

# Directed equality with dinaturality

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*Theory Seminar, Tallinn*

October 24th, 2024

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# Type theory and symmetric equality

- The most interesting aspect of Martin-Löf type theory: equality types.

$$\frac{C : \text{Type} \quad a, b : C}{a =_C b : \text{Prop}} \quad \frac{x : C}{\text{refl}_x : x =_C x} \quad \frac{P : \prod_{a,b:C} (a =_C b \rightarrow \text{Prop}) \quad d : \prod_{z:C} P(z, z, \text{refl}_z)}{J(d) : \prod_{a,b:C} \prod_{e:a=_C b} P(a, b, e)}$$

- Idea behind the (“diagonal”) J rule:

*To prove a proposition  $P(a, b, e)$  with a proof  $e : a =_C b$ , it is enough to prove it for the case  $a = b = z$  and  $e = \text{refl}_z$ .*

- Equality in MLTT is inherently symmetric: take  $P(a, b, e) := (b =_C a)$ ,

$$\frac{\text{refl} : \prod_{z:C} z =_C z}{\text{sym} := J(\text{refl}) : \prod_{a,b:C} a =_C b \rightarrow b =_C a}$$

- Question: *can you prove that every equality  $p : a = a$  is the same as  $\text{refl}$ ?*

$\text{uip} : \prod_{a:C} \prod_{p:a=_C a} p = \text{refl}_a$       No! There are countermodels.

## *What can types be?*

Martin-Löf type theory admits a sound interpretation in:

- Types as sets. [Martin-Löf 1971]
- Types as groupoids. [Hoffmann-Streicher 1999]
- Types as  $\infty$ -groupoids. [Voevodsky 2013], [van der Berg-Garner 2010]
- Types as **sSets**, **Top**, cubical sets. [Awodey-Warren 2007], [CCHM 2007]
- Types as reflexive graphs for parametricity. [Atkey-Ghani-Johann 2007]

*Intuition:* types are groupoids, equalities are always-invertible morphisms.

General frameworks, from closest to syntax:

- Categories with families and natural models, [Dybjer 1996], [Awodey 2014]
- Locally cartesian closed categories, [Seely 1984]
- Homotopy theoretic models in model categories. [Awodey-Warren 2007]

Some familiar structures are missing... *what about categories and posets?!*

# Motivation 1: Directed type theory

*Martin-Löf type theory with  $\text{refl}/J$  is intrinsically about symmetric equality.*

***Directed type theory*** is the generalization to “directed equality”.

The interpretation of directed type theory with *(1-)categories*:

Types  $\rightsquigarrow$  Categories

Terms  $\rightsquigarrow$  Functors

Relations  $\rightsquigarrow$  Profunctors

Points of a type  $\rightsquigarrow$  Objects of a category

Equalities  $e : a = b \rightsquigarrow$  Morphisms  $e : \text{hom}(a, b)$

Equality types  $=_A : A \times A \rightarrow \text{Prop} \rightsquigarrow$  Hom types  $\text{hom}_{\mathbb{C}} : \mathbb{C}^{\text{op}} \times \mathbb{C} \rightarrow \mathbf{Set}$

→ Now types have a *polarity*,  $\mathbb{C}$  and  $\mathbb{C}^{\text{op}}$ , i.e., the opposite category.

→ Now equalities  $e : \text{hom}(a, b)$  have *directionality*: rewrites, trans., processes.

We want to find which syntactic restriction of MLTT  
*allow for types can be interpreted as categories.*

# Directed type theory and dinaturality

- Semantically,  $\text{refl}$  should be  $\text{id}_c \in \text{hom}_{\mathbb{C}}(c, c)$  for  $c : \mathbb{C}$ .
- Transitivity of directed equality  $\rightsquigarrow$  composition of morphisms in  $\mathbb{C}$ .
- However, directed type theory is not so straightforward:

$$\frac{a : \mathbb{C}}{\text{refl}_a \dots ? : \text{hom}_{\mathbb{C}}(a, a)} \rightsquigarrow \frac{a : \mathbb{C}^{\text{core}}}{\text{refl}_a : \text{hom}(i^{\text{op}}(a), i(a))} \quad [\text{North 2018}]$$

- *Problem:* rule is not functorial w.r.t. variance of  $\text{hom}_{\mathbb{C}} : \mathbb{C}^{\text{op}} \times \mathbb{C} \rightarrow \mathbf{Set}$ , since  $a : \mathbb{C}$  appears both contravariantly and covariantly.
- Current approach to DTT in **Cat**: [North 2018], [Neumann 2024]  
→ Use the maximal subgroupoid  $\mathbb{C}^{\text{core}}$  to collapse the two variances, since  $(\mathbb{C}^{\text{core}})^{\text{op}} \cong \mathbb{C}^{\text{core}}$ , then use  $i : \mathbb{C}^{\text{core}} \rightarrow \mathbb{C}$  and  $i^{\text{op}} : \mathbb{C}^{\text{core}} \rightarrow \mathbb{C}^{\text{op}}$ .
- Then a  $J$ -like rule is validated, but *again using groupoidal structure*.

# Contribution 1 – Directed type theory

*Is there a way to interpret directed type theory in 1-categories without having to collapse categories back to the "undirected" groupoidal case?*

*Our approach:* yes, by validating rules with dinaturality.

- *Intuition:* dinatural transformations allow for the same variable to appear both covariantly and contravariantly  $\rightarrow$  solving the issue with refl.
- We will see how dinaturality validates both
  - $\rightarrow$  a refl rule (directed equality introduction),
  - $\rightarrow$  a  $J$  rule (directed equality elimination).
- The directed  $J$  rule is extremely similar to the standard MLTT  $J$  rule, but with a syntactic restriction which does **not** allow for symmetry.

# Contribution 1 – Directed type theory

Comparison between symmetric equality and our directed equality rule:

$$\frac{[z : \mathbb{A}, x : \mathbb{C}] \quad \Gamma(z, z, x) \vdash P(z, z, x)}{[a : \mathbb{A}, b : \mathbb{A}, x : \mathbb{C}] \quad a = b, \Gamma(a, b, x) \vdash P(a, b, x)} \text{ (eq)}$$

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$$\frac{[z : \mathbb{A}, x : \mathbb{C}] \quad \Gamma(\bar{z}, z, \bar{x}, x) \vdash P(\bar{z}, z, \bar{x}, x)}{[a : \mathbb{A}^{\text{op}}, b : \mathbb{A}, x : \mathbb{C}] \quad \text{hom}(a, b), \Gamma(\bar{b}, \bar{a}, \bar{x}, x) \vdash P(a, b, \bar{x}, x)} \text{ (hom)}$$

- *Restriction:*  $a, b$  must appear *positively* in  $P$  and *negatively* in  $\Gamma$ .
- (Again, dinaturality allows  $z$  to appear twice in  $P(z, z, x)$ .)
- ▶ Using directed  $J$ , we obtain the same terms provable in MLTT about symmetric equality (e.g., transitivity, transport, congruence).
- ▶ Proving properties about them follows precisely the steps in MLTT. (e.g., the computation rules are the same as the classical case.)



## Contribution 2 – (Co)end calculus

Another important open question:

*What should the quantifiers of directed type theory be?*

- Dinaturality again gives us a hint: *ends and coends*.
- We present a series of “logical rules”, inspired by the doctrinal approach categorical logic, viewing (co)ends as the *directed quantifiers* of DTT.
- ▶ We then use the rules to give *simple logical proofs* of theorems in category theory, using dinaturality and viewing  $\hom$  as directed equality.

# Why is this useful?

Our goals for directed type theory, in increasing order of importance:

- 1 A synthetic theory to work with  $(\infty\text{-})$ categories. [Riehl-Shulman 2017]

Martin-Löf type theory  $\rightsquigarrow$  a synthetic theory of  $(\infty\text{-})$ groupoids.

Directed type theory  $\rightsquigarrow$  a synthetic theory of  $(\infty\text{-})$ categories.

- 2 Directed higher inductive types: ([Altenkirch-Kaposi 2016], but directed)
  - *Idea*: you can *define* new types (categories) with specified equalities.
  - Internalize category theory in type theory: reason about categories via types.
  - Internalize the type of  $\lambda$ -calculus terms, with directed equalities

$$\beta : (\lambda x.M)N \rightsquigarrow M[N/x]$$

Like quotients, this greatly simplifies metatheory (e.g. congruence for free)

- In general: internalize semantics of type theory in TT (e.g., as with QIIT)
- 3 A doctrinal perspective on *directed logic* for profunctors and posets.
  - 4 **Claim**: we will show how *(co)end calculus is the first-order instantiation of a directed type theory with quantifiers, with semantics in 1-categories.*

## Motivation 2: Profunctors

Another story, now in CT:

**Rel**  $\rightsquigarrow$  **Prof**

Sets  $\rightsquigarrow$  Categories

Relations  $A \times B \rightarrow \{\top, \perp\} \rightsquigarrow$  Profunctors  $\mathbb{C}^{\text{op}} \times \mathbb{D} \rightarrow \mathbf{Set}$

Existential quantifiers  $\exists x \rightsquigarrow$  Coends  $\int^x$

Composition:  $\exists b \in B. R(a, b) \wedge Q(b, c) \rightsquigarrow \int^{b:B} R(a, b) \times Q(b, c)$

Conjunction of truth values  $\rightsquigarrow$  Cartesian products of **Sets**

Identity relation:  $=_{\mathbb{C}} \rightsquigarrow$  Identity profunctor:  $\text{hom}_{\mathbb{C}}$

*Why do unitality and associativity hold?*

Because of rules for equality in FOL.  $\rightsquigarrow$  Because of the coYoneda lemma.

