

# Beck's Monadicity Theorem

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Adjunction:  $\mathcal{C}, \mathcal{D}$  be categories.

$$F: \mathcal{C} \rightarrow \mathcal{D}$$

$$G: \mathcal{D} \rightarrow \mathcal{C}$$

is an adjoint pair,  $F$  is left adjoint to  $G$ ,

denoted by  $F \dashv G$ , if there are natural isomorphisms

$$\mathcal{D}(Fc, d) \cong \mathcal{C}(c, Gd)$$

for all  $c \in \mathcal{C}, d \in \mathcal{D}$ .

i.e. the functors  $\mathcal{D}(F-, -), \mathcal{C}(-, G-): \mathcal{C}^{\text{op}} \times \mathcal{D} \rightarrow \text{Set}$   
are naturally isomorphic.

Take  $d = Fc$ , adjunction gives  $c \rightarrow GFc$ .

$$\text{unit: } \text{Id}_{\mathcal{C}} \Rightarrow GF$$

Similarly, counit  $\varepsilon: FG \Rightarrow \text{Id}_{\mathcal{D}}$

They satisfy triangle identities.

$$\begin{array}{ccc}
 F & \xrightarrow{F\eta} & FG \\
 \parallel & & \parallel \varepsilon F \\
 & & F
 \end{array}
 \qquad
 \begin{array}{ccc}
 G & \xrightarrow{\eta G} & GFG \\
 \parallel & & \parallel G\varepsilon \\
 & & G
 \end{array}$$

Conversely, we can get  $F \dashv G$  if there exists  $\eta, \varepsilon$  satisfying the triangle identities.

Example:  $\text{Top}_*$ . based (Hausdorff) spaces.

$$\Sigma X = S^1 \wedge X = X \times S^1 / * \times S^1 \vee X \times *$$

$\Omega X = F(S^1, X)$  w/ compact open topology.

Then  $\Sigma \dashv \Omega$ , both in  $\text{Top}_*$  and  $\text{hTop}_*$ .

Applying the definition,  $\mathbb{Z}^n \dashv S^n$ .

Def  $\mathcal{C}$ : category

A monad  $T = \langle T, \eta, \mu \rangle$  on  $\mathcal{C}$  consists of an endofunctor  $T: \mathcal{C} \rightarrow \mathcal{C}$  and natural transformations

$$\eta: \text{Id}_{\mathcal{C}} \Rightarrow T, \quad \mu: T^2 \Rightarrow T$$

satisfying the following diagrams

$$\begin{array}{ccc} T^3 & \xrightarrow{T\mu} & T^2 \\ \downarrow \mu T & & \downarrow \mu \\ T^2 & \xrightarrow{\mu} & T \end{array} \quad \begin{array}{ccc} T & \xrightarrow{\eta T} & T^2 & \xleftarrow{T\eta} & T \\ & \cong & \downarrow \mu & \cong & \\ & & T & & \end{array}$$

Remark ① Dually, one can define comonad.

② A monad  $T$  is a monoid in the category of endofunctors of  $\mathcal{C}$ .

Every adjunction  $F \dashv G: \mathcal{C} \rightleftarrows \mathcal{D}$  gives rise to a monad on  $\mathcal{C}$  by:

$$T = \langle GF, \eta, G\varepsilon F \rangle$$

( $\eta: \text{Id}_{\mathcal{C}} \Rightarrow GF$ ,  $\varepsilon: FG \Rightarrow \text{Id}_{\mathcal{D}}$  are unit and counit of the adjunction)

for associativity = diagram chasing.

$$\text{for unitality: } GF \xrightarrow{\eta_{GF}} GFGF \xrightarrow{\downarrow G\varepsilon F} GF \quad \text{comes from triangle identity}$$

$$G \xrightarrow{\eta_G} GFG \xrightarrow{\downarrow G\varepsilon} G$$

Example. Free monoid functor  $F: \text{Set} \rightarrow \text{Monoid}$  → forgetful functor  $U$

$$T = UF: \text{Set} \rightarrow \text{Set} \quad X \mapsto \text{underlying set of free monoid } FX$$

$FX = \{ \text{strings of elements of } X \text{ w/ finite length} \}, \text{ w/ concatenation}$

$$\eta_X: X \rightarrow TX, \quad x \mapsto \langle x \rangle,$$

$\gamma^2$ . write string of strings as a string, e.g.  $(xy)(zw) \mapsto xyzw$

Question 1 : Can every monad be defined from an adjunction ?

