

CONFIDENTIAL SENSITIVE

5 July 1963

Chief, CI Staff/L
2 C HQ Bqs

Chief, Contact Division, OO

Attempted RIN Penetration of Bell Telephone Laboratories - 100-10012

1. Attached are two memoranda, dated 26 June 1963, from our New York Field Office concerning apparent RIN interest in Manfred E. Schroeder and Donald E. Thomas, Bell Telephone Laboratories, Murray Hill, New Jersey. We believe this information should be passed to the FBI immediately. We would appreciate being advised the date this information is forwarded to the Bureau.

2. For your information, permission to brief Dr. Schroeder prior to his trip to the USSR was requested by our New York Office but refused by FI Staff. In view of his employment, however, and previous CI/OS contacts with him, FI recommended he be provided with a strong defensive and realities briefing.

3. Further inquiry may be directed to Mrs. Shirley Stetson, CI/OS, Support Branch, Room 413, 1717 E Street, N. W., extension 2268.

E. M. ASHCRAFT

Reals: As stated above

Stetson/mm

CC: OS

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114-10612

UNITED STATES GOVERNMENT

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Memorandum

TO : Chief, Contact Division
 Attn : Support (Whirley Stetson)
 FROM : Chief, New York Office

DATE: 26 June 1954

SUBJECT: Apparent RISC Interest in Manfred A. Schroeder (201-734499)
 Bell Telephone Lab.

201-734499

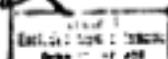
1. 0930-1230 hours 16 June, Manfred A. Schroeder of Bell Labs received a strong defensive briefing in connection with his June-July trip to the USSR and Bulgaria (see our 7 Day Coordination Outline for subject). During Schroeder's briefing, Magle elicited from him that prior to a trip to the USSR in 1953 he had been contacted by an individual who represented himself as a West German Intelligence Officer. More important, we also learned that, at about the same time, RISC cultivation of Schroeder apparently began.

2. Concerning the West German approach, Schroeder indicated that his mother, who resides in Hamburg, was telephoned in 1953 by an individual who claimed to be an old acquaintance of her son's and who was interested in contacting him concerning employment in the US. The caller left a phone number and said that he would appreciate it if Schroeder could call him next time he was in Hamburg. Schroeder's mother relayed the message to her son, and, as requested, he telephoned the caller just prior to his 1953 USSR junket. The individual he called identified himself as a West German Intelligence Officer and said that he would like to meet Schroeder as soon as possible. Schroeder agreed. When they met, the individual in question (Schroeder does not recall his name) presented suitable credentials and expressed interest in Schroeder's trip to the USSR. According to Schroeder's account, the West German Intelligence Officer gave him the old "keep your eyes and ears open" pitch, and told him that he would contact him in the first West German city in which he touched down after his USSR trip. Schroeder was contacted in Stuttgart promptly after returning to Germany from the USSR on his way back to the US. Because of his US employment and the fact that Bell paid for his trip, Schroeder became very apprehensive about any further contact with the West German service and at the time of the call indicated that he had nothing to say and wanted no further contact. Other than the cursory examination of credentials (as reported above), Schroeder made no attempt to establish the bona fides of the German who made the approach. We pointed out to Schroeder the unlikely possibility that the approach might not have been bona fide and might, in fact, have been a provocation.

* Copy attached.

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Subject: Apparently the interest in Heinrich in the Soviet Union.
Telephone call.

3. Apparently R.I.S. cultivation of Schroeder began prior to the above incident. According to his account, he had received what appeared to be a routine invitation to visit Soviet acoustics facilities in Moscow and Leningrad in August 1955. In connection with the invitation, he wrote to the Soviet government that during his visit he would like to meet Dr. Heinrich, a leading Sov acoustics engineer who was very interested in sound waves, and had done several Russian translations of some of his work. As a result of this correspondence, Schroeder received a telephone call at his home a couple of days prior to his departure for his trip from an individual who claimed to be a Sov official representing him in New York. The caller told Schroeder that he would be in contact with the Soviet government for delivery to him a special invitation from the Soviet side of the State Committee for the Protection of the State. The caller, whose name Schroeder could not remember, said that he would like to deliver the invitation to him personally and to depart for Schroeder in New York. Schroeder indicated that he was very busy, and that he would like to have the invitation delivered to him by mail. The caller couldn't do that, but the offer indicated that the instructions were to deliver the invitation personally. Schroeder finally agreed to have his wife pick up the invitation. Incidentally, it was pointed out in the conversation that Schroeder invited the Sov to deliver the invitation to his house; the latter declined, however, saying that Schroeder's home was in an area closed to travel by Sov diplomatic personnel. In any event, the Sov arranged to meet Schroeder's wife at a secret corner in Chatham, New Jersey, and at that time she handed to her the invitation for her husband.

