# KMS states and Tomita-Takesaki Theory

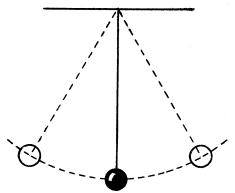
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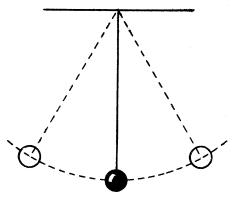
November 20, 2017

## Motivation



Can we obtain the equations of motion from the equilibrium state?

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Can we obtain the equations of motion from the equilibrium state?

Maybe in quantum thermal systems.

$$e^{-\beta H} \circlearrowright e^{-iHt}$$
 temperature  $\iff i \times \text{time}$ 

## Outline

- Classical and Quantum Theories
- 2 Algebraic Quantum Mechanics
- 3 KMS States
- 4 Tomita-Takesaki Theory
- 5 The Canonical Time Evolution

#### Classical theories

 Auxiliary space: locally compact Hausdorff space X;

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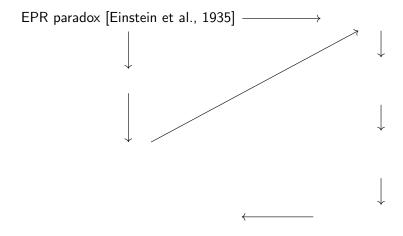
- ullet Auxiliary space: separable Hilbert space  ${\cal H}$
- ullet Observables: self-adjoint operators on  ${\cal H}$
- States: positive, self-adjoint, normalized and trace-class operators  $\rho$  on  $\mathcal{H}$ ;

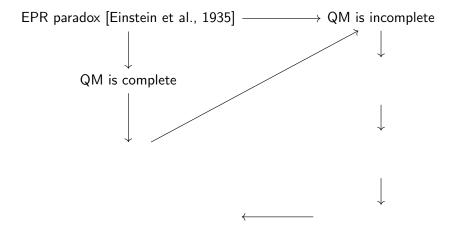
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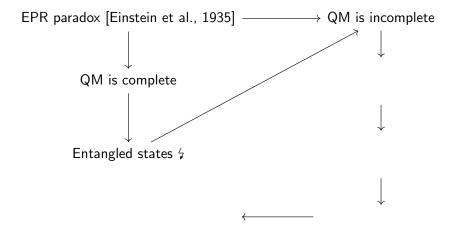
- Auxiliary space: locally compact Hausdorff space X;
- Observables: continuous functions C(X) on X;
- States: probability measures P on X;
- Expected values:  $\int f dP$ .

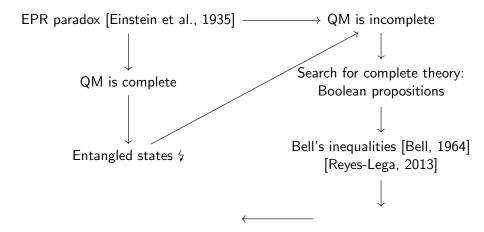
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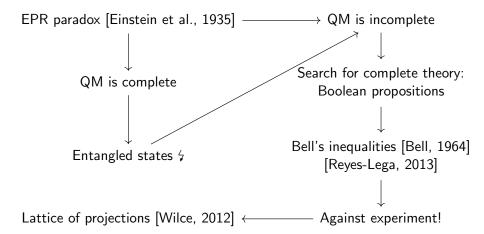
- Auxiliary space: separable Hilbert space  ${\cal H}$
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- States: positive, self-adjoint, normalized and trace-class operators  $\rho$  on  $\mathcal{H}$ ;
- Expected values:  $tr(A\rho)$ .











# Algebraic Quantum Mechanics

- Observables: A  $C^*(W^*)$ -algebra  $\mathcal{A}$ :
  - Complete normed vector space with product and involution;
  - $C^*$  property:  $||A^*A|| = ||A||^2$ ;
  - If  $W^*$ :  $\mathcal{A}'' = \mathcal{A}$ .

• States: Positive normalized linear functionals  $\omega: \mathcal{A} \to \mathbb{C}$ .

## **GNS** Construction

Start with a  $C^*$ -algebra  $\mathcal{A}$  and a state  $\omega$ .

