

“Intra-Seasonal Waning” as Methodological Artifact

Ivo M. Foppa

10/10/2018

Waning VE

- ▶ Since 2015, there have been numerous reports, from the US, Europe and beyond, suggesting that influenza Vaccine effectiveness (VE) declines over the season
- ▶ ...

Petrie JG et al., “Modest waning of influenza vaccine efficacy and antibody titers during the 2007-2008 influenza season”, *JID* 2016;214(8):

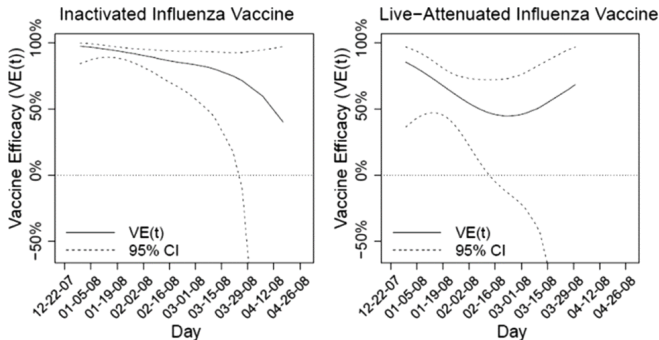
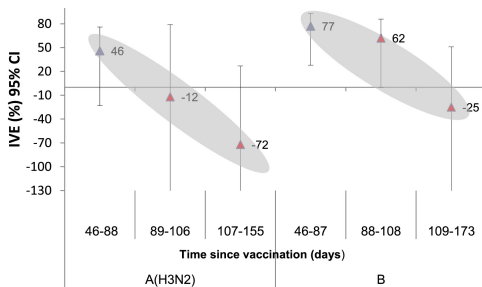


Figure 2. Influenza Vaccine Effectiveness by Time

Gherasim A et al., “Waning protection of influenza vaccine against mild laboratory confirmed influenza A (H3N2) and B in Spain, season 2014-15”, *Vaccine* 2016;34(20):



¹ IVE adjusted by sex, age (0-14; 15-64; >64 years), chronic condition, sentinel network, period of swabbing (pre-epidemic - weeks 50/2014-01/2015; epidemic - weeks 2-11/2015; post-epidemic - weeks 12-16/2015), obesity, severity, sentinel physicians visits and smoking (pregnancy not included due to low sample size: one and two pregnancies in the A(H3N2) and B analysis respectively)

² IVE modeled with the time since vaccination divided by tertiles and using non-vaccinated as the reference group.

Lipsitch editorial (CID, 2018):

Lipsitch editorial (CID, 2018):

“Even if the vaccine effect does not wane over time, then such waning will nonetheless appear to occur in most studies [. . .]” (“leaky” vaccines)

Lipsitch editorial (CID, 2018):

“Even if the vaccine effect does not wane over time, then such waning will nonetheless appear to occur in most studies [...]” (“leaky” vaccines)

This will happen if:

Lipsitch editorial (CID, 2018):

“Even if the vaccine effect does not wane over time, then such waning will nonetheless appear to occur in most studies [...]” (“leaky” vaccines)

This will happen if:

1. “there is heterogeneous risk of becoming infected within those who are vaccinated”

Lipsitch editorial (CID, 2018):

“Even if the vaccine effect does not wane over time, then such waning will nonetheless appear to occur in most studies [...]” (“leaky” vaccines)

This will happen if:

1. “there is heterogeneous risk of becoming infected within those who are vaccinated”
2. “some trial participants during the course of the trial become infected but are not counted as cases”

Lipsitch editorial (CID, 2018):

“Even if the vaccine effect does not wane over time, then such waning will nonetheless appear to occur in most studies [...]” (“leaky” vaccines)

This will happen if:

1. “there is heterogeneous risk of becoming infected within those who are vaccinated”
2. “some trial participants during the course of the trial become infected but are not counted as cases” ← **Not relevant to TND studies!**

Lipsitch editorial (CID, 2018):

“Even if the vaccine effect does not wane over time, then such waning will nonetheless appear to occur in most studies [...]” (“leaky” vaccines)

This will happen if:

1. “there is heterogeneous risk of becoming infected within those who are vaccinated”
2. “some trial participants during the course of the trial become infected but are not counted as cases” ← **Not relevant to TND studies!**
3. What if the vaccine is not leaky?

