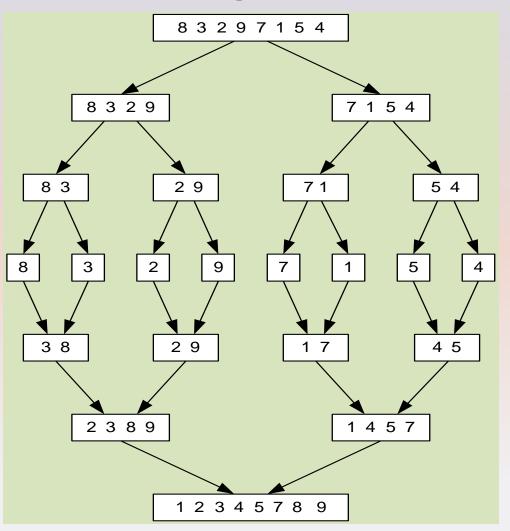
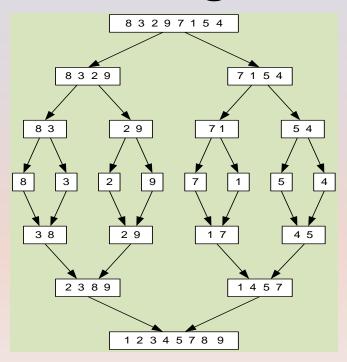
# Divide-and-Conquer Mergesort



## Divide-and-Conquer Mergesort



$$T(n) = 2T(n/2) + \theta(n),$$
  
$$T(1) = \theta(1)$$

## Divide-and-Conquer Mergesort

$$\begin{array}{rcl} T(n) & = & 2T(n/2) + n \\ & = & 2[2T(n/4) + n/2] + n \\ & = & 4T(n/4) + 2n. \end{array}$$

We can repeat this substitution again, and again, up to  $\log n$  times:

$$T(n) = 2T(n/2) + n$$
  
 $= 4T(n/4) + 2n$   
 $= 8T(n/8) + 3n$   
...  
 $= 2^{j}T(n/2^{j}) + jn$   
...  
 $= nT(1) + n \log n$   
 $= \Theta(n \log n)$ .

#### Example

Find the maximum and minimum of a sequence If n=1, the number is itself min or max

If n>1, divide the numbers into two lists.

Decide the min & max in the first list.

Decide the min & max in the second list.

Choose the min & max of the entire list.

$$T(n)=2T(n/2)+2$$
  
 $T(n) = \Theta(n)$  (proof - later)

Can you give another algorithm?

#### Let's solve the following recurrence in general:

$$T(n) = aT(n/b) + n$$

where a > 0, b > 1, T(1) = 1

do repeated substitutions:

$$\begin{array}{rcl} T(n) & = & aT(n/b) + n \\ & = & a[aT(n/b^2) + n/b] + n \\ & = & a^2T(n/b^2) + (a/b)n + n \\ & \cdots & check \\ & = & a^jT(n/b^j) + n[(a/b)^{j-1} + \dots + (a/b)^2 + (a/b) + 1] \\ & \cdots \\ & = & a^{\log_b n}T(1) + n \cdot \sum_{i=0}^{\log_b n-1} (a/b)^i \\ & = & n^{\log_b a} + n \cdot \sum_{i=0}^{\log_b n-1} (a/b)^i \end{array}$$

$$n^{\log_b a} + n \cdot \sum_{i=0}^{\log_b n-1} (a/b)^i$$

Case 1: a = b. The first term is n. In the summation, we have  $\log_b n$  terms and they are all equal a/b = 1, so the second term is  $n \log_b n$ . Thus we get  $T(n) = \Theta(n \log n)$ .

Case 2: a < b. The second term is now a geometric series with the ratio smaller than 1, so  $\sum_{i=0}^{\log_b n-1} (a/b)^i = \Theta(1)$ . The first term is  $n^{\log_b a}$  with  $\log_b a < 1$ , so we get  $T(n) = \Theta(n)$ .

