

THE HODGE–TATE PERIOD MAP

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CONTENTS

1. Introduction	1
1.1. Notations	1
2. Technical Tools	1
2.1. Canonical Subgroups	1
2.2. Hartog’s Extension Principle	2
2.3. Tate’s Normalized Traces	3
2.4. Riemann’s Hebbbarkeitssatz	4
2.5. The Hodge–Tate Filtration	5
3. Siegel Modular Varieties	5
4. The Anti-Canonical Towers	6
5. The Topological Hodge–Tate Map	10
6. The Perfectoid Hodge–Tate Map	10
Appendix A. Review of Abelian Schemes	10
Appendix B. Review of Deformation Theory	10
Appendix C. Review of Shimura Varieties	11
C.1. Shimura Datum and Canonical Models	11
C.2. Siegel Modular Varieties	12
C.3. PEL Shimura Varieties	12
Appendix D. Artin’s Criterion	12
References	12

1. INTRODUCTION

1.1. Notations. Throughout this paper, $0 \leq \epsilon < 1/2$ is a number such there exists an element in $\mathbb{Z}_p^{\text{cycl}}$ of valuation ϵ , and any such element will be denoted by $p^\epsilon \in \mathbb{Z}_p^{\text{cycl}}$.

2. TECHNICAL TOOLS

2.1. Canonical Subgroups.

Definition 2.1.1. Let R be a p -adically complete flat $\mathbb{Z}_p^{\text{cycl}}$ -algebra. Let $A \rightarrow \text{Spec}(R)$ be an Abelian scheme. Let $m \geq 1$ be an integer. We say that $A \rightarrow \text{Spec}(R)$ satisfies the weak $O(m, \epsilon)$ condition if $\text{Ha}(A_1/\text{Spec}(R_1))^{(p^m-1)/(p-1)}$ divides p^ϵ as elements in $R_1 = R/p$. Here it seems to mean the following: there exists a section $u \in H^0(\text{Spec}(R_1), \omega_{A_1/R_1}^{\otimes (-p^m+1)/(p-1)})$ such that $u \cdot \text{Ha}(A_1/R_1) = p^\epsilon$.

Lemma 2.1.2. Let S be a p -adically complete flat $\mathbb{Z}_p^{\text{cycl}}$ -algebra. Let G be a finite locally free commutative group scheme over S . Let $C_1 \subset G \otimes_S S/p$ be a finite locally free subgroup. Assume that for $H = (G \otimes_S S/p)/C_1$, multiplication by p^ϵ on the Lie complex ℓ_H^\vee is homotopical to zero. Then there exists a finite locally free subgroup $C \subset G$ over S such that $C \otimes_S S/p^{1-\epsilon} = C_1 \otimes_{S/p} S/p^{1-\epsilon}$.

Lemma 2.1.3. Let R be a p -adically complete flat $\mathbb{Z}_p^{\text{cycl}}$ -algebra. Let $A \rightarrow \text{Spec}(R)$ be an Abelian scheme satisfying weak $O(m, \epsilon)$. Then there is a unique closed subgroup $C_m \subset A[p^m]$ such that $C_m = \ker(F^m) \bmod p^{1-\epsilon}$.

Definition 2.1.4 (scholze/III/2.7). Let R be a p -adically complete flat $\mathbb{Z}_p^{\text{cycl}}$ -algebra. We say that an Abelian scheme $A \rightarrow \text{Spec}(R)$ has a weak canonical subgroup of level m if $A \rightarrow \text{Spec}(R)$ satisfies weak $O(m, \epsilon)$ for some $\epsilon < 1/2$. In that case, we call $C_m \subset A[p^m]$ in Lemma 2.1.3 the weak canonical subgroup of level m .

TODO: strong $O(m, \epsilon)$ If moreover $\text{Ha}(A_1/\text{Spec}(R_1))^{p^m}$ divides p^ϵ , then we say that C_m is a strong canonical subgroup. Here, $R_1 = R/p$ and $A_1 \rightarrow \text{Spec}(R_1)$ is the reduction of $A \rightarrow \text{Spec}(R)$.

Speculation 2.1.5. Let R be a p -adically complete flat $\mathbb{Z}_p^{\text{cycl}}$ -algebra. Let $A \rightarrow \text{Spec}(R)$ be an Abelian scheme satisfying strong $O(m, \epsilon)$, with strong canonical subgroup $C \subset A[p]$ of level 1. Then $(A/C)_{1-\epsilon} \simeq A_{1-\epsilon}^{(p)}$, and in particular

$$\text{Ha}((A/C)_{1-\epsilon}/R_{1-\epsilon}) = \text{Ha}(A_{1-\epsilon}/R_{1-\epsilon})^p.$$

Lemma 2.1.6 (scholze/III/2.8). Let R be a p -adically complete flat $\mathbb{Z}_p^{\text{cycl}}$ -algebra. Let A and B be Abelian schemes over R .

- (1) If A has a canonical subgroup $C_m \subset A[p^m]$ of level m , then it has a canonical subgroup $C_{m'} \subset A[p^{m'}]$ of every level $m' \leq m$, and $C_{m'} \subset C_m$.
- (2) Let $f : A \rightarrow B$ be a map of Abelian schemes. Assume that both A and B have canonical subgroups $C_m \subset A[p^m]$ and $D_m \subset B[p^m]$ of level m . Then C_m maps into D_m under f .
- (3) Assume that A has a canonical subgroup $C_m \subset A[p^m]$ of level m , and let \bar{x} be a geometric point of $\text{Spec}(R[p^{-1}])$. Then $C_m(\bar{x}) \simeq (\mathbb{Z}/p^m\mathbb{Z})^g$, where g is dimension of the Abelian variety over \bar{x} .

2.2. Hartog's Extension Principle.

Lemma 2.2.1 ([SGA2, Lemma III.3.1, Proposition III.3.3]). Let X be a locally Noetherian scheme. Let $Z \subset X$ be a closed subscheme. Let \mathcal{F} be a coherent \mathcal{O}_X -module. Let $n \geq 1$ be an integer. Then the following are equivalent:

- (1) For any open subscheme V of X , the map

$$H^i(V, \mathcal{F}) \rightarrow H^i(V \setminus Z, \mathcal{F})$$

is bijective for $i \leq n-2$ and injective for $i = n-1$.

- (2) For any open subscheme V of X , the local cohomology

$$H_{V \cap Z}^i(V, \mathcal{F}) = 0$$

for all $i \leq n-1$.

- (3) For any $x \in Z$ the depth of \mathcal{F}_x as an $\mathcal{O}_{X,x}$ -module is at least n .

Lemma 2.2.2 (Serre's criterion). A Noetherian ring R is normal if and only if $R_{\mathfrak{p}}$ is regular for every \mathfrak{p} of height ≤ 1 and $R_{\mathfrak{p}}$ has depth ≥ 2 for every \mathfrak{p} of height ≥ 2 .

Lemma 2.2.3 (gortz-wedhorn-1/6.45). Let X be a locally Noetherian normal scheme. Let U be an open subscheme of X with codimension ≥ 2 . Then the map $H^0(X, \mathcal{O}_X) \rightarrow H^0(U, \mathcal{O}_X)$ is an isomorphism.

Proof. We may assume that $X = \text{Spec}(A)$ where A is normal integral domain. For every non-empty open V of X , the ring $\Gamma(V, \mathcal{O}_X)$ may be considered as a subring of the function field $K(X) = \text{Frac}(A)$ such that the restriction maps are given by inclusions of rings. Let Z be an irreducible closed subset of X of codimension 1. Then U intersects Z non-trivially, so it contains the generic point η of Z . In other words, the subring $\Gamma(U, \mathcal{O}_X)$ of the function field $K(X)$ is contained in the stalk $\mathcal{O}_{X,\eta}$. But $A = \Gamma(X, \mathcal{O}_X)$ is the intersection of all the stalks $\mathcal{O}_{X,\eta}$, where η is a prime ideal of height 1; in other words, where η is the generic point of an irreducible closed subset of codimension 1. \square

Lemma 2.2.4 (scholze/III/2.9; zhu/3.10.4). Let R be a normal ring, i.e. the localization $R_{\mathfrak{p}}$ is an integrally closed domain for every prime ideal \mathfrak{p} of R . Assume R is Noetherian. Let $Z \subset \text{Spec}(R)$ be a closed subscheme of codimension at least 2, i.e. every $\mathfrak{p} \in Z$ has height at least 2. Then for $U = \text{Spec}(R) \setminus Z$,

$$H^0(\text{Spec}(R), \mathcal{O}_{\text{Spec}(R)}) \simeq H^0(U, \mathcal{O}_{\text{Spec}(R)}).$$

Proof. Consider $n = 2$ and $\mathcal{F} = \mathcal{O}_X$ in Lemma 2.2.1. Serre's criterion, cf. Lemma 2.2.2, guarantees the third condition in Lemma 2.2.1. The first assertion gives the desired result. \square

