CVTree User Manual

Version 4

Lei GAO <leigao@ucr.edu>
Department of Botany and Plant Sciences 3133 Batchelor Hall
University of California, Riverside, 900 University Avenue
Riverside, CA 92521,USA.

Ji QI <qij@bx.psu.edu>
Penn State University,
Center for Comparative Genomics and Bioinformatics,
310 Wartik Building, University Park, PA 16802, USA.

Bailin HAO*<hao@mail.itp.ac.cn>
T-life Research Center,
Department of Physics, Fudan University.
Shanghai 200433, China.

Institute of Theoretical Physics, Academia Sinica Beijing 100080, China.

^{*}Corresponding author

CVTree is an alignment free program which generates a dissimilarity matrix from comparatively large collection of DNA or Amino Acid sequences, preferably whole genome data.

1 Algorithm

The algorithm of CVTree consists of the following steps:

- 1. Fix the string length K (K \in [3,7] for AA sequence, K \in [3,16] for nucleotide sequence in 32-bit system). Read in the sequence collection of each species separately, count the total number of each K, K-1 and K-2 tuples.
- 2. Calculate the subtraction value for the i-th K tuple:

$$a_i(a_1 a_2 \cdots a_K) \equiv \frac{f(a_1 a_2 \cdots a_K) - f^0(a_1 a_2 \cdots a_K)}{f^0(a_1 a_2 \cdots a_K)}$$

where $f(a_1a_2\cdots a_K)$ is the frequency of K-tuple, $f^0(a_1a_2\cdots a_K)$ is the frequency predicted from that of K-1 and K-2 tuples by using a (K-2)-th Markov assumption.

3. Calculate the pairwise dissimilarity:

$$C(\vec{CV_A}, \vec{CV_B}) = \frac{\sum_{i=1}^{N} A_i \times B_i}{(\sum_{i=1}^{N} A_i^2 \times \sum_{i=1}^{N} B_i^2)^{\frac{1}{2}}}$$

4. Then the user can use this dissimilarity matrix to plot a phylogenetic tree by calling the appropriate program in PHYLIP or MEGA.

For more detailed description see [Qi et al., 2004b] and [HAO and QI, 2004].

2 User's Guide

2.1 Installation

The main program was implemented in C++. For most purposes, the C++ program cvtree is enough for the end user. However we supply some Perl

scripts to treat extremely massive input data (e.g., exceeding several gigabytes). If you encounter "Out of memory" when running CVTree program by -o or -1 option, you can try to use -c option instead. Option -c will output separated CV files into the given directory, which can be used by batch_dist.pl to calculate the final dissimilarity matrix. In using batch_dist.pl, you can set the memory limitation through command option. So in general, only GCC 4.x or higher is needed, but if you wish to have additional functions, you will need Perl as well.

The installation is very simple, just type "make" command in the CVTree source directory, you will get a runnable binary file named cvtree.

If you want a even simpler way to use CVTree, you can visit our web server at http://tlife.fudan.edu.cn/cvtree, which is an improved version of the original CVTree web server published in NAR ([Qi et al., 2004a]).

2.2 Examples

• Calculate dissimilarity matrix from single input file. Input data file:

```
>SeqID1 | Organism name
SequenceSequenceSequence...
>SeqID2 | Organism name
SequenceSeq...
...

Note: There can be multiple sequences for one Organism.
Command(K=10, dna sequence for example):

cvtree -s input.fa -k10 -t dna -o PHYLIP_format_matrix
or

cvtree -s input.fa -k10 -t dna -l MEGA_format_matrix
```

• Calculate dissimilarity matrix from multiple files in one directory. Input name list file:

```
OrganismTotalCount
Organism1
Organism2
...
```

Input directory content:

```
Organism1.faa
Organism2.faa
...
```

Note: If you want to process DNA sequence, please make sure the suffix name of each FASTA file is .ffn (e.g., Organism1.ffn).

