



Conway's Game of Life and other orthogonal rewrite systems

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Part I: Game of Life as Orthogonal Graph Rewriting

Part II: Orthogonal Structured Rewriting

Part III: Premium content

Conway's Game of Life: Glider Gun

[click for movie of Glider Gun](#)

movie made of Troy Kidd's presentation (August 2025)

Conway's Game of Life: Cellular Automaton

Cellular Automata

Typically, a cellular automaton (CA) is a regular network (line/grid/etc.) of cells with discrete states.

Cells update simultaneously as a function of neighboring cells. Each cell replaces its state with $f(s_1, s_2, \dots) \in S$, where s_i are states of the cells in its neighborhood.

A *configuration* describes the state of all cells at some point in time. It is considered to extend infinitely in all directions, and can be represented as a function $c : \mathbb{Z}^d \rightarrow S$.

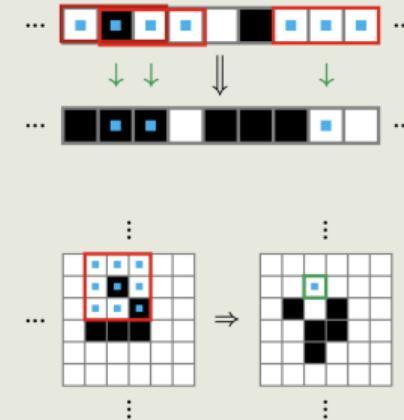
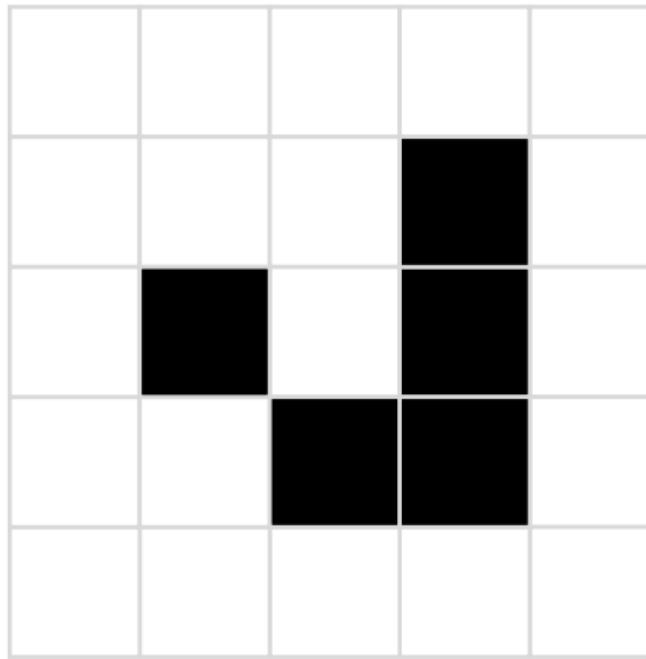


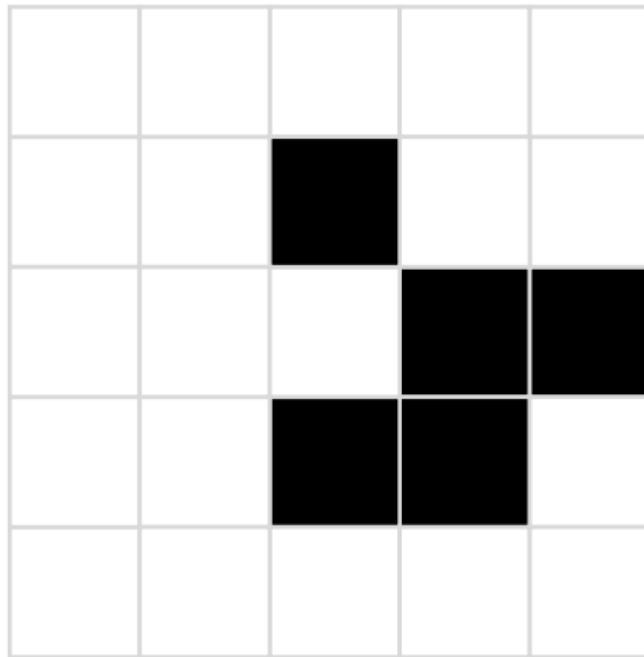
Figure 10. Examples of one step of computation, for 1-dimensional and 2-dimensional automata.

Troy Kidd; osoi.dev/inet-slides

Conway's Game of Life: CA Glider Step



Conway's Game of Life: CA Glider Step

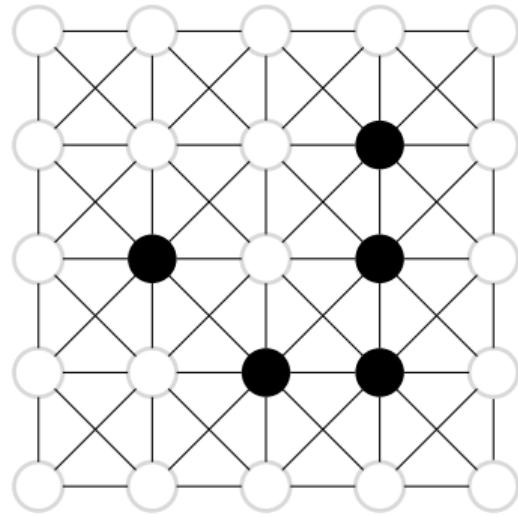


Conway's Game of Life: Graph Rewrite System

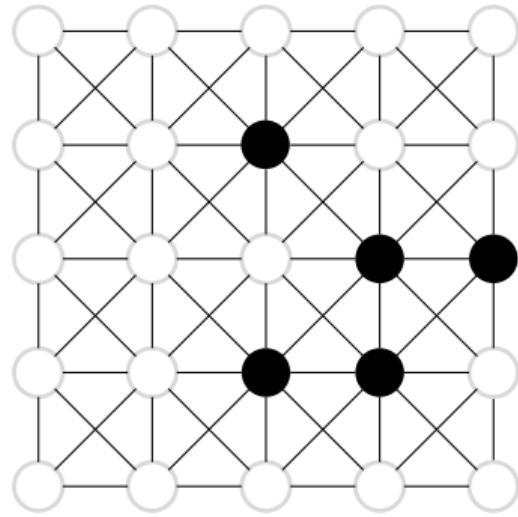
Idea: discrete topology

- labelled **nodes** represent cells
- **ports** (8 per node, ordered deosil) discretely represent cell boundaries
- **wires** (**links**; between ports) represent adjacency of cell boundaries

Conway's Game of Life: GRS Glider Step



Conway's Game of Life: GRS Glider Step



Conway's Game of Life: Orthogonal GRS?

Orthogonal: local, asynchronous, parallel rewriting

- problem: CA cells must be updated synchronously

Conway's Game of Life: Orthogonal GRS?

Orthogonal: local, asynchronous, parallel rewriting

- problem: CA cells must be updated synchronously
- GoL state ■■■

Conway's Game of Life: Orthogonal GRS?

Orthogonal: local, asynchronous, parallel rewriting

- problem: CA cells must be updated synchronously
- GoL state ■■■
- next GoL state should be  (an oscillator)

Conway's Game of Life: Orthogonal GRS?

Orthogonal: local, asynchronous, parallel rewriting

- problem: CA cells must be updated synchronously
- GoL state ■■■
- next GoL state should be ■■■

- but may be **empty** if evaluate **asynchronously**
(**strategy**: update alive cells first, outside-in; then all counts ≤ 1 so all die)

Conway's Game of Life: Orthogonal GRS?

Orthogonal: local, asynchronous, parallel rewriting

- problem: CA cells must be updated synchronously
- GoL state ■■■
- next GoL state should be ■■■

- but may be empty if evaluate asynchronously

Conway's Game of Life: Orthogonal GRS!

Orthogonal: local, asynchronous, parallel rewriting

- problem: CA cells must be updated synchronously
- GoL state ■■■
- next GoL state should be ■■■
■
- but may be empty if evaluate asynchronously

Solution here

- let each cell interact **once** with each of its neighbours before update

Conway's Game of Life: Orthogonal GRS!

Orthogonal: local, asynchronous, parallel rewriting

- problem: CA cells must be updated synchronously
- GoL state ■■■
- next GoL state should be 
- but may be empty if evaluate asynchronously

Solution here

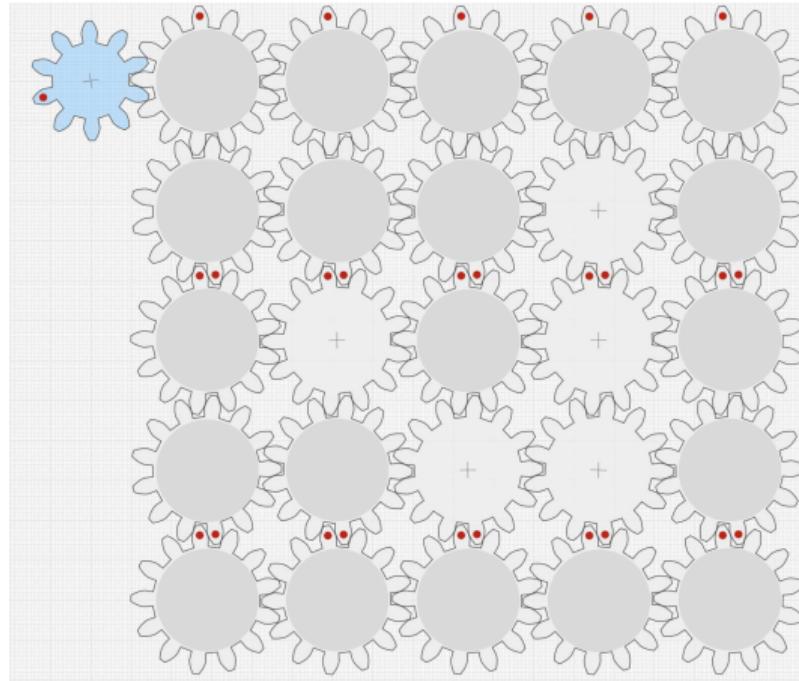
- let each cell interact once with each of its neighbours before update
- orchestrate these interactions by **rotating** (through all 8 ports of each cell)

CA ©lockwork

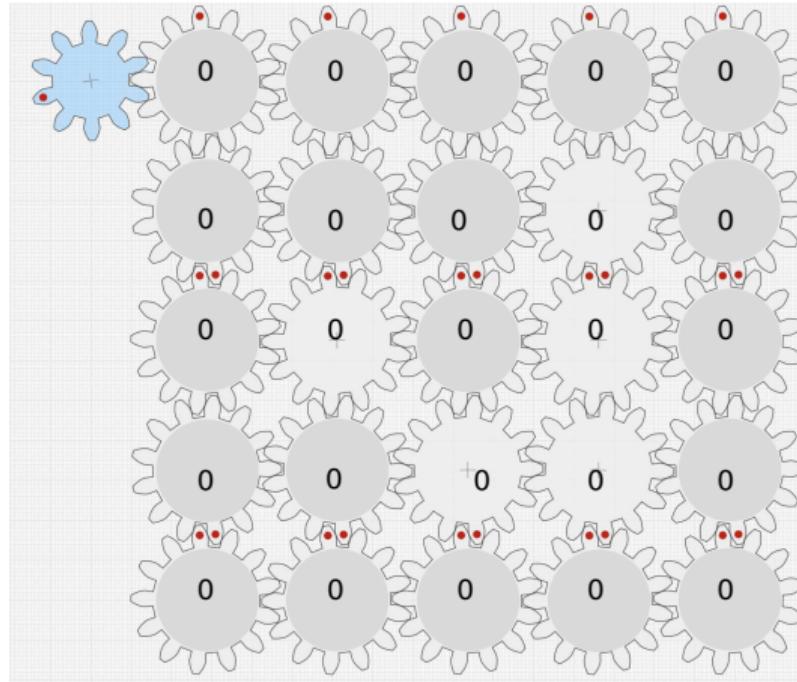
click for movie of ©lockwork

made using gear generator (August 2025)

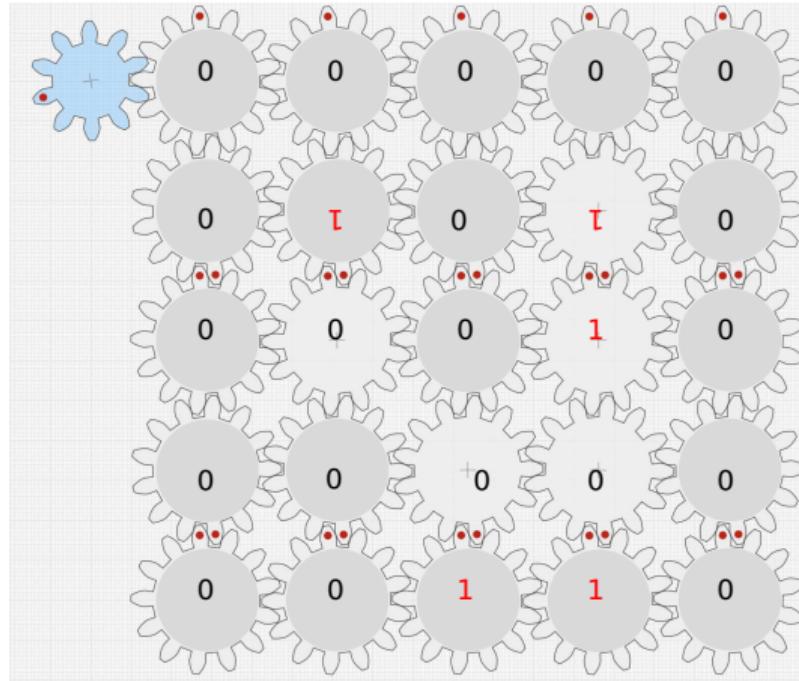
GoL ©lockwork for Glider Step



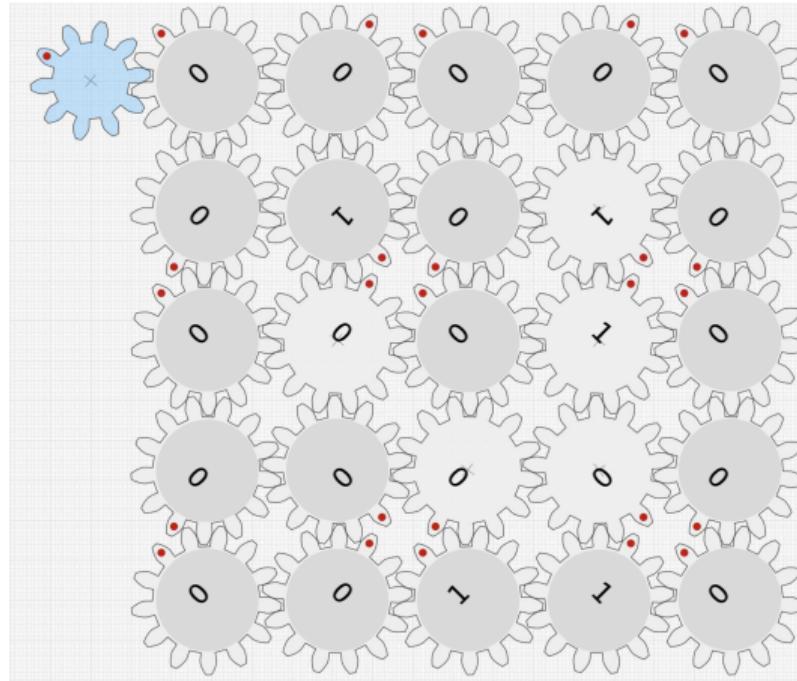
Initialise alive-neighbour counters to 0



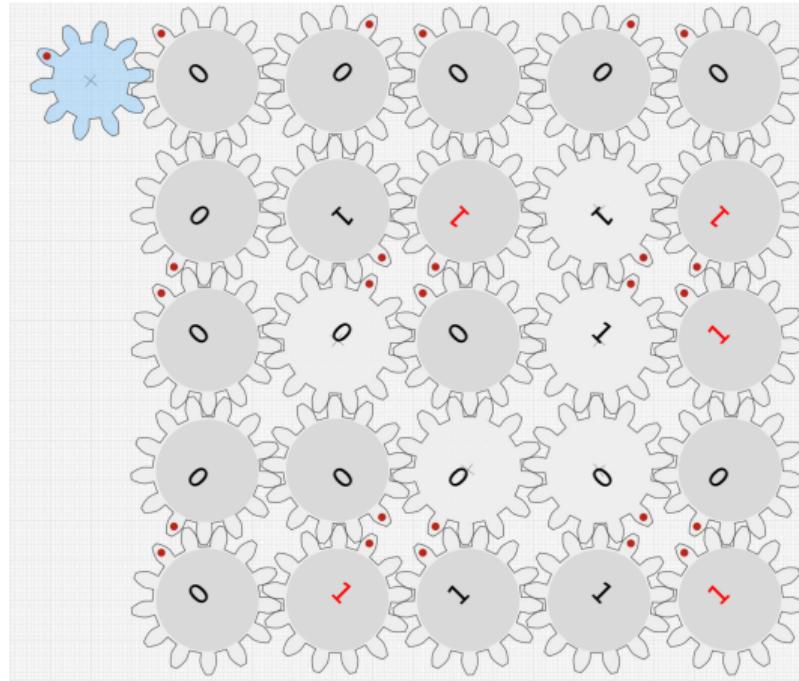
Increment each counter \bullet -opposite \circ -alive neighbour



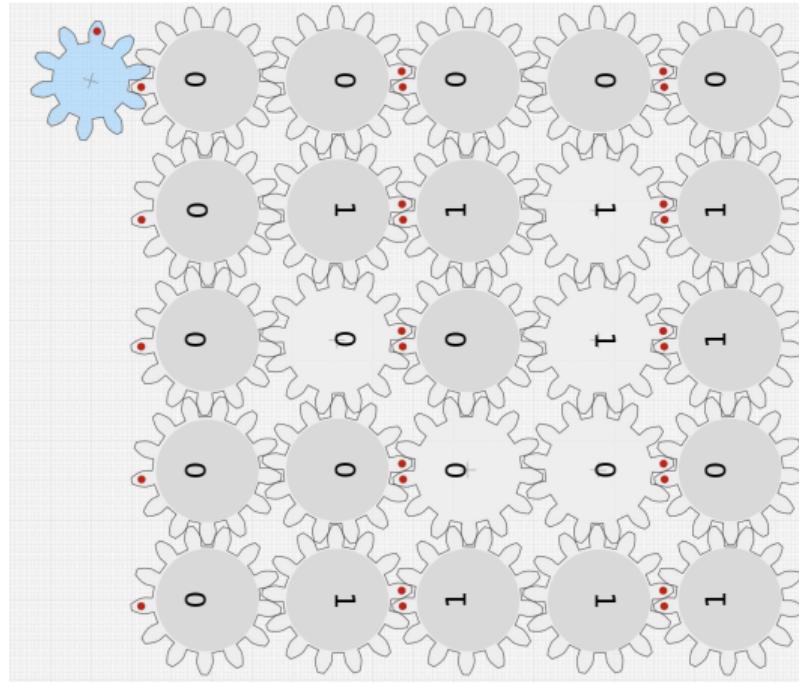
Rotate cogwheels in ©lockstep



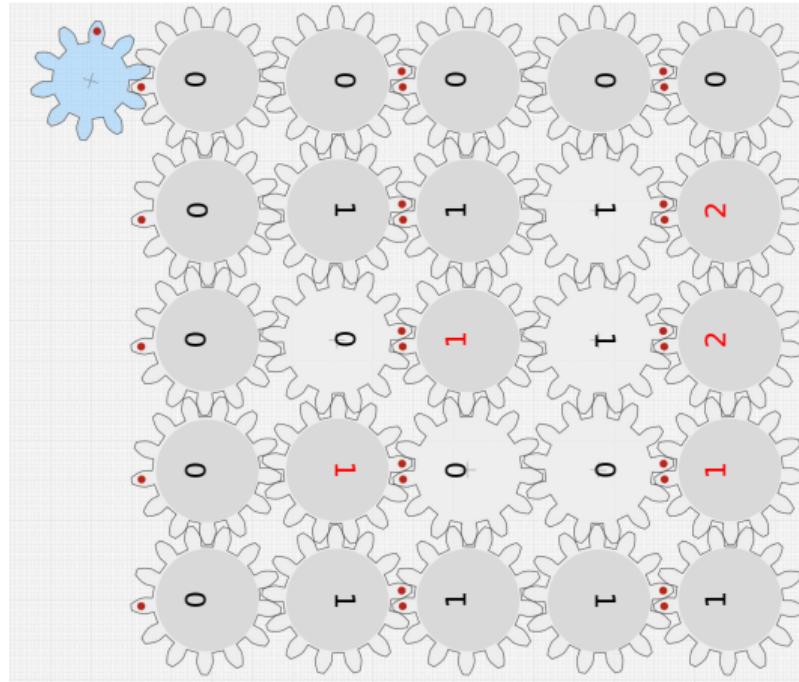
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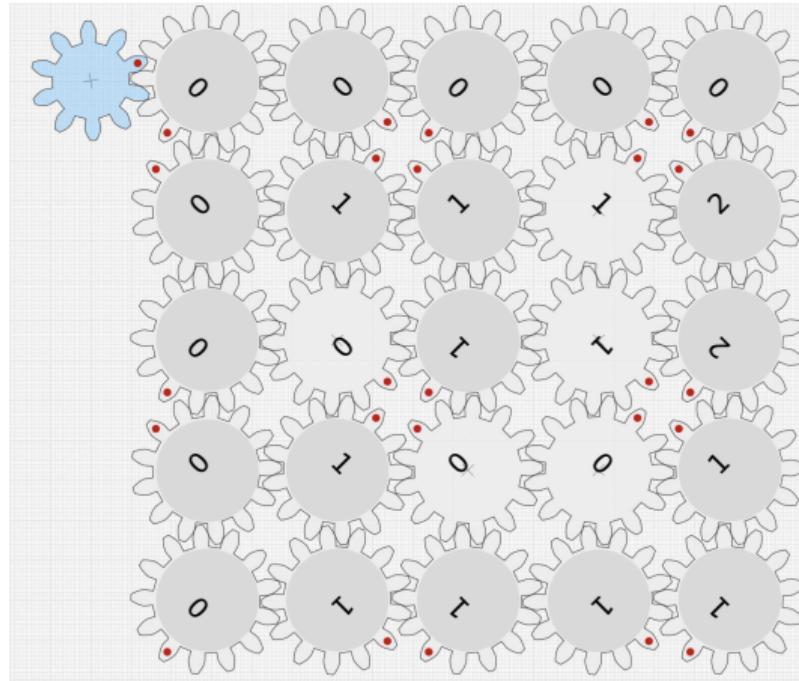
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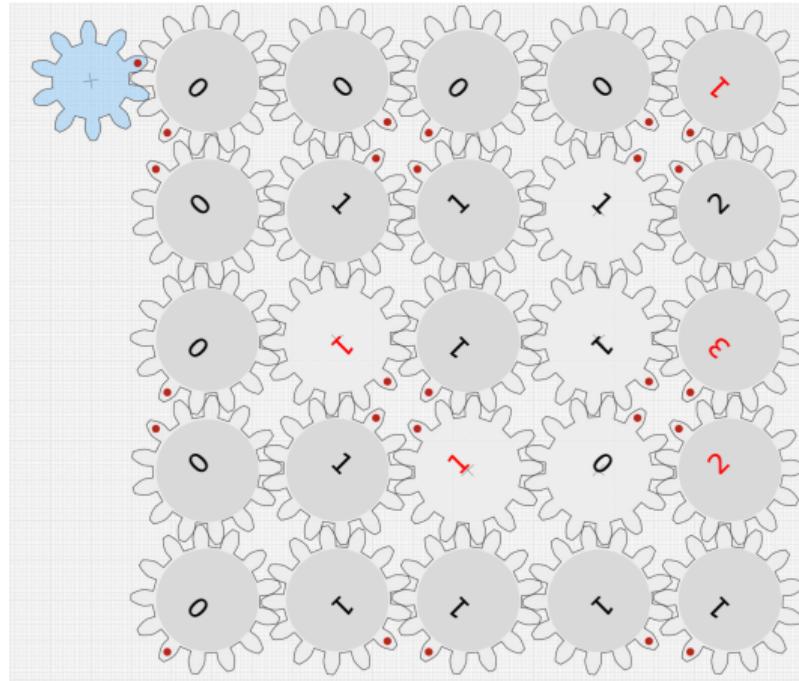
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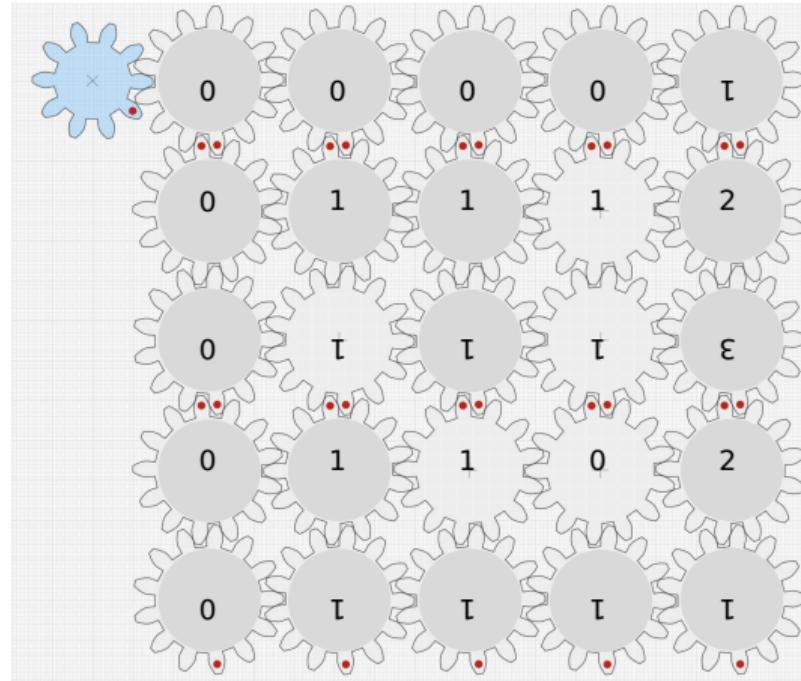
Rotate cogwheels in ©lockstep