Lemma 2.2.5 (scholze/III/2.10; zhu/3.10.5). Let R be a topologically finitely generated, flat, and p -adically complete \mathbb{Z}_p -algebra, such that $\bar{R} = R/p$ is normal. Fix $f \in R$ such that its reduction $\bar{f} \in \bar{R}$ is not a zero-divisor. Let $0 < \epsilon \leq 1$. Set $S = (R \hat{\otimes}_{\mathbb{Z}_p} \mathbb{Z}_p^{\text{cycl}}) \langle u \rangle / (u \cdot f - p^\epsilon)$. Then S is p -adically complete and flat over $\mathbb{Z}_p^{\text{cycl}}$. Fix a closed subscheme $Y \subset \text{Spec}(\bar{R})$ of codimension ≥ 2 . Let Z be the inverse image of Y in $\text{Spf}(S)$. Then for $U = |\text{Spf}(S)| \setminus Z$,

$$S = H^0(\text{Spf}(S), \mathcal{O}_{\text{Spf}(S)}) \simeq H^0(U, \mathcal{O}_{\text{Spf}(S)}).$$

Proof. We first show that the map

$$S \simeq H^0(\text{Spf}(S), \mathcal{O}_{\text{Spf}(S)}) \rightarrow H^0(U, \mathcal{O}_{\text{Spf}(S)})$$

is injective. Since S is p -adically separated and $H^0(U, \mathcal{O}_{\text{Spf}(S)})$ is flat over $\mathbb{Z}_p^{\text{cycl}}$, it suffices to show that

$$S_\epsilon \simeq H^0(\text{Spec}(S_\epsilon), \mathcal{O}_{\text{Spec}(S_\epsilon)}) \rightarrow H^0(U_\epsilon, \mathcal{O}_{\text{Spec}(S_\epsilon)})$$

is injective, where $S_\epsilon = S/p^\epsilon$, Z_ϵ is the inverse image of Y in $\text{Spec}(S_\epsilon)$, and $U_\epsilon = \text{Spec}(S_\epsilon) \setminus Z_\epsilon$. Note that

$$S_\epsilon = (R \hat{\otimes}_{\mathbb{Z}_p} \mathbb{Z}_p^{\text{cycl}}) \langle u \rangle / (uf, p^\epsilon) = R_\epsilon[u] / (uf_\epsilon)$$

where $R_\epsilon = R \otimes_{\mathbb{Z}_p} (\mathbb{Z}_p^{\text{cycl}}/p^\epsilon)$ and $f_\epsilon \in R_\epsilon$ is the image of $f \in R$.

Let $W \subset \text{Spec}(S_\epsilon)$ be the preimage of $V = V(\bar{f}) \subset \text{Spec}(\bar{R})$. Then $W = V \times_{\text{Spec}(\mathbb{F}_p)} \mathbb{A}_{\mathbb{Z}_p^{\text{cycl}}/p^\epsilon}^1$ is affine. The map $S_\epsilon \rightarrow R_\epsilon$ sending u to zero induces a section $\text{Spec}(R_\epsilon) \rightarrow \text{Spec}(S_\epsilon)$. We have a decomposition $\text{Spec}(S_\epsilon) = N \cup W$, where $N = \text{Spec}(R_\epsilon[u]/(u)) \simeq \text{Spec}(R_\epsilon)$ is the image of the section $\text{Spec}(R_\epsilon) \rightarrow \text{Spec}(S_\epsilon)$. Take $V_\epsilon = V \times_{\text{Spec}(\mathbb{F}_p)} \text{Spec}(\mathbb{Z}_p^{\text{cycl}}/p^\epsilon)$. Then $W = V_\epsilon \times_{\text{Spec}(\mathbb{Z}_p^{\text{cycl}}/p^\epsilon)} \mathbb{A}_{\mathbb{Z}_p^{\text{cycl}}/p^\epsilon}^1$, and $N \cap W = V_\epsilon$.

We then have the following interpretations:

- (1) Each section in $\Gamma(\text{Spec}(S_\epsilon), \mathcal{O}_{\text{Spec}(S_\epsilon)})$ is a pair (f_1, f_2) such that $f_1 \in \Gamma(N, \mathcal{O}_{\text{Spec}(S_\epsilon)})$ and $f_2 \in \Gamma(W, \mathcal{O}_{\text{Spec}(S_\epsilon)})$ such that $f_1 = f_2$ on $N \cap W = V_\epsilon$.
- (2) Each section in $H^0(U_\epsilon, \mathcal{O}_{\text{Spec}(S_\epsilon)})$ is a pair (f_1, f_2) such that $f_1 \in H^0(U_\epsilon \cap N, \mathcal{O}_{\text{Spec}(S_\epsilon)})$, and $f_2 \in H^0(U_\epsilon \cap W, \mathcal{O}_{\text{Spec}(S_\epsilon)})$, such that $f_1 = f_2$ on $U_\epsilon \cap N \cap W$.

The (classical) Hartog's extension principle, i.e. Lemma 2.2.4 applied to $Y \subset \text{Spec}(\bar{R})$, shows that

$$\Gamma(\text{Spec}(\bar{R}) \setminus Y) \simeq \Gamma(\text{Spec}(\bar{R})).$$

Under base-change this gives

$$\Gamma(U_\epsilon \cap N) \simeq \Gamma(\text{Spec}(\bar{Y}) \setminus Y) \otimes_{\mathbb{F}_p} \mathbb{Z}_p^{\text{cycl}}/p^\epsilon \simeq \Gamma(\text{Spec}(\bar{R})) \otimes_{\mathbb{F}_p} \mathbb{Z}_p^{\text{cycl}}/p^\epsilon \simeq \Gamma(N).$$

Thus injectivity reduces to show that

$$\Gamma(V) \otimes_{\mathbb{F}_p} (\mathbb{Z}_p^{\text{cycl}}/p^\epsilon)[u] \Gamma(W) \rightarrow \Gamma(U_\epsilon \cap W) = \Gamma(V \setminus Y) \otimes_{\mathbb{F}_p} (\mathbb{Z}_p^{\text{cycl}}/p^\epsilon)[u]$$

is injective. It suffices to show that $\Gamma(V) \rightarrow \Gamma(V \setminus Y)$ is injective, where both V and $V \setminus Y$ are \mathbb{F}_p -schemes. We have $\text{depth}(\mathcal{O}_{V,y}) = \text{depth}(\bar{R}_y) - 1$ for all $y \in V$, cf. [SGA-2/III/2.5]. Thus $\text{depth}(\mathcal{O}_{V,y}) \geq 1$ for every $x \in V \cap Y$ by Serre's criterion, i.e. Lemma 2.2.2. Then the desired injectivity follows from Lemma 2.2.1.

We then show the surjectivity.

TODO

□

2.3. Tate's Normalized Traces.

Lemma 2.3.1 (scholze/III/2.21; zhu/3.12.2). Let R be a p -adically complete flat \mathbb{Z}_p -algebra. Let $Y_1, \dots, Y_n \in R$. Let $P_1, \dots, P_n \in R \langle X_1, \dots, X_n \rangle$ be topologically nilpotent elements, or equivalently, each P_i has topologically nilpotent coefficients in R . Let

$$S = R \langle X_1, \dots, X_n \rangle / (X_1^p - Y_1 - P_1, \dots, X_n^p - Y_n - P_n).$$

Then

- (1) The ring S is a finite free R -module of rank p^n , with a basis given by $X_1^{i_1} \cdots X_n^{i_n}$ with $0 \leq i_1, \dots, i_n \leq p-1$.
- (2) Let I be the ideal of R generated by p together with all the coefficients of all P_i . Then the trace map $\text{tr}_{S/R} : S \rightarrow R$ sends S to I^n , i.e. $\text{tr}_{S/R}(S) \subset I^n$.

Lemma 2.3.2 (scholze/III/2.22; zhu/3.12.4). Let R be a p -adically complete flat \mathbb{Z}_p -algebra topologically of finite type, formally smooth of dimension n over \mathbb{Z}_p . Let $f \in R$ such that its reduction $\bar{f} \in \bar{R} = R/p$ is not a zero-divisor. Let $0 \leq \epsilon < 1/2$. Let

$$S_\epsilon = (R \widehat{\otimes}_{\mathbb{Z}_p} \mathbb{Z}_p^{\text{cycl}}) \langle u_\epsilon \rangle / (u_\epsilon \cdot f - p^\epsilon).$$

Suppose $\varphi : S_\epsilon \rightarrow S_{\epsilon/p}$ is a map of $\mathbb{Z}_p^{\text{cycl}}$ -algebra such that modulo $p^{1-\epsilon}$ it is given by the relative Frobenius. In other words, $\varphi \bmod p^{1-\epsilon}$ is the map

$$R_{1-\epsilon}[u_\epsilon] / (f \cdot u_\epsilon - p^\epsilon) \rightarrow R_{1-\epsilon}[u_{\epsilon/p}] / (f \cdot u_{\epsilon/p} - p^{\epsilon/p}),$$

where $R_{1-\epsilon} = \bar{R} \otimes_{\mathbb{Z}_p} (\mathbb{Z}_p^{\text{cycl}}/p^{1-\epsilon})$, which sends u_ϵ to $u_{\epsilon/p}^p$, and restricts to $\text{Fr}_{\bar{R}} \otimes \text{id}$ on $R_{1-\epsilon}$. Then

- (1) The map

$$\varphi[1/p] : S_\epsilon[1/p] \rightarrow S_{\epsilon/p}[1/p]$$

is finite and flat of degree p^n .

