

Lecture 26, 4/23/05.

1) U -invariants

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1.0) Recap

Let G be a connected reductive group over \mathbb{C} , $U \subset G$ be a maximal unipotent subgroup of G & $T \subset G$ be a maximal torus normalizing U . Consider the homogeneous space G/U (sometimes called the "principal affine space" even though it's not affine)

It's acted on by $G \times T$ & by Sec 2.3 in Lec 25

$$(1) \quad \mathbb{C}[G/U] \xrightarrow{\sim} \bigoplus_{\lambda \in \mathcal{X}^+} V(\lambda) \otimes \mathbb{C}_{\lambda^*},$$

where we write \mathcal{X}^+ for the monoid of dominant weights in $\mathcal{X}(T)$.

We further know (Proposition 1 in Sec 2.0 of Lec 25) that for every commutative algebra A equipped with a rational representation of G by automorphisms we have an algebra iso

$$A^U \xleftarrow{\sim} (\mathbb{C}[G/U] \otimes A)^G$$

In particular if $\mathbb{C}[G/U]$ is finitely generated (we will see below that this is the case), then A^U is also finitely generated.

Rem: (1) equips $\mathbb{C}[G/U]$ w. a \mathcal{X}^+ -grading. It comes from the T -action and hence is a G -stable algebra grading. So A^U comes equipped w. an algebra grading by \mathcal{X}^+ : a highest vector in $V(\lambda)$ $\hookrightarrow A$ lives in degree λ^* .

1.1) Finite generation.

Theorem: For A as above TFAE:

- (a) A is finitely generated
- (b) A^U is finitely generated

The scheme of the proof is as follows. We first prove (b) \Rightarrow (a). Then we prove the following claim of independent interest:

Proposition: $\mathbb{C}[G/U]^U \xrightarrow{\sim} \mathbb{C}\mathcal{X}^+$, the monoid algebra of \mathcal{X}^+ .

Using this proposition & (b) \Rightarrow (a) we prove (a) \Rightarrow (b).

Proof of (b) \Rightarrow (a): Let $f_1, \dots, f_k \in A^U$ be generators. Any finite collection of elements of A incl. f_1, \dots, f_k is contained in a finite dimensional G -subrepresentation, say V . Let $A' \subset A$ be the sub-algebra generated by V (hence finitely generated). It's G -stable

\mathcal{A} contains A^u , i.e. all highest vectors in A . Since A is a completely reducible G -module, we have $A' = A$ \square

Proof of Proposition: First, consider the case when G is semisimple & simply connected. Here \mathcal{X}^+ is a free monoid (generated by fundamental weights, $\omega_1, \dots, \omega_r$). Recall that $\mathbb{C}[G/U]$ is graded by \mathcal{X}^+ via the T -action and the grading is preserved by G . So $\mathbb{C}[G/U]^u \subset \mathbb{C}[G/U]$ is a graded subalgebra. (1) \Rightarrow $\mathbb{C}[G/U]_\lambda^u = \mathbb{C}$ if $\lambda \in \mathcal{X}^+ \setminus \{0\}$ else. Note that

$$\mathbb{C}[G/U]^u \subset \mathbb{C}[G/U] \subset \mathbb{C}[G]$$

are domains (G is connected hence irreducible). Pick $f_i \in \mathbb{C}[G/U]_{\omega_i}^u \setminus \{0\}$

We claim that $\mathbb{C}[G/U]^u = \mathbb{C}[f_1, \dots, f_r]$. Indeed for $\alpha_i \in \mathbb{Z}_{\geq 0}$ we have

$\mathbb{C} \prod_{i=1}^r f_i^{\alpha_i} = \mathbb{C}[G/U]_\lambda^u$ w. $\lambda = \sum \alpha_i \omega_i$. All these monomials are linearly independent (as they are in different degrees) yielding the claim.

To prove $\mathbb{C}[G/U]^u \xrightarrow{\sim} \mathbb{C}\mathcal{X}^+$ in this case note that $\mathbb{C}\mathcal{X}^+ \xrightarrow{\sim} \mathbb{C}[f_1, \dots, f_r]$ via $\omega_i \mapsto f_i$.