4. Schroeder said that his 1955 visit to the USSR was quite routine and that he could recall nothing but the ordinary happenings during the trip. During the visit, his Sov counterpart, Parushev, who speaks fluent German, acted as his host and was at his side almost continually.

5. Schroeder received a phone call at his home one evening from Vladimir Volgin, who identified himself as a representative of Antona (AP). Volgin said that Schroeder's old friend Parushev had just completed a visit to New York and, before leaving the city had asked him to deliver a present to Schroeder for him, hence the phone call. Volgin insisted on delivering the present to Schroeder in person, and the two made arrangements to have lunch together the following week in Manhattan. This began a series of approximately six

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Subject: Apparent New Interest in Harold H. Schroeder
Bell Telephone Labs.

or more contacts with Volgin which dated well into 1961 when Schroeder received a note from Volgin stating that he and his family were returning to the USSR in order that his wife could marry, abroad, for them there. Incidentally, Schroeder's only other contact with Volgin was in 1960 when he met him in Copenhagen at a professional meeting.

6. Schroeder said that most, if not all, of Volgin's phone calls to him were to his home and usually appeared to have been made from pay phones. Schroeder said that his meetings with Volgin included lunches and dinners in Manhattan, socializing at a bar or club, meetings, theater, and entertainment at each other in their respective homes. Schroeder claims that his wife accompanied him during most of his contact with Volgin. Other than to his own family, Volgin never introduced Schroeder to any other individuals.

7. Schroeder claims that on a couple of occasions he turned over unclassified technical papers to Volgin, who claimed to be an expert in engineering. Schroeder can't recall whether Volgin specifically requested the information or whether he turned it over to him on his own initiative. At one point during their relationship, Volgin asked Schroeder to write an article on US acoustic work for publication in a Soviet technical journal. Schroeder declined.

8. In retrospect Schroeder admitted that he had been quite naive about his relationship with Volgin and expressed some concern that our security hadn't been more active in scrutinizing him against development of relationships with Sov Government representatives. His view of his experiences now completely coincides with ours as a result of our discussion.

9. Another factor which obviously enters into Schroeder's R.I.C. picture is his wife's Bulgarian extraction. Schroeder claims that neither he nor, to the best of his knowledge, his wife have ever been approached by Soviet representatives in this country concerning his wife's family in Bulgaria. His wife's mother, Isabella Kossick, immigrated to the US in April 1961 and lived with Schroeder and his wife until November 1962 when she returned to Sofia, apparently because she was homesick and couldn't adjust to the new language and life in the US. Schroeder said that his mother-in-law's original exit visa request was rejected in 1958 by the Bulgarian Government, but after he and his wife wrote several letters to the Bulgarian Foreign Ministry she was finally granted permission to leave in 1960.

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Subject: Apparent RIS Interest in Manfred R. Schroeder,
Bell Telephone Labs

10. After Schroeder visits the USSR on his current trip, he plans to fly to Sofia to spend approximately a week with his wife's family there. Schroeder had not mentioned the Bulgarian visit in outlining his original itinerary to Bob Martin of this office, therefore, it was not mentioned in Schroeder's Coordination Outline.

