

# Free Field Realization Part 1. Finite-dimensional case

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### Abstract

The notes are prepared for the seminar *Representations of affine Kac-Moody algebras at the critical level* at MIT in Spring 2024.

## 1 Overview

We are in the process of proving an isomorphism between  $\mathfrak{z}(V_{\kappa_c}(\mathfrak{g}))$  and  $\mathbb{C}[\mathrm{Op}_L G(D)]$ , which further implies an isomorphism  $Z(\tilde{U}_{\kappa_c}(\widehat{\mathfrak{g}})) \cong \mathbb{C}[\mathrm{Op}_L G(D^\times)]$ . The strategy for the proof is to embed both algebras inside  $\mathbb{C}[\mathfrak{h}^*[[t]]dt]$ .

We begin by reviewing the counterpart of the embedding  $\mathfrak{z}(V_{\kappa_c}(\mathfrak{g})) \rightarrow \mathbb{C}[\mathfrak{h}^*[[t]]dt]$  in the finite-dimensional case, which coincides with the map used in the Harish-Chandra isomorphism, as well as constructions that are useful in the affine case.

## 2 Recollection about vector fields

Recall that if we have a group action  $\alpha : G \times X \rightarrow G$ , with  $G$  a Lie group, there is an induced Lie algebra homomorphism

$$\alpha_* : \mathfrak{g} \longrightarrow \mathrm{Vect}(X)$$

sending  $z \in \mathfrak{g}$  to the vector field  $\alpha_*(z)$  on  $X$  defined by

$$(\alpha_*(z)f)(x) := \left. \frac{d}{dt} \right|_{t=0} f(\exp(-tz)x).$$

Let us briefly review some properties of vector fields. For a smooth affine scheme  $X$ , the vector fields on  $X$  are precisely the derivations on the ring of functions  $\mathbb{C}[X]$ . For smooth affine schemes  $X$  and  $Y$ , we have a map  $\mathrm{Vect}(X \times Y) \rightarrow \mathbb{C}[X] \otimes \mathrm{Vect}(Y) \oplus \mathbb{C}[Y] \otimes \mathrm{Vect}(X)$ , or equivalently,

$$\mathrm{Der}(\mathbb{C}[X] \otimes \mathbb{C}[Y]) \longrightarrow (\mathbb{C}[X] \otimes \mathrm{Der}(\mathbb{C}[Y])) \oplus (\mathbb{C}[Y] \otimes \mathrm{Der}(\mathbb{C}[X])) \quad (1)$$

which sends  $\varphi \mapsto (\varphi|_{1 \otimes \mathbb{C}[Y]}, \varphi|_{\mathbb{C}[X] \otimes 1})$ . It is an isomorphism of Lie algebras with respect to the Lie bracket on RHS defined by  $[f\varphi, g\psi] = f\varphi(g)\psi - g\psi(f)\varphi$  for  $f \in \mathbb{C}[X]$ ,  $\varphi \in \text{Vect}(Y)$ ,  $g \in \mathbb{C}[Y]$  and  $\psi \in \text{Vect}(X)$ . Consequently, letting  $D(X)$  be the algebra of differential operators on  $X$ , we can check that

$$D(X \times Y) = D(X) \otimes D(Y)$$

is an isomorphism.

Let  $G$  be an algebraic group. The Lie algebra of left-invariant (resp. right-invariant) vector fields  $\mathfrak{g}_l$  (resp.  $\mathfrak{g}_r$ ) are identified with  $\mathfrak{g}$ .

The action of  $G$  on itself induces a Lie algebra homomorphism  $\mathfrak{g} \rightarrow \text{Vect}(G)$  which is equivariant for the adjoint action of  $G$  on  $\mathfrak{g}$  and the left  $G$ -action on  $\text{Vect}(G)$ . It factors through an isomorphism

$$\mathfrak{g} \xrightarrow{\sim} \mathfrak{g}_r \subset \text{Vect}(G)$$

mapping  $x \in \mathfrak{g}$  to  $-x_r$  where  $x_r$  is the corresponding right  $G$ -equivariant vector field.

