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Chief, Contact Division, 00

Attempted RDS Penetration of hell beleghous Laboratories - MI-10012

1. Attended are two measurants, dated 25 June 1963, from our New York Field Office concerning apparent Nik interest in Manfred B. Mehronder and Donald R. Thomas, Sell Telephone Lebountaries, Kurrmy Rill, New Jersey. We believe this information about he passed to the FMI immediately. We would appreciate being advised the date this information is forwarded to the Dyreau.

- 2. For your information, permission to brief Dr. Schrooder prior to his trip to the USH was requested by our New York Office but referred by FI Staff. In view of his employment, bowever, and previous CO/CO contacts with him, FI recommended be be provided with a strong defensive and realities briefing.
- 3. Parther inquiry may be directed to Mrs. Shirley Stateon, CA/00, Support Branch, Spon 413, 1717 H Street, H. V., extension 8268.

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UNITED STATES GOVERNMENT

Memorandum

TO : Chief, Contact Division

DATE: 1 July 1963

Attn : Mc Connell/Czajkowski/Lincoln Staff

FROM : Chief, New York Office

SUBJECT: Attempted R.I.S. Penetration of Bell Telephone Labs

Ref: MY Reports 2988-3 and 2989-3

1. We thought the attached reports would be of interest to Lincoln Staff from a Soviet realities standpoint. We also felt that they should be brought to the attention of Division management because the M.O. outlined therein is becoming a major factor in dealing with sources who have contact with Sovbloc nationals. It has been our experience that the type of activity outlined has been on a marked increase, particularly since the establishment of the KGB 10th Directorate. Although such activity by Sov legals is taking place throughout the continental U.S., undoubtedly its greatest impact is being felt in the New York and Washington, D.C. areas because of the large Soviet Government establishments in both of these cities. For this reason it would seem imperative that both WAFO and NYFO be extremely vigilant for such activity in companies and institutions with which they are dealing in order that it may be recognized in its earliest stages and appropriate CI/CE countermeasures instituted. In order to assist other offices in this respect, we plan to write in the mear future an article for the Division Newsletter, outlining some of our experiences along these lines.

2. We were somewhat disappointed in CI Staff's reaction to our recent request for assistance in defensive briefing of the subject of one of the attached reports. It seems to be quite clear now that our original assumption concerning his being R.I.S. targeted was quite correct and that there is a strong likelihood that his current trip behind the Curtain will be utilized by the opposition for provocation and/or an actual recruitment attempt. We wonder in future cases of this nature exactly what support we may expect from Angleton's shop. Although we feel that we have a fair capability for handling such matters here in New York, we do admit that, at least on this one, we would have felt slightly more comfortable had a CI specialist been along, if for no other reason than to preclude any possible Monday morning quarterbacking by the "old pros."

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Subject: Attempted R.I.S. Penetration of Bell Telephone Labs

3. Judging by the attached material, it would appear that, despite established Bell policy (see our 16 May memorandum re Case 39,790), the opposition has seen fit to deflower at least two of Bell's scientific virgins. We serfously wonder at this point just how many other Bell people might be in the same boat. Perhaps, as a result of all this, some representation by Bell management to the KUB will be forthcoming, spelling out to that organization, as was spelled out to our own, established company policy pertaining to such matters. In any event, it would appear that at least one or two Bell scientists, and there are probably more, have been giving the R.I.S. more cooperation and reporting than the Agency. Let's hope that in the near future Bell management takes some effective steps to rectify this rather ludierous, and patently dangerous, situation.

J. J. Command Jay & L. REEVES

Attachments: MY Reports 2988-3 and 2989-3

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Memorandum

To : Chief, Contact Division

Attn : Support (Jairley Stetson)

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Scaper: Apparent ME Interest in Manfred F

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11 0930-1230 hours 1h June, Manfred A. Schroeder of Sell labs received a strong defensive briefing in connection with his June-July trip to the USER and Auguria (see our 7 May Coordination Outline for subject). During Schroeder's orieting, Magic elleited from him that prior to a trip to the USER in 1959 he had been contacted by an individual who represented himself as a West Scream Intelligence Officer. More Important, we also learned that, at about the same time, RIS cultivation of Schroeder apparently began.

