital Partners and supported by the Rockefeller, BMGF, and Gatsby foundations, USAID, and J.P. Morgan—is directing long-term risk capital to scale agribusinesses that create jobs, contribute to food security and deliver financial returns.

Along with its Bee Natural investment, AACF has, quite literally, provided seed capital to two East African seed companies. Each employs roughly 200 people, and AACF's investments have enabled them to provide greater and more varied maize, soy, sorghum, and rice seeds, while pushing for improved agricultural technology and local infrastructure. The AACF is a prime example of how government, civil society, and the private sector can work together to provide employment opportunities for the poor through impact investments, and it represents the first stage of a potential pooled equity Partnership for African Agriculture.

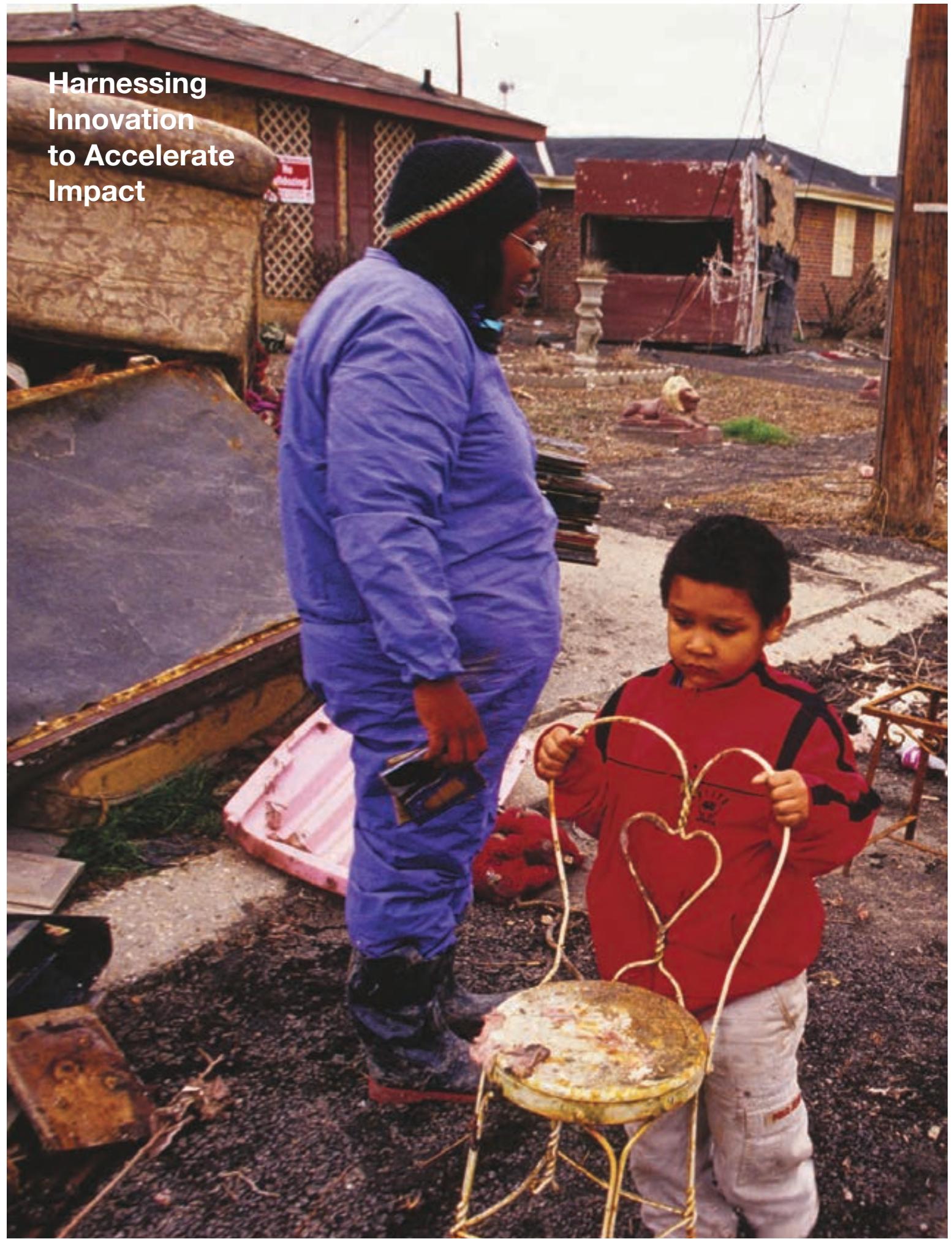
A Second Philanthropic Revolution

When John D. Rockefeller established The Rockefeller Foundation a century ago, he created the field of global philanthropy and revolutionized global giving. For the first time, private capital was directed toward public needs. It was \$183 million of Rockefeller's own money, wisely distributed, that directly led to a yellow fever vaccine and lifesaving agricultural innovations. His was the original impact investment.

Today, Rockefeller's foundation is pioneering a second philanthropic revolution, once again unlocking the vast resources of private capital for the good of the globe. Impact investing offers us the chance to honor and update Rockefeller's original investment so it can have the greatest possible impact in the coming century.



**Harnessing
Innovation
to Accelerate
Impact**



planning for the worst.

Rioting in a dozen cities around the world as millions take to the streets to protest an increase in food prices. The Nile slowing to a trickle, causing food shortages and the spread of epidemics throughout Cairo's poor neighborhoods. The bankruptcy of a major American city, precipitated by the closing of its once thriving port. These were the fabricated—yet very possible—scenarios presented to the participants of The Rockefeller Foundation's inaugural Innovation Forum in 2011.

Highlighted by the presentation of The Rockefeller Foundation Innovation Award to Dr. Sania Nishtar of Pakistan, Jane Weru of Kenya, the global organization Kiva Kids and President Bill Clinton, the annual Innovation Forum was launched in 2011 to gather some of the most creative and inventive minds from the worlds of business, government, civil society and journalism to bring innovation to bear on urgent challenges facing poor or vulnerable people around the world. Convening in New York on July 27, we focused our efforts on three key issues—urbanization, water security and food security.



Reframing Problems

Participants gathered in breakout sessions and viewed vivid mock newscasts outlining the future problems that could result if we do not adequately address these key issues. The conversations in the breakout sessions revolved around reframing these problems—and through the reframing of them—the unearthing of new solutions.

After pouring through the transcripts of the breakouts, we created thirty-four areas for big ideas across a full range of development topics. Then, we recruited even more outside voices to reframe and combine some of these ideas into six core areas of opportunity:

- **Urban Blueprinting**—replicating environments that fuel entrepreneurship and foster innovative culture in emerging cities, and restructuring incentives to inspire creative responses and revitalize urban spaces;

- **Anchoring Regions**—enabling cities to use existing anchors more effectively and realize new ones, and allocating services and expertise to overcome political obstacles that hamper regional cooperation;
- **Civic Pioneering**—empowering municipal leaders with new models for learning and breaking with short-term thinking to build a practice around long-term vision setting;
- **Irrigating Efficiency**—ensuring the adoption of micro-irrigation technologies;
- **Farming Now**—recreating a renewed belief and deep sense of purpose among farming communities and creating peri-urban farming areas that draw young people; and
- **Decoding Data**—focusing on the data that is most useful and actionable.

Issuing Challenges

Of these six areas, the first three are being tackled through our own grant-making and the latter three were presented to the world in an open invitation to problem solving through our 2012 Rockefeller Foundation Innovation Challenge. The Innovation Challenge engaged a variety of audiences in a number of ways—including a Twitter Conference—to discover the best solutions to these challenges. Eventually we received almost 2,000 responses, with entries coming from all corners of the globe. From these 2,000, eight were chosen as the most promising, giving each winner the opportunity to apply for a \$100,000 grant from the Foundation to help further develop their ideas.



1 LONG-RANGE TRANSPORT	11 LACK OF GOVERNMENT INVESTMENT
2 SELF RELIANCE	12 COMPETITION OVER LIMITED
3 INTEGRATED WATER MANAGEMENT	13 WATER RESOURCE POLITICIZATION
4 EQUITABLE ACCESS ACROSS	14 INADEQUATE TREATMENT SYSTEMS
5 GLOBAL WATER SURVEILLANCE	15 PRIORITIZING WATER USE
6 INTERNATIONAL WATER AGENCY FO	16 MISUSE POTABLE WATER
7 OVER DEPENDENCE ON WATER	17 CATEGORIZING WATER USE
8 INADEQUATE REPLENISHMENT	18 WATER MANAGEMENT STANDARDS
9 INADEQUATE STORAGE	19 WATER ECONOMICS
10 INEFFICIENT IRRIGATION SYSTEMS	20 WATER EXTERNALITIES

ADD RECORD FIVE STAR LEAVE RECORD SORT RECORDS



A Signature Approach

For almost 100 years, The Rockefeller Foundation has enabled innovations that have resulted in huge improvements to the well-being of humanity. From funding an unknown scholar named Albert Einstein in 1913 to founding the field of public health and supporting the work of Norman Borlaug, the father of the Green Revolution, The Rockefeller Foundation has always been committed to identifying innovations, scaling them and applying them to the most pressing challenges facing humankind.

The annual Rockefeller Foundation Innovation Forum and its Challenge Grants demonstrate our abiding commitment to bringing our signature innovative approach to bear on the increasingly complex problems facing humanity in the 21st century.



Developing Climate Change Resilience: Asian Cities Climate Change Resilience Network



shelter from the storm.

Do Thi Phuong Thao lives with her two children in the central Vietnamese city of Da Nang, in the Son Tra District. She sells sticky rice on the streets of the city, which is cradled between the ocean, rivers, and mountains. This geography makes Da Nang particularly beautiful, but also vulnerable to natural disasters, such as typhoons and floods. Thao knows the dangers all too well. The rainy season often brings floodwater to her neighborhood and damage to her home. Storm winds have torn off her roof in the past. [With destructive weather increasing in frequency and ferocity, she fears for her livelihood and the safety and security of her family.](#)



From Climate Uncertainty to Long-Term Security

Countless families in large cities and small villages across Southeast Asia are confronting the same frightening scenario faced by Thao and her children. It's easy to imagine the feeling of helplessness. Extreme weather events are hard to predict and impossible to control. And how can families struggling to make ends meet possibly invest in the infrastructure of their modest homes to protect against destructive storms?

That's why the Da Nang Women's Union has developed an innovative program that offers low-interest loans to families throughout Da Nang. The loans enable families to reinforce their homes and make them more resistant to storms. The program also disseminates storm-resistant design and construction techniques through the training of local households to monitor construction and through the development of technical construction guidelines.

In 2011, thanks to the Asian Cities Climate Change Resilience Network (ACCCRN), Thao was able to apply for a loan to protect her home from extreme weather and to secure her family's long-term safety. The Da Nang Women's Union aims to support 320 households with home improvement loans by 2014. Ultimately, project managers hope this approach will be replicated in provinces throughout Vietnam.



Resilience Through Innovation

The Rockefeller Foundation is committed to helping at-risk communities strengthen their ability to prepare for and absorb adverse climate impacts. ACCCRN is the centerpiece of this effort in Southeast Asia, and a landmark climate change mitigation coalition in the developing world. ACCCRN works in 10 pilot cities in Thailand, Vietnam, Indonesia, and India, to build the capacity, knowledge sharing, and scalability needed to help their citizens to adjust to a warming world. The Rockefeller Foundation has committed \$60 million to ACCCRN to develop innovative approaches for cities to build physical and structural resilience to withstand and recover from the impacts of climate change and sharing lessons learned with other cities and countries. With Rockefeller support, ACCCRN is developing approaches that will enable its interventions to be adopted at scale.

One million people are moving to cities in the developing world every five days. And over the next 30 years, 60 percent of the world's population increase will occur in Asian cities alone. These cities are the epicenter of risk when it comes to global climate change. Mitigating this risk requires building resilience in the face of a changing climate. And building resilience requires innovating in the face of new challenges. That's where The Rockefeller Foundation comes in—leveraging its century-long history of developing and deploying new innovations to help the world overcome unprecedented challenges.

Developing Climate Change Resilience: Horn of Africa Risk Transfer for Adaptation



watching and waiting.

Medhin Reda watches for rain. When she isn't farming or looking after her family, Medhin looks up at the sky apprehensively, fretting about the increasingly irregular rainfall in her rocky corner of northern Ethiopia. Not long ago, the rains came six weeks late to Tigray, and the teff crop that is her livelihood suffered. So Medhin watches and waits, the uncertainty hanging in the dry air.¹

It's a vicious cycle that keeps millions mired in subsistence-level conditions. Many of the world's poorest farmers live on marginal land, eking out an existence tilling poor soils on steep hillsides, praying for rain. Climate change threatens their already precarious living, with African crop yields projected to drop 10-20 percent by 2050. Yet these smallholders often have nowhere to turn, and no way to plan for the impending upheaval. Most microfinance institutions are wary of lending to such poor credit risks. So when drought or disaster strikes, the farmers sell off what little they have and hope the next season will be better. Their children—forced to abandon the classroom to work the family fields—will also watch and wait.

¹—[http://www.oxfamamerica.org/articles/medhin-reda-looks-to-weather-insurance-to-solve-problems/?searchterm=medhin reda](http://www.oxfamamerica.org/articles/medhin-reda-looks-to-weather-insurance-to-solve-problems/?searchterm=medhin%20reda).

Micro Insurance, Macro Impact

In response to these critical climate challenges, The Rockefeller Foundation—in partnership with Oxfam, the Swiss Re insurance company and local farmers—has helped develop an innovative, weather-indexed microinsurance system that compensates farmers in the event of insufficient rainfall. In 2011, the success of this Horn of Africa Risk Transfer for Adaptation (HARITA) model led us to join with the United Nations World Food Programme to scale HARITA into a four-country Rural Resilience Initiative.

Now, 13,000 Ethiopian smallholders like Medhin Reda pay their insurance premiums by working on critical projects for their communities —building irrigation systems, fertilizing fields, and re-vegetating the hillsides. Now when drought hits, Medhin’s insurance payout allows her to feed her family, and even send her youngest daughter to school. And the community labor she and others do is making their region of northern Ethiopia more resilient to the harsh impacts of a changing climate. Recently, 1,800 Ethiopian farmers successfully received their payouts, and soon, thousands more will have the chance to see their incomes, their children, and their communities grow, even when their crops don’t.

A Matter of Survival

In the United States and other Western nations, climate change is the subject of debate—its cause, its effect, and the policy implications of a warming world. In the developing world, however, climate change is a matter of survival. Climate change has caused weather patterns to shift, droughts and floods to ravage local communities, crops to fail and economies to falter. It is against the backdrop of climate change that today, across the globe, more than a billion people are chronically hungry. Over the next decade, one hundred million more will go to bed with empty stomachs.² The implications of climate change—from agriculture to economics to urbanization—are just beginning to be felt. That’s why The Rockefeller Foundation’s Climate Change Resilience Initiative supports strategies and services that help communities cope with the impacts of imminent and worsening global climate disruption.

2—HARITA Proposal, March 18, 2011.



2011 financials

Fiscal Stewardship

The Rockefeller Foundation Condensed Financial Statements for 2011

Statement of Financial Position as of December 31.

Amounts in millions. Numbers are rounded.

