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### Homework 3: Light Bending in Newton's and Einstein's Gravity

1.a) In a centrally symmetric field, one can, in appropriate inertial reference frame, describe the force felt by a particle in the form

$$\vec{F}(t, \vec{r}, \vec{v}) = f(t, \vec{r}, \vec{v}) \cdot \vec{r}$$

$$\pi: \mathbb{R} \times \mathbb{R}^3 \rightarrow \mathbb{R}^3 \rightarrow \mathbb{R}^3$$

for some  $f: \mathbb{R} \times U \times \mathbb{R}^3 \rightarrow \mathbb{R}$  with  $U \subseteq \mathbb{R}^3$  open

Then, for every  $C^1$  trajectory  $\vec{r}: (t_0, t_f) \rightarrow \pi(U)$ , whose angular momentum is  $\vec{L}: (t_0, t_f) \rightarrow \mathbb{R}^3: t \mapsto m \vec{r}(t) \times \vec{r}'(t)$ ,

satisfies

$$\begin{aligned} \vec{L}'(t) &= m \vec{r}'(t) \times \vec{r}''(t) + m \vec{r}(t) \times \vec{r}''(t) = m \vec{r}(t) \times \vec{F}(t, \vec{r}(t), \vec{r}'(t)) \\ &= m F(t, \vec{r}(t), \vec{r}'(t)) \vec{r}(t) \times \vec{r}'(t) = \vec{0}. \end{aligned}$$

Thus, there exists  $\vec{l} \in \mathbb{R}^3$  s.t.  $\vec{L}(t) = \vec{l}$  for all  $t \in (t_0, t_f)$ . Since  $\vec{r}(t) \in \{\vec{L}(t)\}^\perp = \{\vec{l}\}^\perp$  for all  $t \in (t_0, t_f)$ ,

we conclude that, the trajectory is contained in  $\{\vec{l}\}^\perp$ , which is a plane as long as

$\vec{l} \neq \vec{0}$ . Even in the case  $\vec{l} = \vec{0}$ , we have

that  $\vec{y}'(t) \propto \vec{y}(t)$  for all  $t \in (t_0, t_f)$ . To

stop being pedantic, this means the trajectory is contained in a line or a point.

b) The Lagrangian is

$$L: (0, \infty) \times (0, 2\pi) \times \mathbb{R}^2 \longrightarrow \mathbb{R}$$

$$(r, \phi, v_r, v_\phi) \longmapsto \frac{1}{2} m (v_r^2 + r^2 v_\phi^2) + \frac{GMm}{r}$$

Since  $L$  is  $\phi$  independent,

Sorry  $\frac{\partial L}{\partial v_\phi} (r, \phi, v_r, v_\phi) = m r^2 v_\phi$

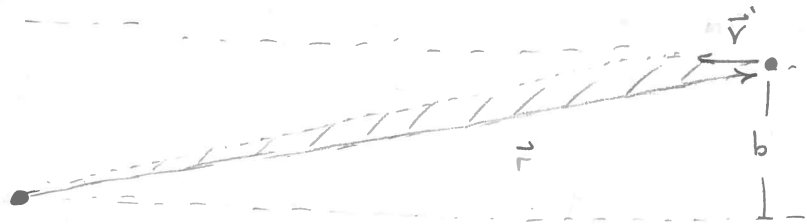
We will rather take  $L$  per unit mass

is conserved. Similarly, time independence guarantees the conservation of energy

$$\begin{aligned} E &= \frac{\partial L}{\partial v_r} v_r + \frac{\partial L}{\partial v_\phi} v_\phi - L = m v_r^2 + m r^2 v_\phi^2 - L \\ &= \frac{1}{2} m (v_r^2 + r^2 v_\phi^2) - \frac{GMm}{r} \end{aligned}$$

In particular redefining  $E$  by  $E/m$ , we still have a conserved quantity.

c)  $E$  is the energy per unit mass.  $L$  is the area spanned by the parallelogram formed between the position vector and the the velocity.



