Advanced Technical Interview Q&A for LLM Engineers

Bronze Level: Foundational Concepts

Question 1: Data Processing Pipeline

- Scenario: The process_refactor.ipynb notebook implements a pipeline to extract, clean, and chunk text from PDFs.
- Question: Describe the importance of each step in this pipeline (extraction, cleaning, garbage detection, chunking). What are the potential downstream impacts on the LLM's performance if any of these steps are skipped or poorly implemented? Provide a specific example for each step.

Answer:

- Extraction (Nougat): This is the foundational step. Its importance lies in accurately converting the visual and structural information in a PDF into a machine-readable format (MMD).
 - Impact of Poor Implementation: Inaccurate OCR introduces factual errors into the training data. For example, if a financial report's figure "\$1,000,000" is extracted as "\$100,000", the model will learn incorrect financial data, making it unreliable for any analytical task.
- Cleaning: This step removes artifacts that are irrelevant to the semantic meaning of the text, such as formatting markers, citations, and headers/footers.
 - Impact of Poor Implementation: An LLM trained on uncleaned text might learn to replicate these artifacts. For instance, if page numbers like "- 23 -" are not removed, the model might randomly insert page numbers in its generated text, degrading the quality and coherence of its output.
- Garbage Detection: This is a critical quality control filter.
 - Impact of Poor Implementation: Training on "garbage" text (e.g., garbled text from a poor scan or non-English text) introduces noise into the model's vocabulary and grammar, potentially causing it to generate nonsensical or irrelevant output.
- Chunking: This step segments the text into pieces that fit within the LLM's context window.
 - Impact of Poor Implementation: Poor chunking can destroy semantic context. For example, if a chunk ends mid-sentence ("The primary cause of the failure was") and the next chunk begins with the rest of the sentence ("a lack of proper maintenance."), the model will struggle to learn the causal relationship.

Question 2: The Role of Prompt Templates

• Scenario: The fine-tuning notebook uses a specific alpaca_prompt for-

mat.

• Question: What is the purpose of a structured prompt template like the one used for Alpaca? Why is it crucial for instruction fine-tuning, and what could happen if you fine-tuned a model on prompts without a consistent structure?

Answer:

A structured prompt template provides a consistent format for presenting examples to the model. It typically delineates different parts of the prompt, such as the instruction, the input (or context), and the expected response.

- Importance for Instruction Fine-Tuning: It teaches the model to recognize and follow instructions. The template acts as a signal, telling the model "Here is the task, here is the data to use, and now you should generate the answer." This consistency is key for the model to learn the general task of instruction-following, rather than just memorizing specific input-output pairs.
- Impact of Inconsistent Structure: Without a consistent structure, the model would be confused about what part of the input is the instruction versus the context. It would not learn to generalize the concept of following instructions and would likely produce less reliable and coherent outputs. The model's performance would be highly unpredictable, as it would not have a clear signal for when to start generating its response.

Question 3: LoRA Fundamentals

- Scenario: The fine-tuning notebook uses LoRA (Low-Rank Adaptation).
- Question: What is LoRA and why is it used? Explain the concept of "low-rank adaptation" and how it reduces the number of trainable parameters.

Answer:

- What is LoRA? LoRA is a parameter-efficient fine-tuning (PEFT) technique. Instead of retraining all of the model's billions of parameters, LoRA freezes the pre-trained weights and injects smaller, trainable "adapter" matrices into the layers of the model.
- Low-Rank Adaptation: The core hypothesis of LoRA is that the change in weights during fine-tuning has a low "intrinsic rank." This means the large matrix of weight updates can be effectively approximated by the product of two much smaller, "low-rank" matrices (A and B). By only training these smaller matrices, LoRA dramatically reduces the number of trainable parameters (often by >99%). This makes fine-tuning significantly faster, requires much less memory, and results in small, portable adapter weights that can be easily shared and swapped out.

Question 4: Gradient Accumulation

• Scenario: The TrainingArguments in the notebook specify gradient_accumulation_steps = 4.