ASSETS	2011	2010
Cash, cash equivalents and other current assets	13	9
Investments	3,449	3,528
Other long term assets	45	56
Total assets	<u>3,507</u>	<u>3,593</u>
LIABILITIES AND NET ASSETS		
Accounts payable and accrued expenses	7	8
Grants payable	55	45
Debt outstanding	20	20
Other liabilities	27	28
Total liabilities	109	101
Unrestricted net assets	<u>3,398</u>	<u>3,492</u>
Total liabilities and net assets	<u>3,507</u>	<u>3,593</u>

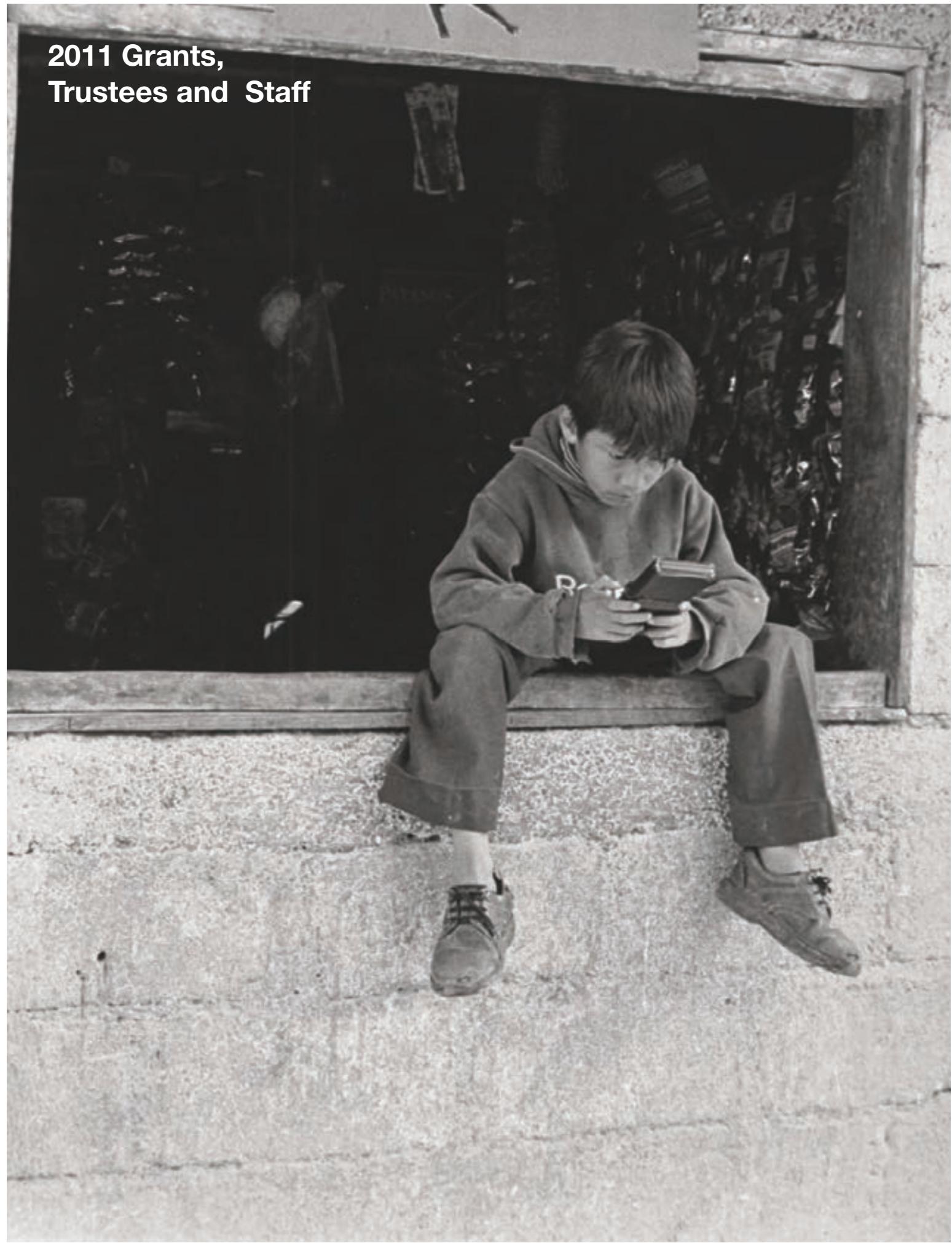
2011 financials

Statement of Activities as of December 31.

Amounts in millions. Numbers are rounded.

REALIZED INCOME	2011	2010
Realized investment income	207	198
Investment expense	(11)	(11)
Net realized investment income	<u>196</u>	<u>187</u>
EXPENSES		
Grants and direct charitable activities	142	141
Program costs	19	18
Operations	19	18
Taxes	2	3
Total expenses	<u>182</u>	<u>180</u>
Net realized investment income over expenses	14	7
Unrealized (depreciation) appreciation on investments	(96)	257
Pension and post-retirement benefit adjustments	(12)	8
Change in net assets	<u>(94)</u>	<u>272</u>

2011 Grants, Trustees and Staff



2011 grants

Africa Regional Fund

Africa Health and Development International, Nairobi, Kenya: \$120,000 in support of a documentary that highlights the diversity of African cultures, identity and values in Kenya and examines links between culture and environmental preservation.

African Technology Policy Studies Network, Nairobi, Kenya: \$50,000 toward the costs of "Strengthening Linkage between Policy Research and the Policymaking for African Development," a workshop on bridging the gap between policy research institutions and implementation by developing a consortium of policy research institutions, policymaking government units and practitioners, to be held in Addis Ababa, Ethiopia, November 2011.

Kenya Diabetes Management and Information Center, Nairobi, Kenya: \$100,000 toward the costs of a new community screening, education, and awareness center for the management of diabetes and hypertension in central Kenya.

Nelson Mandela Childrens Hospital, Johannesburg, South Africa: \$130,000 toward the costs of implementing an innovative public private partnership model to support a state-of-the-art specialist pediatric academic and tertiary hospital that will provide child-centered quality medical services to children in Southern Africa.

Society for International Development, East Africa, Nairobi, Kenya: \$109,634 in support of an "African Dialogue Speaker Series" to enhance shared learning aimed at defining new and innovative approaches for Africa to achieve its potential.

Wildlife Conservation Society, Bronx, NY, United States: \$170,000 for use by its Animal & Human Health for the Environment and Development project to promote one health leadership and facilitate linkages among human, animal, and wildlife activities in Southern Africa.

AGRA-General

Alliance for a Green Revolution in Africa, Nairobi, Kenya: \$5,000,000 toward the costs of specific activities to support its mission to improve the productivity and incomes of small-scale farmers in Africa, including facilitating a leadership transition and integration of its programs with those of partners in the breadbasket regions of key countries.

American Workers

Mujeres Unidas y Activas, San Francisco, CA, United States: \$200,000 for use by its National Domestic Workers Alliance toward the costs of the Caring Across Generations Campaign, an effort to improve workplace standards for U.S. domestic and direct care workers and the quality of care for the aging and disabled.

National Employment Law Project, Inc., New York, NY, United States: \$500,000 toward the costs of developing a federal policy framework to increase the availability of quality jobs for middle-class Americans and enhance the enforcement of robust workplace standards in the U.S.

Paraprofessional Healthcare Institute, Inc., Bronx, NY, United States: \$300,000 toward the costs of its "Raise the Floor and Build Ladders" strategy to improve job quality and provide advancement opportunities for direct-care workers by providing research, policy guidance, public education and technical assistance to federal and state governments and key stakeholders in the health-care industry.

Restaurant Opportunities Centers United, New York, NY, United States: \$300,000 toward the costs of its "Promoting the High Road" project, an effort to improve working conditions in the restaurant industry through surveys and research, engaging restaurant employers, and developing policy and strategic communications materials related to the minimum wage and paid sick leave.

Urban Institute, Washington, DC, United States: \$500,000 toward the costs of its Unemployment and Recovery initiative, an integrated program of research and analysis to address the critical unemployment policy challenges facing the U.S.

Asia Regional Fund

Asia Society, New York, NY, United States: \$100,000 in support of a research collaboration with the Lee Kuan Yew School of Public Policy at the National University of Singapore on how and where women have made the most progress within their work sectors across the public, private and not-for-profit communities in the Asia-Pacific countries.

Asian Migrant Centre, Hong Kong, China: \$89,960 in support of research on the impact of climate change on livelihoods and potential for migration of populations in the Greater Mekong Sub-Region.

Aspen Institute, Washington, DC, United States: \$306,800 toward the costs of its Agent Orange in Vietnam Fund, to expand the "Hope System of Care for Children and Disabilities" program into the Cam Le district of Da Nang, Vietnam.

Cambodia Development Resource Institute, Phnom Penh, Cambodia: \$200,000 toward the costs of a project, in collaboration with the International Development Research Centre and other partners, to strengthen the governance, coordination and research management capacity of the Greater Mekong Sub-region Development Analysis Network in order to build its long-term sustainability and generate collaborative research on promoting inclusive growth within the Greater Mekong Sub-region.

Chulalongkorn University, Bangkok, Thailand: \$20,000 for use by its Institute of Asian Studies, in collaboration with the Embassy of India in Bangkok, in support of strengthening relations between Thailand and India through an exhibition and seminar celebrating the 150th anniversary of the birth of Rabindranath Tagore, to be held in Bangkok, Thailand, summer 2011.

Indian Institute for Human Settlements, New Delhi, India: \$60,000 in support of the San-Kranti Student Challenge, a six month national campaign to document the perceptions of Indian youth on the challenges of urbanization and encourage emerging leaders to engage in solutions to these challenges through participation in a competition and a national conference in Mysore, November 2011.

International Health Policy Program, Thailand, Nonthaburi, Thailand: \$30,000 toward the costs of a conference, "From the Global Alcohol Strategy to National and Local Action," to be held in Thailand, February 2012.

IPS Asia-Pacific Center Foundation Inc., Quezon City, Philippines: \$130,000 toward the costs of activities to address the need for critical reporting on development from within Asia, looking closely at the issues of climate change and official development assistance (ODA) as specific sectors linked to the Asian development story.

Mahidol University, Nakhon Pathom, Thailand: \$38,500 for use by its Institute for Population and Social Research in support of its annual conferences to be held in Bangkok, Thailand, summer 2011 and 2012.

2011 grants

Mirror Foundation, Chiangrai, Thailand: \$50,000 in support of recovery efforts for five flood-affected districts in Thailand and to enhance the resilience of poor and vulnerable communities against recurring disasters through effective planning and response mechanisms.

Oxfam, Oxford OS4 2JY, United Kingdom: \$399,900 for use by its Asia office in support of creating a regional learning platform to promote multi-disciplinary analysis and learning among key stakeholders in Asia regarding a limited set of priority topics that will have medium- to long-term implications for growth, equity, and resilience in the region.

Pattanarak Foundation, Bangkok, Thailand: \$58,600 in support of research and training on small-scale food production for displaced populations in Thailand along the Thai-Myanmar border in order to improve livelihoods and social cohesion among residents and migrant populations.

Taru Leading Edge Private Limited, Gurgaon, Haryana, India: \$67,750 in support of an exploratory study to assess existing conditions of wet markets in urban areas of Indore and Surat cities in India, and provide recommendations on procedures for creating safe wet markets in the context of accelerating urbanization and climate change.

United Nations Economic and Social Commission for Asia and the Pacific, Bangkok, Thailand: \$50,000 for use by its Capacity Development Trust Fund in support of the Fifth Asia Pacific Urban Forum, to be held in Bangkok, June 2011, to enable participants from developing countries to take part in the forum, to support a series of film screenings, and to enable webcast links to the Forum from other parts of Asia.

Vietnam Museum of Ethnology, Vietnamese Academy for Social Sciences, Hanoi, Vietnam: \$46,500 toward the costs of a conference on how cultural heritage museums in the Mekong River Basin can raise awareness of the challenges associated with climate change, to be held in Hanoi, winter 2011.

Bellagio

Alliance of Artists' Communities, Providence, RI, United States: \$75,000 in support of a convening to be held at The Rockefeller Foundation Bellagio Center, spring 2012, to explore residency programs at the Bellagio Center and elsewhere, including whether and how they

contribute to multi-disciplinary dialogues and promote innovation and creativity for the individual artists and the broader community.

Dara Birnbaum, New York, NY, United States: \$4,000 in support of art materials and shipping in conjunction with a creative artist residency at The Rockefeller Foundation Bellagio Center.

Institute of Development Studies, Brighton, England: \$345,075 in support of developing and executing a social media strategy for the Future of Philanthropy and Development to facilitate an ongoing online dialogue that deepens and sustains relationships between thought-leaders in the development and philanthropic communities, in connection with a conference series to be held at The Rockefeller Foundation's Bellagio Center, November 2011.

Institute of Development Studies, Brighton, England: \$1,448,631 in support of "The Bellagio Series on the Future of International Development and the Role of the Philanthropic Sector: Promoting Human Well-being in a Challenging Global Context," a series of activities to explore the future relationships between philanthropy and international development including a convening to be held at The Rockefeller Foundation Bellagio Center, November 2011.

Institute of International Education, New York, NY, United States: \$1,364,000 for the costs of administering several activities related to The Rockefeller Foundation Bellagio Center, Italy: the semi-annual competitions for creative arts and scholarly residencies, the Bellagio Travel and Learning Fund, and support for outreach activities.

Pablo Cardoso, Cuenca, Ecuador: \$29,900 in support of a Bellagio Creative Arts Fellowship, to pursue a new project in depth and build connections with a global interdisciplinary community of residents in a three-month stay at The Rockefeller Foundation Bellagio Center, and receive post-residency publicity through creation and dissemination of an artist's publication.

Resource Alliance Limited, London, England: \$649,881 in support of "The Bellagio Series on the Future of International Development and the Role of the Philanthropic Sector: Promoting Human Well-being in a Challenging Global Context," a series of activities to explore the future relationships between philanthropy and international development including a convening to be held at The Rockefeller Foundation Bellagio Center, November 2011.

Sue Williamson, Cape Town, South Africa: \$29,900 in support of a Bellagio Creative Arts Fellowship, to pursue a new project in depth and build connections with a global interdisciplinary community of residents in a three-month stay at The Rockefeller Foundation Bellagio Center, and receive post-residency publicity through creation and dissemination of an artist's publication.

Wong Hoy Cheong, Subang Jaya, Selangor, Malaysia: \$29,900 in support of a Bellagio Creative Arts Fellowship, to pursue a new project in depth and build connections with a global interdisciplinary community of residents in a three-month stay at The Rockefeller Foundation Bellagio Center, and receive post-residency publicity through creation and dissemination of an artist's publication.

Carbon and Poverty Reduction

Agricultural Transformation Agency, Addis Ababa, Ethiopia: \$500,000 in support of integrating climate-smart rural development across Ethiopia's agricultural sector through a set of programmatic activities related to capacity building, networking and research.

Common Market for Eastern and Southern Africa Secretariat, Lusaka, Zambia: \$600,000 in support of policy engagement, research, and analysis work that will strengthen Africa's position on agriculture in international climate change negotiations and will help national governments develop climate smart agricultural policies and action plans.

Ecoagriculture International, Inc., Washington, DC, United States: \$200,000 in support of evaluating program models for climate finance in agriculture and for the Second International EcoAgriculture Conference and Knowledge Exchange, to be held in Nairobi, Kenya in 2012.

Farm Input Promotions Africa, Nairobi, Kenya: \$450,000 in support of building climate change resilience through the dissemination of adaptive technologies among 65,000 smallholder farmers in semi-arid areas of Kenya and Tanzania.

Food Agriculture and Natural Resources Policy Analysis Network, Pretoria, South Africa: \$402,011 in support of a project to strengthen advocacy capacity for Climate Smart Agriculture, including producing policy papers and a knowledge bank, training and equipping practitioners with advocacy messages, training African journalists on climate change and agriculture issues, and participating in key policy dialogues and climate change negotiations.

2011 grants

Mekelle University, Tigray, Ethiopia: \$399,000 for use by its Institute of Geo-Information and Earth Observation Sciences in support of collaborative research and knowledge exchange to strengthen capacity to demonstrate and transfer adaptation knowledge and technologies to Ethiopian and Ugandan farmers and extension agents.

Meridian Institute, Dillon, CO, United States: \$299,770 in support of developing a robust agriculture work program within the context of the United Nations Framework Convention on Climate Change through facilitated dialogue with key negotiators and other stakeholders and policy analysis papers on climate change and agriculture issues.

