

# Landslide Prediction Based on Soil Characteristics Using Data Mining Techniques: Comparing Topsoil vs Subsoil in Risk Zones

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**Abstract**—Landslides are a common natural disaster that cause significant economic and social losses. While most studies have focused on external triggers like rainfall and slope, this research investigated the influence of internal soil properties on landslide risk. This study investigated the role of soil characteristics from both topsoil and subsoil layers on landslide risk and developed a predictive model using data mining techniques. Three main datasets were integrated for the analysis: the Harmonized World Soil Database (HWSD), the Global Landslide Catalog (GLC), and administrative boundaries from Natural Earth. Statistical analyses, including t-tests and Mann-Whitney U tests, were conducted, and an XGBoost algorithm was applied to model landslide risk. The results showed that subsoil characteristics contributed more significantly to landslide risk than topsoil characteristics (AUC 0.7205 vs. 0.6984, respectively). A combined model that integrated both soil layers yielded the best predictive performance, achieving an AUC of 0.85. These findings confirmed the significant role of soil properties as predictors of landslide risk. Therefore, integrating soil characteristic analysis with external triggers like rainfall could improve the effectiveness of early warning systems and disaster mitigation strategies.

**Index Terms**—Landslide, Soil Characteristics, Data Mining, XGBoost, Machine Learning, Subsoil, Topsoil

## I. INTRODUCTION

Landslides are one of the deadliest natural disasters in the world, especially in tropical and mountainous regions such as South Asia and Southeast Asia. According to data from the Centre for Research on the Epidemiology of Disasters (CRED), landslides caused more than 55,000 deaths globally between 2004 and 2016, with more than 75% of these events occurring in Asia [1]. The main triggers in these regions include extreme rainfall, seismic activity, and vulnerable geological conditions.

Although those factor is often considered the dominant trigger as noted in [2], recent studies highlight the critical role of soil physical properties such as texture, permeability, and density in influencing slope stability [3]. However, most existing early warning systems still rely heavily on meteorological and topographical data, while pedological information is often overlooked.

This study focuses on two main soil layers, namely topsoil (upper layer) and subsoil (lower layer), both of which have

different characteristics and hydrological functions in slope failure mechanisms. Topsoil is rich in organic matter and more porous, functioning as the first layer to receive and infiltrate rainwater. In contrast, subsoil tends to be denser, has a higher clay content, and lower permeability. During periods of intense rainfall, water that seeps through the topsoil can accumulate above the subsoil layer, causing a significant increase in pore water pressure. This increase in pressure reduces the internal shear strength of the soil, which is a key factor in triggering landslides.

To analyze the relationship between soil characteristics in both topsoil and subsoil layers in landslide and non-landslide areas, this study applied a two stage approach. The first stage was an inferential statistical analysis to test the significance of differences in soil properties between landslide and non-landslide locations. For this purpose, an independent t-test was applied to normally distributed data and a non-parametric Mann-Whitney U test was applied to data that did not meet the assumption of normality. These two tests served to quantitatively validate whether a soil feature had a significant difference between the two groups, so that it could be considered a relevant distinguishing factor.

The second stage is predictive modeling using machine learning algorithms. Based on statistically significant features, an Extreme Gradient Boosting (XGBoost) model was developed to predict the probability of landslide risk. This algorithm was chosen for its proven superior performance, ability to model complex feature interactions, and resistance to overfitting.

However, one of the main challenges in this modeling is the class imbalance in the dataset, where the amount of non-landslide data is much greater than the landslide data. To overcome this problem, an oversampling technique using the Synthetic Minority Over-sampling Technique (SMOTE) was applied. Next, the XGBoost model was trained using a balanced dataset, with hyperparameter optimization performed through Bayesian Optimization to achieve maximum performance. Model evaluation was carried out using a Stratified K-Fold Cross-Validation scheme to ensure robustness, with key performance metrics including F1-score and Receiver

Operating Characteristic Area Under the Curve (ROC-AUC). Through this approach, the study aims to develop a landslide risk prediction model based on soil properties that is not only accurate but also provides an overview of the contribution of pedological features. The results of this study are expected to form the basis for future research to integrate soil data with other key triggers, such as meteorological and topographical data, in order to improve early warning systems in the future.