Because of rules for  $\exists$  and  $\wedge$  in FOL.  $\rightsquigarrow$  Because of properties of coends.

What's missing from the profunctorial story?

- We use FOL in **Rel**: there are no rules for a *directed logic* in **Prof**.
- A proper treatment of coends as quantifiers *qua quantifiers*.
- A syntactic treatment of directed logic (with directed equality and its rules, and quantifiers) in terms of the doctrinal approach. [Jacobs 1999]

# Background: Categorical logic, in one slide

Categorical models of *first order logic*, by [Lawvere 1969]:

- Take **Ctx** the following syntactic category:
  - Objects: contexts  $\Gamma, \Delta$ , i.e., sequences of types.
  - Morphisms  $\Gamma \rightarrow \Delta$ : a term  $\Gamma \vdash t : \Delta$  of type  $\Delta$  given in context  $\Gamma$ ,
  - Identity on  $\Gamma := [x_1 : A, x_2 : B, \dots, x_n : C]$ :  $n$ -tuple of vars  $\langle x_1, x_2, \dots, x_n \rangle$ .
  - Composition: substitution of terms.
- For any  $\Gamma : \mathbf{Ctx}$ , there is a poset  $\mathcal{P}(\Gamma)$ :
  - Objects: lists of formulas  $\Phi$  in context  $\Gamma$ .
  - Morphisms  $\Phi \rightarrow \Psi$ : entailments  $\Gamma \mid \Phi \vdash \Psi$  of formulas.
  - Composition and identities: cut rule and axiom rule.
- This association is functorial: there is a functor  $\mathcal{P} : \mathbf{Ctx}^{\text{op}} \rightarrow \mathbf{Pos}$ , the action on morphisms is given by substitution of terms into formulas.
- *Idea*: Logical connectives, quantifiers, *equality types*, subset types, quotients, can be characterized solely as categorical properties of  $\mathcal{P}$ .
- A *doctrine* is a finite product category  $\mathbb{C}$  and a functor  $\mathcal{P} : \mathbb{C}^{\text{op}} \rightarrow \mathbf{Pos}$ .
- Morphisms of doctrines  $\rightarrow$  interpretations/models in different categories.

# Background: Doctrines with $\wedge, \Rightarrow, \forall, \exists, =$

- Take  $\mathcal{P} : \mathbb{C}^{\text{op}} \rightarrow \mathbf{Pos}$  with  $\mathbb{C}$  all binary products, and assume:

- $[\wedge, \Rightarrow]$ : Each  $\mathcal{P}(\Gamma)$  has products and exponentials for every  $\Gamma$ ,
- $[\forall, \exists]$ : Given the projections  $\pi_{A[\Gamma]} : A \times \Gamma \rightarrow \Gamma$ , each reindexing  $\mathcal{P}(\pi_{A[\Gamma]}) : \mathcal{P}(\Gamma) \rightarrow \mathcal{P}(A \times \Gamma)$  has right and left adjoints

$$\exists_{A[\Gamma]}, \forall_{A[\Gamma]} : \mathcal{P}(A \times \Gamma) \rightarrow \mathcal{P}(\Gamma), \quad \exists_{A[\Gamma]} \dashv \mathcal{P}(\pi_{A[\Gamma]}) \dashv \forall_{A[\Gamma]}.$$

such that for  $f : \Gamma \rightarrow \Delta$  the *Beck-Chevalley condition* holds:

$$\begin{aligned} \exists_{A[\Delta]} ; \mathcal{P}(f) &\cong \mathcal{P}(\text{id}_X \times f) ; \exists_{A[\Gamma]}, & \exists_{A[\Delta]} ; \mathcal{P}(f) &\cong \mathcal{P}(\text{id}_X \times f) ; \exists_{A[\Gamma]}, \\ \forall_{A[\Delta]} ; \mathcal{P}(f) &\cong \mathcal{P}(\text{id}_X \times f) ; \forall_{A[\Gamma]}. \end{aligned}$$

- $[=]$ : Left adjoints to reindexing along diagonals  $\Delta_X : A \rightarrow A \times A$ :

$$\text{Eq}_A : \mathcal{P}(A) \rightarrow \mathcal{P}(A \times A), \quad \text{Eq}_A \dashv \mathcal{P}(\Delta_A).$$

Certain *Frobenius conditions* are automatic thanks to exponentials.

- The above capture the fact that  $\mathcal{P}$  is a *doctrine* with  $\wedge, \Rightarrow, \forall, \exists, =$ .

## Motivation 3: Lawvere equality for categories

*Can we interpret logic in **Cat**, viewed as a category of contexts?*

From type theory: why **Cat** is *not* a model for Martin-Löf type theory:

- **Cat** is *not* locally cartesian closed,
- **Cat** is *not* even regular (but it is 2-regular [Street CBS 1982])

From the doctrinal perspective: **Set** plays the role of *generalized truth values* in **Prof**, and presheaves are “generalized logical predicates”, but:

- Equality in the presheaf hyperdoctrine  $\mathcal{Psh}(\mathbb{C}) := [\mathbb{C}^{\text{op}}, \mathbf{Set}] : \mathbf{Cat} \rightarrow \mathbf{CAT}$  does **not** validate Frobenius and Beck-Chevalley: [Melliès-Zeilberger 2016]

$$\text{Eq}_{\mathbb{C}} := \exists_{\Delta_{\mathbb{C}}}(\top_{\mathbb{C}}) \in \mathcal{Psh}(\mathbb{C} \times \mathbb{C})$$

$$\text{Eq}_{\mathbb{C}} = (a, b) \mapsto \int^{x \in \mathbb{C}} \text{hom}_{\mathbb{C}}(a, x) \times \text{hom}_{\mathbb{C}}(b, x)$$

i.e., any two objects forming a cospan for some  $x$  should be equated.

*Note:* These conditions also fail in groupoids.

## Motivation 3: Lawvere on $\text{hom}$ as equality

Lawvere commenting on the failure of Frobenius/Beck-Chevalley for  $\mathcal{P}\text{sh}$ :

- [...] *This should not be taken as indicative of a lack of vitality of  $\mathcal{P}\text{sh}$  as hyperdoctrine, or even of a lack of a satisfactory theory of equality for it.*
- *Rather, it indicates that we have probably been too naive in defining equality in a manner too closely suggested by the classical conception.*
- *Equality should be the “graph” of the identity term. But present categorical conceptions indicate that the graph of a functor  $F : \mathbb{C} \rightarrow \mathbb{D}$  should be [...] a binary attribute of mixed variance  $\mathcal{P}\text{sh}(\mathbb{C}^{\text{op}} \times \mathbb{D})$ .*
- *Thus in particular “equality” should be the functor  $\text{hom}_{\mathbb{C}}$  [...].*
- *The term which would take the place of  $\Delta_{\mathbb{C}}$  in such a more enlightened theory of equality would be the forgetful functor  $\text{Tw}(\mathbb{C}) \rightarrow \mathbb{C}^{\text{op}} \times \mathbb{C}$ . [...]*
- *Of course to abstract from this example would require at least the addition of a functor  $T \xrightarrow{\text{op}} T$  to the structure of a [doctrine].*

*[Lawvere 1970, Equality in Hyperdoctrines]*

## Background on dinaturality and (co)ends



# Background: Dinatural transformations

- Let  $-^\diamond : \mathbf{Cat} \rightarrow \mathbf{Cat}$  be the comonad defined by  $\mathbb{C} \mapsto \mathbb{C}^{\text{op}} \times \mathbb{C}$ .
- A **difunctor** from  $\mathbb{C}$  to  $\mathbb{D}$  is a functor  $F, G : \mathbb{C}^{\text{op}} \times \mathbb{C} \rightarrow \mathbb{D}$ .
- A **dipresheaf** on  $\mathbb{C}$  is an endoprofunctor  $P : \mathbb{C}^{\text{op}} \times \mathbb{C} \rightarrow \mathbf{Set}$ .
- Given difunctors  $F, G : \mathbb{C}^{\text{op}} \times \mathbb{C} \rightarrow \mathbb{D}$ , a **dinatural transformation**  $\alpha : F \rightrightarrows G$  is a family of morphisms of  $\mathbb{D}$  for each  $x : \mathbb{C}$ ,

$$\alpha_x : F(x, x) \longrightarrow G(x, x)$$

such that for every  $a, b : \mathbb{C}$  and  $f : a \rightarrow b$  this hexagon commutes:

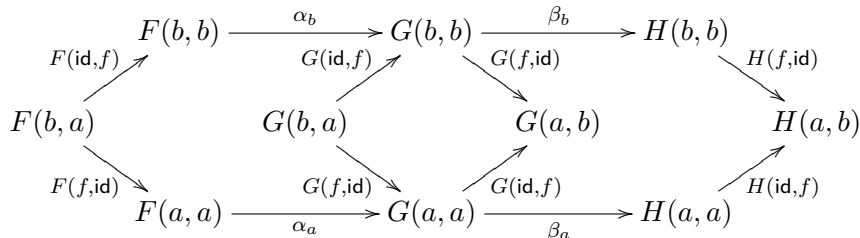
$$\begin{array}{ccccc} & & F(b, b) & \xrightarrow{\alpha_b} & G(b, b) \\ & \nearrow F(\text{id}, f) & & & \searrow G(f, \text{id}) \\ F(b, a) & & & & G(a, b) \\ & \searrow F(f, \text{id}) & & & \nearrow G(\text{id}, f) \\ & & F(a, a) & \xrightarrow{\alpha_a} & G(a, a) \end{array}$$

**Theorem** (Naturals are dinaturals [Dubuc and Street 1969])

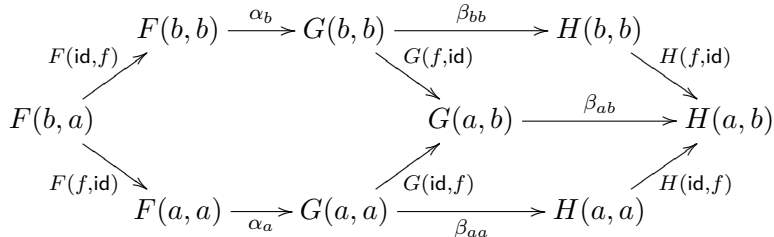
*A dinatural between functors which do not depend on  $\mathbb{C}^{\text{op}}$  is just a natural.*

# Background: Dinaturals don't always compose

- Dinaturals don't always compose:  $\alpha : F \rightrightarrows G$ ,  $\beta : G \rightrightarrows H$ ,



- They always compose with naturals:  $\alpha : F \rightrightarrows G$ ,  $\beta : G \rightarrow H$ ,



# Background: (Co)wedges and (co)ends

A *cone* is a natural from a constant functor into  $P$ .

