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Classical Hodge theory and the Decomposition theorem via Hodge theory

- 1) Hodge theory and Lefschetz linear algebra
- 2) Semismall maps and Hard Lefschetz theorem.
- 3) Intersection cohomology and Decomposition theorem
- 4) Hodge theory and Lefschetz linear algebra

Classical Hodge theory for smooth projective complex varieties starts with the Hodge decomposition:

$$H^i(X; \mathbb{C}) = \bigoplus_{p+q=i} H^{p,q}(X).$$

For our purpose, we will always assume that

$$H^{p,q}(X) = 0, \text{ when } p+q > i.$$

The structure of interest to us is the total cohomology

$$H = \bigoplus H^i(X; \mathbb{R}).$$

We start with the axiomatized setup:

2)

Fix:  $H = \bigoplus H^i$ : a finite dim graded  $\mathbb{R}$ -vector space.

$\langle -, - \rangle : H \times H \rightarrow \mathbb{R}$  a symmetric, non-degenerate, graded form,  $\langle H^i, H^j \rangle = 0$  if  $i \neq j$ .

Hence, if  $b_i = \dim H^i$ , then  $b_i = b_{-i}$ ,  $\forall i \in \mathbb{Z}$ .

Example: If  $M$  is a compact manifold of dim  $2n$ ,

set  $H^i = H^{i+n}(M; \mathbb{R})$ . Let  $\langle -, - \rangle$  be

$$\langle w_1, w_2 \rangle = \int_M w_1 \wedge w_2.$$

If  $H^{2k+1}(M; \mathbb{R}) = 0$  for any  $k$ ,  $\langle -, - \rangle$  is symmetric.

A Lefschetz operator is a map  $L : H^\bullet \rightarrow H^{\bullet+2}$  s.t.

$$\langle Lx, y \rangle = \langle x, Ly \rangle \text{ for } \forall x, y \in H.$$

Example: With  $M$  as above, and  $\alpha \in H^2(M; \mathbb{R})$ ,

$\cdot \cup \alpha$  gives a Lefschetz operator.

Def.: A Lefschetz operator  $L$  satisfies the hard Lefschetz theorem (hL), if  $L^i : H^{-i} \rightarrow H^i$  is an isomorphism for  $\forall i$ .

Exercise: Let  $sl_2(\mathbb{R}) = \mathbb{R}\langle f, h, e \rangle$ . A Lefschetz operator satisfies (hL)  $\Leftrightarrow \exists$  an action of  $sl_2(\mathbb{R})$  on  $H$  st.  $e = L$  and  $hx = i \cdot x$  for  $\forall x \in H^i$ . Moreover, this action is unique.

Example: If  $X \subset \mathbb{C}\mathbb{P}^n$  is a smooth projective variety, then  $L = \cup g_i(\mathcal{O}(1))$  satisfies (hL).

If  $L$  satisfies (hL), then we have the primitive decomposition

$$H = \bigoplus_{i \geq 0} \left( \bigoplus_{i \geq j \geq 0} L^j P_L^{-i} \right), \text{ where } P_L^{-i} := \ker L^{i+1} \subset H^{-i}$$

$sl_2$  isotropic component "lowest weight"

$\leftarrow, \rightarrow$  pairs  $H^i$  and  $H^{-i}$ ,  $L^i$  identifies them.

Lefschetz form:  $(\alpha, \beta)_L^{-i} := \langle \alpha, L^i \beta \rangle$  (symmetric)

$(hL) \Leftrightarrow$  non-degeneracy of  $(-, -)_L^i \quad \forall i \geq 0$

Exercise:  $(L\alpha, L\beta)_L^{-i+2} = (\alpha, \beta)_L^{-i} \quad i \geq 2$

$(hL)$ :  $H^{-i} = P_L^{-i} \oplus L P_L^{-i-2} \oplus \dots$  is orthogonal w.r.t  $(-, -)_L$

Hodge-Riemann bilinear relations: Assume  $H^{\text{odd}}=0$  or  $H^{\text{even}}=0$

Let  $\min$  be s.t.  $H^{\min} \neq 0$  but  $H^j=0 \quad \forall j < \min$ .