Vaccine models

- ▶ “Leaky” model: Those susceptible before vaccination have a risk of $\lambda_0 k$ of becoming infected during a contact if an unvaccinated susceptible has risk λ_0 .

Waning

└ Methodological sources of "waning effect"

└ Approach

Approach

Approach

- ▶ Simulation of seasonal influenza epidemics using simple SIR ODE models

Approach

- ▶ Simulation of seasonal influenza epidemics using simple SIR ODE models
- ▶ Implement two scenarios (“leaky”, “all-or-none” with two viruses)

Approach

- ▶ Simulation of seasonal influenza epidemics using simple SIR ODE models
- ▶ Implement two scenarios (“leaky”, “all-or-none” with two viruses)
- ▶ Use numerical solutions to ODEs to generate TND data

Approach

- ▶ Simulation of seasonal influenza epidemics using simple SIR ODE models
- ▶ Implement two scenarios (“leaky”, “all-or-none” with two viruses)
- ▶ Use numerical solutions to ODEs to generate TND data
- ▶ Calculate VE “estimates” and true VE

- └ Methodological sources of “waning effect”
 - └ First scenario: “Leaky” vaccine

“Leaky” vaccine: The instantaneous infection risk of those vaccinated is reduced by a constant factor (e.g. difference in infectious dose):

“Leaky” vaccine: The instantaneous infection risk of those vaccinated is reduced by a constant factor (e.g. difference in infectious dose):

- ▶ Risk at time t in those **not vaccinated**:

$$\lambda_0(t)$$

- ▶ Risk at time t in those **vaccinated**:

$$\lambda_1(t) = \lambda_0(t) \alpha; \alpha \in [0, 1]$$

“Leaky” vaccine: The instantaneous infection risk of those vaccinated is reduced by a constant factor (e.g. difference in infectious dose):

- ▶ Risk at time t in those **not vaccinated**:

$$\lambda_0(t)$$

- ▶ Risk at time t in those **vaccinated**:

$$\lambda_1(t) = \lambda_0(t) \alpha; \alpha \in [0, 1]$$

- ▶ $VE = 1 - \frac{\lambda_1(t)}{\lambda_0(t)} = 1 - \frac{\lambda_0(t) \alpha}{\lambda_0(t)} = 1 - \alpha$

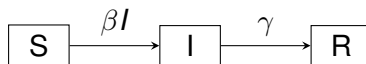
Waning

- └ Methodological sources of “waning effect”
 - └ First scenario: “Leaky” vaccine

Deterministic transmission modeling, refresher

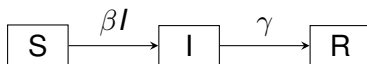
Deterministic transmission modeling, refresher

► Basic SIR model



Deterministic transmission modeling, refresher

► Basic SIR model



► Differential equation representation (Kermack&McKendrick, 1927):

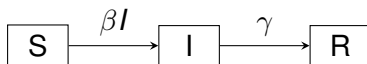
$$\frac{dS}{dt} = -\beta IS$$

$$\frac{dI}{dt} = \beta IS$$

$$\frac{dR}{dt} = -\gamma I$$

Deterministic transmission modeling, refresher

► Basic SIR model



► Differential equation representation (Kermack&McKendrick, 1927):

$$\frac{dS}{dt} = -\beta IS$$

$$\frac{dI}{dt} = \beta IS$$

$$\frac{dR}{dt} = -\gamma I$$

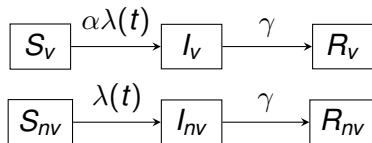
► System of ODEs can be solved (numerically!), makes pretty pictures ...