- $\mathcal{N}_{\omega} := \{ A \in \mathcal{A} | \omega(AA^*) = 0 \}$
- Hilbert space  $\mathcal{H}_{\omega}:=\overline{\mathcal{A}/\mathcal{N}_{\omega}}$  with  $\langle [A],[B] \rangle:=\omega(A^*B)$
- Define the representation extending

$$\pi_{\omega}: \mathcal{A} \to \mathcal{B}(\mathcal{H}_{\omega})$$

$$A \mapsto \pi_{\omega}(A): \mathcal{H}_{\omega} \to \mathcal{H}_{\omega}$$

$$[B] \mapsto [AB]$$

- Cyclic vector  $\Omega_{\omega} := [1]$
- Important facts:
  - $\qquad \qquad \omega(A) = \langle \Omega_{\omega}, A\Omega_{\omega} \rangle$
  - ▶ If  $\mathcal{A}$  is a  $W^*$ -algebra,  $\pi_{\omega}$  is a \*-isomorphism,  $\pi_{\omega}(\mathcal{A})$  is a  $W^*$ -algebra, and  $\Omega_{\omega}$  is cyclic and separating  $\bigstar$ .



# **Dynamical Systems**

Time evolution is represented by a one-parameter group of automorphisms

$$au: \mathbb{R} \to \mathsf{Aut}(\mathcal{A})$$

$$t \mapsto \tau_t.$$

Dynamical systems consist of an  $C^*(W^*)$ -algebra with a time evolutions which satisfy certain continuity properties.

## **KMS States**

#### Definition

Let  $(\mathcal{A},\tau)$  be a dynamical system. We say that a state  $\omega$  is a  $(\tau,\beta)$ -KMS state if for all  $A,B\in\mathcal{A}$  there exists a continuous bounded function  $F_{A,B}:\overline{\mathfrak{D}_{\beta}}\to\mathbb{C}$  analytic on  $\mathfrak{D}_{\beta}$  (the strip of the complex plain bounded by  $\operatorname{Im} z=0$  and  $\operatorname{Im} z=\beta$ ) such that

$$F_{A,B}(t) = \omega(A\tau_t(B))$$
  
 $F_{A,B}(t+i\beta) = \omega(\tau_t(B)A)$ 

for all  $t \in \mathbb{R}$ .



# KMS states as Equilibrium states

KMS states are a candidate for a general definition of thermodynamic equilibrium in quantum systems[Haag, 1992][Duvenhage, 1999]:

- KMS states are invariant under the dynamics  $\omega(\tau_t(A)) = \omega(A)$ ;
- In finite dimensional Hilbert spaces with Schrödinger's time evolution  $\tau$ , the only possible  $(\tau, \beta)$ -KMS states are the  $\beta$ -Gibbs states

$$\mathcal{B}(\mathcal{H}) o \mathbb{C}$$
  $A \mapsto rac{\mathsf{tr}(Ae^{-eta H})}{\mathsf{tr}(e^{-eta H})}.$ 

# Tomita-Takesaki Theory

For a  $W^*$ -algebra  $\mathfrak M$  equipped with a cyclic and separating vector  $\Omega$  Tomita-Takesaki theory yields:

- a one-parameter unitary group  $t \mapsto \Delta^{it}$ ;
- ullet a modular conjugation J.

# Theorem (Tomita-Takesaki)

- $J\mathfrak{M}J=\mathfrak{M}'$ ;
- $\Delta^{it}\mathfrak{M}\Delta^{-it}=\mathfrak{M}$  for all  $t\in\mathbb{R}$ .

### Proof.

[Duvenhage, 1999]



# Tomita-Takesaki, Time Evolution and KMS States

# Theorem (★)

 $t\mapsto \Delta^{it}$  is the unique strongly continuous one-parameter unitary group on  $\mathcal H$  that satisfies the KMS condition with respect to  $\mathcal K$  such that  $\Delta^{it}\mathcal K\subseteq\mathcal K$  for all  $t\in\mathbb R$ .

# Theorem (★)

Let  $\mathfrak{M}$  be a von Neuman algebra and  $\omega$  a faithful normal state. Consider the unitary group  $t\mapsto \Delta^{it}$  associated to the pair  $(\pi_{\omega}(\mathfrak{M}),\Omega_{\omega})$ . Then the one-parameter group of automorphisms given by  $\alpha_t=\pi_{\omega}^{-1}(\Delta^{it}\pi_{\omega}(A)\Delta^{-it})$  makes  $(\mathfrak{M},\alpha)$  a  $W^*$ -dynamical system.

### Proof.

[Duvenhage, 1999]

## The Canonical Time Evolution

# Theorem (★★★)

Let  $\mathfrak M$  be a von Neumann algebra and  $\omega$  be a faithful normal state. Then  $(\mathfrak M,\tau)$  with  $\tau_t(A)=\alpha_{-t/\beta}(A)$  and  $\alpha$  the modular group of  $(\mathfrak M,\omega)$  is the unique  $W^*$ -dynamical system such that  $\omega$  is a  $(\tau,\beta)$ -KMS state.

### Proof.

[Duvenhage, 1999]



### Further work

- Classical KMS states and Tomita-Takesaki theory.
- Understanding KMS states from "first principles":
  - stability;
  - passivity.
- Relativistic generalization of KMS states.
- Entropy ambiguities.

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