

# Knuth-Bendix Completion with Modern Termination Checking

Ian Wehrman  
ACL2 Seminar  
August 20, 2006

# Equational Automated Theorem Proving

- Want to solve the **word problem** automatically.
- Does a finite set of identities (a **theory**) entail another identity?

# Example Theory: Groups

- For example, the theory of **groups** (G) is axiomatized by three identities:

$$x * 1 \approx x \quad x * x^{-1} \approx 1 \quad x * (y * z) \approx (x * y) * z$$



# Word Problem for Groups

- The **word problem** for  $G$ : is an identity a consequence of the axioms of group theory?
- E.g., a left-inverse lemma:

$$G \models x^{-1} * x \stackrel{?}{\approx} 1$$

# Group Theory Proof

- Yes, there is a left inverse lemma! Here's the proof:

$$\begin{aligned}x^{-1} * x &\approx x^{-1} * (x * 1) && (1) \\&\approx x^{-1} * (x * (x^{-1} * (x^{-1})^{-1})) && (1) \\&\approx x^{-1} * ((x * x^{-1}) * (x^{-1})^{-1}) && (3) \\&\approx x^{-1} * (1 * (x^{-1})^{-1}) && (2) \\&\approx (x^{-1} * 1) * (x^{-1})^{-1} && (3) \\&\approx x^{-1} * (x^{-1})^{-1} && (1) \\&\approx 1 && (2)\end{aligned}$$

# Automating Group Theory Proofs

- Found proof fully automatically using a tool called **Waldmeister**.
- Implements an algorithm called **completion**.
- Input: theory\* (finite set of identities).
- Output: equivalent rewriting system (also called a **completion**) used to decide whether or not an identity holds.



# Group Theory Completion

$$1 * x \approx x \quad x^{-1} * x \approx 1 \quad (x * y) * z \approx x * (y * z)$$

- Input:  $G$
- Output: rewriting system equivalent to  $G$ .
- To **prove** an identity holds, rewrite both sides, then test for syntactic equality.

$$\begin{array}{lll} 1 * x \rightarrow x & x * 1 \rightarrow x & 1^{-1} \rightarrow 1 \\ (x^{-1})^{-1} \rightarrow x & (x * y)^{-1} \rightarrow y^{-1} * x^{-1} & (x * y) * z \rightarrow x * (y * z) \\ x * x^{-1} \rightarrow 1 & x^{-1} * x \rightarrow 1 & \\ x * (x^{-1} * y) \rightarrow y & x^{-1} * (x * y) \rightarrow y & \end{array}$$

# Group Theory Proofs Made Easy

- With a completion, it's easy to solve the word problem. Works every time.

$$\begin{aligned}(y * x) * (x * y)^{-1} &\rightarrow (y * x) * (x^{-1} * y^1) \\&\rightarrow y * (x * (x^{-1} * y^{-1})) \\&\rightarrow y * y^{-1} \\&\rightarrow 1 \\(y * x)^{-1} * (x * y) &\rightarrow (y^{-1} * x^{-1}) * (x * y) \\&\rightarrow y^{-1} * (x^{-1} * (x * y)) \\&\rightarrow y^{-1} * y \\&\rightarrow 1\end{aligned}$$



# Another Completion

$$\begin{array}{ll} 1 * x \approx x & (x * y) * z \approx x * (y * z) \\ x^{-1} * x \approx 1 & h(x * y) \approx h(x) * h(y) \end{array}$$

- Input: groups + one endomorphism ( $\text{GE}_1$ ).
- Output: completion for  $\text{GE}_1$ . Use this to solve the word problem for  $\text{GE}_1$ . Easy!

$$\begin{array}{ll} x * 1 \rightarrow x & x * (y * z) \rightarrow (x * y) * z \\ 1 * x \rightarrow x & (x * y)^{-1} \rightarrow x^{-1} * y^{-1} \\ x * x^{-1} \rightarrow 1 & (x * y) * y^{-1} \rightarrow x \\ x^{-1} * x \rightarrow 1 & (x * y^{-1}) * y \rightarrow x \\ 1^{-1} \rightarrow 1 & h(x)^{-1} \rightarrow h(x^{-1}) \\ h(1) \rightarrow 1 & h(x) * h(y) \rightarrow h(x * y) \\ (x^{-1})^{-1} \rightarrow x & (x * h(y)) * h(z) \rightarrow x * h(y * z) \end{array}$$