• Question: What is gradient accumulation and why is it a useful technique, especially when training on memory-constrained hardware like a single GPU?

Answer:

Gradient accumulation is a technique to simulate a larger batch size than what can physically fit into GPU memory. Instead of calculating the gradients and updating the model weights after each small batch, it works as follows:

- 1. For a specified number of "accumulation" steps, it computes the gradients for a small batch but *does not* update the model weights.
- 2. It accumulates these gradients in memory.
- 3. After the specified number of steps, it averages the accumulated gradients and then performs the weight update.

This allows the model to be updated using gradients from a much larger "effective" batch size (per_device_train_batch_size * gradient_accumulation_steps), which can lead to more stable training and better model performance, without requiring the massive VRAM that would be needed to process that large batch all at once.

Question 5: The EOS Token

- Scenario: The data preparation code explicitly adds an EOS_TOKEN to the end of each training example.
- Question: What is the End-of-Sequence (EOS) token? What is its role during training and, more importantly, during inference? What would likely happen if you forgot to add it to your training data?

Answer:

- What is it? The EOS_TOKEN is a special token that signals the end of a sequence of text.
- Role during Training: During training, it teaches the model when a response is considered complete. The model learns to generate this token when it has finished its answer.
- Role during Inference: During inference, the EOS_TOKEN is a critical stopping condition. When the model generates the EOS_TOKEN, the generation process stops.
- Impact of Forgetting it: If you don't include the EOS_TOKEN in your training data, the model never learns when to stop talking. During inference, it would likely continue generating text indefinitely (or until it hits the max_new_tokens limit), often rambling on with nonsensical or repetitive content because it never learned the signal for a complete thought.

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Silver Level: Intermediate Concepts

Question 6: bfloat16 vs. float16

- **Scenario:** The notebook mentions that **bfloat16** is preferred on modern (Ampere+) GPUs.
- Question: Explain the technical differences between the bfloat16 (Brain Floating-Point) and float16 (half-precision) data types. Why is bfloat16 often better for deep learning training despite its lower precision?

Answer:

Both bfloat16 and float16 are 16-bit floating-point formats, but they allocate their bits differently:

- float16: Has 1 sign bit, 5 exponent bits, and 10 mantissa (precision) bits.
- bfloat16: Has 1 sign bit, 8 exponent bits, and 7 mantissa (precision) bits.

The key difference is that bfloat16 has the same number of exponent bits as the full 32-bit float32 format.

- Why bfloat16 is better for DL:
 - 1. **Dynamic Range:** The larger exponent range of bfloat16 allows it to represent a much wider range of numbers, just like float32. This is crucial during training, as gradients can have very large or very small values. float16, with its smaller exponent range, is more prone to "underflow" (values becoming zero) or "overflow" (values becoming infinity), which can destabilize training.
 - 2. Easy Conversion: Converting from float32 to bfloat16 is a simple truncation, making it computationally cheap.

While bfloat16 has less precision in its mantissa, deep learning models have been shown to be remarkably resilient to this lower precision. The benefit of a stable training process due to the larger dynamic range far outweighs the cost of reduced precision.

Question 7: 8-bit Optimizers

- Scenario: The notebook uses optim = "adamw_8bit".
- Question: What are the advantages of using an 8-bit optimizer? What are "optimizer states," and how does this technique save a significant amount of GPU memory?

Answer:

• Optimizer States: Optimizers like AdamW need to store additional information beyond the model weights to function. These are called "optimizer states." For Adam, this includes the first moment (momentum) and the second moment (variance) for each model parameter. In standard training, these states are stored in 32-bit precision, meaning they

- can take up twice as much memory as the model weights themselves (e.g., for a 16-bit model, you have 16-bit weights + 32-bit momentum + 32-bit variance).
- 8-bit Optimizer Advantage: An 8-bit optimizer quantizes these optimizer states to 8-bit. This dramatically reduces the memory required for the optimizer. Instead of needing 64 bits of state per parameter, you only need 16 bits (8-bit momentum + 8-bit variance). This can cut the memory required for the optimizer by 75%, often freeing up enough VRAM to train a larger model or use a larger batch size. This is achieved with minimal to no impact on the final performance of the model.