$(H, \langle -, - \rangle, L)$  satisfies the Hodge-Riemann bilinear relations

$(HR)$  if the restriction of  $(-, -)_L^{\min+2i}$  to  $P_L^{\min+2i}$  is  $(-1)^i$ -definite.

$$H^{\min+2i} = L^i P_L^{\min} \oplus L^{i-1} P_L^{\min+2} \oplus \dots \oplus P_L^{\min+2i} \quad (\text{orthogonal})$$

$$+ \quad - \quad \dots \quad (-1)^i \Rightarrow (hL)$$

$$\Leftrightarrow \text{signature of } (-, -)_L^{\min+2i} = \sum_{\substack{i \geq 0 \\ j \geq 0}} (-1)^j \dim P_L^{\min+2j}$$

Example: See first part of Page 12

2) Semismall maps and the hard Lefschetz theorem

The reference is [dCM] "The hard Lefschetz theorem and the topology of semismall maps".

In this section, we always consider a ~~morphism~~  
~~projective~~

$$f: X \rightarrow Y$$

where  $X$  is smooth projective, and  $X, Y$  both irreducible.

Denote  $Y^k := \{y \in Y \mid \dim f^{-1}(y) = k\}$

Def: We say  $f: X \rightarrow Y$  is semismall, if

$$\dim Y^k + 2k \leq \dim X = n, \forall k.$$

Rmk: In this case,  $f$  is generically finite.  $\left\{ \begin{array}{l} \text{For } k > 0 \\ \dim Y^k + k \leq n - k \\ \text{so } f(Y^k) \subseteq X \end{array} \right.$

Again, let  $H = \bigoplus H^i$ , where  $H^i := H^{n+i}(X; \mathbb{R})$ .

Now we consider the Lefschetz operator.

$$L = \cup c_1(f^* A), \text{ where } A \text{ is ample on } Y.$$

6)

Thm (dCM): Let  $f: X \rightarrow Y$ ,  $L$  be as above, assume

that  $f$  is semismall. Then  $(H, L)$  satisfies  $(hL)$ ,  $(HR)$ .

Example: See Page 12 - 13

To see why the semismall condition is relevant, consider a birational morphism  $f: X \rightarrow Y$  between 3-folds which contracts a surface  $S$  to a point. In this case,  $f$  is not semi-small. Now  $L([S]) = [S] \cup f^*A \stackrel{\text{projection formula}}{\leq_0}$ , so ~~the~~  $(hL)$  doesn't hold. Completely similar method shows that  $(hL)$  of  $L$  implies  $f$  is semismall.

([dCM]: Prop 2.2.7)

dCM proof strategy:

$(hL), (HR)$  in dim  $n$   $\xrightarrow[\text{weak}]{\text{Lefschetz}}$   $(hL)$  in dim  $n+1$   $\xrightarrow[\text{limit lemma}]{\text{limit}}$   $(HR)$  in dim  $(n+1)$

Key steps:

① Weak Lefschetz substitute: Suppose  $H, \prec, \rightarrow_H, L_H$ ,  
 $(wL)$

$W, \{-, -\}_W, L_W$  are as above, with  $L_H, L_W$  Lefschetz operators. Suppose  $\phi: H \rightarrow W$  of deg 1 st.

- 1)  $\phi$  injective in degrees  $\leq -1$ .
- 2)  $\langle \alpha, L_H \beta \rangle_H = \langle \phi \alpha, \phi \beta \rangle_W, \phi \circ L_H = L_W \circ \phi$ .
- 3)  $W$  satisfies (HR).

Then  $L_H$  satisfies (hL).