# Completion Fails!

$$1 * x \approx x$$

$$x^{-1} * x \approx 1$$

$$(x * y) * z \approx x * (y * z)$$

$$f(x * y) \approx f(x) * f(y)$$

$$g(x * y) \approx g(x) * g(y)$$

$$f(x) * g(y) \approx g(y) * f(x)$$

- Input: theory of groups + two commuting endomorphisms (CGE<sub>2</sub>).
- Output: ... **not a completion!**

# What Went Wrong?

- \* Beyond the theory, completion also needs an **order**, used to orient each identity.
- Waldmeister accepts an order as input, or makes a rough guess before starting.
- Works for simple theories; fails on  $\text{CGE}_2$ .
- Why? Waldmeister only looks for orders of a certain class, and no suitable order in that class exists for  $\text{CGE}_2$ .



# Life without a Completion

- Without a completion, we must resort to other methods to solve word problem, e.g. paramodulation, or our heads.
- Other methods generally less efficient, and don't yield completions.
- Completions preferred because they can lead to highly efficient decision procedures (e.g., for SMT).

# Our Mission

- **Revise** the algorithm used by Waldmeister.
- Use it to **find a completion** for  $\text{CGE}_2$ .
- Solve the word problem for  $\text{CGE}_2$  (without using our heads).



# But first...

- Waldmeister's algorithm relies on results in the exciting field of **term rewriting**.
- Today's agenda:
  - Cover important details from term rewriting theory (particularly **proving termination**.)
  - Discuss **completion** (Waldmeister's algorithm).
  - See why completion fails and then **fix it**.



# All About the Word Problem

$$u_1 \approx v_1, u_2 \approx v_2, \dots, u_n \approx v_n \models t_1 \approx t_n$$

- It's **undecidable** (in general).
- Can decide the word problem for some theories, but not all.

# Word Problem Proofs

- How do we know an identity **holds** in a theory? Find a proof.
- Proof is a sequence of terms: starting with one side of the identity and ending with the other side.
- Successive terms created by replacing instances of one side of the theory axioms with instances of the other.
- Easy to check, but hard to find.

# Proof Construction by Rewriting

- Idea: **orient** axioms – now called **rules**.
- Replace instances of lhs with instances of rhs – called **rewriting**.
- Rewrite terms to **normal form**.
- Two sides of identity have same normal form iff identity holds.

$$s_1 \longrightarrow s_2 \longrightarrow \cdots \longrightarrow s_n \stackrel{?}{=} t_n \longleftarrow \cdots \longleftarrow t_2 \longleftarrow t_1$$



# Rewriting to Normal Form

- To solve the word problem like this, normal forms must:
  1. require only finitely many steps to compute,
  2. be unique – same end result regardless of reduction sequence.

# Properties of Rewriting Systems

- These requirements correspond to two important properties of rewriting systems:
  1. **Termination**: no infinitely long reduction sequences.
  2. **Confluence**: if a term is rewritten to distinct terms, then those terms can be rewritten to a common term (**joined**).
- Termination + confluence = **convergence**.

# Rewriting Example I

- The non-confluent, terminating system

$$f(x, y) \rightarrow x \quad g(x) \rightarrow x \quad f(x, x) \rightarrow h(x)$$

applied to term  $f(x, g(x))$  could yield  
either reduction sequence:

1.  $f(x, g(x)) \rightarrow x$
2.  $f(x, g(x)) \rightarrow f(x, x) \rightarrow h(x)$



