

# Quantizations in char $p$ , Lecture 10.

## RCA's & lifting $\mathcal{P}$ to characteristic 0.

0) Recap:  $X_{\mathbb{F}} := \text{Hilb}_n(\mathbb{F}^2)$ . We have: a  $\mathbb{G}_m$ -equivariant vector bundle  $\mathcal{P}_{\mathbb{F}}$  on  $X_{\mathbb{F}}$  w.  $\text{End}(\mathcal{P}_{\mathbb{F}}) = \mathbb{F}[V] \# S_n$  (as graded  $\mathbb{F}[V]^{S_n}$ -algebras)  
 $\text{Ext}^i(\mathcal{P}_{\mathbb{F}}, \mathcal{P}_{\mathbb{F}}) = 0 \quad \forall i > 0$ .

Need: to lift  $\mathcal{P}$  to char 0. Steps to do this:

(i)  $\mathcal{P}_{\mathbb{F}}$  is defined over  $\mathbb{F}_q \hookrightarrow \mathcal{P}_{\mathbb{F}_q}$  on  $X_{\mathbb{F}_q}$

(ii)  $S :=$  alg. extension of  $\mathbb{Z}$  w. residue field  $\mathbb{F}_q$ ,  $\mathfrak{m} := \ker [S \rightarrow \mathbb{F}_q]$   
 $\hookrightarrow$  completion  $\hat{S}$ ;  $\hat{X}_S =$  formal neighb. of  $X_{\mathbb{F}_q}$  in  $X_S$ , formal scheme.

Can deform  $\mathcal{P}_{\mathbb{F}_q}$  to a  $\mathbb{G}_m$ -equivariant vector bundle  $\hat{\mathcal{P}}_S$  on  $\hat{X}_S$  (b/c

$\text{Ext}^i(\mathcal{P}_{\mathbb{F}_q}, \mathcal{P}_{\mathbb{F}_q}) = 0, i=1,2$ ). Thx to  $\mathbb{G}_m$ -equiv're can extend  $\hat{\mathcal{P}}_S$  to  
vector bundle  $\hat{\mathcal{P}}_{\hat{S}}$  on  $\hat{X}_{\hat{S}}$ .

(iii) Use  $\hat{S} \hookrightarrow \mathbb{C} \hookrightarrow \mathcal{P}_{\mathbb{C}}$ .

Want to show:  $\text{End}(\hat{\mathcal{P}}_S) \xrightarrow{\sim}$  the  $\mathbb{m}$ -adic completion of  $S[V] \# S_n$ .

We know  $\text{End}(\hat{\mathcal{P}}_S) \otimes_{\hat{S}} \mathbb{F}_q \xrightarrow{\sim} \mathbb{F}_q[V] \# S_n$

Rem: In def'n of Procesi bundle: two normalization conditions  
(achieved by twisting w. line bundle (passing to dual)) & more  
equivariance: w.r.t.  $T = (\mathbb{C}^\times)^2$ , so far only have equiv'e w.r.t.  
diagonal  $\mathbb{C}^\times$ . To recover  $T$ -equivariance: to track the construction  
(Lec 4) or use classification (uniqueness).

## 1) Rational Cherednik algebras.

Slight change of setting: Before  $\mathfrak{h} = \mathbb{C}^n$ , Cartan in  $\mathfrak{o}_{\mathfrak{h}}$

Now  $\mathfrak{h}$  = refl. n rep'n of  $S_n$ , now irreducible ( $\odot$ ), Cartan in  $\mathfrak{o}_{\mathfrak{h}}$ .

$V = \mathfrak{h} \oplus \mathfrak{h}^*$ ,  $R := \mathfrak{o}_{\mathfrak{h}} \oplus \mathbb{C}^n \xrightarrow{\mu} T^*R \rightarrow \mathfrak{o} = \mathfrak{o}_{\mathfrak{h}} \cong X, Y$

Old  $X = \text{new } X \times \mathbb{A}^2$ . Have Procesi bundle on new  $X, P$ ;

old  $P \cong \text{new } P \otimes \mathcal{O}_{\mathbb{A}^2}$ .

$H_0 := \mathbb{F}[V] \# S_n$ . I'm interested in graded deformations of  $H_0$ : graded algebras  $H_{\beta}$  over  $\mathbb{F}[\beta]$ ,  $\beta$  is finite dim'l vector space s.t.

