

type  $sl_N$ ,  $I = \{1, \dots, N-1\}$

QH: Quiver Hecke Category  $\rightarrow$  type  $sl_N$ ,  $I = \mathbb{Z}$   
(strict monoidal category)

Generators:

- objects  $I$  (so general object is of the form  $\underline{i} = \text{id} \cdots i$ ,
- morphisms  $\begin{smallmatrix} \text{---} \\ \text{---} \end{smallmatrix}, \begin{smallmatrix} \text{---} \\ \text{---} \end{smallmatrix}, \begin{smallmatrix} \text{---} \\ \text{---} \end{smallmatrix}$

w/ Relations

$$1) \quad \begin{smallmatrix} \text{---} \\ i \\ \text{---} \end{smallmatrix} = \begin{cases} 0 & i=j \\ 1 & |i-j| > 1 \\ 1+1 & |i-j|=1 \end{cases}$$

$$2) \quad \begin{smallmatrix} \text{---} \\ i \\ \text{---} \end{smallmatrix} - \begin{smallmatrix} \text{---} \\ j \\ \text{---} \end{smallmatrix} = \begin{smallmatrix} \text{---} \\ i \\ \text{---} \end{smallmatrix} - \begin{smallmatrix} \text{---} \\ j \\ \text{---} \end{smallmatrix} = \delta_{ij} \begin{smallmatrix} \text{---} \\ i \\ \text{---} \end{smallmatrix}$$

$$3) \quad \begin{smallmatrix} \text{---} \\ i \\ \text{---} \end{smallmatrix} - \begin{smallmatrix} \text{---} \\ k \\ \text{---} \end{smallmatrix} = \begin{cases} 1 & \text{if } i=k=j+1 \\ 0 & \text{else} \end{cases}$$

Def (Chuang-Rouquier) Let  $\mathcal{C}$  be a "nice" abelian category  
(linear/ $\mathbb{C}$ ) ("nice" = artinian, + other conditions, e.g.  
modules/a fin dim algebra, or even slightly more gen!)

A categorical  $sl_I$ -action on  $\mathcal{C}$  is data:

- $\mathcal{C} = \bigoplus_{\mathbf{F} \in P} \mathcal{C}_{\mathbf{F}}$  "weight decom" ( $P$  = weight lattice of  $sl_I$ )

- $\forall \mathbf{F} \in P$ , 3 functors  $\begin{smallmatrix} \text{---} \\ \mathbf{F} \\ \text{---} \end{smallmatrix}$   $\mathcal{C}_{\mathbf{F}} \xleftarrow{E_i} \mathcal{C}_{\mathbf{F} + \alpha_i} \xrightarrow{F_i} \mathcal{C}_{\mathbf{F}}$  biadjoint

- $\rightarrow$  strict monoidal functor  $\Phi: QH \rightarrow \text{End}(\mathcal{C})$

with  $i \mapsto E_i$ ,  $\begin{smallmatrix} \text{---} \\ i \\ \text{---} \end{smallmatrix} \mapsto x$ ,  $\begin{smallmatrix} \text{---} \\ i \\ \text{---} \end{smallmatrix} \mapsto \tau$

... with axioms!

The axioms:  $e_i = [E_i]$   $f_i = [F_i]$

• on  $K_0(C_\ell)$ , need  $[e_i f_j] = \ell^i (h_i) \delta_{ij}$

- $x$  shall be locally nilpotent, i.e.  $\forall$  object  $M$ ,  
 $x_M \cap M$  is nilpotent.

Next part of the talk will focus on:

Consequences of  $\mathbb{D}$

- Focus on a single  $i \in I$ .  $i^n$  is direct in  $QH$  ( $n$ -fold term product), and we define

$$N\mathbb{H}_n := \text{End}_{QH}(i^n) \quad (\text{nil-Hecke algebra})$$

Think about the relations in  $QH$  in the one-color case:

- let  $x_r$  denote  $\begin{array}{c} | | \dots | | \\ \diagdown \quad \diagup \\ n \quad r+1 \quad 2 \end{array}$  (dot on  $r^{\text{th}}$  strand)  $(1 \leq r \leq n)$

and  $T_r$  similarly the crossing ~~as  $\#$~~  of  $i(r+1)$  strands,  $1 \leq r \leq n$ .  
The  $T_r$  satisfy the braid relations, and  $T_r^2 = 1$ .

Because the braid relations hold, can deduce  $T_n \in \text{Hilb}_{S_n}$ .

