

## Lecture 25, 4/21/25

- 1) Moduli spaces of vector bundles: conclusion
- 2) Invariants for non-reductive groups

Refs: [Ne], Sec 5.3.

### 1) Moduli spaces of vector bundles: conclusion

In the previous lecture we have seen that the two notions of (semi)stability coincide: for vector bundles of  $\text{rk } r$  &  $\deg d \geq 0$  & for points of the Quot scheme  $Q = \text{Quot}_C^{PV}$ , where we consider the GIT stability for the action of  $\text{PGL}(V)$  & the linearized line bundle  $\mathcal{L} = H_e^{\otimes N}$  (w.  $l \gg 0$ ). So we can construct moduli spaces of (semi)stable bundles as GIT quotients.

Denote the GIT quotient  $Q // {}^L \text{PGL}(V)$  by  $M^{ss}(r, d)$ . By what was explained in Sec 2.1 of Lec 22, the points of  $M^{ss}(r, d)$  are in bijection w. the closed orbits in  $Q^{{\mathcal{L}}-ss}$ .

**Exercise 1:** The orbit of  $q = [V \otimes \mathcal{O}_C \rightarrow \mathcal{F}]$  is closed iff  $\mathcal{F}$  is polystable (hint: use the description of limits in Sec 1.2 of Lec 23 & Corollary in Sec 1.3 of Lec 23).

So  $\mathcal{M}^{ss}(r, d)$  parameterizes iso classes of polystable bundles.  
 Let  $\mathcal{M}^s(r, d)$  denote the locus in  $\mathcal{M}^{ss}(r, d)$  corresponding to the stable bundles.

**Proposition:** i)  $\mathcal{M}^s(r, d)$  is Zariski open in  $\mathcal{M}^{ss}(r, d)$ .  
 ii) Moreover,  $Q^{L-s}$  (the locus of stable points) is a principal  $PGl(V)$ -bundle over  $\mathcal{M}^s(r, d)$ .

Sketch of proof:

i): follows from

**Exercise:** Let a reductive group  $G$  act on an affine variety  $X$ .

Consider  $Y = \{x \in X \mid \dim \text{Stab}_G(x) > 0\}$ . Then  $Y$  is a closed  $G$ -stable subset of  $X$ ;  $\pi^{-1}(\pi(Y))$  is the locus of closed  $G$ -orbits of  $\dim = \dim G$  in  $X$ ; here  $\pi: X \rightarrow X//G$  is the quotient morphism.

ii): Recall that if  $F$  is stable, then  $\text{Stab}_{PGl(V)}(F, c)$  is trivial. Now i) follows Corollary of the Luna slice theorem in Sec 1.4 of Lec 14.  $\square$

Using Sec 1.2 of Lec 22 one shows that  $\mathcal{M}^s(r, d)$  is smooth of dimension  $r^2(g-1)+1$ . Moreover, one can show that  $\mathcal{M}^s(r, d)$  is a coarse moduli space of stable bundles, see e.g. Theorem 5.8 in [Ne] and references in the proof.

## 2) Invariants for non-reductive groups

### 2.0) Setup

The base field is  $\mathbb{C}$ .

Throughout this class our central topic was invariants/quotients for actions of reductive groups. For non-reductive groups not much can be said in general: invariants may fail to be finitely generated (see Bonus remark in Sec 2.3 of Lec 4). So, we are going to consider a more restrictive situation.

Let  $G$  be a reductive group &  $H$  be its algebraic subgroup. We want to consider actions of  $H$  restricted from  $G$ .

In Lec 4, Sec 1, we introduced the homogeneous space  $G/H$ . It's a variety equipped w. a  $\mathbb{C}$ -action s.t.  $\text{Stab}_G(1H) = H$ , it's unique w. this property. Its connection to the setup above is as follows.

**Proposition 1:** Let  $A$  be a commutative algebra equipped w. a rational representation of  $G$  by automorphisms. Then we have an algebra isomorphism:

$$(\mathbb{C}[G/H] \otimes A)^G \xrightarrow{\sim} A^H, \quad \sum f_i \otimes a_i \mapsto \sum f_i(1H) \otimes a_i$$

From this & Hilbert's thm (Prop 1 in Sec 1.0 of Lec 3) we get

Corollary: If  $\mathbb{C}[G/H]$  is finitely generated, then  $A^H$  is.

## 2.1) Structure of $\mathbb{C}[G/H]$

We will prove the proposition after some preparation. Consider the  $G$ -equivariant projection  $p: G \rightarrow G/H$ ,  $g \mapsto gH$ . The  $p^*: \mathbb{C}[G/H] \hookrightarrow \mathbb{C}[G]$  is  $G$ -equivariant, in particular  $\mathbb{C}[G/H]$  is a rational  $G$ -representation.