Now consider the general case. We can present G as $(\mathbb{Z} \times G')/\Gamma$, where \mathbb{Z} is a torus, G' is semisimple & simply connected & Γ is finite & central. Then $U \subset G'$ as max. unip. subgroup & $G/U \cong (\mathbb{Z} \times G'/U)/\Gamma \Rightarrow \mathbb{C}[G/U]^u \xrightarrow{\sim} [(\mathbb{C}[\mathbb{Z}] \otimes \mathbb{C}[G'/U])^\Gamma]^u \xrightarrow{\sim} (\mathbb{C}[\mathbb{Z}] \otimes \mathbb{C}[G'/U]^u)^\Gamma \xrightarrow{\sim} (\mathbb{C}\mathcal{X}(\mathbb{Z}) \otimes \mathbb{C}\mathcal{X}'_+)^\Gamma \xrightarrow{\sim} [\text{exercise}] \mathbb{C}\mathcal{X}^+ \square$

Proof of (a) \Rightarrow (b). \mathcal{X}^+ is a finitely generated monoid, so $\mathbb{C}\mathcal{X}^+$ ($\simeq \mathbb{C}[G/U]^U$) is a finitely generated algebra. Applying (b) \Rightarrow (a) to $\mathbb{C}[G/U]$, we see that $\mathbb{C}[G/U]$ is finitely generated. It follows that $A^U \hookrightarrow (\mathbb{C}[G/U] \otimes A)^G$ is finitely generated \square

1.2) Preservation of normality

Theorem: Let A be a finitely generated domain equipped w. a rational representation of G by algebra automorphisms. TFAE:

(i) A is normal

(ii) A^U is normal.

Proof:

(i) \Rightarrow (ii) is a general phenomenon that works for any automorphism group, Lemma 1 in Sec 1 of Lec 4. The proof of (ii) \Rightarrow (i) is in several steps.

Step 1: Let \tilde{A} denote the integral closure of A in $\text{Frac}(A)$. It's finitely generated & G -stable (in $\text{Frac}(A)$). We claim that the action $G \curvearrowright \tilde{A}$ is rational.

Let $X = \text{Spec}(A)$, $\tilde{X} = \text{Spec}(\tilde{A})$. Then the natural (dominant) morphism $\pi: \tilde{X} \rightarrow X$ has the following universal property: if dominant morphism $\varphi: Y \rightarrow X$ from a normal (affine) variety Y uniquely factors through \tilde{X} .

Note that the product of normal varieties is normal, see [Stacks Project, Lemma 33.10.5](#). So take $Y = G \times \tilde{X}$ & the composition $G \times \tilde{X} \xrightarrow{id \times \pi} G \times X \xrightarrow{\alpha} X$, where α is the action morphism. It factors as $G \times \tilde{X} \xrightarrow{\tilde{\alpha}} \tilde{X} \xrightarrow{\pi} X$. The following exercise implies the claim of this step, thx to Proposition in Sec 1.1 of Lec 3

Exercise: $\tilde{\alpha}: G \times \tilde{X} \rightarrow \tilde{X}$ is an action morphism.

Step 2: Suppose we know $\tilde{A}^U = A^U$. Since $A \subset \tilde{A}$ is G -stable & contains A^U , we get $A = \tilde{A}$ yielding (i). Since A^U is normal, it remains to show:

$$(a) \quad \tilde{A}^U \subset \text{Frac}(A^U)$$

$$(b) \quad \tilde{A}^U \text{ is integral over } A^U$$

Step 3: We prove (a). Let $f \in \tilde{A}^U \subset \text{Frac}(A^U)$. Our job is to find $f' \in A^U$ with $ff' \in A$. Let $I = \{f' \in A \mid ff' \in A\}$. This is a nonzero ideal & it's U -stable. The representation of U is rational & so on every finite dimensional subrepresentation U acts by unipotent operators. By an algebraic group version of Engel's theorem, \exists nonzero U -fixed vector $f' \in I$. Then $ff' \in A$, autom. U -invariant, proving (a).

Step 4: Let $B := \mathbb{C}[G/U] \otimes A$, $\tilde{B} := \mathbb{C}[G/U] \otimes \tilde{A}$. Then \tilde{B} is integ-

ral over B . We reduce (6) to the following:

Claim:

Let B, \tilde{B} be algebras equipped w. rational G -representations by automorphisms. Let $B \rightarrow \tilde{B}$ be a G -equivariant algebra homomorphism. If \tilde{B} is integral over B , then \tilde{B}^G is integral over B^G .

Proof of Claim: Let $f \in \tilde{B}^G$ & $f^n + b_1 f^{n-1} + \dots + b_n = 0$ w. $b_i \in B$.

Applying the averaging operators α we get $f^n + \alpha(b_1)f^{n-1} + \dots + \alpha(b_n) = 0$ & use that $\alpha(b_i) \in B^G$ \square

Remark: There are other properties that A & A^G share. For example, we leave it as an **exercise** to show that for a finitely generated A equipped w. a rational representation of G by automorphisms, A is a domain (resp. reduced) iff so is A^G .