A *wedge* is a dinatural from a constant difunctor into  $P$ .

The terminal object in  $\text{Cone}(P)$  is the *limit* of  $P$ .

The terminal object in  $\text{Wedge}(P)$  is the *end* of  $P$ .

- Fix  $P : \mathbb{C}^{\text{op}} \times \mathbb{C} \rightarrow \mathbb{D}$ .
- A **wedge** for  $P$  is a pair (object  $A : \mathbb{C}$ , dinatural  $\alpha : \text{const}_A \rightarrow P$ ).
- A **wedge morphism**  $(A, \alpha) \rightarrow (B, \beta)$  is an arrow  $w : A \rightarrow B$ , s.t.  $\forall x : \mathbb{C}$ ,

$$\begin{array}{ccc} A & \xrightarrow{w} & B \\ & \searrow \alpha_x & \swarrow \beta_x \\ & P(x, x) & \end{array}$$

- There are categories  $\text{Wedge}(P)$  and  $\text{Cowege}(P)$ .

# Background: (Co)ends

The **end** of  $P$  is the terminal object in  $\text{Wedge}(P)$ .

The **coend** of  $P$  is the initial object in  $\text{Cowedge}(P)$ .

- Given  $P : \mathbb{C}^{\text{op}} \times \mathbb{C} \rightarrow \mathbb{D}$ , the terminal (co)wedge object is denoted as

$$\text{End: } \int_{x:\mathbb{C}} P(\bar{x}, x) \qquad \text{Coend: } \int^{x:\mathbb{C}} P(\bar{x}, x)$$

- Theorem:** (co)ends exist when  $\mathbb{D}$  is (co)complete, they are (co)limits.
- We will always take  $\mathbb{D} := \mathbf{Set}$ , since we consider profunctors as relations.
- (Co)ends act as binders: given  $P : (\mathbb{C}^{\text{op}} \times \mathbb{C}) \times (\mathbb{D}^{\text{op}} \times \mathbb{D}) \rightarrow \mathbf{Set}$ ,

$$\left( (\bar{y}, y) \mapsto \int_{x:\mathbb{C}} P(\bar{x}, x, \bar{y}, y) \right) : \mathbb{D}^{\text{op}} \times \mathbb{D} \rightarrow \mathbf{Set}.$$

- Taking parametric (co)ends is functorial in  $P$ :

$$\int_{\mathbb{C}[\mathbb{D}]}, \int^{\mathbb{C}[\mathbb{D}]} : [(\mathbb{C}^{\text{op}} \times \mathbb{C}) \times (\mathbb{D}^{\text{op}} \times \mathbb{D}), \mathbf{Set}] \rightarrow [\mathbb{D}^{\text{op}} \times \mathbb{D}, \mathbf{Set}].$$

# (Co)end calculus in one slide

- (Co)ends give elegant characterizations of theorems of category theory.
- Natural transformations as ends:

$$\mathrm{Nat}(F, G) \cong \int_{x:\mathbb{C}} \mathrm{hom}_{\mathbb{D}}(F(\bar{x}), G(x))$$

- Quantifier exchange

$$(Q : (\mathbb{C}^{\mathrm{op}} \times \mathbb{C}) \\ \times (\mathbb{D}^{\mathrm{op}} \times \mathbb{D}) \rightarrow \mathbf{Set}):$$

$$\int_{x:\mathbb{C}} \int_{y:\mathbb{D}} Q(\bar{x}, x, \bar{y}, y)$$

$$\cong \int_{y:\mathbb{D}} \int_{x:\mathbb{C}} Q(\bar{x}, x, \bar{y}, y)$$

$$\cong \int_{(x,y):\mathbb{C} \times \mathbb{D}} Q(\bar{x}, x, \bar{y}, y)$$

- (Co)Yoneda lemma:  $(P : \mathbb{C} \rightarrow \mathbf{Set})$

$$P(a) \cong \int_{x:\mathbb{C}} \mathrm{hom}_{\mathbb{C}}(a, \bar{x}) \Rightarrow P(x)$$

$$P(a) \cong \int^{x:\mathbb{C}} \mathrm{hom}_{\mathbb{C}}(\bar{x}, a) \times P(x)$$

- Kan extensions:  $(F : \mathbb{C} \rightarrow \mathbb{D})$

$$(\mathrm{Ran}_F P)(a) \cong \int_{x:\mathbb{C}} \mathrm{hom}_{\mathbb{D}}(a, F(\bar{x})) \Rightarrow P(x)$$

$$(\mathrm{Lan}_F P)(a) \cong \int^{x:\mathbb{C}} \mathrm{hom}_{\mathbb{D}}(F(\bar{x}), a) \times P(x)$$

# Logical interpretation of (co)end calculus

- (Co)Yoneda lemma:

$$\begin{aligned} P(a) &\cong \int_{x:\mathbb{C}} \text{hom}_{\mathbb{C}}(a, \bar{x}) \Rightarrow P(x) \\ P(a) &\Leftrightarrow \forall (x : \mathbb{C}). \quad a =_{\mathbb{C}} x \Rightarrow P(x) \end{aligned}$$

$$\begin{aligned} P(a) &\cong \int^{x:\mathbb{C}} \text{hom}_{\mathbb{C}}(\bar{x}, a) \times P(x) \\ P(a) &\Leftrightarrow \exists (x : \mathbb{C}). \quad x =_{\mathbb{C}} a \wedge P(x) \end{aligned}$$

- (Pointwise) right/left Kan extensions using ends/coends:

$$\begin{aligned} (\text{Ran}_F P)(a) &\cong \int_{x:\mathbb{C}} \text{hom}_{\mathbb{D}}(a, F(x)) \Rightarrow P(x) \\ (\forall_f(P))(y) &\Leftrightarrow \forall (x : C). \quad y =_D f(x) \Rightarrow P(x) \end{aligned}$$

$$\begin{aligned} (\text{Lan}_F P)(a) &\cong \int^{x:\mathbb{C}} \text{hom}_{\mathbb{D}}(F(x), a) \times P(x) \\ (\exists_f(P))(y) &\Leftrightarrow \exists (x : C). \quad f(x) =_D y \wedge P(x) \end{aligned}$$

## Motivation 4: Computing adjoints to reindexings

- Consider a doctrine  $\mathcal{P} : \mathbb{C}^{\text{op}} \rightarrow \mathbf{Pos}$  with  $\wedge, \Rightarrow, \forall_{A[I]}, \exists_{A[I]}, \text{Eq}_A$ .
- With just these assumptions, we can compute the adjoints  $\forall_f, \exists_f : \mathcal{P}(C) \rightarrow \mathcal{P}(D)$  along any  $f : C \rightarrow D$ , not just projections:

$$\forall_f(P) := \forall_{C[D]}(\mathcal{P}(\text{id}_Y \times f)(\text{Eq}_Y(\top_Y)) \Rightarrow \mathcal{P}(\pi_{C[D]})(P))$$

$$\exists_f(P) := \exists_{C[D]}(\mathcal{P}(\text{id}_Y \times f)(\text{Eq}_Y(\top_Y)) \wedge \mathcal{P}(\pi_{C[D]})(P))$$

- In the syntactic model, for  $P : \mathcal{P}(C)$ ,  $f : C \rightarrow D$ :

$$(\forall_f(P))(y) := \forall x.(y =_D f(x) \Rightarrow P(x))$$

$$(\exists_f(P))(y) := \exists x.(f(x) =_D y \wedge P(x))$$

- Compare these to the formula to compute Kan extensions via (co)ends:

$$(\text{Ran}_F P)(y) \cong \int_{x:\mathbb{C}} \text{hom}_{\mathbb{D}}(y, F(\bar{x})) \Rightarrow P(x)$$

$$(\text{Lan}_F P)(y) \cong \int^{x:\mathbb{C}} \text{hom}_{\mathbb{D}}(F(\bar{x}), y) \times P(x)$$

- there is yet no decomposition of these properties for doctrines, e.g., coends as quantifiers/adjoints, or "having directed equality".

## Motivation 4: Computing Kan extensions with (co)ends

- A logical proof that  $\forall_f(P)$  is right adjoint to precomposition with  $f$ :

$$\frac{\frac{[y : D] \quad \Gamma(y) \vdash (\forall_f P)(y) \quad := \forall x.(y = f(x) \Rightarrow P(x))}{[x : C, y : D] \quad \Gamma(y) \vdash y = f(x) \Rightarrow P(x)}}{[x : C, y : D] \quad y = f(x), \Gamma(y) \vdash P(x)} \\ \frac{}{[x : C] \quad \Gamma(f(x)) \vdash P(x)}$$

- ▶ There is yet no formal system to do this for the directed case;
- ▶ We follow exactly this proof for Lan/Ran, using our rules for dinaturality.