Pf: Fix  $0 \neq h \in H^{-i}$ , with  $i \leq -1$ , and consider

$\phi(h) \in W^{-i+1}$ . Then either:

- 1)  $0 \neq L^i(\phi(h)) = \phi(L^i(h)) \Rightarrow L^i h \neq 0$ , or
- 2)  $0 = L^i(\phi(h)) \Rightarrow \phi(h) \in P_L^{-i+1} \Rightarrow$

$$\underline{0 \neq (\phi(h), \phi(h))_L^{-i+1} = \langle \phi(h), L^{i-1} \phi(h) \rangle = \langle h, L^i h \rangle} \quad \square$$

② Limit lemma: Suppose that  $[0, \infty) \rightarrow \text{Hom}(H, H(z))$

$$J \mapsto L_J$$

is a continuous family of Lefschetz operators satisfying (hL). If  $\exists J \in (0, \infty)$  st.  $L_J$  satisfies (HR), then all  $L_J$

satisfy (HR).

Pf: All  $L_j$  satisfy  $(hL) \Leftrightarrow (-, -)_{L_j}^{-i}$  is a continuous family of symmetric non-degenerate forms.

Hence all have same signature. Hence all satisfy (HR).  $\square$

Sketch of Thm (dC-M):

When  $n=1$ ,  $L$  is defined by an ample divisor on  $X$ , so it follows from classical Hodge theory.

Assume  $(hL)$  &  $(HR)$  in  $\dim n$ . In  $\dim n+1$ ,

Prop 2.1.5 in [dCM] states that ~~we can find~~  
 & for a smooth divisor  $H \in |f^*A|$ , the restriction

$i^*: H^*(X; \mathbb{R}) \rightarrow H^*(H; \mathbb{R})$  puts us in the situation

of  $(WL)$  as in Key Step ①. Hence we have  $(hL)$  by induction.

For  $(HR)$ , just note that  $f^*A$  is on the boundary  
is nef, so by Kleiman's thm, it

of the ample cone of  $X$ , so  $f^*A + \varepsilon B$  is ample,  
for any ~~B~~ ample  $B$  and  $0 < \varepsilon \ll 1$ . This puts us in the  
situation of limit lemma, and concludes (HR).  $\square$

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Warning: we never introduce (HR) in general. Our  
definition of (HR) is only for the case  $H^{p,q} = 0$  when  
 $p \neq q$ . This should be enough for our purpose.

### 3) Intersection cohomology and the Decomposition theorem.

To any complex variety  $X$ , we consider the intersection cohomology group  $\text{IH}^\bullet(X)$  ( $\mathbb{R}$ -coefficients).

- (1)  $\text{IH}^\bullet(X)$  is a graded vector space, concentrated in degrees between 0 and  $2N$ , where  $N = \dim_{\mathbb{C}} X$ ;
- (2) If  $X$  is smooth, then  $\text{IH}^\bullet(X) = H^\bullet(X)$ ;
- (3) If  $X$  is projective, then  $\text{IH}^\bullet(X)$  is equipped with a non-degenerate Poincaré pairing  $\langle - , - \rangle$ , which is the usual Poincaré pairing for  $X$  smooth.

#### Cautions!

- (1)  $X \mapsto \text{IH}^\bullet(X)$  is not functorial: in general,  $f: X \rightarrow Y$  doesn't induce a pull-back on  $\text{IH}$ ;
- (2)  $\text{IH}^\bullet(X)$  is not a ring, but rather a module over the cohomology ring  $H^\bullet(X)$ .

Key properties when  $X$  is projective: (BBD, Saito, dCM)<sup>11)</sup>

- (1) multiplication by  $c_1$  of an ample line bundle on  $\mathcal{IH}^*(X)$  satisfies the hard Lefschetz theorem; ~~(BBD)~~
- (2) the groups  $\mathcal{IH}^*(X)$  satisfy the Hodge - Riemann bilinear relations.

According to our convention, here we consider  $\mathcal{IH}^*(X)[-N]$ .  
Also (2) should be applied only to the case of pure type  $(p,p)$ . We will not go through these issues though.

The main theorem on  $\mathcal{IH}$  is the following:

Thm (Decomposition theorem) Let  $f: \tilde{X} \rightarrow X$  be a resolution, then  $\mathcal{IH}^*(X)$  is a direct summand of  $H^*(\tilde{X})$ , as modules over  $H^*(X)$ . (BBD, Saito, dCM)

We will not prove this theorem, but use it to compute one example. At the end, it will be clear how it's related to section 2) in the semismall case.