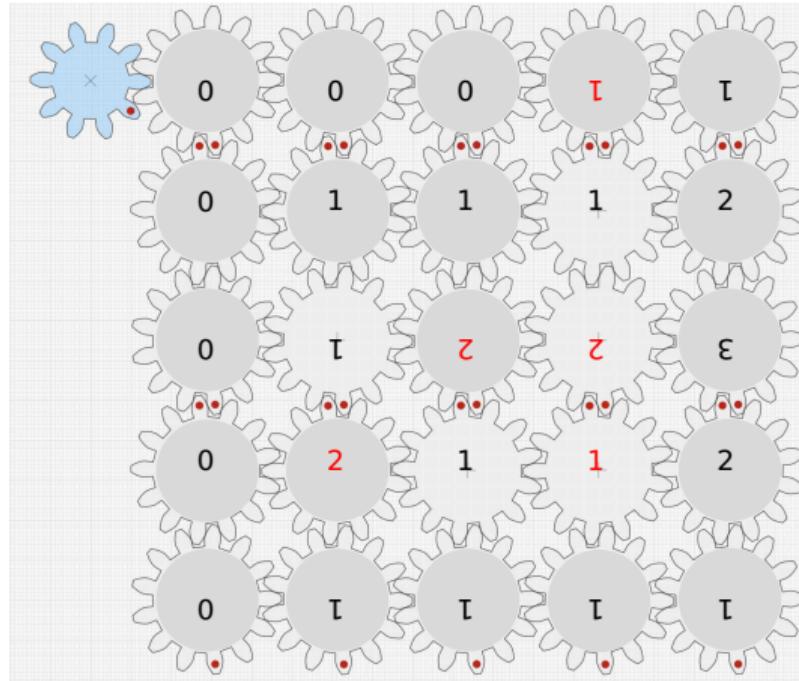
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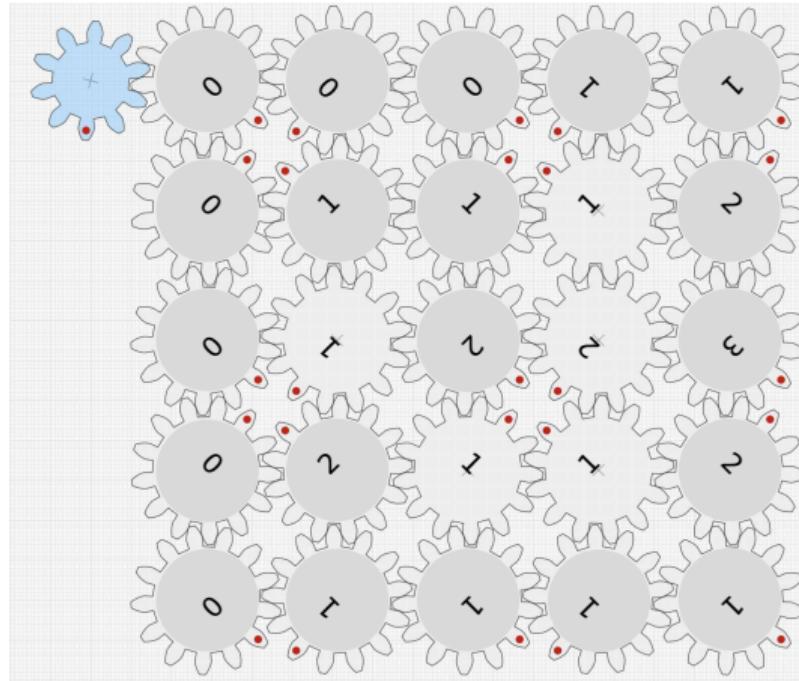
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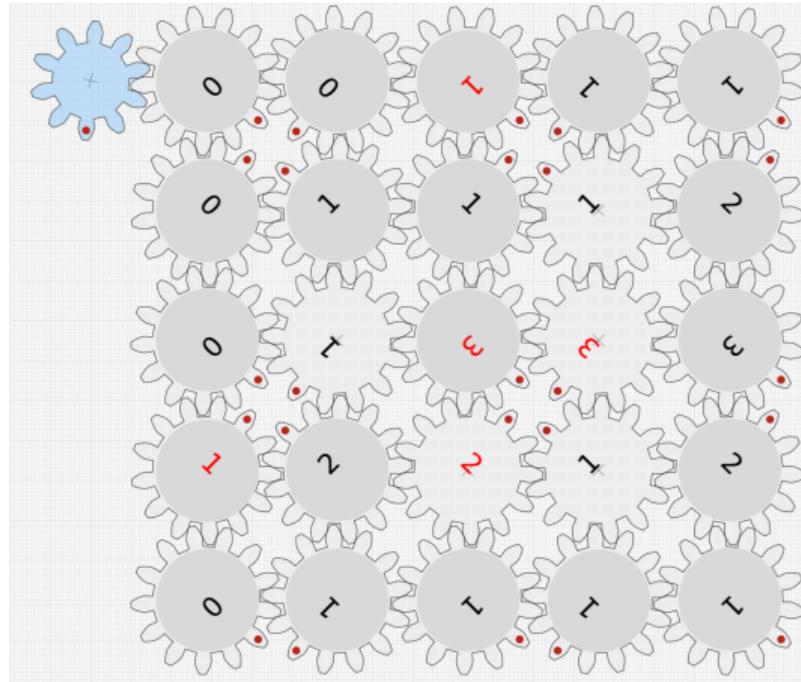
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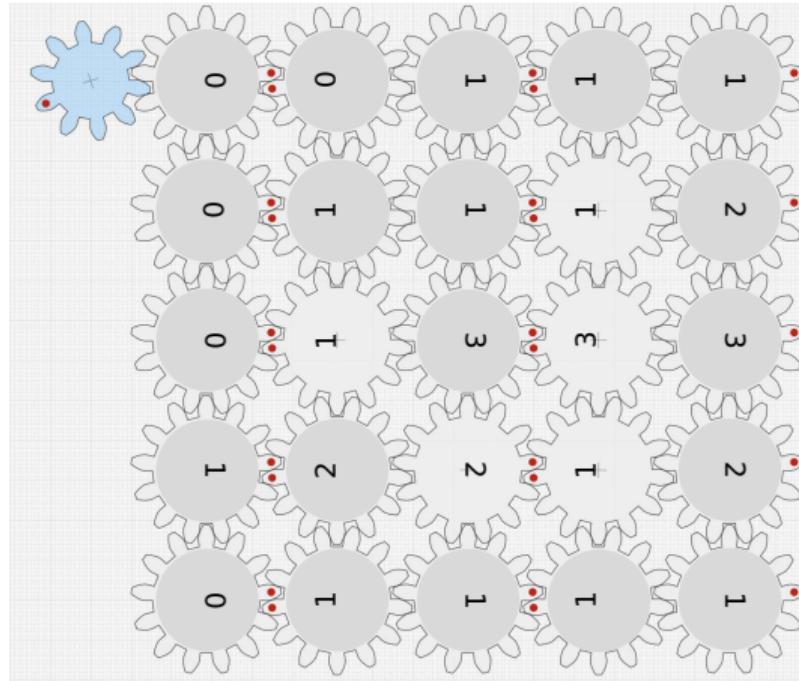
Rotate cogwheels in ©lockstep



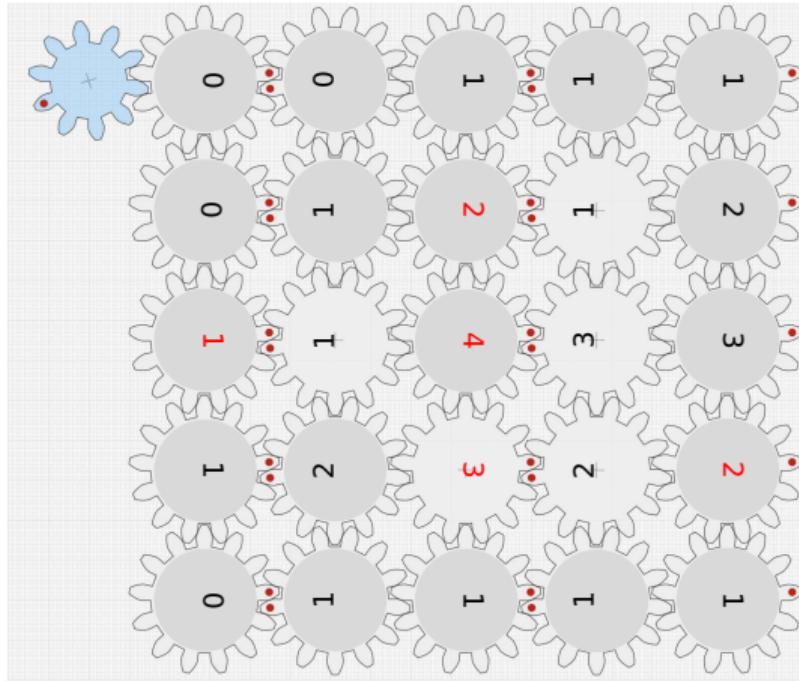
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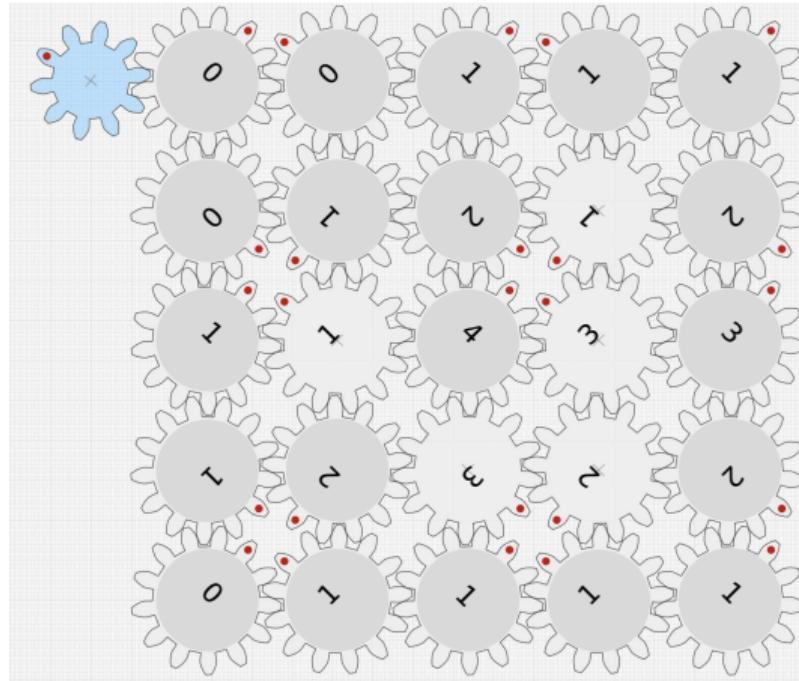
Rotate cogwheels in ©lockstep



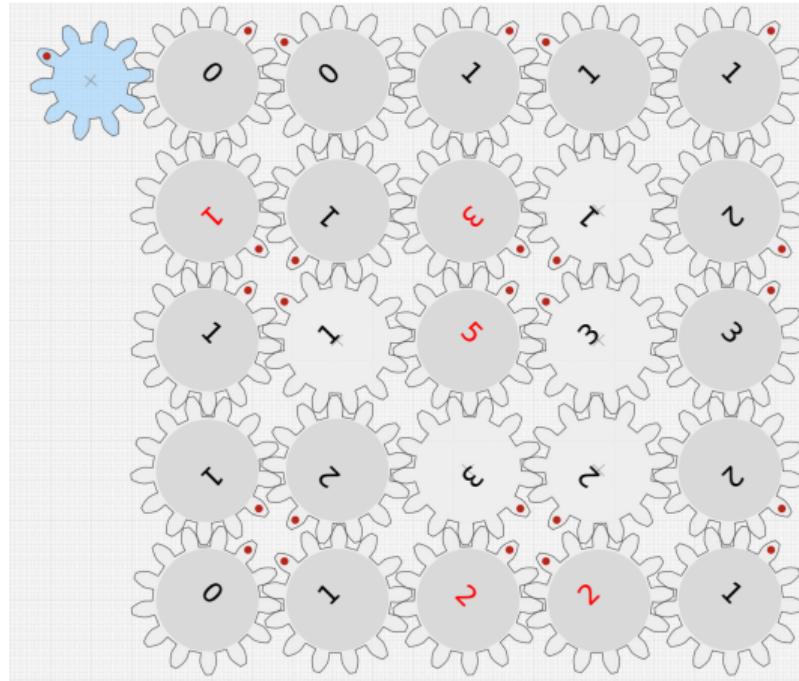
Increment each counter \bullet -opposite \circ -alive neighbour



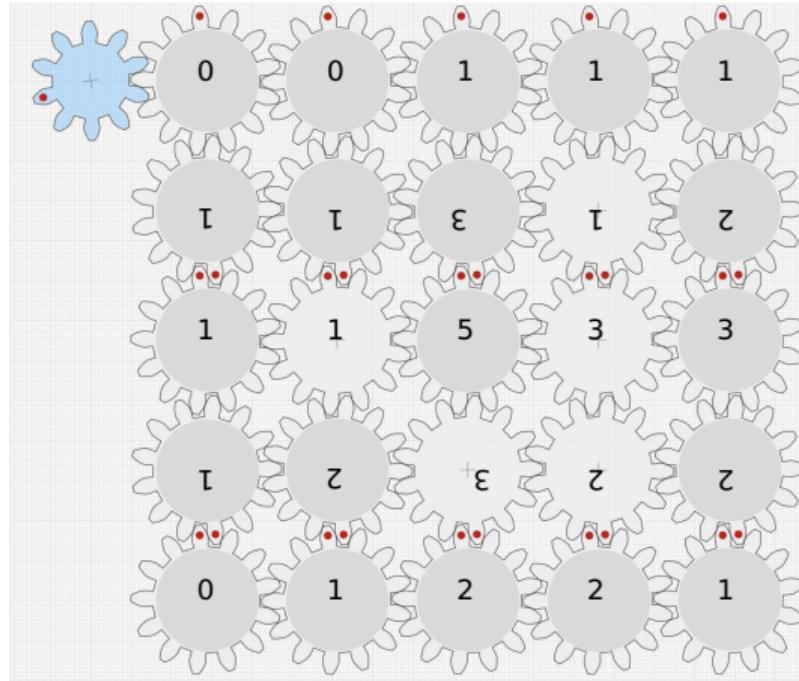
Rotate cogwheels in ©lockstep



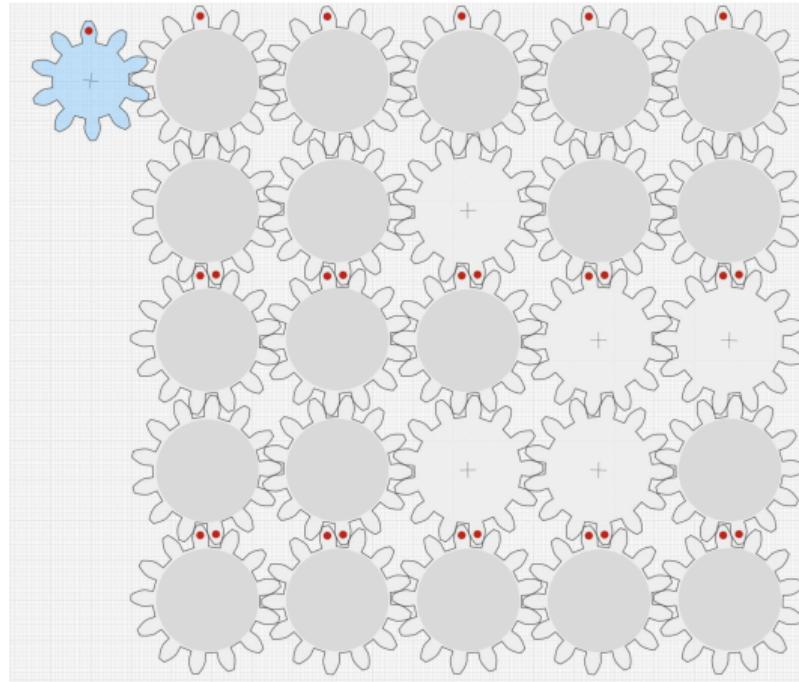
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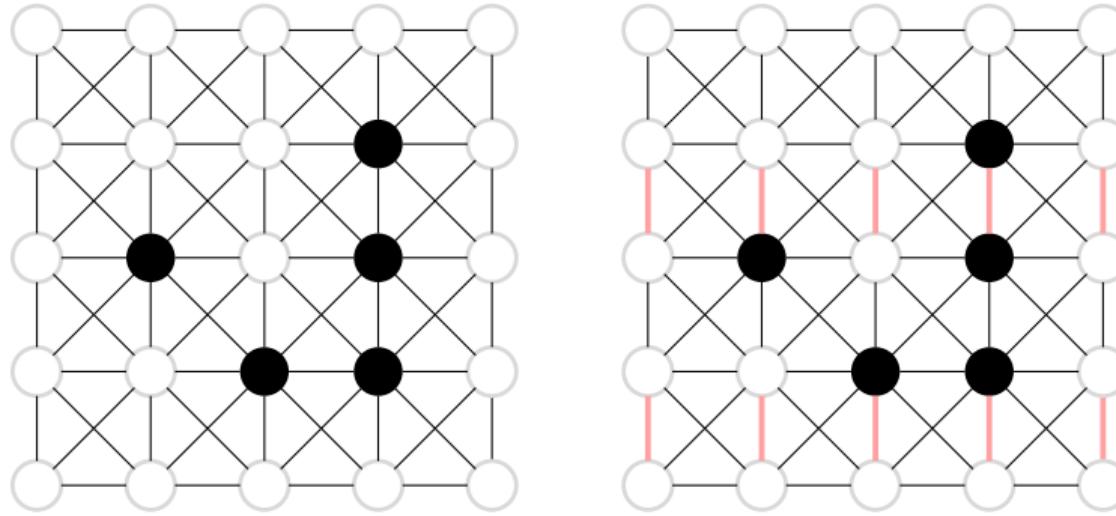
Rotate cogwheels in ©lockstep



Next GoL state (repeat ...)