- $H_{\beta}$  is free over  $\mathbb{F}[\beta]$
- $\beta^* \subset \mathbb{F}[\beta]$  has degree 2
- $H_{\beta}/(\beta) \xrightarrow{\sim} H_0$  (as graded algebras)

Turns out  $\exists$  universal such deformation  $H_{t,c}$  over  $\mathbb{F}[t,c]$

"Universal" means:  $\exists! \beta \rightarrow \text{Span}_{\mathbb{F}}(t,c)$  (linear map) & graded algebra

so  $H_{\beta} \xrightarrow{\sim} \mathbb{F}[\beta] \otimes_{\mathbb{F}[t,c]} H_{t,c}$  of deform'sns of  $H_0$ .

$$H_{t,c} = T(V) \# S_n[t,c] / \left( \begin{array}{l} [y, y'] = [x, x'] = 0, y, y' \in \mathfrak{h}, x, x' \in \mathfrak{h}^* \\ [y, x] = t \langle y, x \rangle - c \sum_{i < j} (x_i - x_j)(y_i - y_j) \langle ij \rangle \end{array} \right)$$

↑  
universal RCA

coordinates  $\in \mathbb{C}$      $\in S_n$

How to see universal property: computation of suitable graded components of  $HH^i(\mathbb{F}[V] \# S_n)$ ,  $i = 1, 2, 3$ .

$$\tau, \gamma \in \mathbb{F} \rightsquigarrow H_{\tau, \gamma} = H_{t,c} / (t - \tau, c - \gamma) \rightsquigarrow e H_{\tau, \gamma} e, e = \frac{1}{|S_n|} \sum_{\sigma \in S_n} \sigma \in \mathbb{F} S_n.$$

Fact 1 (Etingof-Ginzburg): TFAE:

- (i)  $eH_{\mathbb{C}}, e$  is commutative
- (ii)  $\tau = 0$ .

Notation  $H_c := H_{t_c} / (t)$ .

2) Deformation of Hilbert scheme & geometric meaning of  $H_c$ .

$z$ : center of  $g = gl_n$ , scalar matrices.

$$z \in g \backslash \{0\} \hookrightarrow \mu^{-1}(z) \subset T^*R$$

Fact 2: all  $G$ -orbits in  $\mu^{-1}(z)$  are free, so closed

$\hookrightarrow$  affine variety  $\mu^{-1}(z)/G$ , Calogero-Moser space.

Universal reductions:  $X_z := \mu^{-1}(z) //^\theta G$ ,  $Y_z := \mu^{-1}(z) // G \hookrightarrow$

$$X_z \longrightarrow Y_z \longrightarrow z$$

The fiber of  $X_z$  over 0 is  $X$ , over  $z \neq 0$ , it's  $\mu^{-1}(z)/G$

$$\dots \dots Y_z \dots \dots Y \dots \dots \dots \dots$$

$T \ni X_z, Y_z$  containing contracting torus.

Thm (Etingof-Ginzburg)  $\exists$  graded algebra isomorphism

$$\mathbb{F}[Y] \xrightarrow{\sim} eH_c e \text{ (linear } \mathbb{F}_c \rightarrow z^*) \text{ deforms } \mathbb{F}[Y] \xrightarrow{\sim} eH_0 e.$$

Q: To extend this to isomorphism w target  $H_c$

Since  $\text{Ext}^i(P, P) = 0$  for  $i=1, 2$ , can uniquely deform it to formal neighborhood of  $X$  in  $X_{\bar{z}}$ , then use  $\mathbb{G}_m$ -equiv to extend to  $X_{\bar{z}}$ . Denote the result by  $P_{\bar{z}}$ . Notice:

- $\text{Ext}^i(P_{\bar{z}}, P_{\bar{z}}) = 0 \forall i > 0$
- $\text{End}(P_{\bar{z}})/(\bar{z}^*) \xrightarrow{\sim} \text{End}(P) = H_0$ .

*Thm (I.L.)*  $\text{End}(P_{\bar{z}}) \xrightarrow{\sim} H_c$ , an iso of graded  $\mathbb{F}[Y_{\bar{z}}] \simeq eH_ce$ -algebras and of deformations of  $H_0$ .

Sketch of proof:  $\text{End}(P_{\bar{z}})$  is deformation of  $H_0$ . Use universal property of  $H_{t,c} \cong \bar{z} \rightarrow \text{Span}_{\mathbb{F}}(t, c)$  s.t.

$$\text{End}(P_{\bar{z}}) \xrightarrow{\sim} \mathbb{F}[Y_{\bar{z}}] \otimes_{\mathbb{F}[t,c]} H_{t,c}$$

$\Downarrow$

$$\mathbb{F}[Y_{\bar{z}}] = e \text{End}(P_{\bar{z}}) e \xrightarrow{\sim} \mathbb{F}[Y_{\bar{z}}] \otimes_{\mathbb{F}[t,c]} eH_{t,c}e$$

commutative  $\Rightarrow \text{im } \bar{z} \subset \mathbb{F}c$  by Fact 1.