From the picture above we see

$$L = |\vec{r}| |\vec{v}| \sin \theta = r v \sin \theta = b \sin \theta = b \sin \theta$$

since light moves at the speed of light for away from gravitational sources.

d) We have

$$\begin{aligned} E &= \frac{1}{2} \left( \frac{dr}{dt} \right)^2 + \frac{1}{2} r^2 \left( \frac{d\phi}{dr} \right)^2 \left( \frac{dr}{dt} \right)^2 - \frac{M}{r} \\ &= \frac{1}{2} \left( \frac{dr}{dt} \right)^2 \left( 1 + r^2 \left( \frac{d\phi}{dr} \right)^2 \right) - \frac{M}{r}, \end{aligned}$$

and thus

$$\frac{2(E + M/r)}{1 + r^2 \left(\frac{d\phi}{dr}\right)^2} = \left(\frac{dr}{dt}\right)^2$$

Thus

$$L^2 = r^4 \left(\frac{d\phi}{dr}\right)^2 \left(\frac{dr}{dt}\right)^2 = r^4 \left(\frac{d\phi}{dr}\right)^2 \frac{2(E + M/r)}{1 + r^2 \left(\frac{d\phi}{dr}\right)^2}$$

$$L^2 + r^2 L^2 \left(\frac{d\phi}{dr}\right)^2 = r^4 \left(\frac{d\phi}{dr}\right)^2 2(E + M/r)$$

$$\frac{L^2}{2r^4(E + M/r) - r^2 L^2} = \left(\frac{d\phi}{dr}\right)^2$$

1)

$$\frac{L^2}{r^4 \cdot 2 \left( E + \frac{M}{r} - \frac{L^2}{2r^2} \right)} = \left(\frac{d\phi}{dr}\right)^2$$

Thus

$$\frac{d\phi}{dr} = \frac{L}{r^2 \sqrt{2 \left( E + \frac{M}{r} - \frac{L^2}{2r^2} \right)}}$$

c) We can integrate this equation to

$$\Delta\phi = 2L \int_{r_0}^{\infty} \frac{dr}{r^2 \sqrt{2 \left( E + \frac{M}{r} - \frac{L^2}{2r^2} \right)}}$$

$$= 2L \int_0^{1/r_0} \frac{du}{\sqrt{2 \left( E + Mu - \frac{L^2}{2} u^2 \right)}}$$

$$= 2L \int_0^{1/r_0} \frac{du}{\sqrt{2 \left( E - \frac{L^2}{2} \left( u - \frac{M}{L^2} \right)^2 + \frac{M^2}{2L^2} \right)}}$$

$$= 2L \int_{-\frac{M}{L^2}}^{\frac{1}{r_0} - \frac{M}{L^2}} \frac{dv}{\sqrt{2 \left( E + \frac{M^2}{2L^2} - \frac{L^2}{2} v^2 \right)}}$$

$$= \sqrt{2} L \int_{-\frac{M}{L^2}}^{\frac{1}{r_0} - \frac{M}{L^2}} \frac{dv}{\sqrt{\left( E + \frac{M^2}{2L^2} \right) \left( 1 - \frac{L^2 v^2}{2(E + M^2/2L^2)} \right)}}$$

$$= \sqrt{\frac{2}{E + M^2/2L^2}} \sqrt{\frac{2(E + M^2/2L^2)}{L^2}} \int_{-\frac{M/L}{\sqrt{2(E + M^2/2L^2)}}}^{\frac{L(\frac{1}{r_0} - \frac{M}{L^2})}{\sqrt{2(E + M^2/2L^2)}}} \frac{dw}{\sqrt{1 - w^2}}$$

$$= 2 \left[ \arcsin \left( \frac{L \left( \frac{1}{r} - \frac{M}{L^2} \right)}{\sqrt{2(E + M^2/2L^2)}} \right) \right]_{r=r_0}^{r=\infty}$$

$$= 2 \left[ \arcsin \left( \frac{b \left( \frac{1}{r} - \frac{M}{b^2} \right)}{\sqrt{2 \left( E + \frac{M^2}{2b^2} \right)}} \right) \right]_{r=\infty}^{r_0}$$

$$= 2 \left[ \arcsin \left( \frac{\frac{M}{b} \left( \frac{b^2}{rM} - 1 \right)}{\sqrt{\frac{M^2}{b^2} - \frac{2b^2 E}{M^2} + 1}} \right) \right]_{r=\infty}^{r_0}$$