To understand  $N\mathbb{H}_n$ , we give it a polynomial representation:

$$N\mathbb{H}_n \subset \mathbb{C}[P_{d,n}] := \mathbb{C}[x_1, \dots, x_n],$$

$$x_r f = x_r f,$$

and  $T_r$  acts by Derivative operator:

$$T_r f = \frac{S_r(f) - f}{x_r - x_{r+1}}, \quad 1 \leq r \leq n.$$

Let  $\text{Sym}^n \mathbb{C}[P_{d,n}]$  be the  $S_n$ -invariant polys.

Claim  $P_{d,n}$  is a free  $\text{Sym}_n$ -module of rank  $n!$

Note for  $f \in \text{Pol}_n$ ,  $g \in \text{Sym}_n$ ,  $w \in S_n$ ,

$$T_w \cdot (gf) = g(T_w f)$$

have grading of  $\text{NH}_n$  w/  $\deg(x_r) = 2$ ,  $\deg(T_r) = -2$   
so that  $\text{Pol}_n$  is a graded module

In fact, we can give a basis. Consider

$$\{b_w := T_w \cdot x_1^{n-1} x_2^{n-2} \cdots x_{n-1}\}_{w \in S_n}$$

this gives a basis for  $\text{Pol}_n$  over  $\text{Sym}_n$ .

Idea of proof: Use induction, show  $b_w = 1$ , show  
the basis are ~~not~~ linearly independent, then compute graded  
dimensions w/ Poincaré polynomial for  $S_n$ .

So we get an algebra homomorphism

$$(1) \quad \text{NH}_n \longrightarrow \text{End}_{\text{Sym}_n}(\text{Pol}_n) \cong \text{Mat}_n!(\text{Sym}_n)$$

You then prove that this map is injective. This is done  
using the basis  $\{b_w\}$  and a triangularity argument.

Even better: it's an isomorphism! This is done by  
comparing (graded) dimensions (they coincide).

In particular,  $\mathcal{Z}(\text{NH}_n) \cong \text{Sym}_n$  (so  $\text{NH}_n$  is free of finite rank  
over its center)

$$\text{Define } \pi_n = x_1^{n-1} \cdots x_{n-1} T_{w_0}.$$

Note  $\pi_n b_w = 1$ , and  $\pi_n b_w = 0$  for  $w \in S_n, w \neq 1$ , by  
degree considerations.

This is analogous (w/r/t  $(1)$ ) to matrix unit  $\begin{pmatrix} 1 & & & \\ & 0 & \cdots & 0 \end{pmatrix}$ ,  
a primitive idempotent.

We see  $\text{NH}_n \cong (\text{NH}_n)_{\text{th}}^{\oplus n!}$  as left  $\text{NH}_n$ -modules.

So now, let  $\mathcal{C}$  have a categorical action as above.  
(next page)

Let  $\mathcal{C}$  have a categorical action as we've derived it.

• Define  $E_i^{(n)} = \bigoplus (\pi_n) E_i^n$  (gives a projection of  $E_i^n$  to a direct summand).

So we see (from the  $N\mathbb{H}_n \cong (N\mathbb{H}_n\pi_n)^{\oplus n}$ ) that

$E_i^n \cong (E_i^{(n)})^{\oplus n}$  (get divided power functors)

•  $\times$  locally nilpotent  $\Rightarrow$  on any  $M \in \mathcal{C}$ ,  $E_i^n = 0$  for  $n \gg 0$ .  
(similarly for the  $F_i$ , by adjunction)

Why is this true? Well, it's enough to show  $E_i^{(n)} = 0$  on  $C_\mathbb{Q}$  for sufficiently large  $n$  (depending on  $\mathcal{C}$ ).

But  $E_i^{(n)} = \bigoplus \left( \bigcap_{i=1}^{n-1} \right) E_i^n$ , get 0 on  $M$  by the  $\bigcap$  part.

• Cute theorem ( $K, L, R$ )

$e_i$ 's satisfy some relations of  $sl_2$   
(and also for  $f_i$ 's)

Idea of proof: Now we need to think about the case with  $>1$  colors. It's basically enough to deal with  $b-j=1$ . There's a (split) short exact sequence

$$0 \rightarrow E_i E_i^{(2)} \rightarrow E_i E_i E_i \rightarrow E_i^{(2)} E_i \rightarrow 0$$

(that's already enough).

• Let  $\{L(b) : b \in B\}$  be a full set of irreducibles in  $\mathcal{C}$ .

$$\mathcal{C} = \bigoplus_{\mathbb{F} \in P} \mathcal{C}_\mathbb{F} \Rightarrow B = \coprod_{\mathbb{F} \in P} B_\mathbb{F}.$$

For  $b \in B$ , set  $\tilde{e}_i b = 0$  if  $E_i L(b) = 0$ ,

some formal symbol

and otherwise it turns out (theorem)  $E_i L(b)$  has a simple socle and head, say  $L(\tilde{e}_i b)$ , and

similarly for  $f_i$  with  $F_i$ .

integrality  
of module

## Theorem (Chuang-Rouquier)

$(B = \amalg B_{\mathbb{F}}, \tilde{e}_i, \tilde{f}_i)$  is a normal crystal.