# Rewriting Example 2

- The confluent, nonterminating system

$$f(x) \rightarrow g(h(x)) \quad g(x) \rightarrow f(x)$$

applied to term  $f(x)$  yields this looping reduction sequence:

$$\begin{aligned} f(x) &\rightarrow g(h(x)) \rightarrow \\ f(h(x)) &\rightarrow g(h(h(x))) \rightarrow \\ f(h(h(x))) &\rightarrow g(h(h(h(x)))) \rightarrow \\ f(h(h(h(x)))) &\rightarrow g(h(h(h(h(x))))) \rightarrow \dots \end{aligned}$$

# Rewriting Example 3

- The convergent system

$$ack(0, n) \rightarrow n + 1$$

$$ack(m + 1, 0) \rightarrow ack(m, 1)$$

$$ack(m + 1, n + 1) \rightarrow ack(m, ack(m + 1, n))$$

applied to term  $ack(3,3)$  yields this long reduction sequence:

$$\begin{aligned} ack(3, 3) &\rightarrow ack(2, ack(3, 2)) \rightarrow ack(2, (ack(2, (ack(3, 1)))) \rightarrow \\ &ack(2, (ack(2, (ack(2, ack(3, 0))))) \rightarrow ack(2, (ack(2, (ack(2, ack(2, 1))))) \rightarrow \\ &ack(2, (ack(2, (ack(2, ack(1, ack(2, 0))))) \rightarrow \dots \rightarrow 61 \end{aligned}$$

# Proving Rewriting Properties

- To solve the word problem with rewriting, systems must be terminating and confluent.
- How do we prove these properties?
- What if we can't?



# Decidability of Termination

- If we can't prove termination, we're stuck.
- Unfortunately, termination isn't just undecidable, it's also hard.
- Undecidable classes are vast: 3-rule monadic systems, single-rule systems.
- Decidable classes are relatively modest: right-ground.

# Termination Warm-up

1.  $\{f(g(x)) \rightarrow f(h(f(x))) \quad g(g(x)) \rightarrow f(g(h(x)))\}$
2.  $\{f(f(x)) \rightarrow g(x) \quad g(g(x)) \rightarrow f(x)\}$
3.  $\{f(f(x, x), y) \rightarrow f(y, y)\}$

- Do the following TRS's terminate? Why?
- (Remember: a counter-example requires exhibiting a term that starts an infinite reduction sequence)

# Reduction Orders

- The foundation of most termination proofs is a reduction order.
- Def: A **rewrite order**  $>$  is stable under:
  1. contexts:  $s > t \rightarrow C[s] > C[t]$ ,
  2. substitutions:  $s > t \rightarrow s\sigma > t\sigma$ .
- Def: A **reduction order** is a well-founded rewrite order.



# Characterizing Termination

- Reduction orders characterize termination of term rewriting systems.
- Thm: a TRS is terminating iff it is compatible with some reduction order.
- An order  $>$  is **compatible** with a TRS if  $l > r$  for all rules  $l \rightarrow r$ .

# Proving Termination

- Three ways to show termination:
  1. Semantical – reduction order defined w.r.t. a well-founded algebra.
  2. Syntactical – reduction order defined using the subterm property.
  3. Transformational – apply a termination-preserving map  $\mu$ , then repeat with  $\mu(R)$ .
- Examples of 1 and 2 today.



# Semantical Methods

$$f(g(x)) \rightarrow f(h(f(x))) \quad g(g(x)) \rightarrow f(g(h(x)))$$

- Idea: interpret ground terms as natural numbers  $\mathbb{N}$ .
- Want interpretation s.t. rules' rhs are always less than lhs with the usual order  $<$ .
- Each rewrite yields smaller interpretation.
- Because  $(\mathbb{N}, <)$  is well-founded, no infinite reduction sequences are possible.