$$= 2 \left[ \arcsin \left( \frac{\frac{b^2}{rM} - 1}{\sqrt{\frac{2b^2 E}{M} + 1}} \right) \right]_{r=\infty}^{r_0}$$

f) At the point of closest approach  $\frac{dr}{dt} = 0$ . Thus

$$E = \frac{1}{2} r_0^2 \left( \frac{d\phi}{dt} \right)^2 = \frac{M}{r_0} = \frac{1}{2} \frac{L^2}{r_0^2} - \frac{M}{r_0} = \frac{b^2}{2r_0^2} - \frac{M}{r_0}$$

so that

$$b^2 = 2r_0^2 \left( E + \frac{M}{r_0} \right).$$

Thus,

$$\Delta\phi = 2 \left[ \arcsin \left( \frac{\frac{2r_0^2}{rM} \left( E + \frac{M}{r_0} \right) - 1}{\sqrt{\frac{4Er_0^2}{M} \left( E + \frac{M}{r_0} \right) + 1}} \right) \right]_{r=\infty}^{r_0}$$

$$= 2 \left[ \arcsin \left( \frac{\frac{2r_0}{r} \left( \frac{r_0 E}{M} + 1 \right) - 1}{\sqrt{4Er_0 \left( \frac{r_0 E}{M} + 1 \right) + 1}} \right) \right]_{r=\infty}^{r_0}$$

$$= 2 \arcsin \left( \frac{\frac{2}{x} \left( \frac{E}{\mu} + 1 \right) - 1}{\sqrt{4Er_0 \left( \frac{E}{\mu} + 1 \right) + 1}} \right)$$

But... but... you told me to integrate. In any case,

I just realized that at infinity  $E = \frac{1}{2} \|\vec{v}\|^2 = \frac{1}{2} c^2 = \frac{1}{2}$ .

Thus, the solution for c) could be simplified to

$$\Delta \phi = 2 \arcsin \left( \frac{\frac{b^2}{rM} - 1}{\sqrt{\frac{b^2}{M} + 1}} \right) \Bigg|_{r=\infty}^{r=r_0}$$

while now for f)

$$\Delta \phi = 2 \arcsin \left( \frac{\frac{2r_0}{r} \left( \frac{r_0}{2M} + 1 \right) - 1}{\sqrt{2r_0 \left( \frac{r_0}{2M} + 1 \right) + 1}} \right) \Bigg|_{r=\infty}^{r=r_0}$$

$$= 2 \arcsin \left( \frac{\frac{2}{x} \left( \frac{1}{2\mu} + 1 \right) - 1}{\sqrt{2r_0 \left( \frac{1}{2\mu} + 1 \right) + 1}} \right) \Bigg|_{x=\infty}^1$$

Indeed, in terms of our initial integral

$$\Delta\phi = 2b \int_{r_0}^{\infty} \frac{dr}{r^2 \sqrt{2 \left( E + \frac{H}{r} - \frac{b^2}{2r^2} \right)}}$$

$$= 2\sqrt{2}c_0 \sqrt{\frac{1}{2} + \mu} \int_1^{\infty} \frac{dx}{x^2 \sqrt{2 \left( \frac{1}{2} + \mu/x - \frac{1}{x^2} \left( \frac{1}{2} + \mu \right) \right)}}$$

$$= 2\sqrt{2} \sqrt{1+2\mu} \frac{1}{2} \int_1^{\infty} \frac{dx}{x^2 \sqrt{\frac{1}{2} + \mu/x - \frac{1}{2x^2} (1+2\mu)}}$$

$$= 2\sqrt{1+2\mu} \frac{1}{2} \int_1^{\infty} \frac{dx}{x^2 \sqrt{1 + 2\mu/x - \frac{1}{x^2} (1+2\mu)}}$$

$$= 2 \sqrt{1+2\mu} \int_1^{\infty} \frac{dx}{x^2 \sqrt{1 + 2\mu/x - \frac{1}{x^2} (1+2\mu)}}$$

g) We have

$$x^2 \sqrt{1 + \frac{2\mu}{x} - \frac{1}{x^2} (1+2\mu)} = x \sqrt{x^2 + 2\mu x - 1 - 2\mu}$$

$$= x \sqrt{x^2 - 1 + 2\mu(x-1)}$$

$$= x \sqrt{x^2 - 1} \sqrt{1 + \frac{2\mu}{x+1}}$$

$$= x \sqrt{x^2 - 1} \left( 1 + \frac{\mu}{x+1} \right)$$



so that for small  $\mu$

$$\Delta\phi = 2\sqrt{1+2\mu} \int_1^\infty \frac{dx}{x\sqrt{x^2-1}} \left(1 - \frac{\mu}{x+1}\right) + O(\mu^2)$$

$$= 2(1+\mu + O(\mu^2)) \left( \frac{\pi}{2} - \mu \int_1^\infty \frac{dx}{x(x+1)\sqrt{x^2-1}} + O(\mu^2) \right)$$