2. Concerning the West German approach, Schreefer indicated that his mother, who resides in Hamburg, was relephoned in 1959 by an individual who claimed to be an old acquaintence of her son's and who was interested in contacting him concerning employment in the US. The caller left a phone number and said that he would appreciate it if Schroeder could call him now, time he was in Hastung. schroeder's mother relayed the message to her con, and, as requested, he telephoned the caller just prior to his 195, UGAR junket. The individual he called identified himself as a West German Intelligence Officer and said that be would like to me ? . where ler as coon as possible. Schroeder agreed. When they set, for individual in question (Schroeder does not recall his name) presented suitable credentials and expressed interest in Senroyder's trip to the USSE. According to Schroeder's account, the West German Invalligance Officer gave him the old "keep your eyes and wars open" pitch, and tild him that he would contact him in the First West German city in which he touched down after his USSR trip. Schroeder was consisted in Stuttgart promptly after returning to Germany from the US-Wi on his way suck to the US. Because of his US employment and the fact that Bell paid for his trip, Schroeder became very apprehensive about any further contact with the West German service and at the time of the call indicated that he had nothing to say and wanted no further contact. Other than the cursory examination of credentials (us reported above), chroder cade no attempt to establish the bona fides of the German who made the approach. We pointed out to Schrieder the unlikely possibility that the approach might not have been cons fide and might, in fact, have been a prove-

* come attacked.

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address Apparets the let most in them is the art, was a letterant when

 Apparently B.I.S. subtivition of Storetary, gas. St. S. prior to the above incident. According to his worked, is has received what appeared to be a routing invitation to visit whilet connection with the invitation, is wrote to the Save on your conthat during his visit he would like to meet be be-Sov acousties engineer who was very interested a will derive will and had done several Russian translations of this time forms to the a result of this correspondence, Scarcedor received a * degree will, from an individual who challed to be a low if he is represented in the North. The caller told Schroeder that is not a set of the control of t of the State Committee Ar Jos Prattice of the latest with caller, whose man wehre her full not yet like to deliver the invitable to aim policing out on the legant of . Schroder indicated that he was very that with last contract actions which he had to job in a size of the all to be all each in the facilitytime couldn't . A. or t. wir. wire the color instance that wis lastractions were to injiver the excitation constantly, Secretary Finally agreed to have his sife so to the first test to soil respict up the invitation. Indicatelly, at as just in the externation Convocate invites the sex to serve the invitation to his hope. the latter declined, invever, saying that John with hour was in an area closed to travel by Sow diplomatic personnel. In any event, the Sov arranged to meet delication in all the a success conner in Chathan, New Jersey, and at that time there is seen to her the invitation for her husband.

to Schroeder said that his 199, while to the 1933 was gatter routine and that he could recall nothing out the obstacry happening during the trip. During the visit, also we counterpart, Carlow, who speaks fluent German, neted as his best and was at his after the continually.

5. Sometime in 1901 commends received a phase rail at his inch.
One evening from Vindimi Volgin this identified humself as a representative of Amters (APS). Volgin call that James are a old friend.
Parduev had just completed a visit to bev Yang and before leaving the city had asked him to deliver a present to behave for him, beause the phone call. Volgin insisted in delivering the present to defended in person, and the two mile arrangements to have humb together the following week in Mandattan. This began a period of approximately sim

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Subject: Apparent his interest in <u>Numfred in School w</u> well Telephone Lab.

or more contacts with Todain which, anted will jets apply when Communed received a note from Volgin stating that we and his 'manage were relateding to the USSA in order that his walle could maker, as donal transfer there. Incidentally, Communed and other contact with Furnacy there in 1962 when he set him in Copyrhages at a professional secting.