# Example Interpretation

$$f(g(x)) \rightarrow f(h(f(x))) \quad g(g(x)) \rightarrow f(g(h(x)))$$

- Interpret function symbols as functions in  $\mathbb{N}$ :
  - $f^{\mathbb{N}} : \mathbb{N} \rightarrow \mathbb{N}$ ,  $g^{\mathbb{N}} : \mathbb{N} \rightarrow \mathbb{N}$ ,  $h^{\mathbb{N}} : \mathbb{N} \rightarrow \mathbb{N}$ .
  - $f^{\mathbb{N}}(x) = x$ ,  $g^{\mathbb{N}}(x) = 1+x$ ,  $h^{\mathbb{N}}(x) = x$ .
- Show lhs is greater than rhs:
  1.  $[f(g(x))]^{\mathbb{N}} = 1+x > x = [f(h(f(x)))]^{\mathbb{N}}$
  2.  $[g(g(x))]^{\mathbb{N}} = 2+x > 1+x = [f(g(h(x)))]^{\mathbb{N}}$

# $\Sigma$ -Algebras

- A **signature**  $\Sigma = \Sigma^0 \cup \Sigma^1 \cup \Sigma^2 \cup \dots$
- A  **$\Sigma$ -algebra**  $\mathcal{A} = (A, \Sigma^{\mathcal{A}})$  s.t.  
$$\Sigma^{\mathcal{A}} = \{f^{\mathcal{A}} : A^n \rightarrow A \mid f \in \Sigma^n\}$$
- $(\mathcal{A}, <)$  is a **well-founded**  $\Sigma$ -algebra if  $<$  is well-founded on  $A$ .
- A **monotone** well-founded  $\Sigma$ -algebra has,  
$$\forall f^{\mathcal{A}} \in \Sigma^{\mathcal{A}}, \forall a, b \in A : \\ a < b \rightarrow f^{\mathcal{A}}(\dots, a, \dots) < f^{\mathcal{A}}(\dots, b, \dots).$$



# Semantical Methods

- Def: Let  $\mathcal{A}$  be a well-founded monotone  $\Sigma$ -algebra. Then  $t <_{\mathcal{A}} t'$  iff  $[t]^{\mathcal{A}} < [t']^{\mathcal{A}}$  for every variable assignment.
- Lem:  $<_{\mathcal{A}}$  is a reduction order.
- Thm: A TRS terminates iff it admits a compatible well-founded monotone  $\Sigma$ -algebra.



# Polynomial Orders

- Most common algebras used with semantic interpretation are polynomials with coefficients in  $\mathbb{N}$  over  $\mathbb{N}^+$ .
- Induces the class of reduction orders called **polynomial orders**.
- Decent heuristics exist for finding polynomials to associate with each function symbol.

# Weaknesses of Polynomial Orders

- Existence of suitable algebra is undecidable.
- Worse, proving compatibility is undecidable.
- Proof-theoretic strength is limited. Can't prove termination if TRS either:
  1. has reduction sequences of length  $> 2^{2^{|t|}}$ ,
  2. computes a super-polynomial number-theoretic function.



# Syntactical Methods

- We can define reduction orders without relying on a well-founded algebra.
- But any order must be well-founded, and this is just what having a semantic interpretation helped with.



# Subterm Ordering

- Idea:  $t_1 < t_2$  iff  $t_1$  is a proper subterm of  $t_2$ .
- Unfortunately, weak – length of reduction sequences limited by depth of term.
- Can we find an **extension** of this order that remains well-founded?

# Simplification Orders

- Thm: **any** strict rewrite order with the subterm property is well-founded.
- Reduction orders with subterm property are called **simplification orders**.
- Important because they (typically):
  1. are relatively powerful,
  2. have simple recursive definitions,
  3. are easily tested for compatibility,
  4. are decidable.



# Recursive Path Orders

- Common orders: recursive path orders (RPO) and Knuth-Bendix order (KBO).
- Idea behind RPO's:
  - define partial **precedence order** on  $\Sigma$ ;
  - decide order by comparing root symbols then recursively comparing arguments.
- RPO's differ in how the arguments are compared: e.g., lexicographically (LPO), as multisets (MPO).