11. All of the above would seem to indicate quite strongly that Schroeder, as we originally surmised, has been targeted by R.I.S. In briefing Schroeder, we ran through some of the typical entrapment situations fabricated by the opposition, e.g., women, homosexuals, purchase of drugs for Sov citizens, black market activity, acceptance of letters to mail outside the USSR, commitments to disaffected Sovs, etc. In addition, we stringently admonished Schroeder against any notetaking or photography which could in any way be construed as compromising. We also alerted Schroeder to the fact that some attempt might be made to use his wife's family as leverage in a recruitment attempt. In this connection, we pointed out to Schroeder that there was a strong likelihood that the R.I.S. and/or Bulgarian service would make an approach to him during his forthcoming visit to Sofia. An approach in Bulgaria would give the Sovs an opportunity for disavowal and also would provide the proper setting for maximum utilization of relatives. When Schroeder mentioned that his sister-in-law, FNU Menschkik, had indicated several times in the past that she would like to leave Bulgaria, we strongly admonished him against making any commitments to her, pointing out that she may possibly be co-opted by the opposition. We told Schroeder that any representations to gain an exit visa for his sister-in-law should be channeled through the US Legation in Sofia. Schroeder was told that, if any pressure were applied concerning his wife's family, his best reaction would probably be a noncommittal, "I'll think it over when I get home." All in all, we alerted Schroeder as best we could to the opposition M.O. and prepared him on how best to avoid some of the not so obvious pitfalls which might be in store for him.

12. Prior to our briefing of Schroeder, Bob Martin alerted Bell management to all of the ramifications of Schroeder's travel behind the Curtain. The management was informed by Martin that we felt it our obligation to point out to them the strong possibility that Schroeder had been targeted in order that they would have all of the facts available before signing off on his trip.

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Subject: Apparent RIS Interest in Manfred R. Schroeder,
Bell Telephone Labs

13. Assessment of Schroeder: Subject, a very accomplished scientist, heads up all acoustics research at Bell. He reads Russian and is fluent in German, French, Spanish, Italian, Dutch, and English. He impresses us as being a rather savvy, discreet, cooperative, mature, stable, well motivated individual. We attribute Schroeder's apparent lack of discretion in his dealings with the Soviets more to the subtlety of the Sov approach than to his own naivete. It has been our experience that most US businessmen and scientists are very susceptible and vulnerable to the opposition approach mainly due to somewhat of a lack of realistic public education concerning contacts with SovBloc nationals. We feel that Schroeder's degree of cooperativeness with US services has been enhanced considerably by his feeling that he has really been taken for a ride by the opposition.

Incidentally, when we asked subject for permission to release this information with source disclosure to the FBI, he was at first somewhat apprehensive and expressed the desire to talk it over with one of his Bell superiors before he made any commitments. He finally agreed, however, when we convinced him that it would certainly be in his best interest to get on record with the FBI as soon as possible. Most of his apprehension about the FBI seemed to be attributable to the fact that he has just been granted US citizenship and, in addition, is now somewhat concerned that his activities with the Soviets in some way may effect his security status which in turn could be very detrimental to his career.

Based on our limited contact with Schroeder, we have every reason to believe that he would be suitable for operational use, should the Bureau choose to pursue such a course.

14. We would strongly recommend that all of the above be passed to the Bureau ASAP in order that that organization may effect contact with Schroeder and provide him with proper guidance concerning any further approaches by Sov or Bloc nationals in this country.

JAY B.  REEVES

ORagls/ag

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UNITED STATES GOVERNMENT

Memorandum

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TO : Chief, Contact Division
 Attn : Support (Shirley Stetson)
 FROM : Chief, New York Office

DATE: 26 June 1963

00-A-3266992

(NY-29883)

← OF
 SUBJECT: Apparent RIS Interest in Donald E. Thomas,
 Bell Laboratories

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 BELL LABORATORIES

1. During routine debriefing of Donald E. Thomas of Bell Laboratories in connection with his recent USSR visit to attend the Poyov Society Meeting, 5-14 May, Moscow (see NYOR 8878 for Coordination Outline), subject mentioned having had recent contact with a Soviet Government representative in this country. The Soviet in question identified himself to Thomas as Gleb Aleks-Pavlov and gave his address as 1216 First Avenue, Manhattan.

2. According to Thomas, his initial contact with Pavlov took place in late March following the International Convention and Show of the I.E.E.E., 25-29 March, New York City (see Case 39843). At the convention Thomas became friendly with two Soviet counterparts in attendance, A. V. Tiel and Anatole Trutka, both of whom Thomas invited to his home for a social visit during their US stay. When the Sovs departed for home following the convention, Thomas saw them off at New York International Airport. It was at the airport that he first met Pavlov, who apparently was seeing off all of the Sov I.E.E.E. delegates. After the Sov delegation had departed, Thomas and Pavlov returned to Manhattan together and, on parting, exchanged addresses.

