

## Lecture 3.

1) Frobenius-constant quantization.

2) Derived equivalences.

1.0) Reminder:  $\mathbb{F}$  alg. closed field of char  $p$ ,  $X$  fin. type scheme/ $\mathbb{F}$ .

$\rightsquigarrow X^{(1)}$  scheme over  $\mathbb{F}$ . If  $X \subset \mathbb{A}^n$  closed (given by some equations), then  $X^{(1)}$  is given by equations, where we twist coefficients.

Connections between  $X$  &  $X^{(1)}$ .

- They are the same as schemes over  $\mathbb{F}_p$  but not over  $\mathbb{F}$ .
- Have morphism  $\text{Fr}: X \rightarrow X^{(1)}$ , its pullback is  $f \mapsto f^p$

This morphism is finite, bijective and if  $X$  is smooth,  $\text{Fr}$  is flat of  $\deg p^{\dim X}$  (exercise).

- If  $X$  is defined/ $\mathbb{F}_p$ , then  $X \simeq X^{(1)}$ .

Last time we've seen: for a smooth variety  $X_0$ ,  $\mathcal{D}_{X_0}$  can be viewed as Azumaya algebra on  $(T^*X_0)^{(1)}$ .  $\text{Fr}: T^*X_0 \rightarrow (T^*X_0)^{(1)} \rightsquigarrow$  sheaf of algebras  $\text{Fr}_* \mathcal{O}_{T^*X_0}$ , it's  $\mathbb{F}^\times$ -equiv ( $\mathbb{F}^\times$ -action comes from dilations on  $T^*X_0$ ) & sheaf of Poisson  $\mathcal{O}_{(T^*X_0)^{(1)}}$ -algebras.

Then  $\mathcal{D}_{X_0}$  can be viewed as a filtered quant'n of  $\text{Fr}_* \mathcal{O}_{T^*X_0}$ .

### 1.1 Frobenius constant quantizations

$X$  smooth variety/ $\mathbb{F}$   $\rightsquigarrow \text{Fr}: X \rightarrow X^{(1)} \rightsquigarrow \text{Fr}_* \mathcal{O}_X$  sheaf of Poisson  $\mathcal{O}_{X^{(1)}}$ -algebras.

For time being let  $X$  be affine,  $X = \text{Spec}(A)$ .

**Def'n:** Let  $\mathcal{R}_\hbar$  be formal quant'n of  $A$ . We say that  $\mathcal{R}_\hbar$  is **Frobenius constant** if  $\exists \iota$  c. w. central image that makes the

$$\begin{array}{ccc} & \mathcal{R}_\hbar & \\ \iota \nearrow & \downarrow & \searrow 1/\hbar \\ A^{(n)} & \xrightarrow{\alpha \mapsto \alpha^p} & A \end{array}$$

diagram commutative.

**Example:**  $X = T^*A^n$ ,  $A = \mathbb{F}[x_1, \dots, x_n, y_1, \dots, y_n]$ ,  $\mathcal{R} = \mathcal{D}(A^n) \rightsquigarrow$

$\mathcal{R}_\hbar$  :=  $\hbar$ -adically completed Rees algebra  $R_\hbar(\mathcal{R})$

$\mathcal{R}_\hbar = \mathbb{F}\langle x_i, y_i \rangle[[\hbar]] / ([x_i, x_j] = [y_i, y_j] = 0, [y_i, x_j] = \hbar \delta_{ij})$   
 is Frobenius constant w  $c(x_i) = x_i^p$ ,  $c(y_i) = y_i^p$ .

**Note:**  $\mathcal{R}_\hbar$  is actually  $A^{(n)}[[\hbar]]$ -algebra;

**Exercise:** •  $A^{(n)}[[\hbar]] = \text{center of } \mathcal{R}_\hbar$

$\mathcal{R}_\hbar$  is projective  $A^{(n)}[[\hbar]]$ -module of  $\text{rk} = p^{\dim X}$ .

Now we no longer assume  $X$  is affine  $\rightsquigarrow X^{(1)} \text{Spec}(\mathbb{F}[[\hbar]]) \rightsquigarrow$

formal neig'h'd of  $X^{(1)} \{0\}$ , to be denoted by  $X^{(1)}[[\hbar]]$

**Def'n:** A Frobenius constant quantization of  $\mathcal{Q}_X$  is a coherent sheaf of algebras  $\mathcal{D}_\hbar$  on  $X^{(1)}[[\hbar]]$  satisfying

- $\hbar$  is not a zero divisor in  $\mathcal{D}_\hbar$
- $\mathcal{D}_\hbar/(1/\hbar)$  is comm're.
- have Poisson algebra isom'm  $\mathcal{D}_\hbar/(1/\hbar) \xrightarrow{\sim} Fr_* \mathcal{Q}_X$ .