Waning

└ Methodological sources of “waning effect”

└ First scenario: “Leaky” vaccine

SIR model, “leaky” vaccine

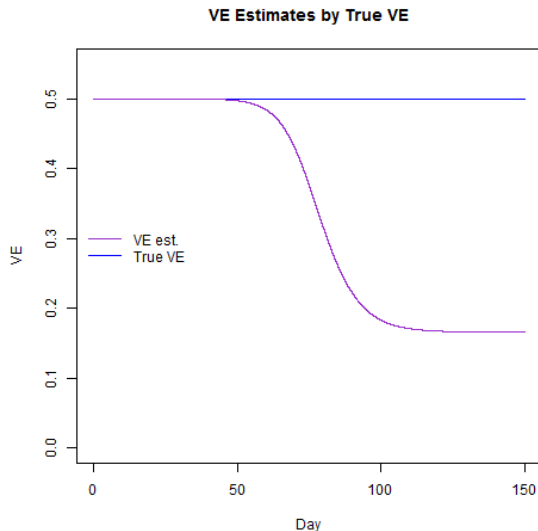


where $\lambda(t) = \beta(I_v + I_{nv})$.

Waning

- └ Methodological sources of “waning effect”
 - └ First scenario: “Leaky” vaccine

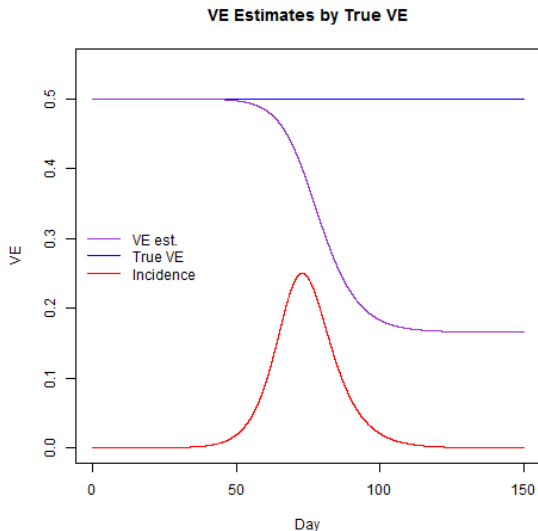
VE estimates for true VE=50%



Waning

- └ Methodological sources of "waning effect"
- └ First scenario: "Leaky" vaccine

VE estimates for true VE=50%

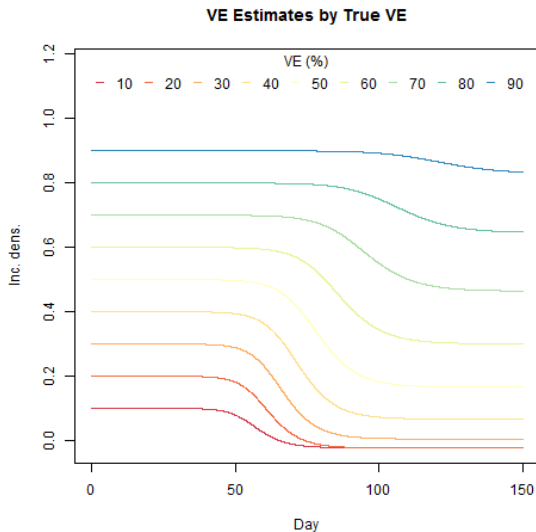


Waning

- Methodological sources of "waning effect"