Also  $\mathbb{F}[Y_{\bar{z}}]$  is nontrivial deformation of  $\mathbb{F}[Y]$   $\Rightarrow$  the map

$\bar{z} \rightarrow \mathbb{F}c$  is nonzero. □

### 3) Lifting to char 0:

•  $\Gamma(P_{\bar{z}}) = [eP_{\bar{z}} \simeq \mathcal{O}_{X_{\bar{z}}} \text{ b/c of uniqueness of deformation}] = \Gamma(P_{\bar{z}} \otimes P_{\bar{z}}^*)e$   
 $= \text{End}(P_{\bar{z}})e = H_c e$ , an iso of  $\mathbb{F}[Y_{\bar{z}}] = eH_ce$ -modules

•  $X_{\bar{z}} \rightarrow Y_{\bar{z}}$  is an isomorphism outside of  $\text{codim } 3(\text{!!!})$  locus in  $Y_{\bar{z}}$

b/c it's an isomorphism over  $\mathbb{Z} \setminus \{0\}$  &  $X \rightarrow Y$  is iso outside codim 2 locus in  $Y$ :  $Y \setminus (V^o/S_n)$ .

Let  $X_3^o \hookrightarrow X_3, Y_3$  is locus of isomorphism,  $\mathcal{P}_3^o := \mathcal{P}_3|_{X_3^o}$ .

**Exercise:**  $\text{End}(\mathcal{P}_3) \xrightarrow{\sim} \text{End}(\mathcal{P}_3^o)$ .

$\mathcal{P}_3$  is defined over  $\mathbb{F}_q$ ,  $X_{3, \mathbb{F}_q} \subset X_{3, S} \rightsquigarrow$  formal neighb'd  $\hat{X}_{3, S}$  &  $\hat{X}_{3, S}^o$ -formal neighb'd of  $X_{3, \mathbb{F}_q}^o \subset X_{3, S}^o$ .

$\text{Ext}^i(\mathcal{P}_{3, \mathbb{F}_q}, \mathcal{P}_{3, \mathbb{F}_q}) = 0, i=1, 2 \rightsquigarrow$  unique deformation  $\hat{\mathcal{P}}_{3, S}$ , vector bundle on formal scheme  $\hat{X}_{3, S}$ :  $\hat{\mathcal{P}}_{3, S}^o := \hat{\mathcal{P}}_{3, S}|_{\hat{X}_{3, S}^o}$ , deformation of  $\mathcal{P}_{3, \mathbb{F}_q}^o$ .

Notice:  $\text{End}(\hat{\mathcal{P}}_{3, S}) \xrightarrow{\sim} \text{End}(\hat{\mathcal{P}}_{3, S}^o)$ .

**Main Lemma:**  $\text{End}(\hat{\mathcal{P}}_{3, S}^o) \xrightarrow{\sim} \hat{H}_{\zeta, S}$ ,  $\mathbb{G}_m$ -equivariant isomorphism of algebras over  $S[\hat{X}_{3, S}] = e \hat{H}_{\zeta, S} e$ .

**Proof:** Step 1: **Claim:**  $\text{Ext}^1(\mathcal{P}_{3, \mathbb{F}_q}^o, \mathcal{P}_{3, \mathbb{F}_q}^o) = 0$ .

$\mathcal{P}_{3, \mathbb{F}_q}^o$  is vector bdl  $\rightsquigarrow \parallel$

$$H^1(X_{3, \mathbb{F}_q}^o, \text{End}(\mathcal{P}_{3, \mathbb{F}_q}^o))$$

$$\text{End}(\mathcal{P}_{3, \mathbb{F}_q}) \xrightarrow{\sim} H_{\zeta, \mathbb{F}_q} \Rightarrow \text{End}(\mathcal{P}_{3, \mathbb{F}_q}^o) = \text{End}(\mathcal{P}_{3, \mathbb{F}_q})|_{X_{3, \mathbb{F}_q}^o} = H_{\zeta, \mathbb{F}_q}|_{X_{3, \mathbb{F}_q}^o}$$

Subclaim:  $H_{\zeta, \mathbb{F}_q}$  is maximal Cohen-Macaulay (CM) module over  $\mathbb{F}[\gamma]$ .