Example: • Take  $X = T^*X_0$ ,  $\mathcal{D}_X$  Azumaya algebra on  $X^{(1)}$ .

$\rightsquigarrow R_{\hbar}(\mathcal{D}_{X_0})$  sheaf of algebras on  $X^{(1)} \times \text{Spec } \mathbb{F}[[\hbar]] \rightsquigarrow$   
 $\hbar$ -adic completion  $\mathcal{D}_{\hbar}$ . It's Frob. const. quant'n.

- For a line bundle  $L$  on  $X_0 \rightsquigarrow \mathcal{D}_{X_0, L} \rightsquigarrow$  Frobenius constant quant'n (the center of  $\mathcal{D}_{X_0, L}$  is identified w.  $\mathcal{O}_{T^*X_0, 0}$ ). We'll prove this later.

1.2) Grading.  $\mathbb{F}^{\times} \curvearrowright X$  s.t.  $\{;\cdot\}$  has  $\deg = -1 \rightsquigarrow \mathbb{F}^{\times} \curvearrowright X^{(1)}$   
&  $\text{Fr}_* \mathcal{O}_X$  is  $\mathbb{F}^{\times}$ -equivariant. We can talk about a grading on  
a Frobenius constant quant'n  $\mathcal{D}_{\hbar}$ :

$\mathbb{F}^{\times} \curvearrowright \mathcal{D}_{\hbar}$  by alg. autom's making it an  $\mathbb{F}^{\times}$ -equiv't sheaf  
on  $X^{(1)}[[\hbar]]$  w.  $\deg \hbar = 1$ . Also require  $\mathcal{D}_{\hbar}/(\hbar) \xrightarrow{\sim} \text{Fr}_* \mathcal{O}_X$  is  
equiv't.

E.g.  $\mathcal{D}_{\hbar}$  from previous example has a grading.

Goal: exchange  $\mathcal{D}_{\hbar}$  for a filt'd quant'n that is a coherent  
sheaf of algebras on  $X^{(1)}$ .

Assumption: Assume  $X$  is projective over an affine scheme  $Y$   
 $\rightsquigarrow \mathbb{F}[X]$  is fin. gen'd & graded. Further assume that  $\mathbb{F}[X]_i = \{0\}$   
 $\nexists i < 0$ .

Fact (algebraization): the  $\hbar$ -adic completion functor

$$\text{Coh}^{\mathbb{F}^{\times}}(X^{(1)} \times \text{Spec } \mathbb{F}[[\hbar]]) \longrightarrow \text{Coh}^{\mathbb{F}^{\times}}(X^{(1)}[[\hbar]])$$

is equivalence.

$\mathcal{D}_x \in \text{Coh}^{\mathbb{F}^\times}(X^{(1)}[[\hbar]]) \rightsquigarrow \mathcal{D}_x^{\text{fin}} \in \text{Coh}^{\mathbb{F}^\times}(X^{(1)} \times \text{Spec } \mathbb{F}[[\hbar]]),$  sheaf of algebras  $\rightsquigarrow \mathcal{D} := \mathcal{D}_x^{\text{fin}}|_{X^{(1)} \times \{1\}}$ . This  $\mathcal{D}$  can be viewed as filtered Frobenius constant quant'n of  $\mathcal{O}_X$ .

Prop (Bezrukavnikov-Kaledin)  $\mathcal{D}$  is an Azumaya algebra on  $X^{(1)}$  (non-split)

Sketch the proof: Assumption  $\Rightarrow$  action  $\mathbb{F}^\times \curvearrowright X^{(1)}$  is contracting.  
Enough to check  $\forall x \in (X^{(1)})^{\mathbb{F}^\times} \Rightarrow \mathcal{D}_x$  is a matrix algebra of  $\text{rk } p^{\dim X/2}$ .  $\mathbb{F}[\text{Fr}^{-1}(x)]$  is graded (& Poisson) &  $\mathcal{D}_x$  is a filtered quant'n of this algebra.

Exercise: •  $\mathbb{F}[\text{Fr}^{-1}(x)]$  has no nontrivial Poisson ideals,

•  $\mathcal{D}_x$  has no nontrivial two-sided ideals.