National Agricultural Research Organisation, Entebbe, Uganda: \$200,000 for use by its National Fisheries Resources Research Institute in support of incorporating climate change science into its research and development programs to test and evaluate approaches for helping small-scale fishers and riparian communities in Uganda and Kenya to cope more effectively with the impact of climate variability and change.

National Agricultural Research Organisation, Entebbe, Uganda: \$300,000 in support of collaborative research and demonstrations aimed at developing and delivering to farmers improved banana varieties and new banana cropping systems that mitigate the negative impacts of climate change on banana farming in Uganda.

National Agricultural Research Organisation, Entebbe, Uganda: \$500,539 in support of a project to enhance the adaptive capacity of smallholder farmers in Uganda to the effects of climate change through technological, institutional and policy interventions.

Nature Conservation Research Centre, Accra, Ghana: \$240,175 in support of building capacity in African countries for carbon mapping and developing nested carbon accounting systems through training and the exchange of best practices between east and west Africa.

PricewaterhouseCoopers Limited (Kenya), Nairobi, Kenya: \$750,000 in support of developing a measurement, reporting and verification (MRV) methodology and a fund disbursement mechanism that will enable smallholder farmers to take advantage of climate finance such as carbon credits in exchange for adopting farming practices that reduce greenhouse gases.

Regional Universities Forum for Capacity Building in Agriculture, Kampala, Uganda: \$300,000 in support of strengthening training on agrometeorology and using peer-reviewed publications and other methods to improve the quality and visibility of climate change research in East Africa.

Rwanda Agriculture Board, Kigali, Rwanda: \$288,600 in support of a collaborative project with the Rwanda Meteorological Service and the Walker Institute of the University of Reading to improve the climate risk modeling capacity of Rwandan agricultural scientists, produce a national climate change risk map, and evaluate adaptation strategies that could improve the resilience of smallholder farmers in Rwanda to climate change.

Sokoine University of Agriculture, Morogoro, Tanzania: \$249,700 in support of collaborative research on the application of conservation agriculture practices as coping strategies for smallholder farmers to adapt to climate variability and change in Tanzania.

University of Dar es Salaam, Dar es Salaam, Tanzania: \$300,000 in support of research on agronomic approaches that build the resilience of smallholder farmers in Tanzania and Malawi, and for the training of graduate students and various stakeholders on climate change adaptation in the agriculture sector in East Africa.

Centennial Fund

Akiba Mashinani Trust, Nairobi, Kenya: \$100,000 in general support of its mission to provide access to sustainable and affordable shelter, infrastructure, and innovative financial and technical housing solutions to urban and rural poor populations in Kenya through community led-processes.

Heartfile, Islamabad, Pakistan: \$100,000 in general support of its mission to develop innovations in the health sector, contribute to knowledge in the areas of health policy and public health planning, and catalyze change within health systems and broader systems of governance.

Kiva Microfunds, San Francisco, CA, United States: \$50,000 in general support of its mission to connect people through lending for the sake of alleviating poverty by leveraging the internet and a worldwide network of microfinance institutions.

Teneo Strategy Consulting, New York, NY, United States: \$3,447,150 in support of a project, in connection with The Rockefeller

Foundation's centennial in 2013, to build capacity, create new coalitions, strengthen existing networks, and advance public policy by convening gatherings and providing multi-media tools and resources for identifying challenges and proposing tangible solutions to global problems.

Climate: African Agriculture

African Union Commission, Addis Ababa, Ethiopia: \$200,000 in support of strengthening its internal capacity to design and implement the Africa Risk Capacity Project, a disaster risk pool that would provide resources to African nations in the event of a severe weather shock.

Center for International Forestry Research, Bogor, Indonesia: \$600,000 in support of developing national policies for adaptation to climate change among farmers living adjacent to forests in Kenya and Uganda.

Forest Trends, Washington, DC, United States: \$250,740 in support of developing climate-agricultural finance models that can be used to access carbon and climate finance sources for agricultural climate mitigation and adaptation projects in an effort to reduce poverty and decrease vulnerability for smallholder farmers in Africa.

IGAD Climate Prediction and Applications Centre, Nairobi, Kenya: \$300,000 in support of strengthening its institutional capacity in agrometeorology and in climate prediction and its ability to provide greater and more effective dissemination of climate information to the agriculture sector and farmers in East Africa.

ITAD Limited, Hove, United Kingdom: \$375,000 in support of an evaluation of the African Agriculture component of the Foundation's Climate Change initiative and the Foundation's Carbon for Poverty Reduction initiative in development, to foster learning, accountability and performance improvements in the Foundation and among its grantees.

NetHope, Fairfax, VA, United States: \$50,000 toward the costs of developing a business model and plan for Weather INformation for Development (WIND), a project to deliver timely and reliable weather and climate information to smallholder farmers in Kenya.

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2011 grants

timely and reliable weather and climate information to smallholder farmers in Kenya.

Oxfam America, Boston, MA, United States: \$498,000 toward the costs of the further expansion of its Horn of Africa Risk Transfer for Adaptation (HARITA) project, a community-based adaptation and crop insurance program aimed at building the resilience of Ethiopian farmers to climate change and climate variability.

Stanford University, Stanford, CA, United States: \$150,000 in support of research that will integrate climate change resilience models, crop models and food security data in sub-Saharan Africa to inform the prioritization of investments in agricultural development and aid in the identification of potentially adaptive crop research and crop shifting strategies.

Climate: Asian Cities

Challenge to Change, Kent CT5 3EW, United Kingdom: \$149,820 in support of an Asia Youth Initiatives Program to identify and back urban climate change resilience building efforts in three Vietnamese cities (Can Tho, Da Nang, Quy Nhon) through a call for proposals directed at local youth.

Gorakhpur Environmental Action Group, Gorakhpur, India: \$616,420 in support of efforts to strengthen its institutional capacity to build urban climate change resilience in Eastern India by expanding its activities to three new cities, as part of the Asian Cities Climate Change Resilience Network.

Gorakhpur Environmental Action Group, Gorakhpur, India: \$631,240 in support of a project to enhance the climate change resilience of Gorakhpur city through research and advocacy on the contribution of peri-urban agriculture to mitigating long-term changing patterns of flood risk, and the expansion of agriculture farm models and extension services, as part of the Asian Cities Climate Change Resilience Network.

Institute for Social and Environmental Transition, Boulder, CO, United States: \$335,830 toward the costs of a project, in collaboration with the Department of Education and Training of Da Nang City, the Da Nang Climate Change Coordination Office, and the Ministry of Education and Training, to develop, test, and implement an integrated urban climate change resilience education curriculum for three education levels in Danang, Vietnam.

Institute for Social and Environmental Transition, Boulder, CO, United States: \$518,720 toward the costs of a project, in collaboration with the Can Tho Climate Change Coordination Office, the Can Tho Department of Health and the Can Tho Preventive Health Center, to improve early detection techniques for dengue fever and strengthen the capacity of the Can Tho health system, including health care practitioners, to be able to respond to abnormal outbreaks of dengue fever, as part of the Asian City Climate Change Resilience Network.

Institute for Social and Environmental Transition, Boulder, CO, United States: \$521,410 in support of a project, in collaboration with the Can Tho Climate Change Coordination Office, the Department of Natural Resources and Environment, the Department of Agriculture and Rural Development and local research institutes, to develop and implement a real-time salinity monitoring system, determine threshold salinity concentrations, and identify and implement response mechanisms to increase urban climate change resilience in Can Tho city, Vietnam, as part of the Asian City Climate Change Resilience Network.

Institute for Social and Environmental Transition, Boulder, CO, United States: \$548,280 toward the costs of a project, in collaboration with the Binh Dinh Climate Change Coordination Office and the Department of Agriculture and Rural Development, to restore the ecosystem of a mangrove forest in an urban lagoon of Quy Nhon, Vietnam, using co-management practices to enable positive community benefits and successful forest regeneration, as part of the Asian Cities Climate Change Resilience Network.

Institute for Social and Environmental Transition, Boulder, CO, United States: \$639,820 in support of a project, in collaboration with the Da Nang Women's Union, to build resilience to the impacts of climate change through funding the development of storm resistant housing and providing capacity building support to and raising awareness among vulnerable communities in Da Nang, Vietnam, as part of the Asian City Climate Change Resilience Network.

Integrated Research and Action for Development, New Delhi, India: \$95,300 in support of producing a report analyzing climate vulnerability in 35 cities in India and developing policy recommendations on how to incorporate climate resilience planning into urban development programs in India.

Intellectual Capital Advisory Services

Private Limited, Hyderabad, India: \$29,500 in support of a convening of donors, researchers, investors and businesses to assess opportunities for catalyzing private sector activity in enterprises that build urban climate change resilience in Asian City Climate Change Resilience Network cities.

International Council for Local Environmental Initiatives—Australia/New Zealand Limited, Melbourne, Australia: \$18,250 toward the costs of delegates from the Asian Cities Climate Change Resilience Network's partners to attend the International Council for Local Environmental Initiatives (ICLEI) Resilient Cities Congress to be held in Bonn, Germany, June 2011.

International Institute for Environment and Development, London, United Kingdom: \$1,991,160 in support of launching the International Centre for Climate Change and Development to develop and implement a series of urban climate change resilience-building short courses and a related Masters of Science module and to undertake institutional strengthening and organizational development.

International Institute for Environment and Development, London, United Kingdom: \$2,000,000 in support of research and the production of knowledge products, including briefing and synthesis papers, published articles, and multi-media products, which draw upon the experience of the Asian Cities Climate Change Resilience Network (ACCCRN) and other urban climate change resilience building efforts in Asia.

Mercy Corps, Portland, OR, United States: \$47,140 in support of a project to develop and lead a process to evaluate a short training course on urban climate change adaptation run by the International Centre for Climate Change and Development, to be held in Bangladesh.

Mercy Corps, Portland, OR, United States: \$343,430 for use by its Indonesia Office in support of coordinating activities of the Asian Cities Climate Change Resilience Network (ACCCRN) in Indonesia, including providing additional capacity for engagement with the cities of Semarang and Bandar Lampung, strengthening documentation of processes, tools, and methods generated through ACCCRN projects, and increasing outreach to national stakeholders in order to replicate and scale up urban climate change resilience.

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Mercy Corps, Portland, OR, United States: \$450,000 for use by its Indonesia Office in support of developing and implementing an urban climate change resilience curriculum and teacher training program in Bandar Lampung, Indonesia and conducting outreach activities to generate interest for replication nationally, as part of the Asian Cities Climate Change Resilience Network.

Mercy Corps, Portland, OR, United States: \$495,860 for use by its Indonesia Office to develop and implement a wide-spread installation of biopores in Bandar Lampung, Indonesia to increase ground water recharge and assist in the management of flooding, as part of the Asian Cities Climate Change Resilience Network.

Mercy Corps, Portland, OR, United States: \$552,230 for use by its Indonesia Office in support of developing and implementing a flood forecasting and warning system and flood risk preparedness in Semarang, Indonesia to strengthen resilience to climate change impacts, as part of the Asian Cities Climate Change Resilience Network.

Ove Arup & Partners International Limited, London, England: \$1,200,000 in support of a project to facilitate the creation and deepening of a learning and policy network including current Asian Cities Climate Change Resilience Network (ACCCRN) partners and other key Asian institutions engaged in promoting climate change resilience, and to facilitate and manage ACCCRN's new Strategy and Alignment Group.

Rosie Sjögren, Berkshire, United Kingdom: \$76,170 in support of donor engagement efforts for the Asian Cities Climate Change Resilience Network.

Society for Participatory Research in Asia, New Delhi, India: \$300,000 toward the costs of its work, in collaboration with the Society for the Promotion of Area Resource Centres, to facilitate a process to strengthen civil society engagement with urban policy by promoting capacity building policy dialogue and conducting thematic research in India.

Taru Leading Edge Private Limited, Gurgaon, Haryana, India: \$183,080 in support of enhancing the climate change resilience of the city of Indore, India through the establishment of an integrated vector- and water-borne disease surveillance and response system to help cope with projected increases in vector diseases due to increasing temperatures and more intense precipitation, as part of the Asian Cities Climate Change Resilience Network.

Thailand Environment Institute Foundation, Nonthaburi, Thailand: \$196,750 in support of activities, in collaboration with the Songkla Community Foundation, to build capacity for flood preparedness and response, and a model for partnership and collaboration between local communities and local government agencies in Hat Yai city responsible for delivery of basic services including sanitation, welfare and health, as part of the Asian Cities Climate Change Resilience Network.

Thailand Environment Institute Foundation, Nonthaburi, Thailand: \$250,000 in support of a feasibility study and implementation plan for ecological restoration of the inner channel of the Kok River in Chiang Rai, Thailand, including restoration of a section of the channel, as a model for building urban resilience, as part of the Asian Cities Climate Change Resilience Network.

Thailand Environment Institute Foundation, Nonthaburi, Thailand: \$783,960 in support of carrying out Phase III activities of the Asian Cities Climate Change Resilience Network related to building climate change resilience in Chiang Rai and Hat Yai, Thailand; to support other cities in Thailand to expand their understanding of urban climate change resilience knowledge and practice; and to map and engage key national stakeholders to increase resources and attention to urban climate change resilience in Thailand.

The Energy and Resources Institute, New Delhi, India: \$135,400 in support of a study assessing current urban planning policies in India, with a focus on two Indian cities, and identifying policy and regulatory changes at the national, state and city levels that if implemented would enhance climate change resilience in India.

Climate: Policy & Replication

eThekweni Municipality, Durban, 4000, South Africa: \$200,000 towards the costs of a climate change communication and advocacy campaign in Durban, South Africa leading up to the 17th United Nations Framework Convention on Climate Change (COP 17) that will highlight Durban as a pioneer city in addressing climate change challenges.

Oxfam America, Boston, MA, United States: \$450,000 toward the costs of the Rural Resilience Initiative (R4), a community-based climate adaptation program aimed at improving the long-term food and income security of Ethiopian and Senegalese farmers.

The Mary Robinson Foundation, Dublin, Ireland: \$315,789 in support of policy analysis and advocacy work that will encourage the incorporation of a gender lens in efforts to build women's leadership in the area of international climate justice.

Day of Service

Rockefeller Foundation Day of Service Donations, United States: \$35,000 for donations to organizations participating in the Foundation's Day of Service, an opportunity for staff to spend a day volunteering with local organizations.

Disease Surveillance Networks

African Medical and Research Foundation, Nairobi, Kenya: \$230,000 in support of a project to strengthen community-based disease surveillance systems in Kenya, Uganda and Tanzania to monitor and control priority diseases and enhance awareness of neglected diseases.

East, Central and Southern African Health Community, Arusha, Tanzania: \$548,270 in continued support of its initiative to strengthen leadership and increase capacity and strategic information resources at the Ministries of Health in East, Central and Southern African countries in order to maximize benefits from future negotiations related to global health diplomacy.

International Livestock Research Institute, Nairobi, Kenya: \$220,000 for use by its Participatory Epidemiology Network for Animal and Public Health in support of consolidating the core network and empowering local and regional organizations to drive the uptake of participatory approaches to epidemiology in Eastern Africa.

Makerere University, Kampala, Uganda: \$205,920 for use by its School of Public Health in support of a final evaluation and documentation of activities of the Health Emergencies Management Program's efforts to build disease outbreak response and emergency management capacity in district health teams in Eastern Africa.

Ministry of Health, Lao P.D.R., Vientiane, Lao PDR: \$20,000 in support of technical assistance for the institutionalization of the Mekong Basin Disease Surveillance Network.

Ministry of Public Health, Thailand, Nonthaburi, Thailand: \$50,000 toward continued support of the Mekong Basin Disease

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Surveillance Network's Coordinating Office's efforts to strengthen its coordination, analysis, policy and information and communication technology functions while registering as an independent entity for sustainable governance.