3. A couple of weeks after their initial meeting, Pavlov telephoned Thomas at his home and told him that Tiel and Trutka had asked him to send their warmest regards to Thomas and thank him for the hospitality which he showed them during their trip to this country. During the conversation, Pavlov expressed an interest in renewing his acquaintance with Thomas, and suggested that he would enjoy visiting him at his home in Madison, New Jersey. Thomas said that he would enjoy seeing Pavlov, but that he was currently preparing to leave on a trip to the USSR and Europe and was not scheduled to return to the US until late May. Thomas suggested that, in view of this, perhaps early June would be a good time for them to plan on getting together. Pavlov replied that he, himself would be in the USSR then, but would contact Thomas when he returned in early July. Incidentally, Pavlov's

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Subject: Apparent RIS Interest in Donald E. Thomas,
Bell Laboratories

phone call to Thomas was made on a pay phone. In fact, when the operator cut in for overtime, Thomas called Pavlov back at the pay phone. Thomas mentioned that he possibly might have the phone number written down somewhere.

4. Soon after the phone call from Pavlov, Thomas called John McVicker of the State Department and inquired if any clearance of Pavlov's proposed trip to his home in Madison would be necessary. McVicker told Thomas that any such clearances were Pavlov's worry and that he need not be concerned about same.

5. During his recent trip to the USSR, it would appear that Thomas was exposed to two incidents which possibly could have been of opposition fabrication. One involved an approach by a Soviet interpreter by the name of FNU Petrov, who took Thomas aside and asked him if he could do him a personal favor by getting him a subscription to National Geographic Magazine for which he, Petrov, would reimburse Thomas in rubles. Thomas politely refused. *D. M. Petrov*

6. The other incident involved Thomas being photographed with an attractive young female interpreter, Ludmilla Gakhina. According to Thomas, when one of the Soviets in their party was about to take a picture of him standing next to Ludmilla, another individual standing nearby was pushed out of the way apparently so that he would not be included in the photograph. In addition, Ludmilla attempted to strike an affectionate pose with Thomas for the photograph by slipping her arm through his. Thomas claims to have pushed her arm away just as the photo was being snapped. *sent 11-1-58*

7. Assessment of Thomas: Subject strikes us as being extremely naive and unrealistic. He appears to be more apprehensive about his dealings with CIA than his dealings with Soviets. In fact, when Thomas was asked if he would mind if a tape recorder were used for debriefing, he became very nervous and expressed a fear that, if such a tape were made, perhaps the Soviets might gain access to it and use it against him in some way at a future date.

Thomas had an outline in his own handwriting of the sessions of the Popov Society Meeting. When we asked for a photostat of same, he again expressed misgivings and indicated that he would prefer to have a typewritten copy prepared for us instead of a copy in his own handwriting because he was fearful that it might fall into Soviet hands.

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Subject: Apparent RIS Interest in Donald E. Thomas,
Bell Laboratories

We inquired of Thomas why he had not mentioned Pavlov to us during our defensive briefing of him before his trip. He replied rather nebulously that it was his understanding that it was company policy that our only function in seeing him before his trip was to give him a defensive briefing, and that, since no debriefing was involved at the time, he felt no obligation to volunteer the information concerning Pavlov.

It is our opinion that Thomas's obvious naivete, apparent lack of sophistication, and extreme apprehension concerning dealings with our Agency would make him most unsuitable from the standpoint of operational utilization by the Bureau.

8. We would strongly recommend that all of the above be passed to the Bureau ASAP in order that that organization may effect contact with Thomas in time to provide him with proper guidance concerning any further approaches by Pavlov or other Sov legals in US.

P. J. Conna
JAY B. L. REEVES

Glagle/mg

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1 May 1963

SUBJECT: Coordination Outline - **Donald E. Thomas**, Bell Labs

FROM: New York Field Office

Following is coordination outline for **D. E. Thomas**. Dr. W. O. Bahar requested that **Thomas** be given defensive briefing by us before going behind curtains. As you are already aware, Bahar is Vice President at Bell Labs and member of President's Scientific Advisory Council and President's Intelligence Board.