## II. METHODOLOGY

### A. Study Area

The data used in this study was acquired from 13 countries across five continents: Australia, Brazil, China, Costa Rica, Ecuador, Italy, Mexico, New Zealand, Norway, Pakistan, South Africa, Taiwan, and Vietnam. The selection of these nations was guided by two primary criteria: a significant diversity of soil types, as indexed by the Harmonized World Soil Database (HWSD) and a high frequency of recorded landslides between 2006 and 2017, as documented in NASA's Global Landslide Catalog (GLC). Fig [1] illustrates the distribution of landslide events and soil diversity (represented by the count of unique Soil Mapping Units) for the selected countries.

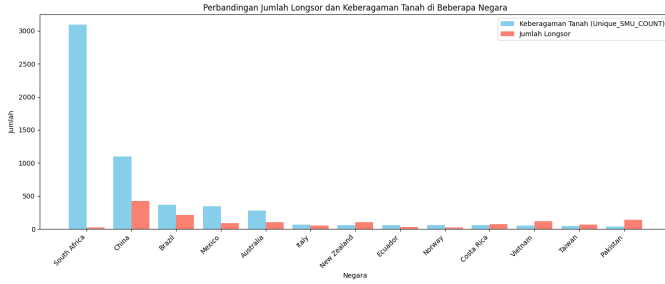


Fig. 1. Landslide events vs. unique soil characteristics.

For most nations, a general correspondence is observed between the two metrics. South Africa, however, presents a notable exception, exhibiting exceptionally high soil diversity relative to its number of recorded landslides. The broad geographical scope was intentionally chosen to validate the model beyond a single, localized area. This approach ensures the inclusion of significant variations in key landslide-triggering factors such as diverse climate patterns, topography, and land cover which is essential for developing a more robust and globally generalizable model.

### B. Datasets

This study integrates data from three primary data sources. Soil characteristics were derived from the HWSD, a 30 arc-second raster database providing presents soil information collected from various regional and national sources, including the European Soil Database (ESDB), the 1:1,000,000 scale Soil Map of China, and various legacy soil maps from Food and Agriculture Organization (FAO). The HWSD database consists of a raster image that is linked to the attribute database through a unique code of the soil mapping unit. For

each mapping unit, the database provides information on the composition of the soil types present in it (dominant soils and accompanying soils). It also contains quantitative data on soil physical and chemical properties for two depth layers, namely topsoil (0-30 cm) and subsoil (30-100 cm). These attributes include organic carbon content, pH, water holding capacity, soil depth, cation exchange capacity, clay fraction, salinity, and soil texture. Table [I] describes the classification of data sources and their data types while Table [II] describes general information on the soil mapping unit composition.

TABLE I  
DATA SOURCES AND OUTPUTS IN THE HARMONIZED WORLD SOIL DATABASE

No.	Data Source	Format	Output
	Database Name	Data Type	Resolution/Quantity
1	ESDB	Geo. DB	Raster ~1 km
2	Soil Map China	Digital Map	Raster ~1 km
3	SOTER (SOTWIS)	Soil	Raster ~1 km
4	Soil Map World	Digital Map	Raster ~1 km
5	Soil Profile DB	Profile Data	9607 profiles

<sup>a</sup>ESDB: European Soil Database. Geo. DB: Geographic Database.

<sup>b</sup>Soil Map of China; SOTER: World Soils and Terrain Database.

<sup>c</sup>Soil Profile DB: Soil Profile Database.

<sup>d</sup>Input Scale/Resolution for raster data (1:1M or 1:10M).

<sup>e</sup>Input Scale/Resolution for SOTER databases (1:2.5M – 5M).

Historical landslide event data were obtained from the GLC, from which we extracted all documented rainfall-triggered landslides occurring between 2006 and 2017. Finally, all data were geographically contextualized using the Natural Earth “Admin 0 – Countries” vector dataset (1:10m scale) to define the national administrative boundaries for the study area.

### C. Method

The methodology adopted in this study is systematically illustrated in Fig[2]. The research commenced with an extensive literature review on soil characteristics and landslide occurrences to gather relevant data. Subsequently, three distinct datasets were compiled and integrated.