Real Useful Travel and Marketing, Nairobi, Kenya: \$137,610 in support of a convening of Rockefeller Foundation Disease Surveillance Networks grantees to be held in Kenya, Nairobi, May 2011.

Royal Institute of International Affairs, London, England: \$198,570 for use by its Centre on Global Health Security in support of hosting the first annual meeting of the Global Health Diplomacy Network, to be held in London, June 2011.

Sokoine University of Agriculture, Morogoro, Tanzania: \$300,850 for use by its Southern African Centre in support of efforts to strengthen its capacity as both a forum and research platform for One Health approaches to the study of infectious diseases, both nationally and in Southern and East Africa.

University of Minnesota, Minneapolis, MN, United States: \$200,000 in continued support of activities related to the launch and unification of the global One Health movement, including the recruitment and consolidation of leadership, strategy and communications.

Enabling Environment: Innovation

Center for American Progress, Washington, DC, United States: \$150,000 in support of a series of issue briefs, articles, opinion pieces and continued leadership to facilitate a bipartisan dialogue with federal policymakers to advance the concept of Social Impact Bonds as a method to scale solutions to social problems facing poor and vulnerable communities in the U.S.

Harvard University, Cambridge, MA, United States: \$350,000 for use by its John F. Kennedy School of Government in support of a technical assistance facility to address resource and technical barriers facing state and local governments in developing the social impact bond to scale solutions to social problems facing poor and vulnerable communities in the U.S.

New York Academy of Sciences, New York, NY, United States: \$87,000 for use by its Scientists Without Borders program in support of research on the proliferation of tools and web platforms that facilitate open innovation to address social and development issues,

and a workshop of key stakeholders in an effort to enhance collaboration across these platforms.

Nonprofit Finance Fund, New York, NY, United States: \$350,000 in support of continuing and expanding its networking and knowledge platform for the Social Impact Bond instrument to reach its potential to provide innovation financing solutions to persistent social problems facing poor and vulnerable communities in the U.S.

Restaurant Opportunities Centers United, New York, NY, United States: \$100,000 in general support of its mission to improve wages and working conditions for the low-wage restaurant workforce in the U.S.

SeaChange Capital Partners, Inc., New York, NY, United States: \$175,000 for use by Third Sector Capital Partners toward the costs of developing and conducting due diligence and disseminating information about the Social Impact Bond instrument, in an effort to provide innovative financing solutions to persistent social problems facing poor and vulnerable communities in the U.S.

Social Finance, Inc., Boston, MA, United States: \$500,000 toward the costs of developing, conducting due diligence on and disseminating information about the Social Impact Bond instrument—an effort to provide innovative financing solutions to persistent social problems facing poor and vulnerable communities in the U.S.

Stanford University, Stanford, CA, United States: \$400,000 for use by its Stanford Center on Philanthropy and Civil Society toward the costs of a project to generate a research agenda and support a subset of research projects to yield a better and expanded knowledge base of organizational innovation in the social sector.

Teachers College, Columbia University, New York, NY, United States: \$205,780 in support of developing an educational toolkit that enables leaders of non-governmental organizations to access and take up methods of innovation in addressing the needs of poor and vulnerable people.

The Children's Aid Society, New York, NY, United States: \$125,000 in support of a detailed planning process to pursue a Social Impact Bond-financed pilot program to keep juveniles in New York State out of incarceration through community-based programs based on its successful Lasting Investments in Neighborhood Connections (LINC) model.

The PopTech Institute, Camden, ME, United States: \$250,000 toward the costs of "PopTech Lab: Climate Resilience and Vulnerable Populations" a convening to be held in Nairobi, Kenya, February 2012, and other initiatives that catalyze innovation to address the needs of poor and vulnerable communities around the world.

University of Cape Town, Cape Town, South Africa: \$100,000 for use by its African Centre for Cities to support its mission to facilitate urban research and develop policy discourses for the promotion of vibrant, democratic and sustainable urban development in the global South from an African perspective.

University of Waterloo, Waterloo, ON, Canada: \$445,471 for use by its Waterloo Institute for Social Innovation and Resilience in support of designing and initiating an Innovation for Resilience fellowship program that will train fellows to find innovative responses to persistent social problems facing poor and vulnerable communities around the world.

Winrock International Institute for Agricultural Development, Little Rock, AR, United States: \$100,000 in general support of its mission to empower the disadvantaged, increase economic opportunity, and sustain natural resources.

Young Foundation, London, United Kingdom: \$409,100 in support of a project to identify and research high potential innovation methods that will help to provide solutions to persistent social problems facing poor and vulnerable communities around the world.

Equity in Food Systems

Applied Research Center, New York, NY, United States: \$200,000 in support of research to landscape policy and advocacy initiatives designed to improve equity in the U.S. food system.

BlueGreen Alliance Foundation, Minneapolis, MN, United States: \$150,008 in support of research to identify the potential for certification systems and indices to increase social and economic equity across the food system in the United States.

Natural Resources Defense Council, New York, NY, United States: \$222,860 in support of research to develop sustainable food purchasing criteria that can be used to guide and evaluate purchasing by retailers, food service providers and government agencies and potentially influence job standards and worker rights in the food system.

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RSF Social Finance, San Francisco, CA, United States: \$100,000 in support of research on financing models for local food systems with a focus on funding opportunities to support job creation, food access and health and wellness.

Tellus Institute, Inc., Boston, MA, United States: \$100,000 in support of research to identify and assess the potential impact of initiatives to improve outcomes for workers and/or equitable access to healthy food among the 100 largest food corporations.

Evaluation

Maxtudio, Rome, Italy: \$350,000 in support of developing knowledge products based on evaluations of the Foundation's initiatives in order to support learning practice and thought leadership at the Foundation and among its grantees and partners in development and philanthropy.

Pact Institute, Washington, DC, United States: \$375,000 in support of a project to advance new innovative methods and practice in impact evaluation, monitoring and learning, and to provide support to Rockefeller Foundation initiative teams, regional offices, grantees and partners in results planning, theories of change, improved measurement and reporting of results.

University of the Free State, Bloemfontein, South Africa: \$375,000 for use by its Directorate for Research Development, in continued support of a collaboration with EvalNet, to engage development evaluation leaders to provide practical assistance to Rockefeller Foundation initiative teams, key grantees and partners, to better articulate, monitor, evaluate and report on their results and strategies in order to achieve impact.

World Bank, Washington, DC, United States: \$500,000 for use by its Independent Evaluation Group toward the continued support of the Centers for Learning on Evaluation and Results, to support the development and implementation of four Centers—in Africa, East Asia, and South Asia—to strengthen the monitoring and evaluation and results based management capacity of public and private development institutions working in the Global South.

Impact Enterprises

Sustainable Jobs Development Corporation, Durham, NC, United States: \$150,000 in support of a research project to landscape

the impact enterprises sector and analyze the business models that have the potential to produce the greatest benefit to poor and vulnerable populations in the United States.

Impact Enterprises, William Davidson Institute, Ann Arbor, MI, United States: \$250,000 in support of a research project to landscape the sectors and business models that have the potential to produce the greatest impact and to analyze enabling conditions for impact enterprises to benefit poor and vulnerable populations globally.

Impact Investing

Aspen Institute, Washington, DC, United States: \$400,000 for use by its Aspen Network of Development Entrepreneurs toward the costs of starting an internal research capacity to actively aggregate, create and distribute information on the field of entrepreneurial development, in particular by addressing questions about the impact of small and growing businesses on poverty alleviation in emerging market countries.

Calvert Foundation, Bethesda, MD, United States: \$515,000 in support of a strategic planning and rebranding project to realize its potential to intermediate capital at a significantly greater scale.

Duke University, Durham, NC, United States: \$300,000 for use by its Center for the Advancement of Social Entrepreneurship in support of a research project analyzing the sustainability and impact of impact enterprises on poor and vulnerable populations globally.

Edward T. Jackson and Associates Ltd., Ottawa, ON, Canada: \$450,000 in support of a strategic assessment of the field of impact investing and an evaluation of the work of The Rockefeller Foundation's initiative, Harnessing the Power of Impact Investing.

Fundacao Interuniversitaria de Estudos e Pesquisas sobre o Trabalho, Sao Paulo, SP, Brazil: \$140,000 in support of in-depth research to explore policy and incentives for developing and connecting impact investment to the solidarity economy thus promoting equitable and sustainable development in Brazil.

GIIRS, Berwyn, PA, United States: \$1,000,000 in general support of its mission to create a social and environmental rating system for impact investors that represents a critical piece of industry infrastructure designed to facilitate greater flows of capital into the impact investing marketplace.

Global Alliance for Banking on Values, Zeitst, Netherlands: \$200,000 toward the cost of market research and a proof-of-concept analysis to test the viability of improving the ability of values-based banks to provide needed financial services to poor and vulnerable people.

Godeke Consulting, New York, NY, United States: \$195,000 in support of researching the landscape of potential impact investors to build a more robust market for social impact bonds in order to scale solutions to social problems facing poor and vulnerable communities in the United States.

GreaterCapital, Cape Town, South Africa: \$90,000 in support of in-depth research to examine how changes in the regulations governing pension fund investment practices in South Africa could facilitate a change in the investment strategies of asset managers to catalyze supply of impact investment capital for enterprises serving poor and vulnerable populations.

IC Foundation, San Francisco, CA, United States: \$200,000 in general support of its mission to inform the public about the importance of infusing socially responsible business and investment practices into global business and capital markets.

IntelleVentures LLC DBA cKinetics Consulting Services, Palo Alto, CA, United States: \$271,220 in support of in-depth research of the policy and regulatory frameworks necessary to operationalize distributed rural electrification projects, and issues related to driving the adoption of formal social and environmental reporting which can catalyze demand for and supply of impact investment capital for enterprises serving poor and vulnerable populations in India.

MaRS Discovery District, Toronto, ON, Canada: \$325,000 toward the costs of launching its Centre for Impact Investing, a national resource center and solutions lab that will increase the impact of social finance for the benefit of poor and vulnerable populations in Canada.

New Venture Fund, Washington, DC, United States: \$150,000 in support of its Toniic Institute, which seeks to inspire, aggregate and disseminate the best practices and research of global impact investors and investments, attracting more capital and increasing the collective positive impacts these investments can have on global social and environmental challenges.

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Rockefeller Philanthropy Advisors, New York, NY, United States: \$2,000,000 for use by the Global Impact Investing Network toward the costs of two of its programs: the Impact Reporting and Investment Standards (IRIS) taxonomy, which enables quantification of social impact and comparability amongst investments, and outreach to support the organization's communications efforts.

Service Employees International Union, Washington, DC, United States: \$350,000 toward the costs of planning for the creation of a new Healthcare Development Financial Institution dedicated to building the capacity of healthcare service providers in low-income communities while emphasizing the creation of good jobs and improved care.

Innovation

ETC Foundation, Leusden, Netherlands: \$200,000 for use by its Prolinnova network to transition the Farmer Access to Innovation Resources pilot programs to farmer-managed institutions in Africa and Asia.

Tides Center, San Francisco, CA, United States: \$350,000 in support of an evaluation of the Foundation's Accelerating Innovation for Development initiative, to foster learning, accountability and performance improvements in the Foundation and among its grantees.

Multiple Use Water Services

International Water Management Institute, Colombo, Sri Lanka: \$143,494 for use by its Southern Africa Office to conduct country specific research on the barriers that limit the scaling of a multiple use services approach to water management, the comparative importance of those barriers, and possibilities for overcoming these challenges for poor and vulnerable people in South Asia and Africa.

Johns Hopkins University, Baltimore, MD, United States: \$60,000 for use by its Global Water Program in support of a convening to discuss a systems approach to water management using people-centered problem solving models for more effective management of shared water resources, at the "Accelerating Multiple Water Services for the Poor" conference, to be held at The Rockefeller Foundation Bellagio Center, Italy, August 2011.

Pacific Institute, Oakland, CA, United States: \$219,451 in support of research and a convening exploring potential scaling opportuni-

ties for multiple-use approaches to water service delivery in the Global South.

Winrock International Institute for Agricultural Development, Little Rock, AR, United States: \$352,723 for use by its Water Innovation Program in support of research to develop and scope conceptual multiple-use water systems models, identify promising areas for implementation, and convene interested Rockefeller Foundation grantees to share lessons learned and develop strategic recommendations for accelerating development of water resources for poor and vulnerable people.

Networks for Urban Innovation

Akiba Mashinani Trust, Nairobi, Kenya: \$150,000 toward the costs of developing the financial instruments and community capacity necessary to upgrade slums situated on privately-owned lands in Nairobi, Kenya.

Asian Coalition for Housing Rights, Bangkok, Thailand: \$574,000 in support of its Asian Coalition for Community Action to train and provide project support to community architects and media practitioners, build partnerships with policymakers, and generate knowledge products that focus on community-driven urban revitalization.

Gujarat Mahila Housing SEWA Trust, Navrangpura, Ahmedabad, Gujarat, India: \$250,090 in collaboration with SEWA Bank and the Affordable Housing Institute, in support of start-up costs associated with Sewa Grah Rin, creating a housing finance facility dedicated exclusively to poor households in India.

Networks for Urban Innovation, Muungano wa Wanavijiji Support Trust, Nairobi, Kenya: \$212,000 in support of documenting, analyzing and strengthening a federation of slum dwellers in nine Kenyan municipalities, in which the local stakeholders seek to give a voice to the urban poor in the development of the slum areas they occupy.

University of Cape Town, Cape Town, South Africa: \$856,640 for use by its African Centre for Cities, in partnership with Slum/Shack Dwellers International and the Association of African Planning Schools, toward the costs of revitalizing urban planning education in Africa and scaling up urban-related applied research and practice on the continent.

University of Nairobi, Nairobi, Kenya: \$451,030 for use by its Centre for Urban Research and Innovation in support of

strengthening its position as a regional hub for innovative urban research, teaching and practice and to work in partnership with Slum Dwellers International to promote more equitable urban planning and management in East Africa.

New Mobility

Ateneo de Manila University, Quezon City, Philippines: \$325,000 for use by its School of Government in support of research, mapping, convenings and study tours to landscape the impact of public transportation systems on poor and vulnerable people in Metro Manila and to investigate transportation-related entrepreneurial and livelihood solutions within that system that could benefit poor and vulnerable residents.

University of Michigan, Ann Arbor, MI, United States: \$300,000 for use by its Transportation Research Institute in support of research to identify existing and emerging New Mobility business models and innovative transportation approaches that benefit the urban poor; to identify and convene the social entrepreneurs advancing these new models along with key stakeholders; and to explore possible paths for scaling up those models.

World Resources Institute, Washington, DC, United States: \$364,972 for use by its EMBARQ India in support of advancing equity improvements for the auto rickshaw sector and developing a policy and market framework for public shared bicycle systems in cities in India; and for research on existing models for privately operated public transit in cities in the developing world.

NYC Opportunities Fund

Brooklyn Academy of Music, Brooklyn, NY, United States: \$150,000 as a recipient of a New York City Cultural Innovation Fund award, toward the costs of the development of innovative programming for the Richard B. Fisher Building to demonstrate how a large, global institution can have a local impact.

Casita Maria Center for Arts & Education, Bronx, NY, United States: \$210,000 as a recipient of a New York City Cultural Innovation Fund award, in partnership with company-in-residence Dancing in the Streets toward the costs of developing long-term public art projects that engage communities in investigating and expressing the cultural heritage of the South Bronx.

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CEC Artslink, New York, NY, United States: \$75,000 as a recipient of a New York City Cultural Innovation Fund award, toward the costs of a series of partnerships between international artists, local artists and New York City's international diasporic communities to collaboratively develop new works of art.

