

## Lecture 16:

### Representations of symmetric groups, I.

#### 1) Main classification result

Ref: [E], Sec. 5.12 & 5.13.

#### 1) Main classification result

Our goals in this part of the course are:

- Classify the irreducible representations of  $S_n$  over an algebraically closed field  $\mathbb{F}$  w.  $\text{char } \mathbb{F} = 0$  (the restriction on the characteristic is essential, while the condition of being algebraically closed can be dropped)
- Compute the characters of irreducible representations.

There are a number of reasons to care about representations of  $S_n$  specifically. Some have to do with the nature of this group:

- $S_n = \text{Bij}(\{1, 2, \dots, n\})$  is one of "distinguished groups," see Lec 1.
- $S_n$  is a "reflection group" and a "Weyl group." See Bonus Lectures A1 & A2.
- $S_n$  contains the alternating group  $A_n$  as an index 2 subgroup. It turns out that one can deduce the classification of irreducible representations of  $A_n$  from that of  $S_n$  - and the latter is nicer. The importance of  $A_n$  is that it's a simple group (for  $n \geq 5$ ). Simple groups (& groups that are close to simple, such as  $S_n$ ) play a distinguished role in the structure theory & the representation theory of finite groups.

The 2nd group of reasons is connections to other objects in Representation theory & beyond. This includes:

- A connection to "polynomial representations" of  $GL_m(\mathbb{C})$  via the Schur-Weyl duality - to be reviewed in a future bonus lecture.

- A connection to Combinatorics: Young diagrams/tableaux, symmetric polynomials. We will see some of these connections in the lectures and some more as a bonus.
- Connections to Probability - that we are unlikely to see in any form.

### 1.1) Combinatorial preparation.

The irreducible representations of  $S_n$  are indexed by partitions. In order to state the classification result we'll need some combinatorial constructions. We start with a partial order.

Definition: Let  $\lambda = (\lambda_1, \dots, \lambda_k)$ ,  $\mu = (\mu_1, \dots, \mu_k)$  be partitions of  $n$  (we adjoin zero parts to  $\lambda$  &  $\mu$  to make the number of parts equal). We consider the lexicographic order:  $\lambda < \mu$  if  $\lambda_1 = \mu_1, \dots, \lambda_{i-1} = \mu_{i-1}$ , but  $\lambda_i < \mu_i$  for some  $i$ .

Example: For  $n=4$ , we have:

$$(4) > (3,1) > (2,2) > (2,1,1) > (1,1,1,1).$$

Next, we will need an involution on the set of partitions. To define it, we will visualize partitions as

**Young diagrams**: to a partition  $(\lambda_1, \dots, \lambda_k)$  w.  $\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_k$  we assign the configuration of unit boxes on the plane that are arranged in rows w.  $\lambda_1$  (1st row),  $\lambda_2$  (2nd row) etc. boxes aligned on the left:

**Example**:  $(4) \rightarrow \boxed{\phantom{0}\phantom{0}\phantom{0}\phantom{0}}$ ,  $(3, 1) \rightarrow \boxed{\phantom{0}\phantom{0}} \boxed{\phantom{0}}$ ,  $(2, 2) \rightarrow \boxed{\phantom{0}\phantom{0}}$ ,  
 $(2, 1, 1) \rightarrow \boxed{\phantom{0}\phantom{0}} \boxed{\phantom{0}}$ ,  $(1, 1, 1, 1) \rightarrow \boxed{\phantom{0}}$ .

To a partition, equiv., Young diagram,  $\lambda$  we assign its **transpose**  $\lambda^t$ : obtained by reflecting the diagram w.r.t. the diagonal:



E.g.  $(4)^t = (1, 1, 1, 1)$ ,  $(3, 1)^t = (2, 1, 1)$ :  $\boxed{\phantom{0}\phantom{0}} \leftrightarrow \boxed{\phantom{0}\phantom{0}} \boxed{\phantom{0}}$ ,  $(2, 2)^t = (2, 2)$ .

## 1.2) Main result.

We are going to use two families of induced represen-

tations of  $S_n$  to be denoted by  $I_\lambda^+, I_\lambda^-$ , where  $\lambda$  runs over the set of partitions of  $n$ .

Recall that to a partition  $\lambda = (\lambda_1, \dots, \lambda_k)$  we assign the subgroup  $S_\lambda = S_{\lambda_1} \times S_{\lambda_2} \times \dots \times S_{\lambda_k} \subset S_n$ .

**Definition:** Set  $I_\lambda^+ := \text{Ind}_{S_\lambda}^{S_n} \text{triv}$ ,  $I_\lambda^- := \text{Ind}_{S_{\lambda^t}}^{S_n} \text{sgn}$ .

**Theorem:** The following claims are true:

1) For every partition  $\lambda$ ,  $\exists!$  irreducible representation,  $V_\lambda$ , of  $S_n$  that occurs in both  $I_\lambda^+, I_\lambda^-$ .

2) Every irreducible representation of  $S_n$  is isomorphic to  $V_\lambda$  for unique  $\lambda$ .