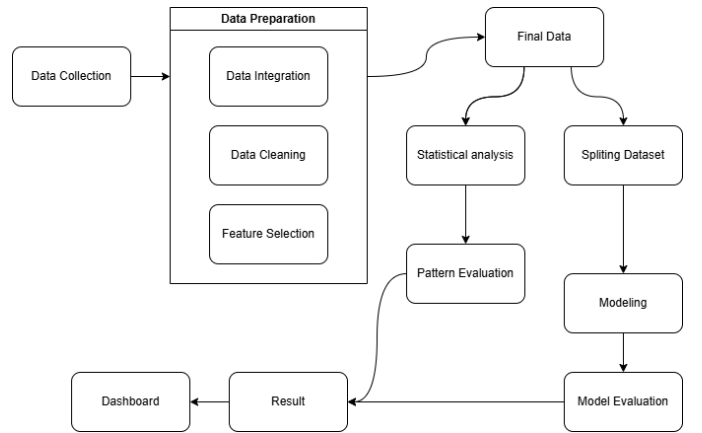


Fig. 2. research workflow

This integration process was guided by two primary references: the HWSD Technical Report and Instructions and

a technical note by D.G. Rossiter, Processing the HWSD Version 1.2 in R. A detailed flowchart of the data integration procedure is presented in Fig[3]. From the study area, a total of 2,210 landslide and non-landslide data, each corresponding to different soil characteristics, were identified.

TABLE II  
FIELD AVAILABILITY IN SOIL DATABASES (SIMPLIFIED)

Field	Description	DSMW
<b>General</b>		
ID	Database ID	✓
MU_GLOBAL	Global Unit ID	✓
COVERAGE	Coverage	✓
ISSOIL	Soil indicator	✓
SHARE	Share in Unit	✓
SU_SYMBOL	Symbol	✓
<b>Phases and Additional</b>		
PHASE1	Phase 1	✓
ROOTS	Root obstacles	✓
AWC_CLASS	AWC Class	✓



Fig. 3. Data Integration Workflow

Following data integration, a comprehensive data preprocessing phase was conducted. This began with data cleaning, in which missing values were imputed using the median of their respective columns. Subsequently, outlier handling was performed using the Interquartile Range (IQR) method [4]. This analysis revealed numerous outliers across most feature columns; these were also imputed using the column-specific median in order to maintain data integrity. The next stage focused on feature selection. Features were removed based on three criteria: identifier columns (as listed in Table [II]), columns containing only a single unique value, and those with low feature importance scores method. A machine learning-based approach using the XGBoost algorithm was employed to assess the importance of each remaining feature [5]. The results of this analysis, visualized in Fig[4], identified two

features AWC\_CLASS and S\_CASO4 with an importance score of zero. Consequently, these non-contributory features were removed from the dataset.

The final preprocessing step was data transformation. Label encoding was applied to the categorical feature 'COUNTRY'. This method was selected for its suitability with tree-based models, which are invariant to the ordinal relationships that might be artificially introduced by other encoding techniques [6]. This study employs two primary analytical approaches:

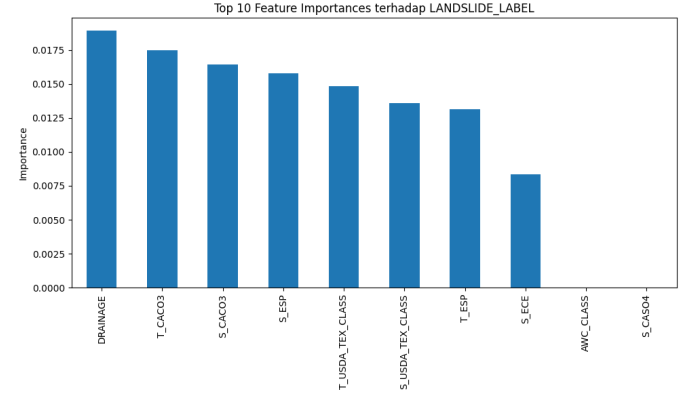


Fig. 4. Feature Importance Analysis

statistical analysis and machine learning modeling.

1) *statistical analysis*: Statistical analysis comparative method was used to identify significant differences in soil characteristics between two soil groups: locations where landslides occurred (labeled as 1) and locations where landslides did not occur (labeled as 0). To achieve this, both parametric and non-parametric hypothesis tests were applied. If the data was normally distributed, the independent samples t-test was used, and if it was not normally distributed, the Mann-Whitney U test was used [7].