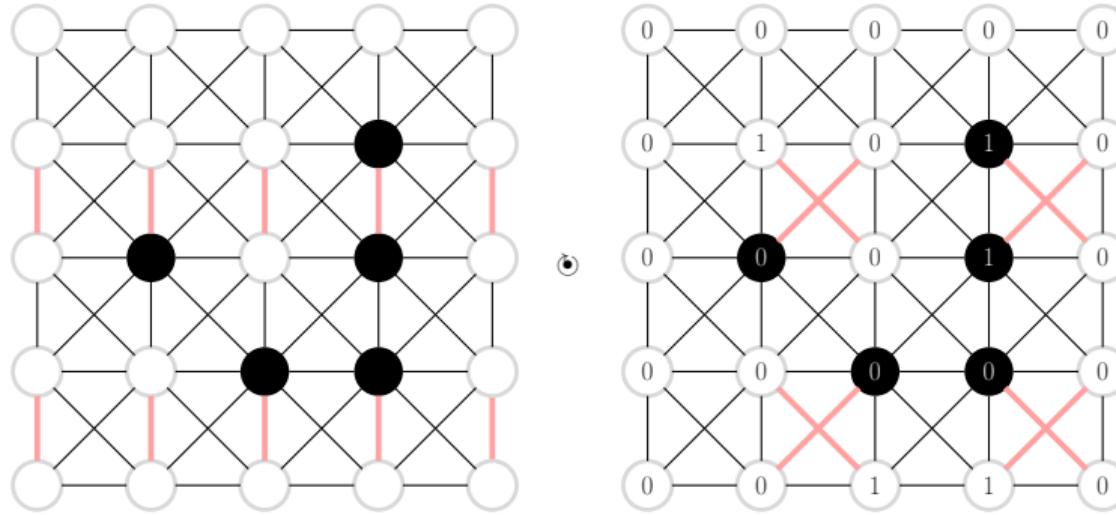


8 GRS **clocksteps** for 1 GoL Glider Step



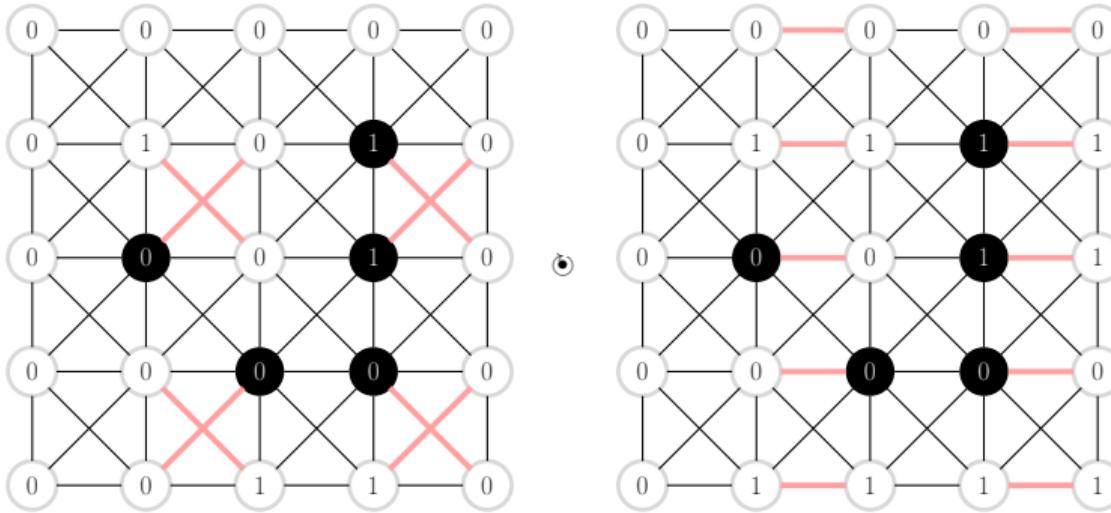
alternating rows of **inactive** and **active** links

GRS ©locksteps for GoL Glider Step



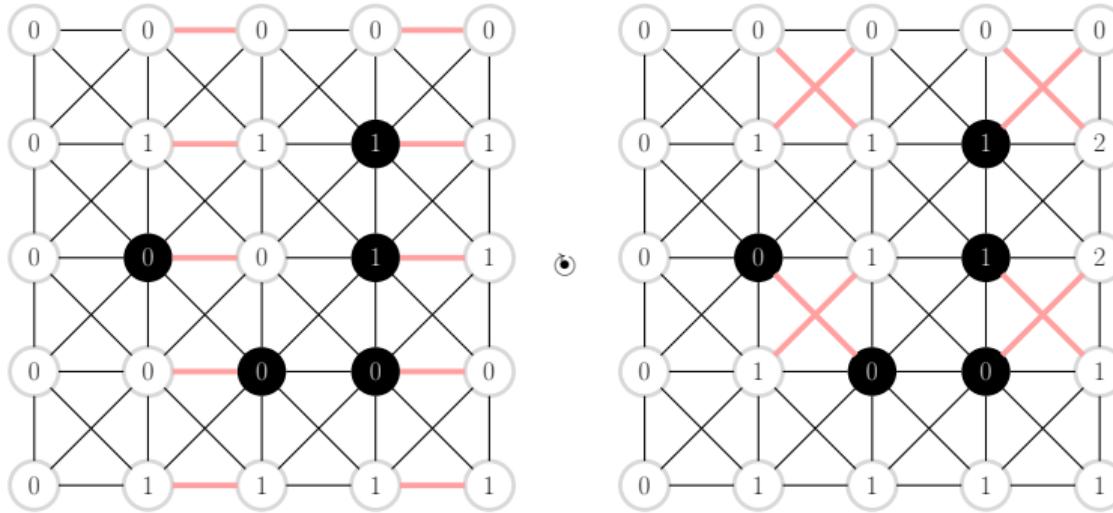
increment if other alive; rotate deosil / widdershins if row+column odd / even

GRS ©locksteps for GoL Glider Step



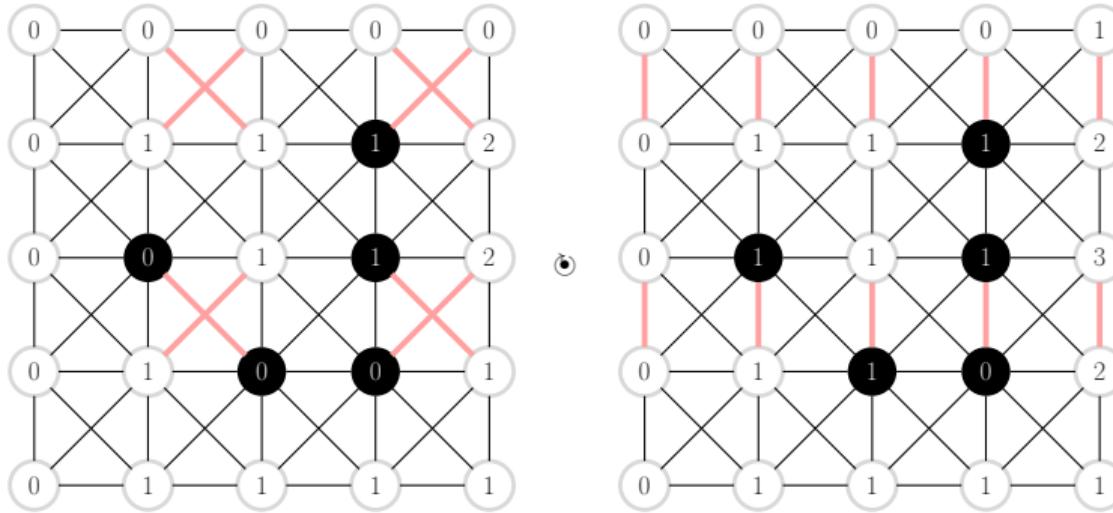
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GRS ©locksteps for GoL Glider Step



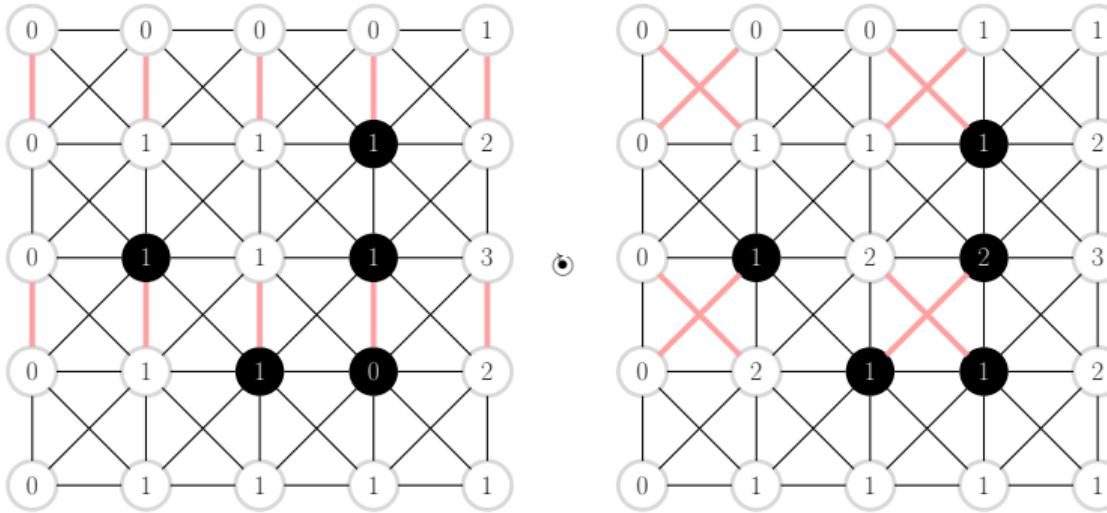
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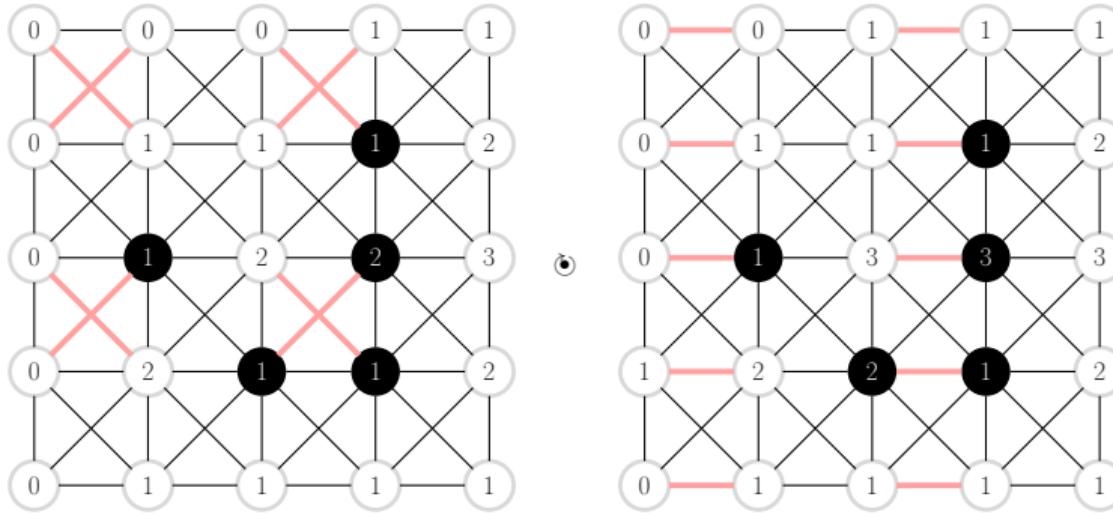
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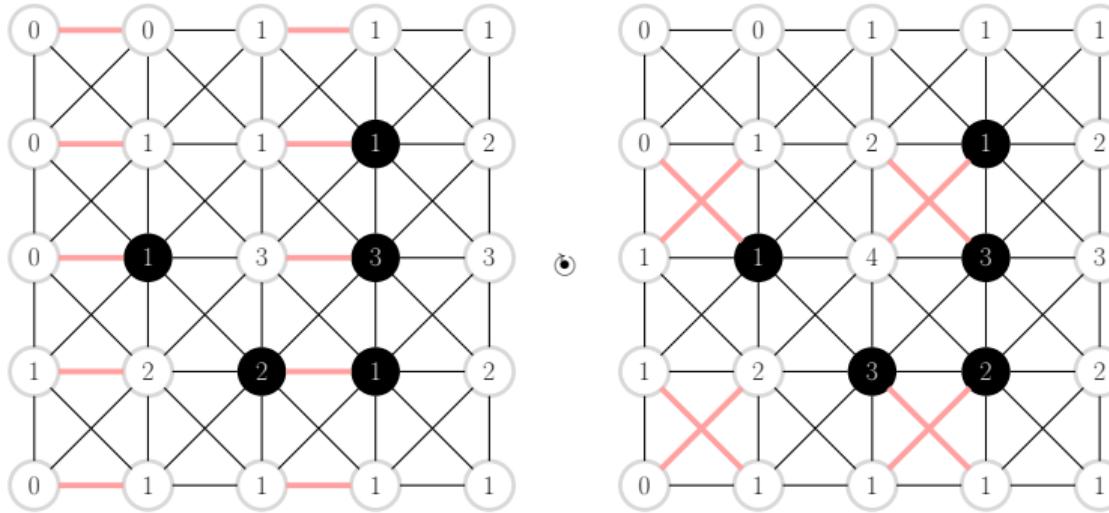
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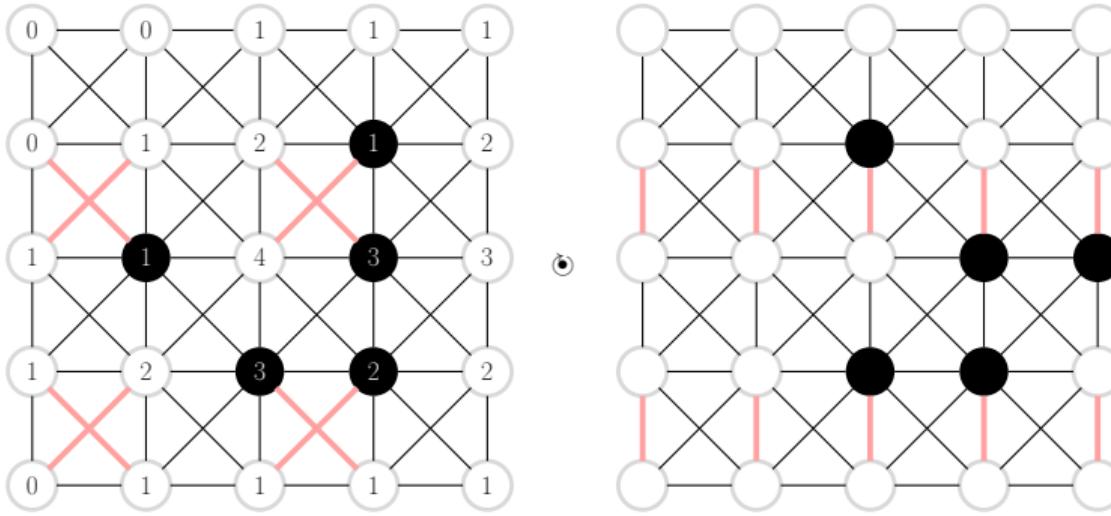
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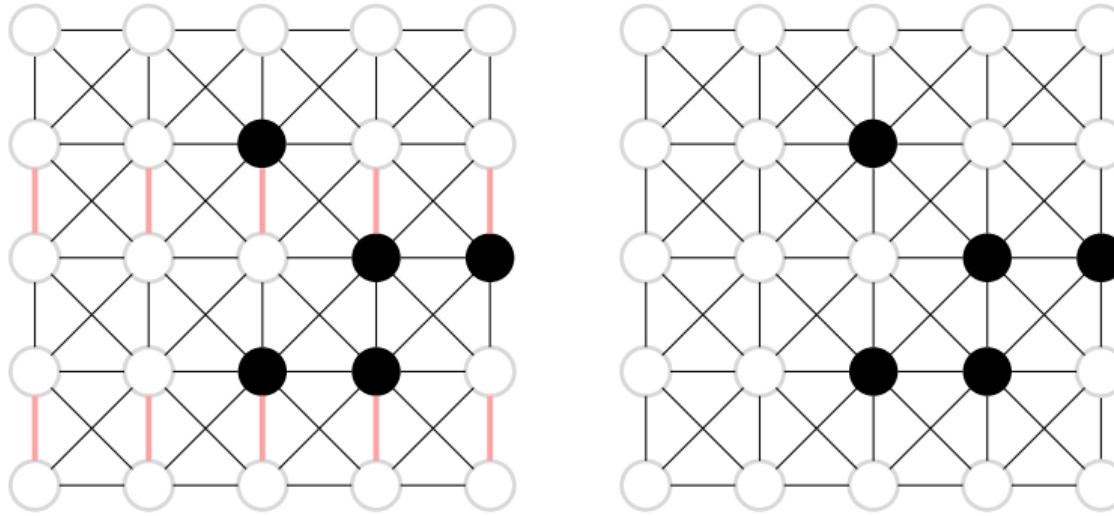


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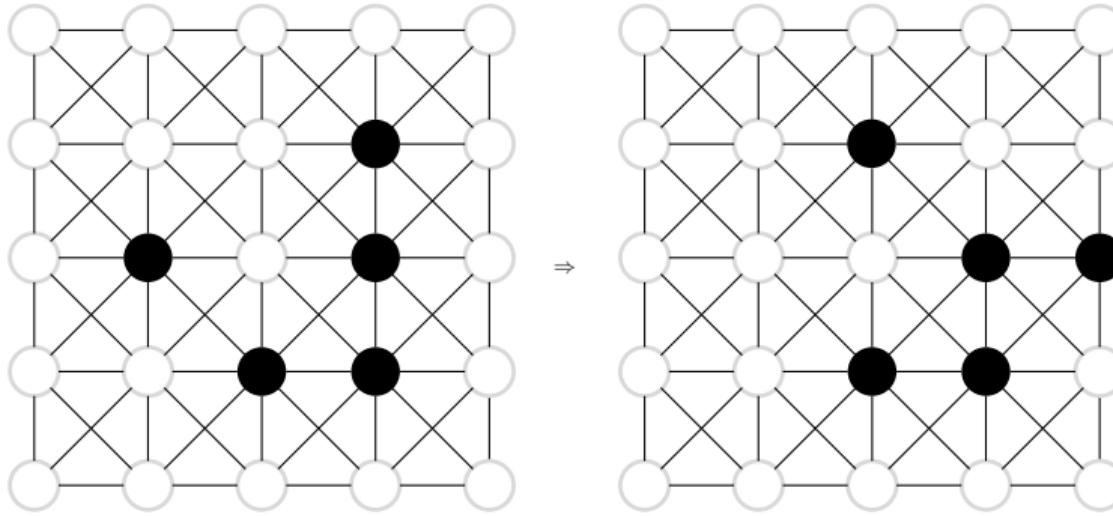
GRS ©locksteps for GoL Glider Step



GRS ©locksteps for GoL Glider Step



GRS ©locksteps for GoL Glider Step



Combining all 8 GRS ©locksteps into 1 Glider Step

Orthogonal GRS: Interaction Nets (Lafont 1990)

Definition 1. An *interaction net* is a finite set of labeled *cells* (each having some number of *ports*), a set of *free ports* not associated with any cells, and a set of *wires*, connecting each port to another one.

Cells have one *principal port* and $n \geq 0$ *auxiliary ports* (numbered in clockwise order), where n is the *arity* of the cell's symbol.

Wires may connect ports of the same cell or exist as a *cyclic wire* not connecting any ports.

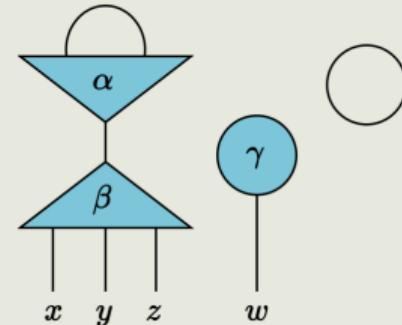


Figure 1. An interaction net.

Troy Kidd; osoi.dev/inet-slides

Orthogonal GRS: IN rule

Definition 2. An *interaction rule* is a pair of interaction nets having the same set of free ports.

The left-side net must consist of two cells with a wire between their principal ports, and a wire between each free port and an auxiliary port.

Rules may have more than two cells on the right, allowing for an exponentially increasing number of computations per step.

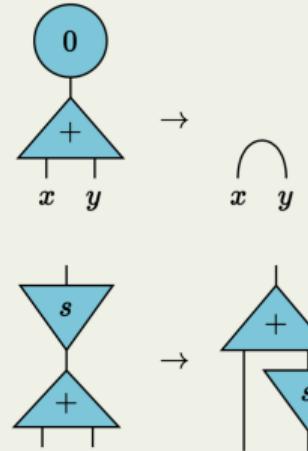


Figure 2. Two interaction rules.

The first represents inferring $y = x$ from $y = 0 + x$.

Troy Kidd; osoi.dev/inet-slides

Orthogonal GRS: IN step

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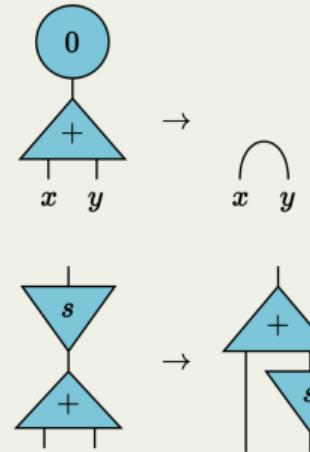
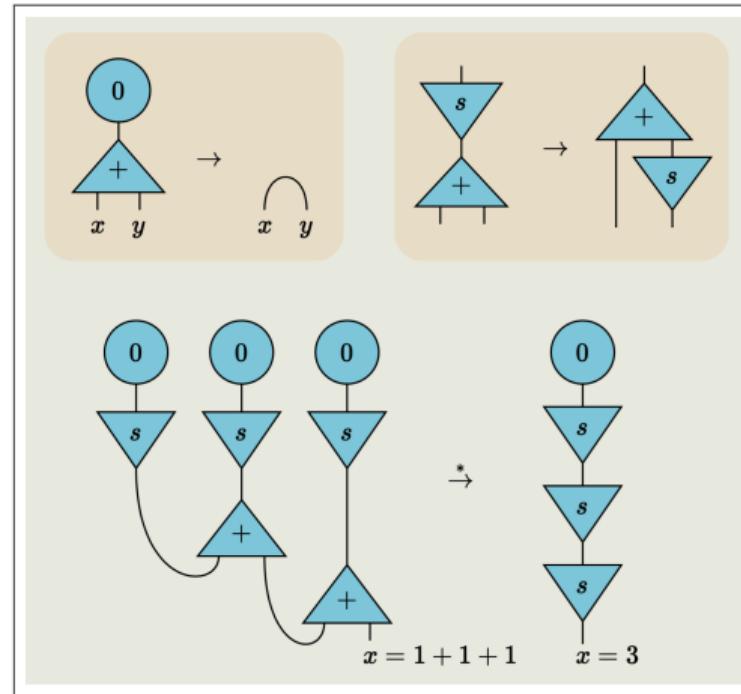


Figure 2. Two interaction rules.

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Troy Kidd; osoi.dev/inet-slides

Orthogonal GRS: IN reduction



Troy Kidd; osoi.dev/inet-slides

Orthogonal GRS: IN parallel

Interaction nets were developed by Yves Lafont in 1990, as a practical model for parallel programming.

In this model, information is represented with a collection of cells and ports, connected by wires.

During one computational step, if a pair of cells matches a rule, they are replaced in a way that doesn't leave disconnected wires.

Many replacements can occur in parallel and can be repeated until there are no rule matches (in the case of a terminating computation).