# Definition of LPO

Let  $\Sigma$  be a finite signature and  $>$  be a strict order on  $\Sigma$ .  
Then  $s >_{\text{lpo}} t$  iff

**LPO1**  $t = \text{Var}(s)$  and  $s \neq t$ ; or

**LPO2**  $s = f(s_1, \dots, s_m)$ ,  $t = g(t_1, \dots, t_n)$ ; and

**LPO2a**  $\exists 1 \leq i \leq m : s_i \geq_{\text{lpo}} t$ ; or

**LPO2b**  $f > g$  and  $\forall 1 \leq j \leq n : s >_{\text{lpo}} t_j$ ; or

**LPO2c**  $f = g$ ,  $\forall 1 \leq j \leq n : s >_{\text{lpo}} t_j$ ,  
and  $\exists 1 \leq i \leq m : s_1 = t_1, \dots, s_{i-1} = t_{i-1}$   
and  $s_i >_{\text{lpo}} t_i$ .

# LPO Example I

$$x + 0 \rightarrow x \quad x + s(y) \rightarrow s(x + y)$$

- Above TRS shown LPO-terminating with precedence  $+ > s > 0$ :
  1. (LPO1) because rhs is a variable in lhs
  2. (LPO2b)  $+ > s$  in precedence, so check that lhs  $>_{\text{lpo}}$  of rhs subterm. Yes: (LPO2c) then (LPO1) three times.

# LPO Example 2

$$\begin{array}{ll} x + 0 \rightarrow x & x + s(y) \rightarrow s(x + y) \\ x * 0 \rightarrow 0 & x * s(y) \rightarrow x + (x * y) \\ exp(x, 0) \rightarrow s(0) & exp(x, s(y)) \rightarrow x * exp(x, y) \end{array}$$

- Above TRS shown LPO-terminating with precedence  $exp > * > + > s > 0$ .
- Multiplication rules:
  1. (LPO2b)  $*$   $>$   $0$ , and  $0$  has no subterms.
  2. (LPO2b)  $*$   $>$   $+$ , so check that lhs  $>_{lpo}$  of rhs subterms; (LPO1) and (LPO2c), and (LPO1) three times.



# LPO Example 3

$$ack(0, x) \rightarrow s(x)$$

$$ack(s(x), 0) \rightarrow ack(x, s(0))$$

$$ack(s(x), s(y)) \rightarrow ack(x, ack(s(x), y))$$

- Above TRS is shown terminating with precedence  $ack > s > 0$ 
  1. (LPO2b)  $ack > s$ , then (LPO1).
  2. (LPO2c), (LPO1), (LPO2b), (LPO2a), (LPO1).
  3. (LPO2c), (LPO1), (LPO2c), (LPO1), ...

# LPO is pretty great

- Much stronger than polynomial orders – proves termination of functions that grow faster than any primitive recursive function.
- Compatibility easily checked in poly time.
- Existence is NP-hard – just try all possible total orders on  $\Sigma$  – but decidable.

# LPO is not perfect

$$1 * x \approx x$$

$$x^{-1} * x \approx 1$$

$$(x * y) * z \approx x * (y * z)$$

$$f(x * y) \approx f(x) * f(y)$$

$$g(x * y) \approx g(x) * g(y)$$

$$f(x) * g(y) \approx g(y) * f(x)$$

- Recall the theory  $\text{CGE}_2$  mentioned earlier.
- Waldmeister fails to complete this system because there is no compatible LPO.
- Why? Stuck on commutativity of  $f$  and  $g$ .



# Automated Termination Checkers

- There are nifty tools to **automatically** prove termination using previous methods.
- Works for systems that are compatible with any one (or a combination of) a variety of reduction orders.
- E.g., **AProVE**: fast, effective and produces human-readable proofs.
- Will be useful later...

# Proving Confluence

- Confluence is undecidable in general, but decidable for rewriting systems that are terminating.
- Proceed by showing joinability of a particular (finite) set of pairs of terms.
- Finite computation that implies confluence.



# Joining Critical Pairs

- Start with a common instance of two rules' lhs:  $s_1$ .
- Rewrite with both rules to two different terms:  $t_2 \leftarrow s_1 \rightarrow t_1$ .
- Try to join those terms to a common term:  $t_1 \rightarrow s_2 \leftarrow t_2$ .
- $(t_1, t_2)$  called a **critical pair**.
- Thm: joinability of all critical pairs implies confluence **for terminating systems**.