We present the semantics for a *first-order* non-dependent directed type theory using dinaturality, where types are interpreted by categories, directed equality by hom-functors, quantifiers by (co)ends.

We consider the following interpretation:

Types  $\rightsquigarrow$  Categories (possibly with  $-^{\text{op}}$ )

Contexts  $\rightsquigarrow$  Lists of categories

Terms  $\rightsquigarrow$  Functors  $\mathbb{C}^{\text{op}} \times \mathbb{C} \rightarrow \mathbb{D}$

Propositions  $\rightsquigarrow$  Endoprofunctors  $\mathbb{C}^{\text{op}} \times \mathbb{C} \rightarrow \mathbb{D}$

Entailments  $\rightsquigarrow$  Dinatural transformations (not required to compose)

Directed equality  $\rightsquigarrow$  hom-functors  $\mathbb{C}^{\text{op}} \times \mathbb{C} \rightarrow \mathbf{Set}$ .

- **Warning:** we do not give a formal presentation of this, e.g., using doctrines, precisely because dinaturals do not compose in general.
- Despite this, we can still validate and apply rules for directed equality, and use them in practice to prove theorems about category theory.

# Directed type theory: notation

- We use type theoretical notation to emphasize dinaturals-as-entailments:

$$[x : \mathbb{C}, y : \mathbb{D}] F(\bar{x}, \bar{y}, x, y) \vdash \alpha : G(\bar{x}, \bar{y}, x, y)$$

says that  $\alpha$  is a dinatural for  $F, G : (\mathbb{C} \times \mathbb{D})^{\text{op}} \times (\mathbb{C} \times \mathbb{D}) \rightarrow \mathbb{E}$ .

- The term context  $[x : \mathbb{C}, y : \mathbb{D}]$  are the indices of the dinatural.
- We give names to assumptions  $p, q$ :

$$[x : \mathbb{C}] p : P(\bar{x}, x), q : Q(\bar{x}, x) \vdash h[p, q] : R(\bar{x}, x)$$

prop. context  $\rightarrow$  interpreted as the pointwise product of functors in **Set**.

- The following dinaturals are the same:

$$\begin{aligned} [x : \mathbb{C}] F(\bar{x}) \vdash \alpha : G(\bar{x}, x) \\ [x : \mathbb{C}^{\text{op}}] F(x) \vdash \alpha' : G(x, \bar{x}) \end{aligned}$$

- We use  $F^{\text{op}} : \mathbb{C}^{\text{op}} \rightarrow \mathbb{D}^{\text{op}}$  for  $F : \mathbb{C} \rightarrow \mathbb{D}$ , **no** swapping for difunctors.

# Directed type theory: propositional rules – Products

- Dinaturals support propositional conjunction, using products in **Set**.

## Theorem (Product of dipresheaves)

*There is an isomorphism of sets natural in  $\Gamma, P, Q : \mathbb{C}^{\text{op}} \times \mathbb{C} \rightarrow \mathbf{Set}$ :*

$$\frac{[x : \mathbb{C}] \Gamma(\bar{x}, x) \vdash P(\bar{x}, x) \times Q(\bar{x}, x)}{[x : \mathbb{C}] \Gamma(\bar{x}, x) \vdash P(\bar{x}, x), \quad [x : \mathbb{C}] \Gamma(\bar{x}, x) \vdash Q(\bar{x}, x)}.$$

*Bottom side: product of sets of dinaturals.*

*Similarly,  $\top_{\mathbb{C}} : \mathbb{C}^{\diamond} \rightarrow \mathbf{Set} := (c, c') \mapsto \{*\}$  has a unique dinat  $\Gamma \multimap \top_{\mathbb{C}}$ .*

# Directed type theory: propositional rules – Exponentials

- Contrary to naturals, exponentials of dipresheaves are *pointwise*:

## Theorem (Exponential of dipresheaves) (⤵)

There is an isomorphism of sets natural in  $F, G, H : \mathbb{C}^{\text{op}} \times \mathbb{C} \rightarrow \mathbf{Set}$ :

$$\frac{[x : \mathbb{C}] \ F(\bar{x}, x) \times G(\bar{x}, x) \vdash H(\bar{x}, x)}{[x : \mathbb{C}] \ G(\bar{x}, x) \vdash F^{\text{op}}(x, \bar{x}) \Rightarrow H(\bar{x}, x)} \quad (\text{exp})$$

**Proof.** Obvious by currying the families of morphisms.

- Why are exponentials of presheaves with naturals not pointwise?

$$\frac{[x : \mathbb{C}] \ F(x) \times G(x) \vdash H(x)}{[x : \mathbb{C}] \ G(x) \vdash F^{\text{op}}(\bar{x}) \Rightarrow H(x)} \quad (\text{exp})$$

but, the bottom family would be *dinatural* in  $x$ .

- ▶ We will show how to use our rules to justify the usual exponential.

# Directed type theory: term rules – Reindexing

- Entailments/dinaturals can be reindexed by terms/difunctors:

## Theorem (Reindexing with difunctors)

Take a difunctor  $F : \mathbb{C}^\diamond \rightarrow \mathbb{D}$  and a dinat  $\alpha : P \multimap Q$  for  $P, Q : \mathbb{D}^\diamond \rightarrow \mathbb{E}$ .

$$\frac{[x : \mathbb{D}] \quad P(\bar{x}, x) \vdash \alpha : Q(\bar{x}, x)}{[x : \mathbb{C}] \quad P(F^{\text{op}}(x, \bar{x}), F(\bar{x}, x)) \vdash F^*(\alpha) : Q(F^{\text{op}}(x, \bar{x}), F(\bar{x}, x))} \text{ (reindex)}$$

defined by  $F^*(\alpha)_x := \alpha_{F(x, x)}$ .

- As a special case, naturality in two variables can be collapsed into one:

## Theorem (Naturality in two $\rightarrow$ dinaturality)

Take  $P, Q : \mathbb{C}^{\text{op}} \times \mathbb{C} \rightarrow \mathbb{D}$ . For a natural  $\alpha : P \rightarrow Q$ , there is a  $P \multimap Q$ :

$$\frac{[x : \mathbb{C}^{\text{op}}, y : \mathbb{C}] \quad P(x, y) \vdash \alpha : Q(x, y)}{[z : \mathbb{C}] \quad P(\bar{z}, z) \vdash \Delta(\alpha) : Q(\bar{z}, z)} (\Delta) \text{ given by } \Delta(\alpha)_x := \alpha_{xx}.$$

# Directed type theory with dinaturality – refl

- Dinaturality allows us to solve the variance issue of refl: [North 2016]

## Theorem (Directed equality introduction) ()

There is a dinatural transformation  $\text{refl}_{\mathbb{C}} : \top \xrightarrow{\bullet\bullet} \text{hom}$ ,

$$\frac{}{[x : \mathbb{C}] \top \vdash \text{refl}_{\mathbb{C}} : \text{hom}_{\mathbb{C}}(\bar{x}, x)} \quad (\text{refl})$$

where  $\top$  denotes the dipresheaf constant in  $\top_{\text{Set}}$ .

**Proof.**  $\alpha_x(*) := \text{id}_x$ . *Dinaturality:* for any  $f : a \rightarrow b$ ,  $f ; \text{id}_b = \text{id}_a ; f$ .

- This is reflexivity of directed equality, via identities.

# Directed type theory with dinaturality – Intermezzo

- Before introducing the directed  $J$  rule, we show its fundamental idea: the connection between naturality and dinaturality.

## Theorem (Characterization of dinaturals via naturality)

There is an isomorphism, natural in  $P, Q : \mathbb{C}^{\text{op}} \times \mathbb{C} \rightarrow \mathbf{Set}$ , between the set of dinaturals  $P \rightrightarrows Q$  and certain natural transformations:

$$\frac{[x : \mathbb{C}] \ P(\bar{x}, x) \rightrightarrows Q(\bar{x}, x)}{\frac{}{[a : \mathbb{C}^{\text{op}}, b : \mathbb{C}] \ \text{hom}(a, b) \longrightarrow P^{\text{op}}(b, a) \Rightarrow Q(a, b)}}$$

### **Proof.**

( $\Downarrow$ ) Given  $\alpha : P \rightrightarrows Q$  and  $f : \text{hom}(a, b)$ , the map  $P(b, a) \rightarrow Q(a, b)$  is exactly the side of the hexagon in the definition of dinaturality.

This is obtained via the functorial action of  $P, Q$ .

( $\Uparrow$ ) Take  $a = b$  and precompose with  $\text{id}_a \in \text{hom}(a, a)$ .

The isomorphism follows from (di)naturality of both maps.

# Directed type theory with dinaturality – Directed $J$

- Directed equality elimination is just this result, uncurried.

## Theorem (Directed equality elimination) ()

Take  $\Gamma, P : (\mathbb{A}^{\text{op}}) \times (\mathbb{A}) \times (\mathbb{C}^{\text{op}} \times \mathbb{C}) \rightarrow \mathbf{Set}$ .

Given a dinatural  $h : \Gamma \dashrightarrow P$ , there is a dinatural  $J(h)$  as follows:

$$\frac{[z : \mathbb{A}, x : \mathbb{C}] \quad k : \Gamma(\bar{z}, z, \bar{x}, x) \vdash h[k] : P(\bar{z}, z, \bar{x}, x)}{[a : \mathbb{A}^{\text{op}}, b : \mathbb{A}, x : \mathbb{C}] \ e : \text{hom}(a, b), k : \Gamma(\bar{b}, \bar{a}, \bar{x}, x) \vdash J(h)[e, k] : P(a, b, \bar{x}, x)} \quad (J)$$

The dinatural  $J(h)$  satisfies the following “computation rule”,

$$J(h)_{zzx}[\text{refl}_{\mathbb{A}_z}, k] = h_{zx}[k]$$

for any object  $z : \mathbb{A}, x : \mathbb{C}$  and  $k \in \Gamma(z, z, x, x)$ .