The main objective of these tests was to calculate the p-value for each soil feature. The p-value quantifies the probability that an observed difference between the groups is merely due to random chance. A lower p-value indicates a more statistically significant difference, suggesting that the corresponding soil feature is a meaningful differentiator between landslide and non-landslide conditions.

The mathematical formulation for the independent samples t-test is presented in (1), while the formula for the Mann-Whitney U test is detailed in (3).

$$t = \frac{\bar{x}_1 - \bar{x}_2}{s_p \cdot \sqrt{\frac{1}{n_1} + \frac{1}{n_2}}} \quad (1)$$

where  $s_p$  is the pooled standard deviation, calculated as:

$$s_p = \sqrt{\frac{(n_1 - 1)s_1^2 + (n_2 - 1)s_2^2}{n_1 + n_2 - 2}} \quad (2)$$

For the Mann-Whitney U test, the U statistic is given by:

$$U = \min(U_1, U_2) \quad (3)$$

where:

$$U_1 = n_1 n_2 + \frac{n_1(n_1 + 1)}{2} - R_1 \quad (4)$$

$$U_2 = n_1 n_2 + \frac{n_2(n_2 + 1)}{2} - R_2 \quad (5)$$

2) *machine learning modeling*: For the primary classification task, this study utilized the XGBoost model [8]. XGBoost is a highly efficient and scalable implementation of the gradient tree boosting algorithm, widely regarded as a state-of-the-art machine learning method. It employs a regularized boosting technique, which effectively mitigates overfitting and thereby enhances model accuracy and generalization performance. The selection of XGBoost was motivated by its numerous advantages, including its scalability across diverse scenarios, inherent capability to handle sparse data, low computational resource requirements, high-performance speed, and ease of implementation. The fundamental principle of the boosting algorithm is to sequentially combine the outputs of multiple weak learners in this case, Classification and Regression Trees (CART) to create a single, robust predictive model. The core of the algorithm aims to minimize the regularized objective function, as formulated in (6). This function is composed of two main parts: a loss function and a regularization term. The loss function measures the discrepancy between the actual target ( $y_i$ ) and the prediction ( $\hat{y}_i$ ). The second component, the regularization term detailed in (7), penalizes the complexity of the model to avoid overfitting. The overall algorithmic process is described by (6) through (9).

$$L(\Phi) = \sum_i l(\hat{y}_i, y_i) + \sum_k \Omega(f_k) \quad (6)$$

$$\Omega(f) = \gamma T + \frac{1}{2} \lambda \|w\|^2 \quad (7)$$

where:

- $T$ : the number of leaves in the tree;
- $w$ : the score of each leaf;
- $\gamma, \lambda$ : the regularization degrees.

$$L^{(t)}(\Phi) = \sum_{i=1}^n l(y_i, \hat{y}_i^{(t-1)} + f_t(x_i)) + \Omega(f_t) \quad (8)$$

In order to speed up the optimization process, second order Taylor expansion is applied to the objective. After removing the constant terms, a simplified objective function at step  $t$  is given in (9).

$$\tilde{L}^{(t)} = \sum_{i=1}^n \left[ g_i f_t(x_i) + \frac{1}{2} h_i f_t^2(x_i) \right] + \Omega(f_t) \quad (9)$$

where:

$$g_i = \partial_{\hat{y}_i^{(t-1)}} l(y_i, \hat{y}_i^{(t-1)}) \quad (10)$$

$$h_i = \partial_{\hat{y}_i^{(t-1)}}^2 l(y_i, \hat{y}_i^{(t-1)}) \quad (11)$$

The preprocessed dataset was partitioned for training and validation using a 5-fold StratifiedKFold cross-validation scheme

[9]. This approach effectively splits the data into an 80% training set and a 20% testing set during each fold, while critically preserving the original class distribution (landslide vs. non-landslide) across all folds. This stratification is essential for ensuring reliable model evaluation on an imbalanced dataset. To address the class imbalance issue, the SMOTE was applied to the training data to synthetically oversample the minority (landslide) class [10].