Question 8: Learning Rate Schedulers

- Scenario: The training arguments use lr_scheduler_type = "linear"
 with warmup_steps.
- Question: What is the purpose of a learning rate schedule? Explain how a linear schedule with warmup works. How does it differ from a cosine schedule, and in what scenarios might you prefer one over the other?

Answer:

- Purpose: A learning rate schedule dynamically adjusts the learning rate during training. Starting with a high learning rate and gradually decreasing it can lead to faster convergence and better final performance.
- Linear with Warmup: This schedule has two phases:
 - 1. Warmup: For the first warmup_steps, the learning rate gradually increases from 0 to its target value. This prevents the model from making large, destabilizing updates at the beginning of training when the weights are still random.
 - 2. **Linear Decay:** After the warmup, the learning rate decreases linearly from its target value down to 0 over the rest of the training steps.
- Cosine Schedule: A cosine schedule also typically includes a warmup phase. However, after the warmup, the learning rate follows the shape of a cosine curve, decreasing slowly at first, then more rapidly, and then slowly again as it approaches zero.
- When to Prefer One:
 - Linear: It's a simple, effective, and well-understood scheduler. It's a great default choice.
 - Cosine: The cosine schedule is often found to perform slightly better in practice. The slow decrease at the end of training can allow the model to settle into a wider, more robust minimum in the loss landscape. It's often preferred for large-scale training runs where squeezing out every bit of performance matters.

Question 9: QLoRA Internals

• Scenario: The combination of 4-bit quantization and LoRA is often called QLoRA.

• Question: How does QLoRA differ from standard LoRA? Explain the concepts of the NormalFloat4 (NF4) data type and Double Quantization as introduced by the QLoRA paper.

Answer:

QLoRA builds upon LoRA to enable fine-tuning on a model that has been quantized to 4-bit, something that was previously not effective. It introduces several key innovations:

- Standard LoRA vs. QLoRA: In standard LoRA, the base model is typically in 16-bit or 32-bit. In QLoRA, the base model is quantized to 4-bit, and the LoRA adapters are trained in 16-bit. The gradients are backpropagated through the frozen 4-bit weights into the 16-bit LoRA adapters.
- NormalFloat4 (NF4): The QLoRA paper found that a major issue with 4-bit quantization is that existing methods didn't have the right data type to handle the distribution of weights in a neural network. They introduced NF4, a new 4-bit data type that is "information-theoretically optimal" for normally distributed data. This means it provides better precision for the weight values that are most common in a pre-trained model, leading to less performance degradation.
- Double Quantization (DQ): To save even more memory, QLoRA uses a technique called Double Quantization. The quantization process itself has "quantization constants" (like the scaling factor). Instead of storing these in 32-bit, DQ quantizes the quantization constants themselves, saving on average about 0.5 bits per parameter.

Question 10: Llama Architecture Specifics

- Scenario: The notebook fine-tunes a CodeLlama-34B model.
- Question: What are some architectural features specific to Llama-based models that differ from the original Transformer? Explain SwiGLU and RMSNorm and the benefits they provide.

Answer:

Llama models introduced several improvements over the original Transformer architecture that have become standard in many modern open-source LLMs.

- RMSNorm (Root Mean Square Normalization): The original Transformer used LayerNorm. RMSNorm is a simpler and more computationally efficient normalization technique. It normalizes the activations by their root mean square, without the re-centering step of LayerNorm. This simplification makes it faster to compute while providing similar performance and training stability.
- SwiGLU Activation Function: The original Transformer used a standard ReLU activation function in its feed-forward network (FFN) layer. Llama models use the SwiGLU activation function. The FFN is modified to have three weight matrices instead of two. The input is projected up

by two of them, one of which is passed through a Swish activation function, and then the two results are multiplied element-wise before being projected back down by the third matrix. This has been shown to improve model performance compared to a standard ReLU FFN with the same number of parameters.