**Proof.** Explicitly, the dinatural  $J(h)$  is given by

$$J(h)_{abx}[e, k] := (\Gamma(\text{id}_b, e, \text{id}_x, \text{id}_x) ; h_{bx} ; P(e, \text{id}_b, \text{id}_x, \text{id}_x))[k].$$

Computation clearly holds for  $e = \text{id}_z$ , without dinaturality.



# Directed type theory with dinaturality – Intuition for $J$

## Intuition for the directed $J$ rule

- ▶ Whenever there are two positions  $a : \mathbb{A}^{\text{op}}, b : \mathbb{A}$  in the conclusion  $P$ ,
  - ▶ and I have a directed equality in context  $\text{hom}_{\mathbb{A}}(a, b)$ ,
- 
- ▶ it is enough to prove that  $P$  holds “on the diagonal”, where  $a, b$  are identified with the same dinatural variable  $z : \mathbb{A}$ .
  - ▶ Moreover,  $a, b$  can be identified in context *only if they appear negatively*.
- The directed  $J$  rule is the key to define maps with directed equality.
  - Examples: *transitivity*, *congruence*, *transport* for directed equality.

## Failure of symmetry for directed equality

These restrictions do *not* allow us to obtain directed equality is symmetric:

$$[a : \mathbb{C}^{\text{op}}, b : \mathbb{C}] \ e : \text{hom}(a, b) \not\vdash \text{sym} : \text{hom}(\bar{b}, \bar{a})$$

$\text{hom}(a, b)$  cannot be contracted:  $a, b$  must appear *positively* in conclusion.

# Directed type theory with dinaturality – Example

## Example (Composition in a category)

Transitivity of directed equality  $\rightsquigarrow$  categories  $\mathbb{C}$  have *composition maps*. Composition is natural in  $a : \mathbb{C}^{\text{op}}, c : \mathbb{C}$  and dinatural in  $b : \mathbb{C}$ :

$$\frac{\frac{}{[z : \mathbb{C}, c : \mathbb{C}] \quad \text{hom}(\bar{z}, c) \vdash \text{id} : \text{hom}(\bar{z}, c)} \text{(id)}}{[a : \mathbb{C}^{\text{op}}, b : \mathbb{C}, c : \mathbb{C}] \quad \text{hom}(a, b), \text{hom}(\bar{b}, c) \vdash J(\text{id}) : \text{hom}(a, c)} \text{(J)}$$

We contract  $\text{hom}(a, b)$ . Rule (J) can be applied:  $a, b$  appear only negatively in ctx ( $a$  does not) and positively in conclusion ( $\bar{b}$  does not).

The computation rule for  $f ; g := J(\text{id})[f, g]$  states unitality on the left.

- How do we prove unitality on the right, and associativity?
- Using the same method of MLTT: by *dependent* hom induction.
- First, we need to show that we can compose certain dinats with refl.

## Theorem ( $J$ as isomorphism)

As in the classical case, directed  $J$  is an isomorphism, natural in  $\Gamma, P$ :

$$\frac{[z : \mathbb{A}, x : \mathbb{C}] \quad k : \Gamma(\bar{z}, z, \bar{x}, x) \vdash h[k] : P(\bar{z}, z, \bar{x}, x)}{[a : \mathbb{A}^{\text{op}}, b : \mathbb{A}, x : \mathbb{C}] \quad e : \text{hom}(a, b), k : \Gamma(\bar{b}, \bar{a}, \bar{x}, x) \vdash J(h)[e, k] : P(a, b, \bar{x}, x)} \quad (\text{hom})$$

**Proof.** The inverse is  $J^{-1}(\alpha)_{zx}[k] := \alpha_{zzx}[\text{refl}_{\mathbb{A}z}, k]$ .

The computation rule for hom-elimination is precisely  $J ; J^{-1} = \text{id}$ .

On the other hand,  $J^{-1} ; J = \text{id}$  follows using (di)naturality.

- *Crucial:* when equalities can be contracted,  $J^{-1}(\alpha)$  is always dinatural!
- This is needed in the examples to contract and compose with  $\text{refl}$ .
- This isomorphism is the core rule for  $\text{hom}$  in (co)end calculus.

## Theorem ( $\text{hom} \Rightarrow \text{refl}$ )

Rules  $(\text{refl})$  and  $(J)$  are logically equivalent to  $(\text{hom})$ .

**Proof.**  $(\text{refl})$  follows from  $J^{-1}$  by picking  $\Gamma := \top$  and  $J(h) := \text{id}_{\text{hom}(a,b)}$ .

# Directed type theory – equality of entailments

We work with *proof relevant entailments*: we need to express equality.

## Definition (Judgement for equality of entailments)

The following judgement is interpreted semantically as  $\alpha = \beta$ :

$$\begin{aligned} [a : \mathbb{C}, b : \mathbb{C}, \dots \Gamma] \quad & h : P(\dots), h' : Q(\dots), \dots \\ & \vdash \alpha[h, h', \dots] = \beta[h, h', \dots] : R(\dots) \end{aligned}$$

## Theorem (Dependent $J$ rule for judgemental equality) ()

Take  $\Gamma, P : (\mathbb{A}^{\text{op}}) \times (\mathbb{A}) \times (\mathbb{C}^{\text{op}} \times \mathbb{C}) \rightarrow \mathbf{Set}$ . Given dinats  $\alpha, \beta$  where  $J$  can be applied, then the above judgement implies the one below:

$$\frac{[z : \mathbb{A}, x : \mathbb{C}] \quad k : \Gamma(\bar{z}, z, \bar{x}, x) \vdash \alpha[\text{refl}_z, k] = \beta[\text{refl}_z, k] : P(\bar{z}, z, \bar{x}, x)}{[a : \mathbb{A}^{\text{op}}, b : \mathbb{A}, x : \mathbb{C}] \quad e : \text{hom}(a, b), k : \Gamma(\bar{a}, \bar{b}, \bar{x}, x) \vdash \alpha[e, k] = \beta[e, k] : P(a, b, \bar{x}, x)} \quad (J\text{-eq})$$

i.e.,  $\forall k : P(z, z, x, x). \alpha_{zzx}[\text{refl}_z, k] = \beta_{zzx}[\text{refl}_z, k]$  implies  $\alpha = \beta$ .

**Proof.** By hypothesis,  $J^{-1}(\alpha) = J^{-1}(\beta)$ , simply apply  $J$ .

## Example (Properties of composition)

Back to composition: we want to prove unitality and associativity.

$$\frac{}{[z : \mathbb{C}, c : \mathbb{C}] \ g : \text{hom}(\bar{z}, c) \vdash \text{refl}_z ; g = g : \text{hom}(\bar{z}, c)} \quad (J\text{-comp})$$

Unitality on the right is shown by dependent hom induction:

$$\frac{}{[w : \mathbb{C}] \top \vdash \text{refl}_w ; \text{refl}_w = \text{refl}_w : \text{hom}(\bar{w}, w)} \quad (J\text{-comp})$$

$$\frac{[a : \mathbb{C}^{\text{op}}, z : \mathbb{C}] \ f : \text{hom}(a, z) \vdash f ; \text{refl}_z = f : \text{hom}(a, z)}{} \quad (J\text{-eq})$$

To prove associativity, simply contract  $f : \text{hom}(a, b)$ :

$$\frac{\frac{[z : \mathbb{C}, c : \mathbb{C}, d : \mathbb{C}] \quad g : \text{hom}(\bar{z}, c), h : \text{hom}(\bar{c}, d) \vdash \text{refl}_z ; (g ; h) = (\text{refl}_z ; g) ; h : \text{hom}(\bar{z}, d)}{} \quad (J\text{-comp})}{[a : \mathbb{C}, b : \mathbb{C}, c : \mathbb{C}, d : \mathbb{C}] \ f : \text{hom}(\bar{a}, b), g : \text{hom}(\bar{b}, c), h : \text{hom}(\bar{c}, d) \vdash f ; (g ; h) = (f ; g) ; h : \text{hom}(\bar{a}, d)} \quad (J\text{-eq})$$

where the top sequent  $= g ; h$  by computation rules for  $\text{comp} := J(\text{id})$ .

- *Note!* These are exactly the steps in MLTT for transitivity of paths.

# Directed type theory – congr for directed equality

- Every term respects directed equality, i.e., it is a “congruence”:  
semantically, this is just the functorial action of terms  $F$  on morphisms.