- (2) The trace map

$$\text{tr} = \text{tr}_{S_{\epsilon/p}[1/p]/S_\epsilon[1/p]} : S_{\epsilon/p}[1/p] \rightarrow S_\epsilon[1/p]$$

sends $S_{\epsilon/p}$ into $p^{n-(2n+1)\epsilon} S_\epsilon$. Here $S_{\epsilon/p}[1/p]$ is viewed as an $S_\epsilon[1/p]$ -algebra via $\varphi[1/p]$.

2.4. Riemann's Hebbbarkeitssatz.

Definition 2.4.1 (scholze/II/3.8). Let p be a prime. Let K be a perfectoid field (of any characteristic). Let t be a non-zero element of K with $|p| \leq |t| < 1$. A triple $(\mathcal{X}, \mathcal{Z}, \mathcal{U})$, where \mathcal{X} is an affinoid perfectoid space over K , \mathcal{Z} is a closed subset of \mathcal{X} , and \mathcal{U} is a quasi-compact open subset of $\mathcal{X} \setminus \mathcal{Z}$, is said to be good, if

$$H^0(\mathcal{X}, \mathcal{O}_{\mathcal{X}}^+/t)^a \simeq H^0(\mathcal{X} \setminus \mathcal{Z}, \mathcal{O}_{\mathcal{X}}^+/t)^a \hookrightarrow H^0(\mathcal{U}, \mathcal{O}_{\mathcal{U}}^+/t)^a.$$

Remark 2.4.2. This notion is independent of the choice of t , and is compatible with tilting.

Situation 2.4.3. Let $K = \mathbb{F}_p((t^{1/p^\infty}))$. Let R_0 be a reduced Tate K -algebra topologically of finite type. Let $\mathcal{X}_0 = \text{Spa}(R_0, R_0^\circ)$ be the associated affinoid adic space of finite type over K . Let R be the completed perfection of R_0 , which is a p -finite perfectoid K -algebra. Let $\mathcal{X} = \text{Spa}(R, R^+)$ with $R^+ = R^\circ$, the associated p -finite affinoid perfectoid space over K . Let I_0 be an ideal of R_0 . Let $I = I_0 R \subset R$. Let $\mathcal{Z}_0 = V(I_0) \subset \mathcal{X}_0$. Let $\mathcal{Z} = V(I) \subset \mathcal{X}$. Let \mathcal{U}_0 be a quasi-compact open subset of $\mathcal{X}_0 \setminus \mathcal{Z}_0$ with preimage $\mathcal{U} \subset \mathcal{X} \setminus \mathcal{Z}$.

Lemma 2.4.4 (scholze/II/3.10). Assume Situation 2.4.3. Suppose $(\mathcal{X}, \mathcal{Z}, \mathcal{U})$ is good. Suppose that R_0 is normal, and that $V(I_0) \subset \text{Spec}(R_0)$ is of codimension ≥ 2 . Let R'_0 be a finite normal R_0 -algebra which is étale outside $V(I_0)$, and such that no irreducible component of $\text{Spec}(R'_0)$ maps into $V(I_0)$. Let $I'_0 = I_0 R'_0$, and $\mathcal{U}'_0 \subset \mathcal{X}'_0$ the preimage of \mathcal{U}_0 . Let $R', I', \mathcal{X}', \mathcal{Z}', \mathcal{U}'$ be the associated perfectoid objects.

- (1) There is a perfect trace pairing

$$\text{tr}_{R'_0/R_0} : R'_0 \otimes_{R_0} R'_0 \rightarrow R_0.$$

- (2) The trace pairing induces a trace pairing

$$\text{tr}_{R'^\circ/R^\circ} : R'^\circ \otimes_{R^\circ} R'^\circ \rightarrow R^\circ.$$

which is almost perfect.

- (3) For all open subsets $\mathcal{V} \subset \mathcal{X}$ with preimage $\mathcal{V}' \subset \mathcal{X}'$, the trace pairing induces an isomorphism

$$H^0(\mathcal{V}', \mathcal{O}_{\mathcal{X}'}^+/t)^a \simeq \text{Hom}_{R^\circ/t}(R'^\circ/t, H^0(\mathcal{V}, \mathcal{O}_{\mathcal{X}}^+/t))^a.$$

- (4) The triple $(\mathcal{X}', \mathcal{Z}', \mathcal{U}')$ is good.

- (5) If $\mathcal{X}' \rightarrow \mathcal{X}$ is surjective, then the map

$$H^0(\mathcal{X}, \mathcal{O}_{\mathcal{X}}^+/t) \rightarrow H^0(\mathcal{X}', \mathcal{O}_{\mathcal{X}'}^+/t) \cap H^0(\mathcal{U}, \mathcal{O}_{\mathcal{U}}^+/t)$$

is an almost isomorphism.

Lemma 2.4.5 (scholze/II/3.11). Suppose we have a filtered inductive system $(R_0^{(i)})_{i \in I}$ as in the previous lemma, giving rise to $\mathcal{X}^{(i)}, \mathcal{Z}^{(i)}, \mathcal{U}^{(i)}$. Assume that all transition maps $\mathcal{X}^{(i)} \rightarrow \mathcal{X}^{(j)}$ are surjective. Let $\tilde{\mathcal{X}}$ be the inverse limit of the $\mathcal{X}^{(i)}$ in the category of perfectoid spaces over K , with preimage $\tilde{\mathcal{Z}} \subset \tilde{\mathcal{X}}$ of \mathcal{Z} , and $\tilde{\mathcal{U}} \subset \tilde{\mathcal{X}} \setminus \tilde{\mathcal{Z}}$ of \mathcal{U} . Then the triple $(\tilde{\mathcal{X}}, \tilde{\mathcal{Z}}, \tilde{\mathcal{U}})$ is good.

2.5. The Hodge–Tate Filtration.

Lemma 2.5.1. Let C be an algebraically closed and complete extension of \mathbb{Q}_p . Let $A \rightarrow \operatorname{Spec}(C)$ be an Abelian variety. Then A has its Hodge–Tate filtration

$$0 \rightarrow \operatorname{Lie}(A)(1) \rightarrow T_p(A) \otimes_{\mathbb{Z}_p} C \rightarrow (\operatorname{Lie}(A^\vee))^* \rightarrow 0.$$

TODO

3. SIEGEL MODULAR VARIETIES

Let p be a fixed prime. Let $g \geq 1$ be an integer.

Definition 3.0.1. The symplectic similitude group GSp_{2g} is the reductive group scheme over \mathbb{Z} whose points in a commutative ring R are given by

$$\operatorname{GSp}_{2g}(R) = \{x \in \operatorname{GL}_{2g}(V); \exists \nu(x) \in R^\times, x^t \Omega x = \nu(x) \Omega\}$$

where $\Omega = \begin{bmatrix} 0 & I \\ -I & 0 \end{bmatrix}$ is the standard symplectic matrix of order $2g$.

In the following discussion, we write $G = \operatorname{GSp}_{2g}$. Let $K_p = G(\mathbb{Z}_p)$. Let K^p be a compact open subgroup of $G(\mathbb{A}^{\infty,p})$ that is contained in

$$\Gamma(N)^{(p)} = \{g \in G(\mathbb{A}^{\infty,p}); g \equiv 1 \pmod{N}\}$$

for some integer $N \geq 3$ not divisible by p .

Definition 3.0.2. Let $m \geq 1$ be an integer.

$$\begin{aligned} \Gamma_0(p^m) &= \left\{ g \in G(\mathbb{Z}_p); g \equiv \begin{bmatrix} * & * \\ 0 & * \end{bmatrix} \pmod{p^m} \right\} \\ \Gamma_s(p^m) &= \left\{ g \in G(\mathbb{Z}_p); g \equiv \begin{bmatrix} * & * \\ 0 & * \end{bmatrix} \pmod{p^m}, \nu(g) \equiv 1 \pmod{p^m} \right\} \\ \Gamma_1(p^m) &= \left\{ g \in G(\mathbb{Z}_p); g \equiv \begin{bmatrix} 1 & * \\ 0 & 1 \end{bmatrix} \pmod{p^m} \right\} \\ \Gamma(p^m) &= \left\{ g \in G(\mathbb{Z}_p); g \equiv \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \pmod{p^m} \right\} \end{aligned}$$

Let X be the scheme over $\operatorname{Spec}(\mathbb{Z}_{(p)})$ classifying principally polarized projective Abelian schemes of relative dimension g with level K^p structures. Let X^* be the minimal compactification of X .