The XGBoost classification algorithm was implemented and evaluated in this study. To address significant class imbalance (dominance of non-landslide samples over landslide samples), a class weighting mechanism was integrated into the training process using SMOTE and weight adjustment for the minority class. Additionally, hyperparameter optimization was performed using Bayesian Optimization, implemented through BayesSearchCV [11]. This method facilitates an effective search for the optimal parameter combination within a specified search space.

Model performance was assessed using a suite of standard classification metrics. The Confusion Matrix served as the foundation for performance analysis [12], categorizing predictions into four distinct outcomes: True Positives (TP), False Positives (FP), True Negatives (TN), and False Negatives (FN), as illustrated in 5.

The primary evaluation metric for this study was the F1-Score, which is the harmonic mean of precision and recall, providing a balanced measure of performance on imbalanced data. Furthermore, the classification threshold was tuned to achieve a desired level of recall. The Receiver Operating Characteristic (ROC) curve was also employed to visualize the model's discriminative ability across various classification thresholds. This curve was generated by plotting the True Positive Rate (TPR) against the False Positive Rate (FPR). The Area Under the Curve (AUC) was subsequently calculated from the ROC curve, providing a single aggregate metric to quantify the model's performance across all possible thresholds.

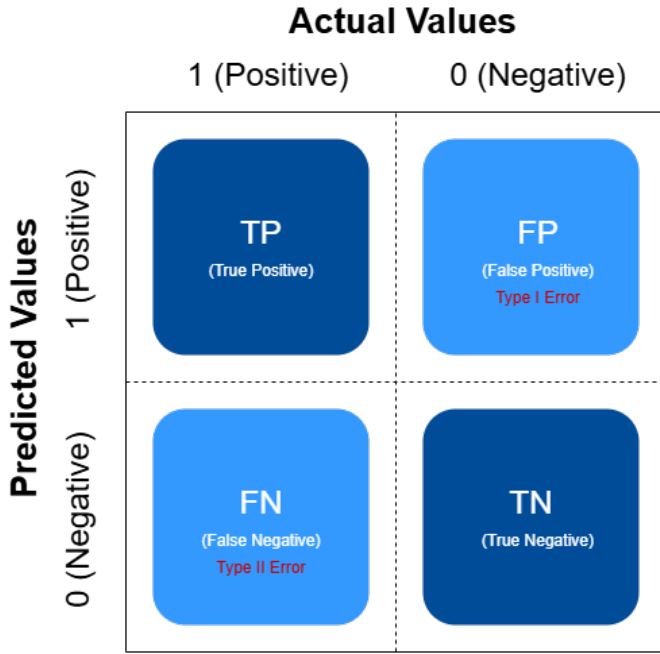


Fig. 5. Confusion matrix for evaluating classification performance.

The sensitivity (True positive or Recall) tells the proportion of positive class (landslides locations) that are correctly classified as landslides (12). In contrast, the specificity (True Negative Rate) tells the proportion of negative class (non-landslides locations) that are correctly classified as non-landslides (13). Between sensitivity and specificity lies False Negative Rate (FNR), which signifies the proportion of landslide points wrongly classified as landslides (14). The False Positive Rate (FPR) tells the proportion of non-landslides incorrectly classified as non-landslides (15).

$$\text{sensitivity} = \frac{TP}{TP + FN} \quad (12)$$

$$\text{specificity} = \frac{TN}{TN + FP} \quad (13)$$

$$\text{FNR} = \frac{FN}{TP + FN} \quad (14)$$

$$\text{FPR} = \frac{FP}{TN + FP} = 1 - \text{specificity} \quad (15)$$

### III. RESULTS

Analysis of differences in soil characteristics at landslide and non-landslide sites was conducted to evaluate the discriminative potential of the soil features used. This is important to understand whether the features carry enough information to support the machine learning classification process that follows. By comparing the data distribution of soil characteristics in both the landslide and no landslide groups, as illustrated in Fig[6], it can be observed that the two groups exhibit

differences in distribution, although not always significant. Taking the  $S\_CLAY$  feature as an example which represents the clay content in the subgrade it is evident that the no landslide group shows a data concentration between 20 and 40, with a median value tending to lie below 40. In contrast, the landslide group exhibits a concentration between 30 and 60, with a higher median than the no landslide group. This indicates that landslide-prone areas tend to have higher clay content. This finding is consistent with the research by [13], which found that “high thickness of soil and high content of clay play an important role in determining landslide sensitivity”.

