

# Chapter V: Mining frequent patterns, associations and correlations

## Knowledge Discovery in Databases

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## Chapter V: Mining frequent patterns, associations and correlations

### Basic Concepts.

Scalable frequent-itemset-mining methods.

- Apriori: a candidate-generation-and-test approach.

- Improving the efficiency of apriori.

- FPGrowth: a frequent-pattern-growth approach.

- ECLAT: frequent-pattern mining with vertical data format.

- Mining closed itemsets and max-itemsets.

Generating association rules from frequent itemsets.

Which patterns are interesting? Pattern-evaluation methods.

Summary.

## What is frequent-pattern analysis?

### **Frequent pattern:**

A pattern (a set of items, subsequences, substructures, etc.) that occurs frequently in a dataset.

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### **Motivation: Finding inherent regularities in data:**

What products are often purchased together? Beer and diapers?!

What are the subsequent purchases after buying a PC?

FPGrowth: a frequent-pattern-growth approach.

"Who bought this has often also bought . . ."

What kinds of DNA are sensitive to this new drug?

Can we automatically classify Web documents?

### **Applications:**

Basket-data analysis, cross-marketing, catalog design, sale-campaign analysis, Web-log (click-stream) analysis, and DNA-sequence analysis.

## Why is frequent-pattern mining important?

**A frequent pattern is an intrinsic and important property of a dataset.**

**Foundation for many essential data-mining tasks:**

Association, correlation, and causality analysis.

Sequential, structural (e.g., sub-graph) patterns.

Pattern analysis in spatiotemporal, multimedia, time-series, and stream data.

Classification: discriminative, frequent-pattern analysis.

Cluster analysis: frequent-pattern-based clustering.

Data warehousing: iceberg cube and cube gradient.

Semantic data compression: fascicles (Jagadish, Madar, and Ng, VLDB'99).

Broad applications.

## An example

**From: Martin Lindstrom: Brandwashed. Random House, 2011:**

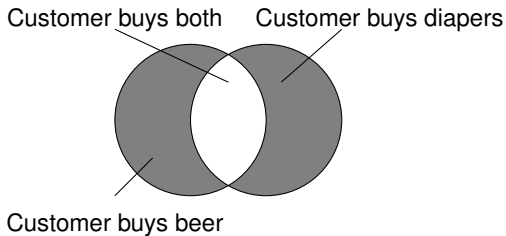
*It is by crunching these numbers that the data-mining industry has uncovered some even more surprising factoids:*

*Did you know, for example, that at Walmart a shopper who buys a Barbie doll is 60 percent more likely to purchase one of three types of candy bars? Or that toothpaste is most often bought alongside canned tuna? Or that a customer who buys a lot of meat is likely to spend more money in a health-food store than a non-meat-eater? Or what about the data revealed to one Canadian grocery chain that customers who bought coconuts also tended to buy prepaid calling cards? At first, no one in store management could figure out what was going on. What could coconuts possibly have to do with calling cards?*

*Finally it occurred to them that the store served a huge population of shoppers from the Caribbean islands and Asia, both of whose cuisines use coconuts in their cooking. Now it made perfect sense that these Caribbean and Asian shoppers were buying prepaid calling cards to check in with their extended families back home.*

## An example

TID	Items bought
10	Beer, Nuts, Diapers
20	Beer, Coffee, Diapers
30	Beer, Diapers, Eggs
40	Nuts, Eggs, Milk
50	Nuts, Coffee, Diapers, Eggs, Milk



### Itemset:

A set of one or more items.

$k$ -itemset  $X = \{x_1, x_2, \dots, x_k\}$ .

### (Absolute) Support, or support count of $X$ :

Frequency or occurrence of  $X$ .

### (Relative) Support $s$ :

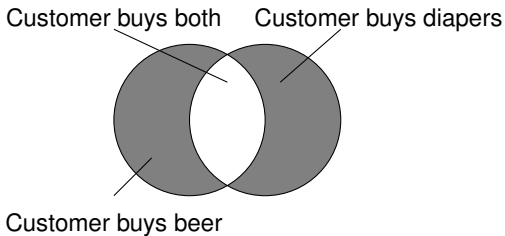
The fraction of the transactions that contain  $X$ .