Gold Level: Advanced Concepts

Question 11: The "Garbage" File as a Feedback Loop

- Scenario: The process_refactor.ipynb saves "garbage" text to a separate file.
- Question: Beyond simple inspection, how could you systematically use this "garbage" file to create a machine-learning-based feedback loop to improve your data processing pipeline over time?

Answer:

The garbage file is a goldmine for active learning and auto-improvement of the data pipeline. Here's a systematic approach:

- Build a Review UI: Create a simple web interface where a human expert can review items from the _garbage.jsonl file. The UI would show the text and allow the expert to label it as either "Correctly Flagged" or "Incorrectly Flagged (False Positive)".
- 2. Train a "Garbage Classifier": Use the collected human-labeled data to train a binary text classification model (e.g., a small DistilBERT or a logistic regression model on TF-IDF features). This model's task is to predict whether a given chunk of text is garbage.
- Augment Heuristics: Initially, this classifier can be used as a more sophisticated is_garbage function, replacing the complex regex-based heuristics.
- 4. Active Learning: Instead of randomly sampling from the garbage file for review, use the classifier to find the most uncertain examples (e.g., those where the model's prediction is close to 0.5). Presenting these "hard" examples to the human expert is a much more efficient way to improve the model.
- 5. Iterative Refinement: Periodically retrain the garbage classifier with the new labels collected from the review UI. Over time, this model will become increasingly accurate at identifying garbage specific to your data distribution, far surpassing the initial heuristic-based approach.

Question 12: Flash Attention

• Scenario: Libraries like Unsloth often mention using "Flash Attention" as a key optimization.

• Question: What is Flash Attention? Explain the I/O bottleneck in the standard implementation of self-attention and how Flash Attention uses techniques like kernel fusion and tiling to address it.

Answer:

- The I/O Bottleneck: Standard self-attention is memory-bound. The attention matrix S = QK^T is of size N x N where N is the sequence length. For a long sequence, this matrix can be very large and may not fit in the GPU's fast SRAM. The standard implementation has to write this large matrix to and from the much slower GPU High-Bandwidth Memory (HBM). This movement of data (I/O) between SRAM and HBM is the primary bottleneck, not the matrix multiplications (FLOPs) themselves.
- Flash Attention Solution: Flash Attention is an I/O-aware implementation of attention that avoids this bottleneck. It does not compute and write the full attention matrix to HBM. Instead, it uses two key techniques:
 - 1. Tiling: It breaks the large attention matrix into smaller blocks or "tiles" that can fit into the GPU's SRAM. It then performs the attention computation one block at a time.
 - 2. Kernel Fusion: It fuses all the steps of the attention calculation (the scaling, softmax, and dropout) into a single GPU kernel. This means it loads a block of Q, K, and V from HBM into SRAM, performs all the calculations for that block, and writes only the final output back to HBM, without ever writing the intermediate attention matrix.

By restructuring the computation to minimize I/O with HBM, Flash Attention provides significant speedups (often 2-4x) and memory savings, enabling training with much longer sequences.

Question 13: Continuous Fine-Tuning and Catastrophic Forgetting

- Scenario: The notebook finds the checkpoint with the lowest evaluation loss to resume from.
- Question: Discuss the potential pitfalls of this "best-loss" checkpointing strategy in a continuous fine-tuning or lifelong learning scenario. What is "catastrophic forgetting," and how might this strategy exacerbate it? Propose a more robust checkpointing and data-curation strategy for a model that needs to be periodically updated with new data.

Answer:

- Pitfalls of Best-Loss Checkpointing: While simple, this strategy can lead to overfitting on the specific validation set used. The model might learn to perform well on that particular slice of data at the expense of generalizing to new, unseen data.
- Catastrophic Forgetting: This is the tendency of a neural network to abruptly and completely forget previously learned information upon learning new information. In a continuous fine-tuning scenario, if you simply fine-tune the model on a new batch of data, it will likely overwrite the

weights that encoded the knowledge from the old data, leading to a sharp drop in performance on the original tasks. The "best-loss" strategy can exacerbate this because it always starts from the point that was optimal for the *previous* data mix, making it highly susceptible to forgetting when the data distribution shifts.