To support this observation, a statistical analysis was conducted to calculate the  $p$ -value using (1) or (3) (the formula used depends on the data distribution, if the data distribution is normal then use t-test and u whitney-u otherwise). The  $S\_CLAY$  feature yielded a  $p$ -value of  $6.38 \times 10^{-5}$  (or 0.0000638), which is much smaller than the significance threshold of 0.05. This indicates a statistically significant difference between the two groups.

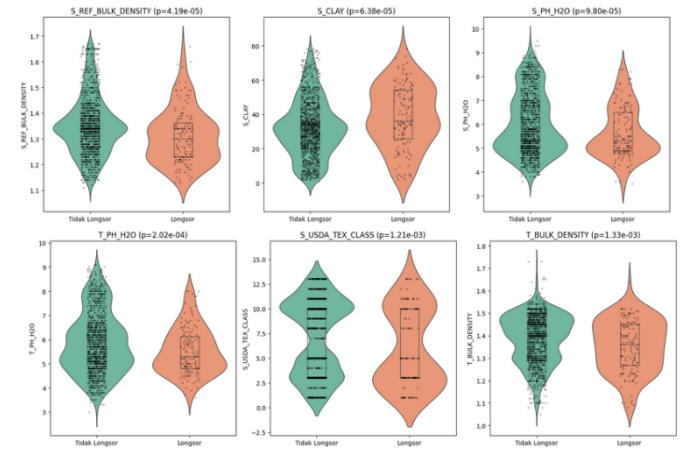


Fig. 6. Violin Plot of Topsoil vs Subsoil.

After analyzing the differences in soil characteristics between landslide and non-landslide areas, and proving that the two classes do indeed have different characteristics, the next step is to evaluate the predictive ability and contribution of soil features at two different depths, namely topsoil and subsoil.

To this end, separate classification analyses were conducted using topsoil and subsoil features. The discriminative performance of these two feature sets was then evaluated using (ROC) curves and (AUC) values. The results, as shown in Fig[7], indicate that the model trained using the subsoil features achieved slightly superior predictive performance with an AUC value of 0.7205, compared to the model using the topsoil features with an AUC of 0.6984.

To further investigate the performance difference between the two soil layers, a feature importance analysis was conducted, as illustrated in Fig 8. These findings underscore the critical role of subsoil characteristics, particularly its chemical properties and texture, in predicting landslide susceptibility. This is consistent with a study by [14], which found that high

infiltration water ECe (around 1600–5100  $\mu\text{S}/\text{cm}$ ) triggers ion exchange (related to TEB) in smectite minerals. This process results in material swelling and a decrease in shear strength in the subsoil layer (depth 4–6 m), thereby increasing susceptibility to creeping landslides.

Collectively, these findings suggest that while both soil layers contribute valuable information, subsoil characteristics particularly those related to chemical composition and texture classification exhibit greater predictive power in landslide risk modeling compared to topsoil features.

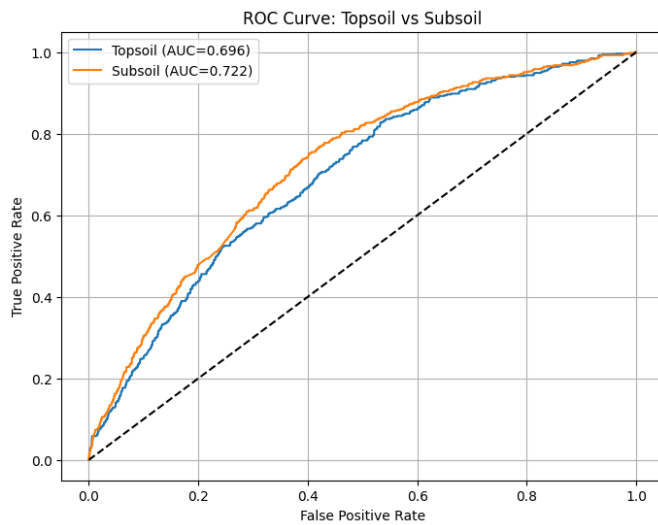


Fig. 7. ROC-AUC of Topsoil and Subsoil Layers.