## Example (Directed equality is a congruence)

Let  $F : \mathbb{C} \rightarrow \mathbb{D}$  be a functor.

$$\frac{\frac{[z : \mathbb{C}] \top \vdash \text{hom}_{\mathbb{D}}(F^{\text{op}}(\bar{z}), F(z))}{[x : \mathbb{C}, y : \mathbb{C}] \text{hom}_{\mathbb{C}}(\bar{x}, y) \vdash \text{hom}_{\mathbb{D}}(F^{\text{op}}(\bar{x}), F(y))} \text{ (reindex)+(refl)} \quad (J)$$

Take  $\text{map}_F[f] := J(F^*(\text{refl}_{\mathbb{C}}))$ . Computation rule:  $F$  maps  $\text{refl}$  to  $\text{refl}$ :

$$\frac{[z : \mathbb{C}] \top \vdash \text{hom}_{\mathbb{D}}(F^{\text{op}}(\bar{z}), F(z))}{[z : \mathbb{C}] \top \vdash \text{map}_F[\text{refl}_z] = F^*(\text{refl}_z) : \text{hom}_{\mathbb{D}}(F^{\text{op}}(\bar{z}), F(z))} \text{ (J-comp)}$$

Functoriality holds, since both top sides =  $\text{map}_F[g]$  via computation rules:

$$\frac{\frac{[z : \mathbb{C}, c : \mathbb{C}] \quad g : \text{hom}(\bar{z}, c) \vdash \text{map}_F[\text{refl}_z ; g] = \text{refl}_{F(z)} ; \text{map}_F[g] : \text{hom}(\bar{z}, d)}{[a : \mathbb{C}, b : \mathbb{C}, c : \mathbb{C}] f : \text{hom}(\bar{a}, b), g : \text{hom}(\bar{b}, c) \vdash \text{map}_F[f ; g] = \text{map}_F[f] ; \text{map}_F[g] : \text{hom}(\bar{a}, d)} \text{ (J-comp)} \quad \text{(J-eq)}$$

# Directed type theory – transport along directed equalities

- Transporting points of predicates (i.e., presheaves) along directed equalities is the functorial action of  $P : \mathbb{C} \rightarrow \mathbf{Set}$ :

## Example (Transporting along directed equality)

For any  $P : \mathbb{C} \rightarrow \mathbf{Set}$ :

$$\frac{}{[z : \mathbb{C}] \ P(z) \vdash P(z)} \text{(id)}$$
$$\frac{}{[a : \mathbb{C}^{\text{op}}, b : \mathbb{C}] \ \text{hom}(a, b), P(\bar{a}) \vdash P(b)} \text{(J)}$$

Computation rule for  $\text{subst}[f, k] := J(\text{id})$ :

*“transporting a point of  $P(a)$  along the path  $\text{refl}_z$  is the identity”:*

$$\frac{}{[z : \mathbb{C}] \ k : P(z) \vdash \text{subst}[\text{refl}_z, k] = k : P(z)} \text{(J-comp)}$$

# Directed type theory – Groupoidal case

- When  $\mathbb{A} \cong \mathbb{A}^{\text{op}}$  is a groupoid,  $\text{hom}$  is the characterization of symmetric equality as left adjoint to reindexing on diagonals. (with Frobenius)

$$\frac{\frac{[z : \mathbb{A}, x : \mathbb{C}] \quad \Gamma(\bar{z}, z, \bar{x}, x) \vdash P(\bar{z}, z, \bar{x}, x)}{\quad}}{[a : \mathbb{A}^{\text{op}}, b : \mathbb{A}, x : \mathbb{C}] \text{ hom}(a, b), \Gamma(\bar{b}, \bar{a}, \bar{x}, x) \vdash P(a, b, \bar{x}, x)} \quad (\text{hom})$$

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$$\frac{\frac{[z : \mathbb{A}, x : \mathbb{C}] \quad \Gamma(z, z, x) \vdash P(z, z, x)}{\quad}}{[a : \mathbb{A}, b : \mathbb{A}, x : \mathbb{C}] a \cong b, \Gamma(b, a, x) \vdash P(a, b, x)} \quad (\text{eq})$$

- The *proof-relevant directness* of **Cat** seems to be the fundamental obstacle to a fully compositional theory of dinaturals:

## Theorem (Dinaturals in groupoids compose)

Given a groupoid  $\mathbb{C} \cong \mathbb{C}^{\text{op}}$  and any  $\mathbb{D}$  for  $F, G, H : \mathbb{C}^{\text{op}} \times \mathbb{C} \rightarrow \mathbb{D}$ , all dinaturals  $\alpha : F \rightrightarrows G$ ,  $\beta : G \rightrightarrows H$  compose.

- Can the directed rule also be characterized as an adjunction? Yes!...



# Directed equality as relative left adjoint

Symmetric equality is a left adjoint to identifying two variables together.

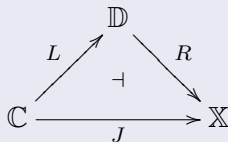
**Claim:** *Directed equality* is a *relative* left adjoint to identifying two *natural* variables together with a *dinatural* one.

- The *relative* part imposes the syntactic restrictions of directed  $J$ : the relative functor is just reindexing along projections.
- Unfortunately, for **Cat** we can only state this as a relative *para*-adjunction, because of compositionality of dinaturals.
- *Para*- indicates that composition is partial: paracategories, parafunctors, para-adjunctions. [Hermida 2003]

# Background: Relative adjunctions

## Definition (Left relative adjunction [Arkor 2024])

Consider this situation of functors and categories:



We say that  $L$  is the  $J$ -relative left adjoint to  $R$ , written  $L \dashv_J R$ , if

$$\mathbb{D}(L(x), y) \cong \mathbb{X}(J(x), R(y))$$

is a bijection natural in both arguments  $x : \mathbb{C}, y : \mathbb{D}$ .

# Directed equality as adjoint (1)

## Theorem (Directed equality as relative left adjoint) ( $\hookrightarrow \approx$ )

- Let  $[\mathbb{A}^{\text{op}} \times \mathbb{A} \times \mathbb{C}^{\diamond}, \mathbf{Set}]$  the paracategory where morphisms are dinats natural in  $\mathbb{A}^{\text{op}}, \mathbb{A}$  and dinatural in  $\mathbb{C}$ , and  $[\mathbb{A}^{\diamond} \times \mathbb{C}^{\diamond}, \mathbf{Set}]$  as dinaturals.
- Take the parafunctor  $\pi_{\mathbb{A}}^* : [\mathbb{C}^{\diamond}, \mathbf{Set}] \rightarrow [\mathbb{A}^{\diamond} \times \mathbb{C}^{\diamond}, \mathbf{Set}]$  defined in the intuitive way by precomposing with projections.
- There is a dipresheaf  $\text{hom}_{\mathbb{A}} \in [\mathbb{A}^{\text{op}} \times \mathbb{A}, \mathbf{Set}]$  such that the functor

$$\text{hom}_{\mathbb{A}} \times - : [\mathbb{C}^{\diamond}, \mathbf{Set}] \rightarrow [\mathbb{A}^{\text{op}} \times \mathbb{A} \times \mathbb{C}^{\diamond}, \mathbf{Set}]$$

$$\text{hom}_{\mathbb{A}} \times \Gamma := (\bar{a}, a, \bar{x}, x) \mapsto \text{hom}(\bar{a}, a) \times \Gamma(\bar{x}, x),$$

$$(\text{hom}_{\mathbb{A}} \times \alpha_x)_{abc} := \lambda(e \in \text{hom}(a, b), k \in \Gamma(c, c)).(e, \alpha_c(k))$$

determines a  $\pi_{\mathbb{A}}^*$ -relative left adjoint to the functor

$$\Delta_{\mathbb{A}} \times - : [\mathbb{A}^{\text{op}} \times \mathbb{A} \times \mathbb{C}^{\diamond}, \mathbf{Set}] \rightarrow [\mathbb{A}^{\diamond} \times \mathbb{C}^{\diamond}, \mathbf{Set}]$$

$$\Delta_{\mathbb{A}} \times P := P$$

$$(\Delta_{\mathbb{A}} \times \alpha_{abc})_{zx} := \alpha_{zzx}.$$

# Directed equality as adjoint (2)

Theorem (Directed equality as relative left adjoint, cont.) ( $\mathcal{U} \approx$ )

► Thus the left relative adjointness situation

$$\text{hom}_{\mathbb{A}} \times - \dashv_{\pi_{\mathbb{A}}^*} \Delta_{\mathbb{A}} \times -$$

is as follows:

$$\begin{array}{ccc}
 & [\mathbb{A}^{\text{op}} \times \mathbb{A} \times \mathbb{C}^{\diamond}, \mathbf{Set}] & \\
 \text{hom}_{\mathbb{A}} \times - \nearrow & \dashv & \searrow \Delta_{\mathbb{A}} \times - \\
 [\mathbb{C}^{\diamond}, \mathbf{Set}] & \xrightarrow{\pi_{\mathbb{A}}^*} & [\mathbb{A}^{\diamond} \times \mathbb{C}^{\diamond}, \mathbf{Set}]
 \end{array}$$

**Proof.** The required isomorphism is the following:

$$\frac{[z : \mathbb{A}, x : \mathbb{C}] \quad (\pi_{\mathbb{A}}^*(\Gamma)) = \Gamma(\bar{x}, x) \vdash P(\bar{z}, z, \bar{x}, x)}{[a : \mathbb{A}^{\text{op}}, b : \mathbb{A}, x : \mathbb{C}] \quad \text{hom}_{\mathbb{A}}(a, b) \times \Gamma(\bar{x}, x) \vdash P(a, b, \bar{x}, x)} \quad (\text{hom-rel-adj})$$

which is an instance of directed  $J$ , where  $\Gamma$  is mute in  $\bar{a} : \mathbb{A}, \bar{b} : \mathbb{A}^{\text{op}}$ .

$(\text{hom-rel-adj}) \Leftrightarrow (\text{hom})$ : pick  $P := \Gamma^{\text{op}}(b, a, x, \bar{x}) \Rightarrow P(a, b, \bar{x}, x)$ , use (exp).