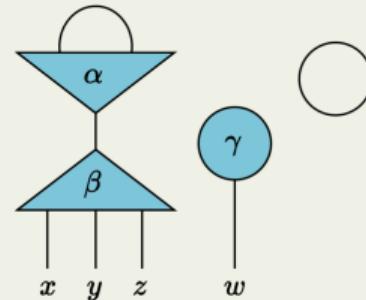


Figure 1. An interaction net.

Troy Kidd; osoi.dev/inet-slides

Orthogonal GRS: IN parallel reduction

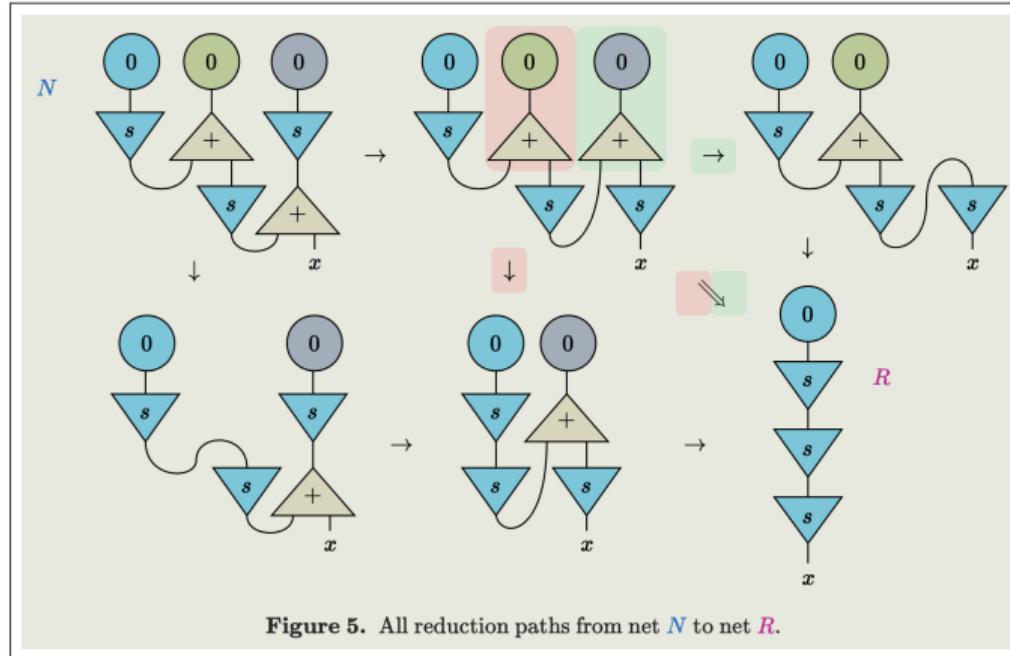


Figure 5. All reduction paths from net N to net R .

Troy Kidd; osoi.dev/inet-slides

Interaction Nets: Orthogonal GRS?

steps and multisteps

- local ✓
(size of left- and right-hand side of rule **bounded**; for GoL 2 linked nodes)

Interaction Nets: Orthogonal GRS?

steps and multisteps

- local ✓
- asynchronous ✓
(each node or link occurs in ≤ 1 redex-pattern; non-overlapping)

Interaction Nets: Orthogonal GRS?

steps and multisteps

- local ✓
- asynchronous ✓
- parallel ✓
(result of contracting set of redex-patterns **independent** of order)

Interaction Nets: Orthogonal GRS!

steps and multisteps

- local ✓
- asynchronous ✓
- parallel ✓

Interaction Nets: Orthogonal GRS!

steps and multisteps

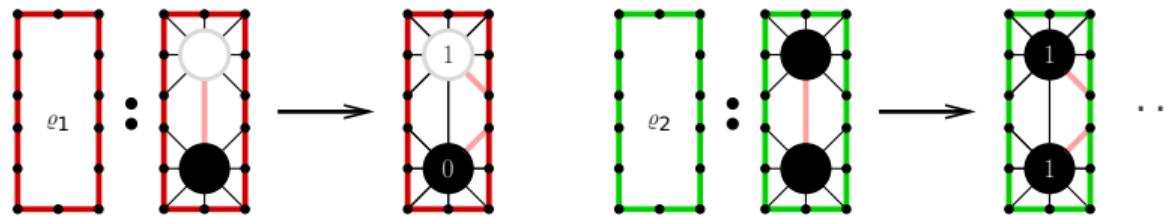
- local ✓
- asynchronous ✓
- parallel ✓

GoL signature

- symbols (arity 8):  ($\leq 2 \times 2 \times 10 \times 8 = 320$ symbols: alive?, rot, #neighbours, principal port)

GoL rule signature

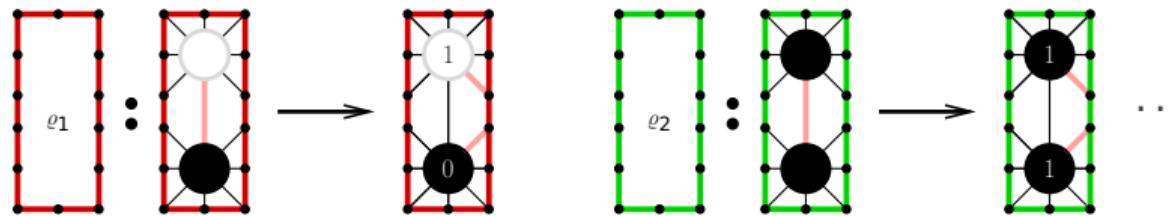
- symbols: 
- rule symbols (arity 14):



($\leq (2 \times 10)^2 \times 2 \times 8 = 6400$ rule symbols: symbol,rot,port,symbol)

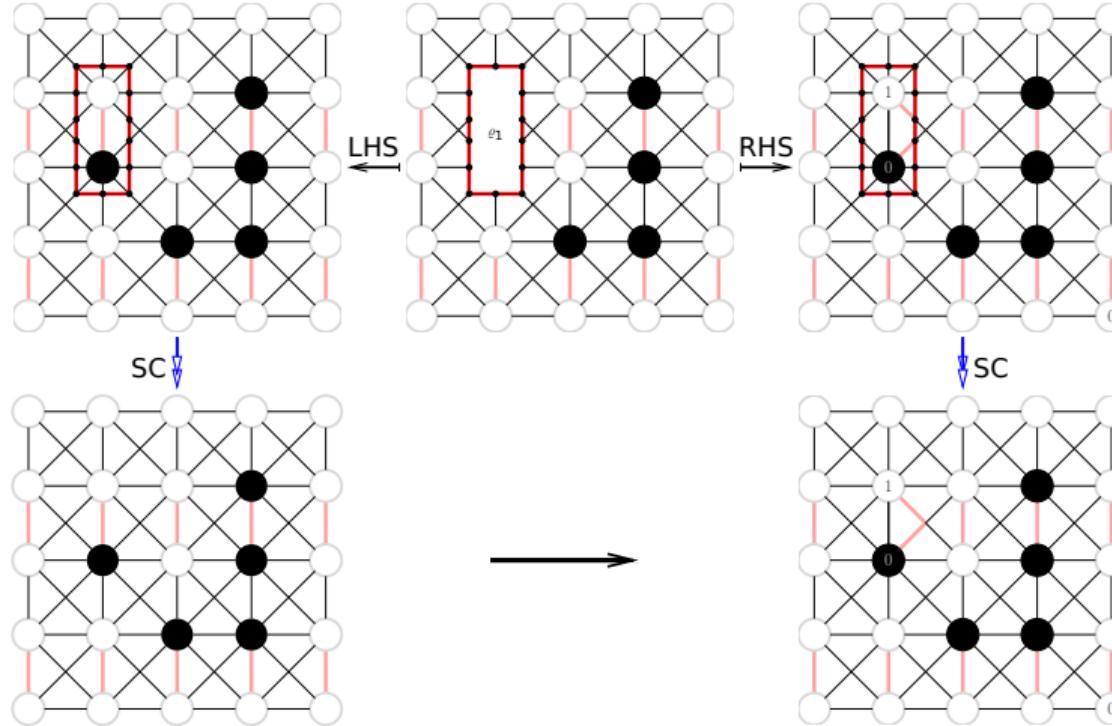
GoL signature

- symbols: 
- rule symbols:

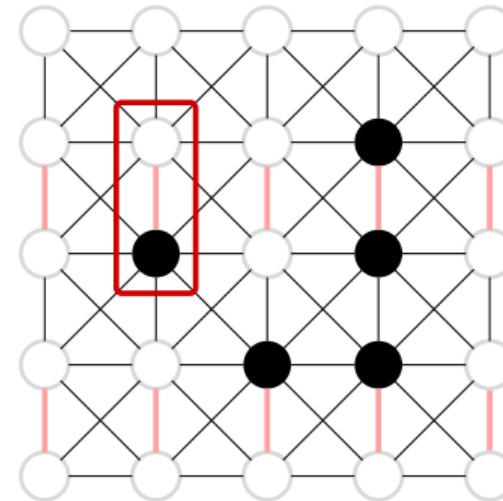
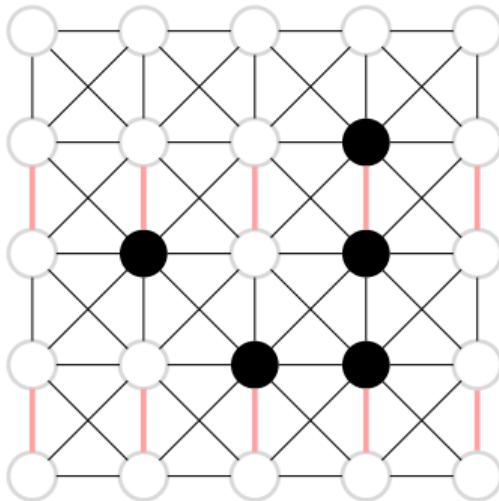


- normalised rewriting modulo Substitution Calculus (SC):


GoL step →

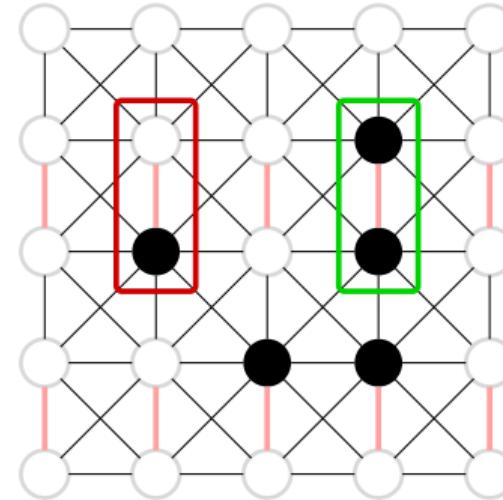
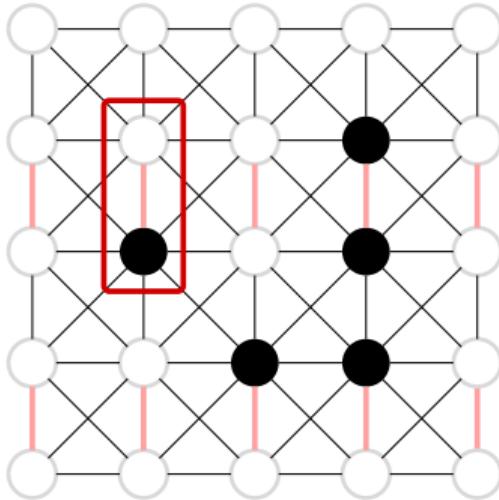


GoL ©lockstep (full multistep)



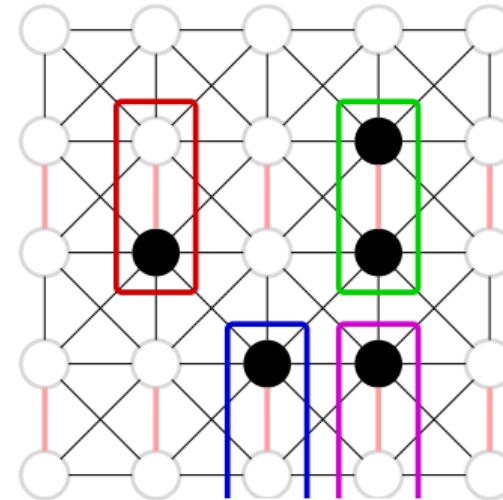
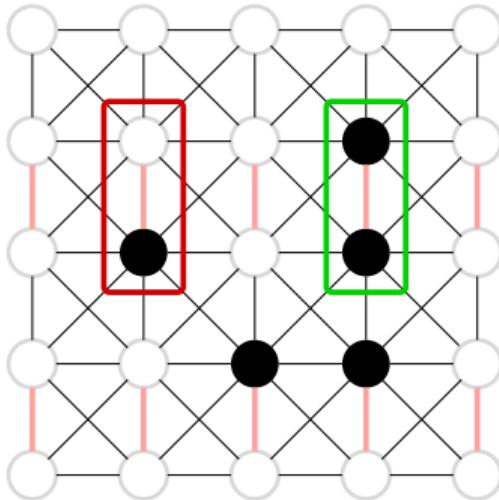
locating a **redex-pattern**

GoL ©lockstep



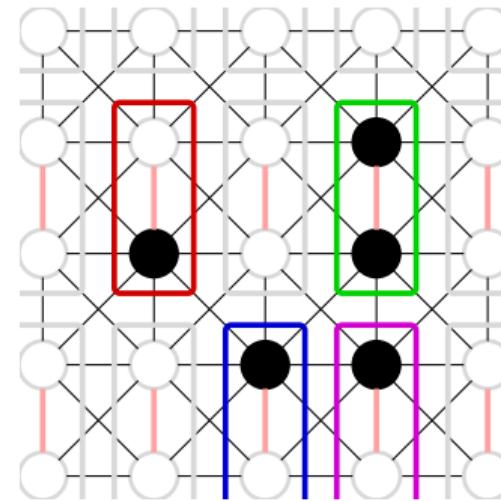
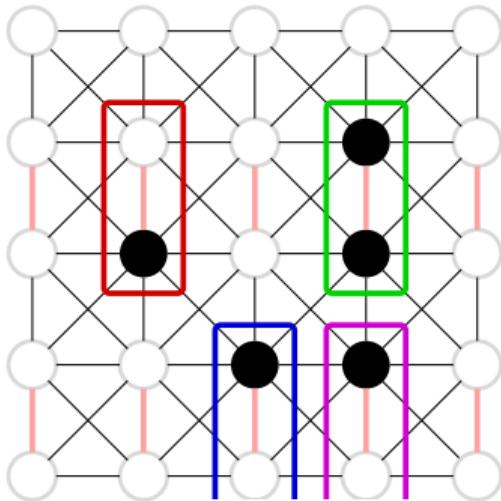
locating another **rex-pattern** (non-overlapping)

GoL ©lockstep



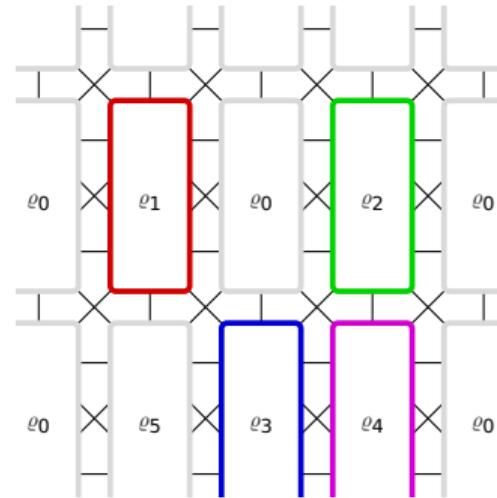
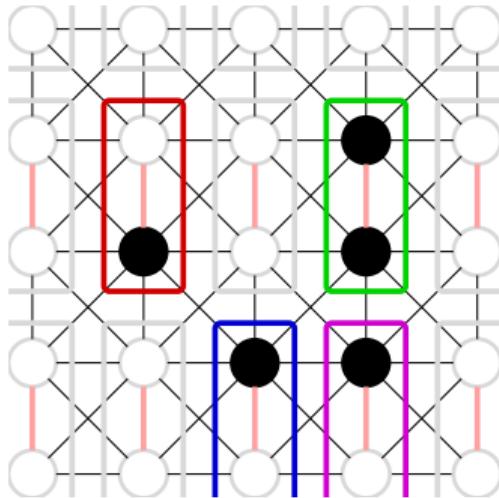
locating yet other redex-patterns (all pairwise non-overlapping)

GoL ©lockstep



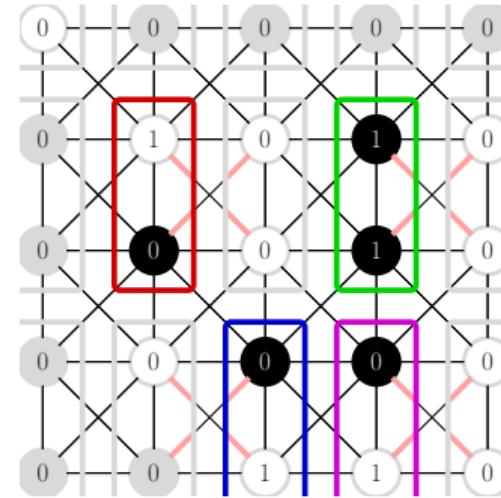
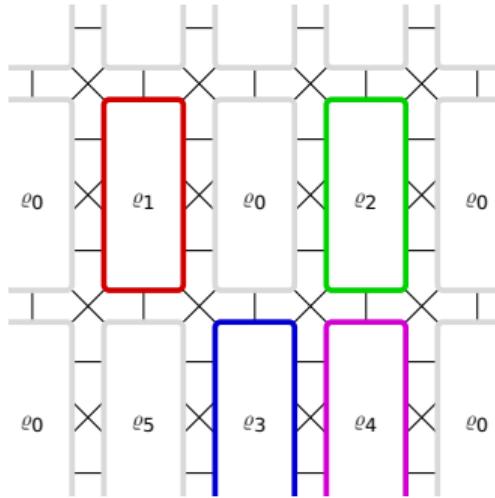
locating **all** redex-patterns (each node occurs in **some** redex-pattern)

GoL ©lockstep



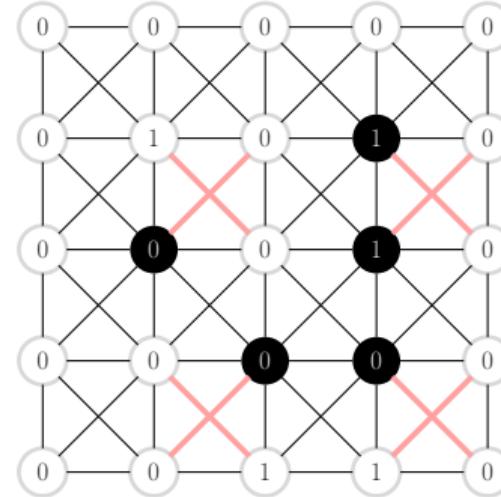
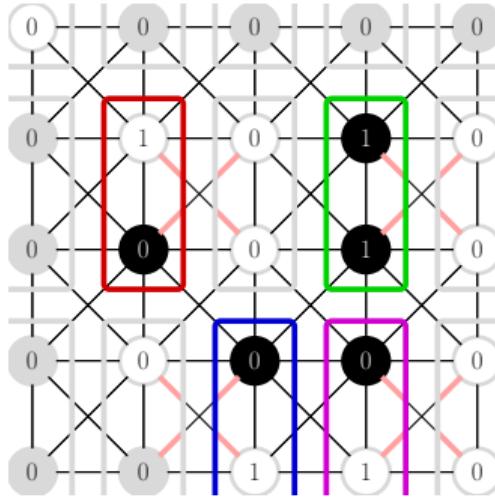
abstracting all redex-patterns into rule symbols; **arity** 14 ($= 2 \cdot (8 - 1)$)

GoL ©lockstep



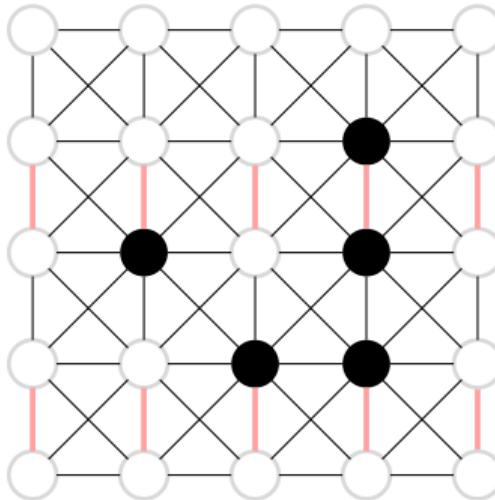
replacing all rule symbols by rhss; **clockstep**

GoL ©lockstep

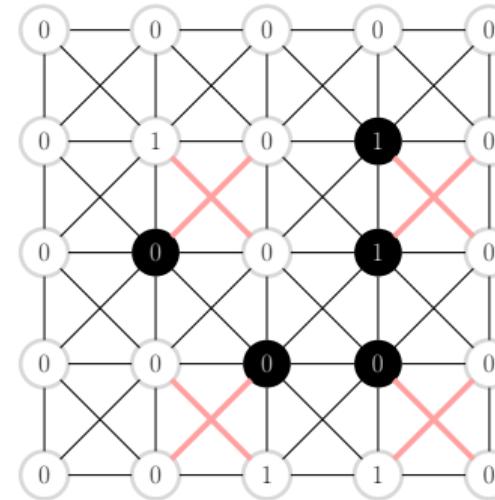


substituting rhss in graph (by substitution calculus)