Answer : Yes.

Def. A T-algebra  $\langle Y, \theta \rangle$  is a pair consisting of  $Y \in \mathcal{E}$  and an arrow  $\theta : TY \rightarrow Y$  such that the following diagrams commute:

$$\begin{array}{ccc} T^2Y & \xrightarrow{M_Y} & TY \\ T\theta \downarrow & & \downarrow \theta \\ TY & \xrightarrow{\theta} & Y \end{array} \quad \begin{array}{ccc} Y & \xrightarrow{\eta_Y} & TY \\ \parallel & & \downarrow \theta \\ & & Y \end{array}$$

A T-algebra morphism  $(A, \alpha) \rightarrow (B, \beta)$  is an arrow  $f: A \rightarrow B$  st.

$$\begin{array}{ccc} TA & \xrightarrow{Tf} & TB \\ \alpha \downarrow & f & \downarrow \beta \\ A & \xrightarrow{f} & B \end{array}$$

The Eilenberg-Moore category, denoted by  $\mathcal{E}^T$  or  $\text{Alg}_T(\mathcal{E})$ , is the category of  $T$ -algebras and morphisms between them.

Remark : In view of the morphism,  $\theta: TY \rightarrow Y$  is a morphism from the free algebra  $\langle TY, M_Y \rangle$  to  $\langle Y, \theta \rangle$ .

Theorem 1. If  $\langle T, \eta, \mu \rangle$  is a monad on  $\mathcal{E}$ , then there is an adjunction

$$\mathcal{E} \xrightleftharpoons[F^T]{G^T} \mathcal{E}^T$$

where  $F^T: x \mapsto \langle Tx, \mu_x \rangle$

$$\begin{array}{ccc} f \downarrow & & \downarrow Tf \\ x' & \mapsto & \langle Tx', \mu_{x'} \rangle \end{array}$$

$G^T: \langle Y, \theta \rangle \mapsto Y$

$$\begin{array}{ccc} f \downarrow & & \downarrow f \\ \langle Y, \theta \rangle & \mapsto & Y' \end{array}$$

with unit  $= \eta$  and counit  $\varepsilon_{\langle Y, \theta \rangle}: TY \xrightarrow{\theta} Y$

Furthermore, the monad of  $F^T \dashv G^T$  is  $T$ .

Theorem 1. If  $\langle T, \eta, \mu \rangle$  is a monad on  $\mathcal{C}$ , then there is an adjunction

$$\mathcal{C} \xrightleftharpoons[\text{G}^T]{\text{F}^T} \mathcal{C}^T$$

where  $F^T: x \mapsto \langle Tx, \mu_x \rangle$

$$\begin{array}{ccc} f \downarrow & & \downarrow Tf \\ x' & \mapsto & \langle Tx', \mu_{x'} \rangle \end{array}$$

$G^T: \langle Y, \theta \rangle \mapsto Y$

$$\begin{array}{ccc} f \downarrow & & \downarrow f \\ \langle Y', \theta' \rangle & \mapsto & Y' \end{array}$$

with unit  $= \eta$  and counit  $\varepsilon_{\langle Y, \theta \rangle}: TY \xrightarrow{\theta} Y$

Furthermore, the monad of  $F^T \dashv G^T$  is  $T$ .

Sketch of proof:

① If  $x \in \mathcal{C}$ ,  $\langle Tx, \mu_x \rangle$  is a  $T$ -algebra.