TODO: Add references.

For each $U \in \{\Gamma(p^m), \Gamma_s(p^m), \Gamma_0(p^m)\}$, we have a scheme $X_{U,\mathbb{Q}}$ over \mathbb{Q} with certain moduli interpretations.

Let \mathfrak{X} be the formal scheme over $\operatorname{Spf}(\mathbb{Z}_p^{\text{cycl}})$ defined as the p -completion of $X_{\mathbb{Z}_p^{\text{cycl}}} = X \times_{\operatorname{Spec}(\mathbb{Z}_{(p)})} \operatorname{Spec}(\mathbb{Z}_p^{\text{cycl}})$.

Remark 3.0.3. The moduli interpretations can be described as follows.

- (1) $\operatorname{Sh}_{K^p G(\mathbb{Z}_p), \mathbb{Z}_{(p)}}$ represents the following problem $S \mapsto \{(A, \lambda, \eta)\} / \sim$ where
 - A is a projective Abelian scheme over S of relative dimension g .
 - λ is a principal polarization of A .
 - η is a level K^p structure on A .
- (2) $\operatorname{Sh}_{K^p \Gamma(p^m), \mathbb{Q}}$ represents the following problem $S \mapsto \{(A, \lambda, \eta, \eta_p)\} / \sim$ where
 - $(A, \lambda, \eta) \in \operatorname{Sh}_{K^p G(\mathbb{Z}_p), \mathbb{Z}_{(p)}}(S)$.
 - η_p is a level $\Gamma(p^m)$ structure on A .
- (3) $\operatorname{Sh}_{K^p \Gamma_0(p^m), \mathbb{Q}}$ represents the following problem $S \mapsto \{(A, \lambda, \eta, D)\} / \sim$ where
 - $(A, \lambda, \eta) \in \operatorname{Sh}_{K^p G(\mathbb{Z}_p), \mathbb{Z}_{(p)}}(S)$.
 - D is a totally isotropic subgroup of $A[p^m]$.
- (4) $\operatorname{Sh}_{K^p \Gamma_s(p^m), \mathbb{Q}}$ represents the following problem $S \mapsto \{(A, \lambda, \eta, D, t)\} / \sim$ where
 - $(A, \lambda, \eta, D) \in \operatorname{Sh}_{K^p \Gamma_0(p^m), \mathbb{Q}}(S)$.

- $t : \mu_{p^m} \rightarrow \mathbb{Z}/p^m\mathbb{Z}$ is an isomorphism.

The universal Abelian scheme $A \rightarrow X$ gives a line bundle $\omega = \omega_{A/S} = \bigwedge^g \Omega_{A/X}^1$. The sheaf ω extends to the minimal compactification X^* . The Hasse invariant defines a section $\text{Ha} \in H^0(X_{\mathbb{F}_p}, \omega^{\otimes(p-1)})$. The section Ha extends to $\text{Ha} \in H^0(X_{\mathbb{F}_p}^*, \omega^{\otimes(p-1)})$. For $g = 1$, this follows from direct inspection. For $g \geq 2$, this follows from the (classical) Hartog's extension principle. **TODO: Clarify this paragraph.**

Let $\mathfrak{A} \rightarrow \mathfrak{X}$ be the universal formal Abelian scheme.

Speculation 3.0.4. Minimal compactification is compatible with p -completion.

4. THE ANTI-CANONICAL TOWERS

Lemma 4.0.1. Let S be a p -adically complete $\mathbb{Z}_p^{\text{cycl}}$ -algebra. There is a bijection

$$\text{Hom}_{\text{Spf}(\mathbb{Z}_p^{\text{cycl}})}(\text{Spf}(S), \mathfrak{X}^*) \simeq \text{Hom}_{\text{Spec}(\mathbb{Z}_p^{\text{cycl}})}(\text{Spec}(S), X_{\mathbb{Z}_p^{\text{cycl}}}^*).$$

Speculation 4.0.2. Let Y be a scheme over $\text{Spec}(\mathbb{Z}_p^{\text{cycl}})$. Let \mathfrak{Y} be the formal scheme over $\text{Spf}(\mathbb{Z}_p^{\text{cycl}})$ obtained as the p -completion of Y . Let S be a p -adically complete $\mathbb{Z}_p^{\text{cycl}}$ -algebra. Then there is a bijection

$$\text{Hom}_{\text{Spf}(\mathbb{Z}_p^{\text{cycl}})}(\text{Spf}(S), \mathfrak{Y}) \simeq \text{Hom}_{\text{Spec}(\mathbb{Z}_p^{\text{cycl}})}(\text{Spec}(S), Y).$$

Definition 4.0.3. Let $0 \leq \epsilon < 1$ such that there exists an element $p^\epsilon \in \mathbb{Z}_p^{\text{cycl}}$ with p -adic valuation ϵ . Let \mathcal{M}_ϵ be the functor sending a p -adically complete flat $\mathbb{Z}_p^{\text{cycl}}$ -algebra S to the set of pairs $(f, [u])$, where

- f is a map $\text{Spf}(S) \rightarrow \mathfrak{X}^*$; it's equivalent to a map $\text{Spec}(S) \rightarrow X_{\mathbb{Z}_p^{\text{cycl}}}^*$ by Lemma 4.0.1.
- Let $\bar{f} : \text{Spec}(S/p) \rightarrow X_{\mathbb{F}_p}^*$ be the reduction of $\text{Spec}(S) \rightarrow X_{\mathbb{Z}_p^{\text{cycl}}}^*$. Recall that we have the Hasse section $\text{Ha} \in H^0(X_{\mathbb{F}_p}^*, \omega^{\otimes(p-1)})$. It pullbacks to $\bar{f}^* \text{Ha} \in H^0(\text{Spec}(S/p), \bar{f}^* \omega^{\otimes(p-1)})$. Then $[u]$ is an equivalence class of sections $u \in H^0(\text{Spec}(S/p), \bar{f}^* \omega^{\otimes(1-p)})$ satisfying $u \cdot \bar{f}^* \text{Ha} = p^\epsilon \in S_1$ under the equivalence relation that $u \sim u'$ if and only if there exists some $h \in S$ such that $u' = u(1 + p^{1-\epsilon}h)$.

Lemma 4.0.4. Then the functor \mathcal{M}_ϵ is representable by a formal scheme flat over $\text{Spf}(\mathbb{Z}_p^{\text{cycl}})$. **Moreover, locally it is ...**

Definition 4.0.5. Let $\mathfrak{X}(\epsilon) \rightarrow \mathfrak{X}$ be the pullback of $\mathfrak{X}^*(\epsilon) \rightarrow \mathfrak{X}^*$ along $\mathfrak{X} \rightarrow \mathfrak{X}^*$. Let $\mathfrak{A}(\epsilon) \rightarrow \mathfrak{X}(\epsilon)$ be the pullback of $\mathfrak{A} \rightarrow \mathfrak{X}$ along $\mathfrak{X}(\epsilon) \rightarrow \mathfrak{X}$.

Let \mathcal{X} be the generic fiber of the adic space associated to the formal scheme \mathfrak{X} . Let $\mathcal{X}(\epsilon)$ be the generic fiber of the adic space associated to $\mathfrak{X}(\epsilon)$. Then \mathcal{X} admits an open embedding to the X^{ad} , the adic space associated to the scheme $X_{\mathbb{Q}_p^{\text{cycl}}}$. Let $\mathcal{X}_{\Gamma_s(p^m)}$ be the inverse image of \mathcal{X} under the map $X_{\Gamma_s(p^m)}^{\text{ad}} \rightarrow X^{\text{ad}}$.

Remark 4.0.6. **TODO: moduli interpretation of $\mathfrak{X}(\epsilon)$. Should be almost identical to \mathcal{M}_ϵ .**

Definition 4.0.7. For a formal scheme \mathfrak{Y} over $\mathbb{Z}_p^{\text{cycl}}$ and $a \in \mathbb{Z}_p^{\text{cycl}}$, we write \mathfrak{Y}/a for $\mathfrak{Y} \times_{\text{Spf}(\mathbb{Z}_p^{\text{cycl}})} \text{Spf}(\mathbb{Z}_p^{\text{cycl}}/a)$.

Definition 4.0.8. For a formal scheme \mathfrak{Y} over $\mathbb{Z}_p^{\text{cycl}}/p$, we write $\mathfrak{Y}^{(p)}$ for the pullback of \mathfrak{Y} along the (absolute) Frobenius $\text{Spf}(\mathbb{Z}_p^{\text{cycl}}/p) \rightarrow \text{Spf}(\mathbb{Z}_p^{\text{cycl}}/p)$.