• Robust Strategy:

- 1. **Replay Buffer:** Don't just train on the new data. Maintain a "replay buffer" of high-quality examples from previous training runs. When fine-tuning on new data, mix in a small percentage of this old data. This forces the model to remember the old information while learning the new.
- 2. Elastic Weight Consolidation (EWC): Instead of simple fine-tuning, use a technique like EWC, which identifies the weights that were most important for the previous task and adds a penalty term to the loss function to prevent them from changing too much.
- 3. Checkpoint Averaging: Instead of just taking the single best checkpoint, save the last N checkpoints and average their weights. This often leads to a model that is in a wider, more robust minimum in the loss landscape and generalizes better.

Question 14: Designing a ML-based Data Cleaning System

- Scenario: The process_refactor.ipynb uses a set of regexes for cleaning. This is a heuristic approach.
- Question: Propose a more robust, machine-learning-based approach to identify and remove "noise" (like citations, headers, footers) from academic papers. What kind of model would you use, how would you label the data, and how would you integrate it into the pipeline?

Answer:

A more robust approach would be to treat this as a sequence-to-sequence or sequence tagging problem.

- Data Labeling: You would need to create a labeled dataset. This
 would involve taking a sample of raw MMD files and manually annotating
 them. For each line or token, you would assign a label, such as CONTENT,
 CITATION, HEADER, FOOTER, FIGURE_CAPTION, etc. This is labor-intensive
 but crucial.
- 2. Model Choice: A lightweight encoder-based model like a fine-tuned Distilbert or a Bi-LSTM with a CRF (Conditional Random Field) layer on top would be a good choice. The model would take a sequence of text lines as input and output a sequence of labels.
- 3. **Training:** Train the model on the hand-labeled data to predict the correct label for each line of text.
- 4. **Integration:** In the data processing pipeline, after the initial Nougat extraction, you would pass the raw MMD content through this trained cleaning model. The model would output a labeled version of the doc-

ument. You can then simply discard all lines that are not labeled as **CONTENT**. This ML-based approach would be far more robust to variations in formatting than a brittle set of regexes and would improve over time as more data is labeled.

Question 15: The Math of PPO in RLHF

- Scenario: RLHF often uses Proximal Policy Optimization (PPO).
- Question: A key part of the PPO objective function is a KL-divergence penalty term between the current policy and a reference policy. What is the mathematical purpose of this term? What happens to the training process if the KL penalty coefficient is set too high or too low?

Answer:

The PPO objective function in RLHF is typically: Objective = E[Reward] - * KL(_policy || _reference)

- Mathematical Purpose of the KL Term: The KL-divergence term measures how much the current policy (_policy) has diverged from the original supervised fine-tuned model (_reference). Its purpose is to act as a constraint, preventing the policy from moving too far away from the reference model in pursuit of a high reward from the reward model. This is crucial because the reward model is only accurate in the vicinity of the data it was trained on. If the policy becomes too different, it can find ways to "hack" the reward model, generating outputs that get a high score but are nonsensical or undesirable (a phenomenon known as "reward hacking").
- Impact of the Coefficient ():
 - too high: The KL penalty will dominate the objective. The model will be heavily constrained to stay very close to the original SFT model and will not be able to effectively explore and learn from the reward model's feedback. The resulting model will be very similar to the SFT model.
 - too low: The KL penalty will be too weak. The model will aggressively optimize for the reward signal, which can lead to catastrophic forgetting of the original language modeling capabilities and reward hacking. The model might start generating gibberish that happens to get a high score from the reward model.

Platinum Level: Expert & Research Concepts

Question 16: Triton Kernel Optimization

• Scenario: Unsloth uses custom Triton kernels for performance. Imagine you are tasked with optimizing the Multi-Head Attention block.

• Question: Describe how you would structure your Triton kernel for the attention calculation. Where are the main opportunities for parallelization and memory access optimization (e.g., SRAM tiling) to outperform a naive PyTorch implementation?

