

Quantized symplectic singularities & applications to Lie theory, Lecture 3.

- 1) \mathbb{Q} -factorial terminalizations.
- 2) The case of nilpotent covers.
- 3) Complements.

1) \mathbb{Q} -factorial terminalizations.

Below X is a conical symplectic singularity, i.e. X is (singular) symplectic & $A = \mathbb{C}[X]$ is graded so that $A = \bigoplus_{i \geq 0} A_i$ w. $A_0 = \mathbb{C}$ & $\deg \{; -\} = -d \in \mathbb{Z}_{< 0}$. In Section 3 of Lec 2 we have stated that filtered quantizations of A are parameterized by pts of a certain vector space, \mathfrak{h}_X^* (modulo a finite group action). We have stated that if Y is a symplectic resolution of X , then $\mathfrak{h}_X^* = H^*(Y, \mathbb{C})$. In this lecture we'll explain what happens in the general case (and in a bonus section explain how to recover \mathfrak{h}_X^* from X).

If X doesn't have a symplectic resolution, the story is more complicated. An arbitrary resolution won't help (e.g. H^i 's depend on the resolution). Instead, we should be looking at a "maximal partial Poisson resolution" $\pi: Y \rightarrow X$, where

- Y is a Poisson variety, possibly singular
- π is a birational proper map that is Poisson meaning that

for $f, g \in \mathbb{C}[X] \Rightarrow \{\pi^*(f), \pi^*(g)\} = \pi^*(\{f, g\})$.

• "Maximal" means that if Y' is another Poisson variety & $\pi': Y' \rightarrow Y$ another proper birational Poisson morphism, then π' is an isomorphism. For example, this implies Y is normal: otherwise for $\pi': Y' \rightarrow Y$ take the normalization morphism. One can show that $\{\cdot, \cdot\}$ extends from $\mathcal{O}_{Y'}$ to \mathcal{O}_Y , making π' a Poisson morphism, and it's birational & proper.

Exercise: Y is singular symplectic

Hint: consider a resolution $\tilde{\pi}: \tilde{Y} \rightarrow Y$ that is iso over Y^{reg} . $\tilde{\pi} \circ \pi: \tilde{Y} \rightarrow X$ is a resolution of singularities for X so $(\tilde{\pi} \circ \pi)^* \omega_X^{\text{reg}}$ extends to \tilde{Y} . From π being Poisson deduce that $\pi^* \omega_X^{\text{reg}}$ extends to a symplectic form on Y^{reg} .

Remark: In particular, the exercise implies that a symplectic resolution has the maximality property (if it exists).

It turns out that a maximal partial Poisson resolution always exists (nontrivial). Moreover, it admits a transparent algebro-geometric characterization: it's " \mathbb{Q} -factorial" & "terminal."

To define the former recall that to a scheme Z we can assign its **Picard group** $\text{Pic}(Z)$ whose elements are isomorphism classes of line bundles on Z .

Definition: Let Z be an irreducible normal variety. We say Z is **\mathbb{Q} -factorial** if the cokernel of the restriction map $\text{Pic}(Z) \rightarrow \text{Pic}(Z^{\text{reg}})$ is torsion.

Example 1: Let's investigate when $X = \text{Spec } \mathbb{C}[\tilde{\mathcal{O}}]$ is \mathbb{Q} -factorial. First of all, a version of the Nakayama lemma shows that $\text{Pic}(X) = \{0\}$ (here we use that X is conical).

Second, $\tilde{\mathcal{O}} \hookrightarrow X$ w. complement of $\text{codim} \geq 2$. This shows that $\text{Pic}(X^{\text{reg}}) \xrightarrow{\sim} \text{Pic}(\tilde{\mathcal{O}})$. One can compute $\text{Pic}(\tilde{\mathcal{O}})$ as follows. Assume G is simply connected. Let $\tilde{\mathcal{O}} \cong G/H$. Then $\text{Pic}(\tilde{\mathcal{O}})$ is identified w. the character group $\mathcal{X}(H) := \text{Hom}(H, \mathbb{C}^\times)$. So X is \mathbb{Q} -factorial iff $\mathcal{X}(H)$ is finite. Let $\mathcal{O}_{G(e)}$ be the orbit covered by $\tilde{\mathcal{O}}$ & $e \in \mathcal{O}$. Then $H = Q \times U$, where Q is a finite index subgroup in $Z_G(e, h, f)$. Using our knowledge of $Z_G(e, h, f)$ in Exercise sheet 1 we see that:

- if $G = \text{SL}_n$, then X is \mathbb{Q} -factorial \Leftrightarrow all parts in the partition of \mathcal{O} are equal.