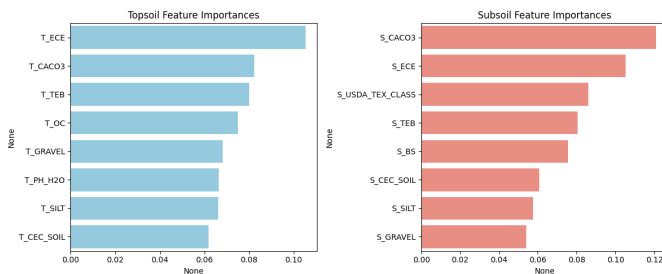


Fig. 8. Feature importance of Topsoil and Subsoil Layers.

As a final step, a comprehensive model was trained by combining features from the topsoil and subsoil layers to evaluate their synergistic effects. The evaluation results of this combined model, visualized in the ROC curve in Fig[9], show a significant improvement in performance, achieving an AUC value of 0.85. This value far surpasses the performance of the model using only one of the layers separately to distinguish between the two classes.

This substantial improvement confirms that although the subsoil characteristics have a slightly more dominant predictive power, information from the topsoil also makes an essential complementary contribution. Thus, it can be concluded that holistic soil profile analysis considering the interaction

between both layers—is the most effective approach for landslide risk modeling with the highest accuracy.

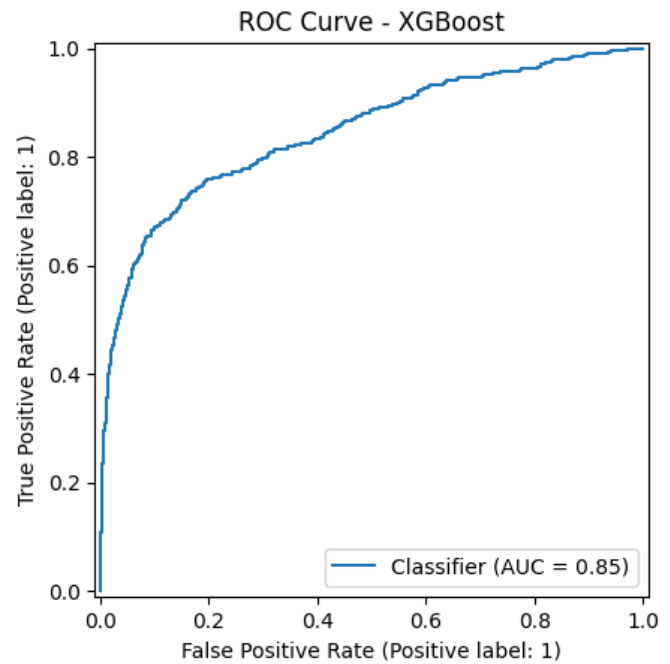


Fig. 9. ROC-AUC curve for all layers.

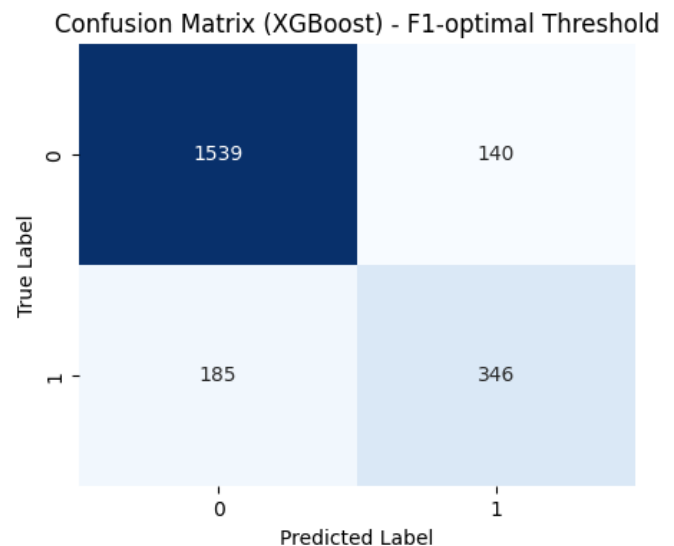


Fig. 10. Confusion matrix.

Fig 10 shows that out of a total of 531 actual landslide events (class 1), the model correctly identified 346 of them (True Positive), while 185 events were missed (False Negative). For the non-landslide class (class 0), the model demonstrated strong performance with 1539 correct predictions (True Negative) and only 140 incorrect predictions (False Positive).