- 6. Schroeder said that west, if not all, of Volgan's plane can't to him were to his been and usually appeared to have been ode from pay phones. Schroeder said that his meetings with forgin included lunches and dimers in Manhattan, modalling at a hard religion included lunches and dimers in Manhattan, modalling at a hard religion included lunches and dimers in Manhattan, modalling at a hard religion of the second said him hard modern to his wife accompanied him hard most of his contact with Volgio. Other that to his we family, Volgio never introduced Schroeder to any other that violates.
- 7. Schroeder claims that on a couple of recombinate tauned over unclassified becaused by papers to Visita, who stained to be an electrical engineer. Schroeder can't recall weather Volgin specifically requested the information or whether he turned it over to him on his own initiative. At one point during their relationship, Volgin maked Behroeder to state an article on US acoustics work for publication in a Soviet because Journal. Schroeder declined.
- d. In retrospect Schreder admitted that he had been quite native about his relationship with Volgin and expressed some concern that sel' security hadn't been more active in adminishing his separate devel pement of relationships with Jay Government representatives. His view of his experiences and completely coincides with ours as a result of our discussion.
- 9. Another factor which deviously enters into Secretar's R.I.S. picture is his wife's bulgarian extraction. Shareder claims that neither he now, to the best of his knowledge, his wife have ever been approached by Sovbior representatives in this country concerning his wife's family in Bulgaria. His wife's mother, issually Econockik, immigrated to the US in April 1967 and lived with Scarceder and his wife until Howember 1962 when she returned to Bulgarently because the was honesick and couldn't adjust to the new language and life in the US. Schwooder said that his mother-in-last's original exit what request was rejected in 1963 by the Bulgarian Soverment, but after he and his wife wrote several letters to the Bulgarian Foreign Ministry she was finally grapted possibility to leave in 1960.

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Subject: Apparent RIS Interest in tanfred R. Schroeder, Bell Telephone Labs

10. After Schroeder visits the USSR on his current trip, he plans to fly to Sofia to spend approximately a week with his wife's family there. Schroeder had not mentioned the Bulgarian visit in outlining his original itinerary to Bob Martin of this office, therefore, it was not mentioned in Schroeder's Coordination Outline.

11. All of the above would seem to indicate quite strongly that Schroeder, as we originally surmised, has been targeted by R.I.S. In briefing Schroeder, we ran through some of the typical entrapment situations fabricated by the opposition, e.g., women, homosexuals, purchase of drugs for Sov citizens, black market activity, acceptance of letters to mail outside the USER, commitments to disaffected Sovs, etc. In addition, we stringently admonished Schroeder against any notetaking or photography which could in any way be construed as conpromising. We also alerted Schroeder to the fact that some attempt might be made to use his wife's family as leverage in a recruitment attempt. In this connection, we pointed out to Schroeder that there was a strong likelihood that the R.I.S. and/or Bulgarian service would make an approach to him during his forthcoming visit to Sofia. An approach in Bulgaria would give the Sove an opportunity for disavowal and also would provide the proper setting for maximum utilization of relatives. When Schroeder mentioned that his sister-in-law, FNU had indicated several times in the past that she would like to leave Bulgaria, we strongly admonished him against making any conmitments to her, pointing out that she may possibly be co-opted by the opposition. We told Schroeder that any representations to gain an exit wise for his sister-in-law should be channeled through the US Legation in Sofia. Schroeder was told that, if any pressure were applied concerning his wife's family, his best reaction would probably be a noncommittal, "I'll think it over when I get home?" All in all, we alerted Schroeder as best we could to the opposition M.O. and prepared him on how best to avoid some of the not so obvious pitfalls which might be in store for him.

12. Prior to our briefing of Schroeder, Bob Martin alerted Bell management to all of the ramifications of Schroeder's travel behind the Curtain. The management was informed by Martin that we felt it our obligation to point out to them the strong possibility that Schroeder had been targeted in order that they would have all of the facts available before signing off on his trip.