# (Co)end calculus via dinaturality

- What are the quantifiers of directed type theory?
- Dinaturality allows us to view (co)ends as “adjoints” to weakening:

## Theorem (Ends and coends as quantifiers) (☞)

Take  $P : \mathbb{C}^{\text{op}} \times \mathbb{C} \rightarrow \mathbf{Set}$ , and the functor precomposing with projections

$$\pi_{\mathbb{A}}^*(P) : \mathbb{A}^{\diamond} \times \mathbb{C}^{\diamond} \rightarrow \mathbf{Set}$$

$$\pi_{\mathbb{A}}^*(P) := (\bar{a}, a, \bar{x}, x) \mapsto P(\bar{x}, x),$$

There are isos of sets of dinats, natural in  $P : \mathbb{C}^{\diamond} \rightarrow \mathbf{Set}, Q : \mathbb{A}^{\diamond} \times \mathbb{C}^{\diamond} \rightarrow \mathbf{Set}$ :

$$\frac{[a : \mathbb{A}, x : \mathbb{C}] \ P(\bar{x}, x) \vdash Q(\bar{a}, a, \bar{x}, x)}{[x : \mathbb{C}] \ P(\bar{x}, x) \vdash \int_{a:\mathbb{A}} Q(\bar{a}, a, \bar{x}, x)} \text{ (end)}$$
$$\frac{[x : \mathbb{C}] \ \int^{a:\mathbb{A}} Q(\bar{a}, a, \bar{x}, x) \vdash P(\bar{x}, x)}{[a : \mathbb{A}, x : \mathbb{C}] \ Q(\bar{a}, a, \bar{x}, x) \vdash P(\bar{x}, x)} \text{ (coend)}$$

# (Co)ends as quantifiers

## Theorem (Beck-Chevalley and Frobenius condition for (co)ends)

*(Co)ends satisfy a Beck-Chevalley condition: for  $F : \mathbb{C}^\diamond \rightarrow \mathbb{D}$  there is a strict isomorphism in the (large) functor category  $[[\mathbb{A}^\diamond \times \mathbb{D}^\diamond, \mathbf{Set}], [\mathbb{D}^\diamond, \mathbf{Set}]]$*

$$\int_{\mathbb{A}[\mathbb{D}]} ; F^* \cong (\text{id}_{\mathbb{A}^\diamond} \times F)^* ; \int_{\mathbb{A}[\mathbb{C}]}$$

*where  $\int_{\mathbb{A}[\mathbb{C}]} , \int^{\mathbb{A}[\mathbb{C}]} : [\mathbb{A}^\diamond \times \mathbb{C}^\diamond, \mathbf{Set}] \rightarrow [\mathbb{C}^\diamond, \mathbf{Set}]$  are parametric (co)ends, and  $F^* : [\mathbb{D}^\diamond, \mathbf{Set}] \rightarrow [\mathbb{C}^\diamond, \mathbf{Set}]$  is precomposition with  $F^\diamond$ .*

*Moreover, a Frobenius condition for coends holds: there is an isomorphism natural in  $\Gamma : \mathbb{A}^\diamond \times \mathbb{C}^\diamond \rightarrow \mathbf{Set}, P : \mathbb{C}^\diamond \rightarrow \mathbf{Set}$ ,*

$$\int^{\mathbb{A}[\mathbb{C}]} (\pi_{\mathbb{A}[\mathbb{C}]}^*(P) \wedge \Gamma) \cong \pi_{\mathbb{A}[\mathbb{C}]}^*(P) \wedge \int^{\mathbb{A}[\mathbb{C}]} (\Gamma),$$

*where  $- \wedge - : [\mathbb{C}, \mathbf{Set}] \times [\mathbb{C}, \mathbf{Set}] \rightarrow [\mathbb{C}, \mathbf{Set}]$  is the pointwise product.*

**Proof.** Beck-Chevalley is easy. For Frobenius, we can use logical rules to mirror the argument in [Jacobs 1999, 1.9.12(i)] with exponentials.

# Our rules, so far

Rules for (co)ends as quantifiers + directed equality for logical proofs of:

- (Co)Yoneda,
- Adjointness of Kan extensions via (co)ends,
- Presheaves are closed under exponentials,
- Associativity of composition of profunctors,
- Right lifts in profunctors,
- (Co)ends preserve limits,
- Adjointness of (co)ends in natural transformations,
- Characterization of dinaturals as certain ends,
- Frobenius property of (co)ends using exponentials.

# On our rules

- Our rules mirror *everyday (co)end calculus*:  
use Yoneda via a chain of isomorphisms in **Set**, either:
  - to prove (natural) isomorphisms of objects/functors.
  - to show adjunctions.
- Advantages of using our rules:
  - Structural properties are automatic because of contexts (e.g., Fubini)
  - `hom` is seen as directed equality, using dinaturality.
  - The rules are not ad-hoc lemmas, but follow the logical presentation.
- This technique sidesteps compositionality of dinaturals, no equational theory needed.
- *Note*: isomorphisms must end in sets of *naturals*, since composition is needed for Yoneda (no notion of “*dinatural isomorphism*”)



# On naturality of the rules

- Crucially, we need to ensure naturality of the rules:

## Theorem (Naturality for rules)

*All our isomorphisms of sets of dinats are natural in each functor involved.*

**Proof.** Easy to verify. This relies on the existence of a functor

$$\text{Dinats} : [\mathbb{C}^{\text{op}} \times \mathbb{C}, \mathbb{D}]^{\text{op}} \times [\mathbb{C}^{\text{op}} \times \mathbb{C}, \mathbb{D}] \rightarrow \mathbf{Set}$$

*defined on functor categories, where morphisms are naturals (i.e., they always compose with dinaturals.)*

*For instance, the (exp) rule:*

$$\text{Dinats}(-_1 \times -_2, -_3) \cong \text{Dinats}(-_2, -_1^{\text{op}} \Rightarrow -_3)$$

*as functors  $[\mathbb{C}^{\text{op}} \times \mathbb{C}, \mathbb{D}]^{\text{op}} \times [\mathbb{C}^{\text{op}} \times \mathbb{C}, \mathbb{D}] \times [\mathbb{C}^{\text{op}} \times \mathbb{C}, \mathbb{D}] \rightarrow \mathbf{Set}$ ,  
where  $-_1 \times -_2$  is the pointwise product,  $-_1 \Rightarrow -_3$  is the pointwise hom.*

# (Co)end calculus with dinaturality (1)

Yoneda lemma:  $(P, \Gamma : \mathbb{C} \rightarrow \mathbf{Set})$

$$\begin{array}{c} [a : \mathbb{C}] \Gamma(a) \vdash \int_{x:\mathbb{C}} \text{hom}_{\mathbb{C}}^{\text{op}}(a, \bar{x}) \Rightarrow P(x) \\ \hline \hline [a : \mathbb{C}, x : \mathbb{C}] \Gamma(a) \vdash \text{hom}_{\mathbb{C}}^{\text{op}}(a, \bar{x}) \Rightarrow P(x) \quad (\text{end}) \\ \hline \hline [a : \mathbb{C}] \text{hom}_{\mathbb{C}}(\bar{a}, x) \times \Gamma(a) \vdash P(x) \quad (\text{exp}) \\ \hline \hline [z : \mathbb{C}] \Gamma(z) \vdash P(z) \quad (\text{hom}) \end{array}$$

CoYoneda lemma:

$$\begin{array}{c} [a : \mathbb{C}] \int_{x:\mathbb{C}} \text{hom}_{\mathbb{C}}(\bar{x}, a) \times P(x) \vdash \Gamma(a) \\ \hline \hline [a : \mathbb{C}, x : \mathbb{C}] \text{hom}_{\mathbb{C}}(\bar{a}, x) \times P(a) \vdash \Gamma(x) \quad (\text{coend}) \\ \hline \hline [z : \mathbb{C}] P(z) \vdash \Gamma(z) \quad (\text{hom}) \end{array}$$

## (Co)end calculus with dinaturality (2)

Presheaves are cartesian closed:  $(\Gamma, A, B : \mathbb{C} \rightarrow \mathbf{Set})$

$$\begin{array}{c} [x : \mathbb{C}] \Gamma(x) \vdash (A \Rightarrow B)(x) \\ \quad := \text{Nat}(\text{hom}_{\mathbb{C}}(x, -) \times A, B) \\ \quad \cong \int_{y:\mathbb{C}} \text{hom}_{\mathbb{C}}^{\text{op}}(x, \bar{y}) \times A^{\text{op}}(\bar{y}) \Rightarrow B(y) \\ \hline \hline [x : \mathbb{C}, y : \mathbb{C}] \Gamma(x) \vdash \text{hom}_{\mathbb{C}}^{\text{op}}(x, \bar{y}) \times A^{\text{op}}(\bar{y}) \Rightarrow B(y) \quad (\text{end}) \\ \hline \hline [x : \mathbb{C}, y : \mathbb{C}] A(y) \times \text{hom}_{\mathbb{C}}(\bar{x}, y) \times \Gamma(x) \vdash B(y) \quad (\text{exp}) \\ \hline \hline [y : \mathbb{C}] A(y) \times \left( \int^{x:\mathbb{C}} \text{hom}_{\mathbb{C}}(\bar{x}, y) \times \Gamma(x) \right) \vdash B(y) \quad (\text{coend+frob.}) \\ \hline \hline [y : \mathbb{C}] A(y) \times \Gamma(y) \vdash B(y) \quad (\text{coYoneda}) \end{array}$$

*Note:* (hom) cannot be applied,  $y$  appears positive in context.

→ use (coYoneda) “extensionally”, i.e.,  $\Gamma \cong$  a certain coend, independently of the point in which it is evaluated.

*Note:* not obvious how to capture this via **Prof** as proarrow equipment.