**Example:** For  $n=4$ , we have computed the representations  $I_\lambda^\pm$  in Sec 2.3 of Lec 15. Here are the results:

$$\lambda=(4): I_{(4)}^+ = \text{triv}, I_{(4)}^- = \text{triv} \oplus (\mathbb{F}_0^4)^{\oplus 3} \oplus V_2^{\oplus 2} \oplus (\text{sgn} \otimes \mathbb{F}_0^4)^{\oplus 3} \oplus \text{sgn}.$$

$$\text{So } V_{(4)} = \text{triv}.$$

$$\lambda=(3,1): I_{(3,1)}^+ = \text{triv} \oplus \mathbb{F}_0^4, I_{(3,1)}^- = \mathbb{F}_0^4 \oplus V_2 \oplus (\text{sgn} \otimes \mathbb{F}_0^4)^{\oplus 2} \oplus \text{sgn}.$$

$$\text{So } V_{(3,1)} = \mathbb{F}_o^4$$

$$\lambda = (2,2): I_{(2,2)}^+ = \text{triv} \oplus \mathbb{F}_o^4 \oplus V_2, \quad I_{(2,2)}^- = V_2 \oplus \text{sgn} \otimes \mathbb{F}_o^4 \oplus \text{sgn}.$$

$$\text{So } V_{(2,2)} = V_2.$$

$$\lambda = (2,1,1,1): I_{(2,1,1,1)}^+ = \text{triv} \oplus (\mathbb{F}_o^4)^{\oplus 2} \oplus V_2 \oplus \text{sgn} \otimes \mathbb{F}_o^4,$$

$$I_{(2,1,1,1)}^- = \text{sgn} \otimes \mathbb{F}_o^4 \oplus \text{sgn}. \quad \text{So } V_{(2,1,1,1)} = \text{sgn} \otimes \mathbb{F}_o^4$$

$$\lambda = (1,1,1,1): I_{(1,1,1,1)}^+ = \text{triv} \oplus (\mathbb{F}_o^4)^{\oplus 3} \oplus V_2^{\oplus 2} \oplus (\text{sgn} \otimes \mathbb{F}_o^4)^{\oplus 3} \oplus \text{sgn},$$

$$I_{(1,1,1,1)}^- = \text{sgn}. \quad \text{So } V_{(1,1,1,1)} = \text{sgn}.$$

**Exercise:** Prove that  $\text{sgn} \otimes V_\lambda \simeq V_{\lambda^t}$ . Hint: use  $\text{sgn} \otimes I_\lambda^+ \simeq I_{\lambda^t}^-$ , which follows from Lemma in Sec 2.2 in Lec 15.

### 1.3) Main technical claim.

We will deduce the classification theorem from the following result

**Main Claim:** For partitions  $\lambda$  &  $\mu$  the following are true:

$$1) \text{Hom}_{S_n}(I_\lambda^+, I_\mu^-) \neq 0 \Rightarrow \mu^t \leq \lambda^t.$$

$$2) \dim \text{Hom}_{S_n}(I_\lambda^+, I_\lambda^-) = 1.$$

Example: The explicit computations verify this claim for  $n=4$ .

This claim will be proved in the next lecture. Now we deduce the theorem from it.

Proof of Theorem modulo the main claim:

Step 1: Let  $G$  be an arbitrary finite group and  $U, V$  be its finite dimensional representations. Then we can decompose  $U, V$  into the direct sums of irreducibles:

$$U = \bigoplus_{i=1}^{\ell} U_i^{\oplus m_i}, \quad V = \bigoplus_{i=1}^{\ell} U_i^{\oplus n_i}$$

Then (the proof is left as an *exercise*) we have

$$(*) \quad \dim \text{Hom}_G(U, V) = \sum_{i=1}^{\ell} m_i n_i$$

So (1) of the Main Claim means that if  $I_{\lambda}^+, I_{\mu}^-$  have common irreducible summands, then  $\gamma^t \leq \lambda^t$ . And (2) means that  $I_{\lambda}^+, I_{\lambda}^-$  share only one common irreducible summand (with multiplicity 1). We denote it by  $V_{\lambda}$ . This establishes part 1 of the theorem.

Step 2: Now we show that  $V_\lambda \cong V_\mu \Rightarrow \lambda = \mu$ . Assume  $\lambda \neq \mu$ . Then, swapping  $\lambda$  &  $\mu$  if needed, we can assume  $\mu^t > \lambda^t$ . Note that  $V_\lambda$  is a direct summand in  $I_\lambda^+$ , while  $V_\mu$  is a direct summand in  $I_\mu^-$ . Since  $V_\lambda \cong V_\mu$ , we can use (\*) above to show that  $\text{Hom}_{S_n}(I_\lambda^+, I_\mu^-) \neq 0$ . This contradicts (1) of the Main Claim, leading to contradiction.