• if $G = \text{SO}_{2n+1}$ or Sp_{2n} , and $\tilde{\mathcal{O}} = \mathcal{O}$, then X is \mathbb{Q} -factorial. If $G = \text{SO}_{2n}$ & $\tilde{\mathcal{O}} = \mathcal{O}$, then X is \mathbb{Q} -factorial for most \mathcal{O} .

Now we explain what "terminal" means in our setting.

Definition/proposition (Namikawa): We say that a singular symplectic variety Z is **terminal** if $\text{codim}_Z Z^{\text{sing}} \geq 4$.

Example 3: Let \mathfrak{o} be a classical Lie algebra so that the nilpotent orbits are classified by (certain) partitions. Let $O \subset \mathfrak{o}$ be a nilpotent orbit & $X = \text{Spec } \mathbb{C}[O]$. It turns out that X is terminal $\Leftrightarrow \text{codim}_{\overline{O}} O \geq 4$ (\Leftarrow is easy, \Rightarrow not quite). One can analyze the latter condition combinatorially. The result is that X is terminal \Leftrightarrow the partition $\lambda = (\lambda_1, \dots, \lambda_k)$ corresponding to O satisfies $\lambda_i \leq \lambda_{i+1} + 1$ if i (w. $\lambda_i = 0$ for $i > 0$, by convention). Two ingredients: the dimension formula for orbits & a description of the order by orbit closures.

We have the following result, a consequence of a more general result of Birkar-Cascini-Hacon-McKernan on MMP.

Theorem: A maximal partial Poisson resolution Y of X exists & is \mathbb{Q} -factorial & terminal. Conversely, any \mathbb{Q} -factorial & terminal partial Poisson resolution is maximal.

It turns out that many questions about X can be answered by studying Y . The computation of \mathfrak{h}_X is just one of them.

Fact: We have $\mathfrak{h}_X = H^2(Y^{\text{reg}}, \mathbb{C})$.

Note that this generalizes the description of \mathfrak{h}_X in the case when X admits a symplectic resolution.

2) \mathbb{Q} -factorial terminalizations for nilpotent covers.

Here we are going to explain how to construct the \mathbb{Q} -factorial terminalization Y for $X := \text{Spec } \mathbb{C}[\tilde{\mathcal{O}}]$. For this we need a suitable version of the so called Lusztig-Spaltenstein induction (a version of parabolic induction in this setting)

Pick a Levi subgroup $L \subset G$ and include it into a parabolic subgroup $P = L \times U$. Take an L -equivariant cover $\tilde{\mathcal{O}}_L$ of a nilpotent orbit in $\mathfrak{l}' (\simeq \mathfrak{l}'^*)$. Set $X_L = \text{Spec } \mathbb{C}[\tilde{\mathcal{O}}_L]$. From the pair (P, X_L) we will produce an "induced" singular symplectic variety Y w. Hamiltonian G -action. For $X_L = \{0\}$ we recover $T^*(G/P) = G \times^P (g/\mathfrak{p})^*$.

Recall that $\text{Lie}(U) = \mathfrak{h}$.

• Construction of Y : For this we will use the general construction known as Hamiltonian reduction.