# (Co)end calculus with dinaturality (3)

Right Kan extensions via ends are right adjoints to precomposition with  $F : \mathbb{C} \rightarrow \mathbb{D}$  ( $P : \mathbb{C} \rightarrow \mathbf{Set}, \Gamma : \mathbb{D} \rightarrow \mathbf{Set}$ ):

$$\begin{array}{c}
 [y : \mathbb{D}] \Gamma(y) \vdash (\mathbf{Ran}_F P)(y) \\
 \quad := \int_{x:\mathbb{C}} \mathbf{hom}_{\mathbb{D}}^{\mathbf{op}}(y, F^{\mathbf{op}}(\bar{x})) \Rightarrow P(x) \\
 \hline \hline
 [x : \mathbb{C}, y : \mathbb{D}] \Gamma(y) \vdash \mathbf{hom}_{\mathbb{D}}^{\mathbf{op}}(y, F^{\mathbf{op}}(\bar{x})) \Rightarrow P(x) \quad (\text{end}) \\
 \hline \hline
 [x : \mathbb{C}, y : \mathbb{D}] \mathbf{hom}_{\mathbb{D}}(\bar{y}, F(x)) \times \Gamma(y) \vdash P(x) \quad (\text{exp}) \\
 \hline \hline
 [x : \mathbb{C}, y : \mathbb{D}] \mathbf{hom}_{\mathbb{D}}(\bar{y}, F(x)) \times \Gamma(y) \vdash P(x) \quad (\text{coend}) \\
 \hline \hline
 [x : \mathbb{C}] \int^{y:\mathbb{D}} \mathbf{hom}_{\mathbb{D}}(\bar{y}, F(x)) \times \Gamma(y) \vdash P(x) \quad (\text{coYoneda}) \\
 \hline \hline
 [x : \mathbb{C}] \Gamma(F(x)) \vdash P(x)
 \end{array}$$

# (Co)end calculus with dinaturality (4)

Composition (on both sides) in **Prof** has a right adjoint (right lifts).

$(P : \mathbb{C}^{\text{op}} \times \mathbb{A} \rightarrow \mathbf{Set}, Q : \mathbb{A}^{\text{op}} \times \mathbb{D} \rightarrow \mathbf{Set}, \Gamma : \mathbb{C}^{\text{op}} \times \mathbb{D} \rightarrow \mathbf{Set})$ :

$$\begin{array}{c}
 [x : \mathbb{C}^{\text{op}}, z : \mathbb{D}] \quad (P ; -)(Q)(x, z) := \\
 \int^{y:\mathbb{A}} P(x, y) \times Q(\bar{y}, z) \vdash \Gamma(x, z) \\
 \hline \hline \text{(coend)} \\
 [x : \mathbb{C}^{\text{op}}, y : \mathbb{A}, z : \mathbb{D}] P(x, y) \times Q(\bar{y}, z) \vdash \Gamma(x, z) \\
 \hline \hline \text{(exp)} \\
 [x : \mathbb{C}^{\text{op}}, y : \mathbb{A}, z : \mathbb{D}] Q(\bar{y}, z) \vdash P^{\text{op}}(\bar{x}, \bar{y}) \Rightarrow \Gamma(x, z) \\
 \hline \hline \text{(end)} \\
 [y : \mathbb{A}, z : \mathbb{D}] Q(\bar{y}, z) \vdash \int_{x:\mathbb{C}} P^{\text{op}}(\bar{x}, \bar{y}) \Rightarrow \Gamma(x, z) \\
 \hline \hline (\cong) \\
 [y : \mathbb{A}^{\text{op}}, z : \mathbb{D}] Q(y, z) \vdash \int_{x:\mathbb{C}} P^{\text{op}}(\bar{x}, y) \Rightarrow \Gamma(x, z) \\
 \hline \hline := \text{Rift}_P(\Gamma)(y, z)
 \end{array}$$

the last (end) can be applied since  $x : \mathbb{C}$  does not appear on the left.

# (Co)end calculus with dinaturality (5)

Fubini for ends ( $\Gamma : \mathbf{Set}, P : (\mathbb{C}^{\text{op}} \times \mathbb{C}) \times (\mathbb{D}^{\text{op}} \times \mathbb{D}) \rightarrow \mathbf{Set}$ )

$$\begin{array}{c}
 [] \Gamma \vdash \int_{x:\mathbb{C}} \int_{y:\mathbb{D}} P(\bar{x}, x, \bar{y}, y) \\
 \hline \hline
 \text{(end)} \\
 [x : \mathbb{C}] \Gamma \vdash \int_{y:\mathbb{D}} P(\bar{x}, x, \bar{y}, y) \\
 \hline \hline
 \text{(end)} \\
 [x : \mathbb{C}, y : \mathbb{D}] \Gamma \vdash P(\bar{x}, x, \bar{y}, y) \\
 \hline \hline
 \text{(structural property)} \\
 [y : \mathbb{D}, x : \mathbb{C}] \Gamma \vdash P(\bar{x}, x, \bar{y}, y) \\
 \hline \hline
 \text{(end)} \\
 [y : \mathbb{D}] \Gamma \vdash \int_{x:\mathbb{C}} P(\bar{x}, x, \bar{y}, y) \\
 \hline \hline
 \text{(end)} \\
 [] \Gamma \vdash \int_{y:\mathbb{D}} \int_{x:\mathbb{C}} P(\bar{x}, x, \bar{y}, y)
 \end{array}$$

# (Co)end calculus with dinaturality (6)

Composition of profunctors is associative:  $(\Gamma : \mathbb{A}^{\text{op}} \times \mathbb{D} \rightarrow \mathbf{Set},$   
 $P : \mathbb{A}^{\text{op}} \times \mathbb{B} \rightarrow \mathbf{Set}, Q : \mathbb{B}^{\text{op}} \times \mathbb{C} \rightarrow \mathbf{Set}, R : \mathbb{C}^{\text{op}} \times \mathbb{D} \rightarrow \mathbf{Set})$

$$\frac{[a : \mathbb{A}, d : \mathbb{D}] \int^{b:\mathbb{B}} P(\bar{a}, b) \times \left( \int^{c:\mathbb{C}} Q(\bar{b}, c) \times R(\bar{c}, d) \right) \vdash \Gamma(\bar{a}, d)}{=} \text{(coend)}$$

$$\frac{[a : \mathbb{A}, b : \mathbb{B}, d : \mathbb{D}] P(\bar{a}, b) \times \left( \int^{c:\mathbb{C}} Q(\bar{b}, c) \times R(\bar{c}, d) \right) \vdash \Gamma(\bar{a}, d)}{=} \text{(coend)}$$

$$\frac{[a : \mathbb{A}, b : \mathbb{B}, c : \mathbb{C}, d : \mathbb{D}] P(\bar{a}, b) \times (Q(\bar{b}, c) \times R(\bar{c}, d)) \vdash \Gamma(\bar{a}, d)}{=} \text{(struct. prop.)}$$

$$\frac{[a : \mathbb{A}, b : \mathbb{B}, c : \mathbb{C}, d : \mathbb{D}] (P(\bar{a}, b) \times Q(\bar{b}, c)) \times R(\bar{c}, d) \vdash \Gamma(\bar{a}, d)}{=} \text{(coend)}$$

$$\frac{[a : \mathbb{A}, c : \mathbb{C}, d : \mathbb{D}] \left( \int^{b:\mathbb{B}} P(\bar{a}, b) \times Q(\bar{b}, c) \right) \times R(\bar{c}, d) \vdash \Gamma(\bar{a}, d)}{=} \text{(coend)}$$

$$[a : \mathbb{A}, d : \mathbb{D}] \int^{c:\mathbb{C}} \left( \int^{b:\mathbb{B}} P(\bar{a}, b) \times Q(\bar{b}, c) \right) \times R(\bar{c}, d) \vdash \Gamma(\bar{a}, d)$$

# Conclusion and future work

*We have seen how dinaturality allows us to give a semantic interpretation towards a directed type theory with quantifiers, where directed equality is given by hom-functors and quantifiers by (co)ends.*

Future work:

- ① Big piece missing from the story: compositionality of dinaturals.
  - ▶ *Claim:* non-compositionality is intrinsic to **Cat**, like failure of UIP.
  - ▶ Find suitable structures that axiomatize sufficient conditions for composition (e.g., in the style of operads/multicategories but with explicit management of variances and variables).
- ② Everything shown so far can be done in **Pos**, where all dinats compose.
  - ▶ This can be used to characterize *the directed logic of posets*.
  - ▶ Axiomatize this logic of directed equality via a doctrinal approach.
- ③ *Claim:* dinaturality arises whenever a notion of variance is involved.
  - ▶ instead of **Cat**, pick  $\mathcal{V}$ -**Cat** for suitable  $\mathcal{V}$ : e.g., a theory of metric spaces.



The  $\int$ .

Formalization: [github.com/iwilare/dinaturality](https://github.com/iwilare/dinaturality)

Paper: "*Directed equality with dinaturality*" (arXiv:2409.10237)

Thank you for the attention!

- **[Caccamo-Winskel 2001]**: axiomatic system to manipulate (co)ends; quantifier exchange is postulated, no type theory presented.
- **[Licata 2011]**: a model in **Cat** with directed equality defined judgementally and not propositionally.
- **[Nuyts 2015]**: a preliminary system of contexts variances is given, with no formal syntax nor models.
- **[North 2016]**: dependent type theory, but uses groupoid structure to type the refl rule. We use dinaturality precisely to avoid this problem.
- **[Riehl-Shulman 2017]**: a synthetic theory of  $(\infty, 1)$ -categories with a directed interval type, no model in **Cat**.
- **[New-Licata 2022]**: a DTT with models in virtual equipments, with directed equality and quantifiers, but very different syntax w.r.t. MLTT
- **[Ahrens 2023]**: judgemental structure for directed equality reminiscent of a bicategorical model.