- First scenario: "Leaky" vaccine

VE estimates for range of true VEs

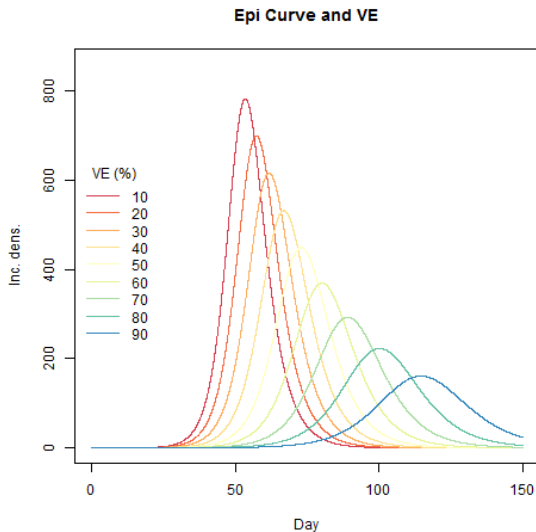


Waning

- Methodological sources of "waning effect"

- First scenario: "Leaky" vaccine

VE estimates for range of true VEs



- └ Methodological sources of “waning effect”
 - └ First scenario: “Leaky” vaccine

Mechanism for reduced VE over season, “leaky” vaccine

- ▶ True VE remains unchanged
- ▶ Decrease in VE due to **bias**: Faster depletion of unvaccinated susceptibles, compared to vaccinated susceptibles

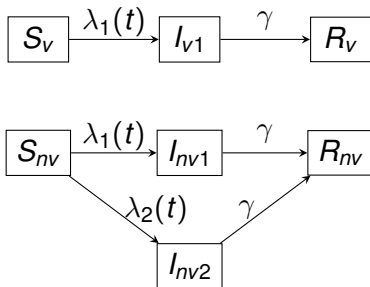
Waning

- └ Methodological sources of “waning effect”
- └ Second scenario: “All-or-none”, two viruses

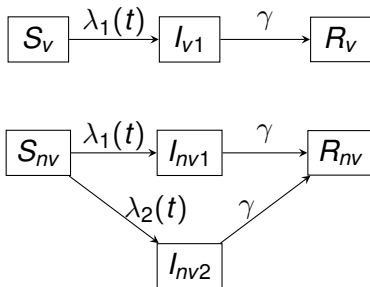
“All-Or-None” Vaccine, two viruses: Vaccination fully immunizes against virus 2; infection with either virus immunizes fully:

- └ Methodological sources of “waning effect”
- └ Second scenario: “All-or-none”, two viruses

“All-Or-None” Vaccine, two viruses: Vaccination fully immunizes against virus 2; infection with either virus immunizes fully:



“All-Or-None” Vaccine, two viruses: Vaccination fully immunizes against virus 2; infection with either virus immunizes fully:

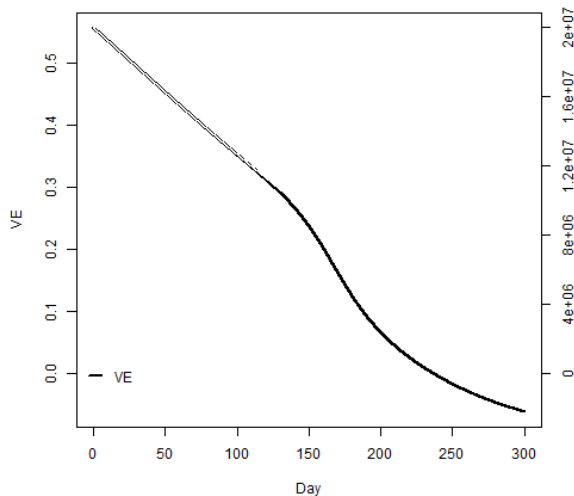


$$\begin{aligned}
 VE(t) &= 1 - \frac{\lambda_1(t)}{\lambda_0(t)} \\
 &= 1 - \frac{\beta_1(I_{v1} + I_{nv1})}{\beta_1(I_{v1} + I_{nv1}) + \beta_2 I_{nv2}} \rightarrow \text{time dependent; both viruses}
 \end{aligned}$$