**Remark 2.1.** If  $G$  is abelian, then we can identify  $x_l$  and  $x_r$ . In general, letting  $\iota : G \rightarrow G$  be the inversion,  $d\iota$  gives an isomorphism between  $\mathfrak{g}_l$  and  $\mathfrak{g}_r$ .

**Remark 2.2.** The inclusion  $\mathfrak{g}_l \subset D(G)^{G_l}$  lifts to an isomorphism  $U(\mathfrak{g}_l) \cong D(G)^{G_l}$ . Similarly,  $D(G)^{G_r} \cong U(G_r)$ .

### 3 Construction of algebra homomorphism $U(\mathfrak{g}) \rightarrow D(N_+) \otimes U(\mathfrak{h})$

Let  $\mathfrak{g}$  be a simple Lie algebra of rank  $\ell$  with Cartan decomposition  $\mathfrak{g} = \mathfrak{n}_- \oplus \mathfrak{h} \oplus \mathfrak{n}_+$ , and let  $\mathfrak{b}_\pm = \mathfrak{h} \oplus \mathfrak{n}_\pm$  be Borel subalgebras.

Let  $G$  be the connected simply connected algebraic group corresponding to  $\mathfrak{g}$ , and let  $N_\pm$  and  $B_\pm$  be the unipotent and Borel subgroups corresponding to  $\mathfrak{n}_\pm$  and  $\mathfrak{b}_\pm$  respectively. There is an isomorphism of varieties  $N_+ \times H \cong B_+$  sending  $(n, t) \mapsto nt$ . Note that  $N_+ \times H$  admits the following actions:

- An  $N_+$ -action from the left:  $(n', (n, t)) \mapsto (n'n, t)$
- An  $H$ -action from the left:  $(t', (n, t)) \mapsto (t'nt'^{-1}, t't)$
- An  $H$ -action from the right:  $((n, t), t'') \mapsto (n, tt'')$

Now the isomorphism 1 becomes

$$\text{Vect}(N_+ \times H) \cong (\text{Vect}(N_+) \otimes \mathbb{C}[H]) \oplus (\text{Vect}(H) \otimes \mathbb{C}[N_+]) \otimes \mathbb{C}[N_+].$$

Noting that right  $H$ -action is trivial on  $\mathfrak{h}$  and  $\text{Vect}(N_+)$ , while standard on  $\mathbb{C}[H]$ , it follows that

$$\text{Vect}(N_+ \times H)^{H_r} \cong \text{Vect}(N_+) \oplus (\mathbb{C}[N_+] \otimes \mathfrak{h}). \quad (2)$$

**Remark 3.1.** We can upgrade the isomorphism 2 to the level of algebra

$$D(N_+ \times H)^{H_r} = (D(N_+) \times D(H))^{H_r} = D(N_+) \otimes U(\mathfrak{h}).$$

Now consider the homogeneous space  $G/N_-$  with left  $G$ -action and right  $H$ -action, noting that these actions commute. There is an induced map of Lie algebras

$$\mathfrak{g} \rightarrow \text{Vect}(G/N_-)^{H_r}.$$

By considering the restriction to the open  $B_+$ -orbit  $B_+[1] \subset G/N_-$ , it induces a map of Lie algebras

$$\mathfrak{g} \rightarrow \text{Vect}(B_+)^H = \text{Vect}(N_+) \oplus (\mathbb{C}[N_+] \otimes \mathfrak{h}).$$

**Remark 3.2.** We first note that the map  $U(\mathfrak{g}) \rightarrow D(B_+)$  induced by  $\mathfrak{g} \rightarrow \text{Vect}(B_+)$  preserves the filtrations with respect to the PBW filtration on  $U(\mathfrak{g})$  and the order of differential operators on  $D[B_+]$ . Then, the associated graded  $S(\mathfrak{g}) \rightarrow \mathbb{C}[T^*B_+]$ , where  $T^*B_+$  denotes the cotangent bundle, can be described as the composition of the following maps:

1. The classical comoment map  $S(\mathfrak{g}) \rightarrow \mathbb{C}[T^*(G/N_-)]$
2. The restriction  $\mathbb{C}[T^*(G/N_-)] \rightarrow \mathbb{C}[T^*B_+]$

The first map is injective because  $T^*(G/N_-) \rightarrow \mathfrak{g}^*$  is dominant, while the second map is clearly injective.