Lemma: Let  $V$  be a finite dimensional rational  $G$ -representation. Then  $\text{Hom}_G(V, \mathbb{C}[G/H]) \xrightarrow{\sim} (V^*)^H$ .

Proof:

Note that  $\text{Hom}_G(V, \mathbb{C}[G/H]) \xrightarrow{\sim} \text{Hom}_{G\text{-Alg}}(S(V), \mathbb{C}[G/H]) \xrightarrow{\sim} [S(V) = \mathbb{C}[V^*]] \text{Hom}_{G\text{-Alg}}(\mathbb{C}[V^*], \mathbb{C}[G/H]) \xrightarrow{\sim} \text{Mor}_G(G/H, V^*)$ .

Here the last term is a set of  $G$ -equivariant morphisms  $G/H \rightarrow V^*$  - which is naturally a vector space b/c  $V^*$  is. The last isomorphism is a consequence of the following general observation: to give a morphism  $Y \rightarrow X$ , where  $X$  is affine is the same as to give an algebra homomorphism  $\mathbb{C}[X] \rightarrow \mathbb{C}[Y]$ . Consider the map  $\text{Mor}_G(G/H, V^*) \xrightarrow{\varepsilon_{1H}} V^*$  of evaluation at  $1H$ , the image lies in  $(V^*)^H$ .  $\varepsilon_{1H}$  is clearly injective & it remains to show the image is  $(V^*)^H$ . Let  $\alpha \in (V^*)^H$ . Then  $\text{Stab}_G((1H, \alpha)) = H$  (for  $G \curvearrowright G/H \times V^*$ ). So

we get an isomorphism  $G/H \xrightarrow{\sim} G(1H, \alpha)$  mapping  $1H$  to  $(1H, \alpha)$   
& hence a morphism  $G/H \xrightarrow{\sim} G(1H, \alpha) \hookrightarrow G/H \times V^* \rightarrow V^*$   
sending  $1H$  to  $\alpha$

□

**Important exercise 1:** Track the construction to show that the isomorphism is given by sending  $\varphi \in \text{Hom}(V, \mathbb{C}[G/H])$  to  $\text{ev}_{1H} \circ \varphi$ , where  $\text{ev}_{1H}: \mathbb{C}[G/H] \rightarrow \mathbb{C}$ ,  $f \mapsto f(1H)$ .

**Corollary:** As a  $G$ -representation,  $\mathbb{C}[G/H] \xrightarrow{\sim} \bigoplus_V V \otimes (V^*)^H$ , where the sum is over the isomorphism classes of irreducible  $G$ -modules.

**Example:** Let  $H$  be a connected reductive group embedded diagonally into  $G = H \times H$ . The irreducible  $G$ -representations are of the form  $V_1 \otimes V_2$ , where  $V_i \in \text{Irr}(H)$ . We have  $(V_1 \otimes V_2)^{*,H} = \text{Hom}_H(V_1^*, V_2)$ , which is 1-dimensional if  $V_2 \simeq V_1^*$  and is 0 else. So

$$\mathbb{C}[H] \simeq_{H \times H} \bigoplus_{V \in \text{Irr}(H)} V \otimes V^*$$

**Exercise 2:**  $\rho^*: \mathbb{C}[G/H] \hookrightarrow \mathbb{C}[G]$  identifies  $\mathbb{C}[G/H]$  with  $\mathbb{C}[G]^H$ .

Note that there are other ways to establish this identification, e.g. by using that  $G \rightarrow G/H$  is a principal  $H$ -bundle.

## 2.2) Proof of Proposition 1

Recall (cf. Exercise 1 in Sec 1.1) the map  $\text{ev}_{\mathbb{H}}: \mathbb{C}[G/H] \rightarrow \mathbb{C}$ ,  $f \mapsto f(1_H)$ , it's an algebra homomorphism & it's  $H$ -equivariant. It induces an algebra homomorphism  $\text{ev}_{\mathbb{H}} \otimes \text{id}: \mathbb{C}[G/H] \otimes A \rightarrow A$  mapping  $(\mathbb{C}[G/H] \otimes A)^{\mathbb{H}}$  to  $A^H$ . We claim this restriction is an isomorphism. Indeed,  $A$  is a union of finite dimensional rational representations,  $V$ . So it's enough to show that

$$\text{ev}_{\mathbb{H}} \otimes \text{id}: (\mathbb{C}[G/H] \otimes V)^{\mathbb{H}} \xrightarrow{\sim} V^H$$

This follows from Lemma combined with Exercise 1 in Sec 1.1.