1.3) Application: normality of determinantal variety

Theorem from Sec 1.2 can be used to prove the normality of some varieties: a key point is that the algebra A^G is often easy to understand. As an example, take $m, n \in \mathbb{Z}_{\geq 0}$ & $r \leq \min(m, n)$. Consider the "determinantal variety" $X_r = \{A \in \text{Mat}_{m \times n} \mid \text{rk } A \leq r\}$ cf. Problem 2 in HW 3.

Theorem: X_r is normal.

Proof:

The group $G = GL_m \times GL_n$ acts on X_r via $(g, h)A = gAh^{-1}$. Let $U = \left\{ \begin{pmatrix} 1 & & 0 \\ * & 1 & 0 \\ * & * & 1 \end{pmatrix}, \begin{pmatrix} 1 & & * \\ 0 & \ddots & 0 \\ 0 & 0 & 1 \end{pmatrix} \right\} \subset G$, maximal unipotent.

Let $F_i(A)$, $i=1,\dots,r$, be the minor of size i in the top left corner of $A \in \text{Mat}_{m \times n}$, so $F_i \in \mathbb{C}[X_r]$.

Exercise: F_i is U -invariant.

We claim that F_1, \dots, F_r are algebraically independent & generate $\mathbb{C}[X_r]^U$.

For this consider the subvariety $Y = \left\{ \begin{pmatrix} y_1 & & 0 & 0 \\ 0 & \ddots & y_r & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \mid y_i \in \mathbb{C} \right\} \subset X_r$.

Using Gaussian elimination, one can show that the action map $U \times Y \xrightarrow{\alpha} X_r$ is dominant, exercise. So

$$\alpha^*: F \mapsto F|_Y : \mathbb{C}[X_r]^U \hookrightarrow \mathbb{C}[y_1, \dots, y_r].$$

In particular, $\mathbb{C}[X_r]^U$ is a domain, so $\mathbb{C}[X_r]$ is a domain, see Remark in Sec 1.2.

Note that $\alpha^*(F_i) = G_i := y_1 \dots y_i$, algebraically independent. It remains to show that $\alpha^*(f) \in \mathbb{C}[G_1, \dots, G_r] \nsubseteq f \in \mathbb{C}[X_r]^U$. Note that $\mathbb{C}[y_1, \dots, y_r] \subset \mathbb{C}[G_1^{\pm 1}, \dots, G_r^{\pm 1}]$.

Recall that $\mathbb{C}[X_r]^U$ is graded by $\mathcal{X}^+(T)$. We will only care about the grading by $\mathcal{X}(T_m) = \mathbb{Z}^m$ for $T_m = \{\text{diag}(*, \dots, *)\} \subset GL_m$.

Note that T acts on \mathcal{Y} by $\text{diag}(t_1, \dots, t_m) \cdot (y_1, \dots, y_r) = (t_1 y_1, \dots, t_r y_r)$ & α is equivariant. Let $\xi_1, \dots, \xi_m \in \mathcal{Z}(T_m)$ be the standard basis: ξ_i sends $\text{diag}(t_1, \dots, t_m)$ to t_i . Then y_i has degree $-\xi_i$.

So, it's enough to consider a homogeneous element $f \Rightarrow \alpha^*(f)$ is Laurent monomial in G_1, \dots, G_r b/c different monomials have different degrees. But if a Laurent monomial $F_1^{d_1} \cdots F_r^{d_r} \in \mathbb{C}[X_r]$, then we must have $d_i \geq 0$: in order to see this we evaluate the monomial of (S_i^0) , where S_i is a transposition matrix $\begin{pmatrix} 1 & 0 & \dots & 0 \\ 0 & 1 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & 1 \end{pmatrix} \xleftarrow{i}$ where $F_j = \pm 1$ for $j \neq i$ & $F_i = 0$ & on $\begin{pmatrix} 1 & 0 & \dots & 0 \\ 0 & 1 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & 0 \end{pmatrix} \xrightarrow{i}$ where $F_j = 1$ for $j < r$ & $F_r = 0$. We see that the monomials in the image of α^* are exactly $G_1^{d_1} \cdots G_r^{d_r}$ w. $d_i \geq 0$, which shows $\alpha^*: \mathbb{C}[X_r]^u \xrightarrow{\sim} \mathbb{C}[G_1, \dots, G_r]$ finishing the proof. \square