Case 3: a > b. Summing the geometric series in the second term, we get

$$\sum_{i=0}^{\log_b n-1} (a/b)^i = \frac{(a/b)^{\log_b n} - 1}{(a/b) - 1} = \frac{b}{a-b} (a^{\log_b n}/b^{\log_b n} - 1) = \frac{b}{a-b} (n^{\log_b a}/n - 1)$$

So

$$T(n) = n^{\log_b a} + \frac{b}{a-b}(n^{\log_b a} - n) = \Theta(n^{\log_b a}).$$

For  $r \neq 1$ , the sum of the first n terms of a geometric series is

$$a+ar+ar^2+ar^3+\cdots+ar^{n-1}=\sum_{k=0}^{n-1}ar^k=a\left(rac{1-r^n}{1-r_{\mathbb{S}}}
ight)$$

# Divide-and-Conquer Master Theorem

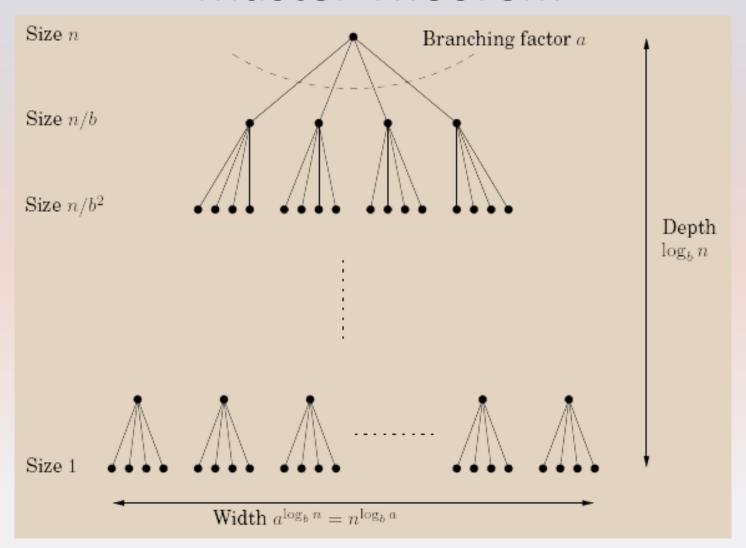
#### Theorem (Master Theorem)

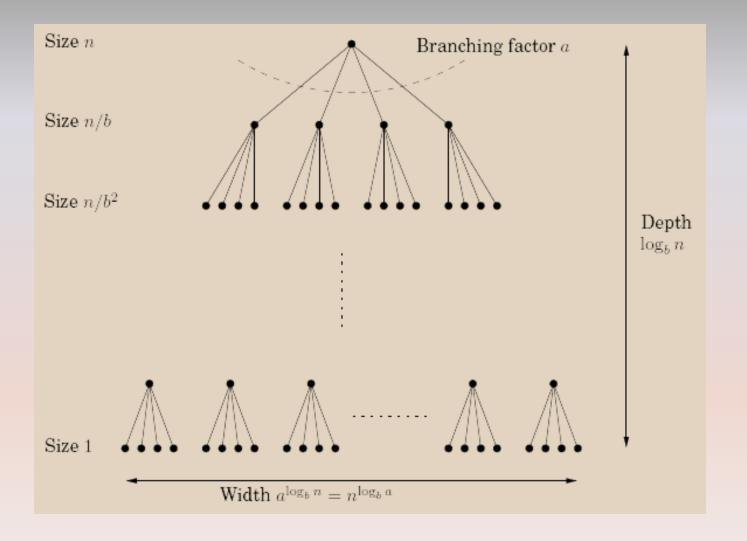
Let 
$$a \ge 1$$
,  $b > 1$ ,  $c > 0$  and  $d \ge 0$ . If  $T(n)$  satisfies the recurrence 
$$T(n) = aT(n/b) + cn^d,$$

#### then

$$T(n) = \begin{cases} \Theta(n^{\log_b a}) & \text{for } a > b^d \\ \Theta(n^d \log n) & \text{for } a = b^d \\ \Theta(n^d) & \text{for } a < b^d \end{cases}$$

# Divide-and-Conquer Master Theorem





$$T(n) = aT(n/b) + cn^{d}$$

$$T(n) = \left\{ \begin{array}{ll} \Theta(n^{\log_{b} a}) & \text{for } a > b^{d} \\ \Theta(n^{d} \log n) & \text{for } a = b^{d} \\ \Theta(n^{d}) & \text{for } a < b^{d} \end{array} \right.$$

```
(a) Algorithm Print Xs (n : integer)
if n < 3
print ("X")
else
Print Xs(\lceil n/3 \rceil)
Print Xs(\lceil n/3 \rceil)
Print Xs(\lceil n/3 \rceil)
Print Xs(\lceil n/3 \rceil)
for i \leftarrow 1 to 2n do print ("X")
```

```
(a) Algorithm Prints (n: integer)

if n < 3

print("X")

else

Prints([n/3])
Prints([n/3])
Prints([n/3])
Prints([n/3])
for i \leftarrow 1 to 2n do print("X")
```