Answer:

A Triton kernel for attention would be structured to maximize data reuse and parallelization, fully embracing the tiling and kernel fusion concepts of Flash Attention

- Program Structure: The kernel would be a triton.autotune function to find the optimal block sizes (BLOCK_M, BLOCK_N, BLOCK_DHEAD) for the specific GPU architecture. The grid would be 2D, launched for each batch and each attention head ((batch * n_heads, n_ctx)).
- 2. **Parallelization:** The main parallelization is over the sequence length of the queries (the outer loop). Each program instance (a thread block on the GPU) would be responsible for computing the attention output for a single query token or a small block of query tokens.
- 3. Memory Access Optimization (Tiling):
 - The core of the optimization is processing the K and V matrices in blocks. The kernel would loop over blocks of the K and V matrices.
 - In each iteration, a block of Q, K, and V is loaded from HBM into the much faster SRAM. The pointers are advanced using tl.arange and masking to handle sequences that aren't a perfect multiple of the block size.
 - The S_ij = Q_i * K_j^T matrix multiplication for the block is performed entirely in SRAM.
 - Online Softmax: The softmax is computed in a streaming fashion. As we compute each S_ij, we update the running max, the running sum of exponents, and the running attention output. This is the key trick that avoids instantiating the full N x N attention matrix.
 - The O_i = P_ij * V_j computation is also done on the same block before the next block of K and V is loaded.
- 4. **Outperforming PyTorch:** This approach outperforms a naive PyTorch implementation by drastically reducing the number of reads and writes to the slow HBM. The kernel fusion ensures that all intermediate products (like the S matrix) live and die entirely within the fast SRAM, which is the fundamental reason for the massive speedup.

Question 17: Advanced Quantization (AWQ vs. GPTQ)

- Scenario: The notebook mentions saving to GGUF, a common quantization format.
- Question: Beyond GGUF, two other popular post-training quantization (PTQ) methods are GPTQ and AWQ. Compare and contrast these two methods. What is the core insight of AWQ (Activation-aware Weight Quantization) that allows it to often achieve better performance than

GPTQ?

Answer:

- GPTQ (Generative Pre-trained Transformer Quantization): GPTQ is a layer-wise quantization method. It processes the model one layer at a time, quantizing the weights of that layer while trying to minimize the error on a small calibration dataset. Its key innovation is using the inverse Hessian of the weights to inform the quantization, which allows it to make more intelligent decisions about how to round the weights to minimize the impact on the layer's output. It is computationally expensive.
- AWQ (Activation-aware Weight Quantization): AWQ's core insight is that not all weights are equally important. It observes that in an LLM, there are large activation outliers in some channels, and the weights connected to these channels are much more important to preserve with high precision. Instead of treating all weights equally, AWQ protects these "salient" weights by scaling them up before quantization and scaling them back down after. This means the quantization error is disproportionately applied to the less important weights.

• Comparison:

- Core Idea: GPTQ focuses on minimizing layer-wise reconstruction error. AWQ focuses on protecting the most important weights based on activation magnitudes.
- Calibration: Both use a small calibration dataset.
- Speed: AWQ is significantly faster than GPTQ because it doesn't involve complex Hessian calculations.
- **Performance:** AWQ often achieves slightly better perplexity scores than GPTQ, especially at very low bit-rates (e.g., 3-bit or 4-bit), because its approach of protecting salient weights is very effective.

Question 18: Speculative Decoding

- Scenario: You need to serve the fine-tuned model with the lowest possible latency.
- Question: What is speculative decoding? Describe how it works using a small, fast "draft" model and a large, accurate "target" model. Why can this technique significantly speed up inference without sacrificing any model quality?

Answer:

Speculative decoding is an inference optimization technique that uses a small, fast draft model to generate a "draft" sequence of several tokens. The large, powerful target model then evaluates this entire draft sequence in a single forward pass.