I.e. the **probability** that a transaction contains  $X$ .

**An itemset  $X$  is frequent, if  $X$ 's support is no less than a `min_sup` threshold.**

## An example

TID	Items bought
10	Beer, Nuts, Diapers
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Find all the rules  $X \rightarrow Y$  with minimum support and confidence.

**Support**  $s$ : probability that a transaction contains  $X \cup Y$ .

**Confidence**  $c$ : conditional probability that a transaction having  $X$  also contains  $Y$ .

### Example:

Let  $\text{min\_sup} = 50\%$  and  $\text{min\_conf} = 50\%$ .

Frequent itemsets:

Beer: 3, Nuts: 3,  
Diapers: 4, Eggs: 3,  
{Beer, Diapers}: 3.

### Association rules:

Beer  $\rightarrow$  Diapers (60%, 100%).  
Diapers  $\rightarrow$  Beer (60%, 75%).

## Basic concepts: association rules (2)

**Implication of the form  $A \rightarrow B$ :**

where  $A \neq \emptyset$ ,  $B \neq \emptyset$  and  $A \cap B = \emptyset$ .

**Strong rule:**

Satisfies both min\_sup and min\_conf

$$\text{support}(A \rightarrow B) = P(A \cup B), \quad (1)$$

$$\text{confidence}(A \rightarrow B) = P(B|A) \quad (2)$$

$$= \frac{\text{support}(A \cup B)}{\text{support}(A)} \quad (3)$$

$$= \frac{\text{support\_count}(A \cup B)}{\text{support\_count}(A)}. \quad (4)$$

I.e. confidence of rule can be easily derived from the support counts of  $A$  and  $A \cup B$ .

**Association-rule mining:**

Find all frequent itemsets.

Generate strong association rules from the frequent itemsets.



## Closed itemsets and max-itemsets

**A long itemset contains a combinatorial number of sub-itemsets.**

E.g.  $\{a_1, a_2, \dots, a_{100}\}$  contains

$$\binom{100}{1} + \binom{100}{2} + \dots + \binom{100}{100} = 2^{100} - 1 \approx 1.27 \cdot 10^{30} \text{ sub-itemsets!} \quad (5)$$

**Solution:**

Mine closed itemsets and max-itemsets instead.

**An itemset  $X$  is closed, if  $X$  is frequent and there exists no super-itemset  $X \subset Y$  with the same support as  $X$ .**

Proposed by (Pasquier et al., ICDT'99).

**An itemset  $X$  is a max-itemset, if  $X$  is frequent and there exists no frequent super-itemset  $X \subset Y$ .**

Proposed by (Bayardo, SIGMOD'98).

**Closed itemset is a lossless "compression" of frequent itemsets.**

Reducing the number of itemsets (and rules).

## Closed itemsets and max-itemsets (II)

### Example:

$$DB = \{ \langle a_1, a_2, \dots, a_{100} \rangle, \langle a_1, a_2, \dots, a_{100} \rangle \}.$$

I.e. just two transactions.

$$\text{min\_sup} = 1.$$

### What are the closed itemsets?

$$\langle a_1, a_2, \dots, a_{100} \rangle : 1,$$

$$\langle a_1, a_2, \dots, a_{50} \rangle : 2,$$

Number behind the colon: support\_count.

### What are the max-itemsets?

$$\langle a_1, a_2, \dots, a_{100} \rangle : 1.$$

### What is the set of all frequent itemsets?

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FPGrowth: a frequent-pattern-growth approach.

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Summary.

## The downward-closure property and scalable mining methods

### The downward-closure property of frequent patterns:

**Any subset of a frequent itemset must also be frequent.**

If  $\{\text{Beer, Diapers, Nuts}\}$  is frequent, so is  $\{\text{Beer, Diapers}\}$ .

I.e. every transaction having  $\{\text{Beer, Diapers, Nuts}\}$  also contains  $\{\text{Beer, Diapers}\}$ .

### Scalable mining methods: three major approaches.

A priori (Agrawal & Srikant, VLDB'94).

Frequent-pattern growth (FPgrowth) (Han, Pei & Yin, SIGMOD'00).