Consider the cotangent bundle T^*G . The action $G \times G \curvearrowright G$ lifts to a Hamiltonian $G \times G$ -action on T^*G . The moment map for the latter can be described as follows. We identify $T^*G \xrightarrow{\sim} G \times \mathfrak{g}^*$ using the left invariant vector fields on G , so that the G -action becomes $(g_1, g_2) \cdot (g, \alpha) = (g_1 g g_2^{-1}, g_2 \cdot \alpha)$. The moment map μ^r (resp., μ^l) for the G -action on the right (resp. on the left) is $(g, \alpha) \mapsto \alpha$ (resp. $(g, \alpha) \mapsto g \cdot \alpha$). The action of L on X_L is also Hamiltonian, the moment map $\mu_L: X_L \rightarrow \mathfrak{l}'^*$ is finite: it's the composition $X_L \rightarrow \tilde{\mathcal{O}}_L \hookrightarrow \mathfrak{l}'^*$. We can inflate the L -action on X_L to a P -action, and view μ_L as a moment map for the P -action by composing

it w. $\mathcal{L}^* \hookrightarrow \mathcal{L}^* \oplus \mathfrak{h}^* = \mathfrak{p}^*$

Consider the Poisson variety $T^*G \times \widetilde{X}_\mathbb{C}$ w. diagonal action of P .

It's Hamiltonian w. moment map $\mu: (g, \alpha, x) \mapsto -\alpha|_\beta + \mu_\mathbb{C}(x)$.

Consider $\mu^{-1}(0) = \{(g, \alpha, x) \mid \alpha|_\beta = \mu_\beta(x)\}$. The locus $\{\alpha, x) \mid \alpha|_\beta = \mu_\beta(x)\}$ is identified w. $X_\mathbb{C} \times (\mathfrak{g}/\mathfrak{p})^*$: we send (α, x) to $(x, \alpha - \mu_\beta(x))$. For a suitable P -action on $X_\mathbb{C} \times (\mathfrak{g}/\mathfrak{p})^*$ the identification

$$\mu^{-1}(0) \xrightarrow{\sim} G \times X_\mathbb{C} \times (\mathfrak{g}/\mathfrak{p})^*$$

is P -equivariant, technical details are left as an **exercise**.

The action $P \curvearrowright \mu^{-1}(0)$ admits a quotient variety to be denoted by $\mu^{-1}(0)/P$. It's the homogeneous bundle over G/P w. fiber $X_\mathbb{C} \times (\mathfrak{g}/\mathfrak{p})^*$, hence another notation: $G \times^P (X_\mathbb{C} \times (\mathfrak{g}/\mathfrak{p})^*)$.

A typical point in $G \times^P (X_\mathbb{C} \times (\mathfrak{g}/\mathfrak{p})^*)$ will be denoted by $[g, x, \beta]$, this is, by definition, the P -orbit of $(g, x, \beta) \in G \times X_\mathbb{C} \times (\mathfrak{g}/\mathfrak{p})^*$.

• Poisson structure on Y & moment map for $G \curvearrowright Y$.

The bracket on Y is also produced by Hamiltonian reduction:

Important exercise: Let A be a Poisson algebra equipped w. a rational action of an algebraic group G by Poisson algebra automorphisms. Assume, further that there is a comoment map $\varphi: \mathfrak{g} \rightarrow A$, i.e. a G -equivariant linear map w. $\{\varphi(\xi), \cdot\} = \xi_A$ (the derivation of A coming from the G -action). Then \exists Poisson bracket on $(A/A\varphi(\mathfrak{g}))^G$ s.t.

$$\{a + A\varphi(g), b + A\varphi(g)\} = \{a, b\} + A\varphi(g), \quad \forall a + A\varphi(g), b + A\varphi(g) \in (A/A\varphi(\mathfrak{g}))^G.$$

The Poisson algebra $(A/A\varphi(\mathfrak{g}))^G$ is called the **Hamiltonian reduction** of A .

To apply this construction in our setting let $\omega: G \rightarrow G/P$,
 $\eta: \mu^{-1}(0)/P = G \times^P (X_\lambda \times (\mathfrak{g}/\mathfrak{p})^*) \rightarrow G/P$ denote the projection maps.

Exercise: For an open affine subvariety $U \subset G/P$, the algebra $\mathbb{C}[\eta^{-1}(U)]$ coincides w. the Hamiltonian reduction for $P \backslash \mathbb{C}[T^* \omega^{-1}(U) \times X_\lambda]$.

This and the important exercise show that we have a well-defined Poisson bracket on \mathcal{O}_Y (glued from $\{\cdot, \cdot\}$'s on $\mathbb{C}[\eta^{-1}(U)]$ obtained by reduction).