There are 3 recursive calls, each with parameter  $\lceil n/3 \rceil$ . Since we are looking for an asymptotic solution, we can ignore rounding. Then the number of letters printed can be expressed by the recurrence:

$$X(n) = 3X(n/3) + 2n.$$

We apply the Master Theorem with a=3, b=3, c=2, d=1. Here, we have  $a=b^d$ , so the solution is  $\Theta(n \log n)$ .

```
(b) Algorithm Printys (n: integer)

if n < 2

print("Y")

else

for j \leftarrow 1 to 16 do Printys(\lfloor n/2 \rfloor)

for i \leftarrow 1 to n^3 do print("Y")
```

```
(b) Algorithm Printys (n: integer)

if n < 2

print("Y")

else

for j \leftarrow 1 to 16 do Printys(\lfloor n/2 \rfloor)

for i \leftarrow 1 to n^3 do print("Y")
```

(b)

There are 16 recursive calls, each with parameter  $\lfloor n/2 \rfloor$ . Since we are looking for an asymptotic solution, we can ignore rounding. Then the number of letters printed can be expressed by the recurrence:

$$X(n) = 16X(n/2) + n^3.$$

We apply the Master Theorem with a=16, b=2, c=1, d=3. Here, we have  $a>b^d$ , so the solution is  $\Theta(n^{\log_2 16})$ .

```
(c) Algorithm PrintZs (n : integer)

if n < 3

print("Z")

else

PrintZs(\lceil n/3 \rceil)

PrintZs(\lceil n/3 \rceil)

for i \leftarrow 1 to 7n do print("Z")
```

```
(c) Algorithm PrintZs (n: integer)

if n < 3

print("Z")

else

PrintZs(\lceil n/3 \rceil)
PrintZs(\lceil n/3 \rceil)
for i \leftarrow 1 to 7n do print("Z")
```

(c) There are 2 recursive calls, each with parameter  $\lceil n/3 \rceil$ . Since we are looking for an asymptotic solution, we can ignore rounding. Then the number of letters printed can be expressed by the recurrence:

$$X(n) = 2X(n/3) + 7n.$$

We apply the Master Theorem with a = 2, b = 3, c = 7, d = 1. Here, we have  $a < b^d$ , so the solution is  $\Theta(n)$ .

```
(d) Algorithm Printus (n : integer)

if n < 4

print("U")

else

Printus([n/4])

Printus([n/4])

for i \leftarrow 1 to 11 do print("U")
```

```
(d) Algorithm Printus (n : integer)

if n < 4

print("U")

else

Printus([n/4])

Printus([n/4])

for i \leftarrow 1 to 11 do print("U")
```

(d) There are 2 recursive calls, each with parameter  $\lceil n/4 \rceil$ . Since we are looking for an asymptotic solution, we can ignore rounding. Then the number of letters printed can be expressed by the recurrence:

$$X(n) = 2X(n/4) + 11.$$

We apply the Master Theorem with a=2, b=4, c=11, d=0. Here, we have  $a>b^d$ , so the solution is  $\Theta(n^{\log_4 2})$ .

```
(e) Algorithm PrintVs (n: integer)

if n < 3

print("V")

else

for j \leftarrow 1 to 9 do PrintVs(\lfloor n/3 \rfloor)

for i \leftarrow 1 to 2n^3 do print("V")
```

```
(e) Algorithm PrintVs (n: integer)

if n < 3

print("V")

else

for j \leftarrow 1 to 9 do PrintVs(\lfloor n/3 \rfloor)

for i \leftarrow 1 to 2n^3 do print("V")
```

(e) There are 9 recursive calls, each with parameter  $\lfloor n/3 \rfloor$ . Since we are looking for an asymptotic solution, we can ignore rounding. Then the number of letters printed can be expressed by the recurrence:

$$X(n) = 9X(n/3) + 2n^3.$$

We apply the Master Theorem with a = 9, b = 3, c = 2, d = 3. Here, we have  $a < b^d$ , so the solution is  $\Theta(n^3)$ .