Vertical-data-format approach (CHARM) (Zaki & Hsiao, SDM'02).

## A priori: a candidate generation & test approach

### A priori pruning principle:

If there is any itemset which is infrequent,  
its supersets should not be generated/tested!

(Agrawal & Srikant, VLDB'94; Mannila et al., KDD'94)

### Method:

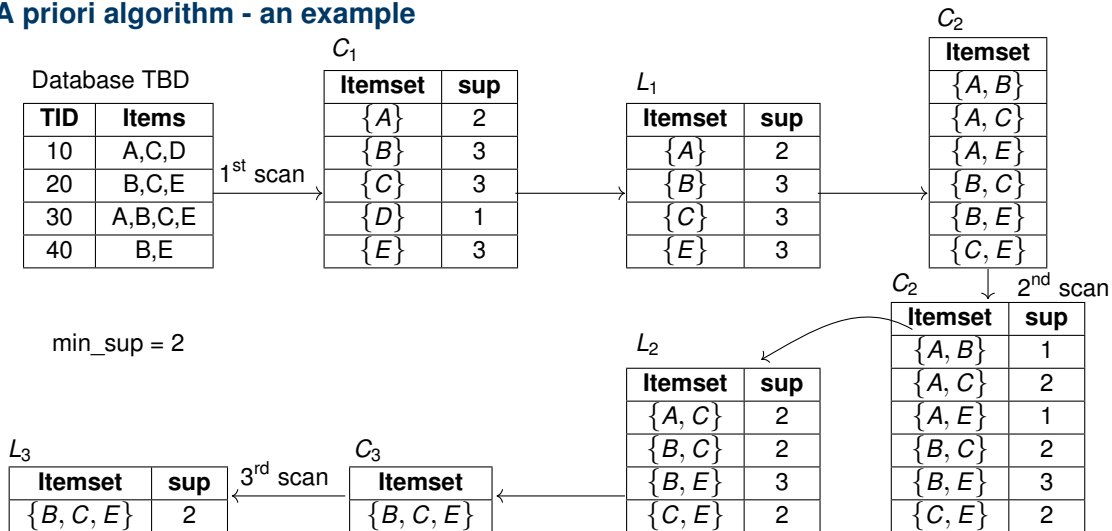
Initially, scan DB once to get frequent 1-itemsets.

Generate length- $(k + 1)$  candidate itemsets from length- $k$  frequent itemsets.

Test the candidates against DB, discard those that are infrequent.

Terminate when no further candidate or frequent itemset can be generated.

## A priori algorithm - an example



## A priori algorithm (pseudo code)

$C_k$ : candidate itemsets of size  $k$

$L_k$ : frequent itemsets of size  $k$

$L_1 = \{\text{frequent items}\};$

**for** ( $k = 1; L_k \neq \emptyset; k++$ ) **do begin**

$C_{k+1}$  = candidates generated from  $L_k$ ;

**for each** transaction  $t$  in database **do**

        increment the count of all candidates in  $C_{k+1}$  that are contained in  $t$ ;

$L_{k+1}$  = candidates in  $C_{k+1}$  with min\_sup;

**end;**

**return**  $\bigcup_k L_k$ ;

## Implementation of a priori

### How to generate candidates?

Step 1: self-joining  $L_k$  (or joining  $L_k$  with  $L_1$ ).

Step 2: pruning.

### Example of candidate generation:

$$L_3 = \{abc, abd, acd, ace, bcd\}.$$

Self-joining:  $L_3 \bowtie L_3$ :

*abcd* from *abc* and *abd*.

*acde* from *acd* and *ace*.

### Pruning:

*acde* is removed because *ade* is not in  $L_3$ .

$$C_4 = \{abcd\}.$$



## Implementation of a priori

### Why is counting supports of candidates a problem?

The total number of candidates can be huge.

One transaction may contain many candidates.