Now we proceed to the moment map for $G \backslash Y$. Note that $\mu^{-1}(0)$ is G -stable under the left G -action on $T^*G \times X_\lambda$ and so G acts on $\mu^{-1}(0)/P$: $g \cdot [g', x, \beta] = [gg', x, \beta]$. There is a P -invariant & G -equiv't map $\mu': \mu^{-1}(0) \rightarrow \mathcal{O}^*: (g, x, \beta) \mapsto g \cdot (\mu_\lambda(x) + \beta)$. It descends to a G -equivariant map $\mu'^{-1}(0)/P \rightarrow \mathcal{O}^*$ also to be denoted by μ' .

Exercise: • μ' is proper.

• μ' is a moment map for $G \backslash Y$.

• $\text{im } \mu'$ is the closure of a single nilpotent orbit.

• \mathbb{Q} -factorial terminalization.

We have the following result

Theorem: There is a bijection between:

(i) G -equivariant covers $\tilde{\mathcal{O}}$ of nilpotent orbits in \mathcal{O}^*

(ii) Pairs $(L, \tilde{\mathcal{O}}_L)$, where L is a Levi subgroup in G , $\tilde{\mathcal{O}}_L$ is an L -equivariant cover of a nilpotent orbit in $[L, L]^*$ (note

that $[L, L]$ is again a simple Lie algebra) s.t. X_L is \mathbb{Q} -factorial terminal. The pairs $(L, \tilde{\mathcal{O}}_L)$ are viewed up to suitably defined G -conjugacy.

(ii) to (i): we pick a parabolic P w. Levi L and consider $Y = g^{-1}(0)/P$. Then $\tilde{\mathcal{O}}$ is the unique open G -orbit in Y (only depending on L) & $Y \rightarrow X = \text{Spec } \mathbb{C}[\tilde{\mathcal{O}}]$ is a \mathbb{Q} -factorial terminalization. Finally, $\mathfrak{h}_X = H^2(Y^{\text{reg}}, \mathbb{C}) \xrightarrow{\sim} (L/[L, L])^*$.

In the next lecture we'll explain how to construct a quantization of $\mathbb{C}[\tilde{\mathcal{O}}]$ starting from a parameter $\lambda \in \mathfrak{h}_X$. We'll use "quantum Hamiltonian reduction".

Remarks: Let's comment on various parts of the proof of Thm.

(a) If X_L is \mathbb{Q} -factorial & terminal, then so is Y (**exercise**).

(b) $H^2(Y^{\text{reg}}, \mathbb{C}) \xrightarrow{\sim} (L/[L, L])^*$ comes from the spectral sequence for the cohomology of the fiber bundle

$$Y^{\text{reg}} = G \times_P (X_L^{\text{reg}} \times (\mathfrak{g}/\mathfrak{p})^*) \longrightarrow \mathbb{C}/P$$

thx to $H^i(X_L^{\text{reg}}, \mathbb{C}) \simeq H^i(\tilde{\mathcal{O}}, \mathbb{C}) = \{0\}$ for $i=1, 2$. The $i=1$ case holds always, & $i=2$ case holds b/c X_L is \mathbb{Q} -factorial.

(c) The claim that Y has an open G -orbit that is a cover of a nilpotent orbit is classical going back to Lusztig & Spaltenstein (1979). The proof uses a certain "Poisson deformation" of Y , it's sketched in the complement section.

(d) To prove the bijection claim one then needs to construct a map from (i) to (ii). This is based on looking at a Poisson deformation of X , essentially done by I.L. in 2016.

3) Complements.

3.1) Sketch of proof of (c)

We need to establish the following.

Lemma: $\dim \mu^{-1}(0)/P = \dim \text{im } \mu'$, i.e. μ' is generically finite.

Sketch of proof: The proof is based on an idea fundamental for this subject - deformation. Set $z := (\ell^*)^L$. We have natural embeddings $z \hookrightarrow \ell^* \hookrightarrow \beta^*, \sigma^*$ (for the embedding into σ^* take the unique L -equivariant embedding whose composition w. the restriction map $\sigma^* \rightarrow \ell^*$ is the identity). The natural morphism $\mu^{-1}(z) \rightarrow z$ is P -invariant so descends to

$$\mu^{-1}(z)/P \rightarrow z \tag{1}$$

We can identify $\mu^{-1}(z)/P \simeq G \times^P (z \times X_L \times (\sigma/\beta)^*)$ and (1) becomes $[g, z, \alpha, x] \mapsto z$. In particular, all fibers of (1) have the same dimension.

