

# Quantum Groups at a Root of unity

So far studied  $U_q(g)$  a  $k$ -algebra with  $q \in k^\times$ .  
If  $q$  not a root of unity then

$\text{Rep}(U_q(g)) \not\rightarrow \text{Rep}(U(g))$  in char. 0.

When  $q$  is a root of unity then  $\text{Rep}(U_q(g))$  behaves more like  $\text{Rep}(U(g))$  in char.  $p > 0$

What is  $U_q(g)$  when  $q$  is a root of unity?

De Concini - Kac

Lusztig

## Jantzen's Book

- $v$  an ind. /  $\mathbb{C}$
- $k = \mathbb{C}(v)$  field of frac. of  $\mathbb{C}[v, v^{-1}]$
- $U_v = U_k(g)$  the  $k$ -alg with gens.  
 $E_\alpha, F_\alpha, K_\alpha^\pm$  ( $\alpha \in \Pi$ ).

Roughly, De Concini - Kac study the  $\mathbb{C}[v, v^{-1}]$ -subalgebra of  $U_v$  gen. by

$E_\alpha, F_\alpha, K_\alpha^\pm, \frac{K_\alpha - K_\alpha^{-1}}{v - v^{-1}}$  ( $\alpha \in \Pi$ ).

Lusztig constructs a  $\mathbb{Z}[v, v^{-1}]$  alg. by using divided powers of the gens.

→ Analogous to Kostant's  $\mathbb{Z}$ -form of  $U(g)$ .

First, we recap the char.  $p$  story.

# Affine Algebraic Groups

$R$  any commutative (unital) ring  
 $k$ -algebras are commutative and associative.

$k$ -group scheme is a representable functor

$$G = \text{Hom}_{k\text{-alg}}(k[G], -) : \{k\text{-alg}\} \rightarrow \{\text{grps}\}$$

We assume it's algebraic so we have

$$\underbrace{k[T_1, \dots, T_s]}_{\text{poly. ring}} \longrightarrow k[G]$$

## Example

$V \cong k^n$  a free  $k$ -module. Have a functor  $\vee$  s.t.

$$\vee(A) = (V \otimes_k A, +) \cong (A^n, +).$$

$k[\vee] = S(V^*) \cong k[T_1, \dots, T_n]$ . Special case:

$$G_a = \underline{R} \text{ with } k[G_a] = k[T].$$

The usual group axioms make  $k[G]$  into a Hopf algebra.

$$\begin{array}{lll} m_G : G \times G \rightarrow G & \longleftrightarrow & \Delta_G : k[G] \rightarrow k[G] \otimes k[G] \\ 1_G : * \rightarrow G & \longleftrightarrow & \varepsilon_G : k[G] \rightarrow k \\ i_G : G \rightarrow G & \longleftrightarrow & \sigma_G : k[G] \rightarrow k[G] \end{array}$$

## Examples

- $G = \mathbb{G}_a \rightsquigarrow k[G] = k[T]$   
 $\rightsquigarrow \Delta_G(T) = 1 \otimes T + T \otimes 1$
- $G = \mathbb{G}_m \rightsquigarrow k[G] = k[T, T^{-1}]$   
 $\rightsquigarrow \Delta_G(T) = T \otimes T$ .

## Base Change

Suppose we have a ring hom  $k \rightarrow k'$ . Then we get a  $k'$ -group scheme

$$G_{k'} = \text{Hom}(k' \otimes_k k[G], -).$$

## Derivations

Let  $A$  be a  $k$ -alg. and  $M$  an  $A$ -mod. Say  $D: A \rightarrow M$  is a  $k$ -derivation if it is  $k$ -linear and

$$D(fg) = f \cdot D(g) + g \cdot D(f)$$

for all  $f, g \in A$ . Let  $\text{Der}_k(A, M)$  be the set of all  $k$ -derivations.

Exercise:  $\text{Der}_k(A, A)$  is a Lie algebra with Lie bracket

$$[D, D'] = D \circ D' - D' \circ D.$$

Let  $\mathcal{D}_G = \text{Der}_k(k[G], k[G])$ . A derivation  $D \in \mathcal{D}_G$  is called left invariant if

$$\Delta_G \circ D = (\text{Id} \otimes D) \circ \Delta_G$$

It is easily checked that if  $D, D' \in \mathcal{D}_G$  are left invariant then

$$\Delta_G \circ [D, D'] = (\text{Id} \otimes [D, D']) \circ \Delta_G$$

so  $[D, D']$  is also left invariant.

### Definition

The Lie algebra is the subalgebra  $\text{Lie}(G) \subset \mathcal{D}_G$  of left invariant derivations.

### Translation Actions

The group  $G(k)$  acts on itself via left translations. This determines a hom

$$\lambda: G(k) \rightarrow \text{GL}(k[G])$$

as follows.

Firstly, for each  $k$ -alg.  $A$  and  $f \in k[G]$  we get a hom

$$\begin{aligned} f_A : G(A) &\rightarrow A \\ g &\mapsto g(f). \end{aligned}$$

This is natural in  $A$  and gives an identification

$$k[G] \cong \text{Nat}(G, A').$$

For each  $g \in G(k)$  and  $f \in k[G]$  we define  $\lambda(g)f \in k[G]$  by setting

$$(\lambda(g)f)_A(x) = f_A(g^{-1}x)$$

for all  $x \in G(A)$ .