Center for American Progress, Washington, DC, United States: \$250,000 in support of developing and disseminating policy solutions to the fundamental problems of economic inequality, lack of opportunity and hurdles to mobility that expand upon and amplify the Occupy Wall Street calls for fairness and equity.

Center for Urban Pedagogy, Brooklyn, NY, United States: \$160,000 as a recipient of a New York City Cultural Innovation Fund award, toward the costs of developing a social justice design clinic that helps community organizations demystify and visualize complex urban issues.

Chimpanzee Productions, Inc., New York, NY, United States: \$150,000 as a recipient of a New York City Cultural Innovation Fund award, for use by its Digital Diaspora Family Reunion, toward the costs of an interactive visual history of New York City developed from New Yorkers' personal family archives and stories.

City Futures, New York, NY, United States: \$75,000 for use by its Center for an Urban Future in support of "Designing New York's Innovation Economy," a comprehensive study of how New York City's design schools have become catalysts for innovation, entrepreneurship and economic growth.

Common Ground Communities, New York, NY, United States: \$250,000 toward the costs of documenting a systems approach to community development and implementing a new system for data-collection and performance-management among the Brownsville Partnership, a consortium of twelve community partner organizations and agencies that coordinate efforts to prevent family homelessness.

Creative Time, New York, NY, United States: \$75,000 toward the costs of launching "Artists on the News," a website featuring artists to encourage broader thinking on current events and promote artists as active participants in public life.

Dance Films Association, New York, NY, United States: \$250,000 as a recipient of a New York City Cultural Innovation Fund

award, in support of a partnership with Tendu TV to produce, market and distribute HD/3D films of New York City dance companies' productions.

El Puente, Brooklyn, NY, United States: \$150,000 as a recipient of a New York City Cultural Innovation Fund award, toward the costs of a cultural needs assessment of South Williamsburg including efforts to document its social infrastructure and map cultural assets that support creative, health and community development.

EmcArts, New York, NY, United States: \$364,500 in support of activities to capture and share lessons learned by grantees of the Foundation's New York City Cultural Innovation Fund.

Fund for the City of New York, New York, NY, United States: \$250,000 toward the costs of developing a plan for Jamaica Bay Parks in New York City as a site for research and experimentation on climate resilience as well as community engagement and education.

Greenpoint Manufacturing and Design Center, Brooklyn, NY, United States: \$175,000 as a recipient of a New York City Cultural Innovation Fund award, for use by its Naturally Occurring Cultural Districts Working Group toward the costs of a city-wide network of cultural and community organizations dedicated to supporting cultural and economic diversity as integral to sustainable communities.

Groundswell Community Mural Project, Brooklyn, NY, United States: \$200,000 as a recipient of a New York City Cultural Innovation Fund award, in partnership with The Majora Carter Group toward the costs of identifying transportation related concerns in the South Bronx and recommending design, signage and other solutions.

Heart of Brooklyn Cultural Institutions, Brooklyn, NY, United States: \$250,000 toward the costs of developing a process-mapping rubric and model and a capacity-building curriculum for collaborative engagement among cultural institutions, community partners and the local public.

HERE Arts Center, New York, NY, United States: \$250,000 toward the costs of "Made Here," an interactive video, blog and podcast series that examines critical issues facing performing artists in New York City.

Lower Manhattan Cultural Council, New York, NY, United States: \$100,000 toward the costs of developing and presenting cultural

events and a web portal commemorating the 10th anniversary of the September 11th terrorist attacks on the World Trade Center.

Make the Road New York, Brooklyn, NY, United States: \$210,000 in support of an initiative to educate workers, employers, and service providers about the impact of New York State's Wage Theft Prevention Act and to document the campaign undertaken to draft, pass and implement the Act in order to inform future replication efforts in other states.

Misnomer Dance Theater, Brooklyn, NY, United States: \$175,000 as a recipient of a New York City Cultural Innovation Fund award, in partnership with the specialist strategy and marketing firm Orcasci Limited, toward the costs of creating an innovative stakeholder-engagement program utilizing behavioral science, for use by small- to mid-size arts organizations.

Municipal Art Society of New York, New York, NY, United States: \$200,000 toward the costs of developing and presenting the second annual survey on livability in New York City and the second annual MAS Summit for New York City.

Municipal Art Society of New York, New York, NY, United States: \$900,000 in support of coordinating the fifth, sixth and seventh award competitions for The Rockefeller Foundation-sponsored Jane Jacobs Medal, which honors Jacobs' groundbreaking work in urban design, and of organizing other public programs related to the principles embodied in her work.

Museum for African Art, Long Island City, NY, United States: \$200,000 for use by its Nelson Mandela Center toward the costs of developing public educational programs on social and political history that highlight Africa's contributions to ongoing global dialogue.

National Association of Latino Independent Producers, Brooklyn, NY, United States: \$100,000 as a recipient of a New York City Cultural Innovation Fund award, toward the costs of providing professional mentoring through its Latino Artist Mentoring Project to New York Latino/Latina and Native writers, producers and directors to create and advance new films.

Natural Resources Defense Council, New York, NY, United States: \$736,290 for use by its Center for Market Innovation toward the costs of a model demonstration and

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financing energy efficient retrofit program that encourages both tenants and property owners to retrofit commercial spaces.

Natural Resources Defense Council, New York, NY, United States: \$750,000 for use by the New York City Energy Efficiency Corporation in support of building administrative capacity to provide financing products to spur the growth of the green retrofit market in order to create “green” jobs and reduce greenhouse gas emissions in New York City.

New Museum of Contemporary Art, New York, NY, United States: \$200,000 toward the costs of an innovative new artist residency program model designed to promote deep and sustained audience engagement.

New York City Environmental Justice Alliance, Brooklyn, NY, United States: \$175,000 in partnership with the Pratt Institute, toward the costs of researching, analyzing and developing reform recommendations for New York City’s Significant Maritime Industrial Area policies in order to increase the environmental and economic resilience of the residents and businesses in and near these areas.

New York Community Trust, New York, NY, United States: \$200,000 for use by its New York City Workforce Development Fund toward the costs of an initiative to engage health care employers in the Bronx in the analyses of current and future labor force needs and to connect these providers to workforce training organizations.

New York Foundation for the Arts, Brooklyn, NY, United States: \$200,000 as a recipient of a New York City Cultural Innovation Fund award, for use by Mary Miss Studio toward the costs of a series of public art installations along Broadway that will highlight environmental sustainability in New York City.

New York Live Arts, New York, NY, United States: \$175,000 as a recipient of a New York City Cultural Innovation Fund award, toward the costs of the merger of Dance Theater Workshop and Bill T. Jones/Arnie Zane Dance Company to create a new model for presenting and producing dance.

New York University, New York, NY, United States: \$250,000 as a recipient of a New York City Cultural Innovation Fund award, for use by its Hemispheric Institute of Performance and Politics in support of fostering performance-based emerging artists whose work functions as a vehicle for social change.

Nonprofit Finance Fund, New York, NY, United States: \$217,500 for the costs of a project to enhance the financial capacity of select New York City Cultural Innovation Fund applicants and grantees.

Nonprofit Finance Fund, New York, NY, United States: \$1,500,000 toward the costs of the ArtPlace initiative, a collaboration with the National Endowment for the Arts and a number of philanthropic institutions to conduct research on, advocate for and support projects on the role of arts and culture in building livable, sustainable communities across the nation.

Performance Zone, New York, NY, United States: \$100,000 as a recipient of a New York City Cultural Innovation Fund award, for use by OurGoods, toward the costs of developing an online barter network for artists.

Pratt Institute, Brooklyn, NY, United States: \$100,000 for use by its Pratt Center for Community Development toward the costs of conducting an analysis of the economic impact of the Brooklyn Navy Yard that highlights best policy and operating practices for transforming the manufacturing sector in the United States and identifies potential sites for replication both locally and nationally.

Pratt Institute, Brooklyn, NY, United States: \$110,000 for use by its Pratt Center for Community Development in support of a collaboration with the New York City Deputy Mayor of Operations to increase the local economic impact of upgrading New York City’s public schools through local government purchasing and sourcing.

Rockefeller Foundation Jane Jacobs Medal Awards, New York, NY, United States: \$200,000 to provide cash prizes to the recipients of The Rockefeller Foundation Jane Jacobs Medal or to organizations designated by the awardees.

Statue of Liberty Ellis Island Foundation, New York, NY, United States: \$350,000 toward the costs of “Global Migration,” the interactive component of the Peopling of America Center, an addition to Ellis Island that will introduce visitors to the epic history of migration to the United States throughout the nation’s history.

Vera Institute of Justice, New York, NY, United States: \$100,000 in support of documenting Common Justice, a demonstration project in which survivors and perpetrators of violent crime are brought together in a highly-structured mediation and service-provision program.

Vera Institute of Justice, New York, NY, United States: \$225,000 toward the costs of designing and conducting a study of the impact of formal and informal interaction between New York City police, adolescent and young adults, and their families with a focus on “stop, question and frisk” practices.

What Would Jane Do LLC, New York, NY, United States: \$1,000,000 toward the costs of “What Would Jane Do?”—a documentary film focusing on the life and continued global relevance of Jane Jacobs and her work.

Wildlife Conservation Society, Bronx, NY, United States: \$250,000 as a recipient of a New York City Cultural Innovation Fund award, toward the costs of an online forum that allows the public to develop and share climate-resilient designs for Manhattan.

Philanthropic Sector

Center for Effective Philanthropy, Cambridge, MA, United States: \$100,000 in general support of its mission to provide data and create insight so philanthropic funders can better define, assess and improve their effectiveness and impact.

Clinton Global Initiative, New York, NY, United States: \$350,000 toward the costs of its 2011 annual conference, designed to catalyze a community of global leaders to devise and implement innovative solutions to global challenges such as energy and climate change, poverty alleviation, global health, and education.

Council on Foundations, Arlington, VA, United States: \$55,000 in general support of its mission to provide the opportunity, leadership and tools needed by philanthropic organizations to expand, enhance and sustain their ability to advance the common good.

Environmental Grantmakers Association, New York, NY, United States: \$35,000 in general support of its mission to promote effective environmental philanthropy by sharing knowledge, fostering debate, cultivating leadership, facilitating collaboration, and catalyzing action.

Foundation Center, New York, NY, United States: \$100,000 in general support of its mission to strengthen the nonprofit sector by advancing knowledge about U.S. philanthropy.

Foundation for Thailand Rural Reconstruction Movement Under Royal Patronage, Bangkok, Thailand: \$29,600 for use by its Change Fusion Institute in support of a landscape mapping of key stakeholders and the

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development of a partnership platform aimed at fostering the emerging field of Social Investment in Southeast Asia.

Grantmakers in the Arts, Seattle, WA, United States: \$30,000 in general support of its mission to strengthen the field of private-sector arts and culture grantmaking.

GuideStar USA, Inc., Williamsburg, VA, United States: \$30,000 in general support of its mission to revolutionize philanthropy and nonprofit practice by providing information that advances transparency in the philanthropic sector, enables users to make better decisions, and encourages charitable giving.

Independent Sector, Washington, DC, United States: \$25,000 in general support of its mission to advance the common good by leading, strengthening and mobilizing the nonprofit community.

Independent Sector, Washington, DC, United States: \$250,000 in support of developing a new, sustainable business model that is replicable for the nonprofit membership organization sector, to generate revenue and engage a broad and diverse constituency.

JSI Research & Training Institute, Inc., Boston, MA, United States: \$100,000 for use by the Liberia Philanthropy Secretariat toward the costs of its efforts to coordinate philanthropic activities in Liberia and leverage and attract more resources into the country.

Kenya Community Development Foundation, Nairobi, Kenya: \$125,005 for use by the African Grantmakers Network in support of two convenings and efforts to support the development of a platform for the engagement of high net worth individuals in Africa and Africans in the diaspora to support the growth of African philanthropy.

National Committee for Responsive Philanthropy, Washington, DC, United States: \$100,000 in general support of its mission to promote philanthropy that serves the public good, is responsive to people and communities with the least wealth and opportunity, and is held accountable to the highest standards of integrity and openness.

Philanthropy New York, New York, NY, United States: \$30,000 in general support of its mission to strengthen philanthropy by offering programs and resources that support effective, strategic grantmaking, facilitating collaboration and knowledge sharing, and communicating the value of the philanthropic sector in our society.

Resource Alliance Limited, London, England: \$245,100 in support of the Thailand NGO Awards, which aim to recognize and reward professionalism and excellence of select Thai non-profit, non-governmental organizations, set standards for resource mobilization and overall organizational management and provide learning through shared case studies.

Synergos Institute, New York, NY, United States: \$50,000 in general support of its mission to mobilize resources and bridge social and economic divides to reduce poverty and increase equity around the world.

TechSoup Global, San Francisco, CA, United States: \$100,000 toward the costs of the development, launch and initial operations of NGOsource, an equivalency determination repository that will streamline the grantmaking process and enhance the ability of U.S. foundations to make grants to nonprofits around the world.

Urban Institute, Washington, DC, United States: \$100,000 for use by its Center on Nonprofits and Philanthropy to develop outcome indicators and related content for the Outcome and Effective Practices Portal, an online resource to help donors and nonprofits measure program performance.

World Affairs Council of Northern California, San Francisco, CA, United States: \$75,000 toward the costs of its Global Philanthropy Forum, which brings together foundation leaders, individual donors, social investors, and agents of change to learn more about opportunities for international philanthropy.

Playbook for Cities

Charter Cities, Palo Alto, CA, United States: \$500,000 in support of convening panels of experts to formulate ideal policy frameworks that encourage more sustainable, equitable and resilient cities.

Santa Fe Institute, Santa Fe, NM, United States: \$500,000 in support of empirical and quantitative research to promote a more comprehensive understanding of the structure, dynamics and organization of cities in order to provide a framework for solving the challenges of urbanization.

President's Discretionary

Brown University, Providence, RI, United States: \$30,000 toward the costs of efforts to document and preserve the endangered indigenous African Igbo language.

Carnegie Hall Society, New York, NY, United States: \$300,000 toward the costs of launching the National Youth Orchestra of the United States of America a professional-caliber training orchestra that will provide a transformative musical experience to promising young musicians.

Club of Madrid Foundation, Newton, MA, United States: \$100,000 for use by the Club de Madrid toward the costs of organizing its annual, international conference to discuss the implications of digital technologies for democracy in the 21st century.

Corporation for National and Community Service, Washington, DC, United States: \$100,000 for use by its White House Council for Community Solutions' effectiveness working group toward the costs of hiring a Senior Analyst to support developing the framework and toolkit for successful community youth engagement programs.

Earth Island Institute, Berkeley, CA, United States: \$69,695 for use by its Climate Wise Women project in support of a series of activities leading up to the United Nations' Commission on the Status of Women and Rio + 20, led by a group of international community activists who will share their stories related to climate change, environmental justice and gender equality with local women leaders in an interactive format that forges connections among developed and developing countries.

Habitat for Humanity International, Atlanta, GA, United States: \$25,000 toward the costs of "Housing Cities after a Disaster," a World Habitat Day event to raise awareness and mobilize people to take action in response to the need for adequate shelter.

International Women's Media Foundation, Washington, DC, United States: \$25,000 toward the costs of the 2011 Courage in Journalism Awards, which recognize three female journalists who risk their livelihoods and often their lives to cover corruption, malfeasance, war, human rights violations, and murder.

Jazz at Lincoln Center, New York, NY, United States: \$100,000 toward the costs of its "Jazz and Popular Song Series" and the inaugural season of "The Blues Series".

Local Initiatives Support Corporation, New York, NY, United States: \$50,000 toward the costs of its 30th anniversary gala and conference to raise awareness of the importance of community development "Investing in People & Places: Building Sustainable Communities," to be held in Washington, DC, March 2011.