② Define the unit and counit as claimed, check the triangle identities.

e.g.  $G^T \xrightarrow{\eta_{G^T}} G^T F^T G^T \quad \rightsquigarrow \quad Y \xrightarrow{\eta_Y} TY$

$$\begin{array}{c} \cong \\ \parallel \end{array} \quad \begin{array}{c} \cong \\ \parallel \end{array} \quad \begin{array}{c} \rightsquigarrow \\ \rightsquigarrow \end{array} \quad \begin{array}{c} \cong \\ \parallel \end{array} \quad \begin{array}{c} \downarrow \theta \\ \downarrow \end{array}$$

$$G^T \qquad \qquad \qquad Y$$

③ Check  $\langle G^T F^T, \eta, G^T \varepsilon F^T \rangle = \langle T, \eta, \mu \rangle$ .

□

Question 2: Start with  $F \dashv G: \mathcal{C} \xrightleftharpoons[G]{F} \mathcal{D}$ , construct  $T = GF$ . Then how is  $\mathcal{C}^T$  related to  $\mathcal{D}$ ?

In  $\text{Set} \rightleftarrows \text{Monoid}$ ,  $\text{Set}^T$  looks exactly like Monoid.

Theorem 2. In the context above, there exists a functor  $G': \mathcal{D} \rightarrow e^T$  such that  $G = G^T G'$ .

$$\begin{array}{ccccc} & & F & & \\ & \swarrow & \xrightarrow{F^T} & \searrow & \\ e & < \xleftarrow{G^T} & e^T & < \xrightarrow{F'} \xrightarrow{G'} & \mathcal{D} \\ & \searrow & & \swarrow & \\ & & G & & \end{array}$$

Sketch of proof: For  $d \in \mathcal{D}$ ,

$\langle Gd, Ge \rangle$  is a  $T$ -algebra.

Define  $G'd = \langle Gd, Ge \rangle$ ,  $G'(f) = Gf$ .

□

Beck's Theorem tells us when  $e^T$  will be isomorphic/equivalent to  $\mathcal{D}$ .

Def. We say  $F \dashv G$  is monadic if  $G': \mathcal{D} \rightarrow e^T$  is an equivalence of categories. We say a functor  $G: \mathcal{D} \rightarrow e$  is monadic if there exists a monadic adjunction  $F \dashv G$ .

Motivation. In  $\text{Set} \xrightarrow{\text{Monoid}} \text{Monoid}$ . If  $Y$  is a  $T$ -algebra, how to construct a monoid out of  $Y$ ?

Answer: Construct quotient of the free monoid  $TY$ .

Theorem 3. If  $\mathcal{D}$  has coequalizers, then there exists a functor  $F': e^T \rightarrow \mathcal{D}$  such that  $F' \dashv G'$ . (the hypothesis can be weakened)

Theorem 4. (Beck). An adjunction  $F \dashv G$  is monadic if and only if  $G: \mathcal{D} \rightarrow e$  creates coequalizers of  $G$ -split pairs.

Hence let's look at some special coequalizers.

Def. In a category  $\mathcal{C}$ , a split coequalizer (fork) is given by the diagram

$$\begin{array}{ccccc} & f & & & \\ X & \xrightarrow{\quad g \quad} & Y & \xrightarrow{\quad q \quad} & Z \\ & \downarrow j & & \downarrow i & \\ & & & & \end{array}$$

such that

$$qf = qg, \quad qj = \text{id}_Z, \quad fg = gj, \quad gj = \text{id}_Y.$$

Prop. Split coequalizers are coequalizers.

Proof:

$$\begin{array}{ccccc} & f & & & hf = hg \\ X & \xrightarrow{\quad g \quad} & Y & \xrightarrow{\quad q \quad} & Z \\ & \downarrow j & & \downarrow i & \Rightarrow hfj = hgj \\ & & h \searrow & & \Rightarrow hq = h \\ & & & \downarrow h \circ i & \\ & & & & Z' \\ & & & & \text{If } kf = h, \quad k = kqj = hi \end{array}$$

The image of any split coequalizer is still a split coequalizer.  $\square$

This structure is encoded in the data of a  $T = \langle FG, \eta, GEF \rangle$ -algebra.