Waning

- └ Methodological sources of “waning effect”
 - └ Second scenario: “All-or-none”, two viruses

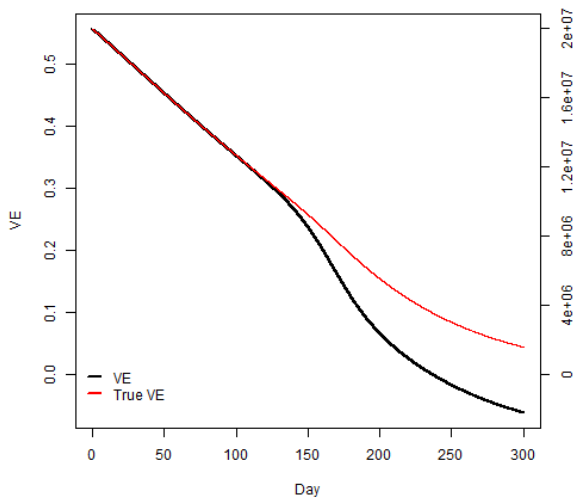
VE estimates over season



Waning

- Methodological sources of “waning effect”
 - Second scenario: “All-or-none”, two viruses

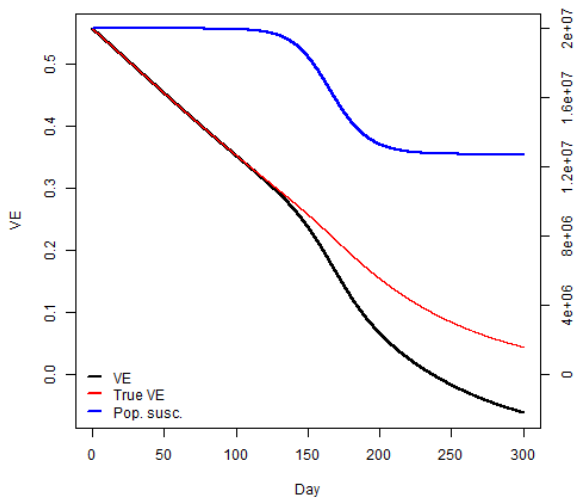
VE estimates over season



Waning

- Methodological sources of "waning effect"
- Second scenario: "All-or-none", two viruses

VE estimates over season



- └ Methodological sources of “waning effect”
- └ Second scenario: “All-or-none”, two viruses

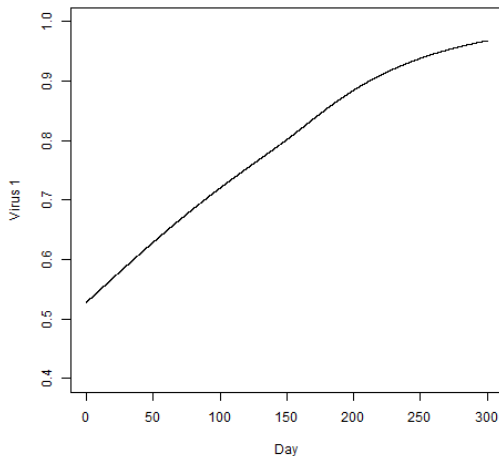
Mechanisms for reduced VE over season, “all-or-none” vaccine, two viruses

- ▶ **True decrease** in VE due to evolutionary process, without any decay in individual vaccine protection: Relative distribution of two viruses changes (virus 1 outcompeting virus 2)
- ▶ Decrease in VE due to **bias**: Faster depletion of unvaccinated susceptibles, compared to vaccinated susceptibles.

Waning

- └ Methodological sources of "waning effect"
- └ Second scenario: "All-or-none", two viruses

Evolutionary process: Viruse 1 outcompeting virus 2



Outlook: How to deal with these issues?

Outlook: How to deal with these issues?