Theorem For  $\mathbb{F} \in \mathcal{P}, i \in \mathbb{I}$ , there is a derived equivalence

$$\Theta_i: D^b(\mathcal{C}_{\mathbb{F}}) \rightarrow D^b(\mathcal{C}_{S_i(\mathbb{F})}) \quad \text{where } S_i \in \mathbb{W} = S_N \quad (i^{\text{th}} \text{ simple reflection})$$

$\Rightarrow W$ -orbit of  $\mathcal{C}_{\mathbb{F}}$ 's are all derived equivalent.

Let  $n = \mathbb{F}(h_i) \geq 0$ . In mid 90's,  
it was shown  $\exists$  complex

$$0 \rightarrow F_i^{(n)} \rightarrow F_i^{(n+1)} E_i \rightarrow F_i^{(n+2)} E_i^{(2)} \rightarrow \dots$$

(this is finite as any given  $M$ )

(Rickard complex)

This complex of functors derives ~~the~~ a functor between the derived cats, and this was a candidate for  $\Theta_i$ . To show this derives an equivalence, the full power of Chuang-Rouquier's work was needed.

Example of  $\mathbb{m} \otimes \mathbb{m}^* (\mathbb{C}) =: \mathbb{m}^*$ . This is a Lie superalgebra ( $\mathbb{Z}/2$ -graded),

$(m+n) \times m \begin{pmatrix} m & n \\ \text{even} & \text{odd} \end{pmatrix}$ , supercommutator (on homogeneous elements)  $(m+n) \times m \begin{pmatrix} \text{odd} & \text{even} \end{pmatrix}$ , is  $[x, y] = xy - (-1)^{\frac{(x)(y)}{2}} yx$ .

$\rightsquigarrow$  get Cartan subalgebra  $\mathfrak{t} = \begin{pmatrix} \mathbb{0}^0 \\ \mathbb{0} \end{pmatrix} = \text{diags}$ , weights  $\mathfrak{t}^*$

Boole subalg  $\mathfrak{b} = \begin{pmatrix} \mathbb{0} \\ \mathbb{0}^{\mathbb{Z}} \end{pmatrix}$

special weight  $\delta_i \in \mathfrak{t}^*$  coordinate of  $i^{\text{th}}$  diag entry,

get a pairing  $(\delta_i, \delta_j) = (-1)^{|i|} \delta_{ij}$ , with  $|i| = \begin{cases} 0 & i=1, \dots, m \\ 1 & i=m+1, \dots, m+n \end{cases}$

(this is just the supertrace form).

$$\text{Have } g = \delta_0 - \delta_2 - 2\delta_3 - \dots + (1-m)\delta_m + (m-1)\delta_{m+1} + \dots + (m-n)\delta_{m+n}.$$

Define cat  $\mathcal{O}$  to be (full subcat of supermodules) cat of  
finitely generated  $\mathfrak{g}$ -supermodules which are locally finite  
over  $\mathfrak{b}$  and semisimple over  $\mathfrak{t}$  with  $M = \bigoplus_{\lambda \in \mathbb{Z}} M_\lambda$

Assume  $\rightarrow$  all weights are integral  
 $\rightarrow$   $\lambda$ -weight space is in parity  $(\lambda, \delta_{m+1} + \dots + \delta_{m+n})$ .

Construct categorical  $\mathfrak{sl}_2$ -action on  $\mathcal{O}$  ( $\mathcal{O}$  is a  
highest weight cat, so its "superice"  $\Rightarrow$  "nice"  $\therefore$ )

$$\text{Let } B = \left\{ b = \begin{bmatrix} b_1 & \dots & b_m \\ b_{m+1} & \dots & b_{m+n} \end{bmatrix} \right\} \quad \begin{matrix} \text{(2-row tableaux,} \\ \text{entries in } \mathbb{Z} \end{matrix}$$

Given  $b \in B$ , define a Verma module in the usual way:

$$M(b) = \bigoplus_{\lambda \in \mathbb{Z}} M_\lambda \quad \text{with } \lambda \in \mathbb{Z} \text{ given by}$$

$(\lambda + p, \delta_i) = b_i$   
(put highest wt space in the right parity).