$$\begin{array}{ccccc} & \eta_Y & & & \\ TY & \xrightarrow{\quad T\theta \quad} & TY & \xleftarrow{\quad \theta \quad} & Y \\ & \downarrow \eta_{TY} & & \downarrow \eta_Y & \\ & & & & \end{array}$$

e.g.  $\eta$  is a natural transformation  $\text{Id} \Rightarrow T$ . thus.

$$\begin{array}{ccccc} TY & \xrightarrow{\eta_{TY}} & T^2Y & & \\ \theta \downarrow & & \downarrow T\theta & & \\ Y & \xrightarrow{\eta_Y} & TY & & \end{array}$$

Furthermore, this coequalizer tells us how to construct  $\mathcal{Y}$  from  $\mathcal{F}\mathcal{Y}$  as a quotient/coequalizer:

$$\begin{array}{ccccc} & & F & & \\ & \swarrow & \text{---} & \searrow & \\ e & \xrightarrow{\quad F^T \quad} & e^T & \xleftarrow{\quad F' \quad} & \mathcal{D} \\ & \uparrow & \text{---} & \uparrow & \\ & G^T & \text{---} & G' & \end{array}$$

If  $Y \in e^T$ , define  $F^T Y$  as the coequalizer of

$$FTG^TY \xrightleftharpoons[\quad FG\theta \quad]{\quad \varepsilon_{FG^TY} \quad} FG^TY \quad \text{algebra map of } FG^TY \text{ is } \mu.$$

This is the construction in Theorem 3.

Def. A G-split pair is a pair of maps  $X \rightrightarrows Y$  in  $\mathcal{D}$  together w/ a split coequalizer  $GX \xrightarrow{\quad Gf \quad} GA^Y \xrightarrow{\quad Gg \quad} C$  in  $e$ .

We say  $G: \mathcal{D} \rightarrow e$  creates coequalizers of G-split pairs if

(1) for every G-split pair  $X \rightrightarrows Y$ , there is a fork in  $\mathcal{D}$

$$X \longrightarrow Y \longrightarrow D$$

such that  $Gg \circ i$  is an isomorphism. ( $Gg \circ i$  is the universal arrow)

(2). any diagram satisfying (1) is a coequalizer.

We say  $G: \mathcal{D} \rightarrow e$  strictly creates coequalizers of G-split pairs if the lift in  $\mathcal{D}$  is unique.

Prop. For any monad  $T$  on a category  $\mathcal{E}$ , the forgetful functor  $G^T: \mathcal{E}^T \rightarrow \mathcal{E}$  strictly creates coequalizers of  $G^T$ -split pairs.

sketch of proof: Start with  $A \rightrightarrows B$  in  $\mathcal{E}^T$  together with

$$A \xrightleftharpoons[g]{f} B \xrightleftharpoons[r]{} C \text{ in } \mathcal{E}.$$

want to lift  $C$  to an object of  $\mathcal{E}^T$ .

Look at  $TA \rightrightarrows TB \rightarrow TC$

Define  $TC \rightarrow C$  as the universal arrow for

$$\begin{array}{c} TA \rightrightarrows TB \rightarrow C \\ \beta \backslash \quad /r \\ B \end{array}$$

since we want  $r$  be algebra map.

Check that it's a coequalizer diagram.

Uniqueness due to  $G^T$  is a forgetful functor.  $\square$

Cor. If  $F \dashv G$  monadic, then  $G$  creates coequalizers of  $G$ -split pairs.

Proof:  $G = G^T G^T$ .  $\square$

Sketch proof of Theorem 4:

If  $G$  creates coequalizers of  $G$ -split pairs.

If  $Y$  is a  $T$ -algebra,  $G^T Y \in \mathcal{E}$

$F^T Y^u \rightrightarrows F Y^u$  is in  $\mathcal{D}$ .  $F^T Y$  is coequalizer.

with split fork  $T^2 Y^u \rightrightarrows T Y^u \rightarrow Y^u$  in  $\mathcal{E}$ .

Then  $Y^u \rightarrow G^T F^T Y^u$  is an isomorphism.

remains to prove  $F^T G^T \Rightarrow \text{Id}$  is an iso. left as an exercise

$\square$