# Critical Pair Example I

$$f(x, g(x)) \rightarrow x \quad g(g(x)) \rightarrow x$$

- Common instances of rules' lhs rewrites two ways:

$$g(x) \leftarrow f(g(x), g(g(x))) \rightarrow f(g(x), x)$$

# Non-Confluent Systems

- But simply orienting the axioms of many theories yields a non-confluent TRS.
- If a system is not confluent, sometimes we can find an **equivalent** system that is.
- Systems are equivalent if an identity holds in one system iff it holds in the other.

# Equivalent Confluent Systems

- Start with a terminating system, compatible with reduction order  $>$ .
- Compute a non-joinable critical pair  $(t_1, t_2)$ .
- If  $t_1 > t_2$ , then **add rule**  $t_1 \rightarrow t_2$  to system.
- Now  $(t_1, t_2)$  is joinable.
- Continue until all critical pairs are joinable.



# Critical Pair Example 2

$$f(x, g(x)) \rightarrow x \quad g(g(x)) \rightarrow x$$

$$g(x) \leftarrow f(g(x), g(g(x))) \rightarrow f(g(x), x)$$

- Add unjoinable critical pair as rewrite rule.  
New, equivalent system:

$$f(x, g(x)) \rightarrow x \quad g(g(x)) \rightarrow x \quad f(g(x), x) \rightarrow g(x)$$

# Completion

- Called **completion procedure**, invented by Knuth in '70.
- Completion can help **solve the word problem**.
- Use the equivalent, convergent rewrite system (the **completion**) to normalize both sides of any identity.
- If normal forms are the same, identity holds, otherwise it doesn't.

# Limits of Completion

- Completion doesn't always work:
  - An unorientable critical pair could be generated (completion **fails**);
  - Critical pair generation might not terminate.
- Fails **only if** reduction order is incompatible with the new rule.
- (Can show that “infinite” executions lead to semidecision procedure.)



# Completion Specified Formally

- Completion typically specified as an **inference system**.
- Operates on pairs  $(E, R)$  – set of identities and set of rewrite rules.
- Start with  $(E_0, \emptyset)$  and finish with  $(\emptyset, R_\infty)$ .
- $E_0$  is the theory and  $R_\infty$  is an equivalent convergent system (the completion).

# Completion as an Inference System

ORIENT:	$\frac{(E \cup \{s \approx t\}, R)}{(E, R \cup \{s \rightarrow t\})}$	if $s > t$
DEDUCE:	$\frac{(E, R)}{(E \cup \{s \approx t\}, R)}$	if $s \leftarrow_R u \rightarrow_R t$
DELETE:	$\frac{(E \cup \{s \approx s\}, R)}{(E, R)}$	
SIMPLIFY:	$\frac{(E \cup \{s \approx t\}, R)}{(E \cup \{u \approx t\}, R)}$	if $s \rightarrow_R u$
COMPOSE:	$\frac{(E, R \cup \{s \rightarrow t\})}{(E, R \cup \{s \rightarrow u\})}$	if $t \rightarrow_R u$
COLLAPSE:	$\frac{(E, R \cup \{s \rightarrow t\})}{(E \cup \{v \approx t\}, R)}$	if $s \xrightarrow{\exists}_R v$

# Correctness of Completion

- If executions eventually consider all critical pairs (are **fair**) and can orient every identity (is **non-failing**), completion succeeds.
- Theorem: a non-failing, fair execution with identities  $E$  yields a convergent, equivalent rewriting system  $R$ , which can be used to solve the word problem for  $E$ .



# Completion and CGE<sub>2</sub>

$$1 * x \approx x$$

$$x^{-1} * x \approx 1$$

$$(x * y) * z \approx x * (y * z)$$

$$f(x * y) \approx f(x) * f(y)$$

$$g(x * y) \approx g(x) * g(y)$$

$$f(x) * g(y) \approx g(y) * f(x)$$

- Recall: completion didn't work with the two commuting endomorphisms (CGE<sub>2</sub>) theory.
- Doesn't fail *per se*, because it never starts.
- How to orient identities? What reduction order to use?