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Subject: Apparent RIS Interest in Manfred R. Schroeder Bell Telephone Labs

scientist, heads up all acoustics research at Bell. He reads Russian and is fluent in German, Prench, Spanish, Italian, Dutch, and English. He impresses us as being a rather savvy, discreet, cooperative, mature, stable, well notivated individual. We attribute Schroeder's apparent lack of discretion in his dealings with the Bove more to the subtlety of the Bov approach than to his own naivete. It has been our experience that most US businessmen and scientists are very susceptible and vulnerable to the opposition approach mainly due to somewhat of a lack of realistic public education concerning contacts with Bovbloc nationals. We feel that Schroeder's degree of cooperativeness with US services has been enhanced considerably by his feeling that he has really been taken for a ride by the opposition.

Incidentally, when we asked subject for permission to release this information with source disclosure to the FBI, he was at first somewhat apprehensive and expressed the desire to talk it over with one of his Bell superiors before he made any commitments. He finally agreed, however, when we convinced him that it would certainly be in his best interest to get on record with the FBI as soon as possible. Most of his apprehension about the FBI seemed to be attributable to the fact that he has just been granted US citizenship and, in addition, is now somewhat concerned that his activities with the Bovs in some way may effect his security status which in turn could be very detrimental to his career.

Based on our limited contact with Schroeder, we have every reason to believe that he would be suitable for operational use, should the Bureau choose to pursue such a course.

14. We would strongly recommend that all of the above be passed to the <u>Bureau</u> ASAP in order that that organization may effect contact with <u>Schroeder</u> and provide him with proper guidance concerning any further approaches by Sov or Bloc mationals in this country.

JAY BAR REEVES

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- 5. Principle: Subject will beneatively Appart US 18 May, arming Riccord 19 May. He will opend two vecks visiting laus in Musew and Loningred at the invitation of D. Ovisuland, Deputy Chairman, State (runditee for Coordination of Scientific Resourch: If the 1) may arrival date is not acceptable to the Soviets, subject will make the trip in Jume or July.
- 6. Assocy for Frin: During Sevender 1968, while in Europe, subject received an invitation from the USER Associate of Sciences to visit various seviet accustic laboratories. Escause of a tight schedule at the time subject decline: the invitation. In carly March of this year as received the laying allow from Original magnices above.

During als trip, subject will dissues with Soviet counterparts various aspects of voice communications recearch, electroscoustics, and arenitectural accustics. So will also visit Soviet laboratories involved in research in those fields.

- 7. Commity Status: Subject was granted Secret clearance by Middintown
 Air Enterial Command 30 July 1936 in anticipation of his
 being used on classified project at Soil Laboraturies.
 Alies mans check on subject proding.
- 8. Province Intelligence Activities: In 1979 subject was contacted twice by CLVO has lost Floid Office for astricting after a trip to the USE. At the time he was generally congenetive,

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but constitut apprehensive. He was again contented in 1948 for heurisfung subsequent to a US visit of a devict counterpart.

- Polatives or Those Priends in Denied Areas. Subject's wife was born in Sofia, Sulgaria. See now reportedly holds the sittaeuship. In Murenter 1962 subject same and to arreage for immigration to the Us of his acther-in-law, issualla beneally. After a shirt stry in this country, however, she returned to her home in Sofia in March 1963. In addition to his suther-in-law, precessably there are other relatives of his wife still living in Balgaria.
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- 16. Profing of Print Subject's trip will be funded by his company.
- 17. Constitution budlings 15 by 1963.

Memorandum

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Chief, Contact Division

Attn : Support (Shirley Stetson)

PROM : Chief, New York Office

DATE: 26 June 1963 00-A-3266992

(~1- 29883)

Bell Laboratories

Ore Services

1. During routine debriefing of Donald S. Thomas of Bell Laboratories in connection with his recent USER visit to attend the Popov Society Meeting, 5-14 May, Moscow (see NYOR 8878 for Coordination Outline); subject mentioned having had recent contact with a Soviet Government representative in this country. The Soviet in question identified Chinself to Thomas as Oleb Aleksi-Pavlov and gave his address as 1216 First Avenue, Manhattah.