Step 3: Now we are ready to prove (2) of the theorem. We've got a collection of pairwise non-isomorphic irreducibles indexed by the partitions of  $n$ . The same set indexes the conjugacy classes in  $S_n$ . As the number of irreducibles (up to isomorphism) equals the number of conjugacy classes (Corollary in Sec 2 of Lec 10), we have actually constructed all irreducible representations, finishing the proof  $\square$

#### 1.4) Computation of $\dim \text{Hom}_{S_n}(I_\lambda^+, I_\mu^-)$

The following result is the first step in proving the

Main Claim.

Lemma:  $\dim \text{Hom}_{S_n}(I_\lambda^+, I_\mu^-)$  coincides w. the dimension of

$$\{f \in \text{Fun}(S_n, \mathbb{F}) \mid f(\tau g \sigma) = \text{sgn}(\tau) f(g) \quad \forall g \in S_n, \tau \in S_\mu^\pm, \sigma \in S_\lambda^\mp\}$$

Proof: Recall the Frobenius reciprocity: for finite groups  $H \subset G$  representations  $U$  of  $H$ ,  $V$  of  $G$  we have (Sec 2.1 of Lec 14):

$$\text{Hom}_G(V, \text{Ind}_H^G U) \xrightarrow{\sim} \text{Hom}_H(V, U).$$

Apply this to:  $G = S_n$ ,  $H = S_{\mu^\pm}$ ,  $V = I_\lambda^+$ ,  $U = \text{sgn}$ . We get

$$\text{Hom}_{S_n}(V, I_\mu^-) \longrightarrow \text{Hom}_{S_{\mu^\pm}}(V, \text{sgn})$$

The dimension of the target is the multiplicity of  $\text{sgn}$  in  $V$  viewed as a representation of  $S_{\mu^\pm}$  that can also be computed as  $\dim \text{Hom}_{S_{\mu^\pm}}(\text{sgn}, V)$ , see Sec 1 in Lec 7. The space

$\text{Hom}_{S_{\mu^\pm}}(\text{sgn}, V)$  is identified w.

$$\{v \in V \mid \tau v = \text{sgn}(\tau)v \quad \forall \tau \in S_{\mu^\pm}\} \tag{*}$$

(we send  $\varphi: \text{sgn} \rightarrow V$  to  $\varphi(1)$ , the condition that  $\varphi$  is a homomorphism precisely means  $\tau \varphi(1) = \text{sgn}(\tau)\varphi(1)$ ).

Now we use that

$$V = \text{Ind}_{S_\lambda}^{S_n} \text{triv} = \{f \in \text{Fun}(S_n, \mathbb{F}) \mid f(g\sigma) = f(g) \quad \forall g \in S_n, \sigma \in S_\lambda^\mp\}$$

w.  $S_n$ -action given by  $[\tau \cdot f](g) = f(\tau^{-1}g)$  (for  $\tau \in S_n$ ). We note

that  $\text{sgn}(\tau) = \text{sgn}(\tau^{-1})$  and conclude that  $(*)$  is:

$$\{f \in \text{Fun}(G, \mathbb{F}) \mid f(\tau g) = \text{sgn}(\tau) f(g), f(g\delta) = f(g) \ \forall g \in S_n, \delta \in S_\lambda, \tau \in S_{\mu, t}\}.$$

This is exactly the space in the statement of the lemma.  $\square$

### 1.5) Remarks on the classification over other fields.

This discussion is not going to be used in what follows.

Suppose  $\text{char } \mathbb{F} = 0$  but  $\mathbb{F}$  may be non-closed. The proof of Lemma in Sec 1.4 carries over w. slight modifications (left as exercise). In fact, the Main Claim holds over any  $\mathbb{F}$  as long as  $\text{char } \mathbb{F} \neq 2$ . Then  $(*)$  in Step 1 of the proof in the previous section becomes

$$\dim \text{Hom}_G(U, V) = \sum_{i=1}^{\ell} m_i n_i \dim_{\mathbb{F}} \text{End}_{\mathbb{F}}(U_i)$$

From here we still get the irreducible representation  $V_\lambda$  as in the theorem, and, moreover,  $\dim_{\mathbb{F}} \text{End}_{S_n}(V_\lambda) = 1$ . Step 2 carries over, so we get a collection of irreducible representations of  $S_n$  over  $\mathbb{F}$  indexed by partitions. As we remarked in Sec 1.1 of Lec 10, the characters of irreducibles are still orthogonal, hence linearly independent. It follows again that every

irreducible representation of  $\mathbb{F}S_n$  is isomorphic to  $V_\lambda$  for a unique  $\lambda$ .

Now suppose  $\text{char } \mathbb{F} = p$  and  $\mathbb{F}$  is algebraically closed (for symmetric groups this assumption is not relevant).

There's a general result that for a finite group  $G$ , the number of irreducible  $\mathbb{F}G$ -modules is equal to the number of conjugacy classes whose elements have order coprime to  $p$  (we have seen this for  $p$ -groups in HW2). For  $G = S_n$ , one can say more. An element of  $S_n$  has order coprime to  $p$  iff the lengths of all cycles in its decomposition are coprime to  $p$ . And there's a distinguished bijection between the set of irreducible  $\mathbb{F}S_n$ -modules and the set of partitions of  $n$  w/o parts divisible by  $p$ .