## 4 Geometric realization of dual Verma modules

**Definition 4.1.** Let  $\chi \in \mathfrak{h}^*$ . Consider the one-dimensional representation  $\mathbb{C}_\chi$  of  $\mathfrak{b}_+$  on which  $\mathfrak{h}$  acts by  $\chi$  and  $\mathfrak{n}_+$  acts by zero. The *Verma module* with highest weight  $\chi \in \mathfrak{h}^*$  is the  $\mathfrak{g}$ -module defined by

$$M_\chi := \text{Ind}_{\mathfrak{b}_+}^{\mathfrak{g}} \mathbb{C}_\chi = U(\mathfrak{g}) \otimes_{U(\mathfrak{b}_+)} \mathbb{C}_\chi.$$

**Remark 4.2.** The underlying  $\mathfrak{n}_-$ -module of  $M_\chi$  is always isomorphic to  $U(\mathfrak{n}_-)$ , while its  $\mathfrak{h}$ -module structure is the tensor product  $U(\mathfrak{n}_-) \otimes \mathbb{C}_\chi$ .

**Remark 4.3.** Noting that we have the weight decomposition  $M_\chi = \bigoplus_{\mu \in \chi - Q_+} M_\chi[\mu]$ , where  $Q_+$  is the positive part of the root lattice of  $\mathfrak{g}$ , i.e.,  $Q_+ = \{\sum_i n_i \alpha_i : n_i \geq 0\}$ . The *dual  $\mathfrak{g}$ -module*  $M_\chi^*$  is the  $\mathfrak{g}$ -module

$$M_\chi^* := \bigoplus_{\mu \in \chi - Q_+} M_\chi[\mu]^\vee$$

with the  $\mathfrak{g}$ -action defined by

$$(x \cdot \varphi)(m) = \varphi(-\tau(x) \cdot m)$$

for  $x \in \mathfrak{g}$ ,  $\varphi \in M_\chi^*$  and  $m \in M_\chi$ , where  $\tau$  is the involutive automorphism on  $\mathfrak{g}$  such that  $\tau(h_i) = -h_i$ ,  $\tau(e_i) = f_i$ ,  $\tau(f_i) = e_i$ .

**Exercise 4.4.** The duality functor is exact and contravariant, and its square is the identity functor. Moreover, the duality functor preserves the formal character.

We now define a modified  $\mathfrak{g}$ -module structure on  $\mathbb{C}[N_+]$  which depends on  $\chi \in \mathfrak{h}^*$ .

**Definition 4.5.** For  $\chi \in \mathfrak{h}^*$ , let us write  $\text{ev}_\chi : U(\mathfrak{h}) = S(\mathfrak{h}) = \mathbb{C}[\mathfrak{h}^*] \rightarrow \mathbb{C}$ . The modified  $\mathfrak{g}$ -module structure on  $\mathbb{C}[N_+]$  is defined by the composition

$$U(\mathfrak{g}) \rightarrow D(N_+) \otimes U\mathfrak{h} \xrightarrow{-\otimes \text{ev}_\chi} D(N_+),$$

noting that  $\mathbb{C}[N_+]$  is naturally a  $D(N_+)$ -module. The resulting  $\mathfrak{g}$ -module is denoted by  $\mathbb{C}[N_+]_\chi$ .