$\Rightarrow \mathcal{D}_x \simeq \text{Mat}_{p^{\dim X/2}}(\mathbb{F}).$

□

## 2) Derived equivalences.

### 2.1) General result.

$\mathbb{F}$  is an arbitrary field,  $Y$  an affine var'y /  $\mathbb{F}$ ,  $X$  is projective scheme over  $Y$ . Assume  $X$  is smooth. Let  $\mathcal{R}$  be Azumaya algebra over  $X \rightsquigarrow \text{Coh}(\mathcal{R}) = \{\text{sheaves of } \mathcal{R}\text{-modules that are coherent over } \mathcal{O}_X\}$ .

**Theorem** (Bezrukavnikov-Kaledin): Assume that:

- (a)  $H^i(X, \mathcal{R}) = 0 \quad \forall i > 0$
- (b)  $\mathcal{A} := H^0(X, \mathcal{R})$  has finite homological dimension (i.e.  $\exists n > 0$ )  
s.t.  $\mathcal{A}$ -module has projective resolution of length  $\leq n$
- (c) The canonical bundle  $K_X$  of  $X$  is trivial.
- (d)  $X$  is connected.

Then the derived global section functor

$$R\Gamma: \mathcal{D}^b(\text{Coh } \mathcal{R}) \rightarrow \mathcal{D}^b(\mathcal{A}\text{-mod}) \text{ is an equivalence.}$$

Proof:

Step 1:  $\Gamma: \text{Coh } \mathcal{R} \rightarrow \mathcal{A}\text{-mod}$  has left adjoint

$$\text{Loc} := \mathcal{R} \otimes_{\mathcal{A}} \cdot \quad b/c \quad \Gamma = \text{Hom}_{\mathcal{R}}(\mathcal{R}, \cdot)$$

Have  $R\Gamma: \mathcal{D}^b(\text{Coh } \mathcal{R}) \rightarrow \mathcal{D}^b(\mathcal{A}\text{-mod})$ . In general,

$L\text{Loc}: \mathcal{D}^-(\mathcal{A}\text{-mod}) \rightarrow \mathcal{D}^-(\text{Coh } \mathcal{R})$ . Thx to (b) it restricts to  $\mathcal{D}^b$  and is left adjoint to  $R\Gamma$ .

Step 2: Claim  $R\Gamma \circ L\text{Loc} \simeq \text{id}_{\mathcal{D}^b(\mathcal{A}\text{-mod})}$   $R\Gamma(\mathcal{R})$

$$R\Gamma \circ L\text{Loc}(M) = R\text{Hom}_{\mathcal{R}}(\mathcal{R}, \mathcal{R} \otimes_{\mathcal{A}}^L M) \simeq \underbrace{R\text{Hom}_{\mathcal{R}}(\mathcal{R}, \mathcal{R})}_{R\Gamma(\mathcal{R})} \otimes_{\mathcal{A}}^L M$$

$$[R\Gamma(\mathcal{R}) = \mathcal{A}, \text{thx (a)}] = \mathcal{A} \otimes_{\mathcal{A}}^L M = M.$$

Step 3: Consider counit  $L\text{Loc} \circ R\Gamma \rightarrow \text{id}_{\mathcal{D}^b(\text{Coh } \mathcal{R})}$ . Want to show it's an isomorphism, equiv.  $\forall M \in \mathcal{D}^b(\text{Coh } \mathcal{R})$ , the cone,  $N$ , of

$L\text{Loc} \circ R\Gamma(M) \rightarrow M$  is zero. Note  $R\Gamma(N) = 0 \iff$

$$\text{Hom}_{\mathcal{D}^b(\text{Coh } \mathcal{R})}(L\text{Loc}(\cdot), N) = 0.$$

Step 4: Notation:  $\mathcal{E} := \mathcal{D}^b(\text{Coh } \mathcal{R})$ ,

$\mathcal{D} := \mathcal{D}^b(\mathcal{A}\text{-mod}) \xrightarrow[\mathcal{L}\text{-Loc}]{} \mathcal{C}$  - full triangulated subcategory.

$$\mathcal{D}^\perp := \{N \in \mathcal{C} \mid \text{Hom}_{\mathcal{C}}(\cdot, N) = 0 \nparallel ? \in \mathcal{D}\}.$$

We want to show that  $\mathcal{D}^\perp = {}^\perp \mathcal{D}$ .

$${}^\perp \mathcal{D} = \{N' \in \mathcal{C} \mid \text{Hom}_{\mathcal{C}}(N', ?) = 0 \nparallel ? \in \mathcal{D}\}.$$

Assume for a moment that  $\mathcal{D}^\perp = {}^\perp \mathcal{D}$ . Then  $\mathcal{C} = \mathcal{D} \oplus \mathcal{D}^\perp$ .