## 2.3) Case $H=U$

Starting from now we will concentrate on the special case of  $H=U$ , where  $U$  is a maximal unipotent subgroup of  $G$  (= the unipotent radical of a Borel,  $B$ ). Let  $T$  be a maximal torus in  $B$ , so that  $B=T \times U$ .

$G/U$  comes an action of  $\tilde{G}=G \times T$  via  $(g, t) \cdot g'U = gg't^{-1}U$ , so that  $\text{Stab}_{\tilde{G}}(1_U) = \tilde{U} := \{(tu, t) \mid t \in T, u \in U\}$ .

Lemma: As  $G \times T$ -module,  $\mathbb{C}[G/U] = \bigoplus_{\lambda} V(\lambda) \otimes \mathbb{C}_{\lambda*}$ , where the sum is taken over the dominant elements of  $\mathcal{X}(T)$  &  $V(\lambda)$  denote

the irreducible module w. highest weight  $\lambda$  and  $\lambda^*$  denotes the highest weight of  $V(\lambda)^*$ .

Proof:

Proposition 1 implies

$\mathbb{C}[G/U] = \mathbb{C}[\tilde{G}/\tilde{U}] = \bigoplus_{\lambda, \mu} (V(\lambda) \otimes \mathbb{C}_\mu) \otimes [(V(\lambda) \otimes \mathbb{C}_\mu)^*]^{\tilde{U}}$ . Here the summation is taken over dominant  $\lambda \in \mathcal{X}(T)$  & all  $\mu \in \mathcal{X}(T)$ . Note that  $U \subset \tilde{U}$  acts trivially on  $\mathbb{C}_\mu^*$  &  $[V(\lambda)^*]^U = V(\lambda^*)^U = \mathbb{C}_{\lambda^*}$  (the highest weight subspace) as a module over  $T \subset \tilde{U}$ .

We have

$$[(V(\lambda) \otimes \mathbb{C}_\mu)^*]^{\tilde{U}} = [V(\lambda^*)^U \otimes \mathbb{C}_{-\mu}]^T = \mathbb{C}_{\lambda^* - \mu}^T = \begin{cases} \mathbb{C}, \mu = \lambda^* \\ 0, \text{ else} \end{cases}$$

This implies the claim.  $\square$

Example: Suppose that  $G = SL_2$ . Consider the  $\mathbb{C}$ -action on  $\mathbb{C}^2$ , the space of column vectors. The stabilizer of  $e_1 = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$  is  $U$  and so  $G/U \subset \mathbb{C}^2$ . The image is  $\mathbb{C}^2 \setminus \{0\}$ . It follows that  $\mathbb{C}[G/U] = \mathbb{C}[x, y]$ . Every irreducible representation of  $SL_2$  occurs in  $\mathbb{C}[x, y]$  with multiplicity 1. The action of  $\mathbb{C}^* = T$  commutes w.  $SL_2$  and we have  $t \cdot e_i = t^{-1} e_i$ . Hence it is given by  $t \cdot v = t^{-1} v$ ,  $v \in \mathbb{C}^2$ . It follows that on the graded component  $\mathbb{C}[x, y]_n = V(n)$  it is by  $t \mapsto t^n$  confirming the conclusion of the lemma.

In the next lecture we will see that  $\mathbb{C}[G/U]$  is finitely generated for all  $G$ .

Corollary in Sec 1.0 & Example give the following classical result.

Theorem (Weitzenböck, 1932) The algebra of invariants of any linear action of the additive group  $\mathbb{G}_a$  is finitely generated.

Proof:

Let  $G = SL_2$  so that  $U \cong \mathbb{G}_a$ . It's enough to show that any homomorphism  $U \rightarrow GL(V)$  extends to  $G \rightarrow GL(V)$ , then we have  $\mathbb{C}[V]^{\mathbb{G}_a} \xrightarrow{\sim} (\mathbb{C}[G/U] \otimes \mathbb{C}[V])^{SL_2} = \mathbb{C}[G^2 \oplus V]^{SL_2}$ . To give a homomorphism of algebraic groups  $\Phi: \mathbb{G}_a \rightarrow GL(V)$  amounts to specifying a nilpotent element of  $gl(V)$  ( $= d\Phi(1)$ ). Every such element can be included into an  $\mathfrak{sl}$ -triple - this follows from the Jacobson-Morozov theorem from Sec. 2.3.1 of Lec 10 (or from the JNF theorem combined w. the classification of  $\mathfrak{sl}$ -representations). The  $\mathfrak{sl}$ -triple gives rise to an extension of  $\Phi$  to  $SL_2$  finishing the proof.  $\square$