**Theorem 4.6.** *There is an isomorphism between  $\mathfrak{g}$ -modules*

$$\mathbb{C}[N_+]_\chi \cong M_\chi^*.$$

*Proof.* We will prove the dual statement  $\mathbb{C}[N_+]_\chi^* \cong M_\chi$ . We first note that the Killing form identifies  $\mathfrak{n}_+^*$  with  $\mathfrak{n}_-$ . The exponential map identified  $N_+$  with  $\mathfrak{n}_+$ , and it is  $H$ -equivariant. It follows that the character of the dual  $\mathfrak{g}$ -module  $\mathbb{C}[N_+]_\chi^*$  can be computed as

$$\begin{aligned} \text{char } \mathbb{C}[N_+]_\chi^* &= \text{char } \mathbb{C}[N_+]_\chi = e^\chi \sum_{\lambda} \mathbb{C}[N_+]_\lambda e^\lambda \\ &= e^\chi \sum_{\lambda} S(\mathfrak{n}_+^*)_\lambda e^\lambda \\ &= e^\chi \sum_{\lambda} S(\mathfrak{n}_-)_\lambda e^\lambda \\ &= \text{char } M_\chi. \end{aligned}$$

Note that there is a pairing  $\langle -, - \rangle : U(\mathfrak{n}_+) \times \mathbb{C}[N_+] \rightarrow \mathbb{C}$  given by

$$\langle \alpha, f \rangle := (\alpha \cdot f)(1)$$

for  $\alpha \in U(\mathfrak{n}_+)$  and  $f \in \mathbb{C}[N_+]$ , where we view  $\alpha$  as a left-invariant differential operator on  $N_+$  via the identification  $U(\mathfrak{n}_+) \cong D(N_+)^{N_+}$ . Note the following properties of the pairing:

- The pairing is non-degenerate in the first argument. Indeed, if we have  $(\alpha \cdot f)(1) = 0$  for all  $f \in \mathbb{C}[N_+]$ , then by the left-invariance  $\alpha$  it follows that  $(\alpha \cdot f)(n) = 0$  for all  $f \in \mathbb{C}[N_+]$  and  $n \in N_+$ , hence  $\alpha = 0$ .
- The pairing is  $H$ -equivariant, so we can split it into pairings between the individual weight spaces. It follows that the induced pairing between the individual weight spaces is perfect.
- The pairing is  $U(\mathfrak{n}_+)$ -equivariant, i.e.,  $\langle \alpha\alpha', f \rangle = \langle \alpha', \alpha'f \rangle$  for  $\alpha, \alpha' \in U(\mathfrak{n}_+)$  and all  $f \in \mathbb{C}[N_+]$ .

As a consequence, there is an isomorphism  $U(\mathfrak{n}_+) \cong \mathbb{C}[N_+]^*$  as right  $U(\mathfrak{n}_+)$ -modules, and so there is an isomorphism  $\mathbb{C}[N_+]^* \cong U(\mathfrak{n}_-)$  as left  $U(\mathfrak{n}_-)$ -modules via the anti-isomorphism. We can see that  $\mathbb{C}[N_+]_\chi^*$  is a free  $U(\mathfrak{n}_-)$ -module generated by its highest weight vector. We conclude that  $\mathbb{C}[N_+]_\chi^* \cong M_\chi$  as  $\mathfrak{g}$ -modules.  $\square$

**Exercise 4.7.** 1. The algebra homomorphism  $U(\mathfrak{g}) \rightarrow D(B_+)^{H_r} = D(N_+) \otimes U(\mathfrak{h})$  restricts to an embedding  $\iota : U(\mathfrak{g})^G \rightarrow U(\mathfrak{h})$ .

Hint. The left- $B_+$ -invariant part  $(D(B_+)^{H_r})^{B_+}$  is precisely the left  $H$ -invariant part of  $(D(B_+)^{H_r})^{N_+}$ . The latter invariants coincide with  $U(\mathfrak{n}_+) \otimes U(\mathfrak{h})$ .

2. From Theorem 4.6, conclude that an element  $z$  of  $U(\mathfrak{g})^G$  acts on  $M_\chi^*$  by the scalar  $\text{ev}_\chi(\iota(z))$ .

3. Conclude that  $\iota$  coincides with the embedding used to construct the Harish-Chandra isomorphism.

Hint. The center  $Z(U(\mathfrak{g}))$  acts on  $M_\chi$  and  $M_\chi^*$  by the same scalars. To see this, note that the action is by scalars on both  $\mathfrak{g}$ -modules and recall that they have the same irreducible constituents.