Example:  $\text{Gr}(2,4) \quad \dim = 4$ .

Let  $0 \subset \mathbb{C} \subset \mathbb{C}^2 \subset \mathbb{C}^3 \subset \mathbb{C}^4$  be the standard coordinate flag on  $\mathbb{C}^4$ . For  $\underline{\alpha} := \{0 \leq a_0 \leq a_1 \leq \dots \leq a_4 = 2\}$  with  $a_i \leq a_{i+1} \leq a_i + 1$ , consider

$$C_{\underline{\alpha}} := \{V \in \text{Gr}(2,4) \mid \dim(V \cap \mathbb{C}^i) = a_i\}.$$

It's easy to see that  $C_{\underline{\alpha}} \cong \mathbb{C}^{d(\underline{\alpha})}$ , where

$$d_{\underline{\alpha}} = 7 - \sum_{i=0}^4 a_i.$$

This gives the cohomology table of  $\text{Gr}(2,4)$

0	2	4	6	8
$\mathbb{R}$	$\mathbb{R}$	$\mathbb{R}^2$	$\mathbb{R}$	$\mathbb{R}$

It can be checked the (hL) and (HR) via Schubert calculus.

Now let  $X := \{V \in \text{Gr}(2,4) \mid \dim(V \cap \mathbb{C}^2) \geq 1\}$

Then  $X = \overline{C_{\underline{\alpha}}}$  where  $\underline{\alpha} = \{0, 0, 1, 1, 2\}$ . Hence,  $X$  decomposes into  $\{0, 0, 1, 1, 2\}^6 \{0, 0, 1, 2, 2\}^4 \{0, 1, 1, 1, 2\}^4$   
 $\{0, 1, 1, 2, 2\}^2 \{0, 1, 2, 2, 2\}^0$ ,

(13)

The cohomology of  $X$  are

0	2	4	6
$\mathbb{R}$	$\mathbb{R}$	$\mathbb{R}^2$	$\mathbb{R}$

$H^*(X)$  doesn't satisfy Poincaré duality or  $(hL)$ .

$X$  has a unique singular point  $V_0 = \mathbb{C}^2$ . To construct a resolution of  $X$ , consider  $f: \tilde{X} \rightarrow X$ ,

$$\tilde{X} := \{(v, w) \in \text{Gr}(2, 4) \times \mathbb{P}(\mathbb{C}^2) \mid w \subset v \cap \mathbb{C}^2\}$$

and  $f(v, w) = V$ . Clearly  $f$  is an isomorphism over  $X \setminus \{V_0\}$ , and has fiber  $\mathbb{P}^1 = \mathbb{P}(\mathbb{C}^2)$  over  $V_0$ . The projection  $(v, w) \mapsto w$  realizes  $\tilde{X}$  as a  $\mathbb{P}^1$ -bundle over  $\mathbb{P}^1$ . This gives us the cohomology table of  $\tilde{X}$ :

0	2	4	6
$\mathbb{R}$	$\mathbb{R}^2$	$\mathbb{R}^2$	$\mathbb{R}$

Claim:  $\mathrm{IH}^*(X) = H^*(\tilde{X})$

(14)

Pf: Clearly the pull-back morphism  $H^*(X) \rightarrow H^*(\tilde{X})$  is injective. The Decomposition theorem states that  $IH^i(X)$  is a summand of  $H^i(\tilde{X})$  (as  $H^*(X)$ -modules!), hence we have  $IH^i(X) = H^i(\tilde{X})$  for  $i \neq 2$ . Finally, we must have  $IH^2(X) = H^2(\tilde{X})$ , since  $IH^*(X)$  satisfies the Poincaré duality.  $\square$

In this case, (hL) and (HR) for  $IH^*(X)$  are equivalent to those of  $H^*(\tilde{X})$  with  $f^*\mathcal{O}_{\tilde{X}}(1)$ . Note that  $f$  is semismall in our case, so this follows exactly from Thm(dCM) in Section 2).

Rmk: A large part of this note is directly taken from a lecture note and a survey of Elias and Williamson.