Lemma 4.0.9. We have a natural isomorphism

$$(\mathfrak{X}^*(p^{-1}\epsilon)/p)^{(p)} \simeq \mathfrak{X}^*(\epsilon)/p$$

of formal schemes over $\text{Spf}(\mathbb{Z}_p^{\text{cycl}}/p)$. Furthermore, by pullback we get the following commutative diagram

$$\begin{array}{ccccc} (\mathfrak{A}(p^{-1}\epsilon)/p)^{(p)} & \longrightarrow & (\mathfrak{X}(p^{-1}\epsilon)/p)^{(p)} & \longrightarrow & (\mathfrak{X}^*(p^{-1}\epsilon)/p)^{(p)} \\ \downarrow & & \downarrow & & \downarrow \\ \mathfrak{A}(\epsilon)/p & \longrightarrow & \mathfrak{X}(\epsilon)/p & \longrightarrow & \mathfrak{X}^*(\epsilon)/p \end{array}$$

where each vertical map is an isomorphism.

Proof. Let S be a (discrete? flat) $(\mathbb{Z}_p^{\text{cycl}}/p)$ -algebra. Then

$$(\mathfrak{X}^*(p^{-1}\epsilon)/p)^{(p)}(S) = (\mathfrak{X}^*(p^{-1}\epsilon)/p)(\text{Fr}_*S),$$

where Fr_*S is the $(\mathbb{Z}_p^{\text{cycl}}/p)$ -algebra obtained from S by precomposing with $\text{Fr} : \mathbb{Z}_p^{\text{cycl}}/p \rightarrow \mathbb{Z}_p^{\text{cycl}}/p$. Each map $\text{Spf}(\text{Fr}_*S) \rightarrow \mathfrak{X}^*(p^{-1}\epsilon)/p$ is equivalent to a pair $(f, [u])$, where

- $f : \text{Spec}(\text{Fr}_*S) \rightarrow X_{\mathbb{Z}_p^{\text{cycl}}}^*$ is a map over $\text{Spec}(\mathbb{Z}_p^{\text{cycl}})$.
- $u \in H^0(\text{Spec}(\text{Fr}_*S), f^*\omega^{\otimes(1-p)})$ is a section such that $u \cdot f^*\text{Ha} = p^{p^{-1}\epsilon} \in \text{Fr}_*S$. Note that $(\text{Fr}_*S)/p = \text{Fr}_*S$ since S is defined over $\mathbb{Z}_p^{\text{cycl}}/p$.

Recall that $X_{\mathbb{Z}_p^{\text{cycl}}}^* = X_{\mathbb{Z}_p}^* \times_{\text{Spec}(\mathbb{Z}_p)} \text{Spec}(\mathbb{Z}_p^{\text{cycl}})$, and thus $(f, [u])$ is equivalent (TODO: should be more precise) to the following datum

- $f : \text{Spec}(\text{Fr}_*S) \rightarrow X_{\mathbb{Z}_p}^*$ is a map over $\text{Spec}(\mathbb{Z}_p)$.
- (TODO: Check the reduction of u) $u \in H^0(\text{Spec}(\text{Fr}_*S), f^*\omega^{\otimes(1-p)})$ is a section such that $u \cdot f^*\text{Ha} = p^{p^{-1}\epsilon} \in \text{Fr}_*S$.

Note that the Frobenius on $\mathbb{Z}_p/p = \mathbb{F}_p$ is simply the identity, and thus the map $\text{Spec}(\text{Fr}_*S) \rightarrow \text{Spec}(\mathbb{Z}_p)$ is identical to $\text{Spec}(S) \rightarrow \text{Spec}(\mathbb{Z}_p)$. But under this identification the element $p^{p^{-1}\epsilon} \in \text{Fr}_*S$ corresponds to $p^\epsilon \in S$. Then $f : \text{Spec}(\text{Fr}_*S) \rightarrow X_{\mathbb{Z}_p}^*$ can be reinterpreted as a map $g : \text{Spec}(S) \rightarrow X_{\mathbb{Z}_p}^*$ over $\text{Spec}(\mathbb{Z}_p)$. We write $v = u$ for clarity. The section v then satisfies $v \cdot g^*\text{Ha} = p^\epsilon \in S$. The pair $(g, [v])$ then corresponds to a map $\text{Spf}(S) \rightarrow \mathfrak{X}^*(\epsilon)/p$ over $\text{Spf}(\mathbb{Z}_p^{\text{cycl}}/p)$. \square

Lemma 4.0.10. The Frobenius map $\text{Spf}(\mathbb{Z}_p^{\text{cycl}}/p) \rightarrow \text{Spf}(\mathbb{Z}_p^{\text{cycl}}/p)$ induces the following commutative diagram

$$\begin{array}{ccccc} \mathfrak{A}(p^{-1}\epsilon)/p & \longrightarrow & \mathfrak{X}(p^{-1}\epsilon)/p & \longrightarrow & \mathfrak{X}^*(p^{-1}\epsilon)/p \\ \downarrow & & \downarrow & & \downarrow \\ (\mathfrak{A}(p^{-1}\epsilon)/p)^{(p)} & \longrightarrow & (\mathfrak{X}(p^{-1}\epsilon)/p)^{(p)} & \longrightarrow & (\mathfrak{X}^*(p^{-1}\epsilon)/p)^{(p)} \end{array}$$

Proof. This follows from the universal property of pullback. \square

Remark 4.0.11. TODO: Explain the moduli interpretation of

$$\mathfrak{X}^*(p^{-1}\epsilon)/p \rightarrow (\mathfrak{X}^*(p^{-1}\epsilon)/p)^{(p)} \simeq \mathfrak{X}^*(\epsilon)/p.$$

Speculation 4.0.12. This is written explicitly in [Zhu23, p. 40]. But I cannot find a reference. Let S be a p -adically complete flat $\mathbb{Z}_p^{\text{cycl}}$ -algebra. Let $A \rightarrow \text{Spec}(S)$ be an Abelian scheme. Then ω_{A_1/S_1} (or even $\omega_{A/S}$) is the trivial line bundle, where $S_1 = S/p$.

Speculation 4.0.13. Let S be a p -adically complete flat $\mathbb{Z}_p^{\text{cycl}}$ -algebra. Let $f : \text{Spf}(S) \rightarrow \mathfrak{X}$ be a map over $\text{Spf}(\mathbb{Z}_p^{\text{cycl}})$. Let $A \rightarrow \text{Spec}(S)$ be the corresponding Abelian scheme. Suppose $A \rightarrow \text{Spec}(S)$ satisfies strong $O(1, \epsilon)$. Let C be the strong canonical subgroup of $A \rightarrow \text{Spec}(S)$ of level 1. Then $B = A/C$ satisfies weak $O(1, \epsilon)$.

Speculation 4.0.14. Let S be a p -adically complete flat $\mathbb{Z}_p^{\text{cycl}}$ -algebra. Let $A \rightarrow \text{Spec}(S)$ be an Abelian scheme. The set of points $s \in \text{Spec}(S)$ such that A_s is ordinary forms a dense subset of $\text{Spec}(S)$.

Lemma 4.0.15. There is a unique commutative diagram

$$\begin{array}{ccccc} \mathfrak{A}(p^{-1}\epsilon) & \longrightarrow & \mathfrak{X}(p^{-1}\epsilon) & \longrightarrow & \mathfrak{X}^*(p^{-1}\epsilon) \\ \downarrow & & \downarrow & & \downarrow \\ \mathfrak{A}(\epsilon) & \longrightarrow & \mathfrak{X}(\epsilon) & \longrightarrow & \mathfrak{X}^*(\epsilon) \end{array}$$

that is identified with the following commutative diagram from Lemma 4.0.9 and Lemma 4.0.10, after modulo $p^{1-\epsilon}$.

$$\begin{array}{ccccc}
\mathfrak{A}(p^{-1}\epsilon)/p & \longrightarrow & \mathfrak{X}(p^{-1}\epsilon)/p & \longrightarrow & \mathfrak{X}^*(p^{-1}\epsilon)/p \\
\downarrow & & \downarrow & & \downarrow \\
(\mathfrak{A}(p^{-1}\epsilon)/p)^{(p)} & \longrightarrow & (\mathfrak{X}(p^{-1}\epsilon)/p)^{(p)} & \longrightarrow & (\mathfrak{X}^*(p^{-1}\epsilon)/p)^{(p)} \\
\downarrow & & \downarrow & & \downarrow \\
\mathfrak{A}(\epsilon)/p & \longrightarrow & \mathfrak{X}(\epsilon)/p & \longrightarrow & \mathfrak{X}^*(\epsilon)/p
\end{array}$$

Proof. **TODO:** The map $\mathfrak{X}(p^{-1}\epsilon) \rightarrow \mathfrak{X}(\epsilon)$ comes from the moduli interpretation, the weak canonical subgroup, and the Hasse invariant. Then $\mathfrak{A}(p^{-1}\epsilon) \rightarrow \mathfrak{A}(\epsilon)$ is obtained by base-change. The extension to $\mathfrak{X}^*(p^{-1}\epsilon) \rightarrow \mathfrak{X}^*(\epsilon)$ is done using Hartog's extension principle.