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Miami City Ballet, Miami Beach, FL, United States: \$200,000 toward the costs of making dance more accessible to individuals of all backgrounds through the Miami-Dade presentation of Saint-Léon's "Coppélia," during the 2011-2012 season and the Ballet for Young People/Family Fest.

Oregon State Treasury, Salem, OR, United States: \$250,000 in support of developing a strategic plan for a West Coast Infrastructure Exchange, which would accelerate innovative efforts to finance infrastructure projects in Oregon, California and Washington and have replicable learning value for other regions in the United States.

Rockefeller Philanthropy Advisors, New York, NY, United States: \$500,000 for use by the Women in the World Foundation toward the costs of its efforts to engage in and advance issues facing women and girls globally including the creation of a multi-use digital platform for sharing stories and solutions.

Washington Area Women's Foundation, Washington, DC, United States: \$150,000 toward the costs of quantitative research, in collaboration with the Urban Institute, on how investing in direct services and advocacy can benefit the lives of women and girls in the United States.

Washington DC Martin Luther King Jr National Memorial Project Foundation, Washington, DC, United States: \$200,000 toward the costs of launching (and operationalizing) the Martin Luther King, Jr. Memorial on the National Mall in Washington, DC to raise awareness and understanding of Dr. Martin Luther King Jr.'s place in history as well as his legacy of democracy, justice and love.

Young Women's Leadership Network Inc., New York, NY, United States: \$25,000 toward the costs of 2011-2012 school year activities of The Young Women's Leadership Schools, a network of all-girls public secondary schools serving underserved families in New York City.

Program Related Investment Fund (PRI)

African Agricultural Capital Limited, Kampala, Uganda: \$2,000,000 as a Program-Related Investment to support the development of intermediation capacity for impact investment by providing capital for agri-businesses that support small holder

farmers, create jobs, and contribute to food security in East Africa.

Juhudi Kilimo Company Limited, Nairobi, Kenya: \$750,000 as a Program-Related Investment to support the development of intermediation capacity for impact investment in the underserved rural sub-sector in Kenya by providing capital for rural micro-asset financing loans to assist smallholder farmers in acquiring productive assets.

The Disability Fund, Albertson, NY, United States: \$750,000 as a Program-Related Investment to support the improvement in and expansion of the system for addressing a historically underserved market by providing capital for financing, technical services, and policy advocacy to increase access to appropriate and affordable housing for people with disabilities throughout the United States.

Poverty Reduction through Information & Digital Employment (PRIDE)

Accenture LLP, Chicago, IL, United States: \$278,623 for use by its Accenture Development Partnerships to develop a replicable value proposition, business case and pilot design for outsourcing work to business process outsourcing companies employing poor and vulnerable people, as part of a broader demonstration to test whether the nascent field of "impact sourcing" can yield better employment opportunities for poor and vulnerable populations.

Avasant, Manhattan Beach, CA, United States: \$294,475 in support of researching the policies, incentives and global best practices that encourage the growth of employment opportunities for people who are historically and socio-economically disadvantaged.

Community and Individual Development Association, Johannesburg, South Africa: \$700,000 toward the costs of establishing an academy to train unemployed and disadvantaged youth for various roles within the outsourcing industry, as part of a broader demonstration to test whether the nascent field of "impact sourcing" can yield better employment opportunities for poor and vulnerable populations.

Edward T. Jackson and Associates Ltd., Ottawa, ON, Canada: \$425,000 in support of monitoring and evaluation of The Rockefeller Foundation's initiative in development, Poverty Reduction through Information and

Digital Employment (PRIDE) and to foster learning, accountability and performance improvements in the Foundation and among its grantees.

Enablis Entrepreneurial Network East Africa Limited, Nairobi, Kenya, Kenya: \$180,700 in support of identifying, training and providing development support to business process outsourcing entrepreneurs in Nairobi and Kisumu, as part of a broader demonstration to test whether a new field of "impact sourcing" can yield better employment opportunities for very poor populations.

Ikhono Communications, Durban, South Africa: \$226,000 in support of a convening to address challenges and opportunities facing the nascent field of "impact sourcing" and explore strategies for bringing the work to scale, to be held in South Africa, December 2011.

IT Enabled Services Secretariat, Accra, Ghana: \$882,177 in support of training poor and vulnerable youth and providing them with subsequent employment in business process outsourcing centers to digitize government records aligned with Ghana's e-government strategy, as part of a broader demonstration to test whether the nascent field of "impact sourcing" can yield better employment opportunities for poor and vulnerable populations.

Kenya Information and Communications Technology Board, Nairobi, Kenya: \$400,000 in support of digitizing medical records at Kenyatta National Hospital in Kenya to improve efficiency and service delivery at the hospital, as part of a broader demonstration to test whether the nascent field of "impact sourcing" can yield better employment opportunities for poor and vulnerable populations.

Leaders' Quest, Ltd., Surrey, United Kingdom: \$160,040 in support of convening a leadership learning program to facilitate raising the profile of and building a more global stakeholder community for the nascent field of Impact Sourcing, which seeks to create sustainable employment opportunities for poor and vulnerable populations.

Monitor Company Group LP, Cambridge, MA, United States: \$588,370 for use by its Monitor Institute in support of a research project to explore the potential of outsourced employment opportunities for providing jobs and economic growth to very poor populations in South Africa, Kenya and Ghana and to understand the current and potential scale

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of client demand and the critical interventions necessary to increase client demand for Impact Sourcing services.

NASSCOM Foundation, New Delhi, India: \$300,000 in support of piloting a demonstration of business process outsourcing and impact sourcing service provider collaboration and developing a process and quality certification framework for impact sourcing service providers, as part of a broader demonstration to test whether the nascent field of "impact sourcing" can yield better employment opportunities for poor and vulnerable populations.

National Council for Law Reporting, Nairobi, Kenya: \$293,000 in support of the digitization of the laws of Kenya, as part of a broader demonstration to test whether a new field of "impact sourcing" can yield better employment opportunities for poor and vulnerable populations.

TechnoServe, Washington, DC, United States: \$346,439 in support of a proof-of-concept pilot project to demonstrate the potential for a local Impact Sourcing Service Provider in Kenya to create and sustain opportunities targeting poor and disadvantaged students in public universities.

Research

African Center for Economic Transformation, Washington, DC, United States: \$169,800 in continued support of a project to conduct trend monitoring and horizon scanning on issues relevant to poverty and human development focused on West Africa.

Center for Democracy and Development, Nigeria, Abuja, Nigeria: \$189,860 in continued support of a project to conduct trend monitoring and horizon scanning on issues relevant to poverty and human development, focused on West Africa.

Indochina Research (Cambodia) Ltd., Phnom Penh, Cambodia: \$150,000 toward the costs of a project to conduct trend monitoring, horizon scanning, and idea generation research on issues relevant to poverty and human development, focused on Cambodia, Laos, and Vietnam, and for the dissemination of trend monitoring information through online blog distribution channels.

Institute for Alternative Futures, Alexandria, VA, United States: \$398,998 in support of convening the annual gathering of The Rockefeller Foundation Searchlight grantees, to

develop concrete intervention opportunities that inform potential interventions by the Foundation and the broader development community, to be held in New York, April 2012.

Intellecap Inc., Palo Alto, CA, United States: \$50,125 in support of the production of research products that will enhance the strategic knowledge base of the international development community on topics related to poverty reduction in the Global South.

Intellecap Inc., Palo Alto, CA, United States: \$170,200 toward the costs of a project to conduct trend monitoring, and horizon scanning, and idea generation research on issues relevant to poverty and human development, focused on South Asia, and for the dissemination of trend monitoring information through online blog distribution channels.

Millennium Project Corporation, Washington, DC, United States: \$172,050 in support of 1) targeted travel support for participants from Africa to attend the Millennium Project Planning Committee Meeting, to be held in Vancouver, Canada, July 2011; and 2) a project to assist in improving the capacity of developing countries to conduct futures research by implementing the results of a long-term financial sustainability and feasibility plan for the organization.

National University of Singapore, Singapore: \$150,460 for use by its Lee Kuan Yew School of Public Policy toward the costs of a project to conduct trend monitoring and horizon scanning research on issues relevant to poverty and human development, focused on Brunei, Cambodia, Indonesia, Lao PDR, Malaysia, the Philippines, Singapore, Thailand and Vietnam.

Noviscape Consulting Group Co. Ltd., Bangkok, Thailand: \$92,390 toward the costs of a project, in collaboration with the Urban and Regional Planning Department of Chulalongkorn University and the Siam Intelligence Unit, to conduct trend monitoring, horizon scanning, and idea generation research on issues relevant to poverty and human development, focused on Southeast Asia, and for the dissemination of trend monitoring information through online blog distribution channels.

Royal Institute of International Affairs, London, England: \$199,633 in support of research to identify barriers to translating food crisis early warning signs into early action, produce regional case studies on the recent crises in East Africa and the Sahel,

and formulate and communicate solutions to overcoming these barriers.

SA Node of the Millennium Project

Johannesburg, South Africa: \$200,000 in continued support of a project to conduct trend monitoring and horizon scanning on issues relevant to poverty and human development, focused on Southern Africa.

Society for International Development

Bethesda, MD, United States: \$189,186 for use by its Regional Office in Tanzania in continued support of a project to conduct trend monitoring and horizon scanning on issues relevant to poverty and human development focused on the Greater Horn of Africa.

Search

Accenture LLP, Chicago, IL, United States: \$345,240 for use by its Accenture Development Partnerships toward the costs of identifying intervention opportunities related to problems facing poor and vulnerable people and sharing them with the philanthropic and social sector communities.

Aspen Institute, Washington, DC, United States: \$500,000 for use by its Congressional Program toward the costs of a nonpartisan project to educate Members of the U.S. Congress on key public policy issues.

City Futures, New York, NY, United States: \$47,000 for use by its Center for an Urban Future in support of convening a roundtable of urban thought leaders and practitioners to discuss the trends that will affect urban areas and those who live in them over the next two decades, with a particular emphasis on issues expected to impact the most vulnerable urban residents.

Community Partners, Los Angeles, CA, United States: \$200,000 for use by the Geena Davis Institute on Gender in Media toward the costs of a global research study that will examine gender portrayals in family films made outside of the United States and the impact of these portrayals on the social, emotional and physical health, attitudes and behaviors of women and girls.

Fundacao Brasileira para o Desenvolvimento Sustentavel, Rio de Janeiro, RJ, Brazil: \$550,000 in support of the Joint Initiative on Urban Sustainability (JIUS) to develop models, relationships and an overarching framework that will catalyze sustainable investment in Brazil, the United States and other countries.

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IDEO.org, San Francisco, CA, United States: \$850,000 toward the costs of identifying intervention opportunities related to problems facing poor and vulnerable people and sharing them with the philanthropic and social sector communities.

Janot Mendler de Suarez, Wayland, MA, United States: \$49,520 in support of designing, managing, facilitating and documenting three convenings on the principles of resilience through dialogue and innovative game sessions to help Rockefeller Foundation staff operationalize resilience concepts into its grantmaking strategies and share the tools and outcomes with interested partners and grantees.

Jewish Funds for Justice, New York, NY, United States: \$500,000 toward the costs of the Care Fund for the Caring Across Generations Campaign, which is designed to research, evaluate and promote strategies that strengthen the quality of jobs for direct care workers as well as the quality and availability of care they provide.

Johns Hopkins University, Baltimore, MD, United States: \$198,523 for use by its School of Advanced International Studies in support of investigating and supporting the acceleration of capital flows from sovereign wealth funds into resilient and sustainable infrastructure investment to ensure inclusive, equitable and sustainable economic growth with substantial social, environmental and health benefits.

Meridian Institute, Dillon, CO, United States: \$350,000 in support of facilitating the development and implementation of a collaborative strategic framework among a diverse set of entities working on disaster risk reduction in the Asia Pacific region.

Meridian Institute, Dillon, CO, United States: \$500,000 toward the costs of its AGree initiative, an effort to enhance U.S. food and agricultural policy to improve the productivity and environmental performance of agriculture, increase access to nutritious food and promote opportunities for rural communities to succeed.

National Council for Science and the Environment, Washington, DC, United States: \$50,000 toward the costs of its 12th National Conference on Science, Policy and the Environment, "Environment and Security," to be held in Washington, D.C., January 2012, and of identifying intervention opportunities related to problems facing poor and

vulnerable people and sharing them with the philanthropic and social sector communities.

NetHope, Fairfax, VA, United States: \$300,000 in support of identifying intervention opportunities related to problems facing poor and vulnerable people and sharing them with the philanthropic and social sector communities.

New York University, New York, NY, United States: \$200,000 for use by its Institute for Public Knowledge in support of the planning phase of an initiative on public-private partnerships in U.S. infrastructure finance.

OpenPlans, New York, NY, United States: \$27,000 in support of a project to foster discussion around "smart" cities, including implications for public and technology policy, through website outreach, social media, working group activities, and an "Urban Systems Symposium" to be held in New York City, May 2011.

Rockefeller Foundation CREATE Event Donations, United States: \$4,000 for donations to organizations identified by Rockefeller Foundation staff participating in the C.R.E.A.T.E. (Collaborative Rockefeller Engine to Advance Topics for Exploration) workshop, a project of the Internal Innovation Fund.

Smithsonian Institution, Washington, DC, United States: \$2,000,000 for use by its National Museum of African American History and Culture in support of content development and design for the "Panorama of the Modern Civil Rights Movement" and "Interactive Lunch Counter" exhibitions.

Stanford University, Stanford, CA, United States: \$450,000 for use by its Woods Institute for the Environment in support of the "Uncommon Dialogue" program, a series of workshops and papers exploring new ideas and intervention opportunities related to problems facing poor and vulnerable people and sharing them with the philanthropic and social sector communities.

The PopTech Institute, Camden, ME, United States: \$500,000 toward the costs of a conference on understanding resilience for vulnerable populations across the globe, "PopTech 2012: Toward Resilience," to be held in Reykjavik, Iceland, June 2012.

United Nations Entity for Gender Equality and the Empowerment of Women, New York, NY, United States: \$700,000 toward the costs of specific activities to support its mission to accelerate progress toward the goal of achieving gender equality and the

empowerment of women, including ensuring that women's voices and concerns are heard through the Grassroots Women Participation in Rio+20 project and enhancing the capacity of rural women farmers through the Rural Women Feed Africa project.

University of North Carolina at Chapel Hill, Chapel Hill, NC, United States: \$100,000 for use by its Carolina Population Center in support of a scoping paper and a convening of leading global thinkers in the fields of obesity, nutrition, food security and agriculture to explore innovative approaches to combating obesity in the developing world.

University of Oxford, Oxford, England: \$300,000 for use by its Oxford Martin School in support of two workshops to develop a broad and cross-cutting perspective on resilience both in theory and practice, with a focus on the role of resilience in the reduction of poverty.

University of the Free State, Bloemfontein, South Africa: \$270,250 in support of a series of workshops to build knowledge, skills and capacity among Rockefeller Foundation staff, partners and grantees to better define, measure and report on achieving more equitable growth for poor and vulnerable people.

Urban Institute, Washington, DC, United States: \$250,000 in support of preparing and releasing the 2010 Neighborhood Change Database.

WETA, Arlington, VA, United States: \$375,000 in support of "Coping with Climate Change," a multi-platform public media project by PBS NewsHour that focuses on the challenges presented by a changing environment in communities across the U.S.

World Bank, Washington, DC, United States: \$200,000 toward the costs of in-depth analysis of qualitative gender data for a paper on "Defining Gender in the 21st Century: Conversations with Men and Women Around the World" as a companion publication to its 2012 World Development Report, and a writing workshop on "Defining Gender," to be held at The Rockefeller Foundation Bellagio Center, Bellagio, Italy, September 2011.