Using  $= 2(1+\mu) \left( \frac{\pi}{2} - \mu \int_1^\infty \frac{dx}{x(x+1)\sqrt{x^2-1}} \right) + O(\mu^2)$

$$\frac{1}{x(x+1)} = \frac{1}{\mu} \left( \frac{1}{x} - \frac{1}{x+1} \right)$$

Thus

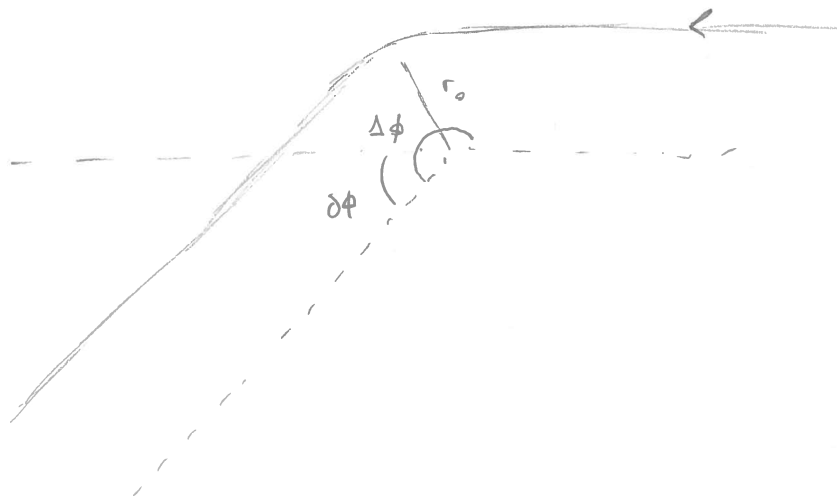
$$\Delta\phi = 2(1+\mu) \left( \frac{\pi}{2} - \mu \left( \frac{\pi}{2} - 1 \right) \right) + O(\mu^2)$$

$$= 2 \left( \frac{\pi}{2} - \cancel{\mu \frac{\pi}{2}} + \mu + \cancel{\frac{\pi}{2} \mu} \right) + O(\mu^2)$$

$$= \pi + 2\mu + O(\mu^2).$$

Thus, since  $\Delta\phi - \delta\phi = \pi$ ,

$$\delta\phi = 2\mu = \frac{2M}{r_0}.$$



Moreover,

$$b = \sqrt{2} r_0 \sqrt{\frac{1}{2} + \mu} = \sqrt{2} r_0 \sqrt{\frac{1}{2}} (1 + \mu), + O(\mu^2)$$

so that

$$r_0 = \frac{b}{1 + \mu} \approx b$$

and

$$\delta\phi = \frac{2M}{b}.$$

For the sun and a ray scratching its surface

$$\mu = \frac{M_\odot}{R_\odot} = \frac{M_\odot G}{R_\odot c^2} \approx \frac{2 \times 10^{30} \text{ kg} \times 10^{-11} \text{ m}^3/\text{kg s}^2}{10^9 \text{ m} (3 \times 10^8 \text{ m/s})^2}$$

$$\approx \frac{2}{9} \times 10^{30-11-8-16}$$

$$\approx 2 \times 10^{-6},$$

justifying the assumption that  $\mu$  is small. Moreover

$$\delta\phi = 2 \times 10^{-6}.$$

2.a) Because the trajectory of our light has

to occur in the region  $r > r_+ := \frac{2M}{r}$ , where this

line element is valid. In the case where the

radius of the star  $R$  was less than  $r_+$ , if

the light went inside that radius it would

never escape back so that it can be observed.