# The Reduction Order Requirement

- Completion requires compatible reduction order, usually provided by the user.
- Can't find one in usual classes. We've looked.
- Even if we found one, we couldn't specify it – no orders supported by tools (e.g. Waldmeister) are compatible.
- Without an order, completion is useless.



# Issues with Completion

- We'll to solve the following problems:
  1. Compatible orders hard for the user to find.
  2. Implementations of completion only implement a few classes of orders. (Even if a compatible order exists, user can't specify it.)



# The Orient Rule

$$\text{ORIENT: } \frac{(E \cup \{s \approx t\}, R)}{(E, R \cup \{s \rightarrow t\})} \quad \text{if } s > t$$

- Trouble is with the user-supplied order.
- Manifested in the **orient** rule – only place the order is needed.
- Completion would work for **more theories** if the user didn't have to explicitly provide an order at the start.

# A New Orient Rule

- Idea: what if we use a termination checker instead?
- New orient precondition: require that adding  $s \rightarrow t$  preserves termination of the rewriting system.
- Implies the **existence** of a compatible reduction order.

# New Precondition

- Tentative change to orient rule precondition:

$$\text{ORIENT: } \frac{(E \cup \{s \doteq t\}, R)}{(E, R \cup \{s \rightarrow t\})} \quad \text{if } s > t$$

← Original  
Modified  
↓

$$\text{ORIENT: } \frac{(E \cup \{s \doteq t\}, R)}{(E, R \cup \{s \rightarrow t\})} \quad \text{if } R \cup \{s \rightarrow t\} \text{ terminates}$$



# Correctness of the New Orient Rule

- Different from standard completion in an important way –
- Termination implies the existence of a compatible order, but the **order could be different** each time the orient rule is applied.
- Like performing completion with **multiple orders**.

# Completion with Multiple Orders

- Completion with multiple orders was used for years (without correctness proof) in some systems.
- Useful – if an unorientable identity is encountered, just find another compatible order and keep going.



# Multiple Orders Not Correct

- Correctness an open problem for years.
- Settled by Sattler-Klein in '94 – not correct.
- Multiple orders with completion can yield non-confluent, non-terminating systems.



# A Correct Special Case

- But Sattler-Klein also proved that one kind of multi-ordered completion is correct:
- For finite executions without **compose** or **collapse**, completion works with multiple orders.

# Compose and Collapse

	$\frac{(E, R \cup \{s \rightarrow t\})}{(E, R \cup \{s \rightarrow u\})}$	
COMPOSE:		if $t \rightarrow_R u$
	$\frac{(E, R \cup \{s \rightarrow t\})}{(E \cup \{v \approx t\}, R)}$	
COLLAPSE:		if $s \xrightarrow{\exists}_R v$

- Why? These are the only rules that change or remove rules from the current rewriting system.
- Without these, the intermediate rewrite systems form an **increasing chain**.
- The **final** order could have been used from the start without failure.



# Constraint System

- Could use new orient rule without compose and collapse, but performance suffers.
- Instead: check termination of a **constraint** rewriting system not affected by compose and collapse.
- Lem: Termination of constraint system implies termination of rewriting system and existence of increasing chain of reduction orders.



# Revised Completion

ORIENT:	$\frac{(E \cup \{s \approx t\}, R, C)}{(E, R \cup \{s \rightarrow t\}, C \cup \{s \rightarrow t\})}$	if $C \cup \{s \rightarrow t\}$ terminates
DEDUCE:	$\frac{(E, R, C)}{(E \cup \{s \approx t\}, R, C)}$	if $s \leftarrow_R u \rightarrow_R t$
DELETE:	$\frac{(E \cup \{s \approx s\}, R, C)}{(E, R, C)}$	
SIMPLIFY:	$\frac{(E \cup \{s \approx t\}, R, C)}{(E \cup \{u \approx t\}, R, C)}$	if $s \rightarrow_R u$
COMPOSE:	$\frac{(E, R \cup \{s \rightarrow t\}, C)}{(E, R \cup \{s \rightarrow u\}, C)}$	if $t \rightarrow_R u$
COLLAPSE:	$\frac{(E, R \cup \{s \rightarrow t\}, C)}{(E \cup \{v \approx t\}, R, C)}$	if $s \xrightarrow{\exists}_R v$

- Key differences: constraint system  $C$  and termination predicate in orient precondition.