We still have the G -equivariant map $\mu': \mu^{-1}(z)/P \rightarrow \sigma^*$.

Fact 1: For a Zariski generic $z \in z$, we have an isomorphism

$$G \times^P (\{z\} \times X_L \times (\sigma/\beta)^*) \xrightarrow{\sim} G \times^L (\{z\} \times X_L)$$

and the morphism

$$\mu': G \times^P (\{z\} \times X_L \times (\sigma/\beta)^*) \rightarrow \sigma^*$$

Now consider $\mu': \mu'^{-1}(\mathbb{C}z)/P \rightarrow \mathcal{G}^*$. We have

$$\dim \mu'^{-1}(\mathbb{C}z)/P = \dim Y + 1.$$

By Fact 1, the image of $\mu'^{-1}(\mathbb{C}z)/P$ in \mathcal{G}^* has dimension equal to $\dim \mu'^{-1}(\mathbb{C}z)/P$. It remains to show that $\mu'(Y)$ is a divisor in $\mu'(\mu'^{-1}(\mathbb{C}z)/P)$. For this, consider the composition of μ' w. the quotient morphism $\pi'_G: \mathcal{G}^* \rightarrow \mathcal{G}^*/\!/G$. For any $z' \in \mathbb{C}$ the image of $\mu'^{-1}(z')/P$ under $\pi'_G \circ \mu'$ is $\pi'_G(z')$ (w. z' viewed as an element of \mathcal{G}^*). We have $\pi'_G(z') = 0 \iff z' = 0$. So $\pi'_G \circ \mu'(\mu'^{-1}(\mathbb{C}z)/P)$ is a curve and $\mu'(Y)$ is the preimage of a point in the curve under $\pi'_G: \mu'(\mu'^{-1}(\mathbb{C}z)/P) \rightarrow \mathcal{G}^*/\!/G$. So $\text{codim}_{\mu'(\mu'^{-1}(\mathbb{C}z)/P)} \mu'(Y) \leq 1$, which finishes the proof \square

3.2) Construction of \mathfrak{h}_X, W_X from the geometry of X .

Above we have explained how to recover the space \mathfrak{h}_X from $Y \rightarrow X$, a \mathbb{Q} -factorial terminalization. Now we'll explain how to recover \mathfrak{h}_X & W_X from X itself, essentially due to Namikawa.

We start w. an important example.

Example: Let $\Gamma \subset \text{SL}_2(\mathbb{C})$ be a finite subgroup. These subgroups $\xleftrightarrow{\sim}$ type ADE diagrams. To recover the diagram from a subgroup one considers the minimal (symplectic) resolution $Y := \widetilde{\mathbb{C}^2/\Gamma} \rightarrow X = \mathbb{C}^2/\Gamma$. The fiber over 0 is the union of \mathbb{P}^1 's. Two components either don't intersect or intersect transversally at a single point. So we can encode this as a graph: the

vertices are the components and we have a non-oriented edge between two vertices if the components intersect. The resulting graph is an ADE Dynkin diagram and this classifies Γ up to conjugacy.

The space $H^2(Y, \mathbb{C})$ has basis labelled by the components of the zero fiber. Let \mathfrak{h}_Γ denote the Cartan subalgebra in the simple Lie algebra of the same ADE type as Γ . We identify $H^2(Y, \mathbb{C}) \xrightarrow{\sim} \mathfrak{h}_\Gamma$ mapping the basis element in the l.h.s. to the simple coroot in the r.h.s.

Let's proceed to the general case. Here \mathfrak{h}_X is the direct sum of several pieces: one corresponds to X^{reg} , equal to $H^2(X^{\text{reg}}, \mathbb{C})$ and the others are contributions of "codim 2 symplectic leaves" in X .

Definition: Let X be a Poisson variety. By a **symplectic leaf** we mean an irreducible local closed subvariety $\mathcal{L} \subset X$ s.t.