World Food Prize Foundation, Des Moines, IA, United States: \$1,250,000 to endow an annual award in honor of Dr. Norman E. Borlaug recognizing an outstanding young field research scientist or extension worker in agricultural development, and to contribute to the Borlaug Dialogue International Symposium over the next 10 years.

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Secondary Education

Results for Development, Washington, DC, United States: \$980,000 in support of research and convenings to identify and explore innovative secondary education for skills enhancement, and explore paths to scale up the most effective models in Africa and Asia.

Sustainable Employment in a Green US Economy (SEGUE)

BlueGreen Alliance Foundation, Minneapolis, MN, United States: \$300,000 for use by its Clean Energy Manufacturing Center toward the costs of "Creating an Administrative Policy Road Map for the Clean Energy Economy," a collaborative project with the Sierra Club to perform an analysis of employment opportunities for low- and moderate-income workers throughout the wind and solar energy supply chains.

Capital E LLC, Washington, DC, United States: \$75,000 toward the costs of its Green Building Performance Database, which will make rigorous data on the costs and benefits of green buildings free and publicly accessible.

Clean Economy Development Center, Washington, DC, United States: \$150,000 toward the costs of developing an energy efficiency financing strategy for Rhode Island, to stimulate investment in clean energy, leverage private capital, and provide a model that can be replicated nationally.

Clean Energy Works Oregon, Portland, OR, United States: \$300,000 toward the costs of a project expanding its residential energy retrofit program (from the city of Portland to the state of Oregon) with enhanced marketing, information technology systems and communications strategies.

CNT Energy, Chicago, IL, United States: \$350,000 in support of its "Building the Multi-family Retrofit Market: Replicating Energy Savers in New Markets" initiative, which aims to replicate its multi-family energy retrofit model in U.S. cities.

Economic Policy Institute, Washington, DC, United States: \$400,000 in support of research on employment opportunities and challenges in the green economy, including current analysis and future projections of the growth, quality and composition of jobs in multiple sectors of the economy related to climate mitigation and resilience.

Emerald Cities Collaborative, Inc., Washington, DC, United States: \$550,000 in general support of its mission to "green" U.S. cities and metropolitan economies through energy efficiency retrofit programs and other high road employment-oriented initiatives that advance equal opportunity, shared wealth and democracy.

Green For All, Oakland, CA, United States: \$1,000,000 toward the costs of its new initiative to develop green jobs opportunities in the water management sector.

Innovation Network for Communities, Tamworth, NH, United States: \$65,000 in support of its Building Retrofit Industry Market (BRIM) Scan and Segmentation Analysis, an effort to conduct research in support of a coordinated market development investment plan for the energy efficiency retrofit sector in the U.S.

Institute for Women's Policy Research, Washington, DC, United States: \$300,000 toward the costs of an integrated research project to analyze employment opportunities for women across the green economy and identify programs to help women transition from green job training to sustainable employment.

Massachusetts Institute of Technology, Cambridge, MA, United States: \$115,000 for use by its Abdul Latif Jameel Poverty Action Lab in support of a study of 40,000 households in Michigan to measure the impact of the Weatherization Assistance Program (WAP), specifically producing insights into the number of green jobs created through this program.

National Council of La Raza, Washington, DC, United States: \$125,000 in support of an integrated research project to analyze employment opportunities for Latino workers across the green economy, and to develop policy proposals for increasing Latino workers' preparedness for jobs in the green economy.

Pacific Institute, Oakland, CA, United States: \$230,000 in support of a comprehensive scoping analysis of the green jobs potential in the water management sector.

People United for Sustainable Housing, Buffalo, NY, United States: \$250,000 toward the costs of developing and replicating its Green Development Zone model of community regeneration, and partnering with the New York State Energy Research and Development Authority to implement the state's residential energy efficiency retrofit program, Green Jobs/Green New York.

Progressive America Fund, New York, NY, United States: \$250,000 toward the costs of implementing Green Jobs/Green New York, a collaborative project with the New York State Energy and Research Development Authority that seeks to use a green infrastructure investment program to create jobs in New York State, reduce energy use and climate impacts, lower energy bills, and serve as a model for other state initiatives around the country.

The DC Project, Inc., Washington, DC, United States: \$250,000 toward the costs of its National Training Program, an effort to generate consumer demand for residential energy efficiency and channel benefits back into communities through cost savings, carbon emissions reductions, new jobs for retrofit workers and green economic development.

The Partnership for Working Families, Washington, DC, United States: \$500,000 toward the costs of research to quantify potential employment, environmental and other social impacts of the waste management sector as well as to develop a national campaign focused on social and economic equity in the waste and recycling industry.

University of Wisconsin-Madison, Madison, WI, United States: \$250,000 for use by its Center on Wisconsin Strategy in support of "Greener On-Ramps: Skill Formation, Resilience, and Equity in the Clean Energy Economy," which seeks to advance a greener and more equitable economy in the U.S.

Workers Defense Project, Inc., Austin, TX, United States: \$300,000 toward the costs of its Premier Community Builder project, an effort to create equitable and sustainable jobs in the green construction industry in Austin, Texas.

Smart Power for Environmentally-sound Economic Development (SPEED)

Confederation of Indian Industry, New Delhi, India: \$506,350 for use by its Sohrabji Godrej Green Business Center in support of bringing together stakeholders from the mobile phone industry, financial institutions, community organizations, government and the technology manufacturing and supply sectors to create an enabling environment necessary for the success and scale up of a multi-site project to generate off-grid electricity for distribution to poor rural communities in India.

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DESI Power, Bangalore, India: \$766,457 in support of a project to design and test scalability and replicability of off-grid energy service companies for delivering renewable energy solutions that provide clean energy and spur local economic growth for rural communities in India.

Johns Hopkins University, Baltimore, MD, United States: \$649,470 for use by its School of Advanced International Studies in support of landscaping global energy policies, technological developments and financing mechanisms to raise awareness of and contribute to scaling up the Smart Power for Environmentally-sound Economic Development (SPEED) initiative.

Prayas, Maharashtra, India: \$337,780 toward the costs of policy-relevant research in support of the economically viable, socially equitable and environmentally sustainable growth and development of India's renewable energy sector.

Sambodhi, New Delhi, India: \$498,168 in support of conducting monitoring and evaluation of the Foundation's Smart Power for Environmentally-sound Economic Development initiative work in India, to capture and share lessons learned about the feasibility and replicability of off-grid renewable energy solutions to provide electrification and spur economic growth in poor rural communities, and to foster learning, accountability and performance improvements in the Foundation and among its grantees.

Technology and Action for Rural Advancement, New Delhi, India: \$3,556,667 in support of coordinating a multi-stakeholder, multi-site project to develop and test the feasibility and replicability of off-grid renewable energy solutions to provide electrification and spur economic growth in poor rural communities in India.

The Energy and Resources Institute, New Delhi, India: \$100,000 toward the costs of the Delhi Sustainable Development Summit, an international platform for constructive dialogue and debate on climate change that aims to deliver globally accepted agreements on building a sustainable future, held in New Delhi, India, February 2011.

Transforming Health Systems

ACCESS Health International, Washington, DC, United States: \$545,450 in support of activities to build capacity through training and mentoring, in order to bring best prac-

tices in the health private sector to scale through existing and evolving networks of healthcare providers in low- and middle-income countries.

African Health Economics and Policy Association, Surrey, United Kingdom: \$75,000 toward the costs of its conference "Towards Universal Health Coverage," to gather health experts, academics, practitioners and policy-makers from African countries to present and exchange the latest research on employing appropriate health economics tools and financing for improvements in health care, to be held in Dakar, Senegal, March 2011.

African Population and Health Research Center, Nairobi, Kenya: \$46,976 in support of a collaboration with the Ministry of Health, Rwanda to develop a plan to increase policy planning and analysis skills within the Ministry, and to develop a framework and methodology for an assessment of the role of the private health sector in Rwanda.

Asian Community Health Action Network, Madras 90, India: \$150,000 in support of the People's Health Movement's bi-annual Assembly and a related workshop to engage civil society in policy dialogues on strengthening health systems.

BRAC, Dhaka, Bangladesh: \$782,000 in support of a project to fine-tune, implement and scale-up the BRAC Healthcare Innovations Program (B-HIP) for BRAC beneficiaries and their families.

Carego, Danville, CA, United States: \$55,000 in support of facilitating a learning visit by officials of the Kenyan Ministry of Medical Services to a successful health information exchange working site in Brisbane, Australia as a potential model for improving the quality and efficiency of health care in Kenya.

Cayetano Heredia University, Lima, Peru: \$30,000 toward the costs of convening health informatics experts to discuss best practices and encourage South-South and North-South collaborations that tackle health care gaps faced by underserved communities at the "Symposium on mHealth Strategy for Latin America" to be held in Lima, Peru, March 2011.

Centre for Health and Social Services, Accra, Ghana: \$257,242 in support of the first "Pan-African Conference on Universal Health Coverage," to be held in Accra, Ghana, November 2011, to create a movement among community-supported organizations, ministries of health, practitioners and academics for equitable health financing in Africa.

Centre for Promotion of Quality of Life, Ho Chi Minh City, Vietnam: \$105,040 in support of a project to strengthen health systems, address climate change challenges and increase access to health insurance for poor communities in Tien Giang Province, Vietnam.

China Medical Board, Inc., Cambridge, MA, United States: \$128,500 in support of launching HealthSpace.Asia, a web-based platform that aims to encourage networking among health systems researchers in Asia, including regional dissemination of the 2011 Lancet Southeast Asia series.

China National Health Development Research Center, Beijing, China: \$350,000 in support of formulating specific, evidence-based options for creating a national provider payment system in an effort to achieve universal health coverage in China.

Chulalongkorn University, Bangkok, Thailand: \$30,000 for use by its Centre for Health Economics, in collaboration with the Asia Network for Capacity Building in Health System Strengthening and the World Bank Institute, in support of a course on "Strategies for Private Sector Engagement and Public Private Partnership in Health," to be held in Bangkok, Thailand, summer 2011.

Clinton Health Access Initiative, Boston, MA, United States: \$594,960 for use by its Rwanda Country Office, in collaboration with the Ministry of Health in Rwanda, to upgrade District Health Strengthening Tool software and provide regional training and support for the local-level integration of new eHealth technologies country-wide.

Council on Foreign Relations, New York, NY, United States: \$49,220 in support of a collaboration with the Brazilian Mission to organize a panel on progress toward universal health coverage, to be held during the United Nations General Assembly Meeting in September 2011, and three follow-up round-table discussions.

Elets Technomedia Pvt. Ltd., Uttar Pradesh, India: \$54,500 in support of conducting a feasibility study on creating an online eHealth magazine that covers developments in the eHealth sector in Africa.

Family Health International, Research Triangle Park, NC, United States: \$200,000 for use by its Asian Pacific Regional Office toward the costs of developing and implementing a hospital accreditation system in Bangladesh.

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FIOCRUZ, Rio de Janeiro, RJ, Brazil: \$250,000 for use by its Center for International Relations in Health, in support of the costs of participation of nongovernmental organizations from developing countries in the "World Conference on Social Determinants of Health" to be held in Rio de Janeiro, Brazil, October 2011.

Health Strategy and Policy Institute, Hanoi, Vietnam: \$550,700 in support of establishing a new research center for studying the non-state health sector in Vietnam.

HELINA, Bamako, Mali: \$45,000 toward the costs of its 2011 Health Informatics in Africa conference and additional planning for development of health informatics research and education in Africa.

INAFI Bangladesh Foundation, Dhaka, Bangladesh: \$57,680 in support of conducting a study on the feasibility of making microinsurance products available to poor and vulnerable populations in Bangladesh.

INAFI Bangladesh Foundation, Dhaka, Bangladesh: \$225,000 in support of fostering a pro-poor health insurance agenda by supporting the further development and sustainability of "Micro Insurance Mutual Enabling" (MIME), a mutual insurance program in Bangladesh.

INDEPTH Network, Accra, Ghana: \$606,623 in support of a study to document the impact of Universal Health Care reforms on access, uptake and utilization of health care services among poor and vulnerable people at the household level in Ghana and Vietnam.

InSTEDD, Palo Alto, CA, United States: \$58,000 in support of a scoping study to design an organizational structure and resource mobilization strategy for an innovation lab that fosters the development and implementation of locally sourced eHealth solutions in Bangladesh.

Institute for Collaborative Development, Bethesda, MD, United States: \$225,441 in support of research on institutional and sustainability options for a potential African Platform on Health Systems and Policy that will provide evidence-based analysis to inform policy making, including a convening to be held at The Rockefeller Foundation Bellagio Center, Italy, 2012.

Institute for Healthcare Improvement, Cambridge, MA, United States: \$1,299,870 in support of a project to provide technical support and facilitate networking among approximately 10 countries of the Joint Learning

Network regarding the design, implementation, and evaluation of relevant quality mechanisms as a means to achieve universal health coverage.

Institute for Social Development Studies, Hanoi, Vietnam: \$363,500 in support of a project to build the capacity of the civil society sector to advocate health equity in Vietnam.

Institute of Epidemiology, Disease Control and Research, Dhaka 1212, Bangladesh: \$202,650 in support of activities to mitigate the impact of climate change to reduce the burden of climate-sensitive illnesses through strengthening health systems, collaborative networking and enhanced disease surveillance in Bangladesh.

Institute of Health Policy, Management and Research, Nairobi, Kenya: \$299,894 in support of activities to increase awareness of health market innovations in East Africa, and identify and disseminate best practices to bring them to scale.

International Centre for Diarrhoeal Disease Research, Bangladesh, Mohakhali, Dhaka, Bangladesh: \$39,811 in continued support of activities to develop and implement a pilot health insurance scheme in Bangladesh.

International Health Policy Program, Thailand, Nonthaburi, Thailand: \$25,000 in support of participants from Asia to attend the 6th Asia Pacific Action Alliance on Human Resources for Health (AAAH) conference to be held in Cebu, the Philippines, November 2011.

International Health Policy Program, Thailand, Nonthaburi, Thailand: \$532,190 in support of creating and institutionalizing a universal health coverage training center for south-south learning efforts.

Jembi Health Systems, Cape Town, South Africa: \$300,000 in general support of its mission to develop health information systems for use in low-resource settings in Africa.

Johns Hopkins University, Baltimore, MD, United States: \$203,320 for use by its School of Public Health in support of activities, in collaboration with the Future Health Systems Consortium, to facilitate an evidence-informed discussion and analysis among foundations, development partners and experts about the nature and future of health care markets in low- and middle-income countries and innovative ways to intervene in markets to promote accessible, quality care for poor and vulnerable people.

London School of Hygiene and Tropical Medicine, University of London, London, England: \$250,000 for use by its Department of Global Health and Development in support of broad dissemination and launch activities for the book "Good Health at Low Cost," to inform debates around health systems strengthening and bolster regional capacity in health systems research.

Mahidol University, Nakhon Pathom, Thailand: \$550,000 for use by its Faculty of Tropical Medicine in support of expanding the Center of Excellence in public health informatics, with a focus on developing a curriculum and faculty to launch a master's degree program.

Management Sciences for Health, Cambridge, MA, United States: \$510,839 in support of its "Campaign for Universal Health Coverage in Low and Middle Income Countries" to encourage equitable health reform in five African countries.

Management Sciences for Health, Cambridge, MA, United States: \$747,539 for use by its Rwanda office in support of research, financial modeling and capacity building to expand access, utilization and sustainability within the Community-Based Health Insurance scheme in Rwanda.

Medical Credit Fund, Amsterdam, Netherlands: \$161,500 in support of a feasibility study to assess the technical assistance and business needs of midwives in Ghana in an effort to inform a reduction in maternal and infant mortality.

Ministry of Health & Family Welfare, Dhaka-1000, Bangladesh: \$214,290 for use by its Health Economics Unit in support of activities to develop and institutionalize the National Health Accounts, a tool for summarizing, describing and analyzing the financing of national health systems in Bangladesh.