GoL ©lockstep

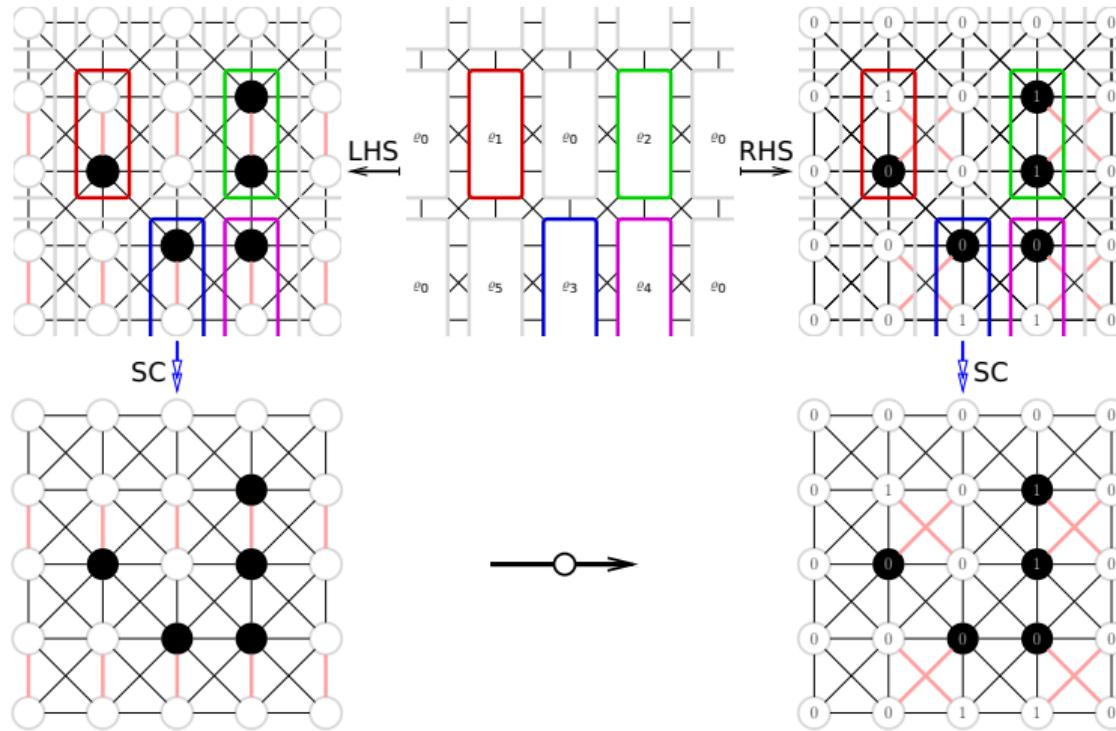


→

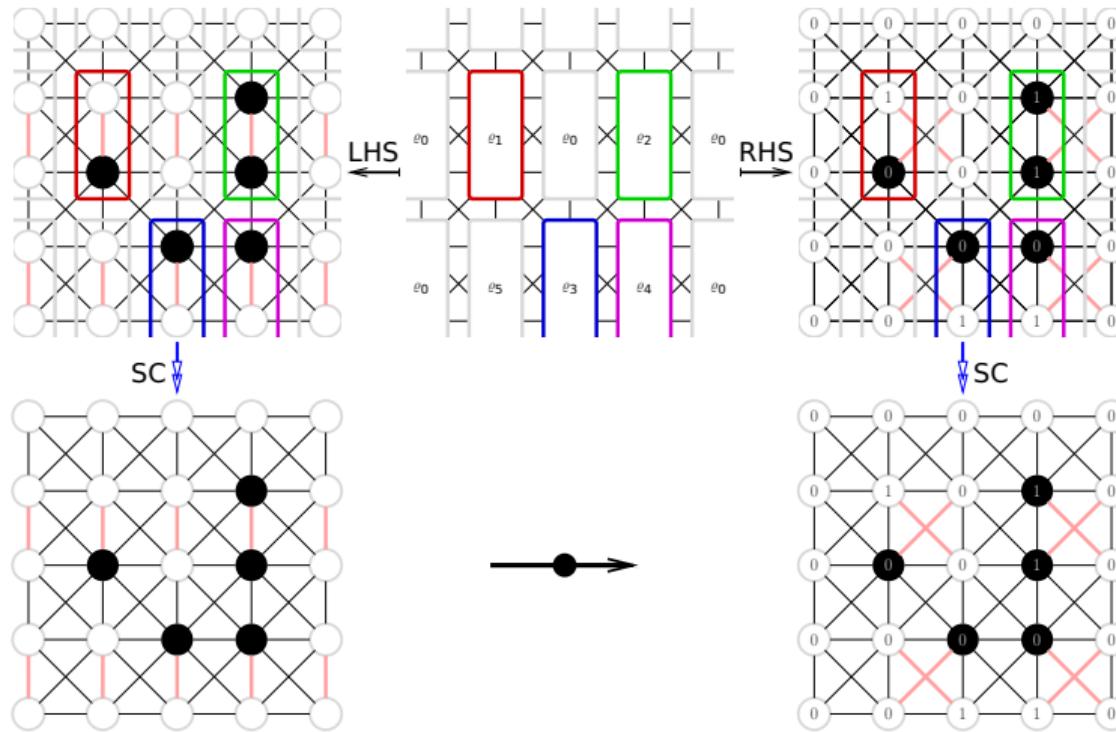


(includes deosil / widdershins rotation))

GoL ©lockstep; multistep →○→



GoL ©lockstep; full multistep →



GoL is orthogonal

Theory of Orthogonality

- sequentialisation: $\rightarrow \subseteq \multimap \subseteq \twoheadrightarrow$

GoL is orthogonal

Theory of Orthogonality

- sequentialisation: $\rightarrow \subseteq \multimap \rightarrow \subseteq \twoheadrightarrow$
- **confluence-by-parallelism**: \multimap has the diamond property
(by **residuation**)

GoL is orthogonal

Theory of Orthogonality

- sequentialisation: $\rightarrow \subseteq \multimap \rightarrow \subseteq \twoheadrightarrow$
- confluence-by-parallelism: \multimap has the diamond property
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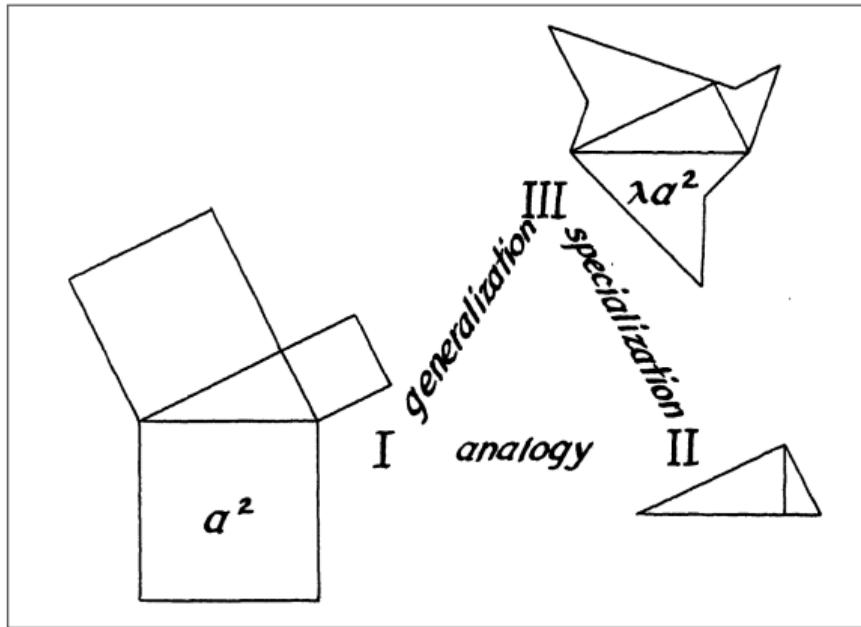
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INs are **linear** so have **random descent**

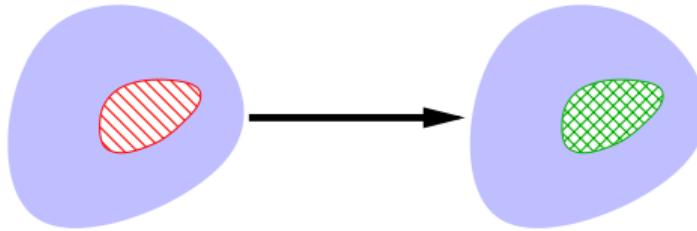
(WN \implies SN for nets; reductions to normal form all same length)

Pólya's triangle



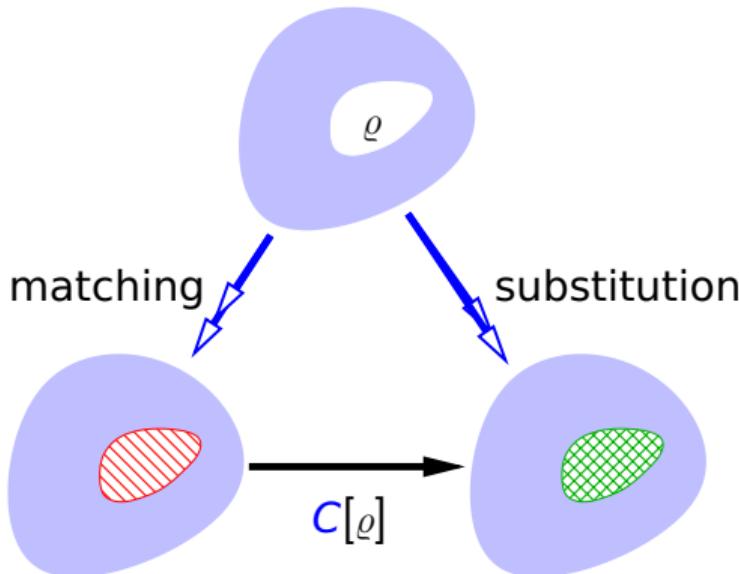
Mathematics and Plausible Reasoning, Volume 1, 1954, Fig. 2.3

Pólya's triangle in structured rewriting



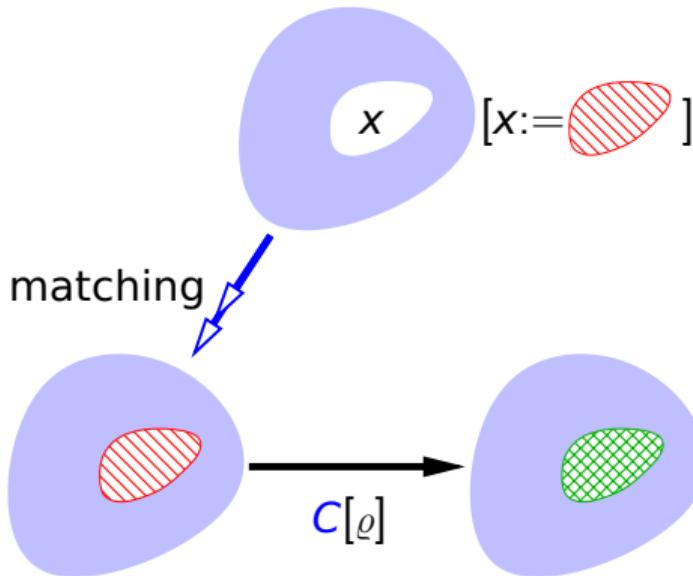
rewrite step $C[\ell] \downarrow \rightarrow C[r] \downarrow$ for rewrite rule $\varrho : \ell \rightarrow r$ and context C

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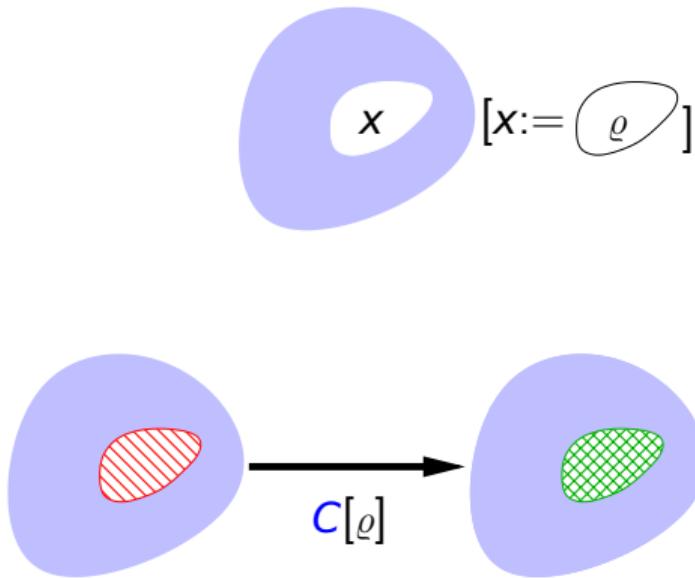
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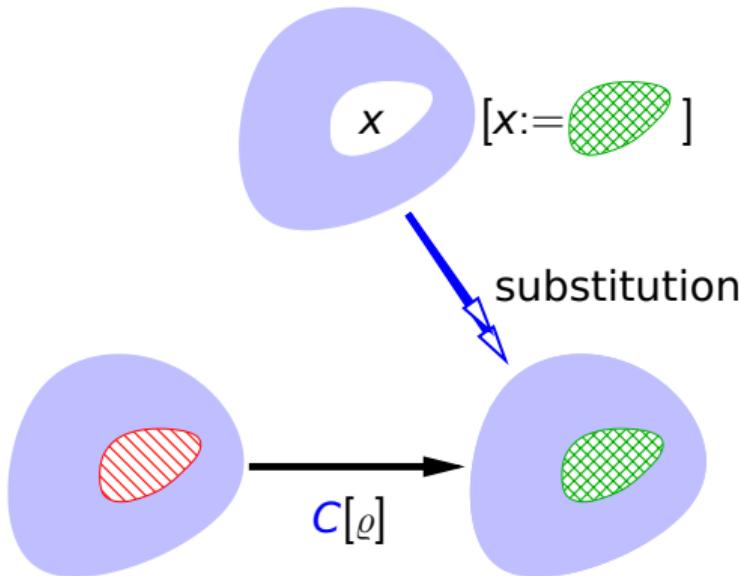
matching for rewrite step $C[\varrho] : C[\ell] \downarrow \rightarrow C[r] \downarrow$ for structure $C[x]$ and rule $\varrho : \ell \rightarrow r$

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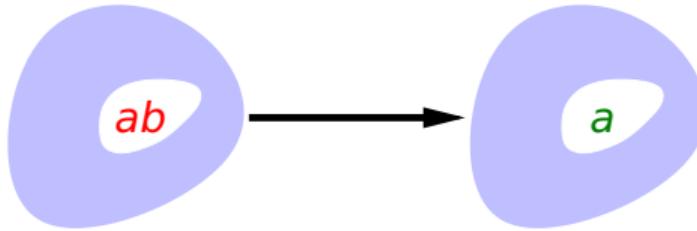
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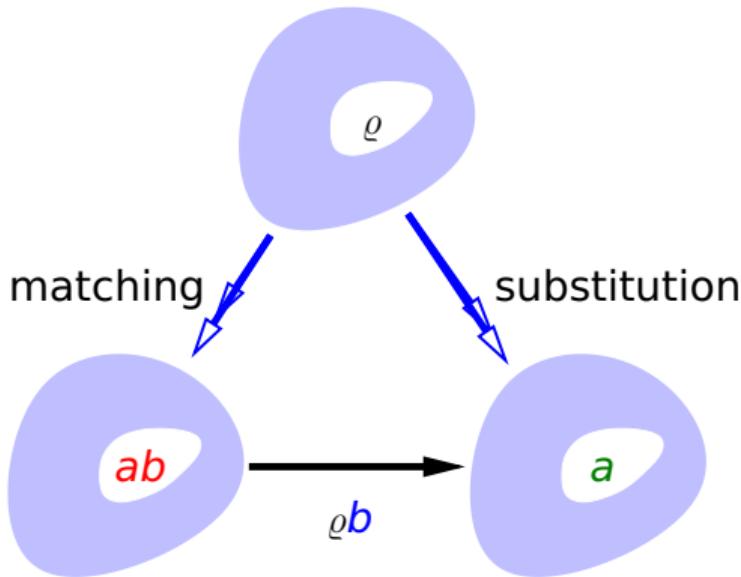
substitution for rewrite step $C[\varrho] : C[\ell] \downarrow \rightarrow C[r] \downarrow$ for structure $C[x]$ and rule

Pólya's triangle in **string** rewriting



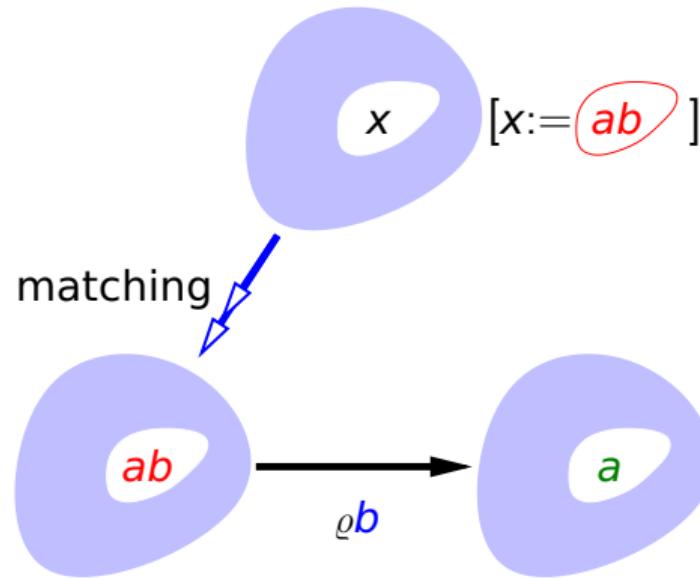
rewrite step $\textcolor{red}{ab} \rightarrow \textcolor{blue}{a}$ for rewrite rule $\varrho : \textcolor{red}{ab} \rightarrow \textcolor{green}{b}$ and context $\textcolor{blue}{b}$

Pólya's triangle in string rewriting



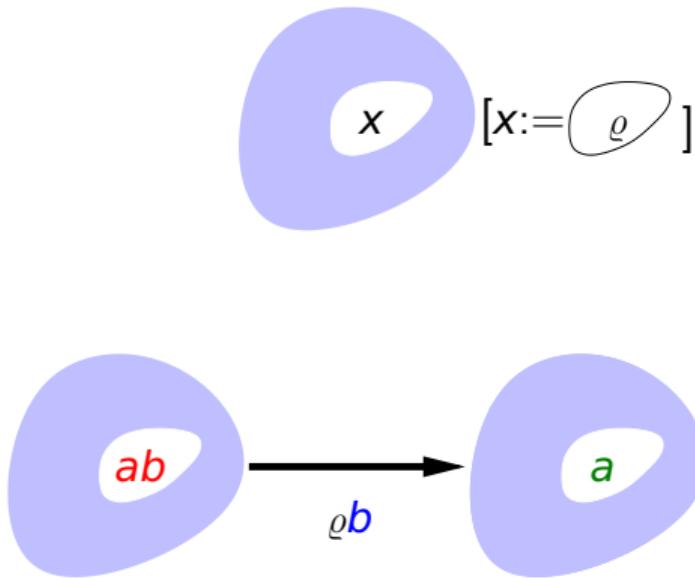
rewrite step $\varrho b : ab \rightarrow a$ for rewrite rule $\varrho : ab \rightarrow a$ and context b

Pólya's triangle in string rewriting



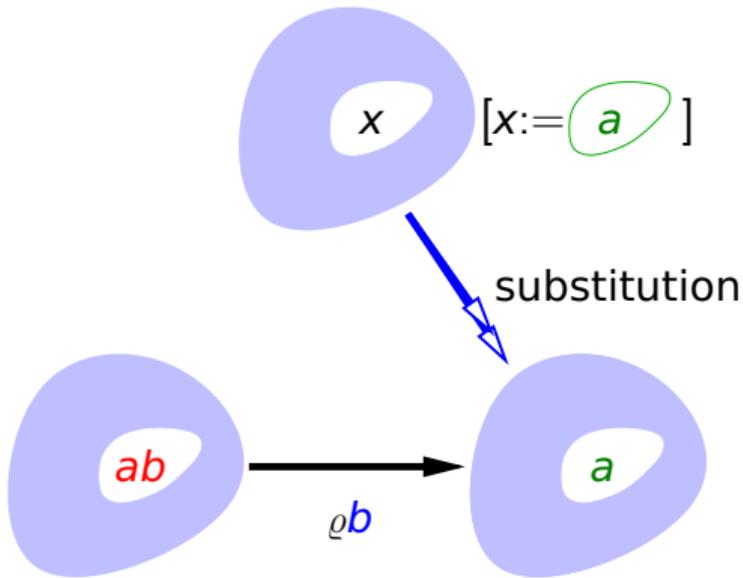
matching for rewrite step $\varrho b : abb \rightarrow ab$ for structure xb and rule $\varrho : ab \rightarrow b$

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Structured rewriting

Definition (of structured rewriting modulo substitution calculus)

- **structures** over a signature having variables x, y, \dots over structures
- **substitution calculus** $\rightarrow_{\mathcal{SC}}$ on structures; \downarrow denotes \mathcal{SC} -normal form ($\mathcal{SC}\text{-nf}$)
- **rules** $\varrho : \ell \rightarrow r$ with ϱ in signature and ℓ, r structures
- **contexts** like $C[x], D[x, y]$ indicating variable occurrences
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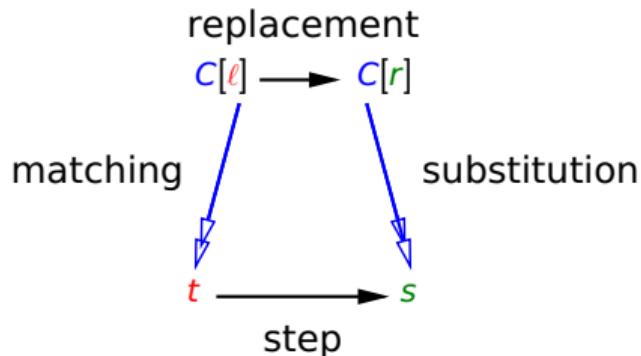
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Definition (of structured rewrite step)

step $C[\varrho] : s \rightarrow t$, for context C and structures s, t in SC -nf and rule $\varrho : \ell \rightarrow r$ if

$$s = C[\ell] \downarrow_{SC} \leftarrow C[\ell] \rightarrow_{\varrho} C[r] \rightarrow_{SC} C[r] \downarrow = t$$

Structured rewriting: step

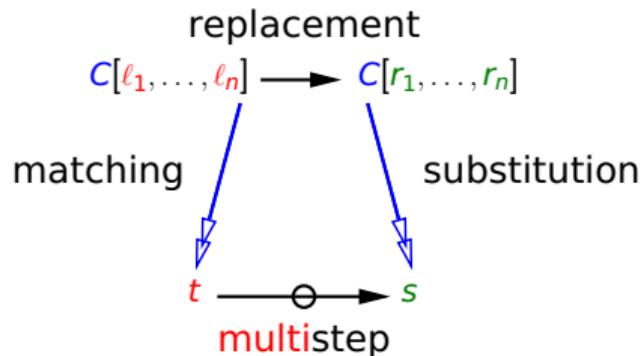


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Structured rewriting: multistep

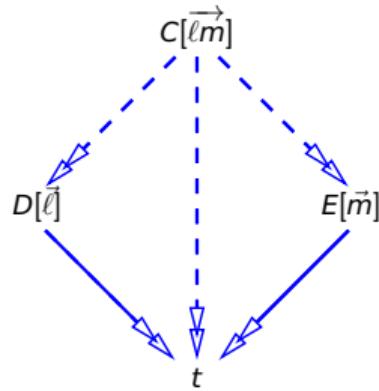


Definition (of structured rewrite multistep)

multistep $C[\vec{\varrho}] : s \multimap t$, for context C , structures s, t in \mathcal{SC} -nf, rules $\varrho_i : \ell_i \rightarrow r_i$ if

$$s = C[\ell_1, \dots, \ell_n] \downarrow_{sc} \Leftarrow C[\ell_1, \dots, \ell_n] \multimap_{\vec{\varrho}} C[r_1, \dots, r_n] \Rightarrow_{sc} C[r_1, \dots, r_n] \downarrow = t$$