Command(K=6, protein sequence for example):

```
cvtree -i name_list.txt -d input_dir -k6 -t aa -o
PHYLIP_format_matrix
```

or

```
cvtree -i name_list.txt -d input_dir -k6 -t aa -l
    MEGA_format_matrix
```

- Calculate dissimilarity matrix under memory limitation.
 - 1. Generate CV files(K=5, protein sequence for example)

or

```
cvtree -s input.fa -k5 -taa -c out_cv_dir/
```

2. Calculate dissimilarity matrix(set memory limit to 1.5G for example)

Note: User can get name_list.txt from input.fa(the single input file) by issuing command "get_name_list.pl"

3. To convert PHYLIP dissimilarity matrix format to MEGA matrix format, use:

2.3 Options

1. cvtree – Generate dissimilarity matrix or CV files from input data

```
cvtree -i list.file -d seq.dir/ \
        -k num -t dna/aa -o out.dist.file
VERSION: 4.0
   -i str
             input list file name, contains
             sequences' name(without .faa/.ffn)
   -d str
             input sequences' dir
   -s str
             single input mutil-FASTA file
             (will ignore -i and -d)
            output CV files' dir
   -c str
   -C str
             output TXT CV files' dir(for human read)
             k-tuple's length, AA range: [3,7],
   -k num
            DNA range: [3,16]
   -t
      str
            sequence type: dna or aa
   -S
             Turn on Subtraction(default is off)
             output distance matrix filename(PHYLIP matrix)
   -o str
             output distance matrix filename
   -l str
             (MEGA lower-left matrix)
             be quiet
   -q
   -h
             print help info
```

2. batch_dist.pl - Generate dissimilarity matrix from CV files

3. dist – Calculate dissimilarity value from CV files

4. phylip2mega.pl – Convert PHYLIP distance matrix to MEGA distance matrix

```
phylip2mega.pl PHYLIP.matrix MEGA.matrix
```

5. get_name_list.pl - Generate name_list.txt form single input data file

```
get_name_list.pl single_input.fa name_list.txt
```

References

- [Bai-Lin and Lei, 2008] Bai-Lin, H.A.O. and Lei, G.A.O. (2008) Prokaryotic branch of the tree of life: a composition vector approach. *Journal of Systematics and Evolution*, **46**, 258–262.
- [Gao and Qi, 2007] Gao, L. and Qi, J. (2007) Whole genome molecular phylogeny of large dsdna viruses using composition vector method. *BMC Evolutionary Biology*, **7**, 41.
- [Gao et al., 2007] Gao, L., Qi, J., Sun, J.D. and Hao, B.L. (2007) Prokaryote phylogeny meets taxonomy: an exhaustive comparison of composition vector trees with systematic bacteriology. Science in China Series C: Life Sciences, 50, 587–599.
- [GAO et al., 2003] GAO,L., QI,J., WEI,H., SUN,Y. and HAO,B. (2003) Molecular phylogeny of coronaviruses including human sars-cov. Chinese Science Bulletin, 48, 1170–1174.

- [HAO and QI, 2004] HAO,B. and QI,J. (2004) Prokaryote phylogeny without sequence alignment: from avoidance signature to composition distance. Journal of Bioinformatics and Computational Biology, 2, 1–19.
- [Qi et al., 2004a] Qi,J., Luo,H. and Hao,B. (2004a) Cvtree: a phylogenetic tree reconstruction tool based on whole genomes. Nucleic acids research, 32, W45–7. PMID: 15215347.
- [Qi et al., 2004b] Qi,J., Wang,B. and Hao,B.L. (2004b) Whole proteome prokaryote phylogeny without sequence alignment: a k-string composition approach. Journal of Molecular Evolution, 58, 1–11.
- [Yu et al., 2005] Yu,Z.G., Zhou,L.Q., Anh,V.V., Chu,K.H., Long,S.C. and Deng,J.Q. (2005) Phylogeny of prokaryotes and chloroplasts revealed by a simple composition approach on all protein sequences from complete genomes without sequence alignment. *Journal of Molecular Evolution*, **60**, 538–545. PMID: 15883888.