We first construct the map $\mathfrak{X}(p^{-1}\epsilon) \rightarrow \mathfrak{X}(\epsilon)$. Let S be a p -adically complete flat $\mathbb{Z}_p^{\text{cycl}}$ -algebra. Let $(f, [u])$ be a pair where

- $f : \text{Spf}(S) \rightarrow \mathfrak{X}$ is a map of formal schemes over $\text{Spf}(\mathbb{Z}_p^{\text{cycl}})$; its equivalent to a map $f : \text{Spec}(S) \rightarrow X_{\mathbb{Z}_p^{\text{cycl}}}$.
- $u \in H^0(\text{Spec}(S/p), \bar{f}^* \omega^{\otimes(1-p)})$ is a section such that $u \cdot \bar{f}^* \text{Ha} = p^{p^{-1}\epsilon} \in S/p$.

The map $f : \text{Spec}(S) \rightarrow X_{\mathbb{Z}_p^{\text{cycl}}}$ gives an Abelian scheme $A \rightarrow \text{Spec}(S)$ **with principal polarization and level K^p structure**. We claim that $A \rightarrow \text{Spec}(S)$ satisfies strong $O(1, \epsilon)$, i.e. $\text{Ha}(A_1/\text{Spec}(S_1))^p$ divides p^ϵ . This follows from

$$p^{p^{-1}\epsilon} = u \cdot \bar{f}^* \text{Ha} = u \cdot \text{Ha}(A_1/\text{Spec}(S_1)).$$

Let $C \subset A[p]$ be the strong canonical subgroup of level 1. We get an Abelian scheme $A/C \rightarrow \text{Spec}(S)$ **equipped with induced polarization and level structure** **TODO: Clarify; use totally isotropic**, which corresponds to a map $g : \text{Spec}(S) \rightarrow X_{\mathbb{Z}_p^{\text{cycl}}}$.

Then we declare that the pair $(f, [u])$ gets mapped to the pair $(g, [u^p])$.

By Speculation 4.0.13, the quotient $A/C \rightarrow \text{Spec}(S)$ satisfies weak $O(1, \epsilon)$, i.e. there exists a section $v \in H^0(\text{Spec}(S/p), \bar{g}^* \omega^{\otimes(1-p)})$ such that $v \cdot \bar{g}^* \text{Ha} = p^\epsilon$. Then we declare that the pair $(f, [u])$ gets mapped to the pair $(g, [v])$. We need to check that $[v]$ is well-defined. It suffices to show that $\bar{g}^* \text{Ha} = \text{Ha}((A/C)_1/S_1)$ is not a zero-divisor. Otherwise, for every geometric point x of $\text{Spec}(S)$, the Abelian scheme $(A/C)_x$ is not ordinary. This contradicts Speculation 4.0.14. Therefore we obtain a well-defined map $\mathfrak{X}(p^{-1}\epsilon) \rightarrow \mathfrak{X}(\epsilon)$.

We have

$$p^\epsilon = u^p \cdot \text{Ha}(A_1/\text{Spec}(S_1))^p = u^p \cdot \text{Ha}(A_1^{(p)}/\text{Spec}(S_1)).$$

Modulo $p^{1-\epsilon}$,

$$p^\epsilon = u^p \cdot \text{Ha}(A_1^{(p)}/\text{Spec}(S_{1-\epsilon})) = u^p \cdot \text{Ha}(B_{1-\epsilon}/\text{Spec}(S_{1-\epsilon})).$$

Thus there is $v \in H^0(\text{Spec}(S_1), \bar{g}^* \omega^{\otimes(1-p)})$ such that $v = u^p \bmod p^{1-\epsilon}$ and $v \cdot \text{Ha}(B_1/\text{Spec}(S_1)) = p^\epsilon \bmod p^{1-\epsilon}$.

We then declare that the pair $(f, [u])$ gets mapped to $(g, [v])$. This is well-defined since equivalent u gives the same u^p . \square

Construction 4.0.16. Let $m \geq 1$.

We first construct a map $\mathfrak{X}(p^{-m}\epsilon) \rightarrow \mathfrak{X}$. Let S be a p -adically complete flat $\mathbb{Z}_p^{\text{cycl}}$ -algebra. Let $\text{Spf}(S) \rightarrow \mathfrak{X}(p^{-m}\epsilon)$ be a map over $\text{Spf}(\mathbb{Z}_p^{\text{cycl}})$. It corresponds to a pair $(f, [u])$ where

- $f : \text{Spec}(S) \rightarrow X_{\mathbb{Z}_p^{\text{cycl}}}$ is a map over $\text{Spec}(\mathbb{Z}_p^{\text{cycl}})$.
- $u \in H^0(\text{Spec}(S/p), \bar{f}^* \text{Ha})$ is a section such that $u \cdot f = p^{p^{-m}\epsilon}$ in S/p .

The map $f : \text{Spec}(S) \rightarrow X_{\mathbb{Z}_p^{\text{cycl}}}$ gives an Abelian scheme $A \rightarrow \text{Spec}(S)$. The section u shows that $A \rightarrow \text{Spec}(S)$ satisfies strong $O(m, \epsilon)$, and thus has a strong canonical subgroup $C_m \subset A[p^m]$ of level m . The Abelian scheme $A/C_m \rightarrow \text{Spec}(S)$ has induced principal polarization and level structure, and thus corresponds to a map $\text{Spec}(S) \rightarrow X_{\mathbb{Z}_p^{\text{cycl}}}$, which gives a map $\text{Spf}(S) \rightarrow \mathfrak{X}$ over $\text{Spf}(\mathbb{Z}_p^{\text{cycl}})$.

Passing to the adic fiber (i.e. the generic fiber of the associated adic space), we get a map $\mathcal{X}(p^{-m}\epsilon) \rightarrow \mathcal{X}$ of adic spaces. Now we construct a factorization $\mathcal{X}(p^{-m}\epsilon) \rightarrow \mathcal{X}_{\Gamma_s(p^m)} \rightarrow \mathcal{X}$, where the map $\mathcal{X}_{\Gamma_s(p^m)} \rightarrow \mathcal{X}$ is given by the moduli interpretation “ $(A, D) \mapsto A/D$ ”.

TODO: construct the factorization

Lemma 4.0.17. For each $m \geq 1$, the $\mathcal{X}(p^{-m}\epsilon) \rightarrow \mathcal{X}_{\Gamma_s(p^m)}$ extends uniquely to $\mathcal{X}^*(p^{-m}\epsilon) \rightarrow \mathcal{X}_{\Gamma_s(p^m)}^*$, and both maps are open immersions of adic spaces. Moreover, the following diagram

$$\begin{array}{ccc} \mathcal{X}^*(p^{-m-1}\epsilon) & \longrightarrow & \mathcal{X}_{\Gamma_s(p^{m+1})}^* \\ \downarrow & & \downarrow \\ \mathcal{X}^*(p^{-m}\epsilon) & \longrightarrow & \mathcal{X}_{\Gamma_s(p^m)}^* \end{array}$$

is a pullback diagram for all $m \geq 1$, where the vertical map on the left is induced from the map $\mathfrak{X}(p^{-m-1}\epsilon) \rightarrow \mathfrak{X}(p^{-m}\epsilon)$, cf. Lemma 4.0.15.

Proof. **TODO: write down the proof**

- Extension to minimal compactification:
- Open immersion:
 - The map $\theta : X_{\mathbb{Q}_p^{\text{cycl}}} \rightarrow X_{\mathbb{Q}_p^{\text{cycl}}}$ defined by $A \mapsto A/A[p^m]$ is an isomorphism.
 - The following diagram

$$\begin{array}{ccc} \mathcal{X}(p^{-m}\epsilon) & \longrightarrow & \mathcal{X} \\ \downarrow & & \downarrow \\ X^{\text{ad}} & \xrightarrow{\theta} & X^{\text{ad}} \end{array}$$

commutes.

- So the composition $\mathcal{X}^*(p^{-m}\epsilon) \rightarrow \mathcal{X}_{\Gamma_s(p^m)} \rightarrow \mathcal{X}$ is an open immersion.
- The map $\mathcal{X}_{\Gamma_s(p^m)} \rightarrow \mathcal{X}$ is finite étale.
- Thus the map $\mathcal{X}(p^{-m}\epsilon) \rightarrow \mathcal{X}_{\Gamma_s(p^m)}$ is an open immersion.
- Then pass to minimal compactification.
- Pullback diagram:
 - First show that

$$\begin{array}{ccc} \mathcal{X}(p^{-m-1}\epsilon) & \longrightarrow & \mathcal{X}_{\Gamma_s(p^{m+1})} \\ \downarrow & & \downarrow \\ \mathcal{X}(p^{-m}\epsilon) & \longrightarrow & \mathcal{X}_{\Gamma_s(p^m)} \end{array}$$

is pullback diagram.