As usual,  $M(b)$  has a unique irreducible quotient  $L(b)$ ,  
 $\{L(b) : b \in B\}$  is a complete set of irreducibles in  $\mathcal{O}$ .  
Also  $\exists P(b) \rightarrow M(b)$  projective cover, then have  
Verma filtrations, etc all highest weight cat stay  
as usual.

$$\text{Get } \mathcal{O} = \bigoplus_{\lambda \in \mathbb{Z}} \mathcal{O}_\lambda \quad (\text{don't confuse the Lie alg } \mathfrak{g} = \mathfrak{gl}_{m+n})$$

whose modules we've consider w/ the Lie alg  $\mathfrak{sl}_2$  giving  
our categorical action.

Here  $\mathcal{O}_\mathbb{F}$  is the Sene subset grid by those  $L(b)$ 's for those  $b \in \mathcal{B}_\mathbb{F}$ , where that means  $\text{wt}(b) = \mathbb{F}$ , where that means  $\sum_{r=1}^{\text{wt}(b)} (-1)^{|r|} \mathbb{F}_{br}$ .

How about the endofunctors  $E, F$ ? Well, let  $V$  be the natural vector of supermodule (rep as column vectors), and let  $V^*$  be its dual. Define functors

$$F = V \otimes \cdot, \quad E = V^* \otimes \cdot$$

We can get natural transformations

$$F \xrightarrow{\cong} F, \quad F^2 \xrightarrow{\cong} F^2$$

For this, note  $FM = V \otimes M$ . Let  $\Omega = \sum_{i,j=1}^{\text{rank } M} (-1)^{i+j} e_{ij} \otimes e_{ji}$

(Casimir term), get  $\Omega \hookrightarrow V \otimes M$  as some  $x_M$ , the  $M \mapsto x_M$  defines  $x$ . For  $F^2$ , definitely have

$$\tau_M: V \otimes V \otimes M \longrightarrow V \otimes V \otimes M$$

coming from  $V \otimes V \longrightarrow V \otimes V$   
 $v \otimes w \mapsto (-1)^{\text{wt}(v)} w \otimes v$ .

Then  $M \mapsto \tau_M$  defines  $\tau$ .

Bad news! We don't get the relation of  $QH$ . Instead, we get the relation for  $AH$ , the algebra Hecke category. This is a monoidal cat

- generated by objects  $\mathbb{I}$  (so all objects  $\leftrightarrow \mathbb{N}$ )  
 $\xrightarrow{\text{morphisms}} \mathbb{X}, \mathbb{Y}$

- relations  $\mathbb{X} - \mathbb{X} = \mathbb{I}, \mathbb{Y} = \mathbb{I}, \mathbb{X} = \mathbb{Y}$ .

We get a monoidal functor  $\mathbb{F}: AH \longrightarrow \text{End}(\mathcal{O})$

Sendling

$$\begin{aligned} 1 &\mapsto F \\ 1 &\mapsto x, \\ X &\mapsto \tau \end{aligned}$$

Magic: turns out (small lie)  $AH \cong QH$

What's actually true is  $\widehat{AH} \cong \widehat{QH}$   
(appropriate notion of completion)

We define  $F_i$  = generalized  $i$ -eigenvectors of  $x$ ,  
and we get  $F = \bigoplus_{i \in \mathbb{Z}} F_i$ ,

and bijection gives  $E = \bigoplus_{i \in \mathbb{Z}} E_i$ .

General theory  $\Rightarrow$   $\exists$  action  $sl_2 \curvearrowright k_0(\mathcal{O}) \otimes_{\mathbb{Z}} \mathbb{C}$ .

So what module do we get? We can consider  $\mathcal{O}^\Delta$ , the set of objects w/ Verma filtrations.

$sl_2 \curvearrowright k_0(\mathcal{O}) \otimes_{\mathbb{Z}} \mathbb{C}$ , have basis  $\{[P(b)] : b \in \mathcal{B}\}$ .

↓

$sl_2 \curvearrowright k_0(\mathcal{O}^\Delta) \otimes_{\mathbb{Z}} \mathbb{C} = T^m((\mathbb{C}^\infty) \otimes T^n((\mathbb{C}^\infty)^*) \curvearrowright sl_2$

$[M(b)] \mapsto v_b \otimes \otimes v_{b1} \otimes v_{b2} \otimes \dots \otimes v_{bm}$

This has a basis  $\{[M(b)] : b \in \mathcal{B}\}$  ( $\mathbb{C}^\infty$  = module of  $\infty$  column vectors,  $(\mathbb{C}^\infty)^*$  its restricted dual)

Have basis  $\{v_i\}_{i \in \mathbb{Z}}$  for  $\mathbb{C}^\infty$ , and  $\{v_i^*\}_{i \in \mathbb{Z}}$  for  $(\mathbb{C}^\infty)^*$ .

Then  $\{[P(b)] : b \in \mathcal{B}\}$  is the canonical basis of Lusztig!  
(due to Cheng-Lam-Wang, Brundan-Lasner-Webster)