This is reflected in the classification report, where the model achieved a recall of 0.65 and a precision of 0.71 for the



landslide class, resulting in an F1-score of 0.68. The overall accuracy of the model reached 85.29%, indicating generally reliable predictive capability. With a weighted average F1-score of 0.85, the model demonstrates an effective balance between precision and recall across the dataset, validating the success of the optimization strategy on imbalanced data.

#### IV. DISCUSSION

A statistical significance test was conducted to examine the differences in soil characteristics between landslide and non-landslide groups, as illustrated in Fig 6. The aim of this analysis was to verify whether there were significant distinctions in soil attributes between the two groups. For this purpose, both the  $t$ -test and Mann–Whitney  $U$  test were employed. The use of such statistical tests follows similar methodologies adopted in prior studies. For instance, [15] applied the Mann–Whitney  $U$  test to compare soil properties such as clay content, bulk density, and pH between landslide-affected and unaffected areas in the Three Gorges Reservoir, while [16] employed the Mann–Whitney  $U$  test for non-normally distributed data and the  $t$ -test for normally distributed data to evaluate differences in soil porosity, organic content, and texture in landslide-prone areas of the Himalayas.

The results depicted in the violin plots reveal several soil characteristics exhibiting significant differences, as indicated by p-values less than 0.05. Notably, variables such as `s_clay` and `s_ref_bulk` density demonstrate statistically significant differences between the landslide and non-landslide groups. These findings are consistent with the observations reported by [17], who stated that thick clay layers with low bulk density, due to their loose structure, render the soil more susceptible to landslides.

Performance indicators such as ROC, AUC, and evaluation matrices were used to validate the predictive capability of the XGBoost algorithm. These indicators follow evaluation standards similar to those employed by [18], who used ROC-AUC to assess landslide susceptibility mapping using XGBoost. Furthermore, the model separates the analysis between topsoil and subsoil layers, following the approach of [19], who investigated how different soil layers influence mass movement events.

As shown in Fig7, the comparison of XGBoost model performance between the two soil layers yielded an AUC of 0.6984 for topsoil and 0.7205 for subsoil. Although the difference is not statistically significant, the subsoil layer exhibited slightly superior predictive performance. This aligns with findings reported by [20], who noted that subsoil layers exhibit more stable properties such as organic content and texture over time, particularly after landslide events, thereby making them more suitable for long-term landslide analysis.

An additional model evaluation was conducted by integrating both topsoil and subsoil data, as shown in Fig9, resulting in a significant increase in AUC to 0.85. This suggests that combining both soil layers enhances model performance, with subsoil data contributing more strongly to the prediction. Landslide analysis using data mining approaches has proven to

be a valuable tool for spatial planning and land management. However, it remains a challenge to achieve high model prediction performance using soil properties alone. As demonstrated by [21], incorporating other environmental factors such as rainfall and slope gradients can significantly improve model performance, achieving an AUC of 0.89, even though the geographical context differs.

#### V. CONCLUSION

The results of this study demonstrate that stratifying soil characteristics by depth topsoil and subsoil offers a more nuanced understanding of their respective contributions to landslide susceptibility. The XGBoost model trained on subsoil features outperformed the topsoil-based model (AUC = 0.7205 vs. 0.6984), while the combined model yielded the highest performance (AUC = 0.85; F1-score = 0.68). These findings confirm that incorporating a holistic soil profile enhances predictive accuracy.

The application of SMOTE to address class imbalance, coupled with Bayesian Optimization for hyperparameter tuning, proved effective in increasing the model's sensitivity to landslide events. Nonetheless, limitations persist in the generalizability of the optimized parameters, as the best-performing configuration in one cross-validation fold may not consistently perform well across others.

Feature importance analysis indicated that subsoil chemical properties such as calcium carbonate content and electrical conductivity play a pivotal role in landslide prediction. Additionally, soil textural attributes and cation exchange capacity further contributed to improved model performance, underscoring the complex interplay between physical and chemical soil factors in landslide initiation.

This study advances the development of soil-based early warning systems and provides valuable insights into key indicators of landslide risk. Future research should consider incorporating additional environmental variables, such as rainfall intensity, slope gradient, and land cover, to construct more comprehensive and spatially robust predictive models.

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