Condition (d)  $\Rightarrow \mathcal{C}$  is indecomposable. So since  $\mathcal{D} \neq \{0\} \Rightarrow$   
 $\mathcal{D}^\perp$  is zero. This finishes the proof modulo  ${}^\perp \mathcal{D} = \mathcal{D}^\perp$ .

Step 5: We'll show  ${}^\perp \mathcal{D} = \mathcal{D}^\perp$

Serre duality for smooth projective varieties: if  $X_0$  is smooth projective variety, then

$$R\text{Hom}_{\mathcal{D}^b(\text{Coh } X_0)}(\mathcal{F}, \mathcal{G})^* \xrightarrow{\sim} R\text{Hom}_{\mathcal{D}^b(\text{Coh } X_0)}(\mathcal{G}, \mathcal{F} \otimes K_{X_0}[\dim X_0])$$

In we work w.  $X \rightarrow Y$  instead of  $X_0$ , just replace  $\bullet^*$  w.

$R\text{Hom}_{\mathcal{F}[Y]}(\cdot, \omega_Y)$ ,  $\omega_Y$  is the dualizing complex in  $\mathcal{D}^b(\mathcal{F}[Y]\text{-mod})$

↑ Then this generalizes to  $\text{Coh}(R)$ : equivalence.

$$R\text{Hom}_{\mathcal{D}^b(\mathcal{F}[Y]\text{-mod})}(R\text{Hom}_{\mathcal{D}^b(\text{Coh } R)}(\mathcal{F}, \mathcal{G}), \omega_Y) \xrightarrow{\sim}$$

$$R\text{Hom}_{\mathcal{D}^b(\text{Coh } R)}(\mathcal{G}, \mathcal{F} \otimes K_X[\dim X])$$

So  $[R\text{Hom}_{\mathcal{D}^b(\text{Coh } R)}(\mathcal{F}, \mathcal{G}) = 0 \Leftrightarrow R\text{Hom}_{\mathcal{D}^b(\text{Coh } R)}(\mathcal{G}, \mathcal{F}[\dim X]) = 0]$   
 $\Rightarrow {}^\perp \mathcal{D} = \mathcal{D}^\perp$   $\square$

## 2.2) Derived equivalences from quantizations.

$\mathbb{F}$  is a field. Let  $X$  be a symplectic smooth  $\mathbb{F}$ -variety w. form  $\omega$  &  $\{ \cdot, \cdot \}$ ;  $\mathbb{F}^* \curvearrowright X$  s.t.  $\deg \{ \cdot, \cdot \} = -1 \rightsquigarrow \mathbb{F}[X]$  is graded Poisson algebra.

Def: Say  $X$  is a **conical symplectic resolution** if

- (i)  $\mathbb{F}[X]$  is finitely generated  $\rightsquigarrow Y := \text{Spec } \mathbb{F}[X]$  &  $\pi: X \rightarrow Y$ .
- (ii)  $\pi$  is a projective resolution of singularities.
- (iii)  $\mathbb{F}[X]_0 = \mathbb{F}$ ,  $\mathbb{F}[X]_i = \{0\} \nmid i < 0$ .

Rem:  $X$  satisfies conditions (c) & (d) of Thm. (exercise)

Ex:  $G$  semisimple alg'c group ( $\text{char } \mathbb{F} = 0$  or not too small)

$B \subset G$  Borel,  $X = T^*(G/B)$ ,  $Y = \text{nilpotent cone in } \mathfrak{g}^*$ ,

$\pi: X \rightarrow Y$ , Springer resolution.

E.g.  $G = SL_2$ ,  $X = T^*B'$ ,  $Y = \{(x, y, z) / x^2 + y^2 + z^2 = 0\}$ ,  $\pi: X \rightarrow Y$

is blow-up at 0.

Setting: Conical sympl'c resolution  $X_Q \xrightarrow{\pi_Q} Y_Q$ . These data

are defined over a finite localization  $R$  of  $\mathbb{Z}$   $\rightsquigarrow$

$\pi_R: X_R \rightarrow Y_R$ . For  $p \gg 0$  & alg. closed  $\mathbb{F}$  of char  $p$ , have

$\pi_{\mathbb{F}}: X_{\mathbb{F}} = \text{Spec } (\mathbb{F}) \times_{\text{Spec}(R)} X_R \rightarrow Y_{\mathbb{F}}$ . Since  $p \gg 0$ , this is still a conical sympl'c resolution.

Suppose  $\mathcal{D}$  is a filtered Frobenius constant quantization of  $\mathcal{O}_X$   
(hence Azumaya algebra on  $X^{(n)}$ )

Thm: Under assumptions above,  $H^i(X_F^{(n)}, \mathcal{D}) = 0 \quad \forall i > 0$