- \mathcal{L} is smooth.
- \mathcal{L} is a Poisson subvariety meaning that its ideal is closed under taking the bracket w. \mathcal{O}_X .
- w.r.t. the induced Poisson structure on $\mathcal{O}_{\mathcal{L}}$, \mathcal{L} is symplectic.

Example: Let $O_{\mathfrak{g}}$ be a nilpotent orbit. Then the symplectic leaves in \bar{O} are exactly the G -orbits.

Assume until the end of the section that X is singular symplectic.

Fact (Kaledin): X has finitely many symplectic leaves and is, moreover, their disjoint union

Let L_1, \dots, L_k be the codim 2 leaves of X so that $X^{\text{reg}} \sqcup \bigcup_{i=1}^k L_i$ is the open subvariety in X whose complement has codim ≥ 4 . To each of them we will associate a vector space \mathfrak{h}_i and a reflection group W_i acting on \mathfrak{h}_i so that $\mathfrak{h}_X = \bigoplus_{i=0}^k \mathfrak{h}_i \cap W_X = \bigcap_{i=1}^k W_i$ ($\mathfrak{h}_0 = H^2(X^{\text{reg}}, \mathbb{C})$ w. trivial action).

We can consider the formal transversal slice Σ_i to L_i . It has dimension 2 so must be the formal neighborhood of 0 in \mathbb{C}^2/Γ_i for uniquely determined $\Gamma_i \subset SL_2(\mathbb{C})$ (there are no other 2-dimensional symplectic singularities). This gives the Cartan space \mathfrak{h}_{Γ_i} and the Weyl group W_{Γ_i} of the same ADE type.

It turns out that the fundamental group $\pi_1(L_i)$ acts on \mathfrak{h}_{Γ_i} , W_{Γ_i} in a compatible way, and $\mathfrak{h}_i = \mathfrak{h}_{\Gamma_i}^{\pi_1(L_i)}$, $W_i = W_{\Gamma_i}^{\pi_1(L_i)}$.

Let's explain how $\pi_1(L_i)$ acts. The action comes from permuting the simple coroots in \mathfrak{h}_{Γ_i} . Consider a \mathbb{Q} -factorial terminalization $Y \rightarrow X$. Its base change to Σ_i has to be a symplectic resolution $\tilde{\Sigma}_i \rightarrow \Sigma_i$. So the fiber of $Y \rightarrow X$ over each point in L_i is the 0-fiber of $\mathbb{C}^2/\tilde{\Gamma}_i \rightarrow \mathbb{C}^2/\Gamma_i$. When a point travels around a loop, the components of the fiber may get permuted.

This gives an action of $\pi_1(L_i)$ on the Dynkin diagram associ-

ated to Γ_i . This gives the actions on $\mathfrak{h}_{\Gamma_i}, W_{\Gamma_i}$ that we need.

Example: Let \mathfrak{g} be a simple Lie algebra and $X=N$. We have $\mathfrak{h}_0=\{0\}$. There is one codim 2 leaf (=orbit) known as the subregular orbit. For classical Lie algebras, they correspond to the following partitions:

- $\mathfrak{sl}_n^L : (n-1, 1)$
- $\mathfrak{so}_{2n+1} : (2n-1, 1^2)$
- $\mathfrak{sp}_{2n} : (2n-2, 2)$
- $\mathfrak{so}_{2n} : (2n-3, 3)$

If \mathfrak{g} is simply laced, then the slice to the subregular orbit is of the same type as \mathfrak{g} and the monodromy action is trivial. The types of slices for non-simply laced Lie algebras together w. $\mathcal{P}(L)$ are in the following table:

\mathfrak{g}	Σ	$\mathcal{P}(L)$
B_n	A_{2n-1}	$\mathbb{Z}/2\mathbb{Z}$
C_n	D_{n+1}	$\mathbb{Z}/2\mathbb{Z}$
F_4	E_6	$\mathbb{Z}/2\mathbb{Z}$
G_2	D_4	S_3

The group $\mathcal{P}(L)$ acts by diagram foldings.

In all cases we recover the description of \mathfrak{h}_N as \mathfrak{h}^* , see Example in Section 1.