- Commutativity of the diagram:
- It is a pullback since both vertical maps are finite étale of degree $p^{g(g+1)/2}$.
- Then pass to minimal compactification.

□

Definition 4.0.18. Let $\mathcal{X}_{\Gamma_s(p)}(\epsilon)$ be the pullback of $\mathcal{X}(\epsilon)$ along $\mathcal{X}_{\Gamma_s(p)} \rightarrow \mathcal{X}$.

Lemma 4.0.19. The following diagram

$$\begin{array}{ccc} \mathcal{X}(p^{-1}\epsilon) & \longrightarrow & \mathcal{X}_{\Gamma_s(p)}(\epsilon) \\ \downarrow & & \downarrow \\ \mathcal{X}(\epsilon) & \xrightarrow{\text{id}} & \mathcal{X}(\epsilon) \end{array}$$

commutes. Moreover, the map $\mathcal{X}(p^{-1}\epsilon) \rightarrow \mathcal{X}_{\Gamma_s(p)}(\epsilon)$ is an open immersion, and the image of $\mathcal{X}(p^{-1}\epsilon)$ in $\mathcal{X}_{\Gamma_s(p)}(\epsilon)$ is both open and closed.

Definition 4.0.20. Let $\mathcal{X}_{\Gamma_s(p)}(\epsilon)_a$ be the open and closed subset of $\mathcal{X}_{\Gamma_s(p)}(\epsilon)$ “parametrizing those $D \subset \mathcal{A}(\epsilon)[p]$ with $D \cap C = \{0\}$ ”. Let $\mathcal{X}_{\Gamma_s(p)}(\epsilon)_a$ be the image of $\mathcal{X}(p^{-1}\epsilon)$ in $\mathcal{X}_{\Gamma_s(p)}(\epsilon)$. Let $\mathcal{X}_{\Gamma_s(p)}^*(\epsilon)_a$ be the image of $\mathcal{X}^*(p^{-1}\epsilon)$ in $\mathcal{X}_{\Gamma_s(p)}^*(\epsilon)$. Let $\mathcal{X}_{\Gamma_s(p^m)}^*(\epsilon)_a$ be the pullback of $\mathcal{X}_{\Gamma_s(p)}^*(\epsilon)_a$ along $\mathcal{X}_{\Gamma_s(p^m)}^*(\epsilon) \rightarrow \mathcal{X}_{\Gamma_s(p)}^*(\epsilon)$.

Remark 4.0.21. $\mathcal{X}_{\Gamma_s(p)}^*(\epsilon)_a$ is both open and closed in $\mathcal{X}_{\Gamma_s(p)}^*(\epsilon)$.

Lemma 4.0.22. Then for m sufficiently large, $\mathcal{X}_{\Gamma_s(p^m)}^*(\epsilon)_a$ is affinoid.

Proof. **TODO: Write down the proof**

- There exists an integer $m \geq 0$ such that $H^i(X^*, \omega^{\otimes p^m(p-1)}) = 0$ for all $i \geq 1$, since ω is an ample line bundle on X^* .
- We can find a lift $s \in H^0(X^*, \omega^{\otimes p^m(p-1)})$ lifting $\text{Ha}^{p^m} \in H^0(X_{\mathbb{F}_p}^*, \omega^{\otimes p^m(p-1)})$.
- The condition $|\text{Ha}| \geq |p|^{p^{-m}\epsilon}$ is equivalent to $|s| \geq |p|^\epsilon$.
- The condition defines an affinoid space $\mathcal{X}^*(p^{-m}\epsilon) \simeq \mathcal{X}_{\Gamma_s(p^m)}^*(\epsilon)_a$.

□

Lemma 4.0.23. There exists a unique perfectoid space $\mathcal{X}_{\Gamma_s(p^\infty)}^*(\epsilon)_a$ such that

$$\mathcal{X}_{\Gamma_s(p^\infty)}^*(\epsilon)_a \sim \lim_{m \rightarrow \infty} \mathcal{X}_{\Gamma_s(p^m)}^*(\epsilon)_a.$$

Lemma 4.0.24. The space $\mathcal{X}_{\Gamma_s(p^\infty)}^*(\epsilon)_a$ is affinoid.

5. THE TOPOLOGICAL HODGE–TATE MAP

6. THE PERFECTOID HODGE–TATE MAP

APPENDIX A. REVIEW OF ABELIAN SCHEMES

Definition A.0.1. Let S be a scheme. A geometric point of S is a map $\text{Spec}(k) \rightarrow S$ where k is an algebraically closed field.

Definition A.0.2. Let S be a scheme. An Abelian scheme over S is a proper smooth group scheme over S that is geometrically connected.

Definition A.0.3. Let $A \rightarrow S$ be an Abelian scheme where S is over $\text{Spec}(\mathbb{F}_p)$. We have Frobenius map $F : A \rightarrow A^{(p)}$ and Verschiebung map $V : A^{(p)} \rightarrow A$ with the composition $V \circ F = [p]$.

The map $V : A^{(p)} \rightarrow A$ induces a map $\omega_{A/S} \rightarrow \omega_{A^{(p)}/S} \simeq \omega_{A/S}^{\otimes p}$. This gives a canonical section of $\omega_{A/S}^{\otimes(p-1)}$, called the Hasse invariant of A/S , denoted $\text{Ha}(A/S) \in \Gamma(S, \omega_{A/S}^{\otimes(p-1)})$.

APPENDIX B. REVIEW OF DEFORMATION THEORY

Definition B.0.1 ([Ill71, pp. II.1.2.1, II.1.2.3]). Let $A \rightarrow B$ be a map of rings. The simplicial A -algebra $P_A(B)$ is defined by $P_A(B)_0 = A[B]$ and $P_A(B)_n = A[P_A(B)_{n-1}]$ for $n \geq 1$. The standard resolution of B over A is the argumentation $P_A(B) \rightarrow B$ where B is viewed as a constant simplicial A -algebra. The cotangent complex of B over A is the simplicial B -module $L_{B/A} = \Omega_{P_A(B)/A}^1 \otimes_{P_A(B)} B$.

Remark B.0.2. This definition works in a general topos.

Definition B.0.3 ([Ill72, p. VII.1.1.1]). Let S be a scheme. Let S_{zar} be the small Zariski site over S . Let S_{fpqc} be the big fpqc site over S . The natural inclusion $S_{\text{zar}} \rightarrow S_{\text{fpqc}}$ induces a geometric map $(\epsilon^*, \epsilon_*) : \text{Sh}(S_{\text{zar}}) \rightleftarrows \text{Sh}(S_{\text{fpqc}})$.

Definition B.0.4. Let $f : X \rightarrow Y$ be a map of schemes. The cotangent complex is $L_{X/Y}$.

Definition B.0.5. Let S be a scheme. Let G be a group scheme over S that is flat and locally of finite presentation. Let $e : S \rightarrow G$ be the unit. The co-Lie complex is $\ell_G = Le^*L_{G/S}$, and the Lie complex is $\ell_G^\vee = R\text{Hom}(\ell_G, \mathcal{O}_S)$. Define $\underline{\ell}_G = Le^*\ell_G$.

Lemma B.0.6 ([Ill72, Theorem VII.4.2.5]). Let $f : S \rightarrow T$ be a map of schemes. Let $i : S \rightarrow S'$ be a T -extension by a quasi-coherent module I . Let A be a “schéma en anneaux” over T that is, as a scheme over T , tor-independent (c.f. [SGA6, Definition III.1.5]) with both S and S' . Let F (resp. G') be “schéma en A -modules” that are flat and locally of finite presentation over S (resp. S'). Let G be a “schéma en A -module” over S induced by G' . Let $u : F \rightarrow G$ be a morphism of “schémas en A -modules”. Let K be the complex fitting into the distinguished triangle $K \rightarrow \ell_F^\vee \rightarrow \ell_G^\vee \rightarrow K[1]$. It is an object in $D(A \otimes_{\mathbb{Z}}^L \mathcal{O})$. Then there is an obstruction $\omega(u, G') \in \text{Ext}_A^2(F, K \otimes_{\mathcal{O}}^L \epsilon^* I)$ which is zero if and only if there exists a pair (F', u') where F' is a deformation of F as “un schéma en A -modules” flat over S' and a map $u' : F' \rightarrow G'$ extending u .

Lemma B.0.7. Let S be a scheme. Let $i : S \rightarrow S'$ be an extension by a quasi-coherent module I . Suppose S and S' are both tor-independent with $\text{Spec}(\mathbb{Z})$. Let F (resp. G') be commutative group schemes over S (resp. S') that are flat and locally of finite presentation. Let G be a commutative group scheme over S induced by G' . Let $u : F \rightarrow G$ be a morphism of group schemes over S . Let K be the cone of the map $\ell_F^\vee \rightarrow \ell_G^\vee$. There is an obstruction $\omega(u, G') \in \text{Ext}^1(F, K \otimes^L I)$ which vanishes if and only if there exists a pair (F', u') where F' is a deformation of F as a commutative group scheme that is flat over S' , and $u' : F' \rightarrow G'$ is a map extending u .