Reason:  $\mathbb{F}[v]$  is CM ring  $\Rightarrow$  (maximal) CM module over  $\mathbb{F}[v]^{S_n} = \mathbb{F}[\gamma]$

$$\Rightarrow H_0 = \mathbb{F}[v] \# S_n \cong (\mathbb{F}[v]^{\oplus 1})^{S_n}$$

$\Rightarrow H_0$  is (max) CM  $\mathbb{F}[\gamma]$ -module as deformation of (max) CM module. Proves subclaim.

How does this imply the claim?

Let  $Z$  be affine scheme,  $Z_0$  closed subscheme:

(i) if  $\mathcal{F}$  is max. CM  $\mathcal{O}_Z$ -module, then  $H_{Z_0}^i(\mathcal{F}) = 0 \quad \forall i < \text{codim}_{Z_0} Z_0$ .

(ii)  $H^{i-1}(Z \setminus Z_0, \mathcal{F}) \xrightarrow{\sim} H_{Z_0}^i(\mathcal{F}) \quad \forall i > 1$ : use exact sequence

$$\dots \rightarrow H_{Z_0}^j(\mathcal{F}) \rightarrow H^j(Z, \mathcal{F}) \rightarrow H^j(Z \setminus Z_0, \mathcal{F}) \rightarrow H_{Z_0}^{j+1}(\mathcal{F}) \rightarrow \dots$$

Since  $\text{codim}_{Y_{3, \mathbb{F}_q}} Y_{3, \mathbb{F}_q} \setminus X_{3, \mathbb{F}_q}^\circ = 3 \Rightarrow \text{Ext}^1(P_{3, \mathbb{F}_q}^\circ, P_{3, \mathbb{F}_q}^\circ) =$

$$H^1(X_{3, \mathbb{F}_q}^\circ, H_{S, \mathbb{F}_q}) = 0. \quad \text{Finishes Step 1.}$$

max. CM by Subclaim

Step 2:  $\text{Ext}^1(P_{3, \mathbb{F}_q}^\circ, P_{3, \mathbb{F}_q}^\circ) = 0 \Rightarrow$  the deformation of  $P_{3, \mathbb{F}_q}^\circ$  to a sheaf of  $\hat{X}_{3, S}^\circ$  (flat over  $\hat{S}$ ) is unique if it exists: the set of lifts from  $S/\mathfrak{m}^k$  to  $S/\mathfrak{m}^{k+1}$  is an affine space w. vector space  $\mathfrak{m}^k/\mathfrak{m}^{k+1} \otimes \text{Ext}^1(\dots)$ .

But we have a lift  $\hat{H}_{\zeta, S}^\circ e$ . So  $\hat{P}_{3, S}^\circ \cong \hat{H}_{\zeta, S}^\circ e$ , an isom. of  $\mathbb{G}_m$ -equiv't bundles on  $\hat{X}_{3, S}^\circ$ .

$$\text{End}(\hat{P}_{3, S}^\circ) = \Gamma(\text{End}(\hat{P}_{3, S}^\circ)) = \Gamma(\text{End}(\hat{H}_{\zeta, S}^\circ e)) = \Gamma(\hat{H}_{\zeta, S}^\circ) = \hat{H}_{\zeta, S}. \quad \square$$

Cor:  $\text{End}(\hat{P}_S) \xrightarrow{\sim} \hat{H}_{\mathcal{O}, S}$

Proof:  $\text{End}(\hat{P}_S) = \text{End}(\hat{P}_{\mathfrak{z}, S}) / (\mathfrak{z}^*) \xrightarrow{\sim} \text{End}(\hat{P}_{\mathfrak{z}, S}^\circ) / (\mathfrak{z}^*) \xrightarrow{\sim} \hat{H}_{\mathcal{O}, S} / (c) = \hat{H}_{\mathcal{O}, S}$   $\square$

### 1.4) Comments:

1) In [BK], different approach is used: first handle  $\dim V=2$ , then reduce the general case to this using techniques similar to Sec 1.3.

2) Deformation over  $\mathfrak{z}$  is useful for several reasons:

- We have similar  $P_{\mathfrak{z}}$  over  $\mathbb{C}$ .

- it allows to use uniqueness of Procesi bundle: recover

$P_{\mathfrak{z}}|_{X_{\mathfrak{z}}^\circ}$  from  $\Gamma(P_{\mathfrak{z}}|_{X_{\mathfrak{z}}^\circ}) = H_c e$ .

- next lecture: we'll this deformation as one (of two) ingredients to establish Macdonald positivity.

- Rational Cherednik algebras are just COOL!!!