b) Under an infinitesimal transformation  $x \mapsto x' = x + \epsilon \xi$ ,

so that

$$g'_{\mu\nu}(x) = \partial_\sigma g_{\mu\nu}(x) \epsilon \xi^\sigma = g_{\mu\nu}(x - \epsilon \xi) = \frac{\partial x'^\sigma}{\partial x^\mu} \frac{\partial x'^\rho}{\partial x^\nu} g'_{\sigma\rho}(x)$$

$$= (\delta_\mu^\sigma + \epsilon \partial_\mu \xi^\sigma) (\delta_\nu^\rho + \epsilon \partial_\nu \xi^\rho) g'_{\sigma\rho}(x)$$

$$= g'_{\mu\nu}(x) + \epsilon g'_{\sigma\nu}(x) \partial_\mu \xi^\sigma + \epsilon g'_{\mu\rho}(x) \partial_\nu \xi^\rho + \mathcal{O}((\xi)^2).$$

If this transformation is a symmetry of  $g$ ,  
we get

$$0 = g_{\sigma\nu} \partial_\mu \xi^\sigma + g_{\mu\sigma} \partial_\nu \xi^\sigma + \xi^\sigma \partial_\sigma g_{\mu\nu}.$$

If  $g$  is independent of  $x^\alpha$  and  $\xi^\mu = \delta^\mu_\alpha$ ,

$$\begin{aligned} & \cancel{g_{\sigma\nu} \partial_\mu \xi^\sigma} + \cancel{g_{\mu\sigma} \partial_\nu \xi^\sigma} + \xi^\sigma \partial_\sigma g_{\mu\nu} \\ &= \delta^\sigma_\alpha \partial_\sigma g_{\mu\nu} = \partial_\alpha g_{\mu\nu} = 0. \end{aligned}$$

Thus  $\xi$  is a Killing vector.

We conclude that the independence of  $t$  and  $\phi$   
of  $g_{\mu\nu}$  guarantees that

$$\kappa^\mu = (1, 0, 0, 0)^\mu, \quad m^\mu = (0, 0, 0, 1)^\mu$$

are Killing vectors. We thus have the conserved  
quantities

$$e = -\kappa_\mu \dot{x}^\mu = \left(1 - \frac{2M}{r}\right) \frac{dt}{d\lambda}, \quad l = (r^2 \sin^2(\theta)) \frac{d\phi}{d\lambda}$$

c) We will first argue that the motion is planar.

The Lagrangian for a photon is

$$\begin{aligned}
 L &= -g_{\mu\nu} \frac{dx^\mu}{d\lambda} \frac{dx^\nu}{d\lambda} \\
 &= \left(1 - \frac{2M}{r}\right) \left(\frac{dt}{d\lambda}\right)^2 - \frac{1}{1 - \frac{2M}{r}} \left(\frac{dr}{d\lambda}\right)^2 + r^2 \left(\frac{d\theta}{d\lambda}\right)^2 \\
 &\quad - r^2 \sin^2(\theta) \left(\frac{d\phi}{d\lambda}\right)^2.
 \end{aligned}$$

The equation of motion for  $\theta$  is then

$$0 = -2 \frac{d}{d\lambda} \left( r^2 \frac{d\theta}{d\lambda} \right) + 2 r^2 \sin(\theta) \cos(\theta) \left( \frac{d\phi}{d\lambda} \right)^2,$$

i.e.

$$r^2 \frac{d^2\theta}{d\lambda^2} + 2r \frac{dr}{d\lambda} \frac{d\theta}{d\lambda} - r^2 \sin(\theta) \cos(\theta) \left( \frac{d\phi}{d\lambda} \right)^2 = 0.$$

Orient our coordinates so that, for some  $\tilde{\lambda}$ , we have

$$\theta(\tilde{\lambda}) = \pi/2 \quad \text{and} \quad \left. \frac{d\theta}{d\lambda} \right|_{\tilde{\lambda}} = 0. \quad \text{Thus} \quad \left. \frac{d^2\theta}{d\lambda^2} \right|_{\tilde{\lambda}} = 0 \quad \text{and we}$$

conclude the motion stays in the plane  $\theta = \pi/2$ .

We will assume this is the case from now on.