# Completion Search

- What if a rule can be oriented two different ways?
- Just try both. **Search** for a correct completion.
- (Search avoids problems with pesky infinite executions mentioned earlier.)
- Breadth-first search guarantees that we will eventually find a completion.

# Revised Completion

- Revised method is **correct**.
- Order is **discovered**, not provided.
- With perfect termination-checking ability, the method completes any theory compatible with some reduction order.
- With real termination-checking program that decides a class of orders  $O$ , then revised method completes any theory compatible with an order in  $O$ .



# Slothrop

- Implementation of revised procedure: Slothrop.
- 3500-line Ocaml program.
- Integrates with AProVE termination checker, and recently with TPA.
- Applications of orient rule preceded with calls to checker to verify termination of constraint system.
- Best heuristic:  $\text{size}(C + E + \text{cp}(R))$

# Completion of CGE<sub>2</sub>

- Slothrop completes a variety of theories (e.g., groups and other algebraic structures).
- Completed CGE<sub>2</sub> – **first ever** automatic completion!

$(x * y) * z \rightarrow x * (y * z)$	$f(1) \rightarrow 1$
$x^{-1} * x \rightarrow 1$	$(f(x))^{-1} \rightarrow f(x^{-1})$
$x * x^{-1} \rightarrow 1$	$f(x) * f(y) \rightarrow f(x * y)$
$x * (x^{-1} * y) \rightarrow y$	$f(x) * (f(y) * z) \rightarrow f(x * y) * z$
$x^{-1} * (x * y) \rightarrow y$	$g(1) \rightarrow 1$
$(x * y)^{-1} \rightarrow y^{-1} * x^{-1}$	$(g(x))^{-1} \rightarrow g(x^{-1})$
$1 * x \rightarrow x$	$g(x) * g(y) \rightarrow g(x * y)$
$x * 1 \rightarrow x$	$g(x) * (g(y) * z) \rightarrow g(x * y) * z$
$1^{-1} \rightarrow 1$	$f(x) * g(y) \rightarrow g(y) * f(x)$
$(x^{-1})^{-1} \rightarrow x$	$f(x) * (g(y) * z) \rightarrow g(y) * (f(x) * z)$

# Performance

- Time: 6s to find G completion, 30s for  $GE_1$ , 15m for  $CGE_2$ .
- Calls to AProVE: 30 calls to complete G, 100 for  $GE_1$ , 2500 for  $CGE_2$ .
- Most of runtime spent in AProVE, but most calls return in  $< 0.5s$ .



# Evaluation of Slothrop

- Efficiency is a limitation, but with patience hard completions are found.
- Works well on small-to-medium theories, slow on large theories.
- Not yet able to find completion of CGE<sub>3</sub>.

# Future Work

- Improved termination checking:
  - Stronger – increase class of theories that can be completed.
  - Faster – find completions faster. Need **incremental** termination checking.
- Improved search heuristic:
  - **Hard question:** how can diverging completion instances be identified?

# Conclusion

- Read more: system description in RTA 2006, details in WUCSE-2006-42.
- Download Slothrop online: [cl.cse.wustl.edu](http://cl.cse.wustl.edu)
- Thanks for sitting through two long talks!



# Conclusion

- Fin.







# Confluence Details

**Local confluence:**  $(\rightarrow)^{-1} \cdot \rightarrow \subseteq \rightarrow^* \cdot (\rightarrow^*)^{-1}$

**Confluence:**  $(\rightarrow^*)^{-1} \cdot \rightarrow^* \subseteq \rightarrow^* \cdot (\rightarrow^*)^{-1}$

- Critical pair lemma: joinability of critical pairs implies local confluence.
- Newman's lemma: local confluence + termination implies confluence.