Lemma B.0.8 ([Sch15, Theorem III.2.1]). Let A be a ring. Let G and H be commutative group schemes over A that are flat and of finite presentation, with a group map $u : H \rightarrow G$. Let $B \rightarrow A$ be a square-zero thickening with the argumentation ideal J . Let \tilde{G} be a lift of G to B . Let K be a cone of the map $\ell_H^\vee \rightarrow \ell_G^\vee$ of Lie complexes. Then there is an obstruction class $\omega \in \text{Ext}^1(H, K \otimes^L J)$ which vanishes if and only if there exists a pair (\tilde{H}, \tilde{u}) where \tilde{H} is a flat commutative group scheme over B , and $\tilde{u} : \tilde{H} \rightarrow \tilde{G}$ is a map lifting $u : H \rightarrow G$. Moreover, the obstruction class is functorial in J , in the following sense. If $B' \rightarrow A$ is another square-zero thickening with the argumentation ideal J' , with a map $B \rightarrow B'$ over A , then $\omega' \in \text{Ext}^1(H, K \otimes^L J')$ is the image of $\omega \in \text{Ext}^1(H, K \otimes^L J)$ under the map $J \rightarrow J'$.

APPENDIX C. REVIEW OF SHIMURA VARIETIES

C.1. Shimura Datum and Canonical Models.

Definition C.1.1. A Shimura datum is a pair (G, X) where

- G is a reductive group over \mathbb{Q} ;
- X is a $G(\mathbb{R})$ -conjugacy class of maps $\mathbb{S} \rightarrow G_{\mathbb{R}}$;

satisfying the following properties

- (1) For $h \in X$, only the characters z/\bar{z} , 1 , \bar{z}/z occur in the representation of \mathbb{S} on $\text{Lie}(G)$. In other words, the Hodge structure on $\text{Lie}(G_{\mathbb{R}})$ defined by $\text{Ad} \circ h$ is of type

$$\{(-1, 1), (0, 0), (1, -1)\}.$$

- (2) $\text{ad}(h(i))$ is a Cartan involution of G^{ad} , i.e. the real Lie group

$$\{g \in G^{\text{ad}}(\mathbb{C}); \text{ad}(h(i))\sigma(g) = g\}$$

is compact, where σ denotes the complex conjugation.

- (3) G^{ad} has no factor defined over \mathbb{Q} whose real points form a compact group. Equivalently, G^{ad} has no \mathbb{Q} -factor on which the projection of h is trivial.

Theorem C.1.2. Let $K \subset G(\mathbb{A}_f)$ be a compact open subgroup. Let $\text{Sh}_K(G, X) = G(\mathbb{Q}) \backslash X \times G(\mathbb{A}_f) / K$.

- (1) (Baily–Borel) $\text{Sh}_K(G, X)$ has a natural structure of an algebraic variety over \mathbb{C} .
- (2) (Shimura, Deligne, Milne, ...) $\text{Sh}_K(G, X)$ has a model over a the reflex field $E(G, X)$.

Remark C.1.3. Let K denote a compact open subgroup of $G(\mathbb{A}_f)$. We get an inverse system of algebraic varieties (schemes) $(\text{Sh}_K(G, X))_K$. There is an action ρ of $G(\mathbb{A}_f)$ on the system $(\text{Sh}_K(G, X))_K$ defined by isomorphisms $\rho_K(g) : \text{Sh}_K(G, X) \rightarrow \text{Sh}_{g^{-1}Kg}(G, X)$. For $k \in K$, $\rho_K(k)$ is the identity map. Therefore, for K' normal in K , there is an action of the finite group K/K' on $\text{Sh}_{K'}(G, X)$, and the variety $\text{Sh}_K(G, X)$ is the quotient of $\text{Sh}_{K'}(G, X)$ by the action of K/K' .

C.2. Siegel Modular Varieties. Let (G, X) be a Siegel Shimura datum, i.e. the Shimura datum associated to a symplectic space. Then $G = \mathrm{GSp}_{2g}$, and the reflex field is $E(G, X) = \mathbb{Q}$ since G is split.

Lemma C.2.1. Let K^p be a compact open subgroup of $G(\mathbb{A}^\infty)$ contained in $\Gamma(N)^{(p)}$ for some integer $N \geq 3$ not divisible by p . Let $K_p = G(\mathbb{Z}_p)$. For compact open subgroup $U \subset K_p$, we have a smooth quasi-projective \mathbb{Q} -scheme $X_{K^p U, \mathbb{Q}}$ and a natural finite étale map $X_{K^p U, \mathbb{Q}} \rightarrow X_{K^p K_p, \mathbb{Q}}$ over \mathbb{Q} .

C.3. PEL Shimura Varieties. For PEL type Shimura variety, see Milne.

This is [Kot92]; also, “PEL-type \mathcal{O} -lattice”, cf. [Lan13, Definition 1.2.1.3]

Let p be a prime. Let B be a finite-dimensional simple \mathbb{Q} -algebra with center F . Let \mathcal{O}_B be a $\mathbb{Z}_{(p)}$ -order in B . Let $*$ be a positive involution on B that preserves \mathcal{O}_B . Let V be a non-degenerate skew-Hermitian B -module. Let G be the group of automorphisms of the skew-Hermitian B -module V . Let K^p be a compact open subgroup of $G(\mathbb{A}_f^p)$. Let $h : \mathbb{C} \rightarrow \mathrm{End}_B(V_{\mathbb{R}})$ be an \mathbb{R} -algebra homomorphism such that $h(\bar{z}) = h(z)^*$, and that the symmetric bilinear form $(v, h(i)w)$ on $V_{\mathbb{R}}$ is positive definite. The map h determines a decomposition $V_{\mathbb{C}} = V_1 \oplus V_2$. Here V_1 is the subspace of $V_{\mathbb{C}}$ on which $h(z)$ acts by z . The field of definition of the isomorphism class of the complex representation V_1 of B is a number field E , with ring of integers \mathcal{O}_E .

Consider the following moduli problem over $\mathcal{O}_E \otimes_{\mathbb{Z}} \mathbb{Z}_{(p)}$.

Also, see [Lan13, Definition 1.4.1.4, Theorem 1.4.1.11, Remark 1.4.1.13].

Definition C.3.1 ([Lan13, Definition 1.2.1.3]). Let B be a finite-dimensional semi-simple algebra over \mathbb{Q} with positive involution $*$ and center F , where positivity means $\mathrm{tr}_{B/\mathbb{Q}}(xx^*) > 0$ for all $x \neq 0$ in B . Let V be a finite B -module, equipped with a non-degenerate alternating bilinear form ψ , such that $\psi(bx, y) = \psi(x, b^*y)$ for all $x, y \in V$ and $b \in B$. Let $h : \mathbb{C} \rightarrow \mathrm{End}_B(V)_{\mathbb{R}}$ be a map over \mathbb{R} such that complex conjugation on \mathbb{C} corresponds by h to the adjunction in $\mathrm{End}_B(V)_{\mathbb{R}}$ with respect to the pairing ψ , and such that $(u, v) \mapsto \psi(u, h(i)v)$ is a positive definite symmetric pairing on $V_{\mathbb{R}}$. Let G be the reductive group over \mathbb{Q} defined by

$$G(R) = \{g \in \mathrm{GL}_B(V \otimes_{\mathbb{Q}} R); \exists \mu(g) \in R^\times, \psi(gx, gy) = \mu(g)\psi(x, y)\},$$

where GL_B means B -equivariant linear maps. Let X be the $G(\mathbb{R})$ -conjugacy class of $h^{-1} : \mathbb{C}^\times \rightarrow G_{\mathbb{R}}$. The pair (G, X) is called a PEL Shimura datum.

Definition C.3.2 ([Roz20, Section 1.2]). In order to define the integral model, we need to add the following new data. Let \mathcal{O}_B be a $\mathbb{Z}_{(p)}$ -order in B that is stable under the involution $*$ and becomes maximal after tensoring with $\mathbb{Z}_{(p)}$. We impose two more conditions which is omitted for now.

Let E be the reflex field.

Let \mathcal{F}_{K^p} be the following category fibered in groupoids over the category of schemes over $\mathcal{O}_E \otimes_{\mathbb{Z}} \mathbb{Z}_{(p)}$:

- The objects over a scheme S are the tuples $(A, \lambda, \iota, \eta)$, where

APPENDIX D. ARTIN’S CRITERION

Theorem D.0.1. Let S be a scheme of finite type over a field or an excellent Dedekind domain. Let X be a category fibered in groupoids over $\mathrm{Sch}/_S$. Then X is an algebraic stack locally of finite type over S if and only if the following conditions hold:

- (1) X is a stack for the étale topology.
- (2) X is locally of finite presentation.
- (3) ...

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