• How it Works:

- 1. The small draft model (e.g., a 1B parameter model) autoregressively generates a short sequence of k tokens. This is very fast.
- 2. The large target model (e.g., the 34B CodeLlama) takes this k-token draft as input and performs a single, parallel forward pass to get the probability distributions for all k+1 positions.
- 3. The algorithm then compares the draft model's predictions with the target model's. It accepts all the draft tokens up to the first point where the two models disagree.
- 4. If all k tokens are accepted, the target model's prediction for the k+1-th token is used, and the process repeats.
- Why it's Faster: The key is that the large model performs a single forward pass to validate k tokens, instead of k separate, sequential forward passes. Since inference is memory-bound, the latency is dominated by loading the model weights from HBM for each forward pass. By validating tokens in parallel, speculative decoding dramatically reduces the number of these expensive memory loads, leading to a significant speedup (often 2-3x) with zero loss in quality, because the final output is mathematically identical to what the large model would have produced on its own.

Question 19: Designing an Inference System for Code Completion

- Scenario: You are tasked with designing a production system for serving the fine-tuned CodeLlama-34B model for a real-time code completion API.
- Question: Describe your choice of inference engine, batching strategy, and quantization scheme. Justify your choices based on the specific requirements of low latency and high throughput for a code completion task.

Answer:

This requires balancing latency, throughput, and cost.

- Inference Engine: vLLM: I would choose vLLM as the inference engine. Its key feature is PagedAttention, which is a memory management algorithm that effectively eliminates internal memory fragmentation. For a code completion API with highly variable prompt lengths (some users will have a lot of code context, others very little), PagedAttention allows for much higher throughput than traditional engines because memory is not wasted. It also supports continuous batching.
- Batching Strategy: Continuous Batching: I would use continuous batching (also a feature of vLLM). In traditional static batching, the server waits to accumulate a full batch of requests before processing, which adds latency. Continuous batching processes requests as they arrive, adding them to a running batch. As soon as any sequence in the batch finishes, a new request is swapped in. This is ideal for a real-time API as it maximizes GPU utilization (high throughput) while minimizing the "wait time" for any individual request (low average latency).
- Quantization Scheme: AWQ (Activation-aware Weight Quanti-

zation): For the model itself, I would use a 4-bit AWQ-quantized version.

- Why AWQ? It provides an excellent balance of performance and speed, often outperforming GPTQ with faster quantization times.
- Why 4-bit? A 4-bit model has a much smaller memory footprint, allowing me to fit more concurrent requests (a larger effective batch) onto a single GPU, which is the key to high throughput. For code completion, the slight potential degradation in perplexity from 4-bit quantization is an acceptable trade-off for the massive throughput gains, as the model's suggestions will still be highly accurate and useful.

Question 20: The Future - Beyond the Transformer

- Scenario: The Transformer has dominated NLP for years, but research is exploring alternatives.
- Question: Discuss one or two promising post-Transformer architectures (e.g., State Space Models like Mamba, RWKV, or attention-free models). What are their core architectural differences from the Transformer, and what problems are they trying to solve?

Answer:

A promising alternative is the State Space Model (SSM), with Mamba being a leading example.

• Core Architectural Difference:

- Transformers are based on the attention mechanism, which is parallelizable but has a computational complexity that is quadratic with respect to sequence length $(O(N^2))$. This makes it very expensive for long sequences.
- SSMs are inspired by control theory. They process sequences linearly (O(N)) by maintaining a compressed "state" that is updated at each step. The core idea is that the output at any given time step is a function of the current input and this hidden state.
- Mamba's Innovation: The key problem with traditional SSMs was that they were not "content-aware"—the state transitions were fixed. Mamba introduces **selection mechanisms** where the SSM parameters are functions of the input data itself. This allows the model to selectively remember or forget information based on the content of the sequence, giving it the context-aware capabilities of attention but with linear complexity.

• Problems They Solve:

- 1. Long Context: Their linear scaling makes them far more efficient than Transformers for processing very long sequences, like entire books or large codebases.
- 2. **Inference Speed:** The recurrent nature of their inference (they don't need a large KV cache like Transformers) makes them potentially much faster for autoregressive generation.

While Transformers are still dominant, architectures like Mamba represent a

significant shift in thinking and could be the key to building models that can handle truly massive contexts efficiently.