Structured Orthogonality



occurrences of redex-patterns can be abstracted from **in parallel**
($\vec{\ell}m$ is union of $\vec{\ell}$ and \vec{m})

Substitution Calculi (SC)

Example

- (higher-order) term rewriting: simply typed $\lambda\alpha\beta\eta$ -calculus

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Axioms on substitution calculi (SC)

Axioms

- A1 the SC is **complete** (confluent and terminating)
- A2 the SC is only needed for gluing (rules are **closed**)
- A3 multisteps can be sequentialised / serialised (some **development**)

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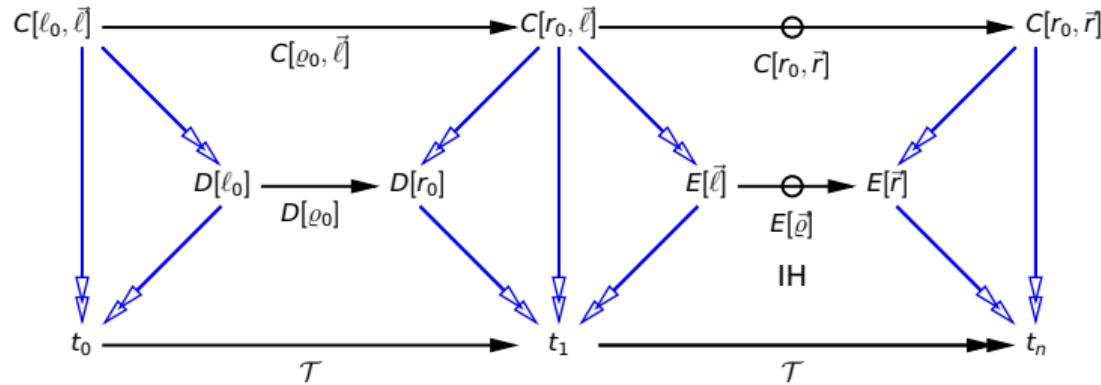
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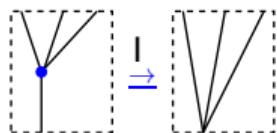
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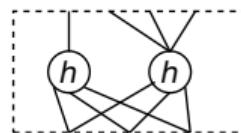
Termgraphs as structures

Instance

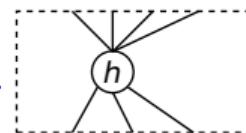
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I
⇒



C
⇒



E
⇒

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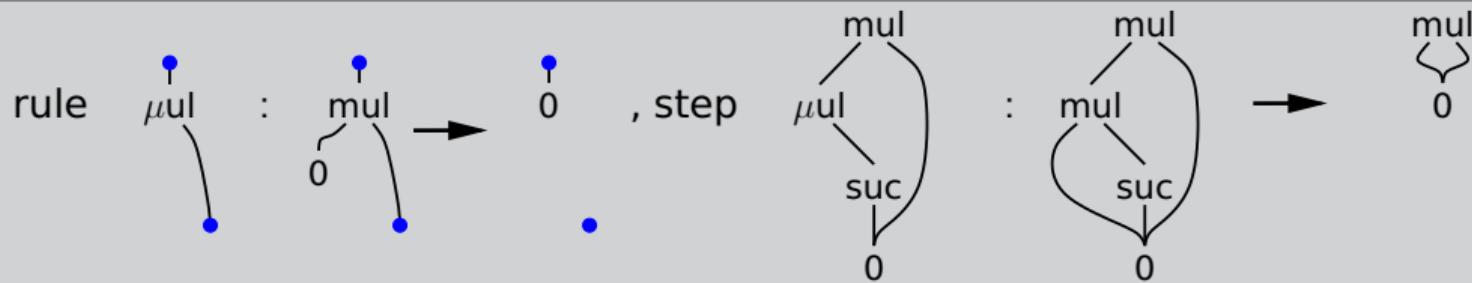
- structures: rooted dags over a signature extended with **indirection** •
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-
- λ -calculus has implicit **garbage collection**
 - termgraphs in λ -normal form are **maximally** shared

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Example (of termgraph step modulo λ)

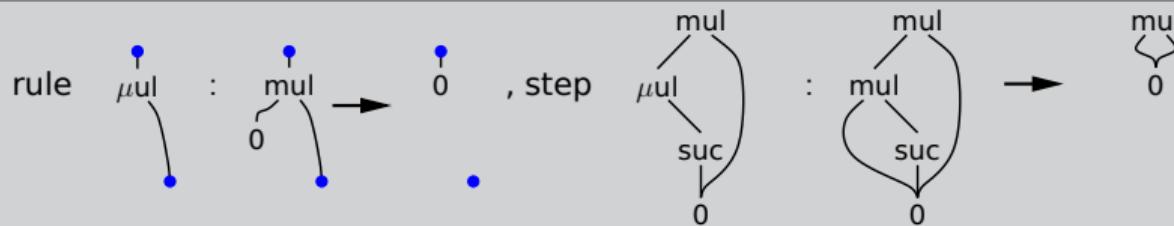


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Example (of termgraph step modulo λ)



cost: substitution may knock-on erasures and sharing (bounded by graph size)

Conclusions

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(structure obtained by simultaneous substitution redex-patterns by **SC**)

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CL

$\lambda\beta$

GoL

•

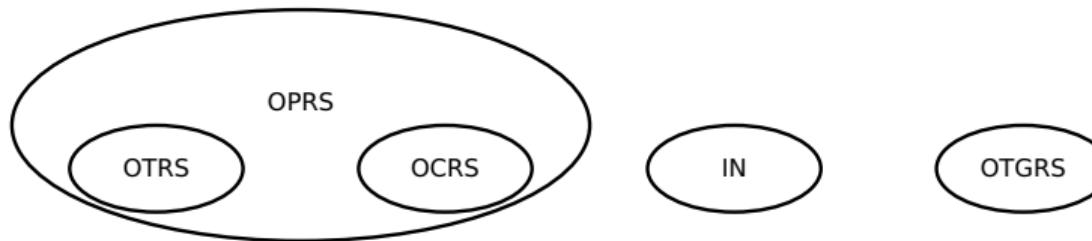
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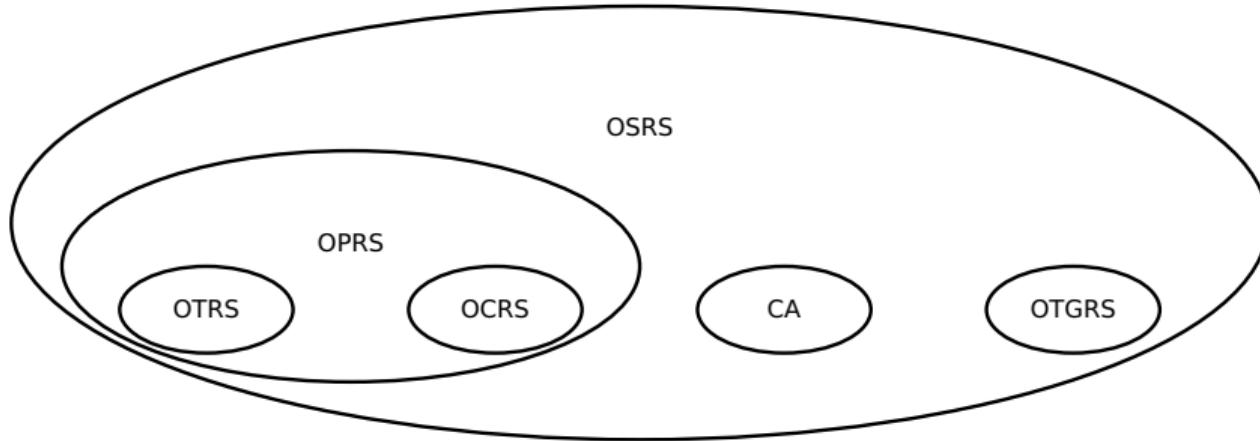
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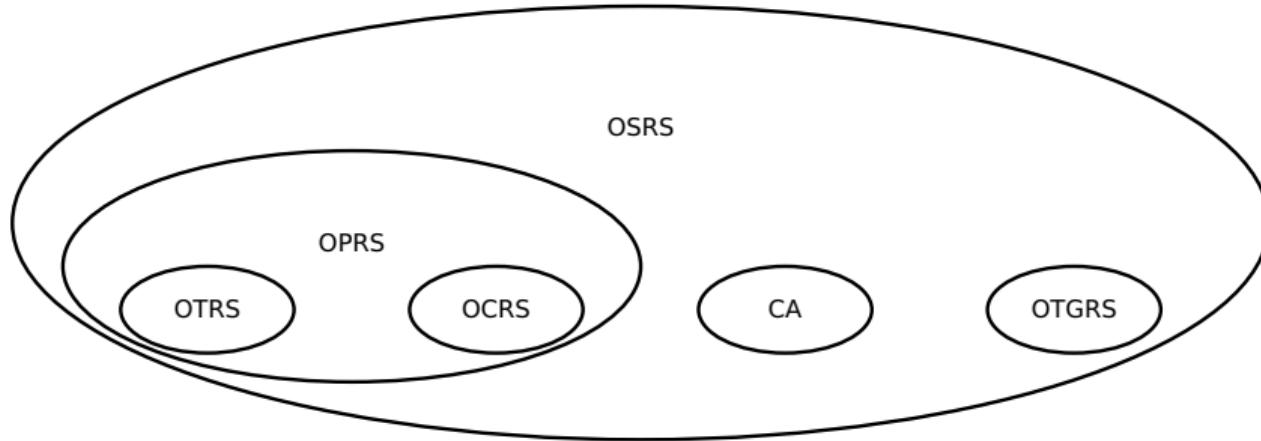
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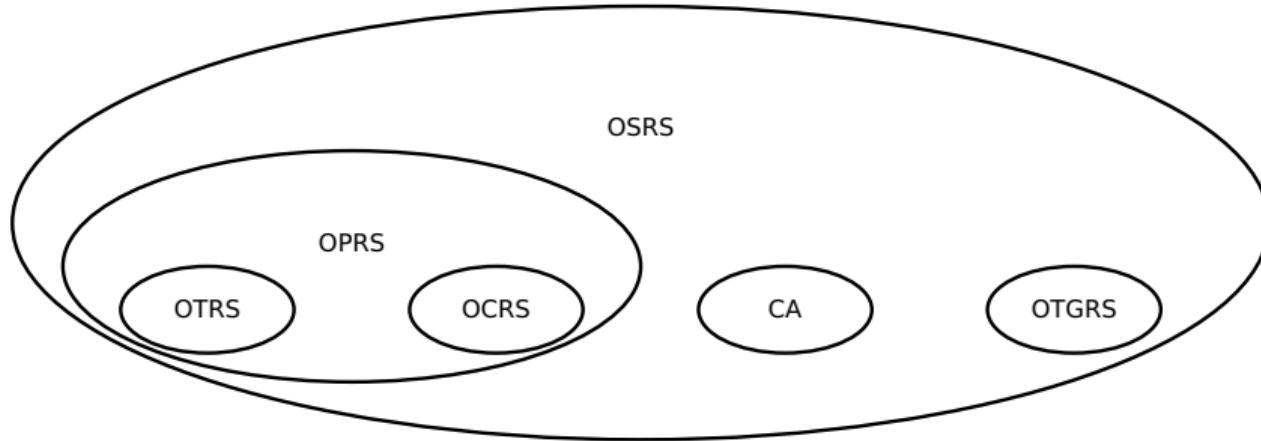
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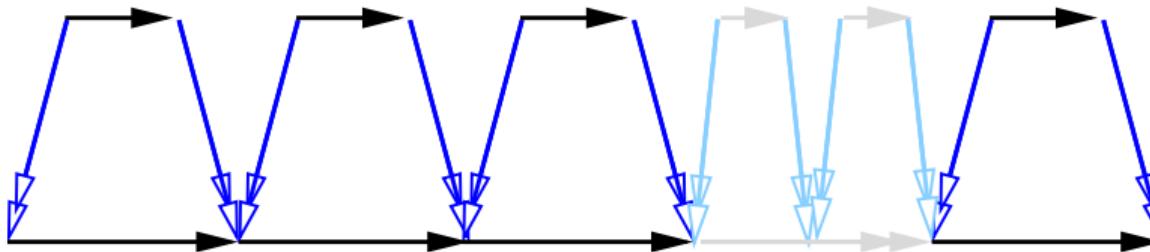


- steps as structures
- theory of orthogonality

Exploiting substitution calculi to redistribute steps

Cost-saving observations

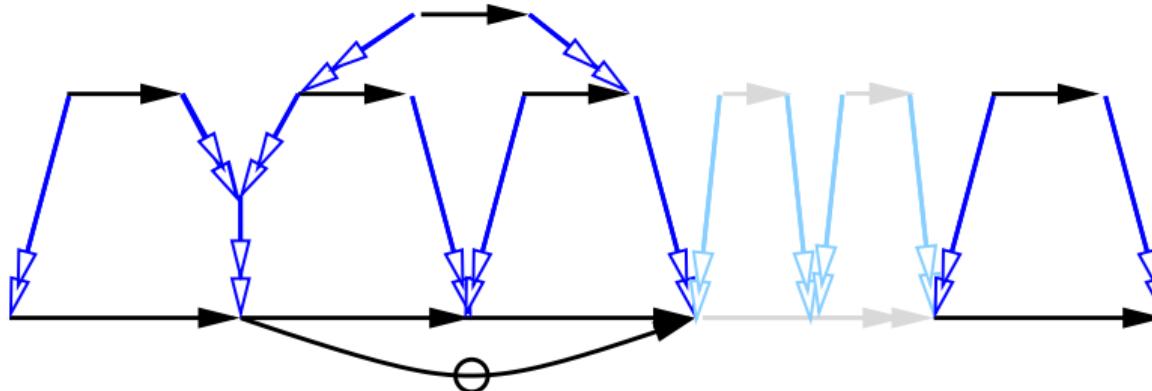
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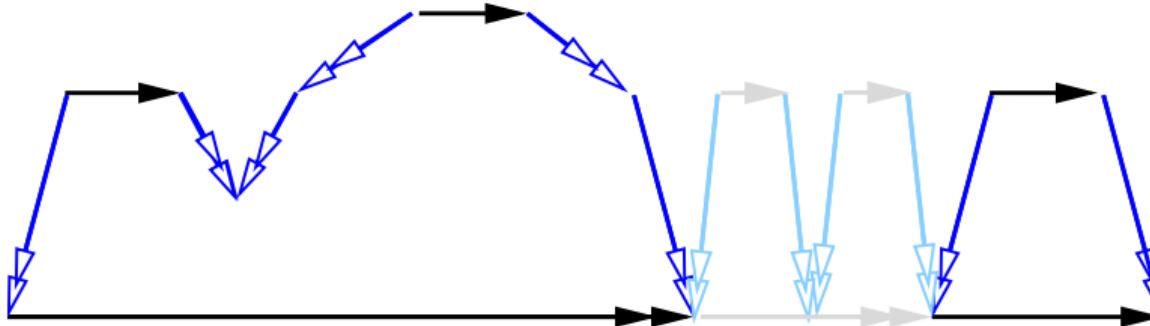
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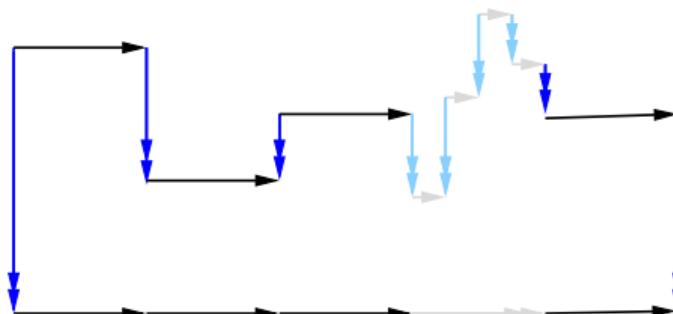
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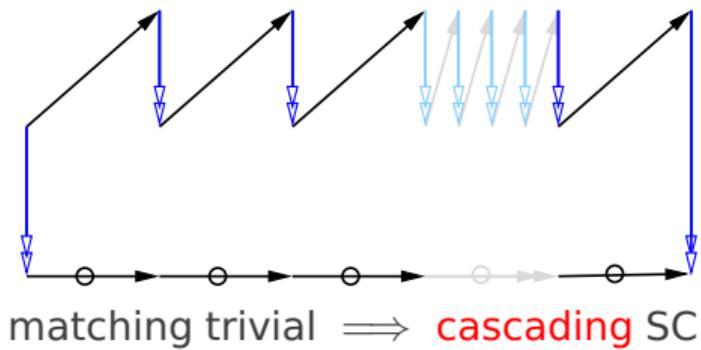


deterministic rewrite system \Rightarrow **dipper SC**

Exploiting substitution calculi to redistribute steps

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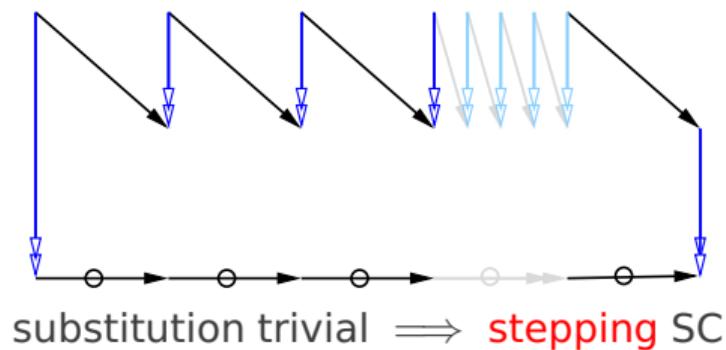
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Implementation of

Motivation for

- TRSs interesting as target when **compiling** functional programming
- **matching** is simple (Ihss linear and exactly two function symbols; cascading)
- **substitution** can be made to avoid replication by termgraph rewriting
- cost (time and space) **linear** by combining the above two items

Applicative Inductive Interaction System (👁)

Definition (of an 👁)

TRS with signature $\{@/2, C_1/n_1, C_2/n_2, \dots\}$ and for each i , rule $\varrho_{C_i}(x_0, x_1, \dots, x_{n_i})$:

$$C_i(x_1, \dots, x_{n_i}) x_0 \rightarrow r$$

right-hand side r constructed from variables, $@$, and **constructors** C_j , for $j < i$

notational conventions:

- **application** $@$ infix, implicit as in Combinatory Logic (CL)
- usually leave arguments of rule symbols implicit (derivable from lhs of rule)

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Example (of an 👁)

$$\begin{aligned} \varrho_C(x_0, x_1, x_2) : C(x_1, x_2) x_0 &\rightarrow x_1(x_2 x_0) \\ \varrho_D(x_0) : D x_0 &\rightarrow C(x_0, x_0) \end{aligned}$$

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Example (👁 confluent (via orthogonality), Turing complete (via CL))

$$\begin{array}{lll} \varrho_{S_2} : S_2(x_1, x_2) x_0 \rightarrow (x_1 x_0)(x_2 x_0) & \varrho_{K_1} : K_1(x_1) x_0 \rightarrow x_1 \\ \varrho_{S_1} : S_1(x_1) x_0 \rightarrow S_2(x_1, x_0) & \varrho_K : K x_0 \rightarrow K_1(x_0) \\ \varrho_S : S x_0 \rightarrow S_1(x_0) \end{array}$$

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Example (two-step reduction) $(\varrho_C(D, D)z_1) \cdot (\varrho_D(D z_1))$

$$C(D, D)z_1 \rightarrow_{\varrho_C(z_1, D, D)} D(Dz_1) \rightarrow_{\varrho_D(Dz_1)} C(Dz_1, Dz_1)$$

duplicates Dz_1 redex; ends in (constructor C -)head normal form

Implementing

Question (on implementation of)

do  have an efficient (hyper-(head-))normalising reduction strategy?

efficient in time / space

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Observations (explored further below)

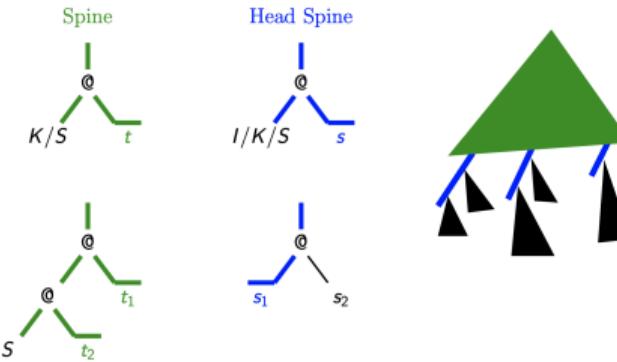
- **spine** strategy is (hyper-(head-))normalising since every  is left-normal orthogonal TRSs
- **matching**-phase is trivial (since lhss left-linear, comprise two symbols)
substitution-phase not trivial (rhss may replicate arguments)

Spine strategy

Definition

Spine: if head normal form recur, else **Head Spine**.

Head Spine: recur on left.



Example

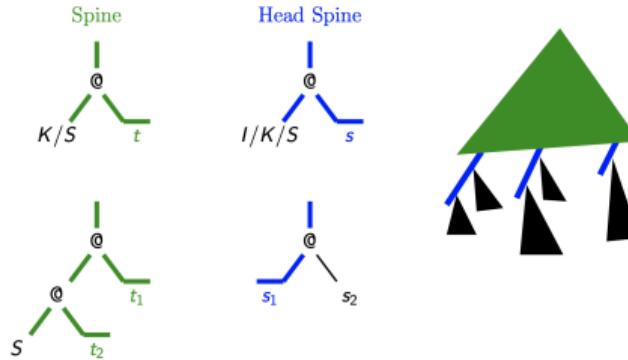
$S(SISI)(K(IK))$

Spine strategy

Definition

Spine: if head normal form recur, else Head Spine.

Head Spine: recur on left.