1. Name: **Donald Edgar Thomas**
2. Date and Place of Birth: **18 May 1907, Emerson Township, Penna.**
3. Home and Address: **48 Fairview Avenue, Madison, New Jersey.**
4. Place of employment and position: **Bell Labs, Murray Hill, New Jersey; Electronics Engineer.**
5. Itinerary: Subject departs **US** 3 May for Moscow to attend Popov Society meeting, 5-14 May. Following Moscow meeting he will visit labs in Western Europe for approximately one week.
6. Mission and reason for trip: Subject was invited to give paper at Popov meeting by USSR Academy of Sciences via I.E.E.E. (International Electronic and Electrical Engineers).
7. Security Status: We hold favorable SAS completion on subject dated 29 Jan 59.
8. Previous Intelligence Activities: Subject was contacted by **US** 02 1958-59 concerning info on Soviet work in his field which he acquired from contacts with Soviet scientific counterparts attending international meeting in **US**.
9. Relatives or close friends in denied areas: None.
10. Subject of previous trips to denied areas: None.
11. Analysis of Subject's Competence: Pa. State College, B.S., **E.E.**, 1929; Columbia University, M.A., 1932; US Army Signal Corps, 1942-44; **USAFS**, 1944-45, on radio countermeasures, 1944-45; Retired Lt. Col. **USAFS** Member (Civilian) panel on Electronic Countermeasures R&D Board, Dept of Defense, 1946-53.
12. Summary of personal assessment: During our limited relationship with subject, he has given every indication of being discreet, security conscious, cooperative, well motivated, mature. He is well regarded in his particular field of competence.
13. Nature of substantive briefing proposed and requirements to be met: None. Subject will not be briefed on operations of any kind. No photography or insinuating note taking will be requested of him.
14. Defensive and realities briefing: Subject will receive routine defensive and realities briefing.
15. Funding for trip: Subject's trip will be funded by his company.
16. Briefing office and participants: Briefing will be conducted by **US** 02 New York Office personnel.
17. Coordination Continues: 2 May 1963.

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This material contains information affecting the National Defense of the United States within the meaning of the Espionage Laws, Title 18, U.S.C. Secs. 793 and 794, the transmission or revelation of which in any manner to an unauthorized person is prohibited by law.

C-O-N-F-I-D-E-N-T-I-A-L

COUNTRY USSR

REPORT NO. OO-10-100000

SUBJECT Alleged House Arrest of Soviet Scientist, L Chistovich

DATE DISC. 2-7-1973

NO. PAGES 1

REFERENCES

DATE OF INFO. July 63
PLACE & DATE ACQ. -- July 63

THIS IS UNEVALUATED INFORMATION

SOURCE US citizen, professor in a US university.

He is an authority on acoustics.

1. I attended the Third International Congress on Acoustics, 1-8 Sept 62, Stuttgart, West Germany.
2. While at this Congress I met a Soviet female scientist, L Chistovich, who is connected with the Pavlov Institute of Physiology, Leningrad. One day during the Congress I took a walk in the Black Forest with L Chistovich and another Soviet scientist. After a short time, the other Soviet scientist excused himself saying that he had to rejoin his colleagues.
3. In June 1963 Manfred Schroeder, an acoustician with Bell Telephone Laboratories, was in the USSR. On his return to the US he told me that he had heard while in the USSR that L Chistovich, on her return from the 1959 Stuttgart Congress, had been placed under house arrest. He also said that he had seen L Chistovich while he was in the USSR, and he had asked her whether it was true that she had been placed under house arrest on returning from the Stuttgart Congress. He said Chistovich's only reply was to blush.
4. I suspect that the individual who reported L Chistovich to the authorities was Yuri Pavlovich Iyazanov. He is connected with the Acoustics Institute in Moscow. He publishes very little and his conduct has seemed strange to me. He was in the United States in 1946-1947. I suspect that the fact that L Chistovich took a walk with no alarm may well have been the reason she was placed under house arrest.

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INFORMATION REPORT INFORMATION REPORT

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