2. According to Thomas, his initial contact with Pavlov took place in late March following the International Convention and Show of the I.B.E.E., 25-29 March, New York City (see Case 39843). At the convention Thomas became friendly with two Soviet counterparts in attendance, A. V. Tiel and Anatole Trutks, both of whom Thomas invited to his home for a social visit during their US stay. When the Sovs departed for home following the convention, Thomas saw them off at New York International Airport. It was at the airport that he first met Pavlow, who apparently was seeing off all of the Sov I.E.E.E. delegates. After the Sov delegation had departed, Thomas and Pavlow returned to Manhattan together and, on parting, exchanged addresses.

3. A couple of weeks after their initial neeting, Pavlov telephoned Thomas at his home and told him that Tiel and Trutka had asked him to send their varmest regards to Thomas and thank him for the hospitality which he showed them during their trip to this country. During the conversation, Pavlov expressed an interest in renewing his acquaintance with Thomas, and suggested that he would enjoy visiting him at his home in Madison, New Jersey. Thomas said that he would enjoy seeing Pavlov, but that he was currently preparing to leave on a trip to the USSR and Europe and was not scheduled to return to the US until late May. Thomas suggested that, in view of this, perhaps early June would be a good time for them to plan on getting together. Pavlov replied that he, himself would be in the USSR then, but would contact Thomas when he returned in early July. Incidentally, Pavlov's

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Subject: Apparent RIS Interest in Donald E. Thomas, Bell Laboratories

phone call to Thomas was made on a pay phone. In fact, when the operator cut in for overtime, Thomas called Pavlov back at the pay phone.

Thomas mentioned that he possibly might have the phone number written down somewhere.

- 4. Soom after the phone call from Pavlov, thomas called John McVicker of the State Department and inquired if any clearance of Pavlov's proposed trip to his home in Madison would be necessary. McVicker told Thomas that any such clearances were Pavlov's worry and that he need not be concerned about same.
- Thomas was exposed to two incidents which possibly could have been of opposition fabrication. One involved an approach by a Soviet interpreter by the name of FNU Petrov, who took Thomas aside and asked him if he could do him a personal favor by getting him a subscription to Mational Geographic Magazine for which he, Petrov, would reimburse Thomas in rubles. Thomas politely refused.
- 6. The other incident involved thomas being photographed with an attractive young female interpreter, Ludmilla Oshkina. According to Thomas, when one of the Soviets in their party was about to take a picture of him standing next to Ludmilla, another individual standing nearby was pushed out of the way apparently so that he would not be included in the photograph. In addition, Ludmilla attempted to strike an affectionate pose with thomas for the photograph by slipping her arm through his. Thomas claims to have pushed her arm away just as the photo was being snapped.
- 7. Assessment of Thomas: Subject strikes us as being extremely naive and unrealistic. He appears to be more apprehensive about his dealings with CIA than his dealings with Soviets. In fact, when Thomas was asked if he would mind if a tape recorder were used for debriefing, he became very nervous and expressed a fear that, if such a tape were made, perhaps the Soviets might gain access to it and use it against him in some way at a future date.

Thomas had an outline in his own handwriting of the sessions of the Popov Society Meeting. When we asked for a photostat of same, he again expressed misgivings and indicated that he would prefer to have a typewritten copy prepared for us instead of a copy in his own handwriting because he was fearful that it might fall into Soviet hands.

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Subject: Apparent RIS Interest in Donald E. Thomas, Bell Laboratories

We inquired of Thomas why he had not mentioned Pavlov to us during our defensive briefing of him before his trip. He replied rather nebulously that it was his understanding that it was company policy that our only function in seeing him before his trip was to give him a defensive briefing, and that, since no debriefing was involved at the time, he felt no obligation to volunteer the information concerning Pavlov.