Thus  $l = r^2 \frac{d\phi}{d\lambda}$ . With this simplifying assumption,

the null condition for the trajectory of the photon becomes

$$0 = \left(1 - \frac{2M}{r}\right) \left(\frac{dt}{d\lambda}\right)^2 - \frac{1}{1 - \frac{2M}{r}} \left(\frac{dr}{d\lambda}\right)^2 - r^2 \left(\frac{d\phi}{d\lambda}\right)^2$$

$$= \frac{1}{\left(1 - \frac{2M}{r}\right)} \left( e^2 - \left(\frac{dr}{d\lambda}\right)^2 - \frac{l^2}{r^2} \left(1 - \frac{2M}{r}\right) \right),$$

i.e.

$$\frac{e^2}{l^2} = \frac{1}{l^2} \left(\frac{dr}{d\lambda}\right)^2 + \frac{1}{r^2} - \frac{2M}{r^3}.$$

d) In the long distance limit,

$$e \approx \frac{dt}{d\lambda}$$

and thus

$$b = \frac{l}{e} = r^2 \frac{d\phi/d\lambda}{dt/d\lambda} = r^2 \frac{d\phi}{dt},$$

corresponding to the classical angular momentum

per unit mass. We thus identify it as the impact parameter,

c) We have

$$\left(\frac{d\phi}{dr}\right)^2 = \left(\frac{d\phi}{d\lambda}\right)^2 \left(\frac{d\lambda}{dr}\right)^2$$

$$= \frac{l^2}{r^4} \left( c^2 - \frac{l^2}{r^2} + \frac{2Ml^2}{r^3} \right)$$

$$= \frac{b^2}{r^4 \left( 1 - \frac{b^2}{r^2} + \frac{2Mb^2}{r^3} \right)}$$

so that

$$\frac{d\phi}{dr} = \frac{b}{r^2 \sqrt{1 - \frac{b^2}{r^2} + \frac{2Mb^2}{r^3}}}$$

At the distance of closest approach,  $\frac{dr}{dt}|_{r=r_0} = 0$ .

Thus

$$\frac{1}{b^2} = \frac{1}{r_0^2} - \frac{2M}{r_0^3} = \frac{1}{r_0^2} (1 - 2\mu)$$

with  $\mu := M/r_0$  and  $x := r/r_0$ . Therefore

$$\frac{d\phi}{dx} = r_0 \frac{d\phi}{dr} = \frac{1}{\sqrt{1-2\mu}} \frac{1}{x^2 r_0 \sqrt{1 - \frac{1}{x^2} (1-2\mu) \left( \frac{1}{x^2 r_0^2} - \frac{2\mu}{x^3 r_0^2} \right)}}$$

$$\begin{aligned}
 &= \frac{1}{\sqrt{1-2\mu}} \cdot \frac{1}{x^2 \sqrt{1-2\mu - \frac{1}{x^2} + \frac{2\mu}{x^3}}} \cdot \sqrt{1-2\mu} \\
 &= \frac{1}{x^2 \sqrt{1 - \frac{1}{x^2} + 2\mu \left(1 - \frac{1}{x^3}\right)}} \\
 &= \frac{1}{x^2 \sqrt{1 - \frac{1}{x^2}} \sqrt{1 + 2\mu \frac{1 - \frac{1}{x^3}}{1 - \frac{1}{x^2}}}} \\
 &= \frac{1}{x \sqrt{x^2-1}} \sqrt{1 + 2\mu \frac{x^3-1}{x^3-x}}
 \end{aligned}$$

$$\approx \frac{1}{x \sqrt{x^2-1}} \left( 1 - \mu \frac{x^3-1}{x^3-x} + O(\mu^2) \right).$$

Therefore, to order  $\mu^2$

$$\begin{aligned}
 \Delta\phi &= 2 \int_1^\infty dx \frac{d\phi}{dx} = 2 \int_1^\infty \frac{dx}{x \sqrt{x^2-1}} - 2\mu \int_1^\infty \frac{dx (x^3-1)}{x^2 \sqrt{x^2-1} (x^2-1)} \\
 &= \pi - 2\mu \int_1^\infty \frac{dx (x-1)(x^2+x+1)}{x^2 \sqrt{x^2-1} (x+1)(x-1)} = \pi - 4\mu.
 \end{aligned}$$



Then

$$\delta\phi = 4\mu.$$

Thus the GR prediction is twice that of the

Newtonian!