The left invariant derivations satisfy

$$\lambda(g) \circ D = D \circ \lambda(g) \quad g \in G(k).$$

To see the connection we apply this discussion to  $G \times G$  to get

$$k[G] \otimes k[G] \cong \text{Nat}(G \times G, A').$$

In this way we have

$$(\Delta_G f)_A(x, y) = f_A(x+y)$$

for all  $b$ -alg.  $A$  and  $x, y \in G(A)$ .

Another Interpretation

Let  $\epsilon_\varepsilon$  be the 1-dim  $k[G]$ -mod defined by

$$f \cdot a = \varepsilon(f)a \quad f \in k[G], a \in k.$$

The following gives another interpretation of  $\text{Lie}(G)$ .

## Proposition

The natural map  $\text{Lie}(G) \rightarrow \text{Der}_k(k[G], k_E)$  given by  $D \mapsto E_G \circ D$  is an isomorphism.

Proof: If  $D \in \text{Lie}(G)$  then

$$\begin{aligned} D &= (\text{Id} \otimes E) \circ D \circ D = (\text{Id} \otimes E) \circ (\text{Id} \otimes D) \circ D \\ &= (\text{Id} \otimes E \circ D) \circ D \end{aligned}$$

So the map is injective. On the other hand one checks that if  $D \in \text{Der}_k(k[G], k_E)$  then

$$(\text{Id} \otimes D) \circ D \in \mathcal{D}_G$$

is left invariant.

□

## One More Identification

Let  $k[S]$  be the dual numbers with  $S^2 = 0$ . The natural map  $\phi: k[S] \rightarrow k$  given by  $\phi(S) = 0$

gives a group homomorphism

$$\phi^*: G(k[S]) \rightarrow G(k).$$

We want to identify  $\text{Lie}(G)$  with  $\ker(\phi^*)$ .

Now  $g \in G(k[S])$  is in the kernel iff

$$E_G = k[G] \xrightarrow{g} k[S] \xrightarrow{\phi} k.$$

Let  $M = \ker(E_G) = \{f \in k[G] \mid f(1) = 0\}$  be the augmentation ideal. Then  $g$  factors through

$$k[G]/M^2 \cong k[1] \oplus M/M^2$$

because  $k[G] = k[1] \oplus M$ . Hence, we have a map  $D_g: M/M^2 \rightarrow k$  such that

$$g: (a, b) \mapsto E(a) + D_g(b)S$$

Now, for any  $a_i, b_i \in k$  we have

$$(a_1 + b_1 s)(a_2 + b_2 s) = a_1 a_2 + (a_1 b_2 + a_2 b_1)s$$

It follows that we have a map

$$\begin{aligned} \text{Ker}(\phi^*) &\rightarrow \text{Der}_k(k[G], k_{\mathcal{E}}) \\ g &\mapsto Dg \end{aligned}$$

and this is an isomorphism. Hence

$$\text{Lie}(G) \cong \text{Hom}_k(m/m^2, k)$$

### The p-map on $\text{Lie}(G)$

Assume now that  $\text{char}(k) = p > 0$ . If  $D \in \mathcal{D}_G$  is a derivation then by Leibniz's formula we have

$$\begin{aligned} D^p(f f') &= \sum_{i=0}^p \binom{p}{i} D^i(f) D^{p-i}(f') \\ &= f D^p(f') + f' D^p(f). \end{aligned}$$

Hence  $D^p \in \mathcal{D}_G$ . The map  $D \mapsto D^p$  on  $\mathcal{D}_G$  restricts to a map  ${}^{[p]}: \text{Lie}(G) \rightarrow \text{Lie}(G)$ .

### Proposition

Any  $k$ -alg  $A$  is a Lie algebra with Lie bracket  $[a, b] = ab - ba$ . Moreover,

$$(i) \text{ad}(a)^p = \text{ad}(a^p) \text{ for all } a \in A.$$

$$(ii) (a+b)^p = a^p + b^p + \sum_{0 \leq r \leq p} S_r(a, b)$$

where  $S_r(a, b)$  is a functional combination of Lie monomials involving  $a$  and  $b$ .

Exercise : Show (i).

This shows that any  $k$ -alg. is a  $p$ -restricted or  $p$ -Lie algebra, which is a Lie algebra  $\mathfrak{g}$  with a map  $X \mapsto X^{[p]}$  such that:

- (i)  $\text{ad}(X^{[p]}) = \text{ad}(X)^p$
- (ii)  $(cX)^{[p]} = c^p X^{[p]}$  all  $c \in k$
- (iii)  $(X+Y)^{[p]} = X^{[p]} + Y^{[p]} + \sum_{0 < r < p} S_r(X, Y).$

### Examples

- $G = \mathbb{G}_a$ . As  $k[G] = k[T]$  then

$$\mathcal{D}_G = \{ f \frac{\partial}{\partial T} \mid f \in k[G] \}$$

The  $k$ -span of  $\frac{\partial}{\partial T}$  gives  $\text{Lie}(G)$ . We have  $X^{[p]} = 0$  because  $(\frac{\partial}{\partial T})^p = 0$ .

- $G = \mathbb{G}_m$ . Get  $\text{Lie}(G)$  is the  $k$ -span of  $X = T \frac{\partial}{\partial T}$ . We have  $X^{[p]} = X$ .