It is our opinion that Thomas's obvious naivite, apparent lack of sophistication, and extreme apprehension concerning dealings with our Agency would make him most unsuitable from the standpoint of operational utilization by the Bureau.

8. We would strongly recommend that all of the above be passed to the Bureau ASAP in order that that organization may effect contact with Thomas in time to provide him with proper guidance concerning any further approaches by Pavlov or other Sov legals in US.

PAY B. L. REEVES

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1 19ay 1963

Diblicity Constinution Outline - Donald E. Marce, Bell Labe

FRESh Boy Took Field Office

Pollowing is coordination outline for D. H. Showns. Dr. W. O. Bahar requested that thomas be given defensive briefing by us before going being dertain. As you are already cours, Seber is Vice Presiden at Ball late and number of President's Scientific Advisory Souncil and President's Entalliguese Doort.

1. Enne Donald Blear Thomas

th. Bate and Flanc of Births 18 May 1907, Encover Touchip, Penns

3. Enne and Address: 46 Pairview Avenue, Halison, How Jersey.

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- 5. Itinesery: Ediject America VII 3 May for Houses to attend Popov Society mosting, 5-15 May. Pollowing Moscow musting he will visit labe in Nortorn Durope for approximately one
- 6. Mission and rosses for trips Statest was invited to give paper of Popov meeting by WESE Asolony of Sciences via L.S.S.S. (International Electronic and Electrical Engineers). Security Status: We hald favorable EMS completion on adaptat

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Previous Intelligence Activities: Shipert was contested by 600/60 1998-59 concerning info on Soviet work in his field which he negated from contacts with forist extentifie counterparts estending interactional mosting in 18.

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with subject, he has given every intection of being discrept, necessity essentions, cooperative, well estimated, section. He is well reported in his particular field of competence. Estima of estatistive briefing proposed and requirements to levied:

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THIS IS UNEVALUATED INFORMATION

DUNCE:

US citizen, professor in a US university.

So is an authority on acoustics.

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Coloration

- I attended the Third International Congress on Acoustics. 1-8 Sept 59, Stuttgart, West Cornary.
- 2. While at this Congress I met a Soviet female scientist, L Chistovich, who is connected with the Paylov Institute of Physiology, Leningrad. One day during the Congress I took a walk in the Black Forest with L Chistovich and another Soviet scientist. After a short time, the other Soviet scientist excused himself saying that he had to rejoin his colleagues.
- 3. In June 1963 Manfred Schroeder, an accountivian with fell Telephone Laboratories, was in the UBSR. On his return to the US he told me that he had heard while in the UBSR that L Chintovich, on her return from the 1959 Stuttgart Congress, had been placed under house arrest. He also said that he had seen L Chistovich while he was in the USSR, and he had asked her whether it was true that she had been placed under house arrest on returning from the Stuttgart Tagress. He said Chistovich's only reply was to blush.
- 4. I suspect that the individual who reported Lithistorich to the mathematica was Turky Pavlovich Lymanove Be in connected with the Acception Intiffacts in Moscow. He publishes very little and his consuct has beenef strange to me. He was in the United States in 19-6-1997. I surpeat that the Cast that L Chistovich took a walk with no alone may well have been the reason she was placed under house arrest.

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FB) Report dated 19 lug 63 (Wash. DC) filed (#) 65-63498

Re: Soviet-Bloc Intelligence Cotherine in U.S. FBI analysis dated 4 Sept 63 (wash. DC) Re Smit Bloc Intellique lestitues in U.S. FBI Roport dated 4 nov. 63 (Wash D.C.)
Re: Unknown Subjects (Two); Observed Taking Photographs on Direction of U.S. Ward FBI Report dated 25 nov. 63 (wesh. O.c) filed 4(5) 65-63478 Re: Soviet Blocantllogence activities in U.S. FB/ Report Lated 27 Dec (3 (n.y. n.y) TOP SECRET CROSS REFERENCE SKEET (FB) Report 22 May (3)