Lemma

Every term not in normal form has Spine redex

Spine strategy for

Definition (of spine for -terms)

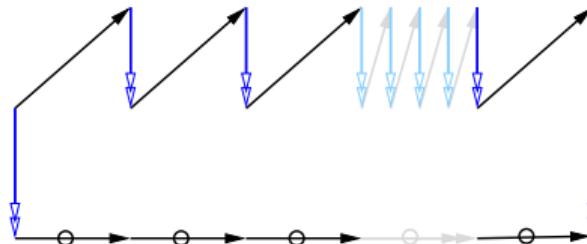
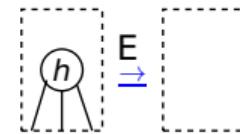
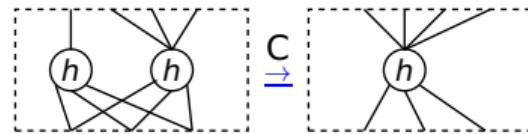
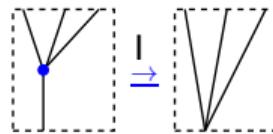
- spine: t or $x t_1, \dots, t_n$
- head spine: x or $C(t_1, \dots, t_n)$ or ts

Lemma (normalising strategy)

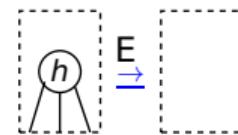
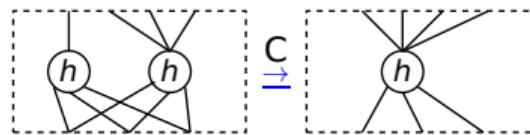
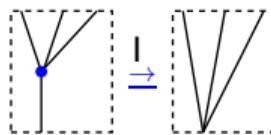
- every term not in normal form has redex-pattern on spine, so a **strategy**
- spine strategy is a **normalising** strategy having random descent
- random descent: reductions to normal form have same length / measure
- leftmost–outermost strategy is a **spine**-strategy

Implementing in termgraphs by cascading

Recall termgraph rewriting with λ -calculus as SC, and cascading:

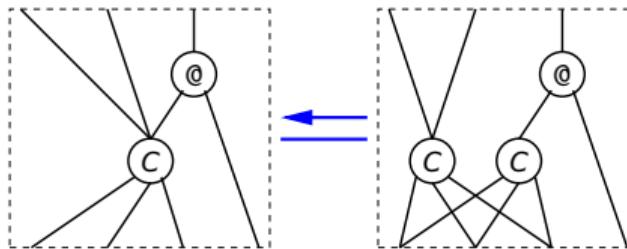


Implementing \odot in termgraphs by cascading \times



Idea (minimal unsharing; Wadsworth's admissibility)

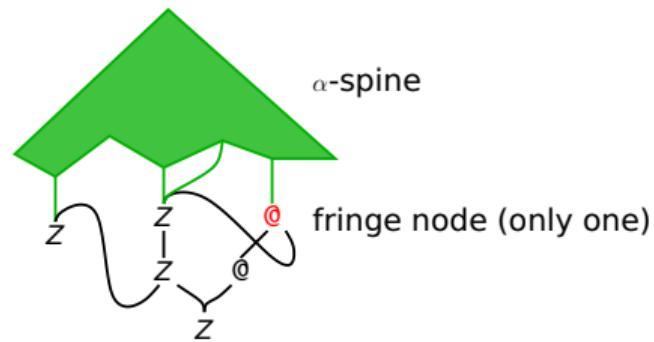
- instead of maximal sharing, unshare only constructors in redex-patterns
- goal: amortise cost of \times -steps by charging \odot -steps



Termgraph α -spine strategy

Definition (of head / α -)spine nodes)

- spine: head spine, or such in normal form (hsnf) with spine vertebrae
- head spine: path from root through bodies of @, • to variable or constructor
- α -spine: spine prefix; fringe nodes: nodes covered by α -spine



Termgraph α -spine strategy

Definition (of (head / α -)spine nodes)

- spine: head spine, or such in normal form (hsnf) with spine vertebrae
- head spine: path from root through bodies of @,• to variable or constructor
- α -spine: spine prefix; fringe nodes: nodes covered by α -spine

Lemma

every termgraph not in normal form has a spine redex-pattern, and any (proper) α -spine prefix of it has a non-empty fringe

Proof.

by minimality using acyclicity of termgraphs



Termgraph α -spine strategy

Definition (of (head / α)-spine nodes)

- spine: head spine, or such in normal form (hsnf) with spine vertebrae
- head spine: path from root through bodies of @,• to variable or constructor
- α -spine: spine prefix; fringe nodes: nodes covered by α -spine

Definition (of α -spine strategy)

reduce head spines from fringe nodes to hsnf and recurse on spine vertebrae

by lemma always some step possible until whole termgraph is α -spine (in nf)

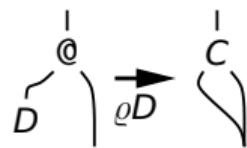
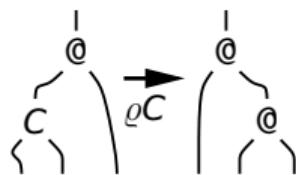
Example α -spine reduction (Java code \Rightarrow dot \Rightarrow graphs)

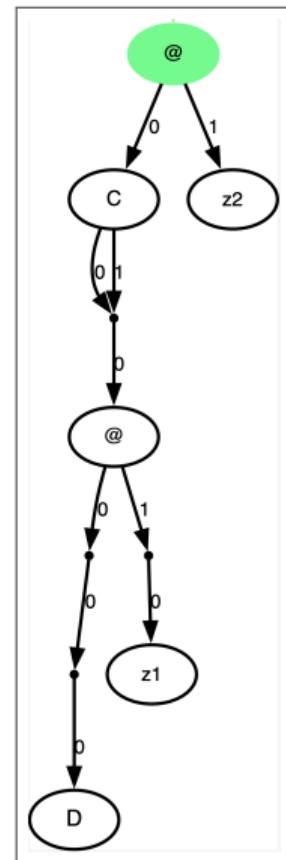
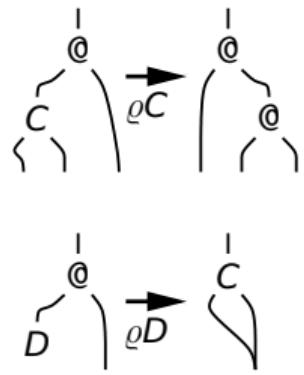
recall \odot -rules:

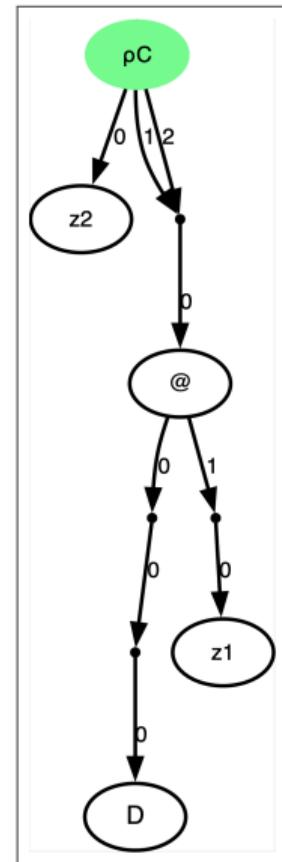
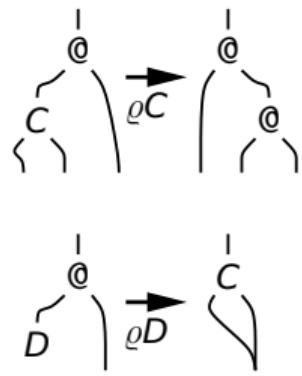
$$\varrho_C : C(x_1, x_2) \ x_0 \rightarrow x_1 (x_2 \ x_0)$$

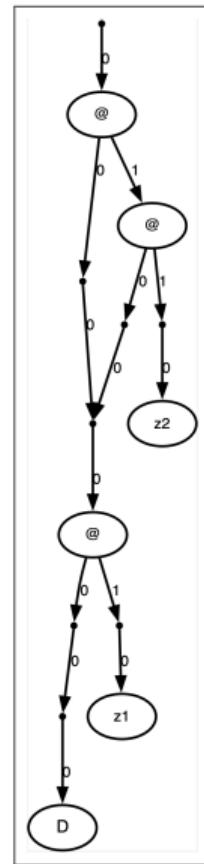
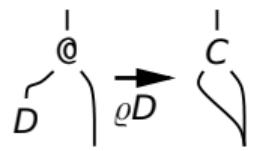
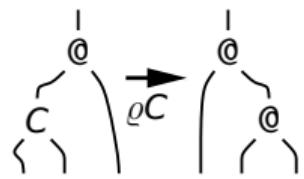
$$\varrho_D : D \ x_0 \rightarrow C(x_0, x_0)$$

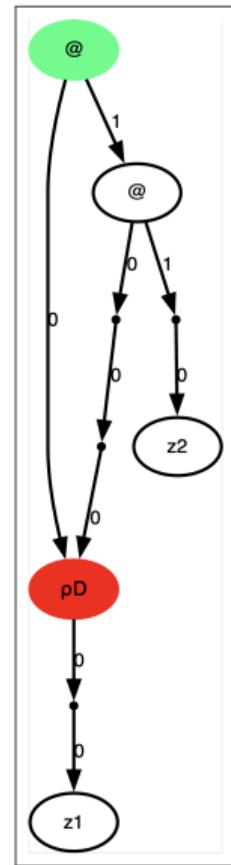
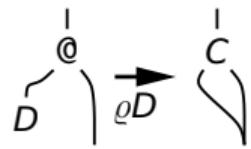
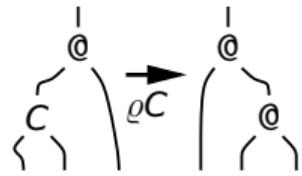
as termgraph rules:

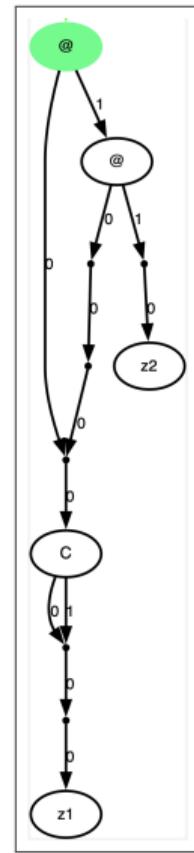
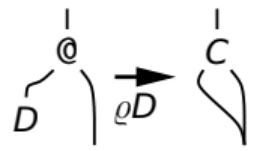
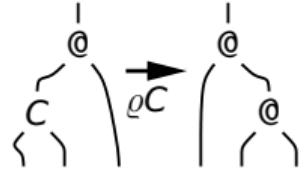


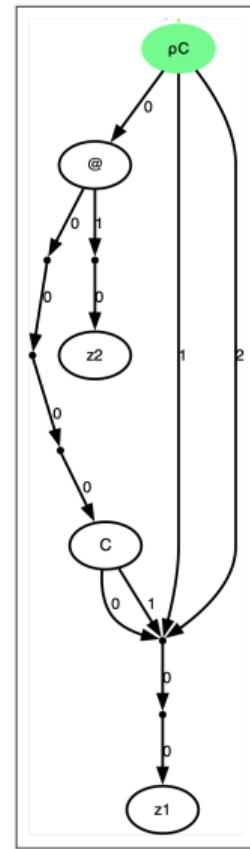
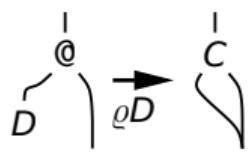
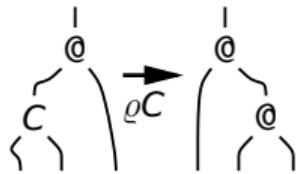


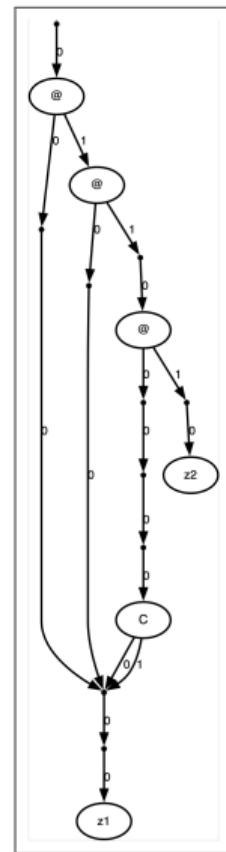
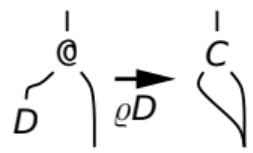
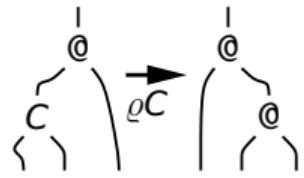


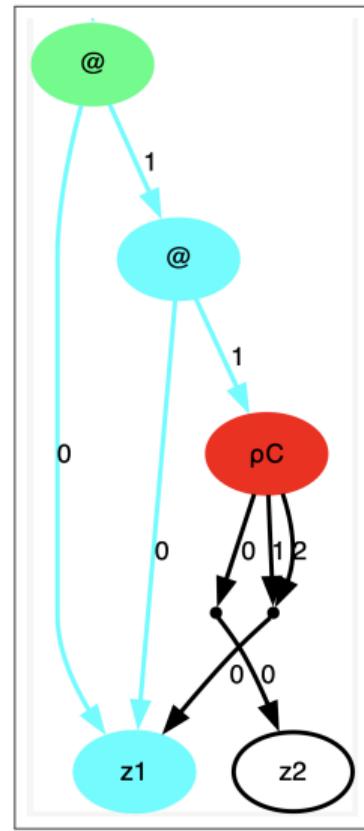
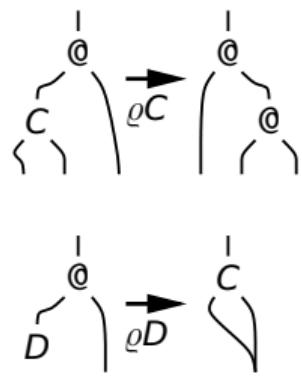


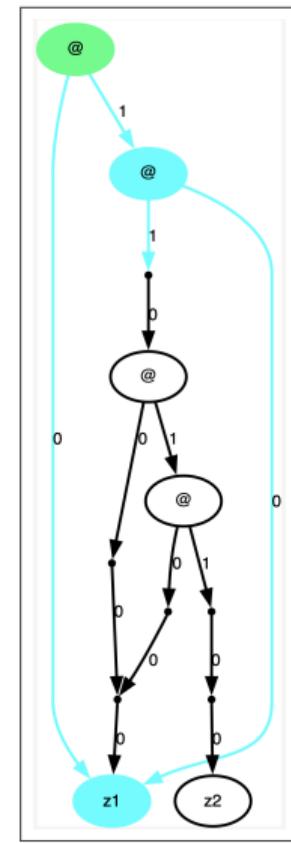
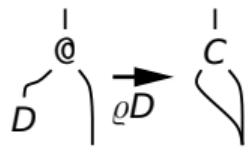
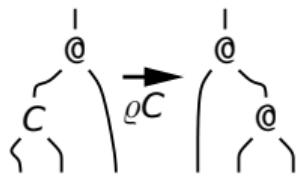


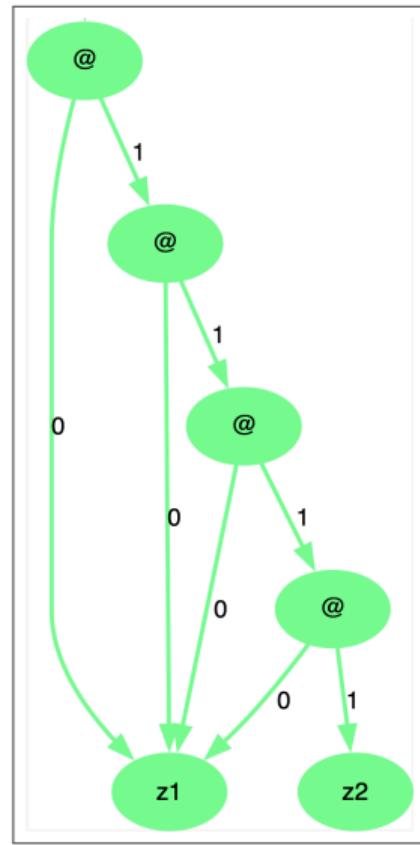
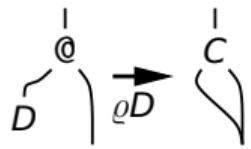
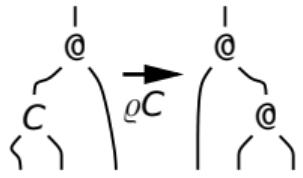












Correspondence between termgraphs and terms

Theorem

- α -spine step maps to multistep having at least one spine redex ((hyper-)(head) normalising strategy)

Correspondence between termgraphs and terms

Theorem

- *α -spine step maps to multistep having at least one **spine** redex*
- *multistep comprises redex-patterns having same **creation history** (**family**-step, so **optimal** strategy (qua horizontal sharing))*

Correspondence between termgraphs and terms

Theorem

- α -spine step maps to multistep having at least one spine redex
- multistep comprises redex-patterns having same creation history
- cost and size linear in number of termgraph steps
(graph grows linearly; strategy visits links only few times à la DFS)

Correspondence between termgraphs and terms

Theorem

- α -spine step maps to multistep having at least one spine redex
 - multistep comprises redex-patterns having same creation history
 - cost and size linear in number of termgraph steps
-
- α -spine reduction length not longer than spine
( are orthogonal for which doing more in parallel is better)
 - number of spine steps always the same (random descent property)
 - reduction length not longer than that of leftmost–outermost strategy

Decompiling to the λ -calculus

Definition (of tree homomorphism $(\)_\lambda$ into λ -terms)

$$C_i(t_1, \dots, t_n) \mapsto (\lambda x_0.(r)_\lambda)[x_1, \dots, x_n := t_1, \dots, t_n]$$

- capture avoiding substitution (avoid capture of free variables of the t_k)
- $(t[\vec{x} := \vec{t}])_\lambda = (t)_\lambda[\vec{x} := \overrightarrow{(t)_\lambda}]$ (substitution lemma)
- well-defined by  being inductive (in r only C_j for $j < i$ may occur)

Decompiling to the λ -calculus

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Example (of tree homomorphism for example

rule	tree homomorphism
$\varrho_C : C(x_1, x_2)x_0 \rightarrow x_1(x_2x_0)$	$C(t_1, t_2) \mapsto \lambda x_0.t_1(t_2x_0)$
$\varrho_D : D x_0 \rightarrow C(x_0, x_0)$	$D \mapsto \lambda x_0 x'_0.x_0(x_0 x'_0)$

$$\text{as } D \mapsto \lambda x_0.(C(x_0, x_0))_\lambda = \lambda x_0.(\lambda x_0.x_1(x_2x_0))[x_1, x_2 := x_0, x_0] =_\alpha \lambda x_0 x'_0.x_0(x_0 x'_0)$$

Decompiling to the λ -calculus

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- D maps to the Church numeral $\underline{2}$ for $\underline{n} := \lambda s z. s^n z$
- S maps to $\lambda xyz. xz(yz)$ and K to $\lambda xy. x$ as expected / hoped for

Decompiling to the λ -calculus

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Lemma (implementation of  by $\lambda\beta$)

$$\text{if } t \rightarrow_{\circledast} s \text{ then } (t)_\lambda \rightarrow_\beta (s)_\lambda$$

Decompiling to the λ -calculus

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Example (of implementing $D(Dz_1) \rightarrow_{\circledast} C(Dz_1, Dz_1)$)

$$(D(Dz_1))_\lambda = (\lambda xy.x(xy))(\underline{D}z_1) \rightarrow_{\beta} \lambda y.\underline{D}z_1(\underline{D}z_1 y) =_{\alpha} (C(Dz_1, Dz_1))_\lambda$$

Compiling the λ -calculus to

Lemma (??)

if $M \rightarrow_{\beta} N$ then $(M) \otimes \rightarrow_{\mathcal{I}} (N) \otimes$ for \mathcal{I} an 

Compiling the λ -calculus to

Lemma (??)

if $M \rightarrow_{\beta} N$ then $(M)\circlearrowleft \rightarrow_{\mathcal{I}} (N)\circlearrowleft$ for \mathcal{I} an 

- no implementation $(\)\circlearrowleft$ can achieve that, for **full β**
- for **weak β** ($w\beta$; contract redex if has no variable bound outside) it **can**:
- weak β is **first-order** (α -conversion never needed), and
- weak β basis of **Haskell** (no contraction under λ , but that's not confluent)

Compiling the λ -calculus to

Lemma (??)

if $M \rightarrow_{\beta} N$ then $(M)\circledcirc \rightarrow_{\mathcal{I}} (N)\circledcirc$ for \mathcal{I} an 

Definition (of mapping a λ -term to a pair of an and term in it)

- $(x)\circledcirc := (\emptyset, x)$
- $(M_1 M_2)\circledcirc := (\mathcal{I}_1 \cup \mathcal{I}_2, t_1 t_2)$, where $(\mathcal{I}_i, t_i) := (M_i)\circledcirc$ for $i \in \{1, 2\}$
- $(\lambda x.M)\circledcirc := (\{\varrho_C : C(z_1, \dots, z_n) x \rightarrow r[z_1, \dots, z_n]\} \cup \mathcal{I}, C(t_1, \dots, t_n))$, where
 $(\mathcal{I}, r[t_1, \dots, t_n]) := (M)\circledcirc$, r **skeleton**, t_i **maximal x -free** subterm occurrences

do allow components to share constructors when these have the same rules
compilation known variation on the **abstraction algorithm** (custom combinators)

Compiling the λ -calculus to

Definition (of -lifting)

- $(x)_{\circlearrowright} := (\emptyset, x)$
- $(M_1 M_2)_{\circlearrowright} := (\mathcal{I}_1 \cup \mathcal{I}_2, t_1 t_2)$, where $(\mathcal{I}_i, t_i) := (M_i)_{\circlearrowright}$ for $i \in \{1, 2\}$
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Example (of $(\underline{x})_{\circlearrowright}$; recall $\underline{x} := \lambda xy.x(xy)$)

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Example (of $(\underline{x})_{\circlearrowright}$; recall $\underline{x} := \lambda xy.x(xy)$)

- $(x(x y))_{\circlearrowright} := (\emptyset, x(x y))$ using only first two items of the definition

Compiling the λ -calculus to

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- $(x(xy))_{\circlearrowright} := (\emptyset, x(xy))$, so
- $(\lambda y.x(xy))_{\circlearrowright} := (\{\varrho_C : C(z_1, z_2) y \rightarrow z_1(z_2 y)\}, C(x, x))$
since x and x are maximal y -free subterm occurrences in $x(xy)$

Compiling the λ -calculus to

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since no x -free subterm occurrence in $C(x, x)$

Compiling the λ -calculus to

Definition (of -lifting)

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Compiling the λ -calculus to

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Lemma (-lifting)

if $M \rightarrow_{w\beta} N$ then $(M)_{\circlearrowright} \rightarrow_{\mathcal{I}} (N)_{\circlearrowright}$ for some -lifting \mathcal{I} .