Ministry of Health & Family Welfare, Dhaka-1000, Bangladesh: \$400,000 for use by its Directorate General of Health Services in support of establishing a national eHealth steering committee and new technical resources in order to develop standards and a framework for national health information systems in Bangladesh.

Ministry of Health, Ghana, Accra, Ghana: \$497,250 in support of strengthening policy development and analysis capacity among public health leaders and practitioners in Ghana to improve health policy implementation and practice.

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Ministry of Health, Vietnam, Hanoi, Vietnam: \$1,000,000 for use by its Department of Planning and Finance in support of a project to strengthen the health system in Vietnam with a focus on improved governance and the capacity building of health professionals.

Monitor Company Group LP, Cambridge, MA, United States: \$200,000 in support of a collaboration with the United States Agency for International Development to plan and develop a Challenge Fund focused on supporting promising innovative healthcare enterprises that serve the poor in Africa.

National Bureau of Asian Research, Seattle, WA, United States: \$70,000 for use by its Center for Health and Aging in support of a workshop at the 2011 Pacific Health Summit on the implications of universal health coverage for fair access to medicines and vaccines to be held in Seattle, June 2011.

National Hospital Insurance Fund, Kenya, Nairobi, Kenya: \$229,980 in support of a study to provide policymakers with evidence of the appropriate structure for a Health Insurance Subsidy program that will serve Kenya's poorest populations.

Open Health Tools, Ashville, NC, United States: \$400,000 toward the costs of developing the HEART project, a high quality repository of freely available health enterprise architecture artifacts and building blocks for use by countries and implementers to save time and money in the design of national health information systems.

Peking University, Beijing, China: \$500,000 for use by its Health Science Center toward the costs of the Second Global Health Symposium on Health Systems Research to be held in Beijing, China, November 2012, and the development of an international society to advance the Symposium's work.

Press Institute of Bangladesh, Dhaka 1000, Bangladesh: \$208,880 in support of activities to promote universal health coverage in Bangladesh through capacity building and by leveraging media engagement.

Public Health Institute, Oakland, CA, United States: \$58,388 for use by its Center for Innovation and Technology in Public Health and in collaboration with Atlas Corps toward the costs of two mHealth and eHealth fellowships for individuals from low- and middle-income countries.

Reed Elsevier PLC, London WC2N 5JR, United Kingdom: \$30,000 for use by Elsevier

Limited in support of travel and accommodations for representative delegates and speakers at the "Health System Reform in Asia" conference, to be held in Hong Kong, December 2011.

Research, Training and Management International

Dhaka-1216, Bangladesh: \$200,000 in partnership with the James P. Grant School of Public Health in support of conducting a comprehensive assessment of the current status of private health capacity institutions in Bangladesh, with a particular focus on the private sector.

Results for Development, Washington, DC, United States: \$101,200 in support of recruiting authors and facilitating the creation, planning and review of articles for a Lancet special series on universal health coverage.

Results for Development, Washington, DC, United States: \$1,172,500 in support of providing technical assistance and facilitating networking among approximately 10 countries of the Joint Learning Network regarding the design, implementation, and evaluation of relevant provider payment mechanisms as a means to achieve universal health coverage.

Society for Health Information Systems Programmes, New Delhi, India: \$350,000 in support of expanding the District Health Information Software (DHIS2) platform and strengthening technical capacity in order to advance health information systems development in Asia.

Thailand Development Research Institute Foundation, Bangkok, Thailand: \$80,000 in support of conducting research on the Thai Universal Coverage scheme and its impact on the health status, health equity and health promotion efforts in Thailand.

Thammasat University, Bangkok, Thailand: \$25,000 for use by its Faculty of Law and Public Health in support of a regional workshop on "Globalizing Asia: Health Law, Governance, and Policy – Issues, Approaches and Gaps" to be held in Bangkok, Thailand, April 2012.

United Nations Foundation, Washington, DC, United States: \$70,000 for use by its mHealth Alliance in support of the "Top 11 in 2011 Challenge," a celebration of innovators from the global south in the field of mobile health, and of the awardees' participation in the "2011 mHealth Summit," to be held in Washington, DC, December 2011.

University of California, San Francisco, San Francisco, CA, United States: \$200,000 for use by its Global Health Group in support of documenting expanded evidence of the role of the private sector within health systems in developing countries and fostering a community of practice around social franchising.

University of Economics Ho Chi Minh City, Ho Chi Minh City, Vietnam: \$252,780 in support of a project to develop a Master of Science degree in Health Economics and Management in Vietnam.

Vietnam Health Economics Association, Hanoi, Vietnam: \$204,950 in support of a project to strengthen its institutional and organizational capacity building efforts to promote health finance reform in Vietnam.

VillageReach, Seattle, WA, United States: \$452,172 in support of its "OpenLMIS Initiative," a community of health systems designers and public health practitioners working toward building an open source medical logistics management tool and facilitating collaborative development of an eHealth software platform to improve health care access in developing countries.

Women in Informal Employment: Globalizing and Organizing, Manchester M2 7 EN, United Kingdom: \$66,100 in support of a policy dialogue to increase access for women in the informal economy to universal health insurance schemes, in collaboration with the Foundation for Labour and Employment Promotion (HomeNet Thailand) and the Self-Employed Women's Association of India.

World Bank, Washington, DC, United States: \$1,050,000 for use by its Health, Nutrition and Population Unit toward the costs of policy and analytical research for a 2013 report on the links between health and economic development, particularly around the issue of universal health coverage, and participation in the Joint Learning Network.

World Health Organization, Geneva, Switzerland: \$55,000 for use by its Knowledge Management and Sharing Department toward the costs of a special eHealth-themed issue of the "Bulletin of the World Health Organization," to promote a stronger commitment to eHealth interoperability and its wider application.

World Health Organization, Geneva, Switzerland: \$80,000 for use by its Western Pacific Regional Office in support of providing technical assistance on enterprise architecture and health information systems design to the

2011 grants

Ministry of Health, Philippines, and organizing a workshop on Health Information Systems in Vietnam including funding support for participants from Bangladesh.

World Health Organization, Geneva, Switzerland: \$150,000 for use by its Department of Health Systems Financing in support of the “Providing-for-Health” partnership’s efforts to increase national and global awareness of universal health coverage.

Transitions to Growth

Economic Mobility Corporation, New York, NY, United States: \$400,000 in support of an evaluation of transitional employment programs supported with American Recovery and Reinvestment Act funds to assess participant and employer experiences and outcomes.

MDRC, New York, NY, United States: \$500,000 toward the costs of evaluating the federally-funded Subsidized Transitional Employment Demonstration project, to test the effectiveness of different transitional employment models in improving outcomes among vulnerable U.S. workers.

Transportation

American Ideas Institute, Palo Alto, CA, United States: \$75,000 for use by its American Conservative Center for Public Transportation toward the costs of commentary, media and advocacy activities to build support for public transportation.

Bipartisan Policy Center, Inc., Washington, DC, United States: \$300,000 toward the costs of its National Transportation Policy Project, an effort to create a vision for the future of surface transportation policy in the United States through research, policy analysis and recommendations, and advocacy to help advance five national transportation goals: economic growth; metropolitan accessibility; climate change and energy security; safety; and national connectivity.

Brookings Institution, Washington, DC, United States: \$400,000 for use by its Metropolitan Policy Program toward the costs of an initiative to address transportation and infrastructure challenges facing cities in the United States and create a national vision that will advance transportation policies that lead to social equity, environmental sustainability, and economic growth.

Building America's Future Educational Fund, Washington, DC, United States: \$150,000 in support of a national poll to evaluate Americans’ views of investments in transportation infrastructure and reform.

Carnegie Endowment for International Peace, Washington, DC, United States: \$125,000 toward the costs of completing and publicizing a research report to make the case for new revenue sources that can fund sustainable transportation, reduce carbon emissions and help to reduce the national deficit.

Center for Neighborhood Technology, Chicago, IL, United States: \$250,000 toward the costs of improving and encouraging the use of the Housing and Transportation Affordability Index, a tool that measures the impact of transportation costs on household economic security, and assisting advocacy organizations in using area-specific information to encourage new policies that will achieve greater social equity and improved environmental outcomes.

Chicago Community Trust, Chicago, IL, United States: \$60,000 toward the costs of facilitating a task force of key stakeholders in Chicago, including philanthropic, civic and government leaders, to develop a shared vision and high-level implementation plan for gold-standard Bus Rapid Transit in the city.

Duke University, Durham, NC, United States: \$188,000 for use by its Center on Globalization, Governance & Competitiveness to prepare a value chain analysis on the agencies, institutions and firms necessary to develop a robust gold-standard Bus Rapid Transit system throughout the United States.

Environmental Law and Policy Center, Chicago, IL, United States: \$300,000 toward the costs of its Transportation Reform Project, a multi-pronged effort that aims to inform the national transportation reauthorization debate, advocate for high-speed rail, and promote rural transportation and other state policy reform efforts in the Midwest region of the United States.

Florida State University Research Foundation, Tallahassee, FL, United States: \$100,000 for use by Florida State University toward the costs of convening a workshop and establishing a clearinghouse on market-based solutions to improve public transportation.

Georgetown University, Washington, DC, United States: \$400,000 for use by its Georgetown Climate Center in support of coordinating the Transportation Climate Initia-

tive, a regional effort by eleven Northeast and Mid-Atlantic states and the District of Columbia to reduce transportation-sector greenhouse gas emissions and speed the development of a clean energy economy.

Good Jobs First, Washington, DC, United States: \$100,000 in support of building working coalitions between transit-riders and transit unions and recruiting more organized labor groups to help advance transportation policy reform.

Institute for Sustainable Communities, Montpelier, VT, United States: \$150,000 in support of expanding its climate leadership academies to provide officials from U.S. cities with knowledge and training on how to plan, build, finance and manage gold-standard Bus Rapid Transit systems.

Institute for Transportation and Development Policy, New York, NY, United States: \$500,000 in support of its “Roadmap to Gold-Standard Bus Rapid Transit (BRT)” project to assist up to four U.S. cities with developing and planning world-class BRT systems, and to broadly educate cities across the country on the definition and value of BRT.

Leadership Conference on Civil Rights Education Fund, Washington, DC, United States: \$300,000 in support of educational and advocacy activities framing transportation policy as a matter of human and civil rights.

Livable Communities Coalition, Inc., Atlanta, GA, United States: \$100,000 toward the costs of its Public Transportation Education and Advocacy Campaign for Metro Atlanta, which seeks to strengthen and expand transit options in the region.

Metropolitan Area Research Corporation, Collingswood, NJ, United States: \$125,000 toward the costs of its Building One America project, an educational and advocacy campaign to frame transportation policy as a vital component for economic growth and social equity in small town and suburban America.

Montgomery County Revenue Authority, Rockville, MD, United States: \$260,000 in support of a series of critical studies and analyses related to the Bus Rapid Transit system being considered for Montgomery County, Maryland by the County Executive’s Transit Task Force.

National Conference of State Legislatures, Denver, CO, United States: \$150,000 in support of a series of activities that will educate

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state legislators and their staff on policies that promote equitable and sustainable transportation options.

Natural Resources Defense Council, New York, NY, United States: \$250,000 toward the costs of education and outreach for its "MOVE NY" campaign to establish equitable, sustainable, and economically- and environmentally-friendly traffic pricing in the New York metropolitan region.

New America Foundation, Washington, DC, United States: \$125,000 toward the costs of a multimedia, journalistic project – including magazine articles, radio and television interviews, video dispatches, and a full-length book – to explore the human impact of America's failing infrastructure, how it contributes to poverty, and innovative solutions being employed by governments and communities.

North Carolina Metropolitan Mayors Coalition, Raleigh, NC, United States: \$50,000 toward the costs of planning, organizing, and launching the Piedmont Crescent Partnership for Economic Growth, a regional initiative that will unite civic and business leaders across North Carolina's Piedmont metropolitan corridor to produce a shared development agenda centered on a high-quality transportation infrastructure aimed at promoting economic growth and enhancing the quality of life in the region.

Northeast-Midwest Institute, Washington, DC, United States: \$175,000 toward the costs of placing a transportation expert in the Office of Sustainable Communities at the U.S. Environmental Protection Agency, and efforts to inform policymakers about how sustainable communities and livability relate to federal transportation policies.

PolicyLink, Oakland, CA, United States: \$50,000 toward the costs of "Equity Summit 2011: Healthy Communities, Strong Regions, A Prosperous America," a convening of leaders in government and the not-for-profit and private sectors to advance equity-based policies and strategies to create conditions in urban, suburban, and rural regions that benefit low-income people and communities of color, to be held in Detroit, Michigan, November 2011.

PolicyLink, Oakland, CA, United States: \$425,000 in support of efforts to advance economic and social equity in the federal transportation policy debate.

Reconnecting America, Inc., Washington, DC, United States: \$150,000 in support of research and outreach designed to build support among rural and small city leaders for public transportation and sustainable communities.

Resources Legacy Fund, Sacramento, CA, United States: \$750,000 toward the costs of providing strategic and technical support to California's five largest regions for preparing plans to change transportation, land use and housing policies in an effort to meet new greenhouse gas emission reduction targets mandated by the state.

Securing America's Future Energy Foundation, Washington, DC, United States: \$500,000 toward the costs of educating the U.S. public and policymakers about the relationship between transportation policy and energy security through policy analysis and advocacy.

Smart Growth America, Washington, DC, United States: \$1,250,000 toward the costs of its initiative to strengthen the capacity of U.S. states to implement equitable and sustainable transportation policies, plans and investments.

Southern Environmental Law Center, Charlottesville, VA, United States: \$250,000 toward the costs of advocacy efforts to advance sustainable transportation and land use policies and projects in the Southeast region of the United States, with a particular focus on North Carolina and Georgia.

Sustainable Mobility Finance LLC, Arlington, VA, United States: \$170,000 in support of developing and testing innovations for front-end planning and fast tracking Bus Rapid Transit project design and approval in U.S. cities.

TCC Group, New York, NY, United States: \$390,000 in support of an evaluation of the Foundation's Promoting Equitable and Sustainable Transportation initiative, to foster learning, accountability and performance improvements in the Foundation and among its grantees.

Tides Center, San Francisco, CA, United States: \$200,000 toward the costs of its project, the Apollo Alliance, for support of its Transportation-Manufacturing Action Plan (T-MAP), a research and advocacy effort to develop the economic case for green transportation by assessing the potential for growth and job creation in the public transit manufacturing sector in the U.S.

TransFormCA, Oakland, CA, United States: \$70,000 toward the costs of a community outreach program in East Oakland, California to help create a model Bus Rapid Transit program in the region.

Transportation Choices Coalition, Seattle, WA, United States: \$150,000 toward the costs of its Transportation for Washington campaign, a collaboration with Futurewise, which seeks to increase transit funding, promote transit-oriented communities and reform transportation policy in Washington State.

Tri-State Transportation Campaign, Inc., New York, NY, United States: \$157,000 toward the costs of conducting an analysis of state transportation spending programs in all 50 states, and coordinating outreach in New York, New Jersey and Connecticut for the Transportation for America campaign.

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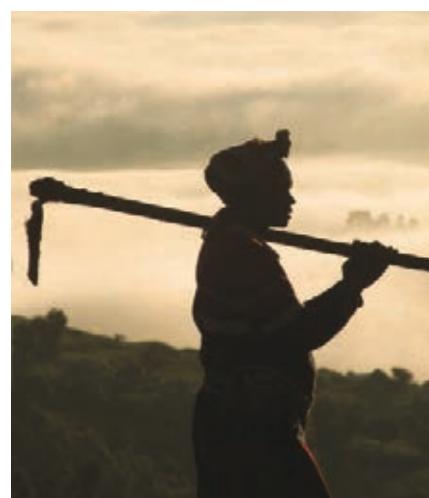
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