### Method:

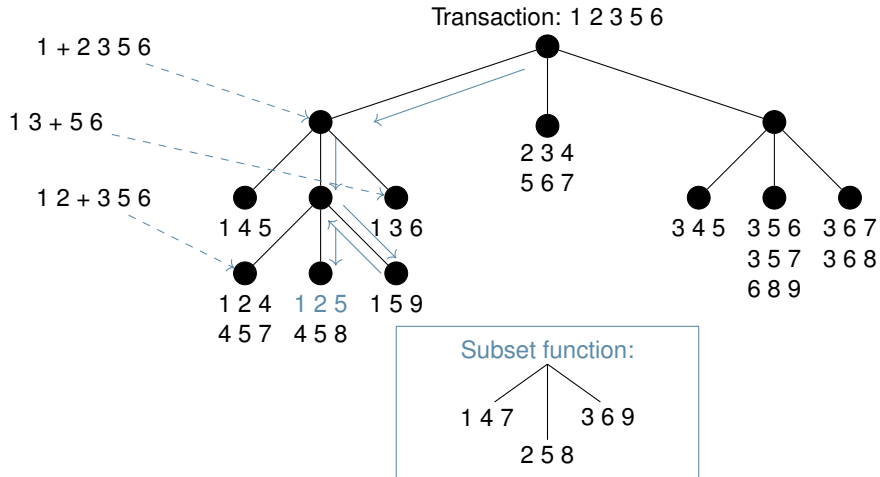
Candidate itemsets are stored in a **hash tree**.

Leaf node of hash tree contains a list of itemsets and counts.

Interior node contains a hash table.

Subset function: finds all the candidates contained in a transaction.

## Counting supports of candidates using hash tree



## Candidate generation: an SQL implementation

### SQL implementation of candidate generation.

Suppose the items in  $L_{k-1}$  are listed in order.

1. Self-joining  $L_{k-1}$ .

```
INSERT INTO  $C_k$ 
  (SELECT p.item1, p.item2, ..., p.itemk-1, q.itemk-1
   FROM  $L_{k-1}p, L_{k-1}q$ 
   WHERE p.item1 = q.item1, ..., p.itemk-2 = q.itemk-2,
         p.itemk-1 < q.itemk-1);
```

2. Pruning.

```
forall itemsets  $c$  in  $C_k$  do
  forall  $(k-1)$ -subsets  $s$  of  $c$  do
    if ( $s$  is not in  $L_{k-1}$ ) then DELETE  $c$  FROM  $C_k$ ;
```

**Use object-relational extensions like UDFs, BLOBs, and table functions for efficient implementation.**

(Sarawagi, Thomas & Agrawal, SIGMOD'98)

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## Further improvement of the a priori method

### Major computational challenges.

- Multiple scans of transaction database.

- Huge number of candidates.

- Tedious workload of support counting for candidates.

### Improving a priori: general ideas.

- Reduce passes of transaction-database scans.

- Shrink number of candidates.

- Facilitate support counting of candidates.

## Hashing: reduce the number of candidates

**A  $k$ -itemset whose corresponding hashing-bucket count is below the threshold cannot be frequent.**

Candidates:  $a, b, c, d, e$ .

While scanning DB for frequent 1-itemsets, create hash entries for 2-itemsets:

$\{ab, ad, ae\}$

$\{bd, be, de\}$

...

Frequent 1-itemset:  $a, b, d, e$ .

$ab$  is not a candidate 2-itemset, if the sum of count of  $\{ab, ad, ae\}$  is below support threshold.

(Park, Chen Yu, SIGMOD'95)

**Hash table:**

count	itemsets
35	$\{ab, ad, ae\}$
88	$\{bd, be, de\}$
$\vdots$	$\vdots$
102	$\{yz, qs, wt\}$

## Partition: scan database only twice

**Any itemset that is potentially frequent in DB must be frequent in at least one of the partitions of DB.**

Scan 1: partition database and find local frequent patterns:

$$\text{min\_sup}_i = \text{min\_sup}[\%] \cdot |\text{DB}_i|.$$

Scan 2: consolidate global frequent patterns.

(Savasere, Omiecinski Navathe, VLDB'95)

## References: Basic concepts of frequent-pattern mining

### (Association Rules)

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### (Max-Itemset)

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## References: Apriori and its improvements

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## References: Vertical format and row enumeration methods

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Thank you for your attention.  
**Any questions about the fifth chapter?**

Ask them now, or again, drop me a line:  
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