Proof.

if $M \rightarrow_{w\beta} N$ and $(\mathcal{I}, t) := (M)_{\circlearrowright}$ then $t \rightarrow_{\mathcal{I}} s$ for some $(\mathcal{I}', s) := (N)_{\circlearrowright}$ with $\mathcal{I} \supseteq \mathcal{I}'$ \square

Implementing $w\beta$ -reduction via

Observations

- $w\beta$ never needs α -conversion, so essentially first-order (that's why it was chosen for Haskell)
- indeed, any λ -term M compiles to an  and term t in it, such that rewriting from M respectively t is **isomorphic**
- compilation (finding mfss) can be done efficiently in time and space

Implementing $w\beta$ -reduction via

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- compilation can be done efficiently in time and space

Corollary

results for  carry over to $w\beta$

Implementing $w\beta$ -reduction via

Observations

- $w\beta$ never needs α -conversion, so essentially first-order
- indeed, any λ -term M compiles to an  and term t in it, such that rewriting from M respectively t is **isomorphic**
- compilation can be done efficiently in time and space

Corollary

results for   carry over to $w\beta$

Perspective

Haskell is based on orthogonal 1st-order term rewriting ( ), not λ -calculus

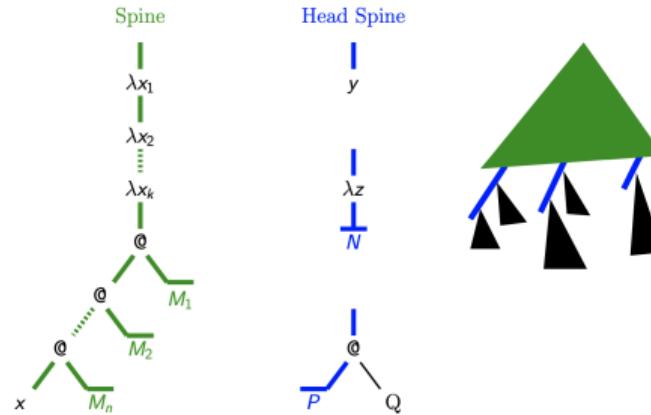
What about Spine strategies for **full** β ?

Spine strategy

Definition

Spine: if head normal form recur, else Head Spine.

Head Spine: recur on left.



Example

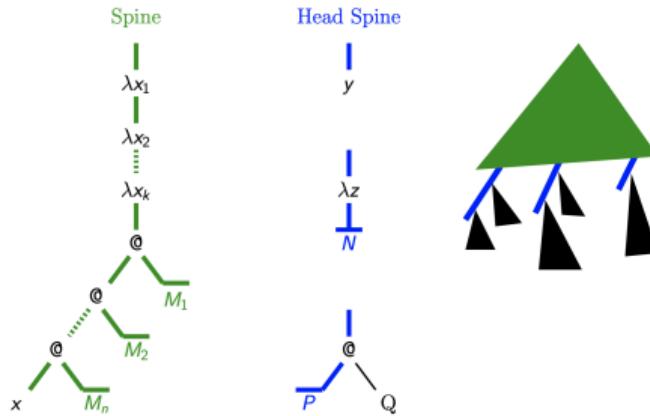
$x((\lambda x.(\lambda z.zz))y)(xx)(II)$

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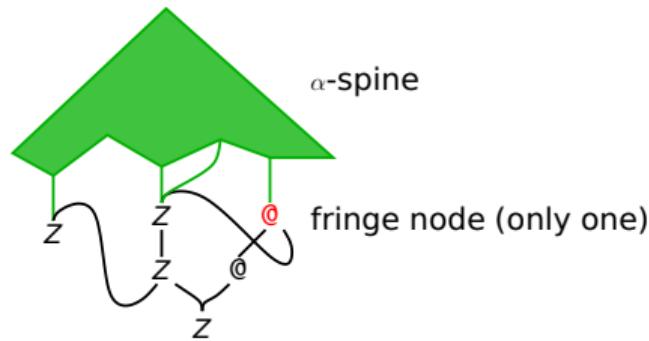
Lemma

Every term not in normal form has Spine redex

Termgraph α -spine strategy **adapted** to spine- β

Definition (of head / α -)spine nodes)

- spine: head spine, or such in normal form (hsnf) with spine vertebrae
- head spine: path from root through bodies of $@, \bullet$ to variable or constructor
- α -spine: spine prefix; fringe nodes: nodes covered by α -spine



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- head spine: path from root through bodies of @,• to variable or constructor
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Definition (of α -spine strategy)

reduce head spines from fringe nodes to hsnf and recurse on spine vertebrae
rewrite fringe constructor $C(t_1, \dots, t_n)$ to $\lambda x.C(t_1, \dots, t_n)x$ for x fresh

idea: a combinator on fringe / α -spine is a λ -abstraction (in the β -nf), so may iterate on its body, effectuated in \odot by suppling a fresh variable

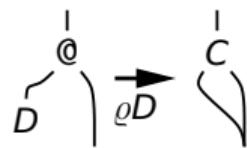
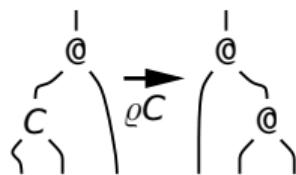
Example α -spine reduction (Java code \Rightarrow dot \Rightarrow graphs)

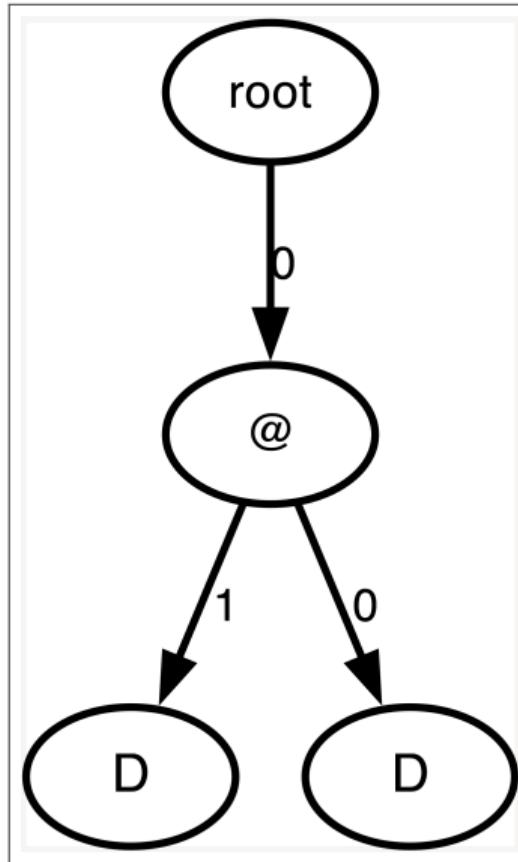
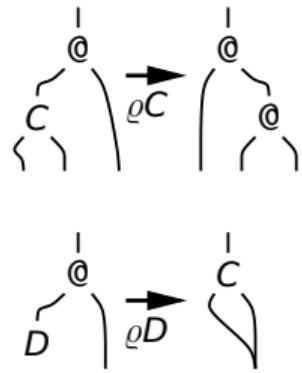
recall \odot -rules:

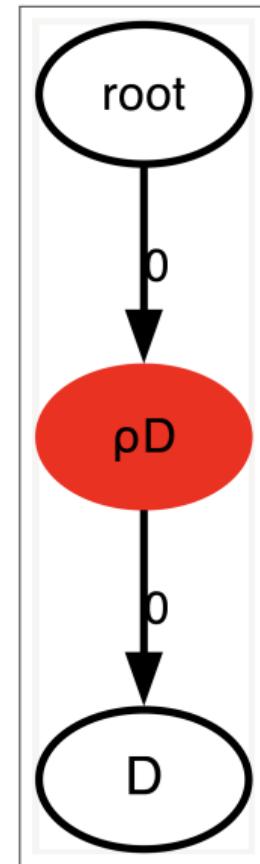
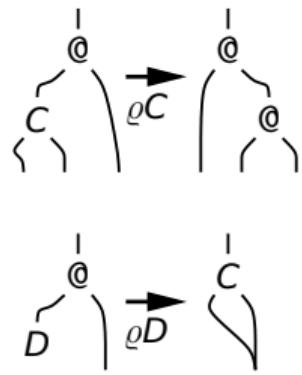
$$\varrho_C : C(x_1, x_2) \ x_0 \rightarrow x_1 (x_2 \ x_0)$$

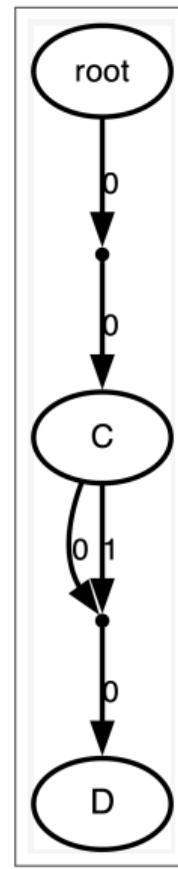
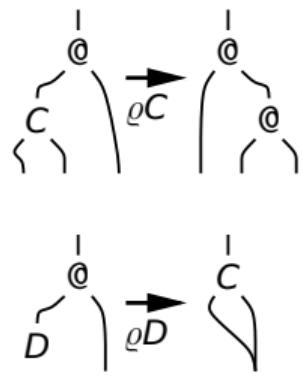
$$\varrho_D : D \ x_0 \rightarrow C(x_0, x_0)$$

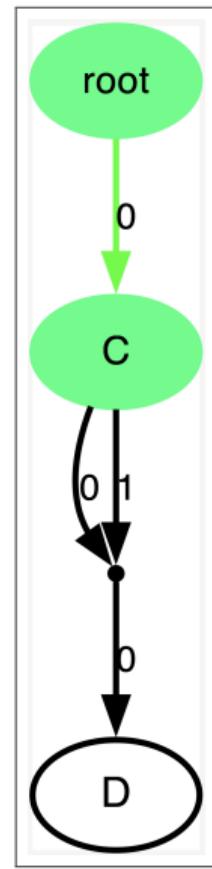
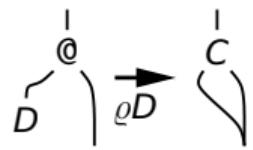
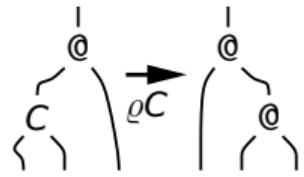
and termgraph rules:

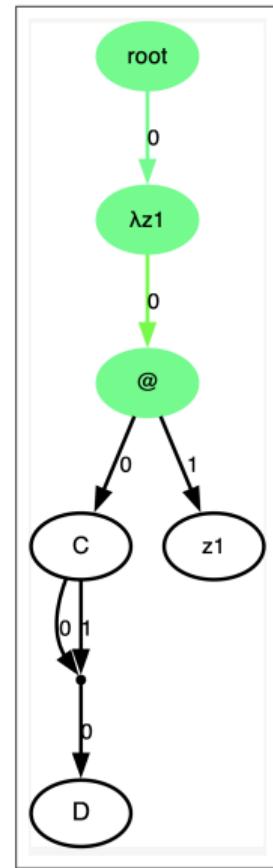
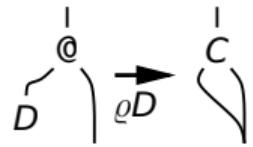
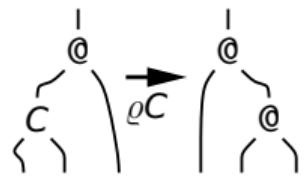


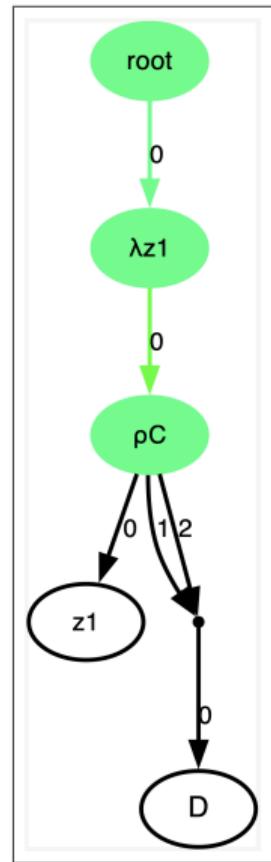
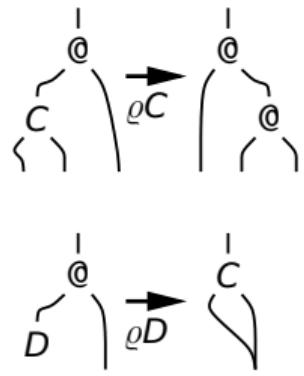


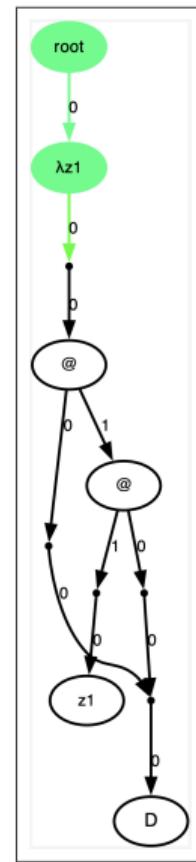
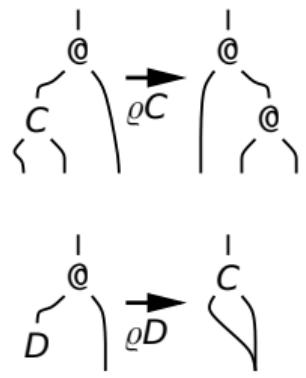


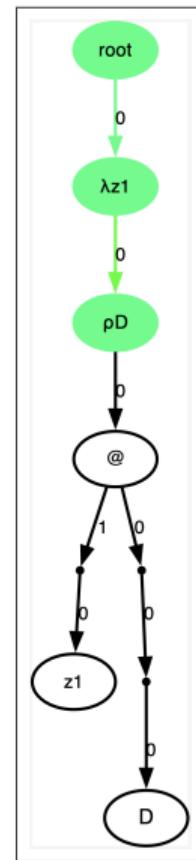
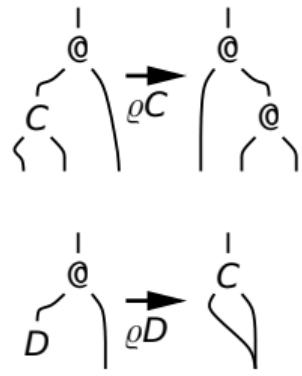


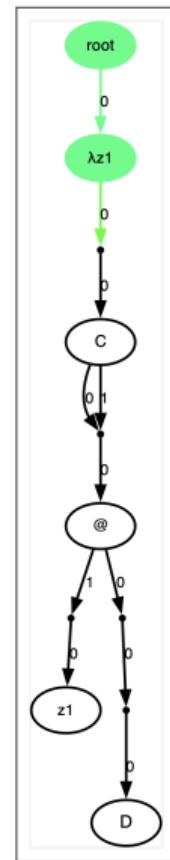
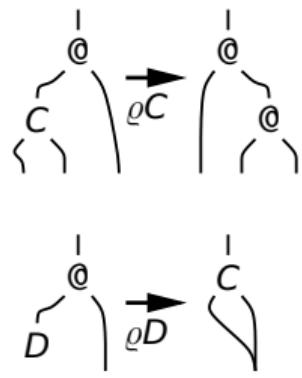


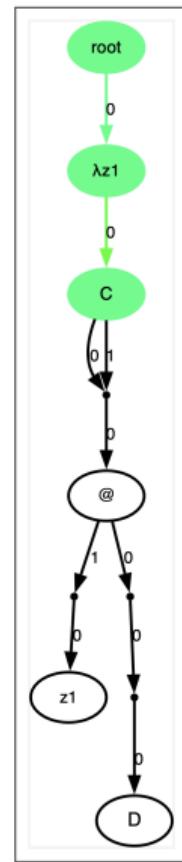
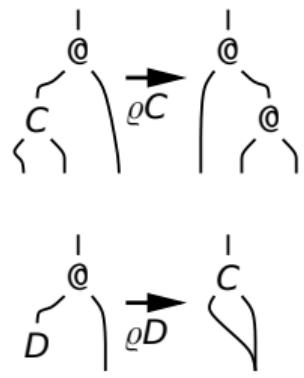


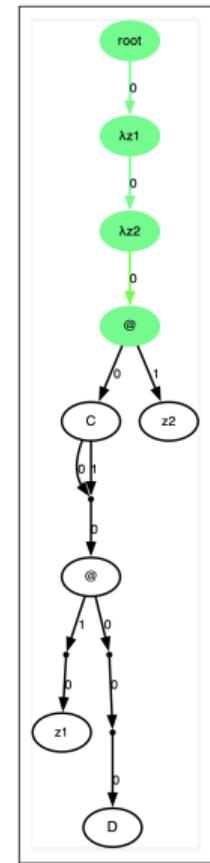
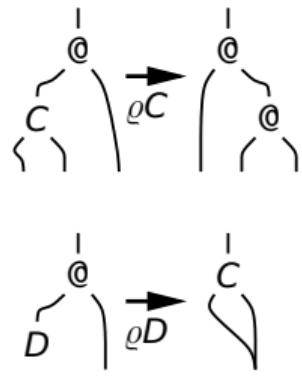












Implementing some **spine**- β -strategy via

Observations

- β can be implemented via **iterating** $w\beta$ (for **same** )

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- cost of constructor-steps **amortised** by other steps, for the same reason

Implementing some **spine**- β -strategy via

Corollary

results for $w\beta$ carry over to **spine**- β , in particular that the cost of reduction to β -normal form is **linear** in the number of leftmost-outermost β -steps to β -nf

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Perspective

classical 1st-order term(graph) rewrite theory trivialises (extant) cost-analyses

Implementing β -reduction

Complexity unavoidable

convertibility of simply typed λ -calculus is non-elementary. Upshot: whatever way you slice the pie (split into β and substitutions) that can't be overcome.

Implementing β -reduction

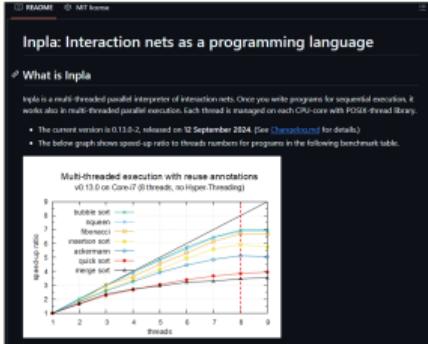
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Non-consequence

Optimal reduction for full β is non-interesting. By the same token all implementations shown here would be non-interesting as they are optimal but for $w\beta$.

Springtime for interaction nets!



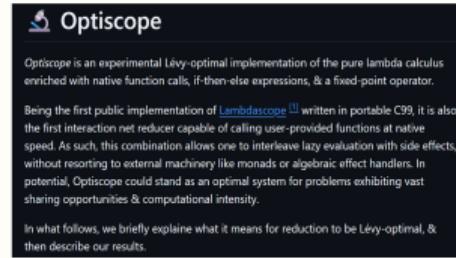
github.com/inpla/inpla



vine.dev



higherorderco.com



github.com/etiams/optiscope

More conclusions

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(how to slice the pie, between **replacement** and **substitution**)

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- α -spine is **1st-order optimal** for \odot , $w\beta$ and β
(only need skeletons present in **initial** λ -term; no **creation** of such)

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(former based on **path-compression** of in-edges of \bullet -nodes)

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- ➒ Grégoire, Leroy (2002): β via **iterated** $w\beta$
- ➓ Blanc, Lévy, Maranget (2005): **$w\beta$ -family, implemented here** (Wadsworth)

Contributions

- ① concept of **substitution calculus** (1994)
- ② optimal implementation of $\text{Imo-}\beta$ -family by **scope** nodes (2004)
- ③ $w\beta$ being **isomorphic** to orthogonal TRS, given a λ -term (2005)
- ④ optimality of $w\beta$ being an **instance** of optimality of orthogonal TRSs (2005)
- ⑤ the **α -spine** strategy for  (2024)
- ⑥ Haskell **code** implementing $w\beta$ into an  and vice versa (2024);
- ⑦ **linear** TGRS implementation of  / $w\beta$ / **spine**- β (2024)
- ⑧ Java **code** for that implementation (2025)
- ⑨ **naming** applicative inductive interaction systems  (2025)

Amortised complexity

Idea

measure complexity by averaging over **reductions** (Tarjan)
(instead of measuring per **step**)

Amortised complexity

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measure complexity by averaging over reductions

Example

incrementing a counter in binary $011 \rightarrow_{\text{inc}} 111 \rightarrow_{\text{inc}} 0001 \rightarrow_{\text{inc}} 1001 \rightarrow_{\text{inc}} \dots$
(\rightarrow_{inc} -steps not **unit-time**; #bit-flips unbounded)

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Example (inc as term rewrite system; $\rightarrow_{\text{inc}} := \rightarrow_i \cdot \rightarrow_b^!$)

$$s \rightarrow_i i(s) \quad i(0(x)) \rightarrow_b 1(x) \quad i(1(x)) \rightarrow_b 0(i(x)) \quad i(\bullet) \rightarrow_b 1(\bullet)$$

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Banker's / accounting method in TRSs

Idea

distinguish between **charge** \hat{c} and **cost** c of steps. i -steps add charge to pay for cost of subsequent b -steps; **labelled** (\mathbb{N}) symbols as saving-account for charges

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(no need to label 0's or \bullet 's)

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- \hat{i} **initially** labels (closed): charge i with $\hat{2}$ and 1 with $\hat{1}$; **preserved** by steps

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- is a labelling: if $t \twoheadrightarrow s$, then $t^{\hat{i}} \twoheadrightarrow s^{\hat{i}}$
(in general: cost subtracted; charges must remain non-negative, cover costs of steps; $\hat{c} + \sum \ell \geq c + \sum r$ for each (linear) rule $\ell \rightarrow_{\hat{c},c} r$)

Banker's / accounting method in TRSs

Idea

distinguish between **charge** \hat{c} and **cost** c of steps. i -steps add charge to pay for cost of subsequent b -steps; **labelled** (\mathbb{N}) symbols as saving-account for charges

Example

$$s \rightarrow_{\hat{3},1} i^{\hat{2}}(s) \quad i^{\hat{2}}(0(x)) \rightarrow_{\hat{0},1} 1^{\hat{1}}(x) \quad i^{\hat{2}}(1^{\hat{1}}(x)) \rightarrow_{\hat{0},1} 0(i^{\hat{2}}(x)) \quad i^{\hat{2}}(\bullet) \rightarrow_{\hat{0},1} 1^{\hat{1}}(\bullet)$$

- \hat{i} initially labels: charge i with $\hat{2}$ and 1 with $\hat{1}$; preserved by steps
- is a labelling: if $t \twoheadrightarrow s$, then $t^{\hat{i}} \twoheadrightarrow s^{\hat{i}}$
- cost of reduction from t bounded by amortized cost, $\leq 3 \cdot \#i + \sum t^{\hat{i}}$